# Evaluation of CORDEX ERA5-forced 'NARCliM2.0' regional climate models over Australia using the Weather Research and Forecasting (WRF) model version 4.1.2

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Abstract. Understanding regional climate model (RCM) capabilities to simulate current climate 1 2 informs model development and climate change assessments. This is the first evaluation of the 3 NARCliM2.0 ensemble of seven Weather Forecasting and Research RCMs driven by ECMWF 4 Reanalysis v5 (ERA5) over Australia at 20 km resolution contributing to CORDEX-CMIP6 5 Australasia, and south-eastern Australia at convection-permitting resolution (4 km). The performances 6 of these seven ERA5-RCMs (R1-R7) in simulating mean and extreme maximum, minimum 7 temperature and precipitation is evaluated against observations at annual, seasonal, and daily 8 timescales, and compared to corresponding performances of previous-generation CORDEX-CMIP5 9 Australasia ERA-Interim-driven RCMs. ERA5-RCMs substantially reduce cold biases for mean and extreme maximum temperature versus ERA-Interim-RCMs, with the best-performing ERA5-RCMs 10 11 showing small mean absolute biases (ERA5-R5: 0.54K; ERA5-R1: 0.81K, respectively), but produce 12 no improvements for minimum temperature. At 20 km resolution, improvements in mean and extreme 13 precipitation for ERA5-RCMs versus ERA-Interim RCMs are principally evident over south-eastern 14 Australia, whereas strong biases remain over northern Australia. At convection-permitting scale over 15 south-eastern Australia, mean absolute biases for mean precipitation for the ERA5-RCM ensemble are 16 around 79% smaller versus the ERA-Interim RCMs that simulate for this region. Although ERA5 17 reanalysis data confer improvements over ERA-Interim, only improvements in precipitation

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**Deleted:** ERA5-RCM precipitation simulations show lower bias magnitudes versus ERA-Interim-RCMs, though dry biases remain over monsoonal northern Australia and **Deleted:** extreme precipitation simulation improvements are

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- 25 simulation by ERA5-RCMs are attributable to the ERA5 driving data, with RCM improvements for
- 26 maximum temperature more attributable to model design choices, suggesting improved driving data
- 27 do not guarantee all RCM performance improvements, with potential implications for CMIP6-forced
- 28 dynamical downscaling. This evaluation shows that NARCliM2.0 ERA5-RCMs provide valuable
- 29 reference simulations for upcoming CMIP6-forced downscaling over CORDEX-Australasia and are
- 30 informative datasets for climate impact studies. Using a subset of these RCMs for simulating CMIP6-
- 31 forced climate projections over CORDEX-Australasia and/or at convection-permitting scales could
- 32 yield tangible benefits in simulating regional climate.

#### Keywords:

- 33 Climate change; climate impact adaptation; CORDEX-CMIP6; dynamical downscaling; model
- 34 development; reanalysis

# 35 **1. Introduction**

36 Global climate models (GCMs) are optimum tools for simulating future climate at global and 37 continental scales, informing policy and planning at these scales on climate change under different greenhouse gas concentration scenarios (IPCC, 2021). Successive generations of GCMs have seen 38 several improvements, including incremental increases in spatial resolution and some improvements 39 in the simulation of the current climate (Eyring et al., 2016; Stouffer et al., 2017; Grose et al., 2020). 40 However, the coarse spatial resolution of GCMs (100 to 250 km) limits their ability to resolve the 41 42 fine-scale drivers of regional climate, such as complex topography, land-use, and mesoscale 43 atmospheric processes like convection. This in turn limits their efficacy for climate mitigation and adaptation planning at regional scales (Hsiang et al., 2017). 44 45 Dynamical downscaling of GCM outputs using regional climate models (RCMs) is one 46 approach for generating high-resolution climate projections at regional scales (Giorgi, 2006; Laprise, 47 2008). RCMs use GCM outputs as initial and lateral boundary conditions to generate fine-scale 48 climate simulations that better resolve the fine-scale drivers of regional climate (Giorgi and Bates, 49 1989; Torma et al., 2015; Di Luca et al., 2012). This can create fine-scale climate information that is spatially and temporally more realistic than the driving GCM information, providing climate 50 simulations more suitable for regional climate impact studies (Giorgi, 2019). However, such 51 52 improvements are not guaranteed, and typically vary with time and location (Di Virgilio et al., 2019; 53 Di Virgilio et al., 2020b; Panitz et al., 2014; Bucchignani et al., 2016). There is also the potential that 54 RCMs simulate climate projections that are not more physically plausible than those of driving GCMs 55 (Ekström et al., 2015). Design considerations such as selection of driving models and RCM parameterisation also underlie the nature of potential improvements in regional climate simulations. 56 57 The Coordinated Regional Climate Downscaling Experiment (CORDEX) is an initiative of the World Climate Research Programme (WCRP) that provides experimental guidelines facilitating both 58 59 the production of regional climate projections, and inter-model comparisons across modelling groups (Giorgi et al., 2009). Under CORDEX, regional climate projections based on CMIP5 (Coupled Model 60 Intercomparison Project Phase 5) GCM projections were produced for fourteen regions globally. 61 CORDEX is building on these previous downscaling intercomparison projects to provide a common 62

63 framework for downscaling activities based on CMIP6 GCMs (Gutowski et al., 2016).

A key component of CORDEX is using RCMs to dynamically downscale reanalyses such as ERA-Interim (Dee et al., 2011) under CORDEX-CMIP5, and recently ERA5 (Hersbach et al., 2020) under CORDEX-CMIP6, and evaluating the RCMs' capabilities to simulate present-day climate. If a given RCM performs poorly in simulating the present-day climate, this lowers confidence in future climate changes projected by this model. Assessing the relative strengths and weaknesses of ERA5forced RCMs can inform the decision to exclude poorer performing RCM configurations when selecting 71 performance profiles of CMIP6-forced RCM projections and hindcasts. 72 Previous work to dynamically downscale ERA5 over CORDEX Australasia includes the 73 BARPA-R (Bureau of Meteorology Atmospheric Regional Projections for Australia) regional climate 74 model which simulates over CORDEX Australasia at ~17 km resolution (Howard et al., 2024). 75 Evaluation of BARPA-R's skill in simulating the Australian climate observed good performance 76 overall, including a 1°C cold bias in daily maximum temperatures and wet biases of up to 25 mm/month 77 over inland Australia. Other previous studies of dynamical downscaling of ERA5 by RCMs have 78 focused on short-term (e.g. ~one year) regional climate simulations (e.g. Varga and Breuer, 2020; Zhou 79 et al., 2021) rather than multidecadal simulations. Several have focused on specific regions that are not 80 CORDEX domains, some of which have a smaller spatial extent in comparison. For instance, Reder et 81 al. (2022) conducted dynamical downscaling of ERA5 using COSMO-CLM (CCLM; Rockel et al. 82 2008) on nine separate domains over twenty European cities at convection-permitting scale (~2.2 km). They demonstrated an overall pattern of added value in the simulation of heavy precipitation at city 83 scale relative to the driving reanalysis. Focusing on precipitation simulation over the Lake Victoria 84 Basin in Africa, Van De Walle et al. (2020) conducted ERA5-forced CCLM simulations at convection-85 permitting scale. They found that CCLM outperformed the ERA5 data set, as well as RCM simulations 86 87 using parametrised convection, though a domain-averaged wet bias was still evident. These authors 88 attributed the overall improvements in the simulation of sub-daily precipitation to the convection-89 permitting resolution and improved cloud microphysics. Additionally, two Weather Research and 90 Forecasting model (WRF; Skamarock et al. 2008) experiments over the Tibetan Plateau conducted at 91 'gray-zone' (~9 km) and convection-permitting (~3 km) resolutions for 2009-2018 both showed 92 successful simulation of the spatial pattern and daily variation of surface temperature and precipitation 93 (Ma et al., 2022). Notably, the ability of the convection-permitting WRF RCM in improving precipitation simulation was limited relative to the gray-zone experiment. 94 95 The sole prior evaluation of reanalysis-driven CORDEX-CMIP5 Australasia regional climate

a subset of RCMs for downscaling of CMIP6 GCMs. It also helps benchmark the subsequent

models was conducted by Di Virgilio et al. (2019). This evaluation of CORDEX ERA-Interim forced 96 RCMs focused on four configurations of WRF, and single configurations of CCLM and the 97 Conformal-Cubic Atmospheric Model (CCAM; Mcgregor and Dix, 2008) to simulate the historical 98 99 Australian climate (1981–2010) at 50 km resolution. These RCMs showed statistically significant, 100 strong cold biases in maximum temperature, which in some cases exceeded -5 K, contrasting with more accurate simulations of minimum temperature, with biases of ±1.5 K for most WRF 101 102 configurations and CCAM. The RCMs generally overestimated precipitation, especially over 103 Australia's highly populated eastern seaboard. Notably, Di Virgilio et al. (2019) observed strong 104 negative correlations between simulated mean monthly biases in precipitation and maximum 105 temperature, suggesting that the maximum temperature cold bias was linked to precipitation

106 overestimation.

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**Deleted:** At the time of writing (December 2023), few peerreviewed studies of dynamical downscaling of ERA5 by RCMs have been published. Many of these

110	This study aims to build on that of Di Virgilio et al. (2019) to present the first evaluation of
111	CORDEX-CMIP6 ERA5-forced WRF RCMs over Australia. It has three main aims: 1) to evaluate the
112	capabilities of seven ERA5-forced WRF RCM configurations to simulate the historical Australian
113	climate, assessing the relative strengths and weaknesses of individual RCMs; 2) compare the
114	performance of current generation CORDEX-CMIP6 ERA5 RCMs with the previous generation of
115	CORDEX-CMIP5 ERA-Interim-forced RCMs following the evaluation approach of Di Virgilio et al.
116	(2019); and 3) investigate whether any performance differences observed for the ERA5-forced
117	relative to the ERA-Interim forced RCMs can be attributed to the change in the driving reanalysis data
118	sets or to other factors, such as the use of different RCM physics configurations and model design
119	specifications. Following Di Virgilio et al. (2019) we evaluate the ability of RCMs to simulate near-
120	surface maximum and minimum air temperature and precipitation at annual, seasonal, and daily time
121	scales. Here, our focus is on evaluating the performances of the different RCM generations, with an
122	investigation of the mechanisms underlying the varying model performances to be the subject of
123	future work.

# 124 **2. Materials and methods**

### 125 **2.1 Models**

The CORDEX-CMIP5 ERA-Interim forced RCMs (WRF360J, WRF360K, WRF360L, MU-126 WRFSWWA, CCAM and CCLM) used a domain with quasi-regular grid spacing of approximately 50 127 km (0.44° x 0.44° on a rotated coordinate system) over the CORDEX-Australasia region. The ERA-128 129 Interim WRF RCMs used different versions of WRF: WRF360J-K-L used WRF version 3.6.0, 130 whereas MU-WRFSWWA used version 3.3. ERA-Interim RCM parameterisations for planetary boundary layer physics, surface physics, cumulus physics, land surface model, and radiation, and 131 132 vertical level settings are shown in Table 1. Three configurations of CORDEX-CMIP5 ERA-Interim 133 WRF RCMs (WRF360J-K-L) were run using two nested domains with one-way nesting. The inner 134 domain located over south-eastern Australia obtained its initial and lateral boundary conditions from 135 an outer domain simulation located over the CORDEX-Australasia region (Figure 1). The inner domain used a resolution of approximately 10 km. Further details on the ERA-Interim-forced RCMs 136 are provided in Di Virgilio et al. (2019), including overviews of the WRF, CCAM and CCLM RCMs. 137 Seven ERA5-forced RCMs comprise the CORDEX-CMIP6 evaluation experiment for 138 NARCliM2.0 (NSW and Australian Regional Climate Modelling), which is the latest generation of 139 140 NARCliM simulations (Evans et al., 2014; Nishant et al., 2021) and is one of several RCM ensembles 141 generating dynamically downscaled climate projections for CORDEX-Australasia (Grose et al. 2023). These RCMs were driven by ERA5 boundary conditions for a 42-year period from January 1979 to 142 143 December 2020. All ERA5 RCMs used WRF version 4.1.2. These CORDEX-CMIP6 ERA5 RCMs

144	were also run using two nested domains with one-way nesting. The outer domain over CORDEX-
145	Australasia used a quasi-regular grid spacing of approximately 20 km (0.2° x 0.2° on a rotated
146	coordinate system), and the inner domain over south-eastern Australia used a resolution of
147	approximately 4 km. Both domains used 45 vertical levels. The seven WRF RCM configurations (R1-
148	R7) used different parameterisations for planetary boundary layer physics, surface physics, cumulus
149	physics, land surface model (LSM), and radiation, noting that several parameters differed relative to
150	those of the ERA-Interim WRF RCMs (Table 1). Four of the ERA5-RCMs used the Noah-MP LSM
151	with its, 'dynamic vegetation cover' option activated (referred to as 'dynamic vegetation' in the WRF
152	users' guide) (Niu et al., 2011). When deactivated (the default), monthly leaf area index (LAI) is
153	prescribed for various vegetation types and the greenness vegetation fraction (GVF) comes from
154	monthly GVF climatological values. Conversely, when dynamic vegetation cover is activated, LAI
155	and GVF are calculated using a dynamic leaf model. We clarify here that dominant plant-functional
156	types do not change when using this option, but only the LAI and GVF, i.e. only the amount of green
157	cover changes. Additionally, while the indicated cumulus parametrisation was used in the 20 km-
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158	resolution outer domain, all ERA5-forced simulations were made convection-permitting in the 4 km
1	resolution outer domain, all ERA5-forced simulations were made convection-permitting in the 4 km inner domain; i.e. no cumulus parametrisation was used. Urban physics was switched on for these
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158 159 160 161 162 163 164 165 166 167	inner domain; i.e. no cumulus parametrisation was used. Urban physics was switched on for these simulations. These two design changes are unique to these ERA5-WRF RCMs. <u>The seven ERA5 WRF configurations were selected from an ensemble of seventy-eight</u> structurally different WRF RCMs. Each of these seventy-eight RCMs used different parameterisations for planetary boundary layer, microphysics, cumulus, radiation, and LSM, where parameterisation options were selected via literature review and recommendations from WRF model developers. These seventy-eight test RCMs were run for an entire annual cycle (2016 with a two-month spin-up period commencing 1 November 2015). The seven ERA5 WRF configurations were selected from this larger ensemble based on their skill in simulating the south-eastern Australian climate, whilst retaining as
158 159 160 161 162 163 164 165 166 167 168	inner domain; i.e. no cumulus parametrisation was used. Urban physics was switched on for these simulations. These two design changes are unique to these ERA5-WRF RCMs. <u>The seven ERA5 WRF configurations were selected from an ensemble of seventy-eight</u> structurally different WRF RCMs. Each of these seventy-eight RCMs used different parameterisations for planetary boundary layer, microphysics, cumulus, radiation, and LSM, where parameterisation options were selected via literature review and recommendations from WRF model developers. These seventy-eight test RCMs were run for an entire annual cycle (2016 with a two-month spin-up period commencing 1 November 2015). The seven ERA5 WRF configurations were selected from this larger ensemble based on their skill in simulating the south-eastern Australian climate, whilst retaining as much independent information as possible, (Evans et al. 2014; Di Virgilio et al. <i>in review</i> ).

172 for the inner domain over south-eastern Australia.

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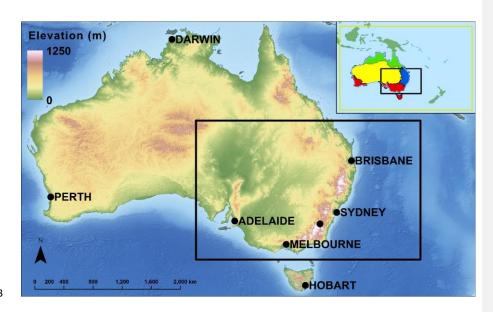


Figure 1. Topographic variation across Australia and major cities Inset: The CORDEX-Australasia
domain and four Natural Resource Management (NRM) regions/climate zones (blue = Eastern
Australia; red = Southern Australia; yellow = Rangelands; and green = Northern Australia). Seven
configurations of CORDEX-CMIP6 ERA5 weather research and forecasting (WRF) RCMs (R1-R7)
and three configurations of CORDEX-CMIP5 ERA-Interim WRF RCMs (WRF360J-K-L) were run
using two nested domains via one-way nesting with an outer domain over CORDEX Australasia and
an inner domain over south-eastern Australia (black rectangle in both main panel and inset).

**Table 1.** List of CORDEX-CMIP6 ERA5 and CORDEX-CMIP5 ERA-Interim forced RCMs assessed

192 by this evaluation study.

Reanalysis	RCM / Version	Planetary boundary layer physics / surface layer physics	Microphysics	Cumulus physics	Shortwave and longwave radiation physics	Land surface	Land options	Vertical Levels
	R1	YSU (Hong et al., 2006)	WSM6 (Hong and Lim, 2006)	BMJ (Janjić, 2000)	New Goddard (Chou et al., 2001)	Noah Unified (Tewari et al., 2016)	N/A	
	R2	MYNN2 (Nakanishi and Niino, 2009)	WSM6	Kain- Fritsch (Kain, 2004)	RRTMG (Iacono et al., 2008)	Noah-MP (Niu et al., 2011)	dynamic vegetation	
	R3	MYNN2	Thompson (Thompson et al., 2008)	BMJ	RRTMG	Noah-MP	dynamic vegetation	
ERA5	R4	MYNN2	Thompson	BMJ	RRTMG	Noah-MP	TOPMODEL runoff (SIMGM groundwater)	45
	R5	ACM2 (Pleim, 2007)	Thompson	BMJ	RRTMG	Noah-MP	dynamic vegetation	
	R6	ACM2	Thompson	Tiedtke (Tiedtke, 1989)	RRTMG	Noah-MP	dynamic vegetation	
	R7	ACM2	Thompson	Tiedtke	RRTMG	Noah-MP	TOPMODEL runoff (SIMGM groundwater)	

	WRF360J	Mellor-Yamada- Janjic/ETA Similarity	WRF Double- Moment 5	Kain- Fritsch	Dudhia/RRTM	Noah Unified		
	WRF360K	Mellor-Yamada- Janjic/ETA Similarity	WRF Double- Moment 5	Betts- Miller- Janjic	Dudhia/RRTM	Noah Unified		20
	WRF360L	Yonsei University/MM5 Similarity	WRF Double- Moment 5	Kain- Fritsch	CAM3/CAM3	Noah Unified		30
ERA-I	SWWA WRF330	Yonsei University/MM5 Similarity	WRF Single- Moment 5	Kain- Fritsch	Dudhia/RRTM	Noah Unified	N/A	
	CCAM	Monin-Obukhov Similarity Theory stability-dependent boundary-layer scheme (McGregor 1993)	Liquid and ice- water scheme (Rotstayn 1997)	Mass-flux closure (McGregor 2003)	GFDL (Freidenreich and Ramaswamy 1999)	CABLE (Kowalczyk et al. 2006)		27
	CCLM4-8- 17-CLM3- 5	Prognostic turbulent kinetic energy (Raschendorfer 2001)	Seifert and Beheng (2001), reduced to one moment scheme	Bechtold et al. (2008)	Ritter and Geleyn (1992)	CLM; (Dickinson et al. 2006)		35

#### 194 **2.2 Observations**

195 Australian Gridded Climate Data (AGCD version 1.0; Bureau of Meteorology, 2020; Evans et al., 2020) 196 were used to evaluate RCM performance. This daily gridded maximum and minimum temperature and precipitation data set has a grid-averaged resolution of 0.05° and is obtained from an interpolation of 197 198 station observations across the Australian continent. Observations include temperature minima and maxima only; hence, the ability of RCMs to reproduce mean temperature was not assessed. Following 199 200 Di Virgilio et al. (2019), the AGCD data were re-gridded to correspond with the RCM data on their 201 native grids using a conservative area-weighted re-gridding scheme. Most stations used for AGCD are in coastal areas, contrasting with a sparser representation inland, and especially in Australia's north-202 west. There are more precipitation stations than temperature stations. Only land points over Australia 203 204 were evaluated because AGCD observations are terrestrial data.

#### 205 2.3 Evaluation methods

# 206 2.3.1 Evaluations of CORDEX-CMIP6 ERA5 RCMs versus CORDEX-CMIP5 ERA 207 Interim RCMs

208 Annual and seasonal means were calculated for maximum and minimum temperature and precipitation 209 using monthly averages for each temperature variable, and the monthly sum for precipitation. Percentiles (i.e. extremes: 99th percentiles for maximum temperature and precipitation; 1st percentile for 210 minimum temperature) were calculated using daily values. RCM performances in reproducing 211 212 observations over these timescales were assessed by calculating the model bias, i.e. model outputs 213 minus observations, and the RMSE of modelled versus observed fields. The statistical significance of 214 mean annual and seasonal biases compared to the AGCD observations was calculated for each grid cell 215 using t-tests ( $\alpha = 0.05$ ) for maximum and minimum temperature assuming equal variance. The Mann-216 Whitney U test was used for precipitation given its non-normality. Results on the statistical significance

of each ensemble mean were separated into three categories following Tebaldi et al. (2011): 1) statistically insignificant areas are shown in colour, denoting that less than 50% of RCMs are significantly biased, which is the most desired outcome; 2) in areas of significant agreement (stippled), at least 50% of RCMs are significantly biased and at least 66% of significant models agree on the sign of the bias. In such areas, many ensemble members have the same bias sign which is an undesirable outcome; and 3) areas of significant disagreement are shown in white, where at least 50% of RCMs are significantly biased and fewer than 66% of significant models agree on the bias sign.

The ability of the RCMs to simulate observed variables at daily time scales was also assessed by comparing the probability density functions (PDFs) for daily mean observations versus those of the RCMs. PDFs were <u>separately</u> calculated <u>for Australia and for each of four natural resource management</u> (NRM) climate regions shown in Figure 1 for maximum and minimum temperature, and precipitation.

Here, daily precipitation values below 0.1 mm were omitted from the RCM output, because rates below this amount fall below the detection limit of the stations used to produce the observed data set. Additionally, the daily rainfall observational network used to produce the AGCD has large gaps in several areas of central Australia; hence, RCM output was masked over these areas. RCM and observed PDFs were compared using the Perkins Skill Score (PSS; Perkins et al. (2007), which measures the degree of overlap between two PDFs, with PSS = 1 indicating that the distributions overlap perfectly.

# 234 2.3.2 Comparing ERA5 versus ERA-Interim RCM performances after switching driving 235 reanalyses

236 Any performance differences of the ERA5-forced and ERA-Interim-forced RCMs could be partially 237 due to the change in the driving reanalysis, as well as factors such as different RCM physics 238 configurations, model version and other design specifications. To assess whether the change in ERA5 239 versus ERA-Interim driving reanalyses may underlie differences in performance profiles of the WRF 240 RCMs from the two generations of CORDEX experiment we conduct two investigations: 1) the ERA5 241 and ERA-Interim reanalysis data are compared against AGCD observations to assess their degree of 242 bias for annual and seasonal timescales; and 2) fourteen-month simulations are performed where 243 otherwise identically parameterised and configured CORDEX-CMIP6 NARCliM2.0 R1-R7 RCMs are 244 forced by ERA-Interim as opposed to ERA5, and similarly the WRFJ-K-L RCMs from the CORDEX-245 CMIP5 era are forced with ERA5 instead of ERA-Interim. For instance, the ERA5-RCMs CORDEX-246 CMIP6 (NARCliM2.0) RCMs are run for the same 4 km convection permitting domain using the same 247 physics options and model setups with the only changes being to swap ERA5 for ERA-Interim and 248 running for 14 months. These simulations start on 1 November 2015, with evaluation performed for the 249 twelve months of 2016, i.e. using the first 2-months as spin-up period. Australia experienced a range of 250 weather extremes during 2016 driven by a range of climatic influences making 2016 a suitable target 251 year (Bureau of Meteorology, 2017). Owing to finite compute resources, it was not possible to simulate

for a longer period for these experiments.

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## 256 **3. Results**

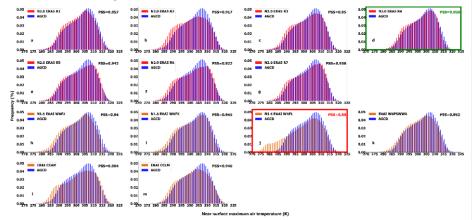
- 257 RCM evaluation results are presented first for the 29-year CORDEX-CMIP6 ERA5-forced and
- 258 CORDEX-CMIP5 ERA-Interim-forced simulations. Evaluation results from switching the driving
- reanalyses of the CORDEX-CMIP6 and CORDEX-CMIP5 RCMs are then considered.

# 260 3.1 Evaluation of CORDEX-CMIP6 ERA5-RCM and CORDEX-CMIP5

261 ERA-Interim performances

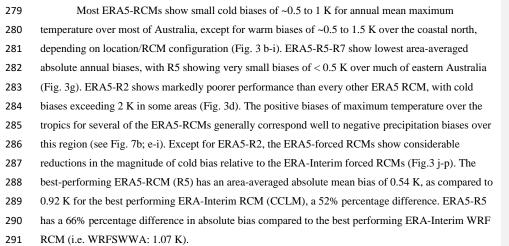
#### 262 3.1.1 Maximum Temperature

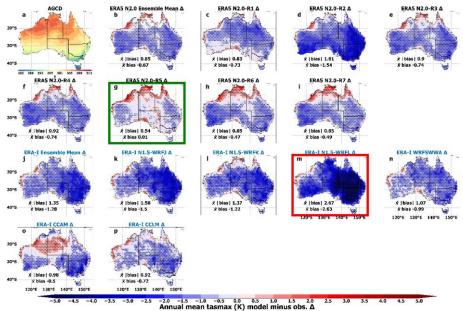
- 263 Both ERA5 and ERA-Interim forced RCMs overestimate the frequency of lower-than-average
- 264 maximum temperatures and underestimate the observed peaks (Fig. 2). However, most ERA5 RCMs
- simulate occurrences of warmer than average temperatures more accurately than the ERA-Interim
- 266 RCMs, especially ERA5-R3 (Fig. 2c). The ERA5-RCMs with highest PSS scores (i.e. >0.95; R1 and
- R4) show closer correspondences to the observed peaks than the other ERA5-RCMs, but they
- 268 underestimate the distribution right tail. In some respects, RCM performances in PDFs stratified by
- 269 NRM region can show different patterns of results versus the nationally aggregated data (Online
- 270 <u>Resource 1: Figures S1-S4). For instance, most ERA5-RCMs show larger over-estimations of warmer</u>
- 271 <u>than average daily maximum temperatures over the Northern Australia region (Figure S4) than for</u>
- 272 <u>Australia-wide data (Figure 2).</u>



273

- Figure 2. Probability density functions (PDFs) of mean daily maximum near-surface air temperatures
  (K) across Australia for 1981-2010. Panels a-m show the PDF of a specific RCM configuration
  relative to that of Australian Gridded Climate Data (AGCD) observations; a-g are NARCliM2.0
- 277 ERA5-forced RCM configurations; h-m are ERA-Interim-forced RCM configurations. Panel
  278 boundaries in green (red) indicate the RCMs with highest (lowest) PSS. <u>PDF bin width is 1 K.</u>
- 270





293 Figure 3. Annual mean near-surface atmospheric maximum temperature bias with respect to 294 Australian Gridded Climate Data (AGCD) observations for 1981-2010. Stippled areas indicate 295 locations where an RCM shows statistically significant bias (P < 0.05). b Significance stippling for 296 the ensemble mean bias follows Tebaldi et al. (2011) and is applied separately to each of the two 297 RCM ensembles. Statistically insignificant areas are shown in colour, denoting that less than half of 298 the models are significantly biased. In significant agreeing areas (stippled), at least half of RCMs are 299 significantly biased, and at least 66% of significant RCMs in each ensemble agree on the direction of 300 the bias. Significant disagreeing areas are shown in white, which are where at least half of the models 301 are significantly biased and less than 66% of significant models in each ensemble agree on the bias direction - see main text for additional detail on the stippling regime. Panel boundaries in green (red) 302 303 indicate the RCMs with lowest (highest) area-averaged mean absolute biases

304 During summer, the magnitude and spatial extent of maximum temperature warm biases 305 increase for all RCMs relative to the annual mean biases (Fig. S5). During winter, several ERA5 306 RCMs (R1, R3, R4, R5) retain much smaller cold biases than most ERA-Interim-forced models (Fig. 307 S6). RMSE magnitudes peak for most ERA5 and ERA-Interim models in February (at the end of 308 austral summer), except for several ERA-Interim RCMs which slow larger RMSEs in winter, 309 especially ERAI-WRFL; Fig. S7). 310 For extreme (99th percentile) maximum temperatures, whilst ERA5-RCMs show lower overall 311 biases relative to the ERA-Interim RCMs, the former show strong warm biases along coastlines that 312 are typically stronger than biases further inland (Fig. SS). These biases are particularly pronounced 313 along northern and eastern coastlines. ERA5-R1 and R5 show the lowest overall mean absolute biases 314 for extreme maximum temperature, especially over south-eastern Australia. The various mean 315 absolute bias and PSS statistics for maximum temperature for the 20 km domain are summarised in 316 Online Resource Table S1.

#### 317 3.1.2 Minimum Temperature

318 PDFs of daily minimum temperature for the ERA-Interim-forced WRFJ and WRFK RCMs match

319 observations most closely relative to the ERA5- and other ERA-Interim forced RCMs (Fig. 4).

320 Observed PDFs at the continental scale show a slight bimodality that is captured by ERA5-R1, ERA5-

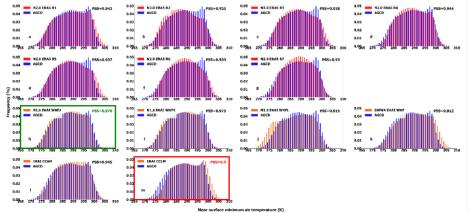
R4, ERAI-WFJ, ERAI-SWWA and ERAI-CCLM. However, this bimodality is generally not present 321

322 in PDFs stratified for specific NRM regions (Figures S9-S12). Several RCMs struggle to simulate

323 minimum temperature occurrences in the middle of the distribution (i.e. ~285-290K), except for

ERA5-R5 and ERA-Interim-WRFJ, WRFK, and CCLM which closely match minimum temperatures 324

325 in this range.



326

327 Figure 4. Probability density functions (PDFs) of mean daily minimum near-surface air temperatures

328 (K) across Australia for 1981-2010. Panels a-m show the PDF of a specific RCM configuration 329

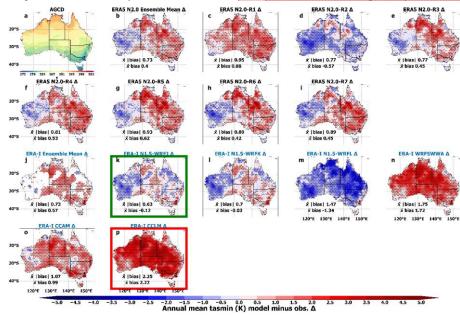
relative to that of Australian Gridded Climate Data (AGCD) observations; a-g are NARCliM2.0

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338	boundary colouring as per Fig. 2. PDF bin width is 1 K.
339	ERA5-RCMs generally overestimate mean minimum temperature annually (Fig. 5) and
340	seasonally (Fig S13-summer and S14-winter), except for ERA5-R2 which is cold biased. In contrast,
341	ERA-Interim-RCMs show a mixed signal for WRF-J and WRF-K, cold bias for WRF-L and warm
342	biases for the remaining RCMs. Warm biases are strongest during JJA for most ERA5-RCMs, and
343	especially for ERA-Interim CCAM and CCLM (Fig. S14). Whereas ERA5-R2 performs generally
344	poorly for maximum temperature relative to the other ERA5-RCMs (e.g. annual mean absolute bias, =
345	1.61K), its bias is substantially reduced for minimum temperature (annual mean absolute bias =
346	0.77K). ERA5 R2 and R3 show better performance for minimum temperature relative to the other
347	ERA5-RCMs. Their area-averaged annual mean absolute biases (0.77K in both cases) are more
348	comparable to the ERA-Interim-forced WRFJ-K RCMs which simulate annual mean minimum
349	temperature most accurately (annual mean <u>absolute biases</u> = 0.66K and 0.7 K, respectively).

ERA5-forced RCM configurations; h-m are ERA-Interim-forced RCM configurations. Panel



# 350

337

Figure 5. Annual mean near-surface atmospheric minimum temperature bias with respect to gridded
 observations for 1981-2010. Stippling and panel boundary colouring as per Fig. 3

- 353 RMSE annual cycles for mean minimum temperature broadly reflect the above pattern of
- results (Fig. <u>\$15</u>). For most months throughout the annual cycle, RMSEs are typically lowest for
- ERA-Interim WRFJ-K. However, ERA5-R1, R2 also show small RMSEs from May to August, with
- 356 RMSEs also being low for ERA5-R3 during spring (September to November).

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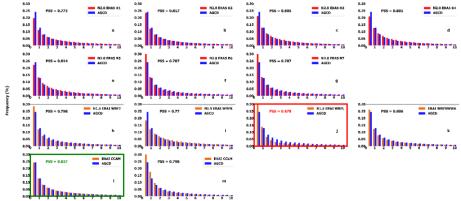
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369 The majority of ERA5 and ERA-Interim RCMs are generally warm-biased for extreme 370 minimum temperature over most of Australia, with only small areas of cold bias over the north-west 371 (Fig. S16). The exceptions are ERA5-R2 and ERA-Interim-WRFJ-K which show biases of mixed sign 372 across larger areas of Australia, and ERA-Interim WRFL which is strongly cold biased (Fig. S16). 373 ERA5-R2 and R3 show reasonably good performance for extreme minimum temperature as compared 374 to the other ERA5 models, however, ERA-Interim WRFJ-K simulate extreme minimum temperature 375 most accurately. Mean absolute bias and PSS statistics for minimum temperature for the 20 km 376 domain are summarised in Table S1.

#### 377 3.1.3 Precipitation

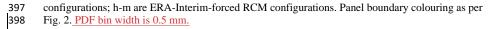
PDFs of mean daily precipitation show that ERA5-R2, ERA-Interim-forced CCAM and WRFSWWA 378 379 simulate the occurrence of rainfall events up to 5 mm day<sup>-1</sup> more accurately than the other RCMs (Fig. 380 6). Heavier rainfall events (approximately >7 mm day<sup>-1</sup>) are underestimated by several RCMs. 381 Overall, the ERA5-RCMs simulate daily precipitation occurrences consistently better than the ERA-Interim-RCMs, i.e. four of the seven ERA5-RCMs have PSS >0.8 compared to two of six ERA-382 383 Interim RCMs. Of the ERA5-forced RCMs, R2 produces the best simulation of daily rainfall 384 occurrences. There are some interesting differences in RCM performance between the NRM regions 385 (Fig. S17-S20). For instance, most RCMs generally show more skill in capturing daily precipitation 386 distributions over Southern Australia than other NRM regions, with the ERA5-RCMs performing 387 particularly well over this region (Fig. S18). Conversely, performances of most RCMs are generally 388 poorer over Northern Australia than other regions, though ERA5-R5 and ERA-Interim-CCAM show 389 better performances than their peers over this region with PSS of 0.743 and 0.746, respectively, versus 390 mean PSS of 0.697 (standard deviation = 0.058; Fig. S20).



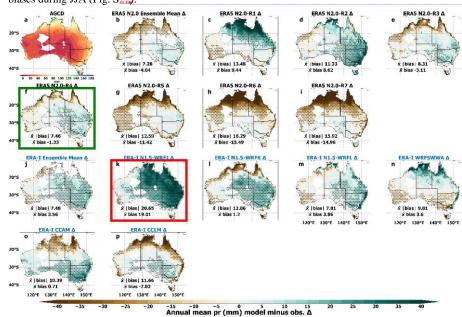
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- **Figure 6.** Probability density functions (PDFs) of mean daily precipitation (mm day<sup>-1</sup>) across
- Australia for 1981-2010. Panels a-m show the PDF of a specific RCM configuration relative to that of
- 394Australian Gridded Climate Data (AGCD) observations; a-g are NARCliM2.0 ERA5-forced RCM

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399 All ERA5 RCMs except for R1 and R2 are dry-biased for annual mean precipitation over the 400 monsoonal north (Fig. 7), with R6-7 producing the strongest dry biases exceeding -40 mm over this 401 region (Fig. 7h-i). Of the ERA5 RCMs, R1 and R2 are exceptional in that they show widespread wet 402 biases. ERA5-R1 and R2 both use WSM6 microphysics, whereas R3-R7 use Thompson microphysics (see Discussion 4.1). ERA5-R2 shows the strongest wet-bias over eastern Australia of ~20 mm, 403 whereas ERA5-R3-4 show smaller wet biases (~5-10 mm) over this region. All ERA5-forced models 404 405 show dry biases (between -20 and -35 mm) along the south-western coastline of western Australia. Overall, with the exceptions of R6 and R7, the ERA5-forced RCMs show reduced mean precipitation 406 407 bias relative to the ERA-Interim forced RCMs, especially over southeastern Australia. All RCMs 408 show the strongest biases (of either sign) during DJF (Fig. <u>\$21</u>). For instance, the area and magnitude 409 of dry-bias over northern Australia increase for ERA5-R3-R7 (Fig. S21). All RCMs show the smallest 410 biases during JJA (Fig. S22).



411

412 Figure 7. Annual mean precipitation bias with respect to gridded observations for the RCMs for
413 1981-2010. Stippling and panel boundary colouring as per Fig. 3.

- 414 Overall, RMSE annual cycles are similar for the different RCMs (Fig. S23). ERA-Interim
   415 CCAM has the lowest RMSEs throughout the year. Otherwise, all ERA5-forced RCMs have lower
- 416 RMSEs than the ERA-Interim forced models (except for CCAM) from April to October, which is an
- 417 important growing season in southern Australia.

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423	The ERA5-RCMs generally over-estimate extreme precipitation over Australia and especially
424	the south-east, though R3, R4 and R5 show widespread dry biases over north-western regions (Fig.
425	S24). The R1 and R2 RCMs show larger extreme precipitation wet biases relative to the other ERA5
426	RCMs (i.e. mean absolute biases of 20.02 mm and 14.83 mm, versus 9.21 mm to 11.4 mm, Fig. S24).
427	Several ERA-Interim-forced RCMs (i.e. WRFJ, WRFK, WRFL) produce similar patterns of bias to
428	the ERA5 RCMs, for instance, with wet biases over south-eastern Australia and dry biases over
429	northern and central regions. Overall, the magnitude of biases over the outer domains is similar
430	between the different RCM generations, with several RCMs showing low mean absolute biases,
431	ranging from 8.75 mm to 10.25 mm. However, focusing specifically on the high-resolution inner
432	domains of ERA5-RCMs and ERA-Interim-WRFJ-K-L_RCMs, noting this domain is uniquely
433	convection-permitting (~4 km) for ERA5-RCMs, most ERA5-RCMs show smaller biases than WRFJ-
434	K-L (Fig. S25). For this inner domain, ERA5-R3, R5, R6, R7 show small biases (i.e. < mm),
435	particularly over south-eastern coastal areas. Mean absolute bias and PSS statistics for precipitation
436	for the 20 km domain are summarised in Table S1.
1	

## 437 3.2 Assessing the effects of switching driving ERA5 versus ERA-Interim

## 438 reanalyses on RCM performances

439 This section investigates whether performance differences of the ERA5-forced and ERA-Interim-

440 forced RCMs may be attributable to the different generations of driving reanalyses as opposed to

441 factors such as different RCM physics parameterisations and design specifications. First, biases in the

- 442 two reanalyses data sets with respect to observations are assessed. The assessment then focuses on the
- 443 capacities of the CORDEX-CMIP6 era R1-R7 RCMs and the CORDEX-CMIP5 era WRFJ-K-L
- 444 RCMs to simulate the south-eastern Australian climate when each RCM generation uses first ERA5
- 445 and then ERA-Interim driving data. This assessment also provides a further view of the how the WRF
- 446 RCM performances vary over this high-resolution domain relative to the CORDEX Australasia
- 447 domain. These comparative simulations are only available for the higher resolution inner domain over448 south-eastern Australia.

#### 449 3.2.1 ERA5 and ERA-Interim reanalysis biases relative to observations

450 Both ERA5 and ERA-Interim are generally cold biased in their simulation of mean maximum

451 temperature at annual, summer and winter timescales during 1981-2010 (Fig. S26). However, biases

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452 are larger in magnitude for ERA-Interim relative to ERA5, especially during summer i.e. ERA5 mean
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453 <u>absolute bias = 1.22 K; ERA-Interim = 2.07 K. Biases in ERA5 and ERA-Interim during 2016 are</u>

454 largely consistent with these results (Fig.  $S_{27}$ ).

455 ERA5 and ERA-Interim overestimate mean minimum temperature over most of Australia at 456 all timescales for both 1981-2010 (Fig. S28) and 2016 (Fig. S29). Biases are again smaller for ERA5

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473	than for ERA-Interim. For ERA-Interim, warm biases are especially large in magnitude along the
474	eastern and southern coastlines and over the island of Tasmania.
475	ERA5 shows substantial improvements in simulating mean precipitation at all timescales
476	relative to ERA-Interim (Fig. S <u>30</u> , i.e. ERA5 annal mean <u>absolute bias = 4.18 mm; ERA-Interim =</u>
477	8.14 mm). This applies to both periods assessed, i.e. including for 2016 (Fig. S31). Additional
478	differences in the biases between the reanalysis data sets include ERA-Interim's stronger dry biases
479	over the monsoonal north during summer (wet season) and marked dry biases along the eastern
480	coastline and elevated terrain in south-eastern Australia (Fig. S <u>30</u> ).

#### 3.2.2 Comparing RCM performances after switching the driving reanalyses 481

482 Prior to switching the driving reanalyses of the two generations of RCMs, the ERA5-NARCliM2.0

483 RCMs show large reductions in cold bias (Fig. 8b-i) relative to the ERA-Interim-forced RCMs (Fig.

484 8j-m), with ensemble mean bias magnitudes of 1.09K and 2.46K, respectively.

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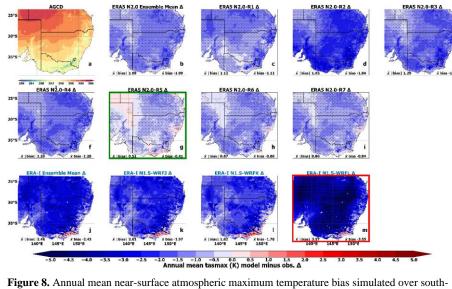
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boundary colouring as per Fig. 3.



eastern Australia (WRF simulation inner domain) with respect to gridded observations for the period

1981-2010 for NARCliM2.0 RCMs (b-i) and NARCliM1.5 RCMs (j-m). Stippling and panel

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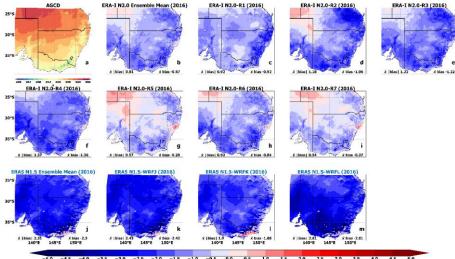
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Switching the driving reanalysis of the CORDEX-CMIP6 NARCliM2.0 RCMs small	 Deleted: generation
improvements in the simulation of maximum temperature for several ERA-Interim-forced	
NARCliM2.0 RCMs (i.e. for R1, R2, R3 and R7; Fig. 9c,d,e,i). In contrast, ERA-Interim-	
NARCliM2.0 R4-5-6 show slight degradations in performance (Fig. 9f,g,h). However, the	
NARCliM2.0 ERA-Interim ensemble mean average absolute bias is 0.91K versus 1.09K for the	 Deleted:
NARCliM2.0 ERA5 ensemble. Therefore, overall, there is a small performance improvement in	Deleted:

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- 515 forcing the CORDEX-CMIP6 era RCMs using the older reanalysis. Similarly, the CORDEX-CMIP5
- 516 era WRFJ and WRFK show poorer simulations of maximum temperature when forced using ERA5
- 517 (Fig. 9k-l) relative to their ERA-Interim-forced counterparts, with only ERA5-WRFL showing a
- 518 marked improvement (Fig. 9m).



4.0 -3.5 -3.0 -2.5 -2.0 -1.5 -1.0 -0.5 0.0 0.5 1.0 1.5 2.0 2.5 3.0 3.5 4.0 ERA-I N2.0 v ERA5 N1.5 2016: Annual mean tasmax (K) model minus obs. Δ

Figure 9. Annual mean near-surface atmospheric maximum temperature bias simulated over south eastern Australia (WRF simulation inner domain) with respect to gridded observations for
 NARCliM2.0 RCMs forced by ERA-Interim for 2016 plus two months spin-up starting in November
 2015 (a-i), and corresponding NARCliM1.5 simulations for the same period forced by ERA5 (j-m).

524 In terms of RCM performances in simulating minimum temperature prior to switching the

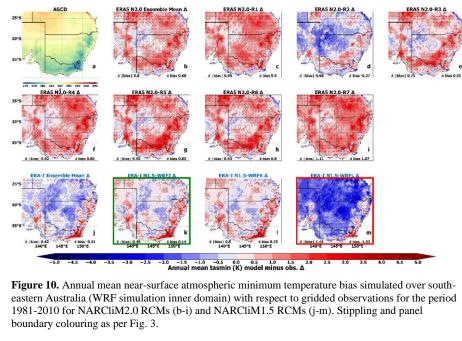
- 525 driving reanalyses, ERA-Interim-forced WRFJ-K-L RCMs of the CORDEX-CMIP5 era have lower
- 526 overall biases for minimum temperature over the inner domain relative to the NARCliM2.0 ERA5-

527 R1-R7 RCMs (i.e. ensemble mean <u>absolute</u> biases are 0.62K and 0.8K, respectively; Fig. 10b,j).

528 However, the biases of each RCM generation vary geographically, such that the bias magnitudes for

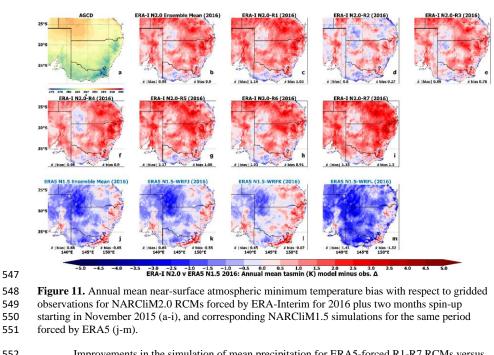
- 529 some ERA5-RCMs (e.g. R2-R3) are lower along coastal areas relative to ERA-Interim WRFJ-K-L
- 530 over the same areas (Fig. 10d-e; k-m). Conversely, biases are lower over inland regions for ERA-
- 531 Interim WRFJ-K-L relative to ERA5-RCMs.

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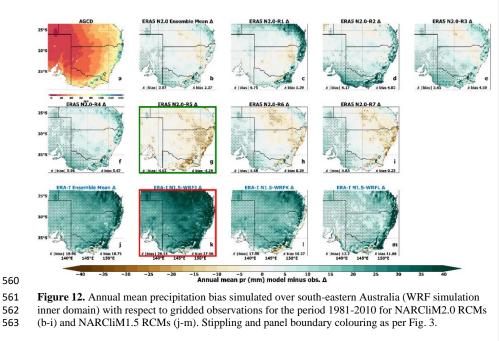
539	Considering RCM simulations of mean minimum temperature with the driving reanalyses
540	switched, performances are typically substantially poorer for the ERA5-forced WRFJ-K-L RCMs
541	(Fig. 11) relative to their ERA-Interim-forced counterparts: the ensemble mean absolute biases are
542	0.88K versus 0.62K, respectively. In contrast, although all NARCliM2.0 RCMs except R2 show
543	performance degradations when forced with ERA-Interim instead of ERA5 (e.g. ensemble mean
544	biases are 0.98K and 0.8K, respectively), these deteriorations are small (Fig. 11b-i).

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552	Improvements in the simulation of mean precipitation for ERA5-forced R1-R7 RCMs versus
553	ERA-Interim WRFJ-K-L RCMs are especially evident over the high resolution south-eastern inner
554	domain. At this scale, biases for several ERA5-forced R1-R7 RCMs are $< -5$ mm compared to $> -15$
555	mm for the ERA-Interim-WRFJ-K-L RCMs (Fig. 12). Moreover, several improvements in the ERA5-
556	RCM simulation of annual mean precipitation are apparent at convection permitting scale relative to
557	over the 20 km outer domain. For instance, dry biases for ERA5-R3 and R5 along the eastern
558	coastline are reduced at the convection-permitting scale.

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564	Switching driving reanalyses and simulating annual mean precipitation produces results that
565	show consistent, large changes in RCM performances when using the newer ERA5 data, versus ERA-
566	Interim. Forcing the NARCliM2.0 R1-R7 RCMs with ERA-Interim shows widespread, marked
567	increases in bias for annual mean precipitation for 2016 (Fig 13b-i) relative to the preceding
568	simulations using ERA5, such that the ensemble area-averaged mean absolute bias deteriorates to 8.02
569	mm versus 3.97 mm, i.e. roughly doubling the bias magnitude. Conversely, forcing WRFJ-K-L with
570	ERA5 improves the simulation of annual mean precipitation with all RCMs showing reductions in
571	bias (Fig. 13j-m), such that the ensemble mean <u>absolute bias</u> decreases from 18.96 mm to <u>11.3</u> mm.
572	These performance improvements are smaller in magnitude as compared to the degradation in
573	performance when switching the driving data for the NARCliM2.0 R1-R7 RCMs.

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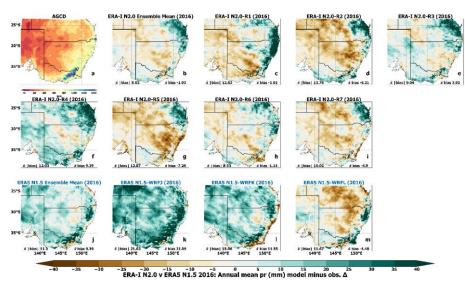


Figure 13. Annual mean precipitation bias with respect to gridded observations for NARCliM2.0
 RCMs forced by ERA-Interim for 2016 plus two months spin-up starting in November 2015 (a-i), and
 corresponding NARCliM1.5 simulations for the same period forced by ERA5 (j-m).

# 588 4. Discussion

584

- 589 We have evaluated the capabilities of CORDEX-CMIP6 ERA5-driven RCMs in simulating the
  590 Australian climate and compared their performances to the previous generation of ERA-Interim
  591 forced RCMs produced for CORDEX-CMIP5. The newer generation of RCMs generally show
- 592 improved simulations of maximum temperature and precipitation, but no improvements for minimum
- temperature. Several changes have been made to the design of the newer generation of RCMs,
- including different RCM physics parameterisations, model specifications, and the driving reanalysis is
- newer (ERA5). We found no evidence to suggest that the newer reanalysis contributes to the
- 596 improvements in the simulation of maximum temperature by the ERA5 RCMs, whereas the opposite
- 597 applies to the simulation of precipitation. This study focuses primarily on model evaluation with
- 598 investigations of potential mechanisms underlying the varying performance profiles of the different
- 599 RCM generations to be the subject of future research. This will be facilitated by the imminent
- 600 publication of the NARCliM2.0 ERA5-RCM data.

## 601 **4.1 RCM performance evaluation**

- 602 As per the ERA-Interim driven RCMs, the NARCliM2.0 CORDEX-CMIP6 ERA5 RCMs are
- 603 generally cold-biased for mean maximum temperature, however, their bias magnitudes are
- 604 substantially lower relative to the CORDEX-CMIP5 ERA-Interim ensemble. The reductions in bias

606 permitting 4 km inner domain over south-eastern Australia. Similarly, these ERA5 RCMs show an 607 overall improved simulation of extreme maximum temperature over most of Australia relative to the 608 CORDEX-CMIP5 ERA-Interim forced RCMs. Improved simulation of mean and extreme maximum 609 temperature has important practical applications for climate impact assessment in Australia (e.g. Van Oldenborgh et al., 2021; Di Virgilio et al., 2020a; Trancoso et al., 2020), as well as globally (e.g. 610 Vargas Zeppetello et al., 2022; Schleussner et al., 2016; Auffhammer et al., 2017). 611 612 Overall, CORDEX-CMIP6 ERA5-RCMs confer improvements in the simulation of mean 613 precipitation over south-eastern Australia relative to the CORDEX-CMIP5 ERA-Interim RCMs, with 614 two ERA5 RCMs in particular (R3, R4) showing considerable improvements over this region. 615 Improvements in the simulation of mean precipitation by CORDEX-CMIP6 ERA5 RCMs are even 616 more marked at convection-permitting scale over south-eastern Australia, i.e. the ERA5 ensemble 617 mean is 3.97 mm versus 18.96 mm for the ERA-Interim ensemble. Given the significant impacts of drought and floods in Australia (González Tánago et al., 2016; Gu et al., 2020), this improvement in 618 619 mean precipitation simulation is an encouraging result. The performance in simulating extreme precipitation over the Australian continent is comparable between the CORDEX-CMIP6 ERA5 620 RCMs and most CORDEX-CMIP5 ERA-Interim RCMs, except WRFSWWA, CCAM and CCLM 621 622 which show strong biases. However, at convection-permitting scale, some ERA5-RCMs show 623 improvements of around 10% in the simulation of extreme precipitation relative to the ERA-Interim 624 RCMs, except ERA5-R1 and R2 which are strongly wet-biased. For both mean and extreme 625 precipitation, ERA5 R1 and R2 are notable in that they are more wet-biased than the other ERA5 626 RCMs, especially over northern Australia where all other ERA5-RCMs contain a systematic dry-bias. 627 The only physics parameterisation common to both ERA5-R1 and R2 is their use of WSM6 628 microphysics, and no other RCMs assessed here use this physics scheme, with ERA5-R3-R7 using 629 Thompson microphysics. A previous assessment of the performance of different WRF 630 parameterisations for a one-way nested inner domain over central Europe observed that WSM6 631 increases annual wet bias relative to other microphysical schemes tested, including the Thomson scheme (Varga and Breuer, 2020). Notably, marked dry-biases over the monsoonal north for several 632 ERA5-forced RCMs correspond with warm maximum temperature biases over this region shown by 633 634 several ERA5 RCMs. 635 Whilst the ERA5 RCMs confer improvements to the simulation of maximum temperature and precipitation relative to ERA-Interim models, the simulation of minimum temperature for all 636 637 timescales and statistics shows no improvement over the Australian continent. Focusing specifically 638 on the WRF RCM configurations in the ERA-Interim ensemble, WRFJ and WRFK simulate both 639 mean and extreme minimum temperature more accurately than the ERA5-forced models, though in

magnitude for most CORDEX-CMIP6 ERA5-RCMs are especially marked for the convection-

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some cases the differences are minimal. The exception to the above result is that some ERA5-RCMs

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## 647 4.2 ERA5 versus ERA-Interim evaluations: potential implications for

#### 648 CMIP6-forced dynamical downscaling

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649 It could be expected that differences in the reanalysis data sets used to force the two generations of WRF RCM ensemble contribute to the varying RCM performance profiles observed. ERA5 is a more 650 651 recent reanalysis which comprises a range of improvements over ERA-Interim, for instance, increased 652 resolutions spanning horizontal (~31 km versus ~79 km), vertical (137 levels to 0.01 hPa versus 60 to 0.1 hPa), and temporal dimensions (hourly versus 6-hourly), among other features such as improved 653 parameterisations (Hersbach et al., 2020). ERA5 has been shown to confer improvements over ERA-654 655 Interim in the simulation of processes such as convective updrafts, tropical cyclones, and other meso-656 to synoptic-scale atmospheric features (Hoffmann et al., 2019) and in some cases the simulation of 657 rainfall (e.g. Nogueira, 2020). Our investigation into whether differences in the driving reanalyses contribute to the varying RCM performances observed between the two WRF RCM ensembles 658 659 involved two assessments: i) comparisons of the ERA5 and ERA-Interim reanalyses against AGCD 660 observations to assess their degree of bias; ii) fourteen-month simulations where otherwise identically 661 parameterised NARCliM2.0 R1-R7 RCMs were forced by ERA-Interim as opposed to ERA5, and 662 similarly the WRFJ-K-L RCMs were forced with ERA5 instead of ERA-Interim. Comparison of ERA5 and ERA-Interim reanalysis data versus observations for mean 663 maximum and minimum temperature and precipitation shows the expected results, i.e. that ERA5 data 664 are closer to observations relative to ERA-Interim for all variables, especially for mean precipitation. 665 666 Percentage differences in area-averaged mean absolute bias for annual means range from 25% for

more divergent than at annual timescales. Therefore, in terms of the underlying reanalysis data used toforce the different WRF RCMs evaluated, ERA5 shows improvements relative to ERA-Interim.

minimum temperature to 65% for precipitation, also noting that performances during summer were

Additionally, these improvements are of larger magnitude for mean precipitation than they are for mean maximum and minimum temperature.

672 For the 1-year simulations where the driving reanalyses are switched, using ERA5 over ERA-673 Interim gives a large performance improvement in the simulation of annual mean precipitation for the CORDEX-CMIP5 WRFJ-K-L RCMs. In contrast, using ERA5 over ERA-Interim as the driving data 674 675 generally produces RCM performance degradations for both annual mean maximum and minimum 676 temperature. That is, a superior simulation of mean maximum and minimum temperature is generally 677 obtained for both generations of WRF RCM by using ERA-Interim instead of ERA5. These results 678 suggest that, at least for the different generations of WRF RCM assessed here in these 1-year experiments, using a more accurate driving reanalysis for dynamical downscaling over this region 679

680 does not guarantee an enhanced simulation for all climatic variables. This result is surprising and 681 warrants further investigation. However, this finding suggests that the parameterisations and design 682 features of the WRF RCMs assessed play important roles in determining how well these RCMs 683 simulate mean maximum and minimum temperature. Consequently, the improved simulations of 684 maximum temperature by CORDEX-CMIP6 ERA5-RCMs relative to CORDEX-CMIP5 ERA-685 Interim-RCMs are more attributable to model design choices, such as physics parameterisations and/or improved resolution, rather than to the driving reanalyses per se. Additionally, that the 686 687 CORDEX-CMIP6 ERA5-forced R1-R7 RCMs do not improve the simulation of minimum 688 temperature relative to CORDEX-CMIP5 ERA-Interim-forced RCMs is not attributable to the change 689 from ERA-Interim to ERA5 as the driving reanalysis, rather, to aspect(s) of model parameterisation/design. Conversely, substantial improvements in simulating mean precipitation by 690 691 CORDEX-CMIP6 ERA5-RCMs relative to CORDEX-CMIP5 ERA-Interim-forced RCMs appear (at 692 least in part) due to the improvements to the ERA5 driving reanalysis. There are limitations to these comparative analyses switching the driving data, such as simulating for fourteen months and not a 693 694 climatological period. Nevertheless, the present evaluations suggest that whether CORDEX-CMIP6 dynamical downscaling of CMIP6 GCMs produces improved regional climate simulations relative to 695 696 CORDEX-CMIP5 downscaling may depend in large part, at least for some variables/statistics, on RCM parameterisations and other design choices. However, the generality of these findings to other 697 RCM types, configurations, study domains, and downscaling experiments warrants further research as 698 699 these results may be specific to the WRF RCMs and domains assessed here.

# 700 4.3 ERA5-R1-R7 and CMIP6-forced dynamical downscaling

701 Although a single 'all-round' best-performing ERA5-RCM configuration cannot be selected, the RCM

702 performances for the climate variables and statistics assessed here yield some insights if selecting a

703 <u>subset of ERA5-RCM configurations for subsequent CMIP6-forced downscaling. Overall, ERA5-R1</u>

704 provides a good simulation of both mean and extreme maximum temperature and is broadly

705 <u>comparable to the other ERA5-RCMs with respect to minimum temperature. However, its simulation</u>

of mean and extreme precipitation is relatively poor as compared to most ERA5-RCMs. ERA5-R2 has

- an unusual performance profile relative to the other ERA5-RCMs. Although ERA5-R2 shows
- 708 generally good performance for minimum temperature, extreme maximum temperature and

709 precipitation, it shows poor performance for mean maximum temperature in that is considerably more

- 710 <u>cold-biased than the other ERA5-RCMs. ERA5-R2 is the only ERA5-forced RCM configuration in</u>
- 711 this ensemble to use Kain-Fritsch cumulus physics, and it shows mean maximum temperature biases
- 712 of roughly similar magnitude and spatial pattern as the ERA-Interim WRFJ and WRFK RCMs which
- 713also use the same scheme. However, ERA5-R2 also generates a strong mean maximum temperature
- 714 <u>cold bias over south-eastern Australia at the 4 km convection-permitting scale which does not use</u>

715	cumulus parameterisation. ERA5-R3 shows good performance for mean minimum temperature and
716	mean precipitation and reasonable performance for mean maximum temperature. The performance of
717	ERA5-R4 is broadly similar to ERA5-R3, but it has substantially inferior performance versus ERA5-
718	R3 for maximum and minimum temperature extremes. ERA5-R5 shows consistently good
719	performance for maximum temperature. The performance of ERA5-R5 in simulating precipitation
720	over Australia at 20 km resolution is not impressive versus the other ERA5-RCMs and it shows strong
721	dry biases over northern Australia. However, ERA5-R5 is the best-performing model in this ensemble
722	for mean precipitation at the 4 km convection permitting scale over south-eastern Australia. Both
723	ERA5-R6 and ERA5-R7 frequently show the strongest biases, typically over large regions such as
724	eastern Australia for both temperature variables, and over northern Australia for precipitation. As
725	such, they are the poorest performers overall in this ERA5 ensemble, with performance for extreme
726	minimum temperature often being particularly poor.
727	From the specific perspective of the ERA5-RCM performances, and based on the present
728	evaluations, overall ERA5-R3 and ERA5-R5 may be considered favourable RCM configurations for
729	CMIP6-forced dynamical downscaling. However, as noted, some other ERA5 RCM configurations
730	show good performance for specific variables and statistics, and thus could warrant inclusion in a

### 731 larger ensemble and/or one adopting a sparse matrix approach (Christensen and Kjellström, 2020).

# 732 **5. Conclusions**

733 This study forms the first part of a series of simulations for the CORDEX Australasia domain, 734 wherein we document model performances of ERA5 reanalysis-forced RCMs, and this is the first set 735 of simulations as required by the CORDEX-CMIP6 framework. We compared our results against 736 ERA-Interim driven simulations which was part of the CORDEX-CMIP5 framework. While model versions and physics options were different between these two generations of reanalysis-forced RCM 737 738 simulations, overall, our results show the NARCliM2.0 ERA5-forced RCMs confer improved 739 simulations for maximum temperature and precipitation, but not for minimum temperature. 740 The simulation of precipitation by the NARCliM2.0 RCMs show several improvements at the 741 4 km convection permitting scale relative to the 20 km outer domain. For example, dry biases are 742 reduced for the convection-permitting domain where convection is represented explicitly, relative to 743 the 20 km outer domain which uses a convective parametrisation. Convection schemes can be a 744 source of deficiencies in RCM simulations of precipitation (e.g. Jones and Randall, 2011). It may be expected that the improved representation of convection for the 4 km domain may positively 745 746 influence the simulation of high-impact phenomena such as short-duration precipitation extremes. 747 Nevertheless, our results for the CORDEX-Australasia domain suggest that the choice of microphysics scheme is important, especially for precipitation extremes. 748

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750 Whilst ERA5 reanalysis data show better representations of the observed Australian climate 751 than ERA-Interim, only improvements in the simulation of mean precipitation by the CORDEX-752 CMIP6 ERA5-RCMs appear at least partly attributable to the increased accuracy of ERA5 driving 753 reanalyses. Conversely, the change in driving reanalysis from ERA-Interim to ERA5 is not a major 754 factor underlying improvements in the simulation of maximum temperature by the CORDEX-CMIP6 755 RCMs assessed, suggesting that their performance improvements are more attributable to changes in 756 RCM parameterisation and design. The different land surface schemes (e.g. Noah-Unified versus 757 Noah-MP) likely play a role in <u>RCM skill in simulating maximum temperature, as well as changing</u> 758 the land surface feedback (via soil moisture) to the simulation of precipitation - these possibilities 759 require more extensive analysis to investigate. Equally, differences in the underling driving reanalyses 760 do not explain the absence of overall improvements in the simulation of minimum temperature by the 761 newer CORDEX-CMIP6 RCMs. It is important to be cautious of generalising the present results to 762 other regions globally, as region-specific RCM optimisation is necessary. 763 Our present focus was to evaluate the performances of the different RCM generations 764 assessed here. Future work will explore other topics, such as the potential influences of the different 765 RCM physics configurations and their associated biases on the nature of the future change signals in 766 subsequent CMIP6 GCM-forced simulations, e.g. when holding the driving GCM data constant. 767 Additionally, future model-intercomparison studies that compare biases between the different RCMs 768 contributing to CORDEX-Australasia will be valuable. 769 Results presented here are relevant for other CORDEX-CMIP6/CORDEX2 modelling 770 projects. Maximum temperature and precipitation are important inputs to climate impact assessments 771 in Australia, and globally. The improvements in simulating maximum temperature and precipitation 772 conferred by CORDEX-CMIP6 ERA5-forced RCMs evaluated here indicate that using a subset of the 773 RCMs in this ensemble for future CMIP6-forced downscaling over CORDEX Australasia could yield 774 benefits in simulating regional climate.

# 775 6. Code Availability

- The Weather Research and Forecasting (WRF) version 4.1.2 and all model configuration files used
- in this study are available on Zenodo at: https://doi.org/10.5281/zenodo.11189898

## 778 7. Data Availability

- 779 Data for the seven CORDEX-CMIP6 ERA5-forced R1-R7 RCMs are being made available via
- 780 <u>National Computing Infrastructure</u> (NCI). WRF namelist settings for the CORDEX-CMIP6 ERA5-
- forced RCMs R1-R7 are shown in Supplementary Material Fig. S<u>32</u>. Data for the three ERA-Interim
- 782 forced WRFJ-K-L RCMs are available via the <u>New South Wales Climate Data Portal</u> and <u>CORDEX-</u>

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#### 787 DKRZ, and data for ERA-Interim forced CCAM, CCLM and WRFSWWA are available via CORDEX-DKRZ.

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#### 8. Author Contribution 789

- GDV and JPE designed the models and the simulations. FJ, ET, and CT setup the models and 790
- conducted the model simulations with contributions from JPE, JK, JA, and YL. GDV prepared the 791
- manuscript with contributions from all co-authors. 792

#### 9. Competing Interests 793

The authors declare that they have no conflict of interest, noting that JK is a Topic Editor of 794 Geoscientific Model Development. 795

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