

1

2

**Deep Learning Driven Simulations of Boundary Layer Clouds over the ~~US~~**

3

**Southern Great Plains**

4

5

Tianning Su<sup>1\*</sup>, Yunyan Zhang<sup>1</sup>

6

7

<sup>1</sup>Lawrence Livermore National Laboratory, Livermore, CA, USA

8

9

10

11

12

Submission to *Geoscientific Model Development*

13

14

15

\*Corresponding authors: [su10@llnl.gov](mailto:su10@llnl.gov)

16

17

18

19

20

21

22

23 **Abstract.** ~~This study developed~~ Based on long-term observations at the Southern Great  
24 Plains site by the Atmospheric Radiation Measurement (ARM) program for training  
25 and validation, a deep learning model is developed to simulate the ~~complex~~  
26 ~~dynamics~~daytime evolution of boundary-layer clouds (BLCs) ~~over the US Southern~~  
27 ~~Great Plains. Using over twenty years of extensive observations from the Atmospheric~~  
28 ~~Radiation Measurement program for training and validation,~~ the model diagnoses the  
29 ~~BLCs~~ from the perspective of cloud-land-atmosphere coupling. ~~Morning~~ The model  
30 takes ARM measurements as inputs including early-morning soundings and the diurnal-  
31 varying surface meteorological ~~profiles set as the initial~~ conditions and ~~then identifying~~  
32 ~~triggers for BLCs formation from surface meteorology-~~ heat fluxes and predicts hourly  
33 estimates as outputs including the determination of cloud occurrence, the positions of  
34 cloud boundaries, and the vertical profile of cloud fraction. The deep learning model  
35 ~~offer accurate simulation of the convection initiation and cloud base of BLCs. In~~  
36 ~~comparison~~ offers a good agreement with the observed cloud fields, especially on the  
37 accuracy in reproducing cloud occurrence and base height. If substituting the inputs by  
38 reanalysis data (i.e., from ERA-5 and MERRA-2), ~~it provides a notable improvement in~~  
39 ~~the vertical structure, the outputs~~ of low clouds from a climatological perspective. ~~The~~  
40 ~~the~~ deep learning model can provide a better agreement with observation than the cloud  
41 fields extracted from ERA-5 and MERRA-2 themselves. From such practice, the deep  
42 learning model shows great potential to serve as the cloud parameterization and extend  
43 ~~to analyzing~~ a diagnostic tool on the performance of physics-based models ~~performance~~  
44 in simulating stratiform and cumulus clouds ~~within reanalysis frameworks, offering~~.

45 By quantifying biases in clouds and attributing them to the simulated atmospheric state  
46 variables versus the model parameterized cloud processes, this observation-based deep  
47 learning model may offer insights into improving on the directions to improve the  
48 simulation of BLCs. ~~By quantifying biases due to various meteorological factors and~~  
49 ~~parameterizations, this deep learning driven approach bridges the observational-~~  
50 ~~modeling divide. Surface humidity and parameterization emerge as key limiting factors~~  
51 ~~to affect the representation of BLCs in the reanalysis data. This deep learning approach~~  
52 ~~holds promise for improving the convection parameterization and advancing model~~  
53 ~~diagnostics in~~ in physics-based models for weather forecasting and climate  
54 modelling prediction.

55

## 56 1 Introduction

57 Boundary layer clouds (BLCs), comprising primarily of ~~stratiforms~~stratiform and  
58 shallow cumuli, exert a profound influence on the Earth's radiative balance ~~and climate~~  
59 ~~system~~ (Betts, 2009; Teixeira and Hogan, 2002; Lu et al., 2013; Golaz et al., 2002).  
60 Their formation and evolution ~~within the planetary boundary layer (PBL)~~ are critically  
61 shaped by the interactions between surface ~~processes,~~ planetary boundary layer the  
62 (PBL) and ~~atmospheric dynamics~~free troposphere (Miao et al., 2019; Berg and  
63 Kassianov, 2008; Zhang and Klein, 2013; Guo et al., 2019; Zhang et al., 2017). ~~These~~  
64 ~~clouds, which frequently form in the PBL's entrainment zone, are the critical part for~~  
65 ~~weather prediction and climate modeling, holding the key to understanding land-~~  
66 ~~atmosphere interactions (Caldwell et al., 2021; Bretherton et al., 2007; Wang et al., 2020,~~  
67 ~~2023; Moeng et al., 1996; Su et al. 2023; Zhang and Klein, 2010; Guo et al., 2019).~~

68 Numerous studies ~~have been dedicated to~~ investigated the ~~dynamics~~controlling  
69 factors of ~~boundary layer clouds~~BLCs, highlighting the pivotal role of the land surface  
70 in modulating cloud formation and affecting the spatial and temporal distribution of  
71 low clouds (Zhang and Klein, 2010; 2013; Rieck et al., 2014; Xiao et al., 2018; Lareau  
72 et al., 2018; Lee et al., 2019; Fast et al., 2019b; Tang et al., 2018; Tang et al., 2019; Tao  
73 et al., 2019; Tian et al., 2022; Qian et al., 2023). ~~Despite considerable advancements).~~

74 These clouds, which frequently form in ~~observations and the PBL's entrainment~~  
75 zone, are very challenging to be simulated in weather prediction and climate modeling  
76 ~~capabilities, simulating the boundary layer clouds remains a significant challenge,~~  
77 largely due to the small scales of their operating physics and the complex feedback

78 mechanisms between land surface fluxes, PBL turbulent processes, and cloud  
79 microphysics (Miao et al., 2019; ~~Lareau et al., 2018~~; Lu et al., 2011; Fast et al., ~~2019a~~;  
80 ~~Wang 2019; Morrison et al., 2014, 2020~~; Yang et al., 2018; Nogherotto et al., ~~2016~~2016;  
81 Caldwell et al., 2021; Wang et al., 2023; Guo et al., 2019). These challenges are  
82 compounded when attempting to represent such processes in global and regional  
83 climate models, where the fine-scale interactions are often parameterized in a coarse-  
84 resolution grid due to computational constraints (Bretherton et al., 2007; Moeng et al.,  
85 1996). In addition, different cloud regimes exhibit ~~varied~~complex nonlinear cloud-land  
86 interactions ~~that complicate their representation and present, which pose~~ challenges for  
87 observational studies and modeling efforts, particularly for physical empirical  
88 parameterizations (Tang et al., 2018; Qian et al., 2023; Sakaguchi et al., 2022; Poll et  
89 al., 2022; Tao et al., 2021).

90 As an emerging tool, machine learning (ML) has been widely employed for a  
91 variety of environmental and atmospheric studies (e.g., McGovern et al., 2017; Gagne  
92 et al., 2019; Vassallo et al., 2020; Cadetdu et al., 2009; Molero et al., 2022; Guo et al.,  
93 2024). Specifically, ML techniques are increasingly being employed to simulate and  
94 estimate convection and precipitation, which are crucial for accurate weather  
95 forecasting and climate modeling (Mooers et al., 2021; Wang et al., 2020; O'Gorman et  
96 al., 2018; Gentine et al., 2018; Zhang et al., 2021). For example, Rasp (2020) presents  
97 algorithms for the implementation of coupled learning in cloud-resolving models and  
98 the super parameterization framework. Similarly, ML tools have been applied to  
99 leverage observational data for the refinement of convection parameterizations, offering

100 more insights into convective triggering (Zhang et al., 2021). ~~dynamics~~In addition, ML  
101 has been used to emulate convection schemes and develop parameterizations using data  
102 from advanced simulations (O’Gorman ~~et al., and~~ Dwyer, 2018; Gentine et al., 2018;).  
103 Furthermore~~Zhang et al., 2021).~~ ~~Moreover~~, Haynes et al. (2022) develop pixel-based  
104 ML-based methods of detecting low clouds, with a focus on improving detection in  
105 multilayer cloud situations and specific attention given to improving ~~the~~ cloud  
106 characteristics~~zation~~.

107 . Despite the considerable advancements brought by ML, there are persistent  
108 challenges in accurately simulating the vertical structure of clouds, as well as their  
109 complex relationships with land surface. ~~To address these complexities, this study~~  
110 ~~developed an advanced deep learning framework to simulate the BLCs, using~~  
111 ~~comprehensive data from the Atmospheric Radiation Measurement (ARM) program at~~  
112 ~~the Southern Great Plains (SGP) site. This framework is designed to simulate the~~  
113 ~~triggers, progression, and structural structure of boundary layer clouds, placing a~~  
114 ~~particular emphasis on cloud-land coupling mechanisms. By assimilating morning~~  
115 ~~radiosonde observations with diurnal varying surface fluxes and meteorological data,~~  
116 ~~this deep learning model is uniquely positioned to unravel the complex initiation and~~  
117 ~~evolution of low clouds, especially those coupled with land surface processes.~~

118 Southern Great Plains (SGP) site, as part of the U.S. Department of Energy  
119 Atmospheric Radiation Measurement (ARM) program, is crucial for cloud evaluation  
120 and climatology studies in modeling efforts. Recognized globally as a leading climate  
121 research facility, the ARM SGP site (36.607°N, 97.488°W) has been collecting a wealth

122 of meteorological and radiative measurements, offering data that spans over two  
123 decades (Sisterson et al. 2016). The rich dataset from the ARM SGP site can help  
124 address persistent challenges in cloud modeling. This study leverages these extensive  
125 observations to build a deep learning model, serving as an observation-based  
126 "emulator" for simulating BLCs. Our model enhances the estimations for cloud fields  
127 of BLCs, particularly cloud occurrence, position, and fraction. Furthermore, the critical  
128 assessment of our model in comparison with existing reanalysis datasets, including  
129 MERRA-2 and ERA-5, highlights the improvement in ~~representing~~estimating cloud  
130 vertical structure. Our study analyzed the model's performance across ~~various~~different  
131 cloud regimes, such as stratiform and cumulus. ~~By serving as the cloud~~  
132 ~~parameterization in the reanalysis data, this model advanced the capability of low cloud~~  
133 ~~simulations within reanalysis frameworks.~~ By undertaking this endeavor, we ~~strive~~aim  
134 to ~~narrow~~help bridge the existing gaps ~~in boundary layer clouds~~representing BLCs  
135 between field observations and modeling by a deep learning model of BLCs, thereby  
136 improving diagnostics of model performance and enriching our understanding of the  
137 BLC~~convective~~ processes.

138

139 **2 Data and instruments**Description

140 **2.1 Comprehensive observations at**Observations for the US Southern Great Plains

141 ~~The ARM program, funded by development of the U.S. Department of Energy,~~  
142 ~~plays a pivotal role at the SGP site in Oklahoma (36.607°N, 97.488°W). Recognized~~  
143 ~~globally as a leading climate research facility, the ARM SGP site has been~~  
144 ~~collecting a wealth of meteorological and radiative measurements, offering data~~  
145 ~~that spans over two decades.~~ deep learning model

146 This study utilized ~~these extensive field~~ the ARM SGP observations during 1998-  
147 2020 to ~~explore~~ serve as training, validation, and testing data for the development of  
148 the simulations deep learning model. Note that all the observations are collected at the  
149 central facility of BLCs ~~from the deep learning techniques~~.

150 Our study employs the Active Remote Sensing of Clouds (ARSCL) product SGP, a  
151 fixed location, which ~~integrates lidars, ceilometer,~~ is different from other ML studies  
152 that use global data from reanalysis or climate model simulations (e.g., O’Gorman and  
153 ~~cloud radar~~ Dwyer, 2018; Shamekh et al. 2023).

154 The input data to define cloud boundaries, utilizing train and validate the best  
155 estimates from lidar for the lowest cloud bases (Clothiaux et al. 2000, 2001; Kollias et  
156 ~~al. 2020).~~ Based on the comprehensive information of cloud vertical structure and  
157 temporal evolution from the ARSCL dataset, Xie et al. (2010) offers detailed cloud  
158 fraction profiles at the hourly resolution in ARM BEST ESTIMATE DATA  
159 PRODUCTS (ARMBE).



160 ~~Central to our analysis are the comprehensive thermodynamic profiles obtained~~  
161 ~~from deep learning model include early morning sounding data and diurnal varying~~  
162 ~~surface meteorological conditions and surface turbulent heat fluxes. We take~~  
163 ~~radiosondes (SONDE). Launched routinely at multiple times daily, these SONDE)~~  
164 ~~measurements around 6 a.m. local time to offer detailed information into the~~  
165 ~~thermodynamic state of and wind profiles in the PBL and the free atmosphere. The~~  
166 ~~operation and technical aspects of the ARM SONDE are detailed in (Holdridge et al.~~  
167 ~~(2011).~~

168 ~~) as initial conditions. SONDE launches typically took place four times per day at~~  
169 ~~the SGP site, usually at 00, 06, 12, and 18 local times. Local time, defined as daylight~~  
170 ~~saving time, is used consistently throughout the year. Each morning profile comprises~~  
171 ~~46 levels spanning from 0-8 km, which include levels at intervals of 50 meters from 0~~  
172 ~~to 1 km, 0.1 km from 1 to 2 km, 0.25 km from 2 to 4 km, and 0.5 km from 4.5 to 8 km.~~  
173 ~~Meanwhile, We use the collocated surface meteorology systems (MET, Ritsche, 2011)~~  
174 ~~provide a variety of meteorological parameters measurements (i.e., temperature, relative~~  
175 ~~humidity, wind, and pressure) at the surface level from collocated surface meteorology~~  
176 ~~systems (MET) at the ARM SGP site. These surface meteorological parameters play~~  
177 ~~roles in the formation and development of BLCs. Furthermore, the site also provides~~  
178 ~~data on surface. Surface~~ sensible and latent heat fluxes. ~~An are taken from the ARM~~  
179 ~~value-added product called the best-estimate fluxes from energy balance Bowen ratio~~  
180 ~~measurements and the Bulk Aerodynamic calculations of the Energy Balance Bowen~~  
181 ~~ratio measurements (BAEBBR), was generated to replace the energy balance Bowen~~

182 ~~ratio flux measurements with a bulk aerodynamic estimation when the Bowen Ratio~~  
183 ~~has a range of  $-1.6$  and  $-0.45$  (, Cook, 2018). The replacements generally happen for~~  
184 ~~the measurements at a 30-min temporal resolution under the low sensible heat scenario.~~  
185 ~~We use the BAEBBR data along with~~

186 In addition, we also use derived variables based on observations as the input fields  
187 into the deep learning model. LCL is derived from the surface meteorology (Romps,  
188 2017),  $BLH_{\text{parcel}}$  (boundary layer height derived from parcel methods) is calculated  
189 from the morning temperature profiles and surface air temperature (Holzworth, 1964;  
190 Su and Zhang, 2024). Specifically,  $BLH_{\text{parcel}}$  is defined as the height where the morning  
191 potential temperature profile first exceeds the current surface potential temperature by  
192 more than 1.5 K. Meanwhile,  $BLH_{\text{SH}}$  (boundary layer height derived from sensible heat  
193 flux) is calculated from the morning temperature profiles and surface sensible heat  
194 (Stull, 1988; Su et al. 2023).

195 For the target data of model outputs to train and validate the deep learning model,  
196 our study employs hourly cloud fraction data available from the ARM Best Estimate  
197 (ARMBE, Xie et al, 2010) dataset. This cloud fraction is developed based on the Active  
198 Remote Sensing of Clouds (ARSCL, Clothiaux et al. 2000, 2001; Kollias et al. 2020),  
199 which utilizes the best estimates from ceilometer for the lowest cloud bases and  
200 integrates micro-pulse lidar, ceilometer, and cloud radar data to define cloud tops and  
201 cloud fraction. In addition, to construct learning targets, the base of BLC is determined  
202 at the lowest altitude where the cloud fraction first exceeds 1%, and the cloud top is  
203 identified at the point where the cloud fraction transitions from exceeding 1% to falling

204 below this threshold. In multi-layer systems, the DNN model is trained based on the  
205 lowest cloud layer when it is coupled with the land surface. However, we do not exclude  
206 multiple-layer cloudy cases if their vertical fractions are continuous from the lower to  
207 upper layer, as the key input for the deep learning model.

208

209

210 Based on ARM observations, this study developed an advanced deep-learning  
211 framework to simulate the BLCs, using detailed observational data, including SONDE  
212 profiles, surface meteorological measurements, and ARSCL, from the SGP site. This  
213 framework is designed for BLCs, placing a particular emphasis on cloud-land coupling  
214 mechanisms. By integrating morning SONDE observations with diurnally varying  
215 surface fluxes and meteorological data, this deep learning model is capable of  
216 diagnosing the initiation and evolution of low clouds, especially those coupled with  
217 land surface processes. ~~By serving as an offline diagnostic tool, this model aims to~~  
218 ~~enhance low cloud simulations within reanalysis frameworks without being embedded~~  
219 ~~in the simulations that produce the reanalysis data itself.~~

220

## 221 **2.2 Classification of coupled bBoundary layer clouds from oObservations**

222 The deep learning model in this study aims to simulate BLCs ~~demonstrate complex~~  
223 ~~evolutions and interact~~strongly coupled with boundary layer and land surface processes.

224 ~~Tracking~~The classification of clouds below is to filter the initiation, development, and

225 ~~lifecyle of~~ BLCs is crucial for understandingbased on the convection in our climate

226 ~~system. We~~concept of cloud-land coupling and is important for the training and analysis  
227 of the deep learning model. Here, we treat BLCs as synonymous with land-coupled  
228 clouds, in contrast to ~~these~~ clouds that are decoupled from the PBL and land surface.  
229 ~~In this regard, Su et al. (2022) devised a lidar-based method to discern cloud-land~~  
230 ~~coupling, leveraging the vertical coherence and temporal continuity of the PBL. This~~  
231 ~~approach, combined with cloud-base height measurements from ceilometers and~~  
232 ~~surface-based Lifting Condensation Level (LCL) calculations as proposed by Romps~~  
233 ~~(2017), forms the foundation for identifying coupled low-level clouds in our study. The~~  
234 ~~methodology for determining PBLH, as outlined by Su et al. (2020), established a long-~~  
235 ~~term record of PBLH at the SGP. The resulting data are publicly available through the~~  
236 ~~ARM database (<https://www.arm.gov/data/data-sources/pblht-206>).~~

237 Coupled clouds are identified when the cloud base height (CBH), as derived from  
238 the ceilometer, aligns with or is below the lidar-detected PBL top height within 0.2 km,  
239 and the calculated surface-based Lifting Condensation Level (LCL, Romps 2017) falls  
240 within a ~~certain~~maximum allowable range of 0.7 km (Su et al. ~~2022~~-2022). PBL height  
241 data (<https://doi.org/10.5439/2007149>, Su et al. 2020) are publicly available through the  
242 ARM database. This alignment is indicative of clouds that are directly influenced by  
243 surface-driven processes. Meanwhile, ~~we apply~~ a cloud thickness threshold ~~of less~~  
244 ~~than~~( $\leq 4$  km) is applied to ~~analyze-ensure the occurrence of~~ BLCs: (i.e., not deep  
245 convective clouds).

246 Within the scope of land-coupled clouds, we further classify the observed daytime  
247 BLCs into cumulus and stratiform categories: following the methodology in Su et al.

248 ~~(2024). Stratiform cloud days are identified by prolonged overcasting conditions during~~  
249 ~~the daytime, lasting more than three hours, with the maximum cloud fraction exceeding~~  
250 ~~90% based on ARSCL data.~~ For cumulus ~~clouds~~ cloud days, two criteria are applied: (1)  
251 cloud formations emerge after sunrise, ensuring that they are driven by local convective  
252 processes, and (2) there is an absence of ~~overcasting clouds. Conversely, stratiform~~  
253 ~~clouds are identified by prolonged overcasting conditions during the daytime, typically~~  
254 ~~lasting more than three hours, with cloud fractions exceeding 90% as per ARSCL data.~~  
255 ~~Based on the~~ stratiform clouds. Based on these criteria, we identified 940 days  
256 categorized under the cumulus regime, distributed as 21%, 56%, 17%, and 6% across  
257 Spring, Summer, Fall, and Winter, respectively. Similarly, we identified 657 days  
258 falling within the stratiform clouds regime, with respective seasonal distributions of  
259 37%, 12%, 23%, and 28%. ~~This classification of cloud types to filter the BLCs based~~  
260 ~~on the concept of cloud land coupling is important for the training and analysis of the~~  
261 ~~deep learning model. We further attempt to use the comprehensive cloud observations~~  
262 ~~at the SGP to build the deep learning model.~~ Note that this cloud regime classification  
263 is done on a daily basis. To maintain clarity in our analysis, we excluded days with  
264 mixed cloud regimes, focusing only on days that exhibit only stratiform or cumulus  
265 clouds during the daytime.

266

### 267 **2.3 Reanalysis data for the application of the deep learning model**

268 ~~In this study, we also use~~ To demonstrate how to use the deep learning model,  
269 we take advantage of reanalysis datasets from the European Centre for Medium-Range

270 Weather Forecasts' fifth-generation global reanalysis (ERA-5, Hersbach et al., 2020)  
271 and NASA's Modern-Era Retrospective analysis for Research and Applications Version  
272 2 (MERRA-2, Gelaro et al., ~~2017~~-2017). Note that unlike observational data  
273 aforementioned, reanalysis data are not used for training the deep learning model,  
274 instead they are going to be used to help illustrate how the deep learning model may  
275 disentangle the potential causes leading to the biased cloud simulations.

276 ~~As the state-of-art reanalysis data, the ERA-5 is produced~~provides hourly  
277 atmospheric states and cloud fraction around SGP by the Integrated Forecasting System  
278 (IFS) and a data assimilation system at a ~~fine spatial~~horizontal resolution of  $0.25^\circ \times$   
279  $0.25^\circ$ . ~~ERA-5 reanalysis data are obtained from the Copernicus Climate Data Store.~~  
280 ~~This dataset~~° and a vertical resolution of 25 hPa in the lower atmosphere (700 -  
281 1000 hPa). IFS employs a prognostic cloud scheme capable of capturing the evolution  
282 of cloud dynamics over consecutive time steps (Tiedtke 1993), a feature that enhances  
283 its utility in time-dependent climate studies. ~~We also use ERA-5 data to obtain the cloud~~  
284 ~~and atmospheric information, which provides the hourly measurements at a  $0.25^\circ$~~   
285  ~~$0.25^\circ$  longitude-latitude grid. The vertical resolution of ERA-5 data is 25 hPa in the~~  
286 ~~lower atmosphere (700 - 1000 hPa).~~

287 ~~The MERRA-2 reanalysis data use a new version of the~~provides hourly low  
288 cloud fraction and 3-hourly vertical cloud fraction profiles at a spatial resolution of  $2/3^\circ$   
289 (longitude)  $\times$   $1/2^\circ$  (latitude). MERRA-2 is based on the Goddard Earth Observing  
290 System Data Assimilation System Version 5, ~~which is a advanced system coupling a~~  
291 ~~global atmospheric general circulation model to NCEP's Grid-point Statistical~~

292 ~~Interpolation analysis (Randles et al., 2017). The MERRA-2 reanalysis has a spatial~~  
293 ~~resolution of  $2 / 3^\circ \times 1 / 2^\circ$  (longitude = latitude). MERRA-2 and~~ utilizes a diagnostic  
294 cloud scheme, focusing on the immediate state of clouds (Randles et al., 2017). ~~This~~  
295 ~~dataset specializes in the representation of the hydrological cycle and cloud information,~~  
296 which are widely used in multiple studies (e.g., Yeo et al., 2022; Kuma, 2020; Miao et  
297 al., 2019). ~~MERRA-2's reanalysis provides detailed hourly low cloud fraction data and~~  
298 ~~tri-hourly vertical cloud fraction profiles.~~

299  
300 Here we acknowledge the local heterogeneity of cloud fields in the area covered  
301 by an ERA5 or MERRA grid cell. This inherent discrepancy between the reanalysis  
302 data and the ARM SGP observations may arise from the difference between point-based  
303 measurements and area-based assimilated grid-averages. However, observations at the  
304 SGP site, representative of plain regions, have been widely used for evaluating models  
305 across scales from climatological and statical perspectives (e.g., Song et al., 2014; Zhao  
306 et al., 2017; Zheng et al., 2023; Zhang et al., 2017).

### 308 **3 Construction of the Deep Learning Framework Model for Simulating** 309 **Boundary Layer Clouds**

#### 310 **3.1 Integrated Deep Learning Models for Cloud Simulation**

##### 311 **3.1 Structure design of the deep learning model**

312 This study ~~developed~~develops an integrated deep learning ~~approach~~model to  
313 simulate BLC ~~over the SGP site. These models are,~~ whose design is illustrated in Figure

314 1. Traditionally, simulating BLCs involves solving complex equations related to PBL  
315 turbulence and cloud microphysical processes. Our approach, however, leverages deep  
316 learning to bypass these intricate simulations. By using module-specific hidden layers,  
317 the deep learning model serves as an observation-based "emulator" that directly  
318 estimates BLCs from early-morning soundings and surface-related parameters.

319 The model is purpose-built to simulate the initiation, positioning, and vertical  
320 extent of BLCs. Figure 1 demonstrates the design of our deep learning framework. The  
321 core consist of this research integrates three distinct deep learning models/modules, each  
322 responsible for a critical aspect of the cloud simulation: the triggering of cloud  
323 formation, 1) the determination of cloud the BLC occurrence, 2) the height position,  
324 and the vertical profile of the cloud base, and 3) the cloud thickness and the normalized  
325 10-layered shape of coveragecloud fraction within cloud boundaries, which jointly  
326 yielding the comprehensive features yield the hourly-averaged vertical structures of  
327 BLCs through. This modular approach ensures that the estimations are specific for each  
328 aspect of the BLCs. Combining cloud thickness and cloud fraction in one module is  
329 logical because the thickness for 10-layered clouds varies based on cloud thickness,  
330 and thickness is potentially related to the fraction, as thicker clouds are sometimes  
331 associated with larger cloud fractions. Naturally, cloud top is considered as the cloud  
332 base plus the thickness. This separation of tasks enhances the overall reliability and  
333 clarity of the model in capturing the various characteristics of BLCs. Note that each  
334 of the three deep learning modules is built upon a deep neural network (DNN) with  
335 multiple hidden layers. Initially,



336 The occurrence module, as the triggering model first step, evaluates whether the  
337 likelihood of cloud formation ~~is likely, by~~ producing a value number between 0 and 1,  
338 ~~with values which we call “trigger” in the following, whose value above 0.5~~  
339 ~~indicating indicates~~ the presence of clouds. ~~This triggering~~ The target data for this  
340 module is binary (0 or 1), and the model output is a continuous value between 0 and 1.  
341 This occurrence information then feeds into the other two ~~models~~ modules in parallel:  
342 one for ~~determining the cloud's position~~ locating cloud boundaries and the other for  
343 ~~calculating~~ delineating the vertical shape of the cloud fraction in cloudy layers. While  
344 the cloud ~~position-base (or boundary) module~~ and ~~cloud the fraction models thickness~~  
345 (or fraction) module are independent ~~components of each other~~, they collaborate to  
346 depict the vertical cloud fraction profile.

347 ~~Morning meteorological profiles set as the initial conditions and then identifying~~  
348 ~~triggers for BLC formation from surface meteorology. Each morning profiles have 46~~  
349 ~~elements from 0-6km. For surface meteorology and fluxes, the inputs include the data~~  
350 ~~at the current hour and the previous hour. The RH profiles and PBL top are highlighted~~  
351 ~~for their significance in boundary layer development.~~ To represent the vertical structure  
352 of BLC, ~~we equally in the fraction-thickness module, we~~ segmented the cloud layer  
353 from the base to the top into ten levels. ~~For each of these levels, our deep learning~~  
354 ~~models calculate individual cloud fraction values, with each level's thickness varying~~  
355 according to the overall cloud thickness. These values are then interpolated to create a  
356 continuous vertical profile of cloud fraction within the BLC, ~~offering a detailed~~  
357 ~~depiction of the cloud's vertical extent. This model used~~ boundaries, offering a detailed

358 depiction of the cloud's vertical extent. The vertical position of the layer changes based  
359 on the predicted cloud base and top to accurately represent the vertical structure of  
360 BLCs. This dynamic approach allows the fraction module to adjust and focus on the  
361 relevant portions of cloud fraction within cloudy layers. Compared to a static [height-](#)  
362 level approach, which requires the prediction of cloud fraction across a fixed vertical  
363 extent (e.g., multiple levels between 0-6 km), our method focuses on the shape of the  
364 fraction profile. This ensures the model is not constrained by fixed vertical levels,  
365 allowing for more efficient and robust estimations.

366

### 367 **3.2 Deep Neural Network (DNN) architecture and configuration**

368 The construction of the deep learning model uses the TensorFlow Package,  
369 developed by Google (<https://www.tensorflow.org/>). Each module in the deep learning  
370 model is constructed based on a separate deep neural network (DNN) respectively. The  
371 DNN architecture is designed, beginning with an input layer reflective of the selected  
372 feature set, which includes morning sounding profiles, surface meteorology and heat  
373 fluxes data, and the derived variables such as LCL,  $BLH_{parcel}$  and  $BLH_{SH}$ . For predicting  
374 the current hour BLC, the inputs of surface conditions include data both at the current  
375 hour and the previous hour. The input variables for training and validating the deep  
376 learning model are detailed in Table 1, including variable names, descriptions, and data  
377 sources, together with the ARMBE cloud fraction profiles as the learning target for  
378 model outputs.

379 The deep neural network (DNN) architecture was designed (brown boxes in Figure  
380 1), beginning with an input layer reflective of the selected feature set. The detailed  
381 structures for the three models can be found in Table 1. Normalization is a  
382 preprocessing technique that often leads to improvements in model training by scaling  
383 the input features and target values to a standard range (Raju et al. 2020). We  
384 applied the normalization process to both the input and target data to ensure that they  
385 have scale them to a zero mean and a standard deviation of one (Klambauer et al. 2017;  
386 Salimans and Kingma, 2016; Raju et al. 2020). This standardization scales ensures that  
387 the data is scaled to a common range, allowing for a more stable and efficient offers  
388 some benefits, such as improving the stability and efficiency of the training process.

### 389 Subsequent

390 The architecture of the DNN models was structured and tailored for each module:  
391 occurrence, cloud-base, and fraction (or fraction-thickness) estimation. Each module's  
392 structure is defined by the number of neurons in its hidden layers were integrated, each  
393 imbued. For the occurrence module, the structure consists of four hidden layers with  
394 108, 64, 36, and 24 neurons, respectively. The CBH prediction module is similarly  
395 structured with four hidden layers, but consisting of 96, 56, 32, and 24 neurons,  
396 respectively. The module for predicting cloud fraction and thickness has a slightly  
397 simpler structure, with three hidden layers containing 56, 32, and 24 neurons,  
398 respectively.

399 As the specific configuration, we utilized the ReLU (Rectified Linear Unit)  
400 activation function to introduce non-linearity into the DNN. L2 regularization with a  
401 strength of 0.01 is applied to mitigate overfitting by penalizing ~~complexity.~~  
402 large weights and encouraging simpler models. Batch normalization ~~was~~  
403 implemented at each layer to normalize the inputs, ensuring consistent data distribution  
404 ~~throughout the network, thereby~~and stabilizing the learning process. A dropout rate of  
405 0.2 ~~further prevented overfitting by~~ is used to randomly ~~omitting~~omit neuron  
406 connections during training, preventing overfitting and encouraging the network to  
407 learn more robust features. ~~Training~~The training process was refined with early  
408 stopping, ceasing further epochs when the validation loss ceased to improve, and  
409 learning rate reduction, systematically decreasing the learning rate upon encountering  
410 plateaus in performance improvement. These callbacks were instrumental in honing the  
411 model's performance, ensuring convergence to the accurate ~~representation~~estimation of  
412 the BLC. Neuron biases are included in the network's architecture and systematically  
413 inserted in the hidden layers (Battaglia et al. 2018). The model is compiled using the  
414 Adam optimizer with an initial learning rate of 0.01. The loss functions used are mean  
415 squared error for regression tasks and Binary Cross-Entropy for binary classification  
416 tasks. The batch size during training is set to 32. Early stopping with a patience of 37  
417 epochs is implemented to prevent overfitting and to restore the best weights when the  
418 validation loss ceases to improve.

419

420

### 3.2.3 Model Training Process and Examples

The construction of ~~our~~the deep learning model ~~suite~~~~commenced~~commences with the segregation of the ~~comprehensive dataset, sourced from the rich datasets of~~ ARM ~~program, observations~~ into a training subset (70%) and a validation subset (30%) during 1998-2016. ~~Additionally~~In addition, we ~~incorporate datasets~~save data from 2017-2020 ~~as part of our validation process~~for testing, specifically focusing on ~~data from the untrained~~this independent period to assess the model's performance. ~~The training and validations are both using the more than 20-year BLC observations, as well as the ARMBE products. The base of BLC is determined at the lowest altitude where cloud fraction exceeds 1%, and the cloud top is identified at the point where cloud fraction transitions from exceeding 1% to falling below this threshold. In multi-layer systems, the DNN model is trained based on the lowest cloud layer when it is coupled with the land surface.~~ Upon training completion, the model ~~was~~is then evaluated, with its performance metrics examined for accuracy and reliability. This methodical and data-driven process ~~balanced~~balances complexity with precision, culminating in a robust model capable of simulating BLC features.

~~These models~~The modules within the deep learning model operate synergistically, with the predicted ~~cloud trigger~~occurrence of clouds extending into the ~~models~~modules for cloud ~~position~~base and the vertical structure (i.e., ~~cloud fraction and~~ cloud thickness and shape of the cloud fraction profile). As the example of the model output, Figure 2 offers a comparative display of diurnal cloud fraction profiles over the SGP, contrasting the observed data with the simulated clouds by the deep learning ~~simulations~~model.

443 The model accurately simulates the cloud occurrence and ~~cloud base~~the CBH for these  
444 cases, aligning well with observations. However, it falls short in simulating the cloud  
445 top ~~position~~heights, especially significant overestimates for stratiform clouds;  
446 ~~overestimating cloud tops~~. It also underestimates maximum cloud fractions for the  
447 stratiform clouds. The observed maximum cloud fraction for stratiform is close to 1,  
448 indicating complete coverage, however, such an aspect is not fully replicated by the  
449 deep learning model. The third case also falls into the category of stratiform clouds,  
450 characterized by an observed cloud fraction exceeding 0.9. However, the presence of  
451 multiple local maxima within the cloud fraction profile indicates a relatively complex  
452 structure. This complexity poses a challenge to the model, as the DNN is not fully  
453 capable of capturing the internal variations within the convective system. Instead, the  
454 model tends to produce a more uniform cloud fraction across this convective system.  
455 Despite these variances, the model-derived cloud bases and occurrence  
456 ~~demonstrates~~demonstrate high consistency with observations, highlighting its value in  
457 the cloud simulations.

458

### 459 **3.34 Calculations of Feature Importance and Performance Metric**

460 To elucidate the significance of each input variable within our deep learning models,  
461 we implemented a permutation importance analysis. This robust, model-agnostic  
462 technique assesses each feature's influence on the model's predictive accuracy, which  
463 is crucial for assessing DNN (Date and Kikuchi, 2018; Altmann et al. 2010). In this  
464 study, the permutation importance method differs slightly for each module within the

465 deep learning model based on whether the model's module's task is regression (cloud  
466 position-base and fraction-thickness) or classification (trigger occurrence).

467 For the models predicting modules of cloud-position-base and cloud-fraction-  
468 thickness, which are regression tasks, the Mean Absolute Error (MAE) serves as the  
469 performance metric. First, we perform a test run to establish a baseline performance by  
470 calculating the MAE of the model module using the original, unperturbed validation  
471 datasets, which ~~comprises~~ comprise early-morning sounding and, surface meteorology  
472 data conditions and the derived variables as the input inputs. Then, for every input  
473 feature in the validation set, we disrupt its association with the target cloud fields by  
474 shuffling its values across all instances, creating a permutation of the dataset. This is  
475 executed while maintaining the original order of all other features. ~~Furthermore, we~~  
476 ~~recalculate the MAE with the shuffled data~~ other features. ~~When performing the~~  
477 ~~permutation, we shuffle the entire morning profile for each case without altering the~~  
478 ~~internal height order of values within the profile~~. This approach ensures that while  
479 ~~profiles are permuted across different cases, the sequential structure of height values~~  
480 ~~within each profile remains intact~~. This method allows us to assess the importance of  
481 ~~the profiles as coherent units, rather than disrupting their vertical structures~~.  
482 ~~Furthermore, we re-run the DNN modules with the shuffled feature and all other~~  
483 ~~features intact as inputs and recalculate the MAE with the new outputs~~. The difference  
484 between this new MAE and the baseline MAE represents the feature's importance. To  
485 ensure a comprehensive assessment, the permutation and the subsequent MAE  
486 calculation are repeated 20 times with different random shuffles for each input feature.

487 The final importance score for each feature is then determined as the mean increase in  
488 MAE across these permutations.

489 ~~However, for~~ For the ~~model classifying module of~~ cloud ~~triggers occurrence~~, which  
490 is a classification task, the accuracy score is used as the performance metric. The  
491 accuracy score is a measure of the model's overall correctness and is calculated using  
492 the formula:

$$493 \quad Accuracy = \frac{TP + TN}{TP + TN + FP + FN} \quad (1)$$

494 where True Positives (TP) indicates the number of instances correctly predicted as  
495 positive; true Negatives (TN) indicates the number of instances correctly predicted as  
496 negative; False Positives (FP) indicates the number of instances incorrectly predicted  
497 as positive, and False Negatives (FN) indicates the number of instances incorrectly  
498 predicted as negative. After determining the performance metric, other procedures for  
499 determining feature importance remain the same between regression tasks and the  
500 classification task. ~~In the model, we filter individual input parameters from the~~  
501 ~~consideration of importance score.~~

502 After determining the importance scores from the test run, in refining the model,  
503 features contributing a negligible or negative effect on performance (i.e., importance  
504 scores less than zero) are excluded to ensure only beneficial data is used.

505 By using this methodology, Figure 3 illustrates these importance scores from  
506 different features, underscoring the most influential factors for predicting the ~~presence,~~  
507 ~~position~~ BLC occurrence, the cloud-base, and the thickness and the shape of the vertical  
508 fraction of BLCs. These factors are ranked from most important factors to least



509 important factors. ~~BLC trigger is a special factor since it is the output of the~~  
510 ~~classification model.~~ Notably, the importance scores are not computed as a simple sum  
511 but are determined by collectively shuffling groups of features and observing the impact  
512 on model performance. ~~Table 1 complements Figure 3 by providing the model's~~  
513 ~~structure and the precise importance values assigned to each feature across the three~~  
514 ~~cloud prediction tasks. Among these factors, LCL is derived from the surface~~  
515 ~~meteorology (Roms, 2017),  $BLH_{\text{parcel}}$  is derived from the morning temperature profiles~~  
516 ~~and surface air temperature based on the Parcel method (Holzworth, 1964; Su et al. The~~  
517 BLC trigger of occurrence is a special factor since it is the output of the classification  
518 model. The trigger value, which indicates the likelihood of cloud occurrence, is used as  
519 an input to the estimations of cloud boundaries and fractions. Sometimes, the trigger  
520 value hovers around 0.5, indicating uncertainty about the presence of clouds. This  
521 situation often corresponds to scenarios like broken clouds or residual clouds, typically  
522 associated with relatively small cloud fractions. Incorporating the trigger value as an  
523 input for cloud fraction estimation helps the model account for these ambiguous  
524 situations, thereby enhancing its ability to estimate cloud fraction. Specifically, only  
525 trigger values greater than 0.5 indicate cloud presence and are used for cloud fraction  
526 predictions. While including the trigger value is beneficial for the cloud fraction model,  
527 it does not affect the CBH estimation.2020). ~~Specifically,  $BLH_{\text{parcel}}$  is defined as the~~  
528 ~~height where the morning potential temperature profile first exceeds the current surface~~  
529 ~~potential temperature by more than 1.5 K.  $BLH_{\text{SH}}$  is derived from the morning~~  
530 ~~temperature profiles and surface sensible heat (Stull, 1988; Su et al. 2023).~~

531 In particular, surface relative humidity (RH), surface air temperature (T), and  
532 morning relative humidity profiles are highly influential in BLC simulations. This is  
533 consistent with previous observational and modeling studies (Zhang and Klein, 2013).  
534 Surface RH is a critical factor affecting the ~~trigger occurrence~~, CBH, and cloud fraction  
535 predictions. As the ~~boundary condition~~ input conditions for the DNN ~~model, modules,~~  
536 early-morning atmospheric profiles of different meteorological parameters (i.e., RH,  
537 temperature, and wind components) exert a notable impact on cloud ~~trigger occurrence~~  
538 detection and the determination of cloud fractions. Surface air temperature is shown to  
539 have a substantial effect on cloud fraction, highlighting the sensitivity of cloud  
540 simulations to near-surface thermal conditions. ~~This approach~~ Meanwhile,  $BLH_{parcel}$   
541 demonstrates a notable impact, which is understandable since the PBLH is a critical  
542 factor for the formation of BLCs, and  $BLH_{parcel}$  provides a good representation of PBLH.  
543 This approach also recognizes the interconnectedness of certain features and their  
544 collective contribution to the model's output.

545

## 546 **4 Modeling Boundary Layer Cloud Simulations by the Deep Learning Model**

### 547 **4 4.1 The Occurrence of Boundary Layer Clouds** ~~with Deep Learning~~

#### 548 ~~4.1 Boundary Layer Clouds Trigger~~

549 The occurrence of BLC is a multifaceted process influenced by a variety of  
550 atmospheric parameters and surface processes. ~~The BLC trigger,~~ As a critical  
551 component in the formation of BLCs, ~~is a dynamic phenomenon that our~~ we utilize the  
552 deep learning model ~~seeks to identify and simulate from the surface meteorology.~~

553 ~~By the BLC trigger~~ using ~~the~~ morning ~~SONDE~~ meteorological profiles and  
554 ~~measurements of observed~~ surface meteorology and fluxes<sub>7</sub>. Figure 4 showcases the  
555 model's proficiency in classifying the occurrences (class 1) and non-occurrences (class  
556 0) of BLC during both a trained period and an ~~untrained, future~~ independent period. The  
557 classification significantly affects the statistical estimation of cloud fraction, as cloud  
558 fraction is set to 0 if the trigger is less than 0.5. The confusion matrices (Luque et al.  
559 2019) for the trained period (1998-2016) and for the ~~untrained~~ independent period  
560 (2017-2020) display the model's predictive performance. The matrices reveal the counts  
561 and percentages of TP, FP, TN, and FN. For the training period, we use a 70% training  
562 and 30% validation split to ensure model validation and use the validation dataset to  
563 generate the statistics. Meanwhile, for the independence period, we use the full dataset  
564 for the validation.

565 Figure 4a represents the trained period, ~~we use 30% dataset for the validation and~~  
566 ~~seed datasets show~~ a high percentage of TN at 71.2% and TP at 21.1%, indicating that the  
567 model is accurate during the period it was trained ~~on~~. For the ~~untrained~~ independent  
568 period (2017-2020), the model still performs well, with 71.8% TN and 17.4% TP  
569 (Figure 4b). However, the rates of FN and FP are slightly higher at 5.6% and 5.2%  
570 respectively, which could indicate that the model is slightly less accurate when applied  
571 to data beyond its training scope.

572 ~~TP, FP, TN, and FN, further offer insights into the model's precision, recall, and~~  
573 ~~overall accuracy in detecting the presence of BLC. Precision, which gauges the~~

574 accuracy of positive predictions, recall, which assesses the detection of actual positives,  
575 and the F1 score, which balances the two, are consistently above 75% across both  
576 periods. This high performance across key metrics demonstrates the model's robustness  
577 and reliability in identifying the onset of BLCs.

578 Table 2 complements the Figure 4 and provides a detailed quantitative number of  
579 the model's classification performance. It presents the number of instances and their  
580 corresponding percentages of different matrices (i.e., TN, FP, FN, and TP). The high  
581 percentages of correct predictions (TP and TN) underscore the model's effectiveness,  
582 while the lower FP and FN rates reflect its reliability. The table highlights the model's  
583 robustness, with overall accuracy rates of 92.3% for the trained period and a slightly  
584 reduced but still substantial 89.2% for the ~~untrained period~~independent period.  
585 Moreover, for the trained period, the model achieved a high precision of 88.1% and a  
586 recall of 81.2%. For the independent period, the precision and recall remained  
587 reasonably high at 76.9% and 75.6%, respectively, demonstrating the model's effective  
588 generalization to new data. These metrics demonstrate the model's predictive  
589 capabilities and reliability for both trained and ~~untrained~~independent periods.

590 Figure 5 further compares the diurnal frequency of BLC occurrence between  
591 observations (OBS) and the DNN predictions for different seasons. The BLC's strong  
592 diurnal pattern is well-captured by the model, when BLC development peaks between  
593 12-16 local times, aligning closely with observed frequencies. Among different seasons,  
594 the model is notably effective in simulating the pronounced diurnal cycle of summer  
595 clouds, which are typically influenced by local convection. Conversely, the winter

596 season exhibits a weaker diurnal pattern, likely ~~linking~~linked to the diminished surface  
597 fluxes. The DNN tends to overestimate BLC presence in the early morning, especially  
598 for the winter season. The overall alignment between observations and the DNN  
599 ~~model~~module represents the model's capability of capturing the diurnal patterns of BLC  
600 formation and development. Determining the ~~triggers~~occurrence of BLC lays the  
601 foundation for the integrated simulations of BLC features.

602

## 603 **4.2 ~~Simulating~~ Cloud ~~Positions~~Boundaries and ~~Cloud Fractions~~Fraction**

604 A key aspect of cloud modeling involves the accurate simulation of cloud  
605 ~~positions~~boundaries and ~~fractions~~fraction, which are indicative of a cloud's vertical  
606 extent and fractional coverage at different height levels. Our deep learning model  
607 demonstrates capabilities in predicting these key attributes of BLC.

608 Figure 6 offer the comparisons between observed values and predictions by the  
609 DNN for CBH, CTH, and cloud fraction. ~~These~~Similarly, as in Section 4.1, these  
610 comparisons are presented for both the training period (a, c, e, based on validation  
611 datasets) and an independent period (b, d, f), revealing the model's ability to generalize  
612 beyond its initial training data. The DNN model demonstrates remarkable performance  
613 in simulating cloud base, boasting a correlation coefficient surpassing 0.9 and an MAE  
614 under 0.15 km. Conversely, the model encounters challenges with CTH prediction,  
615 evidenced by a lower correlation of about 0.5 and a significantly higher MAE between  
616 0.8 and 0.9 km, aligning with case studies in Figure 2.

617 The discrepancy in accurately simulating CBH and CTH may stem from two main  
618 factors. Firstly, observed CBH determinations are generally more precise due to the  
619 effectiveness of laser-based methods (Pal et al., 1992), while observed CTH estimations  
620 often suffer from reduced accuracy, partly attributed to signal attenuation issues  
621 (Clothiaux et al., 2000). For the observed shallow cumulus, cloud top is often  
622 contaminated by insect signals, further complicating accurate CTH measurements  
623 (Chandra et al., 2010). Secondly, our DNN simulations are developed from the  
624 perspective of cloud-land coupling, primarily utilizing surface meteorology. This can  
625 introduce inherent limitations, as the tops of many clouds may be decoupled from  
626 surface influences despite a coupled base, potentially leading to ~~potential~~ gaps in the  
627 ~~model's parameterization~~ DNN's ability to accurately define and estimate the cloud top.

628 The comparison of cloud fraction between observations and DNN ~~are~~ is presented  
629 to consider the model's capability to simulate the vertical distribution of cloud coverage  
630 (Figure 6e-f). The scatterplots comparing observed and modeled cloud fractions at  
631 individual levels in cloudy scenarios show a satisfactory correlation, with an R-value  
632 exceeding 0.77 and an MAE around 0.15. Nevertheless, the DNN model tends to  
633 underestimate the peak cloud fraction, displaying a range up to ~0.8 compared to the  
634 full 0-1 range observed. This underestimation is intrinsically linked to the model's  
635 simulation of cloud ~~position~~ boundaries, as both cloud fraction and ~~position~~  
636 ~~models~~ cloud-base modules operate in tandem. For stratiform clouds, observational data  
637 typically exhibit a relatively uniform vertical extent with cloud fractions close to unity  
638 at the central height, whereas the DNN model tends to generate a broader, more

639 attenuated profile with a reduced maximum cloud fraction at the center. This points to  
640 a need for refining the model's ability to replicate the pronounced peak cloud fractions  
641 characteristic of stratiform cloud profiles.

642 The diurnal patterns of cloud base and top heights, captured through daily profiles,  
643 showcase the model's adeptness at simulating the temporal changes in cloud positions  
644 for all BLCs, the cumulus regime, and the stratiform regime (as shown in Figure 7).  
645 These profiles, derived from both observational data and DNN outputs, include shaded  
646 regions representing the variability (one standard deviation) around the average heights.  
647 Cumulus clouds exhibit a marked diurnal cycle, whereas stratiform clouds typically  
648 maintain a relatively ~~consistent position~~constant cloud boundaries and smaller  
649 variations throughout the day. A close alignment is observed between the mean and  
650 standard deviation of the cloud base between the observed and the simulated data for  
651 different cloud regimes. In contrast, while the mean cloud top heights follow a similar  
652 diurnal trend in both cases, the ~~variability presented by the~~ observed data exhibits more  
653 pronounced variabilities compared to the relatively small variabilities in the DNN  
654 simulations.

655 Figures 6 and 7 collectively demonstrate the model's ability to simulate cloud  
656 ~~positions~~boundaries and fractions within BLC. It reliably captures ~~cloud base~~  
657 ~~heights~~CBH yet encounters challenges with accurately representing cloud top heights  
658 and peak cloud fractions on an individual basis. These constraints are somewhat  
659 expected, given that even very fine-scale ~~model~~models struggle to entirely capture the

660 vertical extent of clouds, as evidenced in Large-Eddy Simulations or Convection-  
661 Permitting Models (Zhang et al. 2017; Gustafson et al. 2020; Bogenschutz et al. 2023).  
662 In addition to the discussion of deep learning models, we also acknowledge the role of  
663 mixed-layer (single-column) models in representing boundary layer processes (Lilly  
664 1968, Pelly and Belcher, 2001; Clayson and Chen, 2002; Zhang et al, 2005, 2009; De  
665 Roode et al., 2014). Mixed-layer models have several advantages: they are inherently  
666 grounded in physical principles and are readily integrated into many large-scale models.  
667 These models are effective at capturing the diurnal evolution of the PBL given an initial  
668 state and time series of surface fluxes. However, the DNN approach offers distinct  
669 benefits that complement this theoretical approach. DNNs might be able to capture  
670 complex, nonlinear relationships between various controlling factors and the cloud  
671 fraction. Theseis may be difficult to capture by the single (for the overcast  
672 stratocumulus-topped mixed layer) or multiple mixed-layer models (for the broken  
673 trade cumulus clouds), which are still subject to assumptions, e.g., on entrainment  
674 processes. By training on large observational datasets, DNNs can learn from real-world  
675 examples, potentially identifying patterns and relationships not explicitly encoded in  
676 physical models.

677

## 678 5 Integrating Application of the **Deep Learning Models into** 679 Model

### 680 4.35.1 Integration with **Reanalysis Datasets**

681 The DNNAs shown in Section 4, the deep learning model can usetake the



682 conventional meteorological ~~data~~observations (i.e. morning SONDE and surface  
683 ~~meteorology data~~)conditions) as ~~inputs~~ to ~~diagnoses~~simulate the BLC. ~~Meanwhile, as~~  
684 ~~outputs, reasonably reproduce a good agreement with the observed vertical structures~~  
685 ~~of BLCs. For its potential application, we may treat it also can be used in reanalysis~~  
686 ~~data (i.e., as an “emulator” of the observed relationships between input and output~~  
687 ~~variables. Here we present an example by integrating the deep learning model with~~  
688 ERA-5 and MERRA-2) ~~to serve as the convection parameterization~~ to simulate BLC  
689 with the input of early-morning profiles and meteorology datasurface conditions from  
690 the reanalysis. ~~Thus, we can assess the integration of Deep Learning Models with~~  
691 ~~reanalysis datasets to refine the simulation of BLCs.~~Here we ask, if inputs are treated  
692 as “reality”, what would be the expected responding cloud fraction simulated by the  
693 deep learning model, an observation-based emulator?

694 Following ~~this~~these thoughts, Figure 8 contrasts diurnal cloud fraction patterns from  
695 the observational data and the deep learning model predictions ~~across~~averaged over all  
696 conditions of seasons and years. Figure 8a-b present the observed cloud fractions and  
697 those simulated by ~~our~~the deep learning ~~neural network (DNN), using ARM data as~~  
698 inputs, respectively. Panels c and e ~~display~~show the cloud fractions directly  
699 ~~available~~extracted from ERA-5 and MERRA-2 reanalysis datasets, while panels d and  
700 f illustrate the ~~enhanced simulation results after the application of DNN to simulated~~  
701 cloud fraction by the deep learning model using inputs from ERA (ERA<sub>DNN</sub>) and  
702 MERRA (MERRA<sub>DNN</sub>) ~~data. To eliminate sampling biases, we averaged only those~~  
703 ~~samples for which both observational and reanalysis datasets are concurrently~~

704 ~~available~~data. Observing fluctuations in surface temperature and humidity data in ERA-  
705 5 for this region, we smoothed ERA-5 surface air temperature and humidity data with  
706 a  $\pm 1$ -hour window to mitigate potential variability from assimilation before using them  
707 as input for the DNN ~~modules~~. To eliminate sampling biases in comparison, we  
708 averaged only those samples for which both observations and reanalysis are  
709 concurrently available.

710 Note that here we adopt the deep learning model as a complementary tool rather  
711 than a replacement for any existing cloud representations in reanalysis data. The DNN  
712 outputs serve a diagnostic purpose, identifying biases in BLCs and aiding in  
713 understanding deficiencies within reanalysis data.

714 The DNN simulations with ~~observed meteorological data~~ARM observations as  
715 inputs align closely ~~in~~with the ARM observed cloud fraction profiles within the 0-2 km  
716 range, reflecting the model's ability to capture land-coupled clouds. As this model ~~are~~is  
717 designed for diagnosing land-coupled clouds, the model does not simulate decoupled  
718 clouds, which often have bases occurring above ~~the~~ 2-km (Su et al. 2022). Original  
719 ~~cloud data directly from~~ reanalysis ~~data~~ show significant underestimations of ~~cloud~~BLC  
720 ~~fractions— for — low — clouds~~, particularly evident in MERRA-2. The  
721 ~~implementation~~application of DNN the deep learning model using reanalysis data as  
722 inputs enhances cloud fraction ~~representation~~estimations compared to ~~the~~ original cloud  
723 data directly from reanalysis ~~data~~, demonstrating the DNN model's strength in  
724 simulating BLC. Given that the DNN model specializes in simulating BLC, when  
725 utilizing reanalysis data, the portion of cloud profiles that are decoupled are preserved

726 as they are in the original datasets—that is, for the cloud layers above the BLC-tops or  
727 ~~for~~ as those clouds ~~that~~-rooted above the PBL.

728 Furthermore, Figure 9 provides a detailed examination of stratiform clouds,  
729 utilizing the same comparative approach as in Figure 8. The observed stratiform clouds  
730 display a layered structure with expansive coverage and maximum cloud fractions  
731 typically exceeding 0.6. The DNN model ~~reproduce~~ using ARM data as inputs  
732 reproduces these observed characteristics fairly well, albeit with minor overestimations  
733 in cloud vertical extent. Conversely, the original ERA-5 and MERRA-2 stratiform  
734 cloud data exhibit limitations, particularly in underestimating cloud fraction. The  
735 integration of the DNN model with reanalysis data as inputs enhances the  
736 ~~representation~~ estimations of stratiform cloud fractions, as depicted in the heatmaps of  
737 Figure 9, showcasing improved agreement with observational data and underscoring  
738 the enhancement potential for cloud fraction simulations in reanalysis datasets.

739 ~~Additionally~~ In addition, Figure 10 extends the comparative study to cumulus clouds.  
740 Cumulus clouds pose significant challenges for modeling and parameterization partly  
741 due to their typically small spatial extent compared to the model grid, often spanning  
742 from a few hundred meters to several kilometers (Zhang et al. 2017; Tao et al., 2021;  
743 Bogenschutz et al. 2023; Gustafson et al. 2020). In line with expectations, the original  
744 ERA-5 and MERRA-2 cloud fields exhibit significant biases in representing cumulus  
745 clouds when compared to observational data, ~~possibly related to the large grid of the~~  
746 ~~reanalysis that might not fully capture the fine-scale characteristics of cumulus~~  
747 ~~formations.~~

748 . In contrast, the DNN model with ARM data as inputs achieves commendable  
749 success in capturing the diurnal variability of cumulus clouds, including cloud base,  
750 vertical extension, and cloud fraction, by leveraging local convective signals derived  
751 from surface meteorology data. When the DNN ~~methodology~~model is applied  
752 ~~to~~integrated with ERA-5 as inputs, it significantly improves the  
753 ~~representation~~estimation of vertical cloud fields of cumulus ~~clouds~~. However, the  
754 original MERRA-2 data, which tend to overlook the majority of cumulus clouds,  
755 continue to significantly underrepresent them even after the application of DNN,  
756 suggesting that additional biases in the input variables such as meteorological factors  
757 may contribute to this discrepancy.

758 The integration of deep learning with ERA-5 and MERRA-2 reanalysis datasets  
759 demonstrates the notable refinement in the simulation of BLC. ~~By integrating our deep~~  
760 ~~learning models with reanalysis data, we achieve a,~~ and achieves more accurate  
761 ~~representation~~estimations of cloud fractions for both stratiform and cumulus clouds.

762

#### 763 **4.45.2 Applying Deep Learning for Bias Attribution in Cloud Simulation**

764 We further examine the remaining disparities in cloud fraction simulations within  
765 reanalysis datasets, despite the integration of deep learning models (as shown in Figures  
766 8-10), indicating persisting meteorological biases. Deep learning is utilized to quantify  
767 and attribute these biases for BLC simulations.

768 Figure 11 offers a comparative analysis of vertical cloud fraction profiles for both  
769 stratiform and cumulus clouds. It presents cloud fractions ~~observed with those~~directly

770 taken from reanalysis data (RD), including ERA-5, and MERRA-2, and their  
771 corresponding deep learning-informed simulations. While the application of deep  
772 learning to use reanalysis data as inputs ( $RD_{DNN}$ ) yields improvements, remaining cloud  
773 biases are evident, particularly in MERRA-2. Acknowledging the significant influence  
774 of surface RH on BLC simulations (as indicated by Figure 3e, ~~input~~ we refine the inputs  
775 into the DNN model by replacing the reanalysis surface RH with the ARM observed  
776 surface ~~relative humidity (RH) instead of reanalysis RH into (the DNN models~~  
777 ~~(the model~~ output is labeled as  $RD_{DNN-RH}$ ). This modification leads to a ~~more~~  
778 ~~accurate~~ much better simulation for MERRA-2, closing the gap with observational data,  
779 especially for stratiform clouds. For ERA-5,  $RD_{DNN-RH}$  and  $RD_{DNN}$  show negligible  
780 differences for cumulus clouds, but for stratiform clouds,  $RD_{DNN-RH}$  also exhibits a  
781 reduced bias. These refined profiles of cloud fraction attest to the benefits of using the  
782 observed surface moisture data as input, confirming its important role in achieving a  
783 more accurate representation of BLC.

784 ~~We can~~ With such methodology, we may further dissect the bias in cloud fraction  
785 simulations attributed to various meteorological factors and the parameterization  
786 schemes within ERA and MERRA reanalysis datasets:

$$787 \quad \text{Bias due to parameterization} = |RD - OBS| - |RD_{DNN} - OBS| \quad (2)$$

$$788 \quad \text{Bias due to surface RH} = |RD_{DNN} - OBS| - |RD_{DNN-RH} - OBS| \quad (3)$$

789 where RD and OBS are the cloud fraction ~~derived~~ taken directly from reanalysis data  
790 and observations, respectively. The ~~definition~~ definitions of  $RD_{DNN}$  and  $RD_{DNN-RH}$  are  
791 the same with ~~as~~ the above. For getting a representative value, these biases are layer-

792 averaged from 0-4 km over different local times, and then normalized by the observed  
793 mean cloud fraction, offering a climatological perspective on the discrepancies between  
794 observed and simulated data across seasons and years. For equation (2), we assume that  
795 the climatology of observations used as input to the DNN model ( $OBS_{DNN}$ ) matches the  
796 observed cloud fraction climatology (i.e.,  $OBS_{DNN} \approx OBS$ ), which has been  
797 demonstrated in Figures 9-11. Therefore, we exclude the term representing the  
798 difference between the DNN-predicted observations and the actual observations. This  
799 assumption justifies our approach by ensuring the input observations align with the  
800 observed cloud fraction in equations.

801 We get the bias attributed to different meteorological factors and parameterization  
802 schemes in the ERA-5 and MERRA-2 datasets, respectively (Figure 12). Each ~~bars~~  
803 ~~indicate~~bar indicates the normalized bias contributed by factors such as morning  
804 meteorological profiles, surface pressure, surface fluxes, various surface meteorology  
805 variables, and parameterization schemes. Notably, parameterization stands out as a  
806 significant contributor to bias, accounting for 14.45% ~~and~~ and 19.05% of the discrepancy  
807 in stratiform clouds between observations ~~and~~versus ERA-5 and MERRA-2  
808 respectively. For cumulus clouds, the parameterization biases are more pronounced,  
809 contributing 22.23% and 30.94% for ERA-5 and MERRA-2, respectively.

810 In addition to parameterization, RH, RH profiles, and sensible heat are identified as  
811 major factors contributing to the differences between observations and reanalysis data.  
812 For instance, aligning MERRA-2's RH with observed surface RH could potentially  
813 reduce bias by 23.13% for stratiform and 10.26% for cumulus clouds. Meanwhile,

814 surface RH and morning RH profiles in ERA-5 lead to 11.25% and 3.96% of biases for  
815 the stratiform clouds. The bias between ERA-5 and observed cumulus clouds is largely  
816 driven by parameterization, which suggests that employing the DNN model with ERA-  
817 5 can lead to a more accurate simulation of cumulus clouds.

818 The detailed bias attribution analysis facilitated by the deep learning model  
819 elucidates the individual impact of meteorological factors on the discrepancies in cloud  
820 fraction between observations and reanalysis data. It underscores the necessity for more  
821 accurate humidity data within reanalysis datasets to refine BLC simulations.  
822 Furthermore, this deep learning approach illuminates pathways for improved  
823 parameterization of boundary layer convection.

824

## 825 **5.6. Summary**

826 This study has developed a deep learning model to estimate the evolution of BLCs  
827 over the SGP. The model utilizes over two decades of meteorological data to simulate  
828 BLC formation and characteristics, including ~~timing~~the occurrence of ~~convection~~  
829 ~~initiation (BLC onset), their positions~~BLCs, cloud boundaries, and vertical structures  
830 of cloud fraction. As this model is built based on the perspective of cloud-land coupling,  
831 the DNN approach demonstrates the capability to diagnose land-coupled convective  
832 systems from early-morning sounding and surface ~~meteorology~~conditions. The DNN  
833 model is built on the cloud-land interactions and serves as the testimony for the coupling  
834 between BLCs and the land surface. The proficiency and reliability of the DNN model  
835 ~~is~~are evident in its robustness during both the training period and the subsequent

836 independent periods. The deep learning model addresses the simulation of cloud  
837 vertical structure, among one of the key challenges in physics-based large-scale models.  
838 It should be noted that the current DNN model cannot produce detailed cloud  
839 microphysics and turbulence information. We propose using the DNN model alongside  
840 traditional physical models to obtain comprehensive information on BLCs.

841 The ~~implementation~~application of this model ~~within~~on the reanalysis datasets like  
842 ERA-5 and MERRA-2 has resulted in enhanced ~~representation~~cloud field estimations  
843 for stratiform clouds and cumulus, and an accurate vertical structure of clouds in  
844 ~~term~~terms of climatology, providing a promising diagnostic tool for improving weather  
845 forecasting and climate modeling. The deep learning model notably ~~address~~addresses  
846 the limitation in cumulus simulations in the reanalysis data, Meanwhile, this approach  
847 is much more cost-effective compared to traditional parameterizations and schemes -at  
848 various scales, as it can simulate two decades of ~~BLC~~BLCs with vertical information  
849 over the SGP ~~in ~30 second~~within 1-minute using a single GPU node.

850 In addition to the BLC simulations, the deep learning model developed in this study  
851 also is used to attribute discrepancies between observational data and reanalysis  
852 datasets to different meteorological factors. Besides parameterization, surface RH,  
853 morning RH profiles, and surface sensible heat are the three major factors that lead to  
854 the mismatches in BLC representation in ERA-5 and MERRA-2. These findings  
855 underscore the importance of incorporating more accurate humidity information in  
856 reanalysis datasets, which is crucial for refining BLC simulations. This analysis also  
857 sheds light on the necessity to update reanalysis datasets with improved



858 parameterization of boundary layer convection.

859 ~~By leveraging deep learning, the model addressed the simulation of cloud vertical~~  
860 ~~structure, among one of the key challenges in the field. They highlight the value of deep~~  
861 ~~learning in advancing our understanding of BLC dynamics and improving the~~  
862 ~~representation of low clouds in atmospheric models. This work not only narrows the~~  
863 ~~observational modeling divide but also paves the way for future developments in cloud~~  
864 ~~parameterization. Moving forward, future work is warranted to test and extend this~~  
865 ~~parameterization to different synoptic regions. The goal is to develop a versatile model~~  
866 ~~capable of simulating BLC on a global scale, which can be integrated into multiple scale~~  
867 ~~models or reanalysis data. Moving forward, future work is warranted to test and extend~~  
868 this diagnostic tool to different synoptic patterns over a large region, which can be  
869 integrated into multiple-scale models or reanalysis data. However, several challenges  
870 need to be addressed to achieve this. One significant limitation is the lack of high-  
871 quality, detailed observations of clouds and radiosonde profiles globally. This scarcity  
872 of data can hinder the model's ability to generalize effectively across different regions.  
873 To overcome this, there are several potential strategies. First, using transfer learning  
874 techniques can help adapt the model trained in one region to other regions with limited  
875 data. Integrating data from global observational networks (i.e., ARM) can also create a  
876 more diverse and representative training dataset, capturing a wider range of atmospheric  
877 conditions and cloud characteristics. Meanwhile, leveraging satellite data can provide  
878 broader coverage and enhance the robustness of the model. We plan to explore these  
879 approaches in future work to enhance the model's performance and applicability on a

880 global scale.

881

882

883 ***Code and data availability.*** The code package of DNN models and for the ~~The~~  
884 simulation outputs of BLCs from observed meteorological data and ERA-5 and  
885 MERRA-2 ~~is available under the GNU General Public License v3.0 at can be found in~~  
886 <https://doi.org/10.5281/zenodo.10719342> (Su, 2024). ARM radiosonde data, surface  
887 fluxes, and cloud masks are available at  
888 [https://adc.arm.gov/discovery/#/results/instrument\\_class\\_code::armbe](https://adc.arm.gov/discovery/#/results/instrument_class_code::armbe) (ARM user  
889 facility, 1994). ARSCL (Active Remote ~~Sensing~~~~Sensing~~ of ~~Cloud~~~~Clouds~~) can be found  
890 in [https://adc.arm.gov/discovery/#/results/instrument\\_class\\_code::arscl](https://adc.arm.gov/discovery/#/results/instrument_class_code::arscl) (ARM user  
891 facility, 1996). MERRA-2 reanalysis data can be downloaded obtained from  
892 [https://disc.gsfc.nasa.gov/datasets/M2T1NXRAD\\_5.12.4/summary?keywords%80%89%80%89MERRA-2%20avg1\\_2d\\_rad\\_Nx](https://disc.gsfc.nasa.gov/datasets/M2T1NXRAD_5.12.4/summary?keywords%80%89%80%89MERRA-2%20avg1_2d_rad_Nx)  
893 (GMAO, 2015). ERA-5  
894 reanalysis data are obtained from  
895 [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=form)  
896 [levels?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=form) (Hersbach et al. 2023).

897

898 ***Author contributions.*** TS designed this study and carried out the analysis and model  
899 training. TS and YZ interpreted the data and wrote the manuscript. YZ supervised the  
900 project.

901

902 ***Competing interests.*** The contact author has declared that neither they nor their co-  
903 authors have any competing interests.

904

905 **Acknowledgements.** Work at LLNL is performed under the auspices of the U.S. DOE  
906 by Lawrence Livermore National Laboratory under Contract DE-AC52-07NA27344.  
907 This research used resources of the National Energy Research Scientific Computing  
908 Center (NERSC), a U.S. Department of Energy Office of Science User Facility located  
909 at Lawrence Berkeley National Laboratory, operated under Contract No. DE-AC02-  
910 05CH11231. We acknowledge ~~the~~ the U.S. Department of Energy’s ARM program for  
911 offering the comprehensive filed observations.

912

913 **Financial support.** This work has been supported by the DOE Atmospheric System  
914 Research (ASR) Science Focus Area (SFA) THREAD project ([SCW1800](#)).

915

## 916 **References**

- 917 Altmann, A., Toloşi, L., Sander, O. and Lengauer, T.: Permutation importance: a  
918 corrected feature importance measure. *Bioinformatics*, 26(10), pp.1340-1347.,  
919 2010.
- 920 Atmospheric Radiation Measurement (ARM) user facility.: ARM Best Estimate Data  
921 Products (ARMBEATM). Southern Great Plains (SGP) Central Facility, Lamont,  
922 OK (C1). Compiled by C. Xiao and X. Shaocheng. [Dataset] ARM Data Center.  
923 Dataset accessed 2023-12-25 at <http://dx.doi.org/10.5439/1333748>., 1994.
- 924 Atmospheric Radiation Measurement (ARM) user facility.: Active Remote Sensing of  
925 CLouds (ARSCL1CLOTH). 2024-02-05 to 2024-02-13, Southern Great Plains  
926 (SGP) Central Facility, Lamont, OK (C1). Compiled by S. Giangrande, D. Wang,  
927 E. Clothiaux and P. Kollias. [Dataset] ARM Data Center. Dataset accessed 2023-  
928 12-25 at <http://dx.doi.org/10.5439/1996113>., 1996.
- 929 Battaglia, P.W., Hamrick, J.B., Bapst, V., Sanchez-Gonzalez, A., Zambaldi, V.,  
930 Malinowski, M., Tacchetti, A., Raposo, D., Santoro, A., Faulkner, R. and Gulcehre,  
931 C.: Relational inductive biases, deep learning, and graph networks. arXiv preprint  
932 arXiv:1806.01261., 2018.
- 933 Berg, L. K., and Kassianov, E.I.: Temporal variability of fair-weather cumulus statistics  
934 at the ACRF SGP site. *J. Climate*, 21(13), 3344–3358., 2008.
- 935 Betts, A.K.: Land - surface - atmosphere coupling in observations and models. *Journal*  
936 *of Advances in Modeling Earth Systems*, 1(3)., 2009.

937 Bogenschutz, P. A., Eldred, C., & Caldwell, P. M.: Horizontal resolution sensitivity of  
938 the Simple Convection-Permitting E3SM Atmosphere Model in a doubly-periodic  
939 configuration. *Journal of Advances in Modeling Earth Systems*, 15,  
940 e2022MS003466. <https://doi.org/10.1029/2022MS003466>, 2023.

941 Bretherton, C. S., Blossey, P. N., and Uchida, J.: Cloud droplet sedimentation,  
942 entrainment efficiency, and subtropical stratocumulus albedo. *Geophys. Res. Lett.*,  
943 34(3), L03813., 2007.

944 Cadeddu, M. P., Turner, D. D., and Liljegren, J. C.: A neural network for real-time  
945 retrievals of PWV and LWP from Arctic millimeter-wave ground-based  
946 observations, *IEEE T. Geosci. Remote*, 47, 1887–1900., 2009.

947 Caldwell, P.M., Terai, C.R., Hillman, B., Keen, N.D., Bogenschutz, P., Lin, W.,  
948 Beydoun, H., Taylor, M., Bertagna, L., Bradley, A.M. and Clevenger, T.C.:  
949 Convection - permitting simulations with the E3SM global atmosphere model.  
950 *Journal of Advances in Modeling Earth Systems*, 13(11), p.e2021MS002544., 2021.

951 Chandra, A. S., Kollias, P., Giangrande, S. E., & Klein, S. A.: Long-term observations  
952 of the convective boundary layer using insect radar returns at the SGP ARM climate  
953 research facility. *Journal of climate*, 23(21), 5699-5714., 2010.

954 Clayson, C.A. and Chen, A.: Sensitivity of a coupled single-column model in the tropics  
955 to treatment of the interfacial parameterizations. *Journal of climate*, 15(14),  
956 pp.1805-1831., 2002.

957 Clothiaux, E. E., Ackerman, T. P., Mace, G. G., Moran, K. P., Marchand, R. T., Miller,  
958 M. A., and Martner, B. E.: Objective determination of cloud heights and radar  
959 reflectivities using a combination of active remote sensors at the ARM CART sites.  
960 *J. Appl. Meteorol.*, 39(5), 645–665., 2000.

961 Clothiaux, E.E., Miller, M.A., Perez, R.C., Turner, D.D., Moran, K.P., Martner, B.E.,  
962 Ackerman, T.P., Mace, G.G., Marchand, R.T., Widener, K.B. and Rodriguez, D.J.:  
963 The ARM millimeter wave cloud radars (MMCRs) and the active remote sensing  
964 of clouds (ARSCL) value added product (VAP) (No. DOE/SC-ARM/VAP-002.1).  
965 DOE Office of Science Atmospheric Radiation Measurement (ARM) Program  
966 (United States)., 2001.

967 Cook, D. R.: Energy Balance Bowen Ratio (EBBR) instrument handbook, Technical  
968 Report Rep. DOE/SC-ARM/TR-037, U.S. Department of Energy., 2018.

969 Date, Y. and Kikuchi, J.: Application of a deep neural network to metabolomics studies  
970 and its performance in determining important variables. *Analytical chemistry*, 90(3),  
971 pp.1805-1810., 2018.

972 De Roode, S.R., Siebesma, A.P., Dal Gesso, S., Jonker, H.J., Schalkwijk, J. and Sival,  
973 J.: A mixed - layer model study of the stratocumulus response to changes in large -  
974 scale conditions. *Journal of Advances in Modeling Earth Systems*, 6(4), pp.1256-  
975 1270., 2014.

976 Fast, J. D., Berg, L. K., Alexander, L., Bell, D., D'Ambro, E., Hubbe, J., Kuang, C., Liu,  
977 J., Long, C., Matthews, A., and Mei, F.: Overview of the HI-SCALE field campaign:  
978 A new perspective on shallow convective clouds, *B. Am. Meteorol. Soc.*, 100, 821–  
979 840.: , 2019.

980 Gagne II, D. J., Haupt, S. E., Nychka, D. W., and Thompson, G.: Interpretable deep

981 learning for spatial analysis of severe hailstorms, *Mon. Weather Rev.*, 147.: 2845,  
982 (2019)., 2827.

983 Gelaro, R., McCarty, W., Suárez, M.J., Todling, R., Molod, A., Takacs, L., Randles,  
984 C.A., Darmenov, A., Bosilovich, M.G., Reichle, R. and Wargan, K.: The modern-  
985 era retrospective analysis for research and applications, version 2 (MERRA-2).  
986 *Journal of climate*, 30(14), pp.5419-5454., 2017.

987 Gentine, P., Pritchard, M., Rasp, S., Reinaudi, G. and Yacalis, G.: Could machine  
988 learning break the convection parameterization deadlock?. *Geophysical Research*  
989 *Letters*, 45(11), pp.5742-5751., 2018.

990 Global Modeling and Assimilation Office (GMAO.: MERRA-2 tavg1\_2d\_rad\_Nx:  
991 2d,1-Hourly,Time-Averaged,Single-Level,Assimilation,Radiation Diagnostics  
992 V5.12.4, Greenbelt, MD, USA [Dataset]. Goddard Earth Sciences Data and  
993 Information Services Center (GES DISC),  
994 <https://doi.org/10.5067/Q9QMY5PBNV1T.>, 2015.

995 Golaz, J.C., Larson, V.E. and Cotton, W.R.: A PDF-based model for boundary layer  
996 clouds. Part I: Method and model description. *Journal of the atmospheric sciences*,  
997 59(24), pp.3540-3551., 2002.

998 Guo, J., Su, T., Chen, D., Wang, J., Li, Z., Lv, Y., ... & Zhai, P.: Declining summertime  
999 local - scale precipitation frequency over China and the United States, 1981 - 2012:  
1000 The disparate roles of aerosols. *Geophysical Research Letters*, 46(22), 13281-  
1001 13289., 2019.

1002 Guo, J., Zhang, J., Shao, J., Chen, T., Bai, K., Sun, Y., Li, N., Wu, J., Li, R., Li, J. and  
1003 Guo, Q.: A merged continental planetary boundary layer height dataset based on  
1004 high-resolution radiosonde measurements, ERA5 reanalysis, and GLDAS. *Earth*  
1005 *System Science Data*, 16(1), pp.1-14., 2024.

1006 Gustafson, W.I., Vogelmann, A.M., Li, Z., Cheng, X., Dumas, K.K., Endo, S., Johnson,  
1007 K.L., Krishna, B., Fairless, T. and Xiao, H.: The large-eddy simulation (LES)  
1008 atmospheric radiation measurement (ARM) symbiotic simulation and observation  
1009 (LASSO) activity for continental shallow convection. *Bulletin of the American*  
1010 *Meteorological Society*, 101(4), pp.E462-E479., 2020.

1011 Haynes, J.M., Noh, Y.J., Miller, S.D., Haynes, K.D., Ebert-Uphoff, I. and Heidinger, A.:  
1012 Low cloud detection in multilayer scenes using satellite imagery with machine  
1013 learning methods. *Journal of Atmospheric and Oceanic Technology*, 39(3), pp.319-  
1014 334., 2022.

1015 Hersbach, H., Bell, B., Berrisford, P., Biavati, G., Horányi, A., Muñoz Sabater, J.,  
1016 Nicolas, J., Peubey, C., Radu, R., Rozum, I., Schepers, D., Simmons, A., Soci, C.,  
1017 Dee, D., Thépaut, J-N.: ERA5 hourly data on pressure levels from 1940 to present.  
1018 [Dataset] Copernicus Climate Change Service (C3S) Climate Data Store (CDS),  
1019 DOI: 10.24381/cds.bd0915c6., 2023.

1020 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz - Sabater, J.,  
1021 Nicolas, J., Peubey, C., Radu, R., Schepers, D. and Simmons, A.: The ERA5 global  
1022 reanalysis. *Quarterly Journal of the Royal Meteorological Society*, 146(730),  
1023 pp.1999-2049., 2020.

1024 Holdridge, D., Ritsche, M., Prell, J., and Coulter, R.: Balloon-borne sounding system

1025 (SONDE) handbook, <https://www.arm.gov/capabilities/instruments/sonde.>, 2011.

1026 Holzworth, G. C.: Estimates of mean maximum mixing depths in the contiguous United  
1027 States, *Mon. Weather Rev.*, 92, 235–242, [https://doi.org/10.1175/1520-0493\(1964\)092<0235:eommmmd>2.3.co;2](https://doi.org/10.1175/1520-0493(1964)092<0235:eommmmd>2.3.co;2), 1964., 1175.

1029 Klambauer, G., Unterthiner, T., Mayr, A., & Hochreiter, S.: Self-normalizing neural  
1030 networks. *Advances in neural information processing systems*, 30., 2017.

1031 Kollias, P., Bharadwaj, N., Clothiaux, E.E., Lamer, K., Oue, M., Hardin, J., Isom, B.,  
1032 Lindenmaier, I., Matthews, A., Luke, E.P. and Giangrande, S.E.: The ARM radar  
1033 network: At the leading edge of cloud and precipitation observations. *Bulletin of  
1034 the American Meteorological Society*, 101(5), pp.E588-E607., 2020.

1035 Kuma, P., McDonald, A.J., Morgenstern, O., Alexander, S.P., Cassano, J.J., Garrett, S.,  
1036 Halla, J., Hartery, S., Harvey, M.J., Parsons, S. and Plank, G.: Evaluation of  
1037 Southern Ocean cloud in the HadGEM3 general circulation model and MERRA-2  
1038 reanalysis using ship-based observations. *Atmospheric Chemistry and Physics*,  
1039 20(11), pp.6607-6630., 2020.

1040 Lareau, N.P., Zhang, Y. and Klein, S.A.: Observed boundary layer controls on shallow  
1041 cumulus at the ARM Southern Great Plains site. *Journal of the Atmospheric  
1042 Sciences*, 75(7), pp.2235-2255., 2018.

1043 Lee, J.M., Zhang, Y. and Klein, S.A.: The effect of land surface heterogeneity and  
1044 background wind on shallow cumulus clouds and the transition to deeper  
1045 convection. *Journal of the Atmospheric Sciences*, 76(2), pp.401-419., 2019.

1046 Lilly, D.K.: Models of cloud-topped mixed layers under a strong inversion. *Q.J.R.  
1047 Meteorol. Soc.*, 94: 292-309. <https://doi.org/10.1002/qj.49709440106>, 1968.

1048 Lu, C., Liu, Y. and Niu, S.: Examination of turbulent entrainment - mixing mechanisms  
1049 using a combined approach. *Journal of Geophysical Research: Atmospheres*,  
1050 116(D20), 2011.

1051 Lu, C., Niu, S., Liu, Y. and Vogelmann, A.M.: Empirical relationship between  
1052 entrainment rate and microphysics in cumulus clouds. *Geophysical Research  
1053 Letters*, 40(10), pp.2333-2338., 2013.

1054 Luque, A., Carrasco, A., Martín, A. and de Las Heras, A.: The impact of class imbalance  
1055 in classification performance metrics based on the binary confusion matrix. *Pattern  
1056 Recognition*, 91, pp.216-231., 2019.

1057 McGovern, A., Elmore, K. L., Gagne, D. J., Haupt, S. E., Karstens, C. D., Lagerquist,  
1058 R., Smith, T., and Williams, J. K.: Using artificial intelligence to improve real-time  
1059 decision-making for high-impact weather, *B. Am. Meteorol. Soc.*, 98.: 2090,  
1060 (2017)., 2073.

1061 Miao, H., Wang, X., Liu, Y. and Wu, G.: An evaluation of cloud vertical structure in  
1062 three reanalyses against CloudSat/cloud - aerosol lidar and infrared pathfinder  
1063 satellite observations. *Atmospheric Science Letters*, 20(7), p.e906., 2019.

1064 Moeng, C.H., Cotton, W.R., Bretherton, C., Chlond, A., Khairoutdinov, M., Krueger,  
1065 S., Lewellen, W.S., MacVean, M.K., Pasquier, J.R.M., Rand, H.A. and Siebesma,  
1066 A.P.: Simulation of a stratocumulus-topped planetary boundary layer:  
1067 Intercomparison among different numerical codes. *Bulletin of the American  
1068 Meteorological Society*, 77(2), pp.261-278., 1996.

1069 Molero, F., Barragán, R. and Artíñano, B.: Estimation of the atmospheric boundary  
1070 layer height by means of machine learning techniques using ground-level  
1071 meteorological data. *Atmospheric Research*, 279, p.106401., 2022.

1072 Mooers, G., Pritchard, M., Beucler, T., Ott, J., Yacalis, G., Baldi, P. and Gentine, P.:  
1073 Assessing the potential of deep learning for emulating cloud superparameterization  
1074 in climate models with real - geography boundary conditions. *Journal of Advances  
1075 in Modeling Earth Systems*, 13(5), p.e2020MS002385., 2021.

1076 Morrison, H., van Lier - Walqui, M., Fridlind, A.M., Grabowski, W.W., Harrington,  
1077 J.Y., Hoose, C., Korolev, A., Kumjian, M.R., Milbrandt, J.A., Pawlowska, H. and  
1078 Posselt, D.J.: Confronting the challenge of modeling cloud and precipitation  
1079 microphysics. *Journal of advances in modeling earth systems*, 12(8),  
1080 p.e2019MS001689., 2020.

1081 Nogherotto, R., Tompkins, A.M., Giuliani, G., Coppola, E. and Giorgi, F.: Numerical  
1082 framework and performance of the new multiple-phase cloud microphysics scheme  
1083 in RegCM4. 5: precipitation, cloud microphysics, and cloud radiative effects.  
1084 *Geoscientific Model Development*, 9(7), pp.2533-2547., 2016.

1085 O'Gorman, P.A. and Dwyer, J.G.: Using machine learning to parameterize moist  
1086 convection: Potential for modeling of climate, climate change, and extreme events.  
1087 *Journal of Advances in Modeling Earth Systems*, 10(10), pp.2548-2563., 2018.

1088 Pal, S.R., Steinbrecht, W. and Carswell, A.I.: Automated method for lidar determination  
1089 of cloud-base height and vertical extent. *Applied optics*, 31(10), pp.1488-1494.,  
1090 1992.

1091 Pelly, J.L. and Belcher, S.E.: A mixed-layer model of the well-mixed stratocumulus-  
1092 topped boundary layer. *Boundary-layer meteorology*, 100, pp.171-187., 2001.

1093 Poll, S., Shrestha, P. and Simmer, C.: Grid resolution dependency of land surface  
1094 heterogeneity effects on boundary - layer structure. *Quarterly Journal of the Royal  
1095 Meteorological Society*, 148(742), pp.141-158., 2022.

1096 Qian, Y., Guo, Z., Larson, V.E., Leung, L.R., Lin, W., Ma, P.L., Wan, H., Wang, H.,  
1097 Xiao, H., Xie, S. and Yang, B.: Region and cloud regime dependence of parametric  
1098 sensitivity in E3SM atmosphere model. *Climate Dynamics*, pp.1-17., 2023.

1099 Raju, V.G., Lakshmi, K.P., Jain, V.M., Kalidindi, A. and Padma, V.: August. Study the  
1100 influence of normalization/transformation process on the accuracy of supervised  
1101 classification. In *2020 Third International Conference on Smart Systems and  
1102 Inventive Technology (ICSSIT)* (pp. 729-735). IEEE., 2020.

1103 Randles, C.A., Da Silva, A.M., Buchard, V., Colarco, P.R., Darmenov, A., Govindaraju,  
1104 R., Smirnov, A., Holben, B., Ferrare, R., Hair, J. and Shinozuka, Y.: The MERRA-  
1105 2 aerosol reanalysis, 1980 onward. Part I: System description and data assimilation  
1106 evaluation. *Journal of climate*, 30(17), pp.6823-6850., 2017.

1107 Rasp, S.: Coupled online learning as a way to tackle instabilities and biases in neural  
1108 network parameterizations: general algorithms and Lorenz 96 case study (v1. 0).  
1109 *Geoscientific Model Development*, 13(5), pp.2185-2196., 2020.

1110 Rieck, M., Hohenegger, C. and van Heerwaarden, C.C.: The influence of land surface  
1111 heterogeneities on cloud size development. *Monthly Weather Review*, 142(10),  
1112 pp.3830-3846., 2014.

1113 Ritsche, M.: ARM Surface Meteorology Systems Instrument Handbook. PNNL:  
1114 Richland, WA, USA., 2011.

1115 Romps, D.M.: Exact expression for the lifting condensation level. *Journal of the*  
1116 *Atmospheric Sciences*, 74(12), pp.3891-3900., 2017.

1117 Sakaguchi, K., Berg, L.K., Chen, J., Fast, J., Newsom, R., Tai, S.L., Yang, Z., Gustafson  
1118 Jr, W.I., Gaudet, B.J., Huang, M. and Pekour, M.: Determining spatial scales of soil  
1119 moisture—Cloud coupling pathways using semi - idealized simulations. *Journal of*  
1120 *Geophysical Research: Atmospheres*, 127(2), p.e2021JD035282., 2022.

1121 Salimans, T., & Kingma, D. P.: Weight normalization: A simple reparameterization to  
1122 accelerate training of deep neural networks. *Advances in neural information*  
1123 *processing systems*, 29., 2016.

1124 Shamekh, S., Lamb, K. D., Huang, Y., & Gentine, P.: Implicit learning of convective  
1125 organization explains precipitation stochasticity. *Proceedings of the National*  
1126 *Academy of Sciences*, 120(20), e2216158120., 2023.

1127 Sisterson, D. L., Peppler, R. A., Cress, T. S., Lamb, P. J., & Turner, D. D.: The ARM  
1128 Southern Great Plains (SGP) Site. *Meteorological Monographs*, 57(1), 6.1-6.14.  
1129 <https://doi.org/10.1175/AMSMONOGRAPHS-D-16-0004.1>, 2016.

1130 Song, H., Lin, W., Lin, Y., Wolf, A. B., Donner, L. J., Del Genio, A. D., ... & Liu, Y.:  
1131 Evaluation of cloud fraction simulated by seven SCMs against the ARM  
1132 observations at the SGP site. *Journal of climate*, 27(17), 6698-6719., 2014.

1133 Stull, R.B.: *An Introduction to Boundary Layer Meteorology*. Dordrecht: Springer  
1134 Netherlands, 1988.

1135 Su, T.: Codes and Package of Deep Learning Driven Simulations of Boundary Layer  
1136 Cloud over the US Southern Great Plains [Dataset]. Zenodo.  
1137 <https://doi.org/10.5281/zenodo.10685605>, 2024.

1138 Su, T., & Zhang, Y.: Deep-learning-derived planetary boundary layer height from  
1139 conventional meteorological measurements. *Atmospheric Chemistry and Physics*,  
1140 24(11), 6477-6493., 2024.

1141 Su, T., Li, Z. and Zheng, Y.: Cloud - Surface Coupling Alters the Morning Transition  
1142 From Stable to Unstable Boundary Layer. *Geophysical Research Letters*, 50(5),  
1143 p.e2022GL102256., 2023.

1144 Su, T., Li, Z., and Kahn, R.: A new method to retrieve the diurnal variability of planetary  
1145 boundary layer height from lidar under different thermodynamic stability conditions.  
1146 *Remote Sens. Environ.*, 237, 111519., 2020.

1147 Su, T., Li, Z., Zhang, Y., Zheng, Y., & Zhang, H.: Observation and reanalysis derived  
1148 relationships between cloud and land surface fluxes across cumulus and stratiform  
1149 coupling over the Southern Great Plains. *Geophysical Research Letters*, 51(8),  
1150 e2023GL108090., 2024.

1151 Su, T., Zheng, Y. and Li, Z.: Methodology to determine the coupling of continental  
1152 clouds with surface from lidar and meteorological data. *Atmos. Chem. Phys.*, 2022.

1153 Tang, Q., Xie, S., Zhang, Y., Phillips, T. J., Santanello, J. A., Cook, D. R., Riihimaki, L.  
1154 D., and Gaustad, K. L.: Heterogeneity in warm-season land-atmosphere coupling  
1155 over the US Southern Great Plains, *J. Geophys. Res.-Atmos.*, 123.: 7882, (2018).,  
1156 7867.



1157 Tang, S., Xie, S., Zhang, M., Tang, Q., Zhang, Y., Klein, S. A., Cook, D. R., and Sullivan,  
1158 R. C.: Differences in eddy - correlation and energy - balance surface turbulent heat  
1159 flux measurements and their impacts on the large - scale forcing fields at the ARM  
1160 SGP site. *J. Geophys. Res. Atmos.*, 124, 3301–3318,  
1161 doi.org/10.1029/2018JD029689., 2019.

1162 Tao, C., Zhang, Y., Tang, Q., Ma, H.Y., Ghate, V.P., Tang, S., Xie, S. and Santanello,  
1163 J.A.: Land–Atmosphere coupling at the US Southern Great Plains: A comparison  
1164 on local convective regimes between ARM observations, reanalysis, and climate  
1165 model simulations. *Journal of Hydrometeorology*, 22(2), pp.463–481., 2021.

1166 Tao, C., Zhang, Y., Tang, S., Tang, Q., Ma, H.Y., Xie, S. and Zhang, M.: Regional  
1167 moisture budget and land - atmosphere coupling over the US Southern Great Plains  
1168 inferred from the ARM long - term observations. *Journal of Geophysical Research:*  
1169 *Atmospheres*, 124(17-18), pp.10091-10108., 2019.

1170 Teixeira, J., and Hogan, T. F.: Boundary layer clouds in a global atmospheric model:  
1171 simple cloud cover parameterizations. *J. Climate*, 15(11), 1261–1276., 2002.

1172 Tian, J., Zhang, Y., Klein, S.A., Öktem, R. and Wang, L.: How does land cover and its  
1173 heterogeneity length scales affect the formation of summertime shallow cumulus  
1174 clouds in observations from the US Southern Great Plains?. *Geophysical Research*  
1175 *Letters*, 49(7), p.e2021GL097070., 2022.

1176 Tiedtke, M.: Representation of clouds in large-scale models. *Monthly Weather Review*,  
1177 121(11), 3040-3061., 1993.

1178 Vassallo, D., Krishnamurthy, R., and Fernando, H. J. S.: Decreasing wind speed  
1179 extrapolation error via domain-specific feature extraction and selection, *Wind Energ.*  
1180 *Sci.*, 5, 959–975, [https://doi.org/10.: wes-5-959-2020](https://doi.org/10.1016/j.wes-5-959-2020), (2020)., 5194.

1181 Wang, C., Platnick, S., Meyer, K., Zhang, Z. and Zhou, Y.: A machine-learning-based  
1182 cloud detection and thermodynamic-phase classification algorithm using passive  
1183 spectral observations. *Atmospheric Measurement Techniques*, 13(5), pp.2257-2277.,  
1184 2020.

1185 Wang, Y., Zheng, X., Dong, X., Xi, B. and Yung, Y.L.: Insights of warm-cloud biases  
1186 in Community Atmospheric Model 5 and 6 from the single-column modeling  
1187 framework and Aerosol and Cloud Experiments in the Eastern North Atlantic  
1188 (ACE-ENA) observations. *Atmospheric Chemistry and Physics*, 23(15), pp.8591-  
1189 8605., 2023.

1190 Xiao, H., Berg, L.K. and Huang, M.: The impact of surface heterogeneities and land -  
1191 atmosphere interactions on shallow clouds over ARM SGP site. *Journal of*  
1192 *Advances in Modeling Earth Systems*, 10(6), pp.1220-1244., 2018.

1193 Xiao, H., Berg, L.K. and Huang, M.: The impact of surface heterogeneities and land -  
1194 atmosphere interactions on shallow clouds over ARM SGP site. *Journal of*  
1195 *Advances in Modeling Earth Systems*, 10(6), pp.1220-1244., 2018.

1196 Xie, S., McCoy, R. B., Klein, S. A., Cederwall, R. T., Wiscombe, W. J., Jensen, M. P.,  
1197 Johnson, K. L., Clothiaux, E. E., Gaustad, K. L., Long, C. N., and Mather, J. H.:  
1198 Clouds and more: ARM climate modeling best estimate data: a new data product  
1199 for climate studies. *Bull. Amer. Meteorol. Soc.*, 91(1), 13–20., 2010.

1200 Yang, Y., Zheng, X., Gao, Z., Wang, H., Wang, T., Li, Y., Lau, G.N. and Yim, S.H.:

1201 Long - term trends of persistent synoptic circulation events in planetary boundary  
1202 layer and their relationships with haze pollution in winter half year over eastern  
1203 China. *Journal of Geophysical Research: Atmospheres*, 123(19), pp.10-991., 2018.

1204 Yeo, H., Kim, M.H., Son, S.W., Jeong, J.H., Yoon, J.H., Kim, B.M. and Kim, S.W.:  
1205 Arctic cloud properties and associated radiative effects in the three newer reanalysis  
1206 datasets (ERA5, MERRA-2, JRA-55): Discrepancies and possible causes.  
1207 *Atmospheric Research*, 270, p.106080., 2022.

1208 Zhang, L., Dong, X., Kennedy, A., Xi, B. and Li, Z.: Evaluation of NASA GISS post-  
1209 CMIP5 single column model simulated clouds and precipitation using ARM  
1210 Southern Great Plains observations. *Advances in Atmospheric Sciences*, 34,  
1211 pp.306-320., 2017.

1212 Zhang, T., Lin, W., Vogelmann, A.M., Zhang, M., Xie, S., Qin, Y. and Golaz, J.C.:  
1213 Improving convection trigger functions in deep convective parameterization  
1214 schemes using machine learning. *Journal of Advances in Modeling Earth Systems*,  
1215 13(5), p.e2020MS002365., 2021.

1216 Zhang, Y. and Klein, S.A.: Mechanisms affecting the transition from shallow to deep  
1217 convection over land: Inferences from observations of the diurnal cycle collected at  
1218 the ARM Southern Great Plains site. *Journal of the Atmospheric Sciences*, 67(9),  
1219 pp.2943-2959., 2010.

1220 Zhang, Y. and Klein, S.A.: Factors controlling the vertical extent of fair-weather  
1221 shallow cumulus clouds over land: Investigation of diurnal-cycle observations  
1222 collected at the ARM Southern Great Plains site. *Journal of the Atmospheric  
1223 Sciences*, 70(4), pp.1297-1315., 2013.

1224 Zhang, Y., B. Stevens, B. Medeiros, and M. Ghil.: Low-Cloud Fraction, Lower-  
1225 Tropospheric Stability, and Large-Scale Divergence. *J. Climate*, 22, 4827–4844,  
1226 <https://doi.org/10.1175/2009JCLI2891.1>., 2009.

1227 Zhang, Y., Klein, S.A., Fan, J., Chandra, A.S., Kollias, P., Xie, S. and Tang, S.: Large-  
1228 eddy simulation of shallow cumulus over land: A composite case based on ARM  
1229 long-term observations at its Southern Great Plains site. *Journal of the Atmospheric  
1230 Sciences*, 74(10), pp.3229-3251., 2017.

1231 Zhang, Y., Stevens, B. and Ghil, M.: On the diurnal cycle and susceptibility to aerosol  
1232 concentration in a stratocumulus-topped mixed layer. *Q.J.R. Meteorol. Soc.*, 131:  
1233 1567-1583. <https://doi.org/10.1256/qj.04.103>, 2005.

1234 Zheng, X., Tao, C., Zhang, C., Xie, S., Zhang, Y., Xi, B. and Dong, X.: Assessment of  
1235 CMIP5 and CMIP6 AMIP simulated clouds and surface shortwave radiation using  
1236 ARM observations over different climate regions. *Journal of Climate*, 36(24),  
1237 pp.8475-8495., 2023.

1238 **TABLE LIST:**

1239 **Table 1:** Detailed descriptions of input and output variables used in the deep learning  
 1240 models for predicting boundary layer clouds (BLCs). The table includes the variable  
 1241 names, descriptions, and data sources. For the input parameters, surface meteorology  
 1242 and fluxes are taken from the current and previous hours, while morning profiles  
 1243 comprises 46 values spanning from 0-8 km at 06 LT. Note that the output data is derived  
 1244 from ARSCL (Active Remote Sensing of Clouds). The three outputs correspond to the  
 1245 trigger module, cloud-base module, and fraction-thickness module, respectively.

<u>Variable</u>	<u>Description</u>	<u>Data Source</u>
<b><u>Input</u></b>		
<u>Month</u>	<u>Range from 1-12</u>	<u>Time Record</u>
<u>LT</u>	<u>Local Time</u>	<u>Time Record</u>
<u>PS</u>	<u>Pressure at surface level (2m)</u>	<u>Surface Meteorology Station</u>
<u>RH</u>	<u>Relative Humidity at 2m</u>	<u>Surface Meteorology Station</u>
<u>U</u>	<u>Zonal wind at 2m</u>	<u>Surface Meteorology Station</u>
<u>V</u>	<u>Meridional wind at 2m</u>	<u>Surface Meteorology Station</u>
<u>T</u>	<u>Temperature at 2m</u>	<u>Surface Meteorology Station</u>
<u>LCL</u>	<u>Lifted Condensation Level</u>	<u>Derived from T, RH, PS</u>
<u>SH</u>	<u>Sensible Heat</u>	<u>Energy Balance Bowen Ratio</u>
<u>LH</u>	<u>Latent Heat</u>	<u>Energy Balance Bowen Ratio</u>
<u>RH Profile</u>	<u>Morning RH profiles</u>	<u>Radiosonde</u>
<u>U Profile</u>	<u>Morning U wind profiles</u>	<u>Radiosonde</u>
<u>V Profile</u>	<u>Morning V wind profiles</u>	<u>Radiosonde</u>
<u><math>\theta</math> Profile</u>	<u>Morning potential temperature profiles</u>	<u>Radiosonde</u>
<u>BLH<sub>SH</sub></u>	<u>PBLH derived from sensible heat</u>	<u>Derived from <math>\theta</math> Profile and SH</u>
<u>BLH<sub>Parcel</sub></u>	<u>PBLH derived from parcel method</u>	<u>Derived from <math>\theta</math> Profile and T</u>
<b><u>Output</u></b>		
<u>Trigger</u>	<u>Cloud occurrence</u>	<u>ARSCL</u>
<u>Position</u>	<u>Cloud-base height</u>	<u>ARSCL</u>
<u>Fraction Profiles</u>	<u>Cloud fraction and thickness</u>	<u>ARSCL</u>

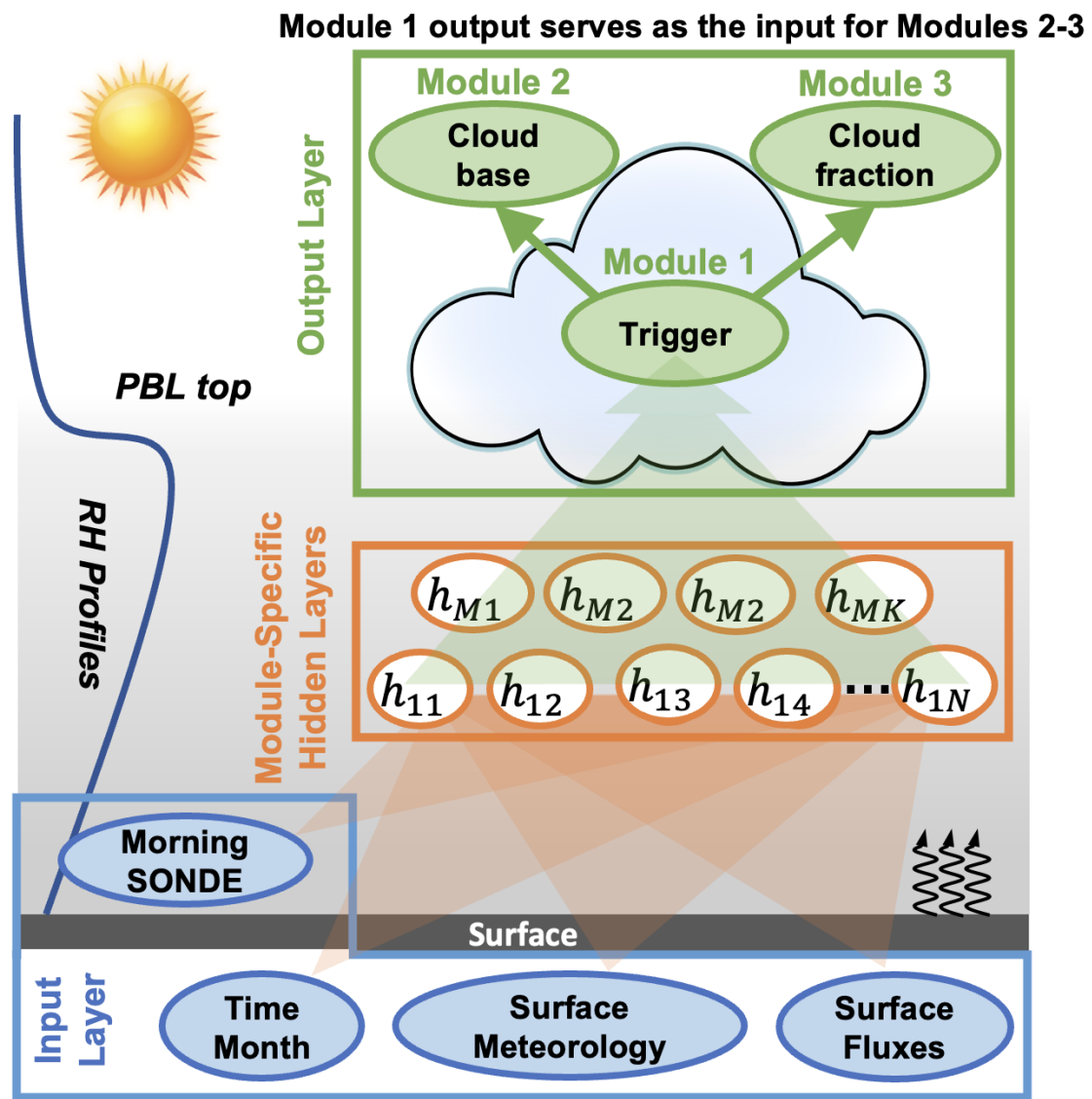
1246  
 1247  
 1248  
 1249

1250 **Table 2:** Classification Performance of the Deep Learning Model for Boundary Layer  
 1251 Clouds (BLC) Trigger. This table present the performance metrics of the deep learning  
 1252 model during both the trained and untrained periods. It lists the number of samples and  
 1253 corresponding percentages for true negatives (TN), false positives (FP), false negatives  
 1254 (FN), and true positives (TP). The overall accuracy for each period is also provided,  
 1255 indicating the model's overall effectiveness in predicting the presence of boundary layer  
 1256 clouds.

Performance Metrics	Trained Period (1998-2016)		Untrained Period (2017-2020)	
	Sample #	Percentage (%)	Sample #	Percentage (%)
TN	9773	71.1747142	5416	71.8016704
FP	393	2.8621368	393	5.2101286
FN	670	4.8794698	424	5.6211057
TP	2895	21.0836793	1310	17.3670953
Overall Accuracy	N.A.	92.2583934	N.A.	89.1687657

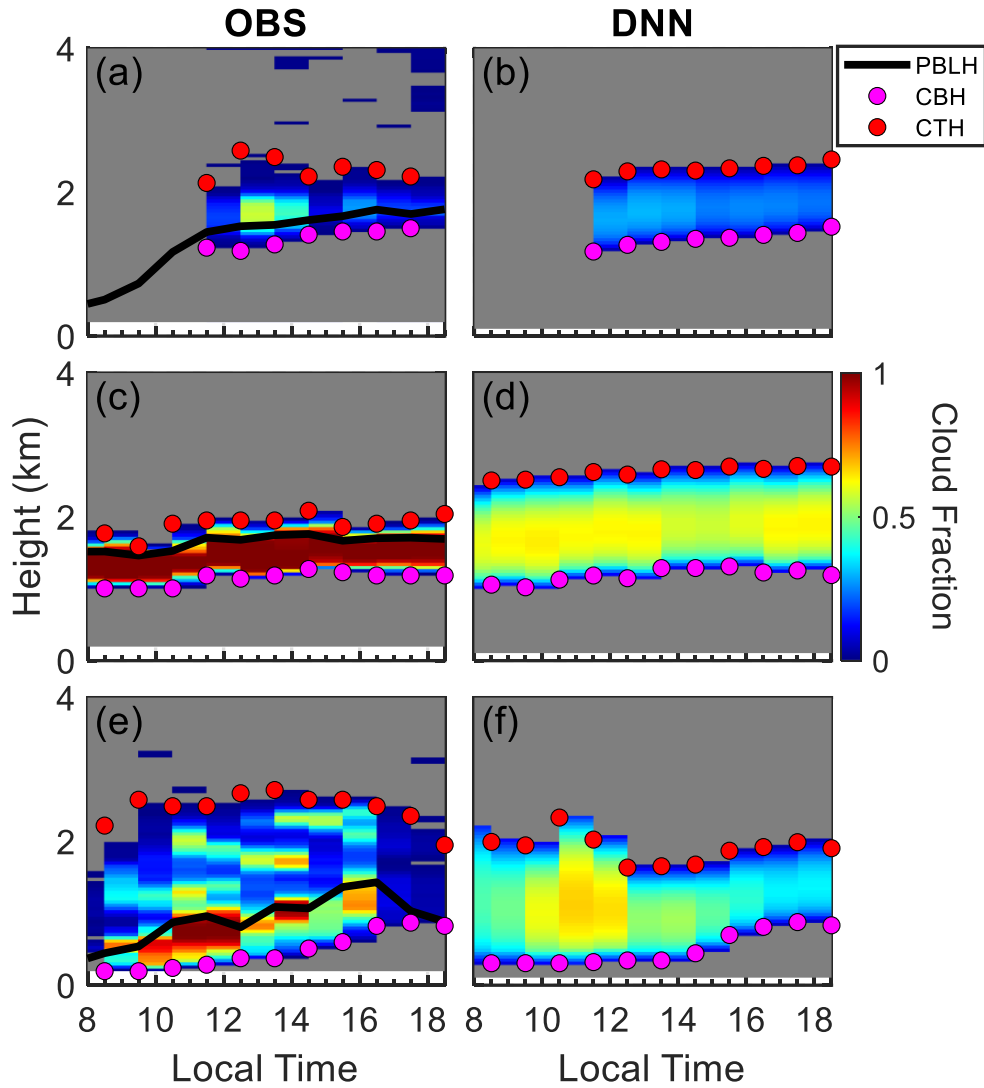
1257  
 1258  
 1259  
 1260  
 1261  
 1262  
 1263  
 1264  
 1265  
 1266  
 1267

**Figures**



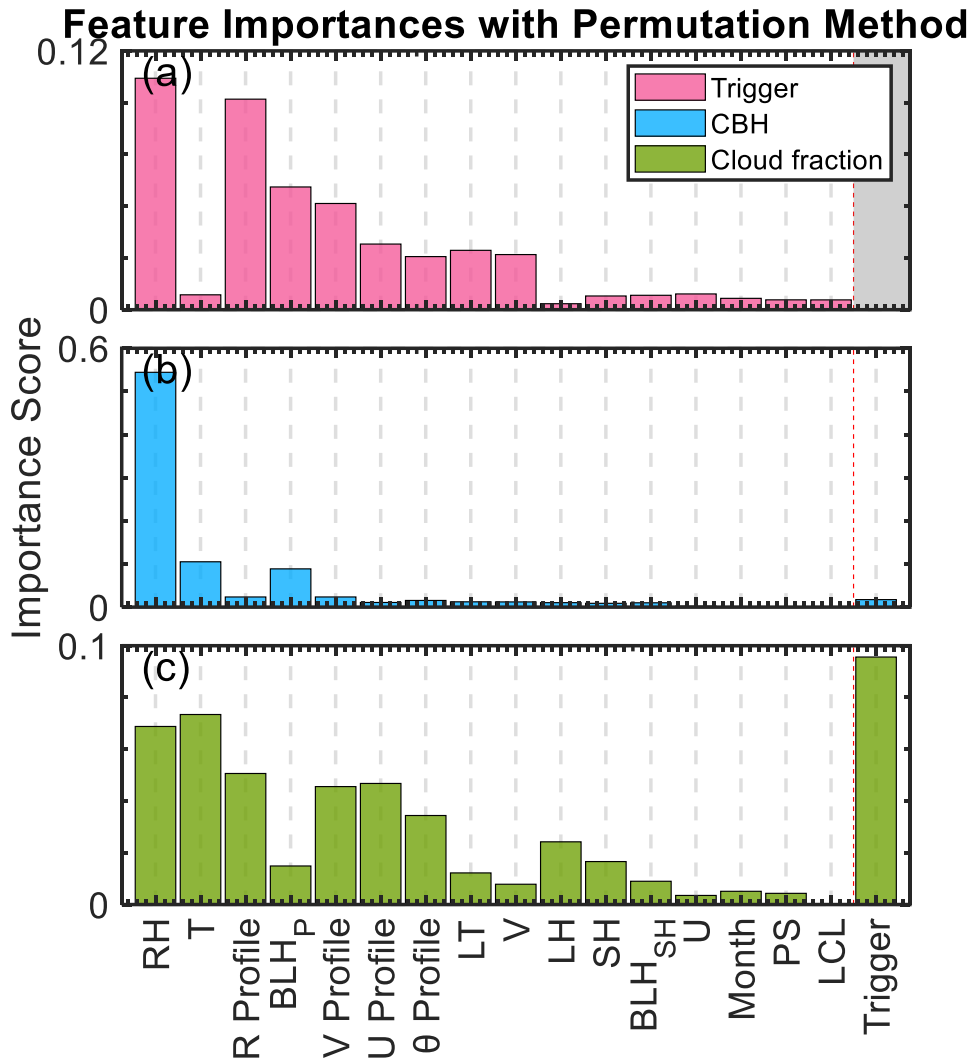
1268

1269 **Figure 1:** Conceptual diagram of the deep learning framework for simulating boundary  
 1270 layer cloud (BLC) characteristics over the US Southern Great Plains. Inputs for the deep  
 1271 neural networks (DNN) include morning meteorological profiles from radiosonde  
 1272 (SONDE) profiles, time indicators, (i.e., local time and month), and surface conditions  
 1273 such as fluxes (curved black arrows) and meteorological data. The relevance of relative  
 1274 humidity (RH) profiles and the planetary boundary layer (PBL) top is emphasized due  
 1275 to their critical role in boundary layer BLCs development. These variables are processed  
 1276 through multiple layers of hidden neurons ( ~~$h_{11}$  to  $h_{MK}$~~ ), ~~each with neuron bias~~  
 1277 ~~adjustments to optimize the network's predictive capability.~~  $h_{MK}$ ). Both input and output  
 1278 parameters are hourly, except for the morning SONDE. Separate DNN ~~models~~ modules  
 1279 are constructed for each task: Module 1 handles the initiation (trigger) of boundary layer  
 1280 clouds (BLC), their vertical positioning, and; Module 2 estimates the cloud base; and  
 1281 Module 3 estimates cloud fraction across ten atmospheric layers and thickness. Together,  
 1282 these models synergize to predict the presence, altitude, and stratification of BLC.



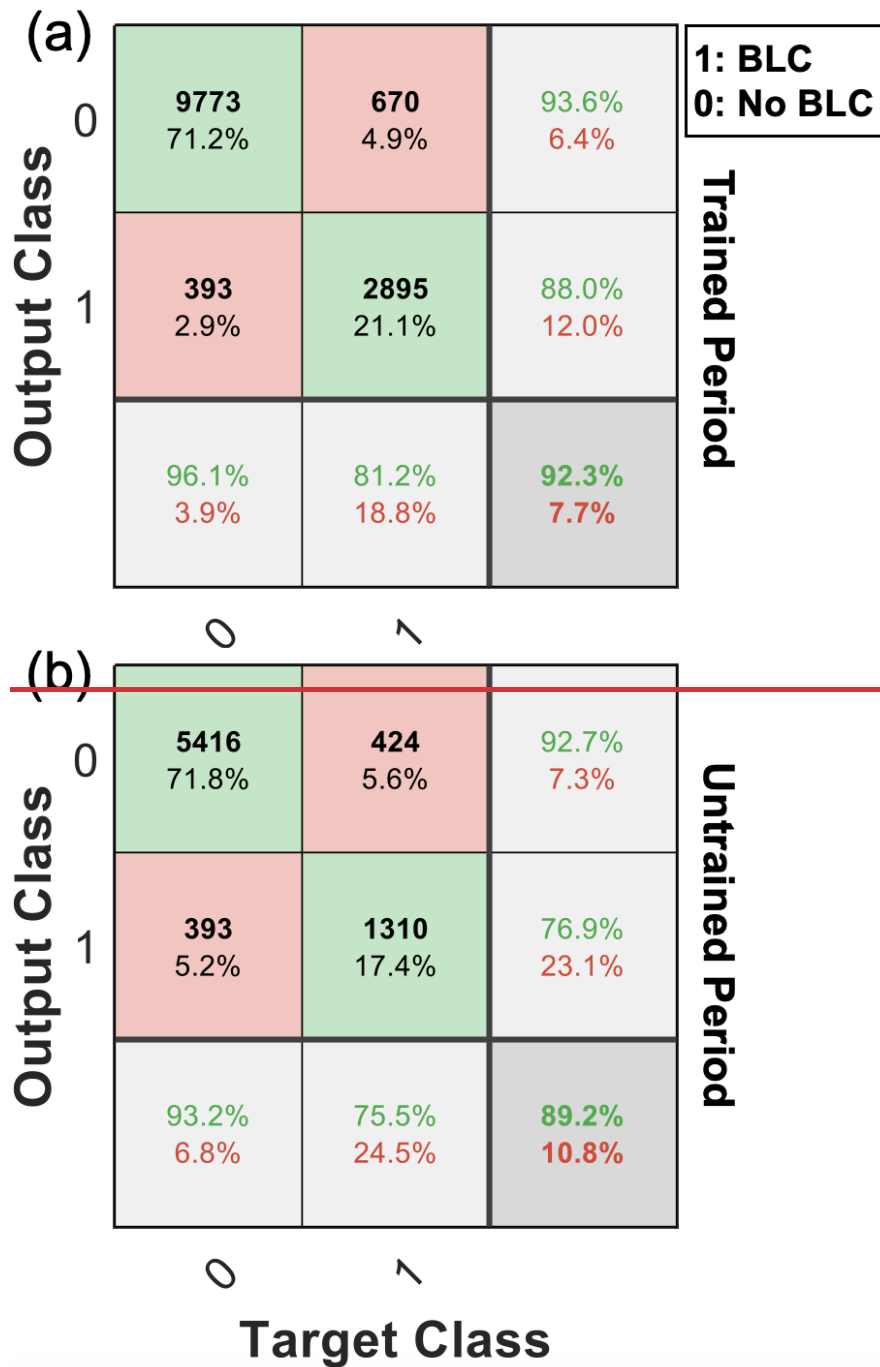
1283

1284 **Figure 2:** Examples of diurnal cloud fraction profiles for cumulus (a, b), stratiform (c,  
 1285 d), and complex cloud structures (e, f) over the US Southern Great Plains. Observed  
 1286 data (OBS) are shown alongside deep learning neural network (DNN) simulations.  
 1287 Black lines represent the observed PBL height (PBLH), with cloud base (CBH) and  
 1288 cloud top heights (CTH) marked by pink and red dots, respectively. The color gradient  
 1289 indicates the cloud fraction.

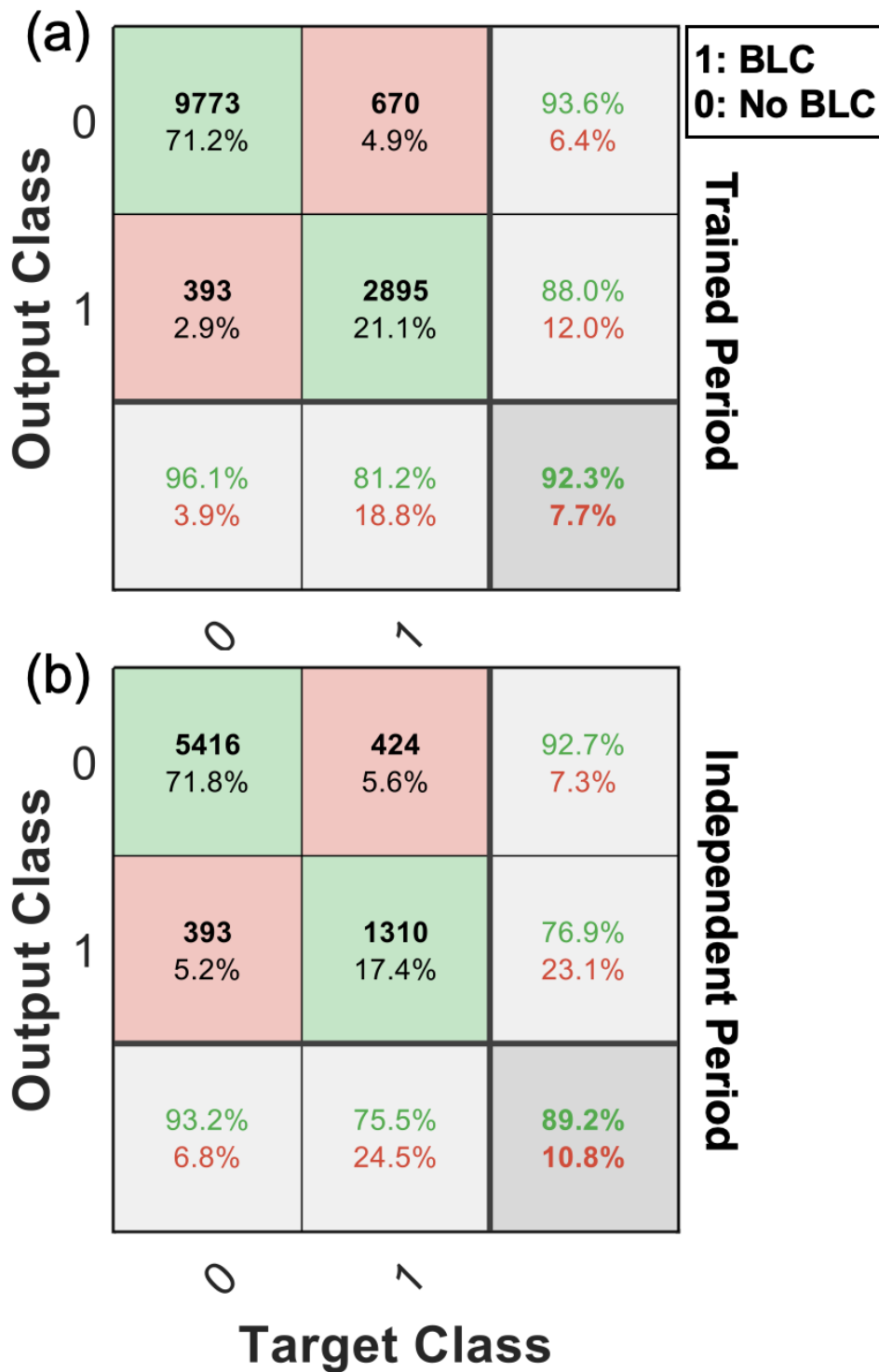


1290

1291 **Figure 3.** Feature importance scores for predicting cloud trigger occurrence (a), cloud  
 1292 base height (CBH) (b), and cloud fraction (c) in the deep learning simulations of BLCs.  
 1293 Each panel presents the relative contribution of input features, includes month, local  
 1294 time (LT), surface pressure (PS), relative humidity (RH), zonal (U) and meridional (V)  
 1295 wind components, temperature (T), lifting condensation level (LCL), boundary layer  
 1296 height derived from sensible heat (BLH<sub>SH</sub>) and parcel methods (BLH<sub>Parcel</sub>), sensible  
 1297 heat (SH), latent heat (LH), and morning profiles of relative humidity (R Profile), U  
 1298 wind (U Profile), V wind (V Profile), and potential temperature (θ Profile). These  
 1299 factors are ranked based on their overall importance. The importance scores are  
 1300 calculated with permutation method and quantify the relative contribution of each  
 1301 feature to the model's predictive accuracy.



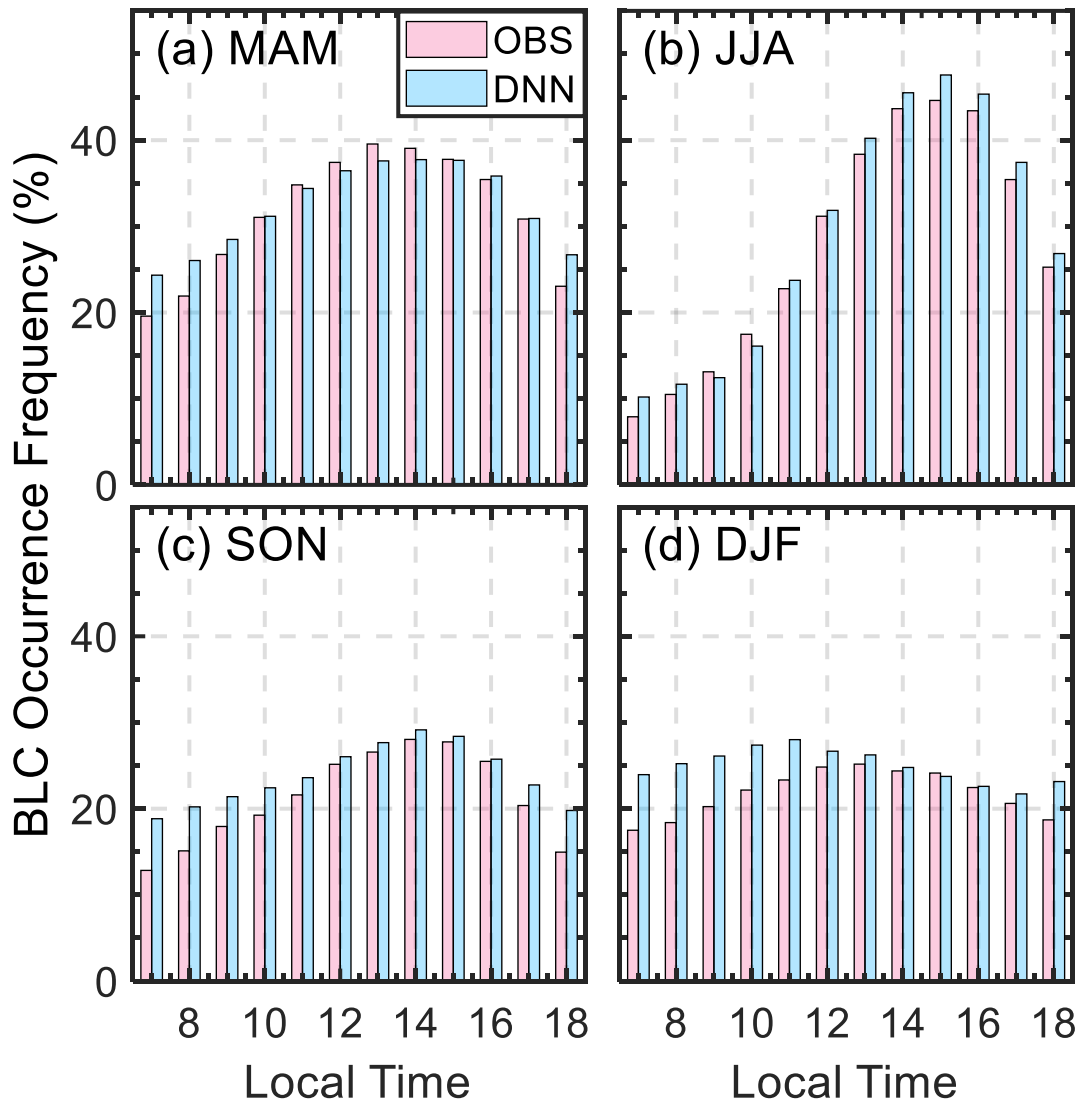




1303

1304 **Figure 4:** Confusion matrices representing the classification performance of the deep  
 1305 learning model for in predicting the presence occurrence of boundary layer clouds  
 1306 (BLCs) during the trained period (1998-2016) in panel (a), and the  
 1307 untrained independent period (2017-2020) in panel (b). For The matrices in the trained  
 1308 period, we use are calculated using the 30% dataset for the validation. The matrices in  
 1309 the black color display the counts and percentages of true positive (TP), false positive  
 1310 (FP), true negative (TN), and false negative (FN) predictions. The overall accuracy,  
 1311 precision, and recall, and F1 scores for each class are also included, demonstrating the

1312 model's ability in identifying BLC occurrence.



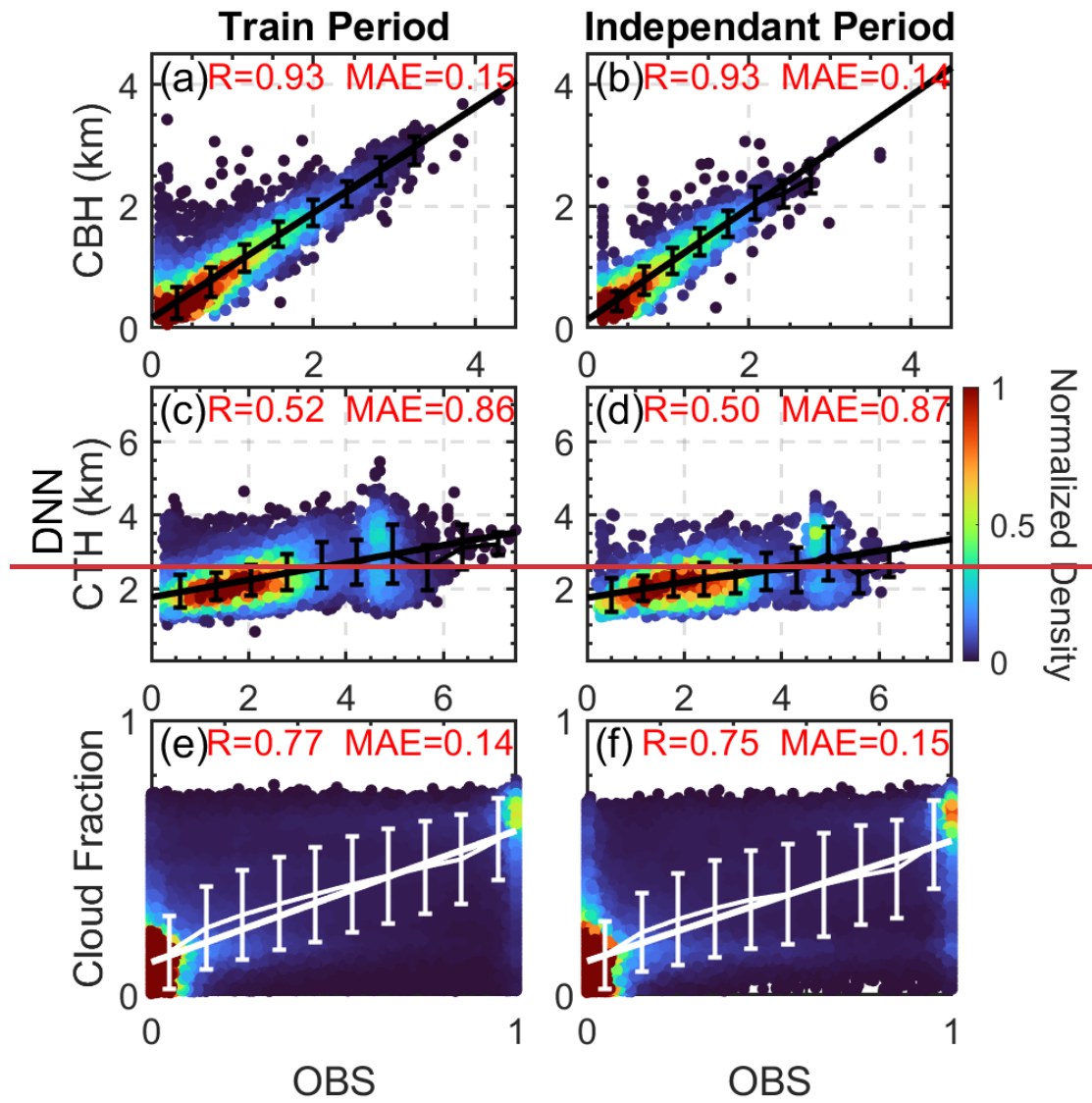
1313

1314 **Figure 5.** Bar graph ~~comparing comparison on~~ the occurrence frequency of boundary  
1315 layer cloudclouds (BLC) ~~occurrence as between the~~ observed (OBS, red) and asthe  
1316 predicted by the deep learning neural network (DNN, blue) across different local times  
1317 of the day, segmented by seasons: (a) MAM (Spring), (b) JJA (Summer), (c) SON (Fall),  
1318 and (d) DJF (Winter). ~~The bars present the diurnal pattern of BLC development.~~

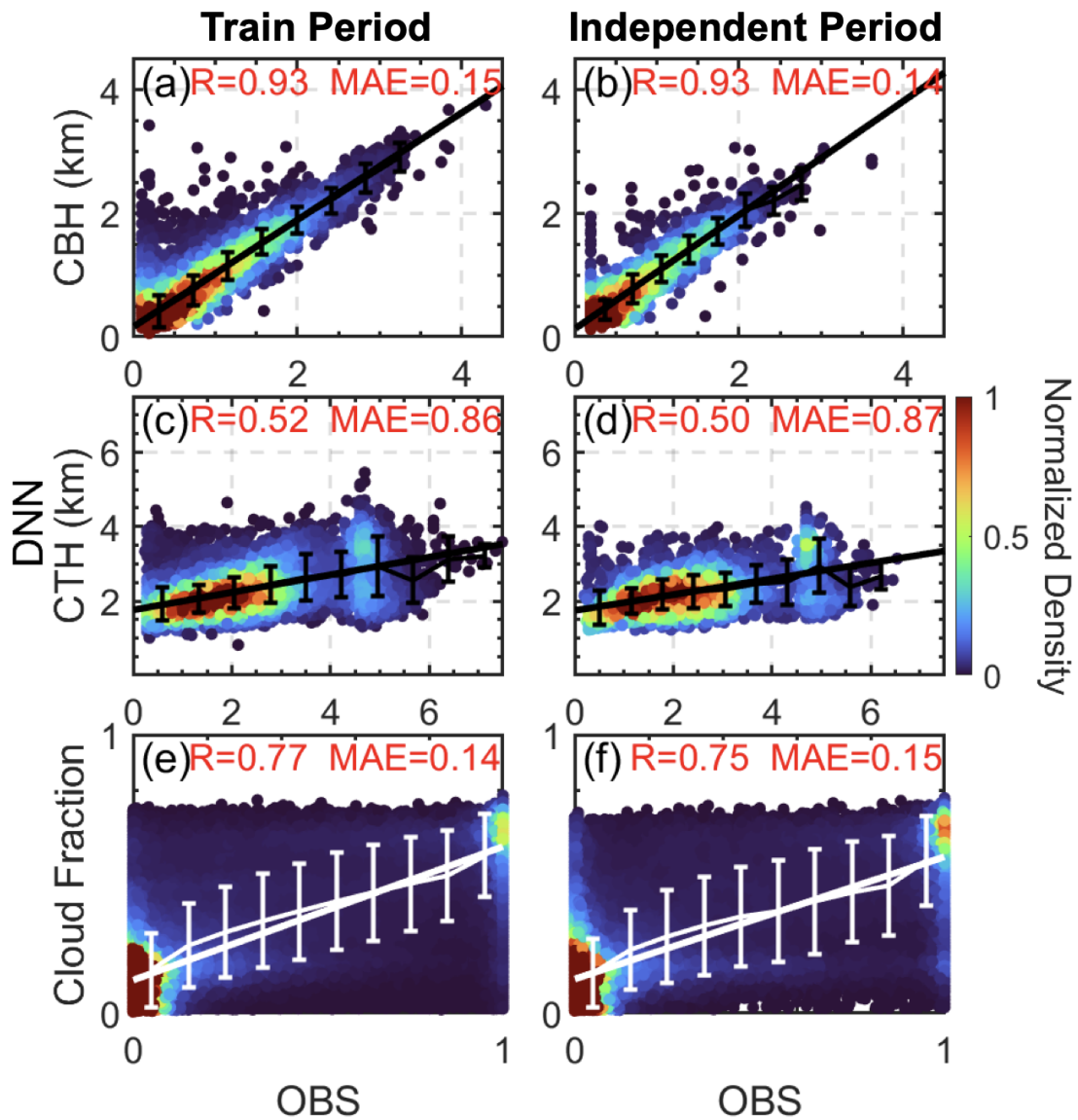
1319

1320

1321



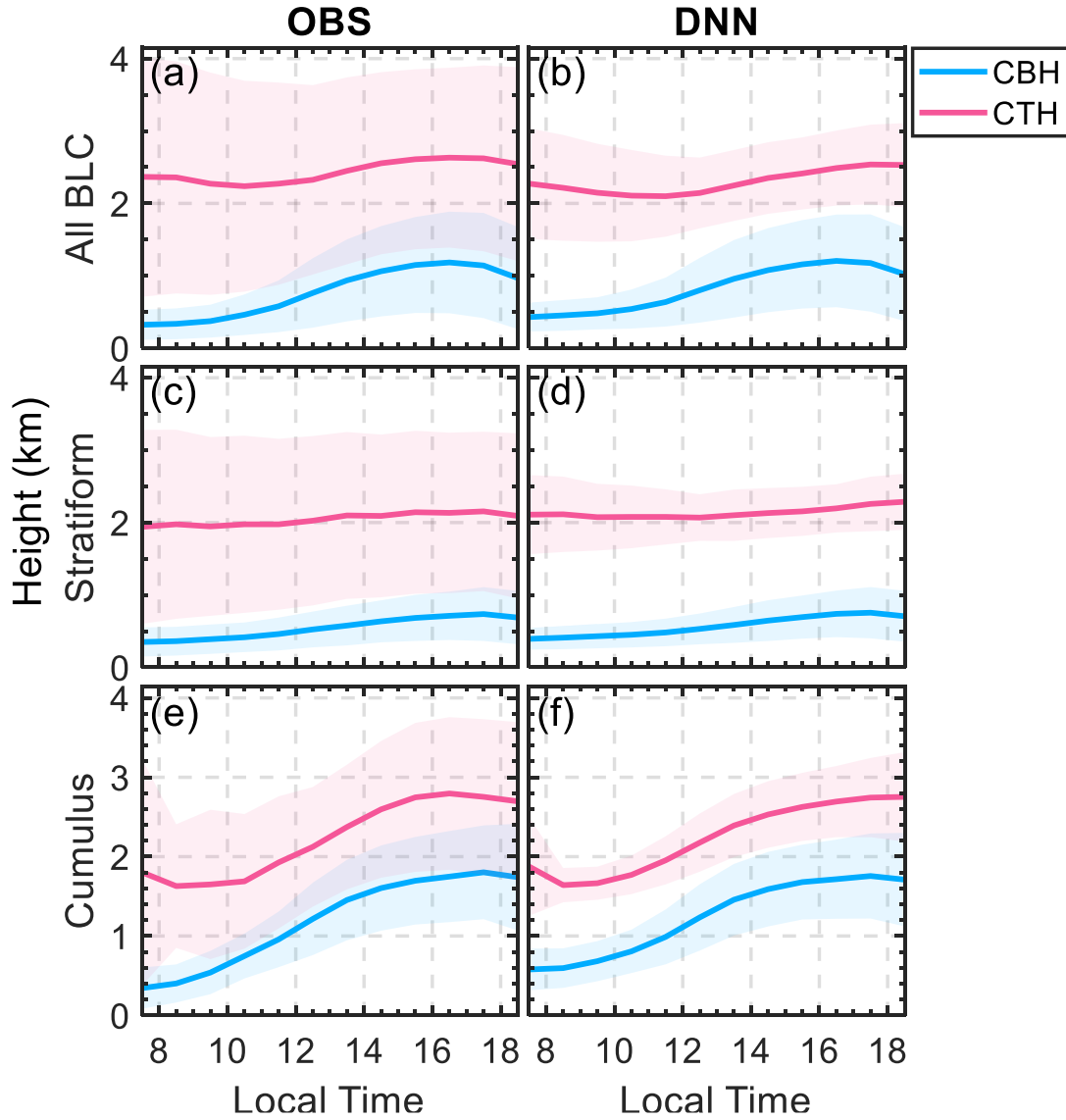
1322



1323

1324 **Figure 6.** Scatter density plots comparing comparison between the observed (OBS) and  
 1325 the predicted values by the deep learning neural network (DNN) predicted values for  
 1326 cloud base height (CBH), cloud top height (CTH), and cloud fraction during the  
 1327 traintrained period (a, c, e) and an independent period (b, d, f). Note that the BLC is  
 1328 segmented into ten layers, yielding ten separate cloud fraction values per BLC instance  
 1329 for analysis. The correlation coefficient (R) and mean absolute error (MAE) are  
 1330 indicated for each comparison. The color scale represents the normalized density of  
 1331 data points. The solid lines and error bars denoting the linear regression and standard  
 1332 deviations in each bar.

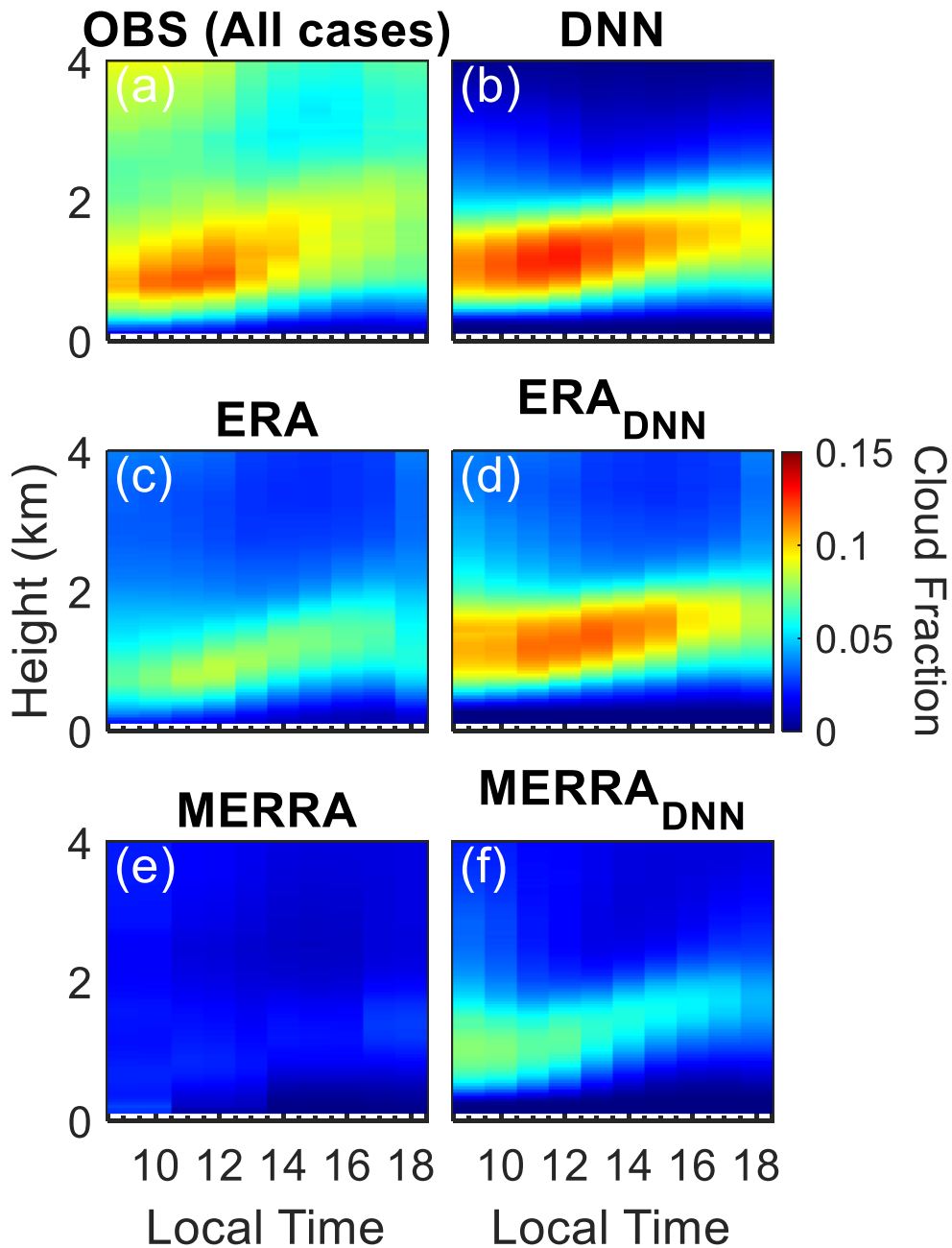
1333



1334

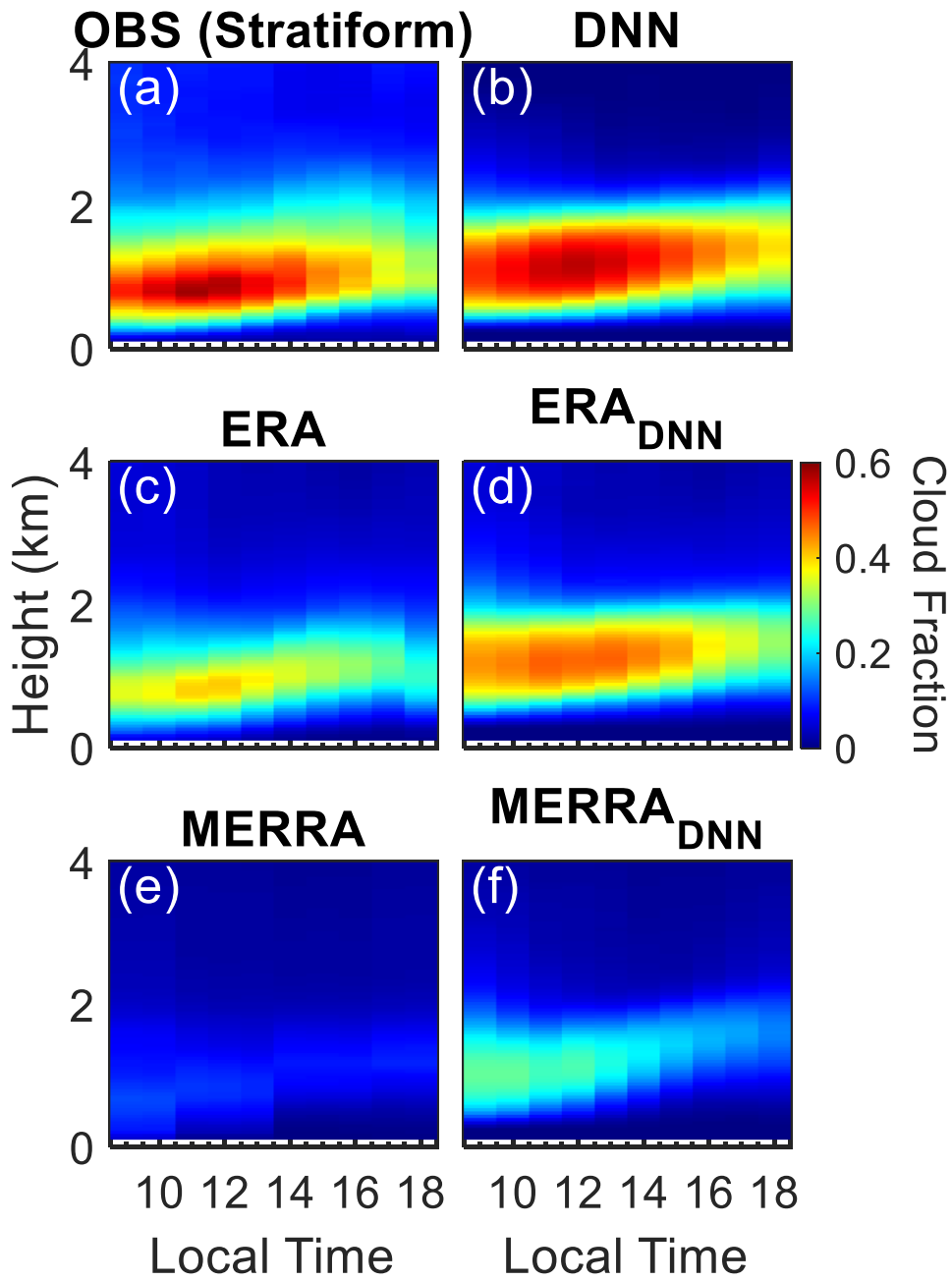
1335 **Figure 7.** Diurnal profiles of cloud base height (CBH) and cloud top height (CTH) as  
 1336 determined by the observations (OBS) and deep learning simulations for all BLC (a-b),  
 1337 stratiform clouds (c-d), and cumulus (e-f). The shaded areas represent the variability  
 1338 (one standard deviation) around the mean heights.

1339



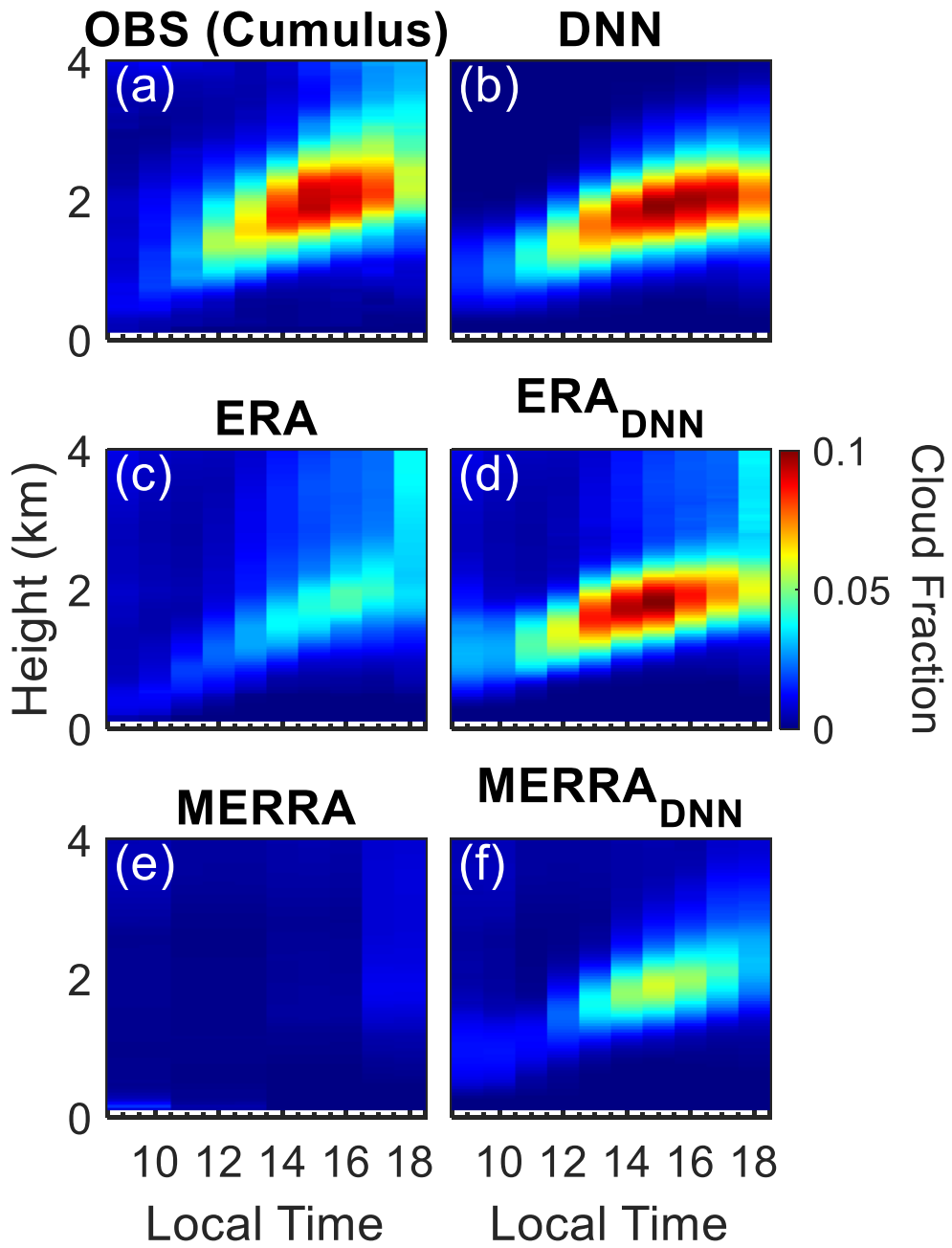
1340

1341 **Figure 8.** CloudColor shaded areas demonstrate the diurnal variation in cloud fraction  
 1342 for all cases as observed and simulated. Panel (a) shows the observed cloud fraction  
 1343 (OBS), while panel (b) illustrates the cloud fraction simulated by the deep learning  
 1344 neural networks (DNN) using ARM observational data as inputs. (c, e): cloud fractions  
 1345 directly extracted from ERA and MERRA reanalysis datasets, respectively. (d, f): the  
 1346 cloud fractions after the application of simulated by the DNN model using ERA  
 1347 (ERA<sub>DNN</sub>) and MERRA (MERRA<sub>DNN</sub>) data as inputs.



1348

1349 **Figure 9.** Same to Figure 8, but for stratiform clouds.

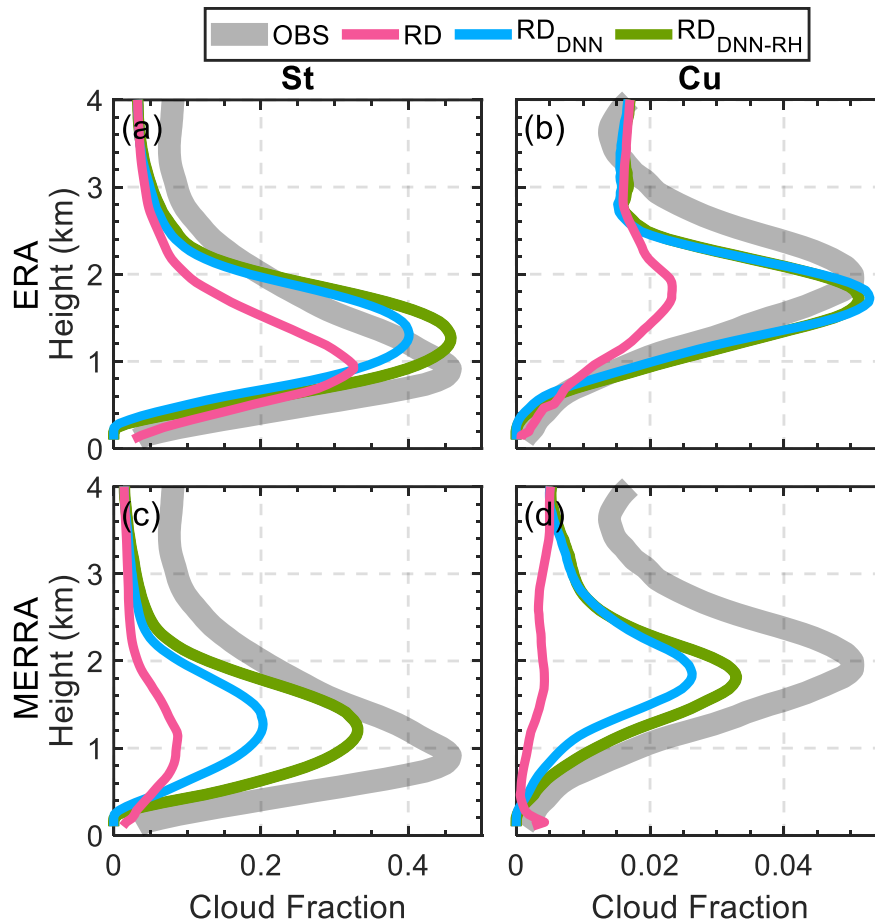


1350

1351 **Figure 10.** Same to Figure 8, but for cumulus.

1352

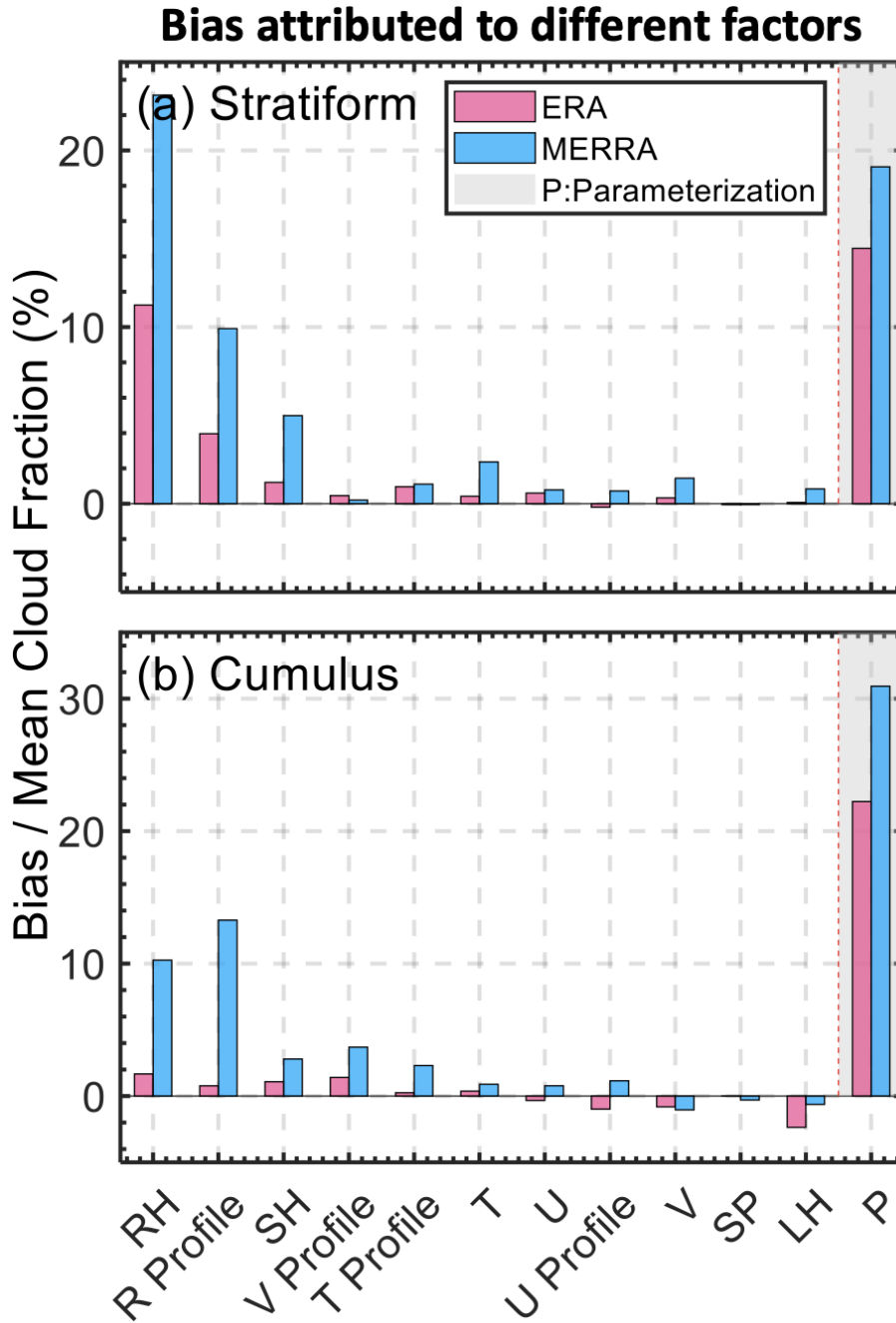




1353

1354

1355 **Figure 11:** Vertical profiles of cloud fraction for stratiform (St) and cumulus (Cu)  
 1356 scenarios over the US Southern Great Plains. Panels (a) and (b) display ERA reanalysis  
 1357 data comparisons, while panels (c) and (d) show MERRA reanalysis data comparisons.  
 1358 The observed cloud fractions (OBS) are represented by the shaded grey area, illustrating  
 1359 the averaged cloud coverage recorded by field observations. The original reanalysis  
 1360 data (RD) is indicated in pink, indicating the baseline cloud fraction profiles as  
 1361 simulated by the reanalysis. The  $RD_{DNN}$  profiles in blue depict the new simulation  
 1362 results after applying the DNN models to the reanalysis data for boundary layer cloud  
 1363 (BLC) simulation. The  $RD_{DNN-RH}$  profiles in green show the simulation results when  
 1364 the surface relative humidity (RH) from the reanalysis data is replaced with observed  
 1365 values, indicating the impact of accurate surface moisture representation on cloud  
 1366 fraction simulations.



1367

1368 **Figure 12:** Attribution of bias between observed and reanalysis on cloud fractions to  
 1369 various meteorological factors and parameterization schemes for stratiform (a) and  
 1370 cumulus (b) cloud scenarios. The bars represent the normalized bias (bias divide mean  
 1371 cloud fraction) contributed by each factor: relative humidity profile (RH), meridional  
 1372 wind profile (V Profile), temperature profile (T Profile), zonal wind profile (U Profile),  
 1373 surface pressure (SP), latent heat flux (LH), and parameterization (P). All profiles took  
 1374 on morning (06:00 LT). Light blue bars indicate biases identified in the ERA reanalysis  
 1375 dataset, while pink bars represent biases in the MERRA reanalysis dataset. The dashed  
 1376 red line marked 'P' denotes biases attributed specifically to the parameterization within  
 1377 the reanalysis models. This analysis uses the DNN to discern the impact of each factor  
 1378 (ranked from highest to lowest) on the discrepancy in cloud fraction estimates between

1379 observations and reanalysis models.