



# **Evaluating the performance of CE-QUAL-W2 version 4.5 sediment diagenesis model**

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**Abstract.** This study set out to assess the performance of the state-of-the-art CE-QUAL-W2 v4.5 sediment diagenesis model. The model was applied to a reservoir in Portugal using observed sediment particulate organic carbon values corresponding to a six-year period (2016-2021). The model was calibrated by comparing its results with 35 observed 10 dissolved oxygen and water temperature profiles, as well as annual total nitrogen, total phosphorus, biochemical oxygen demand, and chlorophyll-a measurements corresponding to three different depths. In addition to model calibration, a sensitivity analysis was also conducted by varying the input particulate organic carbon values and applying a user-specified sediment oxygen model (zero-order model). The results demonstrated the overall effectiveness of the sediment diagenesis model, which accurately simulated dissolved oxygen profiles, nutrient concentrations, and organic matter levels (Dissolved

15 oxygen profiles: NSE =  $0.41 \pm 0.67$ ; RMSE = 1.73 mg/L  $\pm 0.69$ ), highlighting its potential as an effective tool for simulating lakes and reservoirs and supporting water management processes. The study further suggests that the zero-order model is able to serve as an effective starting point for implementing the sediment diagenesis model, providing an initial estimate for mean reservoir sediment oxygen demand (SOD) values.

## **1 Introduction**

- 20 Modeling water quality plays a crucial role in managing lakes and reservoirs, providing essential insights into the dynamics of nutrients, organic matter, and phytoplankton within aquatic systems (Abbaspour et al., 2015; Whitehead et al., 2009). These models simulate the physical, chemical, and biological processes that influence water quality, with examples including widely-used tools like CE-QUAL-W2 (Wells, 2021), MIKE21 (Chapman, 1996), and DYRESM (Hamilton and Schladow, 1997). The value of such modeling lies in its capacity to aid researchers and policymakers in understanding the complex
- 25 interactions between various factors that impact the ecological health of water bodies (Varis et al., 1994; Loucks and Beek, 2017). However, the intricacy of these systems, combined with the substantial data requirements, often presents significant challenges for those developing and applying water quality models.Effective inflow data characterization (quantity and quality) is hard to obtain, both for major river branches and small tributaries, as is waterbody sediment characterization related to carbon and nutrients due to the significant cost associated with the sampling and laboratorial analysis process and
- 30 the fact that water management stakeholders are still more focused on the classification of waterbody water quality rather than the collection of water quality forcing data. The absence of sediment initial particulate organic carbon (POC),





particulate organic nitrogen (PON) and particulate organic phosphorus (POP) data can be decisive to the overall performance of a water quality model, in essence generating an imbalance right from the start of the simulation with regard to the sediment concentration of POC, PON and POP, which then has a considerable impact on the SOD and, consequently, the

- 35 waterbody dissolved oxygen (DO). When calibrating the model, water quality modelers therefore need to plug this gap by evaluating the model performance considering: i) different initial sediment oxygen demand (SOD) where a zero-order model is applied, ii) different POC, PON and POP values where a predictive diagenesis model is considered. The main problem with these solutions is that the source of DO decay can also be driven by the inflow of organic matter or
- algae mortality. In other words, the final baseline model results are able to fit the observed DO profiles with reasonable 40 accuracy but the model prediction capability may be unbalanced if the DO sinks/sources are not well defined. For example, the model's response to a reduction of inflow phosphorous is a function of the phosphorus released from the sediments during anoxia periods and, therefore, if the SOD is not accurately computed the waterbody phosphorous balance will, in turn, be incorrect. The calibration of other constituents, such as nutrients can help to minimize this uncertainty. For example, orthophosphates (P-PO4) are released from the sediments under anaerobic conditions and therefore the calibration of this
- 45 nutrient can help with the overall calibration of the water body DO concentration. The release of P-PO<sup>4</sup> from the sediments is, however, a function of several variables, for example, the initial P-PO<sub>4</sub> dissolved concentration and the P-PO<sub>4</sub> release rate (zero-order approach), or a diagenesis rate for POP (diagenesis model). In other words, the modeling uncertainty may diminish but will persist without observed POC, PON and POP. Of the three variables (POC, PON, and POP), POC has the most significant impact on SOD. Consequently, access to sediment POC values is crucial for ensuring accurate modeling 50 even where PON and POP concentrations are unavailable.
- The CE-QUAL-W2 model has been widely used to simulate various water bodies and water quality scenarios, including reservoir physical and biochemical dynamics in response to warming projections (Mi et al., 2020; Mi et al., 2023). This model has also been used to predict DO in a number of water bodies worldwide, although the SOD has always been modeled with a zero-order and/or 1-order model (e.g. Park et al., 2014; Zouabi- Zouabi-Aloui et al., 2015; Terry et al., 2017;
- 55 Sadeghian et al., 2018; Lindenschmidt et al., 2019). The bibliographic research conducted before and during this study suggests that the CE-QUAL-W2 sediment diagenesis model has not been applied to any waterbodies other than the Wahiawa Reservoir in central Oahu (Berger and Wells, 2014). Moreover, no scientific publications on the evaluation of this model in other contexts have been identified, further highlighting the importance of the primary motivation for this study, namely, to evaluate the performance of the CE-QUAL-W2 model with its new sediment diagenesis component. This study benefited
- 60 from having access to observed reservoir sediment total organic carbon (TOC) values, which are rare. Although, in theory, these values are typically higher than particulate organic carbon (POC) values, they provided an excellent starting point for this study. The methodological approach was, therefore, defined to evaluate the performance of the CE-QUAL-W2 model considering the new state-of the art sediment diagenesis model in modeling a reservoir, DO, Total Phosphorus (Total P); Total Nitrogen (Total N), Biochemical Oxygen Demand (BOD5), Chlorophyll -a and SOD.





- 65 To achieve this, the water quality of a highly productive reservoir was simulated using the CE-QUAL-W2 v4.5 model, incorporating both a zero-order sediment model and the sediment diagenesis model over a six-year period, spanning 2016 to 2021. It should be noted that the zero-model was included in this study to back-calculate the DO uptake rate in the reservoir water column as a function of the reservoir boundary conditions. The zero-order model is not a predictive model, as it fails to include the accumulation of particulate organic matter and algae in the sediments and rates do not vary over time, except as a
- 70 result of decay rate temperature dependence. Nevertheless, the consideration of this model proved useful for addressing potential issues related to the calibration quality of the sediment diagenesis model. If the predicted zero-order model DO profiles in the water column are exactly matched, then the values for SOD used in calibration are very close to the actual dissolved oxygen uptake rates in the water column (Wells, 2011). Therefore, if the W2 model with the sediment diagenesis function performs in the same way under the same boundary conditions as the zero-order model in terms of DO in the water
- 75 column we can conclude that the performance of the sediment diagenesis function is accurate. In the case of water temperature and DO, the modeling results were compared with 35 water column profiles observed near the dam. The remaining parameters were calibrated against time series data sets observed at different depths. A sensitivity analysis was performed to evaluate the reservoir water quality response, namely DO, to the variation of POC, PON and POP concentration in the reservoir sediments. The results of this study will hopefully prove useful by helping to improve lake and
- 80 reservoir water quality modeling and, therefore, the water management process from a practical perspective.

## **2 Methods**

## **2.1 Site Location and Main Characteristics**

Portugal experiences a temperate maritime climate characterized by a wet, cool season and a dry summer. Despite most of 85 the precipitation occurring during the winter months, there is significant inter-annual variability. Precipitation patterns are spatially and temporally heterogeneous, with annual maxima exceeding 2500 mm in the rugged highlands of the northwest, while the low-lying plains of the southeast receive around 400 mm per year (Cardoso et al., 2013; Soares et al., 2015) (Fig.1). The Torrão dam, located in the northern region of mainland Portugal in the Tâmega River, is a significant hydraulic structure designed for multiple purposes, including water supply, irrigation, and hydroelectric power generation. The

90 reservoir has a substantial storage capacity, contributing to regional water management and flood control. This infrastructure plays a crucial role in the socio-economic development of the region, balancing resource management and environmental preservation. However, it is also important to note that the reservoir was classified as eutrophic for all the simulated years, a condition that can lead to persistent water quality issues.





#### **Table 1: Main features of Torrão dam and reservoir**



(1) Classification according to OECD Trophic State limits (OECD, 1982)

## 100 **2.2 Modeling approach**

The bathymetry of the Torrão reservoir was initially defined using a Digital Elevation Model (DEM) provided by Energies of Portugal, S.A. (EDP) and structured according to the methodology outlined in Wells (2021). The reservoir comprises one main branch (the Tâmega River), three tributaries and one distributed tributary (Fig. 1). Tributaries 1 and 2 are depicted in Fig 1. Tributary 3 represents the inflow from the Douro River into the pump-back system of the Torrão Reservoir. The

- 105 bathymetric map includes 27 segments, each measuring 1000 meters in length, and a maximum number of 58 layers, each with a depth of 1 meter. Following this preliminary step, the reservoir boundary conditions (including water quality, hydrology, meteorology, and sediment characterization) were defined according to the methods described in Section 1.2.3. Due to the lack of available information, the model structure only includes a single algae group (Diatoms). Subsequently, two different CE-QUAL-W2 model versions (see section 2.3) were calibrated for the 2016-2021 period (vide section 1.2.4):
- 110 a) incorporating the sediment diagenesis model (W2\_SD), and b) considering a user-specified sediment oxygen model (zeroorder model) that was not coupled with the water column (W2\_zero-order). The zero-order model was selected for the analysis because it typically provides an accurate approximation of the sediment oxygen demand (SOD) in a reservoir without relying on sediment concentrations or requiring a separate sediment compartment and was thus a useful tool for establishing a SOD benchmark for the reservoir. This is not, however, a predictive approach, as, other than variations
- 115 resulting from the temperature dependence of the decay rate, the rates remain constant over time (Wells, 2021). The models were calibrated by adjusting their parameters to improve the fit between the model output and observed data. Please refer to Wells (2021) for a detailed account of the model calibration parameters and default values. Water temperature was the first constituent to be calibrated. The wind sheltering coefficient (WSC) was manually adjusted to achieve the best fit between the modeled and observed water temperature profiles, resulting in a final value of 1. A value of 1 implies that the
- 120 WSC has no effect over the wind velocity forcing the model. The zero-order model for sediment oxygen demand (SOD) was then manually adjusted to improve dissolved oxygen (DO) predictions based on 35 DO profiles. The optimal result was achieved with a constant SOD value of 2.5 g  $O_2/m^2$ /day. Following this calibration, the phosphorus sediment release rate (PO4R) in the zero-order model was modified from its default value of 0.001 to 0.015. All other parameters were kept at





their relevant default values and the default settings for the sediment diagenesis model were also maintained. The observed 125 data included water temperature (WT), dissolved oxygen (DO), Total P, Total N, BOD5, and chlorophyll-a. These two runs were named: W2\_SD\_baseline and W2\_zero-order\_baseline. A sensitivity analysis was conducted after the calibration process to evaluate the model's response: a) to different POC, PON and POP values in the case of the W2\_SD model; and b) to different SOD values in the case of the W2\_zero-order model. Section 1.2.5 details the methodological approach employed for the sensitivity analysis. The evaluation of the model's performance, along with the results obtained from the 130 sensitivity analysis, provided deeper insights into modeling SOD using the diagenesis model.

#### 2.3. CE-QUAL-W2 v4.5 model

This study employed the latest version of CE-QUAL-W2 (Version 4.5), a model originally developed in 1975 by the US Army Corps of Engineers and written in Fortran. Since its inception, the model has undergone regular updates and 135 enhancements, primarily by researchers at Portland State University (Cole and Wells, 2006). CE-QUAL-W2 is a twodimensional, laterally averaged hydrodynamic and water quality model capable of simulating free surface elevation, hydrostatic pressure, density, horizontal and vertical velocities, as well as constituent concentrations. The model uses the finite difference method to solve key equations, including mean transverse momentum in the x- and z-directions, the continuity equation, state equations, and water surface elevation equations (Adelena et al., 2015; Tavera-Quiroz et al., 2024;

- 140 Wells, 2021). A sediment diagenesis module, originally tailored for oil sand pit lakes, has been adapted for application in other aquatic environments and integrated into version 4.0 (Vandenberg et al., 2015). The conceptual framework of the model has been elaborated in works by Prakash et al. (2014), Berg and Wells (2014), and Vandenberg et al. (2015). CE-QUAL-W2 has demonstrated its utility in simulating hydrodynamic and ecological processes—such as stratification, internal waves, oxygen dynamics, and phytoplankton blooms—in lakes and reservoirs worldwide (Zhang et al., 2015; Chuo et al.,
- 145 2019; Kobler et al., 2018; Uhlmann, 2017; Terry et al., 2017; Mi et al., 2020). Additional details about the model's structure, algorithms, and historical applications can be found in the user manual (Wells, 2021).







**Figure 1: Torrão reservoir watershed. Thiessen polygons. Water quality stations**





## **2.4 Model Forcing Datasets**

The meteorological data used to drive the model, including hourly air temperature, dew point, solar radiation, cloud cover, and wind characteristics, were sourced from ERA5-Land, a high-resolution reanalysis dataset optimized for land applications. Reservoir data, such as daily inflow/outflow, water levels, and water quality, covering the years 2016–2021, 160 were provided by EDP. Water quality data specific to Branch 1 originated from the Praia Aurora Station, accessed via the Portuguese National Water Resources Information System (SNIRH, 2024). With only 21 recorded measurements for Branch 1 during this period, three modeling methods were employed to address the 99.04% of missing data. The variables include: water temperature; DO; Total P; Ammonium (N-NH<sub>4</sub>); Nitrate+Nitrite (N-NO<sub>X</sub>; BOD<sub>5</sub>); Chlorophyll-a; Alkalinity; Conductivity and Total Suspended Solids (SST).

- 165 The first method employed regression models implemented through the LOADEST package (Runkel et al., 2004) developed by the USGS. The second method utilized the Extreme Gradient Boosting (XGBoost) machine learning algorithm, implemented using the Chen and Guestrin (2016) open-source library, a method proven effective in various environmental studies (Feigl et al., 2021; Adedeji et al., 2022; Xu et al., 2022). For additional details on the algorithm, refer to Almeida and Coelho (2023). The third approach relied on Support Vector Regression (SVR), implemented via the scikit-learn library
- 170 (Pedregosa et al., 2011), which has also demonstrated strong performance in environmental modeling applications (Adedeji et al., 2022; Ji and Lu, 2018). For machine learning approaches, datasets were split into training (80%) and testing (20%) sets. Hyperparameters for these models were optimized using the Tree-structured Parzen Estimators (TPE) algorithm, executed with the Hyperopt library (Bergstra et al., 2013) and 100 iterations. The Nash-Sutcliffe Efficiency (NSE) was used to determine the best model. Table A1 describes the input features of each model. Correlations derived from Branch 1
- 175 informed data extrapolation to other tributaries using flow as the predictor. Observed data for Tributary 3 was retrieved from the Crestuma-Lever reservoir monitoring station.

Water quality variables used for model inputs included water temperature, DO, orthophosphates (P-PO<sub>4</sub>), N-NH<sub>4</sub>, N-NOx, labile and refractory dissolved and particulate organic matter (LDOM, RDOM, LPOM, RPOM), alkalinity, inorganic suspended solids (ISS), total dissolved solids (TDS), total inorganic carbon (TIC), and algal biomass (diatoms). For non-

- 180 monitored variables, estimations were made based on available data: i) P-PO4: Derived from total phosphorus, assuming inorganic phosphorus represents 70% of the total; ii) Organic matter:  $BOD<sub>5</sub>$  was converted to organic matter using a stoichiometric ratio of 1.4 g  $O_2/1.0$  g organic matter, with 60% assumed refractory and 40% labile; iii) ISS: Estimated as 97.4% of TSS; iv) TDS: Calculated from electrical conductivity (Eq.1); v) TIC: Estimated from alkalinity (Eq. 2); vi) Algae biomass: Chlorophyll-a was converted to biomass using the following ratio: Algal Biomass (mg/L)/Chlorophyll-a (μg/L) =
- 185 0.05



 $TIC (mg/L) = 0.2782 \times Alkalinity (mg/L)0.9706$  (2)





This equation was derived from the relationship between TIC and alkalinity values observed in four reservoirs within the United States, utilizing a dataset comprising 55232 value pairs available in the CE-QUAL-W2 v4.5 model examples (Wells, 190 2021). The analysis achieved an R2 value of 0.99.

- Figure 2 illustrates the locations of the five sediment sampling sites used to define the W2\_SD\_baseline run. The spatial distribution of the sediment samples depicted in the figure were linked to specific reservoir segments to characterize the initial sediment content of particulate organic carbon (POC), particulate organic nitrogen (PON), and particulate organic phosphorus (POP), as detailed in Table 2. Sediment values were assigned as follows: site A to segments 25–28, site B to
- 195 segments 20–24, site C to segments 16–19, site D to segments 11–15, and site E to segments 2–10. Several assumptions were made to establish the sediment characterization: i) A sediment density of 960 kg/m<sup>3</sup> (Minear, 2007) was applied to convert sample values from mg/kg to mg/L; ii) POP values were set at 25 mg/L, based on established literature benchmarks (Wells, 2021); iii) The Total N value observed at site B was used to characterize sites C, D, and E; iv) TOC and Total N were assumed to exist entirely in particulate form, represented as particulate organic carbon and nitrogen. This approach
- 200 ensured a consistent and representative characterization of sediment properties across the reservoir segments.

205







**Figure 2: Sediment sampling sites. CE-QUAL-W2 model segments**







**Table 2: Torrão Reservoir sediment chemical characterization obtained for each sampling site.**

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#### **2.5 Water Quality Model (CE-QUAL-W2) Calibration**

The simulation period considered for this study spanned 2016 to 2021. This period was selected due to the availability of flow and water quality data. The trial-and-error technique was applied to calibrate the model for the simulation period, considering the default calibration parameters described in Wells, 2021. The error between observed and predicted values of 235 six state variables was evaluated with five different metrics (vide section 1.2.6). The observed data included water temperature (WT), dissolved oxygen (DO), Total P, Total N, BOD5, and chlorophyll-a. These time series were obtained from: (a) an integrated sample between the reservoir surface and a depth of 5.8 meters, (b) a depth of 23 meters, and (c) a depth of 43.7 meters. 35 water temperature and DO profiles, with six profiles per year from 2016 to 2021, were also considered. These profiles were observed 300 meters upstream from the Torrão Dam. The models' initial conditions, 240 parameters, constants, and the forcing datasets can be obtained from Almeida and Coelho, 2025.

**2.5 Sensitivity analysis**

A sensitivity analysis was conducted after the calibration process to evaluate the model's response:

- i) Different initial sediment values for POC, PON, and POP were used in the W2\_SD model (Table 3). It is important to note that for each of the 24 runs described in Table 2, only the corresponding parameter was modified, while the other
- 245 two parameters retained their default values shown in Table 1. The number of runs varying the PON and POP values is





higher than the number of runs considered for POC, with 6 versus 9 runs, respectively. This adjustment was necessary to achieve a minimal RMSE in the predictions of dissolved oxygen in the water column.

ii) Different SOD values for the W2\_zero-order model  $(0.5, 1.0, 1.5, 2.0, 2.5, \text{ and } 3.0 \text{ g O}_2/\text{m}^2/\text{day})$ 

In the results analysis for each run and for both scenarios (i) and (ii), the prediction error for dissolved oxygen (DO) was

250 compared with the sediment oxygen demand (SOD) values derived from each model. Specifically, Runs 5, 8, and 20 were forced with the particulate organic carbon (POC), particulate organic nitrogen (PON), and particulate organic phosphorus (POP) values defined in the W2\_SD\_baseline run.









## **2.6 Metrics**

The evaluation of model calibration and the analysis of quantitative differences across simulation scenarios utilized various performance metrics. These included the root mean square error (RMSE), mean absolute error (MAE), Nash-Sutcliffe efficiency (NSE) (Nash and Sutcliffe, 1970), percent bias (PBIAS), and the coefficient of determination (R<sup>2</sup>). The 260 calculations were carried out using equations where  $m_i$  and  $o_i$  represent the simulated and observed values, respectively, and  $\overline{o_i}$  the observed values mean.

RMSE = 
$$
\sqrt{\frac{1}{N} \sum_{i=1}^{N} (m_i - o_i)^2}
$$
 (3)

$$
MAE = \frac{1}{N} \sum_{i=1}^{N} |m_i - o_i|
$$
\n<sup>(4)</sup>

$$
NSE = 1 - \left[\frac{\sum_{i=1}^{N} (o_i - m_i)^2}{\sum_{i=1}^{N} (o_i - \overline{o}_i)^2}\right]
$$
(5)

265 
$$
PBIAS = \frac{\sum_{i=1}^{N} (o_i - m_i)}{\sum_{i=1}^{N} (o_i)} \times 100
$$
 (6)

$$
R^{2} = \frac{\sum_{i=1}^{N} (m_{i} - \bar{o})^{2}}{\sum_{i=1}^{N} (o_{i} - \bar{o})^{2}} \times 100
$$
\n<sup>(7)</sup>

#### **3 Results**

## **3.1 Observed Inflow Water Quality Characterization**

270 The SVR algorithm was more effective at predicting the inflow water temperature compared to the other models. The R<sup>2</sup> and PBIAS values achieved with the SVR were 0.87, and 3.77%, respectively, indicating that the water temperature trends and average magnitudes are well described (Table A1). Additionally, the RMSE and MAE values of 2.1ºC and 1.6ºC, respectively, demonstrate an accurate approximation of the observed datasets. The SVR algorithm was also the best model in predicting DO. The R², PBIAS, RMSE, and MAE values reached, 0.91, 0.92%, 0.40 mg/L and 0.26 mg/L, respectively, 275 indicating that the model performed well. This was not the case for the remaining parameters. In fact, the Loadest regression outperformed the other models for the remaining water quality variables. This was primarily due to the limited number of training samples. Simpler models like regressions can have lower variance (i.e., be less susceptible to overfitting) compared to SVR and XBOOST algorithms. Overall, the PBIAS obtained for NH4, N-NOx, and Chlorophyll-a (10.88%, 43.64%, and 30.00%) suggests that the average magnitude was reasonably well represented.





## 280 **3.2 CE-QUAL-W2 calibration**

The results of the calibration process for both models are presented in Table 4 and illustrated in Fig. 3. Table 3 displays the metrics obtained during calibration, while Fig. 3 depicts the evolution of the predicted constituent time series throughout the simulation period. Fig. 3 shows that both models predicted the reservoir water temperature reasonably well, a conclusion confirmed by the metrics obtained for this constituent (Table 4). The water column DO was accurately predicted by both 285 models, however, the W2\_zero-order model performed slightly better according to all metrics, with the exception of PBIAS.

- According to Fig.3, the remaining parameters were also well simulated by both models. The only exception to this were the Total N and Total P concentration values predicted by the W2\_zero-order model for the reservoir bottom, which were overestimated (Table A2).
- Based on the analysis of Fig. 3 and the values obtained for RMSE, MAE, and PBIAS, it is reasonable to conclude that both 290 models performed equally well in predicting reservoir water quality. However, the W2\_zero-order model was slightly better at predicting reservoir DO. Despite this, the model overestimated Chlorophyll-a concentrations from the surface down to a depth of 5.8 meters, and the concentrations of Total P and Total N at the reservoir bottom were also overestimated. During anoxic periods, the model exaggerated the release of  $P-PO_4$  and  $N-NH_4$ , an issue that was not mitigated by tuning the release rates of P-PO<sub>4</sub> and N-NH<sub>4</sub> from the sediments, which suggests that the problem was driven by excessive anoxia in the
- 295 bottom layers. Conversely, the W2\_SD model provided a more balanced response, predicting the mean evolution of all constituents reasonably well.



**Table 4: Metrics between observed and predicted values for both models. Water temperature and DO metrics were obtained from 36 observed and predicted profiles.** 







**Figure 3: Constituents observed values at three different depths: (a) an integrated sample between the reservoir surface and an**  305 **average depth of 5.8 meters, (b) an average depth of 23 meters, and (c) an average depth of 43.7 meters. These observed values were compared with the predicted time series from the W2\_SD\_baseline (A to F) and W2\_zero-order\_baseline (SOD: 2.5 g/m²/day) (G to L) for the same depths.**





#### **3.3 Sensitivity analysis**

310 The SOD values strongly influence the water column DO; therefore, this parameter was considered to support this analysis. Figure 4 shows the SOD values from the reservoir bottom layer, predicted by the W2\_SD\_model for Runs 1 to 6, compared with the RMSE (Fig4A) and the NSE (Fig4A) values obtained between the predicted water column DO profiles and the mean initial POC values (across all sites values) for each run. These results suggest that Run 2 was the best modeling solution. Considering the results obtained for Run 5 (baseline), Run 2 reduced the RMSE from 1.79 mg/L to 1.73 mg/L and 315 increased the NSE from 0.34 to 0.41. The average SOD value in the bottom layer of the reservoir (across all model segments) decreased from 1.2 g  $O_2/m_2$ /day (Run 5) to 0.81 g  $O_2/m^2$ /day (Run 2). Although not a significant reduction, it

suggests an initial overestimation of POC values. This outcome was expected, given the initial assumption in Run 5 that all TOC existed in the form of POC. Based on the initial POC values of both runs, it can be concluded that the particulate fraction of organic carbon constitutes 40% of the TOC. Table A4 presents the metrics for water temperature, DO, Total N, 320 Total P, BOD5, and Chlorophyll-a obtained for Run 2. Although this run improved the reservoir DO prediction, the results

for the other constituents are very similar to those obtained for Run 5 (baseline).



**Figure 4: A) SOD values from the reservoir bottom layer, predicted by the W2\_SD\_model for Runs 1 to 6, compared with the**  325 **RMSE obtained between the predicted water column DO profiles and the mean initial POC values (across all sites values) for each run of the W2\_SD\_model. B) Similar to A but considering the NSE metric.**







**Figure 5: Observed DO profiles (300 m from the dam) compared to predicted profiles using the W2\_zero-order model (baseline), W2\_SD model (Run 2) and (Run 5; baseline).**

The sensitivity analysis also involved varying the initial values of PON and POP for each run. The results indicate that mean 335 reservoir SOD values remained nearly constant, as depicted in Fig. 6, suggesting that the W2\_SD model was not significantly affected by variations in the initial PON and POP values in the sediments. However, in Runs 7, 8, and 9, where PON values were higher, there was a significant increase in the release of N-NH<sub>4</sub> and N-NO<sub>X</sub> from the reservoir sediments, leading to an impact on water column DO. This is evidenced by the notable increase in RMSE and the reduction of NSE values, as shown in Fig. 6A and 6B.







**Figure 6: A) SOD values from the reservoir bottom layer, predicted by the W2\_SD\_model for Runs 7 to 15, compared with the RMSE obtained between the predicted water column DO profiles and the mean initial PON values (across all sites) for each run B) Similar to A) but considering the NSE metric. C) SOD values from the reservoir bottom layer, predicted by the W2\_SD\_model for** 345 **Runs 16 to 24, compared with the RMSE obtained between the predicted water column DO profiles and the mean initial POP values (across all sites) for each run. D) Similar to C) but considering the NSE metric.**

Figure 7 shows the RMSE (Fig. 7A) and the NSE (Fig. 7B) values between observed and predicted water column DO profiles for both models: W2\_SD model (Runs 1 to 6) and the W2\_zero-order model, each with six different SOD values 350 ranging from 0.5 to 3.0  $g/m^2$ /day, along with the corresponding reservoir SOD values. Overall, these results show that the zero-order model with a constant SOD of 2.5 g  $O_2/m^2$ /day was better than the W2\_SD model at predicting reservoir DO. The analysis of this figure also indicates that varying the SOD in the zero-order model from 0.5 to 3.0 g  $O_2/m^2$ /day resulted in changes in DO prediction, with RMSE values ranging from 2.27 to 1.62 g  $O_2/m^2$ /day and NSE values ranging from 0.14 to 0.50. The reservoir SOD obtained with the W2\_SD model was less sensitive to changes in the initial sediment POC value,





355 suggesting that the initial POC value must be significantly higher than 20 000 mg/L to achieve SOD values of more than 2.0 g  $O_2/m^2$ /day.



**Figure 7. A) RMSE values between observed and predicted water column DO profiles predicted by both models (W2\_SD model**  360 **Runs 1 to 6 and 0\_order model with six different SOD values ranging from 0.5 to 3.0 g/m²/day) compared with the reservoir SOD values. B) Similar to A) but considering the NSE metric.**

## **4 Discussion**

- Overall, the temperature and DO predictions for the reservoir boundary conditions (Tâmega river) were quite good: PBIAS: 365 0.76% and 0.92%, respectively. When a significant number of samples and forcing variables are available the accuracy of machine learning algorithms can be greatly enhanced. This was demonstrated in the studies by Lu et al. (2020), Rajesh and Rehana (2021), and Feigl et al. (2021), where the RMSE for river water temperature prediction reached 1.04ºC, 1.03ºC, and 0.58ºC, respectively. The results obtained for alkalinity, conductivity and TSS were also good: Alkalinity-PBIAS: 17.44%; Conductivity **-** PBIAS: 8.23%; TSS- 0.73; PBIAS: 11.86%. However, as expected, the PBIAS values obtained for the
- 370 remaining constituents were not as favorable (Total P- PBIAS: 7.11%; N-NO<sub>X</sub>- PBIAS: 3.92%; BOD<sub>5</sub>- PBIAS: 6.93%; Chla-PBIAS: 30%). The modeling of these constituents involves complex biological, chemical, and physical processes that are harder to model accurately. However, except for Chla, the PBIAS values were generally less than 10%, reflecting acceptable levels of bias. Ammonium (N-NH4) was the only parameter for which performance was significantly lower, generating a PBIAS of 28.27%. Moriasi et al. (2015) suggest that  $\pm 10 \leq PBIAS \leq \pm 25$  is indicative of a satisfactory model performance.
- 375 Based on the RMSE, the overall calibration results obtained for all constituents with both models for the 2016-2021 period were consistent with the results seen in other studies (see Table A3). The mean RMSE values for Chlorophyll-a obtained





with both models (W2\_SD model: 13.43  $\mu$ g/L; W2\_zero-order model: 15.84  $\mu$ g/L) are aligned with the results of other modeling studies (Brito et al., 2018: 62.9 µg/L; Kim et al., 2019: 6.7 to 13.2 µg/L; Tasnim et al., 2021: 0.6 to 27.6 µg/L; Almeida et al., 2023: 19.36 to 25.57 µg/L). This can also be said of Total P, with the mean RMSE values obtained with both 380 models (W2\_SD model: 0.02 mg/L; W2\_zero-order model: 0.06 mg/L) corresponding to those of other studies (Brett et al.,

- 2016: 0.012 mg/L; Kim et al., 2019: 0.014 to 0.068 mg/L; Tasnim et al., 2021: 0.005 to 0.036 mg/L; Almeida et al., 2023: 0.07 to 0.09 mg/L). The mean RMSE values obtained with both models (W2\_SD model: 0.37 mg/L; W2\_zero-order model: 0.64 mg/L) for Total N were, however, lower than the only reference found for this constituent, which was recorded as 0.77 mg/L (Deliman et al., 2002).
- 385 The RMSE obtained with the W2\_SD model and the zero-order model for DO (1.79 mg/L and 1.62 mg/L, respectively) are also in line with the results obtained in other studies (e.g., Deliman et al., 2002: 1.34 mg/L; Brett et al., 2016: 1.2 mg/L; Brito et al., 2018: 7.6 mg/L; Luo et al., 2018: 1.78 mg/L; Tasnim et al., 2021: 2.33 mg/L). In general terms, the metrics obtained for both models and visual analysis of the predicted time series suggest that the CE-QUAL-W2 diagenesis model performed well and produced a more balanced response than the W2\_zero-order model. It is also important to evaluate the magnitude of
- 390 the SOD value associated with each model. Both models performed similarly well with regard to modeling the water column dissolved oxygen (DO). The SOD considered for the W2 zero-order model (2.50 gO<sub>2</sub>/m<sup>2</sup>/day) was significantly higher than the mean SOD computed with the best W2\_SD model (Run 2) (0.810 gO2/m²/day). This can be explained by the fact that the W2\_zero-order model SOD represents all of the reservoir's DO uptake rate in the water column and not just the sediment uptake. Overall, despite their limitations and assumptions, both models are capable of adequately reproducing the
- 395 hydrodynamic and water quality dynamics of the reservoir. While not perfect, they effectively capture the main water quality trends given the current boundary conditions. It is important to stress the fact that this study did not set out to identify the best model overall but rather to evaluate the performance of the sediment diagenesis model. Specifically, we sought to determine whether this model could replicate dissolved oxygen (DO) profiles and perform comparably to the zero-order model.
- 400 The zero-order model employs a constant SOD value that only varies with water temperature and does not account for organic matter decay or its impact on SOD values. As a result, it cannot predict water quality trends since it is not integrated with the water column. Nevertheless, it can and was used to back-calculate the oxygen uptake rate in the water column. The fact that the reservoir's dissolved oxygen profiles matched the zero-order model SOD values used in calibration indicated that these values were close to the actual uptake rates of dissolved oxygen (Wells, 2011). Therefore, since the W2 model
- 405 with the sediment diagenesis function performs similarly to the zero-order model in reproducing dissolved oxygen profiles under the same boundary conditions, it can be concluded that the sediment diagenesis function is performing accurately. This approach proved useful when it came to compensating for the uncertainty related to boundary condition limitations and all the assumptions made for the model's definition.

The W2\_SD model includes a variable SOD computed from the sediment diagenesis model. In the best W2\_SD model (Run 410 2), the SOD ranged from 0.013 to 4.110 gO<sub>2</sub>/m<sup>2</sup>/day ( $\mu$  = 0.810;  $\sigma$  = 0.659). The SOD remained below 1 g/m<sup>2</sup>/day from





December to April, increasing during the remaining months and peaking in September each year. These values are consistent with the SOD values obtained in other studies, such as those of Schnoor and Fruh (1979), which concluded that the SOD values of Lake Lydon B. Johnson (located in the U.S.) ranged from 1.7 to 5.8  $gO_2/m^2$ /day, and of Beutel (2015), which measured SOD values in different locations around Lake Hodges (located in the U.S.) ranging from 0.6 to 2.3 gO2/m<sup>2</sup>/day. It 415 would, however, be useful to be able to compare these results with SOD values measured at different sites within the Torrão

- reservoir. Additional SOD monitoring studies need to be conducted in lakes and reservoirs and be extended to other regions, also focusing on the chemical characterization of sediments and the definition of sediment burial rates. The results also revealed that the particulate fraction of organic carbon in the reservoir sediments corresponded to 40% of the
- total organic carbon (TOC). This value is small compared to the results obtained for Taihu Lake by Yu et al. (2022), where 420 the ratio of particulate organic phosphorus (POP) to TOC varied from 97.85% to 89.53%. However, this value (40%) was obtained indirectly through the analysis of the reservoir's predicted SOD values as a function of different initial POC values and may, therefore, reflect other sources of uncertainty, such as inflow organic matter characterization. Given the fact that the magnitude of TOC in the sediment can be affected by numerous factors, including water column productivity, terrestrial inputs of organic materials, sediment properties, and microbial activity rates (Gireeshkumar et al., 2013), and that, partly due
- 425 to differences in reservoir productivity and morphology, the spatial distribution and sources of organic carbon vary greatly across regions (Anderson et al., 2009), it is reasonable to assume that the only way to accurately assess the POC prediction is by monitoring the reservoir POC content.

Furthermore, this project has highlighted a need to further expand the study to include other water bodies in different regions to enhance our understanding of the performance of the CE-QUAL-W2 diagenesis model and the significant heterogeneity of

430 water body characteristics, as well as underlining the vital importance of establishing performance measures and evaluation criteria for daily water quality simulations in reservoirs and lakes.

#### **5 Conclusions**

The primary objective of this study was to evaluate the performance of the state-of-the-art CE-QUAL-W2 sediment diagenesis model. This evaluation was conducted by applying two different approaches to conceptualize the sediment 435 compartment in the CE-QUAL-W2 model: a) incorporating a sediment diagenesis model, and b) using a zero-order model. The models were applied to the Torrão reservoir located in northern Portugal. Overall, the study's results clearly demonstrate that the CE-QUAL-W2 water quality model performed very well when coupled with the advanced sediment diagenesis model despite the poor characterization of the reservoir inflow water quality. The mean NSE and RMSE obtained for the reservoir water column DO were  $0.41 \pm 0.67$  and  $1.73$  mg/L $\pm 0.69$ , respectively. The results also suggest that the zero-order 440 model can serve as a good starting point for applying the sediment diagenesis model, as it helps to define an initial threshold for the mean reservoir SOD value.





# **Appendix A**



**Figure A1: CE-QUAL-W2 bathymetry - Cross section of the Tâmega River with the average segment width**







# **Table A1.** Model metrics. Characterization of Tâmega river inflow





465 **Table A2. Metrics between observed and predicted values for both models. The predicted values were compared with observed values at three different depths: (a) an integrated sample between the reservoir surface and an average depth of 5.8 meters, (b) an average depth of 23 meters, and (c) an average depth of 43.7 meters. The values shown in this table represent the mean value of the metrics obtained for each date and the corresponding standard deviation.**



**Table A3.** Root mean square error values obtained with different models and across different time frames

| <b>Constituent</b>   | <b>Model</b> | <b>Simulation</b> | <b>RMSE</b>               | <b>Author</b>                 |
|----------------------|--------------|-------------------|---------------------------|-------------------------------|
| Water<br>temperature | CE-OUAL-W2   |                   | 2.95 °C                   | Deliman et al., 2002          |
|                      | CE-OUAL-W2   |                   | $1.93 \text{ °C}$         | Kim and Kim, 2006             |
|                      | CE-OUAL-W2   |                   | 0.56 °C                   | Zhang et al., 2015            |
|                      | CE-OUAL-W2   |                   | $<$ 2.0 ℃                 | Lindenschmidt et al.,<br>2019 |
|                      | Delft3D-FLOW | 2015-2017         | $0.96$ to $1.0^{\circ}$ C | Piccioni, et al. 2020         |
|                      | CE-OUAL-W2   | 2001-2011         | 1.80 °C                   | Brito et al., 2018            |
|                      | CE-OUAL-W2   | 2010              | $2.36\text{ °C}$          | Liu et al., 2019              |
|                      | MINLAKE2020  | 2-4 years         | $1.51 \text{ °C}$         | Tasnim et al., 2021           |
|                      | CE-OUAL-W2   | 2000-2019         | $3.01 - 3.17$ °C          | Almeida et al., 2023          |
| DO.                  | CE-OUAL-W2   |                   | $1.34 \text{ mg}/1$       | Deliman et al., 2002          |
|                      | CE-OUAL-W2   |                   | $0.61 \text{ mg}/1$       | Zhang et al., 2015            |







475 **Table A4.** Metrics between observed and predicted values for W2\_SD model (Run 2). Water temperature and DO metrics were obtained from 36 observed and predicted profiles. The predicted values for the remaining constituents were compared with observed values at three different depths: (a) an integrated sample between the reservoir surface and an average depth of 5.8 meters, (b) an average depth of 23 meters and (c) an average depth of 43.7 meters. The values in this table represent the mean value of the metrics obtained at each date and the corresponding standard deviation or, in the case of water 480 temperature and DO, the mean value of the metrics obtained for each profile and the standard deviation.







## 485 **Code availability**

The exact version of the models' source code is archived on Zenodo at https://doi.org/10.5281/zenodo.14606105 (Almeida and Coelho 2025). The current version of the open-source CEQUAL-W2 model (version 4.5) used in this study is also available from the project website (http://www.ce.pdx.edu/w2/, last access 24 January 2024).

## 490 **Data availability**

Input files needed to run the models' and the hydrometric water quality and meteorological datasets used to force and validate each model are freely available and are archive on Zenodo at https://doi.org/10.5281/zenodo.14606105 (Almeida and Coelho 2025).

## 495 **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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**Author contribution:** MA conceptualized the study, developed the methodology, and handled software and data curation, as well as writing the original draft. PC administered the project and contributed to reviewing and editing the manuscript

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