# **RESPONSE TO REVIEWERS**

# "Evaluating the performance of CE-QUAL-W2 version 4.5 sediment diagenesis model" July 2025

## Dear Editor-in-Chief

Thank you for the opportunity to submit a revised version of our manuscript, "Evaluating the Performance of the CE-QUAL-W2 Version 4.5 Sediment Diagenesis Model," for consideration in Geoscientific Model Development (GMD). We sincerely appreciate the time and effort you, the Associate Editor, and the Reviewers have devoted to evaluating our work. We are grateful for the insightful comments and constructive suggestions, which have significantly improved the quality of our manuscript.

We have carefully addressed all reviewer comments and incorporated the suggested revisions. Below, we provide a point-by-point response to each comment. For clarity, we refer to the revised manuscript without track changes, using page and line numbers (page–line) to indicate where modifications were made. I look forward to hearing from you.

Sincerely, Manuel Almeida

# **Reviewer #1**

The authors have written an interesting, well-developed methods paper where they compare CE-QUAL-W2's zero-order sediment model against the full sediment diagenesis (SD) model introduced in V4 of the CE-QUAL-W2 water-quality model. I believe many water-quality modellers using CE-QUAL-W2 are reluctant to try the new SD model due to the sheer number of coefficients in the compartment, so it is interesting that the authors were able to model their waterbody mostly using the default parameters of the diagenesis model. While the authors primarily discussed the results for DO, there does seem to be value in collecting a few sediment samples where possible, based on the better results for TP, TN and (potentially) ChI-a with the SD model.

The introduction was good and provided sufficient context for why the authors thought the work was of interest to the water-quality modelling community.

**Author response:** We sincerely thank the reviewer for providing thoughtful feedback. We have carefully considered each of the comments and have made corresponding revisions to enhance the manuscript accordingly. We appreciate the recognition of our efforts to compare the zero-order sediment model with the full sediment diagenesis (SD) model in CE-QUAL-W2. We believe that for long-term studies, it is especially relevant to implement a more comprehensive sediment model. As the reviewer correctly noted, a key motivation for this study was to demonstrate that the SD model can produce reasonable and improved results even when using mostly default parameter values—an important consideration for practitioners who may be hesitant to adopt the model due to its complexity.

The methods were sufficient although the information regarding the configuration and calibration of the main water quality model could be more in-depth (e.g., appendix table of most the important coefficients) rather than leaving the reader to have to search through the CE-QUAL-W2 user manual.

**Author response:** Thank you for your comment. We agree that providing more detailed information on the configuration and calibration of the water quality model enhances the clarity and usefulness of the manuscript. In response, we have added tables A2 to A8 summarizing the most important coefficients and parameters used in the CE-QUAL-W2 model setup. This addition allows readers to understand the model calibration without needing to refer to the user manual. We believe this change improves the transparency and reproducibility of our modeling approach. The following sentence was included in the manuscript:

#### **PAGE 13 LINE 285**

"Tables A2 through A8 display the most significant CE-QUAL-W2 coefficients obtained after the calibration process."

I found one or two sections needed rereading several times to fully understand the objectives of the study and the model setup. Section 2.2 combines the model configuration (e.g., bathymetry, algal groups), a summary of the following method section, and a summary of the overall modelling approach, and I believe this could be better structured by separating the model set-up. Note that machine learning is not my

area of expertise and so I am unable to comment on the derivation of the forcing datasets for water-quality.

**Author response:** Thank you for your comment. We agree with the reviewer's suggestion. Accordingly, two new sections have been added: Section 2.2.1 – Model Setup and Section 2.3 – Modeling Approach. The Methods section has been revised as follows:

# PAGE 5 LINE 134-141

# 2.2.1 Model Setup

The bathymetry of the Torrão reservoir was initially defined using a Digital Elevation Model (DEM) provided by Energies of Portugal, S.A. (EDP) and structured according to the methodology outlined in Wells (2021). The reservoir comprises one main branch (the Tâmega River), three tributaries and one distributed tributary (Fig. 1). Tributaries 1 and 2 are depicted in Fig 1. Tributary 3 represents the inflow from the Douro River into the pump-back system of the Torrão Reservoir. The bathymetric map includes 27 segments, each measuring 1000 meters in length, and a maximum number of 58 layers, each with a depth of 1 meter. Following this preliminary step, the reservoir boundary conditions (including water quality, hydrology, meteorology, and sediment characterization) were defined according to the methods described in Section 2.4. Due to the lack of available information, the model structure only includes a single algae group (Diatoms).

# PAGE 6 LINE 145 to PAGE 7 LINE 163

# 2.3 Modeling approach

To thoroughly evaluate the capability of CE-QUAL-W2 in modeling dissolved oxygen using the sediment diagenesis module, the four available SOD modeling approaches were considered: Zero-order model: First-order model: Zero/First-order model (Hybrid model) and the sediment diagenesis model (SG model). The models were calibrated for the 2016–2021 period (see Section 2.5). During the results analysis, the performance metrics obtained during each model's calibration process were compared, along with the SOD values across the bottom layers of each model. A sensitivity analysis was conducted following calibration to evaluate each model's response: a) to varying POC, PON, and POP values in the case of the SG model; b) to different SOD values in the Zero-order and Hybrid models and c) to varying the initial first order sediment concentration in the case of the First-order model. Section 2.6 details the methodological approach used for the sensitivity analysis. To assess the sensitivity of each model to reductions in external organic matter (OM) and phosphorus (PO<sub>4</sub>-P) inputs, two separate scenario analyses were conducted. The first scenario involved an 80% reduction in OM inflow load, while the second applied an 80% reduction in both OM and PO<sub>4</sub>-P inflow loads. These reductions were implemented specifically in the main reservoir branch (Branch 1 – Tâmega River), where the majority of nutrient and organic inputs occur. Each sediment model—SD, Zero-order, First-order, and Hybrid—was run under baseline conditions and under both reduction scenarios. The impact on DO dynamics was evaluated using time series of depth- and segment-averaged DO concentrations. Each model-SD, Zeroorder, First-order, and Hybrid—was run under baseline conditions and then under this reduced-loading scenario. The evaluation of model performance, along with the results

of the sensitivity analysis, provided deeper insights into simulating SOD dynamics using the sediment diagenesis approach in comparison to the other SOD formulations.

The results were well presented visually and with plenty of discussion provided by the authors. However, I was unable to follow what was being discussed and shown regarding TOC and POC in Section 3.3 (lines 310 to 325). It was not clear to me if the black line and circles show TOC or POC as the legend (TOC) and y-axis/caption (POC) are for different variables, nor could I follow how it was concluded that the particulate fraction of organic carbon constituted 40% of the TOC. Lines 310 to 320 and Figure 4 should be clarified.

Author response: Thank you for your comment. We agree with the reviewer that this section was not sufficiently clear. During the sediment characterization, we assumed that the POC was equal to the observed TOC value, primarily because POC was not directly measured. The simulation that used the POC value derived from the observed TOC data is Run 5, which was calibrated and referred to as the W2 SD model (Run 5 – baseline). Run 2 produced the best performance based on the NSE and RMSE criteria. The mean sediment concentration used to characterize Run 5 was 17,712 mg/L, calculated as the average of the following TOC values: 24,000; 20,064; 21,408; 19,296; and 5,376 mg/L (see Table 3). For Run 2, the mean value was 7,085 mg/L, based on the average of 9,600; 8,026; 8,563; 7,718; and 2,150 mg/L (also from Table 3). The value used in Run 2 (7,085 mg/L) is approximately 40% of the value used in Run 5 (17,712 mg/L). Therefore, if Run 5 assumes that POC is equal to the full TOC value, and Run 2 provides the best fit to observed data, it is reasonable to infer that the initial POC value should be approximately 40% of the observed TOC. Additionally, Figure 4 was corrected—the legend now reads initial POC value instead of initial TOC. This section has been revised as follows to improve clarity. Please note that an issue was identified with the initial metric estimates, and all performance metrics were recalculated accordingly. The manuscript results have been updated to reflect this correction.

#### PAGE 20 LINE 351-371

"The SOD values strongly influence the water column DO; therefore, this parameter was considered to support this analysis. Figure 7 shows the SOD values from the reservoir bottom layer, predicted by the SD model for Runs 1 to 6, compared with the RMSE (Fig7A) and the NSE (Fig7B) values obtained between the predicted water column DO profiles and the mean initial POC values (across all sites values) for each run. These results suggest that Run 4 was the best modeling solution. Considering the results obtained for Run 5 (baseline), Run 4 reduced the RMSE from 2.015 mg/L (Run 5) to 2.011 mg/L (Run 4) and increased the NSE from 0.714 (Run 5) to 0.716 (Run 4). The average SOD value in the bottom layer of the reservoir (across all model segments) decreased from 1.162 g  $O_2/m^2$ day (Run 5) to 1.071 g  $O_2/m^2$ day (Run 4). Although the reduction is modest and had only a minor effect on the DO profile predictions (Fig. 9), it suggests that the initial POC values used in Run 5 were likely overestimated. This outcome aligns with the assumption made in Run 5, where all observed TOC was considered to exist entirely as POC. In contrast, Run 4 was characterized using a lower average sediment concentration. Specifically, the mean

value used in Run 4 (14170 mg/L) represents approximately 80% of the TOC value used in Run 5 (17712 mg/L), which was derived from observed TOC measurements (see Table 3). This comparison suggests that a more realistic estimate is that about 80% of the total organic carbon exists in particulate form, with the remainder composed of dissolved organic carbon. Run 4 and Run 5 show negligible differences in the predicted water temperature and DO profiles (Fig. 8 and 9). Table A10 presents the performance metrics for water temperature, DO, TN, TP, BOD<sub>5</sub>, and Chl-a obtained for Run 4. While this run improved the DO simulation in the reservoir, results for the other constituents remained very similar to those of Run 5 (baseline). Overall, the water temperature profiles are very well captured by all models (Fig. 8), reflecting their robustness in simulating thermal dynamics. In contrast, DO profiles are more complex and challenging to model due to their sensitivity to multiple interacting processes. Nevertheless, the models were able to capture the main seasonal and vertical trends in DO concentrations, including stratification patterns and general oxygen depletion in bottom layers during warmer months (Fig.9)."

Furthermore, while this paper is of interest for those of us using the CE-QUAL-W2 model, and could be cross-transferred to other waterbodies using the CE-QUAL-W2 model, the authors did not attempt to place their findings in the context of the broader water-quality modelling science, and how this work may contribute. I think this should be added to the discussion to strengthen this submission.

**Author response:** Thank you for this comment. We appreciate your suggestion to broaden the context of our findings within the field of water-quality modeling science. In response, we have revised the discussion to clarify how our study contributes more broadly to sediment oxygen demand modeling in CE-QUAL-W2 and to the wider field of water-quality modeling.

We now emphasize that while the study's primary focus was to evaluate the performance of the sediment diagenesis (SD) model, the inclusion of alternative formulations (Zero-order, First-order, and Hybrid models) not only allowed for a direct performance comparison but also provided practical insights into model applicability under varying system conditions. We discuss the relative strengths and limitations of each approach, emphasizing how their performance relates to model structure, data availability, and application scale (e.g., short- vs long-term simulations).

Additionally, we highlight how the findings align with broader principles in ecological and environmental modeling, such as model parsimony (Burnham and Henderson, 2002) and user expertise (Piccolroaz et al., 2024). These insights are transferable to other water bodies and modeling frameworks, particularly where users face similar trade-offs between model complexity and data constraints. These revisions aim to better position the study within the broader water-quality modeling literature and demonstrate its relevance beyond the specific application to our study reservoir.

#### PAGE 31 LINE 538-561

It is important to emphasize that this study was primarily designed to evaluate the performance of the sediment diagenesis model. However, by incorporating alternative SOD modeling approaches, it inevitably allowed for a comparative ranking of model performance, highlighting the relative strengths and limitations of each formulation. The performance limitations of the Zero-order and First-order models can be attributed to their structural simplifications. Specifically, the Zero-order model's strong

temperature dependence, coupled with its disregard for the dynamics of organic matter loading, reduces its ability to capture temporal variability driven by external inputs. Similarly, the lower accuracy of the First-order model likely stems from its exclusion of anaerobic decay processes and limited representation of sediment biogeochemistry, which becomes especially relevant under low-oxygen conditions. The Hybrid model outperformed all other approaches. Considering the principle of parsimony (Occam's razor) (Burnham and Henderson, 2002), the simpler Hybrid model proved more effective than the complex SD model, making it the preferred choice for simulating SOD dynamics in the reservoir. These findings underscore the importance of selecting models that align with the specific characteristics of the system being studied. Simpler models, such as the Hybrid model, may be adequate for steady-state conditions, short- to medium-term forecasts, or scenarios with limited data. The zero-order SOD component of the Hybrid model relies solely on temperature and is decoupled from the water column; therefore, in long-term simulations, this limitation can gradually undermine the model's accuracy. In contrast, the SD model may be more appropriate when the goal is to explore system-wide feedbacks and temporal dynamics over extended periods-especially those involving sediment accumulation and nutrient cycling-where it may provide valuable insight into underlying processes, provided that sufficient observational data become available to support its additional state variables. Moreover, a model's effectiveness heavily depends on the user's familiarity with its structure and their skill in calibration. Yet, it is unrealistic to expect researchers to master the implementation of every available modeling approach. As such, comparisons between models should be interpreted carefully, acknowledging the influence of user expertise on performance outcomes (Piccolroaz et al. 2024). Overall, to strengthen the analysis, it is recommended that users apply all available SOD modeling approaches in the case of the CE-QUAL-W2 model and assess the model's behavior. This comprehensive evaluation provides a solid foundation for further modeling efforts and helps ensure that the chosen approach is well-suited to the system's specific conditions and objectives.

Finally, there were numerous editorial errors throughout the manuscript that need addressing; a few examples below, although there are more:

1) Discrepancies in the citations and the bibliography. Examples include:

Line 54: Should be just 'Zoubabi-Aloui'

Line 73: I believe this should be 'Wells 2021'

Line 139: 'Adelena et al. 2015', does not appear in the bibliography

Line: 142: Should be 'Berger and Wells 2014'

Etc.

**Author response:** Thank you for bringing this to our attention. We have carefully reviewed the entire manuscript and addressed the editorial issues you noted, including correcting the discrepancies between in-text citations and the bibliography. Specifically:

Line 65: Corrected to 'Zouabi-Aloui'

Line 73: The sentence with this reference was removed.

Line 139: Removed 'Adelena et al. 2015' as it does not appear in the bibliography

Line 126: Corrected to 'Berger and Wells 2014'

In addition, we have conducted a thorough review to identify and fix any remaining citation and formatting inconsistencies throughout the manuscript and reference list. We appreciate your careful reading and helpful comments.

2) Also seems to be some discrepancies in the Section number cross-refs (for example Lines 106 and 109, refer to Section 1.2.3 and 1.2.4, respectively, with other instances throughout the document).

**Author response:** Thank you for pointing this out. The section numbers have been corrected accordingly.

3) Line 285 .. for DO, "...the W2\_zero-order model performed slightly better according to all metrics, with the exception of PBIAS". I am wondering if the authors mean R2 (which is marginally worse than the SD model)? Perhaps it is me that is mistaken, but for PBIAS it seems the zero-order model performs better for DO than the SD model, with the assumption the goal is a low-bias model. This should be clarified.

**Author response:** Thank you for pointing this out. You are correct to note the inconsistency. Following the inclusion of three additional SOD models and a recalculation of the performance metrics, we have revised the sentence in question to reflect the updated results more accurately. The original statement has been replaced with the following text to clarify the comparative performance of the models with respect to DO, including a corrected interpretation of PBIAS and R<sup>2</sup> values.

### PAGE 13 LINE 286 to PAGE 14 LINE 312

"Tables A2 through A8 display the most significant CE-QUAL-W2 coefficients obtained after the calibration process. The results of the calibration process for all models, are presented in Table 4 and Table A9 and illustrated in figures 3 to 6 and figures 8 and 9. The performance metrics for water temperature across the different sediment models show consistent accuracy, with NSE and R<sup>2</sup> values ranging from 0.95 to 0.96 and minimal variation across models. The RMSE and MAE for temperature also remain low, indicating reliable thermal performance regardless of the sediment model applied. In contrast, DO predictions show more variability. The Hybrid model achieved the best overall DO performance, with the highest NSE  $(0.76 \pm 0.30)$  and R<sup>2</sup>  $(0.76 \pm 0.31)$ , as well as the lowest RMSE  $(1.87 \pm 0.72)$  and MAE  $(1.22 \pm 0.55)$ , while maintaining a near-zero PBIAS ( $-0.55 \pm 11.14$ ), indicating minimal systemic bias. The Zero-order model also performed reasonably well, with slightly lower error metrics than the SD model. The First-order model, however, showed the weakest DO performance, with a lower NSE ( $0.68 \pm 0.22$ ), higher RMSE ( $2.15 \pm 0.82$ ), and a significant negative PBIAS (-12.17  $\pm$  15.44), suggesting an underestimation of oxygen concentrations. Overall, the results suggest that while temperature simulation is robust across all models, DO dynamics are better captured using the Hybrid or Zero-order models, with the Hybrid model offering the most balanced and accurate representation under the tested conditions. However, the differences in performance metrics for DO among the models are relatively small and often fall within overlapping standard deviations, with the exception of the First-order model, which consistently shows lower accuracy and higher bias, suggesting that while the Hybrid model offers slightly better overall performance, the improvements over the SD and Zero-order models are modest and should be interpreted with caution. In terms of nutrient dynamics, the Hybrid and Zeroorder models improve TN and TP predictions relative to the SD and First-order models. The Hybrid model, for example, improves TN R<sup>2</sup> to 0.31 and TP to 0.27, although the associated biases remain significant (e.g., -18.75% for TN and +36.49% for TP). BOD<sub>5</sub> and Chl-a remain poorly simulated across all models, with R<sup>2</sup> values consistently low ( $\leq 0.06$  for Chl-a and  $\leq 0.03$  for BOD<sub>5</sub>), and large PBIAS values, particularly in the SD and First-order configurations. The Zero-order model slightly reduces bias in Chl-a and Total N compared to the SD model but performs poorly for TP due to a large overestimation (PBIAS = 103.43\%) (Fig.4D). Notably, the SD and First-order models failed to reproduce observed phosphorus release events from sediments on 2018-09-18, 2020-09-08, and 2021-08-31 (Figures 3D and 5D). In contrast, the Hybrid model successfully captured these events by modeling phosphorus release as a linear function of SOD, providing a more realistic representation of sediment–water nutrient interactions (Fig.6D). Overall, while no model fully captures the complexity of all constituents, the Hybrid model consistently provides the most balanced and improved representation, particularly for DO and nutrient parameters."

4) Line 312: It should read Fig4b after NSE. **Author response:** Thank you for point this out. The sentence was corrected.

# PAGE 20 LINE 351-354

"The SOD values strongly influence the water column DO; therefore, this parameter was considered to support this analysis. Figure 7 shows the SOD values from the reservoir bottom layer, predicted by the SD model for Runs 1 to 6, compared with the RMSE (Fig7A) and the NSE (Fig7B) values obtained between the predicted water column DO profiles and the mean initial POC values (across all sites values) for each run."