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The Ross Sea and Amundsen Sea Ice-Sea Model (RAISE v1.0): a high-resolution ocean-sea ice-ice shelf coupling model for simulating the Dense Shelf Water and Antarctic Bottom Water in the Ross Sea, Antarctica

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Abstract

The Ross Sea in the Southern Ocean is a key region for the formation of the Antarctic Bottom Water (AABW) that supplies the lower limb of the global overturning circulation, and contributes to 20–40% of the total AABW production. AABW primarily originates from polynyas characterized by strong sea ice production and ocean convection that lead to the formation of Dense Shelf Water (DSW), the precursor of the AABW. The production and characteristics of DSW in the Ross Sea and AABW in the surrounding ocean are significantly affected by ice shelf meltwater transported from the nearby Amundsen Sea. The scarcity of long-term observations in the Ross Sea hinders the understanding of DSW and AABW variability, and numerical models are needed to explore the multi-scale variations of these water masses and the forcing mechanisms. In this work, a coupled high-resolution ocean-sea ice-ice shelf model is developed for the Ross Sea and Amundsen Sea, named RAISE (**R**oss-**A**mundsen Sea **I**ce-**Se**a Model). Detailed descriptions of the model configurations are provided. This study represents a first attempt to thoroughly evaluate the DSW properties and associated ocean-sea ice-ice shelf coupling processes among modelling studies in the Southern Ocean, using multiple datasets including satellite-based observations and hydrographic measurements from the World Ocean Database, Argo profilers and seal-tag sensors. In particular, the modelled temporal variations of DSW in polynyas and its key export passages are compared with long-term mooring observations, which are not seen in DSW studies before. RAISE demonstrates high skills in simulating the observed sea ice production rates in the Ross Sea polynyas, and the modelled spatial and temporal variability of DSW are significantly and strongly correlated with observations. RAISE can also effectively capture the observed long-term freshening trend of DSW prior to 2014 and the rebounding of DSW salinity after 2014. RAISE shows an overestimate of

DSW density in the Ross Sea, which is associated with underestimate of ice shelf melting rates in the Amundsen Sea, missing ice shelf calving processes and subglacial discharge in the model. A sensitivity experiment simulating increased freshwater discharge from these processes can significantly improve the simulation of DSW properties.

1 Introduction

The Southern Ocean is the production site of bottom water mass in the global ocean — the Antarctic Bottom Water (AABW), which supplies the lower limb of the global thermohaline circulation. AABW originates predominantly from polynyas on the Antarctic continental shelves or in open ocean regions, defined as low sea ice concentration areas that allow large ocean-atmosphere heat fluxes in the cold seasons and thus significant new ice production. Brine rejection during ice formation drives deep ocean convection and the formation of the Dense Shelf Water (DSW) in the polynya regions. DSW is subsequently transported across the continental slope and into the open ocean, entraining other water masses such as the Ice Shelf Water (ISW) and the Circumpolar Deep Water (CDW) and finally forming AABW.

The Ross Sea is a key region for the formation of DSW and contributes to 20–40% of the global AABW production (Meredith et al., 2013; Solodoch et al., 2022). DSW is primarily formed in two coastal polynyas, the Terra Nova Bay polynya (TNBP) off the Victoria Land and the Ross Ice Shelf polynya (RISP, also called the Ross Sea polynya) off the largest ice shelf in Antarctica (Fig. 1). Intense katabatic winds blowing from the Transantarctic Mountains or the ice shelf toward the ocean drive the formation of these polynyas, enhancing sea ice production and the DSW formation. DSW is then transported along three deep troughs on the Ross Sea shelf — the Drygalski Trough, the Joides Trough and the Glomar Challenger Trough (Fig. 1) to the slope, where it sinks down the slope to the ocean bottom or is carried to East Antarctic regions by the westward Antarctic Slope Current, forming AABW in the Pacific sector of the Southern Ocean. The formation and properties of DSW in the Ross Sea are significantly affected by freshwater input from the Amundsen Sea, originating from the melting of ice shelves in the Amundson

Sea (Nakayama et al., 2014). Under surface warming and enhanced on-shelf intrusion of the warm CDW, there has been accelerated melting of ice shelves in the Amundsen Sea, and the increased meltwater transport into the Ross Sea causes a freshening trend of DSW in the Ross Sea over the past few decades (Jacobs et al., 2022). Such trend is found to be reversed in recent years due to interactions between major climate modes, leading to changes in winds and sea ice exchange between these seas (Silvano et al., 2020; Guo et al., 2020).

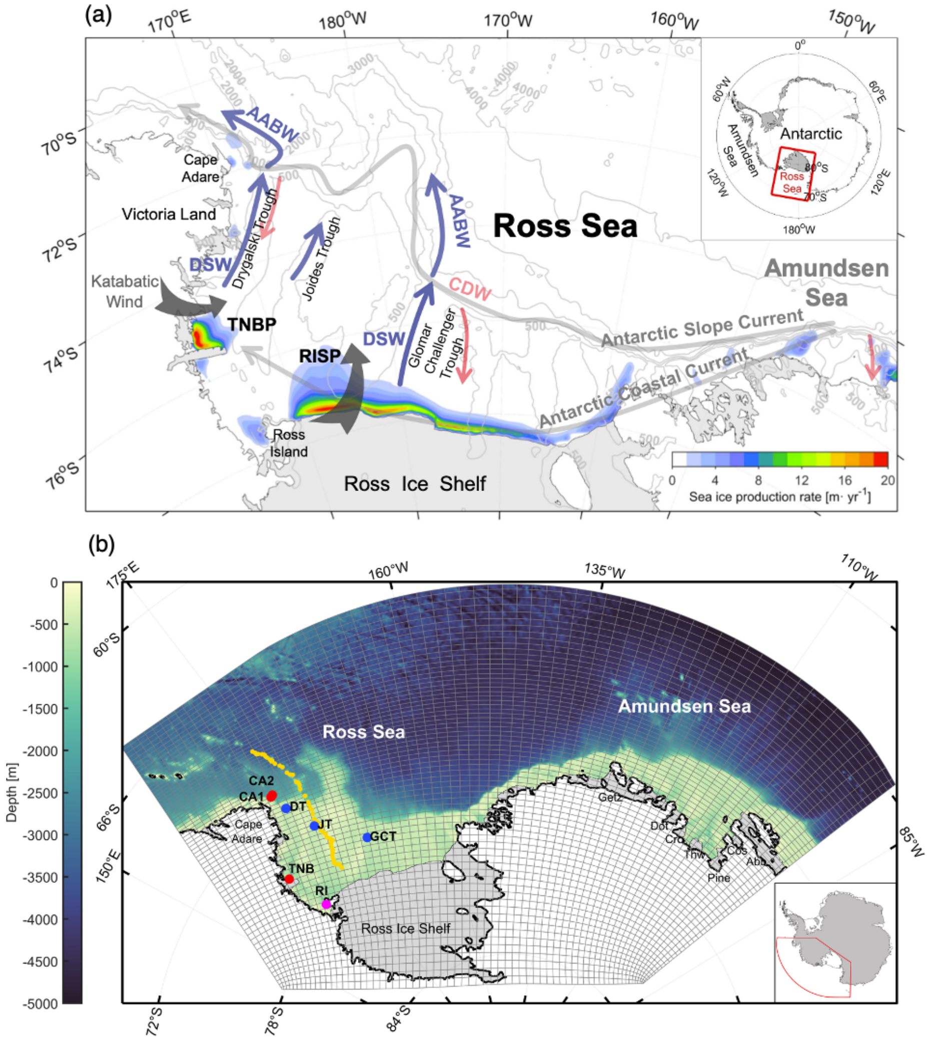

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Figure 1. (a) Map of the Ross Sea and the Amundsen Sea. The bathymetric contours are shown as thin grey lines. Grey shading indicates ice shelves. The movement of dense shelf water (DSW) and Antarctic Bottom Water (AABW) are illustrated by blue arrows, and the movement of circumpolar deep water (CDW) is illustrated by red arrows. The Antarctic Slope Current and coastal current are illustrated by thick grey arrows. TNBP denotes the Terra Nova Bay polynya, and RISP denotes the Ross Ice Shelf polynya. Color indicates the climatological annual-accumulative sea ice production from satellite estimates based on the AMSR-E data by Nakata et al. (2021). **(b)** The RAISE model domain and grid. Grey color indicates ice shelves including the Ross Ice Shelf, the Getz Ice Shelf (Getz), the Dotson Ice Shelf (Dot), the Crosson Ice Shelf (Cro), the Thwaites Ice Shelf (Thw), the Cosgrove Ice Shelf (Cos) and the Pine Island Ice Shelf (Pine). The yellow line denotes the cross-shore transect from the MEOP dataset. The red dots indicate mooring locations in the Terra Nova Bay and on the Ross Sea slope near Cape Adare, the magenta dot indicates the long-term observational site near the Ross Island mentioned in Jacobs et al. (2022), and the blue dots indicate locations in the three troughs of the Ross Sea for examining the long-term variation of DSW.

In recent years, several ocean-sea ice-ice shelf coupling models have been developed for the Ross Sea. Dinniman et al. (2018) developed a 5-km coupled ocean-sea ice-ice shelf model for the Ross Sea using the Regional Ocean Modelling System (ROMS), on the basis of an ocean circulation model (Dinniman et al., 2004) and a coupled ocean-ice shelf model (Dinniman et al., 2007; Dinniman et al., 2011). This model is employed to study the future changes of atmospheric forcings and freshwater inflow on the formation of DSW, the on-shelf intrusion of CDW and basal melting of the Ross Ice Shelf; changes in the freshwater inflow from the Amundsen Sea are simulated by reducing salinity at the eastern and western boundaries of the Ross Sea. Yan et al. (2023) developed a coupled ocean-sea ice-ice shelf model for the Ross Sea based on the Massachusetts Institute of Technology General Circulation Model (MITgcm), also with a horizontal resolution of approximately 5 km. This model is to analyze the seasonality of salinity budget to understand the controlling mechanisms for the bottom water variations.

As DSW formation is significantly affected by the meltwater inflow from the Amundsen Sea ice shelves, to achieve realistic simulations of the DSW features, it would be necessary to include processes in the Amundsen Sea that directly simulate the melting of ice shelves and the impacts of these meltwater on the Ross Sea shelf and slope environments.

Given the concerns above, in this work, we developed a coupled ocean-sea ice-ice shelf high-resolution model covering both the Ross Sea and the Amundsen Sea, named as RAISE (**R**oss-**A**mundsen Sea **I**ce-**Se**a Model). Compared to earlier modelling work, this study for the first time evaluates the performance of the coupled model on simulating DSW properties, particularly its temporal variability, in the Ross Sea with cruise and mooring observations; the associated sea ice production rates are also assessed using satellite-retrieved datasets. It is found that the model can well capture the variations of sea ice production rates in the Ross Sea polynyas, the long-term freshening trend of DSW as well as the rebounding of salinity after 2014 that is revealed by observations. DSW variations at higher frequencies in the DSW formation sites and major export passages on the slope are also well represented by the model compared to mooring observations.

2 Model setup

2.1 The ocean model

The ocean model of RAISE is an implementation of the Regional Ocean Modelling System (ROMS), which is a primitive-equation, finite-volume model with a terrain-following vertical coordinate system (Haidvogel et al. 2008; Shchepetkin and McWilliams 2009). The model domain covers the Ross Sea, the Amundsen Sea and the adjacent open ocean in the Pacific sector of the Southern Ocean (Fig. 1b).

The model horizontal resolution varies from ~2 km in the coastal areas to ~6 km in the open ocean. This model includes 32 vertical layers, with variable thicknesses that depend on water column depth and are smaller at the surface and bottom. On the Ross Sea shelf and slope, the thickness of the bottom layer varies from 10 m over banks to 60 m in the Drygalski Trough. In the open ocean, the bottom layer thickness varies from 100 m to 200 m. The model bathymetry and ice shelf draft are interpolated from BedMachine-Antarctica-v2.0 (Morlighem et al. 2020), which has a spatial resolution of 500 m on the Antarctic Polar Stereographic projection. Vertical mixing of momentum and tracers are computed using the K-profile parameterization (KPP) mixing scheme (Large et al. 1994).

Initial conditions of temperature and salinity come from simulation from a circum-Antarctic ocean-sea ice-ice shelf model with a horizontal resolution of 10 km (Dinniman et al. 2015). Temperature, salinity, sea surface height and depth-averaged velocity for the open boundaries are derived from daily mean data of the Met Office Global Seasonal forecasting system version 5 (Glosea5) (Maclachlan et al. 2015). Hydrographic simulations from a couple of global ocean-sea ice reanalysis products are compared with the EN4 dataset for the Ross Sea, the Amundsen Sea and the nearby open ocean, including C-GLORS, GECCO3, GLORYS12V1, ORAS5 and Glosea5, and it is found that Glosea5 has the overall best performance in simulating temperature and salinity in this region (Fig. 2). Below 1000 m (the isobath at the shelf break), the average root mean square errors of temperature and salinity over the model domain relative to the EN4 dataset are 0.165 °C and 0.054 psu, respectively. Tidal forcing is derived from the global tidal solution TPXO9 (Egbert and Erofeeva 2002), including 15 major tidal constituents (K1, S2, M4, P1, O1, Q1, S1, MS4, MN4, MF, 2N2, M2, K2, MM and N2) forced at the open boundaries via sea surface height and barotropic velocity. Atmospheric forcing fields for the model are obtained from the

ERA5 reanalysis product, including 3-hourly data for surface wind and air temperature, and daily data for sea level pressure, humidity, cloudiness and precipitation. Surface temperature and salinity are nudged to a monthly mean climatology, provided by the World Ocean Atlas 2018 (WOA18) (Locarnini et al., 2018). Due to the limited observational data in the Antarctic shelf regions in WOA18, which are mostly collected
145 during the summer, surface nudging is only applied to the off-shelf regions.

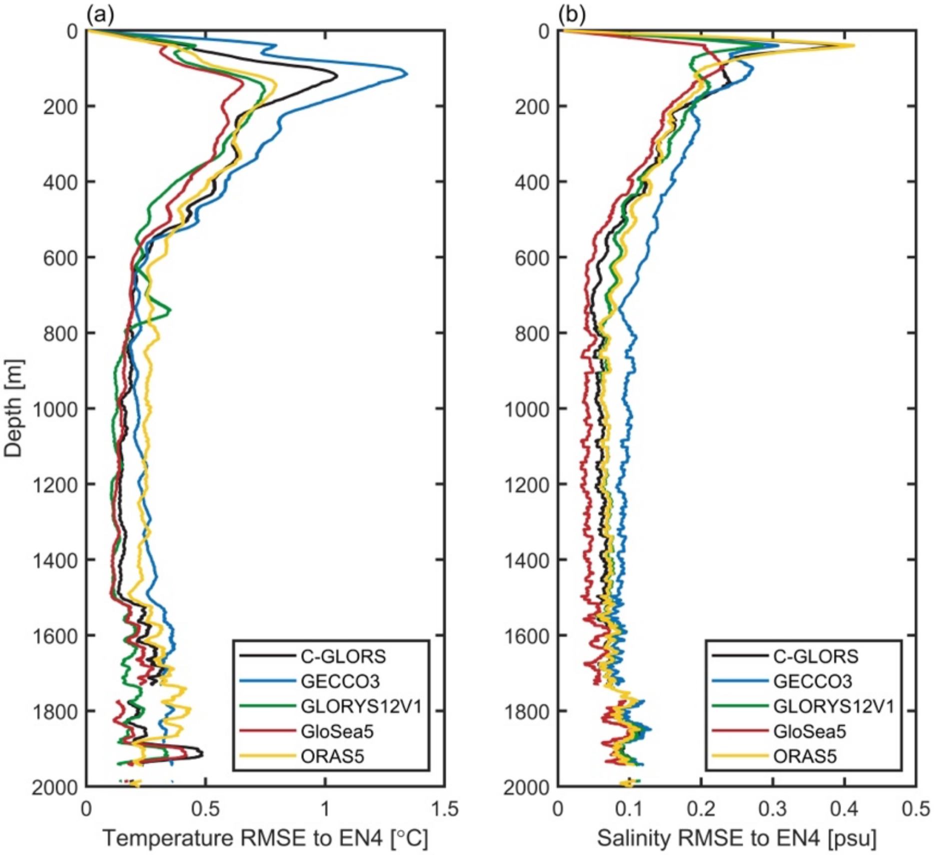

Figure 2. Vertical profiles of root mean square errors of **(a)** temperature and **(b)** salinity from C-GLORS, GECCO3, GLORYS12V1, GloSea5 and ORAS5 relative to the EN4 dataset.

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Numerical dyes are released in the model to trace the movement and distributions of major water masses, including the DSW, CDW and ISW. DSW dyes are released at model grid points in the polynya areas where sea ice production occurs, and the dye concentration is proportional to the ice production rate. CDW dyes are released at grid points in the open ocean (offshore of the 1000-m isobath) where temperatures are greater than 0°C, with the initial dye values set as 100. ISW dyes are released at grid point where ice shelf exists, and the dye concentration is proportional to the ice shelf basal melting rate.

2.2 The sea ice module

The sea ice module (Budgell 2005) of RAISE is based on two-layer ice thermodynamics and a molecular sublayer beneath the sea ice described by Mellor and Kantha (1989) and Häkkinen and Mellor (1992), and elastic-viscous-plastic rheology for ice dynamics (Hunke and Dukowicz, 1997; Hunke, 2001). A snow layer is included, and snow is converted into ice when the snow-ice interface is below sea level. The sea-ice model also includes a simple estimate of frazil ice production (Steele et al. 1989). Boundary conditions of sea ice concentration are obtained from daily data of the Advanced Microwave Scanning Radiometer-Earth Observing System (AMSR-E) and the Advanced Microwave Scanning Radiometer 2 (AMSR2) dataset, provided by the University of Bremen using the ARTIST sea ice algorithm (Spreen et al. 2008).

2.3 The ice shelf module

The ice shelves in the model are static, and there are no thickness or extent changes of an ice shelf over time. Configurations of the ice shelf module follow those in Dinniman et al. (2007) and Dinniman et al. (2011). The hydrostatic pressure at the base of the ice shelf is computed based on the assumption that ice is in isostatic equilibrium. Friction between the ice shelf and the water is computed as a quadratic stress, and is applied as a body force over the top three ocean layers beneath the ice shelf. At the interface between the ocean and ice shelf, a parameterization scheme with a viscous sublayer model is used with three equations representing the conservation of heat across the ocean-ice shelf boundary, the conservation of salt and a linearized version of the freezing point of sea water as a function of salinity and pressure (Holland and Jenkins, 1999).

Using the configurations described in Section 2.1–2.3, the model is integrated from 2003 to 2019 starting from a 5-year spin-up simulation, and the model simulation is referred to as the CTRL simulation. A sensitivity experiment Melt+ is conducted in which the basal melting rates of ice shelves in the Amundsen Sea are increased, in order to explore the effects of underrepresented ice shelf melting and unrepresented ice shelf calving on freshwater fluxes and thus the DSW formation; details of this experiment are provided in Section 4.5.

3 Validation datasets and methodology

3.1 Ocean

For the validations of hydrographic properties and water masses in the study region including the DSW, we use hydrography data of the World Ocean Database available at the National Centers for Environmental Information (https://www.ncei.noaa.gov/products/world-ocean-database) and Argo data provided by the International Argo Program and the national programs that contribute to it (https://argo.ucsd.edu). Hydrographic measurements along a cross-shelf transect (Fig. 1b) from the Marine Mammals Exploring the Oceans Pole to Pole (MEOP) elephant-seal data (Treasure et al. 2017) are also used to assess the simulated water masses in the Ross Sea. Salinity data collected near the Ross

Island during summer (December to February) from Jacobs et al. (2022) are employed to evaluate the interannual variation and trend of the DSW salinity from 2003 to 2019. High-frequency variability of DSW formed in the Terra Nova Bay polynya is evaluated by hydrography measurements from a mooring (Fig. 1b) deployed by the MORSea Project of the Italian National Research Antarctic Program for 2008–2016 High-frequency variability of DSW on the slope is evaluated by measurements from two moorings (Fig. 1b) deployed by the U.S. Cape Adare Long-term Mooring (CALM) program for 2008–2011.

3.2 Sea ice and ice shelf

Model simulations of sea ice concentration are compared with the AMSR-E and AMSR2 datasets provided by the University of Bremen, available as daily data with a horizontal resolution of 6.25 km (Spreen et al., 2008). The simulations of sea ice production (SIP) are evaluated against the satellite-retrieved SIP dataset provided by the Institute of Low Temperature Science at the Hokkaido University (http://www.lowtem.hokudai.ac.jp/wwwod/polar-seaflux/southern_ocean_new/AMSR-POLAR/), which are calculated using the AMSR-E data for SIC and the ERA5 data for heat fluxes. This dataset includes estimates of frazil ice production (Nakata et al., 2019; Nakata et al., 2021). The data are provided monthly on the polar stereographic grid with a spatial resolution of 6.25 km, and are available in the period of 2003–2010. For the assessment of temporal variations of SIP, we use a SIP product spanning a longer period, i.e. from 1992 to 2013 as described in Tamura et al. (2016). For this product, sea ice production is estimated by the heat flux calculation using the SSM/I passive microwave data and atmospheric reanalysis products including ERA-40, ERA-interim and NCEP24; frazil ice is not included in this dataset. Melting rates of ice shelves in the Amundsen Sea are compared with the estimates from Rignot et al. (2013), Depoorter et al. (2013) and Liu et al. (2015) based on satellite observations.

4 Simulation results

4.1 Sea ice concentration and production

The modelled spatial distributions of sea ice concentration (SIC) during the ice freezing seasons are shown in Fig. 3, assessed against SIC distributions derived from the AMSR-E/AMSR2 products. Compared with the satellite data (Fig. 3a–c), the RAISE model overall underestimates SIC in most of the model domain, which is attributed to high temperature bias in the surface ocean of the model as will be presented in Section 4.2. Correspondingly, the model underestimates the sea ice extent (Fig. 3g), but demonstrates good skill in capturing the temporal variability of the ice extent. Correlation between the modelled and satellite-derived temporal variations of sea ice area reaches 0.91 (P<0.001).

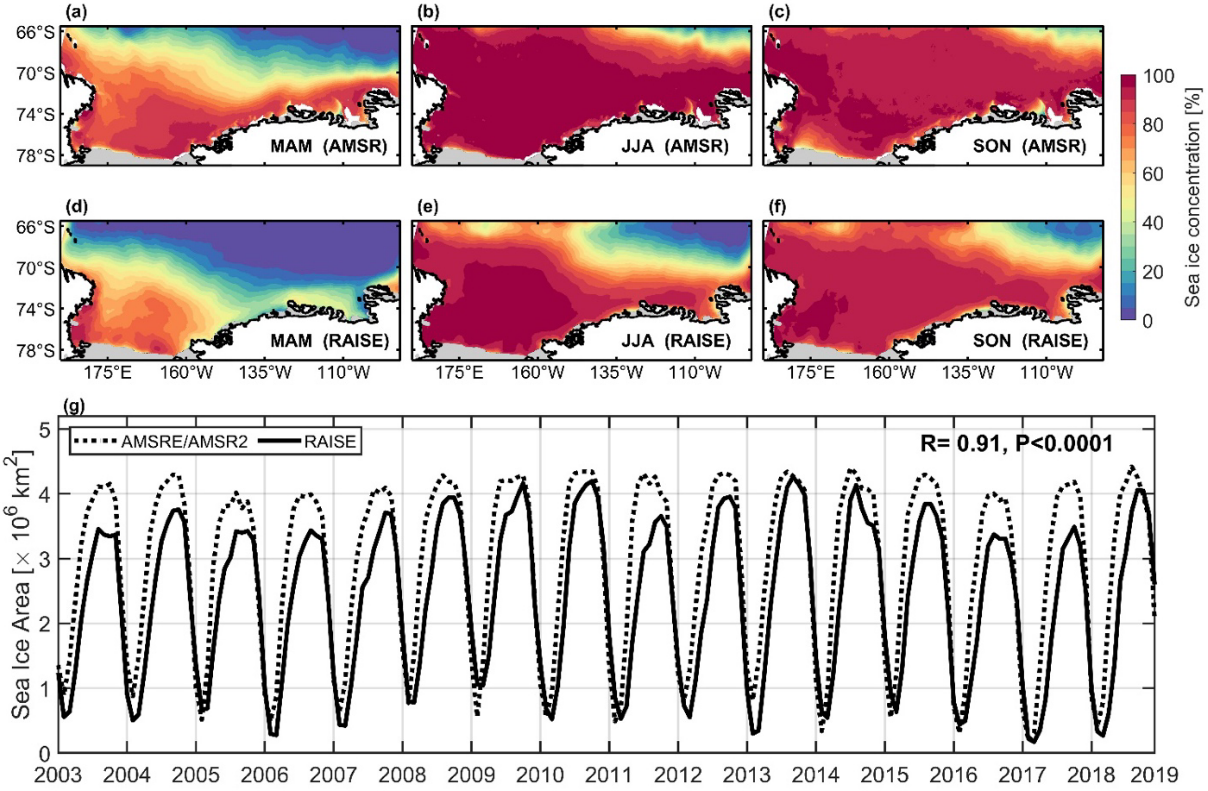
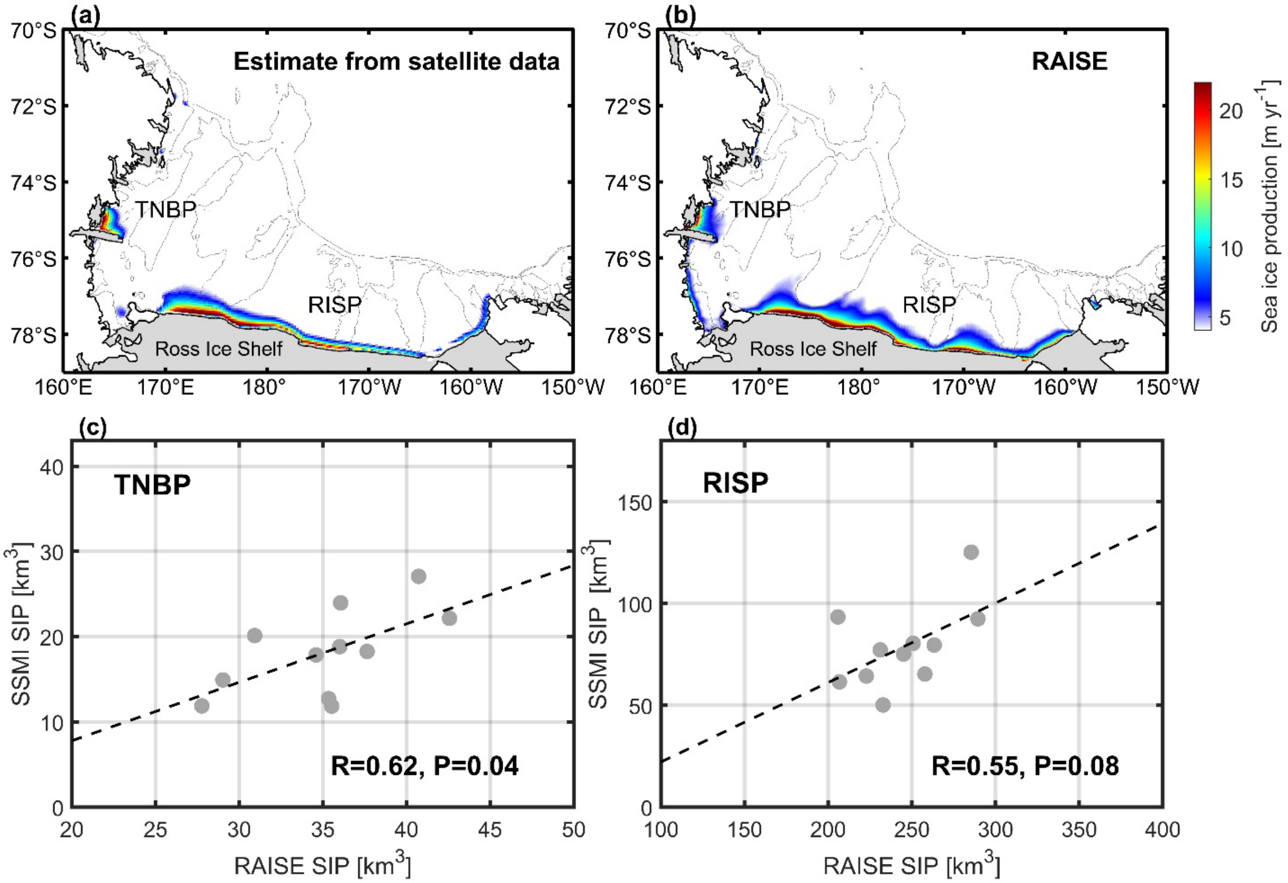


Figure 3. Seasonal mean sea ice concentration from **(a–c)** the AMSR product and **(d–f)** the RAISE model simulation for austral **(a, d)** autumn, **(b, e)** winter and **(c, f)** spring averaged over 2003–2019. **(g)** Time series of sea ice area from the AMSR-E/AMSR2 and from the RAISE simulation. The correlation coefficient (R) and p-value (P) between these time series are provided.

Sea ice production is the determinant factor for the DSW formation in the Southern Ocean. Comparisons between the modelled and satellite-estimated annual accumulative SIP rates (over the freezing seasons March to October) averaged over 2003–2010 are shown in Fig. 4. It can be seen that the model can well simulate locations and shape of the two major formation sites of DSW in the Ross Sea, the TNBP and RISP, while the simulated SIP rates are higher than satellite estimates by 14.9 km^3 and 236 km^3 for the TNBP and RISP area-mean values, respectively. Such differences are on the one hand due to inadequate representations of sea ice thermodynamic and dynamic processes in the model, and on the other hand arise from missing processes in the satellite estimates. For example, satellite estimation does not include oceanic heat fluxes, and based on observed vertical temperature profiles in the Terra Nova Bay by Thompson et al. (2020), temperature in the subsurface layer in this region is lower than the surface layer, and hence there would be more sea ice production if the vertical oceanic heat fluxes are considered in the satellite retrieval algorithms. Interannual variations of the modelled annual accumulative SIP rates are significantly correlated with those from satellite estimates for both the TNBP (R=0.62, P=0.04) and RISP (R=0.55, P=0.08), demonstrating that the model can well simulate the temporal variability of ice production in the Ross Sea polynyas.



245 **Figure 4. (a)** Cumulative sea ice production in March-October averaged over 2003–2010 from satellite estimates. **(b)** The simulated cumulative sea ice production in March–October averaged over 2003–2019. **(c)** The scatter plot of cumulative sea ice production (unit: km^3) averaged over the Terra Nova Bay polynya from the model simulation versus that from satellite estimate. **(d)** Same as (c) but for the Ross Ice Shelf polynya. The correlation coefficient (R) and p-value (P) between modelled and satellite-retrieved data are provided for (c) and (d).

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4.2 Hydrography and water masses

The temperature-salinity diagrams of Ross Sea waters below the depth of 100 m from WOD and RAISE are shown in Fig. 5. The model can well depict the distributions of major water masses in the subsurface and bottom layers of the Ross Sea, including the salty DSW, the warm CDW and the cold ISW that are all components of the AABW. Compared to WOD, there is some deficiency for the model to capture the high-salinity ends (34.9–35 g kg^{-1}) of DSW and high-temperature ends (1.5–1.7 °C) of CDW. Model simulations of potential temperature and salinity are also compared with seal-tag CTD measurements from MEOP on a transect across the Ross Sea and the adjacent open ocean (Fig. 1b). The observed spatial structures of temperature and salinity are well captured by the model (Fig. 6), and the model also performs well in simulating the on-shelf intrusion of warm CDW and the distribution of dense DSW (defined as neutral density γ^n>28.27 kg m^{-3}). Compared to observations, the model slightly overestimates temperature and salinity in the surface layer, and underestimates these variables in the deep layer. The warm bias in the surface layer of the model is responsible for the underestimation of sea ice concentration in the model as shown in Fig. 3.

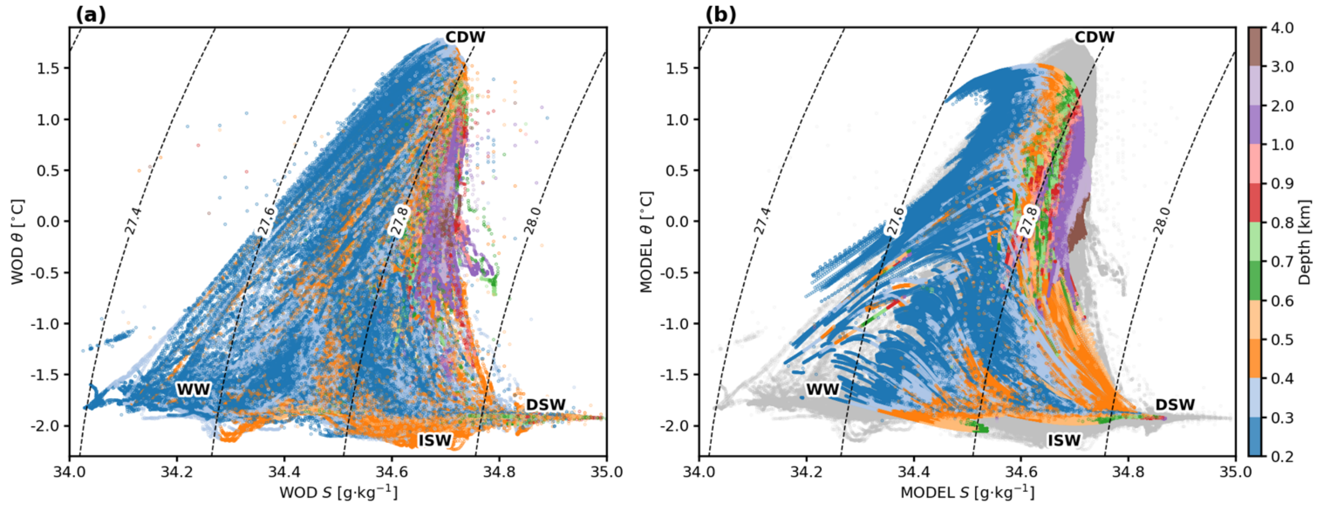

Figure 5. Temperature-salinity diagrams from the **(a)** WOD dataset and **(b)** the RAISE model simulation for the model domain. The major water masses are labeled, including the Dense Shelf Water (DSW), Circumpolar Deep Water (CDW), Ice Shelf Water (ISW) and Winter Water (WW).

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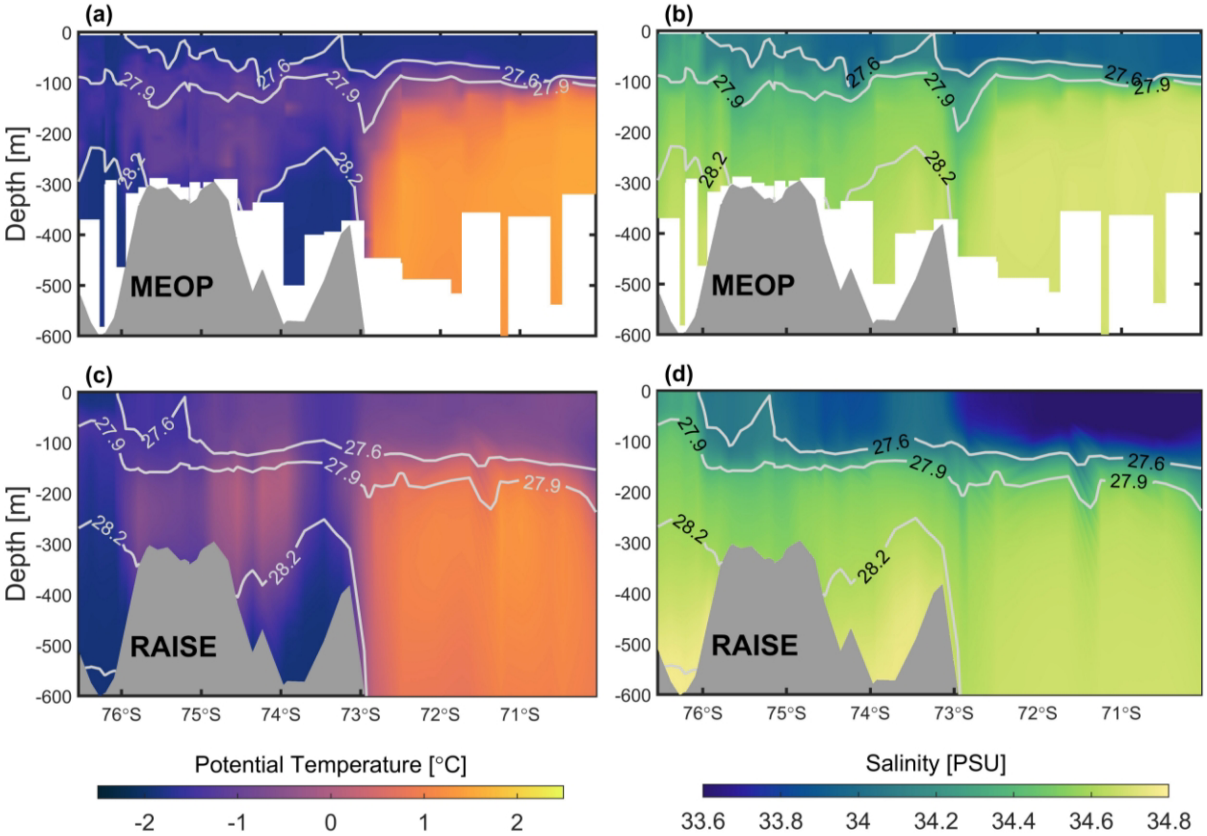


Figure 6. Vertical sections of **(a, c)** potential temperature and **(b, d)** salinity from **(a, b)** the MEOP data and **(c, d)** the RAISE model simulation along the cross-shore transect in the Ross Sea and open ocean (shown in Fig. 1b). Contours indicate isolines of neutral density.

The climatological (2003–2019 average) model simulations of potential temperature and salinity in the bottom 100-m layer (the layer mainly composed of DSW or AABW) on the Ross Sea shelf and slope and the adjacent open ocean are evaluated against climatology from the WOD and Argo data (Fig. 7). The spatial distributions of modelled temperature and salinity compare well with those from observations (Fig. 7a, c). Linear regression results reveal that the spatial correlation between the modelled and observed potential temperature can reach 0.91 (Fig. 7b), with a slope value of 0.86. The correlation between modelled and observed salinity is 0.80 (Fig. 7d), with a slope value slightly lower than that for temperature and approaching 0.7. These results suggest that the climatological spatial patterns of DSW hydrography in the Ross Sea and AABW hydrography in the open ocean can be well represented by the model.

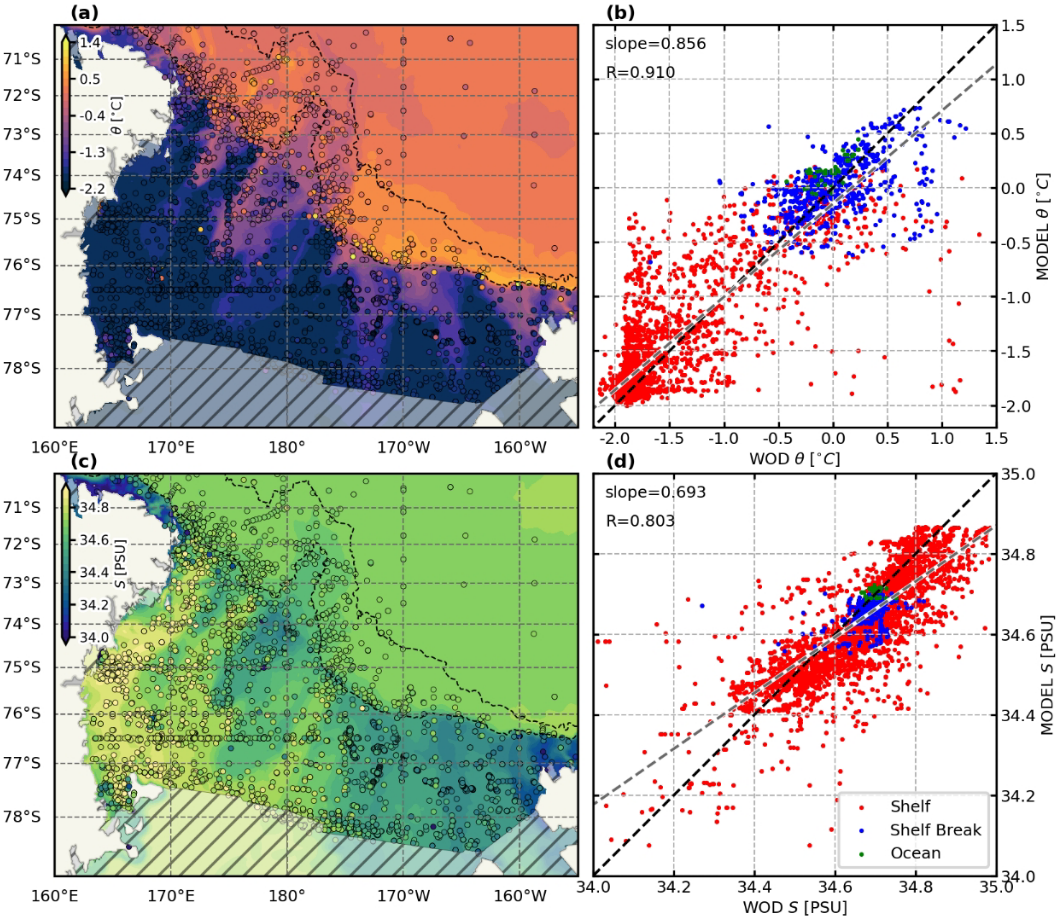


Figure 7. Comparison of modelled climatological fields with historical hydrographic observations. **(a, c)** Modelled climatological mean potential temperature and salinity fields in the bottom 100-m layer, overlaid with historical observations (circled points). Historical data include all CTD, XBT, MBT, drifting buoy, glider and ocean station profiling measurements from WOD and Argo data. **(b, d)** Scatter plots of modelled and observed temperature and salinity in the Ross Sea. Black dashed lines denote the 1:1 ratio line, and gray dashed lines denote linear regression fits. Color of points denotes the location of measurements; the three location types (shelf/shelf break/ocean) are separated by the 700 and 3000 m isolines on the continental shelf break (marked by dashed lines in a, c).

The intrusion of warm CDW is a major mechanism for causing ice shelf basal melting and generating the ISW, which subsequently affects the DSW characteristics. The CDW dyes are initially released at model grid points in the open ocean with temperature above 0°C, and as observed in Fig. 8a that shows the CDW dye concentrations 5 years after release at the 15th model level (200–400 m), CDW mainly intrudes onto the continental shelves via troughs and spreads over the shelf regions. High dye concentrations are also present beneath the Ross Ice Shelf north of 80°S in the eastern Ross Sea, while low dye concentrations penetrate much further south (to 82°S) beneath the ice shelf in the western Ross Sea, which could result from the role of a southward flow that has been reported in earlier studies (Budillon et al., 2003; Stewart et al., 2019). The presence of CDW under the ice shelf is essential for its basal melting rate. Figure 8b and 8c show the distributions of vertically integrated concentration of ISW dye originating from ice shelves in the Ross Sea and Amundsen Sea, respectively. There is more ISW beneath the eastern portion of the RIS compared to the western portion, exerting stronger influence on the hydrography over the Ross Sea shelf. The ISW dye concentrations are much higher in the Amundsen Sea and decrease dramatically towards the Ross Sea, indicating strong basal melting of ice shelves in the former region that provides fresh meltwater input to the Ross Sea, which plays a more important role in modulating the salinity and stratification on the Ross Sea shelf compared to the meltwater released from the RIS.

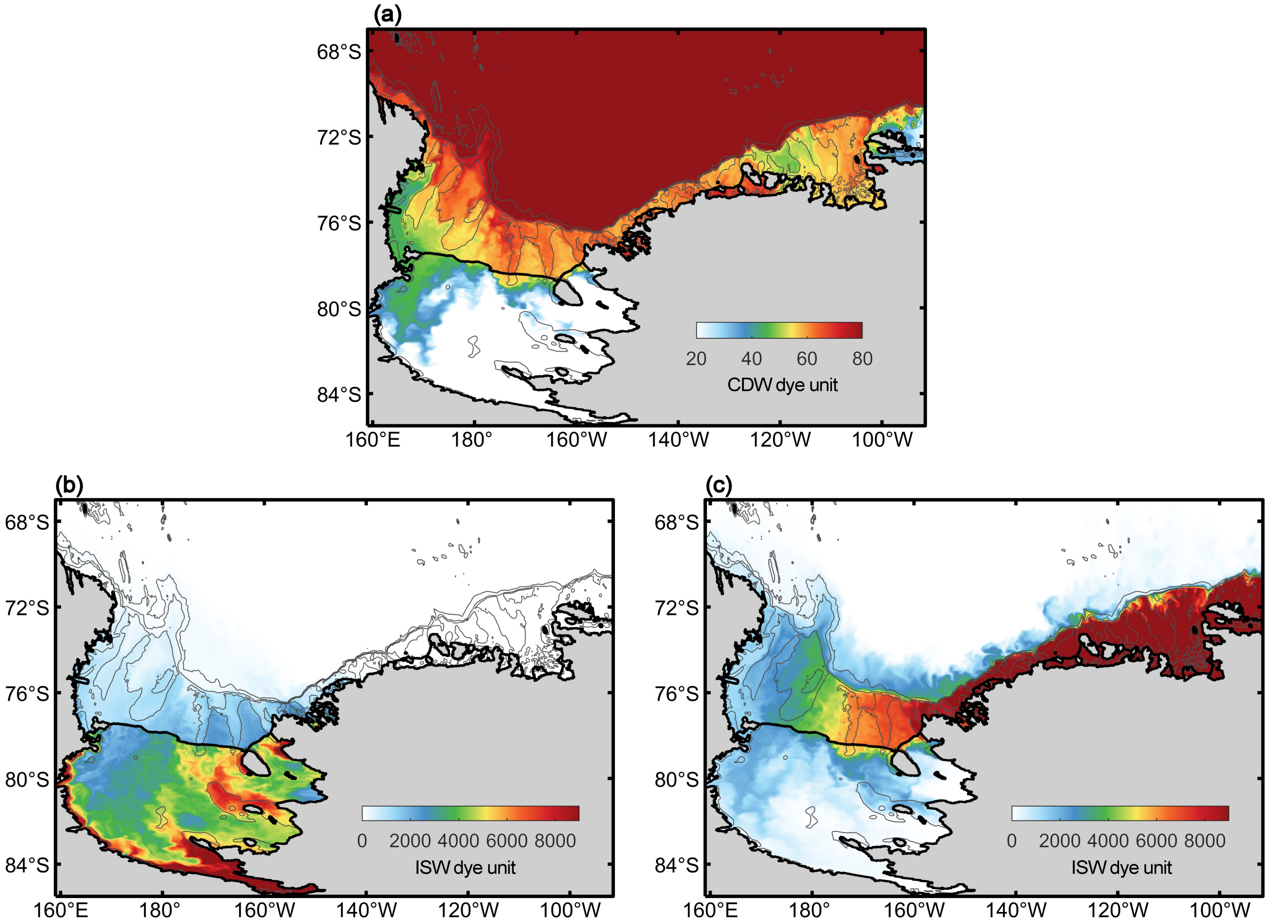


Figure 8. Concentrations of **(a)** the CDW dyes, **(b)** the ISW dyes originating from the Ross Ice Shelf, and **(c)** the ISW dyes from the Amundsen Sea ice shelves 5 years after the release time in the model simulation.

In Fig. 9, DSW dyes are released separately for the TNBP and RISP. We can see that DSW formed in the TNBP (Fig. 9a) is mainly transported to the slope via the Drygalski Trough, and DSW formed in the RISP (Fig. 9b) are transported mainly via the Joides Trough and the Glamor Challenger Trough, while a portion also flows to the slope via the Drygalski Trough. Exports of DSW through the Drygalski Trough, Joides Trough and Clamor Challenger Trough contribute to the total DSW export by 41%, 14% and 45%, respectively. Once crossing the slope and reaching the deep ocean, DSW turns into AABW and is mainly transported westward toward the Indian sector of the Southern Ocean by the Antarctic slope current. DSW dyes released in the RISP cover a larger area in the open ocean than those released in the TNBP. DSW formed in the western portion of the RISP is also carried southward beneath the Ross Ice Shelf, and can reach as far as 84°S near the grounding line of the ice shelf, which can be associated with the southward flow as mentioned above. Such intrusion can also be important for the basal melting of the Ross Ice Shelf, which is characterized as "cold-water cavity" where the DSW acts as the main thermal forcing (Rignot et al., 2013; Adusumilli et al., 2020).

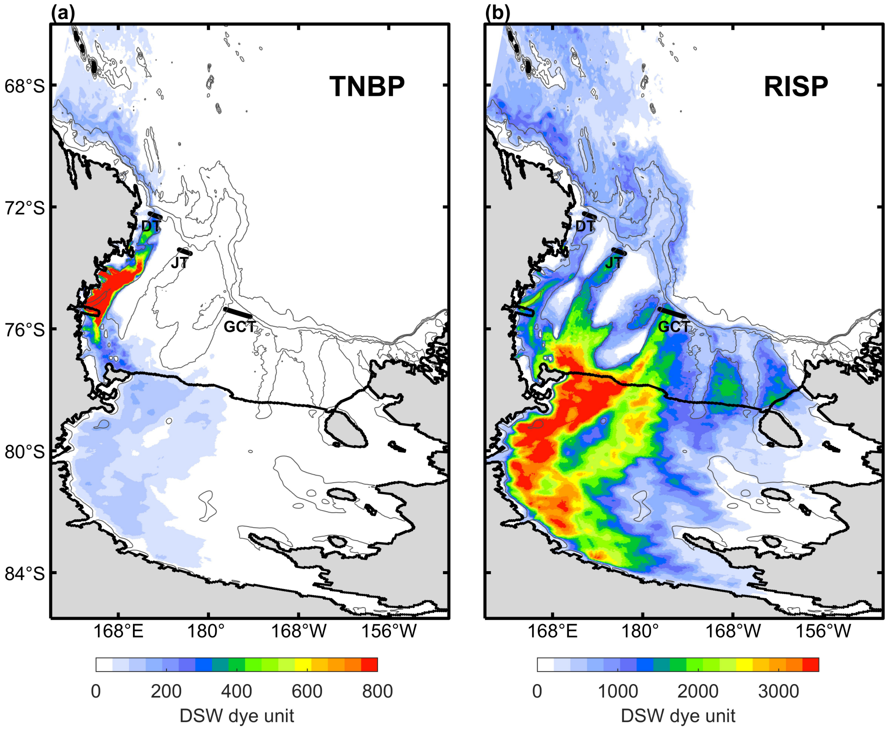
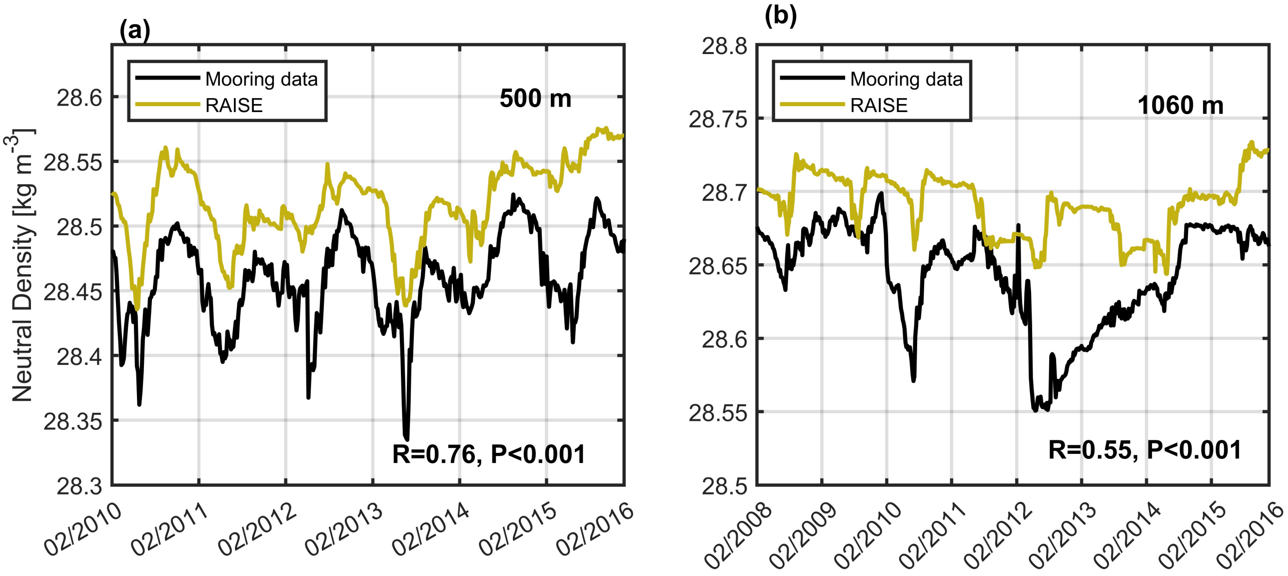


Figure 9. Concentrations of the DSW dyes originating from the **(a)** Terra Nova Bay polynya (TNBP) and **(b)** the Ross Ice Shelf polynya (RISP) 5 years after the release time in the model simulation. DT, JT and GCT denote the Drygalski Trough, Joides Trough and Glamor Challenger Trough, respectively.

4.3 Temporal variability of DSW

330 Temporal variations of neutral density in the middle and bottom layer of the TNBP are compared to measurements from mooring observations conducted by the Italian MORSea project (Fig. 10). Both the variations of neutral density at mid (500 m) and bottom depths (1060 m) are examined. While the model has an overestimate of density in both the middle and bottom layers compared to the mooring observations, which might be related to the model overestimate of sea ice production and inadequate 335 representations of other processes (see the discussions in Section), the model can well capture the temporal variability of DSW density. The correlations between variations of modelled and observed neutral density at middle and bottom depths reach 0.76 and 0.55, respectively, both of which are significant (P<0.001). This demonstrates the model can reasonably simulate the temporal variations of



340 **Figure 10. (a)** Time series of 5-day-average neutral density at 500 m from the RIASE model and mooring observations in the Terra Nova Bay polynya (see the mooring location in Fig. 1b) during 2010–2016. **(b)** Same as (a) but for salinity at 1060 m during 2008–2016. The correlation coefficients (R) and p-values (P) are provided.

ocean-sea ice processes forming DSW at its origin sites. The model also performs well in simulating the DSW variations in its key outflow passage on the slope, as shown in Fig. 11. Comparisons with observations from two moorings deployed by the U.S. CALM project show that correlations between simulated and observed variations of DSW neutral density reach 0.75 and above (P<0.001), though there is also overestimate of density in the model that could be associated with the model bias in the polynya areas.

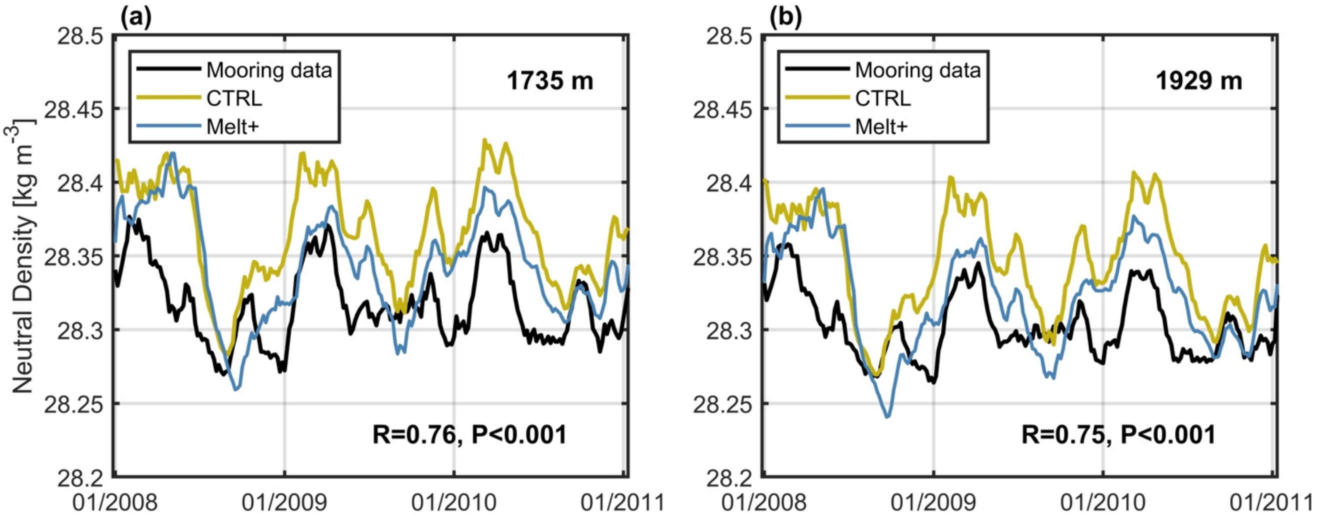


Figure 11. (a) Time series of 5-day-average neutral density at 1735 m from the RAISE simulations and the CA1 mooring observations at the slope near Cape Adare (see Fig. 1b) during 2008–2011. **(b)** Same as (a) but for neutral density at 1929 m, and the observations are from the CA2 mooring. The correlation coefficients (R) and p-values (P) are provided. The yellow line denotes neutral density from the CTRL simulation, and the blue line denotes neutral density from the sensitivity experiment with increased ice shelf melting rates (Melt+).

The decadal variation of DSW has been the focus of DSW studies in the past ten years, which reveal that DSW in the Ross Sea has shown a freshening trend based on observations (Jacobs et al., 2010;

Jacobs et al., 2022), attributed to increased transport of ice shelf meltwater from the Amundsen Sea into the Ross Sea (Nakayama et al, 2014). Recent work found that such trend is reversed since 2014 (Castagno et al., 2019), and explained the reversal by the combined effects of positive phase of the Southern Annular Mode and extreme El Niño conditions (Silvano et al., 2020) and reduced input of meltwater from the Amundsen Sea (Guo et al., 2020). Comparing the model simulations of DSW salinity from 2003 to 2019 with observational data near the Ross Island from Jacobs et al. (2022), we found that the RAISE model can capture the freshening trend prior to 2014 as well as the reversal of freshening after 2014 quite well (Fig. 12a); the interannual variation of modelled and observed DSW salinity is significantly correlated

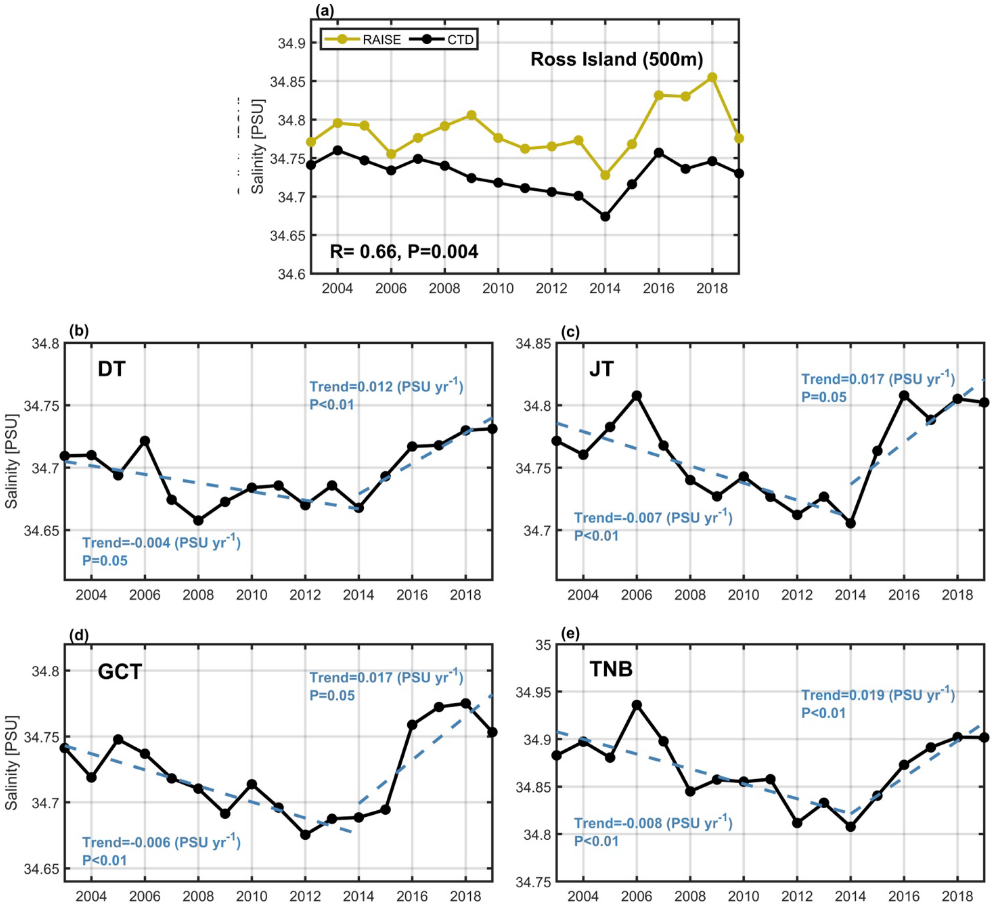


Figure 12. (a) Time series of summer bottom water salinity near the Ross Island from the RAISE simulation and CTD observations (from Jacobs et al. (2022)) during 2003–2019. **(b–e)** Time series of simulated summer bottom water salinity at the long-term observation locations in **(b)** the Drygalski Trough, **(c)** the Joides Tough, **(d)** the Glamor Challenger Trough and **(e)** the Terra Nova Bay during 2003–2019. See the locations in Fig. 1b. The trends for the periods prior to 2014 and after 2014 with the significance test results are labeled in panels b–e.

(R=0.66, and P=0.004). The decadal variations of bottom water salinity are also examined for four locations in the three troughs for DSW exports and the TNBP, which all show freshening trend of DSW before 2014 and rebounding of salinity after 2014 (Fig. 12b–e). The estimated freshening trend prior to 2014 varies from -0.008 PSU yr^{-1} to -0.004 PSU yr^{-1} at the four locations, which falls in the range of -0.08 to -0.01 PSU per decade as estimated by Castagno et al. (2019) based on long-term cruise observations.

4.4 Ice shelf melting rates

As the melting of ice shelves in the Amundsen Sea and the Ross Sea can have significant impacts on the salinity and stratification in the Ross Sea, and thus the formation of DSW, the simulated melting rates of ice shelves are evaluated against estimates based on satellite data (Fig. 13). In the Ross Sea, the model simulated melting rate averaged over 2003–2019 for the Ross Ice Shelf is about 79 Gt yr^{-1}, which falls in the range of 47.7±34 Gt yr^{-1} as estimated by Rignot et al. (2013) from remote sensing, while higher than the estimates of 34±25 Gt yr^{-1} by Depoorter et al. (2013) and 27±22 Gt yr^{-1} by Liu et al. (2015). In the Amundsen Sea, the simulated melting rate of the Getz Ice Shelf is higher than the satellite estimates from the studies above by 58–71 Gt yr^{-1}, while for the Dotson, Crosson, Thwaites and Pine Island ice shelves, the simulated melting rates are significantly lower than the satellite estimates. In total, in the Amundsen Sea the RAISE model underestimates the ice shelf melting rates by 107–172 Gt yr^{-1}

compared with the satellite-retrieved values. Such underestimates are largely attributed to the absence of subglacial runoff in the RAISE model, which is demonstrated to impose dramatic effects on basal melting of Antarctic ice shelves (Nakayama et al., 2021; Goldberg et al., 2023; Gwyther et al., 2023). In addition to the underestimated ice shelf melting rates, the model does not include ice shelf calving as a static ice-shelf module is employed in RAISE; ice shelf calving is not included in the satellite estimates by Rignot et al. (2013) and Depoorter et al. (2013) as well, and is separately considered in Liu et al. (2015) apart from the basal melting process. Liu et al. (2015) suggests that ice calving can contribute to a mass loss of ice shelves of 270±22 Gt yr^{-1} in the Amundsen Sea. The inadequate representation of freshwater input related to underestimation of basal melting rates and missing calving processes of ice shelves in the Amundsen could have significant influence on freshwater volume in the Ross Sea, via the westward transport of the meltwater by the Antarctic Slope Current and coastal currents, and could be one reason for the overestimate of DSW salinity in the Ross Sea in the model. In the next section, a sensitivity experiment is conducted to evaluate the effect of increased freshwater discharge associated with these processes on the Ross Sea DSW characteristics.

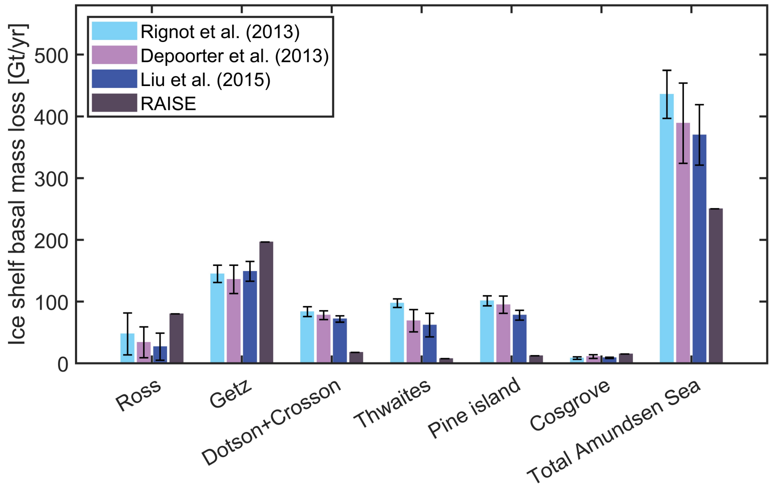


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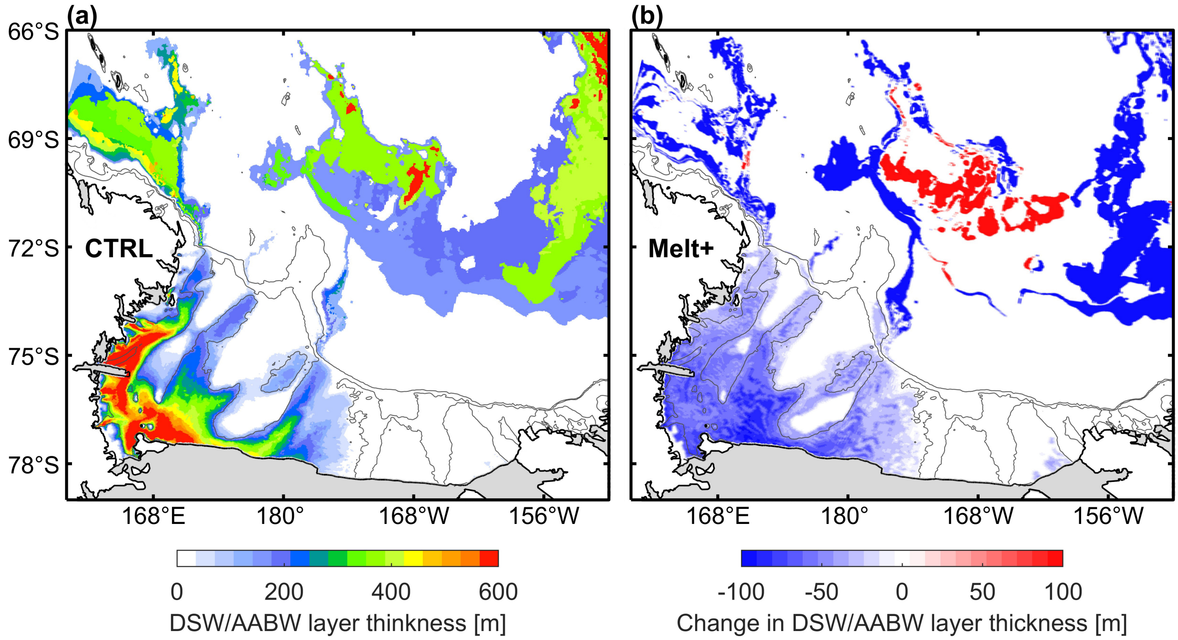
Figure 13. Basal melting rates of ice shelves in the Ross Sea and Amundsen Sea from the RAISE simulation and satellite estimates of earlier studies.

4.5 Sensitivity experiments of increasing freshwater discharge associated with ice shelf basal melting and calving

As shown in Figs. 10 and 11, the RAISE simulation shows overestimate of DSW neutral density compared to mooring observations in the Ross Sea polynya and slope regions. A possible reason for such bias is the inadequate representation of freshwater input from underrepresented basal melting rates and missing calving processes of ice shelves in the RAISE model, as discussed in Section 4.4. To test the role of these processes on the Ross Sea DSW properties, we conducted a sensitivity experiment Melt+, in which the basal melting rates of ice shelves in the Amundsen Sea are artificially increased to compensate for the missing freshwater discharge associated with underestimated melting rates, absence of ice shelf calving as well as subglacial runoff. Contributions of these processes to the freshwater discharge sum up to ~450 Gt yr^{-1} based on the estimates in Section 4.4, including the contribution from subglacial runoff in the Amundsen Sea estimated as ~10 Gt yr^{-1} by Goldberg et al. (2023). Increases of the basal melting rates are achieved by modulating the heat and salt transfer coefficients at the ocean-ice shelf interface following Nakayama et al. (2020). Time series of the DSW neutral density on the Ross Sea slope in the Melt+ experiment are presented in Fig. 11, which are substantially lower than those from the CTRL simulation and much closer to the observations. The root-mean-square errors of DSW density decrease from 0.059 kg m^{-3} in the CTRL simulation to 0.040 kg m^{-3} in Melt+. There is substantial reduction of the DSW thickness on the Ross Sea shelf and slope that can reach 100 m (Fig. 14), and reduction of the AABW thickness over the majority of the open ocean nearby over 100 m. These results suggest that accurate representation of ice shelf melting, calving and subglacial runoff (note that it can also cause ice shelf melting) processes are crucial for accurate simulations of DSW formation and properties in the Ross Sea, which will then affect the simulation of AABW in the open ocean.



430 **Figure 14. (a)** Spatial distributions of the DSW thickness on the Ross Sea shelf and slope and the AABW thickness in the open ocean in the CTRL simulation averaged over the simulation period. **(b)** Changes of the DSW and AABW thickness in the Melt+ simulation relative to the CTRL simulation.

5 Conclusions and prospects

435 In this work, a high-resolution coupled ocean-sea ice-ice shelf model (RAISE) is developed for the Ross Sea and Amundsen Sea in the Southern Ocean. A major function of this model is to simulate the formation of Dense Shelf Water in the Ross Sea and Antarctic Bottom Water in the open ocean in the Pacific sector and adjacent regions, which is controlled by sea ice production in coastal polynyas and on

the continental shelf and the discharge of freshwater released from ice shelf melting that is in turn modulated by the intrusion of the warm Circumpolar Deep Water. The RAISE model demonstrates its ability in effectively simulating the spatial distributions and temporal variations of sea ice production rates compared with satellite estimates. The modelled temperature and salinity of DSW in the Ross Sea is in fairly good agreement with observations from the combined WOD and Argo data. The simulated temporal variations of DSW hydrography in both the Terra Nova Bay polynya and slope region of the Ross Sea are significantly and highly correlated with those obtained from mooring measurements. The RAISE model can also well capture the freshening trend of DSW prior to 2014 and the rebounding of salinity after 2014. Compared with satellite estimates, the RAISE model significantly underestimates the melting rates of ice shelves in the Amundsen Sea, which may be an important reason for the overestimate of DSW density. In a sensitivity experiment in which the basal melting rates of ice shelves are increased to compensate for the underrepresented ice shelf melting and absence of ice shelf calving and subglacial runoff processes, the DSW density is notably reduced compared to the CTRL simulation and is in better agreement with observations. Such results demonstrate the importance of accurate representation of freshwater released from ice shelves in accurately simulating the DSW formation and hydrography.

In the future, the model configurations can be further optimized to improve the simulations for DSW. First, the modelled sea ice production rates in the polynyas are higher than estimates based on satellite data. While satellite products cannot be treated as ground truth as a couple of physical processes are missing in the retrieval algorithms, such as oceanic heat fluxes, the model may also have incomplete or misrepresented processes that lead to an overestimate of sea ice production. The ice-ocean drag or ice-atmosphere drag parameterization schemes can be tuned to yield better simulations for sea ice production.

Second, the RAISE model resolution is about 5 km in the slope area, coarser than the baroclinic Rossby deformation radius in this region that is suggested to be ~1 km (Mack et al. 2019; Stewart and Thompson 2015). This will result in inadequate representation of CDW on-shelf intrusion associated with eddy activities, and may be one of the reasons for inadequate representation of ice shelf basal melting rates in the model. The model horizontal resolution can be further enhanced to improve the CDW intrusion processes. Moreover, subglacial runoff is not included in the RAISE model, and such runoff can on the one hand contribute directly to the freshwater fluxes, and on the other hand contribute to ice shelf melting and consequently freshwater. The subglacial discharge needs to be included in the model for more accurate simulation of freshwater volume and stratification in the Ross Sea. Finally, the RAISE model uses a static ice shelf module, and in the future a dynamic ice shelf module should be considered to include the ice shelf calving processes and their contributions to freshwater fluxes into the Amundsen Sea and the Ross Sea.

Code and data availability. The source code used for the simulations described here are archived at https://doi.org/10.5281/zenodo.12735787 (Zhang, 2024a). The MATLAB scripts used to generate the grid and forcing files as well as to generate the figures included in this paper are archived at https://doi.org/10.5281/zenodo.12735917 (Zhang, 2024b). The model output can be obtained from the authors upon request. The ERA5 atmospheric reanalysis data, used for atmospheric forcing files, were collected from the Climate Data Store, available at https://cds.climate.copernicus.eu. The GloSea5 reanalysis product, used for the model boundary conditions, were collected from the Copernicus Marine Data Store, available at https://data.marine.copernicus.eu. The BedMachine Antarctica v2 topography

data used for the model grid file were collected from the National Snow and Ice Data Center and available at https://nsidc.org/data/nsidc-0756/versions/2.

Author contributions. ZZ conceptualized the idea of this work, designed the numerical configurations of the RAISE model and wrote the manuscript draft. CX contributed to the implementation of the model development, numerical simulations and model result validations. CW, YC and XW contributed to the model development. HH performed part of the CTRL simulation. All authors contributed to the writing and editing of this manuscript.

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