

Rapid O₃ assimilations – Part 1: background and local contributions to tropospheric O₃ changes in China in 2015-2020

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Abstract

A single ozone (O₃) tracer mode was developed in this work to build the capability of the GEOS-Chem model for rapid O₃ simulation. The single O₃ tracer simulation demonstrates consistency with the GEOS-Chem full chemistry simulation, with dramatic reductions in computational costs of approximately 91-94%. The single O₃ tracer simulation was combined with surface and Ozone Monitoring Instrument (OMI) O₃ observations to investigate the changes in tropospheric O₃ over eastern (E.) China in 2015-2020. The assimilated O₃ concentrations demonstrate good agreement with O₃ observations: surface O₃ concentrations are 43.2, 41.8 and 42.1 ppb, and tropospheric O₃ columns are 37.1, 37.9 and 38.0 DU in the simulations, assimilations and observations, respectively. The assimilations indicate rapid rises in surface O₃ concentrations by 1.60 (spring), 1.16 (summer), 1.47 (autumn) and 0.80 (winter) ppb yr⁻¹ over E. China in 2015-2020, and the increasing trends are underestimated by the a priori simulations. More attention is suggested to the rapid increases in O₃ pollution in spring and autumn. We find stronger rises in tropospheric O₃ columns over highly polluted areas due to larger local contributions, for example, 0.12 DU yr⁻¹ (North China Plain) in contrast to -0.29 (Sichuan Basin) -0.25 DU yr⁻¹ (Southern China). Furthermore, our analysis demonstrated noticeable contributions of the interannual variability in background O₃ to the trends in surface O₃ (particularly in the summer) and tropospheric O₃ columns over E. China in 2015-2020. This work highlights the importance of rapid simulations and assimilations to extend and interpret

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64 atmospheric O₃ observations.

65

66 1. Introduction

67 Tropospheric ozone (O₃) is produced when volatile organic compounds (VOCs) and
68 carbon monoxide (CO) are photochemically oxidized in the presence of nitrogen oxides (NO_x).
69 Tropospheric O₃ has important influences on the climate (Mickley, 2004; Iglesias-Suarez et al.,
70 2018), atmospheric oxidation capacity (Thompson, 1992; Prinn, 2003), human health and crop
71 growth (Zhang et al., 2021; Li et al., 2022). The important role of O₃ in the atmosphere has led
72 to many efforts focusing on O₃ observations that have improved our understanding of
73 atmospheric O₃ (Logan et al., 2012; Oetjen et al., 2016; Parrish et al., 2021). The limited spatial
74 coverage of O₃ observations promotes the efforts of spatial extensions of O₃ observations
75 (Chang et al., 2015; Peng et al., 2016). Recent advances in machine learning techniques further
76 provide a new method to extend O₃ observations by fusing satellite and surface observations
77 (Li et al., 2020; Liu et al., 2022; Wei et al., 2022).

78 Chemical transport models (CTMs), as powerful tools, have been widely used to simulate
79 and interpret observed O₃ variabilities (Parrington et al., 2012; Jiang et al., 2016; Li, Ke et al.,
80 2019). Despite the advances in CTMs, an accurate simulation of observed O₃ is still challenging
81 because of uncertainties in physical and chemical processes (Peng et al., 2021; Chen et al.,
82 2022), emission inventories (Elguindi et al., 2020; Jiang et al., 2022), and coarse model
83 resolutions (Schaap et al., 2015; Benavides et al., 2021). Furthermore, the high computational
84 cost is a bottleneck for rapid simulations, which poses a possible barrier to better understanding
85 tropospheric O₃. Alternatively, researchers may consider simulations of atmospheric O₃ with
86 the archived O₃ product and loss rates. For example, the tagged-O_x mode of the GEOS-Chem
87 model has been used to analyze the sources and transport of tropospheric O₃ (Zhang et al., 2008;
88 Zhu et al., 2017; Han et al., 2018). However, it may not be an ideal choice to perform O₃

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Deleted: In addition to satellite observations, surface stations provide valuable information for air quality by producing high-accuracy in situ measurements. For example, found that the assimilation of surface observations can effectively improve the predicted surface O₃ concentrations; obtained good forecasts in short-term surface O₃ variabilities by assimilating surface observations.¶ The description of O₃ photochemistry in CTMs can provide useful constraints on O₃ concentrations in assimilations . However...

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118 simulations based on the tagged-O_x mode because O_x is the combination of multiple species,
119 including O₃, and thus cannot be accurately compared with O₃ observations.

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120 In this study, we developed the single O₃ tracer mode (tagged-O₃) of the GEOS-Chem
121 model, driven by archived O₃ product and loss rates provided by GEOS-Chem full chemistry
122 simulations, to build the capability of the GEOS-Chem model for rapid simulations of
123 tropospheric O₃ (rather than O_x). Data assimilations, by combining modeled and observed O₃
124 concentrations, can take advantage of both simulations and observations to produce more
125 accurate O₃ concentrations (Parrington et al., 2008; Ma et al., 2019; Huijnen et al., 2020). The
126 single O₃ tracer simulations were thus further combined with the Ozone Monitoring Instrument
127 (OMI) and China Ministry of Ecology and Environment (MEE) monitoring network O₃
128 observations (in this paper) and United States (US) Air Quality System (AQS) and European
129 AirBase network O₃ observations (in the companion paper, Zhu et al. (2023)) via a sequential
130 Kalman Filter (KF) assimilation system (Tang et al., 2022; Han et al., 2022) to perform a
131 comparative analysis to investigate the changes in tropospheric O₃ in eastern (E₊) China in
132 2015-2020 (in this paper) and the US and Europe in 2005-2020 (Zhu et al., 2023).

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133 Satellite instruments provide globally covered O₃ observations that are sensitive to O₃
134 concentrations in the free troposphere. The OMI-based assimilations can thus reflect the
135 optimized adjustments in both global background and local O₃ concentrations. On the other
136 hand, surface observations are sensitive to local O₃ concentrations. Surface observation-based
137 assimilations can reflect the optimized adjustments in local contributions, and the information
138 of local contributions can be transported into the free troposphere via vertical convection in the
139 assimilation processes, which is different from the fusion of satellite and surface observations
140 (Li et al., 2020; Liu et al., 2022; Wei et al., 2022). Consequently, a comparative analysis by
141 assimilating satellite and surface O₃ observations is useful for better characterization of O₃
142 changes in the surface and free troposphere. Furthermore, the low computational costs of the

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Deleted: (in the companion paper: Part 2,). Considering their different vertical sensitivities, a comparative analysis by assimilating satellite and surface O₃ measurements is useful for better characterization of O₃ changes in the surface and free troposphere and is helpful for better applications of satellite and surface O₃ measurements in the future.

159 single O₃ tracer simulations allow us to design and perform different experiments much more
160 efficiently. Multiple simulation and assimilation experiments (see details in Table 1) were thus
161 conducted in this work to analyze the impacts of background O₃ (particularly, the interannual
162 and seasonal variabilities in the background O₃ as well as optimization in the background O₃)
163 and local O₃ formation on the changes in surface and free tropospheric O₃ over E. China.

164 This paper is organized as follows: in Section 2, we provide descriptions of the MEE and
165 OMI O₃ observations, the GEOS-Chem model and the single O₃ tracer simulation and
166 assimilation system used in this work. Tropospheric O₃ changes in E. China in 2015-2020 are
167 then demonstrated in Section 3 by assimilating MEE and OMI O₃ observations. As shown in
168 Fig. 1, five regions (i.e., North China Plain (#1), Yangtze River Delta (#2), Central China (#3),
169 Sichuan Basin (#4) and Southern China (#5)) are defined within the E. China domain. Regions
170 #1 and #2 are defined as highly polluted regions by excluding grids with low and medium
171 anthropogenic NO_x emissions. Tropospheric O₃ changes over these regions are discussed to
172 investigate the possible regional discrepancies in surface and free tropospheric O₃ associated
173 with different local pollution levels. Our conclusions follow in Section 4.

175 2. Data and Methods

176 2.1 Surface O₃ measurements

177 We use MEE surface in situ O₃ concentration data (<https://quotsoft.net/air/>) for the period
178 2015-2020. These real-time monitoring stations report hourly concentrations of criteria
179 pollutants from 1691 sites in 2020. All stations (1441 urban sites and 250 urban background
180 sites) are assimilated in our analysis. Concentrations were reported by the MEE in ug m⁻³ under
181 standard temperature (273 K) until 31 August 2018. This reference state was changed on 1
182 September 2018 to 298 K. We converted the O₃ concentrations to ppb and rescaled the post-
183 August 2018 concentrations to the standard temperature (273 K) to maintain consistency in the

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192 trend analysis. It should be noted that the assimilation of O₃ observations from urban and urban
193 background sites may result in possible overestimation of surface O₃ concentrations over rural
194 areas.

Deleted: In addition, in situ hourly surface O₃ measurements from the US AQS and European Environment Agency AirBase networks are used in the companion paper (Part 2,). The AQS and AirBase networks collect ambient air pollution data from monitoring stations located in urban, suburban, and rural areas. We only considered stations with at least 14 years of observation records in 2005-2020

195 2.2 OMI PROFOZ product

196 The OMI instrument was launched in July 2004 on the Aura spacecraft with a spatial
197 resolution of 13 × 24 km (nadir view). It provides globally covered measurements with
198 backscattered sunlight in the ultraviolet–visible range from 270 to 500 nm (UV1: 270–310 nm;
199 UV2: 310–365 nm; visible: 350–500 nm). In this study, we use the OMI O₃ profile retrieval
200 product (PROFOZ v0.9.3, level 2, Liu et al. (2010); Huang et al. (2017)) from the Smithsonian
201 Astrophysical Observatory (SAO). The retrieval uses the vector linearized discrete ordinate
202 radiative transfer model (VLIDORT) (Spurr, 2006) and Bayesian optimal estimation. Profiles
203 of partial O₃ columns (unit: DU) are retrieved in the spectral region of 270–330 nm with 24
204 vertical layers: approximately 2.5 km for each layer from the surface to approximately 60 km.
205 The following filters are applied in our analysis following Huang et al. (2017): 1) nearly clear-
206 sky scenes with effective cloud fraction < 0.3; 2) solar zenith angles (SZA) < 75°; and 3) fitting
207 root mean square (RMS, ratio of fitting residuals to assumed measurement error) < 2.0.

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208 Starting in 2009, anomalies were found in the OMI data and diagnosed as attenuated
209 measured radiances in certain cross-track positions. This instrument degradation has been
210 referred to as the “row anomaly”. To enhance the quality and stability of the data, only across-
211 track positions between 4-11 (within 30 positions in the UV1 channels) are assimilated in our
212 main assimilation experiment (Exp. #8). This treatment is similar to the production of row-
213 isolated data by using across-track positions between 3-18 (within 60 positions in the UV2
214 channels) in the OMI/MLS O₃ data (Ziemke et al., 2019; Wang, X. et al., 2022). The effects of
215 the usage of row-isolated data will be evaluated by comparing the main assimilation experiment
216 with the sensitivity assimilation experiment (Exp. #10) by assimilating OMI O₃ observations

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230 [at across-track positions 4-27.](#)

231 The modeled tropospheric O₃ profiles in the assimilation processes and subsequent
232 analyses are convolved by using the OMI retrieval averaging kernels and a priori O₃ profile
233 based on the following equation (Liu et al., 2010; Huang et al., 2017):

$$\hat{\mathbf{x}} = \mathbf{x}_a + \mathbf{A}(\mathbf{x} - \mathbf{x}_a) \quad (\text{Eq. 1})$$

234 where $\hat{\mathbf{x}}$ is the modeled O₃ profile convolved by the retrieval averaging kernels, \mathbf{x}_a is the
235 OMI a priori O₃ profile, \mathbf{x} is the modeled O₃ profile, and \mathbf{A} is the OMI averaging kernel
236 matrix. Here $A(i, j) = \frac{\partial \hat{x}_j}{\partial x_i}$, representing the sensitivity of the retrieved partial O₃ column (DU)
237 at layer j to the change in O₃ (DU) at layer i. The unit for averaging kernels in this OMI product
238 is DU/DU because the conversion from DU to ppb varies with altitude.

240 2.3 GEOS-Chem model configuration

241 The GEOS-Chem chemical transport model (<http://www.geos-chem.org>, version 12-8-1)
242 is driven by assimilated meteorological data from MERRA-2. The GEOS-Chem full chemistry
243 simulation includes fully coupled O₃-NO_x-VOC-halogen-aerosol chemistry. Our analysis is
244 conducted at a horizontal resolution of nested 0.5°×0.625° over E. China, with chemical
245 boundary conditions archived every 3 hours from global simulations with 4°×5° resolution.
246 Emissions are computed by the Harvard-NASA Emission Component (HEMCO). Global
247 default anthropogenic emissions are from the CEDS (Community Emissions Data System)
248 (Hoesly et al., 2018). Regional emissions are replaced by MEIC (Multiresolution Emission
249 Inventory for China) in China [and MIX in other regions of Asia](#) (Li et al., 2017). [The reference](#)
250 [year for the CEDS inventory is 2010 with annual scaling factors in 2005-2014, and the](#)
251 [reference year for the MEIC/MIX inventory is 2010 with annual scaling factors in 2008-2010](#)
252 [in the GEOS-Chem model.](#) Open fire emissions are from the Global Fire Emissions Database
253 (GFED4) (van der Werf et al., 2010).

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261 Following Jiang et al. (2022), the total anthropogenic NO_x and VOC emissions in the
262 GEOS-Chem model are scaled based on Zheng et al. (2018), and Li, M. et al. (2019) so that the
263 modeled surface nitrogen dioxide (NO₂) and O₃ concentrations in the a priori simulations are
264 identical to Jiang et al. (2022) in 2005-2018. The total anthropogenic NO_x and VOC emissions
265 in 2019-2020 are further scaled based on linear projections. The total anthropogenic NO_x
266 emissions in the a priori simulations declined by 19% in China in 2015-2020. The total
267 anthropogenic VOC emissions in the a priori simulations increased by 1% in China in 2015-
268 2020. We refer the reader to Jiang et al. (2022) for the details of the model configuration and
269 performance, particularly the modeled trends of surface and tropospheric column NO₂ in 2005-
270 2018.

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271 **2.4 Single O₃ tracer simulation**

272 A new chemical mechanism was developed in this work to allow the running of the single
273 O₃ tracer mode (tagged-O₃). As shown in Fig. S1 (see the SI), the package of the Kinetic
274 PreProcessor (KPP) module was modified to define the production (PO₃) and loss (LO₃) of O₃.
275 The GEOS-Chem full chemistry simulations with the updated KPP module were then
276 performed to produce PO₃ and LO₃ every 20 minutes. Here the 20 minutes are selected to be
277 the same as the chemical time step in the GEOS-Chem full chemistry mode to ensure
278 consistency between the single O₃ tracer and full chemistry simulations. Finally, the single O₃
279 tracer simulation (tagged_o3_mod.F90) was performed by reading the archived PO₃ and LO₃
280 provided by the full chemistry simulations. Because we are interested in tropospheric
281 chemistry, we archived O₃ concentrations instead of O₃ production and loss rates in the
282 stratosphere in the full chemistry simulations. The archived stratospheric O₃ concentrations
283 were read in the single O₃ tracer simulation process as boundary conditions to ensure a
284 reasonable stratospheric-tropospheric O₃ exchange.

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Moved (insertion) [2]: Because we are interested in tropospheric chemistry, we archived O₃ concentrations instead of O₃ production and loss rates in the stratosphere in the full chemistry simulations.

285 The major advantage of the single O₃ tracer simulation is dramatic reductions in

304 computational costs by approximately 91%-94%; for example, the computational costs (hours
305 of wall time for one year simulation) are 57.5 and 5.2 hours at the global scale (4°×5°) and 80.2
306 and 4.5 hours within the nested China domain (0.5°×0.625°) by full chemistry and single O₃
307 tracer simulations, respectively. Consequently, once PO₃ and LO₃ are produced, the
308 computational costs of performing additional single O₃ tracer simulations are almost negligible.
309 The low computational costs of the single O₃ tracer simulation allow us to design and perform
310 different simulation and assimilation experiments much more efficiently. As shown in Table
311 1, there are 10 different simulation and assimilation experiments performed in this work, which
312 requires 4812 hours (wall time) with the full chemistry simulation but only 270 hours (wall
313 time) with the single O₃ tracer simulation.

314 Here we evaluate the consistency in modeled O₃ concentrations between single O₃ tracer
315 and full chemistry simulations. Fig. 2A1-A5 show the annual and seasonal averages of the
316 surface maximum daily 8-hour average (MDA8) O₃ over E. China in 2015-2020 from the full
317 chemistry simulation. The modeled surface MDA8 O₃ concentrations are as high as 60-70 ppb
318 in the summer and as low as 10-20 ppb in the winter over northern China. The simulation with
319 the single O₃ tracer mode (Fig. 2B1-B5) demonstrates spatial consistency with the full
320 chemistry simulation (Fig. 2A1-A5) and temporal consistency at both the daily (Fig. 3A) and
321 monthly (Fig. 3B) scales in 2015-2020. In contrast, the tagged-O_x mode of the GEOS-Chem
322 model is driven by the archived production and loss of O_x, which is the combination of multiple
323 species (O_x=O₃+NO₂+2NO₃+3N₂O₅+HNO₃+HNO₄+peroxyacylnitrates). There are large
324 discrepancies between full chemistry (Fig. 2A1-A5) and tagged-O_x (Fig. 2C1-C5) simulations.
325 As shown in Fig. 3, the O_x concentrations are higher than the O₃ concentrations by
326 approximately 6 ppb, and the relative difference can reach 40% in the winter. Our analysis thus
327 indicates the reliability of the single O₃ tracer simulations developed in this work.

328 2.5 Data assimilation method

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Moved (insertion) [5]:) and temporal consistency at both the daily (Fig. 3A) and monthly (Fig. 3B) scales in 2015-2020.

Moved (insertion) [6]:) simulations. As shown in Fig. 3, the O_x concentrations are higher than the O₃ concentrations by approximately 6 ppb, and the relative difference can reach 40% in the winter.

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340 We employ the sequential KF to assimilate O₃ observations, which has been used in
341 recent studies to optimize tropospheric CO concentrations (Tang et al., 2022; Han et al., 2022).
342 As a brief description of the assimilation algorithm, the forward model (**M**) predicts the O₃
343 concentration (x_{at}) at time t:

$$x_{at} = \mathbf{M}_t x_{t-1} \quad (\text{Eq. 2})$$

345 The optimized O₃ concentrations can be expressed as:

$$x_t = x_{at} + \mathbf{G}_t (\mathbf{y}_t - \mathbf{K}_t x_{at}) \quad (\text{Eq. 3})$$

347 where \mathbf{y}_t is the observation and \mathbf{K}_t represents the operation operator that projects O₃
348 concentrations from the model space to the observation space. \mathbf{G}_t is the KF gain matrix, which
349 can be described as:

$$\mathbf{G}_t = \mathbf{S}_{at} \mathbf{K}_t^T (\mathbf{K}_t \mathbf{S}_{at} \mathbf{K}_t^T + \mathbf{S}_\epsilon)^{-1} \quad (\text{Eq. 4})$$

351 where \mathbf{S}_{at} and \mathbf{S}_ϵ are the model and observation covariances, respectively. The optimized O₃
352 concentrations provided by Eq. 3 are then forwarded (hourly) to Eq. 2. The model errors are
353 assumed to be 50% because the objective of our assimilations is to provide dynamic extensions
354 of atmospheric O₃ observations. The a posteriori O₃ concentrations with the assumption of 50%
355 model errors are expected to match better with atmospheric O₃ observations. The measurement
356 errors are calculated as $\epsilon_0 = ermax + 0.0075 * \Pi_0$, where *ermax* is the base error (1.5 $\mu\text{g m}^{-3}$)
357 and Π_0 represents the observed O₃ concentrations (unit: $\mu\text{g m}^{-3}$). The representation errors
358 are calculated as $\epsilon_r = \gamma \epsilon_0 \sqrt{\Delta l / L}$, where γ is a scaling factor (0.5), Δl is the model resolution
359 (~56 km in this study), and L represents the range that the observation can reflect, which
360 depends on the station type (2 km for urban, 4 km for suburban). The total observation error is
361 then defined as $\epsilon_t = \sqrt{\epsilon_0^2 + \epsilon_r^2}$. Furthermore, the "superobservation" method was applied in
362 this work to further reduce the influence of representative error (Miyazaki et al., 2017; Tang et
363 al., 2022);

$$\omega_j = 1/\epsilon_j^2 \quad (\text{Eq. 5})$$

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$$y_s = \sum_{j=1}^k \omega_j y_j / \sum_{j=1}^k \omega_j \quad \text{(Eq. 6)}$$

$$1/\varepsilon_s^2 = \sum_{j=1}^k 1/\varepsilon_j^2 \quad \text{(Eq. 7)}$$

where y_j is O_3 observation of the j th station, ω_j represents the weighting factor of the j th station, y_s and ε_s are the grid-based O_3 observations and errors (superobservation), respectively.

3. Results and Discussion

3.1 Surface O_3 by assimilating MEE O_3 observations

We first investigate the effects of surface O_3 observations on single O_3 tracer assimilations. O_3 at the surface level is formed by precursors mixed in the planetary boundary layer (PBL). Thus, it may not be accurate to assume that the differences between simulated and observed surface O_3 concentrations are completely caused by biased O_3 production and loss at the surface level. Here we adjust O_3 concentrations above the surface level within the PBL when assimilating surface O_3 observations:

$$\Delta O_3^n = \Delta O_3^1 \times \gamma^{n-1} \quad \text{(Eq. 8)}$$

where ΔO_3^1 is the adjustment at the surface level calculated with Eq. 3; ΔO_3^n is the adjustment at model level n , which is based on ΔO_3^1 but decays exponentially with the increase in model level, and the decay speed is adjusted by the γ parameter. As shown in Table 1, three assimilation experiments (Exp. #5-#7) were conducted to evaluate the effects of the decay speed: 1) $\gamma = 0$ by assuming that the biased surface O_3 concentrations are completely caused by biased O_3 production and loss at the surface level; 2) $\gamma = 1$ by assuming full mixing of O_3 biases within the PBL; and 3) $\gamma = 0.8$ by assuming partial mixing of O_3 biases within the PBL, i.e., the adjustment at the 4th model level is approximately 50% of ΔO_3^1 , and the adjustment at the 10th model level (close to the top of PBL) is approximately 10% of ΔO_3^1 .

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Deleted: 3.1 GEOS-Chem tagged- O_3 simulation¶

A new chemical mechanism was developed in this work to allow the running of the single tracer tagged- O_3 mode.

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Deleted: The archived stratospheric O_3 concentrations were read in the tagged- O_3 simulation process as boundary conditions to ensure a reasonable stratospheric-tropospheric O_3 exchange.¶

Why is tagged- O_3 simulation useful if we must run the full-chemistry simulation first to produce PO₃ and LO₃? Table 1 shows the computation costs (hours of wall time per simulation year) by different GEOS-Chem simulation types in this work. We find 91%-94% reductions in the computation costs with respect to full-chemistry simulations: 57.5 and 5.2 hours at the global scale (4°×5°), 80.2 and 4.5 hours within the nested China domain (0.5°×0.625°), 160.7 and 9.4 hours within the nested US domain (0.5°×0.625°) and 103.4 and 6 hours within the nested Europe domain (0.5°×0.625°) by full chemistry and tagged- O_3 modes, respectively. Consequently, once the PO₃ and LO₃ are produced, the additional computational costs of performing...

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482 As shown in Fig. S2A (see the SI), the assimilated surface MDA8 O₃ concentrations
 483 show good agreement by using different γ parameters: 42.3, 41.8 and 42.0 ppb ($\gamma = 0, 0.8$ and
 484 1.0) in 2015-2020; there are noticeable discrepancies in the trends of assimilated surface O₃
 485 concentrations: 0.80, 1.24 and 1.50 ppb yr⁻¹ ($\gamma = 0, 0.8$ and 1.0) in 2015-2020 (Fig. S2B), and
 486 the trends obtained by considering the mixing of O₃ biases ($\gamma = 0.8$ and 1.0) match better with
 487 MEE O₃ observations (1.77 ppb yr⁻¹). Fig. S3 (see the SI) further demonstrates tropospheric O₃
 488 columns by assimilating MEE O₃ observations in 2015-2020. We find good agreement in the
 489 assimilated tropospheric O₃ columns by using different γ parameters, i.e., the mean
 490 tropospheric O₃ columns are 38.1, 37.9 and 37.9 DU, and the trends of tropospheric O₃ columns
 491 are 0.11, 0.17 and 0.21 ppb yr⁻¹ ($\gamma = 0, 0.8$ and 1.0). Considering the better agreement in the
 492 trends of assimilated surface O₃ concentrations ($\gamma = 0.8$ and 1.0) with observations, we finally
 493 decide to set $\gamma = 0.8$ as our main assimilation setting by assuming partial mixing of O₃ biases
 494 within the PBL.

495 Fig. 4A1-A5 show the annual and seasonal averages of surface MDA8 O₃ observations
 496 from MEE stations in 2015-2020. Fig. 4C1-C5 show the annual and seasonal averages of the a
 497 posteriori O₃ concentrations by assimilating the MEE O₃ observations. As shown in Fig. 5, the
 498 assimilated O₃ concentrations (blue lines) show good agreement with MEE O₃ observations
 499 (red lines): the mean surface MDA8 O₃ in 2015-2020 are 43.2, 41.8 and 42.1 ppb (E. China),
 500 42.4, 45.6 and 47.6 ppb (North China Plain), 44.6, 45.0 and 44.9 ppb (Yangtze River Delta),
 501 45.1, 43.1 and 43.5 ppb (Central China), 45.7, 37.5 and 36.9 ppb (Sichuan Basin), and 43.2,
 502 39.2 and 38.3 ppb (Southern China) in the a priori simulations, a posteriori simulations and
 503 MEE observations, respectively. It should be noted that Fig. 5A exhibits broadly good
 504 agreement between the a priori and a posteriori O₃ concentrations over E. China except for a
 505 larger difference in the summer. However, as shown in Fig. 4D1-D5, the good agreements
 506 between the a priori and a posteriori O₃ concentrations are caused by the counterbalance of

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533 positive biases (i.e., overestimated surface O₃ in the a priori simulations over southern China)
534 and negative biases (i.e., underestimated surface O₃ in the a priori simulations over northern
535 China). The good agreements in Fig. 5A thus cannot represent good performance in the
536 simulations of surface O₃ concentrations.

537 The assimilations exhibit noticeable declines in surface O₃ concentrations over regions
538 #2-5 in June-July, and the declines are underestimated by the a priori simulations (Fig. 5C-F).
539 The inaccurate simulation in June-July thus results in overestimated surface O₃ concentrations
540 in the summer. There is dramatic seasonality in surface O₃ concentrations (Fig. 5): maximum
541 in June in the North China Plain, May and August in the Yangtze River Delta, Central China
542 and Sichuan Basin, and September-October in Southern China. Fig. 4E1-E5 exhibits the effects
543 of seasonal variabilities in background O₃ (Exp. #3) by fixing background O₃ in the spring in
544 the simulations. The fixed background O₃ has limited influences on surface O₃ concentrations,
545 and consequently, the seasonality in surface O₃ concentrations is dominated by local
546 contributions. As we expected, MDA8 O₃ concentrations are higher over areas with higher
547 anthropogenic NO_x emissions, for example, 45.6 and 45.0 ppb in the North China Plain and
548 Yangtze River Delta, respectively, in contrast to 43.1, 37.5 and 39.2 ppb in Central China,
549 Sichuan Basin and Southern China. The influences of regional transport on surface O₃
550 concentrations are limited; for example, O₃ generated within the North China Plain PBL by
551 setting O₃ formation rates within the North China Plain PBL to zero (Exp. #4) are mainly
552 contained within the North China Plain (Fig. 4F1-F5).

553 3.2 Rapid increasing trends in surface O₃ concentrations

554 Here we investigate the changes in surface O₃ concentrations from observations and
555 assimilations. As shown in Fig. 6B1-B5, the a priori simulation suggests slightly increasing
556 trends of MDA8 O₃ in 2015-2020: 0.31 (spring), -0.12 (summer), 0.45 (autumn) and 0.40
557 (winter) ppb yr⁻¹, and the relative increasing trends are 0.7 (spring), -0.2 (summer), 1.1

Deleted: Furthermore, surface O₃ concentrations are maximum in June in the North China Plain, May and August in the Yangtze River Delta, Central China and Sichuan Basin, September-October in Southern China (Fig. 5). The assimilations exhibit noticeable declines in surface O₃ concentrations over regions #2-5 in June-July, and the declines are underestimated by the a priori simulations. The inaccurate simulations of surface O₃ concentrations in June-July thus result in overestimated surface O₃ concentrations in the summer.

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579 (autumn) and 1.4 (winter) % yr⁻¹. The a priori simulation suggests increasing trends of surface
580 O₃ concentrations in the summer over areas with higher local pollution levels, for example,
581 0.68 and 0.63 ppb yr⁻¹ over the North China Plain and Yangtze River Delta, respectively, and
582 decreasing trends of surface O₃ concentrations in the summer over areas with lower local
583 pollution levels, for example, -0.83 and -1.01 ppb yr⁻¹ over the Sichuan Basin and Southern
584 China, respectively. The decreasing trends over areas with lower local pollution levels in the
585 simulations are not surprising, given the decreases in anthropogenic NO_x emissions (Zheng et
586 al., 2018; Jiang et al., 2022) and the reported NO_x-limited O₃ nonlinear chemical regimes in
587 model simulations (Chen et al., 2021; Liu et al., 2021). Furthermore, as shown in Fig. 6D1-D5,
588 the interannual variabilities in background O₃ (Exp. #2) are suggested to result in increases in
589 surface O₃ concentrations in the a priori simulations in 2015-2020 by 0.02 (spring), 0.05
590 (summer), 0.02 (autumn) and 0.00 (winter) ppb yr⁻¹, and the relative contribution is particularly
591 pronounced in the summer.

592 In contrast, the increasing trends in surface O₃ are much stronger in the assimilations. As
593 shown in Table 2.1, our assimilation suggests 1.60 (spring), 1.16 (summer), 1.47 (autumn) and
594 0.80 (winter) ppb yr⁻¹ increases in surface O₃ over E. China in 2015-2020, and the relative
595 increasing trends are 3.4 (spring), 2.2 (summer), 3.7 (autumn) and 2.7 (winter) % yr⁻¹. The
596 annual increasing trend (1.24 ppb yr⁻¹) in the assimilated surface O₃ concentrations is more
597 consistent with the MEE O₃ observations (1.77 ppb yr⁻¹) which are comparable with the
598 reported recent trends in surface O₃ concentrations in China of 1.25-2.0 ppb yr⁻¹
599 (Mousavinezhad et al., 2021; Wei et al., 2022; Wang, W. et al., 2022). The increasing trends
600 are weaker when the modeled surface O₃ concentrations are averaged over E. China (Table
601 2.2) instead of sampling at the locations and times of MEE observations: 0.71 (spring), 0.36
602 (summer), 0.69 (autumn) and 0.54 (winter) ppb yr⁻¹ because most MEE stations are urban sites.
603 Our analysis thus indicates a noticeable underestimation in the increasing trends of surface O₃

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609 concentrations in China in the a priori simulations, particularly in the summer, despite the
610 anthropogenic NO_x and VOC emissions having been scaled in the simulations following Jiang
611 et al. (2022).

612 The changes in surface O₃ concentrations have significant regional and seasonal
613 discrepancies. As shown in Tables S1-S5 (see the SI), our assimilations demonstrate strong
614 increasing trends in surface O₃ concentrations in 2015-2020 in spring (1.94 ppb yr⁻¹ or 3.8%
615 yr⁻¹) and summer (2.52 ppb yr⁻¹ or 4.0% yr⁻¹) over the North China Plain; in spring (2.21 ppb
616 yr⁻¹ or 4.4% yr⁻¹) and autumn (1.84 ppb yr⁻¹ or 4.1% yr⁻¹) over the Yangtze River Delta; in
617 spring (2.07 ppb yr⁻¹ or 4.3% yr⁻¹) and autumn (2.09 ppb yr⁻¹ or 4.7% yr⁻¹) over Central China;
618 in spring (1.69 ppb yr⁻¹ or 3.8% yr⁻¹) over the Sichuan Basin; and in autumn (2.21 ppb yr⁻¹ or
619 4.9% yr⁻¹) over Southern China. While surface O₃ concentrations are higher over areas with
620 higher anthropogenic NO_x emissions, the increasing trends in surface O₃ concentrations over
621 Central China and Southern China are comparable with those in the North China Plain and
622 Yangtze River Delta. Our analysis advises more attention to O₃ pollution in spring and autumn
623 over areas with lower anthropogenic NO_x emissions because of the rapid increases in surface
624 O₃ concentrations.

625 **3.3 Tropospheric O₃ columns by assimilating OMI O₃ observations**

626 Fig. [7A1-A5](#) show the annual and seasonal averages of tropospheric OMI O₃ columns in
627 2015-2020. OMI is sensitive to O₃ at different vertical levels (Huang et al., 2017; Fu et al.,
628 2018), and thus, the standard KF algorithm (Eq. 3) was employed to adjust tropospheric O₃
629 vertical profiles with the application of OMI O₃ averaging kernels. Fig. [7C1-C5](#) show the
630 annual and seasonal averages of the a posteriori tropospheric O₃ columns by assimilating OMI
631 O₃ observations. The assimilated tropospheric O₃ columns show good agreement with OMI O₃
632 observations: the mean tropospheric O₃ columns in 2015-2020 (Table 2.3) are 37.1 DU in the
633 a priori simulations, and 37.9 and 38.0 DU in the a posteriori simulation and OMI observations,

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643 respectively. The discrepancies between the a priori and a posteriori simulations in tropospheric
644 O₃ columns (Fig. 7) are smaller than those in surface O₃ concentrations (Fig. 4). A better
645 simulation capability in tropospheric column O₃ is expected because model simulation with
646 0.5°×0.625° horizontal resolution may not be enough to accurately resolve O₃ nonlinear
647 chemical regimes over urban surface stations.

648 The above assimilated tropospheric O₃ columns (Exp. #8) are driven by optimized O₃
649 background conditions provided by global assimilations of OMI O₃ as well as row-isolated
650 OMI data by using across-track positions between 4-11. Fig. 7E1-E5 exhibits the effects of
651 optimization on regional O₃ background conditions. The mean assimilated tropospheric O₃
652 column driven by the original O₃ background conditions is 37.6 DU (Exp. #9), which is slightly
653 lower than the 37.9 DU in the main assimilation (Exp. #8). The usage of original O₃ background
654 conditions can result in overestimations over southern China in the spring and winter, and
655 underestimations over northern China in the spring and summer (Fig. 7E1-E5). Fig. 7F1-F5
656 further exhibits the effects of the usage of row-isolated data. The mean assimilated tropospheric
657 O₃ column by assimilating OMI O₃ observations at across-track positions 4-27 is 37.7 DU (Exp.
658 #10), which is slightly lower than the 37.9 DU in the main assimilation (Exp. #8). The
659 underestimations in the assimilated tropospheric O₃ columns are particularly significant in the
660 spring and summer (Fig. 7F2-F3).

661 As shown in Fig. 8, the trends of tropospheric O₃ columns in 2015-2020 (Table 2.3) are
662 0.02 DU yr⁻¹ in the a priori simulations and -0.17 and -0.30 DU yr⁻¹ in the a posteriori
663 simulation and OMI observations, respectively. In contrast to the wide distributions of
664 increasing trends of O₃ at the surface level (Fig. 6), both OMI O₃ observations (-0.30 DU yr⁻¹)
665 and the OMI-based assimilations (-0.17 DU yr⁻¹) suggest decreasing trends in tropospheric O₃
666 columns over E. Asia in 2015-2020 (Fig. 8). The decreasing trends are stronger in the summer
667 and weaker in the spring. Furthermore, the usage of original O₃ background conditions can

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677 result in overestimated trend by approximately 0.08 DU yr⁻¹ (Fig. 8D1); and the assimilation
678 of OMI O₃ observations at across-track positions 4-27 can result in a similar overestimated
679 trend, by approximately 0.08 DU yr⁻¹ (Fig. 8E1). These discrepancies demonstrate the
680 importance of optimized usages of regional O₃ background conditions and OMI O₃
681 observations in the assimilations.

682 **3.4 Changes in tropospheric O₃ columns**

683 The trends shown in Fig. 8 may not represent the actual tropospheric O₃ changes well
684 because the convolution of OMI O₃ averaging kernels on the output O₃ profiles can affect the
685 weights of the derived tropospheric columns to O₃ at different vertical levels. Consequently,
686 Fig. 9 shows the annual and seasonal averages of tropospheric O₃ columns, in which the output
687 O₃ profiles are not convolved with OMI retrieval averaging kernels so that they can better
688 represent the actual atmospheric O₃ state. The assimilated tropospheric O₃ columns are 37.9
689 and 38.8 DU (E. China), 42.9 and 43.7 DU (North China Plain), 47.5 and 48.1 DU (Yangtze
690 River Delta), 47.4 and 48.1 DU (Central China), 43.8 and 44.6 DU (Sichuan Basin), and 39.6
691 and 40.6 DU (Southern China) in 2015-2020 by assimilating MEE and OMI O₃ observations,
692 respectively.

693 In contrast to the higher surface MDA8 O₃ concentrations over areas with higher
694 anthropogenic NO_x emissions, tropospheric O₃ columns over Central China and the Sichuan
695 Basin are even higher than those over the highly polluted North China Plain. In addition,
696 tropospheric O₃ columns obtained by assimilating MEE surface O₃ observations are lower than
697 those obtained by assimilating OMI O₃ observations, and their difference is larger in the
698 summer and smaller in the winter. As shown in Fig. S4 (see the SI), the impacts of different
699 surface and satellite O₃ observations on the assimilated O₃ vertical profiles are limited. The
700 assimilation of MEE surface O₃ observations leads to decreases in O₃ concentrations in the
701 lower troposphere from the surface to 600 hPa levels over the Sichuan Basin and Southern

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The assimilated tropospheric O₃ columns are maximum in June-July in North China Plain (Fig. 10). However, the assimilated tropospheric O₃ columns are maximum in March-May over other regions that are dramatically different with surface O₃ (

Deleted: 5). The similar seasonality between surface and free tropospheric O₃ over highly polluted North China Plain reflects the impact of local emissions. The different seasonality over other regions may represent the contributions from free tropospheric O₃ transport. While the Yangtze River Delta is defined as a highly polluted region, its area is much smaller than North China Plain (Fig. 1) and thus the impact of local emissions on tropospheric O₃ columns over the Yangtze River Delta may not be as strong as the North China Plain. Furthermore, as shown in Fig. 11,

729 China; the assimilation of OMI O₃ observations leads to enhancement in O₃ concentrations in
730 the middle and upper troposphere over the highly polluted North China Plain.

731 The assimilated tropospheric O₃ columns are maximum in June-July over the highly
732 polluted North China Plain and March-May over other lower polluted regions (Fig. S5, see the
733 SI). Fig. 9E1-E5 exhibit the effects of seasonal variabilities in background O₃ (Exp. #3). The
734 fixed background O₃ in the spring can result in dramatic increases in tropospheric O₃ columns
735 by 14.3 (summer), 15.1 (autumn) and 4.8 (winter) DU over E. China. Fig. 9F1-F5 further
736 exhibit the effects of O₃ formation within the North China Plain PBL (Exp. #4) on tropospheric
737 O₃ columns, which are 5.4 (spring), 8.1 (summer), 3.6 (autumn) and 1.3 (winter) DU over the
738 North China Plain. In addition, as shown in Fig. S6 (see the SI), there is a larger enhancement
739 in O₃ production rates in the free troposphere (600-300 hPa) over the North China Plain in the
740 summer than in other lower polluted regions. Consequently, the spring maximum in
741 tropospheric O₃ columns over lower polluted regions is caused by the enhanced background O₃
742 (Fig. 9E1-E5), and the summer maximum in tropospheric O₃ columns over the highly polluted
743 North China Plain is caused by the local contributions from enhanced O₃ formation within the
744 North China Plain PBL (Fig. 9F1-F5) and free troposphere (Fig. S6).

745 As shown in Fig. 10A1-A5, the trends of tropospheric O₃ columns in the a priori
746 simulations in 2015-2020 are -0.02 (spring), 0.02 (summer), 0.29 (autumn) and 0.09 (winter)
747 DU yr⁻¹ over E. China. The interannual variability in background O₃ (Fig. 10D1-D5, Exp. #2)
748 is suggested to have important contributions to the trends of tropospheric O₃ columns by 0.09
749 (spring), -0.11 (summer), -0.10 (autumn) and -0.08 (winter) DU yr⁻¹. The trends of assimilated
750 tropospheric O₃ columns are 0.17 and -0.10 DU yr⁻¹ (E. China), which are comparable with the
751 reported recent trend in free tropospheric O₃ concentrations over China by -0.14 DU yr⁻¹
752 (Dufour et al., 2021), and are 0.47 and 0.12 DU yr⁻¹ (North China Plain), 0.45 and 0.13 DU yr⁻¹
753 (Yangtze River Delta), 0.32 and -0.06 DU yr⁻¹ (Central China), 0.03 and -0.29 DU yr⁻¹

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763 (Sichuan Basin), and 0.06 and -0.25 DU yr^{-1} (Southern China) by assimilating MEE and OMI
764 O_3 observations, respectively.

765 The stronger increasing trends in tropospheric O_3 columns over the highly polluted North
766 China Plain (Fig. 10A1) are suggested to be caused by larger local contributions because of
767 relatively uniform influences from interannual variability in background O_3 (Fig. 10D1).
768 Higher positive trends by assimilating MEE observations are expected, given the increasing
769 trends in surface O_3 concentrations (1.77 ppb yr^{-1}) and decreasing trends in OMI O_3
770 concentrations (-0.30 DU yr^{-1}) over E. China. Furthermore, it should be noted that while the
771 Yangtze River Delta is defined as a highly polluted region in our analysis, its area is much
772 smaller than that of the North China Plain (Fig. 1); thus, the impact of local contributions on
773 tropospheric O_3 columns over the Yangtze River Delta is not as strong as that over the North
774 China Plain.

775 4. Conclusion

776 A single O_3 tracer (tagged- O_3) mode was developed in this work to build the capability
777 of the GEOS-Chem model for rapid simulations of tropospheric O_3 . The single O_3 tracer
778 simulation demonstrates consistency with the GEOS-Chem full_chemistry simulation. In
779 contrast, the O_x concentrations provided by the tagged- O_x mode are higher than the O_3
780 concentrations by approximately 6 ppb, and the relative difference can reach 40% in the winter.
781 The computational costs of the single O_3 tracer mode are reduced by approximately 91-94%
782 with respect to the full_chemistry mode. For example, the computational costs (hours of wall
783 time per simulation year) are 57.5 and 5.2 hours at the global scale ($4^\circ \times 5^\circ$) and 80.2 and 4.5
784 hours within the nested China domain ($0.5^\circ \times 0.625^\circ$) by full chemistry and single O_3 tracer
785 simulations, respectively. The low computational costs allow us to design and perform different
786 experiments much more efficiently. As shown in Table 1, 10 different simulation and
787 assimilation experiments are performed in this work to analyze the impacts of background and

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819 local contributions to surface and free tropospheric O₃ changes over E. China in 2015-2020,
820 which requires 4812 hours (wall time) with the full chemistry simulation but only 270 hours
821 (wall time) with the single O₃ tracer simulation.

822 The assimilated surface O₃ concentrations demonstrate good agreement with surface O₃
823 observations: 43.2, 41.8 and 42.1 ppb over E. China in a priori and a posteriori simulations and
824 observations, respectively. We find noticeable biases in modeled surface O₃ concentrations, for
825 example, overestimated surface O₃ over southern China and underestimated surface O₃ over
826 northern China. The assimilations indicate rapidly increasing trends in surface O₃
827 concentrations by 1.60 (spring), 1.16 (summer), 1.47 (autumn) and 0.80 (winter) ppb yr⁻¹ over
828 E. China in 2015-2020, and the increasing trends are underestimated by the a priori simulations.

829 While surface O₃ concentrations are higher over areas with higher anthropogenic NO_x
830 emissions, the increasing trends in surface O₃ concentrations over Central China and Southern
831 China are comparable with those in the North China Plain and Yangtze River Delta. Our
832 analysis thus advises more attention to O₃ pollution in spring and autumn over areas with lower
833 anthropogenic NO_x emissions because of the rapid increases in surface O₃ concentrations. The
834 seasonality in surface O₃ concentrations is dominated by local contributions; however, the
835 interannual variabilities in background O₃ have noticeable contributions to the increasing
836 trends in surface O₃ particularly in the summer in the a priori simulations.

837 The assimilated tropospheric O₃ columns demonstrate good agreement with OMI
838 observations: 37.1, 37.9 and 38.0 DU over E. China in a priori and a posteriori simulations
839 (convolved with OMI retrieval averaging kernels) and OMI observations, respectively. The
840 trends of assimilated tropospheric O₃ columns in 2015-2020 over E. China are 0.09 and -0.17
841 (spring), 0.17 and -0.22 (summer), 0.38 and 0.04 (autumn), and 0.12 and -0.02 (winter) by
842 assimilating MEE and OMI O₃ observations, respectively. The large discrepancy by
843 assimilating surface and satellite observations indicates the possible uncertainties in the derived

Deleted: The tagged-O₃ simulation was combined with MEE and OMI O₃ observations to investigate the changes in tropospheric O₃ over E. Asia in 2015-2020.

Deleted: surface O₃ concentrations are 42.9

Deleted: MEE O₃ observations, respectively; tropospheric O₃ columns are 37.1, 37.9 and 38.0 DU over E. China in a priori and a posteriori simulations (convolved with OMI retrieval averaging kernels) and OMI O₃

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Deleted: 25 and -0.10 DU yr⁻¹ (E. China), 0.66 and 0.12 DU yr⁻¹ (North China Plain), 0.60 and 0.13 DU yr⁻¹ (Yangtze River Delta), 0.46

Deleted: 06 DU yr⁻¹ (Central China)

Deleted: 29 DU yr⁻¹ (Sichuan Basin), 0.15 and -0.25 DU yr⁻¹ (Southern China) by assimilating MEE surface and OMI O₃ observations, respectively. The stronger increasing trends in tropospheric O₃ columns over the highly polluted North China Plain ...

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869 free tropospheric O₃ changes. The usage of optimized O₃ background conditions and row-
870 isolated OMI data is important to produce more reliable results, for example, the usage of
871 original O₃ background conditions can result in an overestimated trend by approximately 0.08
872 DU yr⁻¹ in 2015-2020.

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873 We find stronger increasing trends in tropospheric O₃ columns over highly polluted areas
874 due to the larger local contributions, for example, 0.47 and 0.12 DU yr⁻¹ (North China Plain)
875 in contrast to 0.03 and -0.29 DU yr⁻¹ (Sichuan Basin) and 0.06 and -0.25 DU yr⁻¹ (Southern
876 China) by assimilating MEE and OMI O₃ observations, respectively. The spring maximum in
877 tropospheric O₃ columns over lower polluted regions is caused by the enhanced background
878 O₃, and the summer maximum in tropospheric O₃ columns over the highly polluted North China
879 Plain is caused by enhanced local O₃ formation. The interannual variabilities in background O₃
880 have important contributions to the trends in tropospheric O₃ columns; for example, the trends
881 of tropospheric O₃ columns in 2015-2020 are -0.02 (spring), 0.02 (summer), 0.29 (autumn) and
882 0.09 (winter) DU yr⁻¹ over E. China, and the contributions from interannual variability in
883 background O₃ are 0.09 (spring), -0.11 (summer), -0.10 (autumn) and -0.08 (winter) DU yr⁻¹ in
884 the a priori simulations. Assimilations of both surface and satellite observations, as shown in
885 this work, can provide useful information to better describe the changes in surface and free
886 tropospheric O₃.

Deleted: extension and interpretation of O₃ observations

887
888 **Code and data availability:** The MEE O₃ data can be downloaded from
889 <https://quotsoft.net/air/>. The AQS and AirBase surface O₃ data can be downloaded from
890 <https://www.eea.europa.eu/data-and-maps/data/aqereporting-8> and
891 https://aq5.epa.gov/aqsweb/airdata/download_files.html#Row. The OMI PROFOZ product
892 can be acquired at
893 <https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/OMI/V03/L2/OMPFOZ/>. The GEOS-

897 Chem model (version 12.8.1) can be downloaded from [http://wiki.seas.harvard.edu/geos-](http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem_12#12.8.1)
898 [chem/index.php/GEOS-Chem_12#12.8.1](http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem_12#12.8.1). The KPP module for tagged-O₃ simulations can be
899 downloaded from <https://doi.org/10.5281/zenodo.7545944>.

900

901 **Author Contributions:** Z.J. designed the research. R.Z. developed the model code and
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904

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907

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915 Table and Figures

916 **Table 1.** Single O₃ tracer simulation and assimilation experiments (Exp.) conducted in this
917 work. Exp. #1: the main a priori simulation; Exp. #2: O₃ boundary conditions and stratospheric
918 O₃ concentrations are fixed in 2015; Exp. #3: O₃ boundary conditions and stratospheric O₃
919 concentrations are fixed in the spring; Exp. #4: O₃ formation rates within the North China Plain
920 PBL are set to zero; Exp. #5: the main assimilation by assimilating MEE surface O₃
921 observations with $\gamma = 0.8$; Exp. #6: only surface O₃ concentrations are adjusted ($\gamma = 0$); Exp.
922 #7: full mixing of O₃ biases within the PBL ($\gamma = 1.0$); Exp. #8: the main assimilation by

Deleted: Computation costs (hours of wall time) by different GEOS-Chem simulation types

925 [assimilating OMI O₃ observations; Exp. #9: O₃ boundary conditions are not optimized; Exp.](#)
926 [#10: assimilating OMI O₃ observations at across-track positions 4-27.](#)

927
928 **Table 2.** Averages (with units ppb or DU) and trends (with units ppb yr⁻¹ or DU yr⁻¹) of surface
929 and tropospheric column O₃ concentrations in 2015-2020 over E. China from observations
930 (MEE and OMI) and a priori ([Exp. #1](#)) and a posteriori (KF) simulations ([Exp. #5 and #8](#)).
931 T2.1): the modeled surface O₃ is sampled at the locations and times of MEE surface O₃
932 observations; T2.2): the modeled surface O₃ is averaged over E. China (land only); T2.3): the
933 output O₃ profiles from the a priori and a posteriori simulations are convolved with OMI O₃
934 averaging kernels; T2.4): the output O₃ profiles are NOT convolved with OMI O₃ averaging
935 kernels. [The uncertainties in the averages are calculated using the bootstrapping method. The](#)
936 [trends and uncertainties in the trends are calculated using the linear fitting of averages by using](#)
937 [the least squares method \(see details in the SI\).](#)

938
939 **Fig. 1.** (A) Anthropogenic NO_x emissions over E. China in 2015; (B) Region definitions for
940 the North China Plain (#1), Yangtze River Delta (#2), Central China (#3), Sichuan Basin (#4)
941 and Southern China (#5). The different colors (red, gray and green) represent grids with high
942 (highest 15%), medium (15-50%) and low (lowest 50%) anthropogenic NO_x emissions.
943 Regions #1 and #2 are defined as highly polluted (HP) regions by excluding grids with low and
944 medium anthropogenic NO_x emissions.

945
946 **Fig. 2.** Surface MDA8 O₃ in 2015-2020 (annual and seasonal averages) simulated by GEOS-
947 Chem model with ([A1-A5](#)) full chemistry mode; ([B1-B5](#)) single O₃ tracer (tagged-O₃) mode;
948 and ([C1-C5](#)) tagged-O_x mode. The 8-hour range of surface O_x is selected according to the time
949 range of MDA8 O₃.

950
951 **Fig. 3.** (A) Daily averages of surface MDA8 O₃ over E. China in 2015-2020 from GEOS-Chem
952 full chemistry (black), [single O₃ tracer](#) (tagged-O₃) (blue) and tagged-O_x (red) simulations; (B)
953 Monthly averages of MDA8 O₃. The dashed lines in panel B are annual averages.

954
955 **Fig. 4.** Surface MDA8 O₃ in 2015-2020 (annual and seasonal averages) from ([A1-A5](#)) MEE
956 stations; ([B1-B5](#)) GEOS-Chem a priori simulation ([Exp. #1](#)); ([C1-C5](#)) GEOS-Chem a
957 posteriori simulation by assimilating MEE O₃ observations ([Exp. #5](#)); ([D1-D5](#)) Bias in the a
958 priori simulations ([Exp. #1 minus #5](#)). ([E1-E5](#)) Effects of seasonal variabilities in background

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971 O₃ (Exp. #3 minus #1); (F1-F5) Effects of O₃ formation within the North China Plain PBL
972 (Exp. #4 minus #1).

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973
974 **Fig. 5.** (A-F) Daily averages of surface MDA8 O₃ in 2015-2020 from MEE stations (red) and
975 GEOS-Chem a priori (black, Exp. #1) and a posteriori (blue, Exp. #5) simulations by
976 assimilating MEE O₃ observations. (G-L) Monthly averages of MDA8 O₃. The dashed lines in
977 panels G-L are annual averages.

978
979 **Fig. 6.** Trends of surface MDA8 O₃ in 2015-2020 (annual and seasonal averages) from (A1-
980 A5) MEE stations; (B1-B5) GEOS-Chem a priori simulation (Exp. #1); (C1-C5) GEOS-Chem
981 a posteriori simulation by assimilating MEE O₃ observations (Exp. #5). (D1-D5) Effects of
982 interannual variabilities in background O₃ (Exp. #1 minus #2).

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984 **Fig. 7.** Tropospheric O₃ columns in 2015-2020 (annual and seasonal averages) from (A1-A5)
985 OMI observations; (B1-B5) GEOS-Chem a priori simulation (Exp. #1); (C1-C5) GEOS-Chem
986 a posteriori simulation by assimilating OMI O₃ observations (Exp. #8). (D1-D5) Bias in the a
987 priori simulations (Exp. #1 minus #8). (E1-E5) Effects of optimization on regional O₃
988 background conditions (Exp. #9 minus #8); (F1-F5) Effects of the usage of row-isolated data
989 (Exp. #10 minus #8). The output O₃ profiles are convolved with OMI averaging kernels.

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991 **Fig. 8.** Trends of tropospheric O₃ columns in 2015-2020 (annual and seasonal averages) from
992 (A1-A5) OMI observations; (B1-B5) GEOS-Chem a priori simulation (Exp. #1); (C1-C5)
993 GEOS-Chem a posteriori simulation by assimilating OMI O₃ observations (Exp. #8). (D1-D5)
994 Effects of optimization on regional O₃ background conditions (Exp. #9 minus #8); (E1-E5)
995 Effects of the usage of row-isolated data (Exp. #10 minus #8). The output O₃ profiles are
996 convolved with OMI averaging kernels.

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998 **Fig. 9.** Tropospheric O₃ columns in 2015-2020 (annual and seasonal averages) from (A1-A5)
999 GEOS-Chem a priori simulation (Exp. #1); (B1-B5) Assimilations of MEE surface O₃
1000 observations (Exp. #5); (C1-C5) Assimilations of OMI O₃ observations (Exp. #8). (D1-D5)
1001 Difference in tropospheric O₃ columns calculated by OMI-based assimilations minus MEE-
1002 based assimilations (Exp. #8 minus #5). (E1-E5) Effects of seasonal variabilities in background
1003 O₃ (Exp. #3 minus #1); (F1-F5) Effects of O₃ formation within the North China Plain PBL
1004 (Exp. #4 minus #1). The output O₃ profiles are NOT convolved with OMI averaging kernels.

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1026 **Fig. 10.** Trends of tropospheric O₃ columns in 2015-2020 (annual and seasonal averages) from
1027 (A1-A5) GEOS-Chem a priori simulation (Exp. #1); (B1-B5) Assimilations of MEE surface
1028 O₃ observations (Exp. #5); (C1-C5) Assimilations of OMI O₃ observations (Exp. #8). (D1-D5)
1029 Effects of interannual variabilities in background O₃ (Exp. #1 minus #2). The output O₃ profiles
1030 are NOT convolved with OMI averaging kernels.
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¶
Fig. 11. Averages of O₃ vertical profiles in 2015-2020 from GEOS-Chem a priori (black) and a posteriori simulations by assimilating MEE (blue) and OMI (red) O₃ observations.¶

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Fig. 12.

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