

# The global water resources and use model WaterGAP v2.2e: description and evaluation of modifications and new features

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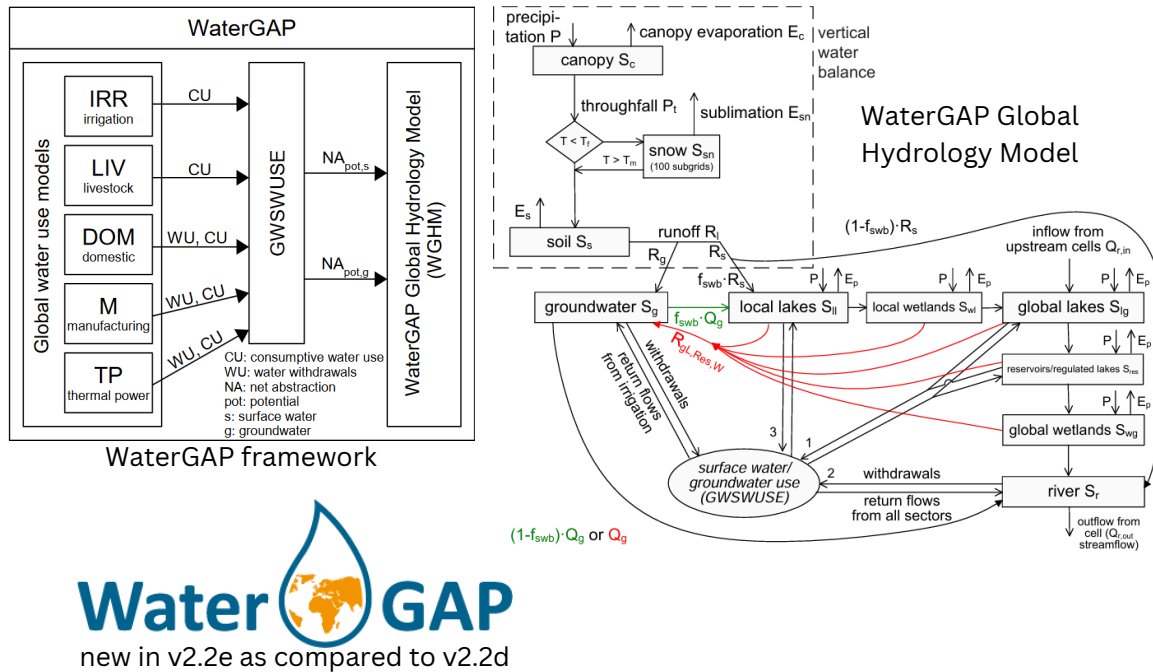
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**Abstract.** Water - Global Assessment and Prognosis (WaterGAP) is a modelling approach for quantifying water resources and water use for all land areas of the Earth that has served science and society since 1996. In this paper, the refinements, new algorithms and new data of the most recent model version v2.2e are described, together with a thorough evaluation of simulated water use, streamflow and total water storage anomaly against observation data. WaterGAP v2.2e improves the handling of inland sinks and now excludes not only large but also small man-made reservoirs when simulating naturalized conditions. The reservoir and non-irrigation water use data were updated. In addition, the model was calibrated against an updated and extended dataset of streamflow observations at 1509 gauging stations. The model can now be started using pre-scribed water storages and other conditions, which facilitates data assimilation as well as near real-time monitoring and forecast simulations. For specific applications, the model can consider the output of a glacier model, approximate the effect of rising CO<sub>2</sub> concentrations on evapotranspiration or calculate the water temperature in rivers. In the paper, the publicly available standard model output is described and caveats of the model version are provided alongside the description of the model setup in the ISIMIP3 framework.

## 1 Introduction

The assessment of global water resources can help to increase our understanding of the freshwater cycle. Global hydrological modeling approaches have been developed since the 1990s and one of the pioneers in this field is the global water resources and water use model WaterGAP (Water - Global Assessment and Prognosis) (Alcamo et al., 2003; Döll et al., 2003). This paper describes changes to WaterGAP 2 (from now referred to as WaterGAP) from version 2.2d (Müller Schmied et al., 2021) to the most recent model version 2.2e, provides a model evaluation against independent data for different model variants and explains its application in the ISIMIP3 framework (<https://www.isimip.org/protocol/3/>). Please note that this paper is not a thorough description of the whole WaterGAP model, it highlights only the modifications. For a comprehensive overview, the reader is referred to (Müller Schmied et al., 2021).



**Data updates:**

reservoirs and regulated lakes: GRanD 1.3 integration | non-irrigation water use | streamflow data for calibration

**Process updates (standard version):**

small reservoirs are no longer considered in "nat" runs | new handling of inland sinks

**New algorithms (for special applications):**

alternative PET approach for climate change studies | integration of glaciers | ability to start from prescribed initial conditions | calculation of river water temperature

**Figure 1.** Schematics of the WaterGAP framework and the WaterGAP Global Hydrology Model (both taken from Müller Schmied et al., 2021) and summary of data updates, process updates and new algorithms.

WaterGAP was developed to quantify global-scale water resources as well as water stress with focus on direct human impacts in terms of human water use and artificial reservoirs. The model framework (Fig. 1) consists of sectoral water use models that are linked in a submodel (GWSWUSE) to calculate potential net water abstractions from surface water as well from groundwater. This acts as an input for the WaterGAP Global Hydrology Model that calculates the water storages and fluxes as well as routes the streamflow to the basin outlet (Fig. 1). WaterGAP as described here operates with a spatial resolution of 0.5° x 0.5° and at daily time steps. The model can be run in a standard mode ("ant", including direct human impacts) and a variety of other variants in terms of human water use and reservoirs, e.g., a simulation of naturalized water flows and storages that would occur if there were neither human water use nor global artificial reservoirs/regulated lakes ("nat").

This new version integrates, next to technical modifications (Appendix A) several improvements in characterizing human interference in the global water cycle. Small reservoirs (small is defined as storage volumes < 0.5 km<sup>3</sup>) are no longer considered in naturalized-"nat" simulations, which increases the capacity of modeling the impact of man-made reservoirs on streamflow

dynamics. ~~The realism of the dynamics of large reservoirs was increased by adjusting a threshold parameter for the reservoir storage release for flood prevention.~~ Furthermore, new reservoir data were integrated into the model. Updates on water use data for thermal power production and manufacturing sectors resulted in a prolonged time series.

35 WaterGAP is traditionally calibrated to observed mean annual streamflow in a basin-specific manner. With WaterGAP 2.2e, the calibration dataset was extended in space and time, merging three data sources.

Some algorithms were modified and additional algorithms were implemented. To facilitate the analysis of endorheic basins, inland sinks are now treated differently from other grid cells. By integrating an energy balance approach, the water temperature of rivers and other surface water bodies can be calculated. We included a new option to approximate in a simple manner the  
40 effect that the vegetation response to climate change has on potential evapotranspiration and thus runoff, which can be applied in assessments of future climate change impacts together with the standard model variant. To complete the components of the total water storage on the continents that are represented in WaterGAP, the output of a global glacier model can be integrated in WaterGAP simulations. Furthermore, the model is now able to start from prescribed conditions, which facilitates, among others, data assimilation and ensemble forecast runs.

45 The remaining paper is organized as follows: Modifications of algorithms and data that affect standard model runs are described in Sect. 2. New options for applications in specific cases are explained in Sect. 3. The model setup and the climate input data used for this paper are described in Sect. 4. The effects of the modifications for the standard runs are shown in Sect. 5 and for the specific options in Sect. 6. The comparison of model outputs to observations and reference data follows in Sect. 7. The standard model output as well as caveats are described in Sect. 8 and Sect. 9, respectively. WaterGAP 2.2e is applied  
50 in the Inter-Sectoral Impact Model Intercomparison Project phase 3 (ISIMIP3). The specifics of the model runs and deviations from the ISIMIP model protocol are described in Sect. 10. The paper ends with the conclusions in Sect. 11.

## 2 Modifications of algorithms and data affecting standard model results

### 2.1 Naturalized runs: Small reservoirs are no longer considered in naturalized runs

In WaterGAP 2.2d, small reservoirs ( $<0.5 \text{ km}^3$  storage capacity) are simulated as local lakes, whether or not WaterGAP is  
55 run in ~~naturalized~~-"nat" mode. In WaterGAP 2.2e, the small reservoirs are removed from local lakes in ~~naturalized~~-"nat" runs, decreasing the grid cell-specific area share covered by surface water bodies that are simulated with the local lakes algorithm. In standard ("histsoc") runs, small reservoirs continue to be treated like natural lakes. After integration of updates and new reservoirs from the Global Reservoir and Dam Database (GRanD) 1.3 (Lehner et al., 2011) (Sect. 2.2), there are 5722 small reservoirs with a maximum storage capacity of less than  $0.5 \text{ km}^3$  in WaterGAP 2.2e. They cover a total maximum area of  
60  $31,630 \text{ km}^2$ .

### 2.2 ~~Reservoir algorithm: Maximum reservoir storage~~

In WaterGAP 2.2d and previous versions, it is assumed that any inflow above 85% of the maximum storage capacity of a reservoir is immediately released as a measure of flood prevention (i.e., flood storage of 15%). To verify the validity of this assumption, we compared the simulated monthly time series of reservoir water storage to observations at the scale of individual reservoirs in the USA (Figs. S1, S2 and Table S1). We selected 16 reservoirs characterized by a maximum storage capacity of at least 0.5 and a minimum of 25 consecutive years with observations. The observed time series corresponding to an individual reservoir were compared to the simulated time series in the grid cell where the reservoir is located. In 11 out of 16 reservoirs, we identified a systematic underestimation of the monthly maximum reservoir water storage by the model as compared to the observations. Based on these results, the former assumption was dropped. In WaterGAP 2.2e, it is assumed that a reservoir can be filled up to its maximum storage capacity before immediately releasing the additional inflow.

## 2.2 Reservoir and regulated lake data: GRanD 1.3 integration

In WaterGAP, reservoirs with a storage capacity of at least 0.5 km<sup>3</sup> are simulated as so-called "global" reservoirs that receive inflow from the upstream grid cell. Their dynamics are simulated with a filling and operational scheme depending on their main use (irrigation or non-irrigation) (Müller Schmied et al., 2021). Changes to reservoirs and new reservoirs from GRanD (Lehner et al., 2011) version 1.3 together with four additional reservoirs from a preliminary version of the GeoDAR dataset (Wang et al., 2022) were implemented in WaterGAP 2.2e. Reservoirs with a commissioning year until 2020 were selected and mapped to the river network of WaterGAP DDM30 (Döll and Lehner, 2002; Schewe and Müller Schmied, 2022). The location of the new reservoirs was manually co-registered in the drainage network with the help of web-based map information in order to match the given hydrological situation, in particular if a reservoir is located on the mainstream or its tributary. The total number of implemented reservoirs with a storage capacity of at least 0.5 km<sup>3</sup> increased from 1082 in WaterGAP 2.2d to 1255 in WaterGAP 2.2e, and the number of regulated lakes increased from 85 to 88. The total maximum storage capacity of the global reservoirs sums up to 5672 km<sup>3</sup>.

Furthermore, parameters (i.e., commissioning year, assigned outflow cell, etc.) from 12 reservoirs were changed either due to changes from GRanD 1.1 to 1.3 or for correcting flawed parameterization. Multiple reservoirs and regulated lakes may have their outflow cell in the same grid cell. In such cases, they are simulated as one big reservoir/regulated lake, by adding up their maximum area and storage capacity (Müller Schmied et al., 2021). Thus WaterGAP 2.2e simulates explicitly only a maximum of 1181 reservoirs and 86 regulated lakes (corresponding data available from Müller Schmied and Trautmann (2023)). In addition to these global reservoirs, local reservoirs with a storage capacity smaller than 0.5 km<sup>3</sup> were updated to GRanD version 1.3 (Sect. 2.1).

## 2.3 Water use data: Updated non-irrigation water use data

In WaterGAP, domestic water use is calculated on a national level and then downscaled to the grid cells according to the population number per grid cell. Additional information, such as the ratio of rural to urban population per grid cell and the share of the population with access to safe water supply are considered (Flörke et al., 2013). In the 2.2d version, an error occurred for a few countries in the downscaling procedure because non-numerical values (i.e., "Not a Number", NaN) were

95 written in the input time series of the percentage of the population having access to safe water supply. This bug was detected after the calibration of the model variants and fixed in the runs.

The sectoral water use estimates end in different years. For the years thereafter, the value of the last data year was copied. The thermal electricity estimates end 2017 and manufacturing estimates 2016, whereas livestock estimates end already in 2011 (no change as compared to WaterGAP 2.2d except that the year 2011 was correctly used for prolonging the time series instead  
100 the year 2010 as done by accident in 2.2d) and domestic water use in 2010 (no temporal extension but the bugfix applied as described above).

### **2.3.1 Thermal electricity water use**

WaterGAP estimates the amount of cooling water for thermal electricity production, both water abstractions and consumptive use, for each power plant individually. The input data for the location and capacity of thermal power plants is obtained from  
105 the World Electric Power Plants Data UDI (2020, last updated in 2010), along with relevant literature and case studies.

A thermoelectric power plant is defined as a power-generating facility that uses heat to generate energy, which may be produced by burning fossil fuels, biomass, or nuclear energy. Additionally, geothermal power plants and Concentrated Solar Power (CSP) plants, as well as other solar-related power plants that require water for cooling and cleaning of solar panels, have been incorporated into the database (Terrapon-Pfaff et al., 2020). Power plants that employ seawater or brackish water  
110 for cooling purposes are excluded. The time series of data on annual electricity production for different fuel types (EIA, 2021) as well as the thermal electricity water use time series was extended until the year 2017. The updated thermal electricity water use model was validated for the year 2015.

### **2.3.2 Manufacturing water use**

The WaterGAP manufacturing water use model calculates the amount of water abstracted and consumed for production  
115 and cooling purposes in the manufacturing sector. A detailed model description can be found in Flörke et al. (2013) and Müller Schmied et al. (2021). The water use time series was prolonged to 2016 based on the key driving force manufacturing value added from Worldbank (2021).

## **2.4 New calibration dataset**

The dataset of streamflow calibration stations was updated for WaterGAP 2.2e, now comprising a total of 1509 stations as compared to 1319 stations for WaterGAP 2.2d (Müller Schmied et al., 2021). An update was warranted as databases of streamflow  
120 observations had been updated or newly established since the last station update roughly a decade ago and climate forcings now cover more recent years, e.g., until 2019 (Cucchi et al., 2020; Lange et al., 2021). As recent high-quality climate forcings are available only from 1979 onwards and require a concatenation to other less reliable climate forcings, with potential offsets (Müller Schmied et al., 2016), the update of the calibration stations also aimed at increasing the number of streamflow  
125 observations after 1978. A detailed description of the updating process can be found in Schiebener (2023).

### 2.4.1 Databases

As in the case of previous WaterGAP versions, the Global Runoff Data Center (GRDC) provides the main resource of streamflow gauging station data. The GRDC database includes mostly daily streamflow time series of national data providers, but not all nationally available streamflow data are included. During the last few years, additional databases of streamflow indices  
130 have been made available.

The Global Streamflow Indices and Metadata Archive (GSIM) (Do et al., 2018; Gudmundsson et al., 2018) provides indices such as monthly streamflow for 30,000 stations from national daily streamflow data that have been collected, homogenized and enriched by metadata information. The start year of GSIM data is 1958.

The African Database of Hydrometric Indices (ADHI) (Tramblay et al., 2021) provides indices including monthly stream-  
135 flow for 1466 stations over the African continent, together with metadata. The start (end) year of ADHI data is 1950 (2018). While the GRDC database is continuously updated, this is not the case for GSIM and ADHI.

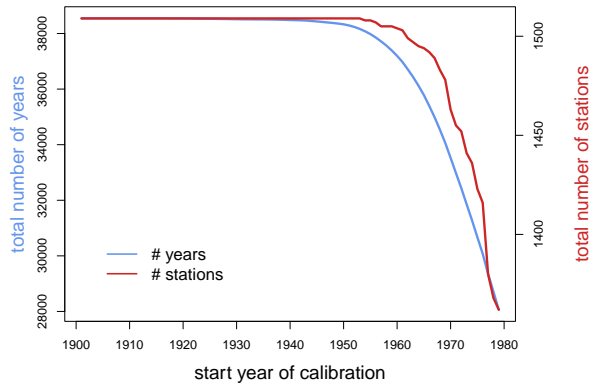
### 2.4.2 Station selection methodology

The criteria for considering a streamflow station to be suitable for the calibration of WaterGAP remain unchanged from WaterGAP 2.2d (Müller Schmied et al., 2014):

- 140 – an upstream area of at least 9,000 km<sup>2</sup>
- a time series of at least four complete but not necessarily consecutive calendar years (with a maximum of two missing days per month)
- an inter-station catchment area of at least 30,000 km<sup>2</sup>

The 1319 GRDC stations used for calibrating earlier model versions were identified in the GRDC metadata catalogue that  
145 was downloaded on July 30, 2021. Including updated streamflow data for these stations was straightforward as the location on the drainage network and criteria such as inter-station area had already been checked previously. Only one of the 1319 stations was no longer available in the GRDC database. For 175 stations, a change in the GRDC ID was considered. 119 additional GRDC stations that meet the criteria listed above and have a time series end after 1982 (to allow at least 4 years starting in 1979) were identified as potential additional stations. In total, 1437 stations with monthly data were downloaded from GRDC  
150 on August 6, 2021. Out of these, 1424 stations have four complete calendar years of data and are included in the new calibration data set of WaterGAP. 1565 GSIM and 197 ADHI stations that meet the spatial selection criteria were initially considered. Out of these, 1367 GSIM stations and 189 ADHI meet the criterion of having four complete years of data and were included in the WaterGAP calibration data set.

The selected stations of all three data sources were plotted on the WaterGAP drainage network in order to 1) find and  
155 eliminate duplicates, which are not necessarily identified from the station metadata, 2) identify the stations that meet the interstation catchment area criteria and 3) re-map the station to a grid cell that fits with the drainage network. [Re-mapping of the position focused on accurately relating the station either to the mainstream of the river or the tributary. A correcting factor for](#)



**Figure 2.** The number of gauging stations and years for calibration as a function of the year where the calibration starts. Both numbers decrease with a later start year of calibration indicating that the year 1916 is the most recent year to start the calibration without losing data points according to the station/data selection criteria. Note that the y-axes do not start at zero.

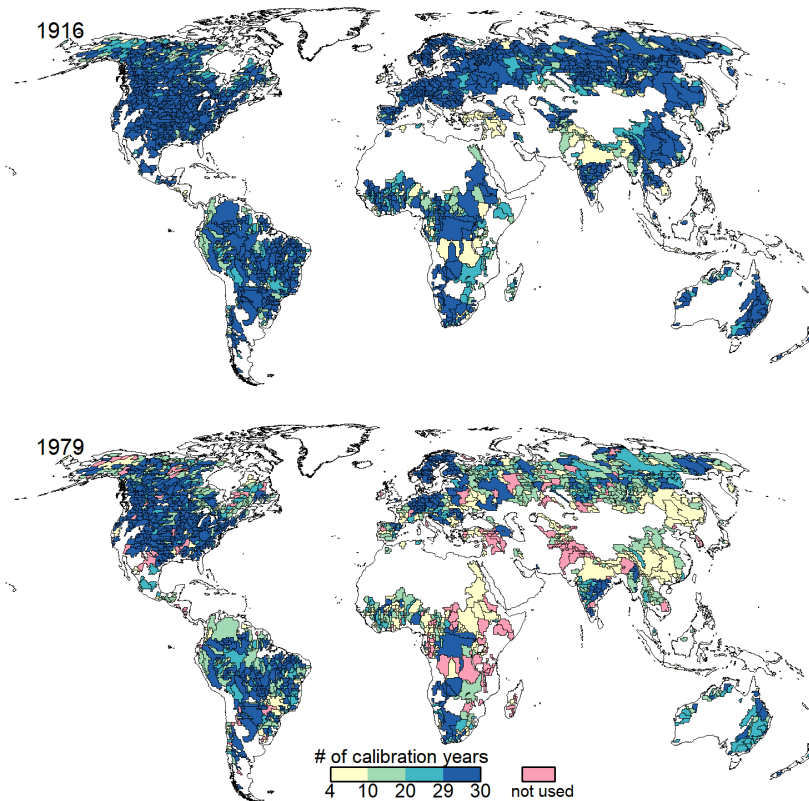
mismatches of drainage areas between the values provided by the station data producers and those calculated from the drainage direction map was not implemented but both areas can be found in the shapefiles of Müller Schmied and Schiebener (2022).

160 As only GRDC is regularly updated, this data source was preferred in case of multiple stations with similar time series lengths in close-by grid cells. Time series of multiple stations in one grid cell were compared to further eliminate duplicates or to select the best-suited station. Where it was meaningful, time series were merged (e.g., for those cases where GSIM provides more recent years, but GRDC years before 1958). Furthermore, each time series was visually inspected in order to check the plausibility of data and to delete data points in case of obvious errors.

### 165 2.4.3 Resulting calibration dataset of streamflow observation

The final WaterGAP calibration dataset with streamflow observations consists of 1509 JSON files with monthly streamflow observations (only for years with values for all calendar months). Data for 1252 gauging stations originated from GRDC, 80 from ADHI and 177 from GSIM databases.

In WaterGAP calibration, 30 complete years of streamflow data are ideally used for model calibration. 949 of the 1509  
 170 stations have more than 30 years of data which requires a selection of a suitable start year for calibration. The later the global calibration start year is, the fewer stations and number of years are available for calibration (Fig. 2). In the case of 1979 as the start year for calibration, which would allow to use only the most reliable climate forcing, only 1375 out of 1509 gauging stations would be available for calibration. In addition, the number of years that would be available for calibration is reduced drastically in several parts globally (Fig. 3). Therefore, we decided to not constrain calibration to periods starting in 1979 or  
 175 later.



**Figure 3.** Number of complete years usable for calibration of model parameters in the calibration basins shown, for 1916 and 1979 as calibration start years. "not used" refers to the case where less than four years of streamflow data are available the case of starting calibration in 1979 such that these basins would not be included in model calibration.

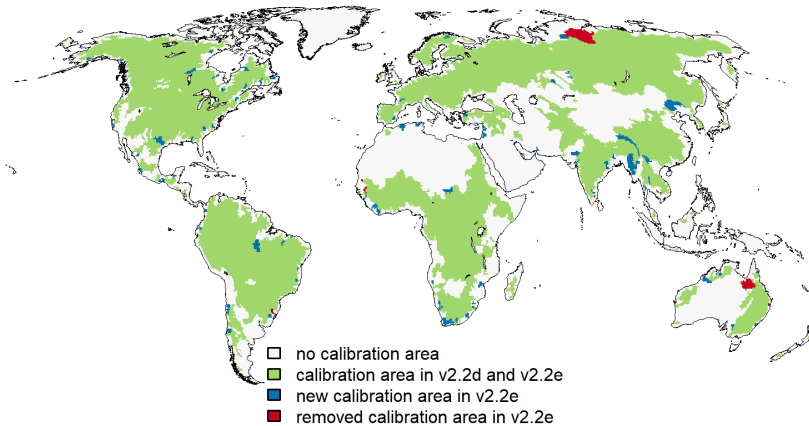
The preferred period for calibration was set to 1981-2010. If for any gauging station, observation data are incomplete for this period, the following is done iteratively until 30 years of data are reached (not necessarily consecutive years) or until no further years are available for the station:

1. go back to 1979 as start year
2. extent the years after 2010
3. go back year by year starting from 1978 until 1901 as start year

During this counting procedure, the years 1980 and 1979 were accidentally considered twice. This led to the effect that for several stations, only 28 (for 362 stations) or 29 (for 34 stations) out of 30 possible calibration years are considered within the calibration procedure. Those missed years are always before 1978 and in the beginning of the possible calibration time period.

An assessment of the difference of the correct 30 year time period and the erroneous one showed that for the majority of river





**Figure 4.** Areas considered for calibration in WaterGAP versions 2.2d and 2.2e. Blue colors indicate grid cells that are newly present as calibration area in 2.2e due to the update of the data basis whereas red colours show grid cells that are no longer calibrated in 2.2e in comparison to 2.2d.

basins, the difference of mean monthly streamflow is  $< 5\%$  (Fig. S3S1). Due to this relatively small influence and as this issue was detected after all analyses had been conducted, we decided not to redo the calibration and all subsequent assessments.

In total, 38543 full calendar years could be used for calibrating WaterGAP 2.2e but due to the error described above, only 37785 full calendar years were considered. For a total of 993 (597 due to the error) out of 1509 stations, a 30-year period was available. For 336 of these stations, the 30-year period matches the time span 1981-2010. For 854 (825 due to the error) stations the calibration years (not necessarily 30 years) start before 1979 and out of these, 82 stations have all their calibration years before 1979. In contrast, the 1319 WaterGAP 2.2d calibration stations sum up to 31184 years, hence the update of the calibration dataset increased the number of years by around 24% (21% due to the error). In terms of calibration area, the overall process increased the calibration area by  $2.14 \cdot 10^6 \text{ km}^2$ , whereas  $0.53 \cdot 10^6 \text{ km}^2$  are no longer included in the calibration area e.g., due to suspicious data (Fig. 4). This results in an increase of calibrated drainage area from 53.8% in WaterGAP 2.2d to 55.1% in WaterGAP 2.2e of the global land area outside Antarctica and Greenland. The average basin size (excluding any additional upstream basin area) decreased from  $54,000 \text{ km}^2$  in 2.2d to  $48,300 \text{ km}^2$  in 2.2e. The calibration basins and streamflow time series are provided in Müller Schmied and Schiebener (2022).

## 2.5 New handling of inland sinks

Cells that represent inland sinks, i.e., cells without outflow of liquid water, are handled like any other cell in WaterGAP 2.2d. Since WaterGAP 2.2a (Döll et al., 2014), focused groundwater recharge below surface water bodies (i.e., lakes and wetlands) is calculated in (semi)arid grid cells. In the case of (semi)arid inland sinks, the focused recharge can reach very high values, which limits assessment of this variable, e.g., in climate impact studies. Furthermore, it is unrealistic to provide a streamflow

value for an inland sink as there is - other than an ocean outflow cell - no grid cell that could receive the streamflow generated  
205 in inland sinks.

Hence, inland sinks are handled in 2.2e as follows:

- no focused groundwater recharge below surface water bodies,
- surface runoff and groundwater outflow are routed to the surface water bodies (no fractional routing, Döll et al. (2014))
- simulated streamflow of inland sinks is added to actual evapotranspiration in model output and streamflow is set to zero.

210 This new handling leads to correctly calculated renewable water resources in inland sinks, which can become negative as all precipitation and cell inflow is assumed to be evapotranspired. Diffuse groundwater recharge is computed, and groundwater abstractions as well as surface water abstractions from lakes are taken into account in modeling inland sinks. As a consequence of setting streamflow to zero in inland sinks, the reservoir algorithm cannot be initialized in those grid cells and thus in total four global reservoirs in inland sink cells are treated as global lakes in WaterGAP 2.2e.

## 215 **3 New options for special model applications**

### **3.1 Alternative PET calculation method to approximate the effect of vegetation response when estimating the impact of climate change on evapotranspiration**

Potential evapotranspiration on land surfaces (PET) is determined by a combination of plant transpiration and evaporation from the canopy and the soil. As such, PET is influenced by vegetation characteristics and processes that are affected by  
220 human-induced climate change, in particular rising atmospheric  $CO_2$  concentrations. The physiological effect (with closing stomata decreasing transpiration), the structural effect (also known as the fertilization effect, which may increase canopy evaporation and transpiration), and biome shifts are three types of vegetation responses to rising atmospheric  $CO_2$  (Gerten et al., 2014). These effects influence PET, and if not accounted for, lead to wrong estimates of the impact of climate change on evapotranspiration and water resources.

225 Typical hydrological models, such as WaterGAP, do not simulate the plant phenology processes leading to these effects nor the interaction with the atmosphere. This significantly constrains the capacity of standard hydrological models to assess how water resources change under climate change. Given the intricacy and considerable uncertainty associated with simulating vegetation responses, Peiris and Döll (2023) recommended running hydrological models in two variants, one with the PET algorithm used for conditions where PET is not impacted by vegetation response to climate change (i.e., the standard PET)  
230 and the other where this impact is approximated. Accordingly, in WaterGAP2.2e, the Priestley-Taylor (PT) method is used in the standard model runs to calculate PET (Müller Schmied et al., 2021) and the Priestley-Taylor modified approach (PT-MA) is applied as the alternative PET computation method, where PT-MA considers the vegetation effect when computing the PET in a very simple and approximate way.

The PT method computes PET as a function of net radiation and temperature, where PET increases with temperature. However, analyzing evaporation changes of an ensemble of global climate models, Milly and Dunne (2016) found that under future climate change, PET change as computed with the PT method overestimates the increase of future PET and the PET change is a function of net radiation change only. The impact of increasing temperature on PET is approximately canceled by the impact of changes of other processes that are taken into account by GCMs but not by typical hydrological models (Milly and Dunne, 2016; Yang et al., 2019).

The new PET method PT-MA, which was developed based on the results of Milly and Dunne (2016), can be applied for estimating hydrological changes due to climate change between a reference period and a future period. A temperature reduction factor  $T_{diff}$  is calculated in pre-processing for each land grid cell and year in the future time period and stands for the difference between the annual mean temperature of a 20-year period centered around the year of interest and the mean annual temperature of the reference period. The model then applies this temperature reduction factor to adjust the daily temperature values in future scenarios, thus removing the long-term temperature trends. As a result, the model computes future PET by only taking into account changes in net radiation only, while still varying temperatures at daily to inter-annual scales.

The PT-MA method leads to a roughly similar effect of future anthropogenic climate change on PET as computed by the ensemble of GCMs. Therefore, the PET-MA method is applicable as an alternative for estimating the change of hydrological variables between the reference period and a period in the future for the case, as different from standard WaterGAP, it does not neglect the impact of vegetation dynamics on actual evapotranspiration and thus runoff. With decreased evaporation as compared to climate change runs with the standard WaterGAP with PT, the PT-MA runs lead to less drying or more wetting than PT runs. Given the very simplified manner of considering the vegetation response to climate change, we recommend using both the PT and PT-MA model variants in an ensemble approach to estimating hydrological hazards of climate change. Peiris and Döll (2023) provides further details and verification of this approach.

### 3.2 Integration of glaciers

WaterGAP 2.2d neither simulates water storage in glaciers nor water flows related to glacier dynamics. To take into account water storage and flow dynamics of glaciers in WaterGAP, we implemented a glacier algorithm in WaterGAP 2.2e. This algorithm reads input data sets of glacier area and glacier mass change computed with the global glacier model of Marzeion et al. (2012) and of total precipitation (rainfall plus snowfall) on glacier area from the atmospheric data set used to force the glacier model. These input data sets are used 1) to integrate a glacier area fraction in the grid cells where glaciers are located, 2) to calculate glacier runoff, i.e., the runoff generated from precipitation on glacier area and glacier mass change, and 3) to include a glacier water storage compartment in the hydrology model. The glacier runoff is added to the cell's fast runoff, which partly flows directly into the river while the rest flows into the other surface water bodies. In the standard version of WaterGAP 2.2e, the glacier algorithm is switched off, i.e., glaciers are not included. This is because the algorithm relies on glacier-related input data sets that are currently only available from January 1948 to December 2016, whereas standard model runs require input data from 1901 onwards and up-to-date climate forcing datasets prolongs after the year 2016. WaterGAP2.2e with glaciers was validated by comparing simulated global monthly total water storage anomalies to observations from an ensemble of four

GRACE spherical harmonic solutions for the period January 2003 to August 2016. For more details regarding the glacier algorithm implementation and validation, we refer the reader to Cáceres et al. (2020).

### 270 **3.3 Ability to start from prescribed initial conditions**

A typical model run of WaterGAP starts with several years of initialization (e.g., 5 years) to enable storage compartments to swing in from their initial conditions to more realistic ones. The stop and restart of the model in a specific month was a functionality that was not required in earlier versions of WaterGAP. WaterGAP 2.2e is now able to store all states (storage compartments), parameters (such as area reduction factors) and additional information (such as days of the vegetation growing  
275 period) for a pre-defined month of a specific year. A model run can then be started from this prescribed stored initial state.

The ability to start the model from a prescribed initial condition is required, for example, for model runs for near-real-time monitoring and ensemble forecasts. This feature was used within the framework of the ISIMIP3b simulations as different scenarios for the future time period could be started from a given state of the historical time period, which reduced runtimes as compared to a transient run drastically.

280 Furthermore, this functionality enables the model to run a certain month, modify e.g., storage compartments externally (assimilation of e.g., GRACE data) and start the next month in WaterGAP. This offline-coupling allows data assimilation studies and in addition, WaterGAP is prepared for online-coupling in the PDAF system (Nerger and Hiller, 2013). For this, WaterGAP compiles not only as an executable to run on a Linux system but also as a library that can be embedded in PDAF. As the writing and reading of physical data is omitted, this online coupling strongly reduces the runtime of monthly data  
285 assimilation.

### **3.4 Calculation of river water temperature**

The estimation of water temperature of rivers is relevant e.g., for the solubility of gases, the metabolic rate of aquatic flora and fauna and the formation of ice. Furthermore, changes in water temperature do not only have local but also downstream effects (Olden and Naiman, 2010). Also, the return flows from thermal power plants increase river water temperature. Due to  
290 the importance of water temperature as a physical water quality indicator, the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP) included river water temperature as a requested variable in its recent project phase 3. In WaterGAP 2.2e, and inspired by the approaches of Van Beek et al. (2012) and Wanders et al. (2019), the calculation of river water temperature is implemented. Implementation details as well as a validation against observed river water temperature can be found in Ackermann (2023). When comparing simulated river temperatures of WaterGAP with a regression approach of air temperature  
295 (Punzet et al., 2012) results are rather similar. Ackermann (2023) initially compared the results of WaterGAP and the regression approach with observation data and concluded that the regression approach from air temperature obtains often higher performance indicator values. They also showed that e.g., the inclusion of warming due to return flows from thermal power plants improved model simulations. For assessing if the implemented approach is useful for impact assessments, further evaluation is required and will be conducted e.g., in the newly formed water quality sector of ISIMIP.

**Table 1.** Overview of the climate forcings used to drive WaterGAP 2.2e (and 2.2d).

no	name	before 1979	after 1979	temporal coverage	source and further info
1	gswp3-w5e5	GSWP3 v1.09	W5E5 v2.0	1901-2019	Lange et al. (2022)
2	gswp3-era5	GSWP3 v1.09	ERA5	1901-2022	provided by Stefan Lange <sup>1</sup>
3	20crv3-w5e5	20CRv3	W5E5 v2.0	1901-2019	Lange et al. (2022)
4	20crv3-era5	20CRv3	ERA5	1901-2021	Lange et al. (2022)

<sup>1</sup> until 2021, extended to 2022 by the authors of this paper based on the methodology provided by Stefan Lange

## 300 4 Climate forcings and model setup

### 4.1 Climate forcings

WaterGAP was calibrated and run with a total of four climate forcings, which are mainly from the ISIMIP phase 3a (Frieler et al., 2023). All the climate forcings are a concatenation of two data sets - one for the period prior to 1979 and one for the period starting in 1979 (Table 1). The year 1979 is the first year of the current up-to-date ERA5 reanalysis, which is either  
305 directly used or the basis for a specific bias adjustment to observation data.

GSWP3 in its version 1.09 (Kim, 2017) is a bias-adjusted and downscaled version of the Twentieth Century Reanalysis version 2 (20CRv2) (Compo et al., 2011). The ensemble member 1 of the Twentieth Century Reanalysis version 3 (20CRv3) (Slivinski et al., 2019, 2021) was interpolated to 0.5 deg spatial resolution but not bias-adjusted (Lange et al., 2022). ERA5 (Hersbach et al., 2020) is the latest version of the European Reanalysis. The year 2022 for ERA5 is added based on the scripts  
310 that have been provided by Stefan Lange with an ERA5-download date of 25.01.2023. W5E5 v2.0 (Cucchi et al., 2020; Lange et al., 2021) is a bias-adjusted version of the current version of the European Reanalysis ERA5 (Hersbach et al., 2020).

The climate forcings are concatenated by applying a bias adjustment of the dataset before 1979 to the dataset thereafter by using ISIMIP3BASD v2.5.1 (Lange, 2019, 2021). This reduces discontinuities at the 1978/1979 transition. For details see Mengel et al. (2021).

### 315 4.2 WaterGAP model variants

The standard model variant "ant" includes human interference with the hydrological cycle, namely human water use and reservoir operation (here and in ISIMIP3 nomenclature "histoc"). In contrast, the model is also run in a **naturalized**-"nat" mode without water use and reservoirs to reflect a hydrological system without those direct human impacts (here named with "nosoc", in ISIMIP3 nomenclature "nowatermgt"). All model variants are calibrated with the corresponding climate forcing.  
320 The standard climate forcing of WaterGAP 2.2e is gswp3-w5e5. To compare the effect of model development, we calibrated and ran WaterGAP 2.2d with the gswp3-w5e5 climate forcing and the calibration database of 2.2e. In total, the outputs of eight WaterGAP 2.2e variants are available (four climate forcings with histoc and nosoc setup) as well as the output of two

**Table 2.** Global water balance components with a model variant of WaterGAP 2.2e including local reservoirs in local lakes under naturalized [setup-variant](#) (as it was in 2.2d, labeled 2.2e\_nat with local reservoirs) and in WaterGAP 2.2e where local reservoirs are removed from local lakes in a naturalized [setup-variant](#) (labeled 2.2e\_nat). Water balance components for the time period 1991-2019. All units in  $\text{km}^3 \text{yr}^{-1}$ .

	2.2_nat with local reservoirs	2.2e_nat	2.2e - 2.2e with local reservoirs
Precipitation	111578.0	111578.0	0.0
Actual evapotranspiration	70863.7	70852.5	-11.3
Streamflow into oceans	40709.4	40720.7	11.3
Change of total water storage	4.8	4.8	0.0
Long-term average volume balance error	0.0	0.0	0.0

WaterGAP 2.2d variants (one climate forcing with histsoc and nosoc setup, calibrated to the new WaterGAP 2.2e streamflow observations data).

## 325 5 Results of standard model modifications

### 5.1 Effect of removing local reservoirs from naturalized runs

The impact on the global water balance of no longer assuming that local reservoirs exist in naturalized runs is small (Table 2). As fewer water bodies are considered in 2.2e, actual evaporation decreases and streamflow increases by the same amount. Streamflow thus increases by less than 0.03 %. The change in water storage components is only minor (not shown).

### 330 5.2 New calibrated parameters

[The calibration as implemented in the standard version of WaterGAP focuses on adjusting biases in a rather simple method. More comprehensive approaches are currently in development \(Döll et al., 2024; Hasan et al., 2023\) and might be used in future model versions.](#) While the calibration approach for WaterGAP 2.2e is the same as for WaterGAP 2.2d, the data set of observed streamflow differs as described in Sect. 2.4. Calibration of WaterGAP 2.2e was done for all four climate forcings.

335 To explore the impact of the model version, WaterGAP v2.2d driven by gswp3-w5e5 was calibrated using the 2.2e streamflow observation dataset, too. As described in Müller Schmied et al. (2021, their Section 4.9), the calibration follows a four-step scheme with specific calibration status (CS):

1. CS1: adjust the basin-wide uniform parameter  $\gamma$  (Müller Schmied et al., 2021, their Eq. 18) in the range of [0.1-5.0] to match mean annual observed streamflow within  $\pm 1\%$ .

340 2. CS2: adjust  $\gamma$  as for CS1, but within 10% uncertainty range (90-110% of observations).

3. CS3: as CS2 but apply the areal correction factor CFA (adjusts runoff and, to conserve the mass balance, actual evapotranspiration as the counterpart of each grid cell within the range of [0.5-1.5]) to match mean annual observed streamflow with 10% uncertainty.
4. CS4: as CS3 but apply the station correction factor CFS (multiplies streamflow in the cell where the gauging station is located by an unconstrained factor) to match mean annual observed streamflow with 10% uncertainty to avoid error propagation to the downstream basin.

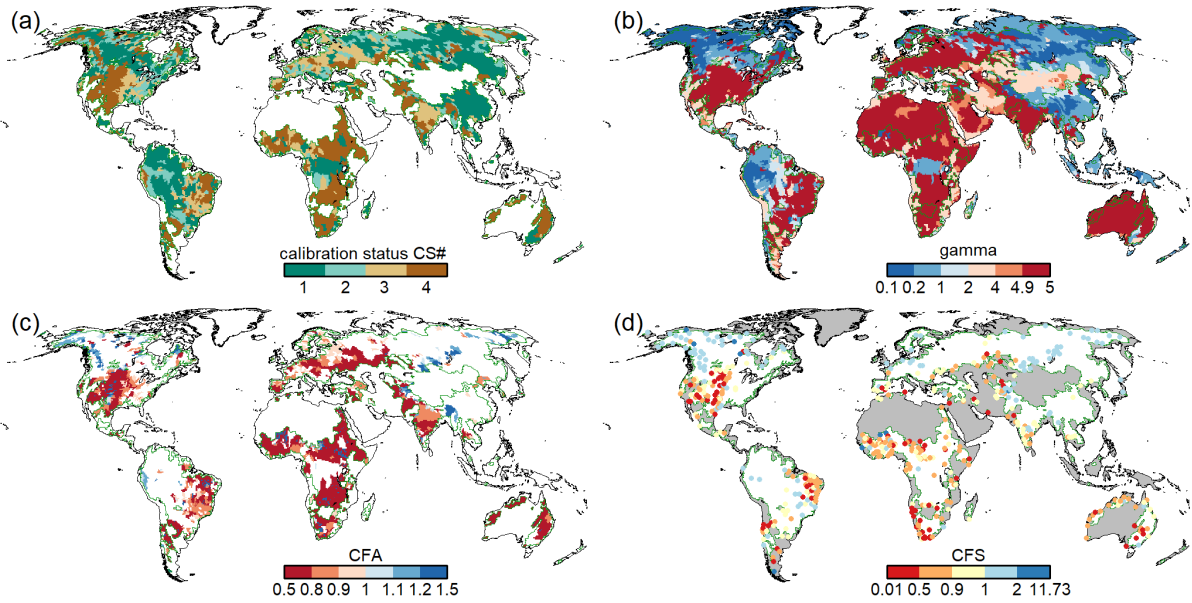
For each basin, calibration steps 2-4 are only performed if the previous step was not successful.

The calibration of WaterGAP 2.2e (2.2d) driven by the standard climate forcing gwsp3-w5e5 results in 519 (524) basins with calibration status CS1, 216 (212) basins with calibration status CS2, 262 (323) basins with calibration status CS3 and 512 (449) basins with calibration status CS4. While, with 49 %, the percentage of river basins that can be calibrated without applying correction factors is nearly the same for both model versions, the modification/update of reservoir or water use data in 2.2e lead to substantially more stations where not only the areal correction factor CFA but also the station correction factor CFS is required to match the simulated long-term annual streamflow with observations. The 69 stations that moved from CS3 in WaterGAP 2.2d to CS4 in WaterGAP 2.2e are located all around the globe in different climate zones, but a lot of them are located in snow-dominated regions. 64 of these stations have a CFS value of larger than 1 indicating streamflow is underestimated by WaterGAP 2.2e unless CFS is applied. This difference is due to a slightly different handling of the calibration routines of 2.2d and 2.2e. Whereas in 2.2d the calibration period uses a spin-up of a 5 year time period prior the calibration start year, in 2.2e the calibration start year is repeated 5 times. Hence, different calibration results can occur especially in the first calibration year which can finally result in a different CS.

The spatial distribution of calibration parameters and the calibration status is shown for WaterGAP 2.2e and the standard forcing gwsp3-w5e5 in Fig. 5, and for 2.2d in supplementary Figure S4. For the calibration results for WaterGAP 2.2e driven by the other three climate forcings, the reader is referred to the supplementary Figs. [S5-S7](#)[S3-S5](#).

### 5.3 Improved handling of inland sinks

The improved handling of inland sinks leads to a reduction of global streamflow, an increase in actual evapotranspiration and a slight decrease of the total water storage change in the period 2001-2010 (Table 3). This is expected as streamflow is now assumed to become actual evapotranspiration in inland sinks. Hence, between WaterGAP 2.2d and WaterGAP 2.2e, the assessment of streamflow into oceans in the water balance component has a different meaning. The improved handling of inland sinks increases global actual evapotranspiration by 1.1 % and decreases global streamflow into oceans and inland sinks by 2.0 %. Focused recharge is neglected in inland sinks which leads to less groundwater storage. The water balance error is not affected.



**Figure 5.** Results of the calibration of WaterGAP 2.2e driven by the gswp3-w5e5 climate forcing, with (a) the calibration status of each of the 1509 calibration basins, (b) calibration parameter  $\gamma$ , (c) areal correction factor CFA and (d) station correction factor CFS. Grey areas in (d) indicate regions with regionalized calibration parameter  $\gamma$  and for (a)-(d) dark green outlines indicate the boundaries of the calibration basins. For details of the calibration procedure, the reader is referred to Müller Schmied et al. (2021)

**Table 3.** Global water balance components with a model version including the improved handling of inland sinks in WaterGAP 2.2e as compared to previous handling (as in WaterGAP 2.2d). Water balance components for the time period 2001-2010. Please note that the model version used for this assessment is a pre-2.2e version and run with a different climate (a combination of WFD-WFDEI). The purpose here is only to show the effect of new handling of inland sink cells. The unit of all variables is  $\text{km}^3 \text{yr}^{-1}$ .

	2.2e old inland	2.2e standard	2.2e st - 2.2e old
Precipitation	112438.5	112438.5	0.0
Actual evapotranspiration <sup>1</sup>	72086.8	72903.8	817.0
Streamflow into oceans <sup>2</sup>	40332.4	39518.6	-813.8
Change of total water storage	19.3	16.0	-3.3
Long-term average volume balance error	0.1	0.1	0.0

<sup>1</sup> including (excluding) streamflow in inland sinks for 2.2e (2.2d)

<sup>2</sup> including (excluding) streamflow in inland sinks for 2.2d (2.2e)



## 5.4 Global water balance components

### 5.4.1 Major water balance components

The calculation of globally aggregated water balance components for WaterGAP 2.2e driven by gswp3-w5e5 is shown in Table 4. The corresponding tables for the other model variants are provided in the supplementary Tables S2-S5. Due to bias adjustment of precipitation, precipitation is larger for the climate forcings that include W5E5 as compared to those that include ERA5. For all model variants, climate forcings and time periods, the streamflow to the oceans (for Table S2 it is streamflow to the oceans and inland sinks) is between 39,000 and 40,500  $km^3yr^{-1}$ . As global streamflow does not vary much as a consequence of calibration even though the precipitation varies, actual evapotranspiration differs strongly between the model variants that are driven by either W5E5 or ERA5, from 70,000 to 80,000  $km^3yr^{-1}$ . Please note that as a consequence of the new handling of inland sinks (Sect. 2.5), inland sinks do not contribute to globally aggregated streamflow in WaterGAP 2.2e and thus the amount is lower than in previous model versions. However, we indicated the inflow into inland sinks in the tables for model version 2.2e, which is the amount of water that would have been included in row 3 for model version 2.2d but is now included in row 2. For the Table S2 (WaterGAP 2.2d) row 4 is included in row 3. This different handling of inland sinks explains the differences between streamflow and actual evapotranspiration between versions 2.2d and 2.2e. For assessments of renewable water resources, it is recommended to sum up rows 3 and 4 for WaterGAP 2.2e results.

**Table 4.** Global-scale (excluding Antarctica and Greenland) water balance components for different time spans as simulated with WaterGAP 2.2e with gswp3-w5e5. The unit of all variables is  $km^3yr^{-1}$ . Long-term average volume balance error is calculated as the difference of component 1 and the sum of components 2,3 and 8.

No.	Component	1961 – 1990	1971 – 2000	1981 – 2010	1991 – 2019	2001 – 2019
1	Precipitation	110637	111279	111350	111574	111655
2	Actual evapotranspiration <sup>1</sup>	71325	71755	71816	71998	72063
3	Streamflow into oceans	39295	39530	39584	39666	39697
4	Inflow into inland sinks <sup>2</sup>	776	794	795	841	846
5	Actual consumptive water use <sup>3</sup>	904	1049	1195	1307	1369
6	Actual net abstraction from surface water	1036	1186	1338	1448	1501
7	Actual net abstraction from groundwater	-132	-137	-143	-141	-132
8	Change of total water storage	17	-6	-49	-91	-105
9	Long-term average volume balance error	-0.46	-0.34	-0.20	-0.08	-0.07

<sup>1</sup> including actual consumptive water use

<sup>2</sup> streamflow that flows into inland sinks; the simulated streamflow of inland sinks is added to actual evapotranspiration

<sup>3</sup> sum of rows 6 and 7

## 5.4.2 Water storage components

The globally aggregated water storage component changes are shown in Table 5 for WaterGAP 2.2e driven by gswp3-w5e5. While during the period 1961-1990, the increase in water storage in reservoirs and regulated lakes, due to dam construction, more than balance the decrease of groundwater storage due to human water use, the latter dominated in all later evaluation periods. While the annual rate of groundwater loss has steadily increased from the period 1961-2000 to the period 2001-2019, the annual total water storage loss rate has steadily increased from the period 1971-2000 onward. This is also true for the other model variants (supplementary Tables S6-S9). For all three climate forcings, WaterGAP 2.2e computes a decline in snow water storage since the period 1981-2010. For other storage compartments, different climate inputs result in different signs of change, without a specific component that is dominantly sensitive. When comparing the water storage changes of WaterGAP 2.2e (Table 5) and WaterGAP 2.2d (Table S6), most components are similar but in WaterGAP 2.2d the reservoirs and global lakes gain less water than in WaterGAP 2.2e in the more recent time periods. ~~This is due to the modification of the reservoir algorithm (Sect. ??)-~~

**Table 5.** Globally aggregated (excluding Antarctica and Greenland) water storage component changes during different periods as simulated by WaterGAP 2.2e with gswp3-w5e5. All units in  $km^3 yr^{-1}$ .

No.	Component	1961–1990	1971–2000	1981–2010	1991–2019	2001–2019
1	Canopy	0	0	0.1	0	0
2	Snow	11.4	-9.2	-2.5	-13.7	-0.8
3	Soil	4.9	7.6	9.5	-0.3	-8.8
4	Groundwater	-62.0	-68.4	-96.0	-117.7	-144.5
5	Local lakes	0.3	1.1	0.9	0.2	-1.3
6	Local wetlands	0.7	-0.5	4.6	4.4	9.2
7	Global lakes	-2.7	-3.5	-2.5	4.3	9.8
8	Global wetlands	-3.5	5.0	0.8	0.0	-7.0
9	Reservoirs and regulated lakes	70.8	50.8	36.0	24.9	25.1
10	River	0.4	5.4	-8.1	3.8	4.1
11	Total water storage	20.3	-11.9	-57.2	-94.1	-114.3

## 5.4.3 Water use components

Globally aggregated sectoral potential withdrawal and consumptive water uses as well as use fractions from groundwater are shown in Table 6 for WaterGAP 2.2e and gswp3-w5e5; the corresponding values for the other model variants are given in the supplementary Tables S10-S13. Irrigation accounts for two thirds of potential water abstractions (WU) and 88% of potential consumptive use. Groundwater withdrawals are estimated to cover about 22% of all withdrawals, with the highest fraction for the domestic sector, while 35% of total potential consumptive use is supplied by groundwater, due to the assumed higher water

**Table 6.** Globally aggregated (excluding Antarctica and Greenland) sectoral potential withdrawal water use WU and consumptive water use CU ( $km^3 yr^{-1}$ ) as well as use fractions from groundwater (%) as simulated by GWSWUSE of WaterGAP 2.2e for the time period 1991-2019.

Water use sector	WU	Percent of WU from groundwater	CU	Percent of CU from groundwater
Irrigation	2541	25	1179	37
Thermal power plants	592	0	18	0
Domestic	352	35	57	36
Manufacturing	298	27	60	25
Livestock	29	0	29	0
Total	3813	22	1342	35

use efficiency in the case of irrigation with groundwater. The table values represent the human demand for water that cannot  
 405 be completely satisfied in WaterGAP 2.2e due to a lack of surface water resources. Only  $1307 km^3 yr^{-1}$  of the  $1342 km^3 yr^{-1}$   
 of potential consumptive use can be fulfilled in the period 1991-2019 (row 5 in Table 4). The climate forcings including  
 ERA5 have  $150 km^3 yr^{-1}$  less potential withdrawal water use for irrigation than the forcings with W5E5, which is a result of  
 more precipitation and thus less irrigation demand. Still, potential consumptive use of  $1268 km^3 yr^{-1}$  cannot be fulfilled, and  
 only  $1237 km^3 yr^{-1}$  is actually consumed (compare Tables S13 and S5). Global sectoral water demand differences between  
 410 WaterGAP 2.2d (Table S10) and 2.2e are visible only for the two updated water use sectors cooling of thermal power plants  
 and manufacturing.

## 6 Application of new model options

### 6.1 Effect of PET calculation with PT-MA on the global water balance under climate change

The effect of the modified Priestley-Taylor PET approach (PT-MA) is tested by running WaterGAP as driven by two ISIMIP3b  
 415 global climate models (GFDL-ESM4 and CanESM5) for the future under the emissions scenario RCP8.5, with both standard  
 PT and the newly developed PT-MA approach. Analyzing the global water balance components for the period of 2071-2100,  
 actual evapotranspiration is, as expected, lower with the PT-MA method, and global streamflow is increased by around the  
 same amount (Table 7). In the case of GFDL-ESM4 and CanESM5, the PT-MA method leads to an increase of the streamflow  
 into oceans by 2.7% and 4.0%, respectively. If hydrological models neglect the effect of the active vegetation response to  
 420 the increasing atmospheric  $C'O_2$  concentrations, it can thus be expected that they may underestimate future water resources  
 (Milly and Dunne, 2016; Peiris and Döll, 2023). Other water balance components are affected only marginally, also because  
 the PT-MA method is not applied in WaterGAP 2.2e when computing irrigation water use.

**Table 7.** Globally aggregated (excluding Antarctica and Greenland) water balance components for the period 2071-2100 as computed with standard PET model variant (PT) and the alternative PET model variant (PT-MA) that takes into account in a very simple manner the impact of climate change on vegetation when computing PET. The WaterGAP variants are driven by the bias-adjusted output of the GFDL-ESM4 and CanESM5 as provided by ISIMIP. The column diff corresponds to PT-MA - PT for the respective GCM. All units in  $\text{km}^3 \text{yr}^{-1}$ .

	GFDL <sub>PT</sub>	GFDL <sub>PT-MA</sub>	diff	CanESM5 <sub>PT</sub>	CanESM5 <sub>PT-MA</sub>	diff
Precipitation	108633	108633	0	130617	130617	0
Actual evapotranspiration <sup>1</sup>	70924	69907	-1017	82838	80894	-1944
Streamflow into oceans <sup>2</sup>	37850	38859	1009	47764	49689	1925
Change of total water storage	-141	-133	8	15	34	18
Long-term average volume balance error	0	0	0	0	0	0

<sup>1</sup> including actual consumptive water use

<sup>2</sup> inland sinks are not considered

## 6.2 Effect of glaciers on the global water balance

The inclusion of glaciers in a WaterGAP run influences all global water balance components (Table 8). Precipitation is higher due to a different precipitation product used in the original glacier model (see Cáceres et al., 2020), so that the other components are impacted by both the different precipitation and the glacier processes themselves. As expected, total water storage shows much stronger negative trends if the glacier option is enabled due to ice loss of the melting glaciers. Global streamflow into oceans increases with enabled glacier option due to 1) the additional meltwater from the glaciers, 2) increased precipitation input and 3) decreased AET, as AET is assumed to be zero on the areas that are covered by glaciers but is larger than zero when standard land cover takes up the part of the glacier in the standard run. Other components are affected only marginally. A comparison of simulated total water storage anomalies (TWSA) averaged over all land areas of the globe (except Antarctica and Greenland) to GRACE TWSA observations showed a good fit regarding seasonality and trend, while without the glacier options, the simulated WaterGAP trend is too small (Cáceres et al., 2020).

## 7 Evaluation of WaterGAP 2.2e

### 7.1 Model variants used for the evaluation

The evaluation was done using the output of the WaterGAP runs in the anthropogenic mode, considering human water use and reservoir operation. The difference between the model version 2.2d and 2.2e is investigated by running both variants with the climate forcing gswp3-w5e5. The effect of the different climate forcings is assessed by comparing WaterGAP 2.2e driven by the gswp3-w5e5 climate forcing to WaterGAP driven by the gswp3-era5 climate forcing. The evaluation as such follows closely Müller Schmied et al. (2021) for the sake of consistency.

**Table 8.** Global-scale (excluding Antarctica and Greenland) water balance components for two time spans as simulated with the standard model version WaterGAP 2.2e and the version with enabled glacier option. All units in  $\text{km}^3 \text{yr}^{-1}$ . Long-term average volume balance error is calculated as the difference between component 1 and the sum of components 2, 3 and 7.

No.	Component	1971 - 2000			2001-2016		
		standard	glacier	glacier-standard	standard	glacier	glacier-standard
1	Precipitation	111279	111955	676	111601	112254	653
2	Actual evapotranspiration <sup>1</sup>	71756	71642	-114	72043	71930	-112
3	Streamflow into oceans and inland sinks	39529	40438	909	39696	40735	1039
4	Actual consumptive water use <sup>2</sup>	1049	1057	8	1364	1371	7
5	Actual net abstraction from surface water	1186	1206	20	1492	1510	18
6	Actual net abstraction from groundwater	-137	-149	-12	-128	-139	-11
7	Change of total water storage	-6	-124	-118	-138	-412	-274
8	Long-term average volume balance error	-0.34	-0.34	0.00	-0.09	-0.09	0.00

<sup>1</sup> including actual consumptive water use

<sup>2</sup> sum of rows 5 and 6

## 7.2 Independent datasets used for model evaluation

### 7.2.1 Water abstractions

AQUASTAT is the Food and Agriculture Organization of the United Nations Global Information System on Water and Agriculture (FAO, 2022). For individual countries, it provides water abstractions (withdrawals) for different water use sectors. In addition to the six water use variables used in Müller Schmied et al. (2021), we used here abstractions for cooling of thermo-electric power plants as well as those for the livestock sector. For the evaluation, all database entries (yearly values) available on FAO (2022) until (including) 2019 were used. The evaluation metrics as described in Müller Schmied et al. (2021, their Sect. 6.3.1) are calculated using each single data point of AQUASTAT without any temporal aggregation by country.

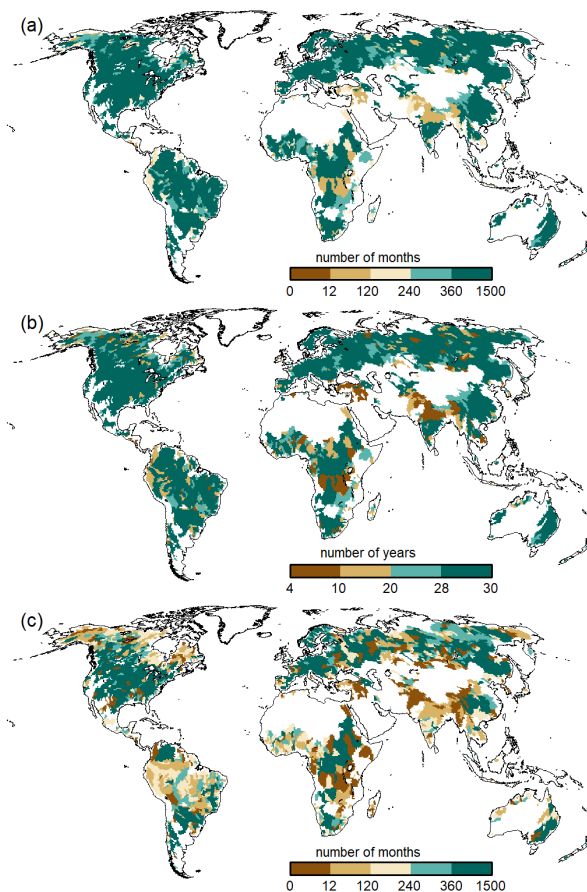
### 7.2.2 Streamflow

The streamflow dataset described in Sect. 2.4 and Müller Schmied and Schiebener (2022) can be classified as follows.

- all months available for the station, including months in incomplete years (ALL)
- months in complete years that went into the calibration of the model (CAL)
- months that remain from ALL when months for CAL are removed (VAL)

The number of months per basin and class is shown in Fig. 6. Those basins (stations) that have less than 361 months total and consequently for calibration, do not have additional streamflow data for validation. The median number of months

per category are 544, 336 and 207 for ALL, CAL and VAL, respectively. For VAL, 240 of the 1509 calibration basins have less than 12 months with observations (out of which 198 are without any observations). This means that for around 16 % of the basins, validation is not possible. For this reason, and also as model calibration only aims at improving long-term average annual streamflow, we evaluated the simulated monthly streamflow time series against all available monthly observations in 460 the following but provide the same assessments with CAL and VAL in the supplementary material.



**Figure 6.** Number of available months of streamflow observation data (All) (a), number of complete years for calibration (CAL) (b) and number of months for validation (VAL) (c).

### 7.2.3 Total water storage anomalies

The Gravity Recovery And Climate Experiment (GRACE) satellite mission was in orbit between 2002 to 2017 to observe the temporal changes of the Earth's gravity field and obtain monthly time series of total water storage anomalies (TWSA). Its follow-on mission GRACE-FO started in 2018 to continue the measurements. Thus, a data gap of several months exists. 465 In addition, due to the aging batteries of the GRACE mission, no data were collected in specific periods leading to further

data gaps in the GRACE time series. Forootan et al. (2020) published a strategy based on independent component analyses (ICA) to combine data from the Swarm explorer mission and GRACE(-FO) to reconstruct a gap-free time series. The AAU Geodesy product was recently extended to include GRACE-FO TWSA data until 07/2021. For the reconstruction, the release of monthly GRACE L2 product RL06 between 04/2002 to 09/2016 and the release RL05 between 11/2016 to 01/2017 in terms of spherical harmonic coefficients up to degree and order 96 were downloaded from the Center for Space Research (CSR, <http://www2.csr.utexas.edu/grace/>). GRACE-FO data were also downloaded from the CSR webpage. The combined monthly Swarm L2 gravity model was downloaded from <http://www.asu.cas.cz/~bezdek/vyzkum/geopotencial/> in terms of spherical harmonic coefficients up to degree and order 40 between 12/2013 to 12/2018. The coefficients of degree one of GRACE(-FO) are augmented by those derived from Swenson et al. (2008), whereas the degree two coefficients are replaced by those derived from SLR data following Cheng et al. (2013). The degree one and two coefficients of the Swarm fields were also replaced to be consistent with the treatment of GRACE(-FO) processing. GIA corrections were applied after implementing the reconstruction. For details on the data processing and ICA approach see Forootan et al. (2020).

In this study, monthly GRACE(-FO) TWSA values are estimated on a regular global 0.5 deg grid. The grid values are spatially averaged over 148 river basins (TWSA validation basins). The TWSA validation basins were derived by combining a few of the 1509 streamflow calibration basins such that the area of each TWSA validation basin is larger than 200000 km<sup>2</sup>. A two-step approach was applied to filter the observations and to compute and reduce leakage errors of the basin-averaged time series following the approach of Khaki et al. (2018). In the first step, a 2d-destriping filter was designed for the spectral domain that acknowledges the north-south striping pattern of the GRACE(-FO) error structure and aims to retain the high-frequency spatial changes while removing the noise. In the second step, an efficient averaging kernel was designed to spatially average the observations for the 148 selected river basins and simultaneously estimate the leakage-in and leakage-out of the signal. These estimates are used to correct the smoothed signal of step 1. The magnitude of the leakage error is used to represent the TWSA uncertainties because this error is dominant in the TWSA processing steps. We consider the time span between 01/2003 - 12/2019, limited by the common period of GRACE(-FO) data and model output from the different WaterGAP versions.

### 7.3 Evaluation metrics

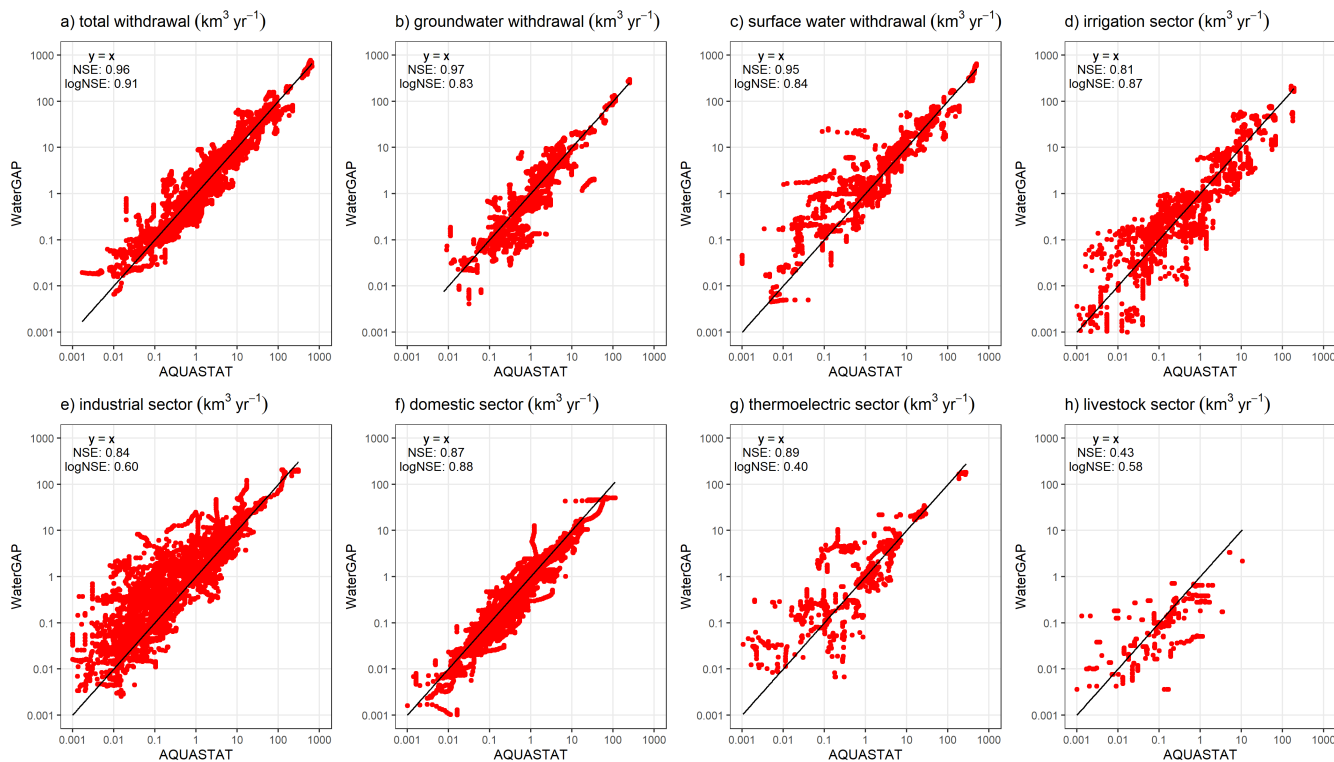
The Nash-Sutcliffe efficiency metric  $NSE(-)$  (Nash and Sutcliffe, 1970), the Kling-Gupta efficiency metric  $KGE(-)$  with its components correlation  $KGE_r(-)$ , bias  $KGE_b(-)$  and the deviation of variability  $KGE_g(-)$  (Kling et al., 2012; Gupta et al., 2009) as well as TWSA-related metrics are applied here and were described in Müller Schmied et al. (2021, their Sect. 6.3). To improve the readability of this paper, the definitions of the evaluation metrics are repeated in Appendix B.

### 7.4 Evaluation results

#### 7.4.1 Water abstractions

The evaluation of simulated potential abstractions against reported abstraction values in the AQUASTAT database (FAO, 2022) shows a reasonable model quality (Fig. 7). WaterGAP total withdrawal water uses and also total groundwater and surface

withdrawals water use show a very good fit to the AQUASTAT data, which were not used as model input. Slightly less but still reasonable performance is shown for the sectors irrigation, industrial (manufacturing), domestic and thermoelectric. WaterGAP
 500 tends to overestimate withdrawal water uses in the industrial sector (Fig. 7e) and underestimate them in the domestic sector (Fig. 7f). The update of the thermoelectric and manufacturing sectors in WaterGAP 2.2e slightly decreases the fit to AQUASTAT data (comp. Figs. 7 and S8). In particular, the tendency of overestimation of withdrawal water uses in the thermoelectric sector in 2.2d is shifted also towards a partial underestimation in 2.2e. In addition, values for WaterGAP 2.2e are lower as compared to 2.2d. The distribution of the industrial sector in 2.2e tends to spread more as compared to 2.2d.
 505 The performance of the livestock sector with an *NSE* of 0.4 is relatively low and both overestimations and underestimations are visible (Fig. 7h). However, the total volumes are mostly below  $1 \text{ km}^3 \text{ yr}^{-1}$  and the amount of data points from AQUASTAT is lowest among the other variables. The difference between the irrigation sector, and the corresponding total, groundwater and surface water withdrawal water uses due to the different climate forcings is rather low in comparison to AQUASTAT, as are the differences to WaterGAP 2.2d (Figs. S8-S11). A slightly lower fit of WaterGAP forced by ERA5 to AQUASTAT irrigation abstractions is observed (comp. Figs. 7 and S11).
 510



**Figure 7.** Comparison of potential withdrawal water uses from WaterGAP 2.2e and gswp3-w5e5 with AQUASTAT (FAO, 2022). Each data point represents one yearly value per country for the time span 1964–2019, if present in the database.



## 7.4.2 Streamflow

The evaluation of streamflow indicates the overall best results with WaterGAP 2.2e driven by gswp3-w5e5 (Fig. 8 and Table 9). There are only very small differences between the model versions 2.2d and 2.2e under the same climate forcing. The gswp3-era5 climate forcing leads to a slightly lower performance with regard to mean bias ( $KGE_b$ ) and variability ( $KGE_g$ ). The  
515 simulations as driven by climate forcings that use 20crv3 prior to 1979 have much lower performance metrics than those that use gswp3 (Figs. 8, D1). This is also visible in the cumulative distribution functions of  $KGE$ ,  $NSE$  and the  $KGE$  components (Figs. 9, D1, D2, D3, D4).

With WaterGAP 2.2e as driven by gswp3-w5e5, large areas of North America and Africa result in  $NSE$  values below 0.5, which is a similar pattern as in Müller Schmied et al. (2021, their Fig. 7) (Fig. 10). Basins in the lowest  $KGE$  class are the  
520 same as the basins with  $NSE$  performance lower than 0.5 (Fig. 11a). As intended by the calibration routine, the  $KGE_b$  is mostly around the value 1 (Fig. 11b). Deviations are due to a longer time series for evaluation for several stations and the model start in 1901 for evaluation instead of the calibration period (where time spans differ). There are many regions with close-to-optimal  $KGE$  components  $KGE_b$  and  $KGE_r$  (Fig. 11c) but  $KGE_g$  that deviates strongly from 1, indicating that streamflow variability is not simulated well (Fig. 11d). In most snow-dominated river basins, WaterGAP underestimates the  
525 variability. Correlations are poor in some dry and some snow-dominated basins. Performance is generally lower in highly anthropologically altered basins such as the outlet of the Nile basin where WaterGAP cannot simulate the seasonality and interannual variability of the upstream dam releases and water abstractions well, resulting in a low  $KGE_r$  and  $KGE_g$  (Fig. 11c, d).

Performances according to the Köppen-Geiger climate zones are shown in Tables 9, D3, D4, D2, D1. Please note that the  
530 assignment of a basin to the climate zone is based on the climate forcing used and can thus differ slightly among the model variants.

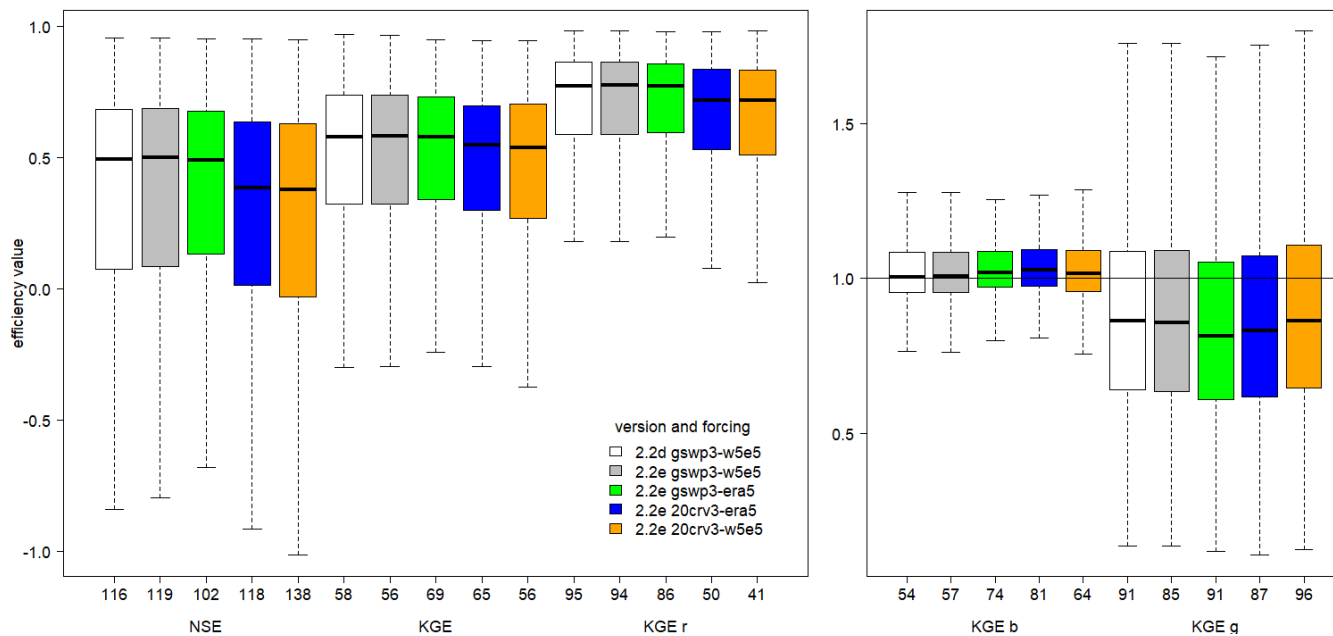
When assessing the  $KGE$  and  $NSE$  performance indicator for Köppen-Geiger climate zones, a similar pattern is visible despite that the distribution in the classes is differing due to the obviously different meaning of the performance values (Table D1).

535 Highest  $KGE_r$  values are generally reached for A and C climates and especially here, the difference between the gswp3 and 20crv3 climate forcing combinations is visible (Table D2).

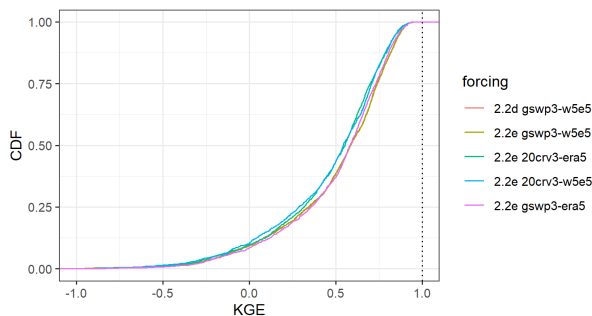
For  $KGE_b$ , a tendency to simulate higher mean streamflow as compared to the observation is visible for A and C climates, whereas for the other climate zones, the number of basins is distributed rather equally around the 10% deviation that is introduced by the calibration routine (Table D3).

540 The variability indicator  $KGE_g$  differs largely from the optimum value, especially for A, B and D climate zones. For A (D) climates, all models underestimate variability around half (2/3) of the basins. The model variants as driven by ERA5 climate combinations have a tendency to underestimate variability, especially in C climates (Table D4).

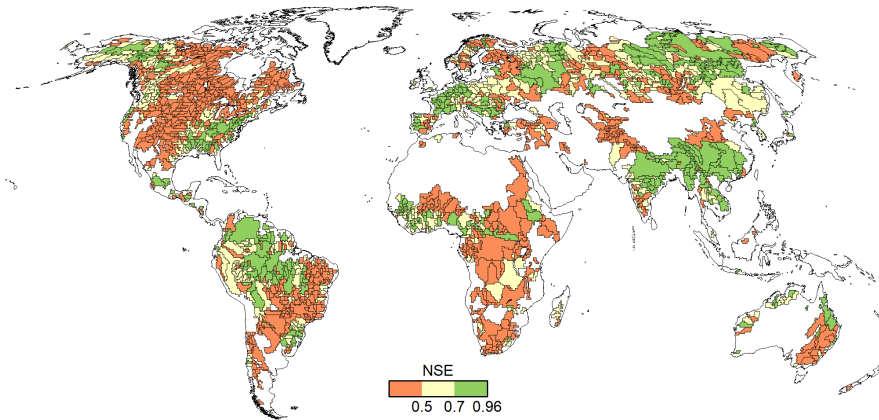
The assessments above have been done using all monthly observation data available for the stations. By using a validation data set (the data points that have not been used for calibration) a slight performance decrease is visible as compared to calibration data mainly due to a reduced  $KGE_b$  (see the corresponding Figures and Tables in the supplementary material).



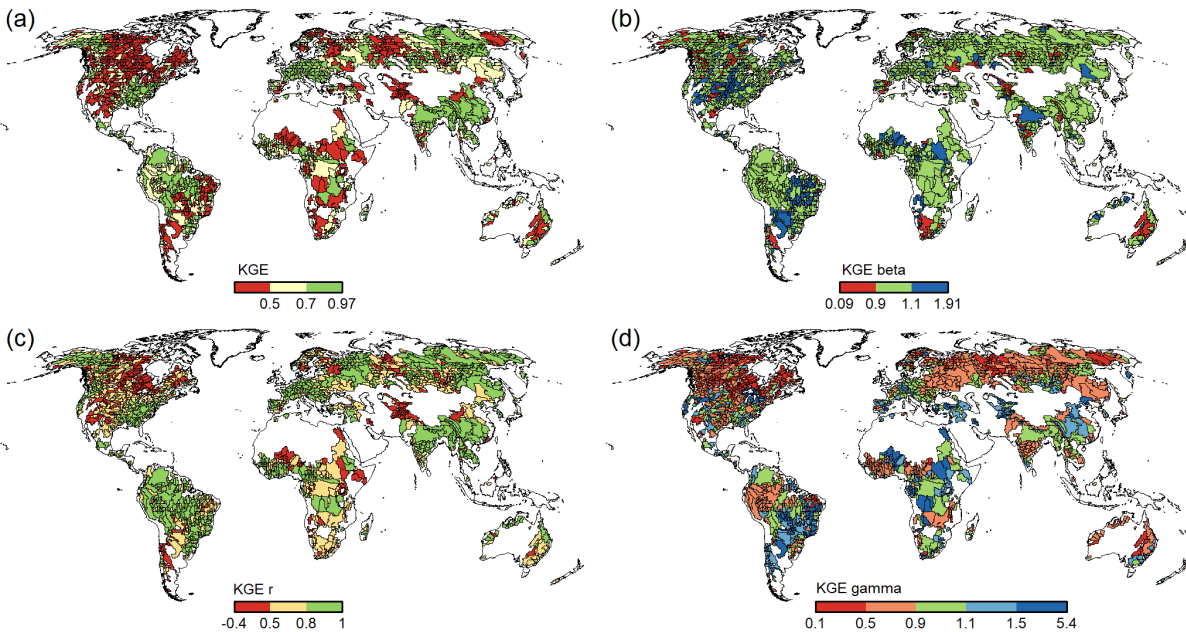
**Figure 8.** Efficiency metrics for monthly streamflow of the WaterGAP variants at the 1509 observation stations (all observation data) with  $NSE$ ,  $KGE$  and its components. Outliers (outside  $1.5\times$  inter-quartile range) are excluded but the number of stations that are defined as outliers are indicated at x-axis.



**Figure 9.** Cumulative distribution of the  $KGE$  efficiency metric for all monthly streamflow values at the 1509 gauging stations for all model variants.



**Figure 10.** *NSE* efficiency metric for all monthly data of the 1509 river basins in WaterGAP 2.2e as forced by gswp3-w5e5.



**Figure 11.** *KGE* efficiency metric and its components for all monthly streamflow values at the 1509 gauging stations for WaterGAP 2.2e as forced by gswp3-w5e5.

### 7.4.3 TWSA

The comparison of basin-average TWSA of WaterGAP 2.2e forced by gswp3-w5e5 and the reconstructed gap-free time series of GRACE(-FO) for 148 basins is shown in Fig. 12. The annual amplitude is underestimated in most of the African basins and in some Asian basins but is overestimated in major parts of North America. The correlation between WaterGAP 2.2e

**Table 9.** Number of calibration basins in each Köppen-Geiger region for which *KGE* of monthly streamflow time series is within three performance classes, for five WaterGAP variants. Note that the assignment of a basin to a climate region can differ among the climate forcings.

Model variant	<i>KGE</i>	A	B	C	D	E	sum
22d gswp3-w5e5	>0.7	127	17	163	167	15	489
	0.5-0.7	124	37	77	173	12	423
	<0.5	109	72	68	329	19	597
22e gswp3-w5e5	>0.7	127	17	163	168	15	490
	0.5-0.7	125	38	77	175	13	428
	<0.5	108	71	68	326	18	591
22e 20crv3-era5	>0.7	78	6	105	170	11	370
	0.5-0.7	137	35	102	186	9	469
	<0.5	133	76	114	339	8	670
22e 20crv3-w5e5	>0.7	96	8	111	159	15	389
	0.5-0.7	129	37	93	190	5	454
	<0.5	132	83	106	326	19	666
22e gswp3-era5	>0.7	96	7	152	173	13	441
	0.5-0.7	142	38	102	207	8	497
	<0.5	112	70	70	310	9	571

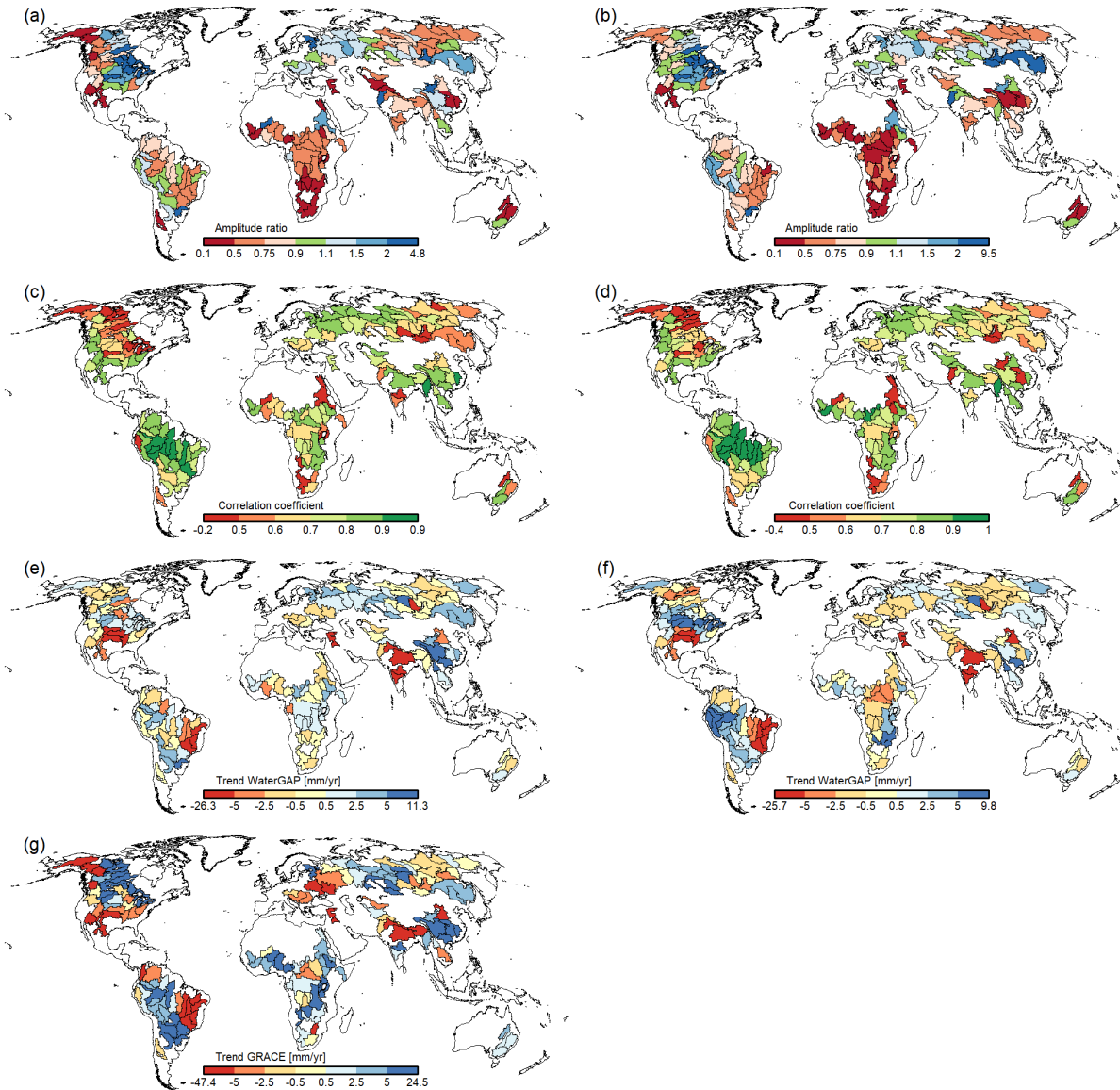
550 and GRACE(-FO) is overall reasonable with the majority of basins experiencing correlations between 0.5-1. However, basins where the amplitude is considerably under- or overestimated show low correlations. The comparison of TWSA trends shows that WaterGAP 2.2e generally computes considerably smaller trends in comparison to GRACE(-FO). This characteristic was also observed in the previous model evaluation (Müller Schmied et al., 2021).

The comparison between WaterGAP 2.2d and 2.2e shows that only a few basins differ; mainly stronger trends in (north-)east Asia can be observed for version 2.2e. The WaterGAP 2.2e versions forced by 20crv3-era5 and gswp3-era5, respectively, show only marginal differences. This is expected since both versions are forced by ERA5 during the evaluation period for TWSA (01/2003-12/2019). When forcing the model with ERA5, stronger trends are observed in North America than with W5E5. The correlations differ in (north-)east Asia, and match better in South America. The annual amplitude fits better in North America, but the annual amplitude in South America is better represented using the W5E5 forcing.

## 560 7.5 Performance comparison between different model variants

### 7.5.1 WaterGAP 2.2e vs. WaterGAP 2.2d

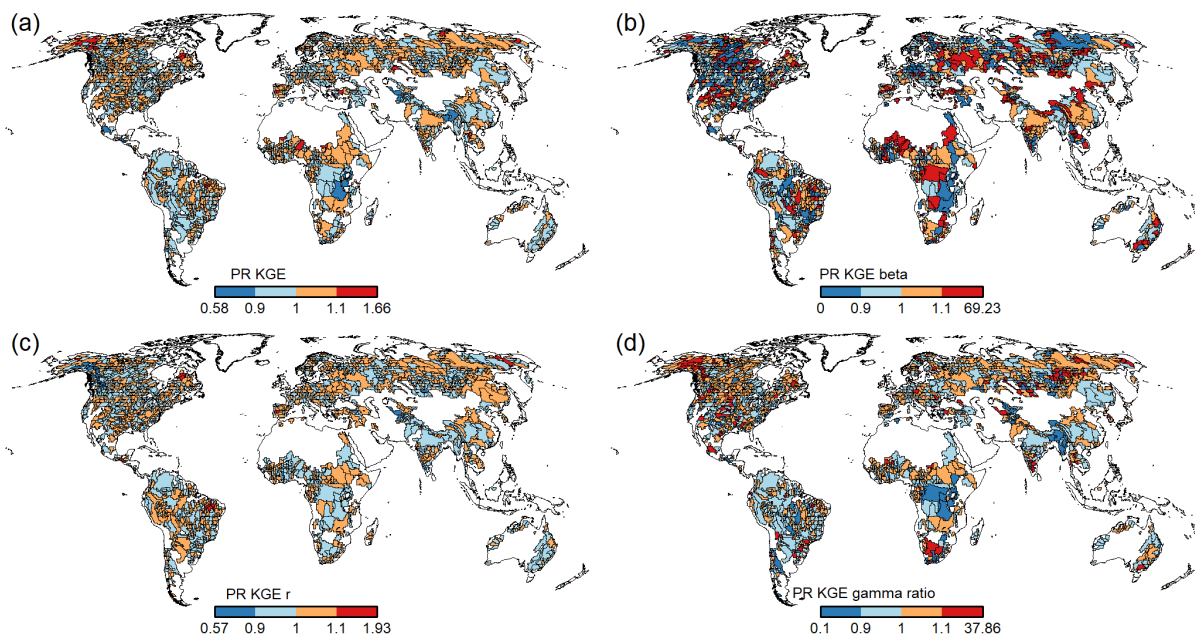
The performance of simulated water abstractions is nearly identical except for the thermoelectric sector, where WaterGAP 2.2e, with the updated water use, results in a slightly worse fit to AQUASTAT data (logarithmic *NSE* is 0.40 for 2.2e and



**Figure 12.** Comparison of basin-average monthly time TWSA time series of WaterGAP 2.2e as forced by gswp3-w5e5 (a, c, e) and gswp3-era5 (b, d, f) for 148 basins larger than 200,000 km<sup>2</sup>, with (a, b) ratio of amplitude (reddish colors indicate amplitude underestimation by WaterGAP), (c, d) correlation coefficient, (e, f) trend of WaterGAP 2.2e and (g) trend of GRACE. All values are based on the time series January 2003 to December 2019.

0.52 for 2.2d) (Figs. 7 and S8). With regards to the streamflow performance, WaterGAP 2.2e performs nearly identically as  
 565 WaterGAP 2.2d with the same climate forcing and calibration data. This is also visible in the spatial pattern for streamflow  
 where differences are rare. [The performance ratio of indicators \(for calculation see the Appendix C\)](#) often shows basins with

slightly different sign next to each other (Fig. 13) but without a clear spatial pattern of general performance gain or loss. When aggregated to climatic characteristics, such as Köppen-Geiger regions, it can be seen that WaterGAP 2.2e has slightly more basins in a better *KGE* class for cold D and E climate as compared to WaterGAP 2.2d with the same climate forcing (Table 9).

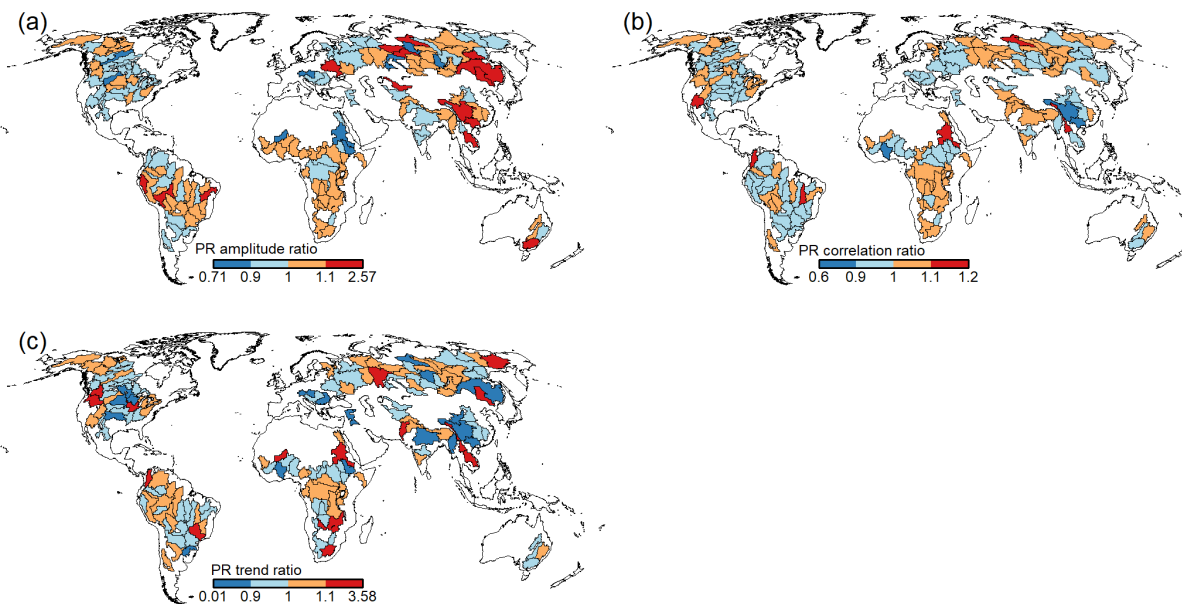


**Figure 13.** Resulting performance ratio of indicators of streamflow for the model version 2.2d and 2.2e as driven by gswp3-w5e5 for overall *KGE* (a), *KGE* beta (b), *KGE* correlation  $r$  (c) and *KGE* variability gamma (d). Bluish colours indicate that 2.2e is closer to the optimal parameter indicator value than 2.2d, see also the description in Appendix C. Note that the calibration procedure forces *KGE* beta values to be close to the optimum value, hence the drastic colours here are a result of only small differences to the optimum value.

For TWSA WaterGAP 2.2e performs better than 2.2d, specifically as the trends (in both directions) of TWSA are stronger for 2.2e and fit better to the observations, but also correlation coefficients as well as the amplitude ratios are improved for 2.2e. The performance ratio of indicators for TWSA shows for most basins a consistent direction of change for trend and correlation (with more bluish colours, indicating more regions with performance gain with 2.2e) while the amplitude sometimes show the opposite signal, especial for those regions with an improved trend ratio (Fig. 14). The seasonality of streamflow and TWSA is rather similar within the 12 selected river basins (Fig. S56S54).

### 7.5.2 GSWP3-W5E5 vs. GSWP3-ERA5

The impact of the selected climate forcing starting in 1979 is substantial, except for the water use (where the performance of gswp3-era5 regarding irrigation water abstractions is slightly lower).



**Figure 14.** Resulting performance ratio of indicators of TWSA for the model version 2.2d and 2.2e as driven by gswp3-w5e5 for the amplitude ratio (a), correlation ratio (b) and trend ratio (c). Bluish colours indicate that 2.2e is closer to the optimal parameter indicator value than 2.2d, see also the description in Appendix C.

580 The median streamflow performance with gswp3-w5e5 is slightly higher than with gswp3-era5 (value in brackets) with 0.499 (0.490) for  $NSE$ , 0.582 (0.578) for  $KGE$ , 0.775 (0.774) for  $KGE_r$ , 1.007 (1.018) for  $KGE_b$  and 0.858 (0.813) for  $KGE_g$ . In particular, the Köppen climate zone A (equatorial climate) shows higher performance with gswp3-w5e5 (Table 9). Model simulations driven by ERA5-combinations have higher  $NSE$  values in northwestern North America but lower values in China (comp. Figs. 10, and S33). But also, ERA5 combinations tend to have a lower  $KGE_r$  in some parts of North America and large parts of South America and a generally higher variability as compared to the W5E5 combinations (comp. Figs. 11 and S41).  
585

The TWSA trend in gswp3-era5 is closer to the observations in North and South America and the amplitude ratio is also improved for North America. For parts of Europe and Asia, the correlation but also the trend as driven by gswp3-w5e5 are closer to GRACE, showing an overall diverse impact of climate forcing to TWSA (Fig. 12). This is also visible in the seasonality, where large differences occur both for streamflow and for TWSA (Fig. S57S55). For example, the TWSA as driven by gswp3-era5 matches perfectly to observations for the Amazon but for streamflow, gswp3-w5e5 fits better.  
590

### 7.5.3 GSWP3-W5E5 vs. 20CRv3-W5E5

Performance metrics for water abstraction are identical for both variants (Figs. 7 and S10). The median streamflow performance with gswp3-w5e5 is generally higher than with 20crv3-w5e5 (value in brackets) with 0.499 (0.378) for  $NSE$ , 0.582 (0.539)

595 for  $KGE$ , 0.775 (0.718) for  $KGE_r$ , 1.007 (1.015) for  $KGE_b$  except for  $KGE_g$  with 0.857 (0.864). The higher performance of gswp3-w5e5 is obvious for all Köppen-climate regions, with smaller differences for D and E climates (Table 9). Differences in seasonality are relatively small as the time series for TWSA and streamflow starts several years after 1979 and thus use both W5E5. The visible differences are related to the specific calibration parameters that depend also on the years before 1979.

## 8 Standard model output

600 Like for Müller Schmied et al. (2021) we provide standard output data for WaterGAP 2.2e driven by the four climate forcings listed in Table 1 and for comparison also WaterGAP 2.2d driven by gwps-w5e5. In addition to the standard "[ant](#)" runs that include direct human impacts (water use and man-made reservoirs, labeled with *histsoc*), we provide, for all five variants, the model output of [naturalized-"nat"](#) model runs where it is assumed that there is no human water use and no man-made reservoirs (labeled with *nosoc*). The data are stored using the network Common Data Form (netCDF) format developed by UCAR/U-  
605 nidata (Rew et al., 1989) and are available at Goethe University Data Repository (GUDe) ([Müller-Schmied et al., 2023a, b, c, d, e, f, g, h, i, j](#), [Müller-Schmied et al., 2023a, b, d, e, f, g, i, j, 2024a, b](#)). [For two forcings and the "ant" runs, daily temporal resolution for the storage compartments are provided \(Müller Schmied et al., 2024c, d\)](#). The netCDF files contain metadata with detailed information regarding characteristics of the data, e.g., whether a storage type contains anomaly or absolute values and a legend where applicable.

610 The available water storages, flows and water use variables are listed in Tables E1, E2 and E3 respectively. Table E4 includes additional data, such as the cell-specific continental area as used in WaterGAP 2.2e to convert between equivalent water heights (e.w.h.) and volumetric units (assuming a water density of  $1 \text{ g cm}^{-3}$ ). [A spatial view for a range of model output is available in a Web-App \(Attard, 2024\)](#).

## 9 Caveats of WaterGAP v2.2e

615 This section is a compilation of known issues with the model output and should give guidance to data users.

- Due to the architecture of WaterGAP, where the output of individual water use models are combined to net abstractions from groundwater and net abstractions from surface water in the linking model GWSWUSE (Müller Schmied et al., 2021, their Sect. 3.3), it is not possible to compute sectoral actual consumptive water use values (and the corresponding withdrawal water uses) but only total actual consumptive water use (and corresponding withdrawal water use).
- 620 – In WaterGAP, the actual total consumptive water use (variable *atotuse*) is added to the actual evapotranspiration (*evap*). In cases where surface water abstractions are satisfied from the neighbouring cell due to shortages in the original water-demanding cell, the return flows to groundwater are assigned to the original water-demanding cell. This can lead to 1) a negative value for *atotuse* and 2) in those cases where the (positive) *evap* value are low, to a in total negative *evap* value.



- 625 – In dry areas around large rivers, water is often abstracted from neighbouring cells with big rivers (e.g., the Nile) to satisfy water demand in the original demand cell. The return flows are increasing the groundwater in the demanding cell, which results in a relative increase of groundwater storage and thus an increase of groundwater outflow which is then visible in the total runoff  $q_{tot}$  and could be in sum more than the precipitation (precip) in the grid cell. Furthermore, the calibration factor CFA can lead to more runoff than precipitation.
- 630 – When comparing globally aggregated streamflow from previous versions with WaterGAP 2.2e it has to be considered that due to the new handling of inland sinks in WaterGAP 2.2e (Sect. 2.5) the endorheic basins contribute to actual evaporation and the sink cells have zero streamflow. When quantifying the renewable water resources on the global scale, inflow to all inland sinks has to be added to the water resources of the other cells (or the streamflow into oceans).

### 10 WaterGAP 2.2e in ISIMIP3

635 WaterGAP contributes to the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP) in its current project phase 3 and follows the simulation protocol of ISIMIP (2023c). The model dashboard is available at ISIMIP (2023a) and an overview of the simulated scenarios at ISIMIP (2023b). Model output can be accessed at ISIMIP (2023b).

Mainly due to the architecture of WaterGAP, the following deviations from the simulation protocol exist:

- 640 – The drainage direction map used in WaterGAP does not completely follow the ISIMIP land-sea mask definition, which was modified slightly and unintendedly. In particular, the lat/lon 178.75, -49.25 (an island south-east of New Zealand) is defined as land but the drainage direction map used in WaterGAP locates this island in a neighbouring cell. Thus, this island is not present and any model output for the grid cell with lat/lon 178.75, -49.75 is set to missing value in all files prepared for ISIMIP.
- 645 – The WaterGAP drainage direction map differs in four grid cells at Lake Ladoga in the Neva river basin in Russia from the ISIMIP definition (lat/lon coordinates 61.25, 31.25; 60.75, 31.25; 60.75, 31.75; and 60.75, 32.25). Those grid cells are not included in WaterGAP and drainage direction flows around this lake, resulting in a total number of 67420 grid cells considered in WaterGAP 2.2e.
- 650 – WaterGAP does not use the landuse data as provided by ISIMIP but a static, satellite-based map of landcover classes (Müller Schmied et al., 2021, their Appendix C). WaterGAP considers temporally varying irrigation areas (Müller Schmied et al., 2021, their Sect. 3.1) but not from ISIMIP.
- During the update of the reservoir data (Sect. 2.2) we found better-suited grid cell locations for several dams as compared to the input data provided by ISIMIP. The data used within WaterGAP 2.2e is available via Müller Schmied and Trautmann (2023).

655 – According to the modeling protocol, the variable  $qtot$  consists of the sum of surface  $qs$  and sub-surface  $qsb$  runoff and is defined as total runoff. However and specifically for WaterGAP, this implies that for  $qtot$  (but not for the net cell runoff  $ncrun$  provided in the standard model output), the horizontal water balance (i.e., the water balance of the surface water bodies) is not considered. For users who want to assess the differences, we provide  $qtot$  and  $ncrun$  as standard model output.

## 11 Conclusions

660 The development of the WaterGAP model started in 1996 and several model versions were created since then. This paper describes the newest model version 2.2e focusing on changes from the previous model version 2.2d (Müller Schmied et al., 2021). The results of 2.2e regarding water use, streamflow and total water storage anomaly do not differ much from 2.2d, when using the the same climate forcing and the same streamflow time series for model calibration. The climate forcing  $gswp3-w5e5$  leads to the highest performance for streamflow, whereas there are distinct regions where  $gswp3-era5$  is superior to  $gswp3-$   
665  $w5e5$  in particular for TWSA trends. With this documentation and the available datasets, we hope to provide a valuable source for an improved understanding of the global freshwater system and to support large-scale water resources management.

*Code and data availability.* The code of WaterGAP 2.2e is open source under GNU Lesser General Public License version 3 license at Müller Schmied et al. (2023k). The model output data availability is described in Sect. 8. The streamflow data for the evaluation is available at Müller Schmied and Schiebener (2022), the GRACE(-FO) data are available at Forootan et al. (2020). For latest papers published based on WaterGAP 2, we refer to <http://www.watergap.de>, last access: 20 September 2023.

*Author contributions.* HMS and PD led the development of WaterGAP2.2e. HMS led the software development, supported by TT, SA, DC, TAP, CH, PD. The paper was conceptualized by HMS and PD. HMS did the calibrations, simulations, data analysis, prepared the model output for the GUDe data repository, did the visualization and model validation, supported by MS regarding validation against GRACE TWSA. EK provided the updated non-irrigation water use data. The original draft was written by HMS, with specific parts drafted by TT, SA, DC, MF, HG, TAP, LS, MS and PD. All authors contributed to the final draft.

*Competing interests.* The authors declare that they have no conflict of interest.

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## Appendix A: Technical changes

- Output of monthly groundwater recharge below surface water bodies is now possible.
- Data arrays are now stored and processed in `std::vector` objects.
- Several options to run WaterGAP were removed because they were not used anymore.
- Bug in the initialization of reservoir water demand in the respective commissioning years was fixed (routing routine).
- Bug in reintroduction of return flows into groundwater due to delayed satisfaction of  $NA_S$  was fixed.
- Bug in reallocation of unsatisfied  $NA_S$  at global lakes and reservoirs was fixed.

## Appendix B: Evaluation metrics

The following section is to large parts identical Müller Schmied et al. (2021, their Sect. 6.3) but repeated here for better readability of this paper.

## B1 Nash-Sutcliffe Efficiency

The Nash-Sutcliffe efficiency metric  $NSE$  (–) (Nash and Sutcliffe, 1970) is a traditional metric in hydrological modelling. It provides an integrated measure of modelling performance with respect to mean values and variability and is calculated as:

$$NSE = 1 - \frac{\sum_{i=1}^n (O_i - S_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (B1)$$

695 where  $O_i$  is observed value (e.g., monthly streamflow),  $S_i$  is simulated value and  $\bar{O}$  is mean observed value. The optimal value of  $NSE$  is 1. Values below 0 indicate that the mean value of observations is better than the simulation (Nash and Sutcliffe, 1970). For assessing the performance of low values of water abstraction (Sect. 7.4.1), a logarithmic  $NSE$  was calculated in addition by applying logarithmic transformation before calculation of the performance indicator.

## B2 Kling-Gupta Efficiency

700 The Kling-Gupta efficiency metric  $KGE$  (Kling et al., 2012; Gupta et al., 2009) transparently combines the evaluation of bias, variability and timing and is calculated (in its 2012 version) as:

$$KGE = 1 - \sqrt{(KGE_r - 1)^2 + (KGE_b - 1)^2 + (KGE_g - 1)^2} \quad (B2)$$

where  $KGE_r$  is the correlation coefficient between simulated and observed values (–), an indicator for the timing,  $KGE_b$  is the ratio of mean values (Eq. (B3)) (–), an indicator of biases regarding mean values and  $KGE_g$  is the ratio of variability (Eq.

705 (B4)) (–), an indicator for the variability of simulated ( $S$ ) and observed ( $O$ ) values.

$$KGE_b = \frac{\mu_S}{\mu_O} \quad (B3)$$

$$KGE_g = \frac{CV_S}{CV_O} = \frac{\sigma_S/\mu_S}{\sigma_O/\mu_O} \quad (B4)$$

where  $\mu$  is mean value,  $\sigma$  is standard deviation and  $CV$  is coefficient of variation. The optimal value of  $KGE$  is 1.

## 710 B3 TWSA-related metrics

For the evaluation of total water storage anomaly performance, the following metrics were used:  $R^2$  (coefficient of determination) as strength of linear relationship between simulated and observed variables, the amplitude ratio as indicator for variability and trend of both GRACE and WaterGAP data. Amplitude and trends were determined by a linear regression for estimating the most dominant temporal components of the GRACE time series. The time series of monthly TWSA was approximated by

715 a constant  $a$ , a linear trend  $b$ , an annual and a semi-annual sinusoidal curve as follows

$$y(t) = a + b * t + c * \sin(2 * \pi * t) + d * \cos(2 * \pi * t) + e * \sin(4 * \pi * t) + f * \cos(4 * \pi * t) + r \quad (B5)$$

where  $r$  denotes the residuals. The parameters  $a$  to  $f$  were estimated via least-squares adjustment. The annual amplitude can be computed by  $A = \sqrt{c^2 + d^2}$ , and thus, the annual ratio was calculated by  $A_{WGHM}/A_{GRACE}$ .

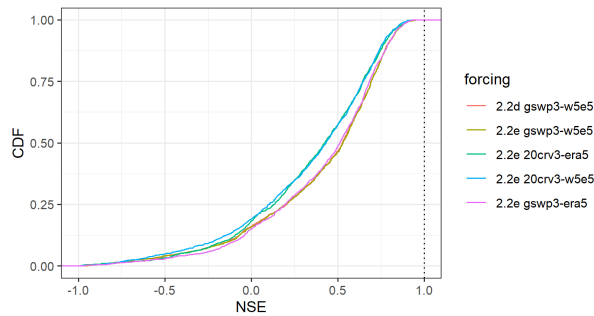
## Appendix C: Performance ratio of indicators

720 In order to find out where the difference to the optimal value of a model performance indicator is reduced or increased between the two versions (2.2e vs. 2.2d) of WaterGAP, the indicator performance ratio (Eq. C1) was used, defined as:

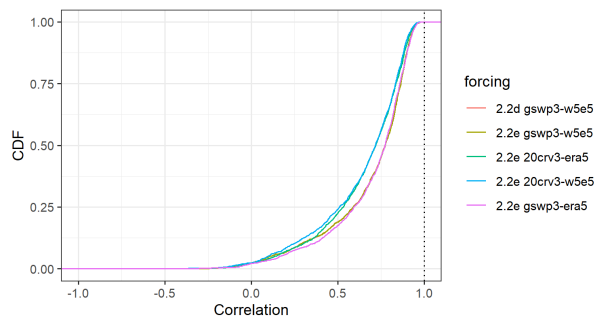
$$PR_{IND} = \frac{|1.0 - IND_{2.2e}|}{|1.0 - IND_{2.2d}|} \quad (C1)$$

725 where:  $PR_{IND}$  is the performance ratio of the given indicator  $IND$  [-],  $IND$  is the indicator value (KGE and its components for streamflow; Amplitude ratio for TWSA and the ratio of Model divided by GRACE for TWSA trend) for the particular model version [-]. The smaller the resulting  $PR_{IND}$  is, the better 2.2e is compared to 2.2d. For  $PR_{IND}$  values  $< 1.0$ , 2.2e performs better than 2.2d and vice versa. The closer  $PR_{IND}$  is to 0, the better performs 2.2e against 2.2d.

## Appendix D: Additional figures and tables



**Figure D1.** Cumulative distribution of the  $NSE$  efficiency metric for all streamflow values at the 1509 gauging stations for all model variants.



**Figure D2.** Cumulative distribution of the  $KGE$  efficiency metric (correlation parameter) for all streamflow values at the 1509 gauging stations for all model variants.

**Table D1.** Model performance and the  $NSE$  efficiency indicator and number of basins per Köppen-Geiger region in the particular performance class for the different WaterGAP variants.

Model variant	$NSE$	A	B	C	D	E	sum
22d gswp3-w5e5	>0.7	87	13	112	109	14	335
	0.5-0.7	114	25	83	183	6	411
	<0.5	159	88	113	377	26	763
22e gswp3-w5e5	>0.7	88	13	112	111	13	337
	0.5-0.7	113	25	81	191	7	417
	<0.5	159	88	115	367	26	755
22e 20crv3-era5	>0.7	51	3	47	146	6	253
	0.5-0.7	91	19	79	151	4	344
	<0.5	206	95	195	398	18	912
22e 20crv3-w5e5	>0.7	56	3	50	123	16	248
	0.5-0.7	92	18	66	159	3	338
	<0.5	209	107	194	393	20	923
22e gswp3-era5	>0.7	77	6	103	127	7	320
	0.5-0.7	113	21	99	176	6	415
	<0.5	160	88	122	387	17	774

**Table D2.** Model performance and the  $KGE_r$  efficiency indicator and number of basins per Köppen-Geiger region in the particular performance class for the different WaterGAP variants.

Model variant	$KGE_r$	A	B	C	D	E	sum
22d gswp3-w5e5	>0.8	210	31	186	231	18	676
	0.5-0.8	120	53	99	258	17	547
	<0.5	30	42	23	180	11	286
22e gswp3-w5e5	>0.8	210	31	185	233	19	678
	0.5-0.8	121	54	101	256	15	547
	<0.5	29	41	22	180	12	284
22e 20crv3-era5	>0.8	123	11	111	262	11	518
	0.5-0.8	182	57	156	246	13	654
	<0.5	43	49	54	187	4	337
22e 20crv3-w5e5	>0.8	141	12	116	228	20	517
	0.5-0.8	171	56	148	246	8	629
	<0.5	45	60	46	201	11	363
22e gswp3-era5	>0.8	181	18	180	257	14	650
	0.5-0.8	137	58	121	268	11	595
	<0.5	32	39	23	165	5	264

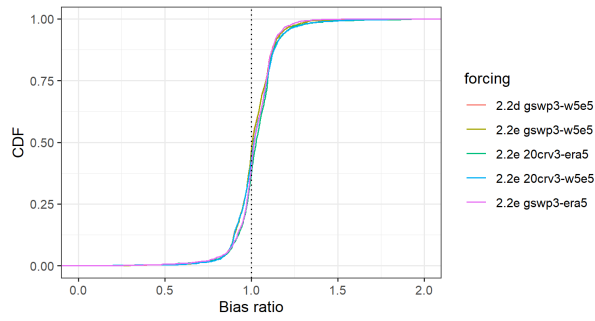
**Table D3.** Model performance and the  $KGE_b$  efficiency indicator and number of basins per Köppen-Geiger region in the particular performance class for the different WaterGAP variants.

Model variant	$KGE_b$	A	B	C	D	E	sum
22d gswp3-w5e5	>1.5	0	4	0	1	0	5
	1.1-1.5	104	32	59	80	1	276
	0.9-1.1	241	60	218	484	29	1032
	0.5-0.9	14	29	28	104	16	191
	<0.5	1	1	3	0	0	5
22e gswp3-w5e5	>1.5	1	4	0	1	0	6
	1.1-1.5	96	33	56	89	2	276
	0.9-1.1	249	58	222	484	28	1041
	0.5-0.9	13	30	27	95	16	181
	<0.5	1	1	3	0	0	5
22e 20crv3-era5	>1.5	0	4	4	5	0	13
	1.1-1.5	76	25	97	99	8	305
	0.9-1.1	246	53	190	540	20	1049
	0.5-0.9	26	30	28	50	0	134
	<0.5	0	5	2	1	0	8
22e 20crv3-w5e5	>1.5	0	4	5	4	0	13
	1.1-1.5	86	35	88	96	3	308
	0.9-1.1	251	63	184	481	24	1003
	0.5-0.9	20	25	30	94	12	181
	<0.5	0	1	3	0	0	4
22e gswp3-era5	>1.5	0	4	0	0	0	4
	1.1-1.5	94	19	68	93	10	284
	0.9-1.1	232	61	224	540	18	1075
	0.5-0.9	23	26	30	56	2	137
	<0.5	1	5	2	1	0	9

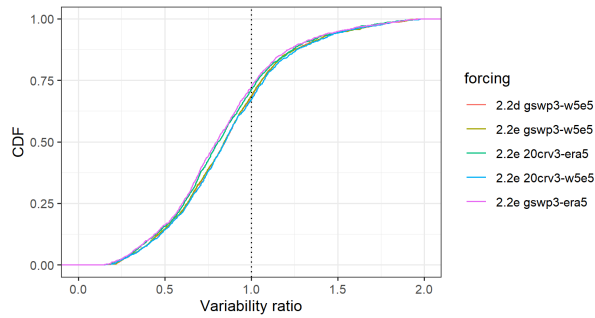
**Table D4.** Model performance and the  $KGE_g$  efficiency indicator and number of basins per Köppen-Geiger region in the particular performance class for the different WaterGAP variants.

Model variant	$KGE_g$	A	B	C	D	E	sum
22d gswp3-w5e5	>1.5	56	19	32	30	3	140
	1.1-1.5	68	21	69	57	8	223
	0.9-1.1	68	18	110	109	9	314
	0.5-0.9	150	54	89	317	14	624
	<0.5	18	14	8	156	12	208
22e gswp3-w5e5	>1.5	54	19	32	30	3	138
	1.1-1.5	70	23	70	57	8	228
	0.9-1.1	67	17	110	107	8	309
	0.5-0.9	152	52	87	316	15	622
	<0.5	17	15	9	159	12	212
22e 20crv3-era5	>1.5	63	23	22	29	3	141
	1.1-1.5	40	12	67	79	9	207
	0.9-1.1	61	15	91	111	11	289
	0.5-0.9	165	57	127	294	3	646
	<0.5	19	10	13	182	2	226
22e 20crv3-w5e5	>1.5	65	23	33	32	2	155
	1.1-1.5	70	23	75	54	8	230
	0.9-1.1	61	24	106	100	10	301
	0.5-0.9	147	47	88	328	8	618
	<0.5	14	11	8	161	11	205
22e gswp3-era5	>1.5	50	18	28	26	3	125
	1.1-1.5	42	10	70	77	7	206
	0.9-1.1	50	10	89	121	12	282
	0.5-0.9	182	61	123	288	5	659
	<0.5	26	16	14	178	3	237





**Figure D3.** Cumulative distribution of the *KGE* efficiency metric (bias parameter) for all streamflow values at the 1509 gauging stations for all model variants.



**Figure D4.** Cumulative distribution of the *KGE* efficiency metric (variability parameter) for all streamflow values at the 1509 gauging stations for all model variants.

## Appendix E: Standard model outputs

**Table E1.** Standard WaterGAP output variables: 1) Water storages. Units are  $\text{kg m}^{-2}$  (mm e.w.h.). All water storages except the reservoirstor are also available in a naturalized variant, indicated by the addend "nat" to the file. Temporal resolution is monthly [except for two climate forcings that are additionally available in daily resolution](#).

Storage type	GUDe variable file	Symbol in Müller Schmied et al. (2021)
Total water storage <sup>1,2</sup>	tws	$S_{tws}$
Canopy water storage	canopystor	$S_c$
Snow water storage	swe	$S_{sn}$
Soil water storage	soilmoist	$S_s$
Groundwater storage <sup>2</sup>	groundwstor	$S_g$
Local lake storage <sup>2</sup>	loclakestor	$S_{ll}$
Global lake storage <sup>2</sup>	glolakestor	$S_{lg}$
Local wetland storage	locwetlandstor	$S_{wl}$
Global wetland storage	glowetlandstor	$S_{wg}$
Reservoir storage	reservoirstor	$S_{res}$
River storage	riverstor	$S_r$

<sup>1</sup>Sum of all compartments below

<sup>2</sup>relative water storages, only anomalies with respect to a reference period can be evaluated

**Table E2.** Standard WaterGAP output variables: 2) Flows. Units are  $\text{kg m}^{-2} \text{s}^{-1}$  ( $\text{mm e.w.h. s}^{-1}$ ), except  $\text{m}^3 \text{s}^{-1}$  for dis and ~~is-nat~~

Flow type	GUDe variable file	Symbol in Müller Schmied et al. (2021)
Monthly precipitation	precmon	$P$
Fast surface and fast subsurface runoff <sup>1</sup>	qs	$R_s$ ; $R_3$ in corrigendum
Diffuse groundwater recharge	qrdif	$R_g$
Groundwater recharge from surface water bodies	qrswb	$R_{gl, res, w}$
Total groundwater recharge <sup>2</sup>	qr	$R_{gtot}$
Runoff from land <sup>3</sup>	ql	$R_l$ in corrigendum
Groundwater discharge <sup>4</sup>	qg	$Q_g$
Total runoff from land <sup>5</sup>	qtot	sum of $Q_g$ and $R_s$
Actual evapotranspiration <sup>6</sup>	evap	$E_a$
Potential evapotranspiration	potevap	$E_p$
Net cell runoff	ncrun	$R_{nc}$
Streamflow <sup>7</sup>	dis	$Q_{r, out}$
River water temperature	triver	n/a

<sup>1</sup>fraction of total runoff from land that does not recharge the groundwater; <sup>2</sup>sum of qrdif and qrswb; <sup>3</sup>sum of qs and qrdif; <sup>4</sup>groundwater runoff; <sup>5</sup> sum of ql and qg; <sup>6</sup>sum of soil evapotranspiration, sublimation, evaporation from canopy, evaporation from water bodies and actual consumptive water use;

<sup>7</sup>river discharge

**Table E3.** Standard WaterGAP output variables: 3) Water use. Units are  $\text{kg m}^{-2} \text{s}^{-1}$  ( $\text{mm e.w.h. s}^{-1}$ ). Temporal resolution is monthly.

Flow type	GUDe variable file	Symbol in Müller Schmied et al. (2021)
Potential consumptive water use for domestic sector	pdomuse	
Potential withdrawal water use for domestic sector	pdomww	
Potential consumptive water use for thermoelectric sector	pelecuse	
Potential withdrawal water use for thermoelectric sector	pelecww	
Potential consumptive water use for irrigation sector	pirruse	
Potential withdrawal water use for irrigation sector	pirrww	
Potential withdrawal water use for irrigation sector from groundwater resources	pirrwwgw	
Potential consumptive water use for livestock sector <sup>1</sup>	plivuse	
Potential consumptive water use for manufacturing sector	pmanuse	
Potential consumptive water use for manufacturing sector from groundwater resources	pmanusegw	
Potential withdrawal water use for manufacturing sector	pmanww	
Potential withdrawal water use for manufacturing sector from groundwater resources	pmanwwgw	
Potential net abstraction from surface water	pnas	
Potential net abstraction from groundwater	pnag	
Potential consumptive water use from groundwater	pgwuse	
Potential withdrawal water use from groundwater	pgww	
Potential consumptive water use <sup>2</sup>	ptotuse	
Potential withdrawal water use <sup>3</sup>	ptotww	
Actual net abstraction from surface water	anas	$NA_s$
Actual net abstraction from groundwater	anag	$NA_g$
Actual consumptive water use <sup>4</sup>	atotuse	$WC_a$

<sup>1</sup> equals withdrawal water use; <sup>2</sup> sum of pnas and pnag; <sup>3</sup> sum of pdomww, pelecww, pirrww, plivuse, pmnww; <sup>4</sup> sum of anas and anag

**Table E4.** Standard WaterGAP output variables: 4) Additional files provided for a better understanding of the model outputs.

Storage type	GUDe variable file	Symbol in Müller Schmied et al. (2021)
Calibration status of the basin	calstatus	<i>CS</i>
Area correction factor from calibration	cfa	<i>CFA</i>
Station correction factor from calibration	cfs	<i>CFS</i>
Gamma factor from calibration	gamma	$\gamma$
Continental area of the grid cell	continentalarea	
Flow direction in D8 schema	flowdirection	
Outflow cells to Oceans and inland sinks	outflowcells	
Rooting depth of the grid cell	rootdepth	
Maximum soil water capacity of the soil compartment	smax	
Commissioning year of the reservoirs	startyear	

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