# Implementation of the ISORROPIA-lite Aerosol Thermodynamics Model into the EMAC Chemistry Climate Model (based on MESSy v2.55): Implications for Aerosol Composition and Acidity

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16 Abstract. This study explores the differences in performance and results by various versions of the ISORROPIA thermodynamic module implemented within the global atmospheric chemistry model EMAC. 17 18 Three different versions of the module were used, ISORROPIA II v1, ISORROPIA II v2.3, and 19 ISORROPIA-lite. First, ISORROPIA II v2.3 replaced ISORROPIA II v1 in EMAC to improve pH predictions close to neutral conditions. The newly developed ISORROPIA-lite has been added to EMAC 20 alongside ISORROPIA II v2.3. ISORROPIA-lite is more computationally efficient and assumes that 21 22 atmospheric aerosols exist always as supersaturated aqueous (metastable) solutions while ISORROPIA II 23 includes the option to allow the formation of solid salts at low RH conditions (stable state). The predictions 24 of EMAC by employing all three aerosol thermodynamic models were compared to each other and 25 evaluated against surface measurements from three regional observational networks (IMPROVE, EMEP, 26 EANET) in the polluted Northern Hemisphere. The differences between ISORROPIA II v2.3 and 27 ISORROPIA-lite were minimal in all comparisons with the normalized mean absolute difference for the 28 concentrations of all major aerosol components being less than 11 % even when different phase state 29 assumptions were used. The most notable differences were lower aerosol concentrations predicted by ISORROPIA-lite in regions with relative humidity in the range of 20% to 60% compared to the predictions 30 of ISORROPIA II v2.3 in stable mode. The comparison against observations yielded satisfactory agreement 31 32 especially over the US and Europe, but higher deviations over East Asia, where the overprediction of EMAC for nitrate was as high as 4  $\mu$ g m<sup>-3</sup> (~ 20%). The mean annual aerosol pH predicted by ISORROPIA-33 34 lite was on average less than a unit lower than ISORROPIA II v2.3 in stable mode, mainly for coarse mode 35 aerosols over Middle East. The use of ISORROPIA-lite accelerated EMAC by nearly 5 % compared to the use of ISORROPIA II v2.3 even if the aerosol thermodynamic calculations consume a relatively small 36 37 fraction of the EMAC computational time. ISORROPIA-lite can therefore be a reliable and computationally efficient alternative to the previous thermodynamic module in EMAC. 38

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<sup>40</sup> **Keywords:** atmospheric aerosols, aerosol thermodynamics, nitrate, acidity, aerosol phase state.

### 42 1. Introduction

Aerosols in the atmosphere have a significant impact on climate and air pollution. They contribute 43 to the deterioration of air quality, especially in heavily industrialised regions, leading to increased 44 mortality rates and decreased life expectancy (Héroux et al., 2015). Particulate matter with 45 diameter less than 2.5 µm (PM<sub>2.5</sub>) is the largest contributor to stroke, cancer, heart conditions and 46 chronic obstructive pulmonary diseases (Brook et al., 2010; Pope et al., 2011) with ambient 47 pollution causing approximately 4.2 million premature deaths in 2019 alone (WHO, 2022). Tarin-48 Carrasco et al. (2021) predicted that mortality rates in Europe due to air pollution could increase 49 in the next thirty years in the more extreme emission scenarios (e.g., RCP8.5). In addition to the 50 direct threat aerosols pose to humans and ecosystems through their effects on air quality, they can 51 also affect other climate-related processes. For example, they can act as cloud condensation nuclei 52 and modify cloud lifetime and optical properties (Andreae et al., 2005; Klingmüller et al., 2020). 53 54 Aerosols also affect the energy balance of our planet by reflecting additional solar radiation back 55 to space and thus cooling the atmosphere or by absorbing solar radiation warming the atmosphere 56 (Klingmüller et al., 2019; Miinalainen et al., 2021). Some major inorganic aerosol components 57 also affect various ecosystems. For example, nitrates and sulfates can harm flora by lessening its lifetime and variety (Honour et al., 2009; Manisalidis et al., 2020), and can affect wildlife by 58 59 causing water eutrophication (Doney et al., 2007). A critical property of atmospheric particles that regulates their impacts on clouds and ecosystems is their acidity (Karydis et al., 2021). Depending 60 on its levels, acidity can affect air quality and human health (Lelieveld et al., 2015) but also the 61 aerosols' hygroscopic characteristics (Karydis et al., 2016). The aerosol pH also drives the 62 partitioning of semi-volatile inorganic components between the gas and aerosol phases (Nenes et 63 al., 2020). Finally, aerosol acidity plays a role in the activation of halogens in aerosols (Saiz-Lopez 64 and von Glasow, 2012), their toxicity (Fang et al., 2017) and also in secondary organic aerosol 65 formation (Marais et al., 2016). 66

Sulfate is the most important component of PM<sub>2.5</sub> inorganic aerosol, since it contributes 67 the most in terms of global mass burden (Szopa et al., 2021) and aerosol optical depth (AOD) 68 69 (Myhre et al., 2013). Nitrate contribution to the PM<sub>2.5</sub> aerosol composition is also important in several areas (e.g., Europe, North America, East Asia) and seasons (He et al., 2001; Silva et al., 70 2007; Weagle et al., 2018; Tang et al., 2021). The quantification of nitrate partitioning between 71 72 the gas and particulate phases is challenging partly because it is affected by meteorology (temperature, relative humidity) and all ionic aerosol components, but also due to the lack of 73 74 observations to constrain the composition of the gas-phase components and the size-distribution of the particulate phase. Nitrate in the form of ammonium nitrate is mainly found in the fine mode 75 (e.g., PM<sub>2.5</sub>) (Putaud et al., 2010). This is especially the case over polluted regions where there is 76 enough ammonia remaining after the neutralization of sulfate (Karydis et al., 2011; Karydis et al., 77 78 2016). In coastal and desert areas, nitrate is formed mainly by reactions of  $HNO_3$  with sea salt and 79 dust particles (Savoie and Prospero, 1982; Wolff, 1984; Karydis et al., 2016) and therefore is found mainly in the coarse particles. The importance of nitrate in the troposphere is expected to increase 80 in the following decades because SO<sub>2</sub> emissions are anticipated to drop while NH<sub>3</sub> emissions to 81 increase (Fu et al., 2017; Chen et al., 2019; Xu et al., 2020). With decreased SO<sub>2</sub> concentrations, 82

less ammonia is required to neutralize the sulfates and therefore more is available for ammoniumnitrate formation (Tsimpidi et al., 2007).

85 There have been several thermodynamic models developed in the last decades to calculate the inorganic aerosol concentrations and composition in the atmosphere. Two of the first were 86 EQUIL and KEQUIL developed by Bassett and Seinfeld (1983). Then the MARS model was 87 88 developed by Saxena et al. (1986) with the aim of reducing the computational time required in 89 order to be incorporated into larger scale chemical transport models. MARS was the first model to divide the composition domain into smaller sub-domains aiming to reduce the number of equations 90 needed to be solved. Then the SEQUILIB model by Pilinis and Seinfeld (1987) was the first to 91 incorporate sodium and chloride and the corresponding salts in the simulated aerosol system. 92 Further developments included EQUISOLV by Jacobson et al. (1996) as well as SCAPE by Kim 93 et al. (1993), which simulated temperature dependent deliquescence following Wexler and 94 95 Seinfeld (1991) and predicted the presence of liquid phase aerosols even at low relative humidity (RH). E-AIM is another benchmark thermodynamic model which instead of solving algebraic 96 equations for equilibrium, uses the minimization of the Gibbs Free Energy approach (Wexler and 97 Clegg, 2002). Later versions of E-AIM also include selected organic aerosol components (Clegg 98 et al., 2003). Furthermore, AIOMFAC is a model that utilizes organic-inorganic interactions in 99 aqueous solutions in order to calculate activity coefficients up to high ionic strengths (Zuend et 100 al., 2008) and is based on the LIFAC model by Yan et al. (1999). Further developments in 101 AIOMFAC include a wider variety of organic compounds (Zuend et al., 2011). The EQSAM 102 thermodynamic model was developed by Metzger et al. (2002) with the basic concept that aerosol 103 activities in equilibrium are controlled by RH, and solute activity is a function of RH. The model 104 uses a domain structure based on sulphate availability to increase computational efficiency by 105 solving fewer thermodynamic equations, similar to Nenes et al. (1998). EQSAM and ISORROPIA 106 are the two available options for aerosol thermodynamics in the EMAC model. 107

Nenes et al. (1998) developed the ISORROPIA model in an effort to increase 108 computational efficiency while maintaining the accuracy of the calculations. The system simulated 109 by ISORROPIA included  $NH_4^+$ ,  $Na^+$ ,  $Cl^-$ ,  $NO_3^-$ ,  $SO_4^{2-}$  and  $H_2O$ . ISORROPIA also contains the 110 temperature dependent equations for deliquescence by Wexler and Seinfeld (1991) and is 111 computationally efficient so that it can be incorporated in 3D atmospheric models. In ISORROPIA, 112 the aerosol state is predicted as a weighted mean value of the dry and wet states. The weighting 113 factors depend on ambient RH, the mutual deliquescence relative humidity (MDRH) and the 114 deliquescence relative humidity (DRH) of the most hygroscopic salt in the mixture. An improved 115 version of ISORROPIA including the mineral ions K<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>+</sup>, called ISORROPIA II, was 116 developed by Fountoukis and Nenes (2007). The addition of the above crustal ions resulted in the 117 inclusion of 10 more salts and 3 more ions in the solid and aqueous phases respectively. The model 118 gained in computational efficiency by performing different calculations for different atmospheric 119 chemical composition regimes, which are determined by the abundance of each aerosol precursor 120 121 as well as the ambient temperature and relative humidity. Depending on the values of the so-called 'sulfate ratio', the 'crustal species and sodium ratio' and the 'crustal species' ratio, five aerosol 122 123 composition regimes are determined in order to calculate the necessary equilibrium equations for 124 the species present in each regime. Furthermore, the use of pre-calculated look-up tables for the activity coefficients (see Section 2.2), including their temperature dependence, is another factor 125

for the gain in computational efficiency. Like E-AIM, ISORROPIA II can solve the 126 127 thermodynamic equilibrium problem under stable or metastable conditions. In the second case 128 aerosols are assumed to exist only as supersaturated aqueous solutions even at low RH, while in 129 the first the aerosols are able to form solid salts. A very slightly updated version, called ISORROPIA II v2.3 was introduced to improve aerosol pH predictions close to neutral conditions 130 131 (Song et al., 2018). More specifically, in some subcases of the ISORROPIA II regime, NH<sub>3</sub> evaporation was not taken into account in the aerosol pH calculations, leading to unrealistic 132 estimates close to neutrality (pH~7). This error had a minimal effect on the predicted gas phase 133 NH<sub>3</sub> levels and consequently on the inorganic aerosol concentrations. Moreover, it only affected a 134 few subcases and only when the stable mode was used. More details on these differences can be 135 found in Song et al. (2018). The newest development of ISORROPIA II, called ISORROPIA-lite, 136 was designed to be even more computationally efficient than its predecessor and to also include 137 138 the effects that organic aerosol components have on particle water and the semi volatile inorganic aerosol species partitioning (Kakavas et al., 2022). 139

This study aims to evaluate the newly developed ISORROPIA-lite thermodynamic module within the EMAC global climate and chemistry model and to explore any discrepancies on a global scale, by utilizing different aerosol phase states. For this reason, our analysis explores the differences in the results between ISORROPIA-lite and ISORROPIA II over diverse conditions and environments. In Section 2 the model configuration and the treatment of inorganic aerosols thermodynamics is presented. In Sections 3 and 4 the results and comparisons between the simulations are analyzed and in Section 5 the major conclusions are presented.

# 147 **2. Model Configuration**

### 148 2.1 EMAC model setup

The EMAC (ECHAM5/MESSy) model is a global atmospheric chemistry and climate model 149 (Jockel et al., 2006). It includes a series of submodels and links them via the Modular Earth 150 Submodel System (Jöckel et al., 2005) to the base model (core) that is the 5<sup>th</sup> generation European 151 152 Center Hamburg general circulation model (Roeckner et al., 2006). Gas-phase chemistry is simulated by MECCA (Sander et al., 2019) with a simplified scheme similar to the one used in 153 CCMI (Chemistry-Climate Model Initiative) like in Jockel et al. (2016). Aerosol microphysics 154 along with gas/aerosol partitioning are treated by GMXe in which the aerosols are differentiated 155 between soluble and insoluble modes with a total of seven lognormal modes (Pringle et al., 2010). 156 The soluble mode contains the nucleation, Aitken, accumulation, and coarse size ranges while the 157 158 insoluble mode lacks only the nucleation size range. Transfer of material between the insoluble 159 and soluble modes is calculated in two processes. After coagulation, when a hydrophobic and a hydrophilic particle coagulate, the resulting mass is assumed to reside in the hydrophilic mode and 160 161 also when soluble material condenses onto a hydrophobic particle (after gas/aerosol partitioning) it is again transferred to the hydrophilic mode (Pringle et al., 2010). Wet deposition of gases and 162 aerosols is described by SCAV (Tost et al., 2006; 2007), dry deposition via DRYDEP (Kerkweg 163 et al., 2006) and gravitational sedimentation of aerosols by SEDI (Kerkweg et al., 2006). Cloud 164

properties and microphysics are calculated by the CLOUD submodel (Roeckner et al., 2006) utilizing the detailed two-moment liquid and ice-cloud microphysical scheme of Lohmann and Ferrachat (2010) and considering a physically based treatment of the processes of liquid (Karydis et al., 2017) and ice crystals (Bacer et al., 2018) activation. The organic aerosol composition and evolution in the atmosphere is calculated by the ORACLE submodel (Tsimpidi et al., 2014; 2018).

The model simulations in this work were nudged towards actual meteorology using ERA05 data (Hersbach et al., 2020). For the purposes of this study the spectral resolution applied within EMAC was the T63L31 which corresponds to a grid resolution of 1.875° x 1.875°, covering vertical altitudes up to 25 km with a total of 31 layers. The simulations were all done for the period 2009-2010, with 2009 representing the model spin-up period.

Anthropogenic emissions of aerosols and aerosol precursors were based on the 175 EDGARv4.3.2 inventory (Crippa et al., 2018). Open biomass burning emissions were derived by 176 177 the GFEDv3.1 database (van der Werf et al., 2010), and natural emissions of NH<sub>3</sub> (volatilization from soils and oceans) were based on the GEIA database (Bouwman et al., 1997). SO<sub>2</sub> emissions 178 by volcanic eruptions are based on the AEROCOM dataset (Dentener et al., 2006), as are emissions 179 of sea spray aerosols using the chemical composition proposed by Seinfeld and Pandis (2016). 180 Biogenic emissions of NO from soils are calculated online according to the algorithm of Yienger 181 and Levy (1995) while NO<sub>x</sub> produced by lightning is also calculated online based on the 182 parameterization of Grewe et al. (2001). Oceanic emissions of DMS are calculated online by the 183 AIRSEA submodel (Pozzer et al., 2006). Finally, the dust emission fluxes are calculated online 184 according to Astitha et al. (2012), by taking into account the meteorological information for each 185 grid cell (i.e., temperature and relative humidity) as well as the different thresholds of friction 186 velocities above which suspension of dust particles takes place. The emissions of crustal ions 187  $(Ca^{2+}, Mg^+, K^+ and Na^+)$  are estimated as a fraction of the total dust flux based on the soil chemical 188 composition of each individual grid cell (Karydis et al., 2016; Klingmüller et al., 2018). These ions 189 are emitted in the insoluble accumulation and coarse size modes and are subsequently transferred 190 to the soluble aerosols by the processes described above. 191

### 192 **2.2 Inorganic aerosol thermodynamics treatment**

In this study, the ISORROPIA-lite aerosol thermodynamic model has been implemented into the EMAC as part of the GMXe submodel, not as a replacement but as an alternative to the previous version, in order to efficiently calculate the equilibrium partitioning of the inorganic species between gas and aerosol phases. Furthermore, ISORROPIA II v2.3 is used to replace ISORROPIA II v1 in the model.

Kinetic limitations in the partitioning need to be taken into consideration because only fine aerosols are able to achieve equilibrium within the time frame of one model time step, which in this study equals to 10 minutes. Therefore, the partitioning calculation is done in two stages according to Pringle et al. (2010). First the amount of the gas-phase species that is able to kinetically condense onto the aerosol phase within the model time step is calculated by assuming diffusion limited condensation (Vignati et al., 2004). Then in the second stage, the partitioning between this gas phase material and the aerosol phase is performed. The partitioning calculation is performed for all seven size modes, i.e. in each model timestep ISORROPIA is called separatelyfor each of them.

207 According to Kakavas et al. (2022), ISORROPIA-lite features two main modifications in its code, with regard to ISORROPIA II v2.3 (Song et al., 2018) and ISORROPIA II v1 (Fountoukis 208 and Nenes, 2007). First, the routines related to the stable case have been removed, since only the 209 210 metastable case is considered and all salts formed are deliquesced. However, CaSO<sub>4</sub> is the only 211 solid salt allowed to form, as it is considered insoluble for most atmospherically-relevant RH values and precipitates spontaneously. Furthermore, for the calculation of binary activity 212 coefficients, ISORROPIA-lite uses the tabulated binary activity coefficient data for each salt from 213 Kusik-Meissner (Kusik and Meissner, 1978) instead of calculating them online, and includes their 214 temperature dependence according to Meissner and Peppas (1973). This is done by combining the 215 Kusik and Meissner (1978) model for specific ionic pairs with the Bromley (1973) activity 216 217 coefficient mixing rule for multicomponent mixtures. More information on this procedure, can be found in Fountoukis and Nenes (2007). This second modification is the major contributor to the 218 computational speed-up provided by ISORROPIA-lite, which in an offline estimation was reported 219 to be around 35% (Kakavas et al., 2022). Furthermore, this feature could explain differences in 220 inorganic aerosol estimates with the previous version of ISORROPIA using the same aerosol state 221 assumption (metastable case). Another important modification is that the effect of organic aerosol 222 water on the inorganic semi volatile aerosol components is included. This consideration slightly 223 224 increases the aerosol pH but more significantly drives the phase partitioning towards the aerosol phase in order to satisfy equilibrium conditions (Kakavas et al., 2022). However, this feature of 225 ISORROPIA-lite was not used in the present study, as the water uptake by organics is treated by 226 other parts of the GMXe aerosol microphysics submodel in the EMAC global model. The effects 227 of the secondary organic aerosol on aerosol water and nitrate partitioning are discussed by Kakavas 228 et al. (2023). 229

In the updated version of the GMXe submodel, the users have the option to select between ISORROPIA-lite and ISORROPIA II v2.3 to perform EMAC simulations depending on the application and the desired phase state assumption. While ISORROPIA-lite utilizes the metastable approach exclusively, ISORROPIA II v2.3 utilizes both and has the stable approach as default.

# **3.** Evaluation of New Aerosol Thermodynamic Modules within EMAC

For reasons of clarity, from this point forward both in the main text as well as in any figure captions, whenever different aerosol sizes are mentioned, total suspended particles (TSP) refer to the sum of the 4 lognormal size modes of the aerosol microphysics submodel (i.e. nucleation, Aitken, accumulation and coarse mode), fine aerosols refer to the sum of the 3 smaller size modes (nucleation, Aitken and accumulation mode) and coarse aerosols refer to the largest size mode of the model exclusively.

# 3.1 Comparison of ISORROPIA II v1 against ISORROPIA II v2.3 in stable mode

243 The first comparison aims to examine how ISORROPIA II v2.3 fares against ISORROPIA II v1

when considering solely the stable assumption, after the latter's replacement in the newer versionof the EMAC model.

The differences in global daily mean surface concentrations of  $NH_4^+$ ,  $SO_4^2$ -, mineral ions (sum of  $Ca^{2+}$ ,  $K^+$ ,  $Mg^{+2}$ ), aerosol water in TSP, as well as fine and coarse aerosol  $NO_3^-$  as predicted by the two versions can be seen in Figure 1. The 25<sup>th</sup> and 75<sup>th</sup> percentiles of concentration differences between the two versions for the aerosol water are below 0.2 µg m<sup>-3</sup> and for the remaining species they are an order of magnitude less, which translates to differences mostly below 1 % for all species. Therefore, the predictions of inorganic aerosol composition of the two versions agree exceptionally well.

In order to investigate potential differences arising in specific areas, regions affected by high 253 254 nitrate concentrations were selected, i.e., Europe, the Tibetan Plateau, Eastern Asia, North America 255 and the Middle East. The differences in daily mean coarse and fine  $NO_3^{-1}$  over these regions are shown in Figure S1. The comparison showed that the differences regarding the 25<sup>th</sup> and 75<sup>th</sup> 256 percentiles are less than 0.05  $\mu$ g m<sup>-3</sup> (or less than 2.5 %) between the results of the two 257 ISORROPIA II versions for both size modes. A statistical analysis of the results reveals that all 258 differences between the aforementioned species are on average below 3% (Table 1). Therefore, 259 the replacement of ISORROPIA II v1 by v2.3 in the EMAC model yields only trivial differences 260 in the predicted aerosol ionic composition and water. The following sections focus on the 261 comparison between the results of ISORROPIA-lite against ISORROPIA II v2.3 (called 262 ISORROPIA II hereafter for simplicity), both in stable and metastable states. 263 264



284	Figure 1: Bar chart plots depicting the 25 <sup>th</sup> , 50 <sup>th</sup> and 75 <sup>th</sup> percentiles (box) of the i) difference and ii)
285	fractional difference in global daily mean surface concentrations of aerosol water (left y-axis), mineral ions,
286	NH <sub>4</sub> <sup>+</sup> and SO <sub>4</sub> <sup>2-</sup> in TSP as well as coarse and fine aerosol NO <sub>3</sub> <sup>-</sup> (right y-axis), as predicted by EMAC using
287	ISORROPIA II v1 and ISORROPIA II v2.3. The 10th and 90th percentiles (whiskers) for each aerosol
288	component are also shown. Both models assume that the aerosol is at its stable state at low RH and a positive
289	change corresponds to higher concentrations by ISORROPIA II v1.

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**Table 1:** Statistical analysis of EMAC-simulated mean daily surface concentrations by<br/>employing ISORROPIA II v1 versus ISORROPIA II v2.3, both in **stable mode**.<br/>Deviations are given as ISORROPIA II v1 – ISORROPIA II v2.3.

	Mean Difference (µg/m <sup>3</sup> )	Normalized Mean Absolute Difference (%)
Coarse NO <sub>3</sub> <sup>-</sup>	-8x10 <sup>-4</sup>	1.8
Fine NO <sub>3</sub> <sup>-</sup>	-0.011	2.6
HNO <sub>3</sub>	-3.1x10 <sup>-4</sup>	0.7
$\mathbf{NH_4}^+$	-1.6x10 <sup>-4</sup>	2.0
$SO_4^{2-}$	-0.009	1.2
Na <sup>+</sup>	0.007	1.6
Ca <sup>2+</sup>	$1.7 \mathrm{x} 10^{-4}$	0.4
$\mathbf{K}^+$	$1.1 \times 10^{-4}$	0.4
$Mg^+$	1.5x10 <sup>-4</sup>	0.4
Cl-	0.040	2.3
H <sub>2</sub> O	0.046	1.1
$\mathrm{H}^{+}$	-2.9x10 <sup>-5</sup>	1.5

# 297 3.2 Comparison of ISORROPIA-lite against ISORROPIA II in metastable

298 **mode** 

The model results using ISORROPIA-lite are compared first against those using ISORROPIA II in metastable mode in order to determine whether the ISORROPIA-lite version can produce similar results with the more detailed module in EMAC, under same conditions. Figure 2 depicts the differences of the global daily mean surface concentrations of the same species that were examined before. The comparison yields differences for the  $25^{\text{th}}$  and  $75^{\text{th}}$  percentiles that are less than 0.5 µg m<sup>-3</sup> for the aerosol water and mostly less than 0.05 µg m<sup>-3</sup> for the remaining inorganic

<sup>295</sup> 296

aerosol components, which translates into differences of less than 2% for all species most of thetime.

Figure S2 shows the comparison between predicted global daily mean coarse and fine aerosol nitrate concentrations, focusing on the regions with the higher simulated mean annual concentrations. Across all regions, the concentration differences for both size modes are typically lower than 0.1  $\mu$ g m<sup>-3</sup> (or less than 3 %) and are mostly found over the Himalayan and East Asian regions.

In Table 2, the statistics of the results for the global surface concentrations for all examined aerosol components, reveal differences that are on average less than 7%. Therefore, ISORROPIAlite does provide quite similar predictions with ISORROPIA II in the EMAC model, for simulations using the metastable state assumption.





**Figure 2 :** Bar chart plots depicting the  $25^{th}$ ,  $50^{th}$  and  $75^{th}$  percentiles (box) of the i) difference and ii) fractional difference in global daily mean surface concentrations of aerosol water (left y-axis), mineral ions, NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup> in TSP as well as coarse and fine aerosol NO<sub>3</sub><sup>-</sup> (right y-axis), as predicted by EMAC using ISORROPIA-lite and ISORROPIA II. The 10th and 90th percentiles (whiskers) for each aerosol component are also shown. Both models assume that the aerosol is at its metastable state at low RH and a positive change corresponds to higher concentrations by ISORROPIA-lite.

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339	Table 2: Statistical analysis of EMAC-simulated mean daily surface concentrations by
340	employing ISORROPIA-lite versus ISORROPIA II, both in metastable mode.
341	Bias is given as ISORROPIA-lite – ISORROPIA II.

	Mean Difference (µg/m <sup>3</sup> )	Normalized Mean Absolute Difference (%)
Coarse NO <sub>3</sub> <sup>-</sup>	-6.2x10 <sup>-4</sup>	3.5
Fine NO <sub>3</sub> -	-3.1x10 <sup>-4</sup>	3.9
HNO <sub>3</sub>	-2.7x10 <sup>-4</sup>	2.0
$\mathbf{NH_4}^+$	-1.4x10 <sup>-5</sup>	3.8
SO4 <sup>2-</sup>	2.5x10 <sup>-3</sup>	4.0
Na <sup>+</sup>	0.011	6.7
Ca <sup>2+</sup>	2.9x10 <sup>-4</sup>	1.9
$K^+$	1.8x10 <sup>-4</sup>	2.4
$Mg^+$	5.8x10 <sup>-4</sup>	3.5
Cl	0.017	7.0
H <sub>2</sub> O	0.035	1.8
$\mathrm{H}^+$	-8.3x10 <sup>-4</sup>	4.6

#### **343 3.3 Evaluation of inorganic aerosol predictions**

EMAC predictions using both ISORROPIA-lite and ISORROPIA II in stable mode for PM<sub>2.5</sub> 344 ammonium, sulfate and nitrate were compared against measurements from three observational 345 networks. The networks cover some of the most polluted areas in the Northern Hemisphere. The 346 EPA CASTNET network (U.S. Environmental Protection Agency Clean Air Status and Trends 347 Network) and the IMPROVE network (Interagency Monitoring of Protected Visual Environments) 348 with 152 stations for nitrate and sulfate and 143 stations for ammonium cover the USA, with 349 IMPROVE concerning mostly rural and/or remote areas. The EMEP network (EMEP Programme 350 Air Pollutant Monitoring Data) includes 9 stations for nitrate and sulfate and 7 for ammonium 351 covering the European region. Finally, the EANET network (The Acid Deposition Monitoring 352 Network in East Asia) with 33 stations measuring all three major aerosol components covers parts 353 of East Asia. The number of stations refers to the year 2010 which is simulated in this work. 354

Figure 3 depicts the differences between the model-predicted and the observed mean annual concentration values for  $SO_4^{2^-}$ ,  $NH_4^+$  and  $NO_3^-$  aerosols, while Tables 3, 4 and 5 contain the overall statistics for the same comparisons. Here, the mean bias (MB), mean absolute gross error (MAGE), normalized mean bias (NMB), normalized mean error (NME), and the root-mean-square error (RMSE) are calculated to assess the model performance. Starting with  $SO_4^{2^-}$ , the model tends

to underpredict the observations but with mean bias (MB) less than -0.5  $\mu$ g m<sup>-3</sup> for Europe and less 360 than -1 µg m<sup>-3</sup> for USA, capturing both the higher values of the Eastern US and the lower values 361 of the Western US. Its normalized mean error (NME) ranges from 40 to 60% being highest for the 362 East Asia region, which also has the highest MB of  $-1.65 \,\mu g \, m^{-3}$  (Table 3). Seasonally, the largest 363 biases are found during summertime over Europe and the USA and during wintertime over East 364 Asia (Table S4), while the same is true also for the predictions of ISORROPIA II in stable mode 365 exhibiting quite similar metrics (Table S1).  $NH_4^+$  is much better simulated by the model over the 366 three regions, where the agreement with observations is high with MB values less than 0.4  $\mu$ g m<sup>-3</sup> 367 but with slightly higher NME values (Table 4). Over Eastern Asia, the only important disparity is 368 a slight underprediction of about 2 µg m<sup>-3</sup> around Hong Kong following the underprediction of 369  $SO_4^{2-}$  over the same area (Fig. 3). Seasonally, spring is the worst period for the predictions of both 370 versions, while there doesn't seem to be a consistent pattern of behavior for all three regions which 371 perform best over different periods (Table S5 & S2). Finally, the model tends to overpredict  $NO_3^{-1}$ 372 concentrations over the three regions with MB values less than 1 µg m<sup>-3</sup> albeit with high NME 373 values (Table 5). Over East Asia, with the exception of Hong Kong, the model overestimates the 374  $NO_3^{-1}$  concentrations by about 3 µg m<sup>-3</sup>, especially in the Wuhan and Guangzhou areas and also 375 around Beijing (Fig. 3). In general, besides Hong Kong, the model overpredicts the concentrations 376 377 of all three aerosol components examined here in the East Asian region. For all regions, the best seasonal agreement between the predictions of both versions in terms of MB values is found during 378 379 the summer period, while the worst agreement occurs around the winter/spring period (Tables S6 & S3). The NME values are lowest in the summer for the USA and, surprisingly, in the winter for 380 Europe and East Asia, even though this is the period with the worst MB values for these regions. 381 Potential explanations include the coarse grid resolution used in this work as well as issues related 382 to emissions (Zakoura and Pandis, 2018). It should be noted that even though the two versions 383 perform similarly, better performance on certain statistical metrics should not be taken as an 384 indication that one state assumption is more scientifically valid than the other. While a stable state 385 could be considered more accurate under very low humidity conditions (e.g., over remote deserts; 386 Karydis et al., 2016), in regions, such as those with intermediate RH and low nitrate concentration 387 (e.g., Northeastern US), particles are mostly in metastable state (Guo et al., 2016). However, the 388 two state assumptions produce very similar results in most cases, as shown here. 389

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427 **Figure 3:** Annual mean surface concentrations of  $PM_{2.5}$  i)  $SO_4^{2-}$ , ii)  $NH_4^+$ , and iii)  $NO_3^-$  as simulated by 428 EMAC using ISORROPIA-lite (shaded contours) versus observations of the same species from the 429 IMPROVE, EMEP and EANET networks (colored circles).

Table 3: Statistical evaluation of EMAC predicted surface concentrations of PM<sub>2.5</sub> SO<sub>4</sub><sup>2-</sup> using
 ISORROPIA-lite against observations during 2010.

	Number of	Mean Observed	Mean Predicted	MAGE	MB	NME	NMB	RMSE
Network	datasets	(µg m <sup>-3</sup> )	(%)	(%)	(µg m <sup>-3</sup> )			
EPA	1791	2.18	1.28	0.92	-0.90	42	-38	0.93
IMPROVE	1526	1.02	0.92	0.47	-0.10	46	-11	0.73
EMEP	108	1.71	1.27	0.75	-0.44	44	-26	0.91
EANET	353	3.19	1.54	1.95	-1.65	61	-51	2.46

**Table 4:** Statistical evaluation of EMAC predicted surface concentrations of PM<sub>2.5</sub> NH<sub>4</sub><sup>+</sup> using

ISORROPIA-lite against observations during 2010.

	Number of	Mean Observed	Mean Predicted	MAGE	MB	NME	NMB	RMSE
Network	datasets	(µg m <sup>-3</sup> )	(%)	(%)	(µg m <sup>-3</sup> )			
EPA	1660	1.01	1.01	0.50	0.00	49	0	0.72
IMPROVE	-	-	-	-	-	-	-	-
EMEP	84	1.08	1.44	0.63	0.36	59	34	0.75
EANET	360	0.93	1.25	0.69	0.32	74	34	1.25

Table 5: Statistical evaluation of EMAC predicted surface concentrations of PM<sub>2.5</sub> NO<sub>3</sub><sup>-</sup> using
 ISORROPIA-lite against observations during 2010.

	Number of	Mean Observed	Mean Predicted	MAGE	MB	NME	NMB	RMSE
Network	datasets	(µg m <sup>-3</sup> )	(%)	(%)	(µg m <sup>-3</sup> )			
EPA	1762	1.39	1.87	1.06	0.48	76	42	1.65
IMPROVE	1526	0.42	1.18	0.82	0.76	194	175	1.15
EMEP	108	1.15	1.91	1.25	0.76	109	66	1.66
EANET	372	1.32	2.27	1.33	0.95	101	72	2.17
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### 444 **3.4 Computational speed-up metrics**

The computational efficiency and speed-up that ISORROPIA-lite provides compared to 445 446 ISORROPIA II in both stable and metastable modes were quantified. Table 6 contains the total 447 number of time steps that the EMAC model performed for the same simulation period (i.e., 24 h of CPU-time using 16 nodes) as well as the real time that was needed per individual time step, for 448 each ISORROPIA version. The metrics shown in Table 6 concern the average value of each 449 450 quantity, along with the corresponding standard deviation, resulting from a total of 18 simulations (6 for each version). From the difference in the real time required by the model to execute each 451 individual time step, the speed-up of ISORROPIA-lite was found to be just above 3% compared 452 to ISORROPIA II in metastable mode and almost 5 % compared to ISORROPIA II in stable mode. 453 These values are, as expected, lower than the improvement in the computational efficiency that 454 the ISORROPIA-lite version provides compared to the original version, as found in the offline 455 evaluation, because EMAC contains several other modules that are quite computationally 456 expensive. For example, the gas-phase chemistry (MECCA submodel) as well as wet deposition 457 and liquid phase chemistry (SCAV submodel) are responsible for two thirds of the total 458 computational cost of the global model. As a comparison, the offline speed-up that ISORROPIA-459 lite provided was calculated to be 35% and when utilized in the regional model PMCAMx 3D it 460 was found to be 10% (Kakavas et al., 2022). 461

Table 6: Total number of time steps that EMAC executed in 24 hours of running time and
number of seconds needed for each time step, utilizing ISORROPIA-lite and ISORROPIA II
(both in Stable & Metastable). The computational speed-up refers to how much quicker (in %)
the process is executed by ISORROPIA-lite in comparison to the previous version in both
modes.

	Simulation	# Time Steps	# Seconds per Timestep	Computational Speed-Up (%)
	ISORROPIA-lite	78,193 ± 116	$1.10\pm0.002$	-
	ISORROPIA II v2.3 (Metastable)	$75,720\pm242$	$1.14\pm0.003$	$3.3 \pm 0.3$
467	ISORROPIA II v2.3 (Stable)	74,599 ± 169	$1.16\pm0.003$	$4.8 \pm 0.3$
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# 470 4. Comparison of ISORROPIA-lite Against ISORROPIA II in Stable 471 Mode

In this section, we present a comparison of the ISORROPIA-lite results in metastable mode against 472 those of the ISORROPIA II results in stable mode. Both versions are now available in the latest 473 474 version of the EMAC model, and the user has the option to utilize either one. While ISORROPIA-475 lite always assumes metastable aerosols, ISORROPIA II assumes stable aerosols by default. This comparison is done in an attempt to quantify the effects of using the metastable case in global 476 atmospheric simulations, and to identify the regions and conditions under which the two 477 assumptions have any significant differences. Some discrepancies are expected due to the different 478 479 physical state of aerosols at low RH, however, the choice between a stable state and a metastable state should not be considered obvious. For example, Fountoukis et al. (2009) and Karydis et al. 480 481 (2010) have shown that the stable assumption is in better agreement with observations under conditions where RH is consistently below 50%. On the other hand, Ansari and Pandis (2000) 482 emphasize that the metastable assumption must be considered for regions characterized by 483 intermediate RH and low pollutant concentrations (in this case of NO<sub>3</sub><sup>-</sup>), while there are no 484 significant differences between the two assumptions over regions with high concentrations. Here, 485 differences in the calculated aerosol acidity by the two modules are also investigated. 486

### 487 **4.1 Spatial variability of mean annual aerosol concentrations**

For sulfate in TSP the predicted maximum annual average concentration was 7  $\mu$ g m<sup>-3</sup> found over 488 East Asia highlighting the large anthropogenic impact over that region, while it was also high (> 489  $5 \,\mu\text{g/m}^3$ ) in India, Europe, and the Middle East in both simulations (Fig. 4i). Absolute differences 490 for sulfate in TSP were lower than 0.15  $\mu$ g m<sup>-3</sup> (< 3%) and found mainly over the polluted northern 491 hemisphere (mainly East USA & Europe) with slightly higher values simulated by ISORROPIA 492 II (Fig. 4ii). This is most likely related to the also higher NO<sub>3</sub><sup>-</sup> aerosol predictions by ISORROPIA-493 lite over the same regions (see below & Fig. 4viii). The higher SO<sub>4</sub><sup>2-</sup> aerosol concentrations 494 estimated by ISORROPIA II over the Middle East region are mainly due to changes in wet 495 496 deposition induced by the different physical state of the aerosol due to the higher water content by 497 ISORROPIA-lite. The simulated concentrations of NH<sub>4</sub><sup>+</sup> in TSP had maximum annual average values of 6 µg m<sup>-3</sup> and were found mainly over East Asia, especially around the greater Beijing 498 499 and Wuhan areas, while India and Europe also exhibited high mean annual values for TSP NH4<sup>+</sup>  $(>3 \ \mu g \ m^{-3})$  (Fig. 4iii). The absolute differences for NH<sub>4</sub><sup>+</sup> in TSP between the two model versions 500 are higher over the Himalayan and East Asian regions (in favor of ISORROPIA II) but apparently 501 weaker over USA, the Middle East and Africa (ISORROPIA-lite predicts higher values), although 502 never higher than 0.5  $\mu$ g m<sup>-3</sup> (< 5%) (Fig. 4iv). Regarding aerosol NO<sub>3</sub><sup>-</sup> concentrations in the coarse 503 mode the maximum annual average of 6  $\mu$ g m<sup>-3</sup> was predicted at the Arabian Peninsula (Fig. 4v), 504 while in the fine mode the maximum annual average value of 11  $\mu$ g m<sup>-3</sup> was predicted over the 505 metropolitan areas of Wuhan and Guangzhou (Fig. 4vii). Other high annual average concentrations 506 of fine aerosol NO<sub>3</sub><sup>-</sup> are found in the Tibetan Plateau and most prominently in heavy industrial 507 regions such as East US, Eastern Asia and Europe (exceeding 4  $\mu$ g m<sup>-3</sup> in most of these areas) with 508 the latter two regions contributing high annual average concentrations in the coarse mode as well. 509

The absolute differences for coarse  $NO_3^-$  were similar in magnitude to those of  $NH_4^+$  in TSP with 510 the Middle East yielding higher values by ISORROPIA-lite while the opposite is true for Europe 511 512 and East USA (Fig. 4vi). The absolute differences for fine NO<sub>3</sub><sup>-</sup> are higher than those for coarse  $NO_3^-$  reaching up to 1.75 µg m<sup>-3</sup> mainly over the Tibetan Plateau (~ 30%) with ISORROPIA II 513 predicting the higher values (Fig. 4vii). Higher nitrate concentrations were also predicted by 514 ISORROPIA II mainly close to the West coast of South America and North of Atacama Desert. 515 516 Around those regions as well as the Tibetan Plateau, the relative humidity is often below 50% and 30% respectively (see Fig. 8) and the metastable assumption results in lower nitrate concentrations, 517 in agreement with the findings of Ansari and Pandis (2000). At the same time, ISORROPIA II 518 predicts a higher aerosol fraction for NO<sub>3</sub><sup>-</sup> (up to 10%) for the West coast of South America and 519 the Tibetan Plateau. This is not the case for East Asia (Fig. 5ii) although the low sulfate/nitrate 520 ratio of that region, results to an excess of available NH<sub>3</sub> to react with HNO<sub>3</sub> and form ammonium 521 522 nitrate that would justify the higher fine mode nitrate concentrations by the stable case of ISORROPIA II (Ansari and Pandis, 2000). A higher  $NO_3^-$  aerosol fraction (up to 10%) in the 523 Middle East was exhibited by ISORROPIA-lite (Fig. 5ii). This area is characterized by increased 524 mineral ion concentrations and high sulfate to nitrate ratios (Karydis et al., 2016) which led to 525 higher coarse mode nitrate predictions by the metastable case (Ansari and Pandis, 2000), although 526 the maximum difference was only 0.6 µg m<sup>-3</sup> (Fig. 4vi, 4viii). The differences in coarse and fine 527 NO<sub>3</sub><sup>-</sup> among the two versions did not display any strong seasonality as they were only slightly 528 529 higher during autumn (for East Asia) and winter (for India-Himalayas) (not shown). A comparison of the simulated aerosol concentrations at higher altitudes can be found in Figure S3, where the 530 zonal mean annual average concentrations as well as their absolute differences between the two 531 model versions are depicted. The deviations between the results of the two ISORROPIA versions 532 are becoming smaller as the air masses move higher in the atmosphere, until they are practically 533 identical at altitudes above 700hPa. Regarding the behavior of the mineral ions of Ca<sup>2+</sup>, K<sup>+</sup>, and 534  $Mg^{2+}$  the majority of high concentrations are found around the largest desert regions of the Sahara, 535 Gobi, Atacama and Namib deserts (Figure S4), with  $Ca^{2+}$  being evidently the most dominant across 536 all minerals. Furthermore, the absolute difference maps (Fig. S4) show minimal differences in 537 mean annual surface concentrations (mostly less than 0.5  $\mu$ g m<sup>-3</sup>) between the simulations from 538 the two model versions. This is also reflected in the comparison of zonal mean annual average 539 concentrations and their differences, as shown in Figure S5. 540

In the heavily polluted regions (particularly East USA, Europe and East Asia), the 541 particulate NO<sub>3</sub><sup>-</sup> dominates compared to the gas phase HNO<sub>3</sub> (Fig. 5i). The fine-mode fraction of 542 the particulate nitrate burden is bigger than the coarse-mode fraction over Eastern Asia, India, 543 Europe, and Eastern USA, while in the large desert areas of the Middle East and the Sahara most 544 of the particulate  $NO_3^-$  exists in the coarse mode (Fig. 5iii). The aerosol water fraction is low 545 (<30%) across the most arid regions of Sahara, Atacama, Namib and Gobi, while Europe has the 546 highest continental average aerosol water content in the Northern Hemisphere polluted regions 547 548 (Fig. 5v). ISORROPIA-lite predicts higher average aerosol water concentration globally since the particles cannot form solids, and the salts remain in a supersaturated metastable solution (Fig. 5vi). 549

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579  $\mu g/m^3$ 580 <u>Figure 4 :</u> Annual mean surface concentrations of i) SO<sub>4</sub><sup>2-</sup> and ii) NH<sub>4</sub><sup>+</sup> in TSP, iii) coarse and iv) fine 581 aerosol NO<sub>3</sub><sup>-</sup> as predicted by EMAC using ISORROPIA-lite. Change of the annual mean EMAC-simulated 582 surface concentration of v) NH<sub>4</sub><sup>+</sup> and vi) SO<sub>4</sub><sup>2-</sup> in TSP, vii) coarse and viii) fine aerosol NO<sub>3</sub><sup>-</sup> after employing 583 ISORROPIA II. Positive values in red indicate higher concentrations by ISORROPIA-lite. The models 584 assume different aerosol states.



**Figure 5 :** Annual mean surface fractions of i) aerosol/gas  $NO_3^-$ , ii) fine/total-aerosol  $NO_3^-$  and iii) aerosol water mass as calculated by EMAC using ISORROPIA-lite. Change of the annual mean EMAC-simulated surface fractions of aerosol/gas iv)  $NO_3^-$ , v) fine/total-aerosol  $NO_3^-$ , and vi) aerosol water mass after employing ISORROPIA II. Positive values in red indicate higher fractions by ISORROPIA-lite. The models assume different aerosol states.

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The absolute differences in global daily mean concentrations are mostly less than 0.3  $\mu$ g m<sup>-3</sup> for all species (NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup> and Mineral Cations in TSP as well as coarse and fine aerosol NO<sub>3</sub><sup>-</sup>) except aerosol water in TSP (Figure 6). In that case the absolute differences for the 25<sup>th</sup> and 75<sup>th</sup> percentiles are less than 5  $\mu$ g m<sup>-3</sup>. This translates to fractional differences for the 25<sup>th</sup> and 75<sup>th</sup> percentiles mostly below 20 % for aerosol water in TSP and coarse NO<sub>3</sub><sup>-</sup> aerosol, and mostly below 5% for all the remaining species.



**Figure 6 :** Bar chart plots depicting the  $25^{th}$ ,  $50^{th}$  and  $75^{th}$  percentiles (box) of the i) difference and ii) fractional difference in global daily mean surface concentrations of aerosol water (left y-axis), mineral ions, NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup> in TSP as well as coarse and fine aerosol NO<sub>3</sub><sup>-</sup> (right y-axis), as predicted by EMAC using ISORROPIA-lite and ISORROPIA II. The models assume different aerosol states at low RH and a positive change corresponds to higher concentrations by ISORROPIA-lite.



**Figure 7:** Bar chart plots depicting the  $25^{\text{th}}$ ,  $50^{\text{th}}$  and  $75^{\text{th}}$  percentiles (box) of the difference in the global daily mean surface concentrations of i) coarse and ii) fine aerosol NO<sub>3</sub><sup>-</sup> for the regions of North America, Europe, Middle East, India-Himalayas and East Asia, as predicted by EMAC using ISORROPIA-lite and ISORROPIA II. The fractional differences in global daily mean surface concentrations of iii) coarse and iv) fine aerosol NO<sub>3</sub><sup>-</sup> for the same regions are also shown. The models assume different aerosol states at low RH and a positive change corresponds to higher concentrations by ISORROPIA-lite.

The model results in the regions with the highest mean annual loads of fine and coarse aerosol 681 NO<sub>3</sub><sup>-</sup> concentrations (see Section 3.1) as well as the most significant differences in estimated 682 aerosol water and aerosol acidity (see Section 4.3), were further analyzed to determine whether 683 the phase state assumption has a large effect on simulated aerosol nitrate formation (Figure 7). For 684 both coarse and fine daily mean NO<sub>3</sub><sup>-</sup> concentrations, Europe and North America are clearly the 685 regions with the smallest differences between the two versions. On the other hand, East Asia and 686 especially the India-Himalayas regions are areas where the differences are the highest with 687 ISORROPIA II predicting higher fine aerosol NO3<sup>-</sup> concentrations while in the Middle East, 688 ISORROPIA-lite is predicting higher coarse mode aerosol NO<sub>3</sub><sup>-</sup> concentrations. However, even 689 for these areas the differences are typically below 0.25  $\mu$ g m<sup>-3</sup> (25<sup>th</sup> and 75<sup>th</sup> percentiles) with the 690

691 higher differences not exceeding 0.8  $\mu$ g m<sup>-3</sup> (10<sup>th</sup> and 90<sup>th</sup> percentiles). This translates to fractional 692 differences below 25 % (25<sup>th</sup> and 75<sup>th</sup> percentiles) for all regions, reaching up to 30 % (10<sup>th</sup> and 693 90<sup>th</sup> percentiles) mainly in the Tibetan Plateau and the Middle East.

Table 7 contains the statistics for the comparisons of the global daily average surface concentrations calculated by the two simulations. While all the aerosol component concentrations, except for aerosol water, are higher for ISORROPIA II, the differences are still quite low. Furthermore, despite the different aerosol phase state assumption by the two versions, the normalized mean absolute difference remains low for all species (on average < 11 %) except HNO<sub>3</sub>. The overall statistics support the conclusion that on the global scale, the phase state assumption for low RH does not have a significant impact on the predicted tropospheric aerosol load. More specifically, ISORROPIA-lite produces a slightly higher tropospheric burden for aerosol NO<sub>3</sub><sup>-</sup> than ISORROPIA II (0.875 Tg versus 0.861 Tg, respectively) while the opposite was the case for HNO<sub>3</sub> (0.921 Tg versus 0.935 Tg). The higher burden of ISORROPIA-lite is due to the fact that the higher aerosol water content favors the partitioning of HNO<sub>3</sub> to the particulate phase.

Table 7: Statistical analysis of EMAC-simulated mean daily surface concentrations byemploying ISORROPIA-lite in metastable mode versus ISORROPIA II in stable mode.Bias is given as ISORROPIA-lite – ISORROPIA II.

	Mean Difference $(\mu g/m^3)$	Normalized Mean Absolute Difference (%)
Coarse NO <sub>3</sub> -	-0.026	9.1
Fine NO <sub>3</sub> -	-0.044	9.8
HNO <sub>3</sub>	-0.002	10.3
$\mathbf{NH_4}^+$	-1.8x10 <sup>-4</sup>	8.0
SO4 <sup>2-</sup>	-0.020	4.8
Na <sup>+</sup>	-0.081	8.6
Ca <sup>2+</sup>	-0.005	1.7
$K^+$	-0.002	1.8
$Mg^+$	-0.002	1.7
Cl <sup>-</sup>	-0.120	9.4
H <sub>2</sub> O	2.717	10.8
$\mathrm{H}^+$	-4.7x10 <sup>-4</sup>	6.1
pH Accumulation	-0.06 (pH)	2.3
pH Coarse	0.03 (pH)	2.3

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### **4.2 Relative humidity dependent behavior of NO3<sup>-</sup> aerosols**

The dependence of the differences in nitrate predictions on relative humidity was examined both 728 for fine and coarse particles (Figure 8). The differences between ISORROPIA II and ISORROPIA-729 lite are higher at intermediate RH ranging from 20% to 60% being more evident in the fine mode 730 aerosol NO<sub>3</sub><sup>-</sup> and for high annual mean concentrations of coarse mode aerosol NO<sub>3</sub><sup>-</sup> (> 4  $\mu$ g m<sup>-3</sup>). 731 732 In this RH range, solid salts can precipitate when the stable equilibrium state is assumed (Seinfeld and Pandis, 2016), while in the metastable state all these salts remain dissolved in water. A region 733 that has often RH in the 20 - 60% range is the Tibetan Plateau which leads to discrepancies of the 734 fine mode particulate nitrate predictions of the two models in this area, while higher coarse mode 735 particulate nitrate concentrations are predicted by ISORROPIA-lite in the Middle East, an area 736 that is also often characterized by intermediate RH. The differences found for coarse mode 737 particulate nitrate in the higher RH ranges of 60 - 100 %, can account for the respective differences 738 that occurred in areas characterized by such RH values (East USA, Europe and East Asia) but 739 concern lower annual mean concentration values ( $< 3 \mu g m^{-3}$ ). 740

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Figure 8: Scatterplots comparing the annual mean surface concentrations of coarse (i, iii) and fine aerosol
NO<sub>3</sub><sup>-</sup> (ii, iv) for relative humidity ranges of 20-60 % (i, ii) and 60-100 % (iii, iv) as predicted by EMAC
using ISORROPIA-lite versus ISORROPIA II. The models assume different aerosol states at low RH.
Black points represent the 20-40 % RH range, green points the 40-60 % range, blue points the 60-80 %
range and pink points the 80-100 % range. v) Mean annual relative humidity as calculated by EMAC
using ISORROPIA-lite.

#### 4.3 Comparison of the estimated aerosol acidity

The estimated aerosol acidity by the two model versions was compared separately for the accumulation and coarse size modes. This comparison aims at verifying the credibility of the estimated inorganic aerosol acidity of ISORROPIA-lite, as the first results of its implementation in the EMAC model are presented here. Since this capability is well established for ISORROPIA II (Karydis et al., 2021), it is of interest to examine any potential, but otherwise expected, differences between the two versions. The pH was computed for the fine and coarse particles, by: 781

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$$pH = -\log_{10}(\frac{[H^+]}{[H20]})$$
(1)

The calculations were performed neglecting the water associated with the organic fraction of 783 aerosols, as they are handled by other parts of the aerosol microphysics submodel GMXe. The 784 average pH was calculated based on the instantaneous H<sup>+</sup> and H<sub>2</sub>O values estimated every 5 hours. 785 This is because utilizing daily average values for H<sup>+</sup> and H<sub>2</sub>O can result in a low-biased predicted 786 pH of ~2 units globally (Karydis et al., 2021). The 5 hour interval provides a frequent output of 787 values at different times of the day to account for the diurnal variability of pH, since a selection of 788 6 or 8 hour intervals would result in instantaneous  $H_{+}$  and  $H_{2}O$  values at identical times on different 789 days. pH calculations are performed only in cases where there is enough water in the aerosol 790 (instantaneous values exceeding  $0.05 \ \mu g \ m^{-3}$ ). 791

ISORROPIA-lite predicts slightly more acidic particles mainly in the coarse mode (Fig. 792 9iv). The most significant differences (up to 1 unit) in that size range are located over the Middle 793 East and Arabian Peninsula, while smaller differences can be found in limited parts of the 794 795 Himalayan and the East Asian regions as well as West USA and the Amazon Basin. These regions are characterized by high mineral cation concentrations and/or low RH. Therefore, the stable state 796 results in increased pH values due to the precipitation of insoluble salts out of the aqueous phase. 797 On the other hand, in the metastable state all anions remain in the aqueous phase lowering the 798 particle pH. Differences in accumulation mode particle acidity are not as high (Fig. 9ii). 799 ISORROPIA-lite predicts that accumulation mode particles over heavy industrialized regions such 800 801 as Southeast Asia, Europe and Eastern USA are moderately acidic with mean pH values in the 802 range of 4 - 5 while exhibiting alkaline behavior in desert areas where the increased levels of mineral ions elevate the pH above 7 (Fig. 9i). Coarse mode particles are in general more alkaline 803 804 than those in the accumulation mode, with a few exceptions over east US, central Europe, north 805 India and SE Asia (Fig. 9iii). These regions are characterized by high NH<sub>3</sub> concentrations from 806 agricultural activities.

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Figure 9: Annual mean EMAC-simulated i) accumulation and ii) coarse mode aerosol pH using
 ISORROPIA-lite. Change of the annual mean EMAC-simulated iii) accumulation and iv) coarse mode
 aerosol pH after using ISORROPIA II, with negative values in red indicating lower pH by ISORROPIA lite. The models assume different aerosol states.

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A sensitivity test was performed by reducing all NH<sub>3</sub> emissions by half to investigate if 835 there is a buffering mechanism that controls the pH of the accumulation mode particles more than 836 in the coarse mode. Figure 10 shows the difference of the mean annual calculated aerosol pH 837 between the base case (NH<sub>3</sub> emissions present) and the sensitivity case (half NH<sub>3</sub> emissions). 838 When NH<sub>3</sub> emissions are switched off, the pH of fine PM decreases by up to 3 units and the 839 particles become a lot more acidic (Fig. 10i). For the coarse mode this effect is not that strong (pH 840 reduction of up to 1.5 units) (Fig. 10ii). As expected, this buffering mechanism is mainly observed 841 842 across the aforementioned regions where NH<sub>3</sub> concentrations are high, but also over areas affected by natural NH<sub>3</sub> emissions. This is consistent with the results of Karydis et al. (2021) who found 843 that in the absence of NH<sub>3</sub>, aerosol particles would be extremely acidic in most of the world. 844

The differences in the accumulation mode pH calculated by ISORROPIA-lite and ISORROPIA II are extremely small (i.e., mean difference of 0.06 pH units or 2.3%), and even smaller for coarse mode pH (Table 7), indicating an overall good agreement between the two model versions.

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Figure 10: Absolute change of the annual mean EMAC-simulated i) accumulation and ii) coarse mode
aerosol pH using ISORROPIA-lite after reducing the NH<sub>3</sub> emissions by half. Positive values in blue
indicate higher aerosol pH when NH<sub>3</sub> is present.

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# 862 **5. Conclusions**

This study presents the first results of the implementation of the ISORROPIA-lite thermodynamic
module in the EMAC global chemistry and climate model, and is compared to the previous version,
ISORROPIA II v2.3, after the latter has successfully replaced ISORROPIA II v1 to improve pH
predictions close to neutral conditions.

The results of ISORROPIA II versions 1 and 2.3 both in stable mode, had insignificant 867 differences (<3%) concerning the global predictions of NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, mineral ions and aerosol water 868 in TSP concentrations as well as fine and coarse mode aerosol  $NO_3^{-}$ . The comparison of results 869 from ISORROPIA-lite against ISORROPIA II v2.3 in metastable mode, showed also negligible 870 differences (<7%) between all the examined aerosol components on a global scale. The 871 comparison of the ISORROPIA-lite results for PM<sub>2.5</sub> NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2</sup>, and NO<sub>3</sub><sup>-</sup> versus observations 872 from the IMPROVE, EMEP and EANET networks reveals that East Asia is the area with the 873 largest discrepancies. There was satisfactory agreement in Europe and over the US for NH<sub>4</sub><sup>+</sup> and 874 SO<sub>4</sub><sup>2-</sup>, while ISORROPIA-lite predicted lower concentrations around Hong Kong with a maximum 875 difference of 1.5 µg m<sup>-3</sup> (~20 %) for these two species. For NO<sub>3</sub><sup>-</sup>, the discrepancy was up to 3 µg 876 877  $m^{-3}$  (~30 %) in the same region, while a difference of about 1.5 µg m<sup>-3</sup> (~25 %) was found over Central Europe with ISORROPIA-lite predicting the higher values. With the exception of Hong 878 879 Kong, the model in general overpredicted the concentrations of all three aerosol components over 880 the East Asian region.

A comparison between ISORROPIA-lite in the metastable state and ISORROPIA II in the stable state was performed to identify potential discrepancies in the inorganic aerosol concentrations simulated by EMAC. Although differences between the two model versions are to be expected due to the different physical state of aerosols at low RH, it is of interest to examine under which conditions these differences occur so that potential users are informed of the strengths and weaknesses of using either model version depending on the application. Both modules are now available as different options in the EMAC model. The agreement between the two versions was

generally quite good for global daily mean surface concentrations of inorganic aerosols, mineral 888 ions and aerosol water. More specifically mineral ions, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> in TSP had the smallest 889 differences overall, less than 0.5 µg m<sup>-3</sup> even in localized extreme cases but in the vast majority 890 less than 0.1 µg m<sup>-3</sup> (or less than 5%). For coarse NO<sub>3</sub><sup>-</sup> aerosols the absolute differences were of 891 similar magnitude with the higher concentrations simulated by ISORROPIA-lite in the Middle 892 East being the most notable. In the case of fine  $NO_3^-$  aerosols, the differences were larger (up to ~ 893 1.75 µg m<sup>-3</sup> in local extremes), mainly over the West coast of South America (North of Atacama 894 Desert), the Tibetan Plateau and Eastern Asia regions with higher concentrations simulated by 895 ISORROPIA II but still within ~30%. In Europe and the US, the corresponding differences were 896 less than 0.25 µg m<sup>-3</sup>. The most important difference was the higher aerosol water calculated by 897 ISORROPIA-lite, especially for relative humidity in the 20% to 60 % range. However, this was 898 less than 5 µg m<sup>-3</sup> or 20 % in most cases. Therefore, even though local differences are expected in 899 regions where the relative humidity is often in this range, on a global scale choosing a different 900 physical state of the aerosol at lower RH does not have such a big impact. 901

When the relative humidity ranged from 20 % to 60 %, differences in coarse and fine  $NO_3^{-1}$ 902 concentrations predictions among the two versions increased. The highest discrepancies were 903 found in the Tibetan Plateau and the Middle East regions both of which are dominated by such RH 904 values during most of the year. In the first region, the combination of those RH values with mid-905 range temperatures does not favor nitrate aerosol formation if the aerosol is in the metastable state 906 907 (ISORROPIA-lite). In the second region, the low RH values result in very low aerosol water predictions for the stable state assumed by ISORROPIA II which hinder the condensation of HNO<sub>3</sub> 908 into the aerosol phase. 909

Investigation of the differences in the estimated inorganic aerosol acidity between the two 910 versions, due to the different assumed aerosol phase states, is of great interest for potential future 911 use of ISORROPIA-lite in global climate simulations. ISORROPIA-lite produces slightly more 912 acidic coarse mode aerosols (in comparison to ISORROPIA II) but by less than 1 pH unit on 913 average. The most important differences were found mainly in the Middle East and Arabian 914 915 Peninsula due to the presence of high mineral cation concentrations. The stable state considered by ISORROPIA II allows the precipitation of insoluble salts and removes anions from the aqueous 916 phase that would otherwise deplete the pH, while this is not the case for the metastable aerosol 917 state considered by ISORROPIA-lite. Furthermore, NH<sub>3</sub> is found to control the aerosol acidity of 918 both fine and coarse mode particles, however, it provides significantly larger buffering capacity to 919 920 the accumulation mode than to the coarse. This results in slightly more basic accumulation particles than coarse in regions with high NH3 presence from agricultural activities and low 921 922 mineral cation concentrations (e.g., Europe).

Finally concerning the computational efficiency that ISORROPIA-lite provides when used
by the EMAC global model, a speed-up of more than 3% was achieved compared to ISORROPIA
II in metastable state and nearly 5% compared to ISORROPIA II in stable state.

### 927 Code and Data Availability

The usage of MESSy (Modular Earth Submodel System) and access to the source code is licensed 928 to all affiliates of institutions which are members of the MESSy Consortium. Institutions can 929 become a member of the MESSy Consortium by signing the MESSy Memorandum of 930 Understanding. More information can be found on the MESSy Consortium Website 931 http://www.messy-interface.org. The code developed in this study and all relevant features, 932 including the ISORROPIA II v2.3 and ISORROPIA-lite v1.0 thermodynamic equilibrium codes 933 part of the MESSy system, are archived with a restricted access DOI 934 as (https://doi.org/10.5281/zenodo.8379120) and have already been incorporated into the official 935 development branch of the EMAC modelling system and will therefore be part of all future 936 released versions. The data produced in the study are available from the author upon request. 937

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945 Supercomputing Centre (JSC).

### 946 Competing Interests

HT acts as a topical editor for GMD but has no further competing interests.

### 948 Author Contributions

AM and VAK wrote the paper with contributions from all coauthors. VAK planned the research with contributions from AKS, SNP and AN. AN and SNP provided the ISORROPIA-lite model. AM and HT performed the implementation in EMAC. AM performed the simulations and analyzed the results assisted by VAK and APT. APT provided the observations and performed the model evaluation. All the authors discussed the results and contributed to the manuscript.

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