



HETerogeneous vectorized or Parallel (HETPv1.0): An updated inorganic heterogeneous chemistry solver for metastable state NH_4^+ – Na^+ – Ca^{2+} – K^+ – Mg^{2+} – SO_4^{2-} – NO_3^- – Cl^- based on ISORROPIA II

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Abstract.

We describe a new FORTRAN 90 computer program to solve the system of equations for the NH_4^+ – Na^+ – Ca^{2+} – K^+ – Mg^{2+} –
10 SO_4^{2-} – NO_3^- – Cl^- system, based on the algorithms of ISORROPIA II, but containing algorithm improvements and corrections. These allow the code to deliver more accurate solution results in formal evaluations of accuracy of the roots of the systems of equations, while reducing processing time in practical applications by about 50%. The improved solution performance results from several implementation improvements relative to the original ISORROPIA algorithms. These improvements include (i) the use of the ‘interpolate, truncate and project’ (ITP) root-finding approach rather than bisection, (ii) the allowance of search
15 interval endpoints as valid roots at the onset of a search, (iii) the use of a more accurate method to solve polynomial subsystems of equations, (iv) the elimination of negative concentrations during iterative solutions, (v) corrections for mass conservation enforcement, and (vi) several code structure improvements. The new code may be run in either a “vectorization” mode wherein a global convergence criterion is used across multiple tests within the same chemical subspace, or a “by gridpoint” mode wherein individual test cases are solved with the same convergence criteria. The latter approach was found to be more efficient
20 on the compiler tested here, but users of the code are recommended to test both options on their own systems. We also note that implementation of inorganic chemistry within chemical transport models should take care to retain residual or “free” mass of aerosol species remaining after partitioning, to ensure mass conservation – the new code has been constructed to explicitly conserve the input mass. The new code is provided as open-source FORTRAN 90 shareware.

1 Introduction

25 Anthropogenic atmospheric particulate matter (aerosols) can negatively impact the Earth’s climate and biosphere – aerosols can alter the atmosphere’s radiative forcing (Jacobson, 2001; Schmale et al., 2021), contribute to acid rain (Irwin and Williams, 1988), reduce atmospheric visibility (Quan et al., 2015) and cause morbidity in humans (Atkinson et al., 2014) and other plant and animal species (Lovett et al., 2009). Atmospheric particulate matter is comprised of organic and inorganic species, with 25 to 60% of particulate matter being inorganic by mass (Harrison and Pio, 1983; Heintzenberg, 1989). The inorganic portion



30 of atmospheric particulate matter consists primarily of sulfate (SO_4^{2-}), nitrate (NO_3^-), ammonium (NH_4^+), chloride (Cl^-),
calcium (Ca^{2+}), potassium (K^+), magnesium (Mg^{2+}) and sodium (Na^+) (Harrison and Pio, 1983; Wang et al., 2003). Along
coastlines and within marine air masses, inorganic bromide (Br^-) may also be common (Sander et al., 2003). Ca^{2+} , K^+ , Mg^{2+} ,
 Na^+ and Cl^- exist principally in the coarse mode, and these species are particularly important to the partitioning of ammonium
and nitrate (Metzger et al., 2006). As an example, coarse mode particle nitrate may form via adsorption of nitric acid (HNO_3)
35 onto sea salt (Savoie and Prospero, 1982). It should be noted that a considerable amount of K^+ may also be present in the fine
mode when it is generated during biomass burning events, termed ‘pyrogenic potassium’ (Metzger et al., 2006). The transfer
of cation and anion mass between gas and particulate phase is crucially dependent on inorganic thermodynamic partitioning –
for example, observations have indicated that base cations (Ca^{2+} , K^+ , Mg^{2+} , Na^+) and the ammonium ion (NH_4^+) can compete
for uptake of HNO_3 (the former residing in coarse mode, the latter in fine mode particle nitrate formation) (Makar et al., 1998,
40 Anlauf et al., 2006).

The aerosols can reside in the crystalline solid phase or exist as an aqueous solution of ions, and may be in
thermodynamic equilibrium with atmospheric gases. The partitioning of the inorganic species between the solid, gaseous and
aqueous phase is a complex computational problem, owing to the many nonlinearities involved. The equations describing
high concentration (non-ideal) inorganic heterogeneous equilibrium between gases, ions and crystallized solid phases present
45 a system of N equations in N unknowns (where N is the number of chemical constituents). While these equations may be
addressed through searching for roots of polynomials resulting from substitution of equations, the non-ideal nature of the
problem manifests as corrections to the equilibrium constants in the equations (activity coefficients) which in turn depend on
concentrations in the condensed phase – increasing the nonlinearity of the system of equations, and requiring the development
of special techniques for their solution. Several solvers have been developed to simulate the thermodynamic partitioning of
50 inorganic species (see Zhang et al., 2000 for a detailed review of these solvers). AIM2 (Clegg and Pitzer, 1992; Wexler and
Clegg, 2002) and GFEMN (Ansari and Pandis, 1999a, b) are considered the most rigorous solvers, in that they attempt to find
a global minimum in the Gibbs free energy of the constituents, but the downfall of this approach stems from the computational
time and operator review required to discriminate between the true global minimum and (potentially many) local minima
(Makar et al., 2003). This difficulty has prevented the use of these solvers in three dimensional (3D) chemical transport models
55 to date. However, these models may be used to help determine sub-systems of equations – local solution spaces where gas
and aerosol partitioning will occur with a smaller number of constituents – and hence describe simplified systems that may be
solved with more efficient methods. Inorganic heterogeneous chemistry implementations in chemical transport models have
relied on computationally efficient algorithms, which directly solve the system of inorganic heterogeneous chemistry equations
by considering the species chemical potentials within these predetermined subspaces of a smaller numbers of species, hence
60 simplifying and reducing the number of equations and unknowns. The specific subspace to be solved is determined based on
the input precursor species, and ratio(s) of the total available cations to the total available sulfate (see Sect. 2). This approach
effectively breaks the larger problem into several separate smaller problems. Solvers that apply this tactic include SCAPE
(Kim et al., 1993a,b; Kim and Seinfeld, 1995; Meng et al., 1995), EQUILSOLV-II (Jacobson, 1999),



ISORROPIA/ISORROPIA II/ISORROPIA–lite (Nenes et al., 1998; Fountoukis and Nenes, 2007; Kakavas et al., 2022), HETV
65 (Makar et al., 2003) and HETP (presented herein). HETV (HETerogeneous Vectorized) was a vectorized solver (i.e.,
optimized for vectorized architecture) based on the original ISORROPIA algorithms (Nenes et al., 1998), but with numerical
improvements related to more accurate evaluation of cubic and quadratic equations whose coefficients may vary by several
orders of magnitude, coding structure changes to replace logical IF statements with mathematical equivalents, the elimination
of redundant calculations, the replacement of intrinsic functions in activity coefficient calculations by high order Taylor series,
70 and the gathering of similar problems within a single–subsystem for solution using a global convergence criteria. These
modifications allowed HETV to perform calculations in 1/38 to 1/89 of the time required for ISORROPIA (v1.0), on a vector
supercomputer (the fastest supercomputer architecture at the time the HETV code was created – more recent supercomputer
architectures focus on parallel processing across multiple processors to reduce processing time). In 2007 an update to
ISORROPIA was released that included ‘crystal’ species (Mg^{2+} , K^+ , Ca^{2+}) and sea salt (Na^+ , Cl^-) (referred to as ISORROPIA
75 II; Fountoukis and Nenes, 2007). More recently, a simplified (and extended) version of ISORROPIA II has been developed
(called ISORROPIA–lite) that attempts to address the metastable state (those subsystems in which liquid water is present) as
well as effects of organic aerosols on the partitioning of the inorganic system. ISORROPIA–lite solves the same chemical
subspaces as ISORROPIA II, but only for the metastable state option (i.e., efflorescence branch) and uses precalculated binary
activity coefficients, resulting in a solver that executes about 35% faster than ISORROPIA II (Kakavas et al., 2022). The
80 underlying issue driving the use of a metastable state assumption in regional air quality models for inorganic heterogeneous
chemistry solvers is that the presence of water in the aerosol is not only controlled by the inorganic components, but also by
other components within a mixed–phase aerosol. In the absence of these additional sources of aerosol water, the “pure” (i.e.
only) inorganic aerosol thermodynamics can result in partitioning to the aerosol phase as only crystalline solids (no ions),
whereas the presence of the additional sources of aerosol water will ensure that some water is always present – and hence the
85 subsystems of equations that have no water will not be encountered. It has been reported that metastable state aerosols may
be ‘ubiquitous’ in the Earth’s atmosphere, existing more than 50% of the time when the relative humidity is between 45 and
75% (Rood et al., 1989; Tang et al., 1995); this may be especially true in the case of dissolved impurities such as organic
species. Applications of inorganic aerosol thermodynamics with 3D chemical transport models thus tend to assume a
metastable state as the most likely conditions in the troposphere. This assumption also reduces the number of chemical sub–
90 spaces required to obtain a solution of the system of equations for inorganic heterogeneous chemistry, and additions such as
formulae for the water activity associated with organic aerosols may be used to better simulate the aerosol water content
(Kakavas et al, 2022).

In the different versions of ISORROPIA and HETV, the roots of sub–systems of equilibrium equations are used to
determine the thermodynamic equilibrium solution, the result being the concentrations of the inorganic ions and the
95 partitioning gases. In ISORROPIA/ISORROPIA II/ISORROPIA–lite and HETV, convergence of these solutions to these
systems of equations are obtained via a bisection search, while in SCAPE, Newton’s method is employed. It is well known
that Newton’s method may fail to converge if the ‘initial guess’ of the root is too far away from the actual root (Burden and



Faires, 2011). Unlike Newton's method, the bisection method is guaranteed to converge (though the convergence may be slow), requiring at most $n_{eval} = \log_2 \left(\frac{b-a}{2\varepsilon} \right)$ function evaluations to locate the root (x) on the interval $[a, b]$ such that $|x_i - x^*| \leq \varepsilon$, where ε is a set tolerance and x^* is the current estimate of the root, and x_i is the previous estimate of the root. In most cases, the bisection method will require all n_{eval} function evaluations for convergence (Oliveira and Takahasi, 2021). Recently, Oliveira and Takahasi (2021) developed a *modified* bisection approach called "interpolate, truncate and project" (ITP), which may obtain superlinear convergence, therefore reducing the execution time required to obtain a solution with the same accuracy as the typical bisection method (note that the bisection method has linear convergence). To achieve an improved order of convergence, the ITP method incorporates a regula-falsi estimate into the bisection method. The 'typical' bisection method simply splits the original interval in half, with x^* becoming the midpoint of this interval ($x^* = x_{1/2} = 0.5(a + b)$) – a new interval is then chosen (i.e., $[a, x^*]$ or $[x^*, b]$) based on the sign change. The regula-falsi estimate, however, is determined by fitting a straight line through the identified interval by using the function values at each endpoint (i.e., $x_f = [bf(a) - af(b)]/[f(a) - f(b)]$) – this estimate defines the 'interpolation' aspect of the ITP method. By making use of these two estimates simultaneously (i.e., x^* and x_f), ITP is able to outperform the typical bisection method for both convergence rate and accuracy. For well-behaved functions (i.e., only one root in function's domain) ITP requires on average 24 to 37% of the iterations required by bisection, and for ill-behaved functions (i.e., multiple roots in function's domain, discontinuities) ITP requires on average 82% of the iterations necessary for bisection. The full mathematical details describing the ITP method (as well as pseudocode) are given in Oliveira and Takahasi (2021) and are not repeated herein.

In this work we present HETP (HETerogeneous vectorized or Parallel), a solver based on the metastable state algorithms of ISORROPIA II, which can be optimized for vector (i.e. similar problems for a subsystem are gathered and solved with a global convergence criterion) or parallel processors (the latter employing local, by grid point solutions to the system of equations to minimize processing time on parallel processors). HETP focuses exclusively on the metastable state (efflorescence branch) where some amount of liquid water is always assumed to be present in the aerosol, even at very low relative humidity; the metastable state assumption is currently applied in various state-of-the-art global and regional chemical transport models, such as GEM-MACH, GEOS-CHEM and CMAQ. GEM-MACH uses HETV (Makar et al. 2018), while CMAQ (Wang et al., 2012) and GEOS-CHEM (Pye et al, 2009) use ISORROPIA II. HETP has been updated to improve its numerical stability and computational speed compared to ISORROPIA II, as will be discussed in detail below. Specifically, in addition to the numerical improvements associated with its predecessor, HETV, modifications have been made to incorporate base cations and chlorine, to ensure mass conservation, and to update the bisection method to ITP. In the following sections, we demonstrate that the implementation of ITP not only decreases the execution time of the solver, but it can also improve the final convergence of the chemical system by initializing the search with a species concentration (i.e., an initial guess) that is closer to the actual solution being sought (at thermodynamic equilibrium). Thus, we have developed a new solver (HETP) that has improved the accuracy and decreased the execution time compared to the original ISORROPIA II metastable state algorithms. Section 2 briefly outlines the background theory underpinning the solver, followed in Sect. 3 by



a detailed list of modifications that are unique to HETP (relative to ISORROPIA II). The final sections provide a comprehensive comparison between ISORROPIA II and HETP, in terms of output results and computational speed, both of which are improved in the HETP algorithm. For brevity we will henceforth refer to ISORROPIA II as ISORROPIA in the remainder of this paper.

135 2 Background theory

HETP is based on the algorithms of ISORROPIA, which are in turn based on Gibbs free energy minimizations to define subspaces of systems of equations for inorganic heterogeneous chemistry. ISORROPIA solves two types of problems, referred to as the ‘forward’ or ‘reverse’ problem. The forward problem requires known input precursor concentrations (total gas + aerosol), along with a relative humidity and air temperature, to predict the equilibrium state. HETP does not consider the
140 reverse problem where the relative humidity, air temperature and aqueous aerosol species concentrations are known (i.e., no gaseous species are included in the input precursor concentrations), and a solution is sought to determine the resulting equilibrium and gas concentrations. The ISORROPIA solvers have been used in a large number of chemical transport model applications (i.e., ISORROPIA: 1250 citations; ISORROPIA II: 1245 citations), and have been a key component in these models, allowing inorganic heterogeneous chemistry calculations to be carried out in a timely fashion. Here, we build on those
145 solvers, and would like to acknowledge their important contribution to air–quality modelling science. As stated in Sect. 1, HETP assumes a metastable state (where some liquid water is always present even at low relative humidity). The required input precursor species are the total sulfate (TS, expressed as molar equivalent H_2SO_4), total ammonium (TA, expressed as molar equivalent NH_3), total nitrate (TN, expressed as molar equivalent HNO_3), total sodium (TNa, expressed as molar equivalent Na), total chloride (TCl, expressed as molar equivalent HCl), total magnesium (TMg, expressed as molar equivalent
150 Mg), total potassium (TK, expressed as molar equivalent K) and total calcium (TCa, expressed as molar equivalent Ca). Units of these net precursor species are mol m^{-3} air upon input into both ISORROPIA and HETP. For some input conditions ISORROPIA will adjust the input precursor concentrations prior to determining the subroutine that should be entered. Specifically, ISORROPIA will adjust TA and TCl so that they are no less than $1 \times 10^{-10} \text{ mol m}^{-3}$, and if $(\text{TNa} + \text{TS} + \text{TN}) < 1 \times 10^{-10} \text{ mol m}^{-3}$, then ISORROPIA will adjust TNa and TN so that they are no less than $1 \times 10^{-10} \text{ mol m}^{-3}$ (note these are
155 applicable only to Branch 3 and 4; see Fig. 1). These adjustments performed within a chemical transport model result in output speciation that violates mass conservation, since mass is created for TA, TN, TCl and TNa. As a result, ISORROPIA currently used in GEOS-CHEM v14.0.0 (GEOS-CHEM, 2022) does not perform these mass adjustments; it should be noted that GEOS-CHEM v14.0.0 uses ISORROPIA v2.2 which contains minor bug fixes compared to ISORROPIA II (v2.0). CMAQv5.4 which also uses ISORROPIA v.2.2 (CMAS, 2016; USEPA, 2022), does perform these initial mass adjustments, however any output
160 that results from input data that are mass adjusted are flagged. HETP adopts the approach of GEOS-CHEM and likewise does not perform these initial mass adjustment. Therefore, ISORROPIA v2.2 used herein (obtained from CMAQv5.4; USEPA,



2022) has been modified so that it also does not perform the aforementioned mass adjustments. Other than this modification, the branches and chemical subspaces (shown in Fig. 1) are identical to ISORROPIA.

165 Table 1 lists the entire set of equilibrium reactions (ER1 to ER7) that are solved in various chemical subspaces of the metastable state ‘forward’ option of both ISORROPIA and HETP. The decision tree (outlined at the end of this section) used to select the appropriate chemical subspace, as well as the equilibrium reactions shown in Table 1, are identical to ISORROPIA (Fountoukis and Nenes, 2007). ER1 to ER7 are solved by introducing additional relationships for mass conservation, electroneutrality (i.e., a charge balance equation), aerosol water activity, and mean activity coefficients (γ) to represent ion-ion interactions in non-ideal solutions ($\gamma \rightarrow 1$ as the solution becomes more dilute, i.e. more “ideal”). Given in Table S1 are
170 the equilibrium reactions that form the basis of dry salt partitioning (ER8 to ER25) that is completed during the initialization of several metastable state subspaces. It should be noted that ER8 to ER25 are not solved directly – instead the input precursor species are partitioned into various salts based on these equilibrium reactions.

The exact salts that form (i.e., which anions are matched by which cations) depends on the specific chemical subspace that is entered and whether the subspace is ‘sulfate rich’, ‘sulfate super-rich’ or ‘sulfate poor’; these classifications are
175 determined by the relative amounts of the input cations to the total available sulfate. For example, in CALCP13 (the algorithm branch describing a sulfate poor case with base cations present) calcium, potassium and magnesium first react with the sulfates to produce CaSO_4 , K_2SO_4 and MgSO_4 respectively, and sodium and chloride react to form NaCl . Any free calcium will then react with nitrate and free chloride to form $\text{Ca}(\text{NO}_3)_2$ and CaCl_2 respectively. Next, free magnesium will react with free nitrate and free chloride to form $\text{Mg}(\text{NO}_3)_2$ and CaCl_2 , respectively, and then free sodium will then react with free nitrate to form
180 NaNO_3 . Finally, free potassium will react with free chloride and free nitrate to form KCl and KNO_3 , respectively. The order of dry salt partitioning in the remaining chemical subspaces (where applicable) are provided in Table S2 of the Supplemental Information, and are identical to ISORROPIA (except for CALCL9, discussed in Sect. 3). Depending on the amount of anions and cations present for this initial partitioning stage, some of these input components may be in excess of the amount which can be partitioned into salts. This excess mass, beyond that required to create a set of salts, is referred to as the “free” amount
185 of the given component. The salts created in this initial stage of partitioning are then assumed to undergo deliquescence in each of the problems to be solved, resulting in an aqueous phase speciation that is then used as the initial conditions for which a thermodynamic solution is required. The “free” mass must therefore be treated carefully in the context of the *application* of thermodynamic solvers within chemical transport models. A key requirement for chemical transport models is that they conserve the mass of transported species, within process representation such as inorganic thermodynamics. Solvers such as
190 ISORROPIA conserve mass for the “captured” or “non-free” portion of the input chemical speciation. However, the “free” mass must be retained by the program accessing the solver, to prevent loss of mass of species such as Na, Mg, K, and Ca; the free mass must be added back to the captured mass partitioned by the solver prior to returning to the program accessing the inorganic heterogeneous chemistry solver. Currently ISORROPIA only outputs the aqueous, solid or gaseous species that result after partitioning at thermodynamic equilibrium, and not ‘free’ amounts. If the ‘free’ amounts are not retained and used
195 to conserve mass, inputs to the solver which result in ‘free’ species will be lost in the solver call. Some of the chemical



subsystem solvers in ISORROPIA retain the free amounts, while others do not; we note that the free amounts are not being tracked in some community regional chemical transport models employing ISORROPIA (i.e., CMAQv5.4, GEOS-CHEM v14.0.2); these implementations may be inadvertently losing aerosol mass due to this issue, where the free amounts were *not* being retained and hence inorganic aerosol mass may sometimes inadvertently be lost in these regional models. In HETP, the free amounts have been retained in all cases and are returned to the calling code. The manner in which the initial salt concentrations are determined, including the “free” amounts, is provided in detail in Table S2 (Supplemental information); HETP tracks all free amounts explicitly, otherwise, the initial dry salt concentrations outlined in Table S2 are determined identical to ISORROPIA (except CALCL9 which is discussed in Sect. 3)

Table 1: Equilibrium reactions (ER) considered in metastable state chemical subspaces, identical to ISORROPIA (Fountoukis and Nenes, 2007). These reactions are solved directly within the appropriate major system. ΔG_f^0 , ΔH_f^0 and ΔC_p^0 are the standard molar Gibbs free energy, enthalpy of formation and heat capacity at standard pressure, $R = 8.314 \text{ J mol}^{-1} \text{ K}^{-1}$ is the universal gas constant, and $T_0 = 298.15 \text{ K}$ is the reference temperature. Each species concentration with units of mol m^{-3} is converted to a molality using the aerosol liquid water content in kg m^{-3} . Here, γ is a multicomponent activity coefficient and p is a gas partial pressure.

| Equation No. | Equilibrium reactions and values of $\exp(-\Delta G_f^0/(RT_0))$, $-\Delta H_f^0/(RT_0)$, $-\Delta C_p^0/R$ | Equilibrium equation | | | | | | |
|------------------------------|---|------------------------------|-------------------------|-----------------------|---------|------------------|-------|---|
| ER1 | $K_{\text{HSO}_4}: \text{HSO}_4^-(\text{aq}) \rightleftharpoons \text{H}^+(\text{aq}) + \text{SO}_4^{2-}(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$1.015 \times 10^{-2}$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>8.85</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>25.14</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 1.015×10^{-2} | $\Delta H_f^0/(RT_0)$ | 8.85 | $\Delta C_p^0/R$ | 25.14 | $K_{\text{HSO}_4} = \frac{[\text{H}^+][\text{SO}_4^{2-}]}{[\text{HSO}_4^-]} \left(\frac{\gamma_{\text{H}_2\text{SO}_4}^3}{\gamma_{\text{H}^+}^2 \gamma_{\text{HSO}_4^-}} \right) [\text{mol kg}^{-1}]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 1.015×10^{-2} | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | 8.85 | | | | | | | |
| $\Delta C_p^0/R$ | 25.14 | | | | | | | |
| ER2 | $K_{\text{NH}_3}: \text{NH}_3(\text{g}) \rightleftharpoons \text{NH}_3(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$5.7639 \times 10^1$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>13.79</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>-5.39</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 5.7639×10^1 | $\Delta H_f^0/(RT_0)$ | 13.79 | $\Delta C_p^0/R$ | -5.39 | $K_{\text{NH}_3} = \frac{[\text{NH}_3(\text{aq})]}{p_{\text{NH}_3(\text{g})}} (\gamma_{\text{NH}_3(\text{aq})}) [\text{mol kg}^{-1} \text{ atm}^{-1}]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 5.7639×10^1 | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | 13.79 | | | | | | | |
| $\Delta C_p^0/R$ | -5.39 | | | | | | | |
| ER3 | $K_{\text{NH}_3}: \text{NH}_3(\text{aq}) + \text{H}_2\text{O}(\text{aq}) \rightleftharpoons \text{NH}_4^+(\text{aq}) + \text{OH}^-(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$1.805 \times 10^{-5}$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>-1.50</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>26.92</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 1.805×10^{-5} | $\Delta H_f^0/(RT_0)$ | -1.50 | $\Delta C_p^0/R$ | 26.92 | $K_{\text{NH}_3} = \frac{[\text{NH}_4^+][\text{OH}^-]}{[\text{NH}_3(\text{aq})] a_w} \left(\frac{\gamma_{\text{NH}_4^+} \gamma_{\text{OH}^-}}{\gamma_{\text{NH}_3(\text{aq})}} \right) [\text{mol kg}^{-1}]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 1.805×10^{-5} | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | -1.50 | | | | | | | |
| $\Delta C_p^0/R$ | 26.92 | | | | | | | |
| ER4 | $K_{\text{H}_2\text{O}}: \text{H}_2\text{O}(\text{aq}) \rightleftharpoons \text{H}^+(\text{aq}) + \text{OH}^-(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$1.010 \times 10^{-14}$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>-22.52</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>26.92</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 1.010×10^{-14} | $\Delta H_f^0/(RT_0)$ | -22.52 | $\Delta C_p^0/R$ | 26.92 | $K_{\text{H}_2\text{O}} = \frac{[\text{H}^+][\text{OH}^-]}{a_w} (\gamma_{\text{H}^+} \gamma_{\text{OH}^-}) [\text{mol}^2 \text{ kg}^{-2}]$ <p>with $\gamma_{\text{H}^+} = 1$ and $\gamma_{\text{OH}^-} = 1$</p> |
| $\exp(-\Delta G_f^0/(RT_0))$ | 1.010×10^{-14} | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | -22.52 | | | | | | | |
| $\Delta C_p^0/R$ | 26.92 | | | | | | | |
| ER5 | $K_{\text{HNO}_3}: \text{HNO}_3(\text{g}) \rightleftharpoons \text{H}^+(\text{aq}) + \text{NO}_3^-(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$2.511 \times 10^6$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>29.17</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>16.83</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 2.511×10^6 | $\Delta H_f^0/(RT_0)$ | 29.17 | $\Delta C_p^0/R$ | 16.83 | $K_{\text{HNO}_3} = \frac{[\text{H}^+][\text{NO}_3^-]}{p_{\text{HNO}_3}} (\gamma_{\text{H}^+} \gamma_{\text{NO}_3^-}) [\text{mol}^2 \text{ kg}^{-2} \text{ atm}^{-1}]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 2.511×10^6 | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | 29.17 | | | | | | | |
| $\Delta C_p^0/R$ | 16.83 | | | | | | | |
| ER6 | $K_{\text{HCl}}: \text{HCl}(\text{g}) \rightleftharpoons \text{H}^+(\text{aq}) + \text{Cl}^-(\text{aq})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$1.971 \times 10^6$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>30.20</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>19.91</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 1.971×10^6 | $\Delta H_f^0/(RT_0)$ | 30.20 | $\Delta C_p^0/R$ | 19.91 | $K_{\text{HCl}} = \frac{[\text{H}^+][\text{Cl}^-]}{p_{\text{HCl}}} (\gamma_{\text{H}^+} \gamma_{\text{Cl}^-}) [\text{mol}^2 \text{ kg}^{-2} \text{ atm}^{-1}]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 1.971×10^6 | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | 30.20 | | | | | | | |
| $\Delta C_p^0/R$ | 19.91 | | | | | | | |
| ER7 | $K_{\text{NH}_4\text{NO}_3}: \text{NH}_4\text{NO}_3(\text{s}) \rightleftharpoons \text{NH}_3(\text{g}) + \text{HNO}_3(\text{g})$ <table border="1"> <tr> <td>$\exp(-\Delta G_f^0/(RT_0))$</td> <td>$4.199 \times 10^{-17}$</td> </tr> <tr> <td>$\Delta H_f^0/(RT_0)$</td> <td>-74.735</td> </tr> <tr> <td>$\Delta C_p^0/R$</td> <td>6.025</td> </tr> </table> | $\exp(-\Delta G_f^0/(RT_0))$ | 4.199×10^{-17} | $\Delta H_f^0/(RT_0)$ | -74.735 | $\Delta C_p^0/R$ | 6.025 | $K_{\text{NH}_4\text{NO}_3} = p_{\text{NH}_3} p_{\text{HNO}_3} [\text{atm}^2]$ |
| $\exp(-\Delta G_f^0/(RT_0))$ | 4.199×10^{-17} | | | | | | | |
| $\Delta H_f^0/(RT_0)$ | -74.735 | | | | | | | |
| $\Delta C_p^0/R$ | 6.025 | | | | | | | |

210



The equilibrium constants are calculated from the Van't Hoff equation, where $\Delta H^0(T_0)$ is approximated for a small temperature range (Denbigh, 1981) as

$$215 \quad K_j(T) = K_0 \exp \left[-\frac{\Delta H^0(T_0)}{RT_0} \left(\frac{T_0}{T} - 1 \right) - \frac{\Delta c_p^0}{R} \left(1 + \ln \left(\frac{T_0}{T} \right) - \frac{T_0}{T} \right) \right], \quad (1)$$

where K_0 is the equilibrium constant at a reference temperature of $T_0 = 298.15$ K, $R = 8.314$ J mol⁻¹ K⁻¹ is the universal gas constant, Δc_p^0 (J mol⁻¹ K⁻¹) is the change of molar heat capacity of products minus reactants and ΔH^0 (kJ mol⁻¹) is the enthalpy change of the reaction at temperature T_0 (K). K_0 is determined as

$$220 \quad K_0(T_0) = \exp \left(-\frac{\Delta G_f^0}{RT_0} \right), \quad (2)$$

where ΔG_f^0 (kJ mol⁻¹) is the standard molar Gibbs free energy of formation at T_0 .

The mean activity coefficients are calculated following the same methodology as in ISORROPIA: multicomponent activity coefficients are calculated according to Bromley's formula (Bromley, 1973), binary activity coefficients are determined from the Kusik–Meissner relationship (Kusik and Meissner, 1978), and the temperature dependence of the multicomponent activity coefficients is calculated following Meissner and Peppas (1973). HETP (as in ISORROPIA) assumes that OH⁻(aq) is small compared to other species, and hence it is not used in the calculation of ionic strength. HETP only allows on-line calculation of activity coefficients and does not use precalculated look-up tables.

230 Aerosol liquid water content is calculated according to the ZSR correlation (Robinson and Stokes, 1965), where the water activity (a_w) is equal to the fractional relative humidity (0 to 1 scale). It is assumed that there are negligible effects from droplet curvature (i.e., Kelvin effect), and that the growth of an aerosol by uptake of H₂O does not affect the ambient water vapor pressure (i.e., no effect on the ambient relative humidity).

There are other simplifications and assumptions applied to the metastable state in HETP and ISORROPIA including:
235 (i) sulfuric acid, sodium, magnesium, calcium and potassium are assumed to only exist in the aerosol phase (i.e., no sulfuric acid gas), (ii) calcium sulfate (CaSO₄) never dissolves and will only be present as a solid species, (iii) in cases that are sulfate rich (B4, C2, E4, F2, I6, J3, L9, K4), the ions NH₄⁺, NO₃⁻ and Cl⁻ are “assumed to be minor species that do not significantly perturb the [thermodynamic] equilibrium” (Fountoukis and Nenes, 2007) – the partitioning problem to be solved for these ions in sulfate-rich cases is referred to as a “minor system”. All minor systems are solved after convergence of the major system has been achieved. Practically, for point (iii) above, this implies that NO₃⁻ and Cl⁻ within the minor system will not affect the charge balance or the activity coefficients of the major system. The concentration of H⁺ determined from the major system is used as the basis to perform the partitioning between the aerosol and gas phase in the minor system(s) (using the equilibrium reaction(s) in Table 1 which describe the minor system(s) to be solved).

245 The system of equations and order of the operations to create a solution is identical between ISORROPIA and HETP using the same chemical subspaces. The subspace that will be entered (and therefore the speciation that will be present) is



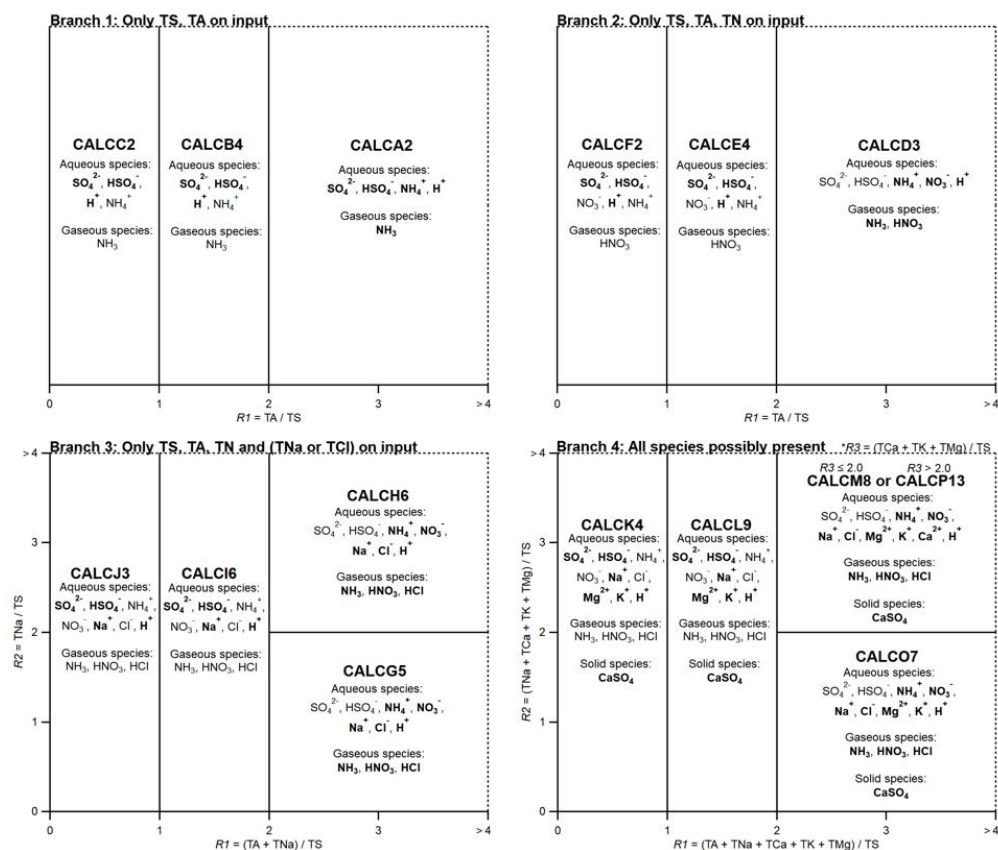
determined based on the input precursor species. If crustal species (TK, TMg and TCa), TNa and TCl are all near zero, then the set of chemical subspaces reduces to those used in HETV (Makar et al., 2002) and the original release of ISORROPIA (Nenes et al., 1998). Both codes follow the same procedure, creating three sulfate ratios used to determine the chemical subspace for solution: the “total sulfate ratio” (R_1), “crustal species and sodium ratio” (R_2) and “crustal species ratio” (R_3),

250

$$R_1 = \frac{TA+TNa+TCa+TK+TMg}{TS} \quad (3)$$

$$R_2 = \frac{TNa+TCa+TK+TMg}{TS} \quad (4)$$

255
$$R_3 = \frac{TCa+TK+TMg}{TS} \quad (5)$$



260

Figure 1: Domains of the systems of equations, based on ISORROPIA. For Branch 3, each of **TS**, **TA** and **TN** > tiny, as well as one (or both) of **TNa** and **TCl**. For Branch 4, each of **TS**, **TA** and **TN** > tiny, as well as one (or all) of **TMg**, **TK** and **TMg** – thus Branch 4 does not necessarily require **TNa** or **TCl** > tiny. However, it should be noted that for a solution to be possible, subcases H6, G5, M8, O7 and P13 do require **TCl** > tiny. The dashed lines in the figure implies that the domain extends infinitely in the direction of increasing R_1 or R_2 ; for example, in Branch 1, $0 \leq R_1 < \infty$, but in the figure R_1 only extends to 4, and subcase CALCA2 extends for all $TA/TS > 2$.



265 These ratios are used as the basis to determine the appropriate chemical subspace that is entered (15 possible metastable
subspaces in total). The possible subspaces (given the input ratios $R1$, $R2$ and $R3$) are summarized in Fig. 1, along with the
resulting speciation (aqueous, gaseous and solid). The bold font species are solved in the major system, while regular font
species are solved in the minor system. Four unique ‘branches’ exist: in Branch 1 only TS and TA are present, in Branch 2
only TS, TA and TN are present, in Branch 3 TS, TA and TN are present, and at least one of TNa or TCl, and in Branch 4 TS,
TA and TN are present, and at least one of TCa, TK or TMg. The branches are further subdivided into subcases depending on
input concentrations. It should be noted that the subcases G5, H6, O7, M8 and P13 require that TCl be present (along with the
270 aforementioned requisite species), otherwise a solution is not possible due to small numbers and floating point arithmetic
limitations; this limitation occurs since HETP does not apply the mass modification that sets $TCl = \max(TCl, 1 \times 10^{-10})$, as
discussed near the start of the section.

3 Algorithm design and improvements

275 During the development of HETP, several improvements related to the mathematical techniques were incorporated relative to
ISORROPIA (and HETV), as well as additional modifications related to mass balance. These modifications and improvements
include:

- (1) An updated root finding algorithm, referred to as ‘interpolate, truncate and project (ITP)’ (Oliveria et al. 2021), has
been used instead of the bisection method in HETP. ITP has the advantage of ‘superlinear convergence’, and hence
may obtain a root with the same accuracy as bisection, but in less iterations. The increased rate of convergence can
280 affect the activity coefficients; in some cases, the faster convergence of ITP can alter the ionic strength, resulting in
different activity coefficients being calculated early on in the iterative process than would be determined from the
bisection algorithm used in ISORROPIA. The new approach may also contribute to an improved formal accuracy
performance for estimating the roots, for the same convergence criteria level (see Sect. 4.1).
- (2) All bisection subroutines in ISORROPIA employ a root bracketing approach to obtain an initial interval $[x_a, x_b]$
285 where $f(x_a)f(x_b) < 0$, signifying that a root exists within the interval according to the intermediate value theorem
(assuming a continuous function). We have found that ISORROPIA does not check to determine if either endpoint
is a valid root, that is, if $f(x_a) = 0$ or $f(x_b) = 0$. Instead, ISORROPIA will proceed to the next interval, continuing
its search for a root, potentially locating a different root than expected (the code seeks the smallest positive real root
in the case of multiple roots in the search domain), or a slower convergence towards the start or end of the root interval
290 than might otherwise be the case. In HETP we have included a check during the root bracketing stage to identify
cases when x_a or x_b is a valid root. If an endpoint is a root, then HETP will return since an equilibrium solution has
been found. It should be noted that the occurrence of an endpoint as a valid root is extremely rare and hence neglecting
this modification will have no effect on most output from the solver, but nonetheless we have included this possibility
in HETP for completeness and accuracy.



- 295 (3) In some cases that require ITP (or bisection in ISORROPIA) to obtain an equilibrium solution, the independent
variable (i.e., x) converges, but the function being evaluated at x (i.e., $y = f(x)$) oscillates between a negative and
positive value, and thus $|y|$ does not converge to zero as expected if x is a root (despite convergence of x). This
oscillating behavior of y may indicate that x is a discontinuity or that there is significant non-linearity in the
partitioning, and hence x is not an accurate solution to the system of equations. For all subroutines requiring ITP,
300 HETP will track the species concentrations, activity coefficients and the value of x that are found to minimize $|y|$
during the iterative process. If after convergence of x it is determined that $|y|$ is not minimized compared to all earlier
iterations, then HETP will ‘reset’, and instead use the x value, species concentrations and activity coefficients that
were found to minimize $|y|$ – this is chosen as the solution of the system. The effect of this modification on the output
from HETP is discussed in Sect 4.2.
- 305 (4) In all chemical subspaces, a quadratic equation must be solved for a subsystem of the equations, while in some cases
a cubic equation will be solved. Quadratic equations have the form $f(x) = ax^2 + bx + c$, where the solution (i.e.,
corresponding to $x = 0$) is usually expressed as the quadratic formula $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$. As identified in Makar et
al. (2003) and implemented in the original version of HETV, when the coefficient ‘ b ’ differs by several orders of
magnitude from coefficients ‘ a ’ or ‘ c ’, floating-point arithmetic can fail to give an accurate answer for x when using
310 the standard root formula. For example, if $\sqrt{b^2 - 4ac} \approx b$, then addition in the quadratic formula may be problematic
since we are subtracting two nearly equal numbers (i.e., $\approx -b + b$). In HETP (and HETV), a Taylor series expansion
of the quadratic formula is used instead, to approximate the root for times when the coefficients ‘ b ’ and ‘ c ’ differ by
orders of magnitude (note that $a = 1$ in all subroutines; formulae were normalized). For cases where a cubic equation
must be solved, HETP will employ an ITP search to obtain an estimate of the smallest positive real root if an exact
315 analytic solution is not possible. The generic formulae describing the exact analytic solution of a cubic polynomial
is from Spiegel et al., (2009) and is used in ISORROPIA. It should be noted that the requirement to solve a cubic
equation occurs only during the solution procedure of the minor systems of I6, J3, L9 and K4. The most recent
version of ISORROPIA (i.e., ISORROPIA-lite) did not address these outstanding numerical issues.
- (5) During the development of HETP we have identified several cases where a negative ion or gas concentration can be
320 output from ISORROPIA. For example, a negative concentration of NH_4^+ can occur when solving the minor system
 $\text{NH}_{3(\text{g})} + \text{H}^+_{(\text{aq})} \leftrightarrow \text{NH}_4^+_{(\text{aq})}$ for thermodynamic equilibrium. In this case, HETP and ISORROPIA will solve a quadratic
equation to determine the concentration of ammonia gas (NH_3). From the concentration of NH_3 , the ammonium
cation is determined as $\text{NH}_4^+ = \text{NH}_4^+_{\text{i}} - \text{NH}_3$, where $\text{NH}_4^+_{\text{i}}$ is the ammonium concentration determined from the *major*
system (see Table S2, Supplemental Information). If partitioning (after solving the quadratic equation) at this stage
325 gives $\text{NH}_3 \approx \text{NH}_4^+_{\text{i}}$, then subtraction of two nearly identical numbers may lead to a floating point arithmetic error and
a final concentration of $\text{NH}_4^+ < 0$ (in the original ISORROPIA equations). In HETP, negative output is strictly



prohibited. To accomplish this we have utilized max statements that force any negative concentrations to zero, in conjunction with the more accurate evaluation of the quadratic formula (i.e., point 4 above).

330 (6) In ISORROPIA, the initial dry salt partitioning that is completed at the commencement of chemical subspace L9 may fail to conserve mass for sulfate, ammonium, potassium and sodium, in some cases. In HETP we have slightly modified the initial dry salt partitioning of CALCL9 (see Table S2, Supplemental Information) to ensure mass conservation holds for all cases; any free TA that may result in L9 is assumed to be in the gas phase as NH₃, and is added back to the final equilibrium solution after convergence of both the major and minor systems. As discussed in Sect. 2, the free amounts of SO₄, Na, Mg, K and Ca are explicitly tracked in HETP for all chemical subspaces and returned to the calling code to prevent a loss of mass in the output speciation.

335 (7) Mass conservation may not hold in ISORROPIA when the input precursor concentrations are near the lower limit for species concentrations, “tiny” (1×10^{-20} mol m⁻³), used in the solver. The same lower limit used to bound the input precursor concentrations is also used throughout ISORROPIA to bound the species concentrations during and after chemical partitioning. In HETP we use the same lower limit as ISORROPIA to bound the input precursor species 340 (i.e., tiny), but during and after partitioning the lower limit for gaseous speciation is reduced to tiny2 = 1×10^{-28} mol m⁻³. This reduction of the lower limit for gaseous speciation during the iterative process improves mass conservation for the limiting case when the input precursor concentrations are near the lower limit of tiny.

345 (8) The subroutine ‘adjust’ performs a post–convergence mass balance adjustment for ammonium, sulfate, nitrate and chloride, with the goal of ensuring mass conservation holds to machine precision. Specifically, this subroutine checks only for *excess* mass relative to the input totals. If identified, the excess mass is removed first from the aqueous phase, and then from the solid phase, and finally from the gaseous phase, until no excess remains. However, the mass adjustment of sulfate in ISORROPIA does not include CaSO₄ in the mass balance calculations, and therefore in some cases, ISORROPIA will fail to properly conserve mass to machine precision. In HETP we have included CaSO₄ in the mass balance adjustment of sulfate.

350 (9) Improvements to the overall code structure and efficiency include:

- (a) Use of Fortran 90 (compared to Fortran 77 in ISORROPIA),
- (b) Use of explicit declarations only – all subroutines now start with an ‘implicit none’ statement and all common blocks have been removed,
- (c) Removing all GOTO statements, and instead using Fortran 90 constructs such as ‘do while’ loops,
- 355 (d) Removing function and subroutine calls, except for process calls to calculate activity coefficients (calact), to solve a cubic equation (poly3) and to perform a post–convergence mass balance adjustment (adjust) – i.e. reducing the call factor overhead for individual subroutine calls to the largest extent possible,
- (e) Moving expressions being recalculated unnecessarily within loops to take place prior to the loop, and removing calculations that serve no purpose to the actual solution being sought,
- 360 (f) Pre–calculating constant values which are then stored as variables to be used later in the subroutine and,



- (g) Designing the code to include an optional use of a vectorization-by-grid point approach (Makar, 1995), which may reduce the call factor overhead on some compilers.

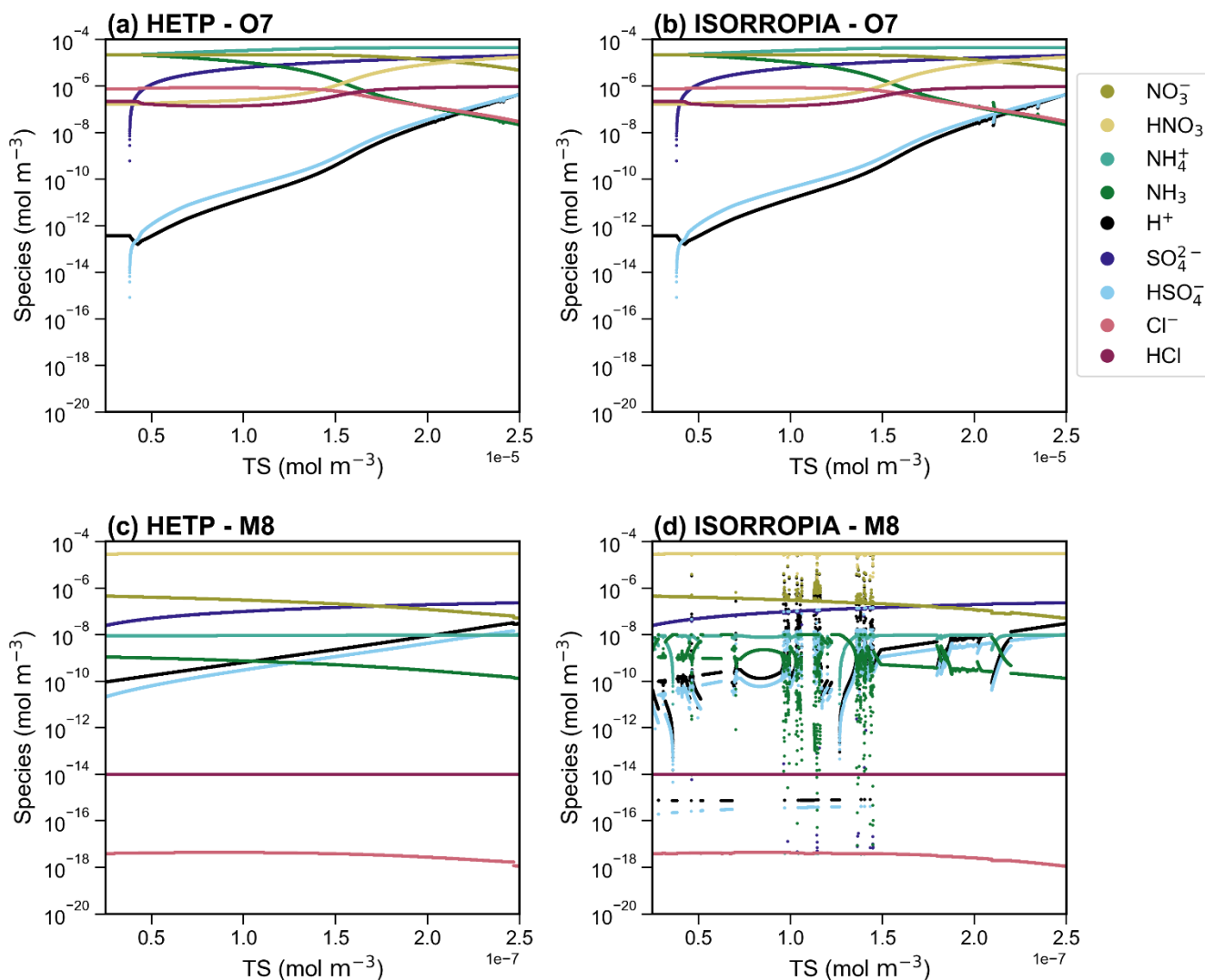
4 Comparison between HETP and ISORROPIA

4.1 Case-by-case comparison

365 In this section the output from HETP is compared to ISORROPIA for a set of 10,000 artificially generated input ‘test cases’
that span the domain of each chemical subspace. The test cases have all precursor species held constant except the total sulfate
(TS) which is slowly varied (linearly) over the range of the chemical subspace. Tests of this nature demonstrate the stability
of numerical solutions – adjacent tests along the same axis of variation in general are expected to be smoothly varying (Makar
et al., 2003). The convergence criteria are consistent between both solvers. For activity coefficients, $\epsilon_{act} = 1 \times 10^{-6}$ and
370 $maxit_{act} = 4$, where ϵ_{act} is the relative error limit between successive iterations of activity coefficient calculations, and
 $maxit_{act}$ is the maximum number of allowed iterations. For bisection or ITP, $\epsilon = 1 \times 10^{-9}$, $maxit_{bsec} = 100$ and $ndiv =$
5, where ϵ is defined in Sect. 1, $maxit_{bsec}$ is the maximum number of allowed iterations, and $ndiv$ is the number of
subdivisions searched for an interval containing a root (i.e., sign change) prior to the start of bisection or ITP. All output from
HETP (in this section and those presented hereafter) includes the modifications outlined in Sect. 3 unless stated otherwise,
375 while the ISORROPIA code used in this comparison is the base version (ISORROPIA v2.2) used in the CMAQ air-quality
model (USEPA, 2022). ISORROPIA throughout this paper has been compiled using the ‘-r8’ flag (all real variables converted
to double precision) to ensure the precision of both solvers is consistent (HETP uses double precision throughout). All
numerical tests herein were executed on a Lenovo ThinkSystem SD650v2 DWC computer, which uses an Intel® Xeon®
Platinum 8380 CPU running at a clock speed of 2.30 GHz, with 512 GB of available random access memory.

380

385



390 **Figure 2:** A side-by-side comparison of the output from HETP (left) and ISORROPIA (right), for the chemical subspace CALCO7 (a-b) and CALCM8 (c-d). All input species are held constant, except the total available sulfate (TS) which is varied over 10,000 sets of initial conditions. The air temperature and relative humidity are 306 K and 35% respectively, for all test cases in the figure. The convergence criteria are consistent between both solvers (see text).

395 Figure 2 displays the output from ISORROPIA and HETP for two example chemical subspaces: (a–b) displays CALCO7 and (c–d) shows CALCM8. These chemical subspaces involve the presence of at least one of Ca^{2+} , K^{+} and Mg^{2+} and so they were not included in the original HETV package, which was designed for the $\text{SO}_4\text{--NO}_3\text{--NH}_4$ system. Furthermore, these two subspaces are frequently called in practical chemical transport model applications (see Sect. 4.2) and hence are used to compare HETP against ISORROPIA in this section. For the test cases shown in Fig. 2, the relative humidity (RH) was set to 35% and the air temperature (T) to 306 K, conditions typical of a hot summer day in central North America. The output for



400 CALCO7 is nearly identical between the two solvers, with a difference of $< 1\%$ between HETP (Fig. 2a) and ISORROPIA (Fig. 2b), except for TS between 2.1×10^{-5} and 2.4×10^{-5} mol m⁻³, where visual differences begin to appear, particularly for H⁺, HSO₄⁻ and NH₃. In the case of CALCM8, the output from HETP (Fig. 2c) is vastly different from ISORROPIA (Fig. 2d), for the same initial conditions and convergence criteria, and the ISORROPIA solution shows the effects of numerical instability in the bisection root-finding procedure. The ISORROPIA algorithm used in CALCM8 is designed so that the variable being
405 bisected is proportional to Cl⁻ (see Table S2, Supplemental Information). At the same time, the multicomponent activity coefficients are dependent on the ionic strength of the aqueous aerosol, determined from the molar concentration of all ions present, including Cl⁻. Both of these iterative procedures are completed simultaneously, and impact each other in a nonlinear fashion. The choice of Cl⁻ during the first iteration of bisection (or ITP) may considerably impact the final equilibrium solution, by altering the initial ionic strength, and as a result, the convergence of the multicomponent activity coefficients. This effect
410 is demonstrated for CALCM8 in Fig. 2(c-d), where the differences between ISORROPIA and HETP are related only to the choice of root-finding methodology. In fact, if the ITP approach within HETP is reverted to the same bisection algorithm used in ISORROPIA, then the output from HETP begins to show the same unstable behaviour that is demonstrated in the ISORROPIA simulation shown in Fig. 2d. It should be noted that these differences are due to the choice of root-finding methodology and are not the result of allowing the ends of the interval to be potentially valid roots (i.e., point 2 in Sect. 3).

415 The accuracy of each solver can be assessed directly by introducing an error term (ξ), determined as the absolute logarithmic difference between the ‘calculated’ equilibrium constant (K_{calc}) and the ‘true’ equilibrium constant (K_{true}), that is, $\xi = \log(K_{calc}) - \log(K_{true})$. K_{calc} is determined from the species concentrations (converted to molalities using the aerosol liquid water content in kg m⁻³) and activity coefficients after convergence of the major or minor system (i.e., from the equations in Table 1), while K_{true} is calculated from the Van’t Hoff equation (Eq. 1). The parameter ξ thus provides a direct measure
420 of each solver’s proximity to the actual root of the system of equations, for a given level of convergence criteria employed in both solvers. For statistical characterization of ξ , the absolute value of the difference is used, so that $\xi' = |\xi|$. A logarithmic difference is used herein (instead of a percent difference, for example) since the difference between K_{calc} and K_{true} can span several orders of magnitude. In this way, a difference on the order of 1 implies that K_{calc} and K_{true} differ by an order of magnitude different, while a difference on the order of 1×10^{-2} implies K_{calc} and K_{true} differ starting at the second or third
425 digit (when written in scientific notation). The error analysis has been completed using the case-by-case implementation of HETP (see Sect. 4.3). Ideally, $\xi' = 0$, signifying that the problem has converged to a solution whose concentrations and activity coefficients satisfy the equilibrium equations of the major (and minor systems) precisely. In reality, however, there may some magnitude of difference between K_{calc} and K_{true} . The accuracy of K_{true} calculated from Eq. 1 (used in both solvers) is limited to 3 significant digits due to the variable $-\Delta H_f^0 / (RT_0)$. Therefore when $\xi' < 1 \times 10^{-3}$ in either solver, we
430 can conclude that K_{calc} after convergence is identical to K_{true} within its known accuracy. However, in practical applications (i.e., within a chemical-transport model), the value of K_{true} calculated from Eq. 1 will retain all digits as determined by the precision of the code (i.e., double precision in HETP) and therefore ξ' may be $\ll 1 \times 10^{-3}$. Hence we seek a solver that obtains



ξ' as close to zero as possible. Table 2 gives the median, the maximum, and the 25th and 75th percentiles of ξ' for HETP and ISORROPIA, corresponding to the data presented in Fig. 2. For CALCM8, the median ξ' is lower in HETP than ISORROPIA
435 for all equilibrium constants, which suggests that HETP is obtaining a more accurate solution for this set of input conditions. The difference in median ξ' between the two solvers is large and indicates that HETP values are more accurate than ISORROPIA by many orders of magnitude, for the same level of the convergence criteria, i.e., for K_{HCl} , HETP has a median $\xi' \approx 1.77 \times 10^{-8}$, while ISORROPIA has a median $\xi' \approx 0.39$ (similar results are found for K_{HNO_3} for CALCM8). The superior performance of HETP for this set of initial conditions can also be confirmed visually by comparing Fig. 2(c) to Fig. 2(d). For
440 all species present in this subspace, HETP shows a smooth transition with incremental change in TS, but this is not the case for ISORROPIA. In CALCM8, the very large differences in median ξ' between the two codes demonstrates that the ξ' values are linked to the poor convergence performance of ISORROPIA, and are associated with the high degree of sensitivity of that algorithm's use of bisection towards initial conditions.

In CALCO7 (Fig. 2a–b), the median ξ' for all equilibrium constants is lower in HETP than ISORROPIA, but the
445 difference between the two solvers is marginal, especially when the 25th and 75th percentiles are considered (i.e., for K_{HCl} the 75th percentile of ξ' is 5.17×10^{-7} and 4.40×10^{-7} for HETP and ISORROPIA respectively). Table 2 also gives statistics of ξ' for the same set of input precursor concentrations, but now with a $RH = 65\%$ and $T = 263$ K. The main difference here is that CALCO7 performs slightly worse in HETP than ISORROPIA (as determined from the median and 75th percentile of ξ'). However, despite this worse statistical performance in HETP, there are no visual differences between when the output from
450 each solver is plotted (see Fig. S1). In this case, the median ξ' of both solvers is on the order of 1.0×10^{-4} , implying that the difference between K_{calc} and K_{true} occurs in the 4th digit (when written in scientific notation). As a result, the differences between HETP and ISORROPIA do not become apparent unless the graph is zoomed in very close to the data points. For CALCM8 at these new meteorological conditions ($RH = 65\%$ and $T = 263$ K), HETP has an unstable behavior in the output speciation for TS between 1.6×10^{-7} mol m⁻³ and 2.3×10^{-7} mol m⁻³, while ISORROPIA has an unstable behavior for all TS >
455 0.7×10^{-7} mol m⁻³ (see Fig. S1); this poor performance in CALCM8 for these meteorological conditions is demonstrated in the statistics of ξ' shown in Table 2.

460



465 **Table 2:** Theoretical error (ξ') for $n = 10,000$ generated input conditions corresponding to the chemical subspaces O7, M8 and I6. Statistics of ξ' for two sets of atmospheric conditions are presented (temperature, T and relative humidity, RH). The bolded values denotes the smallest median error for that equilibrium constant (i.e., row) between HETP and ISORROPIA.

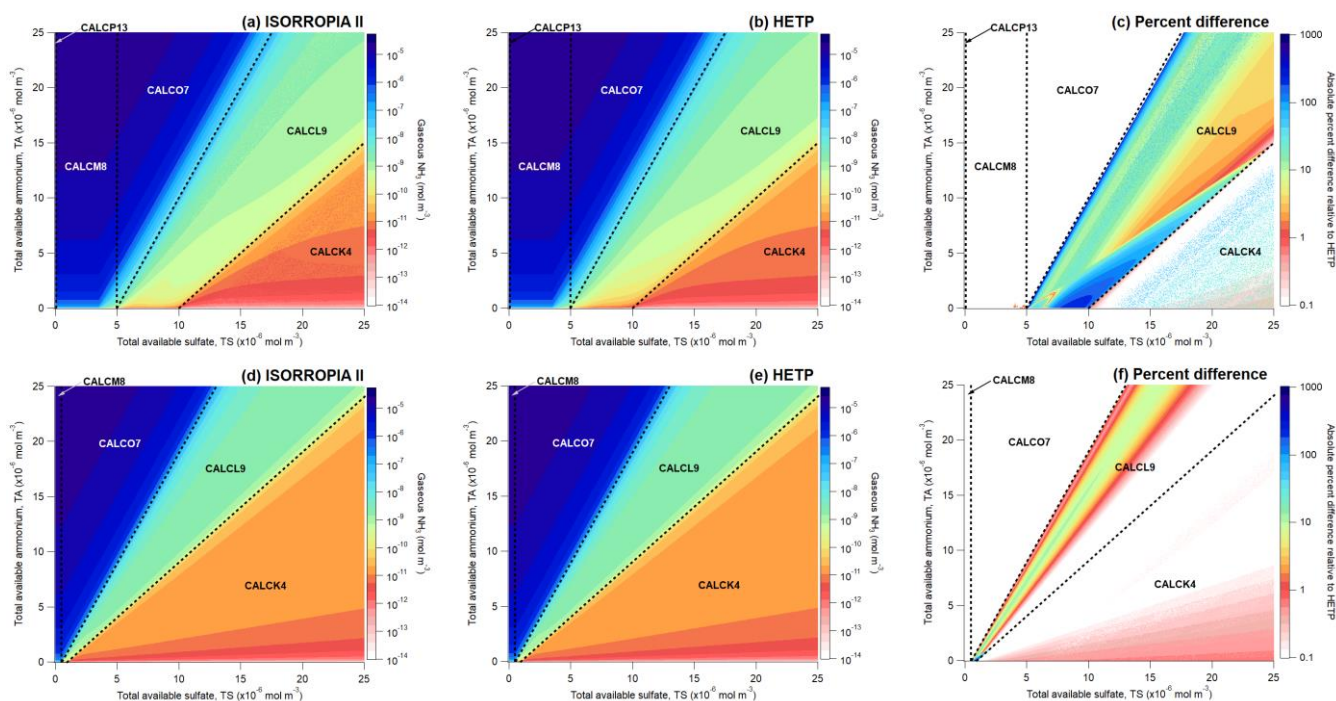
| Case | Equilibrium Constant | HETP: $\xi' = \log(K_{\text{true}}/K_{\text{calc}}) $ | | | | ISORROPIA II: $\xi' = \log(K_{\text{true}}/K_{\text{calc}}) $ | | | |
|--|--|--|------------------------|------------------------|------------------------|--|------------------------|------------------------|-----------------------|
| | | Median | Q25 | Q75 | Maximum | Median | Q25 | Q75 | Maximum |
| $T = 306 \text{ K}; RH = 35\%$ (Fig. 2) | | | | | | | | | |
| O7 | $K_{\text{NH}_3}/K_{\text{H}_2\text{O}}$ | 9.82×10^{-9} | 3.55×10^{-12} | 2.09×10^{-6} | 1.81×10^{-3} | 9.84×10^{-9} | 3.51×10^{-12} | 2.09×10^{-6} | 1.81×10^{-3} |
| | K_{HNO_3} | 1.26×10^{-9} | 2.68×10^{-10} | 5.17×10^{-7} | 0.30 | 2.86×10^{-9} | 1.19×10^{-9} | 4.80×10^{-7} | 0.85 |
| | K_{HCl} | 1.26×10^{-9} | 2.68×10^{-10} | 5.17×10^{-7} | 0.30 | 2.86×10^{-9} | 1.19×10^{-9} | 4.40×10^{-7} | 0.85 |
| M8 | K_{NH_3} | 2.52×10^{-13} | 2.13×10^{-14} | 3.61×10^{-12} | 6.95×10^{-11} | 7.33×10^{-12} | 3.55×10^{-14} | 1.47×10^{-10} | 12.0 |
| | K_{HNO_3} | 1.77×10^{-8} | 1.89×10^{-9} | 9.34×10^{-8} | 1.87×10^{-4} | 0.39 | 8.38×10^{-8} | 1.93 | 40.5 |
| | K_{HCl} | 1.77×10^{-8} | 1.89×10^{-9} | 9.34×10^{-8} | 1.87×10^{-4} | 0.39 | 8.38×10^{-8} | 1.94 | 30.8 |
| $T = 263 \text{ K}; RH = 65\%$ (Fig. S1) | | | | | | | | | |
| O7 | $K_{\text{NH}_3}/K_{\text{H}_2\text{O}}$ | 2.31×10^{-5} | 9.95×10^{-10} | 2.49×10^{-3} | 5.19×10^{-2} | 2.31×10^{-5} | 9.69×10^{-10} | 2.49×10^{-3} | 5.19×10^{-2} |
| | K_{HNO_3} | 3.60×10^{-4} | 4.70×10^{-10} | 2.95×10^{-3} | 7.69×10^{-3} | 1.52×10^{-4} | 9.13×10^{-10} | 9.10×10^{-4} | 3.19×10^{-3} |
| | K_{HCl} | 3.60×10^{-4} | 4.70×10^{-10} | 2.95×10^{-3} | 7.69×10^{-3} | 1.52×10^{-4} | 9.13×10^{-10} | 9.10×10^{-4} | 3.19×10^{-3} |
| M8 | K_{NH_3} | 6.47×10^{-11} | 2.41×10^{-11} | 1.42×10^{-10} | 31.8 | 2.32 | 8.87×10^{-11} | 11.5 | 17.1 |
| | K_{HNO_3} | 1.75 | 1.67 | 1.90 | 7.17 | 3.89 | 1.96 | 20.3 | 37.4 |
| | K_{HCl} | 1.74 | 1.67 | 1.90 | 7.17 | 3.92 | 1.95 | 20.9 | 25.8 |
| $T = 243 \text{ K}; RH = 5\%$ (Fig. 4) | | | | | | | | | |
| I6–1: No improvements to root-finding methodology in HETP | | | | | | | | | |
| I6–2: Taylor expansion quadratic equations, no ITP for cubic equations | | | | | | | | | |
| I6–3: Taylor expansion quadratic equations and ITP for cubic equations | | | | | | | | | |
| I6–1 | $K_{\text{NH}_3}/K_{\text{H}_2\text{O}}$ | 9.02 | 1.68 | 15.1 | 35.9 | 9.80 | 3.87 | 18.1 | 40.6 |
| | K_{HNO_3} | 15.1 | 11.7 | 18.3 | 24.8 | 15.1 | 11.9 | 18.2 | 23.8 |
| | K_{HCl} | 15.1 | 11.7 | 18.3 | 24.8 | 15.1 | 11.9 | 18.2 | 23.8 |
| I6–2 | $K_{\text{NH}_3}/K_{\text{H}_2\text{O}}$ | 2.83×10^{-5} | 6.83×10^{-8} | 4.12×10^{-2} | 5.77 | — | — | — | — |
| | K_{HNO_3} | 13.1 | 9.35 | 16.2 | 19.8 | — | — | — | — |
| | K_{HCl} | 13.1 | 9.35 | 16.2 | 19.8 | — | — | — | — |
| I6–3 | $K_{\text{NH}_3}/K_{\text{H}_2\text{O}}$ | 2.83×10^{-5} | 6.83×10^{-8} | 4.12×10^{-2} | 5.77 | — | — | — | — |
| | K_{HNO_3} | 1.46×10^{-9} | 6.38×10^{-10} | 3.36×10^{-8} | 1.45×10^{-2} | — | — | — | — |
| | K_{HCl} | 1.46×10^{-9} | 6.38×10^{-10} | 3.36×10^{-8} | 1.45×10^{-2} | — | — | — | — |

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Figure 3 displays a comparison of HETP and ISORROPIA, where now TS and TA are varied simultaneously while all other input precursor species are held constant. Two tests were conducted that span the same range of TS and TA, but in (a–c) $TN = 3 \times 10^{-6}$, $TNa = 1 \times 10^{-5}$, $TCl = 1 \times 10^{-14}$, $TCa = 1 \times 10^{-8}$, $TK = 1 \times 10^{-14}$ and $TMg = 1 \times 10^{-14}$, and in (d–f): $TN = 1 \times 10^{-8}$, $TNa = 1 \times 10^{-6}$, $TCl = 1 \times 10^{-14}$, $TCa = 3.8 \times 10^{-16}$, $TK = 1 \times 10^{-17}$ and $TMg = 1 \times 10^{-16}$ (all units of input precursor species are in mol m^{-3}). It should be noted that in the unaltered version of ISORROPIA, $TCl < 1 \times 10^{-14} \text{ mol m}^{-3}$ would have necessitated a mass adjustment of TCl at the commencement of the solver, with TCl being reset to $1 \times 10^{-10} \text{ mol m}^{-3}$ (thereby creating mass) – this adjustment has not been applied here. Each panel set (i.e., a–c and d–f) contains $n = 1,000,000$ unique test cases, with $RH = 35\%$ and $T = 306 \text{ K}$. The colors in Fig. 3(a–b) and Fig. 3(d–e) represent the amount of gaseous NH_3 after partitioning between the gas and aerosol phase, and the test cases span across all of Branch 4 (O7, M8, P13, L9 and K4), using the same convergence criteria as Fig. 1 and Fig. 2. The colors shown in Fig. 3c and Fig. 3f give the absolute percent difference between Fig. 3a and Fig. 3b, and Fig. 3d and Fig. 3e, respectively (calculated relative to HETP; $|\text{HETP-ISO}|/\text{HETP} \times 100\%$). Note that the color contour intervals in Fig. 3 are on a logarithmic scale. In each figure panel dashed black lines separate between the different chemical subspaces, with the particular subspace label superimposed. In Fig. 3(a–b) and Fig. 3(d–e) the output compares well between HETP and ISORROPIA for the subspaces O7, M8 and P13, with absolute differences typically $< 0.1\%$ and no obvious visual differences between the two solvers. However, in Fig. 3(a–b) for the subspaces K4, and particularly L9, there are some noticeable visual differences between the two solvers. The differences in L9 between the two solvers result from (i) the updated methodology within HETP to calculate polynomial roots, (ii) a correction within HETP to the initial dry salt partitioning to ensure mass conservation, and (iii) one less call to calculate activity coefficients in HETP for some test cases (specifically those test cases that have no convergence of activity coefficients after completing the maximum number of allowed iterations). The largest absolute differences (i.e., $100\% - 600\%$) are in L9, and are predominantly due to (ii), where for some input conditions ISORROPIA creates dry salt mass for TA, TS and TK. In K4, (ii) is not applicable, so the differences are thus due to (i) and (iii). As demonstrated in Fig 3(a–c), there is a large amount of ‘noise’ in K4 for $TS > 1 \times 10^{-5} \text{ mol m}^{-3}$ and $TA < 12 \times 10^{-6} \text{ mol m}^{-3}$ in ISORROPIA that is not present in HETP – this ‘noise’ shows up as speckling in the percent difference plots and is due mainly to (i). If the noise in ISORROPIA is neglected for K4, then the output from ISORROPIA is quite similar to HETP, with differences $< 1\%$.



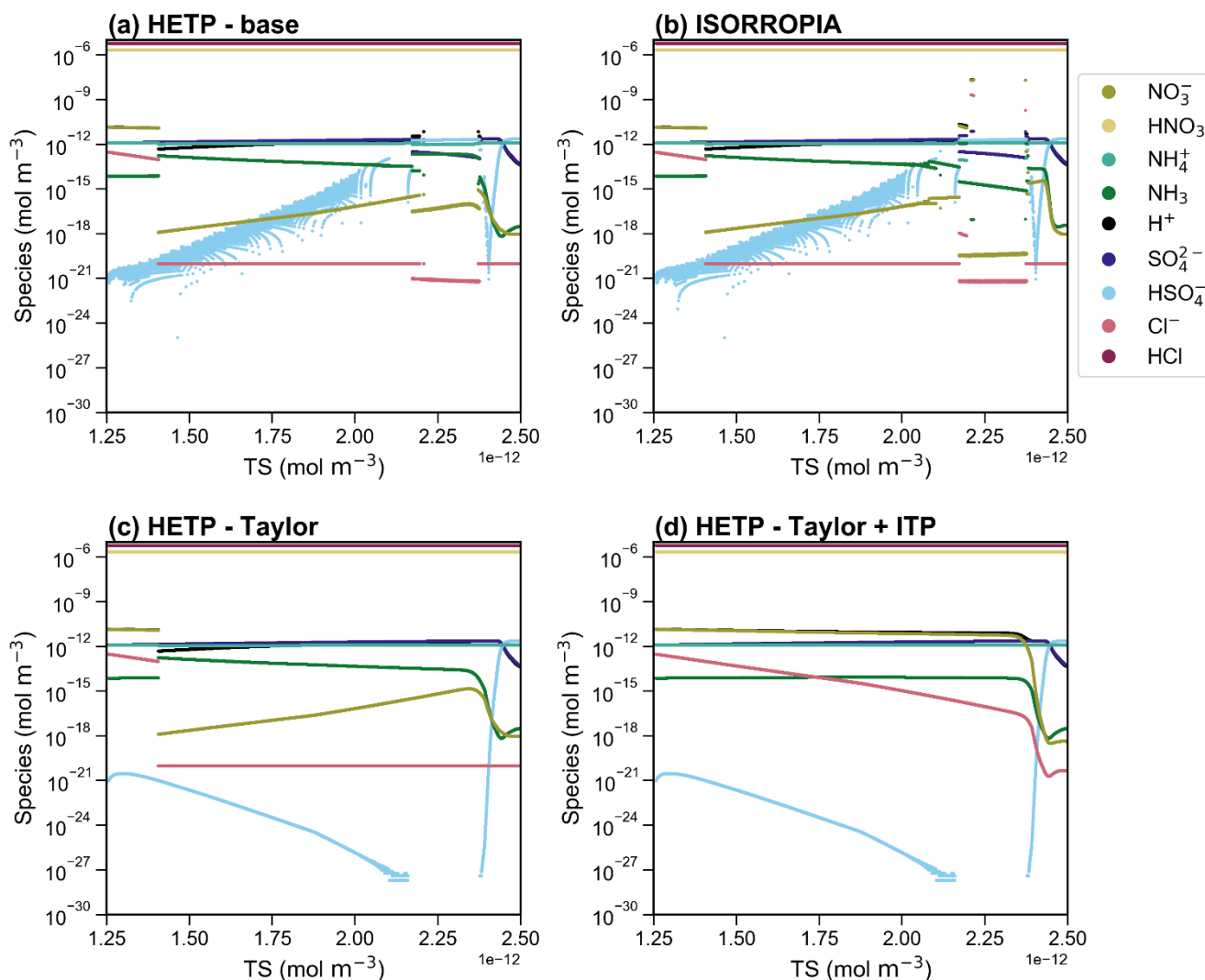
505 **Figure 3:** Regular variation (linear) of the total available sulfate (TS) and the total available ammonium (TA), while holding all other input precursor species constant (see the main text for a description of precursor species that are held constant). The colors in figure panels (a-b) and (d-e) give the amount of gaseous NH_3 after chemical partitioning at thermodynamic equilibrium (the color scale is logarithmic and identical in these panels). Panel (c) and (f) show the percent difference of (a-b) and (d-e) respectively. Each panel set (i.e., a-c and d-f) includes 1,000,000 unique input test cases, with the same convergence criteria as used to generate Fig. 2 and 3. Superimposed on each panel are dashed black lines denoting the boundary between different chemical subspaces; the actual subspace contained within a set of dashed lines is given as a text label.
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An additional concern identified in Makar et al., (2003) is the potential impact of the inaccurate evaluation of the quadratic and cubic formula (i.e., analytic formulae to obtain an ‘exact’ solution), which remains present in subsequent iterations of ISORROPIA since the development of HETV (see Sect 3, point 4). An example showing the incremental improvement of the quadratic and cubic solution procedure on the output speciation is displayed in Fig. 4, which depicts the output of CALCI6 from ISORROPIA (Fig. 4b) and HETP (Fig. 4a, c–d). In Fig. 4a, HETP has been executed without any modifications to improve the accuracy of polynomial root calculations, so that the only improvement over ISORROPIA is that HETP will not allow negative species concentrations (i.e., HSO_4^-). In Fig. 4c, HETP now includes an improved methodology to calculate roots of quadratic polynomials, in addition to the improvement related to negative species concentrations of Fig. 4a. Lastly, In Fig. 4d, HETP now includes an ITP search to determine the roots of cubic polynomials, in addition to the improvements of Fig. 4a and c. Figure 4 follows the same procedure as Fig. 2 – that is, an incremental variation of the input TS while holding all other precursor species constant. This case illustrates differences that would occur at rather low temperatures and relative humidity, in this case $T = 243 \text{ K}$ and $\text{RH} = 5\%$. Without the modifications applied in Fig. 4c and d,
 515
 520



the output from HETP and ISORROPIA are quite similar. However, as numerical improvements are incrementally applied to
525 HETP, clear visual differences between HETP and ISORROPIA become apparent for most chemical species in this subspace.
In CALCI6 the major system being solved is $\text{H}^+ - \text{HSO}_4^- - \text{SO}_4^{2-}$, requiring a quadratic root with a large variation in coefficient
magnitudes to be derived – and therefore an error in H^+ will propagate through to the minor systems that are solved thereafter
(see Table S2, Supplemental Information). It should be noted that the y -axis in Fig. 4 is logarithmic, so negative values are
not shown in the figure panels. Nonetheless, there are many instances when ISORROPIA outputs a negative concentration of
530 HSO_4^- for this subspace (Fig. 4b), as a result of the use of the standard (and under these circumstances inaccurate) formula for
the roots of a quadratic equation for H^+ in this subspace. In HETP we have included a Taylor expansion of the quadratic
formula, which is applied when numerical precision is likely to cause erroneous output. The result of this modification (as
demonstrated in Fig. 4c) is the removal of the numerical instability present in the output of HETP for this set of initial
conditions shown in Fig. 4a. Numerical instability caused by the erroneous evaluation of the quadratic formula appears to be
535 most prevalent at a low relative humidity (low aerosol water mass).

Following convergence of the major system in CALCI6, the minor systems are solved, one of which requires the
roots of a cubic polynomial to be identified; the smallest positive real root determines the concentration of Cl^- and NO_3^- . In
HETP, an ITP search is employed to determine the smallest positive real root of the cubic polynomial when an exact analytic
solution from the cubic root formulae is not possible (due to a large range in the magnitude of the coefficients of the cubic
540 polynomial, which may lead to floating point arithmetic errors). For the set of input conditions shown in Fig. 4, including an
ITP search to solve cubic polynomials results in about 72% more roots being identified in HETP than in ISORROPIA. If
ISORROPIA is unable to determine a valid root from the cubic formula, it will assume that the root is a tiny value (i.e., 1×10^{-20}
 mol m^{-3}) – this is the procedure that was applied to generate the output shown in Fig. 4a-c. The effect of including an ITP
search to solve cubic polynomials is a very large reduction in ξ' for K_{HCl} and K_{HNO_3} in the chemical subspaces I6, J3, L9 and
545 K4 for some sets of initial conditions (statistics of ξ' corresponding to CALCI6 shown in Fig. 4 are given at the bottom of
Table 2). For example, in Fig. 4d, HETP has been implemented with an ITP search to solve cubic polynomials, and as shown
in Table 2, this implementation leads to a large reduction in the median ξ' for K_{HCl} from 13.1 to 1.46×10^{-9} . The difference
here is a solution that is accurate versus one that is not. The output shown in Fig. 4d demonstrates that including an ITP search
to solve cubic polynomials removes discontinuities that occur in Cl^- , NO_3^- , H^+ and NH_3 near 1.4 mol m^{-3} – and hence these
550 species now show a smooth transition over the entire range of TS. HETP has a limiting precision of $1 \times 10^{-28} \text{ mol m}^{-3}$, which
is the likely cause of the HSO_4^- concentration becoming zero in Fig. 4(c-d) when TS is between about 2.15×10^{-12} and 2.4×10^{-12}
 mol m^{-3} .



555 **Figure 4:** A side-by-side comparison of the output from HETP (a, c, d) and ISORROPIA (b) for CALCI6. In (a), HETP does not include
any methodological improvements to polynomial root calculations. In (c) HETP may apply a Taylor series expansion to calculate polynomial
560 roots. In (d), HETP may apply a Taylor series expansion to calculate polynomial roots, as well as an ITP search to determine cubic
polynomial roots. ISORROPIA shown in (b) solves quadratic equations using the quadratic formula, and attempts to find an exact analytic
solution of cubic equations. All input precursor species are held constant, except the total available sulfate (TS) which is varied over 10,000
sets of initial conditions. The air temperature and relative humidity are 243 K and 5% respectively, for all test cases in the figure. The
convergence criteria are consistent between both solvers (see text).



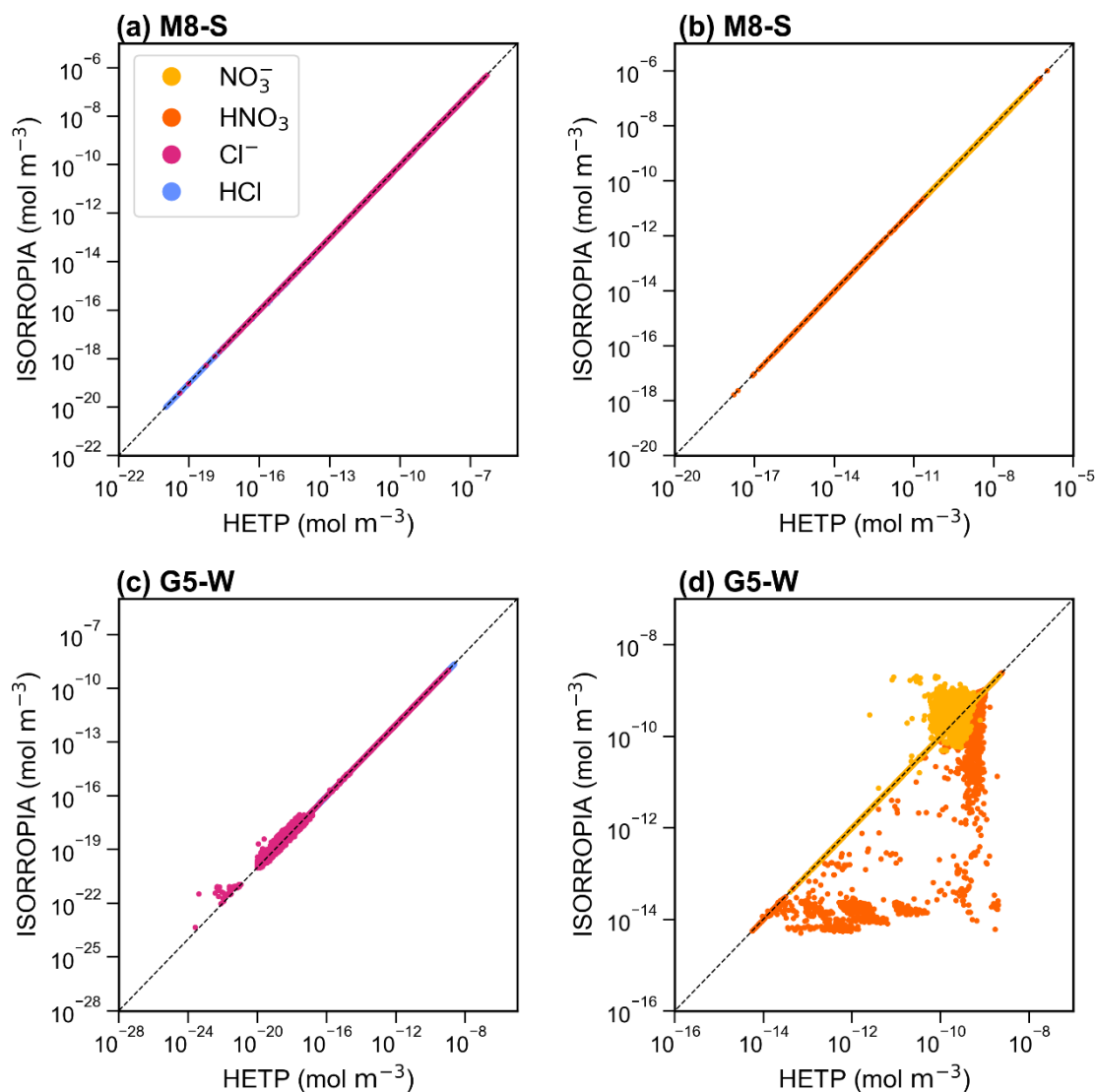
4.2 Comparison using input from the GEM–MACH air–quality model

565 Aside from generating artificial sets of input data to evaluate HETP (Sect. 4.1), the value of which is to demonstrate relative
solution stability across small increments in input conditions, a comparison between HETP and ISORROPIA can be completed
using more realistic input conditions obtained from the GEM–MACH air–quality model (Makar et al., 2018). In this section,
20,000 unique sets of input data (‘test cases’) from GEM–MACH are investigated for each chemical subspace, with 10,000
test cases obtained from summer days and 10,000 test cases obtained from winter days. These test cases were selected from
570 input conditions generated from a 10 km resolution simulation (domain covering North America), and chosen randomly so
that the selected set of test cases spans across a broad range of temperatures and relative humidity, typical of actual tropospheric
conditions. Table 3 gives the relative frequency of calls to each chemical subspace (as a percentage of the total calls in GEM-
MACH) determined from four days (2 in the winter and 2 in the summer). It should be noted that subspaces A2, B4 and C2
all require that TN be formally zero; a low number limit in the GEM–MACH model prevents true zero conditions from
575 occurring, hence the given subroutines are not called in this practical application test. The majority of calls are to the subspaces
O7, M8 and L9 which comprise more than 75% of the total calls on these four days, and hence most situations encountered in
GEM–MACH over North America have a non–zero amount of base cation species present (K^+ , Mg^{2+} , Ca^{2+}).

580 **Table 3:** The percentage of total calls to each subspace determined from four separate days (2 in the winter and 2 in the summer). The call
frequencies are determined from the 10 km domain of the GEM–MACH air quality model which covers all of North America. Any subspace
with > 10% of total calls is bolded in the table.

| Case | A2 | B4 | C2 | D3 | E4 | F2 | G5 | H6 | I6 | J3 | O7 | M8 | P13 | L9 | K4 |
|----------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------------|--------------|-------|--------------|-------|
| % Called | 0.000 | 0.000 | 0.000 | 9.735 | 4.470 | 0.016 | 2.479 | 0.709 | 3.825 | 0.038 | 31.72 | 25.85 | 0.044 | 20.88 | 0.232 |

Figure 5 displays a scatter plot of Cl^-/HCl (left panels) and NO_3^-/HNO_3 (right panels) output from ISORROPIA (y-axis) and HETP (x-axis). Fig 5(a-b) displays CALCM8 – summer (hereafter M8-S) and Fig. 5(c-d) shows CALCG5 – winter
585 (hereafter G5-W). The black dashed lines give a one–to–one relationship, denoting where HETP and ISORROPIA agree exactly. There is relatively good agreement between the two solvers for M8-S, despite the differences noted for this subspace
in Sect 4.1. However, For G5-W a large amount of scatter exists, demonstrating disagreement between the two solvers for
some test cases. This disagreement is likely related to the choice to root–finding method and/or other numerical updates that
have been made to the HETP code, as described in Sect. 3.



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Figure 5: A scatter plot of the output concentrations (mol m⁻³) from ISORROPIA (y-axis) compared against HETP (x-axis) for M8-Summer (S) (a,b) and G5-Winter (W) (c,d), calculated from 10,000 input test cases obtained from the GEM-MACH air-quality model. The solid black line gives a one-to-one relationship. Speciation is given in the legend shown in panel a.

595

As in Sect. 4.1, statistics of ξ' are calculated from the output of each solver to judge the accuracy of the equilibrium solution. This is especially important since the test cases in this section cannot be plotted in a regular fashion (as in Sect. 4.1), to graphically reveal obvious numerical instabilities. Figure 6 displays a box and whisker plot of ξ' for the chemical subspaces G5, H6, O7, M8 and P13. These subcases all require bisection or ITP and may have chloride present, with K_{HCl} providing the ‘final convergence check’. The statistics shown in Fig. 6 include the data shown in Fig. 5 for subspaces M8-S and G5-W. Fig.



600 6(a) and (b) show ξ' for K_{HCl} and K_{HNO_3} respectively; each panel shows ξ' for both seasons, with summer having a '-S' label
winter having a '-W' label. In the box plot, the 25th percentile, median and 75th percentile of ξ' correspond to the bottom of
the box, center line in the box, and top of the box respectively. The bottom and top whisker of each box gives the minimum
and maximum of ξ' respectively; if the bottom whisker extends off the graph, then the minimum ξ' is zero. Except for G5-S,
H6-S, H6-W, M8-S and M8-W, the median ξ' of K_{HNO_3} is smaller in HETP than ISORROPIA for all subspaces shown in Fig.
605 6b. For K_{HCl} , all subspaces except H6-S and H6-W have a smaller median ξ' in HETP than ISORROPIA. We note that despite
HETP having lower median ξ' than ISORROPIA for some subspaces, the magnitude of ξ' suggests that ISORROPIA
nevertheless providing sufficiently accurate output for most test cases. For the input data investigated here, the subspace H6
is performing poorly in both solvers (median $\xi' > 0.5$ for all equilibrium constants), but the performance is marginally worse
in HETP than ISORROPIA (for example, in H6-S for K_{HNO_3} the 75th percentile in HETP is 31.8, but in ISORROPIA it is
610 13.4).

Returning to the scatter noted in $\text{HNO}_3/\text{NO}_3^-$ between the two solvers in G5-W (Fig. 5d), it is clear from the statistics
of ξ' for K_{HNO_3} and K_{HCl} shown in Fig. 6 that both solvers are producing output that spans a broad range of accuracy. The 75th
percentile of K_{HNO_3} and K_{HCl} are 2 orders of magnitude lower in HETP than ISORROPIA (for K_{HCl} the 75th percentile of ξ' is
 6.93×10^{-2} and 4.35 in HETP and ISORROPIA respectively), but the maximum ξ' are a similar magnitude in each solver. This
615 suggests that both solvers are struggling with partitioning between the aqueous and gaseous phase for some test cases
investigated here. Of the 10,000 test cases analyzed in G5-W, 14.02% are identified in HETP as having 'oscillatory behavior'
(see Sect. 3, point 3). These flagged test cases generally have large ξ' for all equilibrium constants (in both solvers), which is
related to poor convergence during the iterative process. Removing these flagged test cases reduces the median and 75th
percentile of ξ' (for K_{HNO_3} and K_{HCl}) by an order of magnitude in both solvers; for HETP the median ξ' for K_{HNO_3} reduces to
620 4.90×10^{-8} (from 4.60×10^{-7}) and for ISORROPIA the median ξ' reduces to 2.72×10^{-6} (from 5.59×10^{-5}). The modification to
account for 'oscillatory behavior' has the effect of reducing ξ' for the flagged test cases in HETP compared to ISORROPIA
(i.e., for the 14.02% of test cases affected, the median ξ' for K_{HNO_3} is 0.28 for HETP, but for ISORROPIA it is 2.65).
Furthermore, 98.8% of the flagged test cases are times when $[\text{Cl}^-]$ is predicted to be $< 1 \times 10^{-16} \text{ mol m}^{-3}$ (note that $[\text{Cl}^-]$ is the
bisected variable in G5), and all flagged test cases have $\text{TCl} < 1 \times 10^{-10} \text{ mol m}^{-3}$. For test cases where the output from each
625 solver agrees well (i.e., falls along the one-to-one line in Fig. 5c-d), ξ' for K_{HNO_3} and K_{HCl} are minimized in each solver. The
statistics of ξ' for other subspaces not discussed here are summarized in Table S3 (summer) and Table S4 (winter) of the
supplemental information.

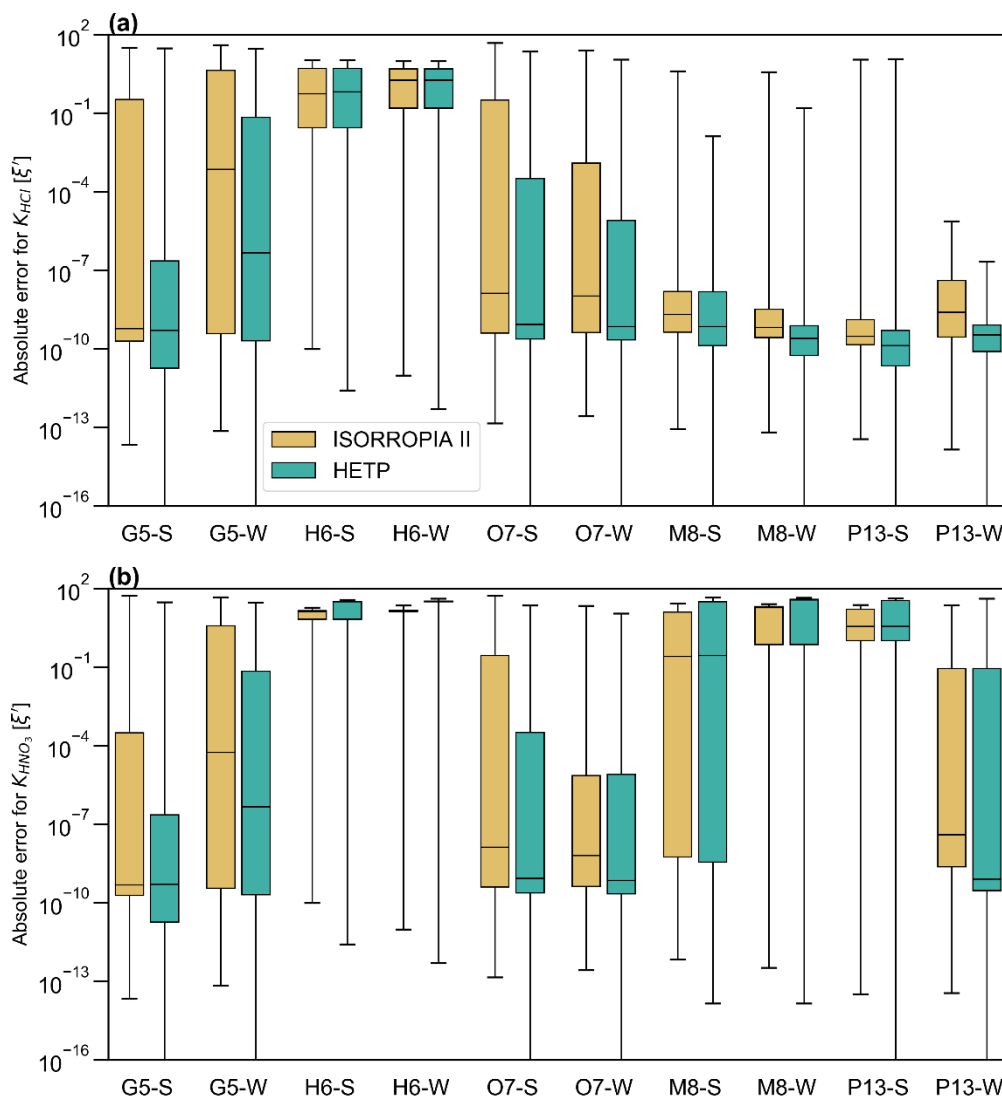


Figure 6: A box and whisker plot of the absolute error $\xi' = |\log(K_{calc}) - \log(K_{true})|$ for (a) K_{HCl} and (b) K_{HNO_3} . The summer season is denoted by ‘-S’ and the winter season is denoted by ‘-W’ in the x-axis labels. ξ' is calculated from a set of 10,000 test cases in each season (obtained from the GEM–MACH air–quality model). ξ' shown in the figure for M8 and G5 correspond to the scatter plots shown in Fig. 5. The median ξ' is represented by the solid black line in the center of each box, and the 25th and 75th percentiles correspond to the bottom and top of each box respectively. The whiskers give the maximum (top) and minimum (bottom) of ξ' .



4.3 Computational time

The mean time (determined from 10 repeated samples) required for the central processing unit (CPU) of a Lenovo SV650v2 DWC computer to solve the test cases from Sect. 4.2 (for each season and subspace) are given in Table 4; the timing tests have an estimated uncertainty of $\pm 1\%$. For HETP, two sets of timing tests are reported. Test 1, labelled ' T_{HETV} ', refers to timing using a global convergence criteria for all tests within a given chemical subspace; a “vectorized” test where all n test cases for a given subspace are solved simultaneously. This is the methodology used in Makar et al. (2003), where the great reduction in processing time associated with vectorization on a vector compiler was used to offset the fact that the number of iterations was determined by the single test case with the worst convergence behavior. Test 2, labelled ' T_{HETP} ' refers to a case-by-case test where the solver is called individually for each test case (i.e., the solver is called n times). In the latter test, the time associated with subroutine calls is offset by the number of iterations becoming test-specific. The first strategy may be more efficient (aside from vectorization architecture gains) when the convergence criteria are relatively similar across grid-cells, that is, all input problems converge with the same number of iterations – while the second may be more efficient when the distribution of convergence is more heterogeneous, with some test cases requiring many more iterations than others. ISORROPIA (T_{iso}) requires a case-by-case implementation, and cannot solve n cases simultaneously. The convergence criteria are identical to those used in the previous sections (Sect. 4.1 and 4.2). In the case of ISORROPIA, it is important to reaffirm that the '-r8' flag was used during compilation, forcing all calculations to be performed in double precision (as in the default implementation of HETP – that is, the precision of the solver has been removed as a possible cause for differences in performance). For the subspaces D3, G5, H6, O7, M8 and P13 all test cases investigated were chosen so that they require the application of a root-finding method for convergence, since these are the most computationally intensive cases encountered by the solver. As noted above, not all chemical subspaces have a sufficient amount of unique input data derived from GEM-MACH simulations for the days sampled from each season. Specifically, in the winter the subspaces A2, B4, C2 and F2 do not have enough suitable input data, while in the summer, the subspaces A2, B4, C2 have insufficient input data. For winter, input data from J3 are used for F2, except with $TNa = 0$ and $TC1 = 0$, the aim here being to provide timing tests across a realistic range of initial conditions. It should be noted again that the subspaces A2, B4 and C2 were not executed by GEM-MACH on either day for the reasons noted in Sect. 4.2. Therefore, like F2 (winter), the input data used to analyze D3, E4 and F2 are used to analyze A2, B2 and C2 respectively, except with $TN = 0$.

665



670 **Table 4:** The average computational time (T) (calculated from 10 samples) required to solve 10,000 unique sets of input conditions (from
 summer and winter), using ISORROPIA (T_{ISO}), the vectorized solver of HETP (T_{HETV}) and the case-by-case solver of HETP (T_{HETP}). Input
 conditions were obtained from the GEM–MACH air–quality model, and the convergence criteria are consistent between both solvers (see
 text). The speed up is a dimensionless quantity, with the non–bracketed value representing T_{ISO}/T_{HETV} and the bracketed value representing
 675 T_{ISO}/T_{HETP} ; a value > 1 implies that HETP (or HETV) is computationally faster, while a value < 1 implies that ISORROPIA is computationally
 faster. In the first three columns of each season, the bolded value denotes the fastest execution time between each of the solvers. The bolded
 value in the speed up column shows which solver style is computationally faster (i.e., HETP or HETV); an underlined value in this column
 signifies that HETV is computationally slower than ISORROPIA for that subcase (row).

| Subroutine | Winter | | | | Summer | | | |
|------------------------------|----------------|----------------|---------------|------------------------------|----------------|----------------|---------------|------------------------------|
| | T_{HETV} (s) | T_{HETP} (s) | T_{ISO} (s) | Speed up | T_{HETV} (s) | T_{HETP} (s) | T_{ISO} (s) | Speed up |
| CALCA2 | 0.044 | 0.042 | 0.061 | 1.39 (1.45) | 0.049 | 0.046 | 0.069 | 1.41 (1.50) |
| CALCB4 | 0.011 | 0.011 | 0.022 | 2.00 (2.00) | 0.011 | 0.011 | 0.022 | 2.00 (2.00) |
| CALCC2 | 0.010 | 0.009 | 0.020 | 2.00 (2.22) | 0.010 | 0.010 | 0.020 | 2.00 (2.00) |
| CALCD3 | 0.354 | 0.295 | 0.486 | 1.37 (1.65) | 0.370 | 0.288 | 0.461 | 1.25 (1.60) |
| CALCE4 | 0.013 | 0.013 | 0.027 | 2.08 (2.08) | 0.014 | 0.014 | 0.026 | 1.86 (1.86) |
| CALCF2 | 0.013 | 0.012 | 0.024 | 1.85 (2.00) | 0.013 | 0.012 | 0.024 | 1.85 (2.00) |
| CALCG5 | 0.447 | 0.381 | 0.806 | 1.80 (2.12) | 0.360 | 0.292 | 0.704 | 1.96 (2.41) |
| CALCH6 | 0.126 | 0.061 | 0.108 | <u>0.86</u> (1.77) | 0.136 | 0.071 | 0.121 | <u>0.89</u> (1.70) |
| CALCI6 | 0.027 | 0.026 | 0.037 | 1.37 (1.42) | 0.029 | 0.027 | 0.039 | 1.34 (1.44) |
| CALCJ3 | 0.030 | 0.030 | 0.039 | 1.30 (1.30) | 0.032 | 0.031 | 0.041 | 1.28 (1.32) |
| CALCO7 | 0.690 | 0.549 | 1.202 | 1.74 (2.19) | 0.688 | 0.567 | 1.262 | 1.83 (2.23) |
| CALCM8 | 0.409 | 0.261 | 0.607 | 1.48 (2.33) | 0.482 | 0.359 | 0.646 | 1.34 (1.80) |
| CALCP13 | 0.409 | 0.300 | 0.727 | 1.78 (2.42) | 0.376 | 0.192 | 0.796 | 2.12 (4.15) |
| CALCL9 | 0.041 | 0.039 | 0.059 | 1.44 (1.51) | 0.038 | 0.036 | 0.058 | 1.53 (1.61) |
| CALCK4 | 0.044 | 0.042 | 0.063 | 1.43 (1.50) | 0.042 | 0.040 | 0.060 | 1.43 (1.50) |
| Sum of GEM– MACH tests | 2.67 | 2.07 | 4.29 | 1.61 (2.07) | 2.65 | 2.00 | 4.35 | 1.64 (2.18) |

680 The CPU timing results demonstrate that all subspaces (except H6, winter and summer) execute faster in HETP’s
 vectorized T_{HETV} implementation than ISORROPIA – in some cases the speed–up is significant (i.e., for CALCO7 the speed
 up is about a factor of 1.75 to 1.85 when using T_{HETV}). An even more significant speed up can be achieved by using the case–



by–case T_{HETP} implementation for some subspaces, specifically those that require bisection (A2, D3, G5, H6, O7, M8 and P13). Unlike T_{HETV} , all chemical subspaces execute faster in T_{HETP} than ISORROPIA. For the sets of test cases investigated in this work, the best–case performance is found in P13–S, where T_{HETV} executes in about 0.38 s, but T_{HETP} executes in about 0.19 s (the latter being about ~4.2x faster than ISORROPIA). The speed–up afforded by HETP for this subcase is largely the result of HETP’s updated root–finding methodology (ITP), which requires fewer iterations on average to obtain a solution with an equivalent (or better) level of accuracy as ISORROPIA. The statistics related to the number of iterations required by the root–finding methodology of each solver to achieve convergence (of the major systems) are given in Table 5, for the same input data used to generate the timing tests shown in Table 4. For P13–S which has the best–case performance, ITP in HETP requires on average 8.2 iterations for convergence, while bisection in ISORROPIA requires on average 42.5 iterations. Thus, HETP’s root–finding method requires about 19% of the iterations required by ISORROPIA for this set of input conditions, while executing in about 24% of the time (using the case–by–case mode). The overall performance for the tests in GEM–MACH (bottom row of Table 4) show the average performance of HETP operating in case–by–case mode results in a speed up relative to ISORROPIA of a factor of 2.07x for the summer tests, and 2.18x for the winter tests. The inclusion of an ITP search for smallest positive real root of cubic equations in I6, J3, L9 and K4 substantially increases the execution time of the solver for these chemical subspaces relative to no ITP search, but despite this, HETP still executes in less time than ISORROPIA for these subcases.

The difference between T_{HETP} and T_{HETV} becomes even more apparent, and in favor of T_{HETP} , if a significant amount of test cases do not require bisection. While T_{HETV} includes a return statement to reorder the problem (removing those test cases that have converged or have no solution prior to entering ITP), the root bracketing stage in T_{HETV} will nonetheless need to be repeated a second time for all test cases that do require ITP. Note that the root bracketing stage identifies an interval where the objective function has a sign change; assuming a continuous function, this sign change signifies that a root exists within the interval. Furthermore, in T_{HETV} some test cases may iterate in the root–bracketing stage more times than necessary (i.e., one test case has an identified interval, but other test cases within the same chemical subspace being solved by a global convergence criteria do not), thereby introducing excess computations into T_{HETV} that do not exist in T_{HETP} . This is especially true as the variable *ndiv* (which controls the number of subdivisions searched for a sign change) is increased. Thus, in most applications, and for the computer architecture tested here, the case–by–case T_{HETP} implementation will be preferred. Both options are available as separate versions of code, and we recommend users test both options of the code on their own system to determine the best performance.

The results presented herein have demonstrated that HETP is able to provide output for these subspaces that is more accurate overall, while executing up to 4.2x faster than ISORROPIA, with an average performance increase in a practical application between 2.07x and 2.18x (using the case–by–case mode). The subspace H6 which executes slower in T_{HETV} than T_{HETP} (and is also less accurate than ISORROPIA for most input test cases), accounts for < 1% of the all test cases on the days sampled (see Table 3).



Table 5: Statistics describing the number of iterations required to achieve convergence of bisection (ISORROPIA) or ITP (HETP) for the timing tests shown in Table 4. The final column shows the average speed up, calculated for each row as the mean number of iterations from ISORROPIA divided by the mean number of iterations from HETP.

| | ISORROPIA: Winter | | | | | | HETP: Winter | | | | | | |
|---------|-------------------|-----|-----|-----|-----|------|--------------|-----|-----|-----|-----|------|----------|
| Case | Median | Q25 | Q75 | Min | Max | Mean | Median | Q25 | Q75 | Min | Max | Mean | Speed up |
| CALCD3 | 29 | 28 | 43 | 28 | 61 | 33.8 | 29 | 10 | 40 | 5 | 61 | 26.7 | 1.27 |
| CALCG5 | 34 | 32 | 35 | 28 | 52 | 34.3 | 30 | 7 | 34 | 1 | 51 | 22.7 | 1.51 |
| CALCH6 | 33 | 30 | 37 | 28 | 41 | 33.6 | 7 | 7 | 7 | 4 | 37 | 9.9 | 3.39 |
| CALCO7 | 34 | 32 | 37 | 27 | 52 | 35.2 | 14 | 8 | 34 | 1 | 53 | 20.6 | 1.71 |
| CALCM8 | 31 | 30 | 33 | 28 | 43 | 31.6 | 7 | 7 | 11 | 4 | 38 | 11.3 | 2.80 |
| CALCP13 | 31 | 28 | 35 | 28 | 58 | 31.8 | 8 | 7 | 9 | 5 | 41 | 11.9 | 2.67 |
| | ISORROPIA: Summer | | | | | | HETP: Summer | | | | | | |
| Case | Median | Q25 | Q75 | Min | Max | Mean | Median | Q25 | Q75 | Min | Max | Mean | Speed up |
| CALCD3 | 29 | 28 | 32 | 22 | 68 | 33.5 | 28 | 24 | 31 | 1 | 61 | 28.2 | 1.19 |
| CALCG5 | 33 | 31 | 36 | 26 | 57 | 34.1 | 12 | 7 | 32 | 1 | 44 | 17.6 | 1.94 |
| CALCH6 | 32 | 28 | 37 | 28 | 40 | 32.6 | 7 | 7 | 29 | 5 | 38 | 15.1 | 2.16 |
| CALCO7 | 34 | 32 | 36 | 28 | 47 | 33.9 | 17 | 9 | 34 | 5 | 45 | 21.1 | 1.61 |
| CALCM8 | 32 | 29 | 34 | 28 | 41 | 31.9 | 11 | 7 | 29 | 5 | 40 | 18.2 | 1.75 |
| CALCP13 | 42 | 39 | 45 | 28 | 60 | 42.5 | 7 | 6 | 8 | 5 | 61 | 8.2 | 5.18 |

720 5 Conclusions

In this work we have presented HETP, an updated solver to perform thermodynamic equilibrium calculations of the H^+ - SO_4^{2-} - NH_4^+ - NO_3^- - Cl^- - Na^+ - Ca^{2+} - K^+ - Mg^{2+} chemical system, based on the algorithms of ISORROPIA, an inorganic heterogeneous chemistry solver which has allowed chemical transport models to carry out complex calculations in a practical amount of processing time. HETP has been updated in several ways to improve both the computational speed and accuracy, compared to ISORROPIA. For most input conditions HETP produces equivalent results to ISORROPIA, but for some input conditions the output from the solvers can diverge. Analysis of the output from each solver suggests that HETP's use of ITP (instead of bisection) improves the accuracy of its equilibrium solution for some input conditions by obtaining a more accurate initial estimate of the root prior to the commencement of the ITP search, while reducing the number of iterations required for convergence. The differences may be formally linked to reduced accuracy of the ISORROPIA solver's output due to several numerical issues as described in the sections above. In addition to providing more accurate output for most test cases, HETP, when implemented to solve n test cases simultaneously, may execute 1.2 to 2.1 times faster than ISORROPIA (except for



CALCH6), based on input from the regional chemical transport model GEM-MACH. Alternatively, when HETP is implemented as a case-by-case solver (the solver is called n times), then HETP is 1.3 to 4.2 times faster than ISORROPIA for individual chemical subspaces, and 2.1 to 2.2 times faster than ISORROPIA on average, with the speed-up being most significant in subspaces that require the application of a root-finding method for convergence.

6 Code and data availability

The data used in the analysis presented herein, and the HETP code, are available online at <https://doi.org/10.5281/zenodo.8164705> (Miller, 2023).

7 Author contribution

SJM developed the HETP code, performed the analysis presented herein, and wrote the manuscript, with significant contributions from PM. PM also assisted with the development of the HETP code. CJL assisted with inorganic heterogeneous chemistry module interfacing with GEM-MACH, GEM-MACH tests, and incorporation of the new module into the regional transport model.

8 Competing interests

The contact author has declared that none of the authors has any competing interests.

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