



1 **Mapping 3D Structure of Loose Quaternary Deposits Combining Deep Learning and**
2 **Multiple-point Statistics: An example in Chencun, Northern Pearl River Delta**

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17 **Abstract:**

18 Reconstruction and cognition of structures of the Quaternary deposits, like thickness
19 variation and displacement, is necessary for understanding neotectonics and the evolution of
20 palaeo-valleys and deltas. Multiple-point statistics (MPS) is a useful method to reconstruct
21 three-dimensional geological models in many fields. However, non-stationary spatial patterns
22 and semantics in geological blocks are difficult to extract and reconstruct with the MPS-based
23 methods, especially for those probability-based MPS methods. To reconstruct 3D
24 characteristics of loose Quaternary deposits and the semantic relationship between them, an
25 algorithm coupled MPS and deep artificial neural network (DANN) is proposed. The DANN
26 is constructed and used to extract and simulate the global characteristics of geological
27 structures. Process of sequential simulation and stratigraphic sequence calibration are
28 implemented to build an initial model. To obtain a reasonable final realization, an iterative
29 MPS simulation process with a multi-scale strategy is implemented. With several cross-
30 sections and trench profiles used as modeling dataset, two concrete examples of constructing
31 the Quaternary sediments in Chencun, South China are given. The displacements of



32 sedimentary formation belonging to the Pleistocene reveal the strata rupture caused by the fault
33 activities. The modeling results illustrated that the DANN used in the method can extract and
34 simulate global structures of Quaternary deposits, and MPS simulation with the Expectation-
35 Maximization-like iteration process can optimize local characteristics in results effectively.

36 **Keywords:** Multiple-point statistics, Deep artificial neural network, Non-stationary features,
37 Stratigraphic sequence, Neotectonics

39 1.Introduction

40 Structures of the Quaternary deposits provide much insight into the evolution of palaeo-
41 valleys and deltas. Variation of thickness and displacement of the Quaternary sediments
42 characterize the basement movements or fault activities. Cognition of the Quaternary
43 sedimentation is quite necessary for understanding neotectonics. Since Houlding (1994)
44 proposed the concept of 3D geological modeling, 3D geological model has become a basic data
45 infrastructure for geological survey (Caumon et al. 2009), mineral prospective (Kaufmann and
46 Martin 2008), Oil and gas resource assessment (Pyrzcz and Deutsch 2014; Zhao et al. 2020) and
47 engineering investigation (Turner 2006; Guo et al., 2019). Thus, building a 3D model of
48 Quaternary sediments conduces to a perspective view for understanding the geology evolution.
49 Although strata overturn usually does not exist in Quaternary sediments, complex features such
50 as disordered succession of strata, unconsolidated sediments and unevenly distributed deposits,
51 are still possessed in Quaternary sedimentary strata (Chen et al., 2020). It puts forward higher
52 requirements for 3D geological modeling techniques.

53 The core of reconstructing 3D geological structures is to figure out and clarify the spatial
54 relationship between known geological data, to predict geological attributes on unsampled



55 locations. Compared with the two-point statistics (TPS) method that only pays attention to the
56 spatial relationship between two points, multiple-point statistics (MPS) (Guardiano and
57 Srivastava, 1993) reproduces spatial characteristics from the known data (or a reference model),
58 named as “Training Image (TI)”, and the conditional data and prior geological knowledge can
59 be easily introduced in the simulation process. Spatial structure in TI for simulation that is a
60 data geometry with n vector, is captured with a moving template. A pattern that is constituted
61 by the previous data geometry contains spatial structures for reproducing a new model. In the
62 MPS simulation, patterns in TI(s) are selected and reorganized with a stochastic process. Many
63 practical algorithms have been proposed for reconstructing anisotropic geological structures in
64 different fields (Strebelle, 2002; Arpat and Caers, 2007; Straubhaar et al., 2011;
65 Dimitrakopoulos et al., 2010; Tahmasebi et al., 2014; Yang et al., 2016; Gueting et al., 2017;
66 Chen et al., 2020). The MPS-based method has become a vital part of 3D modeling and
67 simulation.

68 The MPS method extracts and reconstructs spatial structures of geological bodies with a
69 moving template from known data. The long-term geology evolution results in strong
70 directional ductility and non-stationary characteristics of geological bodies, which is
71 manifested by complex geological surfaces and blocks such as fault surfaces. These geological
72 blocks or structures are usually far larger than one template size for simulation. As a result, it
73 is difficult to extract the global spatial relationship between geological objects by means of the
74 moving template, especially for the Quaternary sedimentary system with loose, uneven, thin,
75 and multi-fragmented structures. In addition, the MPS simulation process is completely data-
76 driven, which results in semantic relationships such as stratigraphic sequences that are difficult



77 to identify. Thus, under the premise of sparse data and no extra constraints, the MPS method
78 is difficult to reconstruct global spatial features with anisotropic and non-stationary
79 characteristics and corresponding semantic relations effectively.

80 As one of the most popular scientific research fields, deep learning (DL) has made great
81 progress in data mining, natural language recognition, computer vision and other applications
82 in recent years. The term DL was introduced into the field of machine learning in 1986 (Minar
83 and Naher, 2018). Limited by hardware performance and training methods, DL has not been
84 widely used until 2006. Hinton (2006) proposed an optimization method with the supervised
85 backpropagation algorithm based on a trained neural network layer by layer without
86 supervision, which provided a solution to the gradient disappearance problem in the artificial
87 neural network (ANN). With training the weights of each artificial neuron in the ANN by
88 known data, the DL method has a good performance in mining the nonlinear features that are
89 difficult to be expressed by linear equations in the data (Li et al., 2021). Essentially, DL is an
90 ANN with multiple hidden layers. Using the hidden layer in the model, the original input will
91 be gradually transformed into the combination of low-level elements, intermediate elements
92 and high-level elements until output the objects. The features of the whole dataset are learned
93 and trained in a multi-level abstract way (LeCun et al., 2015). DL has a strong ability to
94 recognize and reconstruct nonlinear and non-stationary data, and can extract and recognize the
95 overall pattern of the dataset.

96 In view of the ability of DL in pattern extraction and reconstruction, various DL methods
97 based on the generative adversarial network (GAN) have been successfully applied in the field
98 of computer image completion (Goodfellow et al., 2014; Mirza and Osindero, 2014; Yu et al.,



99 2018; Yi et al., 2020). In the reconstruction process, some algorithms combine local and global
100 information (Yi et al., 2020). In these algorithms, a large amount of data is required to train the
101 network. And such algorithms are only applicable to the completion of images with the same
102 dimension, that is, the network trained with two-dimensional data is only applicable to the
103 completion of two-dimensional images. Some cross-dimensional image generation algorithms
104 can learn the mapping of different dimensional data and be used for image reconstruction, such
105 as converting input two-dimensional images into three-dimensional images (Wu et al., 2016;
106 Zhu et al., 2018; Feng et al., 2020; Wu et al., 2021). However, the demand for cross-
107 dimensional training data is still the bottleneck restricting the deep application of such
108 algorithms.

109 In the field of 3D geological modeling, DL methods have been directly applied to build
110 3D models, of which some methods also attempt to combine MPS algorithm (Feng et al., 2018;
111 Guo et al., 2019; Tang et al., 2020). The DL-based algorithms for 3D geological modeling are
112 adopted to judge the stratigraphic attributes according to the location, without considering the
113 spatial relationship between multiple points in local space (Guo et al., 2019). When the image
114 completion with GAN architecture is simply applied to reconstruct 3D geological model, the
115 demand of cross-dimensional data for training network is still a key problem (Feng et al., 2020;
116 Kim et al., 2021). At present, the method with the combination of MPS and DL is suitable for
117 reconstructing binary random structures like palaeochannel systems. Also, among the
118 aforementioned algorithms, the deep learning algorithm is only used to accelerate the model
119 reconstruction and optimize the model constructed by MPS.

120 To address the issues mentioned above, a 3D reconstruction method Combining DL and



121 MPS is proposed to map 3D structures of Quaternary deposits and faults in this study. The
122 outstanding of the MPS method is reconstruct local characteristics by using patterns in TI(s),
123 whereas the global spatial structures can be excavated and reconstructed by DL. This study
124 attempts to combine the advantages of DL and MPS, and use the constraints of stratigraphic
125 sequence in the simulation to construct a good result. The remainder of this paper is organized
126 as follows. Section 2 briefly describes the geological settings and data used for modeling.
127 Section 3 presents the proposed 3D modeling method for Quaternary sediments in detail. The
128 modeling results of the study site by using the proposed method are given in Section 4. The
129 followed section discusses the performance of the proposed method by comparing it to the
130 actual geological background and the obtained data. The final section presents a summary.

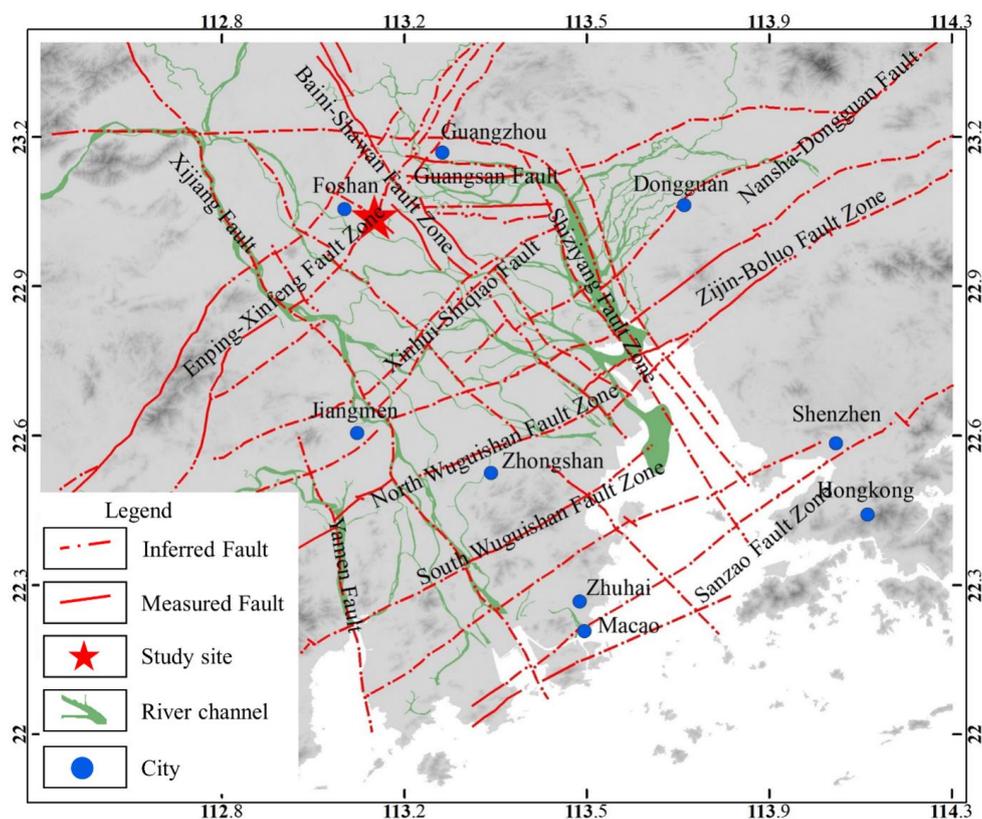
131 **2 Geological settings**

132 The study site is located in the Chencun area, eastern of Foshan city, the north of PRD,
133 southern China. The Pearl River Delta (PRD) with area of about 8,601 km², the second largest
134 delta in China, lies to the north of the South China Sea (Fig. 1). Based on approximately 1,200
135 drill holes and 620 Quaternary samples, Huang et al. (1982) discussed the influence of tectonic
136 movements of the deltaic development, and believed that the basement of the delta was formed
137 during the Pliocene to Pleistocene. Some other investigations in the area were carried out (Chen,
138 et al., 1991; Chen et al., 1995; Chen et al., 2002; Song et al., 2003; Xu et al., 2005). The fault
139 activities acting on sedimentation and paleo-geography were analyzed (Chen et al., 2002). With
140 systematical analysis of previously published data, Yao et al. (2013) concluded that a dramatic
141 change of the paleo-drainage pattern happened and was greatly attributed to fault activities.
142 Since the Holocene, neotectonic influence on channel development turned to be less important



143 as neotectonics became weaker. Strata of the Miocene to lower Pleistocene are absent in the
144 PRD, and Quaternary only consists of the upper Pleistocene and Holocene (Yao et al., 2013).
145 The PRD is a special delta of low development, with thin Quaternary sediments and no ternary
146 structure.

147 Several fault-blocks in the PRD are defined by major faults in NE–SW, NW–SE, and E–
148 W orientations (Yao et al., 2013). In the deltaic development, neotectonics of the PRD had
149 played one of the most important roles in sedimentation. Recent researches about the activity
150 of basement faults like the Xijiang Fault and Shawan Fault remains a source of debate (Yu et
151 al., 2016; Dong et al., 2016; Lu et al., 2020; Lu, 2021). Faults that cut through the surface and
152 deformation of loose Quaternary deposits were newly revealed with borehole and geophysical
153 investigation in Chencun in the north of the PRD (Hou et al., 2011; Lu, 2021). Samples from
154 the surface were dated by ^{14}C dating at about $20,012 \pm 56$ a(B.P.) (Hou et al., 2011), which is
155 earlier than the fracture motion occurred at about 25.0 ka B.P. reported by Wang et al. (1992).
156 Two-dimensional characteristics of fault structures underground have been revealed by
157 geophysical methods including shallow seismic, elastic wave CT and GPR detection (Hou et
158 al., 2011; Lu, 2021). However, in the study site, 3D distribution and corresponding
159 relationships of the Quaternary strata and faults are still unknown.



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Fig.1 Regional tectonic map of the PRD

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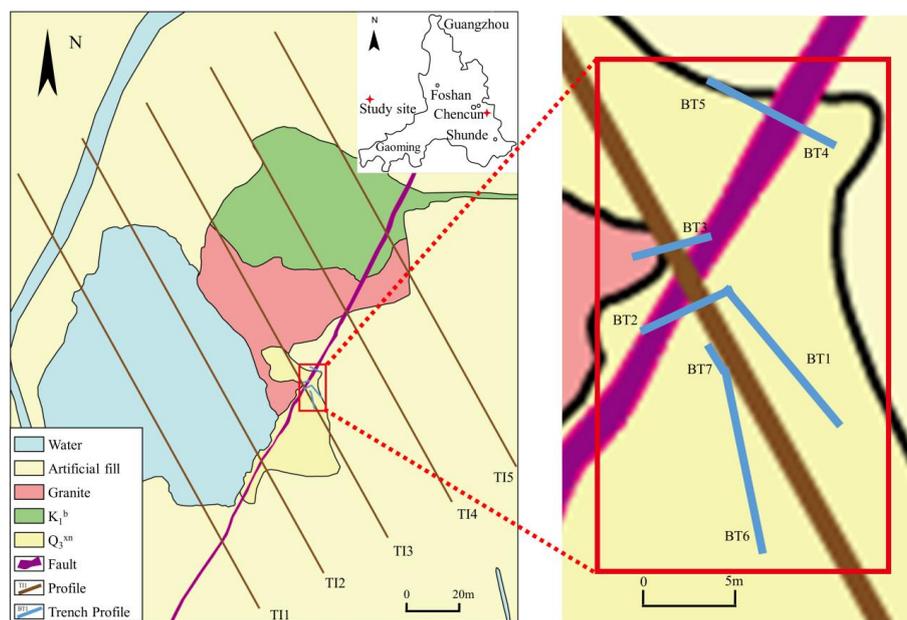
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The north of the study site is a hilly land with an altitude of 78.3 m, surrounded by the PRD plain. Residual red layer and quaternary deposits underlying with granite are on the top of the hill. Rock exposure in the district comprise mainly monzogranite and Cretaceous stratum. The phenocrysts of monzogranite are mainly potash feldspar. The rocks are altered by crushing, and the rocks near the intrusive contact boundary are dark grayish red. The deposition of the Cretaceous stratum was siltstone, argillaceous siltstone, quartz sandstone and mudstone of the Lower Cretaceous Baizushan Formation.

The Quaternary deposit that mainly distributes in the southwest hillside in the study area comprises fluvial to coastal deposits with a linked stratigraphic framework, which is divided



172 into six informal group-rank lithostratigraphic units. From base to top, they are: (i) Shipai group
173 (Q_3^{sp}), a sand unit that is brown yellow gravel medium coarse sand with lenticular fine powder
174 sand; (ii) Xinan group (Q_3^x) that is a transgressive layer; (iii) Sanjiao group (Q_3^{sj}), a sand-clay
175 unit, that includes both clayey medium fine-grained sand and clay layer embedded humus; (iv)
176 Henglan group (Q_h^{hl}) composed of silt-rich coastal plain facies. (v) Wanqinsha group (Q_h^w)
177 that is composed of medium-coarse sand and clay; (vi) Denglongsha group (Q_h^{dl}) comprising
178 alternating silty clay and mud layers deposited in a fluvio-marine transition zone. The first
179 three groups are late Pleistocene (Q_3), and the iv, v, and vi are belonging to the Guizhou
180 Formation in the Holocene. The Xinan group (Q_3^x) that is widely distributed in the PRD is an
181 early transgressive layer under the delta deposits. The Q_3^x is in conformable contact with the
182 underlying Q_3^{sp} or overlying the weathering crust, and is unconformably covered by the
183 Guizhou Formation.



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Fig. 2 Geological map of the study area



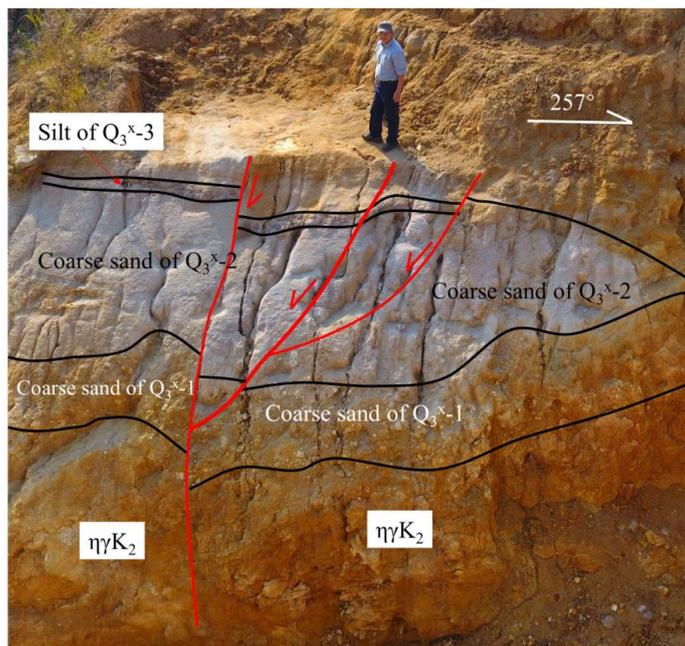
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187 Extending in the direction of $NE10^{\circ} \sim 20^{\circ}$ with a visible length of about 0.7 km, the
188 Chencun Fault (CF) that is believed to be the eastern part of the Enping-Xinfeng Fault is
189 exposed in the western Xilingang hill. Tectonites like silicified breccia, cataclastic granite and
190 crushed rock are exposed in the fault zone. On the hillside of the BTI2 (Fig. 2), fractures cut
191 through the surface of the Quaternary deposits as shown in Fig. 3. The bedrock in the profile
192 shown in Fig. 3 is monzonitic granite in the Cretaceous, which is covered by Q_3^x-1 with angular
193 unconformity. The fractures in flower shape dislocate the Q_3^x-1 and Q_3^x-2 as shown in Fig. 3.
194 The maximum fault displacement reaches 20cm. This fault is a recently discovered fault cutting
195 loose quaternary sediments in the PRD.

196 We collected 5 NW profiles (TI1~TI5) that are drawn by outcrop data, borehole samples
197 and geophysical data, and 7 profiles (BTI1~BTI7) from trenches as the modeling dataset. With
198 data preprocessing including data cleaning and coordinate transformation, these profiles are
199 imported in the 3D simulation grid and used as TIs as shown in Fig. 4. Because more precise
200 stratigraphic division of the Q_3^x is given in the trenches, two models are built in this study. The
201 NW profiles are used to build the major model of the study area. The other model is constructed
202 with the 7 images of trenches.

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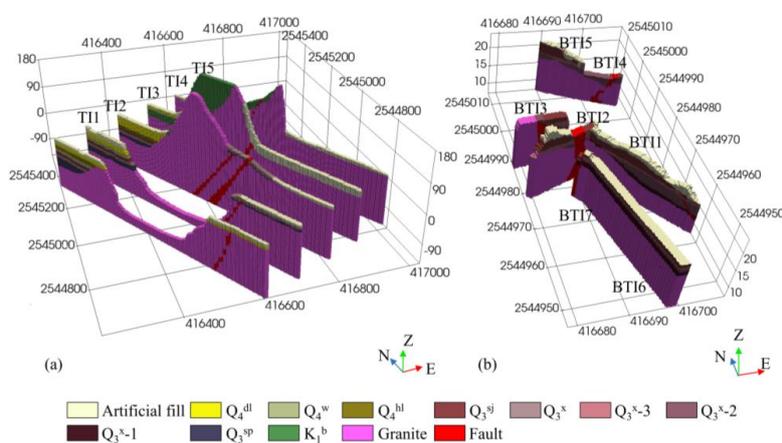
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Fig. 3 Fractures cutting through the Quaternary deposits (towards to 190°)

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Fig. 4 The parallel profiles for the major model (a) and profiles from trenches (b) where

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Quaternary faults are exposed



212 3. Method

213 Let \mathbf{R} is a realization form the MPS, \mathbf{TI} represents the training image, the objective
214 function of conventional MPS is (Yang et al., 2016):

$$215 \quad R_{final} = \arg \min_{\mathbf{R}} d(\mathbf{R}, \mathbf{TI}) \quad (1)$$

216 where $d(\mathbf{R}, \mathbf{TI})$ defines the semantic distance between \mathbf{TI} and realization \mathbf{R} in feature space.

217 Considering the differences between \mathbf{R} and \mathbf{TI} is calculated with patterns in the implementation
218 process, the Eq. 1 can be transferred into:

$$219 \quad P_{R_{final}} = \sum_{P_R \in \mathbf{R}, P_{TI} \in \mathbf{TI}} \min D(P_R, P_{TI}) \quad (2)$$

220 where $P_{R_{final}}$ is the pattern in the final realization R_{final} , P_R and P_{TI} are pattern in \mathbf{R} and \mathbf{TI}
221 respectively, and $D(P_R, P_{TI})$ is the semantic distance between P_R and P_{TI} . To minimize the
222 $d(\mathbf{R}, \mathbf{TI})$, the $D(P_R, P_{TI})$ should reach to minimum value. It means that the difference
223 between the patterns in the simulation grid and the patterns in the TI(s) reaches the smallest
224 when geology attribute of each simulation node is assigned.

225 In the reconstruction process, in this study, the global spatial characteristics of geological
226 objects and semantic relationships between these objects are overall considered. To reach the
227 goal, the objective function of the simulation is defined as:

$$228 \quad P_{R_{final}} = \arg \min_{\mathbf{R}} D(P_R, P_{TD} | S(\mathbf{R}) \in D_s) \quad (3)$$

229 where P_R and P_{TD} are patterns of \mathbf{R} and training data (TD) respectively, D_s is the
230 stratigraphic sequence dataset, $S(\mathbf{R})$ is the stratigraphic sequence in \mathbf{R} , and $D(\cdot)$ is the
231 semantic distance between P_R and P_{TD} . Note that realization \mathbf{R} is obtained by iterative
232 calculation based on the initial model R_0 that can be calculated with:

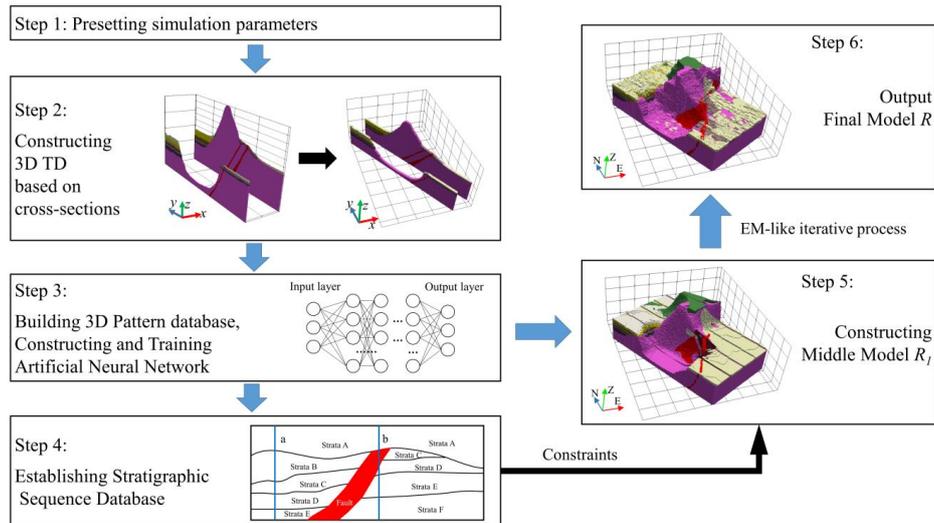
$$233 \quad R_0 = \arg \min_{M(TD)} d(M(TD), TD) \quad (4)$$



234 where $M(TD)$ is a realization that is obtained by an ANN trained with the TD , and
235 $d(M(TD), TD)$ is the semantic distance between TD and model constructed by $M(TD)$. Spatial
236 structures of geological objects in each realization during the simulation should follow the rule
237 of the stratigraphic sequence. The physical meaning of the Eq. 4 is that the difference between
238 patterns in the simulation grid node and candidate patterns from the TD , on the premise that
239 the model as a whole, meets the requirements of stratigraphic sequence.

240 In this study, the ANN is used to extract the structural features in known data to fit the
241 geological surfaces and corresponding initial model $M(TD)$. An initial model R_0 is simulated
242 with sequential simulation process based on $M(TD)$. Note that discontinuities and artifacts may
243 exist in the R_0 because of pattern paste during calculating the R_0 . Therefore, to improve the
244 realization quality, an iterative optimization method is used. Six main steps are included in the
245 presented method here as shown in Fig. 5. Parameters for simulation is preset in the first step,
246 including scale numbers, simulation grid size, iterative number in each scale, template size and
247 layers training epoch of the ANN, etc. The second step is constructing 3D TD to obtain 3D
248 patterns for simulation. In the followed step, the stratigraphic sequence database, spatial pattern
249 database of known data and training dataset for the ANN are built. The geological surfaces of
250 each datum and fault, in the fourth step, are constructed with the ANN and imported into the
251 simulation grid (SG). The fifth step builds and optimizes the R_0 at the coarsest scale. The
252 stratigraphic sequence of the R_0 is checked with the Ds . In the last step, the final realization
253 with the finest scale is output after iterative optimization with the Expectation-Maximization-
254 like (EM-like) algorithm combined with multiple-scale strategy.

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Fig. 5 The flow chart of the presented algorithm

258 3.1 Building 3D TD

259 In MPS-based method, obtaining 3D TD is difficult to constructing 3D model. The cross-
 260 sections like borehole cross-section, geophysical interpretation section are usually drawn to
 261 reveal geological structures. However, three-dimensional structure cannot be directly extracted
 262 from 2D cross-sections in the MPS-based simulation method (Hou et al., 2021; Yang et al.,
 263 2016). Therefore, the 2D geological cross-sections should be extended into 3D TD. The
 264 expansion process is described as follow:

265 (1) The modeling area is partitioned into SG at the finest scale with a size of $h \times x \times y$, and
 266 2D cross-sections are imported into the SG (in Fig. 6a).

267 (2) Expansion area $Buff_{sec}$ with or bigger than a template size is set for each cross-section.
 268 When the cross-section is located on the boundary of the SG, the $Buff_{sec}$ is calculated with the
 269 2D cross-section as the edge, extending into the SG about one template size. When the 2D
 270 cross-section is located inside the SG, the $Buff_{sec}$ takes the two-dimensional section as the



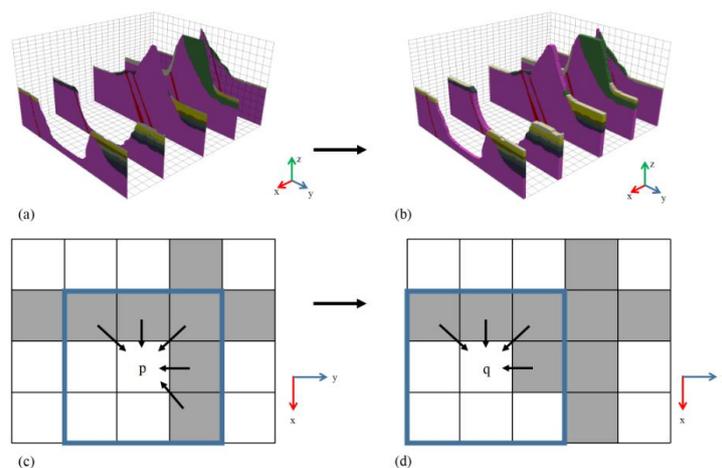
271 center and expands half of the template size to both sides.

272 (3) An unassigned grid node in Buff_{sec} is randomly selected as the current access grid node
273 u_c , and the expansion is implemented layer by layer in the horizontal direction of the simulated
274 grid. On the horizontal layer, with the u_c as the center of the moving window of 3×3 grid
275 nodes, the attribute value that appears with the maximum number in the window is selected
276 and assigned as the attribute value of u_c . As shown in Fig. 6c and Fig. 6d, blocks in gray and
277 white are grids with values and unassigned values respectively, and the blue frame marks the
278 moving window. When p is the u_c , the occurrence times of each attribute value in the window
279 are counted, and the attribute value with the biggest occurrence times is assigned to p . Then,
280 the u_c moved to grid node q .

281 (4) Repeating step (3) until to values of all grids are assigned in Buff_{sec} , the 3D TD with
282 a template size is obtained as shown in Fig. 6b.

283 (5) Three TD at different scale is calculated with downsampling. In this study, multiple-
284 strategy is used to increase the simulation efficiency. When the 3D TD is obtained, the 3D TD
285 is scaled to the coarsest scale and the scales involved in the EM iteration with the
286 downsampling method.

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289 Fig. 6 The process of building 3D TD with 2D cross-sections. Images (a) and (a) are the 2D
290 cross-sections and corresponding 3D TD in the SG respectively. Images (c) and (d) show the
291 process of choosing candidate values for grid p and q , where the blue framework marks
292 moving window and gray and white blocks are grids with values and unassigned values
293 respectively.

294 2.2 Building stratigraphic sequence database and 3D pattern database.

295 The patterns of spatial structures are obtained by means of scanning TI in the MPS-based
296 simulation method. To reduce the time of scanning TI, a 3D pattern database is established by
297 extracting the 3D geological structures from 3D TD. Also, the stratigraphic sequence database
298 and the training dataset for the ANN are constructed

299 In pattern search, a template cube is used as a moving window. After scanning all grids in
300 the 3D TD, the extracted patterns are clustered by the similarity between them. Then, the
301 pattern database P is established. Here, the similarity between patterns p_i and p_j can be
302 calculated with:



$$D(P_i, P_j) = \sum_{h'=1}^{l'} \sum_{x'=1}^{m'} \sum_{y'=1}^{n'} P_i[h', x', y'] \oplus P_j[h', x', y'] \quad (5)$$

$$h' = 1, 2, 3, \dots, ps, x' = 1, 2, \dots, ps, y' = 1, 2, \dots, ps$$

304 where, ps is the edge length of a pattern.

305 In the SG with the 2D cross-sections, the elevation of the top and the bottom each stratum
 306 at the coordinate (x, y) in the SG are extracted according to the attribute value Att_i . Then, the
 307 elevation database of the top surface $H_{\max}(Att_i)$ and the bottom surface $H_{\min}(Att_i)$ of each
 308 stratum are established after going through the known data in the SG:

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$$h_{\max}(x, y, Att_i) \in H_{\max}(Att_i), h_{\min}(x, y, Att_i) \in H_{\min}(Att_i),$$

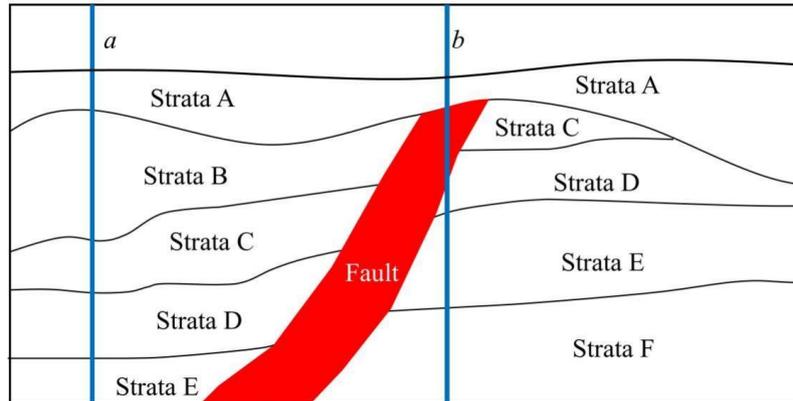
$$i = 1, 2, 3, \dots, K, x = 0, 1, 2, \dots, m, y = 0, 1, 2, \dots, n$$

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where K represents the number of attributes, m and n are length and width of simulation grid
 311 at the coarsest scale.

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For all 2D cross-sections, at coordinate (x, y) , the attribute of each pixel is organized as
 313 an ordered sequence from top to bottom. Then, we obtained the stratigraphic sequence at the
 314 (x, y) . As shown in Fig. 7, the stratigraphic sequence S_a and S_b on the location a and b can be
 315 stored as “Strata A → Strata B → Strata C → Strata D” and “Strata A → Fault → Strata D → Strata
 316 E → Strata F” respectively. All possible stratigraphic sequences scanned from TD are
 317 categorized and the ordered sequence set is the database of the stratigraphic sequence D_s .



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319 Fig. 7 The sketch map of extracting the stratigraphic sequence, where a and b in blue
 320 framework are different scanning positions.

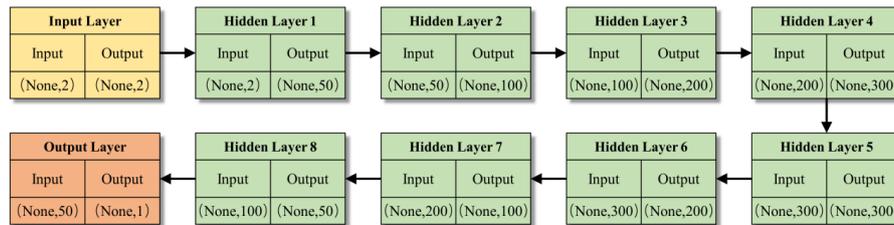
321 2.3 Building and training artificial neural network

322 According to the elevation sequence of the top and the bottom surface of each geological
 323 attribute, two ANNs $M_{\max}(i)$ and $M_{\min}(i)$ are established. Values of the $H_{\max}(Att_i)$ and
 324 $H_{\min}(Att_i)$ are normalized and used as training dataset to learn the spatial distribution of
 325 geological surfaces. Here, coordinates (x, y) of the simulation grid are the input data, and the
 326 corresponding elevations $h_{\max}(x, y, Att_i)$ and $h_{\min}(x, y, Att_i)$ of each geological attribute Att_i
 327 are labeled for training $M_{\max}(i)$ and $M_{\min}(i)$. The loss function of $M_{\max}(i)$ and $M_{\min}(i)$ is:

$$328 \quad Loss(M_k) = MSE(H_k, H'_k) = \frac{\sum_{i=1}^l \sum_{x=0}^m \sum_{y=0}^n (h_k(x, y, Att_i) - h'_k(x, y, Att_i))^2}{m \times n}, \quad k = \max, \min \quad (6)$$

329 where $h'_k(x, y, Att_i)$ is the output of the ANN of which the input coordinate is (x, y) .

330 In this study, the framework of the $M_{\max}(i)$ and $M_{\min}(i)$ are based on the BP neural
 331 network, which has 8 hidden layers and 261451 parameters. The basic framework is shown in
 332 Fig. 8. The training will stop when the epoch reaches 10000 or the loss value is smaller than
 333 0.5×10^{-5} . For each Att_i , we can obtain the trained $M_{\max}(i)$ and $M_{\min}(i)$.



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Fig. 8 The sketch framework of the ANN for geological surface simulation.

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2.4 Constructing and improving initial model

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A copy of the SG at the coarsest scale marked as G_I is constructed. The elevations $h'_k(x, y, Att_i)$ of the top and bottom surface of the Att_i are predicted when the coordinates of grids to be simulated are input into the $M_{\max}(i)$ and $M_{\min}(i)$. After completing the traversal, the top and bottom surface of each Att_i , $S_{\text{top}}(Att_i)$ and $S_{\text{bott}}(Att_i)$, can be obtained in the G_I . Importing the $S_{\text{top}}(Att_i)$ and $S_{\text{bott}}(Att_i)$ into the SG, the attributes of grids to be simulated between $h'_{\min}(x, y, Att_i)$ and $h'_{\max}(x, y, Att_i)$ are assigned with the value of the Att_i . Then, a 3D geological model at the coarsest scale is built with the trained ANN. Note that strata should be simulated after the fractured zone has been constructed. When conflict of the geological attributes happens in a grid, the grid is marked as a grid to be simulated. The process mentioned above is implemented until each geological value Att_i has been simulated. Then, the initial model R_0 is obtained.

The local topological and semantic relationships among geological objects were not considered in constructing R_0 , which resulted in some unassigned regions in the R_0 , and even some stratigraphic sequence errors. Therefore, a sequential simulation is used to improve R_0 with the stratigraphic sequence database and 3D pattern database. Four main steps are included in the improving process:

- (1) A grid node is selected randomly from the unassigned value in R_0 , and marked as



354 current access node u . Make sure that known data exists in a window W_u of a template size
355 $ps \times ps \times ps$ where u is the center. Also, the number of grid nodes with known data usually is
356 bigger than $ps \times ps \times (ps/2 - 1)$ or a user-defined value.

357 (2) The Q candidate patterns that are most similar to the known data $P_{R_0}^u$ in W_u are
358 searched from the pattern database. According to the distance $D(P_{TD}^q, P_{R_0}^u)$ between P_{TD}^q and
359 $P_{R_0}^u$, the probability $\Pr(P_{TD}^q)$ of each candidate pattern P_{TD}^q being selected is calculated with
360 the inverse distance weight (IDW) method as:

$$361 \quad \Pr(P_{TD}^q) = \frac{1}{\sum_{q=1}^Q \frac{1}{D(P_{TD}^q, P_{R_0}^u)}} \quad q = 1, 2, 3, \dots, Q \quad (7)$$

362 After the calculation, one of the candidate patterns is randomly selected according to the
363 probability, and is pasted to the W_u centered on the currently accessed grid node u in R_0 .

364 (3) The process will stop until all grids are assigned values with repeating steps (1) and
365 (2). Then, the initial model R'_0 is obtained.

366 (4) With going through the R'_0 , the stratigraphic sequence $s_{x,y}$ presented by ordered data
367 on the position (x, y) is checked. If $s_{x,y} \not\subset D_s$ and $s_{x,y}$ is not any of the nonempty subsets of
368 D_s , the $s_{x,y}$ is wrong. Then, the grid nodes on the position (x, y) are reset as nodes to be simulated
369 and step (1) to step (3) are implemented. Until no error of stratigraphic sequence exists in R'_0 ,
370 the middle model R_1 is output.

371 **2.5 Iterative simulation**

372 The result R_1 that constructed by the ANN and sequential simulation process can be
373 treated as a kind of realization. However, the R_1 is realized at the coarsest scale, and
374 discontinuities and manual artifacts exist because of simple pattern paste in the simulation



375 process. Therefore, in this study, the EM-like iterative process as proposed by Yang et al. (2016)
376 is used to improve the model accuracy.

377 In the simulation at each scale, the 3D TD is obtained at the current scale S_{curr} at first. Then,
378 the model R_2' at S_{curr} is upsampled from R_1 . The model R_2' is improved with the EM-like
379 algorithm of which the E-step and M-step are included in each iterative process.

380 In the E-step, each grid node $u \in R_2'$ is assigned a candidate pattern p_{TD}^u that is
381 randomly extracted from the pattern database. The similarity $D(p_{TD}^u, p_{R_2}^u)$ between the p_{TD}^u
382 and the pattern $p_{R_2}^u$ with u as the center. Then, the E-step is realized with two steps based on
383 the modified Patch Match method (Yang et al., 2016). The first step is the propagation process.
384 For each grid node u , the similarities between p_{TD}^u and candidate patterns $p_{TD}^{u_n}$ of grids u_n
385 around u . The pattern with the biggest similarity is selected as new p_{TD}^u . The second step is
386 the stochastic process. A pattern $p_{TD}^{u'}$, for each grid u , is randomly selected from a searching
387 window of which the center is the location of the candidate pattern p_{TD}^u in the 3D TD. The
388 similarity $D(p_{TD}^u, p_{R_2}^u)$ between p_{TD}^u and $p_{R_2}^u$ is calculated. If the $D(p_{TD}^{u'}, p_{R_2}^u)$ is bigger
389 than the $D(p_{TD}^u, p_{R_2}^u)$, the $p_{R_2}^u$ is replaced with $p_{R_2}^{u'}$. After each window is searched, the
390 search continues with new the window of which the size shrinks with the preset parameter,
391 until the window is smaller than the template size.

392 After the search process is finished in the E-step, the simulation grid is updated with the
393 M-step. Because multiple 3D TD is used in the simulation, the candidate patterns p_{TD}^u should
394 be integrated. Assume the number of the cross-sections is w , there are w candidate patterns
395 p_{TD}^u for grid node u in the SG. The pattern with the maximum occurrence of the attribute at
396 the pattern center is used to update the current grid nodes u . Usually, the E-step is implemented



397 several times and M-step is carried out once.

398 The presented algorithm is coded with python, and tested on a workstation with 20 cores
399 and 128G memory. All the two models are simulated with 2 scales. It took about 30 hours to
400 obtain the final result of each model.

401

402 **3. Simulating the loose Quaternary deposits**

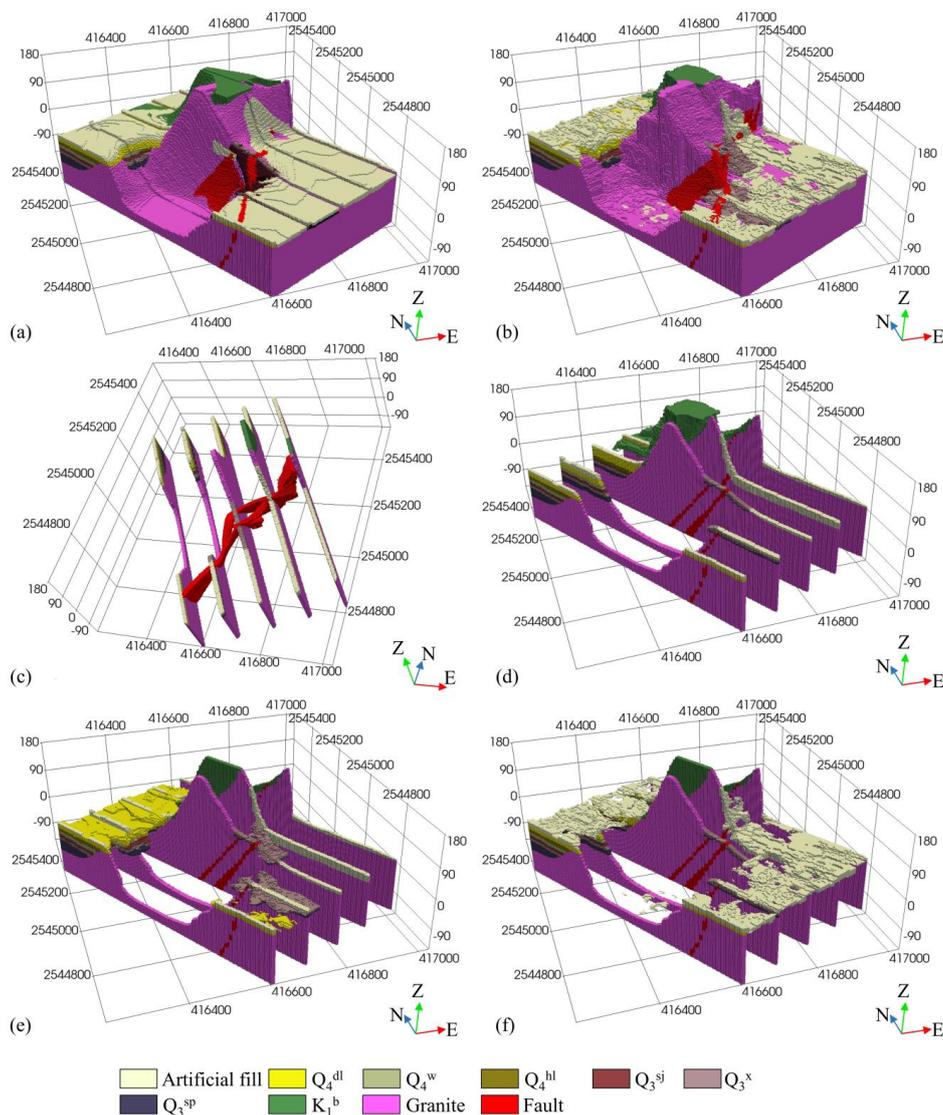
403 **3.2 The major model of Chencun area**

404 Five parallel NW profiles in the study area (Fig. 4a) are used as the data source for
405 constructing the geological model. With the size of grids of $220 \times 400 \times 352$, the calculated
406 precisions of the final model (Fig. 9b) that constructed from the initial model with
407 $110 \times 200 \times 176$ grid nodes (Fig. 9a), are 0.5m in z direction and $2.23\text{m} \times 2.06\text{m}$ on the lateral
408 plane respectively. The CF in the final model (Fig. 9c) between profiles distributes
409 continuously, and is overall consistent with the exposure fracture zone on the surface as shown
410 in Fig. 2. In TI3, the CF has two branches, with one cutting through Q_3^x and the other breaking
411 the Q_3^{sp} . In the simulation result, the CF bifurcates into two fracture zones between TI2 and
412 TI3, and the two fracture zones merge together on the TI4 as shown in Fig. 9c. The Baizushan
413 Formation K_1^b of which the highest elevation is above 60m is mainly exposed in the north
414 hillside. The unexposed rocks of K_1^b with the maximum burial depth of -13.5m mainly
415 distribute near to TI4. The distribution of Baizushan Formation K_1^b overall coincides with the
416 outcrops as shown in Fig. 2. The thickness of the K_1^b is about 0.5m to 36.5m (Fig. 9d). The
417 granite is mainly exposed on the south hillside and the pool, and the rest is covered by the
418 Quaternary deposits. Of the Quaternary deposits, overlying with Q_3^x of average thickness of



419 2.1m, the Q_3^{sp} with thickness about ~6.5m distributes between T11 and T13 (Fig. 9e). Groups
420 of Q_3^x and Q_3^{sp} with average thickness of 2.3m and 4.0m respectively distribute on both sides
421 of the CF. Note that the average displacement of the Q_3^x reaches 193.m, which means
422 displacement happened because of faulting.

423 Groups of Q_3^{sj} , Q_h^w and Q_h^{hl} with the average thickness of 2.3m, 2.7m and 2.7m
424 respectively distribute between the T11~T13 on the northwest of the CF. The Q_h^w and Q_h^{hl}
425 scatter in the southwest area. And the Q_h^{dl} is mainly spread around the T11 with the average
426 thickness of 4.72m. The elevation displacement of the Q_h^{dl} on both sides of the CF cannot be
427 obviously observed. According to the final model, the latest deposit cut by the CF is the Xinan
428 Group (Q_3^x).
429



430

431 Fig. 9 the major model of Chencun area. (a) and (b) are the initial model from the ANNs and
 432 the final model respectively. (c) shows the distribution of CF and TIs. (d) ~ (f) are the
 433 distribution of the Baizushan Formation, Quaternary Deposit and landfill.

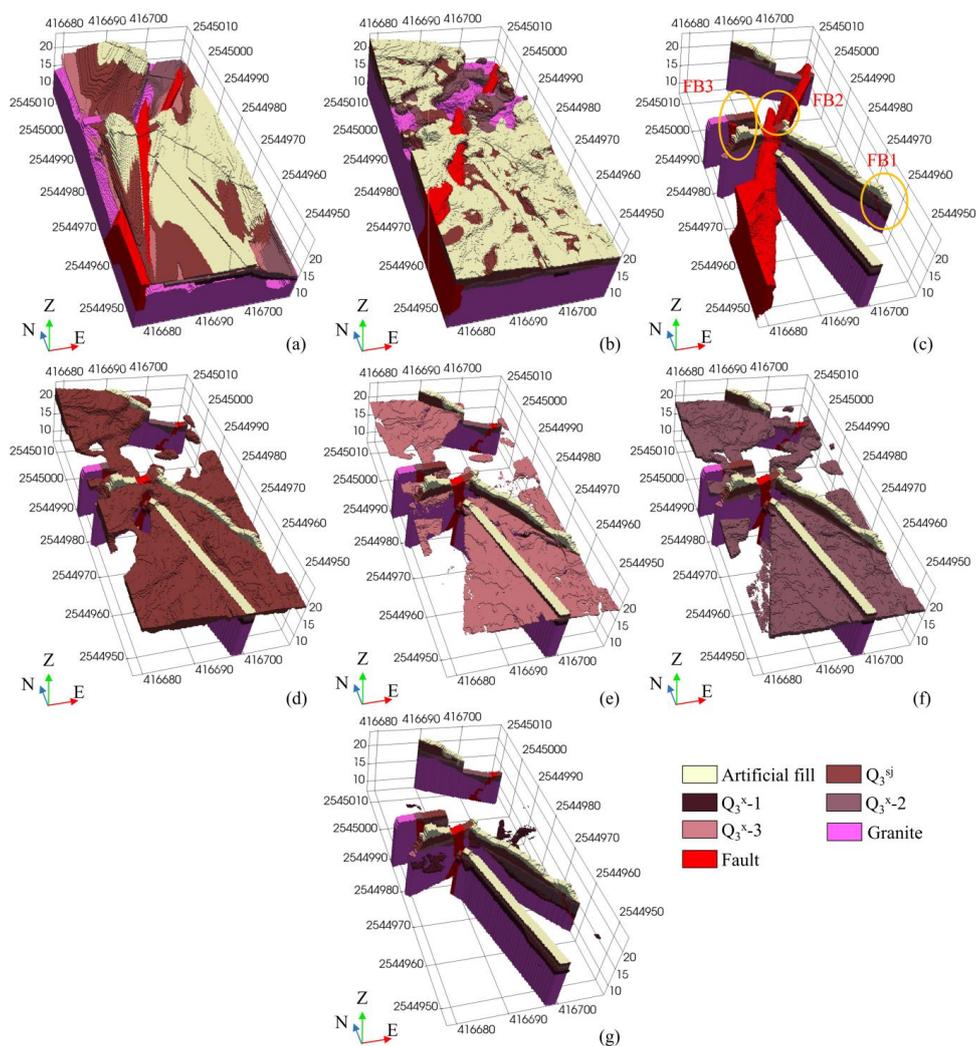
434

435 **3.3 3D model from trenches**



436 Images drawn from trenches of BTI1~BTI7 (Fig. 4b) are used to construct the 3D model
437 for the area we found the fault cut through the Quaternary succession. The initial model (Fig.
438 10a) has $85 \times 158 \times 340$ nodes and the final model (Fig. 10b) reaches $170 \times 318 \times 680$ nodes with
439 the precision of 0.1m in each direction. Here, the Q_3^x is divided into 3 sub-groups from old to
440 new: Q_3^x-1 、 Q_3^x-2 、 Q_3^x-3 according to the sedimentary facies. The main fracture zone in
441 the final model (Fig. 10c) continuously extends northeast. A fracture branch FB3 extends
442 northwest cuts through the granite and is covered by the Xinan Group near to the BTI3. It
443 illustrated that the branch of the fracture zone happened before the deposition time of the Q_3^x .
444 Another small fracture branch FB1 near to the BTI1 also does not connect with the main fault
445 and distributes around the profiles. The small fracture branch FB2 that extends northeast and
446 dips southeast, which has an opposite dip of the main fault is found in BTI2 around 12~20m.
447 FB2 is connected to the main fault, and the maximum displacement of the deposits on both
448 sides reaches 1.6m.

449 In this modeling area around the trenches, only Q_3^{sj} and Q_3^x are revealed. Most modeling
450 area is covered by the Q_3^{sj} with the average thickness of 1.4m. The Q_3^x-1 (Fig. 10g) with the
451 average thickness of 0.5m distributes sporadically around the BTI1, BTI6 and BTI7 in the
452 modeling area. The Q_3^x-2 (Fig. 10f) and Q_3^x-3 (Fig. 10e) distribute almost the whole area.
453



454

455 Fig. 10 The 3D model from trenches at Chencun. (a) ~ (c) are initial model from the ANNs,

456 the final model and the fracture zone respectively. (d)~(g) shows the distribution of the Q_3^{sj} ,

457 Q_3^{x-3} , Q_3^{x-2} and Q_3^{x-1} .

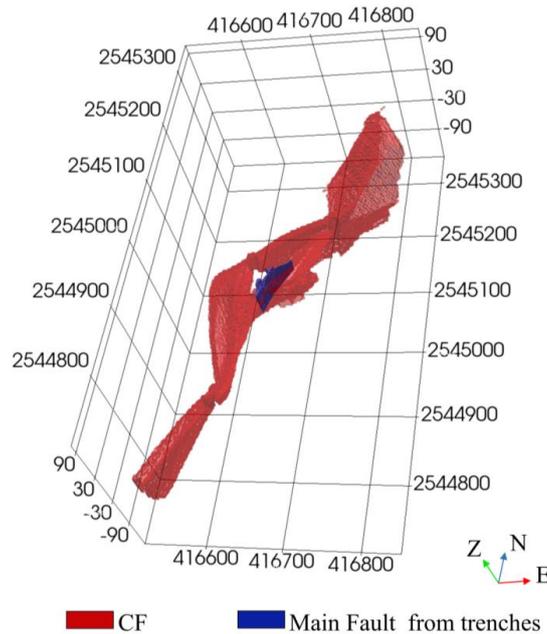
458

459 4. Discussion

460 Fig. 11 shows the distribution of fracture zones in the major model and model from



461 trenches. Faults in the two models are not closely aligned, but the main fracture zone in the
462 trenches model basically coincides with the branch in the northeast of CF in the major model.
463 Also, the attitudes of the two faults in these two models are similar. In the previous study, some
464 researchers believed that fracture zones revealed in the trenches are palingenetic gravity sliding
465 surfaces rather than Quaternary active faults (Wang et al., 2011; Dong et al., 2012). Whereas,
466 the fracture zones shown in the models were resulting from fault activities according to strata
467 displacements, the trenches and geophysical data (Zhang et al., 2009; Hou et al., 2011).
468 However, the shallow seismic exploration and elastic wave CT results showed that the CF cuts
469 through the Tinea clay belonging to the Q_3^{sj} (Lu, 2021). Note that those profiles lie in the south
470 hill. It means that the CF activated after the deposition of Q_3^{sj} , and fracture zones in the models
471 resulted from fault activities, rather than caused by the palingenetic gravity sliding. The models
472 built in this study provide the overall geometry of the CF, and evidence of the relationship
473 between the CF and the fracture zones in trenches in the viewpoint of morphologic. Therefore,
474 it can be inferred that the main fault in the model constructed by trenches is a part of the CF.
475



476

477 Fig. 11 The distribution of fracture zones in the major model and model from trenches.

478

479 In the modeling examples above, the proportion of geological objects contained in each
 480 profile or trench varies greatly. In the major model, except the CF, the proportion of each
 481 geological object in the final model is basically close to that in the profiles as shown in Table
 482 1. The proportion of the fracture zone in the major model reaches 5.53%, which is almost twice
 483 as much as 2.06% in the 3D TD. In the second example, the proportions of the fracture zone
 484 both in trenches and the final result are close (Table 2). However, the proportion of the Q_3^x-1
 485 in the result is about 0.48%, which is much lower than 2.38% in the 3D TD.

486 **Table 1 The proportion of geological objects in the major model and profiles**

Proportion(%)	TI1	TI2	TI3	TI4	TI5	3D TD	Initial	Result
								Resultt
Artificial fill	3.95	4.41	1.57	6.70	3.66	4.08	3.96	3.07



Q ₄ ^{dl}	4.40	3.04	3.30	0.61	0	1.96	2.30	2.10
Q ₄ ^{hl}	2.56	0	1.20	0	0	0.64	0.57	0.48
Q ₄ ^w	1.94	2.49	0	0.31	0	0.66	0.65	0.61
Q ₃ ^{sj}	1.84	2.24	0.68	0	0	0.70	0.77	0.70
Q ₃ ^x	1.28	4.26	1.78	0	0	1.15	1.08	1.04
Q ₃ ^{sp}	3.65	5.27	1.29	0	0	1.48	1.41	1.29
K ₁ ^b	0	0	0.17	14.58	2.27	4.38	4.51	4.32
Granite	79.01	76.68	86.12	76.23	92.74	82.89	78.97	80.86
Fault	1.37	1.61	3.89	1.57	1.33	2.06	5.78	5.53

487

488 **Table 2 The proportion of geological objects in trenches and the model from trenches**

Proportion (%)	TI1	TI2	TI3	TI4	TI5	TI6	TI7	3D TD	Initial	Result
	Resultt									
Artificial fill	8.79	3.98	0	0	5.47	1.75	1.62	4.62	1.78	1.72
Q ₃ ^{sj}	11.84	7.68	4.02	0.13	11.41	4.44	2.73	8.39	9.88	9.70
Q ₃ ^{x-3}	4.32	6.60	2.95	0.14	4.70	4.74	7.04	4.59	2.38	2.33
Q ₃ ^{x-2}	13.22	1.84	4.46	15.13	7.55	2.92	2.46	7.48	6.23	6.16
Q ₃ ^{x-1}	5.75	0	0	0	0	10.37	8.72	2.38	0.47	0.48
Granite	55.30	58.62	42.75	72.25	70.87	75.78	71.40	61.07	68.20	68.15
Fault	0.78	21.28	45.82	12.35	0	0	6.03	11.47	11.06	11.46

489

490 The connectivity analysis is a good tool for analyzing physical attributes, geometry and
 491 structures of geological objects. The two-point connectivity probability function (TCPF) can
 492 be used to describe the connectivity of geological object (Western et al., 2001), by the
 493 probability of two grid nodes u_1 and u_2 belonging to the same object. For two random grid
 494 nodes u_1 and u_2 , the TCPF can be calculated as:

495

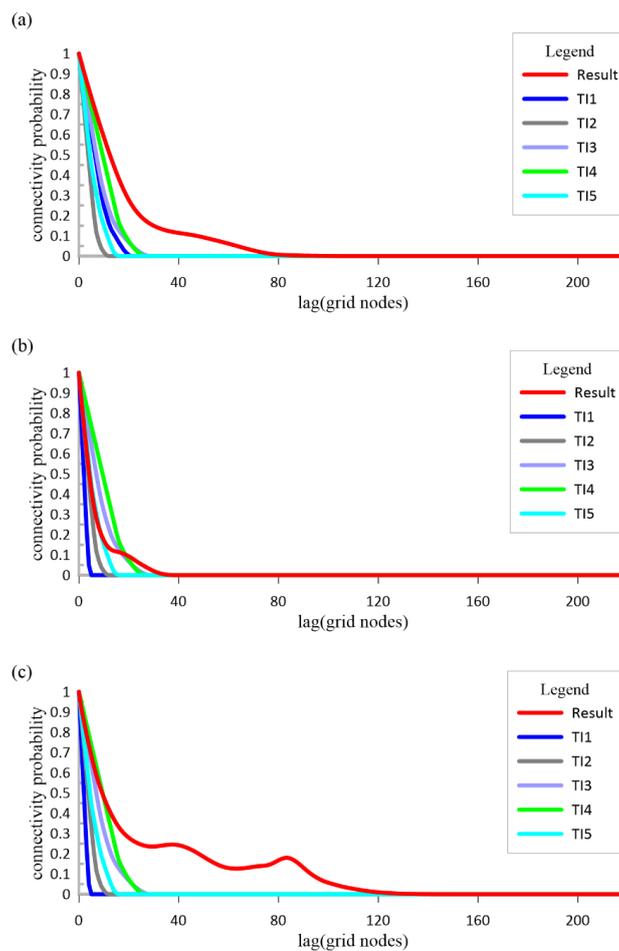
$$TCPF(d) = P(C(u_1) = C(u_2) \neq 0 | D(u_1, u_2) = d) \quad (8)$$



496 where $C(u_1)$ and $C(u_2)$ presents the connected mark of grid nodes u_1 and u_2 , $D(u_1, u_2)$ is the
497 distance of u_1 and u_2 , and $P(\cdot)$ means the ratio of the connected grid nodes to all the grid
498 nodes when the distance of two grid nodes is d .

499 In the vertical direction, the TCPF of the model decreases from 1 to 0 when the lag is
500 smaller than 80, while the maximum lag value is 30 in the TIs. In the northeast direction
501 (perpendicular to the profiles), the TCPF reaches zero until the lag value is 120 (in Fig. 12c).
502 Whereas, in the direction of parallel to the profiles, the TCPF decreases sharply to 0.2 where
503 the lag is smaller than 10 (Fig. 12b). Note that the trenches are not parallel to each other. In the
504 result of the second example, the geometry of the TCPF curves in different directions are totally
505 different (Fig. 13). Especially in the north-south direction, the fracture zone has more
506 connectivity because the TCPF is not zero even the lag distance reaches the maximum value
507 (Fig. 13b). The TCPF of the CF appears obvious anisotropy.

508



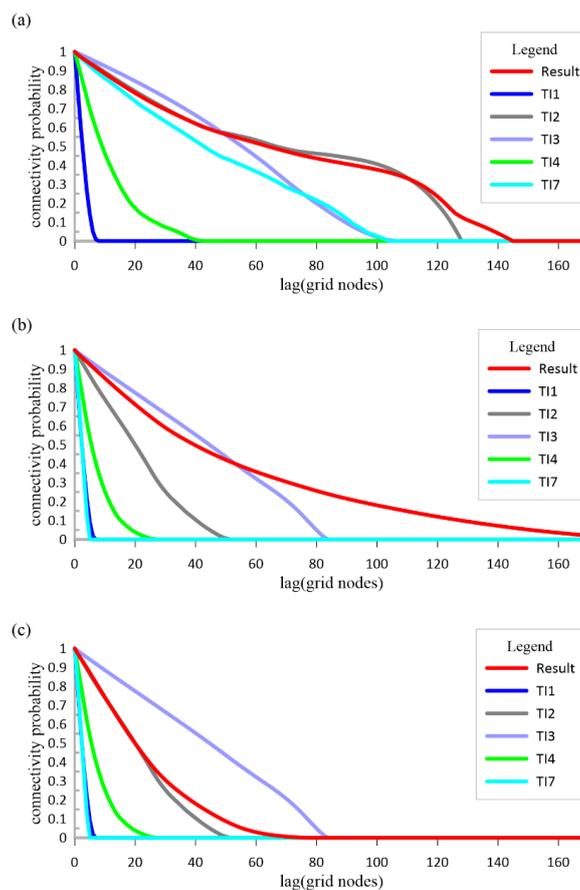
509

510 Fig. 12 The TCPF curves of profiles and the major model in vertical direction (a), parallel (b)

511

and perpendicular (c) to the profiles.

512



513

514 Fig. 13 The TCPF curves of the fracture zone in TIs and final results in vertical (a), S-N (b)

515

and E-W (c) directions.

516

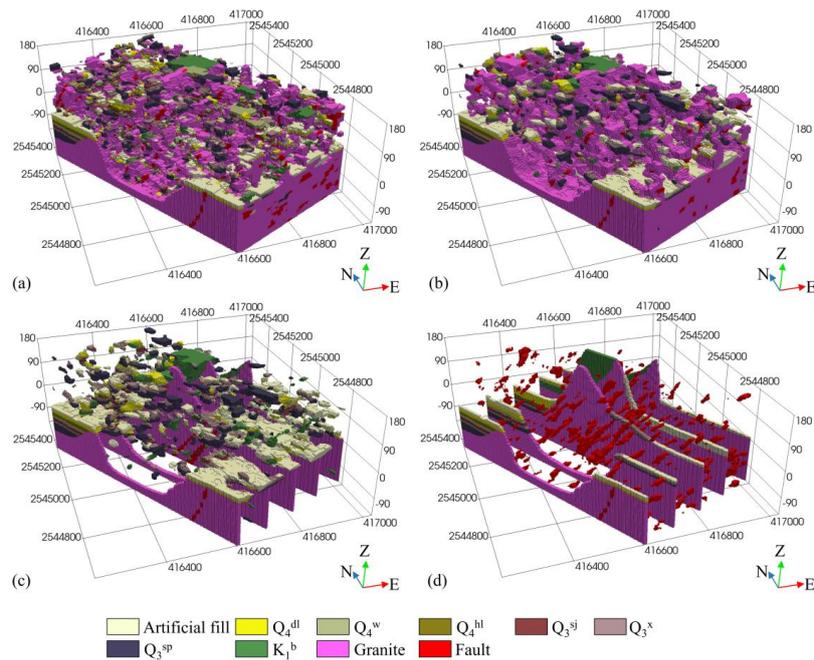
517 In this study, the simulation result is optimized by the EM-like iterative process as GOSIM
518 (Yang et al., 2016) and GOSIM-Extend (Hou et al., 2021) did. The initialization, however, is
519 realized with a different idea. Sub-surfaces of geological objects, constraints for initialization,
520 are constructed by ANNs that can simulate global spatial characteristics of the geological
521 surfaces. With the same modeling dataset as used above, the initial models built by GOSIM-
522 Extend appear unreasonable scenarios with wrong strata sequence (Fig. 14 and Fig. 15). In the



523 major model, the CF randomly distributes in the whole modeling area, and even the granite
524 appears above the Quaternary deposit (Fig. 14a and b). Although the GOSIM-Extend algorithm
525 optimizes the results overall, the geometry and distribution of geological objects are not
526 constrained well because the optimization is implemented based on the pattern differences. In
527 essence, it is a kind of local optimization. In addition, global spatial characteristics and
528 geological semantics are not considered in the GOSIM-Extend algorithm. Thus, the final
529 results simulated by the GOSIM-Extend algorithm still appear some problems like abnormal
530 stratigraphic sequence, discontinuity of faults as shown in Fig. 14 and 15.

531 In the presented method, the surface can be obtained by the ANNs before initialization.
532 Therefore, geological objects are in compliance with the surface (Fig. 9a and Fig. 10a) without
533 DEM data. Also, distributions of the Quaternary deposits and fault geometry are reasonable in
534 the final result by the presented algorithm (Fig. 9 and 10). The displacement of Quaternary
535 deposits on both sides of the fracture zone is obvious, which is in line with the understanding
536 of geologists. Errors of stratigraphic sequence exist in the initial models of two examples as
537 shown in Fig. 16, of which the proportion reaches 31.86% in the former model. And the
538 proportion with wrong stratigraphic sequences in the initial model of the second example is
539 21.05%. Therefore, these two examples illustrate that the acquisition and reconstruction of
540 global spatial features and the constraints of stratigraphic sequence are the key factors to
541 reconstructing the 3D structure of loose Quaternary deposits.

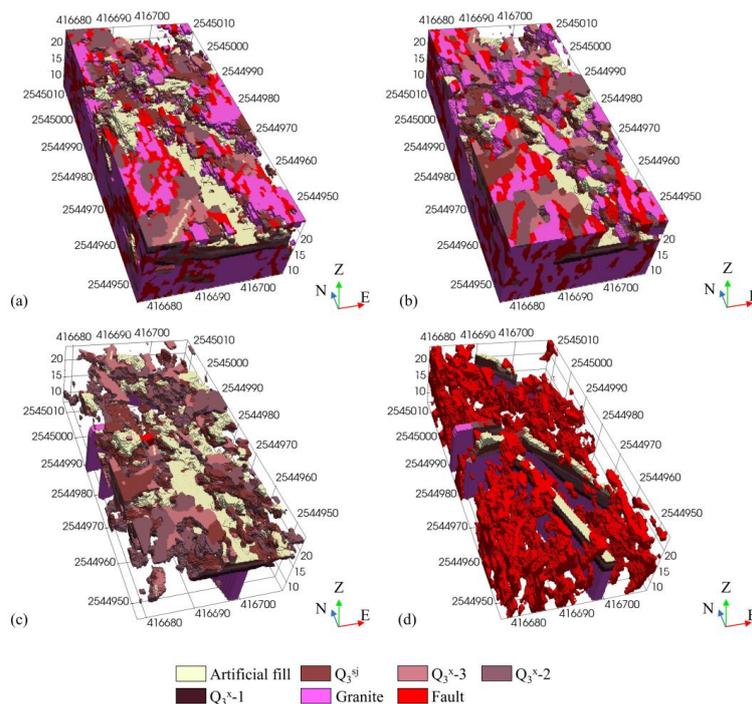
542



543

544 Fig. 14 The major model obtain by the GOSIM-Extend algorithm. (a) and (b) are the initial
545 model and the final result respectively. (c) presents strata distribution without granite and
546 fault. (d) shows the fault distribution.

547



548

549 Fig. 15 The model from trenches by the GOSIM-Extend algorithm. (a) and (b) are the initial
550 model and the final result respectively. (c) presents strata distribution without granite and
551 fault. (d) shows the fault distribution.

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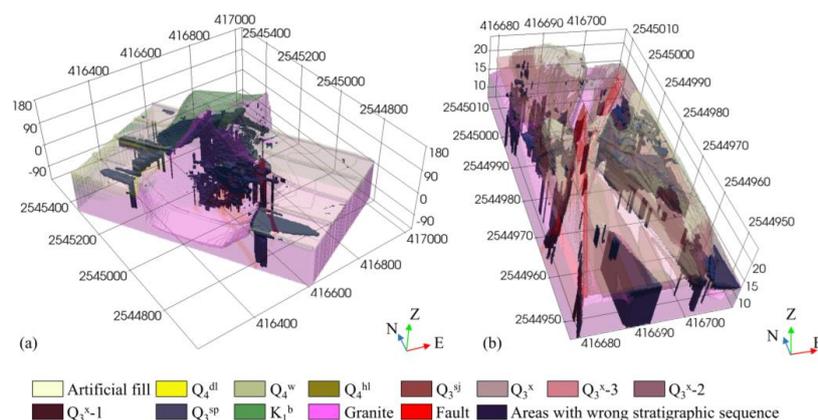


Fig. 16 Areas where stratigraphic sequence errors occur in the initialization of the major model (a) and model from trenches (b).

Global spatial characteristics of the Quaternary deposits and fault zone, in the presented algorithm, are extracted from the profiles and used to fit the geological surfaces by the ANN. The algorithm reconstructs the 3D model with high precision by integrating the stratigraphic sequence and global and local spatial patterns of each geological object. However, some local discontinuities still exist in the final result. For example, a few artificial landfill appears in the pool as shown in Fig. 9c. In addition, the simulation result from the ANN provides the global reasonable initial model, which will not impact pattern selection for the sequential sequence. Therefore, the sequential simulation is implemented by the similarity of the overlapped area rather than considering the global spatial characteristics of the model after paste. In the future study, the semantic constraints except for the stratigraphic sequence, like stratum continuity and spatial extent of the geological object should be added in the sequential simulation.

A multiple-layer full connected BP artificial neural network is used to study the spatial characteristics of geological objects. Theoretically, the convolutional neural network (CNN)



572 has a much better study ability for spatial structure. However, considering the relatively small
573 amount of known data, over-fitting is prone to appear in constructing the initial model
574 construction using CNN. Furthermore, the loss function of the artificial neural network is based
575 on the elevation of geological object contacts, which can reconstruct near horizontal structures
576 of the Quaternary deposits. Also, the proposed method is difficult to simulate fault and strata
577 simultaneously. Therefore, the fault or fracture zone, in this study, is built first and the strata
578 simulation is followed in initialization. In further study, to reconstruct complex geometry of
579 geological objects, a more reasonable loss function should be concerned with simulating
580 geological characteristics.

581 **5. Conclusion**

582 A novel approach to construct the 3D geological model is proposed by integrating MPS
583 and deep ANN, by using 2D profiles as the modeling dataset. The deep ANN is used to extract
584 and simulate the global characteristics of geological structures. Process of sequential
585 simulation and stratigraphic sequence calibration are implemented to build an initial model. To
586 obtain a reasonable final realization, an iterative MPS simulation process with a multi-scale
587 strategy is implemented. The presented algorithm, combines the advantages of MPS that can
588 reasonably reconstruct local spatial structures with the advantages of DL that can excavate and
589 reconstruct global spatial features from dataset.

590 The results of two concrete examples are given and discussed. The modeling results
591 illustrated that the DANN used in the method can extract and simulate global structures of
592 Quaternary deposits, and MPS simulation with the EM-like iteration process can optimize local
593 characteristics in results effectively. Extracting and reconstructing global spatial features and



594 the constraints of stratigraphic sequence are two key factors that impact the three-dimensional
595 reconstruction of the fault and the Quaternary deposit. The distribution and displacements of
596 sedimentary formation belonging to the Pleistocene provide new evidence for the latest activity
597 of the CF from the view of morphologic.

598

599 **Code/Data availability**

600 The code and data have not been disclosed for the time being

601

602 **Author contribution**

603 Hengguang Liu proposed the algorithm and carried out code writing, model construction
604 and analysis, writing the first draft of the paper, Weisheng Hou revised the article, and others
605 provided data and data processing. Everyone contributed to the article.

606

607 **Competing interests**

608 There is no competitive interest in this paper

609

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618

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