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Manuscript title: 'Massively Parallel Modeling and Inversion of Electrical Resistivity Tomography data using PFLOTRAN"

Authors: Piyoosh Jaysaval, Glenn E. Hammond, and Timothy C. Johnson

Dear Dr. Adrian Sandu,

Topical Editor, Geoscientific Model Development

We would like to thank you for giving us opportunity to submit the revised copy of the manuscript. Following the instructions provided at the submission webpage, we have uploaded files of our revision to the manuscript.

As you notice, we have revised the manuscript based on the referees' comments. Accordingly, we have uploaded a copy of the original manuscript marked with all the changes made during the revision process (namely, *PFLOTRAN_ERT_R1_Marked*.pdf). The new texts are blue underlined while the deleted original texts are in strikethrough red. Additionally, we have uploaded a clean copy of revised manuscript named *PFLOTRAN_ERT_R1_Clean*.pdf.

Our point-by-point responses to the comments raised by both reviewers are written in this file *PFLOTRAN_ERT_R1_Authors-Responses*.pdf. Moreover, detailed comments raised by the first reviewer, Dr. Mark Everett, are included in this file and appended at the end (*from Page 9 onwards*). The appended file also includes our point-by-point responses to all his comments.

Finally, we would like to take this opportunity to express our sincere thanks to both reviewers, Dr. Mark Everett and Dr. Michael Tso, who identified areas of our manuscript that needed corrections and modification.

We hope that you and the reviewers find our response and revision satisfactory for considering its publication in *Geoscientific Model Development*. Thank you.

Best regards,

Piyoosh Jaysaval, on behalf of all co-authors

Authors responses to the reviewers' comments

Dear Referees and Editor,

We thank you very much for your time reviewing the manuscript and providing valuable feedback to improve it. The manuscript has now been carefully revised following your comments/suggestions. Please see the following point-by-point response to your comments.

Reviewer 1:

Dear Dr. Mark Everett,

We appreciate your valuable remarks on the manuscript. Here are our responses to your comments:

1. main claim warranting publication is scalability

   There are two key aspects of the manuscript: the development of an open-source massively parallel electrical resistivity tomography (ERT) modeling and inversion capability within PFLOTRAN and demonstrating its scalability on supercomputers. The first aspect is important because, to our knowledge, no open-source massively parallel code exists that can solve large-scale (with up to a hundred million degrees of freedom or DOFs) ERT problems. The second part is indeed illustrating its strong scaling on supercomputers (linear speedup when the number of processes employed is increased on a fixed problem size). This allows us to solve large-scale ERT simulation problems in less time.

2. sections 2-3, 5-6 are not new and should be placed in appendices

   Section 2 provides brief info on the forward modeling along with a detailed description of the finite-volume (FV) method. To the best of our knowledge, the FV method, in the context of ERT modeling, has not been discussed before, and this section will be beneficial for the journal's readers. Section 3 provides details on the ERT inversion scheme, and we agree that this section may not be providing significantly new information. However, the section details our approach to inversion, which is essential for understanding the code and

its functionality. In the end, we have moved some of the unnecessary details from this section to Appendix A without which the section could still be explained.

Sections 5-6 provide benchmarking results for the modeling and inversion. Since the ERT modeling and inversion capabilities are newly developed from scratch while exploiting the infrastructure of PFLOTRAN (e.g., meshing, partitioning, and linear system solvers), it's crucial to benchmark the numerical results from the developed capabilities to demonstrate the accuracy of the results (within a tolerance level) before presenting the scalability tests. These results are new and have never been presented/published before. Therefore, we have kept these sections in the main text.

3. sections 4 and 7 need to be greatly expanded, and a discussion section added

We thank you for the comment. We have now simplified the explanation in Section 4 (Parallel Implementation) and provided details as appropriate since most of our parallel implementation strategy for ERT follows the general parallel strategy of PFLOTRAN code for flow and transport simulations. For more comprehensive details, relevant papers on the general PFLOTRAN parallel implementation strategy have also been cited. Section 7 has been expanded, and a Discussions section has also been added to the revised copy.

4. too much undefined jargon!

There might be some undefined terms, specifically for the parallel implementation. Though most of these words are well-known terms within the parallel computing community, we do recognize that these words may not be commonly used within the general geoscience community. So, we have simplified the explanation and provided as much details as possible wherever necessary.

5. for details see comments on pdf

Thank you. We have provided our point-by-point responses to these comments during the revision of the manuscript. Please see the appended pdf file (*from Page 9 of this file*) which includes your detailed comments and our point-by-point response.

Reviewer 2:

This article summarizes the extension of PFLOTRAN, a popular massively parallel code for subsurface flow and transport simulations for electrical resistivity tomography (ERT) modelling. ERT is one of the most popular geophysical methods.

The article is pitched to focus on the parallel capabilities and good scaling for forward modeling and Jacobian computation.

Dear Dr. Michael Tso,

We greatly appreciate your constructive feedbacks on the manuscript. Point-by-point responses to your comments are the following. The revised copy of the manuscript includes your suggested changes. These changes are cited in the following responses within parentheses including page and line numbers to direct you to the marked copy of the revised manuscript (*PFLOTRAN_ERT_R1_Marked*.pdf) to see them.

1. The authors have mentioned another well-established massively parallel code, E4D (L65). What is the motivation of including ERT capabilities in PFLOTRAN (given users won't typically expect geophysics capabilities in a flow and transport code). How different is the current ERT implementation different from E4D's? Was the goal to reproduce them as close as possible? Are there any plans to retire E4D?

   Thanks for this question. One of the main motivations for implementing the ERT module in PFLOTRAN is to have a native implementation of ERT modeling and inversion capability so that coupled flow, transport, and ERT simulations (and inversion) can be performed. A demonstration of such capability will be presented in our forthcoming paper(s). As explained in the manuscript, ERT implementation in PFLOTRAN is based on a structured-mesh finite-volume discretization, whereas in E4D (Johnson et al. 2010) it is based on an unstructured mesh finite-element discretization of the governing differential equation. In addition, the finite element implementation in E4D solves for degrees of freedom at the nodes (cell vertices) whereas the finite volume implementation for ERT in PFLOTRAN solves for unknowns at the cell center, which is aligned with the flow and

transport degrees of freedom, which are at cell centers. Moreover, E4D has additional capabilities, e.g., real-time and time-lapse (4D) inversion and metallic infrastructure modeling, which are not implemented in PFLOTRAN. We do not have any plans to retire E4D software which will continue to be used for standalone ERT modeling and inversion problems. The inclusion of ERT simulation in PFLOTRAN completes the capabilities necessary for native hydro-geophysical modeling and inversion within PFLOTRAN, which will be described in forthcoming papers. For example, this development is a necessary step toward using time-lapse ERT monitoring data to estimate hydrogeologic properties through joint inversion within PFLOTRAN. Please see the new Discussions section for our motivations of implementing ERT capabilities in PFLOTRAN (Pages 24, lines 489–512).

2. I understand the authors focus this work on ERT modeling only but it is still relevant to mention PFLOTORAN-E4D (Johnson et al. 2017), a now discontinued PFLOTRAN feature (I.e. the HYDROGEOPHYSICS mode) to call E4D for coupled hydrogeophysical simulations. I think it will help explain the motivation behind this work.

We appreciate the comment. PFLOTRAN-E4D joint implementation was based on getting flow and transport parameters from PFLOTRAN using a structured mesh then these parameters were needed to be interpolated on an unstructured tetrahedral mesh for E4D simulations. This process was cumbersome and has the potential for errors. On the other hand, the new implementation of ERT modeling and inversion natively in PPFLOTRAN avoids using any kind of interpolation because both flow & transport and ERT simulations use the same simulation grid. We have added a few sentences in the Discussions section of the revised manuscript for clarifications (Page 24, lines 500–507).

3. Are all the inversion and output options from E4D available in this new implementation?

As we wrote in response to your comment #1, E4D has more advanced ERT modeling and inversion capabilities than PFLOTRAN. For example, E4D can be used for real-time and time-lapse ERT inversion as well as metallic infrastructures can be modeled, however, PFLOTRAN lacks these advanced capabilities. Nevertheless, PFLOTRAN can perform coupled flow, transport, and ERT simulations natively and solve ERT problems with

hundreds of million unknowns (DOFs) in the linear system. Note that the coupled simulation capability will be presented in forthcoming paper(s).

4. RC1 suggests more details on parallel implementations. I think if it is not too different from PFLOTRAN in general, then a simple statement to state this fact will be sufficient. Any differences in parallel implementations should be highlighted.

Thanks for the suggestion. Indeed, our parallel implementation of ERT capabilities is not different from the existing PFLOTRAN's parallel implementation strategy for the flow and transport simulations. Therefore, we have kept our discussion on the parallel implementation as minimal as possible and cited relevant papers for more comprehensive details on the parallel implementation strategy within PFLOTRAN. (Pages 11–12, lines 243–286)

5. This work boasts the capability to model problems with very large DOFs. It will be helpful to discuss what practical problems may benefit from such capabilities. A modelling example with field data will be helpful. A comment on usability will be helpful too. For example, it essentially reads a PFLOTRAN input deck cards, so users familiar with PFLOTRAN can immediately take advantage of this new feature.

The capability to solve ERT simulation problems with hundreds of million DOFs is mainly motivated by the fact that significant efforts have been made recently in building massive supercomputers with hundreds of thousands or some even millions of computing processors/cores. But most of the existing ERT modeling and inversion codes are still restricted by using only a few hundred or thousand processors, and as a result, are restricted to solving problems with only up to about ten million DOFs. To avoid such limitations, we needed to implement massive parallelization of ERT capabilities, and PFLOTRAN, already having such parallelization infrastructure for the flow and transport simulations, was a natural fit to achieve this goal. Having capability to solve for up to a hundred million DOFs efficiently in parallel is a crucial requirement for large-scale ERT modeling and inversion problems in the future if model dimensions are significantly increased and/or finer grids are employed (Page 20, lines 417–419).

Moreover, thanks for your comment on the usability, we have added a few sentences on the same following your comment. Please see the Discussions section (Page 24, lines 492–494).

6. The example model runs and scalability tests are sufficient to illustrate the points made in the paper.

We appreciate this comment and agree with you.

7. I understand the public version/main branch of PFLOTRAN v4.0 does not include ERT capabilities. Make a note on which branch is copied to the repo.

Sorry for any confusion! The public version of PFOTRAN v4.0 does include the newly implemented ERT capabilities. Please read the *Code Availability* section for further details on how this version can be checked out and compiled from its public Git repository. Moreover, a snapshot of PFLOTRAN v4.0 is also uploaded to Zenodo along with all the examples presented in this paper. The latest master/main branch also includes all ERT capabilities presented in the manuscript, but it is likely that examples uploaded at Zenodo may not properly run using the latest master branch due to changes made to the input format as a result of ongoing development of the coupled flow, transport, and ERT capabilities. So, we would recommend using PFLOTRAN v4.0 for reproducing the presented results and using its functionalities for similar applications.

Finally, we would like to thank Dr. Mark Everett and Dr. Machael Tso once again for their constructive feedbacks and comments which have helped in improving the manuscript.

Best regards,

Piyoosh Jaysaval, on behalf of all co-authors

Johnson, T. C., Versteeg, R. J., Ward, A., Day-Lewis, F. D., and Revil, A.: Improved hydrogeophysical characterization and monitoring through parallel modeling and inversion of time-domain resistivity and induced-polarization data, GEOPHYSICS, 75, WA27–WA41, https://doi.org/10.1190/1.3475513, 2010.

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Massively Parallel Modeling and Inversion of Electrical Resistivity Tomography data using PFLOTRAN

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Abstract.

Electrical resistivity tomography (ERT) is a broadly accepted geophysical method for subsurface investigations. Interpretation of field ERT data usually requires the application of computationally intensive forward modeling and inversion algorithms. For large-scale ERT data, the efficiency of these algorithms depends on the robustness, accuracy, and scalability on

5 high performance computing resources. In this regard, we present a robust and highly scalable implementation of forward modeling and inversion algorithms for ERT data. The implementation is publicly available and developed within the framework of PFLOTRAN, an open-source, state-of-the-art massively parallel subsurface flow and transport simulation code. The forward modeling is based on a finite volume discretization of the governing differential equations, and the inversion uses a Gauss–Newton optimization scheme. To evaluate the accuracy of the forward modeling, two examples are first presented by

10 considering layered (1D) and 3D earth conductivity models. The computed numerical results show good agreement with the analytical solutions for the layered earth model and results from a well-established code for the 3D model. Inversion of ERT data, simulated for a 3D model, is then performed to demonstrate the inversion capability by recovering the conductivity of the model. To demonstrate the parallel performance of PFLOTRAN's ERT process model and inversion capabilities, large-scale scalability tests are performed by using up to $131,072$ processes on a leadership class supercomputer. These tests are performed

15 for the two most computationally intensive steps of the ERT inversion: forward modeling and Jacobian computation. For the forward modeling, we consider models with up to 122 million degrees of freedom in the resulting system of linear equations, and demonstrate that the code exhibits almost linear scalability on up to $8,192$ cores. On the other hand, the code shows perfectly linear scalability for the Jacobian computation, mainly because all computations are fairly evenly distributed over each core with no parallel communication.

1 Introduction

Direct current electrical resistivity tomography (ERT) is one of the oldest and key geophysical methods for shallow-subsurface investigations, having applications in areas such as groundwater (Dahlin, 2001; Johnson et al., 2012; Meyerhoff et al., 2014; Park et al., 2016; Greggio et al., 2018; Alshehri and Abdelrahman, 2021), mineral exploration (Badmus and Olatinsu, 2009; Bery et al., 2012; Uhlemann et al., 2018; Martínez et al., 2019), environmental monitoring and remediation (Rosales et al.,

25 2012; Rucker et al., 2013; Gabarrón et al., 2020; Rockhold et al., 2020; Kessouri et al., 2022), and engineering problems

(Dahlin, 1996; Rizzo et al., 2004; Lysdahl et al., 2017). It can also be used for few large-scale deep-subsurface investigations, e.g., for geothermal systems, active volcano imaging, and tectonic studies (Storz et al., 2000; Caputo et al., 2003; Johnson et al., 2010; Richards et al., 2010). Additional applications can also be found in detailed reviews by Slater (2007), Revil et al. (2012), Loke et al. (2013), Singha et al. (2022), and the references therein. In recent years, availability of multielectrode and

30 multichannel instrumentations permitted acquisition of massive amounts of ERT data consisting of tens of thousands or even hundreds of thousands of observations. Therefore, efficient inversion and interpretation of such massive datasets requires fast, accurate, and highly scalable forward modeling and inversion algorithms to simulate and invert ERT data in arbitrary 3D conductivity structures. This paper presents an open-source implementation of such ERT modeling and inversion algorithms.

ERT data simulation is performed by solving the electrostatic Poisson equation. Numerical methods are often needed to

35 solve the governing equation in arbitrary 3D conductivity structures. The most used numerical methods for 3D ERT modeling are the finite-difference (FD) method (Dey and Morrison, 1979; Spitzer, 1995; Penz et al., 2013), finite-element (FE) method (Coggon, 1971; Li and Spitzer, 2002; Rücker et al., 2006; Blome et al., 2009; Johnson et al., 2010; Ren et al., 2018), and integral equation method (Lee, 1975; Schulz, 1985; Méndez-Delgado et al., 1999). Among these methods, the FD and FE methods are the attractive choices for highly heterogeneous distributions of electrical conductivity in the subsurface. However,

40 application of the FD method is mostly limited to simple model geometries. On the contrary, the FE method has proven to be effective in accounting for complex geometries, specifically by using unstructured meshes (Rücker et al., 2006; Blome et al., 2009; Johnson et al., 2010; Ren et al., 2018).

There is another class of numerical methods, the finite-volume (FV) method, which can also be used effectively to account for complex geometries (Jahandari and Farquharson, 2014). The FV method is usually seen relatively close to the FD method

45 as it inherits the simplicity similar to the FD method in its implementation. Unlike the FD method which discretizes the differential form of the governing equation, the FV method, however, directly discretizes its integral form. Nevertheless, the FV method has rarely been considered for ERT simulation problems. In our literature search, we came across only Cockett et al. (2015) which implements the method for the ERT modeling.

On the other hand, ERT data inversion is a non-linear optimization problem to minimize a cost function that represents a

50 measure of the difference between observed and simulated ERT data. For large-scale 3D ERT data, the inversion is often performed iteratively by linearizing the optimization problem at each iteration, calculating a model update, updating a conductivity model, and subsequently simulating ERT data for the updated model to examine the new cost function. The processes continue until the cost function reduces to a predefined tolerance level. The model update is typically calculated using a gradient-based local optimization method, e.g., the steepest-descent, conjugate gradient, or Gauss–Newton method (Park and Van, 1991; Ellis

55 and Oldenburg, 1994; Zhdanov and Keller, 1994; Zhang et al., 1995; Günther et al., 2006; Johnson et al., 2010). The Gauss–Newton method has usually been preferred due to its high convergence rate. Indeed, the fast convergence occurs at the cost of intensive computations because the method requires building the Jacobian (or sensitivity) and/or Hessian matrices at each inversion iteration (Nocedal and Wright, 2006).

For large-scale 3D ERT data, forward modeling and inversion are computationally demanding jobs and may need hours,

60 if not days, of computing time if the underlying algorithms are not properly implemented to scale well on high performance

computing (HPC) resources or supercomputers. For such datasets, it is computationally infeasible to use desktop based ERT data processing software without exploiting HPC resources. Recently, a few open-source 3D ERT modeling and inversion codes have been developed to handle moderate sized ERT data, e.g., ResIPy (Blanchy et al., 2020), SimPEG (Cockett et al., 2015), and pyGIMLI (Rücker et al., 2017). However, these codes still lack full-scale parallel implementations to efficiently distribute computations over supercomputers. On the other hand, another open-source code, E4D, is highly scalable on HPC resources (Johnson et al., 2010; Johnson and Wellman, 2015). It can be run on hundreds or even thousands of computing cores of a supercomputer (Johnson et al., 2010). But despite this, the maximum number of computing cores that it can exploit is limited to the number of electrodes in a survey.

In this paper, we present an open-source implementation of massively parallel 3D ERT modeling and inversion algorithms. The forward modeling is based on the FV method and inversion employs the Gauss–Newton method. The computations are parallelized so that all available HPC resources can be exploited, provided they are beneficial. The algorithms are implemented within the framework of PFLOTRAN (https://pflotran.org) which is an open-source, state-of-the-art massively parallel subsurface flow and transport simulation code and has been used extensively for various subsurface applications (Hammond et al., 2012, 2014). Although we are presenting only the ERT modeling and inversion capabilities here, the main motivation of implementing these capabilities in PFLOTRAN is to perform coupled modeling and inversion of ERT, flow, and/or transport problems.

The paper is structured as follows: we first outline a detailed background theory on the ERT forward modeling including the governing Poisson equation and FV method to solve it numerically. We then describe the ERT inversion by defining it as a nonlinear optimization problem, and the Gauss–Newton method to find a minimizer of the problem along with details on computing the Jacobian matrix. Thereafter, we discuss the parallel implementations of the modeling and inversion algorithms. We subsequently benchmark numerical results computed using PFLOTRAN against analytical solutions for a layered (1D) earth model and numerical solutions for a 3D earth model. Next, a 3D inversion result is presented to illustrate the inversion capability. Scalability tests are then performed to demonstrate the parallel performance of the PFLOTRAN ERT process model, before drawing our final concluding remarks.

2 Forward modeling

Forward modeling is a way of simulating ERT data for any given 1D, 2D, or 3D electrical conductivity models of the earth. For 1D conductivity models, the electrical potentials generated by an induced point current source can be obtained by using analytical or semi-analytical methods (Das, 1995; Pervago et al., 2006). However, for multi-dimensional heterogeneous conductivity models with complex geometries, one must use numerical methods to compute the electrical potential. This section describes the numerical computations of the electrical potentials in a 3D medium and their superposition to produce simulated ERT data.

2.1 Poisson's equation

The ERT forward modeling is governed by the following electrostatic Poisson equation

$$\boldsymbol{\nabla} \cdot \sigma(\mathbf{r})\boldsymbol{\nabla}\phi(\mathbf{r}) = -I\delta(\mathbf{r} - \mathbf{r}_\mathrm{s}),\tag{1}$$

95   where ϕ is the electrical potential at position $\mathbf{r}$ for a given conductivity $\sigma(\mathbf{r})$ due to a current I injected through a point located at $\mathbf{r}_\mathrm{s}$; and δ is the Dirac delta function. For brevity, hereinafter, the dependencies on $\mathbf{r}$ will be omitted except where necessary to show.

If side and bottom boundaries $\partial\Gamma$ of the 3D computational domain Γ are located at sufficiently far from the current injection location $\mathbf{r}_\mathrm{s}$, the potential and the normal component of the current density $\sigma\frac{\partial\phi}{\partial n}$ asymptotically approach zero. On the top or

100   surface boundary $\partial\Gamma_\mathrm{S}$, the normal current density $\sigma\frac{\partial\phi}{\partial n}$ is zero as no current flows through the earth surface along the outward normal vector. Consequently, we can impose zero Dirichlet or Neumann boundary conditions at the side boundaries and zero Neumann boundary at the surface boundary

$$\phi|_{\partial\Gamma} = 0 \quad \text{or} \quad \frac{\partial\phi}{\partial n}|_{\partial\Gamma} = 0, \quad \text{and} \quad \frac{\partial\phi}{\partial n}|_{\partial\Gamma_\mathrm{S}} = 0.\tag{2}$$

To simulate ϕ in arbitrary 3D conductivity structures, Eq. (1) needs to be solved using numerical methods subject to the

105   boundary conditions in Eq. (2).

2.2 Finite volume method

The FV method is implemented to compute ϕ by solving Eq. (1). The 3D computational domain is first discretized into a set of control volumes knows as cells (Fig. 1). These cells can be of arbitrary shape and size, but they must be bounded by planar surfaces for our implementation. The bounding discrete surfaces are known as cell faces.

110   Let us consider the governing Poisson equation (Eq. 1) and integrate it over the ith cell of the domain Γ. This gives

$$\int_{V_i} \boldsymbol{\nabla} \cdot \sigma\boldsymbol{\nabla}\phi\, dV = -\int_{V_i} I\delta(\mathbf{r} - \mathbf{r}_\mathrm{s})\, dV,\tag{3}$$

where V_i is the volume of the ith cell.

By applying the Gauss divergence theorem in Eq. (3) and using the translation property of the Dirac delta function, we get

$$\int_{S_i} \sigma\boldsymbol{\nabla}\phi \cdot \hat{\mathbf{n}}\, dS = -I|_{\mathbf{r}=\mathbf{r}_\mathrm{s}},\tag{4}$$

115   where S_i is the surface area of the bounding faces of ith cell, and dS is a differential area on the bounding surface with a unit surface normal $\hat{\mathbf{n}}$ pointing outward.
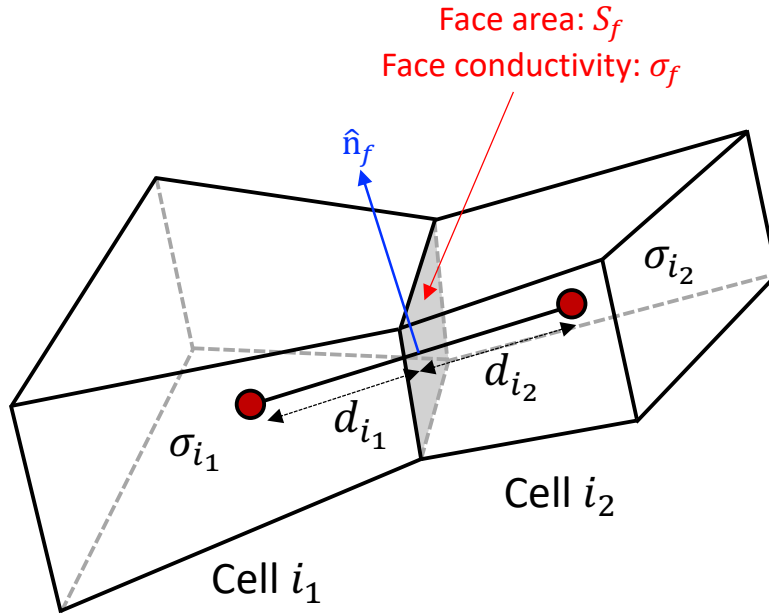
Figure 1. Two adjacent FV cells i_1 and i_2 having conductivity σ_{i_1} and σ_{i_2}, respectively. The distances of the common face f from the center of cells i_1 and i_1, respectively, are d_{i_1} and d_{i_2}.

If the ith cell is bounded by N_f faces, Eq. (4) can be replaced by an equivalent discrete form

$$\sum_{f=1}^{N_\mathrm{f},i} \int_{S_f} \sigma \boldsymbol{\nabla}\phi \cdot \hat{\mathbf{n}}\, dS = \sum_{f=1}^{N_\mathrm{f},i} \sigma_f (\boldsymbol{\nabla}\phi)_f \cdot \hat{\mathbf{n}}_f\, S_f = -I|_{\mathbf{r}=\mathbf{r}_\mathrm{s}}, \tag{5}$$

where f represents faces and S_f is the area of the bounding face f.

120   Using a two-point flux approximation for each face term in Eq. (5) by considering two adjacent cells i_1 and i_2 shown in Fig. 1, we get

$$\sum_{f=1}^{N_\mathrm{f},i} \sigma_f (\boldsymbol{\nabla}\phi)_f\, S_{nf} = -I|_{\mathbf{r}=\mathbf{r}_\mathrm{s}}, \tag{6}$$

where S_{nf} is the area of the common face f projected onto the plane normal to the vector connecting centers of cells i_1 and i_2.

The conductivity at the face, σ_f, can be obtained by averaging the conductivity of cells i_1 and i_2. We use the following harmonic distance weighted scheme to calculate σ_f

$$\sigma_f = \frac{\sigma_{i_1}\sigma_{i_2}(d_{i_1}+d_{i_2})}{\sigma_{i_1}d_{i_2}+\sigma_{i_2}d_{i_1}}, \tag{7}$$

where σ_{i_1} and σ_{i_2} are the conductivities of cells i_1 and i_2, and d_{i_1} and d_{i_2} are the distances of the projected face from the center of cells i_1 and i_1, respectively (Fig. 1).

The value of the flux at the face f, i.e., $(\boldsymbol{\nabla}\phi)_f$, is obtained by using the approximation

$$(\boldsymbol{\nabla}\phi)_f = \frac{\phi_{i_2}-\phi_{i_1}}{d_{i_1}+d_{i_2}}. \tag{8}$$

Substituting Eqs. (7) and (8) in Eq. (6), and assuming that the computational domain Γ is subdivided into N_m FV cells, i.e., $\Gamma = \cup_{i=1}^{N_\mathrm{m}}\Gamma^i$ where $\Gamma^i \subset \mathbb{R}^3$ is the ith cell domain, we have

$$\sum_{i=1}^{N_\mathrm{m}} A_{i,k}\phi_k = s_i, \tag{9}$$

where $A_{i,k}$ is the local coefficient matrix and s_i is the source vector for the ith cell.

The assembly of the local coefficient matrix into a global system, upon carrying out the summation in Eq. (9), results into the system of linear equations

$$\mathbf{A}\boldsymbol{\Phi} = \mathbf{s}, \tag{10}$$

where $\mathbf{A} \in \mathbb{R}^{N_\mathrm{m}\times N_\mathrm{m}}$ is the symmetric system matrix resulting from the FV discretization of Eq. (1), $\boldsymbol{\Phi} \in \mathbb{R}^{N_\mathrm{m}}$ is a vector containing the unknown electric potential for all cells, and $\mathbf{s} \in \mathbb{R}^{N_\mathrm{m}}$ is the source vector resulting from the right-hand side of Eq. (1). To solve this linear system, we utilize various options of iterative solvers available from PETSc (Portable, Extensible Toolkit for Scientific Computation) (Balay et al., 2021). Each electrode in an ERT survey results in a different vector $\mathbf{s}$. Thus, for N_e electrodes, we need to solve Eq. (10) for N_e times with a different right-hand side. The solutions provide electrical potential at each cell center of the discrete computational domain and for each current injection location. Finally, the potential distribution for any combination of source/sink electrodes can be obtained by subtracting the potential associated with the sink electrodes from the source electrodes potential (Johnson et al., 2010).

3 Inversion

ERT Inversion is a procedure of estimating electrical conductivity of the earth from a set of observed ERT data and/or some imposed constraints. The ERT inverse problem is a non-linear optimization problem. For large-scale 3D ERT data, the inversion

is often performed iteratively by linearizing the problem at each iteration. This section details our approach of performing inversion of ERT data.

3.1 Optimization problem

We pose the inverse problem as a non-linear minimization problem of finding a conductivity model

$$\mathbf{m}_{\text{inv}} = \arg\min_{\mathbf{m}\in\mathbf{M}} \psi(\mathbf{m}), \tag{11}$$

where $\mathbf{m} = (m_1, m_2, ..., m_{N_\text{m}})^T$ is an unknown model parameters vector in the model set $\mathbf{M}$. Since the conductivity within subsurface can vary over several order of magnitudes, the inversion operations are performed for logarithmic conductivity, i.e., we assume $\mathbf{m} = \ln\boldsymbol{\sigma}$. This also enforces positivity of conductivity values. The quantity ψ is the cost function, defined as

$$\psi(\mathbf{m}) = \psi_\text{d}(\mathbf{m}) + \beta\,\psi_\text{m}(\mathbf{m}), \tag{12}$$

where ψ_d is the data cost function that represents a measure of the misfit between the observed d^{obs} and synthetic d^{syn} ERT data; ψ_m is the regularization cost function representing a measure of the variation of the model parameters, and β is a regularization parameter.

A least-squares method is used to minimize ψ (Nocedal and Wright, 2006). Thus, ψ_d and ψ_m can be expressed as

$$\psi_\text{d} = [\mathbf{d}^{\text{obs}} - \mathbf{d}^{\text{syn}}(\mathbf{m})]^T \mathbf{W}_\text{d}^T \mathbf{W}_\text{d} [\mathbf{d}^{\text{obs}} - \mathbf{d}^{\text{syn}}(\mathbf{m})], \tag{13}$$

$$\psi_\text{m} = (\mathbf{m} - \mathbf{m}_{\text{ref}})^T \mathbf{W}_\text{m}^T \mathbf{W}_\text{m} (\mathbf{m} - \mathbf{m}_{\text{ref}}), \tag{14}$$

where vectors $\mathbf{d}^{\text{obs}}$ and $\mathbf{d}^{\text{syn}}$ are N_d dimensional vectors containing the observed and synthetic ERT data. $\mathbf{W}_\text{d} \in \mathbb{R}^{N_\text{d} \times N_\text{d}}$ is the data weighting matrix, usually a diagonal matrix, whose elements are estimated based on the standard deviation of the noise; $\mathbf{W}_\text{m} \in \mathbb{R}^{N_\text{m} \times N_\text{m}}$ is the regularization matrix, and $\mathbf{m}_{\text{ref}}$ is a N_m dimensional vector containing the logarithmic of reference conductivity model parameters.

3.2 Gauss-Newton method

To find a minimizer $\mathbf{m}_{\text{inv}}$ of the cost function in Eq. (12), we use a Gauss-Newton minimization approach. This is an iterative approach where after linearizing $\psi(\mathbf{m}_k)$ in the vicinity of a model $\mathbf{m}_k$ for a small model perturbation $\delta\mathbf{m}_k$ at the kth iteration, we obtain a set of linear equations or the normal equation

$$\boldsymbol{\mathcal{H}}_k \delta\mathbf{m}_k = -\mathbf{g}_k, \tag{15}$$

where the Gauss-Newton Hessian $\boldsymbol{\mathcal{H}}_k \in \mathbb{R}^{N_\mathrm{m} \times N_\mathrm{m}}$ matrix is given by

175
$$\boldsymbol{\mathcal{H}}_k = \mathfrak{Re}\{\boldsymbol{\mathcal{J}}_k^T \mathbf{W}_\mathrm{d}^T \mathbf{W}_\mathrm{d} \boldsymbol{\mathcal{J}}_k\} + \beta \mathbf{W}_\mathrm{m}^T \mathbf{W}_\mathrm{m}, \tag{16}$$

and the gradient vector by

$$\mathbf{g}_k = -\mathfrak{Re}[\boldsymbol{\mathcal{J}}_k^T \mathbf{W}_\mathrm{d}^T \mathbf{W}_\mathrm{d} \{\mathbf{d}^\mathrm{obs} - \mathbf{d}^\mathrm{syn}\}] + \beta \mathbf{W}_\mathrm{m}^T \mathbf{W}_\mathrm{m} \{\mathbf{m}_k - \mathbf{m}_\mathrm{ref}\}. \tag{17}$$

The matrix $\boldsymbol{\mathcal{J}}_k$ is the Jacobian matrix also known as the sensitivity or the Fréchet derivative matrix. Following Johnson et al. (2010), we implement a parallel conjugate-gradient least-square (CGLS) solver (Hestenes and Stiefel, 1952) to solve

180   the normal Eq. (15). The normal equation is first reformulated into an equivalent least-squares problem and then solved for the model update $\delta\mathbf{m}_k$ using only the Jacobian matrix $\boldsymbol{\mathcal{J}}_k$ without explicitly forming the Hessian matrix $\boldsymbol{\mathcal{H}}_k$. As $N_\mathrm{m} \gg N_\mathrm{d}$ for typical ERT surveys, this approach avoids massive computational cost associated with the explicit formation of $\boldsymbol{\mathcal{H}}_k$. We therefore only need to compute $\boldsymbol{\mathcal{J}}_k$ at each iteration, which is also a computationally intensive step. The effective computation of $\boldsymbol{\mathcal{J}}_k$ is explained in the next subsection 3.3.

185   The solution of Eq. (15) gives a model update vector $\delta\mathbf{m}_k$ at the kth iteration such that a new model

$$\mathbf{m}_{k+1} := \mathbf{m}_k + \alpha\delta\mathbf{m}_k \tag{18}$$

decreases the cost function ψ, i.e., $\psi(\mathbf{m}_{k+1}) < \psi(\mathbf{m}_k)$. There is a common practice to find step-length α using a line search method (Nocedal and Wright, 2006); however, from our experience, a full step-length, i.e., $\alpha = 1$, works well for the ERT data inversion.

190   In our implementation, the Gauss-Newton iteration usually starts with an average apparent conductivity model as an initial model and continues until the data misfit drops below a predefined tolerance level (defined by a chi-squared data misfit $\chi^2 = \phi_d/N_\mathrm{d} \leq 1$) or it reaches a predefined maximum number of iterations. Furthermore, a predefined input conductivity model can also be used as an initial model in case it can be estimated from the existing geological and/or geophysical information. After the inversion converges, it provides the minimizer $\mathbf{m}_\mathrm{inv}$ which represents the inverted conductivity model $\boldsymbol{\sigma}_\mathrm{inv} = \exp(\mathbf{m}_\mathrm{inv})$.

195   ## 3.3 Jacobian computation

Calculating $\boldsymbol{\mathcal{J}}$ at each iteration is one of the most computationally intensive steps in Gauss-Newton inversion. Therefore, an efficient $\boldsymbol{\mathcal{J}}$ drives the robustness of the developed inversion scheme and maximizes its scalability. The Jacobian matrix characterizes the change in the synthetic ERT data $\mathbf{d}^\mathrm{syn}$ relative to a change in the model parameters $\mathbf{m}$, and is defined as the partial derivatives of $\mathbf{d}^\mathrm{syn}$ with respect to $\mathbf{m} = \ln\boldsymbol{\sigma}$, i.e.,

200
$$\mathcal{J}_{j,k} = \frac{\partial d_j^\mathrm{syn}}{\partial m_k} = \sigma_k \frac{\partial d_j^\mathrm{syn}}{\partial \sigma_k}; \quad j = 1, 2, ..., N_\mathrm{d} \quad \text{and} \quad k = 1, 2, ..., N_\mathrm{m}. \tag{19}$$

An adjoint state method is used to effectively compute the Jacobian matrix. Let A and B be the source and sink electrodes located at $\mathbf{r}_A$ and $\mathbf{r}_B$, and M and N be the two measuring or receiving electrodes located at $\mathbf{r}_M$ and $\mathbf{r}_N$. The potential difference between M and N can be obtained using the potential computed due to the source A at M and N, i.e., ϕ_{AM} and ϕ_{AN}, and the sink B at M and N, i.e., ϕ_{BM} and ϕ_{BN}. A simplified four-electrodes Wenner configuration is shown in Fig. 2. Note that, contrary to this configuration, electrodes can be placed in any other configurations, e.g., Schlumberger, pole-pole, pole-dipole, dipole-dipole, and gradient arrays, among others (Dahlin and Zhou, 2004).
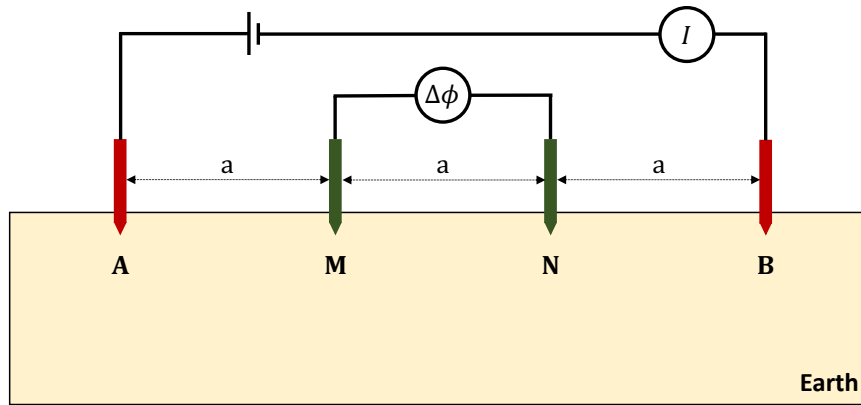
Figure 2. Wenner electrode configuration: four electrodes A, B, M, and N are deployed in-line with an equal spacing a between the two neighboring electrodes. Electrodes A and B act as the source and sink electrodes where current I is injected, while electrodes M and N act as the receiving electrodes measuring a potential difference $\Delta\phi$.

Let's assume that $\mathbf{p}_M$ and $\mathbf{p}_N$ are two interpolation vectors and $\mathbf{\Phi}_A$ and $\mathbf{\Phi}_B$ are potential distributions due to the source A and sink B such that

$$\phi_{AM} = \mathbf{p}_M^T \mathbf{\Phi}_A, \quad \phi_{AN} = \mathbf{p}_N^T \mathbf{\Phi}_A, \quad \phi_{BM} = \mathbf{p}_M^T \mathbf{\Phi}_B, \quad \text{and} \quad \phi_{BN} = \mathbf{p}_N^T \mathbf{\Phi}_B. \tag{20}$$

Therefore, the jth simulated potential difference between the receiving electrodes M and N can be obtained as

$$d_j^{\text{syn}} = \phi_{AM} - \phi_{AN} - \phi_{BM} + \phi_{BN}. \tag{21}$$

Using Eq. (20) in Eq. (21), we get

$$d_j^{\text{syn}} = \mathbf{p}_M^T (\mathbf{\Phi}_A - \mathbf{\Phi}_B) - \mathbf{p}_N^T (\mathbf{\Phi}_A - \mathbf{\Phi}_B). \tag{22}$$

Taking derivative of Eq. (22) with respect to conductivity m_k of kth cell yields

$$215 \quad \frac{\partial d_j^{\mathrm{syn}}}{\partial m_k} = \mathbf{p}_{\mathrm{M}}^T \frac{\partial}{\partial m_k}(\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}}) - \mathbf{p}_{\mathrm{N}}^T \frac{\partial}{\partial m_k}(\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}}). \tag{23}$$

Furthermore, taking derivative of Eq. (10) with respect to m_k yields

$$\frac{\partial \mathbf{A}}{\partial m_k}\mathbf{\Phi} + \mathbf{A}\frac{\partial \mathbf{\Phi}}{\partial m_k} = \mathbf{0}, \tag{24}$$

where we used $\partial \mathbf{s}/\partial m_k = 0$ as the source does not depend on the conductivity.

If $\mathbf{A}$ is invertible, rearranging Eq. (24) gives

$$220 \quad \frac{\partial \mathbf{\Phi}}{\partial m_k} = -\mathbf{A}^{-1}\frac{\partial \mathbf{A}}{\partial m_k}\mathbf{\Phi}. \tag{25}$$

Using Eq. (25) in Eq. (23), we get

$$\frac{\partial d_j^{\mathrm{syn}}}{\partial m_k} = -\mathbf{p}_{\mathrm{M}}^T \mathbf{A}^{-1}\frac{\partial \mathbf{A}}{\partial m_k}(\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}}) + \mathbf{p}_{\mathrm{N}}^T \mathbf{A}^{-1}\frac{\partial \mathbf{A}}{\partial m_k}(\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}}). \tag{26}$$

As $\partial d_j^{\mathrm{syn}}/\partial m_k$ is a scalar quantity, using the property $\mathbf{x}^T\mathbf{y} = \mathbf{y}^T\mathbf{x}$ for two vectors $\mathbf{x}$ and $\mathbf{y}$, Eq. (26) yields

$$\frac{\partial d_j^{\mathrm{syn}}}{\partial m_k} = -(\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}})^T \frac{\partial \mathbf{A}}{\partial m_k}(\mathbf{A}^{-1}\mathbf{p}_{\mathrm{M}} - \mathbf{A}^{-1}\mathbf{p}_{\mathrm{N}}), \tag{27}$$

225   where $\mathbf{A}^T = \mathbf{A}$ is used due to its symmetry.

If the same interpolation scheme is used for placing the current electrodes within the discretized cells and recording the potential at electrodes from the cell potentials, we will have $\mathbf{A}^{-1}\mathbf{p}_{\mathrm{M}} = -\mathbf{\Phi}_{\mathrm{M}}$ and $\mathbf{A}^{-1}\mathbf{p}_{\mathrm{N}} = -\mathbf{\Phi}_{\mathrm{N}}$. Therefore,

$$\frac{\partial d_j^{\mathrm{syn}}}{\partial m_k} = (\mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}})^T \frac{\partial \mathbf{A}}{\partial m_k}(\mathbf{\Phi}_{\mathrm{M}} - \mathbf{\Phi}_{\mathrm{N}}). \tag{28}$$

Assuming $\mathbf{\Phi}_{\mathrm{S}} = \mathbf{\Phi}_{\mathrm{A}} - \mathbf{\Phi}_{\mathrm{B}}$ and $\mathbf{\Phi}_{\mathrm{R}} = \mathbf{\Phi}_{\mathrm{M}} - \mathbf{\Phi}_{\mathrm{N}}$, where $\mathbf{\Phi}_{\mathrm{S}}$ is the net potential due to the source and sink electrodes A and
230   B; and $\mathbf{\Phi}_{\mathrm{R}}$ is the net potential due to source and sink assumed to be located, respectively, at the receiving electrodes M and N, this results in

$$\frac{\partial d_j^{\mathrm{syn}}}{\partial m_k} = \mathbf{\Phi}_{\mathrm{S}}^T \frac{\partial \mathbf{A}}{\partial m_k}\mathbf{\Phi}_{\mathrm{R}}. \tag{29}$$

Equation (29) is valid for any numbers of the source/sink electrodes and the receiving electrodes as well as any of their combinations. One only needs to compute the net potentials due to the source/sink electrodes $\mathbf{\Phi}_{\mathrm{S}}$ and receiving electrodes $\mathbf{\Phi}_{\mathrm{R}}$
235   using the superposition principle.

4 Parallel implementation

To execute simulations in parallel, domain decomposition is employed to distribute the discrete computational domain across (computer) processes using a logical decomposition along the three principal axes (calculated by PETSc or explicitly defined by the user) for structured grids, or in the case of unstructured grids, the ParMETIS parallel graph partitioner (Karypis and

240 Schloegel, 2013) is employed to divide the domain and maximize load balance. All interprocessor communication is carried out with MPI (Message Passing Interface), and PETSc's parallel data structures facilitate much of the MPI communication.

Efficient partitioning and the overlapping of communication with computation helps improve parallel performance. The goal is to maximize the time spent in computation and minimize interprocessor communication. Efficient partitioning can be accomplished by dividing the domain evenly and minimizing the number and size of (MPI) messages passed between

245 processes.

PFLOTRAN runs most efficiently when at least 10,000 degrees of freedom (DOFs) or unknowns are assigned to each process (Hammond et al., 2014). This 10,000 DOFs threshold applies for PFLOTRAN ERT simulations as each grid cell has a single degree of freedom. For increasingly complicated reactive transport simulations with many DOFs per grid cell, the number of DOFs per process can drop well below 10,000, since the reactive transport problem is more computational demanding (i.e.,

250 many more floating point operations per grid cell), and the linear system of equations (solved in the Newton-Raphson method) are diagonally dominant and easier to precondition using cheap parallel preconditions such as block Jacobi with ILU[0] in each block (Hammond et al., 2005, 2014).

Another factor limiting parallel performance is the scalability of linear Krylov solvers. Mills et al. (2009) demonstrates that the vector inner products (essentially, global reductions) performed within Krylov solvers become increasingly expensive as

255 tens of thousands of processes are employed to solve a problem. As more compute nodes are added to solve the problem on increasing numbers of processes, additional switches within the high-performance communication fabric must be traversed, and each switch introduces additional latency to communication time. Global reductions are known to be latency bound. Mills et al. demonstrates that Krylov solver parallel performance (i.e., scalability) tends to degrade beyond several tens of thousands of processes.

260 No special pre- or post-processing is required to execute a PFLOTRAN simulation in parallel. Parallel file I/O is through HDF5, and the code handles the distribution and collection of all parameters and (serially-defined) datasets to and from the computer processes with the exception of observation points. PFLOTRAN writes observation files locally on each process (if the process owns at least one observation point), appending the process ID to the filename of the ASCII-formatted file.

5 Modeling benchmarking results

265 To examine the accuracy of the PFLOTRAN ERT process model, simulation results are compared against solutions obtained using well established analytical and numerical methods. Two cases are considered for the comparison: three-layer earth and 3-D earth resistivity models. All benchmarking simulations were performed on the Deception Supercomputer housed at the

Pacific Northwest National Laboratory. It is composed of 96 compute nodes where each node has 64-core AMD EPYC 7502 processors running at 2.5 GHz boost to 3.35 GHz with 256 GB of memory.

5.1 Layered earth model

Let us consider the layered earth or 1D model shown in Fig. 3. The model is composed of three layers, whose resistivity (ρ) and thickness (t) are [100 Ωm, 30 m], [300 Ωm, 30 m], and [10 Ωm, half-space], respectively, from the top to bottom. The dimension of the model is $400 \times 400 \times 100$ m^3. The model boundaries are extended to ± 3000 m in the x- and y-directions, and 3000 m in the z-direction to accommodate zero Dirichlet boundary condition at the side and bottom boundaries. These boundary extensions are not shown in Fig. 3. Vertical electrical sounding (VES) data are simulated at the center of the model considering a Wenner configuration. This configuration consists of four electrodes A, B, M, and N, which are deployed in-line with an equal spacing a between the two neighboring electrodes (Fig. 2). Electrodes A and B again act as the current source and sink electrodes, while electrodes M and N as the potential receiving electrodes. Starting with a smaller value of a for a shallow depth investigation, the value is progressively increased to examine deeper depths. In our case, we start with a minimum value $a_{\min} = 8$ m and progressively increase it to a maximum $a_{\max} = 132$ m following $a = a_{\min} + i\delta a$, where $\delta a = 4$ m and $i = 0, 1, 2, ..., 31$. This results in $N_d = 32$ VES sounding recordings using $N_e = 128$ electrodes.
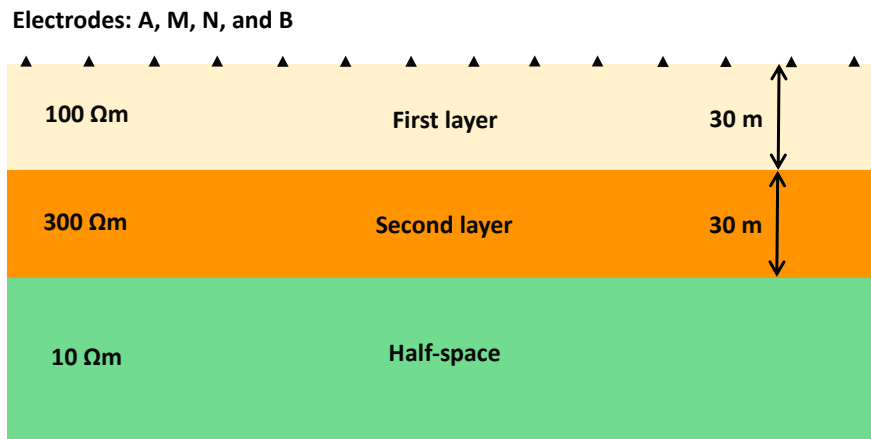
Figure 3. Vertical cross-section of a three-layer earth resistivity model used for benchmarking PFLOTRAN results against the analytical solutions.

To simulate the VES data, the model is discretized using a mix of uniform and non-uniform cell sizes in the x-, y-, and z-directions. The main computational domain is discretized with a uniform grid spacings of 2 m in the x- and y-directions and 1 m in the z-direction. The boundary regions are discretized with severely stretched non-uniform grid spacings following Jaysaval et al. (2014). The discretization results in a grid with $240 \times 240 \times 120$ cells. Therefore, using the FV method to simulate
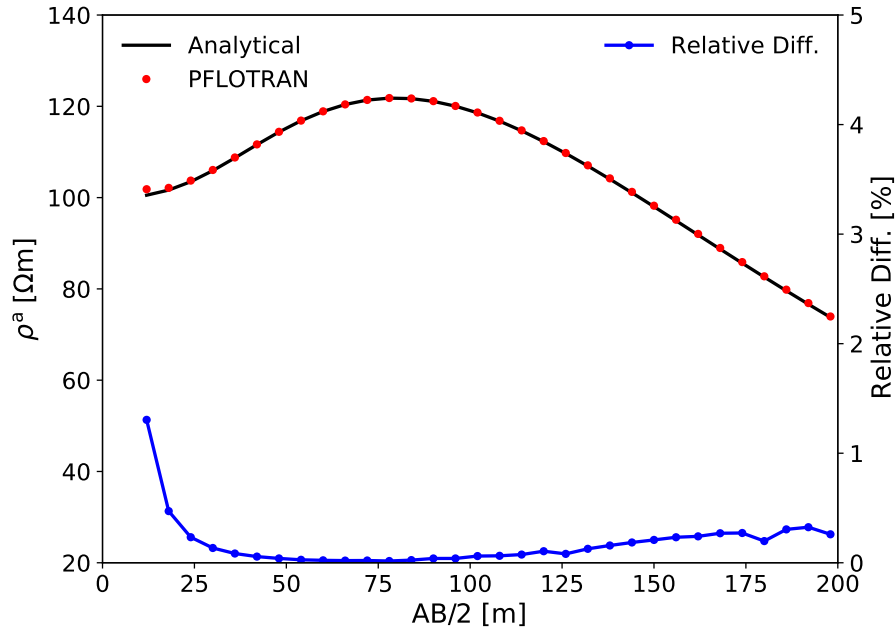
Figure 4. Apparent resistivity ρ^{a} responses computed for the layered earth resistivity model in Fig. 3 (upper plot). They are calculated using the analytical method (solid black lines) and PFLOTRAN (filled red circles). The lower plot (blue line) shows the relative difference (in percentage) between the analytical and PFLOTRAN results.

electric potentials leads to a system of linear equations with $6,912,000$ DOFs. PFLOTRAN simulated the VES data using 512 processing cores on Deception. Total simulation time was $45\,\mathrm{s}$.

The simulated VES results are compared against analytical solutions obtained from SimPEG (Cockett et al., 2015). Figure 4 shows the comparison of the apparent resistivity ρ^{a} responses. Analytical and PFLOTRAN results are shown by the solid

290 black line and filled red circles, respectively. We observe that both responses agree very well with each other. To make the comparison more quantitative, we calculate the relative difference between the two responses and show the difference in Fig. 4 (blue line). The relative difference varies from 0.02% to 1.3%. We also calculate the average relative difference using

$$\epsilon = \frac{1}{N_{\mathrm{d}}} \sum_{i}^{N_{\mathrm{d}}} \frac{\rho^{\mathrm{a}}_{1i} - \rho^{\mathrm{a}}_{2i}}{\rho^{\mathrm{a}}_{1i}}, \tag{30}$$

where ρ^{a} with subscripts 1 and 2, respectively, represents the apparent resistivity computed using PFLOTRAN and the

295 analytical method from SimPEG. The average relative difference ϵ is 0.18%. These relatively small differences demonstrate

the high degree of accuracy of the PFLOTRAN ERT process model for the 1D model. Note that the apparent resistivity was computed using

$$\rho^{a} = \frac{\Delta\phi}{IG}, \tag{31}$$

where $\Delta\phi = \phi_{AM} - \phi_{AN} - \phi_{BM} + \phi_{BN}$ and G is a geometric factor given as

300 $$G = \frac{1}{2\pi}\left(\frac{1}{r_{AM}} - \frac{1}{r_{AN}} - \frac{1}{r_{BM}} + \frac{1}{r_{BN}}\right), \tag{32}$$

with distances between the source electrode A and the receiving electrodes M and N are, respectively, r_{AM} and r_{AN}, while between the sink electrode B and the receiving electrodes M and N are, respectively, r_{BM} and r_{BN}. For the Wenner configuration, $r_{AM} = a$, $r_{AN} = 2a$, $r_{BM} = 2a$, and $r_{BN} = a$. Therefore, geometric factor $G = 1/2\pi a$ was used for calculating ρ^{a} using Eq. (31).

305 ## 5.2 3D earth model

In the previous example, PFLOTRAN accuracy for simulating ERT responses was validated for a simplistic 1D earth model. In real world, however, the earth models are 3-D with varying degrees of heterogeneity. Therefore, it is important to validate the accuracy of numerical results computed using PFLOTRAN against results computed using well established methods for 3D models.
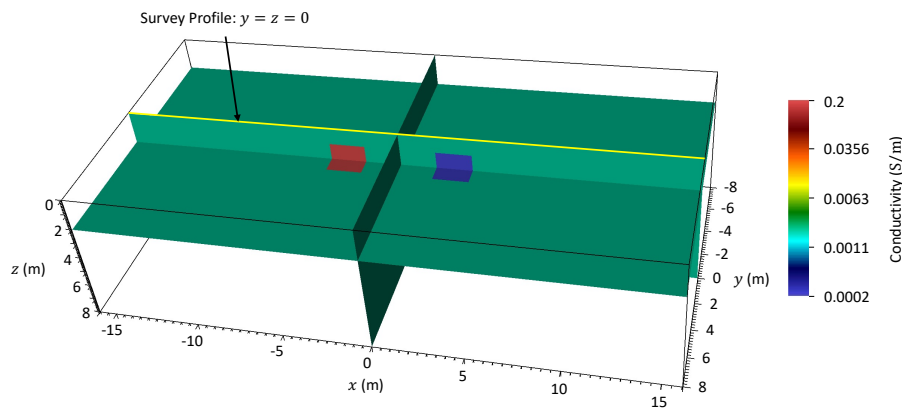
Figure 5. 3D earth resistivity model composed of two anomalous blocks embedded in a homogeneous background. ERT profiling data are simulated along a survey profile at $y = z = 0$ m from $x = -16$ m to $x = 16$ m using the Wenner configuration with increasing electrode spacing a from 1 to 6 m.

310   We consider the 3D model shown in Fig. 5. The model dimension is $32 \times 16 \times 8$ m^{3}. It has a homogeneous background of conductivity $0.002\,\mathrm{Sm}^{-1}$ and includes two anomalous blocks: a conductivity block $(0.2\,\mathrm{Sm}^{-1})$ and a resistive block

$(0.0002\,\mathrm{Sm^{-1}})$. Both anomalous blocks have a dimension of $2 \times 2 \times 2\,\mathrm{m^3}$ and are separated by $4\,\mathrm{m}$ in the x-direction. As in the previous case, the model boundaries are extended to $\pm 1000\,\mathrm{m}$ in the x- and y-directions, and $1000\,\mathrm{m}$ in the z-direction to accommodate zero Dirichlet boundary condition at the side and bottom boundaries. ERT profiling data are simulated along a
315   survey profile (yellow line) at $y = z = 0\,\mathrm{m}$ from $x = -16\,\mathrm{m}$ to $x = 16\,\mathrm{m}$ using the Wenner configuration with increasing electrode spacing a from 1 to $6\,\mathrm{m}$. Unlike the VES performed for the 1D model where data were simulated at a single observation point at the center of the model, the ERT profiling, performed for the 3D model, records data at multiple observation points along the survey profile. The profiling, therefore, helps in investigating lateral conductivity variations in the model along the x-direction. In this case, it results in $N_\mathrm{d} = 129$ ERT data recording by using $N_\mathrm{e} = 32$ electrodes placed uniformly at every $1\,\mathrm{m}$
320   from $x = -15.5\,\mathrm{m}$ to $x = 15.5\,\mathrm{m}$.
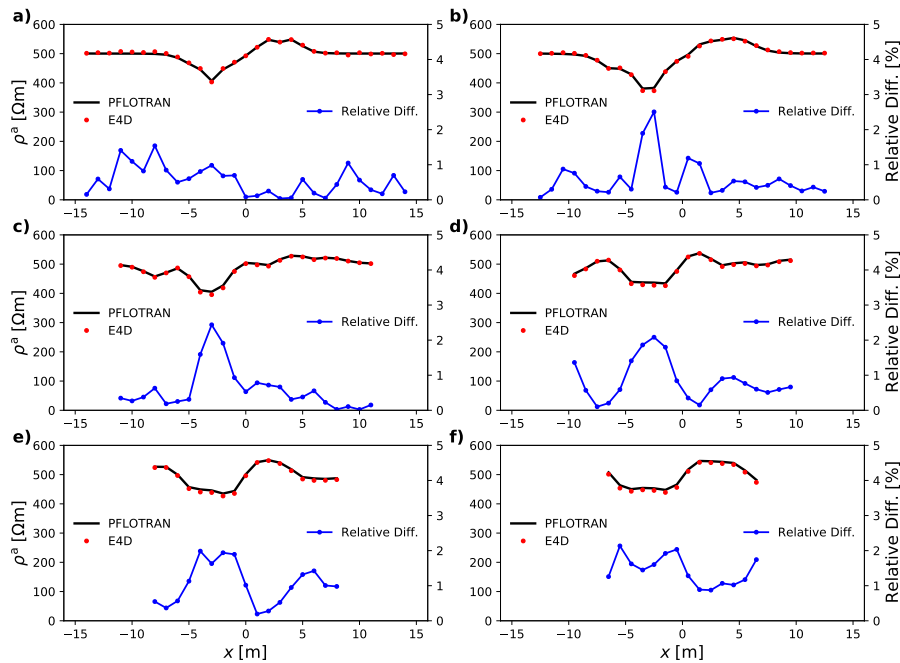
Figure 6. Apparent resistivity ρ^a responses computed for the 3D earth resistivity model in Fig. 5 using the Wenner configuration with spacings: (a) $a = 1\,\mathrm{m}$, (b) $a = 2\,\mathrm{m}$, (c) $a = 3\,\mathrm{m}$, (d) $a = 4\,\mathrm{m}$, (e) $a = 5\,\mathrm{m}$, and (f) $a = 6\,\mathrm{m}$ (upper plots). They are calculated using PFLOTRAN (solid black lines) and E4D (filled red circles) for an ERT profiling along a survey profile at $y = z = 0$ from $x = -16\,\mathrm{m}$ to $x = 16\,\mathrm{m}$. The lower plots (blue lines) show the relative difference (in percentage) between PFLOTRAN and E4D results.

The main computational domain is discretized with a uniform grid spacing of $0.2\,\mathrm{m}$ in all the three directions, while the boundary domain is again discretized with severely stretched non-uniform grid spacings. The model discretization leads to a grid with $200 \times 120 \times 60$ FV cells and, hence, $1,440,000$ DOFs in the corresponding system of linear equations. PFLOTRAN simulated the ERT profiling data using 128 processing cores on Deception. Total simulation time was $9\,\mathrm{s}$.

325   Figures $6(a) - (f)$ (upper curves) show the apparent resistivity ρ^{a} responses computed for the 3D model in Fig. 5 using the
ERT profiling with the Wenner configuration, respectively, with electrode spacings of $1, 2, 3, 4, 5,$ and, $6\,\mathrm{m}$. The solid black
lines show the responses computed using PFLOTRAN. All responses exhibit the characteristics of the conductive and resistive
anomalous blocks embedded in a homogeneous background of conductivity $0.002\,\mathrm{Sm}^{-1}$ or resistivity $500\,\Omega\mathrm{m}$; see, e.g., the
low apparent resistivity on the left and high apparent resistivity on the right sides of the plots. These responses are compared
330   against responses computed using E4D (Johnson et al., 2010; Johnson and Wellman, 2015). In E4D, an unstructured-mesh FE
method is implemented to perform the forward modeling. The filled red circles show the responses computed using E4D. The
relative differences between the two codes are shown by the lower blue lines, which vary from 0.02% to 2.5%. The average
relative difference ϵ computed using Eq. (30) is 0.77%. These relatively small differences imply a good agreement between
responses computed using both codes.

6   Inversion results

To examine the efficiency of PFLOTRAN to invert ERT data, we consider a model modified from Fig. 5 by cropping the model
for $|x| > 8\,\mathrm{m}$. The modified model, therefore, has the dimension of $16 \times 16 \times 8\,\mathrm{m}^{3}$. The model is discretized using the same
grid spacings as in the previous 3D modeling example, which resulted in $120 \times 120 \times 60 = 864,000$ FV cells. We computed
synthetic ERT data for three survey lines located at the ground surface at $y = -5\,\mathrm{m}$, $y = 0\,\mathrm{m}$, and $y = 5\,\mathrm{m}$ from $x = -8\,\mathrm{m}$ to
340    $x = 8\,\mathrm{m}$. Electrodes are placed uniformly with an electrode spacing of $1\,\mathrm{m}$ along the three survey lines from $x = -7.5\,\mathrm{m}$ to
$x = 7.5\,\mathrm{m}$, i.e., 16 electrodes along each survey line. Using these $N_{e} = 48$ electrodes, ERT data were simulated considering
different combinations of a set of 4 electrodes, where 2 electrodes acted as the current and the remaining 2 as the potential
receiving electrodes. These electrode combinations result in $N_{d} = 2070$ simulated ERT data. PFLOTRAN simulated these
ERT data using 128 processing cores on Deception. Total simulation time was $6\,\mathrm{s}$. In this case, ERT data were simulated in the
345   form of the resistance obtained using $\frac{\Delta\phi}{I}$, which basically equals to the potential difference $\Delta\phi$ for unit current $(I = 1\,\mathrm{A})$.

The simulated ERT data were then inverted using PFLOTRAN starting with a model having a half-space conductivity of
$0.0022\,\mathrm{Sm}^{-1}$. The starting model conductivity was obtained by averaging the apparent conductivity computed from the given
ERT data. The previously described modeling grid also acted as the inversion grid, i.e., each cell in the model was used as an
inversion parameter. Therefore, we needed to invert for $N_{m} = 864,000$ model parameters. PFLOTRAN inverted the ERT data
350   using 512 processing cores on Deception. Total inversion time was $74\,\mathrm{s}$.

Figure 7 shows the inverted conductivity model. The inverted model agrees well with the true model: the inversion recovered
the conductive and resistive anomalies in the model. The inverted resistivity, however, varies smoothly in the model because
a smooth regularization constraint is applied by minimizing the difference of the cell conductivity and the conductivity of its
neighboring cells. Further discussion on the implementation of our regularization schemes is beyond the scope of the current
355   paper.

The initial chi-squared data misfit χ^{2} was 14.8. As the inversion progressed, χ^{2} decreased as shown in Fig. 8. The inversion
took 11 iterations to satisfy the convergence criteria defined by $\chi^{2} \leq 0.9$. To further examine the efficiency of PFLOTRAN
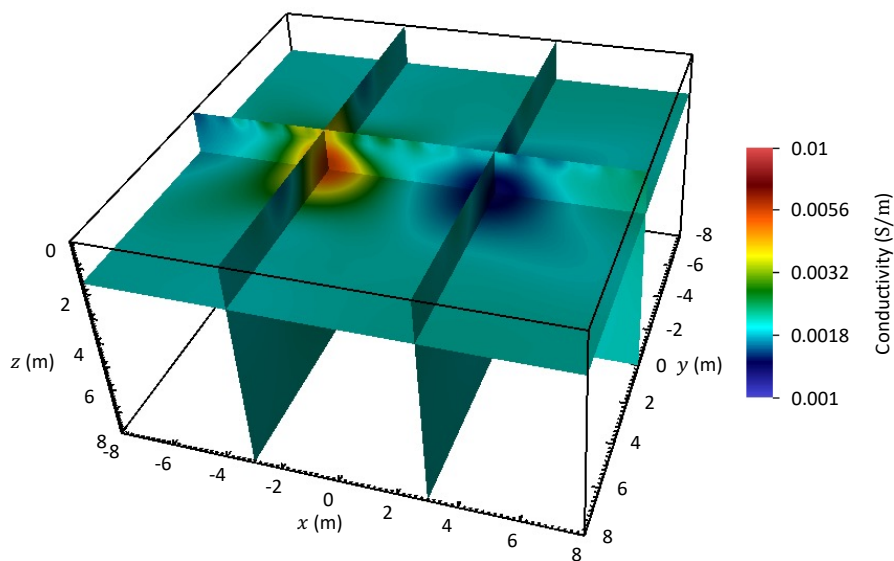
Figure 7. Inversion result of the simulated ERT data for a model modified from Fig. 5 by cropping the model for $|x| > 8$ m.
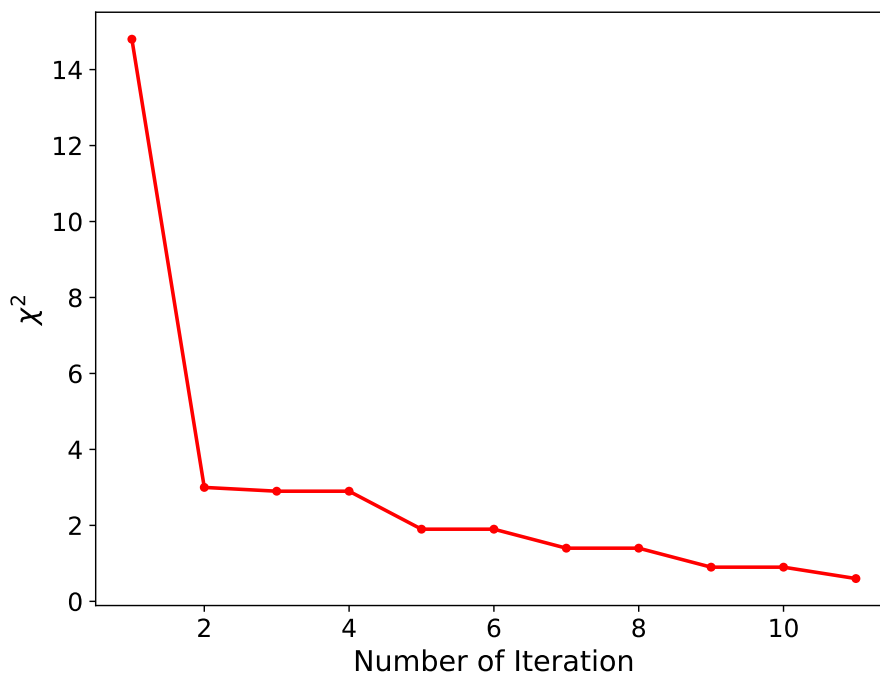
Figure 8. A measure of data misfit as the inversion progresses: χ^2 versus Number of Iteration.
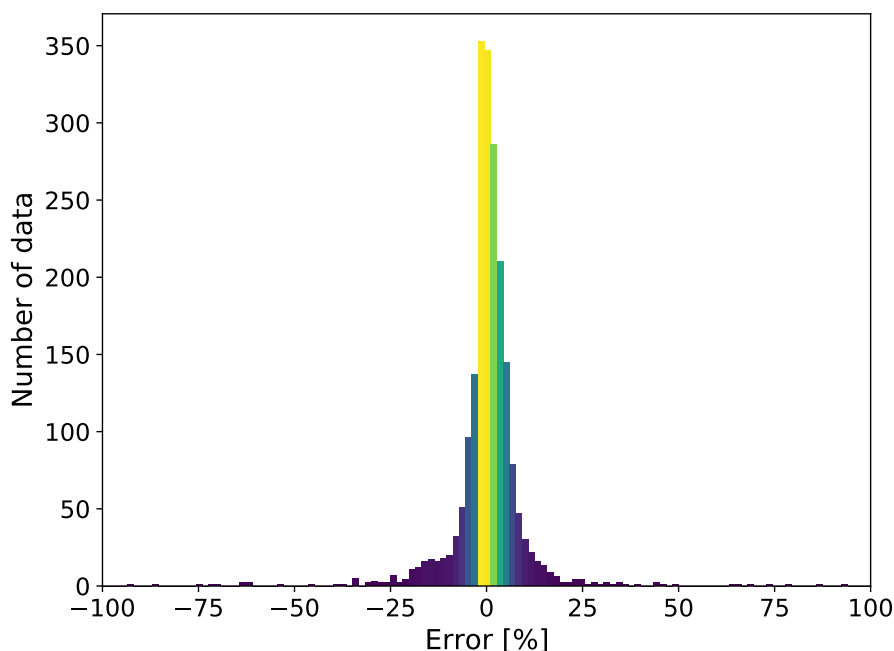
Figure 9. Histogram of data-fit error, which is defined as ratio of the residual resistance (difference of the observed and predicted resistances) and observed resistance expressed as a percentage.

to fit the individual ERT data, a histogram of data-fit error is also shown in Fig. 9. The data-fit error is defined as ratio of the residual resistance (difference of the observed and predicted resistances) and observed resistance expressed as a percentage.
360 The histogram implies that the ERT data were fitted comparatively well.

7 Scalability tests

As discussed earlier, there are mainly two computationally intensive steps to invert ERT data: forward modeling and Jacobian computation. To demonstrate the parallel performance of PFLOTRAN for these two steps, large-scale scalability tests are performed in this section by using up to $1,024$ cores on the Deception Supercomputer or up to $131,072$ cores on the Theta
365 Supercomputer. Configuration details for Deception were previously given in the Modeling Banchmarking Results section (5). On the other hand, Theta is a leadership p-class supercomputer housed at the Argonne Leadership Computing Facility (ALCF) at Argonne National Laboratory (www.alcf.anl.gov/alcf-resources/theta). With a peak performance of 11.7 PFLOPs, it is composed of $4,392$ compute nodes connected through the Cray Aries network interconnect. Each node has 64-core Intel Xeon Phi 7230 processors running at 1.3 GHz with 192 GB of memory. Therefore, there are $281,088$ computing cores available
370 on Theta.

Table 1. Test matrices obtained by rediscretizing the three-layer earth model in Fig. 3 using different cell sizes dx, dy, and dz in the x-, y-, and z-directions. N_x, N_y, and N_z are respectively the number of FV cells in the x-, y-, and z-directions. Note that the cell sizes are given only for the main computational domain; the boundary domain is discretized using severely stretched non-uniform cell sizes.

Matrix Name	Discretization				DOFs
	$dx = dy$ (m)	dz (m)	$N_x = N_y$	N_z	
$M2$	4.0	1.00	140	120	2,352,000
$M7$	2.0	1.00	240	120	6,912,000
$M19$	1.6	0.50	290	220	18,502,000
$M35$	1.6	0.25	290	420	35,322,000
$M64$	0.8	0.50	540	220	64,152,000
$M122$	0.8	0.25	540	420	122,472,000

To optimize performance, PETSc and PFLOTRAN compilations on Deception and Theta were linked to the Intel Math Kernel Library (MKL) for Basic Linear Algebra Subprograms (BLAS), Linear Algebra Package (LAPACK), and Scalable LAPACK. Moreover, the analysis is carried out on for the distributed-memory architectures using the message passing interface (MPI). Therefore, the multi-threading capability of Intel MKL libraries for shared-memory architectures was not 375 exploited for the scalability analysis.

7.1 Forward modeling scalability

The three-layer earth model in Fig. 3 is first rediscretized to generate several test matrices for the analysis. Table 1 summarizes details of the test matrices $M2$, $M7$, $M19$, $M35$, $M64$, and $M122$ along with the number of DOFs in the governing matrix equations that ranges between 2 and 122 million. The naming of these matrices is based on the number of DOFs they repre-
380 sent, e.g., $M19$ represents a matrix equation with about 19 million DOFs. To the best of our knowledge, the modeling with 122 million DOFs stands as the largest ERT modeling reported in the geophysical literature. Having capability to solve for hundreds of millions DOFs efficiently is a crucial requirement for next generation industrial scale ERT modeling and inversion problems.

Considering the six test matrices above, we performed the forward modeling scalability test on Theta for simulating ERT data
385 for $N_e = 128$ electrodes, the same number of electrodes as in the three-layer benchmarking example. Figure 10 illustrates the PFLOTRAN ERT process model strong scaling for each test case (wall-clock time versus the number of processes employed). The wall-clock time is shown on the vertical axis, while the horizontal axis shows the number of computing cores; both axes are shown in logarithmic base 2 scale. For all the matrices, PFLOTRAN exhibits almost linear scalability on up to 8,192 cores. Beyond this, we notice a departure from the linear scaling. As discussed in Section 4, the departure can be explained by the
390 deteriorating scalability of the linear solver used to solve the governing linear system (Eq. 10) on a very large number of cores ($> 10,000$ cores) due to the increasing cost of MPI global reduction operations. A detailed description of possible reasons for
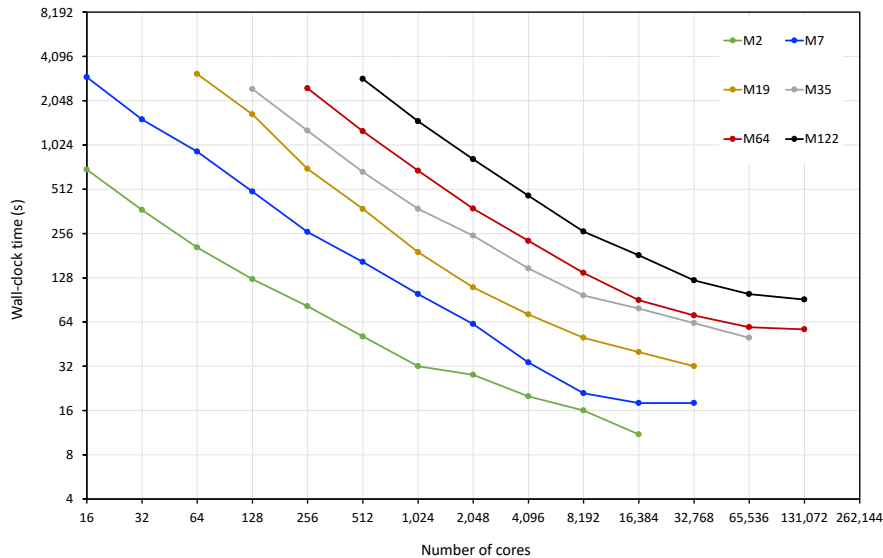
Figure 10. PFLOTRAN scalability for simulating ERT data for the model in Fig. 3 for $N_e = 128$ electrodes. The test is performed on the Theta Supercomputer considering six test matrices $M2$, $M7$, $M19$, $M35$, $M64$, and $M122$ with the respective number of DOFs of about 2, 7, 19, 35, 64, and 122 million (see Table 1 for details).

the departure from linear scaling in PFLOTRAN flow and transport is given by Hammond et al. (2012, 2014), many of which also applies to ERT simulation.

7.2 Jacobian computation scalability

395   To test the scalability of PFLOTRAN for the Jacobian computation, we consider a Jacobian matrix computed for inverting the ERT data in the Inversion Result Section 6. Recalling that $N_d = 2070$ ERT data and $N_m = 864,000$ model parameters for the inversion example, the dimension of the Jacobian matrix $\mathcal{J}$ is therefore $2070 \times 864,000$. The Jacobian matrix is computed at each inversion iteration after the forward modeling run and used in the normal equation (Eq. 15) to get a model update $\delta \mathbf{m}$.

    The Jacobian scalability test is performed on the Deception Supercomputer. Figure 11 illustrates the strong scaling of PFLO-
400 TRAN wall-clock time for computing $\mathcal{J}$. It shows that PFLOTRAN exhibits linear (and sometimes superlinear) scalability for computing $\mathcal{J}$ with increasing numbers of cores. Consequently, the total wall-clock time for computing $\mathcal{J}$ using $1,024$ cores reduces to 0.5 s compared to 887 s when using a single core on Deception. The primary reason for this ideal scaling is based on the fact that all computations needed to build $\mathcal{J}$ are evenly distributed over each core in addition to no requirements of any MPI communications or global reductions.

405   For industry-scale ERT inversion problems, the perfectly linear scaling for computing $\mathcal{J}$ can be exploited to reduce the overall inversion wall-clock time even if PFLOTRAN exhibits deteriorating scalability for the forward modeling on a very
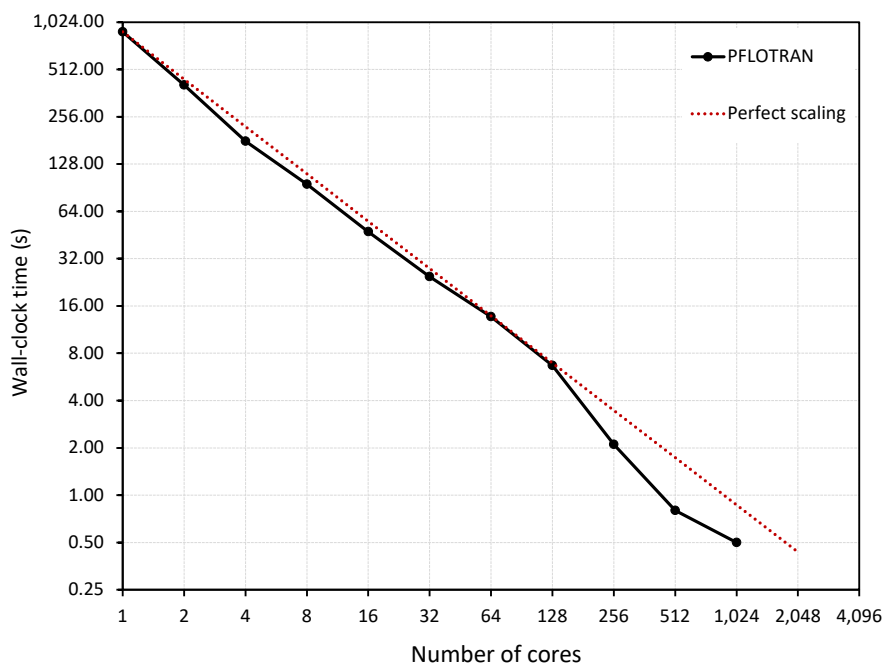
Figure 11. PFLOTRAN strong scaling for computing the Jacobian for the ERT inversion example in Fig. 7. The dimension of the Jacobian matrix $\mathcal{J}$ is $2070 \times 864{,}000$ and is distributed uniformly over each computing core.

large number of cores. However, one has to find an appropriate tradeoff between forward modeling and Jacobian computation wall-clock times.

8 Conclusions

410 Two new capabilities — forward modeling and inversion of geophysical ERT data — are developed within PFLOTRAN, a massively parallel open-source, state-of-the-art, multi-phase, multi-component subsurface flow and transport simulation code. The capabilities are accurate, robust, and highly scalable on HPC platforms. Accuracy of the forward modeling capability was demonstrated for layered earth and 3D earth conductivity models by comparing our numerical results against well-established analytical and numerical results. The average relative differences stayed within a percent, demonstrating the high degree of

415 accuracy of the PFLOTRAN ERT process model. The inversion capability was demonstrated for a 3D synthetic case by recovering the conductivity of the model. Large-scale scalability tests illustrated that the forward modeling for models with hundreds of millions DOFs can be performed in a few minutes, e.g., ERT modeling for a model with 122 million DOFs and 122 electrodes was performed in $128\,\mathrm{s}$ by using $32{,}768$ cores of a supercomputer. The Jacobian computation, a key ingredient for the inversion, exhibited perfectly linear scaling, and as a result a Jacobian of $2070 \times 864{,}000$ dimension was computed in

420 $0.5\,\mathrm{s}$ using $1{,}024$ cores compared to $887\,\mathrm{s}$ using a single core. Moreover, integration of geophysical ERT capabilities within

PFLOTRAN opens door to perform coupled hydro-geophysical modeling and inversion, which is the focus of our future research.

Code and data availability. The source code, input file, and results presented in this manuscript are based on PFLOTRAN version v4.0. It can be downloaded from its Git repository at https://bitbucket.org/pflotran/pflotran and compiled after checking out the v4.0 tag (git
425 checkout maint/v4.0) following the instructions provided at https://pflotran.org/documentation. A snapshot of PFLOTRAN v4.0 is also uploaded to Zenodo repository at https://doi.org/10.5281/zenodo.6191926 (Jaysaval et al., 2022). The corresponding version of PETSc is v3.16.4 and was configured with Intel C/C++ 2020u4 using the following configuration script: ./configure –CFLAGS='-O3' –CXXFLAGS='-O3' –FFLAGS='-O3' –with-debugging=no –download-mpich=yes –download-hdf5=yes –download-fblaslapack=yes –download-metis=yes –download-parmetis=yes –download-hdf5-fortran-bindings=yes. The modeling and inversion results presented in the paper are also avail-
430 able at the above Zenodo repository in paper-examples folder. Each subfolder within it contains a README file with brief instructions to reproduce the results.

Author contributions. PJ implemented the ERT modeling and inversion capabilities with contributions from GEH. PJ performed the tests, analyzed the results, and prepared the figures. TCJ supervised the study. PJ wrote the paper with contributions from GEH, and all co-authors read and helped with editing.

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