- 1 A Machine Learning Methodology for the Generation of a Parameterization of the Hydroxyl Radical 2 3 Daniel C. Anderson<sup>1,2</sup>, Melanie B. Follette-Cook<sup>2,3</sup>, Sarah A. Strode<sup>2,3</sup>, Julie M. Nicely<sup>2,4</sup>, Junhua Liu<sup>2,3</sup>, 4 Peter D. Ivatt<sup>2,4</sup>, Bryan N. Duncan<sup>2</sup> 5 6 <sup>1</sup>GESTAR II, University of Maryland Baltimore County, Baltimore, MD, USA 7 <sup>2</sup>Atmospheric Chemistry and Dynamics Laboratory, NASA Goddard Space Flight Center, Greenbelt, MD, 8 USA 9 <sup>3</sup> GESTAR II, Morgan State University, Baltimore, MD, USA 10 <sup>4</sup>Earth System Science Interdisciplinary Center, University of Maryland, College Park, MD, USA 11
- 12 Correspondence to: Daniel C. Anderson (daniel.c.anderson@nasa.gov)

### 13 Abstract

14 We present a methodology that uses gradient boosted regression trees (a machine learning 15 technique) and a full-chemistry simulation (i.e., training dataset) from a chemistry climate model (CCM) 16 to efficiently generate a parameterization of tropospheric hydroxyl radical (OH) that is a function of 17 chemical, dynamical, and solar irradiance variables. This surrogate model of OH is designed to be 18 integrated into a CCM and allow for computationally-efficient simulation of nonlinear feedbacks 19 between OH and tropospheric constituents that have loss by reaction with OH as their primary sinks 20 (e.g., carbon monoxide (CO), methane (CH<sub>4</sub>), volatile organic compounds (VOCs)). Such a model 21 framework is advantageous for studies that require multi-decadal simulations of CH<sub>4</sub> or multi-year 22 sensitivity simulations to understand the causes of trends and variations of CO and CH<sub>4</sub>. To allow the 23 user to easily target the training dataset towards a desired application, we are outlining a methodology 24 to generate a parameterization of OH and not presenting an "off the shelf" version of a 25 parameterization to be incorporated into a CCM. This provides for the relatively easy creation of a new 26 parameterization in response to, for example, changes in research goals or the underlying CCM 27 chemistry and/or dynamics schemes. We show that a sample parameterization of OH generated from a 28 CCM simulation is able to reproduce OH concentrations with a normalized root mean square error of 29 approximately 5%, as well as capturing the global mean methane lifetime within approximately 1%. Our 30 calculated accuracy of the parameterization assumes inputs being within the bounds of the training 31 dataset. Large excursions from these bounds will likely decrease the overall accuracy. However, we 32 show that the sample parameterization predicts large deviations in OH for an El Niño event that was not 33 part of the training dataset, and that the spatial distribution and strength of these deviations are 34 consistent with the event. This result gives confidence in the fidelity of a parameterization developed 35 with our methodology to simulate the spatial and temporal responses of OH to perturbations from large 36 variations in the chemical, dynamical, and solar irradiance drivers of OH. In addition, we discuss how 37 two machine learning metrics, Gain feature importance and SHAP values, indicate that the behavior of a 38 parameterization of OH generally accords with our understanding of OH chemistry, even though there 39 are no physics- or chemistry-based constraints on the parameterization.

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## 41 **1.0 Introduction**

The hydroxyl radical (OH) is the dominant tropospheric oxidant. It removes numerous species from the atmosphere, including carbon monoxide (CO) and methane (CH<sub>4</sub>), which are the largest OH sinks on a global scale (Spivakovsky et al., 2000;Spivakovsky et al., 1990). Recent trends in CH<sub>4</sub>, the second most important anthropogenic greenhouse gas, can potentially be explained by changes in OH abundance (Rigby et al., 2017), although changes in emissions are also a likely contributor (Turner et al., 2017). 47 Likewise, the large increase in  $CH_4$  during 2020 has been attributed to decreases in OH resulting from 48 COVID-19 related changes in  $NO_x$  ( $NO_x = NO + NO_2$ ) abundance (Laughner et al., 2021). Understanding 49 the non-linear chemistry of the drivers of OH and feedbacks among these species is therefore important 50 for characterizing past and present changes in the atmosphere as well as in projecting future climate 51 scenarios.

53 Chemistry-climate models (CCMs) with detailed chemical mechanisms are used to investigate the 54 complex, non-linear chemistry between these species and their impacts on the atmosphere (e.g., Fiore et 55 al., 2006; Voulgarakis et al., 2015; Gaubert et al., 2017; Holmes, 2018). The utility of CCMs for this 56 purpose is limited, however, by the large computational expense of a CCM with a full representation of 57  $O_3 - NO_x - VOC$  (Ozone, NO<sub>x</sub>, Volatile Organic Compound) chemistry combined with the need to model 58 over decadal time scales in order to let CH<sub>4</sub> perturbations fully evolve (Prather, 1996). Because of this 59 computational expense, simulations are necessarily limited to a short time frame, performed at coarse 60 horizontal resolutions, and/or limited in the number of sensitivity runs that can be performed (e.g., Fiore 61 et al., 2006;Holmes, 2018;Voulgarakis et al., 2015).

62 63 There are several alternatives (i.e., surrogate models) to running a full chemical mechanism that 64 capture some of the relationship between OH and trace gases, such as CO and CH<sub>4</sub>, and are less 65 computationally expensive. Prescribed OH fields, either static or annually-varying, from a full chemistry 66 simulation or a climatology have been used for decades to simulate and understand trends in CO and 67 CH<sub>4</sub> in a computationally-efficient way (e.g., Saito et al., 2013; Wang et al., 2004). However, this method 68 linearizes CO and CH<sub>4</sub> chemistry with OH, preventing the simulation of nonlinear feedbacks in changes in 69 CO and CH<sub>4</sub> on OH, and thus could bias, for instance, interannual CH<sub>4</sub> changes (Chen and Prinn, 2006). 70

71 Spivakovsky et al. (1990) developed a parameterization of OH, later updated by Duncan et al. (2000), 72 that captures many of the nonlinear feedbacks between OH and tropospheric constituents (e.g., CO, 73 CH<sub>4</sub>, VOCs) that have loss by reaction with OH as their primary sinks. The method to generate the 74 parameterization uses higher order polynomials with various chemical species, meteorological variables, 75 and variables related to solar irradiance as inputs. The degree of the nonlinear impacts simulated by the 76 parameterization of OH depends on the method used to populate these inputs. For instance, many of 77 the meteorological and solar irradiance variables may be provided by the model at run time. The 78 chemical variables that are not all simulated explicitly in the surrogate model may be provided as 79 climatological or monthly means from a full chemistry simulation. Duncan et al. (2007a) and Duncan and 80 Logan (2008) used this parameterization of OH in an atmospheric model of CO to elucidate the causes of 81 trends and interannual variations in CO from 1988-1997 on regional to global scales as well as those 82 observed by individual in situ monitors around the world.

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84 Building on the CO-OH studies of Duncan et al. (2007a) and Duncan and Logan (2008), Elshorbany et 85 al. (2016) developed the computationally Efficient CH4–CO–OH (ECCOH) chemistry module, which 86 captures many of the nonlinearities and feedbacks of the CH<sub>4</sub>-CO-OH system without the computational 87 expense of a full chemistry simulation. ECCOH calculates 24-hour averaged OH from a combination of 88 archived (e.g., multiple VOCs, NO<sub>x</sub>) and online (e.g., pressure, temperature, cloud albedo) chemical, 89 meteorological, and solar irradiance variables. Despite the partial reliance of the parameterization of 90 OH in ECCOH on archived fields, its strength lies in the ability to calculate OH at a significantly reduced 91 computational expense (Duncan et al., 2000; Elshorbany et al., 2016). ECCOH has been successfully 92 implemented in the NASA Goddard Earth Observing System (GEOS) general circulation model (GCM). 93

- 94 Through manipulation of the input parameters (i.e., chemical, meteorological, and solar irradiance
- 95 variables) to the parameterization of OH, as well as emissions and dynamics, ECCOH can help
- 96 deconvolve the causes of local to global trends and variations in OH, CO, and CH4. For example, Strode
- et al. (2015) used the ECCOH module to investigate the effects of different model biases in GEOS on
- simulated OH. To do this, they performed multiple sensitivity simulations, adjusting tropospheric water
- vapor, ozone, and NO<sub>x</sub> to match satellite observations, to understand the impacts on OH and CH<sub>4</sub>
   lifetime. Similarly, Elshorbany et al. (2016) investigated the impacts of varying CH<sub>4</sub> and CO emissions on
- 101 the growth rate of atmospheric methane concentrations through multiple sensitivity runs. One
- 102 limitation of ECCOH in the configuration used in Strode et al. (2015) and Elshorbany et al. (2016),
- 103 however, is the difficulty in updating the parameterization to reflect advances in atmospheric chemistry.
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105 Machine learning algorithms are one potential method to guickly and accurately generate a new 106 parameterization of OH. A variety of machine learning techniques, such as neural networks (Nicely et 107 al., 2017; Nicely et al., 2020; Kelp et al., 2020), ridge regression (Nowack et al., 2018), random forest 108 regression (Keller and Evans, 2019;Sherwen et al., 2019), and gradient boosted regression trees (GBRTs) 109 (Ivatt and Evans, 2020;Stirnberg et al., 2020) have been successfully used in atmospheric chemistry 110 applications. In particular, GBRT models (Elith et al., 2008; Chen and Guestrin, 2016) use an ensemble of 111 decision trees to predict the value of a target based on multiple inputs and have been used to predict 112 surface OH using a combination of satellite observations and output from 3-dimensional models (Zhu et 113 al., 2022). Decision trees are created sequentially, with each new tree minimizing a cost function based 114 on the results of the previous tree (Elith et al., 2008;Stirnberg et al., 2020). Unlike some other machine 115 learning algorithms, such as neural networks, regression tree methods have easily interpretable metrics 116 that help highlight the influence of the different input variables (Yan et al., 2016). These metrics can 117 help further understanding of the model behavior in ways other machine learning techniques cannot. 118 GBRT models are also relatively quick to generate and can capture the highly non-linear relationships 119 that describe tropospheric chemistry (Ivatt and Evans, 2020).

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121 We present a new method for the efficient generation of a parameterization of OH using GBRTs and 122 a full chemistry simulation from a CCM, which serves as the training dataset. We illustrate our method 123 by generating a parameterization of OH for the ECCOH module (Elshorbany et al., 2016), which captures 124 many of the nonlinearities and feedbacks of the CH<sub>4</sub>-CO-OH system, as implemented into the NASA 125 GEOS GCM. Our methodology allows for the parameterization to be easily and rapidly regenerated in 126 response to changes in, for instance, the underlying model chemical mechanism (e.g., updates to the 127 chemical rate constants or absorption cross-sections) or model dynamics, which affect many of the 128 variables that influence OH (e.g., Anderson et al., 2021). Likewise, the parameterization can be modified 129 to include new input variables. Users can and should retrain the parameterization with datasets that are 130 appropriate for the intended application. That is, we are not offering a parameterization for "off the 131 shelf" use but a methodology by which a user can easily create a parameterization suitable for their 132 needs. In Section 2, we outline the methodology used to develop the parameterization of OH, while in 133 Section 3, we evaluate performance of the parameterization. Finally, in Section 4, we summarize the 134 results and discuss implications for scientific research.

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## 136 **2.0 Description of the Methodology to Generate a Parameterization of OH**

In this section, we outline the methodology to generate a parameterization of OH that may be used
in research studies as discussed above. Specifically, we illustrate the methodology by describing the
creation of a sample parameterization of OH for the ECCOH module that predicts daily averaged OH. In

- 140 Section 2.1, we present the creation of the training dataset, and in Section 2.2, we outline the
- 141 methodology used to create the parameterization of OH.

### 143 **2.1** Creation of the Training Dataset for a Parameterization

144 We created the training dataset using output from a 40-year (1980 -2019) GEOS CCM simulation, 145 consistent with our intent to integrate the parameterization into the ECCOH modeling framework. This 146 simulation, called MERRA2 GMI (https://acd-ext.gsfc.nasa.gov/Projects/GEOSCCM/MERRA2GMI/), was 147 run in replay mode (Orbe et al., 2017) with MERRA2 (Modern Era Retrospective analysis for Research 148 and Applications) meteorology (Gelaro et al., 2017) and the Global Modeling Initiative (GMI) chemical 149 mechanism (Duncan et al., 2007b;Strahan et al., 2007). Aerosols were calculated with the Goddard 150 Chemistry Aerosol Radiation and Transport (GOCART) module (Chin et al., 2002;Colarco et al., 2010). 151 The model was run at a resolution of c180 on the cubed sphere (approximately 0.625° longitude by 0.5° 152 latitude) with 72 vertical layers. In this analysis, we use only tropospheric output at daily and monthly 153 resolutions. The GMI chemical mechanism includes approximately 120 species and 400 reactions, 154 characterizing the photochemistry of the troposphere and stratosphere. Further simulation details, 155 including a description of the emissions, are available elsewhere (Anderson et al., 2021; Strode et al., 156 2019).

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We created a dataset of training targets, representing the full range of simulated OH values, for each month. We generate parameterizations for each month instead of one, yearly parameterization to increase computational efficiency of the generation of the parameterization. The spatiotemporal variability in the abundance and emissions of OH drivers on the yearly scale would necessitate a far larger dataset and more complicated sampling procedures to ensure representativeness of both OH and the input variables. As demonstrated in Section 3.0, the adopted monthly approach accurately captures OH while limiting the size of the training dataset.

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166 We generated the training dataset using daily averaged data. For each day of a month, we divided 167 all simulated tropospheric OH concentrations from the 40-year simulation into 20 equally-sized 168 percentile bins (i.e., 0 – 5<sup>th</sup> percentile, 5<sup>th</sup> – 10<sup>th</sup> percentile, etc.). Then, we randomly selected 200,000 169 values from each bin, resulting in 4,000,000 training targets for each day of the month. We also 170 included the maximum and minimum OH values of the entire dataset to represent the full range of 171 values. We then combined training targets to form one large dataset with 120,000,000 values (for a 30-172 day month), encompassing the full range of OH concentrations from each day of the month. To limit the 173 size of the training dataset, we then subsampled these targets, again randomly selecting 200,000 values 174 from equally-sized percentile bins of OH concentration. The procedure resulted in a dataset with 175 4,000,000 training targets that span all days within a given month. A schematic of this process is shown 176 in Figure S1. We omitted data from 4 years (1985, 1995, 2005, 2015) from the training dataset for 177 model evaluation and from an additional year, 2016, for an El Niño case study discussed in Section 3.3. 178 We also created a training dataset for monthly-averaged output, discussed in Sect. 4.0, using an 179 analogous process.

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181 Finally, for each OH target, we extracted the inputs for the regression tree parameterization from 182 the MERRA2 GMI simulation from the corresponding model grid box. We list parameterization inputs in 183 Table 1. The parameterizations of Spivakovsky et al. (2000), Duncan et al. (2007a) and Elshorbany et al. 184 (2016), along with expert knowledge of OH chemistry, informed our choice of inputs. The relative 185 location of a particular OH target is indicated with the latitude and pressure variables. As discussed in 186 the next section, NO<sub>2</sub> serves as a sufficient proxy for the impact of NO<sub>x</sub> and NO<sub>y</sub> on OH, so NO<sub>2</sub> is the 187 only reactive nitrogen species included as an input parameter. For both ice and water cloud as well as 188 aerosol optical depths, we include the optical depth above and below each datapoint as separate inputs. 189 We use aerosol optical depth (AOD) at 550 nm, calculated from the GOCART aerosol module. We took

190 all 27 inputs from the MERRA2 GMI simulation except surface UV albedo, which we took from the Ozone 191 Monitoring Instrument (OMI) climatology of Kleipool et al. (2008).

192

193 Table 1: Inputs to the machine learning parameterization of OH. UV Albedo is the value at the surface. Cloud fraction 194 is the fraction at a given model level. C4 & C5 alkanes are one input as they originate from a lumped variable in the 195 GMI mechanism.

Chemical Inputs		Meteorological/Radiative Inputs	
NO <sub>2</sub>	Formaldehyde (HCHO)	Temperature	Stratospheric O <sub>3</sub> Column
СО	Hydrogen peroxide (H <sub>2</sub> O <sub>2</sub> )	Cloud Fraction	Aerosol Optical Depth above
CH <sub>4</sub>	Methyl hydroperoxide (CH <sub>3</sub> OOH; MHP)	Latitude	Aerosol Optical Depth below
O <sub>3</sub>	Acetone (CH <sub>3</sub> COCH <sub>3</sub> )	UV Albedo	Water Cloud Optical Depth above
Isoprene (C₅H <sub>8</sub> )	C4 & C5 Alkanes	Water Vapor	Water Cloud Optical Depth below
Propene (C <sub>3</sub> H <sub>6</sub> )	Ethane ( $C_2H_6$ )	Pressure	Ice Cloud Optical Depth above
Propane (C <sub>3</sub> H <sub>8</sub> )		Solar Zenith Angle	Ice Cloud Optical Depth below

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While we have used the publicly-available MERRA2 GMI dataset to train the sample

197 198 parameterization described in this manuscript, the training data could come from any simulation or combination of self-consistent simulations that has output of the variables outlined in Table 1. These 199 200 training datasets could come from existing simulations, which would greatly reduce computational 201 expense, or from a training dataset tailored for the purposes of a given study. Even though we use 202 daily-averaged training data for ECCOH, a user could train the parameterization with a dataset at any 203 temporal resolution in order to make the parameterization compatible with a specific modeling platform 204 or research goal. As discussed later, the parameterization performs best when applied to

205 photochemical environments analogous to those on which it was trained. Therefore, users should 206 carefully ensure that the training dataset reasonably encompasses the full range of photochemical 207 environments necessary for a given sensitivity test or experiment. For example, as we will discuss 208 further in Section 4, because the MERRA2 GMI training dataset only covers 1980 – 2018, it is 209 inappropriate to use this for an application exploring changes in CH<sub>4</sub> from the pre-industrial period to 210 2100. Instead, a new training dataset covering that time period would be required.

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#### 212 2.2 Creation of the GBRT Parameterization

213 While other machine learning methods could likely produce parameterizations with similar 214 performance as the one we describe here, we use GBRTs because of the speed in training a new 215 parameterization, their accuracy, and the interpretability of the parameterization itself. We refer to the 216 GBRT models as parameterizations to prevent confusion when referring to 3-dimensional models.

217 We used the XGBoost package (Chen and Guestrin, 2016) version 0.81 in Python version 3.6 to 218 create 12 parameterizations of OH (one for each month), training the parameterizations on the MERRA2 219 GMI datasets described in Sect. 2.1. Each parameterization outputs 24-hour averaged OH. For each 220 month, we used 80% of the dataset (3.2 million datapoints) for model training and the remainder for model validation. In addition, as outlined in-depth in Sections 2.1 and 3.0, we also evaluated the model 221 222 on 5 years of data not included in the model training. Increasing the size of the training dataset did not 223 improve model performance but did increase model training time, so the training set was restricted to a 224 size that represented the full ranges of OH values.

225

226 To maximize parameterization performance while also balancing the potential of overfitting, we

- tuned hyperparameters, including the learning rate, the maximum tree depth, and the number of trees.
- We chose hyperparameter values that minimized the parameterization normalized root mean square
- error (NRMSE) (Eq. 1.) of the training dataset. In Eq. 1, N is the number of samples, y is the MERRA2 GMI OH,  $\hat{y}$  is the parameterized OH, and IQR is the interquartile range of the dataset. We set the learning
- rate, which controls the magnitude of change when adding a new tree, to 0.1, while we varied the
- maximum tree depth and number of trees from 6 to 22 and from 10 to 150, respectively. For both
- 233 maximum tree depth and number of trees, NRMSE initially dropped significantly with increasing value,
- 234 representing sharp improvement in parameterization performance. NRMSE values eventually
- plateaued, increasing parameterization runtime without noticeably improving performance. A
- combination of a maximum tree depth of 18 and 100 trees balanced performance with model trainingand run time.
- 238

$$NRMSE = \frac{\sqrt{\frac{1}{N}\sum_{i=1}^{N}(\hat{y}_{i}-y_{i})^{2}}}{IQR}$$
(1)

239 We also evaluated inputs into the parameterization to ensure that each did not lead to decreased 240 performance, finding that no single variable dominates model performance and no variable reduces 241 performance. We retrained the parameterization 27 times for July, removing each input successively, to 242 determine its impact on the NRMSE. When we applied the resultant models to the July 2005 validation 243 dataset, the percentage change in the NRMSE generally increased by less than 1%. The small differences 244 in NRMSE indicate that there are likely variables that provide duplicate information to the 245 parameterization. As will be discussed in Sect. 3.2, however, the relative importance of inputs varies by 246 month, and some variables, though not important on average, have a large influence in specific chemical 247 environments. Because of these factors and a desire to use a consistent set of input variables across all 248 months, we did not remove any inputs from the parameterization as a result of this analysis.

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250 Finally, we omit NO<sub>x</sub> and NO<sub>y</sub> as parameterization inputs because we find that NO<sub>2</sub> is sufficient as an 251 input to capture the impact of reactive nitrogen on OH in the parameterization. Because of the 252 importance of NO<sub>x</sub> in OH production (Spivakovsky et al., 2000;Anderson et al., 2021), we tested 253 performance by substituting different reactive nitrogen species for NO<sub>2</sub> as inputs to the 254 parameterization. We trained three additional parameterizations, including ones with NO<sub>x</sub>, NO<sub>y</sub> (NO<sub>y</sub> = 255 NO + NO<sub>2</sub> + PAN +  $2N_2O_5$  + HNO<sub>3</sub> + alkyl nitrates), and the individual NO<sub>y</sub> species. Parameterization 256 performance did not improve noticeably with the inclusion of  $NO_x$  or the individual  $NO_y$  species. 257 Including NO<sub>v</sub> as a group actually decreased performance. 258

### 259 **3.0 Evaluation of the parameterization of OH for the ECCOH module**

260 We now evaluate the performance of the parameterization of OH for the ECCOH module created 261 with the machine learning methodology. In Section 3.1, we compare the OH calculated with the 262 parameterization to that from the MERRA2 GMI simulation, showing agreement in both local OH 263 concentrations as well as in global metrics, such as  $CH_4$  lifetime ( $\tau_{CH4}$ ). In Section 3.2, we investigate the 264 parameterization Gain feature importance and SHapley Additive Explanations (SHAP) values to 265 understand the relative contributions of inputs to parameterization performance and to demonstrate 266 that, even though there are no physics- or chemistry-based constraints, parameterization behavior 267 accords with our understanding of OH chemistry. We explore the ability of the parameterization to 268 predict OH in response to strong deviations in its drivers from the climatological mean in Section 3.3, by 269 examining two El Niño events. Finally, we note that we evaluate an offline version of the 270 parameterization of OH and not one integrated within the ECCOH framework. However, the 271 performance will be similar based on preliminary testing and similarities in implementation to the

272 previous parameterization, which has been extensively evaluated (Elshorbany et al., 2016) in the GEOS 273 GCM.

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#### 3.1 Ability of the parameterization to reproduce modeled OH and global OH metrics

276 The parameterization is able to reproduce both the spatial distribution and concentration of 277 daily-averaged OH, although with noticeable errors at high latitudes in the winter hemisphere, which is 278 unimportant as OH is seasonally low. Figure 1a shows the fractional difference between OH calculated 279 with the parameterization and OH from the MERRA2 GMI simulation for July 15, 2005, a date omitted 280 from the training dataset. The parameterized and MERRA2 GMI OH fields are shown in Figure S2. The 281 OH in Figure 1 has been averaged over the lower free troposphere (LFT), defined as pressures between 282 the top of the planetary boundary layer (PBL) and 500 hPa. Agreement is similar throughout the 283 troposphere, but we highlight this region because of its importance for CH₄ and CO loss (Spivakovsky et 284 al., 2000). For July 15, there are notable regions of bias, particularly poleward of 30° S where OH is low 285 (Fig. S2). While the source of this error is unknown, it could result from a tendency of regression tree 286 models to have larger bias for lower values (Nowack et al., 2021). This results in a NRMSE for the entire 287 troposphere of 13.9% (Fig. 2a). At higher concentrations, the correlation between the MERRA2 GMI 288 simulation and the parameterized OH is much tighter than at lower concentrations, although the highest 289 density at all concentrations is centered around the 1:1 line. Because the CO and CH<sub>4</sub> lifetimes are much 290 longer than one day, the accuracy of the parameterization on monthly timescales is more relevant to 291 the applications of the parameterization than an individual day.







Figure 1: Fractional difference between the parameterized and MERRA2 GMI OH averaged over the LFT (top of the 294 PBL to 500 hPa) for July 15, 2005 (a) and averaged across all days for July 2005 (b). Regions with low OH, defined 295 as a mixing ratio of less than 0.005 pptv, are indicated with stippling.

296 When we average the daily output to the monthly scale, the parameterization can reproduce 297 the global OH distribution with little error (Fig. 1-2). For July 2005, the percentage difference between 298 the parameterized OH and output from the MERRA2 GMI simulation in the LFT (Fig. 1b) and throughout 299 the troposphere (Fig. S3) is generally within 10%, outside of the Southern Hemispheric high latitudes, 300 where it is polar night and OH concentrations are negligible. The random errors evident in the daily data 301 in Figure 1a average out on the monthly timescale, resulting in a tight correlation ( $r^2 = 0.996$ ) and a 302 NRMSE of 4.94% for all tropospheric values (Fig. 2b). Similar results are found for the July model when 303 applied to other years (Table S1) and for parameterizations developed for other months (Fig. S3 and S4). 304 Averaging the daily output over the monthly period yields a better NRMSE by more than a factor of two 305 over climatology (NRMSE = 11%), defined as the mean OH from the MERRA2 GMI simulation averaged 306 over 1980 to 2019.

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 309 Figure 2: Scatter density plot of tropospheric OH from the MERRA2 GMI simulation plotted against OH calculated by
 310 the parameterization for July 15, 2005 (a). Panel (b) shows the 24-hour averaged OH output by the parameterization
 311 averaged across all July days for 2005. Colors indicate the number of data points in each bin. The r<sup>2</sup> of a linear least
 312 squares regression and the NRMSE are also indicated.

314 In regions where global CO and CH<sub>4</sub> loss are most important, parameterization biases and errors 315 are low. For CO and CH<sub>4</sub>, tropospheric loss to OH maximizes in the LFT in the 0 - 30° latitude band of the 316 summer hemisphere with near negligible loss in the winter hemisphere polar region (Fig. 3). The 317 comparatively large over- and underestimates over Antarctica evident in Figure 1 are irrelevant to the 318 OH/CO/CH<sub>4</sub> cycle because of the low loss rate in this region. In contrast, in regions where CO and CH<sub>4</sub> 319 loss maximize, the parameterization is biased low by only -0.3 to -1.4%. The normalized absolute error 320 varies between 2.2% and 4.6% in the tropics and Northern Hemispheric mid-latitudes for all 321 tropospheric layers (MFT: pressures between 500 and 300 hPa, UFT: pressures between 300 hPa and the 322 tropopause). Results are similar for other months. 323



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Figure 3: (a) Percentage of total tropospheric CH<sub>4</sub> lost to reaction with OH for July 2005 averaged over 30° zonal mean bins and 4 atmospheric layers is shown by the background colors. The percentage loss values account for the mass of each region relative to the total atmospheric mass. Percentages indicate the normalized mean bias of the parameterization with respect to the MERRA2 GMI simulation. Statistics for the polar UFT are omitted because low tropopause heights limit the data amount in these regions. (b) Same as (a) except for tropospheric CO loss and the normalized absolute error.

The parameterization is also able to reproduce global mean metrics of OH, such as  $\tau_{CH4}$ , within 1.3% on average. For each month of 2005, we calculated the global, mean mass-weighted tropospheric OH as described in Lawrence et al. (2001) and the mean tropospheric  $\tau_{CH4}$  with respect to OH as described in Nicely et al. (2020) for the MERRA2 GMI simulation, the parameterization, and the

climatological mean, defined as the average value from the MERRA2 GMI simulation between 1980 and

336 2019. Results for  $\tau_{CH4}$  are shown in Figure 4, and for mass-weighted OH in Figure S5. The 337 parameterization captures the seasonality of the  $\tau_{CH4}$ , with a minimum in boreal summer and a 338 maximum in boreal winter. Agreement varies slightly by month, differing by only 0.8% in January and up 339 to 2.5% in August, although the bias is systematically low for 2005 and the other validation years (Table

- 340 S1). These values are reasonable and much smaller than the inter-model variability often seen in model 341
- intercomparison projects (e.g., Nicely et al., 2020; Voulgarakis et al., 2013). Similar results are found for 342 the global, mean mass-weighted OH. The Northern Hemispheric/Southern Hemispheric OH ratio (Fig.
- 343 S5) also generally agrees within 0.5% for all months, again with the exception of August. The
- 344 comparatively weaker performance for August is limited to 2005, however, as performance of the
- 345 August parameterization in the other validation years (1985, 1995, and 2015) is closer to the 1%
- 346 difference shown by the parameterizations for the other months. The parameterizations present a
- 347 significant improvement over the climatological mean, which for 2005, consistently underestimates  $\tau_{CH4}$ 348 for all months and by up to 6% in March.
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Figure 4: Global mean methane lifetime with respect to tropospheric OH from the parameterization (green squares) 352 and MERRA2 GMI (orange circles) for 2005 and the climatological average (black triangles) calculated from MERRA2 353 GMI for 1980 - 2019.

#### 354 3.2 Understanding the relative importance of input parameters

355 While we have demonstrated that the parameterization is able to reproduce OH accurately, it is 356 also instructive to understand the relative importance the parameterization places on each of the 357 inputs. Although this parameterization is not process-based, understanding how the parameterization 358 responds to different inputs can help determine if the regression tree is responding in a way consistent 359 with current understanding of OH chemistry, although there are limitations to the information that can 360 be gleaned from these metrics. We evaluate the regression tree parameterization using two metrics, 361 the Gain feature importance as output by the XGBoost package, and SHAP values.

362

#### 363 3.2.1 Investigating the Gain feature importance

364 The Gain feature importance (Chen and Guestrin, 2016) is a measure of the improvement in model 365 accuracy achieved from adding branches in the model corresponding to a specific input variable. The 366 Gain value therefore indicates the relative importance of each input for the model as a whole but not 367 for individual datapoints. The Gain values for each input for the January and July models are shown in 368 Figure 5. While there are differences between the two months, several features are similar. Variables 369 that indicate geographic location (e.g., SZA, latitude, and pressure) and chemical species that have 370 previously shown to be dominant drivers of OH variability (e.g., NO<sub>2</sub>, O<sub>3</sub>, CO) and/or OH proxies (e.g., 371 HCHO) (Wolfe et al., 2019; Murray et al., 2021) have some of the highest Gain values. As we show 372 below, caution should be used in extrapolating results from the Gain values to a process-based 373 understanding of OH without prior knowledge of its response to chemical and dynamical drivers. 374

The relative importance of variables that indicate location is consistent with OH chemistry and previous parameterization studies. Primary OH production is driven by the photolysis of O<sub>3</sub> followed by the subsequent reaction of the O<sup>1</sup>D radical, produced from that photolysis, with water vapor (e.g., Spivakovsky et al., 2000). Thus, the OH distribution is strongly dependent on SZA, latitude, and pressure. This is consistent with the parameterization, where SZA and latitude have the highest Gain values for both months examined here, as well as with the results of Duncan et al. (2000), who

- highlighted the importance of latitude in their parameterization.
- 382

Similarly, the chemical species that are most important for controlling OH distribution on the global scale also tend to have higher Gain values. As discussed above, O<sub>3</sub> and NO<sub>x</sub> chemistry is instrumental in controlling primary and secondary OH production on global scales (e.g., Spivakovsky et al., 2000;Anderson et al., 2021), consistent with their comparatively high Gain values. HCHO, an oxidation product of the reaction of OH with many VOCs, has been found to be a suitable proxy for OH in the remote atmosphere (Wolfe et al., 2019), consistent with its relative importance in both the July and January models.



390

Figure 5: The feature importance (gains) of the January (a) and July (b) parameterizations as calculated by XGBoost.
 Variables are sorted by their relative importance. WCLD = Water cloud; ICLD = Ice Cloud; OD = Optical Depth.
 "Above" and "below" for the optical depth variables indicate the optical depth above and below a particular model
 grid box. Colors have no specific meaning but are specific to individual inputs for all panels of Figures 5 and 6.

396 The relative importance of global OH sinks in the parameterization, however, demonstrates the 397 limitations of using the Gains values to interpret the regression tree model in a process-based way. CO, 398 the dominant OH sink on a global scale (Spivakovsky et al., 2000), is the most important chemical input 399 for the January parameterization, although it is relatively unimportant in the parameterizations for all 400 other months. While tropical CO variability in MERRA2 GMI and biomass burning emissions (Duncan, 401 2003b) are larger in boreal winter than July, there is no process-based explanation for why CO should be 402 different in January from December or February. Differences in the relative importance of CO between 403 the two months does not imply that OH sensitivity to CO in MERRA2 GMI or the atmosphere varies in 404 the same manner. Instead, the differences simply indicate that the parameterization algorithm finds CO 405 to be more useful in predicting OH in January than July. Similarly, CH<sub>4</sub>, the second most important OH 406 sink on the global scale, has low Gain values, suggesting it has little impact on model performance. This

is likely because, in the MERRA2 GMI simulation, CH₄ concentrations vary little within a given latitude 408 band due to CH<sub>4</sub> surface concentrations being set as a boundary condition. The methane distribution 409 therefore provides little additional information beyond that already contained in the variables that indicate location.

410 411

#### 412 3.2.2. Investigating parameterization SHAP values

413 While the Gain values indicate the relative importance of species in the parameterization and can 414 provide some information as to whether the parameterization behaves in a manner consistent with our 415 understanding of OH chemistry, the metric only provides information about the dataset as a whole. 416 Gain values can therefore obscure the importance of variables that only strongly impact the 417 parameterization for a small subset of the data. To better understand the relative importance of 418 variables as well as the spatial variability in that importance, we also calculate the SHAP values 419 (Lundberg and Lee, 2017), which provide information on the relative importance of each datapoint input 420 into the model.

421

422 In the context of machine learning, Shapley values, an idea first developed for game theory 423 (Shapley, 1953), indicate the average contribution of an individual model input to all possible 424 combinations of inputs. For example, to calculate the Shapley value of the variable X for a hypothetical 425 machine learning model with three input variables X, Y, and Z, first a model would be trained with all 426 three variables. A new model would then be retrained, omitting X, and the difference between the two 427 models would be calculated to determine the contribution of X. This process would then be repeated 428 with different permutations of input variables (e.g., X and Y, X and Z) to determine the contribution of X 429 in those instances. The final Shapley value is the average of the contribution from these different 430 models. SHAP values use the same concept but in a manner that reduces the computation time 431 (Lundberg and Lee, 2017), as this process could become prohibitive for a model, such as the 432 parameterization of OH, that contains 27 inputs.

433

434 We calculate SHAP values using the TreeExplainer API of the SHAP package available for Python. 435 One limitation of the algorithm used to calculate SHAP values is that it is too computationally expensive 436 to calculate the SHAP values for the tuned regression tree model. Computational time to calculate SHAP 437 values for the troposphere at the native model resolution for one day is several months. Maximizing 438 computational speed by degrading the model resolution and running the SHAP package with GPUs, 439 would take approximately 4 days for one model day. Calculating SHAP values for a model with default 440 model hyperparameters, however, takes minutes. This is due to the large reduction in the number of 441 trees (100 to 10) and the maximum tree depth (18 to 6) in the parameterization, which significantly 442 speeds up the creation of new regression trees needed in the SHAP value calculation.

443

444 We first evaluate the feasibility of using the SHAP values for the untuned model to explain the 445 parameterization behavior. To test this, we created a subset of 5000 OH values from the 446 parameterization training dataset that spanned the full range of OH concentrations. We then calculated 447 the SHAP values for the July parameterization with tuned hyperparameters as well as for a July 448 parameterization using the default XGBoost hyperparameters. For the variables found to be most 449 important for the parameterization (e.g., SZA,  $NO_2$ ,  $O_3$ , isoprene, HCHO, latitude), there are strong 450 correlations ( $r^2$  of 0.97 or higher) for the SHAP values between the tuned and untuned model, resulting 451 in similar spatial distributions, although there are differences in the magnitude. For other variables, 452 correlation is much weaker, although the relative importance of variables is similar for the tuned and 453 untuned parameterizations. We therefore restrict our analysis primarily to variables that have highly

454 correlated SHAP values between the tuned and untuned models and discussion to the relative 455 importance of the different variables.

456

457 The distribution of SHAP values for the training dataset for July demonstrates the importance of 458 including each of the variables as inputs to the parameterization as well as the large variability in their 459 relative importance. Figure 6 shows the distribution of the SHAP values for each input parameter of the 460 approximately 3.2 million datapoints used to train the July parameterization. The median SHAP values 461 (Fig. 6) show similar ordering as the Gains feature importance (Fig. 5), with variables that indicate 462 location as well as  $O_3$  and  $NO_2$  being the most important in both cases. When looking at the distribution 463 of the SHAP values, however, it becomes apparent that variables that appear to be unimportant for 464 parameterization performance in the mean (e.g., propene and CH<sub>4</sub>) can have large importance for 465 individual datapoints. For example, although propene can be locally important for OH chemistry, due to 466 its reactivity, concentrations in the remote atmosphere are low, making the species seem unimportant 467 in the aggregate. Similar results are found for the January parameterization (Fig. S6). As discussed in 468 Section 2.2, the SHAP values provide a rationale for including each of these species in the 469 parameterization.

470



471 472 Figure 6: Distribution of the absolute SHAP value for each parameterization input for July from an untuned version 473 of the parameterization of OH. Input parameters are sorted by order of relative importance. The median is indicated 474 with the black line, edges of the box represent the interquartile range, and whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> 475 percentile. Values outside this range are indicated with circles. Note that the SHAP value for propane is zero, 476 indicating that it is not used by the untuned parameterization.

477

478 The SHAP values also demonstrate the spatial distribution of the relative importance of the 479 different chemical OH drivers. Figure 7 shows the relative importance of NO<sub>2</sub>, as determined by the 480 SHAP values for the untuned parameterization, for both the zonal mean and the LFT. Note that the 481 untuned parameterization has large errors for low OH concentrations, so SHAP values poleward of 45 °S 482 should be viewed as more uncertain than those elsewhere. In both the horizontal and vertical, the SHAP 483 values demonstrate that the parameterization captures the spatial pattern of the relative importance of 484  $NO_x$  for OH production. The spatial pattern in Figure 7a, for example, has the highest contribution of 485  $NO_2$  to the total SHAP value in the tropical UFT and in the northern hemisphere midlatitudes. This is 486 nearly identical to the spatial pattern of the relative contribution of the NO +  $HO_2$  reaction to overall OH

487 production in the MERRA2 GMI simulation (Anderson et al., 2021). Likewise, in the LFT, the contribution

488 from NO<sub>2</sub> maximizes over continental regions with high emission and minimizes over the remote oceans.

489 The spatial pattern of SHAP values of isoprene also agree with OH chemistry, maximizing in regions of 490 strong biogenic emissions and minimizing over oceans (Fig. S7). These SHAP values demonstrate that,

490 strong biogenic emissions and minimizing over oceans (Fig. S7). These SHAP values demonstrate that, 491 although the parameterization is not process-based, its behavior at least partially accords with our

- 492 understanding of OH chemistry.
- 493



Fraction of Total Snap Value
 Figure 7: The fraction of the contribution of the NO<sub>2</sub> SHAP value to the sum of the absolute SHAP value of all inputs
 in July is shown for the zonal mean (a) and the LFT (b). Regions where mean OH mixing ratios are below 0.03 pptv,
 the point below which the untuned parameterization is unable to reasonably predict OH, are indicated by the
 stippling.

## 500 3.3 Case Study: Testing the parameterization response to the 2016 El Niño Event

501 While we have demonstrated that the parameterization can satisfactorily reproduce OH during all 502 months of 2005, we now investigate its ability to capture OH accurately during the 2016 El Niño event. 503 which we excluded from the training dataset. Evaluating how the parameterization responds to 504 deviations from the climatological mean of the inputs during a large-scale event on which it was not 505 trained, such as the 2016 El Niño, is a strong test of its ability to predict extremes in OH as well as to 506 respond to deviations from the climatological mean of the parameterization inputs. The response of the 507 parameterized OH to these extremes in inputs will also provide a further test of the ability of the 508 parameterization to behave in a process-based way.

509 510 El Niño events lead to dramatic changes in the concentrations and distributions of many OH 511 drivers, including O<sub>3</sub> (Oman et al., 2011;Oman et al., 2013), CO (Duncan, 2003a;Rowlinson et al., 2019), 512 NO<sub>x</sub> (Murray et al., 2013; Murray et al., 2014) and water vapor (Shi et al., 2018; Anderson et al., 2021). 513 As such, the El Niño Southern Oscillation (ENSO) is the dominant mode of OH variability throughout 514 much of the troposphere and can result in localized changes in OH on the order of 40 – 50% from the 515 climatological mean (Anderson et al., 2021; Turner et al., 2018). Changes in secondary production from 516  $NO_{X}$  in the UFT and changes in primary production from  $O_{3}$  in the PBL and LFT drive the ENSO related 517 variability of OH (Anderson et al., 2021). Methane emissions also vary strongly with the ENSO phase 518 (Zhang et al., 2018;Worden et al., 2013). In order to capture the OH/CH<sub>4</sub>/CO system correctly, the 519 parameterization must be able to capture ENSO-related OH variability. 520

Here, we investigate the ability of the parameterization to capture OH during the El Niño events of
 1997/98 and 2015/16, two of the largest such events during the period of the MERRA2 GMI simulation

523 according to the Multivariate ENSO Index (Wolter and Timlin, 2011). The 1997/98 event, which was 524 included in the training dataset, was a prototypical example of an Eastern Pacific (EP) El Niño, 525 characterized by sea surface temperature (SST) anomalies extending to the coast of South America. In 526 contrast, the 2015/16 event was a blend of an EP and a Central Pacific (CP) El Niño, also known as El 527 Niño Modoki, where SST anomalies extend only to the international dateline (Paek et al., 2017). These 528 different "flavors" of El Niño affect atmospheric distributions of OH drivers, such as water vapor (Du et 529 al., 2021), in different ways, suggesting different impacts on OH. While we did include other blended El 530 Niños (1986/87, 1987/88, and 1991/92) (Kug et al., 2009) in the training dataset, each had responses in 531 the atmospheric distribution of OH and its drivers distinct from the 2015/16 event. We focus our 532 investigation on January and the MFT, the time and location of the strongest correlation between ENSO 533 and OH (Anderson et al., 2021) in the MERRA2 GMI simulation. We also restrict the analysis to the OH 534 precursors, NO<sub>2</sub>, CO, and O<sub>3</sub>, as they have both a strong influence in the variability of ENSO-related OH 535 production/loss and have comparatively large feature importance and SHAP values in the January 536 parameterization.

537

538 For both the 1997/98 and 2015/16 El Niño events, each OH driver examined deviates strongly 539 from the climatological mean, defined as the average value from the MERRA2 GMI simulation over all 540 Januarys from 1980 - 2019. Both O<sub>3</sub> and NO<sub>2</sub> have pronounced positive anomalies over the western 541 Pacific and maritime continent and negative anomalies over the eastern Pacific (Fig. 8) that extend 542 throughout much of the free troposphere (Fig. S8), likely associated with changes in the Walker 543 Circulation as described in Oman et al. (2011). The positive anomalies over Indonesia show a distinct 544 westward shift during the 1997/98 event as compared to 2015/16, highlighting the variability in the 545 effects of ENSO on emissions and transport. CO has a large positive anomaly over much of the globe, 546 attributable to the increases in biomass burning during El Niño events (e.g., Duncan, 2003a). As with O<sub>3</sub> 547 and NO<sub>2</sub>, there are large differences in the spatial pattern of the CO anomalies between the two events, 548 particularly over the Indian Ocean, central Africa, and South America.

549



550 551

Figure 8: Fractional difference of the indicated variable between January 1998 (left) and the climatological mean
 (1980 – 2019) calculated from the MERRA2 GMI simulation for the MFT. The same values but for January 2016 are
 indicated on the right. Species shown are CO (a,b), NO<sub>2</sub> (c,d) and O<sub>3</sub> (e,f).

554

The differences in anomalies of the OH drivers between the 1997/98 and 2015/16 El Niño events lead to distinct anomaly patterns in OH itself. During the 1997/98 event, in the MFT, there are 557 noticeable positive anomalies in OH over much of the Indian Ocean basin, the southeastern Pacific, 558 South America, and the western Atlantic Ocean (Fig. 9). During 2015/16, the positive anomalies were 559 more limited and most noticeable in the tropical western Pacific Ocean and southern Indian Ocean. 560 Along the equator, the positive anomalies extended throughout a larger portion of the troposphere 561 during January 1998 than 2016. Both the parameterization inputs and the OH itself respond strongly 562 and in different ways to each El Niño event, providing a strong test to determine the robustness of the 563 parameterization.

564 The parameterization reproduces the ENSO-related OH anomalies for both El Niño events with 565 remarkable fidelity. We ran the parameterization for all Januarys from 1980 to 2016 to calculate a 566 climatology and calculated the deviations for 1998 and 2016 from that value. For both events, the 567 parameterization accurately captures the location and magnitude, as well as the spatial pattern, of the 568 OH anomalies with a few minor exceptions in the horizontal and vertical (Figs. 9 and S8). Correlation 569 between the MERRA2 GMI and parameterized anomalies plotted in Figure 9 has an r<sup>2</sup> of 0.93 or higher 570 for both years. The parameterization is therefore capable of reproducing both the climatological mean 571 of OH as well as large deviations in the mean in response to strong climatological deviations in the

- 572 model inputs, even for years excluded from the training dataset.
- 573



574 575 Figure 9: Fractional difference of the indicated variable between January 1998 (left) and the climatological mean 576 (1980 – 2019) calculated from the MERRA2 GMI simulation averaged over the MFT. The same values but for January 577 2016 are indicated on the right. Species shown are OH from the MERRA2 GMI simulation (a,b) and OH calculated by 578 the parameterization (c,d).

#### 579 4.0 Discussion and Summary: The parameterization of OH as a tool for scientific research

580 In this manuscript, we present a new methodology to generate a parameterization of OH that, as 581 compared to previous methods (e.g., Spivakovsky et al., 1990; Duncan et al., 2000), is efficient and easy

- 582 to use, allowing the user to rapidly update the parameterization of OH as necessary. The new method
- 583 uses GBRTs and a full-chemistry simulation from a CCM as the training data to generate the
- 584 parameterization of OH with a high degree of accuracy relative to the full-chemistry simulation. We 585 illustrated our methodology with a parameterization designed for the ECCOH module of the GEOS CCM.
- 586
- 587 The parameterization of OH accurately reproduces OH from the full-chemistry simulation on 588 which it was trained, but it may not produce the desired accuracy for a given time period or scenario 589 outside the range represented in the training data. Of course, the degree of degradation in accuracy 590 depends on how far inputs exceed the ranges of the training dataset. In addition, the parameterization
- 591 of OH generated using inputs from one model may not be portable to another model or even a different

configuration of the same model as shown below. The simulated relationships between OH and the
input parameters may differ because of inter-model variations in the chemical, dynamical, and radiative
schemes. Ultimately, it is up to the user to determine an acceptable level of degradation for a specific
research topic. In this section, we give an example of the degree of degradation in accuracy for a
parameterization of OH that uses 1) a different time period for the same model and 2) input parameters
from a different model.

598

### 599 **4.1: Input parameters from a different time period for the same model setup**

600 Analysis of a separate model simulation, the Chemistry Climate Model Initiative (CCMI) GEOS 601 simulation (Morgenstern et al., 2017), highlights possible limitations in extending the parameterization 602 to years outside of those on which it was trained, particularly if there is a strong trend in one of the 603 inputs. The GEOS CCMI simulation has unconstrained meteorology, spans 1960 – 2100, and has a 604 horizontal resolution of 2.0° latitude × 2.5° longitude. Emissions for precursors of tropospheric O<sub>3</sub> and 605 aerosols are from the RCP6.0 scenario. We trained two new parameterizations on the CCMI dataset, 606 denoted CCMI2019 and CCMI2060, using data from 1980 – 2019 and 1980 – 2061, respectively. We 607 used the same methodology to create the training datasets as for the MERRA2 GMI parameterization. 608 CCMI output are only available at monthly resolution, so we trained the CCMI parameterizations on 609 monthly, instead of daily, averaged values. Every 10<sup>th</sup> year, staring in 2000, was omitted from the 610 training dataset for validation.

611

612 While the CCMI2019 parameterization performed similarly to the MERRA2 GMI 613 parameterization for years included in the training dataset, performance degraded significantly for years 614 beyond 2019. The CCMI2019 parameterization captured the  $\tau_{CH4}$  for 2000 and 2010 within 1% (Fig. 10, 615 red line) and the NRMSE within 5% (not shown). When we applied the parameterization to years 616 outside of the training window, however, performance degraded quickly and, by 2060, underestimated 617  $\tau_{CH4}$  by about 4%. The CCMI2060 parameterization, on the other hand, captures the  $\tau_{CH4}$  lifetime within 618 0.5% for all validation years.

619

620 The reason for this performance degradation is likely due to input parameters that extend 621 beyond the range used in the training dataset. For example, there is a strong positive trend in the 622 stratospheric  $O_3$  column (Fig. 10), which results in chemical environments in 2060 that did not exist in 623 the 1980 – 2019 training dataset. Other variables with strong trends, such as CH₄ and temperature, as 624 well as different large-scale dynamical patterns, could also decrease parameterization performance. 625 These results strongly suggest caution when applying the parameterization to future scenarios outside 626 of the training window. For example, experiments investigating relative changes in a species (e.g., 627 understanding how a 10% decrease in OH would affect tropospheric CH<sub>4</sub> abundance) could likely be 628 successfully implemented, while those trying to understand absolute differences in CH<sub>4</sub> would require 629 significant care to ensure valid results.



632 *Figure 10:* Time series showing the percent difference between  $\tau_{CH4}$  calculated from the CCMI simulation and from 633 three separate parameterizations: one trained on MERRA2 GMI output from 1980 – 2019 (blue circle), one trained 634 on CCMI output spanning 1980 – 2019 (red triangle), and one trained on CCMI output spanning 1980 – 2060 (purple

635 square). The stratospheric  $O_3$  column (orange star) from the CCMI simulation averaged over 30 S to 60 N, the region

636 where tropospheric CH<sub>4</sub> loss to OH maximizes (Fig. 3), is also shown. All data are for July.

#### 637 4.2 Input parameters from a different model setup

638 Similar to applying the parameterization outside of the timeframe on which it was trained, 639 applying the parameterization to a different model setup also warrants caution, as the differences can 640 result in new chemical environments on which the parameterization was not trained. We now discuss 641 parameterization performance when output from the CCMI simulation discussed in Section 4.1 is input 642 into the MERRA2 GMI-trained parameterization. Despite both simulations being from the GEOS 643 framework, the CCMI simulation setup differs from the MERRA2 GMI simulation in emissions, time 644 frame, resolution, and meteorology (unconstrained vs specified dynamics), among others. Because 645 CCMI output is only available at a monthly resolution, we created a separate parameterization, 646 hereafter referred to as "M2GMI-monthly", using MERRA2 GMI output with identical parameterization 647 inputs as the daily parameterization but using monthly-averaged values. Performance is similar to that 648 of the parameterization trained on daily data and averaged over monthly timescales, with the NRMSE 649 for the troposphere on the order of 6 -7% depending on the year. 650

651 When output from the CCMI simulation is used as inputs to the M2GMI-monthly 652 parameterization, performance degrades significantly. For July 2000, for example, there are distinct 653 regions of both positive and negative biases (Fig. 11a) in parameterized OH, resulting in a NRMSE of 654 13%, on par with using climatology as an OH estimate. Because the largest discrepancies are centered 655 outside of the tropics and/or in regions with low concentrations,  $\tau_{CH4}$  for year 2000 is identical between 656 the CCMI and parameterized OH. When applied to 2060 (Fig. 11c), which is far outside the training 657 period of the M2GMI-monthly parameterization, there is a near universal high bias in parameterized OH, 658 resulting in a NRMSE of 16% and a  $\tau_{CH4}$  biased low by 4.5%. This overestimate results in a negative trend 659 in  $\tau_{CH4}$  from parameterized OH from 2000 to 2060 (Fig. 10, blue line), despite the trend in the CCMI 660 simulation being positive. Applying the MERRA2 GMI parameterization to a study using the CCMI setup 661 would therefore misrepresent the  $OH/CH_4$  cycle.

662





668 Through an analysis of the ranges of input parameters from both simulations, we found that the 669 differences in parameterization performance for inputs from MERRA2 GMI and CCMI are likely largely 670 attributable to differences in the stratospheric  $O_3$  distributions between the two simulations. In 2060, 671 for example, CCMI stratospheric  $O_3$  has a much higher frequency of values above 300 DU than the 672 M2GMI-monthly training dataset (Fig. 12). A smaller, but still noticeable, shift in the distribution is also 673 found for the year 2000 (Fig. S9). Likewise, the accuracy of the M2GMI-monthly parameterization 674 decreases from 2000 - 2060 as the stratospheric O<sub>3</sub> burden increases (Fig. 10, red line). Mechanistically, 675 higher stratospheric ozone in CCMI should result in lower tropospheric OH because the reduction in 676 incoming ultraviolet radiation limits tropospheric O<sub>3</sub> photolysis. This could also lead to a higher CO 677 burden, due to the smaller OH sink. Comparisons between the OH and CO distributions from the two 678 simulations are consistent with this hypothesis. Even though the M2GMI-monthly training dataset 679 spanned the full range of stratospheric  $O_3$  values from the CCMI simulation, the frequency of 680 stratospheric O<sub>3</sub> values at higher concentration likely creates chemical environments in the CCMI 681 simulation distinct from those in MERRA2 GMI, forcing the parameterization to extrapolate to a 682 chemical space on which it was not trained. This highlights the need to compare the distribution of any 683 parameterization inputs to that of the training dataset. 684





*Figure 12:* Histograms showing the distribution of stratospheric column  $O_3$  (a), tropospheric OH (b) and tropospheric 687 CO (c) from the M2GMI-monthly parameterization training dataset (red) and from the CCMI simulation for 2060 688 (blue). Purple indicates areas of overlap between the two distributions.

689 Again, performance improves significantly when we apply output from the CCMI simulation to the 690 CCMI2060 parameterization. The regions of consistent high bias notable when CCMI output was applied 691 to the M2GMI-monthly parameterization are absent for both 2000 and 2060, and NRMSE shows a factor 692 of three improvement over the previously discussed scenario. Likewise, for all validation years, the 693 parameterized OH resulted in a  $\tau_{CH4}$  that agreed with the CCMI simulation between 0 and -0.46% (purple 694 line, Fig. 10).

695

696 We conclude that for best performance, a separate parameterization should be created for each 697 new modeling framework to capture OH variability accurately. This will not create an undue 698 computational expense on an experiment. Because a full chemistry simulation is necessary to create the 699 parameterization inputs of chemical species that are not calculated online, the necessary data to create 700 a training dataset will already be available. The only additional time will be that required to format the 701 regression tree inputs and to train the model, which takes approximately 2 - 3 hours for each month. 702 This process can be performed using previously created python scripts with minimal changes. The 703 flexibility that this modeling framework permits will facilitate its use even if there are major changes to 704 the underlying model chemistry or dynamics.

705

#### 706 5.0 Code Availability

707 The scripts used to create the training datasets and a sample script to create a parameterization have

- 708 been archived by Zenodo at https://doi.org/10.5281/zenodo.6046037 (Anderson, 2022a). A sample
- 709 parameterization for the ECCOH module trained on MERRA2-GMI output is available at
- 710 https://doi.org/10.5281/zenodo.6604130 (Anderson, 2022b).
- 711

### 712 6.0 Data Availability

- 713 Output from the MERRA2 GMI simulation are publicly available at https://acd-
- 714 ext.gsfc.nasa.gov/Projects/GEOSCCM/MERRA2GMI/. The training dataset and training targets for the
- 715 July parameterization presented here are available at https://doi.org/10.5281/zenodo.6604130
- 716 (Anderson, 2022b). Output from the GEOSCCM simulation for CCMI is available at the Centre for
- 717 Environmental Data Analysis (CED), the Natural Environment Research Council's Data Repository for
- 718 Atmospheric Science and Earth Observation, at http://data.ceda.ac.uk/badc/wcrp-ccmi/data/CCMI-
- 719 1/output.
- 720
- 721 7.0 Author Contributions

- 722 DCA wrote the manuscript, performed the data analysis, and created the parameterizations. BND and
- 723 MBFC developed the idea for the parameterization. SAS performed three-dimensional modeling for the
- work. JMN and PDI provided advice on machine learning. All authors helped develop ideas for the
- 725 analysis and contributed to the manuscript,
- 726

### 727 8.0 Competing Interests

- 728 The authors declare that they have no conflict of interest.
- 729

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