- **1 Point-by-point response to the reviews**
- 2 3 **Referee 1**
- 4

5 We thank this reviewer very much for the detailed and constructive comments on this 6 work. We have made changes to the manuscript accordingly. We colored our 7 response in blue. Text from the manuscript is quoted with double quotation marks and 8 new text is shown in *italics*.

- 10 General comments
- 11

9

This article presents multiple developments included in the dust cycle representation 12 13 within the CAM6.1 model and assesses their impact on relevant variables, such as the 14 dust surface concentration, deposition, size distribution, optical depth and direct radiative effect. The work conducted provides relevant information beyond the dust 15 16 modeling community, as dust has impacts on different features of the atmospheric 17 dynamics and chemistry, the climate and the Earth System. As such, I believe this 18 article is well within the scope of the Geoscientific Model Development journal, it 19 presents novel results, and it deserves publication.

20

21 Many thanks for the positive comments.

22

However, in my view, in its current form the reader has to put in a considerable effort
to follow the details of the massive amount of work presented.

- 25
- 26 Thanks for the comments and time in reviewing the manuscript.
- 27

The authors present nine different experiments: five defining dust as a bulk species and four experiments considering speciated dust. This involves a duplication of experiments in which one (or several) of the new developments are tested, and adds an additional variable to the analysis, making it harder to focus on the specific impact of the new aspects included in the model.

33

34 With respect to the experiments design, the authors could better clarify the criteria used to include the new features in the tests. Instead of relying on a baseline (e.g. 35 36 CAM6.1), and adding separately to that configuration the different developments (on 37 the emissions scheme, dry deposition, size, or asphericity), the authors combine multiple developments in the different experiments. I believe these combinations could 38 39 hinder a clean comparison of the effect of each development (e.g. looking at Table 4 40 it is difficult to know which pair of experiments allows disentangling the effect of shape 41 and deposition changes). This issue is accentuated by the fact that the experiments 42 are referenced along the manuscript by different names or acronyms, which further 43 complicates tracing them.

44

45 We acknowledge that adding new developments one by one seems clearer than our 46 original experiment design. But it requires more simulations and thus more 47 computational resources while yielding a similar estimate of the impact of each development (Fig. R1) compared to what we had presented based on our original 48 49 experiments. We had selected the original set of experiments, because adding a 50 modification on top of a previous change can help understand how the simulated dust 51 cycle evolves while updating the model (MINE BASE) toward the most advanced one 52 (CAM6. α MINE).

53



54

Fig. R1. Influence of change to PZ10 on the simulated dry deposition fluxes in the
dust-speciated model (change to the global annual mean of dry dust deposition: ~70
Tg) based on our experiment (a) and the suggested experiment by the reviewers (b).
Quantified change to the global annual mean of dry dust deposition equals to ~70 Tg
by either method.

60

61 The BULK runs were constructed to investigate how the incorrect dust size distribution 62 influences the dust cycle modeling and the estimate of dust DRE. This incorrect size distribution has been employed in studies using the officially released BULK CAM6 63 64 and not in any study using the dust-speciated CAM. So, we do not have a good reason 65 to perform sensitivity tests on dust size distribution in the speciated-dust (MINE) runs. 66 What's more important is that quantifying the impact of individual processes, based 67 on the base CAM6.1 that uses an incorrect dust size distribution, seems not that 68 meaningful: it makes more senses to use the model with the "correct" size distribution. 69 That is why in all the MINE runs designed for that purpose we revert the narrow coarsemode size distribution to the broad one. Also, following the reviewer's experiment 70 71 design would change little to the results obtained from our experiments on the dust 72 cycle modeling. The reason is that the offline dynamics and the employed dust tuning

73 ensure quite similar dust cycles modeled by BULK and MINE with different 74 developments (Fig. R2 and Fig. R3), if the size distribution is also set to be identical, since the sum of the mass fraction for each of the eight minerals always equals unity. 75 We had pointed out this similarity in our originally submitted manuscript: "It is worth 76 77 noting that with the dust tuning applied toward the similar global mean DOD of ~0.03, 78 the modeled dust cycle (i.e., burdens, concentrations, loadings, deposition fluxes) 79 would be similarly comparable between the bulk and speciated dust models using the 80 same offline dynamics and dust size distribution". Repeating the set of simulations using BULK instead to quantify the impact of each altered process would then yield 81 82 similar results to what we presented in the manuscript.





84

Fig. R2. Surface dust emissions (a; global annual mean=2891 Tg) and deposition
fluxes (b; global annual mean=2893 Tg) simulated by CAM6.α and their differences (c
and d; both global annual mean=22 Tg) between CAM6.α_MINE and CAM6.α.



89

Fig. R3. The same as Fig. R2 but for DOD (a: global annual mean=0.030 and c: global mean difference=0.001) and dust burdens (b: global annual mean of dust mass=24
Tg and d: global mean difference≈0 Tg), respectively.

93

Following the Reviewer's suggestion, we added the following in the section "2.6Experiment design":

96

97 "We quantify the impacts of the incorrect dust size distribution using the bulk-dust 98 model because the incorrect size distribution has been employed in previous studies 99 using the officially released bulk-dust CAM6 only but not the speciated-dust model. It 100 is also reasonable to make all the quantifications in the model that use a correct dust 101 size distribution. Therefore, we reverted the dust size distribution in all the speciated-102 dust runs to that configured in CAM5."

103

104 "It is worth noting that with the dust tuning applied toward the similar global mean DOD of ~0.03, the modeled dust cycle (i.e., burdens, concentrations, loadings, and 105 106 deposition fluxes) would be similarly comparable between the bulk- and speciateddust models that nudged toward identical offline dynamics and using the same dust 107 108 size distribution (see Sect. 6). The quantified effect of each of the modifications would 109 thus be similar if using the bulk dust model instead (Fig. S2: R1 in this document), but the modeled dust optical properties (e.g., single scattering albedo) by the bulk and 110 111 speciated dust models differ considerably, resulting in considerably different dust DRE (Scanza et al., 2015) and DRE efficiencies between NEW EMIS (CAM6.a) and 112 MINE_NEW_EMIS (CAM6.α_MINE)." 113

114

115 "A comparison of the bulk- and speciated-dust models on simulating dust DRE had been previously documented (Scanza et al., 2015). This study includes the speciated 116 dust runs because we want to verify if the updates help improve the agreement with 117 118 the observed dust DRE efficiency in the dust-speciated model, which could better 119 represent the spatial variation of the dust optical properties." 120 121 "Note that there are many ways to conduct sensitivity studies, which could lead to slightly different results. We added the modification on top of the previous change to 122 123 understand how the simulated dust cycle evolves while updating the model 124 (MINE BASE) toward the most advanced version (CAM6.a MINE). This may not 125 hinder a clean comparison of the effect of each development since the 'interaction' 126 between the existing and newly introduced parameterizations seems weak (Fig. S2: 127 R1 in this document)." 128 129 To clarify how we quantify the effect of each development, we added two columns in 130 Table 4 pointing out the size distribution used and purpose of each experiment and 131 added the following text in the "Experiment design" section: 132 133 "We quantified the impact of each of the modifications (Z01 to PZ10, spherical to 134 aspherical dust, and DEAD to BRIFT) on the simulated dust cycle and DRE by 135 differentiating corresponding results in the paired simulations that contain identical 136 developments except for the targeted modification. Specifically, we quantified the impact of changing (1) Z01 to PZ10 by taking the difference between the simulation 137 with Z01 (MIN NEW EMIS SHAPE) and that with PZ10 (CAM6.α MIN), (2) spherical 138 139 to aspherical dust between the simulation with special dust (MINE NEW EMIS) and 140 that with spherical dust (MIN NEW EMIS SHAPE), and, (3) DEAD to BRIFT 141 between the simulation using DEAD (MINE_NEW_EMIS) and that using BRIFT 142 (MINE BASE)." 143 144 To easily trace the experiments, we now refer to them using their case names instead 145 of EXP# all through the text. 146 147 Finally, we added a separate new section to compare results from BULK with those 148 from MINE: 149 150 "6. Bulk- versus speciated-dust model 151 152 The bulk (CAM6. α) and dust-speciated models (CAM6. α _MINE) simulate a similar 153 dust cycle with the difference between the two types of models orders of magnitude 154 smaller than the dust cycle itself modeled either by CAM6.a or CAM6.a_MIN (e.g., Fig. 155 12 and 13: R2 and R3 in this document, respectively). This similarity results from 156 several factors. 157

- 158 1) tuning the dust cycle to a global mean DOD of 0.03;
- 159
- 160 2) nudging both models towards the same meteorology dynamics;
- 161

162 and 3) conserving the dust mass when speciating the dust-aerosols such that 163 summing the mass fraction of each dust species equals unity. For the same reasons, 164 the influence of each of the modifications on the modelled dust cycle quantified using 165 the bulk model instead of the dust-speciated model, as this study used, would be 166 similarly comparable.

167

What differs remarkably is the modeled dust optical properties between the speciated-168 and bulk-dust simulations. For example, the speciated-dust model (CAM6.a MIN) 169 170 yields a lower global-mean dust SSA than the bulk-dust model (CAM6. α): 0.896 versus 171 0.911 (Table 6) at the visible band centered at 0.53 µm. Note that the dust DRE is sensitive to variation of the dust SSA. This lower dust SSA obtained here in the dust 172 173 speciated model than in the bulk dust model is consistent with the finding of a previous 174 study (Scanza et al., 2015) using an earlier model version (CAM5). Correspondingly, 175 CAM6. α MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) 176 relative to CAM6.a.

177

178 For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 179 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W 180 $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave (CAM6.a: RMSE=4.0 W $m^{-2} \tau^{-1}$; R=0.86 versus CAM6.a MINE: RMSE=3.0 W $m^{-2} \tau^{-1}$; 181 R=0.84) or both spectral ranges (CAM6. α : RMSE=7.0 W m⁻² τ ¹; R=0.93 versus 182 183 CAM6.a MINE: RMSE=6.0 W $m^{-2} \tau^{-1}$; R=0.92). This comparison suggests that 184 modeling dust as component minerals with the dust size distribution in coarse mode of MINE_NEW_EMIS_SIZE helps improve the model performance relative to 185 186 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a). 187

- 188 The improvement in reproducing the retrieved dust DRE efficiency, however, could be 189 artificial because of the combined use of the imaginary part of the complex refractive 190 index of hematite and the volume mixing rule used in the dust speciated model to 191 compute the bulk-dust complex refractive index (Li et al. in prep.). This combination 192 could lead to more absorptive dust than the bulk dust model (Fig. 9a and Table 6)."
- 193

194 Then, I believe that a fundamental piece of this article is the variety of observations, 195 retrievals, model-derived products and model results that are used for the model 196 evaluation. The modelling community could greatly benefit from the effort done here 197 to compile that information and produce a benchmark for dust properties evaluation at 198 the global scale (in present climate). Unfortunately, these are only presented in the 199 article in a summarized manner (through a table). I would recommend adding in the 200 manuscript at least a discussion on the variables available, their usefulness for 201 modelled dust evaluation and their limitations.

| 202 | |
|------------|--|
| 203 | We moved the supplementary sections to Section 3 in the revised main text and added |
| 204 | more descriptions accordingly. |
| 205 | |
| 206 | Added subsections in Section 3 include (please see contents of each of these |
| 207 | subsections in the revised manuscript): |
| 208 | |
| 209 | "3.1 Surface dust concentrations and dust aerosol optical depth from AERONET", |
| 210 | |
| 211 | "3.2 Surface dust deposition fluxes", |
| 212 | |
| 213 | "3.3 Size distributions of dust aerosol", |
| 214 | · · · · · · · · · · · · · · · · · · · |
| 215 | "3.4 The direct radiative effect efficiency of dust", |
| 216 | |
| 217 | "3.5 Other datasets", |
| 218 | |
| 219 | and, a section to describe the metrics used for model assessment |
| 220 | |
| 221 | "4 Model assessment metrics". |
| 222 | |
| 223 | We also oriented the readers to the discussion section 7 for in-common limitations |
| 223 | before Section 3.1: |
| 225 | |
| 226 | "Due to limitations in precisely matching the period and locations between model |
| 220 | results and data, the evaluations focus on checking if models can capture overall |
| 228 | features of the measured/observed/retrieved dust cycle and the corresponding dust |
| 229 | DRE efficiency. In addition to this mismatch, we summarize limitations common in all |
| 230 | the model-data comparisons in Sect. 7." |
| 230 | |
| 232 | In order to lighten up the contents of the paper, I would recommend splitting the results |
| 232 | in two different articles, one focusing on the current developments and their impact on |
| 233 | the bulk dust cycle, and another focusing on those improvements that potentially have |
| 234 | an impact on the mineralogy (e.g. the changes on the emission scheme). |
| 235 | an impact on the mineralogy (e.g. the changes on the emission scheme). |
| 230 237 | We had tried to do this but found that having the potential impacts on the mineralogy |
| 237 | by changing to the new dust emission scheme is not enough for a separate paper. |
| 238 | Instead, we added a new section briefly documenting results from the MINE runs such |
| 239 240 | that it makes more sense to have both BULK and MINE runs in this article. |
| 240 241 | |
| | "6 Pulk versus speciated dust model |
| 242 | "6. Bulk- versus speciated-dust model |
| 243 | The bulk (CAM6 a) and dust speciated models (CAM6 a MINE) simulate a similar |
| 244 245 | The bulk (CAM6.a) and dust-speciated models (CAM6.a_MINE) simulate a similar dust evelowith the difference between the two types of models orders of magnitude |
| 245 | dust cycle with the difference between the two types of models orders of magnitude |

- smaller than the dust cycle itself modeled either by CAM6.α or CAM6.α_MIN (e.g., Fig.
 12 and 13: R2 and R3 in this document, respectively). This similarity results from
 several factors.
- 249
- 1) tuning the dust cycle to a global mean DOD of 0.03;
- 251

253

- 252 2) nudging both models towards the same meteorology dynamics;
- and 3) conserving the dust mass when speciating the dust-aerosols such that
 summing the mass fraction of each dust species equals unity. For the same reasons,
 the influence of each of the modifications on the modelled dust cycle quantified using
 the bulk model instead of the dust-speciated model, as this study used, would be
 similarly comparable.
- 259

260 What differs remarkably is the modeled dust optical properties between the speciated-261 and bulk-dust simulations. For example, the speciated-dust model (CAM6.a MIN) 262 yields a lower global-mean dust SSA than the bulk-dust model (CAM6. α): 0.896 versus 263 0.911 (Table 6) at the visible band centered at 0.53 µm. Note that the dust DRE is sensitive to variation of the dust SSA. This lower dust SSA obtained here in the dust 264 265 speciated model than in the bulk dust model is consistent with the finding of a previous 266 study (Scanza et al., 2015) using an earlier model version (CAM5). Correspondingly, 267 CAM6. α MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) 268 relative to CAM6.a.

269

270 For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 271 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W 272 $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave (CAM6.a: RMSE=4.0 W $m^{-2} \tau^{-1}$; R=0.86 versus CAM6.a MINE: RMSE=3.0 W $m^{-2} \tau^{-1}$; 273 274 R=0.84) or both spectral ranges (CAM6.a: RMSE=7.0 W $m^{-2} \tau^{-1}$; R=0.93 versus CAM6. α _MINE: RMSE=6.0 W m⁻² τ ¹; R=0.92). This comparison suggests that 275 276 modeling dust as component minerals with the dust size distribution in coarse mode of MINE NEW EMIS SIZE helps improve the model performance relative to 277 278 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a).

279

The improvement in reproducing the retrieved dust DRE efficiency, however, could be artificial because of the combined use of the imaginary part of the complex refractive index of hematite and the volume mixing rule used in the dust speciated model to compute the bulk-dust complex refractive index (Li et al. in prep.). This combination could lead to more absorptive dust than the bulk dust model (Fig. 9a and Table 6)."

Finally, I would recommend modifying the organization of some of the contents, and re-writing or improving some parts of the text. Also, in some sections, the authors rely excessively on external references, making it difficult to follow the discussion with the

- information provided in the paper itself. My recommendation would be to restructureor adapt the article contents, such that:
- 291

(1) the previous status of the model is clearly defined and the motivation to improveor change the specific dust representation is justified.

- We slightly restructured the Introduction and did not add more content since
 Reviewer #2 thinks the Introduction is highly readable (please see their comment:
 Line 1357-1358 below). Please see our detailed response below (Line 363-421).
- (2) the new developments are described in the current paper in a comprehensive
 manner (i.e. not trusting excessively on the reader to go and check the external
 references).
- 302
- We introduced the key formulas used in the parameterizations, so that the readersdo not have to check those references.
- 305
- 306 (3) the evaluation methodology is explained before the presentation of results, for
- instance adapting current section 3. It would be particularly useful to identify the
- 308 multiple metrics that are going to be used for the model evaluation and their purpose
- (i.e. regional variability, temporal variability, etc.), comment on the dust tuning
 methodology and its impact on the evaluation metrics (if any), as well as to merge
- the description of the observations with the comments on section 5 about the
- 312 limitations of the datasets. Section 5 could be kept to provide an overall assessment
- 313 of the observations limitations on the main conclusions of the article.
- 314
- We added a new section briefly describing the metrics used to assess the model performance, and we kept the original Section 5 (new Section 7 in the revised manuscript) as it was but oriented the readers to it in this section before Section 3.1:
- 318
- 319 "Due to limitations in precisely matching the period and locations between model 320 results and data, the evaluations focus on checking if models can capture overall 321 features of the measured/observed/retrieved dust cycle and the corresponding dust
- 322 DRE efficiency. In addition to this mismatch, we summarize limitations common in all
- 323 the model-data comparisons in Sect. 7."
- 324
- 325 The new section reads as:
- 326

327 **"4 Model assessment metrics**

Metrics used to evaluate the model performance against observations include the root mean square error (RMSE) and correlation efficient (Kendall's T or Spearman's Correlation). Kendall's T and Spearman's Correlation are non-parametric methods that do not require an assumption of data distribution, such as Gaussian or normal. For dust deposition and loadings, correlations calculated are to assess how well models reproduce both their regional climatology mean or one-time observation and the seasonal cycles. Because of a lack of reliable monthly data, assessments for the dust

| 335 | DRE efficiency, DOD from Rideley et al. (2016), and percentages of wet deposition in |
|---|--|
| 336 | the total deposition are on spatial variability based on the regional climatology mean |
| 337 | or one-time observations. We tested the correlation significance of the metrics at the |
| 338 | statistical confidence level of 95%. For the dust DRE efficiency and percentages of |
| 339 | wet deposition, some domains only have a range available, such as the Sahara Desert |
| 340 | (15°-30°N, 10°W-30°E) in the longwave spectral range. For those domains, a mean of |
| 341 | the low and high boundaries of the range is used in the calculation of the Spearman's |
| 342 | Correlation and the corresponding significance test." |
| 343 | |
| 344 | Comments on the dust tuning methodology are now given in the "Experiment design" |
| 345 | section, such as: |
| 346 | |
| 347 | "by modifying a CAM namelist variable, dust_emis_fact, such that the simulated global |
| 348 | mean DOD is ~0.030 at the visible band…". |
| 349 | |
| 350 | Values for the tuning parameters are given in the revised Table 2. |
| 351 | I believe that with these shownes the entire would be would be seeing to follow and it |
| 352 | I believe that with these changes, the article would be much easier to follow and it |
| 353 | would reach a broader audience. |
| 354 | Therefore the constructive evene of innel |
| 355 | Thanks for the constructive suggestions! |
| 356 | |
| 357 | Introduction |
| 358 | I believe this section could be clightly to structured particularly to better clarify the |
| 359 | I believe this section could be slightly re-structured, particularly to better clarify the |
| 360 | current model status, justify the need for improvement in the specific aspects that are |
| 361 | dealt with in this work, and briefly explain how these are going to be approached. |
| 362 363 | We restructured the introduction to reflect these excellent suggestions: |
| 363 364 | We restructured the introduction to reflect these excellent suggestions. |
| 504 | |
| 365 | "As one of the widely used climate models, the Community Atmosphere Model (CAM) |
| 365 366 | "As one of the widely used climate models, the Community Atmosphere Model (CAM) |
| 366 | "As one of the widely used climate models, the Community Atmosphere Model (CAM) contains several weaknesses in modeling the dust cycle. For example, |
| 366 367 | contains several weaknesses in modeling the dust cycle. For example, |
| 366 367 368 | contains several weaknesses in modeling the dust cycle. For example,1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And |
| 366 367 368 369 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust |
| 366 367 368 369 370 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model |
| 366 367 368 369 370 371 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust |
| 366 367 368 369 370 371 372 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; |
| 366 367 368 369 370 371 372 373 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; 2) the current default CESM2.1 uses the dry deposition scheme Zhang et al. (2001; |
| 366 367 368 369 370 371 372 373 374 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; 2) the current default CESM2.1 uses the dry deposition scheme Zhang et al. (2001; Z01 hereafter) developed for particle deposition over smooth and non-vegetated |
| 366 367 368 369 370 371 372 373 374 375 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; 2) the current default CESM2.1 uses the dry deposition scheme Zhang et al. (2001; Z01 hereafter) developed for particle deposition over smooth and non-vegetated surfaces. This scheme, however, underemphasizes the interception loss, the |
| 366 367 368 369 370 371 372 373 374 375 376 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; 2) the current default CESM2.1 uses the dry deposition scheme Zhang et al. (2001; Z01 hereafter) developed for particle deposition over smooth and non-vegetated surfaces. This scheme, however, underemphasizes the interception loss, the mechanism of which is less influential over the other surfaces, such as grassland. The |
| 366 367 368 369 370 371 372 373 374 375 | contains several weaknesses in modeling the dust cycle. For example, 1) the default scheme in CAM6.1 (Zender et al., 2003; Dust Entrainment And Deposition DEAD model, referred as DEAD) relies on an empirical geomorphic dust source function, created based on satellite retrievals of dust source regions, to model dust emissions; 2) the current default CESM2.1 uses the dry deposition scheme Zhang et al. (2001; Z01 hereafter) developed for particle deposition over smooth and non-vegetated surfaces. This scheme, however, underemphasizes the interception loss, the |

geometric diameter herein unless stated otherwise) and slightly underestimating that
of coarse-sized aerosols (diameter > 5.0μm) (Wu et al., 2018), especially over nonvegetated surfaces (Petroff and Zhang et al., 2010);

382

383 3) one of the changes from CAM5 to CAM6.1 was that CAM6.1 replaced the size 384 distribution of coarse-mode aerosols with a much narrower one (Table 1). This change 385 was to accommodate stratospheric aerosols in the coarse mode (e.g., volcanic sulfate) 386 compared to an early officially released version of this model (Mills et al., 2016). A recent model evaluation against satellite retrievals (Wu et al., 2020) suggests that 387 388 CESM2.1-CAM6.1 worsened the dust cycle representation and stands out in 389 simulating the relative importance of wet to dry deposition, compared with the other 390 global climate models or model versions, such as CESM1-CAM5, due partially to the 391 narrow coarse geometric standard deviation;

392

4) dust aerosol are typically aspherical particles in shape. The dust asphericity could
lengthen the dust lifetime by ~20% compared to modeling dust as spherical particles
(Huang et al., 2020). Still, CAM6.1 simulates dust as spherical particles, though the
impact of dust asphericity on optical depth and resulting radiative effect of dust (Kok
et al., 2017) has been previously introduced to CAM6.1 (Li et al., 2021).

398

Correspondingly, this paper describes several updates to the dust representation in
 CAM6.1 on the four aspects and evaluates whether and for what conditions they
 improve the dust model comparison to observations in the present climate. Specifically,
 we

403

1) replace DEAD with a new more physically based dust emission scheme, Kok et al.,
(2014a) previously developed for the climate models within the framework of DEAD.
This scheme performs well against observations in CESM-CAM4 (Kok et al., 2014b)
without the aid of the empirical geomorphic dust source function;

408

2) replace Z01 by the dry deposition scheme Petroff and Zhang et al., (2010)
developed (PZ10 hereafter) to mediate the overestimation of the dry deposition
velocity of fine-sized aerosols;

412

3) revert size distribution of dust aerosol particles in the coarse mode to the one
previously employed in CAM5;

415

416 4) account for the lifetime effect of dust asphericity by decreasing the modeled417 gravitational settling velocity.

418

These updates are based on up-to-date knowledge of the dust properties/processes
and are thus more physically realistic than the default dust parameterizations in
CAM6.1/Community Land Model (version 5; CLM5)."

422

423 **2. Model descriptions**

424

I would recommend starting by describing the aerosol representation in CAM6.1, as it
affects both bulk dust, speciated dust and other aerosols simulated in the model.

428 Excellent suggestion. Per this specific comment, in the revised manuscript, we created 429 a new section titled "*Aerosol representation*" ahead of Section 2.2 ("Bulk dust 430 modeling"). We moved text relevant to the general aerosol representation from the 431 "Bulk dust modeling" section to this new section.

432

Please, see my general comment above. Which is the added value of conducting two
set of simulations (with bulk and speciated dust) for the purpose of this article
(assessing changes due to deposition, emission, size distribution and shape)? If this
is not justified, I would focus on this article in the bulk dust experiments, and present
the speciated dust experiments elsewhere.

438

Thanks for this question. Please see our response to that general comment by thisreviewer on the experiment design (Line 45-192).

442 **2.3. Dust optical properties and radiation flux diagnostic**

443

441

Please, take advantage of this section to explain aspects related to the calculation of
optical properties and/or radiative variables that are currently explained in the results
section (see my comments below on sections 4.3 onwards.

447

448 Done. We moved up text from Section 4.3 (5.3 in the revised version): "We augmented 449 the longwave radiative effect from the model by 51% to account for dust scattering 450 (Dufresne et al., 2002)", and defined the DRE efficiency in this section: "*The DRE* 451 *efficiency, which we used to evaluate the model performance on simulating the dust* 452 *optical properties, is defined as the ratio of dust DRE to dust optical depth (DOD) under* 453 *clear conditions*".

454

455 **2.4.2. Dry deposition schemes**

456

The original dry deposition scheme is partly described here and partly in the introduction. I would use this section to describe the details on both the previous and the new proposed scheme. At least, I would include here the references to both schemes, and clarify if the empirical coefficients are updated in the new scheme.

461

Added the reference to the default Z01 scheme. These two schemes differ from each
other greatly. For example, PZ10 considers additional processes, such as turbulent
impaction, and accounts for more morphological characteristics of the canopy than
Z01. Even for processes described in both schemes, the parameterizations are very
different, such as the aerodynamic resistance (See Equation 4 of Petroff and Zhang,

2010 vs Equation 4 of Zhang et al., 2001) and Brownian diffusion (See Equation 4 of
Petroff and Zhang, 2010 vs Equation 6 of Zhang et al., 2001). Consequently, these
two schemes are employing two different sets of empirical coefficients. Please check
the references cited here in the main text.

471

We provided key formulas for both parametrizations in the revised text and added
descriptions of the coefficients such that the readers do not have to check external
references.

475

476 **2.4.3. Dust asphericity**

477

Being this one of the developments listed in the article, it would be worth to include in
this section at least the main characteristics of the development (e.g. factor varying
according to the source region, and ranging from X to X).

481

We thank the reviewer for their help in improving the readability of the manuscript.
Although such information was presented in the supplementary, to make it clearer, we
moved some text to this section and made a revision.

485

486 "In this calculation, we assume that the dust shape parameters are independent of the 487 size of dust aerosol particles. Therefore, a constant revision (Eq. 35) of the dust 488 gravitational settling velocity (the calculation in the model by default is for spherical 489 aerosols) due to dust asphericity was applied to dust species in the three modes that 490 contain dust aerosols (Aitken, accumulation, and coarse). The size independence 491 assumption of dust asphericity follows the recent observational evidence that there is 492 no statistically significant relationship between the shape parameters (aspect ratio and 493 height-to-width ratio) and dust sizes (Huang et al., 2020). Because of highly limited 494 measurements of dust shape parameters, we subjectively divided the dust coverage 495 into "close-to-source", "short-range", and "long-range" zones and calculated the 496 asphericity factor γ for each zone. The global map of the asphericity factor is shown 497 in Fig. S1, with the value ranging between 0.82 and 0.93. We acknowledge limitation 498 of the methodology here to account for the lifetime effect of dust asphericity, 499 anticipating improvements on modeling this effect when more high-quality dust shape 500 measurements are available."

501

Also, similarly, we now provide key formulas used in our calculations in the revisedtext. Please see "2.5.3 Dust asphericity".

504

Also, the authors mention the impact of the dust asphericity on optical properties (line 119). In section 4.2.3, they state that CESM2 does not include the enhancement in mass extinction efficiency due to asphericity, but that it is considered in this study (section 4.2.3). I believe the approach used to consider asphericity in the mass extinction efficiency should be clarified and described in this section (2.4.3).

510

| 511 512 513 | We moved relevant text from the result Section 4.2.3 (Section 5.2.3 in the new version) to Section 2.4.3 (Section 2.5.3 in the new version). |
|--|---|
| 513 514 515 | We also added the following in the "Experiment design" section for clarity: |
| 516 517 518 | "The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is included in all the simulations since we do not attempt to quantify how this enhancement impacts the simulated dust cycle." |
| 519 520 | 2.5. Experiment design |
| 521 522 523 | Please, see my general comments related to the experiments' design. |
| 523 524 525 526 527 528 | I would recommend to describe first the common model configuration amongst experiments (i.e. configuration of the model components, spatial resolution, period simulated, etc.), and then identify the experiments designed to test the different developments. |
| 529 | Reordered the description to reflect this suggestion. |
| 530 531 532 | 4. Results |
| 11/ | |
| 533 | Please, review and re-structure this section, see my general comment above. |
| 533 534 535 536 537 538 | We think doing what the reviewer suggested or keeping it as it was would be fine. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go first" since the manuscript is lengthy. In any order, the conclusions of this article would |
| 533 534 535 536 537 538 539 540 541 | We think doing what the reviewer suggested or keeping it as it was would be fine. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go |
| 533 534 535 536 537 538 539 540 541 542 543 544 | We think doing what the reviewer suggested or keeping it as it was would be fine. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go first" since the manuscript is lengthy. In any order, the conclusions of this article would remain unchanged. I believe using the same set of experiments to discuss all the modifications (either bulk |
| 533 534 535 536 537 538 539 540 541 542 543 | We think doing what the reviewer suggested or keeping it as it was would be fine. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go first" since the manuscript is lengthy. In any order, the conclusions of this article would remain unchanged. I believe using the same set of experiments to discuss all the modifications (either bulk or speciated dust) would help. |

separate Section 7 ("Limitation in the model-observation comparison") to discuss the

| 555 556 | model-data comparison. To reflect the suggestion and to make the discussion in the result sections lighter, we described more of the variables in Section 3 (" Observational |
|--|---|
| 557 558 | datasets for model evaluations"). |
| 559 | Added subsections in Section 3 include (please see contents of each of these |
| 560 561 | subsections in the revised manuscript): |
| 562 563 | "3.1 Surface dust concentrations and dust aerosol optical depth from AERONET", |
| 564 565 | "3.2 Surface dust deposition fluxes", |
| 566 567 | "3.3 Size distributions of dust aerosol", |
| 568 569 | "3.4 The direct radiative effect efficiency of dust", |
| 570 571 | "3.5 Other datasets", |
| 572 573 | and, a section to describe the metrics used for model assessment |
| 574 575 | "4 Model assessment metrics". |
| 576 577 578 | We also oriented the readers to the discussion section 7 for in-common limitations before Section 3.1: |
| 579 580 581 582 583 584 | "Due to limitations in precisely matching the period and locations between model results and data, the evaluations focus on checking if models can capture overall features of the measured/observed/retrieved dust cycle and the corresponding dust DRE efficiency. In addition to this mismatch, we summarize limitations common in all the model-data comparisons in Sect. 7." |
| 585 | 4.1.1. Dust emissions |
| 586 587 588 589 590 591 | Why compare the total emission burden with model estimates that go beyond CAM6.1 simulated size range? I believe it would be useful to include comparisons with models that use the same range (e.g. some of the AEROCOM phase I models, Huneeus et al. 2011). |
| 592 593 594 595 596 597 | Good point, though not all models that participated in AEROCOM use the same size range. As we pointed out the different size range between ours and that of Kok et al. (2021a), it would be ok to keep this small signpost: the estimate of Kok et al. (2021a), which is a constraint by available observations. Please check the references cited here in the main text. |
| 598 | The revised sentence reads as: |

- 599
- 600 "To achieve the global mean DOD of ~0.03, CAM6.α requires a dust emission of 2891 601 Tg a⁻¹ (Table 6), which falls below the estimate of 3400-9100 Tg a⁻¹ by Kok et al. 602 (2021a; their Table 1) that accounts for dust between 0.1-20 µm in diameter *and above* 603 *the median*, 1123 Tg a⁻¹, reported in AEROCOM phase I (Huneeus et al., 2011)."
- 604

606

605 **4.1.2. Climatology annual means of [...]**

- The discussion here will greatly benefit from a previous definition of the statistics,
 metrics, and evaluation, which I would suggest including in Section 3. In that way, the
 authors could make the discussion in this section lighter.
- 610
- 611 Good point. A definition of these is now included in Section 4 ("Model assessment 612 metrics").
- 613

614 "Kendall's T and Spearman's Correlation are non-parametric methods that do not 615 require an assumption of data distribution, such as Gaussian or normal. For dust 616 deposition and loadings, correlations are calculated to assess how well models 617 reproduce their regional climatology, mean or one-time observation, and seasonal cycles. Because of a lack of reliable monthly data, assessments for the dust DRE 618 619 efficiency, DOD from Rideley et al. (2016), and percentages of wet in the total 620 deposition are on spatial variability based on the regional climatology mean or one-621 time observations. We tested the correlation significance of the metrics at the 622 statistical confidence level of 95%. For the dust DRE efficiency and percentages of 623 wet deposition, some domains only have a range available, such as the Sahara Desert 624 (15°-30°N, 10°W-30°E) in the longwave spectral range. For those domains, a mean of 625 the low and high boundaries of the range is used in the calculation of the Spearman's Correlation and the corresponding significance test." 626

627

The authors mention the tuning as a factor affecting the comparison of modelled DOD to MODIS and Ridley et al. (2016) products; however, this is not taken into consideration when AERONET information is used as a target. Could the tuning also have an effect on those results?

632

633 Good point. We added the following in this paragraph.

634

"This overestimated DOD in the model near the source regions resulting from the
tuning method may also partly explain the imperfect match between the modeled and
AERONET-based DOD (Fig. 1a)."

638

639 Does the dust wet vs. dry deposition balance in their model change with the 640 improvements on size distribution? Could this partly be explained by an overestimation 641 of the finer dust fractions? Or is the representation of modal internal mixtures more 642 relevant to this process? 643

644 We added two more columns showing results from the size tests and the following 645 sentence. To better quantify the assessment, we also added RMSE and correlation 646 efficient for each simulation shown in the revised Table 7.

647

648 "The models tend to overestimate the observed percentages of the wet deposition 649 (Table 7). This overestimation could be due partly to the internal mixing assumption of 650 dust aerosol with sea salts which increases hygroscopicity of the aerosol mixture 651 during transport. Correcting the coarse-mode distribution, as we suggest (Table 1), 652 does not help improve the model performance (Table 7)."

653

654 "Table 7. Percentage (%) of wet deposition. Observations compiled by Mahowald et

al., (2011b) from data at Bermuda (Jickells et al., 1998), Amsterdam Island, Cape

656 Ferrat, Enewetak Atoll (R.Arimoto et al., 1985), Samoa; New Zealand sites (Arimoto

et al., 1990); North Pacific sites (Uematsu et al., 1985); Greenland Dye 3 (Hillamo et

- al., 1993), Coastal Antarctica (Wagenbach et al., 1998), and Dome C of Antarctica
- 659 (Wolff et al., 2006). RMSE: root mean square error; R: Spearman's Correlation."

| Location | CAM6.1 [RMSE= 39%; R=-0.38] | NEW_EMI S [RMSE=39 %; R=- 0.52] | NEW_EMIS_SI ZE [RMSE=37%; R=-0.63] | CAM6.α [RMSE=37 %; R=- 0.31] | MINE_BASE [RMSE=34%; R=-0.45] | MINE_NEW_EMIS [RMSE=35%; R=- 0.29] | CAM6.a_MINE [RMSE=36%; R=-0.38] | Observatio ns |
|--|--------------------------------------|---|---|---------------------------------------|-------------------------------------|--|---------------------------------------|------------------|
| Bermuda [32ºN, 65ºW] | 92 | 91 | 81 | 87 | 81 | 85 | 87 | 17-70 |
| Amsterdam Island [38ºS, 78ºE] | 88 | 88 | 73 | 81 | 78 | 80 | 83 | 35-53 |
| Cape Ferrat [43ºN, 7ºE] | 92 | 94 | <i>89</i> | 86 | 87 | 84 | 86 | 35 |
| Enewetak Atoll [12ºN, 162ºE] | 79 | 73 | 52 | 66 | 58 | 56 | 64 | 83 |
| Samoa [14ºS, 152ºW] | 91 | 91 | 83 | 86 | 83 | 81 | 85 | 83 |
| New Zealand [35ºN, 173ºE] | 89 | <i>92</i> | 82 | 87 | 80 | 85 | 88 | 53 |
| North Pacific ^a [4º-28ºE, 162º- 158ºW] | 62-90 | 71-91 | 48-80 | 53-85 | 46-80 | 48-80 | 56-84 | 75-85 |
| Greenland [65ºN, 44ºE] | 82 | 87 | 82 | 86 | 75 | 86 | 84 | 65-80 |
| Coastal Antarctica [76ºN, 25ºW] | 96 | <i>92</i> | 68 | 93 | 82 | 87 | 88 | 90 |
| Dome C. Antarctica ^b [75ºN, 123ºFl | 97 | 97 | 95 | 96 | 88 | 89 | 91 | 20 ^b |

a shown are minimum and maximum of the annual wet percent among the four sites

- 661 b Non sea salt-sulfate
- 662
- 663 **4.1.4. Size distribution of transported dust**
- 664

665 Why is the comparison with AERONET presented in the supplement?

666

667 Thanks for the comment. We moved the figure in the supplement to the main text (Fig.668 5):

669

670 *"Figure 5. Modelled and observed atmospheric size-resolved dust mass in the*

671 geometric diameter range of 1-10 μm at AERONET stations. Numbers in each plot

672 indicate the Kendall's r coefficient between model and observations (blue bars). The

673 model runs here include the one using the old model with the mode size parameters

674 from CAM6 by default (CAM6.1 in cyan) and the other one using the new model with

675 the mode size parameters from CAM5 (CAM6. α in black). Both runs were using the

- 676 offline dynamics."
- 677

- 678 **4.2.1. Dust emission schemes**
- 679

680 Please, avoid relying on excessively on external references to explain features
 681 observed among the experiments (e.g. lines 561 to 563), summarize them directly
 682 here.

683

We provided formulas for the new and old parameterizations in the revised text (please
see Section 2.5) and cited them accordingly instead of relying on external references:

687 "...the dust emission coefficient in BRIFT (*Eq. 10*) and the new method of calculating
688 the threshold gravimetric water content of the topsoil layer (*Eq. 9; see values for the*689 *tuning parameter "b" in Table 2*) shifts the main dust emission in…"

690

What is the impact of the dust tuning on the results? According to section 2.5, both
EXP06 (MINE_BASE) and EXP07 (MINE_EMIS) were tuned to match a global DOD
of around 0.03. Was that not the case? What does the re-scaling of the DOD
mentioned on line 591 refer to?

695

The dust tuning, via a namelist variable, ensures that the global mean of the simulated
DOD equals 0.030, which is one of the "best" estimates of the global dust quantities.
The dust emission shown in this section is required in the model with different dust
emission schemes to reach that criterion. To make this clearer and the discussion here
lighter, we added the following in the revised "Experiment design" section.

701

702 "We prefer to tuning the model to reproduce the global mean DOD of 0.030, because 703 DOD is currently the best estimate of global dust guantities, compared to the others 704 (i.e., dust concentrations). It turns out that doing so can also reasonably reproduce the 705 other quantities with no need of a regional tuning. MINE NEW EMIS requires the dust 706 tuning to use a much larger tuning parameter (dust emis fact=3.6; Table 2), than 707 MINE_BASE (dust_emis_fact=1.6), because, otherwise, if using the same dust emis fact as in DEAD, the dust emissions in BRIFT would lead to an 708 709 unrealistically high global mean DOD (>~0.5)."

710

On Line 591 (original manuscript), the global DOD in BRIFT is lower than in DEAD (0.035 versus 0.029), because we did not retune the model to have the global DOD equal exactly to 0.030. Rescaling the dust deposition and loadings according to the factor making both global DOD equal exactly 0.030 would further reduce the difference between the dust deposition and loadings in the two experiments.

716

717 To make this clearer, we revised the sentence a little bit:

718

*...differences between the global annual mean dust deposition in BRIFT and DEAD
 would become smaller if we rescaled the global annual mean dust deposition and

loadings offline using factors to make the global mean DOD in the two experimentsexactly equal 0.030."

- 723
- 724 **4.2.3. Dust asphericity**
- 725

The authors state that the dust asphericity could mediate the overestimated dust emission from source regions, is this shown in their experiments?

728

No, it is not a direct result of the experiment, but the result indicates the probably mediated effect. Since the dust tuning is to have global mean DOD of ~0.03, introducing the lifetime effect of dust asphericity to the model is expected to have the potential to reduce the dust emission level. We added the following text to explain it a little bit.

734

"...dust asphericity could potentially mediate the overestimated dust emission from
source regions (e.g., North Africa), because dust asphericity could enlengthen the *lifetime in the atmosphere. Thus, it reduced the dust mass to have the same dust loadings and DOD as the spherical shape assumption needs.*"

- 740 Does the asphericity factor affect differently fine vs coarse particles?
- 741

739

No, the asphericity factor is the same over the three modes. This is based on the finding of Huang et al. (2020) that there is no statistically significant dependence of dust asphericity on the dust size. To clarify, we revised a sentence in the "Dust asphericity" section:

746

"... the asphericity factor γ (defined as the ratio of the gravitational settling velocity of
aspherical dust to that of spherical dust) offline, which is independent of the dust size,
based on ..."

- 750751 4.2.4. Dust size representation
- 752

753 This section is difficult to follow, please, revise.

754

755 Please see the revised Section 5.2.4 below:

756

757 "The removal rates of dust aerosol particles by both dry and wet deposition highly 758 depends on their size (Mahowald et al., 2014). Since most of dust loadings are in the coarse mode, changing parameters of the coarse-mode size distribution (σ , initialized 759 760 GMD, and the prescribed minimal and maximum boundaries within which the modeled 761 GMD can vary, Table 1) from σ =1.2 to 1.8 halved the lifetime of dust (lifetime=4.9 days 762 versus 2.4 days; Table 6). This reduced dust lifetime is primarily due to the change in 763 σ of the coarse mode (Fig. 8b) rather than the initialized GMD and its boundaries, as 764 we obtained almost the same dust lifetime (~2.4 days) between experiments with 765 different parameters for dust size distribution but identical σ =1.8 (NEW_EMIS_SIZE 766 versus NEW_EMIS_SIZE_WIDTH; Table 6).

767

768 We also notice a different DOD simulated by NEW EMIS SIZE (DOD=0.013) and 769 NEW EMIS SIZE WIDTH (DOD=0.019). The prescribed GMD boundaries do not 770 affect the simulated dust loadings and DOD because the predicted GMD in the model 771 varies little. We can, therefore, derive that the initialized GMD itself is also relevant to 772 simulated DOD (relative change=20%) but second to changing the coarse-mode σ . 773 Thus, it is the increased σ of the coarse mode that explains the reduced dust loadings 774 (22 versus 11 Tg in NEW_EMIS and NEW_EMIS_SIZE, respectively; Table 6; Fig. 8b) 775 and DOD (0.030 versus 0.013 Tg in NEW EMIS and NEW EMIS SIZE, respectively; 776 Table 6).

777

The impact of changing the coarse-mode σ is greater than the other modifications (e.g., speciating dust or changing the dust emission scheme from DEAD to BRIFT) on the simulated dust lifetime, which appears trivial (e.g., dust lifetime increased by 0.6 days only by changing to the new emission scheme). Correspondingly, given a similar emission rate, changing the coarse-mode σ affects DOD most, among the modifications we made."

784

785 **4.3. Dust direct ratiative effect.**

786

Details such as the LW increase by 51% could be explained in section 2.3. I would
only mention this again here if the approach used in the different experiments would
differ, and thus affect the comparison.

790

791 Mentioned it now:

792

"We augmented the longwave radiative effect from the model by 51% to account fordust scattering (Dufresne et al., 2002)."

795

796 **4.3.1. Dust direct radiative effect efficiency.**

797

798 Please, use also section 2.3 to define the net DRE efficiency.

799

Bone: "The DRE efficiency, which we used to evaluate the model performance on
simulating the dust optical properties, is defined as the ratio of dust DRE to dust optical
depth (DOD) under clear conditions."

803

804 What is the metric used here to define the model performance?

805

We have only several points included in this comparison. And for some, only ranges
are provided in the corresponding reference. So, we had not used any statistical metric
to measure the distance between the model and observations. But as a response, we

809 included the correlation coefficient and RMSE with the assumption made for points

810 where there is only a range that we use the mean in the calculations.

811



812

"Figure 8. Modelled and observed dust direct radiative effect efficiency in the 813 shortwave (SW) and longwave (LW) spectral ranges under clear conditions at the TOA 814 over the sub-domains (shown in the inserted map and location described below) in 815 summer, fall, and September for the 2000s climate. The radiative effect efficiency is 816 817 defined as the ratio of the radiative effect to DOD, so has units of W m⁻² T⁻¹. Included 818 cases from left are CAM6.1, CAM6.α, MINE_NEW_EMIS_SHAPE, CAM6.α _MINE. 819 The field value/range are from references listed in Table 3. Colored numbers show 820 correlation coefficient (R) and the root mean square error (RMSE) between the model 821 and retrievals in the SW (a) and LW (b) spectral ranges or in both spectral ranges 822 (numbers in parenthesis in Panel a)."

823

The difference between the experiments with speciated and bulk dust is not exclusively dependent on the developments presented here, but, as the authors mention, attributed to the resulting optical properties for the different representation on the dust.

828

Please see our response (Line 45-192) to the general comment by this reviewer onbulk dust versus dust-speciated model. We added a new section ("6. Bulk- versus

831 speciated-dust model": see Line 150-192 above) to compare results from the two types

832 of models.

- 833
- 834 Does the model diagnose all sky or clear sky DRE (line 730)? Please, clarify this in 835 section 2.3.
- 836

We had stated that this is DRE under all sky conditions in that section: "The direct radiative effect by dust aerosols is then determined by calculating the difference of the net radiative flux with and without dust at the top of the atmosphere *under all-sky conditions*".

- 841
- 842 To make it clearer, we revised this sentence a little bit, so now it reads as
- 843

844 "The direct radiative effect of dust aerosols *under all-sky conditions* is determined by
845 calculating the difference in the net radiative flux with and without dust at the top of
846 the atmosphere under all-sky conditions".

847

848 Conclusions

849

The authors mention the effect of dust asphericity on mass extinction efficiency as one of the aspects that produces a larger change in the results, as mentioned above, it is unclear to the reader which is the approach followed to introduce this in the model and/or if it's introduced at all.

854

As stated in the original manuscript, all simulations here, including the base CAM6.1 and MINE_BASE, have considered the enhancement of dust asphericity on the mass extinction efficiency. Previous studies have well documented such an effect. Thus, this study does not aim at investigating it. To avoid possible confusion, we removed relevant statements in conclusions and added a sentence in the "Experiment design" section.

- 861 "The enhancement of the mass extinction efficiency of aerosol particles by dust
 862 asphericity is included in all the simulations since we do not attempt to quantify how
 863 this enhancement impacts the simulated dust cycle."
- 864

865 I believe it would be useful to include a brief discussion on the implications of reverting 866 the standard deviation changes in the coarse mode for the stratospheric aerosols. If 867 the change was initially introduced to better accommodate those, which would be the 868 recommendation of the authors for the model version to be issued?

- 869
- 870 The solution could be to have a coarse mode for dust separate from the stratospheric871 aerosols.
- 872

873 We revised relevant contents as the following in response to this suggestion.

874

875 "Our analysis suggests that reverting the geometric standard deviation of the
876 transported dust size distribution (coarse mode) from the default 1.2 to 1.8 imposes

the biggest change to the modeled dust cycle among what we introduced to CAM6.1. 877 878 Note that the linear assumption between DOD and the other dust quantities based on 879 which we rescaled up the concentrations, deposition, burdens, and DRE of dust in the size distribution simulations introduces uncertainty. Since the defaulted 1.2 is too 880 881 narrow to simulate the dust lifetime, in the next released model version, we 882 recommend reverting the geometric standard deviation to 1.8, as in CAM5. This reverse may require to split representation of dust and the stratospheric aerosols in 883 884 the coarse mode, for which the narrow coarse-mode size distribution works better 885 (Mills et al., 2016), and some changes to sea salt." 886

The authors comment on potential ways of improving further the dust cycle, however, it is unclear for the reader if those stem from the work performed in this article. I would recommend to highlight the weaknesses detected in this study concerning the dust cycle representation (even after all the improvements included), and link to the appropriate suggested next step to solve that issue.

892

893 We revised this part to better connect it with what we present in previous sections:

894

895 "1) for the dust emission parameterization, the threshold friction velocity calculated in896 both BRIFT and DEAD does not account for…"

897

898 "2) ...in the northern high-latitude regions (Sect. 5.1.1),..."

899

900 and added more text:

901

902 *"3) comparisons with the constrained global dust size distribution and measurements* 903 downwind of North Africa suggest that the model underestimates dust aerosols in the 904 coarse mode with the geometric diameter > 5 μ m and misses aerosol particles with 905 the geometric diameter > 10 μ m (Fig. 6). The former happens likely due to an 906 underestimate of dust aerosol particles in that size range upon emissions and/or the 907 removal rate of those particles being too high during transport in the model (Adebiyi 908 and Kok, 2020b), the reason for which is still under exploration. For the latter, 909 extending the dust size range to include particles with the geometric diameter > 10 μ m 910 in CAM6 is a worthy endeavor, such as in Ke et al. (2022).

911

4) as previously noted (Wu et al., 2018), some of the variables in the dry deposition
parameterizations could vary in different seasons for certain land cover and land use
types, such as the roughness length, Z₀, in Z01 and the displacement height of the
canopy, h, in PZ10, for which a fixed climatological mean is used in the models. How
accounting for the seasonal variation of those variables in the model can affect the
dust cycle modeling deserves further exploration.

918

5) compared to bulk dust, modeling dust aerosol as component minerals could better
reproduce the observed spatiotemporal variability of dust optical properties and thus

| 921 | the dust DRE efficiency (Fig. 9), while retaining the accuracy of modeling the dust |
|-----|---|
| 922 | cycle with the offline dynamics in the present day. But the current atlas of soil |
| 923 | mineralogy and the optical properties of key minerals (i.e., iron oxides) contain large |
| 924 | uncertainties which should be better quantified in the future, such as that planned |
| 925 | in the Earth Surface Mineral Dust Source Investigation (EMIT) and in our ongoing work |
| 926 | (Li et al., in prep), respectively." |
| 927 | |
| 928 | Technical corrections |
| 929 | |
| 930 | Please, find below a list of technical corrections that could be applied to the current |
| 931 | manuscript version. |
| 932 | |
| 933 | Thanks a lot for these technical corrections. We made corresponding changes in the |
| 934 | revised manuscript. |
| 935 | |
| 936 | L19. Either refer to the CAM6 model in the abstract (as it is in the article title) or change |
| 937 | the title to include the CESM model. |
| 938 | |
| 939 | We mentioned the CAM6 model in the abstract. |
| 940 | |
| 941 | "The Community Atmosphere Model (CAM6.1), the atmospheric component of the |
| 942 | Community Earth System Model (CESM; version 2.1), simulates the lifecycle |
| 943 | (emission, transport, and deposition) of mineral dust" |
| 944 | |
| 945 | L23-24. If possible, outline the main changes included in the different |
| 946 | parameterizations (emission, dry deposition, size distribution and dust particle shape). |
| 947 | |
| 948 | We mentioned these in the revised text. |
| 949 | |
| 950 | L26-27. Is it the effect of the size distribution change as large as the change in the |
| 951 | dust emission scheme? |
| 952 | |
| 953 | Great point. Changing the size distribution is more influential than changing the dust |
| 954 | emission scheme in modeling the dust lifetime, burden, and DOD, for instance (see |
| 955 | Fig. 10), no matter if we return the model to have the simulated global dust AOD ~ 0.3 . |
| 956 | We added some words to reflect this comparison. |
| 957 | |
| 958 | "In comparison, the other modifications induced small changes to the modeled dust |
| 959 | cycle and model-observation comparisons, except the size distribution of dust in the |
| 960 | coarse mode, which can be even more influential than that of replacing the dust |
| 961 | emission scheme." |
| 962 | |
| 963 | L46. Is shape also a factor affecting the uncertainty in dust direct radiative effect? |
| 964 | |
| | |

965 The primary influence of shape is on the dust asymmetry factor and extinction. In our 966 global model, we tune dust emissions to a level at which the mean DOD is around 967 0.03. Since the direct radiative effect roughly linearly depends on DOD, the irregular shape of dust particles would not impose an influence comparable with those we 968 969 stated on this line. To make that statement more scientifically rigorous, we slightly 970 revised this sentence, pointing out that those are primary factors. 971 972 "These uncertainties in the dust cycle modeling, as well as uncertainties in optical 973 properties due primarily to dust size and mineral composition..." 974 975 L63-64. Is it necessary to mention the previous CAM and CESM versions? 976 977 In the revised manuscript, we deleted this paragraph. 978 979 L71. Why do the authors mention now the Community Land Model version 5 980 (CAM6.1/CLM5)? Please, use the same acronym/naming convention all along the 981 article, either CAM6.1 or CAM6.1/CLM5, or at least, mention the full name the first 982 time it appears and explain that from then on it will be referenced as CAM6.1. 983 984 CAM6.1 refers to the atmosphere component only of CESM, while CLM5 refers to the 985 land component. Correspondingly, when mentioning CAM6.1, it means modifications 986 to the atmosphere component only. But incorporating the new dust emission scheme 987 requires us to modify both atmosphere and land components. Therefore, we need to 988 mention both CAM6.1 and CLM5 to be scientifically rigorous. 989 990 L102 (Table 1 caption): MAM4 is mentioned for the first time. Why use two 991 abbreviations for the standard deviation, remove extra dot after CAM6.1 in L103. 992 993 Thanks! In the revised version, we spelled MAM4 out, deleted GSD, and removed the 994 extra dot after CAM6.1 on that line. 995 996 L108: Homogenize the naming of the sections, either Sect. or Section. 997 998 This seems a requirement by the journal: when beginning with the word, Section, one 999 should use the full name, but in a sentence, one should use Sect. to refer. 1000 1001 L109: I would substitute semi-observation by more specific term(s). 1002 1003 We now specify both the observation and semi-observation as "measurements, 1004 retrievals, and model-observation integration" which should bracket all the data used 1005 in this work. 1006 1007 L117: Is it CESM2.1 or CESM2? Please, keep consistency in the naming of the model 1008 versions along the document.

| 1009 | |
|------|--|
| 1010 | We now use CESM2.1 all throughout the manuscript. |
| 1011 | |
| 1012 | L125: Why is the iron solubility mentioned here? |
| 1013 | |
| 1014 | It is redundant information, so deleted. In the original version, we also included |
| 1015 | modeling of iron from dust, fire, and so on. But we had decided to delete it from this |
| 1016 | manuscript since it is already a long article. |
| 1017 | |
| 1018 | L126: I would state in the introduction that the tests are to be conducted under present |
| 1019 | climate conditions, this will already justify using observations for the same period and |
| 1020 | then the clarification on the pre-industrial will not be needed here. |
| 1021 | |
| 1022 | Great suggestion. We very briefly mentioned this in the revised introduction. |
| 1023 | |
| 1024 | "and for what conditions they improve the dust model comparison to observations |
| 1025 | in the present climate" |
| 1026 | |
| 1027 | "and the experiment we conducted (Sect. 2.6) under present climate conditions to" |
| 1028 | |
| 1029 | L138: Please, change "models" by model. |
| 1030 | |
| 1031 | Done. |
| 1032 | |
| 1033 | L139: Please, remove "generally". |
| 1034 | |
| 1035 | Done. |
| 1036 | |
| 1037 | L141: CESM2, CESM2.1? CLM?. |
| 1038 | |
| 1039 | Changed CESM2 to CESM2.1. As stated in previous comment on CAM6.1/CLM, here |
| 1040 | we think CLM is better to be kept as it was. |
| 1041 | |
| 1042 | L153: Please, rephrase to specify the variable that is independent of the friction |
| 1043 | velocity (rather than the theory itself). |
| 1044 | |
| 1045 | Good point. The revised statement now reads as: |
| 1046 | |
| 1047 | "The size distribution of the emitted dust is derived using the brittle fragmentation |
| 1048 | theory developed by Kok (2011b) distributing 0.1%, 1.0%, and 98.9% percentage of |
| 1049 | dust mass into Aitken, accumulation, and coarse modes, respectively, independent of |
| 1050 | the friction velocity upon dust emissions (Kok, 2011a)." |
| 1051 | |

| 1052 | L154-155: As it is expressed now, the improvement in CAM4 size distribution is not |
|------|--|
| 1053 | informative to the reader. Please, either remove the part about the improvements or |
| 1054 | to briefly explain the difference between the approaches in previous CAM4 PSD and |
| 1055 | that derived from Kok (2011). |
| 1056 | |
| 1057 | Deleted. |
| 1058 | |
| 1059 | L156: Please, remove "other", and "of aerosols". |
| 1060 | |
| 1061 | Done. |
| 1062 | |
| 1063 | L179: Please, remove "the so-called". |
| 1064 | |
| 1065 | Done. |
| 1066 | |
| 1067 | L178: As mentioned above, please, select just one acronym for the standard deviation. |
| 1068 | |
| 1069 | Using only one now. |
| 1070 | |
| 1071 | L189: Please, change "their ranges", by "its ranges". |
| 1072 | |
| 1073 | Done. |
| 1074 | |
| 1075 | The reference to Scanza et al. (2015) was already included. |
| 1075 | |
| 1070 | Reference deleted. |
| 1078 | |
| 1078 | L221: Is the vertical transport modified per se? Or is it indirectly affected by changes |
| 1079 | in emission/size? |
| 1080 | |
| 1081 | We did not modify it per se. The change is indirect due to changes in dust emissions |
| 1082 | and size. In the first version of this manuscript, we also perturbed the vertical layers, |
| 1085 | which can affect vertical transport more efficiently, but we deleted that part after. In |
| 1084 | response to the reviewer's question, here we removed "vertical transport" to avoid |
| 1085 | possible confusion. |
| | |
| 1087 | 1.221. What do the outbore mean by "although even duct modeling with PDIET can be |
| 1088 | L231: What do the authors mean by "although even dust modeling with BRIFT can be |
| 1089 | improved if optimized against observations", is that optimization relevant for this |
| 1090 | specific study? |
| 1091 | A term of the second state of the second state of the state of the second state of the |
| 1092 | A typo caused this confusion. We corrected the typo in the revised manuscript and |
| 1093 | replaced BRIFT with DEAD. It means improvements could likely happen using other |
| 1094 | methods, such as statistical optimizations (Kok et al., 2021), rather than employing the |
| 1095 | new dust emission scheme. |

| 1096 | Please check the references cited here in the main text. |
|------|--|
| 1097 | |
| 1098 | L328: Please, avoid repeating references unnecessarily (e.g. remove described in |
| 1099 | Sect. 2.2). |
| 1100 | |
| 1101 | Repeated references removed. |
| 1102 | |
| 1103 | L333: There are two references for Kok et al. (2021), please, specify a or b. |
| 1104 | |
| 1105 | Done. |
| 1106 | |
| 1107 | L338: Please, change "could change", by the appropriate: does or does not change |
| 1108 | the model performance? |
| 1109 | |
| 1110 | Paragraph removed in response to the next comment. |
| 1111 | |
| 1112 | L338-343. May not be necessary to explain again the content of each sub-section. |
| 1113 | |
| 1114 | Removed the navigation paragraph. |
| 1115 | |
| 1116 | L358: Please, explain what the binned method is. |
| 1117 | |
| 1118 | It is a terminology that the dust community widely uses without a definition. Also, this |
| 1119 | study does not employ the binned method. So, we believe it would be fine without |
| 1120 | explaining it here as well. |
| 1121 | |
| 1122 | L466 (and other locations in the text): Please, refer to the different experiments as |
| 1123 | such, instead of mentioning the models. If preferred by the authors, they could use |
| 1124 | model versions. |
| 1125 | |
| 1126 | We changed "models" to "all experiments" here and at other locations in the text as |
| 1127 | well. |
| 1128 | |
| 1129 | L369: Please, identify the reference with a or b. |
| 1130 | |
| 1131 | Done. Changed to "Kok et al. (2021a)". |
| 1132 | |
| 1133 | L432: Typo: averages. |
| 1134 | |
| 1135 | Corrected. Thanks! |
| 1136 | |
| 1137 | L439: Change "to the low" by "to the <i>lower</i> ". |
| 1138 | |
| 1139 | Done. Thanks! |
| | |

| 1140 | |
|--------------|---|
| 1141 | L475: Have the authors information on the precipitation evaluation for their own model? |
| 1142 | |
| 1143 | No, but CAM6 had been fully evaluated over aspects including precipitation. |
| 1144 | |
| 1145 | L524: Please, include the coordinates of both stations or none. |
| 1146 | |
| 1147 | The coordinates of both stations included. |
| 1148 | |
| 1149 | L543: Does the super coarse dust start at 10 um? or larger diameters? |
| 1150 | |
| 1151 | There is no clear boundary between coarse and super coarse particles. Here we refer |
| 1152 | to particles >10 μ m in diameter, not including the 10 μ m. Since Table 1 lists the coarse |
| 1153 | dust and does not define "super coarse dust" clearly defined, we removed "super |
| 1154 | coarse" to avoid possible confusion. So, now only keep expressions like "dust coarser |
| 1155 | than 10 μm in diameter" here and elsewhere in the text. |
| 1156 | For example, we changed "the super secree dust particles are also," to "dust |
| 1157 1158 | For example, we changed "the super coarse dust particles are also" to "dust particles in this size range are also". |
| 1158 | |
| 1160 | L614: Hematite and illite have a high iron content, feldspars not much. The sentence |
| 1161 | could be rephrased as ", including hematite and illite, and feldspar" |
| 1162 | |
| 1163 | Rephrased. Thanks! |
| 1164 | |
| 1165 | L636: I believe the increase is in wet deposition (not dry), please, verify. |
| 1166 | Fig. Compared the impression is in the sheet dependence the methods are believed |
| 1167 | Fig. 6c suggests the increase is in the dry deposition. This increase could probably |
| 1168 | stem from the release of fine-mode particles by evaporation of the cloud-borne dust. |
| 1169 | We revised the statement, such as it reads now as: |
| 1170 1171 | "which then become cloud-borne. The increased cloud-borne particles in turn |
| 1171 | increase the possibility of horizontal transport and release of particles by the cloud |
| 1172 | droplet evaporation, leading to an increase of" |
| 1174 | aropier evaporation, reading to an increase of |
| 1175 | L655: Please, include the full reference and then in parenthesis the values. |
| 1176 | |
| 1177 | Done. It reads now as: |
| 1178 | |
| 1179 | "between the global mean DOD in Aerosol Comparisons between Observations and |
| 1180 | Models (AEROCOM; median: 0.023) (Huneeus et al., 2011) and that in Ridley et al. |
| 1181 | (2016) (0.03±0.005) near the visible band." |
| 1182 | |
| | |

| 1183 1184 | L683: Why is the calculation explicitly included there? It makes the text more difficult to read. I would avoid it (here and in other locations in the text below). |
|--------------|--|
| 1185 | |
| 1186 | We removed this kind of expressions everywhere. |
| 1187 | |
| 1188 | L699: The sentence "where the dust emission occurs in transport" is difficult to |
| 1189 | understand, please, clarify. |
| 1190 | |
| 1191 | Changed it to "the importance of accurately simulating convergence-related |
| 1192 | convection (i.e., haboob) (Marsham et al., 2011) and where the dust emission occurs |
| 1192 | for dust transport modeling" |
| 1194 | for duot a droport modoling |
| 1194 | L869: Substitute "new model" by the appropriate model version name. |
| 1195 | Loos. Substitute new model by the appropriate model version name. |
| 1197 | The new model version name inserted. |
| 1197 | The new model version name inserted. |
| 1198 | Table 1: I would order the modes from smaller to larger in size. I believe this table |
| 1200 | could be included in the supplement and leave in the text exclusively the default and |
| 1200 | new configuration for the coarse mode. |
| 1201 | new configuration for the coarse mode. |
| 1202 | The order in Table 1 is the same as that in the model. We included this table in the |
| 1203 | main text because we wanted to inform the readers about the mode information, for |
| 1204 | which they may search while reading through the main text, especially considering |
| 1205 | |
| 1200 | that the mode change is one of the main changes we made to the model. |
| 1207 | Table 4: Why is the dust SSA for NEW EMIS SIZE missing? |
| 1208 | Table 4. Why is the dust SSA for NEW_EIVIIS_SIZE missing? |
| 1209 | When designing and performing simulations, we did not attempt to address the |
| 1210 | impacts of these changes on the dust radiative effect. So, we had not requested model |
| 1211 | output for this variable in that single experiment. According to dust SSA from the other |
| 1212 | experiments shown in this Table, we speculate a value around 0.90 for this experiment. |
| 1213 | |
| | But, since we did not show the model-data comparison for dust SSA, we believe the missing of dust SSA in this single experiment may not influence the everall marit of |
| 1215 | missing of dust SSA in this single experiment may not influence the overall merit of this work. |
| 1216 | UIIS WORK. |
| 1217 | Diagon homogonize the naming convention for the different experiments, here tagged |
| 1218 | Please, homogenize the naming convention for the different experiments, here tagged |
| 1219 | in Table 4 as NEW_EMIS, NEW_EMIS_SIZE, etc. In Table 2 and sections 2.1 and 2.2 they were listed also as EXP01_EXP02_ste_In Table 4 caption CAM6S5 and CAM6S6 |
| 1220 | they were listed also as EXP01, EXP02, etc. In Table 4 caption CAM6S5 and CAM6S6 |
| 1221 | are mentioned, which were not identified nor described before. |
| 1222 | The ease names are all consistent throughout the text new Me clear revised the "size" |
| 1223 | The case names are all consistent throughout the text now. We also revised the "size" |
| 1224 | column in Table 2 since those notions are no longer in use. |
| 1225 | |

1226 Table 5: Could the locations be represented in a map, together with the other 1227 observations location?

1228

1229 We provided such information in the revised table (first column). But we did not show 1230 that for each set of the observations in a map together with location information of the 1231 others since the map would be super busy and very confusing, considering the number 1232 of data sets we have included in this work.

1233

Figure 1: Which is the metric used to define the improvement (+) or worsening (-) of the comparison? Remove the comment on Figure S3 from the caption, and if needed, clarify in the text (line 392) the information presented in main paper and in the supplement.

1238



The citation of Fig. S3 removed, and the metric used clarified in the figure.



1241

"Figure 1. Model-observation (AERONET) comparison for DOD (dust optical depth) at 1242 the visible band centered at 0.53 µm (a, b, and c), dust surface concentrations (d, e, 1243 1244 and f), and surface deposition fluxes (g, h, and i). Colored dots in a, d, and g show the 1245 difference between the proposed new model (CAM6. α) and observations. White 1246 symbols indicate the new model CAM6. α improves (plus sign) or worsens (minus sign) 1247 the model-observation comparison over that between the default model (CAM6.1) and 1248 observations with the metric included in the bottom right-hand corner of the figure. 1249 Numbers listed in a, d, and g are counts of the number of improved or worsen stations. 1250 The spatial correlation coefficients between model (CAM6.1: b, e, and h; CAM6.α: c,

| 1251 1252 1253 1254 | f, and i) and observations were calculated based on the annual mean values in log space (the log of each model and observational value was taken before calculating the correlation coefficient, since the values span several orders of magnitude except DOD). Dash lines in the scatter plot show 10:1 or 1:10 lines." |
|--|--|
| 1255 1256 1257 | Figure 2: Could the re-scaling factors now explained in the caption be included also in the figure legend (e.g. above each map)? |
| 1258 1259 1260 | Added. Please see Fig. 2 in the revised manuscript. |
| 1261 1262 1263 1264 1265 | Figure 5: Please, review the caption: remove "and" in the third line, remove "for the abbreviation for other models", either explain them there or leave just the reference, specify what do we understand by semi-observations. Please, do not refer to other figures in figure captions unless they are needed to understand the figure contents. |
| 1266 1267 | Removed. We also added the following in the caption: |
| 1268 1269 1270 1271 | "semi-observations: DustCOMM (black line) inverted based on an integration of a global model ensemble and quality-controlled observational constrains on the transported dust size distribution, extinction efficiency, and regional DOD" |
| 1272 1273 1274 | Figure 6: What do the maps represent? Is it the ratio? Or the differences over the reference? |
| 1275 1275 1276 1277 1278 1279 1280 | We believe the caption for Panel a-h is clear on this. "Figure 6. Impacts of the dust emission scheme (a and b: ratio of BRIFT to DEAD), aerosol dry deposition scheme (c-f: ratio of PZ10 to Z01), and dust shape (g and h: ratio of ellipsoidal to spherical dust) on the modeled dust deposition (total: a, d, and g; fine mode: c), and dust loading (total: b, f, and h; fine mode: e)." |
| 1281 1282 1283 | Figure 7: Please, use the same naming convention for the different experiments along the manuscript, otherwise is very confusing. |
| 1283 1284 1285 | Done. |
| 1286 1287 1288 1289 | Figure 8: Homogenize the experiment names with the rest of the document, review the seasons listed in the caption, the inserted map below is not shown in this document version. |
| 1290 1291 | Changed relevant text to: |
| 1292 1293 1294 | "Figure 8. Modelled and observed dust direct radiative effect efficiency in the shortwave (SW) and longwave (LW) spectral ranges <i>under clear conditions at the TOA over the sub-domains (location described as [lat, lon]) in April-June (AMJ), summer</i> |

(JJA), fall (NDJ), and September (Sep) for the 2000s climate. The radiative effect efficiency is defined as the ratio of the radiative effect to DOD, so has units of W m⁻² r⁻¹. Included cases from left are CAM6.1, CAM6.α, MINE_NEW_EMIS_SHAPE, CAM6.α MINE. The field value/range are from references listed in Table 3. Colored numbers show correlation coefficient (R) and the root mean square error (RMSE) between the model and retrievals in the SW (a) and LW (b) spectral ranges or in both spectral ranges (numbers in parenthesis in Panel a)."

1339 **Referee 2**

1340

We thank this reviewer very much for the detailed and constructive comments on this work. We have made changes to the manuscript accordingly. We colored our response in blue. Text from the manuscript is quoted with double quotation marks and new text is shown in *italics*.

1345

1346 In this paper, Li et al. investigate the sensitivity of dust in the CESM2-CAM6.1 climate 1347 model to various parameterized processes: the emissions scheme, the dry deposition 1348 scheme, the fixed geometric width of the coarse mode, and the assumption of 1349 spherical/aspherical particles. Using a wealth of validatory observations and many 1350 simulations, they find that changing dust emissions and the coarse mode width have 1351 the greatest impact on the dust metrics, followed by the dry deposition scheme and 1352 then asphericity. They also propose a new version of CAM (CAM6. α) which improves 1353 on many dust metrics relative to CAM6.1 and incorporates some of the listed process 1354 changes.

1355

1356 The paper is well written and contains a wealth of useful information, including the 1357 most comprehensive database of dust observations yet (Table 3). The introduction is 1358 highly readable, and the conclusions are generally supported by the analysis. 1359 However, this paper rather feels like 3 independent studies convoluted together, 1360 namely, (1) a new and improved version of the dust scheme in CAM (CAM6.1 versus 1361 CAM6. α), (2) a study of the sensitivity of simulated dust to certain processes, and (3) 1362 a study of the merits of separating dust into its mineralogical components in CAM. I 1363 think the paper would benefit from being split into 2 or 3 separate papers, which I 1364 expand on below in the General Comments. In short, I think that the study needs a 1365 redesign before it is published, which may require major revisions (i.e., new 1366 simulations and a re-write) and/or splitting into separate papers.

1367

1368 We appreciate the positive comments very much. The reviewer correctly pointed out 1369 that this is convoluted by independent studies. Our original plan, however, was to 1370 separately document the size change in BULK CAM6 and the improved emission and deposition parameterizations in CAM6. Previous studies have shown the merits of 1371 1372 modeling dust as mineral components in terms of the climatic impacts of mineral dust, so we think it would not deserve a new paper on this. Since we tend to update separate 1373 1374 processes in CAM6 and the new schemes have been detailed and tested offline or in 1375 previous versions of CAM (CAM4 and CAM5), it makes more sense to document in 1376 the same paper how the change to each process may affect the dust cycle modeling. 1377 Please see our reply to the comments below.

1378

Firstly, I think that the simulation design is incorrect for exploring the sensitivity of dust to the altered processes. For example, the new dry deposition scheme is only tested in conjunction with the other altered processes (CAM6. α and CAM6. α _MINE) and never on its own. Conversely, the new emissions scheme is tested by itself for both

- BULK and MINE dust models, whilst the size and shape of the particles are tested in conjunction with the new emissions scheme but using BULK and MINE dust respectively. In short, it's very difficult to attribute the impacts on the dust metrics to the individual processes.
- 1387

1388 I would suggest concentrating on either the BULK dust scheme or the MINE dust 1389 scheme, unless you plan to directly compare them. The study would be much cleaner 1390 if the processes were tested in isolation using either BULK or MINE and then 1391 compared to CAM6.1 (see Table below). In its current form, it is very difficult to 1392 disentangle which dust impacts emanate from which altered process.

- 1393
- 1394 Suggested simulations:

| Simulation | Name | Description |
|------------|---------------|---|
| 1 | CAM6.1 | Standard model |
| 2 | NEW_EMISS | CAM6.1 with BRIFT emissions |
| 3 | NEW_SIZE_S5 | CAM6.1 with CAM5 size assumptions |
| 4 | NEW_SIZE_S6σ5 | CAM6.1 with CAM5 assumptions except coarse σ from CAM6.1 |
| 5 | NEW_DRYDEP | CAM6.1 with PZ10 dry deposition |
| 6 | NEW_SHAPE | CAM6.1 with aspherical dust |
| 7 | CAM6.1_MINE | Equivalent to MINE_BASE but may use CAM6. α as BASE simulation |
| 8 | CAM6.α | CAM6.1 with all of the relevant model changes |

1395 1396

In summary, I would highly recommend that the authors run further simulations with
each of the processes applied separately as the current simulation design is not
conducive or particularly supportive of the results presented in the manuscript.

1400

1401 This is a similar comment to what the first Reviewer raised. Below we paste our reply 1402 to the comment by Reviewer # 1 as a response.

1403

There are a couple of different methods to estimate the effect of each development, such as the one we used and the one the reviewers suggested. Strictly speaking, either method cannot totally exclude the possible influence of the parametrizations that had already been included and can affect the dust cycle modeling in the base model. The reason is that there likely exists a nonlinear "interaction" between the existing parameterizations and the newly introduced one, which seems weak though.

We acknowledge that adding new developments one by one seems clearer than our original experiment design. But it requires more simulations and thus more computational resources while yielding a similar estimate of the impact of each development (Fig. R1) compared to what we had presented based on our original experiments. We had selected the original set of experiments, because adding a modification on top of a previous change can help understand how the simulated dust

- 1417 cycle evolves while updating the model (MINE_BASE) toward the most advanced one
- 1418 (CAM6.α MINE).
- 1419

```
a. CAM6.\alpha-MINE_NEW_EMIS_SHAPE: -5.9x10<sup>-14</sup> kg m<sup>-2</sup> s<sup>-1</sup>
```





Fig. R1. Influence of changing to PZ10 on the simulated dry deposition fluxes in the dust-speciated model (change to the global annual mean of dry dust deposition: ~70 Tg) based on our experiment (a) and the suggested experiment by the reviewers (b; Simulation 5 – simulation 1). Quantified change to the global annual mean of dry dust deposition equals ~70 Tg by either method.

1426

1427 The BULK runs were constructed to investigate how the incorrect dust size distribution 1428 influences the dust cycle modeling and the estimate of dust DRE. This incorrect size 1429 distribution has been employed in studies using the officially released BULK CAM6 1430 and not in any study using the dust-speciated CAM. So, we do not have a good reason 1431 to perform sensitivity tests on dust size distribution in the speciated-dust (MINE) runs. 1432 What's more important is that quantifying the impact of individual processes, based 1433 on the base CAM6.1 that uses an incorrect dust size distribution, seems not that 1434 meaningful: it makes more senses to use the model with the "correct" size distribution. 1435 That is why in all the MINE runs designed for that purpose we revert the narrow coarse-1436 mode size distribution to the broad one. Also, following the reviewer's experiment 1437 design would change little to the results obtained from our experiments on the dust 1438 cycle modeling. The reason is that the offline dynamics and the employed dust tuning 1439 ensure quite similar dust cycles modeled by BULK and MINE with different 1440 developments (Fig. R2 and Fig. R3), if the size distribution is also set to be identical, 1441 since the sum of the mass fraction for each of the eight minerals always equals unity. We had pointed out this similarity in our originally submitted manuscript: "It is worth 1442 1443 noting that with the dust tuning applied toward the similar global mean DOD of ~0.03, 1444 the modeled dust cycle (i.e., burdens, concentrations, loadings, deposition fluxes)
would be similarly comparable between the bulk and speciated dust models using the
same offline dynamics and dust size distribution". Repeating the set of simulations
using BULK instead to quantify the impact of each altered process would then yield
similar results to what we presented in the manuscript.



1450Differences(x10⁻⁹ kg m⁻² s⁻¹)Differences(x10⁻⁹ kg m⁻² s⁻¹)1451Fig. R2. Surface dust emissions (a; global annual mean=2891 Tg) and deposition1452fluxes (b; global annual mean=2893 Tg) simulated by CAM6.α and their differences (c1453and d; both global annual mean=22 Tg) between MINECAM6.α and CAM6.α.

1454



Fig. R3. The same as Fig. R2 but for DOD (a: global annual mean=0.030 and c: global
mean difference=0.001) and dust burdens (b: global annual mean of dust mass=24
Tg and d: global mean difference≈0 Tg), respectively.

1455

Following the Reviewer's suggestion, we added the following in the section "2.6Experiment design":

1462

1463 "We quantify the impacts of the incorrect dust size distribution using the bulk-dust 1464 model because the incorrect size distribution has been employed in previous studies 1465 using the officially released bulk-dust CAM6 only but not the speciated-dust model. It 1466 is also reasonable to make all the quantifications in the model that use a correct dust 1467 size distribution. Therefore, we reverted the dust size distribution in all the speciated-1468 dust runs to that configured in CAM5."

1469

1470 "It is worth noting that with the dust tuning applied toward the similar global mean DOD of ~0.03, the modeled dust cycle (i.e., burdens, concentrations, loadings, and 1471 1472 deposition fluxes) would be similarly comparable between the bulk- and speciated-1473 dust models that nudged toward identical offline dynamics and using the same dust 1474 size distribution (see Sect. 6). The quantified effect of each of the modifications would 1475 thus be similar if using the bulk dust model instead (Fig. S2: R1 in this document), but 1476 the modeled dust optical properties (e.g., single scattering albedo) by the bulk and 1477 speciated dust models differ considerably, resulting in considerably different dust DRE 1478 (Scanza et al., 2015) and DRE efficiencies between NEW EMIS (CAM6.α) and 1479 MINE_NEW_EMIS (CAM6.α_MINE)."

1481 "A comparison of the bulk- and speciated-dust models on simulating dust DRE had
1482 been previously documented (Scanza et al., 2015). This study includes the speciated
1483 dust runs because we want to verify if the updates help improve the agreement with
1484 the observed dust DRE efficiency in the dust-speciated model, which could better
1485 represent the spatial variation of the dust optical properties."

1486

1487 "Note that there are many ways to conduct sensitivity studies, which could lead to
1488 slightly different results. We added the modification on top of the previous change to
1489 understand how the simulated dust cycle evolves while updating the model
1490 (MINE_BASE) toward the most advanced version (CAM6.α_MINE). This may not
1491 hinder a clean comparison of the effect of each development since the 'interaction'
1492 between the existing and newly introduced parameterizations seems weak (Fig. S2:
1493 R1 in this document)."

1494

To clarify how we quantify the effect of each development, we added two columns in
Table 4 pointing out the size distribution used and purpose of each experiment and
added the following text in the "Experiment design" section:

1498

1499 "We quantified the impact of each of the modifications (Z01 to PZ10, spherical to 1500 aspherical dust, and DEAD to BRIFT) on the simulated dust cycle and DRE by 1501 differentiating corresponding results in the paired simulations that contain identical 1502 developments except for the targeted modification. Specifically, we quantified the impact of changing (1) Z01 to PZ10 by taking the difference between the simulation 1503 1504 with Z01 (MIN NEW EMIS SHAPE) and that with PZ10 (CAM6.α MIN), (2) spherical 1505 to aspherical dust between the simulation with special dust (MINE NEW EMIS) and 1506 that with spherical dust (MIN NEW EMIS SHAPE), and, (3) DEAD to BRIFT 1507 between the simulation using DEAD (MINE NEW EMIS) and that using BRIFT 1508 (MINE BASE)."

1509

1510 To easily trace the experiments, we now refer to them using their case names instead 1511 of EXP# all through the text.

1512

1513 Finally, we added a separate new section to compare results from BULK with those1514 from MINE:

1515

1516 "6. Bulk- versus speciated-dust model

1517

The bulk (CAM6.α) and dust-speciated models (CAM6.α_MINE) simulate a similar dust cycle with the difference between the two types of models orders of magnitude smaller than the dust cycle itself modeled either by CAM6.α or CAM6.α_MINE (e.g., Fig. 12 and 13: R2 and R3 in this document, respectively). This similarity results from several factors.

- 1524 1) tuning the dust cycle to a global mean DOD of 0.03;
- 1525

- 1526 2) nudging both models towards the same meteorology dynamics;
- and 3) conserving the dust mass when speciating the dust-aerosols such that
 summing the mass fraction of each dust species equals unity. For the same reasons,
 the influence of each of the modifications on the modelled dust cycle quantified using
 the bulk model instead of the dust-speciated model, as this study used, would be
 similarly comparable.
- 1533

1534 What differs remarkably is the modeled dust optical properties between the speciated-1535 and bulk-dust simulations. For example, the speciated-dust model (CAM6.a MIN) 1536 yields a lower global-mean dust SSA than the bulk-dust model (CAM6. α): 0.896 versus 1537 0.911 (Table 6) at the visible band centered at 0.53 µm. Note that the dust DRE is 1538 sensitive to variation of the dust SSA. This lower dust SSA obtained here in the dust 1539 speciated model than in the bulk dust model is consistent with the finding of a previous 1540 study (Scanza et al., 2015) using an earlier model version (CAM5). Correspondingly, 1541 CAM6.α MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) 1542 relative to CAM6.a.

1543

1544 For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 1545 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W 1546 $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave (CAM6.a: RMSE=4.0 W $m^{-2} \tau^{-1}$; R=0.86 versus CAM6.a MINE: RMSE=3.0 W $m^{-2} \tau^{-1}$; 1547 R=0.84) or both spectral ranges (CAM6.a: RMSE=7.0 W $m^{-2} \tau^{-1}$; R=0.93 versus 1548 1549 CAM6.a MINE: RMSE=6.0 W $m^{-2} \tau^{-1}$; R=0.92). This comparison suggests that 1550 modeling dust as component minerals with the dust size distribution in coarse mode 1551 of MINE NEW EMIS SIZE helps improve the model performance relative to 1552 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a). 1553

- The improvement in reproducing the retrieved dust DRE efficiency, however, could be artificial because of the combined use of the imaginary part of the complex refractive index of hematite and the volume mixing rule used in the dust speciated model to compute the bulk-dust complex refractive index (Li et al. in prep.). This combination could lead to more absorptive dust than the bulk dust model (Fig. 9a and Table 6)."
- 1560 Another issue that I had with the simulation design was the arbitrary tuning of dust 1561 optical depth (DOD) to 0.03 in some simulations but not in others (L289). This made it 1562 very difficult to quantify the impact of the altered processes and forced the authors to 1563 add caveats throughout the text e.g., L590 "differences between the global annual 1564 mean dust deposition in BRIFT and DEAD would become smaller, if we rescaled the 1565 value according to the same DOD criteria". I suggest only tuning CAM6.1 and CAM6.a to 0.03 and using the tuned CAM6.1 as the BASE model in which to add the different 1566 1567 processes incrementally. I see no need to rescale DOD in the sensitivity simulations

- and it would be interesting to see the impact of the different processes on the globalmean DOD as a derived product of the models. Tuning to 0.03 is arbitrary and also misses the fact that much of the dust mass is in the super coarse mode which is missing from the model, and therefore the model may be wrongly tuned to 0.03.
- 1572

1573 We tuned CAM6.1 and CAM6. α , as this reviewer also suggested, toward 0.030. But 1574 we must return the model that uses the updated dust emission scheme simply 1575 because if using the same tuning parameter value as in the model with DEAD, the 1576 global mean DOD would be >15 times higher than that in DEAD, reaching up to 0.45, 1577 which is undoubtedly unrealistic. We added the following to the manuscript.

1578

1579 "MINE_NEW_EMIS requires the dust tuning to use a much larger tuning parameter
1580 (dust_emis_fact=3.6; Table 2), than MINE_BASE (dust_emis_fact=1.6), because,
1581 otherwise, if using the same dust_emis_fact as in DEAD, the dust emissions in BRIFT
1582 would lead to an unrealistically high global mean DOD (>~0.5)."

1583

The MINE runs are not for sensitivity studies but for quantifying how each modification affects the dust cycle modeling. We would obtain the same results if performing BULK runs because, with the same model configurations set in this study, the BULK and MINE simulations are nearly identical for modeling the dust cycle. We pointed this out in the revised manuscript as below.

1589

1590 "With the dust tuning applied toward the similar global mean DOD of ~0.030, the 1591 modeled dust cycle (i.e., burdens, concentrations, loadings, and deposition fluxes) 1592 would be similar between the bulk- and speciated-dust models that are nudged toward 1593 identical offline dynamics and using the same dust size distribution (see Sect. 6). The 1594 quantified effect of each of the modifications would thus be similar if using the bulk 1595 dust model instead (Fig. S2),..."

1596

As to the dust mass distribution concerning dust size, according to a recent study (Di Biagio et al., 2020), for a total of 39 Tg dust, approximately 33% (13) Tg dust are particles >10 μ m, though we obtain such estimates based on model simulations. However, this missing fraction of "super-coarse" dust constitutes only a fraction of the total DOD <2% which is even much smaller than the uncertainty in the best estimate from Ridley et al. (2016). Therefore, we believe missing that dust mass would not affect the accuracy of tuning dust toward DOD of ~0.030.

- 1604
- 1605 In response to the reviewer's question about dust tuning, we added some sentences1606 to explain why and how we tuned the model to get the global mean DOD of ~0.030.
- 1607 *"We prefer to tuning the model to reproduce the global mean DOD of 0.030, because"*
- 1608 DOD is currently the best estimate of global dust quantities, compared to the others
- 1609 *(i.e., dust concentrations). It turns out that doing so can also reasonably reproduce the*
- 1610 other quantities with no need of a regional tuning. We tuned the dust model by

1611 modifying a namelist variable in CAM, called soil_erod_factor, corresponding to λ in 1612 Eq. (16)."

1613

1614 Regarding the reviewer's suggestion to include the updates one by one, please see
1615 our response to the previous comment by this reviewer on the experiment design (Line
1616 1404-1508).

1617

1618 It is also confusing for the reader that some simulations have emissions scaled by 1619 1/f_clay whilst others have the scaling as 1, and so the impact of this change is difficult 1620 to disentangle using the current suite of simulations. It would be better if this factor is 1621 consistent across the simulations or tested in isolation.

1622

1623 We thank the reviewer for the comment, which makes us realize that our writing may 1624 be confusing. The parameter b is set to be $1/f_{clay}$ as part of DEAD in the default CAM6 1625 but is set to be unity in BRIFT to better reproduce the observations. There are also 1626 other parameters not shared between the two schemes in addition to the different 1627 values used for b.

1628

As a response, we provided formulas for both emission schemes (please see Section
2.5 in the revised manuscript). In Table 2, we added a new column showing the b
value used in each experiment.

1632

1633 "Table 2. Simulations performed in this study for years 2006-2011. Treatment of dust 1634 tracer: speciated dust with separate tracers (MINE: mineralogy), or no dust speciation 1635 (bulk); the dust emission scheme: Zender et al., (2003a; DEAD) or Kok et al., (2014a; 1636 BRIFT); with or without accounting for the lifetime effect of dust asphericity (Asp versus 1637 Sph); dry deposition scheme: Zhang et al., (2001; Z01) or Petroff and Zhang (2010; 1638 PZ10); parameters for size distribution taken from the released version of CAM5 and 1639 CAM6.1 (see Table 1 for CAM5 and CAM6 size, respectively); additional test on dust 1640 size distribution using the coarse-mode σ =1.2 from the released version of CAM6.1 1641 and the rest parameters (e.g., boundaries of the geometric mean diameter) from the released version of CAM5; meteorology field nudged toward reanalysis data (offline) 1642 for 2000s climate; dust tuning parameter includes the CAM namelist variable 1643 1644 (dust emis fact) and b used in the calculation of the threshold gravimetric water 1645 content (see Sect. 2.5.1). The variable f_{clav} denotes the clay fraction in CLM5. CAM6.1 1646 and CAM6. α in bold refer to the default model and proposed new model versions, 1647 respectively, with bulk dust. Note negligible influence on the dust cycle modeling and 1648 corresponding DRE by changing the size parameters of the accumulation mode 1649 between CAM5 and CAM6 size."

| Exp. | Case names | Dust model | Dry dep. | Lifetime effect of dust asphericity | Emi. scheme | Dust size distribution | Dust tuning parameters (dust_emis_fact; b) | Comments |
|------|------------|---------------|-------------|---|-------------------|-----------------------------|---|-----------------------------|
| 01 | CAM6.1 | Bulk | Z01 | No (Sph) | Zender [2003a] | Default CAM6 size (Table 1) | 0.91; 1/f _{clay} | Officially released version |
| 02 | NEW_EMIS | Bulk | Z01 | No (Sph) | Kok [2014a] | Default CAM6 size (Table 1) | 28; 1/f _{clay} | Control for size tests |

| 03 | NEW_EMIS_SIZE | Bulk | Z01 | No (Sph) | Kok [2014a] | Default CAM5 size (Table 1) | 28; 1/f _{cloy} | Changing the coarse- mode size distribution; influence quantified by comparing this with Exp. 02 |
|----|-------------------------|------|------|-----------|-------------------|---|--------------------------|--|
| 04 | NEW_EMIS_SIZE_ WIDTH | Bulk | Z01 | No (Sph) | Kok [2014a] | Default CAM6 size but with width of the coarse-mode size distribution from defaulted CAM5 size | 28; 1/f _{clay} | No change to size parameters for the other modes; influence quantified by comparing this with Exp. 02 |
| 05 | CAM6.α | Bulk | PZ10 | Yes (Asp) | Kok [2014] | Default CAM5 size | 3.6; 1.0 | New bulk dust model |
| 06 | MINE_BASE | Mine | Z01 | No (Sph) | Zender [2003a] | Default CAM5 size | 1.6; 1/f _{clay} | Baseline for quantifying the impact of each modification |
| 07 | MINE_NEW_EMIS | Mine | Z01 | No (Sph) | Kok [2014a] | Default CAM5 size | 3.6; 1.0 | Changing the dust emission scheme: influence quantified by comparing this with Exp. 06 |
| 08 | MINE_NEW_EMIS_ SHAPE | Mine | Z01 | Yes (Asp) | Kok [2014a] | Default CAM5 size | 3.6; 1.0 | Experiment for changing the dust emission and shape |
| 09 | CAM6.α_MINE | Mine | PZ10 | Yes (Asp) | Kok [2014a] | Default CAM5 size | 3.6; 1.0 | New mineralogy dust model: combined influence of the new emission scheme, PZ10, and dust asphericity quantified by comparing this with Exp. 02 |

1652

1653 Below is part of the new text relevant to the tuning factor.

1654

1655 "Because of the neglection of the non-erodible elements, u*t is mostly determined by 1656 soil moisture content, which means that the augmentation factor of u*t is:

1657 Soli moisture content, which means that the augmentation factor of u t is.

1657

$$f_{*t} = \begin{cases} \sqrt{1 + 1.21(w - w')^{0.68}}; w > w'\\ 1; w \le w' \end{cases}$$
(8)

 $w' = b (17 f_{clay} + 14 f_{clay}^2), (9)$

1659

1660 Where w and w' are soil moisture content and the threshold gravimetric water content 1661 of the top soil layer in percentage.

1662
1663 Fécan et al. (1999) parameterized the threshold gravimetric water content (w) of the
1664 top soil layer by

1665

1666

1667

1668 where b is a tuning factor.

1669

1670 Equations (8) and (9) are also used in DEAD with an equivalent tuning factor b set to 1671 be f_{clay} ⁻¹ which in BRIFT is set as unity. The clay fraction is taken from the FAO(2012) 1672 soil database (see Fig. S1 of Kok et al., 2014)."

1673

1674 "An offline sensitivity test (Table S1: R1 in this document) supports the use of unity
1675 tuning factor to calculate the threshold gravimetric water content which we employed

1676 in the experiments for quantifying influence of each modification (speciated dust1677 simulations listed in Table 2)."

1678

1679 "Table S1 (R1 in this document). Comparison of the three CESM simulations with the
1680 offline dynamics and different values of the tuning parameter (b) to calculate the
1681 threshold gravimetric water content in the new dust emission scheme, against
1682 measurements. The measurements include AERONET AOD climatology, surface dust
1683 concentrations, and dust deposition fluxes, as described in Section 3."

1684

| Parameter | Correlation coefficient (RMSE) on climatology | | | | | |
|-----------|---|---|---------------------------------------|--|--|--|
| b | AERONET DOD | Surface dust concentrations (log space) | Dust deposition fluxes (log space) | | | |
| 0.5 | 0.74 (0.13) | 0.83 (0.66) | 0.72 (0.93) | | | |
| 1.0 | 0.68 (0.14) | 0.82 (0.72) | 0.77 (0.86) | | | |
| 2.0 | 0.66 (0.14) | 0.83 (0.66) | 0.79 (0.82) | | | |

1685

1686

1687 I gather from the text (L649) that the impact of asphericity on the dust mass extinction 1688 efficiency (MEE) is represented in *all* of these simulations. This is rather confusing, as 1689 it suggests some representation of asphericity is incorporated even when dust is 1690 assumed to be spherical (?). Please clarify this for the reader. In particular, please 1691 state whether the impact of asphericity on MEE is only applied in the simulation with 1692 dust asphericity or in all simulations (which seems inherently wrong). Really these 1693 details should be included in the Methods (L98, L224) and not in the result section. 1694

All the simulations account for such an impact of dust asphericity. To avoid confusion, we moved relevant text from the result Section 4.2.3 (Section 5.2.3 in the revised version) to Section 2.4.3 and added the following to the "Experiment design" section: 1698

1699 "The enhancement of the mass extinction efficiency of aerosol particles by dust
1700 asphericity is included in all the simulations since we do not attempt to quantify how
1701 this enhancement impacts the simulated dust cycle."

1702

1703 In terms of the presentation of the results, I thought that comparing CAM6. α with 1704 CAM6.1 before looking at the individual processes was confusing, as much of the 1705 analysis of the impacts of individual processes could have been used to explain 1706 differences between the dust metrics in CAM6.1 and CAM6. α .

We think doing what the reviewer suggested or keeping it as it was would be OK. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go first" since the manuscript is lengthy. In any order, the conclusions of this article would remain unchanged.

1713

1714 Additionally, the authors say the following in Section 2.5:

1715

"It is worth noting that dust burdens and deposition fluxes would be comparable, if the 1716 bulk and speciated dust models have similar DOD. But the dust optical properties (e.g., 1717 1718 single scattering albedo) in the bulk and speciated dust simulations differ, resulting in 1719 considerably different dust direct radiative effects and direct radiative effect 1720 efficiencies. Therefore, we state the difference in the dust DRE and DRE efficiency 1721 estimate in Sect. 6, but do not document the comparison of dust 1722 loadings/deposition/DOD between the bulk and speciated dust simulations."

1723

1724 Given that DOD is tuned to be similar in these simulations, I do not see why the 1725 differences in optical properties should be used as an excuse not to compare BULK 1726 with MINE. This would be a very interesting study in its own right, and possibly the 1727 authors should omit MINE simulations in this paper as without comparing BULK with MINE, it is difficult to understand why MINE is used at all. Is the additional 1728 1729 mineralogical detail in MINE useful for a better dust simulation? What is the additional 1730 computational expense of MINE over BULK? Is MINE being considered for inclusion 1731 in a future of CAM or is this rather an interesting pedagogical study? Currently, MINE 1732 is frivolously used in this study and is unnecessary without further analysis and 1733 comparison.

1734

1735 We did not compare the modeled dust cycle between BULK and MINE runs because this is a science with secondary importance. We show the reason in the "Experiment 1736 1737 design" section: "With the dust tuning applied toward the similar global mean DOD of ~0.030, the modeled dust cycle (i.e., burdens, concentrations, loadings, and 1738 1739 deposition fluxes) would be similar between the bulk- and speciated-dust models that 1740 are nudged toward identical offline dynamics and using the same dust size distribution 1741 (see Sect. 6). The quantified effect of each of the modifications would thus be similar 1742 if using the bulk dust model instead (Fig. S2),..."

1743

The different optical properties are not the reason for not making the comparison but for including the MINE runs. We have shown in the text evaluations on the model performance of modeling the DRE efficiency and the influence of each modification on the DRE estimate, for which modeling the optical properties as accurately as possible is crucial. Therefore, we prefer to use the dust speciated model to quantify such influence, as it simulates spatially varying dust optical properties (the bulk dust model uses a globally constant dust optic).

We had tried to do this but found that having the potential impacts on the mineralogy
by changing to the new dust emission scheme is not enough for a separate paper.
Instead, we added more analysis on documenting results from the MINE runs such
that it makes more sense to have both BULK and MINE runs in this article.

1756

1757 "6. Bulk versus speciated-dust model

1758

1759 The bulk (CAM6. α) and dust-speciated models (CAM6. α _MINE) simulate a similar 1760 dust cycle with the difference between the two types of models orders of magnitude 1761 smaller than the dust cycle itself modeled either by CAM6. α or CAM6. α _MIN (e.g., Fig. 1762 12 and 13: R2 and R3 in this document, respectively). This similarity results from 1763 several factors.

1764

1765 1) tuning the dust cycle to a global mean DOD of 0.03;

1766

1767 2) nudging both models towards the same meteorology dynamics;

1768

and 3) conserving the dust mass when speciating the dust-aerosols such that
summing the mass fraction of each dust species equals unity. For the same reasons,
the influence of each of the modifications on the modelled dust cycle quantified using
the bulk model instead of the dust-speciated model, as this study used, would be
similarly comparable.

1774

1775 What differs remarkably is the modeled dust optical properties between the speciated-1776 and bulk-dust simulations. For example, the speciated-dust model (CAM6.a MIN) 1777 yields a lower global-mean dust SSA than the bulk-dust model (CAM6. α): 0.896 versus 1778 0.911 (Table 6) at the visible band centered at 0.53 µm. Note that the dust DRE is 1779 sensitive to variation of the dust SSA. This lower dust SSA obtained here in the dust 1780 speciated model than in the bulk dust model is consistent with the finding of a previous 1781 study (Scanza et al., 2015) using an earlier model version (CAM5). Correspondingly, 1782 CAM6. α MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) 1783 relative to CAM6.a.

1784

1785 For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 1786 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave 1787 1788 $(CAM6.\alpha; RMSE=4.0 W m^{-2} \tau^{-1}; R=0.86 versus CAM6.\alpha MINE; RMSE=3.0 W m^{-2} \tau^{-1};$ 1789 R=0.84) or both spectral ranges (CAM6.a: RMSE=7.0 W $m^{-2} r^{-1}$; R=0.93 versus CAM6.a MINE: RMSE=6.0 W $m^{-2} \tau^{-1}$; R=0.92). This comparison suggests that 1790 1791 modeling dust as component minerals with the dust size distribution in coarse mode 1792 of MINE_NEW_EMIS_SIZE helps improve the model performance relative to 1793 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a). 1794

| 1795 | The improvement in reproducing the retrieved dust DRE efficiency, however, could be |
|------|---|
| 1796 | artificial because of the combined use of the imaginary part of the complex refractive |
| 1797 | index of hematite and the volume mixing rule used in the dust speciated model to |
| 1798 | compute the bulk-dust complex refractive index (Li et al. in prep.). This combination |
| 1799 | could lead to more absorptive dust than the bulk dust model (Fig. 9a and Table 6)." |
| 1800 | |
| 1801 | Specific comments |
| 1802 | |
| 1803 | [L75] Is it worth introducing the DEAD and BRIFT acronyms here? |
| 1804 | |
| 1805 | Introduced here. |
| 1806 | |
| 1807 | [L84] The fine mode is described as $d < 1um$ whilst the coarse mode is $d > 5um$. |
| 1808 | Normally, the coarse mode is adjacent to the fine mode so I wonder what the authors |
| 1809 | would define the intermediate aerosol (1 < d< 5um) as? |
| 1810 | |
| 1811 | We followed the definition used in the community. So, it is not a definition for the coarse |
| 1812 | mode aerosol. We revised this statement to avoid possible confusion. |
| 1813 | |
| 1814 | "and slightly underestimating that of aerosols with diameter > 5.0μ m". |
| 1815 | |
| 1816 | [L91] "one of the changes from CAM5 to CAM6.1 was replacing the size distribution |
| 1817 | of aerosols in the coarse mode in CAM5 with the one that has a much narrower width |
| 1818 | in CAM6.1"- this seems nonsensical to me, or completely without consideration for |
| 1819 | actual coarse mode dust widths (e.g., Ryder et al, 2013, 2018, 2019 suggest $\sigma \in [1.6,$ |
| 1820 | 2] rather than 1.2). Why was it decided to favour stratospheric sulfate over |
| 1821 | tropospheric mineral dust when sulfate is more episodic (e.g. volcanic eruptions) and |
| 1822 | has less of an impact over tropospheric climate? Also, the authors seem to |
| 1823 | recommend that the coarse mode width be reverted to 1.8 as in CAM5 (I agree), but |
| 1824 | do not comment on the impact of resetting the coarse mode width on stratospheric |
| 1825 | sulfate. Seeing as this was the initial motivation for contracting σ , I think that some |
| 1826 | comment is appropriate. |
| 1827 | |
| 1828 | That is right. We also think 1.2 is too narrow to represent the size distribution of dust |
| 1829 | aerosol. So, we decided to revert it to 1.8, with which this reviewer also agrees and |
| 1830 | recommends using this broad-size distribution in future versions of CAM. In CAM6, |
| 1831 | the volcanic sulfate is presented together with dust aerosol. The developers focused |
| 1832 | on the volcanic sulfate while advancing the CAM model without noticing that the |
| 1833 | employed sigma is inappropriate for dust aerosol. |
| 1834 | |
| 1835 | We commented a little bit on this as below. |
| 1836 | |
| 1837 | "Our analysis suggests reverting the geometric standard deviation of the transported |
| 1838 | dust size distribution (coarse mode) from the default 1.2 to 1.8 imposes the biggest |

1839 change to the modeled dust cycle among what we introduced to CAM6.1. Note that 1840 the linear assumption between DOD and the other dust quantities based on which we 1841 rescaled up the concentrations, deposition, burdens, and DRE of dust in the size distribution simulations introduces uncertainty. Since the defaulted 1.2 is too narrow 1842 1843 to simulate the dust lifetime, in the next released model version, we recommend 1844 reverting the geometric standard deviation to 1.8, as in CAM5. This reverse may 1845 require a splitting of representation of dust and the stratospheric aerosols in the coarse 1846 mode, for which the narrow coarse-mode size distribution works better (Mills et al., 1847 2016), and some changes to sea salt." 1848 1849 [Table 1] I think that GMD should be labelled as "initialisation GMD" as this is more 1850 descriptive. Or is the initial GMD at source calculated online? It is difficult to tell from the text what the initial GMS is. This also refers to L179. 1851 1852 1853 Changed to "initialization GMD" here and where it is applicable. The reviewer is right 1854 that this is initialization GMD. 1855

[Table 1] Why is the order of the modes Accumulation, Aitken, Coarse, then Primary?
Surely it should be in ascending size order: Primary, Aitken, Accumulation then Coarse

1859The order in Table 1 is the same as that in the model. In response, we reordered the1860list following the reviewer's suggestion.

"Table 1. Mode parameters for the Modal Aerosol Module version 4 (MAM4) used in
CAM5 (CAM5 size) and CAM6.1 (CAM6 size) by default: geometric standard
deviations (σ) and initialization geometric mean diameter (GMD) and its ranges.
Values in parentheses if present are for CAM6.1 cells without parentheses are kept
the same between CAM5 and CAM6.1."

| Mode (note order) | σ | <i>Initialization</i> GMD (μm) | Lower bound GMD (µm) | Upper bound GMD (µm) |
|---------------------|----------|-----------------------------------|----------------------|----------------------|
| Primary carbon (a4) | 1.6 | 0.050 | 0.010 | 0.10 |
| Aitken (a2) | 1.6 | 0.026 | 0.0087 | 0.052 |
| Accumulation (a1) | 1.8(1.6) | 0.11 | 0.054 | 0.44 |
| Coarse (a3) | 1.8(1.2) | 2.0(0.90) | 1.0(0.40) | 4.0(40) |

1868

1861

1867

1869

1870[Table 1] Why was the accumulation mode width changed in CAM6.1? What are the1871impacts of reverting it? I can't see this detail in the text

1872

1873 Good point. It is again to accommodate the stratospheric aerosol (Mills et al., 2016).
1874 Our test simulations suggest negligible impacts on the dust cycle modeling when
1875 modeling it. We briefly mentioned this is the mutical menuroprint (see the "Functionent").

reverting it. We briefly mentioned this in the revised manuscript (see the "Experimentdesign" section).

| 1877 | |
|--------------|---|
| 1878 | "The other changes to the width of the accumulation mode and the bounds of the |
| 1879 | simulated GMD online impose negligible impacts on the dust cycle modeling, thus, we |
| 1880 | did not construct sensitivity tests on reverting them in this study." |
| 1881 | |
| 1882 | [L109] The term 'semi-observation' is undefined and is confusing |
| 1883 | |
| 1884 | We now specify both the observation and semi-observation as "measurements, |
| 1885 | retrievals, and model-observation integration" which should bracket all the data used |
| 1886 1887 | in this work. |
| 1888 | [L115] "show the final summarization in Section 7". This is an unusual way to say |
| 1889 | "Discussion and conclusions are provided in Section 7" or something to that effect |
| 1890 | Discussion and conclusions are provided in Section 7 of something to that effect |
| 1890 | We changed it to: |
| 1891 | |
| 1892 | "limitations in the model-observation comparison in Sect. 5, and discussions and |
| 1895 | conclusions in Sect. 7." |
| 1894 | |
| 1895 | [L120] This is one of the places in the text where it is unclear as to: (1) whether the |
| 1897 | impact of dust asphericity on MEE is represented at all, (2) if it is represented then in |
| 1898 | what way (methods), and (3) which simulations include it? |
| 1899 | what way (methods), and (5) which simulations include it: |
| 1900 | To avoid confusion, we removed "and optics" here, moved relevant text from the result |
| 1900 | Section 4.2.3 (5.2.3 in the revised manuscript) to Section 2.4.3, and added the |
| 1901 | following to the "Experiment design" section: |
| 1902 | following to the Experiment design section. |
| 1903 | "The enhancement of the mass extinction efficiency of aerosol particles by dust |
| 1904 | asphericity is included in all the simulations since we do not attempt to quantify how |
| 1905 | this enhancement impacts the simulated dust cycle." |
| 1907 | |
| 1907 | [L137] Sentence beginning "We consider the default DEAD scheme" should explicitly |
| 1909 | acknowledge that it refers to emissions |
| 1910 | |
| 1911 | Changed "scheme" to "dust emission scheme". |
| 1912 | |
| 1912 | [L143] How confident are the authors in the critical LAI threshold? Should this |
| 1913 | assumption be discussed in the Discussion section? |
| 1915 | |
| 1916 | The calculation of the critical LAI threshold has been a standard for a while in CAM of |
| 1917 | different versions. It could be subject to change in the future. But the associated |
| 1917 | uncertainty would probably be small compared to the missing pieces we mentioned in |
| 1919 | the discussion section for modeling dust aerosols in CAM6. |
| 1919 | |
| 1720 | |

1921 We added one sentence in response to this good question.

1922

1923 "This large uncertainty could partially result from the constants used in the 1924 parametrizations that affect the dust emission and transport processes, such as the 1925 critical LAI threshold, the hygroscopicity of dust, and the prescribed scavenging 1926 coefficient, though the default values in the model have been used during the past 1927 decade in CAM of different versions."

1928

[L152] The mass is distributed as 0.1 %, 1 % and 98.9 % between the Aitken,
accumulation, and coarse modes. Surely these ratios should change depending on
the assumed coarse mode width?

1932

1933 These values were obtained by applying the brittle fragmentation theory to the broad 1934 coarse-mode size distribution that is the same as used in this study. Thus, we can 1935 apply it to the proposed new models. But the default CAM6.1 uses the same values 1936 while employing a much narrower coarse-mode size distribution, which could be 1937 problematic.

1938

[L160] Many dust schemes treat dust as initially insoluble and then permitted to age
via coagulation and condensation wherein it becomes soluble and internally mixed
(e.g., dust in UKESM1). The authors should comment on their assumption of internally
mixing dust, which may artificially enhance dust deposition near source regions?
Would you expect similar results if dust is assumed to be insoluble?

1944

1945 Since version 5, CAMs employ the internal mixing assumption within each mode as 1946 an option. It is worth pointing out that dust aerosols are not completely internally mixed in MAM4 of CAM5/6: dust aerosols in different modes are externally mixed. But most 1947 1948 dust mass is distributed in the coarse mode, which indicates that the assumption made 1949 to the coarse-mode dust may be most influential on the dust cycle modeling compared 1950 to dust in Aitken and accumulation modes. In this paper, we do not attempt to 1951 document how different mixing assumptions affect the dust modeling in CAM6 since 1952 all our simulations stick to this assumption. So, we tried to answer this question but 1953 did not expand it in the manuscript.

1954

From the view of the dust cycle modeling, we think the importance of dust hygroscopicity and its mixing with other aerosols is regionally dependent. For example, a different assumption of mixing with sea salt for South African dust can greatly change simulated deposition near the source, particularly in the downwind area. But near North Africa, they are not that influential because both cloud fractions and sea salt concentrations are typically low. But how the mixing state of aerosols is crucial for modeling the optical properties and radiative effects.

[L165] The Neale et al (2010) reference is an internal document, which I can't find
online. Can the authors please provide a URL for downloading the report, or
alternatively, relevant peer-reviewed papers with the same information.

1967 **RESPONSE:** It's a technical note. We put it on GitHub and a link in the manuscript
where we cite this reference: <u>https://github.com/L3atm/LLi2022GMD</u>.
1969

1970 [L172] "The wet deposition rate thus depends on the hygroscopicity of dust (=0.068; Scanza et al., 2015) as CCN/INPs and the prescribed scavenging coefficient (=0.1; 1971 1972 Neale et al., 2010), both of which are currently constant with respect to the dust size 1973 (and composition for speciated dust) in CAM6.1." _- _I assume the hygroscopicity of 1974 dust will evolve as dust is transported through the atmosphere so I question the use 1975 of a single spatially uniform constant for this parameter. The below cloud scavenging coefficient (0.1), if it is in units of s-1, seems 2 orders of magnitude too high (Wang et 1976 1977 al., 2010, doi:10.5194/acp-10-5685-2010). Wang et al (2010) for instance, suggest it's somewhere between 10-6 for accumulation mode aerosol and 10-3 for coarse mode 1978 1979 aerosol depending on scavenging rate. The authors should comment more on the 1980 assumptions made in the model and the implications of those assumptions.

1981

1966

1982 We appreciate the great comment and agree that the dust hygroscopicity would vary 1983 from region to region and change during transport due to dust aging. How to better 1984 treat the scavenging coefficient could be an excellent future study. The purpose of this 1985 paper is to document the changes and how they change the dust cycle modeling. We 1986 tend not to spend space commenting on all the parameterizations, such as the 1987 oversimplified hygroscopicity of dust in CAM6.1. Still, this comment points out 1988 important information for modeling dust aerosol, as it could change the wet deposition 1989 rate. So, we very briefly pointed this out in the discussion section:

1990

1991 "This large uncertainty could probably in part result from the constants used in the 1992 parametrizations that affect the dust emission and transport processes, such as the 1993 critical LAI threshold, the hygroscopicity of dust, and the prescribed scavenging 1994 coefficient, though the default values in the model has been used during the past 1995 decade in CAM of different versions."

1996

[L180] "Note that the current default CAM6.1 employs a narrow coarse-mode size
distribution but a broad boundary width (high bound minus low bound), likely resulting
in the GMD bounds less in effect, compared to that in CAM5". – _what are the impacts
of changing the coarse mode width on sea-salt emissions and sea-salt AOD? Surely
this change will impact more than dust alone, which may be confounding other results
presented in the study (e.g., the DRE).

2003

This change does affect the emissions and optical depth of sea salt. We had included such impacts but then removed relevant text since this study focuses on dust aerosol.

2006 Documenting sea salt seems somewhat distracts the readers. Following this 2007 suggestion, we mentioned sea salt in the last section. 2008 2009 "This reverse may require a splitting of representation of dust and the stratospheric 2010 aerosols in the coarse mode, for which the narrow coarse-mode size distribution works 2011 better (Mills et al., 2016), and some changes to sea salt." 2012 2013 [L210] "The wet size due to growth of aerosol particles by adsorbing water vapor 2014 follows the K-Kohler theory with a time-invariant hygroscopicity for each aerosol 2015 species (Petters and Kreidenwei, 2007)". - is it worth listing these hygroscopicity 2016 parameters to aid in the replicability of the simulations? 2017 2018 We archived the model code, which contains the values used for each aerosol species 2019 and is publicly available. 2020 2021 [L215] "here and hereafter unless stated otherwise" – this phrase, in parentheses, 2022 doesn't seem to apply to anything or make sense 2023 2024 Removed. 2025 2026 [L224] This is another place in the text where the impact of asphericity on the MEE is 2027 tantalisingly hinted at without further detail as to whether its on and how its 2028 incorporated 2029 2030 We clarified this in Section 2.5 of the revised manuscript as below, so, here we 2031 removed "calculated mass extinction efficiency and". 2032 2033 "The enhancement of the mass extinction efficiency of aerosol particles by dust 2034 asphericity is included in all the simulations since we do not attempt to quantify how 2035 this enhancement impacts the simulated dust cycle" 2036 2037 [L276] "In addition, the meteorology field (horizontal wind, air temperature T, and relative humidity) was nudged" - the results will obviously be changed if the model is 2038 2039 free running then. For instance, the coarse dust will absorb LW radiation, warming the 2040 surface and destabilising the atmosphere. Perhaps this assumption (fixed 2041 meteorology) should be discussed in the Discussion section 2042 2043 The reviewer is right. If a free running is constructed, which we will do in the future, 2044 the results could be different. We pointed out that the results here are from simulations 2045 based on the use of offline dynamics in the first paragraph of the last section. To 2046 emphasize this, at some other places in the Discussion section, we mentioned this 2047 information again: 2048

"It is worth noting that the results obtained in this study rely on the models with the
offline dynamics, which is subject to change while using the predicted meteorology
field online."

2052

2053 "...with the offline dynamics, the new model, CAM6.α..."

2054

2055 [L285] "Therefore, we state the difference in the dust DRE and DRE efficiency estimate 2056 in Sect. 6, but do not document the comparison of dust loadings/deposition/DOD 2057 between the bulk and speciated dust simulations." – Avoiding comparing BULK and 2058 MINE seems like a massive oversight and is one of the first things I'd query as a reader. 2059 Does speciation between minerals improve the simulation compared to assuming dust 2060 as a bulk quantity? Simply saying that as the dust properties are different (of course 2061 they will be), this reduces comparability, is a little bit absurd and a bit of a cop out. I 2062 think this comparison should be made in a follow-on paper. To be honest, it doesn't 2063 seem worth including the MINE simulations if they not appropriately analysed.

2064

The dust speciation helps reproduce the observed DRE efficiency improvements compared to without the speciation, as presented in Section 4.3.1 (5.3.1 in the revised text). For non-optical variable, summing over the eight minerals gives the total dust loadings/deposition/DOD similar results to simulations without the dust speciation. Per the suggestion of the reviewers, we added a new section "6. *Bulk- versus speciateddust model*" collecting information about the comparison between BULK and MINE results that scattered in the text:

2072

2073 "For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 2074 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W 2075 $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave (CAM6.a: RMSE=4.0 W $m^{-2} \tau^{-1}$; R=0.86 versus CAM6.a MINE: RMSE=3.0 W $m^{-2} \tau^{-1}$; 2076 2077 R=0.84) or both spectral ranges (CAM6.a: RMSE=7.0 W $m^{-2} \tau^{-1}$; R=0.93 versus CAM6. α _MINE: RMSE=6.0 W m⁻² τ ¹; R=0.92). This comparison suggests that 2078 2079 modeling dust as component minerals with the dust size distribution in coarse mode 2080 of MINE NEW EMIS SIZE helps improve the model performance relative to 2081 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a)."

2082

Also, we added RMSE and correlation coefficient in the DRE efficiency plot as shownbelow.



2086

2087 "Figure 8. Modelled and observed dust direct radiative effect efficiency in the 2088 shortwave (SW) and longwave (LW) spectral ranges under clear conditions at the TOA 2089 over the sub-domains (shown in the inserted map and location described below) in 2090 April-June (AMJ), summer (JJA), fall (NDJ), and September (Sep) for the 2000s 2091 climate. The radiative effect efficiency is defined as the ratio of the radiative effect to DOD, so has units of W m⁻² τ^{-1} . Included cases from left are CAM6.1, CAM6. α , 2092 2093 MINE NEW EMIS SHAPE, CAM6. α MINE. The field value/range are from 2094 references listed in Table 5. Colored numbers show correlation coefficient (R) and the 2095 root mean square error (RMSE) between the model and retrievals in the SW (a) and 2096 LW (b) spectral ranges or in both spectral ranges (numbers in parenthesis in Panel 2097 a)."

[L289] Choosing to tune some models to DOD = 0.03 but not others is very peculiar. 2099 The authors say "Dust tuning was not applied to EXP03 and EXP04 (bulk dust 2100 2101 simulations), in which the dust emission was identical to EXP02, in order to see how 2102 changes in the transported dust size distribution affects the DOD calculation". – Well surely all of the individual sensitivity simulations (emissions, dry deposition, asphericity) 2103 2104 would have benefitted from the same analysis? I guess that some parameters in the emissions and dry deposition algorithm need to tuned in some way (so using DOD 2105 2106 might be a reasonable approach) as the parameters have a huge degree of uncertainty, 2107 but the asphericity probably did not need changing.

2108

2098

Though not tuning EXP03 and EXZP04, we scaled up DOD and applied the same factor to the other dust quantities, as we stated in the text (the "Experiment design" section). This rescaling makes sense, considering the roughly linear relationship between those variables, though we acknowledge doing so may introduce uncertainty. We pointed this out in the Discussion section.

2115 "...though the linear assumption between DOD and the other dust quantities based on
2116 which we rescaled up the concentrations, deposition, burdens, and DRE of dust in the
2117 size distribution simulations."

2118

2119 In the emission and deposition schemes, we agree that there could maybe exist 2120 uncertainty in some parameters. But we would better not scale the non-tunable 2121 parameters within the dust scheme to match the observational constraint of DOD=0.03, 2122 because the scaling factor exists largely due to the missing sub-grid scale variability 2123 by 100-km grid-scale modeling, not because of the uncertainty of parameters. Tuning those parameters to match the global constraint just seems like errors compensating 2124 2125 each other. The dust emission scheme in CAM contains a tuning parameter "b", in the 2126 calculation of the threshold gravimetric water content, which can plausibly range from 2127 less than 1 to the inversed clay fraction (can be > 3.0). Sensitivity tests by modifying 2128 this tuning parameter among 0.5, 1.0, and 2.0 suggest that 1.0 is a good value to use 2129 (see Table R1 in this document). We tend not to change non-tunable parameters, 2130 since they are observationally constrained. That explains why we did not modify those 2131 parameters in the new dry deposition scheme. We added the following in the 2132 "Experiment design" section and cited a new supplementary table (Table R1) there:

2133

"An offline sensitivity test (Table S1) supports the use of unity tuning factor to calculate
the threshold gravimetric water content which we employed in the experiments for
quantifying influence of each modification (speciated dust simulations listed in Table
2)."

2138

[L289] My other issue with this paragraph is that the tuning is not described in any detail. Which parameters were tuned and what are their values in the baseline simulation? How was tuning conducted and why was global-mean DOD chosen as the target? Simply saying 'tuned the model following Albani et al (2014)' _is not sufficient, and it would be impossible to replicate these simulations without further detail

2144

2145 We added the following to address this comment.

2146

2147 "...we tuned the model following Albani et al., (2014) by modifying a namelist variable
2148 called soil_erod_factor, such that..."

2149

2150 "We prefer to tuning the model to reproduce the global mean DOD of 0.030, because 2151 this is currently the best estimate of global dust quantities, compared to the others (i.e., 2152 dust concentrations). It turns out that doing so can also reasonably reproduce the other 2153 quantities with no need of a regional tuning. We tuned the dust model by modifying a 2154 namelist variable in CAM, called soil_erod_factor." [Table 3] This table seems very large, and I'm not sure whether the list of acronyms should be at then end of the table or in the caption. Would it be better to have 1 table for each metric?

- 2159
- 2160 We split this large table into 3 and list the acronyms in the captions:
- 2161

2162 "Table 3. Observed/retrieved cycle for dust model evaluations including optical depth,
2163 surface mass concentrations, surface deposition fluxes, and wet deposition
2164 percentages. AERONET: Aerosol Robotic Network; MODIS: Moderate Resolution
2165 Imaging Spectroradiometer; AOD: aerosol optical depth; DOD: dust optical depth."

2166

2167 "Table 4. Measured/retrieved dust size distribution for model evaluation. AERONET:
2168 Aerosol Robotic Network; DustCOMM: Dust Constraints from joint Observational2169 Modelling-experiMental analysis."

2170

2171 "Table 5. Retrieved dust radiative effect efficiency for model evaluation. CERES: 2172 Clouds and the Earth's Radiant Energy System; TOA: top of the atmosphere; JJA: 2173 June, July, and August; AOD: aerosol optical depth; MISR: Multi-angle Imaging 2174 SpectroRadiometer; OMI: Ozone Monitoring Instrument; NDJ: November, December, 2175 and January; MODIS: Moderate Resolution Imaging Spectroradiometer; CALIPSO: 2176 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations; MFRSR: 2177 MultiFilter Rotating Shadowband Radiometer; SEVIRI: Spinning Enhanced Visible and 2178 Infrared Imager; GERB: Geostationary Earth Radiation Budget; AERONET: Aerosol 2179 Robotic Network; MPL: Micro-Pulse Lidar; AERI: Atmospheric Emitted Radiance 2180 Interferometer; SMART: Surface-sensing Measurements for Atmospheric Radiative 2181 Transfer; AMJ: April, May, and June."

2182

2183 [Results] The difference between CAM6.1 and CAM6. α i.e., the control and the 2184 simulation with all changes added (except mineralogy) comes before the dissection of 2185 impacts of individual processes. Why is this? Surely it would be better to investigate 2186 the impacts of the individual processes and then use them to explain why CAM6. α is 2187 different to CAM6.1?

2188

We think doing what the reviewer suggested or keeping it as it was would be fine. In the drafted manuscript, we have tried doing the same as the reviewer suggested but reordered the result section taking the "principle" that "the most important things go first" since the manuscript is lengthy. In any order, the conclusions of this article would remain unchanged.

2194

[L378] "CAM6.1 may overestimate the contribution of high-latitude dust emissions to
the global dust total (8.0%)." – is this referring to the dust burden? It's rather
ambiguous as is

- 2198
- 2199 This refers to the dust emission. We modified this sentence a little bit.

2200 2201 "CAM6.1 may overestimate the contribution of the high-latitude dust emission to the 2202 global dust total emission (8.0%)." 2203 2204 [L391] "Overall, all models reproduced the climatology of DOD from AERONET 2205 retrievals, the surface concentration, and deposition within a factor of ten (Fig. 1 and 2206 Fig. S3)" – this doesn't seem to be the case from looking at Fig. 1 b, c, e, f, h, and i. It 2207 seems that both models exhibit at least one measurement outside the range of 1/10x 2208 and 10x. 2209 2210 There are 1, 4, and <10 point(s) of the 36, 47, and 108 points for DOD, surface concentrations, and deposition outside that range. That is, over 90% of the points fall 2211 2212 in the factor of 10. To be more accurate, we modified the sentence. 2213 2214 "Over 90% of the measurement sites, all models reproduced the climatology of DOD 2215 from AERONET retrievals, the surface concentration, and deposition within a factor of 2216 ten (Fig. 1 and Fig. S3)" 2217 2218 [Fig. 2] Why is the new dust emissions scheme smoother in terms of emissions, rather 2219 than the delta function (almost) in DEAD? I couldn't easily find this information in the 2220 text 2221 2222 We added the following to answer this question. 2223 2224 "The smoother distribution of the dust emission in BRIFT than DEAD is due primarily to the use of the source function in DEAD that shifts dust emissions toward the most 2225 2226 erodible soil, while in BRIFT, the near-surface friction velocity frequently exceeds the 2227 calculated threshold wind fraction velocity, causing dust to emit at more grid cells." 2228 2229 [Fig. 3] Isn't the Ridley et al (2016) DOD dataset constrained by MODIS (either through 2230 assimilation or using it as a baseline? If so, aren't Figs 3a and 3b effectively showing 2231 the same results? 2232 2233 Good point. DOD of Ridley et al. (2006) "assimilated" MODIS retrievals: the authors 2234 corrected the bias present in MODIS retrievals (see Section 3 in the manuscript). So, 2235 their DOD reflects the information of pure MODIS DOD, but the two datasets show 2236 considerably different results. For example, the globally averaged DOD from pure MODIS post-processed by Pu et al. (2020) is significantly higher than the best estimate 2237 2238 of Ridley et al. (2016) (0.025-0.035). Please check the references in the main text. 2239 2240 [L436] capture -> captures 2241 2242 Corrected. 2243

- 2244 [L437] Taklamakan (as in the desert) is spelt wrong throughout
- 2245 2246 Corrected. 2247 2248 [Fig. 4] Great figure 2249 Thanks! 2250 2251 2252 [L498] S5i -> S5e 2253 2254 Corrected. 2255

[Fig. 5] This plot, especially Fig. 5a, is very confusing. There are too many colours and it is difficult to pick out the CAM models. It may be worth plotting a non-CAM multimodel mean with max/min as shaded in grey, and then have just the CAM models in colour

2261 We removed non-CAM model results and cited relevant references instead. 2262



2263

"Figure 5. Normalized size distribution of dust between 0.2 and 10 µm diameter in the
global average (a), near Canary Island (blue colors in b; dot: 2.5 km; x: 6-7 km; data
for June/July 1997 from Otto et al., 2007), and near Cabo Verde (orange colors in c;
dot: 2.5 km; x: 6-7 km; data for August 2015 taken from Ryder et al., 2018). The default

| 2268 2269 2270 2271 2272 | model, CAM6.1: (purple line); the new model, CAM6.α: (red line); semi-observations: DustCOMM (black line) <i>inverted based on an integration of a global model ensemble</i> <i>and quality-controlled observational constrains on the transported dust size</i> <i>distribution, extinction efficiency, and regional DOD</i> with data taken from Adebiyi et al. (2020). We chose the model layers and grid cells that are closest to the location and |
|--------------------------------------|---|
| 2273 2274 2275 | atmospheric height, as well as the months, where and when the measurements were made for comparison." |
| 2276 2277 | [L542] Why is the size distribution for the fine dust fraction better captured by CAM6. α ? |
| 2278 2279 | We explained this in the revised manuscript. |
| 2280 2281 2282 2283 | "But it greatly underestimated the fine dust fraction (diameter < $2 \mu m$) which CAM6.a can better capture due primarily to the more correct gravitational settling velocity modeled by using the new dry deposition scheme." |
| 2284 2285 2286 2287 2288 | [L548] Sentence beginning "Overall, CAM6.α better reproduced the size distribution". It would be worth adding the caveat here that the Otto et al and Ryder et al measurements are from single campaigns or flights and thus may not reflect the long-term mean dust properties at those altitudes, locations, and times |
| 2289 2290 2291 2292 | Good point. We introduced a separate section listing limitations common among the model-data comparison, including this point. But it's good to mention again at this place. So, we added the following. |
| 2293 2294 2295 2296 | "It is worth noting that the measurements are from single campaigns or flights that may have representative issues not reflecting the climatological size and vertical distributions of dust aerosols (i.e., limited by the space and time coverage)." |
| 2297 2298 2299 2300 | [L558] Section 4.2.1 – why are the mineralogy experiments used to test BRIFT vs DEAD rather than the BULK simulations? There doesn't appear to be any reasoning behind this |
| 2301 2302 2303 2304 2305 | We planned to have BULK and MINE runs for two separate papers but put them together into this article. The comparison of BRIFT with DEAD in the BULK runs would be similar to those in the MINE runs. Please see our response to the general comment by this reviewer on BULK versus MINE (Line 1404-1558). |
| 2306 2307 | [L559] MIINE_NEW_EMIS -> MINE_NEW_EMIS |
| 2308 2309 | Done. |

2310 [L646] Paragraph on asphericity – I'm still confused even after reading the text as to 2311 whether the assumption of asphericity is applied to the dust MEE in every simulation 2312 run here or just the MINE NEW EMIS SHAPE simulation? 2313 2314 We paste our response to the previous comments here: 2315 2316 To avoid confusion, we removed "and optics" here, moved relevant text from the result 2317 Section 4.2.3 (5.2.3 in the revised manuscript) to Section 2.4.3, and added the 2318 following to the "Experiment design" section: 2319 2320 "The enhancement of the mass extinction efficiency of aerosol particles by dust 2321 asphericity is included in all the simulations since we do not attempt to quantify how 2322 this enhancement impacts the simulated dust cycle." 2323 2324 [L683] "(0.030-0.019)/0.030*100)" – I don't think this formula needs to be written. See 2325 also L686 and L759 2326 2327 Deleted. 2328 2329 [L693] Paragraph beginning "The lifetime of dust". Should this paragraph be in Section 2330 4.2.4? It doesn't seem to mention asphericity or apply to the MINE NEW EMIS SHAPE simulation 2331 2332 2333 Since this is a comparison between BRIFT and DEAD, we moved to Section 5.2.1 (revised manuscript) "Dust emission schemes: BRIFT versus DEAD". 2334 2335 2336 [L705] Why is MINE NEW EMIS referred to as the reference case? It's a sensitivity 2337 simulation, isn't it? Surely the only reference cases are CAM6.1 and possibly MINE BASE? 2338 2339 2340 It is not a sensitivity simulation. By default, CAM6.1 uses an incorrect coarse-mode 2341 size distribution of dust. Thus, it does not make sense to use CAM6.1 as the baseline 2342 simulation when quantifying the impact of each of the modifications. Please see our 2343 response to the general comment by this reviewer on the experiment design (Line 2344 1404-1508). 2345 2346 [L733] "NEW EMIS SIZE" -> MINE NEW EMIS SIZE. Also, this paragraph seems 2347 to be the only place where BULK and MINE are explicitly compared. I think the 2348 comparison should extend to all the dust metrics 2349 2350 As stated in our responses to previous comments, with the dust tuning and offline 2351 dynamics applied, speciating dust does not yield considerably different dust quantities (i.e., dust concentrations, burdens, and deposition) from BULK runs in the current 2352 2353 climate. We added a new section to compare BULK and MINE runs:

2355 2356

"6. Bulk- versus speciated-dust model

2357 The bulk (CAM6. α) and dust-speciated models (CAM6. α _MINE) simulate a similar 2358 dust cycle with the difference between the two types of models orders of magnitude 2359 smaller than the dust cycle itself modeled either by CAM6. α or CAM6. α _MIN (e.g., Fig. 2360 12 and 13: R2 and R3 in this document, respectively). This similarity results from 2361 several factors.

- 1) tuning the dust cycle to a global mean DOD of 0.03;
- 2364

2366

2362

2365 2) nudging both models towards the same meteorology dynamics;

and 3) conserving the dust mass when speciating the dust-aerosols such that
summing the mass fraction of each dust species equals unity. For the same reasons,
the influence of each of the modifications on the modelled dust cycle quantified using
the bulk model instead of the dust-speciated model, as this study used, would be
similarly comparable.

2372

2373 What differs remarkably is the modeled dust optical properties between the speciated-2374 and bulk-dust simulations. For example, the speciated-dust model (CAM6.a MIN) vields a lower global-mean dust SSA than the bulk-dust model (CAM6.α): 0.896 versus 2375 2376 0.911 (Table 6) at the visible band centered at 0.53 µm. Note that the dust DRE is 2377 sensitive to variation of the dust SSA. This lower dust SSA obtained here in the dust 2378 speciated model than in the bulk dust model is consistent with the finding of a previous 2379 study (Scanza et al., 2015) using an earlier model version (CAM5). Correspondingly, 2380 CAM6. α MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) 2381 relative to CAM6.a.

2382

For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE 2383 2384 while retaining the horizontal spatial correlation in either SW (CAM6.α: RMSE=11 W $m^{-2} \tau^{-1}$; R=0.26 versus CAM6. α MINE: RMSE=10 W $m^{-2} \tau^{-1}$; R=0.20) or longwave 2385 (CAM6.a: RMSE=4.0 W $m^{-2} \tau^{-1}$; R=0.86 versus CAM6.a_MINE: RMSE=3.0 W $m^{-2} \tau^{-1}$; 2386 R=0.84) or both spectral ranges (CAM6.a: RMSE=7.0 W $m^{-2} \tau^{-1}$; R=0.93 versus 2387 CAM6.a MINE: RMSE=6.0 W $m^{-2} \tau^{-1}$; R=0.92). This comparison suggests that 2388 2389 modeling dust as component minerals with the dust size distribution in coarse mode 2390 of MINE NEW EMIS SIZE helps improve the model performance relative to 2391 modeling dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a).

2392

The improvement in reproducing the retrieved dust DRE efficiency, however, could be artificial because of the combined use of the imaginary part of the complex refractive index of hematite and the volume mixing rule used in the dust speciated model to compute the bulk-dust complex refractive index (Li et al. in prep.). This combination

could lead to more absorptive dust than the bulk dust model (Fig. 9a and Table 6)."

- 2398 2399 [L798] "Overall, replacing the size distribution of dust aerosol and the dust emission scheme with new ones (PZ10 and BRIFT, respectively)" - replacing the size 2400 2401 distribution is referred to here as PZ10 but this is the dry deposition scheme 2402 2403 "(PZ10 and BRIFT, respectively)" removed. 2404 2405 [L821] The term "space volume" is ambiguous. Possibly "colocation in space"? 2406 2407 Changed. 2408 [L833] "which can get mixed with dust aerosol particles during the transport and may 2409 2410 not be completely excluded in the measurements." This seems a little lazy, do you 2411 have any estimates of how much contaminations leads to errors in measuring dust? 2412 At the moment, this point isn't backed up by evidence. 2413 2414 We deleted these (please see revised sentence below), because 1) the second half of 2415 the sentence reads more like a repeat of the first half, which the references we had 2416 cited serve well to support, and 2) they do not convey vital elements (we compiled the 2417 dust measurements from previous publications using them here to evaluate the model 2418 performance. This section discussed the limitation in the model-observation 2419 comparison as a notice to readers that such error exists in the dust measurements.). 2420 2421 "Finally, the modelled dust mass is for dust with our own defined mineralogy 2422 composition only (Li et al., 2021; Scanza et al., 2015), the measured mass could likely 2423 also include non-dust particles, such as sea salt (Kandler et al., 2011; Zhang et al., 2424 2006), sulfate (Kandler et al., 2007), biomass burning aerosols (Ansmann et al., 2011; 2425 Johnson et al., 2008), or other air pollution aerosol (Huang et al., 2010; Yuan et al., 2426 2008)." 2427 2428 [L859] "... followed by the enhanced dust mass extinction efficiency at the visible band 2429 by ~30% to account for the enhancement by dust asphericity" – the asphericity applied
- to the MEE has not been shown to be the second most important change affected.
 Rather Fig. 10 shows that asphericity has a negligible impact on dust. Or is the
 asphericity in the MEE applied separately to the asphericity in the deposition rate?
 This is very confusing.
- 2434

We do not plan to estimate the optical effect of the dust asphericity in this study. That is why we include such an effect in all the simulations. In response, we removed text relevant to the optical effect of the dust asphericity in the conclusion section. We also clarified how we dealt with the enhanced dust mass extinction efficiency at the visible band in the simulations in the "Experiment design" section:

- 2441 "The enhancement of the mass extinction efficiency of aerosol particles by dust
 2442 asphericity is included in all the simulations since we do not attempt to quantify how
 2443 this enhancement impacts the simulated dust cycle."
- 2444

[L869] "Overall, the new model can:" – is the new model, referred to in this sentence, CAM6. α ? If so, why has CAM6. α _MINE been neglected? The addition of MINE to this study makes little sense as it is peripheral. Additionally, is this "new model" _already adopted for the next revision of CAM6 or is this the plan for the future?

- adopted for the next revision of CAM6 or is this the plan for the future?
- 2449
- 2450 We specified the new model. CAM6. α _MINE and CAM6. α show almost identical dust 2451 cycles. Please see our response to the general comment by this reviewer (Line 1404-
- 2452 1558). The modifications made to CAM6.1 to get CAM6.α is on the table. But the dust
- speciation is not planned yet to be included in a future CAM version.
- 2454