

1 **Point-by-point response to the reviews**

2  
3 **Referee 2**

4  
5 We thank this reviewer very much for the so detailed constructive comments on this work. We  
6 have made changes to the manuscript accordingly. We colored our response in blue. Text from  
7 the manuscript is quoted with double quotation marks and new text is shown in *italics*.

8  
9 In this paper, Li *et al.* investigate the sensitivity of dust in the CESM2-CAM6.1 climate model to  
10 various parameterized processes: the emissions scheme, the dry deposition scheme, the fixed  
11 geometric width of the coarse mode, and the assumption of spherical/aspherical particles. Using  
12 a wealth of validatory observations and many simulations, they find that changing dust emissions  
13 and the coarse mode width have the greatest impact on the dust metrics, followed by the dry  
14 deposition scheme and then asphericity. They also propose a new version of CAM (CAM6.α)  
15 which improves on many dust metrics relative to CAM6.1 and incorporates some of the listed  
16 process changes.

17  
18 The paper is well written and contains a wealth of useful information, including the most  
19 comprehensive database of dust observations yet (Table 3). The introduction is highly readable,  
20 and the conclusions are generally supported by the analysis. However, this paper rather feels  
21 like 3 independent studies convoluted together, namely, (1) a new and improved version of the  
22 dust scheme in CAM (CAM6.1 versus CAM6.α), (2) a study of the sensitivity of simulated dust  
23 to certain processes, and (3) a study of the merits of separating dust into its mineralogical  
24 components in CAM. I think the paper would benefit from being split into 2 or 3 separate papers,  
25 which I expand on below in the General Comments. In short, I think that the study needs a  
26 redesign before it is published, which may require major revisions (i.e., new simulations and a  
27 re-write) and/or splitting into separate papers.

28  
29 We appreciate the positive comments very much. The reviewer correctly pointed it out that this  
30 is a paper convoluted together by independent studies. Our original plan, however, was to  
31 separately document the size change in BULK CAM6, and the improved emission and deposition  
32 parameterizations in CAM6. The merits of modeling dust as mineral components have been very  
33 well documented before in terms of the climatic impacts by mineral dust, so we do think it would  
34 not deserve a new paper on this. Since we tend to update separate processes in CAM6 and the  
35 new schemes have been detailed and tested offline or in previous versions of CAM (CAM4 and  
36 CAM5), it makes more senses to document in the same paper how the change to each process  
37 may affect the dust cycle modeling. Please see our reply to the comments below including that  
38 regarding to the experiment redesign.

39

40 Firstly, I think that the simulation design is incorrect for exploring the sensitivity of dust to the  
41 altered processes. For example, the new dry deposition scheme is only tested in conjunction  
42 with the other altered processes (CAM6.α and CAM6.α\_MINE) and never on its own. Conversely,  
43 the new emissions scheme is tested by itself for both BULK and MINE dust models, whilst the  
44 size and shape of the particles are tested in conjunction with the new emissions scheme but  
45 using BULK and MINE dust respectively. In short, it's very difficult to attribute the impacts on the  
46 dust metrics to the individual processes.

47  
48 I would suggest concentrating on either the BULK dust scheme or the MINE dust scheme, unless  
49 you plan to directly compare them. The study would be much cleaner if the processes were  
50 tested in isolation using either BULK or MINE and then compared to CAM6.1 (see Table below).  
51 In its current form, it is very difficult to disentangle which dust impacts emanate from which  
52 altered process.

53  
54 Suggested simulations:

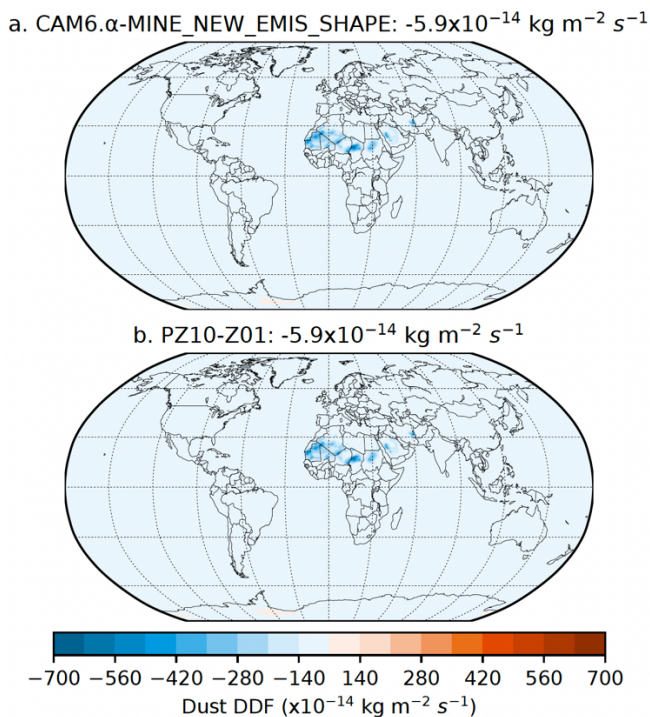
Simulation	Name	Description
1	CAM6.1	Standard model
2	NEW_EMISS	CAM6.1 with BRIFT emissions
3	NEW_SIZE_S5	CAM6.1 with CAM5 size assumptions
4	NEW_SIZE_S6σ5	CAM6.1 with CAM5 assumptions except coarse σ from CAM6.1
5	NEW_DRYDEP	CAM6.1 with PZ10 dry deposition
6	NEW_SHAPE	CAM6.1 with aspherical dust
7	CAM6.1_MINE	Equivalent to MINE_BASE but may use CAM6.α as BASE simulation
8	CAM6.α	CAM6.1 with all of the relevant model changes

55  
56  
57 In summary, I would highly recommend that the authors run further simulations with each of the  
58 processes applied separately as the current simulation design is not conducive or particularly  
59 supportive of the results presented in the manuscript.

60  
61 This is a similar comment to what the first Reviewer raised. Below we paste our reply to the  
62 comment by Reviewer # 1 (Line 41-169 in that report) as a response in the text.

63  
64 There are a couple of different methods to estimate the effect of each development, such as the  
65 one we used and the one the reviewers suggested. Strictly speaking, either method cannot  
66 totally exclude the possible influence of the parametrizations that had already been included and  
67 can affect the dust cycle modeling in the base model, such as the advection scheme and cloud  
68 processing. The reason is that there likely exists a nonlinear “interaction” between the existing  
69 parameterizations and the newly introduced one, which seems weak though. We acknowledge  
70 that adding one by one seems clearer than the original experiment design, but it requires more  
71 simulations and thus more computational resources while yielding a similar estimate of the

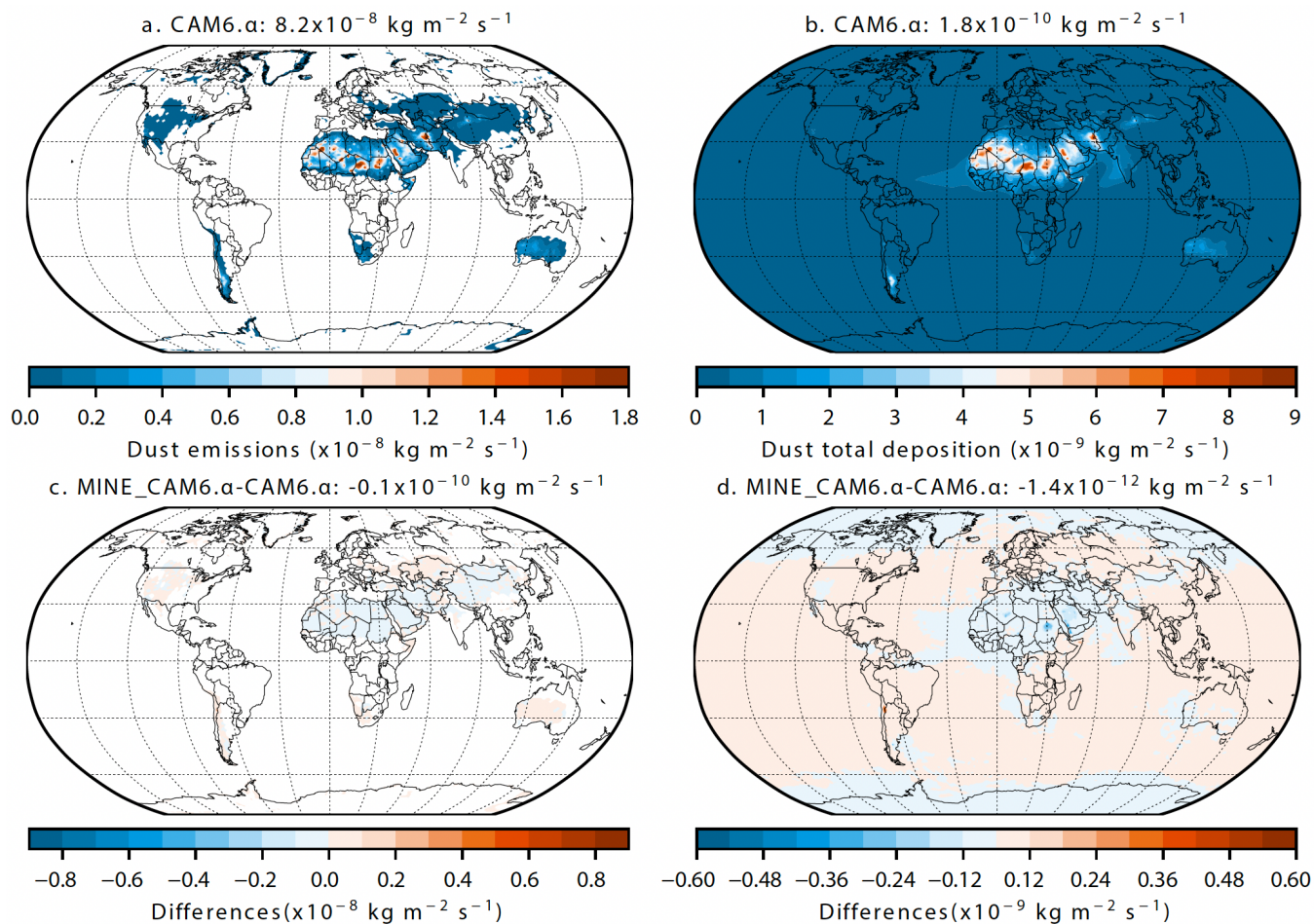
72 impact of each development (**Fig. R1**), compared to what we had presented based on our own's  
73 experiment. We had selected our own's set of experiments, because adding the modification on  
74 top of the previous change can help understand how the simulated dust cycle evolves while  
75 updating the model (MINE\_BASE) toward the most advanced one (CAM6.α\_MINE).  
76



77 **Fig. R1.** Influence of changing to PZ10 on the simulated dry deposition fluxes in the dust-  
78 speciated model (change to the global annual mean of dry dust deposition:  $\sim 70 \text{ Tg}$ ) based on  
79 our experiment (a) and the suggested experiment by the reviewers (b; Simulation 5 – simulation  
80 1). Quantified change to the global annual mean of dry dust deposition equals  $\sim 70 \text{ Tg}$  by either  
81 method.  
82

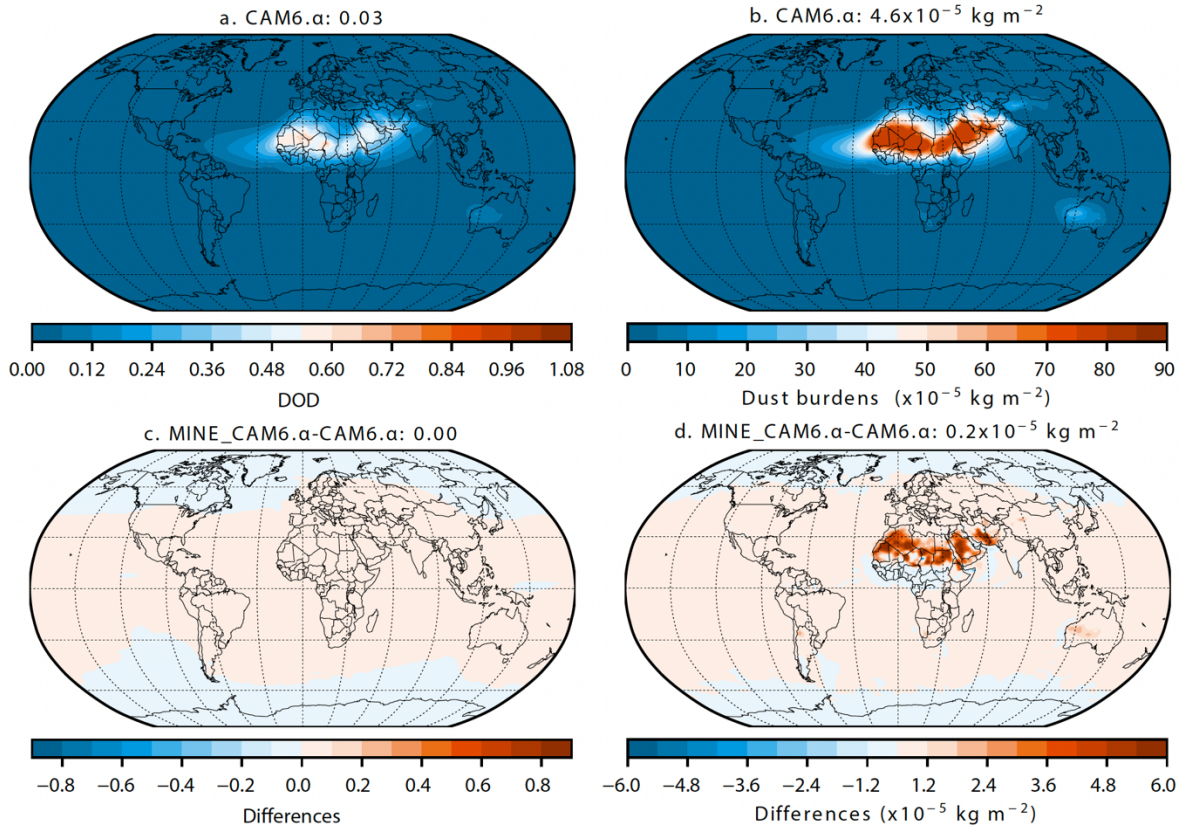
83  
84 The BULK runs were constructed to investigate how the mistakenly set dust size distribution  
85 influences the dust cycle modeling and the estimate of dust DRE. This inappropriate size  
86 distribution has been employed in studies using the officially released BULK CAM6 and not in  
87 any study using the dust-speciated CAM. So, we do not have a good reason to perform size  
88 sensitivity tests in the MINE runs. What's more important is that quantifying the impact of  
89 individual processes, based on the base CAM6.1 that uses an inappropriate dust size distribution,  
90 seems not that meaningful: it makes more sense to make such quantification using models with  
91 the "correct" size distribution. That is why in all the MINE runs designed for that purpose we  
92 revert the narrow coarse-mode size distribution to the broad one. Also, following the reviewer's  
93 design would change little to the results obtained from our experiments on the dust cycle  
94 modeling. This is because the offline dynamics and the dust tuning employed ensures quite  
95 similar dust cycles modeled by BULK and MINE with different developments (**Fig. R2** and **Fig.**

96 **R3**), if the size distribution is also set identical, since the sum of the mass fraction for each of the  
 97 eight minerals always equals unity. We had pointed this similarity out in our originally submitted  
 98 manuscript: “It is worth noting that with the dust tuning applied toward the similar global mean  
 99 DOD  $\sim 0.03$  the modeled dust cycle (i.e., burdens, concentrations, loadings, deposition fluxes)  
 100 would be similarly comparable between the bulk and speciated dust models using the same  
 101 offline dynamics and dust size distribution”. Repeating the set of simulations using BULK instead  
 102 to quantify the impact of each altered process would then yield similar results that we presented  
 103 in the manuscript.  
 104



105 **Fig. R2.** Surface dust emissions (a; global annual mean=2891 Tg) and deposition fluxes (b;  
 106 global annual mean=2893 Tg) simulated by CAM6.α and their differences (c and d; both global  
 107 annual mean=22 Tg) between MINE\_CAM6.α and CAM6.α.  
 108  
 109





110 **Fig. R3.** The same as **Fig. R2** but for DOD (a: global annual mean=0.030 and c: global mean  
 111 difference=0.001) and dust burdens (b: global annual mean of dust mass=24 Tg and d: global  
 112 mean difference≈0 Tg), respectively.  
 113

114  
 115 NEW\_EMIS and MINE\_NEW\_EMIS appear like a duplication of experiment for testing the new  
 116 dust emission scheme. But the estimate of dust DRE differs considerably between the two  
 117 experiments.  
 118

119 To reflect the Reviewer’s suggestion, we added the following in the section “2.6 Experiment  
 120 design”:  
 121

122 *“We investigate how the mistakenly set dust size distribution influences the dust cycle modeling  
 123 and the estimate of dust DRE in the bulk-dust model rather the speciated-dust model, because  
 124 this inappropriate size distribution has been employed in previous studies using the officially  
 125 released bulk-dust CAM6 only and not in any study using the speciated-dust CAM. It is also  
 126 reasonable to make all the quantifications in the model that use an appropriate dust size  
 127 distribution. Therefore, we reverted the dust size distribution in all the speciated-dust runs to that  
 128 configured in CAM5.”*  
 129

130 *“It worth noting that with the dust tuning applied toward the similar global mean DOD ~0.03 the  
 131 modeled dust cycle (i.e., burdens, concentrations, loadings, and deposition fluxes) would be*

132 *similarly comparable between the bulk- and speciated-dust models that nudged toward identical*  
133 *offline dynamics and using the same dust size distribution (see Sect. 6). The quantified effect of*  
134 *each of the modifications would thus be similar if using the bulk dust model instead (Fig. S2: R1*  
135 *in this report), but the modeled dust optical properties (e.g., single scattering albedo) by the bulk*  
136 *and speciated dust models differ considerably, resulting in considerably different dust DRE*  
137 *(Scanza et al., 2015) and DRE efficiencies between NEW\_EMIS (CAM6.α) and*  
138 *MINE\_NEW\_EMIS (CAM6.α\_MINE)."*

139  
140 *"A comparison of the BULK and MINE models on simulating dust DRE had been previously*  
141 *documented (Scanza et al., 2015). This study includes the MINE runs because we want to check*  
142 *as well if the updates help improve reproducing the observed dust DRE efficiency in a model*  
143 *that may more reasonably represent the regional variation of dust optical properties. Note that*  
144 *there are many ways to conduct sensitivity studies, which could lead to slightly different results.*  
145 *We added the modification on top of the previous change to understand how the simulated dust*  
146 *cycle evolves while updating the model (MINE\_BASE) toward the most advanced version*  
147 *(CAM6.α\_MINE). This may not hinder a clean comparison of the effect of each development,*  
148 *since the 'interaction; between the existing and the newly introduced parameterizations appears*  
149 *weak (Fig. S2: R1 in this report)."*

150  
151 To clarify how we quantify influence of each development, we added two columns in the Table  
152 4 pointing out the size distribution used and purpose of each experiment and added the following  
153 text in the "Experiment design" section:

154  
155 *"We separately compared the performance of PZ10 to Z01, aspherical to spherical dust, and*  
156 *BRIFT to DEAD on the simulated dust cycle and quantified influence of each of those*  
157 *modifications on the climatic-effect estimate by comparing the modeled dust cycle in the paired*  
158 *simulations CAM6.α\_MINE vs MINE\_NEW\_EMIS\_SHAPE, MINE\_NEW\_EMIS\_SHAPE vs*  
159 *MINE\_NEW\_EMIS, and MINE\_NEW\_EMIS vs MINE\_BASE, respectively."*

160  
161 Finally, we added a separate new section to compare results from BULK with those from MINE:

162  
163 ***"6. Bulk- versus speciated-dust model***

164  
165 *The bulk (CAM6.α) and dust-speciated model (CAM6.α\_MINE) simulate a similar dust cycle with*  
166 *the difference between the two types of models orders smaller than those simulated by the*  
167 *former (Fig. 12 and 13: R2 and R3 in this report, respectively). This similarity results from the*  
168 *dust tuning toward the global mean DOD of 0.03, the same meteorology dynamics both models*  
169 *were nudged toward, and the design of the dust-speciated model that summing the mass fraction*  
170 *of each dust species equals unity. With the same reasons, the influence of each of the*  
171 *modifications on the modelled dust cycle quantified using the bulk model instead of the dust-*

172 *speciated model, as this study used, would be similarly comparable. The modelled dust optical*  
173 *properties, however, differs remarkably (i.e., dust SSA; Table 6) with the simulated global mean*  
174 *dust SSA by CAM6.α\_MINE (0.896) lower than by CAM6.α (0.911) at the visible band centered*  
175 *at 0.53 μm. Note the dust DRE is sensitive to variation of the dust SSA. This lower dust SSA*  
176 *obtained here in the dust speciated model than in the bulk dust model is consistent to the finding*  
177 *of a previous study (Scanza et al., 2015) using an early model version (CAM5). Correspondingly,*  
178 *CAM6.α\_MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) than CAM6.α.*  
179 *For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE while*  
180 *retaining the horizontally spatial correlation in either SW (CAM6.α: RMSE=11; R=0.26 versus*  
181 *CAM6.α\_MINE: RMSE=10; R=0.20) or longwave (CAM6.α: RMSE=4; R=0.86 versus*  
182 *CAM6.α\_MINE: RMSE=3; R=0.84) or both spectral ranges (CAM6.α: RMSE=7; R=0.93 versus*  
183 *CAM6.α\_MINE: RMSE=6; R=0.92). This comparison suggests that modeling dust as component*  
184 *minerals with the dust size distribution in coarse mode of MINE\_NEW\_EMIS\_SIZE helps*  
185 *improve the model performance relative to modeling dust as a bulk to reproduce the retrieved*  
186 *dust DRE efficiency (Fig. 9a). The improvement, however, could be artificial because of the*  
187 *combined use of imaginary complex refractive index of hematite volume (see Fig. 1b of Li et al.,*  
188 *2021) and the volume mixing used in the dust speciated model to compute the bulk-dust complex*  
189 *refractive index (Li et al. in prep.), leading to artificially more absorptive dust than in the bulk dust*  
190 *model (Fig. 9a and Table 6).”*

191  
192 Another issue that I had with the simulation design was the arbitrary tuning of dust optical depth  
193 (DOD) to 0.03 in some simulations but not in others (L289). This made it very difficult to quantify  
194 the impact of the altered processes and forced the authors to add caveats throughout the text  
195 e.g., L590 “differences between the global annual mean dust deposition in BRIFT and DEAD  
196 would become smaller, if we rescaled the value according to the same DOD criteria”. I suggest  
197 only tuning CAM6.1 and CAM6.α to 0.03 and using the tuned CAM6.1 as the BASE model in  
198 which to add the different processes incrementally. I see no need to rescale DOD in the  
199 sensitivity simulations and it would be interesting to see the impact of the different processes on  
200 the global-mean DOD as a derived product of the models. Tuning to 0.03 is arbitrary and also  
201 misses the fact that much of the dust mass is in the super coarse mode which is missing from  
202 the model, and therefore the model may be wrongly tuned to 0.03.

203  
204 We had tuned CAM6.1 and CAM6.α as this reviewer also suggested toward 0.030. But we  
205 respectively do not agree with the reviewer that those are the only two simulations that need the  
206 retuning. For example, we must retune the model that uses the updated dust emission scheme.  
207 This is simply because if using the same tuning parameter value as in the model with DEAD, the  
208 global mean DOD would be >15 times higher than that in DEAD, reaching up to 0.45, which is  
209 undoubtedly unrealistic. We added the following in the manuscript.

210

211 *“MINE\_BASE requires the dust tuning to use a much larger tuning parameter*  
212 *(dust\_emis\_fact=3.64), compared to CAM6.1 (dust\_emis\_fact=0.91), because, otherwise, if*  
213 *using the same dust\_emis\_fact as in DEAD, the dust emissions in BRIFT would lead to an*  
214 *unrealistically high global mean DOD (>~0.5).”*  
215

216 The MINE runs are not for sensitivity studies but used for quantifying how each of the  
217 modifications affects the dust cycle modeling. We would obtain the same results if performing  
218 BULK runs instead, because as stated, with the same model configurations set in this study, the  
219 BULK and MINE simulations are nearly identical in terms of modeling the dust cycle. We clearly  
220 pointed this out in the revised manuscript as below.

221  
222 *“It is worth noting that with the dust tuning applied toward the similar global mean DOD ~0.030*  
223 *the modeled dust cycle (i.e., burdens, concentrations, loadings, and deposition fluxes) would be*  
224 *similarly comparable between the bulk and speciated dust models using identical offline*  
225 *dynamics and dust size distribution. The quantified effect of each of the modifications would be*  
226 *thus similar if using the bulk dust model instead.”*  
227

228 As to the dust mass distribution with respect to dust size, according to a recent study (Di Biagio  
229 et al., 2020), for a total 39 Tg dust, approximately 33% (13) Tg dust are particles >10  $\mu\text{m}$ , though  
230 such estimates were obtained based on model simulations. However, this missing fraction of  
231 “super-coarse” dust constitutes only a small fraction of the total DOD <2% which is even much  
232 smaller than the uncertainty in the best estimate from Ridley et al. (2016). Therefore, we believe  
233 missing that dust mass would not affect the accuracy of tuning dust toward DOD ~0.030.

234  
235 In response to the reviewer’s question about the dust tuning, we added some words to very  
236 briefly explain why and how we tuned the model to get the global mean DOD ~0.030.

237  
238 *“We prefer to tuning the model to reproduce the global mean DOD, 0.030, because DOD is*  
239 *currently the best estimate of global dust quantities, compared to the others (i.e., dust*  
240 *concentrations). It turns out that doing so can also reasonably reproduce the other quantities*  
241 *with no need of a regional tuning. We tuned the dust model by modifying a namelist variable in*  
242 *CAM, called soil\_erod\_factor, corresponding to  $\lambda$  in Eq. (16).”*  
243

244 Regarding the reviewer’s suggestion to include the updates one by one, please see our  
245 response to the previous comment on the experiment design (Line 64-159).

246  
247 It is also confusing for the reader that some simulations have emissions scaled by 1/f\_clay whilst  
248 others have the scaling as 1, and so the impact of this change is difficult to disentangle using  
249 the current suite of simulations. It would be better if this factor is consistent across the  
250 simulations or tested in isolation.



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We thank the reviewer for the comment which makes us realize that our writing may be confusing. This inversed clay fraction for the tuning factor  $b$  is not used in any of those simulations to calculate the threshold gravimetric water content. To improve the readability and to not rely excessively on external references, we introduced more the parameterization for both emission schemes (please see Section 2.5 in the revised manuscript) and added a new column in Table 2 showing the  $b$  value used in each of those experiments.

**“Table 2.** Simulations performed in this study for years 2006-2011. Treatment of dust tracer: speciated dust with separate tracers (MINE: mineralogy), or no dust speciation (bulk); the dust emission scheme: Zender et al., (2003a; DEAD) or Kok et al., (2014a; BRIFT); with or without accounting for the lifetime effect of dust asphericity (Asp versus Sph); dry deposition scheme: Zhang et al., (2001; Z01) or Petroff and Zhang (2010; PZ10); parameters for size distribution taken from the released version of CAM5 and CAM6.1 (see Table 1 for CAM5 and CAM6 size, respectively); additional test on dust size distribution using the coarse-mode  $\sigma=1.2$  from the released version of CAM6.1 and the rest parameters (e.g., boundaries of the geometric mean diameter) from the released version of CAM5; meteorology field nudged toward reanalysis data (offline) for 2000s climate; *dust tuning parameter includes the CAM namelist variable ( $dust\_emis\_fact$ ) and  $b$  used in the calculation of the threshold gravimetric water content (see Sect. 2.5.1). The variable  $f_{clay}$  denotes the clay fraction in CLM5. CAM6.1 and CAM6.α in bold refer to the default model and proposed new model versions, respectively, with bulk dust. Note negligible influence on the dust cycle modeling and corresponding DRE by changing the size parameters of the accumulation mode between CAM5 and CAM6 size.”*

Exp.	Case names	Dust model	Dry dep.	Lifetime effect of dust asphericity	Emi. scheme	Dust size distribution	Dust tuning parameters ( $dust\_emis\_fact$ ; $b$ )	Comments
01	<b>CAM6.1</b>	Bulk	Z01	No (Sph)	Zender [2003a]	Default CAM6 size (Table 1)	0.91; $100*f_{clay}$	Officially released version
02	NEW_EMIS	Bulk	Z01	No (Sph)	Kok [2014a]	Default CAM6 size (Table 1)	28; $100*f_{clay}$	Control for size tests
03	NEW_EMIS_SIZE	Bulk	Z01	No (Sph)	Kok [2014a]	Default CAM5 size (Table 1)	28; $100*f_{clay}$	Changing the coarse-mode size distribution; influence quantified by comparing this with Exp. 02
04	NEW_EMIS_SIZE_WIDTH	Bulk	Z01	No (Sph)	Kok [2014a]	Default CAM6 size but with width of the coarse-mode size distribution from defaulted CAM5 size	28; $100*f_{clay}$	No change to size parameters for the other modes; influence quantified by comparing this with Exp. 02
05	<b>CAM6.α</b>	Bulk	PZ10	Yes (Asp)	Kok [2014]	Default CAM5 size	3.6; 1.0	New bulk dust model
06	MINE_BASE	Mine	Z01	No (Sph)	Zender [2003a]	Default CAM5 size	1.6; $100*f_{clay}$	Baseline for quantifying the impact of each modification
07	MINE_NEW_EMIS	Mine	Z01	No (Sph)	Kok [2014a]	Default CAM5 size	3.6; 1.0	Changing the dust emission scheme: influence quantified by comparing this with Exp. 06
08	MINE_NEW_EMIS_SHAPE	Mine	Z01	Yes (Asp)	Kok [2014a]	Default CAM5 size	3.6; 1.0	Experiment for changing the dust emission and shape

275

276

277 Below is part of the new text relevant to the tuning factor.

278

279 “Because of the neglect of the non-erodible elements,  $u^*t$  is mostly determined by soil  
280 moisture content, which means that the augmentation factor of  $u^*t$  is:

281

282

$$f_{*t} = \begin{cases} \sqrt{1 + 1.21(w - w')^{0.68}}; w > w' \\ 1; w \leq w' \end{cases} \quad (8)$$

283

284 Where  $w$  and  $w'$  are soil moisture content and the threshold gravimetric water content of the top  
285 soil layer.

286

287 Fécan et al. (1999) parameterized the threshold gravimetric water content ( $w$ ) of the top soil  
288 layer by

289

290

$$w' = b(17f_{clay} + 14f_{clay}^2), \quad (9)$$

291

292 where  $w$  is in percentage and  $b$  is a tuning factor.

293

294 Equations (8) and (9) are also used in DEAD with an equivalent tuning factor  $b$  set to be  $1/f_{clay}$   
295 which in BRIFT is set as unity. The clay fraction is taken from the FAO(2012) soil database (see  
296 Fig. S1 of Kok et al., 2014).”

297

298 Note  $b$  is set to be  $100 \cdot f_{clay}$  as part of the DEAD emission scheme used in the default CAM6 but  
299 is set to be unity in BRIFT to well reproduce the observations:

300

301 “An offline sensitivity test (Table S1: R1 in this report) supports the use of unity tuning factor to  
302 calculate the threshold gravimetric water content which we employed in the experiments for  
303 quantifying influence of each modification (speciated dust simulations listed in Table 2).”

304

305 “Table S1 (R1 in this report). Comparison of the three CESM simulations with the offline  
306 dynamics and different values of the tuning parameter ( $b$ ) to calculate the threshold gravimetric  
307 water content in the new dust emission scheme, against measurements. The measurements  
308 include AERONET AOD climatology, surface dust concentrations, and dust deposition fluxes,  
309 as described in Section 3.”

310

Parameter b	Correlation coefficient (RMSE) on climatology		
	AERONET DOD	Surface dust concentrations (log space)	Dust deposition fluxes (log space)
0.5	0.74 (0.13)	0.83 (0.66)	0.72 (0.93)
1.0	0.68 (0.14)	0.82 (0.72)	0.77 (0.86)
2.0	0.66 (0.14)	0.83 (0.66)	0.79 (0.82)

311

312

313 I gather from the text (L649) that the impact of asphericity on the dust mass extinction efficiency  
314 (MEE) is represented in *all* of these simulations. This is rather confusing, as it suggests some  
315 representation of asphericity is incorporated even when dust is assumed to be spherical (?).  
316 Please clarify this for the reader. In particular, please state whether the impact of asphericity on  
317 MEE is only applied in the simulation with dust asphericity or in all simulations (which seems  
318 inherently wrong). Really these details should be included in the Methods (L98, L224) and not  
319 in the result section.

320

321 Such impact of the dust asphericity is included in all the simulations because we did not attempt  
322 to quantify such effect in this study. To avoid of confusion and clarify this, we moved relevant  
323 text from the result Section 4.2.3 (5.2.3 in the revised version) to Section 2.4.3, and added the  
324 following to the “Experiment design” section:

325

326 *“The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is*  
327 *included in all the simulations, since we do not attempt to quantify how this enhancement impacts*  
328 *the simulated dust cycle, which has been previously well documented (Kok et al., 2021).”*

329

330 In terms of the presentation of the results, I thought that comparing CAM6.α \_with CAM6.1  
331 before looking at the individual processes was confusing, as much of the analysis of the impacts  
332 of individual processes could have been used to explain differences between the dust metrics in  
333 CAM6.1 and CAM6.α.

334

335 We think either doing what the reviewer suggested or keeping as what it was should work. In the  
336 drafted manuscript, we had tried doing the same as the reviewer suggested but then reordered  
337 the result section taking the “principle” that “the most important goes first”, since the manuscript  
338 is lengthy. In any order, the conclusions of this article would remain unchanged.

339

340 Additionally, the authors say the following in Section 2.5:

341

342 *“It is worth noting that dust burdens and deposition fluxes would be comparable, if the bulk and*  
343 *speciated dust models have similar DOD. But the dust optical properties (e.g., single scattering*  
344 *albedo) in the bulk and speciated dust simulations differ, resulting in considerably different dust*  
345 *direct radiative effects and direct radiative effect efficiencies. Therefore, we state the difference*  
346 *in the dust DRE and DRE efficiency estimate in Sect. 6, but do not document the comparison of*  
347 *dust loadings/deposition/DOD between the bulk and speciated dust simulations.”*  
348

349 Given that DOD is tuned to be similar in these simulations, I do not see why the differences in  
350 optical properties should be used as an excuse not to compare BULK with MINE. This would be  
351 a very interesting study in its own right, and possibly the authors should omit MINE simulations  
352 in this paper as without comparing BULK with MINE, it is difficult to understand why MINE is  
353 used at all. Is the additional mineralogical detail in MINE useful for a better dust simulation?  
354 What is the additional computational expense of MINE over BULK? Is MINE being considered  
355 for inclusion in a future of CAM or is this rather an interesting pedagogical study? Currently,  
356 MINE is frivolously used in this study and is unnecessary without further analysis and  
357 comparison.  
358

359 We did not compare the modeled dust cycle between BULK and MINE runs, because this is  
360 science with secondary importance. We show the reason in the “Experiment design” section: “It  
361 is worth noting that with the dust tuning applied toward the similar global mean DOD ~0.03 the  
362 modeled dust cycle (i.e., burdens, concentrations, loadings, and deposition fluxes) would be  
363 similarly comparable between the bulk and speciated dust models using identical offline  
364 dynamics and dust size distribution. The quantified effect of each of the modifications would be  
365 thus similar if using the bulk dust model instead.”  
366

367 The different optical properties are not the reason for not making the comparison but are one of  
368 the reasons for why we included the MINE runs: we have shown in the text evaluations on the  
369 model performance of modeling the DRE efficiency and the influence of each modification on  
370 the DRE estimate, for which modeling the optical properties as accurately as possible is crucial.  
371 Therefore, dust speciated model is better to use to quantify such influence, as it simulates  
372 spatially varying dust optical properties, while the bulk dust model is using a globally constant  
373 dust optic.  
374

375 We had tried to do this but found that having the potential impacts on the mineralogy by changing  
376 to the new dust emission scheme is not enough for a separate paper. Instead, we added more  
377 analysis on documenting results from the MINE runs, such that it makes more senses to have  
378 both BULK and MINE runs in this article.  
379

380 ***“6. Bulk versus speciated-dust model***  
381



382 *The bulk (CAM6.α) and dust-speciated model (CAM6.α\_MINE) simulate a similar dust cycle with*  
383 *the difference between the two types of models orders smaller than those simulated by the*  
384 *former (Fig. 12 and 13). This similarity results from the dust tuning toward the global mean DOD*  
385 *of 0.03, the same meteorology dynamics both models were nudged toward, and the design of*  
386 *the dust-speciated model that summing the mass fraction of each dust species equals unity.*  
387 *With the same reasons, the influence of each of the modifications on the modelled dust cycle*  
388 *quantified using the bulk model instead of the dust-speciated model, as this study used, would*  
389 *be similarly comparable. The modelled dust optical properties, however, differs remarkably (i.e.,*  
390 *dust SSA; Table 6) with the simulated global mean dust SSA by CAM6.α\_MINE (0.896) lower*  
391 *than by CAM6.α (0.911) at the visible band centered at 0.53 μm. Note the dust DRE is sensitive*  
392 *to variation of the dust SSA. This lower dust SSA obtained here in the dust speciated model than*  
393 *in the bulk dust model is consistent to the finding of a previous study (Scanza et al., 2015) using*  
394 *an early model version (CAM5). Correspondingly, CAM6.α\_MINE yields a reduced dust cooling*  
395 *(Table 6) and DRE efficiency (Fig. 9) than CAM6.α. For dust DRE efficiency (Fig. 9), speciating*  
396 *dust in CAM6 tends to reduce the RMSE while retaining the horizontally spatial correlation in*  
397 *either SW (CAM6.α: RMSE=11; R=0.26 versus CAM6.α\_MINE: RMSE=10; R=0.20) or LW*  
398 *(CAM6.α: RMSE=4; R=0.86 versus CAM6.α\_MINE: RMSE=3; R=0.84) or both spectral ranges*  
399 *(CAM6.α: RMSE=7; R=0.93 versus CAM6.α\_MINE: RMSE=6; R=0.92). This comparison*  
400 *suggests that modeling dust as component minerals with the dust size distribution in coarse*  
401 *mode of MINE\_NEW\_EMIS\_SIZE helps improve the model performance relative to modeling*  
402 *dust as a bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a). The improvement,*  
403 *however, could be artificial because of the combined use of imaginary complex refractive index*  
404 *of hematite volume (see Fig. 1b of Li et al., 2021) and the volume mixing used in the dust*  
405 *speciated model to compute the bulk-dust complex refractive index (Li et al. in prep.), leading to*  
406 *artificially more absorptive dust than in the bulk dust model (Fig. 9a and Table 6).”*

407

#### 408 **Specific comments**

409

410 [L75] Is it worth introducing the DEAD and BRIFT acronyms here?

411

412 *Introduced here.*

413

414 [L84] The fine mode is described as  $d < 1\mu\text{m}$  whilst the coarse mode is  $d > 5\mu\text{m}$ . Normally, the  
415 coarse mode is adjacent to the fine mode so I wonder what the authors would define the  
416 intermediate aerosol ( $1 < d < 5\mu\text{m}$ ) as?

417

418 *We just follow the definition normally used in the community. So, here is not a definition for the*  
419 *coarse mode aerosol. To avoid of possible confusions, we revised this statement as below:*

420

421 *“...and slightly underestimating that of aerosols with diameter  $> 5.0\mu\text{m}$ ...”*

422

423 [L91] “one of the changes from CAM5 to CAM6.1 was replacing the size distribution of aerosols  
424 in the coarse mode in CAM5 with the one that has a much narrower width in CAM6.1”- this  
425 seems nonsensical to me, or completely without consideration for actual coarse mode dust  
426 widths (e.g., Ryder et al, 2013, 2018, 2019 suggest  $\sigma \in [1.6, 2]$  rather than 1.2). Why was it  
427 decided to favour stratospheric sulfate over tropospheric mineral dust when sulfate is more  
428 episodic (e.g. volcanic eruptions) and has less of an impact over tropospheric climate? Also, the  
429 authors seem to recommend that the coarse mode width be reverted to 1.8 as in CAM5 (I agree),  
430 but do not comment on the impact of resetting the coarse mode width on stratospheric sulfate.  
431 Seeing as this was the initial motivation for contracting  $\sigma$ , I think that some comment is  
432 appropriate.

433

434 That is right: we also think 1.2 is too narrow to use to represent size distribution of dust aerosol,  
435 so we had decided to revert it to 1.8 in this work with which this reviewer also agree and  
436 recommend using this broad size distribution in the future versions of CAM. In CAM6, the  
437 volcanic sulfate is presented together with dust aerosol. The developers were focusing on the  
438 volcanic sulfate while advancing the CAM model without noticing that the employed sigma is  
439 inappropriate for dust aerosol.

440

441 We commented a little bit on this as below.

442

443 *“Our analysis suggests that the defaulted 1.2 for the geometric standard deviation of the  
444 transported dust size distribution (coarse mode) may be too narrow to simulate the dust lifetime.  
445 In the next released model version, we recommend reverting the geometric standard deviation  
446 to 1.8, as in CAM5, which may require a split of representation of dust and the stratospheric  
447 aerosols. It is this reversion that imposes the most important change among what we introduced  
448 to CAM6.1 to the modeled dust cycle.”*

449

450 [Table 1] I think that GMD should be labelled as “initialisation GMD” \_as this is more descriptive.  
451 Or is the initial GMD at source calculated online? It is difficult to tell from the text what the initial  
452 GMS is. This also refers to L179.

453

454 Changed to “initialized GMD” here and elsewhere it is applicable. The reviewer is right that this  
455 is initialized GMD.

456

457 [Table 1] Why is the order of the modes Accumulation, Aitken, Coarse, then Primary? Surely it  
458 should be in ascending size order: Primary, Aitken, Accumulation then Coarse

459

460 The order in the table 1 is the same as that in the model which lists the accumulation mode  
461 ahead of the Aitken mode and primary mode at the last. In response, we reordered the list  
462 following the reviewer’s suggestion.

463  
464 **“Table 1.** Mode parameters for the Modal Aerosol Module version 4 (MAM4) used in CAM5  
465 (CAM5 size) and CAM6.1 (CAM6 size) by default: geometric standard deviations ( $\sigma$ ) and  
466 initialized geometric mean diameter (GMD) and its ranges. Values in parentheses if present are  
467 for CAM6.1 cells without parentheses are kept the same between CAM5 and CAM6.1.”

468

Mode (note order)	$\sigma$	Initialized GMD ( $\mu\text{m}$ )	Lower bound GMD ( $\mu\text{m}$ )	Upper bound GMD ( $\mu\text{m}$ )
Primary carbon (a4)	1.6	0.050	0.010	0.10
Aitken (a2)	1.6	0.026	0.0087	0.052
Accumulation (a1)	1.8(1.6)	0.11	0.054	0.44
Coarse (a3)	1.8(1.2)	2.0(0.90)	1.0(0.40)	4.0(40)

469

470

471 [Table 1] Why was the accumulation mode width changed in CAM6.1? What are the impacts of  
472 reverting it? I can’t see this detail in the text

473

474 Good point. It is the same reason for this slight change as that in the coarse mode to  
475 accommodate the stratospheric aerosol (Mills et al., 2016), but our test simulations suggest  
476 negligible impacts on the dust cycle modeling when reverting it. We very briefly mentioned this  
477 in the revised manuscript (see the “Experiment design” section).

478

479 *“The other changes to the width of the accumulation mode and the bounds of the simulated  
480 GMD online impose negligible impacts on the dust cycle modeling, thus, we did not construct  
481 sensitivity tests on reverting them in this study.”*

482

483 [L109] The term ‘semi-observation’ is undefined and is confusing

484

485 We now specify both the observation and semi-observation as “measurements, retrievals, and  
486 model-observation integration” which brackets all the data used in this work.

487

488 [L115] “show the final summarization in Section 7”. This is an unusual way to say “Discussion  
489 and conclusions are provided in Section 7” or something to that effect

490

491 We changed it to:

492

493 *“...limitations in the model-observation comparison in Sect. 5, and discussions and conclusions  
494 in Sect. 7.”*

495

496 [L120] This is one of the places in the text where it is unclear as to: (1) whether the impact of  
497 dust asphericity on MEE is represented at all, (2) if it is represented then in what way (methods),  
498 and (3) which simulations include it?

499

500 To avoid of confusion and clarify this, we removed “and optics” here, moved relevant text from  
501 the result Section 4.2.3 (5.2.3 in the revised manuscript) to Section 2.4.3, and added the  
502 following to the “Experiment design” section:

503

504 *“The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is*  
505 *included in all the simulations, since we do not attempt to quantify how this enhancement impacts*  
506 *the simulated dust cycle, which has been previously well documented (Kok et al., 2021).”*

507

508 [L137] Sentence beginning “We consider the default DEAD scheme” should explicitly  
509 acknowledge that it refers to emissions

510

511 Changed “scheme” to “dust emission scheme”.

512

513 [L143] How confident are the authors in the critical LAI threshold? Should this assumption be  
514 discussed in the Discussion section?

515

516 The relationship of the bare soil fraction and LAI and the critical LAI threshold has been used as  
517 a standard for a while in CAM of different versions. It could be subject to change in the future,  
518 but the associated uncertainty would probably be smaller than that due to what we discussed in  
519 the Discussion section which are important missing pieces for modeling dust aerosols in CAM6.  
520 Still, we added one sentence in response to this good question.

521

522 *“This large uncertainty could partially result from the constants used in the parametrizations that*  
523 *affect the dust emission and transport processes, such as the critical LAI threshold, though it*  
524 *has been used during the past decade in different CAM versions.”*

525

526 [L152] The mass is distributed as 0.1 %, 1 % and 98.9 % between the Aitken, accumulation, and  
527 coarse modes. Surely these ratios should change depending on the assumed coarse mode  
528 width?

529

530 These values were obtained by applying the brittle fragmentation theory to the broad coarse-  
531 mode size distribution, so, they are applicable to the proposed new models. But the default  
532 CAM6.1 is using the same values while employing a much narrower coarse-mode size  
533 distribution, which could be problematic.

534



535 [L160] Many dust schemes treat dust as initially insoluble and then permitted to age via  
536 coagulation and condensation wherein it becomes soluble and internally mixed (e.g., dust in  
537 UKESM1). The authors should comment on their assumption of internally mixing dust, which  
538 may artificially enhance dust deposition near source regions? Would you expect similar results  
539 if dust is assumed to be insoluble?

540

541 The internal mixing assumption within each mode has been employed as an option in CAM since  
542 the version 5 and has been made in a huge number of studies, using CAM particularly. It worth  
543 pointing it out that dust aerosols are not completely internally mixed in MAM4 of CAM5/6: dust  
544 in different modes are externally mixed. But most dust mass is distributed in the coarse mode,  
545 which indicates that the assumption to the coarse-mode dust would be most influential on the  
546 dust cycle modeling, compared to that to the other modes. In this paper, we do not mean to  
547 document how different mixing assumptions affect the dust modeling in CAM6, since all our  
548 simulations stick to this assumption. So, we only try to briefly answer the question of this reviewer  
549 but will not expand it in the manuscript. From the view of the dust cycle modeling, we think the  
550 importance of dust hygroscopicity and its mixing with other aerosols is regionally dependent. For  
551 example, a different assumption of mixing with sea salt for South African dust can greatly change  
552 simulated deposition near the source and particularly in the downwind area. But for North African  
553 dust, it is not important near the source because both cloud fractions and sea salt concentrations  
554 are typically low. But from the view of modeling the optical properties and radiative effects of  
555 dust, the mixing states really matters.

556

557 [L165] The Neale et al (2010) reference is an internal document, which I can't find online. Can  
558 the authors please provide a URL for downloading the report, or alternatively, relevant peer-  
559 reviewed papers with the same information.

560

561 **RESPONSE:** It's a technical note. We put it in GitHub and a link in the manuscript where we cite  
562 this reference: <https://github.com/L3atm/LLi2022GMD>.

563

564 [L172] *"The wet deposition rate thus depends on the hygroscopicity of dust (=0.068; Scanza et*  
565 *al., 2015) as CCN/INPs and the prescribed scavenging coefficient (=0.1; Neale et al., 2010),*  
566 *both of which are currently constant with respect to the dust size (and composition for speciated*  
567 *dust) in CAM6.1." \_ \_ I assume the hygroscopicity of dust will evolve as dust is transported*  
568 *through the atmosphere so I question the use of a single spatially uniform constant for this*  
569 *parameter. The below cloud scavenging coefficient (0.1), if it is in units of s-1, seems 2 orders*  
570 *of magnitude too high (Wang et al., 2010, doi:10.5194/acp-10-5685-2010). Wang et al (2010)*  
571 *for instance, suggest it's somewhere between 10-6 for accumulation mode aerosol and 10-3 for*  
572 *coarse mode aerosol depending on scavenging rate. The authors should comment more on the*  
573 *assumptions made in the model and the implications of those assumptions.*

574

575 We appreciate the great comment and agree that the dust hygroscopicity would vary from one  
576 region to another and change during transport due to the dust ageing. But since the purpose of  
577 this paper is to document the changes, we made to CAM6.1, and how they work in effect to the  
578 dust cycle modeling, we tend to not spend too much text on commenting on all the  
579 parameterizations, such as the oversimplified hygroscopicity of dust in CAM6.1. Still, this is a  
580 very useful comment, as it could change the wet deposition rate. So, we very briefly pointed this  
581 out in the discussion section:

582  
583 *“This large uncertainty could probably in part result from the constants used in the*  
584 *parametrizations that affect the dust emission and transport processes, such as the critical LAI*  
585 *threshold, the hygroscopicity of dust, and the prescribed scavenging coefficient, though the*  
586 *default values in the model has been used during the past decade in CAM of different versions.”*

587  
588 [L180] *“Note that the current default CAM6.1 employs a narrow coarse-mode size distribution*  
589 *but a broad boundary width (high bound minus low bound), likely resulting in the GMD bounds*  
590 *less in effect, compared to that in CAM5”. – what are the impacts of changing the coarse mode*  
591 *width on sea-salt emissions and sea-salt AOD? Surely this change will impact more than dust*  
592 *alone, which may be confounding other results presented in the study (e.g., the DRE).*

593  
594 This change does affect the emissions and optical depth of sea salt. We had included such  
595 impacts but then removed relevant text, since the focus of this study is on dust aerosol.  
596 Documenting sea salt seems somewhat distract the readers. To reflect this suggestion, now we  
597 mention sea salt a little bit in the last section.

598  
599 *“This reverting may require a split of representation of dust and the stratospheric aerosols in the*  
600 *coarse mode, for which the narrow coarse-mode size distribution works better (Mills et al., 2016),*  
601 *and some changes to sea salt.”*

602  
603 [L210] “The wet size due to growth of aerosol particles by adsorbing water vapor follows the κ-  
604 Kohler theory with a time-invariant hygroscopicity for each aerosol species (Petters and  
605 Kreidenwei, 2007)”. – is it worth listing these hygroscopicity parameters to aid in the replicability  
606 of the simulations?

607  
608 We will archive the model code which contains the values used for each of the aerosol species  
609 and is publicly available.

610  
611 [L215] “here and hereafter unless stated otherwise” – this phrase, in parentheses, doesn’t seem  
612 to apply to anything or make sense

613  
614 Removed.

615

616 [L224] This is another place in the text where the impact of asphericity on the MEE is tantalisingly  
617 hinted at without further detail as to whether its on and how its incorporated

618

619 We clarified this in Section 2.5 of the revised manuscript as below, so, here removed “calculated  
620 mass extinction efficiency and”.

621

622 *“The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is  
623 included in all the simulations, since we do not attempt to quantify how this enhancement impacts  
624 the simulated dust cycle, which has been previously well documented (Kok et al., 2021)”*

625

626 [L276] “In addition, the meteorology field (horizontal wind, air temperature T, and relative  
627 humidity) was nudged” – the results will obviously be changed if the model is free running then.  
628 For instance, the coarse dust will absorb LW radiation, warming the surface and destabilising  
629 the atmosphere. Perhaps this assumption (fixed meteorology) should be discussed in the  
630 Discussion section

631

632 The reviewer is right. If a free running is constructed, which we will do in the future, the results  
633 could be different. We had pointed it out that the results here are from simulations based on the  
634 use of offline dynamics in the first paragraph of the last section. To emphasis this, at some other  
635 places in the Discussion section, we inserted “offline dynamics”:

636

637 *“It worth noting that the results obtained in this study rely on the models with the offline dynamics,  
638 which is subject to change while using the predicted meteorology field online.”*

639

640 *“...with the offline dynamics, the new model, CAM6.α...”*

641

642 [L285] *“Therefore, we state the difference in the dust DRE and DRE efficiency estimate in Sect.  
643 6, but do not document the comparison of dust loadings/deposition/DOD between the bulk and  
644 speciated dust simulations.”* – Avoiding comparing BULK and MINE seems like a massive  
645 oversight and is one of the first things I’d query as a reader. Does speciation between minerals  
646 improve the simulation compared to assuming dust as a bulk quantity? Simply saying that as the  
647 dust properties are different (of course they will be), this reduces comparability, is a little bit  
648 absurd and a bit of a cop out. I think this comparison should be made in a follow-on paper. To  
649 be honest, it doesn’t seem worth including the MINE simulations if they not appropriately  
650 analysed.

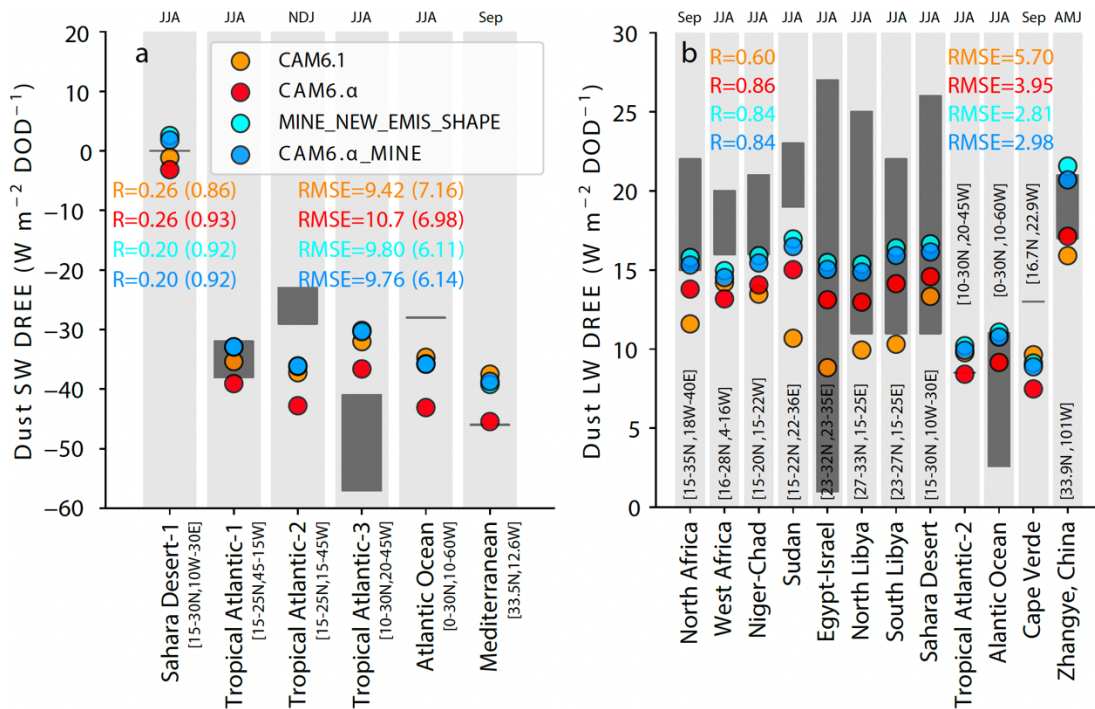
651

652 The dust speciation helps better reproduce the observed DRE efficiency improvements  
653 compared to without the speciation, as presented in the Section 4.3.1 (5.3.1 in the revised text).  
654 For non-optical variable, summing over the eight minerals gives the total dust

655 loadings/deposition/DOD similarly comparable to that from simulations without the dust  
 656 speciation. Per the suggestion of the reviewers, we added a new section “6. Bulk- versus  
 657 speciated-dust model” collecting information about the comparison between BULK and MINE  
 658 results that scattered in the text: s

659  
 660 “This lower dust SSA obtained here in the dust speciated model than in the bulk dust model is  
 661 consistent to the finding of a previous study (Scanza et al., 2015) using an early model version  
 662 (CAM5). Correspondingly, CAM6.α\_MINE yields a reduced dust cooling (Table 6) and DRE  
 663 efficiency (Fig. 9) than CAM6.α. For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends  
 664 to reduce the RMSE while retaining the horizontally spatial correlation in either SW (CAM6.α:  
 665 RMSE=11; R=0.26 versus CAM6.α\_MINE: RMSE=10; R=0.20) or LW (CAM6.α: RMSE=4;  
 666 R=0.86 versus CAM6.α\_MINE: RMSE=3; R=0.84) or both spectral ranges (CAM6.α: RMSE=7;  
 667 R=0.93 versus CAM6.α\_MINE: RMSE=6; R=0.92). This comparison suggests that modeling  
 668 dust as component minerals with the dust size distribution in coarse mode of  
 669 MINE\_NEW\_EMIS\_SIZE helps improve the model performance relative to modeling dust as a  
 670 bulk to reproduce the retrieved dust DRE efficiency (Fig. 9a). The improvement, however, could  
 671 be artificial because of the combined use of imaginary complex refractive index of hematite  
 672 volume (see Fig. 1b of Li et al., 2021) and the volume mixing used in the dust speciated model  
 673 to compute the bulk-dust complex refractive index (Li et al. in prep.), leading to artificially more  
 674 absorptive dust than in the bulk dust model (Fig. 9a and Table 6).”

675  
 676 Also, we added RMSE and correlation coefficient in the DRE efficiency plot as shown below.  
 677





679 **“Figure 8.** Modelled and observed dust direct radiative effect efficiency in the shortwave  
680 (SW)/longwave (LW) spectral ranges under clear conditions at the TOA over the sub-domains  
681 (shown in the inserted map and location described below) *in April-June (AMJ), summer (JJA),*  
682 *fall (NDJ), and September (Sep)* for the 2000s climate. The radiative effect efficiency is defined  
683 as the ratio of the radiative effect to DOD, so has units of  $W m^{-2} \tau^{-1}$ . Included cases from left are  
684 CAM6.1, CAM6.α, MINE\_NEW\_EMIS\_SHAPE, CAM6.α\_MINE. The field value/range are from  
685 references listed in Table 5. *Colored numbers show correlation coefficient (R) and the root mean*  
686 *square error (RMSE) between the model and retrievals in the SW (a) / LW (b) spectral ranges*  
687 *or in both spectral ranges (numbers in parenthesis in Panel a).”*  
688

689 [L289] Choosing to tune some models to DOD = 0.03 but not others is very peculiar. The authors  
690 say *“Dust tuning was not applied to EXP03 and EXP04 (bulk dust simulations), in which the dust*  
691 *emission was identical to EXP02, in order to see how changes in the transported dust size*  
692 *distribution affects the DOD calculation”*. – Well surely all of the individual sensitivity simulations  
693 (emissions, dry deposition, asphericity) would have benefitted from the same analysis? I guess  
694 that some parameters in the emissions and dry deposition algorithm need to be tuned in some way  
695 (so using DOD might be a reasonable approach) as the parameters have a huge degree of  
696 uncertainty, but the asphericity probably did not need changing.  
697

698 Though we did not tune EXP03 and EXP04 which we had previously run, we scaled up DOD  
699 and applied the same factor to the other dust quantities, as we stated in the text (the “Experiment  
700 design” section). This rescaling makes sense, considering the roughly linear relationship  
701 between those variables, though we acknowledge uncertainty may be introduced by doing so. We  
702 pointed this out in the Discussion section.  
703

704 *“...though the linear assumption between DOD and the other dust quantities based on which we*  
705 *rescaled up the concentrations, deposition, burdens, and DRE of dust in the size distribution*  
706 *simulations.”*  
707

708 In the emission and deposition schemes, we agree that there could maybe exist large uncertainty  
709 in some parameters. But we would better not scale the non-tunable parameters within the dust  
710 scheme to match the observational constraint of DOD=0.03, because the scaling factor exists  
711 largely due to the missing sub-grid scale variability by 100-km grid-scale modeling, not because  
712 of the uncertainty of parameters. Tuning those parameters to match the global constraint just  
713 seems like errors compensating each other. The dust emission scheme in CAM contains a tuning  
714 parameter “b”, in the calculation of the threshold gravimetric water content, which can plausibly  
715 range from less than 1 to the inverted clay fraction (can be > 3.0). Sensitivity tests by modifying  
716 this tuning parameter among 0.5, 1.0, and 2.0 suggest that 1.0 is a good value to use (see **Table**  
717 **R1** in this report). We would not change parameters that are not introduced as tunable ones,  
718 since they are observationally constrained. It is for this reason we did not modify parameters in

719 the new dry deposition scheme considering that those are all non-tunable. We added the  
720 following in the “Experiment design” section and cited a new supplementary table (Table R1)  
721 there:

722

723 *“An offline sensitivity test (Table S1) supports the use of unity tuning factor to calculate the*  
724 *threshold gravimetric water content which we employed in the experiments for quantifying*  
725 *influence of each modification (speciated dust simulations listed in Table 2).”*

726

727 [L289] My other issue with this paragraph is that the tuning is not described in any detail. Which  
728 parameters were tuned and what are their values in the baseline simulation? How was tuning  
729 conducted and why was global-mean DOD chosen as the target? Simply saying ‘tuned the model  
730 following Albani et al (2014)’ is not sufficient, and it would be impossible to replicate these  
731 simulations without further detail

732

733 We added the following to address this comment.

734

735 *“...we tuned the model following Albani et al., (2014) by modifying a namelist variable called*  
736 *soil\_erod\_factor, such that...”*

737

738 *“We prefer to tuning the model to reproduce the global mean DOD, 0.030, because this is*  
739 *currently the best estimate of global dust quantities, compared to the others (i.e., dust*  
740 *concentrations). It turns out that doing so can also reasonably reproduce the other quantities*  
741 *with no need of a regional tuning. We tuned the dust model by modifying a namelist variable in*  
742 *CAM, called soil\_erod\_factor.”*

743

744 [Table 3] This table seems very large, and I’m not sure whether the list of acronyms should be  
745 at then end of the table or in the caption. Would it be better to have 1 table for each metric?

746

747 We split this large table into 3 and list the acronyms in the caption:

748

749 **“Table 3.** *Observed/retrieved cycle for dust model evaluations including optical depth, surface*  
750 *mass concentrations, surface deposition fluxes, and wet deposition percentages. AERONET:*  
751 *Aerosol Robotic Network; MODIS: Moderate Resolution Imaging Spectroradiometer; AOD:*  
752 *aerosol optical depth; DOD: dust optical depth.”*

753

754 **“Table 4.** *Measured/retrieved dust size distribution for model evaluation. AERONET: Aerosol*  
755 *Robotic Network; DustCOMM: Dust Constraints from joint Observational-Modelling-*  
756 *experiMental analysis.”*

757

758 **“Table 5. Retrieved dust radiative effect efficiency for model evaluation. CERES: Clouds and the**  
759 **Earth’s Radiant Energy System; TOA: top of the atmosphere; JJA: June, July, and August; AOD:**  
760 **aerosol optical depth; MISR: Multi-angle Imaging SpectroRadiometer; OMI: Ozone Monitoring**  
761 **Instrument; NDJ: November, December, and January; MODIS: Moderate Resolution Imaging**  
762 **Spectroradiometer; CALIPSO: Cloud-Aerosol Lidar and Infrared Pathfinder Satellite**  
763 **Observations; MFRSR: MultiFilter Rotating Shadowband Radiometer; SEVIRI: Spinning**  
764 **Enhanced Visible and Infrared Imager; GERB: Geostationary Earth Radiation Budget;**  
765 **AERONET: Aerosol Robotic Network; MPL: Micro-Pulse Lidar; AERI: Atmospheric Emitted**  
766 **Radiance Interferometer; SMART: Surface-sensing Measurements for Atmospheric Radiative**  
767 **Transfer; AMJ: April, May, and June.”**

768  
769 [Results] The difference between CAM6.1 and CAM6.α i.e., the control and the simulation with  
770 all changes added (except mineralogy) comes before the dissection of impacts of individual  
771 processes. Why is this? Surely it would be better to investigate the impacts of the individual  
772 processes and then use them to explain why CAM6. α is different to CAM6.1?

773  
774 We think either doing what the Reviewer #2 suggested or keeping as what it was should work.  
775 In the drafted manuscript, we had tried doing the same as the reviewer suggested but then  
776 reordered the result section taking the “principle” that “the most important goes first”. But in any  
777 order the conclusions of this article remain unchanged.

778  
779 [L378] “CAM6.1 may overestimate the contribution of high-latitude dust emissions to the global  
780 dust total (8.0%).” – is this referring to the dust burden? It’s rather ambiguous as is

781  
782 This refers to the dust emission. We modified this sentence a little bit.

783  
784 “CAM6.1 may overestimate the contribution of the high-latitude dust emission to the global dust  
785 total emission (8.0%).”

786  
787 [L391] “Overall, all models reproduced the climatology of DOD from AERONET retrievals, the  
788 surface concentration, and deposition within a factor of ten (Fig. 1 and Fig. S3)” – this doesn’t  
789 seem to be the case from looking at Fig. 1 b, c, e, f, h, and i. It seems that both models exhibit  
790 at least one measurement outside the range of 1/10x and 10x.

791  
792 There are only 1, 4, and <10 point(s) of the 36, 47, and 108 points for DOD, surface  
793 concentrations, and deposition outside that range. That is, for over 90% of the points fall in the  
794 factor of 10. To be more accurate, we modified the sentence a little bit as follows.

795

796 “Over 90% of the measurement sites, all models reproduced the climatology of DOD from  
797 AERONET retrievals, the surface concentration, and deposition within a factor of ten (Fig. 1 and  
798 Fig. S3)”  
799

800 [Fig. 2] Why is the new dust emissions scheme smoother in terms of emissions, rather than the  
801 delta function (almost) in DEAD? I couldn’t easily find this information in the text  
802

803 We added the following to answer this question.  
804

805 “The smoother distribution of the dust emission in BRIFT than DEAD is due primarily to the use  
806 of the source function in DEAD that shifts dust emissions toward the most erodible soil, while in  
807 BRIFT, the near-surface friction velocity frequently exceeds the calculated threshold wind  
808 fraction velocity, which seems low in the land model, causing dust to emit at more grid cells.”  
809

810 [Fig. 3] Isn’t the Ridley et al (2016) DOD dataset constrained by MODIS (either through  
811 assimilation or using it as a baseline? If so, aren’t Figs 3a and 3b effectively showing the same  
812 results?  
813

814 Good point. DOD of Ridley et al. (2006) “assimilated” MODIS retrievals: they corrected the bias  
815 present in MODIS retrievals (see Section 3 in the manuscript), so the former contains information  
816 of the latter, but the two datasets show considerably different results. For example, the globally  
817 averaged DOD from pure MODIS postprocessed by Pu et al. (2020) is significantly higher than  
818 the best estimate of Ridley et al. (2016) (0.025-0.035).  
819

820 [L436] capture -> captures  
821

822 Corrected.  
823

824 [L437] Taklamakan (as in the desert) is spelt wrong throughout  
825

826 Corrected.  
827

828 [Fig. 4] Great figure  
829

830 Thanks!  
831

832 [L498] S5i -> S5e  
833

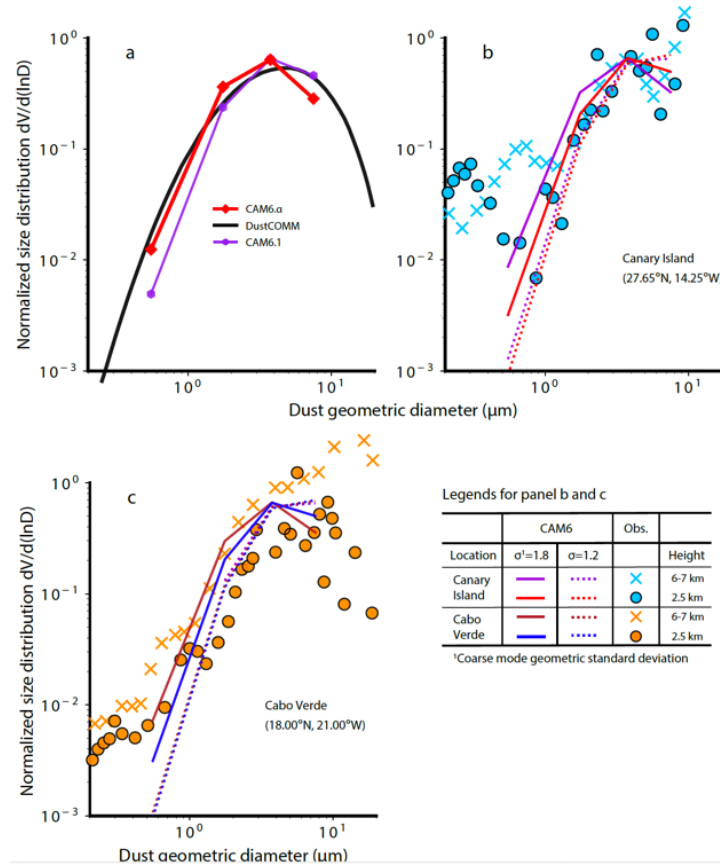
834 Corrected.  
835

836 [Fig. 5] This plot, especially Fig. 5a, is very confusing. There are too many colours and it is  
 837 difficult to pick out the CAM models. It may be worth plotting a non-CAM multi-model mean with  
 838 max/min as shaded in grey, and then have just the CAM models in colour  
 839

839

840 We removed non-CAM model results and cited relevant reference instead.

841



842

843 **“Figure 5.** Normalized size distribution of dust between 0.2 and 10  $\mu\text{m}$  diameter in the global  
 844 average (a), near Canary Island (blue colors in b; dot: 2.5 km; x: 6-7 km; data for June/July 1997  
 845 from Otto et al., 2007), and near Cabo Verde (orange colors in c; dot: 2.5 km; x: 6-7 km; data for  
 846 August 2015 taken from Ryder et al., 2018). The default model, CAM6.1: (purple line); the new  
 847 model, CAM6.α: (red line); semi-observations: DustCOMM (black line) *inverted based on an*  
 848 *integration of a global model ensemble and quality-controlled observational constrains on the*  
 849 *transported dust size distribution, extinction efficiency, and regional DOD with data taken*  
 850 *from Adebisi et al. (2020). We chose the model layers and grid cells that are closest to the*  
 851 *location and atmospheric height, as well as the months, where and when the measurements*  
 852 *were made for comparison.”*

853

854 [L542] Why is the size distribution for the fine dust fraction better captured by CAM6.α?

855

856 We explained this in the revised manuscript.



857

858 *“CAM6.α can better capture due primarily to the more accurate gravitational settling velocity*  
859 *modeled by using the new dry deposition scheme.”*

860

861 [L548] Sentence beginning “Overall, CAM6.α better reproduced the size distribution”. It would  
862 be worth adding the caveat here that the Otto et al and Ryder et al measurements are from  
863 single campaigns or flights and thus may not reflect the long-term mean dust properties at those  
864 altitudes, locations, and times

865

866 Good point. We had introduced a separate section listing out limitations that are commonly  
867 presented in the model-data comparison which includes this point. But it’s good to mention again  
868 at this place. So, we added the following.

869

870 *“It is worth noting that the measurements are from single campaigns or flights that may have*  
871 *representative issues not reflecting the climatological size and vertical distributions of dust*  
872 *aerosols (i.e., limited by the space and time coverage).”*

873

874 [L558] Section 4.2.1 – why are the mineralogy experiments used to test BRIFT vs DEAD rather  
875 than the BULK simulations? There doesn’t appear to be any reasoning behind this

876

877 BULK and MINE runs were originally designed in two separate papers, but we ended up with  
878 this one. The results would be very similar between using BULK and MINE to test BRIFT vs  
879 DEAD and the other schemes. See our response to the general comment on BULK versus MINE  
880 (Line 61-190).

881

882 [L559] MIINE\_NEW\_EMIS -> MINE\_NEW\_EMIS

883

884 Done.

885

886 [L646] Paragraph on asphericity – I’m still confused even after reading the text as to whether the  
887 assumption of asphericity is applied to the dust MEE in every simulation run here or just the  
888 MINE\_NEW\_EMIS\_SHAPE simulation?

889

890 We paste our response to the previous comments of this reviewer here:

891

892 Such impact of the dust asphericity is included in all the simulations because we did not attempt  
893 to quantify such effect in this study. To avoid of confusion and clarify this, we removed “and  
894 optics” here, moved relevant text from the result Section 4.2.3 (5.2.3 in the revised manuscript)  
895 to Section 2.4.3 (2.5.3 in the revised manuscript), and added the following to the “Experiment  
896 design” section:

897

898 *“The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is*  
899 *included in all the simulations, since we do not attempt to quantify how this enhancement impacts*  
900 *the simulated dust cycle, which has been previously well documented (Kok et al., 2021).”*

901

902 [L683]  $(0.030-0.019)/0.030*100$  – I don’t think this formula needs to be written. See also L686  
903 and L759

904

905 Deleted.

906

907 [L693] Paragraph beginning *“The lifetime of dust”*. Should this paragraph be in Section 4.2.4? It  
908 doesn’t seem to mention asphericity or apply to the MINE\_NEW\_EMIS\_SHAPE simulation

909

910 We had included this paragraph here, because this is the section that we talked about the dust  
911 lifetime: one of the main impacts of the dust size change is on the dust lifetime. Since this is a  
912 comparison between BRIFT and DEAD, we moved to Section 5.2.1 (revised manuscript) “Dust  
913 emission schemes: BRIFT versus DEAD”.

914

915 [L705] Why is MINE\_NEW\_EMIS referred to as the reference case? It’s a sensitivity simulation,  
916 isn’t it? Surely the only reference cases are CAM6.1 and possibly MINE\_BASE?

917

918 It is not a sensitivity simulation. The coarse-mode size distribution of dust in CAM6.1 was wrongly  
919 put. Thus, it seems not make a lot of senses to use CAM6.1 as the baseline simulation when  
920 quantifying the impact of each of the modifications. Please see our response to the general  
921 comment on the experiment design (Line 61-190).

922

923 [L733] *“NEW\_EMIS\_SIZE”* -> MINE\_NEW\_EMIS\_SIZE. Also, this paragraph seems to be the  
924 only place where BULK and MINE are explicitly compared. I think the comparison should extend  
925 to all the dust metrics

926

927 As stated in our responses to previous comments, with the dust tuning and offline dynamics  
928 applied, speciating dust does not yield considerably different dust quantities (i.e., dust  
929 concentrations, burdens, and deposition) from BULK runs in the current climate. We added a  
930 new section to compare BULK and MINE runs:

931

## 932 **“6. Bulk- versus speciated-dust model**

933

934 *The bulk (CAM6.α) and dust-speciated model (CAM6.α\_MINE) simulate a similar dust cycle with*  
935 *the difference between the two types of models orders smaller than those simulated by the*  
936 *former (Fig. 12 and 13: R2 and R3 in this report, respectively). This similarity results from the*

937 *dust tuning toward the global mean DOD of 0.03, the same meteorology dynamics both models*  
938 *were nudged toward, and the design of the dust-speciated model that summing the mass fraction*  
939 *of each dust species equals unity. With the same reasons, the influence of each of the*  
940 *modifications on the modelled dust cycle quantified using the bulk model instead of the dust-*  
941 *speciated model, as this study used, would be similarly comparable. The modelled dust optical*  
942 *properties, however, differs remarkably (i.e., dust SSA; Table 6) with the simulated global mean*  
943 *dust SSA by CAM6.α\_MINE (0.896) lower than by CAM6.α (0.911) at the visible band centered*  
944 *at 0.53 μm. Note the dust DRE is sensitive to variation of the dust SSA. This lower dust SSA*  
945 *obtained here in the dust speciated model than in the bulk dust model is consistent to the finding*  
946 *of a previous study (Scanza et al., 2015) using an early model version (CAM5). Correspondingly,*  
947 *CAM6.α\_MINE yields a reduced dust cooling (Table 6) and DRE efficiency (Fig. 9) than CAM6.α.*  
948 *For dust DRE efficiency (Fig. 9), speciating dust in CAM6 tends to reduce the RMSE while*  
949 *retaining the horizontally spatial correlation in either SW (CAM6.α: RMSE=11; R=0.26 versus*  
950 *CAM6.α\_MINE: RMSE=10; R=0.20) or LW (CAM6.α: RMSE=4; R=0.86 versus CAM6.α\_MINE:*  
951 *RMSE=3; R=0.84) or both spectral ranges (CAM6.α: RMSE=7; R=0.93 versus CAM6.α\_MINE:*  
952 *RMSE=6; R=0.92). This comparison suggests that modeling dust as component minerals with*  
953 *the dust size distribution in coarse mode of MINE\_NEW\_EMIS\_SIZE helps improve the model*  
954 *performance relative to modeling dust as a bulk to reproduce the retrieved dust DRE efficiency*  
955 *(Fig. 9a). The improvement, however, could be artificial because of the combined use of*  
956 *imaginary complex refractive index of hematite volume (see Fig. 1b of Li et al., 2021) and the*  
957 *volume mixing used in the dust speciated model to compute the bulk-dust complex refractive*  
958 *index (Li et al. in prep.), leading to artificially more absorptive dust than in the bulk dust model*  
959 *(Fig. 9a and Table 6)."*

960  
961 [L798] "Overall, replacing the size distribution of dust aerosol and the dust emission scheme with  
962 new ones (PZ10 and BRIFT, respectively)" – replacing the size distribution is referred to here as  
963 PZ10 but this is the dry deposition scheme

964  
965 "(PZ10 and BRIFT, respectively)" removed.

966  
967 [L821] The term "space volume" is ambiguous. Possibly "colocation in space"?

968  
969 Changed.

970  
971 [L833] "which can get mixed with dust aerosol particles during the transport and may not be  
972 completely excluded in the measurements." \_This seems a little lazy, do you have any estimates  
973 of how much contaminations leads to errors in measuring dust? At the moment, this point isn't  
974 backed up by evidence.

975

976 We deleted these texts, because 1) the second half of the sentence reads more like a repeat of  
977 the first half which the references we had cited serve well to support, and 2) they do not convey  
978 vital elements (we compiled the dust measurements from previous publication and use them  
979 here to evaluate the model performance. This section discussed the “Limitation in the model-  
980 observation comparison”, noticing the readers that such kind of error exists in the dust  
981 measurements would be fine). Sentence now reads:

982

983 *“Finally, the modelled dust mass is for dust with our own defined mineralogy composition only*  
984 *(Li et al., 2021; Scanza et al., 2015), the measured mass could likely also include non-dust*  
985 *particles, such as sea salt (Kandler et al., 2011; Zhang et al., 2006), sulfate (Kandler et al., 2007),*  
986 *biomass burning aerosols (Ansmann et al., 2011; Johnson et al., 2008), or other air pollution*  
987 *aerosol (Huang et al., 2010; Yuan et al., 2008).”*

988

989 [L859] “... followed by the enhanced dust mass extinction efficiency at the visible band by ~30%  
990 to account for the enhancement by dust asphericity” – the asphericity applied to the MEE has  
991 not been shown to be the second most important change affected. Rather Fig. 10 shows that  
992 asphericity has a negligible impact on dust. Or is the asphericity in the MEE applied separately  
993 to the asphericity in the deposition rate? This is very confusing.

994

995 We do not plan to estimate the optical effect of the dust asphericity. That is why we include such  
996 effect in all the simulations. In response, we removed text relevant to the optical effect of the  
997 dust asphericity in the conclusion section. We also clarified how we dealt with the enhanced dust  
998 mass extinction efficiency at the visible band in the simulations in the “Experiment design”  
999 section:

000

001 *“The enhancement of the mass extinction efficiency of aerosol particles by dust asphericity is*  
002 *included in all the simulations, since we do not attempt to quantify how this enhancement impacts*  
003 *the simulated dust cycle.”*

004

005 [L869] “Overall, the new model can:” – is the new model, referred to in this sentence, CAM6.α?  
006 If so, why has CAM6.α\_MINE been neglected? The addition of MINE to this study makes little  
007 sense as it is peripheral. Additionally, is this “new model” \_already adopted for the next revision  
008 of CAM6 or is this the plan for the future?

009

010 We specified the new model. As to the modeled dust cycle, CAM6.α\_MINE and CAM6.α show  
011 almost identical results. Please see our response to the general comment (Line 61-190). The  
012 modifications made to CAM6.1 to get CAM6.α is on the table. But the dust speciation is not  
013 planned yet to be included in a future CAM version.