# GeoINR 1.0: an implicit neural representation-network for<u>approach</u> to three-dimensional geological modelling

Michael Hillier<sup>1</sup>, Florian Wellmann<sup>2</sup>, Eric de Kemp<sup>1</sup>, <u>Boyan Brodaric<sup>1</sup></u>, <u>Ernst Schetselaar<sup>1</sup>, Boyan Brodaric<sup>4</sup></u>, Karine Bédard<sup>3</sup>

<sup>1</sup>Geological Survey of Canada, 601 Booth Street, Ottawa, ON K1A 0E8, Canada
 <sup>2</sup>Computational Geoscience and Reservoir Engineering (CGRE) RWTH Aachen University, Mathieustr. 30 52074 Aachen, Germany
 <sup>3</sup>Geological Survey of Canada, 490 rue de la Couronne, Quebec City, QC G1K 9A9, Canada

Correspondence to: Michael Hillier (Michael.Hillier@nrcan-rncan.gc.ca)

- 10 Abstract. Implicit neural representation (INR) networks are emerging as a powerful framework for learning threedimensional shape representations of complex objects. These networks can be used effectively to-implicitly model threedimensional geological structures from scattered point data, sampling geological interfaces, units, and <u>structural</u> orientations of structural features, provided appropriate loss functions associated with data and model constraints are employed during training. The flexibility and scalability of these networks provide a potential framework for integrating newmany forms of related geological data and knowledge that classical implicit methods cannot easily incorporate. We present a
- methodologyan implicit three-dimensional geological modelling approach using an efficient INR network architecture, called GeoINR, consisting of multilayer perceptrons (MLP) that advance existing implicit methods for structural geological modelling. The developed methodologyMLPs). The approach expands on the modelling capabilities of existing methods using these networks by: (1) including unconformities into the modelling; (2) introducing constraints on stratigraphic
- 20 relations as well as and global smoothness-along with their, as well as associated loss functions, and (3) improving training dynamics through the geometrical initialization of learnable network variables. These three enhancements enable the modelling of more complex geology, improved data fitting characteristics, and reduction of modelling artifacts in these settings, as compared to an existing INR frameworks for approach to structural geological modelling. A provincial scaleTwo diverse case study for the Lower Paleozoic portion of the Western Canadian Sedimentary Basin (WCSB) in Saskatchewan.
- 25 Canada isstudies also are presented to demonstrate the modelling capacity of the MLP architecture, including a sedimentary basin modelled using the developed methodology-well data, and a deformed metamorphic setting modelled using outcrop data. Modelling results illustratedemonstrate the method's capacity to fit noisy datasets, use outcrop data, represent unconformities, and implicitly efficiently model large regional scale three dimensional geological structures geographic areas with relatively large datasets, confirming the benefits of the GeoINR approach.

#### 30 1 Introduction

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Understanding the geometry of the subsurface is of critical importance to wide range of applications including earth resource estimation (e.g., mineral, hydrocarbon, geothermal, groundwater), subsurface storage (e.g., carbon sequestration, radioactive waste), urban planning, climate change, and education. Three-dimensional geological modelling provides a means of representing the geometry of the subsurface based on available geological point-data, typically from boreholes and outcrop observations, sampling geological units, the interfaces between them, and orientations (planar and linear) of various structural features (Wellmann and Caumon, 2018).

The two most common types of three-dimensional geological modelling approaches are differentiated between explicit and implicit surface representations. Explicit approaches (Caumon et al., 2009; Sides, 1997) employ formulations to directly characterize three-dimensional surface meshes between geological units/faults and rely on either: (1) digitized wireframes interpreted by users possessing geological expertise - guided by primary geological observations - which are converted into 40 Bézier or NURB curves and surfaces (de Kemp and Sprague, 2003; Sprague and de Kemp, 2005); and (2) Minimizing minimizing the surface roughness on a carefully constructed initial surface mesh using discrete smooth interpolation (Mallet, 1992, 1997) and supplied geological observations. While Although these approaches can-be used to produce excellent structural models - given sufficient modelling and geological interpretative skill - they can require extensive time to develop and, are difficult to update and reproduce. Implicit approaches, on the other hand, represent 45 geological surfaces as iso-surfaces in a three-dimensional scalar field, which is-interpolated usingfrom surface interface points, orientations, and potentially off-surface information (Lajaunie et al., 1997, Frank et al., 2007, Hillier et al., 2014). These approaches directly consider stratigraphic continuities and allow for a more flexible updating process, but give rise to new problems, as they can produce geological models with modelling artifacts in structurally complex settings. For more details on the different geological modelling approaches, we refer to see Wellmann and Caumon (2018). 50

Classical implicit interpolation, that is non-machine learning estimation, has been thoroughly studied and developed over the last two decades with many extensions and enhancements (Boisvert et al., 2009; Calcagno et al., 2008; Caumon et al., 2012; Cowan et al., 2003; de la Varga et al., 2019; Grose et al., 2019; Grose et al., 2021a; Hillier et al., 2014; Irakarama et al., 2021; Laurent et al., 2016; Renaudeau et al., 2019; Yang et al., 2019). WhileAlthough their extensions and enhancements
are remarkable, the underlying mathematical models by which they have been developed are simply not flexible and scalable enough to be able to-incorporate the large amountsvolumes of available geological data and knowledge. Inequality constraints (Dubrule and Kostov, 1986; Frank et al., 2007; Hillier et al., 2014), for example, useful for incorporating above and below spatial relationships between geological features (e.g., rock units, geological interfaces and units) have scalability limitations, as the number of constraints increase, due to computationally expensive convex optimizations required.
Furthermore, modelling in structurally complex settings using sparse, heterogeneously distributed, and noisy datasets remains challenging. In these circumstances, produced-models can exhibit-modelling artifacts (Hillier et al., 2016; von

Harten et al., 2021; Pizzella et al., 2022) that are geologically impossible given the known geological history and spatial relationships between geological features. A common strategy to address modellingsuch artifacts is by adding interpretative points for horizons and faults, curves, or localized surface patches-to-implicit interpolants, resulting in a hybrid implicitexplicit approach. However, this circumvents the entire philosophycore objectives of implicit modelling, namely with 65 respect-to their facilitate reproducibility and fast modelling results. To construct Furthermore, a useful three-dimensional geological model for downstream applicationsconstructed this way requires significant time, and in the end areresult is just one possible realization amongst a family of possibilities. Indeed, there is an infinite set of reasonable geological models that fit the data (Jessell et al., 2010), each of which havehas varying degrees of uncertainty (Lindsay et al., 2012; Wellmann and Regenauer-Lieb, 2012;), as some models are more probable over others. With the advent of probabilistic approaches (de la 70 Varga and Wellmann, 2016; Grose et al., 2019), these degrees of uncertainty can be somewhat quantified, but fundamentally rely on the space of models that can be produced from the underlying mathematical models, which do not directly incorporate all available geological data and knowledge. Instead, the variables of these models are varied and optimized to maximize likelihood functions that are chosen and designed to integrate other forms of knowledge and data. In However, the 75 frequency of geologically valid models from the ensemble of models generated from probabilistic approaches still may be underrepresented in some settings, it's. It is also possible that these the underlying mathematical models are unable to be

- reparametrized to conform and respect structural styles and complex relationships known to exist in nature. In addition, the frequency of geologically valid models from the ensemble of models generated from probabilistic approaches may be underrepresented in some settings.
- 80 ModelsGeological models tend to converge towards sub-surface reality as more geological data and knowledge is incorporated in the modelling process. For complex geological structures, it becomes increasingly difficult —in comparison to simple structures (e.g., layer cake stratigraphy)— to develop accurate representations. For these scenarios, much more geometric and geological feature relationship information is needed to generate realistic models. For these scenarios, much more geometric and geological feature relationship information is needed to generate realistic models. For these scenarios, and better approaches are required to use this information within the modelling process. Due to the inherent flexibility, efficiency, and scalability of deep learning approaches (Emmert-Streib et al., 2020) to incorporate data and knowledge, they have the potential to provide an ideal framework for incorporating new geological data and knowledge constraints into the modelling process, enabling the modelling of complex geological structures and at scales (e.g., high resolution over mine, regional, and national scales) that were previously unfeasible. Beyond being able to expand on the types of geological constraints for structural modelling in deep learning approaches, they also have potential for direct incorporation of relevant interdisciplinary datasets (e.g., fluid flow, mineralization, CO<sub>2</sub>-storage) where there exist latent relationships to structural features. Collectively, we see potential for these approaches to provide a needed solution for data and knowledge integration within a single end-to-end manner, and thereby overcome the modelling limitations of existing methodologies, and that more accurate representations of three-

dimensional geological structures are efficiently produced.

In recent years there has been increasing interest in deep learning approaches for various geoscience applications including seismic data interpretation (Bi et al., 2021; Perol et al., 2018; Ross et al., 2018; Shi et al., 2019; St-Charles et al., 2021; 95 Wang and Chen, 2021; Wang et al., 2022; Wu et al., 2018; Wu et al., 2019), spatial interpolation of geochemical and geotechnical data (Kirkwood et al., 2022; Shi and Wang, 2021), remote-sensing (Ma et al., 2019), and implicit threedimensional geological modelling (Hillier et al., 2021; Bi et al., 2022). It is also worth noting the machine learning approach that casts implicit modelling as a multi-class classification problem by Goncalves et al. (2017). While this is not a deep 100 learning-based approach, it supports continuous implicit modelling but not faulting or unconformities. Although deep learning approaches forto implicit three-dimensional geological modelling are promising, they are still in their infancy, and much more research and development is required for them to reach their full potential. WhileFor example, although the recently proposed deep learning approach (Bi et al., 2022) can generate faulted three-dimensional geological models structurally consistent with the data, there are some exist limitations: it cannot currently model unconformities, there is 105 ambiguity in how to properly annotate or set scalar constraints on horizon data, and it may suffer from edge effects that can generate spurious discontinuities.

In this paper, we advance a previous deep learning basedan existing INR approach forto three-dimensional implicitgeological modelling that used graph neural network (GNN) architectures (Hillier et al., 2021) using implicit neural representation networks.). In recent years, there has been substantial interest and advancements in using these neuralINR networks on a wide variety of problems including modelling of discrete signals in audio, image, and video processing, learning complex three-dimensional shapes, and solving boundary value problems (e.g., Poisson, Helmholtz) (Sitzmann et al., 2020). Moreover, mathematical connections to kernel methods have emerged (Jacot et al., 2020) to establish a foundation for numerical analysis. In the field of computer graphics, they are being effectively used to represent complex threedimensional shapes (Park et al., 2019; Gropp et al., 2020; Atzmon and Lipman, 2020; Davies et al., 2021; Wang et al., 2021) and reminiscent of surface reconstruction methods using radial basis function interpolation (Carr et al., 2001). For these applications, a key advantage for deep learning-based approaches is they can be used to learn the latent space of shapes; a vector space representing all possible geometrical variations of a specific class of objects (e.g., chairs, cats, folded stratigraphy, etc). In this space, where objects are represented as points within the space, neural networks can learn common

- properties between objects of the same class. Furthermore, points representing objects with similar geometries are closer than points representing objects with dissimilar geometries enabling the interpolation between objects. For structural geological modelling applications to leverage this concept, realistic three-dimensional geological simulators are required to generate large training sets from which implicit geological constraints can be sampled in a manner that mirrors the same characteristics as real-world data (strong heterogeneously distributed, noisy, with conflicting interpretations). It is important to note that there currently exists a large training set of idealized synthetic three-dimensional models specifically for the
- 125 purposes of machine learning training (Jessell et al., 2022). However, research into sampling strategies for real-world data is needed. In this paper, we focus on advancing implicit neural representation (INR) network approaches for implicit three-

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dimensional geological modelling to support more complex geological structures that will also benefit other deep learning approaches that train on large ensembles of synthetic geological models. Our aim is to Here, our aim is to support more complex geological structures, in both very rich and sparse data environments. To this end, we demonstrate INR networks

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can be used efficiently to incorporate a comprehensive set of inequality constraints on stratigraphic relations (e.g., knowledge constraints) derived from a stratigraphic column, support modelling of unconformities, improve data fitting characteristics, and reduce modelling artifacts when modelling complex geological structures with large, dense, noisy, or sparse data.

The remainder of this paper is organized as follows. Section 2 describes the proposed methodology using implicit neural representationINR networks for modelling complex geological structures containing unconformities. Section 3 presents 135 modelling results using the proposed methodology. Section 4 discusses modelling characteristics of the approach, and comparisons with other approaches. The last section, Section 5, conclusions are given.

#### 2 Methodology

#### 2.1 Definitions and Notationsnotations

140 To better support the geological relations and feature representations mathematically, we have employed specific symbology. For elarify clarity, definitions and notations used throughout this paper are provided below.

First, the notations for scalar, vector, set/tuples, and matrix quantities are as follows: lowercase, **bold lowercase**, UPPERCASE, and BOLD UPPERCASE, respectively.

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Second, there are the paper utilizes three types of geological point data-considered in this paper that can sample: (1) sampled geological interfaces  $I_i$  (e.g., either stratigraphic horizons or unconformities), (2) geological units  $U_i$ , and (3) orientation 0 (e.g., either planar or linear measurements). For interfaces  $I = (I_0, I_1, I_2, ...)$ , subscripts indicate the chronological order the interface was created, with smaller integers being older interfaces. For geological units U = $(U_A, U_B, U_C, ...)$ , subscripts also indicate the chronological order of their formation, with the alphabetical order reflecting the 150 sequence of geological units.

Third, point sets in this paper are denoted by X. Subscripts on point sets indicate the specific geological feature the point set is sampling. For example,  $X_{I_0}$  is the point set sampling the geological interface  $I_0$ .

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Fourth, for scalar fields, the following notation is used to shorten expressions. Consider a three-dimensional point  $\mathbf{x}_j$ , let  $\varphi_j^i = \varphi^i(\mathbf{x}_j)$  denote the scalar field value associated with the *i*-th scalar field  $\varphi^i$  at that point. For a set of points  $X_Q$  sampling a specific geological feature Q let  $\varphi_Q^i = \varphi^i(X_Q)$  denote the set of scalar values associated with scalar field  $\varphi^i$  at the sampled points. For the mean scalar field value of a set of points  $X_Q$  let

$$\bar{\varphi}_Q^i = \frac{1}{|X_Q|} \sum \varphi^i(X_Q) = \frac{1}{|X_Q|} \sum_{x_j \in X_Q}^{|X_Q|} \varphi_j^i,$$

160 where  $|X_Q|$  is the number of elements (e.g., points) in the set  $X_Q$ . Finally, let the gradient of scalar field  $\varphi^i$  at point  $x_j$  be denoted by  $\nabla \varphi_i^i$ .



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(1)



- **Figure 1.** Complex geological setting for three-dimensional implicit geological modelling. Inputs for modelling include scattered data constraints, a stratigraphic column, and set of geological rules (erodes ~, onlaps  $\approx$ ) for scalar fields  $\varphi^i(\mathbf{x})$  representing the<u>distinct conformal and unconformity</u> structures within distinct geological domains.
- Our objective is to use <u>multilayer perceptron (MLP)</u> neural networks to perform three-dimensional implicit modelling of
   complex geological settings having both conformable and unconformable structures, given a set of *NN* scattered data points, a stratigraphic column, and set of geological rules as illustrated in Fig. 1. Conformable structures, having undergone the same geological history, exhibit sub-parallel geometries in nearby associated interfaces and strata. In contrast, unconformities are interfaces produced from <u>erosionalerosion</u> or halting of sedimentation processes, <u>thus</u> separating strata of different ages <u>-and</u> marking a discontinuous transition in the depositional process. Distinct conformable and unconformable
   structures are modelled separately, each associated with its own implicit scalar field *q<sup>i</sup>(x)* and data constraints (Calcagno et al. 2000).

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al., 2008; de la Varga et al., 2019; Grose et al., 2021b). The scalar field index *ii* indicates its relative temporal position in the sequence of geological events. Data constraints associated with each scalar field  $\varphi^i$  can include points sampling specific sets of geological features such as interfaces  $I_k^t I_k^i$ , geological units  $U_k^t U_k^i$ , and orientations  $\Theta^{\pm}O^i$  of interfaces and strata. Subscript *kk* denotes the *kk*-th interface or geological unit associated, while the superscript *ii* indicates those geological features are represented by  $\varphi^i$ . Importantly, the suite of stratigraphic relationships (e.g., *above*, *below*, *on*) encapsulated within the stratigraphic column and the geological rules between scalar fields (e.g., erosional, onlap) are incorporated into the modelling process.

Let  $\mathcal{M}(X, \varphi, \xi)$   $\mathcal{M}(X, \varphi, \xi)$  be an implicit model in three-dimensional space where the point set  $\mathcal{X}X = 185 \quad \{x_0, \dots, x_{N-1}\} \{x_0, \dots, x_{N-1}\} \subseteq \mathbb{R}^3 \mathbb{R}^3$  are the  $\mathcal{N}N$  scattered data points, the tuple  $\varphi = (\varphi^0, \dots, \varphi^{F-1})\varphi = (\varphi^0, \dots, \varphi^{F-1})$  are the  $\mathcal{F}F$  indexed implicit scalar fields, and  $\xi\xi$  is a global interpolationsmoothness constraint (Sect 2.4.5). The global interpolationsmoothness constraint is to ensure a globally reasonable geological structural model.

	Let $\varphi^{i}(X^{i}, I^{i}_{k}, U^{i}_{k}, O^{i}, \mathcal{E})\varphi^{i}(X^{i}, I^{i}_{k}, U^{i}_{k}, O^{i}, \mathcal{E})$ be the <i>ii</i> -th implicit scalar field approximated from the set of points $X^{i}$ =
190	$\left\{ X_{I_{k}^{t}} X_{U_{k}^{t}} X_{U_{k}^{t}} X_{U_{k}^{t}} X_{U_{k}^{t}} X_{U_{k}^{t}} X_{O_{k}^{t}} X_{O_{k}^{t}} \right\} \subseteq X \text{ sampling interfaces } I_{k}^{t} I_{k}^{t}, \text{ geological units } U_{k}^{t} U_{k}^{t}, \text{ and orientations } \Theta^{t} O_{k}^{t} U_{k}^{t} X_{U_{k}^{t}} X_{O_{k}^{t}} X_{O_{k$
	respectively. The scalar field is approximated from the set of interpolations constraints & (Sect. 2.4) using these sampled
	data points. The set of interfaces $I_{k}^{t} I_{k}^{i}$ and units $U_{k}^{t} U_{k}^{i}$ are arranged in an order of older to younger.

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#### 2.3 Implicit neural representations



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**Figure 2.** Neural network architecture for three-dimensional implicit geological modelling. (a) MLP architecture that generates scalar field predictions from spatial coordinates. (b) Perceptron neural model and output for a neuron. (c) Multiple scalar field predictions for a given point from stacked MLPs in GeoINR network.

Implicit neural representations, also known as coordinate-based representations (Tancik et al., 2020), are neural networks that parameterize implicitly defined functions φ(x) where network's inputs x ∈ ℝ<sup>m</sup> are m-th dimensional spatial or spatial-temporal coordinates. These networks typically utilize multilayer perceptrons (a\_MLP)<sub>τa</sub> as illustrated in Fig. 2, to learn how to map coordinates into a geometrical representation of shape/structure encoded as an implicit scalar field. Note that other network architectures, such as graph neural networksGNNs (Hillier et al., 2021), that learn this mapping are also categorized as INR networks. MLPs are universal approximators capable of approximating any unknown function f(x) provided there are enough hidden neurons (Hornik, 1989). They are composed of three types of layers - input, hidden, and output layers, which transform inputted data into abstract representations and model predictions in the hidden and output layers, respectively. There are three parameters that define MLP networks: number of hidden layers N<sub>h</sub>, dimensionality of representations d<sub>rep</sub>, and chosen non-linear activation function σ. At every training iteration t, errors between the network's

210 outputted scalar fields and interpolation constraints are measured using developed loss functions presented in the proceeding section. These errors are minimized by the backpropagation process where the network's variables (W's and b's Fig. 2a) are updated by gradient descent. For complex geologically settings where there are F distinct conformal and unconformity structures, each associated with a separate implicit scalar field  $\varphi^i$ , F MLPs are stacked together resulting in F scalar values being outputted for every point x (Fig. 2c). Following the training process, multiple scalar fields are combined in a manner 215 respecting the geological rules for erosion and onlapping of conformal structures onto unconformities (Sect. 2.6)).

#### 2.4 Interpolation constraints and loss functions

For structural geological modelling, interpolation constraints ε are split into four categories: interface, geological unit, orientation, and global <u>smoothness</u> constraints. For interface and geological unit data, a suite of knowledge constraints on stratigraphic relations are developed and described in the next section (Sect. 2.4.1). For each constraint type, a corresponding
loss function is developed to accumulate all errors<sub>τ</sub> (Fig. 3), at every training iteration *t*, measured between the predicted model and set of points for which a constraint is imposed.



**Figure 3.** Errors associated with interface (circles), orientation (black arrows), and geological unit (triangles), and orientation (black arrows) constraints at training iteration  $t_{\pm}$  modelling two conformal interfaces  $I_1^i$ ,  $I_0^i$  and three geological units A, B, C<sub> $\pm$ </sub> with an implicit scalar field  $\varphi^i$ . Approximated signed distances  $\delta$  are computed for interface and geological unit data, whereas angular residuals  $\theta$  are computed for orientation data. Insets: (black) stratigraphic column, (gray) angle between scalar gradient (orange) and bedding.

# 230 2.4.1 Stratigraphic relations and constraints

Stratigraphic relations are defined, in terms of scalar field differences, to encapsulate *above*, *below*, and *on* relationships (e.g., knowledge) between points sampling interfaces and geological units using a given stratigraphic column. From these relations, a suite of constraints for scattered point sets are developed so that the constrained implicit model  $\mathcal{M}$  respects the stratigraphic column.

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Given a point  $x_i \in X_{Y_j}$  belonging to a point set  $X_{Y_j}$  sampling either a specific interface  $(Y_j = I_j)$  or geological unit  $(Y_j = U_j)$ , a stratigraphic relation is defined as <u>follows</u>:

$$R_{x_l,l_k^i} = \varphi_l^i - \bar{\varphi}_{l_k^i}^i, \tag{2}$$

where  $\bar{\varphi}_{I_k^i}^i$  (Eq. 1) is the iso-value, at training iteration *t*, associated with interface  $I_k$  and represented by scalar field  $\varphi^i$ . The 240 relations indicates whether point  $\mathbf{x}_l$  is *above*, *below*, or *on* a reference interface  $I_k$ , modelled with scalar field  $\varphi^i$ , when the relation value is

$$\frac{R_{x_i, t_k}^i > 0 \quad above}{x_{x_i, t_k}^i < 0 \quad below} R_{x_i, t_k}^i > 0 \quad above$$

$$\frac{R_{x_i, t_k} < 0 \quad below}{x_{x_i, t_k}^i = 0 \quad on} R_{x_i, t_k}^i = 0 \quad on$$
(3)

respectively. Point sets  $X_{Y_j}$  encoded as stratigraphically above or below an interface  $I_k$  are given the following inequality 245 constraints:

$$\begin{aligned} R_{\mathbf{Y}_{j}, I_{k}^{i}} &= \varphi_{\mathbf{Y}_{j}}^{i} - \bar{\varphi}_{I_{k}^{i}}^{i} > 0 \\ R_{\mathbf{Y}_{j}, I_{k}^{i}} &= \varphi_{\mathbf{Y}_{j}}^{i} - \bar{\varphi}_{I_{k}^{i}}^{i} < 0, \end{aligned}$$
(4)

respectively. For a point set sampling the reference interface  $I_{k-1}$  the constraint

$$R_{I_k J_k^i} = \varphi_{I_k}^i - \bar{\varphi}_{I_k^i}^i = 0$$
(5)

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is used. The complete set of stratigraphic constraints on relations for both interface and geological unit point data illustrated in Fig. 1 are shown in Fig. 4. The set of relations considers interface-interface and unit-interface pairs and are expressed in matrix form with above relations (yellow) in the upper right and below relations (light purple) in the lower left. For the matrix of interface-interface relations, *on* relations (green) are along the diagonal. For the *above* relations and associated constraints, only the ones within distinct geological domains – created by the series of unconformity interfaces – are considered, while the remaining ones (red) are discarded. These are discarded because points sampling younger geological

- features can be measured as being below older modelled interfaces from other geological domains using their associated scalar fields and corresponding iso-value, depending on their geometries. For example, consider the unconformity interface I<sub>2</sub> (Fig. 4), it erodes portions of I<sub>1</sub> and therefore the presence of the unconformity can be measured below I<sub>1</sub> using φ<sup>0</sup> (e.g., the scalar field that models I<sub>1</sub>) in those portions. This characteristic doesn't apply to any below relations and constraints,
  - since points sampling an interface or unit must always be below all younger interfaces. In our available source code, we also provide a more efficient alternative option for below relations: excluding below relations of conformal interfaces and associated units with younger conformal interfaces from younger geological domains. Only below relations of conformal interfaces and interfaces and associated units to the next youngest unconformity, are required to constrain their geometries.



Interface/Interface relations

$R_{I_5, I_5^3}$	$R_{I_5, I_4^2}$	$R_{I_5,I_3^2}$	$R_{I_5,I_2^1}$	$R_{I_5,I_1^0}$	$R_{I_5,I_0^0}$
$R_{I_4, I_5^3}$	$R_{I_4,I_4^2}$	$R_{I_4,I_3^2}$	$R_{I_4,I_2^1}$	$R_{I_4,I_1^0}$	$R_{I_4,I_0^0}$
$R_{I_3, I_5^3}$	$R_{I_3,I_4^2}$	$R_{I_3,I_3^2}$	$R_{I_3, I_2^1}$	$R_{I_3,I_1^0}$	$R_{I_3,I_0^0}$
$R_{I_2,I_5^3}$	$R_{I_2,I_4^2}$	$R_{I_2,I_3^2}$	$R_{I_2,I_2^1}$	$R_{I_2,I_1^0}$	$R_{I_2,I_0^0}$
$R_{I_1,I_5^3}$	$R_{I_1,I_4^2}$	$R_{I_1,I_3^2}$	$R_{I_1,I_2^1}$	$R_{I_1,I_1^0}$	$R_{I_1,I_0^0}$
$R_{I_0, I_5^3}$	$R_{I_0, I_4^2}$	$R_{I_0, I_3^2}$	$R_{I_0, I_2^1}$	$R_{I_0,I_1^0}$	$R_{I_0,I_0^0}$

Geological Unit/Interface relations

	$R_{U_F,I_5^3}$	$R_{U_F,I_4^2}$	$R_{U_F,I_3^2}$	$R_{U_F,I_2^1}$	$R_{U_F,I_1^0}$	$R_{U_F,I_0^0}$
	$R_{U_E,I_5^3}$	$R_{U_E,I_4^2}$	$R_{U_E,I_3^2}$	$R_{U_E,I_2^1}$	$R_{U_E,I_1^0}$	$R_{U_E,I_0^0}$
	$R_{U_D,I_5^3}$	$R_{U_D,I_4^2}$	$R_{U_D,I_3^2}$	$R_{U_D,I_2^1}$	$R_{U_D,I_1^0}$	$R_{U_D,I_0^0}$
	$R_{U_C,I_5^3}$	$R_{U_C,I_4^2}$	$R_{U_C,I_3^2}$	$R_{U_C,I_2^1}$	$R_{U_C,I_1^0}$	$R_{U_C,I_0^0}$
	$R_{U_B,I_5^3}$	$R_{U_B,I_4^2}$	$R_{U_B,I_3^2}$	$R_{U_B,I_2^1}$	$R_{U_B,I_1^0}$	$R_{U_B,I_0^0}$
	$R_{U_A,I_5^3}$	$R_{U_A,I_4^2}$	$R_{U_A,I_3^2}$	$R_{U_A,I_2^1}$	$R_{U_A,I_1^0}$	$R_{U_A,I_0^0}$

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**Figure 4.** Stratigraphic relations between specific interface-interface and geological unit-interface pairs and associated constraints. Constraints are colored according to their *above* (yellow), *below* (light purple), or *on* (green) spatial relation. For above relations (upper right matrix block), only the constraints on relations within distinct geological domains are considered while the remaining constraints are not used (red).

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To measure errors at some training iteration t between the implicit model  $\mathcal{M}$  at sampled interfaces and geological unit points  $\mathbf{x}_l$  and their associated constraints, an approximate signed distancedistances  $\delta_{\mathbf{x}_l, l_k^i}$  (Caumon, 2010; Taubin, 1994) (Fig. 3) from a reference interface  $I_{ks}$  modelled by  $\varphi^i$ , are used and defined as follows:

$$\delta_{\mathbf{x}_l, l_k^i} = \frac{\varphi_l^i - \bar{\varphi}_{l_k^i}^i}{\|\nabla \varphi_l^i\|}.$$
(6)

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is used. The magnitude of the scalar gradient  $\|\nabla \varphi_t^i\|$  in the denominator is an important term to account for changes in unit thickness between interfaces represented inby the scalar field. Smaller magnitudes correspond to thickening of units, while larger ones are indicative of unit thinning. Consequently, the approximate signed distances are a much more accurate measure of how far above or below a point is above some reference interface than the scalar differences themselves. This is because scalar values for various geological features are not meaningful in real-world distances and are not normalized between features.

The three loss functions for the *above*, *below*, and *on* stratigraphic constraints integrating all errors from sets of point sets  $X_{Y}$  are given by

$$\mathcal{L}_{Y}^{Above} = \sum_{Y_{j} \in Y}^{|Y|} \frac{1}{|X_{Y_{j}}|} \sum_{x_{l} \in X_{Y_{j}}}^{|X_{Y_{j}}|} \sum_{l_{k}^{k} \in B_{Y_{j}}}^{|B_{Y_{j}}|} \hat{\delta}_{x_{l}, l_{k}^{i}}, \quad \hat{\delta}_{x_{l}, l_{k}^{i}} = \begin{cases} \frac{|\varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{i}}^{i}|}{||\nabla \varphi_{l}^{i}||} & \varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{i}}^{i} < 0\\ 0 & \varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{i}}^{i} \ge 0 \end{cases}$$
(7)

$$\mathcal{L}_{Y}^{Below} = \sum_{Y_{j} \in Y}^{|Y|} \frac{1}{|X_{Y_{j}}|} \sum_{x_{l} \in X_{Y_{j}}}^{|X_{Y_{j}}|} \sum_{l_{k}^{l} \in A_{Y_{j}}}^{|A_{Y_{j}}|} \check{\delta}_{x_{l}, l_{k}^{l}}^{i}, \quad \check{\delta}_{x_{l}, l_{k}^{l}}^{i} = \begin{cases} \varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{l}}^{i} \\ \|\nabla \varphi_{l}^{i}\| & \varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{i}}^{i} > 0 \\ 0 & \varphi_{l}^{i} - \bar{\varphi}_{l_{k}^{i}}^{i} \le 0 \end{cases}$$
(8)

$$\mathcal{L}_{I}^{On} = \sum_{k}^{|I|} \frac{1}{|X_{I_{k}}|} \sum_{x_{l} \in X_{I_{k}}}^{|I| \times |X_{l}|} \left| \delta_{x_{l}, I_{k}^{l}} \right|, \tag{9}$$

285

 $|X_{I_1}|$ 

171

respectively. Note that  $A_{Y_j}$  and  $B_{Y_j}$  are a set of interfaces  $I_k^i$  that are above or below, respectively, a specific geological feature  $Y_j$  (either an interface or unit). For example, consider the loss function for the below constraints (Eq. 8) associated with the geological unit  $U_D$  from Fig. 4. In this case,  $Y_j = U_D$  and  $A_{Y_j} = A_{U_D} = \{I_5^3, I_4^2, I_3^2\}$  are the set of interfaces above that geological unit. The below constraints for this geological unit require that the points within the set  $X_{U_D}$  must be below the interfaces above  $A_{U_D}$ . If points  $\mathbf{x}_l \in X_{U_D}$  are above, or  $\varphi_l^i - \overline{\varphi}_{I_k}^i > 0$ , those points will have non-zero errors, otherwise the error will be zero (e.g., respect-constraint respected).

- Loss functions associated with above or below stratigraphic constraints are effective in constraining resultant implicit 295 models to respect the sequence provided by a given stratigraphic column. Not only do these loss functions ensure modelled interfaces and strata respect the stratigraphic sequence for each scalar field  $\varphi^i$  but also importantly, that they respect the presence of sampled interfaces and strata associated with other scalar fields. To clearly illustrate the latter, consider Fig. 5 where two unconformities are modelled separately with two scalar fields. Without these constraints, unconformities are modelled independently, a portion of the older unconformity is eroded incorrectly despite the presence of a valid
- 300 unconformity observation point (e.g., point 1 Fig.5a). With these constraints, all scalar fields are coupled so that the entire geological sequence of all sample interfaces and strata are honored/considered. This resolves an issue in other implicit approaches (Calcagno et al., 2008, de la Varga et al., 2019, Grose et al., 2021b) that treat each scalar field independently. And finally, these constraints help impose the correct scalar field polarity – e.g., the alignment of the gradient of the scalar field  $\nabla \varphi$  with younging direction (direction of younger stratigraphy) - even in circumstances where there are no bedding
- 305 observations available. Having the correct scalar field polarity is critical in assigning geological domains so that multiple scalar fields can be combined into a resultant scalar field respecting geological rules (erosional and onlap), as well as assigning geological units to modelled volumes (Sect. 2.6).



310 Figure 5. The effect of above and below stratigraphic constraints in coupling two scalar fields  $\varphi^0$  and  $\varphi^1$  modelling two unconformities. (a) Without using the constraints and (b) with using the constraints.

# 2.4.2 Interface constraints

For interface data, there are four interpolation constraints. Firstly, the variance of all scalar field values  $\varphi_{I_k^i}^i$  on a sampled

interface  $I_k^i$  are roughly zero as follows:

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$$Var\left(\varphi_{l_k^i}^i\right) = 0. \tag{10}$$

This iso-value constraint ensures that the scalar field at the sampled locations for k-th interface  $X_{I_k}$  are the same and has the following associated loss function:

$$\mathcal{L}_{I}^{var} = \sum_{l_{k} \in I}^{|I|} Var\left(\varphi_{l_{k}^{i}}^{i}\right). \tag{11}$$

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The other three constraints utilize the stratigraphic relations to enforce the above, below, and on constraints. Combined, the resulting loss function for interface data is <u>as follows:</u>

$$\mathcal{L}_{I} = \mathcal{L}_{I}^{var} + \mathcal{L}_{I}^{On} + \mathcal{L}_{I}^{Above} + \mathcal{L}_{I}^{Below}.$$

325 The first two loss functions both constrain the implicit model to respect the locations of sampled interfaces, while the last two ensure that the sequence of sampled interfaces respects the given stratigraphic column.

(12)

# 2.4.3 Geological unit constraints

To constrain the implicit model with geological unit data U, the above and below stratigraphic constraints are applied to this dataset. Consequently, the resulting loss function for geological unit data is as follows:

$$\mathcal{L}_{II} = \mathcal{L}_{II}^{Above} + \mathcal{L}_{II}^{Below}.$$
(13)

# 2.4.4 Orientation constraints

For an orientation data point  $\mathbf{x}_j \in X_{o^i}$  associated with a scalar field  $\varphi^i$ , an angular constraint  $\theta_j^c$  characterizes the angle between the orientation vector  $\mathbf{v}_j$  and the scalar gradient  $\nabla \varphi_j^i$  at  $\mathbf{x}_j$ . For normal data (e.g., bedding orientation with younging direction), the interpolation constraint is as follows:

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330

$$\theta^{C} = 0^{\circ}_{\overline{z}, \overline{z}}$$
(14)

while for tangent data (e.g., lineations, fold axis) it is as follows:

$$\theta^c = 90^\circ_{\pi}. \tag{15}$$

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The loss function associated with orientation data  $\theta^i$  measures angular errors (Fig. 3) between the given angular constraints  $\theta_i^c$  and angles  $\theta_i^i$  computed from the implicit model  $\mathcal{M}$  at some training iteration, and is given by

$$\mathcal{L}_{O} = \sum_{l=1}^{F} \frac{1}{|O^{l}|} \sum_{j \in O^{l}}^{|O^{l}|} |\cos\theta_{j}^{C} - \cos\theta_{j}^{i}|, \tag{16}$$

345 where  $cos\theta_i^i$  is computed from

$$\cos\theta_{j}^{i} = \frac{\boldsymbol{v}_{j} \cdot \nabla \varphi_{j}^{i}}{\|\boldsymbol{v}_{j}\| \|\nabla \varphi_{j}^{i}\|}$$

#### 2.4.5 Global smoothness constraint

It is well established, as previously mentioned in the introduction, that a disadvantage of implicit approaches for structural geological modelling is that they can produce modelling artifacts, commonly referred to as 'bubbly' artifacts, yielding geologically unreasonable models (de Kemp et al., 2017) particularly in complex structural settings- (de Kemp et al., 2017). One way to address this problem is to impose a global smoothness constraint over the modelling domain using energy minimization principles. Here<sub>x</sub> we use the following\_Eikonal constraint (Gropp et al., 2020);e.g., a unit-norm constraint, for this purpose) (Gropp et al., 2020):

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$$\left\|\nabla\varphi^{i}(\boldsymbol{x})\right\| = 1 \tag{18}$$

which has the following for this purpose. The associated loss function for the implicit model is as follows:

$$\mathcal{L}_{\xi} = \sum_{i}^{F} \frac{1}{|\Omega_{s}|} \sum_{x_{j} \in \Omega_{s}}^{|\Omega_{s}|} (||\nabla \varphi_{j}^{i}|| - 1|),$$

$$(19)$$

360 where  $\Omega_s$  are a set of points sampling the modelling domain  $\Omega$ . Due to the efficiency and computational scalability of MLP neural networks, sufficiently sampling the domain, even densely, is feasible. The effect of the global <u>smoothness</u> constraint on the scalar field is that it promotes sub-parallel geometries in nearby strata throughout a modelling domain. The effect is illustrated in the <u>first</u> case study (Sect. 3.1).

#### 365 2.4.6 Resultant loss function

The resultant loss function, or total loss function  $\mathcal{L}$ , for all geological constraints is simply the sum of the individual loss functions and is given by

$$\mathcal{L} = \mathcal{L}_I + \mathcal{L}_U + \mathcal{L}_0 + \lambda \mathcal{L}_{\xi} \,, \tag{20}$$

370 where the loss function  $\mathcal{L}_{\xi}$  for the global <u>smoothness</u> constraint is weighted by the lambda term,  $\lambda \rightarrow \geq 0$ . The larger its value the more scalar fields are smoothed. This function represents the loss landscape (Li et al., 2018), or objective function, given

a set of constraints and in which the learning algorithm attempts to find its minimum. The location in which the loss landscape is a minimum corresponds to the set of neural networks variables that yield minimal error between the network's predictions and geological constraints.

# 375 2.5 Training

An important training aspect to our proposed implicit neural representation<u>INR</u> networks is athe geometrical initialization of network variables. The variables are initialized such that the resulting outputted scalar field represents a shape with reasonable starting geometry for a specific geological application, which will be evolved through training by fitting given data constraints. Using standard variable random initialization schemes (Glorot and Bengio, 2010; He et al., 2015), resulting

- 380 output scalar fields can be far from an optimal starting point for training, especially as the network's complexity increases (Fig. 6a). Consequently, if the training algorithm is rerun many times using the same conditions (Fig. 6b), resulting structural models can exhibit large variance in modelled structures. To solve these issues, training starts with a geometrically reasonable scalar field by geometrical initialization of network variables. For stratigraphie<u>intrusive-like</u> modelling, network variables are initialized to produce a planar geometry (Fig. 6d), whereas for intrusive-like modelling, they are initialized to
- 385 produce a spherical geometry (Fig. 6c) (Atzmon and Lipman, 2020)...), whereas for stratigraphic modelling, they are initialized to produce a planar geometry (Fig. 6d).

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Figure 6. Scalar fields generated from initialization of network variables. (a) Effect of increasing network complexity on generated scalar field by increasing number of hidden layers N<sub>h</sub> and dimension of hidden representations d<sub>rep</sub>. (b) Scalar
fields generated from three random initializations of network variables. (c) Spherical initialization. (d) Planar initialization using pre-trained network applied to points sampling layer-cake volume.

To initialize our networks to produce a scalar field with a planar geometry we first pretrain a MLP network for 1000 epochs with the same parameterization (N<sub>h</sub>, d<sub>rep</sub>, σ) on a synthetic dataset densely sampling four layer-cake interfaces (Fig. 6d). The pre-trained network's parameters are saved and loaded into each of the *F* stacked MLP networks which are updated by training on an unseen stratigraphic dataset. This can be viewed as transfer learning (Zhuang et al., 2021); applying what is learned for one problem onto a similar problem. An added benefit to using pretrained networks is reproducibility in modelling results since the network is initialized with the same parameters. Furthermore, the number of training epochs to converge (e.g., minimal losses) is reduced.

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Another training aspect utilized in the proposed methodology is applying learning rate schedules in the Adam optimizer (Loshchilov and Hutter, 2017). Learning rate schedules adjust the learning rate during training by decreasing the rate according to a prescribed schedule. While the Adam optimizer does adapt the initialized learning rate on a per-parameter biases, there is a benefit to decreasing the adaptable learning rate with increasing training epochs. Empirically, we have found that applying either step decay or cosine annealing learning rate schedules yields much lower losses and consequently better data fitting characteristics.

#### 2.6 Geological domains and combining scalar field series

After implicit scalar functions are constrained by training, gridded points sampling a geological volume are inputted to the trained MLP network to generate F separate continuous scalar fields, each representing a distinct geological feature,

- 410 throughout the volume of interest (Fig. 7a). Since unconformity interfaces can erode (e.g., cut) older geological features, there are regions of space where those features are no longer present. To cut portions of a geological feature removed by an unconformity, its associated continuous scalar field is cut by the modelled unconformity interface. As a result, geological features are partitioned into geological domains (Fig. 7b) where those features are present, and their associated scalar fields and geological units are defined (Fig. 7c). Since a point in the modelled volume can only be associated with a single scalar
- 415 field, the scalar field series and their associated geological units is combined such that only the domain in which each scalar field and set of units is defined is merged into a resultant scalar field and geological unit model (Fig. 7d).



**Figure 7.** Constructing geological domains and combining scalar fields. (a) (top) Scalar field series and modelled interfaces with their regions (bottom) defined from associated inequalities. Scalar fields associated with unconformities have Boolean

420 masks M<sup>i</sup> defining above and below regions. (b) Geological domains constructed from Boolean masks. (c) Scalar fields and geological units assigned to geological domains. (d) Combined scalar fields and geological units.

Geological domains are spatial partitions created by boundaries defining discontinuous features (e.g., unconformities-and faults) within which continuous geological features (e.g., conformable stratigraphy) exist. In this paper, only unconformity boundaries are used to create geological domains, although the same ideaapproach can be used for faulting. See discussion (Sect. 4) for future work with incorporation of faulting. To construct geological domains, first mask arrays defining above and below (Fig. 7b) regions for each unconformity interface within the modelling volume are computed using the associated scalar field and inequalities. As mentioned previously, the notion of above/below a reference interface defined by an isovalue, also known as polarity, is provided by the scalar gradient (Fig. 7a) that points in the direction of younger stratigraphy

430 (e.g., Younging direction). For example, volumes above and below an interface  $I_5$  modelled with  $\varphi^3$  are defined, respectively, by

$$\varphi^3 \ge \overline{\varphi}_{l_5^3}^3$$

$$\varphi^3 < \overline{\varphi}_{l_3^3}^3$$
(21)

where \$\overline{\Pi}\_{I\_{0}^{3}}\$ is the iso-value associated with \$I\_{5}\$. The mask array \$M^{i}\$ associated with the unconformity is set to *True* wherever
above the interface, and *False* below it. Secondly, from the geological rules associated with the unconformity scalar fields, an appropriate set of Boolean logic is applied to the mask array(s) to define the geological domain. For example, consider domain \$D^{1}\$ in Fig. 7b, it is defined wherever it is below \$I\_{5}\$ (where \$M^{3}\$ is false; \$!M^{3}\$) and above \$I\_{2}\$ (where \$M^{1}\$ is true; \$M^{1}\$). Domains for older geological features have a biggerlarger set of Boolean logic applied to mask arrays since there are more younger unconformities that can erode those volumes as compared to younger geological features. With the geological domains thereby combining all the scalar fields and geological units into a resultant three-dimensional geological volumetric model.

#### 2.7 Iso-surface Extraction

Iso-surface extraction methods can be applied to specific regions of implicit scalar field volumes provided appropriate Boolean masks are given and can be useful for obtaining the geological horizons of conformal domains. However, obtaining
unconformity interfaces using this approach will lead the production of anti-aliasing artifacts in triangulated surfaces. To resolve this issue, we develop an algorithm (see Algorithm 1 in Appendix A) using the open-source library PyVista (Sullivan and Kaszynski, 2019) to generate all iso-surfaces that can be cut by unconformities. The algorithm first extracts the set of continuous iso-surfaces for each of the *F* scalar fields computed within a gridded volume. Next, the set of iso-surfaces are

iterated on, going from oldest to youngest and processed. For a given surface being processed, that surface is progressively 450 cut by younger unconformities above it in the stratigraphic column, again going from older to younger.

# 3. Case StudyStudies

Modelling results produced by the proposed methodology for a real-world case study of a sedimentary basin are presented here to demonstrate proof of concept. The dataset used for this purpose is a compilation of formation tops and unconformity picks, extracted from March and Love (2014), from the Lower Paleozoic portion of the Western Canadian Sedimentary 455 Basin (WCSB) in Saskatchewan, Canada. The interface constraint data consisted of 4708 Modelling results are presented for two real-world case studies to demonstrate proof of concept: (1) a sedimentary basin with large, dense, and noisy well data, and (2) a deformed metamorphic setting with sparse outcrop data. For both case studies, the learnable variables of our network, called GeoINR, are initialized with pre-trained models with planar geometry (described in Sect. 2.5) using the model parameters summarized in Table 1.-tops and unconformity picks sampling 4 unconformities and 3 conformable 460 horizons. The depths of the picks were interpreted from geophysical well logs and correlated to core samples when available. Due to the interpretative nature of the constraint data an attribute for all real-world geological datasets - the data can be characterized as noisy as their exact positions are uncertain (Fig. 8a (right)). Moreover, this presents an opportunity to test whether the proposed methodology can be useful for generating three-dimensional geological models from regional compilation datasets commonly found in Geological Survey Organizations (GSO). In addition to interface constraint data, 465 augmented data consisting of intraformational units were generated by sampling along well intervals to demonstrate their modelling impact as compared to using only interface data. This augmented data is not required to produce a geologically representative model (Fig. 8b) but serves to demonstrate that the methodology successfully handles this type of data. Intraformational units are sampled along a well interval between two interfaces only if the interfaces are stratigraphically adjacent. Interpreted depths of successive formation tops (c.g., interfaces) along the well path may not always be 470 stratigraphically adjacent, either because the top could not be identified or that a portion of stratigraphy was eroded. In these cases, intraformational units are not sampled along a well interval (Fig. 9). For the case study, sequenced well intervals were sampled at every 20 meters (vertical resolution of our voxel grid; 5 km was the horizontal resolution) and generated 11270 sampled intraformational units.





**Figure 8.** Modelling results for the Lower Paleozoic portion of the WCSB in Saskatehewan generated using the proposed GeoINR methodology. Data and modelled results use 100x vertical exaggeration to visualize provincial scale model. (a) Model's geographical coverage, stratigraphic column, formation tops and sampled intraformational data constraints (smaller

spheres). (b) Modelled horizons, resultant scalar field and formation units. (c) Section view highlighting data fitting
 characteristics and the effect of removing intraformational units from computation. (d) Side view highlighting geometry of unconformities in modelled interfaces and associated resultant scalar field. (e) Effect of using global smoothness constraint on a scalar field.



Figure 9. Sampling intraformational units (triangles) along well path.

Organizing the geological point dataset first requires all necessary knowledge to be extracted from the stratigraphic column.-Stratigraphic knowledge including the geological rule of the interface (e.g., erosional, or onlap (conformable)) and the set of interfaces above and below each interface and geological unit are tabulated (Table 1 and 2). Corresponding tables describe the set of stratigraphic relations (Fig. 4), and which scalar field series is associated to a particular interface or unit. This information is used for implementation purposes so that associated loss functions can be computed measuring errors between the stratigraphic constraints and the current version of the model at some training iteration *t*.

- 495 As with any machine learning algorithm, neural network inputs require normalization for the network to learn useful latent representations and yield accurate predictions. Inputs for implicit neural representation networks, which are spatial coordinates in this case, are normalized to some range for each coordinate dimension. For the proposed network architecture, we normalize each coordinate dimension to range from [-1, 1]. It is worth noting that if the coordinate ranges ( $\Delta x$ ,  $\Delta y$ ,  $\Delta z$ ) for a given dataset are not equal than each coordinate dimension will have a different scaling term. As a result, scalar gradients 500 will be transformed in this new space. If orientation data is available, then the scalar gradients computed from the network are straightforwardly transformed back into the original space. This is required to accurately measure the angular residuals to constrain that have a finite space to be a given the space of data.
  - constrain that type of data. Since in the present case study orientation data is not used, this aspect was not required. Note that for datasets covering a large geographical area, such as the dataset in this case study, having a constant scaling term for each coordinate is not possible. This is because the  $\Delta x$ ,  $\Delta y$  are multiple orders of magnitude larger than  $\Delta z$ . Scaling all coordinate

505 dimensions by a single scaling term for these types of datasets result in negligible variation in z coordinates that are not useful for the network; network's training losses do not decrease with training.

For this case study, the learnable variables of our network, called GeoINR, with model parameters summarized in Table 34 are initialized using the pre-trained model with planar geometry described in Sect. 2.5. These variables are updated using the 510 Adam optimizer within the Pytorch framework so that modelling errors are minimized during training through the backpropagation process. Moreover, the cosine annealing learning rate scheduler was used with this optimizer. The networks modelarchitecture parameters (Table 3) $N_h$ ,  $d_{rep}$ ,  $\sigma$ ) and learning rate were established from INR literature (Atzmon and Lipman, 2020; Gropp et al., 2020; Park et al., 2019; Sitzmann et al., 2020; Tancik et al., 2020) and refined through trial and error using various combinations of parameter values. For this case study and other synthetic structural geological models, 515 these parameters produce structurally consistent models with respect to the sampled point constraints. The non-linear activation function used for our networknetworks was the parameterized Softplus function

$$\sigma(x) = \frac{1}{\beta} log (1 + e^{\beta x})$$
<sup>(22)</sup>

where the parameter  $\beta$  controls the variability of modelled interfaces. Smaller values of  $\beta$  produce flatter modelled 520 interfaces, whereas higher values produce more locally variant modelled interfaces. Two three dimensional implicit geological models are produced using these neural network parameters, one using both interface and intraformational points while the other having just interface points. After training, inference is performed on 4,204,592 points from a voxel grid having 5 km horizontal resolution, and For these case studies and other synthetic structural geological models, these parameters produce structurally consistent models with respect to the sampled point constraints.

vertical

Table 1. GeoINR model parameters values for case studies. 525 20

m

resolution.

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Parameters	Table 1. Interface	Case Study 2		Inserted Cells
	information. Case	(outcrop dataset)		Inserted Cells
	Study 1			
	(well dataset)		 •	Formatted: Font color: Black
<u>Number of hidden layers</u> N <sub>h</sub>	<u>3</u>	<u>3</u>		<b>Formatted:</b> Centered, Indent: First line: 0.3 cm, Space A
Dimension of representations $d_{rep}$	<u>256</u>	<u>256</u>		
Learning rate	0.0001	0.0001		
<u>Non-linear activation function <math>\sigma</math></u>	<u>Softplus (<math>\beta = 100</math>)</u>	<u>Softplus (<math>\beta = 20</math>)</u>		
Number of training epochs	<u>5000</u>	<u>2000</u>		
<u>Global constraint weight <math>\lambda</math></u>	<u>0.1</u>	<u>0.0</u>		
Grid horizontal (xy) resolution	<u>5000 m</u>	<u>100 m</u>		
Grid vertical (z) resolution	<u>20 m</u>	<u>10 m</u>		

Organizing geological point datasets first requires all necessary knowledge to be extracted from a stratigraphic column. Stratigraphic knowledge including the geological rule of the interface (e.g., erosional, or onlap (conformable)) and the set of interfaces above and below each interface and geological unit are tabulated (see Appendix B for tables associated with both case studies). Corresponding tables describe the set of stratigraphic relations (Sect. 2.4.1) and associate interfaces and units to a particular scalar field among the series. This information is used for implementation purposes so that associated loss functions can compute measuring errors between the stratigraphic constraints and the version of the model at some training iteration t.

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As with any machine learning algorithm, neural network inputs require normalization for the network to learn useful latent representations and yield accurate predictions. Inputs for INR networks, which are spatial coordinates in this case, are normalized to some range for each coordinate dimension. For the first case study, each coordinate dimension is normalized to the [-1, 1] range. This is particularly important for the first case study where the dataset is covering a large geographical area. Having a constant scaling term for each coordinate dimension for this case study is not possible because there would be negligible variation in z coordinates; network's losses do not decrease with training. In addition, it is worth noting that if orientation data are available for datasets covering large geographical areas (>1000 km), scalar field gradients computed from the network are required to be transformed back into the original space – using the associated scaling term for each coordinate dimension. This is required to accurately measure the angular residuals to constrain orientational data. For the second case study, each coordinate dimension has the dataset's center subtracted then scaled by the maximum range of

 $\Delta x$ ,  $\Delta y$ ,  $\Delta z$  (Hillier et al., 2021) since there is sufficient variation in normalized z-coordinates due to the much smaller geographical extents.

After the networks are trained using the supplied data and knowledge constraints, inference is performed on all the points 550 within a voxel grid (e.g., grid corners) covering the volume of interest. At these points, predicted scalar field values and geological units are computed. Once computed, scalar fields and geological units are assigned to geological domains, followed by iso-surface extraction of modelled interfaces.

Results for both case studies were obtained using a high-end desktop PC with an Intel Core i9-9980XE CPU and a single 555 NVIDIA RTX 2080 Ti GPU.

# 3.1 Provincial-scale Sedimentary Basin Case Study

The first case study is of a provincial-scale sedimentary basin covering an area approximately 451,000 km<sup>2</sup> using a well dataset of formation tops and unconformity picks from the Lower Paleozoic portion of the Western Canadian Sedimentary

- 560 Basin (WCSB) in Saskatchewan, Canada (extracted from March and Love (2014)). The interface constraint data consists of 4708 formation tops and unconformity picks sampling 4 unconformities and 3 conformable horizons. The depths of the picks were interpreted from geophysical well logs and correlated to core samples when available. Due to the interpretative nature of the constraint data, it can be characterized as noisy as their exact positions are uncertain (Fig. 8a (right)). But this presents an opportunity to test whether the proposed methodology is useful for modelling data commonly obtained by Geological
- 565 Survey Organizations (GSO). In addition to interface constraint data, augmented data consisting of intraformational units were generated by sampling along well intervals to demonstrate their modelling impact. This augmented data is not required to produce a geologically representative model (Fig. 8b), but serves to demonstrate that the methodology successfully handles this type of data. Intraformational units are sampled along a well interval between two interfaces only if the interfaces are stratigraphically adjacent. Interpreted depths of successive formation tops (e.g., interfaces) along the well path 570 may not always be stratigraphically adjacent, either because the top could not be identified or that a portion of stratigraphy was eroded. In these cases, intraformational units are not sampled along a well interval (Fig. 9). For this case study, sequenced well intervals were sampled at every 20 meters (vertical resolution of our voxel grid; 5 km was the horizontal resolution) and generated 11270 sampled intraformational units. Two three-dimensional implicit geological models are

produced, one using both interface and intraformational points while the other having just interface points.

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Without Global Smoothness Constraint

**Figure 8.** Modelling results for the Lower Paleozoic portion of the WCSB in Saskatchewan generated using the proposed GeoINR methodology. Data and modelled results use 100x vertical exaggeration to visualize provincial scale model. (a) Model's geographical coverage, stratigraphic column, formation tops (larger spheres) and sampled intraformational data

580 constraints (smaller spheres). (b) Modelled horizons, resultant scalar field and formation units. (c) Section view highlighting data fitting characteristics and the effect of removing intraformational units from computation. (d) Side view highlighting geometry of unconformities in modelled interfaces and associated resultant scalar field. (e) Effect of using global smoothness constraint on a scalar field.

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Interface	Name	Geological Rule	Series	<del>Unit</del> Above	<del>Unit</del> <del>Below</del>	Above Interfaces	Above Series	Below Interfaces	Below Series
I <sub>6</sub>	<del>Lower Palco</del> <del>Une</del>	Erosional	$\varphi^4$	₽	6	<del>n/a</del>	<del>n/a</del>	<del>n/a</del>	<del>n/a</del>
$I_5$	Stonewall	Onlap	$\varphi^3$	6	≨	$I_6$	$arphi^4$	$I_4, I_3, I_2$	$\varphi^3, \varphi^3, \varphi^2$
$I_4$	<del>Stony</del> <del>Mountain</del>	Onlap	$\varphi^3$	5	4	I <sub>6</sub> , I <sub>5</sub>	$arphi^4$ , $arphi^3$	$I_3, I_2$	$arphi^3, arphi^2$
$I_3$	Red River	Onlap	$\varphi^3$	4	€	$I_6, I_5, I_4$	$arphi^4, arphi^3, arphi^3$	$I_2$	$\varphi^2$
$I_2$	Sub RR Une	Erosional	$\varphi^2$	₽	≩	$I_6, I_5, I_4, I_3$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3$	<del>n/a</del>	<del>n/a</del>
$I_1$	Sub Wpg Une	Erosional	$\varphi^1$	⊋	ŧ	$I_6, I_5, I_4, I_3, I_2$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2$	<del>n/a</del>	<del>n/a</del>
I <sub>0</sub>	Precambrian	Erosional	$arphi^0$	+	0	$I_6, I_5, I_4, I_3, I_2, I_1$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^3, \varphi^2, \varphi^1$	<del>n/a</del>	<del>n/a</del>

The sequence of above/below interface and series are associated. For example, consider the below interfaces and series for  $I_{\pm}$ . The interface  $I_{\pm}$  is associated with series  $\phi^3$ . Similarly, interface  $I_{\pm}$  is associated with series  $\phi^3$ .



590 Figure 9. Sampling intraformational units (

Table 2. Formation unit information.

smaller circles) along well path.

<u>Ta</u> <u>ble</u> <u>2</u> <del>U</del> nit	Name	Series	<del>Unit</del> Above	<del>Unit</del> <del>Below</del>	Above Interfaces	Above Series	<del>Below</del> Interfaces	Below Series
$U_7$	Above Youngest (7)	$arphi^4$	<del>n/a</del>	6	<del>n/a</del>	<del>n/a</del>	$I_6$	$arphi^4$
$U_6$	Interlake (6)	$\varphi^3$	₽	5	$I_6$	$arphi^4$	$I_5, I_4, I_3, I_2$	$\varphi^3, \varphi^3, \varphi^3, \varphi^2$
$U_5$	Stonewall (5)	$\varphi^3$	6	4	I <sub>6</sub> , I <sub>5</sub>	$arphi^4$ , $arphi^3$	$I_4,I_3,I_2$	$\varphi^3, \varphi^3, \varphi^2$
$U_4$	Stony Mountain (4)	$\varphi^3$	÷	≩	$I_6,I_5,I_4$	$\varphi^4$ , $\varphi^3$ , $\varphi^3$	$I_3, I_2$	$arphi^3, arphi^2$
$U_3$	Red River (3)	$\varphi^3$	4	Ŧ	$I_6, I_5, I_4, I_3$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3$	$I_2$	$\varphi^2$
$U_2$	Winnipeg (2)	$\varphi^1$	3	ŧ	$I_6, I_5, I_4, I_3, I_2$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^3, \varphi^2$	$I_1$	$\varphi^1$
$U_1$	Deadwood (1)	$\varphi^0$	₹	₽	$I_6, I_5, I_4, I_3, I_2, I_1$	$\varphi^4,\varphi^3,\varphi^3,\varphi^3,\varphi^2,\varphi^1$	$I_0$	$arphi^{0}$
$U_0$	Precambrian (0)	$arphi^0$	ŧ	<del>n/a</del>	$I_6, I_5, I_4, I_3, I_2, I_1, I_0$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2, \varphi^1, \varphi^0$	<del>n/a</del>	<del>n/a</del>

# 595 Table 3. GeoINR model parameters values.

Parameters	Value
Number of hidden layers N <sub>R</sub>	3
Dimension of representations	<del>256</del>
d <sub>rep</sub>	
Learning rate	<del>0.0001</del>
Non-linear activation function	Softplus ( $\beta = 100$ )
Number of training epochs	<del>5000</del>
Global constraint weight $\lambda$	0.1

# Table 4. GeoINR model performance metrics for case study 1.

Model	Metric	Value
With intraformational	Interface loss $\mathcal{L}_I$	0.0119
constraints	Unit loss $\mathcal{L}_U$	0.0010
- 4708 interface pts - 11270 intraformational pts	Global Smoothness loss $\mathcal{L}_{\xi}$	0.0059
- 5000 pts for global constraint	Per epoch training time	0.4 s
	Inference time for voxel grid	1.4 s
	$\overline{\Delta d}_{Train}$	6.4 m
	k-fold (20) $\overline{\Delta d}_{Test}$	31.0 m [7.8, 412.1]
	k-fold (10) $\overline{\Delta d}_{Test}$	27.0 m [10.0, 170.2]
	k-fold (5) $\overline{\Delta d}_{Test}$	18.5 m [10.4, 47.8]
	k-fold (2) $\overline{\Delta d}_{Test}$	13.0 m [12.8, 13.1]
Without intraformational	Interface loss $\mathcal{L}_I$	0.0122
constraints	Global Smoothness loss $\mathcal{L}_{\xi}$	0.0046
- 4/08 interface pts - 5000 pts for global constraint	Per epoch training time	0.2 s
···· ·· ·· 8·····	Inference time for voxel grid	<del>1.4 s<u>1.4 s</u></del>
	$\overline{\Delta d}_{Train}$	6.7 m
	k-fold (20) $\overline{\Delta d}_{Test}$	21.7 m [10.1, 191.4]
	k-fold (10) $\overline{\Delta d}_{Test}$	17.5 m [10.8, 58.1]
	k-fold (5) $\overline{\Delta d}_{Test}$	16.2 m [12.1, 27.7]
	k-fold (2) $\overline{\Delta d}_{Test}$	15.6 m [15.5, 15.7]

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 $\overline{\Delta d}_X$  is the mean distance residual between modelled interfaces and pointset *X*, either a training set or a test set. Brackets [] indicate the range of  $\overline{\Delta d}_{Tes}$  values from a set generated by each *k*-fold cross-validation procedure. 600

Results were obtained using a high end desktop PC with an Intel Core i9-9980XE CPU and a single NVIDIA RTX 2080 Ti GPU.

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The presented data and resulting models in Fig. 8 all have a vertical exaggeration of 100x so that the data and variation of geological structures can be visualized. For this dataset, the augmented intraformational points provided incremental refinements to modelled structures. An example of structural refinements is shown on a cross section taken from the middle

portion of the model (Fig. 8c). The model generated made without the intraformational units (transparent curves) has the sub-Winnipeg unconformity (orange) positioned lower than it should since in that location there are no interface points sampling that unconformity. In addition, there are slight geometry changes for other interfaces with the model using intraformational units (solid curves) that are attributed to the presence of different units located off section. How the unconformities cut older 610 stratigraphy and other unconformities can be clearly seen in modelled interfaces and resultant scalar fields in Fig. 8d, along with the visually impressive data fitting characteristics (also seen in Fig. 8b (left), 8c). The effect of adding the global smoothness constraint, or Eikonal constraint (Eq. 18), can be seen in a scalar field associated with the youngest unconformity ( $\varphi^4$ ) shown in Fig. 8e (top). Without a global smoothness constraint, production of implicit modelling artifacts 615 (e.g., isolated bubbles Fig. 8e (bottom)) can occur when paired with large training epochs and noisy datasets.





Figure 10. Loss function plots of constraints used for network training for the two sedimentary basin models produced using interface data only (left) and using both interface and intraformational data (right). Insets show loss function plots in the first 30 training epochs.

Resulting model performance metrics on both datasets used in thethis case study are summarized in Table 42. These metrics include loss function values at the last training iteration, computing times, mean distance residuals between modelled 625

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interfaces and associated pointsets, and k-fold cross validation results. Loss function plots for constraints used in the case study are provided in Figure 10 to show how well they fit and their relative impact during network training. For the datasets used, the above/below stratigraphic relationship constraints significantly impact early (< 30 epochs) training dynamics so that modelled geological structures rapidly satisfy supplied knowledge constraints. In training epochs greater than 30, the total loss is more impacted by on stratigraphic and global smoothing (Eikonal) constraints to locally refine and better fit modelled structures to individual observations. For computing times, per epoch training times on one GPU led to a total of

- 630 ~35 minutes for the model with intraformational constraints and a total of ~20 minutes without when using 5000 training epochs. A larger number of training epochs was chosen to achieve the smallest total error possible. However, even models generated with 1000 epochs were geologically representative of the basin, but had larger fitting residuals. Note these computing times can be reduced by simply adding more GPUs and performing distributed training. The tabulated mean distance residuals, a real-world distance, were computed for the generated models using PyVista (Sullivan and Kaszynski,
- 635 2019) to give an intuitive notion of how well the GeoINR network fits the provincial scale dataset. The mean distance residuals using the whole dataset,  $\overline{\Delta d}_{Train}$ , was 6.4 meters and 6.7 meters for the model including intraformational constraints and without, respectively. Most constraints had distance residuals near 0 meters, however some constraints had larger residuals; some data constraints exhibit a vertical shift upward in comparison to the other wells in the immediate vicinity surrounding the well. This could be due to faulting, highly variable localized structures, or miss-interpretation.
- Finally, a systematic k-fold cross validation (Rodríguez et al., 2009) analysis was completed to estimate the prediction error of the GeoINR network where there is no data available in the modelling domain and assess if the network suffers from overfitting. This analysis involved splitting the dataset into k partitions or 'folds' and configuring them into k splits. For each split, the GeoINR network is trained using k 1 of the folds as training data using the same parameters in Table 31. Once the split is trained, the resulting model is validated on the remaining part of the data, called test data, where the mean
- distance residuals are computed. The mean distance residuals on the test data *Ad*<sub>*Test*</sub> are averaged over all splits and tabulated in Table 42. This procedure was performed for *k* = 20, 10, 5, 2 and for both with and without intraformational constraints. From these results, it is evident that the GeoINR network has a reasonably low prediction error, especially given the provincial scale of the geological model and the network does not suffer from overfitting. See Appendix BC for a more detailed summary of the *k*-fold cross validation results.

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**Figure 11**. Similarity between GeoINR model (left) for Lower Paleozoic portion of WCSB and Gocad model (right) for the same region. (a) Modelled interface surfaces from two perspectives for both models. (b) Geological volume similarity between models.

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In addition to the model performance metrics provided, we also present qualitative and quantitative comparisons of our three-dimensional geological model – constructed using interface and intraformational data – to a recent version of the model for the same region constructed using a hybrid implicit-explicit approach with Gocad/SKUA<sup>TM</sup> (geomodelling software) (Bédard et al., 2023). As shown in Figure 11, it is clearly demonstrated that the developed methodology can produce geologically consistent modelling results since both models are so similar (96%). The small differences (4%) between them are attributed to the Gocad model using: 1) updated top formation markers, 2) different interface relationships (e.g., erosional, onlap) for interfaces  $I_0$ ,  $I_1$ ,  $I_2$ , and 3) extensive manual editing (e.g., explicit modelling) to refine implicitly modelled geometries to be conform to depositional outlines available for each of the formations. Furthermore, it must be

665 recognized the similarity not only signals an excellent correspondence between models, but supports the validity of both models through their cross-correlations; and the 4% difference does not necessarily represent an error on either side, given the overall uncertainties of modelling over such a large area.

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# 3.2 Regional Outcrop Case Study

- 670 The second case study utilizes a regional-scale outcrop dataset from Central Baffin Island, Canada (de Kemp et al., 2001; Scott et al., 2002; St-Onge et al., 2002). It consists of data from a deformed metamorphic setting having Archean-aged structural domes, composed of primarily felsic gneisses and plutonic rocks, that are basement to Paleoproterozoic rocks (Fig. 12a). The region is associated with a Himalayan-scale collisional mountain belt – the Trans Hudson Orogen – and consequently geologically complex. The dataset consists of 23 planar orientations (e.g., normals) sampled from the structural
- 675 map (Fig. 12b), 352 geological unit (e.g., intraformational) observations (Fig. 12c), and 6 interface observations (Fig. 12d). While the geological unit and orientation observations were taken in the field, the limited number of interface observations were randomly sampled from the geological map. For this case study, the objective is to demonstrate that the developed methodology can generate representative three-dimensional geological models from typical outcrop datasets: i.e. with limited interface data, moderate geological unit information, and orientation observations. The resulting three-dimensional
- 680 geological models are validated by visually comparing the modelled objects with the generalized geological source map (Fig. 12c) of the structural domes (red – Na-g), the onlapping quartzite (yellow – Pp-PD), and the overlying units (blue – Pp-PL). To facilitate comparison, the three-dimensional modelling results (Fig. 12e,f (right)) are clipped at the topographic surface (Fig. 12e,f (left), g).



685 Figure 12. Modelled geological map patterns using outcrop dataset in deformed metamorphic setting containing structural domes (red) and onlapping quartzite (yellow). (a) Geology source map for region of interest. (b) Structural map of available orientation observations. (c) 3-class generalized source map. (d) Three-dimensional data constraints. (e) Results using only limited interface and moderate intraformational data. (f) Results adding orientation data. Insets are extracted modelled interface surfaces. (g) Results from increasing number of interface points.

Three sets of modelling results are presented. First, only the limited interface and moderate intraformational data are used (Fig 12e). The resulting modelled map pattern with this data closely matches the expected pattern on the generalized map (Fig. 12c). Second, in Fig. 12f, the sampled orientation observations were added, resulting in an even better match: the quartzite (yellow) between the two domes (red) is no longer connected. Note that the addition of orientation data strongly

695 influenced modelled geometries, which then better conforms to the observed orientational data. Third, in Figure 12g), the addition of more interface points (sampled from map contacts) results in only minor model refinement. This case study, therefore demonstrates the ability to successfully model a complex geological scenario with limited interface data, which is typical of outcrop datasets.

# 700 **Table 3.** GeoINR model performance metrics for case study 2.

Model	Metric	Value
Limited interface and	<u>Interface loss <math>\mathcal{L}_I</math></u>	<u>2.0e-7</u>
intraformational constraints	<u>Unit loss</u> $\mathcal{L}_U$	<u>3.4e-5</u>
- 352 intraformational pts	Per epoch training time	<u>0.028 s</u>
Limited interface, intraformational,	Interface loss $\mathcal{L}_I$	4.8e-7
orientation constraints	<u>Unit loss</u> $\mathcal{L}_U$	7.1e-5
- <u>6 interface pts</u> - 352 intraformational pts	<u>Orientation loss <math>\mathcal{L}_{O}</math></u>	4.2e-4
- 23 orientation pts	Per epoch training time	<u>0.061 s</u>

Quantitatively, model performance metrics for this case study are summarized in Table 3. Note that the global smoothness constraint  $\mathcal{L}_{\mathcal{F}}$  was not used during training. This was because the dataset did not require smoothing since there was minimal 705 data noise (e.g., nearby observations are geologically consistent). In addition, note from the summarized metrics it is possible to achieve near exact fitting (e.g., total loss < 0.0001 after 2000 training epochs) while maintaining geologically reliable models. Finally, detailed loss plots (Fig. D1) are provided in Appendix D for those interested in a deeper understanding of the impact of individual loss functions for all geological models generated.

# 710 4 Discussion

Our results show that-INR networks can be successfully applied to generate a diverse range of geological settings, using well and outcrop datasets. In the first case study (Sect. 3.1), these networks were shown to be capable of generating large-area basin-scale three-dimensional geological models containing numerous unconformities and conformable stratigraphic interfaces from large and noisy regional compilation well datasets. While the intraformational constraints only provided 715 incremental improvements to the implicit<u>basin</u> model for the presented case study, they did serve a purpose in demonstrating they work<u>help</u> demonstrate their compatibility with the methodology. Furthermore<u>However</u>, these types of constraints could provide larger improvements to modelled structures in datasets with proved to have much more impact on modelling with outcrop datasets, which have significantly fewer interface constraints, as is commonly found in outcrop datasets where interface observations are rare. In addition, they couldpoints (Sect. 3.2). They could also provide a mechanism for integratingbetter leveraging geological maps in the modelling process, by samplingincorporating points sampled within unit polygons and feeding those point constraints in the modelling and adding a <u>appropriately</u> weighting term to those loss functions so that the algorithm does not exactly fit those points but use them as a guide to constrain the model.<u>them in loss functions</u>. Finally, it is clear, though unsurprising, that orientation constraints can strongly influence and improve modelled geometries of geological structures, especially in highly deformed geological settings and sparse data scenarios.

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The ability of INR networks to process above and below inequality constraints on stratigraphic relations (Eqns. 4, 7, 8), and demonstrated in the case study, show thatshows these networks can be used efficiently to-incorporate newatypical knowledge constraints derived from stratigraphic columns and geological rules (e.g., erosion, onlapping) between various structures.). In comparison, classical implicit interpolation methods require solvingmust solve computationally expensive convex optimizations in order to incorporate inequalitysuch constraints-with, resulting in poor scaling as the number of constraints increase. Moreover, these classical methods only apply inequality constraints to a single scalar field, to the best of the authors' knowledge, and not across a series of scalar fields to couple them. Since, In contrast, neural networks do not require solvingneed to solve such expensive optimizations, and that they can efficiently couple a series of scalar fields, they are effective in incorporating the comprehensive suite to apply constraints across them, thus enabling improved integration of knowledge-constraints on stratigraphic relations.

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Iso-values associated with modelled interfaces in the proposed methodology vary in every training iteration of the learning algorithm, so-that modelling results are independent of user-defined iso-values. While defining specific interface iso-values is a straightforward way to encode the stratigraphic sequence (e.g., larger values are younger than smaller values), it is not optimal. Assigning specific iso-values for interfaces heuristically (e.g., uniformly distributed between some numerical range) can negatively impact resulting modelled geometries. This is particularly evident when dealing with different unit thicknesses, varying unit thicknesses across the modelling domain, and as the number of many interfaces increases. With the proposed methodology, the, However, the GeoINR algorithm learns avoids these issues by learning the optimal set of interface iso-values for the interfacesduring training, thus permitting more complex geological structures to be modelled. It is important to note that the stratigraphic constraints (Sect. 2.34.1) embed the knowledge of the stratigraphic sequence-so

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that the, with resulting interface iso-values respectrespecting that sequence.

Loss functions used to constrain resulting implicit scalar functions make frequent use of scalar field gradients  $\nabla \varphi^i$ computed on pointsets point sets. To compute the gradient of a scalar field generated by an implicit function parameterized 750 by a neural network for an inputted input point, the chain rule is applied to the networks output,  $\varphi^i(x)$ , with respect to the coordinates of the point x = (x, y, z). For An advantage of machine learning programming frameworks (Pytorch, Tensorflow) this is straightforwardly the straightforward and efficiently computed inefficient method of gradient computation, which requires only one line of code. Note that higher-order n-th derivatives may also be similarly computed (e.g., useful for Laplacian or curvature computations) provided that the non-linear activation function  $\sigma$  areas at least n times 755 differentiable.

Comparisons of Although the proposed methodology MLP network architecture parameters  $(N_{h}, d_{ren}, \sigma)$  used in this contribution (Table 1) generated reliable and accurate three-dimensional modelling results, the architecture may not be optimal for all geological scenarios. As a general principle, increasing the number of hidden layers  $N_h$  tend to the recent 760 GNN deep learning approachimprove the capacity of the network to model more complex structures, whereas increasing the dimensionality of representations  $d_{rep}$  (number of the neurons in a layer) tend to improve the smoothness of modelled geometries (Hillier et al., 2021) for three dimensional structural geologic modelling will be described.). But these effects have diminishing returns as these parameters are further increased. It is important to note that the use of different non-linear activation function  $\sigma$  can dramatically affect modelled geometries. Empirically, we tested all currently available activation 765 functions within the Pytorch framework, and found the two most reliable activation functions were ReLU and Softplus. In this paper, we used a parameterized Softplus activation function that generated far smoother geometries and improved data fitting compared to the commonly used ReLU. ReLU activation functions typically result in modelled geometries with sharp creases, which could be more useful in brittle geological settings. In scenarios where these architectural parameters are not ideal, automated tools are available for optimizing them (Liaw et al., 2018). In general, the best architecture to use for a

770 particular geological scenario is an open research question. This motivates the development of standardized threedimensional geological models to be used for benchmarking different methods and their parameterizations.

Several interesting points arise from comparing the GeoINR and GNN deep learning approaches (Hillier et al., 2021) for three-dimensional geological modelling. First, the generation of latent representations (e.g., embeddings, features) for MLP 775 networksin GeoINR are at a minimum two orders of magnitudes faster than Hillier et al. (2021) in GNN. Second, the proposed approachGeoINR does not require the generation of an unstructured volumetric grid. This requirement prohibits (e.g., tetrahedral mesh), enabling the development of high-higher resolution models eovering large geographicalover larger areas. For example, for the modelling domain in the provided provincial case study, athe GNN tetrahedral mesh with varying resolution vielded a tetrahedral mesh requiring required ~10 GB of storage, whereas for athe GeoINR voxel grid with a highresolution in the-vertical dimension, required only ~150 MB of storage for the points., resulting in significant computational 780

efficiencies. Lastly, we determined the GeoINR models seemed superior as the scalar fields generated by GNNs were much noisier, as the graph-structure, an unstructured mesh, and the associated graph-based convolution operations used in the GNN approach yielded worse modelled geological structures as compared with ones generated using MLP networks; the scalar fields generated by GNN's were much noisier. The reason for this is that the graph edges, edges belonging to faces of 785 tetrahedrons, did not provide any intrinsic value for improving the networks predictions, seem to adjust as effectively during network training. For GNN'sGNNs to provide meaningful improvements to structural modelling, the graph structure must represent meaningful geological concepts, not simply something on which a scalar value is predicted. For example, a graph representing how geological unit volumes are connected to (e.g., tetrahedral mesh).

790 In other unit volumes. To do so, graph nodes need to represent regions of the same geological unit or interface, and the edges represent how they are connected to each other as in Thiele et al. (2016). In future research, we would like to derive these types of graphs on the resulting volumetric geologic unit model produced from the proposed methodology.

In this contributionwork, we do notaim to tackle important geological various discontinuous features such as faults and 795 shear zones commonly found in more complex orogenic and shield terrains. However, since, such as faults and shear zones. Because neural networks with similar-neural architectures have shown the capacity to approximate discontinuous functions (Lianas et al., 2008, Santa and Pieraccini, 2023), we believe INR network architectures canGeoINR should support the modelling of these complex features with appropriate enhancements and modifications (e.g., discontinuous activation functions). This is a potential direction for more versatile INR frameworks expanding applications to a wider range of 800 complex geological settings.

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Using different activation functions can dramatically affect modelled geometries of geological structures. In this paper, we used a parameterized Softplus activation function that generated far smoother geometries and improved data fitting as compared to the commonly used ReLU for these networks. ReLU activation functions typically result in modelled geometries with sharp creases in them, which could be potentially more useful in brittle structural settings. Empirically, we tested all currently available activation functions within the Pytorch framework. The two most reliable activation f unctions tested experimentally were ReLU and Softplus.

Recent literature on implicit neural representation networks demonstrates that an additional network layer for positional 810 encodings (Tancik et al., 2020) can characterize high frequency components more accurately in modelled outputs. INR networks have been reported as underrepresenting high frequency components of signals and shapes by underfitting these components (Mildenhall et al., 2021). Positional encodings are a common strategy for addressing this issue by transforming the coordinates of a point into a set of Fourier features, which are then feedfed into the hidden layers of the network. We tested this strategy, and (Tancik et al., 2020). Our preliminary resultstests indicate that while this technique improved local Formatted: Indent: First line: 0 cm

815 fitting of high frequency detail when using the<u>either</u> ReLU or <u>Softplus</u> activation function, globallyfunctions, it can generate unsupported large wavelengths of folded features. However, when using the parameterized Softplus function, modelled structures were able to fit the high frequency details sampled by the data constraints while also able to produce robust and geologically representative structures globally without artifacts.

#### 5. Conclusion

- 820 A methodology is presented that is founded on implicit neural representation networks composed of MLPs for the purposes of three-dimensional implicit We have introduced GeoINR, a geological modelling. The methodology approach founded on INR networks composed of MLPs. GeoINR advances an existing INR networks used for this purposeapproach by incorporating unconformities—into-the-modelling process, efficient incorporation of new knowledge, constraints onfor stratigraphic relations as well as aand global smoothness-constraint, and a swell as improved training dynamics from the geometrical initialization of network variables. Combined, these These advances permit the enable efficient modelling of more complex geology-and, improved data fitting-characteristics. In addition, the global smoothness constraint an Eikonal constraint provides a mechanism to reduce typical implicit , and reductions in the generation of methodology can generate large regional scale three-dimensional geological models that effectively represent sedimentary basins with
- 830 unconformities, with notably low fitting residuals. Case studies demonstrate the effectiveness and prediction error given noisy compilation datasets. The possibility to leverage INR networks to support larger-scale implicit geological modelling with big data and validity of the approach in diverse geological settings with faults, different-sized areas, and various data regimes. Future work will be studied in future research. extend GeoINR to support modelling of even larger datasets in more complex geological settings involving faulting and intrusions.

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#### Appendix A: Iso-surface extraction algorithm

Algorithm 1: Cutting iso-surfaces by unconformities

# Input:

Scalar field series  $\varphi: [N_g, F]$  computed on volumetric grid where *F* is the number of scalar fields in the series.  $N_g$  is the number of grid cell points.

Table T storing associated information for each modelled interface including interface index, scalar field index,

iso-value, if is unconformity. Following table constructed using example in Figure 1.

<b>A</b>	$I_k$	i 1	$\overline{\varphi}^{i}_{I^{i}_{k}}$	•
	interface index <i>k</i>	$\varphi^{-}$ scalar field index	iso- value	18 unconformity
-	k	$\varphi_{index[k]}$	Iso[k]	Unc[k]
Interface	5	3	$\overline{\varphi}^3_{I_5^3}$	True
$I_{\overline{k}}$	4	2	$\overline{arphi}_{I_4^2}^2$	False
	3	2	$\overline{\varphi}_{I_3^2}^2$	False
	2	1	$\overline{\varphi}^1_{I^1_2}$	True
	1	0	$\overline{arphi}^0_{I^0_1}$	False
	0	0	$\overline{\varphi}^{0}_{,0}$	False

Output: Set of iso-surfaces S cut by unconformities

 $N_I = |I|$  (Number of interfaces)

S = [] # empty list

for  $k = 0, \cdots, N_I - 1$ :

# Organize associate scalar field array  $\varphi[:, \varphi_i ndex[k]]$  into 3D grid array  $V:[n_x, n_y, n_z]$ ,  $N_g =$  $n_x \times n_y \times n_z$ 

 $isosurface_k = marching_cubes(V, Iso[k])$ 

S. append( $isosurface_k$ )

for  $k = 0, \dots, N_I - 1$ :

# Iterate over list of above (younger) unconformity iso-surfaces  $y_{unc_k}$  than current iso-surface  $S_k$ , arranged older to younger

for y\_unc in y\_unc\_k:

 $S_k = cut(S_k, y\_unc) \# cut S_k$  surface by  $y\_unc$  iso-surface

# Appendix B: Interface and formation unit information for case studies

Table B1	. Interface info	ormation for	case stu	<u>dy 1.</u>					
Interface	Name	<u>Geological</u> <u>Rule</u>	Series	<u>Unit</u> Above	<u>Unit</u> Below	Above Interfaces	Above Series	Below Interfaces	Below Series
$I_6$	Lower Paleo <u>Unc</u>	Erosional	$arphi^4$	<u>7</u>	<u>6</u>	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>
$I_5$	Stonewall	<u>Onlap</u>	$\varphi^3$	<u>6</u>	<u>5</u>	$I_6$	$arphi^4$	$I_4,I_3,I_2$	$\varphi^3, \varphi^3, \varphi^2$
$I_4$	<u>Stony</u> <u>Mountain</u>	<u>Onlap</u>	$\varphi^3$	<u>5</u>	<u>4</u>	I <sub>6</sub> , I <sub>5</sub>	$arphi^4$ , $arphi^3$	$I_3,I_2$	$arphi^3, arphi^2$
$I_3$	Red River	<u>Onlap</u>	$\varphi^3$	<u>4</u>	<u>3</u>	$I_{6}, I_{5}, I_{4}$	$arphi^4, arphi^3, arphi^3$	$I_2$	$\varphi^2$
$I_2$	Sub RR Unc	Erosional	$\varphi^2$	<u>3</u>	<u>2</u>	$I_6, I_5, I_4, I_3$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3$	<u>n/a</u>	<u>n/a</u>
$I_1$	Sub Wpg Unc	Erosional	$\varphi^1$	<u>2</u>	<u>1</u>	$I_6, I_5, I_4, I_3, I_2$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2$	<u>n/a</u>	<u>n/a</u>
$I_0$	Precambrian	Erosional	$\varphi^0$	<u>1</u>	<u>0</u>	$I_6, I_5, I_4, I_3, I_2, I_1$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^3, \varphi^2, \varphi^1$	<u>n/a</u>	<u>n/a</u>

860 The sequence of above/below interface and series are associated. For example, consider the below interfaces and series for  $I_5$ . The interface  $I_4$  is associated with series  $\varphi^3$ . Similarly, interface  $I_3$  is associated with series  $\varphi^2_{\pm}$ 

Tabl	Table B2. Formation unit information for case study 1.							
<u>Unit</u>	Name	<u>Series</u>	<u>Unit</u> Above	<u>Unit</u> Below	Above Interfaces	Above Series	Below Interfaces	Below Series
$U_7$	<u>Above</u> Youngest (7)	$arphi^4$	<u>n/a</u>	<u>6</u>	<u>n/a</u>	<u>n/a</u>	$I_6$	$arphi^4$
$U_6$	Interlake (6)	$\varphi^3$	<u>7</u>	<u>5</u>	$I_6$	$arphi^4$	$I_5, I_4, I_3, I_2$	$\varphi^3, \varphi^3, \varphi^3, \varphi^2$
$U_5$	Stonewall (5)	$\varphi^3$	<u>6</u>	<u>4</u>	I <sub>6</sub> , I <sub>5</sub>	$arphi^4$ , $arphi^3$	$I_4,I_3,I_2$	$\varphi^3, \varphi^3, \varphi^2$

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$U_4$	<u>Stony</u> Mountain (4)	$\varphi^3$	<u>5</u>	<u>3</u>	$I_6, I_5, I_4$	$\varphi^4, \varphi^3, \varphi^3$	$I_3,I_2$	$arphi^3, arphi^2$
$U_3$	Red River (3)	$\varphi^3$	<u>4</u>	<u>2</u>	$I_6, I_5, I_4, I_3$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3$	$I_2$	$\varphi^2$
$U_2$	Winnipeg (2)	$\varphi^1$	<u>3</u>	<u>1</u>	$I_6, I_5, I_4, I_3, I_2$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2$	$I_1$	$\varphi^1$
$U_1$	Deadwood (1)	$arphi^0$	2	<u>0</u>	$I_6, I_5, I_4, I_3, I_2, I_1$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2, \varphi^1$	I <sub>0</sub>	$arphi^0$
$U_0$	Precambrian (0)	$arphi^0$	<u>1</u>	<u>n/a</u>	$I_6, I_5, I_4, I_3, I_2, I_1, I_0$	$\varphi^4, \varphi^3, \varphi^3, \varphi^3, \varphi^2, \varphi^1, \varphi^0$	<u>n/a</u>	<u>n/a</u>

Table B3	Table B3. Interface information for case study 2.								
Interface	Name	Geological Rule	<u>Series</u>	<u>Unit</u> Above	<u>Unit</u> Below	Above Interfaces	Above Series	Below Interfaces	Below Series
$I_1$	Quartzite top	<u>Onlap</u>	$\varphi^1$	<u>2</u>	<u>1</u>	<u>n/a</u>	<u>n/a</u>	$I_0$	$\varphi^0$
$I_0$	Structural dome top	Erosional	$arphi^0$	<u>1</u>	<u>0</u>	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>

Above/below interfaces and series indicated here use the efficient option for stratigraphic relations mentioned in Sect. 2.4.1.

Tabl	e B4. Fo	ormation	unit info	rmation	for case stud	<u>y 2.</u>		
<u>Unit</u>	<u>Name</u>	<u>Series</u>	<u>Unit</u> Above	<u>Unit</u> Below	Above Interfaces	Above Series	Below Interfaces	Below Series
$U_2$	<u>Pp-</u> <u>PL</u>	$\varphi^1$	<u>n/a</u>	<u>1</u>	<u>n/a</u>	<u>n/a</u>	$I_1, I_0$	$\varphi^1, \varphi^0$
$U_1$	<u>Pp-</u> PD	$arphi^0$	<u>2</u>	<u>0</u>	$I_1$	$\varphi^1$	$I_0$	$arphi^{0}$
$U_0$	<u>Na-g</u>	$\varphi^0$	1	<u>n/a</u>	$I_0$	$\varphi^0$	<u>n/a</u>	<u>n/a</u>

870 Above/below interfaces and series indicated here use the efficient option for stratigraphic relations mentioned in Sect. 2.4.1.

# Appendix C: k-fold cross validation results for case study 1

875 **Table B4C1**. *k*-fold metrics for structural model generated from interface and intraformational constraints.

k	data removed	$\overline{\Delta d}_{Test}$	Range
	from training	(meters)	(meters)
	(%)		

20	5	31.0	[7.8, 412.1]
10	10	27.0	[10.0, 170.2]
5	20	18.5	[10.4, 47.8]
2	50	13.0	[12.8, 13.1]

Table B2C2. k-fold metrics for structural model generated from interface constraints only.

k	data removed	$\overline{\Delta d}_{Test}$	Range
	from training	(meters)	(meters)
	(%)		
20	5	21.7	[10.1, 191.4]
10	10	17.5	[10.8, 58.1]
5	20	16.2	[12.1, 27.7]
2	50	15.7	[15.5, 15.7]

885  $\overline{\Delta d}_{Test}$ : mean distance residual computed on the testing set (not used to train/fit the model)

See <u>https://scikit-learn.org/stable/modules/cross\_validation.html</u> for implementation details and illustration regarding the *k*-fold cross validation procedure. The range of mean distance residuals on test points,  $\overline{\Delta d}_{Test}$ , from all *k* splits indicate the lower and upper bound of these residuals across all splits for a given *k*. The large mean residual for upper bounds (e.g., 191.4 m for k = 20 in Table 6<u>C2</u>) is the result of a single point constraint associated with the sub-Winnipeg unconformity in the far North-West corner of the modelling domain. For every *k*-fold procedure carried out, there is always one split this point is excluded from training and results in a larger mean distance residual, and increases with larger *k*, on the corresponding test set. It is important to note that the next nearest constraint to this point associated with this interface (sub-Winnipeg unconformity) is 150 km away. The upper bound on mean distance residuals decreases with smaller *k*. This is because with smaller *k* a higher percentage of constraint points are removed from training and generated models become more

generalized. The lower bound of mean distance residuals decreases with larger k, since more points are used to constrain generated models.

Appendix D: Loss function plots for case study 2.



**Figure D1**. Loss function plots as function of training epoch for individual constraints used in case study 2. (**a**, **b**, **c**, **d**, **e**) Plots corresponding generated geological models shown in Figure 12 (e, f, g (left), g (middle), g (right)), respectively.

- 915 Note the following from loss plots shown in Figure D1: 1) when no orientation data is used in training (Fig. D1a), early training is strongly influenced by the stratigraphic constraints (*on, above, below*) imposed on interface data, whereas for all other models (Fig. D1b, c, d, e) are strongly influenced by the orientation data. 2) Beyond 50 epochs, training is influenced by *on* stratigraphic constraints, followed by orientation constraints then above/below constraints on intraformational data.
- 920 Code and data availability. The source code for the GeoINR neural network developed in Pytorch and data can be freely downloaded from https://github.com/MichaelHillier/GeoINR.git (last access: <u>28 November 202217 September 2023</u>) or https://doi.org/10.5281/zenodo.73779778352541 (Hillier et al., <u>20222023</u>).

Author contributions. MH conducted the research, implemented the modelling algorithms, and prepared the manuscript with
 contributions from all co-authors. FW supervised the research. FW, EdK, BB, ES contributed to the conceptualization of the
 overarching research objectives and analysis of modelling results from a geological point of view. KB prepared the datasets
 used for modelling.

Competing interests. The authors declare that they have no conflict of interest.

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