# **Customized Deep Learning for Precipitation Bias Correction and Downscaling**

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Abstract. Systematic biases and coarse resolutions are major limitations of current precipitation datasets. Many deep learning (DL) based studies have been conducted for precipitation bias correction and downscaling. However, it is still challenging for the current approaches to handlinghandle complex features of hourly precipitation, resulting in the incapability of reproducing small-scalesmall seale features, such as extreme events. This study developed a customized DL model by incorporating customized loss functions, multitaskmultitask learning, and physically relevant covariates to bias correct and downscale hourly

- 15 customized loss functions, <u>multitask multitask learning</u>, and physically relevant covariates to bias correct and downscale hourly precipitation data. We designed six scenarios to systematically evaluate the added values of weighted loss functions, <u>multitask multi-task</u> learning, and atmospheric covariates compared to the regular DL and statistical approaches. The models<u>was-were</u> trained and tested using the Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA2) reanalysis and the Stage IV radar observations over <u>the</u> northern coastal region of <u>the</u> Gulf of Mexico<u>at an hourly time scale</u>.
- 20 We found that all the scenarios with weighted loss functions performed notably better than the other scenarios with conventional loss functions and a quantile mapping-based approach at hourly, daily, and monthly time scales as well as extremes. <u>MultitaskMultitask</u> learning showed improved performance on capturing <u>fine features of extreme events and hourly</u> precipitation elimatology, aggregated precipitation at daily and monthly scales, and detailed features of extreme events, while the improvement is not as large as from weighted loss functions-accounting for atmospheric covariates, highly improved model
- 25 performance at hourly and aggregated time scales-, while the improvement is not as large as from weighted loss functions. Accounting for atmospheric covariates further improved the model performance for capturing extreme events. We show that the customized DL model can better downscale and bias correct <u>hourly</u> precipitation datasets and provide improved precipitation estimates at fine spatial and temporal resolutions where regular DL and statistical methods experiencing <u>experience</u> challenges.

#### 30 1 Introduction

Precipitation is a major component of <u>the</u> hydrological cycle and is fundamentally important for many applications, such as water resources planning and management, disaster risk management, <u>and</u> agriculture, amongst many others. Due to <u>the</u> limited coverage of ground-based rain gauges, numerous gridded precipitation datasets have been developed over the past decades,

including gauge-based, satellite-based, reanalysis products, and merged products (Beck et al., 2019a; Sun et al., 2018). These
datasets are different in terms of data sources, coverage, spatial and temporal resolution, and algorithms (see Sun et al., 2018 for a review), which provide a potential source of information to regions where conventional in situ precipitation measurements are lacking (Sun et al., 2018).

- Gridded precipitation datasets have proven to be useful across a wide range of research fields, including climate trendstrend and extreme precipitation (Bhattacharyya et al., 2022; Degaetano et al., 2020; Fischer and Knutti, 2016; Kim et al., 2019; King
- 40 et al., 2013), droughts and floods monitoring (Aadhar and Mishra, 2017; Peng et al., 2020; Suliman et al., 2020; Zhong et al., 2019), and driving hydrological models (Raimonet et al., 2017; Xu et al., 2016). However, many studies have identified that these gridded precipitation datasets include substantial biases in certain aspects compared to in situ observations (Aadhar and Mishra, 2017; Ashouri et al., 2016; Bitew and Gebremichael, 2011; Cavalcante et al., 2020; Jiang et al., 2021; Jury, 2009; Rivoire et al., 2021; Sun et al., 2018; Tong et al., 2014; Xu et al., 2016; Yilmaz et al., 2005). For example, Ashouri et al. (2016)
- 45 evaluated the performance of NASA'sNASA's Modern-Era Retrospective Analysis for Research and Applications (MERRA) precipitation reanalysis dataset and found that MERRA tends to overestimate the frequency at which the 99th percentile of precipitation is exceeded and underestimate the magnitude of extremes, especially over the Gulf Coast regions of the United States. Furthermore, spatial resolution for most of these gridded precipitation datasets is relatively coarse for local scale applications (mostly above 0.25°, Sun et al., 2018). Therefore, the gridded precipitation datasets require bias correction and

50 downscaling (Duethmann et al., 2013; Emmanouil et al., 2021; Mamalakis et al., 2017; Seyyedi et al., 2014).

Bias correcting and downscaling gridded precipitation data is challenging due to its complex characteristics (e.g., highly skewed, unbalanced feature, and complex spatial-temporal structure). Various approaches have been developed to tackle this issue, including traditional quantile mapping (QM) based bias correction and downscaling methods (e.g., Cannon et al., 2015; Panofsky and Brier, 1968; Thrasher et al., 2012; Wood et al., 2002) and recent machine learning based approaches such as random forests (He et al., 2016b; Legasa et al., 2022; Long et al., 2019; Mei et al., 2020; Pour et al., 2016), support vector 55 machines (Tripathi et al., 2006) and artificial neural networks (Schoof and Pryor, 2001; Vandal et al., 2019). Recently, advances in deep learning have made a significant impact on many fields and have been proven superior to traditional machine learning methods because of their powerful abilities to learning learning spatiotemporal feature representation in an end-to-end manner (Ham et al., 2019; Reichstein et al., 2019; Shen, 2018). In particular, deep learning (DL) with convolutional neural network (CNN) types of approaches have achieved notable progress in modeling spatial context data (Lecun et al., 2015) and 60 have been used for bias correcting and downscaling low spatial resolution data (Kumar et al., 2021; Sha et al., 2020a, b; Vandal et al., 2018b; Wang et al., 2021; Xu et al., 2020), climate model outputs (François et al., 2021; Liu et al., 2020; Pan et al., 2021; Rodrigues et al., 2018; Wang and Tian, 2022), reanalysis products (Baño-Medina et al., 2020; Sun and Tang, 2020), and weather forecast model outputs (Harris et al., 2022; Li et al., 2022). While these studies have indicated many promising

65 strengths and advantages over traditional downscaling and bias correction approaches, most of them have difficulties

capturingto capture local-, small-scale features such as extremes for an unseen dataset. For example, Baño-Medina et al. (2020) designed different DL configurations with a different number of plain CNN layers to bias correct and downscale daily ERA5-Interim reanalysis from 2° spatial resolution to 0.5°, and the overall performance is still marginal compared with simple generalized linear regression models and highly underestimated precipitation extremes. Harris et al. (2022) developed a generative adversarial networks (GANs) architecture to bias correct and downscale weather forecast outputs and found that it is more challenging to account for forecast error (or bias) in a spatially-coherent manner compared to the pure downscaling problem (Kumar et al., 2021; Sha et al., 2020a, b; Vandal et al., 2018b; Wang et al., 2021; Xu et al., 2020). The reason for that may be due to the sparsity of training data on extreme events. Deep learning (DL) models, however, need large training data

in order to obtain a better regularization model for rare events in the unseen dataset.

- 75 Customized DL models have been proposed to generate physically consistent results and have better generalization ability for out-of-pocketout of pocket datasetsdataset in the earth and environmental science field, which include incorporating customized loss functions (Kashinath et al., 2021), inputs from physically relevant auxiliary predictors (i.e., covariates) (Li et al., 2022; Rasp and Lerch, 2018), and customized <u>multitaskmultitask</u> learning (Ruder, 2017). For example, Daw et al. (2017) indicated success in lake temperature modeling by incorporating a physics-based loss function in the DL objective compared
- 80 to a regular loss function. Li et al. (2022) used a CNN-based approach to postprocess numerical weather prediction model output and found that the use of auxiliary predictors greatly improved model performance compared with raw precipitation data as the only predictor. A <u>multitask</u> model is trained to predict multiple tasks that are driven by the same underlying physical processes, and thus has the potential to learn to better represent the shared physical process and better predict the variable of interest (Ruder, 2017). Multitask models have proven effective in several applications, including natural
- 85 language processing (Chen et al., 2014; Seltzer and Droppo, 2013), computer vision (Girshick, 2015), as well as hydrology (Sadler et al., 2022). In addition, most of the previous bias correction and downscaling studies focused on <u>the</u> daily time scale (Baño-Medina et al., 2020; François et al., 2021; Harris et al., 2022; Kumar et al., 2021; Liu et al., 2020; Pan et al., 2021; Rodrigues et al., 2018; Sha et al., 2020a; Vandal et al., 2018b; Wang et al., 2021). However, the distribution of hourly precipitation data within a day is more important than daily or monthly aggregations for impacts and risks from warming-
- 90 induced precipitation changes (Chen, 2020). <u>Traditional DL loss functions have difficulties handlingto-handle hourly</u> precipitation data that are highly unbalanced with many zeros and highly <u>positivelypositive</u> skewed for nonzero components. <u>Therefore, therefore, customized DL with a weighted loss function to better balance nonzero components has the potentials to improve the DL model performance. Besides the primary task of downscaling and bias correction task, adding a highly <u>correlated</u>relevant classification task has <u>possibilities</u> to improve DL model performance on the primary task.</u>

<sup>95</sup> IncludingIncorporating covariates that are highly correlated withselected based on precipitation formation theory (cloud mass movement and thermodynamics) also have the potentials to improve DL model performance on precipitation downscaling and

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bias correction. Customized deep learning, through incorporating customized loss functions, covariates, or customized multitask learning, have the potential to fundamentally improve hourly precipitation bias correction and downscaling.

- 100 In this study, we will explore customized DL for precipitation bias correction and downscaling, aiming to <u>takemake</u> a step forward to <u>addressaddressing</u> the current challenges described above. We designed a set of experiments to address this hypothesis using the Modern-Era Retrospective analysis for Research and Applications Version 2 (MERRA2) reanalysis and the Stage IV radar precipitation data. The structure of this paper is organized as follows: Section 2 <u>introducesintroduced</u> data and <u>methodologystudy area</u>; Section 3 introducesintroduced the methodology, r-including the deep learning architecture and experimental designs for different scenarios, and a traditional bias correction approach as a benchmark; Section 3-4 presents
- 105 experimental designs for different scenarios, and a traditional bias correction approach as a benchmark; Section <u>3-4</u> pr results; discussion and conclusions are provided in Section <u>4-5</u> and <u>56</u>, respectively.

#### 2 Data and methodologyStudy Area

#### 2.1 Data and study area

- MERRA2 is a state-of-the-art global reanalysis product generated by the NASA Global Modeling and Assimilation
  Office (GMAO) using the Goddard Earth Observing System, version 5 (GEOS-5), and was introduced to replace and extend the original MERRA dataset (Reichle et al., 2017). It incorporates new satellite observations through data assimilation and benefits from advances in the GEOS-5 (Reichle et al., 2017). There areare two hourly total precipitation (*P*) datasets available from the MERRA2 reanalysis product: the, the model analysedanalyzedanalyzed precipitation computed from the atmospheric general circulation model and the observation-corrected *P* (Reichle et al., 2017). Both have a spatial resolution of 0.5° in latitude and 0.625° in longitude (~50km). MERRA2 observation-corrected precipitation hashave been used extensively in hydro-climatological analysis and modelingmodelling (Chen et al., 2021; Hamal et al., 2020; Xu et al., 2019; Xu et al., 2022). However, it still suffers from substantial biases (e.g., Hamal et al., 2020; Xu et al., 2019). This study will bias correct and downscale MERRA2 observation-corrected *P* using the Stage IV radar data (Lin and Mitchell, 2005) from the National Centers for Environmental Prediction (NCEP) as the observational reference. The Stage IV radar data has a 4 km spatial and hourly temporal resolution and covers the period from 2002 until the near present (2021 in this study). Stage IV radar was generated
- by merging data from 140 radars and about 5500 gauges over the continental United States (Lin and Mitchell, 2005; Nelson et al., 2016). The Stage IV provides highly accurate *P* estimates and has therefore been widely used as a reference for evaluating other *P* products (e.g., Aghakouchak et al., 2011; Aghakouchak et al., 2012; Beck et al., 2019b; Habib et al., 2009; Hong et al., 2006; Nelson et al., 2016; Zhang et al., 2018). The Stage IV dataset is a mosaic of regional analyses produced by 12by12
- 125 River Forecast Centers (RFCs) and is thus subject to the gauge correction and quality control performed at each individual RFC (Nelson et al., 2016).

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The bias correction and downscaling experiments were performed in the rectangle coastal area of <u>the</u> Gulf of Mexico covering the entire states of Alabama, Mississippi<sub>a</sub> and Louisiana, and parts of neighbour states in the United States, ranging from -94.375° to -85.0° in longitude and from 29.0° to 35.0° in latitude. The study area falls into the humid subtropical climate and is highly influenced by extreme *P* events such as convective storms and hurricanes.

#### 3 Methodology

2.2.1 Overview

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#### 2.2 <u>3.1 Customized DL approaches</u>

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This section <u>firstfirstly</u> presents a brief description of a DL approach, namely, Super Resolution Deep Residual Network (SRDRN). Then, <u>multitask</u> learning, and customized loss functions are introduced based on the SRDRN architecture to construct customized DL approaches. Finally, we designed different modeling experiments, which include different combinations of <u>multitask</u> learning, customized loss functions, and *P* covariates as predictors, in order to evaluate the added values of each component of the customized DL approaches.

#### 2.2.23.1.1 -SRDRN model

- 140 The SRDRN model is an advanced deep <u>CNN-typeCNN type</u> architecture and has been tested for downscaling daily *P* and temperature through synthetic experiments (Wang et al., 2021) and for <u>bias-correctingbias-correcting near-surfacenear</u> surface temperature simulations from global climate models (Wang and Tian, 2022), considerably outperforming the conventional approaches. <u>Furthermore, it has been proved that the SRDRN is capable of capturing much finer features than shallow plain CNN architecture (Wang et al., 2021). ComparedComparing with the popular U-Net architecture (Sha et al., 2020a; Sun and Tang, 2020), the SRDRN directly extracts featuresfeature on the coarse resolution input<sub>7</sub> and thus can potentially decrease computational and memory complexity. Furthermore, it has been proved that the SRDRN is capable of capturing with the popular U-Net architecture (Sha et al., 2020a; Sun and Tang, 2020) (Sha et al., 2020b; Sun and Tang, 2020), the SRDRN directly extracts features features on the coarse resolution input<sub>7</sub> and thus can potentially decrease computational and memory complexity. Sun and Tang, 2020), the SRDRN directly extracts feature (Wang et al., 2021). Comparing with the popular U-Net architecture (Sha et al., 2020a; Sun and Tang, 2020) (Sha et al., 2020b; Sun and Tang, 2020), the SRDRN directly extracts feature on the coarse resolution input, and thus can potentially decrease computational and memory complexity</u>
- 150 Here we provide a brief description of the SRDRN algorithm. For more details, the readers may refer to Wang et al. (2021). The SRDRN algorithm was developed based on a novel <u>super-scalingsuper-scaling</u> deep learning approach in the computer vision field (Ledig et al., 2017). Basically, the SRDRN algorithm is comprised of residual blocks and upsampling blocks with convolutional and batch normalization layers. For feature extraction, the convolutional layers apply filters to go through the input data to build a local connection within nearby grids by computing the element-wise dot product between the
- 155 filters and different patches of the input. The outcome is followed by a nonlinear activation function, here parametric ReLU

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(He et al., 2015) in this study. Batch normalization is a technique to standardize the inputs to a layer for each mini-batch so that the learning process can be stabilized and the training of the model can be accelerated (Ioffe and Szegedy, 2015).

With convolutional and batch normalization layers, the residual blocks are designed to extract fine spatial features while avoidingavoid degradation issuesissue for the very deep neural network. Compared to plain CNN architectures, residual blocks can improve the performance of extensively deep networks (Silver et al., 2017) without suffering from model accuracy saturation and degradation (He et al., 2016a) because residual blocks execute residual mapping and include skipping connections. In this study, the way that skipping connection skips layers and connects <u>the</u> next layers is through element-wise addition. <u>AThe</u> total number of 16 residual blocks were used in the SRDRN architecture, which makes the network very deep and able to extract fine spatial features.

- 165 The upsampling blocks are applied to increase the spatial resolution for downscaling purposespurpose. The upsampling process is executed directly on the feature maps generated from the residual blocks, and each upsampling block is composed of one convolutional layer and one upsampling layer followed by a parametric ReLU activation function. The defaulted nearest neighbor interpolation was chosen in the upsampling layers to increase the spatial resolution, and the effects of different interpolation methods were not explored in this study. Each upsampling block sequentially and gradually increases the input low-resolutionlow resolution feature maps by a factor of 2 or 3. In this study, the downscaling ratio (the ratio between coarse
- resolution and high-resolution data) is 12, and thus we used 3 upsampling blocks with two blocks having a factor of 2 and one block having a factor of 3.

#### 2.2.33.1.2 -SRDRN model with multitask multitask learning

We included an additional *P* classification task in the SRDRN model. Besides bias correcting and downscaling
 continuous hourly *P* values as a primary task, we added another task to bias correct hourly *P* categories. Studies have indicated
 that a multitaskmultitask DL model could learn to better represent the shared physical processes and better predict the variable
 that we are interested inof interest (e.g., Sadler et al., 2022). Since P categories and actual values are highly relevant, Since *P* categories are generated based on different ranges of *P* values, it isadding a expected that the classification task can potentially
 improve the DL model performance onfor bias correcting and downscaling *P*. Since these two tasks are highly relevant to each
 other, it is expected that the classification task can improve the model performance on bias correcting and downscaling *P*.

Specifically, for the SRDRN with <u>multitask</u> learning, one convolutional layer (256 filters and 3x3 kernels) follows the last element-wise addition operation to summarize feature maps, then the architecture splits into two sections (Figure 1). The first section with two additional convolutional\_layers (the first one with 64 filters and the second with 4 filters) followed by the Softmax activation (Goodfellow et al., 2016)-<u>is is</u>-used for bias correcting *P* categories as a multiclass classification task, and the other section with upsampling blocks is used for the purpose of bias correcting and downscaling

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hourly *P*. The classification task classifies the hourly *P* at each grid into four categories: 0-0.1mm/h as no rain, 0.1-2.5mm/h as light rain, 2.5-10mm/h as moderate rain<sub>a</sub> and >10mm/h as heavy rain (Tao et al., 2016). Due to radar <u>sensors'sensors'</u> uncertainty in <u>the</u> very light rainfall, 0.1 mm/h is commonly used as a threshold to determine if there is rain (Tao et al., 2016). Since the classification task is executed on the feature maps at the coarse resolution, we aggregated Stage IV *P* (namely,

190 coarsened Stage IV in this study) into the same spatial resolution as MERRA2 and classified the upscaled P data into the four groups as target labels.

[Insert Figure 1]

#### 2.2.43.1.3 Customized loss functions

Precipitation data is highly skewed and unbalanced, especially at an hourly time scale, which could cause the deep
 learning algorithm to focus more on no-rainno rain events andwhile ignoringignore heavy rain events withif using regular loss functions. Wang et al. (2021); (Nelson et al., 2016; Ravuri et al., 2021)<u>Here We we</u> developed a weighted mean absolute error (MAE) loss function (L<sub>MAE\_weighted</sub>) to balance precipitation data where weights change with precipitation values as shown below,

$$L_{MAE\_weighted} = \frac{\sum_{i=1}^{n} w_1 |y_{pred} - y_{true}|}{n}$$
(1)

200 where *n* is the total number of grids in a batch,  $w_1$  is the weight for each absolute error between the model predicted value  $y_{pred}$  and the true value  $y_{true}$ . The weight  $w_1$  changes with the actual true value  $y_{true}$ ,

$$w_{1} = \begin{cases} MIN & y_{true} \leq MIN \\ y_{true} & MIN < y_{true} < MAX \\ MAX & y_{true} \geq MAX \end{cases}$$

where *MIN* is the lowest threshold and *MAX* is the highest threshold for the weights. In other words, when the  $y_{true}$  value is below (above) *MIN* (*MAX*),  $w_1$ equals *MIN* (*MAX*), otherwise  $w_1$ equals  $y_{true}$  itself. Thus, <u>the</u> loss is weighted directly by the *P* value at <u>the</u> grid cell scale, which has been proven more effective than weighted by *P* bins (Ravuri et al., 2021; Shi et al., 2017). Note that all of the gridded *P* data, including Stage IV and MERRA-2, are logarithmically transformed [i.e., y=log(x+1)] in order to amplify the normality and reduce the skewness of *P* data (Sha et al., 2020a). In Equation 1,  $y_{true}$  and  $y_{pred}$  are transformed *P* values. *MIN* was set to log(0.1+1) and *MAX* was set to log(100+1), where maximum 100mm/h was chosen as the highest threshold before log transformation for robustness to spuriously large values in the Stage IV radar (Ravuri et al., 2021) and 0.1 mm/h is commonly used as a threshold to determine if there is rain for radar data (Tao et al., 2016).

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For the four *P* categories, most data fall into the no rain category (over 88% in the coarsened Stage IV)<sub>2</sub> and minority data fall into the heavy rain category (about 0.2% in the coarsened Stage IV). Thus, handling <u>class imbalance</u> is of great importance in this situation, where the minority class for <u>the</u> heavy rain category is the class of most interest with respect to this learning task. The regular <u>cross-entropy</u> loss function for <u>the</u> classification task could result in <u>the</u> underestimation of <u>the</u> minority class (Fernando and Tsokos, 2021). Thus, we applied a weighted cross entropy as <u>a</u> loss function ( $L_{weighted cross-entropy}$ ) for the classification task in order to penalize more towards heavy rain category as follows,

$$L_{weighted Cross-entropy} = \sum_{i=1}^{n} \sum_{j=1}^{k} w_{2,j} \cdot p(y_{i,j}) \cdot \log(q(y_{i,j}))$$
(2)

where  $w_{2,j}$  denotes the weight for the *j*th class,  $p(y_{i,j})$  represents the true distribution of the *i*th grid for the *j*th class, and  $q(y_{i,j})$  represents the predicted distribution. *k* is the number of classes (equals to 4 in this study).  $w_{2,j}$  was set to 1, 5, 15, and 220 80 for no rain, light rain, moderate rain, and heavy rain classes, respectively, which is roughly based on the opposite percentage (i.e., 1, 5, 15, 80 are approximately from the percentages of heavy, moderate, light and no rain categories, respectively) for each category of the coarsened Stage IV. Since the weights for categories with rain are relatively larger than the no rain category, the loss  $L_{weighted Cross-entropy}$  is relatively large when there are discrepancies between true and predicted categories with rain, resulting in guiding the training process towards to decreasing these differences with larger weights and thus better handling class-imbalance issuesissue.

#### 2.2.53.1.4 - Experiment Design

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To comprehensively evaluate the added value of each component of customized DL models, including weighted loss function, multitaskmultitask learning, and adding covariates, we designed six scenarios (Scenario1 to Scenario6 in Table 1). Scenario1 is based on the basic SRDRN architecture with hourly P from MERRA2 as coarse-resolution input, P from Stage 230 IV as high-resolution labelledlabelled data, and regular MAE as loss function, which represents regular DL. Wang et al. (2021) used regular mean squared error (MSE) as a -loss function, which works well for downscaling daily precipitation through synthetic experiments with no bias, since the precipitation data was first coarsened and then downscaled into the original fine scale. However, in this study, the coarse resolution MERRA2 has substantialsubstantially biases compared to Stage IV radar data, and Stage IV radar data also includes artefacts (e.g., large spuriousspurious large values) (Nelson et al., 2016). The 235 previous Previous study havehas shown that the MSE loss function is more sensitive to radar artefacts than the mean absolute error (MAE) loss function (Ravuri et al., 2021). Therefore, -and thus-we chose MAE as a regular loss function in this study. Scenario2 is the same as Scenario 1 except using weighted MAE loss function [Eqn. (1)]. The number of trainable parameters is the same for Scenario1 and Scenario2. Scenario3 includes the classification task, and the total loss is the combination of Eqn. (1) and Eqn. (2) with a weight  $\lambda$  [see Eqn. (3) below], where  $\lambda$  was set to 0.01 to ensure the two parts of the losses are in 240 the same magnitude. The trainable parameters for Scenario3 increaseinereases by 30% compared to Scenario1 and Scenario2.

# $L = L_{MAE\_weighted} + \lambda \cdot L_{weighted \ Cross-entropy}$

#### [Insert Table 1]

As described in Section 1, studies feeg., Baño-Medina et al., 2020; Li et al., 2022; Rasp and Lereh, 2018) have indicated 245 that including atmospheric covariates is helpful for estimating precipitation (e.g., Baño-Medina et al., 2020; Li et al., 2022; Rasp and Lerch, 2018). The other three scenarios also consider atmospheric covariates of P from MERRA2 as predictors, which include geopotential height, specific humidity, air temperature, eastward wind, and northward wind at three different vertical levels (250, 500, 850 hPa) (e.g., Baño-Medina et al., 2020; Rasp and Lerch, 2018) as well as vertical wind (e.g., Trinh et al., 2021) at 500 hPa (OMEGA500), sea level pressure and 2-meter2 meter air temperature in a single level (e.g., Panda et 250 al., 2022; Rasp and Lerch, 2018) (see Table 2). We chose these variables based on precipitation formation theory (cloud mass movements and thermodynamics) and otheras well as findings from previous studies, on estimating precipitation as listedindicated above. SimilarComparable to a classic multiple linear regression problem, covariates are multivariable predictors, and hourly precipitation is the only dependent variable. For each covariate listed in Table 2, data normalization was executed as a data preprocessing step. Specifically, each covariate was normalized by subtracting the mean  $(\mu)$  and dividing 255 by the standard deviation ( $\sigma$ ). Here  $\mu$  and  $\sigma$  are scalar values that were calculated based on the flattened variable for the training dataset. During the testing period, the model prediction was made with the normalized testing dataset from MERRA2 with  $\mu$  and  $\sigma$  calculated from the statistics of the coarse-resolution data during the testing period to preserve nonstationary. Scenario4 only included atmospheric covariates without using coarse resolution P as input and used Eqn. (1) as loss function to test whether only covariates are sufficient for estimating hourly P. The number of trainable parameters for Scenario4 is

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about 1% more compared to Scenario1 and Scenario2. Scenario5 is the same as Scenario4 except including P as a predictor besides atmospheric covariates, and the number of trainable parameters is very close to Scenario4. Scenario6 is the same as Scenario5 except including <u>the</u> classification task with Eqn. (3) as loss function and the number of trainable parameters is similar to Scenario3 (31% greater than scenarios with no <u>multitaskmultitask</u> learning).

#### [Insert Table 2]

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The Adam optimization algorithm was applied to train the six DL scenarios with a learning rate of 0.0001 and other default values. We found that the learning rate of 0.0001 worked stably in this study through a series of experiments. The batch size for each epoch was set to 64, and the number of epochs was set to 150 for each scenario listed in Table 1. <u>Each scenario</u> was trained forwith approximately 2.5x10<sup>5</sup> iterations. We frequently saved models and evaluated their performance with a validation dataset in order to choose the best model for prediction on the testing dataset. The training process was executed using NVIDIA V100 GPU provided by the NASA High-End Computing (HEC) Program through the NASA Center for Climate Simulation (NCCS) at the Goddard Space Flight Center (https://www.nccs.nasa.gov/systems/ADAPT/Prism).

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At the time when we conduct this study, MERRA-2 and Stage IV hourly *P* data have <u>a</u> 20-year overlapping period from 2002 to 2021. We used the first 14 years (2002 to 2015) as <u>the</u> training dataset, the middle 3 years (2016 to 2018) as <u>the</u> validation dataset, and the more recent 3 years (2019 to 2021) as <u>the</u> testing dataset. Figure 2 shows the hourly mean or climatology for MERRA-2 and Stage IV for training and testing datasets, as well as the mean differences between the testing and the training periods. We can tell that there are large climatology differences (or biases) between MERRA-2 and Stage IV both for training and testing datasets, especially around the coastal area. Wetter conditions are observed in most of the study area in the testing period (average 0.03 mm/h) than in the training period, which is due to a higher percentage of rains (with values greater than 0.5mm/h) during the testing period than during the training period based on analyzing the Stage IV data (Table S1 in Supplement).Wetter conditions are observed in most of the study area in the testing period (average 0.03 mm/h) (see Table S1 in Supplement) than the training period based on the Stage IV dataWetter conditions are observed in most of the study area in the testing period (average 0.03 mm/h) caused by a higher percentage of rains greater than 0.5mm/h (see Table S1 in Supplement) than the training period based on the Stage IV dataWetter conditions are observed in most of the study area in the testing period (average 0.03 mm/h) caused by a higher percentage of rains greater than 0.5mm/h (see Table S1 in Supplement) than the training period based on the Stage IV dataWetter conditions are observed in most of the study area in the test period (average 0.03 mm/h) comparing

with the training period... This allows us to assess the extrapolation capabilities of the different methods, which is particularly relevant in a changing climate.

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#### [Insert Figure 2]

2.33.2 Statistical approach

#### [Insert Figure 2]

We used a widely accepted quantile delta mapping (QDM) as a benchmark approach for *P* bias correction. The QDM method corrects systematic biases at each grid cell in quantiles of a modelled series with respect to observed values. Compared to the regular quantile mapping method (Panofsky and Brier, 1968; Thrasher et al., 2012; Wood et al., 2002), QDM also accounts-applies a relative difference for the difference-between historical and future climate data (here, training and testing periods). Thus, and thus it is capable of preserving the trend of the future climate (Cannon et al., 2015), which is critical for this study since there are substantial differences between the precipitation during the training (2002 to 2015) and testing (2019 to 2021) periods (see Figure 2). This approach has been widely used to bias-correctbias correct climate variables, including *P*, which indicated better performance compared to the other bias correction approaches (Cannon et al., 2015; Eden et al., 2012;
295 Kim et al., 2021; Tegegne and Melesse, 2021; Tong et al., 2021). To be specific for QDM, the bias-correctedbias corrected value *x<sub>m,p</sub>(t)* for modeled data in the future projection at time *t* is given by applying the relative change Δ<sub>m(t)</sub> multiplicatively to the historical bias corrected value *x<sub>o,m,h:p</sub>(t)*,

$$\mathbf{x}_{m,p}(t) = \mathbf{x}_{o:m,h:p}(t) \cdot \Delta_m(t) \tag{4}$$

where  $\mathbf{x}_{o:m,h:p}(t) = F_{o,h}^{-1}[\tau_{m,p}(t)]$  and  $\Delta_m(t) = \frac{x_{m,p}(t)}{F_{m,h}^{-1}[\tau_{m,p}(t)]}$ .  $x_{m,p}(t)$  represents uncorrected modeled data in the projection 300 period and  $\tau_{m,p}(t)$  is the percentile of  $x_{m,p}(t)$  in the empirical cumulative density function (F) formulated by the modeled data in the projection period over a time window around t.  $F_{o,h}^{-1}[\tau_{m,p}(t)]$  means applying inverse empirical cumulative density

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function formulated by the observed data in the historical period for  $\tau_{m,p}(t)$  to obtain <u>bias-corrected</u>bias corrected value [i.e.,  $\kappa_{o:m,h:p}(t)$ ]. Similarly,  $F_{m,h}^{-1}[\tau_{m,p}(t)]$  denotes applying inverse empirical cumulative density function formulated by the modeled data in the historical period for  $\tau_{m,p}(t)$ . The time window to construct the empirical cumulative density function around time t was set to be 45 days to preserve the seasonal cycle. In this study, the historical and projection periods correspond to the training and testing data periods, respectively. The modeled and observed data correspond to MERRA2 and coarsened Stage IV data, respectively. Details about this method are referred to Cannon et al. (2015).

The QDM bias correction was performed at the spatial resolution of MERRA2. The <u>QDM-biasedQDM biased</u> corrected *P* data at the coarse resolution was then bilinear interpolated into the high resolution, <u>the</u> same as the spatial resolution of Stage 310 IV. This process of QDM and bilinear interpolation (He et al., 2016b) is named <u>as-QDM\_BI</u> in the following sections.

2.43.3 Evaluation approaches

We evaluated model performance in different temporal scales, including hourly and aggregated (daily and monthly) time scales. The agreements between the observed and estimated (i.e., <u>bias-correctedbias-corrected</u> and downscaled) *P* for the different scales and extremes were quantified using the Kling-Gupta efficiency (KGE). The KGE is an objective performance metric combining correlation, bias, and variability, which was introduced <u>byin</u> Gupta et al. (2009) and modified <u>byin</u> Kling et al. (2012). KGE has been widely used for evaluating different datasets with observations (e.g., Beck et al., 2019b; Beck et al., 2019a; Wang et al., 2021) and as the standard evaluation metric in hydrology (Beck et al., 2017; Harrigan et al., 2018; Harrigan et al., 2020; Lin et al., 2019). The KGE is defined as follows:

$$KGE = 1 - \sqrt{(r-1)^2 + (\beta - 1)^2 + (\gamma - 1)^2}$$
(5)

320 where the correlation component r is represented by correlation coefficient, the bias component  $\beta$  represented by the ratio of estimated and observed means, and the variability component  $\gamma$  represented by the estimated and observed coefficients of variation:

$$\beta = \frac{\mu_s}{\mu_o}$$
 and  $\gamma = \frac{\sigma_s/\mu_s}{\sigma_o/\mu_o}$  (6)

where  $\mu_s$  and  $\mu_o$  denote the distribution mean for the estimates and observations, and  $\sigma_s$  and  $\sigma_o$  denote the standard deviation for the estimates and observations, respectively. Note here that the variability component  $\gamma$  is not the ratio of  $\sigma_s$  and  $\sigma_o$  to ensure that the bias and variability ratios are not cross-correlated (Kling et al., 2012), KGE, r,  $\beta$  and  $\gamma$  represent perfect agreement when they equal one. In addition to KGE, the root mean square error (RMSE) and mean absolute error (MAE) metrics are also reported since they were often used to evaluate model performance on bias correction and downscaling (e.g., Maraun et al., 2015; Rodrigues et al., 2018).

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To understand the performance on capturing P extremes, we assessed hourly P at 99<sup>th</sup> percentile and annual maximum wet spell in hours, as well as an extreme hurricane event <u>that</u> occurred during the testing period. These extreme indices and events are highly relevant to flooding (Pierce et al., 2014) and have <u>a</u> great environmental impact as well as impacts on property and human life.

Moreover, we evaluated *P* classification results from Scenario3 and Scenario6, the scenarios with <u>multitaskmultitask</u> 335 learning for bias correcting *P* categories, by comparing <u>them</u> with the four categories from the coarsened Stage IV observations. The four categories from the coarsened Stage IV were generated manually based on the ranges of the four classes. We also classified the results from QDM and raw MERRA2 into <u>the</u> four categories and compared the results with the categories from the coarsened Stage IV. A widely used metric, namely, Intersection <u>over Over</u> Union (<u>loUIOU</u>) (Li et al., 2021), is applied to evaluate classification performance, which is defined by:

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$$I_{\Theta}OU = \frac{TP}{TP + FP + FN} \cdot 100 \tag{7}$$

where *TP* represents true positives (prediction=1, truth=1), *FP* represents false positives (prediction=1, truth=0) and *FN* represents false negatives (prediction=0, truth=1). Taking the heavy rain category as an example, *TP* is an outcome where the model correctly predicts the heavy rain class; *FP* is an outcome where the model predicts it is a heavy rain class, but the true label is not a heavy rain class; *FN* is an outcome where the model predicts it is not a heavy rain class; *FN* is an outcome where the model predicts it is not a heavy rain class; *FN* is an outcome where the model predicts it is not a heavy rain class; *FN* is an outcome where the model predicts it is not a heavy rain class. Where *TP* represents true positives, *FP* represents false positives and *FN* represents false negatives. IooOU ranges from 0 to 100 and specifies the percentage of the amount of overlap between the predicted and ground truth bounding

### 34\_Results

In this section, we present the performance of the six DL model scenarios and the benchmark approach QDM\_BI on bias correcting and downscaling hourly *P*, evaluated against Stage IV precipitation data during the testing period from 2019 to 2021.

#### 3.2 <u>4.1</u>-Overall agreement

The overall agreement between the observed and estimated P was quantified with KGE [Eq. (5)] as well as each component of KGE, which were calculated on an hourly basis for the entire testing period (2019 to 2021) and for all the grid cells over the study region. Table 3 shows that Scenario2 to Scenario6 have much higher KGE than Scenario1, indicating that the weighted loss function improved model performance through rebalancing hourly P data. Scenario1, however, highly overestimated the variability (i.e.,  $\gamma$  is much greater than 1) and underestimated the mean (i.e.,  $\beta$  is much smaller than 1), resulting in a negative KGE value. This indicates that using a regular loss function (i.e., MAE) tends to underestimate hourly



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comparable for all the scenarios using the weighted loss function. The best KGE is obtained by Scenario5, with Scenario4 and Scenario6 performing consistently well in terms of KGE, which indicates that including atmospheric covariates as predictors further improved the model performance. However, the DL and benchmark approaches performed considerably worse in terms of the correlation component r of KGE than the other components (i.e.,  $\beta$  and  $\gamma$ ). The reason is that because the correlation component  $r_{\tau}$  was estimated based on all the hour-to-hour hour to hour P data, while the other two components (i.e.,  $\beta$  and  $\gamma$ )

P (relatively larger training loss than other scenarios during training, see Figure S1 in the Supplement). The KGE values are

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waswere calculated based on suggesting that long-term climatological P statistics and were relatively easier to be estimated (Beck et al., 2019b)-are relatively easier to capture than hour to hour P dynamics (i.e., r). The benchmark, QDM BI, also highly overestimated the variability, and has a lower KGE score than Scenario4, Scenario5, and Scenario6 of the DL approaches.

#### [Insert Table 3]

- 370 Table 3 also reports the results of RMSE and MAE, which are widely used to evaluate model performance on bias correction and downscaling. However, these two metrics are inadequate for pixel-wise comparison, particularly when comparing two datasets with spatial biases, due to the well-known ""double penalty problem"" (Harris et al., 2022; Rossa et al., 2008). Specifically, for using RMSE or MAE metrics, the model estimates that correctly capture the right amounts of rain in slightly incorrect locations often score worse than estimates of no rain at all. For example, Scenario1 has the lowest RMSE
- 375 and MAE, but it highly underestimated the average observed mean (i.e.,  $\beta$  is much lower than 1), while it is the worst one in all the scenarios, including ODM BI in terms of KGE scores. This illustrates the limitations of the grid point-based errorserror like RMSE and MAE as evaluation metrics.

### 3.34.2 Hourly Climatology

Due to climate variability and change, the climatology of hourly P over the testing period (2019 to 2021) is much higher 380 than the training period (2002 to 2015) (Figure 2). We evaluated the long-term mean (i.e., climatology) during the testing period (Figure 3 and Figure 4a), which allows us to examine how well the methods could capture the P climatology but also the nonstationary changes of long-term P. Again, Scenario1 notably underestimated the climatology of observations (by 71% on average) (Figure 3 and Figure 4a), due to the use of MAE as a loss function. In general, all other DL scenarios and ODM BI provide satisfactory results inen capturing hourly P climatology. Scenario4 also slightly underestimated the climatology of 385 Stage IV (12% on average, Figure 4a). This scenario only includes atmospheric covariates as model inputs without using the corrected P of MERRA-2, indicating the information from covariates only isare not sufficient to estimate hourly P. The climatology of Scenario5, senario5, and Scenario6 appears well matching with Stage IV in space, better than QDM BI. Relative differences of climatology averaged over the study area between estimated and Stage IV are 1.5%, 1.8% and 0.38% for Scenario3, Scenario5, and Scenario6, respectively, while it is 2.5% for QDM BI. Compared to Scenario3 and Scenario5,

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390 the Scenario2 underestimated the climatology<sub>2</sub> particularly around the coastal area (Figure 3), which indicates the added value from multitask learning (Scenario3) and atmospheric covariates (Scenario5). Figure 4a shows that QDM\_BI has a relative larger variance and its KGE value is lower than the ones for Scenario 2, Scenario3, Scenario5<sub>2</sub> and Scenario6. Note that all the DL estimates appearappears to be blurrier than Stage IV, similar toas what has been found in previous studies (e.g., Ravuri et al., 2021), while the QDM\_BI estimates are even blurrier than the DL estimates.

[Insert Figure 3] [Insert Figure 4]

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#### 3.44.3 Daily and Monthly P estimates

We aggregated the hourly *P* estimates into daily and monthly time scales to evaluate the performance of daily total *P* and monthly mean of hourly *P*. Overall, the KGE values for the daily total *P* are considerably greater than those for the hourly *P*(Table 3), which suggests temporal aggregation denoised the hourly precipitation data, leading to considerably higher correlation coefficient (*r* in Table 3), mainly contributing to higher KGE. Similarly, The KGE value for Scenario1 is the lowest since it highly underestimated the mean of daily total *P* (lower β), overestimated the variability (higher γ), and the correlation *r* is also lower compared to the other scenarios. The Scenario5 and Scenario6 have relatively relative higher KGE scores than other DL scenarios and QDM\_BI for daily total *P*. Daily total *P* from QDM\_BI has a comparable KGE score with the DL models; while overestimating overestimated the variability (higher γ) compared to most of the DL scenarios.

Figure 5 shows the daily total *P* time series for each year during the testing period for the Stage IV, six DL scenarios, and QDM\_BI<sub>7</sub> averaged over the study area. The results show that the daily total *P* time series from the DL models closely matched with the daily total *P* time series from Stage IV except Scenario1. Again, Scenario1 highly underestimated the daily total *P* with the lowest KGE value, suggesting the difficulties of MAE in handling the highly unbalance feature of *P*. The daily total *P* from all the other five DL scenarios isare much close to Stage IV with larger KGE values (close to or larger than 0.9) than QDM\_BI. For these five DL scenarios (Scenario2 to Scenario6), Scenario5 and Scenario6 perform better than the other scenarioss including QDM\_BI, indicating incorporating covariates and corrected coarse resolution *P* and/or multitask learning further improved daily total *P* estimates. The bias-correctedbias corrected and downscaled daily total *P* from QDM\_BI, however, highly overestimated the daily total *P* of Stage IV for almost all the large precipitation events; because the bias correction process for QDM\_BI was executed individually at each grid cell and did not consider spatial dependencies and nonlinear relationships between covariates and observations, resulting in nonstable estimations (Wang and Tian, 2022).

#### [Insert Figure 5]

Table 3 also summarizes the statistics of the monthly mean of hourly *P*. The KGE values for the monthly mean of hourly *P* are greatly increased, higher than the daily total *P*. Except Scenario 1, the KGE values for the monthly mean

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are very close to each other, with Scenario4 slightly lower than others including QDM\_BI. <u>The monthlyMonthly</u> mean from QDM\_BI had a relatively higher γ—, indicating overestimations of variability. Figure 6 presents the monthly mean time series of hourly precipitation for each month during the testing period for Stage IV, the six DL models, model and QDM\_BI, averaged over the study area. Similar to <u>the</u> daily total *P* time series, the monthly mean *P* from all the DL models closely matched with the monthly mean time series from Stage IV (KGE value greater than 0.9) except Scenario1, which highly underestimated the observations—. Scenario4 has the lowest KGE value and slightly underestimated the monthly mean, but all the scenarios (Scenario2 to Scenario6) the Scenario6 has the highest KGE score, followed by Scenario3, Scenario5, Scenario2 and Scenario4, which are consistently better than the KGE score from QDM\_BI. These results indicate that incorporating the weighted loss function (Scenario2 to Scenario6) improved monthly mean estimation, and the effects of the other customized components are not obvious at the monthly time scale. Similarly, the monthly mean from QDM\_BI estimates hashave a relatively larger variability than Stage IV, resulting in a lower KGE value.

#### [Insert Figure 6]

#### 3.54.4 Extremes

Table 4 summarized the statistics of hourly *P* at 99<sup>th</sup> percentile and the annual maximum wet spell. The results
show that Scenario1 highly underestimated hourly *P* at 99<sup>th</sup> percentile (lower β than 1) and overestimated variability (higher γ than 1), resulting in a negative KGE score, suggesting the inadequacy of using regular MAE loss function. Scenario2 has the highest KGE score with a higher correlation coefficient (higher *r*) than the other scenarios. This is probably because the number of trainable parameters for Scenario2 is the lowest, leading to a better regularization ability with limited data for extremes. The KGE values are similar for Scenario3, Scenario5, and Scenario6, and relatively higher lower for for Scenario4, suggesting the
importance of incorporating observation-corrected observation corrected *P* from coarse resolution as an input. The benchmark approach QDM\_BI highly overestimated the variability of hourly *P* at 99<sup>th</sup> percentile compared to Stage IV, resulting in a lower KGE values lower KGE values than most of the DL scenarios except Scenario1.

Figure 4b shows the boxplots of the relative difference between hourly *P* estimates and Stage IV observations at the 99<sup>th</sup> percentile. On average, Scenario1 underestimated the 99<sup>th</sup> percentile hourly *P* by over 60%, while other DL scenarios underestimated by about 20%, with Scenario5 and Scenerio6 much closer to Stage IV. The 99<sup>th</sup> percentile estimated by QDM\_BI has a much higher variance (as indicated by the distance between high 90% and low 10% bars in the boxplot, as well as high γ in Table 4) compared to DL models, while has a lower mean difference (underestimated by about 10%) due to bias correction through an explicit adjustment at each percentile. Figure 7 shows the spatial distribution of the hourly *P* at the 99<sup>th</sup> percentile for MERRA2, Stage IV, QDM\_BI<sub>4</sub> and six DL models. We can see that the 99<sup>th</sup> percentile of MERRA-2 hourly *P* 450

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4.0mm, 3.7mm, 4.2mm and 4.1mm for Scenario1 to Scenario6, respectively, indicating that increasing model complexity

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decreased hourly *P* mean biases (i.e.,  $\beta$  in Table 4) at 99<sup>th</sup> percentile.

#### [Insert Figure 7]

The DL models treated each hourly P spatial data as a 2D image and did not explicitly account for temporal dependence between images. We assumed that the DL models could potentially preserve the temporal dependence of observations if the 460 DL models were well bias-corrected bias corrected and downscaled each 2D image The DL models treated hourly spatial P data independently and did not explicitly account for temporal dependence. However, the DL models could potentially well reduce temporal biases if spatial P data for each hour can be well corrected and downscaled. The annual maximum wet spell is a widely used extreme index foron evaluating temporal dependence (e.g., Maraun et al., 2015). The wetness threshold for calculating the annual maximum wet spell index was set to 0.1mm/h, which is commonly used for hourly radarradar hourly 465 data (e.g., Tao et al., 2016). Table 4 shows that Scenario2 and Scenario3 have relativelyrelative higher KGE scores for the annual maximum wet spell extreme index than the other DL scenarios, suggesting the usefulness of more parsimonious models with weighted loss function but without including atmospheric covariates as additional inputs. Further incorporating multitaskmultitask learning (Scenario3 and Scenario6), however, slightly decreased the model performance compared to no multitaskmultitask learning scenarios (Scenario2 and Scenario5), probably due to the increased parameters and decreased 470 regularization ability. While scenario1 has the lowest KGE score than the other DL scenarios, it is still much higher than QDM BI, which highly overestimated the mean of annual maximum wet spell for Stage IV observations (much higher  $\beta$  than 1). Boxplots in Figure 4c show the difference between model estimates and Stage IV observations for the annual maximum wet spell in hours during the testing period. Scenario1 highly underestimated the annual maximum wet spell by about 10 hours. Scenario2 and Scenario3 have the lowest differences with Stage IV in terms of the mean and variance of the annual maximum wet spells. On average, Scenario 5, and Scenario 6 overestimated the annual maximum wet spell by about 10 hours, 475 with Scenario4 and Scenario6 showing a relativelyrelative larger variance. The benchmark approach QDM\_BI has the largest difference (on average over 22 hours) and much larger variance compared to Stage IV, resulting in a negative KGE score. This is probably because QDM BI corrected biases on a grid basis, which failed to account for the spatial and temporal dependence.

Figure 8 shows an extreme event occurred from 19:00 to 20:00 on 29 August 2021 in Universal Time Coordinated (UTC) time zone when Hurricane Ida landed at the Louisiana State in the United States from MERRA2, Stage IV, QDM\_BI and the six DL scenarios. We can see that MERRA2 highly underestimated this extreme event and did not capture detailed features of

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Stage IV. While QDM\_BI estimates slightly enhanced the hourly *P* values, <u>theyit</u> still failed to capture detailed features. The Scenario1 to Scenario3 gradually enhanced hourly *P*, but these three models had difficulties <u>capturingto capture</u> the center of <u>the</u> hurricane. By including atmospheric covariates, Scenario4 to Scenario6 roughly captured the center of <u>the</u> hurricane, and Scenario6 also reproduced the cyclones surrounding the center. These results suggest <u>the importance of incorporating weighted</u> loss function, multitask learning, and atmospheric covariates<u>the</u> customized components improve the model performance on <u>for</u> bias correcting and downscaling specific extreme events.

#### [Insert Figure 8]

#### 3.64.5 *P* categories

490 Figure 9 shows that Scenario3 and Scenario6, the scenarios with <u>multitask</u> learning for bias correcting *P* categories, have larger <del>IoU</del><u>IOU</u> values (e.g., 19.63% for Scenario3 and 19.91% for Scenario6 for moderate rain 2.5-10mm) than QDM method (but 15.30% for moderate rain) particularly for the three categories with rain, indicating that the two DL models results <u>well-better</u> matched with the wet categories of the coarsened Stage IV observations, better than the QDM method. Furthermore, Scenario6 has <u>relativelyrelative</u> larger <u>IoU\_IOU</u> scores than Scenario3, indicating incorporating atmospheric covariates improved classification accuracy. For example, there is 8.15% of the heavy rain category matched the coarsened Stage IV observations. These results suggest that, with anthe auxiliary classification task, incorporated in the Scenario3 and Scenario6 of the DL model can well-better estimate the four categories of hourly *P* during the testing period than the traditional bias correction method QDM.

[Insert Figure 9]

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# 45 Discussion

This study explored customized DL for bias correcting and downscaling hourly *P* through a set of experiments with or without customized loss functions, <u>multitaskmultitask</u> learning, and inputs from atmospheric covariates of precipitation. Scenario1, which used regular MAE as <u>a</u>loss function, highly underestimated *P* for all the temporal scales as well as extremes, showing the lowest performance. Since most <del>of</del> hourly *P* are no rain, the regular loss function very likely leads the model to learn no rain events while neglecting rainy events. <u>Regular MAE has been used for downscaling daily precipitation data with limited biases in previous studies</u> (e.g., Sha et al., 2020a), but to our knowledge, there are no successful cases using regular <u>MAE for downscaling hourly precipitation data with large biases</u>. However, the scenarios with customized loss <u>functionsfunction</u> with weighted MAE (Scenario2 to Scenario6) consistently showed much better performance than Scenario1. 510 This result suggests that penalizing more towards heavy *P* on a grid basis makes the optimization algorithm focus more on the grids where rainfalls occurred and, therefore, inherently rebalance the hourly *P* for model training. <u>While this study explored</u> bias correcting and downscaling hourly precipitation from climate reanalysis data, this algorithm with customized loss function

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can be potentially integrated with precipitation data from the Global Precipitation Measurement (GPM) mission to generate more accurate operational precipitation data at a finer resolution.

515 (e.g., Sha et al., 2020a)

The scenarios with <u>multitaskmultitask</u> learning <u>indicateindicates</u> limited added values perform generally better than the other scenarios in terms of hourly climatology (Figure 4a), and daily and monthly assessments (Figure 5 and Figure 6). Multitask learning model with covariates can enhance extreme events and is the best model for application of bias correcting and downscaling *P* extreme events. Their added values, however, are limited and performed worse than other scenarios without 520 multitask multitask learning (Scenario2 and Scenario5) in terms of extreme indices (see Figure 4b, 4c and Table 4). The reason for that is probably because adding <u>multitaskmultitask</u> learning increased 30% trainable parameters with limited extreme data decreased the model regularization ability. Baño-Medina et al. (2020) designed a series of DL models with plain CNN architecture and different model complexity (i.e., increasing the number of <u>trainable modelmodel trainable</u> parameters) to downscale daily ERA5 reanalysis dataset and found that increasing model complexity <u>makesmake</u> model performance worse, particularly for extreme indices (98<sup>th</sup> percentile and annual maximum wet spell), which is consistent with our study.

Traditional methods (e.g., QDM BI) mainly use coarse resolution P data as the only predictor for downscaling and bias correction, which cannot fully utilize nonlinear relationships between covariates and observations (Rasp and Lerch, 2018) during the bias correction and downscaling process. DL models with covariates as auxiliary variables, however, have indicated success inon improving model performance for postprocessingpostprocessing temperature and precipitation forecasts due to 530 the capability of learning nonlinear relationships between covariates and response variable automatically (Li et al., 2022; Rasp and Lerch, 2018). Scenario4 to Scenario6 incorporated physically relevant covariates of precipitation, with only Scenario4 excluding the coarse resolution P as Baño-Medina et al. (2020) did for downscaling daily precipitation. The results indicate that incorporating auxiliary predictors of atmosphere circulations and moisture conditions can help improve P bias correcting and downscaling skillsskill (see Figure 3 to Figure 8). However, only using covariates without coarse resolution P (Scenario4) is not sufficient to well estimate hourly P, while using coarse resolution P as additional input (Scenario5 and Scenario6) shows 535 improved performance. This result is consistent with a recent study focusing on CNN-based postprocessingpostprocessing of P forecasts from numerical weather prediction models, showing total precipitation itself is the most important predictor (Li et al., 2022). Note that we did not explore the importance of rank among these covariates in improving the model performance in this study, which could be a potential avenue for future work. Furthermore, static variables, such as elevations, long-termlong 540 term climatology (Sha et al., 2020a), soil texture, and land cover, could be helpful for resolving local details. However, our study region has little topographic variations, and therefore including elevation data cannot add any additional information to the model.

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Moreover, we compared the customized DL scenarios with a traditional method ODM BI and found that most of the all DL experiments remarkably outperform QDM BI in all the temporal scales as well as extremes. QDM BI executed bias 545 correction at each grid point without considering spatial dependencies and only used coarse resolution P as a predictor, and thus does not have the capability of capturing spatial features (e.g., detailed spatial features for the hurricane Ida in Figure 9) and accounting for the atmosphere and moisture covariates of precipitation. Furthermore, the proposed customized DL models are fully convolutional, and the trained models can potentially potentially can be easily used to estimate hourly P in other places 550 through transfer learning where high-resolutionhigh resolution data are not available [e.g., Stage IV radar coverage is limited in the western United States as a result of the scarcity of the radar network and blockage from the mountains (Nelson et al., 2016)]. There are many questions that need to be explored under this topic about transferability under various climate zones and the impact of spatial distance, which The performance of transfer learning under various climate zones with different types of P events deserves a separate study. The trained models also have the potential to generate high-resolution high resolution 555 hourly P estimates beyond the time range covered by Stage IV radars (e.g., before 2002). Furthermore, the SRDRN architecture can be further customized to downscale different gridded precipitation, including downscaling precipitation from GCM projections, which can be a future study.

Due to the stochastic nature of DL models, we ran each DL scenario for additional three times (four times in total) to evaluate the effects of stochasticity comparedeomparing with the added value of each customized component of DL models (see Table S2 and Table S3 in the Supplement). The results show that KGE values for each scenario are significantly different

- 560 (see Table S2 and Table S3 in the Supplement). The results show that KGE values for each scenario are significantly different at the p-value of 0.05 at the hourly time scale, which indicates that the added value of each customized component is not caused by model stochasticity. Scenario1 is significantly worse than other scenarios, including QDM\_BI at hourly and aggregated time scales as well as extreme indices, emphasizing the added value of the weighted loss function. Scenario5 and Scenario 6 are significantly better than other scenarios, including QDM\_BI, in terms of KGE values at hourly and aggregated
- 565 time scales, and Scenario4 is significantly worse at the monthly time scale. For the 99<sup>th</sup> percentile extreme index, Scenario4 is significantly worse than Scenario3, Scenario5, and Scenario6. For the annual maximum wet spell index, Scenario2 and Scenario3 are significantly better than other scenarios. All these stochastic significance evaluation results are consistent with the findings in Section 4. Due to computational demand (20 to 22 hours for running each scenario once) and resource limits, we ran limited times for each scenario to consider the stochasticity of DL models, and incorporating DL models with Bayesian inference is a potential way to quantify systematic uncertainty caused by model itself as indicated by Vandal et al. (2018a).

#### 56 Conclusions

Various gridded precipitation (P) data at different spatiotemporal scales have been developed to address the limitations of ground-based P observations. These gridded P data products, however, suffer from systematic biases and spatial resolutions



- are mostly too coarse to be used in local scale studies. Many studies based on DL approaches have been conducted to bias 575 correct and downscale coarse resolution P data. However, it is still challenging for traditional approaches, as well as current DL approaches to capture small-scalesmall scale features, especially for P extremes, due to the complexity of P data (e.g., highly unbalanced and skewed), particularly at fine temporal scale (e.g., hourly). To address these challenges, this study developed customized DL models by incorporating customized loss functions, multitaskmultitask learning, and 580 physicallyphysical relevant atmospheric covariates. We designed a set of model scenarios to evaluate the added values of each component of the customized DL models. Our results show that customized loss functions greatly improved model performance compared to the model scenario with regular loss function in all the temporal scales as well as extremes (on average, improved by over 70% for climatology and over 50% at the 99th percentile). The scenarios with multitask learning performed generally better than other scenarios on hourly climatology and aggregated time scales (daily and monthly), while
- 585 the improvement is not as large as incorporating weighted loss function. While multitask multitask learning greatly-improved model performance on capturing detailed features of extreme events (e.g., hurricane Ida), the scenarios with multitaskmultitask learning performed worse than other scenarios in terms of extreme indices potentially due to the increased number of trainable parameters. The added value of incorporating atmospheric covariates is remarkable, likely because these scenarios took full advantageadvantages of nonlinear relationships between large-scale covariates, precipitation, and fine-scale observations. The 590 results also indicated that the role of coarse resolution P as a predictor is very important for improving model performance despite the added values from the covariates. The DL scenarios with customized loss function and coarse resolution P as the only predictor are the best models at places where no covariate data are available. Moreover, all themost of the DL scenarios with customized loss functionsfunction performed much better in all the temporal scales as well as extremes than the benchmark approach QDM BI, which is not able to account for spatial dependence and nonlinear relationships. These results 595

highlight the advantages of the customized DL model compared with regular DL models as well as traditional approaches, which provideprovides a promising tool to fundamentally improve precipitation bias correction and downscaling and better estimate P at high resolutions.

#### **Code Availability Statement**

The code of regular and customized SRDRN models is available at: https://osf.io/whefu/ (DOI: 10.17605/OSF.IO/WHEFU).

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#### **Data Availability Statement**

The MERRA2 product is accessible through the Goddard Earth Sciences Data Information Services Center (GES DISC; http://disc.sci.gsfc.nasa.gov/mdisc/overview). The Stage IV radar precipitation data can be acquired via the National Center for Atmospheric Research (NCAR) data portal (https://data.eol.ucar.edu/dataset/21.093).

#### 605 Author contributions

FW: Methodology, Conceptualization, Software, Validation, Formal analysis, Investigation, Data Curation, Writing – Original draft preparation, Visualization. DT: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing- Original draft preparation, Supervision, Funding acquisition, Project administration. MC: Resources, Writing – Review & Editing.

#### 610 Competing interests

The contact author has declared that none of the authors has any competing interests.

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# Table 1. Deep Learning (DL) Experimental Design

Experimental Runs (Scenarios)	Input	Output	Loss
Scenario1	hourly precipitation (P)	Р	MAE
Scenario2	Р	Р	Weighted MAE
Scenario3	Р	P + categorical $P$	Weighted MAE $+\lambda$ *Weighted cross-entropy
Scenario4	Covariates w/o P	Р	Weighted MAE
Scenario5	Covariates w/ P	Р	Weighted MAE
Scenario6	Covariates w/ P	P + categorical $P$	Weighted MAE + $\lambda$ *Weighted cross-entropy

NO	Name	Description	-	
4	H250	Geopotential height at 2	<del>50 hPa</del>	
2	H500	Geopotential height at 5	<del>00 hPa</del>	
3	<del>H850</del>	Geopotential height at 8	<del>50 hPa</del>	
4	<del>Q250</del>	Specific humidity at 250	) hPa	
5	<del>Q500</del>	Specific humidity at 500	<del>) hPa</del>	
6	<del>Q850</del>	Specific humidity at 850	) hPa	
7	T250	Air temperature at 250 h	Pa	
8	<del>T500</del>	Air temperature at 500 h	Pa	
9	<del>T850</del>	Air temperature at 850 h	Pa	
<del>10</del>	<del>U250</del>	Eastward wind at 250 hl	2 <sub>a</sub>	
<del>11</del>	<del>U500</del>	Eastward wind at 500 hI	2 <sub>a</sub>	
<del>12</del>	<del>U850</del>	Eastward wind at 850 hl	2 <mark>.</mark> a	
<del>13</del>	<del>V250</del>	Northward wind at 2501	<del>1Pa</del>	
<del>1</del> 4	<del>V500</del>	Northward wind at 250 l	<del>1Pa</del>	
<del>15</del>	<del>V850</del>	Northward wind at 250 l	<del>1Pa</del>	
<del>16</del>	OMEGA500	Omega (vertical wind) a	t 500 hPa	
17	<u>SLP</u>	Sea level pressure		
<del>18</del>	T2M	2-meter air temperature	-	
NO	Other variables	Variable description	Units	
1	<u>H250</u>	Geopotential height at 250 hPa	<u>m</u>	
2	<u>H500</u>	Geopotential height at 500 hPa	<u>m</u>	
<u>3</u>	<u>H850</u>	Geopotential height at 850 hPa	<u>m</u>	
4	<u>Q250</u>	Specific humidity at 250 hPa	<u>kg/kg</u>	
5	<u>Q500</u>	Specific humidity at 500 hPa	<u>kg/kg</u>	
6	<u>Q850</u>	Specific humidity at 850 hPa	<u>kg/kg</u>	
7	<u>T250</u>	Air temperature at 250 hPa	<u>K</u>	
8	<u>T500</u>	Air temperature at 500 hPa	<u>K</u>	
2	<u>T850</u>	Air temperature at 850 hPa	<u>K</u>	
10	<u>U250</u>	Eastward wind at 250 hPa	<u>m/s</u>	
11	<u>U500</u>	Eastward wind at 500 hPa	<u>m/s</u>	
12	<u>U850</u>	Eastward wind at 850 hPa	<u>m/s</u>	
13	<u>V250</u>	Northward wind at 250 hPa	<u>m/s</u>	
14	<u>V500</u>	Northward wind at 250 hPa	<u>m/s</u>	
15	<u>V850</u>	Northward wind at 250 hPa	<u>m/s</u>	
16	OMEGA500	Omega (vertical wind) at 500 hPa	<u>Pa/s</u>	
17	<u>SLP</u>	Sea level pressure	<u>Pa</u>	

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Table 3. Overall assessment for hourly, daily total, and monthly mean of hourly precipitation. <u>KGE represents the modified</u> <u>Kling-Gupta efficiency (KGE) and it includes three components (correlation component β and variability</u>

**095** component  $\gamma$ ). The correlation component *r* is represented by correlation coefficient, the bias component  $\beta$  is represented by the ratio of estimated and observed means, and the variability component  $\gamma$  is represented by the estimated and observed

coefficients of variation.

	-						
Temporal scales	Scenarios <u>*</u>	KGE	r	β	γ	RMSE (mm)	MAE (mm)
	Scenario1	-0.0584	0.267	0.288	1.28	1.20	0.189
	Scenario2	0.218	0.297	0.958	0.660	1.25	0.258
TT 1	Scenario3	0.203	0.278	1.02	0.664	1.28	0.269
nrecipitation	Scenario4	0.250	0.331	0.883	0.682	1.21	0.240
precipitation	Scenario5	0.283	0.358	1.02	0.682	1.22	0.248
	Scenario6	0.262	0.356	1.00	0.639	1.20	0.247
	QDM_BI	0.248	0.332	1.02	1.35	1.36	0.256
	Scenario1	0.0935	0.615	0.288	1.409	10.19	3.54
	Scenario2	0.644	0.685	0.958	0.840	8.76	3.42
	Scenario3	0.626	0.675	1.02	0.815	8.94	3.54
Daily precipitation	Scenario4	0.618	0.642	0.883	0.935	9.37	3.55
	Scenario5	0.688	0.701	1.02	0.914	8.89	3.40
	Scenario6	0.668	0.701	1.00	0.855	8.65	3.34
	QDM BI	0.644	0.689	1.02	1.17	10.50	3.42
	Scenario1	0.0206	0.567	0.289	1.52	0.162	0.133
	Scenario2	0.766	0.778	0.958	0.941	0.0721	0.0512
Monthly mean of	Scenario3	0.784	0.791	1.02	0.951	0.0713	0.0505
hourly	Scenario4	0.690	0.712	0.883	0.991	0.0835	0.0592
precipitation	Scenario5	0.778	0.782	1.02	0.964	0.0734	0.0519
	Scenario6	0.776	0.783	1.00	0.945	0.0719	0.0511
	QDM BI	0.717	0.777	1.02	1.17	0.0850	0.0553

\*Scenarios have different settings: Scenario1 is with a regular MAE loss function and coarse precipitation as a predictor; Scenario2 is with a weighted MAE loss and coarse precipitation as a predictor; Scenario3 is the same as Scenario2 except with a classification as an additional auxiliary task; Scenario4 is with a weighted loss function but and covariates as predictors; Scenario5 is the same as Scenario4 except also including coarse precipitation as predictors; Scenario 6 is the same as Scenario5 but including a classification as an additional auxiliary task.

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Table 4. Performance of extreme indices including hourly *P* at 99% percentile and annual maximum wet spell in hours. KGE
 represents the modified Kling-Gupta efficiency (KGE) and it includes three components (correlation component r, bias component β and variability component γ). The correlation component r is represented by correlation coefficient, the bias component β is represented by the ratio of estimated and observed means, and the variability component γ is represented by the estimated and observed coefficients of variation.

Extreme indices	Scenarios*	KGE	r	β	γ	RMSE	MAE
	Scenario1	-1.306	0.352	0.358	3.12	3.150	3.101
	Scenario2	0.367	0.415	0.806	1.14	1.049	0.946
0041	Scenario3	0.243	0.264	0.828	1.04	0.978	0.876
(mm)	Scenario4	0.204	0.242	0.763	1.06	1.255	1.153
(11111)	Scenario5	0.255	0.284	0.863	1.15	0.858	0.744
	Scenario6	0.245	0.271	0.845	1.12	0.922	0.800
	QDM_BI	0.158	0.244	0.900	1.36	0.793	0.655
	Scenario1	0.153	0.275	0.621	1.22	12.2	10.3
	Scenario2	0.293	0.302	1.11	0.988	9.17	7.14
A 1 ·	Scenario3	0.291	0.302	1.07	1.10	9.33	7.03
Annual maximum	Scenario4	0.121	0.282	1.46	1.21	17.0	12.7
wet spen (nours)	Scenario5	0.193	0.335	1.44	1.11	15.8	12.2
	Scenario6	0.152	0.306	1.47	1.14	16.6	12.6
	QDM BI	-0.209	0.173	1.88	1.09	26.6	22.2

\*Scenarios have different settings: Scenario1 is with a regular MAE loss function and coarse precipitation as a predictor; Scenario2 is with a weighted MAE loss and coarse precipitation as a predictor; Scenario3 is the same as Scenario2 except with a classification as an auxiliary task; Scenario4 is with a weighted loss function and covariates as predictors; Scenario5 is the same as Scenario4 except also including coarse precipitation as predictors; Scenario 6 is the same as Scenario5 but including a classification as an auxiliary task.

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Figure 3. Hourly precipitation climatology (in a unit of mm/h) during the testing period (2019 to 2021), which includes MERRA2, Stage IV, QDM\_BI<sub>2</sub> and six DL experimental runs (Scenario1 to Scenario6).



Figure 4. Boxplots showing hourly precipitation estimates minus Stage IV observations based on<sub>7</sub> (a) climatology, (b) extreme at 99% percentile, and (c) annual maximum wet spell in hours during <u>the</u> testing period (2019 to 2021). Precipitation estimates are produced from the QDM\_BI approach and 6 DL experimental runs (Scenario1 to Scenario6).



Figure 5. Daily total precipitation during the testing period (2019 to 2021) from Stage IV, QDM\_BI, and 6 DL experimental runs (Scenariol to Scenario6).



Figure 6. Monthly mean of hourly precipitation time series during the testing period (2019 to 2021) from Stage IV, QDM\_BI\_ 1140 and 6 DL experimental runs (Scenario1 to Scenario6).



Figure 7. Spatial map of hourly precipitation <u>extremesextreme</u> at 99th percentile <u>(in a unit of mm/h)</u> from raw MERRA2, Stage IV, QDM\_BI<sub>2</sub> and 6 DL experimental runs (Scenariol to Scenario6).



Figure 8. Hourly precipitation (in a unit of mm/h) from 19:00 to 20:00 on 29 August 2021 in UTC time zone when Hurricane Ida landed in Louisiana, including raw MERRA2, Stage IV, QDM\_BI and six DL experimental runs (Scenario1 to Scenario6).

0-0.1mm	80.54	88.10	81.00	86.44
0.1-2.5mm	27.10	23.60	25.93	27.91
2.5-10mm	14.94	15.30	19.63	19.91
>10mm	4.32	7.12	8.15	11.07

QDM

Categories MERRA2

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Figure 9. Heat map showing the Intersection over <u>Over Union</u> (IoUIOU) comparing coarsened Stage IV with raw MERRA2, QDM, two deep learning experiment runs with classification task (Scenario3 and Scenario6)

Scenario3 Scenario6

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