

# A nonhydrostatic oceanic regional model ORCTM v1 for internal solitary wave simulation

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## Abstract.

An Oceanic Regional Circulation and Tide Model (ORCTM) including the nonhydrostatic dynamics module which can numerically reproduce the Internal Solitary Waves (ISWs) dynamics, is presented in this paper. The performance of baroclinic tidal simulation is also examined in the regional modelling with the open boundary conditions.

The model control equations are characterized with the three-dimensional and fully nonlinear forms considering incompressible Boussinesq fluid in Z-coordinates. The pressure field is decomposed into the surface, hydrostatic, and nonhydrostatic components on the orthogonal curvilinear Arakawa-C grid. The nonhydrostatic pressure determined by the intermediate velocity divergence field is obtained via solving a three-dimensional Poisson equation based on a pressure correction method. Model validation experiments for ISWs simulations with the topographic change in the two-layer and continuously stratified ocean demonstrate that ORCTM has a considerable capacity for reproducing the life cycle of Internal Solitary Waves evolution and tide-topography interactions.

## 1. Introduction

Internal Waves (also called Internal Gravity Waves) activities have been observed frequently across the stratified ocean and play a significant role in the multiscale energy cascade (Mtfller, 1976). Observations reveal that the Internal Waves, especially the high-frequency Internal Solitary Waves, could contain significant potential energy with strong vertical shear, mixing, and wave breaking, leading to a dramatic change of the currents and density structures (Ramp et al., 2004; Vlasenko et al., 2010; Huang et al., 2016), violent overturning bringing sediment and nutrient from the seafloor to the surface (Wang et al., 2007), even irretrievable damages to some underwater vehicles (Duda et al., 2006) and deep-water

29 drilling (Osborne et al., 1978). Basically, astronomical tides passing the abrupt topography can cause the  
30 generation of baroclinic tides (also called internal tides, hereafter ITs) with multi-modal structures then  
31 capable of propagation, disintegration, and dissipation in the ocean (Vlasenko et al., 2005; 2010). The  
32 low-mode of baroclinic tides can travel thousands of kilometers with long horizontal wavelengths about  
33 ten of kilometers (Baines, 1982). Furthermore, the inclusion of nonlinear and nonhydrostatic effects  
34 permits the evolution of the Nonlinear Internal Waves (hereafter NIWs), even the Internal Solitary Waves  
35 (hereafter ISWs) derived from the steepening of low-mode internal tides as the consequence of the ever-  
36 changing terrain and background stratification (Gerkema and Zimmerman, 1995; Legg and Adcroft, 2003).

37 Numerical ocean models are one of the most effective tools to study Internal Waves compared to  
38 theoretical methods, in-situ observations, and laboratory investigations. The ocean models with the  
39 hydrostatic balance approximation have been used to explore the regional circulation and tide dynamics  
40 across the stratified ocean. The hydrostatic balance manages to take the large-to-mesoscale scales into  
41 consideration due to the fairly high accuracy (Marshall et al., 1997b; Chen et al., 2003; Shchepetkin and  
42 McWilliams, 2005; Ko et al., 2008). However, In the hydrostatic balance scheme, omitting some essential  
43 terms in the vertical momentum equation results in the inapplicability of the nonhydrostatic dynamics  
44 (Lai et al., 2010). For example, the subsequent steepening of the internal tides and the later high-  
45 frequency nonlinear ISWs forming cannot be depicted by a hydrostatic modelling where only internal  
46 jumps are formed but no soliton appears (Li, 2010), because the strong vertical current with its order of  
47 magnitude equals the horizontal one via the scale analysis method (Marshall et al., 1997a). In other words,  
48 the three-dimensional Navier-Stokes equations should be considered thoroughly. It is indispensable for  
49 simulating the nonlinear and large amplitude ISWs to develop a nonhydrostatic ocean model in  
50 consideration of nonhydrostatic dynamics.

51 A robust ocean model with nonhydrostatic dynamics realizations should satisfy two requirements  
52 synchronously at least: 1) The high enough accuracy of meso-to-big scales simulation must be under  
53 guarantee, such as large-scale wind-induced circulation and mesoscale eddies reconstructed and mainly  
54 influenced by the hydrostatic balance; 2) Meanwhile, it is the concerned small-meso scales with the  
55 higher spatial and temporal resolution that are resolved finely under the nonhydrostatic balance, for  
56 instance, there is the simulation being able to describe the cradle-to-grave process for the tide-topography  
57 interactions, the dispersive effects and nonlinear steepening of baroclinic tides, and the breaking and  
58 dissipation of strong nonlinear ISWs. The nonhydrostatic simulation can apply to the small-to-big scales

59 across the stratified ocean simultaneously, which is identified as one of the main directions for research  
60 and development of the nonhydrostatic ocean model. In reality, there have been some nonhydrostatic  
61 ocean models or ones considering nonhydrostatic dynamics coming out in the past decades, such as  
62 MITgcm (Marshall et al., 1997a;1997b;1998), SUNTANS (Fringer et al., 2006), and ROMS (Kanarska  
63 et al., 2007). All above have been used to realize a series of two or three-dimensional nonhydrostatic  
64 numerical studies, including the instability of small-scale flows in the laboratory experiment (Lai et al.,  
65 2010; Li et al., 2022), Internal Solitary Waves in the continental shelves (Vlasenko et al., 2010, Zeng et  
66 al., 2019) and the hydraulic Lee wave around the seamount (Kanarska et al., 2007; Liu et al., 2016).  
67 Nevertheless, the primary reason why there is still no widespread use for the nonhydrostatic ocean model  
68 is that the nonhydrostatic solution to an extensive sparse linear equation is too demanding to solve  
69 directly for the 3-D oceanic environment. That usually demands large amounts of iteration times, fast  
70 convergent speed, and PC storage occupation. For this reason, Ai and Ding (2016) employed a novel  
71 model grid arrangement to render the sparse linear equation discretized form simpler to solve where the  
72 bottom-fitted coordinate ensures the homogeneous boundary condition. Moreover, the numerical errors  
73 can be avoided via the immersed boundary method to treat uneven bottoms in the calculation of the  
74 baroclinic pressure force (Ai et al., 2021). Generally, whether the boundary conditions are matched with  
75 the whole nonhydrostatic algorithm can shape the performance of complex nonhydrostatic dynamics in  
76 the regional ocean model. In addition, the different kinds of sub-grid parameterization schemes have a  
77 profound impact on the model performance with a necessity for appropriate ones to be assessed, and  
78 most of these model codes are seldom shared or of open source. Supposing we develop a nonhydrostatic  
79 ocean model based on an original hydrostatic framework model. In that case, the nonhydrostatic  
80 dynamics module should involve a complete vertical momentum equation. Some terms associated with  
81 the vertical velocity are required to be complemented simultaneously in the other equation. Besides,  
82 based on the idea of the fractional step method (Press et al., 1988; Armfield and Street, 2002), the total  
83 pressure is to be decomposed into hydrostatics and nonhydrostatic components (Marshall et al., 1997a;  
84 Lai et al., 2010). The former corresponds to the result of hydrostatic balance, and the divergence for  
85 intermediate velocity limits the latter to correct the local velocity fields called the “pressure correction”  
86 method (Stansby and Zhou, 1998; Fringer et al., 2006; Kanarska et al., 2007; Lai et al., 2010). With these  
87 methods, the nonhydrostatic dynamics simulation can be fulfilled economically comparatively in  
88 harmony with the original physical framework as an extension of the hydrostatic ocean model.

89 In this context, we have implemented the nonhydrostatic dynamic algorithm into an Oceanic  
90 Regional Circulation and Tide Model (hereafter ORCTM) and demonstrated its capability and  
91 performance of reproducing the life cycle of nonlinear internal solitary waves in distinct hydrodynamic  
92 environments. The rest of the paper is organized as follows. In Section 2, the basic framework of ORCTM  
93 including control equations, open boundary conditions, and nonhydrostatic algorithms is described. In  
94 Section 3, a series of numerical validation experiments results are presented, aiming at the simulation of  
95 the overall processes of the internal solitary waves. In the last section, we have some further discussions  
96 and come to conclusions.

## 97 **2. Model Development**

98 The Max Planck Institute Ocean Model (MPI-OM) is a global ocean circulation and tide model based  
99 on the ocean primitive equations discretized on the orthogonal curvilinear Arakawa-C grid with  
100 hydrostatic balance approximation (Marsland et al., 2003; Chen et al., 2005). Rooted from MPI-OM, in  
101 this paper, an oceanic regional circulation and tide model (ORCTM) has been developed to realize the  
102 simulation for nonhydrostatic internal solitary waves modelling, which will be referred to hereafter as  
103 ORCTM version 1.0. The z-level grid applied has the partial filled cell capability to adjust the distance  
104 of the vertical grid on seabed for fitting into the realistic terrain, and the tidal forcing flow can be  
105 implemented via a relaxation scheme at the open boundary with an area of sponge layers. It is under the  
106 laws of the Boussinesq, rotating and fully nonlinear Navier–Stokes fluid that ORCTM can be used to  
107 reproduce and explore the nonhydrostatic dynamics such as large-amplitude ISWs, nonlinear tidal  
108 internal waves, and downwelling and upwelling processes of real oceans.

### 109 **2.1. Control Equations**

110 The three-dimensional ocean primitive control equations involve the momentum, continuity,  
111 potential temperature, salinity, and density equations given as follows.

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} - fv + \tilde{f}w = -\frac{1}{\rho_c} \frac{\partial P}{\partial x} - g \frac{\partial \zeta}{\partial x} + F_{Vx} + F_{Hx} + \mathcal{F}_x \quad (1)$$

$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} + w \frac{\partial v}{\partial z} + fu = -\frac{1}{\rho_c} \frac{\partial P}{\partial y} - g \frac{\partial \zeta}{\partial y} + F_{Vy} + F_{Hy} + \mathcal{F}_y \quad (2)$$

$$\frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + v \frac{\partial w}{\partial y} + w \frac{\partial w}{\partial z} - \tilde{f}u = -\frac{1}{\rho_c} \frac{\partial P}{\partial z} - \frac{\rho}{\rho_c} g + F_{Vz} + F_{Hz} + \mathcal{F}_z \quad (3)$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0 \quad (4)$$

$$\frac{\partial \theta}{\partial t} + u \frac{\partial \theta}{\partial x} + v \frac{\partial \theta}{\partial y} + w \frac{\partial \theta}{\partial z} = F_{V\theta} + F_{H\theta} + Q_\theta \quad (5)$$

$$\frac{\partial S}{\partial t} + u \frac{\partial S}{\partial x} + v \frac{\partial S}{\partial y} + w \frac{\partial S}{\partial z} = F_{VS} + F_{HS} + Q_s \quad (6)$$

$$\rho = \rho(\theta, S, P) \quad (7)$$

112 In the local cartesian framework of reference on the rotating earth for a geophysical flow,  $t$  is the  
 113 time;  $\partial/\partial t$  is the time partial derivative;  $x, y$  and  $z$  axes direct eastward, northward, and upward  
 114 respectively; The horizontal velocity vector is  $\mathbf{u}_h = (u, v)$ ;  $w$  is the vertical velocity. With the  
 115 linearized kinematic boundary condition and the fresh water forcing term  $Q_\zeta$  from the evaporation and  
 116 precipitation (Marsland et al., 2003), the free surface elevation equation can be proposed as follows.

$$\frac{\partial \zeta}{\partial t} = -\nabla_h \cdot \int_{-H}^{\zeta} \mathbf{u}_H dz + Q_\zeta \quad (8)$$

117  $\zeta$  is the change of the free surface elevation;  $P, \theta$ , and  $S$  are pressure, potential temperature and  
 118 salinity;  $\rho_c$  is the reference density of sea water. The first and second Coriolis parameters are  $f =$   
 119  $2\Omega \sin \varphi$  and  $\tilde{f} = 2\Omega \cos \varphi$ , where  $\Omega$  is the rotational angular speed and  $\varphi$  is the geographic latitude.  
 120  $\nabla_H$  is the horizontal divergence operator;  $Q_\theta$  and  $Q_s$  are source or sink terms about potential  
 121 temperature and salinity. The equation of seawater state is the polynomial form for the density  $\rho$   
 122 advocated by the Joint Panel on Oceanographic Tables and Standards (Fofanoff and Millard, 1983). The  
 123 additional forcing term vector  $\mathcal{F} = (\mathcal{F}_x, \mathcal{F}_y, \mathcal{F}_z)$  can consider tidal potential forcing. The horizontal eddy  
 124 viscosity vector is  $\mathbf{F}_H = (F_{Hx}, F_{Hy}, F_{Hz})$  described with the scale-dependent biharmonic formulation  
 125 (Wolff et al., 1997; Marsland et al., 2003), and the horizontal diffusivity terms of temperature and salinity  
 126 are  $F_{H\theta}$  and  $F_{HS}$  supporting the harmonic forms. Besides, the vertical eddy viscosity vector is  $\mathbf{F}_V =$   
 127  $(F_{Vx}, F_{Vy}, F_{Vz})$  and eddy diffusivity terms are  $F_{V\theta}$  and  $F_{VS}$ . Here, the vertical eddy turbulent frictions  
 128 are specified to depend on the Richardson number  $Ri$  via the modified PP81 parameterization scheme  
 129 (Pacanowski and Philander, 1981). The viscous terms all above are expressed as

$$\mathbf{F}_H = -\nabla_h \cdot (B_H \nabla_h \Delta \mathbf{u}), \quad \mathbf{F}_V = \frac{\partial}{\partial z} \left( A_V \frac{\partial \mathbf{u}}{\partial z} \right) \quad (9)$$

$$F_{\chi H} = D_H \Delta \chi, \quad F_{\chi V} = \frac{\partial}{\partial z} \left( D_V \frac{\partial \chi}{\partial z} \right), \quad \chi = \theta, S \quad (10)$$

$$A_V^{n+1} = (1 - \lambda) A_V^n + \lambda (A_{V0} (1 + \alpha \cdot Ri)^{-2} + A_w + A_b) \quad (11)$$

$$D_V^{n+1} = (1 - \lambda) D_V^n + \lambda (D_{V0} (1 + \alpha \cdot Ri)^{-3} + D_w + D_b) \quad (12)$$

$$Ri = \frac{N(z)^2}{(\partial u / \partial z)^2 + (\partial v / \partial z)^2} \quad (13)$$

130 where  $\Delta = \nabla_h \cdot \nabla_h$  is the horizontal Laplace operator;  $B_H$  and  $D_H$  are parameterized with the horizontal  
 131 grid resolution;  $N(z)$  is the buoyancy frequency.  $A_V^{n+1}$  and  $D_V^{n+1}$  are updated on formulas (11) and (12)  
 132 with the time relaxation coefficient  $\lambda$  at every time step. Apart from the background viscous coefficients  
 133  $A_b$  and  $D_b$  due to internal waves breaking, the modified PP81 scheme also considers the wind-induced  
 134 turbulent coefficients  $A_w$  and  $D_w$  associated with the local mixed layer depth and 10m wind speed  
 135 (Marsland et al., 2003). Here, the constant number  $\alpha$  is set to be 5. And the adjustable parameters  $A_{V0}$   
 136 and  $D_{V0}$  can be determined by estimating energy flux at every grid point. As for the boundary condition,  
 137 the slip conditions are specified at surface and bottom boundaries where the wind stress  $\tau_w$  is based on  
 138 the model input, and the bottom drags  $\tau_b$  are described by linear and quadratic functions (Arbic and Scott,  
 139 2008). The top and bottom boundary conditions can be written as

$$\tau_w / \rho_c = A_V \frac{\partial \mathbf{u}_h}{\partial z} \Big|_{z=\zeta}, \quad \tau_b / \rho_c = A_V \frac{\partial \mathbf{u}_h}{\partial z} \Big|_{z=-H} = \left( \gamma + C_d \sqrt{u^2 + v^2} \right) \mathbf{u}_h \quad (14)$$

140 Where  $\gamma$  and  $C_d$  are the bottom friction and drag coefficients representing the linear and quadratic  
 141 relation expressions, respectively.

## 142 2.2. Settings of Open Boundary Condition

143 It is fundamental for the regional model to be configured by an open boundary condition that avoids  
 144 reflection waves effectively so that the outward waves can freely flow through the boundaries.  
 145 Meanwhile, external inputs such as tidal waves can stably force the model domain through the boundaries,  
 146 satisfying the need for consistency in hydrodynamics and computational mathematics. Here, we use the  
 147 relaxation boundary conditions with sponge layers consulting Zhang et al. (2011) that can dampen the  
 148 reflection waves back into the interior domain and refrain from the sharp gradients of water properties  
 149 caused by the prescribed values on the boundaries. Specifically, we add a relaxation term  $M(x, y, z, t)$   
 150 formularized with the exponential function in the specified sponge zones. At each time step, the model  
 151 variables are updated with an explicit scheme expressed as

$$M(x, y, z, t) = - \left( \frac{m(x, y, z, t) - m_b(x, y, z, t)}{\tau} \right) \cdot e^{-\delta}, \quad m = u, v, w, \theta, S \quad (15)$$

$$m = (1 - \beta)m^* + \beta m_b, \quad \beta = \frac{\Delta t e^{-\delta}}{\tau}, \quad \delta = \frac{4r(x, y)}{L_{sp}} \quad (16)$$

152 In the formulas (15) and (16),  $m_b$  is the boundary value of requisite model variable including

153 velocity, potential temperature, and salinity;  $m$  is the corresponding relaxation result in the interiors;  
 154  $m^*$  is the intermediate variable;  $r$  is the distance from the boundary;  $\Delta t$  is the model time step. Here, it  
 155 should be noted that  $\tau$  and  $L_{sp}$  are artificially prescribed adjustment parameters referring to the time-  
 156 scale coefficient and the thickness of the sponge relaxation layers. The model target variables over the  
 157 sponge layer will relax exponentially to the boundary values through the relaxation term, where  
 158 relaxation is modulated by  $\tau$  and  $L_{sp}$  in the exponential shape. To restrain the reflection of outflow  
 159 current,  $\tau$  and  $L_{sp}$  need to be determined in advance via estimating the energy flux of internal signals  
 160 through the boundaries. This open boundary relaxation condition is suitable for the numerical study of  
 161 the large-amplitude ISWs so that the outward strong, nonlinear, and nonhydrostatic wave and current  
 162 signals will dampen gradually.

### 163 2.3. Implement of Nonhydrostatic Algorithms

164 According to the momentum equations (1) to (3), the total pressure  $P$  consists of sea surface  
 165 pressure  $p_s$ , hydrostatic pressure  $p_h$ , and nonhydrostatic pressure  $p_{nh}$  given as follows.

$$P = p_s(x, y) + p_h(x, y, z) + p_{nh}(x, y, z) \quad (17)$$

$$\frac{\partial p_h}{\partial z} = -\rho g \quad (18)$$

166 It is negligible for the change of sea surface pressure term  $p_s$  to impact on the water column if the  
 167 external atmospheric forcing is excluded. Hydrostatic pressure  $p_h$  can be calculated from the hydrostatic  
 168 balance equation (18), and the vertical momentum equation (3) at this stage becomes

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_c} \frac{\partial p_{nh}}{\partial z} + \frac{\partial}{\partial z} \left( A_v \frac{\partial w}{\partial z} \right) - \nabla_h \cdot (B_H \nabla_h \Delta w) + \tilde{f}u - \mathbf{u} \cdot (\nabla \cdot \mathbf{w}) \quad (19)$$

169 Where the left term refers to the local time rate of change, and the right term is the sum of the other forces  
 170 without the additional forcing term vector. Compared with Eq. (18), the vertical momentum equation (19)  
 171 can be also called nonhydrostatic balance equation. Furthermore, with the idea of the fractional step  
 172 method (Press et al., 1988; Kanarska et al., 2007), the intermediate velocity field  $\tilde{\mathbf{u}} = (\tilde{u}, \tilde{v}, \tilde{w})$  will be  
 173 updated via the nonhydrostatic pressure  $p_{nh}^n$  gradients, which can be obtained via the Eqs. (20) to (22)  
 174 discretized as follows.

$$\frac{\tilde{u} - u^n}{\Delta t} = -G_x - \frac{1}{\rho_c} \frac{\partial p_{nh}^n}{\partial x} \quad (20)$$

$$\frac{\tilde{v} - v^n}{\Delta t} = -G_y - \frac{1}{\rho_c} \frac{\partial p_{nh}^n}{\partial y} \quad (21)$$

$$\frac{\tilde{w} - w^n}{\Delta t} = -G_z - \frac{1}{\rho_c} \frac{\partial p'_{nh}}{\partial z} \quad (22)$$

175 Where the superscript  $n$  means the current time step and the vector  $\mathbf{G} = (G_x, G_y, G_z)$  represents the sum  
 176 of advection term, Coriolis term, eddy viscosity term, and hydrostatic pressure gradients term. The  
 177 discretized partial equations (23) to (25) are established subsequently under the relationship between the  
 178 nonhydrostatic pressure perturbation  $p'_{nh}$  gradients and the next time step  $n+1$  velocity field. Then  
 179 nonhydrostatic pressure at next time step is defined as equation (26) in the light of the pressure correction  
 180 method. To acquire nonhydrostatic pressure perturbation the continuity equation (4) needs to be  
 181 substituted into Eqs. (23) to (25) to eliminate the following time step  $n+1$  velocity field with the three-  
 182 dimensional Poisson equation (27) left, which demonstrates that the nonhydrostatic pressure depends on  
 183 the vanishes of the divergence-free velocity fields.

$$\frac{u^{n+1} - \tilde{u}}{\Delta t} = -\frac{1}{\rho_c} \frac{\partial p'_{nh}}{\partial x} \quad (23)$$

$$\frac{v^{n+1} - \tilde{v}}{\Delta t} = -\frac{1}{\rho_c} \frac{\partial p'_{nh}}{\partial y} \quad (24)$$

$$\frac{w^{n+1} - \tilde{w}}{\Delta t} = -\frac{1}{\rho_c} \frac{\partial p'_{nh}}{\partial z} \quad (25)$$

$$p_{nh}^{n+1} = p_{nh}^n + p'_{nh} \quad (26)$$

184 The Poisson equation (27) can be discretized into a linear matrix Eq. (28) where the right-hand side  
 185  $\mathbf{B}$  is determined by the divergence of the intermediate velocity field. The adjoint matrix  $\mathbf{A}$  represents the  
 186 discrete three-dimensional Laplacian operator with a size of the number of model cells. Their specific  
 187 discrete processes are introduced in Appendix A.

$$\frac{\partial^2 p'_{nh}}{\partial x^2} + \frac{\partial^2 p'_{nh}}{\partial y^2} + \frac{\partial^2 p'_{nh}}{\partial z^2} = \frac{\rho_c}{\Delta t} \left( \frac{\partial \tilde{u}}{\partial x} + \frac{\partial \tilde{v}}{\partial y} + \frac{\partial \tilde{w}}{\partial z} \right) \quad (27)$$

$$\mathbf{A} p'_{nh} = \mathbf{B} \quad (28)$$

$$\nabla p'_{nh} \cdot \mathbf{n} = 0 \quad (29)$$

188 The proper boundary conditions need to be given to solve this Poisson equation (27). Here, the  
 189 homogeneous Neumann boundary condition at the solid boundaries, also called the Zero-gradient  
 190 condition (29), is used with good compatibility with the no flux normal to slope, where  $\mathbf{n}$  is the normal  
 191 unit vector (Marshall et al., 1997a). We assume that nonhydrostatic dynamic processes are weak enough  
 192 at the sea surface and open boundaries. In other words, the input signals through the boundaries are  
 193 dominantly hydrostatic with nonhydrostatic pressure perturbation close to zero. The nonhydrostatic



194 dynamic framework is restricted to the interiors. Hence, the Zero-gradient condition is utilized to hold  
195 back sharp nonhydrostatic pressure gradients at the open boundaries. With the above boundary conditions,  
196 this linear system (28) can be solved via the Krylov subspace method with PETSc's assistance on parallel  
197 computers under the standard MPI-based framework (Balay et al., 2020). Besides, a highly efficient  
198 method need to be devised to precondition the huge and sparse matrix  $A$ . Here, the multigrid  
199 preconditioner (Smith et al., 1996) and flexible generalized minimal residual algorithm (Saad, 1993) are  
200 employed in numerical validation experiments in this paper to minimize computational costs.

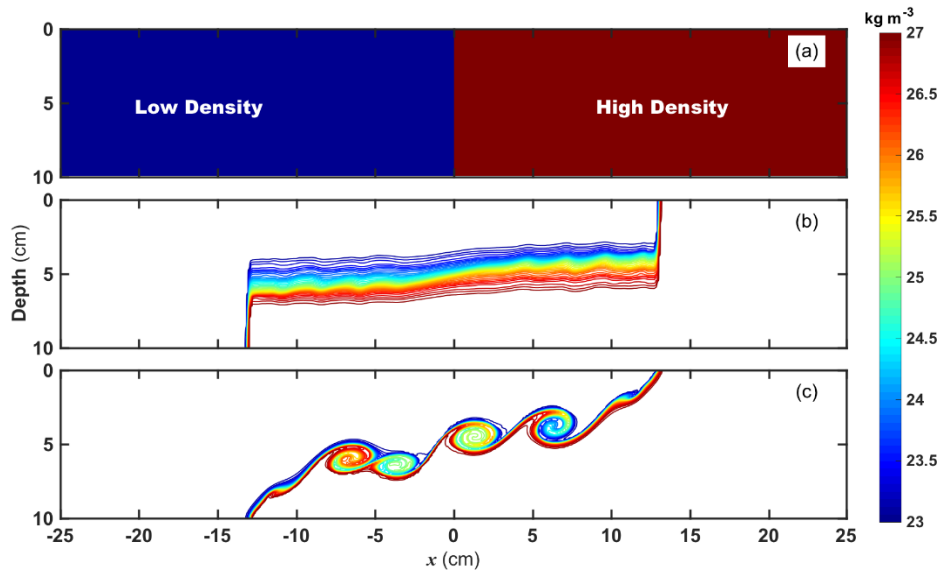
### 201 **3. Model applications and assessments**

202 In this section, we present a series of ideal numerical validation experiments to explore the  
203 correctness and compatibility of nonhydrostatic algorithms together with ORCTM. In allusion to the  
204 internal solitary wave dynamics, these test cases range from laboratory-scale cases in an enclosed tank  
205 to field-scale ones like the northern South China Sea with open boundaries. The first case is the lock-  
206 exchange problem as the preliminary validation. The second to fourth cases are designed to explore the  
207 nonlinear evolution of internal solitary waves induced by their interactions with the changing terrain.  
208 The last one is the generated nonlinear internal waves case in a double-ridge environment analogous to  
209 the Luzon Strait, which aims at the generation and disintegration of nonlinear internal waves to examine  
210 the effectivity of open tidal forcing condition module under the nonhydrostatic algorithms. Analyses of  
211 all test experiments above indicate that ORCTM can reproduce nonlinear and nonhydrostatic internal  
212 solitary waves in different oceanic environments, which exhibits the robustness and reliability of this  
213 nonhydrostatic ocean model.

#### 214 **3.1. The lock-exchange problem**

215 When the shear currents flow between the two different density fluids, the Kelvin-Helmholtz  
216 instability (hereafter K-H instability) will appear to cause turbulent diapycnal mixing (Lawrence et al.,  
217 1991; Cushman-Roisin, 2005). The perturbation on the interface gradually develops and stimulates  
218 numerous small eddies due to energy dissipation. The magnitude order of vertical flow is comparable to  
219 the horizontal one so the nonhydrostatic effect matters throughout the whole process. We set a rectangle  
220 enclosed tank separated by a vertical board in the middle at the  $x$ -axis origin. Both sides of the tank are

221 separately filled with two different density fluids in Fig. 1a. The gravitational adjustment will proceed  
 222 when the central board is disengaged just like a lock gate. Here, we refer to the previous configurations  
 223 (Härtel et al., 2000; Fringer et al., 2006; Lai et al., 2010) as a 2-D problem. The horizontal length  $L$  is set  
 224 to 50 cm, and the static water height is 10 cm without topographic change in the tank. The grid resolution  
 225 is 0.001 m in horizontal and vertical directions. Several sensitivity experiments were explored to reduce  
 226 the dissipations out of solid boundary friction, so the bottom friction coefficients are finally set to zero;  
 227 both  $A_{V0}$  and  $D_{V0}$  in formulas (11) and (12) are  $2 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ . Besides, water density averages are  
 228 calculated based on the prescribed salinity difference on the left and right sides of the tank  $\rho_l = 1023.05$   
 229  $\text{kg m}^{-3}$  and  $\rho_r = 1026.95 \text{ kg m}^{-3}$ .

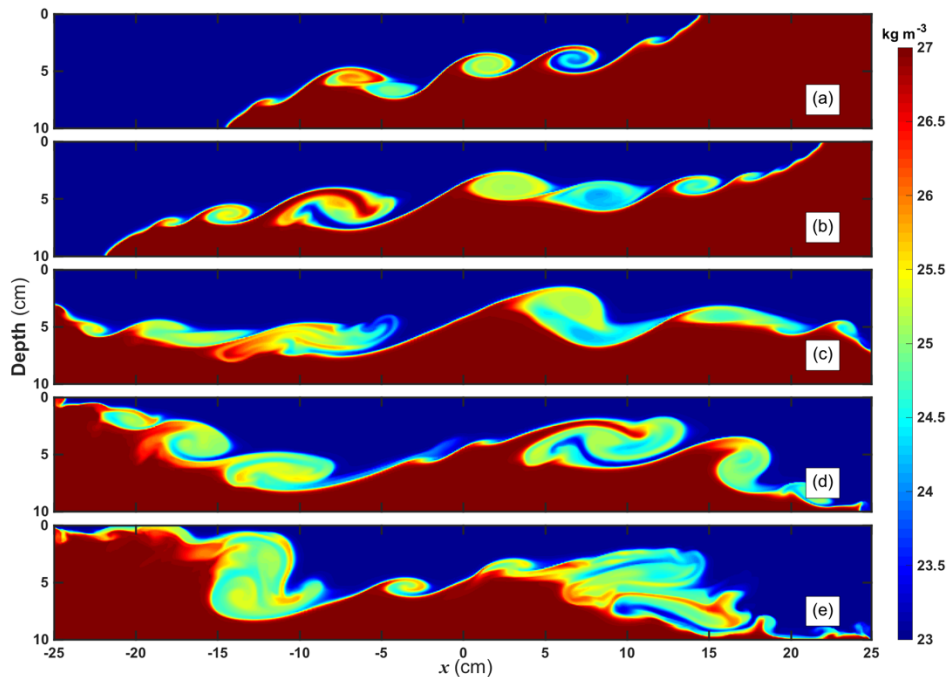


230  
 231 Figure 1. (a) The initial density  $\sigma$  (hereafter the same expression) field of the lock-exchange case, and  
 232 their contour plots of density at  $t = 4.5 \text{ s}$  where the contour interval is  $0.1 \text{ kg m}^{-3}$  under the hydrostatic  
 233 (a) and nonhydrostatic (b) model framework.

234 The K-H instability process grows rapidly with good eddies reconstruction and outstanding waves  
 235 breaking. In contrast in that model configuration, we also run the same configuration experiment above  
 236 but under the hydrostatic balance scheme. Figures 1b and 1c show the results of density  $\sigma$  (define  $\sigma =$   
 237  $\rho - 1000 \text{ kg m}^{-3}$ ) at the same time under the hydrostatics and nonhydrostatic balance framework. The  
 238 comparison proves that the K-H instability cannot proceed resulting from the inapplicability of the  
 239 hydrostatics balance. The perturbation on the density interface is so tiny that the density fronts cannot  
 240 evolve in the upper and lower layer, so the mixing caused by the overturning and shear is too weak to be  
 241 seen. On the contrary, via the nonhydrostatic scheme, the eddies can proliferate with energy dissipation

242 due to the associated shear on the perturbation, vigorously mixing the high and low-density water on the  
243 interface. More specifically, the energy is transmitted to the small-scale eddies across the density fronts  
244 due to dispersion and nonlinearity.

245 The evolution process of K-H instability is shown in Fig. 2. It is out of gravitational adjustment that  
246 the density fronts movement accompanies with the heavy water in the bottom and light one in the upper  
247 moving to the left and right, respectively, causing a velocity shear field and clockwise rotating interface  
248 in Fig. 2a. The shear strength gradually increases until breaking the critical point of restoring force that  
249 depends on the density gradient, and later a series of eddies grow from the middle to both sides of the  
250 tank with the turbulent rolling and overturning. These eddies mix the water body with high density at the  
251 bottom and the upper one with low density, forming multiple considerable density mixing areas in Figs.  
252 2b and 2c. When the bottom density flow is reflected on the left wall, the similar adjustment process  
253 begins to develop in reverse of Figs. 2d and 2e, but the strength of subsequent eddies is significantly  
254 weakened due to energy dissipation.



255

256 Figure 2. Density field evolution at  $t =$  (a) 5.0, (b) 7.5, (c) 10.0, (d) 12.5, and (e) 15.0 s

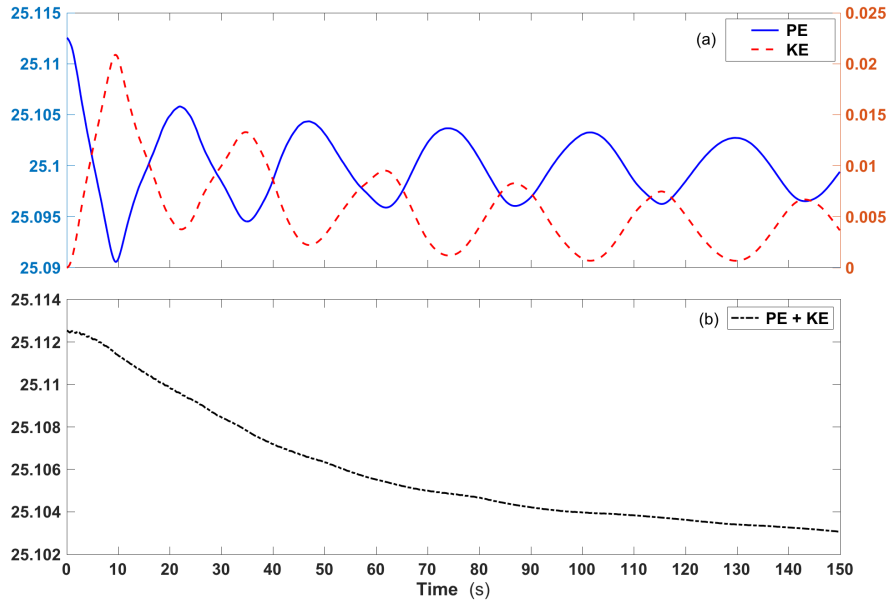
257 These density distributions display the generation of density fronts and numerous eddies throughout  
258 the gravitational adjustment process. Based on the point of energy dynamics, the gravitational potential  
259 energy (PE) is converted to the kinematical energy (KE) for the water parcel, while the total energy  
260 dissipates continuously in the tank. Here, KE and PE of the entire 2-D tank are calculated from the

261 following formulas.

$$KE = \int_0^L \int_{-H}^{\zeta} \frac{1}{2} \rho (u^2 + w^2) dx dz \quad (30)$$

$$PE = \int_0^L \int_{-H}^{\zeta} \rho g z dx dz \quad (31)$$

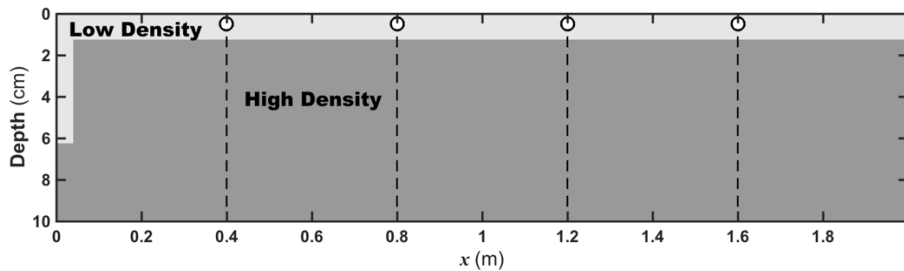
262 The three curves show the fluctuation of PE, KE, and total energy during the K-H instability  
 263 simulation in Fig. 3. The PE and KE correspond to the maximum and zero due to the initial density  
 264 distribution and static field in Fig. 3a. Afterward, the PE declines sharply with an opposite change of KE.  
 265 Both rates of change are almost the same based on the curve slopes, which demonstrate that PE is  
 266 converted to KE, reaching mutual peaks of about 9.5 s at the end of the first gravitational adjustment.  
 267 From then on, both of them still maintain the opposite trends with an oscillation of roughly 25 s. It is  
 268 worth noting that all kinds of energy exhibit a downward trend with their oscillation period increasing  
 269 steadily due to energy dissipation so that KE will drop to zero and PE and total energy (PE+KE) will  
 270 reach the constant in the end. The results above are equivalent to the previous works (Harel et al., 2000;  
 271 Fringer et al., 2006; Lai et al., 2010), implying the correctness of the nonhydrostatic dynamic module.



272  
 273 Figure 3. (a) The timeseries of the kinematical (red dashed line), potential (blue solid line) energy, and  
 274 (b) the same as total (black dotted line) energy (units: kg m<sup>2</sup> s<sup>-2</sup>).

275 **3.2. Internal Solitary Wave in a tank**

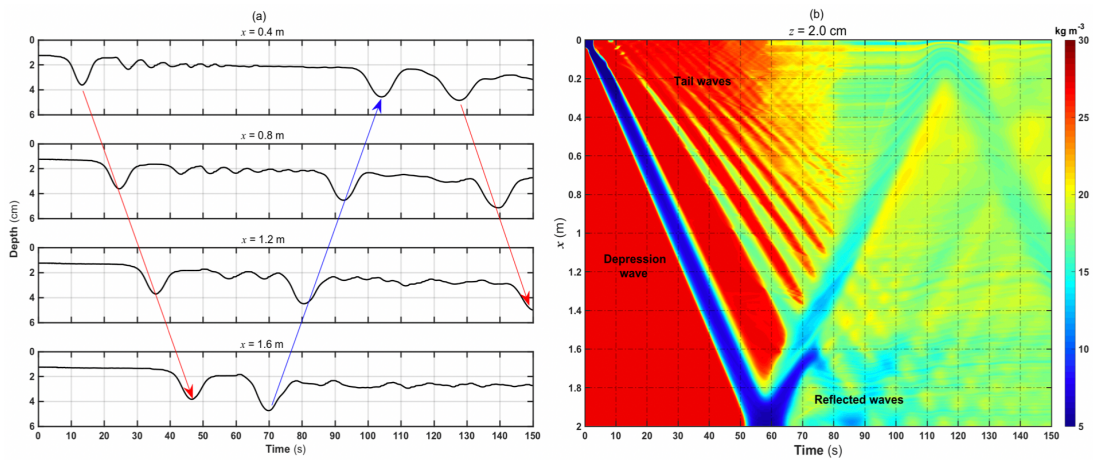
276 Internal solitary wave activities are ubiquitous in the ocean with strong nonlinearity and  
 277 nonhydrostatic effect. Laboratory experiments are usually carried out to study the ISWs to make up for  
 278 the defects of field observations. The numerical ISWs experiment in a laboratory-scale background needs  
 279 to be combined simultaneously (Grue et al., 2000). We follow the previous experimental configuration  
 280 (Ma et al., 2019). A schematic diagram of the ISW experiment is given in Fig. 4. The tank length is 2.0  
 281 m with the  $x$ -axis origin located on the left; the static height is 10 cm without topographic change; the  
 282 horizontal and vertical resolutions are  $2 \times 10^{-3}$  and  $1 \times 10^{-3}$  m; both bottom friction and drag coefficients  
 283 are set to  $3 \times 10^{-3}$  with the effect of a fairly robust friction to the ISW;  $A_{V0}$  and  $D_{V0}$  are same as the  
 284 experiment configuration in section 3.1. Here, a gravity collapse method is used to generate the  
 285 depression ISW. Specifically, the low- and high-density fluids initially fill the upper and lower layers of  
 286 the tank with the collapse area on the left side. The collapse height and width are 5.0 cm and 4.0 cm.  
 287 Water density averages are calculated in the upper and lower layer with  $\rho_1 = 1003.62 \text{ kg m}^{-3}$  and  $\rho_2 =$   
 288  $1026.95 \text{ kg m}^{-3}$ . Additionally, the diagnostic module is employed to characterize the high-frequency  
 289 variation. The high-frequency outputs are positioned at points  $x = 0.4, 0.8, 1.2,$  and  $1.6$  m with a time  
 290 interval of 0.05 s.



291  
 292 Figure 4. Schematic diagram of ISW case. The light and dark gray indicate the low- and high-density  
 293 water with  $1003.62 \text{ kg m}^{-3}$  and  $1026.95 \text{ kg m}^{-3}$ , where four white dots refer to the high-frequency  
 294 output points.

295 Figure 5 distinctly illustrates the evolution of the ISW packet in the tank based on the pycnocline  
 296 fluctuation. The isopycnic of  $1026 \text{ kg m}^{-3}$  can characterize the maximum strength of depression ISW in  
 297 Fig. 5a. The eastward starting wave packet originated from the west gravity collapse area comprises the  
 298 depression heading wave and several tail waves whose amplitudes decreases successively. The heading  
 299 wave with the maximum amplitude propagates much faster than the tails behind so that the distance

300 expands promptly between them. As is exhibited in Table 1 about the heading wave characteristics at the  
 301 four locations, we find the wave amplitude with almost little change and then a slight fluctuation but no  
 302 more than 0.1 cm after  $x = 0.8$  m. The quantitative evaluation of the wave speed based on the slope of  
 303 the blue dashed area in Fig. 5b reveals that the wave speed increases slowly after  $x = 0.2$  m but with its  
 304 increment less than  $0.01$  m  $s^{-1}$ . Those above indicate that the starting ISW packet are still at the stage of  
 305 gravity adjustment before arriving at  $x = 0.2$  m and then propagating to the east steadily in our simulation.  
 306 Besides, the characteristic westward reflected waves (the blue line in Fig. 5a) with the larger amplitude  
 307 prove that the wave-wave interactions happen between the reflected and starting tail waves.



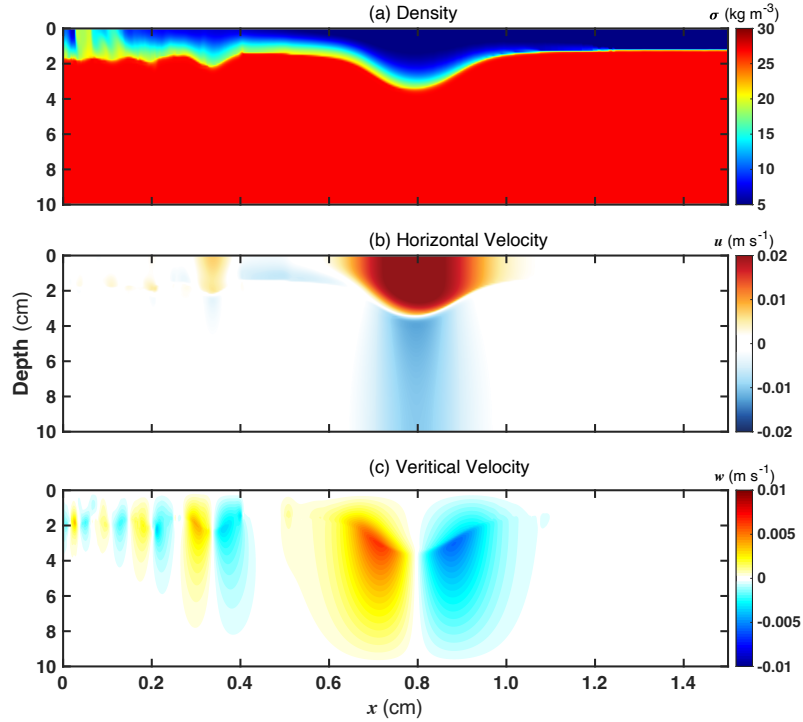
308  
 309 Figure 5. (a) The density timeseries of  $1026$  kg  $m^{-3}$  at the four high-frequency output locations from the  
 310 west to east. The left red and blue arrow lines indicate the eastward and westward waves, and the right  
 311 red means the eastward reflected waves from the channel start. (b) Hovmöller diagram showing the  
 312 density  $\sigma$  at  $z = 2.0$  cm where the time interval is  $0.1$  s.

313  
 314

Table 1 The characteristics of the depression heading wave at the four points

location ( $x/cm$ )	0.4	0.8	1.2	1.6
parameters				
amplitude ( $a/cm$ )	2.369	2.362	2.392	2.469
characteristic wavelength ( $L/cm$ )	19.632	21.643	23.206	25.822
nonlinearity ( $\epsilon$ )	0.237	0.236	0.239	0.250
dispersion ( $\mu$ )	0.259	0.213	0.186	0.150

315

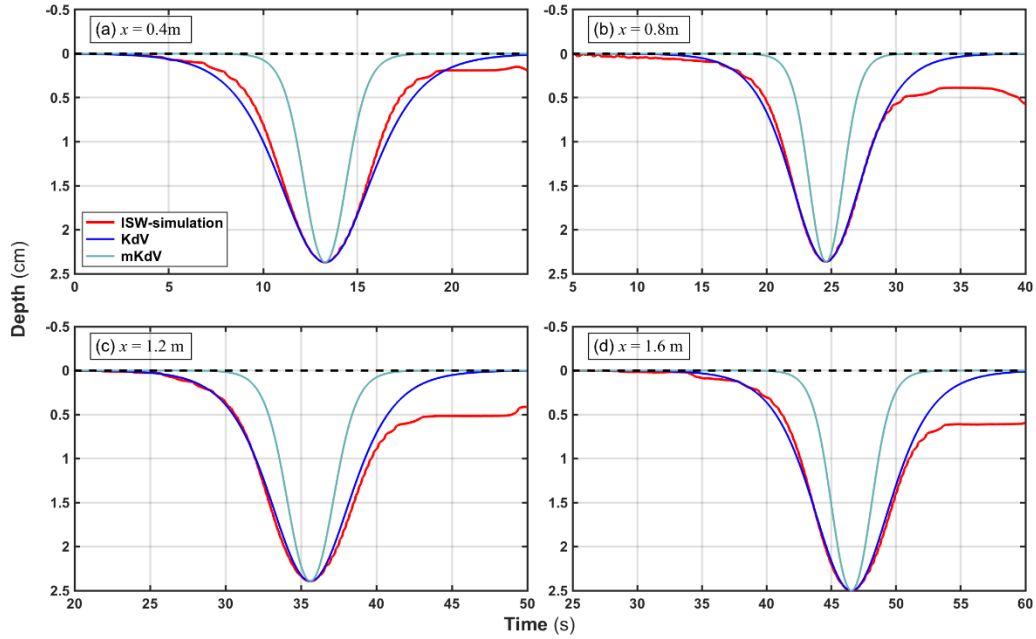


316

317 Figure 6. From top to bottom, density, horizontal and vertical velocity fields of the ISWs at  $t = 24.5$  s.

318

319 We select a snapshot result for characteristic verification shown in Fig. 6 when the heading wave  
 320 arrives at around  $x = 0.8$  m. The strongest horizontal velocity of the depression wave is  $0.023 \text{ m s}^{-1}$ , and  
 321 the vertical flow can reach up to  $0.0065 \text{ m s}^{-1}$ . The characteristic velocity fields are in line with the  
 322 clockwise structure of a theoretical depression internal solitary wave. Furthermore, the nonlinearity  $\varepsilon =$   
 $a/h$  and dispersion  $\mu = (h/\lambda)^2$  are calculated at the different locations in Table 1 where  $a$ ,  $h$ , and  $\lambda$   
 323 are the amplitude, water height, and characteristic wavelength. The KdV model (Benjamin, 1966)  
 324 described in Appendix B is utilized to predict theoretical waveforms at the four locations. The  
 325 comparison depicted in Fig. 7 demonstrates that the results are more consistent with the KdV model  
 326 rather than m-KdV model. According to the nonlinearity  $\varepsilon$  from Michallet and Barthélemy (1998), the  
 327 small and large-amplitude ISW can be classified when  $\varepsilon < 0.05$  and  $\varepsilon > 0.05$ , respectively. Whereas  
 328 the application of the KdV model requires a balance between the weak nonlinearities and dispersion  
 329 (Ono, 1975), which namely needs satisfy this condition  $\mu = O(\varepsilon) \ll 1$ . Despite the large-amplitude  
 330 waves simulated from our model with  $\varepsilon > 0.05$ , the nonlinearity and dispersion are of the same order  
 331 and small enough that the heading wave can be deemed under weak nonlinearity. Those can explain this  
 332 reason why the waveforms are better described by the KdV model. Therefore, analyses of the theoretical  
 333 model indicate that the simulation of internal solitary wave can be fulfilled authentically using our  
 334 nonhydrostatic model.



335

336 Figure 7. The interface displacement induced by ISW at four high-frequency output locations. The red

337 lines indicate the  $1026 \text{ kg m}^{-3}$  isopycnic, and the blue and cyan lines represent the KdV and m-KdV

338 model results.

### 339 3.3. Internal Solitary Wave shoaling on a Gaussian terrain

340 Based on the experiment configuration in section 3.2 (also called Exp. 3.2). Here, a slowly varying

341 terrain is implemented to explore the nonlinear evolution of internal solitary wave in this section 3.3

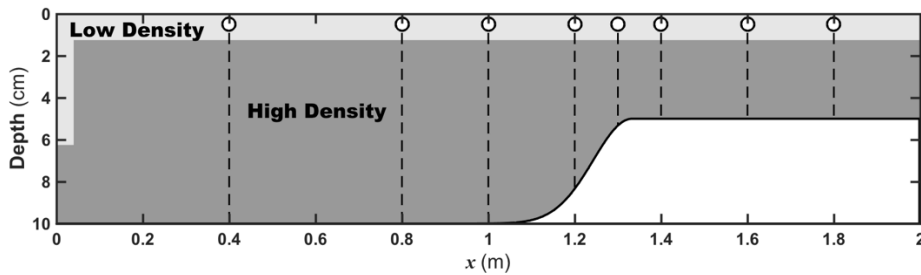
342 (also called Exp. 3.3), especially the wave shoaling. As shown in Fig. 8, the left half of the Gaussian

343 curve is reserved as the slope-shelf terrain starting between  $x = 1.0$  and  $1.3$  m with a height of  $5.0$  cm,

344 and then the water depth remains unchanged from  $x = 1.3$  to  $2.0$  m corresponding to the shallow water

345 zone. The high-frequency outputs are acquired during the climbing process of ISWs at points  $x = 0.4,$

346  $0.8, 1.0, 1.2, 1.3, 1.4, 1.6,$  and  $1.8$  m with the same output interval as Exp. 3.2.



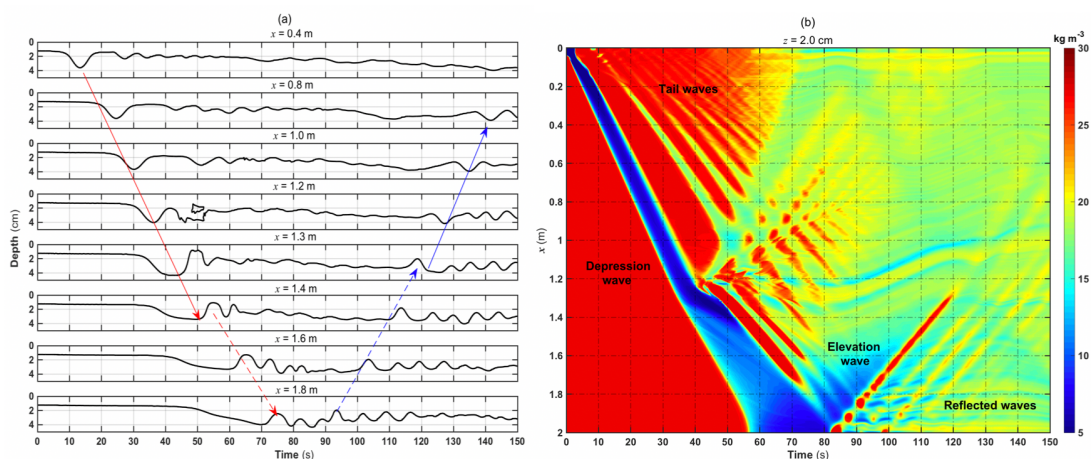
347

348 Figure 8. As in Figure 4, but with half-Gaussian topography in the east of the tank, where eight white

349 dots refer to the high-frequency output points.

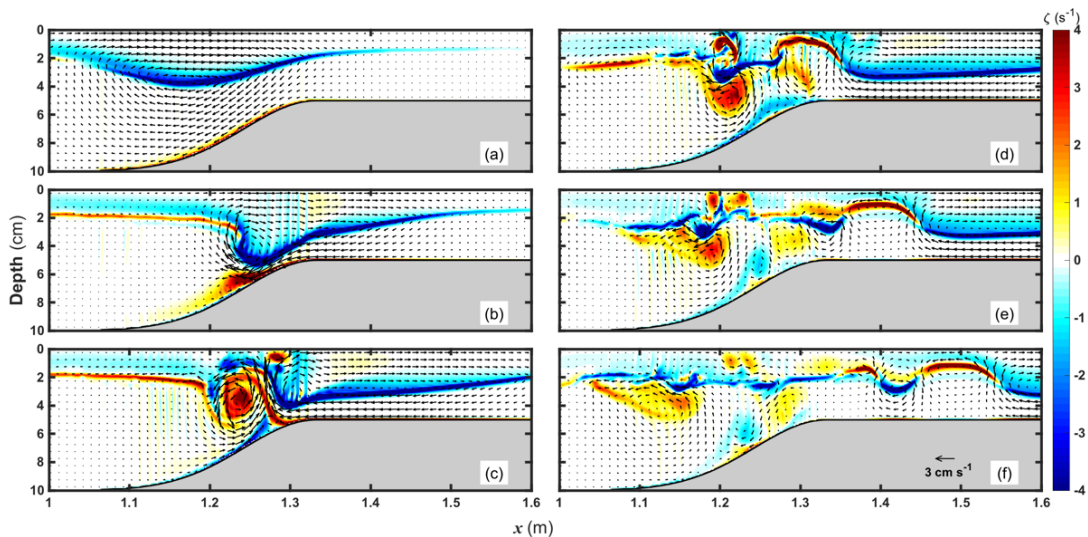


350 The evolution of the internal solitary waves with varying topography is displayed in Fig.9. The  
 351 heading ISW holds a stable packet at  $x = 0.4$  m and initiates to shoal after reaching  $x = 1.0$  m. Afterward,  
 352 the heading ISW undergoes the topographic change so that the speed of the wave trough is less than the  
 353 wave rear. Consequently, the contrasting effects on the wave front and wave rear contribute to the former  
 354 gentle sloping but the latter gradual steepening, which shows a similarity with Vlasenko et al. (2002).  
 355 Then the closed isopycnic contour mirrors the backward overturning and rolling due to the wave breaking  
 356 at  $x = 1.2$  m in Fig. 9a. Apart from the wave breaking process above, it is also found in Fig. 9b that the  
 357 reflected waves propagate back to the deep water zone from  $x = 1.2$  m. In other words, both the wave  
 358 breaking and refraction attenuate substantially the original depression ISW energy. When arriving at the  
 359 east of  $x=1.2$  m, the original depression wave past the critical point where the upper layer is thicker than  
 360 the lower one in Fig. 10a, so an elevation wave springs up in the wave rear. The elevation wave then  
 361 continues to propagate eastward, which leads to accumulating the high-density water in the upper water  
 362 increasingly in the right region close to the wall of the tank. Hence, A new collapse area between  $x = 1.8$   
 363 m and the east wall comes into being where the thickness of the upper layer is larger than lower layer.  
 364 Ultimately, the westward reflected waves including a series of elevation tail waves, are released at  $x =$   
 365 1.6 m. In detail, the first elevation is the leading one with a rank-ordered structure in the rear. After  
 366 reaching the deep water zone left to 1.3 m, the wave rear begins to steepen and sink, and a depression  
 367 wave forges behind the wave rear. Namely, the soliton wave passes the critical point inversely due to the  
 368 wave deepening.



369  
 370 Figure 9. As in Figure 5, (a) the solid and dashed arrow lines indicate the depression and elevation  
 371 waves, and the red and blue mean the eastward and reflected westward waves. (b) Hovmöller diagram  
 372 showing the density  $\sigma$  at  $z = 2.0$  cm.

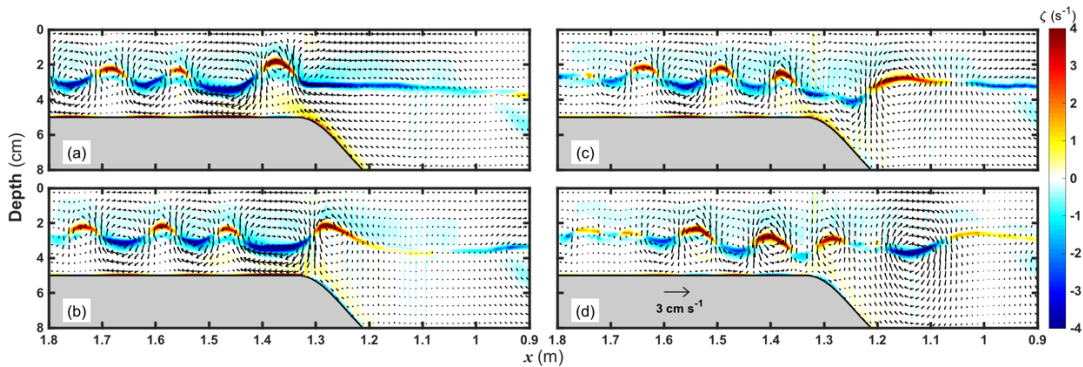
373 For further exploration of the evolution of the depression wave, the distributions of the vorticity  
 374 ( $\zeta = \partial w / \partial x - \partial u / \partial z$ ) with velocity vector are depicted in Fig.10. The depression wave core features  
 375 negative vorticity with an anticyclonic velocity structure before reaching the shelf topography. When the  
 376 ISW approaches the top of the slope in Figs. 10a and 10b, the vertical shear increases promptly and  
 377 strengthens the positive vorticity at the bottom. Then the backward overturning springs up between  $x =$   
 378 1.2 and 1.3 m, marking the ISW entering the breaking instability stage (Helfrich and Melville, 1986) due  
 379 to the shoaling. At this time, even though wave breaking and reflection render the wave energy  
 380 dissipation partially, the fraction of the depression wave can reach the shallow water zone, leaving a  
 381 cyclonic vortex behind above the slope-shelf in Fig. 10c. This partial soliton wave is adjusted  
 382 instantaneously when the upper layer thickness is more significant than the lower in the light of the  
 383 boundary of the negative vorticity area in Fig. 10d. As a result, after the reverse situation occurs, the  
 384 elevation wave begins to emerge at the back of the original wave. Its core corresponds to the positive  
 385 vorticity with a cyclonic velocity structure. In addition, the vortex from the wave breaking weakens  
 386 slowly and motivates numerous small-scale waves with high wavenumber propagating to both sides in  
 387 Figs. 10e and 10f, which is consistent with the propagation characteristics of the reflected waves near  $x$   
 388  $= 1.2$  m in Fig. 9b.



389  
 390 Figure 10. The shoaling of a depression soliton where the velocity fields (black arrow) and the vorticity results  
 391 (color) are shown at  $t =$  (a) 35, (b) 40, (c) 45, (d) 50, (e) 55, and (f) 60 s.

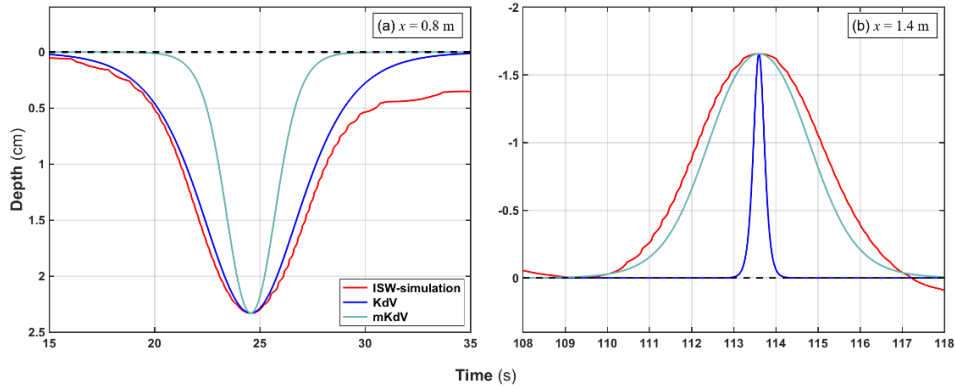
392 It is also worthy of highlighting the evolution of the reflected westward waves. We also visualize  
 393 the process of the second reverse situation due to the wave deepening in Fig. 11. It can be noticed that

394 there is a leading elevation wave at  $x = 1.4$  m followed by a series of rank-order waves exhibiting a  
 395 likewise sinusoidal variation. They propagate together to the deep water zone with the wave crest  
 396 corresponding to positive vorticity. Particularly, the wave train is considered linear approximatively  
 397 based on the alternated positive and negative vorticity regions, since the cores of these waves almost are  
 398 located in the middle layer where the nonlinear parameter  $\alpha$  is close to zero in terms of the KdV model.  
 399 As the water depth becomes deeper, the crest of the elevation wave gradually grows down and flattens  
 400 with the wave rear sinking. The original elevation cannot be maintained in the deep water, transforming  
 401 into a depression wave with the velocity fields adjusted accordingly.



402  
 403 Figure 11. As in Figure 10, the elevation wave propagates westward to the deep water where the  $x$ -axis is inverse  
 404 for convenience at  $t =$  (a) 115, (b) 120, (c) 125, and (d) 130 s.

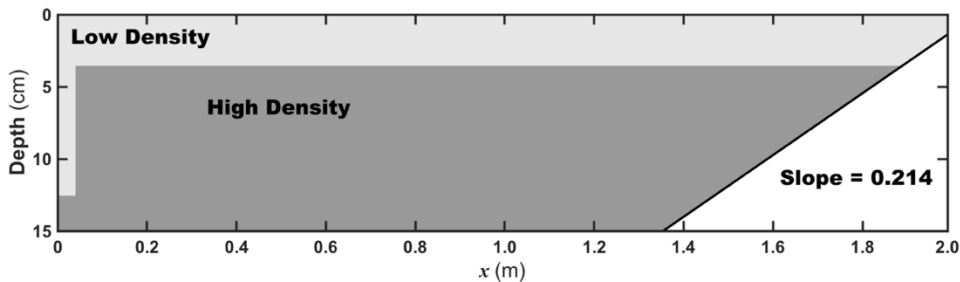
405 The ISW is not stable enough to coincide with the KdV model after pasting the critical point into  
 406 the adjustment stage. Hence, we select the two types of soliton results for verification before the reverse  
 407 situation occurs. The comparison results between theoretical and numerical model are illustrated in Fig.  
 408 12 at  $x = 0.8$  and  $1.4$  m before the wave shoaling and deepening, respectively. We can find that the  
 409 depression waveform conforms to the KdV model results before climbing the slope, whereas the  
 410 elevation is closer to the m-KdV model. Compared with  $\varepsilon = 0.233$  at  $x = 0.8$  m, the interaction between  
 411 the ISW and the shoaling topography renders a stronger nonlinearity  $\varepsilon = 0.331$  of the elevation heading  
 412 wave in the shallow water. Namely, the larger wave amplitude ratio in the shallow water results can be  
 413 characterized with m-KdV theory, which compares well with the conclusions of Michallet and  
 414 Barthélemy (1998) in a satisfactory way.



415  
 416 Figure 12. Wave profiles at  $x = 0.8$  (a) and  $1.4$  m (b). The left (right) refers to the depression (elevation)  
 417 heading wave before shoaling (deepening), where the results are plotted with the red line. The blue and  
 418 cyan lines represent the KdV and m-KdV model results.

419 **3.4. Internal Solitary Wave breaking on a slope.**

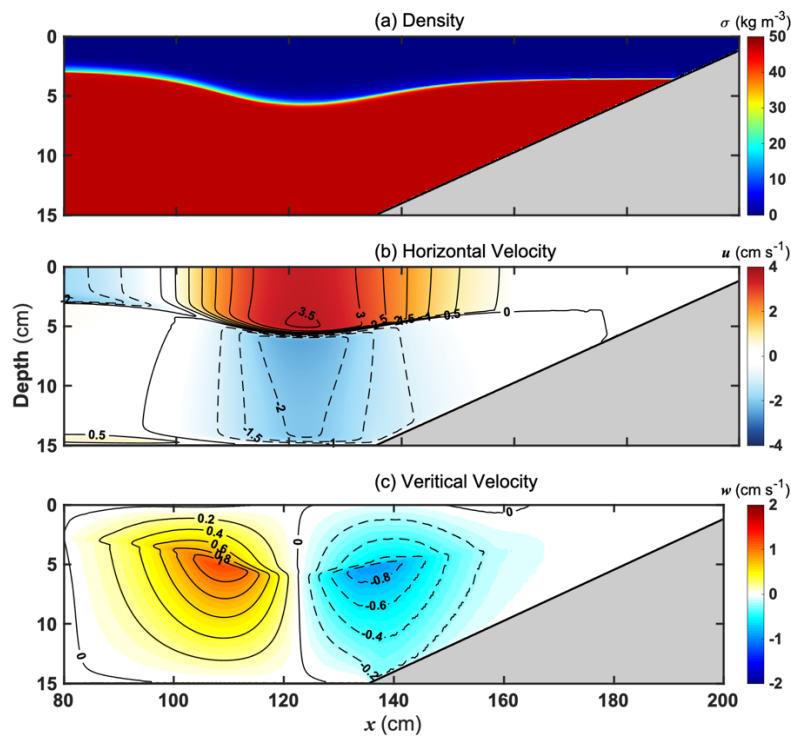
420 To further characterize a complete breaking and dissipative process of ISWs, we set a linear slope  
 421 identical to Michallet and Ivey (1999). As is shown in Fig. 13, the tank length is  $2.0$  m; The height is  $15$   
 422 cm with the linear terrain placed on the east side. The model configuration (i.e., spatial resolution and  
 423 viscous coefficients) is identical to Exp. 3.2, which can ensure the same time step according to Courant-  
 424 Friedrichs-Lewy (CFL) condition, and the depression ISW is about to be dissipated due to increasing  
 425 bottom friction at the shelf break. In contrast with Bourgault and Kelley (2004), water density averages  
 426 are calculated to be  $\rho_1 = 1000.01 \text{ kg m}^{-3}$  and  $\rho_2 = 1047.00 \text{ kg m}^{-3}$  in the upper and lower layers. Via  
 427 several sensitivity experiments about collapse area, the amplitude of depression wave can reach  
 428 approximately  $2.8$  cm when the collapse height is  $9.0$  cm with its width identical to Exp. 3.2. Although  
 429 the stimulated wave strength is slightly greater than the results from Bourgault and Kelley (2004) due to  
 430 the different wave generation methods, it is predictable that the breaking of the larger-amplitude ISW  
 431 will be more dramatic with a prominent performance for model verification.



432  
 433 Figure 13. As in Figure 4, the low and high density are set to  $1000.01 \text{ kg m}^{-3}$  and  $1047.00 \text{ kg m}^{-3}$  with a

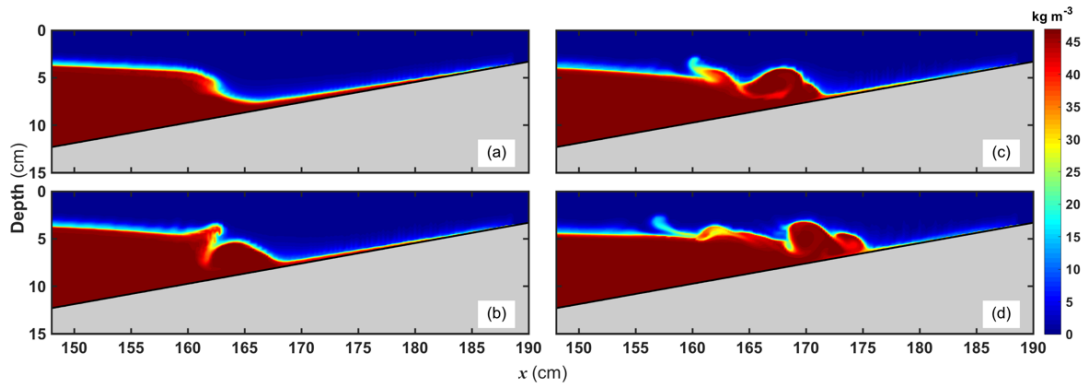
434 linear slope terrain placed in the east of the tank, and related configuration is referred by Bourgault and  
 435 Kelley (2004)

436 The associated density and velocity fields produced by the depression ISW are presented in Fig. 14  
 437 at  $t = 15$  s before wave shoaling. The horizontal velocity is about  $3.0 \text{ cm s}^{-1}$  at the surface and varies up  
 438 to  $3.5 \text{ cm s}^{-1}$  at the wave core. Meanwhile, the vertical velocity distribution presents a double-core  
 439 structure reaching  $\pm 0.8 \text{ cm s}^{-1}$ . The unique anticyclonic velocity characteristic just like an eastward  
 440 rolling wheel is consistent with the model results of Bourgault and Kelley (2004). We select the four  
 441 moments of the evolution of wave shoaling illustrated in Fig. 15. In addition to wave breaking  
 442 accompanied by the waveform steepening in the rear, a significant density fronts rolling in the wave front  
 443 evolves along the linear slope during the overall shoaling process in Figs. 15a and 15b. Specifically,  
 444 while the depression wave continues getting closer to the shallow zone, the effect of bottom friction can  
 445 maintain the vertical shear and increase the potential energy, which intensifies the diapycnal mixing and  
 446 dissipation on the density interface. Then the wave-induced diapycnal flow contributes to high-density  
 447 water under the interface transported continuously to the shallow zone in Fig. 15c. On the other hand,  
 448 there is another pronounced peculiarity in Fig. 15d compared to the Exp. 3.2. A few small-scale eddies  
 449 emerge along with the sheared interface due to the shear instability.



450  
 451

Figure 14. As in Figure 6, but with the time referring to  $t = 15$  s before the wave shoaling.



452

453

Figure 15. Wave breaking with density front rolling at  $t =$  (a) 22, (b) 23, (c) 24, and (d) 25 s.

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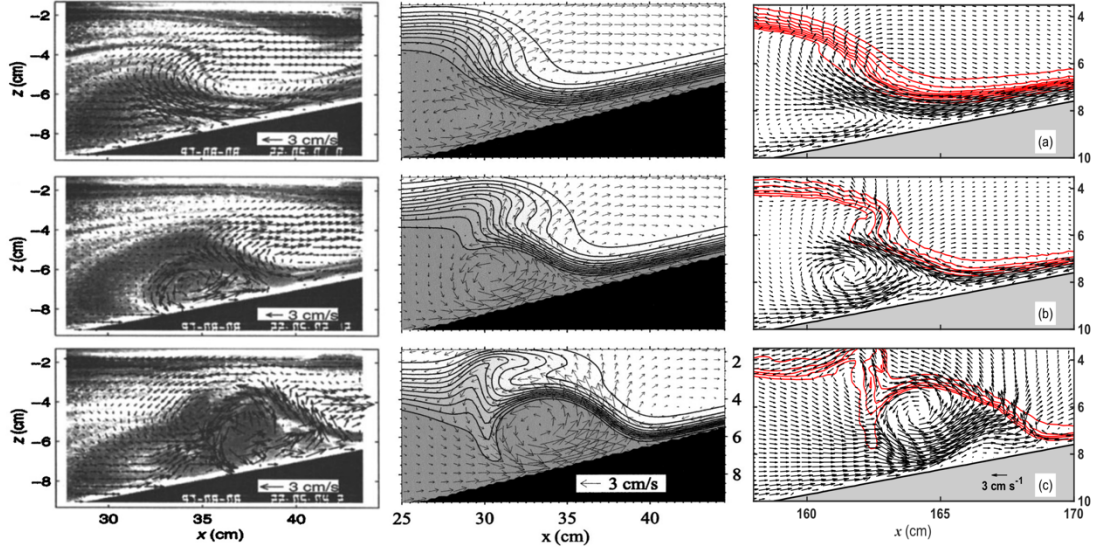
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468

To further evaluate and validate the wave breaking process, we compare the velocity field distributions with the observation results via PIV technology from Michallet and Ivey (1999) and nonhydrostatic numerical experiments from Bourgault and Kelley (2004) in Fig. 16. Accordingly, when the depression wave arrives over the slope, its depression waveform and anticyclonic flow field are modulated by the topographic shoaling to flatten the wave front and enhance the downward current along the slope due to the bottom friction. Meanwhile, a smaller cyclonic eddy appears and clings to the slope under the steepened wave rear in Fig. 16a. As the deformed depression wave persists in shoaling, the cyclonic eddy reinforces and extends its scope of influence, resulting in a strong overturning from near the bottom layer to promote the wave steepening in Fig. 16b, which presents a good agreement with the results from Exp. 3.3. Afterward, the anticyclonic flow structure has been ruined since the bottom friction commences hindering the current down the slope. In contrast, the coverage of the cyclonic eddy continues to expand and moves the shallow zone with the waveform distorted furtherly. All the above nonlinear processes are similar to the previous laboratory and model results. Our nonhydrostatic model can also resolve the nonlinear evolution of the internal solitary waves at shelf break with enough high accuracy.



469

470 Figure 16. Comparison of velocity fields during the wave breaking on a linear slope between (left) the  
 471 PIV observations in the laboratory (Michallet and Ivey, 1999), (middle) the numerical model simulation  
 472 (Bourgault and Kelley, 2004), and (right) ORCTM simulation at  $t =$  (a) 21.7, (b) 22.2, and (c) 23.2 s  
 473 from top to bottom. The red contours indicate the isopycnic lines.

### 474 3.5. Nonlinear Internal Waves in a double-ridge system

475 The last validation experiment is to examine the generated nonlinear internal waves via tidal flow  
 476 over the varying topography. We set up an underwater double-ridge system comparable to the Luzon  
 477 Strait in the northern South China Sea (SCS), where the largest internal solitary waves in the world can  
 478 exist (Huang et al., 2016). This validation case is a 2-D problem for the reduction of computational  
 479 resources as well. The topography in this double-ridge system is fitted approximately with the Gaussian  
 480 function given as

$$H(x) = 3000 - h_w \times \exp\left(-\left(\frac{x - x_w}{20 \times 10^3}\right)^2\right) - h_e \times \exp\left(-\left(\frac{x - x_e}{20 \times 10^3}\right)^2\right) \quad (32)$$

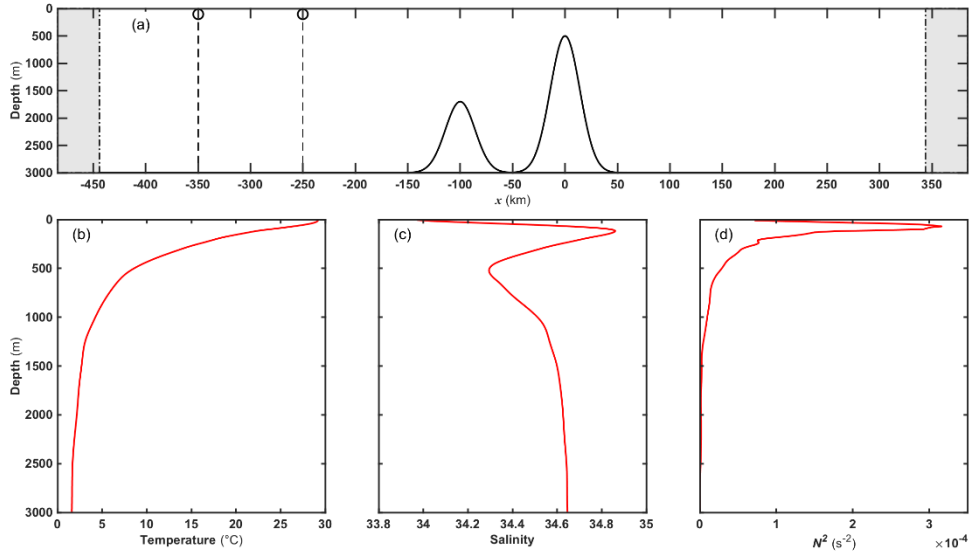
481 In Eq. (32),  $H(x)$  is the water depth; the height of the East and West Ridge ( $h_e$  and  $h_w$ ) is 2500  
 482 and 1300 m in sequence with an interval and widths of 100 km, which is similar to the fundamental  
 483 topographic characteristics in the Luzon Strait. As shown in Fig. 17a, the static water height is 3000 m;  
 484 the East and West Ridge (hereafter ER and WR) are located at the coordinate origin and  $x = -100$  km;  
 485 the horizontal and vertical grid resolutions are uniformly 200 m and 10 m throughout;  $A_{V0}$  and  $D_{V0}$  in  
 486 formulas (11) and (12) are set to  $2 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$  and  $2 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ; the bottom friction coefficients both are  
 487 value of  $3 \times 10^{-3}$ . As for the tidal categories, the generation of semidiurnal ITs and the modulation effect

488 of diurnal ITs in the Luzon Strait determine the evolution of the larger-amplitude ISW packet in the  
489 northern South China Sea (Buijsman et al., 2010a; Zeng et al., 2019), so we define the M<sub>2</sub> and K<sub>1</sub> tidal  
490 currents amplitudes as 5.0 and 4.0 cm s<sup>-1</sup> corresponding to the semidiurnal and diurnal components at the  
491 open boundaries; the sponge thicknesses  $L_{sp}$  of the west and east boundaries are both approximately 40  
492 km and the time-scale coefficient  $\tau$  is set to 500 s. These model configuration in the validation experiment  
493 are analogous to the control test from Li (2010) and Zhang et al. (2011) to reproduce the major structures  
494 of NIWs in the South China Sea. Besides, to simplify the background environment, we also use  
495 horizontally uniform stratification as the initial field for our model. Here, the reprehensive stratification  
496 in Figs. 17b to 17d stems from GLORYS12V1 reanalysis product in CMEMS (Copernicus Marine  
497 Environment Monitoring Service). The initial field is based on the spatial mean around the source of  
498 generated ISWs in the Luzon Strait during the summer of 2011, since the large-amplitude ISWs are  
499 observed during this period on the SCS continental shelf (Ramp et al., 2019) and the strong thermocline  
500 structure in summer is conducive to the formation of baroclinic tides in the Luzon Strait (Zheng et al.,  
501 2007; Buijsman et al., 2010b;). Additionally, the slope criticality  $\gamma$  (Gilbert and Garrett, 1989; Shaw et  
502 al., 2009) no less than one is usually essential with the formation of linear internal waves.

$$\gamma = \frac{dH}{dx} / \sqrt{\frac{\omega^2 - f^2}{N^2 - \omega^2}} \quad (33)$$

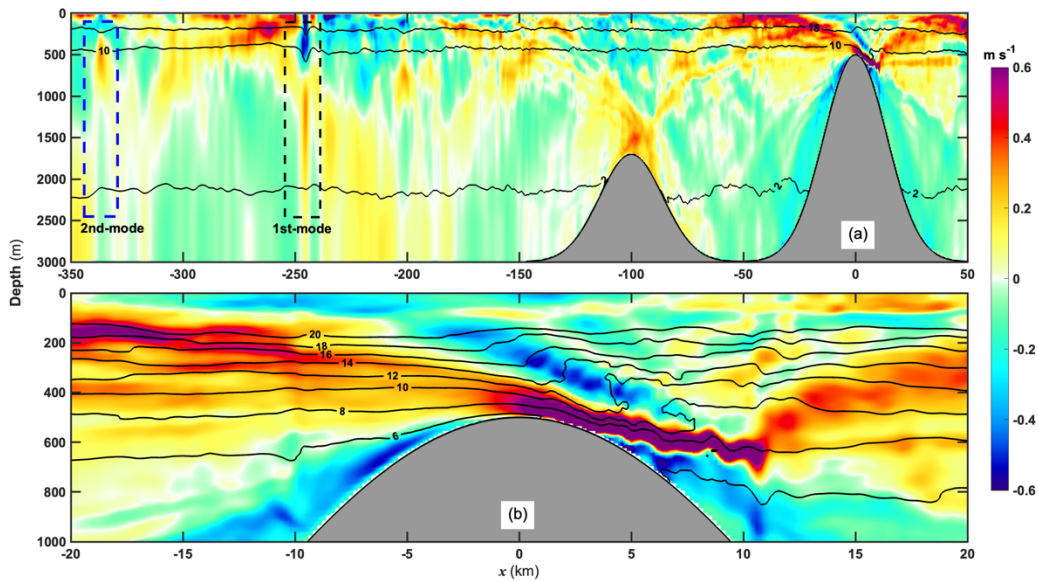
503 in which  $\omega$  is the tidal angular frequency;  $N^2$  is the buoyancy frequency squared; the Coriolis  
504 parameter  $f$  is set to zero for the earth rotation neglected due to the 2-D environment. Around East  
505 Ridge  $\gamma$  is always larger than unity regardless of the M<sub>2</sub> and K<sub>1</sub> tide, which means East Ridge belongs  
506 to the supercritical topography. Therefore, it is predictable to generate the internal waves due to the  
507 interactions with barotropic flow over the East Ridge. We run the model for 10 days from an initial static  
508 field. The diagnostic module is also used to characterize the high-frequency variation with the output  
509 interval of 1 min at  $x = -250, -350$  km.





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Figure 17. (a) The sketch of generated NIWs over the submerged double-ridge system case, and the gray zones indicate the sponger layers. The summer stratification in 2011 including (b) temperature, (c) salinity, and (d) buoyancy frequency squared are from the spatial mean within 20.25 °N–20.85 °N, 121.7 °E–122.08 °E corresponding to the source of internal waves in the Luzon Strait (Zhang et al., 2011).



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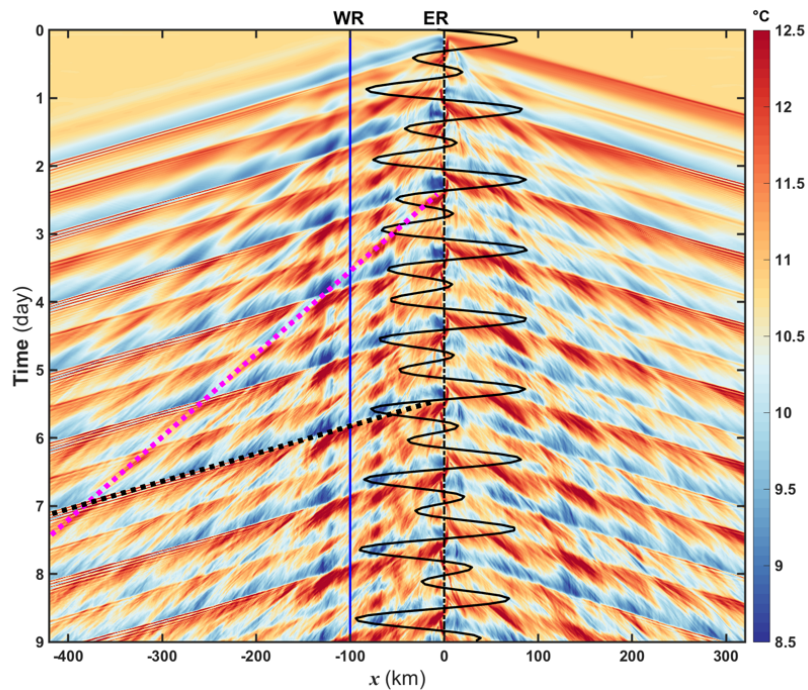
Figure 18. Distributions of horizontal baroclinic velocity with the temperature (°C) contours for western far field (a) and source field (b) when the maximum eastward tidal current at East Ridge reaches the end of ebb on the sixth day, where the blue (black) dashed box means the 2nd mode (1st mode) ISW packets.

Figure 18 shows the maps of horizontal baroclinic velocity  $u' = u - U$  where  $u$  is the total

522 velocity and  $U$  is the barotropic flow velocity. From the characteristics of the source field, it is found  
 523 that the generation of internal tide beam propagating eastward and westward centered from the eastern  
 524 side of East Ridge. The eastward barotropic flooding current flows continuously over the East Ridge  
 525 with the maximum barotropic current up to  $0.0531 \text{ m s}^{-1}$ . A significant hydraulic jump can appear with  
 526 the isotherm fluctuation up to roughly 200 m on the eastern side, which indicates the formation of Lee  
 527 waves to a certain extent. Above internal waves generation due to tide-topography interactions can be  
 528 described with below non-dimensional parameters at the source: (1) the tidal excursion parameter  $\varepsilon =$   
 529  $U_0/L\omega$ , which can be associated with the generation of internal tide beam under the critical or  
 530 supercritical topography where  $U_0$  is barotropic current amplitude from the far field and  $L$  is the  
 531 characteristic length for topography (Garret and Kunze, 2007, Chen et al., 2017). (2) the Froude number  
 532  $Fr = U/c$ , and its topographic form  $Fr_z = \omega/N(dH/dx)$ , in which  $c$  is the mode-1 linear speed for  
 533 the eigenvalue problem (Legg and Adcroft, 2003; see Appendix B). Specifically, Legg and Klymak (2008)  
 534 found that the nonlinear hydraulic jump will develop with lee waves generation when  $Fr_z < 1/3$ . It is  
 535 worth noticing that the tidal excursion far less than unity agrees with the formation of the linear internal  
 536 tide beam on the critical or supercritical topography but cannot ensure the formation of the Lee waves  
 537 altogether. For instance, the Lee waves remain strong in the Luzon Strait despite the tidal excursion under  
 538 the unity ( $\varepsilon \approx 0.4$ ) in previous model result (Buijsman et al., 2010b). The tidal excursion parameter  $\varepsilon$   
 539 and the Froude number  $Fr$  are estimated to be 0.025 and 0.018 in Fig.18. That demonstrates that the  
 540 multi-modal baroclinic tides and upstream propagation of internal waves will generate around the source  
 541 field when the sub-critical barotropic current flows over the East Ridge. Furthermore, the maximum  
 542 topographic Froude number is just 0.3362 around the East Ridge with the approach to the regime  
 543 transition value  $1/3$ , which ensures that the nonlinear hydraulic jump can grow with Lee waves on the  
 544 east of East Ridge. All of the above can explain well the generation of the internal tide beam and hydraulic  
 545 jump in our simulation and confirm to the mixed tidal lee wave regime in the Luzon Strait (Chen et al.,  
 546 2017).

547 After the westward internal tide beam emitting from the East Ridge reaches the sea surface and  
 548 reflects into the deep sea, the partial downward internal tide beam can propagate to the top of West Ridge  
 549 below 1500 m depth and reflect into the upper layer again. Between the double ridges, such a more  
 550 significant portion of beam energy captured by the pycnocline waveguide together with the upstream  
 551 influence can strengthen the westward propagating internal waves energy in Fig. 18b, which can trace

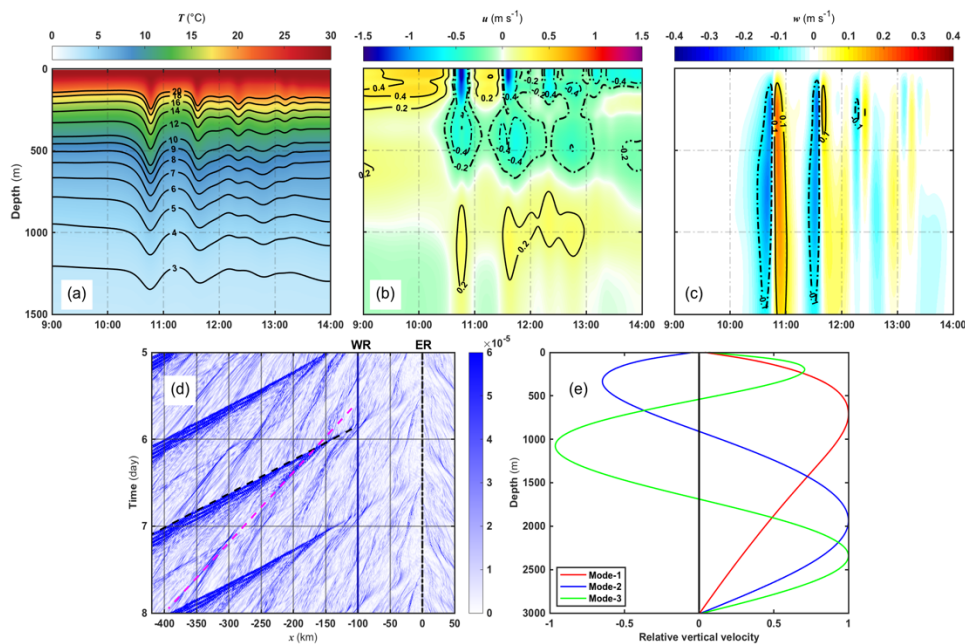
552 back to the source of the internal solitary wave packets in the far field. However, the strong dissipation  
 553 for the high modal internal waves contributes to the vanishing of the internal tide beam structure and  
 554 allows the nonlinear evolution of low-mode baroclinic tides. The low modal internal solitary wave  
 555 packets can grow and propagate westward from  $x = -150$  km, marking the disintegration of the multi-  
 556 modal nonlinear internal wave energy. Specifically, the first-mode ISW packet emerges from  $x = -250$  to  
 557  $-200$  km. Meanwhile, the second-mode ISW between  $x = -350$  and  $-300$  km performs the convex wave  
 558 packet.



559  
 560 Figure 19. Hovmöller diagram about the global temperature timeseries at  $z = 400$  m, where the time  
 561 interval is 15 mins. The black solid curve indicates the tidal current at the East Ridge, and the blue  
 562 solid line means the West Ridge location. The black and magenta dashed lines are the first and second-  
 563 mode internal solitary waves.

564 We can acquire the propagation characteristics of these ISWs via analyzing the global temperature  
 565 timeseries at 400 m depth layer. As is illustrated in Fig. 19, the second-mode ISW packet propagates  
 566 slower, and its strength is much weaker than the first-mode wave one. Besides, it can be distinguished  
 567 that the two first-mode wave packets can propagate westward in one day, one of which is stronger with  
 568 the structure of several tail waves, and the other is almost solitary and weak. These two types of first-  
 569 mode wave packets refer to the type-a and b waves (hereafter a-wave and b-wave) respectively in the  
 570 northern South China Sea (Ramp et al., 2004). Besides, their occurrence time can be connected to the

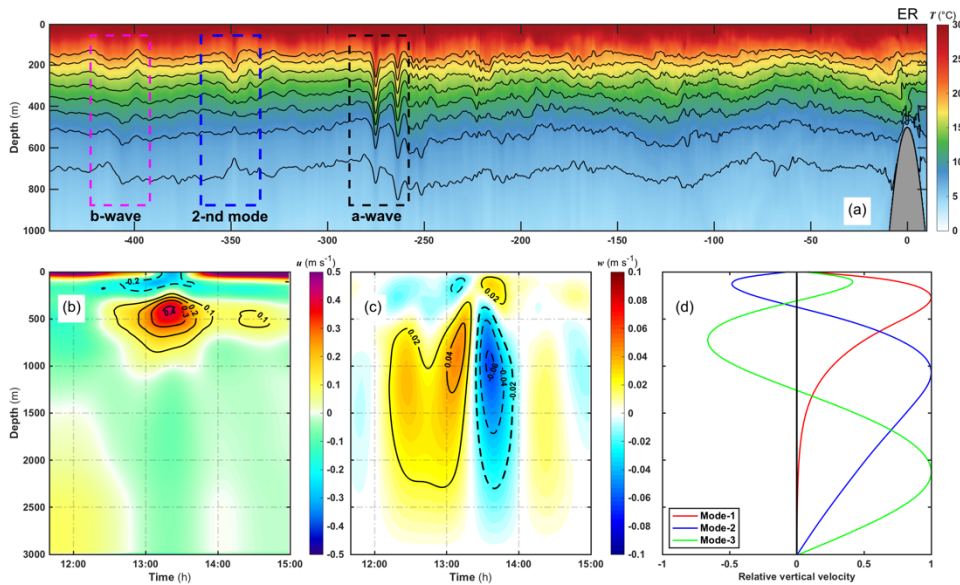
571 ebb of eastward flood current around East Ridge. These simulated results in the strength and timing prove  
 572 that a- and b-wave originate from the double-ridge in Luzon Strait (Ramp et al., 2004; 2019; Zhao and  
 573 Alford, 2006). Additionally, the relatively weak second-mode concave wave can be found distinctly  
 574 following the a-wave from the west of -300 km. To sum up, the multi-modal baroclinic tide structures  
 575 from the double-ridge system can propagate to the far fields. The low-mode internal waves gradually  
 576 perform the corresponding ISWs due to the nonlinear enhancement, which displays a good agreement  
 577 with the other two-dimensional experimental results (Buijsman et al., 2010a; 2010b; Vlasenko et al.,  
 578 2010).



579  
 580 Figure 20. (a) The temperature ( $^{\circ}\text{C}$ ), (b) horizontal baroclinic velocity ( $\text{m s}^{-1}$ ), (c), and vertical velocity  
 581 ( $\text{m s}^{-1}$ ) structures of the first-mode ISW packet at  $x = -250$  km on the sixth day. (d) The SSHG  
 582 Hovmöller diagram during the associated period where the black and magenta dashed lines indicate the  
 583 first and second-mode ISW packets. (e) The normal mode profiles of vertical velocity for the first three  
 584 modes using the Taylor-Goldstein equation.

585 To evaluate the comparison between the numerical ISWs with internal wave theory, we select the  
 586 results of the first-mode ISW at  $x = -250$  km. In Fig. 20. It is found that a first-mode ISW packet  
 587 including three tail waves arrives at the position after 10 a.m. on the 6th day. The maximum fluctuation  
 588 of the first-mode ISW packet can reach 206 m located between 650 and 900 m water depths. The  
 589 westward horizontal baroclinic velocity associated with the wave packet prevails above 200 m with a  
 590 maximum strength of roughly  $1.41 \text{ m s}^{-1}$ , and the corresponding downwelling region is located between

591 200 and 1500 m depths with the strongest downward velocity up to  $0.22 \text{ m s}^{-1}$ . According to the Sea  
592 Surface Height Gradient (SSHG, SSHG is defined  $\sqrt{(\nabla\zeta)^2}$ ) in Fig. 20d, the average propagation speed  
593 of this wave packet is approximately  $3.17 \text{ m s}^{-1}$  based on the slope of SSHG contour. Moreover, we solve  
594 the Taylor-Goldstein equation (Miles, 1961; Liu, 2010; see Appendix B) 10 minutes before this wave  
595 packet reaches  $x = -250 \text{ km}$ , and the normal mode of vertical velocity is subject to the rigid-lib boundary  
596 condition. We found that the location of the maximum modal function is 710 m in agreement with the  
597 model results in Fig. 20e. However, the propagation speed is greater than the first-mode linear result of  
598  $2.69 \text{ m s}^{-1}$ , which is probably attributed to the underestimated effect in linear theory. Therefore, The KdV  
599 model is also utilized to analyze the depression wave packet. The nonlinear and dispersion parameters  
600 are  $-3.4 \times 10^{-3} \text{ s}^{-1}$  and  $2.4 \times 10^5 \text{ m}^3 \text{ s}^{-1}$ , which denotes that the theoretical depression wave is consistent with  
601 the simulated results (Helfrich and Melville, 1986). Nevertheless, the theoretical nonlinear velocity of  
602 about  $2.88 \text{ m s}^{-1}$  is slightly lower than the simulated results. It is probable that the increasing nonlinearity  
603 with the steepening of internal tides ultimately leads to the larger propagation speed of this first-mode  
604 ISW packet.



605  
606 Figure 21. (a) The temperature ( $^{\circ}\text{C}$ ) field from the west side of East Ridge at 13:00 on the seventh day,  
607 where dashed rectangles refer to the respective wave types. (b) The horizontal baroclinic velocity ( $\text{m s}^{-1}$ )  
608 and (c) vertical velocity ( $\text{m s}^{-1}$ ) structures of the second-mode ISW at  $x = -350 \text{ km}$  in the meantime.  
609 (d) The normal mode profiles about vertical velocity for the first three modes using the Taylor-  
610 Goldstein equation.

611 It is also noticeable that the multi-modal internal solitary waves field generate and get strengthen

612 gradually due to the nonlinear enhancement. In Fig. 21a, we can recognize the distinct ISW packets from  
613 the isotherm displacement that refers to the type-a, second-mode, and type-b waves from the source to  
614 the far field. The a-wave packet features the most substantial strength with tail waves when its vertical  
615 excursion induced by the heading wave can reach up to 120 m. In contrast, the weaker b-wave contains  
616 one depression soliton in the west to  $x = -400$  km. They both originate from multi-modal internal tide  
617 caused by the tide-topography interactions in the double-ridge system, but the b-wave is more associated  
618 with the West Ridge (Buijsman et al., 2010a; Zeng et al., 2019). Between a- and b-wave, there is a second-  
619 mode ISW packet classified evidently as a structure of concave wave whose upper and lower isotherm  
620 fluctuate downward and upward. The maximum isotherm fluctuations are located in the roughly 180 and  
621 1000 m depths and can reach up to -57.2 and 140.6 m. The propagation speed of this second-mode ISW  
622 is about  $1.36 \text{ m s}^{-1}$  from the SSHG slope in Fig. 20d. It is predictable that the a-wave packet will follow  
623 the second-mode signal due to the more considerable speed. Figures 21b and 21c show the second-mode  
624 ISW packet and related velocity fields timeseries at  $x = -350$  km. The horizontal baroclinic velocity  
625 field has a sandwich-shaped vertical structure, and the maximum  $0.42 \text{ m s}^{-1}$  is located in the middle layer  
626 between 200 and 600 m. The strength of baroclinic velocity with a small average of  $0.2 \text{ m s}^{-1}$  is distinct  
627 from the stronger first-mode ISW packet above 200 m. Additionally, a double-peak structure performs  
628 in the vertical velocity field, and it is distributed at the depths of 150 and 1000 m where the strength in  
629 the deep layer is stronger than the upper, resulting in a minor isotherm fluctuation above 200 m. Here,  
630 the Taylor-Goldstein equation is also solved to acquire the eigenfunction of the vertical velocity. In Fig.  
631 21d, the second-mode eigenvalues have two vertical peaks whose depths correspond to 150 and 1070 m  
632 with the latter strength stronger than the former, and the corresponding phase speed is about  $1.34 \text{ m s}^{-1}$ .  
633 In summary, the first and second mode internal solitary waves as the leading carriers can transfer the  
634 baroclinic tidal energy from the source to far fields until dissipating thoroughly. The multi-modal solitary  
635 waves field conforms with the previous two-ridge experimental result using MITgcm (Vlasenko et al.,  
636 2010). The internal wave theoretical models can compare well with the distribution of stimulated results  
637 in our nonhydrostatic ocean model, demonstrating an overall good performance of characterizing the  
638 nonlinear evolution of multi-modal baroclinic tides.

#### 639 4. Discussion and Conclusion

640 The main focus of this paper is to introduce a newly oceanic regional nonhydrostatic circulation  
641 and tide model (ORCTM) which is rooted from the MPI-OM and aims to characterize the internal solitary  
642 wave processes of real oceans, such as in the northern South China Sea. We developed and implemented  
643 the nonhydrostatic dynamics and open boundary module under the original global hydrostatic framework  
644 of MPI-OM. Based on the fractional step and finite difference methods, ORCTM involves the three-  
645 dimensional fully nonlinear momentum equations under the Boussinesq fluid. It is needed to solve the  
646 three-dimensional Poisson equation subject to different boundary conditions before the pressure  
647 correction method is employed to acquire the velocity field corrected via nonhydrostatic pressure  
648 gradient force. In order to match the nonhydrostatic algorithm and realize larger-amplitude ISWs  
649 simulation in an ocean-scale case, an exponential relaxation term is implemented to the control equations  
650 through the sponge layers as the open boundary condition.

651 A series of two-dimensional ideal numerical experiments associated with the nonlinear evolution  
652 of the internal solitary waves and baroclinic tides are devised to verify this nonhydrostatic ocean model.  
653 Here, the results of the validation experiments are in accord with the theoretical framework of the  
654 nonhydrostatic dynamics and demonstrate that ORCTM can successfully characterize the generation,  
655 propagation, and dissipation of internal solitary waves in laboratory-scale cases. Specifically, the reverse  
656 situation due to wave shoaling and deepening can be depicted completely when considering the  
657 topographic change. Meanwhile, the stimulated internal solitary wave conforms with the previous  
658 numerical experiment and the direct observations in the laboratory test. Also, ORCTM can capture the  
659 density fronts with the cyclonic eddy induced by the wave breaking, which shows good stability and a  
660 high enough accuracy. Furthermore, based on the real topographic features in the Luzon Strait of the  
661 northern South China Sea, analyses of the validation experiment indicate that the multi-modal structure  
662 of baroclinic tides in the double-ridge system. The nonhydrostatic ocean model ORCTM is proven to be  
663 able to reproduce the life cycle of multi-modal ISWs induced by the tide-topography interactions in the  
664 Luzon Strait and precisely capture the alternation process of type-a and b internal solitary wave packets.  
665 The first two mode ISWs structure compares well with the internal wave theoretical model.

666 Even though these validation experiments have a strong resemblance to other nonhydrostatic  
667 models results (Bourgault and Kelley, 2004; Berntsen et al., 2006; Lai et al., 2010), some distinctions in

668 grid structure or numerical methods may have an opposite impact, especially when predicting a particular  
669 nonhydrostatic dynamics process. Berntsen et al. (2006) indicated some noisy structures near the bottom  
670 layer due to numerical errors of finite volume treatment when predicting the internal solitary wave  
671 breaking via MITgcm (Marshall et al., 1997a, 1997b). They found that BOM model can avoid this  
672 problem with a sigma-coordinate, whereas MITgcm needs a high-order filter to suppress the noise.  
673 However, the artificial flow usually emerges and has a negative influence on the ISWs breaking  
674 simulation due to the internal baroclinic pressure errors in the sigma-coordinate. Those require that model  
675 users need a refined grid when encountering the area of changing topography. Compared to the  
676 nonhydrostatic FVCOM (Lai et al., 2010) and BOM (Berntsen et al., 2006), ORCTM is based on the  
677 finite difference method and owns a Z-coordinate, which has the capability to avoid the above errors.  
678 These numerical methods and validation experiments demonstrate that ORCTM is able to approach or  
679 reach an acceptable better level of the nonhydrostatic ocean model for the ISWs simulation.

680       The simulation of internal solitary waves can mirror the macroscopic structure and assist with the  
681 implementation of in-situ observations. It is noticed that the predictability of nonlinear internal waves  
682 characteristics relies on the model performance and external conditions such as realistic stratification,  
683 bathymetry, and background circulation. Another advantage of ORCTM is the usage of the orthogonal  
684 curvilinear mesh grid in the horizontal direction. It is competent enough to maintain the small-scale  
685 nonhydrostatic dynamics well-resolved in the concerned region via mesh refinement. Particularly,  
686 constructing the practical and reliable background fields via nested technique remains the way to move  
687 forward for the ISWs simulation in the oceanic environment. Enhancing the fidelity of ISWs simulation  
688 remains to be challengeable. Nevertheless, it can be concluded that our regional nonhydrostatic ocean  
689 model is a good choice for oceanography scientists interested in internal waves research and numerical  
690 prediction.

691



692 **Appendix A**

693 **Discretization Algorithms of the Poisson Equation**

694 According to the idea of fractional steps (Chorin, 1968; Press et al., 1988), a pressure correct method  
 695 on the nonhydrostatic dynamic component is employed to calculate the intermediate velocity over the  
 696 original hydrostatic balance scheme (Fringer et al., 2006; Lai et al., 2010). If the flow is close to the  
 697 hydrostatic balance, the pressure of nonhydrostatic part will be so slight that the correction plays a minor  
 698 role. The key to the nonhydrostatic dynamics module is to solve the Poisson equation below.

$$\frac{\partial^2 p'_{nh}}{\partial x^2} + \frac{\partial^2 p'_{nh}}{\partial y^2} + \frac{\partial^2 p'_{nh}}{\partial z^2} = \frac{\rho_c}{\Delta t} \left( \frac{\partial \tilde{u}}{\partial x} + \frac{\partial \tilde{v}}{\partial y} + \frac{\partial \tilde{w}}{\partial z} \right) \quad (\text{A.1})$$

699 The right-hand side (RHS) of this Eq. (A.1) is the divergence about the intermediate velocity as a  
 700 source or sink term. Here, based on the definition of divergence, the three components calculated directly  
 701 at each cell are specified in the three orthogonal coordinates as

$$\frac{\partial \tilde{u}}{\partial x} = \frac{\tilde{u}_{i,j}^k * Au_{i,j}^k - \tilde{u}_{i-1,j}^k * Au_{i-1,j}^k}{\Omega_{i,j}^k} \quad (\text{A.2})$$

$$\frac{\partial \tilde{v}}{\partial y} = \frac{\tilde{v}_{i,j-1}^k * Av_{i,j-1}^k - \tilde{v}_{i,j}^k * Av_{i,j}^k}{\Omega_{i,j}^k} \quad (\text{A.3})$$

$$\frac{\partial \tilde{w}}{\partial z} = \frac{\tilde{w}_{i,j}^k * Aw_{i,j}^k - \tilde{w}_{i,j}^{k+1} * Aw_{i,j}^k}{\Omega_{i,j}^k} \quad (\text{A.4})$$

702 Where  $i$ ,  $j$  and  $k$  are the indices of increasing eastward, northward, and downward along  $x$ ,  $y$ , and  $z$ -  
 703 axis, respectively;  $z=0$  is defined on the undisturbed sea surface by means of local Cartesian coordinates.  
 704  $\tilde{u}$ ,  $\tilde{v}$ , and  $\tilde{w}$  are the intermediate velocity;  $Au$ ,  $Av$ , and  $Aw$  means the six faces area of a cell in  $i$ ,  $j$ ,  
 705 and  $k$  directions;  $\Omega$  is the volume of a cell. These grid descriptors are defined as

$$\begin{aligned} Au_{i,j}^k &= DZw_{i,j}^k * DYu_{i,j}, & Au_{i-1,j}^k &= DZw_{i-1,j}^k * DYu_{i-1,j}, \\ Av_{i,j}^k &= DZw_{i,j}^k * DYv_{i,j}, & Av_{i,j-1}^k &= DZw_{i,j-1}^k * DXv_{i,j-1}, \\ Aw_{i,j} &= DXp_{i,j} * DYp_{i,j}, \\ \Omega_{i,j}^k &= DXp_{i,j} * DYp_{i,j} * DZw_{i,j}^k \end{aligned} \quad (\text{A.5})$$

706 The  $DX$ ,  $DY$  and  $DZ$  represent the spacing difference between the adjacent grid cells in  $x$ ,  $y$ , and  $z$ -  
 707 axis. The suffixes associate  $u$ ,  $v$ , and  $w$  at cell face center and  $p'$  at body center. Compared to the  
 708 finite difference method, the definition of the divergence of a cell is more accurate and reliable especially  
 709 when adjacent to the solid boundaries for the RHS calculation. The left-hand side (LHS) of this equation  
 710 is discretized horizontally on the Arakawa C-grid (Arakawa and Lamb, 1977) using the central difference  
 711 method with a second-order accuracy. The vertical discretization is the same as Max Planck Institute

712 Ocean Model (Marsland et al., 2003), where the bottom grid has the capacity of the partial filled cell to  
 713 adjust the vertical distance for fitting into the realistic terrain (Marshall et al., 1997b). We can acquire  
 714 the following finite discrete equation about 7 cells for nonhydrostatic pressure perturbation as

$$\begin{aligned} LHS = & (XW)p'_{i-1,j}{}^k + (XE)p'_{i+1,j}{}^k + (YN)p'_{i,j+1}{}^k + (YS)p'_{i,j-1}{}^k + (ZU)p'_{i,j}{}^{k-1} \\ & + (ZD)p'_{i,j}{}^{k+1} + (XC + YC + ZC)p'_{i,j}{}^k \end{aligned} \quad (\text{A.6})$$

715 where the coefficients of the discretized LHS are given as follows.

$$\begin{aligned} XW &= \frac{1}{DXu_{i-1,j} * DXp_{i,j}}, & XE &= \frac{1}{DXu_{i,j} * DXp_{i,j}}, \\ YN &= \frac{1}{DYv_{i,j-1} * DYp_{i,j}}, & YS &= \frac{1}{DYv_{i,j} * DYp_{i,j}}, \\ ZU &= \frac{1}{DZW_{i,j}^k * DZp_{i,j}^k}, & ZD &= \frac{1}{DZW_{i,j}^{k+1} * DZp_{i,j}^k}, \\ XC &= -\left(\frac{1}{DXu_{i-1,j}} + \frac{1}{DXu_{i,j}}\right) \frac{1}{DXp_{i,j}}, \\ YC &= -\left(\frac{1}{DYv_{i,j-1}} + \frac{1}{DYv_{i,j}}\right) \frac{1}{DYp_{i,j}}, \\ ZC &= -\left(\frac{1}{DZW_{i,j}^{k+1}} + \frac{1}{DZW_{i,j}^k}\right) \frac{1}{DZp_{i,j}^k} \end{aligned} \quad (\text{A.7})$$

716 Invoking the boundary conditions (29) and Eqs. (A.6) to (A.7), the discretized Poisson equation with 7  
 717 cells can be derived with the matrix form below

$$\mathbf{A}p'_{nh} = \mathbf{B} \quad (\text{A.8})$$

718 Where  $\mathbf{A}$  is a sparse, and definite-positive matrix with seven diagonals;  $p'_{nh}$  and  $\mathbf{B}$  are the column  
 719 vectors with a size of all cell number  $Nxyz = Nx \times Ny \times Nz$  in the model domain where  $Nx$ ,  $Ny$ ,  
 720 and  $Nz$  are the cell number in  $i$ ,  $j$  and  $k$  directions. Actually, the sparse matrix  $\mathbf{A}$  cannot easily to  
 721 be handled directly with a size of  $Nxyz \times Nxyz$ , which hence needs to be designed with greater  
 722 efficiency as a precondition. To apply the nonhydrostatic model to the real oceanic environment on the  
 723 original model base, the Portable, Extensible Toolkit for Scientific Computation (PETSc) Library is  
 724 implemented into the nonhydrostatic dynamic module. We apply the numerical Krylov subspace methods  
 725 for the matrix solvers under an MPI-based framework (Balay et al., 2020). Here, the Flexible Generalized  
 726 Minimal Residual (FGMRES) method (Saad, 1993) is applied to solve this problem in conjunction with  
 727 a multigrid preconditioner (Smith et al. 1996) for the sparse matrix before iteration. Thus, the  
 728 nonhydrostatic pressure can be computed with these methods. It is needed to emphasis that the

729 nonhydrostatic and hydrostatic dynamics modules remain independent of each other and not  
730 contradictory. The nonhydrostatic dynamics module will make up for the deficiency of the hydrostatic  
731 module only considered in this model, which means the nonhydrostatic and hydrostatic simulations can  
732 be simultaneous in this model. in other words, the nonhydrostatic dynamics can be fulfilled economically  
733 in harmony with the original numerical framework.

## 734 **Appendix B**

### 735 **The Korteweg–de Vries (KdV) Model in the Shallow Water**

736 Based on the shallow water approximation, a small-amplitude internal solitary wave whose  
737 amplitude compared with the total depth is small enough can be described by the classical two-  
738 dimensional Korteweg-de Vries (KdV) equation given as follows (Apel et al., 2007).

$$\frac{\partial \eta}{\partial t} + c \frac{\partial \eta}{\partial x} + \alpha \eta \frac{\partial \eta}{\partial x} + \beta \frac{\partial^3 \eta}{\partial x^3} = 0 \quad (\text{B.1})$$

739 Considering two-fluid stratification system is more appropriate for the experiments in Sec. 3.1–3.3.  
740  $\rho_1$  and  $\rho_2$  are the upper and lower densities corresponding to the thickness  $h_1$  and  $h_2$ ;  $x$  is the  
741 horizontal coordinate. Several parameters can be written here as (Benjamin, 1966; Wessels and Hutter,  
742 1996)

$$\alpha = -\frac{3c}{2} \frac{\rho_1 h_2^2 - \rho_2 h_1^2}{\rho_1 h_1 h_2^2 + \rho_2 h_1^2 h_2}, \beta = \frac{c}{6} \frac{\rho_1 h_1^2 h_2 + \rho_2 h_1 h_2^2}{\rho_1 h_2 + \rho_2 h_1}, c = \sqrt{\frac{g h_1 h_2 (\rho_2 - \rho_1)}{\rho_1 h_2 + \rho_2 h_1}} \quad (\text{B.2})$$

743 where nonlinear and dispersion parameters ( $\alpha$  and  $\beta$  respectively) can represent the soliton polarity;  $c$   
744 is the linear velocity and the solution of solitary wave is expressed below the interface displacement  
745  $\eta(x, t)$

$$\eta(x, t) = \eta_0 \text{sech}^2 \left( \frac{x - Vt}{L} \right) \quad (\text{B.3})$$

746 in which the  $\eta_0$  is the amplitude. The nonlinear velocity  $V$  (also called phase velocity) and the  
747 characteristic length of soliton  $L$  are given as.

$$V = c + \frac{\alpha}{3} \eta_0, \quad L = \sqrt{\frac{12\beta}{\alpha \eta_0}} \quad (\text{B.4})$$

748 The dispersion parameter  $\beta$  is almost larger than zero for the internal solitary waves in the ocean  
749 but the sign for the nonlinear parameter  $\alpha$  is relevant to the wave formation. When  $\alpha > 0$ , the interface  
750 displacement will show a waveform of depression soliton. If negative, the isopycnal elevation will appear.  
751 Therefore, the reverse situation for an internal solitary wave is determined by the sign change of the

752 nonlinear parameter. The KdV model is suitable with weakly nonlinear and dispersive waves which is  
 753 capable of being used to validate the small-amplitude ISW results in the laboratory. Nevertheless, when  
 754 nonlinearity enhancement happens by the reason of shallower topography or stronger stratification, the  
 755 modified KdV (m-KdV) model (Michallet and Barthelemy, 1998; Grimshaw et al., 2004) can describe  
 756 relatively stronger nonlinear solitons with the addition for cubic nonlinearity term as

$$\frac{\partial \eta}{\partial t} + (c + \alpha \eta - \alpha_1 \eta^2) \frac{\partial \eta}{\partial x} + \beta \frac{\partial^3 \eta}{\partial x^3} = 0 \quad (\text{B.5})$$

757 It is worthy of noting that the m-KdV equation takes the higher-order nonlinear term into account  
 758 and can degenerate into the KdV equation when the cubic nonlinear parameter  $\alpha_1 = 0$ . Here, the solution  
 759 is given with the interface displacement  $\eta(x, t)$

$$\eta(x, t) = \frac{\eta_0 \operatorname{sech}^2 \left( \frac{x - Vt}{L} \right)}{1 - \mu \tanh^2 \left( \frac{x - Vt}{L} \right)} \quad (\text{B.6})$$

760 where

$$\begin{aligned} h_c &= \frac{h_1 + h_2}{1 + \sqrt{\rho_1 / \rho_2}}, & \bar{h} &= h_2 - h_c, \\ \mu &= \begin{cases} h'' / h', & \bar{h} > 0 \\ h' / h'', & \bar{h} < 0 \end{cases}, \\ h' &= -\bar{h} - |\bar{h} + \eta_0|, & h'' &= -\bar{h} + |\bar{h} + \eta_0|, \\ V &= c_{0m} \left[ 1 - \frac{1}{2} \left( \frac{\bar{h} + \eta_0}{h_1 + h_2 - h_c} \right)^2 \right], \\ c_{0m} &= \left\{ \frac{g(h_1 + h_2)}{2} \left[ 1 - \left( 1 - \frac{4h_c(h_1 + h_2 - h_c)(\rho_2 - \rho_1)}{\rho_2(h_1 + h_2)^2} \right)^{1/2} \right] \right\}^{1/2}, \\ L &= 2(h_1 + h_2 - h_c) \sqrt{\frac{(h_1 + h_2 - h_c)^3 + h_c^3}{3(h_1 + h_2)h'h''}} \end{aligned} \quad (\text{B.7})$$

761 More generally, when considering the continuously stratified fluid, the linear velocity  $c$  refers to  
 762 the long-wave velocity of each mode for the Sturm-Liouville problem given as follows (Apel et al., 2007)

$$\begin{cases} \frac{d^2 W}{dz^2} + \frac{N^2}{c^2} W = 0 \\ W = 0, & z = 0 \\ W = 0, & z = -H \end{cases} \quad (\text{B.8})$$

763 where  $H$  is the water depth;  $N$  is the buoyancy frequency;  $W$  is the nondimensional modal function.  
 764 When the nonlinear and dispersion parameters ( $\alpha$  and  $\beta$  respectively) are obtained as

$$\alpha = \frac{3c \int_{-H}^0 (dW/dz)^3 dz}{2 \int_{-H}^0 (dW/dz)^2 dz}, \quad \beta = \frac{c \int_{-H}^0 W^2 dz}{2 \int_{-H}^0 (dW/dz)^2 dz} \quad (\text{B.9})$$

765 Besides, if still considering the background current  $\bar{U}(z)$ , the Taylor-Goldstein equation (Miles,  
 766 1961; Liu, 2010) can describe the vertical modal function  $W$ , when the nonlinear and dispersion  
 767 parameters are obtained under the Boussinesq approximation expressed as (Grimshaw et al., 2002).

$$\frac{d^2 \hat{\phi}(z)}{dz^2} + \left[ \frac{N^2}{(\bar{U} - c)^2} - \frac{\bar{U}''}{(\bar{U} - c)} - k^2 \right] \hat{\phi}(z) = 0 \quad (\text{B.10})$$

$$\alpha = \frac{3 \int_{-H}^0 (c - \bar{U})^2 \left( \frac{dW}{dz} \right)^3 dz}{2 \int_{-H}^0 (c - \bar{U}) \left( \frac{dW}{dz} \right)^2 dz}, \quad \beta = \frac{\int_{-H}^0 (c - \bar{U})^2 W^2 dz}{2 \int_{-H}^0 (c - \bar{U}) \left( \frac{dW}{dz} \right)^2 dz} \quad (\text{B.11})$$

768 where  $c$  is the n-mode linear speed;  $\hat{\phi}(z)$  is the stream function;  $\bar{U}''$  are the second derivative of  
 769 background currents;  $k$  is the horizontal wave number.

770

771 **Code and data availability.**

772 The current version of the nonhydrostatic ocean model (ORCTM-v1) and these experiments about  
773 the internal solitary wave simulation in this paper are available through  
774 <https://doi.org/10.5281/zenodo.6683597> (HaoHuang, 2022), as well as the experiment configurations,  
775 preprocessing, and post-processing. The PETSc library (the download address:  
776 <https://petsc.org/release/download/>, Balay et al., 2020) needs to be installed before building the model.  
777 Nevertheless, we also provide the PETSc library of the version in use and the ORCTM quick manual for  
778 the users at the above link.

779 **Author contributions.**

780 HH and PS developed the nonhydrostatic dynamic framework in ORCTM and devised the internal  
781 solitary wave validation experiments. SQ and JG developed the open boundary module. HH and SQ  
782 analyzed the model results and interpreted the concepts, and all authors contributed to the writing of the  
783 paper.

784 **Competing interest.**

785 The authors of this paper declare that they have no conflicts of interest.

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