



1 **Advancing Precipitation Prediction Using a New Generation Storm-resolving Model**
2 **Framework - SIMA-MPAS (V1.0): a Case Study over the Western United States**

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11 **Abstract:** Global climate models (GCMs) have advanced in many ways as computing power has
12 allowed more complexity and finer resolution. As GCMs reach storm-resolving scale, for
13 predictions to be useful, they need to be able to produce realistic precipitation distributions and
14 intensity at fine scales. This study uses a state-of-art storm-resolving GCM, the System for
15 Integrated Modeling of the Atmosphere (SIMA), as the atmospheric component of the open-source
16 Community Earth System Model (CESM) and a non-hydrostatic dynamical core - the Model for
17 Prediction Across Scales (MPAS). For mean climatology, at uniform coarse (here, at 120km) grid-
18 resolution, the SIMA-MPAS configuration is comparable to the standard hydrostatic CESM (with
19 finite-volume (FV) dynamical core) with reasonable energy and mass conservation. We mainly
20 investigate how the SIMA-MPAS model performs when reaching storm-resolving scale at 3km.
21 To do this effectively, we compose a case study using a SIMA-MPAS variable resolution
22 configuration with a refined mesh of 3km covering the western US and 60 km remaining of the
23 globe. Our results show realistic representations of precipitation details over the refined complex
24 terrains temporally and spatially. Along with much improved temperature features from well
25 performed land-air interactions and realistic topography, we also demonstrate significantly
26 enhanced snowpack distributions. We compared and evaluated the model performance using both
27 observations and a traditional regional climate model. This work illustrates that a global SIMA-
28 MPAS at storm resolving resolution can produce much more realistic regional climate variability,
29 fine-scale features, and extremes to advance both climate and weather studies. The next-generation
30 storm-resolving model could ultimately bridge large-scale forcing constraints and better-informed
31 climate impacts and weather predictions across scales.

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42 1 Introduction

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44 Climate models have advanced in many ways in the last decade including their atmospheric
45 dynamical core and parameterization components. Given the recent development of Earth system
46 model frameworks and advances in computer power, it is feasible to couple non-hydrostatic
47 dynamical cores into global models allowing ‘storm-resolving’ scale on the order of a few
48 kilometers (Satoh et al., 2019). These GSRMs (Global Storm-Resolving Models) have been
49 constructed at a number of modeling centers (Satoh et al., 2019; Stevens et al., 2019; Dueben et
50 al., 2020, Stevens et al., 2020, Caldwell et al., 2021). We expect an emerging trend in improving
51 and applying the new modeling structures and platforms for a better and more accurate
52 understanding of global and regional climate studies and weather-scale predictions.

53

54 The Community Earth System Model (CESM), one of the leading Earth system models, has been
55 used in a wide range of climate studies. For high-resolution CESM applications, variable-
56 resolution CESM-SE (spectral element core) for regional climate modeling has been used in many
57 regional climate studies (such as Huang et al., 2016; Gettelman et al., 2018; Gettelman et al., 2019).
58 More recently for storm-resolving modeling development, over the past decade there have been
59 two efforts to bring the dynamical core from the Model for Prediction Across Scales (MPAS) into
60 CESM. The first effort involved implementing the hydrostatic atmospheric dynamical core in
61 MPAS Version 1 in the Community Atmosphere Model (CAM), which is the atmospheric
62 component of CESM. This effort made available the horizontal variable-resolution mesh capability
63 of the MPAS spherical centroidal Voronoi mesh (Ringler et al., 2010), and led to a number of
64 studies (e.g., Rauscher et al., 2013).

65

66 Later, the static port of MPAS to CAM was updated with the nonhydrostatic MPAS atmospheric
67 solver (Skamarock et al., 2012; Skamarock et al., 2014) to provide nonhydrostatic GSRM
68 capabilities to CAM (Zhao et al., 2016). Neither of these ports was formally released, and the
69 nonhydrostatic MPAS was not energetically consistent with CAM physics, or its energy fixer
70 given, among other things, the height vertical coordinate used by MPAS. Furthermore, the MPAS
71 modeling system and its dynamical core, being separate from CESM, have evolved from these
72 earlier ports. To address the issues in the earlier MPAS dynamical core ports to CAM/CESM, the
73 MPAS nonhydrostatic dynamical core has been brought into CAM/CESM as an external
74 component, i.e., it is pulled from the MPAS development repository when CAM is built, and all
75 advances in MPAS are immediately available to CESM-based configurations using MPAS. This
76 latest port was accomplished as part of the SIMA (System for Integrated Modeling of the
77 Atmosphere) project. Importantly, this implementation also includes an energetically consistent
78 configuration of MPAS, with its height vertical coordinate, the CAM hydrostatic-pressure
79 coordinate physics and the CAM energy fixer.

80



81 The MPAS dynamical core solves the fully compressible nonhydrostatic equations of motion and
82 continues to be developed and used in many studies (Feng et al., 2021; Lin et al., 2022; and see
83 <https://mpas-dev.github.io/atmosphere/atmosphere.html>). In this work, we test the storm-resolving
84 capabilities in this new atmospheric simulation system. We use SIMA capabilities to configure a
85 version of CESM with the MPAS nonhydrostatic dynamical core, called SIMA-MPAS instead of
86 CESM-MPAS, since it is coupled only to a land model, with the other climate-system components
87 being data components. In particular, we'd like to answer the question: can a non-hydrostatic
88 dycore coupled global climate model reproduce observed wet season precipitation over targeted
89 refinement regions? In addition, will this new development and modeling framework perform
90 better or worse than a mesoscale model at similar resolution?

91
92 We aim to understand how this new SIMA-MPAS model configuration performs when configured
93 for storm-resolving (convection permitting) scale for precipitation prediction over the western
94 United States (WUS). Leveraging the recent significant progress in SIMA-MPAS development,
95 we have undertaken experiments to understand the performance of SIMA-MPAS in precipitation
96 simulations involving heavy storm events and relevant hydroclimate features at fine scales. We
97 also explore large-scale dynamics and moisture flux transport over the subtropical region across
98 the North Pacific. We evaluate the model results compared to both observations and a regional
99 climate model. Employing the recent modeling developments in CESM with the MPAS dycore,
100 the ultimate goal of this study is to evaluate the potential improvements to our understanding of
101 atmospheric processes and prediction made possible with GSRM capabilities. We begin in section
102 2 with a description of the model configurations and experiments. Section 3 describes the main
103 results, including mean climatology diagnostics, precipitation statistics and features, snowpack
104 statistics features, and large-scale moisture flux and dynamics. A summary and discussion follow
105 in Section 4.

106 **2 Methods, experiments, and dataset**

107 **2.1 Methods and experiments**

108
109 As briefly mentioned in the introduction section, we configure CESM2 (Danabasoglu et al., 2020)
110 with the MPAS nonhydrostatic dynamical core and CAM6 physics. We call this configuration
111 SIMA-MPAS. SIMA is a flexible system for configuring atmospheric models inside of an Earth
112 System Model for climate, weather, chemistry and geospace applications (<https://sima.ucar.edu>).
113 The components of this particular configuration also include the coupled land model CLM5 (with
114 MOSART river model) and prescribed SST and ice. MPAS-Atmosphere employs a horizontal
115 unstructured centroidal Voronoi tessellation (CVT) with a C-grid staggering (Ringler et al., 2010),
116 and its numerics exactly conserve mass and scalar mass. Both horizontal uniform meshes and
117 variable resolution meshes with smooth resolution transitions are available for MPAS-



118 Atmosphere, and this study employs both mesh types. It uses a hybrid terrain-following height
119 coordinate (Klemp 2011).

120
121 We summarize here the key developments on the coupling of MPAS dynamical core to CAM
122 physics and changes to CAM physics to accommodate MPAS. Most of all, we'd like to point out
123 that a consistent coupling of the MPAS dynamic core with the CAM physics package is not trivial
124 for several reasons. 1) MPAS uses a height (z) based vertical coordinate whereas CAM physics
125 uses pressure. 2) The CAM physics package enforces energy conservation by requiring each
126 parameterization to have a closed energy budget under the constant pressure assumption (Lauritzen
127 et al., 2022). For the physics-dynamics coupling to be energy consistent (i.e., not be a spurious
128 source/sink of energy) requires the energy increments in physics to match the energy increments
129 in the dynamical core when adding the physics tendencies to the dynamics state. When "mixing"
130 two vertical coordinates, that becomes non-trivial. 3) The prognostic state in MPAS is based on a
131 modified potential temperature, density, winds, and dry mixing ratios whereas CAM uses
132 temperature, pressure, winds and moist mixing ratios for the water species. The conversion
133 between (discrete) prognostic states should not be a spurious source/sink of energy either. 4)
134 Lastly, the energy fixer in CAM that restores energy conservation due to updating pressure (based
135 on water leaving/entering the column), as well as energy dissipation in the dynamical core and
136 physics-dynamics coupling errors (see Lauritzen and Williamson, 2019), assumes a constant
137 pressure upper boundary condition. MPAS assumes constant height at the model top, so the energy
138 fixer needs to use an energy formula consistent with the constant volume assumption. The details
139 of the energy consistent physics-dynamics coupling and extensive modifications to CAM physics
140 to accommodate MPAS are beyond the scope of this paper and will be documented in a separate
141 source.

142
143 With the above significant progress in SIMA-MPAS development, we'd like to diagnose the
144 performance of this new generation model when applied at convection-permitting resolutions and
145 when bridging both weather and climate scale simulations in a single global model. We have
146 chosen the WUS as our study region to examine the precipitation features in SIMA-MPAS at fine
147 scales during wet seasons. We aim to figure out when the model outperforms and underperforms
148 when compared to both observations and a traditional regional climate model at similar resolutions
149 for mean and heavy precipitation behaviors. As mentioned in the introduction, we'd like to figure
150 out whether a non-hydrostatic dycore coupled global climate model can reproduce observed wet
151 season precipitation over targeted refinement regions. And will this new development and
152 modeling framework perform better or worse than a mesoscale model at similar resolution?

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154 To answer those questions, we have designed and conducted a set of experiments as shown in
155 Table 1. In detail:

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- Set A: We have tested CESM2 at the same coarse resolution using both MPAS (at 120km)
158 as the non-hydrostatic core and Finite Volume (Danabasoglu et al., 2020) (at ~1 degree) as



159 the hydrostatic core for multiple years of climatology to get five-year mean F2000
 160 climatology at $\sim 1^\circ$ for both MPAS and FV (finite-volume) dycore;

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162 • Set B: as the main focus for this work, a variable resolution mesh is configured with 3km
 163 refinement centered over western us as shown in Figure 1, for five wet-season simulations
 164 with 60-3km mesh (year 1999 to 2004; November to March; FHIST component set for
 165 historical forcings); atmosphere conditions initialized by Climate Forecast System
 166 Reanalysis (CFSR) reanalysis data;

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168 • Set C: In addition, we have also configured uniform 60km simulations for two wet seasons
 169 in contrast to the 60-3km ones (year 2000 to 2002; November to March)

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171 • Set D: Lastly, to accommodate the recent changes to the MG microphysics scheme, we
 172 have repeated one simulation at 60-3km resolution for the first wet-season (i.e. year 1999-
 173 2000) using MG3 with graupel (Gettelman et al., 2019) instead of MG2 (Gettelman and
 174 Morrison 2015) as in the Set B simulations.

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176 All simulations have been conducted with 58 vertical levels up to 43 km. Set A also includes
 177 experiments using 32 vertical levels. The dynamic time-step for MPAS dycore is 20s (i.e., seconds)
 178 for 60-3km experiments with physics time-step set to 120s. For 120km the MPAS dynamic
 179 timestep is 900s and the physics timestep is 1800s. We have used the default radiation time step
 180 (1 hour). The average cost including writes and restarts is $\sim 4K$ to $6K$ core-hour for one-day
 181 simulation with the scaling of the high-performance computing to be further improved. We'd like
 182 to acknowledge that model tuning is not performed.

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Table 1: A series of experiments in this study with different configurations

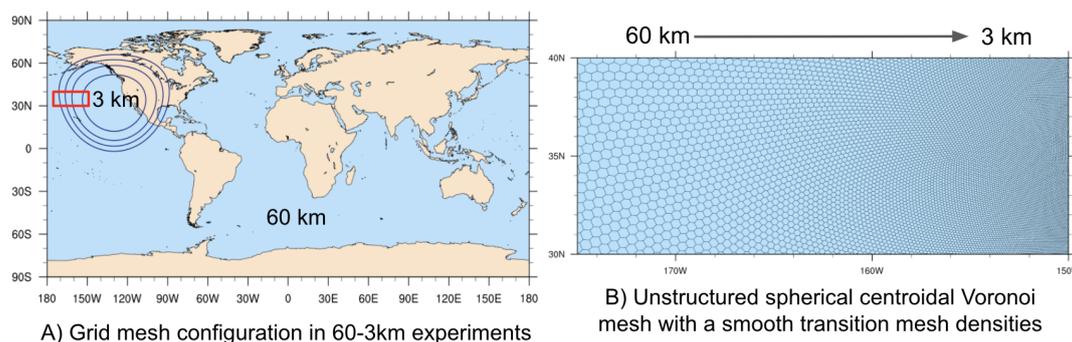
Dycore/Model experiments	Component set	Grid spacing	Simulation time	Vertical level	Physics/dynamics timestep and microphysics
MPAS	F2000climo	120km	5 years	32L, 58L	1800s/900s, MG2
FV	F2000climo	~ 1 degree	5 years	32L, 58L	1800s/1800s, MG2
MPAS	FHIST	60-3km	1999-2004, Nov. - March	58L	120s/20s, MG2
MPAS	FHIST	60-3km	1999-2000, Nov. - March	58L	120s/20s, MG3
MPAS	FHIST	60km	2000-2002	58L	900s/450s, MG2

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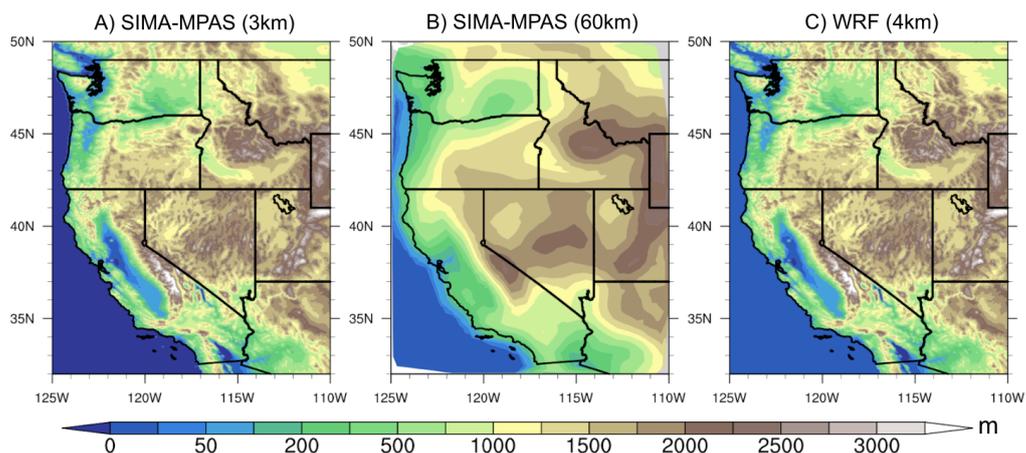
191 **Figure 1: Grid mesh configuration in 60-3km experiments.** A) The global domain mesh
192 configuration with total grid columns of 835586; B) The zoomed-in region (see the red box
193 depicted in panel A)) for the mesh structure from 60km to 3km.

194 2.2 Other datasets used in this study

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196 In this work, we have employed observations from CERES EBAF products (Kato et al., 2018;
197 Loeb et al., 2018) for cloud and radiation fluxes properties. We have used GHCN Gridded V2 CPC
198 data (Fan and Van, 2008) for the land 2m air temperature globally, which is provided by the
199 NOAA/OAR/ESRL PSL. We have also used PRISM data for gridded observed precipitation and
200 temperature features (Daly et al., 2017) and Daymet data for gridded snow water equivalent
201 reference (Thornton et al., 2020). Another important dataset used for comparison is the WRF 4km
202 simulation data over CONUS from Rasmussen et al. (2021, <https://rda.ucar.edu/datasets/ds612.5>),
203 which used the mean of the CMIP5 model as the boundary forcing. We extracted the same
204 historical time data as the 60-3km simulations for direct evaluation (i.e., non-hydrostatic CESM
205 as a vs. non-hydrostatic WRF as a widely used regional climate model). The topography details
206 are shown in Figure 2 over the western US study region, showing that the complex terrains over
207 coastal and mountainous regions have been well-resolved in SIMA-MPAS at 3km resolution (in
208 contrast to 60km). This is comparable to the topography details in the WRF meso-scale model at
209 a similar resolution.

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Figure 2: Topography over the Western US region. A) SIMA-MPAS at 3km refinement, B) SIMA-MPAS uniform 60km grid mesh, and C) WRF simulations at 4km over CONUS.

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3 Results

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3.1 Mean climatology diagnostics for CESM with MPAS dycore

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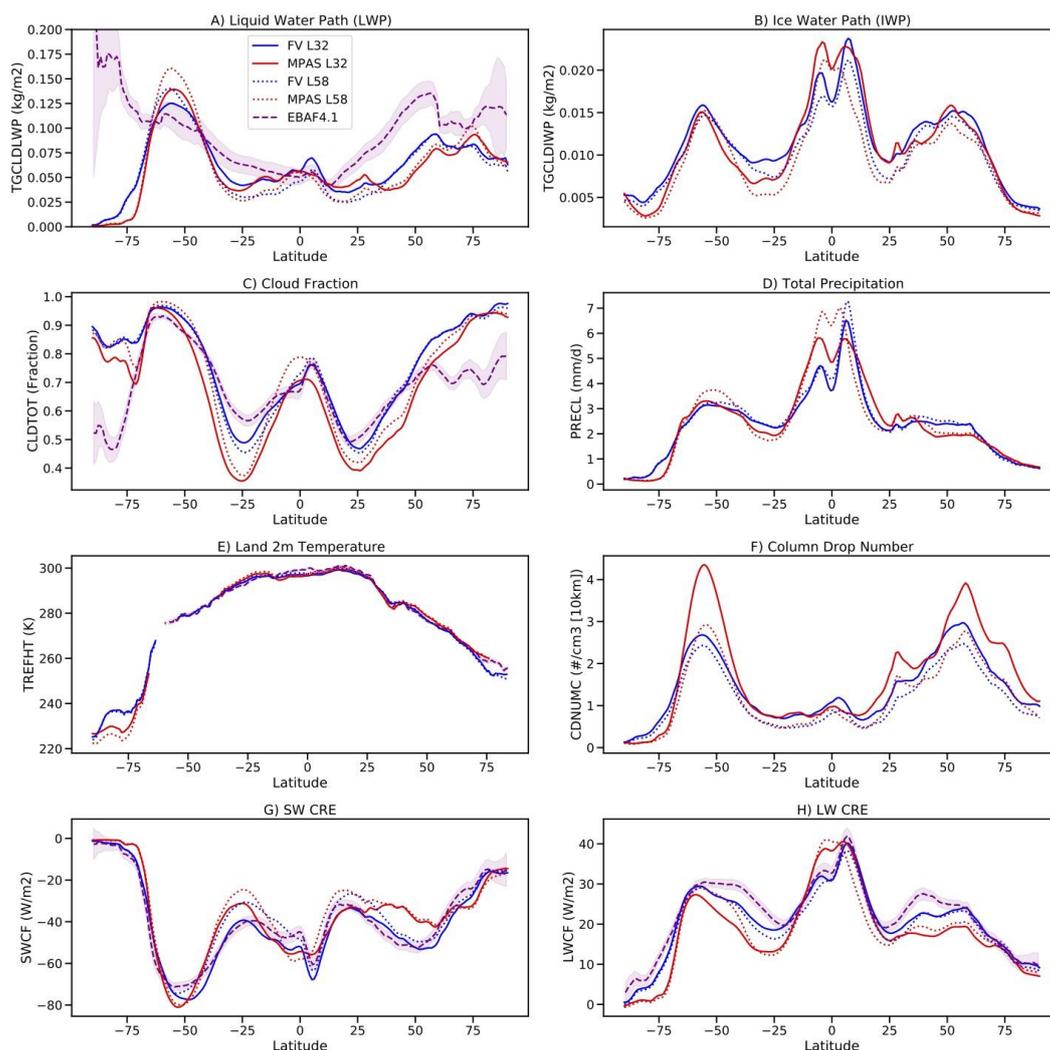
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As the non-hydrostatic dynamical core is coupled to CESM model framework, we'd like to understand the mean climate in SIMA-MPAS and how that compares to a standard hydrostatic core (here, using FV), with the experiments described in Table 1. We evaluate the global context of the new formulation of CESM with a non-hydrostatic dynamical core with both 32 and 58 vertical levels. The 58 layer has higher resolution in the Planetary Boundary Layer (PBL) and in the mid and upper troposphere (about 10 additional levels in the PBL and decreasing vertical grid spacing from 1000m to ~500m near the tropopause). Satellite observations are used for comparison as described in the above section 2.2. Simulations results are averaged over the climatological present day (year 2000) conditions with 5 years long.



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Figure 3: Zonal mean climatology from 5-year simulations with CESM2-CAM6. A) Liquid Water Path (LWP), B) Ice Water Path (IWP), C) Cloud Fraction, D) Total precipitation rate, E) Land 2m air Temperature, F) Column drop number, G) Shortwave Cloud Radiative Effect (SW CRE), H) Longwave (LW) CRE. Simulations are the default Finite Volume (FV) dynamical core with 32 levels (FV L32: Blue Solid) and 58 levels (FV L58: Blue Dashed). Also, the MPAS dynamical core with 32 levels (MPAS L32: Red Solid) and 58 levels (MPAS L58). Observations shown in Purple from CERES 20-year climatology from 2000-2020 for LWP, Cloud Fraction, SW CRE and LW CRE, and CPC surface temperature from 1990-2010 for E). Shaded values are 1 sigma annual standard deviations.



238 Figure 3 indicates that MPAS simulations have a very similar climate to FV simulations. There
239 are some differences in tropical ice water path in the southern hemisphere tropics, and some
240 significant differences in sub-tropical cloud fraction. The climate differences between 32 and 58
241 levels are also similar between dynamical cores: decreases in liquid and ice water path at higher
242 vertical resolution. SIMA-MPAS has slight increases in cloud fraction and precipitation at higher
243 vertical resolution, while SIMA-FV has little change or slight decreases in cloud fraction. Land
244 surface temperature is well reproduced when ocean temperatures are fixed with both dynamical
245 cores. Column drop number with CAM-MPAS is lower than CAM-FV, but more stable with
246 respect to resolution changes. Subtropical SW CRE and LW CRE have higher magnitudes with
247 CAM-MPAS, consistent with higher LWP and cloud fraction in these regions, yielding better
248 agreement with the meridional CRE structure.

249

250 Analysis of the atmospheric wind and temperature structure (Figure S1 and Figure S2) indicates
251 that SIMA-MPAS compares as well or better to reanalysis winds and thermal structure in the
252 vertical as SIMA-FV. Overall, SIMA-MPAS produces a reasonable climate simulation, with
253 biases relative to observations that are not that different from SIMA-FV simulations, despite
254 limited adjustments being made to momentum forcing. SIMA-MPAS has a realistic zonal wind
255 structure with sub-tropical tropospheric and polar stratospheric jets. There are differences in
256 magnitude from ERAI, but MPAS (which has not been fully tuned) produces a realistic wind
257 distribution. For the temperature profile, there are patterns of bias between the high and low
258 latitudes indicating different stratospheric circulations between the model and the reanalysis. That
259 could be adjusted with the drag and momentum forcing in the model. Note that no adjustment of
260 the physics has been performed.

261 **3.2 Precipitation distribution and statistics**

262 **3.2.1 Mean precipitation features**

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264 In the Western US during the wet season (Nov-Mar), most of the precipitation occurs over the
265 coastal ranges and mountainous regions, with significant impacts on both water resources and
266 potential flood risk management (Hamlet and Lettenmaier, 2007; Dettinger et al., 2011; Huang et
267 al., 2020a). In Figure 4, we show the wet season mean precipitation features over the targeted
268 region with differences from observations. The result demonstrates that SIMA-MPAS can well
269 simulate the precipitation intensity and spatial distributions, as compared to PRISM observations.
270 The spatial features are well captured with the spatial correlation of about 0.93 with precipitation
271 mainly distributed over the Cascade Range, Coastal Range, Sierra Nevada, and the Rocky
272 Mountains. If looking at the precipitation at the coarser resolution (60km, Figure S3a) in SIMA-
273 MPAS, the mean domain-average of the precipitation (for about 2.43 mm, when averaged over
274 years 2000-2002) is similar to the fine resolution results (for about 2.61 mm) but lacking important
275 regional variability and spatial textures.



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277 In terms of biases, SIMA-MPAS 3km overall underestimates the precipitation by about 0.07 mm
278 (bias averaged over the plotted domain), especially over the windward regions. WRF, on the other
279 hand, tends to overestimate the precipitation in most regions (for about 0.53 mm, bias averaged
280 over the plotted domain)) except for the northwest coast and some Rocky Mountains regions,
281 which can be seen from the relative difference plot (Figure 4c). The relative differences in
282 precipitation are generally large over the dryer regions in SIMA-MPAS. Overall, the bias is
283 negative (for about -0.81 mm on average) over windward regions, but positive over the lee side
284 (for about 0.48 mm on average). We also notice that the precipitation texture is relatively smoothed
285 over the Rocky Mountains resulting in a large underestimation bias, which could be due to the fact
286 that the boundary for the 3km mesh grids is nearing those regions (see Figure 1). This can also
287 be told from the smoother topography over the 3km mesh bounds and transient domains (see Figure
288 2). For future regional refined applications, we would suggest having a reasonably larger domain
289 area than the study region at the finest resolution to accommodate the noise and instability from
290 mesh transition.

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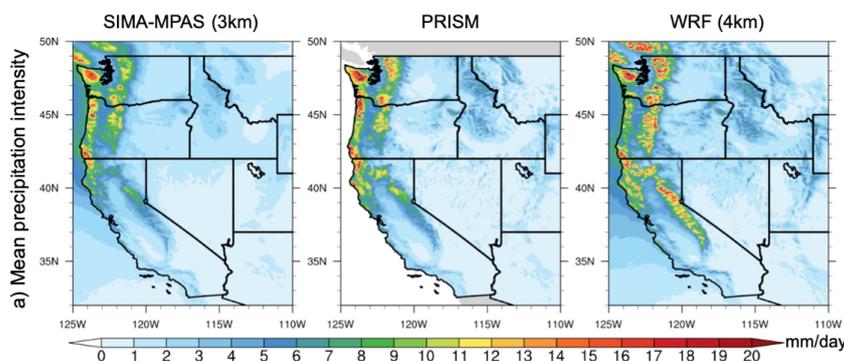
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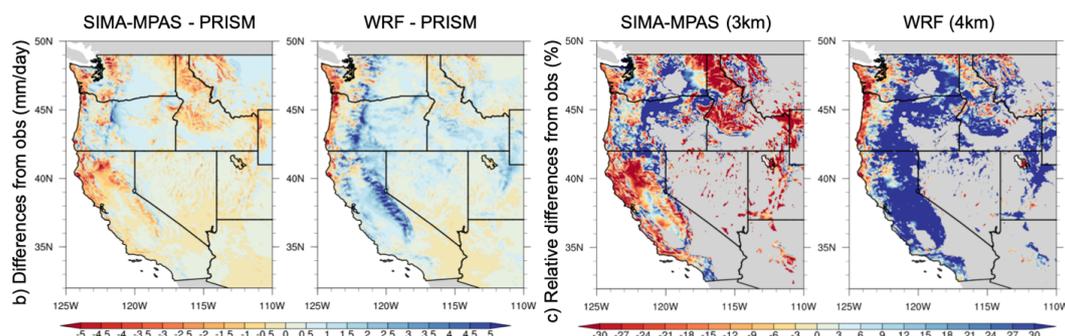
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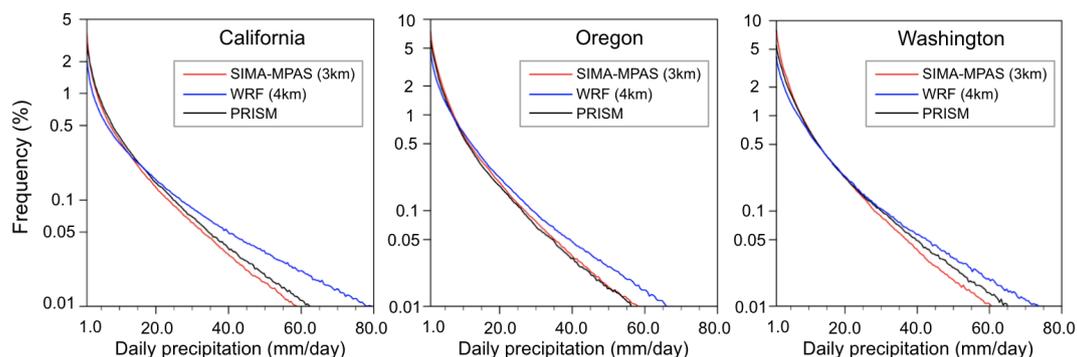
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Figure 4: Mean simulated precipitation and differences from observation: a) Wet-season (Nov-March) daily precipitation intensity over western US (1999-2004); b) Absolute differences from PRISM reference; c) Similar as b, but for relative differences from PRISM (grid box values less than 1mm/day have been masked)).

Over the Western US, especially in the coastal States, heavy precipitation can be induced by extreme storm events mainly in the form of atmospheric rivers (Leung and Qian, 2009; Neiman et al., 2011; Rutz et al., 2014; Ralph et al., 2019; Huang et al., 2020b). The capability to capture and predict such extreme events is a significant part of the application of weather and climate models (Meehl et al., 2000; Sillmann et al., 2017; Bellprat et al., 2019). To figure out the performance of SIMA-MPAS in reproducing the precipitation frequency distribution, we combine all the daily data from all the grid points at each coastal State (California, Oregon, and Washington) to calculate the frequency of daily precipitation by intensity (Figure 5). SIMA-MPAS captures the distribution of precipitation intensity with respect to PRISM observations quite well, even better than WRF, particularly at more extreme values. And this finding is consistent across all the three regions.

When looking at the precipitation days with intensity less than 10 to 15 mm/day, SIMA-MPAS shows a close match to PRISM data, while WRF tends to underestimate the probability. For more extreme precipitation days, models tend to diverge in terms of the behaviors with SIMA-MPAS showing some underestimation over California and Washington regions (for average of ~14%, ~7% and ~18% bias for days when intensity exceeds 20 mm/day and less than 60 mm/day for California, Oregon, and Washington respectively). WRF generally overestimates the heavy precipitation frequency to a much larger extent (for an average bias of ~42%, ~51% and ~18% for California, Oregon, and Washington respectively). The sign of the biases is consistent with the previously discussed mean precipitation biases. We do acknowledge that the initialization without nudging conditions does not get the monthly or higher time variability but is able to get the seasonal means and distributions. The results further testify the capability of using SIMA-MPAS for precipitation studies, giving us good confidence in using SIMA-MPAS for storm events studies.



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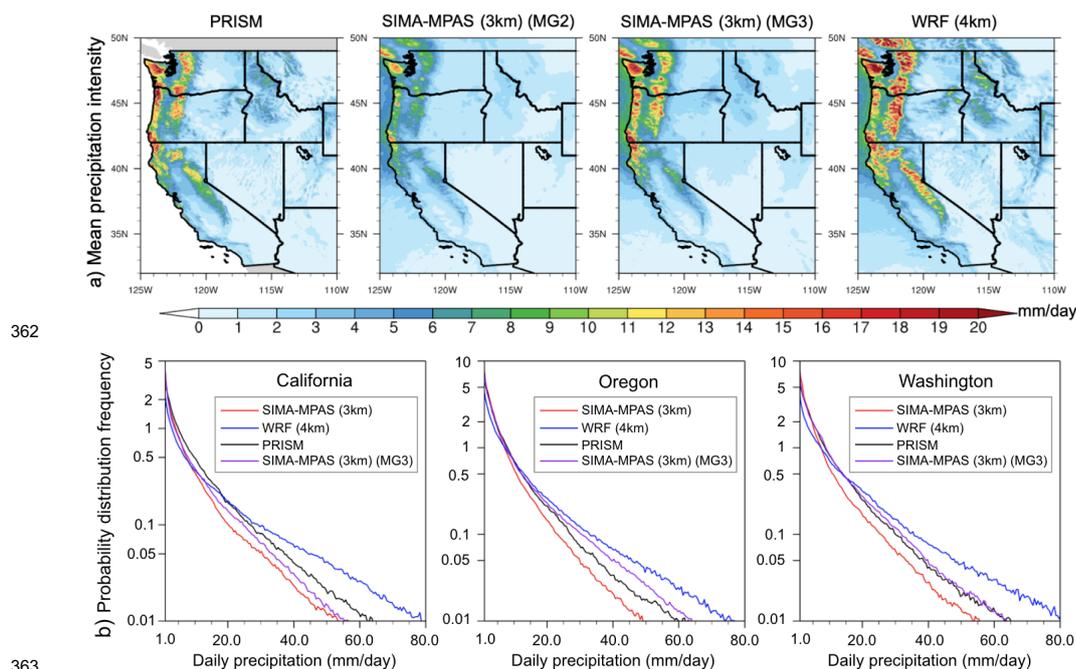
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Figure 5: Probability distribution of daily precipitation frequency. All the daily datasets from the five wet seasons for all grid points in each State are used to construct the distribution statistics. The blue lines refer to WRF reference data, the black lines are for the PRISM observation and the SIMA-MPAS results are in red-colored lines. The x-axis starts from 1mm/day and the y-axis is transformed with a logarithmic scaling for better visualization of the upper tail distribution.

3.2.2 MG2 vs. MG3 microphysics for simulated precipitation in SIMA-MPAS

We'd like to point out that we have used the default microphysics scheme-MG2 (Gettelman et al., 2015) when configuring those experiments from the CESM2 model. We acknowledge that MG3 (including rimed ice, graupel in this case) could be a better option here with the rimed hydrometeors added to the MG2 version (see Gettelman et al., 2019) especially when pushing to mesoscale simulations and for orographic precipitation. To fulfill this caveat but still make the best use of current simulation data, another experiment using the MG3 microphysics scheme was added for the first wet season (1999-2000). Similar diagnostics have been performed as in the previous part but for the results from this one wet season only (as shown in Figure 6).

We do notice that using only one season, although still outperforming WRF output, SIMA-MPAS shows a larger bias from the observation with more notable underestimations for mean intensity and frequency distributions. MG3 microphysics produces stronger precipitation than the MG2 version and the results match better the observation for both spatial mean and frequency distribution. Due to the seasonal and interannual variability, we still need to investigate more different cases, and it is our next-step plan to further investigate the model performance with more testbeds.



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365 **Figure 6: MG2 vs. MG3 microphysics used in SIMA-MPAS for the wet-season (Nov-March)**
366 **precipitation over western US (1999-2000).** a) mean precipitation intensity; b) Probability
367 distribution of daily precipitation frequency, like Figure 5 but for only one wet season with SIMA-
368 MPAS (MG3) added in purple lines.

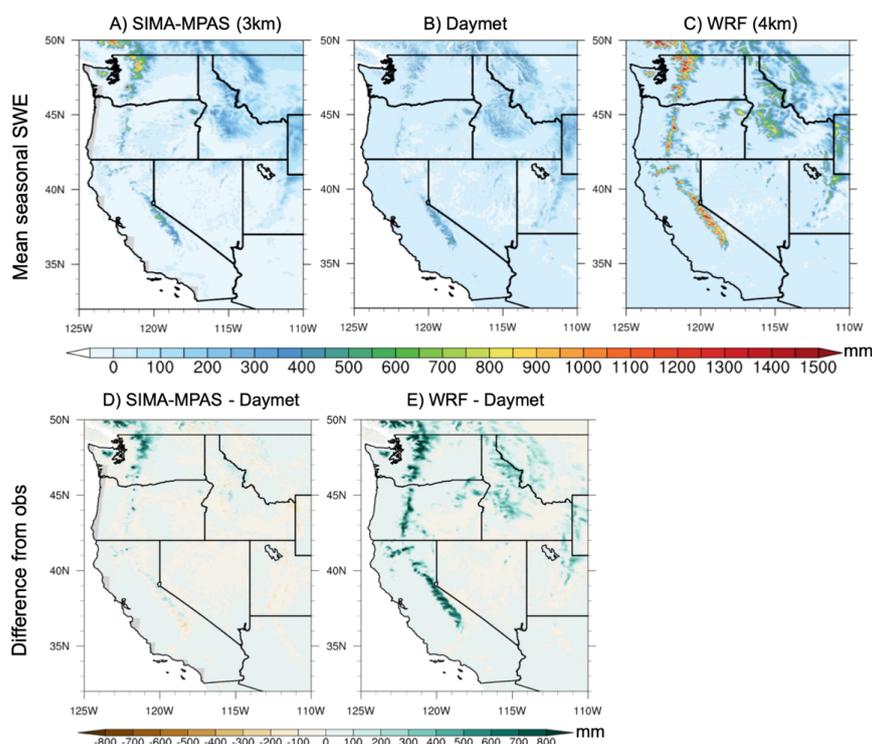
369 3.3 Snowpack statistics features

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371 As we know, snowpack representation has remained a long-standing issue in climate models due
372 to the complicated land-atmosphere interactions and its sensitivities in thermal and hydrological
373 properties (DeWalle & Rango 2008; Liu et al., 2017; Kapnick et al., 2018). It is one of our targets
374 that with improved precipitation and temperature presentation over much better-resolved complex
375 mountainous terrains, the snowpack features can be better represented in CESM. Here, we have
376 compared the accumulated snow water equivalent (SWE) results, which refer to the total
377 accumulated snow from mid-Nov to mid-March (based on daily output), and then averaged over
378 the five seasons (see Figure 7). By comparing the gridded snow water equivalent reference data
379 (Daymet), it shows that SIMA-MPAS (MG2) can produce good estimates of the snowpack over
380 the mountainous regions and even better than WRF simulations (which is related to its
381 precipitation overestimation). Overall, SIMA-MPAS does a good job in retrieving the spatial
382 details for snowpack distribution over mountainous regions (mainly over the Cascade Range,
383 Coastal Range, Sierra Nevada, and the Rocky Mountains) with some positive bias over the
384 northern Cascade Range.



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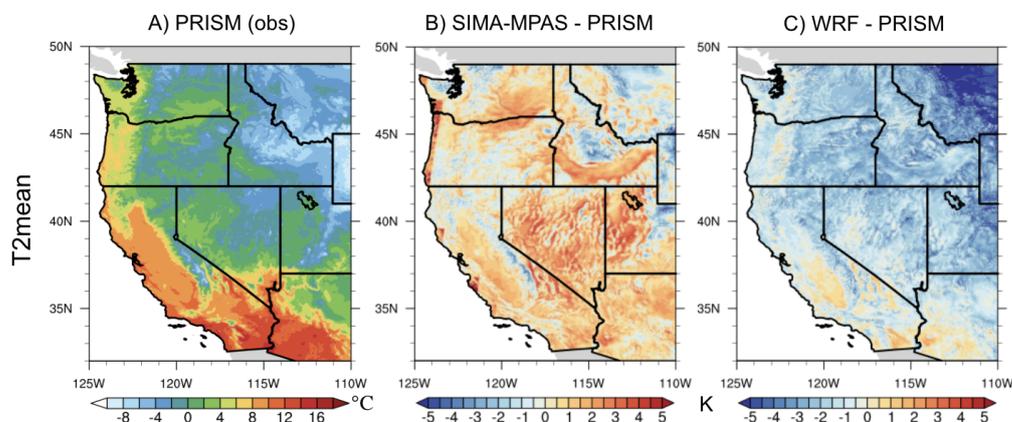
389 **Figure 7: Wet-season (Nov. till March) snow water equivalent (SWE) over western US (1999-**
390 **2004).** First row: Seasonal mean SWE from A) SIMA-MPAS, B) Daymet observation, and C)
391 WRF data; Second row: Absolute differences from Daymet.

392

393 As the snowfall is dominated by the near-surface temperature and precipitation values, we have
394 examined the 2m temperature (T2) here to see how well temperature is captured in SIMA-MAPS.
395 In Figure 8, the mean T2 (T2mean) is shown averaged over all simulated wet seasons. In general,
396 near-surface temperature results from SIMA-MPAS are overall matched with observations across
397 varied climate zones including coastal areas, agriculture, desert regions, inland and mountainous.
398 However, we also notice that SIMA-MPAS tends to be warmer over most places (with the
399 averaged bias of about 0.65°C over the plotted domain), except over very high mountain top ranges
400 with cooler bias. On average, the difference for the regions with warmer biases is about 1.35°C
401 and the difference for those areas with cooler biases is about -0.99°C when compared to PRISM
402 data. On the contrary, WRF tends to be cooler in most regions except the southern part of Central
403 Valley and some desert regions in the southwest US (the average bias is about -1.84°C over the
404 plotted domain). We have also investigated the T2 bias in the 120km simulations to see if this is a
405 consistent model bias. By comparing FV and MPAS together (Figure S4), it turns out that SIMA-
406 MPAS tends to be warmer with higher net surface shortwave and longwave fluxes over the wet-



407 season period discussed here (Figure S5). Still, overall, the land model coupled with the
408 atmosphere also does a good job here under a realistic topography. Given the well-capture
409 precipitation and a reasonably coupled land model, it seems to be promising to better predict the
410 hydroclimate change using a unified non-hydrostatic climate model.
411

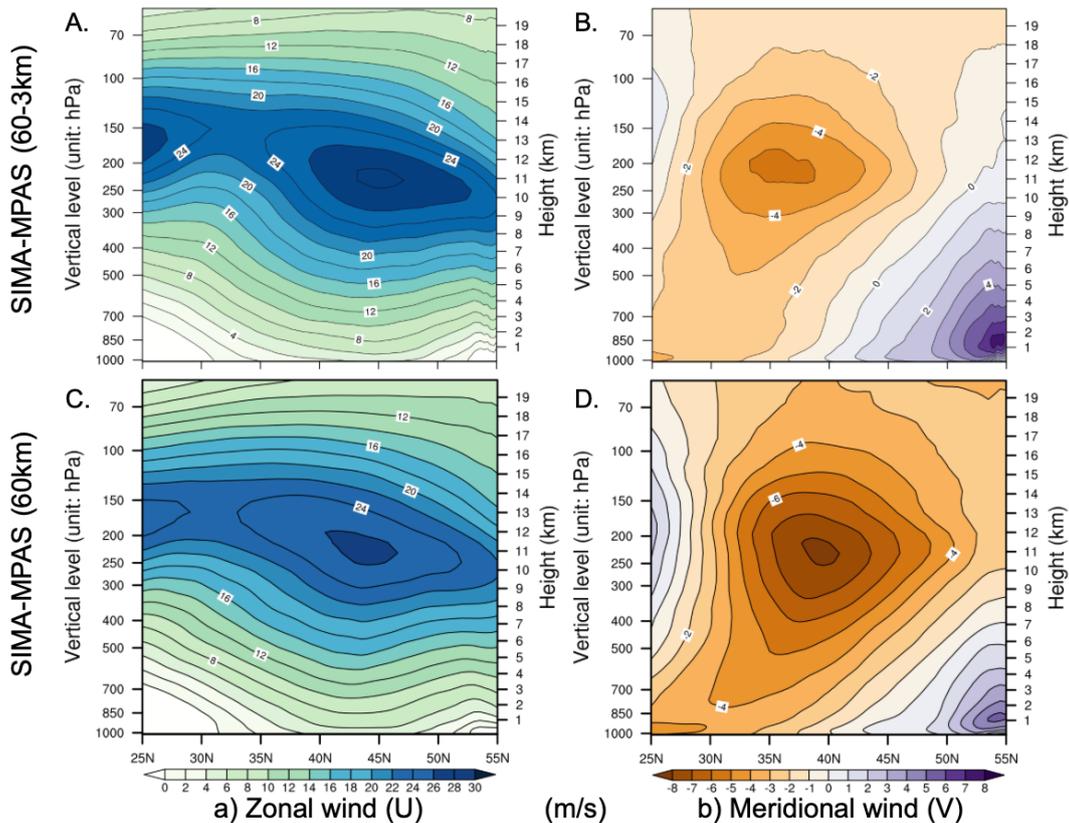


412
413 **Figure 8: Daily mean 2m air temperature (T2mean) averaged over (1999-2004, Nov-March).**
414 A) PRISM observation dataset; B) and C) The differences between SIMA-MPAS and WRF from
415 PRISM respectively; (Note: for difference plot, all data are regridded to the same resolution as
416 PRISM).

417 3.4 Large-scale moisture flux and dynamics

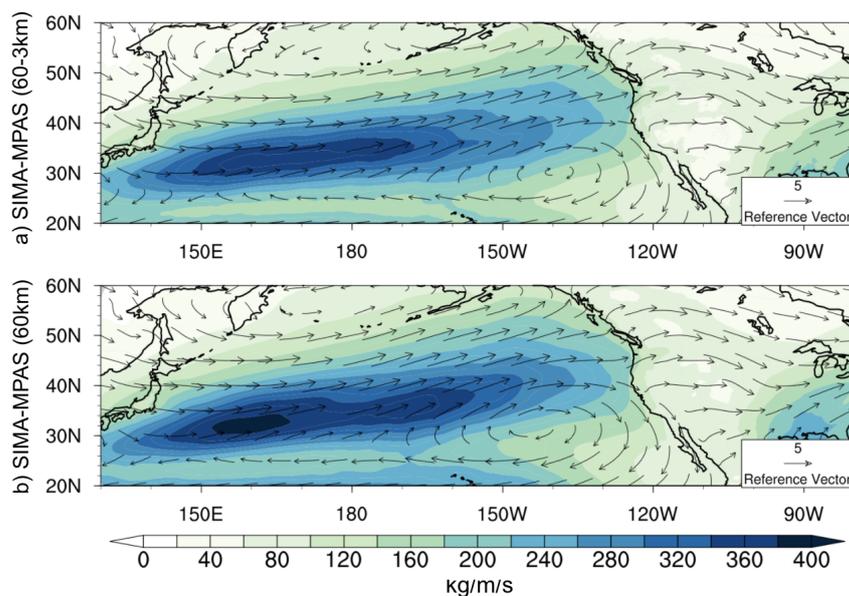
418
419 For further investigation, we have investigated the wind profile that directly connects to the
420 subtropical to middle latitudes moisture fluxes over the northeast Pacific and the hitting western
421 US regions. First, we have examined the vertical wind patterns (at 130°W, near the Western US
422 coast) at both 60-3km and 60km to determine the dynamic changes with the refinement mesh
423 (Figure 9). As we can see, the mean westerly zonal winds are about 10% stronger at the jet stream
424 level near 200-250hPa in 60-3km simulations compared to the 60km results. The mean meridional
425 wind (dominantly southward) however is weaker in 60-3km simulations than the 60km ones. The
426 precipitation over the western US coast is largely associated with the concentrated water vapor
427 transport over the North Pacific, known mainly in the form of atmospheric rivers (Rutz et al.,
428 2014). It is our further interest to investigate the wind dynamics transitioning from coarse scale to
429 mesoscale in future work. Another source of the precipitation uncertainty we'd like to
430 acknowledge could be from the physics timestep (see Figure S6) when comparing the precipitation
431 in 60-3km simulations (a shorter physics time-step) to the 60km results at the regions with the
432 same grid resolutions.

433



434
 435 **Figure 9: Composite wind profile along western US coast (cross-section at 130W, near the**
 436 **western US coast) (averaged over 2000-2002, Nov-March). a) Mean latitude-height cross-**
 437 **section of zonal winds (m/s) for SIMA-MPAS 60-3km (panel A) and 60km (panel C); b) similar**
 438 **as a), except for meridional winds (panel B and D).**
 439

440 When we look at those global simulations with refined regions, we can figure out the large-scale
 441 moisture flux patterns. In Figure 10, we show the integrated water vapor transport from both the
 442 simulations with and without regional refinement. Largely controlled by the zonal winds (as also
 443 in Figure 9), the spatial pattern of the moisture flux is generally similar between those two sets of
 444 experiments. When calculating the IVT values 130°W with the regridded data, the differences are
 445 minor along the WUS latitudes (for about 3% on average). In general, the large-scale dynamics
 446 and fine-scale processes in local regions reach a good synthesis in a non-hydrostatic global climate
 447 model as developed and configured in this study to well represent and potentially to powerfully
 448 predict the precipitation features either at the weather or climate scales.
 449



450

451 **Figure 10: Mean instantaneous vertically integrated water vapor flux transport over western**
452 **US (2000-2002, Nov-March): a) SIMA-MPAS 60-3km and b) SIMA-MPAS 60km. Wind is**
453 **overlaid for the averaged lower levels (height from ~500m to ~2000m).**

454 **4 Summary and discussion**

455

456 In this study, we describe SIMA-MPAS, which is built upon the open-source Community Earth
457 System Model (CESM) with a non-hydrostatic dynamical core, the Model for Prediction Across
458 Scales (MPAS), we'd like to try to answer several questions about the performance of this new
459 generation model when applying at convection-permitting resolutions and when bridging both
460 weather and climate scale simulations in a single global model. We have chosen the western US
461 as our study region to examine the precipitation features in SIMA-MPAS at fine scales and how
462 the model performs when compared to both observations and a regional climate model.

463

464 To answer those questions, we have designed and conducted a set of experiments. First, we have
465 tested CESM at the same coarse resolution using both MPAS as the non-hydrostatic core and
466 finite-volume as the hydrostatic core for multiple years of climatology. Secondly, and, as the focus
467 of this work, a variable resolution mesh is configured with 3km refinement centered over the
468 western US. We have done five separate wet-season simulations to get the precipitation statistics.
469 In addition, we have also included uniform 60km simulations from the model for two seasons.

470

471 We first evaluated the mean climate in SIMA-MPAS to see how that compares to the hydrostatic
472 model counterpart (here, SIMA-FV). The diagnostics show that MPAS simulations have a very



473 similar climate to FV simulations. SIMA-MPAS has slight increases in cloud fraction and
474 precipitation at the higher vertical resolution, while SIMA-FV has little change or slight decreases
475 in cloud fraction. Overall, SIMA-MPAS produces a reasonable climate simulation, with biases
476 relative to observations that are not that different from SIMA-FV simulations, despite limited
477 adjustments being made to momentum forcing and no adjustment of the physics has been
478 performed.

479

480 When compared to both observations and a traditional regional climate model at similar fine
481 resolutions for mean and heavy precipitation behaviors, SIMA-MPAS did a pretty good job in
482 capturing the spatial pattern and mean intensity in general, which is also comparable to WRF
483 results. We do notice there are some underestimations in SIMA-MPAS and overestimations in
484 WRF. SIMA-MPAS captures the distribution of precipitation intensity with respect to PRISM
485 observations even better than WRF, particularly when going to more extreme values. And this
486 finding is consistent across all the three coastal States. With additional experiments, SIMA-MPAS
487 with MG3 microphysics (graupel) produces stronger precipitation than the MG2 version (as used
488 in other experiments in this study as the default microphysics scheme) and the MG3 results match
489 better the observation for both spatial mean and frequency distribution. We acknowledge that MG3
490 could be a better option here with the rimed hydrometeors added to the MG2 version (see
491 Gettelman et al., 2019 for detailed descriptions) especially when pushing to mesoscale simulations
492 and for orographic precipitation.

493

494 We also show that SIMA-MPAS can produce good estimates of the snowpack over the
495 mountainous regions and is even better than WRF simulations (which is related to its precipitation
496 overestimation). Overall, SIMA-MPAS does a good job in retrieving the spatial details for
497 snowpack distribution over mountainous regions (mainly over the Cascade Range, coastal range,
498 Sierra Nevada, and the Rocky Mountains) with some positive bias over the northern Cascade
499 Range. We also notice that SIMA-MPAS tends to be warmer over most places, except over very
500 high mountain top ranges with cooler bias. Overall, given the well-capture precipitation and a
501 reasonably coupled land model, it seems to be promising to better predict the hydroclimate change
502 using a unified non-hydrostatic climate model.

503

504 The results further testify the capability of using SIMA-MPAS for precipitation studies, giving us
505 good confidence in using SIMA-MPAS for storm events studies. The large-scale dynamics and
506 fine-scale processes in local regions reach a good synthesis in a non-hydrostatic global climate
507 model as developed and configured in this study to well represent and potentially to powerfully
508 predict the precipitation features either at the weather or climate scales. We do acknowledge that
509 the initialization without nudging conditions does not get the monthly or higher time variability
510 but is able to get the seasonal means and distributions. Therefore, it is key for this study to have
511 multiple seasons' results to investigate the model performance in precipitation statistics instead. It
512 is also our further interest to investigate the wind dynamics transitioning from coarse-scale to



513 mesoscale in future work and to further investigate the model performance with more testbeds for
514 convective-permitting weather and climate systems across scales.

515
516

517 **Data and code availability:** The data and codes used in this work is available for access from this
518 DOI link: <https://doi.org/10.5281/zenodo.6558578>. The model used in this study can be
519 downloaded from the open-shared link: <https://github.com/ESCOMP/CAM>.

520

521 **Author Contributions:** XH and AG designed the study and the experiments. All authors
522 contributed to the work in the model development. XH performed the simulations with assistance
523 from AG, MC, WS, PL and AH. XH and AG contributed to the investigation and visualization.
524 XH prepared the manuscript with review and edits from AG, WS, PL and AH.

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