

1 **Advancing Precipitation Prediction Using a New Generation Storm-resolving Model**
2 **Framework - SIMA-MPAS (V1.0): a Case Study over the Western United States**

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10
11 **Abstract:** Global climate models (GCMs) have advanced in many ways as computing power has
12 allowed more complexity and finer resolution. As GCMs reach storm-resolving scale, they need
13 to be able to produce realistic precipitation intensity, duration, and frequency at fine scales with
14 consideration of scale-aware parameterization. This study uses a state-of-art storm-resolving GCM
15 with a nonhydrostatic dynamical core - the Model for Prediction Across Scales (MPAS),
16 incorporated in the atmospheric component (Community Atmosphere Model, CAM) of the open-
17 source Community Earth System Model (CESM), within the System for Integrated Modeling of
18 the Atmosphere (SIMA) framework. At uniform coarse (here, at 120km) grid resolution, the
19 SIMA-MPAS configuration is comparable to the standard hydrostatic CESM (with finite-volume
20 (FV) dynamical core) with reasonable energy and mass conservation on climatological timescales.
21 With the comparable energy and mass balance performance between CAM-FV (workhorse
22 dycore) and SIMA-MPAS (newly developed dycore), it gives confidence in SIMA-MPAS's
23 applications at a finer resolution. To evaluate this, we focus on how the SIMA-MPAS model
24 performs when reaching storm-resolving scale at 3km. To do this efficiently, we compose a case
25 study using a SIMA-MPAS variable resolution configuration with a refined mesh of 3km covering
26 the western US and 60 km over the rest of the globe. We evaluated the model performance using
27 satellite and station-based gridded observations with comparison to a traditional regional climate
28 model (WRF, the Weather Research and Forecasting model). Our results show realistic
29 representations of precipitation over the refined complex terrains temporally and spatially. Along
30 with much improved near-surface temperature, realistic topography and land-air interactions, we
31 also demonstrate significantly enhanced snowpack distributions. This work illustrates that a global
32 SIMA-MPAS at storm-resolving resolution can produce much more realistic regional climate
33 variability, fine-scale features, and extremes to advance both climate and weather studies. This
34 next-generation storm-resolving model could ultimately bridge large-scale forcing constraints and
35 better-informed climate impacts and weather predictions across scales.

41 **1 Introduction**

42

43 Climate models have advanced in many ways in the last decade including their atmospheric
44 dynamical core and parameterization components. Advances in computer power have now enabled
45 climate models to be run with non-hydrostatic dynamical cores at “storm-resolving” scales, on the
46 order of a few kilometers (Satoh et al., 2019). These GSRMs (Global Storm-Resolving Models)
47 have been constructed at a number of modeling centers (Satoh et al., 2019; Stevens et al., 2019;
48 Dueben et al., 2020, Stevens et al., 2020, Caldwell et al., 2021). We expect an emerging trend in
49 improving and applying the new modeling structures for a better and more accurate understanding
50 of global and regional climate studies and weather-scale predictions.

51

52 The Community Earth System Model (CESM) has been used in a wide range of climate studies.
53 For high-resolution CESM applications (but hydrostatic only), variable-resolution (VR) CESM-
54 SE (spectral element core) for regional climate modeling has been used in many regional climate
55 studies (such as Small et al., 2014; Zarzycki et al., 2014, 2015; Rhoades et al., 2016; Huang et al.,
56 2016, 2017; Bacmeister et al., 2018; Gettelman et al., 2018, 2019; Van et al., 2019). Specifically,
57 Rhoades et al. (2016) found that the VR-CESM framework (with refinement at 0.25° and 0.125°
58 resolutions) can provide much enhanced representation of snowpack properties relative to widely
59 used GCMs (such as CESM-FV 1° and CESM-FV 0.25°) over the California region. Gettelman et
60 al. (2018) found that the variable-resolution CESM-SE simulation (at 0.25° , ~ 25 km) can produce
61 precipitation intensities similar to the high-resolution, and has higher extreme precipitation
62 frequency than the low-resolution simulation over the Continental United States (CONUS)
63 refinement region, close to observations.

64

65 More recently for storm-resolving model development, there have been two efforts to bring the
66 dynamical core from the Model for Prediction Across Scales (MPAS) into CESM. The first effort
67 involved implementing the hydrostatic atmospheric dynamical core in MPAS Version 1 in the
68 Community Atmosphere Model (CAM), which is the atmospheric component of CESM. This
69 effort made available the horizontal variable-resolution mesh capability of the MPAS spherical
70 centroidal Voronoi mesh (Ringler et al., 2010), and led to a number of studies (e.g., Rauscher et
71 al., 2013; Rauscher & Ringler, 2014; Sakaguchi et al., 2016). For example, Rauscher et al. (2013)
72 found that tropical precipitation increases with increasing resolution in the CAM-MPAS using
73 aquaplanet simulations.

74

75 Later, the static port of MPAS to CAM was updated with the nonhydrostatic MPAS atmospheric
76 solver (Skamarock et al., 2012; Skamarock et al., 2014) to provide nonhydrostatic GSRM
77 capabilities to CAM (Zhao et al., 2016). Neither of these ports was formally released, and the
78 nonhydrostatic MPAS was not energetically consistent with CAM physics, or its energy fixer

79 given, among other things, the height vertical coordinate used by MPAS. Furthermore, the MPAS
80 modeling system and its dynamical core, being separate from CESM, have evolved from these
81 earlier ports. To address the issues in the earlier MPAS dynamical core ports to CAM/CESM, the
82 MPAS nonhydrostatic dynamical core has been brought into CAM/CESM as an external
83 component, i.e., it is pulled from the MPAS development repository when CAM is built, and all
84 advances in MPAS are immediately available to CESM-based configurations using MPAS. This
85 latest port was accomplished as part of the SIMA (System for Integrated Modeling of the
86 Atmosphere) project. Importantly, this implementation also includes an energetically consistent
87 configuration of MPAS, with its height vertical coordinate, the CAM hydrostatic-pressure
88 coordinate physics and the CAM energy fixer.

89
90 The MPAS dynamical core solves the fully compressible nonhydrostatic equations of motion and
91 continues to be developed and used in many studies (Feng et al., 2021; Lin et al., 2022; also see
92 <https://mpas-dev.github.io/atmosphere/atmosphere.html>). In this work, we test the storm-resolving
93 capabilities in this new atmospheric simulation system. We use SIMA capabilities to configure a
94 version of CESM with the MPAS nonhydrostatic dynamical core, called SIMA-MPAS instead of
95 CESM-MPAS, since it is coupled only to a land model, with the other climate-system components
96 being data components. In particular, we would like to answer the question: can a nonhydrostatic
97 dycore coupled global climate model reproduce observed wet season precipitation over targeted
98 refinement regions? In addition, will this new development and modeling framework perform
99 better or worse than a mesoscale model at similar resolution?

100
101 We aim to understand how this new SIMA-MPAS model configuration performs when configured
102 for storm-resolving (convection-permitting) scale for precipitation prediction over the western
103 United States (WUS). Leveraging the recent significant progress in SIMA-MPAS development,
104 we have undertaken experiments to understand the performance of SIMA-MPAS in precipitation
105 simulations involving heavy storm events and relevant hydroclimate features at fine scales. We
106 also explore large-scale dynamics and moisture flux transport over the subtropical region across
107 the North Pacific. We evaluate the model results compared to both observations and a regional
108 climate model. Employing the recent modeling developments in CESM with the MPAS dycore,
109 the ultimate goal of this study is to evaluate the potential improvements to our understanding of
110 atmospheric processes and predictions made possible with GSRM capabilities. We begin in section
111 2 with a description of the model configurations and experiments. Section 3 describes the main
112 results, including mean climatology diagnostics, precipitation and snowpack statistics, and large-
113 scale moisture flux and dynamics. A summary and discussion follow in Section 4.

114 **2 Methods, experiments, and dataset**

115 **2.1 Methods and experiments**

116

117 As briefly mentioned in the introduction section, we configure CESM2 (Danabasoglu et al., 2020)
118 with the MPAS nonhydrostatic dynamical core and CAM6 physics. We call this configuration
119 SIMA-MPAS. SIMA is a flexible system for configuring atmospheric models inside of an Earth
120 System Model for climate, weather, chemistry and geospace applications (<https://sima.ucar.edu>).
121 The components of this particular configuration also include the coupled land model CLM5 (with
122 MOSART river model) and prescribed observation-based SST (sea surface temperature) and ice.
123 MPAS-Atmosphere employs a horizontal unstructured centroidal Voronoi tessellation (CVT) with
124 a C-grid staggering (Ringler et al., 2010), and its numerics exactly conserve mass and scalar mass.
125 Both horizontal uniform meshes and variable resolution meshes with smooth resolution transitions
126 are available for MPAS-Atmosphere, and this study employs both mesh types. It uses a hybrid
127 terrain-following height coordinate (Klemp 2011).
128

129 We summarize here the key developments on the coupling of MPAS dynamical core to CAM
130 physics and changes to CAM physics to accommodate MPAS. Most of all, we would like to point
131 out that a consistent coupling of the MPAS dynamic core with the CAM physics package is not
132 trivial for several reasons. 1) MPAS uses a height (z) based vertical coordinate whereas CAM
133 physics uses pressure. 2) The CAM physics package enforces energy conservation by requiring
134 each parameterization to have a closed energy budget under the constant pressure assumption
135 (Lauritzen et al., 2022). For the physics-dynamics coupling to be energy consistent (i.e., not be a
136 spurious source/sink of energy) requires the energy increments in physics to match the energy
137 increments in the dynamical core when adding the physics tendencies to the dynamics state. When
138 “mixing” two vertical coordinates, that becomes non-trivial. 3) The prognostic state in MPAS is
139 based on a modified potential temperature, density, winds, and dry mixing ratios whereas CAM
140 uses temperature, pressure, winds and moist mixing ratios for the water species. The conversion
141 between (discrete) prognostic states should not be a spurious source/sink of energy either. 4)
142 Lastly, the energy fixer in CAM that restores energy conservation due to updating pressure (based
143 on water leaving/entering the column), as well as energy dissipation in the dynamical core and
144 physics-dynamics coupling errors (see Lauritzen and Williamson, 2019), assumes a constant
145 pressure upper boundary condition. MPAS assumes constant height at the model top, so the energy
146 fixer needs to use an energy formula consistent with the constant volume assumption. The details
147 of the energy consistent physics-dynamics coupling and extensive modifications to CAM physics
148 to accommodate MPAS are beyond the scope of this paper and will be documented in a separate
149 source.
150

151 In terms of scale awareness, there are two aspects related to the model physics in the configuration
152 that must be considered when employing regionally refined meshes. First, features resolvable in
153 the finer regions of the mesh may not be resolvable in the coarser regions of the mesh. These
154 features, e.g. deep convection in this study, need to be parameterized in the coarse mesh regions
155 and not parameterized in the fine mesh regions, typically with the parameterization reducing its
156 adjustment gradually in the mesh transition regions. Second, the timestep used for the physics is
157 the same over the entire mesh. i.e. in both coarse and fine regions, and the timestep in CESM-

158 MPAS is chosen to be appropriate for the smallest grid, as indicated in Table 1. Within our
159 simulations, the balance of deep convective (diagnostic) and stratiform (large-scale) precipitation
160 changes with the mesh spacing. In addition, since the deep convective parameterization in CESM-
161 MPAS has a closure with a fixed timescale, the parameterized convection produces less
162 condensation in the coarse mesh regions compared to simulations with a larger timestep
163 appropriate for the coarser mesh (Gettelman et al 2019). But in the simulations herein, most of the
164 precipitation is strongly forced by the large-scale flow, with the larger condensation hypothesized
165 to lead to larger rain rates. This is particularly important over the WUS complex terrains. The large
166 scale condensation scheme, part of the unified turbulence scheme (Golaz et al., 2002) has internal
167 length scales that should adjust its distributions as the scale changes (less variance in the PDFs).
168 Land surface related feedback is also resolution dependent with scale-aware surface heterogeneity
169 and coupled land-atmosphere interactions to affect the phase and hydrological impacts resulting
170 from the regional precipitation statistics.

171
172 With the above significant progress in SIMA-MPAS development, we would like to diagnose the
173 performance of this new generation model when applied at convection-permitting resolutions and
174 when bridging both weather and climate scale simulations in a single global model. We have chosen
175 the WUS (due to its hydroclimate vulnerability and complexity, heavily impacted by precipitation
176 variability) as our study region to examine the precipitation features in SIMA-MPAS at fine scales
177 during wet seasons. We aim to figure out when the model outperforms and underperforms when
178 compared with a traditional regional climate model against best-available observations and
179 observationally based gridded products at similar resolutions for mean and extreme precipitation. As
180 mentioned in the introduction, we would like to figure out whether a nonhydrostatic dycore coupled
181 global climate model can reproduce observed wet season precipitation over targeted refinement
182 regions with heavy impacts. And will this new development and modeling framework perform better
183 or worse than a mesoscale model at similar resolution? Those are important questions to answer
184 given the long-standing biases in traditional hydrostatic GCMs for simulating heavy precipitation
185 and extremes.

186
187 To answer those questions, we have designed and conducted a set of experiments as shown in
188 Table 1. In detail:

- 189
- 190 ● Set A: We have tested CESM2 at the same coarse resolution using both MPAS (at 120km)
191 as the nonhydrostatic core and Finite Volume (Danabasoglu et al., 2020) (at ~ 1 degree) as
192 the hydrostatic core for multiple years of climatology to get five-year mean F2000
193 climatology (in which, the SST and ice condition are prescribed at the same yearly
194 climatology with mean from the time period 1995-2005) at $\sim 1^\circ$ for both MPAS and FV
195 (finite-volume) dycore.
- 196

- 197 ● Set B: As the main focus for this work, a variable resolution mesh is configured with 3km
198 refinement centered over WUS as shown in Figure 1, for five wet-season simulations with
199 60-3km mesh (years 1999 to 2004; mid-November to mid-March; FHIST component set
200 for historical forcings); atmosphere conditions initialized by Climate Forecast System
201 Reanalysis (CFSR) data.
202
- 203 ● Set C: In addition, we have also configured uniform 60km simulations for two wet seasons
204 in contrast to the 60-3km ones (years 2000 to 2002; November to March).
205
- 206 ● Set D: Lastly, to accommodate the recent changes to the MG microphysics scheme, we
207 have also conducted simulations at 60-3km resolution for the three wet seasons (years
208 1999-2002) using MG3 with graupel (Gettelman et al., 2019) instead of MG2 (Gettelman
209 and Morrison 2015) as in the Set B simulations. Specifically, Gettelman et al 2019 (i.e.,
210 the MG3 paper) show that even at 14 km scale the inclusion of rimed ice changes the timing
211 and location of precipitation in the Western United States due to the different fall speeds
212 and lifetimes of graupel, which is formed when higher vertical velocities result. This effect
213 is expected to be larger at 3km.
214

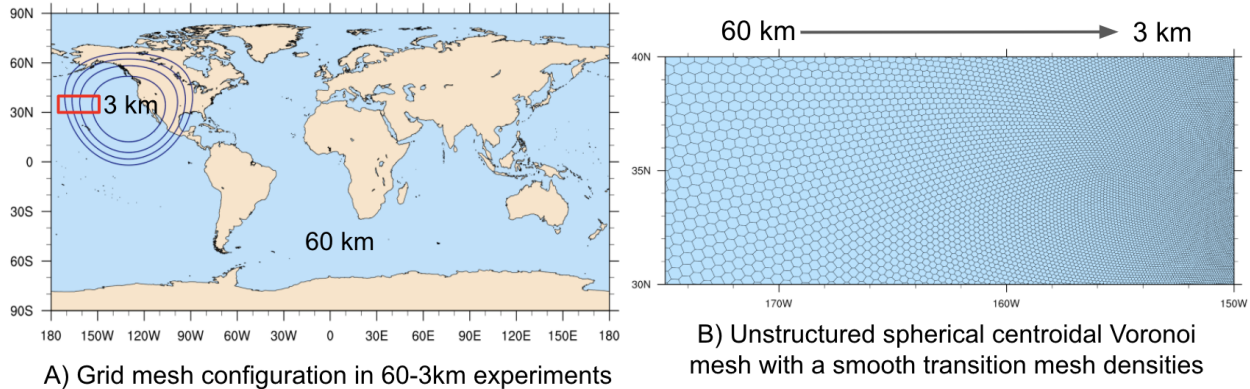
215 All simulations have been conducted with 58 vertical levels up to 43 km. Set A also includes
216 experiments using 32 vertical levels. We have used the default radiation time step (1 hour). The
217 physics and dynamic timesteps are set to default at 1800s for $\sim 1^\circ$ degree CAM-FV simulation, and
218 this is the default for CAM6 physics for the nominally 1 degree. For 120km the MPAS dynamic
219 timestep is 900s and the physics timestep is 1800s. We also use 900s for the 60km grid-space
220 experiments, scaling it with reduced mesh spacing. The dynamic time-step for MPAS dycore is
221 20s for 60-3km experiments with physics time-step set to 120s. Instead of using a 20s timestep for
222 the 60-3 km mesh as scaling would imply, we use a 120s physics timestep for the 60-3km
223 experiments, in part to reduce computational cost and because other studies have shown acceptable
224 results with this physics timestep at comparable mesh spacing (e.g., Zeman et al 2021). We also
225 recognize that the WUS precipitation as the focus of our study is predominantly orographically
226 forced, whereas the physics-timestep-critical processes are related to unstable deep convection,
227 perhaps lending support for a longer physics timestep in this application. We acknowledge the
228 possible sensitivity of our results to the physics timestep and we will be examining this more in
229 future work. The average cost for 60-3km simulations including writes and restarts is $\sim 4K$ to $6K$
230 core-hour for one-day simulation (i.e., $\sim 120K$ to $180K$ for getting 30-day output) using the
231 Cheyenne supercomputer with the scaling of the high-performance computing to be further
232 improved. We would like to acknowledge that model tuning is not performed. Given the
233 interannual variability of precipitation over the WUS study region, we also acknowledge that it is
234 not our goal to reproduce the recent historical climatology but to evaluate the overall model
235 performance.
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Table 1: A list of experiments in this study and the key configuration information

Dycore/Model experiments	Component set	Grid spacing	Grid columns	Simulation time	Vertical level	Physics/dynamics timestep and microphysics
MPAS	F2000climo	120km	40962	5 years	32L, 58L	1800s/900s, MG2
FV	F2000climo	~1degree	55296	5 years	32L, 58L	1800s/1800s, MG2
MPAS	FHIST	60-3km	835586	1999-2004, Nov. - March	58L	120s/20s, MG2
MPAS	FHIST	60-3km	835586	1999-2000, Nov. - March	58L	120s/20s, MG3
MPAS	FHIST	60km	163842	2000-2002	58L	900s/450s, MG2

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Figure 1: SIMA-MPAS mesh configuration for the 60-3km experiments. A) The global domain mesh configuration with total grid columns of 835586; B) The zoomed-in region (see the red box depicted in panel A)) for the mesh structure from 60km to 3km.

2.2 Observations and observationally-based gridded products used to evaluate model performance

249

In this work, we have employed observations from CERES EBAF products (Kato et al., 2018; Loeb et al., 2018) for cloud and radiation fluxes properties. We have used GHCN Gridded V2 data (Fan and Van, 2008) for the land 2m air temperature globally, which is provided by the NOAA/OAR/ESRL PSL. We have also used PRISM data for gridded observed precipitation and temperature features (Daly et al., 2017) and gridded 4 km observational data for snow water

255 equivalent (Zeng et al., 2018). We have also used the recently released Livneh precipitation data
256 (Pierce et al., 2021) as another gridded observationally-based precipitation dataset to better
257 account for extreme precipitation. Another important dataset used for comparison is the WRF
258 (Weather Research and Forecasting) model 4km simulation data over CONUS from Rasmussen et
259 al. (2021, <https://rda.ucar.edu/datasets/ds612.5>), which used the mean of the CMIP5 model as the
260 boundary forcing. We extracted the same historical time data as the 60-3km simulations for direct
261 evaluation (i.e., nonhydrostatic CESM vs. nonhydrostatic WRF as a widely used regional climate
262 model).

263

264 Detailed descriptions of the open-shared datasets used in this study are given below:

265

266 ● CERES EBAF data products: we use gridded data from the Energy Balance And Filled
267 (EBAF) product from the NASA Clouds in the Earth's Radiant Energy System (CERES),
268 described by Loeb et al (2018). CERES provides high quality top of the atmosphere
269 radiative fluxes and cloud radiative effects, as well as consistent ancillary products for
270 Liquid Water Path (LWP) and cloud fraction. We start with monthly mean gridded
271 products at 1° and make a 20 year climatology from 2000-2020.

272

273 ● GHCN_CAMS Gridded 2m air land temperature: global analysis monthly data from
274 NOAA PSL comes with resolution at 0.5 x 0.5°. It combines two large networks of station
275 observations including the GHCN (Global Historical Climatology Network version 2) and
276 the CAMS (Climate Anomaly Monitoring System), together with some unique
277 interpolation methods (<https://psl.noaa.gov>; Fan and Van, 2008).

278

279 ● PRISM observed data: the Parameter-elevation Regressions on Independent Slopes Model
280 (PRISM) gridded observed data for daily precipitation and daily 2m air temperature is used
281 at 4 km grid resolution (Daly et al., 2017; <https://prism.oregonstate.edu/>). Covering
282 Continental U.S., PRISM takes the station observations from the Global Historical
283 Climatology Network Daily (GHCND) data set (Menne et al., 2012) and applies a weighted
284 regression scheme that accounts for multiple factors affecting the local climatology (Daly
285 et al., 2017).

286

287 ● Livneh gridded observationally-based precipitation dataset: in addition to PRISM data, to
288 better account for extreme precipitation, a recently released Livneh precipitation data
289 (Pierce et al., 2021; http://cirrus.ucsd.edu/~pierce/nonsplit_precip/) is also used for model
290 evaluation. The data (~6km grid resolution) is shown to perform significantly better in
291 reproducing extreme precipitation metrics (Pierce et al., 2021).

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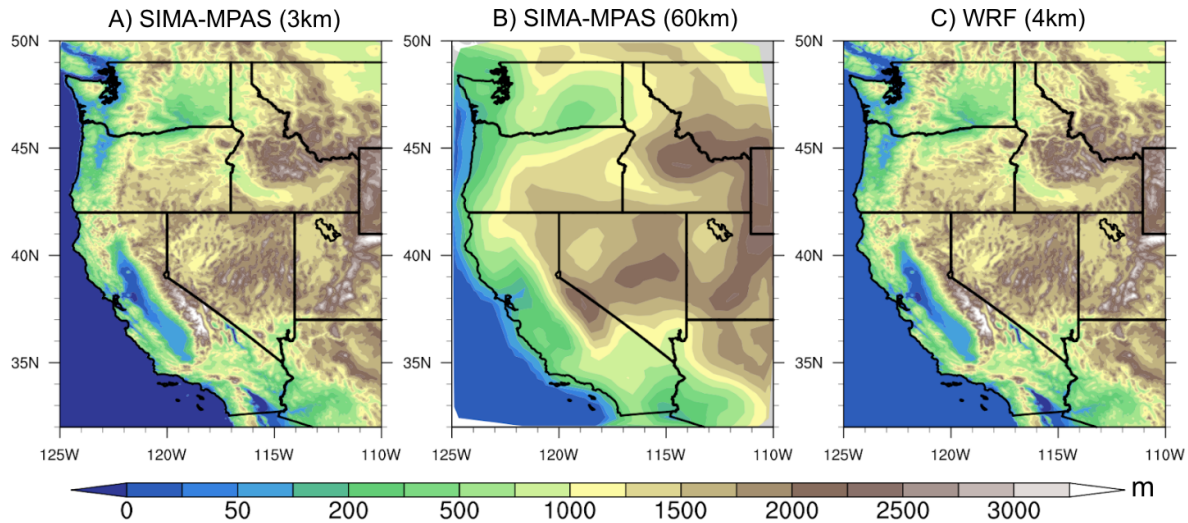
293 ● Snow water equivalent (SWE) data over the CONUS: this is the observational data product
294 we use for snowpack diagnostics. The data is available from National Snow and Ice Data
295 Center (NSIDC) (at <https://nsidc.org/data/nsidc-0719/versions/1>). The product provides

296 daily 4km SWE from 1981 to 2021, developed at the University of Arizona. The data
297 assimilated in-situ snow measurements from the SNOTEL network and the COOP network
298 with modeled, gridded temperature and precipitation data from PRISM (Zeng et al., 2018;
299 Broxton et al., 2019).

- 300
301 ● CONUS (Continental U.S.) II high resolution climate simulations: The WRF (Weather
302 Research and Forecasting) nonhydrostatic model simulations we used for comparison are
303 from Rasmussen et al. (2021) (accessible at <https://rda.ucar.edu/datasets/ds612.5>). Its
304 horizontal grid resolution is 4 km with forcing from the mean of the CMIP5 model for both
305 present (1996-2015) and future (2080-2099) mean climate, with hourly output. For the
306 study region we focus on here (i.e., over the western US), the simulations provide a more
307 realistic depiction of the mesoscale terrain features, critical to the successful simulation of
308 mountainous precipitation (Rasmussen et al., 2021).

309
310 The topography details are shown in Figure 2 over the western US study region, showing that the
311 complex terrains over coastal and mountainous regions have been well-resolved in SIMA-MPAS
312 at 3 km resolution (in contrast to 60 km). This is comparable to the topography details in the WRF
313 mesoscale model at a similar resolution. We do notice the smoother topography in SIMA-MPAS
314 over the 3km mesh bounds and transient domains (see Figure S1). For future regional refined
315 applications, we would suggest having a reasonably larger domain area than the study region at
316 the finest resolution to accommodate the noise and instability from mesh transition. When applied,
317 we regridded the SIMA-MPAS model data to the same grid resolution as the PRISM observation
318 and WRF reference data (i.e., 4 km). For the regridded method and procedure, first CAM-MPAS
319 data is remapped from unstructured grids to regular rectilinear lat/lon grids at 0.03 degree, and
320 then the rectilinear data is regridded to the same grid spacings as the PRISM using the bilinear
321 interpolation. The orographic gravity wave drag scheme in SIMA-MPAS (used in CESM2-CAM6)
322 uses a ‘sub-grid’ orography to force the scheme. Sub-grid orography is calculated for each grid
323 cell from a standard high resolution (1km) Digital Elevation Model. Thus, the sub-grid orography
324 forcing is small at 3km, and is larger at 60km, and varies with grid cell size. So, the overall drag
325 should be somewhat similar to the scale, but partitioned differently between resolved and
326 unresolved scales.

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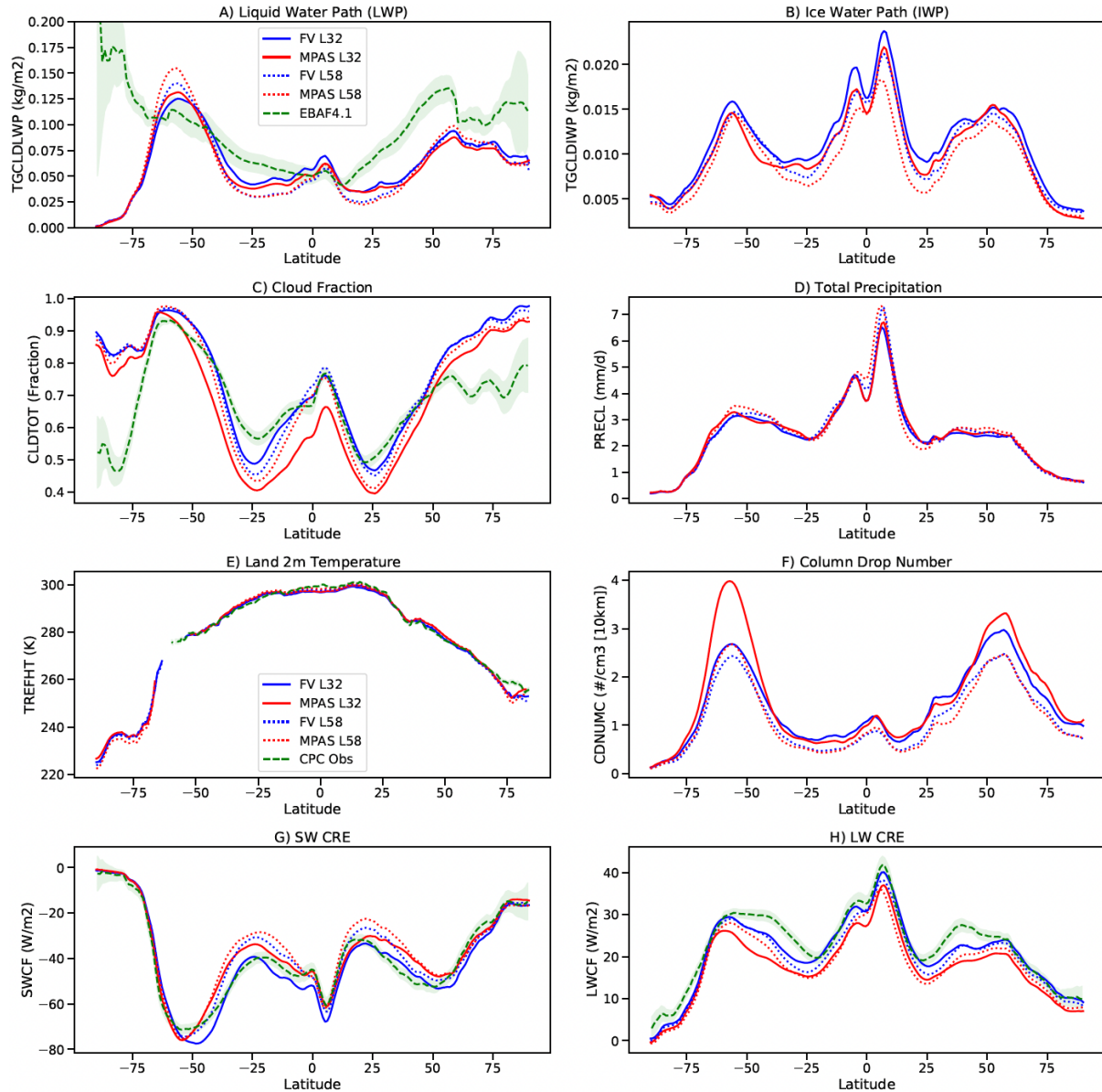


328
 329 **Figure 2: Topography over the western US region.** A) SIMA-MPAS at 3km refinement, B)
 330 SIMA-MPAS uniform 60km grid mesh, and C) WRF simulations at 4km over CONUS.

331 **3 Results**

332 **3.1 Mean climatology diagnostics for CESM with MPAS dycore**

333
 334 As the nonhydrostatic dynamical core is coupled to the CESM model framework, we would like
 335 to understand the mean climate in SIMA-MPAS and how that compares to a standard hydrostatic
 336 core (here, using FV), with the experiments described in Table 1. We evaluate the global context
 337 of the new formulation of CESM with a nonhydrostatic dynamical core with both 32 and 58
 338 vertical levels. The 58 layer has higher resolution in the Planetary Boundary Layer (PBL) and in
 339 the mid and upper troposphere (about 10 additional levels in the PBL and decreasing vertical grid
 340 spacing from 1000m to ~500m near the tropopause). Satellite observations are used for comparison
 341 as described in the above section 2.2. Simulation results are averaged over the five years output
 342 under the present-day climatology (with SST and ice forcings from the mean of the period 1996-
 343 2005). That means that simulations are forced with the same climatological monthly mean
 344 boundary conditions for sea surface temperature and greenhouse gasses every year to reduce
 345 interannual variability.



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Figure 3: Zonal mean climatology from 5-year simulations with CESM2 and CAM6 physics using different dynamical cores and vertical levels. A) Liquid Water Path (LWP), B) Ice Water Path (IWP), C) Cloud Fraction, D) Total precipitation rate, E) Land 2m air Temperature, F) Column drop number, G) Shortwave Cloud Radiative Effect (SW CRE), H) Longwave (LW) CRE. Simulations are the default Finite Volume (FV) dynamical core with 32 levels (FV L32: Blue Solid) and 58 levels (FV L58: Blue Dashed). Also, the MPAS dynamical core with 32 levels (MPAS L32: Red Solid) and 58 levels (MPAS L58). Observations are shown in green for CERES 20-year climatology (from 2000-2020) for LWP, Cloud Fraction, SW CRE, and LW CRE, and GHCN_CAMS Gridded land 2m air temperature from 1990-2010 for E). Shaded values are one sigma annual standard deviations.

358 Figure 3 indicates that MPAS simulations have a very similar climate to FV simulations. There
359 are some differences in tropical ice water path in the southern hemisphere tropics, and some
360 significant differences in sub-tropical cloud fraction. The climate differences between 32 and 58
361 levels are also similar between dynamical cores: decreases in liquid and ice water path at higher
362 vertical resolution. SIMA-MPAS has slight increases in cloud fraction and precipitation at higher
363 vertical resolution, while SIMA-FV has little change or slight decreases in cloud fraction. Land
364 surface temperature is well reproduced when ocean temperatures are fixed with both dynamical
365 cores. Column drop number with CAM-MPAS is lower than CAM-FV, but more stable with
366 respect to resolution changes. Subtropical SW CRE and LW CRE have higher magnitudes with
367 CAM-MPAS, consistent with higher LWP and cloud fraction in these regions, yielding better
368 agreement with the meridional CRE structure. When examining the spatial differences (Figure S2
369 and Figure S3), we further found that the differences in the wind over the oceans drive differences
370 in aerosols (sea salt) which alter the aerosol optical depth and droplet concentration. The radiative
371 effects come as a result of cloud fraction changes: high clouds and specifically ice water path for
372 the longwave, low cloud and liquid Water Path for the shortwave. The signal in clouds is stronger
373 at L32 (Figure 3, Figure S2), again, probably due to larger differences in the PBL, which is better
374 resolved at L58 (Figure 3, Figure S3). The microphysics is not as directly related to the cloud
375 fraction, which means interaction with the boundary layer turbulence is important. While these
376 changes are easy to spot, they are not that large, and generally well within some of the tuning
377 which is often done during the model development process.

378

379 Analysis of the atmospheric wind and temperature structure (Figure S4 and Figure S5) indicates
380 that SIMA-MPAS compares as well or better to reanalysis winds and thermal structure in the
381 vertical as SIMA-FV, though biases are different and of a different sign in many regions of the
382 middle atmosphere. There are differences in low level wind speed and the subtropical jets between
383 MPAS and FV (Figure S4), driving differences in temperature between them (Figure S5),
384 particularly in the stratosphere and near the south pole. The stratosphere and free troposphere
385 winds differences are due to slightly different damping and deposition of gravity wave drag
386 forcing. The temperature changes above the surface respond to those wind changes. The near-
387 surface temperature differences (e.g., around Antarctica) also relate to transport of air around
388 topography which is different between MPAS and FV.

389

390 Overall, SIMA-MPAS produces a reasonable climate simulation, with biases relative to
391 observations that are of similar magnitude as SIMA-FV simulations, despite limited adjustments
392 being made to momentum forcing. SIMA-MPAS has a realistic zonal wind structure with sub-
393 tropical tropospheric and polar stratospheric jets. There are differences in magnitude from ERAI,
394 but MPAS (which has not been fully tuned) produces a realistic wind distribution. Further tuning
395 of momentum in the dynamical core and physics could reduce these biases. The key feature of this
396 work is that biases in the Northern Hemisphere mid-latitude tropospheric winds are very small for
397 both FV and MPAS. For the temperature profile, there are patterns of bias between the high and
398 low latitudes indicating different stratospheric circulations between the model and the reanalysis.
399 That could be adjusted with the drag and momentum forcing in the model. Note that no adjustment
400 of the physics has been performed.

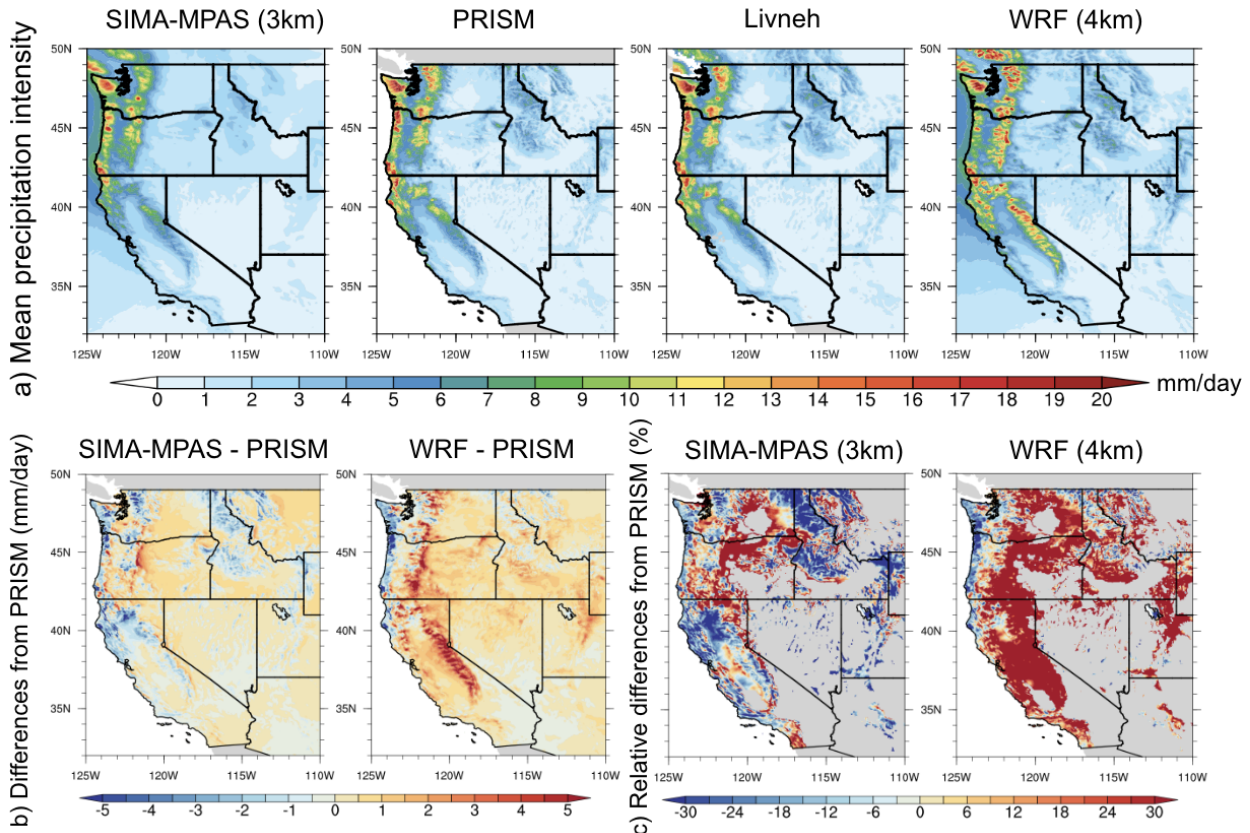
401 **3.2 Precipitation distribution and statistics**

402 **3.2.1 Mean precipitation features**

403
404 In the western US during the wet seasons, most of the precipitation occurs over the mountainous
405 regions, with significant impacts on both water resources and potential flood risk management
406 (Hamlet and Lettenmaier, 2007; Dettinger et al., 2011; Huang et al., 2020a). In Figure 4, we show
407 the wet season mean (mid-Nov to mid-Mar as investigated here) precipitation features over the
408 targeted region with differences from observations. Although the observational differences
409 between PRISM and Livneh on average is small, it provides a more robust evaluation for both
410 mean and extreme precipitation by having those two observational products. The result
411 demonstrates that SIMA-MPAS can well simulate the precipitation intensity and spatial
412 distributions, as compared to PRISM and Livneh observations. The spatial features at 3km are well
413 captured with the spatial correlation of about 0.93 with precipitation mainly distributed over the
414 Cascade Range, Coastal Range, Sierra Nevada, and the Rocky Mountains. If looking at the
415 precipitation at the coarser resolution (60km, Figure S6a) in SIMA-MPAS, the mean domain
416 average of the precipitation (~2.43 mm, when averaged over years 2000-2002) is similar to the
417 fine resolution results (~2.61 mm) but lacking important regional variability and spatial details.

418
419 In terms of biases when compared to PRISM data, SIMA-MPAS 3km overall underestimates the
420 precipitation by about 0.07 mm (bias averaged over the plotted domain), especially over the
421 windward regions, which could relate to the bias in heavy precipitation frequency and/or the
422 discrepancies in ARs landfalling locations and magnitude from what was observed over the five-
423 year (wet-season) simulation statistics. We acknowledge that the interannual variability and the
424 sample size of the ARs could also affect the results of landfalling precipitation. WRF, on the other
425 hand, tends to overestimate the precipitation in most regions (for about 0.53 mm, bias averaged
426 over the plotted domain compared to PRISM) except for the northwest coast and some Rocky
427 Mountains regions, which can be seen from the relative difference plot (Figure 4c). The relative

428 differences in precipitation are generally large over the dryer regions in SIMA-MPAS. Overall,
 429 compared to PRISM, the bias is negative (for about -0.81 mm on average) over windward regions,
 430 but positive over the lee side (for about 0.48 mm on average). We also notice that the spatial details
 431 of the precipitation are relatively smoothed over the Rocky Mountains resulting in a large
 432 underestimation bias, which could be partly due to the fact that the boundary for the 3km mesh
 433 grids is nearing those regions (see Figure 1, Figure 2, and Figure S1).



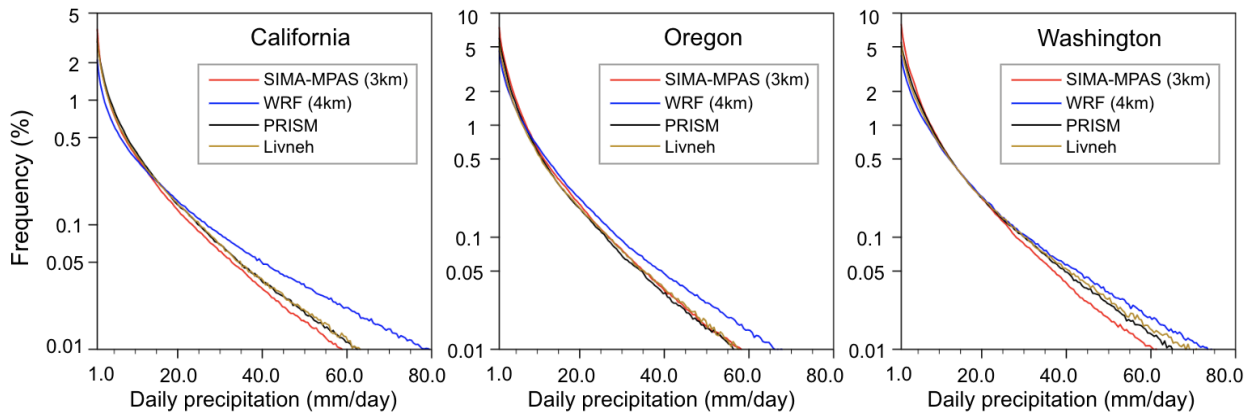
434 **Figure 4: Mean simulated precipitation and differences from observation:** a) Wet-season
 435 (mid-Nov to mid-March) daily precipitation intensity over western US (1999-2004); b) Absolute
 436 differences from PRISM reference; c) Similar as b, but for relative differences from PRISM (grid
 437 box values less than 1mm/day have been masked)) with the SIMA-MPAS model data regridded
 438 to the same resolution as the PRISM grid spacings (i.e., 4 km).
 439

440
 441 Over the western US, especially in the coastal States, heavy precipitation can be induced by
 442 extreme storm events mainly in the form of atmospheric rivers (Leung and Qian, 2009; Neiman et
 443 al., 2011; Rutz et al., 2014; Ralph et al., 2019; Huang et al., 2020b). The capability to capture and
 444 predict such extreme events is a significant part of the application of weather and climate models
 445 (Meehl et al., 2000; Sillmann et al., 2017; Bellprat et al., 2019). To figure out the performance of
 446 SIMA-MPAS in reproducing the precipitation frequency distribution, we combine all the daily
 447 data from all the grid points at each coastal State (California, Oregon, and Washington) to calculate
 448 the frequency of daily precipitation by intensity (Figure 5). SIMA-MPAS captures a reasonable

449 distribution of precipitation intensity with respect to PRISM and Livneh observations, with smaller
 450 biases than WRF over California and Oregon regions, particularly at more extreme values (such
 451 as when daily intensity exceeding 20 mm/day). We also notice that over the Washington region,
 452 the biases for SIMA-MPAS and WRF are at similar magnitudes compared to the observations,
 453 although the two observations also show some uncertainties at the upper tail distributions.

454
 455 Further, when examining the precipitation days with intensity less than 10 to 15 mm/day, SIMA-
 456 MPAS shows a close match to observations, while WRF tends to slightly underestimate the
 457 probability. For more extreme precipitation days, models tend to diverge in terms of the behaviors
 458 with SIMA-MPAS showing some underestimation over California and Washington regions (for
 459 average of ~14%, ~7% and ~18% bias for days when intensity exceeds 20 mm/day and less than
 460 60 mm/day for California, Oregon, and Washington respectively). WRF generally overestimates
 461 the heavy precipitation frequency to a much larger extent (for an average bias of ~42%, ~51% and
 462 ~18% for California, Oregon, and Washington respectively). The sign of the biases is consistent
 463 with the previously discussed mean precipitation biases. It is not known to us why the biases in
 464 SIMA-MPAS are smaller than WRF. One hypothesis that would limit precipitation intensity is that
 465 SIMA-MPAS has strict conservation limits for energy and mass throughout the model, which are
 466 not present in WRF. This is a subject for future work, but may also be dependent on the specific
 467 WRF physics options used. We acknowledge that the initialization without nudging conditions in
 468 SIMA-MPAS simulations does not necessarily reproduce monthly or higher time variability but is
 469 able to get the seasonal means and distributions. We also acknowledge that the interannual
 470 variability and the sample size of the ARs could also affect the results of landfalling precipitation.
 471 Still, those analyses further testify the capability of using SIMA-MPAS for precipitation studies,
 472 giving us good confidence in using SIMA-MPAS for storm events studies.

473



474

475 **Figure 5: Probability distribution of daily precipitation intensity.** All the daily datasets from
 476 the five wet seasons for all grid points in each State are used to construct the distribution statistics.
 477 The blue lines refer to WRF reference data, the black lines are for the PRISM observation, the dark
 478 golden line refers to the Livneh observation, and the SIMA-MPAS results are in red-colored lines.
 479 The SIMA-MPAS model data is regridded to the same resolution as the PRISM grid spacings (i.e.,

480 4 km). The x-axis starts from 1mm/day and the y-axis is transformed with a logarithmic scaling
481 for better visualization of the upper tail distribution.

482

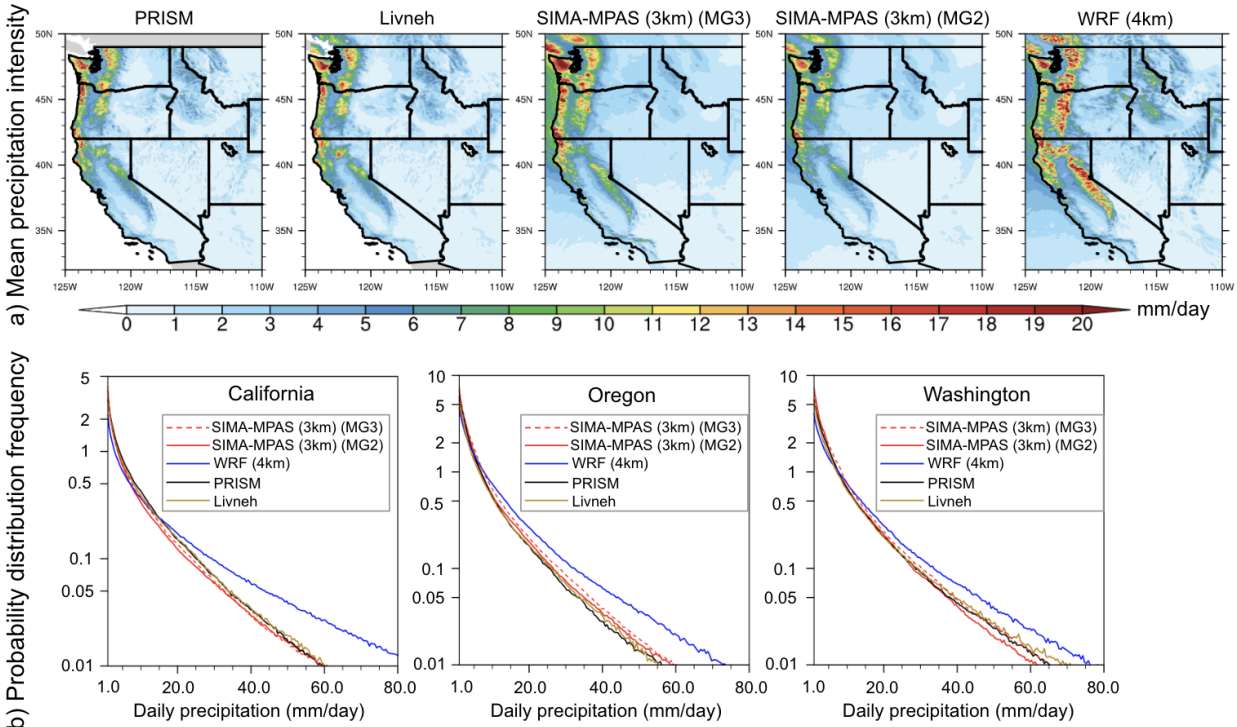
483 **3.2.2 MG2 vs. MG3 microphysics for simulated precipitation in SIMA-MPAS**

484

485 We would like to point out that we have used the default microphysics scheme-MG2 (Gettelman
486 et al., 2015) when configuring those experiments from the CESM2 model. We acknowledge that
487 MG3 (including rimed ice, graupel in this case) could be a better option with the rimed
488 hydrometeors added (see Gettelman et al., 2019) especially when pushing to mesoscale
489 simulations and for orographic precipitation. In detail, Gettelman et al 2019 found that the addition
490 of rimed ice improved the simulation of precipitation in CESM at 14km resolution with wintertime
491 orographic precipitation, due to altering the timing of precipitation by more correctly representing
492 the pathways for precipitation formation with higher resolved scale vertical velocities. To fulfill
493 this caveat but still make the best use of current simulation data, we have conducted another three
494 experiments using the MG3 microphysics scheme for three wet seasons (1999-2002). Similar
495 diagnostics have been performed as in the previous part but for the results from these three wet
496 seasons (as shown in Figure 6).

497

498 Overall, the precipitation statistics are well represented in SIMA-MAPS compared to observations
499 both with MG2 and MG3 when evaluating from the same three wet seasons. Although still
500 outperforming WRF output, we do recognize that MG2 tends to underestimate heavy precipitation
501 frequency in certain regions compared to observations, while MG3 produces more intense
502 precipitation with some overestimations over heavy-precipitated regions, mostly over the Cascade
503 Range and Coastal Range (Figure 6a). From the frequency distributions (Figure 6b), it can be seen
504 that MG2 and MG3 microphysics both perform well over the study region. Specifically, MG3
505 produced stronger precipitation than the MG2 output over the Washington region showing a closer
506 match to the observations than MG2 results. Due to interannual variability, we still need to
507 investigate more different cases, and it is our next-step plan to further investigate the model
508 performance with more testbeds.

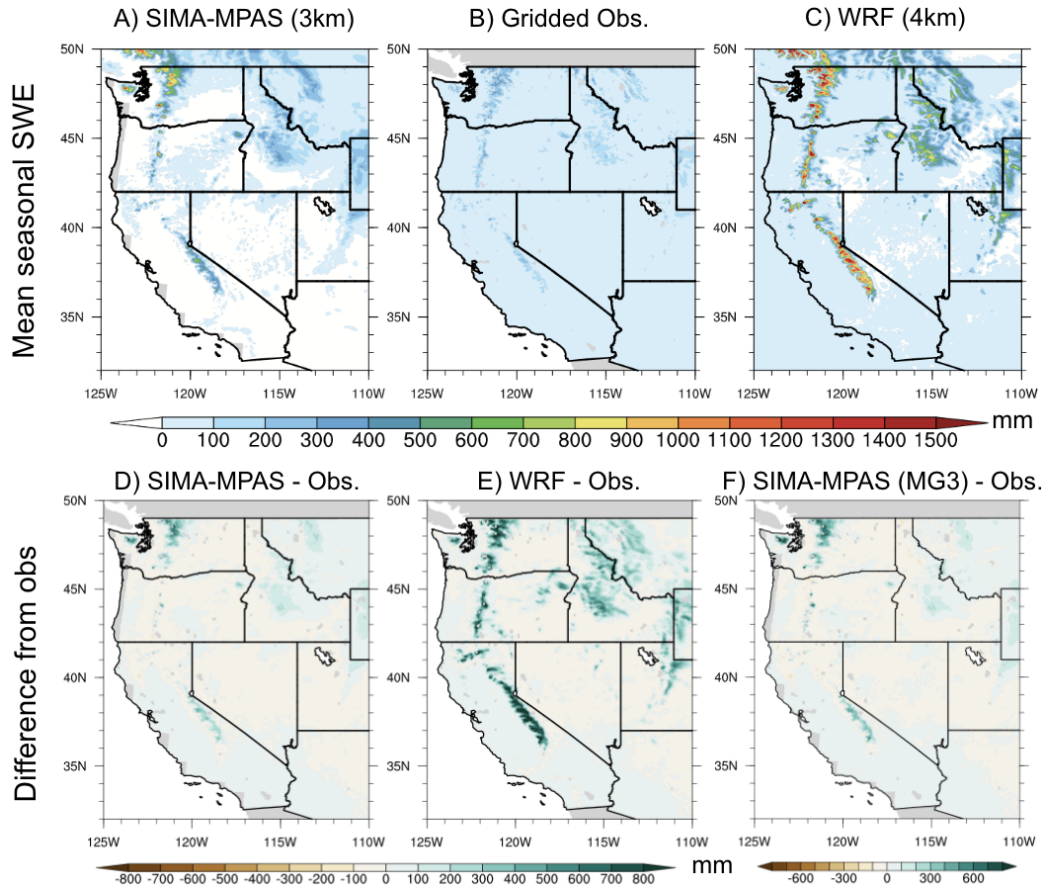


509
 510 **Figure 6: MG2 vs. MG3 microphysics used in SIMA-MPAS for the wet-season precipitation**
 511 **over western US (1999-2002).** a) mean precipitation intensity; b) Probability distribution of daily
 512 precipitation frequency, like Figure 5 but for three wet seasons with SIMA-MPAS (MG3) added
 513 in dashed red lines; Again, the SIMA-MPAS model data is regridded to the same resolution as the
 514 PRISM grid spacings (i.e. 4 km).

515 3.3 Accumulated snowpack features

516
 517 Snowpack characteristics have remained poorly represented in global climate models, lacking
 518 high-resolution terrain realization, fine-scale land-atmosphere coupled processes and interactions
 519 with snow's complicated thermal and hydrological properties (DeWalle & Rango 2008; Liu et al.,
 520 2017; Kapnick et al., 2018). Facing this long-standing issue, we expect that with much improved
 521 precipitation features, temperature, and substantially better-resolved complex terrains, snowpack
 522 features can be much better represented in CESM. Here, we have compared the accumulated snow
 523 water equivalent (SWE) results, which refer to the total accumulated snow from mid-Nov to mid-
 524 March (based on daily output), and then averaged over the five seasons (see Figure 7). By
 525 comparing with the gridded snow water equivalent observational data, it shows that SIMA-MPAS
 526 (MG2) can produce much improved estimation of the snowpack over the mountainous regions,
 527 with less overestimation than WRF simulations at similar resolution. However, the overestimation
 528 is notable for both SIMA-MPAS and WRF simulations, bringing the further need in investigating
 529 the land-air interactions in rain/snow processes and partitions from the precipitation contribution.
 530 In general, SIMA-MPAS can simulate reasonable spatial details for snowpack distribution over
 531 mountainous regions (mainly over the Cascade Range, Coastal Range, Sierra Nevada, and the

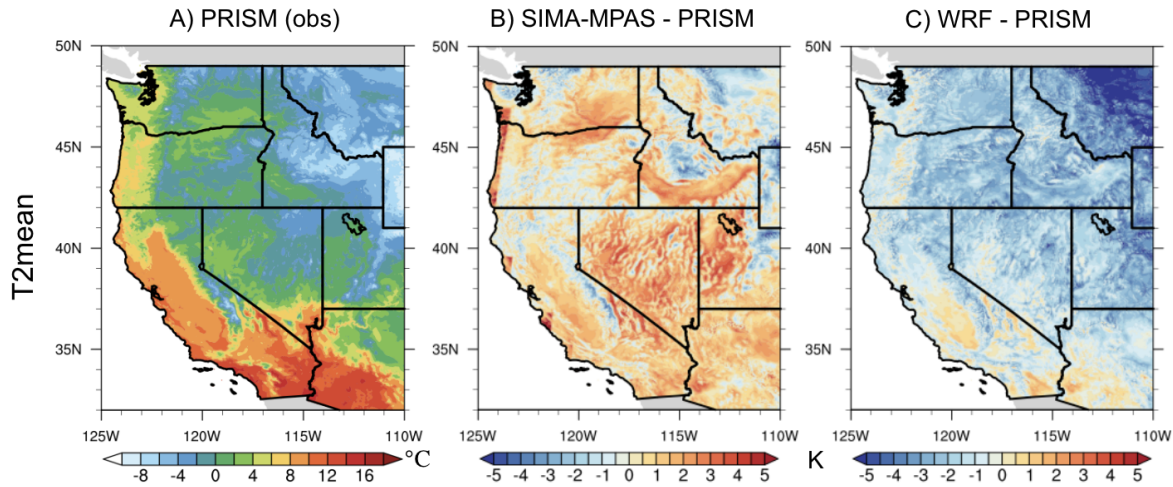
532 Rocky Mountains) with positive bias over the northern Cascade Range and certain Sierra Nevada
 533 mountainous regions.
 534
 535



536
 537 **Figure 7: Wet-season snow water equivalent (SWE) over western US.** First row: Seasonal
 538 mean SWE averaged over (1999-2004) from A) SIMA-MPAS, B) Gridded observation for SWE
 539 as described in the section 2.2, and C) WRF data; Second row (D, E, F): Absolute differences from
 540 observation with all data regridded to 4 km for SIMA-MPAS and WRF averaged over (1999-
 541 2004), and SIMA-MPAS (MG3) averaged over (1999-2002).
 542

543 As the snowfall is dominated by the near-surface temperature and precipitation values, we have
 544 examined the 2m temperature (T2) here to see how well temperature is captured in SIMA-MAPS.
 545 In Figure 8, the mean T2 (T2mean) is shown averaged over all simulated wet seasons. In general,
 546 near-surface temperature results from SIMA-MPAS are overall matched with observations across
 547 varied climate zones including coastal areas, agriculture, desert regions, inland and mountainous.
 548 However, we also notice that SIMA-MPAS tends to be warmer over most places (with the
 549 averaged bias of about 0.65°C over the plotted domain), except over very high mountain top ranges
 550 with cooler bias. On average, the difference for the regions with warmer biases is about 1.35°C
 551 and the difference for those areas with cooler biases is about -0.99°C when compared to PRISM
 552 data. On the contrary, WRF tends to be cooler in most regions except the southern part of Central

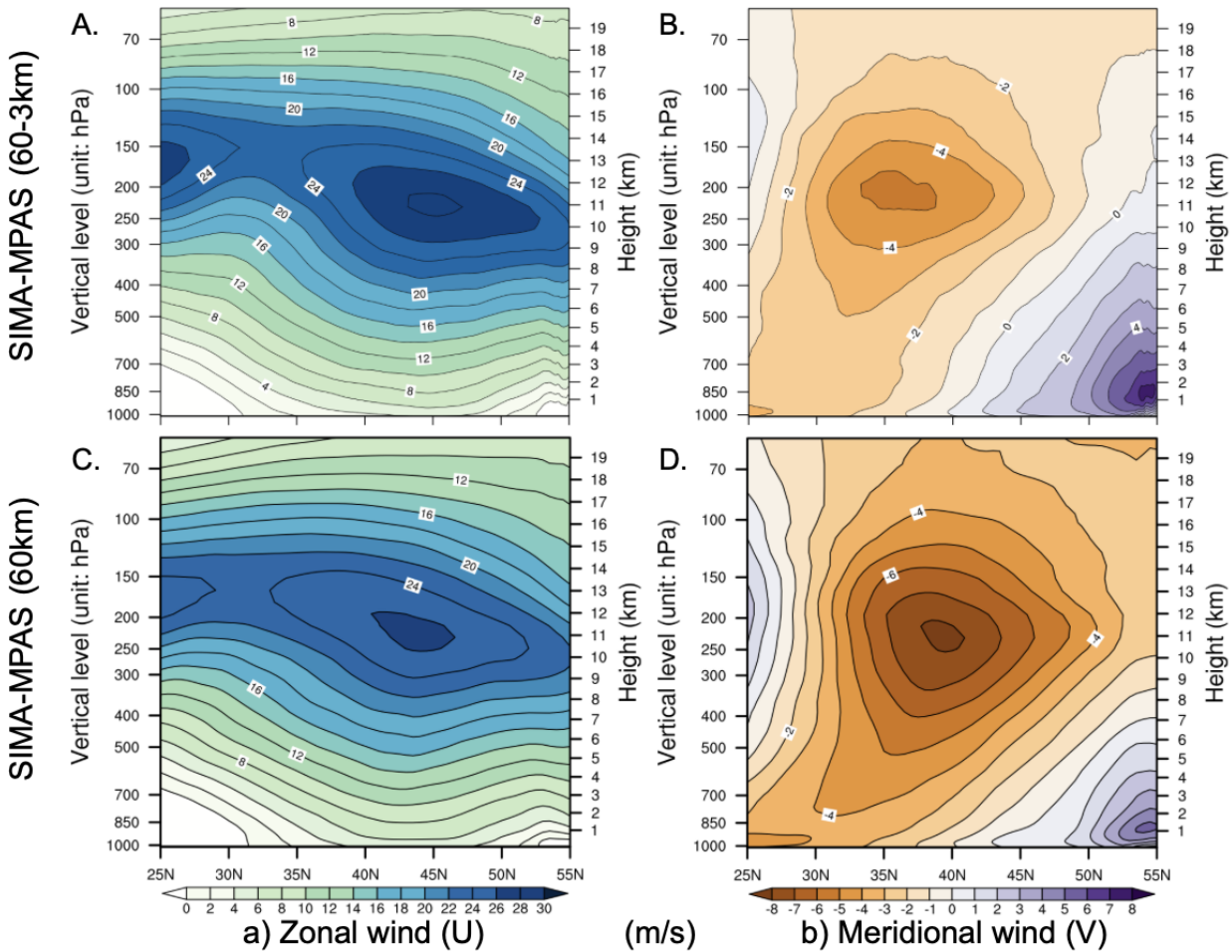
553 Valley and some desert regions in the southwest US (the average bias is about -1.84°C over the
 554 plotted domain). We have also investigated the T2 bias in the 120km simulations to see if this is a
 555 consistent model bias. By comparing FV and MPAS together (Figure S7), it turns out that SIMA-
 556 MPAS tends to be warmer with higher net surface shortwave and longwave fluxes over the wet-
 557 season period discussed here (Figure S8). Still, overall, the land model coupled with the
 558 atmosphere also does a good job here under a realistic topography.
 559



560
 561 **Figure 8: Daily mean 2m air temperature (T2mean) averaged over (1999-2004, Nov-March).**
 562 A) PRISM observation dataset; B) and C) The differences between SIMA-MPAS and WRF from
 563 PRISM respectively; (Note: for difference plot, all data are regridded to the same resolution as
 564 PRISM).

565 3.4 Large-scale moisture flux and dynamics

566
 567 Further, we have investigated the wind profile that directly connects to the subtropical to middle
 568 latitudes moisture fluxes over the northeast Pacific and the hitting western US regions. First, we
 569 have examined the cross sections of zonal and meridional wind patterns (at 130°W , near the western
 570 US coast) at both 60-3km and 60km to determine the dynamic changes with the refinement mesh
 571 (Figure 9). As we can see, the mean westerly zonal winds are about 10% stronger at the jet stream
 572 level near 200-250hPa in 60-3km simulations compared to the 60km results. The mean meridional
 573 wind (dominantly southward) however is weaker in 60-3km simulations than the 60km ones. The
 574 precipitation over the western US coast is largely associated with the concentrated water vapor
 575 transport over the North Pacific, known mainly in the form of atmospheric rivers (Rutz et al.,
 576 2014). It is our further interest to investigate the wind dynamics transitioning from coarse-scale to
 577 mesoscale in future work. Another source of the precipitation uncertainty We would like to
 578 acknowledge the sensitivity from the physics timestep (see Figure S9) when comparing the
 579 precipitation in 60-3km simulations (a shorter physics time-step) to the 60km results at the regions
 580 with the same grid resolutions.



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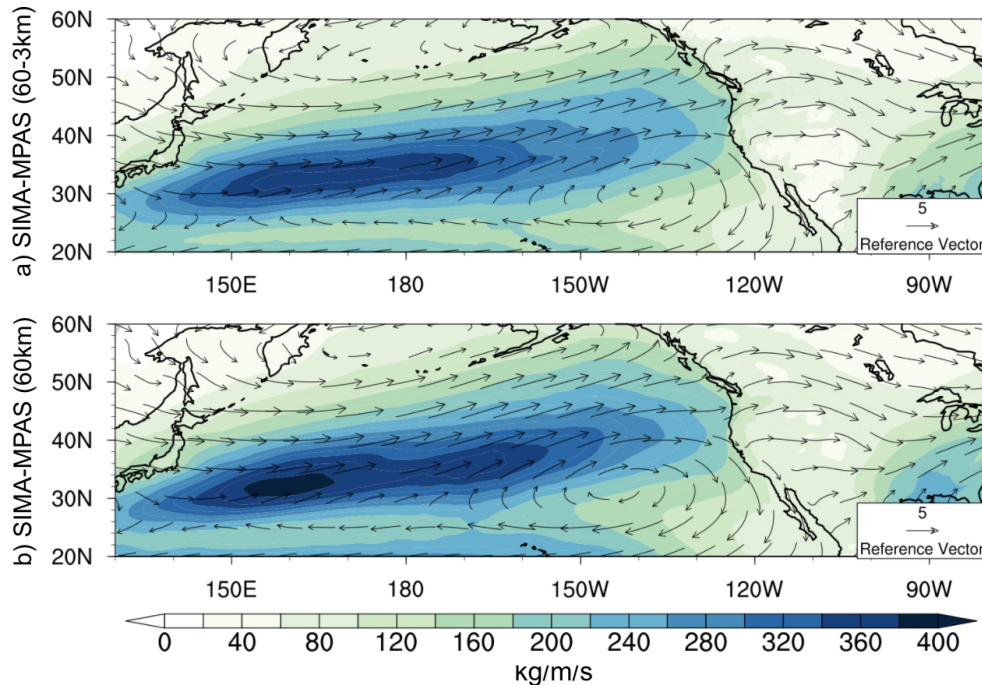
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Figure 9: Composite wind profile along western US coast (cross-section at 130W, near the western US coast) (averaged over 2000-2002, Nov-March). a) Mean latitude-height cross-section of zonal winds (m/s) for SIMA-MPAS 60-3km (panel A) and 60km (panel C); b) similar as a), except for meridional winds (panel B and D).

In Figure 10, we further examine the large-scale moisture flux pattern from the integrated water vapor transport in the set of simulations with and without regional refinement. It can be seen that the spatial pattern of the moisture flux is generally similar between those two sets of experiments, dominated by the zonal winds (see Figure 9). If checking the IVT values along the longitude of 130°W, the differences (about 3% on average) are quite small along the WUS extent. With the large-scale dynamics and local fine-scale processes well integrated into this nonhydrostatic global climate model, it gives confidence in precipitation reproducing and predicting across the weather and climate scales.



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Figure 10: Mean instantaneous vertically integrated water vapor flux transport over western US (2000-2002, Nov-March): a) SIMA-MPAS 60-3km and b) SIMA-MPAS 60km. Wind is overlaid for the averaged lower levels (height from ~500m to ~2000m).

601

4 Summary and discussion

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In this study, we describe SIMA-MPAS, which is built upon the open-source Community Earth System Model (CESM) with a nonhydrostatic dynamical core, the Model for Prediction Across Scales (MPAS). We would like to try to answer several questions about the performance of this new generation model when applying at convection-permitting resolutions and when bridging both weather and climate scale simulations in a single global model. We have chosen the western US as our study region to examine the precipitation features in SIMA-MPAS at fine scales and how the model performs when compared to both observations and a regional climate model.

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To answer those questions, we have designed and conducted a set of experiments. First, we have tested CESM at the same coarse resolution using both MPAS as the nonhydrostatic core and finite-volume as the hydrostatic core for multiple years of climatology. Secondly, and, as the focus of this work, a variable resolution mesh is configured with 3km refinement centered over the western US. We have done five separate wet-season simulations to get the precipitation statistics. In addition, we have also included uniform 60km simulations from the model for two seasons.

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619

We first evaluated the mean climate in SIMA-MPAS to see how that compares to the hydrostatic model counterpart (here, SIMA-FV). The diagnostics show that MPAS simulations have a very

620 similar climate to FV simulations. SIMA-MPAS has slight increases in cloud fraction and
621 precipitation at the higher vertical resolution, while SIMA-FV has little change or slight decreases
622 in cloud fraction. Overall, SIMA-MPAS produces a reasonable climate simulation, with biases
623 relative to observations that are not that different from SIMA-FV simulations, despite limited
624 adjustments being made to momentum forcing and no adjustment of the physics has been
625 performed.

626

627 When compared to both observations and a traditional regional climate model at similar fine
628 resolutions for mean and heavy precipitation behaviors, SIMA-MPAS can capture the spatial
629 pattern and mean intensity (with the spatial correlation of about 0.93 relative to PRISM), which is
630 also comparable to WRF results. We do notice there are some underestimations mostly in SIMA-
631 MPAS and overestimations mostly in WRF. Further, SIMA-MPAS captures the distribution of
632 precipitation intensity with respect to observations with smaller biases than WRF over California
633 and Oregon regions, particularly at more extreme values. With additional experiments, SIMA-
634 MPAS with MG3 microphysics (graupel) produces stronger precipitation than the MG2 version
635 (as used in other experiments in this study as the default microphysics scheme) and the MG3 results
636 also well presented the precipitation statistics for both spatial mean and frequency distribution.
637 The difference between MG3 and MG2 is the rimed hydrometeors added to the MG3 (see
638 Gettelman et al., 2019 for detailed descriptions), which could matter more when pushing to
639 mesoscale simulations and for orographic precipitation. We also acknowledge the interannual
640 variability and it is our next-step plan to further investigate the model performance with more
641 testbeds.

642

643 We further show that SIMA-MPAS can produce much improved estimation of the snowpack over
644 the mountainous regions compared to coarse resolutions, with less overestimation than WRF
645 simulations at similar resolution. In general, SIMA-MPAS can simulate some reasonable spatial
646 details for snowpack distribution over mountainous regions (mainly over the Cascade Range,
647 Coastal Range, Sierra Nevada, and the Rocky Mountains) with positive bias over the northern
648 Cascade Range and certain Sierra Nevada mountainous regions. The overestimation is notable for
649 both SIMA-MPAS and WRF simulations, needing further investigations. We also notice that
650 SIMA-MPAS tends to be warmer over most places, except over very high mountain top ranges
651 with cooler bias.

652

653 The results further testify the capability of using SIMA-MPAS for precipitation studies, giving us
654 good confidence in using SIMA-MPAS for storm events studies. We focus on multiple-season
655 statistics for model performance. Given the large-scale dynamics and local fine-scale processes
656 well integrated into this nonhydrostatic global climate model, it shows promise in precipitation
657 reproducing and predicting across the weather and climate scales. It is our further interest to
658 investigate the wind dynamics transitioning from coarse-scale to mesoscale in future work and to

659 further investigate the model performance with more testbeds for convection-permitting weather
660 and climate systems across scales.

661
662

663 **Data and code availability:** The data and codes used in this work are available for access from
664 this DOI link: <https://doi.org/10.5281/zenodo.6558578>. The model used in this study can be
665 downloaded from the open-shared link: <https://github.com/ESCOMP/CAM>.

666

667 **Author Contributions:** XH and AG designed the study and the experiments. All authors
668 contributed to the work in the model development. XH performed the simulations with assistance
669 from AG, MC, WS, PL, and AH. XH and AG contributed to the investigation and visualization.
670 XH prepared the manuscript with review and edits from AG, WS, PL, and AH.

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676 (<https://www.psl.noaa.gov/data/gridded/data.ghcncams.html>), PRISM
677 (<https://prism.oregonstate.edu/>) and Livneh (http://cirrus.ucsd.edu/~pierce/nonsplit_precip/)
678 observations, and WRF simulations at 4km (<https://rda.ucar.edu/datasets/ds612.5/>). We
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685 **Competing Interest Statement:** The authors have no competing interests to declare.

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