



1     **Improved representation of plant physiology in the JULES-**  
2             **vn5.6 land surface model: Photosynthesis, stomatal**  
3             **conductance and thermal acclimation**

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29 **Abstract.**

30 Carbon and water cycle dynamics of vegetation are controlled primarily by photosynthesis and stomatal  
31 conductance ( $g_s$ ). Our goal is to improve the representation of these key physiological processes within the JULES  
32 land surface model, with a particular focus on refining the temperature sensitivity of photosynthesis, impacting  
33 modelled carbon, energy and water fluxes. We test (1) an implementation of the Farquhar et al. (1980)  
34 photosynthesis scheme and associated plant functional type-dependent photosynthetic temperature response  
35 functions, (2) the optimality-based  $g_s$  scheme from Medlyn et al. (2011), and (3) the Kattge and Knorr (2007)  
36 photosynthetic capacity thermal acclimation scheme. New parameters for each model configuration are adopted  
37 from recent large observational datasets that synthesise global experimental data. These developments to JULES  
38 incorporate current physiological understanding of vegetation behaviour into the model, and enable users to derive  
39 direct links between model parameters and on-going measurement campaigns that refine such parameter values.  
40 Replacement of the original Collatz *et al.* (1991)  $C_3$  photosynthesis model with the Farquhar scheme results in  
41 large changes in GPP for current-day, with ~10% reduction in seasonal (June-August; JJA and December-  
42 February; DJF) mean GPP in tropical forests, and ~20% increase in the northern high latitude forests in JJA. The  
43 optimality-based  $g_s$  model decreases the latent heat flux for the present-day (~10%, with an associated increase in  
44 sensible heat flux) across regions dominated by needleleaf evergreen forest in the northern hemisphere summer.  
45 Thermal acclimation of photosynthesis coupled with the Medlyn  $g_s$  scheme reduced tropical forest GPP by up to  
46 5%, and increased GPP in the high northern latitude forests by between 2 to 5%. Evaluation of simulated carbon  
47 and water fluxes by each model configuration against global data products show this latter configuration generates  
48 improvements in these key areas. Thermal acclimation of photosynthesis coupled with the Medlyn  $g_s$  scheme  
49 improved modelled carbon fluxes in tropical and high northern latitude forests in JJA, and improved the simulation  
50 of evapotranspiration across much of the northern hemisphere in JJA. Having established good model  
51 performance for the contemporary period, we force this new version of JULES offline with a future climate  
52 scenario corresponding to rising atmospheric greenhouse gases (SSP5 RCP8.5). In particular, these calculations  
53 allow understanding of the effects of long-term warming. We find that the impact of thermal acclimation coupled  
54 with the optimality-based  $g_s$  model on simulated fluxes increases latent heat flux (+50%) by year 2050 compared  
55 to the JULES model configuration without acclimation. This new JULES configuration also projects increased  
56 GPP across tropical (+10%) and northern latitude regions (+30%) by 2050. We conclude that thermal acclimation  
57 of photosynthesis with the Farquhar photosynthesis scheme and the new optimality-based  $g_s$  scheme together  
58 improve the simulation of carbon and water fluxes for current-day, and has a large impact on modelled future  
59 carbon cycle dynamics in a warming world.

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65 **1. Introduction**

66 Photosynthesis and stomatal conductance ( $g_s$ ) together exert a strong control over the exchange of carbon, water  
67 and energy between the land surface and the atmosphere. The behaviour of stomatal pores on the leaf surface link  
68 these processes, controlling the amount of carbon dioxide ( $\text{CO}_2$ ) entering, and water leaving each leaf.  
69 Photosynthesis represents the largest exchange of carbon between the land and atmosphere (Friedlingstein et al.,  
70 2020), being more substantial than respiration loss. This imbalance is central to the global carbon cycle because  
71 it slows the rate of accumulation of  $\text{CO}_2$  in the atmosphere caused by fossil fuel burning, and therefore also lowers  
72 the rate of atmospheric temperature increase. As stomata open to take up  $\text{CO}_2$  for photosynthesis, plants also lose  
73 water through transpiration, and this flux has been estimated to account for 60–80% of evapotranspiration (ET)  
74 across the land surface (Jasechko et al., 2013; Schlesinger and Jasechko, 2014). Hence, for vegetated surfaces,  
75 transpiration is the primary driver of the latent heat flux (LE), the latter describing the overall transfer of water  
76 vapour to the atmosphere. The partitioning of available net radiation between LE and sensible heat (H) is also a  
77 key determinant of land surface temperature, therefore having a feedback on photosynthesis and other key  
78 metabolic processes that influence the global carbon cycle such as plant respiration.

79 Land surface models (LSMs) simulate the exchange of carbon, water and energy between the land surface and the  
80 atmosphere, providing the lower boundary conditions for the atmospheric component of Earth System Models  
81 (ESMs) when run in a coupled configuration. ESM projections form the main tool to predict future climate change  
82 and underpin much of the regular United Nations Intergovernmental Panel on Climate Change (IPCC) reports that  
83 inform policymakers. However, ESM predictions of the global carbon sink are fraught with large uncertainties  
84 surrounding projections of future carbon uptake (Friedlingstein et al., 2014), causing uncertainty in any translation  
85 from  $\text{CO}_2$  emissions to atmospheric  $\text{CO}_2$  trajectory. A lack of knowledge in how the global carbon cycle operates  
86 creates uncertainties in translating from emissions to global warming, and these uncertainties are a sizeable  
87 fraction of those associated with unknowns of physical climate processes (Huntingford et al., 2009). Therefore,  
88 given the critical role of both photosynthesis and  $g_s$  in determining land-atmosphere exchanges, their accurate  
89 representation and parameterisation in LSMs is of paramount importance. Booth et al. (2012) show that a  
90 significant uncertainty is the temperature sensitivity of photosynthesis, and suggest that thermal acclimation of  
91 photosynthesis – where plants adjust their optimum temperature for photosynthesis to growth conditions  
92 experienced over the timescale of days to weeks - might reduce the spread in modelled carbon exchange. Yet  
93 despite strong evidence of the thermal acclimation capability of plant photosynthesis (Dusenge et al., 2020; Slot  
94 et al., 2021; Way et al., 2017; Way and Yamori, 2014; Yamaguchi et al., 2016), incorporation of this process in  
95 large-scale LSMs is limited to only a few e.g. TEM (Chen and Zhuang, 2013), CLM4.5 (Lombardozzi et al.,  
96 2015), LM3 (Smith et al., 2016), JULES (Mercado et al., 2018), ORCHIDEE (Krinner et al., 2005) and BETHY  
97 (Ziehn et al., 2011), and is not yet commonly represented in ESMs. Currently, the majority of LSMs and ESMs  
98 use simple fixed (i.e. non-acclimating) temperature response functions for photosynthetic capacity parameters  
99 (Smith and Dukes, 2013), which, in general, cause the rate of leaf photosynthesis to increase with temperature to  
100 an optimum and then decrease under higher temperatures. These functional forms are either generic for all  $\text{C}_3/\text{C}_4$   
101 species and fixed in time and space, or are dependent on a small number of plant functional types (PFTs) but again  
102 fixed in time and space. Consequently, climate-carbon feedbacks in ESMs are sensitive to the assumed value of  
103 the fixed optimum temperature for photosynthetic capacity ( $T_{opt}$ ), because, very simplistically, the amount of



104 carbon assimilated depends on whether leaf temperature is dominantly above or below  $T_{opt}$ . Improved process  
105 representation of  $g_s$ , photosynthesis, and its temperature sensitivity in LSMs is necessary to support robust  
106 predictions of global climate change via their coupling into ESMs. Modelling studies have shown how  
107 photosynthesis and  $g_s$  impact climate feedbacks, play a critical role in how climate will change, and strongly  
108 influence climate-induced impacts such as water resources (Betts et al., 2007; Cruz et al., 2010; De Arellano et  
109 al., 2012; Gedney et al., 2006; Kooperman et al., 2018; Zeng et al., 2017).

110 This study, therefore, updates the plant physiology routines in the Joint UK Land Surface Environment Simulator  
111 (JULES-vn5.6) LSM, the land-surface component of the UK Hadley Centre ESM (Sellar et al., 2019). To date,  
112 JULES has employed the mechanistic  $C_3$  photosynthesis scheme of Collatz et al. (1991) (“Collatz”). However,  
113 the Farquhar et al. (1980) (“Farquhar”) scheme is more generally adopted by those modelling photosynthetic  
114 response and by researchers analysing data from empirical studies. The Farquhar scheme has been recently  
115 implemented in JULES by Mercado et al. (2018) for  $C_3$  plant types, albeit using a big leaf canopy scaling approach  
116 and was not parameterised and evaluated for global applications. Here we build on that previous study by using a  
117 data-driven approach incorporating data from multiple biomes to parameterise the Farquhar model photosynthetic  
118 capacity parameters and their temperature sensitivity so it is amenable for use in global studies. Our specific  
119 rationale for including the Farquhar photosynthesis scheme is twofold. Firstly, studies by Rogers et al. (2017) and  
120 Walker et al. (2021) demonstrate that despite only the Collatz or Farquhar descriptions of leaf photosynthesis  
121 being in general use, simulated photosynthesis varies significantly between LSMs. This variation is attributed to  
122 several factors, including 1) differences in prescribed Rubisco kinetic constants and their temperature responses  
123 (Rogers et al., 2017), 2) structural differences, namely the method used to determine the transition point between  
124 the limiting rates of photosynthesis which has a disproportionate impact on estimates (Huntingford and Oliver,  
125 2021; Walker et al., 2021), and 3) the sensitivity of photosynthesis to temperature, in terms of the under-  
126 representation of parameters from different biomes to describe the short-term instantaneous response of  
127 photosynthesis to temperature (Rogers et al., 2017). In particular, these differences imply that parameter values  
128 derived calibrating the Collatz model against data will differ to those derived using Farquhar against the same set  
129 of measurements. Parameter values are not transferable between models, hence such differences will lead to  
130 inconsistencies and projection errors if parameters are fitted to data, but then applied within the alternative model.  
131 Building in the capacity of an LSM to run with either photosynthesis scheme greatly enhances flexibility in  
132 modelling. Importantly, this flexibility allows for consistency between parameters used by empiricists to derive  
133 leaf level photosynthetic parameters from observations, and those used in large scale modelling. Additionally, our  
134 re-parameterisation of the photosynthetic capacity and temperature sensitivity parameters are based on recent  
135 global datasets that are more extensive, including species from a range of different biomes, further enhancing the  
136 capacity for global modelling applications. Our second rationale is that the Farquhar photosynthesis scheme is  
137 required as the underlying model to implement the Kattge and Knorr (2007) thermal acclimation scheme.

138 Leaf level  $g_s$  response to water vapour is commonly represented in LSMs empirically (Jarvis et al., 1976), or with  
139 a semi-empirical model (Ball et al., 1987; Damour et al., 2010; Leuning, 1995). Values of  $g_s$  are subsequently  
140 scaled yielding an estimate of canopy conductance for vegetation in different ecosystems. De Kauwe et al. (2013)  
141 showed that 10 of the 11 ecosystem models studied in their inter-comparison used a form of the “Ball–Berry–  
142 Leuning” approximation. This model form links  $g_s$  to changes in environmental conditions, and directly to



143 photosynthetic rate. However, there is increasing interest in using models based on optimisation theory (Franks et  
144 al., 2017; Franks et al., 2018), using evidence that stomata may behave to maximise CO<sub>2</sub> gain whilst minimising  
145 water loss. The major advantage of optimality theory is that the optimisation criterion will apply under any  
146 environmental conditions, past or future. Hence the derived equations can replace uncertain mechanistic  
147 formulations and may also have more predictive capability corresponding to future climate regimes. JULES  
148 traditionally uses the empirically-based Jacobs (1994)  $g_s$  scheme (“Jacobs”), and in this study we compare the  
149 behaviour of this scheme against the Medlyn et al. (2011)  $g_s$  scheme (“Medlyn”) which is based on optimisation  
150 theory. The Medlyn  $g_s$  model has been previously implemented in JULES by Oliver et al. (2018). However, in  
151 this study, we advance on that previous work by calibrating for the increased number of plant functional types  
152 now in JULES (nine PFTs, as opposed to five in the original study), and we parameterise using data from a global  
153 synthesis of experimental observations.

154 There is increasing evidence that the short-term vegetation temperature responses are themselves sensitive to  
155 temperatures experienced over longer time-scales (days to weeks to seasons) and in particular, have the capability  
156 to acclimate to growth temperature ( $T_{growth}$ ) (Kattge and Knorr, 2007). Observational evidence of thermal  
157 acclimation of photosynthesis has been widely reported, primarily for temperate and boreal ecosystems (Atkin et  
158 al., 2006; Gunderson et al., 2000; Gunderson et al., 2010; Hikosaka et al., 2007; Way and Yamori, 2014; Yamori  
159 et al., 2014). The effect is defined as the fast temporal adjustment of the temperature response of photosynthesis  
160 driven by a change in  $T_{growth}$ . Thermal acclimation of photosynthesis typically results in a shift in the optimum  
161 temperature ( $T_{opt}$ ) for photosynthesis towards the new growth temperature, which can result in an increase or  
162 maintenance of the photosynthetic rate respective to  $T_{growth}$  (Yamori et al., 2014). In this study, we implement  
163 thermal acclimation of photosynthetic capacity in JULES using the scheme from Kattge and Knorr (2007). The  
164 scheme attributes all changes in the photosynthetic response to changing  $T_{growth}$ , without specifically separating  
165 adaptation from acclimation processes. Of those LSMs that do account for thermal acclimation of photosynthesis  
166 (e.g. TEM, CLM4.5, LM3, JULES) (Chen and Zhuang, 2013; Lombardozzi et al., 2015; Mercado et al., 2018;  
167 Smith et al., 2016), all similarly use this numerical algorithm from Kattge and Knorr (2007). Mercado *et al* (2018)  
168 investigated the impacts of thermal acclimation on the future land carbon sink using an implementation of the  
169 Kattge and Knorr (2007) in JULES, although using a simple big leaf scaling approach. In this study we apply the  
170 thermal acclimation scheme in the updated JULES model (i.e. newly parameterised Farquhar scheme, running  
171 with a multi-layer canopy and nine PFTs) and updated with the Medlyn  $g_s$  scheme and related parameters.

172 This paper therefore brings together these three key recent developments of the JULES plant physiology routines,  
173 (1) implementation of the Farquhar photosynthesis scheme, (2) the optimisation-based Medlyn model of stomatal  
174 opening, and (3) thermal acclimation of photosynthesis, along with updated parameters and an evaluation of model  
175 behaviour. We make incremental additions of the different processes to the JULES model in a set of factorial  
176 simulations and run the model with current day (1979 to 2013) near-surface meteorological forcing and CO<sub>2</sub>  
177 levels. First, we present the different factorial simulations in the context of a thorough evaluation of simulated  
178 contemporary carbon and energy fluxes. Such evaluation includes comparison against individual eddy covariance  
179 sites, and at spatial scales up to the global scale against satellite products. Timescales analysed are both seasonal  
180 and annual. Secondly, we apply the new model configurations within a past-to-future climate change simulation  
181 based on a high-end emissions scenario (SSP5 RCP8.5). We use output from HadGEM3-GC.1 spanning years



182 1960 to 2050 to explore sensitivity of global vegetation to future climate change. This choice of scenario is to  
183 allow eventual comparison between these offline simulations and the equivalent in the coupled global climate  
184 model to investigate land-atmosphere feedbacks resulting from these changes to the plant physiology routines.  
185 This is currently work being undertaken. This updated version of the JULES model is now available in official  
186 JULES releases for use by the community (see data availability). It is therefore also readily available for full  
187 coupling into the UK community ESM (UKESM), a process that is just starting.

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## 190 **2. Model description**

### 191 **2.1 JULES land surface model**

192 Our modelling framework is JULES (<https://jules.jchmr.org>), the land surface component of the Hadley Centre  
193 climate models, which includes the new UK community Earth System Model (UKESM1) (Sellar et al., 2019).  
194 JULES can be run offline, as in this study, forced with observed meteorology, at different spatial scales (from a  
195 single location to global). A full description of JULES is provided in Best et al. (2011), Clark et al. (2011) and  
196 Harper et al. (2016). Of particular relevance for this study is the plant physiological representation in JULES.  
197 JULES uses a leaf-level coupled model of photosynthesis and  $g_s$  (Cox et al., 1998) based on Collatz et al. (1991)  
198 and Collatz et al. (1992) (for  $C_3$  and  $C_4$  plants) and Jacobs (1994) respectively. Photosynthesis and  $g_s$  are modelled  
199 to respond to changes in environmental drivers of temperature, humidity deficit, light,  $CO_2$  concentration and  
200 water availability. Soil moisture content is modelled using a dimensionless soil water stress factor which is related  
201 to the mean soil water concentration in the root zone, and the soil water contents at the critical and wilting point  
202 (Best et al., 2011). In this study, JULES uses a multilayer canopy radiation interception and photosynthesis scheme  
203 (i.e. 10 layers) that accounts for vertical variation of incoming direct and diffuse radiation, sun fleck penetration  
204 through the canopy, change in photosynthetic capacity with depth into the canopy, inhibition of leaf respiration  
205 in the light and differentiates calculation of sunlit and shaded photosynthesis at each layer (Clark et al., 2011;  
206 Mercado et al., 2009).

### 207 **2.2 Physiology Developments**

#### 208 **2.2.1 Farquhar photosynthesis for $C_3$ plants and parameterisation**

209 We implement the Farquhar photosynthesis scheme (Farquhar et al., 1980) to describe the leaf-level biochemistry  
210 of photosynthesis for  $C_3$  vegetation following the approach of Mercado et al. (2018). Here the leaf-level  
211 photosynthesis is calculated as the minimum (note no smoothing) of two potentially limiting rates (Equation 1a).  
212 These two rates are i) Rubisco-limited photosynthesis (Equation 2) and ii) light-limited photosynthesis with a  
213 dependence on the incident photosynthetically active photon flux density and the potential electron transport rate  
214 (Equations 3 and 4). Note, as in the original Farquhar formulation, we do not include a TPU-limited (triose  
215 phosphate utilisation) rate. Further, recent empirical studies suggest that TPU limitation rarely limits  
216 photosynthesis under present-day  $CO_2$  concentrations and is also unlikely to limit photosynthesis at elevated  $CO_2$   
217 (Kumarathunge et al., 2019a). This, and the current uncertainty in the formulation of TPU limitation of  
218 photosynthesis led Rogers et al. (2021) to conclude it is an unnecessary complication in LSMs. Hence:



$$219 \quad A_p = \min\{A_v, A_j\} - R_d \quad (1a)$$

$$220 \quad A_n = A_p \beta \quad (1b)$$

221 where  $A_p$  is the net potential (i.e. unstressed) leaf photosynthetic carbon uptake ( $\text{mol m}^2 \text{s}^{-1}$ ),  $R_d$  is the rate of leaf  
 222 respiration in the dark ( $\text{mol m}^2 \text{s}^{-1}$ ),  $A_n$  is the net photosynthetic rate ( $\text{mol m}^2 \text{s}^{-1}$ ) which accounts for the impact of  
 223 soil moisture stress on photosynthetic rate by multiplying  $A_p$  by the soil water stress factor  $\beta$ . Rubisco-limited  
 224 photosynthesis ( $A_v$ ,  $\text{mol m}^2 \text{s}^{-1}$ ) is calculated as in Equation 2. The maximum rate of carboxylation of Rubisco is  
 225 determined by  $V_{cmax}$  ( $\text{mol m}^2 \text{s}^{-1}$ ),  $c_i$  and  $o_i$  are the intercellular concentrations of  $\text{CO}_2$  and  $\text{O}_2$  (both Pa),  $K_c$  and  $K_o$   
 226 (both units of Pa) are the Michaelis Menten coefficients for Rubisco carboxylation and oxygenation respectively,  
 227 and  $\Gamma$  (Pa) is the  $\text{CO}_2$  compensation point in the absence of mitochondrial respiration.

228

$$229 \quad A_v = \frac{V_{cmax} (c_i - \Gamma)}{[c_i + K_c (1 + \frac{o_i}{K_o})]} \quad (2)$$

230 The light-limited rate of photosynthesis ( $A_j$ ,  $\text{mol m}^2 \text{s}^{-1}$ ) (Equation 3) is a function of the rate of electron transport  
 231  $J$  ( $\text{mol m}^2 \text{s}^{-1}$ ) which is represented in Equation 4.  $J$  depends on the incident photosynthetically active photon flux  
 232 density  $Q$  ( $\text{mol quanta m}^2 \text{s}^{-1}$ ), the potential rate of electron transport  $J_{max}$  ( $\text{mol m}^2 \text{s}^{-1}$ ), and the apparent quantum  
 233 yield of electron transport  $\alpha$  ( $\text{mol electrons mol}^{-1}$  photon), fixed at 0.3 ( $\text{mol electrons mol}^{-1}$  photon) following  
 234 Medlyn et al. (2002). The factor of four used in the Farquhar model in Equation 3 accounts for four electrons  
 235 being required per carboxylation/oxygenation reaction.

236

$$237 \quad A_j = \left(\frac{J}{4}\right) \frac{(c_i - \Gamma)}{(c_i + 2\Gamma)} \quad (3)$$

238

$$239 \quad \theta J^2 - (\alpha Q + J_{max})J + \alpha Q J_{max} = 0 \quad (4)$$

240

241 JULES currently uses  $Q_{10}$  functions in the Collatz scheme to describe the temperature dependency of  $K_c$ ,  $K_o$ , and  
 242  $\Gamma$ . In our implementation of the Farquhar scheme, temperature sensitivities for the latter parameters are taken from  
 243 Bernacchi et al. (2001) as described in Medlyn et al. (2002). These are the same temperature sensitivities used by  
 244 experimentalist to derive estimates of photosynthetic capacity parameters (Rogers et al., 2017). Of particular  
 245 importance to our analysis here are the temperature responses of  $V_{cmax}$  and  $J_{max}$ . Equation 5 describes the  
 246 temperature response of both parameters:

$$247 \quad k_T = k_{25} \exp \left[ H_a \frac{(T_l - T_{ref})}{T_{ref} R T_l} \right] \frac{1 + \exp \left[ \frac{T_{ref} \Delta S - H_d}{T_{ref} R} \right]}{1 + \exp \left[ \frac{T_l \Delta S - H_d}{T_l R} \right]} \quad (5)$$

248 Here,  $k_T$  ( $\mu\text{mol m}^2 \text{s}^{-1}$ ) is either  $V_{cmax}$  or  $J_{max}$  at leaf temperature  $T_l$  (K),  $k_{25}$  ( $\mu\text{mol m}^2 \text{s}^{-1}$ ) is the rate of  $V_{cmax}$  or  $J_{max}$   
 249 at the reference temperature  $T_{ref}$  of 25 °C (298.15 K),  $R$  is the universal gas constant (8.314 J K<sup>-1</sup>),  $H_a$  and  $H_d$  (J  
 250 mol<sup>-1</sup>) are the activation and deactivation energies respectively, and  $\Delta S$  (J mol<sup>-1</sup> K<sup>-1</sup>) is an entropy term (see Table



251 1 for PFT-specific parameter values). Broadly,  $H_d$  describes the rate of exponential increase of the function below  
 252 the optimum temperature ( $T_{opt}$ ), and  $H_d$  describes the rate of decrease above the  $T_{opt}$ .  $\Delta S$  and  $T_{opt}$  are related by  
 253 Equation 6, which is used to calculate the  $T_{opt}$  of  $V_{cmax}$  and  $J_{max}$  (Table 1):

254

$$255 \quad T_{opt} = \frac{H_d}{\Delta S - R \ln \left[ \frac{H_d}{H_d - H_a} \right]} \quad (6)$$

256

257 **Table 1.** PFT-specific parameters for the required temperature dependency of  $V_{cmax}$  and  $J_{max}$  in the Collatz and  
 258 Farquhar photosynthesis schemes. PFT codes (left column) are BET-tr: Broadleaf evergreen tropical tree, BET-  
 259 te: Broadleaf evergreen temperate tree, BDT: Broadleaf deciduous tree, NET: Needle leaf evergreen tree, NDT:  
 260 Needle leaf deciduous tree, C<sub>3</sub>: C<sub>3</sub> grass, C<sub>4</sub>: C<sub>4</sub> grass, ESH: Evergreen shrub, DSH: Deciduous shrub.

261

	Collatz			Farquhar						
	$T_{app}$	$T_{low}$	$T_{opt_{vcmax}}$	$H_{a_{vcmax}}$	$H_{a_{jmax}}$	$\Delta S_{vcmax}$	$\Delta S_{jmax}$	$T_{opt_{vcmax}}$	$T_{opt_{jmax}}$	$H_{d_{vcmax}}$ or $H_{d_{jmax}}$
	(°C)	(°C)	(°C)	(J mol <sup>-1</sup> )	(J mol <sup>-1</sup> )	(J mol <sup>-1</sup> K <sup>-1</sup> )	(J mol <sup>-1</sup> K <sup>-1</sup> )	(°C)	(°C)	(J mol <sup>-1</sup> )
BET-tr	43	13	39.00	86900	64000	631	635	42.71	38.73	200000
BET-te	43	13	39.00	59600	35900	634	632	38.80	37.10	200000
BDT	43	5	39.00	49300	38800	658	663	26.57	23.22	200000
NET	37	5	33.00	63100	36400	642	643	35.28	31.96	200000
NDT	36	-5	34.00	49300	38800	658	663	26.57	23.22	200000
C <sub>3</sub>	32	10	28.00	97200	112000	660	663	28.00	28.00	199000
C <sub>4</sub>	45	13	41.00	-	-	-	-	-	-	-
ESH	36	10	32.00	59600	35900	634	632	38.80	37.10	200000
DSH	36	0	32.00	49300	38800	658	663	26.57	23.22	200000

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265 To find new estimates for  $V_{cmax}$  and the  $J_{max} \cdot V_{cmax}$  ratio at  $T_{ref}$  of 25°C for use with the Farquhar model for the 9  
 266 PFT's in JULES we used the global dataset from Walker et al. (2014) which includes data from 356 species. For  
 267  $V_{cmax}$  and  $J_{max}$ , Walker et al. (2014) re-analysed the data to remove the variation in these two parameters across  
 268 studies caused by different parametric assumptions used in their derivation from  $A-C_i$  curves (e.g. using a common  
 269 set of kinetic parameters, and reporting values at 25°C). We calculated the mean  $V_{cmax}$  and  $J_{max}$  across studies  
 270 conducted at ambient CO<sub>2</sub> concentration for each of the JULES PFTs (Table 2). To parameterise the deciduous  
 271 needleleaf tree (NDT) PFT, we use the values for the evergreen needleleaf tree (NET) PFT because the data for  
 272 NDT was from a single study on one juvenile (3 years old) species. An exception was the tropical broadleaf  
 273 evergreen tree (BET-tr) PFT, where we use  $V_{cmax}$  and  $J_{max}$  from the dataset collated in the more recent compilation



274 by Kumarathunge et al. (2019b), as this study includes many more tropical tree species than any previous meta-  
 275 analysis.

276 Parameter values for the temperature response functions for  $V_{cmax}$  and  $J_{max}$  (Equation 5) in the Farquhar scheme  
 277 were taken from a global dataset of photosynthetic CO<sub>2</sub> response curves, which entrained data from 141 C<sub>3</sub>  
 278 species, ranging from the tropical rainforest to Arctic tundra (Kumarathunge et al., 2019b). The study provides  
 279 parameter values for tree PFT's that match those in JULES, e.g. tropical broadleaf evergreen trees (BET-tr PFT  
 280 in JULES), temperate broadleaf evergreen trees (BET-te), broadleaf deciduous trees (BDT) and needleleaf  
 281 evergreen trees (NET). For the remaining JULES PFTs, BDT values are used for NDT and deciduous shrubs  
 282 (DSH), and BET-te values are used for evergreen shrubs (ESH). Kumarathunge et al. (2019b) do not include data  
 283 for C<sub>3</sub> grasses, therefore to parameterise the temperature dependency of  $V_{cmax}$  and  $J_{max}$  for this PFT, we fitted both  
 284 to the existing  $V_{cmax}$  temperature response function in the Collatz scheme for C<sub>3</sub> grasses because of a scarcity of  
 285 data in the literature. Fig. S1 shows the temperature dependency of  $V_{cmax}$ ,  $J_{max}$  and gross photosynthesis for Collatz  
 286 and Farquhar using the PFT-specific parameters in Table 1 and Table 2.

287

288 **Table 2.** PFT-specific parameters for the Collatz and Farquhar photosynthesis schemes.

289

	Collatz		Farquhar			
	$V_{cmax25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$\alpha$ (intrinsic) ( $\text{mol CO}_2 \text{ mol}^{-1}$ PAR)	$V_{cmax25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$J_{max25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$J:V$	$\alpha$ (apparent) ( $\text{mol electrons mol}^{-1}$ photon)
BET-tr	41.16	0.08	39.50	63.20	1.60	0.30
BET-te	61.28	0.06	68.95	112.59	1.63	0.30
BDT	57.25	0.08	55.24	98.30	1.78	0.30
NET	53.55	0.08	50.80	75.14	1.48	0.30
NDT	50.83	0.10	50.80	75.14	1.48	0.30
C <sub>3</sub>	51.09	0.06	43.83	108.07	2.47	0.30
C <sub>4</sub>	31.71	0.04	-	-	-	-
ESH	62.41	0.06	68.96	112.59	1.63	0.30
DSH	50.40	0.08	55.24	98.30	1.78	0.30

290

291

### 292 2.2.2 Medlyn model of $g_s$ and parameterisation

293 In JULES,  $g_s$  ( $\text{m s}^{-1}$ ) is represented in Equation 7.

$$294 g_s = 1.6RT_i \frac{A_n}{c_a - c_i} \quad (7)$$

295 where the factor 1.6 accounts for  $g_s$  being the conductance for water vapour rather than CO<sub>2</sub>,  $R$  is the universal  
 296 gas constant ( $\text{J K}^{-1} \text{mol}^{-1}$ ),  $T_i$  is the leaf surface temperature (K),  $c_a$  and  $c_i$  (both Pa) are the leaf surface and internal  
 297 CO<sub>2</sub> partial pressures respectively, and  $A_n$  is the net photosynthetic rate. Here,  $c_i$  is unknown and is calculated in



298 JULES using the Jacobs scheme as in Equation 8, and relates the ratio of ambient ( $c_a$ ) to leaf intercellular ( $c_i$ )  
 299 partial pressure of CO<sub>2</sub> ( $c_i/c_a$ ), to leaf humidity deficit:

$$300 \quad c_i = (c_a - \Gamma)f_0 \left(1 - \frac{d_q}{dq_{crit}}\right) + \Gamma \quad (8)$$

301 where  $\Gamma$  (Pa) is the CO<sub>2</sub> photorespiration compensation point,  $d_q$  is the humidity deficit at the leaf surface (kg kg<sup>-1</sup>)  
 302 <sup>1</sup>, and  $dq_{crit}$  (kg kg<sup>-1</sup>) and  $f_0$  are PFT specific parameters representing the critical humidity deficit at the leaf surface  
 303 and the leaf internal to atmospheric CO<sub>2</sub> ratio ( $c_i/c_a$ ) at the leaf specific humidity deficit (Best et al., 2011). To  
 304 implement the Medlyn model, Equation 9 is used to calculate  $c_i$ , retaining Equation 7 to calculate  $g_s$ . In Equation  
 305 9,  $g_l$  (kPa<sup>0.5</sup>) is a PFT-specific model parameter and  $d_q$  is expressed in kPa. The Medlyn scheme is based on  
 306 optimisation theory, and so assumes that stomatal aperture is regulated to maximize carbon gain while  
 307 simultaneously minimising water loss:

$$308 \quad c_i = c_a \left(\frac{g_l}{g_l + \sqrt{d_q}}\right) \quad (9)$$

309 PFT-specific values of the  $g_l$  parameter were derived for the nine JULES PFTs from the global data base of Lin  
 310 et al. (2015) (Table 3). The  $g_l$  parameter represents the sensitivity of  $g_s$  to the assimilation rate, i.e. plant water  
 311 use efficiency, and was derived as in Lin et al. (2015), by fitting the Medlyn et al. (2011) model to observations  
 312 of  $g_s$ , photosynthesis, and VPD, assuming an intercept of zero.

313

314 **Table 3.** PFT-specific parameters required for the Jacobs and Medlyn  $g_s$  schemes.

315

	Jacobs $f_0$	Jacobs $dq_{crit}$ (kg kg <sup>-1</sup> )	Medlyn $g_l$ (kPa <sup>0.5</sup> )
BET-tr	0.875	0.090	5.31
BET-te	0.892	0.090	3.37
BDT	0.875	0.090	4.45
NET	0.875	0.060	2.35
NDT	0.936	0.041	2.35
C <sub>3</sub>	0.931	0.051	5.25
C <sub>4</sub>	0.800	0.075	1.62
ESH	0.950	0.037	3.29
DSH	0.950	0.030	5.47

316

### 317 2.2.3 Thermal acclimation of photosynthetic capacity

318 The Kattge and Knorr (2007) acclimation algorithm (“AcKK”) is based on the parameters of the Farquhar  
 319 photosynthesis scheme, hence acclimation is implemented in the Farquhar model. The AcKK algorithm uses  
 320 empirical relationships to describe the response of  $V_{cmax}$ ,  $J_{max}$ , and the  $J_{max}:V_{cmax}$  ratio to changes in  $T_{growth}$  (defined  
 321 in AcKK as the average temperature (day and night) of the previous 30 days), and importantly it represents



322 combined acclimation and adaptation processes. Kattge and Knorr (2007) found that  $\Delta S_v$ ,  $\Delta S_j$ , and the  $J_{max}:V_{cmax}$   
323 ratio decrease linearly with increasing  $T_{growth}$  following Equation 10. This means according to these relationships,  
324 the optimum temperatures ( $T_{opt}$ ) of  $V_{cmax}$  and  $J_{max}$  ( $T_{optv}$  and  $T_{optj}$ ) increase by 0.44°C and 0.33°C per degree increase  
325 in  $T_{growth}$  respectively, and the  $J_{max}:V_{cmax}$  ratio at 25°C decreases by 0.035°C per degree increase in  $T_{growth}$ .

$$326 \quad x_i = a_i + b_i T_{growth} \quad (10)$$

327 The  $x$  is either  $\Delta S_v$ ,  $\Delta S_j$  or the  $J_{max}:V_{cmax}$  ratio, and the sub-index  $i$  refers to the parameter values ( $a$  and  $b$  shown in  
328 Table 4) for  $V_{cmax}$ ,  $J_{max}$  or the  $J_{max}:V_{cmax}$  ratio.  $T_{growth}$  is the growth temperature (calculated online as the mean  
329 temperature of the previous 30 days).

330

331 **Table 4.** Parameter values derived by Kattge & Knorr (2007) and used in this study in Equation 10 to model  
332 thermal acclimation of photosynthesis using the AcKK scheme.

Acclimation		
	$a$	$b$
$\Delta S_j$	659.7	-0.75
$\Delta S_v$	668.39	-1.07
$J_{max}:V_{cmax}$	2.59	-0.035

333

334

### 335 **3. Model evaluation and application**

#### 336 **3.1 Site level simulations**

337 JULES was applied using four model configurations (Table 5) with observed meteorology, and evaluated against  
338 data from 17 eddy covariance sites (Table S1). This collection of eddy covariance measurements represents a  
339 range of climates and land cover types (Table S1). In all simulations the vegetation cover was prescribed,  
340 removing any biases that the modelled competition may introduce through self-diagnosis of PFT extents.  
341 Prescribed leaf area index ( $LAI$ ) was used where site data was available, otherwise the JULES phenology scheme  
342 was switched on allowing the model to evolve the  $LAI$ . Model output was evaluated against fluxes of gross primary  
343 productivity (GPP) and evaporative fraction (EF). We used EF rather than latent heat flux to minimise issues with  
344 incomplete closure of the energy balance (that can typically range from 5 to 30 % at some eddy covariance sites,  
345 Liu et al. (2006)). For analysis we used daytime values only (i.e. where the shortwave radiation was  $> 10 \text{ W m}^2$ )  
346 from days with no missing data, and compare mean seasonal diurnal cycles of modelled GPP and EF against the  
347 observed fluxes.



348 We evaluate the site-level simulations with RMSE (root mean square error) using the relative improvement for  
 349 each model configuration ( $i$ ) compared to the current standard JULES configuration of Collatz with Jacobs  
 350 (Clz.Jac). The statistic is calculated so that positive values show an improvement:

$$351 \quad RMSE_{rel_i} = \frac{RMSE_{Clz.Jac} - RMSE_i}{RMSE_{Clz.Jac}} \quad (11)$$

352

353 **Table 5.** Description of the four model experiments performed both at site level and globally, with the JULES  
 354 land surface model.

355

Model simulation	Description	Photosynthesis scheme	Stomatal closure	Temperature dependency of photosynthesis	$T_{growth}$
Clz.Jac	The original photosynthesis and stomatal conductance ( $g_s$ ) schemes used in JULES.	Collatz <i>et al.</i> , (1991)	Jacobs (1994)	$Q_{10}$ function for $K_c$ , $K_o$ , $\Gamma$ and $V_{cmax}$ (PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
Fq.Jac	The Farquhar photosynthesis scheme is implemented with updated $V_{cmax}$ and $J_{max}$ values, and updated parameters for the temperature response of photosynthesis ( $\Delta S$ and $H_a$ for $V_{cmax}$ and $J_{max}$ ) with original $g_s$ scheme used in JULES.	Farquhar <i>et al.</i> , (1980)	Jacobs (1994)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ , $V_{cmax}$ and $J_{max}$ (latter two both PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
Fq.Med	The Medlyn stomatal closure is implemented with the parameter $g_l$ that varies by PFT with Farquhar photosynthesis model implementation.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ , $V_{cmax}$ and $J_{max}$ (latter two both PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
AcKK.Med	Thermal acclimation of photosynthetic capacity accounted for. Implemented within the Farquhar model coupled to the Medlyn $g_s$ model.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ . Thermal acclimation of photosynthetic capacity implemented following Kattge & Knorr (2007). Parameters describing the temperature sensitivity of photosynthesis ( $\Delta S$ for $V_{cmax}$ and $J_{max}$ , and the $J_{max}:V_{cmax}$ ) allowed to acclimate to the temperature of the growth environment ( $T_{growth}$ ). $T_{opt}$ adjusts to changes in $T_{growth}$ so varies spatially and temporally.	Yes

356



357 **3.2 Global scale simulations**

358 Four JULES simulations were performed globally for the period 1979-2013 as outlined in Table 5. These global  
359 present-day simulations were run at  $0.5^\circ \times 0.5^\circ$  spatial resolution. The WFDEI meteorological dataset was used to  
360 drive the model (Weedon et al., 2014). This has a three hour temporal resolution that JULES interpolated down  
361 to an hourly model time step. To focus on the direct effects of the model changes on GPP and surface energy  
362 fluxes, the land surface properties of the model were prescribed. We use a static map of land cover (in terms of  
363 different PFT extents) derived from the European Space Agency's Land Cover Climate Change Initiative (ESA  
364 LC\_CCI) global vegetation distribution version 1.6 for the 2010 epoch (Poulter et al., 2015) (Fig. S3) following  
365 that used in Harper et al. (2016). Seasonally varying *LAI*, were derived from the Global LAnd Surface Satellite  
366 (GLASS) dataset (Xiao et al., 2016). We also prescribe transient atmospheric  $\text{CO}_2$  concentrations based on annual  
367 mean observations from Mauna Loa (Tans and Keeling, 2014). A spin-up of 80 years was performed (re-cycling  
368 through the period 1979 to 1999), which is sufficient to equilibrate soil temperature and soil moisture.

369 The global offline present-day simulations were compared against the global evaluation products, and for both  
370 model output and observations we calculate seasonal means over the period 2002 to 2012. We used the global  
371 FluxCom product to evaluate modelled GPP, LE, H and ET (Jung et al., 2020; Tramontana et al., 2016). We  
372 compare our simulations against the FluxCom ensemble product (RS+MET) driven with the same forcing  
373 (WFDEI), as is recommended by Jung et al. (2019) to minimise deviations due to different climate input data. To  
374 convert LE to ET we assume a constant latent heat of vaporization of  $2.5 \text{ MJ mm}^{-1}$ . We also use the model derived  
375 product from GLEAM-v3.3a to evaluate ET, and additionally use the MODIS GPP product (Zhao et al., 2005;  
376 Zhao and Running, 2010; Zhao et al., 2006) to evaluate simulated global GPP.

377 Global future climate simulations were performed forced with meteorological output (1960 to 2050) from the  
378 HadGEM3-GC3.1 model atmosphere-only simulations at 3 hour temporal resolution and N512 spatial resolution  
379 (Roberts et al., 2019; Williams et al., 2018). These projections follow the CMIP6 HighResMIP protocol (Haarsma  
380 et al., 2016). This choice of forcing to drive JULES is to allow comparison of the offline runs performed in this  
381 study with the equivalent simulations currently being undertaken in the coupled HadGEM3-GC3.1 model to  
382 explore land-atmosphere feedbacks arising from changes implemented to the plant physiology routines in this  
383 work. The factorial set of offline simulations in this work provide a systematic sensitivity study that is less  
384 computationally expensive with which to help understand behaviour seen in the coupled model. The output at  
385 N512 was re-gridded to  $0.5^\circ \times 0.5^\circ$  using conservative interpolation. Fig. S4 shows the mean temperature and  
386 precipitation change by region over the study period, and the atmospheric  $\text{CO}_2$  concentration. Atmospheric  $\text{CO}_2$   
387 concentrations were prescribed based on observations up to 2014 as described in historical CMIP6 simulations  
388 (Eyring et al., 2016). From 2015 onwards, atmospheric  $\text{CO}_2$  concentrations were based on a high-end emission  
389 scenario of the Shared Socioeconomic Pathways (SSP5) with the Representative Concentration Pathway 8.5  
390 (RCP8.5) (Haarsma et al., 2016). As for the current-day simulations, *LAI* and land cover were prescribed using  
391 the same datasets. A spin-up period of 80 years (re-cycling through the period 1960 to 1980) was again used to  
392 equilibrate soil temperature and soil moisture.

393 We analyse the future global simulations using the 'difference of difference' approach. This method explicitly  
394 targets the change in the variable of interest over the study period resulting from the change in process alone, and



395 negates differences that may arise from different initial starting points of each simulation (different initial  
396 conditions):

$$397 \quad Effect = (\bar{X}_{2050} - \bar{X}_{1980}) - (\bar{Y}_{2050} - \bar{Y}_{1980}) \quad (12)$$

398 where  $X$  and  $Y$  represent the simulation with and without the process respectively, and 2050 and 1980 represent  
399 the end and start of the simulation analysis period respectively (calculated as the mean over 2040 to 2050, and  
400 1980 to 1990 respectively).

401

## 402 **4. Results**

### 403 **4.1 Site level evaluation**

404 Results from the FLUXNET sites comparing the mean seasonal diurnal cycles of GPP and EF against observed  
405 fluxes are summarised in Fig. 1, where reds and yellows indicate reduced RMSE relative to the ‘standard’ JULES  
406 configuration of Collatz with Jacobs (Clz.Jac), and therefore closer agreement to site level FLUXNET  
407 observations. Results are variable by site and season, some of which will be due to other site-specific  
408 characteristics that are not simulated well by the model, such as  $LAI$  for those sites that rely on model derived  
409 estimates. On the other hand, soil properties are prescribed by parameters that describe the thermal and hydraulic  
410 characteristics of the soil, uncertainties in these parameterisations have consequences for the simulated soil  
411 moisture content at each site, for example, which impacts simulated carbon and water fluxes. We first consider  
412 results for the five tropical sites. Results are mixed for the simulated seasonal diurnal cycle of GPP at the tropical  
413 (EBF / BET-tr) sites, GPP is improved (reduced) with the new JULES model configurations at three out of the  
414 five tropical sites in March-April-May (MAM; Fig. 1a), with thermal acclimation leading to the greatest  
415 improvements. However in June-July-August (JJA; Fig. 1b), this improvement is only found at two of the tropical  
416 sites. At the EBF sites, implementing the Farquhar photosynthesis model means  $V_{cmax}$  is lower (BET-tr, Table 2),  
417 and this in addition to the change in temperature sensitivity (Table 1; Fig. S1a-c), and model structural changes  
418 from Collatz to Farquhar results in lower simulated GPP compared to Collatz. Thermal acclimation allows further  
419 adjustments of the  $T_{optV}$ ,  $T_{optJ}$  and the  $J_{max} \cdot V_{cmax}$  ratio which results in lower simulated photosynthesis and therefore  
420 GPP compared to Farquhar. The change from Jacobs  $g_s$  model to Medlyn has minimal impact on simulated GPP  
421 for the tropical tree PFT because in both schemes the modelled  $c_i$  has a similar sensitivity to humidity deficit at  
422 the leaf surface, with the exception at very low humidity deficit (Fig. S5). The simulated seasonal diurnal cycle  
423 of EF is improved (reduced) at four out of the five tropical sites in both MAM and JJA, again with some of the  
424 largest improvements seen with thermal acclimation (Fig. 1c & 1d).

425 At the  $C_3$  grassland sites (GRA), improved simulated GPP (higher GPP) is seen across all sites in JJA with the  
426 Medlyn  $g_s$  scheme and thermal acclimation (Fig. 1b). This is matched by improvements in simulated EF (higher  
427 EF) across all grassland sites in both seasons, with the exception of US\_var in JJA (Fig. 1c & 1d). The change  
428 from Collatz to Farquhar at the GRA sites means a lower  $V_{cmax}$  is used (C3, Table 2) although the temperature  
429 sensitivity is similar (Table 1, Fig. S1p, q), this results in lower GPP simulated by Farquhar compared to Collatz  
430 which compares worse to the observations (GPP and EF, Fig. 1). In contrast to using Farquhar with the Jacobs  $g_s$   
431 scheme, using Farquhar with the Medlyn scheme improves simulated GPP and EF, both are increased because for



432 the C3 grass PFT as the humidity deficit at the leaf surface increases  $c_i$  simulated by Medlyn is less sensitive  
433 compared to Jacobs (Fig. S5), leading to higher  $c_i$ , higher net canopy photosynthesis and GPP, and higher  
434 transpiration and LE. These results suggests the Medlyn scheme has a large impact on simulated carbon and water  
435 fluxes for the C3 grass PFT in the JULES model. In JJA, the adjustment of the temperature sensitivity of  
436 photosynthesis to the  $T_{growth}$  by the thermal acclimation scheme tends to increase GPP compared to Farquhar with  
437 no acclimation, and this compares better to the observations.

438 At the broadleaf deciduous tree sites (BDT) simulated GPP is improved with all JULES model configurations in  
439 MAM (higher GPP) at three out of the four sites (Fig. 1a). However in JJA improvements are mainly seen with  
440 thermal acclimation (lower GPP compared to Fq.Med, Fig. 1b). Medlyn  $g_s$  performs worse at all sites in JJA  
441 suggesting either the model formulation or parameters are not suitable to correctly capture stomatal behaviour in  
442 this season for this PFT (Fig. 1b). Compared to Collatz, the Farquhar model for the BDT PFT uses a lower  $V_{cmax}$   
443 (Table 2) and has a considerably lower  $T_{optV_{cmax}}$  (Table 1; Fig. S1h), which means that at leaf temperatures below  
444  $\sim 22^\circ\text{C}$ , photosynthesis is higher with the Farquhar model, and above this photosynthesis is lower than Collatz  
445 (Fig. S1g). Consequently, warmer temperatures in JJA lead to lower GPP simulated by Farquhar compared to  
446 Collatz, and cooler temperatures in MAM result in slightly higher GPP with Farquhar compared to Collatz. Using  
447 the Medlyn model means simulated  $c_i$  is more sensitive to increasing leaf humidity deficit for the BDT PFT (Fig.  
448 S5). Medlyn simulates a lower  $c_i$  as humidity deficit increases compared to Jacobs which leads to lower GPP and  
449 LE, the magnitude of which depends on the local site humidity conditions. In JJA the Medlyn  $g_s$  model performs  
450 worse at all sites for GPP (Fig. 1b), although improvements in simulated EF are seen in JJA, where both Medlyn  
451 and thermal acclimation improve model performance at three out of four BDT sites (Fig. 1d).

452 At the evergreen needleleaf sites (NET) the most consistent improvements to simulated GPP are seen with the  
453 Farquhar model, where simulated GPP in JJA is substantially improved (GPP reduced) at three out of four sites  
454 (Fig. 1b), in this season both Medlyn and thermal acclimation generate larger improvements in the simulated GPP  
455 (reducing GPP further), but this is just at two out of the four sites. In our implementation of the Farquhar model,  
456 the NET PFT has a lower  $V_{cmax}$  compared to Collatz (Table 2), and a slightly higher  $T_{optV_{cmax}}$  (Table 1, Fig. S1k).  
457 The resulting shape of the temperature response curve for photosynthesis (Fig. S1j) means that at leaf temperatures  
458 below  $\sim 10^\circ\text{C}$  Farquhar photosynthesis is higher. However above  $10^\circ\text{C}$  Farquhar photosynthesis is lower compared  
459 to Collatz, resulting in simulated GPP in MAM that tends to be higher with Farquhar than Collatz, and in JJA the  
460 opposite occurs. In MAM and JJA the Medlyn  $g_s$  model simulates some large improvements in EF;  $c_i$  simulated  
461 by Medlyn is more sensitive to increasing leaf humidity deficit compared to Jacobs (Fig. S5), which results in  
462 lower transpiration and EF, and this compares better to the observations.

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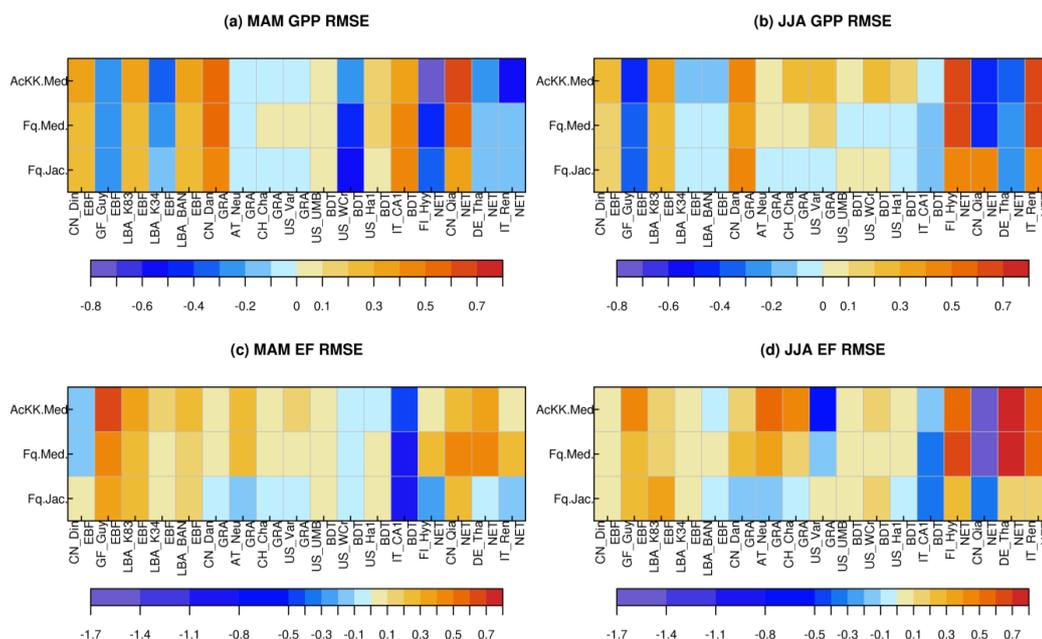
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468 **Figure 1.** Relative changes in RMSE for each JULES model configuration compared to Collatz with Jacobs  
 469 (Clz.Jac) for hourly daytime a) GPP (March-April-May), b) GPP (June-July-August), c) EF (March-April-May)  
 470 and d) EF (June-July-August). Reds and yellows are where RMSE is lower compared to the Clz.Jac JULES  
 471 configuration, and therefore indicates an improvement with that model configuration compared to the Fluxnet  
 472 observations. EBF: Broadleaf evergreen tropical tree, GRA: C<sub>3</sub> grassland, BDT: Broadleaf deciduous tree, NET:  
 473 Needle leaf evergreen tree.

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## 479 4.2 Global Evaluation

### 480 4.2.1 Spatial differences between model configurations

481 Figure 2 shows the JJA change in modelled GPP, LE and H with each of the new JULES configurations compared  
 482 to original JULES across the globe. For GPP, the biggest change is moving from the Collatz photosynthesis  
 483 scheme to the Farquhar photosynthesis scheme (Fig. 2a). Most notably, this change results in decreased GPP in  
 484 the tropical region in JJA of up to 1.5 gC m<sup>-2</sup> d<sup>-1</sup> (up to 10% reduction), whilst in the high northern latitudes, GPP  
 485 is increased by up to 1.5 gC m<sup>-2</sup> d<sup>-1</sup> (up to 20% increase). This is consistent with results from the site-level  
 486 simulations where GPP was reduced with implementation of the Farquhar model at tropical sites, and increased  
 487 in cooler months (MAM) at the evergreen needleleaf forest sites (here increased GPP in NET dominated areas are



488 in the forests of the high northern latitudes which is consistent with cooler temperatures). Impacts on LE and H  
489 resulting from the move from Collatz to Farquhar are not as extensive as those seen with GPP (Fig. 2b & 2c). The  
490 change from Jacobs  $g_s$  scheme to Medlyn impacts LE and H most, resulting in a pronounced pattern of decreased  
491 LE in northern latitudes (up to  $10 \text{ W m}^{-2}$ , equivalent to a 10% reduction) and corresponding increase in H in JJA  
492 (Fig. 2e & 2f). In these JULES simulations, this region is dominated by NET forest, and the high latitude changes  
493 are consistent with results from the site-level simulations, where using the Medlyn  $g_s$  scheme at NET sites resulted  
494 in some of the biggest improvements in simulated EF (lower LE and therefore lower EF). Including thermal  
495 acclimation of photosynthesis has the most extensive impacts on simulated GPP in contrast to LE and H. In the  
496 tropical forests GPP is reduced by up to  $1 \text{ gC m}^2 \text{ d}^{-1}$  (between 2 to 5% reduction) in JJA (Fig. 2g). The impact of  
497 acclimation is spatially variable in the temperate region in JJA, with GPP decreased in Europe (between 2 to 5%),  
498 but increased in Eastern USA (up to 20%). Some areas of the boreal region see increased GPP (between 2 to 5%).  
499 This GPP response demonstrates the impact of thermal acclimation which allows the parameters of the  
500 temperature sensitivity functions for photosynthetic capacity ( $V_{cmax}$ ,  $J_{max}$  and  $J_{max} \cdot V_{cmax}$ ) to move in response to the  
501 temperature of the growth environment, leading to spatially and temporally different values of the  $T_{opt}$  for  
502 photosynthesis for each  $C_3$  PFT. Thermal acclimation impacts LE and H to a lesser extent, but where changes are  
503 seen, acclimation increases LE with a corresponding decrease in H (Fig. 2h & 2i). Figs. 2j, 2k & 2l show the  
504 overall change that results from moving from the traditional JULES set-up of Collatz with Jacobs (Clz.Jac) to  
505 Farquhar with thermal acclimation and Medlyn  $g_s$  (AcKK.Med), and the impacts on simulated GPP, LE and H  
506 can clearly be seen as the trade-off between the dominating effects from each model configuration. For LE and H  
507 the response of the simulated energy fluxes is dominated by the change in the representation of  $g_s$ , and for GPP  
508 the response of simulated carbon fluxes is dominated by the change in the representation of photosynthesis and  
509 its response to temperature (i.e. thermal acclimation).

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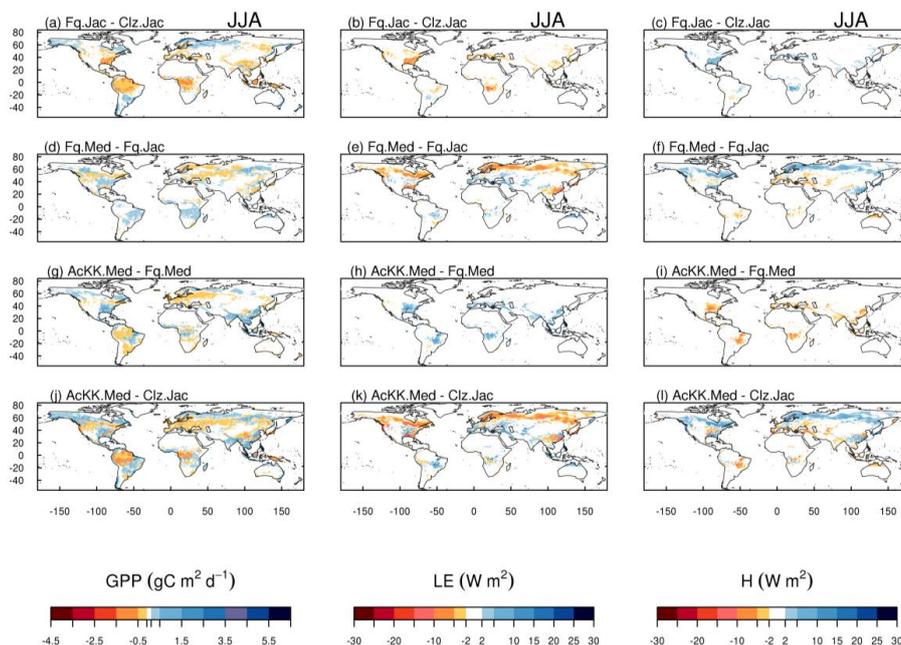
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522 **Figure 2.** Differences between JULES modelled GPP, latent (LE) and sensible heat (H) for the different JULES  
 523 model configurations in June-July-August (JJA). For each variable the mean over the period 2002 to 2012 is used.  
 524 DJF is shown in Figure S6.



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526

#### 527 4.2.2 Comparison to global estimates: seasonal mean GPP and ET

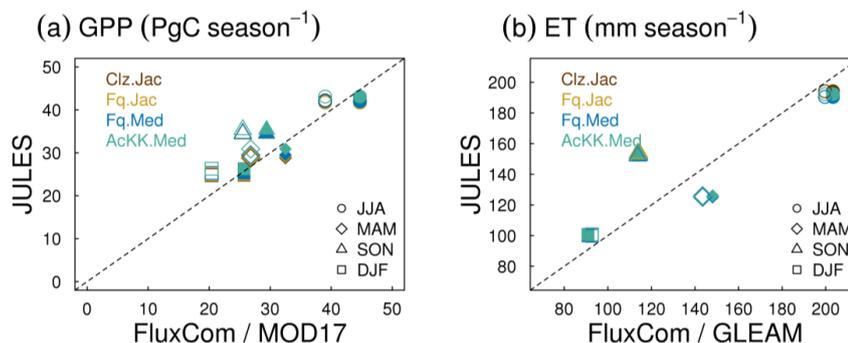
528 Evaluation of simulated global mean GPP by season using FluxCom and MOD17 global GPP products is  
 529 presented in Fig. 3a and using global ET from both FluxCom and GLEAM is shown in Fig. 3b. The seasonal  
 530 means show thermal acclimation compares best to observations (FluxCom) in JJA (AcKK.Med underestimates  
 531 GPP by just 4%, whereas Clz.Jac underestimates GPP by 6%; Fig. 3a & Table S2) and MAM (AcKK.Med  
 532 underestimates GPP by just 5%, whereas Clz.Jac underestimates GPP by 11%; Fig. 3a & Table S2), and is in  
 533 reasonable agreement with FluxCom in DJF (AcKK.Med overestimates GPP by just 2%, whereas Clz.Jac  
 534 underestimates GPP by 4%; Fig. 3a & Table S2). All JULES model configurations have a high GPP bias in SON  
 535 compared to FluxCom, and in all seasons GPP is overestimated by all model configurations compared to MOD17,  
 536 similarly this is largest in SON. For simulated ET, seasonally the model performance is very similar between the  
 537 different JULES configurations, however in both SON and DJF Medlyn (Fq.Med) compares better to both  
 538 FluxCom and GLEAM, but the differences are very small (Fig. 3b & Table S3).

539

540



541 **Figure 3.** Seasonal mean global a) GPP and b) ET for each JULES model configuration compared to FluxCom  
 542 (closed symbols) and MOD17 (GPP) or GLEAM (ET) (open symbols).



543

544

#### 545 4.2.3 Comparison to global estimates: latitudinal mean GPP and ET

546 Figures 4 and 5 present comparisons of seasonal zonal-mean GPP and ET respectively. Firstly, Fig. 4 and Fig. 5  
 547 highlight the differences between global products used to evaluate GPP and ET (see e.g. Spafford & MacDougall  
 548 2021). For example, FluxCom generally predicts higher GPP in the tropics compared to MOD17, especially in  
 549 DJF and MAM, and in JJA the different distribution of GPP by latitude means in the tropics MOD17 GPP is  
 550 higher than FluxCom in the southern latitudes, and FluxCom GPP is higher in the northern tropics. Comparison  
 551 of the two ET products shows that GLEAM tends to give higher ET in the tropics, particularly in DJF and MAM.  
 552 Bearing in mind uncertainties in observation-based estimates of fluxes at this scale we now consider how the  
 553 different model configurations compare. Notably, all the JULES model configurations in this study simulate  
 554 comparable global carbon and water fluxes for the recent contemporary period and are in reasonable agreement  
 555 with the global products used for evaluation. Differences in RMSE between the different model configurations  
 556 are small for both GPP and ET. Importantly, the most consistent change is the improvement (lowest RMSE) of  
 557 modelled GPP in the tropics with the Farquhar model (Fq.Jac). This improvement is evident in all seasons and  
 558 holds when comparing to both FluxCom and MOD17 (Fig. 4). Similarly, estimates of ET are improved in the  
 559 tropics (lowest RMSE) with the Farquhar model (Fq.Jac) in DJF and JJA, and with the Medlyn model (Fq.Med)  
 560 in MAM and SON, and again this result is not dependent on the choice of observation-based product (Fig. 5).  
 561 Another notable change is the improvement of simulated GPP in the temperate north and boreal regions in MAM  
 562 with thermal acclimation (AcKK.Med). Deficiencies in the model stand out, but these biases are common to all  
 563 model configurations. For example, all configurations simulate an over-prediction of GPP and ET in SON in the  
 564 temperate north and boreal regions, overestimated GPP in MAM in tropical southern latitudes ( $0$  to  $-20^\circ\text{S}$ ), under-  
 565 predicted GPP and ET in MAM in temperate north and boreal regions, and an over-prediction of ET in MAM in  
 566 the temperate and tropical South.

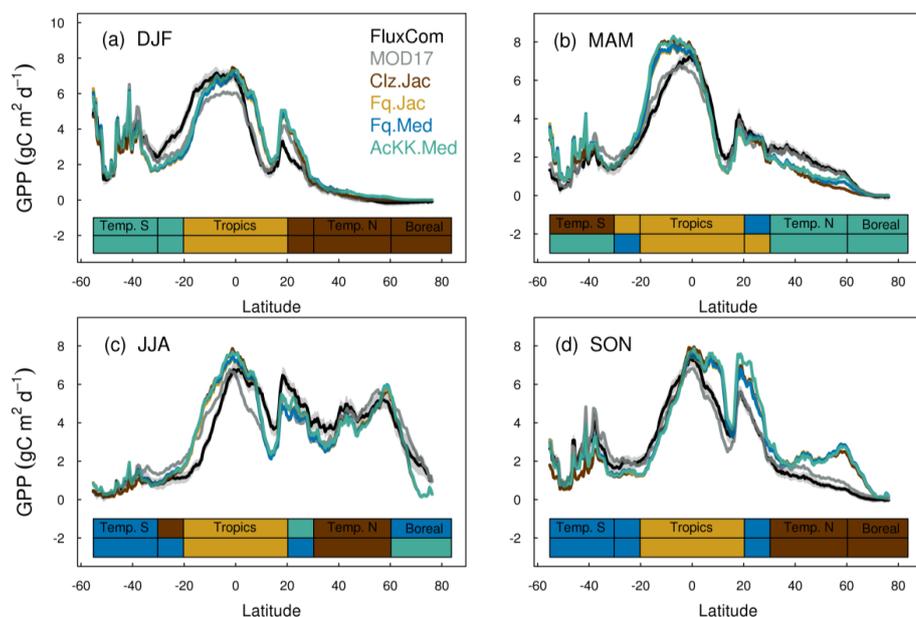
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569 **Figure 4.** Mean (2002 to 2012) GPP ( $\text{g C m}^{-2} \text{d}^{-1}$ ) by latitude band and season for each JULES model configuration  
570 compared to the FluxCom and MOD17 global GPP products. The bars at the bottom indicate which model  
571 configuration gives the lowest RMSE, and therefore better comparison to FluxCom (top bar) and MOD17 (bottom bar)  
572 derived GPP for each region. RMSE values are shown in Tables S4 (FluxCom) and S5 (MOD17). The grey  
573 shaded area shows the uncertainty in the FluxCom GPP product, provided as the median absolute deviation of  
574 ensemble members, this is scaled to a robust estimate of the standard deviation of a normal distribution by  
575 multiplying by 1.4826 according to Jung *et al.*, (2019).

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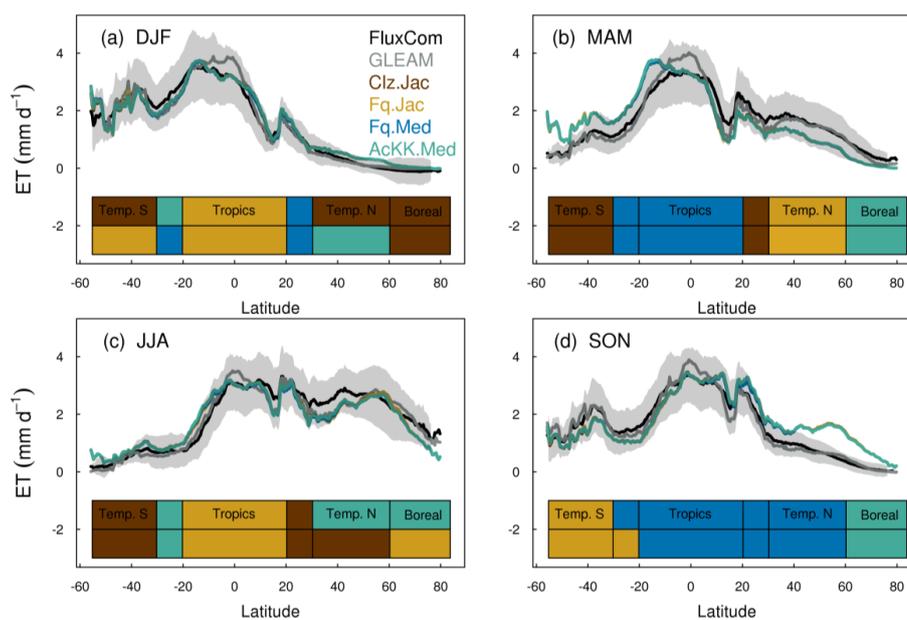
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586 **Figure 5.** Mean (2002 to 2012) evapotranspiration (ET  $\text{mm d}^{-1}$ ) by latitude band and season for each JULES  
587 model configuration compared to the FluxCom and GLEAM global ET products. The bars at the bottom indicate  
588 which model configuration gives the lowest RMSE, and therefore better comparison to FluxCom (top bar) and  
589 GLEAM (bottom bar) derived ET for each region. RMSE values are shown in Table S6 (FluxCom) and Table S7  
590 (GLEAM). The grey shaded area shows the uncertainty in the FluxCom ET product, provided as the median  
591 absolute deviation of ensemble members, this is scaled to a robust estimate of the standard deviation of a normal  
592 distribution by multiplying by 1.4826 according to Jung *et al.*, (2019).



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#### 595 4.2.4 Comparison to global estimates: spatial variability of mean GPP and ET

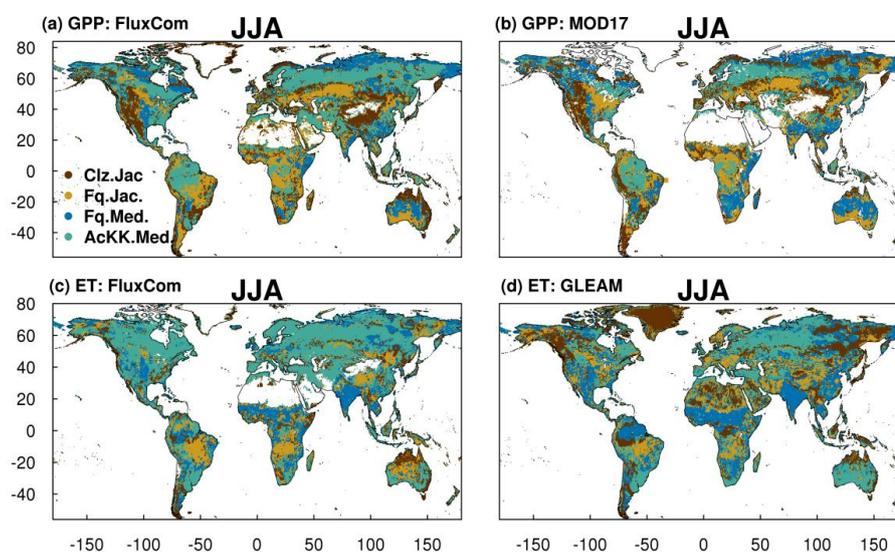
596 The spatial variability of simulated GPP and ET is shown in Fig. 6 during JJA (Fig. S7 for DJF). We show which  
597 of the JULES model configurations gives the lowest RMSE compared to observation-based estimates of GPP and  
598 ET from FluxCom, MODIS and GLEAM (actual RMSE in Figs. S8 and S9). The differences in RMSE are  
599 typically small between the different JULES model configurations, however some clear patterns emerge. Figure  
600 6a & b show that in the tropical forests (i.e. Amazon basin and central Africa) in JJA and DJF (Fig. S7a & b for  
601 DJF), GPP simulated including thermal acclimation (AcKK.Med) compares best to both FluxCom and MOD17.  
602 Also, in the high northern latitudes, dominated by evergreen needleleaf forests, inclusion of thermal acclimation  
603 more closely aligns simulated GPP with both FluxCom and MOD17 (Fig. 6a & b). Compared to FluxCom, ET in  
604 JJA is simulated best by thermal acclimation (AcKK.Med) in the northern temperate and boreal region, although  
605 this pattern is not consistent in comparison to GLEAM (Fig. 6c & d). In contrast to GPP, results are more mixed  
606 in the tropics for ET. In areas dominated by tropical tree cover, thermal acclimation (AcKK.Med) and Medlyn  
607 (Fq.Med) tend to give the lowest RMSE in JJA and DJF, and in tropical areas dominated by C<sub>3</sub> and C<sub>4</sub> grasses  
608 Farquhar (Fq.Jac) performs best (Fig. 6c & d), although in DJF the Medlyn model gives the lowest RMSE in these



609 areas (Fig. S7c & d). In DJF for both GPP and ET, in northern temperate and boreal regions the Collatz with  
610 Jacobs (Clz.Jac) configuration performs the best (Fig. S7).

611

612 **Figure 6.** Colours indicate the JULES model configuration that gives the lowest RMSE compared to either the a)  
613 FluxCom and b) MOD17 global GPP ( $\text{gC m}^2 \text{ day}^{-1}$ ) products, or c) FluxCom and d) GLEAM global ET ( $\text{mm day}^{-1}$ )  
614 products for JJA over the period 2002 to 2012. Actual RMSE values shown in Fig. S8 and Fig. S9.



615

616

#### 617 4.3 Application under future climate

618 We run the new configurations forced by variables from a future climate scenario (HadGEM3-GC3.1 forcing  
619 under a high-end emission scenario of the SSPs) to investigate the response of simulated fluxes to long-term  
620 warming. Changing the photosynthesis scheme from Collatz to Farquhar results in lower GPP, (up to 30%  
621 decrease) by 2050 across the high northern latitude forests (Fig. 7a), with the impact on LE (decreased) and H  
622 (increase) less extensive (Fig. 7b & c). This area is dominated by NET, NDT and BDT PFTs in JULES. The  
623 different temperature sensitivity of photosynthesis parameterised with the Farquhar model compared to Collatz  
624 (Fig. S1g, j & m) means at lower leaf temperatures, photosynthesis is higher with Farquhar, however, as leaf  
625 temperature increases, photosynthesis falls in Farquhar relative to Collatz. The crossover point at which this  
626 occurs is relatively low for these PFTs, particularly NET. This impact of the change of temperature sensitivity  
627 was seen in the site-level simulations at FLUXNET NET and BDT sites. There, modelled GPP tended to be higher  
628 with Farquhar than Collatz in MAM, but lower in the warmer conditions of JJA, and in this climate change  
629 scenario the temperate and boreal region both experience large increases in mean annual air temperature (+5°C  
630 from 1980 to 2060, Fig. S4a & c).



631 Replacing the Jacobs  $g_s$  scheme with Medlyn has the biggest impact on the surface energy fluxes, with increased  
632 LE of up to 30% and a corresponding decrease in H by 2050 across the temperate region (Fig. 7e & f). This area  
633 is dominated by the C3 grass PFT in JULES which has a less conservative water use strategy in the Medlyn  
634 scheme (high  $g_l$ ) compared to Jacobs. This means in the Medlyn scheme, the C3 grass PFT is less sensitive to  
635 increasing humidity deficit at the leaf surface, therefore as humidity deficit increases Medlyn simulates higher  $c_i$   
636 leading to higher rate of transpiration and LE compared to Jacobs (Fig. S5).

637 Thermal acclimation of photosynthesis leads to widespread increases in GPP by 2050 (Fig. 7g). This amounts to  
638 10% in the tropical forests, up to 30% in northern temperate and boreal regions, and up to 40% in south-east Asia.  
639 In this long-term climate change scenario, with large increases in mean annual temperature (Fig. S4), the impact  
640 of thermal acclimation on GPP can clearly be seen. The flexibility in  $T_{optV}$ ,  $T_{optJ}$  and the  $J_{max}:V_{cmax}$  ratio of  
641 photosynthesis that thermal acclimation allows through letting these parameters move with the prevailing  $T_{growth}$ ,  
642 allows for higher rates of photosynthesis and therefore GPP as temperatures increase. By contrast, in simulations  
643 where photosynthetic rates are controlled by fixed temperature sensitivities, vegetation may have moved past its  
644 thermal optimum. Time series of the area-weighted mean annual GPP show that in this simulation, across the  
645 tropical region, thermal acclimation enhances GPP by  $\sim 7.5$  PgC compared to no acclimation (Fig. 8a). In the  
646 temperate region and sub-tropics thermal acclimation increases GPP by  $\sim 1$  PgC by 2050 (Fig. 8b and d), and in  
647 the boreal region GPP is enhanced by  $\sim 0.4$  PgC (Fig. 8c). Thermal acclimation of photosynthesis also has a large  
648 impact on simulated energy fluxes, most notably in the northern temperate region, where LE is increased by up  
649 to 50 to 60% (decreased H up to 40 to 50%) (Fig. 7h & i).

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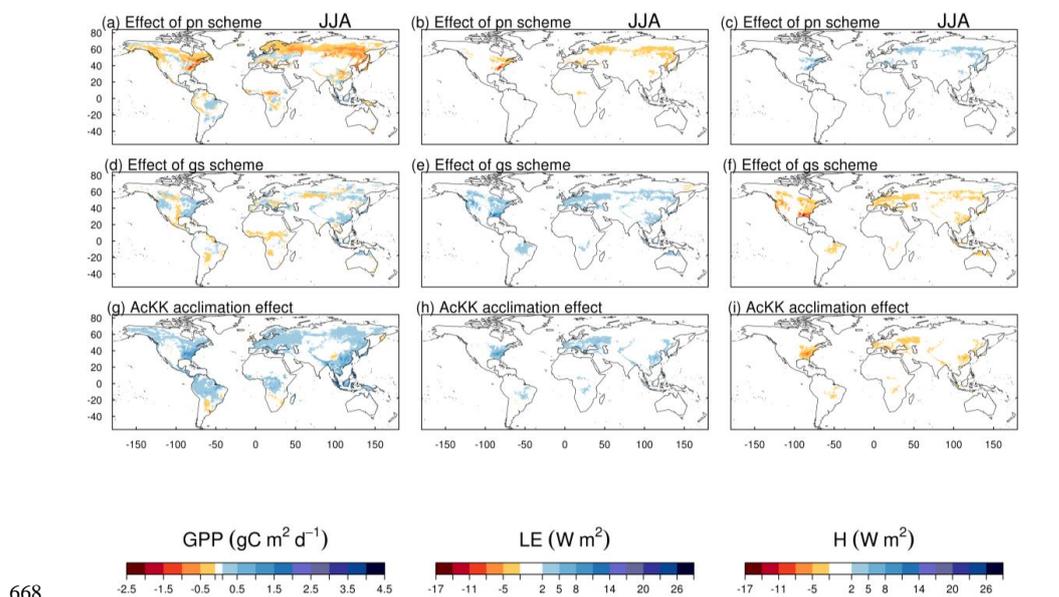
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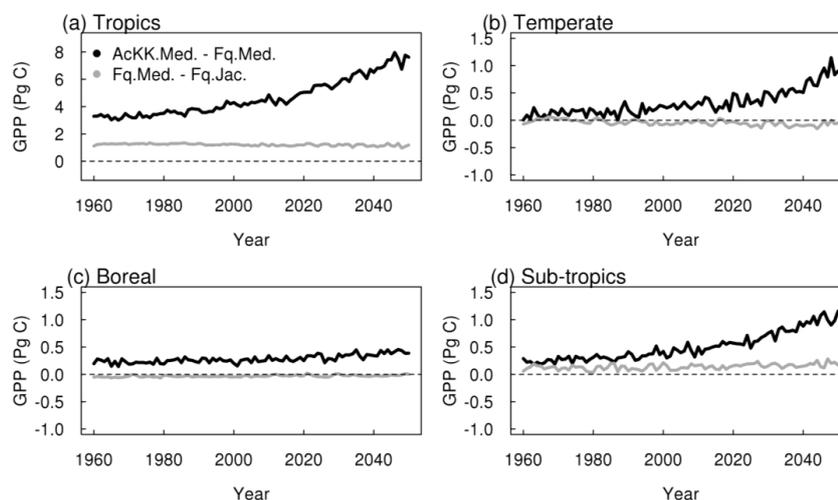
663 **Figure 7.** The difference of difference approach (Equation 12) to determine the impact on GPP ( $\text{g C m}^{-2} \text{ day}^{-1}$ ), LE  
 664 ( $\text{W m}^{-2}$ ) and H ( $\text{W m}^{-2}$ ) of the individual changes to each JULES model configuration over the course of the  
 665 simulation (1980 to 2050) in June-July-August (JJA). For example, the AcKK.Med acclimation effect is  
 666 calculated from Fig. S12 AcKK.Med – Fq.Med, the effect of the Medlyn  $g_s$  scheme is calculated from Fig. S12  
 667 Fq.Med – Fq.Jac, and the effect of the photosynthesis scheme is calculated from Fig. S12 Fq.Jac – Clz.Jac.



668

669

670 **Figure 8.** Time series of the regional mean acclimation effect i.e. AcKK.Med – Fq.Med (black), and the effect of  
 671 the Medlyn  $g_s$  model i.e. Fq.Med – Fq.Jac (grey).



672



673 **5. Discussion**

674 Photosynthesis and  $g_s$  are central to the estimate of carbon and water fluxes in LSMs, and when coupled in ESMs  
675 these processes feed-back onto the climate system to influence predictions of future climate change. Therefore  
676 improving the representation of these processes in LSMs is important, and previous studies have identified thermal  
677 acclimation of photosynthesis as a key missing process (Booth et al., 2012).

678

679 **5.1 Performance of the new JULES plant physiology model configurations: Thermal acclimation**

680 Our results show that including thermal acclimation of photosynthesis in the JULES model improves simulated  
681 carbon and water fluxes in several key areas for the recent contemporary period. Firstly, the seasonal mean  
682 estimates of global GPP show that in most seasons (JJA, MAM and DJF) thermal acclimation of photosynthesis  
683 with Medlyn  $g_s$  (AcKK.Med) predicts GPP in closer agreement with estimates from FluxCom compared to the  
684 traditional ‘standard’ JULES configuration of Collatz photosynthesis with Jacobs  $g_s$  (Clz.Jac). Secondly, thermal  
685 acclimation with Medlyn  $g_s$  improves the simulation of GPP (reduces GPP) in the tropical forests in JJA and DJF  
686 (i.e. the Amazon basin and central African rainforest region) and is in closest agreement with estimates of GPP  
687 from both FluxCom and MOD17 for these regions. Thirdly, in the high northern latitude forests dominated by  
688 evergreen needleleaved trees, thermal acclimation increases GPP in JJA and is again in closest agreement with  
689 the observational estimates. Finally, in JJA, AcKK.Med improves the simulation of ET across a large area of the  
690 temperate north and boreal regions.

691 Our evaluation therefore suggests that fixed, PFT-specific temperature dependencies for  $V_{cmax}$  (and  $J_{max}$ ) do not  
692 accurately simulate GPP for the tropical tree and evergreen needleleaf tree PFTs for the present-day in the JULES  
693 model. Thermal acclimation allows the temperature sensitivity of photosynthesis to adjust to the local temperature  
694 environment through flexibility in  $T_{optV}$ ,  $T_{optJ}$  and the  $J_{max} \cdot V_{cmax}$  ratio. In the tropical forests, for example, GPP is  
695 over-estimated by both Clz.Jac and Fq.Jac. The configuration with thermal acclimation reduces GPP compared to  
696 both these model configurations. From the leaf-level plots in Fig. S1a, the fixed  $T_{opt}$  of photosynthesis in the  
697 Collatz scheme is  $\sim 33^\circ\text{C}$  and in Farquhar is  $\sim 34^\circ\text{C}$ . This is higher than observations from Fig. 1a of Kumarathunge  
698 et al. (2019b), where the  $T_{opt}$  for net leaf photosynthesis lies between  $\sim 29$  to  $32^\circ\text{C}$ , and other studies also show a  
699 lower  $T_{opt}$  for photosynthesis of around  $30^\circ\text{C}$  for mature tropical trees (Hernández et al., 2020; Mau et al., 2018).  
700 This supports our results, and suggests the fixed temperature sensitivity of photosynthesis for tropical trees in the  
701 JULES model results in a  $T_{opt}$  of photosynthesis that is too high for current-day. Thermal acclimation results in a  
702 more realistic  $T_{opt}$  of photosynthesis for tropical trees because it is influenced by actual growth temperature and  
703 so can adjust to local environmental conditions.

704 Under the climate change scenario used in this study, thermal acclimation shows a sustained positive acclimation  
705 effect in all regions, increasing GPP in response to long-term warming (although this is less pronounced in the  
706 boreal region). By 2050 GPP was  $\sim 10\%$  higher with thermal acclimation in the tropical forests, up to 30 to 40%  
707 higher across a large area of the northern hemisphere. Our findings broadly agree with Mercado et al. (2018), who  
708 implemented the Kattge and Knorr (2007) thermal acclimation scheme into JULES running as part of a coupled  
709 climate-carbon model, and found that thermal acclimation increased land carbon storage in tropical and temperate  
710 regions. This is in contrast to Lombardozi et al. (2015) and Smith et al. (2016) whose studies both found a



711 negative impact of photosynthetic thermal acclimation in the tropics, again using the Kattge and Knorr  
712 (2007) thermal acclimation scheme. Mercado et al. (2018) attribute these differences to the method used to  
713 implement acclimation of the  $J_{max}:V_{cmax}$  ratio at 25°C, that is either reducing  $J_{max}$  alone as in the case of the latter  
714 two studies, or by decreasing  $J_{max}$  and increasing  $V_{cmax}$  simultaneously whilst keeping the total amount of leaf  
715 nitrogen the same as used in the present study and in Mercado et al. (2018). The simulated response of thermal  
716 acclimation therefore appears to be sensitive to this subtlety in the parameterisation of the acclimation schemes  
717 and warrants further investigation. Yet a clear understanding of what drives the change in the  $J_{max}:V_{cmax}$  ratio in  
718 response to  $T_{growth}$  is still lacking. More recent results from the analysis by Kumarathunge et al. (2019b) highlight  
719 the difficulty in pinning down what drives this process. They found that the  $J_{max}:V_{cmax}$  ratio responded strongly  
720 and consistently to  $T_{growth}$ , but whether that was achieved by increasing  $V_{cmax}$ , decreasing  $J_{max}$  or both was highly  
721 variable.

722 The behaviour of the thermal acclimation scheme in JULES in response to long term warming implies unlimited  
723 thermal resilience of vegetation, but how realistic is this? Observational studies suggest temperate tree species  
724 have sufficient capacity to acclimate to rising temperatures e.g. (Drake et al., 2015; Reich et al., 2018; Sendall et  
725 al., 2015), although large inter-specific variability in thermal tolerance is identified in co-occurring temperate tree  
726 species (Guha et al., 2018). Studies exploring thermal acclimation of photosynthesis for grasslands and C<sub>3</sub>  
727 herbaceous vegetation are more limited. For boreal tree species, experimental studies suggest high variability  
728 between species with respect to photosynthetic acclimation responses to increasing temperatures, for example,  
729 there is an increasing body of work suggesting that the evergreen boreal conifer species *Picea* might be particularly  
730 vulnerable to warming (Benomar et al., 2017; Dusenge et al., 2020; Kroner and Way, 2016; Kurepin et al., 2018;  
731 Way and Sage, 2008; Zhang et al., 2015). The three year open-air warming experiment of Reich et al. (2018)  
732 showed that for 11 temperate and boreal tree species studied, warming increased photosynthesis in most species  
733 on wet soils, but not in drier conditions. Further, under moist soil conditions, all deciduous species showed an  
734 acclimation response to increased temperatures, however, the two boreal evergreen species, *Abies* and *Picea*,  
735 showed no thermal acclimation response at any soil moisture concentration. It is generally thought that evergreen  
736 species have a reduced capacity to acclimate growth and photosynthesis to warming compared to deciduous tree  
737 species (Dusenge et al., 2020; Way and Yamori, 2014). Therefore, the response of boreal forest ecosystems to  
738 warming will depend on species composition given the varied acclimation capacities shown and lower diversity  
739 of boreal forests, and, as Reich et al. (2018) highlight, also on interaction with other climate changes such as  
740 precipitation. In contrast to temperate and boreal forests, tropical forests are thought to be more susceptible to  
741 climate change, having evolved under relatively narrow temperature regimes, and experiencing less seasonal and  
742 day-to-day variation in temperature changes (Cunningham and Read, 2003). As a consequence, an increasing  
743 number of studies show that tropical trees have less capacity to physiologically acclimate photosynthesis to  
744 increasing temperatures (Carter et al., 2021; Dusenge et al., 2021; Mau et al., 2018; Miller et al., 2021; Vårhammar  
745 et al., 2015). Other studies have determined high temperature threshold responses of photosynthesis, indicating  
746 an ability of tropical trees to acclimate to moderate warming, but more severe warming decreases carbon gain  
747 (Doughty and Goulden, 2008; Pau et al., 2018; Slot and Winter, 2017; Sullivan et al., 2020). In two tropical  
748 understorey species acclimation of the  $T_{opt}$  of photosynthesis was observed in the early successional species,  
749 whereas no acclimation capacity was shown by the mid-successional species (Carter et al., 2020). Our study  
750 demonstrates a large positive impact of thermal acclimation on GPP in tropical forests. However a notable



751 uncertainty in the parameterisation is that the dataset used in the Kattge and Knorr (2007) scheme to construct the  
752 empirical relationships is heavily weighted towards temperate species, including only two boreal species and no  
753 tropical species (Kattge and Knorr, 2007). There is a significant gap in understanding tropical forest responses to  
754 increasing temperature. Observational studies are starting to address this gap, but this increasing knowledge is yet  
755 to be incorporated into models. Therefore, whilst results from this study demonstrate the importance of thermal  
756 acclimation of photosynthesis on simulation of the future global carbon cycle, they should be interpreted with  
757 some caution. The varied results from experimental studies highlights the research needed to further understand  
758 thermal acclimation responses in a variety of ecosystems, over different timescales, and from leaf-level through  
759 to canopy, and finally to translate that understanding so it is amenable to incorporation into ESMs.

## 760 **5.2 Performance of the new JULES plant physiology model configurations: Medlyn $g_s$**

761 In this study, the Medlyn  $g_s$  model had the biggest impact on surface energy fluxes simulated by the  $C_3$  grass PFT  
762 and needleleaf evergreen tree PFT in JULES. This reflects a change to the water-use strategy of these PFTs as  
763 reported by Lin et al. (2015) that is not currently captured by parameterisations in the JULES Jacobs model. Global  
764 simulations with the Medlyn scheme for the recent contemporary period simulated a ~10% decrease in LE  
765 (increased H) across the high northern latitudes dominated by the NET PFT compared to the standard JULES  
766 Jacobs  $g_s$  scheme. The future climate change experiment showed a large response across the temperate region  
767 dominated by the  $C_3$  PFT, where LE increased by ~30% (H decreased) with Medlyn. Our study for current-day  
768 is in agreement with De Kauwe et al. (2015) who found a large impact of the Medlyn model on transpiration  
769 fluxes in needle leaved evergreen trees (~30% reduction) in the CABLE LSM. Coupled simulations using CABLE  
770 within the Australian Community Climate and Earth Systems Simulator (ACCESSv1.3b) showed that the Medlyn  
771  $g_s$  scheme reduced the LE flux from the land surface over the boreal forests during JJA by 0.5–1.0 mm day<sup>-1</sup>,  
772 leading to warmer daily maximum and minimum temperatures by up to 1.0°C and warmer extreme maximum  
773 temperatures by up to 1.5°C (Kala et al., 2015). In future simulations, this new parameterisation of the stomatal  
774 scheme in ACCESS1.3 substantially increased the intensity of future heatwaves across Northern Eurasia (Kala et  
775 al., 2016).

## 776 **5.3 Implications for land-atmosphere feedbacks**

777 Modifying the leaf-level stomatal behaviour in JULES impacts the simulated surface energy fluxes. In our study,  
778 a change of stomatal opening results from either a direct change in the parameterisation of  $g_s$  or through altered  
779 stomatal behaviour in response to temperature. In our offline climate change simulation, thermal acclimation  
780 increased stomatal opening in response to long term warming, and in some regions this increased the rate of  
781 transpiration and evaporative cooling, and decreased the sensible heat flux. When coupled to an atmospheric  
782 model, such behaviours have potential to feed-back on the land surface via changes in temperature, cloud cover  
783 and precipitation, as for example modelled by De Arellano et al. (2012); Kala et al. (2015); Kala et al. (2016);  
784 Kooperman et al. (2018); Zeng et al. (2017). The extent and amplitude of acclimation-induced perturbations to  
785 surface energy fluxes in our offline simulation suggests a potential impact on regional scale circulations, for  
786 example across the East Asian monsoon region. The impact of these changes to the plant physiology routines in  
787 JULES on land-atmosphere feedbacks will be investigated in future work through coupled simulations in the  
788 HadGEM global climate model.



#### 789 **5.4 Limitations of this study**

790 Across all latitudes, the changes introduced to JULES by the new plant physiology routines did not degrade the  
791 performance of JULES. All model configurations compared reasonably well to the FluxCom and MOD17 GPP  
792 products, and FluxCom and GLEAM ET products, given that there are also uncertainties inherent in estimates  
793 from these products. For example, the satellite-based products of GPP have recently been shown to incorrectly  
794 capture the response of photosynthesis to CO<sub>2</sub>, which means they potentially underestimate the response of GPP  
795 to rising atmospheric CO<sub>2</sub> (Keenan et al., 2021). Nevertheless, some notable biases in the model were identified  
796 that were common to all JULES model configurations, for example the over-prediction of GPP and ET in the  
797 temperate and boreal region in SON, and the over-prediction of both fluxes in MAM in the southern tropics (0 to  
798 -20°S). Potential sources of error to consider may be the use of a prescribed climatology of MODIS based LAI,  
799 which some studies have reported to be inaccurate over forested areas (Shabanov et al., 2005). Other processes  
800 currently missing in the model may also contribute to these large biases, such as a lack of seasonality in  
801 photosynthetic capacity (i.e.  $V_{cmax}$  and  $J_{max}$ ) which has been demonstrated for many different forest species, and  
802 without which likely causes over-estimation of forest carbon exchange (Croft et al., 2017; Wilson K.B et al.,  
803 2001).

804 More generally, this study revealed limited data to inform the temperature sensitivity response functions of  
805 different PFTs for implementation into LSMs. We found only a few datasets for C<sub>3</sub> grass/herbaceous vegetation  
806 (e.g. Wohlfahrt *et al.*, (1999) and Joseph *et al.*, (2014)) which represents only limited geographical coverage.  
807 Consequently, we fitted the temperature response function for this PFT in the Farquhar scheme to that of the  
808 existing function in the JULES Collatz photosynthesis scheme. We also encountered an issue regarding  
809 uncertainty about the temperature response functions at low temperatures. The data-led functions we implemented  
810 for all PFTs (with the exception of the C3 PFT) from Kumarathunge et al. (2019b) showed higher rates of leaf-  
811 level photosynthesis at low leaf temperatures compared to the existing functions in the JULES Collatz scheme,  
812 where photosynthesis was much lower and goes to zero at 0 °C for most PFTs (see PFT leaf-level temperature  
813 sensitivity curves for gross photosynthesis in Fig. S1). In our simulations this led to higher GPP in DJF when  
814 using the Farquhar scheme, which increased biases with respect to FluxCom and MOD17 global estimates of  
815 GPP. It is desirable to use the temperature response functions from Kumarathunge et al. (2019b) as these are  
816 entirely data-led. However for some PFTs the resulting behaviour of photosynthesis at very low temperatures  
817 looks potentially unrealistic, and the question here is how well constrained by observations are the temperature  
818 sensitivity curves at low temperatures? For global modelling applications, understanding the response of  
819 photosynthesis to temperature over a wide temperature range is essential, including at low temperatures as well  
820 as around the  $T_{opt}$  of photosynthesis for different species and PFTs. Additionally, increasing the understanding  
821 and data availability of the temperature sensitivity of different species from different biomes will allow greater  
822 representation within LSMs of the variation that exists across the globe.

#### 823 **5.5 Conclusions**

824 Here we introduce new representations of plant physiological processes into the JULES model, building enhanced  
825 capability, and allowing stronger links between model and field studies. This work a) introduces updated  
826 understanding of plant physiological processes into JULES, b) increases the flexibility of the modelling capacity



827 within JULES by allowing use of two alternative photosynthesis and  $g_s$  schemes, in addition to thermal  
828 acclimation of photosynthesis, and c) provides new parameters that are entirely based on large observational  
829 datasets. Testing and evaluation at site-level and globally show some key improvements are made to the JULES  
830 model. Thermal acclimation of photosynthesis coupled with the optimality-based  $g_s$  scheme led to improved  
831 simulated carbon fluxes across much of the tropics for the present-day. With about 40% of the world's vegetation  
832 carbon residing in tropical forests, they play a crucial role in regulating both regional and global climate through  
833 water and carbon cycle dynamics (Erb et al., 2018; Pan et al., 2011). Therefore, accurate representation of tropical  
834 carbon fluxes within LSMs is important. Thermal acclimation and the optimality-based  $g_s$  scheme also improved  
835 simulated carbon fluxes in the high northern latitude forests in the northern hemisphere summer, and the same  
836 model configuration also improved simulated water fluxes across much of this region in the same season. The  
837 optimality-based Medlyn  $g_s$  scheme reduced the LE flux substantially across the northern boreal forests in JJA.  
838 This change reflects a more conservative water-use strategy for the needleleaf evergreen tree PFT that dominates  
839 in this region as suggested by the global synthesis of experimental data from Lin et al. (2015). The current JULES  
840 Jacobs scheme parameterisation does not accurately capture the water-use strategy of this PFT. Our future climate  
841 experiment highlights the impact of thermal acclimation on simulating carbon cycle dynamics and energy fluxes  
842 in response to long-term warming. The potential impact of this altered stomatal behaviour on land-atmosphere  
843 feedbacks via changes in surface energy fluxes will be examined in future coupled simulations.

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#### 845 **Code/Data availability**

846 JULES-vn5.6 was used for all simulations. The JULES model code and suites used to run the model are available  
847 from the Met Office Science Repository Service (MOSRS). Registration is required and code is freely available  
848 to anyone for non-commercial use (see here for details of licensing <https://jules.jchmr.org/content/code>). Visit the  
849 JULES website (<https://jules.jchmr.org/content/getting-started>) to register for a MOSRS account. The results  
850 presented in this paper were obtained by running JULES from the following branch:  
851 [https://code.metoffice.gov.uk/trac/jules/browser/main/branches/dev/douglasclark/vn5.6\\_acclimation@16578](https://code.metoffice.gov.uk/trac/jules/browser/main/branches/dev/douglasclark/vn5.6_acclimation@16578).  
852 This is a development branch of JULES-vn5.6 to include thermal acclimation of photosynthesis as described in  
853 this paper. This branch can be accessed and downloaded from the Met Office Science Repository Service once  
854 the user has registered for an account, as outlined above. Documentation for the JULES model is located here:  
855 <https://jules-lsm.github.io/vn5.6/>. Output data from the model simulations, and R scripts to produce the plots in  
856 the paper are provided at (<https://doi.org/10.5281/zenodo.5825540>). Site-level simulations used the rose suite u-  
857 br064 (<https://code.metoffice.gov.uk/trac/roses-u/browser/b/r/0/6/4/> at revision 146216) which is a copy of the u-  
858 al752 JULES suite for FLUXNET 2015 and LBA sites described here  
859 <https://code.metoffice.gov.uk/trac/jules/wiki/FluxnetandLbaSites>, and downloaded from here  
860 <https://code.metoffice.gov.uk/trac/roses-u/browser/a/1/7/5/2/> at revision 145397). The global simulations used  
861 JULES rose suite u-bq898 (<https://code.metoffice.gov.uk/trac/roses-u/browser/b/q/8/9/8/> at revision 181188)  
862 which uses the Global Land configuration 7.1 (Wiltshire et al., 2020). Suites can be downloaded from MOSRS  
863 once the user has registered for an account.

864

#### 865 **Competing Interests**



866 The authors declare no competing interests.

#### 867 **Author Contributions**

868 RJO performed simulations and analysis and wrote the first version of the manuscript. DBC, LMM and RJO  
869 developed the model. PLV, PCM and MT provided data for the future climate runs, help with developing the  
870 JULES suites, and general expertise. CH assisted with analysis. SF and SS provided ancillary data for forcing the  
871 model. LMM, CMT, CH, PLV, BEM, PCM and MT contributed to editing the manuscript. All authors contributed  
872 to discussions throughout to develop the work.

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874

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