

1 **Improved representation of plant physiology in the JULES-**  
2 **vn5.6 land surface model: Photosynthesis, stomatal**  
3 **conductance and thermal acclimation**

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29 **Abstract.**

30 Carbon and water cycle dynamics of vegetation are controlled primarily by photosynthesis and stomatal  
31 conductance ( $g_s$ ). Our goal is to improve the representation of these key physiological processes within the JULES  
32 land surface model, with a particular focus on refining the temperature sensitivity of photosynthesis, impacting  
33 modelled carbon, energy and water fluxes. We test (1) an implementation of the Farquhar et al. (1980)  
34 photosynthesis scheme and associated plant functional type-dependent photosynthetic temperature response  
35 functions, (2) the optimality-based  $g_s$  scheme from Medlyn et al. (2011), and (3) the Kattge and Knorr (2007)  
36 photosynthetic capacity thermal acclimation scheme. New parameters for each model configuration are adopted  
37 from recent large observational datasets that synthesise global experimental data. These developments to JULES  
38 incorporate current physiological understanding of vegetation behaviour into the model, and enable users to derive  
39 direct links between model parameters and on-going measurement campaigns that refine such parameter values.  
40 Replacement of the original Collatz *et al.* (1991)  $C_3$  photosynthesis model with the Farquhar scheme results in  
41 large changes in GPP for current-day, with ~10% reduction in seasonal (June-August; JJA and December-  
42 February; DJF) mean GPP in tropical forests, and ~20% increase in the northern high latitude forests in JJA. The  
43 optimality-based  $g_s$  model decreases the latent heat flux for the present-day (~10%, with an associated increase in  
44 sensible heat flux) across regions dominated by needleleaf evergreen forest in the northern hemisphere summer.  
45 Thermal acclimation of photosynthesis coupled with the Medlyn  $g_s$  scheme reduced tropical forest GPP by up to  
46 5%, and increased GPP in the high northern latitude forests by between 2 to 5%. Evaluation of simulated carbon  
47 and water fluxes by each model configuration against global data products show this latter configuration generates  
48 improvements in these key areas. Thermal acclimation of photosynthesis coupled with the Medlyn  $g_s$  scheme  
49 improved modelled carbon fluxes in tropical and high northern latitude forests in JJA, and improved the simulation  
50 of evapotranspiration across much of the northern hemisphere in JJA. Having established good model  
51 performance for the contemporary period, we force this new version of JULES offline with a future climate  
52 scenario corresponding to rising atmospheric greenhouse gases (SSP5 RCP8.5). In particular, these calculations  
53 allow understanding of the effects of long-term warming. We find that the impact of thermal acclimation coupled  
54 with the optimality-based  $g_s$  model on simulated fluxes increases latent heat flux (+50%) by year 2050 compared  
55 to the JULES model configuration without acclimation. This new JULES configuration also projects increased  
56 GPP across tropical (+10%) and northern latitude regions (+30%) by 2050. We conclude that thermal acclimation  
57 of photosynthesis with the Farquhar photosynthesis scheme and the new optimality-based  $g_s$  scheme together  
58 improve the simulation of carbon and water fluxes for current-day, and has a large impact on modelled future  
59 carbon cycle dynamics in a warming world.

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65 **1. Introduction**

66 Photosynthesis and stomatal conductance ( $g_s$ ) together exert a strong control over the exchange of carbon, water  
67 and energy between the land surface and the atmosphere. The behaviour of stomatal pores on the leaf surface link  
68 these processes, controlling the amount of carbon dioxide ( $\text{CO}_2$ ) entering, and water leaving each leaf.  
69 Photosynthesis represents the largest exchange of carbon between the land and atmosphere (Friedlingstein et al.,  
70 2020), being more substantial than respiration loss. This imbalance is central to the global carbon cycle because  
71 it slows the rate of accumulation of  $\text{CO}_2$  in the atmosphere caused by fossil fuel burning, and therefore also lowers  
72 the rate of atmospheric temperature increase. As stomata open to take up  $\text{CO}_2$  for photosynthesis, plants also lose  
73 water through transpiration, and this flux has been estimated to account for 60–80% of evapotranspiration (ET)  
74 across the land surface (Jasechko et al., 2013; Schlesinger and Jasechko, 2014). Hence, for vegetated surfaces,  
75 transpiration is the primary driver of the latent heat flux (LE), the latter describing the overall transfer of water  
76 vapour to the atmosphere. The partitioning of available net radiation between LE and sensible heat (H) is also a  
77 key determinant of land surface temperature, therefore having a feedback on photosynthesis and other key  
78 metabolic processes that influence the global carbon cycle such as plant respiration.

79 Land surface models (LSMs) simulate the exchange of carbon, water and energy between the land surface and the  
80 atmosphere, providing the lower boundary conditions for the atmospheric component of Earth System Models  
81 (ESMs) when run in a coupled configuration. ESM projections form the main tool to predict future climate change  
82 and underpin much of the regular United Nations Intergovernmental Panel on Climate Change (IPCC) reports that  
83 inform policymakers. However, ESM predictions of the global carbon sink are fraught with large uncertainties  
84 surrounding projections of future carbon uptake (Friedlingstein et al., 2014), causing uncertainty in any translation  
85 from  $\text{CO}_2$  emissions to atmospheric  $\text{CO}_2$  trajectory. A lack of knowledge in how the global carbon cycle operates  
86 creates uncertainties in translating from emissions to global warming, and these uncertainties are a sizeable  
87 fraction of those associated with unknowns of physical climate processes (Huntingford et al., 2009). Therefore,  
88 given the critical role of both photosynthesis and  $g_s$  in determining land-atmosphere exchanges, their accurate  
89 representation and parameterisation in LSMs is of paramount importance. Booth et al. (2012) show that a  
90 significant uncertainty is the temperature sensitivity of photosynthesis, and suggest that thermal acclimation of  
91 photosynthesis – where plants adjust their optimum temperature for photosynthesis to growth conditions  
92 experienced over the timescale of days to weeks - might reduce the spread in modelled carbon exchange. Yet  
93 despite strong evidence of the thermal acclimation capability of plant photosynthesis (Dusenge et al., 2020; Slot  
94 et al., 2021; Way et al., 2017; Way and Yamori, 2014; Yamaguchi et al., 2016), incorporation of this process in  
95 large-scale LSMs is limited to only a few e.g. TEM (Chen and Zhuang, 2013), CLM4.5 (Lombardozzi et al.,  
96 2015), LM3 (Smith et al., 2016), JULES (Mercado et al., 2018), ORCHIDEE (Krinner et al., 2005) and BETHY  
97 (Ziehn et al., 2011), and is not yet commonly represented in ESMs. Currently, the majority of LSMs and ESMs  
98 use simple fixed (i.e. non-acclimating) temperature response functions for photosynthetic capacity parameters  
99 (Smith and Dukes, 2013), which, in general, cause the rate of leaf photosynthesis to increase with temperature to  
100 an optimum and then decrease under higher temperatures. These functional forms are either generic for all  $\text{C}_3/\text{C}_4$   
101 species and fixed in time and space, or are dependent on a small number of plant functional types (PFTs) but again  
102 fixed in time and space. Consequently, climate-carbon feedbacks in ESMs are sensitive to the assumed value of  
103 the fixed optimum temperature for photosynthetic capacity ( $T_{opt}$ ), because the amount of carbon assimilated

104 depends on whether leaf temperature is dominantly above or below  $T_{opt}$ . Improved process representation of  $g_s$ ,  
105 photosynthesis, and its temperature sensitivity in LSMs is necessary to support robust predictions of global climate  
106 change via their coupling into ESMs. Modelling studies have shown how photosynthesis and  $g_s$  impact climate  
107 feedbacks, play a critical role in how climate will change, and strongly influence climate-induced impacts such as  
108 water resources (Betts et al., 2007; Cruz et al., 2010; De Arellano et al., 2012; Gedney et al., 2006; Kooperman et  
109 al., 2018; Zeng et al., 2017).

110 This study, therefore, updates the plant physiology routines in the Joint UK Land Surface Environment Simulator  
111 (JULES-vn5.6) LSM, the land-surface component of the UK Hadley Centre ESM (Sellar et al., 2019). To date,  
112 JULES has employed the mechanistic  $C_3$  photosynthesis scheme of Collatz et al. (1991) (“Collatz”). However,  
113 the Farquhar et al. (1980) (“Farquhar”) scheme is more generally adopted by those modelling photosynthetic  
114 response and by researchers analysing data from empirical studies. The Farquhar scheme has been recently  
115 implemented in JULES by Mercado et al. (2018) for  $C_3$  plant types, albeit using a big leaf canopy scaling approach  
116 and was not parameterised and evaluated for global applications. Here we build on that previous study by using a  
117 data-driven approach incorporating data from multiple biomes to parameterise the Farquhar model photosynthetic  
118 capacity parameters and their temperature sensitivity so it is amenable for use in global studies. Our specific  
119 rationale for including the Farquhar photosynthesis scheme is twofold. Firstly, studies by Rogers et al. (2017) and  
120 Walker et al. (2021) demonstrate that despite only the Collatz or Farquhar descriptions of leaf photosynthesis  
121 being in general use, simulated photosynthesis varies significantly between LSMs. This variation is attributed to  
122 several factors, including 1) differences in prescribed Rubisco kinetic constants and their temperature responses  
123 (Rogers et al., 2017), 2) structural differences, namely the method used to determine the transition point between  
124 the limiting rates of photosynthesis which has a disproportionate impact on estimates (Huntingford and Oliver,  
125 2021; Walker et al., 2021) , and 3) the sensitivity of photosynthesis to temperature, in terms of the under-  
126 representation of parameters from different biomes to describe the short-term instantaneous response of  
127 photosynthesis to temperature (Rogers et al., 2017). In particular, these differences imply that parameter values  
128 derived calibrating the Collatz model against data will differ to those derived using Farquhar against the same set  
129 of measurements. Parameter values are not transferable between models, hence such differences will lead to  
130 inconsistencies and projection errors if parameters are fitted to data, but then applied within the alternative model.  
131 Building in the capacity of an LSM to run with either photosynthesis scheme greatly enhances flexibility in  
132 modelling. Importantly, this flexibility allows for consistency between parameters used by empiricists to derive  
133 leaf level photosynthetic parameters from observations, and those used in large scale modelling. Additionally, our  
134 re-parameterisation of the photosynthetic capacity and temperature sensitivity parameters are based on recent  
135 global datasets that are more extensive, including species from a range of different biomes, further enhancing the  
136 capacity for global modelling applications. Our second rationale is that the Farquhar photosynthesis scheme is  
137 required as the underlying model to implement the Kattge and Knorr (2007) thermal acclimation scheme.

138 Leaf level  $g_s$  response to water vapour is commonly represented in LSMs empirically (Jarvis et al., 1976), or with  
139 a semi-empirical model (Ball et al., 1987; Damour et al., 2010; Leuning, 1995). Values of  $g_s$  are subsequently  
140 scaled yielding an estimate of canopy conductance for vegetation in different ecosystems. De Kauwe et al. (2013)  
141 showed that 10 of the 11 ecosystem models studied in their inter-comparison used a form of the “Ball–Berry–  
142 Leuning” approximation. This model form links  $g_s$  to changes in environmental conditions, and directly to

143 photosynthetic rate. However, there is increasing interest in using models based on optimisation theory (Franks et  
144 al., 2017; Franks et al., 2018), using evidence that stomata may behave to maximise CO<sub>2</sub> gain whilst minimising  
145 water loss. The major advantage of optimality theory is that the optimisation criterion will apply under any  
146 environmental conditions, past or future. Hence the derived equations can replace uncertain mechanistic  
147 formulations and may also have more predictive capability corresponding to future climate regimes. JULES  
148 traditionally uses the empirically-based Jacobs (1994)  $g_s$  scheme (“Jacobs”), and in this study we compare the  
149 behaviour of this scheme against the Medlyn et al. (2011)  $g_s$  scheme (“Medlyn”) which is based on optimisation  
150 theory. The Medlyn  $g_s$  model has been previously implemented in JULES by Oliver et al. (2018). However, in  
151 this study, we advance on that previous work by calibrating for the increased number of plant functional types  
152 now in JULES (nine PFTs, as opposed to five in the original study), and we parameterise using data from a global  
153 synthesis of experimental observations.

154 There is increasing evidence that the short-term vegetation temperature responses are themselves sensitive to  
155 temperatures experienced over longer time-scales (days to weeks to seasons) and in particular, have the capability  
156 to acclimate to growth temperature ( $T_{growth}$ ) (Kattge and Knorr, 2007). Observational evidence of thermal  
157 acclimation of photosynthesis has been widely reported, primarily for temperate and boreal ecosystems (Atkin et  
158 al., 2006; Gunderson et al., 2000; Gunderson et al., 2010; Hikosaka et al., 2007; Way and Yamori, 2014; Yamori  
159 et al., 2014). The effect is defined as the fast temporal adjustment of the temperature response of photosynthesis  
160 driven by a change in  $T_{growth}$ . Thermal acclimation of photosynthesis typically results in a shift in the optimum  
161 temperature ( $T_{opt}$ ) for photosynthesis towards the new growth temperature, which can result in an increase or  
162 maintenance of the photosynthetic rate respective to  $T_{growth}$  (Yamori et al., 2014). In this study, we implement  
163 thermal acclimation of photosynthetic capacity in JULES using the scheme from Kattge and Knorr (2007). The  
164 scheme attributes all changes in the photosynthetic response to changing  $T_{growth}$ , without specifically separating  
165 adaptation from acclimation processes. Of those LSMs that do account for thermal acclimation of photosynthesis  
166 (e.g. TEM, CLM4.5, LM3, JULES) (Chen and Zhuang, 2013; Lombardozzi et al., 2015; Mercado et al., 2018;  
167 Smith et al., 2016), all similarly use this numerical algorithm from Kattge and Knorr (2007). Mercado et al. (2018)  
168 investigated the impacts of thermal acclimation on the future land carbon sink using an implementation of the  
169 Kattge and Knorr (2007) in JULES, although using a simple big leaf scaling approach. In this study we apply the  
170 thermal acclimation scheme in the updated JULES model (i.e. newly parameterised Farquhar scheme, running  
171 with a multi-layer canopy and nine PFTs) and updated with the Medlyn  $g_s$  scheme and related parameters.

172 This paper therefore brings together these three key recent developments of the JULES plant physiology routines,  
173 (1) implementation of the Farquhar photosynthesis scheme, (2) the optimisation-based Medlyn model of stomatal  
174 opening, and (3) thermal acclimation of photosynthesis, along with updated parameters and an evaluation of model  
175 behaviour. We make incremental additions of the different processes to the JULES model in a set of factorial  
176 simulations and run the model with current day (1979 to 2013) near-surface meteorological forcing and CO<sub>2</sub>  
177 levels. First, we present the different factorial simulations in the context of a thorough evaluation of simulated  
178 contemporary carbon and energy fluxes. Such evaluation includes comparison against individual eddy covariance  
179 sites, and at spatial scales up to the global scale against satellite products. Timescales analysed are both seasonal  
180 and annual. Secondly, we apply the new model configurations within a past-to-future climate change simulation  
181 based on a high-end emissions scenario (SSP5 RCP8.5). We use output from HadGEM3-GC3.1 spanning years

182 1960 to 2050 to explore sensitivity of global vegetation to future climate change. This choice of scenario is to  
183 allow eventual comparison between these offline simulations and the equivalent in the coupled global climate  
184 model to investigate land-atmosphere feedbacks resulting from these changes to the plant physiology routines.  
185 This is currently work being undertaken. This updated version of the JULES model is now available in official  
186 JULES releases for use by the community (see data availability). It is therefore also readily available for full  
187 coupling into the UK community ESM (UKESM), a process that is just starting.

188

## 189 **2. Model description**

### 190 **2.1 JULES land surface model**

191 Our modelling framework is JULES (<https://jules.jchmr.org>), the land surface component of the Hadley Centre  
192 climate models, which includes the new UK community Earth System Model (UKESM1) (Sellar et al., 2019).  
193 JULES can be run offline, as in this study, forced with observed meteorology, at different spatial scales (from a  
194 single location to global). A full description of JULES is provided in Best et al. (2011), Clark et al. (2011) and  
195 Harper et al. (2016). Of particular relevance for this study is the plant physiological representation in JULES.  
196 JULES uses a leaf-level coupled model of photosynthesis and  $g_s$  (Cox et al., 1998) based on Collatz et al. (1991)  
197 and Collatz et al. (1992) (for  $C_3$  and  $C_4$  plants) and Jacobs (1994) respectively. Photosynthesis and  $g_s$  are modelled  
198 to respond to changes in environmental drivers of temperature, humidity deficit, light,  $CO_2$  concentration and  
199 water availability. Soil moisture content is modelled using a dimensionless soil water stress factor which is related  
200 to the mean soil water concentration in the root zone, and the soil water contents at the critical and wilting point  
201 (Best et al., 2011). The critical and wilting point soil moisture concentrations vary by soil type in these simulations.  
202 In this study, JULES uses a multilayer canopy radiation interception and photosynthesis scheme (i.e. 10 layers)  
203 that accounts for vertical variation of incoming direct and diffuse radiation, sun fleck penetration through the  
204 canopy, change in photosynthetic capacity with depth into the canopy, inhibition of leaf respiration in the light  
205 and differentiates calculation of sunlit and shaded photosynthesis at each layer (Clark et al., 2011; Mercado et al.,  
206 2009). The implementation of a multilayer canopy for light interception in JULES was shown to improve modelled  
207 canopy scale photosynthetic fluxes at eddy covariance sites compared to the ‘big leaf approach’ (Blyth et al.,  
208 2011; Jogireddy et al., 2006; Mercado et al., 2007). Specifically, the multi-layer approach better captured the light  
209 response and diurnal cycles of canopy photosynthesis. While light inhibition of leaf respiration and changing  
210 photosynthetic capacity with canopy depth are supported by observations (Atkin et al., 2000; Atkin et al., 1998;  
211 Meir et al., 2002). Sunfleck penetration through the canopy and the differential effects of direct and diffuse beam  
212 radiation on modelled carbon and water exchange in JULES were studied by Mercado et al. (2009). This enabled  
213 JULES to reproduce the different light-response curves of GPP under diffuse and direct radiation conditions at  
214 both a broadleaf and needleleaf temperate forest.

### 215 **2.2 Physiology Developments**

#### 216 **2.2.1 Farquhar photosynthesis for $C_3$ plants and parameterisation**

217 We implement the Farquhar photosynthesis scheme (Farquhar et al., 1980) to describe the leaf-level biochemistry  
218 of photosynthesis for  $C_3$  vegetation following the approach of Mercado et al. (2018). Here the leaf-level

219 photosynthesis is calculated as the minimum (note no smoothing) of two potentially limiting rates (Equation 1a).  
 220 These two rates are i) Rubisco-limited photosynthesis (Equation 2) and ii) light-limited photosynthesis with a  
 221 dependence on the incident photosynthetically active photon flux density and the potential electron transport rate  
 222 (Equations 3 and 4). Note, as in the original Farquhar formulation, we do not include a TPU-limited (triose  
 223 phosphate utilisation) rate. Further, recent empirical studies suggest that TPU limitation rarely limits  
 224 photosynthesis under present-day CO<sub>2</sub> concentrations and is also unlikely to limit photosynthesis at elevated CO<sub>2</sub>  
 225 (Kumarathunge et al., 2019a). This, and the current uncertainty in the formulation of TPU limitation of  
 226 photosynthesis led Rogers et al. (2021) to conclude it is an unnecessary complication in LSMs. Hence:

$$227 \quad A_p = \min\{A_v, A_j\} - R_d \quad (1a)$$

$$228 \quad A_n = A_p \beta \quad (1b)$$

229 where  $A_p$  is the net potential (i.e. unstressed) leaf photosynthetic carbon uptake (mol m<sup>2</sup> s<sup>-1</sup>),  $R_d$  is the rate of leaf  
 230 respiration in the dark (mol m<sup>2</sup> s<sup>-1</sup>),  $A_n$  is the net photosynthetic rate (mol m<sup>2</sup> s<sup>-1</sup>) which accounts for the impact of  
 231 soil moisture stress on photosynthetic rate by multiplying  $A_p$  by the soil water stress factor  $\beta$ . Rubisco-limited  
 232 photosynthesis ( $A_v$ , mol m<sup>2</sup> s<sup>-1</sup>) is calculated as in Equation 2. The maximum rate of carboxylation of Rubisco is  
 233 determined by  $V_{cmax}$  (mol m<sup>2</sup> s<sup>-1</sup>),  $c_i$  and  $o_a$  are the intercellular concentrations of CO<sub>2</sub> and O<sub>2</sub> (both Pa),  $K_c$  and  $K_o$   
 234 (both units of Pa) are the Michaelis Menten coefficients for Rubisco carboxylation and oxygenation respectively,  
 235 and  $\Gamma$  (Pa) is the CO<sub>2</sub> compensation point in the absence of mitochondrial respiration.

236

$$237 \quad A_v = \frac{V_{cmax} (c_i - \Gamma)}{[c_i + K_c (1 + \frac{o_a}{K_o})]} \quad (2)$$

238 The light-limited rate of photosynthesis ( $A_j$ , mol m<sup>2</sup> s<sup>-1</sup>) (Equation 3) is a function of the rate of electron transport  
 239  $J$  (mol m<sup>2</sup> s<sup>-1</sup>) which is represented in Equation 4.  $J$  depends on the incident photosynthetically active photon flux  
 240 density  $Q$  (mol quanta m<sup>2</sup> s<sup>-1</sup>), the potential rate of electron transport  $J_{max}$  (mol m<sup>2</sup> s<sup>-1</sup>), the apparent quantum yield  
 241 of electron transport  $\alpha$  (mol electrons mol<sup>-1</sup> photon) fixed at 0.3 (mol electrons mol<sup>-1</sup> photon) following Medlyn  
 242 et al. (2002), and  $\theta$  a non-rectangular hyperbola smoothing parameter which takes a value of 0.9 (unitless)  
 243 following Medlyn et al. (2002). The factor of four used in the Farquhar model in Equation 3 accounts for four  
 244 electrons being required per carboxylation/oxygenation reaction.

245

$$246 \quad A_j = \left(\frac{J}{4}\right) \frac{(c_i - \Gamma)}{(c_i + 2\Gamma)} \quad (3)$$

247

$$248 \quad \theta J^2 - (\alpha Q + J_{max})J + \alpha Q J_{max} = 0 \quad (4)$$

249

250 JULES currently uses  $Q_{10}$  functions in the Collatz scheme to describe the temperature dependency of  $V_{cmax}$ ,  $K_c$ ,  
 251  $K_o$ , and  $\Gamma$  (see Notes S1). In our implementation of the Farquhar scheme, temperature sensitivities for the  $K_c$ ,  $K_o$ ,  
 252 and  $\Gamma$  are taken from Bernacchi et al. (2001) as described in Medlyn et al. (2002). These are the same temperature

253 sensitivities used by experimentalist to derive estimates of photosynthetic capacity parameters (Rogers et al.,  
 254 2017). Of particular importance to our analysis here are the temperature responses of  $V_{cmax}$  and  $J_{max}$ . Equation 5  
 255 describes the temperature response of both parameters:

$$256 \quad k_T = k_{25} \exp \left[ H_a \frac{(T_l - T_{ref})}{T_{ref} R T_l} \right] \frac{1 + \exp \left[ \frac{T_{ref} \Delta S - H_d}{T_{ref} R} \right]}{1 + \exp \left[ \frac{T_l \Delta S - H_d}{T_l R} \right]} \quad (5)$$

257 Here,  $k_T$  ( $\mu\text{mol m}^2 \text{s}^{-1}$ ) is either  $V_{cmax}$  or  $J_{max}$  at leaf temperature  $T_l$  (K),  $k_{25}$  ( $\mu\text{mol m}^2 \text{s}^{-1}$ ) is the rate of  $V_{cmax}$  or  $J_{max}$   
 258 at the reference temperature  $T_{ref}$  of 25 °C (298.15 K),  $R$  is the universal gas constant ( $8.314 \text{ J mol}^{-1} \text{ K}^{-1}$ ),  $H_a$  and  
 259  $H_d$  ( $\text{J mol}^{-1}$ ) are the activation and deactivation energies respectively, and  $\Delta S$  ( $\text{J mol}^{-1} \text{ K}^{-1}$ ) is an entropy term (see  
 260 Table 1 for PFT-specific parameter values). Broadly,  $H_a$  describes the rate of exponential increase of the function  
 261 below the optimum temperature ( $T_{opt}$ ), and  $H_d$  describes the rate of decrease above the  $T_{opt}$ .  $\Delta S$  and  $T_{opt}$  are related  
 262 by Equation 6, which is used to calculate the  $T_{opt}$  of  $V_{cmax}$  and  $J_{max}$  (Table 1):

$$263 \quad T_{opt} = \frac{H_d}{\Delta S - R \ln \left[ \frac{H_d}{H_d - H_a} \right]} \quad (6)$$

264  
 265  
 266 **Table 1.** PFT-specific parameters for the required temperature dependency of  $V_{cmax}$  and  $J_{max}$  in the Collatz and  
 267 Farquhar photosynthesis schemes. PFT codes (left column) are BET-tr: Broadleaf evergreen tropical tree, BET-  
 268 te: Broadleaf evergreen temperate tree, BDT: Broadleaf deciduous tree, NET: Needle leaf evergreen tree, NDT:  
 269 Needle leaf deciduous tree, C<sub>3</sub>: C<sub>3</sub> grass, C<sub>4</sub>: C<sub>4</sub> grass, ESH: Evergreen shrub, DSH: Deciduous shrub.

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	Collatz			Farquhar						
	$T_{upp}$	$T_{low}$	$Topt_{vcmax}$	$Ha_{vcmax}$	$Ha_{jmax}$	$\Delta S_{vcmax}$	$\Delta S_{jmax}$	$Topt_{vcmax}$	$Topt_{jmax}$	$Hd_{vcmax}$
	(°C)	(°C)	(°C)	(J mol <sup>-1</sup> )	(J mol <sup>-1</sup> )	(J mol <sup>-1</sup> K <sup>-1</sup> )	(J mol <sup>-1</sup> K <sup>-1</sup> )	(°C)	(°C)	or $Hd_{jmax}$ (J mol <sup>-1</sup> )
BET-tr	43	13	39.00	86900	64000	631	635	42.71	38.73	200000
BET-te	43	13	39.00	59600	35900	634	632	38.80	37.10	200000
BDT	43	5	39.00	49300	38800	658	663	26.57	23.22	200000
NET	37	5	33.00	63100	36400	642	643	35.28	31.96	200000
NDT	36	-5	34.00	49300	38800	658	663	26.57	23.22	200000
C <sub>3</sub>	32	10	28.00	97200	112000	660	663	28.00	28.00	199000
C <sub>4</sub>	45	13	41.00	-	-	-	-	-	-	-
ESH	36	10	32.00	59600	35900	634	632	38.80	37.10	200000
DSH	36	0	32.00	49300	38800	658	663	26.57	23.22	200000

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274 To find new estimates for  $V_{cmax}$  and the  $J_{max}:V_{cmax}$  ratio at  $T_{ref}$  of 25°C for use with the Farquhar model for the 9  
 275 PFT's in JULES we used the global dataset from Walker et al. (2014) which includes data from 356 species. For  
 276  $V_{cmax}$  and  $J_{max}$ , Walker et al. (2014) re-analysed the data to remove the variation in these two parameters across  
 277 studies caused by different parametric assumptions used in their derivation from  $A-C_i$  curves (e.g. using a common  
 278 set of kinetic parameters, and reporting values at 25°C). We calculated the mean  $V_{cmax}$  and  $J_{max}$  across studies  
 279 conducted at ambient CO<sub>2</sub> concentration for each of the JULES PFTs (Table 2). To parameterise the deciduous  
 280 needleleaf tree (NDT) PFT, we use the values for the evergreen needleleaf tree (NET) PFT because the data for  
 281 NDT was from a single study on one juvenile (3 years old) species. An exception was the tropical broadleaf  
 282 evergreen tree (BET-tr) PFT, where we use  $V_{cmax}$  and  $J_{max}$  from the dataset collated in the more recent compilation  
 283 by Kumarathunge et al. (2019b), as this study includes many more tropical tree species than any previous meta-  
 284 analysis.

285 Parameter values for the temperature response functions for  $V_{cmax}$  and  $J_{max}$  (Equation 5) in the Farquhar scheme  
 286 were taken from a global dataset of photosynthetic CO<sub>2</sub> response curves, which entrained data from 141 C<sub>3</sub>  
 287 species, ranging from the tropical rainforest to Arctic tundra (Kumarathunge et al., 2019b). The study provides  
 288 parameter values for tree PFT's that match those in JULES, e.g. tropical broadleaf evergreen trees (BET-tr PFT  
 289 in JULES), temperate broadleaf evergreen trees (BET-te), broadleaf deciduous trees (BDT) and needleleaf  
 290 evergreen trees (NET). For the remaining JULES PFTs, BDT values are used for NDT and deciduous shrubs  
 291 (DSH), and BET-te values are used for evergreen shrubs (ESH). Kumarathunge et al. (2019b) do not include data  
 292 for C<sub>3</sub> grasses, therefore to parameterise the temperature dependency of  $V_{cmax}$  and  $J_{max}$  for this PFT, we fitted both  
 293 to the existing  $V_{cmax}$  temperature response function in the Collatz scheme for C<sub>3</sub> grasses because of a scarcity of  
 294 data in the literature. Fig. S1 shows the temperature dependency of  $V_{cmax}$ ,  $J_{max}$  and gross photosynthesis for Collatz  
 295 and Farquhar using the PFT-specific parameters in Table 1 and Table 2.

296

297 **Table 2.** PFT-specific parameters for the Collatz and Farquhar photosynthesis schemes.

298

	Collatz		Farquhar			
	$V_{cmax25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$\alpha$ (intrinsic) ( $\text{mol CO}_2 \text{ mol}^{-1}$ PAR)	$V_{cmax25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$J_{max25}$ ( $\mu\text{mol m}^{-2}\text{s}^{-1}$ )	$J_{max}:V_{cmax}$	$\alpha$ (apparent) ( $\text{mol electrons mol}^{-1}$ photon)
BET-tr	41.16	0.08	39.50	63.20	1.60	0.30
BET-te	61.28	0.06	68.95	112.59	1.63	0.30
BDT	57.25	0.08	55.24	98.30	1.78	0.30
NET	53.55	0.08	50.80	75.14	1.48	0.30
NDT	50.83	0.10	50.80	75.14	1.48	0.30
C <sub>3</sub>	51.09	0.06	43.83	108.07	2.47	0.30
C <sub>4</sub>	31.71	0.04	-	-	-	-
ESH	62.41	0.06	68.96	112.59	1.63	0.30
DSH	50.40	0.08	55.24	98.30	1.78	0.30

299

## 300 2.2.2 Medlyn model of $g_s$ and parameterisation

301 In JULES,  $g_s$  ( $\text{m s}^{-1}$ ) is represented in Equation 7.

$$302 \quad g_s = 1.6RT_l \frac{A_n}{c_a - c_i} \quad (7)$$

303 where the factor 1.6 accounts for  $g_s$  being the conductance for water vapour rather than  $\text{CO}_2$ ,  $R$  is the universal  
304 gas constant ( $\text{J mol}^{-1} \text{K}^{-1}$ ),  $T_l$  is the leaf surface temperature (K),  $c_a$  and  $c_i$  (both Pa) are the leaf surface and internal  
305  $\text{CO}_2$  partial pressures respectively, and  $A_n$  is the net photosynthetic rate. Here,  $c_i$  is unknown and is calculated in  
306 JULES using the Jacobs scheme as in Equation 8, and relates the ratio of ambient ( $c_a$ ) to leaf intercellular ( $c_i$ )  
307 partial pressure of  $\text{CO}_2$  ( $c_i/c_a$ ), to leaf humidity deficit:

$$308 \quad c_i = (c_a - \Gamma)f_0 \left(1 - \frac{d_q}{dq_{crit}}\right) + \Gamma \quad (8)$$

309 where  $\Gamma$  (Pa) is the  $\text{CO}_2$  photorespiration compensation point,  $d_q$  is the humidity deficit at the leaf surface ( $\text{kg kg}^{-1}$ )  
310  $^1$ ), and  $dq_{crit}$  ( $\text{kg kg}^{-1}$ ) and  $f_0$  are PFT specific parameters representing the critical humidity deficit at the leaf surface  
311 and the leaf internal to atmospheric  $\text{CO}_2$  ratio ( $c_i/c_a$ ) at the leaf specific humidity deficit (Best et al., 2011). To  
312 implement the Medlyn model, Equation 9 is used to calculate  $c_i$ , retaining Equation 7 to calculate  $g_s$ . In Equation  
313 9,  $g_l$  ( $\text{kPa}^{0.5}$ ) is a PFT-specific model parameter and  $d_q$  is expressed in kPa. The Medlyn scheme is based on  
314 optimisation theory, and so assumes that stomatal aperture is regulated to maximize carbon gain while  
315 simultaneously minimising water loss:

$$316 \quad c_i = c_a \left(\frac{g_l}{g_l + \sqrt{d_q}}\right) \quad (9)$$

317 PFT-specific values of the  $g_l$  parameter were derived for the nine JULES PFTs from the global data base of Lin  
318 et al. (2015) (Table 3). The  $g_l$  parameter represents the sensitivity of  $g_s$  to the assimilation rate, i.e. plant water  
319 use efficiency, and was derived as in Lin et al. (2015), by fitting the Medlyn et al. (2011) model to observations  
320 of  $g_s$ , photosynthesis, and VPD, assuming an intercept of zero. A non-linear mixed-effects model was used to  
321 estimate the model slope coefficient,  $g_l$ , for each PFT, where individual species were assumed to be the random  
322 effect to account for the differences in the  $g_l$  slope among species within the same group, following Lin et al.  
323 (2015).

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332 **Table 3.** PFT-specific parameters required for the Jacobs and Medlyn  $g_s$  schemes.

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	Jacobs $f_o$	Jacobs $dq_{crit}$ ( $\text{kg kg}^{-1}$ )	Medlyn $g_l$ ( $\text{kPa}^{0.5}$ )
BET-tr	0.875	0.090	5.31
BET-te	0.892	0.090	3.37
BDT	0.875	0.090	4.45
NET	0.875	0.060	2.35
NDT	0.936	0.041	2.35
C <sub>3</sub>	0.931	0.051	5.25
C <sub>4</sub>	0.800	0.075	1.62
ESH	0.950	0.037	3.29
DSH	0.950	0.030	5.47

334

### 335 2.2.3 Thermal acclimation of photosynthetic capacity

336 The Kattge and Knorr (2007) acclimation algorithm (“AcKK”) is based on the parameters of the Farquhar  
 337 photosynthesis scheme, hence acclimation is implemented in the Farquhar model. The AcKK algorithm uses  
 338 empirical relationships to describe the response of  $V_{cmax}$ ,  $J_{max}$ , and the  $J_{max}:V_{cmax}$  ratio to changes in  $T_{growth}$  (defined  
 339 in AcKK as the average temperature (day and night) of the previous 30 days), and importantly it represents  
 340 combined acclimation and adaptation processes. Kattge and Knorr (2007) found that  $\Delta S_v$ ,  $\Delta S_j$ , and the  $J_{max}:V_{cmax}$   
 341 ratio decrease linearly with increasing  $T_{growth}$  following Equation 10. This means according to these relationships,  
 342 the optimum temperatures ( $T_{opt}$ ) of  $V_{cmax}$  and  $J_{max}$  ( $T_{optv}$  and  $T_{optj}$ ) increase by 0.44°C and 0.33°C per degree increase  
 343 in  $T_{growth}$  respectively, and the  $J_{max}:V_{cmax}$  ratio at 25°C decreases by 0.035°C per degree increase in  $T_{growth}$ .

$$344 \quad x_i = a_i + b_i T_{growth} \quad (10)$$

345 The  $x$  is either  $\Delta S_v$ ,  $\Delta S_j$  or the  $J_{max}:V_{cmax}$  ratio, and the sub-index  $i$  refers to the parameter values ( $a$  and  $b$  shown in  
 346 Table 4) for  $V_{cmax}$ ,  $J_{max}$  or the  $J_{max}:V_{cmax}$  ratio.  $T_{growth}$  is the growth temperature (calculated online as the mean  
 347 temperature of the previous 30 days).

348

349 **Table 4.** Parameter values derived by Kattge & Knorr (2007) and used in this study in Equation 10 to model  
 350 thermal acclimation of photosynthesis using the AcKK scheme.

	Acclimation	
	$a$	$b$
$\Delta S_j$	659.7	-0.75
$\Delta S_v$	668.39	-1.07
$J_{max}:V_{cmax}$	2.59	-0.035

### 351 3. Model evaluation and application

#### 352 3.1 Site level simulations

353 JULES was applied using four model configurations (Table 5) with observed meteorology, and evaluated against  
354 data from 17 eddy covariance sites (Table S1, Fig. S2). This collection of eddy covariance measurements  
355 represents a range of climates and land cover types (Table S1, Fig. S2). In all simulations the vegetation cover  
356 was prescribed, removing any biases that the modelled competition may introduce through self-diagnosis of PFT  
357 extents. Prescribed leaf area index (*LAI*) was used where site data was available, otherwise the JULES phenology  
358 scheme was switched on allowing the model to evolve the *LAI*. Model output was evaluated against fluxes of  
359 gross primary productivity (GPP) and evaporative fraction (EF). We used EF rather than latent heat flux to  
360 minimise issues with incomplete closure of the energy balance (that can typically range from 5 to 30 % at some  
361 eddy covariance sites, Liu et al. (2006)). For analysis we used daytime values only (i.e. where the shortwave  
362 radiation was  $> 10 \text{ W m}^2$ ) from days with no missing data, and compare mean seasonal diurnal cycles of modelled  
363 GPP and EF against the observed fluxes. The mean seasonal cycle calculated over the entire measurement period  
364 is used in order to assess the mean model behaviour.

365 We evaluate the site-level simulations with RMSE (root mean square error) for the seasonal diurnal cycle of  
366 simulated (daytime) fluxes (GPP and EF). For each site, the time period of the simulation and therefore evaluation  
367 period is stated in Table S1. We summarise the changes in RMSE using the relative improvement for each model  
368 configuration (*i*) compared to the current standard JULES configuration of Collatz with Jacobs (Clz.Jac). The  
369 statistic is calculated so that positive values show an improvement compared to Clz.Jac and therefore a better  
370 comparison to the observations:

$$371 \text{RMSE}_{rel_i} = \frac{\text{RMSE}_{Clz.Jac} - \text{RMSE}_i}{\text{RMSE}_{Clz.Jac}} \quad (11)$$

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382 **Table 5.** Description of the four model experiments performed both at site level and globally, with the JULES  
 383 land surface model.

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Model simulation	Description	Photosynthesis scheme	Stomatal closure	Temperature dependency of photosynthesis	$T_{growth}$
<b>Clz.Jac</b>	The original photosynthesis and stomatal conductance ( $g_s$ ) schemes used in JULES.	Collatz <i>et al.</i> , (1991)	Jacobs (1994)	$Q_{10}$ function for $K_c$ , $K_o$ , $\Gamma$ and $V_{cmax}$ (PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
<b>Fq.Jac</b>	The Farquhar photosynthesis scheme is implemented with updated $V_{cmax}$ and $J_{max}$ values, and updated parameters for the temperature response of photosynthesis ( $\Delta S$ and $H_a$ for $V_{cmax}$ and $J_{max}$ ) with original $g_s$ scheme used in JULES.	Farquhar <i>et al.</i> , (1980)	Jacobs (1994)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ , $V_{cmax}$ and $J_{max}$ (latter two both PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
<b>Fq.Med</b>	The Medlyn stomatal closure is implemented with the parameter $g_l$ that varies by PFT with Farquhar photosynthesis model implementation.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ , $V_{cmax}$ and $J_{max}$ (latter two both PFT specific). $T_{opt}$ varies by PFT but is fixed spatially and temporally.	NA
<b>AcKK.Med</b>	Thermal acclimation of photosynthetic capacity accounted for. Implemented within the Farquhar model coupled to the Medlyn $g_s$ model.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for $K_c$ , $K_o$ , $\Gamma$ . Thermal acclimation of photosynthetic capacity implemented following Kattge & Knorr (2007). Parameters describing the temperature sensitivity of photosynthesis ( $\Delta S$ for $V_{cmax}$ and $J_{max}$ , and the $J_{max} \cdot V_{cmax}$ ) allowed to acclimate to the temperature of the growth environment ( $T_{growth}$ ). $T_{opt}$ adjusts to changes in $T_{growth}$ so varies spatially and temporally.	Yes

385

### 386 3.2 Global scale simulations

387 Four JULES simulations were performed globally for the period 1979-2013 as outlined in Table 5. These global  
 388 present-day simulations were run at  $0.5^\circ \times 0.5^\circ$  spatial resolution. The WFDEI meteorological dataset was used to  
 389 drive the model (Weedon *et al.*, 2014). This has a three hour temporal resolution that JULES interpolated down  
 390 to an hourly model time step. To focus on the direct effects of the model changes on GPP and surface energy  
 391 fluxes, the land surface properties of the model were prescribed. We use a static map of land cover (in terms of

392 different PFT extents) derived from the European Space Agency's Land Cover Climate Change Initiative (ESA  
393 LC\_CCI) global vegetation distribution version 1.6 for the 2010 epoch (Poulter et al., 2015) (Fig. S3) following  
394 that used in Harper et al. (2016). Seasonally varying *LAI* were derived from the Global LAnd Surface Satellite  
395 (GLASS) dataset (Xiao et al., 2016). Prescribed parameters were used for the hydraulic and thermal properties of  
396 the soil from a modified version of the H1 lookup-table from Zhang and Schaap (2017) that depends upon the soil  
397 textural type from SoilGrids (Hengl et al., 2014). We also prescribe transient atmospheric CO<sub>2</sub> concentrations  
398 based on annual mean observations from Mauna Loa (Tans and Keeling, 2014). A spin-up of 80 years was  
399 performed (re-cycling through the period 1979 to 1999), which is sufficient to equilibrate soil temperature and  
400 soil moisture.

401 The global offline present-day simulations were compared against the global evaluation products, and for both  
402 model output and observations we calculate seasonal means over the period 2002 to 2012. We used the global  
403 FluxCom product to evaluate modelled GPP, LE, H and ET (Jung et al., 2020; Tramontana et al., 2016). We  
404 compare our simulations against the FluxCom ensemble product (RS+MET) driven with the same forcing  
405 (WFDEI), as is recommended by Jung et al. (2019) to minimise deviations due to different climate input data. To  
406 convert LE to ET we assume a constant latent heat of vaporization of 2.5 MJ mm<sup>-1</sup>. We also use the model derived  
407 product from GLEAM-v3.3a to evaluate ET, and additionally use the MODIS GPP product (Zhao et al., 2005;  
408 Zhao and Running, 2010; Zhao et al., 2006) to evaluate simulated global GPP.

409 Global future climate simulations were performed forced with meteorological output (1960 to 2050) from the  
410 HadGEM3-GC3.1 model atmosphere-only simulations at 3 hour temporal resolution and N512 spatial resolution  
411 (Roberts et al., 2019; Williams et al., 2018). These projections follow the CMIP6 HighResMIP protocol (Haarsma  
412 et al., 2016). This choice of forcing to drive JULES is to allow comparison of the offline runs performed in this  
413 study with the equivalent simulations currently being undertaken in the coupled HadGEM3-GC3.1 model to  
414 explore land-atmosphere feedbacks arising from changes implemented to the plant physiology routines in this  
415 work. The factorial set of offline simulations in this work provide a systematic sensitivity study that is less  
416 computationally expensive with which to help understand behaviour seen in the coupled model. The output at  
417 N512 was re-gridded to 0.5° x 0.5° using conservative interpolation which ensures the physical conservation of  
418 each variable. Fig. S4 shows the mean temperature and precipitation change by region over the study period, and  
419 the atmospheric CO<sub>2</sub> concentration. Atmospheric CO<sub>2</sub> concentrations were prescribed based on observations up  
420 to 2014 as described in historical CMIP6 simulations (Eyring et al., 2016). From 2015 onwards, atmospheric CO<sub>2</sub>  
421 concentrations were based on a high-end emission scenario of the Shared Socioeconomic Pathways (SSP5) with  
422 the Representative Concentration Pathway 8.5 (RCP8.5) (Haarsma et al., 2016). As for the current-day  
423 simulations, *LAI*, land cover and soil properties were prescribed using the same datasets. A spin-up period of 80  
424 years (re-cycling through the period 1960 to 1980) was again used to equilibrate soil temperature and soil  
425 moisture.

426 We analyse the future global simulations using the 'difference of difference' approach. This method explicitly  
427 targets the change in the variable of interest over the study period resulting from the change in process alone, and  
428 negates differences that may arise from different initial starting points of each simulation (different initial  
429 conditions):

$$Effect = (\bar{X}_{2050} - \bar{X}_{1980}) - (\bar{Y}_{2050} - \bar{Y}_{1980}) \quad (12)$$

where  $X$  represents the simulation with the process of interest and  $Y$  represents the simulation with the alternative representation, and 2050 and 1980 represent the end and start of the simulation analysis period respectively (calculated as the mean over 2040 to 2050, and 1980 to 1990 respectively). For example, to look at the impact of changing photosynthesis schemes,  $X = \text{Fq.Jac}$  and  $Y = \text{Clz.Jac}$ . In this case, both configurations are using the Jacobs  $g_s$  scheme, only the photosynthesis scheme changes from Collatz to Farquhar. The impact of changing  $g_s$  scheme is assessed where  $X = \text{Fq.Med}$  and  $Y = \text{Fq.Jac}$ . The impact of thermal acclimation is assessed where  $X = \text{AcKK.Med}$  and  $Y = \text{Fq.Med}$ , here both simulations use the Farquhar photosynthesis scheme and the Medlyn  $g_s$  scheme, but  $X$  has the addition of thermal acclimation of photosynthesis.

439

## 440 **4. Results**

### 441 **4.1 Site level evaluation**

442 Results from the FLUXNET sites comparing the mean seasonal diurnal cycles of GPP and EF against observed  
 443 fluxes are summarised in Fig. 1, where reds and yellows indicate reduced RMSE relative to the ‘standard’ JULES  
 444 configuration of Collatz with Jacobs (Clz.Jac), and therefore closer agreement to site level FLUXNET  
 445 observations. Results are variable by site and season (Fig. 1, Fig. S5 and Fig. S6), some of which will be due to  
 446 other site-specific characteristics that are not simulated well by the model, such as  $LAI$  for those sites that rely on  
 447 model derived estimates. On the other hand, soil properties are prescribed by parameters that describe the thermal  
 448 and hydraulic characteristics of the soil, uncertainties in these parameterisations have consequences for the  
 449 simulated soil moisture content at each site, for example, which impacts simulated carbon and water fluxes. We  
 450 first consider results for the five tropical sites. Results are mixed for the simulated seasonal diurnal cycle of GPP  
 451 at the tropical (EBF / BET-tr) sites, GPP is improved (reduced) with the new JULES model configurations at three  
 452 out of the five tropical sites in March-April-May (MAM; Fig. 1a, Fig. S5), with thermal acclimation leading to  
 453 the greatest improvements. However in June-July-August (JJA; Fig. 1b, Fig. S5), this improvement is only found  
 454 at two of the tropical sites. At the EBF sites, implementing the Farquhar photosynthesis model means  $V_{cmax}$  is  
 455 lower (BET-tr, Table 2), and this in addition to the change in temperature sensitivity (Table 1; Fig. S1a-c), and  
 456 model structural changes from Collatz to Farquhar results in lower simulated GPP compared to Collatz. Thermal  
 457 acclimation allows further adjustments of the  $T_{optV}$ ,  $T_{optJ}$  and the  $J_{max}:V_{cmax}$  ratio which results in lower simulated  
 458 photosynthesis and therefore GPP compared to Farquhar (Fig. S5). The change from Jacobs  $g_s$  model to Medlyn  
 459 has minimal impact on simulated GPP for the tropical tree PFT because in both schemes the modelled  $c_i$  has a  
 460 similar sensitivity to humidity deficit at the leaf surface, with the exception at very low humidity deficit (Fig. S7;  
 461 Fig. S5). The simulated seasonal diurnal cycle of EF is improved (reduced) at four out of the five tropical sites in  
 462 both MAM and JJA, again with some of the largest improvements seen with thermal acclimation (Fig. 1c & 1d,  
 463 Fig. S6).

464 At the  $C_3$  grassland sites (GRA), improved simulated GPP (higher GPP) is seen across all sites in JJA with the  
 465 Medlyn  $g_s$  scheme and thermal acclimation (Fig. 1b, Fig. S5). This is matched by improvements in simulated EF  
 466 (higher EF) across all grassland sites in both seasons, with the exception of US\_var in JJA (Fig. 1c & 1d; Fig. S6).

467 The change from Collatz to Farquhar at the GRA sites means a lower  $V_{cmax}$  is used (C3, Table 2) although the  
468 temperature sensitivity is similar (Table 1, Fig. S1p, q), this results in lower GPP simulated by Farquhar compared  
469 to Collatz which compares worse to the observations (GPP and EF, Fig. 1, Fig. S5). In contrast to using Farquhar  
470 with the Jacobs  $g_s$  scheme, using Farquhar with the Medlyn scheme improves simulated GPP and EF, both are  
471 increased because for the C3 grass PFT as the humidity deficit at the leaf surface increases  $c_i$  simulated by Medlyn  
472 is less sensitive compared to Jacobs (Fig. S7; Fig. S5), leading to higher  $c_i$ , higher net canopy photosynthesis and  
473 GPP, and higher transpiration and LE. These results suggests the Medlyn scheme has a large impact on simulated  
474 carbon and water fluxes for the C3 grass PFT in the JULES model. In JJA, the adjustment of the temperature  
475 sensitivity of photosynthesis to the  $T_{growth}$  by the thermal acclimation scheme tends to increase GPP compared to  
476 Farquhar with no acclimation, and this compares better to the observations (Fig. 1, Fig. S5).

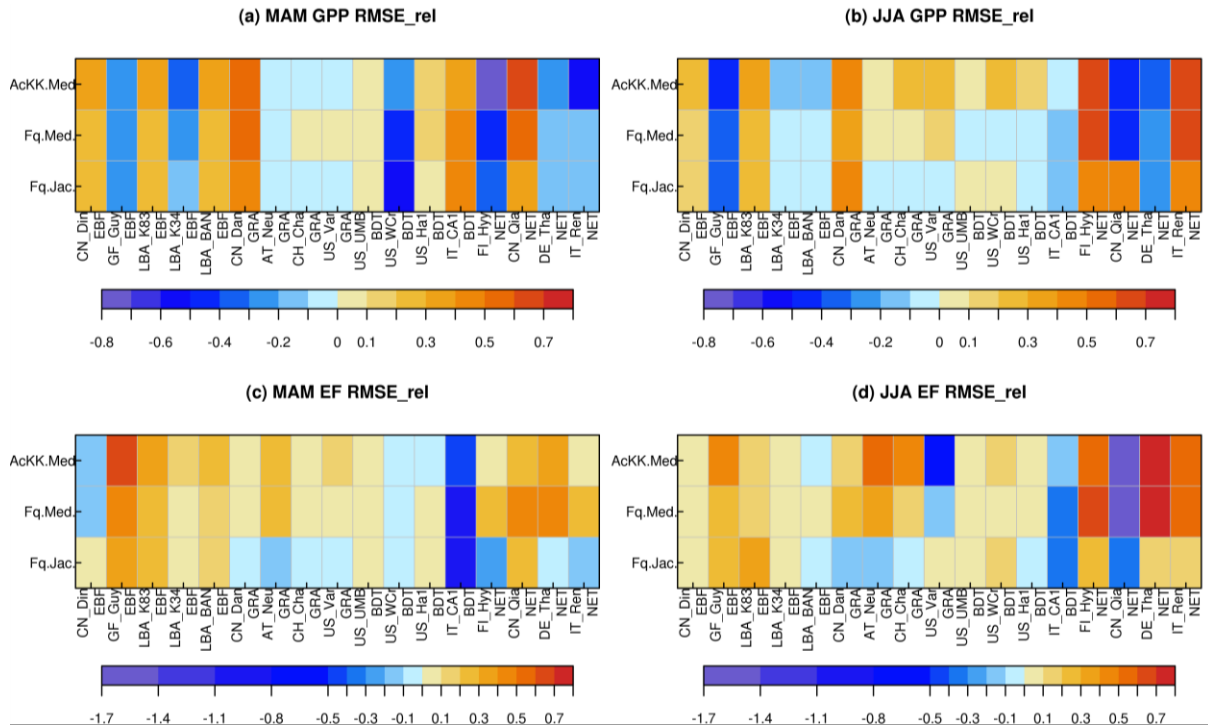
477 At the broadleaf deciduous tree sites (BDT) simulated GPP is improved with all JULES model configurations in  
478 MAM (higher GPP) at three out of the four sites (Fig. 1a). However in JJA improvements are mainly seen with  
479 thermal acclimation (lower GPP compared to Fq.Med, Fig. 1b). Medlyn  $g_s$  performs worse at all sites in JJA  
480 suggesting either the model formulation or parameters are not suitable to correctly capture stomatal behaviour in  
481 this season for this PFT (Fig. 1b, Fig. S5). Compared to Collatz, the Farquhar model for the BDT PFT uses a  
482 lower  $V_{cmax}$  (Table 2) and has a considerably lower  $T_{optVcmax}$  (Table 1; Fig. S1h), which means that at leaf  
483 temperatures below  $\sim 22^\circ\text{C}$ , photosynthesis is higher with the Farquhar model, and above this photosynthesis is  
484 lower than Collatz (Fig. S1g). Consequently, warmer temperatures in JJA lead to lower GPP simulated by  
485 Farquhar compared to Collatz, and cooler temperatures in MAM result in slightly higher GPP with Farquhar  
486 compared to Collatz (Fig. S5). Using the Medlyn model means simulated  $c_i$  is more sensitive to increasing leaf  
487 humidity deficit for the BDT PFT (Fig. S7). Medlyn simulates a lower  $c_i$  as humidity deficit increases compared  
488 to Jacobs which leads to lower GPP and LE, the magnitude of which depends on the local site humidity conditions.  
489 In JJA the Medlyn  $g_s$  model performs worse at all sites for GPP (Fig. 1b), although improvements in simulated  
490 EF are seen in JJA, where both Medlyn and thermal acclimation improve model performance at three out of four  
491 BDT sites (Fig. 1d, Fig. S6).

492 At the evergreen needleleaf sites (NET) the most consistent improvements to simulated GPP are seen with the  
493 Farquhar model, where simulated GPP in JJA is substantially improved (GPP reduced) at three out of four sites  
494 (Fig. 1b, Fig. S5), in this season both Medlyn and thermal acclimation generate larger improvements in the  
495 simulated GPP (reducing GPP further), but this is just at two out of the four sites. In our implementation of the  
496 Farquhar model, the NET PFT has a lower  $V_{cmax}$  compared to Collatz (Table 2), and a slightly higher  $T_{optVcmax}$   
497 (Table 1, Fig. S1k). The resulting shape of the temperature response curve for photosynthesis (Fig. S1j) means  
498 that at leaf temperatures below  $\sim 10^\circ\text{C}$  Farquhar photosynthesis is higher. However above  $10^\circ\text{C}$  Farquhar  
499 photosynthesis is lower compared to Collatz, resulting in simulated GPP in MAM that tends to be higher with  
500 Farquhar than Collatz, and in JJA the opposite occurs (Fig. S5). In MAM and JJA the Medlyn  $g_s$  model simulates  
501 some large improvements in EF;  $c_i$  simulated by Medlyn is more sensitive to increasing leaf humidity deficit  
502 compared to Jacobs (Fig. S7), which results in lower transpiration and EF, and this compares better to the  
503 observations (Fig. 1, Fig. S6).

504



505 **Figure 1.** Relative changes in RMSE for each JULES model configuration compared to Collatz with Jacobs  
 506 (Clz.Jac) for hourly daytime a) GPP (March-April-May), b) GPP (June-July-August), c) EF (March-April-May)  
 507 and d) EF (June-July-August). Calculated according to equation 11, positive values (reds and yellows) are where  
 508 RMSE is lower compared to the Clz.Jac configuration, and therefore indicates an improvement compared to the  
 509 Clz.Jac baseline, and the Fluxnet observations. EBF: Broadleaf evergreen tropical tree, GRA: C<sub>3</sub> grassland, BDT:  
 510 Broadleaf deciduous tree, NET: Needle leaf evergreen tree. The fit of each model configuration to observations  
 511 and the RMSE are shown in Fig. S5 (GPP) and Fig. S6 (EF).



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## 516 4.2 Global Evaluation

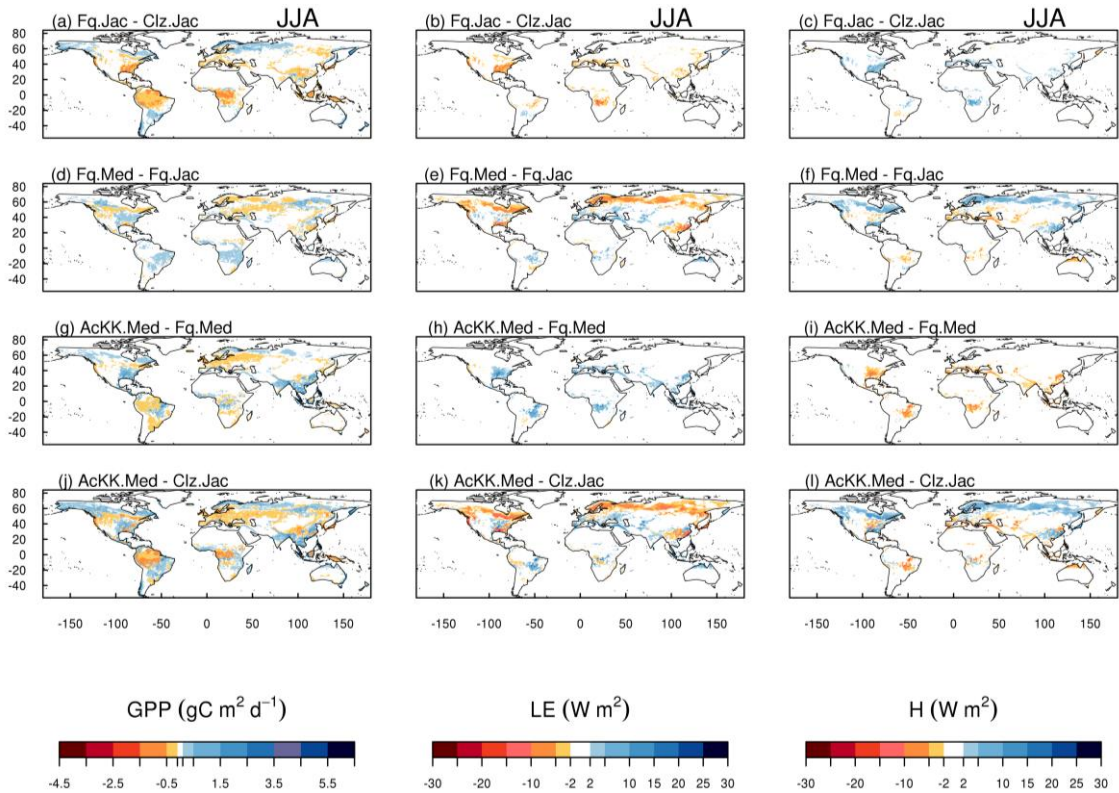
### 517 4.2.1 Spatial differences between model configurations

518 The impact of changes in the photosynthesis scheme,  $g_s$  scheme, adding thermal acclimation of photosynthetic  
 519 capacity and the overall change on simulated GPP, LE and H are shown in Figure 2 by comparing each of the new  
 520 JULES configurations to the configuration with the alternative process representation. For GPP, the biggest  
 521 change is moving from the Collatz photosynthesis scheme to the Farquhar photosynthesis scheme (Fig. 2a). Most  
 522 notably, this change results in decreased GPP in the tropical region in JJA of up to  $1.5 \text{ gC m}^2 \text{ d}^{-1}$  (up to 10%  
 523 reduction), whilst in the high northern latitudes, GPP is increased by up to  $1.5 \text{ gC m}^2 \text{ d}^{-1}$  (up to 20% increase).  
 524 This is consistent with results from the site-level simulations where GPP was reduced with implementation of the  
 525 Farquhar model at tropical sites, and increased in cooler months (MAM) at the evergreen needleleaf forest sites  
 526 (here increased GPP in NET dominated areas are in the forests of the high northern latitudes which is consistent

527 with cooler temperatures). Impacts on LE and H resulting from the move from Collatz to Farquhar are not as  
528 extensive as those seen with GPP (Fig. 2b & 2c). The change from Jacobs  $g_s$  scheme to Medlyn impacts LE and  
529 H most, resulting in a pronounced pattern of decreased LE in northern latitudes (up to  $10 \text{ W m}^{-2}$ , equivalent to a  
530 10% reduction) and corresponding increase in H in JJA (Fig. 2e & 2f). In these JULES simulations, this region is  
531 dominated by NET forest, and the high latitude changes are consistent with results from the site-level simulations,  
532 where using the Medlyn  $g_s$  scheme at NET sites resulted in some of the biggest improvements in simulated EF  
533 (lower LE and therefore lower EF). Including thermal acclimation of photosynthesis has the most extensive  
534 impacts on simulated GPP in contrast to LE and H. In the tropical forests GPP is reduced by up to  $1 \text{ gC m}^2 \text{ d}^{-1}$   
535 (between 2 to 5% reduction) in JJA (Fig. 2g). The impact of acclimation is spatially variable in the temperate  
536 region in JJA, with GPP decreased in Europe (between 2 to 5%), but increased in Eastern USA (up to 20%). Some  
537 areas of the boreal region see increased GPP (between 2 to 5%). This GPP response demonstrates the impact of  
538 thermal acclimation which allows the parameters of the temperature sensitivity functions for photosynthetic  
539 capacity ( $V_{cmax}$ ,  $J_{max}$  and  $J_{max}:V_{cmax}$ ) to move in response to the temperature of the growth environment, leading to  
540 spatially and temporally different values of the  $T_{opt}$  for photosynthesis for each  $C_3$  PFT. Thermal acclimation  
541 impacts LE and H to a lesser extent, but where changes are seen, acclimation increases LE with a corresponding  
542 decrease in H (Fig. 2h & 2i). Figs. 2j, 2k & 2l show the overall change that results from moving from the traditional  
543 JULES set-up of Collatz with Jacobs (Clz.Jac) to Farquhar with thermal acclimation and Medlyn  $g_s$  (AcKK.Med),  
544 and the impacts on simulated GPP, LE and H can clearly be seen as the trade-off between the dominating effects  
545 from each model configuration. For LE and H the response of the simulated energy fluxes is dominated by the  
546 change in the representation of  $g_s$ , and for GPP the response of simulated carbon fluxes is dominated by the change  
547 in the representation of photosynthesis and its response to temperature (i.e. thermal acclimation).

548

549 **Figure 2.** Absolute difference between JULES modelled GPP, latent (LE) and sensible heat (H) for the different  
550 JULES model configurations in June-July-August (JJA) to show the impact of a, b, c) changing photosynthesis  
551 scheme (Fq.Jac – Clz.Jac); d, e, f) changing  $g_s$  scheme (Fq.Med – Fq.Jac); g, h, i) accounting for thermal  
552 acclimation of photosynthesis (AcKK.Med – Fq.Med); and j, k, l) the overall change (AcKK.Med – Clz.Jac),  
553 under present-day meteorological conditions. For each variable the mean over the period 2002 to 2012 is used.  
554 The absolute mean value simulated by each model configuration (JJA) is shown in Fig. S8. DJF is shown in Fig.  
555 S9 (mean absolute values) and Fig. S10 (absolute difference).



556

557

#### 558 4.2.2 Comparison to global estimates: seasonal mean GPP and ET

559 Evaluation of simulated global mean GPP by season using FluxCom and MOD17 global GPP products is  
 560 presented in Fig. 3a and using global ET from both FluxCom and GLEAM is shown in Fig. 3b. The seasonal  
 561 means show thermal acclimation compares best to observations (FluxCom) in JJA (AcKK.Med underestimates  
 562 GPP by just 4%, whereas Clz.Jac underestimates GPP by 6%; Fig. 3a & Table S2) and MAM (AcKK.Med  
 563 underestimates GPP by just 5%, whereas Clz.Jac underestimates GPP by 11%; Fig. 3a & Table S2), and is in  
 564 reasonable agreement with FluxCom in DJF (AcKK.Med overestimates GPP by just 2%, whereas Clz.Jac  
 565 underestimates GPP by 4%; Fig. 3a & Table S2). All JULES model configurations have a high GPP bias in SON  
 566 compared to FluxCom, and in all seasons GPP is overestimated by all model configurations compared to MOD17,  
 567 similarly this is largest in SON. For simulated ET, seasonally the model performance is very similar between the  
 568 different JULES configurations, however in both SON and DJF Medlyn (Fq.Med) compares better to both  
 569 FluxCom and GLEAM, but the differences are very small (Fig. 3b & Table S3).

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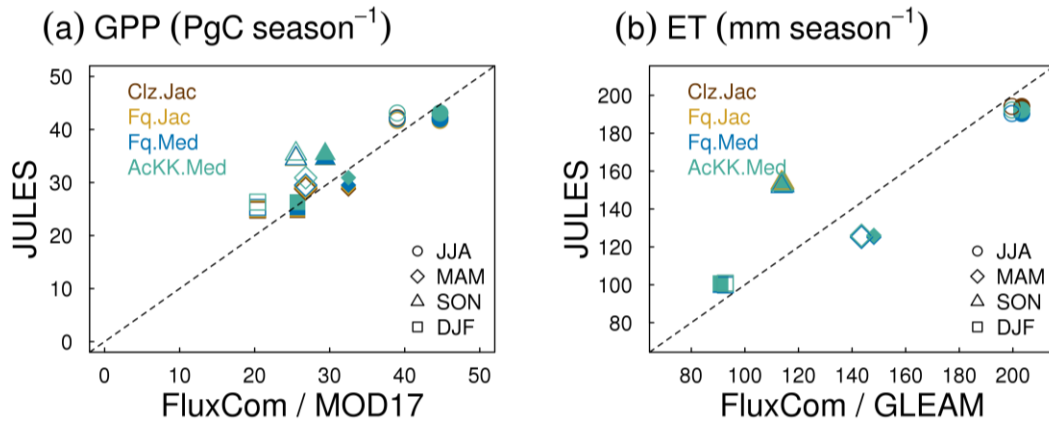
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575 **Figure 3.** Seasonal mean global a) GPP and b) ET for each JULES model configuration compared to FluxCom  
 576 (closed symbols) and MOD17 (GPP) or GLEAM (ET) (open symbols).



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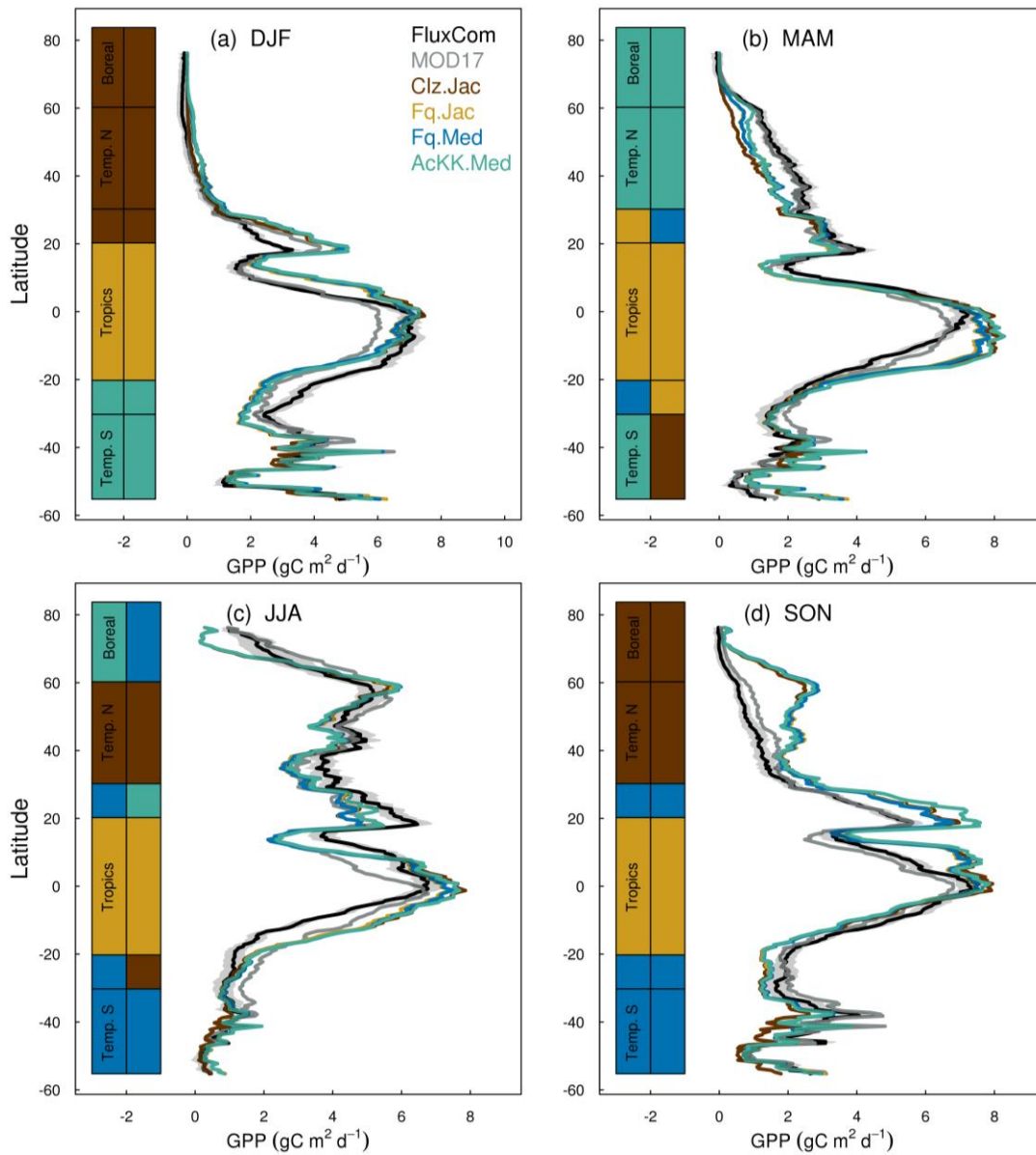
#### 579 4.2.3 Comparison to global estimates: latitudinal mean GPP and ET

580 Figures 4 and 5 present comparisons of seasonal zonal-mean GPP and ET respectively. Firstly, Fig. 4 and Fig. 5  
 581 highlight the differences between global products used to evaluate GPP and ET (see e.g. Spafford & MacDougall  
 582 2021). For example, FluxCom generally predicts higher GPP in the tropics compared to MOD17, especially in  
 583 DJF and MAM, and in JJA the different distribution of GPP by latitude means in the tropics MOD17 GPP is  
 584 higher than FluxCom in the southern latitudes, and FluxCom GPP is higher in the northern tropics. Comparison  
 585 of the two ET products shows that GLEAM tends to give higher ET in the tropics, particularly in DJF and MAM.  
 586 Bearing in mind uncertainties in observation-based estimates of fluxes at this scale we now consider how the  
 587 different model configurations compare. Notably, all the JULES model configurations in this study simulate  
 588 comparable global carbon and water fluxes for the recent contemporary period and are in reasonable agreement  
 589 with the global products used for evaluation. Differences in RMSE between the different model configurations  
 590 are small for both GPP and ET. Importantly, the most consistent change is the improvement (lowest RMSE) of  
 591 modelled GPP in the tropics with the Farquhar model (Fq.Jac). This improvement is evident in all seasons and  
 592 holds when comparing to both FluxCom and MOD17 (Fig. 4). Similarly, estimates of ET are improved in the  
 593 tropics (lowest RMSE) with the Farquhar model (Fq.Jac) in DJF and JJA, and with the Medlyn model (Fq.Med)  
 594 in MAM and SON, and again this result is not dependent on the choice of observation-based product (Fig. 5).  
 595 Another notable change is the improvement of simulated GPP in the temperate north and boreal regions in MAM  
 596 with thermal acclimation (AcKK.Med). Deficiencies in the model stand out, but these biases are common to all  
 597 model configurations. For example, all configurations simulate an over-prediction of GPP and ET in SON in the  
 598 temperate north and boreal regions, overestimated GPP in MAM in tropical southern latitudes (0 to -20°S), under-  
 599 predicted GPP and ET in MAM in temperate north and boreal regions, and an over-prediction of ET in MAM in  
 600 the temperate and tropical South.

601

602

603 **Figure 4.** Mean (2002 to 2012) GPP ( $\text{g C m}^{-2} \text{d}^{-1}$ ) by latitude band and season for each JULES model configuration  
 604 compared to the FluxCom and MOD17 global GPP products. The bars along the side indicate which model  
 605 configuration gives the lowest RMSE, and therefore better comparison to FluxCom (righthand bar) and MOD17  
 606 (lefthand bar) derived GPP for each region. RMSE values are shown in Tables S4 (FluxCom) and S5 (MOD17).  
 607 The grey shaded area shows the uncertainty in the FluxCom GPP product, provided as the median absolute  
 608 deviation of ensemble members, this is scaled to a robust estimate of the standard deviation of a normal  
 609 distribution by multiplying by 1.4826 according to Jung *et al.*, (2019).



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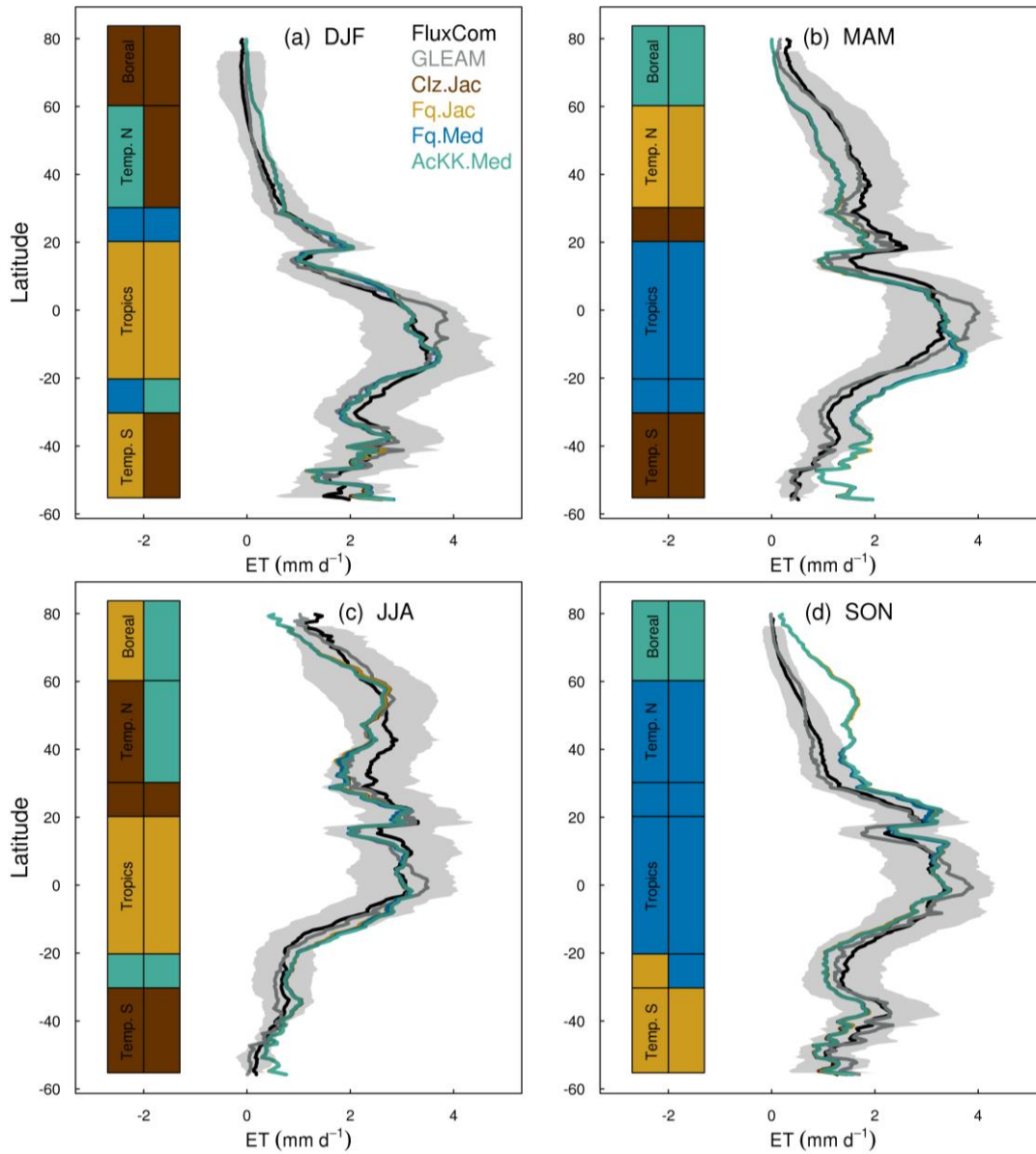
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615 **Figure 5.** Mean (2002 to 2012) evapotranspiration (ET  $\text{mm d}^{-1}$ ) by latitude band and season for each JULES  
 616 model configuration compared to the FluxCom and GLEAM global ET products. The bars along the side indicate  
 617 which model configuration gives the lowest RMSE, and therefore better comparison to FluxCom (righthand bar)  
 618 and GLEAM (lefthand bar) derived ET for each region. RMSE values are shown in Table S6 (FluxCom) and  
 619 Table S7 (GLEAM). The grey shaded area shows the uncertainty in the FluxCom ET product, provided as the  
 620 median absolute deviation of ensemble members, this is scaled to a robust estimate of the standard deviation of a  
 621 normal distribution by multiplying by 1.4826 according to Jung *et al.*, (2019).



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#### 625 4.2.4 Comparison to global estimates: spatial variability of mean GPP and ET

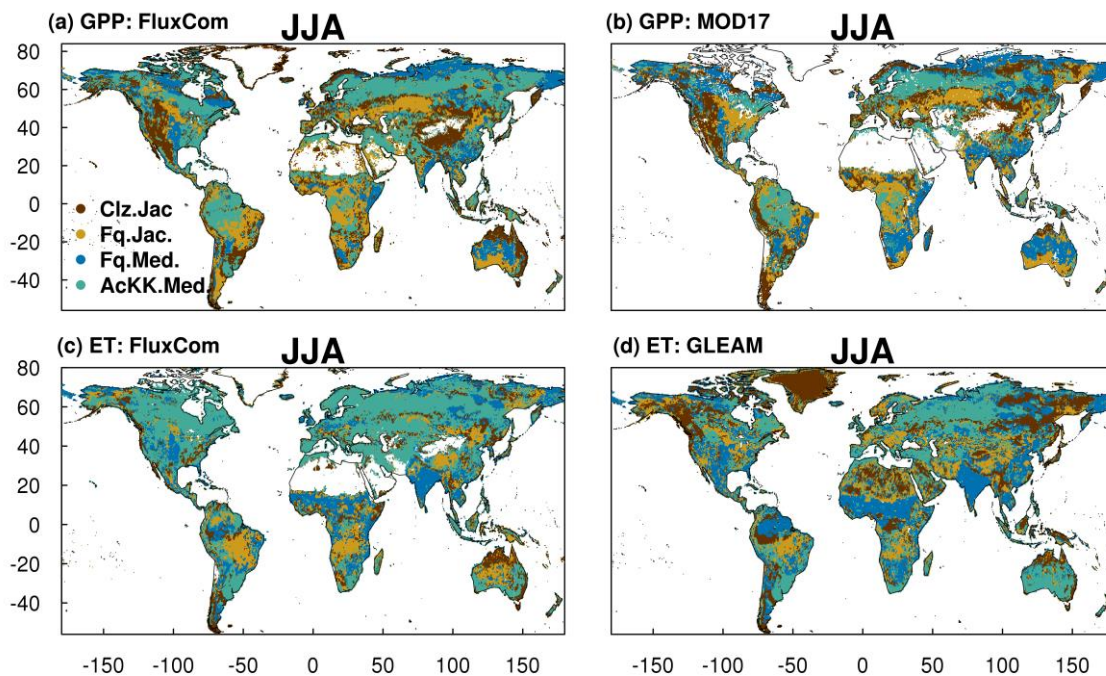
626 The spatial variability of simulated GPP and ET is shown in Fig. 6 during JJA (Fig. S11 for DJF). We show which  
 627 of the JULES model configurations gives the lowest RMSE compared to observation-based estimates of GPP and



628 ET from FluxCom, MODIS and GLEAM (actual RMSE in Figs. S12 and S13). The differences in RMSE are  
 629 typically small between the different JULES model configurations, however some clear patterns emerge. Figure  
 630 6a & b show that in the tropical forests of the Amazon basin, central Africa and Southeast Asia (Indonesia, Papua  
 631 New Guinea, Malaysia), in both JJA and DJF (Fig. S11a & b for DJF), GPP simulated including thermal  
 632 acclimation (AcKK.Med) compares best to both FluxCom and MOD17 across large spatially consistent areas.  
 633 Outside of these areas, Fq.Jac also improves the simulation of GPP in the tropics, as does the Medlyn  $g_s$  model  
 634 (Fq.Med) in JJA in South China and Indo-China. Also, in the high northern latitudes, dominated by evergreen  
 635 needleleaf forests, inclusion of thermal acclimation more closely aligns simulated GPP with both FluxCom and  
 636 MOD17 (Fig. 6a & b). Compared to FluxCom, ET in JJA is simulated best by thermal acclimation (AcKK.Med)  
 637 in the northern temperate and boreal region, although this pattern is not consistent in comparison to GLEAM (Fig.  
 638 6c & d). In contrast to GPP, results are more mixed in the tropics for ET. In areas dominated by tropical tree cover,  
 639 thermal acclimation (AcKK.Med) and Medlyn (Fq.Med) tend to give the lowest RMSE in JJA and DJF, and in  
 640 tropical areas dominated by C<sub>3</sub> and C<sub>4</sub> grasses Farquhar (Fq.Jac) performs best (Fig. 6c & d), although in DJF the  
 641 Medlyn model gives the lowest RMSE in these areas (Fig. S11c & d). In DJF for both GPP and ET, in northern  
 642 temperate and boreal regions the Collatz with Jacobs (Clz.Jac) configuration performs the best (Fig. S11).

643

644 **Figure 6.** Colours indicate the JULES model configuration that gives the lowest RMSE compared to either the a)  
 645 FluxCom and b) MOD17 global GPP ( $\text{gC m}^{-2} \text{day}^{-1}$ ) products, or c) FluxCom and d) GLEAM global ET ( $\text{mm day}^{-1}$ )  
 646 products for JJA over the period 2002 to 2012. Actual RMSE values shown in Fig. S12 and Fig. S13.



647

648

#### 649 4.3 Application under future climate

650 We run the new configurations forced by variables from a future climate scenario (HadGEM3-GC3.1 forcing  
651 under a high-end emission scenario of the SSPs) to investigate the response of simulated fluxes to long-term  
652 warming. Changing the photosynthesis scheme from Collatz to Farquhar results in lower GPP, (up to 30%  
653 decrease) by 2050 across the high northern latitude forests (Fig. 7a), with the impact on LE (decreased) and H  
654 (increase) less extensive (Fig. 7b & c). This area is dominated by NET, NDT and BDT PFTs in JULES. The  
655 different temperature sensitivity of photosynthesis parameterised with the Farquhar model compared to Collatz  
656 (Fig. S1g, j & m) means at lower leaf temperatures, photosynthesis is higher with Farquhar, however, as leaf  
657 temperature increases, photosynthesis falls in Farquhar relative to Collatz. The crossover point at which this  
658 occurs is relatively low for these PFTs, particularly NET. This impact of the change of temperature sensitivity  
659 was seen in the site-level simulations at FLUXNET NET and BDT sites. There, modelled GPP tended to be higher  
660 with Farquhar than Collatz in MAM, but lower in the warmer conditions of JJA, and in this climate change  
661 scenario the temperate and boreal region both experience large increases in mean annual air temperature (+5°C  
662 from 1980 to 2060, Fig. S4a & c).

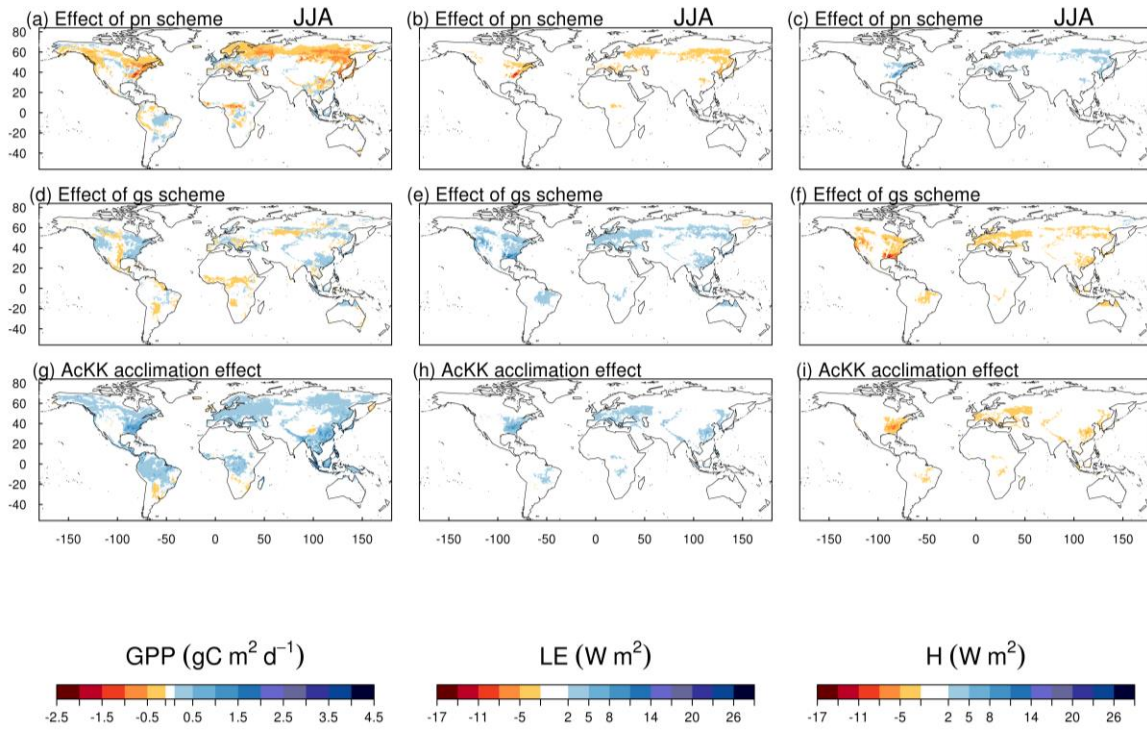
663 Replacing the Jacobs  $g_s$  scheme with Medlyn has the biggest impact on the surface energy fluxes, with increased  
664 LE of up to 30% and a corresponding decrease in H by 2050 across the temperate region (Fig. 7e & f). This area  
665 is dominated by the C3 grass PFT in JULES which has a less conservative water use strategy in the Medlyn  
666 scheme (high  $g_s$ ) compared to Jacobs. This means in the Medlyn scheme, the C3 grass PFT is less sensitive to  
667 increasing humidity deficit at the leaf surface, therefore as humidity deficit increases Medlyn simulates higher  $c_i$   
668 leading to higher rate of transpiration and LE compared to Jacobs (Fig. S7).

669 Thermal acclimation of photosynthesis leads to widespread increases in GPP by 2050 (Fig. 7g). This amounts to  
670 10% in the tropical forests, up to 30% in northern temperate and boreal regions, and up to 40% in south-east Asia.  
671 In this long-term climate change scenario, with large increases in mean annual temperature (Fig. S4), the impact  
672 of thermal acclimation on GPP can clearly be seen. The flexibility in  $T_{optV}$ ,  $T_{optj}$  and the  $J_{max}:V_{cmax}$  ratio of  
673 photosynthesis that thermal acclimation allows through letting these parameters move with the prevailing  $T_{growth}$ ,  
674 allows for higher rates of photosynthesis and therefore GPP as temperatures increase. By contrast, in simulations  
675 where photosynthetic rates are controlled by fixed temperature sensitivities, vegetation may have moved past its  
676 thermal optimum. Time series of the area-weighted mean annual GPP show that in this simulation, across the  
677 tropical region, thermal acclimation enhances GPP by ~7.5 PgC compared to no acclimation (Fig. 8a). In the  
678 temperate region and sub-tropics thermal acclimation increases GPP by ~1 PgC by 2050 (Fig. 8b and d), and in  
679 the boreal region GPP is enhanced by ~0.4 PgC (Fig. 8c). Thermal acclimation of photosynthesis also has a large  
680 impact on simulated energy fluxes, most notably in the northern temperate region, where LE is increased by up  
681 to 50 to 60% (decreased H up to 40 to 50%) (Fig. 7h & i).

682

683 **Figure 7.** The difference of difference approach (Equation 12) to determine the impact on GPP ( $\text{g C m}^2 \text{day}^{-1}$ ), LE  
684 and H (both  $\text{W m}^2$ ) of the individual changes to each JULES model configuration over the course of the future  
685 (HadGEMGC3.1) simulation (1980 to 2050) in June-July-August (JJA). The AcKK.Med acclimation effect is  
686 calculated from Fig. S16 AcKK.Med – Fq.Med, the effect of the Medlyn  $g_s$  scheme is calculated from Fig. S16  
687 Fq.Med – Fq.Jac, and the effect of the photosynthesis scheme is calculated from Fig. S16 Fq.Jac – Clz.Jac.

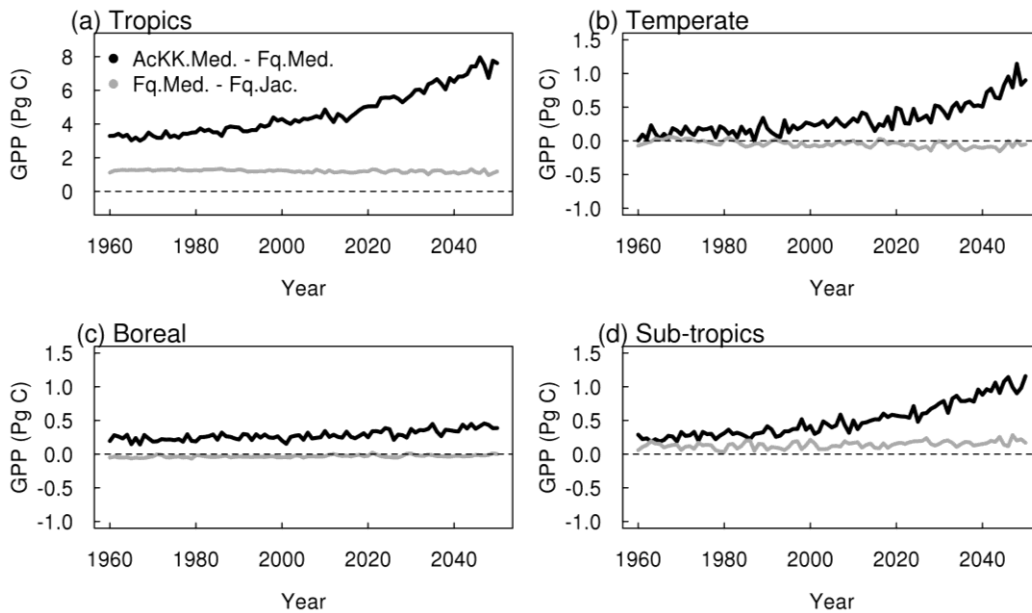




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690

691 **Figure 8.** Time series of the regional mean acclimation effect i.e AcKK.Med – Fq.Med (black), and the effect of  
 692 the Medlyn  $g_s$  model i.e. Fq.Med – Fq.Jac (grey).



693

694 **5. Discussion**

695 Photosynthesis and  $g_s$  are central to the estimate of carbon and water fluxes in LSMs, and when coupled in ESMs  
 696 these processes feed-back onto the climate system to influence predictions of future climate change. Therefore

697 improving the representation of these processes in LSMs is important, and previous studies have identified thermal  
698 acclimation of photosynthesis as a key missing process (Booth et al., 2012).

699

## 700 **5.1 Performance of the new JULES plant physiology model configurations: Thermal acclimation**

701 Our results show that including thermal acclimation of photosynthesis in the JULES model improves simulated  
702 carbon and water fluxes in several key areas for the recent contemporary period. Firstly, the seasonal mean  
703 estimates of global GPP show that in most seasons (JJA, MAM and DJF) thermal acclimation of photosynthesis  
704 with Medlyn  $g_s$  (AcKK.Med) predicts GPP in closer agreement with estimates from FluxCom compared to the  
705 traditional ‘standard’ JULES configuration of Collatz photosynthesis with Jacobs  $g_s$  (Clz.Jac). Secondly, thermal  
706 acclimation with Medlyn  $g_s$  improves the simulation of GPP (reduces GPP) in the tropical forests in JJA and DJF  
707 (i.e. the Amazon basin and central African rainforest region) and is in closest agreement with estimates of GPP  
708 from both FluxCom and MOD17 for these regions. Thirdly, in the high northern latitude forests dominated by  
709 evergreen needleleaved trees, thermal acclimation increases GPP in JJA and is again in closest agreement with  
710 the observational estimates. Finally, in JJA, AcKK.Med improves the simulation of ET across a large area of the  
711 temperate north and boreal regions.

712 Our evaluation therefore suggests that fixed, PFT-specific temperature dependencies for  $V_{cmax}$  (and  $J_{max}$ ) do not  
713 accurately simulate GPP for the tropical tree and evergreen needleleaf tree PFTs for the present-day in the JULES  
714 model. Thermal acclimation allows the temperature sensitivity of photosynthesis to adjust to the local temperature  
715 environment through flexibility in  $T_{optV}$ ,  $T_{optJ}$  and the  $J_{max}:V_{cmax}$  ratio. In the tropical forests, for example, GPP is  
716 over-estimated by both Clz.Jac and Fq.Jac. The configuration with thermal acclimation reduces GPP compared to  
717 both these model configurations. From the leaf-level plots in Fig. S1a, the fixed  $T_{opt}$  of photosynthesis in the  
718 Collatz scheme is  $\sim 33^\circ\text{C}$  and in Farquhar is  $\sim 34^\circ\text{C}$ . This is higher than observations from Fig. 1a of Kumarathunge  
719 et al. (2019b), where the  $T_{opt}$  for net leaf photosynthesis lies between  $\sim 29$  to  $32^\circ\text{C}$ , and other studies also show a  
720 lower  $T_{opt}$  for photosynthesis of around  $30^\circ\text{C}$  for mature tropical trees (Hernández et al., 2020; Mau et al., 2018).  
721 This supports our results, and suggests the fixed temperature sensitivity of photosynthesis for tropical trees in the  
722 JULES model results in a  $T_{opt}$  of photosynthesis that is too high for current-day. Thermal acclimation results in a  
723 more realistic  $T_{opt}$  of photosynthesis for tropical trees because it is influenced by actual growth temperature and  
724 so can adjust to local environmental conditions.

725 Under the climate change scenario used in this study, thermal acclimation shows a sustained positive acclimation  
726 effect in all regions, increasing GPP in response to long-term warming (although this is less pronounced in the  
727 boreal region). By 2050 GPP was  $\sim 10\%$  higher with thermal acclimation in the tropical forests, up to 30 to 40%  
728 higher across a large area of the northern hemisphere. Our findings broadly agree with Mercado et al. (2018), who  
729 implemented the Kattge and Knorr (2007) thermal acclimation scheme into JULES running as part of a coupled  
730 climate-carbon model, and found that thermal acclimation increased land carbon storage in tropical and temperate  
731 regions. This is in contrast to Lombardozzi et al. (2015) and Smith et al. (2016) whose studies both found a  
732 negative impact of photosynthetic thermal acclimation in the tropics, again using the Kattge and Knorr  
733 (2007) thermal acclimation scheme. Mercado et al. (2018) attribute these differences to the method used to  
734 implement acclimation of the  $J_{max}:V_{cmax}$  ratio at  $25^\circ\text{C}$ , that is either reducing  $J_{max}$  alone as in the case of the latter

735 two studies, or by decreasing  $J_{max}$  and increasing  $V_{cmax}$  simultaneously whilst keeping the total amount of leaf  
736 nitrogen the same as used in the present study and in Mercado et al. (2018). The simulated response of thermal  
737 acclimation therefore appears to be sensitive to this subtlety in the parameterisation of the acclimation schemes  
738 and warrants further investigation. Yet a clear understanding of what drives the change in the  $J_{max}:V_{cmax}$  ratio in  
739 response to  $T_{growth}$  is still lacking. More recent results from the analysis by Kumarathunge et al. (2019b) highlight  
740 the difficulty in pinning down what drives this process. They found that the  $J_{max}:V_{cmax}$  ratio responded strongly  
741 and consistently to  $T_{growth}$ , but whether that was achieved by increasing  $V_{cmax}$ , decreasing  $J_{max}$  or both was highly  
742 variable.

743 The behaviour of the thermal acclimation scheme in JULES in response to long term warming implies unlimited  
744 thermal resilience of vegetation, but how realistic is this? Observational studies suggest temperate tree species  
745 have sufficient capacity to acclimate to rising temperatures e.g. (Drake et al., 2015; Reich et al., 2018; Sendall et  
746 al., 2015), although large inter-specific variability in thermal tolerance is identified in co-occurring temperate tree  
747 species (Guha et al., 2018). Studies exploring thermal acclimation of photosynthesis for grasslands and  $C_3$   
748 herbaceous vegetation are more limited. For boreal tree species, experimental studies suggest high variability  
749 between species with respect to photosynthetic acclimation responses to increasing temperatures, for example,  
750 there is an increasing body of work suggesting that the evergreen boreal conifer species *Picea* might be particularly  
751 vulnerable to warming (Benomar et al., 2017; Dusenge et al., 2020; Kroner and Way, 2016; Kurepin et al., 2018;  
752 Way and Sage, 2008; Zhang et al., 2015). The three year open-air warming experiment of Reich et al. (2018)  
753 showed that for 11 temperate and boreal tree species studied, warming increased photosynthesis in most species  
754 on wet soils, but not in drier conditions. Further, under moist soil conditions, all deciduous species showed an  
755 acclimation response to increased temperatures, however, the two boreal evergreen species, *Abies* and *Picea*,  
756 showed no thermal acclimation response at any soil moisture concentration. It is generally thought that evergreen  
757 species have a reduced capacity to acclimate growth and photosynthesis to warming compared to deciduous tree  
758 species (Dusenge et al., 2020; Way and Yamori, 2014). Therefore, the response of boreal forest ecosystems to  
759 warming will depend on species composition given the varied acclimation capacities shown and lower diversity  
760 of boreal forests, and, as Reich et al. (2018) highlight, also on interaction with other climate changes such as  
761 precipitation. In contrast to temperate and boreal forests, tropical forests are thought to be more susceptible to  
762 climate change, having evolved under relatively narrow temperature regimes, and experiencing less seasonal and  
763 day-to-day variation in temperature changes (Cunningham and Read, 2003). As a consequence, an increasing  
764 number of studies show that tropical trees have less capacity to physiologically acclimate photosynthesis to  
765 increasing temperatures (Carter et al., 2021; Dusenge et al., 2021; Mau et al., 2018; Miller et al., 2021; Vårhammar  
766 et al., 2015). Other studies have determined high temperature threshold responses of photosynthesis, indicating  
767 an ability of tropical trees to acclimate to moderate warming, but more severe warming decreases carbon gain  
768 (Doughty and Goulden, 2008; Pau et al., 2018; Slot and Winter, 2017; Sullivan et al., 2020). In two tropical  
769 understorey species acclimation of the  $T_{opt}$  of photosynthesis was observed in the early successional species,  
770 whereas no acclimation capacity was shown by the mid-successional species (Carter et al., 2020). Our study  
771 demonstrates a large positive impact of thermal acclimation on GPP in tropical forests. However a notable  
772 uncertainty in the parameterisation is that the dataset used in the Kattge and Knorr (2007) scheme to construct the  
773 empirical relationships is heavily weighted towards temperate species, including only two boreal species and no  
774 tropical species (Kattge and Knorr, 2007). There is a significant gap in understanding tropical forest responses to

775 increasing temperature. Observational studies are starting to address this gap, but this increasing knowledge is yet  
776 to be incorporated into models. Therefore, whilst results from this study demonstrate the importance of thermal  
777 acclimation of photosynthesis on simulation of the future global carbon cycle, they should be interpreted with  
778 some caution. The varied results from experimental studies highlights the research needed to further understand  
779 thermal acclimation responses in a variety of ecosystems, over different timescales, and from leaf-level through  
780 to canopy, and finally to translate that understanding so it is amenable to incorporation into ESMs.

## 781 **5.2 Performance of the new JULES plant physiology model configurations: Medlyn $g_s$**

782 In this study, the Medlyn  $g_s$  model had the biggest impact on surface energy fluxes simulated by the  $C_3$  grass PFT  
783 and needleleaf evergreen tree PFT in JULES. This reflects a change to the water-use strategy of these PFTs as  
784 reported by Lin et al. (2015) that is not currently captured by parameterisations in the JULES Jacobs model. Global  
785 simulations with the Medlyn scheme for the recent contemporary period simulated a ~10% decrease in LE  
786 (increased H) across the high northern latitudes dominated by the NET PFT compared to the standard JULES  
787 Jacobs  $g_s$  scheme. The future climate change experiment showed a large response across the temperate region  
788 dominated by the  $C_3$  PFT, where LE increased by ~30% (H decreased) with Medlyn. Our study for current-day  
789 is in agreement with De Kauwe et al. (2015) who found a large impact of the Medlyn model on transpiration  
790 fluxes in needle leaved evergreen trees (~30% reduction) in the CABLE LSM. Coupled simulations using CABLE  
791 within the Australian Community Climate and Earth Systems Simulator (ACCESSv1.3b) showed that the Medlyn  
792  $g_s$  scheme reduced the LE flux from the land surface over the boreal forests during JJA by 0.5–1.0 mm day<sup>-1</sup>,  
793 leading to warmer daily maximum and minimum temperatures by up to 1.0°C and warmer extreme maximum  
794 temperatures by up to 1.5°C (Kala et al., 2015). In future simulations, this new parameterisation of the stomatal  
795 scheme in ACCESS1.3 substantially increased the intensity of future heatwaves across Northern Eurasia (Kala et  
796 al., 2016).

## 797 **5.3 Implications for land-atmosphere feedbacks**

798 Modifying the leaf-level stomatal behaviour in JULES impacts the simulated surface energy fluxes. In our study,  
799 a change of stomatal opening results from either a direct change in the parameterisation of  $g_s$  or through altered  
800 stomatal behaviour in response to temperature. In our offline climate change simulation, thermal acclimation  
801 increased stomatal opening in response to long term warming, and in some regions this increased the rate of  
802 transpiration and evaporative cooling, and decreased the sensible heat flux. When coupled to an atmospheric  
803 model, such behaviours have potential to feed-back on the land surface via changes in temperature, cloud cover  
804 and precipitation, as for example modelled by De Arellano et al. (2012); Kala et al. (2015); Kala et al. (2016);  
805 Kooperman et al. (2018); Zeng et al. (2017). The extent and amplitude of acclimation-induced perturbations to  
806 surface energy fluxes in our offline simulation suggests a potential impact on regional scale circulations, for  
807 example across the East Asian monsoon region. The impact of these changes to the plant physiology routines in  
808 JULES on land-atmosphere feedbacks will be investigated in future work through coupled simulations in the  
809 HadGEM global climate model.

## 810 **5.4 Limitations of this study**

811 Across all latitudes, the changes introduced to JULES by the new plant physiology routines did not degrade the  
812 performance of JULES. All model configurations compared reasonably well to the FluxCom and MOD17 GPP

813 products, and FluxCom and GLEAM ET products, given that there are also uncertainties inherent in estimates  
814 from these products. For example, the satellite-based products of GPP have recently been shown to incorrectly  
815 capture the response of photosynthesis to CO<sub>2</sub>, which means they potentially underestimate the response of GPP  
816 to rising atmospheric CO<sub>2</sub> (Keenan et al., 2021). Nevertheless, some notable biases in the model were identified  
817 that were common to all JULES model configurations, for example the over-prediction of GPP and ET in the  
818 temperate and boreal region in SON, and the over-prediction of both fluxes in MAM in the southern tropics (0 to  
819 -20°S). Potential sources of error to consider may be the use of a prescribed climatology of MODIS based LAI,  
820 which some studies have reported to be inaccurate over forested areas (Shabanov et al., 2005). Other processes  
821 currently missing in the model may also contribute to these large biases, such as a lack of seasonality in  
822 photosynthetic capacity (i.e.  $V_{cmax}$  and  $J_{max}$ ) which has been demonstrated for many different forest species (Croft  
823 et al., 2017; Wilson et al., 2001), and without which likely causes over-estimation of forest carbon exchange. For  
824 example, in SON the high GPP and ET bias occurs in the northern temperate and boreal region which could be  
825 linked to a lack of photosynthetic phenology in the model. Towards the end of the growing season leaves in this  
826 region have reduced nitrogen content and therefore lower photosynthetic capacity, but because JULES uses a  
827 fixed value for photosynthetic capacity JULES maintains a high rate of carbon assimilation despite having  
828 seasonal LAI.

829 More generally, this study revealed limited data to inform the temperature sensitivity response functions of  
830 different PFTs for implementation into LSMs. We found only a few datasets for C<sub>3</sub> grass/herbaceous vegetation  
831 (e.g. Wohlfahrt *et al.*, (1999) and Joseph *et al.*, (2014)) which represents only limited geographical coverage.  
832 Consequently, we fitted the temperature response function for this PFT in the Farquhar scheme to that of the  
833 existing function in the JULES Collatz photosynthesis scheme. We also encountered an issue regarding  
834 uncertainty about the temperature response functions at low temperatures. The data-led functions we implemented  
835 for all PFTs (with the exception of the C3 PFT) from Kumarathunge et al. (2019b) showed higher rates of leaf-  
836 level photosynthesis at low leaf temperatures compared to the existing functions in the JULES Collatz scheme,  
837 where photosynthesis was much lower and goes to zero at 0 °C for most PFTs (see PFT leaf-level temperature  
838 sensitivity curves for gross photosynthesis in Fig. S1). In our simulations this led to higher GPP in DJF when  
839 using the Farquhar scheme, which increased biases with respect to FluxCom and MOD17 global estimates of  
840 GPP. It is desirable to use the temperature response functions from Kumarathunge et al. (2019b) as these are  
841 entirely data-led. However for some PFTs the resulting behaviour of photosynthesis at very low temperatures  
842 looks potentially unrealistic, and the question here is how well constrained by observations are the temperature  
843 sensitivity curves at low temperatures? For global modelling applications, understanding the response of  
844 photosynthesis to temperature over a wide temperature range is essential, including at low temperatures as well  
845 as around the  $T_{opt}$  of photosynthesis for different species and PFTs. Additionally, increasing the understanding  
846 and data availability of the temperature sensitivity of different species from different biomes will allow greater  
847 representation within LSMs of the variation that exists across the globe.

848 The simulations presented in this work use a prescribed map of vegetation cover which means the extent and  
849 location of each PFT does not change over time. The model can alternatively be run with dynamic vegetation  
850 enabled, which means the model predicts the extent of each PFT, and therefore vegetation cover can change in  
851 space and time as PFTs compete with each other in response to changing climatic conditions. Yet to be explored

852 as part of this work, is how changes to the plant physiology routines, as implemented here, might affect the extent  
853 of different PFTs over time when vegetation dynamics is enabled. For example, changes to the temperature  
854 response of photosynthesis may lead to a competitive advantage of one PFT over another, and therefore the  
855 vegetation distribution may be very different as temperatures rise compared to simulations that either use the  
856 original Collatz temperature sensitivities or do not include thermal acclimation of photosynthesis. We hypothesise,  
857 for example, that allowing thermal acclimation of the temperature sensitivity of photosynthesis would make the  
858 vegetation distribution more stable in a warmer climate as vegetation can adjust its photosynthetic capacity to  
859 function more efficiently as temperatures rise. Applied in a coupled ESM, a change in vegetation distribution  
860 would impact projections of future climate change.

861 The treatment of soil moisture stress in JULES is through a linear response function (the  $\beta$  function, Eq. 12 in  
862 Best et al., 2011), the use of which in JULES and other LSMs has been identified as a key source of uncertainty  
863 (Blyth et al., 2011; Verhoef and Egea, 2014; Vidale et al., 2021). Incorrect representation of soil moisture stress  
864 has large impacts for modelled carbon and water fluxes, and is of particular importance as droughts are predicted  
865 to increase in frequency or intensity in the future. Work is ongoing to improve the representation of soil moisture  
866 stress in JULES. Harper et al. (2021) investigated alternative parameterisations for  $\beta$  and found that increasing  
867 modelled soil depth and therefore plant access to deep soil moisture improved the simulation of soil moisture  
868 stress at eddy covariance flux tower sites. In addition, using soil matric potential instead of volumetric water  
869 content in the  $\beta$  function allowed for PFT specific parameterisation of soil moisture stress responses to further  
870 improve modelled fluxes. Vidale et al. (2021) explored combinations of non-linear  $\beta$  function responses applied  
871 at different points in the photosynthesis –  $g_s$  pathway (i.e. carbon assimilation,  $g_s$ , or mesophyll conductance).  
872 They found that treatments allowing  $\beta$  to act on vegetation fluxes via stomatal and mesophyll routes were able to  
873 better capture the spatiotemporal variability in water use efficiency during the growing season. However, in  
874 addition to these alternative parameterisations of  $\beta$ , further developments to how the soil-plant hydraulic system  
875 is represented in JULES are being made, including an optimality based plant hydraulic transport model recently  
876 implemented in JULES (Eller et al., 2020).

877 Whilst the development of multi-layer canopy radiation models in LSMs has improved the simulation of radiation  
878 and energy within vegetation canopies, the interception of light by plants in JULES, like most LSMs, is not well  
879 represented despite being critical to predicting the uptake of carbon by plants (Loew et al., 2014). LSMs generally  
880 make the simplifying assumption that leaves are randomly arranged in space, instead of being clustered into tree  
881 crowns or around branches, leaving gaps in and around the canopy. Shortwave radiation is used by plants to  
882 photosynthesise, and canopy structure has a direct impact on the fraction of this radiation absorbed. Therefore  
883 canopy architecture plays an important role in the partitioning of incident solar radiation,  
884 photosynthesis, transpiration and momentum fluxes (Braghiere et al., 2019). More recently, alternative  
885 approaches are being considered to represent the forest light environment in LSMs to account for the structural  
886 effects of vegetation on radiation partitioning, ranging from canopy clumping parameterisations (Braghiere et al.,  
887 2019; Braghiere et al., 2020; Braghiere et al., 2021) to 3-dimensional models of the canopy light environment  
888 (Hogan et al., 2018; Kobayashi et al., 2012), embedded in radiative transfer schemes, although the latter tend to  
889 be computationally expensive (Yang et al., 2001). Braghiere et al. (2019) incorporated canopy clumping from  
890 satellite data into JULES which resulted in an increase in carbon uptake by photosynthesis. The greatest effect

891 were in the tropics, where the canopy clumping parameterisation allowed more light to reach the lower layers of  
892 the canopy where photosynthesis tends to be limited by light availability.

893

## 894 **5.5 Conclusions**

895 Here we introduce new representations of plant physiological processes into the JULES model, building enhanced  
896 capability, and allowing stronger links between model and field studies. This work a) introduces updated  
897 understanding of plant physiological processes into JULES, b) increases the flexibility of the modelling capacity  
898 within JULES by allowing use of two alternative photosynthesis and  $g_s$  schemes, in addition to thermal  
899 acclimation of photosynthesis, and c) provides new parameters that are entirely based on large observational  
900 datasets. Testing and evaluation at site-level and globally show some key improvements are made to the JULES  
901 model. Thermal acclimation of photosynthesis coupled with the optimality-based  $g_s$  scheme led to improved  
902 simulated carbon fluxes across much of the tropics for the present-day. With about 40% of the world's vegetation  
903 carbon residing in tropical forests, they play a crucial role in regulating both regional and global climate through  
904 water and carbon cycle dynamics (Erb et al., 2018; Pan et al., 2011). Therefore, accurate representation of tropical  
905 carbon fluxes within LSMs is important. Thermal acclimation and the optimality-based  $g_s$  scheme also improved  
906 simulated carbon fluxes in the high northern latitude forests in the northern hemisphere summer, and the same  
907 model configuration also improved simulated water fluxes across much of this region in the same season. The  
908 optimality-based Medlyn  $g_s$  scheme reduced the LE flux substantially across the northern boreal forests in JJA.  
909 This change reflects a more conservative water-use strategy for the needleleaf evergreen tree PFT that dominates  
910 in this region as suggested by the global synthesis of experimental data from Lin et al. (2015). The current JULES  
911 Jacobs scheme parameterisation does not accurately capture the water-use strategy of this PFT. Our future climate  
912 experiment highlights the impact of thermal acclimation on simulating carbon cycle dynamics and energy fluxes  
913 in response to long-term warming. The potential impact of this altered stomatal behaviour on land-atmosphere  
914 feedbacks via changes in surface energy fluxes will be examined in future coupled simulations.

915

## 916 **Code/Data availability**

917 JULES-vn5.6 was used for all simulations. The JULES model code and suites used to run the model are available  
918 from the Met Office Science Repository Service (MOSRS). Registration is required and code is freely available  
919 to anyone for non-commercial use (see here for details of licensing <https://jules.jchmr.org/content/code>). Visit the  
920 JULES website (<https://jules.jchmr.org/content/getting-started>) to register for a MOSRS account. The results  
921 presented in this paper were obtained by running JULES from the following branch:  
922 [https://code.metoffice.gov.uk/trac/jules/browser/main/branches/dev/douglasclark/vn5.6\\_acclimation@16578](https://code.metoffice.gov.uk/trac/jules/browser/main/branches/dev/douglasclark/vn5.6_acclimation@16578).

923 This is a development branch of JULES-vn5.6 to include thermal acclimation of photosynthesis as described in  
924 this paper. This branch can be accessed and downloaded from the Met Office Science Repository Service once  
925 the user has registered for an account, as outlined above. Documentation for the JULES model is located here:  
926 <https://jules-lsm.github.io/vn5.6/>. Output data from the model simulations, and R scripts to produce the plots in  
927 the paper are provided at (<https://doi.org/10.5281/zenodo.5825540>). Site-level simulations used the rose suite u-

928 br064 (<https://code.metoffice.gov.uk/trac/roses-u/browser/b/r/0/6/4/> at revision 146216) which is a copy of the u-  
929 al752 JULES suite for FLUXNET 2015 and LBA sites described here  
930 <https://code.metoffice.gov.uk/trac/jules/wiki/FluxnetandLbaSites>, and downloaded from here  
931 <https://code.metoffice.gov.uk/trac/roses-u/browser/a/1/7/5/2/> at revision 145397). The global simulations used  
932 JULES rose suite u-bq898 (<https://code.metoffice.gov.uk/trac/roses-u/browser/b/q/8/9/8/> at revision 181188)  
933 which uses the Global Land configuration 7.1 (Wiltshire et al., 2020). Suites can be downloaded from MOSRS  
934 once the user has registered for an account.

935

### 936 **Competing Interests**

937 The authors declare no competing interests.

### 938 **Author Contributions**

939 RJO performed simulations and analysis and wrote the first version of the manuscript. DBC, LMM and RJO  
940 developed the model. PLV, PCM and MT provided data for the future climate runs, help with developing the  
941 JULES suites, and general expertise. CH assisted with analysis. SF and SS provided ancillary data for forcing the  
942 model. LMM, CMT, CH, PLV, BEM, PCM and MT contributed to editing the manuscript. All authors contributed  
943 to discussions throughout to develop the work.

944

945

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