1	Improved representation of plant physiology in the JULES-
2	vn5.6 land surface model: Photosynthesis, stomatal
3	conductance and thermal acclimation
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29 Abstract.

Carbon and water cycle dynamics of vegetation are controlled primarily by photosynthesis and stomatal 30 31 conductance (g_s). Our goal is to improve the representation of these key physiological processes within the JULES 32 land surface model, with a particular focus on refining the temperature sensitivity of photosynthesis, impacting 33 modelled carbon, energy and water fluxes. We test (1) an implementation of the Farquhar et al. (1980) 34 photosynthesis scheme and associated plant functional type-dependent photosynthetic temperature response 35 functions, (2) the optimality-based g_s scheme from Medlyn et al. (2011), and (3) the Kattge and Knorr (2007) 36 photosynthetic capacity thermal acclimation scheme. New parameters for each model configuration are adopted 37 from recent large observational datasets that synthesise global experimental data. These developments to JULES 38 incorporate current physiological understanding of vegetation behaviour into the model, and enable users to derive 39 direct links between model parameters and on-going measurement campaigns that refine such parameter values. 40 Replacement of the original Collatz et al. (1991) C3 photosynthesis model with the Farquhar scheme results in large changes in GPP for current-day, with ~10% reduction in seasonal (June-August; JJA and December-41 42 February; DJF) mean GPP in tropical forests, and ~20% increase in the northern high latitude forests in JJA. The 43 optimality-based g_s model decreases the latent heat flux for the present-day (~10%, with an associated increase in 44 sensible heat flux) across regions dominated by needleleaf evergreen forest in the northern hemisphere summer. 45 Thermal acclimation of photosynthesis coupled with the Medlyn gs scheme reduced tropical forest GPP by up to 46 5%, and increased GPP in the high northern latitude forests by between 2 to 5%. Evaluation of simulated carbon and water fluxes by each model configuration against global data products show this latter configuration generates 47 48 improvements in these key areas. Thermal acclimation of photosynthesis coupled with the Medlyn g_s scheme improved modelled carbon fluxes in tropical and high northern latitude forests in JJA, and improved the simulation 49 50 of evapotranspiration across much of the northern hemisphere in JJA. Having established good model 51 performance for the contemporary period, we force this new version of JULES offline with a future climate scenario corresponding to rising atmospheric greenhouse gases (SSP5 RCP8.5). In particular, these calculations 52 53 allow understanding of the effects of long-term warming. We find that the impact of thermal acclimation coupled 54 with the optimality-based g_s model on simulated fluxes increases latent heat flux (+50%) by year 2050 compared to the JULES model configuration without acclimation. This new JULES configuration also projects increased 55 56 GPP across tropical (+10%) and northern latitude regions (+30%) by 2050. We conclude that thermal acclimation 57 of photosynthesis with the Farquhar photosynthesis scheme and the new optimality-based g_s scheme together improve the simulation of carbon and water fluxes for current-day, and has a large impact on modelled future 58 59 carbon cycle dynamics in a warming world.

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65 1. Introduction

66 Photosynthesis and stomatal conductance (g_s) together exert a strong control over the exchange of carbon, water 67 and energy between the land surface and the atmosphere. The behaviour of stomatal pores on the leaf surface link these processes, controlling the amount of carbon dioxide (CO2) entering, and water leaving each leaf. 68 69 Photosynthesis represents the largest exchange of carbon between the land and atmosphere (Friedlingstein et al., 70 2020), being more substantial than respiration loss. This imbalance is central to the global carbon cycle because 71 it slows the rate of accumulation of CO2 in the atmosphere caused by fossil fuel burning, and therefore also lowers 72 the rate of atmospheric temperature increase. As stomata open to take up CO₂ for photosynthesis, plants also lose 73 water through transpiration, and this flux has been estimated to account for 60-80% of evapotranspiration (ET) 74 across the land surface (Jasechko et al., 2013; Schlesinger and Jasechko, 2014). Hence, for vegetated surfaces, 75 transpiration is the primary driver of the latent heat flux (LE), the latter describing the overall transfer of water vapour to the atmosphere. The partitioning of available net radiation between LE and sensible heat (H) is also a 76 77 key determinant of land surface temperature, therefore having a feedback on photosynthesis and other key 78 metabolic processes that influence the global carbon cycle such as plant respiration.

79 Land surface models (LSMs) simulate the exchange of carbon, water and energy between the land surface and the atmosphere, providing the lower boundary conditions for the atmospheric component of Earth System Models 80 81 (ESMs) when run in a coupled configuration. ESM projections form the main tool to predict future climate change and underpin much of the regular United Nations Intergovernmental Panel on Climate Change (IPCC) reports that 82 inform policymakers. However, ESM predictions of the global carbon sink are fraught with large uncertainties 83 84 surrounding projections of future carbon uptake (Friedlingstein et al., 2014), causing uncertainty in any translation 85 from CO₂ emissions to atmospheric CO₂ trajectory. A lack of knowledge in how the global carbon cycle operates creates uncertainties in translating from emissions to global warming, and these uncertainties are a sizeable 86 87 fraction of those associated with unknowns of physical climate processes (Huntingford et al., 2009). Therefore, 88 given the critical role of both photosynthesis and g_s in determining land-atmosphere exchanges, their accurate representation and parameterisation in LSMs is of paramount importance. Booth et al. (2012) show that a 89 significant uncertainty is the temperature sensitivity of photosynthesis, and suggest that thermal acclimation of 90 91 photosynthesis - where plants adjust their optimum temperature for photosynthesis to growth conditions 92 experienced over the timescale of days to weeks - might reduce the spread in modelled carbon exchange. Yet 93 despite strong evidence of the thermal acclimation capability of plant photosynthesis (Dusenge et al., 2020; Slot et al., 2021; Way et al., 2017; Way and Yamori, 2014; Yamaguchi et al., 2016), incorporation of this process in 94 95 large-scale LSMs is limited to only a few e.g. TEM (Chen and Zhuang, 2013), CLM4.5 (Lombardozzi et al., 2015), LM3 (Smith et al., 2016), JULES (Mercado et al., 2018), ORCHIDEE (Krinner et al., 2005) and BETHY 96 (Ziehn et al., 2011), and is not yet commonly represented in ESMs. Currently, the majority of LSMs and ESMs 97 98 use simple fixed (i.e. non-acclimating) temperature response functions for photosynthetic capacity parameters (Smith and Dukes, 2013), which, in general, cause the rate of leaf photosynthesis to increase with temperature to 99 100 an optimum and then decrease under higher temperatures. These functional forms are either generic for all C_3/C_4 101 species and fixed in time and space, or are dependent on a small number of plant functional types (PFTs) but again 102 fixed in time and space. Consequently, climate-carbon feedbacks in ESMs are sensitive to the assumed value of 103 the fixed optimum temperature for photosynthetic capacity (T_{opt}) , because, very simplistically, the amount of carbon assimilated depends on whether leaf temperature is dominantly above or below T_{opt} . Improved process representation of g_{s} , photosynthesis, and its temperature sensitivity in LSMs is necessary to support robust predictions of global climate change via their coupling into ESMs. Modelling studies have shown how photosynthesis and g_s impact climate feedbacks, play a critical role in how climate will change, and strongly influence climate-induced impacts such as water resources (Betts et al., 2007; Cruz et al., 2010; De Arellano et al., 2012; Gedney et al., 2006; Kooperman et al., 2018; Zeng et al., 2017).

110 This study, therefore, updates the plant physiology routines in the Joint UK Land Surface Environment Simulator (JULES-vn5.6) LSM, the land-surface component of the UK Hadley Centre ESM (Sellar et al., 2019). To date, 111 112 JULES has employed the mechanistic C₃ photosynthesis scheme of Collatz et al. (1991) ("Collatz"). However, 113 the Farquhar et al. (1980) ("Farquhar") scheme is more generally adopted by those modelling photosynthetic 114 response and by researchers analysing data from empirical studies. The Farquhar scheme has been recently implemented in JULES by Mercado et al. (2018) for C3 plant types, albeit using a big leaf canopy scaling approach 115 116 and was not parameterised and evaluated for global applications. Here we build on that previous study by using a 117 data-driven approach incorporating data from multiple biomes to parameterise the Farquhar model photosynthetic 118 capacity parameters and their temperature sensitivity so it is amenable for use in global studies. Our specific 119 rationale for including the Farquhar photosynthesis scheme is twofold. Firstly, studies by Rogers et al. (2017) and 120 Walker et al. (2021) demonstrate that despite only the Collatz or Farquhar descriptions of leaf photosynthesis 121 being in general use, simulated photosynthesis varies significantly between LSMs. This variation is attributed to several factors, including 1) differences in prescribed Rubisco kinetic constants and their temperature responses 122 123 (Rogers et al., 2017), 2) structural differences, namely the method used to determine the transition point between the limiting rates of photosynthesis which has a disproportionate impact on estimates (Huntingford and Oliver, 124 125 2021; Walker et al., 2021), and 3) the sensitivity of photosynthesis to temperature, in terms of the under-126 representation of parameters from different biomes to describe the short-term instantaneous response of 127 photosynthesis to temperature (Rogers et al., 2017). In particular, these differences imply that parameter values 128 derived calibrating the Collatz model against data will differ to those derived using Farquhar against the same set 129 of measurements. Parameter values are not transferable between models, hence such differences will lead to inconsistencies and projection errors if parameters are fitted to data, but then applied within the alternative model. 130 131 Building in the capacity of an LSM to run with either photosynthesis scheme greatly enhances flexibility in modelling. Importantly, this flexibility allows for consistency between parameters used by empiricists to derive 132 leaf level photosynthetic parameters from observations, and those used in large scale modelling. Additionally, our 133 134 re-parameterisation of the photosynthetic capacity and temperature sensitivity parameters are based on recent 135 global datasets that are more extensive, including species from a range of different biomes, further enhancing the 136 capacity for global modelling applications. Our second rationale is that the Farquhar photosynthesis scheme is 137 required as the underlying model to implement the Kattge and Knorr (2007) thermal acclimation scheme.

Leaf level g_s response to water vapour is commonly represented in LSMs empirically (Jarvis et al., 1976), or with a semi-empirical model (Ball et al., 1987; Damour et al., 2010; Leuning, 1995). Values of g_s are subsequently scaled yielding an estimate of canopy conductance for vegetation in different ecosystems. De Kauwe et al. (2013) showed that 10 of the 11 ecosystem models studied in their inter-comparison used a form of the "Ball–Berry– Leuning" approximation. This model form links g_s to changes in environmental conditions, and directly to

143 photosynthetic rate. However, there is increasing interest in using models based on optimisation theory (Franks et 144 al., 2017; Franks et al., 2018), using evidence that stomata may behave to maximise CO₂ gain whilst minimising 145 water loss. The major advantage of optimality theory is that the optimisation criterion will apply under any 146 environmental conditions, past or future. Hence the derived equations can replace uncertain mechanistic 147 formulations and may also have more predictive capability corresponding to future climate regimes. JULES 148 traditionally uses the empirically-based Jacobs (1994) g_s scheme ("Jacobs"), and in this study we compare the behaviour of this scheme against the Medlyn et al. (2011) gs scheme ("Medlyn") which is based on optimisation 149 150 theory. The Medlyn g_s model has been previously implemented in JULES by Oliver et al. (2018). However, in 151 this study, we advance on that previous work by calibrating for the increased number of plant functional types 152 now in JULES (nine PFTs, as opposed to five in the original study), and we parameterise using data from a global 153 synthesis of experimental observations.

154 There is increasing evidence that the short-term vegetation temperature responses are themselves sensitive to 155 temperatures experienced over longer time-scales (days to weeks to seasons) and in particular, have the capability 156 to acclimate to growth temperature (T_{growth}) (Kattge and Knorr, 2007). Observational evidence of thermal 157 acclimation of photosynthesis has been widely reported, primarily for temperate and boreal ecosystems (Atkin et 158 al., 2006; Gunderson et al., 2000; Gunderson et al., 2010; Hikosaka et al., 2007; Way and Yamori, 2014; Yamori 159 et al., 2014). The effect is defined as the fast temporal adjustment of the temperature response of photosynthesis 160 driven by a change in T_{growth}. Thermal acclimation of photosynthesis typically results in a shift in the optimum temperature (T_{opt}) for photosynthesis towards the new growth temperature, which can result in an increase or 161 162 maintenance of the photosynthetic rate respective to T_{growth} (Yamori et al., 2014). In this study, we implement thermal acclimation of photosynthetic capacity in JULES using the scheme from Kattge and Knorr (2007). The 163 164 scheme attributes all changes in the photosynthetic response to changing T_{growth} , without specifically separating 165 adaptation from acclimation processes. Of those LSMs that do account for thermal acclimation of photosynthesis 166 (e.g. TEM, CLM4.5, LM3, JULES) (Chen and Zhuang, 2013; Lombardozzi et al., 2015; Mercado et al., 2018; 167 Smith et al., 2016), all similarly use this numerical algorithm from Kattge and Knorr (2007). Mercado et al. (2018) 168 investigated the impacts of thermal acclimation on the future land carbon sink using an implementation of the 169 Kattge and Knorr (2007) in JULES, although using a simple big leaf scaling approach. In this study we apply the 170 thermal acclimation scheme in the updated JULES model (i.e. newly parameterised Farquhar scheme, running 171 with a multi-layer canopy and nine PFTs) and updated with the Medlyn g_s scheme and related parameters. 172 This paper therefore brings together these three key recent developments of the JULES plant physiology routines,

173 (1) implementation of the Farquhar photosynthesis scheme, (2) the optimisation-based Medlyn model of stomatal 174 opening, and (3) thermal acclimation of photosynthesis, along with updated parameters and an evaluation of model 175 behaviour. We make incremental additions of the different processes to the JULES model in a set of factorial simulations and run the model with current day (1979 to 2013) near-surface meteorological forcing and CO2 176 177 levels. First, we present the different factorial simulations in the context of a thorough evaluation of simulated 178 contemporary carbon and energy fluxes. Such evaluation includes comparison against individual eddy covariance 179 sites, and at spatial scales up to the global scale against satellite products. Timescales analysed are both seasonal 180 and annual. Secondly, we apply the new model configurations within a past-to-future climate change simulation 181 based on a high-end emissions scenario (SSP5 RCP8.5). We use output from HadGEM3-GC3.1 spanning years

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182 1960 to 2050 to explore sensitivity of global vegetation to future climate change. This choice of scenario is to allow eventual comparison between these offline simulations and the equivalent in the coupled global climate model to investigate land-atmosphere feedbacks resulting from these changes to the plant physiology routines. This is currently work being undertaken. This updated version of the JULES model is now available in official JULES releases for use by the community (see data availability). It is therefore also readily available for full coupling into the UK community ESM (UKESM), a process that is just starting.

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189 2. Model description

190 2.1 JULES land surface model

191 Our modelling framework is JULES (https://jules.jchmr.org), the land surface component of the Hadley Centre climate models, which includes the new UK community Earth System Model (UKESM1) (Sellar et al., 2019). 192 193 JULES can be run offline, as in this study, forced with observed meteorology, at different spatial scales (from a single location to global). A full description of JULES is provided in Best et al. (2011), Clark et al. (2011) and 194 195 Harper et al. (2016). Of particular relevance for this study is the plant physiological representation in JULES. 196 JULES uses a leaf-level coupled model of photosynthesis and g_s (Cox et al., 1998) based on Collatz et al. (1991) 197 and Collatz et al. (1992) (for C_3 and C_4 plants) and Jacobs (1994) respectively. Photosynthesis and g_s are modelled 198 to respond to changes in environmental drivers of temperature, humidity deficit, light, CO2 concentration and 199 water availability. Soil moisture content is modelled using a dimensionless soil water stress factor which is related 200 to the mean soil water concentration in the root zone, and the soil water contents at the critical and wilting point 201 (Best et al., 2011). The critical and wilting point soil moisture concentrations vary by soil type in these simulations. 202 In this study, JULES uses a multilayer canopy radiation interception and photosynthesis scheme (i.e. 10 layers) 203 that accounts for vertical variation of incoming direct and diffuse radiation, sun fleck penetration through the 204 canopy, change in photosynthetic capacity with depth into the canopy, inhibition of leaf respiration in the light 205 and differentiates calculation of sunlit and shaded photosynthesis at each layer (Clark et al., 2011; Mercado et al., 206 2009). The implementation of a multilayer canopy for light interception in JULES was shown to improve modelled 207 canopy scale photosynthetic fluxes at eddy covariance sites compared to the 'big leaf approach' (Blyth et al., 208 2011; Jogireddy et al., 2006; Mercado et al., 2007). Specifically, the multi-layer approach better captured the light 209 response and diurnal cycles of canopy photosynthesis. While light inhibition of leaf respiration and changing 210 photosynthetic capacity with canopy depth are supported by observations (Atkin et al., 2000; Atkin et al., 1998; 211 Meir et al., 2002). Sunfleck penetration through the canopy and the differential effects of direct and diffuse beam 212 radiation on modelled carbon and water exchange in JULES were studied by Mercado et al. (2009). This enabled 213 JULES to reproduce the different light-response curves of GPP under diffuse and direct radiation conditions at 214 both a broadleaf and needleleaf temperate forest.

215 2.2 Physiology Developments

216 2.2.1 Farquhar photosynthesis for C₃ plants and parameterisation

217 We implement the Farquhar photosynthesis scheme (Farquhar et al., 1980) to describe the leaf-level biochemistry 218 of photosynthesis for C_3 vegetation following the approach of Mercado et al. (2018). Here the leaf-level 219 photosynthesis is calculated as the minimum (note no smoothing) of two potentially limiting rates (Equation 1a). 220 These two rates are i) Rubisco-limited photosynthesis (Equation 2) and ii) light-limited photosynthesis with a 221 dependence on the incident photosynthetically active photon flux density and the potential electron transport rate 222 (Equations 3 and 4). Note, as in the original Farquhar formulation, we do not include a TPU-limited (triose 223 phosphate utilisation) rate. Further, recent empirical studies suggest that TPU limitation rarely limits photosynthesis under present-day CO2 concentrations and is also unlikely to limit photosynthesis at elevated CO2 224 225 (Kumarathunge et al., 2019a). This, and the current uncertainty in the formulation of TPU limitation of 226 photosynthesis led Rogers et al. (2021) to conclude it is an unnecessary complication in LSMs. Hence:

$$227 A_p = min\{A_v, A_j\} - R_d (1a)$$

$$228 \qquad A_n = A_p \beta$$

229 where A_p is the net potential (i.e. unstressed) leaf photosynthetic carbon uptake (mol m² s⁻¹), R_d is the rate of leaf 230 respiration in the dark (mol m² s⁻¹), A_n is the net photosynthetic rate (mol m² s⁻¹) which accounts for the impact of 231 soil moisture stress on photosynthetic rate by multiplying A_p by the soil water stress factor β . Rubisco-limited photosynthesis (A_{ν} , mol m² s⁻¹) is calculated as in Equation 2. The maximum rate of carboxylation of Rubisco is 232 233 determined by V_{cmax} (mol m² s⁻¹), c_i and o_a are the intercellular concentrations of CO₂ and O₂ (both Pa), K_c and K_o 234 (both units of Pa) are the Michaelis Menten coefficients for Rubisco carboxylation and oxygenation respectively, 235 and Γ (Pa) is the CO₂ compensation point in the absence of mitochondrial respiration.

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237
$$A_{v} = \frac{V_{cmax}(c_{i} - \Gamma)}{\left[c_{i} + K_{c}\left(1 + \frac{o_{a}}{K_{o}}\right)\right]}$$

238 The light-limited rate of photosynthesis (A_i , mol m² s⁻¹) (Equation 3) is a function of the rate of electron transport 239 $J \pmod{s^{-1}}$ which is represented in Equation 4. J depends on the incident photosynthetically active photon flux 240 density Q (mol quanta m² s⁻¹), the potential rate of electron transport J_{max} (mol m² s⁻¹), and the apparent quantum 241 yield of electron transport α (mol electrons mol⁻¹ photon); fixed at 0.3 (mol electrons mol⁻¹ photon) following 242 Medlyn et al. (2002), and p a non-rectangular hyperbola smoothing parameter which takes a value of 0.9 (unitless) 243 following Medlyn et al. (2002). The factor of four used in the Farquhar model in Equation 3 accounts for four 244 electrons being required per carboxylation/oxygenation reaction. 245

246
$$A_j = \left(\frac{J}{4}\right) \frac{(c_i - \Gamma)}{(c_i + 2\Gamma)}$$

247

$$248 \qquad \theta J^2 - (\alpha Q + J_{max})J + \alpha Q J_{max} = 0$$

249

250	JULES currently uses Q_{10} functions in the Collatz scheme to describe the temperature dependency of $V_{cmax} K_{co}$
251	K_o , and Γ (see Notes S1). In our implementation of the Farquhar scheme, temperature sensitivities for the K_o , K_o .
252	and <u><i>I</i></u> -latter parameters are taken from Bernacchi et al. (2001) as described in Medlyn et al. (2002). These are the

(2)

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(1b)

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254 (Rogers et al., 2017). Of particular importance to our analysis here are the temperature responses of V_{cmax} and J_{max} .

255 Equation 5 describes the temperature response of both parameters:

256
$$k_{T} = k_{25} \exp\left[H_{a} \frac{(T_{l} - T_{ref})}{T_{ref} R T_{l}}\right] \frac{1 + exp\left[\frac{T_{ref} \Delta S - Hd}{T_{ref} R}\right]}{1 + exp\left[\frac{T_{l} \Delta S - Hd}{T_{l} R}\right]}$$
(5)

Here, k_T (µmol m² s⁻¹) is either V_{cmax} or J_{max} at leaf temperature T_l (K), k_{25} (µmol m² s⁻¹) is the rate of V_{cmax} or J_{max} at the reference temperature T_{ref} of 25 °C (298.15 K), R is the universal gas constant (8.314 J mol⁻¹ K⁻¹), H_a and H_d (J mol⁻¹) are the activation and deactivation energies respectively, and ΔS (J mol⁻¹ K⁻¹) is an entropy term (see Table 1 for PFT-specific parameter values). Broadly, H_a describes the rate of exponential increase of the function below the optimum temperature (T_{opt}), and H_d describes the rate of decrease above the T_{opt} . ΔS and T_{opt} are related by Equation 6, which is used to calculate the T_{opt} of V_{cmax} and J_{max} (Table 1):

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$$264 T_{opt} = \frac{H_d}{\Delta S - R \ln \left[\frac{H_a}{H_d - H_a}\right]} (6)$$

266**Table 1.** PFT-specific parameters for the required temperature dependency of V_{cmax} and J_{max} in the Collatz and267Farquhar photosynthesis schemes. PFT codes (left column) are BET-tr: Broadleaf evergreen tropical tree, BET-268te: Broadleaf evergreen temperate tree, BDT: Broadleaf deciduous tree, NET: Needle leaf evergreen tree, NDT:269Needle leaf deciduous tree, C₃: C₃ grass, C₄: C₄ grass, ESH: Evergreen shrub, DSH: Deciduous shrub.

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	Collatz			Collatz Farquhar						
	T	æ	TT (m (T	Hd _{vemax}
	Tupp	Tlow	Topt _{vcmax}	Ha_{vcmax}	Ha _{jmax}	<i>∆S_{vemax}</i> (I mol ⁻	∆S _{jmax} (I mol ⁻¹	Topt _{vemax}	Topt _{jmax}	or Hd _{jmax}
	(°C)	(°C)	(°C)	(J mol ⁻¹)	(J mol ⁻¹)	¹ K ⁻¹)	(5 mor K ⁻¹)	(°C)	(°C)	(J mol ⁻¹)
BET- tr BET-	43	13	39.00	86900	64000	631	635	42.71	38.73	200000
te	43	13	39.00	59600	35900	634	632	38.80	37.10	200000
BDT	43	5	39.00	49300	38800	658	663	26.57	23.22	200000
NET	37	5	33.00	63100	36400	642	643	35.28	31.96	200000
NDT	36	-5	34.00	49300	38800	658	663	26.57	23.22	200000
C ₃	32	10	28.00	97200	112000	660	663	28.00	28.00	199000
C_4	45	13	41.00	-	-	-	-	-	-	-
ESH	36	10	32.00	59600	35900	634	632	38.80	37.10	200000
DSH	36	0	32.00	49300	38800	658	663	26.57	23.22	200000

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274 To find new estimates for V_{cmax} and the J_{max} : V_{cmax} ratio at T_{ref} of 25°C for use with the Farquhar model for the 9 275 PFT's in JULES we used the global dataset from Walker et al. (2014) which includes data from 356 species. For 276 V_{cmax} and J_{max} , Walker et al. (2014) re-analysed the data to remove the variation in these two parameters across 277 studies caused by different parametric assumptions used in their derivation from A-C_i curves (e.g. using a common set of kinetic parameters, and reporting values at 25°C). We calculated the mean V_{cmax} and J_{max} across studies 278 conducted at ambient CO2 concentration for each of the JULES PFTs (Table 2). To parameterise the deciduous 279 needleleaf tree (NDT) PFT, we use the values for the evergreen needleleaf tree (NET) PFT because the data for 280 281 NDT was from a single study on one juvenile (3 years old) species. An exception was the tropical broadleaf 282 evergreen tree (BET-tr) PFT, where we use V_{cmax} and J_{max} from the dataset collated in the more recent compilation by Kumarathunge et al. (2019b), as this study includes many more tropical tree species than any previous meta-283 284 analysis.

285 Parameter values for the temperature response functions for V_{cmax} and J_{max} (Equation 5) in the Farquhar scheme were taken from a global dataset of photosynthetic CO2 response curves, which entrained data from 141 C3 286 287 species, ranging from the tropical rainforest to Arctic tundra (Kumarathunge et al., 2019b). The study provides 288 parameter values for tree PFT's that match those in JULES, e.g. tropical broadleaf evergreen trees (BET-tr PFT in JULES), temperate broadleaf evergreen trees (BET-te), broadleaf deciduous trees (BDT) and needleleaf 289 evergreen trees (NET). For the remaining JULES PFTs, BDT values are used for NDT and deciduous shrubs 290 291 (DSH), and BET-te values are used for evergreen shrubs (ESH). Kumarathunge et al. (2019b) do not include data 292 for C₃ grasses, therefore to parameterise the temperature dependency of V_{cmax} and J_{max} for this PFT, we fitted both 293 to the existing V_{cmax} temperature response function in the Collatz scheme for C₃ grasses because of a scarcity of data in the literature. Fig. S1 shows the temperature dependency of V_{cmax}, J_{max} and gross photosynthesis for Collatz 294 295 and Farquhar using the PFT-specific parameters in Table 1 and Table 2.

296

297 Table 2. PFT-specific parameters for the Collatz and Farquhar photosynthesis schemes.

298

	Collatz			F	arquhar		
	V_{cmax25} $\alpha_{(intrinsic)}$ (mol CO ₂ mol ⁻¹	V _{cmax25}	J_{max25}	J _{max} :V _{cmax}	$a_{a}: V_{cmax}$ $a_{(apparent)}$ (mol electrons mol ⁻¹	Formatted: Subscript	
	(umol m ² s ⁻¹)	(umol m ² s ⁻¹) PAR)	(umol m ² s ⁻¹)	(umol m ² s ⁻¹)		photon)	Formatted: Subscript
BET-tr	41.16	0.08	39.50	63.20	1.60	0.30	
BET-te	61.28	0.06	68.95	112.59	1.63	0.30	
BDT	57.25	0.08	55.24	98.30	1.78	0.30	
NET	53.55	0.08	50.80	75.14	1.48	0.30	
NDT	50.83	0.10	50.80	75.14	1.48	0.30	
C ₃	51.09	0.06	43.83	108.07	2.47	0.30	
C_4	31.71	0.04	-	-	-		
ESH	62.41	0.06	68.96	112.59	1.63	0.30	
DSH	50.40	0.08	55.24	98.30	1.78	0.30	

300 2.2.2 Medlyn model of g_s and parameterisation

301 In JULES, g_s (m s⁻¹) is represented in Equation 7.

$$302 \qquad g_s = 1.6RT_l \frac{A_n}{c_a - c_i}$$

303 where the factor 1.6 accounts for g_s being the conductance for water vapour rather than CO₂, R is the universal 304 gas constant (J K⁺¹-mol⁻¹ K⁻¹), T_i is the leaf surface temperature (K), c_a and c_i (both Pa) are the leaf surface and 305 internal CO₂ partial pressures respectively, and A_n is the net photosynthetic rate. Here, c_i is unknown and is 306 calculated in JULES using the Jacobs scheme as in Equation 8, and relates the ratio of ambient (c_a) to leaf 307 intercellular (c_i) partial pressure of CO₂ (c_i/c_a), to leaf humidity deficit:

$$308 \qquad c_i = (c_a - \Gamma) f_0 \left(1 - \frac{d_q}{dq_{crit}} \right) + \Gamma \tag{8}$$

309 where Γ (Pa) is the CO₂ photorespiration compensation point, d_q is the humidity deficit at the leaf surface (kg kg⁻ 310 ¹), and dq_{crit} (kg kg⁻¹) and f_0 are PFT specific parameters representing the critical humidity deficit at the leaf surface 311 and the leaf internal to atmospheric CO₂ ratio (c_l/c_a) at the leaf specific humidity deficit (Best et al., 2011). To 312 implement the Medlyn model, Equation 9 is used to calculate c_i, retaining Equation 7 to calculate g_s. In Equation 313 9, g_I (kPa^{0.5}) is a PFT-specific model parameter and d_q is expressed in kPa. The Medlyn scheme is based on 314 optimisation theory, and so assumes that stomatal aperture is regulated to maximize carbon gain while 315 simultaneously minimising water loss:

316
$$c_i = c_a \left(\frac{g_1}{g_1 + \sqrt{d_q}}\right) \tag{9}$$

317 PFT-specific values of the g_1 parameter were derived for the nine JULES PFTs from the global data base of Lin 318 et al. (2015) (Table 3). The g_1 parameter represents the sensitivity of g_3 to the assimilation rate, i.e. plant water 319 use efficiency, and was derived as in Lin et al. (2015), by fitting the Medlyn et al. (2011) model to observations 320 of gs, photosynthesis, and VPD, assuming an intercept of zero. A non-linear mixed-effects model was used to 321 estimate the model slope coefficient, g1, for each PFT, where individual species were assumed to be the random 322 effect to account for the differences in the g1 slope among species within the same group, following Lin et al. 323 (2015).

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(7)

Table 3. PFT-specific parameters required for the Jacobs and Medlyn g_s schemes.

333

=

	Jacobs f	Jacobs	Medlyn
	Jo	<i>uycrit</i>	g1 (1-D-0-5)
		(Kg Kg ⁻)	(KPa ^m)
BET-tr	0.875	0.090	5.31
BET-te	0.892	0.090	3.37
BDT	0.875	0.090	4.45
NET	0.875	0.060	2.35
NDT	0.936	0.041	2.35
C ₃	0.931	0.051	5.25
C4	0.800	0.075	1.62
ESH	0.950	0.037	3.29
DSH	0.950	0.030	5 47

334

335 **2.2.3 Thermal acclimation of photosynthetic capacity**

336 The Kattge and Knorr (2007) acclimation algorithm ("AcKK") is based on the parameters of the Farquhar photosynthesis scheme, hence acclimation is implemented in the Farquhar model. The AcKK algorithm uses 337 338 empirical relationships to describe the response of V_{cmax} , J_{max} , and the J_{max} : V_{cmax} ratio to changes in T_{growth} (defined in AcKK as the average temperature (day and night) of the previous 30 days), and importantly it represents 339 combined acclimation and adaptation processes. Kattge and Knorr (2007) found that ΔS_{v} , ΔS_{j} , and the J_{max} : V_{cmax} 340 341 ratio decrease linearly with increasing T_{growth} following Equation 10. This means according to these relationships, 342 the optimum temperatures (T_{opt}) of V_{cmax} and J_{max} (T_{opty}) and T_{optj} increase by 0.44°C and 0.33°C per degree increase 343 in T_{growth} respectively, and the J_{max} : V_{cmax} ratio at 25°C decreases by 0.035°C per degree increase in T_{growth} .

In T_{growth} respectively, and the J_{max} . v_{cmax} ratio at 25 C decreases by 0.055 C per degree increase in T_{grow}

$$344 \qquad x_i = a_i + b_i T_{growth}$$

(10)

The *x* is either ΔS_v , ΔS_j or the J_{max} : V_{cmax} ratio, and the sub-index *i* refers to the parameter values (*a* and *b* shown in Table 4) for V_{cmax} , J_{max} or the J_{max} : V_{cmax} ratio. T_{growth} is the growth temperature (calculated online as the mean temperature of the previous 30 days).

348

Table 4. Parameter values derived by Kattge & Knorr (2007) and used in this study in Equation 10 to model
 thermal acclimation of photosynthesis using the AcKK scheme.

	Acclimation			
	а	b		
⊿Sj	659.7	-0.75		
ΔSv	668.39	-1.07		
$J_{max}:V_{cmax}$	2.59	-0.035		

351 3. Model evaluation and application

352 3.1 Site level simulations

353 JULES was applied using four model configurations (Table 5) with observed meteorology, and evaluated against 354 data from 17 eddy covariance sites (Table S1, Fig. S2). This collection of eddy covariance measurements 355 represents a range of climates and land cover types (Table S1, Fig. S2). In all simulations the vegetation cover 356 was prescribed, removing any biases that the modelled competition may introduce through self-diagnosis of PFT 357 extents. Prescribed leaf area index (LAI) was used where site data was available, otherwise the JULES phenology 358 scheme was switched on allowing the model to evolve the LAI. Model output was evaluated against fluxes of 359 gross primary productivity (GPP) and evaporative fraction (EF). We used EF rather than latent heat flux to 360 minimise issues with incomplete closure of the energy balance (that can typically range from 5 to 30 % at some eddy covariance sites, Liu et al. (2006)). For analysis we used daytime values only (i.e. where the shortwave 361 362 radiation was > 10 W m²) from days with no missing data, and compare mean seasonal diurnal cycles of modelled 363 GPP and EF against the observed fluxes. The mean seasonal cycle calculated over the entire measurement period 364 is used in order to assess the mean model behaviour.

We evaluate the site-level simulations with RMSE (root mean square error) for the seasonal diurnal cycle of simulated (daytime) fluxes (GPP and EF). For each site, the time period of the simulation and therefore evaluation period is stated in Table S1. We summarise the changes in RMSE using the relative improvement for each model configuration (*i*) compared to the current standard JULES configuration of Collatz with Jacobs (Clz.Jac). The statistic is calculated so that positive values show an improvement <u>compared to Clz.Jac and therefore a better</u> <u>comparison to the observations</u>:

12

(11)

371	DMSE -	RMSE _{Clz.Jac} -RMSE _i
571	$RMSE_{rel_i} -$	RMSE _{Clz.Jac}

372

382 Table 5. Description of the four model experiments performed both at site level and globally, with the JULES

383 land surface model.

384

Model simulation	Description	Photosynthesis scheme	Stomatal closure	Temperature dependency of photosynthesis	T_{growth}
Clz.Jac	The original photosynthesis and stomatal conductance (g_s) schemes used in JULES.	Collatz <i>et al.</i> , (1991)	Jacobs (1994)	Q_{I0} function for K_c , K_o Γ and V_{cmax} (PFT specific). T_{opt} varies by PFT but is fixed spatially and temporally.	NA
Fq.Jac	The Farquhar photosynthesis scheme is implemented with updated V_{cmax} and J_{max} values, and updated parameters for the temperature response of photosynthesis (AS and H_a for V_{cmax} and J_{max}) with original g_s scheme used in JULES.	Farquhar <i>et al.</i> , (1980)	Jacobs (1994)	Arrhenius function for K_c , $K_o \Gamma$, V_{cmax} and J_{max} (latter two both PFT specific). T_{opt} varies by PFT but is fixed spatially and temporally.	NA
Fq.Med	The Medlyn stomatal closure is implemented with the parameter <i>gt</i> that varies by PFT with Farquhar photosynthesis model implementation.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for K_c , $K_o \Gamma$, V_{cmax} and J_{max} (latter two both PFT specific). T_{opt} varies by PFT but is fixed spatially and temporally.	NA
AcKK.Med	Thermal acclimation of photosynthetic capacity accounted for. Implemented within the Farquhar model coupled to the Medlyn g_s model.	Farquhar <i>et al.</i> , (1980)	Medlyn <i>et al.</i> , (2011)	Arrhenius function for K_c , K_o Γ . Thermal acclimation of photosynthetic capacity implemented following Kattge & Knorr (2007). Parameters describing the temperature sensitivity of photosynthesis (ΔS for V_{cmax} and J_{max} , and the J_{max} : V_{cmax}) allowed to acclimate to the temperature of the growth environment (T_{growth}). T_{opt} adjusts to changes in T_{growth} so varies spatially and temporally.	Yes

385

386 3.2 Global scale simulations

Four JULES simulations were performed globally for the period 1979-2013 as outlined in Table 5. These global
 present-day simulations were run at 0.5° x 0.5° spatial resolution. The WFDEI meteorological dataset was used to
 drive the model (Weedon et al., 2014). This has a three hour temporal resolution that JULES interpolated down
 to an hourly model time step. To focus on the direct effects of the model changes on GPP and surface energy

391 fluxes, the land surface properties of the model were prescribed. We use a static map of land cover (in terms of

392 different PFT extents) derived from the European Space Agency's Land Cover Climate Change Initiative (ESA LC_CCI) global vegetation distribution version 1.6 for the 2010 epoch (Poulter et al., 2015) (Fig. S3) following 393 394 that used in Harper et al. (2016). Seasonally varying LAI₇ were derived from the Global LAnd Surface Satellite 395 (GLASS) dataset (Xiao et al., 2016). Prescribed parameters were used for the hydraulic and thermal properties of 396 the soil from a modified version of the H1 lookup-table from Zhang and Schaap (2017) that depends upon the soil 397 textural type from SoilGrids (Hengl et al., 2014).-We also prescribe transient atmospheric CO₂ concentrations 398 based on annual mean observations from Mauna Loa (Tans and Keeling, 2014). A spin-up of 80 years was 399 performed (re-cycling through the period 1979 to 1999), which is sufficient to equilibrate soil temperature and 400 soil moisture.

401 The global offline present-day simulations were compared against the global evaluation products, and for both model output and observations we calculate seasonal means over the period 2002 to 2012. We used the global 402 403 FluxCom product to evaluate modelled GPP, LE, H and ET (Jung et al., 2020; Tramontana et al., 2016). We 404 compare our simulations against the FluxCom ensemble product (RS+MET) driven with the same forcing 405 (WFDEI), as is recommended by Jung et al. (2019) to minimise deviations due to different climate input data. To 406 convert LE to ET we assume a constant latent heat of vaporization of 2.5 MJ mm⁻¹. We also use the model derived product from GLEAM-v3.3a to evaluate ET, and additionally use the MODIS GPP product (Zhao et al., 2005; 407 408 Zhao and Running, 2010; Zhao et al., 2006) to evaluate simulated global GPP.

409 Global future climate simulations were performed forced with meteorological output (1960 to 2050) from the 410 HadGEM3-GC3.1 model atmosphere-only simulations at 3 hour temporal resolution and N512 spatial resolution 411 (Roberts et al., 2019; Williams et al., 2018). These projections follow the CMIP6 HighResMIP protocol (Haarsma 412 et al., 2016). This choice of forcing to drive JULES is to allow comparison of the offline runs performed in this 413 study with the equivalent simulations currently being undertaken in the coupled HadGEM3-GC3.1 model to 414 explore land-atmosphere feedbacks arising from changes implemented to the plant physiology routines in this 415 work. The factorial set of offline simulations in this work provide a systematic sensitivity study that is less 416 computationally expensive with which to help understand behaviour seen in the coupled model. The output at 417 N512 was re-gridded to 0.5° x 0.5° using conservative interpolation which ensures the physical conservation of 418 each variable. Fig. S4 shows the mean temperature and precipitation change by region over the study period, and 419 the atmospheric CO₂ concentration. Atmospheric CO₂ concentrations were prescribed based on observations up 420 to 2014 as described in historical CMIP6 simulations (Eyring et al., 2016). From 2015 onwards, atmospheric CO2 421 concentrations were based on a high-end emission scenario of the Shared Socioeconomic Pathways (SSP5) with 422 the Representative Concentration Pathway 8.5 (RCP8.5) (Haarsma et al., 2016). As for the current-day 423 simulations, LAI, and land cover and soil properties were prescribed using the same datasets. A spin-up period of 424 80 years (re-cycling through the period 1960 to 1980) was again used to equilibrate soil temperature and soil 425 moisture.

We analyse the future global simulations using the 'difference of difference' approach. This method explicitly targets the change in the variable of interest over the study period resulting from the change in process alone, and negates differences that may arise from different initial starting points of each simulation (different initial conditions): Formatted: Font:

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$$Effect = (\bar{X}_{2050} - \bar{X}_{1980}) - (\bar{Y}_{2050} - \bar{Y}_{1980})$$

(12)

431 where X = X = X where X = X and Y represents the simulation with the process of interest and Y represents the simulation with the 432 alternative representation-without the process respectively, and 2050 and 1980 represent the end and start of the 433 simulation analysis period respectively (calculated as the mean over 2040 to 2050, and 1980 to 1990 respectively)_--434 For example, to look at the impact of changing photosynthesis schemes, X = Fq.Jac and Y = Clz.Jac. In this case, 435 both configurations are using the Jacobs ge scheme, only the photosynthesis scheme changes from Collatz to 436 Farquhar. The impact of changing gascheme is assessed where X = Fq. Med and Y = Fq. Jac. The impact of thermal 437 acclimation is assessed where X = AcKK.Med and Y = Fq.Med, here both simulations use the Farquhar 438 photosynthesis scheme and the Medlyn gascheme, but X has the addition of thermal acclimation of photosynthesis.

439

440 4. Results

441 4.1 Site level evaluation

442 Results from the FLUXNET sites comparing the mean seasonal diurnal cycles of GPP and EF against observed 443 fluxes are summarised in Fig. 1, where reds and yellows indicate reduced RMSE relative to the 'standard' JULES 444 configuration of Collatz with Jacobs (Clz.Jac), and therefore closer agreement to site level FLUXNET 445 observations. Results are variable by site and season (Fig. 1, Fig. S513 and Fig. S614), some of which will be due 446 to other site-specific characteristics that are not simulated well by the model, such as LAI for those sites that rely 447 on model derived estimates -- On the other hand, soil properties are prescribed by parameters that describe the 448 thermal and hydraulic characteristics of the soil, uncertainties in these parameterisations have consequences for 449 the simulated soil moisture content at each site, for example, which impacts simulated carbon and water fluxes. 450 We first consider results for the five tropical sites. Results are mixed for the simulated seasonal diurnal cycle of 451 GPP at the tropical (EBF / BET-tr) sites, GPP is improved (reduced) with the new JULES model configurations 452 at three out of the five tropical sites in March-April-May (MAM; Fig. 1a, Fig. S543), with thermal acclimation 453 leading to the greatest improvements. However in June-July-August (JJA; Fig. 1b, Fig. S513), this improvement 454 is only found at two of the tropical sites. At the EBF sites, implementing the Farquhar photosynthesis model 455 means V_{cmax} is lower (BET-tr, Table 2), and this in addition to the change in temperature sensitivity (Table 1; Fig. 456 S1a-c), and model structural changes from Collatz to Farquhar results in lower simulated GPP compared to 457 Collatz. Thermal acclimation allows further adjustments of the T_{opt} , T_{opt} and the J_{max} V_{cmax} ratio which results in 458 lower simulated photosynthesis and therefore GPP compared to Farquhar (Fig. S513). The change from Jacobs gs 459 model to Medlyn has minimal impact on simulated GPP for the tropical tree PFT because in both schemes the 460 modelled c_i has a similar sensitivity to humidity deficit at the leaf surface, with the exception at very low humidity 461 deficit (Fig. S75; Fig. S513). The simulated seasonal diurnal cycle of EF is improved (reduced) at four out of the 462 five tropical sites in both MAM and JJA, again with some of the largest improvements seen with thermal 463 acclimation (Fig. 1c & 1d, Fig. S614).

At the C₃ grassland sites (GRA), improved simulated GPP (higher GPP) is seen across all sites in JJA with the Medlyn g_s scheme and thermal acclimation (Fig. 1b, Fig. S543). This is matched by improvements in simulated EF (higher EF) across all grassland sites in both seasons, with the exception of US_var in JJA (Fig. 1c & 1d; Fig. Formatted: Font: Italic

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467 <u>S614</u>). The change from Collatz to Farquhar at the GRA sites means a lower V_{cmax} is used (C3, Table 2) although 468 the temperature sensitivity is similar (Table 1, Fig. S1p, q), this results in lower GPP simulated by Farquhar 469 compared to Collatz which compares worse to the observations (GPP and EF, Fig. 1, Fig. S543). In contrast to 470 using Farquhar with the Jacobs gs scheme, using Farquhar with the Medlyn scheme improves simulated GPP and 471 EF, both are increased because for the C3 grass PFT as the humidity deficit at the leaf surface increases c_i 472 simulated by Medlyn is less sensitive compared to Jacobs (Fig. S75; Fig. S543), leading to higher c_i , higher net 473 canopy photosynthesis and GPP, and higher transpiration and LE. These results suggests the Medlyn scheme has 474 a large impact on simulated carbon and water fluxes for the C3 grass PFT in the JULES model. In JJA, the 475 adjustment of the temperature sensitivity of photosynthesis to the T_{growth} by the thermal acclimation scheme tends 476 to increase GPP compared to Farquhar with no acclimation, and this compares better to the observations. (Fig. 1, 477 Fig. S513).

478 At the broadleaf deciduous tree sites (BDT) simulated GPP is improved with all JULES model configurations in 479 MAM (higher GPP) at three out of the four sites (Fig. 1a). However in JJA improvements are mainly seen with 480 thermal acclimation (lower GPP compared to Fq.Med, Fig. 1b). Medlyn gs performs worse at all sites in JJA 481 suggesting either the model formulation or parameters are not suitable to correctly capture stomatal behaviour in 482 this season for this PFT (Fig. 1b, Fig. S513). Compared to Collatz, the Farquhar model for the BDT PFT uses a 483 lower V_{cmax} (Table 2) and has a considerably lower $T_{opt}v_{cmax}$ (Table 1; Fig. S1h), which means that at leaf 484 temperatures below ~22°C, photosynthesis is higher with the Farquhar model, and above this photosynthesis is 485 lower than Collatz (Fig. S1g). Consequently, warmer temperatures in JJA lead to lower GPP simulated by 486 Farquhar compared to Collatz, and cooler temperatures in MAM result in slightly higher GPP with Farquhar 487 compared to Collatz (Fig. S513). Using the Medlyn model means simulated c_i is more sensitive to increasing leaf 488 humidity deficit for the BDT PFT (Fig. S75). Medlyn simulates a lower c_i as humidity deficit increases compared 489 to Jacobs which leads to lower GPP and LE, the magnitude of which depends on the local site humidity conditions. 490 In JJA the Medlyn g_s model performs worse at all sites for GPP (Fig. 1b), although improvements in simulated 491 EF are seen in JJA, where both Medlyn and thermal acclimation improve model performance at three out of four 492 BDT sites (Fig. 1d, Fig. S614).

493 At the evergreen needleleaf sites (NET) the most consistent improvements to simulated GPP are seen with the 494 Farquhar model, where simulated GPP in JJA is substantially improved (GPP reduced) at three out of four sites 495 (Fig. 1b, Fig. S513), in this season both Medlyn and thermal acclimation generate larger improvements in the 496 simulated GPP (reducing GPP further), but this is just at two out of the four sites. In our implementation of the 497 Farquhar model, the NET PFT has a lower V_{cmax} compared to Collatz (Table 2), and a slightly higher $T_{opt}v_{cmax}$ 498 (Table 1, Fig. S1k). The resulting shape of the temperature response curve for photosynthesis (Fig. S1j) means that at leaf temperatures below ~10°C Farquhar photosynthesis is higher. However above 10 °C Farquhar 499 photosynthesis is lower compared to Collatz, resulting in simulated GPP in MAM that tends to be higher with 500 501 Farquhar than Collatz, and in JJA the opposite occurs (Fig. S513). In MAM and JJA the Medlyn g_s model 502 simulates some large improvements in EF; c_i simulated by Medlyn is more sensitive to increasing leaf humidity 503 deficit compared to Jacobs (Fig. S75), which results in lower transpiration and EF, and this compares better to the 504 observations (Fig. 1, Fig. S614).





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516

517 4.2 Global Evaluation

518 4.2.1 Spatial differences between model configurations

The impact of changes in the photosynthesis scheme, ge scheme, adding thermal acclimation of photosynthetic 519 520 capacity and the overall change on simulated Figure 2 shows the JJA change in modelled GPP, LE and H are 521 shown in Figure 2 by comparing with each of the new JULES configurations to the configuration with the alternative process representation compared to original JULES across the globe. For GPP, the biggest change is 522 523 moving from the Collatz photosynthesis scheme to the Farquhar photosynthesis scheme (Fig. 2a). Most notably, 524 this change results in decreased GPP in the tropical region in JJA of up to 1.5 gC m² d⁻¹ (up to 10% reduction), 525 whilst in the high northern latitudes, GPP is increased by up to 1.5 gC $m^2 d^{-1}$ (up to 20% increase). This is 526 consistent with results from the site-level simulations where GPP was reduced with implementation of the 527 Farquhar model at tropical sites, and increased in cooler months (MAM) at the evergreen needleleaf forest sites 528 (here increased GPP in NET dominated areas are in the forests of the high northern latitudes which is consistent 529 with cooler temperatures). Impacts on LE and H resulting from the move from Collatz to Farquhar are not as extensive as those seen with GPP (Fig. 2b & 2c). The change from Jacobs gs scheme to Medlyn impacts LE and 530 531 H most, resulting in a pronounced pattern of decreased LE in northern latitudes (up to 10 W m⁻², equivalent to a 10% reduction) and corresponding increase in H in JJA (Fig. 2e & 2f). In these JULES simulations, this region is 532 533 dominated by NET forest, and the high latitude changes are consistent with results from the site-level simulations, 534 where using the Medlyn g_s scheme at NET sites resulted in some of the biggest improvements in simulated EF 535 (lower LE and therefore lower EF). Including thermal acclimation of photosynthesis has the most extensive

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536 impacts on simulated GPP in contrast to LE and H. In the tropical forests GPP is reduced by up to 1 gC m² d⁻¹ 537 (between 2 to 5% reduction) in JJA (Fig. 2g). The impact of acclimation is spatially variable in the temperate 538 region in JJA, with GPP decreased in Europe (between 2 to 5%), but increased in Eastern USA (up to 20%). Some areas of the boreal region see increased GPP (between 2 to 5%). This GPP response demonstrates the impact of 539 thermal acclimation which allows the parameters of the temperature sensitivity functions for photosynthetic 540 capacity (V_{cmax} , J_{max} and J_{max} : V_{cmax}) to move in response to the temperature of the growth environment, leading to 541 542 spatially and temporally different values of the T_{opt} for photosynthesis for each C₃ PFT. Thermal acclimation 543 impacts LE and H to a lesser extent, but where changes are seen, acclimation increases LE with a corresponding 544 decrease in H (Fig. 2h & 2i). Figs. 2j, 2k & 2l show the overall change that results from moving from the traditional 545 JULES set-up of Collatz with Jacobs (Clz.Jac) to Farquhar with thermal acclimation and Medlyn gs (AcKK.Med), 546 and the impacts on simulated GPP, LE and H can clearly be seen as the trade-off between the dominating effects 547 from each model configuration. For LE and H the response of the simulated energy fluxes is dominated by the 548 change in the representation of g_s , and for GPP the response of simulated carbon fluxes is dominated by the change in the representation of photosynthesis and its response to temperature (i.e. thermal acclimation). 549

550

551 Figure 2. Absolute dDifferences between JULES modelled GPP, latent (LE) and sensible heat (H) for the different

552 JULES model configurations in June-July-August (JJA) to show the impact of a, b, c) changing photosynthesis

553 scheme (Fq.Jac – Clz.Jac); d, e, f) changing ge scheme (Fq.Med – Fq.Jac); g, h, i) accounting for thermal

acclimation of photosynthesis (AcKK.Med – Fq.Med); and j, k, l) the overall change (AcKK.Med – Clz.Jac),
 under present-day meteorological conditions. For each variable the mean over the period 2002 to 2012 is used.

The absolute mean value simulated by each model configuration (JJA) is shown in Fig. S8. DJF is shown in Fig.

557 ure S9 (mean absolute values) and Fig. S10 (absolute difference)6.

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560 4.2.2 Comparison to global estimates: seasonal mean GPP and ET

Evaluation of simulated global mean GPP by season using FluxCom and MOD17 global GPP products is presented in Fig. 3a and using global ET from both FluxCom and GLEAM is shown in Fig. 3b. The seasonal means show thermal acclimation compares best to observations (FluxCom) in JJA (AcKK.Med underestimates GPP by just 4%, whereas Clz.Jac underestimates GPP by 6%; Fig. 3a & Table S2) and MAM (AcKK.Med underestimates GPP by just 5%, whereas Clz.Jac underestimates GPP by 11%; Fig. 3a & Table S2), and is in reasonable agreement with FluxCom in DJF (AcKK.Med overestimates GPP by just 2%, whereas Clz.Jac underestimates GPP by 4%; Fig. 3a & Table S2). All JULES model configurations have a high GPP bias in SON compared to FluxCom, and in all seasons GPP is overestimated by all model configurations compared to MOD17, similarly this is largest in SON. For simulated ET, seasonally the model performance is very similar between the different JULES configurations, however in both SON and DJF Medlyn (Fq.Med) compares better to both FluxCom and GLEAM, but the differences are very small (Fig. 3b & Table S3).

577 Figure 3. Seasonal mean global a) GPP and b) ET for each JULES model configuration compared to FluxCom

578 (closed symbols) and MOD17 (GPP) or GLEAM (ET) (open symbols).



579 580

581 4.2.3 Comparison to global estimates: latitudinal mean GPP and ET

582 Figures 4 and 5 present comparisons of seasonal zonal-mean GPP and ET respectively. Firstly, Fig. 4 and Fig. 5 highlight the differences between global products used to evaluate GPP and ET (see e.g. Spafford & MacDougall 583 584 2021). For example, FluxCom generally predicts higher GPP in the tropics compared to MOD17, especially in 585 DJF and MAM, and in JJA the different distribution of GPP by latitude means in the tropics MOD17 GPP is higher than FluxCom in the southern latitudes, and FluxCom GPP is higher in the northern tropics. Comparison 586 587 of the two ET products shows that GLEAM tends to give higher ET in the tropics, particularly in DJF and MAM. 588 Bearing in mind uncertainties in observation-based estimates of fluxes at this scale we now consider how the different model configurations compare. Notably, all the JULES model configurations in this study simulate 589 590 comparable global carbon and water fluxes for the recent contemporary period and are in reasonable agreement with the global products used for evaluation. Differences in RMSE between the different model configurations 591 592 are small for both GPP and ET. Importantly, the most consistent change is the improvement (lowest RMSE) of 593 modelled GPP in the tropics with the Farquhar model (Fq.Jac). This improvement is evident in all seasons and 594 holds when comparing to both FluxCom and MOD17 (Fig. 4). Similarly, estimates of ET are improved in the 595 tropics (lowest RMSE) with the Farquhar model (Fq.Jac) in DJF and JJA, and with the Medlyn model (Fq.Med) 596 in MAM and SON, and again this result is not dependent on the choice of observation-based product (Fig. 5). Another notable change is the improvement of simulated GPP in the temperate north and boreal regions in MAM 597 598 with thermal acclimation (AcKK.Med). Deficiencies in the model stand out, but these biases are common to all 599 model configurations. For example, all configurations simulate an over-prediction of GPP and ET in SON in the temperate north and boreal regions, overestimated GPP in MAM in tropical southern latitudes (0 to -20°S), under-600 601 predicted GPP and ET in MAM in temperate north and boreal regions, and an over-prediction of ET in MAM in 602 the temperate and tropical South.

603

Figure 4. Mean (2002 to 2012) GPP (g C m² d⁻¹) by latitude band and season for each JULES model configuration compared to the FluxCom and MOD17 global GPP products. The bars a<u>long</u>t the <u>sidebottom</u> indicate which model configuration gives the lowest RMSE, and therefore better comparison to FluxCom (<u>righthandtop</u> bar) and MOD17 (<u>lefthandbottom</u> bar) derived GPP for each region. RMSE values are shown in Tables S4 (FluxCom) and S5 (MOD17). The grey shaded area shows the uncertainty in the FluxCom GPP product, provided as the median absolute deviation of ensemble members, this is scaled to a robust estimate of the standard deviation of a normal distribution by multiplying by 1.4826 according to Jung *et al.*, (2019).





616

Figure 5. Mean (2002 to 2012) evapotranspiration (ET mm d⁻¹) by latitude band and season for each JULES model configuration compared to the FluxCom and GLEAM global ET products. The bars alongt the sidebottom indicate which model configuration gives the lowest RMSE, and therefore better comparison to FluxCom (righthandtop bar) and GLEAM (lefthandbottom bar) derived ET for each region. RMSE values are shown in Table S6 (FluxCom) and Table S7 (GLEAM). The grey shaded area shows the uncertainty in the FluxCom ET product, provided as the median absolute deviation of ensemble members, this is scaled to a robust estimate of the standard deviation of a normal distribution by multiplying by 1.4826 according to Jung *et al.*, (2019).







627 4.2.4 Comparison to global estimates: spatial variability of mean GPP and ET

628 The spatial variability of simulated GPP and ET is shown in Fig. 6 during JJA (Fig. S117 for DJF). We show 629 which of the JULES model configurations gives the lowest RMSE compared to observation-based estimates of 630 GPP and ET from FluxCom, MODIS and GLEAM (actual RMSE in Figs. S128 and S139). The differences in 631 RMSE are typically small between the different JULES model configurations, however some clear patterns 632 emerge. Figure 6a & b show that in the tropical forests of the(i.e. Amazon basin, and central Africa and Southeast 633 Asia (Indonesia, Papua New Guinea, Malaysia),) in both JJA and DJF (Fig. S117a & b for DJF), GPP simulated 634 including thermal acclimation (AcKK.Med) compares best to both FluxCom and MOD17 across large spatially 635 consistent areas. Outside of these areas, Fq.Jac also improves the simulation of GPP in the tropics, as does the 636 Medlyn ge model (Fq.Med) in JJA in South China and Indo-China. Also, in the high northern latitudes, dominated 637 by evergreen needleleaf forests, inclusion of thermal acclimation more closely aligns simulated GPP with both 638 FluxCom and MOD17 (Fig. 6a & b). Compared to FluxCom, ET in JJA is simulated best by thermal acclimation 639 (AcKK.Med) in the northern temperate and boreal region, although this pattern is not consistent in comparison to 640 GLEAM (Fig. 6c & d). In contrast to GPP, results are more mixed in the tropics for ET. In areas dominated by 641 tropical tree cover, thermal acclimation (AcKK.Med) and Medlyn (Fq.Med) tend to give the lowest RMSE in JJA 642 and DJF, and in tropical areas dominated by C3 and C4 grasses Farquhar (Fq.Jac) performs best (Fig. 6c & d), 643 although in DJF the Medlyn model gives the lowest RMSE in these areas (Fig. S117c & d). In DJF for both GPP 644 and ET, in northern temperate and boreal regions the Collatz with Jacobs (Clz.Jac) configuration performs the best (Fig. S<u>11</u>7). 645

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647 **Figure 6.** Colours indicate the JULES model configuration that gives the lowest RMSE compared to either the a)

 $\label{eq:general} 648 \qquad \mbox{FluxCom and b)} \ \mbox{MOD17 global GPP (gC m^2 day^{-1}) products, or c)} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{GLEAM global ET (mm day^{-1})} \ \mbox{FluxCom and d)} \ \mbox{$

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652 **4.3 Application under future climate**

653 We run the new configurations forced by variables from a future climate scenario (HadGEM3-GC3.1 forcing under a high-end emission scenario of the SSPs) to investigate the response of simulated fluxes to long-term 654 655 warming. Changing the photosynthesis scheme from Collatz to Farquhar results in lower GPP, (up to 30% 656 decrease) by 2050 across the high northern latitude forests (Fig. 7a), with the impact on LE (decreased) and H (increase) less extensive (Fig. 7b & c). This area is dominated by NET, NDT and BDT PFTs in JULES. The 657 658 different temperature sensitivity of photosynthesis parameterised with the Farquhar model compared to Collatz 659 (Fig. S1g, j & m) means at lower leaf temperatures, photosynthesis is higher with Farquhar, however, as leaf 660 temperature increases, photosynthesis falls in Farquhar relative to Collatz. The crossover point at which this occurs is relatively low for these PFTs, particularly NET. This impact of the change of temperature sensitivity 661 was seen in the site-level simulations at FLUXNET NET and BDT sites. There, modelled GPP tended to be higher 662 663 with Farquhar than Collatz in MAM, but lower in the warmer conditions of JJA, and in this climate change 664 scenario the temperate and boreal region both experience large increases in mean annual air temperature (+5°C from 1980 to 2060, Fig. S4a & c). 665

Replacing the Jacobs g_s scheme with Medlyn has the biggest impact on the surface energy fluxes, with increased LE of up to 30% and a corresponding decrease in H by 2050 across the temperate region (Fig. 7e & f). This area is dominated by the C3 grass PFT in JULES which has a less conservative water use strategy in the Medlyn scheme (high g_1) compared to Jacobs. This means in the Medlyn scheme, the C3 grass PFT is less sensitive to 670 increasing humidity deficit at the leaf surface, therefore as humidity deficit increases Medlyn simulates higher c_i 671 leading to higher rate of transpiration and LE compared to Jacobs (Fig. S<u>7</u>5).

672 Thermal acclimation of photosynthesis leads to widespread increases in GPP by 2050 (Fig. 7g). This amounts to 673 10% in the tropical forests, up to 30% in northern temperate and boreal regions, and up to 40% in south-east Asia. 674 In this long-term climate change scenario, with large increases in mean annual temperature (Fig. S4), the impact 675 of thermal acclimation on GPP can clearly be seen. The flexibility in Topiv, Topi and the Jmax: Vcmax ratio of 676 photosynthesis that thermal acclimation allows through letting these parameters move with the prevailing T_{growth} , 677 allows for higher rates of photosynthesis and therefore GPP as temperatures increase. By contrast, in simulations where photosynthetic rates are controlled by fixed temperature sensitivities, vegetation may have moved past its 678 thermal optimum. Time series of the area-weighted mean annual GPP show that in this simulation, across the 679 680 tropical region, thermal acclimation enhances GPP by ~7.5 PgC compared to no acclimation (Fig. 8a). In the 681 temperate region and sub-tropics thermal acclimation increases GPP by ~1 PgC by 2050 (Fig. 8b and d), and in the boreal region GPP is enhanced by ~0.4 PgC (Fig. 8c). Thermal acclimation of photosynthesis also has a large 682 683 impact on simulated energy fluxes, most notably in the northern temperate region, where LE is increased by up 684 to 50 to 60% (decreased H up to 40 to 50%) (Fig. 7h & i).

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Figure 7. The difference of difference approach (Equation 12) to determine the impact on GPP (g C m² day⁻¹), LE and H (<u>both</u> W m²) of the individual changes to each JULES model configuration over the course of the <u>future</u> (<u>HadGEMGC3.1</u>) simulation (1980 to 2050) in June-July-August (JJA). The AcKK.Med acclimation effect is calculated from Fig. S16 AcKK.Med – Fq.Med, the effect of the Medlyn g_s scheme is calculated from Fig. S16 Fq.Med – Fq.Jac, and the effect of the photosynthesis scheme is calculated from Fig. S16 Fq.Jac – Clz.Jac.



694 Figure 8. Time series of the regional mean acclimation effect i.e AcKK.Med - Fq.Med (black), and the effect of

695 the Medlyn g_s model i.e. Fq.Med – Fq.Jac (grey).



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697 5. Discussion

Photosynthesis and g_s are central to the estimate of carbon and water fluxes in LSMs, and when coupled in ESMs these processes feed-back onto the climate system to influence predictions of future climate change. Therefore improving the representation of these processes in LSMs is important, and previous studies have identified thermal acclimation of photosynthesis as a key missing process (Booth et al., 2012).

702

703 5.1 Performance of the new JULES plant physiology model configurations: Thermal acclimation

Our results show that including thermal acclimation of photosynthesis in the JULES model improves simulated 704 705 carbon and water fluxes in several key areas for the recent contemporary period. Firstly, the seasonal mean 706 estimates of global GPP show that in most seasons (JJA, MAM and DJF) thermal acclimation of photosynthesis 707 with Medlyn gs (AcKK.Med) predicts GPP in closer agreement with estimates from FluxCom compared to the 708 traditional 'standard' JULES configuration of Collatz photosynthesis with Jacobs g_s (Clz.Jac). Secondly, thermal 709 acclimation with Medlyn gs improves the simulation of GPP (reduces GPP) in the tropical forests in JJA and DJF 710 (i.e. the Amazon basin and central African rainforest region) and is in closest agreement with estimates of GPP 711 from both FluxCom and MOD17 for these regions. Thirdly, in the high northern latitude forests dominated by 712 evergreen needleleaved trees, thermal acclimation increases GPP in JJA and is again in closest agreement with 713 the observational estimates. Finally, in JJA, AcKK.Med improves the simulation of ET across a large area of the 714 temperate north and boreal regions.

⁷¹⁵ Our evaluation therefore suggests that fixed, PFT-specific temperature dependencies for V_{cmax} (and J_{max}) do not 716 accurately simulate GPP for the tropical tree and evergreen needleleaf tree PFTs for the present-day in the JULES

717 model. Thermal acclimation allows the temperature sensitivity of photosynthesis to adjust to the local temperature 718 environment through flexibility in T_{opl} , T_{opj} and the J_{max} : V_{cmax} ratio. In the tropical forests, for example, GPP is 719 over-estimated by both Clz.Jac and Fq.Jac. The configuration with thermal acclimation reduces GPP compared to 720 both these model configurations. From the leaf-level plots in Fig. S1a, the fixed T_{opt} of photosynthesis in the Collatz scheme is ~33°C and in Farquhar is ~34°C. This is higher than observations from Fig. 1a of Kumarathunge 721 722 et al. (2019b), where the T_{opt} for net leaf photosynthesis lies between ~29 to 32°C, and other studies also show a 723 lower T_{opt} for photosynthesis of around 30°C for mature tropical trees (Hernández et al., 2020; Mau et al., 2018). 724 This supports our results, and suggests the fixed temperature sensitivity of photosynthesis for tropical trees in the 725 JULES model results in a T_{opt} of photosynthesis that is too high for current-day. Thermal acclimation results in a more realistic T_{opt} of photosynthesis for tropical trees because it is influenced by actual growth temperature and 726 727 so can adjust to local environmental conditions.

728 Under the climate change scenario used in this study, thermal acclimation shows a sustained positive acclimation 729 effect in all regions, increasing GPP in response to long-term warming (although this is less pronounced in the 730 boreal region). By 2050 GPP was ~10% higher with thermal acclimation in the tropical forests, up to 30 to 40% 731 higher across a large area of the northern hemisphere. Our findings broadly agree with Mercado et al. (2018), who implemented the Kattge and Knorr (2007) thermal acclimation scheme into JULES running as part of a coupled 732 733 climate-carbon model, and found that thermal acclimation increased land carbon storage in tropical and temperate 734 regions. This is in contrast to Lombardozzi et al. (2015) and Smith et al. (2016) whose studies both found a negative impact of photosynthetic thermal acclimation in the tropics, again using the Kattge and Knorr (2007) 735 736 thermal acclimation scheme. Mercado et al. (2018) attribute these differences to the method used to implement 737 acclimation of the $J_{max} V_{cmax}$ ratio at 25°C, that is either reducing J_{max} alone as in the case of the latter two studies, 738 or by decreasing J_{max} and increasing V_{cmax} simultaneously whilst keeping the total amount of leaf nitrogen the 739 same as used in the present study and in Mercado et al. (2018). The simulated response of thermal acclimation 740 therefore appears to be sensitive to this subtlety in the parameterisation of the acclimation schemes and warrants 741 further investigation. Yet a clear understanding of what drives the change in the $J_{max}V_{cmax}$ ratio in response to 742 T_{growth} is still lacking. More recent results from the analysis by Kumarathunge et al. (2019b) highlight the difficulty in pinning down what drives this process. They found that the $J_{max} V_{cmax}$ ratio responded strongly and consistently 743 744 to T_{growth} , but whether that was achieved by increasing V_{cmax} , decreasing J_{max} or both was highly variable.

745 The behaviour of the thermal acclimation scheme in JULES in response to long term warming implies unlimited thermal resilience of vegetation, but how realistic is this? Observational studies suggest temperate tree species 746 747 have sufficient capacity to acclimate to rising temperatures e.g. (Drake et al., 2015; Reich et al., 2018; Sendall et 748 al., 2015), although large inter-specific variability in thermal tolerance is identified in co-occurring temperate tree species (Guha et al., 2018). Studies exploring thermal acclimation of photosynthesis for grasslands and C₃ 749 750 herbaceous vegetation are more limited. For boreal tree species, experimental studies suggest high variability between species with respect to photosynthetic acclimation responses to increasing temperatures, for example, 751 752 there is an increasing body of work suggesting that the evergreen boreal conifer species Picea might be particularly 753 vulnerable to warming (Benomar et al., 2017; Dusenge et al., 2020; Kroner and Way, 2016; Kurepin et al., 2018; 754 Way and Sage, 2008; Zhang et al., 2015). The three year open-air warming experiment of Reich et al. (2018) 755 showed that for 11 temperate and boreal tree species studied, warming increased photosynthesis in most species

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756 on wet soils, but not in drier conditions. Further, under moist soil conditions, all deciduous species showed an 757 acclimation response to increased temperatures, however, the two boreal evergreen species, Abies and Picea, 758 showed no thermal acclimation response at any soil moisture concentration. It is generally thought that evergreen 759 species have a reduced capacity to acclimate growth and photosynthesis to warming compared to deciduous tree species (Dusenge et al., 2020; Way and Yamori, 2014). Therefore, the response of boreal forest ecosystems to 760 761 warming will depend on species composition given the varied acclimation capacities shown and lower diversity of boreal forests, and, as Reich et al. (2018) highlight, also on interaction with other climate changes such as 762 precipitation. In contrast to temperate and boreal forests, tropical forests are thought to be more susceptible to 763 764 climate change, having evolved under relatively narrow temperature regimes, and experiencing less seasonal and day-to-day variation in temperature changes (Cunningham and Read, 2003). As a consequence, an increasing 765 766 number of studies show that tropical trees have less capacity to physiologically acclimate photosynthesis to 767 increasing temperatures (Carter et al., 2021; Dusenge et al., 2021; Mau et al., 2018; Miller et al., 2021; Vårhammar et al., 2015). Other studies have determined high temperature threshold responses of photosynthesis, indicating 768 769 an ability of tropical trees to acclimate to moderate warming, but more severe warming decreases carbon gain 770 (Doughty and Goulden, 2008; Pau et al., 2018; Slot and Winter, 2017; Sullivan et al., 2020). In two tropical understorey species acclimation of the T_{opt} of photosynthesis was observed in the early successional species, 771 772 whereas no acclimation capacity was shown by the mid-successional species (Carter et al., 2020). Our study demonstrates a large positive impact of thermal acclimation on GPP in tropical forests. However a notable 773 774 uncertainty in the parameterisation is that the dataset used in the Kattge and Knorr (2007) scheme to construct the 775 empirical relationships is heavily weighted towards temperate species, including only two boreal species and no 776 tropical species (Kattge and Knorr, 2007). There is a significant gap in understanding tropical forest responses to 777 increasing temperature. Observational studies are starting to address this gap, but this increasing knowledge is yet 778 to be incorporated into models. Therefore, whilst results from this study demonstrate the importance of thermal 779 acclimation of photosynthesis on simulation of the future global carbon cycle, they should be interpreted with 780 some caution. The varied results from experimental studies highlights the research needed to further understand 781 thermal acclimation responses in a variety of ecosystems, over different timescales, and from leaf-level through 782 to canopy, and finally to translate that understanding so it is amenable to incorporation into ESMs.

783 5.2 Performance of the new JULES plant physiology model configurations: Medlyn gs

784 In this study, the Medlyn g_s model had the biggest impact on surface energy fluxes simulated by the C₃ grass PFT and needleleaf evergreen tree PFT in JULES. This reflects a change to the water-use strategy of these PFTs as 785 786 reported by Lin et al. (2015) that is not currently captured by parameterisations in the JULES Jacobs model. Global 787 simulations with the Medlyn scheme for the recent contemporary period simulated a ~10% decrease in LE (increased H) across the high northern latitudes dominated by the NET PFT compared to the standard JULES 788 789 Jacobs g_s scheme. The future climate change experiment showed a large response across the temperate region 790 dominated by the C3 PFT, where LE increased by ~30% (H decreased) with Medlyn. Our study for current-day 791 is in agreement with De Kauwe et al. (2015) who found a large impact of the Medlyn model on transpiration 792 fluxes in needle leaved evergreen trees (~30% reduction) in the CABLE LSM. Coupled simulations using CABLE 793 within the Australian Community Climate and Earth Systems Simulator (ACCESSv1.3b) showed that the Medlyn 794 g_s scheme reduced the LE flux from the land surface over the boreal forests during JJA by 0.5–1.0 mm day⁻¹,

leading to warmer daily maximum and minimum temperatures by up to 1.0°C and warmer extreme maximum
temperatures by up to 1.5°C (Kala et al., 2015). In future simulations, this new parameterisation of the stomatal
scheme in ACCESS1.3 substantially increased the intensity of future heatwaves across Northern Eurasia (Kala et
al., 2016).

799 5.3 Implications for land-atmosphere feedbacks

800 Modifying the leaf-level stomatal behaviour in JULES impacts the simulated surface energy fluxes. In our study, 801 a change of stomatal opening results from either a direct change in the parameterisation of g_s or through altered 802 stomatal behaviour in response to temperature. In our offline climate change simulation, thermal acclimation 803 increased stomatal opening in response to long term warming, and in some regions this increased the rate of 804 transpiration and evaporative cooling, and decreased the sensible heat flux. When coupled to an atmospheric 805 model, such behaviours have potential to feed-back on the land surface via changes in temperature, cloud cover 806 and precipitation, as for example modelled by De Arellano et al. (2012); Kala et al. (2015); Kala et al. (2016); 807 Kooperman et al. (2018); Zeng et al. (2017). The extent and amplitude of acclimation-induced perturbations to 808 surface energy fluxes in our offline simulation suggests a potential impact on regional scale circulations, for 809 example across the East Asian monsoon region. The impact of these changes to the plant physiology routines in 810 JULES on land-atmosphere feedbacks will be investigated in future work through coupled simulations in the 811 HadGEM global climate model.

812 5.4 Limitations of this study

813 Across all latitudes, the changes introduced to JULES by the new plant physiology routines did not degrade the 814 performance of JULES. All model configurations compared reasonably well to the FluxCom and MOD17 GPP 815 products, and FluxCom and GLEAM ET products, given that there are also uncertainties inherent in estimates 816 from these products. For example, the satellite-based products of GPP have recently been shown to incorrectly 817 capture the response of photosynthesis to CO₂, which means they potentially underestimate the response of GPP to rising atmospheric CO2 (Keenan et al., 2021). Nevertheless, some notable biases in the model were identified 818 819 that were common to all JULES model configurations, for example the over-prediction of GPP and ET in the 820 temperate and boreal region in SON, and the over-prediction of both fluxes in MAM in the southern tropics (0 to 821 -20°S). Potential sources of error to consider may be the use of a prescribed climatology of MODIS based LAI, which some studies have reported to be inaccurate over forested areas (Shabanov et al., 2005). Other processes 822 823 currently missing in the model may also contribute to these large biases, such as a lack of seasonality in 824 photosynthetic capacity (i.e. V_{cmax} and J_{max}) which has been demonstrated for many different forest species (Croft 825 et al., 2017; Wilson et al., 2001), and without which likely causes over-estimation of forest carbon exchange. For 826 example, in SON the high GPP and ET bias occurs in the northern temperate and boreal region which could be 827 linked to a lack of photosynthetic phenology in the model. Towards the end of the growing season leaves in this 828 region have reduced nitrogen content and therefore lower photosynthetic capacity, but because JULESit uses a 829 fixed value for photosynthetic capacity JULES maintains a high rate of carbon assimilation despite having 830 seasonal LAI.

More generally, this study revealed limited data to inform the temperature sensitivity response functions of different PFTs for implementation into LSMs. We found only a few datasets for C₃ grass/herbaceous vegetation 833 (e.g. Wohlfahrt et al., (1999) and Joseph et al., (2014)) which represents only limited geographical coverage. 834 Consequently, we fitted the temperature response function for this PFT in the Farquhar scheme to that of the 835 existing function in the JULES Collatz photosynthesis scheme. We also encountered an issue regarding 836 uncertainty about the temperature response functions at low temperatures. The data-led functions we implemented for all PFTs (with the exception of the C3 PFT) from Kumarathunge et al. (2019b) showed higher rates of leaf-837 838 level photosynthesis at low leaf temperatures compared to the existing functions in the JULES Collatz scheme, where photosynthesis was much lower and goes to zero at 0 °C for most PFTs (see PFT leaf-level temperature 839 840 sensitivity curves for gross photosynthesis in Fig. S1). In our simulations this led to higher GPP in DJF when 841 using the Farquhar scheme, which increased biases with respect to FluxCom and MOD17 global estimates of GPP. It is desirable to use the temperature response functions from Kumarathunge et al. (2019b) as these are 842 843 entirely data-led. However for some PFTs the resulting behaviour of photosynthesis at very low temperatures 844 looks potentially unrealistic, and the question here is how well constrained by observations are the temperature 845 sensitivity curves at low temperatures? For global modelling applications, understanding the response of 846 photosynthesis to temperature over a wide temperature range is essential, including at low temperatures as well 847 as around the T_{opt} of photosynthesis for different species and PFTs. Additionally, increasing the understanding and data availability of the temperature sensitivity of different species from different biomes will allow greater 848 849 representation within LSMs of the variation that exists across the globe.

850 The simulations presented in this work use a prescribed map of vegetation cover which means the extent and 851 location of each PFT does not change over time. The model can alternatively be run with dynamic vegetation 852 enabled, which means the model predicts the extent of each PFT, and therefore vegetation cover can change in 853 space and time as PFTs compete with each other in response to changing climatic conditions. Yet to be explored 854 as part of this work, is how changes to the plant physiology routines, as implemented here, might affect the extent 855 of different PFTs over time when vegetation dynamics is enabled. For example, changes to the temperature 856 response of photosynthesis may lead to a competitive advantage of one PFT over another, and therefore the 857 vegetation distribution may be very different as temperatures rise compared to simulations that either use the 858 original Collatz temperature sensitivities or do not include thermal acclimation of photosynthesis. We hypothesise, 859 for example, that allowing thermal acclimation of the temperature sensitivity of photosynthesis would make the 860 vegetation distribution more stable in a warmer climate as vegetation can adjust its photosynthetic capacity to 861 function more efficiently as temperatures rise. Applied in a coupled ESM, a change in vegetation distribution 862 would impact projections of future climate change.

863 The treatment of soil moisture stress in JULES is through a linear response function (the β function, Eq. 12 in 864 Best et al., 2011), the use of which in JULES and other LSMs has been identified as a key source of uncertainty 865 (Blyth et al., 2011; Verhoef and Egea, 2014; Vidale et al., 2021). Incorrect representation of soil moisture stress 866 has large impacts for modelled carbon and water fluxes, and is of particular importance as droughts are predicted 867 to increase in frequency or intensity in the future. Work is ongoing to improve the representation of soil moisture 868 stress in JULES. Harper et al. (2021) investigated alternative parameterisations for β and found that increasing 869 modelled soil depth and therefore plant access to deep soil moisture improved the simulation of soil moisture 870 stress at eddy covariance flux tower sites. In addition, using soil matric potential instead of volumetric water 871 content in the β function allowed for PFT specific parameterisation of soil moisture stress responses to further

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872 improve modelled fluxes. Vidale et al. (2021) explored combinations of non-linear β function responses applied 873 at different points in the photosynthesis $-g_s$ pathway (i.e. carbon assimilation, g_s , or mesophyll conductance). 874 They found that treatments allowing β to act on vegetation fluxes via stomatal and mesophyll routes were able to 875 better capture the spatiotemporal variability in water use efficiency during the growing season. However, in 876 addition to these alternative parameterisations of β , further developments to how the soil-plant hydraulic system 877 is represented in JULES are being made, including an optimality based plant hydraulic transport model recently 878 implemented in JULES (Eller et al., 2020). 879 Whilst the development of multi-layer canopy radiation models in LSMs has improved the simulation of radiation

880 and energy within vegetation canopies, the interception of light by plants in JULES, like most LSMs, is not well 881 represented despite being critical to predicting the uptake of carbon by plants (Loew et al., 2014), LSMs generally 882 make the simplifying assumption that leaves are randomly arranged in space, instead of being clustered into tree 883 crowns or around branches, leaving gaps in and around the canopy. Shortwave radiation is used by plants to 884 photosynthesise, and canopy structure has a direct impact on the fraction of this radiation absorbed. Therefore 885 architecture plays an important role in the partitioning of incident solar radiation, canopy 886 photosynthesis, transpiration and momentum fluxes (Braghiere et al., 2019), More recently, alternative 887 approaches are being considered to represent the forest light environment in LSMs to account for the structural 888 effects of vegetation on radiation partitioning, ranging from canopy clumping parameterisations (Braghiere et al., 889 2019; Braghiere et al., 2020; Braghiere et al., 2021) to 3-dimensional models of the canopy light environment 890 (Hogan et al., 2018; Kobayashi et al., 2012), embedded in radiative transfer schemes, although the latter tend to 891 be computationally expensive (Yang et al., 2001), Braghiere et al. (2019), incorporated canopy clumping from 892 satellite data into JULES which resulted in an increase in carbon uptake by photosynthesis. The greatest effect 893 were in the tropics, where the canopy clumping parameterisation allowed more light to reach the lower layers of

894 the canopy where photosynthesis tends to be limited by light availability.

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896 5.5 Conclusions

897 Here we introduce new representations of plant physiological processes into the JULES model, building enhanced 898 capability, and allowing stronger links between model and field studies. This work a) introduces updated 899 understanding of plant physiological processes into JULES, b) increases the flexibility of the modelling capacity 900 within JULES by allowing use of two alternative photosynthesis and g_s schemes, in addition to thermal 901 acclimation of photosynthesis, and c) provides new parameters that are entirely based on large observational 902 datasets. Testing and evaluation at site-level and globally show some key improvements are made to the JULES 903 model. Thermal acclimation of photosynthesis coupled with the optimality-based g_s scheme led to improved 904 simulated carbon fluxes across much of the tropics for the present-day. With about 40% of the world's vegetation 905 carbon residing in tropical forests, they play a crucial role in regulating both regional and global climate through 906 water and carbon cycle dynamics (Erb et al., 2018; Pan et al., 2011). Therefore, accurate representation of tropical 907 carbon fluxes within LSMs is important. Thermal acclimation and the optimality-based g_s scheme also improved 908 simulated carbon fluxes in the high northern latitude forests in the northern hemisphere summer, and the same model configuration also improved simulated water fluxes across much of this region in the same season. The 909

910 optimality-based Medlyn g_s scheme reduced the LE flux substantially across the northern boreal forests in JJA. 911 This change reflects a more conservative water-use strategy for the needleleaf evergreen tree PFT that dominates 912 in this region as suggested by the global synthesis of experimental data from Lin et al. (2015). The current JULES 913 Jacobs scheme parameterisation does not accurately capture the water-use strategy of this PFT. Our future climate 914 experiment highlights the impact of thermal acclimation on simulating carbon cycle dynamics and energy fluxes 915 in response to long-term warming. The potential impact of this altered stomatal behaviour on land-atmosphere

916 feedbacks via changes in surface energy fluxes will be examined in future coupled simulations.

917

918 Code/Data availability

919 JULES-vn5.6 was used for all simulations. The JULES model code and suites used to run the model areis available 920 from the Met Office Science Repository Service (MOSRS): https://code.metoffice.gov.uk/. Registration is 921 required and code is freely available to anyone for non-commercial use (see here for details of licensing 922 https://jules.jchmr.org/content/code) subject to completion of a software license. Visit the JULES website 923 (https://jules.jchmr.org/content/getting-started) to register for a MOSRS account. Documentation for the JULES 924 model can be found here: https://jules lsm.github.io/vn5.6/. The results presented in this paper were obtained by 925 JULES branch: running from the following 926 https://code.metoffice.gov.uk/svn/jules/main/branches/dev/douglasclark/vn5.6_acclimation 927 https://code.metoffice.gov.uk/trac/jules/browser/main/branches/dev/douglasclark/vn5.6_acclimation@16578. 928 This iswas a development branch of JULES-vn5.6 to include thermal acclimation of photosynthesis as described 929 in this paper. This branch can be accessed and downloaded from the Met Office Science Repository Service once 930 the user has registered for an account, as outlined above. All developments described in this paper however 931 (including thermal acclimation of photosynthesis) are now available in the official released version of JULES-932 vn5.7 and as such can be downloaded directly from the JULES trunk. Documentation for the JULES model is 933 located ean be found here: https://jules-lsm.github.io/vn5.6/, Output data from the model simulations, and R scripts 934 to produce the plots in the paper are provided at (https://doi.org/10.5281/zenodo.5825540). Site-level simulations 935 used the rose suite u-br064 (https://code.metoffice.gov.uk/trac/roses-u/browser/b/r/0/6/4/ at revision 146216)u-936 al752 which is a copy of the u-al752 JULES suite for FLUXNET 2015 and LBA sites described here 937 (https://code.metoffice.gov.uk/trac/jules/wiki/FluxnetandLbaSites, and downloaded from here ; 938 https://code.metoffice.gov.uk/trac/roses-u/browser/a/1/7/5/2/ at revision 145397). The global simulations used

JULES rose suite u-bq898 (https://code.metoffice.gov.uk/trac/roses-u/browser/b/q/8/9/8/ at revision 181188)
which uses the Global Land configuration 7.1 (Wiltshire et al., 2020). Suites can be downloaded from MOSRS once the user has registered for an account.

943 Competing Interests

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944 The authors declare no competing interests.

945 Author Contributions

RJO performed simulations and analysis and wrote the first version of the manuscript. DBC, LMM and RJOdeveloped the model. PLV, PCM and MT provided data for the future climate runs, help with developing the

JULES suites, and general expertise. CH assisted with analysis. SF and SS provided ancillary data for forcing the
 model. LMM, CMT, CH, PLV, BEM, PCM and MT contributed to editing the manuscript. All authors contributed
 to discussions throughout to develop the work.

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