

Comparison of ocean heat content ~~estimated using from~~ two eddy-resolving hindcast simulations ~~using based on~~ OFES1 and OFES2

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Abstract. ~~The~~ ~~In this study, we have compared the~~ ocean heat content (OHC), ~~estimates estimated from~~ using two eddy-resolving hindcast simulations ~~from based on the~~ Ocean General Circulation Model for the Earth Simulator Version 1 (OFES1) and Version 2 (OFES2) ~~and~~. Results from a global objective analysis of subsurface temperature ~~observations~~ (EN4), were taken as a reference. Both ~~EN4 and OFES1 suggest that~~ OHC has increased ~~above 2000 m~~ in most ~~regions~~ of the ~~global ocean top 2000 m~~ ~~above 2000 m in the EN4 and OFES1 over during~~ 1960–2016, ~~mainly due a result to which is mainly associated with of the~~ deepening of neutral density surfaces, ~~with and~~ variations along the neutral density surfaces of regional importance. ~~Upon comparing the results obtained from the two OFES hindcasts, We we~~ found substantial differences in the temporal and spatial distributions of the OHC ~~between the two OFES hindcasts~~, especially in the Atlantic Ocean. A basin-wide heat budget analysis showed that there was less surface heating for the major basins in the OFES2. The horizontal heat advection was ~~largely mostly~~ similar, ~~but however~~, the OFES2 had a ~~much significantly~~ stronger meridional heat advection associated with the Indonesian Throughflow (ITF) above 300 m. ~~Additionally Also~~, large discrepancies in the vertical heat advection ~~based on the two OFES data~~ were also ~~identified evinced when the two OFES results were compared~~, especially at ~~the a depth of~~ 300 m in the Indian Ocean. We inferred that there ~~are exist~~ large discrepancies in the vertical heat diffusion (cannot be directly ~~diagnosed evaluated~~ in this ~~study paper~~ due to data ~~unavailability~~), which, along with the different ~~magnitudes of~~ sea surface heat flux and vertical heat advection, were the major factors responsible for the examined ~~OHC differences in OHC~~. This ~~work works~~ suggests that ~~the~~ OFES1 provides a reasonable multi-decadal estimate of global and basin-integrated warming ~~trends~~ above 700 m, ~~with the exception exceptions of except for in~~ the top 300 m ~~of for~~ the Pacific Ocean and between 300–700 m ~~in for~~ the Indian Ocean. ~~Despite an exceptional agreement with~~ ~~Although observations in the estimates of the~~ global OHC ~~estimate of during~~ 1960–2016 ~~are consistent with~~ observations between 700–2000 m, caution is warranted ~~when while~~ examining the basin-wide multi-decadal OHC variations ~~by using the~~ OFES1. The seemingly suboptimal OHC_z ~~estimated from using based on the~~ OFES2, ~~reminds us suggests~~ that ~~any~~ conclusions on long-term climate variations derived from ~~the~~ OFES2 ~~may might~~ suffer from large drifts, ~~necessitating audits and need to be carefully audited~~.

35 1 Introduction

36 The global oceans ~~has stored store over~~ more than 90% of ~~the~~ extra heat that has been added to the Earth ~~system~~ since
37 ~~the 1950s~~, ~~causing generating~~ a significant OHC increase ~~in the ocean heat content (OHC)~~ (Levitus et al., 2012;
38 IPCC 2013). ~~The Therefore,~~ OHC is therefore forms an important indicator of climate change, and it provides useful
39 bounds for ~~in helps estimating estimate~~ the Earth's energy imbalance (Palmer et al., 2011; Von Schuckmann et al.,
40 2016). Although natural factors such as ~~the~~ El Niño–Southern Oscillation (ENSO) and volcanic eruptions can affect
41 modulate the OHC (Balmaseda et al., 2013; Church et al., 2005), the recent warming trend has been mostly largely
42 ~~resulted from~~ induced by the accumulation of greenhouse ~~gas~~ accumulation ~~accumulating~~ in the atmosphere
43 (Abraham et al., 2013; Gleckler et al., 2012; Pierce et al., 2006).

44 ~~As The~~ OHC increase, ~~being~~ a major concern ~~in for~~ both ~~the~~ oceanography and climate communities, ~~the~~ OHC has
45 attracted a great deal of attention. Although direct observational records ~~are represent~~ the most reliable ~~trustworthy~~
46 data ~~for~~ determining the oceanic thermal state, the ~~fact is that measurements available~~ data observations are ~~far~~
47 ~~from not~~ dense enough in both the temporal and spatial domains, especially for the deep and abyssal oceans. The
48 ~~sparseness of number of~~ observations has greatly improved since the launch of a global array of profiling floats, the
49 Argo, in the 2000s. However, the spatial resolution of the Argo program ~~of (i.e.,~~ approximately 300 km) is not high
50 enough to capture mesoscale structures (Sasaki et al., 2020, hereafter **S2020**). ~~Several There are several~~ approaches
51 ~~exist to for~~ filling the temporal and spatial gaps in global temperature measurements, ~~and which~~ can be used to
52 produce gridded temperature ~~fields products to for estimate estimating~~ the OHC. ~~These Typical~~ approaches include
53 ~~an the~~ objective analysis (Good et al., 2013) of observational data and an ensemble optimal interpolation with a
54 dynamic ensemble (EnOI-DE (Cheng and Zhu, 2016), ~~ocean reanalysis, the later being a combination of physical~~
55 ~~ocean models with observations observational data.~~ In addition, ocean general circulation models (OGCMs) provide
56 the temperature fields by solving ~~the~~ primitive equations of fluid motion and state. When constrained by observations,
57 a numerical ocean modelling becomes the ocean reanalysis, which geneally lacks dynamical-consistence (the resulting
58 fields satisfy the underlying fluid dynamics and thermodynamics equations), unless the adjoint method was adopted
59 to use information contained in observations. ~~Although OGCMs are dynamically consistent (the resulting fields satisfy~~
60 ~~the underlying fluid dynamics and thermodynamics equations), some models are not constrained by observations.~~
61 Although ocean reanalysis has been widely constructed, unconstrained OGCMs are still an important tool for climate
62 prediction, for instance, the Coupled Model Intercomparison Project (CMIP). How multi-scale dynamical processes
63 are represented in these unconstrained models and their implementation of external forcing significantly impact their
64 OHC estimates.

65 ~~The~~ Ocean General Circulation Model for the Earth Simulator (OFES; (Masumoto et al., 2004; Sasaki et al., 2004)),
66 developed by the Japan Agency for Marine-Earth Science and Technology (JAMSTEC) and other institutes, is a well-
67 known eddy-resolving OGCM ~~ocean model~~, and the hindcast simulation of the OFES Version 1 (OFES1) has been
68 widely used (Chen et al., 2013; Dong et al., 2011; Du et al., 2005; Sasaki et al., 2020; Wang et al., 2013). The hindcast
69 simulation based on the OFES Version 2 (OFES2) has now been released, ~~and with~~ certain improvements have been
70 demonstrated over the OFES1 (**S2020**). For example, in a comparsion to the OFES1, the authors found a smaller bias
71 in the global sea surface temperature (SST), sea surface salinity (SSS), and the ~~water-water~~ mass properties in of the

72 Indonesian and Arabian Seas in the OFES2. To our knowledge, however, a comparison of the multi-decadal OHC
73 at a basin or global scale ~~from based on data obtained from between the~~ OFES1 and OFES2 is lacking. As this high-
74 resolution quasi-global ~~model hindcast simulation~~ is expected to be widely used in ~~the~~ oceanography and climate
75 communities for examining the ~~state of the~~ ocean ~~state~~ in the near future, it is necessary to compare the ~~estimates of~~
76 OHC estimates ~~sd from determined using~~ these two OFES as an indicator of the potential improvements in ~~the~~ OFES2
77 over ~~the~~ OFES1. ~~Such a study is also expected to provide insights on and also of their~~ adaptability ~~of the two~~
78 ~~simulations for~~ the OHC-related studies. ~~This is further motivated by the~~ The finding that subsurface oceanic fields
79 could be notably different ~~between when estimated based on~~ the results of two OFES runs with different atmospheric
80 forcing, despite their ~~similar~~ results in the near-surface ~~may be similar region~~ (Kutsuwada et al., 2019), ~~forms an added~~
81 ~~motivation to conduct the envisioned study~~.

82 —The aim of this ~~study paper~~ is twofold: (1) ~~to~~ estimate the OHC in the global ocean and ~~in~~ each major basin using
83 ~~the~~ OFES1 and OFES2, with ~~a~~ primary focus on ~~to evaluate their any~~ differences ~~associated with between the~~ two
84 hindcasts; ~~and~~ (2) ~~to~~ understand the causes of the differences ~~estimated between based on between~~ these two hindcasts.
85 To this end, we used the potential temperature θ to calculate ~~and compare~~ the OHC from 1960 to 2016 for both the
86 global ocean and the major basins, ~~i.e.,~~ the Pacific Ocean, the Atlantic Ocean, and the Indian Ocean; ~~between~~ 64° S
87 and 64° N.

88 —In Section 2, we ~~provide give~~ a brief description ~~of~~ the data and methods used ~~in this study here~~. In Section 3, we
89 describe and discuss the ~~OHC~~ differences ~~in OHC between the~~ in both the temporal and spatial domains. A tentative
90 analysis of the possible causes of ~~these the~~ differences ~~was was is~~ also conducted. ~~Section Sections~~ 4
91 ~~summarizessummarises~~ the principal points and ~~the~~ possible extensions involving factors that were not examined here
92 due to data ~~unavailability,~~ ~~but although such factors~~ could be important. ~~Therefore Accordingly,~~ ~~we have added the~~
93 ~~future Future~~ ~~scope of this study work is therefore expected~~ to improve ~~on our the associated~~ work ~~here~~.

94

95 2 Data and Methods

96 2.1 Data

97 ~~The potential~~ Potential temperature θ from both ~~the~~ OFES1 and OFES2 were used to calculate the global and basin
98 OHCs. ~~This allowed us to compare the results on OHC obtained estimated from OFES1 and OFES2 for comparison~~
99 ~~with each other, and along~~ with the ~~OHC calculated estimates~~ from the observation-based EN4. Although results from
100 ~~the~~ EN4 cannot be ~~considered taken as to represent~~ the actual oceanic state, it has been widely used in OHC-related
101 studies (Allison et al., 2019; Carton et al., 2019; Häkkinen et al., 2016; Trenberth et al., 2016; Wang et al., 2018). A
102 brief description of the three datasets is given below; readers are referred to Sasaki et al. (2004), Sasaki et al. (2020),
103 and Good et al. (2013) for ~~a more details detailed~~ description.

104 —The OFES1 has a horizontal spatial resolution of 0.1° ~~and with~~ 54 vertical levels ~~with and~~ a maximum depth of
105 6065 m (Sasaki et al., 2004); ~~this~~ ~~Such a~~ high lateral resolution enables it to resolve mesoscale processes. Following
106 a 50-year climatological simulation, the hindcast simulation of the OFES1 was integrated forward, ~~with the publicly~~
107 ~~available data~~ from 1950 to ~~two years ago (the publiclypublically available data is until~~ 2017). The multi-decadal

108 integration ~~period makes made~~ it possible to ~~perform an analysis of~~ analyze oceanic fields at temporal scales from
109 intra-seasonal to multi-decadal. Unlike most other datasets used for ~~the estimation of the~~ OHC estimates, the OFES1
110 is an ocean ~~model~~ modelling with no observational constraints. Therefore, it can be used to demonstrate ~~the potential~~
111 ~~benefits of high resolution and~~ the adaptability of ~~high-resolution~~ numerical modelling without data assimilation ~~in~~
112 ~~climate studies~~.

113 —The OFES2 has the same horizontal spatial resolution of 0.1° . Vertically, there are 105 levels, with a maximum
114 depth of 7500 m. ~~The~~ OFES1 uses ~~daily~~ National Centers for Environmental Prediction (NCEP) reanalysis ($2.5^\circ \times$
115 2.5° ; Kalnay et al., 1996) for ~~the~~ atmospheric forcing ~~on an everyday basis~~, whereas ~~the~~ OFES2 ~~is forced by~~ obtains
116 ~~atmospheric forcing from~~ the ~~3 hourly atmospheric surface dataset~~ JRA55-do Version 08 ($55\text{ km} \times 55\text{ km}$; Tsujino et
117 al., 2018) ~~with a temporal resolution of 3 h~~. Both the temporal and spatial resolutions of ~~the~~ atmospheric forcing have
118 increased ~~greatly significantly~~ in the OFES2. The OFES2 also incorporates river runoff and sea-ice models, but ~~no~~
119 ~~inclusion of~~ polar areas ~~are not included~~.

120 —In the horizontal direction, both ~~the~~ OFES1 and OFES2 use a biharmonic mixing scheme to suppress ~~the~~
121 computational noise (S2020). The horizontal diffusivity coefficient is equal to $-9 \times 10^9\text{ m}^4/\text{s}$ at the ~~Equator equator~~
122 (S2020); and varies ~~proportionally proportional to with~~ the cube of the cosine of the latitude (personal communication
123 with Hide Sasaki) ~~and~~. The OFES2 uses a mixed-layer vertical mixing scheme (Noh and Jin Kim 1999) with
124 parametrization of ~~tidal energy tidal energy~~ dissipation (Jayne and St. Laurent 2001; St. Laurent et al., 2002), whereas
125 ~~the~~ OFES1 uses the K-profile parameterization (KPP) scheme (Large et al., 1994). ~~With~~ Taking the temperature and
126 salinity ~~on of 1st~~ January 1, 1958; from ~~the~~ OFES1 as the initial conditions, ~~the~~ OFES2 ~~used here~~ ~~washas been~~
127 integrated forward, ~~with~~ the publicly available ~~data~~ from 1958 to 2016. To reduce the computation ~~time and the~~
128 ~~archive cost~~, we subsampled the OFES1 and OFES2 data ~~at every~~ ~~five~~5 grid points in the horizontal direction.

129 —To evaluate the OHC ~~objectively~~ from the two OFES data, we used ~~the~~ EN4 from the UK Meteorological Office
130 Hadley Centre as a reference. Note that ~~the we used the EN4.2.1 as the~~ EN4 version ~~we used was~~ ~~is~~ the EN4.2.1, with
131 ~~bias bias~~-corrected following Levitus et al. (2009). The EN4 data can be considered as ~~an~~ objective analysis ~~data~~ that
132 is ~~primarily~~-based on observations (Good et al., 2013), with a horizontal resolution of 1° and 42 vertical levels down
133 to 5350 m. The EN4 assimilates data ~~mainly mostly~~ from the World Ocean Database (WOD) and the Coriolis dataset
134 for ReAnalysis (CORA). Pre-processing and quality checks ~~were~~are conducted before the observational data ~~were~~are
135 used to construct this objective analysis product.

136 —Although we used the ~~results from~~ EN4 ~~results~~ as a reference for evaluating the ~~performance of~~ OFES ~~performance~~
137 in simulating the 57-year ~~thermal state of the~~ ocean ~~thermal state~~, ~~the~~ EN4 cannot be ~~taken considered~~ ~~as to represent~~
138 the actual ocean state. The main reason is that the measurements used to construct the EN4 datasets are sparse and
139 inhomogeneous in both ~~the~~ temporal and spatial domains, and ~~are far from~~ insufficient to resolve mesoscale or even
140 sub-mesoscale motions. There ~~are are more more~~ observations in the ~~Northern northern~~ Hemisphere ~~hemisphere than~~
141 ~~compared to the in the Southern southern hemisphere~~ Hemisphere, and there is also a seasonal bias in the observational
142 data density (Abraham et al. 2013; Smith et al. 2015). A ~~higher larger~~ density of ~~data became was generated~~ available
143 only after the World Ocean Circulation Experiment (WOCE) ~~was conducted~~ in the 1990s and ~~following the~~ launch of
144 the Argo profiling floats in the 2000s. Table 1 summarizes ~~the these~~ three ~~ocean~~ datasets.

145 **Table 1.** ~~Description~~ A summary of the OFES1, OFES2 and EN4 ~~datasets~~. The symbol / means “not applicable”.

	OFES1	OFES2	EN4
Model	MOM3	MOM3	/
Horizontal coverage	75° S – 75° N	76° S – 76° N	83° S – 89° N
Grids	3600 × 1500	3600 × 1520	360 × 173
<u>Vertical levels</u>	<u>54</u>	<u>105</u>	<u>42</u>
Maximum depth	6065 m	7500 m	5350 m
Vertical levels	54	105	42
Atmospheric forcing	Daily NCEP/ NCAR reanalysis	3-hourly JRA55-do Ver.08	/
Data assimilated	/	/	WOD, CORA
Time span	1950 – 2017	1958 – 2016	1900 – 2021

146

147 –We considered water from the sea surface to ~~a~~round ~~approximately~~ 2000 m, and divided it into three layers: upper
 148 (0–300 m), ~~s~~ middle (300–700 m), ~~s~~ and lower (700–2000 m). The ocean above 2000 m ~~has is~~ often ~~been~~ divided into
 149 two layers, 0–700 m and 700–2000 m (or even one: 0–2000 m) (Allison et al., 2019; Häkkinen et al., 2016; Häkkinen
 150 et al., 2015; Levitus et al., 2012; Zanna et al., 2019); ~~our~~ ~~However, our~~ analysis ~~here~~ ~~shows will show~~ that it is ~~in~~
 151 ~~fact~~ necessary to divide it into three layers ~~for our purpose~~ to reach the objective of this study. Similar vertical division
 152 can also be seen ~~did~~ in Liang et al. (2021).

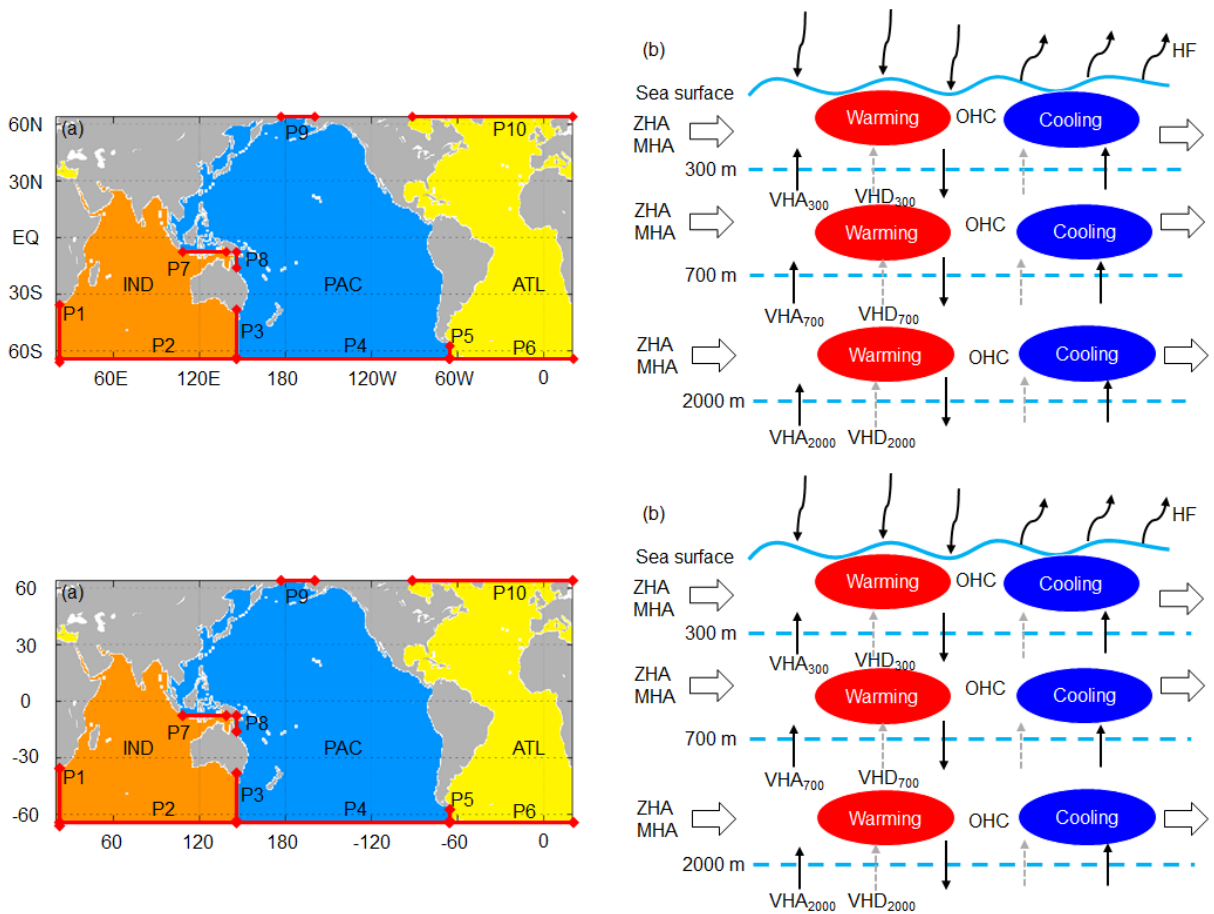
153 –The reasons for ignoring water below 2000 m ~~were~~ ~~are~~ mainly fourfold. ~~First~~ ~~Firstly~~, the simulated
 154 ~~behavior~~ ~~behaviour~~ of the deep ocean depends ~~sensitively~~ on the spin-up of the numerical simulation, which is ~~mostly~~
 155 ~~almost always~~ incomplete (Wunsch 2011), at least in the first decade. ~~Second~~ ~~Secondly~~, the observational data used in
 156 ~~the~~ EN4 are largely confined to the ~~ocean above~~ ~~top~~ 2000 m ~~and many~~ some available measurements do not even go
 157 down ~~to~~ this ~~depth~~ (personal communication with the EN4 UK Meteorological Office Hadley Centre); ~~with a~~ ~~much~~
 158 ~~lower~~ The density number of data is significantly lesser in the deep and abyssal oceans. ~~Third~~ ~~Thirdly~~, the EN4 data ~~in~~
 159 ~~the EN4 version~~ that we used here ~~are~~ ~~was~~ ~~bias~~ ~~corrected~~; following Levitus et al. (2009), in which only the ocean
 160 above 700 m was considered. ~~Therefore, for~~ ~~For~~ instance, the Expendable Bathythermograph (XBT) profiles below
 161 700 m ~~were~~ ~~are~~ corrected using the correction values provided for 700 m (personal communication from the UK
 162 Meteorology Office Hadley Centre). ~~Finally~~ ~~Finally~~, ~~Lastly, as can be seen,~~ the maximum depth of OFES2 and EN4
 163 differs by more than 2000 m ~~between the OFES2 and EN4~~. It was ~~found~~ ~~fe~~ ~~evinced~~ ~~It~~ that ~~a~~ ~~the~~ full-depth OHC, ~~is not~~
 164 ~~highly comparable between~~ ~~estimated using~~ the three datasets, is not highly comparable. ~~This, However~~ ~~however, this~~
 165 does not imply that we can ignore the contribution of the deep ocean ~~can be ignored~~; it can play an essential role in
 166 regulating the global-ocean thermal state (Desbruyères et al. 2016; Desbruyères et al. 2017; Palmer et al. 2011). It is
 167 expected that a ~~much~~ ~~significantly~~ better understanding of the deep and abyssal ocean ~~states~~ ~~state~~ will be gained with
 168 the implementation of the Deep Argo program, which is partially validated by Johnson et al. (2019).

169 **2.2 Methods**

170 We compared the three datasets ~~for~~ ~~over~~ the period 1960–2016. In this paper, the OHC represent the OHC anomalies
 171 relative to the OHC estimates ~~of~~ ~~in~~ 1960. At each grid point, the OHC is given expressed as follows by:

172
$$-OHC = \rho \delta v C_p (\theta - \theta_{1960}) = \rho \delta v C_p \Delta \theta, \quad (1)$$

173 where ρ is the seawater density (kg/m^3), δv is the grid volume (m^3), C_p is the specific heat of seawater at constant
 174 pressure ($\text{J/kg/}^\circ\text{C}$), θ is the yearly potential temperature ($^\circ\text{C}$), and θ_{1960} is the average potential temperature
 175 during 1960. The total OHC in the upper ocean layer (above 300 m) is the integral of Eq. (1) from 0 to 300 m.
 176 Similar procedures were applied to the other two layers (300–700 m and 700–2000 m). A value of 4.1×10^6
 177 $\text{kg} \cdot \text{J/m}^3/^\circ\text{C}$ was used for the product of ρ and the specific heat of seawater C_p (Palmer et al., 2011).
 178 OHCs Both of both the global and individual basins individual basin OHCs were calculated for comparison. Fig. 1
 179 shows the domains of the Pacific, Atlantic, and Indian Oceans between 64°S and 64°N , with including their respective
 180 marginal seas included. The Our definition of the marginal seas of each major basin may be inconsistent with
 181 those of other studies. The major water passages connecting the different basins are shown denoted by red lines
 182 also labelled in Fig. 1a. Fig. 1b is A schematic diagram shows the schematic of primary processes that
 183 determined determining the OHC of an ocean basin (Fig. 1b).



184

185

186 **Figure 1.** (Left) Domains of the major basins between 64°S and 64°N and (right) a schematic diagram of the primary
 187 processes controlling the thermal state of an ocean. (a) The PAC stands for the Pacific Ocean, the ATL for the Atlantic
 188 Ocean and the IND for the Indian Ocean. The basin domain is extracted using the gcmfaces package (Forget et al.,
 189 2015) and then interpolated to the corresponding grid of each product. Grey indicates the land. The red solid lines
 190 with diamond arrow stand for the water passages connecting different basins. We label it with the capital letter P
 191 (abbreviation for passage) and a serial number. The horizontal and vertical axis are longitude and latitude,
 192 respectively. EQ stands for the Equator. (b) We use a light blue solid curve to represent the free sea surface and three
 193 dashed lines to indicate the 300 m, 700 m and 2000 m depth. The curve arrow represents the net heat flux (HF) through

194 the ocean surface. The black hollow arrows show the zonal (ZHA) or meridional (MHA) heat advection. The black
 195 thin arrow represents the vertical heat advection (VHA) and the grey dash arrow stands for the vertical heat diffusion
 196 (VHD). The red ellipse illustrates warming water and the blue ellipse cooling water. P1: (20° E, 64° S – 34.5° S); P2:
 197 (20° E – 146.5° E, 64° S); P3: (147° E, 64° S – 36.5° S); P4: (147° E – 65.5° W, 64° S); P5: (67° W, 64° S – 55° S);
 198 P6: (65° W – 19.5° E, 64° S); P7: (118.5° E – 138.5° E, 8.5° S); P8: (142° E, 12.5° S – 8° S); P9: (172.5° W – 166.5°
 199 W, 64° N); P10: (88° W – 19.5° E, 64° N).

201 —In addition, ~~the~~ $\Delta\theta$ at a fixed depth ~~is~~ decomposed into a heavy (HV) component (~~the~~ second term ~~in~~ Eq. (2)
 202 ~~below~~) and a spice (SP) component (~~the~~ third term ~~in~~ Eq. (2)) (Bindoff ~~&~~ McDougall, 1994). ~~The~~ HV-related
 203 warming or cooling is ~~manifested as a result of~~ ~~the~~ a vertical displacement of the neutral density surfaces (a continuous
 204 ~~analog~~ analogue of discretely referenced potential density surfaces; (Jackett and McDougall, 1997)). In general, both
 205 the ~~dynamic~~ dynamical changes and the change in the renewal rates of ~~water~~ water masses can induce vertical
 206 displacement, ~~and thus~~ generating ~~the~~ HV-related warming or cooling ~~as a consequence~~ (Bindoff and McDougall,
 207 1994). ~~The~~ SP represents warming or cooling as a result of density compensation in ~~the~~ θ and salinity (S) along the
 208 neutral density surfaces. ~~This~~ ~~d~~ decomposition of $\Delta\theta$ helps to better understand the contributions ~~and ways~~ of different
 209 water masses ~~in~~ to accounting for ~~the~~ generating OHC. The formula ~~for~~ decomposing the potential temperature is
 210 ~~given as follows~~:

$$211 \quad \frac{d\theta}{dt} \Big|_z = - \overbrace{\frac{d\theta}{dz} \Big|_n}^{\text{HV}} + \overbrace{\frac{d\theta}{dt} \Big|_n}^{\text{SP}} \quad (2)$$

212 where t is the time (year), z ~~is~~ means the depth (m), and $|_n$ means along the neutral density surface.

213 —~~A~~ ~~The~~ program ~~developed~~ by Jackett and McDougall (1997) was used to calculate the neutral densities, HV, and
 214 SP. This code is based on ~~the~~ UNESCO (~~the~~ The United Nations Educational, Scientific and Cultural Organization
 215 (UNESCO), 1983 for the computation of fundamental properties of seawater ([http://www.teos-
 216 10.org/preteos10_software/neutral_density.html](http://www.teos-10.org/preteos10_software/neutral_density.html)); ~~we~~ ~~We~~ used its ~~MATLAB~~ Matlab version ~~for our calculations~~.
 217 The main inputs for this program ~~were~~ ~~the~~ θ and S . ~~As~~ ~~The~~ the code limits the latitude to between 80° S and 64° N,
 218 ~~but~~ we further confined our investigation domain to ~~be~~ 64° from the equator; ~~which~~ ~~this~~ ~~also~~ avoids comparisons in
 219 ~~sea-ice-impacted~~ sea-ice impacted areas, ~~knowing~~ ~~given~~ that only ~~the~~ OFES2 includes a sea-ice model.

220 —To analyze the ~~causes~~ ~~origin~~ of ~~the~~ differences in OHC ~~differences~~ from thermodynamic and dynamic perspectives,
 221 we calculated the surface heat flux (HF), zonal heat advection (ZHA), meridional heat advection (MHA), and vertical
 222 heat advection (VHA). Owing to a temporary suspension of the OFES2 data by the JAMSTEC, we could not access
 223 the vertical diffusivity data of ~~the~~ OFES2 (~~OFES1 does not provide these data~~) ~~when~~ ~~while~~ preparing this manuscript.
 224 ~~Note that OFES1 does not provide such data~~. This ~~prevents~~ ~~prevented~~ us ~~to~~ ~~from~~ directly ~~comparing~~ ~~comparing~~ the
 225 ~~estimates of~~ vertical diffusion of heat ~~from~~ ~~based on~~ ~~the~~ OFES1 and OFES2. Alternatively, we calculated the residual
 226 of the total OHC and all the other heat inputs (HF, ZHA, MHA, and VHA), and ~~used~~ ~~took~~ ~~this~~ ~~the~~ ~~results~~ as a proxy
 227 for ~~the~~ vertical diffusion. As the horizontal heat diffusion was found to be ~~much~~ ~~significantly~~ weaker than ~~that~~ ~~of~~
 228 ZHA and MHA (not shown), we did not include it in the analysis. A ~~diagram~~ ~~schematic~~ of the primary
 229 ~~process~~ ~~processes~~ is shown in Fig. 1b. Note that the linear trend in the following sections was calculated using ~~the~~
 230 multiple linear regression using least squares, ~~and we used~~ ~~the~~ at 95% confidence level.

231 **3 Results**

232 The principal ~~aim here~~objective of this study is to compare the results from ~~the~~ OFES1 and OFES2, ~~with considering~~
233 ~~the~~ EN4 ~~acting as~~ an observation-based reference. ~~If We attempted to evaluate if~~ there ~~was~~ ~~ais~~ any significant
234 difference between the results obtained from OFES2 ~~result~~ and ~~that those of from~~ one or both of the other two datasets,
235 ~~and does this~~if any such difference represents a real phenomenon that is not present in the other two widely used
236 datasets, ~~or is it~~ is an unwanted property of the newly released OFES2 simulation? ~~_.~~ In this section, we compare the
237 three sets of results for the global ocean, ~~and for each~~along with individual cases of the Pacific, Atlantic, and Indian
238 Oceans ~~individually~~.

239 **3.1 Time-Temporal evolution of the OHC, HV, and SP from 1960 to 2016**

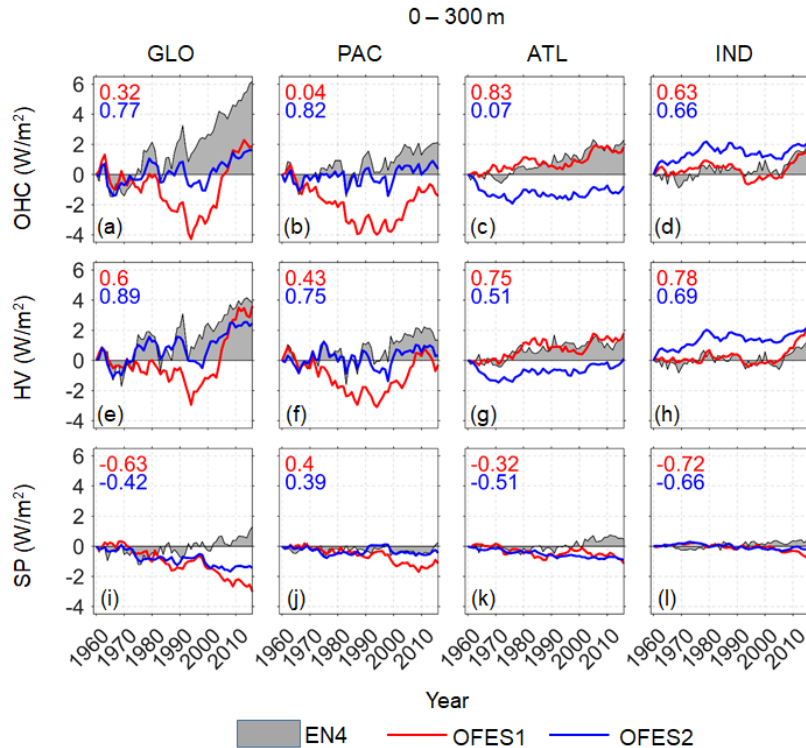
240 **3.1.1 The Time series of OHC, HV, and SP**

241 ~~Figs-ures~~ 2–4 present-illustrate the time series of the total OHC, and its HV and SP components for the upper (0–300
242 m), middle (300–700 m), and lower (700–2000 m) ocean layer, respectively. Note that OHC, HV, and SP were
243 calculated as ~~the~~ an anomaly relative to the estimates in 1960, ~~and which was~~ converted to an equivalent HFheat flux
244 applying over the entire surface area of the Earth.

245

246 *Upper layer*

247 For ~~the~~ the global ocean between 0 and –300 m, all three data indicate cooling from ~~a~~roundapproximately 1963 to
248 1966 (Fig. 2a), ~~which is~~has been explainedwas caused by the ~~as the result of the~~ volcanic eruption of Mount Agung
249 (Balmaseda et al., 2013). A similar trend of cooling ~~over~~during this period ~~can also be seen~~ is also reported ~~in~~
250 ~~Domingues et al. (2008) and Allison et al. (2019)~~ for the upper 700 m ~~in~~ (Domingues et al., 2008) and Allison et
251 al., (2019) (their Fig. 1) and ~~Achutarao et al. (2007)~~ for for both ~~the~~ 0–700 m and 0–3000 m depth (Achutarao et al.,
252 2007)(their Fig. 1). This short, ~~but~~however, sharp cooling period ~~was found to~~ significantly mainly impacted the
253 Pacific Ocean (Fig. 2b). Marked OHC reductions in the OHC associated with ~~the~~ strong volcanic eruptions of El
254 Chichón in 1982 (a strong El Niño ENSO also emerged in 1982–83), and Pinatubo in 1991 were also consistently
255 captured by all ~~the~~ three data.



256
257
258
259
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261
262

Figure 2. Time series of the global and basin-wide OHC (**top**), HV (**middle**) and SP (**bottom**) between 0–300 m based on the three ~~temperature products~~ datasets. The OHC, HV and SP here are converted to the accumulative heating in W/m^2 applied over the entire surface of Earth. Grey shadow: EN4; red solid line: OFES1; blue solid line: OFES2. Numbers on the left top corners are the correlation coefficients between the OFES1 (red) or OFES2 (blue) and EN4. The OHC hereafter is directly calculated from the potential temperature, rather than the sum of the HV and SP.

263 —Both ~~the~~ EN4 and OFES2, but not ~~the~~ OFES1, showed a slowdown in warming ~~and even cooling~~ in the Pacific
264 Ocean during the 2000s (Fig. 2b). This slowdown ~~of warming in the Pacific~~ ~~warming corresponded~~ ~~corresponds to~~
265 a sharp warming ~~trend~~ in the upper layer of the Indian Ocean (Fig. 3d), ~~seen in all the three datasets~~. This
266 ~~relationship relevance~~ between the Pacific and Indian Oceans ~~was found to be~~ ~~could be~~ a consequence of ~~an~~
267 ~~intensifying~~ ~~intensified~~ Indonesian ~~Throughflow~~ ~~Throughflow~~, ~~leading to which~~ ~~an~~ increased heat transport from the
268 Pacific to the Indian ~~O~~Oceans (Lee et al. 2015; Zhang et al. 2018); ~~–~~. ~~Note that~~ ~~however~~, these two ~~studies~~ ~~references~~
269 considered the top 700 m. ~~As will be shown~~, ~~However~~ ~~however~~, ~~this~~ ~~the~~ sudden warming of the Indian Ocean was
270 largely confined to ~~the~~ ~~the~~ ~~oceanic region~~ ~~above~~ ~~top~~ 300 m, ~~especially which is~~ ~~as~~ indicated by ~~the~~ OFES1 and OFES2
271 (Fig. 3d). The EN4 showed a clear ~~acceleration of~~ warming ~~trend above 300 m~~ ~~acceleration around 2003~~ in the global
272 ocean ~~above 300 m~~ ~~during~~ ~~around~~ 2003, which was probably an ~~artifact~~ ~~artefact~~ of the transition of the ocean
273 observation network from a ship-based system to Argo floats (Cheng and Zhu, 2014), although these authors mainly
274 used subsurface temperature data from the World Ocean Database 2009 (WOD09). Interestingly, a dramatic shift can
275 also be seen in ~~the~~ OFES1 (Fig. 2a), ~~indicating~~ ~~remembering~~ ~~although~~ that ~~the~~ OFES1 is not directly constrained by
276 observations. A major difference in this jump between ~~the~~ EN4 and OFES1 is ~~that it was found to be~~ ~~more~~ ~~its~~ closely
277 ~~associated~~ ~~association~~ with ~~the~~ SP in ~~the~~ EN4 (Fig. 2i) ~~but with the~~ ~~compared to~~ HV in ~~the~~ OFES1 (Fig. 2e). This

278 spiciness warming around 2003, derived from objective analysis of observational data, ~~can serve as a complement~~
279 ~~to~~ the work ~~of~~ Cheng and Zhu (2014).

280 —However, ~~many several~~ significant differences ~~were can be found~~ observed between the three datasets. ~~The Results~~
281 ~~from~~ EN4 indicated ~~that an approximately linear the~~ temporal evolution of the warming ~~was approximately linear~~
282 since ~~around ~~~1970 (Fig. 2a), ~~which was~~ modulated by the abovementioned climate signals. The OFES1, however,
283 showed that the cooling ~~period~~ persisted almost until the ~~beginning of the early~~ 1990s, ~~when while~~ a similar linear but
284 stronger warming ~~trend~~ appeared afterwards (Fig. 2a); ~~this~~ ~~This was~~ more than 20 years later than that indicated by
285 the EN4. ~~In the OFES2, The the~~ approximately linear warming ~~trend~~ appeared even later ~~in the OFES2 from~~
286 ~~around during(~2000), and the magnitude of which~~ was ~~approximately~~ the weakest among the three datasets.

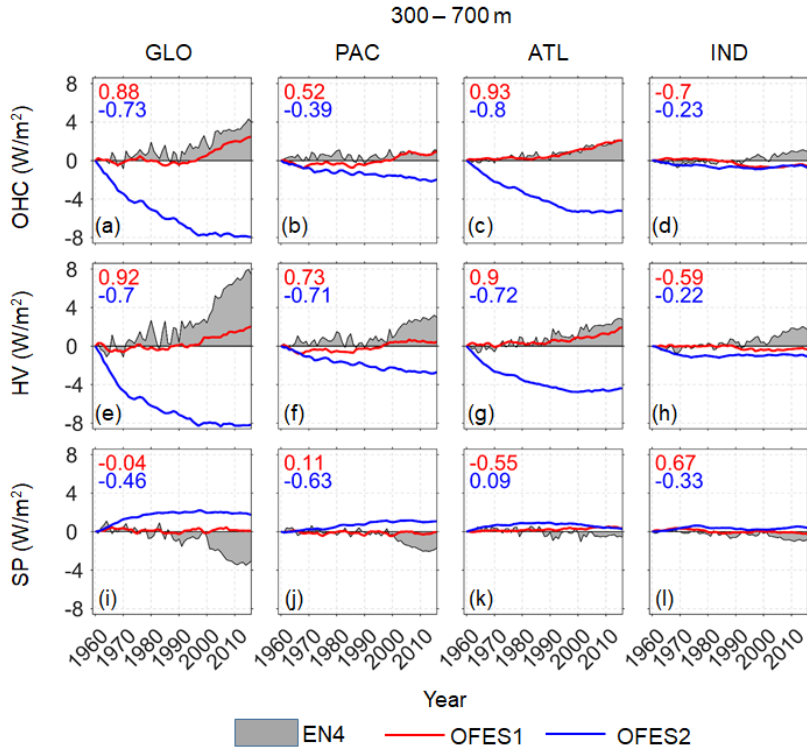
287 —Compared to ~~the~~ OFES1, the ~~temporal profile of the global upper ocean obtained using~~ OFES2 agreed better with
288 ~~the that indicated by~~ EN4 ~~in the temporal profile of the global ocean~~ (Fig. 2a), which, to some extent, is consistent
289 with the smaller ~~SST sea surface temperature (SST) bias~~ ~~estimated~~ from the OFES2 than that from the OFES1 when
290 ~~compared comparing~~ to the World Ocean Atlas 2013 (WOA13) (S2020). However, ~~the~~ ~~difference between OFES2~~
291 ~~and EN4 in magnitude was a became~~ larger ~~magnitude difference~~ after 1980. This ~~waseame~~ mainly ~~due to from~~ the
292 ~~spiciness-SP~~ component (Fig. 2i), with both ~~the~~ OFES1 and OFES2 indicating ~~a~~ clear SP cooling ~~episode~~. This ~~may~~
293 ~~might~~ imply some discrepancies in the salinity characteristics ~~off from~~ these three ~~datasets data~~. In contrast, there was
294 ~~quite~~ good agreement ~~between in~~ the HV ~~values off from the~~ EN4 and OFES2 (Fig. 2e).

295 —Clear differences can also be ~~easily discerned seen~~ for each ~~individual~~ basin. The OFES1 differed significantly from
296 the other two in the Pacific Ocean ~~between around during~~ -1970–1990, with the other two ~~being~~ similar to each other
297 ~~in with respect to~~ both ~~the~~ HV and SP. In the Atlantic Ocean, however, the OFES1 agreed ~~quite well~~ with the EN4
298 ~~quite well~~ in the HV. Although the two OFES datasets had similar spiciness in the Atlantic Ocean, they both disagreed
299 with the spiciness ~~off from the~~ EN4. The HV, ~~indicated by estimated using the~~ OFES2, showed poor agreement with
300 both ~~the~~ EN4 and OFES1 in the 1960s (Fig. 2g). In the Indian Ocean, ~~the~~ OFES1 was much closer to ~~the~~ EN4 than
301 ~~to the~~ OFES2. Both the similarities and differences in the OHC ~~came largely were associated mostly with from~~ the
302 HV, which ~~dominantedly influenced dominates~~ the variation ~~in of~~ OHC. The notable deviations of the OFES2 relative
303 to others ~~mainly comewere mainly generated~~ from the uniquely strong warming ~~trend~~ in the OFES2 Indian Ocean
304 before ~~around 1980 ~~~1980 (Fig. 2d).

305 —A potential issue of the OFES2 is the spin-up, although it ~~started was initiated~~ from the calculated ~~the~~ temperature
306 and salinity fields ~~from OFES1~~. Without ~~a any prior~~ knowledge ~~of about when it is fully the~~ timing of complete spun-
307 up, ~~here~~ we ~~have here~~ ~~shown~~ and compared ~~its the~~ simulated results ~~starting~~ from 1960, ~~only~~ excluding the first two
308 years (1958–1959). It seems that the ~~results obtained using~~ OFES2 ~~has have~~ a ~~good better~~ agreement with ~~the~~ EN4
309 since ~~the around~~ 1980s ~~for in~~ both ~~the~~ Atlantic and Indian Oceans (Fig. 2c, d), which is likely to be related to ~~the the~~
310 ~~better improvement in~~ spun-up with time. However, in the Pacific Ocean, the OFES2 was quite similar to ~~the~~ EN4
311 before 1990, especially ~~in the its~~ HV component. This ~~observation~~, to some extent, ~~may might~~ weaken the spin-up
312 argument.

313
314 *Middle layer*

315 In the middle ocean layer (300–700 m) (Fig. 3), there were remarkable differences in the OHC and its HV and SP
 316 components between the OFES2 and the other two datasets, which is most noticeable for the global ocean and in
 317 the Atlantic Ocean, and lesser so for the Pacific Ocean; there was the difference was little minor difference for the Indian
 318 Ocean. The OFES2 showed a moderate Pacific cooling for almost the entire whole 57-year period and a strong Atlantic
 319 cooling trend until around ~2000, with a subsequent hiatus in the Atlantic Ocean. The OFES2 indicated that tThere
 320 was a minor Indian cooling in the Indian Ocean from the OFES2 in during the 1960–70s. In the OFES2, this these
 321 uniquely cooling trends was were mainly generated due to the decreasing HV, as because its spiciness was
 322 significantly generally largely more positive than the other two.



323
 324 **Figure 3.** As for Fig.2 but for the middle layer (300–700 m).
 325

326 —In contrast, both ~~the~~ EN4 and OFES1 indicated that this the middle layer was relatively stable before about the
 327 early 1990s. Then, the EN4 and the OFES1 both showed the global ocean and the Atlantic Ocean warming (Fig. 3a,
 328 c), mostly due to an increase in the HV (Fig. 3e, g). Despite this such good agreement between the EN4 and OFES1,
 329 there were notable differences in their HV and SP components. Compared to the OFES1, there was a generally stronger
 330 positive HV in the EN4 (Fig. 3e–h), and a stronger but negative SP in the EN4, particularly after approximately about
 331 2000 (Fig. 3i, j). A possible reason for this observation finding is the fact that may be that there have been many more
 332 observations have become available since the WOCE (WOCE World Ocean Circulation Experiment (WOCE)) was
 333 conducted in the late 1990s and from the Argo since the beginning of the 2000s. This may might have led to a
 334 systematic trend in the observation-based observational based dataset EN4. Unlike in the EN4 and OFES2, the SP
 335 variations in the OFES1 were almost invisible for almost all the basins. In addition, the aforementioned significant

336 warming acceleration from the early 2000s to the 2010s in the Indian Ocean (Fig. 2d) can still be seen in the EN4 (Fig.
337 3d), ~~but however~~, this was almost invisible in the two OFES datasets.

338 —One major cause of the profound differences between ~~the~~ OFES2 and ~~the~~ EN4 ~~is may be~~ the spin-up issue. Indeed,
339 even after 2000, clear differences ~~remained can be observed remain~~ in the global ocean. ~~This, On the one hand, This~~
340 is expected because the middle layer takes more time to be ~~well completely~~ spun-up compared to the upper layer; ~~on~~
341 ~~the other hand, it suggests that~~. Hence, special caution is ~~needed required when while~~ investigating the multi-decadal
342 variations; or even decadal variations in the recent two decades based on ~~the~~ OFES2.

343
344 *Lower layer*

345 In the lower oceanic layer (700–2000 m) (Fig. 4), the OFES2 was ~~clearly~~ again ~~the~~ an outlier ~~of among~~ the three
346 datasets. It showed that the Atlantic and ~~the~~ Indian Oceans experienced cooling from 1960 to the end of ~~the~~ 1990s
347 (Fig. 4c, d), ~~followed by then~~ a slight warming episode. The Pacific Ocean, however, ~~showed was shown~~ cooling over
348 the ~~entire whole~~ 57-year period (Fig. 4b). The better agreement between the results from OFES2 and with the EN4
349 since the end of ~~the~~ 1990s ~~may might~~ be related to the spin-up issue of the OFES2, at least to some extent. However,
350 the agreement between ~~the~~ EN4 and OFES2 was even better than ~~that~~ in the middle layer (300–700 m), particularly
351 in the Atlantic Ocean. This ~~may might~~ weaken the spin-up argument, ~~as because~~ it is expected that the middle layer
352 ~~is can be was~~ more easily spun-up than the lower layer.

353 —The variations in OHC ~~variations from determined using the~~ OFES1 and ~~the~~ EN4 were ~~similar much the same~~ for
354 the global ocean, ~~but however~~, this ~~was could be a result of the cancelling associated with the cancelation~~ of the
355 substantial differences in the Pacific and Atlantic Oceans (Fig. 4b, c), and in the HV and SP (Fig. 4e–l).
356 ~~Specifically~~ More specifically, there was a larger ~~OHC~~ increase of OHC in the Pacific Ocean, when estimated using
357 ~~from the~~ OFES1 than ~~the from~~ EN4, ~~but however~~, the latter showed a larger increase of OHC ~~increase~~ in the Atlantic
358 Ocean. From the perspective of potential temperature decomposition, ~~the~~ EN4 generally showed a stronger HV
359 increase in HV than ~~the~~ OFES1 in the Atlantic and Indian Oceans (Fig. 4g, h), ~~but however~~, a stronger negative SP or
360 a weaker positive SP increase of SP is also evinced (Fig. 4i–l).

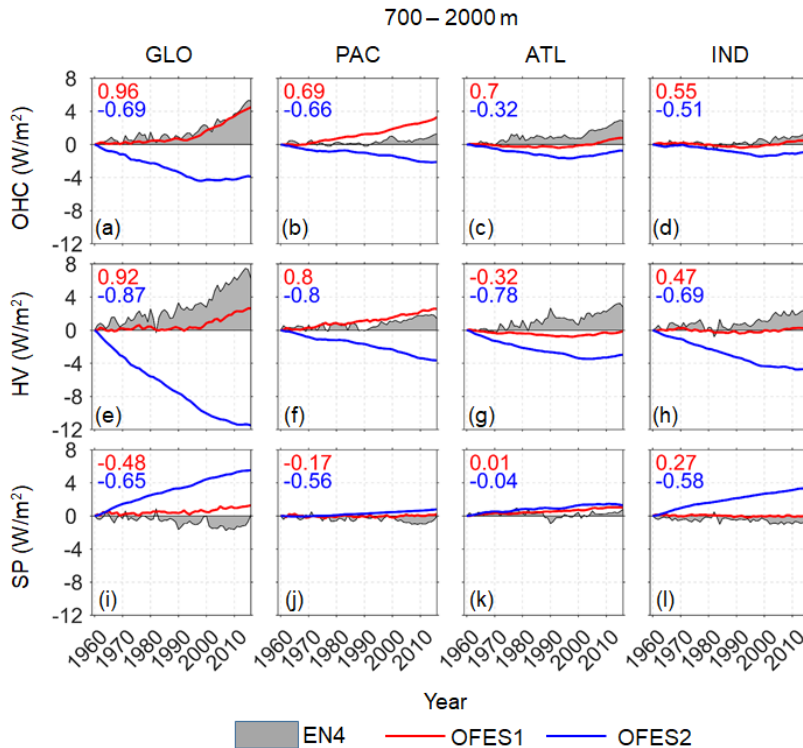


Figure 4. As for Fig.2 but for the lower layer (700–2000 m).

3.1.2 Temporal evolution in-of the OHC, HV, and SP trend

Figs-ures 2–4 show-clearly the similarities and differences between the three datasets in-with respect to the time series of the-OHC, HV, and SP for the period 1960–2016. In this section, we calculate the linear trend in the-OHC, HV, and SP over a rolling window of 10 years for the three datasets; following-Smith et al. (2015)-, and the results for the three layers are shown in Figs-ures 5–7, respectively. This-Such evaluation has helps-helped us to quantitatively compare the three datasets over each temporal window.

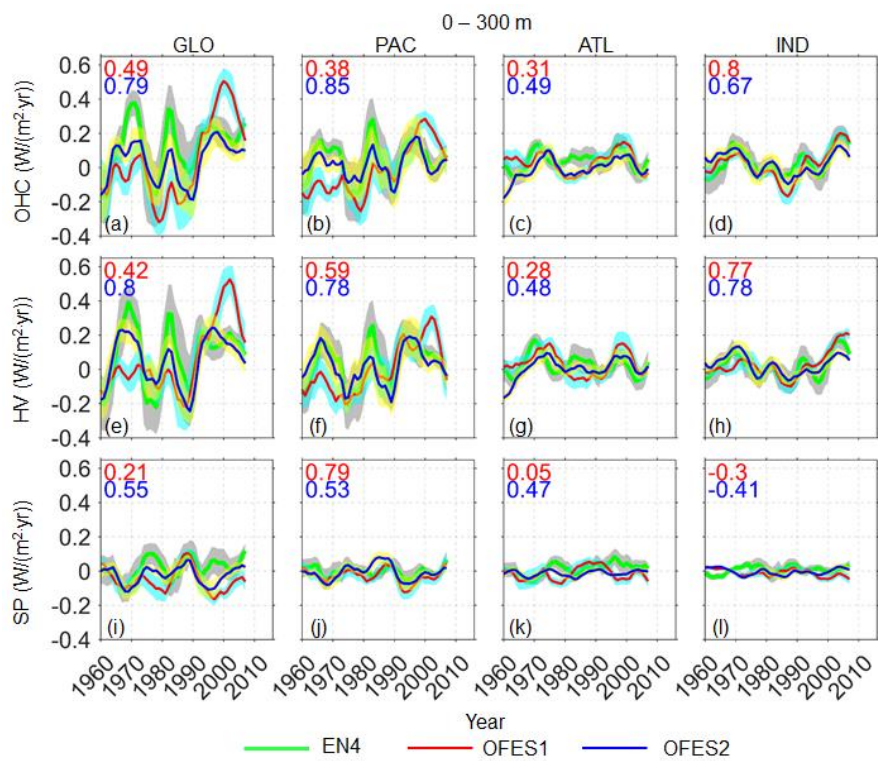
Upper layer

The-profile of the 10-year rolling trend of the OHC evaluated based on the three datasets were-was similar in shape in-the profile of the OHC 10-year rolling trend; they captured-most of the peaks and troughs pretty well-consistently. There was a better agreement among the data in-for the Indian Ocean (Fig. 5d) than-compared to that in the other two basins (Fig. 5b, c), but-however, there were still significant-notable differences were still observed even-in this shallow layer-of the Indian Ocean. The rolling trend for the global ocean, estimated from the-EN4, was mostly positive-most of-the time, except at the beginning of the 1960s and at-the ends of the 1970s and the 1980s (Fig. 5a). However, the OFES1 showed a cooling trend in the global ocean before around-1990; it then indicated a larger warming trend than-compared to that estimated from the other two datasets. The OFES2 generally had a better agreement with the EN4 for the global ocean, but-however, the warming trend was much-significantly smaller than that estimated from using the-EN4 from the late 1960s to around-1990. Since the beginning of the 1990s, the trend-disparity in the trend

382 between the OFES2 and the EN4 was significantly much reduced, but however although, the OFES2 still showed a
 383 consistently weaker warming trend. This better-improved agreement may be attributed to two factorseauses.
 384 FirstFirstly, after running the simulation for approximately around 30-years-running, the OFES2 was believed is
 385 expected to have been-developed better spun-up and, therefore, the associated results were closer to the actual state.
 386 SecondSecondly, it is also possible that the accuracy of the EN4 data increased as more observational data were
 387 included, given that the number of oceanographic observations has-have increased significantly since the 1990s (e.g.,
 388 satellite-based SST measurements and in-situ temperature measurements).

389 —Among the differences observed between the three datasets, the three extreme trend peaks at around approximately
 390 1970, 1980, and 2000 (Fig. 5a) are-were particularly prominent, with remarkable differences between the two-OFES
 391 and EN4, indicating some deficiencies—limitations of unconstrained numerical modellingmodels in the
 392 reproductionreproducing of strong climate events. Apart from some certain minor magnitude differences, the three
 393 datasets agreed the best in for the Indian Ocean (Fig. 5d). The OFES1 was closer to the EN4, in showing significant
 394 warming in the Indian Ocean in the 2000s, whereas the-OFES2 showed a relatively weaker warming trend. A-The
 395 second better agreement between the three datasets was reached in-for the Atlantic Ocean.

396 —It was evinced that The HV has clearly-dominated the 10-year rolling trend in all basins (Fig. 5e–h), and the major
 397 differences between the three datasets resulted from the differences in the HV component. In addition, there was an
 398 apparent-general out-of-phase relationship between the HV and SP trends in the global ocean and the Pacific Ocean.
 399 This correspondence between the HV and SP is expected for typical stratification in subtropical regions (Häkkinen
 400 et al. 2016), with warm and salty water over-overlying the-cold and fresh water. The OFES1 and OFES2 were-provided
 401 quite similarelose results in-for the simulation of spiciness, particularly in the individual basins (Fig. 5i–l).



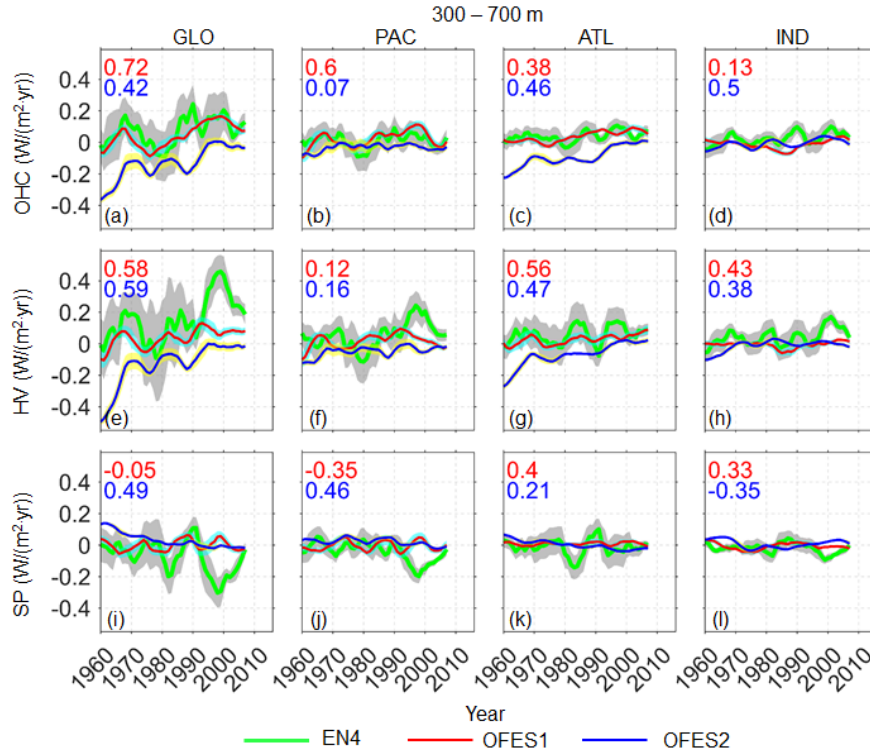
402

403 **Figure 5.** Temporal evolution of the 10-year rolling trends in the global and basin OHCs (**top row**), HV (**middle row**)
404 and SP (**bottom row**) in the top ocean layer (0–300 m), based on the three datasets. Numbers in the top left corners
405 are the correlation coefficients between the EN4 and the OFES1 (red) or OFES2 (blue). The OHC, HV and SP were
406 converted to accumulative heating (W/m^2) over the entire surface of the Earth. Thick green line: EN4 (grey shadow:
407 95% confidence interval); thin red solid line: OFES1 (cyan shadow: 95% confidence interval); thin blue solid line:
408 OFES2 (yellow shadow: 95% confidence interval).

409

410 *Middle layer*

411 The variation in the 10-year rolling trend, ~~evaluated from based on the~~ OFES1 and ~~the~~ EN4 ~~datasets~~, was ~~much found~~
412 ~~to be mostly the same~~ similar for the global (Fig. 6a), Pacific (Fig. 6b), and Atlantic (Fig. 6c) Oceans, ~~but however~~,
413 the latter dataset ~~had having~~ a ~~much significantly larger~~ large uncertainty ~~(Fig. 6)~~. The OFES2 showed a significantly
414 different and generally cooling trend, especially concentrated in the Atlantic Ocean, consistent with Fig. 3. ~~The origin~~
415 ~~of and the reasons why~~ notable cooling trend ~~and its weakening with time estimated~~ from the OFES2 ~~in for~~ the
416 Atlantic Ocean ~~weakened with time~~ needs ~~to a further be detailed~~ further studied in detail study. ~~It was found that~~ ~~the~~
417 cooling trend ~~in of~~ the OHC, ~~estimated~~ from ~~the~~ OFES2, ~~came largely was mostly generated~~ from the HV. In the
418 Pacific Ocean (Fig. 6b), the OFES2 consistently ~~showed show~~ a weak cooling trend, ~~but however~~, in the middle and
419 late 1960s and after ~~around~~ ~1980, both ~~the~~ EN4 and OFES1 showed a warming trend of similar magnitudes. The
420 ~~results from~~ OFES1 also agreed well with ~~that from~~ the EN4 ~~in for~~ the Atlantic Ocean, ~~i.e.~~, both ~~indicating indicated~~
421 a weak warming trend for most of the ~~studied~~ period ~~but along with also a~~ sporadic cooling trend. However, ~~these~~
422 ~~good such~~ agreements ~~are could represent~~ the compensation results of the significantly different HV and SP
423 components ~~from of the~~ OFES1 and EN4. For example, the EN4 showed ~~much a significantly~~ stronger HV warming
424 trend than the OFES1 in the Pacific Ocean since the early 1990s, ~~but however~~, in the meantime, the EN4 also indicated
425 a stronger SP cooling trend. In the Indian Ocean, ~~the~~ EN4 presented a warming trend over much of the 57-year ~~periods~~,
426 whereas the two ~~OFES datasets based on OFES~~ showed weak variations and reversals between warming and cooling
427 ~~episodes~~.



428

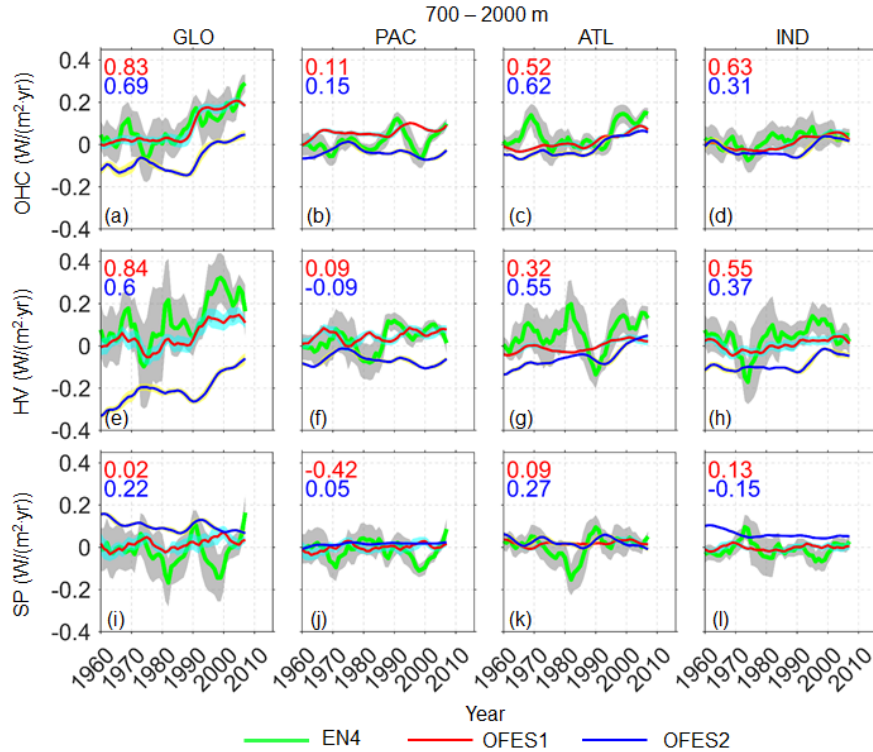
429 **Figure 6.** As for Fig. 5 but for middle layer (300–700 m).

430

431 *Lower layer*

432 As in the middle layer, the OFES2 differed significantly from the other two datasets by ~~showing displaying~~ a cooling
 433 trend in the global ocean until ~~approximately about~~ 2000 (Fig. 7a). Although ~~OFES2 indicated the appearance of a~~
 434 warming trend ~~appeared~~ in the global ocean ~~in the OFES2 after ~2000~~, the intensity was ~~much significantly~~ lower than
 435 that of ~~the~~ EN4 and OFES1. The major differences between the two OFES datasets occurred in the Pacific Ocean (Fig.
 436 7b), and ~~were was~~ mostly ~~associated with the HV component associated~~. Despite ~~of~~ the good ~~agreement agreements~~ in
 437 the OHC trend between the OFES1 and OFES2 ~~in for~~ the Atlantic and Indian Oceans (Fig. 7c, d), their HV and SP
 438 components were markedly different, especially in the Indian Ocean (Fig. 7h, l). The OFES1 and ~~the~~ EN4 showed a
 439 ~~much the same mostly similar~~ global OHC trend (Fig. 7a); ~~but again~~ this was ~~the result of because~~ the significant HV
 440 and SP components ~~canceling canceled~~ each other.

441 —To summarize, the OFES2 ~~showed demonstrated~~ some improvement (better agreement with ~~the~~ EN4) over the
 442 OFES1 in the upper layer (above 300 m), but was more of an outlier ~~in the other two layers below 300 m~~. It is essential
 443 to examine the HV and SP ~~components when while~~ investigating the OHC trends, ~~as because~~ different data products
 444 ~~may might~~ show ~~mostly much the same similar~~ evolution of the OHC evolution, but substantially different HV and SP.



445
 446 **Figure 7.** As for Fig. 6 but for the lower layer (700–2000 m).
 447

448 **3.2 Temporal evolution of the zonal-averaged potential temperature trend**

449 Section 3.1 focused on the temporal characteristics of the global and basin-wide OHC, HV, and SP estimated from
 450 the three datasets. Although both similarities and differences were demonstrated, this-the comparison only-in the
 451 temporal domain lacked spatial information. In this study section Here, we aimed aim to at understand understanding how
 452 the differences were distributed in the meridional direction. As a first step, we calculated the 10-year rolling trends in
 453 the zonal-averaged potential temperature change-for all three datasets (Figs. 8–10). We also calculated the HV and SP
 454 components (Supplementary Information, Figs. 1–6).

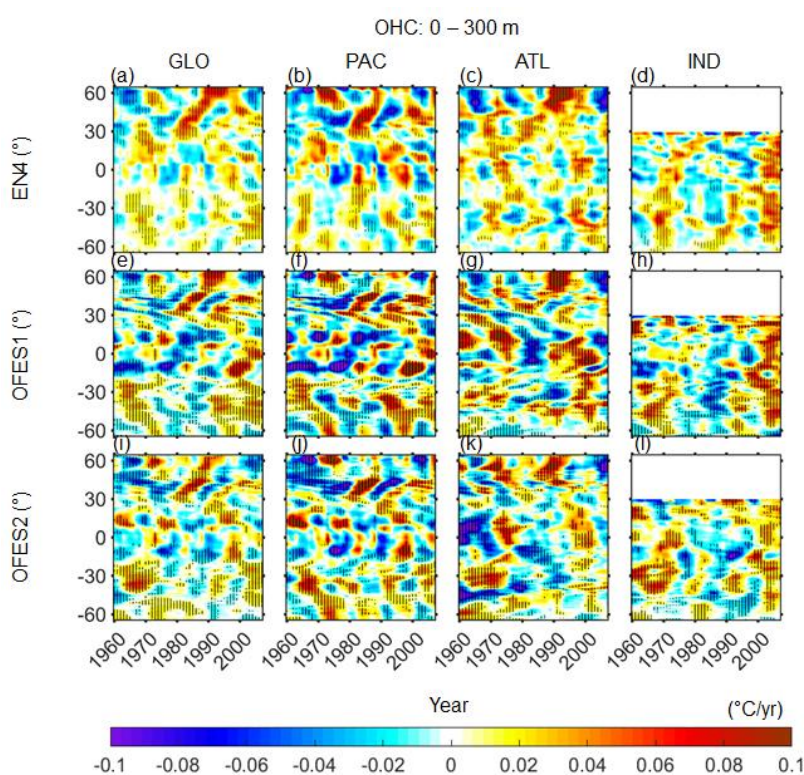
455 —The complex patterns shown in Figures- 8–10 defy easy interpretation; therefore, so-we have focus focused on the
 456 large-scale patterns of the observed similarities and differences.

457
 458 *Upper layer*

459 There was a generally In general, a reasonable correlation agreement was observed between these-the three datasets at
 460 latitudes of 30–60° N for both the-Pacific and Atlantic Oceans (there is no northern high latitude in the Indian Ocean).
 461 More specifically, there was-a wave-like cooling trend propagating from approximately around 60° N to 30° N was
 462 observed from 1960 to the end of the 1970s in the global ocean; this apparent propagation was especially clear-evidenced
 463 in the the-EN4 and OFES2 data. In addition, there was a northward propagation of a cooling trend in the 1990s between
 464 around-30 and -45° N. It is reasonable to attribute this-these cooling episodes to the volcanic eruptions of Indonesia’s

465 Mount Agung in 1963, Mexico's El Chichón in 1982, and the Philippines' Mount Pinatubo in 1991, and the two
 466 hindcast simulations were able to reproduce these climate events.

467 —Following these cooling events, there were three subsequent warming trends, as the ocean surface temperature
 468 returned back to normal once after the aerosols released over several years of volcanic eruptions finally were
 469 completely dispersed. Of these warming trends, that the one following the El Chichón eruption was the most
 470 significant, and there was a clear northward propagation of this significant warming trend from around
 471 approximately 30° N to the subpolar areas. Interestingly, the contributions of SP to this large-scale warming and
 472 cooling episodes by the SP were comparable to those of the HV (Supplementary Information, Figs.
 473 S1–2), contradicting the general assumption that the HV dominates is the most dominant contributor of the
 474 potential temperature changes. In fact, the above mentioned propagation of the cooling patch from around
 475 approximately 60° N to 30° N in the during 1960–1970s was, to a larger extent, associated with the SP.



476
 477 **Figure 8.** Temporal evolution of 10-year rolling trend of the zonal averaged potential temperature change in the upper
 478 layer of the ocean (0–300 m). **Left to right:** global, Pacific, Atlantic and Indian Ocean. **Top to bottom:** EN4, OFES1
 479 and OFES2. Horizontal axis: year; vertical axis: latitude. Stippling indicates the 95% confidence level. The HV and
 480 SP counterparts are in the Supplementary Information, Figs. S1–6.

481
 482 —Equatorward of 30°, large differences were observed among the three datasets. Strong cooling was
 483 particularly visible in the OFES1 in the Pacific tropics before around 1990 (Fig. 8f), corresponding to the persistent
 484 cooling in of the global ocean and the Pacific Ocean as estimated from the based on OFES1 in Fig. 2. In the results
 485 of OFES2 for the Pacific Ocean, indicated clear differences from the EN4 were discerned in the low latitudes before
 486 around 1980, and then a similar pattern similar to that of EN4 was simulated by the OFES2. In the Atlantic tropics

487 (Fig. 8, 3rd column), considerable cooling ~~over 1960s was evinced~~ in the OFES2, which may be ~~a-the~~ result of poor
488 spun-up in the OFES2. All three datasets captured the Atlantic tropical warming in the 1970s, and from the 1990s to
489 the 2000s, ~~but-however,~~ the two OFES datasets ~~estimatedestimating~~ a ~~much~~-stronger intensity than ~~the~~-EN4, especially
490 the OFES1. In addition, ~~the~~-OFES1 showed ~~a-the appearance of~~ significant cooling ~~appearing~~ in the Atlantic tropics
491 ~~during~~ in the 1980s (Fig. 8g). Although a similar contemporary cooling was ~~shown-demonstrated~~ by the OFES2, its
492 cooling center was shifted several degrees southward. ~~TheThis 1980s~~-Atlantic tropical cooling ~~during the 1980s~~ was
493 ~~comparatively-significantly~~ weaker in the EN4. Moreover, the OFES2 indicated an approximate 20-year ~~(1960–1980)~~
494 cooling ~~episode~~ in the vicinity of 45°S in the Atlantic Ocean (Fig. 8k); ~~this-Such-a~~ similar cooling ~~trend existed~~ in
495 the 1960s ~~existed,~~ ~~but-however,~~ ~~the-cooling-trend-revealed-by-EN4-and-OFES1-was-weaker-in-intensity~~ ~~but-with-a~~
496 ~~relatively-weaker-intensity-in-EN4,-in-the-EN4-and-OFES1~~. In the Indian Ocean, the most significant agreement among
497 the three datasets was ~~observed,~~ ~~which-was-associated-with~~ ~~particularly~~ the intense warming in the 2000s. In addition,
498 there were some common cooling patterns ~~observed~~ from the 1980s to the 1990s in all three datasets. Over these
499 latitudes, the HV accounted for more ~~of-the~~substantial potential temperature ~~change-changes~~ than the SP, with the
500 latter ~~in-~~generally counteracting the HV (Supplementary Information, Figs. S1–2).

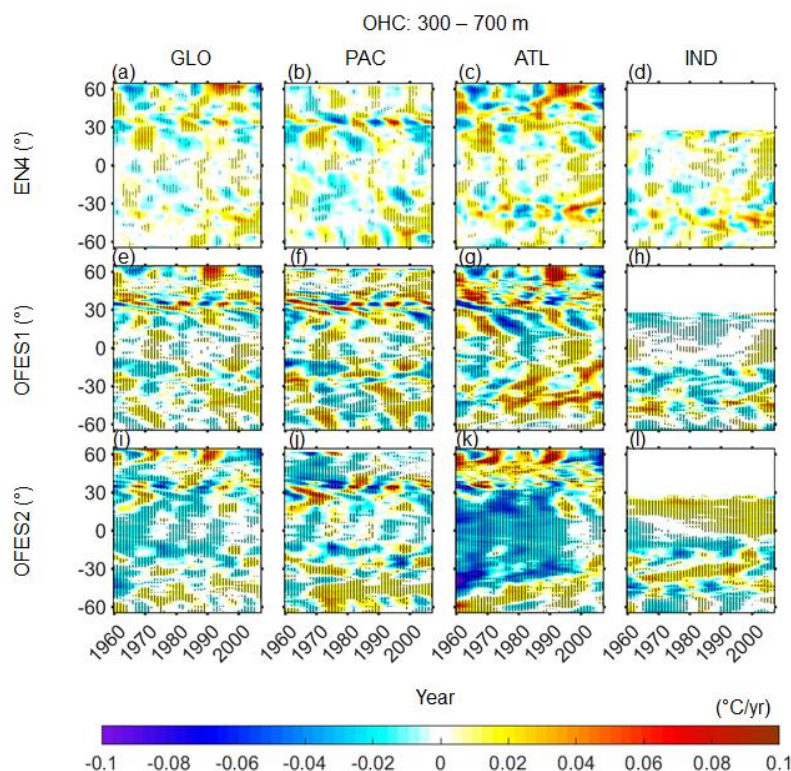
501 —A general property of the similarities and differences between these three datasets is ~~the fact~~ that a better agreement
502 was reached in the poleward of 30° than the latitudes equatorward of 30°. A possible explanation for this latitudinal
503 dependence is that a deeper thermocline at ~~a-~~higher latitudes responded less sensitively to the applied wind stress
504 (Kutsuwada et al., 2019). Kutsuwada et al. (2019) found ~~that-certain issues with~~ the NCEP reanalysis wind stress ~~that~~
505 ~~was~~ used as ~~the~~-atmospheric forcing ~~of-thein~~ OFES1 ~~had-some issues,~~ ~~causing-a~~ ~~much~~ as it generated a significantly
506 shallower thermocline in the tropical North Pacific Ocean, ~~and-Therefore,~~ large negative temperature differences
507 ~~were observed~~ when ~~compared-comparing~~ to the ~~real~~ observations ~~and-along with the data obtained from-an~~ OFES
508 version forced by the wind stress from ~~the~~-satellite measurements (QSCAT). The authors also claimed that the ~~JRA~~
509 ~~JRA-55~~ wind stress had ~~similar~~ problems ~~similar-with-the-to~~ that of the NCEP wind. Indeed, the intense Pacific cooling
510 patches in Fig. 8f ~~were-was~~ likely ~~to-result-the-resulting-generated~~ from the abnormally shallower thermocline in the
511 tropical Pacific Ocean, consistent with Kutsuwada et al. (2019), ~~despite-the-different-although-different-~~ temporal
512 periods were considered.

513 514 *Middle layer*

515 In the ~~middle-intermediate~~ layer between 300 ~~and~~ –700 m, the three datasets showed relatively poor agreement
516 compared to the upper layer. The OFES2 differed from the others by ~~showing-displaying~~ intense cooling before 2000
517 in the Atlantic Ocean (Fig. 9k) and ~~a~~ moderate but consistent warming ~~trend~~ in the northern Indian Ocean over ~~most~~
518 ~~of-almost~~ the ~~entire-whole~~ period (Fig. 9l). In addition, there were large-scale cooling patches in the northern Pacific
519 Ocean (Fig. 9j) and along the Indian ~~Equator-equator~~ (Fig. 9l) from the OFES2, while these cooling ~~patches~~ were not
520 ~~apparent-prominent~~ in the other two datasets. These cooling distributions, ~~obtained from OFES2,~~ further
521 ~~show-demonstrated~~ ~~showed~~ ~~where-and-when~~ the place and timing of the cooling trend ~~from-the-OFES2-in-~~ (Figs. 3),
522 ~~which-occurred-and~~ can be at least partially attributed to the spin-up issue of the OFES2. Some similarities between
523 the OFES2 and ~~the~~ other two datasets ~~have~~ emerged in recent decades. For example, ~~similar to EN4 and OFES1,~~ the

524 OFES2 reproduced the marked warming episodes observed in the high latitudes of the northern Atlantic Ocean in
 525 during the 1980s and the 1990s, and along with the subsequent cooling trend (Fig. 9c, g, k), similar to the EN4 and
 526 OFES1.

527 Comparing Upon comparing the OFES1 with the EN4, both similarities and differences can be discerned. The
 528 OFES1 generally agreed with the EN4 in regions located at the north to of 30°N, with some minor a few differences.
 529 However, in the tropics, however, large differences were found observed between the OFES1 and EN4. For instance,
 530 the OFES1 indicated that the northern Indian Ocean was mostly cooling consistently (Fig. 9h), but however, EN4
 531 reflected alternate warming and cooling appeared in the EN4 episodes (Fig. 9d). Furthermore, the intense warming and
 532 cooling patches in of the southern Atlantic and Indian Oceans, respectively, shown in demonstrated by the OFES1
 533 (Fig. 9g, h), were not clearly visible in the EN4 (Fig. 9c, d). These potential temperature changes mainly resulted
 534 from the vertical displacement of the neutral density surfaces, that is i.e., of the HV component (Supplementary
 535 Information, Fig. S3). However, the role of the SP cannot be ignored. This was especially clear in the southern
 536 hemispheres southern hemisphere of in the EN4.



537
 538 **Figure 9.** As for Fig. 8 but for the middle layer (300–700 m).
 539

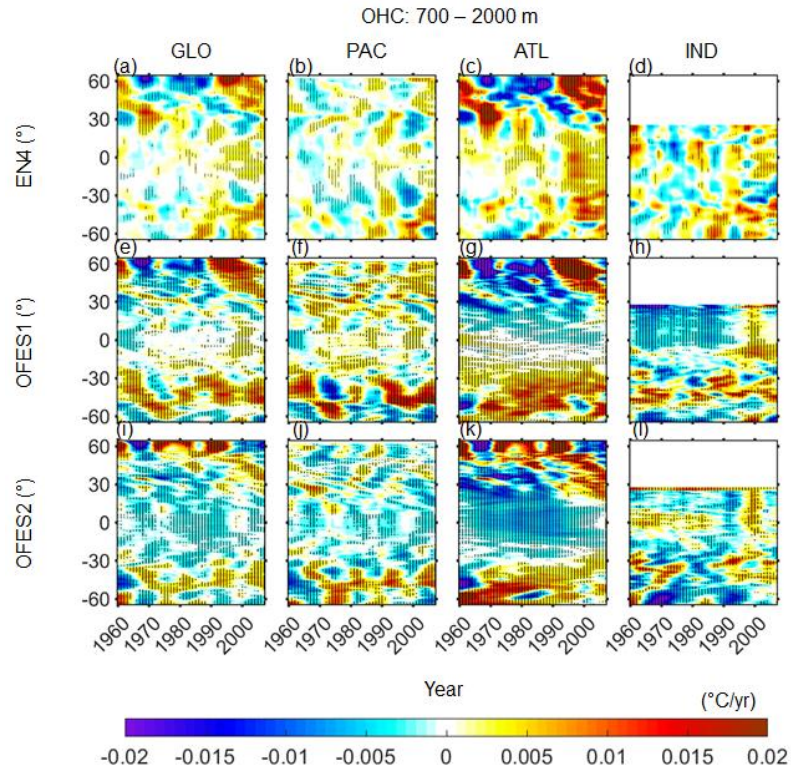
540
 541 *Lower layer*

542 The northern Atlantic Ocean, especially to the nor-north of 30°N, dominated the global potential temperature change
 543 in the EN4 (Fig. 10). This - this was mostly related more to the SP, especially in the intense cooling patch
 544 (Supplementary Information, Fig. S6). Although the OFES1 data agreed well with the EN4 in the northern Atlantic
 545 Ocean (> 30° N), there were considerable differences elsewhere between the OFES1 and EN4. More specifically,

546 ~~OFES1 revealed that~~ there was intense ~~HV-associated~~ warming and cooling in the southern Pacific Ocean ~~associated~~
547 ~~with the HV component in~~ during the 1960s and 1970s ~~in the OFES1, but however, such trend was not evinced in the~~
548 EN4 (Supplementary Information, Fig. S5). In addition, the warming of the southern Pacific Ocean ~~since~~
549 ~~approximately about 1990~~ was ~~much-much~~ stronger in ~~the~~ OFES1 than in ~~the~~ EN4 ~~since approximately 1990~~. ~~The~~
550 ~~main reason is that there, which was associated with the was~~ strong SP cooling in the southern Pacific ~~Ocean-Ocean,~~
551 ~~as revealed in the~~ EN4 (Supplementary Information, Fig. S6). Moreover, ~~OFES1 demonstrated the~~ consistent cooling
552 ~~in of~~ the Atlantic tropics, ~~the~~ significant warming ~~in of~~ the southern Atlantic Ocean, and ~~the~~ intense cooling of the
553 northern Indian Ocean before the middle of the 1990s, ~~which-shown-by-the-OFES1,~~ were not evident in the EN4.

554 —The OFES2 ~~data~~ captured some warming patterns in the ~~southern hemispheresouthern hemisphere~~, similar to the
555 OFES1; it also agreed with the other two datasets in ~~terms of~~ the intense warming patches in the northern Atlantic
556 Ocean ~~in 1960s and after ~1990~~. However, the agreement between ~~the~~ OFES2 and the others was generally poor.
557 ~~Most significantly, This was most noticeable in the~~ cooling episode ~~was~~ indicated by the OFES2 at the low and middle
558 latitudes ~~in for~~ both the Pacific and Atlantic Oceans, especially the latter. Furthermore, both ~~the~~ EN4 and OFES2
559 showed marked but opposite SP variations in the northern Atlantic Ocean ~~to the~~ north ~~of to~~ 30 °N, whereas the OFES1
560 indicated moderate SP in a similar warming/cooling pattern to ~~the~~ EN4.

561 —To summarize, the two OFES datasets had some good agreements with ~~the~~ EN4 ~~in for~~ the upper ocean layer, ~~but~~
562 ~~however, such general agreement was were~~ largely confined to the middle-high latitudes. ~~Poor~~ ~~In general, the~~
563 ~~agreementagreements waswere observedfound in the ocean beneathfor the ocean at lower levels was poor~~. Specifically,
564 in the middle ocean layer, the OFES1 ~~had displayed~~ a generally reasonable agreement with the EN4 ~~for locations~~
565 north to 30° N, ~~but however,~~ large differences ~~exist were observed~~ elsewhere; ~~in~~ ~~In~~ the OFES2, intensive cooling
566 patches were simulated, especially in the Atlantic Ocean. Although the spin-up issue may partially explain the notable
567 differences between the OFES ~~data~~ and EN4 ~~data~~ for ~~the~~ ocean ~~water~~ below 300 m, other causes ~~responsible for might~~
568 ~~have also contributed toward~~ the examined differences ~~are also possible~~.



569
570 **Figure 10.** As for Fig. 8 but for the lower layer (700–2000 m). Note the different colour scales.
571

572 **3.3 Depth-time distribution of potential temperature, HV, and SP trends**

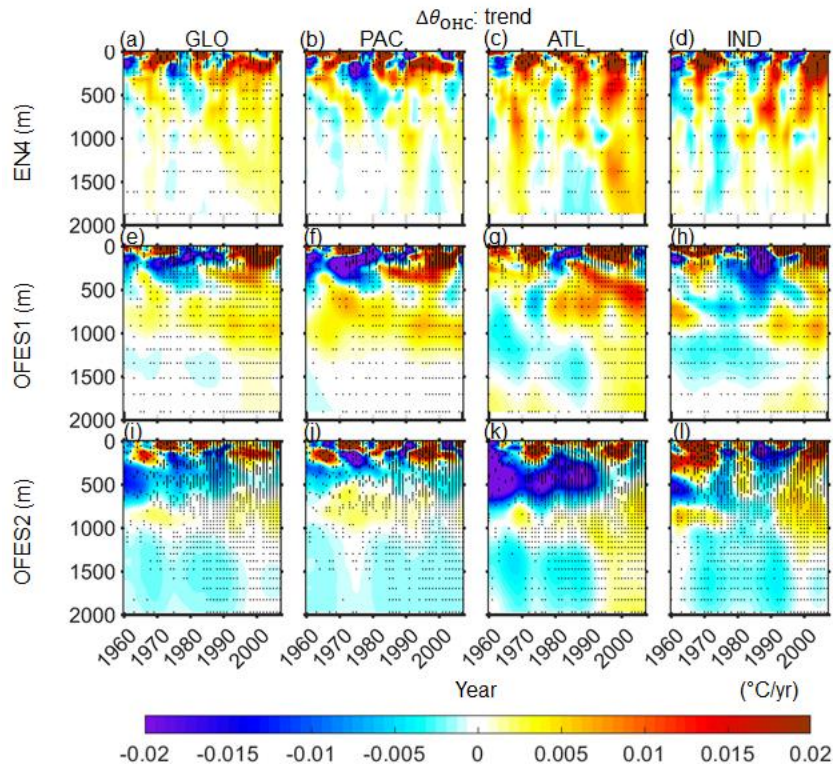
573 —Although we divided the top 2000 m into three layers, some ~~details~~ ~~were~~ ~~was~~ ~~lost~~ ~~in~~ ~~while~~ ~~taking~~ ~~considering~~
574 ~~the averages of individual~~ ~~layers~~ ~~(i.e., the~~ ~~vertical~~ ~~layers)~~ ~~averages~~. In this section, we compare ~~the~~ depth-time patterns
575 of ~~the~~ trends ~~in~~ ~~with~~ ~~respect~~ ~~to~~ ~~the~~ ~~changes~~ ~~in~~ potential temperature ~~change~~ ($\Delta\theta_{\text{OHC}}$); and its HV ($\Delta\theta_{\text{HV}}$) and SP ($\Delta\theta_{\text{SP}}$)
576 components (Figs. 11–13).

577 —For the global ocean, the upper ocean layer above 300 m accounted for most of the warming or cooling ~~trends~~ (Fig.
578 11, left column). ~~The~~ EN4 showed warming ~~episodes~~ over most of the investigated period, with ~~only~~ a few cooling
579 ~~event~~ ~~episodes~~ as a response to ~~the~~ ~~certain~~ distinctive climate events. It can be seen that the volcanic eruptions of
580 Mount Agung and El Chichón ~~had~~ ~~impacted~~ a greater ~~impact~~ ~~depth~~ ~~than~~ ~~compared~~ ~~to~~ the eruption of Pinatubo. The
581 aforementioned strong cooling ~~episode~~ ~~from~~ ~~the~~ ~~OFES1~~ in the upper Pacific layer before 1990, ~~which~~ ~~has~~ ~~been~~
582 ~~estimated~~ ~~from~~ ~~the~~ ~~OFES1~~, ~~started~~ ~~was~~ ~~initiated~~ at a greater depth in the beginning, and subsequently, ~~ended~~ ~~it~~
583 ~~terminated~~ ~~ending~~ at a shallower depth (Fig. 11e). At greater depths, moderate warming or cooling ~~trend~~ ~~was~~
584 ~~observed~~ ~~can~~ ~~be~~ ~~found~~. Specifically, in ~~the~~ EN4, moderate warming ~~has~~ ~~been~~ ~~seen~~ ~~far~~ ~~deep~~ ~~at~~ ~~larger~~
585 ~~depths~~, ~~to~~ ~~at~~ ~~around~~ ~~approximately~~ 2000 m, since ~~around~~ the early 1990s. The OFES1 showed moderate warming
586 between 500 ~~and~~ –1000 m over almost the ~~entire~~ ~~whole~~ investigated period (Fig. 11e). ~~Since~~ ~~Additionally~~, ~~it~~ ~~OFES1~~
587 ~~indicated~~ ~~that~~ ~~since~~ ~~around~~ the middle of ~~the~~ 1990s, a weak warming ~~trend~~ ~~has~~ extended to ~~the~~ 2000 m ~~based~~ ~~on~~ ~~the~~
588 ~~OFES1~~. The differences ~~in~~ ~~of~~ the ~~results~~ ~~of~~ OFES2 ~~from~~ ~~relative~~ ~~to~~ the other two datasets are apparent in the global
589 ocean below ~~approximately~~ ~~around~~ 200 m, where cooling is the dominant pattern (Fig. 11i); ~~except~~ ~~for~~ some weak
590 warming patches between 500 ~~and~~ –1000 m ~~are~~ ~~exceptions~~ (Fig. 11i).

591 —In the Pacific Ocean, the OFES2 had a generally reasonable agreement with ~~the~~ EN4 above ~~approximately~~ around
592 200 m, whereas the agreement between ~~the~~ OFES1 and ~~the~~ EN4 was poorer, despite ~~of~~ some similar warming or
593 cooling patches. Further below, ~~the~~ EN4 showed periodic warming and cooling ~~trends~~. The OFES1 ~~showed~~ reflected
594 consistent warming between ~~around~~ 500 and 1200 m, whereas the OFES2 estimated a consistent cooling ~~trend~~
595 below around 200 m, with some exceptions between 500 and 1000 m. Although beyond the scope of this work, the
596 question ~~of~~ why both ~~the~~ OFES1 and OFES2 showed relatively consistent warming ~~trends~~ between 500 and 1000
597 m, ~~around~~ near the ~~depth of the~~ permanent thermocline, ~~necessitates~~ necessitate a further work.

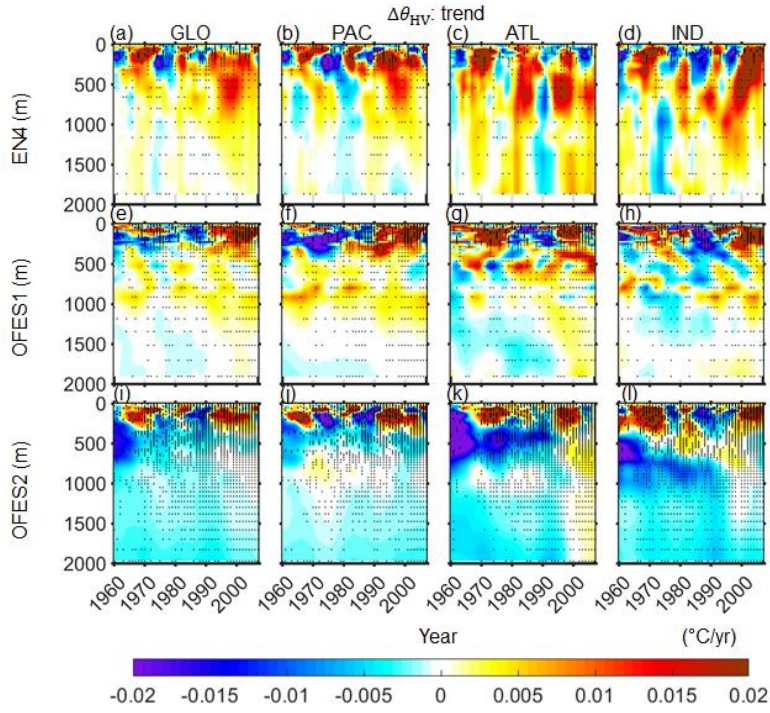
598 —In the Atlantic Ocean, intense warming or cooling extended to deeper ~~regions than in~~ when compared to the Pacific
599 Ocean. ~~Specifically~~ More specifically, the strong warming ~~trend~~ in the 1980–90s, ~~estimated~~ from ~~the~~ EN4, ~~appeared~~
600 extended to as deep as ~~around~~ approximately 750 m, ~~and~~ On the other hand, moderate warming ~~trend~~ extended to
601 2000 m since the middle of 1990s ~~in~~ EN4. The OFES1 well captured the warming ~~trend of~~ the 1970s and ~~the~~ 1990s,
602 ~~and~~ along with the subsequent cooling ~~period~~ in the 2000s, in the upper layer of the Atlantic Ocean when compared
603 to ~~the~~ EN4. However, the OFES1 estimated a strong cooling in the 1980s in the upper layer of the Atlantic Ocean,
604 which was ~~invisible~~ not evinced in the EN4. Interestingly, the OFES1 showed a downward propagation of a strong
605 Atlantic warming ~~trend~~ from ~~around~~ approximately 200 m to ~~approximately~~ around 800 m since the early 1980s, ~~a~~
606 Downward propagation of the cooling ~~trend~~ from ~~approximately~~ around 600 m to 1800 m before ~1990 ~~can also be~~
607 ~~seen~~ was also evinced in the OFES1 ~~data of the~~ Atlantic Ocean (Fig. 11g). Similar to ~~the~~ EN4, a moderate warming
608 ~~trend~~ extended to 2000 m since ~~around~~ the middle of the 1990s ~~in~~ OFES1. ~~As for the~~ In the case of OFES2, the most
609 prominent pattern ~~that distinguishing~~ distinguished it from the others ~~is~~ was ~~are~~ the extensive cooling patch before
610 ~~around~~ ~1990 ~~in the upper and middle layers~~. In addition, it showed a moderate cooling below 1000 m before ~~around~~
611 1990. These two extensive cooling patterns in the upper-middle and ~~the~~ deeper-lower layers of the Atlantic Ocean,
612 ~~estimated using~~ by the OFES2, raised the following questions: i) ~~what~~ What are the main causes of these two cooling
613 patches ~~exhibited~~ in the OFES2, and ii) ~~why~~ Why ~~they~~ the cooling patches suddenly ~~stopped~~ terminated at ~~around~~
614 ~~approximately~~ 1990? One possible reason is ~~the~~ that improvement ~~of~~ in the reanalysis product of the atmospheric
615 forcing since 1990, especially in the surface ~~HF~~ heat flux and wind stress ~~components~~, the latter ~~of which has been~~
616 ~~shown to be~~ being proved to be essential ~~for~~ to the subsurface temperature simulations (Kutsuwada et al. 2019).

617 —In the Indian Ocean, both ~~the~~ OFES1 and OFES2 captured ~~the~~ the warming ~~trend~~ in the 1960–70s and ~~in~~ the 2000s,
618 similar to EN4. However, the OFES1 presented an intense cooling in the upper-middle layer ~~in the~~ during the 1980s;
619 a similar but less extensive ~~and shallower~~ cooling ~~can also be seen in the~~ was also evinced in OFES2. ~~Below~~ Beneath
620 the upper layer, ~~the~~ EN4 ~~showed~~ presented a significant ~~largely~~ mostly warming ~~in the~~ Indian Ocean, with a major
621 exception of a cooling ~~trend~~ in the 1970s. ~~In the two OFES, cooling pattern was more prominent than warming below~~
622 ~~500 m, especially in OFES2. However, between 500–1000 m, warming patches were seen in the 1960s and after~~
623 ~~~1990, in both OFES1 and OFES2.~~



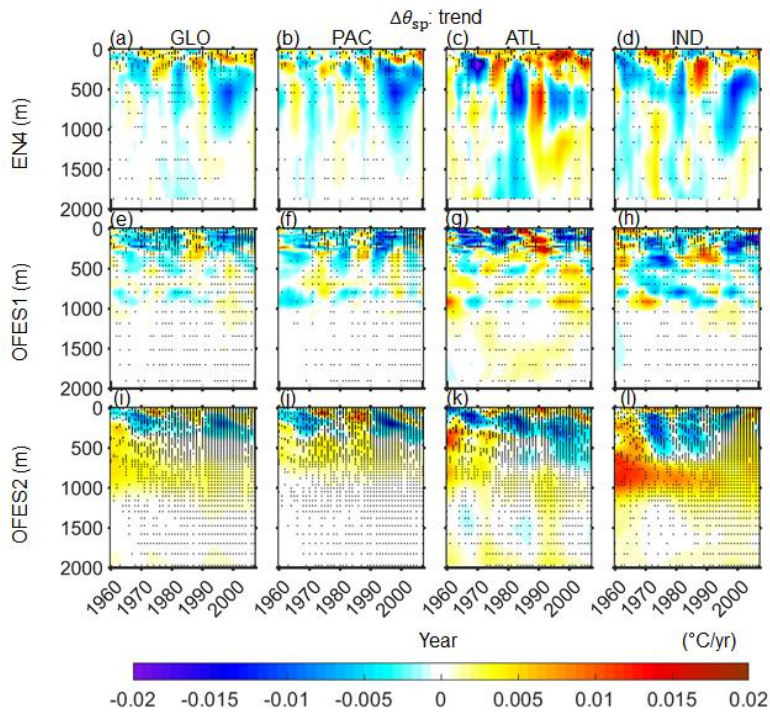
624
 625 **Figure 11.** Depth-time patterns of the horizontally averaged potential temperature change $\Delta\theta_{OHC}$ for (left to right) the global, Pacific,
 626 Atlantic and Indian Oceans. **Top to bottom:** EN4, OFES1 and OFES2. Horizontal axis: year; vertical axis: depth in m.
 627

628 —Upon comparing Fig. 11 with Fig. 12, it is evinced that ~~To~~ to a great extent, the HV components dominated the
 629 OHC variations by comparing the Fig. 12 with Fig. 11. For instance, the profound warming and cooling patterns
 630 observed in Fig. 11 ~~are~~ were mostly associated with the HV component. In addition ~~Also~~, the moderate cooling trend
 631 observed below 1000 m in ~~the~~ OFES2 was also ~~mainly~~ ~~dominantly~~ related to ~~the~~ HV. Although the SP was generally
 632 weaker and less important than the HV in accounting for the OHC variations, its role cannot be ignored. Indeed,
 633 intense ~~SP-associated~~ warming or cooling ~~episodes associated with the SP component~~ ~~were~~ ~~observed~~ ~~presented~~
 634 in ~~the~~ EN4 in all ~~the~~ major basins. The increased subsurface SP cooling since ~~the~~ 1990s in the Pacific and Indian
 635 Oceans ~~has been~~ ~~were~~ particularly interesting. ~~One speculation is that this may, which could be~~ ~~related~~ ~~associated~~ ~~to~~
 636 ~~with a~~ ~~the~~ ~~significant~~ ~~great~~ increase ~~in~~ ~~of~~ ~~the~~ subsurface salinity observations since ~~the~~ 1990s. A possible explanation
 637 for the appearance of the prominent SP cooling in the Pacific and Indian Oceans, but not in the Atlantic Ocean, is that
 638 the Atlantic Ocean has been better observed than the Pacific and Indian Oceans before ~~the~~ 1990s. Another interesting
 639 point with ~~regard~~ ~~regards~~ to the SP is the consistent SP warming ~~trend that is observed~~ in ~~the~~ OFES2, ~~especially in the~~
 640 ~~Indian Ocean,~~ ~~but~~ ~~and~~ not ~~visible~~ in the other two datasets.



641

642 **Figure 12.** Depth-time patterns of the horizontally averaged potential temperature change from the HV component,
 643 $\Delta\theta_{HV}$, for (left to right) the global, Pacific, Atlantic and Indian Oceans. **Top to bottom:** EN4, OFES1 and OFES2.
 644 Horizontal axis: year; vertical axis: depth in m.



645

646 **Figure 13.** Depth-time pattern of the horizontally averaged potential temperature change from the SP component,
647 $\Delta\theta_{SP}$, for (left to right) the global, Pacific, Atlantic and Indian Oceans. **Top to bottom:** EN4, OFES1 and OFES2.
648 Horizontal axis: year; vertical axis: depth in m.

649

650 3.3.4 Spatial patterns of the potential temperature, HV, and SP trends

651 To gain a more detailed understanding of the similarities and differences between the trends of potential temperature
652 trends estimated from the three datasets, here we have presented the spatial distributions of the potential temperature
653 change ($\Delta\theta_{OHC}$), and its HV ($\Delta\theta_{HV}$) and SP ($\Delta\theta_{SP}$) components in the three ocean layers (Figs. 14–16).

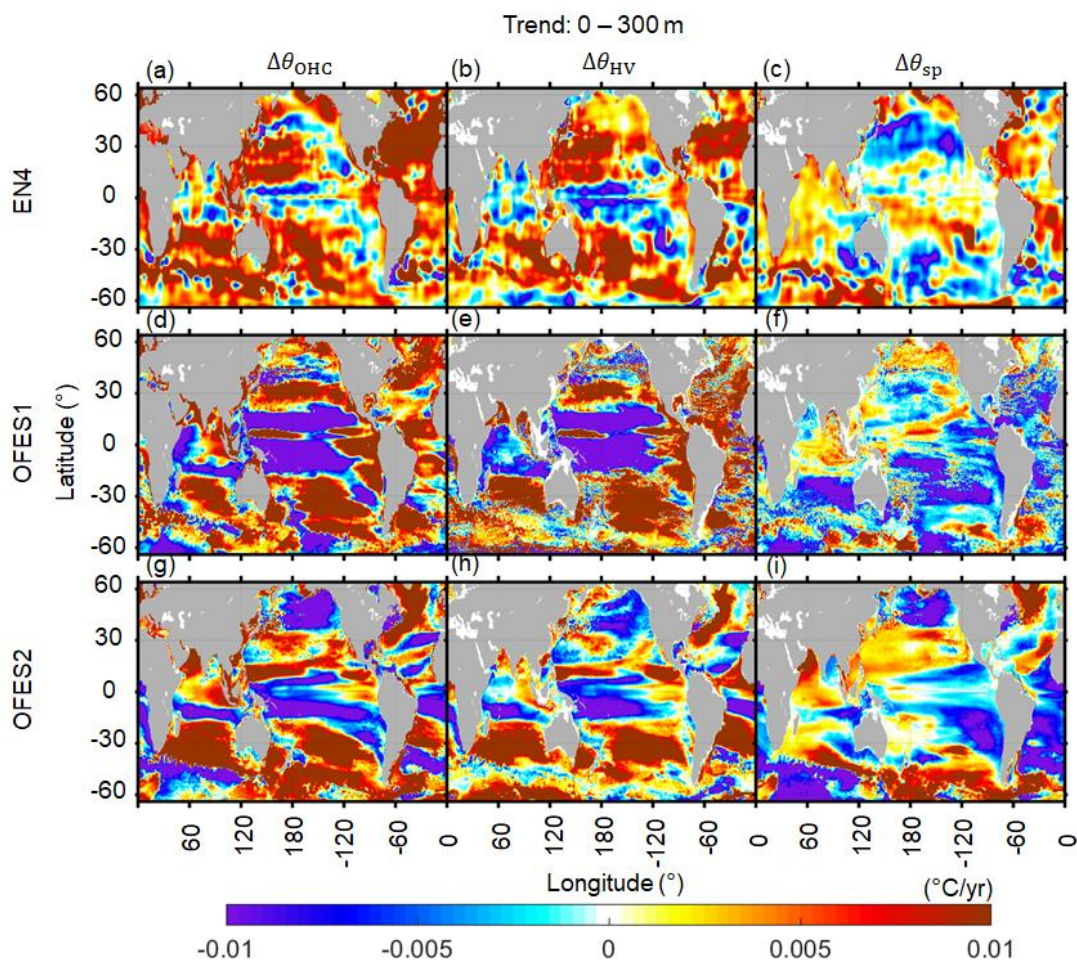
654

655 *Upper layer*

656 Warming was almost ubiquitous in the EN4 (Fig. 14a), and was particularly strong in the northern Atlantic Ocean and
657 in the Southern Ocean. These two hotspots of warming hotspots were expected from both theories and models.
658 Specifically, the shallow ocean ventilation in these two regions could generate faster warming
659 than the global average (Banks and Gregory 2006; Durack et al. 2014; Fyfe 2006; Talley 2003). Major exceptions of
660 cooling appeared in the Western Pacific Equator, along the North Pacific Current, in the meridional
661 band in the southeastern Pacific Ocean, in parts of the Argentine Basin, and in the southern Indian tropics. All of
662 these cooling regions consist of a small fraction of the global ocean. As with the EN4, both the
663 OFES datasets showed significant warming in the subtropics, the high latitude of the northern Atlantic
664 Ocean, and in the Arabian Sea in the Indian Ocean. In addition, the OFES1 was similar to the EN4 in showing
665 terms of cooling along the North Pacific Current. Despite of these similarities, large differences exist
666 between these three datasets. The most significant difference was observed in the Pacific tropics. Although, as
667 noted earlier, the EN4 indicated the presence of a zonal band of cooling in the EN4, this
668 zonal band, when estimated using the OFES1 and OFES2 data, was much stronger in intensity and more extensively
669 stretched. and It was mainly related to the HV component, especially in the case of OFES1. This abnormally
670 stronger cooling pattern in the vicinity of the equator were likely to be generated from because
671 of the poor qualities of the atmospheric wind stress over some certain periods. As mentioned earlier, Kutsuwada et al.
672 (2019) demonstrated that the NCEP wind stress used as the forcing of the OFES1 data caused a
673 much significantly shallower thermocline in the North Pacific tropical area, and therefore, significant
674 differences were observed relative to the observations. In the northeast of the Pacific Ocean, the OFES2, but not the
675 OFES1 and EN4, showed a patch of intense cooling, corresponding to the cooling pattern in the 1960–70s (Fig. 8j).
676 The OFES2 also showed four large cooling areas in the Atlantic Ocean (Fig. 14g). In the Indian Ocean, unlike the
677 EN4, the OFES1 and OFES2 datasets indicated the presence of there was a patch of intense cooling along the western
678 coast and in the Indian sector of the Southern Ocean, from the OFES1 and OFES2, respectively in the southern Indian
679 tropic and in the Indian sector of the Southern Ocean. Significant cooling also appeared in the western part of the north
680 Indian Ocean.

681 —The decomposition of the changes in potential temperature changes into HV and SP components showed that the
682 EN4-warming trend, estimated using EN4, was largely the result of isopycnal deepening (HV) in the subtropics. This
683 is consistent with the finding that the subtropical mode water (STMW) is the primary water mass accounting for global

684 warming (Häkkinen et al., 2016), as ~~we be also shown~~discussed later. The SP was generally weaker than the HV, and
 685 tended to counteract the HV warming, especially in the subtropics. This dampening effect can be easily understood
 686 from Fig. 1 of Häkkinen et al. (2016). For example, in a stratified ocean with warm/and salty water above cold/and
 687 fresh water, ~~which is typically found in of~~the subtropics, ~~a pure complete~~ warming of one water parcel can be
 688 considered as ~~thea~~ vector sum of warming and salination ~~component, manifested as a transition from its original~~
 689 ~~isopycnal to a new isopycnal along its original potential temperature/salinity characteristic~~ (HV part), and a cooling
 690 and freshening ~~component~~ along ~~the new the original~~ isopycnal (SP). Two major exceptions ~~of the trade-off between~~
 691 ~~HV and SP~~ were the northern Atlantic subtropics and the ~~southern~~ Indian Ocean ~~in EN4~~, where SP was mostly
 692 warming. The SP warming in the northern Atlantic subtropics ~~was generated results from owing to a large substantial~~
 693 ~~increase in~~ salinity ~~increase~~ through evaporation (Curry et al., 2003; Häkkinen et al., 2016). Similarly, we found ~~that~~
 694 ~~widespread~~ positive SP warming ~~also occurred~~ in most of ~~the~~ Indian Ocean, except ~~in~~ west to ~~the~~ southwest Australia.
 695 Indeed, this SP-related warming in the northern Indian Ocean ~~dominated~~ ~~dominantly controlled~~ the potential
 696 temperature change, especially in the Arabian Sea. The most significant SP warming, however, was found in the
 697 Indian sector of the Southern Ocean (may be related to the ~~freshening salination~~ of the Southern Ocean), ~~in the~~ southern
 698 subtropics of the Atlantic Ocean, and ~~in the~~ Labrador Sea (Fig. 14c).



699

700 **Figure 14.** Spatial distributions of $\Delta\theta_{\text{OHC}}$ (**top row**), $\Delta\theta_{\text{HV}}$ (**middle row**) and $\Delta\theta_{\text{SP}}$ (**bottom row**), 1960–2016, in the
701 top ocean layer (0–300 m). Left to right: EN4, OFES1 and OFES2. Standard deviations of $\Delta\theta_{\text{OHC}}$, $\Delta\theta_{\text{HV}}$ and $\Delta\theta_{\text{SP}}$ are
702 given in the Supplementary Information.
703

704 —Comparing the HV components in the three datasets showed that the two OFES simulations were able to reproduce
705 the subtropical HV warming pattern, although less accurately in the northern Pacific subtropics. The strong and
706 extensive equatorial cooling in the Pacific and Indian Oceans was largely associated with [variations in the](#) HV in the
707 two OFES datasets.

708 —The SP in the OFES1 was similar to ~~the~~ EN4 in the northern subpolar region of the Pacific Ocean, ~~in parts~~ of the
709 northern Pacific subtropics, ~~in~~ the Labrador Sea, and ~~in parts~~ of the northern Indian Ocean. The [OFES2-SP, estimated](#)
710 [using OFES2](#), was similar to [the estimates from](#) the EN4 in the Labrador Sea and the western Indian Ocean. In general,
711 however, ~~there were~~ no common patterns [were observed](#) in most of the global ~~oceans~~. In particular, neither
712 of the OFES datasets captured the SP warming in the northern Atlantic subtropics, and the OFES2 [dataset](#) indicated
713 moderate SP warming in the ~~North~~ Pacific subtropics and intense SP warming in the Pacific sector of the
714 Southern Ocean, respectively. The improvements ~~in~~ SP [determined based on](#) ~~from~~ the OFES2 [dataset](#) over that from
715 the OFES1 in the Arabian and Indonesian ~~seas~~, ~~but and~~ not in the Bengal Bay, [was is partly](#) consistent with ~~the~~
716 S2020, ~~to some extent~~. The authors demonstrated [a](#) smaller bias in the water-~~mass~~ properties ~~in~~ of the Arabian and
717 Indonesian ~~seas~~, ~~but however, a~~ large salty bias remained in the Bengal Bay in the OFES2.

718 In Fig. 32, we ~~showed~~ that the SP, [estimated using EN4 and OFES2](#), was ~~highly~~ [largely](#) similar ~~between the~~
719 ~~EN4 and OFES2~~ in the upper layer of the Pacific Ocean. However, the spatial distributions of the SP component in
720 the Pacific Ocean were ~~seldom~~ similar between ~~the~~ EN4 and OFES2. ~~In other~~ ~~That~~ ~~words~~ ~~is~~, the time series
721 of a basin-wide quantity hides many details.

722
723 *Middle layer*

724 ~~The~~ EN4 showed [that the](#) cooling ~~in of the the~~ ocean, [was mostly](#) concentrated in the southern Pacific subtropics, and
725 ~~in~~ the region associated with the Kuroshio (Fig. 15a). [Clear warming trend was observed, accompanied by sporadic](#)
726 [cooling patches](#) ~~For~~ in the rest of the global ocean, especially over ~~the bulk most~~ of the Atlantic Ocean, in the northern
727 Indian Ocean, and along the Antarctic Circumpolar Current (ACC) path ~~in of~~ the Southern Ocean, ~~clear warming~~
728 ~~was observed~~ ~~presented, accompanied by sporadic cooling patches~~. The OFES1 [dataset](#) could reproduce some warming
729 patterns in the northern Pacific Ocean, the bulk of the Atlantic Ocean, ~~in~~ the eastern part of the northern Indian Ocean,
730 and parts of the ACC path. However, notable differences ~~were~~ ~~can be~~ found between ~~the~~ OFES1 and EN4. Among
731 these differences, the most prominent is the intense cooling in the southern Indian Ocean [as estimated from OFES1,](#)
732 [which corresponds to the cooling during the 1990s, as estimated from the OFES1, which was found to occur in the](#)
733 [1990s, as shown in](#) ~~from~~ (Fig. 3(d)). In addition, strong cooling patches were also found in the southern Pacific tropics,
734 west to ~~the~~ central-south America, in the northern Atlantic subtropics, in the Arabian Sea, and along parts of ~~the~~
735 southern edge of the ACC [in OFES1](#). The pattern in the OFES1 Pacific Ocean clearly appears as zonal bands, ~~but~~
736 ~~however,~~ this zonal strip ~~was~~ obscure in ~~the~~ EN4. Consistent with Fig. 3, intense cooling was simulated [by OFES2](#)
737 ~~in for~~ all ~~the~~ major basins ~~by the~~ OFES2, with ~~the~~ most prominent ~~in being in~~ the Atlantic Ocean. Large-scale ~~strong~~

738 warming patterns were found in the Kuroshio region, in the southern Pacific and Indian subtropics, in the northern
739 Atlantic Ocean (north ~~to~~of 35° N), in the western part of the northern Indian Ocean, and in the Pacific and Atlantic
740 sectors of the Southern Ocean. In general, ~~over the bulk of the global ocean~~, there were apparent differences between
741 these three datasets ~~overwhen the bulk of the global ocean was considered~~. The above 700 m ~~was~~is relatively well
742 observed, especially in the Atlantic Ocean (even back to 1950–60s, Häkkinen et al., 2016). Therefore, it is likely that
743 the OFES2 dataset was ~~an~~the outlier at ~~this~~the analyzed multi-decadal scale, and there ~~were~~could be some potential
744 problems in the OFES1, for example, in the southern Indian Ocean.

745 —Interestingly, EN4 suggested that ~~the~~ HV warming was almost ubiquitous in the middle layer ~~from the EN4~~ (Fig.
746 15b), especially in the ~~southern hemisphere~~Southern Hemisphere, which is consistent with the warming shift
747 ~~toward~~towards ~~to~~the sSouthern hHemisphere ~~found in~~ (Häkkinen et al., (2016). Correspondingly, ~~the~~ SP cooling
748 also occupies most of the global ocean (Fig. 15c), with a similar southern shift, the most prominent ~~to~~being along the
749 east and western regions of ~~the~~ Australia. ~~The Major~~major SP warming patches were found in the Sea of Okhotsk,
750 north ~~of~~to the Gulf Stream, in the Arabian Sea, and along the southern edge of the ACC. These regions are generally
751 associated with strong variations in salinity ~~variations~~. Comparing ~~the~~ HV and SP ~~between~~estimated based on the
752 EN4 and OFES1 dataset showed that the OFES1 captured some warming patterns in the Pacific and Atlantic, ~~but~~and
753 not in the Indian, subtropics. The ~~HV~~agreement of HV in ~~for~~ the southern Pacific ~~and~~ Indian tropics, and ~~in~~ the
754 Southern Ocean ~~was~~were mostly poor. ~~As for the~~In the case of SP, the OFES1 reproduced ~~the~~ intense SP cooling in
755 west ~~to~~ern the Australia and ~~in~~ the southern Pacific subtropics, despite ~~it~~s smaller coverage compared to ~~the~~ EN4.
756 However, ~~the~~ OFES1 showed almost opposite trends of SP ~~trends~~ over most of the global ocean. In ~~the~~ OFES2, both
757 ~~the~~ HV and SP were strong, ~~but~~however, the basin-wide cooling was mainly ~~the~~generated as a result of HV. Overall,
758 the OFES2 dataset had a reasonable agreement with ~~the~~ EN4 in the southern subtropics (Pacific and Indian Oceans)
759 in terms of HV. It also had a common HV warming patch in the northern Atlantic Ocean (north to 35° N) as ~~the~~ EN4.
760 With ~~regard~~regards to ~~the~~ SP, the OFES2 was similar to ~~the~~ EN4 in ~~showing~~displaying SP warming in the Arabian
761 Sea and parts of the southern edge of the ACC. ~~In addition~~Also, it also captured ~~the~~ SP cooling in the eastern Pacific
762 Ocean, along the Gulf Stream path, west ~~of~~to the Australia. Except ~~for~~of these similarities, however, ~~the~~ OFES2
763 dataset was generally ~~opposite~~not consistent to with that of ~~the~~ EN4.

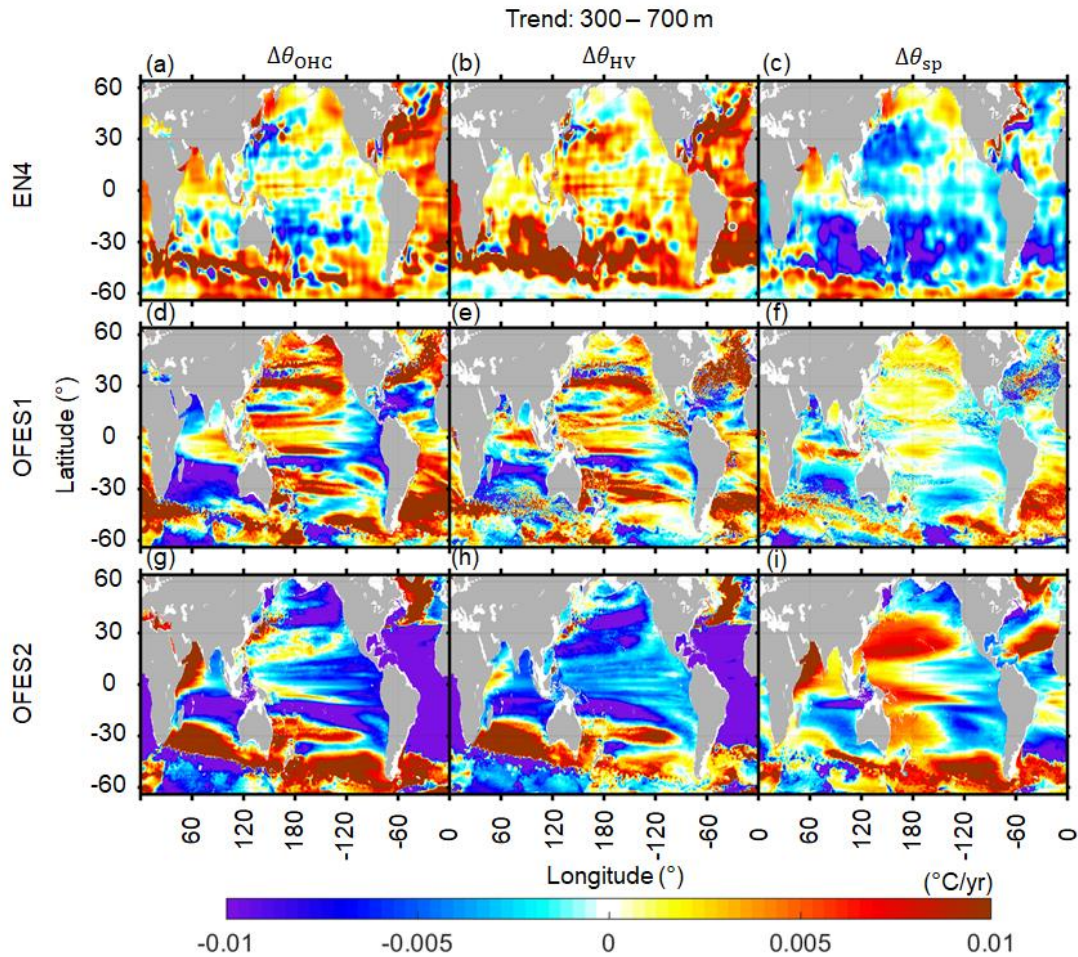
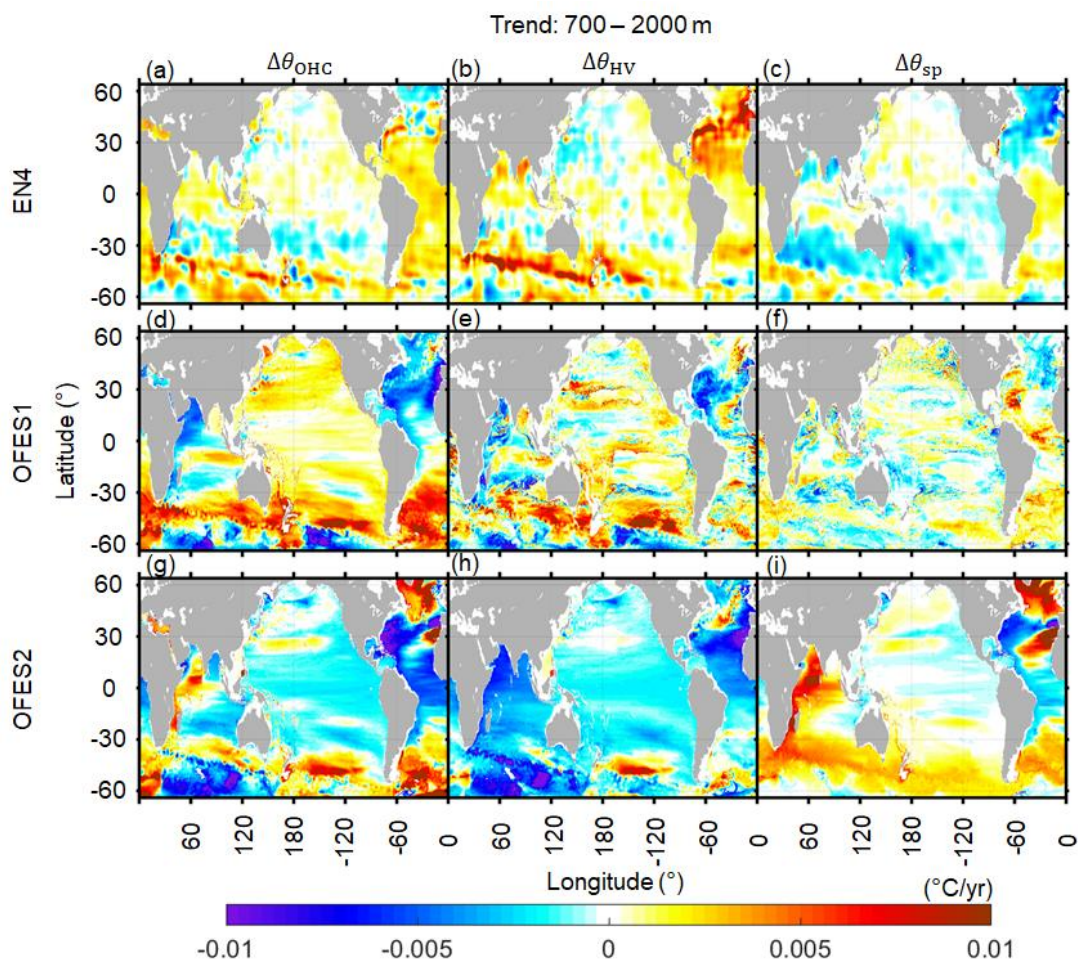


Figure 15. As for Fig. 14 but for the middle layer (300–700 m).

Lower layer

The In general, the warming and cooling intensities were generally much significantly weaker in the lower layer than compared to that in the top two layers, which is consistent with many several previous findings that more ocean heat ing occurs in the upper 700 m than at greater depths (Hääkkinen et al., 2016; Levitus et al., 2012; Wang et al., 2018; Zanna et al., 2019). The EN4 showed widespread warming patches in the Southern and Atlantic Oceans, and as well as in the three large zonal bands of cooling in the southern subtropics of the Pacific and Indian Oceans, and in the northern subpolar region of the Atlantic Ocean (Fig. 16a). Similar to the EN4, the OFES1 dataset reflected warming was observed seen along the northern edge of the ACC and in the southern Atlantic Ocean in the OFES1, but although the intensity of warming was much stronger with a much stronger intensity for OFES1 than in the EN4 (Figs. 16a, d). In addition, OFES1 There was also reflected moderate warming over almost the entire whole Pacific Ocean, in the OFES1. Significant differences between the OFES1 and EN4 were found in the northern Atlantic Ocean, where the OFES1 showed extensive cooling compared to the moderate warming in the EN4. OFES1 There was also demonstrated strong cooling in the OFES1 Arabian Sea, which is in contrast to the quite weak warming of the in the EN4 Arabian Sea obtained from the EN4. To some extent, the OFES2 was similar to the other two datasets in showing warming

781 along the northern edge of the ACC and in the southern Atlantic Ocean, south to 30°S (Fig. 15g), despite of the
 782 intensity differences in the intensity of warming. It also showed cooling in the low and middle latitudes of the Atlantic
 783 Ocean, as did the similar to OFES1 but opposite unlike to the EN4. However, the bulk of the Pacific Ocean was shown
 784 to be cooling in the OFES2, which was almost opposite to the OFES1 results (Fig. 15d) and only similar to the EN4
 785 only in parts of the southern Pacific subtropics (Fig. 15a). Moreover, OFES2 reflected intense and widespread cooling
 786 was observed appeared in the Indian sector of the Southern Ocean in the OFES2. The warming of the northern ACC
 787 was captured by the OFES2.



788
 789 **Figure 16.** As for Fig. 14 but for the lower layer (700–2000 m).
 790

791 —In the EN4, there was intense HV warming along the northern edge of the ACC in the Indian and Pacific Oceans,
 792 and in the northern Atlantic Ocean (Fig. 16b), which largely accounted for the total potential temperature variations,
 793 and HV warming was generally accompanied by SP cooling (Fig. 16c). Moderate HV and SP warming coexist
 794 in the northern Atlantic tropics and the southern Atlantic Ocean, moderate HV and SP warming coexist. We found
 795 that the OFES1 captured the HV warming pattern along the northern edges of the ACC, which is being consistent with
 796 the results from the EN4. However, there were remarkable differences in OFES1 results from those of the EN4,
 797 particularly in the northern Atlantic and Indian Oceans. As for the In terms of SP, there were some similarities between

798 the OFES1 and EN4; for example, they both had SP cooling and warming in the northern and southern Atlantic Ocean,
799 respectively. Among the three datasets, the OFES2 showed the most extensive and strong HV-associated cooling
800 component, but although there was a generally cooling in the trend HV component, except for a patch of HV warming
801 in the Pacific sector of the Southern Ocean, and which such a warming patch was also seen observed in the EN4 dataset.
802 In contrast, The OFES2 estimated intense SP warming was estimated in the OFES2 in the Southern Ocean, in the
803 western Indian Ocean, and in the northern Atlantic subpolar regions, and a large-scale patch of abnormally strong
804 SP warming, associated with the Mediterranean Overflow Water (MOW), was also observed. This extremely very
805 strong SP warming, related to associated with the MOW, is likely the result of the unrealistic spreading of the salty
806 Mediterranean overflow found reported in S2020.

807 —Besides the above-discussed multi-decadal linear trends, we have demonstrated that (not shown here) the
808 significant differences between the two OFES datasets and the EN4 were significantly much reduced if we considered
809 only the period between 2005 and 2016 is only considered, during which was the two OFES has been were argued to
810 be well spun-up by (S2020). In addition, over this 12 year period these 12 years, the spatial pattern of the OFES2
811 showed did show some improvements over the OFES1 for the upper and middle layers, but however, it was not
812 necessarily true for the lower layer, when taking the EN4 was used as a reference. Does Is this better agreement come
813 a result of from a better spun-up or come it was generated from owing to the improvements in of the reanalysis product
814 of the atmospheric forcing for these two OFES data? This interesting question requires would require a further detailed
815 exploration in the future.

816 3.54 Trends in of the HV and SP in the neutral density domain

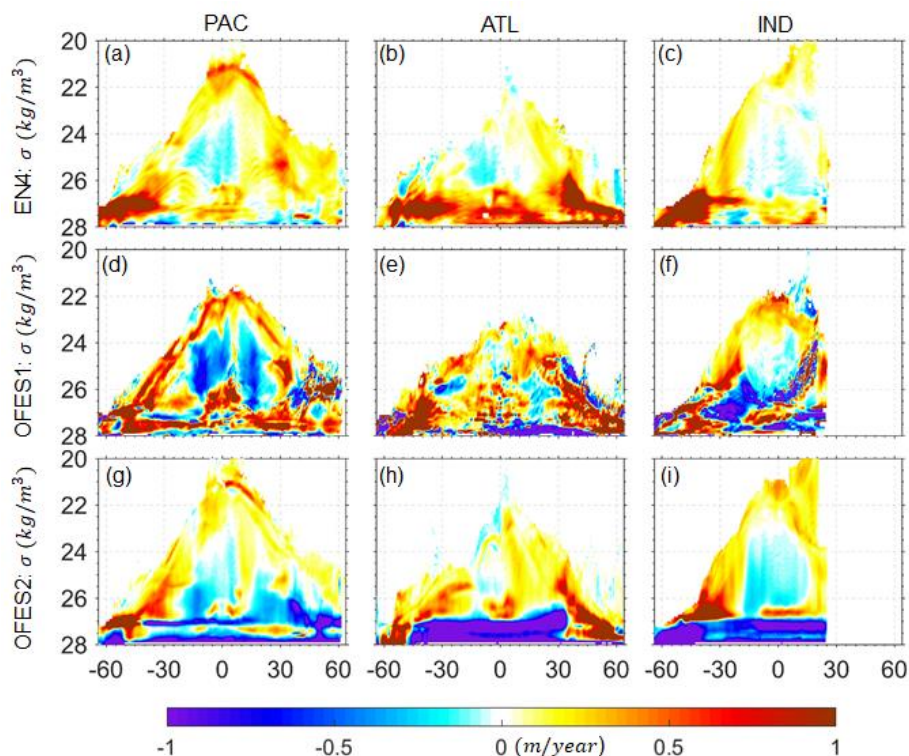
817 To analyze analyse the warming and cooling from the perspective of water mass water mass, plotting the is useful to
818 show the HV and SP components in neutral density coordinates provides useful information to analyze the warming
819 and cooling from the perspective of water mass, as suggested by one reviewer. Following Häkkinen et al. (2016),
820 we calculated the linear trend (over 1960–2016) in of the zonal-averaged sinking of the neutral density surfaces in each
821 major basin over 1960–2016 (Fig. 17) and also the SP-related warming or cooling along the neutral density surfaces
822 (Fig. 18).

823 —Our results, based on the EN4 dataset, were similar to those of Häkkinen et al. (2016), using the EN4, although
824 they used who used an earlier version of EN4 version dataset (i.e., EN4.0.2) and considered the period from over 1957
825 to 2011. More specifically, our EN4 results similarly showed that the bulk of HV warming (deepening of neutral
826 density surfaces) was associated with a water mass water mass of over 26 kg/m^3 , and was mainly concentrated south
827 to of 30° S , to wit, from the ventilation region at high latitudes to the subtropics. There was one exception in the
828 Atlantic Ocean, where warming also occurred at low the middle low middle latitudes and in the northern Atlantic
829 Ocean. The concentrated warming in the northern Atlantic Ocean was attributed to the phase change of the North
830 Atlantic Oscillation (NAO) from negative in the 1950–60s to positive in the 1990s (Häkkinen et al. 2016; Williams
831 et al. 2014). As explained by in Häkkinen et al. (2016), these these significant deepening of neutral density surfaces
832 was were associated with the sSubtropical mMode wWater (STMW, $26.0 < \sigma_0 \text{ (kg/m}^3) < 27.0$) and the Subantarctic
833 Mode Water (SAMW, $26.0 < \sigma_0 \text{ (kg/m}^3) < 27.1$). These vertical displacements of neutral density surfaces may

834 ~~probably~~ have resulted from heat uptake via subduction, which ~~then~~ subsequently might have spread from these high-
 835 latitude ventilation regions. The large vertical deepening of the STMW and SAMW ~~would~~ ~~had~~ ~~then~~ ~~subsequently~~
 836 pushed the Subpolar Mode Water (SPMW, $27.0 < \sigma_0 \text{ (kg/m}^3\text{)} < 27.6$) and ~~the~~ Antarctic Intermediate Waters (AAIW,
 837 $27.1 < \sigma_0 \text{ (kg/m}^3\text{)} < 27.6$) further down. However, as the vertical displacement of the STMW/SAMW was larger, its
 838 volume would have ~~therefore~~ increased, and the volume of the underlying SPMW/AAIW ~~had~~ decreased (Häkkinen
 839 et al., 2016). Besides these significant sinking of neutral density surfaces, there was generally a shoaling pattern of
 840 lower density ($\sigma_0 \text{ (kg/m}^3\text{)}$) ranging from 24 ~~to~~ ~~-26~~, ~~and~~ ~~which~~ ~~was~~ ~~mostly~~ ~~mainly~~ concentrated between the
 841 ~~equator~~ Equator and 30° S . To a large extent, this shoaling occurred in the central water, for example, ~~in~~ the South
 842 Pacific Central Water (SPCW).

843 ~~—Here, our focus is not on the~~ In this study, we have not focused on the detailed mechanisms of warming from the
 844 perspective of water mass, as ~~it~~ was done in previous studies Häkkinen et al., 2016 (). Instead, we ~~have~~ focused
 845 on the differences between the ~~three~~ datasets ~~in~~ ~~the~~ with respect to the trends of ~~the~~ HV and SP.

846 ~~—It can be seen that along the surfaces of the Pacific and Indian Oceans, there was a generally an~~
 847 ~~appearance~~ appearance of HV warming in almost all ~~the~~ three datasets. In the Atlantic Ocean, however, the EN4
 848 estimated a sea surface cooling south ~~to~~ ~~of~~ 30° S and in the northern tropics; the OFES2 also estimated a cooling trend
 849 near the surface of the Atlantic tropics. ~~In contrast~~ Different to/from both ~~the~~ EN4 and OFES2, ~~the~~ OFES1 showed an
 850 intense HV cooling pattern along the Atlantic surface between ~~around~~ ~~30~~ ~~and~~ ~~-50~~ $^\circ \text{ N}$ (Fig. 17e).

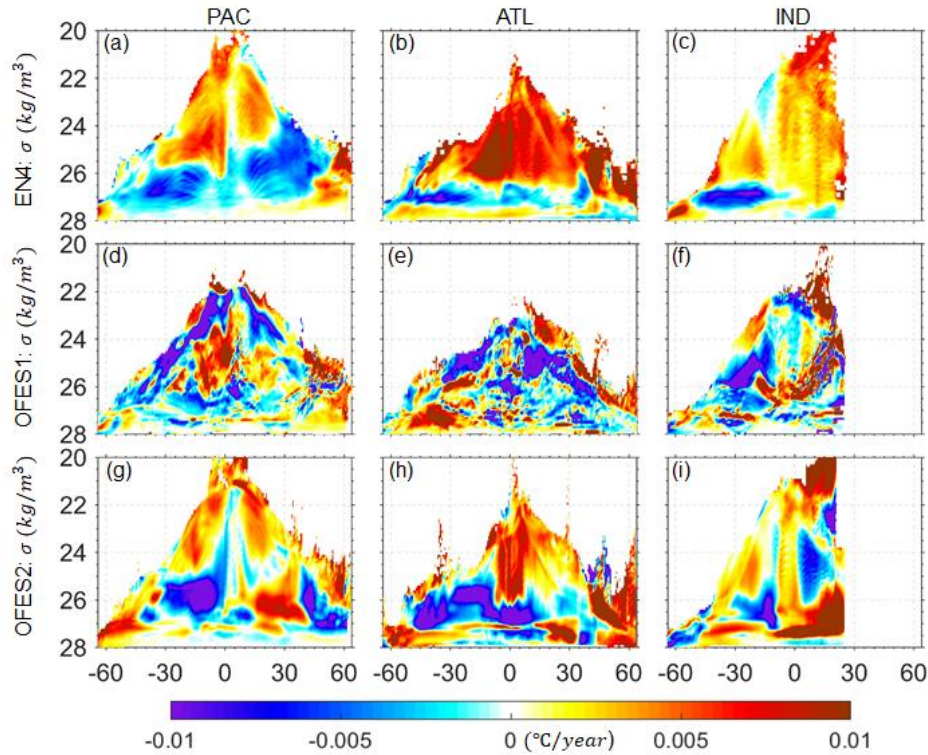


851
 852 **Figure 17.** Linear trends in the zonal-averaged sinking of the neutral density surfaces in the Pacific (**left column**),
 853 Atlantic (**middle column**) and Indian (**right column**) Oceans. **Top to bottom:** EN4, OFES1, OFES2. Positive values
 854 mean deepening of the neutral density surfaces. The calculation was for the water above 2000 m.
 855

856 —South ~~to~~ of 30° S, EN4 detected large downward movements, associated with the STMW, SAMW, and AAIW
857 ~~were found~~ in all ~~the~~ three basins ~~in the EN4~~. ~~In~~ ~~in the~~ ~~the~~ case of OFES1, the dominant pattern in the three basins
858 was sinking, ~~however~~, ~~but it~~ was surrounded by shoaling patches; larger differences from the EN4 were found in the
859 OFES2, which showed significant and extensive shoaling patterns, especially in the Indian Ocean. The almost opposite
860 trend in the vertical displacements of the neutral density surfaces between the OFES2 and the ~~observation-~~
861 ~~based~~ ~~observational~~ ~~based~~ EN4 may indicate that the ~~properties of~~ water-mass ~~properties~~ simulated in the OFES2 were
862 unrealistic, at least at this multi-decadal scale.

863 —In the ocean interior between 30°S and 30° N, the OFES1 presented shoaling patterns in the Pacific and Indian
864 Oceans, ~~but~~ ~~however~~, ~~such pattern was~~ not prominent in the Atlantic Ocean. Although ~~these~~ shoaling patterns in the
865 Pacific and Indian Oceans were also ~~seen~~ ~~evinced~~ in the EN4, ~~as noted earlier~~, ~~their~~ magnitude ~~in the EN4~~ was
866 generally ~~much~~ ~~significantly~~ smaller. The OFES2 had a better agreement with ~~the~~ EN4 ~~in~~ ~~for~~ the shoaling pattern in
867 the southern Pacific subtropics. EN4 ~~it~~ also captured ~~the~~ shoaling in the ~~EN4~~ Indian Ocean, with a similar coverage,
868 ~~however~~, ~~the intensity was~~ ~~but~~ generally stronger. ~~The Shoaling~~ ~~shoaling~~ in the southern Atlantic subtropics was not
869 typically ~~found~~ in ~~the~~ OFES2, similar to the OFES1, but different from the EN4.

870 —~~In the n~~North ~~to~~ of 30° N, EN4 detected widespread sinking ~~was widespread in the EN4~~, particularly ~~strong~~ in the
871 northern Atlantic Ocean. This ~~very~~ strong sinking in the northern Atlantic Ocean ~~originated~~ ~~came~~ mainly from ~~the~~
872 SPMW and STMW. In the EN4 Pacific Ocean, there ~~were~~ ~~was~~ ~~certain~~ ~~some~~ shoaling patches, which ~~were~~ ~~was~~ related
873 to the North Pacific Intermediate Water (NPIW), and to a large extent, corresponded to ~~the~~ HV cooling ~~in~~ (Fig. 16(b)).
874 In ~~the~~ OFES1, the pattern was filled with both sinking and shoaling patches, ~~and~~ ~~which~~ defies easy
875 ~~interpretation~~ ~~intepretation~~. However, an apparent outlier ~~of OFES1~~ ~~was~~ ~~is~~ the intense shoaling in the ~~OFES1~~ northern
876 Atlantic Ocean (mostly ~~ainly~~ below 700 m (~~from~~ Figs. 14–16)), ~~which is~~ ~~just~~ ~~the~~ opposite ~~of~~ ~~to~~ ~~the~~ EN4. The shoaling
877 of neutral density surfaces in the OFES2 Pacific Ocean, north to 30° N, was even more prominent than ~~that~~ in the
878 OFES1. The OFES2 had a better agreement with ~~the~~ EN4 in ~~terms of~~ ~~the~~ sinking patterns in the Atlantic Ocean
879 north ~~to~~ of 30° N.



880
 881 **Figure 18.** Linear trends in the zonal-averaged warming or cooling along the neutral density surfaces in the Pacific
 882 (left column), Atlantic (middle column) and Indian (right column) Oceans. Top to bottom: EN4, OFES1, OFES2.
 883

884 —The major SP warming episodes determined by in the EN4 in the Pacific Ocean was/were associated with the STUW
 885 and Pacific Central Water (PCW) in the low and middle latitudes, with a shift toward/towards to the southern
 886 hemisphere/southern hemisphere. The northern high-latitude SP warming was mainly related to the Pacific Subarctic
 887 Intermediate Water (PSIW). The two SP cooling/cooling came/were generated from the STMW, corresponding to the
 888 sinking pattern/isopycnal deepening in Fig. 17(a). This—HV warming—/ SP cooling was particularly typical in the
 889 subtropical regions, and the HV—warming—/ SP warming was typical in the subpolar regions, as noted above, and more
 890 details of which are/were presented in Häkkinen et al. (2016). Very—An extremely strong SP warming trend occurred
 891 in the Atlantic Ocean, resulting from salination via the evaporation process. In the southern Atlantic Ocean, the pattern
 892 of SP cooling is/was mostly associated with the sinking of the STMW.

893 —The SP pattern determined from the OFES1 dataset was quite noisy, and generally had/had generally a poor
 894 agreement/agreements between the OFES1 and the EN4 in terms of SP warming, which is likely to result/be resulting
 895 from some issues of salinity simulation in the OFES1. As shown in S2020, the OFES1 was not capable of simulating
 896 salty outflows, for example, the outflow through the Persian Gulf into the Indian Ocean. There were notable
 897 improvements in the salinity field—ns of the OFES2 over OFES1, which has been mainly attributed to the inclusion of
 898 river runoff and—a sea-ice model, but—however, some issues associated with—still remained, such e.g. as, poor
 899 performance in the simulation of the Mediterranean outflow remained. Overall, the SP warming pattern in the density
 900 coordinate was significantly improved in the OFES2 when—compared to the OFES1. However, when/When upon
 901 combining/ombing Figs. 14–16, however, one can see/it is evinced that the similarities in the SP estimation between

902 the OFES2 and ~~the~~ EN4 ~~dataset was were~~ confined to a small fraction of the global ocean, mainly in the upper and
903 middle layers of the Labrador Sea ~~and, the~~ northern Indian Ocean, ~~and in the~~ Southern Ocean. In addition, the
904 ~~simulations by~~ OFES2 ~~was also shared similarities with those of~~ ~~similar to the~~ EN4 in showing a patch of SP cooling
905 in the western part of the northern Atlantic subtropics.

906 907 **3.5.6 A basin-wide heat budget analysis**

908 The fundamental mechanisms controlling the oceanic thermal state include the net surface ~~HF~~ heat flux, ~~the zonal~~ ZHA
909 and ~~MHA~~ meridional heat ~~advection~~ advections in the horizontal direction, and ~~the~~ VHA vertical heat advection and
910 ~~diffusion~~ VHD in the vertical direction (Fig. 1b). Lateral heat diffusion was not considered here, ~~as because~~ it was
911 found to play a minor role ~~in from~~ our analysis (not shown). ~~Because~~ ~~Since~~ our focus is on the global and basin-wide
912 OHC in the three vertical layers, we calculated and compared the inter-basin heat exchange, and the VHA vertical heat
913 advection and ~~diffusion~~ VHD, integrated over each basin from 1960 to 2016. No vertical heat diffusivity data were
914 available from ~~the~~ OFES1, ~~and~~. In addition, the vertical heat diffusivity data from ~~the~~ OFES2 ~~were~~ temporarily
915 unavailable ~~because due to~~ a security incident ~~when this manuscript was prepared~~. This prevented us from ~~directly~~
916 calculating ~~and comparing the~~ ~~the~~ vertical heat diffusion VHD between OFES1 and OFES2 ~~directly~~. As an alternative,
917 we calculated the residual of the OHC change, ~~along with and all the related~~ associated heat transport ~~components that~~
918 ~~contribute in~~ to each basin, and ~~used to~~ ~~the results~~ as a proxy for ~~the~~ vertical diffusion. This indirect method ~~may~~
919 ~~might~~ suffer from some errors; ~~for instance, it includes the impacts of river runoff in the OFES2, but however, it~~ can
920 still provide us ~~with some with~~ important information. ~~The Our~~ calculations are listed in ~~Tab~~ ~~Table~~ 2–4. The related
921 time series of these surface heat ~~fluxes~~ flux and heat advection ~~are were~~ shown in ~~the s~~ Supplementary Figs. S7–9.

922 923 *Upper layer*

924 In the Pacific Ocean, the ~~changing~~ rate of change of the ~~time averaged~~ OHC was rather low ~~for in~~ both ~~the~~ OFES1 and
925 OFES2. However, the average surface ~~HF~~ heat flux, ~~estimated using the in the~~ OFES1 ~~dataset~~, was twice ~~that that of~~
926 ~~in the~~ OFES2, indicating that more heat was injected ~~into to~~ the OFES1 Pacific Ocean, ~~and~~ signifying the differences
927 in ~~the~~ atmospheric forcing. Vertically, both ~~datasets~~ indicated a net downward advection of heat in the Pacific Ocean
928 at 300 m, ~~but however, the intensity was~~ much stronger ~~intensity~~ in the OFES1 (different by ~~approximately around~~ 0.7
929 W/m^2); ~~which this~~ may be related to their different wind-forcing sources, as the downward heat advection in the upper
930 ocean was mainly from the wind-driven Ekman pumping in the subtropical gyres. Indeed, Kutsuwada et al. (2019)
931 claimed that the NCEP wind stress curl was too strong, and ~~caused~~ ~~had generated the~~ overly strong Ekman pumping.
932 There ~~was~~ 0.150 W/m^2 ~~more was an increase in the~~ eastward heat advection through the water passage between the
933 Australian mainland and 64° S ~~by~~ 0.150 W/m^2 (P3 in Fig. 1a) in the OFES2, ~~in a comparison to the OFES1~~. Although
934 the ~~two OFES datasets indicated that the~~ MHA from the Southern Ocean to the Pacific ~~Ocean~~ ~~Oceans~~ (P4) ~~had was of~~
935 opposite ~~signs~~ ~~sign in the two OFES datasets~~, the relatively small absolute value indicated that this difference was
936 ~~slight~~ minor. The Drake Passage (P5) is the major water passage, through which heat is exchanged between the Pacific
937 and Atlantic Oceans. There was 0.108 W/m^2 more heat loss through ~~the~~ P5 into the Atlantic Ocean in the OFES1,
938 inferring a stronger ACC from the OFES1 in the upper ocean. ~~The~~ P7 and P8 connect the Pacific and ~~the~~ Indian

Oceans, and the Indonesian Throughflow (ITF) flows through the P7. The MHA passing through the P7 was almost ~~twone~~ times stronger in the OFES2 than in the OFES1, with a difference of 0.637 W/m². This indicated an enhancement of the ITF simulated by the OFES2, which, to some extent, agreed well with the results of Sasaki et al. (2018), who showed that the inclusion of a tidal-mixing scheme resulted in an intensification of the ITF, remembering noting that the a-tidal-mixing scheme was implemented in the OFES2 and but not in OFES1. In addition, the OFES1 demonstrated that showed more heat was transported westward into the Indian Ocean between Papua New Guinea and Australia (P8), but however, the small absolute heat advection indicated that it was not the major cause of the OHC discrepancy between the OFES1 and OFES2. The net heat advection through the Bering Strait (P9) was rather weak in both datasets. The indirect calculation of the VHD showed that there was net downward heat diffusion at a depth of 300 m in the Pacific Ocean in both the two OFES datasets, although the intensity was much but with a much stronger intensity (different by 0.747 W/m²) in the OFES1.

—In the Atlantic Ocean, the OHC increased at an average rate of 0.032 W/m² in the OFES1 but, however, it decreased by 0.014 W/m² in the OFES2. There was net surface heating in the OFES1 Atlantic Ocean, but minor cooling was evinced in the OFES2. The two OFES datasets were also profoundly different in the terms of VHA at 300 m. Specifically, the OFES1 showed a net downward heat advection, and the OFES2 showed an upward and much significantly weaker heat advection. Again, this difference in the VHA was likely the result of different wind stress datasets in the two OFES, as discussed above. In a comparison to the OFES2, the OFES1 showed an increase in the 0.158 W/m² more heat transported from the Atlantic Ocean to the Indian Ocean through the P1 between the South Africa and 64° S by 0.158 W/m². As mentioned above, more heat was advected into the Atlantic Ocean through the Drake Passage (P5) in the OFES1. Additionally, there was more heat advected southward from the Atlantic Ocean to the Southern Ocean in the OFES1 (P6). The wide passage connecting the North Atlantic Ocean to the Arctic Ocean (P10) also served as the major channel, through which the Atlantic Ocean exchanged heat with the Arctic Ocean; the two OFES datasets exhibited gave similar heat loss. All these differences combined led us to conclude that the respective values for the resulting vertical heat diffusion VHD at 300 m differed by 0.411 W/m² (more with a trend of increasing stronger upward heat diffusion estimated by the OFES1).

—In For the Indian Ocean, the average averaged OHC increasing rate of increase in OHC, calculated by OFES2, was 0.009 W/m² higher in the OFES2 than in the OFES1 by 0.009 W/m². The time-averaged surface HF heat flux in the OFES2 was 0.729 W/m² lesser than that in the OFES1. Both datasets showed a net downward heat advection, but that however, the results obtained from in the OFES2 was were approximately around three two times stronger. The small difference in the southward heat advection across the 64° S (the P2) only affected the OHC in the upper Indian Ocean to a small extent. In contrast, the differences in the HF, VHA, and the MHA associated with the ITF contributed to the difference and led us to calculate a remarkable discrepancy difference of 1.898 W/m² in the VHD at a depth of 300 m in the Indian Ocean. The enhanced ITF is one of the main contributors to the larger increase of the OHC increase in the upper layer of the OFES2 Indian Ocean (Fig. 2).

—To summarize, OFES1 there was estimated a generally more higher surface HF heat flux into the major basins in the OFES1. The VHA vertical heat advection was generally downward, indicating the essential role of the subtropical Ekman pumping in the heat uptake in of the upper ocean layer. The differences between of these two (HF and VHA)

976 ~~contributors~~ were mainly ~~due to~~ the different atmospheric forcing used in the two OFES datasets, emphasizing
 977 the importance of reliable atmospheric forcing ~~products~~ in the numerical ocean modelling. Although the
 978 different wind ~~stresses~~ could also produce different lateral advections through the P1–P10, the local-integrated
 979 differences were generally smaller than the basin-integrated differences. The most prominent difference in ~~the~~
 980 lateral heat advection was associated with the ITF, ~~which was~~ mainly as a result of the adoption of a tidal-mixing
 981 scheme. This ITF-related difference and the indirectly inferred VHD suggested the significance of ~~the~~ vertical mixing
 982 scheme in producing the examined differences ~~in~~ OHC.

983 **Table 2.** Time-averaged OHC, surface heat flux (HF) and advection of heat through the major water passages for the
 984 upper layer (0–300 m) of each basin (~~0–300 m~~). VHA ~~in this table~~ is at a depth of 300 m. Residual: difference between
 985 the OHC increase and all the heat flux into a basin, approximately the ~~vertical diffusion of heat~~ VHD. All quantities
 986 converted to W/m² applied over the entire surface of the Earth. Values smaller than 0.001 are set to 0. Positive means
 987 heat gain and negative means heat loss.

PACIFIC OCEAN (0–300 m)										
	OHC	HF	VHA	P3	P4	P5	P7	P8	P9	Residual
OFES1	-0.025	2.135	-0.814	1.233	0.011	-0.891	-0.728	-0.162	-0.003	-0.808
OFES2	0.007	1.066	-0.113	1.383	-0.020	-0.783	-1.365	-0.100	0	-0.061
ATLANTIC OCEAN (0–300 m)										
	OHC	HF	VHA	P1	P5	P6	P10	Residual		
OFES1	0.032	0.184	-0.445	-0.823	0.891	-0.085	-0.440	0.749		
OFES2	-0.014	-0.036	0.005	-0.665	0.783	-0.051	-0.388	0.338		
INDIAN OCEAN (0–300 m)										
	OHC	HF	VHA	P1	P2	P3	P7	P8	Residual	
OFES1	0.026	0.195	-0.639	0.823	-0.038	-1.233	0.728	0.162	0.028	
OFES2	0.035	-0.534	-2.091	0.665	-0.012	-1.383	1.365	0.100	1.926	

988
 989 *Middle layer*

990 ~~There were no significant differences between the OFES1 and OFES2 in~~ The horizontal and vertical heat
 991 ~~transport~~ transports in the middle layer (300–700 m) of the Pacific Ocean (Tab. 3), ~~estimated by OFES1 and OFES2,~~
 992 ~~displayed no significant difference.~~ It can be seen that the ~~ITF~~ was weak for this ~~depth~~ deeper layer, and ~~its~~ the
 993 differences ~~in the results from between the~~ OFES1 and OFES2 ~~were~~ was small (0.084 W/m²). However, ~~there was~~ heat
 994 ~~was~~ advected or diffused from the upper layer (at 300 m, the top face of the middle ocean layer). There was a difference
 995 of ~~approximately~~ around 0.747 W/m² in the VHD at a depth of 300 m in the Pacific Ocean and a difference of 0.701
 996 W/m² in the VHA. All these ~~results~~ together led us to infer a VHD difference of 1.295 W/m² at a depth of 700 m in the
 997 Pacific Ocean, with more heat ~~diffusing~~ was diffused downward in the OFES1.

998 —In the Atlantic Ocean, the ~~average~~ averaged OHC trend, ~~estimated by~~ OFES1, ~~was~~ positive ~~in~~. It was, however,
 999 ~~the OFES1 but~~ negative in the OFES2, ~~with a difference~~ different of by 0.129 W/m². A VHA of -1.585 W/m² was
 1000 calculated for ~~the~~ OFES2, ~~which was~~ 32% stronger than that for ~~the~~ OFES1. Additionally, more heat was lost through
 1001 ~~the~~ P1 into the Indian Ocean, and more heat was advected into the Atlantic Ocean through the Drake Passage in the
 1002 OFES1. Differences also existed in the heat advection between the Atlantic Ocean, and the Southern Ocean (P6) and

the Arctic (P10) Oceans. The vertical heat transport (VHA + VHD) at the 300 m in the Atlantic Ocean (Tab. 2) was close between the two OFES data. The resulting-inferred VHD through-at the depth of 700 m in the Atlantic Ocean was upward in both datasets, but-although it was stronger by 0.393 W/m² stronger in the OFES2.

—The average-averaged OHC trend in the Indian Ocean was weakly negative for both the-OFES1 and OFES2. OFES2 demonstrated that the heat advected downward at a depth of 700 m was increased by 0.142 W/m². There was more heat (by 0.142 W/m²) was advected downward at a depth of 700 m in the OFES2. Horizontally, 0.121 W/m² more heat was acquired from the Atlantic Ocean (through the-P1) in the OFES1, but-however, there were negligible-neglectable differences in the lateral heat transport through the other-others passages connecting the Indian Ocean with the-other basins. The time-averaged VHD at 700 m in the Indian Ocean was 0.423 W/m² in the-OFES1 and 1.083 W/m² in the-OFES2.

—To summarize, the notable cooling trend in the Pacific and Atlantic Oceans (Fig.3), determined from the using OFES2 was mainly generated from the-vertical heat transport (VHA + VHD) processes. For example, there was a net upward heat advection at 300 m in the OFES2 Atlantic Ocean and a stronger downward heat advection at 700 m, as-As a result, more heat was lost vertically in the middle layer of the OFES2 Atlantic Ocean compared to the OFES1 Atlantic Ocean.

Table 3. As for Tab. 2 but for the middle layer (300–700 m). VHA is at a depth of 700 m.

PACIFIC OCEAN (300–700 m)									
	OHC	VHA	P3	P4	P5	P7	P8	P9	Residual
OFES1	0.017	-0.096	1.208	-0.026	-1.056	0.044	0	0	-1.679
OFES2	-0.034	-0.084	1.247	-0.030	-0.917	-0.040	0	0	-0.384
ATLANTIC OCEAN (300–700 m)									
	OHC	VHA	P1	P5	P6	P10	Residual		
OFES1	0.037	-1.203	-0.770	1.056	0.056	-0.057	1.260		
OFES2	-0.092	-1.585	-0.649	0.917	0.017	-0.102	1.653		
INDIAN OCEAN (300–700 m)									
	OHC	VHA	P1	P2	P3	P7	P8	Residual	
OFES1	-0.010	-0.519	0.770	-0.043	-1.208	-0.044	0	0.423	
OFES2	-0.013	-0.661	0.649	-0.043	-1.247	0.040	0	1.083	

Lower layer

Consistent with Fig. 4, the OFES2 showed cooling in the bottom (700–2000 m) layer of each basin, but-however, the OFES1 showed an overall warming (Tab. 4). In the Pacific Ocean, the VHA at 2000 m was downward and of-had a similar magnitude in the two OFES datasets. Owing-Due to the vertical coherence of the ACC, there was intense eastward heat advection through the-P3 and P5, even below 700 m, with the OFES2 showing greater-higher advection. The horizontal heat advection through the-P4 and P7 was relatively weak, but-again-although it was still larger in the OFES2. For example, the MHA passing through the-P7 was more than two times larger in the OFES2. In fact, more heat advected southward into the Indian Ocean through the ITF, which was found in all the ocean layers (the-OFES1 showed a weakly northward heat advection in the middle layer). As a result of these differences, and the estimated VHA and VHD at a depth of 700 m, we calculated a significant difference of approximately 1.252 W/m² in the VHD

1030 (in the downward direction) between the two OFES datasets at a depth of 2000 m in the Pacific Ocean—of
 1031 approximately around 1.252 W/m^2 in the downward direction.

1032 —Unlike at 2000 m in the Pacific Ocean, OFES2 reflected that there was a much significantly stronger downward
 1033 heat advection at 2000 m in the OFES2-Atlantic Ocean. The dominant horizontal heat advectons were through the P1
 1034 and P5, with the OFES2 showing stronger heat advection at both the two passages. We calculated a downward heat
 1035 diffusion at a depth of 2000 m of 0.216 W/m^2 in the OFES1 Atlantic Ocean and an upward VHD of 0.383 W/m^2 in
 1036 the OFES2 Atlantic Ocean.

1037 —In the Indian Ocean, the calculated that the downward heat advection was ~~twicetwo astimes strongstronger~~ in the
 1038 OFES1; there were also some moderate differences in the horizontal heat advection. The resulting VHD at 2000 m
 1039 was upward in both the OFES1 and OFES2, but although it was much greater (by 0.455 W/m^2) in the latter.

1040 —In To summary summarize, the differences in the lateral heat advection through the major passages P1–P10 in the
 1041 lower layer were was small, and the major drivers of the examined OHC differences between the OFES1 and OFES2
 1042 came were generated largely from the vertical heat transport (VHA + VHD), similar to the situation in the middle
 1043 layer.

1044 **Table 4.** As for Tab. 2 but for the lower layer (700–2000 m). VHA is at a depth of 2000 m.

PACIFIC OCEAN (700–2000 m)									
	OHC	VHA	P3	P4	P5	P7	P8	P9	Residual
OFES1	0.058	-0.126	0.951	-0.04 7	-1.12 0	-0.035	0	0	-1.341
OFES2	-0.037	-0.105	1.146	-0.08 0	-1.29 4	-0.082	0	0	-0.089
ATLANTIC OCEAN (700–2000 m)									
	OHC	VHA	P1	P5	P6	P10	Residual		
OFES1	0.014	-0.029	-0.97 4	1.120	0.066	0.105	-0.216		
OFES2	-0.013	-0.536	-1.05 9	1.294	0.003	-0.031	0.383		
INDIAN OCEAN (700–2000 m)									
	OHC	VHA	P1	P2	P3	P7	P8	Residual	
OFES1	0.007	-0.241	0.974	-0.03 3	-0.95 1	0.035	0	0.126	
OFES2	-0.018	-0.120	1.059	-0.05 2	-1.14 6	0.082	0	0.581	

1045

1046 4 Conclusions and Discussion

1047 In this study paper, we estimated the OHC from based on two eddy-resolution hindcast simulations, OFES1 and OFES2,
 1048 with a major focus on estimating their differences. The global observation-based dataset EN4 acted as a reference.
 1049 The main findings of this study are were as follows:-

1050 —1. Multi-decadal warming was clearly ~~seen-evinced~~ in most of the global ocean (0–2000 m), especially in the EN4
1051 and OFES1 ~~dataset~~. The warming was ~~mainly-dominantly~~ manifested as deepening of the neutral density surfaces
1052 (HV component), with ~~a~~ changes along the neutral surfaces (SP component) of regional importance.

1053 —2. Significant differences in the OHC (or potential temperature) were found between ~~the~~ OFES1 and OFES2; the
1054 major causes for these were fourfold. ~~First~~~~Firstly~~, ~~there was generally more~~~~the~~ net surface ~~HF~~~~heat flux in in the~~
1055 ~~OFES2~~ ~~was generally higher~~~~weaker~~. ~~Second~~~~Secondly~~, the ITF was almost ~~twice~~~~two~~ ~~as~~~~times~~ ~~strong~~~~stronger~~ in the
1056 OFES2, especially in the top 300 m. ~~Third~~~~Thirdly~~, the differences in the intensity of the ~~VHA~~~~vertical heat advection~~
1057 were large, particularly at ~~a depth of~~ 300 m in the Indian Ocean. Finally, remarkable differences in the vertical heat
1058 diffusion were inferred.

1059 —3. Overall, the global and basin-integrated OHC estimates ~~over the 57-year period~~ ~~years for the period 1960–2016~~
1060 ~~are generally~~~~were~~ reasonable ~~in for~~ the top 700 m ~~upon considering~~ ~~of in~~ the OFES1 ~~results~~. Below 700 m, multi-
1061 decadal climate changes derived from the OFES1 need careful evaluations, ~~although even though~~ the ~~estimates of~~
1062 global OHC ~~estimate~~ between 700–2000 m ~~is~~ ~~are~~ highly correlated with observations. The notable differences
1063 between ~~the~~ OFES2 and EN4 ~~suggests~~~~suggested~~ that attention is clearly warranted ~~when making conclusions~~~~while~~
1064 ~~concluding~~ on multi-decadal climate changes ~~based on~~ ~~the~~ OFES2.

1065 —Although we have detailed the OHC differences between the OFES1 and OFES2, and also ~~analyzed~~~~analysed~~ the
1066 horizontal and vertical heat ~~transport~~~~transports~~ in an attempt to understand the causes of these differences, ~~more~~ ~~further~~
1067 work is ~~needed~~ ~~required~~ ~~to for~~ ~~improve~~~~improving~~ this field. ~~First~~~~Firstly~~, a direct calculation of the vertical heat
1068 diffusion ~~is~~~~was~~ desirable to ~~obtain~~~~have~~ a more reliable and accurate comparison between the two ~~datasets~~~~OFES~~. In
1069 addition, decomposing the vertical heat diffusion into tidal mixing and mixed-layer vertical mixing is also an
1070 interesting topic, and ~~may~~ help to isolate the effects of tidal mixing ~~on in~~ the ocean state. ~~In addition~~~~Besides~~, we expect
1071 to see a detailed comparison of the wind stress from these two datasets over ~~this the~~ ~~57-year period~~ ~~years~~. This is
1072 inspired by the work of Kutsuwada et al. (2019) and our detection of the large differences in ~~the~~ ~~VHA~~~~vertical heat~~
1073 ~~advection~~. Considering the apparent differences ~~in of~~ the SP between ~~the~~ OFES2 and the other two datasets, a
1074 comprehensive comparison of salinity between both ~~the~~ OFES1 and OFES2, ~~along~~ with observations, ~~was~~~~were~~
1075 required. This helped the community ~~to~~ determine their choice of datasets for their ~~own~~ research purposes.

1076 —One may argue that ~~being not~~~~the inability to well~~ spun-up ~~completely~~ ~~may be the major~~~~could be the likely~~ cause
1077 for the identified differences between the OFES2 ~~and with~~ ~~others~~~~other datasets~~, since ~~that~~ the OFES1 followed a 50-
1078 year climatological simulation ~~but OFES did not~~. ~~This is likely to be the~~ ~~cause~~. However, large differences between
1079 the two OFES datasets ~~remain~~ ~~can be seen~~ in the temporal evolution of ~~the~~ global and basin OHCs, even during the
1080 last two decades. In addition, ~~for example~~, ~~S2020~~ found that the Azores Current was simulated in the OFES2 in the
1081 initial two decades, ~~however, it~~ ~~but~~ disappeared after 1970. This, to some extent, ~~weakens~~~~weaken~~ the spin-up argument,
1082 ~~but~~ ~~although it~~ does not rule out the possibility ~~completely~~. ~~The~~ OFES2 was not expected to be highly sensitive to the
1083 spin-up issue, ~~as~~ ~~because the starting conditions are~~ from OFES1. ~~That said~~, there were indeed some improvements in
1084 the OFES2 ~~for~~ ~~during~~ the recent decades, for example, ~~from~~ ~~to~~~~over~~ 2005–2016 (not shown here). Two potential
1085 explanations are ~~as follows~~: ~~First~~~~firstly~~, the model was ~~full~~ ~~completely~~~~well~~ spun-up after a couple of decades of

1086 integration; ~~second~~secondly, improvements ~~in~~of the reanalysis ~~of~~ atmospheric forcing data contributed to ~~the~~
1087 improvements in simulation ~~improvements~~.

1088 —As mentioned above, ~~the results based on~~ EN4 should not be ~~considered~~taken as the ~~the most ideal dataset~~truth.
1089 ~~Factors~~ Several factors such as mapping methods and data ~~ingested~~ ingestion~~assimilated~~ impact the resulting quality
1090 of ~~the~~those ~~objective analysis~~observational-based products, and ~~may~~ might ~~consequently~~ alter our conclusions ~~here~~
1091 ~~consequently~~. As a preliminary test of robustness, we compared the temporal evolution of ~~the~~ OHC (Fig. S10)₂ and
1092 ~~the~~ spatial ~~patterns~~pattern of the long-term potential temperature trend (Fig. S11) ~~between~~ determined using EN4 and
1093 two ~~more~~ datasets, G10 and IAP. G10 is the most ~~up-to-date~~up-to-date version of EN4 datasets (EN4.2.2) with ~~bias~~
1094 bias-corrected following Gouretski and Reseghetti (2010)₂; and IAP is the dataset from the Institute of Atmospheric
1095 Physics (Cheng and Zhu, 2016). The primary difference between ~~the~~ EN4 (~~bias~~ bias-corrected following Levitus et al.
1096 (2009)) and G10 lies in the bias correction methods, whereas IAP differs from EN4 in assimilated datasets, ~~bias~~
1097 ~~correction, and~~ mapping methods, and among others. The high-large similarities between EN4 and G10 suggest that
1098 the different correction methods do not lead to notable differences in the resulting state ~~estimates~~estimate. On the other
1099 hand, there ~~were~~ do exist some differences between the IAP and both EN4 and G10. This may indicate that the applied
1100 mapping method ~~applied~~ causes some discrepancies among different oceanic products, which is consistent with
1101 Cheng and Zhu (2016).

1102 —Finally, in absence of any observation-based constraints, the OFES products, especially the OFES1, have
1103 ~~captured~~did capture some of the warming and cooling trends shown by ~~the~~ EN4 and in the literature, ~~despite their~~
1104 ~~having no observation based~~observational based constraints. However, ~~the~~ clear differences between the two OFES
1105 datasets and ~~the~~ EN4 suggest the importance of observational data in improving the performance of the hindcast
1106 performance. The significant differences in the vertical heat diffusion between the two OFES datasets also suggest
1107 that special attention should be given to the validation of the vertical mixing scheme in future ocean modelling.

1108
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1111
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1118
1119 **Code and data availability:** OFES1 and OFES2 are based on the MOM3, available at [https://github.com/mom-](https://github.com/mom-ocean/MOM3)
1120 [ocean/MOM3](https://github.com/mom-ocean/MOM3). Code for decomposing the potential temperature: <http://www.teos-10.org/software.htm>. Original EN4
1121 data: <https://www.metoffice.gov.uk/hadobs/en4/download-en4-2-1.html>. Original OFES1 temperature and salinity
1122 data: http://apdrc.soest.hawaii.edu/dods/public_ofes/OfES/ncep_0.1_global_mmean. Due to a data security incident,

1123 access to the OFES2 data has been temporarily suspended. The data and codes (including the publically available
1124 scripts for completion) needed to reproduce the results of this paper are archived on Zenodo
1125 (<https://doi.org/10.5281/zenodo.5205444>). The archived data are annual mean values calculated from the original data.

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