# Assessment of the Finite VolumE Sea Ice Ocean Model (FESOM2.0), Part II: Partial bottom cells, embedded sea ice and vertical mixing library <a href="CVMixCVMIX">CVMixCVMIX</a>

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#### **Abstract**

The second part of the assessment and evaluation of the unstructured-mesh Finite-volumE Sea ice-Ocean Model version 2.0 (FESOM2.0) is presented. It focuses on the performance of partial cells, embedded sea ice and on the effect of mixing parameterisations available through the <a href="CVMixCVMIX">CVMIX</a> package.

It is shown that partial cells and embedded sea ice lead to significant improvements in the representation of the Gulf Stream and North Atlantic Current as well as the circulation of the Arctic Ocean. In addition to the already existing Pacanowski and Phillander (fesom\_PP) and K-profile (fesom\_KPP) parameterisations for vertical mixing in FESOM2.0, we document the impact of several mixing parameterisations from the Community Vertical Mixing (CVMixCVMIX) project library. Among them are the CVMixCVMIX versions of Pacanowski and Phillander (cvmix\_PP) and K-profile (cvmix\_KPP) parameterisations, the tidal mixing parameterisation (cvmix\_TIDAL), a vertical mixing parameterisation based on turbulent kinetic energy (cvmix\_TKE) as well as a combination of cvmix\_TKE and the recent scheme for the computation of the Internal Wave Dissipation, Energy and Mixing (IDEMIX). The-IDEMIX parameterises the redistribution of internal wave energy through wave propagation, nonlinear interactions and the associated imprint on the vertical background diffusivity. Further, the benefit from using a parameterisation of southern hemisphere sea ice melt season mixing in the surface layer (MOMIX) for reducing Southern Ocean hydrographic biases in FESOM2.0 is presented. We document the implementation of different model components and illustrate their behaviour. This paper serves primarily as a reference for FESOM users but is also useful to the broader modelling community.

#### 1 Introduction

Global unstructured-mesh ocean models start to be widely used in climate studies, including the recent CMIP6 simulations (Semmler et al., 2020), although structured-mesh ocean general circulation models are still more mature in terms of features, functionality and complexity due to their long development history.

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3 However, step by step, also the unstructured-mesh ocean models acquire new features and catch up in their 38 functionality. This paper continues the work by Scholz et al. (2019) in documenting the features available in 39 Finite volumE Sea ice Ocean Model version 2.0 (FESOM2.0, Danilov et al., 2017). It focuses on two 40 aspects. The first one is about partial bottom cells and embedded sea ice, both of which essentially rely on 41 the Arbitrary Lagrangian Eulerian (ALE) vertical coordinates used in FESOM2.0. The second one deals with 42 mixing parameterizations enabled through the use of Community Ocean Vertical Mixing (CVMix, 43 Griffies CVMIX, Griffis et al. 2015, Van Roekel et al. 2018) package. 44 Partial bottom cells were first introduced for a finite volume model by Adcroft et al., (1997), as an attempt to 45 improve the representation of the bottom topography in general ocean circulation models. Addroft et al., 46 (1997) introduces partial bottom cells as a compromise solution between the less accurate but 47 computationally efficient full cell approach and the very accurate but computationally expensive shaved cell 48 approach. Partial bottom cells are implemented in FESOM2.0 by using the vertical ALE approach of 49 FESOM2.0 numerical core documented in Danilov et al. 2017. 50 Another feature made available through using ALE in FESOM2.0 is related to the sea ice-ocean interaction. 51 Naturally, sea ice, more precisely the loading of sea ice, contributes to the ocean pressure. However in many 52 ocean models, especially in the absence of surface mass fluxes or on fixed vertical grids, the loading is 53 omitted and sea ice is treated as "levitating". The option to consider sea ice loading is now implemented into 54 FESOM2.0, which is called "embedded" sea ice and was first mentioned by Hibler et al. (1998) and later 55 further introduced by Hutchings et al. (2005) and Campin et al. (2008). They state that the advection of sea 56 ice in combination with the coupling of "embedded" sea ice through ice loading can be an important source 57 of ocean variability especially in the vicinity of ice edges (Campin et al. 2008). The implementation of 58 embedded sea ice relies on the zstar vertical-coordinate option in FESOM2 and also on the fact that in the 59 moment the sea ice component is called on each time step of the ocean model. 60 Diapycnal mixing in the ocean is an essential process that acts on the ocean stratification and the distribution 61 of heat, salt as well as passive tracers like nutrients, biological agents or CO2. Various processes contributing 62 to diapycnal mixing can act with different magnitudes over a wide range of horizontal and vertical scales, 63 from several kilometers down to centimeters (Robertson and Dong, 2019). Due to the finite discretisation 64 scale in all ocean models, the mixing processes can not be resolved and thus must be parameterized. The 65 parameterisations of diapycnal mixing can be done in a variety of ways with different complexity, such as 66 boundary layer schemes like the K-profile parameterisation of Large et al. (1994) or turbulent closure 67 schemes like the one of Gaspar et al. 1990 and many others. A great innovation in the ocean modelling 68 community is the development of software packages that contain a variety of vertical mixing 69 parameterisations in a format that makes it easy to integrate them into existing model code (Fox Kemper et 70 al. 2019). One of these software packages is the Community Ocean Vertical Mixing package (CVMix, 71

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Griffies CVMIX, Griffis et al. 2015, Van Roekel et al. 2018), which now also was integrated into FESOM2.0.

CVMixCVMIX is tailored to be used in state of the art climate models to produce vertical profiles of

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5 diffusivity and viscosity (Fox Kemper et al. 2019), providing a comparable mixing implementation over a 74 wide spread of different ocean models such as MOM6, POP, MPAS and ICON. Such effort makes it easier 75 to compare these models to each other. From the <u>CVMixCVMIX</u> package we implemented the Pacanowski 76 and Philander 1981, the K-profile parameterization of Large et al. 1994 and the tidal mixing parameterisation 77 of Simmons et al. 2004. Further, the infrastructure of the CVMixCVMIX library has been used to implement 78 the turbulent kinetic energy (TKE) scheme of Gaspar et al. (1990) and the scheme for Internal Wave 79 Dissipation and Mixing (IDEMIX) of Olbers and Eden (2013) in the same way as it is done in Gutjahr et al. 80 (2020). It should be mentioned that neither TKE nor IDEMIX is yet part of the official CVMixCVMIX 81 package but will hopefully be added to the package in the future. 82 Beside the prime vertical mixing schemes, like the K-profile scheme, the Pacanowski and Phillander scheme 83 and others that have the purpose to <u>create a general deliver a usable</u> mixing parameterisation for the entire 84 ocean, and vertical mixing schemes like the tidal mixing scheme of Simmons et al. 2004 or IDEMIX that are 85 used to parametrize internal wave processes which then result in a heterogeneous background diffusivity, 86 there are also mixing parameterizations that aim at resolving regional processes. One of them was proposed 87 by Timmerman and Beckmann (2004). It parameterises the wind driven mixing in the Southern Ocean 88 especially when there is insufficient mixing during the melt seasons when other mixing schemes are used. It 89 is used in FESOM2.0 to improve the otherwise too low stratification in the Southern Ocean and Weddell 90 Sea. 91 The intention of this paper is to document the performance of the newly implemented features -- partial

The intention of this paper is to document the performance of the newly implemented features -- partial bottom cells, "embedded" sea ice, the vertical mixing parameterisations that come with the implementation of CMVIX and the local mixing parameterization of Timmerman and Beckmann (2004), based on comparing the associated hydrographic biases, changes in vertical convection and differences in Meridional Overturning Circulation, using a relatively coarse reference mesh.

The paper is structured as follows. First in Section 2 we describe the mesh configuration and model setup used in the simulations. The description and analysis of partial bottom cells, "embedded" sea ice and vertical mixing schemes is done in Section 3. A discussion and conclusion is given in Section 4.

# 2 Model configurations

We use here the FESOM2.0 coarse mesh configuration core2, which is the same mesh as in part 1. It consists 101 of ~0.13M surface vertices, with a nominal resolution of 1° in the bulk of the ocean, ~25km north of 50°N, 102 1/3° in the equatorial belt and slightly enhanced resolution in the coastal regions. In the vertical, 48 unevenly 103 distributed layers are used, with a vertical grid spacing stepwise increasing from 5m at the surface to 250 m 104 towards the bottom. 105 All model simulations are initialised from the Polar Science Center Hydrographic winter Climatology 106 (PHC3.0, updated from Steele et al., 2001) and forced by the CORE interannually varying atmospheric 107 forcing fields (Large and Yeager, 2009) for the period 1948-2009. For each simulation a spin-up over three 108

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full CORE cycles was applied, where each subsequent cycle was initialised with the final results from the preceding cycle. All modelled data shown in this work are averaged over the period 1989-2009.

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All model simulations except the one with the Turbulent-Kinetic-Energy (TKE) closure mixing of Gaspar et 112 al., 1990, use a non-constant latitude-dependent vertical background diffusivity with values between 10-4 113 m2/s and 10-6 m2/s, as described in Scholz et al., 2019. Further, all simulations use the Monin-Obukhov 114 length dependent vertical mixing parameterization of Timmermann and Beckmann, 2004 in the surface 115 boundary layer south of -50°S. The effects of this parameterisation on the simulated ocean state in 116 FESOM2.0 is described in section 3.4. The horizontal viscosity is computed via a modified harmonic Leith 117 approach (Fox-Kemper and Menemenlis, 2008) plus a biharmonic background viscosity (0.01 m<sup>2</sup>/s). For 118 coarse-mesh setups, like the one used here, FESOM2.0 uses the Gent-McWilliams (GM) parameterisation 119 for eddy stirring (Gent et al., 1995; Gent and Mcwilliams, 1990) and we follow the implementation after 120 Ferrari et al., 2010. The isoneutral tracer diffusion (Redi, 1982) coefficient equals to that of GM, same as in Scholz et al. (2019) and in previous FESOM versions (Wang et al. 2014). GM and Redi are scaled with 122 horizontal resolution with a maximum value of 3000 m<sup>2</sup>/s at 100 km horizontal resolution and change 123 linearly to zero between a resolution of 40 km and 30 km. In the vertical, they are scaled according to Ferrari 124 et al., 2010 and Wang et al., 2014. The simulations use as default the K-profile parameterisation for vertical 125 mixing (KPP, Large et al., 1994), a linear free surface (Scholz et al., 2019), levitating sea ice and a full 126 127 bottom cell approaches, unless otherwise stated.

#### FESOM2.0 model components and evaluation 3

#### 3.1 Partial bottom cells

The concept of partial cells, as an attempt to improve the bottom representation in general ocean circulation models, which was first introduced for the finite volume approach by Adcroft et al., (1997). Although an early version of partial cells was developed by Cox, (1977), and used by Semtner and Mintz, (1977) and Maier-Reimer et al., (1993), it has never got officially released (Griffies et al., 2000). Addroft et al. (1997) presented three different cases. The first one is the conventional full cell approach, where the depth of the ocean bottom is approximated with the nearest standard depth level of the vertical model discretization. The second one is the partial cell approach in which the bottom level can take any intermediate depth within the cell, thus capturing water columns more accurately. In these two cases, the bottom features a "stepped" topography and the jump of the steps is smaller for the partial cell approach (Adcroft et al., 1997). The third case introduced by Adcroft et al., (1997) is a shaved cell approach, which assumes a constant slope within each bottom cell and gives the best approximation for a continuous bottom topography. Adcroft et al. (1997) showed that the shaved cell approach gives the most accurate results, but induces a significant increase in computational demand, whereas the partial cell approach is a good compromise between the low

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computational demand of the full cell approach and the increased accuracy of the shaved cell approach. 143 Hence, most ocean models (e.g. NEMO, MOM6, MPAS, POP) including FESOM2.0 went in favor of the 144 partial cell approach. 145 For the implementation of partial cells in FESOM2.0 we follow the work of Pacanowski and Gnanadesikan, 146 (1998), which implemented partial cells for the B-grid discretization in MOM2 with efforts to minimize 147 pressure gradient errors and spurious diapycnal mixing. They addressed that calculating horizontal pressure 148 gradients needs some special attention for partial cells since not all grid points within the bottom layer are at 149 the same depth. In FESOM2.0, we compute pressure gradient force based on the density Jacobian approach 150 as used by Shchepetkin, (2003) and not the pressure Jacobian approach proposed by Pacanowski and 151 Gnanadesikan, (1998). The density Jacobian approach is less prone to pressure-gradient error than using 152 pressure Jacobian, and therefore the model is more stable. Furthermore, we limited the thickness of the 153 partial bottom cell to be at least half of the full cell layer thickness to reduce the possibility of violating the 154 vertical Courant–Friedrichs–Lewy (CFL) criterion. 155 Using a B-grid like discretisation, where the scalars are located at vertices of a triangular mesh while the 156 velocities are located at the centroids of the triangular elements, makes it necessary to define the partial cells 157 at both locations. First, the partial bottom depth is defined at the centroids of the triangular elements based 158 on the real bottom topography considering the aforementioned limitation. Then, the vertex vertice partial 159 bottom depth is derived from the deepest partial bottom of the surrounding triangular elements (see 160 schematic representation in Suppl. 1). 161 In order to demonstrate the effect of the partial cells on the simulated ocean state we performed two model 162 simulations using the full cell and partial cell approaches, respectively. We investigate, first, the temperature 163 biases of the full cell approach with respect to the data of the World Ocean Atlas 2018 (WOA18, Locarnini 164 et al., 2018; Zweng et al., 2018, in the left column of Fig. 1) and, second, the temperature differences 165 between partial cell and full cell (partial-full) averaged over five different depth ranges 0-250m, 250-500m, 166 500-1000m, 1000-2000m and 2000-4000m (in the right column of Fig. 1). 167 The full cell setup (Fig. 1, left) shows positive climatological temperature bias in biasin the northern and 168 southern Pacific, the Atlantic equatorial ocean as well as in the central Indian Ocean through the depth 169 ranges of 0-250m, 250-500m and 500-1000m. In the same depth ranges there are also negative biases in the 170 North Atlantic (NA) subtropical gyre and in the equatorial and southern subtropical Pacific. The depth 171 ranges of 250-500m and 500-1000m indicate cold biases in the Southern Ocean (SO) and around the coast of 172 Antarctica. The deeper depth ranges (1000-2000m and 2000-4000m.) indicate small negative temperature 173 biases in most of the world oceans, except for the Atlantic and Arctic Ocean (AO), which possess a small 174 warming bias in the depth ranges. The Arctic warming anomaly at these depths originates largely from a 175 vertically too much extended Atlantic water inflow branch (not shown), which is a typical feature of coarse 176 resolution models (e.g., <u>Ilicak et al. 2016</u><del>Hicak2016</del>). 177

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Using partial cells (Fig. 1, right) leads to profound changes especially at the position of zonal fronts in the

North and South Atlantic. In the depth ranges of 0-250 m, 250-500 m and 500-1000 m in the NA, partial 179 cells lead to a cooling in the Labrador Sea (LS) and Irminger Sea (IS) as well as along the path of the Gulf 180 Stream (GS) and North Atlantic Current (NAC), except for the area around -30°W, 50°N which is 181 characterised by warming. In the upper South Atlantic (SA), partial cells lead to a northward shift of Brazil-182 Malvinas Confluence Zone expressed by a dipole of warmer South Atlantic Current (SAC) and cooler 183 Antarctic Circumpolar Current (ACC). Further, partial cells lead to a predominant cooling in the SO Atlantic 184 sector and parts of the Indian Ocean sector, while the Pacific sector of the SO and most of the Antarctic 185 coastal areas are dominated mostly by warming anomalies. The Arctic Ocean features a slight warming 186 anomaly at all depths, except for the surface, when using partial cells instead of full cells. The table in Suppl. 187 2 shows the regional ( $-80^{\circ}\text{W} < \text{lon} < 5^{\circ}\text{E}$ ,  $35^{\circ}\text{N} < \text{lat} < 70^{\circ}\text{N}$ ) temperature standard deviation and root mean 188 square error with respect to WOA18, with and without partial cells. It proves that partial cell lead to a 189 significant improvement especially in the upper and intermediate ocean depth range, while the biases in the 190 very deep ocean marginally increase. 191

Fig. 2 shows the same as Fig. 1 but for salinity. Here, with respect to WOA18, the full cell run indicates a 192 generally fresher AO for the surface- and the 250-500 m depth range. Further negative salinity biases can be 193 found within the upper three depth ranges in the equatorial Pacific, north and south subtropical Atlantic, at 194 the position of the Atlantic northwest corner, northern IO as well as parts of the SO. Strong positive salinity 195 biases with full cells can be found in the surface depth range of the North Pacific and in the Chukchi- and 196 197 Beaufort Sea. Further positive salinity biases in the 250-500 m and 500-1000 m depth ranges are found along 198 the pathway of the Gulf Stream as well as in the equatorial Atlantic and central IO. The deep depth range of 1000-2000m has positive salinity anomalies in the Northern and Southern Atlantic and negative salinity 199 biases in the Mediterranean outflow branch and IO. 200

Using partial cells leads to an increase in salinity throughout all depth ranges of the AO relative to using full cells. Further, a salinity increase at the position of the "cold blob", in the GIN sea, in the eastern South Atlantic and parts of the SO can be observed within the upper three depth ranges. Compared to full cells, using partial cells reduces salinity along the pathway of the GS, the Antarctic Circumpolar Current (ACC) in the South Atlantic and along the coast of Antarctica.

The differences in the horizontal velocity speed between partial and full cells (Fig. 3), for the depth ranges of 206 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m, 2000-4000 m and at the bottom, reveal that with partial 207 cells the velocity in the East Greenland Current (EGC), West Greenland Current (WGC) and Labrador 208 Current (LC) are stronger by up to 0.02 m/s through all depth ranges presented here. The upper differences 209 reveal that partial cells lead to a weakening and a slight southwards shift of the NAC between -45°W and -210 30°W, and a more pronounced tendency towards a northwest bend of the NAC between -30°W and -15°W, 211 which is nevertheless still too far eastward. By using partial cells the pathway of the Irminger Current (IC) 212 moves closer to the continental slope. 213

In terms of absolute northern and southern hemispheric maximum mixed layer depth (MLD), using full cell

(Fig. 4a and 4b), FESOM2.0 features known intensive convection in the Labrador Sea and Irminger Sea, northern Greenland Sea as well as central Weddell Sea (Marshall and Schott 1999, Sallée et al. 2013, Danabasoglu et al. 2014).

In terms of absolute and anomalous northern and southern hemispheric maximum mixed layer depth (MLD), using partial cells leads to a slight MLD decrease in the southern LS, IS and northern Greenland-Iceland-Norwegian (GIN) Seas, and a slight MLD increase along the pathway of the IC and in the southern and central GIN Seas (Fig. 4e). In the southern hemisphere, partial cells have a more pronounced effect, leading to a significant, up to 1000 m, decrease in MLD in the central Weddell Sea (WS) and a minor increase in MLD of around 300 m along the eastern continental slope of the Antarctic Peninsula.

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The differences between using full cells and partial cells in Global-, Atlantic- and Indo-Pacific Overturning
Circulation (Fig. 5) are rather small with magnitudes of less than 1Sv. Both cases feature an upper AMOC
circulation cell of ~16 Sv and an Antarctic Bottom Water (AABW) cell with strength between -1 Sv and -2
Sv. One can summarize that partial cells lead to an a clear-improvement of the circulation pattern, especially
regarding the reduced zonallity branch of the Gulf Stream and NAC branch even in rather coarse resolved
configurations.

#### 3.2 Embedded sea ice

As described in Scholz et al. (2019), FESOM2.0 supports the full free surface formulation with two possible options, zlevel and zstar (Adcroft and Campin, 2004). Both options allow for surface freshwater exchanges which can modify the thickness of the surface layer and thus decrease or increase salinity in the surface layer. This avoids the need of virtual salinity fluxes, which are required in the linear free surface (linfs) approach when the layer thicknesses are kept fixed. Using virtual salinity fluxes has the potential to affect the model integrity on long timescales and change local salinities with certain biases (Scholz et al., 2019). In reality part of sea ice is embedded in the ocean with impact on the ocean pressure below. In the model, when the sea ice loading is omitted, the "levitating" sea ice (Campin et al., 2008) does not impose pressure on the ocean. This is the default case in the case of linfs but also applicable to zlevel and zstar. The other case when ice-loading is considered has "embedded" sea ice (Rousset et al., 2015), which depresses the sea surface according to its mass. Since it affects the layer thicknesses, this case is only available for the full free surface cases of zlevel and zstar. Although freezing and melting have no direct effect on the oceanic pressure, the divergence of the ice transport does modify the ice-loading fields and influences the hydrostatic

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pressure (Campin et al., 2008). As mentioned by Campin et al., 2008, this effect could be compensated by the divergence of the oceanic transport in the special case where sea ice and ocean velocities match, but in reality sea ice and ocean velocities are notrarely identical especially in the presence of high frequency wind forcing. Therefore, sea ice dynamics in combination with the ice-loading coupling can be a source of oceanic variability especially near the ice-edge where ice divergence/convergence is large (Campin et al., 2008). However, using embedded sea ice harbours the risk that the amount of sea ice loading due to excessive accumulation and the resulting depression in the surface elevation may result in a depletion of the surface layer thickness, when the zlevel option is used, where only the surface layer is allowed to change. To avoid this issue, we limit in FESOM2.0 the maximum ice loading to a sea ice height of 5m when the zlevel option is used. In case of using zstar, the problem is less severe, since here the change in elevation is distributed over all vertical layers, except for the bottom one. This makes zstar to be the recommended option when using embedded sea ice, as also stated by Campin et al., 2008.

To show the effect of embedded sea ice on the simulated ocean state, two simulations were carried out using the zstar option of FESOM2.0, one with levitating (omitting the effect of sea ice loading on ocean pressure) the other with embedded sea ice (including the effect of sea ice loading on ocean pressure).

Fig. 6 shows the sea ice concentration (SIC) for March and September in the levitating sea ice case and the difference between the embedded and levitating sea ice cases. Superimposed are the simulated (solid) and observed (dashed, Cavalieri et al., 1996) contour line of the 15% sea ice extent. The northern hemispheric March sea ice edge (Fig. 6a) shows a good agreement with observational data for the LS, IS and Bering Sea but reveals a too far southwards extension in the Greenland Sea and Barents Sea. The simulated northern hemispheric (September)September sea ice extent (Fig. 6b) is larger than the observations. The southern hemispheric (March) March sea ice extent is underestimated in the simulation, while the simulated southern hemispheric (September) September sea ice extent is in good agreement with the observation.

Using the embedded sea ice leads to an increase in the SIC in the Greenland Sea by around 6% in March. In September, embedded sea ice leads to positive SIC anomalies in the eastern- and negative anomalies in the western AO. In the southern hemisphere, embedded sea ice leads to a heterogeneous pattern of small positive and negative changes along the sea ice edge. The corresponding results for the sea ice thickness are shown in Suppl. 4, here both March and September northern hemisphere sea ice thickness anomalies reveal a dipole like pattern with reduced sea ice thickness in the area of the Beaufort gyre and increased sea ice thickness in

the easter AO and region of the transpolar drift when using embedded sea ice.

Regarding the changes in the ocean, Fig. 7 shows the temperature (left column) and salinity (right column) differences between the embedded and levitating (embedded minus levitating) sea ice cases averaged over the depth ranges 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m. The temperature and salinity differences reveal that a significant warming of up to 0.5°C and a salinification of up to 0.10 psu occurs in almost the entire AO due to embedded sea ice, except in a thin stripe along the eastern continental shelf of the AO that shows negative anomalies in the depth ranges of 0-250 m, 250-500 m and 500-1000 m.

The changes in temperature and salinity can be explained by the changes in ocean currents. Figure 8 depicts the speed of the horizontal currents in levitating (1<sup>st</sup> column) and embedded (2<sup>nd</sup> column) sea ice cases as well as their difference (3<sup>rd</sup> column). Using embedded sea ice leads to an increase in the speed along the entire boundary current of the Eurasian Basin and along the Lomonosov Ridge, that can be found in all three presented depth ranges. The increase in the velocity of the boundary currents, caused by using embedded sea ice, leads to an enhanced heat and salt transport in the Atlantic water layer originating from the Fram Strait, which results in a warmer and more saline intermediate depth in the Arctic Ocean. The increase in temperature and salinity, especially in the surface layers of the AO using embedded sea ice reduces existing local biases (see Fig. 1 and Fig. 2) that occur when using levitating sea ice. On the whole it can be stated that using embedded sea ice instead of levitating sea ice has some significant effect on the ocean dynamics of the AO, but no effect in the Southern Ocean or Antarctic marginal seas.

#### 3.3 Implementation and evaluation of vertical mixing schemes

Besides the already existing Pacanowski and Philander (fesom\_PP, Pacanowski and Philander, 1981) and MOM4MOM5 K-profile (fesom\_KPP, Large et al., 1994) vertical mixing parameterizations in FESOM2.0 that were based on the implementation in the predecessor version FESOM1.4, the vertical mixing parameterizations of the Community Vertical Mixing (CVMixCVMIX, Griffies et al., 2015) project have been now added as well. This includes the CVMixCVMIX vertical mixing of: Pacanowski and Philander (cvmix\_PP), the POP (Parallel Ocean Program) MOM6-K-profile (cvmix\_KPP) parameterization, the tidal mixing parameterization of Simmons et al., (2004) (cvmix\_TIDAL) and the turbulent kinetic energy (cvmix\_TKE) mixing of (Gaspar et al., 1990) in combination with the Internal Wave Dissipation, Energy and Mixing (IDEMIX) parameterization (Olbers and Eden, 2013 and Eden and Olbers, 2014). Although cvmix\_TKE and IDEMIX are not yet a part of the CVMixCVMIX project, they use its libraries in the background and will join the project in the future. CVMixCVMIX is used by a variety of models, such as MOM6, POP, MPAS or ICON and provides an opportunity of a cross model-spanning vertical mixing implementation that allows for an enhanced cross-model intercomparison.

# 3.3.1 Comparison of cvmix\_KPP, cvmix\_PP with previous fesom\_KPP and fesom\_PP implementation

In FESOM2.0 we implemented cvmix\_PP and cvmix\_KPP in addition to its previous implementations fesom\_PP and fesom\_KPP that were adopted from FESOM1.4. The difference between cvmix\_PP and fesom\_PP lies in the background coefficient for viscosity which is considered in cvmix\_PP but not in fesom\_PP when computing the diffusivity, following the experience with FESOM1.4 which did not need to be more diffusive. The difference between cvmix\_KPP and fesom\_KPP lies mainly in the treatment of the squared velocity shear and buoyancy difference with respect to the surface, although CVMix does not make any specific requirements here. In cvmix\_KPP we synchronized the implementation with our project partner

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models MPIOM and ICON-o and compute the cymix KPP surface quantities the surface quantities are computed by averaging over 10% of the boundary layer depth as recommended by the Monin-Obukov surface layer (Griffies et al. 2015) while in fesom KPP the surface values are linked to the first layer in the model which was inspired by the implementation in the older MOM4.

Suppl. 52 displays the temperature (1st and 2nd column) and salinity (3rd and 4th column) biases of fesom KPP 325 with respect to WOA18 (1st and 3rd column) as well as the difference between fesom PP and fesom KPP (2nd 326 and 4th column). In the surface depth range the climatological temperature and salinity biases of fesom KPP with respect to WOA18 are largely negative in the tropical and subtropical Pacific, North and South Atlantic 328 as well as AO, and positive in tropical Atlantic and Indian Ocean, Southern Ocean, Labrador Sea, GIN Seas 329 and the marginal seas of the North Pacific. The subsurface depth ranges of 250-500 m and 500-1000 m are 330 dominated by largely positive temperature biases, except for the Southern Ocean, the pathway of the GS and 331 NAC and the northern Indian Ocean. The salinity biases in the 250-500 m and 500-1000 m depth range 332 preserve largely the pattern from the surface layer except for an increasing and expanding positive salinity 333 bias in the tropical Atlantic, reduced positive salinity biases in the Indian Ocean and northern Pacific as well 334 as reduced negative biases in the Arctic Ocean. The 1000-2000 m depth range features small warm biases in 335 the AO and GIN seas, positive temperature and salinity biases in the LS and the South Atlantic, negative 336 temperature and salinity biases in the eastern North Atlantic (possibly due to weak mediterranean outflow) 337 and small negative temperature and salinity biases in the Pacific and Indian Ocean. The very deep depth 338 range of 2000-4000 m reveals rather small warming bias for the entire Atlantic and SO.

fesomFesom KPP and fesom PP produced rather small temperature and salinity differences (note different colorbar ranges between 1st & 2nd and 3rd & 4th column), considering the biases with respect to the WOA18 climatology. Employing fesom PP has the tendency to be slightly warmer almost everywhere in the subsurface layers and slightly saltier especially in the AO and fresher in the surface layer of the subtropical and equatorial ocean compared to using fesom KPP. Looking at the maximum MLD between fesom PP and fesom KPP (Suppl. 6) it can be seen that fesom PP has the tendency to produce an up to 500m shallower deep convection in LS and WS when compared to fesom KPP.

Fig. 9 shows the difference in temperature (1st column), salinity (2nd column) and vertical diffusivity (3rd column) between cymix KPP and fesom KPP (cymix KPP minus fesom KPP) averaged over five different depth ranges. The last column presents the fesom KPP vertical diffusivity as a reference. Also here, the temperature and salinity differences are rather small compared to the climatological biases shown in Suppl. 52. cvmix KPP has the tendency to produce in the marginal seas of the AO a slightly fresher surface ocean, while the central AO shows an increase in salinity by  $\sim 0.1$  psu.

The absolute value of the vertical diffusivity in fesom KPP is larger than that in cvmix KPP in the surface 353 layers as well as in regions of unstable stratification (buoyancy frequency < 0), superimposed on a non-354 constant background diffusivity as described in Scholz et al., 2019. The different treatment of the squared 355 velocity shear and buoyancy difference with respect to the surface in cvmix KPP leads to a reduction of the 356

vertical diffusivity (3<sup>rd</sup> column) in the Labrador and Irminger Seas and to an increase in the AO locally by up to one order of magnitude (especially in the deep ocean).

The differences in MLD between fesom\_KPP and cvmix\_KPP are presented in Fig. 10, where and a) and b) show the absolute MLD value for fesom\_KPP in the northern hemisphere in March and in the southern hemisphere in September respectively. Fig. 10 c) and d) display the corresponding anomalies between cvmix\_KPP and fesom\_KPP (cvmix\_KPP-fesom\_KPP). The absolute MLD values for fesom\_KPP in March show high values of up to 3300 m in the entire LS and parts of the Irminger Sea, intermediate values of up to 2000 m in the northern and eastern GIN seas and values of ~900m along the eastern continental slope of the North Atlantic. In the southern hemisphere in September, fesom\_KPP simulates a\_large MLD of ~2500 m in the central Weddell Sea and weaker MLD of ~500 m in the band of the Antarctic Circumpolar Current (ACC). Compared to the fesom\_KPP, cvmix\_KPP leads to a ~200 m weaker MLD in the boundary currents of the LS, southern LS and along the northeastern continental slope of the GIN seas, and slightly larger MLD values in the IS and southwestern GIN Seas. The KPP ocean boundary layer depth (OBLd, Large et al. 1994) for fesom\_KPP and the difference in OBLd between cvmix\_KPP and fesom\_KPP is additionally presented in Suppl. 7, where it is shown that cvmix\_KPP produces a around 150 m shallower OBLd which is largely attributed to the different treatment of the surface quantities by averaging over 10% of the boundary layer depth.

Fig. 11 presents the differences in temperature (1st column), salinity (2nd column) and vertical diffusivity Kv (3rd column) between cvmix\_PP and fesom\_PP (cvmix\_PP minus fesom\_PP) as well as the absolute values of vertical diffusivity for fesom\_PP (4th column). For the upper two surface depth ranges, cvmix\_PP shows an overall small warming anomaly, except for the Gulf of Guinea in the 250-500 m depth range where the anomaly is negative. The salinity with cvmix\_PP has overall slight positive anomalies, except for coastal Arctic areas and the Gulf of Guinea which indicate a slight freshening anomaly when compared to fesom\_PP. The depth ranges below 500 m show no significant temperature or salinity differences between cvmix\_PP and fesom\_PP. The absolute value of Kv in fesom\_PP also shows also larger values all over the surface layer as well as in the areas of unstable stratification similar to fesom\_KPP, but with a lower magnitude and a more extended region of increased Kv in the LS and IS. The Kv difference between cvmix\_PP and fesom\_PP shows sporadically positive values along the coastal Arctic Ocean and in parts of the North Atlantic and GIN Seas. As one would expect, cvmix\_PP has an order of magnitude larger values in the very deep ocean layer where the background viscosity enters the computation of Kv in cvmix\_PP.

Fig. 12 presents the absolute and anomalous MLD between fesom\_PP and cvmix\_PP. The MLD in fesom\_PP in March is deep in the entire LS and in parts of the IS, but slightly weaker and less spatially extended when compared to fesom\_KPP (Fig. 10). The MLD in the GIN seas is very similar between fesom\_PP and fesom\_KPP. In the southern hemisphere the September MLD in fesom\_PP shows a pattern in the central Weddell Sea which is similar to that in fesom\_KPP, but shallower by ~500 m. The MLD difference between cvmix\_PP and fesom\_PP in the northern hemisphere indicates a very heterogeneous

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pattern for the North Atlantic and in the southern hemisphere an up to ~150 m deeper MLD in the Weddell Sea MLD for cvmix\_PP compared to fesom\_PP. Overall, the difference in the simulation results induced by the difference in the two implementations of mixing schemes is generally small when considering the model biases relative to observations.

#### 3.3.2 Effects of tidal mixing parameterization of Simmons et al. (2004)

The tidal mixing parameterization of Simmons et al., (2004) provided by <u>CVMixCVMIX</u> has been added to 398 FESOM2.0. This mixing parameterization takes into account effects from internal wave generation due to 399 tides over rough bottom topography. The breaking of internal waves in the vicinity of topographic features 400 excites small-scale turbulence and leads to an enhanced vertical mixing. The tidal mixing parameterization 401 uses a two dimensional map of tidal energy dissipation flux due to bottom drag and energy conversion into 402 internal waves from Jayne and St. Laurent, (2001). It is transformed under consideration of a vertical 403 redistribution function, the modelled buoyancy frequency and a tidal dissipation efficiency and mixing 404 efficiency into a 3D map of diapycnal tidal vertical mixing, which is added to a primary vertical mixing 405 scheme like PP, KPP or TKE. To show the effect of the tidal mixing parameterization we conducted a 406 simulation using both cvmix KPP and the tidal vertical mixing (cvmix\_KPP<sub>TIDAL</sub>). This simulation will be 407 compared with a control run with cymix KPP in which the tidal mixing is not considered. The differences in 408 temperature (1st column), salinity (2nd column) and vertical diffusivity Kv (3rd column) between 409 cvmix KPP<sub>TIDAL</sub> and cvmix KPP averaged over five different depth ranges are presented in Fig. 13. The last 410 column of Fig. 13 shows the cvmix KPP Kv as a reference. The temperature anomalies of the upper three 411 depth ranges indicate that cvmix KPP<sub>TIDAL</sub> is colder especially in the marginal seas of the North Pacific, e.g. 412 Sea of Japan, Sea of Okhotsk and Bering Sea, within the branch of the Gulf Stream (GS) and North Atlantic 413 Current (NAC) as well as in the GIN- and Barents Seas. The Arctic Ocean shows a cooling anomaly for the 414 500-1000 m and 1000-2000 m depth range. In the southern hemisphere the entire Southern Ocean is slightly 415 colder when including the tidal vertical mixing. The tropical and subtropical ocean indicates a slight 416 warming for cvmix KPP<sub>TIDAL</sub>. 417 The salinity anomalies between cvmix KPP<sub>TiDAL</sub> and cvmix KPP show a pattern similar to that of the 418 temperature, with a freshening in the marginal seas of the North Pacific, GS, NAC, GIN- and Barents Seas as 419 well as for the Southern Ocean. The upper depth range indicates an increase in salinity for the AO, while the 420 subsurface depth ranges show an AO freshening when including the tidal mixing. The tropical and 421 subtropical ocean shows largely an increase in salinity under cymix KPP<sub>TIDAL</sub>. 422 The difference in vertical diffusivity shows for cymix KPP<sub>TIDAL</sub> an increase by an order of magnitude along 423 the sloping bottom topography (e.g. the Midatlantic Ridge or Indonesian region) but also along the 424 continental shelf regionsall topographic features which is induced by the tidal vertical mixing 425 parameterization. On top of that the central AO shows a reduced vertical diffusivity by at least an order of 426 magnitude for the 250-500 m, 500-1000 m and 1000-2000 m depth ranges, which comes from a change in 427 local hydrography when including the tidal vertical mixing parameterization and the associated difference in 428

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the KPP mixing scheme.

To further understand the effect of the tidal vertical mixing, Fig. 14 shows the global zonal mean temperature and salinity differences between the case of cymix KPP and and the WOA18 (a, c) and the differences between cvmix KPP<sub>TIDAL</sub> and cvmix KPP (b, d). The temperature of cvmix KPP shows a rather strong warming bias until 1000 m for the tropical and subtropical ocean as well as until ~2500 m for the ocean north of 50°N with respect to WOA18 (Fig. 14a). The deep ocean features small negative temperature anomalies for the tropical and subtropical ocean and slightly positive biases for the deep SO, when compared to WOA18. The salinity biases of the cvmix KPP case (Fig. 14c) indicate a more heterogeneous but nevertheless similar picture. Also here positive salinity biases can be seen in the tropical and subtropical ocean until around 1000m as well as until ~2500m for the ocean north of 50°N. Looking at the temperature and salinity difference between cvmix KPP<sub>TIDAL</sub> and cvmix KPP, it can be seen that the tidal mixing of Simmons et al., (2004) leads to a cooling and freshening of the Southern Ocean and the ocean north of 50°N as well as a warming and salinification for the tropical and subtropical ocean until around 1500m. The deep ocean experiences a general slight warming and freshening due to the inclusion of the tidal mixing parameterization. In general one can summarize that the tidal mixing parameterization of Simmons et al., (2004) helps to improve some of the biases with respect to WOA18. The last panel in Fig 14e shows the global zonal averaged vertical diffusivity profiles between cvmix KPP<sub>TIDAL</sub> and cvmix KPP and reveals a general strong increase in Kv along the continental slope in the southern ocean, in the northern hemisphere north of 50°N as well as in the deep ocean interior. To illustrate the effect of Simmons et al., (2004) tidal mixing parameterization onto the MLD, Fig. 15 presents the northern hemisphere (March) March (a) and southern hemisphere (September) September (b) MLD in the case of cymix KPP, and the difference in MLD between cymix KPP<sub>TIDAL</sub> and cymix KPP also for northern hemisphere (March) March (c) and southern hemisphere (September) September (d). In the northern hemisphere in March, tidal mixing leads to an increase in the MLD within the boundary currents of the LS, southern and eastern GIN Seas as well as in the Sea of Okhotsk. In the southern hemisphere (September) September, tidal mixing leads to a significant ~1000 m increase in the Weddell Sea MLD. This significant increase originates largely from enhanced mixing of very cold surface waters along the

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#### 3.3.3 Effects of Turbulent-Kinetic-Energy (TKE) mixing parameterisation

More elaborate parameterizations of the vertical mixing in the ocean can be achieved by using closure schemes of turbulent kinetic energy (TKE) and the associated turbulent mixing within the mixed layer and below. One of these turbulent closure schemes is by Gaspar et al. (1990) that has been implemented via <a href="CVMixCVMIX">CVMixCVMIX</a> (cvmix\_TKE) into FESOM2.0 based on the work of Eden et al. (2014) and Gutjahr et al.

continental slope of the Weddell Sea due to the tidal mixing parameterization. Suppl. 8 shows the KPP

OBLd for cymix KPP and the difference in OBLd between cymix KPP with and without the tidal mixing of

Simmons et al., (2004). It shows that with cvmix KPP<sub>TIDAL</sub> the OBLd enhances especially in the western LS.

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(2020). The turbulence closure scheme requires the solving of the second-order equation for TKE which is closed by connecting the vertical diffusivity with the turbulent kinetic energy and a length scale for its dissipation (Eden et al., 2014). For the background diffusivity we do not use here-the latitude and depth dependent background diffusivity as in the previous mixing schemes. Instead, a constant minimum value of TKE is assumed, which takes into account the ocean interior mixing by internal wave breaking. To understand the effect of cymix TKE on oceanic hydrography, Fig. 16 presents the temperature and salinity biases of cvmix\_TKE with respect to WOA18 (1st and 3rd column). To relate cvmix TKE to the other vertical mixing schemes (e.g. KPP), the temperature and salinity differences between fesom KPP and cvmix TKE (2<sup>nd</sup> and 4<sup>th</sup> column) are shown as well. In general, the cvmix TKE temperature and salinity biases with respect to WOA18 look largely very similar to the biases of fesom KPP shown in Supp2. 1 (1st and 3rd column) in terms of the spatial patterns. A closer inspection of temperature and salinity differences between cvmix\_TKE and fesom\_KPP (Fig. 1618, 2nd and 4th column) reveals that cvmix TKE produces an up to 0.5°C colder ocean within the 0-250 m, 250-500 m and 500-1000 m depth ranges in most of the ocean, a strong warming along the pathway of the NAC and the southern polar front in the South Atlantic, and small warming biases in the AO and SO. The salinity differences between cvmix TKE and fesom KPP indicate a salinification of the AO throughout the 0-250 m, 250-500 m and 500-1000 m depth ranges, but most pronounced in the surface depth range. The surface saline bias largely stems from reduced mixing under sea ice, which shields the ocean from the wind stress, a large source term of TKE. Furthermore, there are positive salinity anomalies in the North Atlantic (in the pathway of the GS and NAC), North Pacific and Southern Ocean, and largely negative salinity anomalies in the southern hemisphere. The temperature and salinity differences between cvmix TKE and fesom KPP in the depth ranges of 1000-2000 m and 2000-4000 m are rather marginal. It should be mentioned that a part of the anomalies described here could also be attributed to the different treatment of the background diffusivity. fesom KPP takes a latitude and depth dependent value (Scholz et al., 2019), while cvmix TKE assumes a constant value of minimum TKE on the surface (10e-4  $\text{m}^2/\text{s}^2$ ) and for the interior mixing (10e-6  $\text{m}^2/\text{s}^2$ ).

# 3.3.4 Effects of energy consistent combination of TKE with the Internal Wave Dissipation Energy and Mixing (IDEMIX) parameterisation

Besides the standard implementation of vertical background diffusivity in cvmix\_TKE using a constant minimum value of TKE to parameterize the effect of breaking of internal waves, cvmix\_TKE also allows for the usage of a more sophisticated parameterization of internal wave breaking when combined with the IDEMIX parameterization (Olbers and Eden, 2013; Eden et al., 2014) which describes the energy transfer from sources towards sinks of internal waves by using a radiative transfer equation of weakly interacting internal waves. The resulting dissipation of energy is then treated as a source term in the turbulent kinetic energy balance equation leading at the end to an energetically more consistent interpretation of the internal ocean mixing process (Eden et al., 2014; Gutjahr et al., 2020). Thereby, IDEMIX solves for the propagation of low-mode internal waves far from their generation sites, which is considered by Fox-Kemper et al., (2019)

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as one of the most difficult components of the internal wave energy budget. Different from the tidal mixing parameterization of Simmons et al., (2004), which only represents the generation of internal waves by barotropic tides and their breaking at rough topography, IDEMIX considers both the internal waves due to barotropic tides and the internal waves induced by wind-stress fluctuations and exiting at the base of the mixed layer (Gutjahr et al., 2020). The combination of cvmix TKE and IDEMIX to improve the energetic consistency of ocean models is a rather new approach in the modelling community. It has been evaluated for stand-alone ocean models (Eden et al., 2014; Nielsen et al., 2018; Pollmann et al., 2017) and coupled models (Nielsen et al., 2019). Further, the computed TKE dissipations rates from IDEMIX have been evaluated against observational Argo float-derived dissipation rates by Pollmann et al. (2017) and have been found to be in good agreement (Gutjahr et al., 2019). In this part of the FESOM2 documentation, two FESOM2.0 simulations with cvmix TKE, one with and one without the usage of IDEMIX, are compared to assess the effect of IDEMIX on the modelled hydrography. Fig. 17 presents the temperature (1st column), salinity (2nd column) and vertical diffusivity (3rd column) differences between cymix TKE with IDEMIX versus without it, averaged over five different depth layer ranges. As a reference the vertical diffusivity of cvmix TKE without IDEMIX is also shown in the 4th column. The temperature differences indicate a clear warming of all equatorial and mid-latitudinal oceans and a cooling in the AO, SO and the marginal seas of the North Pacific throughout almost all the depth ranges, when cymix TKE is used with IDEMIX. There is a particularly strong warming in the surface and subsurface depth range of the North Atlantic, in the subsurface depth range of the south Pacific and in the deeper depth ranges of the Indian Ocean. The salinity differences (2<sup>nd</sup> column) have a similar spatial pattern, showing a rather strong salinification of the equatorial and mid-latitudinal global oceans and a freshening of the AO, SO and North Pacific from the surface to 500-1000 m depth range. The depth ranges below indicate a predominant general freshening almost everywhere, except for the Mediterranean outflow and Indian Ocean which indicate a slight salinification warming. The differences in the vertical diffusivity between cvmix TKE with and without IDEMIX are only very small in the upper layer depth range. Therefore, all subsurface depth layers indicate considerable positive vertical diffusivity differences by up to two orders of magnitude especially along all major topographic features as well as in the SO. This shows in particular how IDEMIX parameterizes the vertical mixing due to the breaking of upwards propagating internal wave excited by barotropic tides along the ocean bottom topography but also the vertical mixing related to the internal wave breaking of downward propagating internal waves radiated out of the mixed layer like e.g. in the SO. Fig. 18 presents the global zonal mean temperature and salinity differences of cymix TKE with respect to the WOA18 (a, c) as well as the temperature, salinity and vertical diffusivity differences between cvmix TKE<sub>IDEMIX</sub> and cvmix TKE (b, d, e). The zonal mean temperature biases of cvmix TKE with respect to WOA18 (Fig. 18a) are positive for the upper SO, the equatorial and mid-latitudinal oceans between 500m until 1000m, and the high-latitude ocean north of 60°N where the warming bias extends nearly from the surface until a depth of ~2500m. A rather weak warming bias is also present for the very deep >2500m SO.

General cooling biases can be seen for the equatorial and mid-latitudinal surface oceans, between a depth of ~1000m to 2000m as well as for the very deep ocean. The salinity biases for cvmix\_TKE (Fig. 18c) show too high salinities for the high-latitude ocean north of 40°N and for the surface SO. Small salinity biases can be found in the equatorial and mid-latitudinal surface layers as well as around 40°N between ~1000 and 3000 m.

The temperature differences between cvmix\_TKE with and without IDEMIX (Fig. 18b) shows that the IDEMIX leads to a general warming of the equatorial and mid-latitudinal oceans especially between ~500 m and ~2000 m, but a cooling in the northern and southern high-latitude oceans. The salinity differences between cvmix\_TKE with and without IDEMIX reveal a similar pattern with an increase in salinity for the equatorial and mid-latitudinal ocean from the surface until a depth ~2000m and a freshening bias in the same depth range for the high-latitudinal oceans and for the entire deep ocean as well.

The corresponding vertical diffusivity difference is shown in Fig. 18e. There, using IDEMIX results in an increase in vertical diffusivity along the bottom topographic slopes in the SO and north of 50°N until 70°N.

Further, an increase in vertical diffusivity can be observed for almost the entire upper ocean until ~2000 m with deeper reaching positive anomalies between -60°S - 30°S and 30°N - 50°N. A reduction of the vertical diffusivity can be observed for the entire AO from the surface to bottom, for the equatorial and mid-latitudinal deep ocean >3000 m as well as for the deep (>4000 m) SO.

The effect of IDEMIX on the MLD is presented in Fig. 19, which shows the northern hemisphere (March)March a) and southern hemisphere (September)September b) cvmix\_TKE MLD and the corresponding anomalies between cvmix\_TKE with and without IDEMIX. It indicates that the use of IDEMIX leads to an increase in northern hemisphere MLD within the boundary currents of the LS by up to ~1000 m and in the southeastern GIN Seas by up to ~1800 m. In the southern hemisphere (September)September, IDEMIX leads to a significant increase of the Weddell Sea MLD up to ~1800 m. We observe that using cvmix\_KPP<sub>TIDAL</sub> or cvmix\_TKE<sub>IDEMIX</sub> the model cannot maintain the upper halocline in the Weddell Sea. Hence the warm water that shall stay deep is exposed to the surface and the ocean loses heat. It can be well seen from Fig. 14.b and 18.b as blobs of negative temperature differences beneath the surface. As a consequence, the enlarged MLDs in the Weddell Sea appear. We therefore recommend to combine cvmix\_KPP<sub>TIDALKPPTIDAL</sub> or cvmix\_TKE<sub>IDEMIX</sub> with the partial bottom cell approach, which has a partly compensating effect on the stratification in the Weddell Sea (see section 3.1 and Suppl. 3) and leads to a reduction of the MLD (Suppl. 94) due to improvements of the current circulation in the Weddell Sea.

### 3.4 Implementation of Monin-Obukhov length dependent vertical mixing

In this section the effect of the Monin-Obukhov length vertical mixing (MOMIX) of Timmermann and Beckmann (2004) in FESOM2.0 is discussed. In an attempt to decrease the climatological biases especially in the Southern Ocean, which were otherwise prone to significant cooling and salinification (not shown), MOMIX has been implemented into FESOM2.0 as well. MOMIX serves as a parameterisation of the wind

driven mixing in the Southern Ocean, effective especially in the melting season, which helps to reduce winter deep convection in the Weddell Sea, thus affecting the basin wide ocean- and meridional overturning circulation (Timmermann and Beckmann, 2004). MOMIX computes the Monin-Obukhov length based on heat flux, freshwater flux, wind stress, sea ice concentration and sea ice velocity following the approach of Lemke (1987), and subsequently increases the vertical diffusivity within the Monin-Obukhov length to a value of 0.01m<sup>2</sup>/s.

Due to its success in reducing the aforementioned mean biases, MOMIX is applied at the moment in FESOM2.0 per default south of -50°S. In the following, the effects of MOMIX are discussed, based on simulation of fesom KPP and cvmix TKE each with and without MOMIX.

Fig. 20 presents the temperature (1st and 2nd column) and salinity (3rd and 4th column) differences between 581 simulations with and without MOMIX for both the fesom KPP and cvmix TKE schemes, averaged over 582 five different depth ranges. Using MOMIX in the Southern Ocean leads to a significant warming of up to 583 1°C for almost the entire Southern Ocean south of -60°S throughout all considered depth ranges, except for 584 the surface depth range of the southern Weddell Sea and subsurface southern Pacific which exhibits cooling 585 anomalies. The warming anomaly is slightly more pronounced for fesom KPP than cvmix TKE. The usage 586 of MOMIX in the Southern Ocean leads in fesom KPP to a warming of the Gulf Stream and to a cooling of 587 the NAC. For cymix TKE this behaviour is reversed. The salinity anomalies indicate a freshening for the 588 entire Southern Ocean surface depth range when using MOMIX, while the subsurface depth ranges indicate 589 predominantly a slight increase in salinity, except for the southern Weddell Sea 250-500m depth range. 590

To emphasize the effect of MOMIX on the Weddell Sea MLD, Fig. 21 presents the Southern Ocean 591 September MLD for fesom KPP (a) and cvmix TIDAL (b) without MOMIX and the corresponding 592 anomalies with minus without MOMIX (c, d). The MLD for fesom KPP (a) and cvmix TKE (b) are very 593 large over the entire Weddell Sea and parts of the Ross Sea. The MLD values are higher and more extended 594 with fesom KPP than with cvmix TKE. However, for both vertical mixing schemes without using MOMIX, 595 the MLD values are way too high within the Weddell Sea and Ross Sea. The figures c) and d) visualize what 596 happens with the Southern Ocean MLD for fesom KPP and cvmix TKE when MOMIX is used. Especially 597 for fesom KPP, MOMIX leads to a significant decrease in the MLD in almost the entire Weddell Sea of up 598 to ~3000 m, except for the southwestern Weddell Sea close to the continental shelf which exhibits an 599 increase in MLD. Also the large MLD patch in the Ross Sea becomes strongly reduced when using MOMIX. 600 Both fesom KPP and cvmix TKE face the same pattern in MLD reduction when using MOMIX, only the 601 magnitude in the MLD decrease is larger in fesom KPP than in cvmix TKE. 602

Since MOMIX has a rather strong effect in reducing the Weddell Sea open-ocean deep-water formation it will also consequently affect the formation of Antarctic Bottom Water (AABW) and the Meridional Overturning Circulation (MOC). Fig. 22 shows the fesom\_KPP global (a), Atlantic (b) and Pacific (c) MOC when MOMIX is switched off and the difference from the case with MOMIX (bottom row). It can be seen that on a global but also basin-wide scale, the use of MOMIX leads to a reduction in the strength of the

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AABW, in the Atlantic by  $\sim$ 0.6 Sv and in the Pacific by up to  $\sim$ 1.7 Sv. Also the strength of the upper AMOC cell is reduced by  $\sim$ 1 Sv when using MOMIX. We conclude that using MOMIX helps to alleviate the problem of large MLDs in the Weddell Sea which we addressed above. Hence, the options cvmix\_KPP<sub>TiDAL</sub> or cvmix\_TKE<sub>IDEMIX</sub> are strongly recommended to be used in combination with MOMIX, which is per default active only South of -50°S.

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#### 4 Discussion and Conclusions

This paper describes the two new features -- partial cells and embedded sea ice introduced to FESOM2.0 and 615 the implementation of the vertical mixing library <u>CVMix CVMIX</u> (cvmix PP, cvmix KPP, cvmix TKE, 616 IDEMIX and cymix TIDAL), together with the elaboration of the effect of MOMIX. These new features 617 expand the functionality of FESOM2.0, its applicability and its ability to be better compared to other state of 618 the art ocean general circulation models. With its model components implemented, FESOM2.0 is mature for 619 its practical applications and holds its leading role in the competition of the global unstructured ocean 620 models. 621 We demonstrate the effect of using partial cells by comparing them against the full cell approach. It is shown 622 that partial cells lead to an improved representation of the Gulf Stream branch, with a reduction in the cold 623 bias in the northwest corner of the North Atlantic associated with an improved NAC pathway. Further, 624 partial cells lead to a "northwest corner like" meridional deflection of the NAC between -30°W and -15°W 625 which is still too far east, but leads to an improved representation in a rather coarse configuration which 626 would otherwise be dominated by a rather zonal NAC. Partial cells also lead to a general speed up of the 627 boundary currents shown as an example for the North Atlantic. 628 The improvement of the NAC pathway and the speedup of the boundary currents especially in the subpolar 629 gyre by using partial cells is described by a variety of publications (e.g. Barnier et al., 2006; Käse et al., 630 2001; Myers, 2002). Besides all its advantages, partial cells also harbor the risk of increasing the existing 631 biases, like in our coarse configuration the deep Arctic warm bias, which is largely inherited from the 632 Atlantic Water inflow branch that expands too deep. The tendency of partial cells to increase the velocity in 633 the boundary currents leads to an enhancement of the Atlantic Water inflow to the Arctic Ocean. As the 634 temperature in the Arctic Atlantic Water layer is already overestimated without using partial cells, the warm 635 bias becomes even larger when partial cells are used. However, this is not the principle drawback of partial 636 cells, but rather an issue of model tuning for the pan-Arctic region, which is part of our on-going work (for 637 example, evaluating different numerical schemes of momentum viscosity). In the southern hemisphere, using 638 partial cells leads to a significant reduction of the otherwise rather high MLD in the Weddell Sea. Regarding 639 the configuration used in this paper, using partial cells leads to a strengthening of the warm deep water 640 current (Vernet et al. 2019) that crosses the Weddell Sea interior. Thus it enhances the local stratification 641 (see Suppl. 31 white arrow) and reduces vertical convection. It can be summarized that the usage of partial 642

cells clearly improves the general circulation within FESOM2.0 and that the benefits outweigh the drawbacks.

The second feature that was presented, is the effect of embedded sea ice vs. the standard case of levitating sea ice. Embedded sea ice allows for a further step towards a more realistic and physical ocean-sea ice interaction by adding the sea ice loading to the ocean pressure. This has the potential of increasing ocean variability especially near the sea ice edge. Our results indicate that the embedded sea ice has only a minor effect on the sea ice distribution itself. Nevertheless the effect is the strongest for the Northern Hemisphere summer, when the sea ice edge retracts towards the Arctic Ocean interior. Here embedded sea ice leads to an up to 9% increase in the sea ice concentration in the eastern Arctic Ocean marginal seas, which also leads to an increase in the bias of the sea ice edge, and to a 6% decrease in the marginal seas of the western Arctic Ocean, which slightly reduces the sea ice extent bias there. The effect of embedded sea ice on the hydrography of the Arctic Ocean is much more significant, with an increase in temperature and salinity of up to 0.5°C and 0.1psu, respectively through most of the upper 1000 m. The increase in temperature and salinity is connected to a particular increase of the boundary currents especially along the eastern boundaries of the Eurasian Basin but also to a strengthening of the cyclonic current along the Lomonosov Ridge, which was otherwise rather weakly represented in the levitating sea ice case. The deficiencies of the Arctic Ocean currents representation in our model configuration can be partially attributed to the rather coarse resolution. However, with embedded sea ice we seem to be able to at least partly counteract the effect of low resolution and improve the Arctic Ocean current structure at rather low costs. We note that embedded sea ice could also deteriorate the model results in some cases. Since the boundary currents around the Eurasian Basin get enhanced, the already existing Atlantic Water layer biases get enhanced. However, as mentioned above, this is an issue of model tuning with this coarse resolution setup, not a drawback of embedded sea ice itself.

To further expand the functionality and comparability of FESOM2.0 we implemented the vertical mixing library <a href="CVMixCVMIX">CVMixCVMIX</a> and its components, which in our implementation include cvmix\_PP, cvmix\_KPP, cvmix\_TIDAL, cvmix\_TKE and cvmix\_TKE+IDEMIX. At first, the vertical mixing parameterizations fesom\_KPP and fesom\_PP, which have been already implemented in FESOM2.0, are briefly evaluated. It is shown that fesom\_PP produces a slightly colder tropical and subtropical but warmer polar oceans on the surface, with a largely warmer ocean below the surface layer depth range, when compared to fesom\_KPP. This makes between these two, fesom\_KPP the preferred vertical mixing option at least in terms of mean temperature biases. In terms of salinity biases, fesom\_PP performs better in the surface and subsurface AO as well as in the equatorial Atlantic and Indian Ocean, while otherwise fesom\_KPP indicates smaller biases. In the next instance, fesom\_KPP and cvmix\_KPP have been compared to each other, since there are slight differences in their implementation. The difference in implementation leads only to minor differences in temperature throughout all considered depth ranges. Regarding the salinity differences, cvmix\_KPP produces

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a considerably fresher surface AO compared to fesom KPP, which is attributed to a reduced near surface vertical diffusivity in cvmix KPP that leads to an over-stabilisation of the AO halocline. This enhances the mean salinity bias in that region. In terms of vertical diffusivity, cvmix KPP has the tendency to produce by up to one order of magnitude lower value (especially in the very deep depth range) in the main convection areas of Labrador Sea and Greenland Sea, throughout all considered depth ranges, accompanied by increased diffusivity in the subsurface of the Arctic Ocean. The reduced diffusivity in the main convection areas is attributed to the different treatment of the shear- and buoyancy difference with respect to the surface in cvmix KPP that leads to a reduction of the local ocean boundary layer depth and to slightly reduced maximum MLD in Labrador and Greenland Sea, while the maximum MLD in the Weddell Sea becomes slightly enhanced, when using cymix KPP over fesom KPP. Since the implementation of cvmix PP and fesom PP are also slightly different, we also compare them. Although the produced diffusivities between cymix PP and fesom PP are very similar, cymix PP indicates a further warming and salinification in the surface and 250-500 m depth ranges except for the upwelling region in the Gulf of Guinea which indicates a cooling and freshening and the surface depth range of the Arctic Ocean where it creates a predominant freshening, when compared the fesom PP. The MLD values indicate that cvmix PP leads in FEOSM2.0 to a slightly stronger convection in the Weddell Sea. The differences between fesom PP and cvmix PP are related to the different treatment of the background coefficient for viscosity when computing the diffusivity see Pacanowski and Philander (1981). The effect of implementing cvmix TIDAL in combination with cvmix KPP was further assessed. cvmix TIDAL serves here as a resourceful way to heterogenize the effect of tidally induced internal wave breaking that is otherwise homogenized in a constant or latitude dependent value for the background diffusivity. Using cvmix TIDAL clearly leads to an enhancement of the vertical diffusivity along the slopes of the bottom topography, where tidally related internal wave breaking is induced. This leads especially in the high-latitude marginal seas, e.g. Sea of Okhotsk and Bering Sea but also Arctic Ocean and Southern Ocean, to a decrease in temperature and salinity due to the enhanced mixing along their shelfs. This enables cvmix TIDAL to improve some of the existing local temperature and salinity biases within FESOM2.0 at rather low computational costs. However, the enhanced vertical diffusivity along the shelf of the Weddell Sea weakens the stratification and leads to a further increase in the MLD of the Weddell Sea of up to 1000 m. Further, the implications of TKE vertical mixing parameterisation in FESOM2.0, added by Eden et al. (2014) and Gutjahr et al. (2020) to the CVMixCVMIX library, was evaluated based on a comparison with fesom KPP. It is shown that the mean temperature and salinity differences between cvmix TKE (Fig. 17) and fesom KPP (Fig. 9) show very similar patterns. cvmix TKE tends to produce a generally colder tropical and extratropical ocean together with slightly warmer polar oceans when compared to fesom KPP. The salinity differences between cymix TKE and fesom KPP shows that cymix TKE tends to produce a

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significantly saltier surface layer AO, revealing a much smaller salinity bias for the Arctic Ocean interior.

This is largely connected to enhanced surface vertical mixing along the Arctic Ocean shelf break (not shown) 715 within cvmix TKE, that helps to partly destabilize the AO halocline. The improvement of the Arctic Ocean 716 hydrography when using cvmix TKE is also found by Gutjahr et al. (2020) in the coupled ocean-atmosphere 717 Max Planck Institute Earth System Model (MPI-ESM1.2). Further, cvmix TKE leads to a salinity increase in 718 the entire North Atlantic and northwest Pacific marginal seas, while the southern hemisphere, except for the 719 Southern Ocean, shows a freshening when compared to fesom KPP. The reduced temperatures and salinities 720 in the tropics and extratropics when using cymix TKE are connected to the reduced vertical mixing. 721 However the regions of strong vertical shear, e.g. the branch of the Gulf Stream and NAC as well as 722 Southern Ocean show stronger vertical mixing in cymix TKE, when compared to fesom KPP (not shown), 723 which is accompanied by positive temperature and salinity anomalies between cvmix TKE and fesom KPP. 724 Following the comparison of cvmix TKE and fesom KPP, a side by side comparison of cvmix TKE with 725 and without IDEMIX was carried out. Here IDEMIX provides an alternative formulation of the background 726 diffusivity in cymix TKE using a radiative transfer equation of weakly interacting internal waves (Olbers 727 and Eden 2013), where energy is transferred from sources of internal waves to wave sinks, such as the 728 breaking of internal waves, which provide a source for TKE, leading to an energetically more consistent 729 treatment of internal mixing (Eden et al. 2014). As compared to the tidal background mixing 730 parameterization of Simmons et al (2004), IDEMIX allows not only for the generation of internal waves by 731 barotropic tides interacting with marine topography, but also for their propagation in the horizontal and 732 vertical directions away from region of generation and their damping due to wave-wave interaction or 733 interaction with the continental shelf. Further, IDEMIX allows for the excitation of internal waves at the base 734 of the mixed layer by high frequency wind forcing (Eden et al. 2014). 735 The combined TKE + IDEMIX approach was already applied in a couple of publications (Eden et al. 2014, 736 Nielsen et al. 2018, Gutjahr et al. 2020). It was shown in Pollmann et al. 2017 that TKE dissipation rates 737 from the combined TKE+IDEMIX approach are comparable to dissipation rates estimated from Argo floats. 738 In FESOM2.0, the usage of TKE+IDEMIX leads to a significant increase in the tropical and extratropical. 739 and to a decrease in the high-latitude, temperature and salinity over depth when compared to the case of only 740 using cvmix TKE. These differences compensate for some of the biases in the surface and intermediate 741 depth ranges when IDEMIX is not used. The usage of IDEMIX leads to an enhanced heterogeneous 742 representation of vertical mixing especially below the mixed layer along the continental shelves and 743 topographic slopes. However the temperature gain for the deeper depth ranges below 1000 m seems to be 744 strongly overestimated when using cvmix TKE+IDEMIX, hinting at a too strong vertical mixing in the deep 745 ocean. When it comes to the MLD, cvmix TKE+IDEMIX leads in the northern hemisphere to a significant 746 increase in the MLD along the Labrador Sea boundary currents and in the southern GIN seas, which can be 747 attributed to the enhanced mixing along the continental slope of the North Atlantic and in the vicinity of the 748 overflow regions. In the southern hemisphere using IDEMIX leads to an enhancement of the vertical 749 diffusivity along the continental slope of the Weddell Sea. This leads to an enhanced mixing of cold and 750

salty waters, which further reduces the stratification and significantly increases the MLD of the Weddell Sea and to a rather overestimation of the otherwise already high MLD values.

This is in contrast to the findings of Gutjahr et al. 2020, who found that in their coupled MPI-ESM1.2 simulation, IDEMIX led to a reduction of the vertical mixing in the Weddell Sea allowing for more local stratification. OneOn possibility to overcome the lack of performance of IDEMIX but also of cvmix\_TIDAL in the Southern Ocean and Weddell Sea could be its combination with partial bottom cells, which had the tendency to significantly reduce the deep convection in the Weddell Sea. At this point it needs further studies also with FESOM2.0 to analyse the different behaviour of IDEMIX that could be influenced by local resolution, coupled ocean-atmosphere feedback or just different background water mass structure. Nevertheless, the achievable energetic consistency with the combined cvmix\_TKE+IDEMIX approach is an interesting feature that should find more applications in the ocean modelling community, although there is still some way to go to better understand and improve its integration.

The last part in this paper deals with the vertical mixing parameterisation MOMIX of Timmermann and Beckmann, (2004) in FESOM2.0 that helped us to overcome some major biases in the model. Since the very beginning of FESOM2.0 the model suffered from a severe cooling and salinification bias in the Southern Ocean and marginal seas around Antarctica, that was accompanied by a strongly overestimated MLD values and too weak stratification in the Weddell Sea. It is shown here that applying MOMIX south of -50°S helped to significantly reduce the biases and bring the MLD depth values in the Weddell Sea into a reasonable range. MOMIX increases the vertical diffusivity within the depth range of the Monin-Obukhov mixing length. This helps the warmer and fresher surface water masses from the melting season to connect with colder and saltier subsurface water masses from the freezing season and thus increase the stratification and reduce the vertical convection. Further, the using of MOMIX in combination with fesom KPP leads to a cooling and freshening in the branch of the NAC that seemed to be connected to a weakening of the upper AMOC cell by 1 Sv and thus to a slight reduction of the meridional heat transport. The reason why FESOM2.0 in the Southern Ocean is so dependent on MOMIX, which was not the case with FESOM1.4, needs further research. Our actual best practise FESOM2.0 configuration uses the zstar approach with partial cells and MOMIX switched on as a default, together with fesom KPP for the vertical mixing, although cvmix TKE + IDEMIX shows some promising improvements especially for Arctic applications.

To summarize, this paper is the second part of the documentation of the development of important key components of FESOM2.0 in a realistic global model configuration. We described the implementation of partial cells and embedded sea ice and their impact on the modelled hydrography. Furthermore, we briefly described the already existing vertical mixing parameterisation of fesom\_KPP and fesom\_PP as well as the newly introduced mixing parameterization of cvmix\_PP, cmix\_KPP, cmix\_TIDAL, cvmix\_TKE and cvmix\_TKE+IDEMIX that came with the incorporation of the vertical mixing library <a href="CVMixCVMIX">CVMixCVMIX</a> into FESOM2.0.

## 5 Code availability

The FESOM2.0 version used to carry out the simulations reported here is available on zenodo through 787 https://doi.org/10.5281/zenodo.4742242. The used mesh, as well as the temperature, salinity and vertical 788 velocity (for the calculation of the MOC) data of all conducted simulations, can be found under 789 https://swiftbrowser.dkrz.de/tcl s/hituvPNH3xwiIy/FESOM2.0 evaluation part2 scholz etal. 790 results can of course also be obtained from the authors upon request. Mesh partitioning in FESOM2.0 is 791 based on a METIS version 5.1.0 package developed at the Department of Computer Science and Engineering 792 at the University of Minnesota (http://glaros.dtc.umn.edu/gkhome/views/metis, last access: 18 November 793 2019). METIS and the pARMS solver (Li et al., 2003) present separate libraries which are freely available 794 subject to their licenses. The Polar Science Center hydrographic climatology (Steele et al., 2001) used for 795 model initialization and the CORE-II atmospheric forcing data (Large and Yeager, 2009) is freely available 796 online. The vertical mixing library **CVMixCVMIX** freely available from 797 https://github.com/CVMix/CVMix-src or https://doi.org/10.5281/zenodo.1000801 798

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#### **Author contributions**

- SD, DS, PS and NK worked on the development of the FESOM2.0 model code and the tuning of the model.
- All simulations shown in this paper were carried out by PS who were also responsible for preparing the basic
- manuscript. QW, SD, NK, DS and TJ have contributed to the final version of the manuscript.

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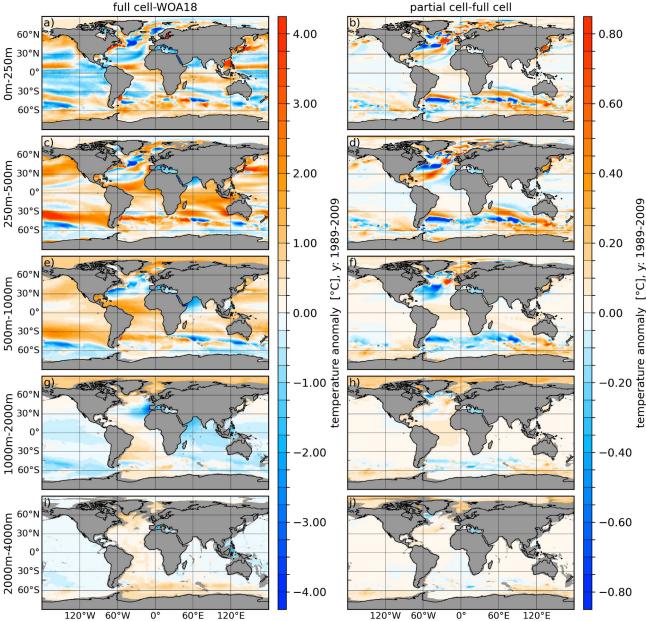
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**Figure 1:** (Left column) Temperature biases full cells referenced to the World Ocean Atlas 2018 (WOA18, Zweng et. al 2018) averaged over the period 1989-2009. The right column shows the temperature difference between partial and full cells (partial minus full). From top to bottom the panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.

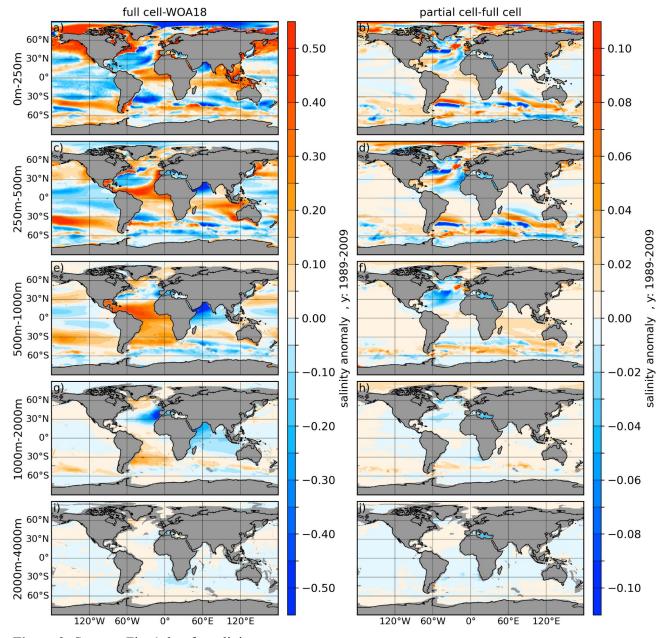
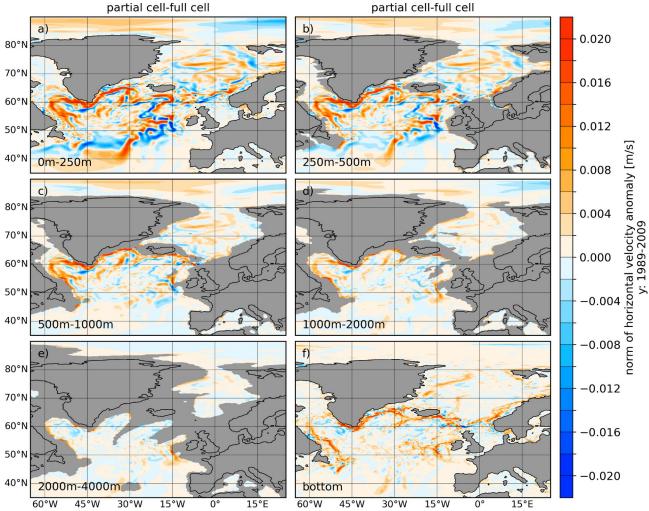
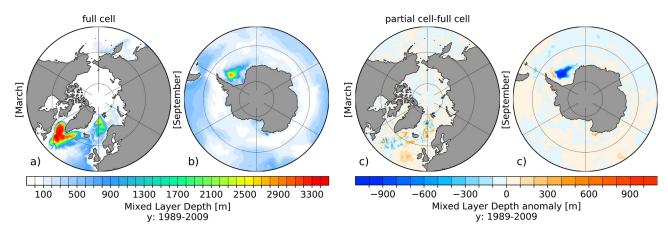


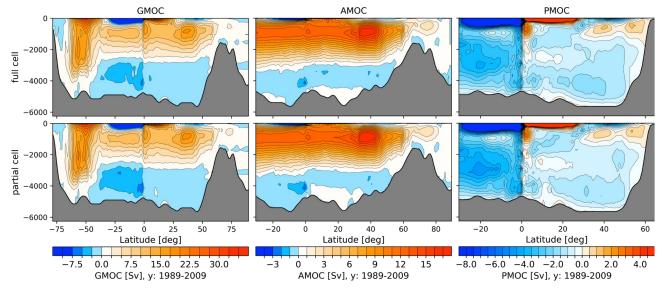
Figure 2: Same as Fig. 1, but for salinity.



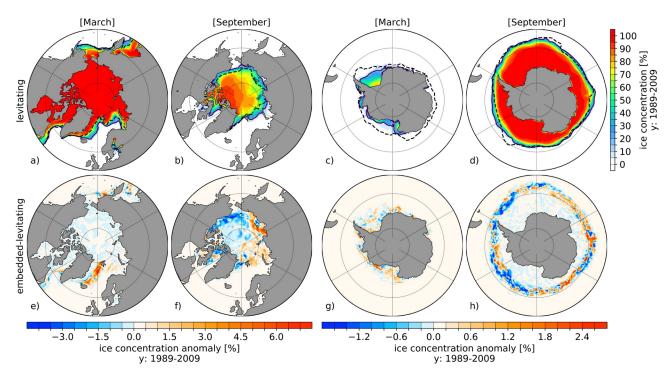
**Figure 3:** Difference of the horizontal velocity norm between simulations with partial and full cells (partial-full) averaged over the period 1989-2009 and averaged over the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m as well as the bottom value.



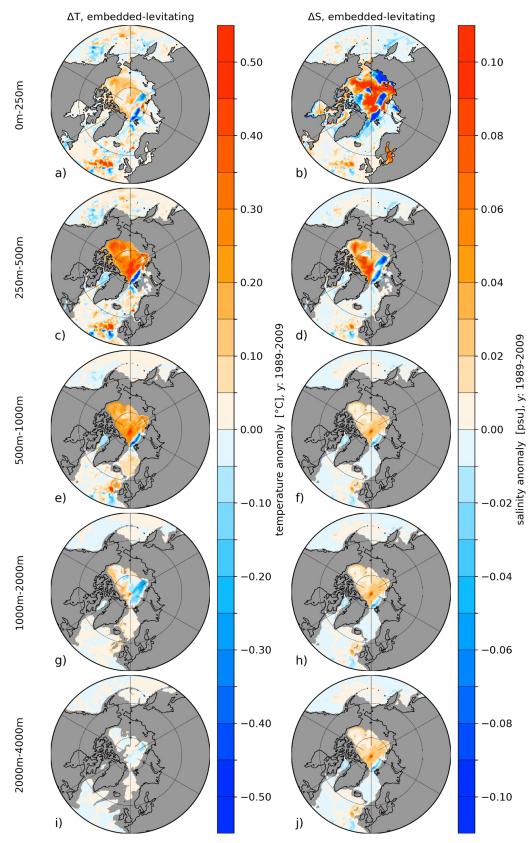
**Figure 4:** Northern hemispheric March (a) and southern Hemispheric September (b) mixed layer depth (MLD) with full cells as well as corresponding anomalous MLD with partial minus full cells (c, d), averaged for the period 1989-2009.



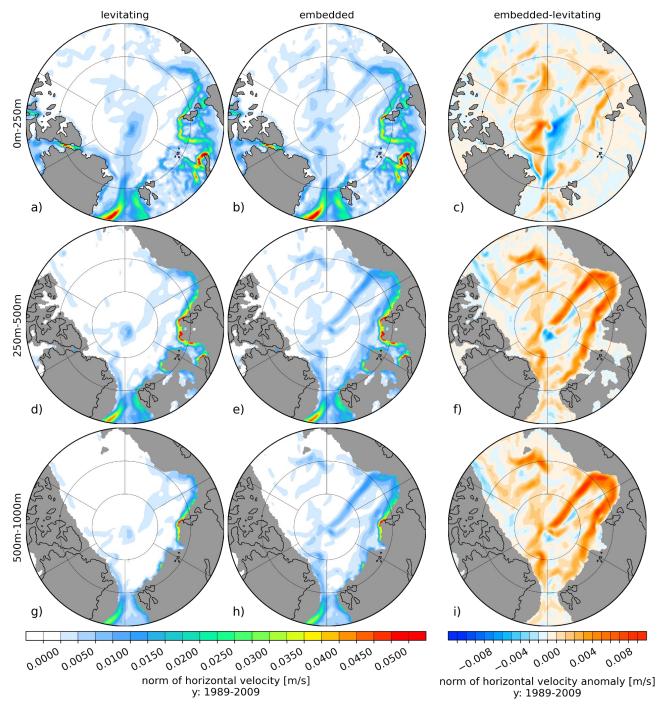
**Figure 5:** Global (GMOC, left column), Atlantic (AMOC, middle column) and Indo-Pacific (PMOC, right column) Meridional Overturning Circulation for full cell (upper row) and partial cell (lower row) averaged for the time period 1989-2009.



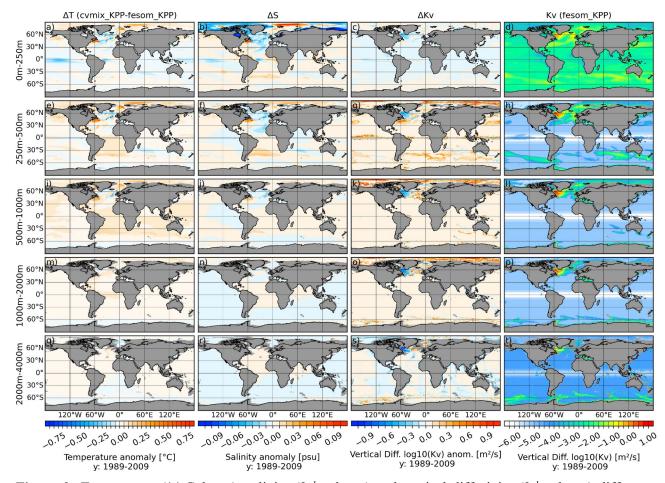
**Figure 6:** Levitating (upper row) northern and southern hemispheric March (a, c) and September (b, d) sea ice concentration averaged for the period 1989-2009. Solid and dashed lines indicate the simulated and observed (Cavalieri et al., 1996) contour of the 15% sea ice extent. The lower row shows the corresponding sea ice concentration anomalies between embedded and levitating sea ice (embedded minus levitating) averaged over the same period.



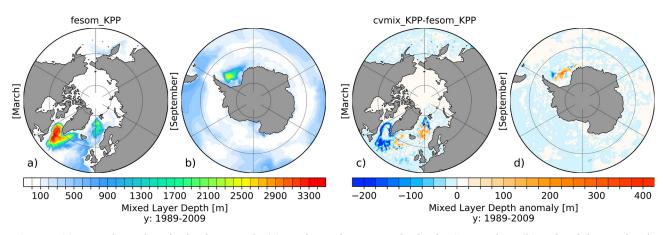
**Figure 7:** Temperature (left column) and salinity (right column) difference between embedded- and levitating sea ice averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.



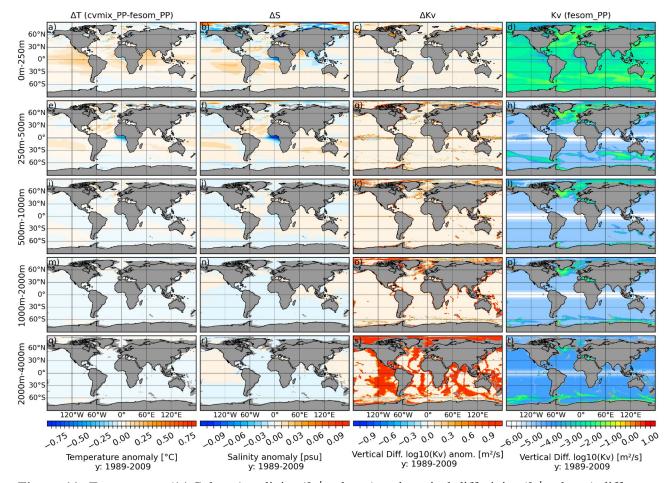
**Figure 8:** Norm of ocean velocity for levitating (left column) and floating (middle column) and the difference between embedded and levitating (right column) sea ice averaged for the period 1989 to 2009. From top to bottom, the panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m and 500-1000 m.



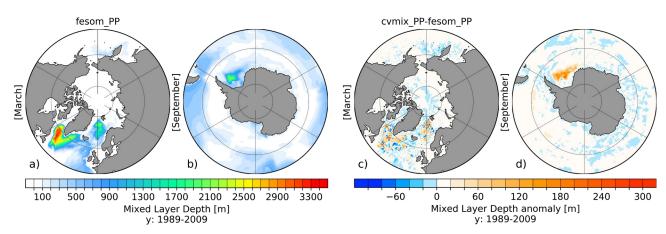
**Figure 9:** Temperature (1st Column), salinity (2nd column) and vertical diffusivity (3rd column) difference between cvmix\_KPP and original fesom\_KPP implementation as well as the absolute vertical diffusivity values (4th column) for fesom\_KPP averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.



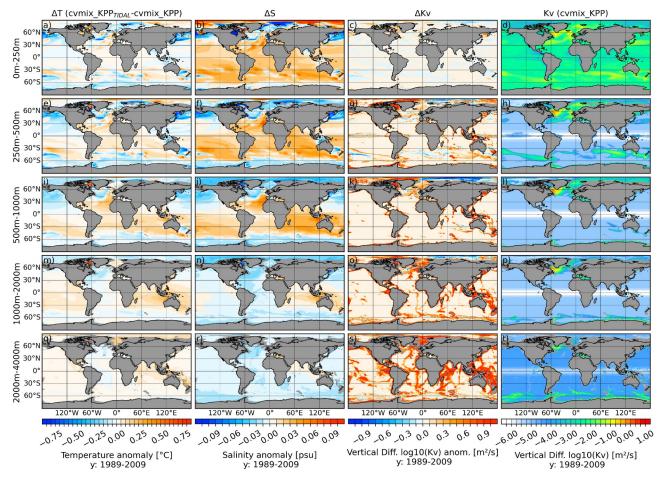
**Figure 10:** Northern hemispheric March (a) and southern Hemispheric September (b) mixed layer depth (MLD) for <u>fesom\_original\_FESOM2.0</u>-KPP implementation as well as corresponding anomalous MLD between <u>cvmix\_KPP and fesom\_CVMIX and original\_FESOM2.0</u>-KPP implementation (c, d), averaged for the period 1989-2009.



**Figure 11:** Temperature (1<sup>st</sup> Column), salinity (2<sup>nd</sup> column) and vertical diffusivity (3<sup>rd</sup> column) difference between cvmix\_PP and original fesom\_PP implementation as well as the absolute vertical diffusivity values (4<sup>th</sup> column) for fesom\_PP averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.



**Figure 12:** Northern hemispheric March (a) and southern Hemispheric September (b) mixed layer depth (MLD) for <u>fesom\_original\_FESOM2.0\_PP</u> implementation as well as corresponding anomalous MLD between <u>cvmix\_PP</u> and <u>fesom\_CVMIX and original\_FESOM2.0\_PP</u> implementation (c, d), averaged for the period 1989-2009.



**Figure 13:** Temperature (1st Column), salinity (2nd column) and vertical diffusivity (3rd column) difference between cvmix CVMIX-KPP with and without TIDAL mixing of Simmons et al. (2004) as well as the absolute vertical diffusivity values (4th column) for cvmix CVMIX-KPP without TIDAL mixing averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.

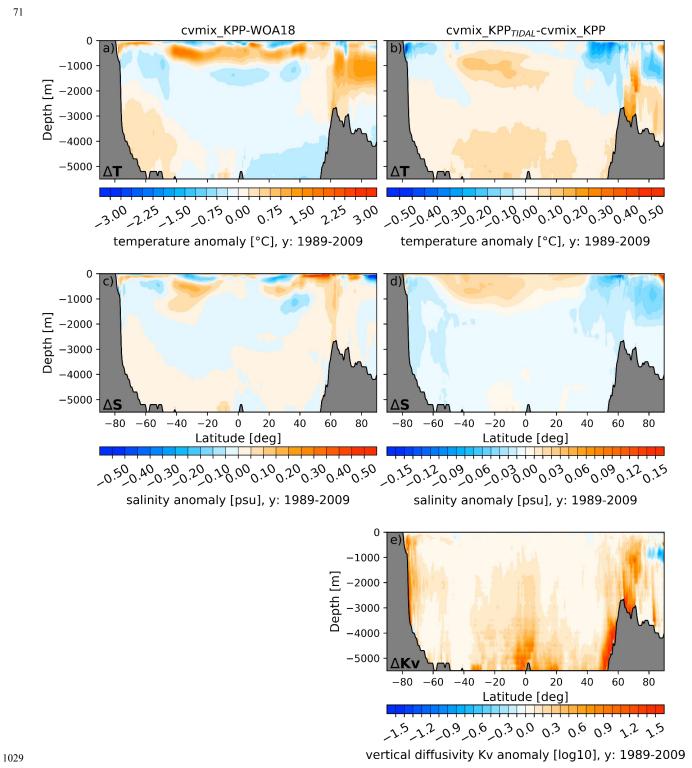
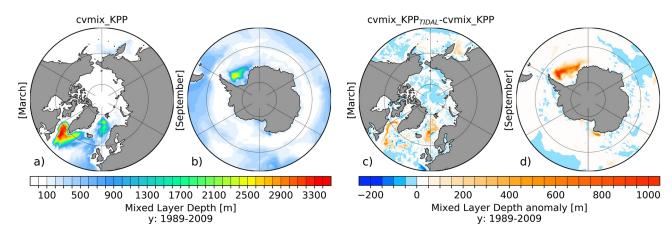
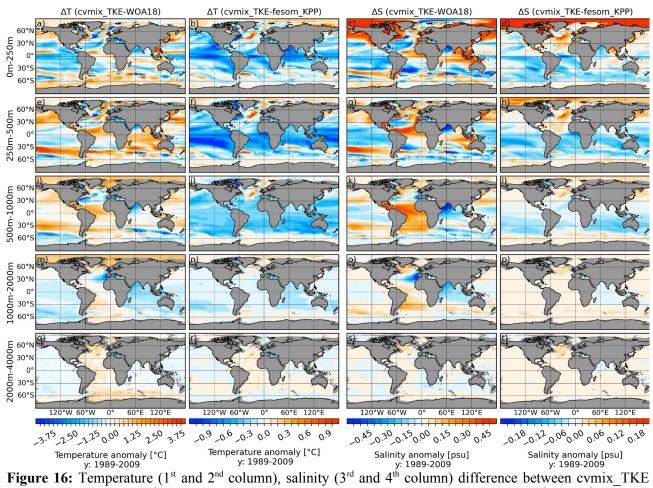


Figure 14: Left column: presents global Global zonal averaged climatological biases of temperature (a) and 5 b), salinity (c) bias, d) and vertical diffusivity (e) profiles of cvmix\_KPP with respect to WOA18. Right column: shows the global zonal averaged biases of temperature (b), salinity (d) and vertical diffusivity (e) between to WOA18 (a, c) and of cymix KPP with tidal mixing of Simmons et al. (2004) versus without (e, <del>d, e)</del>.

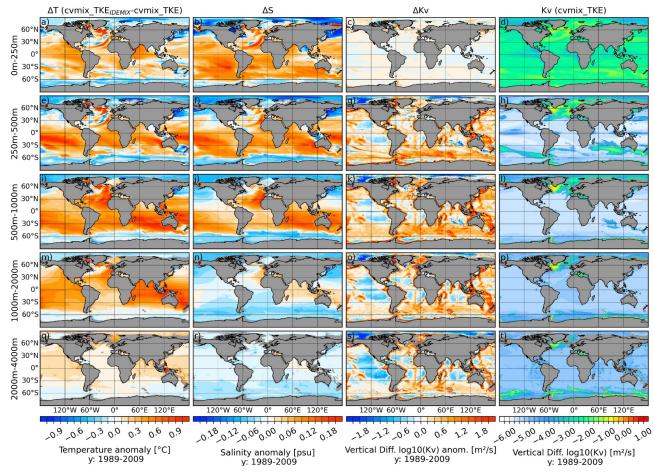




**Figure 15:** Northern hemispheric March (a) and southern Hemispheric September (b) mixed layer depth (MLD) for cvmix\_KPP without TIDAL mixing as well as corresponding anomalous MLD between cvmix\_KPP with minus without TIDAL mixing of Simmons et al. (2004)(c, d), averaged for the period 1989-2009.



**Figure 16:** Temperature (1<sup>st</sup> and 2<sup>nd</sup> column), salinity (3<sup>rd</sup> and 4<sup>th</sup> column) difference between cvmix\_TKE and WOA18 (1<sup>st</sup> and 3<sup>rd</sup> column) as well as between cvmix\_TKE and fesom\_KPP (2<sup>nd</sup> and 4<sup>th</sup> column) averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.



**Figure 17:** Temperature (1<sup>st</sup> Column), salinity (2<sup>nd</sup> column) and vertical diffusivity (3<sup>rd</sup> column) difference between cvmix\_TKE with and without IDEMIX as well as the absolute vertical diffusivity values (4<sup>th</sup> column) for cvmix\_TKE without IDEMIX mixing averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.

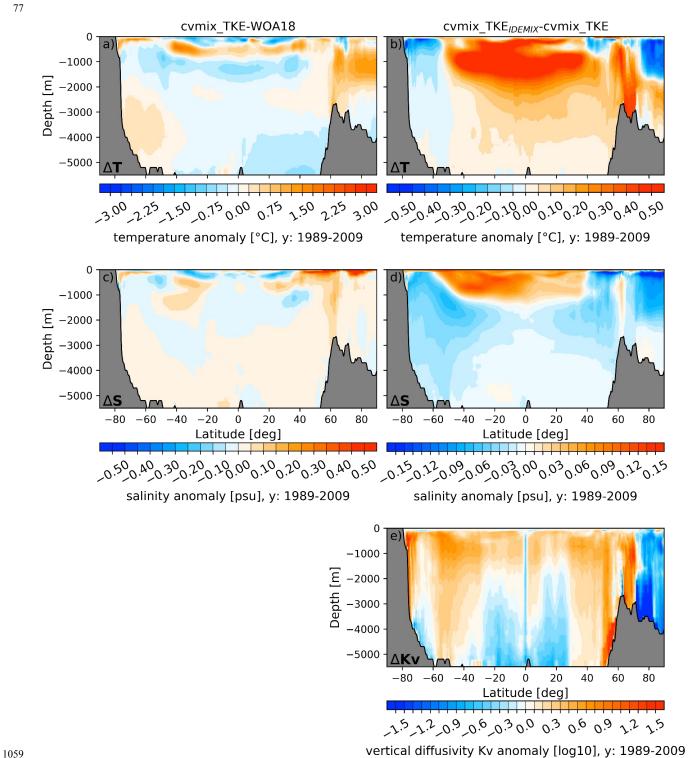
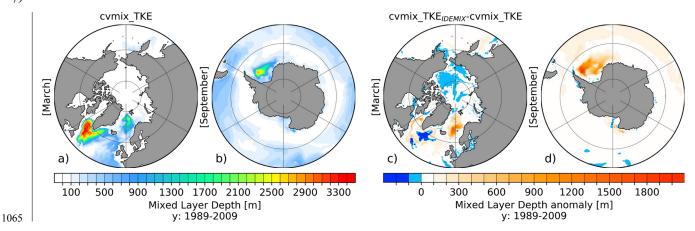
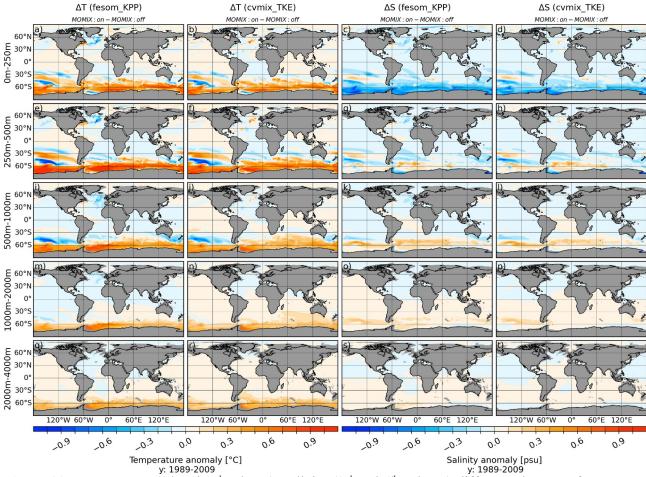


Figure 18: Left column: presents global Global zonal averaged climatological biases of temperature (a) and 5 b), salinity (c) bias, d) and vertical diffusivity (e) profiles of cvmix\_TKE with respect to WOA18. Right column: shows the global zonal averaged biases of temperature (b), salinity (d) and vertical diffusivity (e) between to WOA18 (a, c) and of cymix TKE with IDEMIX versus without. (e, d, e).

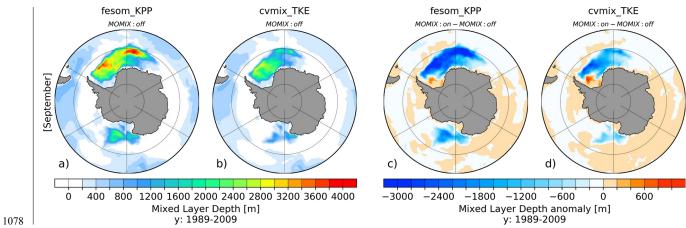


**Figure 19:** Northern hemispheric March (a) and southern Hemispheric September (b) mixed layer depth (MLD) for cvmix\_TKE without IDEMIX mixing as well as corresponding anomalous MLD between cvmix TKE with minus without IDEMIX mixing, averaged for the period 1989-2009.

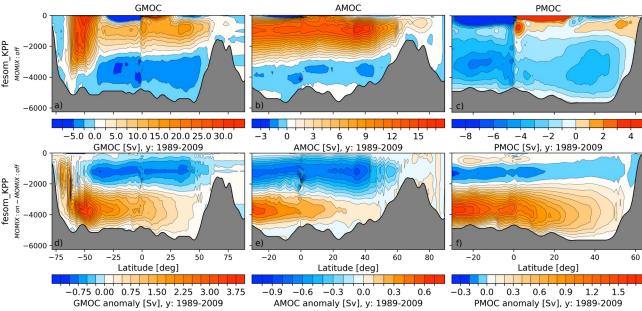


**Figure 20:** Temperature (1st and 2nd column), salinity (3rd and 4th column) difference between fesom\_KPP and cvmix\_TKE vertical mixing parameterisation with Monin-Obukov vertical mixing (MOMIX) switch on and off (MOMIX: on minus MOMIX: off) averaged for the period 1989 to 2009. From top to bottom, panels show the vertically averaged fields for the depth ranges of 0-250 m, 250-500 m, 500-1000 m, 1000-2000 m and 2000-4000 m.





**Figure 21:** Southern Hemispheric September mixed layer depth (MLD) for fesom\_KPP (a) and cvmix\_TKE (b) with switch off Monin-Obukov vertical mixing (MOMIX) parameterisation as well as corresponding anomalous MLD between switched on and off MOMIX parameterisation (c, d, MOMIX: on minus MOMIX: off), averaged for the period 1989-2009.



**Figure 22:** Absolute (upper row) and anomalous (lower row) Global (GMOC, left column), Atlantic (AMOC, middle column) and Indo-Pacific (PMOC, right column) Meridional Overturning Circulation, averaged for the time period 1989-2009. Absolute values are shown for fesom\_KPP with switched off Monin-Obukov vertical mixing (MOMIX) parameterisation, anomalous values show the difference between fesom KPP with switch on/off MOMIX parameterisation MOMIX: on minus MOMIX: off).