

## RC1: 'Comment on gmd-2021-75', Shuqi Lin, 13 Apr 2021

### General comments:

This study involves tremendous data processing when coupling the river and lake dataset together before conducting the simulations. Could you provide a map or a chart to state the number of river and lakes in different groups you defined and how many systems among them have been processed specifically? I think it will be helpful for the readers to understand the whole dataset and reproduce the framework.

**Response:** Dear Dr. Lin, thank you for taking the time to review our manuscript. We are pleased your review is supportive of our work and we are happy to respond to the points. We added a table of pre-processing to enhance readers' understanding and uploaded the upscaled river-lake network dataset into zenodo. We also conducted additional validation with the data you recommended and it was really helpful for us to discuss the model applicability and limitation.

### Specific comments:

#### 2.1 Harmonization of geographical information

Did you basically implement the lake data from HydroLAKES and river data from MERIT Hydro? I see a lot of preprocessing of lake and river geographical information in the second paragraph. Could you please provide a table or a chart to conclude the results of the preprocessing, like how many lakes are classified into the two groups, respectively, and how many inconsistencies are detected in two datasets and which dataset contained the largest upstream area you chosen in the end, etc.

**Response:** Yes, the lake and river data were upscaled from HydroLAKES and MERIT Hydro, respectively. To show the variables the pre-processing updated, we added a table to summarize the pre-process as you recommended, which also indicates the manual processing.

In addition, we added the number (369) of lakes resolved in the river-lake network dataset.

25 We detected the inconsistency between the flow direction of MERIT Hydro and lake distribution  
of HydroLAKES and filled the gap in the pre-processing. However, those methods are minimal  
from the perspective of conduct of river–lake coupling simulation. We added a discussion to  
emphasize the importance of the development of a comprehensive geographical dataset explicitly  
representing rivers and lakes in the discussion section.

30 **Table 1 Summary of harmonization of geographical information, MERIT Hydro and HydroLAKES. All processes except  
number 4 are automated.**

No.	Process	Updated variable		Reference data
		MERIT Hydro	HydroLAKES	
1	Select lakes to resolve in the river– lake network	-	lake area	lake area
2	Fill in isolated parts of each lake	-	lake area	lake area
3	Select lake outlet	-	-	upstream area calculated in MERIT Hydro
4	Remove outlets from endorheic lakes	flow direction	-	actual geography
5	Change flow direction in each lake	flow direction	-	lake outlet location
6	Recalculate upstream area for all the grids	upstream area	-	flow direction

Line 89: Could you provide the links of these dataset here?

**Response:** As you recommended, we uploaded the dataset into the zenodo and updated the doi for  
the new version (the source code was not updated).

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### 3.2 Lake model

Line 166: Any reference of this 1D lake model?

**Response:** The lake model in this study is not original because it is just a combination of each scheme of the existing models, so we only give an overview and cite corresponding studies.

40 Line 265: Should have a punctuation after the back bracket.

**Response:** Thank you for your correction. We added punctuation.

Line 298: How is the shortwave radiation weighted by the area of ice? Could you provide the equation here?

45 **Response:** We assume that incoming shortwave radiation and surface heat fluxes are boundary conditions for the energy budget of the water body, and they are different between ice-free and ice-covered lake areas. Shortwave radiation attenuates due to ice thickness in ice-covered areas. The heat flux into the water body is conductive heat beneath ice cover, or heat fluxes from and out of the atmosphere for the ice-free part. So, the model calculates the weighted mean of them with the ice-covered and ice-free areas. We added the explanation instead of equations.

### 50 3.3 Implementation of coupling interface

Line 323: For how many river-lake systems in your study you have made the corrections? Are they the minor part of the whole dataset?

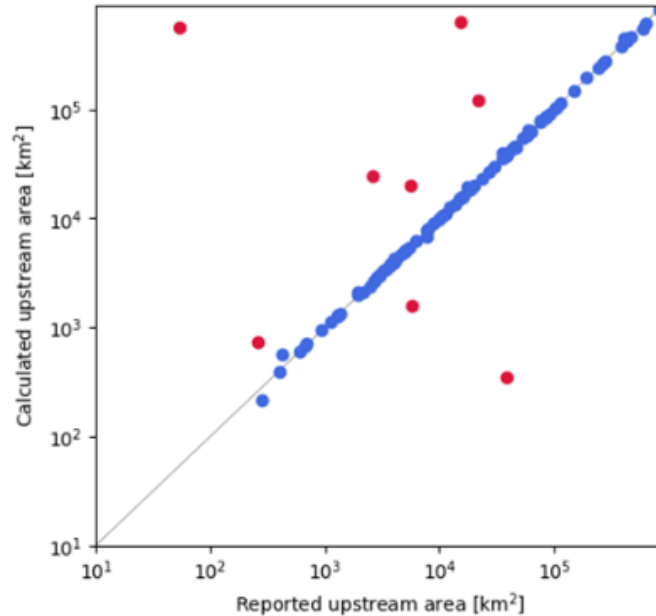
Why don't you leave off these particular systems to avoid the inaccuracy brought by the corrections?

55 **Response:** "Correction" here is not related to the dataset, but it limits the river discharge and lake outflow not to exceed the corresponding storage at the previous timestep as the original river model, CaMa-Flood. I'm sorry for the ambiguous explanation and corrected the description.

## 4 Validation of harmonized geographical information

Table 1: Could you indicate these eight reservoirs in Fig 3 by different colors?

60 **Response:** I updated Fig 3 with blue and red colors as you recommended:



## 5.1 Simulation configuration

Line 384 - 385: Could you mention this information at the beginning of the paper (maybe in section 2?)

65 **Response:** We added the number of the lakes resolved into Sect. 2.1. (The information on volume and area are described only here because Sect. 2.1 focuses on the pre-processing.)

Line 407: Where are these initial values from?

70 **Response:** The “initial guess” of the initial value for lake water level and temperature were given with the surface elevation of lake water registered in HydroLAKES (attribute name is Elevation) and the air temperature on the first day of the calculation period, respectively. If the preliminary results with those values showed long-term drift, we manually set the new initial value after trial-

and-error. In addition, the initial state of the vertical layer thickness was calculated from those values of lake water level, and the initial ice volume was set to be zero. We added the description and separate the paragraph into two; one for the initial condition and the other for model configuration.

## 75 **5.2 Reference data**

Line 444: You can get more vertical observations via <https://www.glos.us/>

**Response:** Thank you for letting us know about the portal site of precious observation data. We manually downloaded the part of the data, but it was difficult to proceed with the discussion on the validation because the model does not resolve the spatial variability of water temperature. We would like to utilize the data when we couple (simplified) 3D hydro- and thermodynamics lake models in further study, and added the discussion in the Sect. 7 (Discussion for further development).

## **5.3 River discharge at downstream areas of lakes**

Figure 4: Could you please adjust the y-axis of (a) to integers?

85 **Response:** We updated the y-axis of histograms to integers in Fig. 4 and 10 as you recommended.

## **5.5 Lake water surface elevation**

Figure 9: It looks like the "lake-only" simulation simulated much higher water surface elevation than the reality and the "coupled" simulation. Are these results from 20-year spin-up time run? In line 503, you mentioned that is due to the imbalance between precipitation and evaporation. Because I see the increase rate of the elevation in the "lake-only" simulation was not quite sharp. Can you initiate the model with the observations and try the simulation with less spin-up time?

If the imbalance between precipitation and evaporation could induce such a big discrepancy, the upstream rivers must have a big backflows when you change to the "coupled" simulation.

95 **Response:** Yes, these results for the “lake-only” experiment are also the results after 20-year spinning up. “Lake-only” experiment solves only the vertical mass budget, i.e., precipitation – evaporation. Because the surface temperature of lake water and evaporative flux is mainly governed by atmospheric conditions, the vertical mass budget does not reach zero even after a very long spin-up period or any initial condition. The vertical mass budget is solved on a sub-daily scale, so the time series of water level has some seasonality and is not quite sharp, but the water level  
100 does not reach equilibrium range due to the abovementioned reason.

On the other hand, the model also considers riverine inflows and outflows, so a higher water level in lakes is consumed by the increase in outflows (increase in inflows from rivers to lakes could occur as you pointed out, but the increase in outflows is dominant). Consequently, the water level does not get too high but reaches the equilibrium range in the “coupled” simulation.

## 105 **5.7 Vertical profile of lake water temperature**

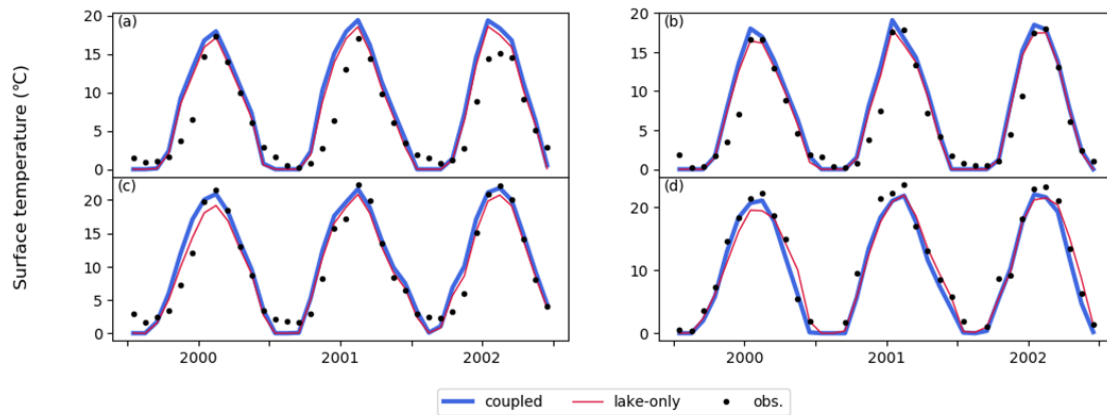
Line 598: Can you manually correct the lake depth? Because, especially in the Great Lakes, the incorrect lake depth may induce a big error in the thermal structure.

110 **Response:** Yes, we can correct the lake depth by editing a configuration file. We agree that the simulated thermal regime is sensitive to the lake depth. However, in the field of vertical 1D lake temperature model, there is still no consensus on how to set an appropriate lake depth (e.g. mean depth or the maximum depth) according to a model intercomparison project (Stepanenko et al., 2013). In this study, we consistently used the mean depth (attribute name is Depth\_avg) contained in HydroLAKES dataset for all the lakes.

Line 582: Have you validated the ice simulation in the Great Lakes during early spring? The assumption  
115 of ice thickness in this model may affect the temperature simulation in the Great Lakes.

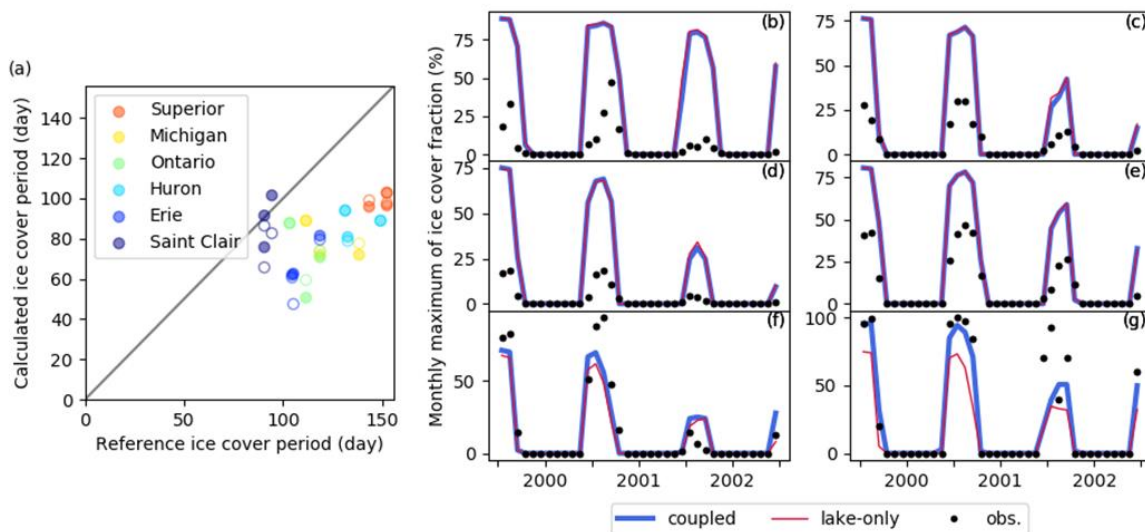
**Response:** As you recommended, we newly validated (1) ice cover period in each year and (2) monthly maximum of ice cover fraction in the Great Lakes and Lake St. Clair with dataset provided by GLERL (Assel, 2003; Wang et al., 2012). The model underestimates the period for all

120 the lakes except for Lake St. Clair, which suggests that the vertical 1D lake model does not resolve  
the spatial distribution of the cover ice. Tuning of the ice shape parameterization could improve the  
bias as you discussed, and we think the implementation of a horizontal 2D (3D including vertical  
1D) model is also a solution. On the other hand, we found that incorporation of river flows  
improved the underestimation of the monthly maximum of ice cover fraction in Lake Erie and St.  
125 Clair. This result suggests that cooler riverine inflow from the Northern area has an impact on the  
ice formation, and we confirmed that it has a similar effect on lake surface temperature. So, in Fig.  
11 (d) showing the time series of surface water temperature in Lake Champlain, we replaced it  
with that in Lake St. Clair. We added those discussions into Appendix A1.



**Figure 11 (d) is updated from Lake Champlain to Lake St. Clair.**

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**Figure A1: The comparison of (a) ice cover period in each year (day) and (b)-(g) monthly maximum of cover-ice fraction (%) in the Great Lakes region between the simulations and reference dataset. (a) The colored (white) face shows the results of the “coupled” (“lake-only”) simulation. (b)-(g) Black dots show observed values, and the blue (red) line shows value simulated by the “coupled” (“lake-only”) simulation. (b) Lake Superior, (c) Michigan, (d) Ontario, (e) Huron, (f) Erie, and (g) St. Clair (the HydroLAKES ID is 5, 6, 7, 8, 9, and 66, respectively).**

### 7 sensitivity to meteorological forcing dataset

140 Is this section necessary in the main body of this manuscript if the different meteo forcing did not generate obvious discrepancy?

**Response:** We move the section to Appendix A2 as you recommended.



## 9 Conclusion

145 Line 672: Please list some metrics here to show how much the "coupled" simulation is better than "river-only" and "lake-only" simulations.

**Response:** We summarize the reproducibility indices in a table as you recommended.

**Table 2 Summary of comparison of reproducibility indices between coupled and uncoupled (“river-only” for riverine and “lake-only” for lacustrine variables) simulations.**

Variable	Statistical	Coupled	Uncoupled (“river-only” or “lake-only”)
	Index (unit)		
River discharge	CORR (-)	0.562 (0.462)	0.482 (0.440)
	pBIAS (-)	-0.094 (0.106)	-0.080 (0.146)
	pRMSE (-)	1.009 (1.276)	1.093 (1.387)
River water temperature	CORR (-)	0.894 (0.808)	0.871 (0.807)
	BIAS (°C)	0.723 (0.753)	1.067 (0.914)
	RMSE (°C)	2.493 (2.792)	2.478 (2.814)
Lake water surface elevation	CORR (-)	3.314 (0.274)	0.343 (0.289)
	BIAS (m)	-1.594 (-0.818)	- (-)
	RMSE (m)	3.479 (6.864)	- (-)
Lake surface temperature	CORR	0.969 (0.922)	0.961 (0.928)
	BIAS (°C)	-1.165 (-1.533)	-1.364 (-1.730)
	RMSE (°C)	2.197 (2.879)	2.404 (2.955)

150 **Technical corrections:**

Figure 9: the unit of lake surface elevation should be (m) in the caption.

**Response:** Thank you for your kind correction. We corrected the unit in the caption.

**RC2: 'Comment on gmd-2021-75', Anonymous Referee #2, 20 Apr 2021**

155 The authors developed T-CHOIR that freely adjusts the spatial resolution of river-lake model that explicitly represents the energy and water balances in global scale. To achieve the objective, an improved flow upscaling algorithm, a hydrography dataset, and lake-reservoir dataset are tightly coupled. The authors identified and addressed many issues, which will help not only future users of the model but also general audiences working on the model and dataset developments. The manuscript was very well written, so it was great pleasure to read the manuscript. I only have several questions.

160 **Response:** We appreciate your encouragement to improve our paper, and we want to reflect on all your suggestions. In particular, your comment on our dataset is highly critical and we reconfirmed the dataset in detail.

Line 63: What is the basis of saying “lower” and “higher”? These terms are comparative, but it is not straightforward to infer the comparisons. It would be also nice to briefly mention the reasons of lower  
165 water volumes and higher temperature.

**Response:** We corrected the ambiguous explanation; Vanderkelen et al. (2020) concluded that the heat capacity of rivers has been decreasing due to a decrease in water volume, and that of lakes has been increasing due to a warm-up of water temperature. However, their model does not represent the temporal change in water volume in lakes.

170 Section 2.1: In case of lakes in a very upstream region, it is commonly found that the water body data of HydroLAKES lies between two basins of MERIT Hydro that drain to very different downstream. It is a universal problem that can exist in any DEM-derived flow direction dataset. How the T-CHOIR deal with this case? Do you correct the flow directions as done in MERIT-Hydro?

175 **Response:** Thank you for your raise of an issue with the dataset. We would like to answer your comment from two perspectives.

1) Technical implementation. We modified the flow direction to reach a selected outlet for all the grids in each lake, so all the grids in each lake belong to the same basin. This modification changes basin size from a river-only upscaled map if a lake lies between two basins.  
2) Actual situation for 369 lakes resolved in 15' resolution. We compared the spatial distribution of  
180 the lakes and that of basins in a river-only map upscaled only from MERIT Hydro, and it was found that 20 lakes lie between multiple basins. It was reasonable that six out of them are inland lakes (lakes without an outlet, e.g., the Caspian Sea and Lake Chad), and the river-lake network dataset

185 deals with them as one basin. For most (13) of the other lakes, they are allocated the basin which has the most grids in each lake in the river-only map. Finally, there is only one exception, Laguna Salada (HydroLAKES ID is 834). It is connected to the Colorado River basin, but the river occupies only 0.6% of the lake on the river-only map, but fortunately the river-lake network dataset reproduces the connection. As a result, we did not modify the dataset anymore from the first manuscript.

We added the above discussions to the manuscript.

190 Line 223: A lake may have multiple inflow paths. Does the model remember and update those inflows at every time step to calculate the “20% of inflow to lake”?

**Response:** Yes, we updated at every CFL timestep the sum of the inflow from all the inlets for each lake, then calculated the environmental flow with the total inflow at the previous timestep. We added the description there.

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