

# Using terrestrial laser scanning to constrain forest ecosystem structure and functions in the Ecosystem Demography model (ED2.2)

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**Abstract.** Terrestrial Biosphere Models (TBMs) are invaluable tools for studying plant-atmosphere interactions at multiple spatial and temporal scales, as well as how global change impacts ecosystems. Yet, TBM projections suffer from large uncertainties that limit their usefulness. Forest structure drives a significant part of TBM uncertainty as it regulates key processes such as the transfer of carbon, energy, and water between the land and the atmosphere, but remains challenging to observe and reliably represent. The poor representation of forest structure in TBMs might actually result in simulations that reproduce observed land fluxes, but that fail to capture carbon pools, forest composition, and demography. Recent advances in Terrestrial Laser Scanning (TLS) offer new opportunities to capture the three-dimensional structure of the ecosystem and to transfer this information to TBMs in order to increase their accuracy. In this study, we quantified the impacts of prescribing initial conditions (tree size distribution), constraining key model parameters with observations, as well as imposing structural observations of individual trees (namely tree height, leaf area, woody biomass, and crown area) derived from TLS into the state-of-the-art Ecosystem Demography model (ED2.2) at a temperate forest site (Wytham Woods, UK). We assessed the relative contribution of initial conditions, model structure, and parameters to the overall output uncertainty by running ensemble simulations with multiple model configurations. We show that forest demography and ecosystem functions as modelled by ED2.2 are sensitive to the imposed initial state, the model parameters, and the choice of key model processes. In particular, we show that:

- 29 - parameter uncertainty drove the overall model uncertainty with a mean contribution of 63% to the overall variance
  - 30 of simulated gross primary production;
  - 31 - model uncertainty on the gross primary production was reduced fourfold when both TLS and trait data were integrated
  - 32 into the model configuration;
  - 33 - land fluxes and ecosystem composition could be simultaneously and accurately simulated with physically realistic
  - 34 parameters when appropriate constraints were applied to critical parameters and processes.
- 35 We conclude that integrating TLS data can inform TBMs on the most adequate model structure, constrain critical parameters,
- 36 and prescribe representative initial conditions. Our study also confirms the need for simultaneous observations of plant traits,
- 37 structure and state variables if we seek to improve the robustness of TBMs and reduce their overall uncertainties.

## 38 **1 Introduction**

39 Terrestrial biosphere models (TBMs) are key tools to understand the ecosystem response to anthropogenic disturbances and  
40 climate change (Medvigy and Moorcroft 2012; McGuire et al. 2001). Nowadays they are intensively used, as is or embedded  
41 in Earth system models, to study plant-atmosphere interactions and predict the future of ecosystems facing global change (e.g.,  
42 Poulter et al. 2010). Yet, the usefulness of TBMs is currently limited by the large uncertainties in their projections which  
43 originate from different sources (Lin et al. 2011).

44 Forest structure has long been recognized as a critical component to understand forest dynamics (Hurt et al. 2010). It influences  
45 the climatically important fluxes of carbon, energy, and water (Bonan 2008). Yet, its realistic representation is challenging and  
46 an urgent priority in the development of next-generation TBMs (Fisher et al. 2018). The representation of the forest structure  
47 within TBMs is associated with three sources of uncertainty: model structure, model initialisation, and model parameter  
48 uncertainty.

49 The model structure entails by definition all the processes included in a model, how they are implemented, and all the  
50 underlying assumptions (Bonan 2019). Model structure complexity varies among TBMs and also depends on the user  
51 configuration choices: different formulations of the same process can co-exist within a TBM. This complexity results from the  
52 necessary compromise between an accurate representation of reality on the one hand and the computational demand and  
53 observational requirements on the other (Shiklomanov et al. 2020). Model intercomparison studies have demonstrated that  
54 discrepancies in the representation of key processes such as forest structure (Fisher et al. 2018) or photosynthesis (Rogers et  
55 al. 2017) lead to significant uncertainties in the projections of critical variables such as the overall land carbon sequestration  
56 capacity (Friedlingstein et al. 2014; Lovenduski and Bonan 2017; Friedlingstein et al. 2006).

57 The initialisation uncertainty reflects the error made when determining the initial conditions of the modelled ecosystem.  
58 Several approaches exist for initialising TBMs, the most common of which is probably to start runs from near-bare ground  
59 conditions, force the simulations with relevant climate-forcings, and wait for the model to reach an equilibrium state, the so-  
60 called potential vegetation (Antonarakis et al. 2011). Yet, such a spin-up approach does not guarantee reliable initial  
61 demography, carbon pools, or ecosystem structure. Alternatively, forest inventories can be used to prescribe the initial  
62 composition of the ecosystem (Medvigy et al. 2009). The derivation of the initial states of critical variables, such as the  
63 aboveground biomass or the total leaf area from the plant size distribution, then relies on model default allometries which are  
64 often derived from other, potentially non-representative site-specific data.

65 Parameter uncertainty arises among other things from the necessary simplification of the natural complexity into a coherent  
66 list of model parameters, the uncertainty in the measurements used to calibrate the model, or the methods used to upscale local  
67 measurements to scales on which TBMs operate (Zaehle et al. 2005). Previous sensitivity analyses have underlined the critical  
68 importance of parameter uncertainty for the projections of ecosystem demography and productivity (Dietze et al. 2014;

69 Massoud et al. 2019; Raczka et al. 2018; Wramneby et al. 2008). In a recent comparative study, parameter uncertainty was  
70 even shown to dominate the overall model uncertainty over process uncertainty (Shiklomanov et al. 2020). Among model  
71 parameters, allometric coefficients scale the shape and mass of the plants or of its components with their size (Chave et al.  
72 2014). Not surprisingly, multiple TBMs were shown to be sensitive to such allometric parameters (Collalti et al. 2019; Cano  
73 et al. 2020; Esprey et al. 2004). Parameter uncertainty can be reduced by constraining the range of variation of model  
74 parameters through the assimilation of different sources of observations or via model optimization (LeBauer et al. 2013). In  
75 the past, TBMs have often been calibrated with eddy covariance data (Fer et al. 2018; Rezende et al. 2016; Collalti et al. 2016).  
76 While this approach ensures that the model correctly reproduces the short timescale (diurnal/seasonal) dynamics of land fluxes,  
77 it does not ensure an accurate representation of forest structure and carbon pools. This is especially true because forest  
78 structure-related parameters can present a low sensitivity to those observations (LeBauer et al. 2013; Richardson et al. 2010),  
79 and the equifinality in TBMs (Luo et al. 2009) can lead to acceptable land fluxes with a poor representation of ecosystem  
80 structure (i.e. fluxes can be reproduced from an almost infinite range of structural possibilities, some of which will be much  
81 more likely than would be others).

82 Among the different sources of observations used to reduce model uncertainties, remote sensing from various platforms  
83 (terrestrial, air- and space borne) has increasingly been used to monitor and understand terrestrial ecosystems (Jones and  
84 Vaughan 2010). LiDAR (Light Detection And Ranging) data in particular have been used in the past to initialise forest biomass  
85 and constrain predictions of TBMS (Thomas et al. 2008; Hurtt et al. 2019). The recent revolution in Terrestrial Laser Scanning  
86 (TLS, also called terrestrial LiDAR) provides new opportunities for constraining TBMs, and reducing the uncertainties related  
87 to the vegetation structure representation (Fischer et al. 2019). The ability of TLS to measure the distance to reflecting surfaces  
88 was initially used in ecological studies to measure simple metrics like DBH and tree heights (Maas et al. 2008; Hopkinson et  
89 al. 2011). Since then, TLS methods have rapidly evolved to derive more complex metrics, such as the vertical profiles of the  
90 forest structure (Jupp et al. 2009; Calders et al. 2018) and whole-tree volumetric assessments (Fan et al. 2020), leading to an  
91 accurate determination of forest structure across various forest types (Calders et al. 2015; Tanago et al. 2018; Takoudjou et al.  
92 2018; Ehbrecht et al. 2017; Stiers et al. 2018; Saarinen et al. 2021.). Today, the ability of TLS to accurately represent the 3D  
93 structure of forests via quantitative structure modelling (QSM), see Raumonen et al. (2013) and Hackenberg et al. (2015)  
94 represents a unique opportunity to improve our understanding of forest ecosystems under changing climates (Calders et al.  
95 2020). In particular, TLS snapshots of vegetation ecosystems could simultaneously provide important state variables to  
96 initialise TBMs, strong constraints to some critical allometric parameters, and help determine the most appropriate model  
97 structure for some key processes.

98 In this study, we evaluated the relative contribution of different sources of uncertainty (parameters, processes, initial  
99 conditions) to the overall uncertainty of multiple simulated outputs of a specific TBM, namely the Ecosystem Demography  
00 model version 2 (ED2.2). We also explored the benefits of constraining vegetation structure related parameters and processes  
01 using TLS on the model performance and output variability. To do so, we ran ED2.2 simulation ensembles for a temperate

02 forest in the UK considering different initial states for the modelled ecosystem, and varying multiple model parameters and  
03 process settings with or without TLS constraints. In other words, we assessed: (i) the relative importance of the model structure,  
04 initialisation, and parameter uncertainties in the ED2.2 model representation of a temperate forest; (ii) the potential added  
05 value of TLS data for vegetation modelling. To the best of our knowledge, this study is the first attempt to fuse TLS data and  
06 a TBM.  
07

## 08    **2 Material and Methods**

### 09    **2.1 Study site and data**

#### 10    **2.1.1 Study site**

11    Wytham Woods is a mixed deciduous forest, predominantly broadleaved, covering approximately 40 ha. It is located 5 km  
12    northwest of Oxford in southern England (Thomas et al. 2011). Owned by Oxford University, Wytham Woods has been part  
13    of the UK Environmental Change Network (ECN) and of the Smithsonian Global Earth Observatory (SIGEO) network since  
14    1992 and 2008, respectively, and has hosted numerous ecological studies (Savill et al. 2010). The site is classified as an ancient  
15    semi-natural woodland (Hall et al. 2001), which means that the site has been continuously covered by trees through recorded  
16    history (since at least 1600), occasionally managed, and experienced minimal intervention (i.e. no silvicultural management)  
17    since WWII (Fenn et al. 2015). Over the 1993-2008 time period, the site was characterised by a mean annual temperature of  
18    10°C and a mean annual precipitation of 726 mm (Butt et al. 2009). The area we simulate in this study is a 1.4 ha forest plot  
19    nested within the 18 ha long-term monitoring site part of the ForestGEO global network of forest inventory plots. This 140 m  
20    × 100 m area has a local SW-coordinate (0, 100) and local NE-coordinate (140, 200) boundary. The local origin coordinate  
21    (0,0) was located with a differential GPS at Lat 51.7750579 and Lon -1.33904729.

#### 22    **2.1.2 Field inventory and Terrestrial Laser Scanning data**

23    The studied plot was inventoried during the summer of 2016. All trees were located, measured, and identified at the species  
24    level. The plot is largely dominated by sycamore (*Acer pseudoplatanus*, 65.3% of the 815 inventoried trees in the 1.4 ha plot,  
25    see Table 1, Figure 1 and Supplementary Figure S1), ash (*Fraxinus excelsior*, 10.3% of the stems), and hazel (*Corylus avellana*,  
26    8.2% of the stems). Oaks (*Quercus robur*) represent a limited fraction of the woody stems (4.3%) but disproportionately  
27    contribute (23.4%) to the total basal area as they mostly consist of large trees (Table 1 and Figure 1). From the inventory, tree  
28    DBH is 24.4 cm on average (DBH median is 19.8 cm), and ranges from 2.9 cm to 141.2 cm.

29    Three-dimensional forest structure data were collected using a RIEGL VZ-400 terrestrial laser scanner (RIEGL Laser  
30    Measurement Systems GmbH) in leaf-on (June and July 2015) and leaf-off (December 2015 and January 2016) conditions  
31    (Calders et al. 2018). The RIEGL instrument uses on-board waveform processing and records multiple return LiDAR data,  
32    which improves vertical sampling (Lovell et al. 2003; Calders et al. 2014). Individual trees were extracted using treeseg (Burt,  
33    et al. 2019), and their structure modelled with TreeQSM (Raumonen et al. 2013) with the leaf-off TLS point cloud. Leaves  
34    were then added to the individual tree branches using both the leaf-off and -on TLS datasets with the FaNNI algorithm  
35    (Åkerblom et al. 2018). Doing so, TLS allowed retrieving of individual tree height, aboveground woody biomass (modelled  
36    through estimates of volume combined with species-specific wood density), and leaf area. In addition, the individual tree  
37    crown area was computed from the vertical projection of the leaf-off point clouds of individual trees. For more details, a  
38    complete description of the TLS data collection and forest stand reconstruction is available in Calders et al. (2018).

### 2.1.3 Flux tower data and species traits

Stand-scale carbon and water fluxes have been occasionally measured in Wytham Woods using the eddy covariance technique. We digitised the most recent (to our knowledge) data collection of CO<sub>2</sub> fluxes that was reported by Thomas et al. (2011) for the period May 2007-April 2009. To do so, we digitised the weekly mean values of ecosystem gross primary productivity (GPP), ecosystem respiration (R<sub>eco</sub>), and net ecosystem productivity (NEP) from Figure 6 of the aforementioned reference using the Plot digitizer software (v.2.6.8, <http://plotdigitizer.sourceforge.net/>). For a more detailed description of the eddy covariance data (including the data frequency of the original data, and the data quality filtering), we refer the readers to the original publication by Thomas et al. (2011).

In addition, we extracted all existing records of specific leaf area (SLA) and maximum rate of carboxylation (V<sub>c,max</sub>) for the five most important species in Wytham woods (*Acer pseudoplatanus*, *Corylus avellana*, *Crataegus monogyna*, *Fraxinus excelsior*, and *Quercus robur*) from the TRY database (Kattge et al. 2020), see Table 1 (the complete list of references from which the data originate is available in supplementary section 1). Individual traits were converted into ED2.2 units (m<sup>2</sup> kgC<sup>-1</sup> for SLA with a fixed leaf carbon content of 0.5 and μmolC m<sup>-2</sup> s<sup>-1</sup> for V<sub>c,max</sub>). V<sub>c,max</sub> data were also rescaled to the ED2.2 reference temperature (15°C) using the model default value for the temperature coefficient Q<sub>10</sub>. Following Asner et al. (2017), we calculated the community-weighted mean (CWM) and community-weighted standard deviation (CWSD) for both traits based on the species composition and species-level average values, using species basal area as weights:

$$CWM = \frac{\sum_{i=1}^N w_i \cdot x_i}{\sum_{i=1}^N w_i} \quad \text{Equation (1)}$$

$$CWSD = \sqrt{\frac{\sum_{i=1}^N w_i \cdot (x_i - CWM)^2}{(N-1) \sum_{i=1}^N w_i}} \quad \text{Equation (2)}$$

where  $N$  is the total number of species for which data was available in TRY for each trait  $x$ ,  $x_i$  is the mean trait value for species  $i$ , and  $w_i$  is the species weight (here the basal area of species  $i$ ).

Flux tower data were used as a validation dataset while the TRY data were used to constrain parameters of the TBM used in this study and described just below.

## 2.2 Model

### 2.2.1 The terrestrial biosphere model ED2.2

ED2.2 is a terrestrial biosphere model that can simulate the vegetation dynamics of a wide range of ecosystems from boreal to tropical forests (Longo et al. 2019). It is a cohort-based, spatially implicit model that approximates the behaviour of an individual-based, spatially distributed vegetation model through a system of size- and age-structured partial differential

equations (Moorcroft et al. 2001). ED2.2 integrates modules of plant growth, mortality, phenology, disturbance, hydrology, and soil biogeochemistry to predict e.g., the demography, the succession, and the dynamics of water and carbon within the simulated ecosystem.

In ED2.2, the inter- and intra-specific diversity is represented by a set of plant functional types (PFTs) that differ by their leaf physiology, phenology, growth and allocation strategies, mortality, and sensitivity to environmental conditions (D. Medvigy et al. 2009). The trees inventoried in Wytham Woods were classified as either mid- or late-successional temperate deciduous trees (see below for the reasoning of the mapping). These PFTs are cold-deciduous, i.e. leaf phenology is prognosed by the accumulation of growing degree-days (growing season) and chilling days (senescing season) (Longo et al. 2019). A comprehensive model description, including photosynthesis, allometries, radiative transfer, and phenology, is available in Longo et al. (2019).

The ED2.2 model is available at <https://doi.org/10.5281/zenodo.3365659>.

### **2.2.2 Model initialisation and forcings**

In this study, the ED2.2 model was initialised using i) near-bare ground (NBG) initial conditions (i.e. seedlings only), ii) the field inventory, or iii) the TLS-reconstructed size distribution. In the latter two configurations, the 1.4 ha site was initially divided into 35 square patches of 20 x 20 m. These three types of initial conditions are referred to below as NBG, Census, and TLS respectively. Simulations were run for multiple years using the local forcing data of the corresponding years of the CRU-NCEP reanalysis dataset (Viovy 2018). Simulations were run for either five years (Census and TLS configurations) or the approximate age since the last large-scale disturbance (100 years, NBG configuration), see Table 5. Soil texture was set according to the dominant soil type, based on site-level observation (Butt et al. 2009).

### **2.2.3 Allometries and model parameters**

In ED2.2, the carbon made available from net assimilation is partitioned at the cohort level into the different plant pools according to DBH-dependent allometries (Longo et al. 2019). In other words, plant cohorts allocate the carbon assimilated through photosynthesis to living tissues (i.e. fine roots, sapwood, leaves, seeds), the non-structural storage pool, and the dead tissues (i.e. coarse roots, and aboveground woody biomass) depending on (i) a set of allometries and (ii) whether the plant carbon balance and environmental conditions are favourable for growth. In ED2.2, aboveground woody biomass, height, leaf biomass, and crown area are scaled through DBH-dependent allometries (Table 3). The ED2.2 default allometric models and parameters are defined according to Medvigy et al. (2009) for the leaf biomass and height, Dietze et al. (2008) for the crown area, and Albani et al. (2006) for the aboveground woody biomass.

To estimate the relative contribution of the parameter uncertainty to the variability of the model outputs, we used parameter distributions from previous ED2.2 parameter uncertainty studies (Dietze et al. 2014; Shiklomanov et al. 2020; Raczka et al.



2018; Viskari et al. 2019). We only targeted those parameters that were shown to significantly contribute to the overall parameter uncertainties in the aforementioned studies (Table 4) and set the rest to their ED2.2 default values for all simulations. For SLA and  $V_{c,max}$  in particular, we defined two types of parameter distributions: either relatively wide priors as in the previous sensitivity analyses listed above (Table 4) or constrained posteriors generated by the trait meta-analysis of the Predictive Ecosystem Analyzer (PEcAn) run with the existing data in TRY, see (LeBauer et al. 2013; Meunier et al. 2021). Those distributions are referred to below as without or with TRY-constraints, respectively. The uncertainty of the allometric coefficients was determined either by the range of variation of those parameters in the ED2.2 model for hardwood tree PFTs (NBG and Census configurations) or by the posterior distributions of these parameters generated when fitting the TLS data (see below).

#### 2.2.4 Model configurations

To assess the importance of the model structure uncertainty, we targeted processes that were shown to induce significant variability in the model outputs in previous studies (Shiklomanov et al. 2020). In detail, we ran the model with multiple combinations of the following configurations: (i) closed canopies versus crowns of finite radii; (ii) two-stream versus multiple-scatter canopy radiative transfer models (RTMs); (iii) static versus plastic (varying with available light level) SLA and  $V_{c,max}$ ; and (iv) a single versus two plant functional types (Table 2).

By default in ED2.2, plant canopies are represented as infinitely thin flat crowns (a.k.a. complete shading or closed canopy) that virtually occupy the entire horizontal space of the patch in which the cohort is located. In an alternative configuration, cohorts are still stacked on top of each other but have a finite radius and hence tallest plants only partially shade the underlying cohorts. In other words, the crown sub-model of ED2.2 determines the nature of the light competition between cohorts. Closed canopies have been shown to dramatically suppress competition from sub-dominant PFTs and typically result in unrealistically homogeneous patches (Fisher et al. 2015) while understorey cohorts receive more incoming diffuse and direct light if finite crowns are simulated.

The second sub-model we investigated was the choice of RTM. In both options (two-stream and multi-scatter), the full vertical radiation profile within each patch is resolved as a function of the canopy structure (e.g. leaf and wood area, clumping) and the environmental conditions (e.g. incident solar radiation, solar angle) following the approach of CLM 4.5 (Oleson et al. 2013). Both RTMs differ in the numerical resolution of the radiative transfers. By default (two-stream), the special multi-canopy solution of the two-stream approximation for vegetation canopies (Sellers 1985) is used as described in Longo et al. (2019) while the multiple-scatter is derived from first principles by Zhao and Qualls (2005) to address the long-known issues and biases of the two-stream model (Wang 2003). The multiple-scatter configuration increases diffuse light levels in the understorey as compared to the default two-stream approach (Shiklomanov et al. 2020).

The third sub-model that we evaluated is related to trait plasticity. By default (static), all cohorts of a given PFT share the same set of parameters which do not evolve over time, in contradiction with well-documented intra-specific variability of plant traits

27 with environmental conditions (e.g. Keenan and Niinemets 2016). In the alternative configuration (plastic), cohort SLA and  
28  $V_{c,max}$  respectively decrease and increase with light availability, following empirical relationships from the tropics (Lloyd et  
29 al. 2010).

30 Finally, we also evaluated the impact of simulating one or multiple PFTs by either classifying all trees in the Wytham Woods  
31 inventory as belonging to the mid-successional hardwood tree PFT of ED2.2 ( $N_{PFT} = 1$ ) or according to a classification similar  
32 to the one of Dietze and Moorcroft (2011), ( $N_{PFT} = 2$ ), supplemented by a clustering analysis of the allometric relationships  
33 derived from the TLS data (see below).

## 34 **2.3 Analyses**

### 35 **2.3.1 Impact of TLS data on model allometries and initial conditions**

36 We first compared the model default allometries with site-specific ones constrained from the TLS data. To do so, we fitted the  
37 individual plant metrics (height, crown area, aboveground woody biomass, and leaf area) versus DBH relationships derived  
38 from TLS with the set of equations used in ED2.2 (Table 2). More specifically, we fitted the parameters of the four allometries  
39 of ED2.2 using a Bayesian approach and the brms package of R (Bürkner 2017). To convert the leaf area obtained from TLS  
40 into leaf biomass, we used the CWM of SLA. We evaluated the quality of fit of the allometric models by computing the root-  
41 mean-square deviations (RMSD, van Breugel et al. 2011) normalised by the observed mean and the Watanabe information  
42 criterion (WAIC) for all four allometric models (height, crown area, aboveground woody biomass, leaf biomass). We fitted all  
43 allometric models using multiple possible species-to-PFT classifications and only retained the classifications that minimised  
44 the WAIC for the configurations  $N_{PFT} = 1$  and  $N_{PFT} > 1$ .

45 To assess the relative importance of TLS for the model initialisation, we compared the tree size distributions obtained from  
46 the field inventory and the TLS data and computed the absolute and relative differences between both DBH distributions  
47 (ground-truthing of TLS).

### 48 **2.3.2 Ensemble runs**

49 For each type of initial conditions (NBG, Census, and TLS), we ran ensembles of 500 simulations with parameters randomly  
50 sampled from the parameter distributions (Table 4) and with process configuration randomly selected from the different options  
51 (Table 5). Each ensemble was equally split between runs with (250) and without (250) TRY constraints on SLA and  $V_{c,max}$ .  
52 The same parameter samples and process configurations were used for all three types of initial conditions, and with and without  
53 TRY restrictions on SLA and  $V_{c,max}$  to allow independently evaluating the impact of the initial conditions, TRY- and TLS-  
54 constraints at specific parameter values.

### 55    **2.3.3 Sensitivity analyses and variance decomposition**

56    Finally, we assessed which processes and parameters contributed the most to the overall model variance by performing a  
57    sensitivity and a variance decomposition analysis following Dietze et al. (2014) and Lebauer et al. (2013). This analysis allows  
58    predicting the fraction of the variance in target output variables attributable to individual parameters and processes (or “partial  
59    variance”). We chose as target output variables the ecosystem GPP during the most productive month (June) or over the leaf-  
60    on season (May-October), the total leaf area index (LAI) and the understorey photosynthetically active radiation (PAR) in leaf-  
61    on conditions, as well as the aboveground woody biomass at the end of the simulation. For the NBG configuration, we also  
62    decomposed the variance of the total stem density (which is prescribed in the other two configurations). Parameters included  
63    in the variance decomposition analyses were re-classified as belonging to one of these three categories: allometric parameters,  
64    TRY-constrainable parameters (SLA and  $V_{c,max}$ ), and others. All five years of the Census and TLS configurations were kept  
65    for analysis while only the last five years of the NBG runs were considered. Note that the variance partitioning algorithm that  
66    we used only attributes to the parameters and processes their direct effect: interactions are not accounted for in the variance  
67    decomposition.

68    All analyses presented in this study were performed using R 3.6 (R Core Team, 2019). Code and supporting data (including  
69    initialization and setting files) for reproducing the results presented below are publicly available in Zenodo and have the  
70    permanent DOI 10.5281/zenodo.6363617.

## 3 Results

### 3.1 Impact of TLS data on model allometries and initial conditions

TLS-extracted and field inventory DBHs were very well correlated ( $R^2 = 0.98$ , slope of the inventory vs TLS linear model = 0.998, see supplementary Figure S4). The mean (resp. median) relative difference between the TLS and field inventory DBHs was -0.2% (resp. -1.7%), see Supplementary Figure S5. The minimum and maximum absolute differences in DBH were -13.8 and 32.9 cm, respectively; the minimum and maximum relative differences were -42 and 101%, respectively (Supplementary Figure S5). The total tree basal area from the inventory was  $36.8 \text{ cm}^2 \text{ m}^{-2}$  while the total tree basal area obtained from TLS tree reconstruction was  $36.2 \text{ cm}^2 \text{ m}^{-2}$ .

Individual tree measurements from QSMs applied to the TLS point cloud could all be satisfactorily represented by the ED2.2 allometric equations and a single PFT (Figure 2).  $R^2$  of the allometric models for the individual aboveground woody biomass, height, crown area, and leaf biomass respectively reached 0.95, 0.83, 0.67, and 0.77. The normalised RMSD changed from 18.3 to 16.9% (height), from 85.1 to 75.7% (crown area), from 146.1 to 95.0% (woody biomass), and from 151% to 83.5% (leaf biomass) when switched from ED2.2 default allometries for the mid-successional hardwood tree PFT to TLS-derived, site-specific ones (Table 3).

Over the DBH range in Wytham Woods, TLS-derived allometries led to systematically larger allocations to aboveground woody biomass (+73% on average, up to +177% for the smallest tree) and leaf biomass (+75% on average), and smaller tree height (-1.9 m on average) as compared to ED2.2 defaults (Figure 2). Individual crown areas derived from TLS measurements varied between 0.2 and  $465.4 \text{ m}^2$ , with a mean of  $26 \text{ m}^2$ . As compared to the TLS-calibrated allometries, default model coefficients predicted larger crown areas for trees with  $\text{DBH} < 64 \text{ cm}$  (-22% on average), and smaller crown areas for trees with  $\text{DBH} \geq 64 \text{ cm}$  (+17% on average), see Figure 2. The latter category ( $\text{DBH} \geq 64 \text{ cm}$ ) comprised 30 trees (3.7% of the total) and contributed to 30.7% of the total basal area and 24.9% of the total leaf area.

Increasing the number of PFTs only slightly improved the goodness of fit of all four allometric models. The best species-to-PFT mapping according to the literature-informed minimization of the Watanabe information criterion was to classify *Acer pseudoplatanus* as belonging to the late-successional hardwood PFT and the rest of the tree species as belonging to the mid-successional hardwood PFT (Table 1, Supplementary Figures S2 and S3). Using this classification, the normalised RMSD of the allometric models decreased from 16.9 to 16.8% (height), 75.7 to 71.1% (crown area), 95.0 to 77.9% (aboveground woody biomass), and 83.5 to 73.9% (leaf biomass). This mapping resulted in larger crown areas and larger carbon allocation to woody and leaf tissues for small ( $\text{DBH} < 50 \text{ cm}$ ) trees of the mid-successional tree PFT and taller late-successional trees across all DBHs (+1.16 m on average).

### 00 3.2 Ensemble runs

01 Regardless of the TRY constraints and the model configurations, the model ensembles could reproduce both the amplitude  
02 and the seasonality of the gross ecosystem productivity, as observed by the eddy covariance flux tower, with a maximum GPP  
03 in June and a leaf-off season with close-to-zero GPP in December-February (Figure 3).  $R^2$  of observed vs simulated mean of  
04 the monthly GPP was larger than 0.93 for all configurations (NBG, Census, TLS) while the RMSE varied between 1.2 (NBG),  
05 1.3 (TLS) and 1.9 (Census)  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , much lower than the mean and standard deviation of the two years of observational  
06 data of GPP (5.5 and 4.7  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively). Because we only simulated fully deciduous tree PFTs, model ensembles  
07 underestimated GPP during winter: simulated ecosystem LAI and hence ecosystem gross productivity dropped to almost zero  
08 in December-February (Supplementary Figure S6) while measured ecosystem productivity was non-null during the same  
09 period (Figure 3), driven by evergreen understory plants such as shrubs that were not included in our simulations.

10 The variability of the simulated GPP was critically influenced by the model configuration and the application of constraints  
11 on SLA and  $V_{c,\text{max}}$  (Figure 3). The standard deviation of the ensemble runs for the simulated GPP was not unexpectedly the  
12 largest for the configuration with the least information on the ecosystem (the NBG configuration without TRY constraints),  
13 and reached 6.33  $\mu\text{mol m}^{-2} \text{s}^{-1}$  for June (Figure 3). More than 23% of the runs in the NBG configuration without TRY  
14 constraints led to unvegetated conditions ( $\text{LAI} < 0.1 \text{ m}^2 \text{m}^{-2}$ , all year long, see Supplementary Figure S6) after 100 years of  
15 simulations while about 5% of the runs simulated unrealistically dense tree covers ( $\text{LAI} > 10 \text{ m}^2 \text{m}^{-2}$  when the tree covers  
16 reaches its maximum). Combined with the uncertainty of the photosynthetic parameters, the LAI variability explained the  
17 extreme variability of the ecosystem's gross productivity. The confidence interval of the simulated ecosystem GPP in June for  
18 the NBG configuration without TRY constraints (0 - 19.8  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) was almost twice as large as the observed GPP at that  
19 moment (13.2  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ). The predicted variability of the ecosystem LAI and GPP was on the contrary very low for the  
20 TLS configuration with TRY constraints:  $3.79 \pm 0.50 \text{ m}^2 \text{m}^{-2}$  for the ensemble mean ( $\pm$  one standard deviation) of the ecosystem  
21 LAI (Supplementary Figure S6),  $9.86 \pm 2.89 \mu\text{mol m}^{-2} \text{s}^{-1}$  for the ensemble mean ( $\pm$  one standard deviation) of the ecosystem  
22 GPP (Figure 3), both during leaf-on conditions, which compared well with independent observations (Table 6). The confidence  
23 interval of the simulated ecosystem GPP in June for the TLS configuration with TRY constraints was significantly reduced  
24 (11.8 - 17.6  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) and much closer to the confidence interval of the observations (11.5 - 14.6  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ).

25 Prescribing realistic initial conditions reduced the variability of the simulated outputs (ensemble standard deviation of GPP in  
26 June for the Census configuration without TRY constraints was 4.83  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), just like imposing the TLS-constrained  
27 allometries (ensemble standard deviation of GPP in June for the TLS configuration without TRY constraints was 3.78  $\mu\text{mol}$   
28  $\text{m}^{-2} \text{s}^{-1}$ ). However, for the ecosystem productivity constraining SLA and  $V_{c,\text{max}}$  was even more critical: ensemble standard  
29 deviation of GPP in June for the Census and TLS configurations with TRY constraints decreased to 1.99 and 1.54  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ,  
30 respectively (Figure 3 and Figure 4 where the pie radius is proportional to the variance of ecosystem GPP).

### 31 3.3 Variance decomposition and sensitivity analyses

32 The variance of the ecosystem GPP was dominantly driven by the parameter uncertainty regardless of the configuration and  
33 the application of TRY constraints (Figure 4). Together, TRY-constrainable parameters, allometric coefficients, and the other  
34 ED2.2 parameters included in the sensitivity analysis, contributed on average to 63% of the total variance of GPP in June. On  
35 average, processes only accounted for 11% of the overall variance of GPP with a maximum (resp. minimum) for the TLS  
36 configuration with TRY constraints with 20% (resp. for the NBG without TRY constraints with 5%). Constraining SLA and  
37  $V_{c,max}$  with TRY datasets dramatically decreased the relative contribution of these two parameters to the overall variance:  
38 moving from uninformed priors to posteriors generated by the trait meta-analysis of PEcAn made the sum of their partial  
39 variances drop from a majority (57% on average for all three configurations) to a small contribution (7% on average for all  
40 three configurations), their share being mainly replaced by unconstrained parameters which increased from 6% to 50% on  
41 average across all configurations (Figure 4), especially the Quant. Eff., the Clumping and the Growth resp. parameters (Figure  
42 5).

43 The variance decomposition of the simulated ecosystem LAI and aboveground biomass led to very similar results, yet with a  
44 larger contribution of allometries (average contribution of 6 and 20% for LAI and AGB, to be compared with the mean  
45 contribution of 3% for GPP) and hence a stronger impact of TLS-constraints on those output variables (Figure 5 and  
46 Supplementary Figures S7-S8). Processes (especially the choice of the RTM) played a stronger role for the available light in  
47 the understorey (on average 40% of the total variance), especially in runs with prescribed initial conditions (on average 56%  
48 of the total variance, see Supplementary Figure S9). Due to compensatory effects (Supplementary Figure S2), the number of  
49 simulated PFTs had a limited impact on all the considered model outputs:  $N_{PFT}$  only contributed to 3% of the variance of  
50 ecosystem GPP, 2% of the variance of LAI and PAR, and 1% of the variance of AGB.

### 51 3.4 Ecosystem and functions

52 Despite similar seasonal cycles of ecosystem productivity (Figure 3), ensemble means exhibited very contrasted ecosystem  
53 structure (Figures 6-7). None of the unprescribed simulations (NBG configuration) could capture the size distribution observed  
54 through the inventory (Figure 6). Small-size stem (especially  $DBH < 50$  cm) densities were underestimated while large tree  
55 ( $DBH > 100$  cm) densities were overestimated in the vegetated simulations ( $LAI > 0.1$  m<sup>2</sup> m<sup>-2</sup>) of the NBG configuration with  
56 or without TRY constraints. Switching from closed canopy to finite crowns systematically increased the density of small ( $DBH$   
57  $< 50$  cm) trees, by 73% on average; just like constraining SLA and  $V_{c,max}$  with TRY data. While the ecosystem LAI of the  
58 NBG configuration with closed canopies compared well with independent observations from the literature ( $3.83 \pm 1.94$  versus  
59 the range of 3.6 - 4.1 m<sup>2</sup> m<sup>-2</sup> observed in Wytham Woods, Table 6), the vertical arrangement of the leaves significantly differed  
60 from what was observed by TLS and imposed in the TLS configuration (Figure 7), as a result of the differences in tree size  
61 distribution (Figure 6).

62 Despite lower total leaf areas, the infinitely wide crown configuration (closed canopies, Table 6) made the forest more opaque  
63 to the incoming solar radiation than the finite crowns. Across all configurations, the PAR available in the understory decreased  
64 by 15% throughout the year while the ecosystem LAI decreased by 18% when closed canopies were simulated (Table 6). For  
65 near bare-ground configurations, the LAI of the potential vegetation simulated was 23% lower with infinite crowns, and 16%  
66 less PAR reached the understory.

67 As the soil received more radiation when finite crowns were simulated, it was warmer and as a result, heterotrophic (and  
68 ecosystem respiration, see Table 6) increased (+ 25% on average) when switching from infinite to finite crowns. Forest carbon  
69 stocks also diverged between configurations: driven by higher allocations to leaf and aboveground woody biomass (Figure 2),  
70 aboveground carbon storage was larger (+74% on average) in TLS-derived runs than when default allometries were applied  
71 (Table 6). Aboveground woody biomass from configurations starting from near bare-ground conditions was systematically  
72 underestimated compared to the TLS estimates (11.4 kgC m<sup>-2</sup> on average for the NBG configuration versus 24.5 kgC m<sup>-2</sup> on  
73 average for the TLS configuration). However, the larger allocation to woody biomass induced by the use of TLS-derived  
74 allometries mostly did not impact any other model outputs (Figure 5) as that carbon pool is inert and does not influence a lot  
75 of processes downstream (e.g. more woody biomass does not translate into exacerbated light interception). Leaf biomass  
76 allometry derived from TLS both reduced the simulated LAI and ecosystem GPP to more realistic values and constrained its  
77 variability (Figures 3, Table 6, and Supplementary Figure S6).

78 None of the simulation/configurations could accurately represent all features of Wytham woods. The model simulations  
79 starting from near bare-ground conditions failed to capture the vertical distribution of leaves (Figure 6) and the tree size  
80 distribution (Figure 7); the model simulations prescribed with the inventory overestimated the ecosystem GPP (Table 6); and  
81 the model simulations from the three configurations all overestimated the net ecosystem productivity (NEP), due to an  
82 overestimation of GPP (Census) and/or an underestimation of the ecosystem respiration (Census, NBG, and TLS), see Table  
83 6. Model simulations underestimated  $R_{eco}$  on average by -17% leading to unrealistic NEP predictions, which illustrates the  
84 need for constraining or optimising autotrophic and heterotrophic respiration parameters along with the photosynthetic and  
85 allometric parameters to align those with observational data.

## 86    **4 Discussion**

### 87    **4.1 The relative weight of the different sources of uncertainty**

88    The different model configurations tested in this study led to contrasting predictions of vegetation states. Depending on the  
89    chosen model outputs, the relative weights of the sources of uncertainty considerably varied. Near bare-ground simulations  
90    generated potential vegetations that significantly differed in their demography from observations (Figure 4) while prescribing  
91    initial tree size distribution was not a guarantee for accurately reproducing observed land fluxes (Figure 3, Table 6). The finite  
92    crown area representation also had a substantial impact on the model outputs. In particular, limiting the crown radius to finite  
93    values promoted smaller plants in the understorey (Figure 6), increased the simulated LAI (Table 6) and profoundly modified  
94    the vertical distribution of light in the canopy (Figure 8 and Table 6). Carbon pools also considerably diverged between model  
95    configurations, especially when TLS-derived allometries were taken into account (Table 6).

96    However, in general, it was the parameter uncertainty that dominated the overall model uncertainty (Figure 3, Supplementary  
97    Figure S7 and S8), just like it was previously observed for ED2.2 simulations of temperate forests (Shiklomanov et al. 2020).  
98    The parameters that dominated the variance depended on the use of TRY and/or TLS constraints. When observations were  
99    available, uncertainty was transferred to other unconstrained parameters while the overall variance was reduced, like in  
00    previous similar studies (Meunier et al. 2021), which supports the process of progressively integrating observations of most  
01    sensitive parameters until the model variance is reduced to satisfactorily levels in an efficient data-model fusion loop (Dietze  
02    et al. 2014).

03    Although parameter uncertainty was larger in magnitude than process uncertainty, crown size representation and the choice of  
04    RTMs appear to drive a significant part of the model process uncertainty and should be paid more attention to in future analyses.  
05    Especially, because the implementation and the sensitivity of the radiative transfer processes are currently overlooked in ED2.2  
06    like other vegetation models (Fisher et al. 2018; Viskari et al. 2019).

### 07    **4.2 The added value of TLS for vegetation modelling**

08    The quantitative information that remote sensing generates at unprecedented spatial and temporal scales can serve the purpose  
09    to reduce uncertainties in TBM projections. It has already been shown that airborne laser scanning (ALS) combined with an  
10    individual-based forest model could offer new insights into the contribution of plant size to ecosystem functioning (Fischer et  
11    al. 2019). Similarly, ALS and synthetic-aperture Radar have successfully been applied to prescribe the initial structure and  
12    composition of tropical forests (Antonarakis et al. 2011; Antonarakis et al. Moorcroft 2014; Longo et al. 2020), and LiDAR  
13    data have been coupled to allometric models to estimate carbon stocks and fluxes at large scale (Hurt et al. 2019; Thomas et  
14    al. 2008). Yet, our study is the first attempt to fuse TLS data and TBMs. As compared to ALS, TLS offers a few significant  
15    advantages, as well as some drawbacks, that are important to remember. Airborne techniques allow for wall-to-wall coverage  
16    characterising 3D forest structure at the regional scale, whereas TLS offers far more detailed information but only at the local



17 (up to a few ha) scale. However, TLS is can estimate the volume of individual trees directly, instead of relying on allometries  
18 that require calibration and thus field measurements. In addition, it can accurately capture the entire size distribution (DBH  
19 and height) of the sample plot while smaller trees can easily be missed with airborne surveys (Wang et al. 2016) leading to  
20 incorrect demography, especially in dense forests.

21 Because TLS data are complementary to the datasets that are frequently used for model calibration (e.g. eddy covariance data),  
22 they can contribute in a collective effort towards realistic representations of ecosystems in TBMs. TLS has the potential to fill  
23 important parameter and process gaps and in doing so, to help reduce the uncertainties in vegetation model simulations. The  
24 steep increase in the amount of available forest TLS data over the past decade (Calders et al. 2020) makes its coupling with  
25 TBMs even more timely. As demonstrated in this study, TLS observation can ensure a more adequate model structure,  
26 constrain model allometric parameters and prescribe representative initial conditions. Yet, only a combination of constraints  
27 on both allometries (using TLS data) and photosynthetic parameters (thanks to TRY data) could satisfactorily reduce the model  
28 uncertainties to its lowest level, which supports the integration of multiple data sources into TBMs for more realistic  
29 simulations (Peylin et al. 2016). Such fusion of a TBM and multiple data streams allowed us to accurately simulate both  
30 ecosystem productivity and ecosystem community composition with physically realistic parameters, which was previously  
31 highlighted as a challenge for dynamic vegetation models (Shiklomanov et al. 2020; Fisher et al. 2010).

#### 32 **4.3 Model equifinality**

33 Some runs from all three configurations (prescribed or not with initial size distributions) could reproduce the seasonal cycle  
34 of GPP observed by the flux tower (Figure 3). However, those ‘optimal’ simulations were very different from the forest  
35 structure point of view (Table 6, Figures 6-7). This situation illustrates the low identifiability of numerous TBM parameters  
36 and the need for multiple simultaneous constraints and observations. While aboveground carbon storage is critical to estimate  
37 forest sink strength and the overall carbon storage capacity of the ecosystem (Keeling and Phillips 2007), it has a limited  
38 impact on simulated land fluxes (GPP in particular, see Figure 5) that are often used to calibrate TBMs. The parameters  
39 controlling land fluxes, namely those controlling ecosystem LAI (Williams and Torn 2015; Wei et al. 2013) and those related  
40 to photosynthesis (Figure 5), are also confounded, echoing observed trade-offs of the Leaf Economic Spectrum (Wright et al.  
41 2004; Peaucelle et al. 2019). TLS has the potential to discriminate equifinal model simulations with similar land fluxes but  
42 contrasting structure. On-site trait measurements (Figure 3) could further help avoid those risks of equifinality (Babst et al.  
43 2020; Peaucelle et al. 2019).

#### 44 **4.4 Study limitations**

45 Our findings come with several important limitations. First, the eddy covariance flux data (2007-2009) preceded the  
46 observation of the forest structure (TLS and field inventory occurred over the 2015-2016 period) by almost a decade. The  
47 forest composition and demography might have changed in the meanwhile, which reduces the confidence of the validation

48 with eddy covariance data (Figure 3). This is even more true as one realises that the validation dataset is rather limited in size  
49 and information content (two very similar seasonal cycles of GPP). Yet, in this study we were more interested in the variance  
50 decomposition for different model configurations (Figures 3-4) than the actual goodness of fit of every single configuration.  
51 GPP is not directly observed but rather a derived (modelled) quantity as opposed to the net ecosystem exchange of carbon and  
52 the latent heat flux of water that are directly measured. We could not access water flux raw data nor were they reported in  
53 publications that we knew of. GPP uncertainties were also not quantified in the original publication of Thomas et al. (2011).  
54 While NEP values were reported, validating the model simulations with those values would have biased our analyses as we  
55 could not constrain respiration parameters with data. Mismatches between different data sources and/or the low availability of  
56 good-quality data are recurrent issues in vegetation modelling exercises. Despite multiple initiatives to standardise high quality  
57 data such as Fluxnet (Baldocchi et al. 2001), we emphasise here the need for concomitant observations in experimental and  
58 observational plots.

59 Second, the comparison between the potential vegetations as simulated by ED2.2 and the field inventory data are also imperfect  
60 as Wytham Woods is a managed forest that has been frequently coppiced and pollarded. Disturbance history experienced by  
61 the ecosystem is mostly unknown, preventing us from reproducing the current forest demography by the model.

62 Finally, the ecosystem growth form complexity was neglected in this study. We only simulated tree PFTs while shrubs and  
63 grass species also coexist in Wytham Woods. Integrating this ecological complexity would not have brought additional  
64 information or robustness regarding the objectives of our study on the variance decomposition while increasing the  
65 dimensionality and complexity of the problem. Future research should investigate whether the main findings highlighted in  
66 this study hold with other PFTs, across other sites and biomes, or even in other vegetation models (Dokoohaki et al. 2021).

## 67    **5 Conclusion**

68    Vegetation models are important tools to predict the fate of ecosystems in a changing climate but are often used as black-box  
69    tools due to their complexity. They have been designed to realistically represent the ecosystem that they simulate, but often  
70    fail to do so primarily because of considerable parameter uncertainties as well as process and initialisation errors. Even for the  
71    state-of-the-art process-based terrestrial biosphere models, not all parameters can be constrained with data: some cannot be  
72    observed in the field, require calibration, or the appropriate observational trait data may be missing. In addition, model  
73    initialisation and the choice of model structure necessarily lead to additional uncertainties. We demonstrate in this study that  
74    TLS has the potential to provide initial condition estimates and to constrain some critical vegetation model parameters  
75    (allometries) and processes (crown representation). Combined with trait-based constraints on a few key parameters, TLS was  
76    able to define a model configuration that could reproduce both the ecosystem productivity and the plant community  
77    composition of the simulated site with physically realistic parameters, as well as considerably reduce model uncertainties.

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31 **Tables**

32 **Table 1: Mean ( $\pm$  one standard deviation) of plant traits (Specific Leaf Area or SLA, and maximum rate of carboxylation or  $V_{c,max}$ )**  
33 **available in the TRY database for each of the five dominant species in Wytham woods, and their local prevalence (in terms of**  
34 **individual density and basal area). Missing traits were unavailable in TRY. The table also summarises the abundance of those five**  
35 **dominant species in the 1.4 ha plot in terms of absolute and relative density and basal area, as well as the PFT mapping when more**  
36 **than one PFT were simulated ( $N_{PFT} > 1$ ). The community weighted means (CWM) and standard deviations (CWSD) were obtained**  
37 **using the basal areas as weights.**

38 **Ap = *Acer pseudoplatanus*, Ca = *Corylus avellana*, Cm = *Crataegus monogyna*, Fe = *Fraxinus excelsior*, and Qr = *Quercus robur*. The**  
39 **colours of the different species in the first row of the Table are consistent with Figures 1 and 2.**

Trait	Ap	Ca	Cm	Fe	Qr	Others	CWM ( $\pm$ CWSD)
SLA ( $m^2 kg_C^{-1}$ )	-	34.7 ( $\pm$ 36.1)	62.8 ( $\pm$ 65.5)	-	22.9 ( $\pm$ 23.9)	-	25.1 ( $\pm$ 1.5)
$V_{c,max}$ ( $\mu mol m^{-2} s^{-1}$ )	31.9 ( $\pm$ 16.1)	-	-	39.7 ( $\pm$ 18.0)	31.1 ( $\pm$ 18.8)	-	32.6 ( $\pm$ 0.9)
PFT (if $N_{PFT} > 1$ )	LH <sup>(1)</sup>	MH <sup>(1)</sup>	MH	MH	MH	MH	
State variable							Total
Density (-)	532	67	24	84	35	73	815
Relative density (%)	65.3	8.2	2.9	10.3	4.3	9.0	100
Basal area ( $m^2$ )	31.59	0.48	0.24	5.96	11.87	0.57	50.71
Relative basal area (%)	62.3	0.9	0.5	11.8	23.4	1.1	100

<sup>(1)</sup>MH = Mid successional Hardwood trees, LH = Late successional Hardwood trees

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41 Table 2: List of varying processes included in the model ensembles in order to evaluate the model structural uncertainty as well as  
 42 their different possible configurations. Adapted from Shiklomanov et al. 2020.

Process	Description
<b>Crown model</b>	Choice of the crown representation in the canopy radiation model and in the turbulence scheme
Closed	Crowns are evenly spread throughout the patch area and cohorts are stacked on the top of each other
Finite	Cohorts have a finite radius and are stacked on the top of each other (Dietze et al. 2008)
<b>Radiative transfer model (RTM)</b>	Choice of the canopy radiation model
Two-stream	Two-stream approximation (Oleson et al. 2013; Sellers 1985)
Multi-scatter	Multiple-scatter approximation (Zhao and Qualls 2005)
<b>Trait plasticity</b>	Choice of including plant trait variation with the local environment
False	SLA and $V_{c,max}$ are constant
True	SLA and $V_{c,max}$ respectively increases and decreases with shading
<b>Plant functional diversity (<math>N_{PFT}</math>)</b>	Number of PFTs included in the simulation
1	All plant species are classified as mid-successional temperate deciduous trees
2	Plant species are mapped into two PFTs according to Table 1 classification

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Table 3: List of allometries modified in this study, ED2.2 default and TLS-derived allometric coefficients (for one or multiple simulated PFTs). The corresponding curves are plotted in Figure 2.

Allometry	Equation <sup>(1)</sup>	Parameter	ED2.2 default		TLS		
					N <sub>PFT</sub> = 1		N <sub>PFT</sub> = 2
			MH <sup>(2)</sup>	LH <sup>(2)</sup>	MH	MH	LH
Height, $h$ (m)	$h = h_{ref} + h_1 \cdot [1 - \exp(DBH \cdot h_2)]$	$h_{ref}$	1.3	1.3	-3.2	-3.2	-2.8
		$h_1$	25.2	23.4	26.2	25.4	26.4
		$h_2$	-0.05	-0.054	-0.074	-0.074	-0.07
Aboveground woody biomass, $B_d$ (kg)	$B_d = B_{d1} \cdot DBH^{B_{d2}}$	$B_{d1}$	0.16	0.24	0.37	0.67	0.23
		$B_{d2}$	2.46	2.25	2.29	2.13	2.42
Crown area, $CA$ (m <sup>2</sup> )	$CA = CA_1 \cdot DBH^{CA_2}$	$CA_1$	2.49	2.49	0.6	1.4	0.3
		$CA_2$	0.81	0.81	1.15	0.95	1.33
Leaf biomass, $B_l$ (kg)	$B_l = B_{l1} \cdot DBH^{B_{l2}}$	$B_{l1}$	0.048	0.017	0.065	0.095	0.015
		$B_{l2}$	1.46	1.73	1.48	1.22	1.69

<sup>(1)</sup>DBH = Diameter at Breast Height (cm)

<sup>(2)</sup>MH = Mid successional Hardwood trees, LH = Late successional Hardwood trees

47 **Table 4: Description of the ED2.2 parameters varied in this study, their unit, and the definition of their prior used to evaluate the**  
 48 **model parameter uncertainty. “Source code name” is the name of the parameter as it appears in the ED2.2 source code. When trait**  
 49 **plasticity is enabled, both SLA and  $V_{c,max}$  may change over time and for different cohorts of the same PFT.**

Parameter name	Description	Unit	Prior			Source code name
			Function <sup>(1)</sup>	a <sup>(2)</sup>	b <sup>(2)</sup>	
Water cond.	Soil-plant hydraulic conductance	$m^2 (kg_{C,root})^{-1} yr^{-1}$	lnorm	-10.8	3.5	water_conductance
Growth resp.	Fraction of assimilation lost to growth respiration	Unitless (0-1)	beta	4.06	7.2	growth_resp_factor
Mort. C bal.	C balance ratio at which mortality rapidly increases	Unitless	gamma	1.47	0.058	mort2
$V_{c,max}$	Maximum rate of CO <sub>2</sub> carboxylation at 15°C (baseline)	$\mu mol_C m^{-2} s^{-1}$	weibull	1.7	80	Vm0
Leaf resp.	Leaf dark respiration at 15°C	$\mu mol_C m^{-2} s^{-1}$	gamma	1.5	0.4	Rd0
Root:leaf	Ratio of fine root to leaf biomass	Unitless	lnorm	0.21	0.6	q
SLA	Specific leaf area (baseline)	$m^2 (kg_{C,leaf})^{-1}$	gamma	5.13	0.23	SLA
Clumping	Canopy clumping factor	Unitless (0-1)	beta	3	1.5	clumping_factor
Quant. eff.	Fraction of absorbed light used for CO <sub>2</sub> fixation	$mol CO_2 (mol photon)^{-1}$	weibull	3.32	0.08	quantum_efficiency
Refl. (VIS)	Leaf reflectance in the visible range (400-700 nm)	Unitless (0-1)	beta	10.1	157	leaf_reflect_vis
Refl. (NIR)	Leaf reflectance in the NIR <sup>(3)</sup> range (700-2500 nm)	Unitless (0-1)	beta	35	56	leaf_reflect_nir
Stomatal slope	Slope between leaf assimilation and stomatal conductance (Leuning)	Unitless	lnorm	2.3	1	stomatal_slope
Min. height	Minimum height for plant reproduction	m	gamma	1.5	0.2	repro_min_h

<sup>(1)</sup>lnorm = log-normal distribution

<sup>(2)</sup>The values a and b define the parameters of the prior distributions (LeBauer et al., 2013).

<sup>(3)</sup>NIR = near-infrared

51      **Table 5: Summary of the model configurations used in this study and the underlying model settings.**

		Configuration name		
		NBG	Census	TLS
Settings	Initial conditions	Near-bare ground	Inventory	TLS
	Allometric parameters	Unconstrained	Unconstrained	TLS-constrained
	Run length (years)	100	5	5
	Crown model	Closed or finite	Closed or finite	Finite
	RTM	Two-stream or multi-scatter		
	Trait plasticity	True or false		
	N <sub>PFT</sub>	1 or 2		
	Ensemble size	500		

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53 Table 6: Summary of most important state variables in all three model configurations and how they compare with observational  
54 datasets, including flux tower data of ecosystem respiration and net ecosystem productivity. Those numbers take into account the  
55 full five years of simulation for the prescribed model configurations (Census and TLS), and the last five years of simulation for the  
56 near bare-ground conditions (NBG), and the two years of eddy covariance observational data. For the observations of LAI in the  
57 leaf-on season, we provide a range of variation.

58 LAI = Leaf Area Index, AGB = Aboveground Biomass, GPP = Gross Primary Production, NEP = Net Ecosystem Productivity, PAR  
59 = Photosynthetically Active Radiation

	Units	Configuration					Observations
		NBG		Census		TLS	
		Closed canopies	Finite crowns	Closed canopies	Finite crowns	Finite crowns	
LAI <sup>(1)</sup>	m <sup>2</sup> m <sup>-2</sup>	3.83 ± 1.94	4.72 ± 3.67	4.71 ± 1.28	5.75 ± 2.74	3.79 ± 0.50	3.6 - 4.1 <sup>(3)</sup>
AGB	kg <sub>C</sub> m <sup>-2</sup>	11.9 ± 7.4	10.8 ± 6.8	16.4 ± 5.3	17.1 ± 4.7	24.5 ± 2.5	-
GPP <sup>(1)</sup>	μmol m <sup>-2</sup> s <sup>-1</sup>	9.55 ± 4.34	9.81 ± 4.70	10.90 ± 2.91	11.80 ± 2.95	9.86 ± 2.89	9.8 ± 3.4 <sup>(2)</sup>
Ecosystem respiration	μmol m <sup>-2</sup> s <sup>-1</sup>	4.51 ± 2.04	4.64 ± 2.24	4.56 ± 1.16	4.78 ± 1.15	3.98 ± 1.17	5.3 ± 2.2 <sup>(2)</sup>
NEP	μmol m <sup>-2</sup> s <sup>-1</sup>	1.53 ± 0.86	1.63 ± 0.89	2.32 ± 1.05	2.68 ± 0.42	2.26 ± 1.02	0.3 ± 2.9 <sup>(2)</sup>
PAR reaching the ground <sup>(1)</sup>	μmol m <sup>-2</sup> s <sup>-1</sup>	78.6 ± 93.2	90.9 ± 95.4	44.8 ± 34.7	58.2 ± 35.3	98.2 ± 36.0	-

<sup>(1)</sup>Leaf-on only (May to October)

<sup>(2)</sup>Reference: Thomas et al. (2011) and Fenn et al. (2015).

<sup>(3)</sup>Reference: Roberts et al. (1999)

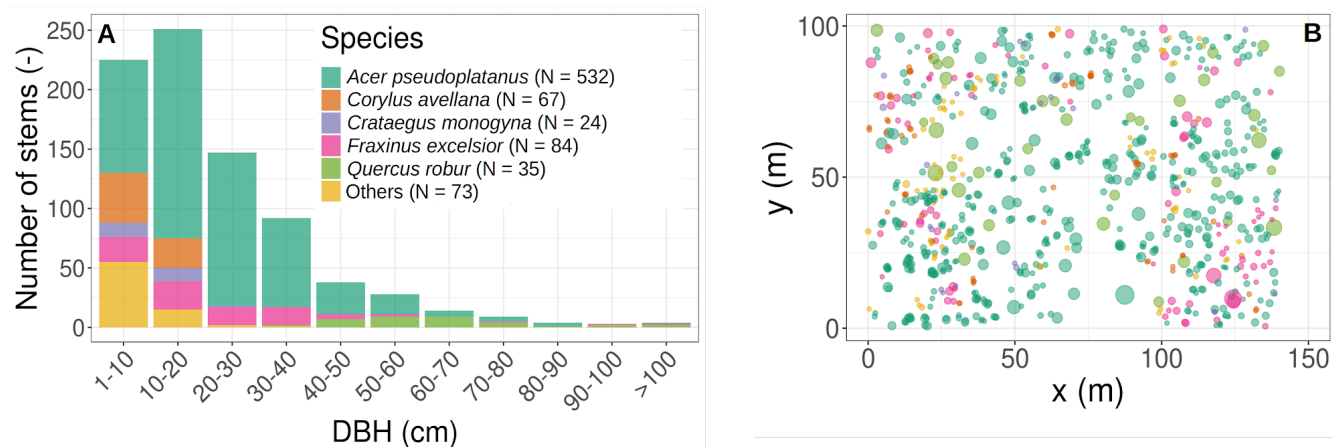
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# 63 **Figures**

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66 **Figure 1: Initial conditions in terms of tree size distribution and species composition (A), horizontal position, basal area (the size of**  
67 **the circles in panel B is proportional to the individual basal area), and species composition (B). The species colour legend applies to**  
68 **both panels and is kept the same for Figure 2 and Table 1. In the simulations, all trees were classified into either a single or multiple**  
69 **plant functional types according to the species-PFT of Table 1.**

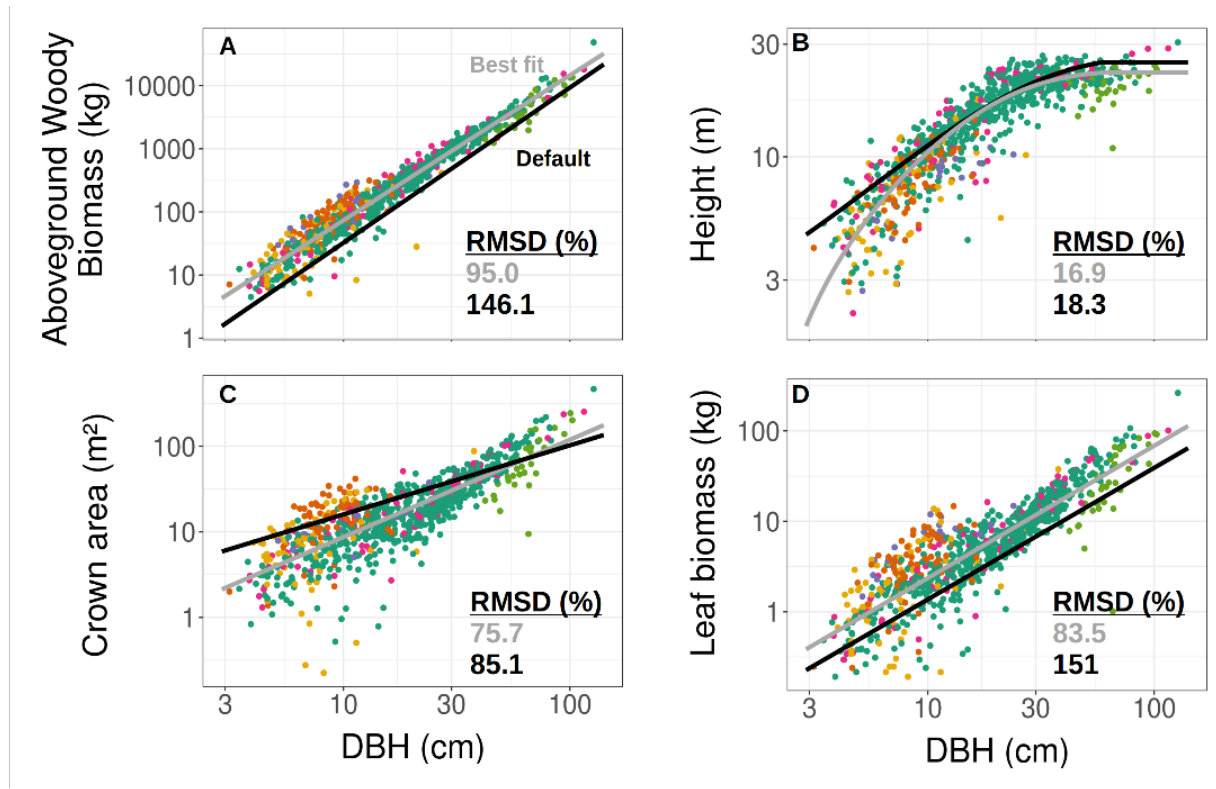


Figure 2: TLS-derived (grey, considering all tree species belonging to a single PFT) and model default (black, mid successional hardwood trees in ED2) allometries for the aboveground woody biomass (A), tree height (B), crown area (C), and leaf biomass (D). The data to which the TLS allometries were fitted (coloured points corresponding to the tree species detailed in Figure 1) were obtained using TLS. Coefficients used to plot the best fit and default allometries can be found in Table 3.

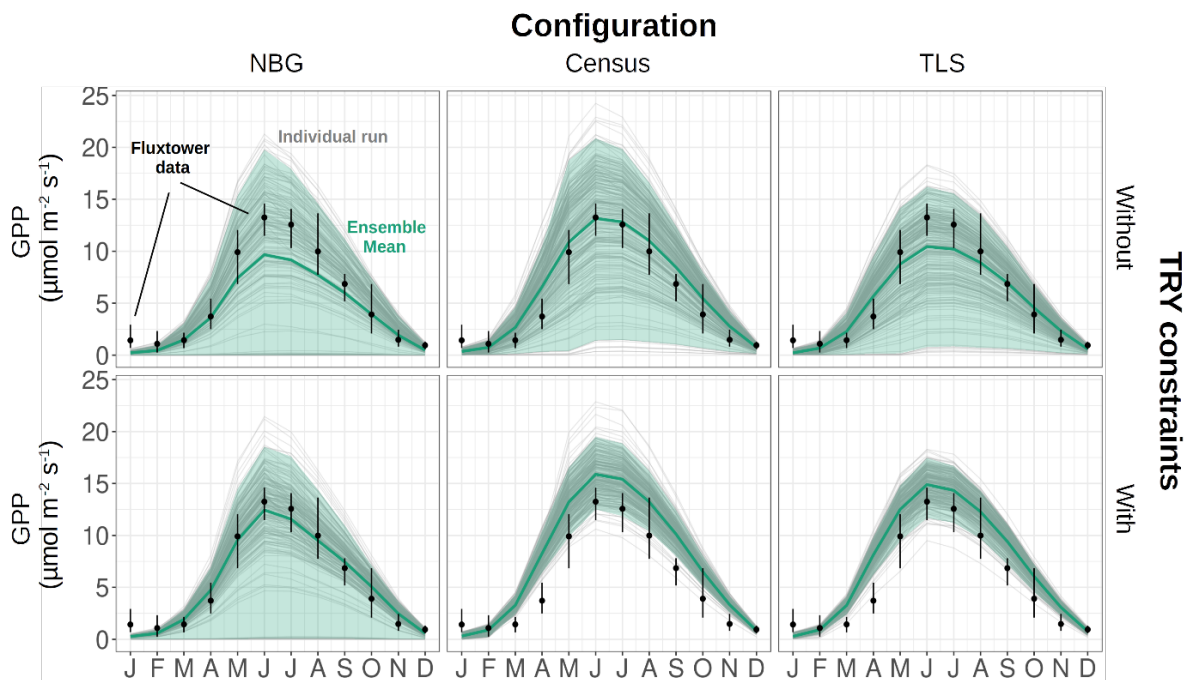
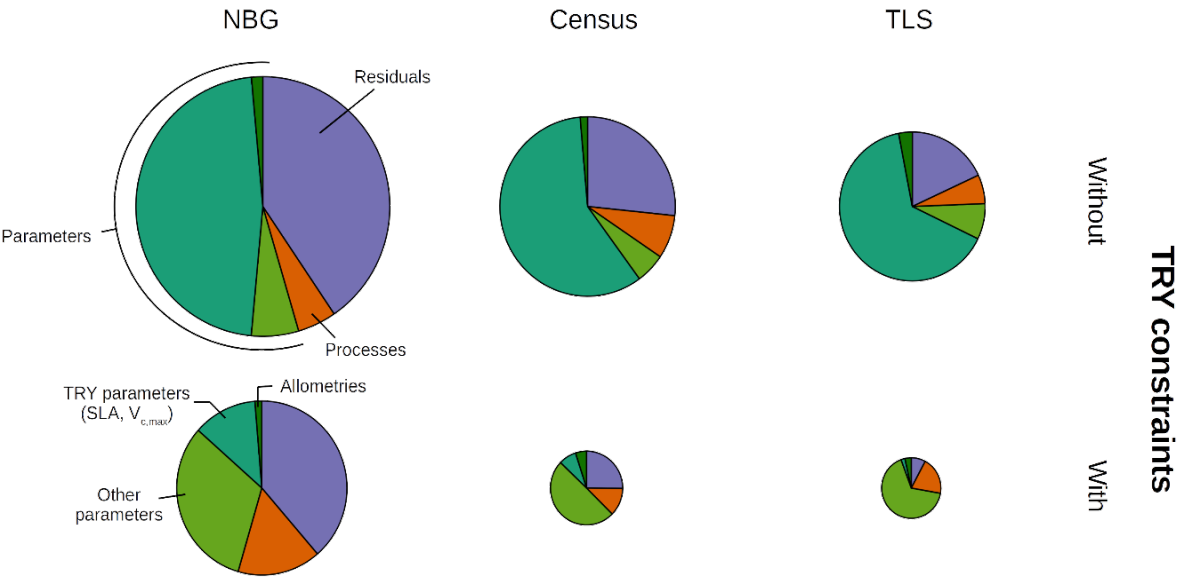
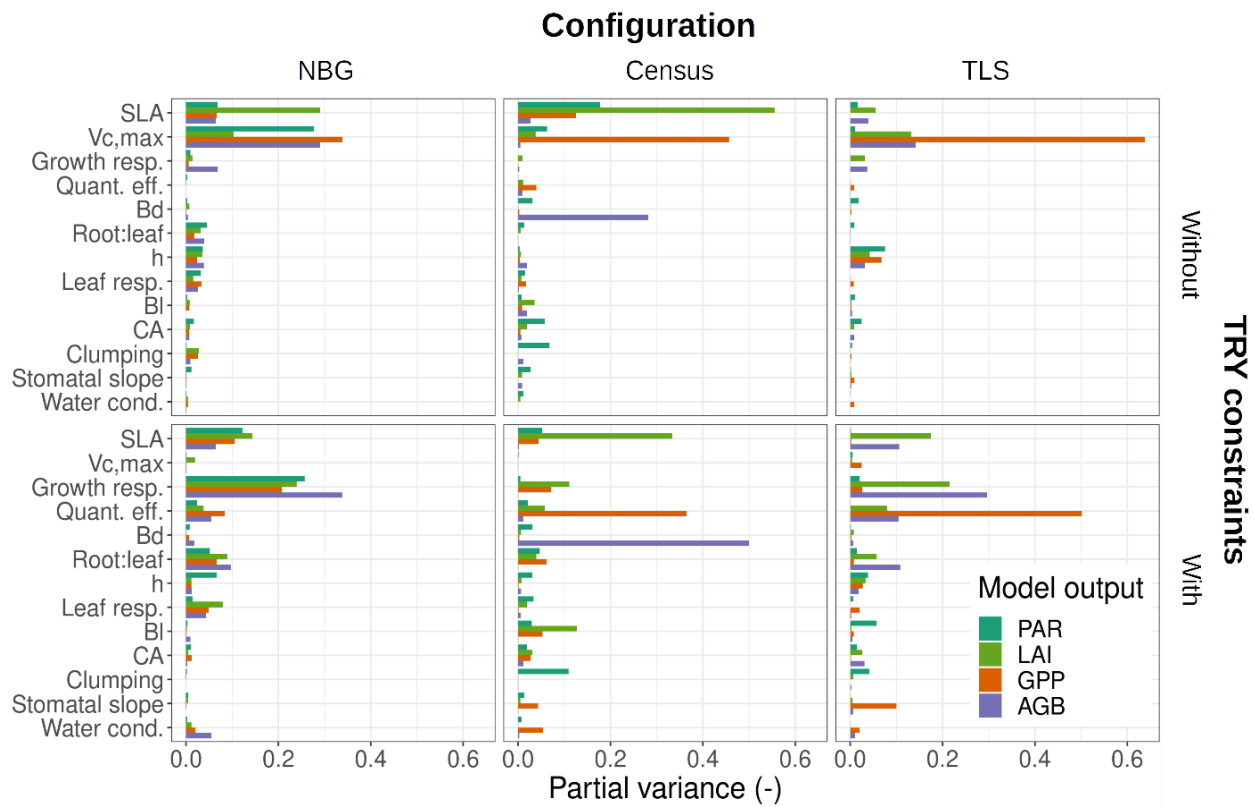


Figure 3: Seasonal cycle of the ecosystem GPP, as observed by eddy-covariance data (black dots) or as simulated by ED2.2 for multiple model configurations (columns) and with or without TRY constraints on SLA and  $V_{c,\text{max}}$  (rows). The green thick lines are the ensemble means while the shaded envelopes encompass 95% of the ensemble members. The individual ensemble members are also plotted as thin grey lines. The vertical error bars for the flux tower data represent the 95% confidence interval of the monthly GPP. The settings of the model configurations are detailed in Table 5.

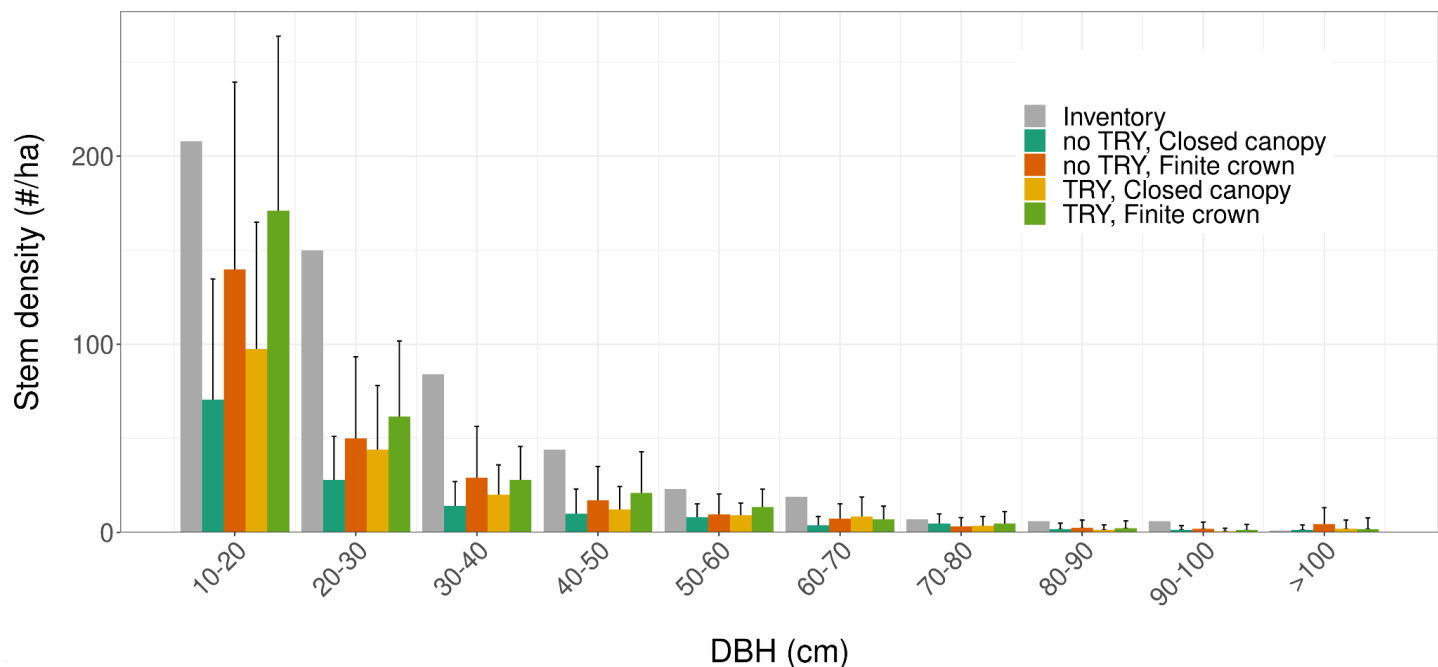
Configuration



**Figure 4: Decomposition of the simulated GPP variance into process (orange), parameter (green), and residual (mauve) uncertainty for multiple model configurations (columns) and with or without TRY constraints on SLA and  $V_{c,max}$  (rows). The parameter uncertainty was further decomposed into the contribution of the allometric, TRY-constrainable (SLA and  $V_{c,max}$ ), and other parameters (shades of green). The radii of the pie charts are proportional to the total variance of the ecosystem GPP in each configuration for the month of June (maximum GPP). The settings of the model configurations are detailed in Table 5.**



**Figure 5: Contribution of individual or allometric parameters (Bd, BI, CA and height include all parameters for the respective allometries, see Table 2) to the predicted uncertainty in ED2.2 of multiple state variables (PAR = photosynthetically active radiation reaching the ground, LAI = leaf-on ecosystem leaf area index, AGB = final ecosystem aboveground biomass, GPP = leaf-on ecosystem gross primary production) for multiple model configuration (columns) and with or without TRY constraints on SLA and  $V_{c,max}$  (rows). Only those parameters that contributed at least once to 5% or more of the total variance were included in the panels. Parameter description and distributions are given in Table 4. The settings of the model configurations are detailed in Table 5.**



96 **Figure 6: Tree size distribution for multiple model configurations starting from near bare-ground conditions after 100 years of**  
 97 **simulations (coloured bars), and how they compare to the field inventory (grey). The histograms and the vertical error bars represent**  
 98 **the means  $\pm$  one standard deviation of the ensemble member runs. Only runs that generated vegetation were kept for plotting this**  
 99 **figure.**

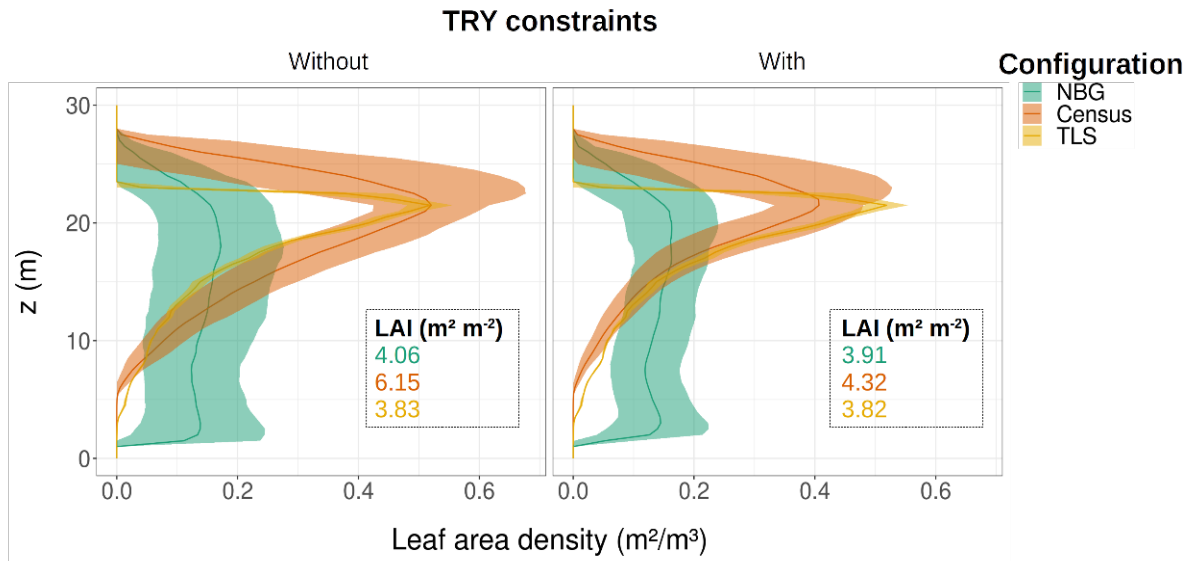


Figure 7: Ecosystem average of the leaf area density vertical distribution for the month of June for different model configurations (coloured lines and envelopes) without (left) and with (right) TRY constraints on SLA and  $V_{c,\max}$ . The envelopes encompass the mean  $\pm$  one standard deviation of the 500 ensemble member runs. Only runs that generated vegetation were kept for plotting the NBG envelopes. The settings of the model configurations are detailed in Table 5.