



Extension of a gaseous dry deposition algorithm to oxidized volatile organic compounds and hydrogen cyanide for application in chemistry transport models

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1 **Abstract**: With increasing complexity of air quality models, additional chemical species have been 2 included in model simulations for which dry deposition processes need to be parameterized. For this purpose, the gaseous dry deposition scheme of Zhang et al. (2003) is extended to include 12 3 oxidized volatile organic compounds (oVOCs) and hydrogen cyanide (HCN) based on their 4 physicochemical properties, namely the effective Henry's law constants and oxidizing capacities. 5 6 Modeled dry deposition velocity ( $V_d$ ) values are compared against field flux measurements over a mixed forest in the southeastern U.S. during June 2013. The model captures the basic features of 7 the diel cycles of the observed  $V_d$ . Modeled  $V_d$  values are comparable to the measurements for 8 most of the oVOCs at night. However, modeled  $V_d$  values are mostly around 1 cm s<sup>-1</sup> during 9 daytime, which is much smaller than the observed daytime maxima of 2-5 cm s<sup>-1</sup>. Analysis of the 10 individual resistance terms/uptake pathways suggests that flux divergence due to fast atmospheric 11 12 chemical reactions near the canopy was likely the main cause of the large model-measurement discrepancies during daytime. The extended dry deposition scheme likely provides conservative 13 14  $V_d$  values for many oVOCs. While higher  $V_d$  values and bi-directional fluxes can be simulated by coupling key atmospheric chemical processes into the dry deposition scheme, we suggest that more 15 16 experimental evidence of high oVOC V<sub>d</sub> values at additional sites is required to confirm the broader applicability of the high values studied here. The underlying processes leading to high 17

measured oVOC  $V_d$  values require further investigation.





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### 1. Introduction

Atmospheric pollutants impact human health and can also cause detrimental effects on sensitive ecosystems (Wright et al., 2018). Quantifying atmospheric deposition for atmospheric pollutants is needed to estimate their lifetimes in air and deposition rates to ecosystems. The amount of dry deposition of a pollutant of interest is typically calculated as the product of its ambient concentration and its dry deposition velocity  $(V_d)$ , with  $V_d$  being calculated using empirically developed dry deposition schemes (Wesely & Hicks, 2000). Existing dry deposition schemes are known to have large uncertainties even for the most commonly studied chemical species such as O<sub>3</sub>, SO<sub>2</sub> and more commonly measured nitrogen species with relatively rich flux datasets (Flechard et al., 2011; Wu et al., 2012; Wu et al., 2018). Existing dry deposition schemes have thus far considered a small number of oxidized volatile organic compounds (oVOCs). Due to the lack of field flux data of oVOCs,  $V_d$  of these species is typically parameterized based on physicochemical properties, taking SO<sub>2</sub> and O<sub>3</sub> as references (Wesely, 1989; Zhang et al., 2003). However, Karl et al. (2010) found that  $V_d$  of oVOCs calculated using existing schemes are about a factor of 2 lower than those based on canopy-level concentration gradient measurements over six forest and shrubland sites.  $V_d$  in their study was calculated from an inverse Lagrangian transport model with concentration gradient data as model input. The ratio of magnitudes between  $V_d(oVOCs)$  and  $V_d(O_3)$  in the study of Karl et al. (2010) are similar to those of Zhang et al. (2003) in that  $V_d(oVOCs)$  is slightly smaller than  $V_d(O_3)$  in both cases. However, the typical daytime  $V_d(O_3)$  over vegetated canopies is around 1 cm s<sup>-1</sup> in the literature from numerous studies (see summary in Silva & Heald, 2018), and the value in Karl et al. (2010) is much higher (e.g., up to 2.4 cm s<sup>-1</sup> at canopy top). One hypothesis explaining both

high  $V_d(O_3)$  and high  $V_d(oVOC_3)$  would be the reaction of  $O_3$  with oVOC, which depends on the





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chemical structure of the oVOC, but data required for validating this hypothesis are still lacking. We thus suspect that the very high  $V_d(oVOCs)$  presented in Karl et al. (2010) were likely caused by atmospheric chemical processes not typically considered in the dry deposition process. High  $V_d$ (oVOCs) values were also observed over a temperate mixed forest in the southeastern U.S. in a more recent short-term study (Nguyen et al., 2015), which again were suspected to be caused by atmospheric chemical reactions near vegetation surface. The flux measurements themselves also contain uncertainty. For example, Wu et al. (2015) showed that different measurement methods (e.g., flux-gradient versus eddy correlation) resulted in very different daytime  $V_d(O_3)$  over the same forest canopy. Hydrogen cyanide (HCN) is one of the most abundant cyanides present in the atmosphere (Singh et al., 2003) and is considered a biomass burning marker (Bunkan et al., 2013), but few existing studies have considered its dry deposition, which is critical to estimating the total sinks and atmospheric lifetimes of cyanides. To fulfill community demands of modeling dry deposition of organic compounds (Kelly et al., 2019; Moussa et al., 2016; Paulot et al., 2018; Pye et al., 2015; Xie et al., 2013) and to take advantage of the recent flux dataset of a large number of oVOCs and HCN (Nguyen et al., 2015), the present study extends the current Zhang et al. (2003) scheme by including 12 additional oVOC species and HCN. The parameterization for these newly-included species is based on the effective Henry's law constants and oxidizing capacities of the individual species and by considering the measured  $V_d$  values as well. Model-measurement comparison is conducted for  $V_d$  as well as resistance components/uptake pathways, results from which identify the major causes of modelmeasurement discrepancies. Model parameters were chosen to produce the magnitude of nighttime  $V_d$  for nearly all the chemical species, but this approach inevitably underpredicted daytime  $V_d$ values for several oVOCs species with very high measured daytime  $V_d$  values. This approach is





recommended due to the following considerations: (1) some of the chemical processes causing flux loss at the surfaces may be treated separately in the mass continuity equation in chemical transport models, (2) some of the oVOCs may also experience bi-directional air-surfaceexchange, and (3) more flux measurements are needed to confirm if the very high daytime flux for certain oVOCs is an universal phenomenon, noting that the existing data used here were from a short period of several days and over only one surface type.

At this stage with very limited knowledge on oVOC  $V_d$ , air-surface exchange models based on various theoretical and/or measurement approaches should be developed, so that these models can be made available to the scientific community where such models are urgently needed, and for future evaluation and improvement should more flux measurements become available. For example, Nguyen et al. (2015) modified the Wesely (1989) scheme to fit the flux data, while in the present study a more theoretically constrained approach was used. A more sophisticated model for handling air-canopy exchange of semivolatile organic compounds is also available in the literature (Nizzetto and Perlinger, 2012). Note that a bottom-up approach was adopted in Nizzetto and Perlinger (2012) to estimate fluxes as compared to the present study, which provides a top-down determination of deposition velocity through comparison with measured (bottom-up) fluxes. oVOC  $V_d$  values from all the existing models may all be within the uncertainty range.

## 2. Methodology

Dry deposition of a gaseous compound to most canopy types is mainly through nonstomatal uptake during nighttime and through both nonstomatal and stomatal uptake during daytime. The nonstomatal uptake depends on water solubility and reactivity of the species, which can be





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quantified by its effective Henry's Law constant  $(H^*)$  and oxidizing capacity, respectively (Wesely, 1989; Zhang et al., 2002). In the Supporting Information (SI) document, Table S1 lists H\* values and Table S2 lists the oxidizing capacities for oVOCs and HCN considered in the present study. Following the approach described in Zhang et al. (2002), two model parameters ( $\alpha$  and  $\beta$ ) are needed for every chemical species to calculate the nonstomatal uptake, with  $\alpha$  being dependent on  $H^*$  and  $\beta$  dependent on oxidizing capacity. Initial  $\alpha$  values were first given based the relative magnitudes of  $H^*$  of all the chemical species and that of SO<sub>2</sub>. Considering that the majority of the chemical species are very reactive, a value of 1.0 was used for  $\beta$  for most species and smaller values for a few less reactive species.  $\alpha$  and  $\beta$  values were then adjusted based on the agreement of nighttime  $V_d$  between modeled values and measured fluxes obtained from a forest site in the southeastern US during summer (Nguyen et al., 2015). When adjusting  $\alpha$  and  $\beta$  values, two rules were first applied: (1) the trends in  $\alpha$  (or  $\beta$ ) values between different chemical species should be consistent with the trends of their  $log(H^*)$  (or oxidizing capacity) (see Figure S1 for the finalized  $\alpha$  versus  $\log(H^*)$ ; and (2) modeled mean and median nighttime  $V_d$  should be mostly within a factor of 2.0 of the measured values (see discussion in Section 3.2 below). Only after these two rules were satisfied, then the possible maximum  $\alpha$  and  $\beta$  values were chosen to reduce the gap between the modeled and measured daytime  $V_d$ , knowing that model predicted  $V_d$  were mostly lower than the measured ones. Model theory and field data used for model evaluation are briefly described below.

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## 2.1. Parameterization scheme for $V_d$ of oVOCs and HCN

The gaseous dry deposition scheme of Zhang et al. (2003) (hereinafter referred to as the Model) was originally designed to model  $V_d$  for 31 chemical compounds including 9 inorganic species and





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22 organics. Formic acid (HCOOH) is the only oVOC species that is available in both the Model and the flux measurement dataset used here (described in the next section). In this study, the Model was extended to include 12 new oVOC species and HCN. Briefly,  $V_d$  is calculated according to:

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$$V_d(z) = (R_a(z) + R_b + R_c)^{-1}, \qquad (1)$$

where  $R_a$  is the aerodynamic resistance,  $R_b$  the quasi-laminar sub-layer resistance,  $R_c$  the surface resistance, and z the reference height above the vegetation.  $R_a$  and  $R_b$  can be estimated using the conventional micrometeorological approaches based on similarity theory and the equations used in the Model can be found in Wu et al. (2018).  $R_c$  is parameterized as:

$$\frac{1}{R_o} = \frac{1 - W_{st}}{R_o + R_{m}} + \frac{1}{R_{ms}} , \qquad (2)$$

$$\frac{1}{R_{ns}} = \frac{1}{R_{ac} + R_{g}} + \frac{1}{R_{cut}} , \qquad (3)$$

where  $R_s$  is the canopy stomatal resistance,  $R_m$  the mesophyll resistance,  $R_{ns}$  the non-stomatal resistance including resistance for uptake by leaf cuticles ( $R_{cut}$ ) and by soil or ground litter ( $R_g$ ),  $R_{ac}$  in-canopy aerodynamic resistance, and  $W_{st}$  the fraction of stomatal blocking under wet conditions.

124  $R_s$  for any gaseous oVOCs (i) or HCN is also calculated using the sunlit/shade stomatal 125 resistance approach as was done in Zhang et al. (2002):

$$\frac{1}{R_{s,i}} = G_s \left( PAR \right) f \left( T \right) f \left( D \right) f \left( \Psi \right) \frac{D_i}{D_{H,O}}. \tag{4}$$

Here  $G_s(PAR)$  is the unstressed canopy stomatal conductance for water vapor, a function of photosynthetically active radiation (PAR). The dimensionless functions f(T), f(D) and  $f(\psi)$  range from 0 to 1, representing the fractional degree of stomatal closure caused by the stress from





- temperature, water vapor pressure deficit, and leaf water potential, respectively.  $D_{H_2O}$  and  $D_i$  are the molecular diffusivities for water vapor and the gas of interest, respectively.
- $R_{cut}$  and  $R_g$  for any oVOCs or HCN are scaled to those of SO<sub>2</sub> and O<sub>3</sub> with two species (*i*)133 dependent scaling parameters  $\alpha(i)$  and  $\beta(i)$ :

$$\frac{1}{R_{cut/g}(i)} = \frac{\alpha(i)}{R_{cut/g}(SO_2)} + \frac{\beta(i)}{R_{cut/g}(O_3)}.$$
 (5)

- $\alpha(i)$  and  $\beta(i)$  values for all the chemical species are listed in Table 1, which are assigned using the method described above.
- The  $R_m$  for HCN was set to 100 s m<sup>-1</sup> based on its effective Henry's law constants and oxidizing capacities. Karl et al. (2010) found that enzymatic conversion can be an efficient pathway for the immobilization of oVOCs (e.g., methacrolein and methyl vinyl ketone, acetaldehyde, methacrolein) within leaf interior, besides dissolution and oxidation, which suggests that the magnitude of  $R_m$  for oVOCs is minimal. Thus, the  $R_m$  for the oVOCs was set to 0 s m<sup>-1</sup> (Table 1).

143 2.2. Field flux data

The fluxes of 16 atmospheric compounds (including 13 oVOC species, HCN, hydrogen peroxide  $(H_2O_2)$ , and nitric acid  $(HNO_3)$ ) were measured using the eddy covariance (EC) technique at the Centreville ("CTR") Southeastern Aerosol Research and Characterization Study (SEARCH) site (hereinafter referred to as CTR). The CTR site (Brent, Alabama; 32.90°N, 87.25°W) is surrounded by a grassy field to the south and a temperate mixed forest that is part of the Talladega National Forest in all the other directions. The forest canopy is comprised of needleleaf coniferous (shortleaf, longleaf, and loblolly pine; ~40%) and broadleaf deciduous (primarily oak, sweetgum, and hickory; ~60%) tree species. A 20 m metal walk-up tower is used as the main structure supporting





instruments that measured the eddy covariance fluxes and related meteorological variables. The sonic anemometer and the gas inlet were mounted at a height of about 22 m, facing north toward the forest. The canopy height near the tower is on average ~10 m with a leaf area index (LAI) of ~4.7 m<sup>2</sup> m<sup>-2</sup>. A database of half-hourly  $V_d$  for 16 atmospheric compounds covering 5 noncontinuous days in June 2013 was obtained at the site. During these periods, the predominant winds were northerly which is ideal to sample air from the forest (Figure S2) and the requirement on energy balance closure was met (see Nguyen et al. (2015)). At CTR, it was typically humid (RH 50-80%) and warm (28-30 °C) in the daytime during the experiment (Figure S3). A comprehensive description of the  $V_d$  dataset, data processing protocols, the instrumental methods, uncertainty analysis, and the site characterizations can be found in Nguyen et al. (2015).

## 3. Results and Discussion

- 3.1. Comparison of modeled resistance components
- 165 3.1.1. Atmospheric resistances ( $R_a$  and  $R_b$ )

For very reactive and soluble substances such as HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub>,  $R_c$  is often assumed to be close to 0 (Hall & Claiborn, 1997; Meyers et al., 1989; Valverde-Canossa et al., 2006; Wesely & Hicks, 2000). The analysis of the measurement data showed that the daytime averaged  $V_d$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> fitted well the rate of deposition without surface resistance ( $V_d = 1/[R_a + R_b]$ ) (Nguyen et al., 2015), which supports the assumption of near zero  $R_c$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> over the mixed deciduous-coniferous CTR site under humid environment. Therefore, the measured  $V_d$  of HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> can be used to evaluate the modeled atmospheric resistances for those species (the sum of  $R_a$  and  $R_b$ ).  $R_a$  represents the resistance for turbulent transport between the reference height and





the surface and is not chemical compound specific.  $R_b$  quantifies the resistance for the mass transfer across the thin layer of air in contact with surface elements and is a function of the molecular diffusivity of a specific compound (Wesely & Hicks, 1977). In theory, the differences in  $R_b$  between any two gaseous species are only determined by differences in their molecular diffusivity at any given turbulent condition.

Figure 1 compares the modeled average diel variations of  $V_d$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> against observations. The measured  $V_d$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> peaked around noon at about 4 cm s<sup>-1</sup> and 6 cm s<sup>-1</sup>, respectively, and were less than 1 cm s<sup>-1</sup> during the night. The model reproduced the diel pattern and captured the peak  $V_d$  values at noon well. During the early night time (hours 19-23), the modeled  $V_d$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> were on the order of 1 cm s<sup>-1</sup>, much higher than the measurements (<0.2 cm s<sup>-1</sup>). During the night,  $R_a$  dominates atmospheric resistance as it is usually much larger than  $R_b$  in magnitude. This discrepancy between the measurement and the model during the early night could be due to the stability correction functions used in the  $R_a$  calculation (the equations can be found in the article by Wu et al. (2018)) which is subject to large uncertainties under nocturnal stable conditions (Högström, 1988). The measurements indicated that H<sub>2</sub>O<sub>2</sub> deposited slightly faster than HNO<sub>3</sub>, and the model reproduces well, as shown in Figure 1. Modeled  $R_b$  for H<sub>2</sub>O<sub>2</sub> is always smaller than that for HNO<sub>3</sub> due to the smaller molecular weight and the larger molecular diffusivity. Overall, the model was in good agreement with the measurements regarding  $V_d$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub>, implying that the parameterization for atmospheric resistances ( $R_a$  and  $R_b$ ) was reasonable for the site during the study period.

### 3.1.2. Stomatal resistance $(R_s)$





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Over vegetative areas, gas molecules can exit and enter the leaf through the stomata by molecular diffusion, similar to the leaf-air exchange of water vapor and  $CO_2$ . In dry deposition models,  $R_s$ for water vapor is estimated using evapotranspiration stomatal submodels, an approach that is also popular in the land surface and climate communities. R<sub>s</sub> is extended to any gas species using the ratio of molecular diffusivity of the species of interest to that of water vapor (Pleim & Ran, 2011; Wesely & Hicks, 2000). Figure 2 compares the modeled canopy stomatal conductance ( $G_s = 1/R_s$ ) for water vapor against the observation-based estimates. The observation-based  $G_s$  was estimated by using the inversion of the Penman-Monteith (P-M) equation (Monteith & Unsworth, 1990) which calculates  $R_s$  for water vapor by using measured water vapor fluxes and related meteorological data (e.g., humidity, temperature). The evaporation from soil water and liquid water on the vegetation surfaces is usually a minor contribution to the total water vapor flux observed above a forest canopy during summer daytime. It was assumed that 85% of the water vapor flux originated from transpiration in this study, following that used in the study of Turnipseed et al. (2006) at Duke Forest, North Carolina. Note that a value of 90% was used by Clifton et al. (2017) at Harvard Forest, Massachusetts. The uncertainty of the calculated  $R_s$  related with the uncertainty in water vapor flux portion (on an order of 10%) is much smaller than the differences between the modeled and the observation-based stomatal conductance (by a factor of two) as discussed below. The model reproduced the basic diel pattern in  $G_s$  (i.e., highest values between 08:00 and 11:00) but the peak value is only about half of the observation-based values. The Jarvis stomatal submodel (Jarvis, 1976) used in the Model is known for its linear dependence on the prescribed minimum stomatal resistance  $(R_{s,min})$ , a term that is subject to large uncertainties (Kumar et al., 2011; Wu et al., 2018; Wu et al., 2011). A series of tests conducted by iteratively adjusting the





 $R_{s,min}$  values showed the modeled  $G_s$  to be in better agreement with observations if  $R_{s,min}$  was decreased by 40% (Figure 2).  $G_s$  from the Model with the adjusted  $R_{s,min}$  was in good agreement with the observation-based values for most of the time, though the modeled values were slightly smaller than the observation-based estimates around noon. Analysis of the  $R_s$  parameterization indicates that this discrepancy was related to the stress function for water vapor pressure deficit (VPD) used in the Jarvis stomatal submodel, which may overpredict the stress on stomatal opening due to high VPD around noon.

### 3.1.3. Non-stomatal resistance $(R_{ns})$

To assess if the non-stomatal resistance ( $R_{ns}$ ) parametrization (Eq. 3) is reasonable, modeled  $1/R_{ns}$  (defined as  $G_{ns}$ ) values are compared with the non-stomatal portion of the flux, the inverse of which is termed the residual conductance ( $G_{residual}$ ).  $G_{residual}$  includes all processes influencing deposition aside from  $R_a$ ,  $R_b$ ,  $R_m$ , and  $R_s$ , calculated as  $[V_d^{-1} - (R_a + R_b)]^{-1} - (R_s + R_m)^{-1}$ . Here  $V_d$  is from the observations,  $R_a$  and  $R_b$  are calculated using the Model driven by the observed meteorology,  $R_s$  is the observation-based estimates by the P-M method, adjusted by the molecular diffusivity of each gas (similar to Eq. 4), and  $R_m$  is listed in Table 1. Although considerable uncertainties in the calculated  $G_{residual}$  exist (in this form of back-calculation, we must assume that the  $G_{ns}$  terms are correctly estimated), it can provide useful information on the flux/ $V_d$  resulting from processes such as deposition to the leaf cuticle and ground (i.e., non-stomatal) or chemical loss due to reactions within and near the canopy that lead to flux divergence.

Figure 3 compares the observation-based  $G_{residual}$  for each oVOC species or HCN against the corresponding modeled non-stomatal conductance ( $G_{ns}$ ) under different conditions. The mean and median values are presented in Table S3. During the nighttime when the canopy surface was





dry (no dew), the  $G_{residual}$  for oVOC species ranged from 0.08 to 0.18 cm s<sup>-1</sup> and the modeled  $G_{ns}$  was comparable in magnitude. When the surface was wet from dew formation on leaves and needles, the oVOC species showed an increase in  $G_{residual}$  by 55%-440% compared to the nighttime dry surface. The model captured the increases in non-stomatal uptake when the surface become wet with dew, although it may underestimate (e.g., HDC<sub>4</sub>, INP, HCN) or overestimate (e.g., PAA, DHC<sub>4</sub>, HCOOH) the wetness effects. During the daytime of the study period, no precipitation was recorded at the CTR site (Figure S3) and the canopy surface was dry. The mean  $G_{residual}$  for oVOCs ranged from 0.5 cm s<sup>-1</sup> to 8.7 cm s<sup>-1</sup> during the daytime, much higher than the modeled  $G_{ns}$  for most species (0.2 - 1 cm s<sup>-1</sup>). Figure S4 presents the diel variations of  $G_{residual}$  and  $G_{ns}$  and it shows that the modeled  $G_{ns}$  showed smaller diel variations than those of  $G_{residual}$  and large differences in magnitude can be seen during the daytime. The modeled  $G_{ns}$  showed a peak during the early morning (around 7:00) which may be due to the enhanced non-stomatal uptake by dew wetted surfaces.

## 3.2. Evaluation of modeled deposition velocities

Figure 4 shows model-measurement comparison of diel  $V_d$  of the oVOCs and HCN and Table 2 presents the statistical results of the comparison. As described in Section 2, the assigned  $\alpha$  and  $\beta$  values should first produce reasonable nighttime  $V_d$ . Modeled nighttime mean  $V_d$  were very close to measurements for the majority of the chemical species, although the differences were somewhat larger for the median values (Table 2). Three species (HAC, HPALD, PROPNN) still had 50% lower modeled than measured nighttime mean  $V_d$ , but have slightly higher modeled than measured nighttime median  $V_d$ . In contrast, modeled daytime mean  $V_d$  were more than 50% lower than the





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measured values for four species (HMHP, PAA, HPALD, ISOPOOH/IEPOX) and were also significantly lower for several other species. Only three species (MTNP, HCN, HCOOH) had comparable modeled and measured  $V_d$  for both day- and nighttime. One species (DHC<sub>4</sub>) had slightly lower of modeled than measured daytime mean or median  $V_d$ , but with an opposite trend for nighttime  $V_d$ .

The model reproduced the basic features of the diurnal pattern of the observations, showing highest values during the day and lowest values at night. Correlation coefficients between the measurement and the model ranged from 0.52 to 0.77. At night, the measured  $V_d$  for the oVOCs remained relatively low, typically ranging from 0.1-0.5 cm s<sup>-1</sup>, and the model produced the same magnitudes for most of the species. During the daytime, the model can only capture the magnitudes of the measured  $V_d$  for a few species (e.g., HCN, HCOOH, MTNP, DHC<sub>4</sub>), of which the peak  $V_d$ values were less than 1.5 cm s<sup>-1</sup>. For the other species, the measured peak  $V_d$  values were in the range of 2 to 5 cm s<sup>-1</sup>, while the modeled results were below 1 cm s<sup>-1</sup>. As shown in section 3.1.2, the modeled  $G_s$  was likely underestimated when compared to the simultaneous measurements of water vapor flux. Adjusting  $G_s$  higher by 67% (through reducing  $R_{s,min}$  by 40%) can only increase the modeled  $V_d$  of the oVOCs by 10-40% during the daytime (see the sensitivity test in Figure 4), and the peak values were still mostly below 1 cm s<sup>-1</sup>. Figure 5 shows that the model captured the differences in measured  $V_d$  for the oVOCs to some extent. The model-measurement agreements were good for species with the measured mean  $V_d$  below 0.5 cm s<sup>-1</sup>, above which the discrepancy increased. For the measurements, the mean values were significantly larger than the median values, especially for the fast-deposited species, indicating that the distribution of the measured  $V_d$  values skewed to the right (high values). The model has a better agreement with the measurements by comparing the median versus mean values.



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At night when stomata are mostly closed and atmospheric chemical reactions are largely inhibited, the measured fluxes above the canopy should better represent non-stomatal surface uptake. In the presence of sunlight, fast chemical reactions between the inlet and canopy could make a significant or even dominant contribution to the measured fluxes of reactive species (Farmer & Cohen, 2008; Wolfe et al., 2011). The impact of fast chemical reactions on surface fluxes should be different for different chemical species. To verify this hypothesis, two chemical species (HAC and PAA) having similar molecular weights (74 Da and 76 Da, respectively) but very different daytime fluxes were compared (Figure 6). Their similar molecular diffusivities (controlled by molecular weight) suggest that they should be transferred through the quasi-laminar sub-layer and taken up through leaf stomata at similar rates, resulting in similar resistance components of  $R_b$  and  $R_s$ . Note that  $R_a$  is universal to any trace gases and  $R_m$  is assumed to be negligible. Thus, the differences between their  $V_d$  should be caused by their different non-stomatal sinks. At night,  $V_d$  values were similar between HAC and PAA (median values: 0.04 cm s<sup>-1</sup>) over dry surfaces. When the surfaces were wet due to dew formation,  $V_d$  for both HAC and PAA increased (median values:  $0.30-0.48 \text{ cm s}^{-1}$ ). In contrast,  $V_d(PAA)$  was much higher than  $V_d(HAC)$ during daytime, suggesting additional or larger sinks exist for PAA compared to HAC. Thus, fast chemical processing and subsequent flux divergence above the canopy likely caused the large discrepancies between the measured and modeled  $V_d$  for the reactive oVOC compounds during the daytime.

Chemical processes indeed can cause flux divergence or convergence at the surface, which has been supported by growing evidence from field measurements (e.g., Farmer and Cohen, 2008; Min et al., 2014; Wolfe et al. 2009). For example, Wolfe et al. (2009) suggested that the differences in loss rate between the inlet and canopy may be an important contributor to the measured net flux





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of peroxyacetyl nitrate, irrespective of turbulent timescales. Photochemical OH production is reduced within canopies, which in turn slows down the oxidation of volatile organic compounds and the photolysis of organic nitrates. The oVOCs measured at the CTR site are mainly produced from the oxidation of isoprene and monoterpenes (Nguyen et al., 2015). Most of the oVOCs are quite chemically reactive and can undergo fast oxidation (e.g., multifunctional carbonyls), decomposition (e.g., HMHP), or photolysis (e.g., organic nitrates) (Müller et al., 2014; Nguyen et al., 2015). Vertical gradients in the chemical production and loss rates below the inlet can exhibit chemical flux divergence, which contributes to the net flux above canopy. Quantifying the effects of chemical processing on the net flux would require a multi-layer model with resolved emission, deposition, turbulent diffusion, and chemical processes throughout the canopy, which is recommended for future studies (e.g., Ashworth et al., 2015; Bryan et al., 2012; Stroud et al., 2005; Wolfe & Thornton, 2011; Zhou et al., 2017). Quantifying  $V_d$  as the ratio of flux to concentration at one measurement height only ( $V_d$ =  $F/C_{zr}$ ), rather than as the ratio of flux to the concentration difference at the measurement height and the surface  $(V_d = F/[C_{zr} - C_0])$ , although commonly employed in analyzing eddy covariance flux measurements, is a simplification. It is valid for 1) matter that disappears nearly completely by reactions at the surface, and 2) unstable or neutral conditions. Most chemical species considered here may satisfy the first condition. With regards to the second condition, our analysis is based on the assumption that, under stable conditions at nighttime, concentrations observed at the measurement height change in relation to the fluxes measured at this height. However, no relation between measured concentration and flux is typically observed due to the presence of a shallow stable boundary layer, connection between the stable free atmosphere and stable boundary layer by internal gravity waves, ground inversions, and low-level jets, leading to intermittent turbulence





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at the measurement height containing a gravity wave signal, and non-steady-state conditions (Foken, 2017). Future efforts to model oVOC and HCN deposition velocities above forest canopies should be based on neutral or unstable boundary layer flux measurements only, or, for example, on modified Bowen ratio flux measurement in which concentrations are measured at two heights in the constant flux layer. Such an approach can provide a means to compute a measured deposition velocity of a surface reactive substance as proportional to the ratio between the measured flux and the measured concentration difference.

## 4. Summary and recommendations

The number of chemical species simulated in chemical transport models (CTMs) has been increasing with increasing computer power. Among these, oVOCs and HCN are an important groups of atmospheric pollutants for which dry deposition processes need to be treated as accurately as possible, so that their inputs to ecosystems (noting that some oVOCs are organic nitrogen) and their roles on other atmospheric chemistry processes (e.g., formation of ozone and secondary organic aerosols) can be assessed. Earlier dry deposition schemes have considered very few oVOCs and need to be extended for more species. Dry deposition of HCN was assumed to be negligible in some CTMs (e.g., Moussa et al., 2016). The present study first generated effective Henry's law constant and oxidizing capacity, the two key physical and chemical properties that are considered to control the dry deposition process (Wesely & Hicks, 2000), for 12 oVOCs species and HCN. Two scaling factors for the non-stomatal resistance and one for the mesophyll resistance were applied to individual oVOCs and HCN for calculating their respective *Va*.

The modeled nighttime  $V_d$  agrees well with the measured data for most of the oVOCs, suggesting that the current non-stomatal parameterization scheme is a reasonable approach. The





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stomatal conductance for water vapor, with adjusted (reduced)  $R_{s,min}$ , also agrees well with measured values. However, the modeled peak  $V_d$  values during daytime are only a fraction (0.2-0.5) of the measured values for some of the oVOCs, suggesting that fast atmospheric chemical processes likely contributed to the total measured fluxes. In practice, these additional fluxes during daytime can be modeled as non-stomatal uptake and better model-measurement agreement can be obtained by adjusting the non-stomatal parameterization scheme (e.g., Müller et al., 2018; Paulot et al., 2018). However, using this approach will produce unreasonably high values for the solubility parameter and overpredict  $V_d$  during nighttime if the same non-stomatal formulas are used for both day and nighttime (as is the case in the existing schemes). More importantly, the high measured  $V_d$  have only been observed at relatively few sites during very short periods (Karl et al., 2010; Nguyen et al., 2015). More evidence is needed to parameterize  $V_d$  for oVOCs to different land use categories over entire seasons. Until then, the conservative estimates of  $V_d$  such as modeled in this study are still recommended for use in chemical transport models. The model parameters chosen for  $V_d$  of these oVOCs provide the best-known representation of their respective physicochemical properties, and the modelled  $V_d$  values fall within the range of the low-end values of the available measurements.

Future field studies should focus on conducting flux measurements of oVOC compounds with highest uncertainties, such as those that are most chemically reactive in the atmosphere or most rapidly taken up by wet surfaces. Additional measurements are also needed in different ecosystems to inform the representativeness of the high oVOC  $V_d$  reported by Nguyen et al. (2015) and Karl et al. (2010). Furthermore, concurrent chemical measurements of oxidants such as  $O_3$  and radicals are needed to quantify flux divergence due to fast within-and near-canopy chemical reactions. Future dry deposition schemes should include additional biochemical processes and





species-dependent parameters for non-stomatal uptake, including enzymatic reactions (Karl et al., 2010), the octanol-air partitioning coefficients to account for the cavity formation and polar intermolecular interactions with leaf surfaces and reservoirs (Nizzetto and Perlinger, 2012), and the enhancement/reduction effects due to soil and leaf moisture. Chemical processes within the canopy airspacecould also be coupled with emission and deposition schemes to realistically simulate chemicals fate and transport, including bi-directional fluxes of reactive compounds discussed here, as well as less reactive compounds such as methanol. Such an approach would require specification of chemical conditions within and near the canopy as well as in-canopy radiation and air flow. While more computationally intensive, the results presented here reinforce the need for such advanced models to explicitly resolve the non-stomatal processes contributing to the net atmosphere-biosphere exchange of reactive compounds. Above all, intercomparison studies should be first conducted for existing models that can handle oVOC dry deposition processes to quantify the magnitudes of uncertainties in the simulated  $V_d$  as well as the associated ambient concentration and deposition fluxes.

## Code and data availability

The computer code and data used in this study can be obtained from containg the corresponding

396 author.

# **Competing interests**

The authors declare that they have no conflict of interest.

## **Author contributions**

- 400 ZW conducted model run and data analysis and drafted the manuscript. LZ designed the project,
- 401 finalized computer code, drafted part of the manuscript and finalized the paper. JTW contributed





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to manuscript writing and commented on the manuscript. PAM generated chemistry data that are used in the supporting document and commented on the manuscript. JAP contributed to model design and manuscript writing and commented on the manuscript. XW contributed to the project design and commented on the manuscript. Acknowledgments We thank Tran Nguyen for the field flux data and Glenn Wolfe and Christopher Groff for the tree survey data. We also greatly appreciate helpful comments from Tran Nguyen, Chris Geron and Donna Schwede. X. Wang was supported by the Chinese National Key Research and Development Plan (2017YFC0210100) and the State Key Program of National Natural Science Foundation of China (91644215). The SouthEastern Aerosol Research and CHaracterization (SEARCH) network was sponsored by the Southern Company and the Electric Power Research Institute. The field data during the **SOAS** 2013 campaign is available at https://esrl.noaa.gov/csd/groups/csd7/measurements/2013senex/Ground/DataDownload/. Disclaimer: The research presented was not performed or funded by U.S. Environmental Protection Agency and was not subject to EPA's quality system requirements. The views expressed in this article are those of the authors and do not necessarily represent the views or policies of the U.S. Environmental Protection Agency. **References:** Ashworth, K., Chung, S., Griffin, R., Chen, J., Forkel, R., Bryan, A., et al. (2015). FORest Canopy Atmosphere Transfer (FORCAsT) 1.0: a 1-D model of biosphere-atmosphere chemical exchange. Geoscientific Model Development, 8(11), 3765-3784.





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Table 1. List of model parameters needed in the scheme of Zhang et al. (2003) for simulating dry deposition velocity of additional oVOCs species and HCN:  $\alpha$  and  $\beta$  are scaling parameters for non-stomatal resistance, and  $R_m$  is mesophyll resistance.

Symbol	Name	Molecular Weight	Sca Paran	$R_m$ (s m <sup>-1</sup> )	
		(Da)	α	β	(8 III )
НМНР	hydroxymethyl hydroperoxide	64	5	1	0
HAC	hydroxyacetone	74	1.5	1	0
PAA	peroxyacetic acid	76	2	1	0
HDC <sub>4</sub>	the C4 hydroxy dicarbonyl from IEPOX oxidation	102	1	0.2	0
DHC <sub>4</sub>	the C4 dihydroxy carbonyl from IEPOX oxidation	104	2	0.2	0
HPALD	isoprene hydroperoxy aldehydes	116	1.5	1	0
ISOPOOH/IEPOX <sup>a</sup>	isoprene hydroxyhydroperoxide and isoprene dihydroxyepoxide	118	5	0.2	0
PROPNN	propanone nitrate or propanal nitrate	119	1.5	1	0
ISOPN	isoprene hydroxy nitrates	147	1.5	1	0
MACN/MVKN a	methacrolein and Methyl vinyl ketone hydroxy nitrate	149	1.5	1	0
INP	isoprene nitrooxy hydroperoxide	163	1.5	1	0
MTNP	monoterpene nitrooxy hydroperoxide		1.5	1	0
HCN	hydrogen cyanide	27	0	0.1	100
HCOOH <sup>b</sup>	formic acid	46	2	0.2	0

<sup>&</sup>lt;sup>a</sup> Treated as one group of compounds in the field measurements due to instrument limitation and have the same parameter values in the model.

<sup>&</sup>lt;sup>b</sup> Beta value for HCOOH in Zhang et al. (2003) is 0.0, and here is given as 0.2 to be consistent to other oVOC species here (which would make no difference since the alpha value of 2 would dominate the nonstomatal resistance).







Table 2. Statistical results of the observed and modeled dry deposition velocity ( $V_d$ ) for oVOCs and HCN (cm s<sup>-1</sup>) <sup>a</sup>

Compound	All				Daytime			Nighttime				
	N	Obs	Mod	Mod-R <sub>s,min</sub>	R	N	Obs	Mod	Mod-R <sub>s,min</sub>	N	Obs	Mod
НМНР	247	1.66 (0.61)	0.69 (0.54)	0.75 (0.58)	0.63	85	3.42 (3.49)	1.05 (1.04)	1.19 (1.17)	128	0.33 (0.13)	0.37 (0.24)
HAC	245	0.84 (0.53)	0.41 (0.31)	0.49 (0.36)	0.61	84	1.21 (1.07)	0.65 (0.62)	0.81 (0.78)	128	0.44 (0.12)	0.21 (0.15)
PAA	243	1.08 (0.52)	0.46 (0.34)	0.53 (0.37)	0.74	85	2.18 (2.15)	0.71 (0.69)	0.86 (0.83)	128	0.28 (0.09)	0.24 (0.17)
HDC <sub>4</sub>	205	0.45 (0.22)	0.30 (0.20)	0.37 (0.23)	0.64	66	0.91 (0.78)	0.51 (0.49)	0.66 (0.65)	111	0.10 (0.06)	0.15 (0.10)
DHC <sub>4</sub>	247	0.42 (0.21)	0.41 (0.31)	0.47 (0.36)	0.61	85	0.92 (0.85)	0.63 (0.61)	0.76 (0.73)	128	0.08 (0.06)	0.22 (0.16)
HPALD	247	1.11 (0.46)	0.39 (0.29)	0.45 (0.34)	0.67	85	2.08 (2.17)	0.60 (0.58)	0.73 (0.70)	128	0.40 (0.10)	0.21 (0.15)
ISOPOOH/IEPOX	247	1.02 (0.49)	0.63 (0.48)	0.67 (0.52)	0.59	85	2.11 (2.06)	0.94 (0.94)	1.05 (1.05)	128	0.28 (0.09)	0.34 (0.23)
PROPNN	246	0.89 (0.43)	0.39 (0.29)	0.45 (0.33)	0.53	84	1.40 (1.38)	0.60 (0.58)	0.73 (0.70)	128	0.46 (0.13)	0.21 (0.15)
ISOPN	247	0.68 (0.39)	0.38 (0.28)	0.43 (0.33)	0.62	85	1.27 (1.29)	0.58 (0.57)	0.70 (0.67)	128	0.21 (0.09)	0.21 (0.15)
MACN/MVKN	246	0.65 (0.32)	0.38 (0.28)	0.43 (0.32)	0.57	84	1.19 (1.15)	0.58 (0.57)	0.70 (0.66)	128	0.22 (0.06)	0.21 (0.15)
INP	247	0.64 (0.46)	0.38 (0.28)	0.43 (0.33)	0.63	85	1.12 (1.17)	0.57 (0.56)	0.68 (0.65)	128	0.24 (0.10)	0.20 (0.15)
MTNP	246	0.33 (0.13)	0.36 (0.27)	0.40 (0.31)	0.54	84	0.55 (0.57)	0.54 (0.54)	0.64 (0.62)	128	0.16 (0.04)	0.20 (0.15)
HCN	234	0.13 (0.06)	0.17 (0.15)	0.22 (0.20)	0.77	84	0.26 (0.24)	0.33 (0.34)	0.43 (0.45)	117	0.03 (0.01)	0.03 (0.01)
НСООН	244	0.47 (0.27)	0.46 (0.35)	0.54 (0.41)	0.52	83	0.82 (0.75)	0.72 (0.68)	0.91 (0.88)	127	0.20 (0.05)	0.23 (0.16)

<sup>&</sup>lt;sup>a</sup> Note: N is the number of samples; R is the correlation coefficient between observation (Obs) and model simulation (Mod); "Mod- $R_{s,min}$ " refers to a sensitivity test in which  $R_{s,min}$  was reduced by 40%; Daytime is 09:00-17:00 (local time) and nighttime is 20:00-06:00 (local time). Median values are provided in parentheses, following arithmetic mean values.





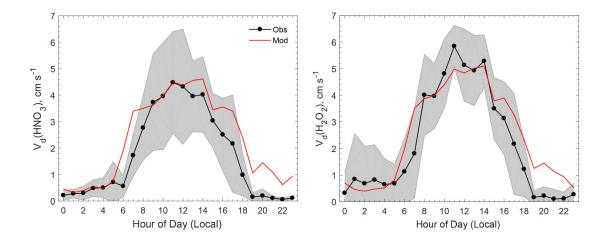


Figure 1. Comparison of the observed and modeled average diel variations of dry deposition velocities  $(V_d)$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub>. The shaded area indicates the standard deviation of the observations. The model assumes that surface resistances  $(R_c)$  for HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub> are zero.





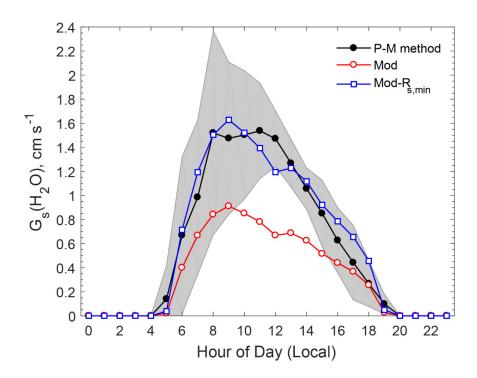


Figure 2. Comparison of observation-based and modeled averaged diel variations of stomatal conductance ( $G_s$ ) for water vapor. The shaded area indicates the standard deviation of the observation-based  $G_s(H_2O)$  estimated by the P-M method. "Mod- $R_{s,min}$ " refers to a model sensitivity test in which  $R_{s,min}$  was reduced by 40%.





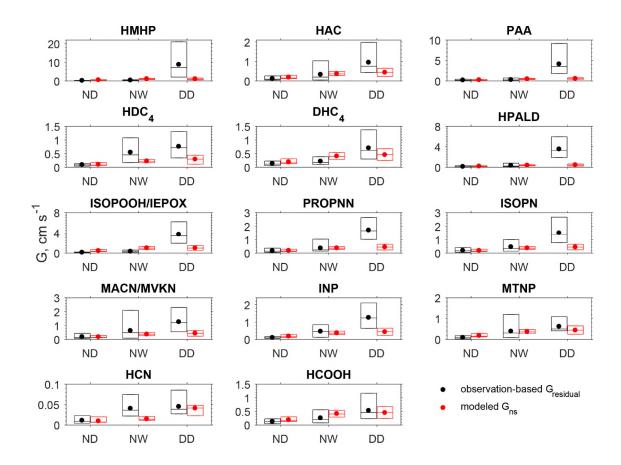


Figure 3. Box plot of the observation-based residual conductance ( $G_{residual}$ ) and the modeled non-stomatal conductance ( $G_{ns}$ ) during nighttime dry period (ND, n=88), nighttime wet period (NW, n=40), and daytime dry period (DD, n=85). In each box, the central mark is the median, and the edges of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles. The filled dots represent the arithmetical mean of data between 25<sup>th</sup> and 75<sup>th</sup> percentiles. Daytime is 09:00-17:00 (local time) and nighttime is 20:00-06:00 (local time). The wet surface conditions were determined in the model driven by the observations of relative humidity, precipitation rate, friction velocity, and temperature.





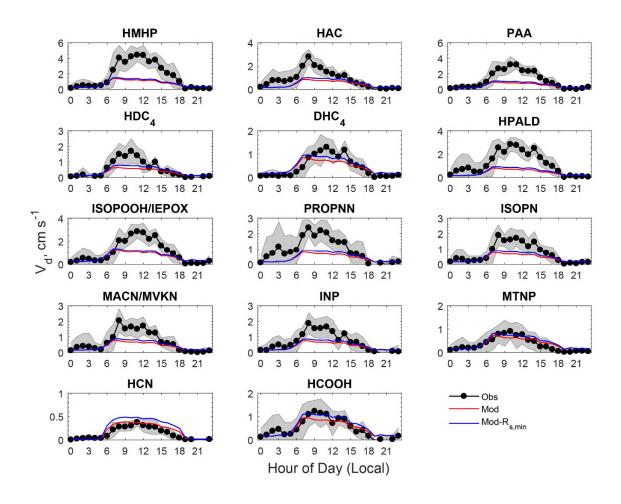


Figure 4. Comparison of averaged diel cycles of observed and modeled dry deposition velocities  $(V_d)$  of oVOCs and HCN. The shaded area indicates the standard deviation of the observations. "Mod-R<sub>s,min</sub>" refers to a sensitivity test in which  $R_{s,min}$  was reduced by 40%.





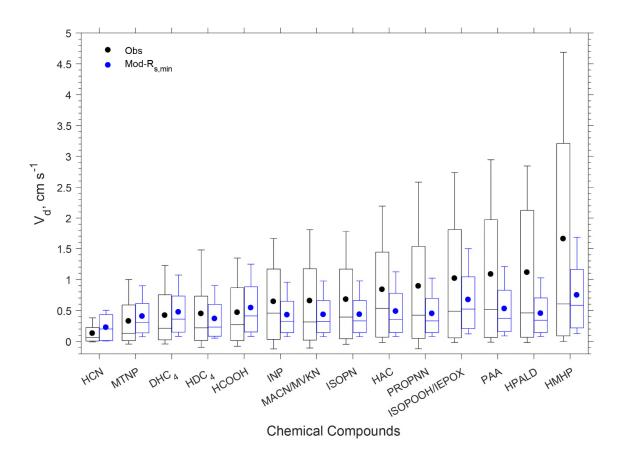


Figure 5. Box plot of observed and modeled hourly dry deposition velocities ( $V_d$ ) of oVOCs and HCN. In each box, the central mark is the median, the edges of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles, and the whiskers extend to the 10<sup>th</sup> and 90<sup>th</sup> percentiles. The filled dots represent the arithmetical mean of all the data. "Mod-R<sub>s,min</sub>" refers to a sensitivity test in which  $R_{s,min}$  was reduced by 40%.





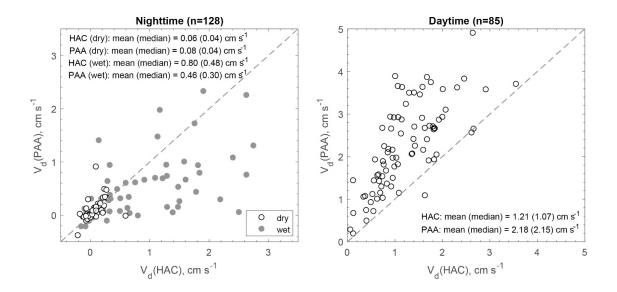


Figure 6. Scatter plot of the measured dry deposition velocities ( $V_d$ ) for hydroxyacetone (HAC) and peroxyacetic acid (PAA) during nighttime (20:00-06:00, local time) and daytime (09:00-17:00, local time). The shaded (white) cycles correspond to the wet (dry) surface conditions.