



# 1 Impact of changes in climate and CO<sub>2</sub> on the carbon-

# 2 sequestration potential of vegetation under limited water

# 3 availability using SEIB-DGVM version 3.02

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#### 15 Abstract

- Documenting year-to-year variations in carbon-sequestration potential in terrestrial ecosystems is crucial
   for the determination of carbon dioxide (CO<sub>2</sub>) emissions. However, the magnitude, pattern and inner
- 18 biomass partitioning of carbon-sequestration potential, and the effect of the changes in climate and CO<sub>2</sub>
- 19 on inner carbon stocks, remain poorly quantified. Herein, we use a spatially explicit individual based-
- 20 dynamic global vegetation model to investigate the influences of the changes in climate and CO<sub>2</sub> on the
- 21 enhanced carbon-sequestration potential of vegetation. The modelling included a series of factorial
- 22 simulations using the CRU dataset from 1916 to 2015. The results show that CO<sub>2</sub> predominantly leads
- 23 to a persistent and widespread increase in above-ground vegetation biomass carbon-stocks (AVBC) and
- 24 below-ground vegetation biomass carbon-stocks (BVBC). Climate change appears to play a secondary
- 25 role in carbon-sequestration potential. Importantly, with the mitigation of water stress, the magnitude of
- the above- and below-ground responses in vegetation carbon-stocks gradually increases, and the ratio
- 27 between AVBC and BVBC increases to capture CO<sub>2</sub> and sunlight. Changes in the pattern of vegetation
- 28 carbon storage was linked to regional limitations in water, which directly weakens and indirectly
- 29 regulates the response of potential vegetation carbon-stocks to a changing environment. Our findings
- 30 differ from previous modelling evaluations of vegetation that ignored inner carbon dynamics and





- 31 demonstrates that the long-term trend in increased vegetation biomass carbon-stocks is driven by CO<sub>2</sub>
- 32 fertilization and temperature effects that are controlled by water limitations.

#### 33 1 Introduction

As a result of the changes in climate and atmospheric carbon dioxide (CO<sub>2</sub>), the terrestrial ecosystem 34 35 carbon cycle exhibits remarkable trends in interannual variations, which induce uncertainty in estimated 36 carbon budgets (Erb et al., 2018; Keenan et al., 2017). Recent studies assessing interannual fluctuations 37 in terrestrial carbon sinks have shown that the land carbon cycle is the most uncertain component of the 38 global carbon budget (Ahlstrom et al., 2015; Piao et al., 2020; Jung et al., 2017; Humphrey et al., 2018; 39 Gentine et al., 2019; Humphrey et al., 2021). These uncertainties result from an incomplete understanding 40 of vegetation biomass carbon production, allocation, storage, loss, and turnover time (Bloom et al., 2016). The extent and distribution of vegetation carbon storage is central to our understanding of how to 41 42 maintain a balanced land carbon cycle. Changes in terrestrial vegetation carbon storage have a significant 43 effect on atmospheric CO<sub>2</sub> concentrations and determine whether biomes become a source or sink of 44 carbon (Erb et al., 2018; Humphrey et al., 2018; Terrer et al., 2021). Therefore, investigating the 45 processes producing changes in carbon storage is key to improving the accuracy of estimated terrestrial 46 carbon budgets, and to tap the greenhouse-gas moderation potentials of vegetation (Ipcc, 2007; Roy et 47 al., 2001).

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49 The response of vegetation carbon storage to greenhouse effects results from two mechanisms, direct effects of CO<sub>2</sub> on photosynthesis and indirect effects of changes in climate change and CO<sub>2</sub> on 50 51 photosynthesis, respiration, and sequestration (Schimel et al., 2015; Gentine et al., 2019; Cheng et al., 52 2017). Since the beginning of industrialization, there has been a noticeable enhancement in the capacity 53 of sequestering carbon, which is needed for stabilizing greenhouse gas concentrations (Chen et al., 2019; 54 Pan et al., 2011; Le Noë et al., 2020; Magerl et al., 2019; Bayer et al., 2015; Harper et al., 2018). This 55 increase has coincided with a widespread change in other vegetation features, including a positive increase in annual gross primary productivity and a greening of the biosphere (Madani et al., 2020; Zhu 56 et al., 2016). The spatiotemporal distribution and environmental drivers in carbon-sequestration potential 57 58 have been well documented on the basis of model estimates and satellite-based assessments (Erb et al.,





59	2007; Erb et al., 2018; Bazilevich et al., 1971; Saugier et al., 2001; Bartholome and Belward, 2005; Olson
60	et al., 1983; Pan et al., 2013; Ajtay et al., 1979; Ruesch and Gibbs, 2008; Kaplan et al., 2011; Shevliakova
61	et al., 2009; Prentice et al., 2011; West et al., 2010; Hurtt et al., 2011). In contrast, the variability of
62	above- and below-ground partitioning of carbon-sequestration potential has not been extensively studied.
63	Without an accurate assessment of the dynamics of each fraction, attribution of carbon-sequestration
64	potential to environmental drivers is highly uncertain. Consequently, partitioning potential vegetation
65	carbon storage and revealing its inner processes are essential to accurately comprehend the current state
66	of carbon sequestration capacity and predict how it will change in the future, both of which are key
67	instruments in revealing the influence of various drivers on the enhancement of carbon-sequestration
68	potential.

69

70 The variability in vegetation carbon flux is also linked to terrestrial water availability (Gentine et al., 71 2019; Seo and Kim, 2019). Thus, potential water limitations impart another constraint on the global 72 ecosystem carbon cycle. Typically, increasing water stress limits the response magnitude of carbon 73 uptake rates through a down-regulation of stomatal conductance (Humphrey et al., 2021). Trees and herbs are tuned to allocate higher carbon-flux to roots when mitigating for the adverse effects of limited water 74 75 availability (Friedlingstein et al., 1999). Water availability controls both carbon allocation and storage 76 and can potentially transform regions characterized by a negative response to climate to regions 77 exhibiting a positive response. For example, warming has a negative effect on the percentage of roots in 78 dry regions and increases the ratio of above- versus belowground biomass in wet regions (Ma et al., 79 2021). This is particularly apparent in tropical regions, where variations in water availability can result 80 in different responses in the processes involved in the carbon cycle (Liu et al., 2017). The differences in 81 the response mechanisms influencing the vegetation carbon flux among different hydrological regions is 82 related to plant oversensitivity to hydrological gradients. Thus, it is important to systematically 83 investigate the distinct responses of carbon-sequestration potential to changes in climate and CO2 under 84 differing conditions of water stress.

85

As documented above, many studies have investigated the integral changes in regional and global
terrestrial storage of carbon, while few studies have examined trends in the partitioning of carbon storage





- by vegetation biomass. Large gaps in our knowledge of the effects of various drivers on the partitioning of carbon-stocks in vegetation biomass remain. Importantly, an increase in the magnitude of water stress may dramatically change the impact of these drivers on above- versus below-ground partitioning of carbon-sequestration potential (Ma et al., 2021). Evaluating the response pattern of carbon-stocks to various drivers under conditions of limited water is elemental for clearly documenting the response mechanism of vegetation carbon-sequestration potential.
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95 Here, we use a spatially explicit individual-based dynamic global vegetation model (SEIB-DGVM), 96 along with the root-shoot ratio method (R/S) to (1) systematically determine the long-term variability of carbon-sequestration potential and understand its response mechanisms, and (2) estimate trends in 97 98 partitioning of potential biomass carbon-stocks of vegetation biomass. Throughout this study, the 99 potential biomass carbon-stock is recognized as a proxy for the potential of carbon-sequestration by 100 natural vegetation. Using a set of factorial simulations to isolate responses to environmental change, we 101 analyse the contributions of multiple driving factors to the trends of two fractions of carbon-stocks at 102 large scales individually. We then conceptualize the role of water availability through an aridity index 103 (AI), in which hydrological regions are subdivided by their degree of aridity. By comparing the 104 differences in the magnitude of response between the fractions of above- and belowground carbon-stocks 105 for varying degrees of water availability, we assess the effect of water limitations on the response pattern 106 of potential carbon-stocks to changes in climate and CO2.

# 107 2 Model description, experimental design, observational data, and evaluation metrics

In this section, we provided a list of data source (Sect. 2.1), an overview of the modelling concept (Sect.
2.2), the representation of biomass carbon-stock partitioning in the SEIB-DGVM (Sect. 2.3), an overview
of the experimental scheme used in the model simulations (Sect. 2.4), and the validation of model results
(Sect. 2.5).

#### 112 2.1 Forcing Data

Long-term daily meteorological time-series data are required to run model simulations, includingprecipitation, daily range of air temperature, mean daily air temperature, downward shortwave radiation,





115	downward longwave radiation, wind velocity and relative humidity. These data were obtained from the
116	Climatic Research Unit (CRU) time series 4.00 gridded dataset (degree $0.5^{\circ}$ ) for the period 1901–2015
117	(Harris et al., 2020). Because the CRU dataset is a monthly based dataset, the monthly meteorological
118	data were converted into daily climatic variables by supplementing daily climatic variability within each
119	month using the National Centre for Environmental Prediction (NCEP) daily climate dataset. The NCEP
120	data, displayed using the T62 Gaussian grid with 192 $\times$ 94 points, was interpolated into a $0.5^\circ$ grid (which
121	corresponds to the CRU dataset) using a linearly interpolation method. By combining the CRU data, with
122	the interpolated NCEP dataset, we were able to directly obtain the most of driving meteorological data
123	(details in Sato et al. (2020)). Neither the CRU nor NCEP datasets included downward shortwave and
124	longwave radiation. Thus, daily cloudiness values in the NCEP were used to calculate radiation values
125	using empirical functions (Sato et al., 2007). These data were all aggregated to a daily timescale with $0.5^\circ$
126	resolution to run SEIB-DGVM.
127	

- Atmospheric CO<sub>2</sub> concentrations were collected from Sato et al. (2020), which contains reconstructed CO<sub>2</sub> concentrations between 1901 and 2015. The statistical reconstruction of global atmospheric CO<sub>2</sub> was used in this analysis. These reconstructions were based on present annual CO<sub>2</sub> concentrations recorded from the Mauna Loa monitoring station. These data assume atmospheric CO<sub>2</sub> concentration was 284 ppm in 1750, and statistically interpolates atmospheric CO<sub>2</sub> concentrations to fill the gap from 1750 to 2015.
- 134

The physical parameters of the soil used in the model include soil moisture at the saturation point, field
capacity, matrix potential, wilting point and albedo. These data were obtained from the Global Soil
Wetness Project 2.

#### 138 2.2 Overview of modelling concept in SEIB-DGVM

Model SEIB-DGVM version 3.02 (Sato et al., 2020) was employed in this study. This is a process-based dynamic global vegetation model driven by meteorological and soil data. It is an explicit and computationally efficient carbon cycle model designed to simulate transient effects of environmental change on terrestrial ecosystems and land-atmosphere interactions. It describes three groups of processes: land-based physical processes (e.g., hydrology, radiation, aridity), plant physiological processes (e.g.,





144	photosynthesis, respiration, litter), and plant dynamic processes (e.g., establishment, growth, mortality).
145	Twelve plant functional types (PFTs) were classified. During the simulation, a sample plot was
146	established at each grid box, and then the growth, competition, and mortality of each the individual PFTs
147	within each plot were modelled by considering the specify conditions for that individual as it relates to
148	other individuals that surround it (Sato et al., 2007).

149

150 SEIB-DGVM treats the relationships between soil, atmosphere, and terrestrial biomes in a consistent 151 manner, including the fluxes of energy, water, and carbon. Based on specified climatic conditions and 152 soil properties, SEIB-DGVM simulates the carbon cycle, energy balance, and hydrological processes. SEIB-DGVM utilizes three computational time steps: (1) a daily time step for all physical and 153 154 physiological processes, including soil decomposition and tree growth, (2) a monthly time step for tree 155 growth, and (3) an annual time step for tree establishment and death. The simulated unit of the model is 156 a 30 m  $\times$  30 m spatially explicit 'virtual forest'. A grass layer was placed under the woody layer, and 157 provides for a comprehensive, spatially explicit quantification of terrestrial carbon sinks and sources. 158 The soil depth was set at 2 m and was divided into 20 layers, each with a thickness of 0.1 m. The 159 photosynthetic rate of a single-leaf was simulated following a Michaelis-type function (Ryan, 1991). 160 Respiration was divided into two types: growth respiration and maintenance respiration. Growth 161 respiration is defined as a construction cost for plant biosynthesis, which is quantified by the chemical 162 composition of each organ (Poorter, 1994). Maintenance respiration of live plants occurs every day 163 regardless of the phenological phase, and is controlled by the temperature and nitrate content of each organ (Ryan, 1991). Atmospheric CO<sub>2</sub> was envisioned to be absorbed by photosynthesis of woody PFTs 164 165 and grass PFTs. This assimilated carbon flux was then allocated into all the plant organs (leaf, trunk, root, 166 and stock), where maintenance respiration and growth respiration occur. The hydrology module treats 167 precipitation, canopy interception, transpiration, evaporation, meltwater, and penetration.

168

SEIB-DGVM differs from other dynamic global vegetation models in that it is a biogeochemical model that represents plant structure in three-dimensions. This representation of vegetation dynamics has two advantages. First, it directly uses *in-situ* data about PFT dynamics and structure as tuning or validation data, without adding additional assumptions. Second, sunlight and other resources are distributed among





- 173 individuals without human disturbances, leading to a more properly calculated and accurate
- 174 representation of the responses of potential vegetation biomass to external environmental change.
- 175 Therefore, SEIB-DGVM, in general, effectively represents plant competition and function dynamics
- 176 under environmental change (Sato et al., 2007).

### 177 2.3 Carbon-stock of vegetation biomass partitioning

# 178 2.3.1 Parameterization of daily allocation

179 Flexible allocation schemes about resources and biomass are set up in the framework of the SEIB-DGVM

 $180 \qquad \mbox{biogeochemical model}. \ Atmospheric \ CO_2 \ is assimilated \ by the photosynthesis of both woody and grass$ 

181 foliage, and then is added into the non-structural carbon of the plant. This non-structural carbon of

182 photosynthetic production is allocated to all the plant organs (foliage, trunk, root, and stock), supplying

- 183 what is needed for the maintenance and growth of each organ. When the non-structural carbon is greater
- 184 than 0 during the growth phase, the following dynamic carbon allocation is executed for each individual
- 185 plant at the daily time scale, such that:
- 186 (1) When the fine root biomass (massroot) of wood or grass does not satisfy minimum requirements for
- 187 fulfilling functional balance (mass<sub>leaf</sub>/FR<sub>ratio</sub>), the mass of non-structural carbon is allocated to the root
- biomass to supplement the deficit. Here,  $mass_{leaf}$  is the leaf biomass, and  $FR_{ratio}$  is the ratio of  $mass_{leaf}$  to
- 189 mass<sub>root</sub> satisfying the functional balance.
- 190 (2) The stock biomass is supplemented until it is equal to leaf biomass. This scheme is active after the
- 191 first thirty days of the growing phase.
- 192 (3) Woody leaf biomass is constrained by three limitations of the maximum leaf biomass, which are
- 193 calculated as follows:

194 
$$max_{1} = \left(crown_{area} + \pi crown_{diameter} crown_{depth}\right) \frac{LA_{max}}{SLA}$$
(1)

195 
$$max_2 = ALM_1 \frac{\pi (dbh_{heartwood/2+dbh_{sapwood/2})^2 - \pi (dbg_{heartwood/2})^2}{SLA}$$
(2)

$$196 \qquad max_3 = \frac{mass_{available}}{RG_f} \tag{3}$$

197  $mass_{leaf} = \min(max_1, max_2, max_3)$ (4)

where  $max_1$ ,  $max_2$ , and  $max_3$  are, respectively, maximum leaf biomass for a given crown surface area,

199 cross-sectional area of sapwood, and non-structural carbon, SLA is a constant of PFTs leaf area (m<sup>2</sup> g<sup>-1</sup>),





200	$LA_{max}$ is maximum leaf area of PFTs per unit biomass (m <sup>2</sup> m <sup>-2</sup> ), and $ALM_1$ represents the area of
201	transport tissue per unit biomass, and is a constant (dimensionless). If the $\ensuremath{mass}_{\ensuremath{leaf}}$ is less than the
202	minimum $(max_1, max_2, max_3)$ , the mass of non-structural carbon is allocated into leaf biomass to
203	supplement the deficit.
204	Grass leaf biomass is supplemented until the leaf area index of grass equals the optimal leaf area index,
205	which are calculated as:
206	$lai_{opt} = \frac{\ln par_{grass} - \ln\left\{\frac{p_{sat}}{lue} \left[ \left(1 - \frac{cost/SLA}{0.09093 \times dlen \times p_{sat}}\right)^{-2} - 1 \right] \right\}}{eK} $ (5)
207	where $lai_{opt}$ is optimal leaf area index (m <sup>2</sup> m <sup>-2</sup> ), $par_{grass}$ is the grass photosynthetically active radiation
208	(µmol photon m <sup>-2</sup> s <sup>-1</sup> ), $p_{sat}$ is the light-saturated photosynthetic rate (µCO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> ), <i>lue</i> is the light-use
209	efficiency of photosynthesis (mol $CO_2$ mol photon <sup>-1</sup> ), <i>cost</i> is the cost of maintaining leaves per unit leaf
210	mass per day (g DM g $DM^{-1}$ day <sup>-1</sup> ), <i>dlen</i> is day length (hour), and <i>eK</i> is light attenuation coefficient at
211	midday.
212	(4) When non-structural carbon is less than 10 g dry mass (DM) $PFT^{-1}$ or annual NPP is less than 10 g
213	DM PFT <sup><math>-1</math></sup> in the previous year, the following daily simulation processes (5~6) will be skipped.
214	(5) When total woody biomass is more than 10 kg DM, which defines the minimum tree size for
215	reproduction, 10% of non-structural carbon is transformed into litter.
216	(6) During the simulation of trunk growth, the remaining structural carbon is allocated to sapwood
217	biomass. There is no direct allocation to heartwood, which is transformed slowly from sapwood biomass.
218	For grass PFTs biomass, the densities of all organs comprising the biomass never decline below $0.1\ g$
219	DM $\rm m^{-2}$ even if the environment is deteriorated for grass survival. A more detailed description of SEIB-
220	DGVM is given by Sato et al. (2007).
221	
222	Terrestrial water availability represents a significant source of variability in the ecosystem carbon cycle
223	(Humphrey et al., 2021; Humphrey et al., 2018; Ma et al., 2021). To control plant phenology and the rate
224	of photosynthesis as a function of the limitation in terrestrial water, the physiological status of the
225	limitation of terrestrial water is calculated as:
226	$stat_{water} = \frac{max(pool_{w(1)}/Depth_{(1)}, pool_{w(2)}/Depth_{(2)}) - W_{wilt}}{W_{fi} - W_{wilt}} $ (6)
227	where $stat_{water}$ is the physiological status of the terrestrial water limitation, which ranges between 0.0–
228	1.0, dimensionless, $pool_{w(n)}$ is the water content in soil layer n, mm, $Depth_{(n)}$ is depth of the soil layer 8





- 229 n, mm,  $W_{wilt}$  is soil moisture at the wilting point, m m<sup>-1</sup>, and  $W_{fi}$  is soil moisture at field capacity, m
- 230  $m^{-1}$ . When the temperature of all soil layers is less than 0°C, stat<sub>water</sub> is equal to 0.

### 231 2.3.2 Carbon-stock partitioning method

- 232 According to the flexible allocation scheme, SEIB-DGVM allocates and stores the biomass carbon in 233 four pools of woody PFT (foliage, trunk, root, and stock) and three pools of grass PFT (foliage, root, and 234 stock). To investigate the fractional variability of carbon-sequestration potential between the pools, we 235 partitioned potential vegetation carbon-stocks based on the physiological function of the plant (Figure 236 A1). The root-shoot ratio (R/S) has been widely used to investigate the relationship between aboveground 237 vegetation biomass to belowground vegetation biomass and is considered an important variable in the terrestrial ecosystem carbon cycle (Zhang et al., 2016). In this study, we adjusted the method of 238 239 calculating the R/S ratio by distinguishing between the aboveground vegetation biomass carbon-stock 240 (AVBC) and the belowground vegetation biomass carbon-stock (BVBC). AVBC includes biomass carbon 241 from woody foliage, woody trunk, and grass foliage, while BVBC includes biomass carbon from woody 242 fine roots and grass fine roots, excluding the stock pool. Thus,  $\frac{AVBC}{M} = \frac{Wmass_{leaf} + Wmass_{trunk} + Gmass_{leaf}}{100\%} \times 100\%$ 243 (7)Wmassroot+Gmassroot BVBC
- where *AVBC* is aboveground vegetation biomass carbon-stock (kg C m<sup>-2</sup>), *BVBC* is belowground vegetation biomass carbon-stock (kg C m<sup>-2</sup>), *Wmass*<sub>leaf</sub> is the leaf biomass carbon-stock of wood (kg C m<sup>-2</sup>), and *Wmass*<sub>trunk</sub> is the trunk biomass carbon-stock of wood (kg C m<sup>-2</sup>), including both branch and structural roots. This biomass is simplistically attributed to aboveground organs and is used primarily to support the plant. *Gmass*<sub>leaf</sub> is the leaf biomass carbon-stock of grass (kg C m<sup>-2</sup>), whereas *Wmass*<sub>root</sub> and *Gmass*<sub>root</sub> are functional root (fine roots) biomass carbon-stocks of wood and grass, separately (kg C m<sup>-2</sup>), which absorb water and nutrition from soil.

### 251 2.4 Experimental design

# 252 2.4.1 Setup of model runs

SEIB-DGVM simulations begin with seeds of selected plant function types planted in bare ground. The plant functional types are favored for establishment by the environmental conditions in each grid cell. We inputted the transient climate data from 1901 to 1915 to spin up the model in a repetitive loop. No





- obvious trend in climatic factors was observed during this period (Tei et al., 2017). A spin-up period of
- 257 1050 years was necessary to bring the terrestrial vegetation carbon cycle into a dynamic equilibrium. To
- reach quasi-equilibrium in the vegetation biomass, about 1000 years of simulation was required as a spin-
- 259 up procedure.

#### 260 2.4.2 Factorial simulation scheme

Factorial simulation	CO <sub>2</sub> fertilization	Precipitation	Temperature	Radiation	Other drivers
S1	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
S2	$\checkmark$				
\$3	$\checkmark$	$\checkmark$			
S4	$\checkmark$		$\checkmark$		
S5	$\checkmark$			$\checkmark$	
S6	$\checkmark$				$\checkmark$

Table 1. List of factorial simulations used in this study

Note: In factorial simulation S1, historical atmospheric  $CO_2$  concentration and historical climate fields from the CRU data set were used. In simulation S2, only historical atmospheric  $CO_2$  concentration was used, and climate variables of the transient period (1901–1915) were repeatedly input. In simulation S3 (or S4, S5), only historical atmospheric  $CO_2$  concentrations and precipitation (or temperature, radiation) were input, and climate variables of the transient period (1901–1915) were repeatedly input. In the last simulation S6, historical atmospheric  $CO_2$  concentrations and other climate variables were input, excluding precipitation, temperature, and radiation.

261 To further quantify the relative contributions of varying atmospheric CO<sub>2</sub> concentrations, precipitation,

262 temperature, and radiation, we performed six factorial simulations after the spin-up procedure using

263 different input variables between 1916 and 2015 (Table 1). Other drivers included wind velocity and

relative humidity. Consistent with previous studies (Zhu et al., 2016; Piao et al., 2006), the contribution

265 of CO<sub>2</sub> to the trend in carbon-stocks trend was defined as the ratio of the carbon-stock increase from

266 simulation S2 to that of simulation S1. The contributions of precipitation, temperature, radiation, and

267 other factors were calculated by subtracting simulation S2 from each corresponding simulation (S3, S4,

268 S5, S6, respectively), then dividing by simulation S1.

# 269 2.4.3 Non-parametric test methods

270 Each driving factor (atmosphere CO<sub>2</sub>, precipitation, temperature, and radiation) has a different influence

271 on the carbon-stock, so it is difficult to make a simple pre-assumption about the population distribution

272 pattern for factorial simulations. We used the non-parametric Mann-Kendall and Sen's slope estimator

273 statistical tests (Gocic and Trajkovic, 2013) to assess the ability of SEIB-DGVM to simulate the response

274





275 the simulated hundred-year mean global average carbon-stock time series to reveal the accumulative 276 influences of the single variables based on the factorial simulations where only one or two drivers were 277 varied. Detection trends of AVBC and BVBC for all driving factors performed statistically well (in

patterns of carbon-sequestration potential to a change in climate and CO<sub>2</sub> concentrations. We regressed

- agreement at the 95% confidence intervals), indicating this analytical method was suitable for trend
- 279 attribution at the global scale.

#### 280 2.4.4 Distinguishing hydrological regions



Figure 1. Global spatial patterns of water availability. Spatial variations in water availability were categorized based on an 115-year average aridity index (AI), defined as the ratio of the multiyear mean precipitation to the potential evapotranspiration. Categories include: hyper-arid (AI  $\leq$  0.05), arid (0.05 < AI  $\leq$  0.2), semi-arid (0.2 < AI  $\leq$  0.5), sub-humid (0.5 < AI  $\leq$  0.65), and humid (AI > 0.65).

Locally available water strongly regulates and limits the response of carbon-stocks to changes in climate and CO<sub>2</sub>. We defined an aridity index (AI) to distinguish between the global hydrological regions for comparing the long-term trend in carbon-stocks over different hydrological environments, and for quantifying the influences of each hydrological environment on the variations in the trends. The AI was defined as:

$$286 \qquad AI = \frac{P}{ET_p} \tag{8}$$

where  $\overline{P}$  is the multiyear mean precipitation (mm year<sup>-1</sup>), and  $\overline{ET_p}$  is the multiyear mean potential evapotranspiration (mm year<sup>-1</sup>), which was calculated by the Penman-Monteith model (Monteith and Unsworth, 1990). As in a previous study (Chen et al., 2019), five hydrological regions (Figure 1) were categorized based on an 115–year average AI (1901–2015): including a hyper-arid region (AI  $\leq$  0.05), arid region (0.05  $\leq$  AI  $\leq$  0.2), semi-arid region (0.2  $\leq$  AI  $\leq$  0.5), sub-humid region (0.5  $\leq$  AI  $\leq$  0.65), and





humid region (AI > 0.65).

# 293 3 Results and discussion

# 294 3.1 Evaluation of SEIB-DGVM

295 In terrestrial vegetation biomes, there is a high correlation between biomass carbon-stock density and 296 NPP per unit (Erb et al., 2016; Kindermann et al., 2008) (Figure A1). Thus, we initially used NPP as a proxy of the carbon-stock to assess model accuracy. We obtained the dataset from the Ecosystem Model-297 298 Data Intercomparison (EMDI) working group, and then compared their data with modelled multiyear average NPP in the period of 1916-1999. The EMDI dataset is an ensemble from global ecological sites 299 from 1901 to 1999 and is shown in Figure 2. The determined coefficient (R<sup>2</sup>) between EMDI observed 300 301 and estimated multiyear average NPP of 669 in-situ observations is 0.54, which is significant at the 302 p=0.01 level. The slope of the regressed line is 0.70 during the twentieth century.



Figure 2. Multiyear average NPP calculated by SEIB-DGVM and EMDI for the twentieth century. (a) EMDI global site distribution. Green rhombuses indicate the locations of the sites. (b)





Comparison of NPP calculated by SEIB-DGVM and EMDI. The solid line is the best fit curve; and the dashed line represents a perfect correspondence in the results of the two.

303 However, in-situ observations are sparse for global spatial-temporal validation. Therefore, we used the 304 MOD17A3 products to further verify the simulated potential NPP from 2000 to 2015. These data were collected by the Moderate Resolution Imaging Spectroradiometer and are some of the most widely used 305 306 data to assess the accuracy of global model simulations (Gulbeyaz et al., 2018). The potential vegetation 307 refers to the hypothetical condition that would prevail in an assumed absence of anthropogenic activity, 308 but under historical climate fields (Erb et al., 2018; Haberl et al., 2014). The potential NPP in the potential 309 vegetation is defined as that the assimilated carbon stored in land vegetation without human disturbance 310 under current environmental conditions (Erb et al., 2018). We resampled actual NPP data from MOD17A3 to a common spatial resolution  $(0.5^{\circ})$  by the majority method. Potential NPP-MOD17A3 311 data were extracted from typical NPP values in grids only covered by vegetation from actual NPP-312 313 MOD17A3 data. Regions covered by undisturbed vegetation were distinguished from a land vegetation cover map. Figure 3 shows that the modelled NPP from the SEIB-DGVM exhibited a high degree of 314 315 consistency with the NPP-MOD17A3 data over the period ( $R^2=0.62$ , p<0.05). The general 316 spatiotemporal agreement between the simulated NPP derived from SEIB-DGVM with in-situ 317 observations and derived from satellites reveals that it is reasonable to use the SEIB-DGVM simulations to evaluate the same mechanisms controlling global potential biomass carbon stocks of vegetation. 318



Figure 3. Spatial patterns in the potential NPP correlation coefficients between SEIB-DGVM and MODIS between 2001–2015. These data were used to validate SEIB-DGVM.

- 319 For obtaining potential NPP-MOD17A3 data, we collected a land-use dataset from MCD12C1 to make
- 320 a vegetation cover map in the period of 2001–2015. We resampled the land cover map to a common





- 321 spatial resolution (0.5°), and extracted grids of covered vegetation to produce the land vegetation cover
- 322 map (Figure A2). Cover types on the map include evergreen needleleaf forests, evergreen broadleaf
- 323 forests, deciduous needleleaf forests, deciduous broadleaf forests, mixed forests, closed shrublands, open
- 324 shrublands, woody savannas and grasslands.
- 325
- 326 Finally, the modelled result of potential vegetation biomass carbon-stock was compared with current
- 327 existing data form the literature and state-of-the-art datasets. Figure 4 shows that the modelled results are
- 328 within the range of potential carbon-stocks, which indicate that the SEIB-DGVM reliably simulated the
- 329 carbon-stock dynamics.



Figure 4. Estimates of the potential vegetation biomass carbon-stock from the literature (parentheses), state-of-the-art datasets (brackets) and this study. Datasets are from the following studies: [1](Erb et al., 2018; Erb et al., 2007), (2)(Bazilevich et al., 1971), (3)(Saugier et al., 2001), [4](Erb et al., 2018; Bartholome and Belward, 2005), (5)(Olson et al., 1983), [6](Erb et al., 2018; Pan et al., 2011), (7)(Ajtay et al., 1979), [8](Erb et al., 2018; Ruesch and Gibbs, 2008), (9)(Kaplan et al., 2011), (10)(Shevliakova et al., 2009), (11)(Kaplan et al., 2011), (12)(Pan et al., 2013), (13)(Prentice et al., 2011), [14](Erb et al., 2018; Erb et al., 2007), [15](Erb et al., 2018; West et al., 2010), (16)(Hurtt et al., 2011). The red column is the SEIB-DGVM-modelled biomass carbon stocks used in this study.

#### 330 **3.2 Enhanced carbon-stocks and its fractions**

- 331 A global time series of potential vegetation carbon-stocks and its partitioning fractions were modelled by
- 332 the SEIB-DGVM between 1916–2015. The simulations were conducted at a spatial resolution of  $0.5^{\circ}$
- 333 and at a daily timescale using CRU and reconstructed atmospheric CO<sub>2</sub> concentration data. We
- 334 distinguished the changes of AVBC and BVBC from integral vegetation carbon-stocks. The historical
- 335 temporal trends over the period are shown in Figure 5a. The potential vegetation carbon-stock (the year-





336 to-year accumulation of carbon in the terrestrial plant without external interference) exhibits a net 337 increase of  $119.26 \pm 2.44$  Pg C in the last century ( $\pm 2.44$  represents monthly fluctuation in carbon within 338 the year). This increasing trend exhibits a robust agreement with the slower increase in atmospheric CO2 339 concentration ( $R^2=0.88$ , p<0.001), suggesting that the carbon-stock is strongly affected by CO<sub>2</sub> 340 fertilization. In addition, the positive correlation between the carbon-stock and CO<sub>2</sub> generally extends across all vegetation biomass partitions (AVBC+BVBC). After the value of the global terrestrial carbon-341 342 stock and trends were partitioned among the vegetation functional classes, we see that AVBC increases 343  $116.18 \pm 2.34$  Pg C (or ~15.60%) and dominates the positive global carbon-stock trend; BVBC also increases  $3.08 \pm 0.14$  Pg C (or ~18.03%) over the past century. 344



**Figure 5. Global potential biomass carbon stocks of vegetation during the past 100 years. (a)** The evolution of global potential biomass stocks (AVBC+BVBC), along with changes in biomass stocks that can be attributed to the variability and trend of AVBC and BVBC through the twentieth century. The red line represents the monthly value of AVBC, the blue line represents the monthly value of BVBC, and the pink line represents the annual value of potential vegetation carbon stock. (b, c) Zonal averaged sums of the annual AVBC and BVBC for latitudinal bands during the first decade; the averaged value (1916–1925, red line) and the last decade averaged value (2006–2015, blue line) shows the increased carbon stock capacity.

345 The global distributions of the decadal-average change in AVBC and BVBC are shown in Figures 5b and





346 5c, respectively. The significant historical changes in climate and  $CO_2$  enhance the carbon-stock of the 347 terrestrial ecosystem, and their positive influences are broadly distributed across a latitudinal north-south gradient. The latitudinal bands of increasing annual AVBC are mainly distributed in the tropic and boreal 348 349 latitudes, a conclusion consistent with prior knowledge (Erb et al., 2018; Schimel et al., 2015). The 350 decadal and inter-annual variabilities of AVBC are dominated by the tropical and semi-arid regions where 351 large portions of the regions are highly productive (Ahlstrom et al., 2015; Poulter et al., 2014). There is a single peak in the spatial variation of annual BVBC (Figure 5c). BVBC exhibits robust growth at most 352 latitudes, and it increases mainly in boreal latitudes. 353

# 354 3.3 Spatial variability in estimated AVBC and BVBC trends

355 Based on the carbon-stock partitioning method, we found that the integrated carbon-stock as well as the 356 above- and belowground carbon-stocks over the period of 1916-2015 exhibited a remarkable spatial 357 heterogeneity. Figure 6a shows that an increase in vegetation carbon-stocks occurred over regions and 358 global aggregate levels during the entire study period. About 57.39% of the terrestrial grids exhibited an increase with a noticeable trend (p<0.05) in biomass carbon-stock; 53.82% of global grids possessed 359 360 increases that were statistically significant at the p=0.01 level. To determine the contributions of each 361 fraction (AVBC, BVBC) to the integral change in the potential vegetation carbon-stock, we partitioned and present the historical spatial and temporal patterns for each fraction separately (Figure 6b, 6c). AVBC 362 363 contributes 97.33% to the total incremental change (116.18  $\pm$  2.34 Pg C), with about 51.32% of the grids 364 possessing a noticeable positive trend (p=0.01). Generally, spatial patterns of AVBC and the integral carbon-stock are consistent (Figure 6a, 6b), which further supports the argument that AVBC dominates 365 the trend in carbon-stocks in most regions. Although the proportion of the total change in carbon-stocks 366 367 is small (3.08  $\pm$  0.14 Pg C), about 61.00% of the land surface shows an increase in BVBC; of these 368 terrestrial grids, 55.81% was characterized by a significant p=0.01 increase.









Figure 6. Spatial patterns in the trends of potential vegetation carbon-stocks and their fractions from 1916 to 2015. Difference induced by changes in climate and  $CO_2$  in terrestrial biomass carbon-stock (a), AVBC (b), and BVBC (c) during the historic period 1916–2015. (d) Trend in the AVBC/BVBC ratio from 1916 to 2015. The sub-graphs show the significant test results. The white bar indicates non-vegetated areas, or the trend is statistically insignificant (P>0.05). The blue bar indicates significantly increasing trends in the ratio and vice versa.

- 369 Biomass carbon allocation between above- and belowground vegetation organs reflect the changes in
- 370 individual growth, community structure and ecosystem function, which are important attributes in the
- 371 investigation of carbon-stocks and carbon cycling within the terrestrial biosphere (Hovenden et al., 2014;
- Fang et al., 2010; Ma et al., 2021). Under the influences of a changing climate and CO<sub>2</sub> concentrations,
- 373 there is a slight increase in the ratio of global AVBC/BVBC; the rate of increase is  $0.0171 \text{ yr}^{-1}$  in the last
- 374 hundred years, which is significant at the 0.01 level (Figure 6d). Regions with noticeable increases in the
- 375 ratio of AVBC to BVBC are mainly located in southern Africa, central South America, and northern
- 376 Eurasia. Negative trends in AVBC/BVBC ratios are found in northern America, southern Europe, and
- 377 tropical Africa.

# 378 3.4 Responses of AVBC and BVBC to environmental drivers

379 The responses of AVBC and BVBC to changes in climate and CO2 are both positive at the global level 380 (Figure 7a, 7c), although regionally, they exhibit both negative and positive responses (Figure 7b, 7d). Based on the results of factorial simulations and Mann-Kendall+Sen tests, CO<sub>2</sub> fertilization explains the 381 largest proportion of the change in the carbon-stock, about 82.45% change in AVBC was positive (15.521 382 383 g C m<sup>-2</sup> yr<sup>-1</sup>), whereas 89.28% of the change in BVBC was positive (0.435 g C m<sup>-2</sup> yr<sup>-1</sup>). The separately simulated AVBC and BVBC increased by 80.98 Pg C and 2.66 Pg C with increasing atmospheric CO<sub>2</sub> 384 385 concentrations (from 301.73 ppm in 1916 to 400.83 ppm in 2015). The other climatic drivers (precipitation, temperature, radiation, humidity, and wind speed) remained at baseline values. While the 386 387 increase or decrease in the carbon-stock may be attributed to more than one driving factor, within any specified grid, the one with the highest contribution was the driver that consistently resulted in the highest 388





389 increase or decrease in the carbon-stock for that grid. The spatial pattern illustrates that CO<sub>2</sub> dominates 390 the variability in AVBC in 7.28% of the regions, including 1.21% of the regions that exhibited a negative 391 change and 6.07% that exhibited a positive change. CO2 dominates the variability in BVBC in 27.60% 392 of the regions, including 1.73% of the regions that exhibited a negative change and 25.87% of regions 393 with a positive change (Figure 7b, 7d). These trends are consistent with previous studies (Tharammal et al., 2019; Zhu et al., 2016; Keenan et al., 2017) in which positive trends occurred, especially for BVBC. 394 395 The responses of terrestrial ecosystems to high CO2 concentration are affected by vegetation species and 396 the dynamic function of the vegetation carbon-stock. Due to the interaction between terrestrial vegetation 397 and a changing environment, both photosynthesis and respiration of the vegetation also changed. To 398 better absorb CO2 and sunlight required for photosynthesis, vegetated regions are gradually covered by 399 vegetation with higher plant height and wider leaf area, thereby adjusting their characteristic ecosystem 400 functions (Anderson et al., 2010) (Figure 6d). Fractional dynamics of the carbon-stock (AVBC/BVBC) are widely used as a key indicator to investigate the responses of vegetation to environmental drivers, 401 402 which also reflect the response strategies of vegetation in environments with different water limitations 403 (Yang et al., 2010).



Figure 7. The proportion of change in the integrated vegetation biomass carbon stocks attributed to driving factors. Ratios of the driving factors of  $CO_2$  fertilization effects ( $CO_2$ ), climate change effects (CLI), precipitation (Pre), temperature (Tem), radiation (Rad) for AVBC (a) and





BVBC (c) under the five scenarios using the Mann-Kendall and Sen's slope estimator statistical tests. Attribution of AVBC (b) and BVBC (d) dynamics to driving factors calculated as averages along 15° latitude bands. At local scales, the driving factors include CO<sub>2</sub>, Pre, Tem, Rad, and other climate factors (OF). A '+' symbol indicates a positive effect of the driving factor on carbon stock, and vice versa. The fraction of global area (%) that is predominantly influenced by the driving factors is shown at the top of the bar.

404 Climate change induced by the greenhouse effect explains part of the increase in carbon-stocks, but 405 unlike CO<sub>2</sub> fertilization, climate has dramatic negative effects on some vegetated regions. Figure 7 illustrates that temperature is the largest climatic contributor to the change in AVBC (13.83%, 2.572 g 406  $m^{-2} yr^{-1}$ ), followed by precipitation (8.51%, 1.572 g  $m^{-2} yr^{-1}$ ) and radiation (-3.19%, -0.649 g  $m^{-2} yr^{-1}$ ). 407 408 The spatial distribution shows that temperature predominantly influences the change in AVBC, 409 influencing over 27.56% of the global vegetated regions, followed by precipitation (21.88%) and 410 radiation (20.67%). Modelled BVBC trends based on the factorial simulations have similar 411 spatiotemporal patterns to AVBC. The effects of temperature on BVBC are stronger than AVBC, because 412 fine root tightly correlates with temperature (Gill, 2000). Meanwhile, there is a difference in the negative 413 contribution of precipitation to the change in BVBC at the global level (-2.76%, -0.013 g m<sup>-2</sup> yr<sup>-1</sup>). It 414 should be noted that trends in the global carbon-stock can be largely attributed to the influences of CO<sub>2</sub>, 415 precipitation, temperature, and radiation (Figures 8, 9). Nonetheless, at the regional scale, the 416 contributions of other factors should be considered, such as humidity and wind speed. The effects of these other factors dominate trends in AVBC in over 16.05% of the regions that increased and 6.57% of 417 418 the regions that decreased. In the case of changes in BVBC, other factors were dominant drivers in over 419 14.75% of the regions that increased and 3.57% of regions that decreased. Previous studies have pointed out that the interannual variation of the terrestrial carbon-stock caused by releasing or sequestering 420 421 carbon is sensitive to anomalous changes in water availability and light use efficiency (Madani et al., 422 2020; Humphrey et al., 2018). However, multidecade observational data revealed that there was not a 423 dramatic and consistent variant in the land surface precipitation and radiation data series (Sun et al., 2012; Wild et al., 2005). It appears that the influences of precipitation and radiation on short-term variations in 424 425 the carbon-stocks were temporally compensated for by offsetting changes of AVBC, BVBC, and AVBC/BVBC in the long-term trend. The accumulated influence of climate warming induces dramatic 426 427 changes in the carbon-stock at a global scale. Thus, we suggest that temperature dominates the long-term 428 trends in the carbon-stock among climatic drivers, while a compensatory effect exists in the long-term







429 change in the carbon-stock induced by precipitation and radiation.

**Figure 8. Potential AVBC trend maps during the period of 1916 to 2015 under different factorial simulations.** (a) CO<sub>2</sub> driving factorial simulation; (b) CO<sub>2</sub>+precipitation driving factorial simulation. (c) CO<sub>2</sub>+temperature driving factorial simulation; and (d) CO<sub>2</sub>+radiation driving factorial simulation. Positive values indicate increasing trends in the ratio and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.

430



**Figure 9.** Potential BVBC variation trend maps during the period of 1916 to 2015 under different factorial simulations. (a) CO2 driving factorial simulation; (b) CO2+precipitation driving factorial simulation. (c) CO2+temperature driving factorial simulation; and (d) CO2+radiation driving factorial simulation. Positive values indicate increasing trends in the ratio and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.







# 431 **3.5** Constraints imposed by water limitations

**Figure 10. Relationships in the incremental change between AI and AVBC over the hydrological regions.** Modelled AVBC enhanced magnitude in the historical scenario S1 (a), CO<sub>2</sub> in scenario S2 (b), precipitation in scenario S3 (c), temperature in scenario S4 (d), and radiation in scenario S5 (e). Range of the box is 25%-75% of values; range of the whiskers is 10%-90% of values; the small red square is average value; and the red line is the median line.

432 Terrestrial water availability emerged as a key regulator of terrestrial carbon storage, by affecting the 433 response mechanism of the vegetation carbon-stock to changes in driving factors (Fan et al., 2019; Humphrey et al., 2018; Ahlstrom et al., 2015; Madani et al., 2020; Humphrey et al., 2021; Ma et al., 434 435 2021). As shown in Figure 10, with an increase in the aridity index (i.e., an increase in available water), 436 there is a gradually ascending trend in the enhanced magnitude and range in variation of AVBC density. 437 Moreover, there is a link between fluctuations in the enhanced magnitude and range of variation in the 438 BVBC density with the water stress gradient (Figure 11). These results suggest that water limitations 439 lessen or even prevent carbon-stock fluctuations induced by changes in climate and CO2. To further 440 investigate the controls of water limitation on the responses of inner carbon storages to each driver, we analyse the long-term variability of potential vegetation carbon-stocks by means of factorial simulations 441 442 for each hydrological region (Figure 1). In factorial simulations, drivers attributed to increase AVBC 443 density changed from  $0.878 \pm 0.131$  kg C m<sup>-2</sup> in the hyper-arid regions to  $5.459 \pm 0.610$  kg C m<sup>-2</sup> in the





humid region during the past hundred years. Drivers attributed to increase BVBC density changed from 0.011  $\pm$  0.001 kg C m<sup>-2</sup> in the hyper-arid regions to 0.044  $\pm$  0.005 kg C m<sup>-2</sup> in the humid regions during the same period (Figures A3, A4). With a lessening of water stress (from hyper-arid to humid area), the response of the carbon-stock to changes in climate and CO<sub>2</sub> gradually became more noticeable. The robust pattern in the regional average density of the carbon-stock shows that terrestrial water limitations



449 strongly limit the enhanced magnitude of the carbon-stock.

**Figure 11. Relationships in the incremental change in AI and BVBC over the hydrological regions.** Modelled BVBC enhanced magnitude in the historical scenario S1 (a), CO<sub>2</sub> in scenario S2 (b), precipitation in scenario S3 (c), temperature in scenario S4 (d), and radiation in scenario S5 (e). Range of the box is 25%-75% of values; range of the whiskers is 10%-90% of values; the small red square is average value; and the red line is the median line.

Water limitations not only directly reduced the magnitude of the increase in the two fractions' carbonstock (AVBC and BVBC) to changes in climate and CO<sub>2</sub>, but also indirectly confined the response direction of each fractions' carbon-stock by transforming vegetation structure and function. Figure 12 illustrates that spatial variations in the carbon-stock ratio within and between hydrological regions. Under the synergistic effect of drivers and water stress, vegetation carbon-stock increases, and there is a larger proportion of biomass allocated to, and stored in, aboveground vegetation organs. In drylands (AI  $\leq$  0.5) of all factorial simulations, aboveground and belowground biomass carbon-stocks both increased but the





457 rate of change in the AVBC/BVBC ratio gradually decreased. Vegetation utilizes a tolerance strategy to 458 allocate biomass, storing more biomass carbon in roots to resist enhanced water stress (Chen et al., 2013). In humid regions (AI>0.65), the proportion of AVBC increases more than that of BVBC to obtain more 459 460 resources like CO2 and radiation energy, leading to an increase in the AVBC/BVBC ratio. Conforming to 461 the optimal partitioning hypothesis, plants store more carbon in shoots and leaves in environments where 462 water is more available and shift more carbon to roots when water is more limited (Yang et al., 2010; Mcconnaughay and Coleman, 1999). Terrestrial water availability has a strong regulating effect on the 463 spatial pattern of growth in the carbon-stock, demonstrating that the effects of the changes in climate and 464 465 CO<sub>2</sub> on the dynamics of the vegetation carbon-stock are controlled by the terrestrial water gradient.



Figure 12. Temporal fluctuations in carbon-stock dynamics in vegetation biomass in different factorial simulations. Black indicates historical factorial simulation from 1901-2015, green indicates the CO<sub>2</sub>-driven factorial simulation, blue indicates the precipitation-driven factorial simulation, red indicates the temperature-driving factorial simulation and yellow indicates radiation driven factorial simulation. Uncertainty bounds are provided as shaded areas reflect the intra-annual fluctuation ( $\pm$  1 s.d.) (a) Modelled trend of AVBC/BVBC ratio in Global area. (b-f) Modelled trend of the AVBC/BVBC ratio in different hydrological regions.

#### 466 4 Conclusions and discussions

467 To understand the response of carbon-sequestration potential and its inner biomass carbon-stocks to





- 468 environmental change, we conducted a series of factorial simulations using SEIB-DGVM V3.02. More
  469 importantly, we investigated the extent of the responses of carbon-stocks to water limitations, and the
  470 correlation between terrestrial water and carbon flux.
- 471

Over the past 100 years, there has been an ongoing increase in the carbon storage capacity of the 472 473 terrestrial ecosystem, which has slowed the rate at which atmospheric CO2 has increased and may have 474 mitigated global warming. These findings are consistent with the conclusions of research conducted at the local scale. For example, based on carbon flux data, Erb et al. (2008) suggested that the vegetation 475 476 carbon-stock in Austria increased from 1043 Mt C to 1249 Mt C (AVBC growth was 1.059 Mt C yr<sup>-1</sup> and BVBC growth was 0.2 Mt C yr<sup>-1</sup>) since industrialization. Le Noë et al. (2020) showed that increases in 477 478 the carbon stocks and carbon density were the predominant drivers in the forest terrestrial carbon 479 sequestration capacity in France from 1850 to 2015. Tong et al. (2020) also found a substantial increase of AVBC in southern China (0.11 Pg C yr<sup>-1</sup>) during the period 2002-2017. However, these studies 480 481 focused on regional trends in integral vegetation carbon-stocks and did not investigate the extent of the 482 response in vegetation carbon-stocks partitioned between above- and belowground biomass. Our results 483 show that the increase in carbon-stock in aboveground vegetation was much larger than that in 484 belowground vegetation, and AVBC dominates the historical trend of the terrestrial carbon-stock. During 485 the past decades, the global land surface has been greening because of the flux and storage of more carbon 486 into plant trunks and foliage (Zhu et al., 2016). Based on our factorial simulations, the vegetation carbon-487 stock exhibited the most increase under the combined influence of CO<sub>2</sub> fertilization and temperature. In addition, the responses of carbon-stocks to other factors of change differed, particularly at the regional 488 489 scale (Figure 7). Temporal AVBC and BVBC variations driven by precipitation and radiation were 490 ultimately offset by compensatory effects, which dampened the long-term response of the carbon-stock 491 to these factors. Our results revealed that trends in CO2 and temperature drove historical long-term trends 492 in the potential carbon-stocks, with faster increases and considerable variation occurring by region.

493

494 By partitioning the trends of AVBC and BVBC into five hydrological regions (Figure 1), we found that 495 the long-term change in carbon-stocks is tightly coupled to terrestrial water availability. These results 496 indicate that vegetation in humid regions is responsible for most of the trend in global AVBC, while





497	plants in semi-arid regions play a dominate global role in controlling the long-term trend in BVBC. In
498	addition, we demonstrated that water limitations controlled the terrestrial vegetation carbon-stocks (Ma
499	et al., 2021). As water stress decreases, the magnitude and range in variation of carbon-stocks gradually
500	increase (Figures 10, 11), which suggests that limited water availability constrains the response
501	magnitude of the changes in carbon-stocks to changes in $\mathrm{CO}_2$ and climate. In contrast, we found that
502	indirect factors constrain the impact of increasing water stress on the response of carbon-stocks. Although
503	vegetation carbon-stocks dramatically increase under the effects of climate and CO <sub>2</sub> changes, vegetation
504	in humid regions stores more biomass (and carbon) in aboveground plant organs (trunk and foliage) to
505	obtain nutrients and light. Dryland vegetation lowers the AVBC/BVBC ratios and stores more biomass
506	below ground to enhance the capture of water resources. Terrestrial ecosystems utilize sensitive strategies
507	to allocate and store biomass to adjust to local hydrological conditions, which is consistent with optimal
508	partitioning theory (Mcconnaughay and Coleman, 1999). A significant conclusion is that water
509	constraints not only confine the responses of vegetation carbon-stocks to drivers of variability, but also
510	constrain the proportion of biomass carbon-stocks in above- and belowground fractions.

511

512 Distinguishing the response of carbon-stock fractions estimated by SEIB-DGVM improves the 513 understanding of the interactive impacts of terrestrial carbon and water dynamics. However, uncertainty 514 still exists because of the limitations in the processes of modelling vegetation metabolism with SEIB-515 DGVM. Trunk biomass contains tree branches and structural roots (coarse roots and tap roots) (Sato et 516 al., 2007), so the R/S ratio of potential vegetation is smaller than the R/S of actual vegetation in factorial 517 simulations. Fine root biomass is just a tiny fraction to the total biomass, but is has a very high turnover 518 rate and determines the capacity of vegetation to absorb soil water. Availability of nitrogen is a key 519 limiting factor for vegetation growth, especially when higher CO<sub>2</sub> fertilization effects exist (Tharammal 520 et al., 2019). The limitation could be alleviated by nitrogen deposition in most temperate and boreal ecosystems. The SEIB-DGVM experiments were conducted with a focus on documenting CO2 521 fertilization and climate change interactions; these experiments did not consider the influences of 522 523 nitrogen deposition, which leads to a slight overestimate of the contributions of CO<sub>2</sub> fertilization on 524 biomass production.

525





526	In summary, we evaluated SEIB-DGVM V3.02 and used this model to offer new perspectives on the
527	response of vegetation carbon-sequestration potential to changes in climate and CO2. Our simulation
528	results show that changes in $CO_2$ , rather than climate, dominate the above to belowground partitioning
529	of the carbon-sequestration potential. More importantly, we suggest that the impact of $\mathrm{CO}_2$ fertilization
530	and temperature effects on vegetation carbon-sequestration potential depends on water availability and
531	its impacts on plant stress. With increased global warming, water limitations are expected to increasingly
532	confine global carbon-sequestration. Our findings highlight the need to account for terrestrial water
533	limitation effects when estimating the response of the terrestrial carbon sequestration capacity to global
534	climate change, and the need for stronger interactions between those involved in vegetation model
535	development and those in between the hydrological and ecological research communities.





# 536 Appendices



Figure A1. Schematic of ecosystem carbon cycle. Yellow arrow indicates carbon flux. Atmospheric CO<sub>2</sub> transitions into gross primary production (GPP) by photosynthesis. GPP is partitioned into respiration and net primary production (NPP). NPP is partitioned into three biomass carbon pools (foliage, trunk, and root).





Figure A2. Land vegetation cover map from MCD12C1. END: Evergreen needleleaf forest, EBF: Evergreen broadleaf forest, DNF: Deciduous needleleaf forest, DBF: Deciduous broadleaf forest, MF: Mixed forest, CS: Closed shrublands, OS: Open shrublands, WS: Woody savannas, GL: Grasslands, NOV: No value.







Figure A3. Trends in average density of potential AVBC. (a) Modelled trend of annual averaged BVBC globally. Modelled trends in annual averaged AVBC in hyper-arid regions (b), arid regions (c), semiarid regions (d), sub-humid regions (e), and humid regions (f).



Figure A4. Trends in average density of potential BVBC. (a) Modelled trend of annual averaged BVBC globally. Modelled trends in annual averaged BVBC in hyper-arid regions (b), arid regions (c),





semi-arid regions (d), sub-humid regions (e), and humid regions (f).

# 540 Code and data availability statement

541	The code of SEIB-DGVM version 3.02 can be download from <u>http://seib-dgvm.com/</u> . Climatic Research
542	Unit data can be downloaded from https://crudata.uea.ac.uk/cru/data/hrg/. The soil physical parameters
543	can be downloaded from www.iges.org/gswp. The reconstructed $CO_2$ concentration dataset and SEIB
544	code can be downloaded from http://seib-dgvm.com/. In model validation, Ecosystem Model-Data
545	Intercomparison (multiyear average NPP product) data were collected from
546	https://daac.ornl.gov/NPP/guides/NPP_EMDI.html. Remote sensing product MOD17A3 data were
547	obtained from https://lpdaac.usgs.gov/products/mod17a3hgfv006/, and MCD12C1 data were obtained
548	from https://ladsweb.modaps.eosdis.nasa.gov/search/order.

## 549 Authors contributions

- 550 T.S. designed research. T.S., and S.H. performed research and developed the methodology. T.S. analyzed
- 551 data and produced the outputs. T.S., S.H., C.J., and X.C. wrote the first manuscript draft. W.W. and W.G.
- supervised the study. All the authors discussed the methodology and commented on various versions ofthe manuscript.

#### 554 Competing interests

555 The authors declare that they have no conflict of interest.

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564 the Center for Ocean-Land-Atmosphere Studies (COLA).





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