# 1 Impact of changes in climate and CO<sub>2</sub> on the carbon

# storage potential of vegetation under limited water availability using SEIB-DGVM version 3.02

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# 16 Abstract

17 Documenting year-to-year variations in carbon storage potential in terrestrial ecosystems is crucial for 18 the determination of carbon dioxide  $(CO_2)$  emissions. However, the magnitude, pattern and inner biomass 19 partitioning of carbon storage potential, and the effect of the changes in climate and CO<sub>2</sub> on inner carbon 20 stocks, remain poorly quantified. Herein, we use a spatially explicit individual based-dynamic global 21 vegetation model to investigate the influences of the changes in climate and CO<sub>2</sub> on the enhanced carbon 22 storage potential of vegetation. The modelling included a series of factorial simulations using the CRU 23 dataset from 1916 to 2015. The results show that CO<sub>2</sub> predominantly leads to a persistent and widespread 24 increase in light-gathering vegetation biomass carbon stocks (GVBC) and water-gathering vegetation 25 biomass carbon stocks (WVBC). Climate change appears to play a secondary role in carbon storage 26 potential. Importantly, with the intensification of water stress, the magnitude of the light- and water-27 gathering responses in vegetation carbon stocks gradually decreases. Plants adjust carbon allocation to 28 decrease the ratio between GVBC and WVBC for capturing more water. Changes in the pattern of 29 vegetation carbon storage was linked to zonal limitations in water, which directly weakens and indirectly 30 regulates the response of potential vegetation carbon stocks to a changing environment. Our findings 31 differ from previous modelling evaluations of vegetation that ignored inner carbon dynamics and

- 32 demonstrates that the long-term trend in increased vegetation biomass carbon stocks is driven by CO<sub>2</sub>
- 33 fertilization and temperature effects that are controlled by water limitations.

# 34 **1 Introduction**

35 As a result of the changes in climate and atmospheric carbon dioxide  $(CO_2)$ , the terrestrial ecosystem 36 carbon cycle exhibits remarkable trends in interannual variations, which induce uncertainty in estimated 37 carbon budgets (Erb et al., 2018; Keenan et al., 2017). Recent studies assessing interannual fluctuations 38 in terrestrial carbon sinks have shown that the land carbon cycle is the most uncertain component of the 39 global carbon budget (Ahlstrom et al., 2015; Piao et al., 2020; Jung et al., 2017; Humphrey et al., 2018; 40 Gentine et al., 2019; Humphrey et al., 2021). These uncertainties result from an incomplete understanding 41 of vegetation biomass carbon production, allocation, storage, loss, and turnover time (Bloom et al., 2016). 42 The extent and distribution of vegetation carbon storage is central to our understanding of how to 43 maintain a balanced land carbon cycle. Changes in terrestrial vegetation carbon storage have a significant 44 effect on atmospheric CO<sub>2</sub> concentrations and determine whether biomes become a source or sink of 45 carbon (Erb et al., 2018; Humphrey et al., 2018; Terrer et al., 2021). Therefore, investigating the 46 processes producing changes in carbon storage is key to improving the accuracy of estimated terrestrial 47 carbon budgets, and to tap the greenhouse-gas moderation potentials of vegetation (Ipcc, 2007; Roy et 48 al., 2001).

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50 The atmospheric  $CO_2$  concentration are affected by the vegetation carbon stock, while the long-term 51 trend of vegetation carbon storage capacity is also affected by the changes in climate and CO<sub>2</sub>. Since the 52 beginning of industrialization, there has been a noticeable enhancement in the capacity of storing and 53 sequestering carbon, which is needed for stabilizing greenhouse gas concentrations and mitigating global 54 warming (Chen et al., 2019; Pan et al., 2011; Le Noë et al., 2020; Magerl et al., 2019; Bayer et al., 2015; 55 Harper et al., 2018). Due to the interaction between terrestrial vegetation and a changing environment, 56 both photosynthesis and respiration of the vegetation also changed. To better absorb CO<sub>2</sub> and sunlight 57 required for photosynthesis, vegetated zones are gradually covered by vegetation with higher plant height 58 and wider leaf area. This change has coincided with a widespread change in other vegetation features, 59 including a positive increase in annual gross primary productivity and a greening of the biosphere

60 (Madani et al., 2020; Zhu et al., 2016). The spatiotemporal distribution and environmental drivers in total 61 carbon storage potential have been well documented on the basis of model estimates and satellite-based 62 assessments (Erb et al., 2007; Erb et al., 2018; Bazilevich et al., 1971; Saugier et al., 2001; Bartholome 63 and Belward, 2005; Olson et al., 1983; Pan et al., 2013; Ajtay et al., 1979; Ruesch and Gibbs, 2008; 64 Kaplan et al., 2011; Shevliakova et al., 2009; Prentice et al., 2011; West et al., 2010; Hurtt et al., 2011). 65 In contrast, the variability of inner components of carbon storage potential has not been extensively 66 studied. Without an accurate assessment of the dynamics of each fraction, attribution of carbon storage 67 potential to environmental drivers is highly uncertain. Consequently, partitioning potential vegetation 68 carbon storage and revealing its inner processes are essential to accurately comprehend the current state 69 of carbon storage capacity and reveal the influence of various drivers on the long-term trend of carbon 70 storage potential.

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72 The change of carbon storages in vegetation inner components is not only affected by environmental 73 factors, but also controlled by allocation scheme of assimilated carbon. Fractional dynamics of the carbon 74 stock are widely used as a key indicator to investigate the responses of vegetation to environmental 75 drivers, which also reflect the response strategies of vegetation in environments with different water 76 limitations (Yang et al., 2010). In arid region, vegetation utilizes a tolerance strategy to allocate biomass, 77 storing more biomass carbon in roots to resist enhanced water stress (Chen et al., 2013). Conforming to 78 the optimal partitioning hypothesis, plants store more carbon in shoots and leaves in environments where 79 water is more available and shift more carbon to roots when water is more limited (Yang et al., 2010; 80 Mcconnaughay and Coleman, 1999). Water availability controls both carbon allocation and storage and 81 can potentially transform zones characterized by a positive response to changes in climate and  $CO_2$  to 82 zones exhibiting a negative response. For example, global warming stimulates plant productively, 83 Madani et al. (2020) found that there is a dramatically downward trend in the tropical productivity. With 84 increased warming, water limitations are predictable to increasingly reduce the proportion of leaves' 85 biomass, and decrease plant photosynthesis (Ma et al., 2021). Water limitations have a strong regulating 86 effect on the spatial pattern of change in vegetation carbon storage, demonstrating the effects of the 87 changes in climate and CO<sub>2</sub> on the dynamics of the plant organs are affected by the terrestrial water 88 gradient. Thus, it is important to systematically investigate the distinct responses of carbon storage 89 potential to changes in climate and  $CO_2$  under differing conditions of water stress.

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91 As documented above, many studies have investigated the total changes in zonal and global terrestrial 92 storage of carbon, while few studies have examined trends in the components partitioning of vegetation 93 carbon storage. Large gaps in our knowledge of the effects of various drivers on the partitioning of carbon 94 stocks in vegetation biomass remain. Meanwhile, plants adjust carbon allocation scheme to adapt to 95 environmental change. With increased warming, an increase in the magnitude of water stress may 96 dramatically change or even reverse the impact of these drivers on inner components of carbon storage 97 (Ma et al., 2021). Evaluating the response pattern of carbon stocks to various drivers under conditions of 98 limited water is elemental for clearly documenting the response mechanism of vegetation carbon storage 99 potential.

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101 Here, we use a spatially explicit individual-based dynamic global vegetation model (SEIB-DGVM), 102 along with the components partitioning method to (1) systematically determine the long-term variability 103 of carbon storage potential and understand its response mechanisms, and (2) estimate trends in 104 partitioning of potential biomass carbon stocks of vegetation biomass. Throughout this study, the 105 potential biomass carbon stock, biomass carbon stored in vegetation without anthropogenic disturbance, 106 is recognized as a proxy for the potential of carbon storage by natural vegetation. Using a set of factorial 107 simulations to isolate responses to environmental change, we analyse the contributions of multiple 108 driving factors to the trends of two fractions of carbon stock at large scales individually. We then 109 conceptualize the role of water availability through an aridity index (AI), in which hydrological zones 110 are subdivided by their degree of aridity. By comparing the differences in the magnitude of response 111 between the fractions of light- and water-gathering carbon stocks for varying degrees of water availability, 112 we assess the effect of water limitations on the response pattern of potential carbon stocks to changes in 113 climate and CO<sub>2</sub>.

# 114 2 Model description, experimental design, observational data, and evaluation metrics

115 In this section, we provided a list of data source (Sect. 2.1), an overview of the modelling concept (Sect.

116 2.2), the representation of biomass carbon stock partitioning in the SEIB-DGVM (Sect. 2.3), an overview

of the experimental scheme used in the model simulations (Sect. 2.4), and an overview about data sourceand pre-processing of observation dataset for model evaluation (Sect. 2.5).

# 119 2.1 Forcing Data

120 Long-term daily meteorological time-series data are required to run model simulations, including 121 precipitation, daily range of air temperature, mean daily air temperature, downward shortwave radiation 122 at midday, downward longwave radiation at midday, wind velocity and relative humidity. These data 123 were obtained from the Climatic Research Unit (CRU) time series 4.00 gridded dataset (degree 0.5°) for 124 the period 1901–2015 (Harris et al., 2020). Because the CRU dataset is a monthly based dataset, the 125 monthly meteorological data were converted into daily climatic variables by supplementing daily 126 climatic variability within each month using the National Centre for Environmental Prediction (NCEP) 127 daily climate dataset. The NCEP data, displayed using the T62 Gaussian grid with 192 × 94 points, was 128 interpolated into a 0.5° grid (which corresponds to the CRU dataset) using a linearly interpolation method. 129 By combining the CRU data, with the interpolated NCEP dataset, we were able to directly obtain the 130 most of driving meteorological data (details in Sato et al. (2020)). Neither the CRU nor NCEP datasets 131 included downward shortwave and longwave radiation at midday. Thus, daily cloudiness values in the 132 NCEP were used to calculate radiation values using empirical functions (Sato et al., 2007). These data 133 were all aggregated to a daily timescale with 0.5° resolution to run SEIB-DGVM.

134

Atmospheric  $CO_2$  concentrations were collected from Sato et al. (2020), which contains reconstructed CO<sub>2</sub> concentrations between 1901 and 2015. The statistical reconstruction of global atmospheric  $CO_2$ was used in this analysis. These reconstructions were based on present annual  $CO_2$  concentrations recorded from the Mauna Loa monitoring station. These data assume atmospheric  $CO_2$  concentration was 284 ppm in 1750, and statistically interpolates atmospheric  $CO_2$  concentrations to fill the gap from 1750 to 2015.

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The physical parameters of the soil used in the model include soil moisture at the saturation point, field
capacity, matrix potential, wilting point and albedo. These data were obtained from the Global Soil
Wetness Project 2.

### 145 **2.2 Overview of modelling concept in SEIB-DGVM**

146 Model SEIB-DGVM version 3.02 (Sato et al., 2020) was employed in this study. This is a process-based 147 dynamic global vegetation model driven by meteorological and soil data. It is an explicit and 148 computationally efficient carbon cycle model designed to simulate transient effects of environmental 149 change on terrestrial ecosystems and land-atmosphere interactions. It describes three groups of processes: 150 land-based physical processes (e.g., hydrology, radiation, aridity), plant physiological processes (e.g., 151 photosynthesis, respiration, litter), and plant dynamic processes (e.g., establishment, growth, mortality). 152 Twelve plant functional types (PFTs) were classified. During the simulation, a sample plot was 153 established at each grid box, and then the growth, competition, and mortality of each the individual PFTs 154 within each plot were modelled by considering the specify conditions for that individual as it relates to 155 other individuals that surround it (Sato et al., 2007).

156

157 SEIB-DGVM treats the relationships between soil, atmosphere, and terrestrial biomes in a consistent 158 manner, including the fluxes of energy, water, and carbon. Based on specified climatic conditions and 159 soil properties, SEIB-DGVM simulates the carbon cycle, energy balance, and hydrological processes. 160 SEIB-DGVM utilizes three computational time steps: (1) During the growth phase, the metabolic 161 procedures including photosynthesis, respiration, and carbon allocation are executed for each individual 162 tree every simulation day. (2) The monthly process of tree growth including reproduction, trunk growth, 163 and expansion of a cross-sectional area of the crown are executed. (3) On the last day of each year, the 164 height of the lowest branch increases as a result of purging crown disks, or self pruning of branches, at 165 the bottom of the crown layer. The simulated unit of the model is a 30 m  $\times$  30 m spatially explicit 'virtual 166 forest'. A grass layer was placed under the woody layer, and provides for a comprehensive, spatially 167 explicit quantification of terrestrial carbon sinks and sources. The soil depth was set at 2 m and was 168 divided into 20 layers, each with a thickness of 0.1 m. The photosynthetic rate of a single-leaf was simulated following a Michaelis-type function (Ryan, 1991). Respiration was divided into two types: 169 170 growth respiration and maintenance respiration. Growth respiration is defined as a construction cost for 171 plant biosynthesis, which is quantified by the chemical composition of each organ (Poorter, 1994). 172 Maintenance respiration of live plants occurs every day regardless of the phenological phase, and is 173 controlled by the temperature and nitrate content of each organ (Ryan, 1991). For a wide variety of plant organs, the maintenance respiration rate is linearly related to the nitrogen content of living tissue. The relative proportions of nitrogen in each organ for any PFT are linearly correlated. N-deposition doesn't include in SEIB-DGVM. Atmospheric CO<sub>2</sub> was envisioned to be absorbed by photosynthesis of woody PFTs and grass PFTs. This assimilated carbon flux was then allocated into all the plant organs (leaf, trunk, root, and stock), where maintenance respiration and growth respiration occur. The hydrology module treats precipitation, canopy interception, transpiration, evaporation, meltwater, and penetration.

# 180 **2.3 Carbon stock of vegetation biomass partitioning**

# 181 **2.3.1 Parameterization of daily allocation**

182 Flexible allocation schemes about resources and biomass are set up in the framework of the SEIB-DGVM 183 biogeochemical model. Based on the updated observation data, the allocation schemes of Boreal Needle-184 leaved summer-green trees and Tropical Broad-leaved evergreen trees were improved at SEIB-DGVM 185 V3.02. Allocation schemes of other PFTs are the same as the original version. Atmospheric  $CO_2$  is 186 assimilated by the photosynthesis of both woody and grass foliage, and then is added into the non-187 structural carbon of the plant. This non-structural carbon of photosynthetic production is allocated to all 188 the plant organs (foliage, trunk, root, and stock), supplying what is needed for the maintenance and 189 growth of each organ. When the non-structural carbon is greater than 0 during the growth phase, the 190 following dynamic carbon allocation is executed for each individual plant at the daily time scale, such 191 that:

(1) When the fine root biomass (mass<sub>root</sub>) of wood or grass does not satisfy minimum requirements for fulfilling functional balance (mass<sub>leaf</sub>/FR<sub>ratio</sub>), the mass of non-structural carbon is allocated to the root biomass to supplement the deficit. Here, mass<sub>leaf</sub> is the leaf biomass, and FR<sub>ratio</sub> is the ratio of mass<sub>leaf</sub> to

195 mass<sub>root</sub> satisfying the functional balance.

(2) The stock biomass is supplemented until it is equal to leaf biomass. This scheme is active after thefirst thirty days of the growing phase.

(3) Woody leaf biomass is constrained by three limitations of the maximum leaf biomass, which arecalculated as follows:

200 
$$max_{1} = (crown_{area} + \pi crown_{diameter} crown_{depth}) \frac{LA_{max}}{SLA}$$
(1)

201 
$$max_{2} = ALM_{1} \frac{\pi (dbh_{heartwood/2} + dbh_{sapwood/2})^{2} - \pi (dbg_{heartwood/2})^{2}}{SLA}$$
(2)

$$202 \qquad max_3 = \frac{mass_{available}}{RG_f} \tag{3}$$

(4)

203  $mass_{leaf} = min(max_1, max_2, max_3)$ 

where  $max_1$ ,  $max_2$ , and  $max_3$  are, respectively, maximum leaf biomass for a given crown surface area, cross-sectional area of sapwood, and non-structural carbon; *SLA* is a constant of PFTs leaf area  $(m^2 g^{-1})$ ;  $LA_{max}$  is the plant functional type specific maximum leaf area per unit crown surface area excluding the bottom soffit  $(m^2 m^{-2})$ ;  $ALM_1$  represents the area of transport tissue per unit biomass, and is a constant (dimensionless). If the mass<sub>leaf</sub> is less than the minimum  $(max_1, max_2, max_3)$ , the mass of non-structural carbon is allocated into leaf biomass to supplement the deficit.

210 When the leaf area index of grass equals the optimal leaf area index, it stops to allocate non-structural

211 carbon to grass leaf, which is calculated as:

212 
$$lai_{opt} = \frac{\ln par_{grass} - \ln\left\{\frac{p_{sat}}{lue}\left[\left(1 - \frac{cost/SLA}{0.09093 \times dlen \times p_{sat}}\right)^{-2} - 1\right]\right\}}{eK}$$
(5)

where  $lai_{opt}$  is the optimal leaf area index (m<sup>2</sup> m<sup>-2</sup>);  $par_{grass}$  is the grass photosynthetically active radiation (µmol photon m<sup>-2</sup> s<sup>-1</sup>);  $p_{sat}$  is the light-saturated photosynthetic rate (µCO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>); *lue* is the light-use efficiency of photosynthesis (mol CO<sub>2</sub> mol photon<sup>-1</sup>); *cost* is the cost of maintaining leaves per unit leaf mass per day (g DM g DM<sup>-1</sup> day<sup>-1</sup>); *dlen* is day length (hour); and *eK* is light attenuation coefficient at midday.

218 (4) When non-structural carbon is less than 10 g dry mass (DM)  $PFT^{-1}$  or annual NPP is less than 10 g 219 DM  $PFT^{-1}$  in the previous year, the following daily simulation processes (5-6) will be skipped.

(5) When total woody biomass is more than 10 kg DM, which defines the minimum tree size for
reproduction. This 10% NSC is used for every daily process of reproduction, including having flowers,
pollen, nectar, fruits, and seeds.

(6) During the simulation of trunk growth, the remaining non-structural carbon is allocated to sapwood
biomass. There is no direct allocation to heartwood, which is transformed slowly from sapwood biomass.
For grass PFTs biomass, the densities of all organs comprising the biomass never decline below 0.1 g
DM m<sup>-2</sup> even if the environment is deteriorated for grass survival. A more detailed description of SEIBDGVM is given by Sato et al. (2007).

- 229 To control plant phenology and the rate of photosynthesis as a function of the limitation in terrestrial
- 230 water, the physiological status of the limitation of terrestrial water is calculated as:

$$231 p_{sat} = PMAXce_{tmp}ce_{co_2}ce_{water} (6)$$

$$232 ce_{water} = \sqrt{stat_{water}} (7)$$

233 
$$stat_{water} = \frac{max(pool_{w(1)}/Depth_{(1)}, pool_{w(2)}/Depth_{(2)}) - W_{wilt}}{W_{fi} - W_{wilt}}$$
 (8)

234 where  $p_{sat}$  is the single-leaf photosynthetic rate of tree PFTs and grass PFTs (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>); *PMAX* is the potential maximum of photosynthetic rate ( $\mu$ mol mol<sup>-1</sup> CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>); *ce*<sub>tmp</sub> and *ce*<sub>co</sub>, are 235 236 the temperature and CO<sub>2</sub> concentration effect coefficient (dimensionless), separately; cewater is the 237 water effect coefficient (dimensionless); stat<sub>water</sub> is the physiological status of the terrestrial water 238 limitation, which ranges between 0.0–1.0, dimensionless;  $pool_{w(n)}$  is the water content in soil layer n, 239 mm;  $Depth_{(n)}$  is the depth of the soil layer n, mm;  $W_{wilt}$  is soil moisture at the wilting point, m m<sup>-1</sup>; 240 and  $W_{fi}$  is soil moisture at field capacity, m m<sup>-1</sup>. When the temperature of all soil layers is less than 0° 241 C,  $stat_{water}$  is equal to 0.

# 242 2.3.2 Carbon stock partitioning method

243 SEIB-DGVM allocates and stores the biomass carbon in four pools of woody PFT (foliage, trunk, root, 244 and stock) and three pools of grass PFT (foliage, root, and stock). To investigate the fractional variability 245 of carbon sequestration potential between the pools, we partitioned potential vegetation carbon stocks 246 based on the physiological function of the plant (Figure A1). The root-shoot ratio (R/S) has been used to 247 distinguish and investigate the ratio of below-ground biomass (root biomass) and above-ground biomass 248 (shoot biomass) (Zhang et al., 2016). In this study, we adjusted the method of calculating the R/S ratio 249 by distinguishing between the light-gathering vegetation biomass carbon stock (LVBC) and the water-250 gathering vegetation biomass carbon stock (WVBC). LVBC represents the biomass carbon invested by 251 plant is used to gather sunlight, including biomass carbon from woody foliage, woody trunk, and grass 252 foliage. WVBC represents biomass carbon used to gather water, including biomass carbon from woody 253 fine roots and grass fine roots, excluding the stock pool. Stock biomass is used for foliation after dormant 254 phase and after fires in PFTs, which is reserve resource in each individual tree. Fine root biomass is just 255 a tiny fraction to the total biomass, but is has a very high turnover rate and determines the capacity of 256 vegetation to absorb soil water. Thus,

$$257 \qquad \frac{GVBC}{WVBC} = \frac{Wmass_{leaf} + Wmass_{trunk} + Gmass_{leaf}}{Wmass_{root} + Gmass_{root}} \times 100\% \tag{9}$$

where *GVBC* is aboveground vegetation biomass carbon stock (kg C m<sup>-2</sup>); *WVBC* is belowground

vegetation biomass carbon stock (kg C m<sup>-2</sup>);  $Wmass_{leaf}$  is the leaf biomass carbon stock of wood (kg C m<sup>-2</sup>); and  $Wmass_{trunk}$  is the trunk biomass carbon stock of wood (kg C m<sup>-2</sup>), including both branch and structural roots. This biomass is simplistically attributed to aboveground organs and is used primarily to support the plant.  $Gmass_{leaf}$  is the leaf biomass carbon stock of grass (kg C m<sup>-2</sup>); whereas  $Wmass_{root}$  and  $Gmass_{root}$  are functional root (fine roots) biomass carbon stocks of wood and grass, separately (kg C m<sup>-2</sup>), which absorb water and nutrition from soil.

### 265 2.4 Experimental design

## 266 2.4.1 Setup of model runs

SEIB-DGVM simulations begin with seeds of selected PFTs planted in bare ground. The establishment of PFTs seeds are determined by the climatic conditions in each grid cell. We inputted the transient climate data from 1901 to 1915 to spin up the model in a repetitive loop. No obvious trend in climatic factors was observed during this period (Tei et al., 2017). A spin-up period of 1050 years was necessary to bring the terrestrial vegetation carbon cycle into a dynamic equilibrium. To reach quasi-equilibrium in the vegetation biomass, about 1000 years of simulation was required as a spin-up procedure.

### 273 **2.4.2 Factorial simulation scheme**

Factorial	$CO_2$	Durinitation	Temperature	Radiation	Other
simulation	concentration	Precipitation			drivers
S1	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
S2	$\checkmark$				
<b>S</b> 3	$\checkmark$	$\checkmark$			
S4	$\checkmark$		$\checkmark$		
S5	$\checkmark$			$\checkmark$	
<b>S</b> 6	$\checkmark$				$\checkmark$

Table 1. List of factorial simulations used in this study

Note: In factorial simulation S1, historical atmospheric  $CO_2$  concentration and historical climate fields from the CRU data set were used. In simulation S2, only historical atmospheric  $CO_2$  concentration was used, and climate variables of the transient period (1901–1915) were repeatedly input. In simulation S3 (or S4, S5), only historical atmospheric  $CO_2$  concentrations and precipitation (or temperature, radiation) were input, and climate variables of the transient period (1901–1915) were repeatedly input. In the last simulation S6, historical atmospheric  $CO_2$  concentrations and other climate variables were input, including wind velocity and relative humidity.

274 In order to further quantify the relative contributions of varying atmospheric CO<sub>2</sub> concentrations,

275 precipitation, temperature, radiation, and other factors, we performed six factorial simulations. Other

276 factors included wind velocity and relative humidity, which had remarkable effects on the change in 277 vegetation carbon stock at zonal scale. In simulation S1, atmospheric CO<sub>2</sub> concentration and all of 278 climate variables were varied. In simulation S2, only atmospheric CO<sub>2</sub> concentration was varied, and 279 climate variables were held constant (Climate variables of the transient period (1901-1915) were 280 repeatedly inputted). In simulation S3 (or S4, S5), atmospheric CO<sub>2</sub> and precipitation (or temperature, 281 radiation) were varied, and other climate variables were held constant. In simulation S6, atmospheric 282 CO<sub>2</sub>, wind velocity, and relative humidity were varied, and other climate variables were held constant. 283 Finally, S2 was used to evaluate the effects of CO<sub>2</sub> fertilization on carbon stock variation. The differences 284 of S2-S3, S2-S4, S2-S5, and S2-S6 were used to evaluate the response of carbon stock growth to 285 precipitation, temperature, radiation, and other drivers, respectively.

## 286 2.4.3 Non-parametric test methods

287 Each driving factor (atmosphere CO<sub>2</sub>, precipitation, temperature, and radiation) has a different influence 288 on the carbon stock, so it is difficult to make a simple pre-assumption about the population distribution 289 pattern for factorial simulations. We used the non-parametric Mann-Kendall and Sen's slope estimator 290 statistical tests (Gocic and Trajkovic, 2013) to assess the ability of SEIB-DGVM to simulate the response 291 patterns of carbon storage potential to a change in climate and  $CO_2$  concentrations. We regressed the 292 simulated hundred-year mean global average carbon stock time series to reveal the accumulative 293 influences of the single variables based on the factorial simulations where only one or two drivers were 294 varied. As shown in Figures A2, 3, detection trends of LVBC and WVBC for all driving factors 295 performed statistically well (in agreement at the 95% confidence intervals), indicating this analytical 296 method was suitable for trend attribution at the global scale.



Figure 1. Global spatial patterns of water availability. Spatial variations in water availability were categorized based on a 115-year average aridity index (AI), defined as the ratio of the multiyear mean precipitation to the potential evapotranspiration. Categories include: hyper-arid (AI  $\leq$  0.05), arid (0.05 < AI  $\leq$  0.2), semi-arid (0.2 < AI  $\leq$  0.5), sub-humid (0.5 < AI  $\leq$  0.65), and humid (AI > 0.65).

Locally available water strongly regulates and limits the response of carbon stocks to changes in climate and CO<sub>2</sub>. We used aridity index (AI) to distinguish between the global hydrological regions for comparing the long-term trend in carbon stocks over different hydrological environments, and for quantifying the influences of each hydrological environment on the variations in the trends. The AI was defined as:

$$303 \qquad AI = \frac{\bar{P}}{ET_p} \tag{10}$$

where  $\overline{P}$  is the multiyear mean precipitation (mm year<sup>-1</sup>); and  $\overline{ET_p}$  is the multiyear mean potential evapotranspiration (mm year<sup>-1</sup>), which was calculated by the Penman-Monteith model (Monteith and Unsworth, 1990). As in a previous study (Chen et al., 2019), five hydrological regions (Figure 1) were categorized based on a 115–year average AI (1901–2015): including a hyper-arid region (AI  $\leq$  0.05), arid region (0.05 < AI  $\leq$  0.2), semi-arid region (0.2 < AI  $\leq$  0.5), sub-humid region (0.5 < AI  $\leq$  0.65), and humid region (AI > 0.65).

# 310 **2.5 Observation dataset for model evaluation**

A global time series of potential vegetation carbon was modelled by the SEIB-DGVM between 1916-2015. In terrestrial vegetation biomes, there is a high correlation between biomass carbon stock density and NPP per unit (Erb et al., 2016; Kindermann et al., 2008) (Figure A1). Thus, we collected NPP observation dataset and used NPP as a proxy of the carbon stock to assess model accuracy. Ecosystem Model-Data Intercomparison (EMDI) builds upon the accomplishments of the original worldwide synthesis of NPP measurements and associated model driver data prepared by Global Primary Production Data Initiative. We obtained the monitoring station data from the EMDI working group, and then compared their data with modelled multiyear average NPP in the period of 1916-1999 (Figure 2).



Figure 2. Multiyear average NPP simulated by SEIB-DGVm and EMDI global site distribution.

Green rhombuses indicate the monitoring stations of the EMDI.

319 However, *in-situ* observations are sparse for global spatial-temporal validation. Therefore, we used the 320 MOD17A3 products to further verify the simulated potential NPP in the twenty first century. These data 321 were collected by the Moderate Resolution Imaging Spectroradiometer and are some of the most widely 322 used data to assess the accuracy of global model simulations (Gulbeyaz et al., 2018). The natural 323 vegetation zones refer to the hypothetical condition that would prevail in an assumed absence of 324 anthropogenic activity, but under historical climate fields (Erb et al., 2018; Haberl et al., 2014). The 325 potential NPP is defined as that assimilated carbon stored in natural vegetation without the disturbance 326 of anthropogenic activities (Erb et al., 2018).

In order to distinguish the distribution of vegetation zones without anthropogenic disturbance, we obtained global land cover types in the period 2001-2015 from MCD12C1 (Table A1). It was defined as vegetation grid that the land cover type of this grid is evergreen needleleaf forest, evergreen broadleaf forest, deciduous needleleaf forest, deciduous broadleaf forest, mixed forest, closed shrublands, open shrublands, woody savannas, savannas or grasslands. Grid covered by other 7 land types was defined as non-vegetation grid. Then, we calculated the proportion of each land cover types in corresponding 0.5° 333 grid unit. The land cover type of grid unit was determined by the max proportion among 17 land cover 334 types. Part of grids covered by grassland were grazed by livestock, leading to the decrease of NPP of 335 grass PFTs. We obtained land-use forcing data from Land-Use Harmonization (LUH2) to map the 336 distribution of managed pasture data from 2001 to 2015 (Hurtt et al., 2020). As shown in Figure A4, 337 grassland in eastern Asia, western Europe, south central Africa, and western South America were 338 severely affected by grazing. To exhibit the disturbance of managed pasture, we calculated the mean 339 fraction of managed pasture within the corresponding 0.5° grid unit. When the fraction of managed 340 pasture over 0.01, the grid covered by grassland was considered to be affected by managed pasture. We 341 filtered grassland affected by pasture to map the distribution of natural vegetation zones without 342 anthropogenic disturbance (Figure A5).

# 343 **3 Results and discussion**

# 344 **3.1 Evaluation of SEIB-DGVM**

Figure 3 illustrates the comparison between model simulated and observed multi-year mean NPP during 1916-1999. The determined coefficient ( $R^2$ ) between EMDI observed and estimated multiyear average NPP of 669 *in-situ* observations is 0.54, which is significant at the p=0.01 level. The slope of the regressed line is 0.70 during the twentieth century.



Figure 3. Comparison of multiyear average NPP calculated by SEIB-DGVM and EMDI for the twentieth century. The solid line is the best fit curve; and the dashed line represents a perfect correspondence in the results of the two.

Based on land cover types dataset from 2001 to 2015, we obtained NPP-MOD17A3 data in natural vegetation zones without anthropogenic disturbance at the same period. Figure 4 shows that the modelled NPP from the SEIB-DGVM exhibited a high degree of consistency with the NPP-MOD17A3 data in natural vegetation zones over the period ( $R^2$ =0.63, p<0.05). The general spatiotemporal agreement between the simulated NPP derived from SEIB-DGVM with *in-situ* observations and derived from satellites reveals that it is reasonable to use the SEIB-DGVM simulations to evaluate the same mechanisms controlling global potential biomass carbon stocks of vegetation.



Figure 4. Spatial patterns in the potential NPP correlation coefficients between SEIB-DGVM and MODIS between 2001–2015. These data were used to validate SEIB-DGVM.

- Finally, the modelled result of potential vegetation biomass carbon stock was compared with current
- 357 existing data from the literature and state-of-the-art datasets. Figure 5 shows that the modelled results are
- 358 within the range of potential carbon stocks, which indicate that the SEIB-DGVM reliably simulated the
- 359 carbon stock dynamics.



Figure 5. Estimates of the potential vegetation biomass carbon stock from the literature (blue plot), state-of-the-art datasets (red plot) and this study (black line). Datasets are from the following studies: (1)(Erb et al., 2018; Erb et al., 2007), (2)(Bazilevich et al., 1971), (3)(Saugier et al., 2001), (4)(Erb et al., 2018; Bartholome and Belward, 2005), (5)(Olson et al., 1983), (6)(Erb et al., 2018; Pan et al., 2011), (7)(Ajtay et al., 1979), (8)Erb et al., 2018; Ruesch and Gibbs, 2008), (9)(Kaplan et al., 2011), (10)(Shevliakova et al., 2009), (11)(Kaplan et al., 2011), (12)(Pan et al., 2013), (13)(Prentice et al., 2011), (14)(Erb et al., 2018; Erb et al., 2007), (15)(Erb et al., 2018; West et al., 2010), (16)(Hurtt et al., 2011).

### 360 **3.2 Enhanced carbon stocks and its fractions**

361 We distinguished the changes of LVBC and WVBC from total vegetation carbon stocks. The historical 362 temporal trends over the period are shown in Figure 6a. The potential vegetation carbon stock exhibits a 363 net increase of  $119.26 \pm 2.44$  Pg C in the last century ( $\pm 2.44$  represents intra-annual fluctuation in carbon 364 stock, which is the difference between maximum value and a minimum value of carbon stock within the 365 year). Based on Pearson correlation analysis, this increasing trend of annual average carbon stock 366 exhibits a robust agreement with the dramatic increase in atmospheric  $CO_2$  concentration ( $R^2=0.9677$ , 367 p<0.001), suggesting that the carbon stock is strongly affected by CO<sub>2</sub> fertilization. Meanwhile, the 368 positive correlation between the carbon stock and  $CO_2$  generally extends across LVBC (R<sup>2</sup>=0.9669) and 369 WVBC ( $R^2$ =0.9622). After the value of the global terrestrial carbon stock and trends were partitioned 370 among the vegetation functional classes, we see that LVBC increases  $116.18 \pm 2.34$  Pg C (or ~15.60%), 371 which explains 97.42% of total carbon stock increasing trend and dominates the positive global carbon



**Figure 6.** Global potential biomass carbon stocks of vegetation during the past 100 years. (a) The evolution of global potential biomass stocks (LVBC+WVBC), along with changes in biomass stocks that can be attributed to the variability and trend of LVBC and WVBC through the twentieth century. The red line represents the monthly value of LVBC, the blue line represents the monthly value of WVBC, and the black line represents the annual value of CO<sub>2</sub> concentration. (**b**, **c**) Zonal averaged sums of the annual LVBC and WVBC for latitudinal bands during the first decade (1916–1925, red line) and the last decade (2006–2015, blue line) shows the increased carbon stock capacity.

373 The global distributions of the decadal-average change in LVBC and WVBC are shown in Figures 6b 374 and 6c, respectively. The significant historical changes in climate and CO<sub>2</sub> enhance the carbon stock of 375 the terrestrial ecosystem, and their positive influences are broadly distributed across a latitudinal north-376 south gradient. The latitudinal bands of increasing annual LVBC are mainly distributed in the tropical 377 and boreal latitudes. The decadal and inter-annual variabilities of LVBC are dominated by the tropical 378 and semi-arid zones where large portions of the zones are highly productive (Ahlstrom et al., 2015; 379 Poulter et al., 2014). Tropical LVBC dominates the long-term trend of global LVBC in the last hundred 380 years. Compared with LVBC, the increase of tropical WVBC is light. There is a single peak in the spatial 381 variation of annual WVBC (Figure 6c). WVBC exhibits robust growth at most latitudes, and increases 382 mainly in boreal latitudes.

### 383 **3.3 Spatial variability in estimated LVBC and WVBC trends**

384 In Figures 7(a) and 7(b), total carbon stock and LVBC exhibit a significantly increasing trend in eastern 385 South America, southern Africa, and northern Asia, while declined in central North America, northwest 386 South America, and central Africa. WVBC showed a more widely increasing tendency in North America, 387 southeastern South America, and Europe, while had a decrease trend in part zones of Asian. We find that 388 the total carbon stock as well as the light- and water-gathering vegetation biomass carbon stocks over 389 the period of 1916–2015 exhibited a remarkable spatial heterogeneity. Figure 7a shows that an increase 390 in vegetation carbon stocks occurred over zones and global aggregate levels during the entire study period. 391 About 57.39% of the terrestrial grids exhibited an increase with a noticeable trend (p<0.05) in biomass 392 carbon stock; 53.82% of global grids possessed increases that were statistically significant at the p=0.01 393 level. To determine the contributions of each fraction (LVBC, WVBC) to the total change in the potential 394 vegetation carbon stock, we partitioned and present the historical spatial and temporal patterns for each 395 fraction separately (Figure 7b, 7c). LVBC contributes 97.33% to the incremental change of total carbon 396 stock (116.18  $\pm$  2.34 Pg C), with about 51.32% of the grids possessing a noticeable positive trend 397 (p=0.01). Generally, spatial patterns of LVBC and the total carbon stock are consistent (Figure 7a, 7b), which further supports the argument that LVBC dominates the trend in carbon stocks in most zones. 398 399 Although the proportion of the total change in carbon stocks is small (2.58% of total carbon stock 400 increase), about 61.00% of the land surface shows an increase in WVBC; of these terrestrial grids, 55.81% 401 was characterized by a significant p=0.01 increase.



Figure 7. Spatial patterns in the trends of potential vegetation carbon stocks and their fractions from 1916 to 2015. Difference induced by changes in climate and  $CO_2$  in terrestrial biomass carbon stock (a), LVBC (b), and WVBC (c) during the historic period 1916–2015. The blue bar indicates the significantly increasing trends and the red bar indicates the significantly decreasing trends in carbon stocks. (d) Trend in the LVBC/WVBC ratio from 1916 to 2015. The blue bar indicates significantly increasing trends in the ratio, and vice versa. The grey bar indicates the trend is statistically insignificant (P >0.05). The sub-graphs show the significant test results. A '+' symbol indicates a positive trend, and vice versa.

402 Under the influences of a changing climate and  $CO_2$  concentrations, there is a slight increase in the ratio of global LVBC/WVBC; the rate of increase is  $0.0171 \text{ yr}^{-1}$  in the last hundred years, which is significant 403 404 at the 0.01 level (Figure 7d). About 42.08% of the terrestrial grids exhibited an increase with a noticeable 405 trend (p<0.05) in the ratio of LVBC and WVBC; 37.95% of global grids possessed increases that were 406 statistically significant at the p=0.01 level. Meanwhile, 33.32% of the land surface shows a significant 407 decrease in LVBC/WVBC; of these terrestrial grids, 30.06% was characterized by a significant p=0.01 408 decrease. Zones with noticeable increases in the ratio of LVBC to WVBC are mainly located in southern 409 Africa, central South America, and northern Eurasia. Negative trends in LVBC/WVBC ratios are found 410 in northern America, southern Europe, and tropical Africa.

# 411 **3.4 Responses of LVBC and WVBC to environmental drivers**

412 The responses of LVBC and WVBC to changes in climate and  $CO_2$  are both positive at the global level 413 (Figure 8a, 8c), although zonally, they exhibit both negative and positive responses (Figure 8b, 8d). 414 Based on the results of factorial simulations and Mann-Kendall+Sen tests, CO<sub>2</sub> fertilization explains the 415 largest proportion of the change in the carbon stock; about 82.45% change in LVBC was positive (Figure 416 8a), whereas 89.28% of the change in WVBC was positive (Figure 8c). In factorial simulation S2, the long-term trend of LVBC was 15.521 g C m<sup>-2</sup> yr<sup>-1</sup> and that of WVBC was 0.435 g C m<sup>-2</sup> yr<sup>-1</sup> at the 417 418 period from 1916 to 2015 (Figure A2a and Figure A3a). The separately simulated LVBC and WVBC 419 increased by 80.98 Pg C and 2.66 Pg C with increasing atmospheric CO<sub>2</sub> concentrations (from 301.73 420 ppm in 1916 to 400.83 ppm in 2015). The other climatic drivers (precipitation, temperature, radiation, 421 humidity, and wind speed) remained at baseline values. While the increase or decrease in the carbon 422 stock may be attributed to more than one driving factor, within any specified grid, the one with the highest 423 contribution was the driver that consistently resulted in the highest increase or decrease in the carbon 424 stock for that grid. The spatial pattern illustrates that CO<sub>2</sub> dominates the variability in LVBC in 7.28% 425 of the zones, including 1.21% of the zones that exhibited a negative change and 6.07% that exhibited a 426 positive change (Figure 8b). CO<sub>2</sub> dominates the variability in WVBC in 27.60% of the zones, including 427 1.73% of the zones that exhibited a negative change and 25.87% of zones with a positive change (Figure 428 8d). Under the effect of CO<sub>2</sub> fertilization, grids with increased trend in WVBC mainly distribute in boreal 429 latitudes (Figure 6c). These trends are consistent with and previous studies (Tharammal et al., 2019; Zhu 430 et al., 2016; Keenan et al., 2017) in which positive trends occurred, especially for WVBC.



**Figure 8.** The proportion of change in the vegetation biomass carbon stocks attributed to driving factors. Ratios of the driving factors of CO<sub>2</sub> fertilization effects (CO<sub>2</sub>), climate change effects (CLI), precipitation (Pre), temperature (Tem), radiation (Rad) for LVBC (a) and WVBC (c) under the five scenarios using the Mann-Kendall and Sen's slope estimator statistical tests. Attribution of LVBC (b) and WVBC (d) dynamics to driving factors calculated as averages along 15° latitude bands. At local scales, the driving factors include CO<sub>2</sub>, Pre, Tem, Rad, and other climate factors (OF). A '+' symbol indicates a positive effect of the driving factor on carbon stock, and vice versa. The fraction of global area (%) that is predominantly influenced by the driving factors is shown at the top of the bar.

431 Climate change induced by the greenhouse effect explains part of the increase in carbon stocks, but unlike 432 CO<sub>2</sub> fertilization, climate has dramatic negative effects on some vegetated zones. Figure 8a illustrates 433 that temperature is the largest climatic contributor to the change in LVBC (13.83%, 2.572 g m<sup>-2</sup> yr<sup>-1</sup>), followed by precipitation (8.51%, 1.572 g  $m^{-2} yr^{-1}$ ) and radiation (-3.19%, -0.649 g  $m^{-2} yr^{-1}$ ). The spatial 434 435 distribution shows that temperature predominantly influences the change in LVBC (Figure 8b), 436 influencing over 27.56% of the global vegetated zones, followed by precipitation (21.88%) and radiation 437 (20.67%). Figure 8c shows there is a difference in the negative contribution of precipitation to the change 438 in WVBC at the global level (-2.76%, -0.013 g m<sup>-2</sup> yr<sup>-1</sup>). Temperature is the largest climatic contributor to the change in WVBC (15.36%, 0.075 g m<sup>-2</sup> yr<sup>-1</sup>), followed by radiation (-5.63%, -0.027 g m<sup>-2</sup> yr<sup>-1</sup>). 439 440 Modelled WVBC trends based on the factorial simulations have similar spatiotemporal patterns to LVBC 441 (Figures A2 and A3), the spatial patterns of light- and water-gathering carbon stocks show a significant 442 increasing trend in the most of boreal zones. In the Southern Hemisphere, the trends of WVBC are 443 extensively statistically insignificant in all factorial simulations, and only a small proportion of grids 444 show a significantly increasing trend. There is a significantly increasing trend in LVBC in south-central 445 Africa and northern South America. The effects of temperature on WVBC are stronger than LVBC, 446 because temperature has a stronger effect on the metabolism process of root growth, dominating the 447 turnover rate and the costs of maintenance respiration in root growth process (Gill and Jackson, 2000). 448 It should be noted that trends in the global carbon stock can be largely attributed to the influences of CO<sub>2</sub>, 449 precipitation, temperature, and radiation (Figure 8). Nonetheless, at the zonal scale, the contributions of 450 other factors should be considered, such as humidity and wind speed. The effects of these other factors 451 dominate trends in LVBC in over 16.05% of the zones that increased and 6.57% of the zones that 452 decreased. In the case of changes in WVBC, other factors were dominant drivers in over 14.75% of the 453 zones that increased and 3.57% of zones that decreased. Under the effect of climate, the variability of 454 LVBC and WVBC is positive in most zones, promoting the noticeable increase of carbon stocks in boreal 455 latitudes.



Figure 9. Relationships in the incremental change between AI and LVBC over the hydrological zones. Magnitude of change in LVBC in the historical scenario S1 (a),  $CO_2$  in scenario S2 (b),  $CO_2$  + precipitation in scenario S3 (c),  $CO_2$  + temperature in scenario S4 (d), and  $CO_2$  + radiation in scenario S5 (e). Range of the box is 25%-75% of values; range of the whiskers is 10%-90% of values; the small red square is average value; the red line is the median line; and the black line is the fitted curve. Positive value of the Y axis represents the magnitude of increased LVBC from 1916 to 2015 under water-limitations conditions, and vice verse.

457 Terrestrial water availability emerged as a key regulator of terrestrial carbon storage, by affecting the 458 response mechanism of the vegetation carbon stock to changes in driving factors. As shown in Figures 9 459 and 10, with an increase in the aridity index (i.e., an increase in available water), the magnitude and range 460 in variations of LVBC density and WVBC density gradually enhance. Based on the results of factorial 461 simulations, we find a positive relationship between LVBC and water pressure. In extreme water stress, 462 the increase of LVBC tends to zero and plants stop growing. There is no obvious different in the slopes

463 of fitting curves between factorial simulations. The pattern of the enhanced magnitude and range of 464 variation in the WVBC density is unimodal with water stress gradient in all factorial simulations. With 465 the increasing of AI, the magnitude of change in WVBC increases at first and then decreases finally. The 466 mitigation of water stress promotes WVBC increase, while excess surface water limits the response of 467 WVBC to changes in climate and CO<sub>2</sub>. These results reveal that the carbon stock increases stimulated by 468 changes in climate and CO<sub>2</sub> are constrained by water available. With increased warming, water 469 limitations are expected to increasingly limit the carbon stock increase, specially at arid regions. To 470 further reveal the controls of water limitation on the responses of inner carbon storages to each driver, 471 we analyse the long-term variability of potential vegetation carbon stocks by means of factorial 472 simulations for each hydrological region (Figure 1). It is revealed from Figure A6 that the increased LVBC density induced by drivers changed from  $0.878 \pm 0.131$  kg C m<sup>-2</sup> in the hyper-arid regions to 473 474  $5.459 \pm 0.610$  kg C m<sup>-2</sup> in the humid regions during the past hundred years. At global scale, the annual 475 mean value of LVBC simulated by each factorial simulation is close. In hyper-arid and arid regions, the 476 interannual change of LVBC in historical scenario matchs most closely with that of S3 scenario which 477 considers CO<sub>2</sub> and precipitation effects. Increased WVBC density induced by drivers changed from 0.011  $\pm$  0.001 kg C m<sup>-2</sup> in the hyper-arid regions to 0.044  $\pm$  0.005 kg C m<sup>-2</sup> in the humid regions during the 478 479 same period (Figure A7). The long-term trends of WVBC simulated by each scenario are consistent 480 across different hydrological regions. With a lessening of water stress (from hyper-arid to humid region), 481 the response of the carbon stock to changes in climate and CO<sub>2</sub> gradually became more noticeable. The 482 robust pattern in the zonal average density of the carbon stock shows that terrestrial water limitations 483 strongly limit the enhanced magnitude of the carbon stock.



Figure 10. Relationships in the incremental change in AI and WVBC over the hydrological regions. Modelled WVBC enhanced magnitude in the historical scenario S1 (a),  $CO_2$  in scenario S2 (b),  $CO_2$  + precipitation in scenario S3 (c),  $CO_2$  + temperature in scenario S4 (d), and  $CO_2$  + radiation in scenario S5 (e). Range of the box is 25%-75% of values; range of the whiskers is 10%-90% of values; the small red square is average value; the red line is the median line, and the black line is the fitted curve. Positive value of the Y axis represents the magnitude of increased WVBC from 1916 to 2015 under water-limitations conditions, and vice verse.

484 Water limitations not only directly reduced the magnitude of the response in the two fractions' carbon 485 stock (LVBC and WVBC) to changes in climate and CO<sub>2</sub>, but also indirectly confined the response 486 direction of each fractions' carbon stock by transforming vegetation structure and function. Figure 11 487 illustrates temporal variations in the carbon stock ratio within and between hydrological regions. From 488 hyper-arid region to humid region, the variation range of ratio between LVBC and WVBC significantly 489 increases. Plants store more assimilated carbon in shoots and leaves in humid regions. The long-term 490 effects of driver changes have a positive influence on this carbon allocate pattern. Under the synergistic 491 effect of drivers and water stress, vegetation carbon stock increases, and there is a larger proportion of biomass allocated to, and stored in, light-gathering vegetation organs. In drylands (AI  $\leq 0.5$ ) of all factorial simulations, light- and water-gathering biomass carbon stocks both increased but the rate of change in the LVBC/WVBC ratio gradually decreased. To mitigate water stress, plants allocate more assimilated carbon to root for gathering water. In humid zones (AI>0.65), the proportion of LVBC increases more than that of WVBC to obtain more resources like CO<sub>2</sub> and radiation energy, leading to an increase in the LVBC/WVBC ratio.



Figure 11. Temporal fluctuations in carbon stock dynamics in vegetation biomass in different factorial simulations. Black indicates historical factorial simulation from 1901-2015, green indicates the CO<sub>2</sub>-driven factorial simulation, blue indicates the precipitation-driven factorial simulation, red indicates the temperature-driving factorial simulation and yellow indicates radiation driven factorial simulation. Uncertainty bounds are provided as shaded areas reflect the intra-annual fluctuation ( $\pm$  1 s.d.) (a) Modelled trend of LVBC/WVBC ratio in Global area. (b-f) Modelled trend of the LVBC/WVBC ratio in different hydrological regions.

### 498 4 Discussions and conclusion

499 To understand the response of carbon storage potential and its inner biomass carbon stocks to

environmental change, we conducted a series of factorial simulations using SEIB-DGVM V3.02. More

501 importantly, we investigated the extent of the responses of carbon stocks to water limitations.

502

503 Over the past 100 years, there has been an ongoing increase in the carbon storage capacity of the 504 terrestrial ecosystem from 735 Pg C in 1916 to 855 Pg C in 2015, which has slowed the rate at which 505 atmospheric CO<sub>2</sub> has increased and may have mitigated global warming. These findings are consistent 506 with the conclusions of research conducted at the local scale. For example, based on carbon flux data, 507 Erb et al. (2008) suggested that the vegetation carbon stock in Austria increased from 1043 Mt C to 1249 Mt C (aboveground carbon stocks growth was 1.059 Mt C yr<sup>-1</sup> and belowground carbon stocks growth 508 was 0.2 Mt C yr<sup>-1</sup>) since industrialization. Le Noë et al. (2020) showed that increases in the carbon stocks 509 510 and carbon density were the predominant drivers in the forest terrestrial carbon sequestration capacity in 511 France from 1850 to 2015. Tong et al. (2020) also found a substantial increase of aboveground carbon 512 stocks in southern China (0.11 Pg C yr<sup>-1</sup>) during the period 2002–2017. However, these studies focused 513 on zonal trends in total vegetation carbon stocks and did not investigate the extent of the response in 514 vegetation carbon stocks partitioned between light- and water-gathering biomass. Our results show that 515 the increase in carbon stock in light-gathering vegetation organs was much larger than that in water-516 gathering vegetation organs, and light-gathering biomass carbon stock dominates the historical trend of 517 the terrestrial carbon stock. During the past decades, the global land surface has been greening because 518 of the flux and storage of more carbon into plant trunks and foliage (Zhu et al., 2016). Compared with 519 WVBC, LVBC increase  $116.18 \pm 2.34$  Pg C and dominates the long-term trends of vegetation carbon 520 stock. The latitudinal bands of increasing annual LVBC are mainly distributed in tropical latitudes, a 521 conclusion consistent with prior knowledge that tropical zones dominate carbon uptake and storage (Erb 522 et al., 2018; Schimel et al., 2015). Biomass carbon allocation between light- and water-gathering 523 vegetation organs reflect the changes in individual growth, community structure and ecosystem function, 524 which are important attributes in the investigation of carbon stocks and carbon cycling within the 525 terrestrial biosphere (Hovenden et al., 2014; Fang et al., 2010; Ma et al., 2021). During the past hundred 526 years, the ratio of LVBC/WVBC shown a slight upward trend. The rate of increase is 0.0171 yr<sup>-1</sup>, which 527 is significant at the 0.01 level. To better absorb  $CO_2$  and sunlight required for photosynthesis, vegetated 528 regions are gradually covered by vegetation with higher plant height and wider leaf area, thereby 529 adjusting their characteristic ecosystem functions (Anderson et al., 2010).

530

531 Based on our factorial simulations, the vegetation carbon stock exhibited the most increase under the 532 influence of  $CO_2$  fertilization. In addition, the responses of carbon stocks to climatic factors of change 533 differed, particularly at the zonal scale (Figure 8). Previous studies have pointed out that the variation of 534 the terrestrial carbon stock caused by releasing or sequestering carbon is sensitive to anomalous changes 535 in water availability and light use efficiency (Madani et al., 2020; Humphrey et al., 2018). At local scale, 536 radiation dominated the long-term trend of LVBC in 20.67% of global zones and that of WVBC in 537 13.74%, while precipitation dominated the long-term trend of LVBC in 21.88% of global zones and that 538 of WVBC in 17.09% of global zones. However, radiation induced light variation in LVBC (-3.19%) and 539 WVBC (-5.62%) at global scale. Precipitation explain 8.51% of LVBC trend and -2.76% of WVBC trend 540 at global scale. LVBC and WVBC variations driven by precipitation and radiation were ultimately offset 541 by spatially compensatory effects, which dampened the response of the carbon stock to these factors at 542 global scale (Jung et al. 2017). Trend in temperature drove historical long-term trends in the potential 543 carbon stocks, with faster increases and considerable variation occurring by zone. The accumulated 544 influence of climate warming induces dramatic changes in the carbon stock at a global scale. Thus, our 545 results revealed that temperature dominates the long-term trends in the carbon stock among climatic 546 drivers, while a compensatory effect exists in the global change in the carbon stock induced by 547 precipitation and radiation.

548

549 By partitioning the trends of LVBC and WVBC into five hydrological regions (Figure 1), we found that 550 the long-term change in carbon stocks is tightly coupled to terrestrial water availability. These results 551 indicate that vegetation in humid region is responsible for most of the trend in global LVBC, while plants 552 in semi-arid region play a dominate global role in controlling the long-term trend in WVBC. As water 553 stress decreases, the magnitude and range in variation of LVBC gradually increase (Figure 9), which 554 suggests that limited water availability constrains the response magnitude of the changes in LVBC to 555 changes in CO<sub>2</sub> and climate. The response pattern of WVBC growth to the increasing water availability 556 is different from that of LVBC. Drought mitigation promotes the growth of WVBC, while humid region 557 with high light competition limits root growth. The result is consistent with previous finding that plants

558 reduce allocation to roots in dense forests where aboveground competition for light is high (Ma et al. 559 2021). Moreover, we found that indirect effects of water limitation regulate increasing rate of each carbon 560 pool. Although vegetation carbon stocks dramatically increase under the effects of climate and CO<sub>2</sub> 561 changes, the increasing rate of LVBC faster than WVBC in humid region. Vegetation stores more 562 biomass in aboveground plant organs (trunk and foliage) to gather light. Dryland vegetation decrease the 563 LVBC/WVBC ratios and stores more biomass below ground to enhance the capture of water resources. 564 Based on these results, we demonstrated that water limitations controlled the variable response of 565 terrestrial vegetation carbon stocks. Our findings are consistent with other reports about the impact of 566 increasing water limitations on terrestrial ecosystem. Based on satellite remote sensing observations, 567 Madani et al. (2020) found that changes in water constraints can lead to variable responses in ecosystem 568 productivity and net carbon exchange. Humphrey et al. (2021) found that increasing water stress limits 569 the response magnitude of carbon uptake rates through a down-regulation of stomatal conductance and 570 suggested that land carbon uptake is driven by temperature and vapour pressure deficit effects that are 571 controlled by terrestrial water availability. Ma et al. (2021) found that plants increase investment into 572 building roots in arid region because the extent of water limitation there is exacerbated by global warming. 573 Terrestrial ecosystems utilize sensitive strategies to allocate and store biomass to adjust to local 574 hydrological conditions. A significant conclusion is that water constraints not only confine the responses 575 of vegetation carbon stocks to drivers of variability, but also constrain the proportion of biomass carbon 576 stocks in gather- and water-gathering fractions.

577

578 Distinguishing the response of carbon stock fractions estimated by SEIB-DGVM improves the 579 understanding of the interactive impacts of terrestrial carbon and water dynamics. However, uncertainty 580 still exists because of the limitations in the processes of modelling vegetation metabolism with SEIB-581 DGVM. Trunk biomass contains tree branches and structural roots (coarse roots and tap roots) (Sato et 582 al., 2007), so the R/S ratio of potential vegetation in factorial simulations is smaller than the R/S of actual 583 vegetation in observation stations. Root biomass only contains the fine root biomass, leading to an 584 underestimate in belowground organ biomass of trees and grasses compare with previous conclusion (Ma 585 et al., 2021; Yang et al., 2009). Availability of nitrogen is a key limiting factor for vegetation growth, 586 especially when higher CO<sub>2</sub> fertilization effects exist (Tharammal et al., 2019). The limitation could be alleviated by nitrogen deposition in most temperate and boreal ecosystems. The SEIB-DGVM experiments were conducted with a focus on documenting  $CO_2$  fertilization and climate change interactions; these experiments did not consider the influences of nitrogen deposition, which leads to a slight overestimate of the contributions of  $CO_2$  fertilization on biomass production.

591

592 In summary, we evaluated SEIB-DGVM V3.02 and used this model to offer new perspectives on the 593 response of vegetation carbon storage potential to changes in climate and CO<sub>2</sub>. Our simulation results 594 show that changes in CO<sub>2</sub>, rather than climate, dominate the light- to water-gathering partitioning of the 595 carbon storage potential. More importantly, we suggest that the impact of CO<sub>2</sub> fertilization and 596 temperature effects on vegetation carbon-sequestration potential depends on water availability and its 597 impacts on plant stress. With increased global warming, water limitations are expected to increasingly 598 confine global carbon sequestration and storage. Our findings highlight the need to account for terrestrial 599 water limitation effects when estimating the response of the terrestrial carbon storage capacity to global 600 climate change, and the need for stronger interactions between those involved in vegetation model 601 development and those in between the hydrological and ecological research communities.

# 602 Appendices

# 603

Table A1. MCD12C1 legend and class descriptions

Name	Value	Description		
Evergreen Needleleaf	1	Dominated by evergreen conifer trees (canopy >2m). Tree		
Forests		cover >60%.		
Evergreen Broadleaf	2	Dominated by evergreen broadleaf and palmate trees		
Forests		(canopy $>2m$ ). Tree cover $>60\%$ .		
Deciduous Needleleaf	3	Dominated by deciduous needleleaf (larch) trees		
Forests		(canopy $>2m$ ). Tree cover $>60\%$ .		
Deciduous Broadleaf	4	Dominated by deciduous broadleaf trees (canopy >2m). Tree		
Forests		cover >60%.		
Mixed Forests	5	Dominated by neither deciduous nor evergreen (40-60% of		
WIXed Porests		each) tree type (canopy $>2m$ ). Tree cover $>60\%$ .		
Closed Shrublands	6	Dominated by woody perennials (1-2m height) >60% cover.		
Open Shrublands	7	Dominated by woody perennials (1-2m height) 10-60% cover.		
Woody Savannas	8	Tree cover 30-60% (canopy >2m).		
Savannas	9	Tree cover 10-30% (canopy >2m).		
Grasslands	10	Dominated by herbaceous annuals (<2m).		
Permanent Wetlands	11	Permanently inundated lands with 30-60% water cover and >10% vegetated cover.		
Croplands	12	At least 60% of area is cultivated cropland.		
Urban and Built-up Lands	13	At least 30% impervious surface area including building materials, asphalt, and vehicles.		
Cropland/Natural Vegetation Mosaics	14	Mosaics of small-scale cultivation 40-60% with natural tree, shrub, or herbaceous vegetation.		
Permanent Snow and Ice	15	At least 60% of area is covered by snow and ice for at leas 10 months of the year.		
Barren	16	At least 60% of area is non-vegetated barren (sand, rock, soil) areas with less than 10% vegetation.		
Water Bodies	17	At least 60% of area is covered by permanent water bodies.		
Unclassified	255	Has not received a map label because of missing inputs		



Figure A1. Schematic of ecosystem carbon cycle. Yellow arrow indicates carbon flux. Atmospheric  $CO_2$  transitions into gross primary production (GPP) by photosynthesis. GPP is partitioned into respiration and net primary production (NPP). NPP is partitioned into three biomass carbon pools (foliage, trunk, and root).



**Figure A2. Potential LVBC trend maps during the period of 1916 to 2015 under different factorial simulations.** (a) CO<sub>2</sub> driving factorial simulation; (b) CO<sub>2</sub>+precipitation driving factorial simulation. (c) CO<sub>2</sub>+temperature driving factorial simulation; and (d) CO<sub>2</sub>+radiation driving factorial simulation. Positive values indicate increasing trends in the ratio, and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.



**Figure A3. Potential WVBC variation trend maps during the period of 1916 to 2015 under different factorial simulations.** (a) CO<sub>2</sub> driving factorial simulation; (b) CO<sub>2</sub>+precipitation driving factorial simulation. (c) CO<sub>2</sub>+temperature driving factorial simulation; and (d) CO<sub>2</sub>+radiation driving factorial simulation. Positive values indicate increasing trends in the ratio, and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.



Figure A4. Spatial distribution of multi-year average fraction of managed pasture from 2001-2015 at  $0.5 \times 0.5$  arc-degree resolution.



Figure A5. Map of land vegetation without anthropogenic disturbance from MCD12C1 and LUH2. END: Evergreen needleleaf forest, EBF: Evergreen broadleaf forest, DNF: Deciduous needleleaf forest, DBF: Deciduous broadleaf forest, MF: Mixed forest, CS: Closed shrublands, OS: Open shrublands, WS: Woody savannas, SA: Savannas, GL: Grasslands, NNG: No natural vegetation, which means the zone is not covered by vegetation without anthropogenic disturbance.



Figure A6. Trends in average density of potential LVBC. (a) Modelled trend of annual averaged LVBC globally. Modelled trends in annual averaged LVBC in hyper-arid zone (b), arid zone (c), semiarid zone (d), sub-humid zone (e), and humid zone (f).



Figure A7. Trends in average density of potential WVBC. (a) Modelled trend of annual averaged WVBC globally. Modelled trends in annual averaged WVBC in hyper-arid zone (b), arid zone (c), semi-arid zone (d), sub-humid zone (e), and humid zone (f).

# 611 Code and data availability statement

612 The code of SEIB-DGVM version 3.02 can be download from http://seib-dgvm.com/. Climatic Research 613 Unit data can be downloaded from https://crudata.uea.ac.uk/cru/data/hrg/. The soil physical parameters 614 can be downloaded from www.iges.org/gswp. The reconstructed CO2 concentration dataset and SEIB 615 code can be downloaded from http://seib-dgvm.com/. In model validation, Ecosystem Model-Data NPP 616 Intercomparison (multiyear collected average product) data were from 617 https://daac.ornl.gov/NPP/guides/NPP\_EMDI.html. Remote sensing product MOD17A3 data were 618 obtained from https://lpdaac.usgs.gov/products/mod17a3hgfv006/, MCD12C1 data were obtained from 619 https://ladsweb.modaps.eosdis.nasa.gov/search/order, LUH2 and data were obtained from 620 https://luh.umd.edu/.

# 621 Authors contributions

622 T.S. designed research. T.S., and S.H. performed research and developed the methodology. T.S. analyzed

data and produced the outputs. T.S., S.H., C.J., and X.C. wrote the first manuscript draft. W.W. and W.G.

624 supervised the study. All the authors discussed the methodology and commented on various versions of

625 the manuscript.

# 626 Competing interests

627 The authors declare that they have no conflict of interest.

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