1 Impact of changes in climate and CO₂ on the carbon

storage potential of vegetation under limited water availability using SEIB-DGVM version 3.02

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16 Abstract

17 Documenting year-to-year variations in carbon storage potential in terrestrial ecosystems is crucial for 18 the determination of carbon dioxide (CO_2) emissions. However, the magnitude, pattern and inner biomass 19 partitioning of carbon storage potential, and the effect of the changes in climate and CO₂ on inner carbon 20 stocks, remain poorly quantified. Herein, we use a spatially explicit individual based-dynamic global 21 vegetation model to investigate the influences of the changes in climate and CO₂ on the enhanced carbon 22 storage potential of vegetation. The modelling included a series of factorial simulations using the CRU 23 dataset from 1916 to 2015. The results show that CO₂ predominantly leads to a persistent and widespread 24 increase in light-gathering vegetation biomass carbon stocks (LVBC) and water-gathering vegetation 25 biomass carbon stocks (WVBC). Climate change appears to play a secondary role in carbon storage 26 potential. Importantly, with the intensification of water stress, the magnitude of the light- and water-27 gathering responses in vegetation carbon stocks gradually decreases. Plants adjust carbon allocation to 28 decrease the ratio between LVBC and WVBC for capturing more water. Changes in the pattern of 29 vegetation carbon storage was linked to zonal limitations in water, which directly weakens and indirectly 30 regulates the response of potential vegetation carbon stocks to a changing environment. Our findings 31 differ from previous modelling evaluations of vegetation that ignored inner carbon dynamics and

- 32 demonstrates that the long-term trend in increased vegetation biomass carbon stocks is driven by CO₂
- 33 fertilization and temperature effects that are controlled by water limitations.

34 **1 Introduction**

35 As a result of the changes in climate and atmospheric carbon dioxide (CO_2) , the terrestrial ecosystem 36 carbon cycle exhibits remarkable trends in interannual variations, which induce uncertainty in estimated 37 carbon budgets (Erb et al., 2018; Keenan et al., 2017). Recent studies assessing interannual fluctuations 38 in terrestrial carbon sinks have shown that the land carbon cycle is the most uncertain component of the 39 global carbon budget (Ahlstrom et al., 2015; Piao et al., 2020; Jung et al., 2017; Humphrey et al., 2018; 40 Gentine et al., 2019; Humphrey et al., 2021). These uncertainties result from an incomplete understanding 41 of vegetation biomass carbon production, allocation, storage, loss, and turnover time (Bloom et al., 2016). 42 The extent and distribution of vegetation carbon storage is central to our understanding of how to 43 maintain a balanced land carbon cycle. Changes in terrestrial vegetation carbon storage have a significant 44 effect on atmospheric CO₂ concentrations and determine whether biomes become a source or sink of 45 carbon (Erb et al., 2018; Humphrey et al., 2018; Terrer et al., 2021). Therefore, investigating the 46 processes producing changes in carbon storage is key to improving the accuracy of estimated terrestrial 47 carbon budgets, and to tap the greenhouse-gas moderation potentials of vegetation (Ipcc, 2007; Roy et 48 al., 2001).

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50 The atmospheric CO_2 concentration is affected by the vegetation carbon stock, while the long-term trend 51 of vegetation carbon storage capacity is also affected by the changes in climate and CO₂. Since the 52 beginning of industrialization, there has been a noticeable enhancement in the plant capacity of storing 53 and sequestering carbon, which is needed for stabilizing greenhouse gas concentrations and mitigating 54 global warming (Chen et al., 2019; Pan et al., 2011; Le Noë et al., 2020; Magerl et al., 2019; Bayer et al., 55 2015; Harper et al., 2018). Due to the interaction between terrestrial vegetation and a changing 56 environment, both photosynthesis and respiration of the vegetation also changed. To better absorb CO₂ 57 and sunlight required for photosynthesis, vegetated zones are gradually covered by vegetation with 58 higher plant height and wider leaf area (Erb et al., 2008). This change has coincided with a widespread 59 change in other vegetation features, including a positive increase in annual gross primary productivity

60 and a greening of the biosphere (Madani et al., 2020; Zhu et al., 2016). The spatiotemporal distribution 61 and environmental drivers in total carbon storage potential have been well documented on the basis of 62 model estimates and satellite-based assessments (Erb et al., 2007; Erb et al., 2018; Bazilevich et al., 1971; 63 Saugier et al., 2001; Bartholome and Belward, 2005; Olson et al., 1983; Pan et al., 2013; Ajtay et al., 64 1979; Ruesch and Gibbs, 2008; Kaplan et al., 2011; Shevliakova et al., 2009; Prentice et al., 2011; West 65 et al., 2010; Hurtt et al., 2011). In contrast, the variability of inner components of carbon storage potential 66 has not been extensively studied. Without an accurate assessment of the dynamics of each fraction, 67 attribution of carbon storage potential to environmental drivers is highly uncertain. Consequently, 68 partitioning potential vegetation carbon storage and revealing its inner processes are essential to 69 accurately comprehend the current state of carbon storage capacity and reveal the influence of various 70 drivers on the long-term trend of carbon storage potential.

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72 The change of carbon storages in vegetation inner components is not only affected by environmental 73 factors, but also controlled by allocation scheme of assimilated carbon. Fractional dynamics of the carbon 74 stock are widely used as a key indicator to investigate the responses of vegetation to environmental 75 drivers, which also reflect the response strategies of vegetation in environments with different water 76 limitations (Yang et al., 2010). In arid regions, vegetation utilizes a tolerance strategy to allocate biomass, 77 storing more biomass carbon in roots to resist enhanced water stress (Chen et al., 2013). Conforming to 78 the optimal partitioning hypothesis, plants store more carbon in shoots and leaves in environments where 79 water is more available and shift more carbon to roots when water is more limited (Yang et al., 2010; 80 Mcconnaughay and Coleman, 1999). Water availability controls both carbon allocation and storage and 81 can potentially transform zones characterized by a positive response to changes in climate and CO_2 to 82 zones exhibiting a negative response. For example, global warming positively stimulates plant 83 productivity (Keenan et al. 2017), while Madani et al. (2020) found that plants productively with water 84 stress show a negative response to temperature rise in tropical zones productively showed a negative 85 response to temperature in tropical zones due to increasing water stress. With increased warming, water 86 limitations are predicted to increasingly reduce the proportion of leaves' biomass, and decrease plant 87 photosynthesis (Ma et al., 2021). Water limitations have a strong regulating effect on the spatial pattern 88 of change in vegetation carbon storage, demonstrating the effects of the changes in climate and CO_2 on the dynamics of the plant organs are affected by the terrestrial water gradient. Thus, it is important to systematically investigate the distinct responses of carbon storage potential to changes in climate and CO_2 under differing conditions of water stress.

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93 As documented above, many studies have investigated the total changes in zonal and global terrestrial 94 storage of carbon, while few studies have examined trends in the components partitioning of vegetation 95 carbon storage. Large gaps in our knowledge of the effects of various drivers on the partitioning of carbon 96 stocks in vegetation biomass remain. Meanwhile, plants adjust carbon allocation scheme to adapt to 97 environmental change. With increased warming, an increase in the magnitude of water stress may 98 dramatically change or even reverse the impact of these drivers on inner components of carbon storage 99 (Ma et al., 2021). Evaluating the response pattern of carbon stocks to various drivers under conditions of 100 limited water is elemental for clearly documenting the response mechanism of vegetation carbon storage 101 potential.

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103 Here, we use a spatially explicit individual-based dynamic global vegetation model (SEIB-DGVM), 104 along with the components partitioning method to (1) systematically determine the long-term variability 105 of carbon storage potential and understand its response mechanisms, and (2) estimate trends in 106 partitioning of potential biomass carbon stocks of vegetation biomass. Throughout this study, the 107 potential biomass carbon stock, biomass carbon stored in vegetation without anthropogenic disturbance, 108 is recognized as an indicator of the potential of carbon storage by natural vegetation. Using a set of 109 factorial simulations to isolate responses to environmental change, we analyse the contributions of 110 multiple driving factors to the trends of two fractions of carbon stock at large scales individually. We 111 then conceptualize the role of water availability through an aridity index (AI), in which hydrological 112 zones are subdivided by their degree of aridity. By comparing the differences in the magnitude of 113 response between the fractions of light- and water-gathering carbon stocks for varying degrees of water 114 availability, we assess the effect of water limitations on the response pattern of potential carbon stocks 115 to changes in climate and CO₂.

116 **2** Model description, experimental design, observational data, and evaluation metrics

In this section, we provided a list of data source (Sect. 2.1), an overview of the modelling concept (Sect.
2.2), the representation of biomass carbon stock partitioning in the SEIB-DGVM (Sect. 2.3), an overview
of the experimental scheme used in the model simulations (Sect. 2.4), and an overview about data source
and pre-processing of observation dataset for model evaluation (Sect. 2.5).

121 2.1 Forcing Data

122 Long-term daily meteorological time-series data are required to run model simulations, including 123 precipitation, daily range of air temperature, mean daily air temperature, downward shortwave radiation 124 at midday, downward longwave radiation at midday, wind velocity, and relative humidity. These data 125 were obtained from the Climatic Research Unit (CRU) time series 4.00 gridded dataset (degree 0.5°) for 126 the period 1901–2015 (Harris et al., 2020). Because the CRU dataset is a monthly based dataset, the monthly meteorological data were converted into daily climatic variables by supplementing daily 127 128 climatic variability within each month using the National Centre for Environmental Prediction (NCEP) 129 daily climate dataset. The NCEP data, displayed using the T62 Gaussian grid with 192×94 points, was 130 interpolated into a 0.5° grid (which corresponds to the CRU dataset) using a linearly interpolation method. 131 By combining the CRU data, with the interpolated NCEP dataset, we were able to directly obtain the 132 most of driving meteorological data (details in Sato et al. (2020)). Neither the CRU nor NCEP datasets 133 included downward shortwave and longwave radiation at midday. Thus, daily cloudiness values in the 134 NCEP were used to calculate radiation values using empirical functions (Sato et al., 2007). These data 135 were all aggregated to a daily timescale with 0.5° resolution to run SEIB-DGVM.

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Atmospheric CO_2 concentrations were collected from Sato et al. (2020), which contains reconstructed CO₂ concentrations between 1901 and 2015. The statistical reconstruction of global atmospheric CO_2 was used in this analysis. These reconstructions were based on present annual CO_2 concentrations recorded from the Mauna Loa monitoring station. These data assume atmospheric CO_2 concentration was 284 ppm in 1750, and statistically interpolates atmospheric CO_2 concentrations to fill the gap from 1750 to 2015.

144 The physical parameters of the soil used in the model include soil moisture at the saturation point, field 145 capacity, matrix potential, wilting point and albedo. These data were obtained from the Global Soil 146 Wetness Project 2.

147 2.2 Overview of modelling concept in SEIB-DGVM

148 Model SEIB-DGVM version 3.02 (Sato et al., 2020) was employed in this study. This is a process-based 149 dynamic global vegetation model driven by meteorological and soil data. It is an explicit and 150 computationally efficient carbon cycle model designed to simulate transient effects of environmental 151 change on terrestrial ecosystems and land-atmosphere interactions. It describes three groups of processes: 152 land-based physical processes (e.g., hydrology, radiation, aridity), plant physiological processes (e.g., 153 photosynthesis, respiration, litter), and plant dynamic processes (e.g., establishment, growth, mortality). 154 Twelve plant functional types (PFTs) were classified. During the simulation, a sample plot was 155 established at each grid boxcell, and then the growth, competition, and mortality of each the individual 156 PFTs within each plot were modelled by considering the specify conditions for that individual as it relates 157 to other individuals that surround it (Sato et al., 2007).

158

159 SEIB-DGVM treats the relationships between soil, atmosphere, and terrestrial biomes in a consistent 160 manner, including the fluxes of energy, water, and carbon. Based on specified climatic conditions and 161 soil properties, SEIB-DGVM simulates the carbon cycle, energy balance, and hydrological processes. 162 SEIB-DGVM utilizes three computational time steps: (1) During the growth phase, the metabolic 163 procedures including photosynthesis, respiration, and carbon allocation are executed for each individual 164 tree every simulation day. (2) The monthly process of tree growth including reproduction, trunk growth, 165 and expansion of a cross-sectional area of the crown are executed. (3) On the last day of each year, the 166 height of the lowest branch increases as a result of purging crown disks, or self pruningself-pruning of 167 branches, at the bottom of the crown layer. The simulated unit of the model is a 30 m \times 30 m spatially 168 explicit 'virtual forest'. A grass layer was placed under the woody layer, and provides for a 169 comprehensive, spatially explicit quantification of terrestrial carbon sinks and sources. The soil depth 170 was set at 2 m and was divided into 20 layers, each with a thickness of 0.1 m. The photosynthetic rate of 171 a single-leaf was simulated following a Michaelis-type function (Ryan, 1991). Respiration was divided 172 into two types: growth respiration and maintenance respiration. Growth respiration is defined as a

173 construction cost for plant biosynthesis, which is quantified by the chemical composition of each organ 174 (Poorter, 1994). Maintenance respiration of live plants occurs every day regardless of the phenological 175 phase, and is controlled by the temperature and nitrate content of each organ (Ryan, 1991). For a wide 176 variety of plant organs, the maintenance respiration rate is linearly related to the nitrogen content of 177 living tissue. The relative proportions of nitrogen in each organ for any PFT are linearly correlated. N-178 deposition isn't included in SEIB-DGVM. Atmospheric CO₂ was envisioned to be absorbed by 179 photosynthesis of woody PFTs and grass PFTs. This assimilated carbon flux was then allocated into all 180 the plant organs (leaf, trunk, root, and stock), where maintenance respiration and growth respiration occur. 181 The hydrology module treats precipitation, canopy interception, transpiration, evaporation, meltwater, 182 and penetration.

183 **2.3 Carbon stock of vegetation biomass partitioning**

184 **2.3.1 Parameterization of daily allocation**

185 Flexible allocation schemes about resources and biomass are set up in the framework of the SEIB-DGVM 186 biogeochemical model. Based on the updated observation data, the allocation schemes of Boreal Needle-187 leaved summer-green trees and Tropical Broad-leaved evergreen trees are improved at SEIB-DGVM 188 V3.02. Allocation schemes of other PFTs are the same as the original version. Atmospheric CO_2 is 189 assimilated by the photosynthesis of both woody and grass foliage, and then is added into the non-190 structural carbon of the plant. This non-structural carbon of photosynthetic production is allocated to all 191 the plant organs (foliage, trunk, root, and stock), supplying what is needed for the maintenance and 192 growth of each organ. When the non-structural carbon is greater than 0 during the growth phase, the 193 following dynamic carbon allocation is executed for each individual plant at the daily time scale, such 194 that:

(1) When the fine root biomass (mass_{root}) of wood or grass does not satisfy minimum requirements for fulfilling functional balance (mass_{leaf}/FR_{ratio}), the mass of non-structural carbon is allocated to the root biomass to supplement the deficit. Here, mass_{leaf} is the leaf biomass, and FR_{ratio} is the ratio of mass_{leaf} to mass_{root} satisfying the functional balance.

(2) The stock biomass is supplemented until it is equal to leaf biomass. This scheme is active after thefirst thirty days of the growing phase.

(3) Woody leaf biomass is constrained by three limitations of the maximum leaf biomass, which arecalculated as follows:

203
$$max_{1} = (crown_{area} + \pi crown_{diameter} crown_{depth}) \frac{LA_{max}}{SLA}$$
(1)

204
$$max_{2} = ALM_{1} \frac{\pi (dbh_{heartwood/2} + dbh_{sapwood/2})^{2} - \pi (dbg_{heartwood/2})^{2}}{SLA}$$
(2)

$$205 \qquad max_3 = \frac{mass_{available}}{RG_f} \tag{3}$$

$$206 \quad mass_{leaf} = \min(max_1, max_2, max_3) \tag{4}$$

where max_1 , max_2 , and max_3 are, respectively, maximum leaf biomass for a given crown surface area, cross-sectional area of sapwood, and non-structural carbon; *SLA* is a constant of PFTs leaf area $(m^2 g^{-1})$; LA_{max} is the plant functional type specific maximum leaf area per unit crown surface area excluding the bottom layer $(m^2 m^{-2})$; ALM_1 represents the area of transport tissue per unit biomass, and is a constant (dimensionless). If the mass_{leaf} is less than the minimum (max_1, max_2, max_3) , the mass of non-structural carbon is allocated into leaf biomass to supplement the deficit.

213 When the leaf area index of grass equals the optimal leaf area index, it stops to allocate non-structural 214 carbon to grass leaf, which is calculated as:

215
$$lai_{opt} = \frac{\ln par_{grass} - \ln\left\{\frac{p_{sat}}{lue}\left[\left(1 - \frac{cost/SLA}{0.09093 \times dlen \times p_{sat}}\right)^{-2} - 1\right]\right\}}{eK}$$
(5)

where lai_{opt} is the optimal leaf area index (m² m⁻²); par_{grass} is the grass photosynthetically active radiation (µmol photon m⁻² s⁻¹); p_{sat} is the light-saturated photosynthetic rate (µCO₂ m⁻² s⁻¹); *lue* is the light-use efficiency of photosynthesis (mol CO₂ mol photon⁻¹); *cost* is the cost of maintaining leaves per unit leaf mass per day (g DM g DM⁻¹ day⁻¹); *dlen* is day length (hour); and *eK* is light attenuation coefficient at midday.

(4) When non-structural carbon is less than 10 g dry mass (DM) PFT⁻¹ or annual NPP is less than 10 g
DM PFT⁻¹ in the previous year, the following daily simulation processes (5-6) will be skipped.

(5) When total woody biomass is more than 10 kg DM, which defines the minimum tree size for
reproduction, 10% <u>of</u> non-structural carbon is used for every daily process of reproduction, including
having flowers, pollen, nectar, fruits, and seeds. These organs are not explicitly modelled in SEIBDGVM.

(6) During the simulation of trunk growth, the remaining non-structural carbon is allocated to sapwood
biomass. There is no direct allocation to heartwood, which is transformed slowly from sapwood biomass.

229 For grass PFTs biomass, the densities of all organs comprising the biomass never decline below 0.1 g DM m⁻² even if the environment is deteriorated for grass survival. A more detailed description of SEIB-230 231

232

233 To control plant phenology and the rate of photosynthesis as a function of the limitation in terrestrial 234 water, the physiological status of the limitation of terrestrial water is calculated as:

$$235 \qquad p_{sat} = PMAXce_{tmp}ce_{co_2}ce_{water} \tag{6}$$

$$236 \qquad ce_{water} = \sqrt{stat_{water}} \tag{7}$$

237
$$stat_{water} = \frac{max(pool_{w(1)}/Depth_{(1)}, pool_{w(2)}/Depth_{(2)}) - W_{wilt}}{W_{fi} - W_{wilt}}$$
 (8)

where p_{sat} is the single-leaf photosynthetic rate of tree PFTs and grass PFTs (µmol CO₂ m⁻² s⁻¹); 238 *PMAX* is the potential maximum of photosynthetic rate (μ mol mol⁻¹ CO₂ m⁻² s⁻¹); ce_{tmp} and ce_{co_2} are 239 240 the temperature and CO_2 concentration effect coefficient (dimensionless), separately; ce_{water} is the water effect coefficient (dimensionless); $stat_{water}$ is the physiological status of the terrestrial water 241 242 limitation, which ranges between 0.0–1.0, dimensionless; $pool_{w(n)}$ is the water content in soil layer n, 243 mm; $Depth_{(n)}$ is the depth of the soil layer n, mm; W_{wilt} is soil moisture at the wilting point, m m⁻¹; 244 and W_{fi} is soil moisture at field capacity, m m⁻¹. When the temperature of all soil layers is less than 0° 245 C, stat_{water} is equal to 0.

246 2.3.2 Carbon stock partitioning method

DGVM is given by Sato et al. (2007).

247 SEIB-DGVM allocates and stores the biomass carbon in four pools of woody PFT (foliage, trunk, root, 248 and stock) and three pools of grass PFT (foliage, root, and stock). To investigate the fractional variability 249 of carbon sequestration potential between the pools, we partitioned potential vegetation carbon stocks based on the physiological function of the plant (Figure A1). The root-shoot ratio (R/S) has been used to 250 251 distinguish and investigate the ratio of below-ground biomass (root biomass) and above-ground biomass 252 (shoot biomass) (Zhang et al., 2016). In this study, we adjusted the method of calculating the R/S ratio 253 by distinguishing between the light-gathering vegetation biomass carbon stock (LVBC) and the water-254 gathering vegetation biomass carbon stock (WVBC). LVBC represents the biomass carbon invested by 255 plant is used to gather sunlight, including biomass carbon from woody foliage, woody trunk, and grass 256 foliage. WVBC represents biomass carbon used to gather water, including biomass carbon from woody

fine roots and grass fine roots, excluding the stock pool. Stock biomass is used for foliation after dormant phase and after fires, which is reserve resource in each individual tree. Fine root biomass is just a tiny fraction to the total biomass, but is has a very high turnover rate and determines the capacity of vegetation to absorb soil water. Thus,

$$261 \qquad \frac{LVBC}{WVBC} = \frac{Tmass_{leaf} + Tmass_{trunk} + Gmass_{leaf}}{Tmass_{root} + Gmass_{root}} \times 100\%$$
(9)

where *LVBC* is light-gathering vegetation biomass carbon stock (kg C m⁻²); *WVBC* is water-gathering vegetation biomass carbon stock (kg C m⁻²); *Tmass*_{leaf} is the leaf biomass carbon stock of woody vegetation (kg C m⁻²); and *Tmass*_{trunk} is the trunk biomass carbon stock of trees (kg C m⁻²), including both branch and structural roots. This biomass is simplistically attributed to light-gathering vegetation organs and is used primarily to support the plant. *Gmass*_{leaf} is the leaf biomass carbon stock of grass (kg C m⁻²); whereas *Tmass*_{root} and *Gmass*_{root} are functional root (fine roots) biomass carbon stocks of trees and grass, separately (kg C m⁻²), which absorb water and nutrition from soil.

269 2.4 Experimental design

270 2.4.1 Setup of model runs

SEIB-DGVM simulations begin with seeds of selected PFTs planted in bare ground. The establishment of PFTs seeds are determined by the climatic conditions in each grid cell. We inputted the transient climate data from 1901 to 1915 to spin up the model in a repetitive loop. No obvious trend in climatic factors was observed during this period (Tei et al., 2017). A spin-up period of 1050 years was necessary to bring the terrestrial vegetation carbon cycle into a dynamic equilibrium. To reach quasi-equilibrium in the vegetation biomass, about 1000 years of simulation was required as a spin-up procedure.

277 **2.4.2 Factorial simulation scheme**

Factorial simulation	CO ₂ concentration	Precipitation	Temperature	Radiation	Other drivers
S1	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark
S2	\checkmark				
S 3	\checkmark	\checkmark			
S 4	\checkmark		\checkmark		
S5	\checkmark			\checkmark	
S 6	\checkmark				\checkmark

Table 1. List of factorial simulations used in this study

Note: In factorial simulation S1, historical atmospheric CO_2 concentration and historical climate fields from the CRU data set were used. In simulation S2, only historical atmospheric CO_2 concentration was used, and climate variables of the transient period (1901–1915) were repeatedly input. In simulation S3 (or S4, S5), only historical atmospheric CO_2 concentrations and precipitation (or temperature, radiation) were input, and climate variables of the transient period (1901–1915) were repeatedly input. In the last simulation S6, only historical atmospheric CO_2 concentrations and other climate variables were input, including wind velocity and relative humidity.

278 In order to further quantify the relative contributions of varying atmospheric CO_2 concentrations, 279 precipitation, temperature, radiation, and other factors (wind velocity and relative humidity), we 280 performed six factorial simulations. In simulation S1, atmospheric CO₂ concentration and all of climate 281 variables were varied. In simulation S2, only atmospheric CO₂ concentration was varied, and climate 282 variables were held constant (Climate variables of the transient period (1901-1915) were repeatedly 283 inputted). In simulation S3 (or S4, S5), atmospheric CO_2 and precipitation (or temperature, radiation) 284 were varied, and other climate variables were held constant. In simulation S6, atmospheric CO₂, wind 285 velocity, and relative humidity were varied, and other climate variables were held constant. Finally, S2 286 was used to evaluate the effects of CO₂ fertilization on carbon stock variation. The differences of S2-S3, 287 S2-S4, S2-S5, and S2-S6 were used to evaluate the response of carbon stock growth to precipitation, 288 temperature, radiation, and other drivers, respectively.

289 2.4.3 Non-parametric test methods

290 Each driving factor (atmosphere CO₂, precipitation, temperature, and radiation) has a different influence 291 on the carbon stock, so it is difficult to make a simple pre-assumption about the population distribution 292 pattern for factorial simulations. We used the non-parametric Mann-Kendall and Sen's slope estimator 293 statistical tests (Gocic and Trajkovic, 2013) to assess the ability of SEIB-DGVM to simulate the response 294 patterns of carbon storage potential to a change in climate and CO₂ concentrations. We regressed the 295 simulated hundred-year mean global average carbon stock time series to reveal the accumulative 296 influences of the single variables based on the factorial simulations where only one or two drivers were 297 varied. As shown in Figures A2 and A3, detection trends of LVBC and WVBC for all driving factors 298 performed statistically well (in agreement at the 95% confidence intervals), indicating this analytical 299 method was suitable for trend attribution at the global scale.

300 2.4.4 Distinguishing hydrological regions



Figure 1. Global spatial patterns of water availability. Spatial variations in water availability were categorized based on the multiyear average aridity index (AI), defined as the ratio of the multiyear mean precipitation to the potential evapotranspiration. Categories include: hyper-arid (AI \leq 0.05), arid (0.05 < AI \leq 0.2), semi-arid (0.2 < AI \leq 0.5), sub-humid (0.5 < AI \leq 0.65), and humid (AI > 0.65). The white grid cell was not assigned hydrological category.

Locally available water strongly regulates and limits the response of carbon stocks to changes in climate and CO₂. We used aridity index (AI) to distinguish between the global hydrological regions for comparing the long-term trend in carbon stocks over different hydrological environments, and for quantifying the influences of each hydrological environment on the variations in the trends. The AI was defined as:

$$306 \qquad AI = \frac{\bar{P}}{ET_p} \tag{10}$$

where \overline{P} is the multiyear mean precipitation (mm year⁻¹); and $\overline{ET_p}$ is the multiyear mean potential 307 308 evapotranspiration (mm year⁻¹), which was calculated by the Penman-Monteith model (Monteith and 309 Unsworth, 1990). As in a previous study (Chen et al., 2019), five hydrological regions were categorized 310 based on a AI value. Under the influences of climate change, the hydrological condition was changed in 311 some grid cells (Figure A4). For example, the grid cell classified as sub-humid zone in the period of 312 1916-1945 was redefined as semi-arid zone in the period of 1986-2015. In this study, gird cells with 313 consistent hydrological condition between the period of 1916-1945 and the period of 1986-2015 were 314 selected and classified (Figure 1).

315 **2.5 Observation dataset for model evaluation**

316 A global time series of potential vegetation carbon was modelled by the SEIB-DGVM between 1916-317 2015. In terrestrial vegetation biomes, there is a high correlation between biomass carbon stock density 318 and NPP per unit (Erb et al., 2016; Kindermann et al., 2008) (Figure A1). Thus, we collected NPP 319 observation dataset and used NPP as a proxy of the carbon stock to assess model accuracy. Ecosystem 320 Model-Data Intercomparison (EMDI) builds upon the accomplishments of the original worldwide 321 synthesis of NPP measurements and associated model driver data prepared by Global Primary Production 322 Data Initiative. We obtained the monitoring station data from the EMDI working group, and then 323 compared their data with modelled multiyear average NPP in the period of 1916-1999 (Figure 2).



Figure 2. Multiyear average NPP simulated by SEIB-DGVM and EMDI global site distribution. Green rhombuses indicate the monitoring stations of the EMDI.

324 However, *in-situ* observations are sparse for global spatial-temporal validation. Therefore, we used the 325 MOD17A3 products to further verify the simulated potential NPP in the twenty first century. These data 326 were collected by the Moderate Resolution Imaging Spectroradiometer and are some of the most widely 327 used data to assess the accuracy of global model simulations (Gulbeyaz et al., 2018). The natural vegetation zones refer to the hypothetical condition that would prevail in an assumed absence of 328 329 anthropogenic activity, but under historical climate fields (Erb et al., 2018; Haberl et al., 2014). The 330 potential NPP is defined as that assimilated carbon stored in natural vegetation without the disturbance 331 of anthropogenic activities (Erb et al., 2018).

332

333 In order to distinguish the distribution of vegetation zones-gird cells without anthropogenic disturbance,

334 we obtained global land cover types in the period 2001-2015 from MCD12C1 (Table A1). We <u>included</u> 335 grid cells whose largest vegetation component defined vegetation grid cells as those whose largest 336 component-was evergreen needleleaf forest, evergreen broadleaf forest, deciduous needleleaf forest, 337 deciduous broadleaf forest, mixed forest, closed shrublands, open shrublands, woody savannas, savannas 338 or grasslands. Other grid cells were excluded from our analysis.

339

340 Part of grid cells covered by grassland were grazed by livestock, leading to the decrease of NPP of grass 341 PFTs. There is a weak anthropogenic disturbance in rangeland, while managed pasture is intensely grazed 342 by livestock. To remove pasture area with strong anthropogenic disturbance, We-we obtained land-use 343 forcing data from Land-Use Harmonization (LUH2) to map the distribution of managed pasture data 344 from 2001 to 2015 (Hurtt et al., 2020). As shown in Figure A5, grassland in eastern Asia, western Europe, 345 south central Africa, and western South America were severely affected by grazing. For exhibit the 346 disturbance of managed pasture, we calculated the mean fraction of managed pasture within the 347 corresponding 0.5° grid unit. When the fraction of managed pasture over 10%, the grid<u>cell</u> was 348 considered to be affected by managed pasture. To reduce the interference effects of livestock grazing, 349 we first removed the grid cells- affected by managed pasture. Then, we map the distribution of natural 350 vegetation zones grid cells without anthropogenic disturbance (Figure A6). We declare that tThis 351 exclusion method is only used for potential NPP comparison.

352 **3 Results and discussion**

353 **3.1 Evaluation of SEIB-DGVM**

Figure 3 illustrates the comparison between model simulated and observed multi-year mean NPP during 1916-1999. The determined coefficient (R^2) between EMDI observed and estimated multiyear average NPP of 669 *in-situ* observations is 0.54, which is significant at the p=0.01 level. The slope of the regressed line is 0.70 during the twentieth century.



Figure 3. Comparison of multiyear average NPP calculated by SEIB-DGVM and EMDI for the twentieth century. The solid line is the best fit curve; and the dashed line represents a perfect correspondence in the results of the two.

Based on land cover types dataset from 2001 to 2015, we obtained NPP-MOD17A3 data in natural vegetation zones without anthropogenic disturbance at the same period. Figure 4 shows that the modelled NPP from the SEIB-DGVM exhibited a high degree of consistency with the NPP-MOD17A3 data in natural vegetation zones over the period (R^2 =0.63, p<0.05). The general spatiotemporal agreement between the simulated NPP derived from SEIB-DGVM with *in-situ* observations and derived from satellites reveals that it is reasonable to use the SEIB-DGVM simulations to evaluate the same mechanisms controlling global potential biomass carbon stocks of vegetation.



Figure 4. Spatial patterns in the potential NPP correlation coefficients (P<0.05) between SEIB-DGVM and MODIS between 2001–2015. These data were used to validate SEIB-DGVM.

365 Finally, the modelled result of potential vegetation biomass carbon stock was compared with current

existing data from the literature and state-of-the-art datasets. Figure 5 shows that the modelled results are
 within the range of potential carbon stocks, which indicate that the SEIB-DGVM reliably simulated the
 carbon stock dynamics.



Figure 5. Estimates of the potential vegetation biomass carbon stock from the literature (blue plot), state-of-the-art datasets (red plot) and this study (black line). Datasets are from the following studies: (1)(Erb et al., 2018; Erb et al., 2007), (2)(Bazilevich et al., 1971), (3)(Saugier et al., 2001), (4)(Erb et al., 2018; Bartholome and Belward, 2005), (5)(Olson et al., 1983), (6)(Erb et al., 2018; Pan et al., 2011), (7)(Ajtay et al., 1979), (8)Erb et al., 2018; Ruesch and Gibbs, 2008), (9)(Kaplan et al., 2011), (10)(Shevliakova et al., 2009), (11)(Kaplan et al., 2011), (12)(Pan et al., 2013), (13)(Prentice et al., 2011), (14)(Erb et al., 2018; Erb et al., 2007), (15)(Erb et al., 2018; West et al., 2010), (16)(Hurtt et al., 2011).

369 **3.2 Enhanced carbon stocks and its fractions**

We distinguished the changes of LVBC and WVBC from total vegetation carbon stocks. The historical temporal trends over the period are <u>showed_shown</u> in Figure 6a. The potential vegetation carbon stock exhibits a net increase of 119.26 ± 2.44 Pg C in the last century (± 2.44 represents intra-annual fluctuation in carbon stock, which is the difference between maximum value and minimum value of carbon stock within the year). Based on Pearson correlation analysis, this increasing trend of annual average carbon stock exhibits a robust agreement with the dramatic increase in atmospheric CO₂ concentration (R²=0.9677, p<0.001), suggesting that the carbon stock is strongly affected by CO₂ fertilization.

377 Meanwhile, the positive correlation between the carbon stock and CO₂ generally extends across LVBC 378 (R^2 =0.9669) and WVBC (R^2 =0.9622). After the value of the global terrestrial carbon stock and trends 379 were partitioned among the vegetation functional classes, we see that LVBC increases 116.18 ± 2.34 Pg 380 C (or ~15.60%), which explains 97.42% of total carbon stock increasing trend and dominates the positive 381 global carbon stock trend; WVBC also increases 3.08 ± 0.14 Pg C (or ~18.03%) over the past century.



Figure 6. Global potential biomass carbon stocks of vegetation during the past 100 years. (a) The evolution of global potential biomass stocks (LVBC+WVBC), along with changes in biomass stocks that can be attributed to the variability and trend of LVBC and WVBC through the twentieth century. The red line represents the monthly value of LVBC, the blue line represents the monthly value of WVBC, and the black line represents the annual value of CO₂ concentration. (b, c) Zonal averaged sums of the annual LVBC and WVBC for latitudinal bands during the first decade (1916–1925, red line) and the last decade (2006–2015, blue line) shows the increased carbon stock capacity.

The global distributions of the decadal-average change in LVBC and WVBC are shown in Figures 6b and 6c, respectively. The significant historical changes in climate and CO₂ enhance the carbon stock of the terrestrial ecosystem, and their positive influences are broadly distributed across a latitudinal north– south gradient. The latitudinal bands of increasing annual LVBC are mainly distributed in the tropical and boreal latitudes, which is consistent with Figure 7b. The decadal and inter-annual variabilities of LVBC are dominated by the tropical and <u>semi-aridboreal</u> zones where large portions of the zones are

- 388 highly productive (Ahlstrom et al., 2015; Poulter et al., 2014). Tropical LVBC dominates the long-term
- trend of global LVBC in the last hundred years. Compared with LVBC, the increase of tropical WVBC
- 390 is light. There is a single peak in the spatial variation of annual WVBC (Figure 6c and Figure 7c). WVBC
- 391 exhibits robust growth at most latitudes, and increases mainly in boreal latitudes.

392 **3.3 Spatial variability in estimated LVBC and WVBC trends**

393 In Figures 7(a) and 7(b), total carbon stock and LVBC exhibited a significantly increasing trend in eastern 394 South America, southern Africa, and northern Asia, while they declined in central North America, 395 northwest South America, and central Africa. WVBC showed a more widely increasing tendency in 396 North America, southeastern South America, and Europe, while had a decreasing trend in part zones of 397 Asian. We find that the total carbon stock as well as the light- and water-gathering vegetation biomass 398 carbon stocks over the period of 1916-2015 exhibited a remarkable spatial heterogeneity. Figure 7a 399 shows that an increase in vegetation carbon stocks occurred over zones and global aggregate levels during 400 the entire study period. About 57.39% of the terrestrial grid cells exhibited an increase with a noticeable 401 trend (p<0.05) in biomass carbon stock; 53.82% of global grid<u>cells</u> possessed increases that were 402 statistically significant at the p=0.01 level. To determine the contributions of each fraction (LVBC, 403 WVBC) to the total change in the potential vegetation carbon stock, we partitioned and present the 404 historical spatial and temporal patterns for each fraction separately (Figure 7b, 7c). LVBC contributes 405 97.33% to the incremental change of total carbon stock (116.18 ± 2.34 Pg C), with about 51.32% of the 406 grid cells possessing a noticeable positive trend (p=0.01). Generally, spatial patterns of LVBC and the 407 total carbon stock are consistent (Figure 7a, 7b), which further supports the argument that LVBC 408 dominates the trend in carbon stocks in most zones. Although the proportion of the total change in carbon 409 stocks is small (2.58% of total carbon stock increase), about 61.00% of the land surface shows an increase 410 in WVBC; of these terrestrial grid cells, 55.81% was characterized by a significant p=0.01 increase.



Figure 7. Spatial patterns in the trends of potential vegetation carbon stocks and their fractions from 1916 to 2015. Difference induced by changes in climate and CO_2 in terrestrial biomass carbon stock (a), LVBC (b), and WVBC (c) during the historic period 1916–2015. The blue bar indicates the significantly increasing trends and the red bar indicates the significantly decreasing trends in carbon stocks. (d) Trend in the LVBC/WVBC ratio from 1916 to 2015. The blue bar indicates significantly increasing trends in the ratio, and vice versa. The grey bar indicates the trend is statistically insignificant (P >0.05). The sub-graphs show the significant test results. A '+' symbol indicates a positive trend, and vice versa.

411 Under the influences of a changing climate and CO_2 concentrations, there is a slight increase in the ratio 412 of global LVBC/WVBC; the rate of increase is 0.0171 yr^{-1} in the last hundred years, which is significant 413 at the 0.01 level (Figure 7d). About 42.08% of the terrestrial grid cells exhibits an increase with a 414 noticeable trend (p<0.05) in the ratio of LVBC and WVBC; 37.95% of global grid_cells possessed 415 increases that are statistically significant at the p=0.01 level. Meanwhile, 33.32% of the land surface 416 shows a significant decrease in LVBC/WVBC; of these terrestrial grid cells, 30.06% is characterized by 417 a significant p=0.01 decrease. Zones-Gird cells with noticeable increases in the ratio of LVBC to WVBC 418 are mainly located in southern Africa, central South America, and northern Eurasia. Negative trends in LVBC/WVBC ratios are found in northern America, southern Europe, and tropical Africa. 419

420 **3.4 Responses of LVBC and WVBC to environmental drivers**

421 The responses of LVBC and WVBC to changes in climate and CO₂ are both positive at the global level

- 422 (Figure 8a, 8c), although zonally, they exhibit both negative and positive responses (Figure 8b, 8d).
- 423 Based on the results of factorial simulations and Mann-Kendall+Sen tests, CO₂ fertilization explains the







Figure 8. The proportion of changes in the vegetation biomass carbon stocks attributed to driving factors. Ratios of the driving factors of CO₂ fertilization effects (CO₂), climate change effects (CLI), precipitation (Pre), temperature (Tem), radiation (Rad) for LVBC (a) and WVBC (c) under the five scenarios usingare calculated by the Mann-Kendall and Sen's slope estimator statistical tests. Attribution of LVBC (b) and WVBC (d) dynamics to driving factors calculated as averages along 15° latitude bands. At the local scales, the driving factors include CO₂, Pre, Tem, Rad, and other climate factors (OF). The fraction of global area-grid cells (%) that is predominantly influenced by the driving factors is showed at the bottom of the bar. The '--' symbol before fraction indicates a negative effect of the driving factor on carbon stock, and vice versa.

441 Climate change induced by the greenhouse effect explains part of the increase in carbon stocks, but unlike 442 CO₂ fertilization, climate has dramatic negative effects on some vegetated zones. Figure 8a illustrates 443 that temperature is the largest climatic contributor to the change in LVBC (13.83%, 2.572 g m⁻² yr⁻¹), followed by precipitation (8.51%, 1.572 g m⁻² yr⁻¹) and radiation (-3.19%, -0.649 g m⁻² yr⁻¹). The spatial 444 445 distribution shows that temperature predominantly influences the change in LVBC (Figure 8b), 446 influencing over 27.56% of the global vegetated zonesgrid cells, followed by precipitation (21.88%) and 447 radiation (20.67%). Figure 8c shows there are negative effects and contributions of precipitation on the 448 change in WVBC at the global level (-2.76%, -0.013 g m⁻² yr⁻¹). Temperature is the largest climatic

449 contributor to the change in WVBC (15.36%, 0.075 g m⁻² yr⁻¹), followed by radiation (-5.63%, -0.027 g $m^{-2} yr^{-1}$). Modelled WVBC trends based on the factorial simulations have similar spatiotemporal patterns 450 451 to LVBC (Figures A2 and A3), and the spatial patterns of light- and water-gathering carbon stocks show 452 a significantly increasing trend in the most of boreal zones. In the Southern Hemisphere, the trends of 453 WVBC are extensively statistically insignificant in all factorial simulations, and only a small proportion 454 of grid<u>cells</u> show a significantly increasing trend. There is a significantly increasing trend in LVBC in 455 south-central Africa and northern South America. The effects of temperature on WVBC are stronger than 456 LVBC, because temperature has a stronger effect on the metabolism process of root growth, dominating 457 the turnover rate and the costs of maintenance respiration in root growth process (Gill and Jackson, 2000). 458 It should be noted that trends in the global carbon stock can be largely attributed to the influences of CO₂, 459 precipitation, temperature, and radiation (Figure 8). Nonetheless, at the zonal scale, the contributions of 460 other factors should be considered, such as humidity and wind speed. The effects of these other factors 461 dominate trends in LVBC in over 16.05% of the zones-grid cells that increased and 6.57% of the zones 462 grid cells that decreased. In the case of changes in WVBC, other factors were dominant drivers in over 463 14.75% of the zones-grid cells that increased and 3.57% of zones-grid cells that decreased. Under the 464 effect of climate, the variability of LVBC and WVBC is positive in most zonesgrid cells, promoting the 465 noticeable increase of carbon stocks in boreal latitudes.







Figure 9. Relationships in <u>of</u> the incremental change between AI and LVBC<u>over</u> the hydrological grid cells (Figure 1). Magnitude of change in LVBC in the historical scenario S1 (a), CO₂ in scenario S2 (b), CO₂ + precipitation in scenario S3 (c), CO₂ + temperature in scenario S4 (d), and CO₂ + radiation in scenario S5 (e). Range<u>The range</u> of the box is 25%-75% of values; the range of the whiskers is 10%-90% of values; the small red square is average value; the red line is the median line; and the black line is the fitted curve. Positive value of the Y axis represents the magnitude of increased LVBC from 1916 to 2015 under water-limitations conditions, and vice versa. AI of grid cells is calculated by multiyear average precipitation and multiyear average potential evapotranspiration in the period of 1916-2015. Categories of hydrological zones include: hyper-arid (AI ≤ 0.05), arid (0.05 < AI ≤ 0.2), semi-arid (0.2 < AI ≤ 0.5), sub-humid (0.5 < AI ≤ 0.65), and humid (AI > 0.65).

467 Terrestrial water availability emerged as a key regulator of terrestrial carbon storage, by affecting the 468 response mechanism of the vegetation carbon stock to changes in driving factors. As shown in Figures 9 469 and 10, with the accumulated change of LVBC and WVBC in the period of 1916 to 2015 across the 470 aridity index (i.e., an increase in available water), the magnitude and range in responses of LVBC density 471 and WVBC density gradually increase. Based on the results of the historical simulation (Figure 9), we 472 find a positive relationship between LVBC and aridity index. In extreme water stress, the increase of 473 LVBC tends to zero and plants stop increasing their carbon storage. There is no obvious difference in 474 the slopes of fitting curves between factorial simulations, which shows the robustness in the response of 475 LVBC to the change of water stress. The pattern of the enhanced magnitude and range of variation in the 476 WVBC density is unimodal with water stress gradient in all factorial simulations. With the increasing of 477 AI, the magnitude of change in WVBC increases at first and then decreases finally. The mitigation of 478 water stress promotes WVBC increase, while excess surface water limits the response of WVBC to 479 changes in climate and CO₂. These results reveal that the carbon stock increases stimulated by changes 480 in climate and CO₂ are constrained by water available. With increased warming, water limitations are 481 expected to increasingly limit the carbon stock increase, specially at arid regions. To further reveal the 482 controls of water limitation on the responses of inner carbon storages to each driver, we analyse the long-483 term variability of potential vegetation carbon stocks by means of factorial simulations for each 484 hydrological region (Figure 1). Figure A7b shows that the maximum change magnitude fluctuation range 485 (the difference between maximum value and minimum value in each factorial simulation) of LVBC 486 density across all factorial simulation is 1.202 kg C m⁻² in the hyper-arid regions for the 1916-2015 487 period. As shown in Figure A7f, the maximum change magnitudefluctuation range of LVBC density in humid regions is 6.068 kg C m⁻² during the same period.__In Figure A8b, the maximum change 488 489 magnitude of WVBC density across all factorial simulation is 0.011 kg C m⁻² in the hyper-arid regions 490 during the time of 1916-2015. In Figure A8f, the maximum change magnitude of WVBC density is 0.046 491 kg C m^{-2} in humid regions during the same period. Compared with plants lived in aridity regions, plants 492 in humid regions show more dramatic responses to the stimulation from drivers' change. With a lessening 493 of water stress (from hyper-arid to humid region), the response magnitudes of the carbon stock to the 494 changes of climate and CO₂ gradually become more noticeable. The robust pattern in the zonal average 495 density of the carbon stock shows that terrestrial water limitations strongly regulate the enhanced 496 magnitude of the carbon stock.



Figure 10. Relationships in-of the incremental change in AI and WVBC-over the hydrological grid cells (Figure 1). Magnitude of change in WVBC in the historical scenario S1 (a), CO₂ in scenario S2 (b), CO₂ + precipitation in scenario S3 (c), CO₂ + temperature in scenario S4 (d), and CO₂ + radiation in scenario S5 (e). The Rrange of the box is 25%-75% of values; the range of the whiskers is 10%-90% of values; the small red square is average value; the red line is the median line, and the black line is the fitted curve. Positive value of the Y axis represents the magnitude of increased WVBC from 1916 to 2015 under water-limitations conditions, and vice versa. AI of grid cells is calculated by multiyear average precipitation and multiyear average potential evapotranspiration in the period of 1916-2015. Categories of hydrological zones include: hyper-arid (AI ≤ 0.05), arid (0.05 < AI ≤ 0.2), semi-arid (0.2 < AI ≤ 0.5), sub-humid (0.5 < AI ≤ 0.65), and humid (AI > 0.65).

497 Water limitations not only directly reduced the magnitude of the response in the two fractions' carbon 498 stock (LVBC and WVBC) to changes in climate and CO₂, but also indirectly confined the response 499 direction of each fractions' carbon stock by transforming vegetation structure and function. Figure 11 500 illustrates temporal variations in the carbon stock ratio within and between hydrological regions. From 501 hyper-arid zones-regions to humid zones regions, the fluctuation range (the difference between maximum 502 value and minimum value in each factorial simulation) of LVBC/WVBC ratio significantly changes. The 503 fluctuation magnitudes of LVBC/WVBC in humid and hyper-arid zones regions are greater than that in 504 other hydrological zonesregions. Compared with plants in hyper-arid zonesregions, plants in humid 505 zones regions exhibit more significant responses to changes in climate and CO₂. Meanwhile, the long-506 term effects of driver changes have a remarkable influence on this carbon allocation pattern at global 507 level (Figure 7d). Under the synergistic effect of drivers and water stress, the trends of light- and water-508 gathering vegetation carbon stock are upward in the past hundred years (Figure 6). However, there is a 509 difference in the increasing rate between LVBC and WVBC, resulting in a dramatic and complicated 510 fluctuation in global LVBC/WVBC ratio (Figure 11a).-Whereas LVBC decreases and WVBC increases 511 in hyper-arid and arid regions (Fig. A7 and A8), causing a downward trend in LVBC:WVBC ratio, 512 semiarid regions see an increase in LVBC. The density of LVBC decreases and that of WVBC increases 513 in hyper arid and arid zones for all factorial simulations (Figures A7 and A8). So, the ratio of LVBC and 514 WVBC shows a downward trend in these zonesregions. LVBC in semi-arid regions shows upward tendency in the past years (Figure A7d) because of the aridity mitigation. There is an upward trend in 515

516 WVBC in semi-arid regions (Figure A8d). Plants in semi-arid regions still utilize a tolerance strategy 517 and allocates more non-structural carbon to water-gathering vegetation organ to resist water stress, 518 resulting in the decline of LVBC/WVBC ratio. In humid zonesregions, light- and water-gathering 519 biomass carbon stocks both increased in all factorial simulations (Figures A7 and A8). The proportion 520 of LVBC increases more than that of WVBC for capturing more resources like CO2 and radiation energy, 521 leading to an increase in the LVBC/WVBC ratio. The value of LVBC/WVBC in S3 is higher than that 522 in S4 and S5, which represents that precipitation makes more contributions to the change of 523 LVBC/WVBC ratio among meteorological factors.



Figure 11. Temporal fluctuations in carbon stock dynamics in vegetation biomass in different factorial simulations. Black indicates historical factorial simulation from 1901-2015, green indicates the CO₂-driven factorial simulation, blue indicates the precipitation-driven factorial simulation, red indicates the temperature-driving factorial simulation and yellow indicates radiation driven factorial simulation. Uncertainty bounds are provided as shaded areas reflect the intra-annual fluctuation (\pm 1 s.d.) (a) Modelled trend of LVBC/WVBC ratio in Global area. (b-f) Modelled trend of the LVBC/WVBC ratio in different hydrological regions (Figure 1).

524 4 Discussions and conclusion

525 To understand the response of carbon storage potential and its inner biomass carbon stocks to 526 environmental change, we conducted a series of factorial simulations using SEIB-DGVM V3.02. More 527 importantly, we investigated the extent of the responses of carbon stocks to water limitations.

528

529 Over the past 100 years, there has been an ongoing increase in the carbon storage capacity of the 530 terrestrial ecosystem from 735 Pg C in 1916 to 855 Pg C in 2015 (Figure 6), which has slowed the rate 531 at which atmospheric CO_2 has increased and may have mitigated global warming. These findings are 532 consistent with the conclusions of research conducted at the local scale. For example, based on carbon 533 flux data, Erb et al. (2008) suggested that the vegetation carbon stock in Austria increased from 1043 Mt 534 C to 1249 Mt C (aboveground carbon stocks growth was 1.059 Mt C yr⁻¹ and belowground carbon stocks growth was 0.2 Mt C yr⁻¹) since industrialization. Le Noë et al. (2020) showed that increases in the 535 536 carbon stocks and carbon density were the predominant drivers in the forest terrestrial carbon sequestration capacity in France from 1850 to 2015. Tong et al. (2020) also found a substantial increase 537 538 of aboveground carbon stocks in southern China (0.11 Pg C yr⁻¹) during the period 2002–2017. However, 539 these studies focused on zonal trends in total vegetation carbon stocks and did not investigate the extent 540 of the response in vegetation carbon stocks partitioned between light- and water-gathering biomass. Our 541 results show that the increase in carbon stock in light-gathering vegetation organs was much larger than 542 that in water-gathering vegetation organs, and light-gathering biomass carbon stock dominates the 543 historical trend of the terrestrial carbon stock. During the past decades, the global land surface has been 544 greening because of the flux and storage of more carbon into plant trunks and foliage (Zhu et al., 2016). 545 LVBC increases 116.18 ± 2.34 Pg C from 1916 to 2015, accounting for 97.42% of the total carbon stock 546 increase (119.26 \pm 2.44 Pg C). The long-term trends and spatial pattern of vegetation carbon stock are 547 predominated the variability characteristic of LVBC. The latitudinal bands of increasing annual change 548 in LVBC are mainly distributed in tropical latitudes, a conclusion consistent with prior knowledge that 549 tropical zones dominate carbon uptake and storage (Erb et al., 2018; Schimel et al., 2015). Under the 550 influences of environmental stressors, WVBC increases significantly in boreal latitudes. Biomass carbon 551 allocation between light- and water-gathering vegetation organs reflect the changes in individual growth, 552 community structure and ecosystem function, which are important attributes in the investigation of carbon stocks and carbon cycling within the terrestrial biosphere (Hovenden et al., 2014; Fang et al., 2010; Ma et al., 2021). During the past hundred years, the ratio of LVBC/WVBC showed a slight upward trend since LVBC increased <u>relatively</u> more_<u>dramatically</u> than WVBC. The rate of increase is 0.0171 yr⁻¹, which is significant at the 0.01 level. To better absorb CO_2 and sunlight required for photosynthesis, vegetated regions are gradually covered by vegetation with higher plant height and wider leaf area, thereby adjusting their characteristic ecosystem functions (Erb et al., 2008).

559

560 Based on our factorial simulations (Figure 8), the influences of CO_2 fertilization induce the most 561 significant variation of the vegetation carbon stock. In addition, the responses of carbon stocks to the 562 changes of climatic factors are obvious, particularly at the zonal-grid cell scale. Previous studies have 563 pointed out that the variation of the terrestrial carbon stock caused by releasing or sequestering carbon is 564 sensitive to anomalous changes in water availability and light use efficiency (Madani et al., 2020; 565 Humphrey et al., 2018). At the grid cell scale, shown in Figure 8b and 8d, radiation and precipitation 566 dominate the long term trend of carbon stocks over one third of global grid cells. At the global scale, 567 radiation and precipitation explain approximately 10% of long term trend in LVBC and WVBC (Figure 568 8a and 8c). LVBC and WVBC variations driven by precipitation and radiation are ultimately offset by 569 spatially compensatory effects, which dampens the response of the carbon stock to these factors at global 570 scale (Jung et al., 2017). This spatially compensatory effect of climate changes is consistent with previous 571 analyses (Zhu et al., 2016) that climate changes explain 8% of the increasing carbon storage of global 572 foliage, while climate changes dominate the greening trend over 28.4% of the global land. Results reveal 573 that trends in temperature drove historical long term trends in the potential carbon stocks, with faster 574 increases and considerable variation occurring by zone. The accumulated influence of climate warming 575 induces dramatic changes in the carbon stock at a global scale. Thus, we suggest that temperature 576 dominates the long term trends in the carbon stock among climatic drivers, while a compensatory effect 577 exists in the global change in the carbon stock induced by precipitation and radiation. At the grid cell 578 scale, as shown in Figures 8b and 8d, temperature, radiation, precipitation, and other climate factors 579 (humidity and wind speed) dominate the long-term trend of carbon stocks over two thirds of global grid 580 cells. At the global scale, climate factors explain 17.55% and 10.72% of long-term trend in LVBC and 581 WVBC, respectively (Figures 8a and 8c). LVBC and WVBC variations driven by climate factors are

582 ultimately offset by spatially compensatory effects, which dampens the response of the carbon stock to 583 these factors at the global scale (Jung et al., 2017). Thus, contributions of precipitation and radiation to 584 the variability of LVBC and WVBC are relatively low at the global scale, and the effects of humidity 585 and wind speed on global carbon stock are minor. This spatially compensatory effect of climate changes 586 is consistent with a previous analysis (Zhu et al. 2016) which found that climate changes explain only 8% 587 of the increasing trend in foliage carbon storage at the global level but that they dominate the trend over 588 28.4% of global land area. Results show that trends in temperature drive historical long-term trends in 589 the potential carbon stocks, with faster increases and considerable variation occurring by grid cell. Thus, 590 our results reveal that temperature dominates the long-term trends of carbon stock among climatic drivers, 591 while a relatively strong compensatory effect exists in the global change in the carbon stock induced by 592 precipitation, radiation, humidity, and wind speed.

593

594 By partitioning the trends of LVBC and WVBC into five hydrological regions (Figure 1), we found that 595 the long-term change in carbon stocks is tightly coupled to terrestrial water availability. These results 596 indicate that vegetation in humid regions is responsible for most of the trend in global LVBC, while 597 plants in semi-arid regions play a dominate global role in controlling the long-term trend in WVBC 598 (Figures 9 and 10). As water stress decreases, the magnitude and range in variation of LVBC gradually 599 increase (Figure 9), which suggests that limited water availability constrains the response magnitude of 600 the changes in LVBC to changes in CO₂ and climate. The response pattern of WVBC growth to the 601 increasing water availability is different from that of LVBC. Drought mitigation promotes the growth of 602 WVBC. In sub-humid and humid regions, plants face low water limitations and intensified light-603 competition and have to invest as much non-structural carbon as possible into leaf and trunk. This 604 allocation scheme leads to the decreased investment of $\Delta WVBC$ in wet regions. The result is consistent 605 with previous finding that plants reduce investment to roots in dense forests where aboveground 606 competition for light is high (Ma et al. 2021). Moreover, we found that indirect effects of water limitation 607 regulate increasing rate of each carbon pool. Although vegetation carbon stocks dramatically increase 608 under the effects of climate and CO₂ changes, the increasing rate of LVBC faster than WVBC in humid 609 regions. Vegetation stores more biomass in aboveground plant organs (trunk and foliage) to gather light. 610 Dryland plants decrease the LVBC/WVBC ratios and store more biomass below ground to enhance the

611 capture of water resources. Based on these results, we demonstrate that water limitations controlled the612 variable response of terrestrial vegetation carbon stocks.

613

614 Our findings are consistent with other reports about the impact of increasing water limitations on 615 terrestrial ecosystem. Based on observation from satellite remote sensing observations, Madani et al. 616 (2020) found that the constraining impact of water limitation determines whether global ecosystem 617 productivity responds positively or negatively to the changes in climate factors. Madani et al. (2020) 618 found that changes in water constraints significantly affect the response patterns of ecosystem 619 productivity and net carbon exchange. Humphrey et al. (2021) found that increasing water stress limits 620 the response magnitude of carbon uptake rates through a down-regulation of stomatal conductance and 621 suggested that land carbon uptake is driven by temperature and vapour pressure deficit effects that are 622 controlled by terrestrial water availability. Ma et al. (2021) found that plants increase investment into 623 building roots in arid region because the extent of water limitation there is exacerbated by global warming. 624 Terrestrial hydrological conditions significantly affect the carbon cycle process of terrestrial ecosystems. 625 including carbon uptake, allocation, and stock. Terrestrial ecosystems utilize sensitive strategies to 626 allocate and store biomass to adjust to local hydrological conditions. A significant conclusion is that 627 water constraints not only confine the responses of vegetation carbon stocks to drivers of variability, but 628 also constrain the proportion of biomass carbon stocks in gather- and water-gathering fractions.

629

630 Distinguishing the response of carbon stock fractions estimated by SEIB-DGVM improves the 631 understanding of the interactive impacts of terrestrial carbon and water dynamics. However, uncertainty 632 still exists because of the limitations in the processes of modelling vegetation metabolism with SEIB-633 DGVM. Trunk biomass contains tree branches and structural roots (coarse roots and tap roots) (Sato et 634 al., 2007), so the R/S ratio of potential vegetation in factorial simulations is smaller than the R/S of actual 635 vegetation in observation stations. Root biomass only contains the fine root biomass, leading to an 636 apparent underestimate in belowground organ biomass of trees and grasses compare with previous 637 conclusion (Ma et al., 2021; Yang et al., 2009). Availability of nitrogen is a key limiting factor for 638 vegetation growth, especially when higher CO₂ fertilization effects exist (Tharammal et al., 2019). The 639 limitation could be alleviated by nitrogen deposition in most temperate and boreal ecosystems. The SEIB-DGVM experiments were conducted with a focus on documenting CO₂ fertilization and climate
 change interactions; these experiments did not consider the influences of nitrogen deposition, which leads
 to a slight should cause an underestimate of the contributions of CO₂ fertilization on biomass production.

643

644 In summary, we evaluated SEIB-DGVM V3.02 and used this model to offer new perspectives on the 645 response of vegetation carbon storage potential to changes in climate and CO₂. Our simulation results 646 show that changes in CO₂, rather than climate, dominate the light- and water-gathering partitioning of 647 the carbon storage potential. More importantly, we suggest that the impact of CO₂ fertilization and 648 temperature effects on vegetation carbon-sequestration potential depends on water availability and its 649 impacts on plant stress. With increased global warming, water limitations are expected to increasingly 650 confine global carbon sequestration and storage. Our findings highlight the need to account for terrestrial 651 water limitation effects when estimating the response of the terrestrial carbon storage capacity to global 652 climate change, and the need for stronger interactions between those involved in vegetation model 653 development and those in between the hydrological and ecological research communities.

654 Appendices

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Table A1. MCD12C1 legend and class descriptions

Name	Value	Description	
Evergreen Needleleaf	1	Dominated by evergreen conifer trees (canopy >2m). Tree	
Forests		cover >60%.	
Evergreen Broadleaf	2	Dominated by evergreen broadleaf and palmate trees	
Forests		(canopy $>2m$). Tree cover $>60\%$.	
Deciduous Needleleaf	3	Dominated by deciduous needleleaf (larch) trees	
Forests		(canopy $>2m$). Tree cover $>60\%$.	
Deciduous Broadleaf	4	Dominated by deciduous broadleaf trees (canopy >2m). Tree	
Forests		cover >60%.	
	5	Dominated by neither deciduous nor evergreen (40-60% of	
Mixed Forests		each) tree type (canopy $>2m$). Tree cover $>60\%$.	
Closed Shrublands	6	Dominated by woody perennials (1-2m height) >60% cover.	
Open Shrublands	7	Dominated by woody perennials (1-2m height) 10-60% cover	
Woody Savannas	8	Tree cover 30-60% (canopy >2m).	
Savannas	9	Tree cover 10-30% (canopy >2m).	
Grasslands	10	Dominated by herbaceous annuals (<2m).	
Permanent Wetlands	11	Permanently inundated lands with 30-60% water cover and >10% vegetated cover.	
Croplands	12	At least 60% of area is cultivated cropland.	
Urban and Built-up Lands	13	At least 30% impervious surface area including building materials, asphalt, and vehicles.	
Cropland/Natural Vegetation Mosaics	14	Mosaics of small-scale cultivation 40-60% with natural tree, shrub, or herbaceous vegetation.	
Permanent Snow and Ice	15	At least 60% of area is covered by snow and ice for at least 10 months of the year.	
	16	At least 60% of area is non-vegetated barren (sand, rock,	
Barren		soil) areas with less than 10% vegetation.	
Water Bodies	17	At least 60% of area is covered by permanent water bodies.	
Unclassified	255	Has not received a map label because of missing inputs	



Figure A1. Schematic of ecosystem carbon cycle. Yellow arrow indicates carbon flux. Atmospheric CO_2 transitions into gross primary production (GPP) by photosynthesis. GPP is partitioned into respiration and net primary production (NPP). NPP is partitioned into three biomass carbon pools (foliage, trunk, and root).



Figure A2. Potential LVBC trend maps during the period of 1916 to 2015 under different factorial simulations. (a) CO₂ driving factorial simulation (S2); (b) CO₂+precipitation driving factorial simulation (S3); (c) CO₂+temperature driving factorial simulation (S4); and (d) CO₂+radiation driving factorial simulation (S5). Positive values indicate increasing trends in the ratio, and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.



Figure A3. Potential WVBC variation trend maps during the period of 1916 to 2015 under different factorial simulations. (a) CO_2 driving factorial simulation (S2); (b) CO_2 +precipitation driving factorial simulation (S3); (c) CO_2 +temperature driving factorial simulation (S4); and (d) CO_2 +radiation driving factorial simulation (S5). Positive values indicate increasing trends in the ratio, and vice versa. All results from Mann-Kendall and Sen's slope statistical tests correspond to the 95% confidence interval.



Figure A4. The shift of hydrological regions defined by the multiyear average AI index from the period of 1916-1945 to the period of 1986-2015. The outermost number represent the percentage of hydrological regions in 1916-1945.



Figure A5. Spatial distribution of multi-year average fraction of managed pasture from 2001-2015 at 0.5×0.5 arc-degree resolution.



Figure A6. Map of <u>grid cells whose largest vegetation componentland vegetation</u> without anthropogenic disturbance from MCD12C1 and LUH2. ENF: Evergreen needleleaf forest, EBF: Evergreen broadleaf forest, DNF: Deciduous needleleaf forest, DBF: Deciduous broadleaf forest, MF: Mixed forest, CS: Closed shrublands, OS: Open shrublands, WS: Woody savannas, SA: Savannas, GL: Grasslands, NI: Not included, which means the zone is not covered by vegetation without anthropogenic disturbance.



Figure A7. Trends in average density of potential LVBC. (a) Modelled trend of annual averaged LVBC globally. Modelled trends in annual averaged LVBC in hyper-arid <u>zone region</u> (b), arid <u>zone region</u> (c), semi-arid <u>zone-region</u> (d), sub-humid <u>zone-region</u> (e), and humid <u>zone-region</u> (f).

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Figure A8. Trends in average density of potential WVBC. (a) Modelled trend of annual averaged WVBC globally. Modelled trends in annual averaged WVBC in hyper-arid <u>zone region</u>(b), arid <u>zone</u> <u>region</u>(c), semi-arid <u>zone region</u>(d), sub-humid <u>zone region</u>(e), and humid <u>zone region</u>(f).

664 Code and data availability statement

The code of SEIB-DGVM version 3.02 can be download from http://seib-dgvm.com/. Climatic Research 665 666 Unit data can be downloaded from https://crudata.uea.ac.uk/cru/data/hrg/. The soil physical parameters can be downloaded from www.iges.org/gswp. The reconstructed CO₂ concentration dataset and SEIB 667 668 code can be downloaded from http://seib-dgvm.com/. In model validation, Ecosystem Model-Data 669 Intercomparison (multiyear NPP product) data collected average were from https://daac.ornl.gov/NPP/guides/NPP_EMDI.html. Remote sensing product MOD17A3 data were 670 obtained from https://lpdaac.usgs.gov/products/mod17a3hgfv006/, MCD12C1 data were obtained from 671

672 <u>https://ladsweb.modaps.eosdis.nasa.gov/search/order</u>, and LUH2 data were obtained from
673 <u>https://luh.umd.edu/</u>.

674 Authors contributions

- 675 T.S. designed research. T.S., and S.H. performed research and developed the methodology. T.S. analyzed
- data and produced the outputs. T.S., S.H., C.J., and X.C. wrote the first manuscript draft. W.W. and W.G.
- supervised the study. All the authors discussed the methodology and commented on various versions of
- 678 the manuscript.

679 Competing interests

680 The authors declare that they have no conflict of interest.

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