1	Improving Madden–Julian Oscillation Simulation in Atmospheric General*	格式化: 不要貼齊格線
2	Circulation Models by Coupling with Snow-Ice-Thermocline One-dimensional	
3	Ocean Model	
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14		

15 Abstract

16 A one-column turbulent kinetic energy-_type ocean mixed-layer model Snow Ice-17 Thermoclinesnow-ice-thermocline (SIT) when coupled with three atmospheric general circulation models (AGCMs) to vielded vield superior Madden-Julian Oscillation (MJO) 18 19 simulation. SIT is designed to have fine layers similar to those observed near the ocean 20 surface-and; therefore, it can realistically simulate the diurnal warm layer and cool skin. 21 This refined discretization of the near ocean surface in SIT provides accurate sea surface 22 temperature (SST) simulation; thus, facilitating realistic air-sea interaction. Coupling 23 SIT with European Centre Hamburg Model, Version 5-(ECHAM5); Community 24 Atmosphere Model, Version 5-(CAM5); and, High--Resolution Atmospheric Model 25 (HiRAM) significantly improved MJO simulation in three coupled AGCMs compared with the AGCM driven with prescribed SST. This study suggests two major 26 27 improvements to the coupling process. First, during the preconditioning phase of MJO 28 over the Maritime Continent (MC), the over underestimated surface latent heat bias in 29 AGCMs can be corrected. Second, during the phase of strongest convection over MC, the 30 change of thein intraseasonal circulation in the meridional circulation enhancing near-31 surface moisture convergence is the dominant factor in the coupled simulations relative 32 to the uncoupled experiments. The study results indicateshow that a fine vertical 33 resolution near the surface, which better captures temperature variations in the upper few 34 meters of the ocean, considerably improves different models with different configurations 35 and physical parameterization schemes; this could be an essential factor for accurate MJO 36 simulation.

37 Keywords: Madden–Julian Oscillation, coupling, warm layer

39 Short summary (plain text)

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We show that coupling a high-resolution one-column ocean model to three atmospheric general circulation models dramatically improves Madden–Julian oscillationOscillation (MJO) simulations. It suggests two major improvements to the coupling process in the preconditioning phase and strongest convection phasephases over the Maritime Continent. Our results demonstrate a simple but effective way to significantly improve MJO simulation and potentially also–seasonal to subseasonal prediction.

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1 Introduction

50	The Madden-Julian Oscillation (MJO) is the dominant pattern of atmospheric
51	intraseasonal variability in the tropics (Madden and Julian 1972; Zhang 2005; Jiang et al.
52	2020)(Madden and Julian, 1972; Zhang, 2005; Jiang et al., 2020), It has been reported
53	that the MJO convection is most often observed over sea surface temperature (SST) of
54	>greater than 28°C in the Indo-Pacific warm pool (Salby and Hendon 1994). The (Salby)
55	and Hendon, 1994), MJO is an eastward-propagating ocean-atmosphere and convection-
56	-circulation coupled phenomenon that lasts for 20-100 days. On these timescales, low-
57	level moisture convergence, warm SST, and shallow upper-ocean mixed-layer depth
58	precede the eastward propagation of organized deep convection by approximately 10ten
59	days; opposite conditions follow followed by approximately 10ten days (Krishnamurti et
60	al. 1988; Hendon and Salby 1994; Woolnough et al. 2000)(Krishnamurti et al., 1988;
61	Hendon and Salby, 1994; Woolnough et al., 2000). Heat flux exchange between the
62	atmosphere and ocean modulates the intraseasonal oscillation (Shinoda and Hendon 1998;
63	Shinoda et al. 1998). Studies have emphasized the importance of moisture and heat flux
64	feedback in MJO. Heat flux exchange between the atmosphere and ocean modulates the
65	intraseasonal oscillation (Shinoda and Hendon, 1998). Studies have emphasized the
66	importance of moisture and heat flux feedback in MJO (Sobel et al. 2008, 2010; DeMott
67	et al. 2015)(Sobel et al., 2008, 2010; DeMott et al., 2015). Besides, the MJO and oceanic
68	wave dynamics are also suggested such as zonal wind stress anomalies associated with
69	the MJO force castward-propagating oceanic equatorial Kelvin wave (Hendon et al. 1998;
70	Webber et al. 2010), and the signals could extend as deep as 1500 m in the ocean
71	(Matthews et al. 2007). Furthermore, the westward propagating oceanic equatorial
72	Rossby wave can initiate the next MJO in the Indian Ocean (Webber et al. 2010; Webber
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73 et al. 2012). Evidence of oceanic intraseasonal signals coupling with atmospheric signals 74 was observed in terms of the sea level, surface heat flux, salinity, and temperature during 75 field experiments and in situ monitoring. Besides, oceanic wave dynamics are suggested 76 to be associated with MJO, for example, zonal wind stress anomalies driven by the MJO 77 force eastward-propagating oceanic equatorial Kelvin wave (Hendon et al., 1998; Webber 78 et al., 2010), and the signals could extend as deep as 1500 m in the ocean (Matthews et 79 al., 2007). Furthermore, the westward-propagating oceanic equatorial Rossby wave can 80 initiate the next MJO in the Indian Ocean (Webber et al., 2010; Webber et al., 2012). 81 Evidence of oceanic intraseasonal signals coupling with atmospheric signals was observed in terms of the sea level, surface heat flux, salinity, and temperature during field 82 83 experiments and in situ monitoring (Oliver and Thompson 2011; Drushka et al. 2012; 84 Wang et al. 2013; Chi et al. 2014; DeMott et al. 2015; Fu et al. 2015)(Oliver and 85 Thompson, 2011; Drushka et al., 2012; Wang et al., 2013; Chi et al., 2014; Matthews et 86 al., 2014; DeMott et al., 2015; Fu et al., 2015).

87 Recent modeling studies evaluating the mechanism of ocean atmosphere coupling 88 have indicated demonstrated that most coupled models could improve MJO simulations 89 but that the ocean driven by the atmosphere contributes indirectly through improvement 90 inby improving the mean state, heat flux, fresh water, and momentum. DeMott et al. 91 (2016) DeMott et al. (2016) estimated that direct SST-driven ocean feedback contributes 92 to the MJO propagation up to 10% by a change in column moisture. A comparison of the 93 direct and indirect effects of SST indicated that direct effects, such as SST-driven surface 94 fluxes, tend to offset wind-driven fluxes (DeMott et al. 2015; DeMott et al. 2016; DeMott 95 et al. 2019)(DeMott et al., 2015; DeMott et al., 2016; DeMott et al., 2019). The key factor 96 of indirect ocean feedback inon the atmospheric physical process, such as includes strong 6

97 MJO convection can amplify the radiative feedback to MJO convections associated with 98 large cloud systems (Del Genio and Chen 2015), the(Del Genio and Chen, 2015). 99 The SST gradients can dive the MJO low-level convergence (Hsu and Li 2012; Li and 100Carbone 2012), or(Hsu and Li, 2012; Li and Carbone, 2012) and destabilize lower 101 tropospheric to further enhance low-level convergence to the east of MJO convergence 102 (Wang and Xie 1998; Marshall et al. 2008; Benedict and Randall 2011; Fu et al. 2015)(Wang and Xie, 1998; Marshall et al., 2008; Benedict and Randall, 2011; Fu et al., 103 104 2015). Many observational and model studies have reported that coupled feedback 105 enhances the MJO with strong horizontal moisture advection, driven by sharp mean near-106 equatorial meridional moisture gradients (DeMott et al. 2015; Jiang et al. 2018; DeMott 107 et al. 2019; Jiang et al. 2020)(DeMott et al., 2015; Jiang et al., 2018; DeMott et al., 2019; 108 Jiang et al., 2020). These findingfindings suggest that high-frequency SST perturbations 109 could improve moisture convergence efficiency and enhance MJO propagation through 110 relatively smooth background moisture distribution.

111 Tseng et al. (2015) identified the key role of the upper-ocean warm layer in 112 improving the MJO eastward propagation simulation by using the European Centre 113 Hamburg Model, Version 5 (ECHAM5), coupled with the one column ocean model 114 named Snow Ice Thermocline (SIT). Many observational (Drushka et al. 2012; Chi et 115 al. 2014) and modeling Tseng et al. (2015) identified the key role of the upper-ocean 116 warm layer in improving the MJO eastward propagation simulation using the European 117 Centre Hamburg Model, Version 5 (ECHAM5), coupled with the one-column ocean 118 model named snow-ice-thermocline (SIT). Many observational (Drushka et al., 2012; 119 Chi et al., 2014) and modeling (Klingaman and Woolnough 2013; DeMott et al. 2019; 120Klingaman and Demott 2020)(Klingaman and Woolnough, 2013; DeMott et al., 2019; 7

121	Klingaman and Demott, 2020) studies have supported this hypothesis. However, coupling
122	the SIT to only one atmospheric general circulation model (AGCM) may be insufficient
123	to prove the effected effectiveness of the coupling. In the currentthis study, we coupled the
124	SIT to three AGCMs: European Centre Hamburg Model, Version 5 (ECHAM5);
125	Community Atmosphere Model, Version 5 (CAM5);), and High-Resolution
126	Atmospheric Model (HiRAM). As well as one additional high-resolution forecast model
127	from Central Weather Bureau, Taiwan (CWBGFS) to demonstrate that the improvement
128	of MJO simulation through coupling the upper ocean warm layer is AGCM independent.
129	Furthermore, weWe also discussed the coupling mechanism that leads to simulation
130	improvement. Models, the

The remainder of the paper is organized as follows. In section 2, we describe the
 models, experimental designdesigns, and observational data are described in Section 2.
 Section 3 presents and 4 present, the results, followed by a and discussion in Section 4.
 respectively.

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135 2 Models, Data, model experiments, and observational methodology

136 **<u>2.1 Observation and atmospheric/oceanic</u> data**

Observational data used in this study include precipitation from Global Precipitation
Climatology Project V1.3 (GPCP, 1° resolution) (Adler et al. (2003), outgoing longwave
radiation (OLR, 1° resolution) (Liebmann (1996)), and daily SST (Optimum Interpolated
SST, 0.25° resolution) (Banzon et al. (2014)) from the National Oceanic and Atmosphere
Administration, and variables were obtained from the European Centre for Medium range
Weather Forecast Reanalysis interim (Dee et al. 2011). We used a 22-year ERA-Interim

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143	from 1989 to 2010 and a 14-year GPCP dataset from 1997 to 2010. Oceanic observational
144	data include those from the NCEP Global Ocean Data Assimilation System (GODAS)
145	(Behringer and Xue (2004) provided by the NOAA/OAR/ESRL PSL, Boulder, Colorado,
146	USA (https://psl.noaa.gov/data/gridded/data.godas.html) and in situ temperature profiles
147	from the Tropical Ocean Global Atmosphere program (McPhaden et al. 2010).
148	In this study, we coupled the SIT one column ocean model (Tu and Tsuang 2005;
149	Tsuang et al. 2009) to four AGCMs. SIT simulates variations in the SST and upper-ocean
150	temperature, including the diurnally varying cool skin and warm layer in the upper few
151	meters of the ocean and the turbulent kinetic energy (TKE) (Gaspar et al. (1990)) in the
152	water column Observational data used in this study include precipitation from Global
153	Precipitation Climatology Project V1.3 (GPCP, 1° resolution, 1997–2010; Adler et al.,
154	2003), outgoing longwave radiation (OLR, 1° resolution, 1997-2010; Liebmann, 1996)
155	and daily SST (Optimum Interpolated SST, 0.25° resolution, 1989-2010; Banzon et al.,
156	2014) from the National Oceanic Atmosphere Administration. The in situ ocean
157	temperature profiles from 1989 to 2010 were obtained from the Tropical Ocean Global
158	Atmosphere program (McPhaden et al., 2010).
159	Atmospheric variables were obtained from the European Centre for Medium-range
160	Weather Forecast Reanalysis-interim (Dee et al., 2011) from 1989 to 2010. The variables
161	include zonal wind, meridional wind, temperature, specific humidity, sea level pressure,
162	geopotential high, latent heat, sensible heat, shortwave and longwave radiation. Oceanic
163	temperature data from 1989 to 2010 were obtained from the NCEP Global Ocean Data
164	Assimilation System (GODAS) (Behringer and Xue, 2004) provided by the
165	NOAA/OAR/ESRL PSL, Boulder, Colorado, USA
166	(https://psl.noaa.gov/data/gridded/data.godas.html).

167 <u>2.2 Model experiments</u>

168 In this study, we coupled the one-column ocean model SIT (Tu and Tsuang, 2005; Tsuang et al., 2009) to three AGCMs. SIT simulates variations in the SST and upper-169 170 ocean temperature, including the diurnally-varying cool skin and warm layer in the upper 171 few meters of the ocean and the turbulent kinetic energy (TKE; Gaspar et al., 1990) in 172 the water column (Tu and Tsuang 2005; Tsuang et al. 2009; Tseng et al. 2015)(Tsuang et 173 al., 2001; Tu and Tsuang, 2005; Tu, 2006; Tsuang et al., 2009; Tu and Tsuang, 2014; 174 Tseng et al., 2015; Lan et al., 2021). The four AGCMs used here are as follows. (1) ECHAM5, a the fifth-generation AGCM developed at the Max Planck Institute for 175 176 Meteorology (Roeckner 2003; Roeckner et al. 2006). It is a spectral model employing the 177 Nordeng (Nordeng 1994) cumulus convective scheme. We used a horizontal resolution 178 of T63 (approximately 2°) with 31 vertical layers and a model top at 10 hPa 179 (approximately 30 km). (2) NCAR CAM5 in Community Earth System Model, version 180 1.2.2 (Hurrell et al. 2013) from the National Center for Atmospheric Research. (3) 181 HiRAM, developed based on Geophysical Fluid Dynamical Laboratory global 182 atmosphere and land model AM2 (Team et al. 2004; Zhao et al. 2009) with few 183 modifications (Chen et al. 2019). We also used CWBGFS, the second generation global 184 forecast system at the Central Weather Bureau in Taiwan (Liou et al. 1997), which 185 employs the cumulus convective scheme of Nordeng (1994), shallow convective scheme 186 of (Li and Wang 2000), and boundary layer of Hong and Pan (1996). 187 In this study, we applied. Cool skin is a very thin layer that has a direct contact with

188 the atmosphere and warm layer is the warmer sea water immediately below the cool skin

189 in the top few meters of the ocean. They fluctuate diurnally in response to atmospheric

190 forcing. SIT with high vertical resolution realistically simulates the warm-layer (within

191	top 10 m) and cool-skin (the top layer with 0.001 m thickness), and improve the
192	simulation of upper ocean temperature (Tu and Tsuang, 2005; Tsuang et al., 2009). The
193	model has been verified at a tropical ocean site (Tu and Tsuang, 2005), in the South China
194	Sea (Lan et al., 2010), and Caspian Sea (Tsuang et al., 2001). The melt and formation of
195	snow and ice above a water column have been introduced (Tsuang et al., 2001). The three
196	AGCMs used in this study are as follows. ECHAM5, the fifth-generation AGCM
197	developed at the Max Planck Institute for Meteorology (Roeckner, 2003; Roeckner et al.,
198	2006) is a spectral model that employs the Nordeng (Nordeng, 1994) cumulus convective
199	scheme. We used a horizontal resolution of T63 (approximately 2°) with 31 vertical layers
200	and a model top at 10 hPa (approximately 30 km). The second one is NCAR Community
201	Atmospheric Model version 5 (Hurrell et al., 2013) from the National Center for
202	Atmospheric Research. We used a horizontal resolution of approximately
203	1.875° latitude $\times 2.5^{\circ}$ longitude and 30 vertical layers with the Zhang-McFarlane
204	deterministic convection scheme (Zhang and McFarlane, 1995) and the University of
205	Washington Shallow Convection (Park and Bretherton, 2009). HiRAM was developed
206	based on Geophysical Fluid Dynamical Laboratory global atmosphere and land model
207	AM2 (Team et al., 2004; Zhao et al., 2009) with few modifications (Chen et al., 2019).
208	We used a horizontal resolution of 0.5° latitude $\times 0.5^{\circ}$ longitude with 32 vertical levels.
209	For boundary layer and free atmospheric turbulence, the model adopted the 2.5 order
210	parameterization of Mellor and Yamada (1982). Surface fluxes are computed based on
211	the Monin-Obukhov similarity theory, given the atmospheric model's lowest level of
212	wind, temperature, and moisture.
213	There are 42 vertical layers in SIT, with 12 layers in the upper 10 m. In the upper 10*
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214 m,: the surface, 0.05 mm, 1 m, 2 m, 3 m, 4 m, 5 m, 6 m, 7 m, 8 m, 9 m, and 10 m below

215 ocean surface. The fine resolution was designed to realistically simulate the upper-ocean warm layer, including a layer at 0.05 mm, reproducing the cool skin of the ocean surface. 216 217 Notably, It is worth noting that coupling-of a high-vertical-resolution TKE ocean model 218 with an AGCM is unconventional. To account for neglected horizontal processes, the model ocean was weakly nudged (with a 30-day time scale) to the observed GODAS 219 220 monthly mean ocean temperature below a depth of 10 m. Nudging was not applied in the 221 upper 10 m. The timestep of SIT and AGCMs exchange ocean surface fluxes at every 222 time step 48 times a dayvarying associated with the model resolution, which is 720, 1800, and 900 seconds in ECHAM-SIT, CAM5-SIT, and HiRAM-SIT, respectively. AGCMs 223 224 were coupled with the SIT in the tropical region between 30°S and 30°N and forced by 225 prescribed elimatological-monthly mean SSTOISST outside this tropical belt.

226 The experiments included comprised three sets of coupled AGCM simulations 227 (ECHAM5-SIT, CAM5-SIT, and HiRAM-SIT) and standalone AGCM simulations forced by observed monthly mean OISST (ECHAM5, CAM5, and HiRAM) from 1985 228 229 to 2005. The experiments were designed to evaluate the effect of atmosphere-ocean 230 coupling on MJO simulations. Table 1 presents the model and experiment details. Due to 231 the computation limitation of a high resolution forecast model, the CWBGFS-SIT was 232 only run for 3 years to test the coupling effect. Thus, its results were evaluated but not 233 compared with those of the other three models.

The analysis focused on the boreal cool season (November April) when the eastward propagation tendency of the MJO is the most prominent. We used the CLIVAR MJO Working Group diagnostics package (CLIVAR 2009) and a 20–100 day filter to analyze intraseasonal variability. The MJO phase composites were computed using the real-time multivariate MJO index (Wheeler and Hendon 2004), which is defined as the leading pair

239 of principal components of intraseasonal OLR, and 850 and 200 hPa zonal winds in the tropics2.3 Methodology 240 241 The analysis focused on the boreal cool season (November-April) when the eastward propagation tendency of the MJO is the most prominent. We used the CLIVAR 242 243 MJO Working Group diagnostics package (CLIVAR, 2009) and a 20-100-day filter to 244 analyze intraseasonal variability. The MJO phase composites were computed using the 245 real-time multivariate MJO index (Wheeler and Hendon, 2004), defined as the leading 246 pair of principal components of intraseasonal OLR, and 850 and 200 hPa zonal winds in 247 the tropics.

The vertically integrated MSE budget was diagnosed based on the followingequation:

$$250 \qquad \left\langle \frac{\partial h}{\partial t} \right\rangle^{-} = -\left\langle u \frac{\partial h}{\partial x} \right\rangle^{-} - \left\langle v \frac{\partial h}{\partial y} \right\rangle^{-} - \left\langle \omega \frac{\partial h}{\partial p} \right\rangle^{-} + \left\langle LW \right\rangle^{-} + \left\langle SW \right\rangle^{-} + \left\langle LH \right\rangle^{-} + \left\langle SH \right\rangle^{-} (1)$$

where *h* is the MSE (h = cpT + gz + Lq); *u* and *v* are the zonal and meridional velocities, respectively; ω is the vertical pressure velocity; *LW* and *SW* are the longwave and shortwave radiation fluxes, respectively; and *LH* and *SH* are the latent and sensible surface heat fluxes, respectively. The mass-_weighted vertical integration from the surface to 200 hPa is denoted as (·), and intraseasonal anomalies are represented as (·)'-All fields, which were isolated using a 20–100-day bandpass Lanczos filter (Duchon 1979).(Duchon, 1979).

- 258
- 259 3 Results

260 3.1 MJO simulations: ECHAM5-SIT, CAM5-SIT, and HiRAM-SIT

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261 3.1.1 General structure

262 We compared simulated MJO characteristics using three coupled and uncoupled 263 AGCMs. Figure 1 presentsshows the wavenumber-frequency spectra of simulated 850hPa zonal wind (shading) and precipitation (contours). All three uncoupled AGCMs 264 265 (hereafter referred to as AGCMs) simulated intraseasonal signals with lower frequency 266 than the observed and overestimated the westward propagation with periods \Rightarrow greater than 80 days (FigFigs. 1e-g). The ECHAM5 and HiRAM simulated signals of wavenumbers 267 268 1-3 instead of the observed wavenumber 1 in 850-hPa zonal wind. These results 269 indicateshow that all three AGCMs simulated stationary fluctuations with low frequency 270 that were not consistent with the observation. By contrast, coupled AGCMs realistically 271 reproduce the observed spectral characteristics and strength of the eastward propagation 272 at wavenumbers 1 to 2 in 850-hPa zonal wind (FigFigs. 1b-d). Although HiRAM 273 simulated eastward propagation in a wider frequency spectrum than that the observed, the 274 coupled model clearly displays improvements in the MJO simulation compared with the 275 stationary intraseasonal fluctuation in the uncoupled simulation. Hovmöller diagrams 276 presented in Fig. 2 illustrate the temporal evolution of 850--hPa zonal wind and 277 precipitation in the tropics in observation and simulations. All three models simulated 278 either stationary (CAM5 and HiRAM) or weak eastward-propagating (ECHAM5) signals 279 in AGCMs, but more realistically simulated the eastward propagation of the MJO in the 280 coupled AGCMs, althoughmodels. However, the propagation in the ECHAM5-SIT is still 281 slightly slower than thatthe observed. The improvement obtained in coupled models 282 suggests that active ocean-atmosphere interaction is a crucial factor for the successful 283 MJO simulation of the MJO.

284 **3.1.2 Atmospheric and oceanic profiles**

285 The composite MJO life cycle featuring intraseasonal OLR and 10-m surface wind 286 anomalies for boreal winter in eight phases following Wheeler and Hendon (2004) is 287 displayed in Fig. S1 S3. All three coupled AGCMsWheeler and Hendon (2004) is 288 displayed in Fig. 3. All three coupled models simulated realistic MJO with enhanced 289 circulations and propagation tendency compared with the uncoupled AGCMs. Figure 3 290 shows the temporal evolution of vertical heating profiles (averaged over 10°S EQ, 291 120°E-150°E) in eight MJO phases. Observed heating profiles, calculated following the 292 definition of the apparent heat source (Q1) (Yanai et al. 1973), exhibit diabatic heating 293 with a maximum near 500 hPa in phases 4 and 5 and in the lower troposphere in earlier 294 phases. This reflects the development from shallow to deep heating during the development stage of the convective phase in an MJO. Both ECHAM and HiRAM exhibit 295 296 stronger heating in coupled simulations than in uncoupled simulations, whereas the 297 difference is not evident in CAM5. The vertical structures of the apparent moisture sink 298 (Q2; contours) associated with the MJO demonstrate a similar convection development. 299 MJO analysis in phase 4 when deep convection is the strongest over the Maritimes 300 Continentones. The MJO in phase 4, when deep convection is the strongest over the 301 Maritime Continent (MC), demonstrates the large-scale zonally overturning circulation 302 coupling with the convection (Fig. 4). The positive heating region in the coupled 303 experiment is significantly enlarged, deepened, and westward-tilted with increasing 304 height compared with those in the uncoupled experiment. Correspondingly, the 305 convective-circulation envelope of the MJO is thicker and longitudinally wider in coupled 306 experiments. The strong convection is associated with much enhanced low-level moisture 307 convergence (green contours). Furthermore, the area of positive rainfall anomaly in the 308 coupled experiment becomes larger, and the sea level pressure anomaly is meridionally

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309 more confined, exhibiting the characteristics of intensified Kelvin wave-like perturbations to the east of the deep convection. This enhancement of low-level moisture 310 convergence is consistent with the frictional wave-conditional instability of the second 311 312 kind mechanism (Wang and Rui 1990; Kang et al. 2013)(Wang and Rui, 1990; Kang et 313 al., 2013)-. The enhancement of the Kelvin wave can be observed in the symmetric 314 wavenumber-frequency spectra (Fig. 5). The spectra between 0 and 0.35 day⁻¹ are 315 presented to highlight the MJO and equatorial Kelvin waves. The coherence at 316 wavenumbers of 2-4 for the 10-20-day period is simulated stronger in three coupled than 317 uncoupled models.

318 In addition to the atmospheric structure, the SST (Fig. S4S1) and vertical profile of 319 ocean temperature (Fig. S5) examined are presented in Fig. S56. The observed SST 320 variation in MJO variability is well reproduced in all three coupled models (Fig. <u>\$4\$1</u>). 321 The warm SST leads the main MJO convection by approximately 5-10 days-and-is, 322 followed by the cold SST approximately 5-10 days later (Flatau et al. 1997; DeMott et 323 al. 2015; Tseng et al. 2015)(Flatau et al., 1997; DeMott et al., 2015; Tseng et al., 2015). Moreover, the observed amplitude fluctuation (approximately 0.5° to 1°C) is realistically 324 325 simulated. Observed The observed ocean temperature profiles, characterized by the warm 326 layer, along the equator from the Indian Ocean to the western Pacific are well simulated 327 in the three coupled models (Fig. S5). Simulated temperature anomalies are larger in 328 ECHAM5-SIT than in CAM5-SIT and HiRAM-SIT. These results consistently 329 obtained6). Meanwhile, simulated temperature anomalies are larger in ECHAM5-SIT 330 than in CAM5-SIT and HiRAM-SIT. Figure. S2 shows the fluctuations of observed SST 331 and simulated SST in three sets of coupled and uncoupled model. There is no fluctuation 332 as expected in uncoupled simulations, whereas the simulated SST fluctuates with phases

333 similar to the observed at different locations. The amplitudes in ECHAM5-SIT and CAM5-SIT are similar to the observed, whereas those in HiRAM-SIT seems to be smaller 334 335 in the western Pacific. The differences between models are likely due to the different 336 atmospheric model configurations, because they were coupled to the same 1-D ocean 337 model. Since the atmosphere is the main driver to extract heat form the ocean, different 338 responses of atmospheric models likely have different effects on SST. The cause of 339 quantitative differences between models needs further detailed analysis to pinpoint. The 340 consistent results in all three coupled models support the conclusion of Tseng et al. (2015) 341 that resolving fine vertical resolution in the upper ocean improves the simulation of the 342 warm layer and MJO propagation and variability. The Our results further demonstrate 343 that the effect of atmosphere-ocean coupling on the MJO iscould be independent of 344 AGCMs with different configurations and atmospheric physical parameterizations-345 Modifying atmospheric physical parameterizations has been shown to improve MJO 346 simulation to some extent (Wang et al. 2021), and the results could be model dependent. 347 Our results demonstrate that the impact of atmosphere oceanthat coupling independent 348 of physical schemes seems to be a more fundamental approach.

349 **3.1.3 Performance comparison**

To summarize improvements resulting from coupling, simulation was evaluated (Model performance is summarized in Fig. 5). Figure 5a presents the 7. The scatter plot ofshows the power ratio of east-west propagating waves (X-axis) versus the pattern correlation between the simulated and observed precipitation anomaly in Hovmöller diagrams (Fig. 2)-(; Y-axis). The east:west ratio was calculated by dividing eastwardpropagating power by westward-propagating power of 850-_hPa zonal wind summed 格式化:行距:2倍行高,不要貼齊格線

over wavenumbers <u>of</u> 1–2 and a period of 30–80 days. Compared with the observation, coupled simulations (marked by circles) exhibit better simulation than uncoupled simulations (marked by asterisks). A comparison of combined explained variance by using RMM1 and RMM2 (Fig. <u>5b7b</u>) based on Wheeler and Hendon (2004) shows marked increases after coupling. <u>AThe</u> comparison of the coupled and uncoupled simulations demonstrates that coupling is an essential factor for realistic MJO simulations.

362 3.2 Mechanism discussion

363 Here, We applied the MSE budget was applied to diagnose the moisture budget associated with the MJO. Figure 6-presents8 shows a Hovmöller diagram of MSE 364 365 tendency averaged by 10°S-EQ overlaying precipitation anomalies. MSE tendency 366 changesderived from reanalysis fluctuates in quadrature with precipitation anomaly with 367 positive (negative) MSE tendency, leading (lagging) major convection by approximately 368 one to two phases (DeMott et al. 2015; DeMott et al. 2016; DeMott et al. 2019)(DeMott 369 et al., 2015; DeMott et al., 2016; DeMott et al., 2019). Coupled models simulate stronger 370 eastward propagation in boththe MSE tendency and precipitation anomalies- and realistic 371 phase lag between the two. Stronger MSE tendencies in coupled simulations are 372 seenobserved in ECHAM5 and HiRAM but are less clear in CAM5. TheFigures 8d, g, 373 and j show the differences between coupled and uncoupled simulations are presented in 374 Fig. 6d, g, j. One notable feature is the positive (negative) MSE tendency preceding 375 positive (negative) precipitation anomaly and preconditions an environment for eastward 376 propagation of active (inactive) convection and associated circulation. WeNext, we 377 diagnosed the relative contribution of each term in Equation 1 to the MSE tendency with 格式化:不要貼齊格線

the focus on the MC, where the largest positive MSE tendency and precipitation anomalywere found.

380 **3.2.1 Preconditioning phase**

381 Following the peak MSE tendency over the MC (120°E-150°E) during phase 2 (Fig. 382 66 Figs. 8d, g, and j), values of each term contributing to the column-integrated MSE 383 tendency in Equation 1 during phase 2 preceding the deep convection over the MC area 384 (10°S-EQ, 120°E-150°E) are displayedshown in Fig. 79. Vertical advection is the 385 dominant term with the major compensation from long wavelongwave radiation during 386 phase 2 when convection is still in the eastern Indian Ocean, as identified by Wang et al. 387 (2017). However, this effect is not better simulated in the coupled experiments than in the 388 uncoupled experiments in all three models. Notably, the LH term is consistent between 389 both phases. In all three models, the coupling reduces the negative MSE tendency. The 390 results indicate that the contribution comes for the LH in this early phase stage. The LH 391 effect was overlooked in Tseng et al. (2015) because of the weak MJO variability in 392 coupled simulations. However, this smaller LH negative became Moreover, the LH term 393 is consistent within all three models to contribute less negative MSE tendency in coupled 394 models than ACGMs. The results show that the contribution comes from the LH term in 395 this early phase stage. The LH effect was overlooked in Tseng et al. (2015) because of 396 the weak MJO variability in coupled simulations. However, this negative LH bias 397 becomes one of the key factors in enhancing the leading MSE tendency during the MJO 398 preconditioning phases. This suggests that the surface latent flux bias in AGCMs can be 399 corrected by involving the coupling process in the preconditioning phase, the surface 400 latent flux bias in AGCMs can be corrected. In general. Generally, coupling improves the 401 <u>budget</u> simulation of budget. The positive contribution of vertical advection and negative
402 contribution of LH in MSE tendency is closer to realistic in the coupled simulations
403 during the initial phase of the MJO.

404 **3.2.2 Phase of strongest convection over MC**

405 We compared the spatial distribution of MSE and precipitation in phase 4 when 406 convection was the strongest in the MC (Fig. <u>\$10</u>). In the observation, the main 407 convection occurs in the MC from 90°E to 150°E. A positive MSE tendency with a 408 maximum value near 10°N and 10°S is identified in the east of the MJO convection 409 centered near the equator. ConverselyMeanwhile, a negative integrated MSE tendency is 410 found in the west of the MJO convection, and the meridionally confined structure near 411 the equator seems to exhibite the characteristics of the equatorial Kelvin wave 412 embedded in the MJO. Clearly, coupled models outperform uncoupled models in 413 reproducing these signals. To quantify the contribution of coupling to the improvement, 414 we follow Jiang et al. (2018) to project all MSE terms to the observations (Fig. 9). The dominant contribution of horizontal advection to the MSE tendency in observation (Fig. 415 416 9aJiang et al. (2018) to project all MSE terms to the observations (Fig. 11). The dominant 417 contribution of horizontal advection to the MSE tendency in observation (Fig. 11a) is well 418 simulated in the coupled simulations but not in uncoupled simulations by ECHAM5 and 419 CAM5 (Fig. 9b, Figs. 11b and c). Although a similar dominant effect is noted was 420 observed in both simulation types in HiRAM, it is more enhanced in the coupled 421 simulation (Fig. 9411d). The horizontal advection term is further decomposed into zonal 422 and meridional components (Fig. 9eFigs. 11e-h); both components have a positive 423 contribution, but the meridional component has a larger amplitude.

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424 UncoupledFurthermore, the uncoupled ECHAM5 and CAM5 simulate unrealistic 425 features: positive contribution from zonal advection but negative contribution from 426 meridional advection. ByIn contrast, coupled models well simulate the dominance of 427 meridional advection. In HiRAM, the uncoupled model simulates almost equally positive 428 contributions from both terms, but. However, the coupled model is able to simulate 429 thesimulates a larger contribution from meridional advection. We further decompose the 430 meridional advection to assess the relative contributions of an intraseasonal perturbation 431 and the mean state. Consistent with the observations (Fig. 9i11i), the meridional advection by intraseasonal flow $(-\nu \int \frac{\partial \bar{h}}{\partial y})$ is the main contribution to improve factor in improving 432 the simulations in the coupled models (Fig. 9;Figs. 11i-l). Our results are consistent with 433 434 those of Jiang et al. (2018). Jiang et al. (2018). To evaluate the relative contribution of 435 intraseasonal circulation and background moisture, we further diagnosed changes in $\Delta(-\nu \left(\frac{\partial \overline{h}}{\partial \nu}\right))$ at phase 4-were further diagnosed. Overbar denotes. Here the overbar shows 436 437 that the time mean and prime represents intraseasonal anomaly. Changes in the MJO meridional advection term for coupled experiments relative to uncoupled can be written 438 439 as follows:



where ∆ represents the coupled–uncoupled change. The terms a–c are presented as bar
charts in Fig. 10. Notably, the12. The change of the intraseasonal circulation in the
meridional circulation is the dominant factor in coupled simulations relative to uncoupled

445	experiments. The instantaneous SST horizontal distribution dominates this moisture
446	budget change due to the atmosphere-ocean coupling effect. Therefore, the change of
447	varying moisture induces the intraseasonal circulation change. The results confirm that
448	the dominance of dynamic influence over thermodynamic response to atmosphere-ocean
449	coupling is the key process leading to an improvement inessential in improving MJO
450	simulations.

452 **3.3 Discussion: mean state and intraseasonal variance**

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453 We examined the simulated mean state, which ishas been suggested a major issuekey 454 factor affecting MJO simulations (Inness et al. 2003; Watterson and Syktus 2007; Kim et 455 al. 2009; Kim et al. 2011; Kim et al. 2014; Jiang et al. 2018; Jiang et al. 2020)(Inness et 456 al., 2003; Watterson and Syktus, 2007; Kim et al., 2009; Kim et al., 2011; Kim et al., 2014; Jiang et al., 2018; Jiang et al., 2020). The three models exhibited different tropical 457 458 SST responses to coupling (Fig. S6eS3e). Over the warm pool area, both-CAM-SIT and 459 HiRAM-SIT underestimate the SST, whereas ECHAM5-SIT overestimates the SST. 460 WarmNote that warm SST bias in the eastern tropical Pacific was simulated in the three models because of due to the lack of oceanic circulation in the SIT. The simulated zonal 461 462 wind in the three models (Fig. S6bFigs. S3b-d) demonstrated different responses to 463 coupling. Figure S6c, d presentsFigures S2c show the 850--hPa zonal wind differences 464 between coupled and uncoupled models (shading) and the total field in uncoupled models (contours). Figure S6fFigures S3f-h showsshow the 10°S-EQ averaged 850-hPa zonal 465 466 in boththe coupled and uncoupled models. In ECHAM5-SIT, the westerly wind is slightly 467 enhanced in the eastern Indian Ocean but decreases in the western Indian Ocean and

western Pacific. In CAM5-SIT, westerly wind reduces in the Indian Ocean but enhances
over the western Pacific. The HiRAM-SIT has similar changes as in ECHAM5-SIT,
with<u>e.g.</u>, decreases over the <u>Maritime ContinentMC</u> area but increases in the western
Indian Ocean and Pacific. <u>In generalGenerally</u>, the three models disagree <u>inon</u> the
changes in zonal wind mean state <u>changes</u> in response to coupling.

473 The mean moisture changes are substantially enhanced over the tropical areas in 474 ECHAM5 after coupling (Fig. S7b, Figs. S4b and e). However, in both-CAM5 and 475 HiRAM, no clear change was observed to the south of the equator, but strong drying was 476 observed to in the north of equator (Fig. S7c(Figs. S4c, d, f, and g). The only common 477 feature among the three models thate is the enhanced in the coupled simulations is the 478 meridional gradient of mean moisture. This, which is consistent with many previous 479 studies (Kim et al. 2014; Jiang et al. 2018; Ahn et al. 2020)(Kim et al., 2014; Jiang et al., 480 2018; Ahn et al., 2020). Our budget analysis indicated demonstrated that the meridional 481 transport by the intraseasonal meridional circulation is the dominant term, and. It also 482 showed that the meridional gradient of mean moisture is the secondary effect in enhancing MJO simulations by coupling. The After coupling, the mean precipitation changes are 483 484 more consistent among the three models after coupling (Fig. <u>\$885</u>). One of the major 485 changes is the southward shift of the major precipitation zone, resulting in precipitation 486 increases over the regions south of the equator, except in the Maritime Continent.MC. 487 Similarly, the precipitation intraseasonal variance (20-100 days filtered) was markedly 488 enhancesenhanced in these regions (Fig. S9S6). The ECHAM5-SIT exhibits a relatively 489 minor increase over the western Maritime Continent. ByMC. In contrast, the HiRAM-490 SIT exhibits the strongest enhancement, particularly in the Indian Ocean. In 491 generalGenerally, all three coupled models enhance the intraseasonal signals over the

Ì	492	tropics with discrepancies in detail. By contrast <u>Meanwhile</u> , the model mean state does
4	493	not substantially improve after coupling. Thus, in this study, the mean state is not the
ł	494	main contribution to the enhancement of enhancing the MJO simulation after coupling.
	495	Instead, coupling leading to rigorous atmosphere-ocean interaction in intraseasonal time
	496	scale is likely the reason for the improvement of improving MJO simulation.

498 3.4 The forecast model: CWBGFS

Figure 499 CWBGFS and CWBGFS-SIT were compared for only 3 vears. 44 500 demonstrates the wave number frequency spectra and the 10°S 10°N averaged lag-501 longitude diagrams of CWBGFS between coupled and uncoupled versions. The spectra 502 of CWBGFS-SIT suggest better simulation (Fig. 11a, b) in relation to better propagation 503 across the MC (Fig. 11c, d). Although we did not examine the mechanisms in detail, our 504 results demonstrate that MJO forecast skills could be improved by considering the 505 coupling effect in the forecast model.

506 4 Discussion

507 This study used a one-column TKE-type ocean mixed-layer model SIT coupled with AGCMs to improve MJO simulation. SIT that is designed to have fine layers near 508 509 the surface and can simulate their well simulates warm layer, cool skin, and their diurnal 510 fluctuations. This refined discretization under the ocean surface in SIT provides improved 511 SST simulation-and,; thus, improving realistic air-sea interaction. Coupling SIT with 512 ECHAM5, CAM5, and HiRAM significantly improves the MJO simulation in the three 513 AGCMs compared with that in the prescribed SST-driven AGCMs. The vertical cross-514 section indicates that the strengthened low-level convergence during the preconditioning

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phase is better simulated in the coupled experiment. Furthermore, the phase variation and amplitude of the SST and ocean temperature under the surface can be realistically simulated. Our results reveal that the MJO can be realistically simulated in terms of strength, period, and propagation speed by increasing the vertical resolution of the onecolumn ocean model to better resolve the upper-ocean warm layer.

520 The MSE budget analysis revealed that the coupling effects during the earlier phasespreconditioning and mature phasephases exhibit different contributions. During 521 522 the preconditioning phase, the positive contribution of vertical advection and negative 523 contribution of LH in MSE tendency are closer to realistic values in coupled simulations 524 during the initial phase of the MJO. During the mature phase of the strongest convection in the MCAdditionally, the meridional component of the horizontal advection term is the 525 526 dominant term during the mature phase of the strongest convection in the MC to enhance the simulation after coupling. Improved meridional circulation is essential in the coupled 527 simulations that outperformed uncoupled experiments. The results confirm that the 528 529 dominance of dynamic influence over thermodynamic influence in response to the 530 atmosphere-ocean coupling is the key process leading to the improvement of in improving 531 MJO simulations.

In summary, this study suggests two major enhancements of the coupling process. First, during the preconditioning phase of the MJO over MC, the underestimated surface LH bias in AGCMs can be corrected during the preconditioning phase of the MJO over MC. Second, during the strongest convection phase over MC, the change in intraseasonal circulation in the meridional circulation is the dominant factor in coupled simulations relative to uncoupled experiments. Although many studies have indicated the key role

538 played by the mean state, the mean state in our simulations provides only a secondary 539 contribution to enhancing MJO simulation, with coupling being the main contributor. For 540 example, zonal wind and precipitation changed inconsistently among the three models 541 after coupling. Instead, the meridional gradient of the mean moisture and intraseasonal 542 variance of precipitation havevariance has a better relationship after coupling. Therefore, 543 coupling leading to rigorous atmosphere-ocean interaction in the intraseasonal time scale, 544 but notno change in mean states, is likely the reason for MJO simulation improvement. 545 Moreover, coupling SIT with the weather forecast model CWBCFS can improve MJO. 546 This study supports previous findings (Tseng et al., 2015) that the enhancement 547 ofenhancing atmosphere-ocean coupling by considering an extremely high vertical 548 resolution in the first few meters of the ocean model improves MJO simulations, and. It 549 also supports that this improvement is independent of AGCMs with different 550 configurations and physical parameterization schemes. Resolving the atmosphere-ocean 551 coupling may be more beneficial than modifying the atmospheric physical 552 parameterization schemes in GCM. In brief, this study suggested the effectiveness of air-553 sea coupling for improving MJO simulation in a climate model and demonstrated the 554 critical effect of being able to simulate warm layer. Additionally, the findings presented 555 here enhance our understanding of the physical processes that shape the characteristics of 556 the MJO.

558	Code and data availability. The model code of CAM5-SIT, ECHAM5-SIT and	
559	HiRAM-SIT is available at https://doi.org/10.5281/zenodo.5701538,	
560	https://doi.org/10.5281/zenodo.5510795 and https://doi.org/10.5281/zenodo.5701579.	
561	https://doi.org/10.5281/zenodo.5701579. Observational data used in this study include	格式化:縮排:第一行:0公分,不要貼齊格線
562	precipitation from Global Precipitation Climatology Project V1.3 (GPCP, 1° resolution),	
563	outgoing longwave radiation (OLR, OLR (1° resolution), and daily SST (Optimum	
564	Interpolated SST, 0.25° resolution) from the National Oceanic and Atmosphere	
565	Administration, and variables were obtained from the European Centre for Medium-range	
566	Weather Forecast Reanalysis-interim. <u>All experiments were conducted at the National</u>	
567	Center for High-performance Computing. All model codes and data availability presented	
568	here can be obtained by contacting the first author, Dr. Wan-Ling Tseng	
569	(wtseng@gate.sinicantu.edu.tw).	
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571	Author contributions. HHH and WLT have responsibility for conceptualization,	
572	including analyzing the data and writing the manuscript. YYL, <u>WLL</u> , PHK, BJT, CYT,	
573	and HCL developed the model and provided the simulations.	
574		
575	Competing interests. The authors declare that they have no conflict of interest.	
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825 **Figure 1.** Wave number<u>Wavenumber</u>−frequency spectra for equatorial 850–<u>h</u>Pa zonal

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wind (shading; $m^2 s^{-2}$) and precipitation (contours; $mm^2 day^{-2}$) over 10°S–10°N from (a)

be be be be be be be added and simulations by using the (b–d) coupled and (e–g) uncoupled AGCM.



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Figure 2. The 10°S–10°N averaged lag–longitude diagrams of intraseasonal precipitation

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(shading) and 10-m zonal wind (contour) correlated against precipitation at region (10° S-

5°N, 120°E–150°E) from (a) observations and simulations by using the (b–d) coupled

and (e–g) uncoupled AGCM. The contour interval is 0.1.

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Figure 3. Vertical profiles with respect to MJO phases averaged over 10°S EQ and
120°E 150°E for intraseasonal anomalies (i.e., with 20–100 day filtering) of Q1 (shading;
K day⁻¹) and Q2 (contours; K day⁻¹) from (a) observations and simulations by using the
(b d) coupled and (e g) uncoupled AGCM.







- Figure 3. continued, (c) CAM5-SIT and (d) CAM5.



- **Figure 3.** continued, (e) HiRAM-SIT and (f) HiRAM.

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Figure 4. Structure of simulated MJO in phase 4. The longitude-height cross-sections (averaged over 10°S–EQ) of the MJO scaled wind circulation (vector, u: m s⁻⁻¹, omega: 10^{-2} Pa s⁻⁻¹), Q1 (shading, unit: K day⁻⁻¹), and the horizontal moisture convergence (green contour, unit: 10^{-6} g kg⁻⁻¹ s⁻⁻¹) from (a) observations and simulations by-using the (b-d) coupled and (e-g) uncoupled AGCMAGCMs. The contour interval of the moisture convergence is 8×10^{-6} g kg⁻⁻¹ s⁻⁻¹; solid line is positive. Precipitation (shading, unit:

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865	mm day ^{-1}) and sea level pressure (contour, unit: hPa). Contour interval of sea level
866	pressure is 30 hPa; dashed line indicates negative.
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Figure 7. Scatter plots of various MJO indices based on observation and experiments (Table 1). (a) X-axis is the power ratio of east–west propagating waves. The east–west ratio was calculated through the division of by dividing the sum-of eastward-propagating power by the westward-propagating counterpart within wavenumbers 1–3 (1–2 for zonal wind), period 30–80 days. The-Y-axis is the pattern correlation of precipitation eastward propagation, as shown in Fig. 2. (b) Sum of RMM1 and RMM2 variances based on Wheeler and Hendon (2004).

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Figure 6. The $\frac{10^{\circ}\text{S}}{10^{\circ}\text{S}}$ averaged Hovmöller diagrams of MSE (shading; $J \text{ kg}^{-1}$) and

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precipitation (contour<u>; mm day⁻¹</u>) composite followed the RMM index from (a) observations and simulations by using the (b, e, j) coupled and (c, f, k) uncoupled AGCMAGCMs and (d, i, l) their difference. The contour interval is precipitation anomalies.





Figure 810. Phase 4 of the column-integrated MSE tendency (shading; J kg⁻¹ s⁻¹) and
precipitation (contours; mm day⁻¹) based on (a) observation, (b) ECHAM5-SIT, (c)
ECHAM5, (d) CAM5-SIT, (e) CAM5, (g) HiRAM-SIT, and (f) HiRAM. The nine-point
local smoothing is applied in the intraseasonal precipitation variance of HiRAM here
(contours only).

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Figure 911. (a–d) Relative role of each MSE component of phase 4 through the projection of the spatial pattern of each MSE budget over the MC (domain) onto the total MSE tendency pattern (Fig. 8a). (e–h) Decomposite of the total horizontal MSE advection based on zonal and meridional components. (i–l) Decomposite of the meridional horizontal MSE advection based on the MJO circulation and the mean state of moisture.





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