# The impact of lateral boundary forcing in the CORDEX-Africa ensemble over southern Africa

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- 15 Abstract
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17 The region of southern Africa (SAF) is among the most exposed climate change hotspots and is projected to experience 18 severe impacts across multiple economical and societal sectors. For this reason, producing reliable projections of the expected impacts of climate change is key for local communities. In this work we use an ensembleof 19 regional 19 20 climate model (RCM) simulations performed in the context of the Coordinated Regional Climate Downscaling 21 Experiment (CORDEX) – Africa and a set of 10 global climate models (GCMs) participating in the Coupled Model 22 Intercomparison Project Phase 5 (CMIP5), that were used as the driving GCMs in the RCM simulations. We are 23 concerned about the degree to which RCM simulations are influenced by their driving GCMs, with regards to monthly 24 precipitation climatologies, precipitation biases and precipitation change signal, according to the Representative 25 Concentration Pathway (RCP) 8.5 for the end of the 21st century. We investigate the degree to which RCMs and 26 GCMs are able to reproduce specific climatic features over SAF and over three sub-regions, namely the greater Angola 27 region, the greater Mozambique region and the greater South Africa region. We identify that during the beginning of 28 the rainy season, when regional processes are largely dependent on the coupling between the surface and the 29 atmosphere, the impact of the driving GCMs on the RCMs is smaller, compared to the core of the rainy season, when 30 precipitation is mainly controlled by the large-scale circulation. In addition, we show that RCMs are able to counteract 31 the bias received by their driving GCMs, hence, we claim that the cascade of uncertainty over SAF is not additive, but 32 indeed the RCMs do provide improved precipitation climatologies. The fact that certain bias patterns during the 33 historical period (1985-2005) identified in GCMs are resolved in RCMs, provides evidence that RCMs are reliable 34 tools for climate change impact studies over SAF.

35

# 36 1 Introduction

- 38 The region of southern Africa (SAF) is among the most exposed climate change hotspots (Diffenbaugh and Giorgi,
- 39 2012) and is projected to experience severe impacts across multiple economical and societal sectors (Conway et al.,
- 40 2015; Masipa, 2017; Shew et al., 2020). Poverty, food insecurity, and high levels of malnutrition (Misselhorn and
- 41 Hendriks, 2017) render SAF particularly vulnerable to the impacts of climate change (Casale et al., 2010; Luan et al.,
- 42 2013; Wolski et al., 2020). In addition, the population's reliance on rain-fed agriculture makes strategic planning
- 43 necessary, as it aims to mitigate the impact of climate change on local communities.
- 44 Global climate models (GCM) participating in the Coupled Model Intercomparison Project Phase 5 (CMIP5) (Taylor
- 45 et al., 2012) project a significant decline in annual precipitation over SAF (IPCC and Stocker, 2013), with the most
- 46 pronounced changes projected under representative concentration pathway 8.5 (RCP8.5) (Sillmann et al., 2013). This
- 47 reduction is also identified in the regional climate model (RCM) simulations performed in the context of the
- Coordinated Regional Climate Downscaling Experiment (CORDEX) Africa domain (Nikulin et al., 2012; Giorgi 49 and Gutowski, 2015). More specifically, according to CORDEX-Africa simulations, annual precipitation is expected
- 50 to decline by up to 50% by the end of the 21st century (Pinto et al., 2018), while duration of dry spells is projected to
- 51 increase (Dosio et al., 2019). Despite this, extreme rain events are expected to increase in frequency and intensity
- 52 (Pinto et al., 2016; Abiodun et al., 2019). Nevertheless, for a global warming level of 2 °C, certain parts of SAF
- 53 (northern Angola, Zambia, northern Mozambique, and eastern South Africa) are projected to experience precipitation
- 54 increase during specific times of the year (Maúre et al., 2018).

- 55 The question of whether or not RCMs produce demonstrable added value relative to their driving GCMs, has often 56 fueled debate between the RCM and GCM modelling communities (Lloyd et al., 2020). The outcome of the debate is 57 not binary. The literature provides ample evidence that there is indeed evidence of added value in RCMs, but it is 58 dependent on the region examined, on the season, and the climate mechanisms that are at play (Luca et al., 2016, Feser 59 et al., 2011). RCM ensembles such as those developed within CORDEX-Africa endeavor to provide added value, by 60 dynamically downscaling historical and scenario simulations originating from coarse resolution GCMs (Dosio et al., 61 2019). The added value in RCM simulations arises as a result of their higher horizontal resolution (<50 km), which 62 makes it possible for atmospheric waves and synoptic scale disturbances to be represented in a more realistic manner. 63 An additional aspect that further contributes towards this end, is the more accurate representation of land surface 64 characteristics (topography, land use etc.) in RCMs (Di Luca et al., 2013). Moreover, the physics of an RCM can be 65 targeted for processes specific to the region it is being run for, giving it a local advantage over GCMs that may have 66 had their physics developed for global applications. Nevertheless, RCMs also are accompanied by a set of model 67 deficiencies that affect the final output of the downscaled data (Boberg and Christensen, 2012). In Sørland et al. (2018) 68 it is reported that although RCM biases are affected by the driving GCMs, they are nonetheless not additive, a result 69 that counters the common "cascade of uncertainty" criticism. Still, uncertainty arising from both the driving GCM 70 (Moalafhi et al., 2017) and the downscaling RCM affect the final product (Nikulin et al., 2012), and it is important to 71 diagnose the sources and causes of these errors (Déqué et al., 2012).
- 72 Attributing total uncertainty to its respective components is key for a better assessment of the reliability of RCM
- 73 simulations (Christensen and Kjellström, 2020). GCMs provide the lateral boundary conditions to the RCMs and each
- 74 RCM receives, absorbs, and modulates the received atmospheric forcing in different ways, depending on the numerical

75 formulations and parameterization schemes employed. Discerning between the signal received from the GCM and the 76 signal produced by the RCM is critical for assessing the robustness with which different modelling systems are able 77 to accurately reproduce observed climatologies and generate reliable estimates of the expected climate change. In 78 addition, the manner in which an RCM responds to the atmospheric forcing provided by a GCM can be region specific 79 (Rana et al., 2020; Wu and Gao, 2020) (e.g., regions located in close proximity to the boundaries of the RCM domain 80 can be more severely affected by the driving GCMs, than regions at the center of the RCM domain or there can be 81 region specific response around complex topography versus lowlands). Also, the degree to which an RCM is 82 influenced by the driving GCM can be process specific. For instance, when there is a strong large-scale circulation 83 signal that is introduced to an RCM domain (e.g. advective mid-latitude storms), it is likely that the RCM will be able 84 to reproduce the information that is received at its lateral boundaries, however, the GCM's impact on the RCM 85 simulation may also vary depending on how far a region lies from the RCM domain boundaries (Kim et al., 2020). If, 86 however, the large-scale forcing is weak, then the atmospheric conditions simulated within the RCM domain are more 87 dependent on the dynamic and thermodynamic processes employed by the RCM (e.g. convective thunderstorms). 88 In this work we aim to assess whether it is the RCMs or their driving GCMs that dominate monthly precipitation 89 climatology, monthly precipitation bias and climate change signal over SAF. We take into account the region-specific 90 characteristics of this question by analyzing SAF and three subregions, namely southeastern Angola, Mozambique, 91 and South Africa. We also consider the different atmospheric processes that are at play over each region by analyzing 92 monthly climatologies. Precipitation over SAF results from various atmospheric processes that are highly variable 93 during the rainy season (Oct-Mar), so by performing the analysis on a monthly basis, we are able to indirectly study 94 how certain processes are reproduced by GCM and RCM simulations. In order to differentiate between the signal 95 emanating from the RCMs and that emanating from their driving GCMs, we use the analysis of variance (ANOVA) 96 in both the GCM and the RCM ensembles (Déqué et al., 2007, 2012). Since the information provided by RCMs will 97 eventually be used by both climate and non-climate scientists, especially in light of climate change impact studies, we 98 aim to provide some information with regards to how much each RCM is affected by its driving GCM and what 99 climate change signals are consistent in both RCMs and GCMs.

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# 101 **2 Material and methods**

### 102 **2.1 Data**

103 The data analyzed in the current work consist of RCM simulations performed in the context of CORDEX-Africa, a 104 set of simulations performed in the context of CMIP5, the CHIRPS satellite rainfall product (Funk et al., 2015), the 105 ERA5 reanalysis dataset (Hersbach et al., 2020), the CRU gridded observational dataset (Harris et al., 2020), and the 106 MSWEP precipitation product (Beck et al., 2019). More specifically, the CORDEX-Africa simulations selected are 107 those that were driven by more than two GCMs (at least three simulations available using the same RCM driven by at 108 least three different GCMs) and for which there are runs available for both the historical and the future period under 109 RCP8.5. All RCMs employed a relaxation zone which was either 10 grid-points wide (CCLM4-8-17.v1) or eight 110 points wide (RCA4.v1 and REMO2009.v1). Relaxation in all RCM simulations was performed using Davie's method 111 (Davies, 1976, 1983). The CMIP5 GCMs selected are the ones that were used to drive the CORDEX-Africa 112 simulations. All RCM and GCM simulations were retrieved from the Earth System Grid Federation. The CHIRPS 113 rainfall product is used for calculating precipitation biases in both the CORDEX-Africa and CMIP5 ensembles.. 114 CHIRPS is available at 5 km spatial resolution and for the calculation of biases it was remapped to the coarser 115 resolution grid using conservative remapping. A fact that is commonly obscured is that observational datasets are 116 often considered as "ground truth" however, they are also subject to multiple sources of uncertainty, caused by the 117 underlying station datasets used, the statistical algorithms employed in spatial interpolation methods or the algorithms 118 employed in satellite rainfall products (Le Coz and van de Giesen, 2020). More specifically, over southern Africa, it 119 was found that gauge-based products employing spatial interpolation methods displayed high uncertainty over regions 120 where the underlying station network was scarce, mainly over the Angola region and the northern parts of SAF 121 (Karypidou et al., 2022). In addition, it was found that this attribute was inherited by all rainfall satellite products that 122 were using direct merging techniques with gauge-based datasets. Here, we display monthly precipitation during the 123 historical period (1985-2005) across four observational datasets, given in Table 1. More specifically, we use the 124 CRUv4.06 dataset (Harris et al., 2020), which is a purely gauge-based product (employing station data and a spatial 125 interpolation algorithm to provide a spatially continuous gridded product), ERA5 (Hersbach et al., 2020), which is a 126 reanalysis product, CHRIPS (Funk et al., 2015), which is a satellite rainfall product, and finally, MSWEP (Beck et al., 127 2017) which is a product merging station data, satellite data and dynamic model outputs. All datasets have been 128 analyzed using monthly mean values. The results are displayed in Fig. 1. As shown, there is a substantial agreement 129 among them, both with regards to the spatial and temporal pattern of monthly precipitation over southern Africa. 130

Table 1 Gauge-based, satellite, reanalysis and merged precipitation products analyzed over the study region usingmonthly mean precipitation for the period 1985-2005.

Dataset	Resolution	Frequency	Туре	Period
CRU TS4.06	0.5°	Monthly total	Gauge-Based	1901-2021
MSWEP	0.1°	3-hourly	Merged product	1979-present
CHIRPS.v2	0.05°	Daily totals	Satellite	1981-present
ERA5	~0.25 °	Hourly	Reanalysis	1979-present

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134 Our analysis is split into two sections: the qualitative and the quantitative part. In the qualitative part, we aim to 135 identify if RCMs exhibit systematic behavior relative to their driving GCMs. For the quantitative part, we aim to 136 quantify the degree to which monthly precipitation climatologies, biases and climate change signals are affected by 137 the RCMs or by the driving GCMs. For this purpose, we employ an ensemble of 19 RCM simulations driven by 10 138 GCMs and the driving GCMs that were used to provide the lateral boundary conditions to the RCMs. From the 139 historical simulations we use the period 1985-2005 and from the projection simulations we use the period 2065-2095 140 under RCP8.5. All CORDEX-Africa simulations are available at ~50 km horizontal resolution and are shown in Table 141 2, while the horizontal resolution for the driving GCMs is provided in Table 3. 142

Table 2 Input RCM and GCM simulations used. The CORDEX-Africa simulations are given in the columns. The
 CMIP5 GCMs used as driving fields are given in the rows.

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CanESM2		$\checkmark$	
CNRM-CM5		$\checkmark$	
EC-EARTH		$\checkmark$	
HadGEM2-ES		$\checkmark$	
MIROC5		$\checkmark$	
MPI-ESM-LR	$\checkmark$	$\checkmark$	
IPSL-CM5A-LR			$\checkmark$
IPSL-CM5A-MR			
CSIRO-Mk3-6-0		$\checkmark$	
GFDL-ESM2M		$\checkmark$	
NorESM1-M			

146 **Table 3** Horizontal resolution of the CMIP5 GCMs used as driving fields in the CORDEX-Africa simulations.

GCMs	Latitude Res.	Longitude Res.	References	
CanESM2	2.7906 °	2.8125 °	(CCCma, 2017)	
CNRM-CM5	1.40008 °	1.40625 °	(Voldoire et al., 2013)	
CSIRO-Mk3-6-0	1.8653 °	1.875 °	(Jeffrey et al., 2013)	
EC-EARTH	1.1215 °	1.125 °	(Hazeleger et al., 2010)	
GFDL-ESM-2M	2.0225 °	2.5 °	(Dunne et al., 2012)	
HadGEM2-ES	1.25 °	1.875 °	(Collins et al., 2011)	
IPSL-CM5A-MR	1.2676 °	2.5 °	(Dufresne et al., 2013)	
	1.894737 °	3.75 °		
IPSL-CM5A-LR				
MIROC5	1.4008 <sup>o</sup>	1.40625 °	(Watanabe et al., 2010)	
MPI-ESM-LR	1.8653 °	1.875 °	(Giorgetta et al., 2013)	
NorESM1-M	1.894737 °	2.5 °	(Bentsen et al., 2013)	

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# 148 **2.2 Methods**

149 The study region and subregions considered are depicted in **Fig. 2**. The subregions are selected based on particular

150 phenomena and processes that are of importance for the seasonal cycle of precipitation. More specifically, Region A

151 (hereafter: SAF-All) encompasses the entire SAF region and is defined as the area extending from 10 °E to 42 °E and

152 from 10 °S to 35 °S. Region B (hereafter: Angola region) was selected to capture the main region of interest with

regards to the Angola Low (AL) pressure system (Howard and Washington, 2018) and covers the area extending from

154 14 °E to 25 °E and from 11 °S to 19 °S. Region C (hereafter: East Coast) covers the eastern coastline, Mozambique and

parts of the surrounding countries and extends from 31 °E to 41 °E and from 10 °S to 28 °S. Lastly, we define the SAfr

region, which covers much of South Africa and extends from 15 °E to 33 °E and from 26 °S to 35 °S.

157 One of the primary synoptic scale features controlling precipitation over SAF is the Angola Low (AL) pressure system

158 (Reason and Jagadheesha, 2005; Lyon and Mason, 2007; Crétat et al., 2019; Munday and Washington, 2017; Howard

and Washington, 2018), which has a distinct seasonal cycle throughout the rainy season (Oct-Mar). This motivates its

160 selection as a subregion for our study. The AL exhibits heat low characteristics during Oct-Nov and tropical low

161 characteristics during Dec-Feb (Howard and Washington, 2018). This suggests that during Oct-Nov, since

- 162 precipitation is thermally induced and thus tightly dependent on land-atmosphere interactions, it will be the RCMs
- that are dominant in controlling precipitation processes. As the rainy season progresses, the AL changes to a tropical
- 164 low pressure system and its formation is controlled by the large-scale circulation that is characterized by easterly
- 165 winds from the Indian Ocean that enter SAF via the Mozambique Channel. Since precipitation during Dec-Feb is
- 166 caused by the tropical low phase of the Angola Low pressure system, which is the monthly aggregate of frequent
- transient low pressure systems crossing southern African (Munday and Washington, 2017; Howard and Washington,
- 168 2018; Howard et al., 2019), we hypothesize that the impact of the driving GCMs during Dec-Feb is enhanced.
- In addition, the wider area of Mozambique is a region where the majority of tropical cyclones/depressions make
   landfall over continental SAF. The occurrence of transient low-pressure systems is enhanced during the core of the
- rainy season (Dec-Feb) and thus we are interested in identifying whether the impact of the driving GCMs is dominant
- during Dec-Feb. Also, since according to Muthige et al. (2018), the number of landfalling tropical cyclones under
- 173 RCP8.5 is expected to decline in the future, we are interested in examining whether the impact of the driving GCMs
- to the RCM simulations will be altered under future conditions. Hence, the East Coast region is used as a region
- 175 indicative of the landfalling tropical cyclones/depressions. Lastly, we examine the area encompassing South Africa
- 176 (hereafter: SAfr) due to its strong land-ocean gradients, complex topography and strong seasonal variations in rainfall
- 177 zones.
- 178

# 179 2.2.1 Monthly precipitation climatology and bias

180 In order to assess whether or not the RCMs improve the monthly precipitation climatologies relative to their driving 181 GCMs, we employ a method initially described in Kerkhoff et al. (2015) and later employed by Sørland et al. (2018), 182 which displays in a scatterplot form the RCM increment as a function of the GCM bias. More specifically, the RCM 183 increment is described as the difference of each RCM simulation from its driving GCM (RCM-GCM). The RCM 184 increment is plotted against the GCM bias (GCM-OBS). This plot displays whether or not the RCM increment 185 counteracts the GCM bias. If the RCM increment reduces the GCM bias, then points are expected to lie along the y=-186 x line (negative correlation). On the contrary, if the RCM increment increases the GCM bias, then points are expected to lie along the y=x line (positive correlation). If the RCM increment and the GCM bias are independent, then points 187 188 are expected to be scattered randomly.

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# 190 2.2.2 Climate change signal

- 191 The climate change signal (CCS) is identified as the monthly mean difference between the future period (2065-2095)
- 192 minus the historical period (1985-2005). As an exploratory method of inspecting the differences between each RCM
- simulation from its respective driving (GCM) for monthly precipitation during both the historical and the future period,
- 194 we subtract the downscaled precipitation field  $(RCM_{DRI})$  from its driving (DRI) GCM, as in Eq. 1:

$$DIFF = RCM_{DRI} - DRI$$
 Eq. 1

- 195 If *DIFF>0* (monthly precipitation), then we assume that the RCM enhances precipitation, relative to its driving GCM,
- 196 while if *DIFF*<0 then we assume that the RCM reduces precipitation, relative to its driving GCM. This method is
- 197 employed in the qualitative part of the analysis.

# 199 2.2.3 Analysis of variance

200 Additionally, we employ an ANOVA decomposition (Déqué et al., 2007, 2012), in order to understand whether it is

the RCMs or their respective driving GCMs that are responsible for controlling precipitation over the historical (1985-

202 2005) period and the future period (2065-2095). For this purpose, we use two quantities, namely the "inter-RCM"

- variance and the "inter-GCM" variance, as in Déqué et al. (2012). More specifically, the "inter-RCM variance" is the
- variance between all the RCM simulations that are driven by the same GCM. Subsequently, all variances obtained for

all driving GCMs are averaged.

$$RCM_{var} = \frac{1}{N_{RCM}} \Sigma_{RCM_j} (P_j - \overline{P}_j)^2$$
 Eq. 2

The quantity  $P_j$  is the monthly precipitation obtained from all RCMs (*j*) that were driven by the same GCM. The quantity  $P_j$  is the mean monthly precipitation obtained by all RCMs (*j*) that share a common driving GCM. As a final step, the average of all variances is calculated.

$$Inter\_RCMvar = \frac{\sum GCM_j}{N}$$
 Eq. 3

209 Similarly, the "inter-GCM" variance describes the variance between all the GCMs that were used to drive a single

210 RCM and then averaged over all the variances obtained for all driven RCMs. N refers to all available simulations

211 contributing to either the inter-RCM or inter-GCM variance.

$$GCM_{var} = \frac{1}{N_{GCM}} \Sigma_{GCM_i} (P_i - \overline{P}_i)^2$$
 Eq. 4

212 Likewise, the average of all variances is calculated.

$$Inter\_GCMvar = \frac{\sum RCM_i}{N}$$
 Eq. 5

Both "inter-RCM" and "inter-GCM" variances are normalized by the total variance obtained for all months, as in
(Vautard et al., 2020), so that all values, both for historical and projection runs and RCM and GCM simulations are
comparable. A schematic of the process described above is provided in Fig. S1.

#### 216 **3 Results**

217 The October and January precipitation climatologies for the period 1985-2005 are displayed in Fig. 3 and Fig. 4, 218 respectively. We use October and January climatologies, because these two months may be considered representative 219 of the distinctive processes controlling precipitation over SAF (see section 2.2). We avoid using seasonal means, since 220 the temporal averaging of precipitation often obscures attributes that are better identified on a monthly level. The 221 remaining months of the rainy season are shown in the supplementary material. More specifically, we use October as 222 it is the month that heralds the onset of the rainy season and is often associated with weak precipitation and convective 223 processes that are mainly due to excess surface heating. Also, it is during October that the most intense formations of 224 the heat low expression of the AL are observed. Likewise, we use January as it represents the core of the rainy season, 225 with very strong large-scale precipitation, mainly from the southeastern (SE) part of SAF, through transient synoptic 226 scale low pressure systems.

- As it is displayed in Fig. 3, precipitation during October occurs in the northwestern (NW) part and the SE part of SAF.
- 228 Precipitation in the NW part is associated with the southward migration of the rainband (Nicholson, 2018), while
- 229 precipitation over the SE part is associated with an early formation of the tropical temperate troughs (TTTs). As it is
- evident from Fig. 3, CCLM4-8-17.v1 reduces precipitation amounts (approximately 4-5 mm/d) in both the NW and
- 231 SE parts of SAF, relative to the lateral boundary forcing it receives. On the contrary, RCA4.v1 systematically enhances
- 232 precipitation amounts, regardless of the driving GCM. Also, precipitation according to RCA4.v1 displays a very
- 233 localized spatial pattern with very strong spatial heterogeneity. This attribute is indicative of specific structural model
- biases related to how high-resolution elevation affects precipitation in RCA.v1 (Van Vooren et al., 2019). This is
- particularly evident in the mountainous region over coastal Angola. REMO2009.v1 also enhances precipitation
- amounts regardless of the driving GCM, however in a much more spatially homogeneous way than RCA4.v1.
- 237

238 As it is shown in Fig. 4, high precipitation amounts during January are observed over the northern and eastern regions 239 of SAF. During January, differences among the driving GCMs become more pronounced, however, all models agree 240 on the dry conditions observed over the southwestern (SW) part of SAF. With regards to the downscaled products, 241 CCLM4-8-17.v1 produces high precipitation amounts over the central part of northern SAF but displays varying 242 amounts of precipitation over the coastal parts, depending on the driving GCM. RCA4.v1 downscales precipitation in 243 a very localized pattern and enhances precipitation over areas with steep terrain. Also, precipitation over the lake 244 Malawi region is particularly enhanced, regardless of the driving GCM. REMO2009.v1 displays similar precipitation 245 amounts to its driving GCMs, however it enhances precipitation over the coastal part of Angola and Mozambique and 246 yields excess precipitation over lake Malawi, when it is driven by HadGEM2-ES and IPSL. The monthly climatologies 247 for the rest of the rainy season months are shown in the supplementary material (Fig. S2 - S5).

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249 In Fig. 5 the monthly precipitation bias for October over SAF is shown. Biases are calculated using the CHIRPS 250 satellite rainfall product as a reference. With the exception of IPSL-CM5A (LR/MR) and CanESM2, all other GCMs 251 display a consistent wet bias that ranges from 0.1 - 30 mm/d (in isolated areas), with most values over SAF falling 252 between 0.1 to 3 mm/d. Overall, the same pattern generally holds for RCA4.v1 and REMO2009.v1, while CCLM4-253 7-18.v1 displays a systematic dry bias that reaches 2 mm/d, when forced with EC-EARTH, MPI-ESM-LR and 254 HadGEM2-ES. More specifically, concerning RCA4.v1, the region where the highest wet bias is observed is over the 255 Angola region and over the NW parts of coastal Angola. The dry bias regions in RCA4.v1 are identified over the 256 northeastern (NE) and southern parts of SAF and they rarely exceed -1.5 mm/d.

The monthly precipitation biases for January over SAF are shown in **Fig. 6**. There is a prevailing wet bias identified in almost all GCMs that typically reaches 3 - 3.5 mm/d, however, in MIROC5, NorESM and GFDL-ESM2M the biases exceed 5 mm/d over a major part of SAF. Another feature that systematically appears in GCMs is a dry bias over the NE part of SAF. This bias pattern is also identified in almost all RCMs with a systematic wet bias over central and western SAF and a region of dry bias in the NE part. More specifically, in RCA4.v1 and REMO2009.v1, there is a dry bias over the NE and the southern coast of SAF, while in CCLM4-7-18.v1 the dry bias over the eastern region extends inland to cover almost the whole of Mozambique. Another interesting feature is identified around the Angolan 264 coast, where wet biases exceed 5 mm/d, while over an adjacent region there is a strip of dry biases that reaches 2 265 mm/d. Considering the abrupt increase in elevation and the steep escarpment over the coastal Angola-Namibia region, 266 this is possibly caused by local circulation driving excess moisture transport from the Atlantic Ocean and overly 267 aggressive orographically triggered precipitation on the windward side of the topography (wet bias strip), that leads 268 to dry conditions in the lee side (dry bias strip) (Howard and Washington, 2018). It is noted that the wet bias over the 269 coastal region is identified in most of the RCA4.v1 simulations and in all REMO2009.v1 simulations, however, the 270 dry bias in the lee side is seen in CCLM4-7.18.v1 only. The monthly precipitation biases for the rest of the rainy 271 season months are shown in the supplementary material (Fig. S6 - S9). Monthly precipitation biases averaged over

272 southern Africa (SAF-All) and the three subregions examined are displayed in Fig. S10.

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274 A more detailed look into specific subregions over SAF where certain climatological features and processes are at 275 play, can help gain a more in-depth insight of how the precipitation biases are distributed during each month of the 276 rainy season and whether or not the RCMs display any improvement relative to their driving GCMs. For this reason, we plot the RCM increments (RCM-GCM) as a function of the GCM biases (GCM-OBS). The results for October 277 278 over SAF and the three subregions are displayed in Fig. 7. In general, all points are identified close to the y=-x line, 279 hence there is a tendency that RCMs systematically counteract GCM biases. There are nonetheless substantial 280 differences between the four regions. For instance, over SAF-All region the IPSL-MR GCM has a wet bias equal to 281 almost 1 mm/day, which is counteracted by RCA by an increment of -0.4 mm/month. Other RCA simulations when 282 driven by HadGEM2-ES, CNRM-CM5 or EC-EARTH, display an RCM increment similar to that of the GCM bias, 283 hence RCMs mitigate the GCM bias. Over the Angola region most of the RCMs display an RCM increment that is 284 nearly equal to the GCM bias. Similar conclusions are drawn for the East Coast and the South Africa regions. The 285 RCM increments as a function of the GCM biases for January are shown in Fig. 8. For all regions except of the SAfr 286 region points are lying closely to the y=-x line, hence overall, RCM increments counteract the GCM biases. The 287 scatterplots for the rest of the months of the rainy season are shown in the supplementary material (Fig. S11 - S14). 288 In general, although precipitation in RCMs is strongly dependent on the driving GCMs, the RCM increments are 289 anticorrelated to the GCM biases. The anticorrelations are particularly strong for the Dec-Mar period of the rainy 290 season over SAF-All region and the Angola and East Coast subregions,, but not over the SAfr subregion (Fig. S15).

291 In Fig. 9 the analysis of variance of all RCMs driven by the same GCM and of all GCMs driving the same RCM is 292 shown. Values are spatially averaged for southern Africa and the three subregions examined (land grid points only) 293 and refer to the period 1985-2005. In the SAF-All region, monthly precipitation during October and November is 294 dominated by the RCMs, while during Jan-Mar, it is the GCMs that play a dominant role in formulating precipitation 295 over SAF. This is indicative of the impact that RCMs exert on the formulation of precipitation during Oct-Nov-Dec 296 and the fact that the contribution from the GCMs becomes secondary during Jan-Feb-Mar. The fact that the 297 contribution of RCMs during Oct-Nov-Dec dominates can be attributed to the fact that precipitation during these 298 months is the result of regional processes that are largely dependent on the coupling between the surface and the 299 atmosphere. The land-atmosphere coupling is a characteristic resolved by the RCMs, through mechanisms simulated by land surface models, planetary boundary layer schemes, convection schemes etc., making the contribution of the
large scale drivers from the GCM less important. However, during Jan-Feb-Mar we observe that the contribution from
the RCMs is reduced, and it is the GCMs that control the monthly precipitation variability. This can be attributed to
the fact that during Jan-Feb-Mar it is the large-scale circulation that modulates precipitation over SAF and the GCMs
control the transient synoptic scale systems that enter SAF. Over the Angola region, the pattern is similar, however,
October and November precipitation is closer to the diagonal, indicating an almost equal contribution by both RCMs
and GCMs. While, Dec-Feb move closer to the diagonal, precipitation during March is mainly formulated by GCMs.

307 Over the East Coast region, October remains equally influenced by both RCMs and GCMs, however November and

308 December are dominated by the influence of the RCMs. Over the SAfr region, precipitation for all months except309 October is influenced by GCMs.

310 In Fig. 10 the climate change signal for October precipitation over SAF is depicted. All GCMs agree that October 311 precipitation will decline by approximately 2 mm/d over the regions that experience precipitation during this period, 312 namely the NW and SE parts of SAF. In addition, some GCMs display a minor precipitation increase (0 - 0.5 mm/d) 313 over the SW part of SAF, while some others display a slightly larger (1.5 mm/d) precipitation increase over the eastern 314 parts of South Africa. Moreover, it is seen that the precipitation change signal is replicated by almost all the 315 downscaling RCMs, nevertheless, there are some considerable differences between the RCMs and their driving GCM. 316 More specifically, RCA4.v1 in almost all simulations, displays a larger reduction of the precipitation change signal 317 relative to its driving GCM, both in magnitude and in spatial extent. Precipitation changes in CCLM4-8-17.v1 seem 318 to follow closely the driving GCMs, with a severe exception when CNRM-CM5 is used (the NW part of SAF 319 experiences precipitation decline almost 4 mm/d larger than in the driving GCM). The case for when CCLM4-8-17.v1 320 is driven by CNRM-CM5 may be partly caused by the fact that the historical simulation had erroneously used lateral 321 boundary conditions from a different simulation member of CNRM-CM5 (Vautard et al., 2020). In REMO2009.v1, a 322 precipitation decline region is identified in the NW part of SAF and a minor precipitation increase over eastern South 323 Africa is identified. This pattern for REMO2009.v1 appears to be consistent, regardless of the driving GCM, which 324 could be partly explained by the fact that precipitation during October is thermally driven, and thus the impact of the 325 driving GCMs is not dominant. The precipitation increase in the SE part of SAF is seen over a localized region and 326 could be associated with an increase in the precipitation caused by the Tropical Temperate Troughs (TTTs) (Ratna et 327 al., 2013; Macron et al., 2014; Shongwe et al., 2015).

328 In Fig. 11 the climate change signal for precipitation during January is displayed. The precipitation change displays a 329 very strong regional heterogeneity. It is also observed that although there is a strong precipitation change signal in all 330 driving GCMs, not all RCMs downscale the signal uniformly. It is also notable that, even among the GCMs, there are 331 substantial differences in the spatial extent and sign of the change. Nevertheless, there are some features that appear 332 in most of the simulations. For instance, almost all GCMs project drying conditions over the SW part of SAF, 333 especially the coastal zone. The precipitation decline is equal to -1 mm/d. This could be explained by a consistent 334 increase in frequency of the Benguela Coastal Low-Level Jet events (Lima et al., 2019; Reboita et al., 2019), causing 335 oceanic upwelling and a subsequent reduction in precipitation. In addition, there is a subset of GCMs that identify a

severe precipitation decline over the Angola region that reaches -5 mm/d. Furthermore, in many GCMs a region of
 precipitation increase is identified, extending from central SAF towards SE SAF. This is particularly identifiable in
 HadGEM2-ES, and the RCM simulations forced by it. The monthly precipitation changes for the rest of the rainy
 season months is shown in the supplementary material (Fig. S16 – S19).

340 In Fig. 12 the spatial average of the RCM<sub>DRI</sub> – DRI difference (DIFF) is shown for the whole of SAF (land grid points 341 only). If DIFF>0, it indicates that the RCMs enhance precipitation relative to their driving GCM, while if DIFF<0 342 then RCMs reduce precipitation relative to their driving GCM. As it is shown, DIFF values for October are symmetric 343 around zero and do not exceed the range (-1) - 1 mm/d, either for the historical or the future period. Almost symmetric 344 are the DIFF values for November also, however, their spread increases, reaching values that range (-2) - 2 mm/d. 345 During both months, CCLM4-7-18.v1 always reduces precipitation amounts relative to the lateral boundary forcing it 346 receives, regardless of the driving GCM or the period examined. During December, the precipitation reduction in all 347 RCMs becomes more pronounced and reaches values equal to -3 mm/d. In January, only one RCM enhances 348 precipitation (~0.5 mm/d) with all the rest displaying precipitation reduction. During February and March, some 349 positive DIFF values re-appear for some simulations. Overall, there is a strong linear relationship between DIFF in 350 1985-2005 and 2065-2095, which further implies that if an RCM is driver than its driving GCM during the historical 351 period, then it will retain this attribute during the future period also. Nonetheless, we highlight that RCMs preserve 352 precipitation change signal generated by the GCMs. Considering that one primary shortcoming of the GCMs over 353 SAF is their wet bias and that RCMs systematically reduce this bias, we gain increased confidence that RCMs can be 354 reliably used for assessments of future precipitation change.

355 In Fig. 13 the spatial average of the precipitation change signal from RCMs and their driving GCMs relative to 1985-356 2005 for SAF and the three subregions is displayed. Concerning SAF-All region, all models during October identify 357 a precipitation reduction at the end of the 21<sup>st</sup> century that can reach -0.9 mm/d. The precipitation decline signal is 358 also identified during November, indicating a later onset of the rainy season over SAF, as it has already been shown 359 for CMIP5 (Dunning et al., 2018). During December and January there is a variability in the spatial averages of the 360 change signal that ranges from -0.8 to 0.8 mm/d. A similar pattern is also seen for February and March. The distribution 361 of the ensemble members for both RCMs and GCMs over the Angola and the East Coast subregions is similar to that 362 of SAF-All region, however over the Angola and the East Coast subregions precipitation change values display a 363 considerably larger spread. Over the SAfr region the climate change signal is symmetric around 0 for all months, 364 except March.

- 365 The impact of the RCMs and GCMs on monthly precipitation for the period 2065-2095 under RCP8.5 is shown in
- **Fig. 14**. The SAF-all region and the Angola subregion show a similar behavior as in the historical period (**Fig. 9**),
- 367 however, over the East Coast subregion, precipitation during March is more strongly dominated by GCMs. The same
- 368 observation holds also over the SAfr subregion. In general, regional processes continue to dominate contributions to
- 369 variability during Oct-Nov, while large scale features dominate during Dec-Mar.

# 371 **3 Discussion and conclusions**

372 In this work we investigate whether it is the RCMs or the driving GCMs that control the monthly precipitation 373 variability, monthly precipitation biases and the climate change signal over southern Africa and how these 374 relationships vary from month-to-month throughout the rainy season. Our work examines monthly precipitation 375 variance caused by the lateral boundary conditions and does not examine parameter and structural uncertainty 376 separately in the multi-RCM and the multi-GCM ensembles analyzed. More specifically, we use an ensemble of 19 377 RCM simulations performed in the context of CORDEX-Africa and their driving GCMs. According to the literature 378 (Munday and Washington, 2018), precipitation in the CMIP5 simulations is characterized by a systematic wet bias 379 over southern Africa. In the CORDEX-Africa RCM simulations there is also a persistent wet bias, especially during 380 the core of the rainy season (DJF), however, it is of smaller magnitude and of smaller spatial extent. It is found that 381 RCMs reduce monthly precipitation compared to their driving GCMs for both historical (1985-2005) and future 382 periods (2065-2095) under RCP8.5.

383 The Angola region, which encompasses the activity of the Angola Low pressure system, displays the highest wet 384 biases with regards to mean monthly precipitation, among all subregions examined. The month with the largest wet 385 biases (for the Angola region) is found to be November, while the month with the largest precipitation bias spread is 386 found to be March. In all months except October, the CMIP5 GCMs display biases that are approximately 1 - 1.5 387 mm/d wetter than the wettest CORDEX-Africa RCM ensemble members. Over the East Coast region, representing 388 the wider area over Mozambique, the bias signal is reversed after January, with most of the RCMs displaying a dry 389 bias. Over the SAfr region, the majority of models display a consistent wet bias for all months of the rainy season. All 390 models (CMIP5 and CORDEX-Africa) display an intense dry bias in the NE part of SAF, which can be related to the 391 misrepresentation of the moisture transport entering the region from the Indian Ocean (Munday and Washington, 392 2018). In general, although RCMs display an improvement of precipitation biases relative to their driving GCMs, still 393 some bias patterns persist even in RCMs, calling for a process-based evaluation of specific climatological features 394 such as the formulation of the Angola Low and the transport of moisture from the NE part of SAF towards central 395 SAF.

396 More specifically, we found that CCLM4-7-18.v1 produces the smallest bias when the whole of SAF is examined, 397 however, it displays a systematic dry bias over the East Coast region (greater Mozambique region), hence, CCLM4-398 7-18.v1 should be used with caution over eastern SAF, especially if it is exploited within drought-related climate 399 services. Concerning RCA4.v1, we find a very regionally heterogeneous -almost pixelated- spatial pattern for 400 precipitation, which can be attributed to the sharp topography used (Van Vooren et al., 2019). RCA4.v1, due to the 401 large size of its ensemble, is optimal for analyzing its behavior under different driving GCMs. In general, we find that 402 RCA4.v1 is more prone to follow the signal received from the driving GCMs, contrary to what is observed for 403 CCLM4-7-18.v1. REMO2009.v1 presents a compromise between the behaviors of RCA4.v1 and CCLM4-7-18.v1. 404 It is highly recommended that when RCM simulations are used for the whole of SAF or a subregion thereof, the spread 405 and statistical properties of all available RCMs and their driving GCMs should be examined and an ensemble of RCMs

406 should be employed based on their ability to reproduce key climatic features of the region of interest. Increasing

- 407 evidence is provided that not all models are fit for constructing an ensemble mean (or median) for all regions (Her et
- 408 al., 2019; Raju and Kumar, 2020; Tebaldi and Knutti, 2007). Lastly, a very important aspect when the calculation and
- 409 characterization of biases is discussed for GCMs and RCMs, is that biases are assessed based on a satellite or gauge-
- 410 based product, which are often erroneously regarded as "the ground truth" (Harrison et al., 2019; Alexander et al.,
- 411 2020). Of course, the climate community is bound to work with the state-of-the-science products that are available,
- 412 however, biases and errors in the "observational datasets" should be kept in mind when the bias of climate models is
- 413 discussed. In this work we use the CHIRPS precipitation product, as it has been shown to outperform other satellite
- 414 precipitation products (Toté et al., 2015; Ayehu et al., 2018; Dinku et al., 2018).
- 415 Concerning the climate change signal, there is a strong agreement among all GCMs and RCMs that precipitation 416 during October will decrease by (-0.1) - (-1) mm/d, a fact associated with a projected later onset of the rainy season, 417 which is further linked with a northward shift of the tropical rain belt (Dunning et al., 2018; Lazenby et al., 2018). 418 The topic of reduced early rainfall over southern Africa for the end of the 21<sup>st</sup> century under all emission 419 scenarios/pathways has been examined extensively for the CMIP3 and CMIP5 GCM ensembles (Seth et al., 2011; 420 Cook and Vizy, 2021; Lazenby et al., 2018; Howard and Washington, 2019). A common observation in all CMIP5 421 GCMs for the early rainy season by the end of the 21<sup>st</sup> century is that instability over southern Africa reduces, surface 422 temperature increases, and the heat low phase of the Angola Low pressure system is strengthened (Howard and 423 Washington, 2019). However, rainfall decline in the CMIP5 ensemble over southern Africa should be additionally 424 considered in the context of the systematic precipitation biases already diagnosed in the historical simulations 425 (Munday and Washington, 2018; Howard and Washington, 2019). Considering that the systematic wet precipitation 426 bias is significantly reduced in the CORDEX-Africa ensemble relative to their driving CMIP5 GCMs (Karypidou et 427 al., 2022b), we gain confidence that future precipitation projections according to the CORDEX-Africa ensemble 428 provide a more plausible future scenario. For the rest of the months, the results are variable, indicating the need for a 429 multi-model approach, when climate change impacts are assessed. A feature that is identified in some GCMs and is 430 transferred to the downscaling RCMs, is a precipitation increase that extends from the central SAF region towards the 431 southeast. This result is consistent with previous work that shows an increase in frequency of landfalling cyclones 432 along the eastern seaboard of SAF (Muthige et al., 2018). Since tropical cyclones are a particular cause of severe 433 flooding events over Mozambique, there is an urgent need for planning and mitigation strategies in the region.
- Concerning precipitation variability and whether it is the RCMs or the driving GCMs that dominate monthly precipitation, we find that, as expected, over the whole of SAF (SAF-All region), October and November are dominated by RCMs, while during Dec-Mar it is the GCMs that mainly formulate the precipitation climatologies. This is explained by the fact that after December there is a strong large-scale forcing, which is provided to the RCMs by the lateral boundary conditions given through the GCMs. The results for the historical period are comparable to that for future projections.
- Lastly, it is imperative to highlight that the impact of the lateral boundary conditions on RCM simulations comprise only a portion of the potential sources of uncertainty in the CORDEX-Africa ensemble examined, therefore attributing entirely the variance of RCM simulations to the driving GCMs would be erroneous. Therefore, we mention that
- 443 uncertainty in RCM simulations can have a plethora of sources that are mainly categorized as parameter or structural

- 444 uncertainty (Günther et al., 2020; Howland et al., 2022). These types of uncertainty sources may relate to the
- 445 parameterization schemes employed by each RCM or assumptions and numerical choices involved in the dynamics
- 446 of each specific RCM. However, since within CORDEX-Africa only a limited number of variables is being made
- 447 available to the community, it would be impossible to meticulously comment on all possible sources of uncertainty
- 448 and assess the impact of their variance on monthly precipitation.

#### 449 *Code and data availability*

- For the data processing and statistical analysis we used the R Project for Statistical Computing (<u>https://www.r-</u> project.org/), the Climate Data Operators (CDO) (<u>https://code.mpimet.mpg.de/projects/cdo/</u>) and Bash programming routines. Processing scripts are available via ZENODO under DOI: <u>https://doi.org/10.5281/zenodo.5569984</u>. CMIP5 and CORDEX-Africa precipitation data were retrieved from the Earth System Grid Federation (ESGF) portal (<u>https://esgf-data.dkrz.de/projects/esgf-dkrz/</u>). The Climate Hazards Group InfraRed Precipitation with Station data
- 455 (CHIRPS) products were retrieved from: <u>https://www.chc.ucsb.edu/data/chirps</u>.
- 456

### 457 Supplement

- 458 The supplement related to this article is available online.
- 459
- 460 *Author contribution*
- 461 MCK, SPS and EK designed the research. MCK performed the analysis and prepared the manuscript. SPS, EK, LS
- and GN editted the manuscript and provided corrections.
- 463
- 464 *Competing interests*
- 465 The authors declare that they have no competing interests.

466

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**Figure 1**. Monthly mean precipitation climatology for the period 1985-2005.



Figure 2. Study region and subregions over southern Africa.





Figure 3. Monthly precipitation climatologies (mm/d) during October for the period 1985-2005. First column (from the left) displays precipitation from the driving GCMs and columns 2-4 display the downscaled precipitation output from RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- **Figure 3.** Continued.





Figure 4. Monthly precipitation climatologies (mm/d) during January for the period 1985-2005. First column (from the left) displays precipitation from the driving GCMs and columns 2-4 display the downscaled precipitation output from RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- **Figure 4.** Continued.



Figure 5. Monthly precipitation bias (model – CHIRPS in mm/d) during October for the period 1985-2005. First column (from the left) displays the biases in the driving GCMs and columns 2-4 display the biases in the downscaled precipitation output according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- 600 Figure 5. Continued.



Figure 6. Monthly precipitation biases (model – CHIRPS in mm/d) during January for the period 1985-2005. First
 column (from the left) displays precipitation biases from the driving GCMs used and columns 2-4 display the
 downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- 612 Figure 6. Continued.





**Figure 7.** Scatterplots of the RCM increment (RCM-GCM) for precipitation (mm/day) as a function of the GCM bias (GCM-OBS) for October. Colors indicate the driving GCM and shapes indicate the downscaling RCMs. The four

621 panels indicate spatial averages over southern Africa (SAF-All region), the Angola region, the East Coast region and

622 the SAfr region.





Figure 8. Scatterplots of the RCM increment (RCM-GCM) for precipitation (mm/day) as a function of the GCM bias
 (GCM-OBS) for January. Colors indicate the driving GCM and shapes indicate the downscaling RCMs. The four
 panels indicate spatial averages over southern Africa (SAF-All region), the Angola region, the East Coast region and
 the SAfr region.



Figure 9. Analysis of variance for monthly precipitation during 1985-2005 for southern Africa (SAF-All region) and
the 3 sub-regions examined, namely the Angola region, East Coast region and the SAfr region. The x and y-axis
display standardized precipitation variances.





Figure 10. Monthly precipitation change (future – present in mm/d) during October for the period 2065-2095 relative
to 1985-2005. First column (from the left) displays precipitation change from the driving GCMs used and columns 24 display the downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.



- **Figure 10.** Continued.





Figure 11. Monthly precipitation change (future – present in mm/d) during January for the period 2065-2095 relative
to 1985-2005. First column (from the left) displays precipitation change from the driving GCMs used and columns 2display the downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- **Figure 11.** Continued.





**Figure 12.** Monthly  $\text{RCM}_{\text{DRI}}$  – DRI spatial averages over southern Africa for the historical period (1985-2005) on the x-axis and the future period (2065-2095) under RCP8.5 on the y-axis.



Figure 13. Spatial average of the precipitation change signal (mm/d) from RCMs and their driving GCMs relative to
 1985-2005 for southern Africa and the 3 sub-regions examined.



Figure 14. Analysis of variance for monthly precipitation during 2065-2095 for southern Africa (SAF-All region) and
 the 3 sub-regions examined, namely the Angola region, East Coast region and the SAfr region. The x and y-axis
 display standardized precipitation variances.