The impact of lateral boundary forcing in the CORDEX-Africa ensemble over southern Africa

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13 Abstract

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15 The region of southern Africa (SAF) is among the most exposed climate change hotspots and is projected to experience 16 severe impacts on multiple economical and societal sectors. For this reason, producing reliable projections of the 17 expected impacts of climate change is key for local communities. In this work we use a set of 19 regional climate 18 models (RCMs) performed in the context of the Coordinated Regional Climate Downscaling Experiment (CORDEX) 19 - Africa and a set of 10 global climate models (GCMs) participating in the Coupled Model Intercomparison Project 20 Phase 5 (CMIP5), that were used as the driving GCMs in the RCM simulations. We are concerned about the degree 21 to which RCM simulations are influenced by their driving GCMs, with regards to monthly precipitation climatologies, 22 precipitation biases and precipitation change signal, according to the Representative Concentration Pathway (RCP) 23 8.5 for the end of the 21st century. We investigate the degree to which RCMs and GCMs are able to reproduce specific 24 climatic features over SAF and over three sub-regions, namely the greater Angola region, the greater Mozambique 25 region and the greater South Africa region. We identify that during the beginning of the rainy season, when regional 26 processes are largely dependent on the coupling between the surface and the atmosphere, the impact of the driving 27 GCMs on the RCMs is smaller, compared to the core of the rainy season, when precipitation is mainly controlled by 28 the large-scale circulation. In addition, we show that RCMs are able to counteract the bias received by their driving 29 GCMs, hence, we claim that the cascade of uncertainty over SAF is not additive, but indeed the RCMs do provide 30 improved precipitation climatologies. The fact that certain bias patterns over the historical period (1985-2005) 31 identified in GCMs are resolved in RCMs, provides evidence that RCMs are reliable tools for climate change impact 32 studies over SAF. 33

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37 **1 Introduction**

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- 39 The region of southern Africa (SAF) is among the most exposed climate change hotspots (Diffenbaugh and Giorgi,
- 40 2012) and is projected to experience severe impacts on multiple economical and societal sectors (Conway et al., 2015;
- 41 Masipa, 2017; Shew et al., 2020). Poverty, food insecurity and high levels of malnutrition (Misselhorn and Hendriks,
- 42 2017) render SAF a region particularly vulnerable to the impacts of climate change (Casale et al., 2010; Luan et al.,
- 43 2013; Wolski et al., 2020). In addition, the population's reliance on rain-fed agriculture makes strategic planning
- 44 necessary as it aims to mitigate the impact of climate change on local communities.
- 45 Global climate models (GCM) participating in the Coupled Model Intercomparison Project Phase 5 (CMIP5) (Taylor
- 46 et al., 2012) project a significant decline in annual precipitation over SAF (IPCC and Stocker, 2013), with the most
- 47 pronounced changes projected under representative concentration pathway 8.5 (RCP8.5) (Sillmann et al., 2013). This
- reduction is also identified in the regional climate model (RCM) simulations performed in the context of the
 Coordinated Regional Climate Downscaling Experiment (CORDEX) Africa domain (Nikulin et al., 2012; Giorgi
- 50 and Gutowski, 2015). More specifically according to CORDEX-Africa simulations, annual precipitation is expected
- 51 to decline by up to 50% by the end of the 21^{st} century (Pinto et al., 2018), while duration of dry spells is projected to
- 52 increase (Dosio et al., 2019). Despite this, extreme rain events are expected to increase in frequency and intensity
- 53 (Pinto et al., 2016; Abiodun et al., 2019). Nevertheless, for a global warming level of 2 °C, certain parts of SAF
- 54 (northern Angola, Zambia, northern Mozambique and eastern South Africa) are projected to experience precipitation
- 55 increase during specific times of the year (Maúre et al., 2018).
- 56 The question of whether or not RCMs produce demonstrable added value relative to their driving GCMs, has often 57 fueled debate between the RCM and GCM modelling communities (Lloyd et al., 2020). The outcome of the debate is 58 not binary. The literature provides ample evidence that there is indeed evidence of added value in RCMs, but it is 59 dependent on the region examined, on the season, and the climate mechanisms that are at play (Luca et al., 2016, Feser 60 et al., 2011). RCM ensembles such as those in CORDEX-Africa endeavor to provide added value, by dynamically 61 downscaling historical and scenario simulations originating from coarse resolution GCMs (Dosio et al., 2019). The 62 added value in RCM simulations arises as a result of their higher horizontal resolution (<50 km), which makes it 63 possible for atmospheric waves and synoptic scale disturbances to be represented in a more realistic manner. An 64 additional aspect that further contributes towards this end, is the more accurate representation of land surface 65 characteristics (topography, land use etc.) in RCMs (Di Luca et al., 2013). Moreover, the physics of an RCM can be 66 targeted for processes specific to the region it is being run for, giving it a local advantage over GCMs that may have 67 had their physics developed for global applications. Nevertheless, RCMs also are accompanied by a set of model 68 deficiencies that affect the final output of the downscaled data (Boberg and Christensen, 2012). In Sørland et al. (2018) 69 it is reported that although RCM biases are affected by the driving GCMs, they are nonetheless not additive, a result 70 that counters the common "cascade of uncertainty" criticism. Still, uncertainty arising from both the driving GCM 71 (Moalafhi et al., 2017) and the downscaling RCM affect the final product (Nikulin et al., 2012), and it is important to
- 72 diagnose the sources and causes of these errors (Déqué et al., 2012).

73 Attributing this uncertainty into its respective components is key for a better assessment of the reliability of RCM 74 simulations (Christensen and Kjellström, 2020). GCMs provide the lateral boundary conditions to the RCMs and each 75 RCM receives, absorbs, and modulates the received atmospheric forcing in different ways, depending on the numerical 76 formulations and parameterization schemes employed. Discerning between the signal received by the GCM and the 77 signal produced by the RCM is critical for assessing the robustness with which different modelling systems are able 78 to accurately reproduce observed climatologies and generate reliable estimates of the expected climate change. In 79 addition, the manner in which an RCM responds to the atmospheric forcing provided by a GCM can be region specific 80 (Rana et al., 2020; Wu and Gao, 2020) (e.g., regions located in close proximity to the boundaries of the RCM domain 81 can be more severely affected by the driving GCMs, than regions at the center of the RCM domain or there can be 82 region specific response around complex topography versus lowlands). Also, the degree to which an RCM is 83 influenced by the driving GCM can be process specific. For instance, when there is a strong large-scale circulation 84 signal that is introduced to an RCM domain (e.g. advective mid-latitude storms), it is quite likely that the RCM will 85 be able to reproduce the information that is received at its lateral boundaries, however, the GCM's impact on the RCM 86 simulation may also vary depending on how far a region lies from the RCM domain boundaries (Kim et al., 2020). If, 87 however, the large-scale forcing is weak, then the atmospheric conditions simulated within the RCM domain are more 88 dependent on the dynamic and thermodynamic processes employed by the RCM (e.g. convective thunderstorms). 89 In this work we aim to assess whether it is the RCMs or their driving GCMs that dominate monthly precipitation 90 climatology, monthly precipitation bias and climate change signal over SAF. We take into account the region-specific 91 characteristics of this question by analyzing SAF and three subregions, namely southeastern Angola, Mozambique 92 and South Africa. We also consider the different atmospheric processes that are in play over each region by analyzing

- 93 monthly climatologies. Precipitation over SAF results from various atmospheric processes that are highly variable 94 during the rainy season (Oct-Mar), so by performing the analysis on a monthly basis, we are able to indirectly study 95 how certain processes are reproduced by GCM and RCM simulations. In order to differentiate between the signal 96 emanating from the RCMs and their driving GCMs, we use the analysis of variance (ANOVA) in both the GCM and 97 the RCM ensembles (Déqué et al., 2007, 2012). Since the information provided by RCMs will eventually be used by 98 both climate and non-climate scientists, especially in light of climate change impact studies, we aim to provide some 99 information with regards to how much each RCM output is affected by its driving GCM and what climate change
- signals are identified consistently in both RCMs and GCMs.
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102 **2 Material and methods**

103 **2.1 Data**

104 The data analyzed in the current work consist of RCM simulations performed in the context of CORDEX-Africa, a 105 set of simulations performed in the context of CMIP5, and the CHIRPS satellite rainfall product (Funk et al., 2015). 106 More specifically, the CORDEX-Africa simulations selected are those that were driven by more than two GCMs (at 107 least three simulations available using the same RCM driven by at least three different GCMs) and for which there are 108 runs available for both the historical and the future period under RCP8.5. All RCMs employed a relaxation zone which 109 was either 10 grid-points wide (CCLM4-8-17.v1) or eight points wide (RCA4.v1 and REMO2009.v1). Relaxation in 110 all RCM simulations was performed using Davie's method (Davies, 1976, 1983). The CMIP5 GCMs selected are the 111 ones that were used to drive the CORDEX-Africa simulations. All RCM and GCM simulations were retrieved from 112 the Earth System Grid Federation (https://esgf-data.dkrz.de/projects/esgf-dkrz/). The CHIRPS rainfall product is used 113 for calculating precipitation biases in both the CORDEX-Africa and CMIP5 ensembles and was retrieved from: 114 https://www.chc.ucsb.edu/data/chirps. CHIRPS is available at 5 km spatial resolution and for the calculation of biases 115 it was remapped to the coarser resolution grid using conservative remapping. A fact that is commonly obscured is that 116 observational datasets are often considered as "ground truth" however, they also are subject to multiple sources of 117 uncertainty, caused by the underlying station datasets used, the statistical algorithms employed in spatially interpolated 118 methods or the algorithms employed in satellite rainfall products (Le Coz and van de Giesen, 2020). More specifically, 119 over southern Africa, it was found that gauge-based products employing spatial interpolation methods displayed high 120 uncertainty over regions where the underlying station network was scarce, mainly over the Angola region and the 121 northern parts of SAF (Karypidou et al., 2022). In addition, it was found that this attribute was inherited by all rainfall 122 satellite products that were using direct merging techniques with gauge-based datasets. Here, we display monthly 123 precipitation during the historical period (1985-2005) across four observational datasets, given in Table 1. More 124 specifically, we use the CRUv4.06 dataset (Harris et al., 2020), which is a purely gauge-based product (employing 125 station data and a spatial interpolation algorithm to provide a spatially continuous gridded product), ERA5 (Hersbach 126 et al., 2020), which is a reanalysis product, CHRIPS (Funk et al., 2015), which is a satellite rainfall product, and 127 finally, MSWEP (Beck et al., 2017) which is a product merging station data, satellite data and dynamic model outputs. 128 All datasets have been analyzed using monthly mean values. The results are displayed in **Fig. 1**. As shown, there is a 129 substantial agreement among them both with regards to the spatial and temporal pattern of monthly precipitation over

- southern Africa.
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- Table 1 Gauge-based, satellite, reanalysis and merged precipitation products analyzed over the study region usingmonthly mean precipitation for the period 1985-2005.

Dataset	Resolution	Frequency	Туре	Period
CRU TS4.06	0.5°	Monthly total	Gauge-Based	1901-2021
MSWEP	0.1°	3-hourly	Merged product	1979-present
CHIRPS.v2	0.05°	Daily totals	Satellite	1981-present
ERA5	~0.25 °	Hourly	Reanalysis	1979-present

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135 Our analysis is split into two sections: the qualitative and the quantitative part. In the qualitative part, we aim to 136 identify if RCMs exhibit systematic behavior relative to their driving GCMs. For the quantitative part, we aim to 137 quantify the degree to which monthly precipitation climatologies, biases and climate change signal are affected by 138 the downscaled RCMs or by the GCMs driving the RCM simulations. For this purpose, we employ an ensemble of 19 139 RCM simulations driven by 10 GCMs and the driving GCMs that were used to provide the lateral boundary conditions 140 to the RCMs. From the historical simulations we use the period 1985-2005 and from the projection simulations we use the period 2065-2095 under RCP8.5. All CORDEX-Africa simulations are available at ~50 km horizontal 141 142 resolution and are shown in **Table 1**, while the horizontal resolution for the driving GCMs is provided in **Table 3**.

Table 2 Input RCM and GCM simulations used. The CORDEX-Africa simulations are given in the columns. The
 CMIP5 GCMs used as driving fields are given in the rows.

	CCLM4-8-17.v1	RCA4.v1	REMO2009.v1
CanESM2			
CNRM-CM5	\checkmark	\checkmark	
EC-EARTH	\checkmark	\checkmark	\checkmark
HadGEM2-ES	\checkmark	\checkmark	\checkmark
MIROC5		\checkmark	\checkmark
MPI-ESM-LR	\checkmark	\checkmark	\checkmark
IPSL-CM5A-LR			\checkmark
IPSL-CM5A-MR		\checkmark	
CSIRO-Mk3-6-0			
GFDL-ESM2M		\checkmark	
NorESM1-M			

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Table 3 Horizontal resolution of the CMIP5 GCMs used as driving fields in the CORDEX-Africa simulations.

GCMs	Latitude Res.	Longitude Res.	References	
CanESM2	2.7906 °	2.8125 °	(CCCma, 2017)	
CNRM-CM5	1.40008 °	1.40625 °	(Voldoire et al., 2013)	
CSIRO-Mk3-6-0	1.8653 °	1.875 ^o	(Jeffrey et al., 2013)	
EC-EARTH	1.1215 °	1.125 °	(Hazeleger et al., 2010)	
GFDL-ESM-2M	2.0225 °	2.5 °	(Dunne et al., 2012)	
HadGEM2-ES	1.25 °	1.875 °	(Collins et al., 2011)	
IPSL-CM5A-MR	1.2676 °	2.5 °	(Dufresne et al., 2013)	
	1.894737 °	3.75 °		
IPSL-CM5A-LR				
MIROC5	1.4008 ^o	1.40625 °	(Watanabe et al., 2010)	
MPI-ESM-LR	1.8653 °	1.875 °	(Giorgetta et al., 2013)	
NorESM1-M	1.894737 °	2.5 °	(Bentsen et al., 2013)	

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149 **2.2 Methods**

150 The study region and subregions considered are depicted in Fig. 2. The subregions are selected based on particular 151 phenomena and processes that are of importance for the seasonal cycle of precipitation. More specifically, Region A

152 (hereafter: SAF-All) encompasses the entire SAF region and is defined as the area extending from 10 °E to 42 °E and

153 from 10 °S to 35 °S. Region B (hereafter: Angola region) was selected to capture the main region of interest with

regards to the Angola Low (AL) pressure system (Howard and Washington, 2018) and covers the area extending from

155 14 °E to 25 °E and from 11 °S to 19 °S. Region C (hereafter: East Coast) covers the eastern coastline, Mozambique and

surrounding countries and extends from 31 °E to 41 °E and from 10 °S to 28 °S. Lastly, we define the SAfr region,

which covers much of South Africa and extends from 15 °E to 33 °E and from 26 °S to 35 °S.

158 One of the primary synoptic scale features controlling precipitation over SAF is the Angola Low (AL) pressure system

(Reason and Jagadheesha, 2005; Lyon and Mason, 2007; Crétat et al., 2019; Munday and Washington, 2017; Howard

and Washington, 2018), which has a distinct seasonal cycle throughout the rainy season (Oct-Mar). This motivates its

161 selection as a subregion for our study. The AL exhibits heat low characteristics during Oct-Nov and tropical low 162 characteristics during Dec-Feb (Howard and Washington, 2018). This suggests that during Oct-Nov, since 163 precipitation is thermally induced and thus tightly dependent on land-atmosphere interactions, it will be the RCMs 164 that are dominant in controlling precipitation processes. As the rainy season progresses, the AL changes to a tropical 165 low pressure system and its formation is controlled by the large-scale circulation that is characterized by easterly 166 winds from the Indian Ocean that enter SAF via the Mozambique channel. Since precipitation during Dec-Feb is 167 caused by the tropical low phase of the Angola low pressure system, which is the monthly aggregate of frequent 168 transient low pressure systems crossing southern African (Munday and Washington, 2017; Howard and Washington, 169 2018; Howard et al., 2019), we hypothesize that the impact of the driving GCM fields during Dec-Feb is enhanced. 170 In addition, the wider area of Mozambique is a region where the majority of tropical cyclones/depressions make

171 landfall over continental SAF. The occurrence of transient low-pressure systems is enhanced during the core of the

172 rainy season (Dec-Feb) and thus we are interested in identifying whether the impact of the driving GCMs is dominant

during Dec-Feb. Also, since according to (Muthige et al., 2018), the number of landfalling tropical cyclones under

174 RCP8.5 is expected to decline in the future, we are interested in examining whether the impact of the driving GCMs

to the RCM simulations will be altered under future conditions. Hence, the East Coast region is used as a region

indicative of the landfalling tropical cyclones/depressions. Lastly, we examine the area encompassing South Africa(hereafter: SAfr) due to its strong land-ocean gradients, complex topography and strong seasonal variations in rainfall

177 (necenter: SAT) due to its strong fand-ocean gradients, complex topography and strong seasonal variations178 zones.

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180 2.2.1 Monthly precipitation climatology and bias

181 In order to assess whether or not the RCMs improve the monthly precipitation climatologies relative to their driving 182 GCMs, we employ a method initially described in Kerkhoff et al. (2015) and later employed by Sørland et al. (2018), 183 which displays in a scatterplot form the RCM increment as a function of the GCM bias. More specifically, the RCM 184 increment is described as the difference of each RCM simulation from its driving GCM (RCM-GCM). The RCM 185 increment is plotted against the GCM bias (GCM-OBS). This plot displays whether or not the RCM increment 186 counteracts the GCM bias. If the RCM increment reduces the GCM bias, then points are expected to lie along the y=-187 x line (negative correlation). On the contrary, if the RCM increment increases the GCM bias, then points are expected 188 to lie along the y=x line (positive correlation). If the RCM increment and the GCM bias are independent, then points 189 are expected to be scattered randomly.

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191 2.2.2 Climate change signal

192 The climate change signal (CCS) is identified as the monthly mean difference between the future period (2065-2095) 193 minus the historical period (1985-2005). As an exploratory method of inspecting the differences between each RCM 194 simulation from its respective driving (GCM) for monthly precipitation during both the historical and the future period,

195 we subtract the downscaled precipitation field (RCM_{DRI}) from its driving (DRI), as in Eq. 1:

$$DIFF = RCM_{DRI} - DRI$$
 Eq. 1

196 If DIFF > 0 (monthly precipitation), then we assume that the RCM enhances precipitation, relative to its driving GCM, 197 while if DIFF < 0 then we assume that the RCM reduces precipitation, relative to its driving GCM. This method is

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200 2.2.3 Analysis of variance

Additionally, we employ an ANOVA decomposition (Déqué et al., 2007, 2012), in order to understand whether it is the RCMs or their respective driving GCMs that are responsible for controlling precipitation over the historical (1985-2005) period and the future period (2065-2095). For this purpose, we use two quantities, namely the "inter-RCM" variance and the "inter-GCM" variance, as in (Déqué et al., 2012). More specifically, the "inter-RCM variance" is the variance between all the RCM simulations that are driven by the same GCM. Subsequently, all variances obtained for all driving GCMs are averaged.

$$RCM_{var} = \frac{1}{N_{RCM}} \Sigma_{RCM_j} (P_j - \overline{P}_j)^2$$
 Eq. 2

The quantity P_j is the monthly precipitation obtained from all RCMs (*j*) that were driven by the same GCM. The quantity P_j is the mean monthly precipitation obtained by all RCMs (*j*) that share a common driving GCM. As a final step, the average of all variances is calculated.

$$Inter_RCMvar = \frac{\sum GCM_j}{N}$$
 Eq. 3

- 210 Similarly, the "inter-GCM" variance describes the variance between all the GCMs that were used to drive a single
- 211 RCM and then averaged over all the variances obtained for all driven RCMs. N refers to all available simulations
- 212 contributing to either the inter-RCM or inter-GCM variance.

employed in the qualitative part of the analysis.

$$GCM_{var} = \frac{1}{N_{GCM}} \Sigma_{GCM_i} (P_i - \overline{P}_i)^2$$
 Eq. 4

213 Likewise, the average of all variances is calculated.

$$Inter_GCMvar = \frac{\sum RCM_i}{N}$$
 Eq. 5

Both "inter-RCM" and "inter-GCM" variances are normalized by the total variance obtained for all months, as in (Vautard et al., 2020), so that all values, both for historical and projection runs and RCM and GCM simulations are comparable. A schematic of the process described above is provided in **Fig. S1**.

217 3 Results

The October and January precipitation climatologies for the period 1985-2005 are displayed in **Fig. 3** and **Fig. 4**, respectively. We use October and January climatologies, because these 2 months may be considered representative of the distinctive processes controlling precipitation over SAF (see section 2.2). We avoid using seasonal means, since the temporal averaging of precipitation often obscures attributes that are better identified on a monthly level. The remaining months of the rainy season are shown in the supplementary material. More specifically, we use October as it is the month that heralds the onset of the rainy season and is often associated with weak precipitation and convective processes that are mainly due to excess surface heating. Also, it is during October that the most intense formations of

the heat low expression of the AL are observed. Likewise, we use January as it represents the core of the rainy season,

with very strong large-scale precipitation, mainly from the southeastern (SE) part of SAF, through transient synopticscale low pressure systems.

- As it is displayed in Fig. 3, precipitation during October occurs in the northwestern (NW) part and the SE part of SAF.
- 229 Precipitation in the NW part is associated with the southward migration of the rainband (Nicholson, 2018), while
- 230 precipitation over the SE part is associated with an early formation of the tropical temperate troughs (TTTs). As it is
- evident from Fig. 3, CCLM4-8-17.v1 reduces precipitation amounts (approximately 4-5 mm/d) in both the NW and
- 232 SE parts of SAF, relative to the lateral boundary forcing it receives. On the contrary, RCA4.v1 systematically enhances
- 233 precipitation amounts, regardless of the driving GCM. Also, precipitation according to RCA4.v1 displays a very
- 234 localized spatial pattern with very strong spatial heterogeneity. This attribute is indicative of specific structural model
- biases related to how high-resolution elevation affects precipitation in RCA.v1 (Van Vooren et al., 2019). This is
- particularly evident in the mountainous region over coastal Angola. REMO2009.v1 also enhances precipitation
- amounts regardless of the driving GCM, however in a much more spatially homogeneous way than RCA4.v1.
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239 As it is shown in Fig. 4, high precipitation amounts during January are observed over the northern and eastern regions 240 of SAF. During January, differences among the driving GCMs become more pronounced, however, all models agree 241 on the dry conditions observed over the southwestern (SW) part of SAF. With regards to the downscaled products, 242 CCLM4-8-17.v1 produces high precipitation amounts over the central part of northern SAF but displays varying 243 amounts of precipitation over the coastal parts, depending on the driving GCM. RCA4.v1 downscales precipitation in 244 a very localized pattern and enhances precipitation over areas with steep terrain. Also, precipitation over the lake 245 Malawi region is particularly enhanced, regardless of the driving GCM. REMO2009.v1 displays similar precipitation 246 amounts to its driving GCMs, however it enhances precipitation over the coastal part of Angola and Mozambique and 247 yields excess precipitation over lake Malawi, when it is driven by HadGEM2-ES and IPSL. The monthly climatologies 248 for the rest of the rainy season months are shown in the supplementary material (Fig. S2 - S5).

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250 In Fig. 5 the monthly precipitation bias for October over SAF is shown. Biases are calculated using the CHIRPS 251 satellite rainfall product as a reference. With the exception of IPSL-CM5A (LR/MR) and CanESM2, all other GCMs 252 display a consistent wet bias that ranges from 0.1 - 30 mm/d (in isolated areas), with most values over SAF falling 253 0.1-3 mm/d. Overall, the same pattern generally holds for RCA4.v1 and REMO2009.v1, while CCLM4-7-18.v1 254 displays a systematic dry bias that reaches 2 mm/d, when forced with EC-EARTH, MPI-ESM-LR and HadGEM2-ES. 255 More specifically, concerning RCA4.v1, the region where the highest wet bias is observed is over the Angola region 256 and over the NW parts of coastal Angola. The dry bias regions in RCA4.v1 are identified over the northeastern (NE) 257 and southern parts of SAF and they rarely exceed -1.5 mm/d.

The monthly precipitation biases for January over SAF are shown in **Fig. 6**. There is a prevailing wet bias identified in almost all GCMs that typically reaches 3 - 3.5 mm/d, however, in MIROC5, NorESM and GFDL-ESM2M the

260 biases exceed 5 mm/d over a major part of SAF. Another feature that systematically appears in GCMs is a dry bias

261 over the NE part of SAF. This bias pattern is also identified in almost all RCMs with a systematic wet bias over central

and western SAF and a region of dry bias in the NE part. More specifically, in RCA4.v1 and REMO2009.v1, there is

- a dry bias over the NE and the southern coast of SAF, while in CCLM4-7-18.v1 the dry bias over the eastern region 264 extends inland to cover almost the whole of Mozambique. Another interesting feature is identified around the Angolan 265 coast, where wet biases exceed 5 mm/d, while over an adjacent region there is a strip of dry biases that reaches 2 266 mm/d. Considering the abrupt increase in elevation and the steep escarpment over the coastal Angola-Namibia region, 267 this is possibly caused by local circulation driving excess moisture transport from the Atlantic Ocean and overly 268 aggressive orographically triggered precipitation on the windward side of the topography (wet bias strip), that leads 269 to dry conditions in the lee side (dry bias strip) (Howard and Washington, 2018). It is noted that the wet bias over the 270 coastal region is identified in most of the RCA4.v1 simulations and in all REMO2009.v1 simulations, however, the
- 272 season months are shown in the supplementary material (Fig. S6 - S9). Monthly precipitation biases averaged over

dry bias in the lee side is seen in CCLM4-7.18.v1 only. The monthly precipitation biases for the rest of the rainy

- 273 southern Africa (SAF-All) and the three subregions examined are displayed in Fig. S10.
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275 A more detailed look into specific subregions over SAF where certain climatological features and processes are at 276 play, can help gain a more in-depth insight of how the precipitation biases are distributed during each month of the 277 rainy season and whether or not the RCMs display any improvement relative to their driving GCMs. For this reason, 278 we plot the RCM increments (RCM-GCM) as a function of the GCM biases (GCM-OBS). The results for October 279 over SAF and the 3 subregions are displayed in Fig. 7. In general, all points are identified close to the y=-x line, hence 280 there is a tendency that RCMs systematically counteract GCM biases. There are nonetheless substantial differences 281 between the four regions. For instance, over SAF-All region the IPSL-MR GCM has a wet bias equal to almost 1 282 mm/day, which is counteracted by RCA by an increment of -0.4 mm/month. Other RCA simulations when driven by 283 HadGEM2-ES, CNRM-CM5 or EC-EARTH, display an RCM increment similar to that of the GCM bias, hence RCMs 284 mitigate the GCM bias. Over the Angola region most of the RCMs display an RCM increment that is nearly equal to 285 the GCM bias. Similar conclusions are drawn for Regions C and D also. The RCM increments as a function of the 286 GCM biases for January are shown in Fig. 8. For all regions except the SAfr region points are lying closely to the y=x line, hence overall, RCM increments counteract the GCM biases. The scatterplots for the rest of the months of the 287 288 rainy season are shown in the supplementary material (Fig. S11 - S14). In general, although precipitation in RCMs is 289 strongly dependent on the driving GCMs, the RCM increments are anticorrelated to the GCM biases. The 290 anticorrelations are particularly strong for the Dec-Mar period of the rainy season over SAF-All region, B and C, but 291 not over D (Fig. S15).

292 In Fig. 9 the mean analysis of variance of all RCMs driven by the same GCM and of all GCMs driving the same RCM 293 is shown. Values are spatially averaged for southern Africa and the 3 subregions examined (land pixels only) and refer 294 to the period 1985-2005. In SAF-All region, monthly precipitation during October and November is dominated by the 295 RCMs, while during Jan-Mar, it is the GCMs that play a dominant role in formulating precipitation over SAF. This is 296 indicative of the impact that RCMs exert on the formulation of precipitation during Oct-Nov-Dec and the fact that the 297 contribution from the GCMs becomes dominant during Jan-Feb-Mar. The fact that the contribution of RCMs during 298 Oct-Nov-Dec dominates can be attributed to the fact that precipitation during these months is the result of regional 299 processes that are largely dependent on the coupling between the surface and the atmosphere. The land-atmosphere 300 coupling is a characteristic resolved by the RCMs, through mechanisms described in land surface models, planetary 301 boundary layer schemes, convection schemes etc., making the contribution of the large scale drivers from the GCM 302 less important. However, during Jan-Feb-Mar we observe that the contribution from the RCMs is reduced, and it is 303 the GCMs that control the monthly precipitation variability. This can be attributed to the fact that during Jan-Feb-Mar 304 it is the large-scale circulation that modulates precipitation over SAF and the GCMs control the transient synoptic 305 scale systems that enter SAF. Over the Angola region, the pattern is similar, however, October and November 306 precipitation are closer to the diagonal, indicating an almost equal contribution by both RCMs and GCMs. Also, Dec-307 Feb move closer to the diagonal, nevertheless, precipitation during March is mainly formulated by GCMs. Over the 308 East Coast region, October remains equally influenced by both RCMs and GCMs, however November and December 309 are dominated by the influence of the RCMs. Over the SAfr region, precipitation for all months except October is 310 influenced by GCMs.

311 In Fig. 10 the climate change signal for October precipitation over SAF is depicted. All GCMs agree that October 312 precipitation will decline by approximately 2 mm/d over the regions that experience precipitation during this period, 313 namely the NW and SE parts of SAF. In addition, some GCMs display a minor precipitation increase (0 - 0.5 mm/d) 314 in the SW part of SAF, while some others display a slightly larger (1.5 mm/d) precipitation increase over the eastern 315 parts of South Africa. Moreover, it is seen that the precipitation change signal is replicated by almost all the 316 downscaling RCMs, nevertheless, there are some considerable differences between the RCMs and their driving GCM. 317 More specifically, RCA4.v1 in almost all simulations, displays a larger reduction of the precipitation change signal 318 relative to its driving GCM, both in magnitude and in spatial extent. Precipitation changes in CCLM4-8-17.v1 seem 319 to follow closely the driving GCMs, with a severe exception when CNRM-CM5 is used (the NW part of SAF 320 experiences precipitation decline almost 4 mm/d larger than in the driving GCM). The case for when CCLM4-8-17.v1 321 is driven by CNRM-CM5 may be partly caused by the fact that the historical simulation had erroneously used lateral 322 boundary conditions from a different simulation member of CNRM-CM5 (Vautard et al., 2020). In REMO2009.v1, a 323 precipitation decline region is identified in the NW part of SAF and a minor precipitation increase over eastern South 324 Africa is identified. This pattern for REMO2009.v1 appears to be consistent, regardless of the driving GCM, which 325 could be partly explained by the fact that precipitation during October is thermally driven, and thus the impact of the 326 driving GCMs is not dominant. The precipitation increase in the SE part of SAF is seen over a localized region and 327 could be associated with an increase in the precipitation caused by the Tropical Temperate Troughs (TTTs) (Ratna et 328 al., 2013; Macron et al., 2014; Shongwe et al., 2015).

In **Fig. 11** the climate change signal for precipitation during January is displayed. The precipitation change displays a very strong regional heterogeneity. It is also observed that although there is a strong precipitation change signal in all driving GCMs, not all RCMs downscale the signal uniformly. It is also notable that, even among the GCMs, there are substantial differences in the spatial extent and sign of the change. Nevertheless, there are some features that appear in most of the simulations. For instance, almost all GCMs project drying conditions over the SW part of SAF, especially the coastal zone. The precipitation decline is equal to -1 mm/d. This could be explained by a consistent

- increase in frequency of the Benguela Coastal Low-Level Jet events (Lima et al., 2019; Reboita et al., 2019), causing
- 336 oceanic upwelling and a subsequent reduction in precipitation. In addition, there is a subset of GCMs that identify a
- severe precipitation decline over the Angola region that reaches -5 mm/d. Furthermore, in many GCMs a region of
- 338 precipitation increase is identified, extending from central SAF towards SE SAF. This is particularly identifiable in
- HadGEM2-ES, and the RCM simulations forced by it. The monthly precipitation changes for the rest of the rainy
- season months is shown in the supplementary material (Fig. S16 S19).
- 341 In Fig. 12 the spatial average of the RCM_{DRI} – DRI difference (DIFF) is shown for the whole of SAF (land pixels 342 only). If DIFF>0, it indicates that the RCMs enhance precipitation relative to their driving GCM, while if DIFF<0 343 then RCMs reduce precipitation relative to their driving GCM. As it is shown, DIFF values for October are symmetric 344 around zero and do not exceed the range (-1) - 1 mm/d, either for the historical or the future period. Almost symmetric 345 are the DIFF values for November also, however, their spread increases, reaching values that range (-2) - 2 mm/d. In 346 both months, CCLM4-7-18.v1 always reduces precipitation amounts relative to the lateral boundary forcing it 347 receives, regardless of the driving GCM or the period examined. During December, the precipitation reduction in all 348 RCMs becomes more pronounced and reaches values equal to -3 mm/d. In January, only 1 RCM enhances 349 precipitation (~0.5 mm/d) with all the rest displaying precipitation reduction. During February and March, some 350 positive DIFF values re-appear for some simulations. Overall, there is a strong linear relationship between DIFF in 351 1985-2005 and 2065-2095, which further implies that if an RCM is driver than its driving GCM during the historical 352 period, then it will retain this attribute during the future period also. Nonetheless, we highlight that RCMs preserve 353 precipitation change signal generated by the GCMs. Considering that one primary shortcoming of the GCMs over 354 SAF is their wet bias and that RCMs systematically reduce this bias, we gain increased confidence that RCMs can be 355 reliably used for future projections with regards to precipitation change.
- 356 In Fig. 13 the spatial average of the precipitation change signal from RCMs and their driving GCMs relative to 1985-357 2005 for SAF and the 3 subregions is displayed. Concerning SAF-All region, all models during October identify a 358 precipitation reduction at the end of the 21st century that can reach -0.9 mm/d. The precipitation decline signal is also 359 identified during November, indicating a later onset of the rainy season over SAF, as it has already been shown for 360 CMIP5 (Dunning et al., 2018). During December and January there is a variability in the spatial averages of the change 361 signal that ranges from -0.8 to 0.8 mm/d. A similar pattern is also seen for February and March. The distribution of 362 the ensemble members for both RCMs and GCMs in Regions B and C is similar to that of SAF-All region, however 363 in Regions B and C precipitation change values display a considerably larger spread. Over the SAfr region the climate 364 change signal is symmetric around 0 for all months, except March.
- 365 The impact the RCMs and GCMs on monthly precipitation for the period 2065-2095 under RCP8.5 is shown in Fig.
- 14. Regions A and B show a similar behavior as in the historical period (Fig. 9), however, over the East Coast region,
- 367 precipitation during March is more strongly dominated by GCMs. The same observation holds also over the SAfr
- 368 region. In general, regional processes continue to dominate contributions to variability during Oct-Nov, while large
- 369 scale features dominate during Dec-Mar.

371 **3** Discussion and conclusions

372 In this work we investigate whether it is the RCMs or the driving GCMs that control the monthly precipitation 373 variability, monthly precipitation biases and the climate change signal over southern Africa and how these 374 relationships vary from month-to-month throughout the rainy season. Our work examines monthly precipitation 375 variance caused by the lateral boundary conditions and does not examine parameter and structural uncertainty 376 separately in the multi-RCM and the multi-GCM ensembles analyzed. More specifically, we use an ensemble of 19 377 RCM simulations performed in the context of CORDEX-Africa and their driving GCMs. According to the literature 378 (Munday and Washington, 2018), precipitation in the CMIP5 simulations is characterized by a systematic wet bias 379 over southern Africa. In the CORDEX-Africa RCM simulations there is also a persistent wet bias, especially during 380 the core of the rainy season (DJF), however, it is of smaller magnitude and of smaller spatial extent.. It is found that 381 all RCMs reduce monthly precipitation compared to their driving GCMs for both historical (1985-2005) and future 382 period (2065-2095) under RCP8.5.

383 The Angola region, which encompasses the activity of the Angola Low pressure system, displays the highest wet 384 biases with regards to mean monthly precipitation, among all subregions examined. The months with the largest wet 385 biases (for the Angola region) is found to be November, while the month with the largest precipitation bias spread is 386 found to be March. In all months except of October, the CMIP5 GCMs display biases that are approximately 1-1.5 387 mm/d wetter than the wettest CORDEX-Africa RCM ensemble members. Over the East Coast region, representing 388 the wider area over Mozambique, the bias signal is reversed after January, with most of the RCMs displaying a dry 389 bias. Over the SAfr region, the majority of models display a consistent wet bias for all months of the rainy season. All 390 models (CMIP5 and CORDEX-Africa) display an intense dry bias in the NE part of SAF, which can be related to the 391 misrepresentation of the moisture transport entering the region from the Indian Ocean (Munday and Washington, 392 2018). In general, although RCMs display an improvement of precipitation biases relative to their driving GCMs, still 393 some bias patterns persist even in RCMs, calling for a process-based evaluation of specific climatological features 394 such as the formulation of the Angola Low and the transport of moisture from the NE part of SAF towards central 395 SAF.

396 More specifically, we found that CCLM4-7-18.v1 produces the smallest bias when the whole of SAF is examined, 397 however, it displays a systematic dry bias over the East Coast region (greater Mozambique region), hence, CCLM4-398 7-18.v1 should be used with caution over eastern SAF, especially if it is exploited within drought-related climate 399 services. Concerning RCA4.v1, we find a very regionally heterogeneous -almost pixelated- spatial pattern for 400 precipitation, which can be attributed to the sharp topography used (Van Vooren et al., 2019). RCA4.v1, due to the 401 large size of its ensemble, is optimal for analyzing its behavior under different driving GCMs. In general, we find that 402 RCA4.v1 is more prone to follow the signal received from the driving GCMs, contrary to what is observed for 403 CCLM4-7-18.v1. REMO2009.v1 presents a compromise between the behaviors of RCA4.v1 and CCLM4-7-18.v1. 404 It is highly recommended that when RCM simulations are used for the whole of SAF or a subregion thereof, the spread 405

- and statistical properties of all available RCMs and their driving GCMs should be examined and an ensemble of RCMs
- 406 should be employed based on their ability to reproduce key climatic features of the region of interest. Increasing

- 407 evidence is provided that not all models are fit for constructing an ensemble mean (or median) for all regions (Her et
- 408 al., 2019; Raju and Kumar, 2020; Tebaldi and Knutti, 2007). Lastly, a very important aspect when the calculation and
- 409 characterization of biases is discussed for GCMs and RCMs, is that biases are assessed based on a satellite or gauge-
- 410 based product, which are often erroneously regarded as "the ground truth" (Harrison et al., 2019; Alexander et al.,
- 411 2020). Of course, the climate community is bound to work with the state-of-the-science products that are available,
- 412 however, biases and errors in the "observational datasets" should be kept in mind when the bias of climate models is
- 413 discussed. In this work we use the CHIRPS precipitation product, as it has been shown to outperform other satellite
- 414 precipitation products (Toté et al., 2015; Ayehu et al., 2018; Dinku et al., 2018).
- 415 Concerning the climate change signal, there is a strong agreement among all GCMs and RCMs that precipitation 416 during October will decrease by (-0.1) - (-1) mm/d, a fact associated with a projected later onset of the rainy season, 417 which is further linked with a northward shift of the tropical rain belt (Dunning et al., 2018; Lazenby et al., 2018). 418 The topic of reduced early rainfall over southern Africa for the end of the 21st century under all emission 419 scenarios/pathways has been examined extensively for the CMIP3 and CMIP5 GCM ensembles (Seth et al., 2011; 420 Cook and Vizy, 2021; Lazenby et al., 2018; Howard and Washington, 2019). A common observation in all CMIP5 421 GCMs for the early rainy season by the end of the 21st century is that instability over southern Africa reduces, surface 422 temperature increases, and the heat low phase of the Angola Low pressure system is strengthened (Howard and 423 Washington, 2019). However, rainfall decline in the CMIP5 ensemble over southern Africa should be additionally 424 considered in the context of the systematic precipitation biases already diagnosed in the historical simulations 425 (Munday and Washington, 2018; Howard and Washington, 2019). Considering that the systematic wet precipitation 426 bias is significantly reduced in the CORDEX-Africa ensemble relative to their driving CMIP5 GCMs (Karypidou et 427 al., 2022), we gain confidence that future precipitation projections according to the CORDEX-Africa ensemble 428 provide a more plausible future scenario. For the rest of the months, the results are variable, indicating the need for a 429 multi-model approach, when climate change impacts are assessed. A feature that is identified in some GCMs and is 430 transferred to the downscaling RCMs, is a precipitation increase that extends from the central SAF region towards the 431 southeast. This result is consistent with previous work that shows an increase in frequency of landfalling cyclones 432 along the eastern seaboard of SAF (Muthige et al., 2018). Since tropical cyclones are a particular cause of severe 433 flooding events over the region of Mozambique, there is an urgent need for planning and mitigation strategies over 434 the region.
- Concerning precipitation variability and whether it is the RCMs or the driving GCMs that dominate monthly precipitation, we find that, as expected, over the whole of SAF (SAF-All region), October and November are dominated by RCMs, while during Dec-Mar it is the GCMs that mainly formulate the precipitation climatologies. This is explained by the fact that after December there is a strong large-scale forcing, which is provided to the RCMs by the lateral boundary conditions given through the GCMs. The results for the historical period are comparable to that for future projections.
- 441 Lastly, it is imperative to highlight that the impact of the lateral boundary conditions on RCM simulations comprise
- 442 only a portion of the potential sources of uncertainty in the CORDEX-Africa ensemble examined, therefore attributing
- 443 entirely the variance of RCM simulations to the driving GCMs would be erroneous. Therefore, we mention that

- 444 uncertainty in RCM simulations can have a plethora of sources that are mainly categorized as parameter or structural
- uncertainty (Günther et al., 2020; Howland et al., 2022). These types of uncertainty sources may relate to the
- 446 parameterization schemes employed by each RCM or assumptions and numerical choices involved in the dynamics
- 447 of each specific RCM. However, since within CORDEX-Africa only a limited number of variables is being made
- 448 available to the community, it would be impossible to meticulously comment on all possible sources of uncertainty
- and access the impact of their variance on monthly precipitation.
- 450 *Code and data availability*
- 451 For the data processing and statistical analysis we used the R Project for Statistical Computing (https://www.r-
- 452 project.org/), the Climate Data Operators (CDO) (<u>https://code.mpimet.mpg.de/projects/cdo/</u>) and Bash programming
- routines. Processing scripts are available via ZENODO under DOI: <u>https://doi.org/10.5281/zenodo.5569984</u>. CMIP5
- and CORDEX-Africa precipitation data were retrieved from the Earth System Grid Federation (ESGF) portal
- 455 (<u>https://esgf-data.dkrz.de/projects/esgf-dkrz/</u>). The Climate Hazards Group InfraRed Precipitation with Station data
- 456 (CHIRPS) products were retrieved from: <u>https://www.chc.ucsb.edu/data/chirps</u>.
- 457
- 458 Supplement
- 459 The supplement related to this article is available online.
- 460
- 461 *Author contribution*
- 462 MCK, SPS and EK designed the research. MCK performed the analysis and prepared the manuscript. SPS, EK, LS
- and GN editted the manuscript and provided corrections.
- 464
- 465 *Competing interests*
- 466 The authors declare that they have no competing interests.
- 467
- 468 *Acknowledgements*
- 469 This article is funded by the AfriCultuReS project "Enhancing Food Security in African Agricultural Systems with
- 470 the Support of Remote Sensing", (European Union's Horizon 2020 Research and Innovation Framework Programme
- 471 under grant agreement No. 774652). The authors would like to thank the Scientific Support Centre of the Aristotle
- 472 University of Thessaloniki (Greece) for providing computational/storage infrastructure and technical support. MCK
- 473 was funded by the Hellenic Foundation for Research & Innovations, under the 2nd Call for PhD Candidates (application
- 474 No. 1323).

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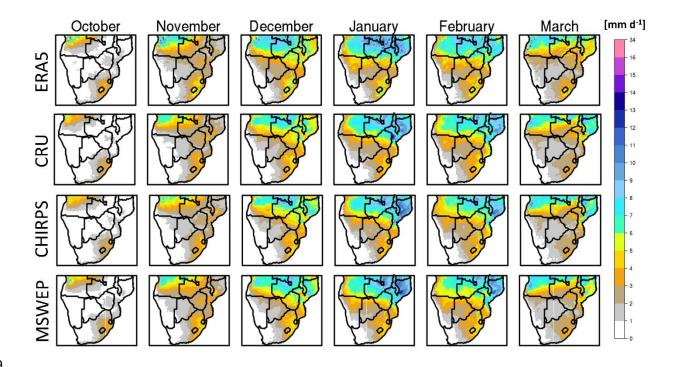
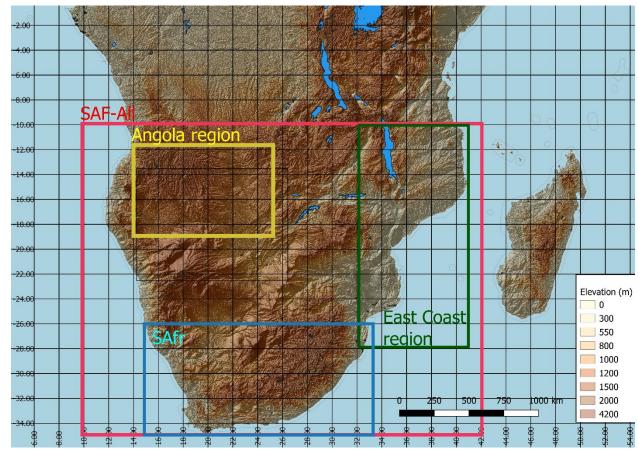


Figure 1. Monthly mean precipitation climatology for the period 1985-2005.



692 Figure 2. Study region and subregions over southern Africa.

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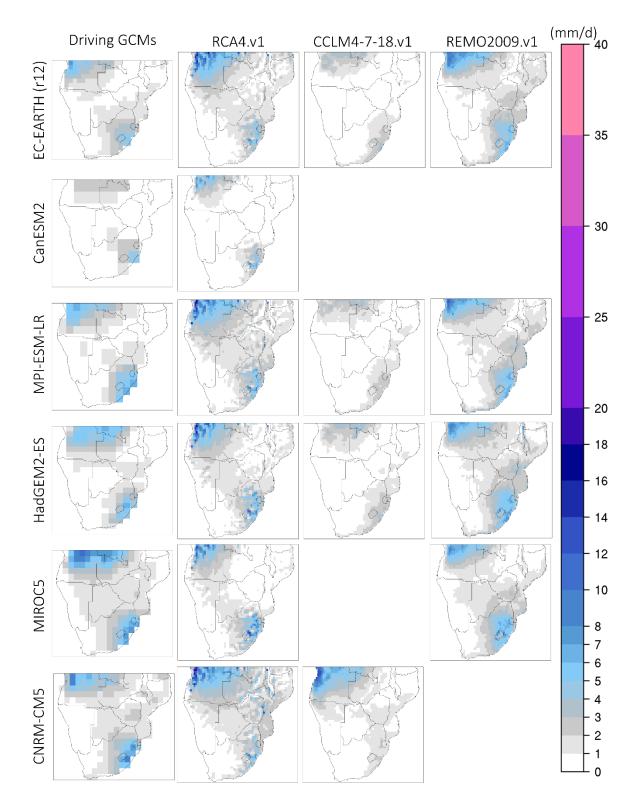
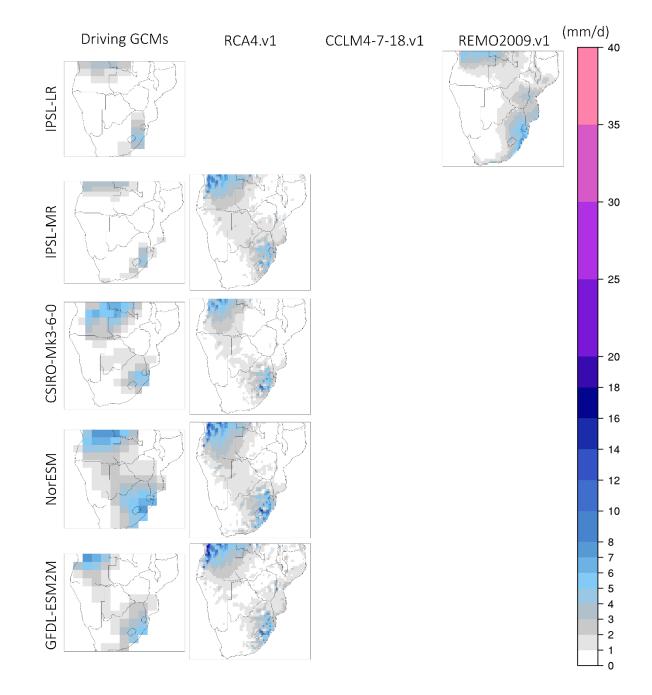


Figure 3. Monthly precipitation climatologies (mm/d) during October for the period 1985-2005. First column (from the left) displays precipitation from the driving GCMs and columns 2-4 display the downscaled precipitation output from RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- 710 Figure 3. Continued.

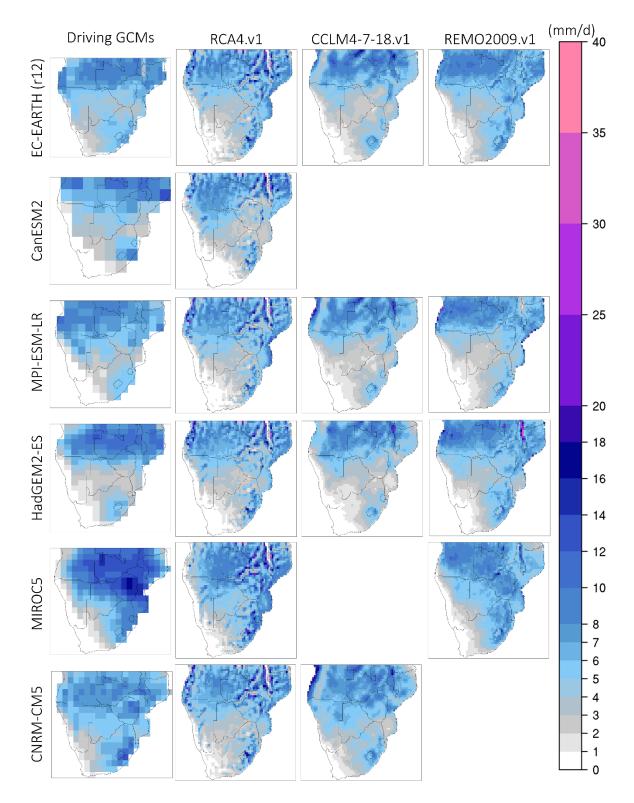
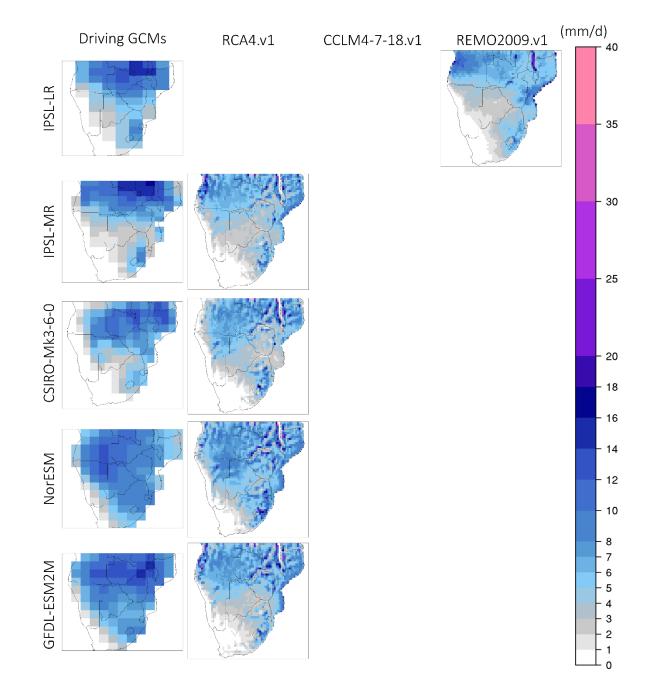


Figure 4. Monthly precipitation climatologies (mm/d) during January for the period 1985-2005. First column (from the left) displays precipitation from the driving GCMs and columns 2-4 display the downscaled precipitation output from RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- **Figure 4.** Continued.

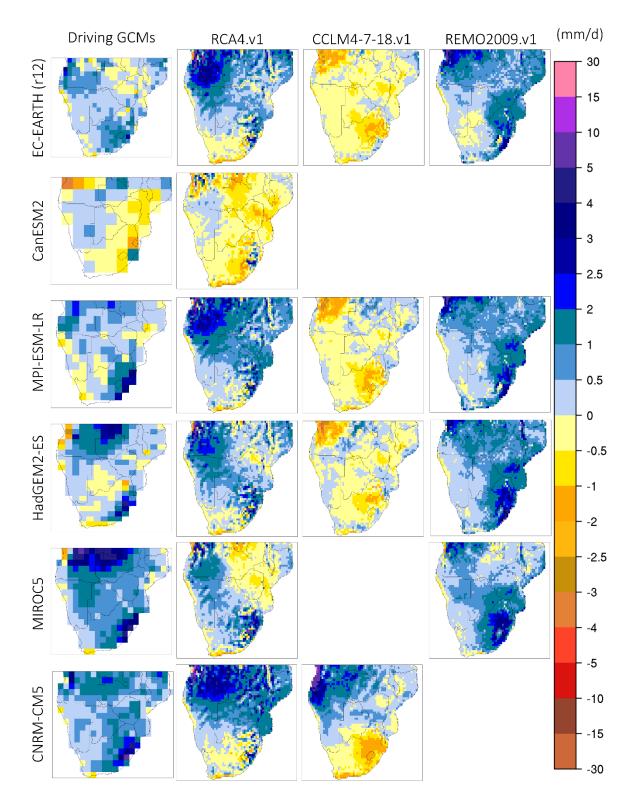
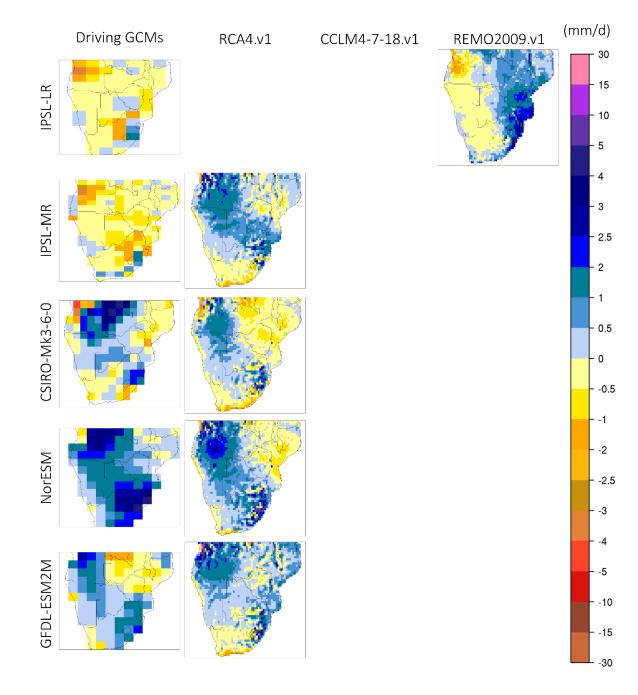




Figure 5. Monthly precipitation bias (model – CHIRPS in mm/d) during October for the period 1985-2005. First column (from the left) displays the biases in the driving GCMs and columns 2-4 display the biases in the downscaled precipitation output according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- **Figure 5.** Continued.

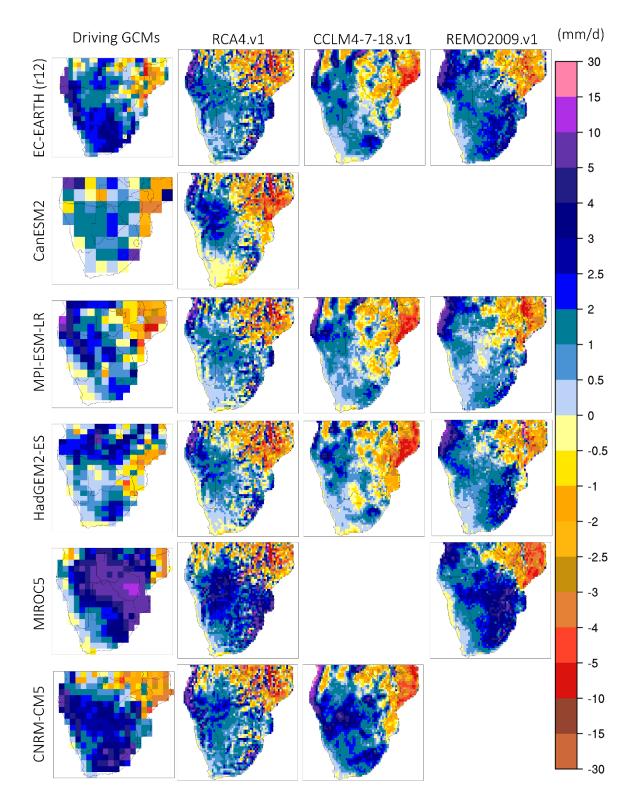
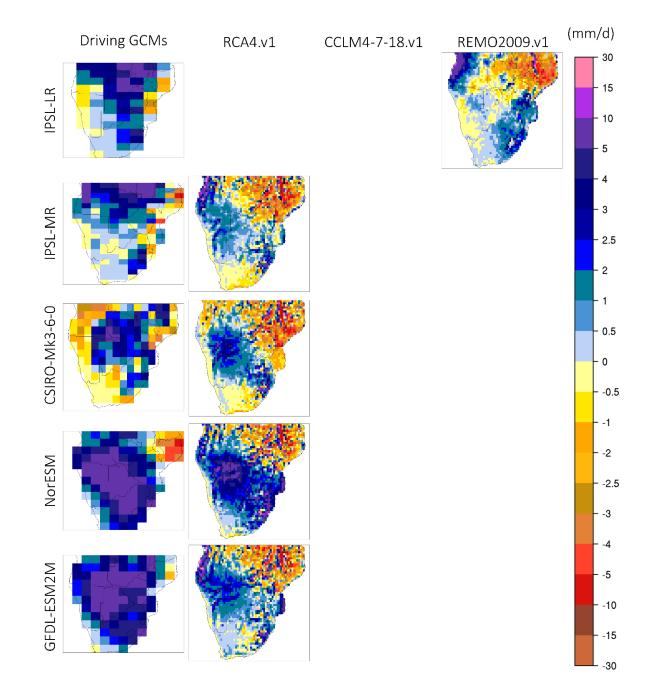




Figure 6. Monthly precipitation biases (model – CHIRPS in mm/d) during January for the period 1985-2005. First column (from the left) displays precipitation biases from the driving GCMs used and columns 2-4 display the downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- 746 Figure 6. Continued.

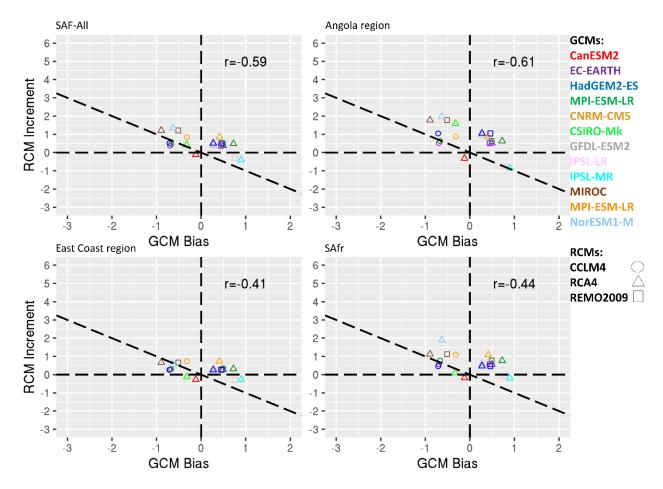


Figure 7. Scatterplots of the RCM increment (RCM-GCM) for precipitation (mm/day) as a function of the GCM bias
 (GCM-OBS) for October. Colors indicate the driving GCM and shapes indicate the downscaling RCMs. The four
 panels indicate spatial averages over southern Africa (SAF-All region), the Angola region, the East Coast region and
 the SAfr region.

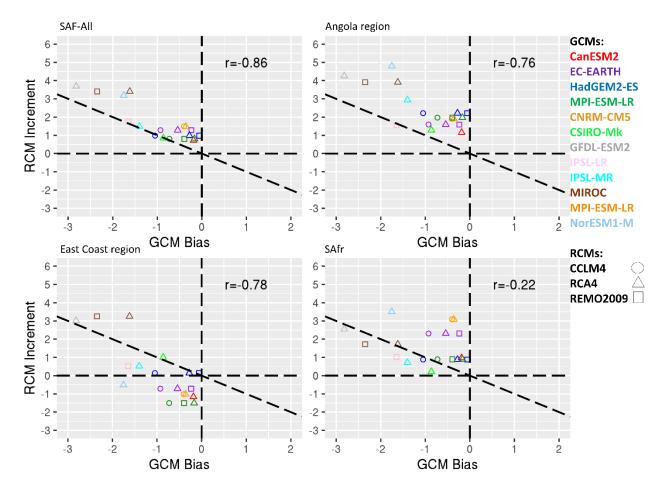




Figure 8. Scatterplots of the RCM increment (RCM-GCM) for precipitation (mm/day) as a function of the GCM bias
 (GCM-OBS) for January. Colors indicate the driving GCM and shapes indicate the downscaling RCMs. The four
 panels indicate spatial averages over southern Africa (SAF-All region), the Angola region , the East Coast region and
 the SAfr region.

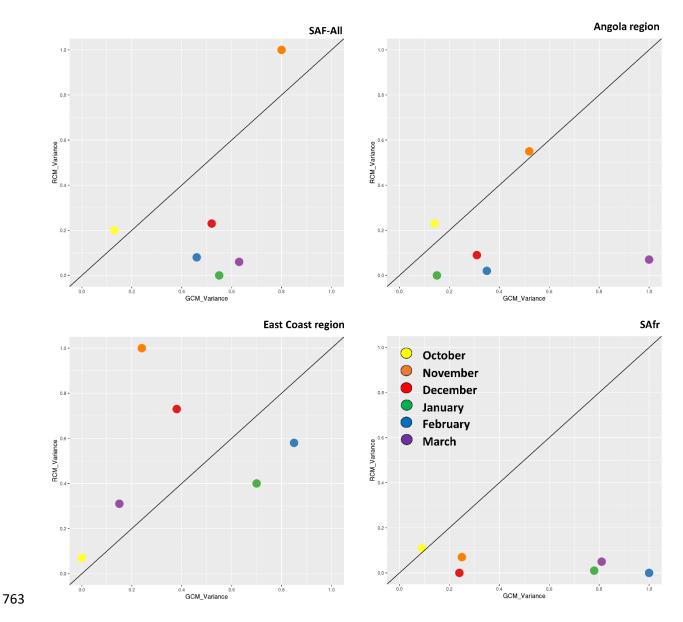


Figure 9. Analysis of variance for monthly precipitation during 1985-2005 for southern Africa (SAF-All region) and
 the 3 sub-regions examined, namely the Angola region, East Coast region and the SAfr region. The x and y-axis
 display standardized precipitation variances.

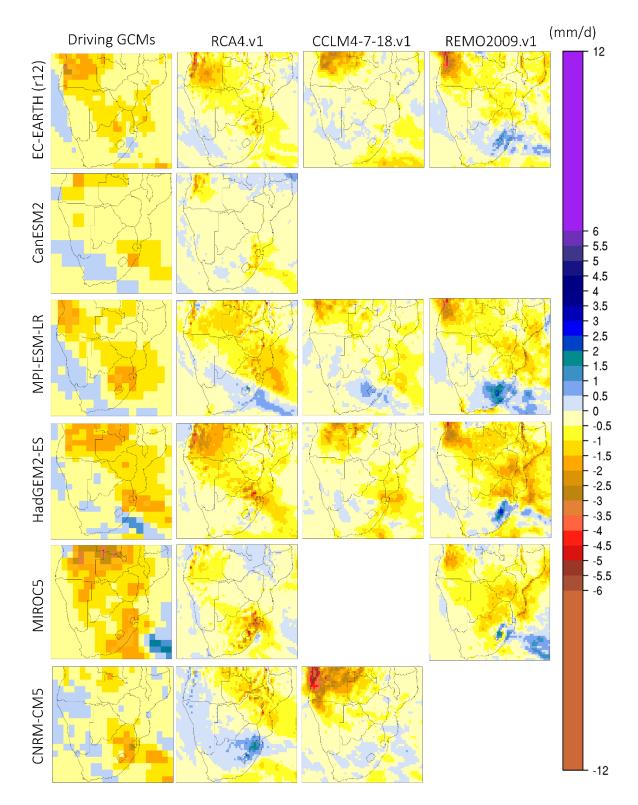
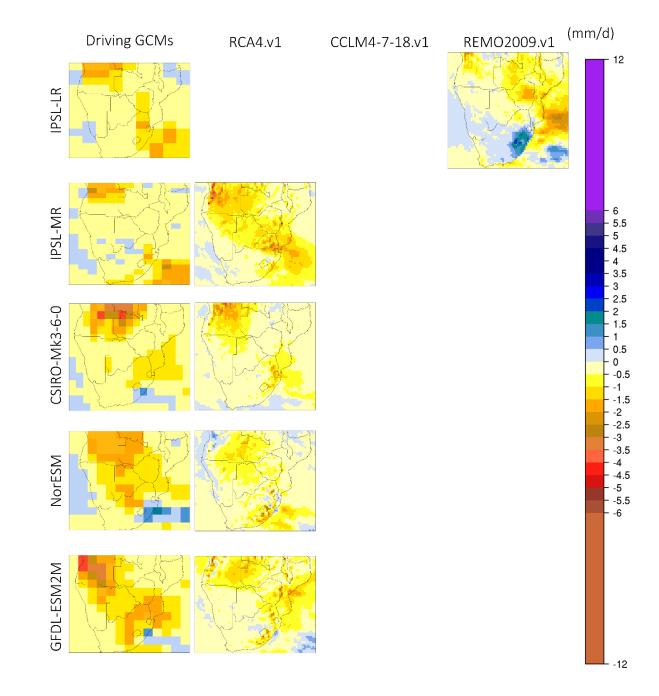




Figure 10. Monthly precipitation change (future – present in mm/d) during October for the period 2065-2095 relative to 1985-2005. First column (from the left) displays precipitation change from the driving GCMs used and columns 2-4 display the downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.



- **Figure 10.** Continued.

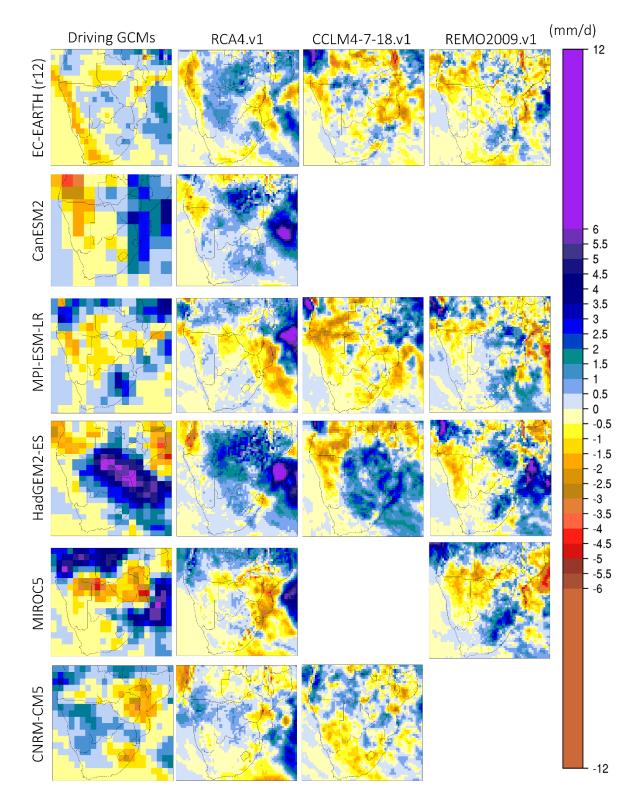
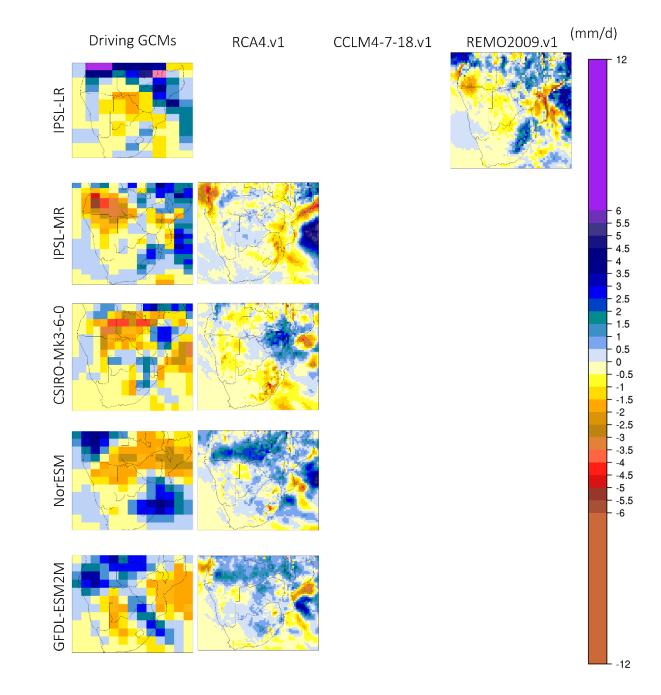




Figure 11. Monthly precipitation change (future – present in mm/d) during January for the period 2065-2095 relative to 1985-2005. First column (from the left) displays precipitation change from the driving GCMs used and columns 2-4 display the downscaled products according to RCA4.v1, CCLM4-8-17.v1 and REMO2009.v1.





- 791 Figure 11. Continued.

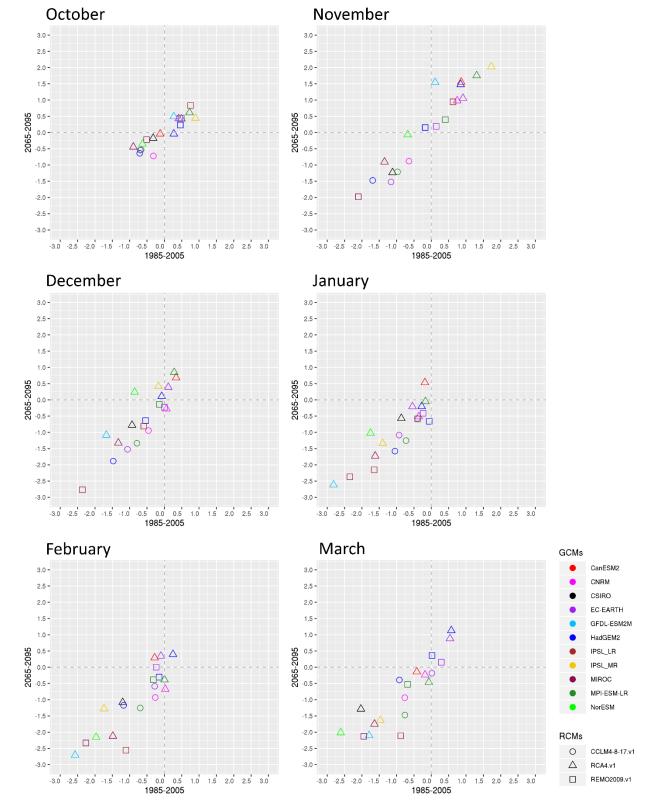




Figure 12. Monthly RCM_{DRI} – DRI spatial averages over southern Africa for the historical period (1985-2005) on the x-axis and the future period (2065-2095) under RCP8.5 on the y-axis.

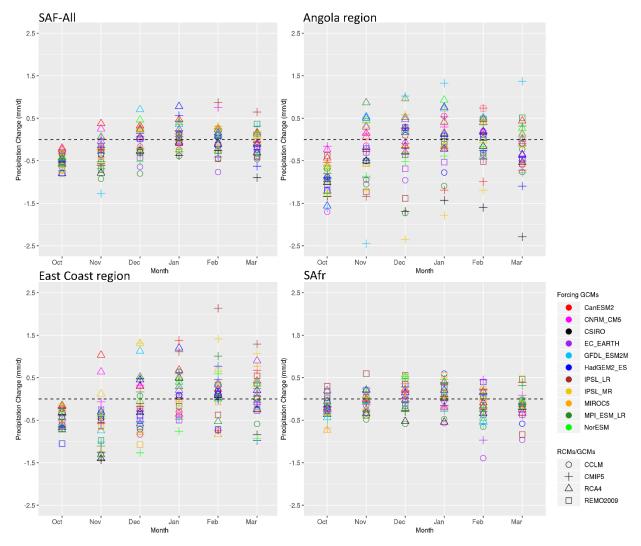


Figure 13. Spatial average of the precipitation change signal (mm/d) from RCMs and their driving GCMs relative to
 1985-2005 for southern Africa and the 3 sub-regions examined.

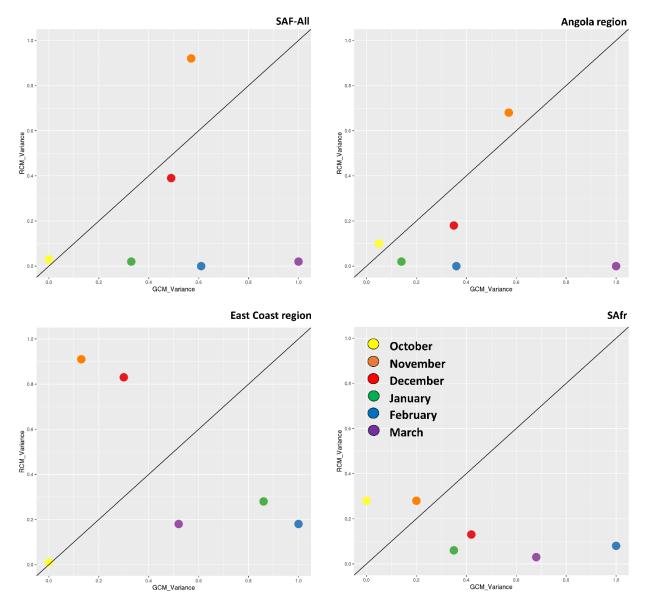


Figure 14. Analysis of variance for monthly precipitation during 2065-2095 for southern Africa (SAF-All region) and
 the 3 sub-regions examined, namely the Angola region, East Coast region and the SAfr region. The x and y-axis
 display standardized precipitation variances.