



1 **Embedding a One-column Ocean Model (SIT 1.06) in the**
2 **Community Atmosphere Model 5.3 (CAM5.3; CAM5–**
3 **SIT v1.0) to Improve Madden–Julian Oscillation**
4 **Simulation in Boreal Winter**

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10 **Abstract**

11 The effect of the air–sea interaction on the Madden–Julian Oscillation (MJO)
12 was investigated using the one-column ocean model Snow–Ice–Thermocline (SIT
13 1.06) embedded in the Community Atmosphere Model 5.3 (CAM5.3; hereafter
14 CAM5–SIT v1.0). The SIT model with 41 vertical layers was developed to simulate
15 sea surface temperature (SST) and upper-ocean temperature variations with a high
16 vertical resolution that resolves the cool skin and diurnal warm layer and the upper
17 oceanic mixed layer. A series of 30-year sensitivity experiments were conducted in
18 which various model configurations (e.g., coupled versus uncoupled, vertical
19 resolution and depth of the SIT model, coupling domains, and absence of the diurnal
20 cycle) were considered to evaluate the effect of air–sea coupling on MJO simulation.
21 Most of the CAM5–SIT experiments exhibited higher fidelity than the CAM5-alone
22 experiment in characterizing the basic features of the MJO such as spatiotemporal
23 variability and the eastward propagation in boreal winter. The overall MJO simulation
24 performance of CAM5–SIT benefited from (1) better resolving the fine structure of
25 upper-ocean temperature and therefore the air–sea interaction that resulted in more
26 realistic intraseasonal variability in both SST and atmospheric circulation and (2) the
27 adequate thickness and vertical resolution of the oceanic mixed layer. The sensitivity
28 experiments demonstrated the necessity of coupling the tropical eastern Pacific in
29 addition to the tropical Indian Ocean and the tropical western Pacific. Enhanced MJO
30 could be obtained without considering the diurnal cycle in coupling.



31 **1. Introduction**

32 The Madden–Julian Oscillation (MJO) is a tropical large-scale convection
33 circulation system that propagates eastward across the warm pool region from the
34 tropical Indian Ocean (IO) to the western Pacific (WP) on an intraseasonal time scale
35 (Madden and Julian, 1972). The MJO is not just an atmospheric phenomenon. The
36 findings of the multinational joint field campaign called the Cooperative Indian Ocean
37 Experiment on Intraseasonal Variability in the Year 2011/Dynamics of the MJO (de
38 Szoeke et al., 2017; Johnson and Ciesielski, 2017; Pujiana et al., 2018; Yoneyama et
39 al., 2013; Zhang and Yoneyama, 2017) revealed vigorous air–sea coupling during the
40 evolution of the MJO (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015,
41 2020; Kim et al., 2010; Li et al., 2016; Li et al., 2020; Newman et al., 2009; Pei et al.,
42 2018; Tseng et al., 2014). During the suppression of convection, the MJO propagates
43 eastward with light winds, which is accompanied by enhanced downwelling
44 shortwave radiation absorption, weaker upward latent and sensible fluxes, less
45 cloudiness and precipitation, and weaker vertical mixing in the upper ocean, thus
46 causing an increase in the upper-ocean temperature. In the following active phase
47 when deep convection occurs, downwelling shortwave radiation is reduced and
48 stronger westerly winds enhance evaporation and sensible heat loss from the ocean
49 surface, thus causing a decrease in the upper-ocean temperature (DeMott et al., 2015;
50 Madden and Julian, 1972, 1994; Zhang, 2005).

51 In addition to the ocean surface, the structure of the upper ocean also evolves.
52 Alappattu et al. (2017) reported that during an MJO event, surface flux perturbations
53 cause changes in the ocean thermohaline structure, thus affecting the mixed-layer
54 temperature. The following change in sea surface temperature (SST) can further affect
55 atmospheric circulation of the MJO. Variations in SST mediate heat exchange across
56 the air–sea interface. Although SST responds to atmospheric forcing, its modulation



57 of surface heat fluxes provides feedback to the atmosphere (DeMott et al., 2015; Jiang
58 et al., 2020). Li et al. (2008, 2020) proposed that the phase relationship between SST
59 and convection implies a delayed air–sea interaction mechanism whereby a preceding
60 active-phase MJO may trigger an inactive-phase MJO through the delayed effect of
61 the induced SST anomaly over the IO. The reduction in SST caused by a preceding
62 active-phase MJO may, in turn, yield delayed ocean feedback that initiates a
63 suppressed-phase MJO, and vice versa. The nonnegligible effect of intraseasonal SST
64 variations caused by surface fluxes suggests that the ocean state can affect the MJO
65 (DeMott et al., 2015, 2019; Hong et al., 2017; Li et al., 2020).

66 Since its discovery almost five decades ago, the MJO remains a phenomenon
67 that poses a challenge to the capacity of state-of-the-art atmospheric general
68 circulation models (AGCMs) and climate models such as those participating in the
69 Coupled Model Intercomparison Project phase 5 and 6 to generate successful
70 simulations (Ahn et al., 2017, 2020; Bui and Maloney 2018; Jiang et al., 2020; Hung
71 et al., 2013; Kim et al., 2011).

72 Recent studies have reported that air–sea coupling improves the representation of
73 the MJO in numerical simulation (Bernie et al., 2008; Crueger et al., 2013; DeMott et
74 al., 2015; Li et al., 2016; Li et al., 2020; Tseng et al., 2014; Woolnough et al., 2007).
75 Tseng et al. (2014) indicated that effectively resolving the upper-ocean warm layer to
76 capture temperature variations in the upper few meters of the ocean could improve
77 MJO simulation. DeMott et al. (2015) suggested that the tropical atmosphere–ocean
78 interaction may sustain or amplify the pattern of the enhanced and suppressed
79 atmospheric convection of the eastward propagation. DeMott et al. (2019)
80 demonstrated that the improved MJO eastward propagation in four coupled models
81 resulted from enhanced low-level convective moistening for a rainfall rate of >5 mm
82 day⁻¹ due to air–sea coupling. In addition, numerical experiments have been



83 performed to investigate the effect of the diurnal cycle on the MJO (Hagos et al.,
84 2016; Oh et al., 2013), with the results suggesting that the strength and propagation of
85 the MJO through the Maritime Continent (MC) were enhanced when the diurnal cycle
86 was ignored.

87 Although previous studies have demonstrated the importance of considering the
88 air–sea interaction in a numerical model to improve MJO simulation, additional
89 details regarding model configuration (e.g., vertical resolution, depth of the ocean
90 mixed layer, coupling domain, and absence of the diurnal cycle) have not been
91 systematically explored. Tseng et al. (2014) coupled the one-column ocean model
92 Snow–Ice–Thermocline (SIT; Tu and Tsuang, 2005) to the fifth generation of the
93 ECHAM AGCM (ECHAM5–SIT) and indicated that a vertical resolution of 1 m was
94 essential to yield an improved simulation of the MJO with a realistic strength and
95 eastward propagation speed.

96 In this study, we coupled the SIT model to the Community Atmosphere Model
97 version 5.3 (CAM5.3; Neale et al., 2012)—the atmosphere component of the
98 Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013)—to
99 explore how the air–sea interaction in AGCMs can improve MJO simulation. The
100 CAM5.3, which has been widely used for the long-term simulation of the climate
101 system, could not efficiently simulate the eastward propagation of the MJO; instead,
102 the model simulated a tendency for the MJO to move westward in the IO (Boyle et
103 al., 2015, Jiang et al, 2015). By contrast, the updated CESM2 with the new CAM6
104 could realistically simulate the MJO (Ahn et al., 2020; Danabasoglu et al., 2020).
105 Thus, the well-explored CAM5, which does not produce a realistic MJO, appears to
106 be a favorable choice for exploring how coupling a simple one-dimensional (1-D)
107 ocean model, such as the SIT model, can improve MJO simulation, as well as the
108 effects of model configuration. Such a study can also enhance our understanding



109 regarding the air–sea coupling’s effect on the MJO.

110 This study examined how air–sea coupling can improve MJO simulation,
111 especially that of the eastward propagation that has been poorly simulated in many
112 climate models. The MJO that exhibits a more substantial eastward propagation in
113 boreal winter than in other seasons was the targeted feature in this study. We
114 conducted a series of 30-year numerical experiments by considering various model
115 configurations (e.g., coupled versus uncoupled, vertical resolution and depth of the
116 SIT model, coupling domains, and absence of the diurnal cycle) to investigate the
117 effect of air–sea coupling. This paper is organized as follows. Section 2 describes the
118 data, methodology, and model setup. Section 3 presents the design of coupled model
119 experiments. Section 4 describes the effect of various model configurations on the
120 MJO simulation determined through detailed MJO diagnostics. A discussion and
121 conclusions are provided in Section 5.

122

123 **2. Data, methodology, and model description**

124 **2.1 Observational data and analysis methods**

125 The data analyzed in this study include precipitation from the Global
126 Precipitation Climatology Project, outgoing longwave radiation (OLR) and daily SST
127 (Optimum Interpolation SST) from the National Oceanic and Atmosphere
128 Administration (NOAA), and parameters from the ERA-Interim reanalysis (Adler et
129 al., 2003; Dee et al., 2011; Lee et al., 2011; Reynolds and Smith, 1995; Schreck et al.,
130 2018). The initial SST data for the SIT model were obtained from the Hadley Centre
131 Sea Ice and Sea Surface Temperature dataset (Rayner et al., 2003) and the ocean
132 subsurface data (40-layer climatological ocean temperature, salinity, and currents) for
133 nudging were retrieved from the National Centers for Environmental Prediction
134 (NCEP) Global Ocean Data Assimilation System (GODAS; Behringer and Xue,



135 2004). Ocean bathymetry was derived from the NOAA ETOPO1 data (Amante and
136 Eakins, 2009) and interpolated into $1.9^\circ \times 2.5^\circ$ horizontal resolution.

137 We used the CLIVAR MJO Working Group diagnostics package (CLIVAR,
138 2009) and a 20–100-day filter (Kaylor, 1977; Wang et al., 2014) to determine
139 intraseasonal variability. MJO phases were defined following the index (namely,
140 RMM1 and RMM2) proposed by Wheeler and Hendon (2004), which considers the
141 first two principal components of the combined near-equatorial OLR and zonal winds
142 at 850 and 200 hPa. The band-passed filtered data were used for calculating the index
143 and defining phases.

144

145 **2.2 Model description**

146 **2.2.1 CAM5.3**

147 The CAM5.3 used in this study has a horizontal resolution of 1.9° latitude \times
148 2.5° longitude and 30 vertical levels with the model top at 0.1 hPa. The MJO could
149 not be realistically simulated in the CAM5.3. Boyle et al. (2015) demonstrated that
150 although making the deep convection dependent on SST improved the simulation of
151 the MJO variance, it exerted a significant negative effect on the mean-state climate of
152 low-level cloud and absorbed shortwave radiation. By comparing the simulation
153 results of an uncoupled and coupled CAM5.3, Li et al. (2016) suggested that air–sea
154 coupling and the convection scheme most significantly affected the MJO simulation
155 in the climate model.

156

157 **2.2.2 1-D high-resolution TKE ocean model**

158 The 1-D high-resolution turbulence kinetic energy ocean model SIT was used to
159 simulate the diurnal fluctuation of SST and surface energy fluxes. The model was well
160 verified against surface and subsurface observations in the South China Sea (Lan et



161 al., 2010) and the tropical WP (Tu and Tsuang, 2005). Variations in sea water
162 temperature (T), current (\vec{u}), and salinity (S) were determined (Gaspar et al., 1990)
163 using the following equations.

$$164 \quad \frac{\partial T}{\partial t} = (k_h + v_h) \frac{\partial^2 T}{\partial z^2} + \frac{R_{sn}}{\rho_{w0} c_w} \frac{\partial F}{\partial z} \quad (1)$$

$$165 \quad \frac{\partial \vec{u}}{\partial t} = -f \hat{k} \times \vec{u} + (k_m + v_m) \frac{\partial^2 \vec{u}}{\partial z^2} \quad (2)$$

$$166 \quad \frac{\partial S}{\partial t} = (k_h + v_h) \frac{\partial^2 S}{\partial z^2} \quad (3)$$

167 where R_{sn} is the net solar radiation at the surface (W m^{-2}), $F(z)$ is the fraction
168 (dimensionless) of R_{sn} that penetrates to the depth z , and k_h and k_m are eddy diffusion
169 coefficients for heat and momentum ($\text{m}^2 \text{s}^{-1}$), respectively. The value of k_h within the
170 cool skin layer and that of k_m within the viscous layer were set to zero. Molecular
171 transport is the only mechanism for the vertical diffusion of heat and momentum in
172 the cool skin and viscous layer, respectively (Hasse, 1971; Grassl, 1976; Wu,
173 1985). The parameters v_m and v_h are the molecular diffusion coefficients for
174 momentum and temperature, respectively, ρ_{w0} is the density (kg m^{-3}) of water, and
175 c_w is the specific heat capacity at constant pressure ($\text{J kg}^{-1} \text{K}^{-1}$). S is salinity (‰), \vec{u}
176 is the current velocity (m s^{-1}), f is the Coriolis parameter (dimensionless), and \hat{k} is
177 the vertical unit vector (m s^{-1}).

178 The eddy diffusivity for momentum k_m is simulated using an eddy kinetic energy
179 approach based on the Prandtl–Kolmogorov hypothesis as follows:

$$180 \quad k_m = c_k l_k \sqrt{E} \quad (3)$$

181 where $c_k = 0.1$ (Gaspar et al., 1990), l_k is the mixing length (m), and
182 $E = 0.5(u^2 + v^2 + w^2)$ is turbulent kinetic energy. The turbulent kinetic energy (E)
183 is determined using a 1-D equation (Mellor and Yamada, 1982) as follows:



$$184 \quad \frac{\partial E}{\partial t} = \frac{\partial}{\partial z} k_m \frac{\partial E}{\partial z} + k_m \left(\frac{\partial \bar{u}}{\partial z} \right)^2 + k_h \frac{g}{\rho_w} \frac{\partial \rho_w}{\partial z} - c_\varepsilon \frac{E^{3/2}}{l_\varepsilon} \quad (4)$$

185 where $c_\varepsilon = 0.7$ (Gaspar et al., 1990), g is the gravity (m s^{-2}), ρ_w is the density of
186 water (kg m^{-3}), and l_ε is the characteristic dissipation length (m). The mixing length
187 (l_k) and dissipation length (l_ε) were determined following the approach reported by
188 Gaspar et al. (1990). This approach is valid for determining the eddy diffusivity of
189 both the ocean mixed layer and surface layer.

190 In the SIT model setting, the specific heat of sea water is a constant (4186.84 J
191 $\text{kg}^{-1} \text{K}^{-1}$), and the Prandtl number in water is defined as the ratio of momentum
192 diffusivity to thermal diffusivity, which is a dimensionless number set as a constant
193 (1.0). The kinematic viscosity is a constant ($1.14 \times 10^{-6} \text{m}^2 \text{s}^{-1}$; Paulson and
194 Simpson, 1981), and the downward solar radiative flux into water with nine
195 wavelength bands was determined following the approach reported by Paulson and
196 Simpson (1981). The minimum turbulent kinetic energy is set to $10^{-6} \text{m}^2 \text{s}^{-2}$, and the
197 zero displacement is set to 0.03 m.

198 The SIT model determines the vertical profiles of the temperature and
199 momentum of a water column from the surface down to the seabed. To account for the
200 neglected horizontal advection heat flux, the ocean is weakly nudged (by using a 30-
201 day time scale) between 10 and 100 m and strongly nudged (by using a 1-day time
202 scale) below 100 m according to the NCEP GODAS climatological ocean
203 temperature; no nudging is performed for depths under 10 m. Considerably fine 41-
204 layer vertical discretization is applied, with 12 layers in the upper 10 m. The
205 resolution in the upper 10 m is considerably fine to capture the upper-ocean warm
206 layer, and the thickness of the first layer below sea surface is 0.05 mm to reproduce
207 the ocean surface cool skin. The 41 levels are at the surface and at the depths of 0.05



208 mm, 1.0 cm, 2.0 cm, 3.0 cm, 4.0 cm, 5.0 cm, 6.0 cm, 7.0 cm, 8.0 cm, 9.0 cm, 10.0 cm,
209 16.8 cm, 29.5 cm, 43.6 cm, 59.2 cm, 76.9 cm, 96.8 m, 119.4 cm, 145.3 cm, 174.9 cm,
210 208.9 m, 248.3 cm, 293.8 cm, 346.8 cm, 408.4 cm, 480.2 cm, 564.3 cm, 662.6 cm,
211 777.9 cm, 913.1 cm, 1072.0 cm, 1258.8 cm, 1478.6 cm, 1737.3 cm, 2042.0 cm,
212 2401.1 cm, 2824.4 cm, 3323.6 cm, 3912.4 cm, and 4607.1 cm. The SIT model
213 calculates data two times for each CAM5 time step (30 min; i.e., coupling 48 times
214 per day).

215

216 3. Experimental setup

217 Five sets of 30-year numerical experiments (Table 1) were conducted to
218 investigate the effect of the air–sea interaction on the MJO simulation. In all
219 simulations, the CAM5.3 was forced by observed climatological monthly SST except
220 in the coupling region where the SIT model determined the upper ocean temperature.
221 The five experiment sets were (1) a standalone CAM5.3 simulation forced by
222 observed climatological monthly SST (A–CTL) and a coupled CAM5–SIT v1.0
223 simulation (C–30NS; 41 vertical levels, coupling in the entire tropics between 30°S
224 and 30°N with a diurnal cycle); (2) an upper-ocean vertical resolution experiment (C–
225 LR12m and C–LR34m): two coarse vertical resolution simulations with a thickness of
226 11.8 and 34.2 m, respectively, at the third layer; (3) a lower ocean boundary
227 experiment: three simulations with the lower boundary of the SIT model at 10 m (C–
228 HR1mB10m), 30 m (C–HR1mB30m), and 60 m (C–HR1mB60m)]; (4) a regional
229 coupling experiment: simulations with four coupling domains, namely the latitudinal
230 effect [0°N–30°N (C–0_30N) and 0°S–30°S (C–0_30S)] and the longitudinal effect
231 [30°E–180°E (C–30_180E) and 30°E–75°W (C–30E_75W)] (see the coupling
232 domain in Fig. 1); and (5) a diurnal coupling experiment: a nondiurnal simulation that



233 considers the air–sea interaction by calculating ocean surface fluxes based on daily
234 mean atmospheric variables and SST (C–30NS–nD), with the coupling frequency
235 maintained 48 times per day to prevent the local time in different regions from being
236 inconsistent when coupling once a day. Greenhouse gas concentrations were fixed at
237 the values observed in the year 2000.

238 The main codes of the SIT model in Fortran 90 are packaging in independent
239 and original subprograms, with data and interface blocks in modules, that creates
240 explicit interfaces between the CAM5.3 and the SIT model without a coupler. In
241 addition, these modules contain dynamically allocable arrays and the independent I/O
242 procedures of the SIT model. The coupler in the CAM5–SIT only brokers
243 communication interchanges between the simulated SST and calculated oceanic
244 surface fluxes.

245

246 **4. Results and Discussion**

247 The realistic simulation of the MJO has always been a major bottleneck in the
248 development of climate models. In this section, we demonstrate how air–sea coupling
249 using a 1-D ocean mixed-layer model significantly improves the MJO simulation by
250 the CAM5.3. The period between November and April when the MJO is the most
251 prominent was the targeted season in this study.

252

253 **4.1 Improvement of MJO simulation through air–sea coupling**

254 This subsection compares the MJO simulation of the coupled model (C–30NS)
255 with that of the uncoupled AGCM (A–CTL) forced by climatological monthly SST to
256 demonstrate the effect of air–sea coupling on the MJO simulation by coupling the SIT
257 model to the CAM5.3 in the tropical belt (30°N–30°S).

258



259 **4.1.1 Wavenumber–frequency spectra and eastward propagation**
260 **characteristics**

261 A wavenumber–frequency spectrum (W–FS) analysis was conducted to quantify
262 propagation characteristics simulated in different experiments. The spectra
263 of unfiltered U850 in observation, C–30NS, and A–CTL are shown in Fig. 2a–c,
264 respectively. The coupled C–30NS effectively simulated the observed eastward-
265 propagating signals at zonal wavenumber 1 and 30–80-day periods (Fig. 2a–b),
266 although with a slightly larger amplitude. By contrast, the uncoupled A–CTL did not
267 effectively simulate the observed characteristics; instead, it simulated both eastward
268 (wavenumber 1)- and westward (wavenumber 2)-propagating signals with an
269 unrealistic spectral shift to time scales longer than the observed 30–80-day period.

270 The major features of the simulated MJO propagation were examined. Figure
271 2d–f show the time evolution of intraseasonal precipitation and U850 anomalies in
272 Hovmöller diagrams; specifically, lagged correlation coefficients between
273 precipitation at 10°S–5°N, 75–100°E with the average precipitation at 10°N–10°S and
274 U850 anomalies along the equator. Figure 2d indicates eastward propagation for both
275 precipitation and U850 from the eastern IO to the dateline, with precipitation leading
276 U850 by approximately a quarter of a cycle. The Hovmöller diagram derived from the
277 C–30NS (Fig. 2e) exhibits the key characteristics of eastward propagation for both
278 precipitation and U850 and the relative phases between the two, although the
279 simulated correlation was slightly weaker than that observed. By contrast, the
280 uncoupled A–CTL simulated intraseasonal signals that propagated westward over the
281 IO and simulated weak and much slower eastward propagation crossing the MC and
282 WP (Fig. 2f). The contrast between Fig. 2e and 2f demonstrated that coupling a 1-D
283 ocean TKE ocean model alone could lead to a significant improvement in an AGCM
284 in simulating the major characteristics (e.g., amplitude, propagation direction and



285 speed, and phase relationship between precipitation and circulation) of the MJO.

286

287 **4.1.2 Coherence of the simulated MJO**

288 Cross-spectral analysis was performed to examine coherence and phase lag
289 between tropical circulation and convection, which were plotted over the tropical
290 wave spectra. Figure 2g–i show the symmetric part (e.g., Wheeler and Kiladis, 1999)
291 of OLR and U850 in observation, C–30NS, and A–CTL, respectively. We present
292 only a magnified display of spectra between the frequency of 0 to 0.35 day^{-1} to
293 highlight the MJO and equatorial Kelvin waves. The most prominent characteristic
294 observed was the peak coherence at wavenumbers 1–3 and a phase lag of
295 approximately 90° in the 30–80-day band for the symmetric component associated
296 with the MJO (Ren et al., 2019; Wheeler and Kiladis 1999). C–30NS simulated strong
297 coherence in this low-frequency band (wavenumber 1) and exhibited a realistic phase
298 lag relationship between U850 and OLR perturbations. However, the coherence at
299 wavenumbers 2–3 for the 30–80-day period simulated by C–30NS was weaker than
300 that observed. In addition, this undersimulation was noted in CCSM4 (Subramanian et
301 al., 2011), the uncoupled and coupled CAM4 and CAM5 (Li et al., 2016), and
302 NorESM1-M (Bentsen et al., 2013), which had a version of the CAM as an AGCM. In
303 summary, C–30NS produced coherent and energetic patterns in the eastward-
304 propagating intraseasonal fluctuations of U850 and OLR in the tropical IO and WP
305 that are generally consistent with the MJO characteristics. By contrast, the MJO
306 characteristics in A–CTL were considerably weaker than those in C–30NS and that
307 observed.

308

309 **4.1.3 Horizontal and vertical structures of the MJO across the MC**

310 Figure 2j–o show the horizontal and vertical structures of the MJO when deep



311 convection is the strongest over the MC (i.e., phase 5). Figure 2j–l present the 20–
312 100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} , vector). C–30NS
313 realistically simulated the enhanced tropical convection over the eastern IO and the
314 Kelvin-wave-like easterly anomalies over the tropical WP despite undersimulating
315 the convection over the MC (Fig. 2j and 2k). By contrast, A–CTL failed to simulate
316 the enhanced convection over the eastern IO and MC; instead, it simulated
317 considerably weaker convection and easterly winds over the MC and WP,
318 respectively, than that observed (Fig. 2j and 2l).

319 Figure 2m–o show the vertical–longitudinal profiles of 20–100-day filtered
320 15°N – 15°S averaged vertical velocity (OMEGA; Pa s^{-1} , shaded) and moist static
321 energy (MSE) anomalies (W m^{-2} , contour) at phase 5. The spatial distribution of
322 negative OMEGA (ascending motion) anomalies generally agreed with OLR
323 anomalies in C–30NS simulation and observation over the Indo-Pacific region (Fig.
324 2m and 2n). The observed relative spatial relationship between the ascending motion
325 and MSE was well simulated in C–30NS. For example, positive MSE anomalies on
326 the eastern side of the anomalous ascent demonstrated that the energy recharge
327 process occurs in advance of the MJO convection over the lower-tropospheric
328 easterlies (Fig. 2j and 2k), whereas negative MSE anomalies on the western side
329 revealed that the discharge process occurs during and after convection over the lower-
330 tropospheric westerlies. By contrast, this phase relationship, considered to be an
331 essential feature leading to the eastward propagation of an MJO (Hannah and
332 Maloney 2014; Heath et al., 2021), was not properly simulated in A–CTL (Fig. 2o), in
333 which the simulated weak negative OMEGA was located between negative and
334 positive MSE anomalies over weak lower-tropospheric wind anomalies and
335 associated with weak convection over the MC (Fig. 2l).

336 The observed temporal evolution (Fig. 3a) indicated that convection originating



337 in the western IO was enhanced during its eastward propagation to the MC where it
338 reached the peak amplitude and then gradually weakened when continuing moving
339 eastward to the dateline. In C–30NS, this evolution of convectively coupled
340 circulation was realistically simulated, although it was weaker than the observed
341 strength (Fig. 3b). Moreover, the split of convection into two cells off the equator in
342 phase 6 was appropriately simulated in C–30NS (P6 in Fig. 3a and 3b). This split
343 was caused by the topographic and land–sea contrast effects of the MC (Tseng et al.,
344 2017). Associated with the split was the southward detouring of the anomalous
345 convection during the passage of the MJO through the MC (Kim et al. 2017, Tseng
346 et al., 2017; Wu and Hsu, 2009). After the passage of the MJO through the MC, the
347 anomalous convection stayed south of the equator and continued moving eastward
348 to the dateline. In the uncoupled A–CTL, the systematic eastward propagation of
349 convectively coupled MJO circulation from the IO into the MC was not simulated.
350 Instead, the convection over the MC developed in situ at a later stage than that
351 observed (e.g., P6 in Fig. 3c) and dissipated rapidly. The A–CTL simulated a pair of
352 off-equator convection anomalies in the eastern IO during phase 2 (P2 in Fig. 3c) that
353 moved westward toward the central IO and were amplified at later stages (e.g., P4 in
354 Fig. 3c). This unrealistic evolution explains the westward propagation tendency
355 observed in the Hovmöller diagram (Fig. 2f).

356

357 **4.1.4 Characteristics of air–sea interaction**

358 Figure 4a–c show the longitude–phase diagram in which the 20–100-day filtered
359 precipitation (shaded) and SST (contour) anomalies were averaged over 10°S–10°N to
360 determine the relationship between precipitation and SST fluctuations and to establish
361 a link between air–sea coupling and convection. The propagation of the enhanced
362 convection with positive SST anomalies to the east could be clearly seen in



363 observation and C–30NS (Fig. 4a and 4b). The highest SST anomaly (SSTA) led the
364 maximum precipitation anomaly by approximately 2–3 phases, and the SSTA began
365 to decrease following the onset of enhanced precipitation. The observation revealed
366 the following relationship between surface flux and SST: the decreased (increased)
367 latent/sensible heat fluxes and increased (decreased) downward radiation flux leading
368 (lagging) the positive (negative) SSTA east (west) of anomalous deep convection.
369 This well-known lead–lag relationship reflecting the active air–sea interaction in an
370 MJO was realistically simulated in C–30NS (not shown).

371 The contrast between C–30NS and A–CTL confirms the key role of the air–sea
372 interaction in contributing to the eastward propagation and demonstrates that the
373 eastward propagation simulation can be markedly improved by incorporating the air–
374 sea interaction process in the model, even when using a simple 1-D ocean model such
375 as SIT.

376

377 **4.1.5 Vertically tilting structure**

378 The warm SST was the key forcing that contributed to the boundary layer
379 convergence before the onset of deep convection (Li et al., 2020; Tseng et al., 2014).
380 Hence, the warmer upper ocean enhances the low-level atmospheric convergence and
381 then leads to enhanced low-level moisture and preconditioned deep convection and
382 eastward propagation. This moistening process associated with warm ocean surface
383 temperature was well simulated in C–30NS but is not shown here. Instead, we present
384 the coupling of moisture divergence (MD) and circulation.

385 MD and zonal wind anomalies from the surface to the upper troposphere
386 averaged over the 10°S–10°N and 120–150°E region are shown in Fig. 4d–f to depict
387 the relationship between the vertically tilting structure of MD and zonal wind
388 anomalies. Note that the active convection occurred around phase 5. The coupled



389 experiment C–30NS (Fig. 4e) realistically simulated the observed deepening of
390 coupled MD and zonal wind anomalies with time (Fig. 4d). An evolution from the
391 right to left seen in each panel of Fig. 4d–f was equivalent to the eastward
392 movement of vertically tilting circulation from the eastern IO into the MC because
393 of the eastward-propagating nature of the MJO. Figure 4d and 4e show that in both
394 observation and C–30NS, the near-surface convergence (negative MD) occurring in
395 the easterly anomalies led the convection and continued deepening up to 500 hPa
396 from phase 2 to phase 6 when the easterly anomalies switched to westerly
397 anomalies. By contrast, this observed evolution of coupled MD–zonal wind
398 anomalies were not appropriately simulated in the uncoupled experiment (Fig. 4f).
399 For example, a slow deepening with time was observed in the MD anomaly but not
400 in the zonal wind anomaly that exhibited a vertically decayed structure, suggesting
401 that MD and wind anomalies were not well coupled, as noted in observation and the
402 coupled experiment.

403 In observation, the negative near-surface MD anomalies appeared first under
404 the easterly anomaly and continued deepening between the easterly and westerly
405 anomalies. This development in the phase relationship between MD and zonal wind
406 anomalies in both observation and coupled simulation is consistent with the well-
407 known structure embedded in the MJO, namely the near-surface convergence in the
408 easterly phase (i.e., a boundary-layer moistening process; Kiranmayi and Maloney
409 2011; Li et al., 2020; Tseng et al., 2014), followed by the deep convection when
410 transitioning to the westerly phase. This close phase relationship that is key to the
411 eastward propagation was appropriately simulated in the coupled experiment but not
412 in the uncoupled experiment.

413

414 **4.1.6 Intraseasonal variance of precipitation**



415 Figure 4g–i present the spatial distribution of intraseasonal variance of
416 precipitation. In observation, the maximum variance was noted over the tropical
417 eastern IO, MC, and tropical WP. The maximum variance south of the island in the
418 MC and the equator in the tropical WP reflects the southward shift of the MJO deep
419 convection when passing through the MC, partly due to the blocking effect of
420 mountainous islands and the higher moisture content over high SST south of the
421 equator in the region during boreal winter (Kim et al., 2017; Ling et al., 2019; Sobel
422 et al., 2008; Tseng et al., 2017; Wu and Hsu, 2009). Although the coupled experiment
423 failed to simulate the variance maximum in the tropical eastern IO, it appropriately
424 simulated the maximum variance over the tropical WP, reflecting its ability to
425 simulate the eastward propagation of the MJO through the MC. By contrast, the
426 uncoupled A–CTL experiment simulated considerably weaker intraseasonal variance
427 in both the tropical eastern IO and the tropical WP. Figure 4j–l are the 20–100-day
428 filtered SST (K, shaded) and 850-hPa wind (m s^{-1} , vector) during MJO phase 7 when
429 deep convection is the strongest over the dateline. The C–30NS realistically
430 simulated the negative SST anomaly over the MC and WP when enhanced tropical
431 convection passed through the MC to the dateline, indicating the capability of the
432 SIT model to reproduce the observed SST anomaly by exchanging surface fluxes
433 between the atmosphere and ocean. In A-CTL, no SST anomaly was evident because
434 the model was forced by prescribed climatological SST. The contrast seen in Fig.
435 4j–l demonstrates the essential role of atmosphere–ocean coupling in shaping the
436 MJO. A delayed air–sea interaction mechanism was noted, where a preceding active-
437 phase MJO may trigger an inactive-phase MJO through the delayed effect of the
438 induced SST anomaly. In addition, the westerly winds at 850 hPa moving southward
439 between MC and WP were captured by C–30NS and were similar to the observed
440 winds (Fig. 4j and 4k). By contrast, A–CTL forced by climatological monthly SST



441 ($<0.05 \text{ K phase}^{-1}$ anomaly) failed to simulate the southward westerly wind of the
442 region extending from the MC to the dateline (Fig. 4l).

443

444 **4.2 Effect of upper-ocean vertical resolution**

445 In the coupled C–30NS, the vertical resolution in the upper 10 m was 1 m. Tseng
446 et al. (2014) suggested that fine vertical resolution is crucial for appropriately
447 simulating the eastward propagation. To investigate the effect of vertical resolution,
448 two coarse-resolution experiments were conducted, which involved increasing the
449 thickness of the first ocean layer (under the cool skin layer) to 11.8 m (C–LR12m)
450 and 34.2 m (C–LR34m), respectively. The W–FS spectral peaks of U850 in C–
451 LR12m were concentrated in eastward-propagating wavenumber 1 at three timescales
452 (e.g., longer than 80 days, 30–80 days, and approximately 30 days; Fig. 5a). In C–
453 LR34m, both eastward and westward signals were simulated with the dominant W–
454 FS timescale that was longer than 80 days (Fig. 5b). The appearance of both eastward
455 and westward signals at a lower frequency implied a stronger stationary tendency or
456 weaker eastward-propagating tendency. This result is consistent with that reported by
457 Tseng et al. (2014) that the coarser the resolution is, the slower is the eastward
458 propagation of the MJO.

459 The effect of vertical resolution on the MJO simulation can be seen in the
460 Hovmöller diagram. The eastward propagation simulated in C–LR12m (Fig. 5c)
461 markedly weakened after crossing the MC compared with that simulated in C–30NS.
462 In C–LR34m, the quasi-stationary fluctuation and westward propagation were
463 simulated over the IO (Fig. 5d), appearing similar to those in A–CTL. The observed
464 lead–lag relationship between precipitation (zonal wind) and SST was poorly
465 simulated in C–LR12m (Fig. 5e) and even more poorly simulated in C–LR34m (Fig.
466 5f). This result confirms the finding reported by Tseng et al. (2014) that a higher



467 vertical resolution in the first few meters allows for a faster air–sea interaction, thus
468 resulting in a more realistic simulation of the MJO.

469

470 **4.3 Effect of the lowest boundary of the SIT model**

471 The ocean is a vital energy source for the MJO. Although vertical resolution is
472 crucial for the efficiency of air–sea interaction, the thickness of the upper ocean that
473 interacts with the atmosphere represents the heat content to substantiate the MJO. A
474 key question is how thick an oceanic mixed layer should be for a realistic simulation.
475 To explore this issue, three experiments with a model ocean with a 1-m vertical
476 resolution and the ocean bottom at 10, 30, and 60 m, which included the top 11, 13,
477 and 15 levels, respectively, as listed in Section 2, were conducted. The spectra and the
478 Hovmöller diagrams shown in Fig. 6a–c and Fig. 6d–f, respectively, demonstrate that
479 the thicker model ocean simulated a stronger MJO with a frequency closer to the
480 observation and an eastward propagation similar to that in C–30NS and observations.
481 In addition, the lead–lag relationship between precipitation (wind) and SST was more
482 realistically simulated with increasing thickness (Fig. 6g–i).

483 This result suggests that the thickness of the upper ocean that interacts with the
484 atmosphere strongly affects the frequency of the simulated MJO. A thinner (thicker)
485 oceanic mixed layer is more quickly (slowly) recharged and discharged through heat
486 exchange between the atmosphere and ocean and therefore likely fluctuates at a faster
487 (slower) tempo. The simulated periodicity is therefore affected by the thickness of
488 oceanic mixed layer (or heat content). Although this study suggests 60 m is an
489 appropriate thickness to realistically simulate the periodicity of the MJO, we did not
490 intend to suggest the exact thickness required for a proper simulation because it might
491 depend on the model. The oceanic mixed layer should be adequately thick to contain a
492 certain amount of heat to generate periodicity that is close to that observed. However,



493 the reason for the intraseasonal time scale (i.e., 20-100 days) should be determined in
494 future studies. This finding does not suggest a constant periodicity because periodicity
495 might be affected by the time-varying structure of the atmosphere and ocean in the
496 real world.

497

498 **4.4 Effects of coupling domains**

499 The MJO is a planetary-scale phenomenon. Given its large-scale circulation, the
500 air–sea interaction affecting the MJO likely occurs in a much larger area than the
501 region near the major convection anomalies. In this section, we discuss whether and
502 how the coupling domain affects a model’s ability to simulate the MJO. Four
503 experiments considering the coupling in various domains (C–0_30N, C–0_30S, C–
504 30_180E, and C–30E_75W) were conducted to investigate the effect of the coupling
505 domain on the eastward propagation speed and periodicity of the MJO in the
506 simulation. The results are shown in Fig. 7. The domains of the four experiments are
507 shown in Fig. 1. The C–0_30N that considered the coupling in the tropics between the
508 equator and 30°N simulated the least realistic MJO propagation in terms of W–FS
509 (Fig. 7a), zonal wind–precipitation coupling (Fig. 7e), and SST–precipitation (Fig.
510 7i) of the four regional coupling experiments. By contrast, coupling only the tropics
511 between the equator and 30°S simulated a more realistic MJO in all three aspects (i.e.,
512 spectrum in Fig. 7b, temporal evolution of precipitation/wind, and precipitation/SST
513 coupling in Fig. 7f and 7j). Figure. 8a indicates that the positive precipitation
514 anomalies simulated in C–0_30N stayed mainly north of the equator and did not shift
515 southward in the MC as observed and in C–30NS, and the convection over the IO was
516 unrealistically weak. By contrast, the southward detouring in the MC was realistically
517 simulated in C–0_30S that coupled only the tropical ocean between the equator and
518 30°S. This result indicates that air–sea coupling occurring south of the equator is the



519 key to producing appropriate eastward propagation of the MJO through the MC.
520 Without this coupling, the C-0_30N experiment failed to realistically simulate the
521 eastward propagation of the MJO. This contrast can be attributed to the observed
522 warmer ocean surface and higher moisture content found south of the equator in
523 boreal winter, which comprise a more favorable environmental condition for air–sea
524 coupling and convection–circulation coupling and the occurrence of the MJO.
525 MJO simulations can be affected by air–sea coupling in the longitudinal domain.
526 Tseng et al. (2014) examined this effect by allowing coupling in different regions
527 (e.g., the IO, WP, and IO + WP) and found that the IO + WP coupling experiment
528 yielded the most satisfactory MJO simulation in terms of the zonal W–FS and
529 eastward propagation characteristics. In this study, we conducted sensitivity
530 experiments in which we allowed coupling in the tropics in two longitudinal domains,
531 namely 30°E–180°E (C-30_180E) and 30°E–75°W (C-30E_75W). The 30°E–180°E
532 region covered the IO and WP, and the 30°E–75°W region covered the IO and the
533 entire tropical Pacific. As shown in Fig. 7, the C-30E_75W experiment simulated the
534 MJO, yielding results more similar to the observation and those in C-30NS than to C-
535 30_180E, with stronger eastward propagation and larger amplitudes in the spectrum
536 (Fig. 7c and 7d) and Hovmöller diagrams of precipitation/wind (Fig. 7g and 7h) and
537 precipitation/SST (Fig. 7k and 7l). The simulated MJO in C-30E_75W propagated
538 further east than that in C-30_180E, particularly in Fig. 7k and 7l. The spatial
539 distributions of circulation and precipitation shown in Fig. 8c and 8d indicated the
540 presence of a stronger convective-coupled circulation system over the MC and WP in
541 C-30E_75W. These results suggest that coupling over the entire tropical IO and
542 Pacific could enhance the strength and eastward propagation of the MJO and
543 encourage further propagation to the central Pacific.
544



545 **4.5 Diurnal versus no diurnal cycle in air–sea coupling**

546 The diurnal cycle in the MC can weaken the MJO and its eastward propagation
547 (Hagos et al., 2016; Oh et al., 2013). We conducted an experiment to determine
548 whether the daily mean value with the same coupling frequency would affect the MJO
549 simulation. The coupling in the model was performed through heat flux exchange
550 between the atmosphere and ocean. As mentioned in Section 2, air–sea fluxes were
551 calculated twice for every time step (coupling 48 times per day, C–30NS) based on
552 the instantaneous values of atmospheric and oceanic variables. In the experiment in
553 which the diurnal cycle was removed (C–30NS–nD), air–sea fluxes were calculated as
554 in C–30NS but were based on daily mean data. The results shown in Fig. 9 reveal the
555 enhancement of the eastward-propagating signals in the MJO (e.g., a larger amplitude
556 in spectrum; Fig. 9a) and further eastward and faster propagation (Fig. 9b) as well
557 stronger coupling between precipitation and SST (Fig. 9c). The overall results are
558 consistent with previous finding that the diurnal cycle tends to reduce the amplitude
559 and propagation of the MJO, indicating that the weakening effect occurs through air–
560 sea coupling in addition to those processes in the atmosphere. Previous studies have
561 hypothesized that rapid interaction processes in the diurnal time scale tend to extract
562 energy from the MJO, thus reducing both the strength and propagation tendency of
563 the MJO. However, a comparison between the spectra of C–30NS and C–30NS–nD
564 indicated that the experiment in which the diurnal cycle was removed appeared to
565 oversimulate the MJO with unrealistic strength, suggesting that the effect of the
566 diurnal cycle should be considered in the model to simulate a more realistic MJO.
567 However, whether this is a common result in different models remain to be examined.

568

569 **5 Discussion and conclusions**

570 Air–sea coupling is a key mechanism for the successful simulation of the MJO



571 (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li
572 et al., 2016; Li et al., 2020; Newman et al., 2009; Tseng et al., 2014). This study,
573 following the study conducted by Tseng et al. (2014), demonstrated that coupling a
574 high-resolution 1-D TKE ocean model (namely the SIT model) to the CAM5, namely
575 the CAM5–SIT, significantly improved the MJO simulation over the standalone
576 CAM5. The CAM5–SIT realistically simulated the MJO characteristics in many
577 aspects (e.g., intraseasonal periodicity, eastward propagation, coherence in the low-
578 frequency band, detouring propagation across the MC, tilting vertical structure, and
579 intraseasonal variance in the WP).

580 Systematic sensitivity experiments were conducted to investigate the effects of
581 the vertical resolution and the thickness of the 1-D ocean model, coupling domains,
582 and the absence of the diurnal cycle. The results of all the sensitivity experiments are
583 summarized in Fig. 10a and 10b, which show four common metrics for MJO
584 evaluation. The four metrics are the propagation speed of the MJO (estimated from
585 the U850 Hovmöller diagram) versus the power ratio of eastward- and westward-
586 propagating 30–80-day signals (E/W ratio, derived from the zonal W–FS) in Fig. 10a
587 and the eastward propagation speed of the 30–80-day filtered precipitation anomaly
588 (estimated from the precipitation Hovmöller diagram) versus the variance explained
589 by RMM1 and RMM2 (i.e., the sum of the variance explained by EOF1 and EOF2
590 based on Wheeler and Hendon, 2004) in Fig. 10b.

591 As for vertical resolution, we determined that the MJO simulation efficiency
592 decreased when the vertical resolution of the SIT model was decreased from 1 m to
593 12 or 34 m, as observed in the C–LR12m and C–LR34m experiments, respectively.
594 This finding, consistent with that reported by Tseng et al. (2014), suggests that a finer
595 vertical resolution more effectively resolves temperature variations in the ocean warm
596 layer and enhances atmospheric–ocean coupling, thus enabling the upper ocean to



597 more efficiently respond to atmospheric forcing by providing heat fluxes; this results
598 in superior synchronization between the lower atmosphere and the upper ocean.

599 We observed that the thinner ocean mixed layer could speed up the eastward
600 propagation of the MJO by producing more perturbations of shorter periodicity (Fig.
601 6) and resulted in a weaker MJO. The shallower oceanic mixed layer likely responded
602 more quickly to atmospheric forcing but provided less heat fluxes to the atmosphere.
603 Thus, the MJO propagated too fast with a weaker amplitude.

604 In the coupling domain sensitivity experiments, we investigated the essential
605 coupling domain required to simulate the realistic MJO and the effect of the domain
606 on the MJO simulation. Coupling only the northern tropics failed to simulate the
607 eastward propagation, whereas coupling only the southern tropics yielded a more
608 realistic MJO simulation, although this simulation was inferior to coupling the entire
609 tropics. This contrast reveals the importance of the southern tropical ocean, especially
610 in the MC where high SST and moisture content are noted. Coupling in the southern
611 tropics is therefore essential for providing the energy required to maintain the MJO
612 and its eastward propagation. By contrast, the northern tropics are relatively dry and
613 cool. Coupling in this region is therefore less effective in improving MJO simulation.

614 In the longitudinal domain sensitivity experiments, we found that the MJO
615 amplitude and the eastward extend of its eastward propagation were enhanced by
616 extending the eastern boundary of the coupling domain from the tropical eastern IO to
617 the tropical WP and further to the tropical eastern Pacific (Fig. 1). Further extension
618 of the domain to cover the tropical Atlantic did not exhibit further enhancement (not
619 shown). This result indicates that coupling in the tropical central and eastern Pacific,
620 although not the major MJO signal regions (i.e., from the tropical IO to the tropical
621 WP), still played a marked role in sustaining the MJO. We propose the following to
622 explain this effect. Because of the planetary scale of the MJO, the near-surface



623 easterly circulation to the east of the convection core often extended to the tropical
624 central and eastern Pacific where the climatological easterly prevailed. The coupling
625 beyond the WP increased low-level moisture transport and convergence to the east of
626 the convection and establish an environment suitable for the further eastward
627 propagation of the MJO. This effect was likely terminated by the landmass of Central
628 America when the tropical Atlantic was further included. Thus, a further eastward
629 extension of the coupling domain exerted little effect on further enhancing the MJO. A
630 diagnostic study on the effect of the longitudinal coupling domain is being conducted,
631 and the results will be reported in a following paper.

632 The diurnal versus nondiurnal cycle experiment indicated that nondiurnal
633 coupling tended to enhance eastward-propagating signals but slow the propagation.
634 This result is consistent with the finding of previous studies that the diurnal cycle in
635 the atmosphere extracts energy from the MJO, thus weakening it.

636 In this study, we demonstrated how air–sea coupling can improve the MJO
637 simulation in a GCM. The findings are as follows.

- 638 (1) Better resolving the fine structure of the upper-ocean temperature and therefore
639 the air–sea interaction led to more realistic intraseasonal variability in both SST
640 and atmospheric circulation.
- 641 (2) An adequate thickness of the oceanic mixed layer is required to simulate a delayed
642 response of the upper ocean to atmospheric forcing and lower-frequency
643 fluctuation.
- 644 (3) Coupling the tropical eastern Pacific, in addition to the tropical IO and the tropical
645 WP, can enhance the MJO and facilitate the further eastward propagation of the
646 MJO to the dateline.
- 647 (4) Coupling the southern tropical ocean, instead of the norther tropical ocean, is
648 essential for simulating a realistic MJO.



649 (5) Stronger MJO variability can be obtained without considering the diurnal cycle in
650 coupling.

651 Our study confirmed the effectiveness of air–sea coupling for improving MJO
652 simulation in a climate model and demonstrated how and where to couple. The
653 findings enhance our understanding of the physical processes that shape the
654 characteristics of the MJO.

655

656 *Code and data availability.* The model code of CAM5–SIT is available at
657 <https://doi.org/10.5281/zenodo.5510795>. Input data of CAM5–SIT using the
658 climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset and
659 GODAS data forcing, including 30-year numerical experiments, are available at
660 <https://doi.org/10.5281/zenodo.5510795>.

661

662 *Author contributions.* HHH is the initiator and the primary investigator of the
663 Taiwan Earth System Model project. YYL is the CAM5–SIT model developer and
664 writes the majority part of the paper. WLT and LCJ assist in MJO analysis.

665

666 *Competing interests.* The authors declare that they have no conflict of interest.

667

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976 **Table 1.** List of experiments

Section	Category	Experiments	Description
4.1	Coupled or uncoupled	A-CTL	Standalone CAM5.3 forced by observed SST climatology
		C-30NS	CAM5.3 coupled with SIT over the tropical domain (30°S–30°N), with finest vertical resolution (up to submarine topography) and diurnal cycle; the frequency of CAM5 being exchanged with CPL is 48 times per day
4.2	Upper-ocean vertical resolution	C-LR12m	The first ocean vertical level starts at 11.8 m (beside SST and cool skin layer)
		C-LR34m	The first ocean vertical level starts at 34.2 m (beside SST and cool skin layer)
4.3	Lowest boundary of SIT	C-HR1mB10m	The lowest boundary of SIT has a depth of 10 m (middle grid)
		C-HR1mB30m	The lowest boundary of SIT has a depth of 30 m (middle grid)
		C-HR1mB60m	The lowest boundary of SIT has a depth of 60 m (middle grid)
4.4	Regional coupling domain in latitude	C-0_30N	Coupled in the tropical northern hemisphere (0°N–30°N, 0°E–360°E)
		C-0_30S	Coupled in the tropical southern hemisphere (0°S–30°S, 0°E–360°E)
	Regional coupling domain in longitude	C-30_180E	Coupled in the Indo-Pacific (30°S–30°N, 30°E–180°E)
		C-30E_75W	Coupled over the Indian Ocean and Pacific Ocean (30°S–30°N, 30°E–75°W)
4.5	Absence of the diurnal cycle	C-30NS-nD	Absence of the diurnal cycle in C-30NS; the CAM5.3 daily atmospheric mean of surface wind, temperature, total precipitation, net surface heat flux u-stress and v-stress over water trigger the SIT and daily mean SST feedback to atmosphere; the frequency of CAM5 is exchanged with CPL 48 times per day

977 The CAM5.3 AGCM is used in all experiments

978 Experiment abbreviations: “A” means standalone AGCM simulation. “C” means the

979 CAM5.3 coupled to the SIT model.



980 **Figure List**

981 **Figure 1.** Schematics of coupled and uncoupled domains in the regional coupling
982 experiment: (a) C–30NS, (b) C–0_30N, (c) C–0_30S, (d) C–30_180E, and (e) C–
983 30E_75W. The background is the climatological mean SST in December–February
984 (DJF).

985

986 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind
987 averaged over 10°S–10°N in boreal winter after removing the climatological mean
988 seasonal cycle. Vertical dashed lines represent periods at 80 and 30 days, respectively.
989 (d)–(f) Hovmöller diagrams of the correlation between the precipitation averaged over
990 10°S–5°N, 75–100°E and the intraseasonally filtered precipitation (color) and 850-
991 hPa zonal wind (contour) averaged over 10°N–10°S. (g)–(i) Zonal wavenumber–
992 frequency power spectra of anomalous OLR (colors) and phase lag with U850
993 (vectors) for the symmetric component of tropical waves, with the vertically upward
994 vector representing a phase lag of 0° with phase lag increasing clockwise. Three
995 dispersion straight lines with increasing slopes represent the equatorial Kelvin waves
996 (derived from the shallow water equations) corresponding to three equivalent depths,
997 12, 25, and 50 m, respectively. (j)–(l) Composites of 20–100-day filtered OLR (W m^{-2} , shaded)
998 and 850-hPa wind (m s^{-1} , *vector*) for MJO phase 5 when deep
999 convection is the strongest over the MC and 850-hPa wind, with the reference vector
1000 (1 m s^{-1}) shown at the top right of each panel, and (m)–(o) 15°N–15°S averaged p-
1001 vertical velocity anomaly (Pa s^{-1} , shaded) and moist static energy anomaly (W m^{-2} ,
1002 contour, interval 0.003); solid, dashed, and thick-black lines represent positive,
1003 negative, and zero values, respectively. The number of days used to generate the
1004 composite is shown at the bottom right corner of each panel. (a), (d), (g), (j), and (m)
1005 are from observations; (b), (e), (h), (k), and (n) are from the C–30NS; and (c), (f), (i),
1006 (l), and (o) are from the A–CTL.

1007

1008 **Figure 3.** Evolution of the filtered OLR anomaly (W m^{-2} , shaded) and 850-hPa wind
1009 (m s^{-1} , *vector*) at phase 2, 4, 6, and 8: (a) observation, (b) C–30NS, and (c) A–CTL.
1010 The unit of the reference vector shown at the top right corner of each panel is m s^{-1} ,
1011 and the number of days used for the composite is shown at the bottom right corner of
1012 each panel.

1013

1014 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
1015 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N–
1016 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
1017 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical



1018 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$)
1019 and zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120 – 150°E ; solid,
1020 dashed, and thick-black curves are positive, negative, and zero values, respectively.
1021 (g)–(i) Variation of 30–60-day filtered precipitation in the eastern IO and the WP in
1022 observation (color shading), and the ratio between intraseasonal and total variance
1023 (contoured) and (j)–(l) composites 20–100-day filtered SST (K, shaded) and 850-hPa
1024 winds (m s^{-1} , *vector*) at phase 7 when deep convection was the strongest over the
1025 dateline. Reference vector shown at the top right corner of each panel. (a), (d), (g),
1026 and (j) are from the observation; (b), (e), (h), and (k) are from the C–30NS; and (c),
1027 (f), (i), and (l) are from the A–CTL.

1028

1029 **Figure 5.** (a)–(b) Same as in Fig. 2(a) but for the C-LR12m and C-LR34m. (c)–(d)
1030 Same as in Fig. 2(d) but for the C-LR12m and C-LR34m. (e)–(f) Same as in Fig. 4(a)
1031 but for the C-LR12m and C-LR34m.

1032

1033 **Figure 6.** Same as in Fig. 5 but for the C–HR1mB10m, C–HR1mB30m, and C–
1034 HR1mB60m.

1035

1036 **Figure 7.** Same as in Fig. 5 but for the C–0_30N, C–0_30S, C–30_180E, and C–
1037 30E_75W.

1038

1039 **Figure 8.** Same as in Fig. 3 but for phase 5 in the C–0_30N, C–0_30S, C–30_180E,
1040 and C–30E_75W.

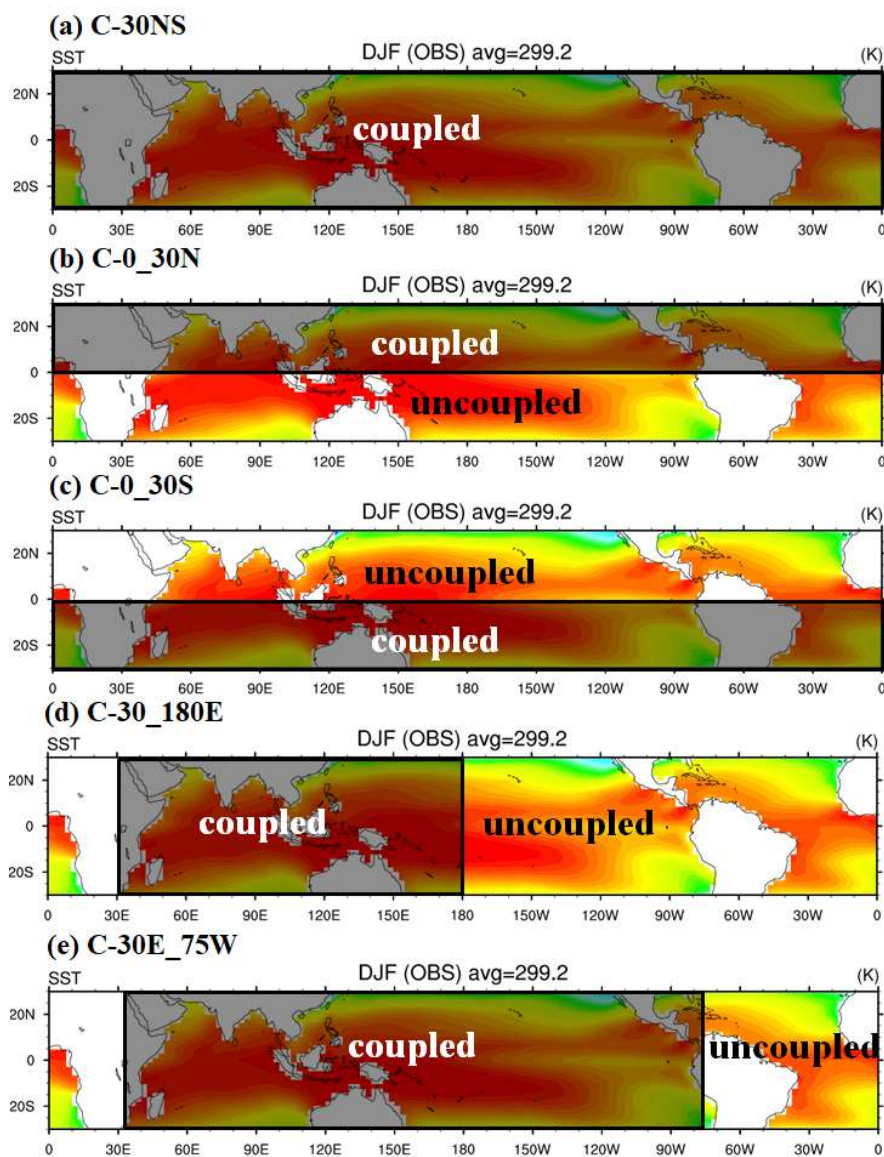
1041

1042 **Figure 9.** Similar as in Fig. 5 but for the C–30NS–nD.

1043

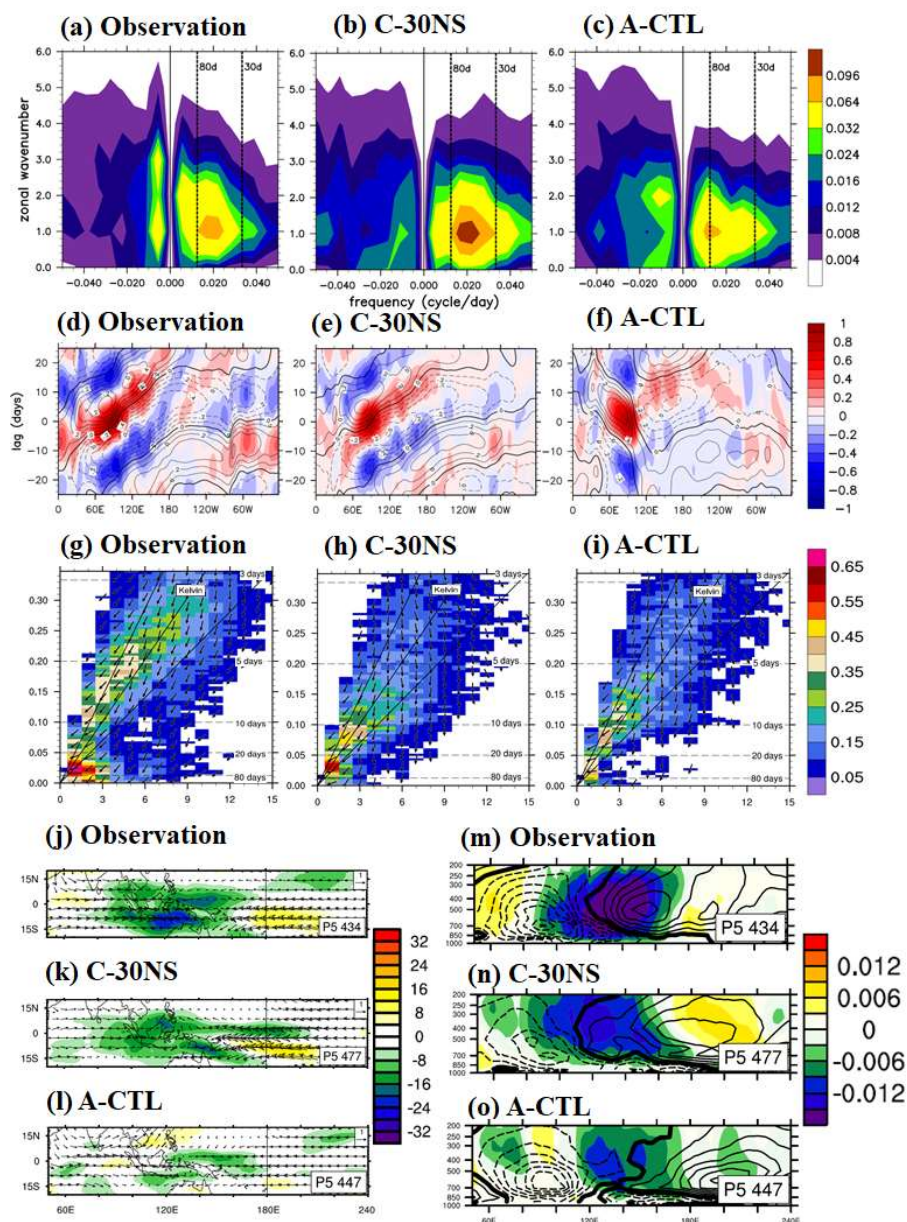
1044 **Figure 10.** Scattered plots of various MJO indices in observation and 12 experiments:
1045 (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-hPa zonal
1046 winds (X-axis) with a 30–80-day period and eastward propagation speed of U850
1047 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
1048 and eastward propagation speed of the filtered precipitation anomaly derived from the
1049 Hovmöller diagram.

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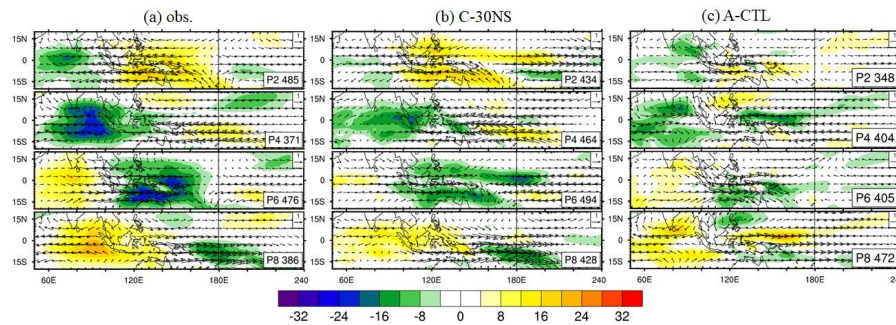
Figure 1. Schematics of coupled and uncoupled domains in the regional coupling experiment: (a) C–30NS, (b) C–0_30N, (c) C–0_30S, (d) C–30_180E, and (e) C–30E_75W. The background is the climatological mean SST in December–February (DJF).



1057
 1058 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind
 1059 averaged over 10°S–10°N in boreal winter after removing the climatological mean
 1060 seasonal cycle. Vertical dashed lines represent periods at 80 and 30 days, respectively.
 1061 (d)–(f) Hovmöller diagrams of the correlation between the precipitation averaged over
 1062 10°S–5°N, 75–100°E and the intraseasonally filtered precipitation (color) and 850-
 1063 hPa zonal wind (contour) averaged over 10°N–10°S. (g)–(i) Zonal wavenumber–



1064 frequency power spectra of anomalous OLR (colors) and phase lag with U850
1065 (vectors) for the symmetric component of tropical waves, with the vertically upward
1066 vector representing a phase lag of 0° with phase lag increasing clockwise. Three
1067 dispersion straight lines with increasing slopes represent the equatorial Kelvin waves
1068 (derived from the shallow water equations) corresponding to three equivalent depths,
1069 12, 25, and 50 m, respectively. (j)–(l) Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} , *vector*) for MJO phase 5 when deep
1070 convection is the strongest over the MC and 850 hPa wind, with the reference vector
1071 (1 m s^{-1}) shown at the top right of each panel, and (m)–(o) 15°N – 15°S averaged p-
1072 vertical velocity anomaly (Pa s^{-1} , shaded) and moist static energy anomaly (W m^{-2} ,
1073 contour, interval 0.003); solid, dashed, and thick-black lines represent positive,
1074 negative, and zero values, respectively. The number of days used to generate the
1075 composite is shown at the bottom right corner of each panel. (a), (d), (g), (j), and (m)
1076 are from observations; (b), (e), (h), (k), and (n) are from the C–30NS; and (c), (f), (i),
1077 (l), and (o) are from the A–CTL.
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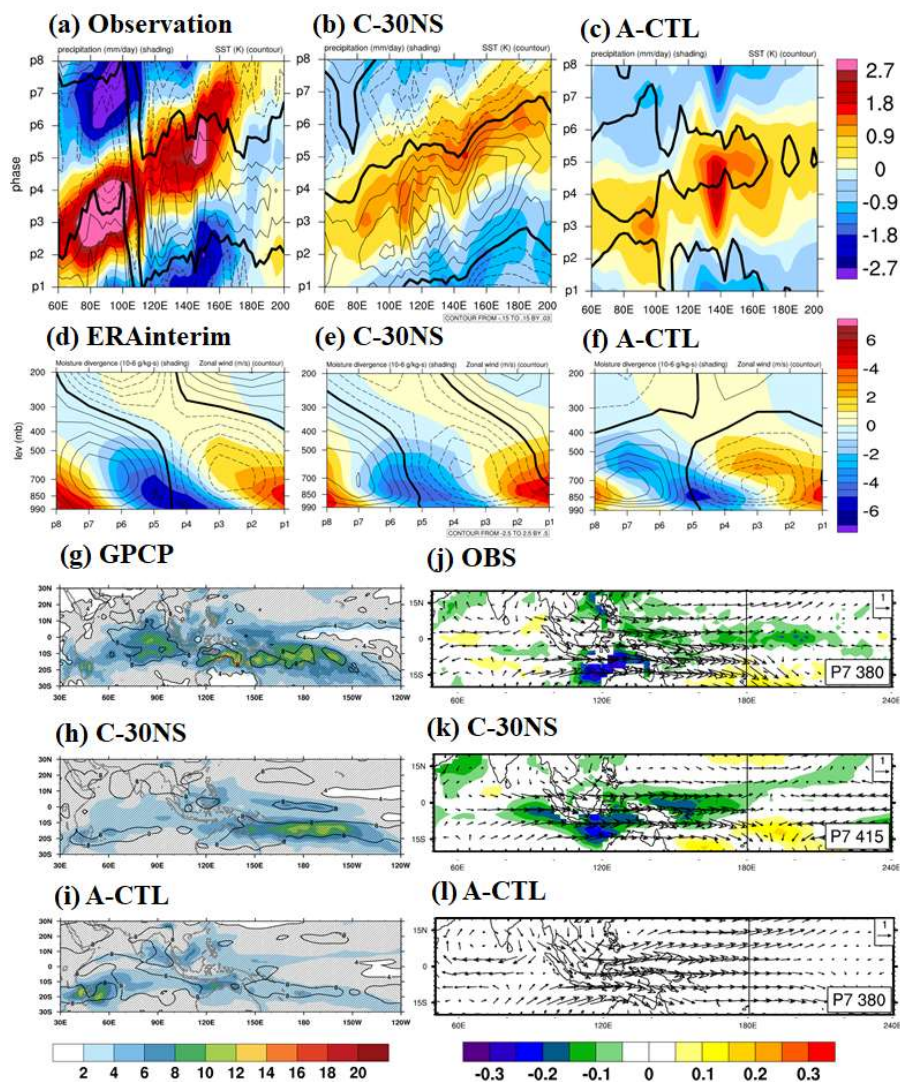
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1081 **Figure 3.** Evolution of the filtered OLR anomaly ($W m^{-2}$, shaded) and 850-hPa wind
1082 ($m s^{-1}$, *vector*) at phase 2, 4, 6, and 8: (a) observation, (b) C-30NS, and (c) A-CTL.
1083 The unit of the reference vector shown at the top right corner of each panel is $m s^{-1}$,
1084 and the number of days used for the composite is shown at the bottom right corner of
1085 each panel.

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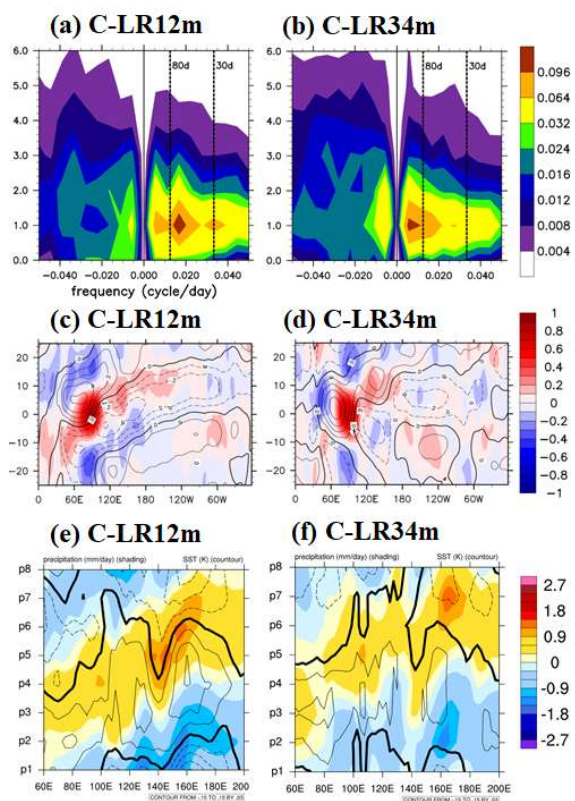


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1090 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
 1091 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N –
 1092 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
 1093 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical
 1094 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$)
 1095 and zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120° – 150°E ; solid,
 1096 dashed, and thick-black curves are positive, negative, and zero values, respectively.
 1097 (g)–(i) Variation of 30–60-day filtered precipitation in the eastern IO and the WP in

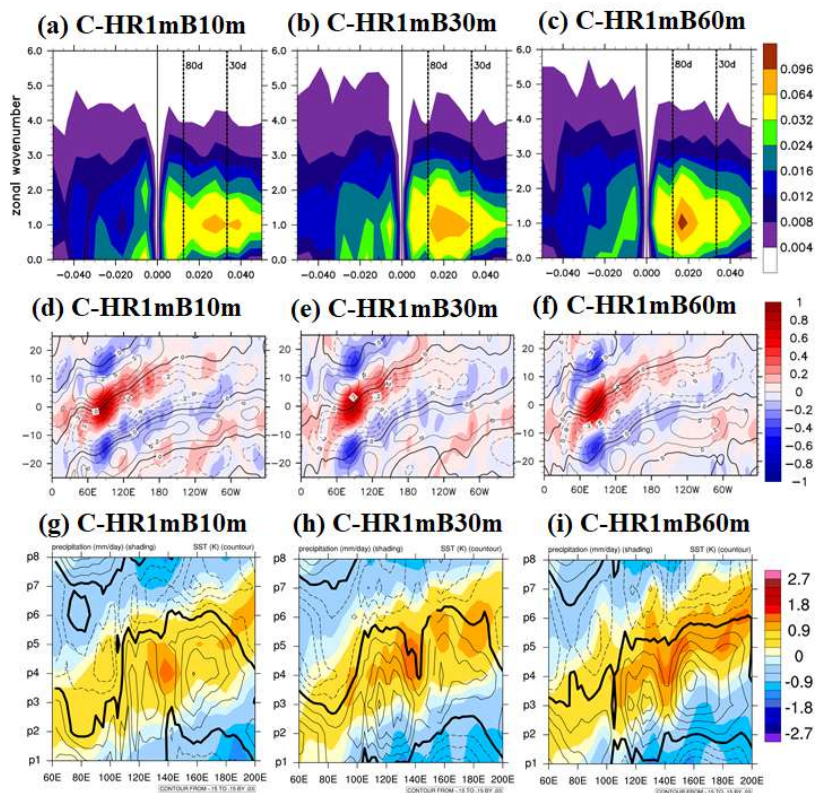


1098 observation (color shading), and the ratio between intraseasonal and total variance
1099 (contoured) and (j)–(l) composites 20–100-day filtered SST (K, shaded) and 850-hPa
1100 winds (m s^{-1} , *vector*) at phase 7 when deep convection was the strongest over the
1101 dateline. Reference vector shown at the top right corner of each panel. (a), (d), (g),
1102 and (j) are from the observation; (b), (e), (h), and (k) are from the C-30NS; and (c),
1103 (f), (i), and (l) are from the A-CTL.



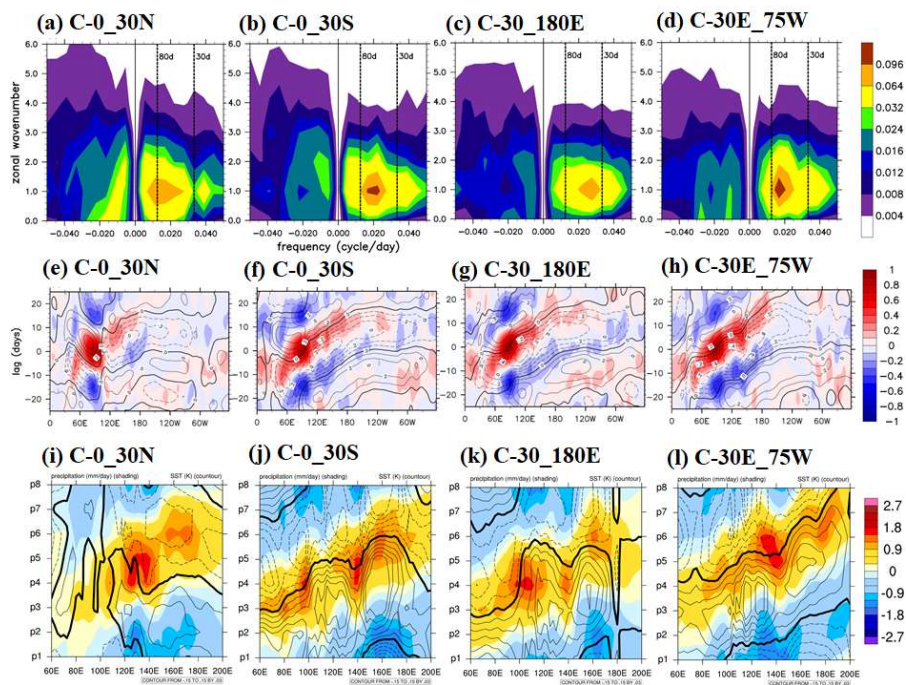
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Figure 5. (a)–(b) Same as in Fig. 2(a) but for the C-LR12m and C-LR34m. (c)–(d) Same as in Fig. 2(d) but for the C-LR12m and C-LR34m. (e)–(f) Same as in Fig. 4(a) but for the C-LR12m and C-LR34m.



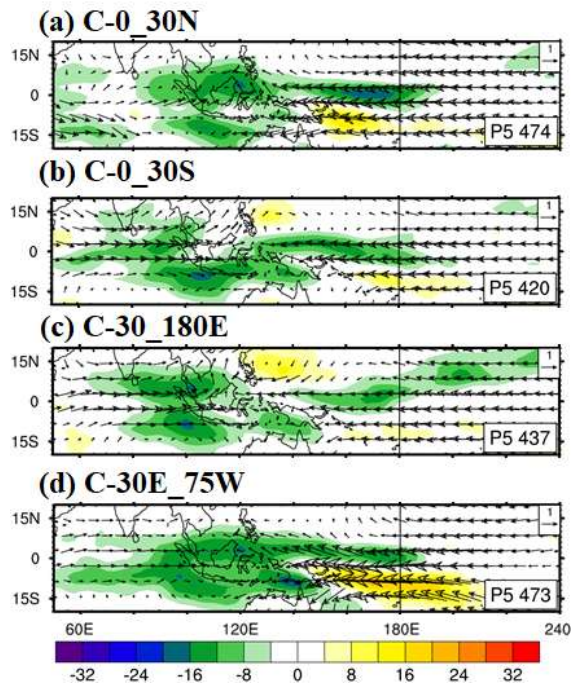
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Figure 6. Same as in Fig. 5 but for the C–HR1mB10m, C–HR1mB30m, and C–HR1mB60m.



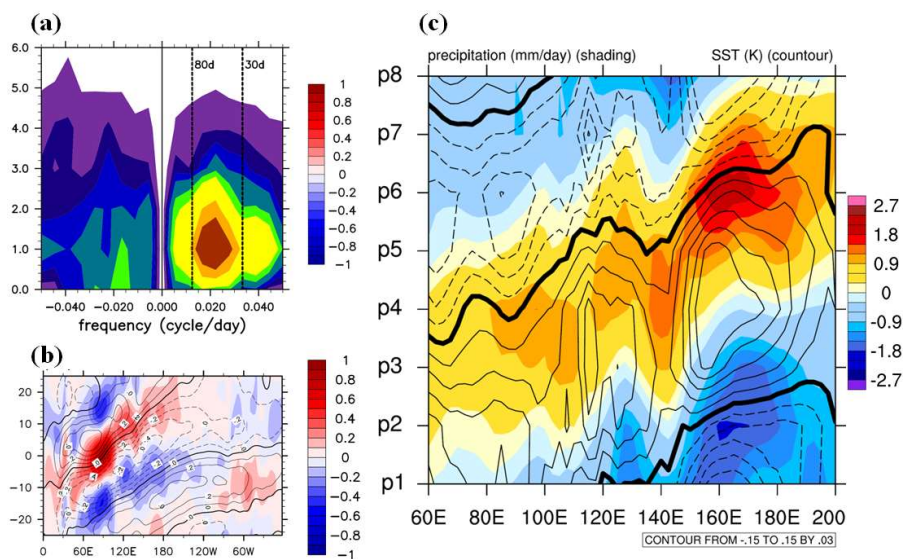
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Figure 7. Same as in Fig. 5 but for the C-0_30N, C-0_30S, C-30_180E, and C-30E_75W.



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Figure 8. Same as in Fig. 3 but for phase 5 in the C-0_30N, C-0_30S, C-30_180E, and C-30E_75W.

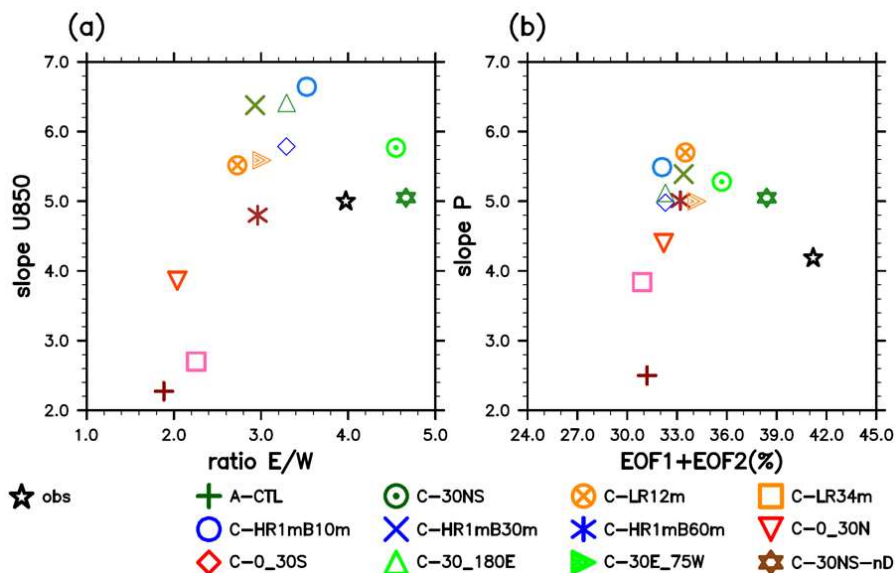


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Figure 9. Similar as in Fig. 5 but for the C-30NS-nD.



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1131 **Figure 10.** Scattered plots of various MJO indices in observation and 12 experiments:
 1132 (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-hPa zonal
 1133 winds (X-axis) with a 30–80-day period and eastward propagation speed of U850
 1134 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
 1135 and eastward propagation speed of the filtered precipitation anomaly derived from the
 1136 Hovmöller diagram.

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