

1 **Embedding a One-column Ocean Model (SIT 1.06) in the**
2 **Community Atmosphere Model 5.3 (CAM5.3; CAM5–**
3 **SIT v1.0) to Improve Madden–Julian Oscillation**
4 **Simulation in Boreal Winter**

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10 **Abstract**

11 The effect of the air–sea interaction on the Madden–Julian Oscillation (MJO)
12 was investigated using the one-column ocean model Snow–Ice–Thermocline (SIT
13 1.06) embedded in the Community Atmosphere Model 5.3 (CAM5.3; hereafter
14 CAM5–SIT v1.0). The SIT model with 41 vertical layers was developed to simulate
15 sea surface temperature (SST) and upper-ocean temperature variations with a high
16 vertical resolution that resolves the cool skin and diurnal warm layer and the upper
17 oceanic temperature gradient. A series of 30-year sensitivity experiments were
18 conducted in which various model configurations (e.g., coupled versus uncoupled,
19 vertical resolution and depth of the SIT model, coupling domains, and absence of the
20 diurnal cycle) were considered to evaluate the effect of air–sea coupling on MJO
21 simulation. Most of the CAM5–SIT experiments exhibit higher fidelity than the
22 CAM5-alone experiment in characterizing the basic features of the MJO such as
23 spatiotemporal variability and the eastward propagation in boreal winter. The overall
24 MJO simulation performance of CAM5–SIT benefits from (1) better resolving the
25 fine vertical structure of upper-ocean temperature and therefore the air–sea interaction
26 that result in more realistic intraseasonal variability in both SST and atmospheric
27 circulation and (2) the adequate thickness of a vertically-gridded ocean layer. The
28 sensitivity experiments demonstrate the necessity of coupling the tropical eastern
29 Pacific in addition to the tropical Indian Ocean and the tropical western Pacific.
30 Coupling is more essential in the south than north of the equator in the tropical
31 western Pacific. Enhanced MJO could be obtained without considering the diurnal
32 cycle in coupling.

33 **1. Introduction**

34 The Madden–Julian Oscillation (MJO) is a tropical large-scale convection
35 circulation system that propagates eastward across the warm pool region from the
36 tropical Indian Ocean (IO) to the western Pacific (WP) on an intraseasonal time scale
37 (Madden and Julian, 1972). The MJO is not just an atmospheric phenomenon. The
38 findings from a multi-nation field campaign in the tropics called the Dynamics of
39 MJO/Cooperative Indian Ocean Experiment on Intraseasonal Variability in the Year
40 2011 (DYNAMO/CINDY2011; de Szoeke et al., 2017; Johnson and Ciesielski, 2017;
41 Pujiana et al., 2018; Yoneyama et al., 2013; Zhang and Yoneyama, 2017) revealed
42 vigorous air–sea coupling during the evolution of the MJO (Chang et al., 2019;
43 DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li et al., 2016; Li et al.,
44 2020; Newman et al., 2009; Pei et al., 2018; Tseng et al., 2014). During the
45 suppression of convection, the MJO propagates eastward with light winds, which is
46 accompanied by enhanced downwelling shortwave radiation absorption, weaker
47 upward latent and sensible fluxes, less cloudiness and precipitation, and weaker
48 vertically turbulent mixing in the upper ocean, thus causing an increase in the upper-
49 ocean temperature. In the following active phase when deep convection occurs,
50 downwelling shortwave radiation is reduced and stronger westerly winds enhance
51 latent/sensible heat flux (LHF/SHF) loss from the ocean surface, thus causing a
52 decrease in the upper-ocean temperature (DeMott et al., 2015; Madden and Julian,
53 1972, 1994; Zhang, 2005).

54 In addition to the tropical ocean surface, the structure of the upper ocean also
55 evolves. Alappattu et al. (2017) reported that during an MJO event, surface flux
56 perturbations cause changes in the ocean thermohaline structure, thus affecting the
57 mixed-layer temperature. The following change in sea surface temperature (SST) can
58 further affect atmospheric circulation of the MJO. Variations in SST mediate LHF and

59 SHF exchange across the air–sea interface. Although SST responds to atmospheric
60 forcing, the modulation of LHF and SHF provides feedback to the atmosphere
61 (DeMott et al., 2015; Jiang et al., 2020). Li et al. (2008, 2020) proposed that the phase
62 relationship between SST and convection implies a delayed air–sea interaction
63 mechanism whereby a preceding active-phase MJO may trigger an inactive-phase
64 MJO through the delayed effect of the induced SST anomaly over the IO. The
65 reduction in SST caused by a preceding active-phase MJO may, in turn, yield delayed
66 ocean feedback that initiates a suppressed-phase MJO, and vice versa. The by-no-
67 means negligible effect of intraseasonal SST variations caused by surface heat fluxes
68 suggests that the ocean state can affect the MJO (DeMott et al., 2015, 2019; Hong et
69 al., 2017; Li et al., 2020).

70 Since its discovery almost five decades ago, the MJO remains a phenomenon
71 that poses a challenge to the capacity of state-of-the-art atmospheric general
72 circulation models (AGCMs) such as those participating in the Coupled Model
73 Intercomparison Project phase 5 and 6 to generate successful simulations (Ahn et al.,
74 2017, 2020; Bui and Maloney 2018; Jiang et al., 2020; Hung et al., 2013; Kim et al.,
75 2011).

76 Recent studies have reported that air–sea coupling improves the representation of
77 the MJO in numerical simulation (Bernie et al., 2008; Crueger et al., 2013; DeMott et
78 al., 2015; Li et al., 2016; Li et al., 2020; Tseng et al., 2014; Woolnough et al., 2007).
79 Tseng et al. (2014) indicated that effectively resolving the tropical upper-ocean warm
80 layer to capture temperature variations in the upper few meters of the ocean could
81 improve MJO simulation. DeMott et al. (2015) suggested that the tropical
82 atmosphere–ocean interaction may sustain or amplify the pattern of the enhanced and
83 suppressed atmospheric convection of the eastward propagation. DeMott et al. (2019)
84 demonstrated that the improved MJO eastward propagation in four coupled models

85 resulted from enhanced low-level convective moistening for a rainfall rate of >5 mm
86 day^{-1} due to air–sea coupling. In addition, numerical experiments have been
87 performed to investigate the effect of the diurnal cycle on the MJO (Hagos et al.,
88 2016; Oh et al., 2013), with the results suggesting that the strength and propagation of
89 the MJO through the Maritime Continent (MC) were enhanced when the diurnal cycle
90 was ignored.

91 Although previous studies have demonstrated the importance of considering the
92 air–sea interaction in a numerical model to improve MJO simulation, additional
93 details regarding model configuration (e.g., vertical resolution and total depth of the
94 vertically-gridded ocean, coupling domain, and absence of the diurnal cycle in air–sea
95 coupling) have not been systematically explored. Tseng et al. (2014) coupled the one-
96 column ocean model Snow–Ice–Thermocline (SIT; Tu and Tsuang, 2005) to the fifth
97 generation of the ECHAM AGCM (ECHAM5–SIT) in the tropics and indicated that a
98 vertical resolution of 1 m was essential to yield an improved simulation of the MJO
99 with a realistic strength and eastward propagation speed.

100 In this study, we coupled the SIT model to the Community Atmosphere Model
101 version 5.3 (CAM5.3; Neale et al., 2012)—the atmosphere component of the
102 Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013)—to
103 explore the improvement of MJO simulation by coupling SIT model to another
104 AGCM is reproducible in modeling science. The CAM5.3, which has been widely
105 used for the long-term simulation of the climate system, could not efficiently simulate
106 the eastward propagation of the MJO; instead, the model simulated a tendency for the
107 MJO to move westward in the IO (Boyle et al., 2015, Jiang et al., 2015). By contrast,
108 the updated CESM2 with the new CAM6 could realistically simulate the MJO (Ahn et
109 al., 2020; Danabasoglu et al., 2020). Thus, the well-explored CAM5, which does not
110 produce a realistic MJO, appears to be a favorable choice for exploring coupling a

111 simple one-dimensional (1-D) ocean model over the tropical oceans, such as the SIT
112 model, can improve MJO simulation, as well as the effects of model configuration on
113 the degree of the improvement. Such a study can also enhance our understanding
114 regarding the effect of air–sea coupling on the MJO.

115 The MJO is a tropical atmosphere system that exhibits a more substantial
116 eastward propagation in boreal winter than in other seasons was the targeted feature in
117 this study. To examine the sensitivity of MJO simulations to different configurations
118 of the tropical air–sea coupling, we conducted a series of 30-year numerical
119 experiments by considering various model configurations (e.g., coupled versus
120 uncoupled, vertical resolution and depth of the SIT model, coupling domains, and
121 absence of the diurnal cycle) to investigate the effect of air–sea coupling. This paper
122 is organized as follows. Section 2 describes the data for validation, the model used for
123 simulation, and the design of numerical experiments. Section 3 describes the effect of
124 various tropical air–sea coupling configurations on the MJO simulation determined
125 through detailed MJO diagnostics. Discussion and conclusions are provided in
126 Section 4.

127

128 **2. Data, methodology, model description, and experimental designs**

129 **2.1 Data and methodology**

130 The data analyzed in this study include precipitation from the Global
131 Precipitation Climatology Project (GPCP), outgoing longwave radiation (OLR) and
132 daily SST (Optimum Interpolation SST; OISST) from the National Oceanic and
133 Atmosphere Administration (NOAA), and parameters from the ERA-Interim (ERA-I)
134 reanalysis (Adler et al., 2003; Dee et al., 2011; Lee et al., 2011; Reynolds and Smith,
135 1995; Schreck et al., 2018). The SST data for the SIT model were obtained from the
136 Hadley Centre Sea Ice and Sea Surface Temperature dataset (Rayner et al., 2003;

137 HadISST1) and the ocean subsurface data (40-layer climatological ocean temperature,
138 salinity, and currents) for nudging were retrieved from the National Centers for
139 Environmental Prediction (NCEP) Global Ocean Data Assimilation System (GODAS;
140 Behringer and Xue, 2004).

141 We used the CLIVAR MJO Working Group diagnostics package (CLIVAR,
142 2009) and a 20–100-day filter (Kaylor, 1977; Wang et al., 2014) to determine
143 intraseasonal variability. MJO phases were defined following the index (namely,
144 RMM1 and RMM2) proposed by Wheeler and Hendon (2004), which considered the
145 first two principal components of the combined near-equatorial OLR and zonal winds
146 at 850 and 200 hPa. The band-passed filtered data were used for calculating the index
147 and defining phases.

148

149 **2.2 Model description**

150 **2.2.1 CAM5.3**

151 The CAM5.3 used in this study has a horizontal resolution of 1.9° latitude \times
152 2.5° longitude and 30 vertical levels with the model top at 0.1 hPa. The MJO could
153 not be realistically simulated in the CAM5.3. Boyle et al. (2015) demonstrated that
154 although making the deep convection dependent on SST improved the simulation of
155 the MJO variance, it exerted a significant negative effect on the mean-state climate of
156 low-level cloud and absorbed shortwave radiation. By comparing the simulation
157 results of an uncoupled and coupled CAM5.3, Li et al. (2016) suggested that air–sea
158 coupling and the convection scheme most significantly affected the MJO simulation
159 in the climate model.

160

161 **2.2.2 1-D high-resolution TKE ocean model**

162 The 1-D high-resolution turbulence kinetic energy (TKE) ocean model SIT was

163 used to simulate the diurnal fluctuation of SST and surface energy fluxes (Lan et al.,
164 2010; Tseng et al., 2014; Tu and Tsuang, 2005). A description of the 1-D high-
165 resolution ocean model SIT can be found in the appendix. The model was well
166 verified against in situ measurements on board the R/V Oceanographic Research
167 Vessel 1 and 3 over the South China Sea (Lan et al., 2010) and on R/V Vickers over
168 the tropical WP (Tu and Tsuang, 2005).

169 The SIT model determines the vertical profiles of the temperature and
170 momentum of a water column from the surface down to the seabed, except in the
171 fixed ocean model bottom experiment. The default setting of vertical discretization
172 (e.g., in the control coupled experiment) is 41 layers with 12 layers in the first 10.5 m,
173 6 layers between 10.5 m and 107.8 m (appendix Fig. A1). In the 1-D TKE ocean
174 model, temperature and salinity below 107.8 m, where vertical turbulent mixing is
175 greatly weakened, are nudged toward the climatological values of GODAS data until
176 4607 m. The extra high vertical resolution is needed to catch detailed temporal
177 variation of upper ocean temperature characterized by the warm layer and cool skin
178 (Tu and Tsuang, 2005). To account for the neglected horizontal advection heat flux,
179 the ocean is weakly nudged (by using a 30-day time scale) between 10.5 m and 107.8
180 m and strongly nudged (by using a 1-day time scale) below 107.8 m according to the
181 NCEP GODAS climatological ocean temperature. No nudging is performed within
182 the upper-most 10.5 m. The SIT model calculates twice for each CAM5 time step (30
183 min; i.e., coupling 48 times per day).

184

185 **2.3 Experimental design**

186 A series of 30-year numerical experiments (Table 1) were conducted to
187 investigate the effect of the air–sea interaction on the MJO simulation. The HadSST1
188 used to force the coupled and uncoupled model was the climatological monthly-mean

189 SST averaged over 1982-2001. The monthly SST was linearly interpolated to daily
190 SST fluctuation that forced the model. The SST in the air–sea coupling tropical
191 region was recalculated by the SIT during the simulation, while the prescribed annual
192 cycle of SST was used in the areas outside the coupling region. Ocean bathymetry of
193 the SIT was derived from the NOAA ETOPO1 data (Amante and Eakins, 2009) and
194 interpolated into $1.9^\circ \times 2.5^\circ$ horizontal resolution.

195 All simulations were driven by the prescribed annual cycle of SST repeatedly for
196 30 years. The strategy is to evaluate the simulation capacity of climate models under
197 the same condition without considering interannual variation induced by SST. This
198 approach has been widely adopted in many studies (Delworth et al., 2006; Haertel et
199 al., 2020; Subramanian et al., 2011; Tseng et al., 2014; Wang et al., 2005).

200 Atmospheric initial conditions and external forcing such as CO₂, ozone, and
201 aerosol in near-equilibrium climate state around the year 2000 were taken from
202 F_2000_CAM5 component set based on CESM1.2.2 framework development. The
203 data has been commonly used in present-day simulations using CAM5 (e.g., He et al.,
204 2017).

205 The setup of five sets of experiment conducted in this study are described as
206 follows.

207 (1) A standalone CAM5.3 simulation forced by climatological monthly HadISST1
208 (A–CTL) and the control experiment of coupled CAM5–SIT simulation (C–
209 30NS; 41 vertical levels, coupling in the entire tropics between 30°N and 30°S
210 with a diurnal cycle). The reasons for tropical coupling are two folds.

211 Considering that the MJO is essentially a tropical phenomenon, the coupling was
212 implemented only between 30°N and 30°S. Secondly, coupling a one-
213 dimensional ocean model in the extratropics without surface flux correction as in

214 our case would ignore the impacts of strong ocean currents (such as the Kuroshio
215 and Gulf Stream) and result in large biases.

216 (2) Upper-ocean vertical resolution experiment: Two simulations with the first layer
217 centering at 12 m (C-LR12m) and 34 m (C-LR34m). Further details of the
218 experimental design are shown in appendix Fig. A1. This experiment is to
219 demonstrate the significant improvement that a fine vertical resolution can
220 achieve compared to the coarse resolution (e.g., tens of meters) that is often
221 adopted in slab ocean model.

222 (3) Shallow ocean bottom experiment: Three simulations with the ocean model
223 bottom at 10 m (C-HR1mB10m), 30 m (C-HR1mB30m), and 60 m (C-
224 HR1mB60m) (appendix Fig. A2). Note that all experiments retained the same
225 vertical resolution (e.g., 1 meter in the first top 10 meters of the ocean) but with
226 various ocean bottom (i.e., 10, 30, and 60 meters). The purpose is to demonstrate
227 how the total ocean heat content, which depends on the total depth of the ocean,
228 can affect the MJO.

229 (4) Regional coupling experiment: Four simulations with the coupling region in 0°N -
230 30°N (C-0_30N) and 0°S - 30°S (C-0_30S) for latitudinal effect, and 30°E -
231 180°E (C-30_180E) and 30°E - 75°W (C-30E_75W) for longitudinal effect. The
232 coupling domains are shown in Fig. 1. In this experiment we identified the key
233 ocean basins where coupling is essential.

234 (5) Diurnal cycle experiment: To explore the effect of diurnal coupling cycle a non-
235 diurnal simulation was conducted for a comparison with the C-30NS simulation.
236 The non-diurnal simulation (C-30NS-nD) considers the air-sea interaction only
237 once a day, namely, calculating SHF and LHF based on daily mean atmospheric
238 variables and SST. To prevent the inconsistent local time in different regions, the
239 coupling frequency at each grid point remained 48 times per day using the same

240 daily means of atmospheric variables and SST at that particular point. In
241 contrast, the control simulation calculates air–sea fluxes 48 times a day based on
242 instantaneous values. A comparison between the non-diurnal simulation and the
243 control simulation reveals the effect of diurnal cycle in air–sea coupling.

244

245 **3. Results and Discussion**

246 The realistic simulation of the MJO has always been a major bottleneck in the
247 development of climate models. In this section, we demonstrate the sensitivity of air–
248 sea coupling experiments using a 1-D high-resolution ocean model significantly
249 improves the MJO simulation by the CAM5.3. The period between November and
250 April when the MJO is the most prominent was the targeted season in this study.

251

252 **3.1 Improvement of MJO simulation through air–sea coupling**

253 This subsection compares the MJO simulation of the control coupled
254 experiment (C–30NS) with that of the uncoupled AGCM (A–CTL) forced by
255 climatological monthly SST of HadISST1 to demonstrate the effect of air–sea
256 coupling on the MJO simulation by coupling the SIT model to the CAM5.3 in the
257 tropical belt (30°N–30°S).

258

259 **3.1.1 Wavenumber–frequency spectra and eastward propagation characteristics**

260 A wavenumber–frequency spectrum (W–FS) analysis was conducted to quantify
261 propagation characteristics simulated in different experiments. The spectra
262 of unfiltered U850 in ERA-I reanalysis, C–30NS, and A–CTL are shown in Fig. 2a–c,
263 respectively. The C–30NS considering the coupling in 30°N–30°S realistically
264 simulates eastward-propagating signals at zonal wavenumber 1 and 30–80-day
265 periods (Fig. 2a–b), although with a slightly larger amplitude compared with ERA-I.

266 By contrast, the uncoupled A-CTL does not yield realistic simulation; instead, it
267 simulates both eastward (wavenumber 1)- and westward (wavenumber 2)-propagating
268 signals with an unrealistic spectral shift to time scales longer than 30–80-day.

269 The major features of the simulated MJO propagation were examined. Figure
270 2d–f show the time evolution of precipitation and U850 anomalies in Hovmöller
271 diagrams, which represent lagged correlation coefficients between the precipitation
272 averaged over 10°S–5°N, 75–100°E and the precipitation and U850 averaged over
273 10°N–10°S on intraseasonal timescales. Figure 2d indicates eastward propagation for
274 both precipitation and U850 from the eastern IO to the dateline, with precipitation
275 leading U850 by approximately a quarter of a cycle. The Hovmöller diagram derived
276 from the C-30NS (Fig. 2e) exhibits the key characteristics of eastward propagation
277 for both precipitation and U850 and the relative phases between the two, although the
278 simulated correlation is slightly weaker than that derived from GPCP and ERA-I. By
279 contrast, the uncoupled A-CTL simulates intraseasonal signals that propagate
280 westward over the IO and weak and much slower eastward propagation crossing the
281 MC and WP (Fig. 2f). The contrast between Fig. 2e and 2f demonstrate that coupling
282 a 1-D TKE ocean model alone could lead to a significant improvement in an AGCM
283 in simulating the major characteristics (e.g., amplitude, propagation direction and
284 speed, and phase relationship between precipitation and circulation) of the MJO.

285

286 **3.1.2 Coherence of the simulated MJO**

287 Cross-spectral analysis was conducted to examine the coherence and phase lag
288 between tropical circulation and convection, which were plotted over the tropical
289 wave spectra. Figure 2g–i show the symmetric part (e.g., Wheeler and Kiladis, 1999)
290 of OLR and U850 in ERA-I/NOAA data, C-30NS, and A-CTL, respectively. We
291 present only the spectra between 0 to 0.35 day⁻¹ to highlight the MJO and equatorial

292 Kelvin waves. The most prominent characteristics seen in ERA-I/NOAA data are the
293 peak coherence at wavenumbers 1–3 and a phase lag of approximately 90° in the 30–
294 80-day band (Ren et al., 2019; Wheeler and Kiladis 1999). The coupled experiment
295 C–30NS simulates strong coherence in this low-frequency band (wavenumber 1) and
296 exhibits a realistic phase lag relationship between U850 and OLR perturbations.
297 However, the coherence at wavenumbers 2–3 for the 30–80-day period simulated by
298 C–30NS is weaker than that in ERA-I/NOAA data. This undersimulation was also
299 noted in CCSM4 (Subramanian et al., 2011), the uncoupled and coupled CAM4 and
300 CAM5 (Li et al., 2016), and NorESM1-M (Bentsen et al., 2013), which had a version
301 of the CAM as an AGCM. In summary, C–30NS considering the coupling between
302 30°N – 30°S produces coherent and energetic patterns in the eastward-propagating
303 intraseasonal fluctuations of U850 and OLR in the tropical IO and WP that are
304 generally consistent with the MJO characteristics. By contrast, the MJO
305 characteristics in A–CTL are considerably weaker than those in C–30NS and that in
306 ERA-I/NOAA data.

307

308 **3.1.3 Horizontal and vertical structures of the MJO across the MC**

309 Figure 2j–o show the horizontal and vertical structures of the MJO when deep
310 convection is the strongest over the MC (i.e., phase 5). Figure 2j–l present the 20–
311 100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} , vector). C–30NS
312 realistically simulated the enhanced tropical convection over the eastern IO and the
313 Kelvin-wave-like easterly anomalies over the tropical WP despite undersimulating
314 the convection over the MC (Fig. 2j and 2k). By contrast, A–CTL failed to simulate
315 the enhanced convection over the eastern IO and MC; instead, it simulated
316 considerably weaker convection and easterly winds over the MC and WP,
317 respectively, than that in ERA-I/NOAA data (Fig. 2j and 2l).

318 Figure 2m–o show the vertical–longitudinal profiles of 20–100-day filtered
319 15°N–15°S averaged vertical velocity (OMEGA; Pa s⁻¹, shaded) and moist static
320 energy tendency (dMSE/dt) anomalies (W m⁻², contour) at phase 5. The spatial
321 distribution of negative OMEGA (ascending motion) anomalies generally agreed with
322 OLR anomalies in C–30NS simulation and NOAA data over the Indo-Pacific region
323 (Fig. 2m and 2n). The relatively spatial relationship between the ascending motion
324 and dMSE/dt seen in ERA-I is well simulated in the coupled experiment C–30NS. For
325 example, positive dMSE/dt anomalies on the eastern side of the anomalous ascent
326 demonstrate that the energy recharge process occurs in advance of the MJO
327 convection over the lower-tropospheric easterlies (Fig. 2m and 2n), whereas negative
328 dMSE/dt anomalies on the western side reveal that the discharge process occurs
329 during and after convection over the lower-tropospheric westerlies. By contrast, this
330 phase relationship, considered to be an essential feature leading to the eastward
331 propagation of an MJO (Hannah and Maloney 2014; Heath et al., 2021), is not
332 properly simulated in the uncoupled experiment A–CTL (Fig. 2o), in which the
333 simulated weak negative OMEGA is located between negative and positive dMSE/dt
334 anomalies over weak lower-tropospheric wind anomalies and associated with weak
335 convection over the MC (Fig. 2l).

336 The temporal evolution of NOAA OLR and ERA-I U850 (Fig. 3a) indicates
337 that convection originating in the western IO is enhanced during its eastward
338 propagation to the MC where it reaches the peak amplitude and then gradually
339 weakened when continuing moving eastward to the dateline. In the coupled
340 experiment C–30NS, this evolution of convectively coupled circulation is realistically
341 simulated, although it is weaker than the strength seen in NOAA OLR (Fig. 3b).
342 Moreover, the split of convection into two cells off the equator in phase 6 is
343 appropriately simulated in C–30NS (P6 in Fig. 3a and 3b). This split was caused by

344 the topographic and land–sea contrast effects of the MC (Tseng et al., 2017).
345 Associated with the split is the southward detouring of the anomalous convection
346 during the passage of the MJO through the MC (Kim et al. 2017, Tseng et al., 2017;
347 Wu and Hsu, 2009). After the passage of the MJO through the MC, the anomalous
348 convection stays south of the equator and continues moving eastward to the
349 dateline. In the uncoupled A–CTL, the systematic eastward propagation of
350 convectively coupled MJO circulation from the IO into the MC is not simulated.
351 Instead, the convection over the MC develops in situ at a later stage than that
352 observed (e.g., P6 in Fig. 3c) and dissipated rapidly. The A–CTL simulates a pair of
353 off-equator convection anomalies in the eastern IO during phase 2 (P2 in Fig. 3c) that
354 moves westward toward the central IO and were amplified at later stages (e.g., P4 in
355 Fig. 3c). This unrealistic evolution explains the westward propagation tendency
356 observed in the Hovmöller diagram (Fig. 2f).

357

358 **3.1.4 Characteristics of air–sea interaction**

359 Figure 4a–c show the longitude–phase diagram in which the 20–100-day filtered
360 precipitation (shaded) and SST (contour) anomalies were averaged over 10°S–10°N to
361 determine the relationship between precipitation and SST fluctuations and to establish
362 a link between air–sea coupling and convection. The propagation of the enhanced
363 convection with positive SST anomalies to the east could be clearly seen in
364 GPCP/OISST and the coupled experiment C–30NS (Fig. 4a and 4b). The highest SST
365 anomaly (SSTA) leads the maximum precipitation anomaly by approximately 2–3
366 phases, and the SSTA begins to decrease following the onset of enhanced
367 precipitation. The ERA-I and OISST data reveal the following relationship between
368 net surface flux and SST: the decreased (increased) LHF/SHF and increased
369 (decreased) downward radiation flux leading (lagging) the positive (negative) SSTA

370 east (west) of anomalous deep convection. This well-known lead-lag relationship
371 reflecting the active air-sea interaction in an MJO is realistically simulated in the
372 coupled experiment C-30NS (not shown).

373 The contrast between C-30NS and A-CTL confirms the key role of the air-sea
374 interaction in contributing to the eastward propagation and demonstrates that the
375 eastward propagation simulation can be markedly improved by incorporating the air-
376 sea interaction process in the model, even when using a simple 1-D ocean model such
377 as SIT.

378

379 **3.1.5 Vertically tilting structure**

380 The warm SST was the key forcing that contributed to the boundary layer
381 convergence before the onset of deep convection (Li et al., 2020; Tseng et al., 2014).
382 Hence, the warmer upper ocean enhances the low-level atmospheric convergence and
383 then leads to enhanced low-level moisture and preconditioned deep convection and
384 eastward propagation. This moistening process associated with warm ocean surface
385 temperature is well simulated in the coupled experiment C-30NS but is not shown
386 here. Instead, we present the coupling of moisture divergence (MD) and atmospheric
387 circulation.

388 MD and zonal wind anomalies from the surface to the upper troposphere
389 averaged over the 10°S-10°N and 120-150°E region are shown in Fig. 4d-f to depict
390 the relationship between the vertically tilting structure of MD and zonal wind
391 anomalies. Note that the active convection occurred around phase 5. The coupled
392 experiment C-30NS (Fig. 4e) realistically simulates the deepening of coupled MD
393 and zonal wind anomalies with time (Fig. 4d). An evolution from the right to left
394 seen in each panel of Fig. 4d-f is equivalent to the eastward movement of vertically
395 tilting circulation from the eastern IO into the MC because of the eastward-

396 propagating nature of the MJO. Figure 4d and 4e show that in both ERA-I reanalysis
397 and the coupled experiment C-30NS, the near-surface convergence (negative MD)
398 occurring in the easterly anomalies lead the convection and continued deepening up
399 to 500 hPa from phase 2 to phase 6 when the easterly anomalies switch to westerly
400 anomalies. By contrast, this evolution of coupled MD-zonal wind anomalies are not
401 appropriately simulated in the uncoupled experiment (Fig. 4f). For example, a slow
402 deepening with time is observed in the MD anomaly but not in the zonal wind
403 anomaly that exhibits a vertically decayed structure, suggesting that MD and wind
404 anomalies are not well coupled, as noted in the ERA-I/NOAA data and the control
405 coupled experiment.

406 In the ERA-I reanalysis data, the negative near-surface MD anomalies appear
407 first under the easterly anomaly and continue deepening between the easterly and
408 westerly anomalies. This development in the phase relationship between MD and
409 zonal wind anomalies in both ERA-I reanalysis data and the coupled simulation is
410 consistent with the well-known structure embedded in the MJO, namely the near-
411 surface convergence in the easterly phase (i.e., a boundary-layer moistening process;
412 Kiranmayi and Maloney 2011; Li et al., 2020; Tseng et al., 2014), followed by the
413 deep convection when transitioning to the westerly phase. This close phase
414 relationship that is key to the eastward propagation is appropriately simulated in the
415 coupled experiment but not in the uncoupled experiment.

416

417 **3.1.6 Intraseasonal variance of precipitation**

418 Figure 4g-i present the spatial distribution of intraseasonal variance of
419 precipitation. In the GPCP data, the maximum variance is noted over the tropical
420 eastern IO, MC, and tropical WP. The maximum variance south of the island in the
421 MC and the equator in the tropical WP reflects the southward shift of the MJO deep

422 convection when passing through the MC, partly due to the blocking effect of
423 mountainous islands and the higher moisture content over high SST south of the
424 equator in the region during boreal winter (Kim et al., 2017; Ling et al., 2019; Sobel
425 et al., 2008; Tseng et al., 2017; Wu and Hsu, 2009). Although the control coupled
426 experiment fails to simulate the variance maximum in the tropical eastern IO, it
427 appropriately simulates the maximum variance over the tropical WP, reflecting its
428 ability to simulate the eastward propagation of the MJO through the MC. By contrast,
429 the uncoupled A-CTL experiment simulates considerably weaker intraseasonal
430 variance in both the tropical eastern IO and the tropical WP. Figure 4j-l are the 20-
431 100-day filtered SST (K, shaded) and 850-hPa wind (m s^{-1} , vector) during MJO
432 phase 7 when deep convection is the strongest over the dateline. The coupled
433 experiment C-30NS realistically simulates the negative SST anomaly over the MC
434 and WP when enhanced tropical convection passed through the MC to the dateline,
435 indicating the capability of the SIT model to reproduce the SST anomaly by
436 exchanging LHF/SHF between the atmosphere and ocean. In A-CTL, no SST
437 anomaly is evident because the model was forced by prescribed climatological SST.
438 The contrast seen in Fig. 4j-l demonstrates the essential role of atmosphere-ocean
439 coupling in shaping the MJO. A delayed air-sea interaction mechanism was noted,
440 where a preceding active-phase MJO may trigger an inactive-phase MJO through the
441 delayed effect of the induced SST anomaly. In addition, the westerly winds at 850
442 hPa moving southward between MC and WP are captured by the control experiment
443 C-30NS and are similar to the ERA-I reanalysis winds (Fig. 4j and 4k). By contrast,
444 A-CTL forced by climatological monthly SST ($<0.05 \text{ K phase}^{-1}$ anomaly) fails to
445 simulate the southward westerly wind of the region extending from the MC to the
446 dateline (Fig. 4l).

447

448 **3.2 Effect of upper-ocean vertical resolution**

449 In the control coupled experiment C–30NS, the vertical resolution in the upper
450 10.5 m was 1 m. Tseng et al. (2014) suggested that fine vertical resolution is crucial
451 for appropriately simulating the eastward propagation. To investigate the effect of
452 vertical resolution, two experiments with a thicker first layer were conducted by
453 moving the center of the layer to 11.5 m (C–LR12m) and 33.9 m (C–LR34m),
454 respectively, as opposed to the control experiment in which 10 layers were
455 implemented in the first 10.5 meters (see appendix Fig. A1 for vertical discretization).
456 The dramatic changes in vertical profile of ocean temperature between the fine and
457 coarse resolution simulation are demonstrated in Fig.5, which presents the 20–100-
458 day filtered oceanic temperature anomalies (K, shaded) between 0 and 60 m depth for
459 MJO phase 1, 3, 5, and 7. The amplitude of ocean temperature is the largest in C–
460 30NS and much weaker in C–LR12m and C–LR34m. In addition, there is a clear
461 vertical stratification of ocean temperature in C–30NS, whereas C–LR12m and C–
462 LR34m are well mixed because of not vertically gridded. This demonstrates the
463 necessity of fine vertical gridding for resolving the quick fluctuation of ocean
464 temperature when interacting with the atmosphere.

465 The W–FS spectral peaks of U850 in C–LR12m are concentrated in eastward-
466 propagating wavenumber 1 at three timescales (e.g., longer than 80 days, 30–80 days,
467 and approximately 30 days; Fig. 6a). In C–LR34m, both eastward and westward
468 signals are simulated with the dominant W–FS timescale longer than 80 days (Fig.
469 6b). The appearance of both eastward and westward signals at a lower frequency
470 implied a stronger stationary tendency or weaker eastward-propagating tendency. This
471 result is consistent with that reported by Tseng et al. (2014) that the scientific
472 reproducibility of coarser resolution causes a longer intraseasonal periodicity and
473 slower eastward propagation of the MJO.

474 The effect of vertical resolution on the MJO simulation can be seen in the
475 Hovmöller diagram. The eastward propagation simulated in C–LR12m (Fig. 6c)
476 markedly weakened after crossing the MC compare with that simulated in the control
477 experiment C–30NS (Fig. 2e). In C–LR34m, the quasi-stationary fluctuation and
478 westward propagation are simulated over the IO (Fig. 6d), appearing similar to those
479 in A–CTL (Fig. 2f). The lead–lag relationship between precipitation (zonal wind) and
480 SST is poorly simulated in C–LR12m (Fig. 6e) and even more poorly simulated in C–
481 LR34m (Fig. 6f). This result confirms the finding reported by Tseng et al. (2014) that
482 a higher vertical resolution in the upper few meters below the surface allows for a
483 faster air–sea interaction, thus resulting in a more realistic simulation of the MJO.
484

485 **3.3 Effect of the lowest boundary of the SIT model**

486 The ocean is a vital energy source for the MJO. Although vertical resolution is
487 crucial for the efficiency of air–sea interaction, the thickness of the upper ocean that
488 interacts with the atmosphere represents the ocean heat content to substantiate the
489 MJO. A key question is how the total ocean heat content, which depends on the total
490 depth of the ocean, can affect the MJO. Considering two models with the same
491 vertical resolution, the model with thinner ocean (e.g., 10 meter) would interact as
492 efficiently as another model with thicker ocean (e.g., 60m) but with much less heat to
493 release to or to absorb from the atmosphere. The former would have less impact on
494 the atmosphere than the latter. Using the same vertical resolution, three experiments
495 with various ocean depths ocean bottom at 10, 30, and 60 m were conducted (see
496 appendix Fig. A2 and Table 1).

497 The spectra and the Hovmöller diagrams shown in Fig. 7a–c and Fig. 7d–f,
498 respectively, demonstrate that the thicker ocean model simulates a stronger MJO with
499 a frequency closer to those in the coupled experiment C–30NS and ERA-I/NOAA

500 data, and more realistic eastward propagation. In addition, the lead–lag relationship
501 between precipitation (wind) and SST is more realistically simulated with increasing
502 thickness of the ocean model (Fig. 7g–i).

503 This result suggests that the thickness of the vertically-gridded ocean that interacts
504 with the atmosphere strongly affects the frequency of the simulated MJO. A thinner
505 (thicker) vertically-gridded ocean is more quickly (slowly) recharged and discharged
506 through SHF and LHF exchange between the atmosphere and ocean and therefore
507 likely fluctuates at a faster (slower) tempo. The simulated periodicity is therefore
508 affected by the thickness (or ocean heat content) of upper ocean that interacts
509 rigorously with the atmosphere. Although the result suggests 60 m is an appropriate
510 thickness to realistically simulate the periodicity of the MJO, we did not intend to
511 suggest the exact thickness required for a proper simulation because it might depend
512 on the model. The upper ocean should be adequately thick to contain a certain amount
513 of heat to generate appropriate periodicity. However, the reason for the intraseasonal
514 time scale (i.e., 20–100 days) should be determined in future studies. This finding
515 does not suggest a constant periodicity because periodicity might be affected by the
516 time-varying structure of the atmosphere and ocean in the real world.

517

518 **3.4 Effects of coupling domains**

519 The MJO is a planetary-scale phenomenon. Given its large-scale circulation, the
520 air–sea interaction affecting the MJO likely occurs in a much larger area than the
521 region near the major convection anomalies. In this section, we discuss the effect of
522 coupling domain on model ability to simulate the eastward propagation speed and
523 periodicity of the MJO. Four experiments considering the coupling in various
524 domains (C–0_30N, C–0_30S, C–30_180E, and C–30E_75W, Fig. 1) were conducted
525 for the purpose. The results are shown in Fig. 8. The C–0_30N that considered the

526 coupling in the tropics between the equator and 30°N simulates the least realistic MJO
527 propagation in terms of W–FS (Fig. 8a), zonal wind–precipitation coupling (Fig. 8e),
528 and SST–precipitation (Fig. 8i) among the four regional coupling experiments. By
529 contrast, coupling only the tropics between the equator and 30°S simulates a more
530 realistic MJO in all three aspects (i.e., spectrum in Fig. 8b, temporal evolution of
531 precipitation/wind, and precipitation/SST coupling in Fig. 8f and 8j). Figure. 9a
532 indicates that the negative OLR anomalies at phase 5 simulated in C–0_30N stays
533 mainly north of the equator and does not shift southward in the MC as revealed in
534 ERA-I reanalysis and NOAA OLR and in the control experiment C–30NS, and the
535 convection over the IO is unrealistically weak. By contrast, the southward detouring
536 in the MC is realistically simulated in C–0_30S that coupled only the tropical ocean
537 between the equator and 30°S. This result indicates that air–sea coupling occurring
538 south of the equator is the key to producing appropriate eastward propagation and
539 detouring of the MJO through the MC. Without this coupling, the C–0_30N
540 experiment fails to realistically simulate the eastward propagation of the MJO (Fig.
541 8e). This contrast can be attributed to the warmer ocean surface and higher moisture
542 content found south of the equator in boreal winter, which comprise a more favorable
543 environmental condition for air–sea coupling and convection–circulation coupling and
544 the occurrence of the MJO.

545 MJO simulations can be affected by air–sea coupling in the longitudinal domain.
546 Tseng et al. (2014) examined this effect by allowing coupling in different regions
547 (e.g., the IO, WP, and IO + WP) and found that the IO + WP coupling experiment
548 yielded the most satisfactory MJO simulation in terms of the zonal W–FS and
549 eastward propagation characteristics. In this study, we conducted sensitivity
550 experiments in which we allowed coupling in the tropics in two longitudinal domains,
551 namely 30°E–180°E (C–30_180E) and 30°E–75°W (C–30E_75W). The 30°E–180°E

552 region covered the IO and WP, and the 30°E–75°W region covered the IO and the
553 entire tropical Pacific. As shown in Fig. 8, the C–30E_75W experiment simulates
554 more realistic MJO than the C–30_180E experiment, with stronger eastward
555 propagation and larger amplitudes in the spectrum (Fig. 8c and 8d) and Hovmöller
556 diagrams of precipitation/wind (Fig. 8g and 8h) and precipitation/SST (Fig. 8k and
557 8l). The simulated MJO in C–30E_75W propagated farther east than that in C–
558 30_180E, particularly evident in Fig. 8k and 8l. The spatial distributions of circulation
559 and OLR shown in Fig. 9c and 9d indicate the presence of a stronger convective-
560 coupled circulation system over the MC and WP in C–30E_75W. These results
561 suggest that coupling over the entire tropical IO and Pacific could enhance the
562 strength and eastward propagation of the MJO and encourage farther propagation to
563 the central Pacific.

564

565 **3.5 Diurnal versus no diurnal cycle in air–sea coupling**

566 Previous studies showed that the diurnal cycle in the MC can weaken the MJO
567 and its eastward propagation (Hagos et al., 2016; Oh et al., 2013). We conducted an
568 experiment to determine whether computing surface heat fluxes using daily mean
569 values, instead of instantaneous values, of atmospheric variables and SST with the
570 same coupling frequency would affect the MJO simulation. The coupling in the model
571 was conducted through the SHF and LHF exchange between the atmosphere and
572 ocean, that were calculated based on simulated winds, moisture, and temperature. As
573 mentioned in Section 2.3, air–sea fluxes were calculated twice for every time step
574 (coupling 48 times per day) in the control coupled experiment (C–30NS) based on the
575 instantaneous values of atmospheric and oceanic variables. In the experiment in which
576 the diurnal cycle was removed (C–30NS–nD), air–sea fluxes were calculated as in C–
577 30NS but were based on daily means of both atmospheric variables and SST. Doing

578 this removed certain diurnal effects of air-sea coupling. The results shown in Fig. 10
579 reveal the enhancement of the eastward-propagating signals in the MJO (e.g., a larger
580 amplitude in spectrum; Fig. 10a) and further eastward propagation (Fig. 10b) as well
581 stronger coupling between precipitation and SST (Fig. 10c) in C-30NS-nD. The
582 overall results are consistent with previous finding that the diurnal cycle tends to
583 reduce the amplitude of the MJO, indicating that the weakening effect occurs through
584 air-sea coupling in addition to those processes in the atmosphere. Previous studies
585 have hypothesized that rapid interaction processes in the diurnal time scale tend to
586 extract energy from the MJO, thus reducing the strength and propagation tendency of
587 the MJO. However, a comparison between the spectra of C-30NS and C-30NS-nD
588 indicates that the experiment in which the diurnal cycle is removed appeared to
589 oversimulate the MJO with unrealistic strength, suggesting that the effect of the
590 diurnal cycle should be considered in the model to simulate a more realistic MJO.
591 However, whether this is a common result in different models remain to be examined.

592

593 **4. Discussion and conclusions**

594 Air-sea coupling is a key mechanism for the successful simulation of the MJO
595 (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li
596 et al., 2016; Li et al., 2020; Newman et al., 2009; Tseng et al., 2014). This study,
597 following the study of Tseng et al. (2014), demonstrated that coupling a high-
598 resolution 1-D TKE ocean model (namely the SIT model) to the CAM5, namely the
599 CAM5-SIT, significantly improved the MJO simulation over the standalone CAM5.
600 By coupling SIT model to an AGCM different from Tseng et al. (2014), this study
601 confirms the scientific reproducibility for the improvement of MJO simulation in
602 modeling science. The CAM5-SIT realistically simulates the MJO characteristics in
603 many aspects (e.g., intraseasonal periodicity, eastward propagation, coherence in the

604 low-frequency band, detouring propagation across the MC, tilting vertical structure,
605 and intraseasonal variance in the WP).

606 Systematic sensitivity experiments were conducted to investigate the effects of
607 the vertical resolution and the thickness of the 1-D ocean model, coupling domains,
608 and the absence of the diurnal cycle. The results of all the sensitivity experiments are
609 summarized in Fig. 11a and 11b, which show four common metrics for MJO
610 evaluation. The four metrics are the propagation speed of the MJO (estimated from
611 the U850 Hovmöller diagram as Fig. 2d–f) versus the power ratio of eastward- and
612 westward-propagating 30–80-day signals (E/W ratio, derived from the zonal W–FS)
613 in Fig. 11a and the eastward propagation speed of the 30–80-day filtered precipitation
614 anomaly (estimated from the precipitation Hovmöller diagram) versus the variance
615 explained by RMM1 and RMM2 (i.e., the sum of the variance explained by the first
616 two empirical orthogonal functions (EOF1 and EOF2) based on Wheeler and Hendon,
617 2004) in Fig. 11b. Based on the maximum precipitation anomaly and zero values of
618 U850 (indicating deep convection region), propagation speeds of precipitation and
619 U850 were calculated from Hovmöller diagrams between 60°E and 150°W. Overall,
620 the control experiment C–30NS simulates the most realistic MJO among all
621 sensitivity experiments.

622 As for vertical resolution, we determined that the MJO simulation efficiency
623 decreased when the vertical resolution of the SIT model is decreased from 1 m to 11.5
624 or 33.9 m, as simulated in the C–LR12m and C–LR34m experiments, respectively.
625 This finding, consistent with that reported by Tseng et al. (2014), suggests that a finer
626 vertical resolution more effectively resolves temperature variations in the ocean warm
627 layer and enhances atmospheric–ocean coupling, thus enabling the upper ocean to
628 more efficiently respond to atmospheric forcing by providing sensible and latent heat
629 fluxes; this results in superior synchronization between the lower atmosphere and the

630 upper ocean.

631 We observed that the shallower ocean model bottom could speed up the eastward
632 propagation of the MJO by producing more perturbations of shorter periodicity (Fig.
633 7) and results in a weaker MJO. The shallower ocean layer with vertical grids likely
634 responds more quickly to atmospheric forcing but provides less sensible and latent
635 heat fluxes to the atmosphere. Thus, the MJO propagates too fast with a weaker
636 amplitude.

637 In the coupling domain sensitivity experiments, we investigated the essential
638 coupling domain required to simulate the realistic MJO and the effect of the domain
639 on the MJO simulation. Coupling only the northern tropics fails to simulate the
640 eastward propagation, whereas coupling only the southern tropics yields a more
641 realistic MJO simulation, although this simulation is inferior to coupling the entire
642 tropics. This contrast reveals the importance of the southern tropical ocean, especially
643 in the MC where high SST and moisture content are noted. Coupling in the southern
644 tropics is therefore essential for providing the energy required to maintain the MJO
645 and its eastward propagation. By contrast, the northern tropics are relatively dry and
646 cool. Coupling in this region is therefore less effective in improving MJO simulation.

647 In the longitudinal domain sensitivity experiments, we found that the MJO
648 amplitude and the eastward extend of its eastward propagation are enhanced by
649 extending the eastern boundary of the coupling domain from the tropical eastern IO to
650 the tropical WP and further to the tropical eastern Pacific (Fig. 1). Further extension
651 of the domain to cover the tropical Atlantic does not exhibit further enhancement (not
652 shown). This result indicates that coupling in the tropical central and eastern Pacific,
653 although not the major MJO signal regions (i.e., from the tropical IO to the tropical
654 WP), still played a marked role in sustaining the MJO. We propose the following to
655 explain this effect. Because of the planetary scale of the MJO, the near-surface

656 easterly circulation to the east of the convection core often extended to the tropical
657 central and eastern Pacific where the climatological easterly prevailed. The coupling
658 beyond the WP increased low-level moisture transport and convergence to the east of
659 the convection and establish an environment suitable for the further eastward
660 propagation of the MJO. This effect was likely terminated by the landmass of Central
661 America when the tropical Atlantic was further included. Thus, a further eastward
662 extension of the coupling domain exerted little effect on further enhancing the MJO. A
663 diagnostic study on the effect of the longitudinal coupling domain is being conducted,
664 and the results will be reported in a following paper.

665 The diurnal versus nondiurnal cycle experiment indicates that nondiurnal
666 coupling tended to enhance eastward-propagating signals but slow down the eastward
667 propagation (Fig. 11a–b). This result is consistent with the finding of previous studies
668 that the diurnal cycle in the atmosphere extracts energy from the MJO, thus
669 weakening it.

670 In this study, we demonstrated how air–sea coupling can improve the MJO
671 simulation in a GCM. The findings are as follows.

672 (1) Better resolving the fine structure of the upper-ocean temperature and therefore
673 the air–sea interaction leads to more realistic intraseasonal variability in both
674 tropical SST and atmospheric circulation.

675 (2) An adequate thickness of vertically-gridded upper ocean is required to simulate a
676 delayed response of the upper ocean to atmospheric forcing and lower-frequency
677 fluctuation.

678 (3) Coupling the tropical eastern Pacific, in addition to the tropical IO and the tropical
679 WP, can enhance the MJO and facilitate the further eastward propagation of the
680 MJO to the dateline.

681 (4) Coupling the southern tropical ocean, instead of the norther tropical ocean, is

682 essential for simulating a realistic MJO.

683 (5) Stronger MJO variability can be obtained without considering the diurnal cycle in
684 coupling.

685 Our study confirmed the effectiveness of air–sea coupling for improving MJO
686 simulation in a climate model and demonstrated how and where to couple. The
687 findings enhance our understanding of the physical processes that shape the
688 characteristics of the MJO.

689

690 *Code and data availability.* The model code of CAM5–SIT is available at
691 <https://doi.org/10.5281/zenodo.5510795>. Input data of CAM5–SIT using the
692 climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset and
693 GODAS data forcing, including 30-year numerical experiments, are available at
694 <https://doi.org/10.5281/zenodo.5510795>.

695

696 *Author contributions.* HHH is the initiator and the primary investigator of the
697 Taiwan Earth System Model project. YYL is the CAM5–SIT model developer and
698 writes the majority part of the paper. WLT and LCJ assist in MJO analysis.

699

700 *Competing interests.* The authors declare that they have no conflict of interest.

701

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1002 Table 1. List of experiments

Section	Category	Experiments	Description
3.1	Coupled or uncoupled	A-CTL	Standalone CAM5.3 forced by forced by the monthly mean Hadley Centre SST dataset version 1 climatology
		C-30NS (the control coupled experiment)	CAM5.3 coupled with SIT over the tropical domain (30°N-30°S), with 41 layers of finest vertical resolution (up to the seabed) and diurnal cycle; the frequency of CAM5 being exchanged with CPL is 48 times per day
3.2	Upper-ocean vertical resolution	C-LR12m	The first ocean vertical level starts at 11.5 m with 31 layers (beside SST and cool skin layer are 11.5 m, 29.5 m and 43.6 m up to the seabed)
		C-LR34m	The first ocean vertical level starts at 33.9 m with 28 layers (beside SST and cool skin layer are 33.9 m, 76.9 m and 96.8 m up to the seabed)
3.3	Lowest boundary of SIT	C-HR1mB10m	The lowest boundary of SIT has a depth of 10 m (model depth between 0 m and 10 m)
		C-HR1mB30m	The lowest boundary of SIT has a depth of 30 m (model depth between 0 m and 30 m)
		C-HR1mB60m	The lowest boundary of SIT has a depth of 60 m (model depth between 0 m and 60 m)
3.4	Regional coupling domain in latitude	C-0_30N	Coupled in the tropical northern hemisphere (0°N-30°N, 0°E-360°E)
		C-0_30S	Coupled in the tropical southern hemisphere (0°S-30°S, 0°E-360°E)
	Regional coupling domain in longitude	C-30_180E	Coupled in the Indo-Pacific (30°N-30°S, 30°E-180°E)
		C-30E_75W	Coupled over the Indian Ocean and Pacific Ocean (30°N-30°S, 30°E-75°W)
3.5	Absence of the diurnal cycle	C-30NS-nD	Absence of the diurnal cycle in C-30NS; the CAM5.3 daily atmospheric mean of surface wind, temperature, total precipitation, net surface heat flux, u-stress and v-stress over water trigger the SIT and daily mean SST feedback to atmosphere; the frequency of CAM5 is exchanged with CPL 48 times per day

1003 Experiment abbreviations: “A” means standalone AGCM simulation. “C” means the
 1004 CAM5.3 coupled to the SIT model.

1005 **Figure List**

1006 **Figure 1.** Schematics of coupled and uncoupled domains in the regional coupling
1007 experiment: (a) C–30NS, (b) C–0_30N, (c) C–0_30S, (d) C–30_180E, and (e) C–
1008 30E_75W. The background is the climatological mean SST in December–February (DJF).

1009

1010 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind averaged
1011 over 10°S–10°N in boreal winter after removing the climatological mean seasonal cycle.
1012 Vertical dashed lines represent periods at 80 and 30 days, respectively. (d)–(f) Hovmöller
1013 diagrams of the correlation between the precipitation averaged over 10°S–5°N, 75–100°E
1014 and the intraseasonally filtered precipitation (color) and 850-hPa zonal wind (contour)
1015 averaged over 10°N–10°S. (g)–(i) Zonal wavenumber–frequency power spectra of
1016 anomalous OLR (colors) and phase lag with U850 (vectors) for the symmetric component
1017 of tropical waves, with the vertically upward vector representing a phase lag of 0° with
1018 phase lag increasing clockwise. Three dispersion straight lines with increasing slopes
1019 represent the equatorial Kelvin waves (derived from the shallow water equations)
1020 corresponding to three equivalent depths, 12, 25, and 50 m, respectively. (j)–(l)
1021 Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} ,
1022 vector) for MJO phase 5 when deep convection is the strongest over the MC and 850-hPa
1023 wind, with the reference vector (1 m s^{-1}) shown at the top right of each panel, and (m)–
1024 (o) 15°N–15°S averaged p-vertical velocity anomaly (Pa s^{-1} , shaded) and moist static
1025 energy tendency anomaly (W m^{-2} , contour, interval 0.003); solid, dashed, and thick-black
1026 lines represent positive, negative, and zero values, respectively. The number of days used
1027 to generate the composite is shown at the bottom right corner of each panel. (a), (d), (g),
1028 (j), and (m) are from the ERA-Interim and NOAA post-processed data (abbr. ERA-
1029 I/NOAA); (b), (e), (h), (k), and (n) are from the control experiment C–30NS; and (c), (f),
1030 (i), (l), and (o) are from the A–CTL.

1031

1032 **Figure 3.** Evolution of the filtered OLR anomaly (W m^{-2} , shaded) and 850-hPa wind (m
1033 s^{-1} , vector) at phase 2, 4, 6, and 8: (a) the ERA-I/NOAA data, (b) the control coupled
1034 experiment C–30NS, and (c) the uncoupled experiment A–CTL. The unit of the reference
1035 vector shown at the top right corner of each panel is m s^{-1} , and the number of days used
1036 for the composite is shown at the bottom right corner of each panel.

1037

1038 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
1039 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N–
1040 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
1041 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical
1042 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and

1043 zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120 – 150°E ; solid, dashed, and
1044 thick-black curves are positive, negative, and zero values, respectively. (g)–(i) Variation
1045 of 30–60-day filtered precipitation in the eastern IO and the WP in observation (color
1046 shading), and the ratio between intraseasonal and total variance (contoured) and (j)–(l)
1047 composites 20–100-day filtered SST (K, shaded) and 850-hPa winds (m s^{-1} , vector) at
1048 phase 7 when deep convection was the strongest over the dateline. Reference vector
1049 shown at the top right corner of each panel. (a), (d), (g), and (j) are from the ERA-
1050 I/NOAA data; (b), (e), (h), and (k) are from the control coupled experiment C–30NS; and
1051 (c), (f), (i), and (l) are from the uncoupled experiment A–CTL.

1052

1053 **Figure 5.** Composites of 20–100-day filtered oceanic temperature (K, shaded) between 0
1054 and 60 m depth for MJO phase 1, 3, 5, and 7 (shown at the lower right corner of each
1055 panel) in C–30NS, C–LR12m and C–LR34m.

1056

1057 **Figure 6.** (a)–(b) Same as in Fig. 2(a) but for the C–LR12m and C–LR34m. (c)–(d) Same
1058 as in Fig. 2(d) but for the C–LR12m and C–LR34m. (e)–(f) Same as in Fig. 4(a) but for
1059 the C–LR12m and C–LR34m.

1060

1061 **Figure 7.** Same as in Fig. 6 but for the C–HR1mB10m, C–HR1mB30m, and C–
1062 HR1mB60m.

1063

1064 **Figure 8.** Same as in Fig. 6 but for the C–0_30N, C–0_30S, C–30_180E, and C–
1065 30E_75W.

1066

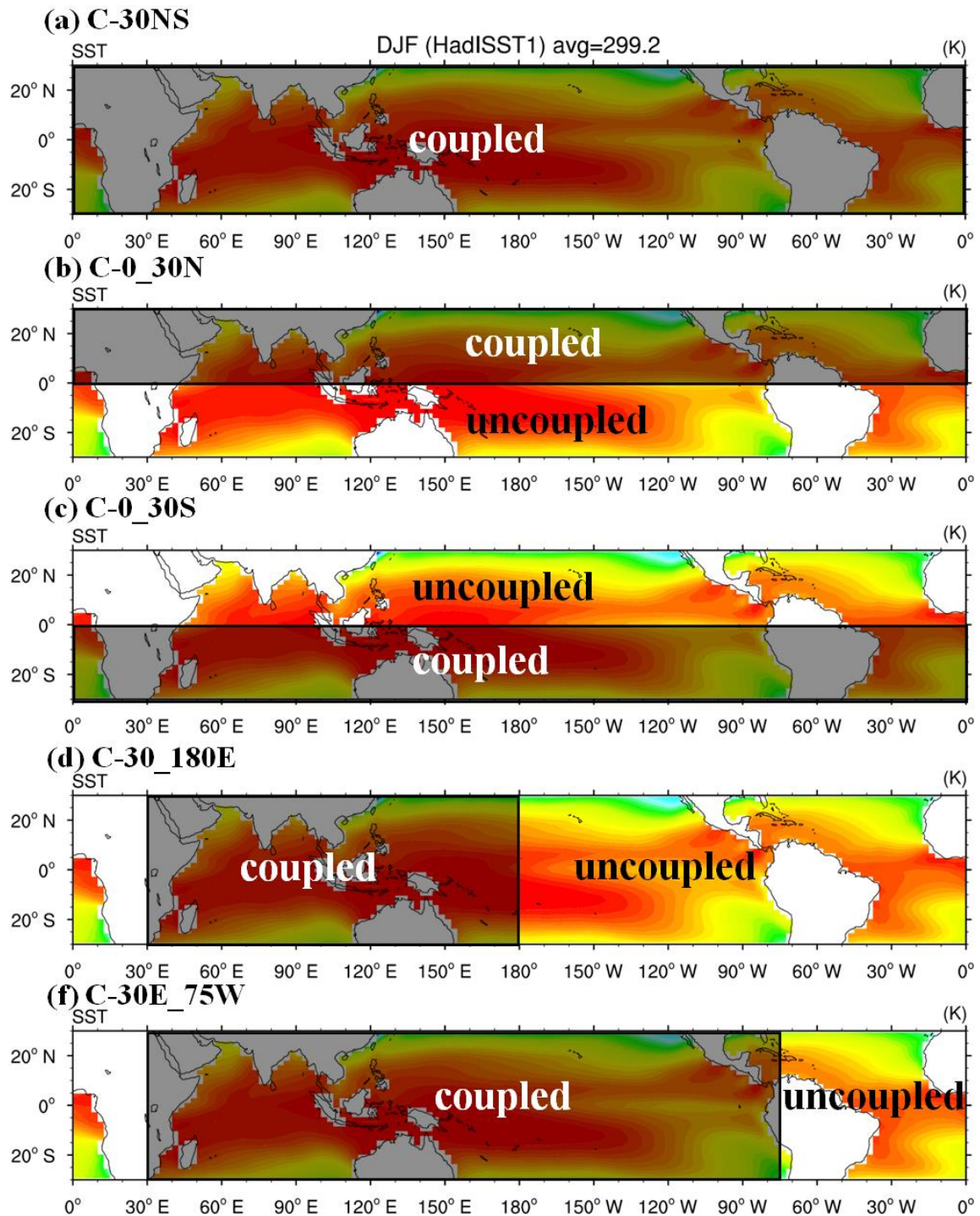
1067 **Figure 9.** Same as in Fig. 3 but for phase 5 in the C–0_30N, C–0_30S, C–30_180E, and
1068 C–30E_75W.

1069

1070 **Figure 10.** Similar as in Fig. 6 but for the C–30NS–nD.

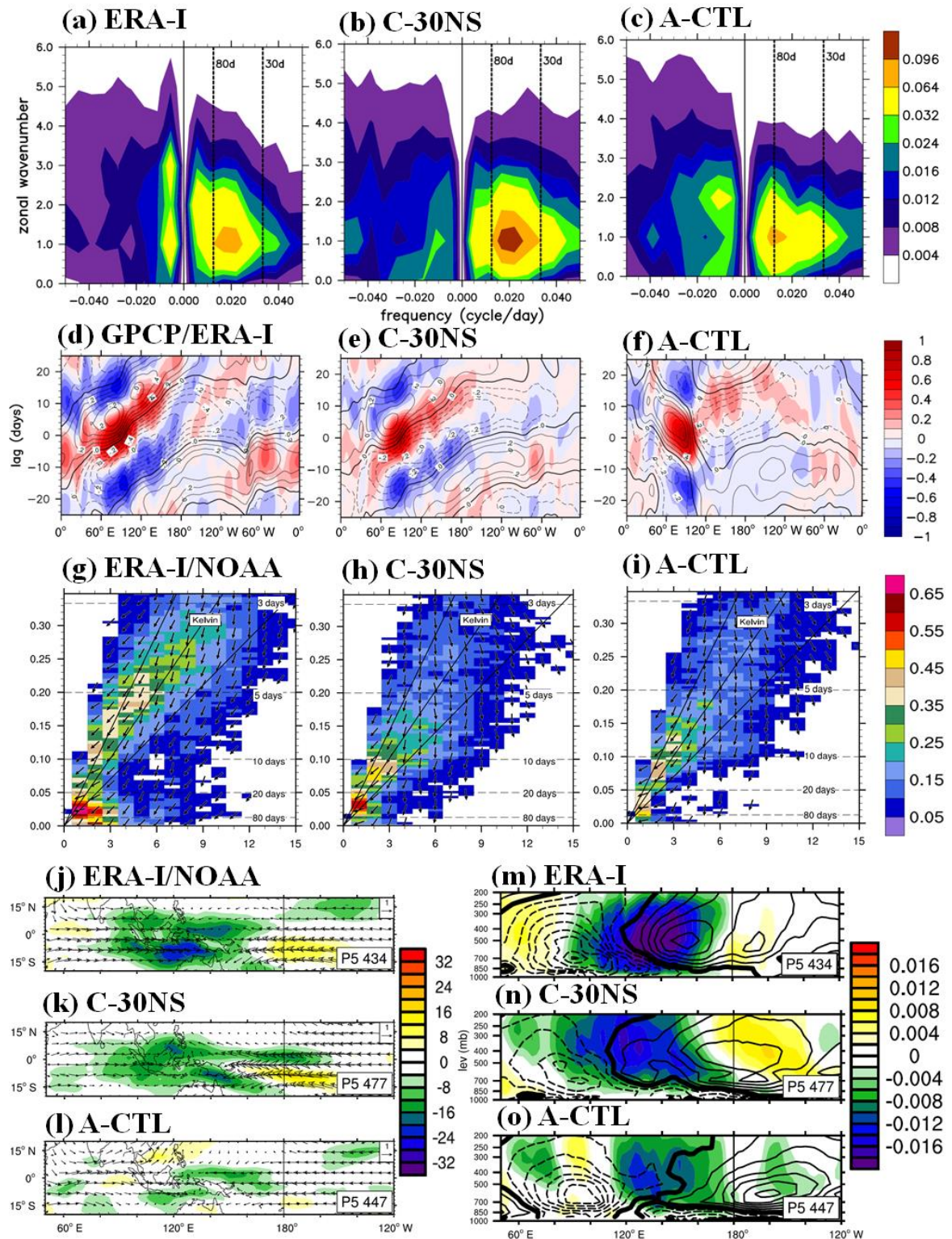
1071

1072 **Figure 11.** Scattered plots of various MJO indices in the ERA-I/NOAA data and 12
1073 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-
1074 hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed of
1075 U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
1076 and eastward propagation speed of the filtered precipitation anomaly derived from the
1077 Hovmöller diagram.



1078

1079 **Figure 1.** Schematics of coupled and uncoupled domains in the regional coupling
 1080 experiment: (a) C-30NS, (b) C-0_30N, (c) C-0_30S, (d) C-30_180E, and (e) C-
 1081 30E_75W. The background is the climatological mean SST in December–February (DJF).



1083

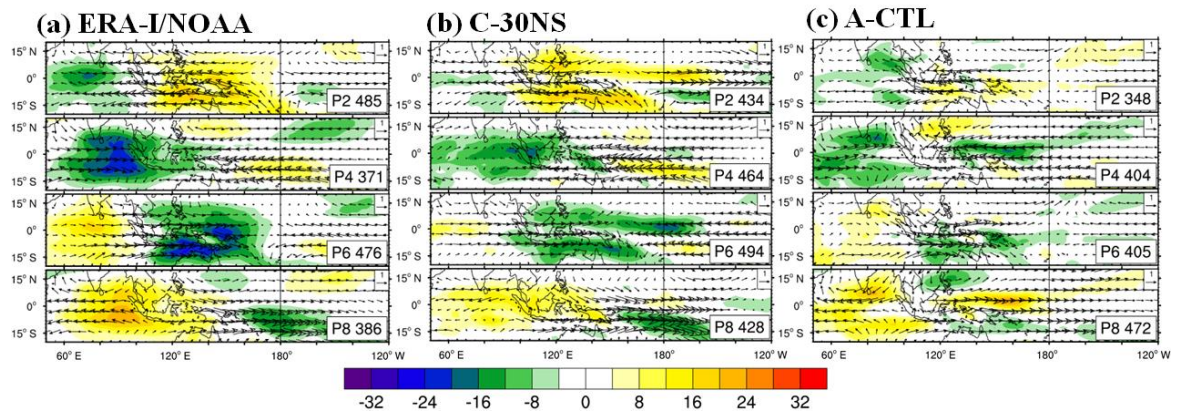
1084

1085 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind averaged
 1086 over 10°S–10°N in boreal winter after removing the climatological mean seasonal cycle.

1087 Vertical dashed lines represent periods at 80 and 30 days, respectively. (d)–(f) Hovmöller

1088 diagrams of the correlation between the precipitation averaged over 10°S – 5°N , 75 – 100°E
1089 and the intraseasonally filtered precipitation (color) and 850-hPa zonal wind (contour)
1090 averaged over 10°N – 10°S . (g)–(i) Zonal wavenumber–frequency power spectra of
1091 anomalous OLR (colors) and phase lag with U850 (vectors) for the symmetric component
1092 of tropical waves, with the vertically upward vector representing a phase lag of 0° with
1093 phase lag increasing clockwise. Three dispersion straight lines with increasing slopes
1094 represent the equatorial Kelvin waves (derived from the shallow water equations)
1095 corresponding to three equivalent depths, 12, 25, and 50 m, respectively. (j)–(l)
1096 Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} ,
1097 vector) for MJO phase 5 when deep convection is the strongest over the MC and 850 hPa
1098 wind, with the reference vector (1 m s^{-1}) shown at the top right of each panel, and (m)–
1099 (o) 15°N – 15°S averaged p-vertical velocity anomaly (Pa s^{-1} , shaded) and moist static
1100 energy tendency anomaly (W m^{-2} , contour, interval 0.003); solid, dashed, and thick-black
1101 lines represent positive, negative, and zero values, respectively. The number of days used
1102 to generate the composite is shown at the bottom right corner of each panel. (a), (d), (g),
1103 (j), and (m) are from the ERA-Interim and NOAA post-processed data (abbr. ERA-
1104 I/NOAA); (b), (e), (h), (k), and (n) are from the control experiment C–30NS; and (c), (f),
1105 (i), (l), and (o) are from the A–CTL.

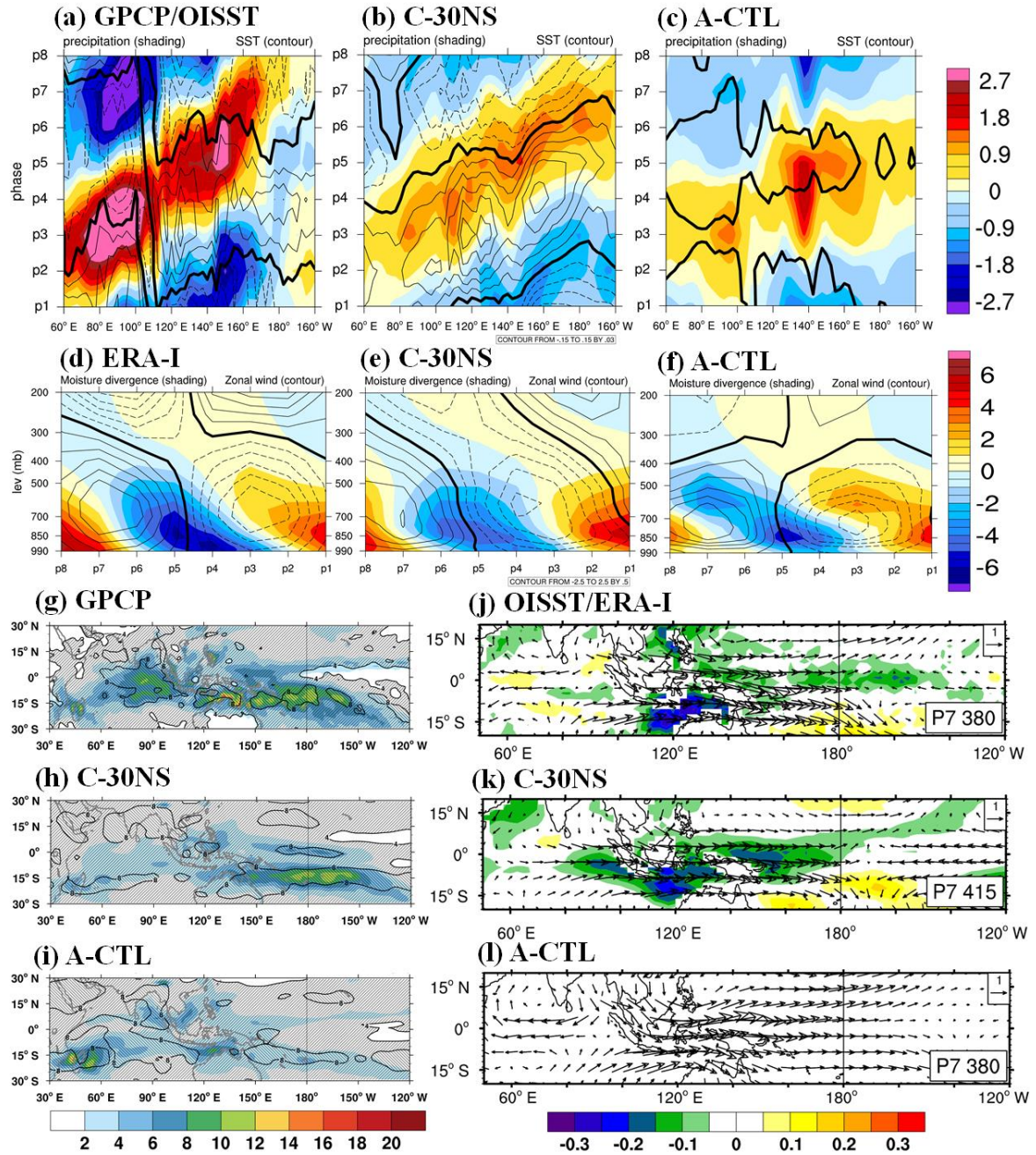
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1109 **Figure 3.** Evolution of the filtered OLR anomaly ($W m^{-2}$, shaded) and 850-hPa wind (m
1110 s^{-1} , vector) at phase 2, 4, 6, and 8: (a) the ERA-I/NOAA data, (b) the control coupled
1111 experiment C-30NS, and (c) the uncoupled experiment A-CTL. The unit of the reference
1112 vector shown at the top right corner of each panel is $m s^{-1}$, and the number of days
1113 for the composite is shown at the bottom right corner of each panel.

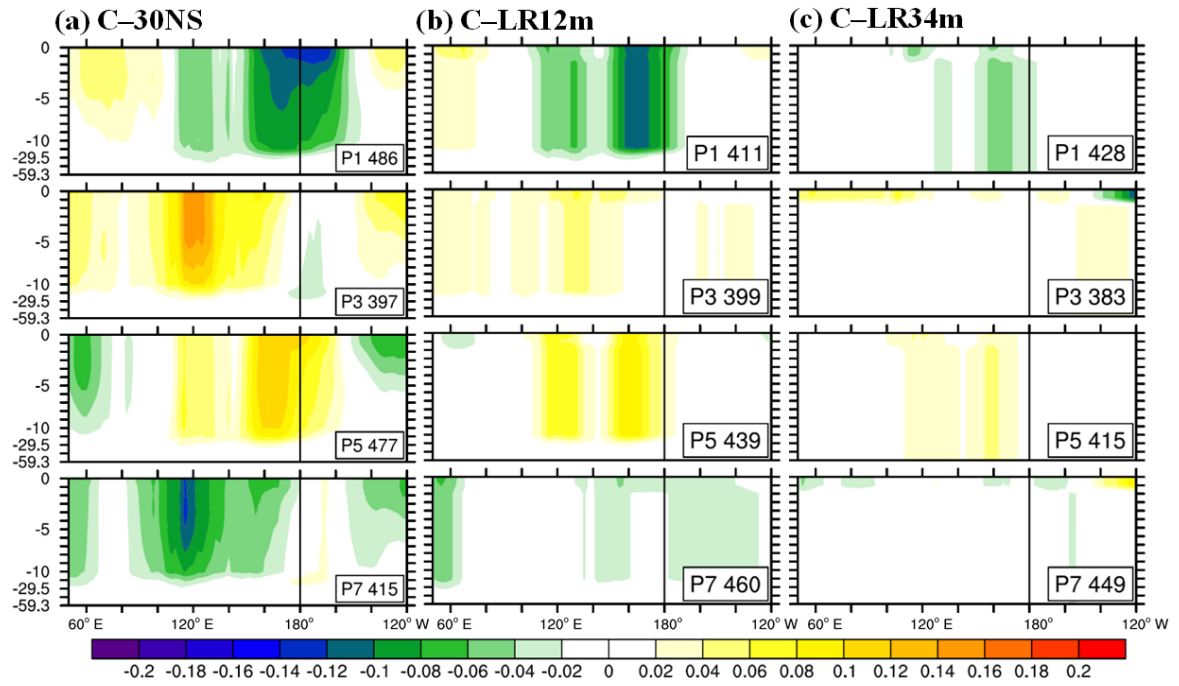


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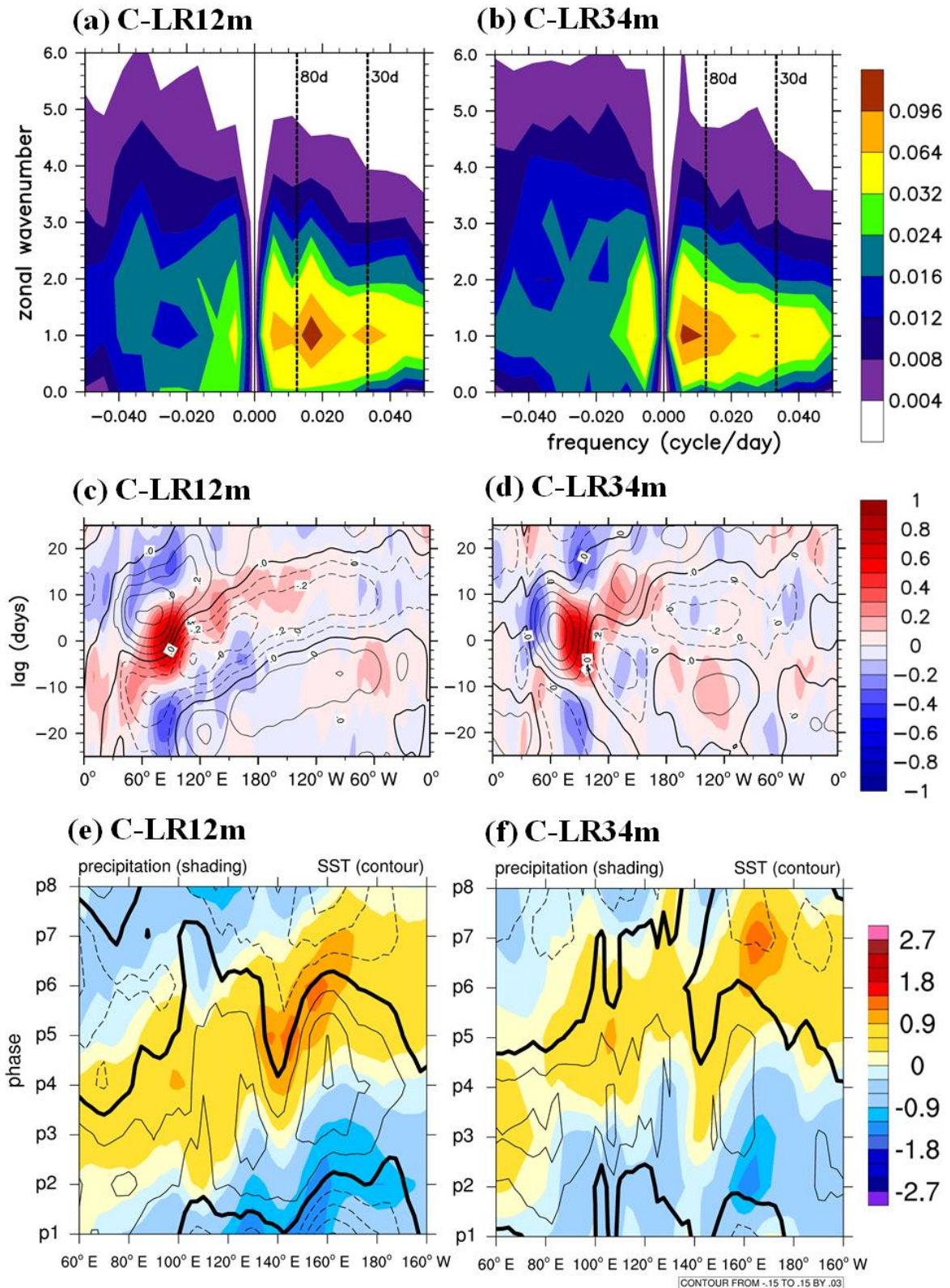
1116 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
 1117 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N –
 1118 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
 1119 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical
 1120 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and
 1121 zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120 – 150°E ; solid, dashed, and
 1122 thick-black curves are positive, negative, and zero values, respectively. (g)–(i) Variation
 1123 of 30–60-day filtered precipitation in the eastern IO and the WP in observation (color
 1124 shading), and the ratio between intraseasonal and total variance (contoured) and (j)–(l)

1125 composites 20–100-day filtered SST (K, shaded) and 850-hPa winds (m s^{-1} , vector) at
1126 phase 7 when deep convection was the strongest over the dateline. Reference vector
1127 shown at the top right corner of each panel. (a), (d), (g), and (j) are from the ERA-
1128 I/NOAA data; (b), (e), (h), and (k) are from the control coupled experiment C–30NS; and
1129 (c), (f), (i), and (l) are from the uncoupled experiment A–CTL.
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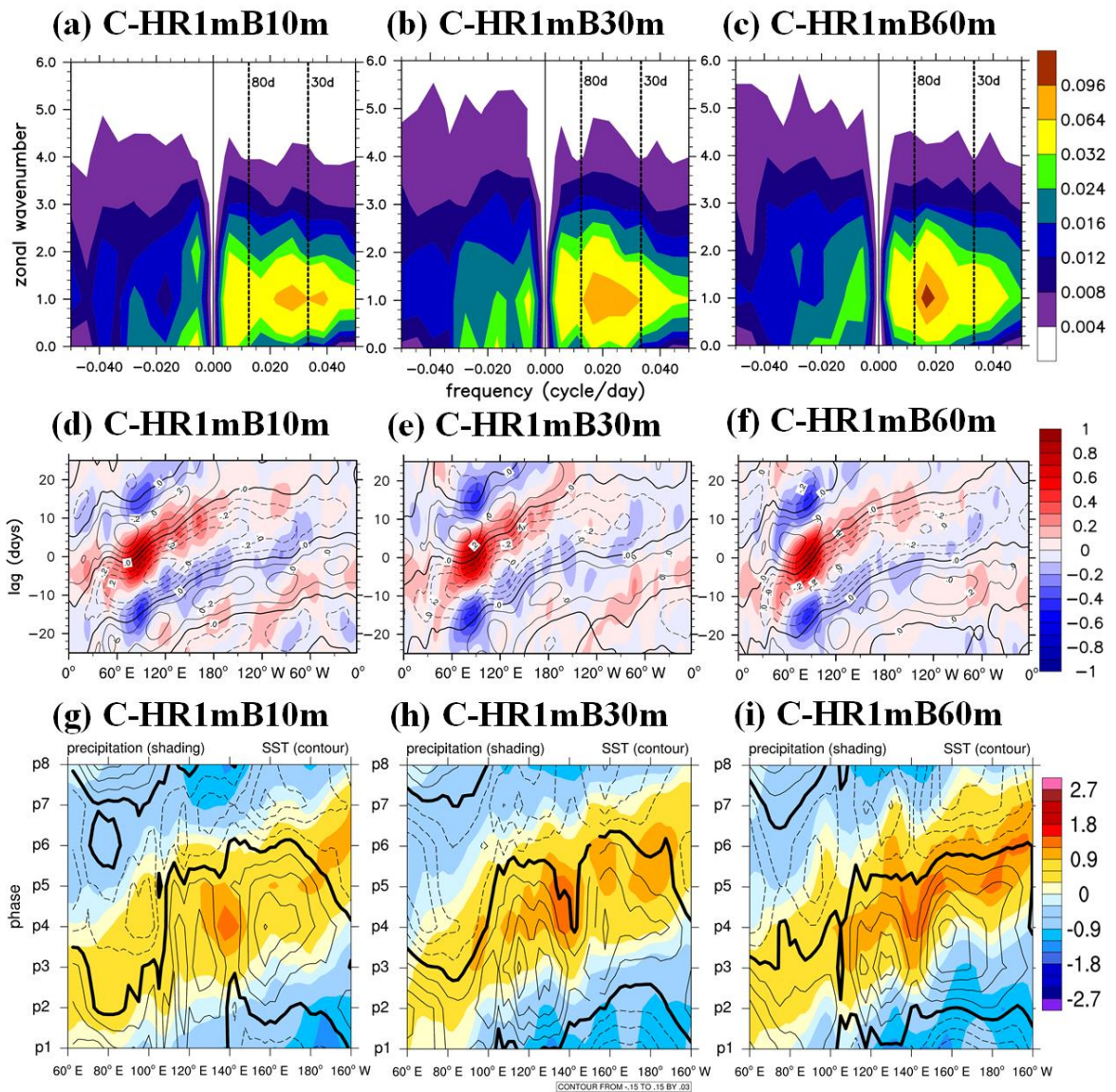
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Figure 5. Composites of 20–100-day filtered oceanic temperature (K, shaded) between 0 and 60 m depth for MJO phase 1, 3, 5, and 7 (shown at the lower right corner of each panel) in C–30NS, C–LR12m and C–LR34m.



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Figure 6. (a)–(b) Same as in Fig. 2(a) but for the C–LR12m and C–LR34m. (c)–(d) Same as in Fig. 2(d) but for the C–LR12m and C–LR34m. (e)–(f) Same as in Fig. 4(a) but for the C–LR12m and C–LR34m.

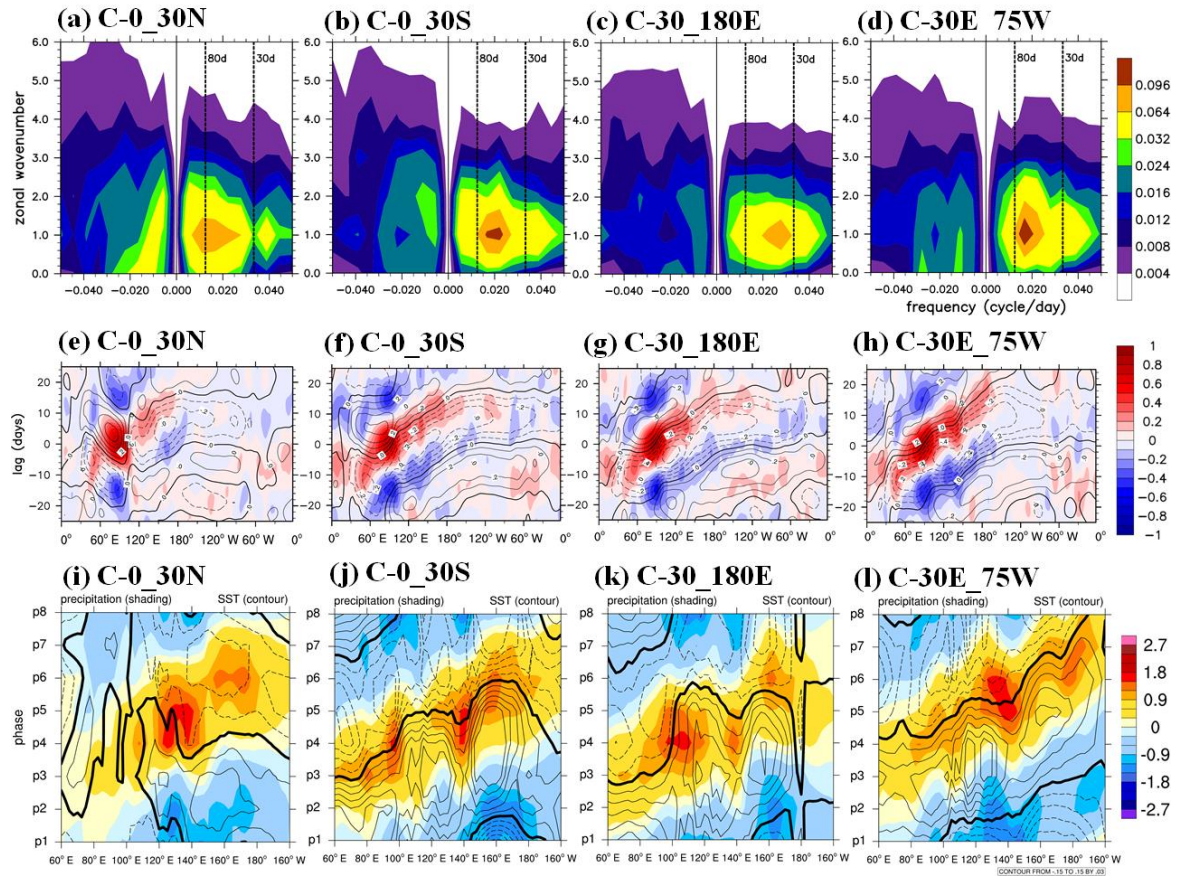


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1144 **Figure 7.** Same as in Fig. 6 but for the C-HR1mB10m, C-HR1mB30m, and C-

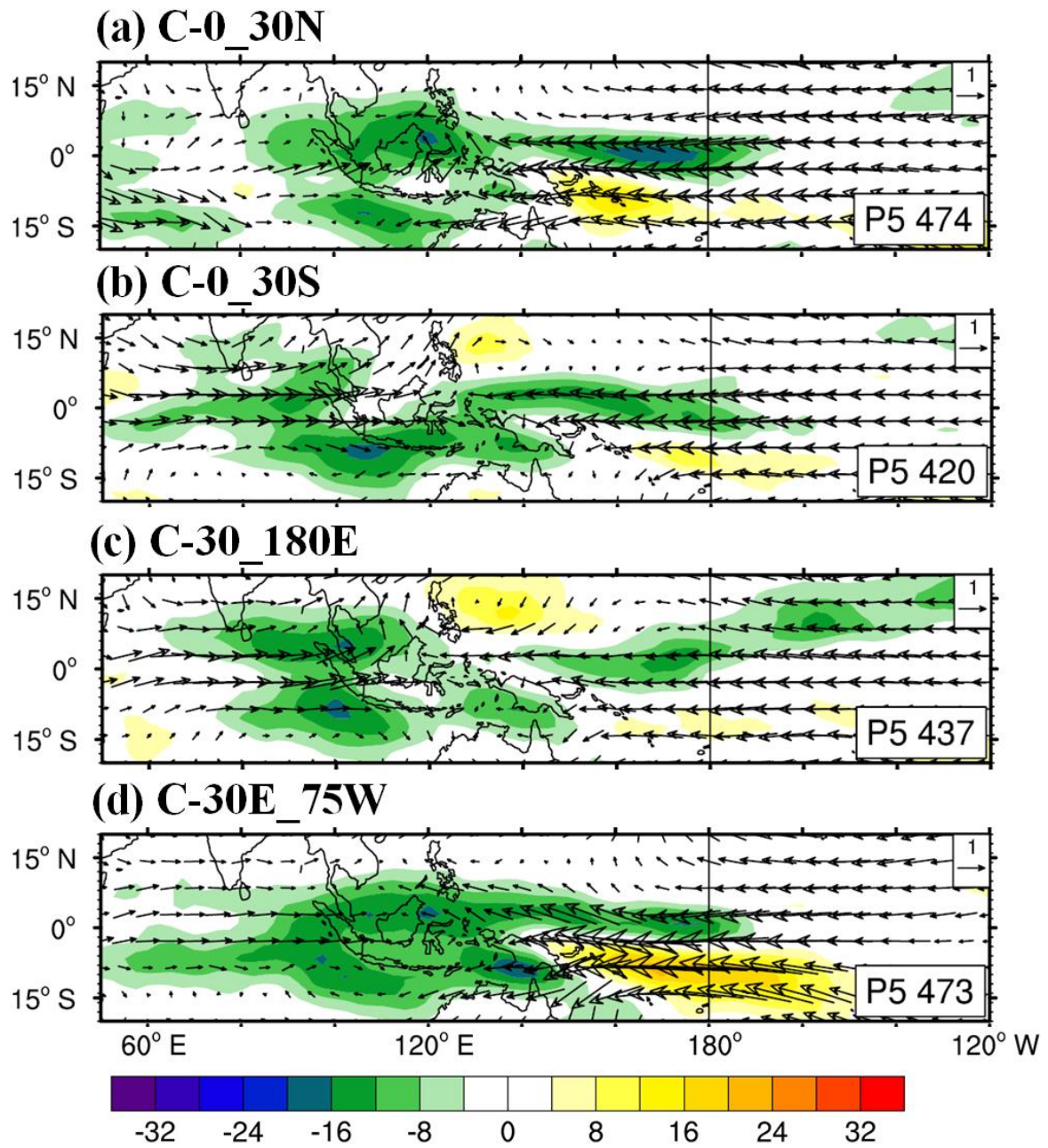
1145 HR1mB60m.



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1148 **Figure 8.** Same as in Fig. 6 but for the C-0_30N, C-0_30S, C-30_180E, and C-
 1149 30E_75W.

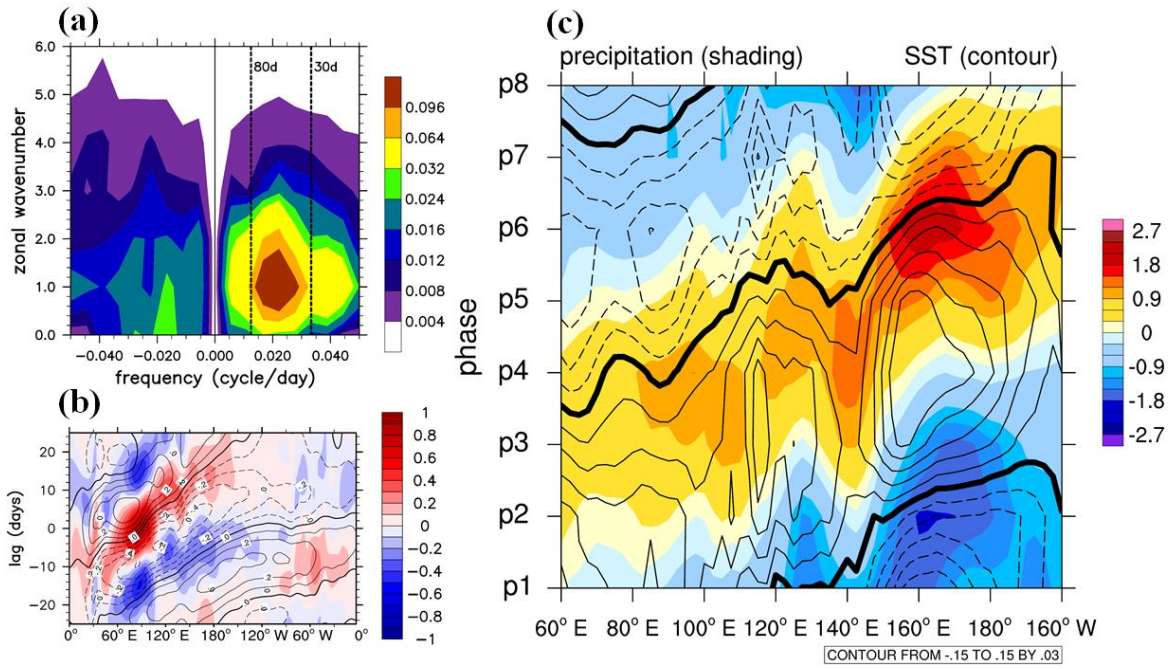


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1152 **Figure 9.** Same as in Fig. 3 but for phase 5 in the C-0_30N, C-0_30S, C-30_180E, and

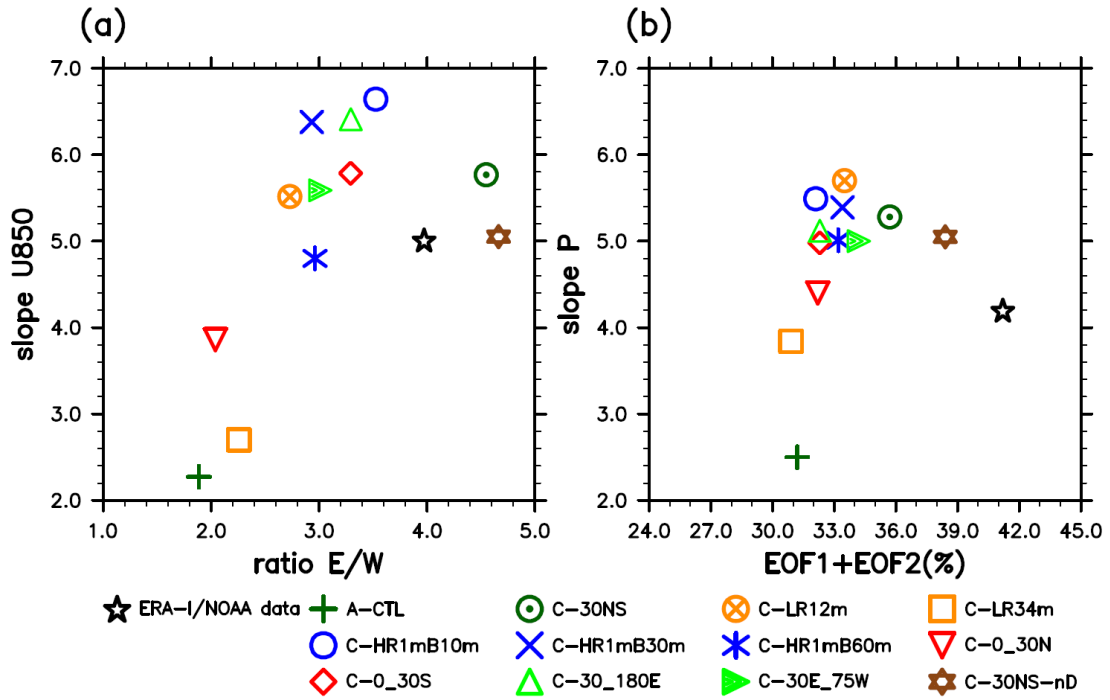
1153 C-30E_75W.



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1156 **Figure 10.** Similar as in Fig. 6 but for the C-30NS-nD.



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1159 **Figure 11.** Scattered plots of various MJO indices in the ERA-I/NOAA data and 12
 1160 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-
 1161 hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed of
 1162 U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
 1163 and eastward propagation speed of the filtered precipitation anomaly derived from the
 1164 Hovmöller diagram.

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1166 **Appendix: 1-D high-resolution TKE ocean model**

1167 The 1-D high-resolution turbulence kinetic energy ocean model SIT was used to
 1168 simulate the diurnal fluctuation of SST and surface energy fluxes. The model was well
 1169 verified against surface and subsurface observations in the South China Sea (Lan et al.,
 1170 2010) and the tropical WP (Tu and Tsuang, 2005). Variations in sea water temperature (T),
 1171 current (\vec{u}), and salinity (S) were determined (Gaspar et al., 1990) using the following
 1172 equations.

$$1173 \quad \frac{\partial T}{\partial t} = (k_h + v_h) \frac{\partial^2 T}{\partial z^2} + \frac{R_{sn}}{\rho_{w0} c_w} \frac{\partial F}{\partial z} \quad (1)$$

$$1174 \quad \frac{\partial \vec{u}}{\partial t} = -f \hat{k} \times \vec{u} + (k_m + v_m) \frac{\partial^2 \vec{u}}{\partial z^2} \quad (2)$$

$$1175 \quad \frac{\partial S}{\partial t} = (k_h + v_h) \frac{\partial^2 S}{\partial z^2} \quad (3)$$

1176 where R_{sn} is the net solar radiation at the surface (W m^{-2}), $F(z)$ is the fraction
 1177 (dimensionless) of R_{sn} that penetrates to the depth z , and k_h and k_m are eddy diffusion
 1178 coefficients for heat and momentum ($\text{m}^2 \text{s}^{-1}$), respectively. The value of k_h within the cool
 1179 skin layer and that of k_m within the viscous layer were set to zero. Molecular transport is
 1180 the only mechanism for the vertical diffusion of heat and momentum in the cool skin and
 1181 viscous layer, respectively (Hasse, 1971; Grassl, 1976; Wu, 1985). The parameters v_m
 1182 and v_h are the molecular diffusion coefficients for momentum and temperature,
 1183 respectively, ρ_{w0} is the density (kg m^{-3}) of water, and c_w is the specific heat capacity of
 1184 water at constant pressure ($\text{J kg}^{-1} \text{K}^{-1}$). S is salinity (‰), \vec{u} is the current velocity (m s^{-1}),
 1185 f is the Coriolis parameter (dimensionless), and \hat{k} is the vertical unit vector (m s^{-1}).

1186 Using the numerical solution of the surface layer (T_0), we disregard the time-term of
 1187 the 2-metre air temperature (T_{2m}), which can be considered the upper boundary of an
 1188 ocean, as well as the numerical solution of the surface long-wave radiation T_0 term and
 1189 aerodynamic resistance (r_a).

$$\begin{aligned}
\frac{\partial T_0}{\partial t} &= \frac{G_0}{\rho_w \cdot c_w \cdot h_e} + \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} - \frac{G_{0,l}}{\rho_w \cdot c_w \cdot h_e} \\
&= \frac{R_{ld} - R_{lu} - H - LE}{\rho_w \cdot c_w \cdot h_e} + \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} - k_0 \frac{T_0 - T_1}{h_e(z_0 - z_1)} \\
&= \frac{1}{\rho_w \cdot c_w \cdot h_e} [R_{ld} - \varepsilon\sigma T_0^4 - \frac{\rho_a c_a (T_0 - T_{2m})}{r_a} - \frac{\rho_a L_v (q^*(T_0) - q_a)}{r_a}] \\
&\quad + \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} - k_0 \frac{T_0 - T_1}{h_e(z_0 - z_1)}
\end{aligned} \tag{3}$$

1191 where G_0 is the net flux of the ocean surface, $G_{0,l}$ is the net flux in the bottom depth of T_0
1192 grid, and K_0 and h_e are eddy diffusion coefficients and the effective thickness of T_0 layer,
1193 respectively. c_a is the specific heat capacity of surface air at constant pressure ($\text{J kg}^{-1} \text{K}^{-1}$).
1194 L_v is the latent heat of evaporation of water q . We use finite difference approximation to
1195 divide time-term into $j+1$ and j .

$$\begin{aligned}
\frac{T_0^{j+1} - T_0^j}{\Delta t} &= \frac{1}{\rho_w \cdot c_w \cdot h_e} \{ R_{ld} - \varepsilon\sigma(T_0^j)^4 - \frac{\rho_a c_a}{r_a} [\beta T_0^{j+1} + (1 - \beta)T_0^j - T_{2m}^j] \\
&\quad - \frac{\rho_a L_v (q^*(T_0) - q_a)}{r_a} \} + \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} \\
&\quad - \frac{k_0}{h_e(z_0 - z_1)} [(\beta T_0^{j+1} + (1 - \beta)T_0^j) - (\beta T_1^{j+1} + (1 - \beta)T_1^j)]
\end{aligned} \tag{4}$$

$$\begin{aligned}
&(1 + \frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} \frac{\rho_a c_a}{r_a} \beta + \frac{k_0 \cdot \Delta t}{h_e(z_0 - z_1)} \beta) T_0^{j+1} - \frac{k_0 \cdot \Delta t}{h_e(z_0 - z_1)} \beta T_1^{j+1} \\
&= T_0^j + \frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} [R_{ld} - \frac{\rho_a L_v (q^*(T_0) - q_a)}{r_a}] + \Delta t \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} \\
&\quad + (1 - \beta) (\frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} + \frac{\rho_a c_a}{r_a} + \frac{k_0 \cdot \Delta t}{h_e(z_0 - z_1)}) T_0^j - \frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} \varepsilon\sigma(T_0^j)^4 \\
&\quad + \frac{k_0 \cdot \Delta t}{h_e(z_0 - z_1)} (1 - \beta) T_1^j \\
&= T_0^j + \frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} [R_{ld} - \frac{\rho_a L_v (q^*(T_0) - q_a)}{r_a} - \varepsilon\sigma(T_0^j)^4] \\
&\quad + \Delta t \frac{R_{sn}[F(z_0) - F(z_0 - d)]}{\rho_w \cdot c_w \cdot h_e} - (1 - \beta) (\frac{\Delta t}{\rho_w \cdot c_w \cdot h_e} + \frac{\rho_a c_a}{r_a} + y_0) T_0^j \\
&\quad - (1 - \beta) y_0 (T_0^j - T_1^j)
\end{aligned} \tag{5}$$

1198 Since the T_l is next temperature below the T_0 , the numerical solution is based on the

1199 average temperature of the h_1 layer, $h_1 = z_0 - 0.5(z_1 + z_2)$. The parameter β controls the
 1200 time scheme (i.e., 1 controls a backward time scheme, 0.5 controls a Crank-Nicolson
 1201 method, and 0 controls a forward time scheme).

$$\begin{aligned}
 \frac{\partial T_1}{\partial t} &= \frac{G_0 + G_{1,2}}{\rho_w \cdot c_w \cdot h_1} + \frac{R_{sn}[F(z_0) - F(\frac{z_1 + z_2}{2})]}{\rho_w \cdot c_w \cdot h_1} \\
 &= \frac{h_e}{h_1} \frac{\partial T_0}{\partial t} + k_0 \frac{T_0 - T_1}{h_1(z_0 - z_1)} + \frac{R_{sn}[F(z_0) - F(\frac{z_1 + z_2}{2})]}{\rho_w \cdot c_w \cdot h_1} - k_1 \frac{T_1 - T_2}{h_1(z_1 - z_2)} \\
 1202 \quad \frac{T_1^{j+1} - T_1^j}{\Delta t} &= \frac{h_e}{h_1} \frac{T_0^{j+1} - T_0^j}{\Delta t} + k_0 \frac{[\beta T_0^{j+1} + (1-\beta)T_0^j] - [\beta T_1^{j+1} + (1-\beta)T_1^j]}{h_1(z_0 - z_1)} \quad (6) \\
 &\quad + \frac{R_{sn}[F(z_0 - d) - F(\frac{z_1 + z_2}{2})]}{\rho_w \cdot c_w \cdot h_1} - \frac{k_1}{h_1(z_1 - z_2)} [(\beta T_1^{j+1} + (1-\beta)T_1^j) \\
 &\quad - (\beta T_2^{j+1} + (1-\beta)T_2^j)]
 \end{aligned}$$

1203 Specifically, the numerical solution of the next T_2 below the T_1 is not affected by the G_0
 1204 term, and that of the energy term is mainly affected by the $G_{1,2}$, $G_{2,3}$, and R_{sn} components.

$$\begin{aligned}
 \frac{\partial T_2}{\partial t} &= \frac{-G_{1,2} + G_{2,3}}{\rho_w \cdot c_w \cdot h_2} + \frac{R_{sn}[F(\frac{z_1 + z_2}{2}) - F(\frac{z_2 + z_3}{2})]}{\rho_w \cdot c_w \cdot h_2} \\
 &= \frac{R_{sn}[F(\frac{z_1 + z_2}{2}) - F(\frac{z_2 + z_3}{2})]}{\rho_w \cdot c_w \cdot h_2} + k_1 \frac{T_1 - T_2}{h_2(z_1 - z_2)} - k_2 \frac{T_2 - T_3}{h_2(z_2 - z_3)} \\
 1205 \quad \frac{T_2^{j+1} - T_2^j}{\Delta t} &= \frac{R_{sn}[F(\frac{z_1 + z_2}{2}) - F(\frac{z_2 + z_3}{2})]}{\rho_w \cdot c_w \cdot h_2} + k_1 \frac{[\beta T_1^{j+1} + (1-\beta)T_1^j] - [\beta T_2^{j+1} + (1-\beta)T_2^j]}{h_2(z_1 - z_2)} \quad (7) \\
 &\quad - k_2 \frac{[\beta T_2^{j+1} + (1-\beta)T_2^j] - [\beta T_3^{j+1} + (1-\beta)T_3^j]}{h_2(z_2 - z_3)}
 \end{aligned}$$

1206 Similarly, the numerical solutions of layers between 3 and 41 are as follows:

$$\begin{aligned}
 &(-\beta x_k)T_{k-1}^{j+1} + (1 + \beta \cdot x_k + \beta \cdot y_k)T_2^{j+1} - \beta \cdot y_k T_{k+1}^{j+1} \\
 1207 \quad &= T_k^j + \frac{\Delta t \cdot R_{sn}}{\rho_w \cdot c_w \cdot h_k} \{R_{sn}[F(\frac{z_{k-1} + z_k}{2}) - F(\frac{z_k + z_{k+1}}{2})]\} + (1 - \beta)[x_k(T_{k-1}^j - T_k^j) \quad (8) \\
 &\quad - y_k(T_k^j - T_{k+1}^j)]
 \end{aligned}$$

1219 $E = 0.5(u^2 + v^2 + w^2)$ is turbulent kinetic energy. The turbulent kinetic energy (E) is
1220 determined using a 1-D equation (Mellor and Yamada, 1982) as follows:

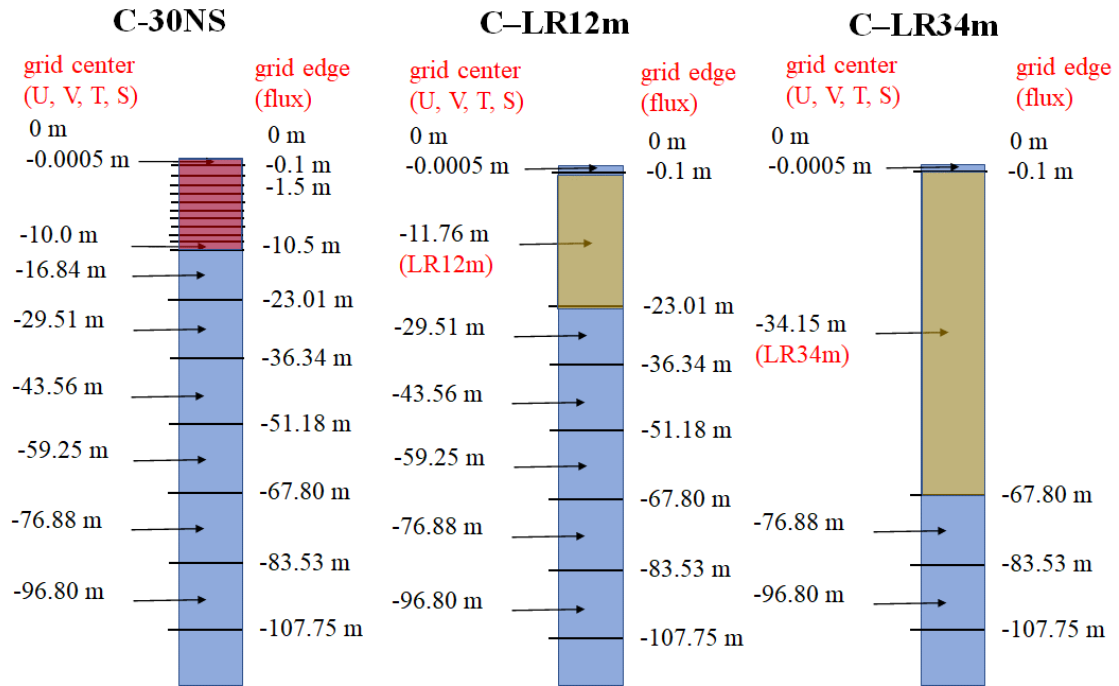
$$1221 \quad \frac{\partial E}{\partial t} = \frac{\partial}{\partial z} k_m \frac{\partial E}{\partial z} + k_m \left(\frac{\partial \bar{u}}{\partial z} \right)^2 + k_h \frac{g}{\rho_w} \frac{\partial \rho_w}{\partial z} - c_\epsilon \frac{E^{3/2}}{l_\epsilon} \quad (10)$$

1222 where $c_\epsilon = 0.7$ (Gaspar et al., 1990), g is the gravity (m s^{-2}), ρ_w is the density of water
1223 (kg m^{-3}), and l_ϵ is the characteristic dissipation length (m). The mixing length (l_k) and
1224 dissipation length (l_ϵ) were determined following the approach reported by Gaspar et al.
1225 (1990). This approach is valid for determining the eddy diffusivity of both the ocean
1226 mixed layer and surface layer.

1227 In the SIT model setting, the specific heat of sea water is a constant ($4186.84 \text{ J kg}^{-1}$
1228 K^{-1}), and the Prandtl number in water is defined as the ratio of momentum diffusivity to
1229 thermal diffusivity, which is a dimensionless number set as a constant (1.0). The
1230 kinematic viscosity is a constant ($1.14 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$; Paulson and Simpson, 1981), and
1231 the downward solar radiative flux into water with nine wavelength bands was determined
1232 following the approach reported by Paulson and Simpson (1981). The minimum turbulent
1233 kinetic energy is set to $10^{-6} \text{ m}^2 \text{ s}^{-2}$, and the zero displacement is set to 0.03 m.

1234 The resolution in the upper 10.5 m is considerably fine to capture the upper-ocean
1235 warm layer, and the thickness of the first layer below sea surface is 0.05 mm to reproduce
1236 the ocean surface cool skin. The vertical grid within 107.8 m in C-30NS, C-LR12m and
1237 C-LR34m as Fig. A1. Besides SST cool skin layer, C-LR12m and C-LR34m have a first
1238 layer with grid center of -11.5 m and -33.9 m, respectively. In lowest boundary
1239 experiment, the total vertically-gridded layers in C-HR1mB10m, C-HR1mB30m and C-
1240 HR1mB60m are showed as Fig. A2.

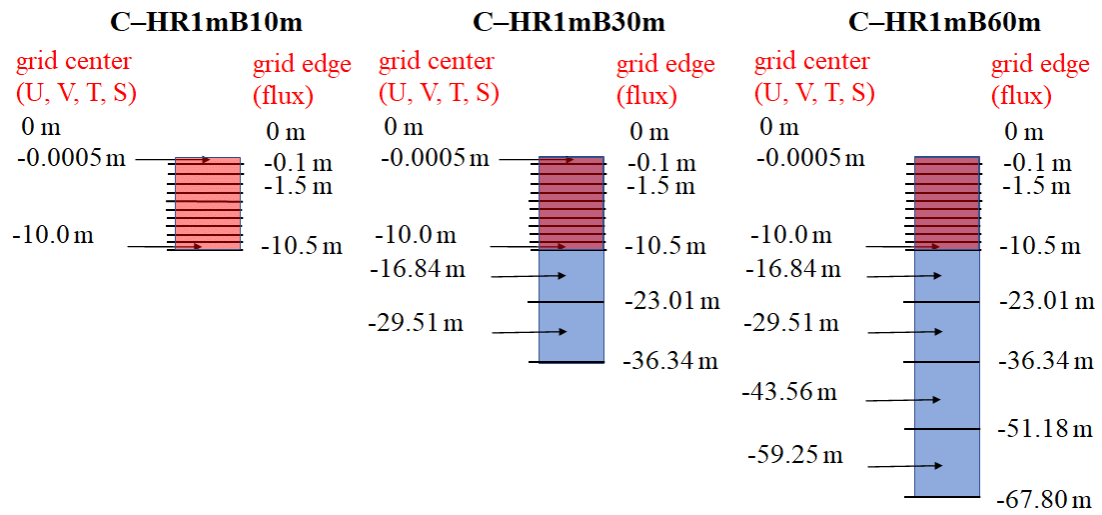
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1244 Figure A1. Diagram showing the vertical grid within 107.8 m in C-30NS, C-LR12m and
 1245 C-LR34m, the model is as thick as 107.8 meters and with several layers between surface
 1246 and model bottom. C-LR12m (31 vertical layers) and C-LR34m (28 vertical layers) have
 1247 a first layer with grid center of 12 m and 34 m, respectively, but have the same vertical
 1248 discretization as in the control experiment (C-30NS, 41 vertical layers) below the first
 1249 layer.



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1251

1252 Figure A2. Diagrams showing the vertical grids in C-HR1mB10m, C-HR1mB30m and
 1253 C-HR1mB60m. The model bottoms are 10, 30, and 60 m, respectively, unless the seabed
 1254 is shallower than the above depth.

1255

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