

1 **Embedding a One-column Ocean Model (SIT 1.06) in the**
2 **Community Atmosphere Model 5.3 (CAM5.3; CAM5–**
3 **SIT v1.0) to Improve Madden–Julian Oscillation**
4 **Simulation in Boreal Winter**

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10 **Abstract**

11 The effect of the air–sea interaction on the Madden–Julian Oscillation (MJO)
12 was investigated using the one-column ocean model Snow–Ice–Thermocline (SIT
13 1.06) embedded in the Community Atmosphere Model 5.3 (CAM5.3; hereafter
14 CAM5–SIT v1.0). The SIT model with 41 vertical layers was developed to simulate
15 sea surface temperature (SST) and upper-ocean temperature variations with a high
16 vertical resolution that resolves the cool skin and diurnal warm layer and the upper
17 oceanic mixed layer. A series of 30-year sensitivity experiments were conducted in
18 which various model configurations (e.g., coupled versus uncoupled, vertical
19 resolution and depth of the SIT model, coupling domains, and absence of the diurnal
20 cycle) were considered to evaluate the effect of air–sea coupling on MJO simulation.
21 Most of the CAM5–SIT experiments exhibit higher fidelity than the CAM5-alone
22 experiment in characterizing the basic features of the MJO such as spatiotemporal
23 variability and the eastward propagation in boreal winter. The overall MJO simulation
24 performance of CAM5–SIT benefits from (1) better resolving the fine vertical
25 structure of upper-ocean temperature and therefore the air–sea interaction that result
26 in more realistic intraseasonal variability in both SST and atmospheric circulation and
27 (2) the adequate thickness of a vertically-gridded ocean mixed layer. The sensitivity
28 experiments demonstrate the necessity of coupling the tropical eastern Pacific in
29 addition to the tropical Indian Ocean and the tropical western Pacific. Coupling is
30 more essential in the south than north of the equator in the tropical western Pacific.
31 Enhanced MJO could be obtained without considering the diurnal cycle in coupling.

32 **1. Introduction**

33 The Madden–Julian Oscillation (MJO) is a tropical large-scale convection
34 circulation system that propagates eastward across the warm pool region from the
35 tropical Indian Ocean (IO) to the western Pacific (WP) on an intraseasonal time scale
36 (Madden and Julian, 1972). The MJO is not just an atmospheric phenomenon. The
37 findings from a multi-nation field campaign called the Dynamics of MJO/Cooperative
38 Indian Ocean Experiment on Intraseasonal Variability in the Year 2011
39 (DYNAMO/CINDY2011; de Szoeke et al., 2017; Johnson and Ciesielski, 2017;
40 Pujiana et al., 2018; Yoneyama et al., 2013; Zhang and Yoneyama, 2017) revealed
41 vigorous air–sea coupling during the evolution of the MJO (Chang et al., 2019;
42 DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li et al., 2016; Li et al.,
43 2020; Newman et al., 2009; Pei et al., 2018; Tseng et al., 2014). During the
44 suppression of convection, the MJO propagates eastward with light winds, which is
45 accompanied by enhanced downwelling shortwave radiation absorption, weaker
46 upward latent and sensible fluxes, less cloudiness and precipitation, and weaker
47 vertical mixing in the upper ocean, thus causing an increase in the upper-ocean
48 temperature. In the following active phase when deep convection occurs,
49 downwelling shortwave radiation is reduced and stronger westerly winds enhance
50 latent/sensible heat flux (LHF/SHF) loss from the ocean surface, thus causing a
51 decrease in the upper-ocean temperature (DeMott et al., 2015; Madden and Julian,
52 1972, 1994; Zhang, 2005).

53 In addition to the ocean surface, the structure of the upper ocean also evolves.
54 Alappattu et al. (2017) reported that during an MJO event, surface flux perturbations
55 cause changes in the ocean thermohaline structure, thus affecting the mixed-layer
56 temperature. The following change in sea surface temperature (SST) can further affect
57 atmospheric circulation of the MJO. Variations in SST mediate LHF and SHF

58 exchange across the air–sea interface. Although SST responds to atmospheric forcing,
59 the modulation of LHF and SHF provides feedback to the atmosphere (DeMott et al.,
60 2015; Jiang et al., 2020). Li et al. (2008, 2020) proposed that the phase relationship
61 between SST and convection implies a delayed air–sea interaction mechanism
62 whereby a preceding active-phase MJO may trigger an inactive-phase MJO through
63 the delayed effect of the induced SST anomaly over the IO. The reduction in SST
64 caused by a preceding active-phase MJO may, in turn, yields delayed ocean feedback
65 that initiates a suppressed-phase MJO, and vice versa. The by-no-means negligible
66 effect of intraseasonal SST variations caused by surface heat fluxes suggests that the
67 ocean state can affect the MJO (DeMott et al., 2015, 2019; Hong et al., 2017; Li et al.,
68 2020).

69 Since its discovery almost five decades ago, the MJO remains a phenomenon
70 that poses a challenge to the capacity of state-of-the-art atmospheric general
71 circulation models (AGCMs) such as those participating in the Coupled Model
72 Intercomparison Project phase 5 and 6 to generate successful simulations (Ahn et al.,
73 2017, 2020; Bui and Maloney 2018; Jiang et al., 2020; Hung et al., 2013; Kim et al.,
74 2011).

75 Recent studies have reported that air–sea coupling improves the representation of
76 the MJO in numerical simulation (Bernie et al., 2008; Crueger et al., 2013; DeMott et
77 al., 2015; Li et al., 2016; Li et al., 2020; Tseng et al., 2014; Woolnough et al., 2007).
78 Tseng et al. (2014) indicated that effectively resolving the upper-ocean warm layer to
79 capture temperature variations in the upper few meters of the ocean could improve
80 MJO simulation. DeMott et al. (2015) suggested that the tropical atmosphere–ocean
81 interaction may sustain or amplify the pattern of the enhanced and suppressed
82 atmospheric convection of the eastward propagation. DeMott et al. (2019)
83 demonstrated that the improved MJO eastward propagation in four coupled models

84 resulted from enhanced low-level convective moistening for a rainfall rate of >5 mm
85 day^{-1} due to air–sea coupling. In addition, numerical experiments have been
86 performed to investigate the effect of the diurnal cycle on the MJO (Hagos et al.,
87 2016; Oh et al., 2013), with the results suggesting that the strength and propagation of
88 the MJO through the Maritime Continent (MC) were enhanced when the diurnal cycle
89 was ignored.

90 Although previous studies have demonstrated the importance of considering the
91 air–sea interaction in a numerical model to improve MJO simulation, additional
92 details regarding model configuration (e.g., vertical resolution and depth of the ocean
93 mixed layer, coupling domain, and absence of the diurnal cycle in air–sea coupling)
94 have not been systematically explored. Tseng et al. (2014) coupled the one-column
95 ocean model Snow–Ice–Thermocline (SIT; Tu and Tsuang, 2005) to the fifth
96 generation of the ECHAM AGCM (ECHAM5–SIT) and indicated that a vertical
97 resolution of 1 m was essential to yield an improved simulation of the MJO with a
98 realistic strength and eastward propagation speed.

99 In this study, we coupled the SIT model to the Community Atmosphere Model
100 version 5.3 (CAM5.3; Neale et al., 2012)—the atmosphere component of the
101 Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013)—to
102 explore the improvement of MJO simulation by coupling SIT model to another
103 AGCM is reproducible in modeling science. The CAM5.3, which has been widely
104 used for the long-term simulation of the climate system, could not efficiently simulate
105 the eastward propagation of the MJO; instead, the model simulated a tendency for the
106 MJO to move westward in the IO (Boyle et al., 2015, Jiang et al., 2015). By contrast,
107 the updated CESM2 with the new CAM6 could realistically simulate the MJO (Ahn et
108 al., 2020; Danabasoglu et al., 2020). Thus, the well-explored CAM5, which does not
109 produce a realistic MJO, appears to be a favorable choice for exploring coupling a

110 simple one-dimensional (1-D) ocean model, such as the SIT model, can improve MJO
111 simulation, as well as the effects of model configuration on the degree of the
112 improvement. Such a study can also enhance our understanding regarding the effect
113 of air–sea coupling on the MJO.

114 The MJO that exhibits a more substantial eastward propagation in boreal winter
115 than in other seasons was the targeted feature in this study. To examine the sensitivity
116 of MJO simulations to different configurations of air-sea coupling, we conducted a
117 series of 30-year numerical experiments by considering various model configurations
118 (e.g., coupled versus uncoupled, vertical resolution and depth of the SIT model,
119 coupling domains, and absence of the diurnal cycle) to investigate the effect of air–sea
120 coupling. This paper is organized as follows. Section 2 describes the data for
121 validation, the model used for simulation, and the design of numerical experiments.
122 Section 3 describes the effect of various air-sea coupling configurations on the MJO
123 simulation determined through detailed MJO diagnostics. Discussion and conclusions
124 are provided in Section 4.

125

126 **2. Data, methodology, model description, and experimental designs**

127 **2.1 Data and methodology**

128 The data analyzed in this study include precipitation from the Global
129 Precipitation Climatology Project (GPCP), outgoing longwave radiation (OLR) and
130 daily SST (Optimum Interpolation SST; OISST) from the National Oceanic and
131 Atmosphere Administration (NOAA), and parameters from the ERA-Interim (ERA-I)
132 reanalysis (Adler et al., 2003; Dee et al., 2011; Lee et al., 2011; Reynolds and Smith,
133 1995; Schreck et al., 2018). The SST data for the SIT model were obtained from the
134 Hadley Centre Sea Ice and Sea Surface Temperature dataset (Rayner et al., 2003;
135 HadISST1) and the ocean subsurface data (40-layer climatological ocean temperature,

136 salinity, and currents) for nudging were retrieved from the National Centers for
137 Environmental Prediction (NCEP) Global Ocean Data Assimilation System (GODAS;
138 Behringer and Xue, 2004).

139 We used the CLIVAR MJO Working Group diagnostics package (CLIVAR,
140 2009) and a 20–100-day filter (Kaylor, 1977; Wang et al., 2014) to determine
141 intraseasonal variability. MJO phases were defined following the index (namely,
142 RMM1 and RMM2) proposed by Wheeler and Hendon (2004), which considers the
143 first two principal components of the combined near-equatorial OLR and zonal winds
144 at 850 and 200 hPa. The band-passed filtered data were used for calculating the index
145 and defining phases.

146

147 **2.2 Model description**

148 **2.2.1 CAM5.3**

149 The CAM5.3 used in this study has a horizontal resolution of 1.9° latitude \times
150 2.5° longitude and 30 vertical levels with the model top at 0.1 hPa. The MJO could
151 not be realistically simulated in the CAM5.3. Boyle et al. (2015) demonstrated that
152 although making the deep convection dependent on SST improved the simulation of
153 the MJO variance, it exerted a significant negative effect on the mean-state climate of
154 low-level cloud and absorbed shortwave radiation. By comparing the simulation
155 results of an uncoupled and coupled CAM5.3, Li et al. (2016) suggested that air–sea
156 coupling and the convection scheme most significantly affected the MJO simulation
157 in the climate model.

158

159 **2.2.2 1-D high-resolution TKE ocean model**

160 The 1-D high-resolution turbulence kinetic energy (TKE) ocean model SIT was
161 used to simulate the diurnal fluctuation of SST and surface energy fluxes (Lan et al.,

162 2010; Tseng et al., 2014; Tu and Tsuang, 2005). The model was well verified against
163 in situ measurements on board the R/V Oceanographic Research Vessel 1 and 3 over
164 the South China Sea (Lan et al., 2010) and on R/V Vickers over the tropical WP (Tu
165 and Tsuang, 2005).

166 The SIT model determines the vertical profiles of the temperature and
167 momentum of a water column from the surface down to the seabed, except in the
168 fixed ocean model bottom experiment. The default setting of vertical discretization
169 (e.g., in the control coupled experiment) is 41 layers with 12 layers in the first 10.5 m,
170 6 layers between 10.5 m and 107.8 m (Supplementary Information I). In the 1-D TKE
171 ocean model, temperature and salinity below 107.8 m, where vertical mixing is
172 greatly weakened, are nudged toward the climatological values of GODAS data until
173 4607 m. The extra high vertical resolution is needed to catch detailed temporal
174 variation of upper ocean temperature characterized by the warm layer and cool skin
175 (Tu and Tsuang, 2005). To account for the neglected horizontal advection heat flux,
176 the ocean is weakly nudged (by using a 30-day time scale) between 10.5 m and 107.8
177 m and strongly nudged (by using a 1-day time scale) below 107.8 m according to the
178 NCEP GODAS climatological ocean temperature. No nudging is performed within
179 the upper-most 10.5 m. The SIT model calculates twice for each CAM5 time step (30
180 min; i.e., coupling 48 times per day).

181

182 **2.3 Experimental design**

183 A series of 30-year numerical experiments (Table 1) were conducted to
184 investigate the effect of the air–sea interaction on the MJO simulation. The HadSST1
185 used to force the coupled and uncoupled model was the climatological monthly-mean
186 SST averaged over 1982-2001. The monthly SST was linearly interpolated to daily
187 SST fluctuation that forced the model. The SST in air–sea coupling region was

188 recalculated by the SIT during the simulation, while the prescribed annual cycle of
189 SST was used in the areas outside the coupling region. Ocean bathymetry of the SIT
190 was derived from the NOAA ETOPO1 data (Amante and Eakins, 2009) and
191 interpolated into $1.9^\circ \times 2.5^\circ$ horizontal resolution.

192 All simulations were driven by the prescribed annual cycle of SST repeatedly for
193 30 years. The strategy is to evaluate the simulation capacity of climate models under
194 the same condition without considering interannual variation induced by SST. This
195 approach has been widely adopted in many studies (Delworth et al., 2006; Haertel et
196 al., 2020; Subramanian et al., 2011; Tseng et al., 2014; Wang et al., 2005).

197 Atmospheric initial conditions and external forcing such as CO₂, ozone, and
198 aerosol in near-equilibrium climate state around the year 2000 were taken from
199 F_2000_CAM5 component set based on CESM1.2.2 framework development. The
200 data has been commonly used in present-day simulations using CAM5 (e.g., He et al.,
201 2017).

202 The setup of five sets of experiment conducted in this study are described as
203 follows.

204 (1) A standalone CAM5.3 simulation forced by climatological monthly HadISST1

205 (A-CTL) and the control experiment of coupled CAM5-SIT simulation (C-

206 30NS; 41 vertical levels, coupling in the entire tropics between 30°N and 30°S

207 with a diurnal cycle).

208 (2) Upper-ocean vertical resolution experiment: Two simulations with the first layer

209 centering at 12 m (C-LR12m) and 34 m (C-LR34m). Further details of the

210 experimental design are shown in supplementary Fig. S1.

211 (3) Shallow ocean bottom experiment: Three simulations with the ocean model

212 bottom at 10 m (C-HR1mB10m), 30 m (C-HR1mB30m), and 60 m (C-

213 HR1mB60m) (supplementary Fig. S2).

214 (4) Regional coupling experiment: Four simulations with the coupling region in 0°N –
215 30°N (C-0_30N) and 0°S – 30°S (C-0_30S) for latitudinal effect, and 30°E –
216 180°E (C-30_180E) and 30°E – 75°W (C-30E_75W) for longitudinal effect. The
217 coupling domains are shown in Fig. 1.

218 (5) A non-diurnal simulation (C-30NS-nD) that considers the air–sea interaction only
219 once a day, namely, calculating SHF and LHF based on daily mean atmospheric
220 variables and SST. To prevent the inconsistent local time in different regions, the
221 coupling frequency at each grid point remained 48 times per day using the same
222 daily means of atmospheric variables and SST at that particular point. In
223 contrast, the control simulation calculates air-sea fluxes 48 times a day based on
224 instantaneous values. A comparison between the non-diurnal simulation and the
225 control simulation reveals the effect of diurnal cycle in air-sea coupling.

226

227 **3. Results and Discussion**

228 The realistic simulation of the MJO has always been a major bottleneck in the
229 development of climate models. In this section, we demonstrate the sensitivity of air–
230 sea coupling experiments using a 1-D high-resolution ocean model significantly
231 improves the MJO simulation by the CAM5.3. The period between November and
232 April when the MJO is the most prominent was the targeted season in this study.

233

234 **3.1 Improvement of MJO simulation through air–sea coupling**

235 This subsection compares the MJO simulation of the control coupled
236 experiment (C-30NS) with that of the uncoupled AGCM (A-CTL) forced by
237 climatological monthly SST of HadISST1 to demonstrate the effect of air–sea
238 coupling on the MJO simulation by coupling the SIT model to the CAM5.3 in the
239 tropical belt (30°N – 30°S).

240

241 **3.1.1 Wavenumber–frequency spectra and eastward propagation characteristics**

242 A wavenumber–frequency spectrum (W–FS) analysis was conducted to quantify
243 propagation characteristics simulated in different experiments. The spectra
244 of unfiltered U850 in ERA-I reanalysis, C–30NS, and A–CTL are shown in Fig. 2a–c,
245 respectively. The C–30NS considering the coupling in 30°N–30°S realistically
246 simulates eastward-propagating signals at zonal wavenumber 1 and 30–80-day
247 periods (Fig. 2a–b), although with a slightly larger amplitude compared with ERA-I.
248 By contrast, the uncoupled A–CTL does not yield realistic simulation; instead, it
249 simulates both eastward (wavenumber 1)- and westward (wavenumber 2)-propagating
250 signals with an unrealistic spectral shift to time scales longer than 30–80-day.

251 The major features of the simulated MJO propagation were examined. Figure
252 2d–f show the time evolution of precipitation and U850 anomalies in Hovmöller
253 diagrams, which represent lagged correlation coefficients between the precipitation
254 averaged over 10°S–5°N, 75–100°E and the precipitation and U850 averaged over
255 10°N–10°S on intraseasonal timescales. Figure 2d indicates eastward propagation for
256 both precipitation and U850 from the eastern IO to the dateline, with precipitation
257 leading U850 by approximately a quarter of a cycle. The Hovmöller diagram derived
258 from the C–30NS (Fig. 2e) exhibits the key characteristics of eastward propagation
259 for both precipitation and U850 and the relative phases between the two, although the
260 simulated correlation is slightly weaker than that derived from GPCP and ERA-I. By
261 contrast, the uncoupled A–CTL simulates intraseasonal signals that propagate
262 westward over the IO and weak and much slower eastward propagation crossing the
263 MC and WP (Fig. 2f). The contrast between Fig. 2e and 2f demonstrate that coupling
264 a 1-D TKE ocean model alone could lead to a significant improvement in an AGCM
265 in simulating the major characteristics (e.g., amplitude, propagation direction and

266 speed, and phase relationship between precipitation and circulation) of the MJO.

267

268 **3.1.2 Coherence of the simulated MJO**

269 Cross-spectral analysis was conducted to examine the coherence and phase lag
270 between tropical circulation and convection, which were plotted over the tropical
271 wave spectra. Figure 2g–i show the symmetric part (e.g., Wheeler and Kiladis, 1999)
272 of OLR and U850 in ERA-I/NOAA data, C–30NS, and A–CTL, respectively. We
273 present only the spectra between 0 to 0.35 day^{-1} to highlight the MJO and equatorial
274 Kelvin waves. The most prominent characteristics seen in ERA-I/NOAA data are the
275 peak coherence at wavenumbers 1–3 and a phase lag of approximately 90° in the 30–
276 80-day band (Ren et al., 2019; Wheeler and Kiladis 1999). The coupled experiment
277 C–30NS simulates strong coherence in this low-frequency band (wavenumber 1) and
278 exhibits a realistic phase lag relationship between U850 and OLR perturbations.
279 However, the coherence at wavenumbers 2–3 for the 30–80-day period simulated by
280 C–30NS is weaker than that in ERA-I/NOAA data. This undersimulation was also
281 noted in CCSM4 (Subramanian et al., 2011), the uncoupled and coupled CAM4 and
282 CAM5 (Li et al., 2016), and NorESM1-M (Bentsen et al., 2013), which had a version
283 of the CAM as an AGCM. In summary, C–30NS considering the coupling between
284 30°N – 30°S produces coherent and energetic patterns in the eastward-propagating
285 intraseasonal fluctuations of U850 and OLR in the tropical IO and WP that are
286 generally consistent with the MJO characteristics. By contrast, the MJO
287 characteristics in A–CTL are considerably weaker than those in C–30NS and that in
288 ERA-I/NOAA data.

289

290 **3.1.3 Horizontal and vertical structures of the MJO across the MC**

291 Figure 2j–o show the horizontal and vertical structures of the MJO when deep

292 convection is the strongest over the MC (i.e., phase 5). Figure 2j–l present the 20–
293 100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} , vector). C–30NS
294 realistically simulated the enhanced tropical convection over the eastern IO and the
295 Kelvin-wave-like easterly anomalies over the tropical WP despite undersimulating
296 the convection over the MC (Fig. 2j and 2k). By contrast, A–CTL failed to simulate
297 the enhanced convection over the eastern IO and MC; instead, it simulated
298 considerably weaker convection and easterly winds over the MC and WP,
299 respectively, than that in ERA-I/NOAA data (Fig. 2j and 2l).

300 Figure 2m–o show the vertical–longitudinal profiles of 20–100-day filtered
301 15°N – 15°S averaged vertical velocity (OMEGA; Pa s^{-1} , shaded) and moist static
302 energy (MSE) anomalies (W m^{-2} , contour) at phase 5. The spatial distribution of
303 negative OMEGA (ascending motion) anomalies generally agreed with OLR
304 anomalies in C–30NS simulation and NOAA data over the Indo-Pacific region (Fig.
305 2m and 2n). The relatively spatial relationship between the ascending motion and
306 MSE seen in ERA-I is well simulated in the coupled experiment C–30NS. For
307 example, positive MSE anomalies on the eastern side of the anomalous ascent
308 demonstrate that the energy recharge process occurs in advance of the MJO
309 convection over the lower-tropospheric easterlies (Fig. 2m and 2n), whereas negative
310 MSE anomalies on the western side reveal that the discharge process occurs during
311 and after convection over the lower-tropospheric westerlies. By contrast, this phase
312 relationship, considered to be an essential feature leading to the eastward propagation
313 of an MJO (Hannah and Maloney 2014; Heath et al., 2021), is not properly simulated
314 in the uncoupled experiment A–CTL (Fig. 2o), in which the simulated weak negative
315 OMEGA is located between negative and positive MSE anomalies over weak lower-
316 tropospheric wind anomalies and associated with weak convection over the MC (Fig.
317 2l).

318 The temporal evolution of NOAA OLR and ERA-I U850 (Fig. 3a) indicates
319 that convection originating in the western IO is enhanced during its eastward
320 propagation to the MC where it reaches the peak amplitude and then gradually
321 weakened when continuing moving eastward to the dateline. In the coupled
322 experiment C-30NS, this evolution of convectively coupled circulation is realistically
323 simulated, although it is weaker than the strength seen in NOAA OLR (Fig. 3b).
324 Moreover, the split of convection into two cells off the equator in phase 6 is
325 appropriately simulated in C-30NS (P6 in Fig. 3a and 3b). This split was caused by
326 the topographic and land-sea contrast effects of the MC (Tseng et al., 2017).
327 Associated with the split is the southward detouring of the anomalous convection
328 during the passage of the MJO through the MC (Kim et al. 2017, Tseng et al., 2017;
329 Wu and Hsu, 2009). After the passage of the MJO through the MC, the anomalous
330 convection stays south of the equator and continues moving eastward to the
331 dateline. In the uncoupled A-CTL, the systematic eastward propagation of
332 convectively coupled MJO circulation from the IO into the MC is not simulated.
333 Instead, the convection over the MC develops in situ at a later stage than that
334 observed (e.g., P6 in Fig. 3c) and dissipated rapidly. The A-CTL simulates a pair of
335 off-equator convection anomalies in the eastern IO during phase 2 (P2 in Fig. 3c) that
336 moves westward toward the central IO and were amplified at later stages (e.g., P4 in
337 Fig. 3c). This unrealistic evolution explains the westward propagation tendency
338 observed in the Hovmöller diagram (Fig. 2f).

339

340 **3.1.4 Characteristics of air-sea interaction**

341 Figure 4a-c show the longitude-phase diagram in which the 20-100-day filtered
342 precipitation (shaded) and SST (contour) anomalies were averaged over 10°S-10°N to
343 determine the relationship between precipitation and SST fluctuations and to establish

344 a link between air–sea coupling and convection. The propagation of the enhanced
345 convection with positive SST anomalies to the east could be clearly seen in
346 GPCP/OISST and the coupled experiment C–30NS (Fig. 4a and 4b). The highest SST
347 anomaly (SSTA) leads the maximum precipitation anomaly by approximately 2–3
348 phases, and the SSTA begins to decrease following the onset of enhanced
349 precipitation. The ERA-I and OISST data reveal the following relationship between
350 net surface flux and SST: the decreased (increased) LHF/SHF and increased
351 (decreased) downward radiation flux leading (lagging) the positive (negative) SSTA
352 east (west) of anomalous deep convection. This well-known lead–lag relationship
353 reflecting the active air–sea interaction in an MJO is realistically simulated in the
354 coupled experiment C–30NS (not shown).

355 The contrast between C–30NS and A–CTL confirms the key role of the air–sea
356 interaction in contributing to the eastward propagation and demonstrates that the
357 eastward propagation simulation can be markedly improved by incorporating the air–
358 sea interaction process in the model, even when using a simple 1-D ocean model such
359 as SIT.

360

361 **3.1.5 Vertically tilting structure**

362 The warm SST was the key forcing that contributed to the boundary layer
363 convergence before the onset of deep convection (Li et al., 2020; Tseng et al., 2014).
364 Hence, the warmer upper ocean enhances the low-level atmospheric convergence and
365 then leads to enhanced low-level moisture and preconditioned deep convection and
366 eastward propagation. This moistening process associated with warm ocean surface
367 temperature is well simulated in the coupled experiment C–30NS but is not shown
368 here. Instead, we present the coupling of moisture divergence (MD) and atmospheric
369 circulation.

370 MD and zonal wind anomalies from the surface to the upper troposphere
371 averaged over the 10°S–10°N and 120–150°E region are shown in Fig. 4d–f to depict
372 the relationship between the vertically tilting structure of MD and zonal wind
373 anomalies. Note that the active convection occurred around phase 5. The coupled
374 experiment C–30NS (Fig. 4e) realistically simulates the deepening of coupled MD
375 and zonal wind anomalies with time (Fig. 4d). An evolution from the right to left
376 seen in each panel of Fig. 4d–f is equivalent to the eastward movement of vertically
377 tilting circulation from the eastern IO into the MC because of the eastward-
378 propagating nature of the MJO. Figure 4d and 4e show that in both ERA-I reanalysis
379 and the coupled experiment C–30NS, the near-surface convergence (negative MD)
380 occurring in the easterly anomalies lead the convection and continued deepening up
381 to 500 hPa from phase 2 to phase 6 when the easterly anomalies switch to westerly
382 anomalies. By contrast, this evolution of coupled MD–zonal wind anomalies are not
383 appropriately simulated in the uncoupled experiment (Fig. 4f). For example, a slow
384 deepening with time is observed in the MD anomaly but not in the zonal wind
385 anomaly that exhibits a vertically decayed structure, suggesting that MD and wind
386 anomalies are not well coupled, as noted in the ERA-I/NOAA data and the control
387 coupled experiment.

388 In the ERA-I reanalysis data, the negative near-surface MD anomalies appear
389 first under the easterly anomaly and continue deepening between the easterly and
390 westerly anomalies. This development in the phase relationship between MD and
391 zonal wind anomalies in both ERA-I reanalysis data and the coupled simulation is
392 consistent with the well-known structure embedded in the MJO, namely the near-
393 surface convergence in the easterly phase (i.e., a boundary-layer moistening process;
394 Kiranmayi and Maloney 2011; Li et al., 2020; Tseng et al., 2014), followed by the
395 deep convection when transitioning to the westerly phase. This close phase

396 relationship that is key to the eastward propagation is appropriately simulated in the
397 coupled experiment but not in the uncoupled experiment.

398

399 **3.1.6 Intraseasonal variance of precipitation**

400 Figure 4g–i present the spatial distribution of intraseasonal variance of
401 precipitation. In the GPCP data, the maximum variance is noted over the tropical
402 eastern IO, MC, and tropical WP. The maximum variance south of the island in the
403 MC and the equator in the tropical WP reflects the southward shift of the MJO deep
404 convection when passing through the MC, partly due to the blocking effect of
405 mountainous islands and the higher moisture content over high SST south of the
406 equator in the region during boreal winter (Kim et al., 2017; Ling et al., 2019; Sobel
407 et al., 2008; Tseng et al., 2017; Wu and Hsu, 2009). Although the control coupled
408 experiment fails to simulate the variance maximum in the tropical eastern IO, it
409 appropriately simulates the maximum variance over the tropical WP, reflecting its
410 ability to simulate the eastward propagation of the MJO through the MC. By contrast,
411 the uncoupled A–CTL experiment simulates considerably weaker intraseasonal
412 variance in both the tropical eastern IO and the tropical WP. Figure 4j–l are the 20–
413 100-day filtered SST (K, shaded) and 850-hPa wind (m s^{-1} , vector) during MJO
414 phase 7 when deep convection is the strongest over the dateline. The coupled
415 experiment C–30NS realistically simulates the negative SST anomaly over the MC
416 and WP when enhanced tropical convection passed through the MC to the dateline,
417 indicating the capability of the SIT model to reproduce the SST anomaly by
418 exchanging LHF/SHF between the atmosphere and ocean. In A-CTL, no SST
419 anomaly is evident because the model was forced by prescribed climatological SST.
420 The contrast seen in Fig. 4j–l demonstrates the essential role of atmosphere–ocean
421 coupling in shaping the MJO. A delayed air–sea interaction mechanism was noted,

422 where a preceding active-phase MJO may trigger an inactive-phase MJO through the
423 delayed effect of the induced SST anomaly. In addition, the westerly winds at 850
424 hPa moving southward between MC and WP are captured by the control experiment
425 C-30NS and are similar to the ERA-I reanalysis winds (Fig. 4j and 4k). By contrast,
426 A-CTL forced by climatological monthly SST (<0.05 K phase⁻¹ anomaly) fails to
427 simulate the southward westerly wind of the region extending from the MC to the
428 dateline (Fig. 4l).

429

430 **3.2 Effect of upper-ocean vertical resolution**

431 In the control coupled experiment C-30NS, the vertical resolution in the upper
432 10.5 m was 1 m. Tseng et al. (2014) suggested that fine vertical resolution is crucial
433 for appropriately simulating the eastward propagation. To investigate the effect of
434 vertical resolution, two experiments with a thicker first layer were conducted by
435 moving the center of the layer to 11.5 m (C-LR12m) and 33.9 m (C-LR34m),
436 respectively, as opposed to the control experiment in which 10 layers were
437 implemented in the first 10.5 meters (see supplementary Fig. S1 for vertical
438 discretization). The W-FS spectral peaks of U850 in C-LR12m are concentrated in
439 eastward-propagating wavenumber 1 at three timescales (e.g., longer than 80 days,
440 30-80 days, and approximately 30 days; Fig. 5a). In C-LR34m, both eastward and
441 westward signals are simulated with the dominant W-FS timescale longer than 80
442 days (Fig. 5b). The appearance of both eastward and westward signals at a lower
443 frequency implied a stronger stationary tendency or weaker eastward-propagating
444 tendency. This result is consistent with that reported by Tseng et al. (2014) that the
445 scientific reproducibility of coarser resolution causes a longer intraseasonal
446 periodicity and slower eastward propagation of the MJO.

447 The effect of vertical resolution on the MJO simulation can be seen in the

448 Hovmöller diagram. The eastward propagation simulated in C–LR12m (Fig. 5c)
449 markedly weakened after crossing the MC compare with that simulated in the control
450 experiment C–30NS (Fig. 2e). In C–LR34m, the quasi-stationary fluctuation and
451 westward propagation are simulated over the IO (Fig. 5d), appearing similar to those
452 in A–CTL (Fig. 2f). The lead–lag relationship between precipitation (zonal wind) and
453 SST is poorly simulated in C–LR12m (Fig. 5e) and even more poorly simulated in C–
454 LR34m (Fig. 5f). This result confirms the finding reported by Tseng et al. (2014) that
455 a higher vertical resolution in the upper few meters below the surface allows for a
456 faster air–sea interaction, thus resulting in a more realistic simulation of the MJO.

457

458 **3.3 Effect of the lowest boundary of the SIT model**

459 The ocean is a vital energy source for the MJO. Although vertical resolution is
460 crucial for the efficiency of air–sea interaction, the thickness of the upper ocean that
461 interacts with the atmosphere represents the ocean heat content to substantiate the
462 MJO. A key question is how thick a vertically-gridded ocean mixed layer should be
463 for a realistic simulation. To explore this issue, three experiments with a model ocean
464 with a 1-m vertical resolution and the ocean model (SIT) bottom at 10, 30, and 60 m,
465 which included the top 12, 14, and 16 levels, respectively, as shown in supplementary
466 Fig. S2 and Table 1, were conducted. The spectra and the Hovmöller diagrams shown
467 in Fig. 6a–c and Fig. 6d–f, respectively, demonstrate that the thicker ocean model
468 simulates a stronger MJO with a frequency closer to those in the coupled experiment
469 C–30NS and ERA-I/NOAA data, and more realistic eastward propagation. In
470 addition, the lead–lag relationship between precipitation (wind) and SST is more
471 realistically simulated with increasing thickness of the ocean model (Fig. 6g–i).

472 This result suggests that the thickness of the oceanic mixed layer that interacts
473 with the atmosphere strongly affects the frequency of the simulated MJO. A thinner

474 (thicker) oceanic mixed layer is more quickly (slowly) recharged and discharged
475 through SHF and LHF exchange between the atmosphere and ocean and therefore
476 likely fluctuates at a faster (slower) tempo. The simulated periodicity is therefore
477 affected by the thickness of oceanic mixed layer (or ocean heat content). Although the
478 result suggests 60 m is an appropriate thickness to realistically simulate the
479 periodicity of the MJO, we did not intend to suggest the exact thickness required for a
480 proper simulation because it might depend on the model. The oceanic mixed layer
481 should be adequately thick to contain a certain amount of heat to generate appropriate
482 periodicity. However, the reason for the intraseasonal time scale (i.e., 20-100 days)
483 should be determined in future studies. This finding does not suggest a constant
484 periodicity because periodicity might be affected by the time-varying structure of the
485 atmosphere and ocean in the real world.

486

487 **3.4 Effects of coupling domains**

488 The MJO is a planetary-scale phenomenon. Given its large-scale circulation, the
489 air–sea interaction affecting the MJO likely occurs in a much larger area than the
490 region near the major convection anomalies. In this section, we discuss the effect of
491 coupling domain on model ability to simulate the eastward propagation speed and
492 periodicity of the MJO. Four experiments considering the coupling in various
493 domains (C–0_30N, C–0_30S, C–30_180E, and C–30E_75W, Fig. 1) were conducted
494 for the purpose. The results are shown in Fig. 7. The C–0_30N that considered the
495 coupling in the tropics between the equator and 30°N simulates the least realistic MJO
496 propagation in terms of W–FS (Fig. 7a), zonal wind–precipitation coupling (Fig. 7e),
497 and SST–precipitation (Fig. 7i) among the four regional coupling experiments. By
498 contrast, coupling only the tropics between the equator and 30°S simulates a more
499 realistic MJO in all three aspects (i.e., spectrum in Fig. 7b, temporal evolution of

500 precipitation/wind, and precipitation/SST coupling in Fig. 7f and 7j). Figure. 8a
501 indicates that the negative OLR anomalies at phase 5 simulated in C-0_30N stays
502 mainly north of the equator and does not shift southward in the MC as revealed in
503 ERA-I reanalysis and NOAA OLR and in the control experiment C-30NS, and the
504 convection over the IO is unrealistically weak. By contrast, the southward detouring
505 in the MC is realistically simulated in C-0_30S that coupled only the tropical ocean
506 between the equator and 30°S. This result indicates that air-sea coupling occurring
507 south of the equator is the key to producing appropriate eastward propagation and
508 detouring of the MJO through the MC. Without this coupling, the C-0_30N
509 experiment fails to realistically simulate the eastward propagation of the MJO (Fig.
510 7e). This contrast can be attributed to the warmer ocean surface and higher moisture
511 content found south of the equator in boreal winter, which comprise a more favorable
512 environmental condition for air-sea coupling and convection-circulation coupling and
513 the occurrence of the MJO.

514 MJO simulations can be affected by air-sea coupling in the longitudinal domain.
515 Tseng et al. (2014) examined this effect by allowing coupling in different regions
516 (e.g., the IO, WP, and IO + WP) and found that the IO + WP coupling experiment
517 yielded the most satisfactory MJO simulation in terms of the zonal W-FS and
518 eastward propagation characteristics. In this study, we conducted sensitivity
519 experiments in which we allowed coupling in the tropics in two longitudinal domains,
520 namely 30°E-180°E (C-30_180E) and 30°E-75°W (C-30E_75W). The 30°E-180°E
521 region covered the IO and WP, and the 30°E-75°W region covered the IO and the
522 entire tropical Pacific. As shown in Fig. 7, the C-30E_75W experiment simulates
523 more realistic MJO than the C-30_180E experiment, with stronger eastward
524 propagation and larger amplitudes in the spectrum (Fig. 7c and 7d) and Hovmöller
525 diagrams of precipitation/wind (Fig. 7g and 7h) and precipitation/SST (Fig. 7k and

526 7l). The simulated MJO in C-30E_75W propagated farther east than that in C-
527 30_180E, particularly evident in Fig. 7k and 7l. The spatial distributions of circulation
528 and OLR shown in Fig. 8c and 8d indicate the presence of a stronger convective-
529 coupled circulation system over the MC and WP in C-30E_75W. These results
530 suggest that coupling over the entire tropical IO and Pacific could enhance the
531 strength and eastward propagation of the MJO and encourage farther propagation to
532 the central Pacific.

533

534 **3.5 Diurnal versus no diurnal cycle in air-sea coupling**

535 Previous studies showed that the diurnal cycle in the MC can weaken the MJO
536 and its eastward propagation (Hagos et al., 2016; Oh et al., 2013). We conducted an
537 experiment to determine whether computing surface heat fluxes using daily mean
538 values, instead of instantaneous values, of atmospheric variables and SST with the
539 same coupling frequency would affect the MJO simulation. The coupling in the model
540 was conducted through the SHF and LHF exchange between the atmosphere and
541 ocean, that were calculated based on simulated winds, moisture, and temperature. As
542 mentioned in Section 2.3, air-sea fluxes were calculated twice for every time step
543 (coupling 48 times per day) in the control coupled experiment (C-30NS) based on the
544 instantaneous values of atmospheric and oceanic variables. In the experiment in which
545 the diurnal cycle was removed (C-30NS-nD), air-sea fluxes were calculated as in C-
546 30NS but were based on daily means of both atmospheric variables and SST. Doing
547 this removed certain diurnal effects of air-sea coupling. The results shown in Fig. 9
548 reveal the enhancement of the eastward-propagating signals in the MJO (e.g., a larger
549 amplitude in spectrum; Fig. 9a) and further eastward propagation (Fig. 9b) as well
550 stronger coupling between precipitation and SST (Fig. 9c) in C-30NS-nD. The
551 overall results are consistent with previous finding that the diurnal cycle tends to

552 reduce the amplitude of the MJO, indicating that the weakening effect occurs through
553 air–sea coupling in addition to those processes in the atmosphere. Previous studies
554 have hypothesized that rapid interaction processes in the diurnal time scale tend to
555 extract energy from the MJO, thus reducing the strength and propagation tendency of
556 the MJO. However, a comparison between the spectra of C–30NS and C–30NS–nD
557 indicates that the experiment in which the diurnal cycle is removed appeared to
558 oversimulate the MJO with unrealistic strength, suggesting that the effect of the
559 diurnal cycle should be considered in the model to simulate a more realistic MJO.
560 However, whether this is a common result in different models remain to be examined.

561

562 **4. Discussion and conclusions**

563 Air–sea coupling is a key mechanism for the successful simulation of the MJO
564 (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li
565 et al., 2016; Li et al., 2020; Newman et al., 2009; Tseng et al., 2014). This study,
566 following the study of Tseng et al. (2014), demonstrated that coupling a high-
567 resolution 1-D TKE ocean model (namely the SIT model) to the CAM5, namely the
568 CAM5–SIT, significantly improved the MJO simulation over the standalone CAM5.
569 By coupling SIT model to an AGCM different from Tseng et al. (2014), this study
570 confirms the scientific reproducibility for the improvement of MJO simulation in
571 modeling science. The CAM5–SIT realistically simulates the MJO characteristics in
572 many aspects (e.g., intraseasonal periodicity, eastward propagation, coherence in the
573 low-frequency band, detouring propagation across the MC, tilting vertical structure,
574 and intraseasonal variance in the WP).

575 Systematic sensitivity experiments were conducted to investigate the effects of
576 the vertical resolution and the thickness of the 1-D ocean model, coupling domains,
577 and the absence of the diurnal cycle. The results of all the sensitivity experiments are

578 summarized in Fig. 10a and 10b, which show four common metrics for MJO
579 evaluation. The four metrics are the propagation speed of the MJO (estimated from
580 the U850 Hovmöller diagram as Fig. 2d–f) versus the power ratio of eastward- and
581 westward-propagating 30–80-day signals (E/W ratio, derived from the zonal W–FS)
582 in Fig. 10a and the eastward propagation speed of the 30–80-day filtered precipitation
583 anomaly (estimated from the precipitation Hovmöller diagram) versus the variance
584 explained by RMM1 and RMM2 (i.e., the sum of the variance explained by EOF1 and
585 EOF2 based on Wheeler and Hendon, 2004) in Fig. 10b. Based on the maximum
586 precipitation anomaly and zero values of U850 (indicating deep convection region),
587 propagation speeds of precipitation and U850 were calculated from Hovmöller
588 diagrams between 60°E and 150°W. Overall, the control experiment C–30NS
589 simulates the most realistic MJO among all sensitivity experiments.

590 As for vertical resolution, we determined that the MJO simulation efficiency
591 decreased when the vertical resolution of the SIT model is decreased from 1 m to 12
592 or 34 m, as simulated in the C–LR12m and C–LR34m experiments, respectively. This
593 finding, consistent with that reported by Tseng et al. (2014), suggests that a finer
594 vertical resolution more effectively resolves temperature variations in the ocean warm
595 layer and enhances atmospheric–ocean coupling, thus enabling the upper ocean to
596 more efficiently respond to atmospheric forcing by providing sensible and latent heat
597 fluxes; this results in superior synchronization between the lower atmosphere and the
598 upper ocean.

599 We observed that the shallower ocean model bottom could speed up the eastward
600 propagation of the MJO by producing more perturbations of shorter periodicity (Fig.
601 6) and results in a weaker MJO. The shallower oceanic mixed layer likely responds
602 more quickly to atmospheric forcing but provides less sensible and latent heat fluxes
603 to the atmosphere. Thus, the MJO propagates too fast with a weaker amplitude.

604 In the coupling domain sensitivity experiments, we investigated the essential
605 coupling domain required to simulate the realistic MJO and the effect of the domain
606 on the MJO simulation. Coupling only the northern tropics fails to simulate the
607 eastward propagation, whereas coupling only the southern tropics yields a more
608 realistic MJO simulation, although this simulation is inferior to coupling the entire
609 tropics. This contrast reveals the importance of the southern tropical ocean, especially
610 in the MC where high SST and moisture content are noted. Coupling in the southern
611 tropics is therefore essential for providing the energy required to maintain the MJO
612 and its eastward propagation. By contrast, the northern tropics are relatively dry and
613 cool. Coupling in this region is therefore less effective in improving MJO simulation.

614 In the longitudinal domain sensitivity experiments, we found that the MJO
615 amplitude and the eastward extend of its eastward propagation are enhanced by
616 extending the eastern boundary of the coupling domain from the tropical eastern IO to
617 the tropical WP and further to the tropical eastern Pacific (Fig. 1). Further extension
618 of the domain to cover the tropical Atlantic does not exhibit further enhancement (not
619 shown). This result indicates that coupling in the tropical central and eastern Pacific,
620 although not the major MJO signal regions (i.e., from the tropical IO to the tropical
621 WP), still played a marked role in sustaining the MJO. We propose the following to
622 explain this effect. Because of the planetary scale of the MJO, the near-surface
623 easterly circulation to the east of the convection core often extended to the tropical
624 central and eastern Pacific where the climatological easterly prevailed. The coupling
625 beyond the WP increased low-level moisture transport and convergence to the east of
626 the convection and establish an environment suitable for the further eastward
627 propagation of the MJO. This effect was likely terminated by the landmass of Central
628 America when the tropical Atlantic was further included. Thus, a further eastward
629 extension of the coupling domain exerted little effect on further enhancing the MJO. A

630 diagnostic study on the effect of the longitudinal coupling domain is being conducted,
631 and the results will be reported in a following paper.

632 The diurnal versus nondiurnal cycle experiment indicates that nondiurnal
633 coupling tended to enhance eastward-propagating signals but slow down the eastward
634 propagation (Fig. 10a–b). This result is consistent with the finding of previous studies
635 that the diurnal cycle in the atmosphere extracts energy from the MJO, thus
636 weakening it.

637 In this study, we demonstrated how air–sea coupling can improve the MJO
638 simulation in a GCM. The findings are as follows.

- 639 (1) Better resolving the fine structure of the upper-ocean temperature and therefore
640 the air–sea interaction leads to more realistic intraseasonal variability in both
641 SST and atmospheric circulation.
- 642 (2) An adequate thickness of the oceanic mixed layer is required to simulate a delayed
643 response of the upper ocean to atmospheric forcing and lower-frequency
644 fluctuation.
- 645 (3) Coupling the tropical eastern Pacific, in addition to the tropical IO and the tropical
646 WP, can enhance the MJO and facilitate the further eastward propagation of the
647 MJO to the dateline.
- 648 (4) Coupling the southern tropical ocean, instead of the norther tropical ocean, is
649 essential for simulating a realistic MJO.
- 650 (5) Stronger MJO variability can be obtained without considering the diurnal cycle in
651 coupling.

652 Our study confirmed the effectiveness of air–sea coupling for improving MJO
653 simulation in a climate model and demonstrated how and where to couple. The
654 findings enhance our understanding of the physical processes that shape the
655 characteristics of the MJO.

656

657 *Code and data availability.* The model code of CAM5–SIT is available at

658 <https://doi.org/10.5281/zenodo.5510795>. Input data of CAM5–SIT using the

659 climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset and

660 GODAS data forcing, including 30-year numerical experiments, are available at

661 <https://doi.org/10.5281/zenodo.5510795>.

662

663 *Author contributions.* HHH is the initiator and the primary investigator of the

664 Taiwan Earth System Model project. YYL is the CAM5–SIT model developer and

665 writes the majority part of the paper. WLT and LCJ assist in MJO analysis.

666

667 *Competing interests.* The authors declare that they have no conflict of interest.

668

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969 Table 1. List of experiments

Section	Category	Experiments	Description
3.1	Coupled or uncoupled	A-CTL	Standalone CAM5.3 forced by forced by the monthly mean Hadley Centre SST dataset version 1 climatology
		C-30NS (the control coupled experiment)	CAM5.3 coupled with SIT over the tropical domain (30°N-30°S), with 41 layers of finest vertical resolution (up to the seabed) and diurnal cycle; the frequency of CAM5 being exchanged with CPL is 48 times per day
3.2	Upper-ocean vertical resolution	C-LR12m	The first ocean vertical level starts at 11.5 m with 31 layers (beside SST and cool skin layer are 11.5 m, 29.5 m and 43.6 m up to the seabed)
		C-LR34m	The first ocean vertical level starts at 33.9 m with 28 layers (beside SST and cool skin layer are 33.9 m, 76.9 m and 96.8 m up to the seabed)
3.3	Lowest boundary of SIT	C-HR1mB10m	The lowest boundary of SIT has a depth of 10 m (model depth between 0 m and 10 m)
		C-HR1mB30m	The lowest boundary of SIT has a depth of 30 m (model depth between 0 m and 30 m)
		C-HR1mB60m	The lowest boundary of SIT has a depth of 60 m (model depth between 0 m and 60 m)
3.4	Regional coupling domain in latitude	C-0_30N	Coupled in the tropical northern hemisphere (0°N-30°N, 0°E-360°E)
		C-0_30S	Coupled in the tropical southern hemisphere (0°S-30°S, 0°E-360°E)
	Regional coupling domain in longitude	C-30_180E	Coupled in the Indo-Pacific (30°N-30°S, 30°E-180°E)
		C-30E_75W	Coupled over the Indian Ocean and Pacific Ocean (30°N-30°S, 30°E-75°W)
3.5	Absence of the diurnal cycle	C-30NS-nD	Absence of the diurnal cycle in C-30NS; the CAM5.3 daily atmospheric mean of surface wind, temperature, total precipitation, net surface heat flux, u-stress and v-stress over water trigger the SIT and daily mean SST feedback to atmosphere; the frequency of CAM5 is exchanged with CPL 48 times per day

970 Experiment abbreviations: “A” means standalone AGCM simulation. “C” means the
971 CAM5.3 coupled to the SIT model.

972 **Figure List**

973 **Figure 1.** Schematics of coupled and uncoupled domains in the regional coupling
974 experiment: (a) C–30NS, (b) C–0_30N, (c) C–0_30S, (d) C–30_180E, and (e) C–
975 30E_75W. The background is the climatological mean SST in December–February (DJF).
976

977 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind averaged
978 over 10°S–10°N in boreal winter after removing the climatological mean seasonal cycle.
979 Vertical dashed lines represent periods at 80 and 30 days, respectively. (d)–(f) Hovmöller
980 diagrams of the correlation between the precipitation averaged over 10°S–5°N, 75–100°E
981 and the intraseasonally filtered precipitation (color) and 850-hPa zonal wind (contour)
982 averaged over 10°N–10°S. (g)–(i) Zonal wavenumber–frequency power spectra of
983 anomalous OLR (colors) and phase lag with U850 (vectors) for the symmetric component
984 of tropical waves, with the vertically upward vector representing a phase lag of 0° with
985 phase lag increasing clockwise. Three dispersion straight lines with increasing slopes
986 represent the equatorial Kelvin waves (derived from the shallow water equations)
987 corresponding to three equivalent depths, 12, 25, and 50 m, respectively. (j)–(l)
988 Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} ,
989 vector) for MJO phase 5 when deep convection is the strongest over the MC and 850-hPa
990 wind, with the reference vector (1 m s^{-1}) shown at the top right of each panel, and (m)–
991 (o) 15°N–15°S averaged p-vertical velocity anomaly (Pa s^{-1} , shaded) and moist static
992 energy anomaly (W m^{-2} , contour, interval 0.003); solid, dashed, and thick-black lines
993 represent positive, negative, and zero values, respectively. The number of days used to
994 generate the composite is shown at the bottom right corner of each panel. (a), (d), (g), (j),
995 and (m) are from the ERA-Interim and NOAA post-processed data (abbr. ERA-I/NOAA);
996 (b), (e), (h), (k), and (n) are from the control experiment C–30NS; and (c), (f), (i), (l), and
997 (o) are from the A–CTL.

998

999 **Figure 3.** Evolution of the filtered OLR anomaly (W m^{-2} , shaded) and 850-hPa wind (m
1000 s^{-1} , vector) at phase 2, 4, 6, and 8: (a) the ERA-I/NOAA data, (b) the control coupled
1001 experiment C–30NS, and (c) the uncoupled experiment A–CTL. The unit of the reference
1002 vector shown at the top right corner of each panel is m s^{-1} , and the number of days used
1003 for the composite is shown at the bottom right corner of each panel.

1004

1005 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
1006 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N–
1007 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
1008 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical
1009 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and

1010 zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120 – 150°E ; solid, dashed, and
1011 thick-black curves are positive, negative, and zero values, respectively. (g)–(i) Variation
1012 of 30–60-day filtered precipitation in the eastern IO and the WP in observation (color
1013 shading), and the ratio between intraseasonal and total variance (contoured) and (j)–(l)
1014 composites 20–100-day filtered SST (K, shaded) and 850-hPa winds (m s^{-1} , vector) at
1015 phase 7 when deep convection was the strongest over the dateline. Reference vector
1016 shown at the top right corner of each panel. (a), (d), (g), and (j) are from the ERA-
1017 I/NOAA data; (b), (e), (h), and (k) are from the control coupled experiment C–30NS; and
1018 (c), (f), (i), and (l) are from the uncoupled experiment A–CTL.

1019

1020 **Figure 5.** (a)–(b) Same as in Fig. 2(a) but for the C–LR12m and C–LR34m. (c)–(d) Same
1021 as in Fig. 2(d) but for the C–LR12m and C–LR34m. (e)–(f) Same as in Fig. 4(a) but for
1022 the C–LR12m and C–LR34m.

1023

1024 **Figure 6.** Same as in Fig. 5 but for the C–HR1mB10m, C–HR1mB30m, and C–
1025 HR1mB60m.

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1027 **Figure 7.** Same as in Fig. 5 but for the C–0_30N, C–0_30S, C–30_180E, and C–
1028 30E_75W.

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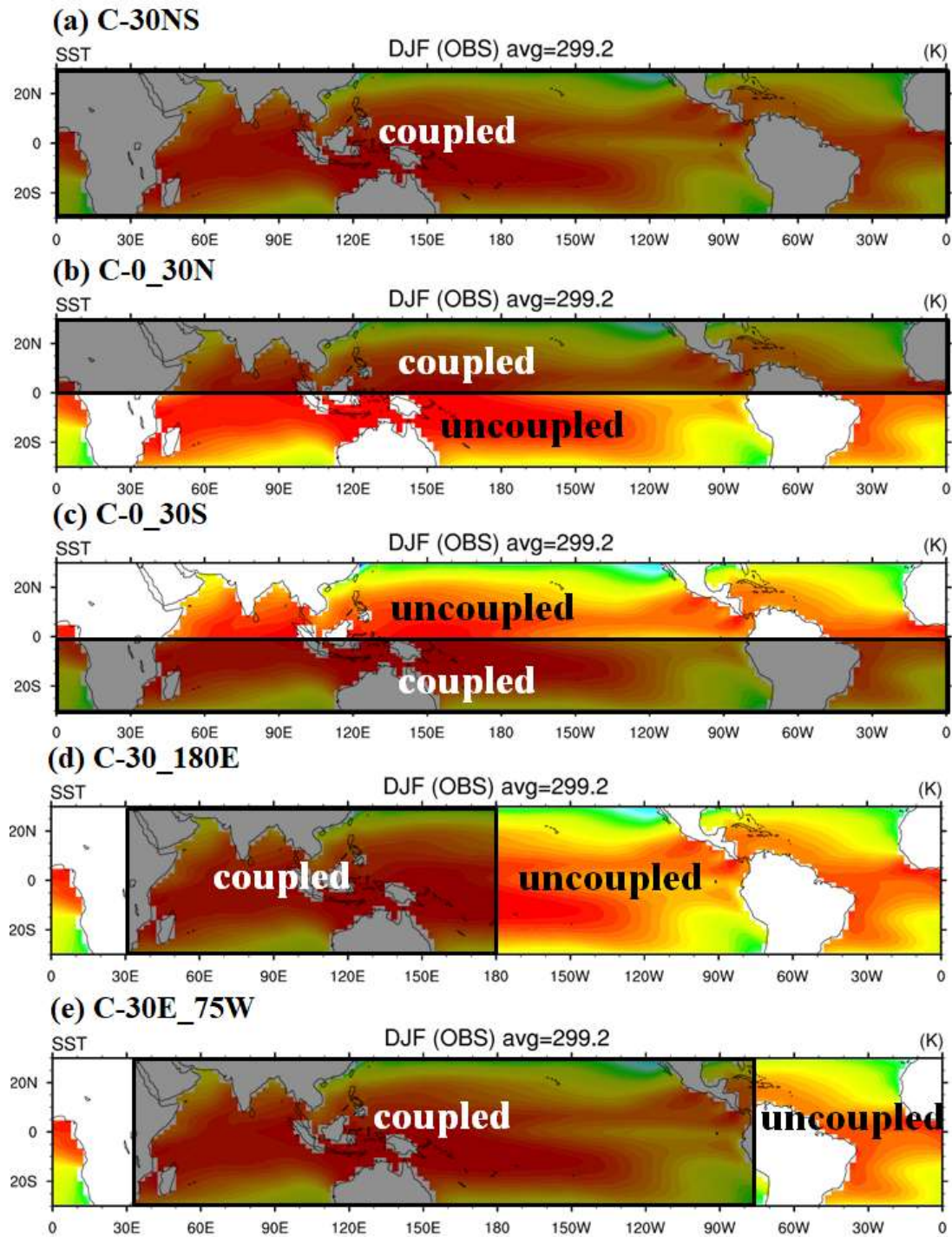
1030 **Figure 8.** Same as in Fig. 3 but for phase 5 in the C–0_30N, C–0_30S, C–30_180E, and
1031 C–30E_75W.

1032

1033 **Figure 9.** Similar as in Fig. 5 but for the C–30NS–nD.

1034

1035 **Figure 10.** Scattered plots of various MJO indices in the ERA-I/NOAA data and 12
1036 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-
1037 hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed of
1038 U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
1039 and eastward propagation speed of the filtered precipitation anomaly derived from the
1040 Hovmöller diagram.



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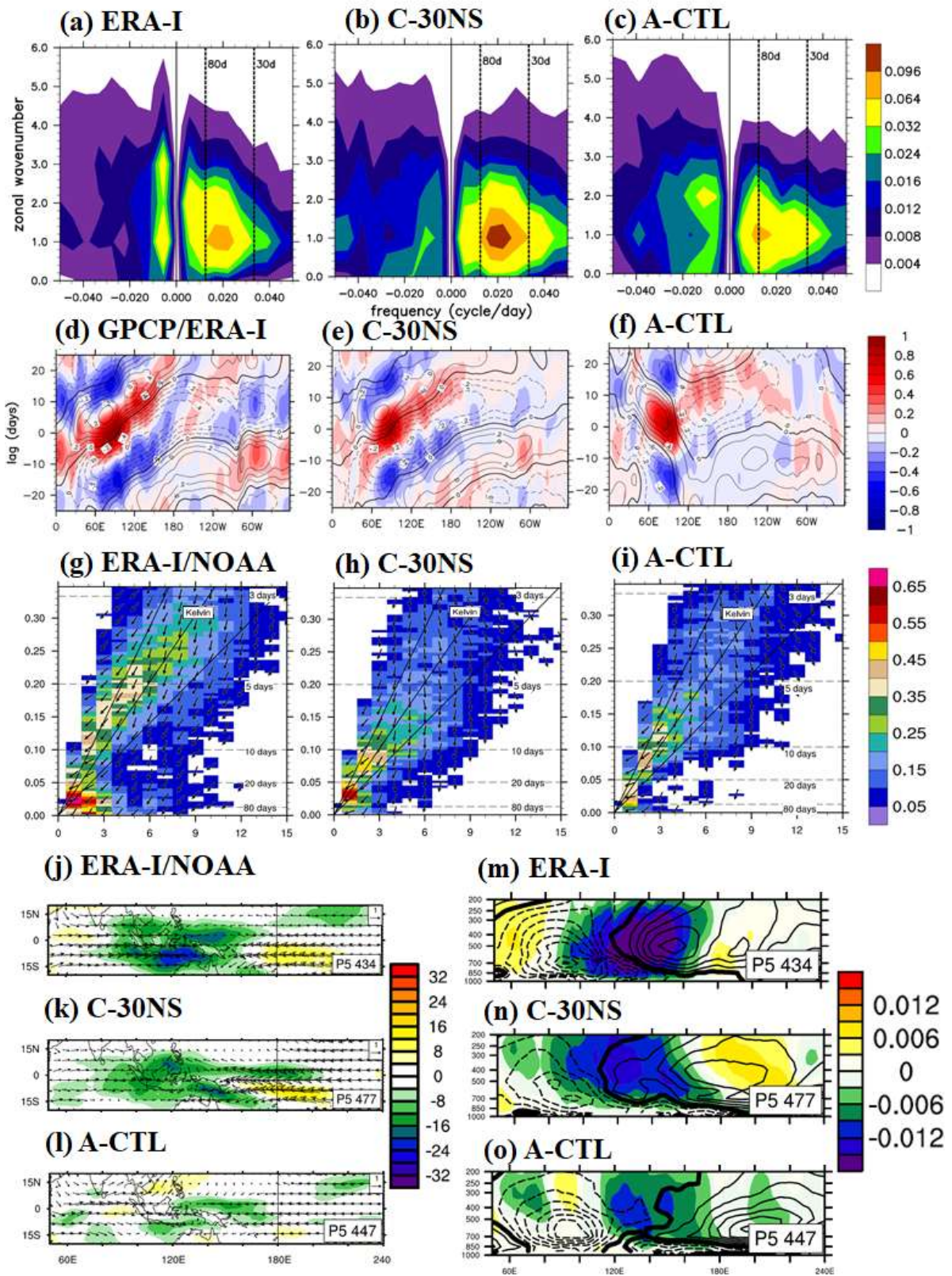
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Figure 1. Schematics of coupled and uncoupled domains in the regional coupling experiment: (a) C-30NS, (b) C-0_30N, (c) C-0_30S, (d) C-30_180E, and (e) C-30E_75W. The background is the climatological mean SST in December–February (DJF).

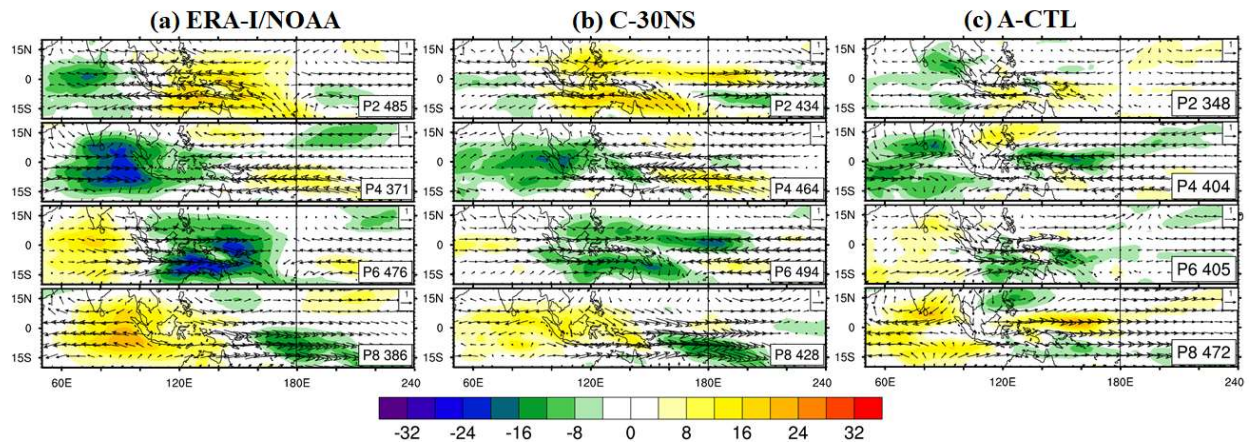


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Figure 2. (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind averaged over 10°S–10°N in boreal winter after removing the climatological mean seasonal cycle.

1051 Vertical dashed lines represent periods at 80 and 30 days, respectively. (d)–(f) Hovmöller
1052 diagrams of the correlation between the precipitation averaged over 10°S – 5°N , 75 – 100°E
1053 and the intraseasonally filtered precipitation (color) and 850-hPa zonal wind (contour)
1054 averaged over 10°N – 10°S . (g)–(i) Zonal wavenumber–frequency power spectra of
1055 anomalous OLR (colors) and phase lag with U850 (vectors) for the symmetric component
1056 of tropical waves, with the vertically upward vector representing a phase lag of 0° with
1057 phase lag increasing clockwise. Three dispersion straight lines with increasing slopes
1058 represent the equatorial Kelvin waves (derived from the shallow water equations)
1059 corresponding to three equivalent depths, 12, 25, and 50 m, respectively. (j)–(l)
1060 Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} ,
1061 vector) for MJO phase 5 when deep convection is the strongest over the MC and 850 hPa
1062 wind, with the reference vector (1 m s^{-1}) shown at the top right of each panel, and (m)–
1063 (o) 15°N – 15°S averaged p-vertical velocity anomaly (Pa s^{-1} , shaded) and moist static
1064 energy anomaly (W m^{-2} , contour, interval 0.003); solid, dashed, and thick-black lines
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1066 generate the composite is shown at the bottom right corner of each panel. (a), (d), (g), (j),
1067 and (m) are from the ERA-Interim and NOAA post-processed data (abbr. ERA-I/NOAA);
1068 (b), (e), (h), (k), and (n) are from the control experiment C–30NS; and (c), (f), (i), (l), and
1069 (o) are from the A–CTL.

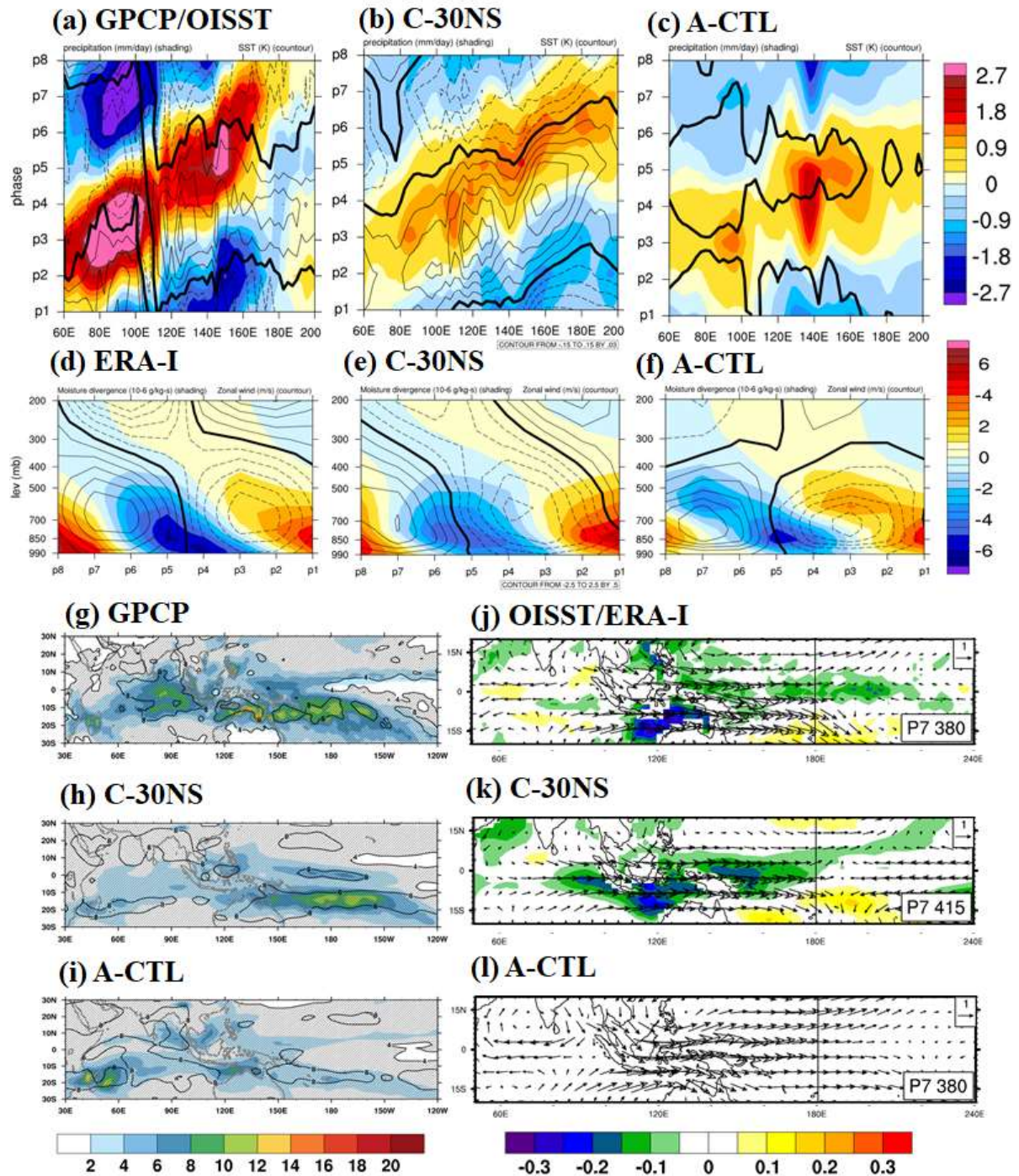
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1073 **Figure 3.** Evolution of the filtered OLR anomaly ($W m^{-2}$, shaded) and 850-hPa wind (m
1074 s^{-1} , vector) at phase 2, 4, 6, and 8: (a) the ERA-I/NOAA data, (b) the control coupled
1075 experiment C-30NS, and (c) the uncoupled experiment A-CTL. The unit of the reference
1076 vector shown at the top right corner of each panel is $m s^{-1}$, and the number of days used
1077 for the composite is shown at the bottom right corner of each panel.

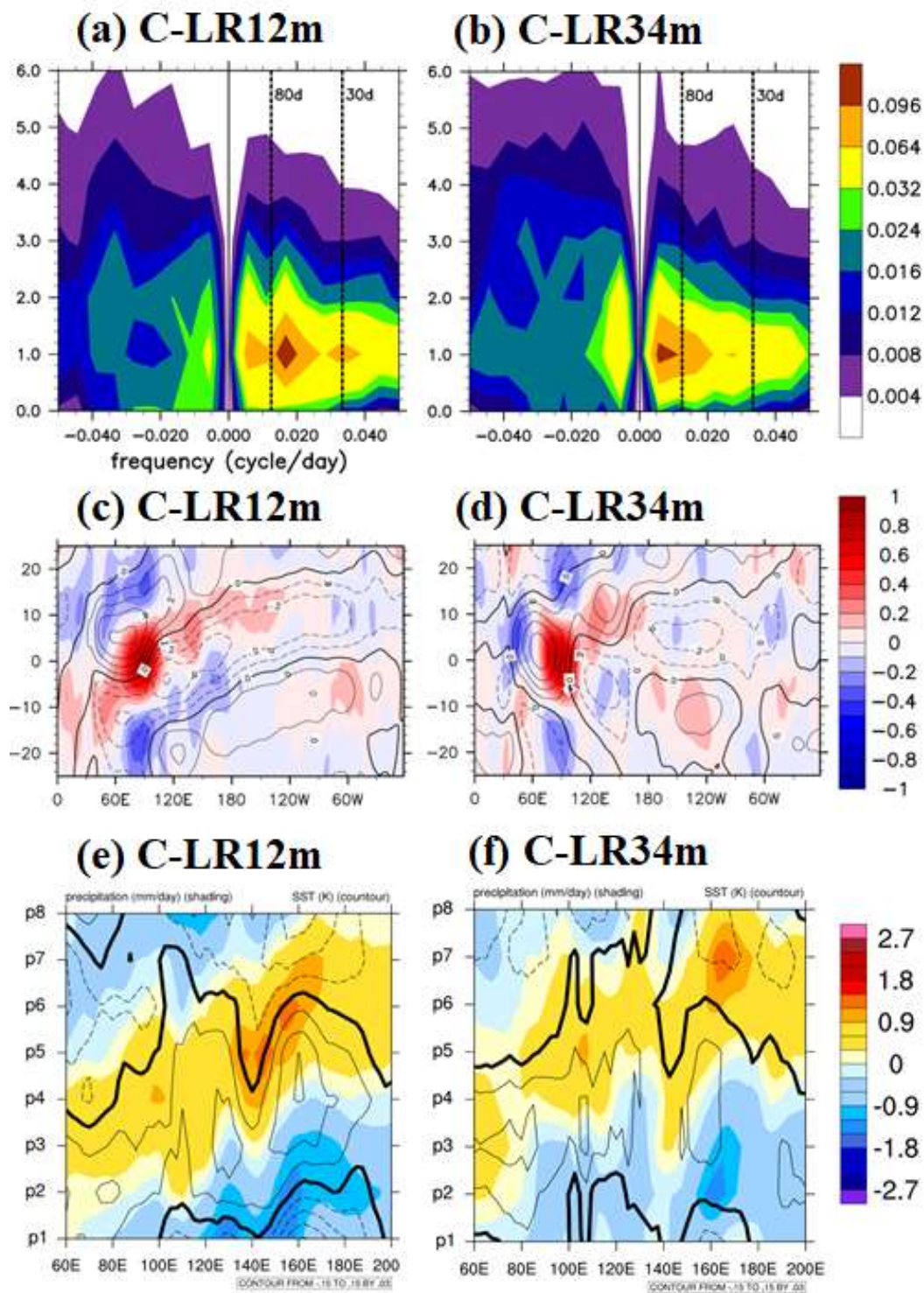


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1079

1080 **Figure 4.** (a)–(c) Phase-longitude Hovmöller diagrams of 20–100-day filtered
 1081 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N –
 1082 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
 1083 represent positive, negative, and zero values, respectively. (d)–(f) Phase-vertical
 1084 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and
 1085 zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120°E – 150°E ; solid, dashed, and
 1086 thick-black curves are positive, negative, and zero values, respectively. (g)–(i) Variation

1087 of 30–60-day filtered precipitation in the eastern IO and the WP in observation (color
1088 shading), and the ratio between intraseasonal and total variance (contoured) and (j)–(l)
1089 composites 20–100-day filtered SST (K, shaded) and 850-hPa winds (m s^{-1} , vector) at
1090 phase 7 when deep convection was the strongest over the dateline. Reference vector
1091 shown at the top right corner of each panel. (a), (d), (g), and (j) are from the ERA-
1092 I/NOAA data; (b), (e), (h), and (k) are from the control coupled experiment C–30NS; and
1093 (c), (f), (i), and (l) are from the uncoupled experiment A–CTL.



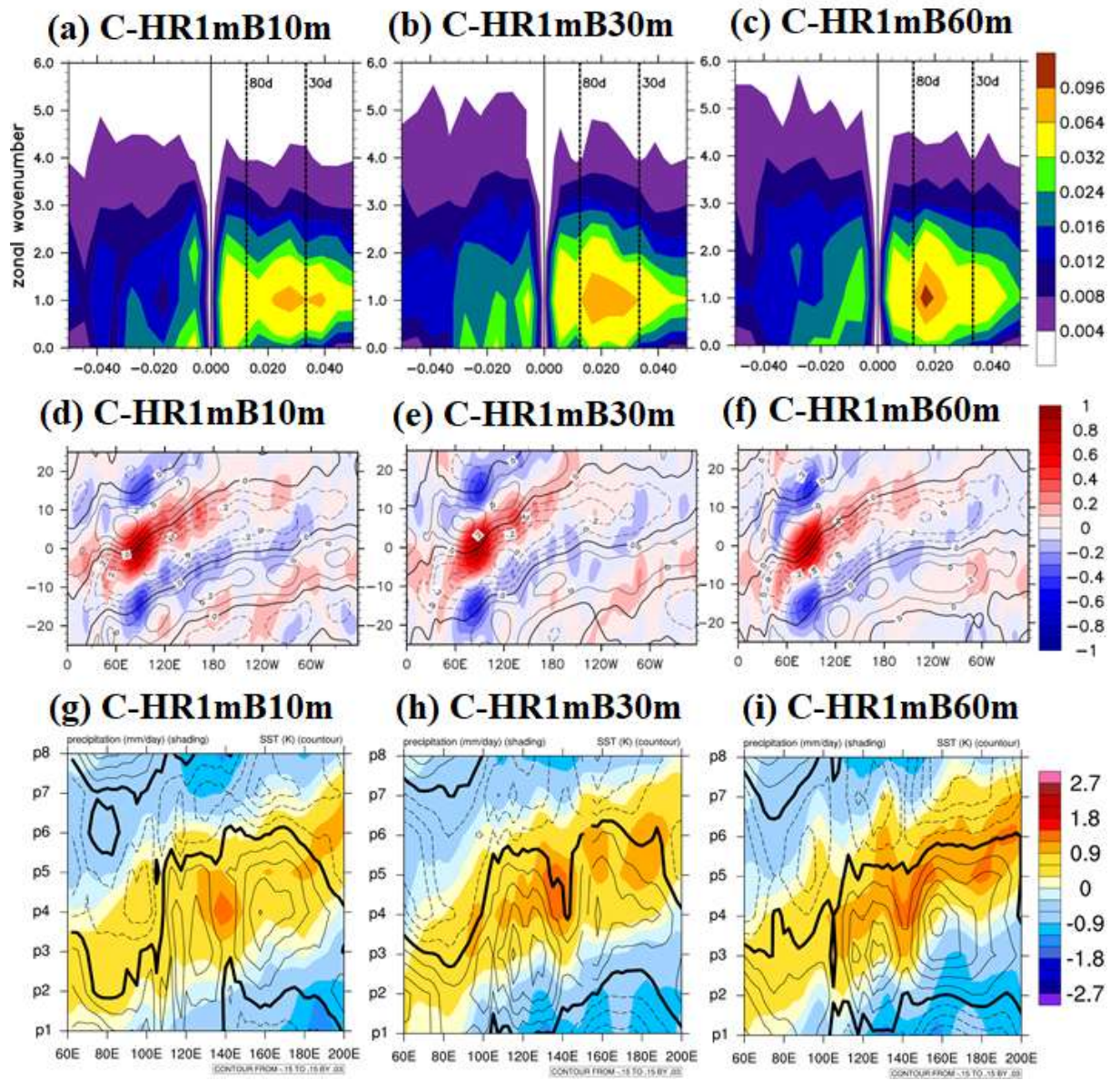
1094

1095

1096 **Figure 5.** (a)–(b) Same as in Fig. 2(a) but for the C–LR12m and C–LR34m. (c)–(d) Same

1097 as in Fig. 2(d) but for the C–LR12m and C–LR34m. (e)–(f) Same as in Fig. 4(a) but for

1098 the C–LR12m and C–LR34m.

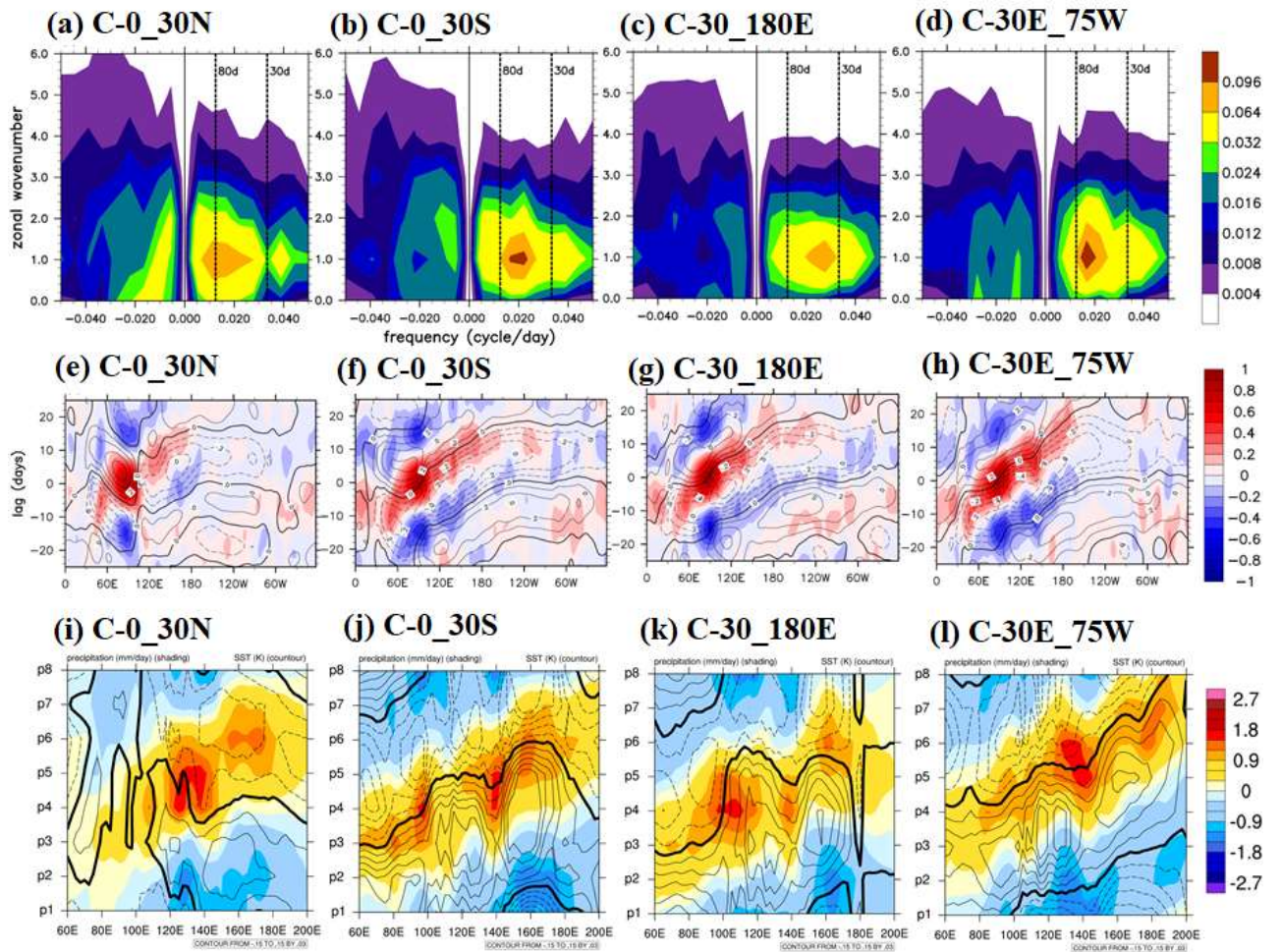


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1100

1101 **Figure 6.** Same as in Fig. 5 but for the C-HR1mB10m, C-HR1mB30m, and C-

1102 HR1mB60m.

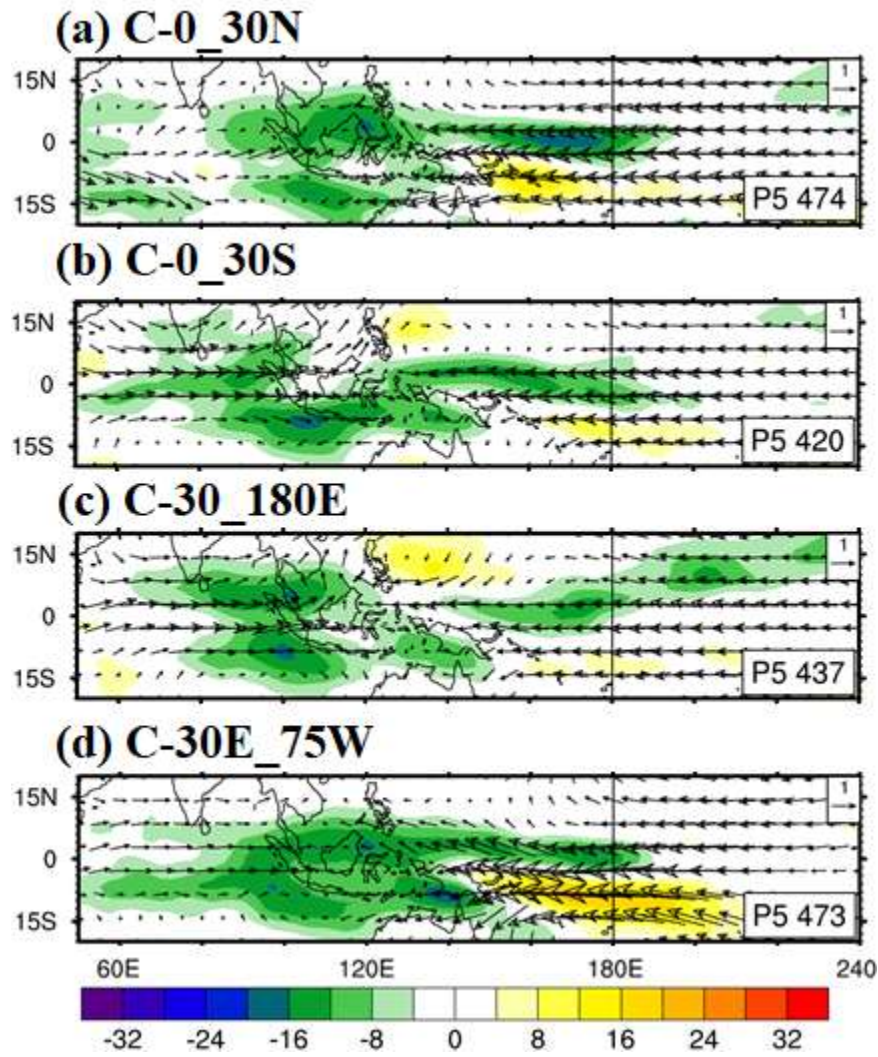


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1104

1105 **Figure 7.** Same as in Fig. 5 but for the C-0_30N, C-0_30S, C-30_180E, and C-

1106 30E_75W.

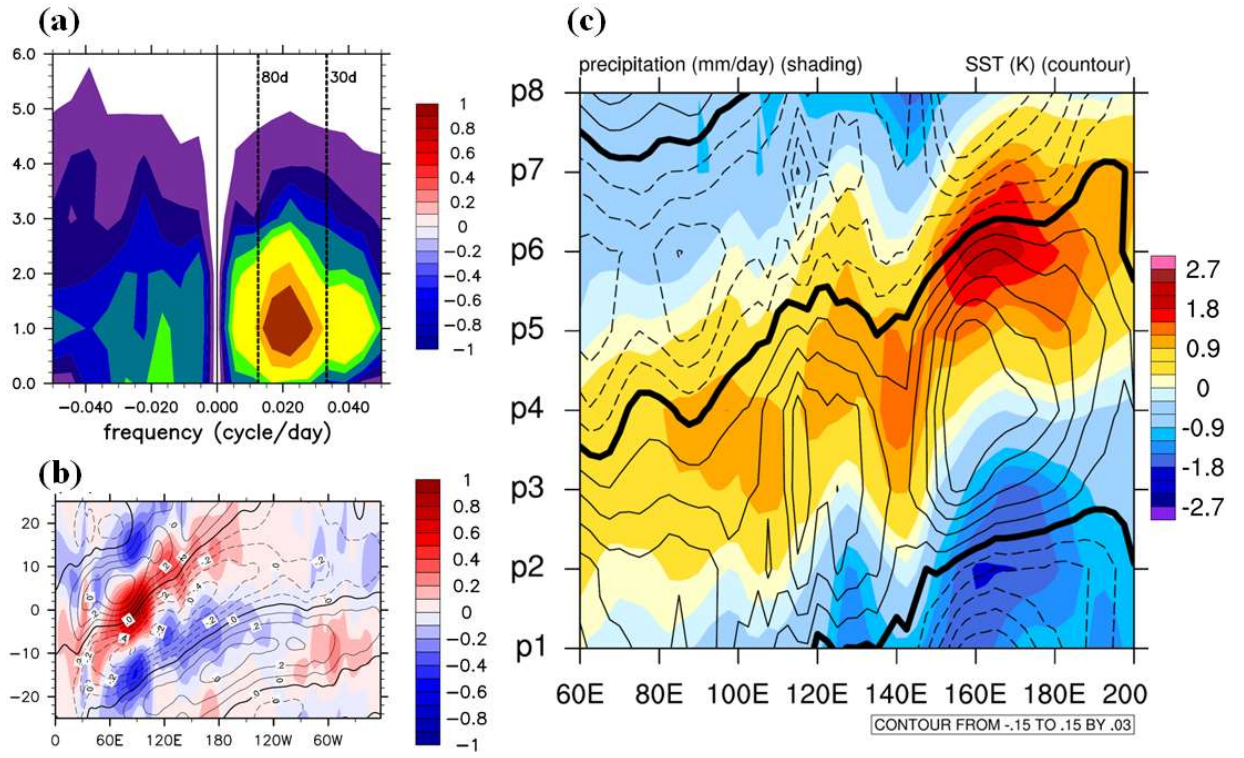


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1109 **Figure 8.** Same as in Fig. 3 but for phase 5 in the C-0_30N, C-0_30S, C-30_180E, and

1110 C-30E_75W.

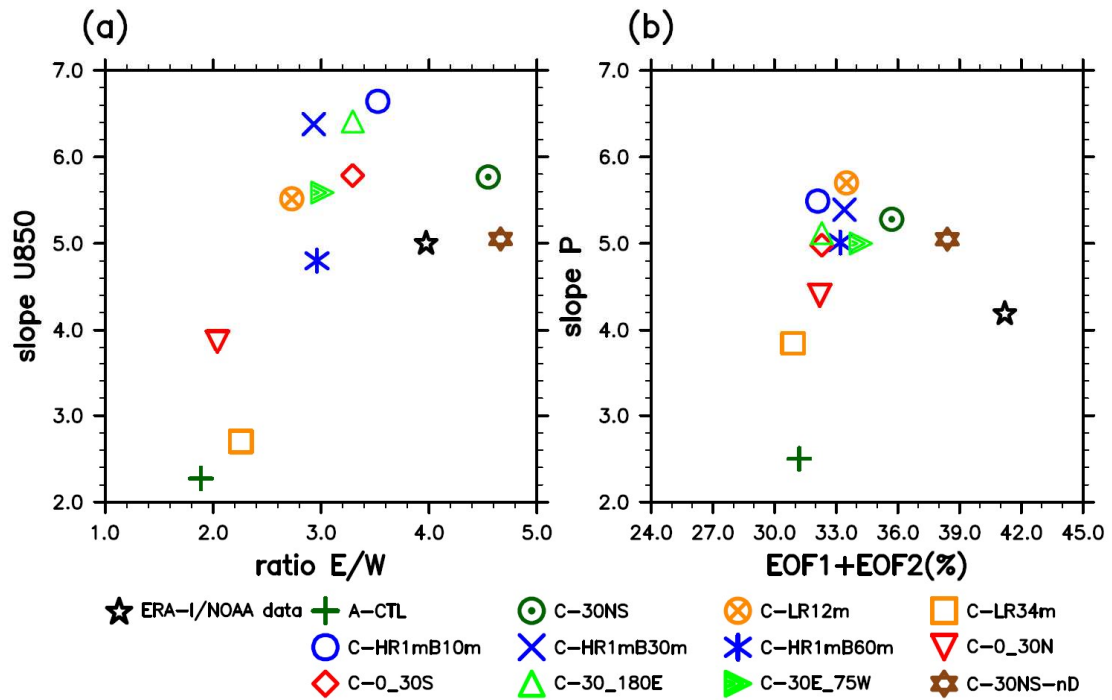


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1113

Figure 9. Similar as in Fig. 5 but for the C-30NS-nD.



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1115

1116 **Figure 10.** Scattered plots of various MJO indices in the ERA-I/NOAA data and 12
 1117 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-
 1118 hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed of
 1119 U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance
 1120 and eastward propagation speed of the filtered precipitation anomaly derived from the
 1121 Hovmöller diagram.