

1 Dear Dr. Neale and Editors,

2

3 We are submitting the revised manuscript gmd-2021-346, titled
4 “Embedding a One-column Ocean Model (SIT 1.06) in the Community
5 Atmosphere Model 5.3 (CAM5.3; CAM5–SIT v1.0) to Improve Madden–
6 Julian Oscillation Simulation in Boreal Winter”. Our deepest gratitude goes
7 to the editors and anonymous reviewers for their careful work and thoughtful
8 suggestions that have helped improve this revised manuscript substantially.
9 Additionally, all revision tracks of the manuscript are shown on Pages 18-78.

10

11 Sincerely,

12 Yung-Yao Lan, Huang-Hsiung Hsu, Wan-Ling Tseng, and Li-Chiang Jiang

13

14 Research Center for Environmental Changes

15 Academia Sinica

16 Taipei, Taiwan

17 Anonymous Referee #1

18 The reviewer comments are formatted in italics and the authors response to the comments
19 are formatted in bold.

20 Notation *RC1.P#* represents Reviewers Comment. Paragraph Number

RC1.general comment 1. This manuscript focuses on the development of a global coupled model on forecasting MJOs. The propagation of MJOs along the equator can significantly affect the precipitation in many regions, so the relevant model works have been devoted by many previous studies. I appreciate the authors' efforts for continuously improving the model forecast on this multi-scale weather system. Unfortunately, one thing I am trying to find in this manuscript is their unique contributions to the broad society. According to the title, it seems like the authors feeling confident in the usage of a 1-D SIT model for predicting MJOs. At the end of Introduction, the authors barely mention their motivation is to "examine how air-sea coupling can improve MJO simulation, especially that of the eastward propagation that has been poorly simulated in many climate models". Because many global coupled models use the 3-D ocean models, the connection between the title (1-D SIT model) and motivation (effect of air-sea coupling on MJO propagation) is unclear. Are the authors trying to convince readers the effect of 1-D model enough for the forecast? Or is there anything special inside the SIT model? The importance of air-sea coupling should have been extensively emphasized and agreed by many studies, and I do not think any ongoing research still trying to use a global model without ocean parts. Repeating the work may be meaningless. I believe their motivation needs to be rewritten.

21 **Response:**

22 **Thank you for your comment. We did not attempt to argue that the effect of 1-**
23 **D model enough for the forecast or simulation of the MJO; instead, we demonstrate**
24 **that a 1-D model with high vertical resolution in the first 10 meters could have**
25 **significant improvement. At the end, we suggested that using extra fine vertical**
26 **resolution in the first few tens of meters of 3-D ocean model could further improve**
27 **the simulation of the MJO. The improvement due to high resolution had been**
28 **demonstrated using ECHAM5 (Tseng et al. 2014). This study demonstrated the same**
29 **effect in CAM5 and suggested that the improvement is not model dependence. By**

30 coupling the 1-D SIT model to an AGCM different from Tseng et al. (2014), this
31 study confirms the scientific reproducibility for the improvement of MJO simulation
32 in modeling science.

33 We further explored the dependence of the improvement on various factors
34 such as coupling depth, frequency and domain that have not been explored in
35 previous studies, and we considered our results valuable insights for the MJO
36 simulations. We have revised the introduction and summary following the discussion
37 above to state more clearly the motivation and contribution of this study.

38

RC1, general comment 2. On the other hand, because the authors introduce some models unable to simulate the MJO propagation reliably, I believe one of their expected results is to improve the motion of MJOs (also mentioned in the motivation). However, it seems like the authors do not summarize how much improvements can be seen in their results, or which factors can affect the simulation the most. Because there are some interesting experiments inside this manuscript, such as the coupling regions, I do not think it should be rejected at this moment. However, the structure and quality of the manuscript are very poor. It is very close to my standard for rejection (too many things to be fixed). I only list some problems below, not all. I recommend a major revision for this work in this review.

39 **Response:**

40 Thanks for your suggestion. We summarized specifically in the original (and
41 revised) manuscript what are the better settings and important factors for
42 MJO simulations. We did not attempt to quantify the degree of improvement
43 because it is likely model dependent. Nevertheless, the improvement is evident in
44 many presented figures, e.g., the summarized figure (Figure 10 in revised
45 manuscript) shown in the Summary. The findings are as follows.

46 (1) Better resolving the fine structure of the upper-ocean temperature and therefore
47 the air–sea interaction led to more realistic intraseasonal variability in both SST

48 and atmospheric circulation.

49 **(2) An adequate thickness of the oceanic mixed layer is required to simulate a**
50 **delayed response of the upper ocean to atmospheric forcing and lower-**
51 **frequency fluctuation.**

52 **(3) Coupling the tropical eastern Pacific, in addition to the tropical IO and the**
53 **tropical WP, can enhance the MJO and facilitate the further eastward**
54 **propagation of the MJO to the dateline.**

55 **(4) Coupling the southern tropical ocean, instead of the norther tropical ocean, is**
56 **essential for simulating a realistic MJO.**

57 **(5) Stronger MJO variability can be obtained without considering the diurnal cycle**
58 **in coupling.**

59 **In general, upper-ocean vertical resolution and coupling with the southern**
60 **tropical would be of relative importance compared to other factors for the eastward**
61 **propagation of the MJO.**

62

RC1.P1 I do not think conducting an experiment for studying the difference between A-CTL and C-30NS is needed. In my point of view, we do not need another paper talking about the importance of coupling the upper ocean in the global models. In other words, please simplify the description in section 4.1. All you need is to show your coupled model sufficient for simulating the MJOs.

63 **Response:**

64 **The purpose of the comparison between A-CTL and C-30NS was not just to**
65 **demonstrate again that air-sea coupling could improvement MJO simulation. It also**
66 **served as the basis for the evaluation of sensitivity experiments that tested the key**
67 **ingredients for the improvement, in addition to showing that significant**
68 **improvement in MJO simulation can be achieved by simply coupling a numerically**
69 **efficient 1-D ocean model. For this purpose, the C-30NS experiment served as a**

70 **control coupled experiment is essential. We therefore prefer to retain this experiment**
71 **and relevant discussion, and hope for reviewer’s understanding.**

RC1.P2 I am super uncomfortable in the description of the ERA-interim results as the “observation”. It is impossible to measure the global wind at 850 hPa directly. Besides, the precipitation data looks like a post-processed product constituted by many satellite measurements. It happens to the OISST as well.

72 **Response:**

73 **Thank you for the suggestion. We modified the manuscript to mention directly**
74 **the name of data used for comparison, instead of referring them as observation.**
75 **Please see Page 11, lines 244, 247 and 260, Page 12, lines 272, 274 and 280 as well as**
76 **section 3 in the revised manuscript.**

77

RC1.P3 I think you need to reconsider your structure in the main text. There are some unnecessary and redundant materials that can be moved to the appendix or supplemental material. For example, you do not adjust the coefficients in the 1-D TKE closure scheme. Why do you need to describe the full equations? I also don’t care about the numbers of depths from lines 207 to 212 (yes, your units are wrong).

78 **Response:**

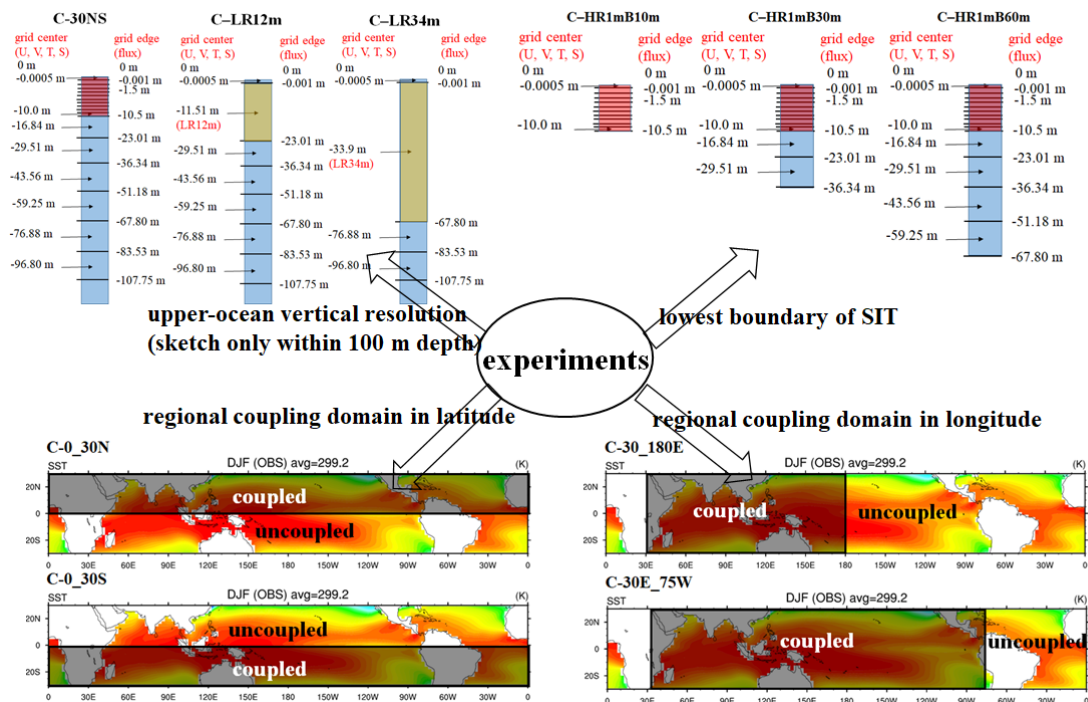
79 **The comments are well taken. We have removed the background information**
80 **about SIT and the units are corrected. Thank you for the reminder. Please see Page**
81 **7, lines 159-161 and Page 8, lines 162-180 in the revised manuscript.**

82

RC1.P4 You do not need section 3, because people like me already forget the details when we are reading sections since 4.2. Please reorganize the structure.

83 **Response:**

84 Thank you for the suggestion. We feel a brief discussion of experiment setups
 85 could be useful for completeness and the readers. Content of Section 3 is now moved
 86 to Section 2.3. The essence of each experiment was briefly mentioned again in other
 87 sections when relevant results were presented. Detailed information of each
 88 experiment is also presented in a table and in supplementary material.



89
 90 **Fig. RC1.1 Schematic diagram of a series of 30-year numerical experiments.**
 91

92 Table 1. List of experiments

Section	Category	Experiments	Description
3.1	Coupled or uncoupled	A-CTL	Standalone CAM5.3 forced by forced by the monthly mean Hadley Centre SST dataset version 1 climatology
		C-30NS (the control coupled experiment)	CAM5.3 coupled with SIT over the tropical domain (30°S–30°N), with 41 layers of finest vertical resolution (up to the seabed) and diurnal cycle; the frequency of CAM5 being exchanged with CPL is 48 times per day
3.2	Upper-ocean vertical resolution	C-LR12m	The first ocean vertical level starts at 11.5 m with 31 layers (beside SST and cool skin layer are 11.5 m, 29.5 m and 43.6 m up to the seabed)
		C-LR34m	The first ocean vertical level starts at 33.9 m with 28 layers (beside SST and cool skin layer are 33.9 m, 76.9 m and 96.8 m up to the seabed)
3.3	Lowest boundary of SIT	C-HR1mB10m	The lowest boundary of SIT has a depth of 10 m (model depth between 0 m and 10 m)
		C-HR1mB30m	The lowest boundary of SIT has a depth of 30 m (model depth between 0 m and 30 m)
		C-HR1mB60m	The lowest boundary of SIT has a depth of 60 m (model depth between 0 m and 60 m)
3.4	Regional coupling domain in latitude	C-0_30N	Coupled in the tropical northern hemisphere (0°N–30°N, 0°E–360°E)
		C-0_30S	Coupled in the tropical southern hemisphere (0°S–30°S, 0°E–360°E)
	Regional coupling domain in longitude	C-30_180E	Coupled in the Indo-Pacific (30°S–30°N, 30°E–180°E)
		C-30E_75W	Coupled over the Indian Ocean and Pacific Ocean (30°S–30°N, 30°E–75°W)
3.5	Absence of the diurnal cycle	C-30NS-nD	Absence of the diurnal cycle in C-30NS; the CAM5.3 daily atmospheric mean of surface wind, temperature, total precipitation, net surface heat flux, u-stress and v-stress over water trigger the SIT and daily mean SST feedback to atmosphere; the frequency of CAM5 is exchanged with CPL 48 times per day

93 **Experiment abbreviations: “A” means standalone AGCM simulation. “C” means the**

94 **CAM5.3 coupled to the SIT model.**

RC1.P5 I do not think that section 4.2 is discussing the vertical resolution... It is more like the thickness of the first layer. A lot of information is missing here. For example, what is your surface mixed layer depth? If the surface mixed layer depth is less than 30 m or 10 m, what do you do for C-LR34m C-LR12m? Are you trying to test the effect of a slab model in your global coupled model?

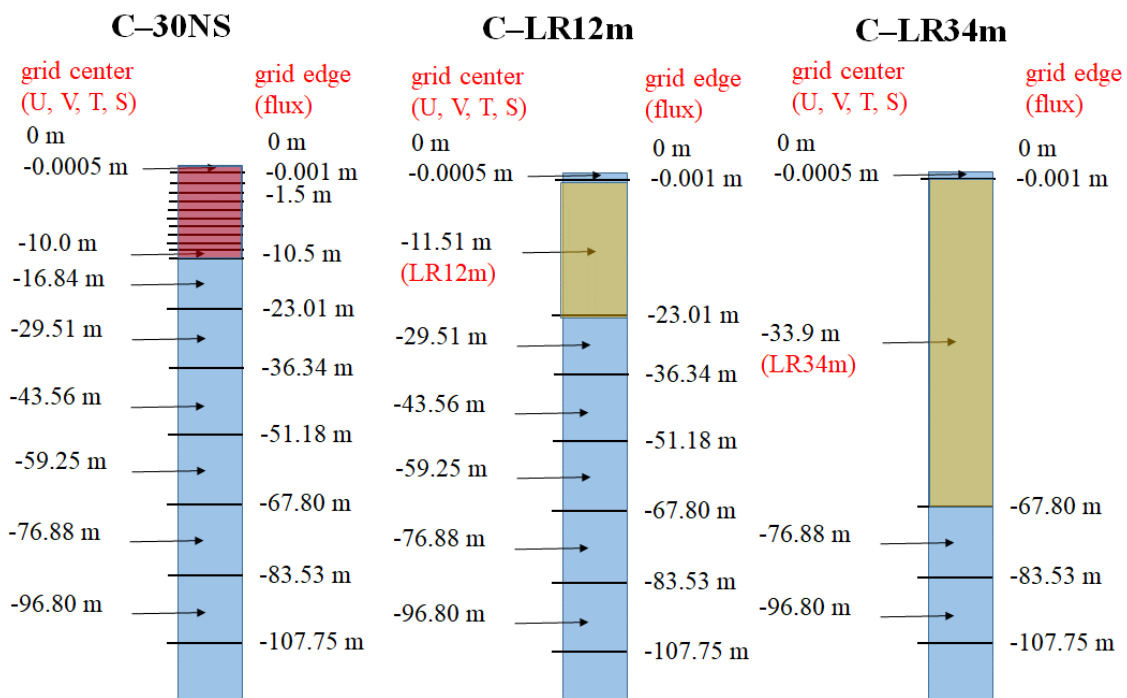
95 **Response:**

96 **At the first sight, it may seem as reviewer suggested “more like the thickness of**
97 **the first layer”.** Although we did not conduct different vertical resolutions within the
98 **first 10.5 meters, a comparison between three experiments did suggest that the extra**
99 **fine resolution in the first 10 meters contribute markedly to the improvement. With**
100 **a 41-layer vertical discretization in SIT model in the control experiment, 12 layers**
101 **are located above 10.5 m and 6 layers are located between 10.5 m and 107.8 m. High**
102 **vertical resolution is needed to catch detailed temporal variation of upper ocean**
103 **temperature. To test the effect of vertical resolution, we conducted C–LR12m and**
104 **C–LR34m without vertical discretization in the first layer (Figure RC1.2) to explore**
105 **the impacts of fine vertical resolution on MJO simulation. This comparison showed**
106 **that the simulated MJO became more realistic with increasing the upper-ocean**
107 **vertical resolution. This result has an important implication for the further**
108 **development of fully coupled GCM that often has the first oceanic layer as thick as**
109 **10 meters (e.g., POP2).**

110 **The SIT is not a simple slab model that usually has just one layer. As shown in**
111 **Figure RC1.2, the model is as thick as 107.8 meters and with several layers between**
112 **surface and model bottom. C–LR12m and C–LR34m have a first layer with grid**
113 **center at 12m and 34m, respectively, but have the same vertical discretization as in**
114 **the control experiment (C–30NS). We apologize for the confusion. Figure RC1.2 is**

115 now included in supplementary material. Readers can better understand the
 116 experiment setups.

117 SIT vertical grid mixing processes are based on eddy and molecular diffusivity
 118 for heat and momentum. The numerical treatments of C–LR12m (31 vertical layers)
 119 and C–LR34m (28 vertical layers) would still be computed from 0 m to seabed if the
 120 mixed layer depth was less than 30 m or 10 m.



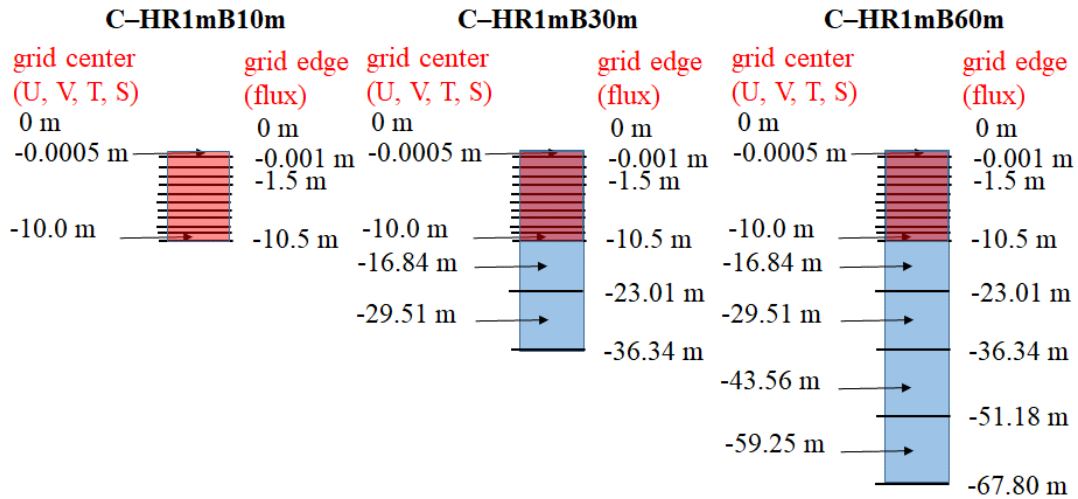
121
 122 **Fig. RC1.2** Diagram showing the vertical grid within 107.8 m in C–30NS, C–LR12m
 123 and C–LR34m.

RC1.P6 What do you mean “ocean bottom” at line 476? Is it seafloor?

125 **Response:**

126 Thank you for the question. “Ocean bottom” is misleading. It should be the bottom of
 127 the SIT as shown in Fig. RC1.3. Their ocean model bottoms are 10, 30, and 60 m,
 128 respectively, unless the seabed is shallower than the above depth. For example, if the
 129 seafloor of ocean grid is deeper than 67.8 m, this ocean grid of C–HR1mB60m would be

130 computed from 0 m to 59.3 m depth. IF the seafloor is 52 m depth in one of C–
 131 HR1mB60m ocean grid, this grid would only be computed from 0 m to 43.6 m depth.
 132 We have change “ocean bottom” to “ocean model bottom” in the manuscript. Please see
 133 Page 9, lines 211-213 and Page 19, line 464 in the revised manuscript.



134
 135 **Fig. RC1.3** Diagram showing the totally vertical grids in C-HR1mB10m, C–
 136 HR1mB30m and C-HR1mB60m.

137 *RC1.P7 Rewrite section 4.6. I cannot understand which fluxes you are using.*

138 **Response:**
 139 **Heat fluxes here were sensible and latent fluxes that were calculated based on**
 140 **simulated winds, moisture, and temperature. We have modified the text**
 141 **accordingly in revised manuscript. Thank you for the reminder. Please see Page 3,**
 142 **line 50 and Page 22, lines 539-542 in the revised manuscript.**

143 *RC1.P8 I cannot understand why the runs are 30 yr? What are the initial conditions of atmosphere and ocean? Is the forcing the same as the values in the real world from 1990-2020?*

144 **Response:**

145 A 30-year period is commonly used to define a current climate by the WMO
146 and IPCC (2013) and has been a common length adopted in climate simulations to
147 produce stable statistics. It is natural for us to adopt the same simulation strategy.

148 All simulations were driven by the same emission and annual cycle of SST for
149 30 years. The strategy is to evaluate the ability of model under the same conditions
150 without considering interannual variation. This approach has been widely adopted
151 in many studies (Delworth et al., 2006; Haertel et al., 2020; Subramanian et al.,
152 2011; Tseng et al., 2014; Wang et al., 2005). Based on the atmosphere component of
153 the Community Earth System Model version 1.2.2 (CESM1.2.2) framework
154 development, all experiments of CAM5–SIT were conducted under the
155 F_2000_CAM5 component set that provides the near-equilibrium climate responses.
156 The sea surface temperature (SST, HadSST1) used to force the model was the
157 climatological monthly means SST averaged over 1982–2001. The monthly SST was
158 linearly interpolated to daily SST fluctuation that forced the model. The SST in air–
159 sea coupling region was recalculated by SIT during the simulation, while the
160 prescribed annual cycle of SST was used in the areas outside the coupling region.

161 Atmospheric initial conditions and other external forcing such as CO₂, ozone,
162 and aerosol representing the climate around year 2000 were taken from the default
163 setting of F_2000_CAM5 component set that has been commonly used in present-
164 day simulation using CAM5 (e.g., He et al., 2017). Initial conditions were not needed
165 for the SST that was prescribed as lower boundary condition in the experiments.

166 This information is now included in the revised manuscript.

167

168 **References:**

169 Delworth, T. L., et al.: GFDL’s CM2 global coupled climate models.
170 Part 1: Formulation and simulation characteristics. *J. Climate*, 19,
171 643–674, <https://doi.org/10.1175/JCLI3629.1>, 2006.

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173 **Oscillations. *Climate*, 8, 24, <https://doi.org/10.3390/cli8020024>,**
174 **2020.**
- 175 **He, S., Yang, S. and Li, Z.: Influence of Latent Heating over the Asian**
176 **and Western Pacific Monsoon Region on Sahel Summer**
177 **Rainfall, *Sci Rep* 7, 7680, [https://doi.org/10.1038/s41598-017-](https://doi.org/10.1038/s41598-017-07971-6)**
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- 179 **IPCC: Annex III: Glossary [Planton, S. (ed.)]. In: *Climate Change***
180 **2013: The Physical Science Basis. Contribution of Working Group**
181 **I to the Fifth Assessment Report of the Intergovernmental Panel**
182 **on Climate Change [Stocker, T.F., D. Qin, G.-K. Plattner, M.**
183 **Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and**
184 **P.M. Midgley (eds.)]. Cambridge University Press, Cambridge,**
185 **United Kingdom and New York, NY, USA. 2013.**
- 186 **Subramanian, A. C., Jochum, M., Miller, A. J., Murtugudde, R., Neale,**
187 **R. B., and Waliser, D. E.: The Madden–Julian oscillation in**
188 **CCSM4, *J. Climate*, 24, 6261–6282, [https://doi.org/10.1175/JCLI-](https://doi.org/10.1175/JCLI-D-11-00031.1)**
189 **D-11-00031.1, 2011.**
- 190 **Tseng, W.-L., Tsuang, B.-J., Keenlyside, N. S., Hsu, H.-H. and Tu, C.-**
191 **Y.: Resolving the upper-ocean warm layer improves the simulation**
192 **of the Madden-Julian oscillation, *Clim. Dynam.*, 44, 1487–1503,**
193 **<https://doi.org/10.1007/s00382-014-2315-1>, 2014.**
- 194 **Wang, S. Saha, Pan, H. L., Nadiga, S. and White, G.: Simulation of**
195 **ENSO in the new NCEP Coupled Forecast System Model (CFS03).**
196 ***Mon. Wea. Rev.*, 133, 1574–1593,**
197 **<https://doi.org/10.1175/MWR2936.1>, 2005.**
- 198

199 Anonymous Referee #2

200 The reviewer comments are formatted in italics and the authors response to the comments
201 are formatted in bold.

202 Notation *RC2.P#* represents Reviewers Comment. Paragraph Number

203

RC2.P1 When describing model results, I would suggest to use “present tense” instead of “past tense” throughout the paper.

204 **Response:**

205 **Thanks for your kind reminders. In the revised manuscript, we describe the**
206 **model results in the present tense.**

207

RC2.P2 Line 37: move “in the year 2011” after “Dynamics of the MJO”?

208 **Response:**

209 **The modifications are part of “an overview of findings from a multi-nation field**
210 **campaign called Dynamics of MJO/Cooperative Indian Ocean Experiment on**
211 **Intraseasonal Variability in the Year 2011 (DYNAMO/CINDY2011)” in the revised**
212 **manuscript. Please see Page 3, lines 36-39 in the revised manuscript.**

213

RC2.P3 Line 68: may delete “and climate models”

214 **Response:**

215 **The revised manuscript removes the wordiness from this sentence. Please see**
216 **Page 4, line 71 in the revised manuscript.**

217

RC2.P4 Line 109: may change to "regarding the effect of air-sea coupling on the MJO"?

218 **Response:**

219 **To make reading easier, we corrected this statement as reviewer's suggestion.**

220 **Please see Page 6, lines 112-113 in the revised manuscript.**

221

RC2.P5 Line 273-274: Are U850 anomalies not averaged over 10N-10S, instead of just on the equator?

222 **Response:**

223 **This was indeed an unclear statement in the original manuscript. These**
224 **modifications are described as follows: "Figure 2d-f show the time evolution of**
225 **precipitation and U850 anomalies in Hovmöller diagrams, which represent lagged**
226 **correlation coefficients between the precipitation averaged over 10°S–5°N, 75–100°E**
227 **and the precipitation and U850 averaged over 10°N–10°S on intraseasonal**
228 **timescales". Please see Page 11, lines 251-255 in the revised manuscript.**

229

RC2.P6 In general, figure quality can be improved (many look blur with details difficult to identify), and some figures can be a bit enlarged.

230 **Response:**

231 **Thank you for the suggestions. Figure quality has been improved and size has**
232 **been enlarged.**

233

RC2.P7 Line 305: the "observed" MJO characteristics

234 **Response:**

235 **In response to the suggestion by another reviewer that ERA-Interim reanalysis**
236 **and NOAA post-processed satellite data (ERA-I/NOAA) should not be referred to as**
237 **“observation”, we have modified the description to “In summary, C–30NS produce**
238 **coherent and energetic patterns in the eastward-propagating intraseasonal**
239 **fluctuations of U850 and OLR in the tropical IO and WP that are generally**
240 **consistent with the MJO characteristics derived from ERA-I and NOAA OLR”.**
241 **Please see Page 12, lines 283-288 in the revised manuscript.**

RC2.P8 Line 467: in the first few meters “below the surface” allows?

242 **Response:**

243 **Thank you for the suggestion. It has been modified to “This result confirms the**
244 **finding reported by Tseng et al. (2014) that a higher vertical resolution in the upper**
245 **few meters below the sea surface allows for a faster air–sea interaction, thus**
246 **resulting in a more realistic simulation of the MJO”.** Please see Page 19, lines 454–
247 **456 in the revised manuscript.**

248

RC2.P9 Line 556: I didn't see faster MJO propagation when the diurnal coupling is turned off based on Fig. 9b. If compared to Fig. 5a, seems to me the MJO propagation speed is even faster in the C-30NS run with diurnal coupling. This is also related to the following comments on Fig. 10. Generally, I don't see significant differences in MJO simulations between the no-diurnal coupling experiment and the control experiment.

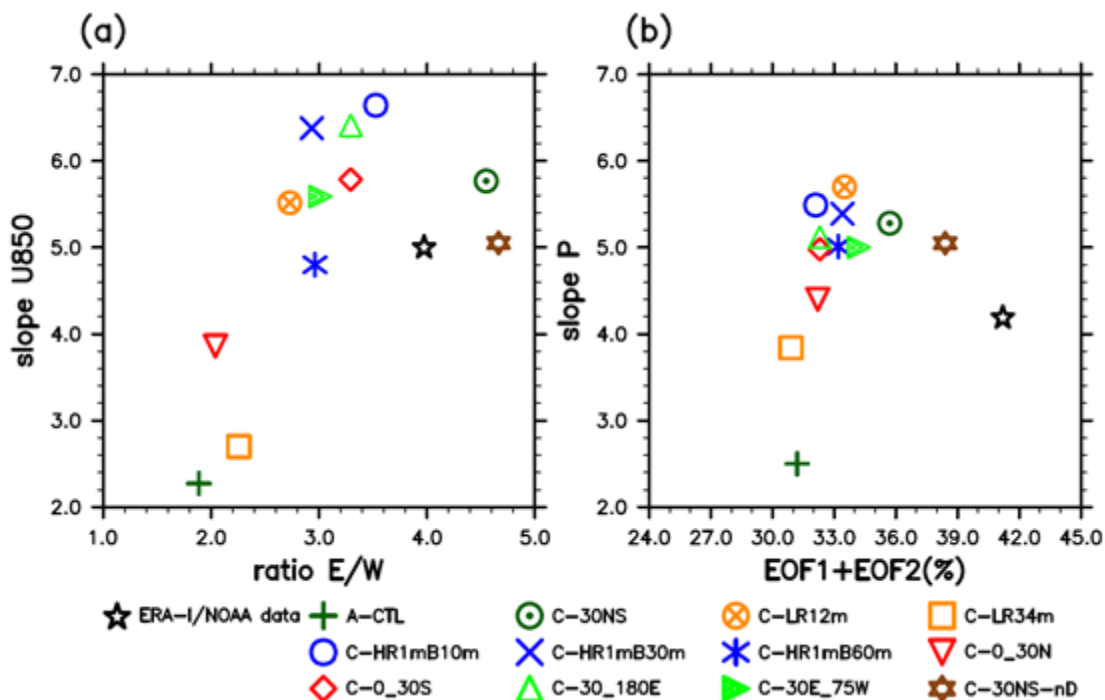
249 **Response:**

250 **Thank you for the comment. Fig. 9b should be compared with Fig. 2e instead of**
251 **Fig. 5b. A comparison by eye inspection is not easy to see the difference. Propagation**
252 **speeds estimated based on the Hovmöller diagrams of U850 and precipitation are**
253 **shown in Fig. 10. For U850, the MJO with diurnal cycle (marked by target sign) is**

254 faster than the one with no diurnal cycle (marked by Star of David sign). The
 255 difference is more evident for U850. We agree that the difference is very small for
 256 precipitation. The statement is modified as above in revised manuscript. Please see
 257 Page 22, lines 547-550 in the revised manuscript.

RC2.P10 Fig. 10: It would be better provide more details on how the U850 and P slopes are determined, e.g., based on which longitude bands. Also the colors for "C-30NS-nD" are not consistent between the figure and legend.

258 **Response:**
 259 **In the revised manuscript, we corrected the conflicting colors between the**
 260 **figures and the legend (Fig. RC2.1). Based on the maximum precipitation anomaly**
 261 **and zero values of U850 (indicating deep convection region), propagation speeds of**
 262 **U850 and precipitation are calculated from Hovmöller diagram on intraseasonal**
 263 **timescales between 60°E and 150°W. Please see Page 24, lines 585-588 in the revised**
 264 **manuscript.**



265
 266

267 **Fig. RC2.1 Scattered plots of various MJO indices in the ERA-I/NOAA data and 12**
268 **experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of**
269 **850-hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation**
270 **speed of U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and**
271 **RMM2 variance and eastward propagation speed of the filtered precipitation**
272 **anomaly derived from the Hovmöller diagram.**

273

274 **References:**

275 **Tseng, W.-L., Tsuang, B.-J., Keenlyside, N. S., Hsu, H.-H. and Tu, C.-Y.: Resolving**
276 **the upper-ocean warm layer improves the simulation of the Madden-Julian**
277 **oscillation, *Clim. Dynam.*, 44, 1487–1503, <https://doi.org/10.1007/s00382-014-2315-1>,**
278 **2014.**

1 **Embedding a One-column Ocean Model (SIT 1.06) in the**
2 **Community Atmosphere Model 5.3 (CAM5.3; CAM5–**
3 **SIT v1.0) to Improve Madden–Julian Oscillation**
4 **Simulation in Boreal Winter**

5

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7

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10 (hhhsu@gate.sinica.edu.tw)

11 **Abstract**

12 The effect of the air–sea interaction on the Madden–Julian Oscillation (MJO)
13 was investigated using the one-column ocean model Snow–Ice–Thermocline (SIT
14 1.06) embedded in the Community Atmosphere Model 5.3 (CAM5.3; hereafter
15 CAM5–SIT v1.0). The SIT model with 41 vertical layers was developed to simulate
16 sea surface temperature (SST) and upper-ocean temperature variations with a high
17 vertical resolution that resolves the cool skin and diurnal warm layer and the upper
18 oceanic mixed layer. A series of 30-year sensitivity experiments were conducted in
19 which various model configurations (e.g., coupled versus uncoupled, vertical
20 resolution and depth of the SIT model, coupling domains, and absence of the diurnal
21 cycle) were considered to evaluate the effect of air–sea coupling on MJO simulation.
22 Most of the CAM5–SIT experiments ~~exhibited~~exhibit higher fidelity than the CAM5-
23 alone experiment in characterizing the basic features of the MJO such as
24 spatiotemporal variability and the eastward propagation in boreal winter. The overall
25 MJO simulation performance of CAM5–SIT ~~benefited~~benefits from (1) better
26 resolving the fine vertical structure of upper-ocean temperature and therefore the air–
27 sea interaction that ~~resulted~~result in more realistic intraseasonal variability in both
28 SST and atmospheric circulation and (2) the adequate thickness ~~and vertical~~
29 ~~resolution~~ of ~~the oceanic~~ vertically-gridded ocean mixed layer. The sensitivity
30 experiments ~~demonstrated~~demonstrate the necessity of coupling the tropical eastern
31 Pacific in addition to the tropical Indian Ocean and the tropical western Pacific.
32 Coupling is more essential in the south than north of the equator in the tropical
33 western Pacific. Enhanced MJO could be obtained without considering the diurnal
34 cycle in coupling.

35 1. Introduction

36 The Madden–Julian Oscillation (MJO) is a tropical large-scale convection
37 circulation system that propagates eastward across the warm pool region from the
38 tropical Indian Ocean (IO) to the western Pacific (WP) on an intraseasonal time scale
39 (Madden and Julian, 1972). The MJO is not just an atmospheric phenomenon. The
40 findings ~~of the multinational joint~~[from a multi-nation](#) field campaign called the
41 [Dynamics of MJO](#)/Cooperative Indian Ocean Experiment on Intraseasonal Variability
42 in the Year 2011/~~Dynamics of the MJO~~ ([\(DYNAMO/CINDY2011\)](#); de Szoeke et al.,
43 2017; Johnson and Ciesielski, 2017; Pujiana et al., 2018; Yoneyama et al., 2013;
44 Zhang and Yoneyama, 2017) revealed vigorous air–sea coupling during the evolution
45 of the MJO (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et
46 al., 2010; Li et al., 2016; Li et al., 2020; Newman et al., 2009; Pei et al., 2018; Tseng
47 et al., 2014). During the suppression of convection, the MJO propagates eastward
48 with light winds, which is accompanied by enhanced downwelling shortwave
49 radiation absorption, weaker upward latent and sensible fluxes, less cloudiness and
50 precipitation, and weaker vertical mixing in the upper ocean, thus causing an increase
51 in the upper-ocean temperature. In the following active phase when deep convection
52 occurs, downwelling shortwave radiation is reduced and stronger westerly winds
53 enhance ~~evaporation and latent~~/sensible heat [flux \(LHF/SHF\)](#) loss from the ocean
54 surface, thus causing a decrease in the upper-ocean temperature (DeMott et al., 2015;
55 Madden and Julian, 1972, 1994; Zhang, 2005).

56 In addition to the ocean surface, the structure of the upper ocean also evolves.
57 Alappattu et al. (2017) reported that during an MJO event, surface flux perturbations
58 cause changes in the ocean thermohaline structure, thus affecting the mixed-layer
59 temperature. The following change in sea surface temperature (SST) can further affect
60 atmospheric circulation of the MJO. Variations in SST mediate [heat LHF and SHF](#)

61 exchange across the air–sea interface. Although SST responds to atmospheric forcing,
62 ~~itsthe~~ modulation of ~~surface heat fluxes~~LHF and SHF provides feedback to the
63 atmosphere (DeMott et al., 2015; Jiang et al., 2020). Li et al. (2008, 2020) proposed
64 that the phase relationship between SST and convection implies a delayed air–sea
65 interaction mechanism whereby a preceding active-phase MJO may trigger an
66 inactive-phase MJO through the delayed effect of the induced SST anomaly over the
67 IO. The reduction in SST caused by a preceding active-phase MJO may, in turn,
68 ~~yieldyields~~ delayed ocean feedback that initiates a suppressed-phase MJO, and vice
69 versa. The ~~nonnegligible~~by-no-means negligible effect of intraseasonal SST
70 variations caused by surface ~~heat~~ fluxes suggests that the ocean state can affect the
71 MJO (DeMott et al., 2015, 2019; Hong et al., 2017; Li et al., 2020).

72 Since its discovery almost five decades ago, the MJO remains a phenomenon
73 that poses a challenge to the capacity of state-of-the-art atmospheric general
74 circulation models (AGCMs) ~~and climate models~~ such as those participating in the
75 Coupled Model Intercomparison Project phase 5 and 6 to generate successful
76 simulations (Ahn et al., 2017, 2020; Bui and Maloney 2018; Jiang et al., 2020; Hung
77 et al., 2013; Kim et al., 2011).

78 Recent studies have reported that air–sea coupling improves the representation of
79 the MJO in numerical simulation (Bernie et al., 2008; Crueger et al., 2013; DeMott et
80 al., 2015; Li et al., 2016; Li et al., 2020; Tseng et al., 2014; Woolnough et al., 2007).
81 Tseng et al. (2014) indicated that effectively resolving the upper-ocean warm layer to
82 capture temperature variations in the upper few meters of the ocean could improve
83 MJO simulation. DeMott et al. (2015) suggested that the tropical atmosphere–ocean
84 interaction may sustain or amplify the pattern of the enhanced and suppressed
85 atmospheric convection of the eastward propagation. DeMott et al. (2019)
86 demonstrated that the improved MJO eastward propagation in four coupled models

87 resulted from enhanced low-level convective moistening for a rainfall rate of >5 mm
88 day⁻¹ due to air–sea coupling. In addition, numerical experiments have been
89 performed to investigate the effect of the diurnal cycle on the MJO (Hagos et al.,
90 2016; Oh et al., 2013), with the results suggesting that the strength and propagation of
91 the MJO through the Maritime Continent (MC) were enhanced when the diurnal cycle
92 was ignored.

93 Although previous studies have demonstrated the importance of considering the
94 air–sea interaction in a numerical model to improve MJO simulation, additional
95 details regarding model configuration (e.g., vertical resolution, [and](#) depth of the ocean
96 mixed layer, coupling domain, and absence of the diurnal cycle [in air-sea coupling](#))
97 have not been systematically explored. Tseng et al. (2014) coupled the one-column
98 ocean model Snow–Ice–Thermocline (SIT; Tu and Tsuang, 2005) to the fifth
99 generation of the ECHAM AGCM (ECHAM5–SIT) and indicated that a vertical
100 resolution of 1 m was essential to yield an improved simulation of the MJO with a
101 realistic strength and eastward propagation speed.

102 In this study, we coupled the SIT model to the Community Atmosphere Model
103 version 5.3 (CAM5.3; Neale et al., 2012)—the atmosphere component of the
104 Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013)—to
105 explore [how the air–sea interaction in AGCMs can improve improvement of MJO](#)
106 [simulation by coupling SIT model to another AGCM is reproducible in modeling](#)
107 [science](#). The CAM5.3, which has been widely used for the long-term simulation of the
108 climate system, could not efficiently simulate the eastward propagation of the MJO;
109 instead, the model simulated a tendency for the MJO to move westward in the IO
110 (Boyle et al., 2015, Jiang et al, 2015). By contrast, the updated CESM2 with the new
111 CAM6 could realistically simulate the MJO (Ahn et al., 2020; Danabasoglu et
112 al., 2020). Thus, the well-explored CAM5, which does not produce a realistic MJO,

113 appears to be a favorable choice for exploring ~~how~~ coupling a simple one-dimensional
114 (1-D) ocean model, such as the SIT model, can improve MJO simulation, as well as
115 the effects of model configuration: ~~on the degree of the improvement~~. Such a study
116 can also enhance our understanding regarding the ~~effect of air-sea coupling's effect~~
117 on the MJO.

118 ~~This study examined how air-sea coupling can improve MJO simulation,~~
119 ~~especially that of the eastward propagation that has been poorly simulated in many~~
120 ~~climate models~~. The MJO that exhibits a more substantial eastward propagation in
121 boreal winter than in other seasons was the targeted feature in this study. ~~We~~To
122 examine the sensitivity of MJO simulations to different configurations of air-sea
123 coupling, we conducted a series of 30-year numerical experiments by considering
124 various model configurations (e.g., coupled versus uncoupled, vertical resolution and
125 depth of the SIT model, coupling domains, and absence of the diurnal cycle) to
126 investigate the effect of air-sea coupling. This paper is organized as follows. Section
127 2 describes the data, ~~methodology for validation, the model used for simulation,~~ and
128 ~~model setup~~. ~~Section 3 presents the~~ the design of ~~coupled model~~ numerical
129 experiments. Section ~~4~~ 3 describes the effect of various ~~model~~ air-sea coupling
130 configurations on the MJO simulation determined through detailed MJO diagnostics.
131 ~~A discussion~~ Discussion and conclusions are provided in Section ~~5~~ 4.

133 ~~2.~~ 2. Data, methodology, and model description, and experimental designs

134 ~~2.1~~ 2.1 Observational data and analysis methods

135 2.1 Data and methodology

136 The data analyzed in this study include precipitation from the Global
137 Precipitation Climatology Project, (GPCP), outgoing longwave radiation (OLR) and
138 daily SST (Optimum Interpolation SST; OISST) from the National Oceanic and

139 Atmosphere Administration (NOAA), and parameters from the ERA-Interim ([ERA-I](#))
140 reanalysis (Adler et al., 2003; Dee et al., 2011; Lee et al., 2011; Reynolds and Smith,
141 1995; Schreck et al., 2018). The ~~initial~~ SST data for the SIT model were obtained
142 from the Hadley Centre Sea Ice and Sea Surface Temperature dataset (Rayner et al.,
143 2003; [HadISST1](#)) and the ocean subsurface data (40-layer climatological ocean
144 temperature, salinity, and currents) for nudging were retrieved from the National
145 Centers for Environmental Prediction (NCEP) Global Ocean Data Assimilation
146 System (GODAS; Behringer and Xue, 2004). ~~Ocean bathymetry was derived from the~~
147 ~~NOAA ETOPO1 data (Amante and Eakins, 2009) and interpolated into 1.9° × 2.5°~~
148 ~~horizontal resolution.~~

149 We used the CLIVAR MJO Working Group diagnostics package (CLIVAR,
150 2009) and a 20–100-day filter (Kaylor, 1977; Wang et al., 2014) to determine
151 intraseasonal variability. MJO phases were defined following the index (namely,
152 RMM1 and RMM2) proposed by Wheeler and Hendon (2004), which considers the
153 first two principal components of the combined near-equatorial OLR and zonal winds
154 at 850 and 200 hPa. The band-passed filtered data were used for calculating the index
155 and defining phases.

156

157 **2.2 Model description**

158 **2.2.1 CAM5.3**

159 The CAM5.3 used in this study has a horizontal resolution of 1.9° latitude ×
160 2.5° longitude and 30 vertical levels with the model top at 0.1 hPa. The MJO could
161 not be realistically simulated in the CAM5.3. Boyle et al. (2015) demonstrated that
162 although making the deep convection dependent on SST improved the simulation of
163 the MJO variance, it exerted a significant negative effect on the mean-state climate of
164 low-level cloud and absorbed shortwave radiation. By comparing the simulation

165 results of an uncoupled and coupled CAM5.3, Li et al. (2016) suggested that air–sea
 166 coupling and the convection scheme most significantly affected the MJO simulation
 167 in the climate model.

168

169 2.2.2 1-D high-resolution TKE ocean model

170 The 1-D high-resolution turbulence kinetic energy (TKE) ocean model SIT was
 171 used to simulate the diurnal fluctuation of SST and surface energy fluxes. (Lan et
 172 al., 2010; Tseng et al., 2014; Tu and Tsuang, 2005). The model was well verified
 173 against ~~surface and subsurface observations in~~ in situ measurements on board the R/V
 174 Oceanographic Research Vessel 1 and 3 over the South China Sea (Lan et al., 2010)
 175 and on R/V Vickers over the tropical WP (Tu and Tsuang, 2005). ~~Variations in sea-~~
 176 ~~water temperature (T), current (\vec{u}), and salinity (S) were determined (Gaspar et al.,~~
 177 ~~1990) using the following equations.~~

$$178 \quad \frac{\partial T}{\partial t} = (k_h + v_h) \frac{\partial^2 T}{\partial z^2} + \frac{R_{s\pi}}{\rho_{w0} c_w} \frac{\partial F}{\partial z} \quad (1)$$

$$179 \quad \frac{\partial \vec{u}}{\partial t} = -f \hat{k} \times \vec{u} + (k_m + v_m) \frac{\partial^2 \vec{u}}{\partial z^2} \quad (2)$$

$$180 \quad \frac{\partial S}{\partial t} = (k_h + v_h) \frac{\partial^2 S}{\partial z^2} \quad (3)$$

181 where $R_{s\pi}$ is the net solar radiation at the surface (W m^{-2}), $F(z)$ is the fraction
 182 (dimensionless) of $R_{s\pi}$ that penetrates to the depth z , and k_h and k_m are eddy diffusion
 183 coefficients for heat and momentum ($\text{m}^2 \text{s}^{-1}$), respectively. The value of k_h within the
 184 cool skin layer and that of k_m within the viscous layer were set to zero. Molecular
 185 transport is the only mechanism for the vertical diffusion of heat and momentum in
 186 the cool skin and viscous layer, respectively (Hasselmann, 1971; Grassl, 1976; Wu,
 187 1985). The parameters v_m and v_h are the molecular diffusion coefficients for
 188 momentum and temperature, respectively, ρ_{w0} is the density (kg m^{-3}) of water, and
 189 c_w is the specific heat capacity at constant pressure ($\text{J kg}^{-1} \text{K}^{-1}$). S is salinity (‰), \vec{u}

190 is the current velocity (m s^{-1}), f is the Coriolis parameter (dimensionless), and \hat{k} is
 191 the vertical unit vector (m s^{-1}).

192 The eddy diffusivity for momentum k_m is simulated using an eddy kinetic energy
 193 approach based on the Prandtl–Kolmogorov hypothesis as follows:

$$194 \quad k_m = c_k l_k \sqrt{E} \quad (3)$$

195 where $c_k = 0.1$ (Gaspar et al., 1990), l_k is the mixing length (m), and
 196 $E = 0.5(u'^2 + v'^2 + w'^2)$ is turbulent kinetic energy. The turbulent kinetic energy (E)
 197 is determined using a 1-D equation (Mellor and Yamada, 1982) as follows:

$$198 \quad \frac{\partial E}{\partial t} = \frac{\partial}{\partial z} k_m \frac{\partial E}{\partial z} + k_m \left(\frac{\partial \bar{u}}{\partial z} \right)^2 + k_h \frac{g}{\rho_w} \frac{\partial \rho_w}{\partial z} - c_\varepsilon \frac{E^{3/2}}{l_\varepsilon} \quad (4)$$

199 where $c_\varepsilon = 0.7$ (Gaspar et al., 1990), g is the gravity (m s^{-2}), ρ_w is the density of
 200 water (kg m^{-3}), and l_ε is the characteristic dissipation length (m). The mixing length
 201 (l_k) and dissipation length (l_ε) were determined following the approach reported by
 202 Gaspar et al. (1990). This approach is valid for determining the eddy diffusivity of
 203 both the ocean mixed layer and surface layer.

204 In the SIT model setting, the specific heat of sea water is a constant (4186.84 J
 205 $\text{kg}^{-1} \text{ K}^{-1}$), and the Prandtl number in water is defined as the ratio of momentum
 206 diffusivity to thermal diffusivity, which is a dimensionless number set as a constant
 207 (1.0). The kinematic viscosity is a constant ($1.14 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$; Paulson and
 208 Simpson, 1981), and the downward solar radiative flux into water with nine
 209 wavelength bands was determined following the approach reported by Paulson and
 210 Simpson (1981). The minimum turbulent kinetic energy is set to $10^{-6} \text{ m}^2 \text{ s}^{-2}$, and the
 211 zero displacement is set to 0.03 m.

212 The SIT model determines the vertical profiles of the temperature and

213 momentum of a water column from the surface down to the seabed, except in the
214 fixed ocean model bottom experiment. The default setting of vertical discretization
215 (e.g., in the control coupled experiment) is 41 layers with 12 layers in the first 10.5 m,
216 6 layers between 10.5 m and 107.8 m (Supplementary Information I). In the 1-D TKE
217 ocean model, temperature and salinity below 107.8 m, where vertical mixing is
218 greatly weakened, are nudged toward the climatological values of GODAS data until
219 4607 m. The extra high vertical resolution is needed to catch detailed temporal
220 variation of upper ocean temperature characterized by the warm layer and cool skin
221 (Tu and Tsuang, 2005). To account for the neglected horizontal advection heat flux,
222 the ocean is weakly nudged (by using a 30-day time scale) between 10.5 m and
223 ~~100~~107.8 m and strongly nudged (by using a 1-day time scale) below ~~100~~107.8 m
224 according to the NCEP GODAS climatological ocean temperature; ~~no~~. No nudging is
225 performed ~~for depths under 10 m. Considerably fine 41-layer vertical discretization is~~
226 ~~applied, with 12 layers in~~within the upper-most 10.5 m. ~~The resolution in the upper 10~~
227 ~~m is considerably fine to capture the upper-ocean warm layer, and the thickness of the~~
228 ~~first layer below sea surface is 0.05 mm to reproduce the ocean surface cool skin. The~~
229 ~~41 levels are at the surface and at the depths of 0.05 mm, 1.0 cm, 2.0 cm, 3.0 cm, 4.0~~
230 ~~cm, 5.0 cm, 6.0 cm, 7.0 cm, 8.0 cm, 9.0 cm, 10.0 cm, 16.8 cm, 29.5 cm, 43.6 cm, 59.2~~
231 ~~cm, 76.9 cm, 96.8 m, 119.4 cm, 145.3 cm, 174.9 cm, 208.9 m, 248.3 cm, 293.8 cm,~~
232 ~~346.8 cm, 408.4 cm, 480.2 cm, 564.3 cm, 662.6 cm, 777.9 cm, 913.1 cm, 1072.0 cm,~~
233 ~~1258.8 cm, 1478.6 cm, 1737.3 cm, 2042.0 cm, 2401.1 cm, 2824.4 cm, 3323.6 cm,~~
234 ~~3912.4 cm, and 4607.1 cm.~~ The SIT model calculates data two timesttwice for each
235 CAM5 time step (30 min; i.e., coupling 48 times per day).

236

237 2.3. Experimental setup design

238 Five setsA series of 30-year numerical experiments (Table 1) were conducted to

239 investigate the effect of the air–sea interaction on the MJO simulation. ~~In all~~
240 ~~simulations,~~The HadSST1 used to force the CAM5.3-coupled and uncoupled model
241 was ~~forced by observed~~the climatological monthly-SST ~~except~~-mean SST averaged
242 ~~over 1982-2001. The monthly SST was linearly interpolated to daily SST fluctuation~~
243 ~~that forced the model. The SST in the~~air–sea coupling region ~~where the SIT model~~
244 ~~determined the upper ocean temperature. The~~ was recalculated by the SIT during the
245 ~~simulation, while the prescribed annual cycle of SST was used in the areas outside the~~
246 ~~coupling region. Ocean bathymetry of the SIT was derived from the NOAA ETOPO1~~
247 ~~data (Amante and Eakins, 2009) and interpolated into 1.9° × 2.5° horizontal~~
248 ~~resolution.~~

249 All simulations were driven by the prescribed annual cycle of SST repeatedly for
250 30 years. The strategy is to evaluate the simulation capacity of climate models under
251 the same condition without considering interannual variation induced by SST. This
252 approach has been widely adopted in many studies (Delworth et al., 2006; Haertel et
253 al., 2020; Subramanian et al., 2011; Tseng et al., 2014; Wang et al., 2005).

254 Atmospheric initial conditions and external forcing such as CO₂, ozone, and
255 aerosol in near-equilibrium climate state around the year 2000 were taken from
256 F_2000_CAM5 component set based on CESM1.2.2 framework development. The
257 data has been commonly used in present-day simulations using CAM5 (e.g., He et al.,
258 2017).

259 The setup of five sets of experiment sets were conducted in this study are
260 described as follows.

261 (1) a standalone CAM5.3 simulation forced by ~~observed~~climatological monthly
262 SSTHadISST1 (A–CTL) and the control experiment of coupled CAM5–SIT–
263 v1.0 simulation (C–30NS; 41 vertical levels, coupling in the entire tropics
264 between 30°SN and 30°NS with a diurnal cycle);).

265 (2) ~~an upper~~Upper-ocean vertical resolution experiment (~~C-LR12m and C-LR34m~~):
266 ~~two coarse vertical resolution simulations with a thickness of 11.8 and 34.2 m,~~
267 ~~respectively, at the third layer;~~ (3) a lower ocean boundary experiment: ~~three~~Two
268 simulations with the ~~lower boundary of the SIT model~~first layer centering at 12
269 m (C-LR12m) and 34 m (C-LR34m). Further details of the experimental design
270 are shown in supplementary Fig. S1.

271 (3) Shallow ocean bottom experiment: Three simulations with the ocean model
272 bottom at 10 m (C-HR1mB10m), 30 m (C-HR1mB30m), and 60 m (C-
273 HR1mB60m); ~~);~~ (supplementary Fig. S2).

274 (4) ~~a regional~~Regional coupling experiment: Four simulations with ~~four~~the coupling
275 ~~domains, namely the latitudinal effect [region in 0°N–30°N (C-0_30N) and 0°S–~~
276 ~~30°S (C-0_30S)] and the longitudinal) for latitudinal effect [, and 30°E–180°E~~
277 ~~(C-30_180E) and 30°E–75°W (C-30E_75W)]~~ (see the) for longitudinal effect.
278 The coupling domain~~domains~~ are shown in Fig. 1); ~~and.~~

279 (5) ~~a~~A non-diurnal ~~coupling experiment: a nondiurnal~~ simulation (C-30NS-nD) that
280 considers the air-sea interaction ~~by only once a day, namely, calculating ocean-~~
281 ~~surface fluxes SHF and LHF~~ based on daily mean atmospheric variables and SST
282 (C-30NS-nD), ~~with.~~ To prevent the inconsistent local time in different regions,
283 the coupling frequency maintained at each grid point remained 48 times per day
284 to prevent the local time in different regions from being inconsistent when-
285 coupling once a day. Greenhouse gas concentrations were fixed at the using the
286 same daily means of atmospheric variables and SST at that particular point. In
287 contrast, the control simulation calculates air-sea fluxes 48 times a day based on
288 instantons values observed in the year 2000. A comparison between the non-
289 diurnal simulation and the control simulation reveals the effect of diurnal cycle
290 in air0sea coupling.

291 ~~The main codes of the SIT model in Fortran 90 are packaging in independent~~
292 ~~and original subprograms, with data and interface blocks in modules, that creates~~
293 ~~explicit interfaces between the CAM5.3 and the SIT model without a coupler. In~~
294 ~~addition, these modules contain dynamically allocable arrays and the independent I/O~~
295 ~~procedures of the SIT model. The coupler in the CAM5-SIT only brokers~~
296 ~~communication interchanges between the simulated SST and calculated oceanic~~
297 ~~surface fluxes.~~

299 4

300 **3. Results and Discussion**

301 The realistic simulation of the MJO has always been a major bottleneck in the
302 development of climate models. In this section, we demonstrate ~~how~~the sensitivity of
303 air-sea coupling experiments using a 1-D high-resolution ocean ~~mixed-layer~~ model
304 significantly improves the MJO simulation by the CAM5.3. The period between
305 November and April when the MJO is the most prominent was the targeted season in
306 this study.

308 **4.3.1 Improvement of MJO simulation through air-sea coupling**

309 This subsection compares the MJO simulation of the control coupled
310 ~~model~~experiment (C-30NS) with that of the uncoupled AGCM (A-CTL) forced by
311 climatological monthly SST of HadISST1 to demonstrate the effect of air-sea
312 coupling on the MJO simulation by coupling the SIT model to the CAM5.3 in the
313 tropical belt (30°N-30°S).

315 **4.3.1.1 Wavenumber-frequency spectra and eastward propagation characteristics**

316 A wavenumber-frequency spectrum (W-FS) analysis was conducted to quantify

317 propagation characteristics simulated in different experiments. The spectra
318 of unfiltered U850 in ~~observation~~ERA-I reanalysis, C-30NS, and A-CTL are shown
319 in Fig. 2a-c, respectively. The ~~coupled~~C-30NS ~~effectively simulated~~considering the
320 ~~observed~~coupling in 30°N-30°S realistically simulates eastward-propagating signals
321 at zonal wavenumber 1 and 30-80-day periods (Fig. 2a-b), although with a slightly
322 larger amplitude. compared with ERA-I. By contrast, the uncoupled A-CTL ~~did~~does
323 not ~~effectively simulate the observed characteristics~~yield realistic simulation; instead,
324 it ~~simulated~~simulates both eastward (wavenumber 1)- and westward (wavenumber 2)-
325 propagating signals with an unrealistic spectral shift to time scales longer than ~~the~~
326 ~~observed~~ 30-80-day period.

327 The major features of the simulated MJO propagation were examined. Figure
328 2d-f show the time evolution of ~~intraseasonal~~ precipitation and U850 anomalies in
329 Hovmöller diagrams; ~~specifically,~~ which represent lagged correlation coefficients
330 between the precipitation ~~at~~averaged over 10°S-5°N, 75-100°E ~~with~~and the ~~average~~
331 precipitation ~~at~~and U850 averaged over 10°N-10°S ~~and U850 anomalies along the~~
332 ~~equator~~on intraseasonal timescales. Figure 2d indicates eastward propagation for both
333 precipitation and U850 from the eastern IO to the dateline, with precipitation leading
334 U850 by approximately a quarter of a cycle. The Hovmöller diagram derived from the
335 C-30NS (Fig. 2e) exhibits the key characteristics of eastward propagation for both
336 precipitation and U850 and the relative phases between the two, although the
337 simulated correlation ~~was~~is slightly weaker than that ~~observed~~derived from GPCP
338 ~~and ERA-I~~. By contrast, the uncoupled A-CTL ~~simulated~~simulates intraseasonal
339 signals that ~~propagated~~propagate westward over the IO and ~~simulated~~ weak and much
340 slower eastward propagation crossing the MC and WP (Fig. 2f). The contrast between
341 Fig. 2e and 2f ~~demonstrated~~demonstrate that coupling a 1-D-~~ocean~~ TKE ocean model
342 alone could lead to a significant improvement in an AGCM in simulating the major

343 characteristics (e.g., amplitude, propagation direction and speed, and phase
344 relationship between precipitation and circulation) of the MJO.

345

346 **4.1.2 Coherence of the simulated MJO**

347 Cross-spectral analysis was ~~performed~~conducted to examine the coherence and
348 phase lag between tropical circulation and convection, which were plotted over the
349 tropical wave spectra. Figure 2g–i show the symmetric part (e.g., Wheeler and
350 Kiladis, 1999) of OLR and U850 in ~~observation~~ERA-I/NOAA data, C-30NS, and A-
351 CTL, respectively. We present only ~~a magnified display of the~~ spectra between ~~the~~
352 ~~frequency of~~ 0 to 0.35 day⁻¹ to highlight the MJO and equatorial Kelvin waves. The
353 most prominent ~~characteristic observed was~~characteristics seen in ERA-I/NOAA data
354 are the peak coherence at wavenumbers 1–3 and a phase lag of approximately 90° in
355 the 30–80-day band ~~for the symmetric component associated with the MJO~~ (Ren et
356 al., 2019; Wheeler and Kiladis 1999). The coupled experiment C-30NS
357 ~~simulated~~simulates strong coherence in this low-frequency band (wavenumber 1) and
358 ~~exhibited~~exhibits a realistic phase lag relationship between U850 and OLR
359 perturbations. However, the coherence at wavenumbers 2–3 for the 30–80-day period
360 simulated by C-30NS ~~was~~is weaker than that ~~observed. In addition, this in ERA-~~
361 I/NOAA data. This undersimulation was also noted in CCSM4 (Subramanian et al.,
362 2011), the uncoupled and coupled CAM4 and CAM5 (Li et al., 2016), and NorESM1-
363 M (Bentsen et al., 2013), which had a version of the CAM as an AGCM. In summary,
364 C-30NS ~~produced~~considering the coupling between 30°N–30°S produces coherent
365 and energetic patterns in the eastward-propagating intraseasonal fluctuations of U850
366 and OLR in the tropical IO and WP that are generally consistent with the MJO
367 characteristics. By contrast, the MJO characteristics in A-CTL ~~were~~are considerably
368 weaker than those in C-30NS and that ~~observed in~~ ERA-I/NOAA data.

369

370 **43.1.3 Horizontal and vertical structures of the MJO across the MC**

371 Figure 2j–o show the horizontal and vertical structures of the MJO when deep
372 convection is the strongest over the MC (i.e., phase 5). Figure 2j–l present the 20–
373 100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} , vector). C–30NS
374 realistically simulated the enhanced tropical convection over the eastern IO and the
375 Kelvin-wave-like easterly anomalies over the tropical WP despite undersimulating
376 the convection over the MC (Fig. 2j and 2k). By contrast, A–CTL failed to simulate
377 the enhanced convection over the eastern IO and MC; instead, it simulated
378 considerably weaker convection and easterly winds over the MC and WP,
379 respectively, than that [observed in ERA-I/NOAA data](#) (Fig. 2j and 2l).

380 Figure 2m–o show the vertical–longitudinal profiles of 20–100-day filtered
381 15°N – 15°S averaged vertical velocity (OMEGA; Pa s^{-1} , shaded) and moist static
382 energy (MSE) anomalies (W m^{-2} , contour) at phase 5. The spatial distribution of
383 negative OMEGA (ascending motion) anomalies generally agreed with OLR
384 anomalies in C–30NS simulation and [observation/NOAA data](#) over the Indo-Pacific
385 region (Fig. 2m and 2n). The [observed relatively](#) spatial relationship between
386 the ascending motion and MSE [was seen in ERA-I](#) is well simulated in [the coupled](#)
387 [experiment](#) C–30NS. For example, positive MSE anomalies on the eastern side of the
388 anomalous ascent [demonstrated/demonstrate](#) that the energy recharge process occurs in
389 advance of the MJO convection over the lower-tropospheric easterlies (Fig. [2j/2m](#) and
390 [2k/2n](#)), whereas negative MSE anomalies on the western side [revealed/reveal](#) that the
391 discharge process occurs during and after convection over the lower-tropospheric
392 westerlies. By contrast, this phase relationship, considered to be an essential feature
393 leading to the eastward propagation of an MJO (Hannah and Maloney 2014; Heath et
394 al., 2021), [was/is](#) not properly simulated in [the uncoupled experiment](#) A–CTL (Fig.

395 2o), in which the simulated weak negative OMEGA ~~wasis~~ located between negative
396 and positive MSE anomalies over weak lower-tropospheric wind anomalies and
397 associated with weak convection over the MC (Fig. 2l).

398 The ~~observed~~-temporal evolution [of NOAA OLR and ERA-I U850](#) (Fig. 3a)
399 ~~indicated~~[indicates](#) that convection originating in the western IO ~~wasis~~ enhanced
400 during its eastward propagation to the MC where it ~~reached~~[reaches](#) the peak
401 amplitude and then gradually weakened when continuing moving eastward to the
402 dateline. ~~In the coupled experiment~~ C-30NS, this evolution of convectively
403 coupled circulation ~~wasis~~ realistically simulated, although it ~~wasis~~ weaker than the
404 ~~observed~~-strength [seen in NOAA OLR](#) (Fig. 3b). Moreover, the split of convection
405 into two cells off the equator in phase 6 ~~wasis~~ appropriately simulated in C-30NS
406 (P6 in Fig. 3a and 3b). This split was caused by the topographic and land-sea
407 contrast effects of the MC (Tseng et al., 2017). Associated with the split ~~wasis~~ the
408 southward detouring of the anomalous convection during the passage of the MJO
409 through the MC (Kim et al. 2017, Tseng et al., 2017; Wu and Hsu, 2009). After the
410 passage of the MJO through the MC, the anomalous convection ~~stayed~~[stays](#) south of
411 the equator and ~~continued~~[continues](#) moving eastward to the dateline. In the
412 uncoupled A-CTL, the systematic eastward propagation of convectively coupled MJO
413 circulation from the IO into the MC ~~wasis~~ not simulated. Instead, the convection over
414 the MC ~~developed~~[develops](#) in situ at a later stage than that observed (e.g., P6 in Fig.
415 3c) and dissipated rapidly. The A-CTL ~~simulated~~[simulates](#) a pair of off-equator
416 convection anomalies in the eastern IO during phase 2 (P2 in Fig. 3c) that
417 ~~moved~~[moves](#) westward toward the central IO and were amplified at later stages (e.g.,
418 P4 in Fig. 3c). This unrealistic evolution explains the westward propagation tendency
419 observed in the Hovmöller diagram (Fig. 2f).

420

421 **43.1.4 Characteristics of air–sea interaction**

422 Figure 4a–c show the longitude–phase diagram in which the 20–100-day filtered
423 precipitation (shaded) and SST (contour) anomalies were averaged over 10°S–10°N to
424 determine the relationship between precipitation and SST fluctuations and to establish
425 a link between air–sea coupling and convection. The propagation of the enhanced
426 convection with positive SST anomalies to the east could be clearly seen in
427 [observation GPCP/OISST](#) and [the coupled experiment C–30NS](#) (Fig. 4a and 4b). The
428 highest SST anomaly (SSTA) [led](#) [leads](#) the maximum precipitation anomaly by
429 approximately 2–3 phases, and the SSTA [began](#) [begins](#) to decrease following the onset
430 of enhanced precipitation. The [observation revealed ERA-I and OISST data reveal](#) the
431 following relationship between [net](#) surface flux and SST: the decreased (increased)
432 [latent/sensible heat fluxes LHF/SHF](#) and increased (decreased) downward radiation
433 flux leading (lagging) the positive (negative) SSTA east (west) of anomalous deep
434 convection. This well-known lead–lag relationship reflecting the active air–sea
435 interaction in an MJO [was](#) [is](#) realistically simulated in [the coupled experiment C–](#)
436 [30NS](#) (not shown).

437 The contrast between C–30NS and A–CTL confirms the key role of the air–sea
438 interaction in contributing to the eastward propagation and demonstrates that the
439 eastward propagation simulation can be markedly improved by incorporating the air–
440 sea interaction process in the model, even when using a simple 1-D ocean model such
441 as SIT.

443 **43.1.5 Vertically tilting structure**

444 The warm SST was the key forcing that contributed to the boundary layer
445 convergence before the onset of deep convection (Li et al., 2020; Tseng et al., 2014).
446 Hence, the warmer upper ocean enhances the low-level atmospheric convergence and

447 then leads to enhanced low-level moisture and preconditioned deep convection and
448 eastward propagation. This moistening process associated with warm ocean surface
449 temperature ~~wasis~~ well simulated in [the coupled experiment C-30NS](#) but is not shown
450 here. Instead, we present the coupling of moisture divergence (MD) and [atmospheric](#)
451 circulation.

452 MD and zonal wind anomalies from the surface to the upper troposphere
453 averaged over the 10°S–10°N and 120–150°E region are shown in Fig. 4d–f to depict
454 the relationship between the vertically tilting structure of MD and zonal wind
455 anomalies. Note that the active convection occurred around phase 5. The coupled
456 experiment C-30NS (Fig. 4e) realistically ~~simulated~~[simulates](#) the ~~observed~~
457 deepening of coupled MD and zonal wind anomalies with time (Fig. 4d). An
458 evolution from the right to left seen in each panel of Fig. 4d–f ~~wasis~~ equivalent to
459 the eastward movement of vertically tilting circulation from the eastern IO into the
460 MC because of the eastward-propagating nature of the MJO. Figure 4d and 4e show
461 that in both ~~observation and~~[ERA-I reanalysis and the coupled experiment C-30NS](#),
462 the near-surface convergence (negative MD) occurring in the easterly anomalies
463 ~~led~~[lead](#) the convection and continued deepening up to 500 hPa from phase 2 to
464 phase 6 when the easterly anomalies ~~switched~~[switch](#) to westerly anomalies. By
465 contrast, this ~~observed~~ evolution of coupled MD–zonal wind anomalies ~~were~~[are](#) not
466 appropriately simulated in the uncoupled experiment (Fig. 4f). For example, a slow
467 deepening with time ~~wasis~~ observed in the MD anomaly but not in the zonal wind
468 anomaly that ~~exhibited~~[exhibits](#) a vertically decayed structure, suggesting that MD
469 and wind anomalies ~~were~~[are](#) not well coupled, as noted in ~~observation~~[the ERA-](#)
470 [I/NOAA data](#) and the [control](#) coupled experiment.

471 In ~~observation~~[the ERA-I reanalysis data](#), the negative near-surface MD
472 anomalies ~~appeared~~[appear](#) first under the easterly anomaly and ~~continued~~[continue](#)

473 deepening between the easterly and westerly anomalies. This development in the
474 phase relationship between MD and zonal wind anomalies in both [observation](#)[ERA-](#)
475 [I reanalysis data](#) and [the](#) coupled simulation is consistent with the well-known
476 structure embedded in the MJO, namely the near-surface convergence in the easterly
477 phase (i.e., a boundary-layer moistening process; Kiranmayi and Maloney 2011; Li
478 et al., 2020; Tseng et al., 2014), followed by the deep convection when transitioning
479 to the westerly phase. This close phase relationship that is key to the eastward
480 propagation [was](#) appropriately simulated in the coupled experiment but not in the
481 uncoupled experiment.

482

483 **43.1.6 Intraseasonal variance of precipitation**

484 Figure 4g–i present the spatial distribution of intraseasonal variance of
485 precipitation. In [observation](#)[the GPCP data](#), the maximum variance [was](#) noted over
486 the tropical eastern IO, MC, and tropical WP. The maximum variance south of the
487 island in the MC and the equator in the tropical WP reflects the southward shift of the
488 MJO deep convection when passing through the MC, partly due to the blocking effect
489 of mountainous islands and the higher moisture content over high SST south of the
490 equator in the region during boreal winter (Kim et al., 2017; Ling et al., 2019; Sobel
491 et al., 2008; Tseng et al., 2017; Wu and Hsu, 2009). Although the [control](#) coupled
492 experiment [failed](#)[fails](#) to simulate the variance maximum in the tropical eastern IO, it
493 appropriately [simulated](#)[simulates](#) the maximum variance over the tropical WP,
494 reflecting its ability to simulate the eastward propagation of the MJO through the MC.
495 By contrast, the uncoupled A–CTL experiment [simulated](#)[simulates](#) considerably
496 weaker intraseasonal variance in both the tropical eastern IO and the tropical WP.
497 Figure 4j–l are the 20–100-day filtered SST (K, shaded) and 850-hPa wind (m s^{-1} ,
498 vector) during MJO phase 7 when deep convection is the strongest over the dateline.

499 The [coupled experiment](#) C-30NS realistically ~~simulated~~[simulates](#) the negative SST
500 anomaly over the MC and WP when enhanced tropical convection passed through
501 the MC to the dateline, indicating the capability of the SIT model to reproduce the
502 ~~observed~~ SST anomaly by exchanging [surface fluxes](#) LHF/SHF between the
503 atmosphere and ocean. In A-CTL, no SST anomaly ~~was~~[is](#) evident because the model
504 was forced by prescribed climatological SST. The contrast seen in Fig. 4j-l
505 demonstrates the essential role of atmosphere-ocean coupling in shaping the MJO.
506 A delayed air-sea interaction mechanism was noted, where a preceding active-phase
507 MJO may trigger an inactive-phase MJO through the delayed effect of the induced
508 SST anomaly. In addition, the westerly winds at 850 hPa moving southward between
509 MC and WP ~~were~~[are](#) captured by [the control experiment](#) C-30NS and ~~were~~[are](#)
510 similar to the ~~observed~~[ERA-I reanalysis](#) winds (Fig. 4j and 4k). By contrast, A-CTL
511 forced by climatological monthly SST (<0.05 K phase⁻¹ anomaly) ~~failed~~[fails](#) to
512 simulate the southward westerly wind of the region extending from the MC to the
513 dateline (Fig. 4l).

514

515 **[4.23.2](#) Effect of upper-ocean vertical resolution**

516 In the [control](#) coupled [experiment](#) C-30NS, the vertical resolution in the upper
517 [10.5](#) m was 1 m. Tseng et al. (2014) suggested that fine vertical resolution is crucial
518 for appropriately simulating the eastward propagation. To investigate the effect of
519 vertical resolution, two ~~coarse-resolution~~ experiments [with a thicker first layer](#) were
520 conducted, ~~which involved increasing by moving the~~ [thickness](#) center of the [first ocean](#)
521 [layer \(under the cool skin layer\)](#) to 11.85 m (C-LR12m) and ~~34.233.9~~ m (C-LR34m),
522 respectively, ~~as opposed to the control experiment in which 10 layers were~~
523 [implemented in the first 10.5 meters \(see supplementary Fig. S1 for vertical](#)
524 [discretization\)](#). The W-FS spectral peaks of U850 in C-LR12m ~~were~~[are](#) concentrated

525 in eastward-propagating wavenumber 1 at three timescales (e.g., longer than 80 days,
526 30–80 days, and approximately 30 days; Fig. 5a). In C–LR34m, both eastward and
527 westward signals ~~were~~are simulated with the dominant W–FS timescale ~~that was~~
528 longer than 80 days (Fig. 5b). The appearance of both eastward and westward signals
529 at a lower frequency implied a stronger stationary tendency or weaker eastward-
530 propagating tendency. This result is consistent with that reported by Tseng et al.
531 (2014) that the [scientific reproducibility of coarser](#) ~~the~~ resolution ~~is,~~ [the causes a](#)
532 [longer intraseasonal periodicity and](#) slower ~~is the~~ eastward propagation of the MJO.

533 The effect of vertical resolution on the MJO simulation can be seen in the
534 Hovmöller diagram. The eastward propagation simulated in C–LR12m (Fig. 5c)
535 markedly weakened after crossing the MC ~~compared~~compare with that simulated in
536 [the control experiment C–30NS](#). (Fig. 2e). In C–LR34m, the quasi-stationary
537 fluctuation and westward propagation ~~were~~are simulated over the IO (Fig. 5d),
538 appearing similar to those in A–CTL. (Fig. 2f). The ~~observed~~ lead–lag relationship
539 between precipitation (zonal wind) and SST ~~was~~is poorly simulated in C–LR12m
540 (Fig. 5e) and even more poorly simulated in C–LR34m (Fig. 5f). This result confirms
541 the finding reported by Tseng et al. (2014) that a higher vertical resolution in the
542 [first upper](#) few meters [below the surface](#) allows for a faster air–sea interaction, thus
543 resulting in a more realistic simulation of the MJO.

544

545 **4.3 Effect of the lowest boundary of the SIT model**

546 The ocean is a vital energy source for the MJO. Although vertical resolution is
547 crucial for the efficiency of air–sea interaction, the thickness of the upper ocean that
548 interacts with the atmosphere represents the [ocean](#) heat content to substantiate the
549 MJO. A key question is how thick ~~an oceanic~~ [a vertically-gridded ocean](#) mixed layer
550 should be for a realistic simulation. To explore this issue, three experiments with a

551 model ocean with a 1-m vertical resolution and the ocean [model \(SIT\)](#) bottom at 10,
552 30, and 60 m, which included the top ~~11, 13~~ [12, 14](#), and ~~15~~ [16](#) levels, respectively, as
553 ~~listed shown~~ in [Section 2 supplementary Fig. S2 and Table 1](#), were conducted. The
554 spectra and the Hovmöller diagrams shown in Fig. 6a–c and Fig. 6d–f, respectively,
555 demonstrate that the thicker [ocean model](#) ~~ocean simulated~~ [simulates](#) a stronger MJO
556 with a frequency closer to [those in the observation and uncoupled experiment C–](#)
557 [30NS and ERA-I/NOAA data, and more realistic](#) eastward propagation ~~similar to that~~
558 ~~in C–30NS and observations.~~ In addition, the lead–lag relationship between
559 precipitation (wind) and SST [was](#) ~~is~~ more realistically simulated with increasing
560 thickness [of the ocean model](#) (Fig. 6g–i).

561 This result suggests that the thickness of the ~~upper ocean~~ [oceanic mixed layer](#) that
562 interacts with the atmosphere strongly affects the frequency of the simulated MJO. A
563 thinner (thicker) oceanic mixed layer is more quickly (slowly) recharged and
564 discharged through [heat SHF and LHF](#) exchange between the atmosphere and ocean
565 and therefore likely fluctuates at a faster (slower) tempo. The simulated periodicity is
566 therefore affected by the thickness of oceanic mixed layer (or [ocean](#) heat content).
567 Although ~~this study~~ [the result](#) suggests 60 m is an appropriate thickness to realistically
568 simulate the periodicity of the MJO, we did not intend to suggest the exact thickness
569 required for a proper simulation because it might depend on the model. The oceanic
570 mixed layer should be adequately thick to contain a certain amount of heat to generate
571 [appropriate](#) periodicity ~~that is close to that observed~~. However, the reason for the
572 intraseasonal time scale (i.e., 20–100 days) should be determined in future studies.
573 This finding does not suggest a constant periodicity because periodicity might be
574 affected by the time-varying structure of the atmosphere and ocean in the real world.

575

576 **4.3.4 Effects of coupling domains**

577 The MJO is a planetary-scale phenomenon. Given its large-scale circulation, the
578 air–sea interaction affecting the MJO likely occurs in a much larger area than the
579 region near the major convection anomalies. In this section, we discuss ~~whether and~~
580 ~~how~~ the effect of coupling domain affects aon model's ability to simulate the eastward
581 propagation speed and periodicity of the MJO. Four experiments considering the
582 coupling in various domains (C–0_30N, C–0_30S, C–30_180E, and C–30E_75W,
583 Fig. 1) were conducted ~~to investigate for~~ the ~~effect of the coupling domain on the~~
584 ~~eastward propagation speed and periodicity of the MJO in the simulation purpose.~~
585 The results are shown in Fig. 7. ~~The domains of the four experiments are shown in~~
586 Fig. 1. The C–0_30N that considered the coupling in the tropics between the equator
587 and 30°N ~~simulated~~simulates the least realistic MJO propagation in terms of W–FS
588 (Fig. 7a), zonal wind–precipitation coupling (Fig. 7e), and SST–precipitation (Fig.
589 7i) ~~of among~~ the four regional coupling experiments. By contrast, coupling only the
590 tropics between the equator and 30°S ~~simulated~~simulates a more realistic MJO in all
591 three aspects (i.e., spectrum in Fig. 7b, temporal evolution of precipitation/wind, and
592 precipitation/SST coupling in Fig. 7f and 7j). Figure. 8a indicates that the ~~positive~~
593 ~~precipitation~~negative OLR anomalies at phase 5 simulated in C–0_30N ~~stayed~~stays
594 mainly north of the equator and ~~did~~does not shift southward in the MC as
595 ~~observed~~revealed in ERA-I reanalysis and ~~in~~NOAA OLR and in the control
596 experiment C–30NS, and the convection over the IO ~~was~~is unrealistically weak. By
597 contrast, the southward detouring in the MC ~~was~~is realistically simulated in C–0_30S
598 that coupled only the tropical ocean between the equator and 30°S. This result
599 indicates that air–sea coupling occurring south of the equator is the key to producing
600 appropriate eastward propagation and detouring of the MJO through the MC. Without
601 this coupling, the C–0_30N experiment ~~failed~~fails to realistically simulate the
602 eastward propagation of the MJO. (Fig. 7e). This contrast can be attributed to the–

603 ~~observed~~ warmer ocean surface and higher moisture content found south of the
604 equator in boreal winter, which comprise a more favorable environmental condition
605 for air–sea coupling and convection–circulation coupling and the occurrence of the
606 MJO.

607 MJO simulations can be affected by air–sea coupling in the longitudinal domain.
608 Tseng et al. (2014) examined this effect by allowing coupling in different regions
609 (e.g., the IO, WP, and IO + WP) and found that the IO + WP coupling experiment
610 yielded the most satisfactory MJO simulation in terms of the zonal W–FS and
611 eastward propagation characteristics. In this study, we conducted sensitivity
612 experiments in which we allowed coupling in the tropics in two longitudinal domains,
613 namely 30°E–180°E (C–30_180E) and 30°E–75°W (C–30E_75W). The 30°E–180°E
614 region covered the IO and WP, and the 30°E–75°W region covered the IO and the
615 entire tropical Pacific. As shown in Fig. 7, the C–30E_75W experiment ~~simulated the~~
616 ~~MJO, yielding resultssimulates~~ more ~~similar to the observation and those in C–~~
617 ~~30NSrealistic MJO~~ than ~~tothe~~ C–30_180E ~~experiment~~, with stronger eastward
618 propagation and larger amplitudes in the spectrum (Fig. 7c and 7d) and Hovmöller
619 diagrams of precipitation/wind (Fig. 7g and 7h) and precipitation/SST (Fig. 7k and
620 7l). The simulated MJO in C–30E_75W propagated ~~furtherfarther~~ east than that in C–
621 30_180E, particularly ~~evident~~ in Fig. 7k and 7l. The spatial distributions of circulation
622 and ~~precipitationOLR~~ shown in Fig. 8c and 8d ~~indicatedindicate~~ the presence of a
623 stronger convective-coupled circulation system over the MC and WP in C–30E_75W.
624 These results suggest that coupling over the entire tropical IO and Pacific could
625 enhance the strength and eastward propagation of the MJO and encourage
626 ~~furtherfarther~~ propagation to the central Pacific.

627

628 **43.5 Diurnal versus no diurnal cycle in air–sea coupling**

629 ~~The~~Previous studies showed that the diurnal cycle in the MC can weaken the
630 MJO and its eastward propagation (Hagos et al., 2016; Oh et al., 2013). We conducted
631 an experiment to determine whether ~~the~~computing surface heat fluxes using daily
632 mean ~~value~~values, instead of instantaneous values, of atmospheric variables and SST
633 with the same coupling frequency would affect the MJO simulation. The coupling in
634 the model was ~~performed~~conducted through ~~heat flux~~the SHF and LHF exchange
635 between the atmosphere and ocean, ~~that were calculated based on simulated winds,~~
636 ~~moisture, and temperature.~~ As mentioned in Section 2.3, air-sea fluxes were
637 calculated twice for every time step (coupling 48 times per day, ~~in the control~~
638 ~~coupled experiment~~ (C-30NS) based on the instantaneous values of atmospheric and
639 oceanic variables. In the experiment in which the diurnal cycle was removed (C-
640 30NS-nD), air-sea fluxes were calculated as in C-30NS but were based on daily
641 ~~mean data~~means of both atmospheric variables and SST. Doing this removed certain
642 ~~diurnal effects of air-sea coupling.~~ The results shown in Fig. 9 reveal the enhancement
643 of the eastward-propagating signals in the MJO (e.g., a larger amplitude in spectrum;
644 Fig. 9a) and further eastward ~~and faster~~propagation (Fig. 9b) as well stronger
645 coupling between precipitation and SST (Fig. 9c) ~~in C-30NS-nD.~~ The overall
646 results are consistent with previous finding that the diurnal cycle tends to reduce the
647 amplitude ~~and propagation~~of the MJO, indicating that the weakening effect occurs
648 through air-sea coupling in addition to those processes in the atmosphere. Previous
649 studies have hypothesized that rapid interaction processes in the diurnal time scale
650 tend to extract energy from the MJO, thus reducing ~~both~~the strength and propagation
651 tendency of the MJO. However, a comparison between the spectra of C-30NS and C-
652 30NS-nD ~~indicated~~indicates that the experiment in which the diurnal cycle ~~was~~is
653 removed appeared to oversimulate the MJO with unrealistic strength, suggesting that
654 the effect of the diurnal cycle should be considered in the model to simulate a more

655 realistic MJO. However, whether this is a common result in different models remain
656 to be examined.

657

658

659 **5 4. Discussion and conclusions**

660 Air-sea coupling is a key mechanism for the successful simulation of the MJO
661 (Chang et al., 2019; DeMott et al., 2015; Jiang et al., 2015, 2020; Kim et al., 2010; Li
662 et al., 2016; Li et al., 2020; Newman et al., 2009; Tseng et al., 2014). This study,
663 following the study ~~conducted by~~ Tseng et al. (2014), demonstrated that coupling a
664 high-resolution 1-D TKE ocean model (namely the SIT model) to the CAM5, namely
665 the CAM5-SIT, significantly improved the MJO simulation over the standalone
666 CAM5. ~~The CAM5-SIT realistically simulated~~ By coupling SIT model to an AGCM
667 different from Tseng et al. (2014), this study confirms the scientific reproducibility for
668 the improvement of MJO simulation in modeling science. The CAM5-SIT
669 realistically simulates the MJO characteristics in many aspects (e.g., intraseasonal
670 periodicity, eastward propagation, coherence in the low-frequency band, detouring
671 propagation across the MC, tilting vertical structure, and intraseasonal variance in the
672 WP).

673 Systematic sensitivity experiments were conducted to investigate the effects of
674 the vertical resolution and the thickness of the 1-D ocean model, coupling domains,
675 and the absence of the diurnal cycle. The results of all the sensitivity experiments are
676 summarized in Fig. 10a and 10b, which show four common metrics for MJO
677 evaluation. The four metrics are the propagation speed of the MJO (estimated from
678 the U850 Hovmöller diagram as Fig. 2d-f) versus the power ratio of eastward- and
679 westward-propagating 30–80-day signals (E/W ratio, derived from the zonal W-FS)
680 in Fig. 10a– and the eastward propagation speed of the 30–80-day filtered

681 precipitation anomaly (estimated from the precipitation Hovmöller diagram) versus
682 the variance explained by RMM1 and RMM2 (i.e., the sum of the variance explained
683 by EOF1 and EOF2 based on Wheeler and Hendon, 2004) in Fig. 10b. Based on the
684 maximum precipitation anomaly and zero values of U850 (indicating deep convection
685 region), propagation speeds of precipitation and U850 were calculated from
686 Hovmöller diagrams between 60°E and 150°W. Overall, the control experiment C–
687 30NS simulates the most realistic MJO among all sensitivity experiments.

688 As for vertical resolution, we determined that the MJO simulation efficiency
689 decreased when the vertical resolution of the SIT model wasis decreased from 1 m to
690 12 or 34 m, as observedsimulated in the C–LR12m and C–LR34m experiments,
691 respectively. This finding, consistent with that reported by Tseng et al. (2014),
692 suggests that a finer vertical resolution more effectively resolves temperature
693 variations in the ocean warm layer and enhances atmospheric–ocean coupling, thus
694 enabling the upper ocean to more efficiently respond to atmospheric forcing by
695 providing sensible and latent heat fluxes; this results in superior synchronization
696 between the lower atmosphere and the upper ocean.

697 We observed that the thinnershallower ocean mixed-layermodel bottom could
698 speed up the eastward propagation of the MJO by producing more perturbations of
699 shorter periodicity (Fig. 6) and resultedresults in a weaker MJO. The shallower
700 oceanic mixed layer likely respondedresponds more quickly to atmospheric forcing
701 but providedprovides less sensible and latent heat fluxes to the atmosphere. Thus, the
702 MJO propagatedpropagates too fast with a weaker amplitude.

703 In the coupling domain sensitivity experiments, we investigated the essential
704 coupling domain required to simulate the realistic MJO and the effect of the domain
705 on the MJO simulation. Coupling only the northern tropics failedfails to simulate the
706 eastward propagation, whereas coupling only the southern tropics yieldedyields a

707 more realistic MJO simulation, although this simulation [was](#) inferior to coupling the
708 entire tropics. This contrast reveals the importance of the southern tropical ocean,
709 especially in the MC where high SST and moisture content are noted. Coupling in the
710 southern tropics is therefore essential for providing the energy required to maintain
711 the MJO and its eastward propagation. By contrast, the northern tropics are relatively
712 dry and cool. Coupling in this region is therefore less effective in improving MJO
713 simulation.

714 In the longitudinal domain sensitivity experiments, we found that the MJO
715 amplitude and the eastward extend of its eastward propagation [were](#) enhanced by
716 extending the eastern boundary of the coupling domain from the tropical eastern IO to
717 the tropical WP and further to the tropical eastern Pacific (Fig. 1). Further extension
718 of the domain to cover the tropical Atlantic [did](#) not exhibit further enhancement
719 (not shown). This result indicates that coupling in the tropical central and eastern
720 Pacific, although not the major MJO signal regions (i.e., from the tropical IO to the
721 tropical WP), still played a marked role in sustaining the MJO. We propose the
722 following to explain this effect. Because of the planetary scale of the MJO, the near-
723 surface easterly circulation to the east of the convection core often extended to the
724 tropical central and eastern Pacific where the climatological easterly prevailed. The
725 coupling beyond the WP increased low-level moisture transport and convergence to
726 the east of the convection and establish an environment suitable for the further
727 eastward propagation of the MJO. This effect was likely terminated by the landmass
728 of Central America when the tropical Atlantic was further included. Thus, a further
729 eastward extension of the coupling domain exerted little effect on further enhancing
730 the MJO. A diagnostic study on the effect of the longitudinal coupling domain is
731 being conducted, and the results will be reported in a following paper.

732 The diurnal versus nondiurnal cycle experiment [indicated](#) that

733 nondiurnal coupling tended to enhance eastward-propagating signals but slow [down](#)
734 the [eastward](#) propagation. (Fig. 10a–b). This result is consistent with the finding of
735 previous studies that the diurnal cycle in the atmosphere extracts energy from the
736 MJO, thus weakening it.

737 In this study, we demonstrated how air–sea coupling can improve the MJO
738 simulation in a GCM. The findings are as follows.

- 739 (1) Better resolving the fine structure of the upper-ocean temperature and therefore
740 the air–sea interaction [led](#) to more realistic intraseasonal variability in both
741 SST and atmospheric circulation.
- 742 (2) An adequate thickness of the oceanic mixed layer is required to simulate a delayed
743 response of the upper ocean to atmospheric forcing and lower-frequency
744 fluctuation.
- 745 (3) Coupling the tropical eastern Pacific, in addition to the tropical IO and the tropical
746 WP, can enhance the MJO and facilitate the further eastward propagation of the
747 MJO to the dateline.
- 748 (4) Coupling the southern tropical ocean, instead of the norther tropical ocean, is
749 essential for simulating a realistic MJO.
- 750 (5) Stronger MJO variability can be obtained without considering the diurnal cycle in
751 coupling.

752 Our study confirmed the effectiveness of air–sea coupling for improving MJO
753 simulation in a climate model and demonstrated how and where to couple. The
754 findings enhance our understanding of the physical processes that shape the
755 characteristics of the MJO.

756
757 *Code and data availability.* The model code of CAM5–SIT is available at
758 <https://doi.org/10.5281/zenodo.5510795>. Input data of CAM5–SIT using the

759 climatological Hadley Centre Sea Ice and Sea Surface Temperature dataset and
760 GODAS data forcing, including 30-year numerical experiments, are available at
761 <https://doi.org/10.5281/zenodo.5510795>.

762

763 *Author contributions.* HHH is the initiator and the primary investigator of the
764 Taiwan Earth System Model project. YYL is the CAM5–SIT model developer and
765 writes the majority part of the paper. WLT and LCJ assist in MJO analysis.

766

767 *Competing interests.* The authors declare that they have no conflict of interest.

768

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779

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1095 Table 1. List of experiments

Section	Category	Experiments	Description
43.1	Coupled or uncoupled	A-CTL	Standalone CAM5.3 forced by observed forced by the monthly mean Hadley Centre SST dataset version 1 climatology
		C-30NS (the control coupled experiment)	CAM5.3 coupled with SIT over the tropical domain (30° SN -30° NS), with 41 layers of finest vertical resolution (up to submarine topography the seabed) and diurnal cycle; the frequency of CAM5 being exchanged with CPL is 48 times per day
43.2	Upper-ocean vertical resolution	C-LR12m	The first ocean vertical level starts at 11.85 m with 31 layers (beside SST and cool skin layer are 11.5 m, 29.5 m and 43.6 m up to the seabed)
		C-LR34m	The first ocean vertical level starts at 34.2 m 33.9 m with 28 layers (beside SST and cool skin layer are 33.9 m, 76.9 m and 96.8 m up to the seabed)
43.3	Lowest boundary of SIT	C-HR1mB10m	The lowest boundary of SIT has a depth of 10 m (middle-grid model depth between 0 m and 10 m)
		C-HR1mB30m	The lowest boundary of SIT has a depth of 30 m (middle-grid model depth between 0 m and 30 m)
		C-HR1mB60m	The lowest boundary of SIT has a depth of 60 m (middle-grid model depth between 0 m and 60 m)
43.4	Regional coupling domain in latitude	C-0_30N	Coupled in the tropical northern hemisphere (0°N-30°N, 0°E-360°E)
		C-0_30S	Coupled in the tropical southern hemisphere (0°S-30°S, 0°E-360°E)
	Regional coupling domain in longitude	C-30_180E	Coupled in the Indo-Pacific (30° SN -30° NS , 30°E-180°E)
		C-30E_75W	Coupled over the Indian Ocean and Pacific Ocean (30° SN -30° NS , 30°E-75°W)
43.5	Absence of the diurnal cycle	C-30NS-nD	Absence of the diurnal cycle in C-30NS; the CAM5.3 daily atmospheric mean of surface wind, temperature, total precipitation, net surface heat flux, u-stress and v-stress over water trigger the SIT and daily mean SST feedback to atmosphere; the frequency of CAM5 is exchanged with CPL 48 times per day

1096 [The CAM5.3 AGCM is used in all experiments](#)

1097 Experiment abbreviations: “A” means standalone AGCM simulation. “C” means the
1098 CAM5.3 coupled to the SIT model.

1099 **Figure List**

1100 **Figure 1.** Schematics of coupled and uncoupled domains in the regional coupling
1101 experiment: (a) C–30NS, (b) C–0_30N, (c) C–0_30S, (d) C–30_180E, and (e) C–
1102 30E_75W. The background is the climatological mean SST in December–February (DJF).
1103

1104 **Figure 2.** (a)–(c) Zonal wavenumber–frequency spectra for 850-hPa zonal wind averaged
1105 over 10°S–10°N in boreal winter after removing the climatological mean seasonal cycle.
1106 Vertical dashed lines represent periods at 80 and 30 days, respectively. (d)–(f) Hovmöller
1107 diagrams of the correlation between the precipitation averaged over 10°S–5°N, 75–100°E
1108 and the intraseasonally filtered precipitation (color) and 850-hPa zonal wind (contour)
1109 averaged over 10°N–10°S. (g)–(i) Zonal wavenumber–frequency power spectra of
1110 anomalous OLR (colors) and phase lag with U850 (vectors) for the symmetric component
1111 of tropical waves, with the vertically upward vector representing a phase lag of 0° with
1112 phase lag increasing clockwise. Three dispersion straight lines with increasing slopes
1113 represent the equatorial Kelvin waves (derived from the shallow water equations)
1114 corresponding to three equivalent depths, 12, 25, and 50 m, respectively. (j)–(l)
1115 Composites of 20–100-day filtered OLR (W m^{-2} , shaded) and 850-hPa wind (m s^{-1} ,
1116 vector) for MJO phase 5 when deep convection is the strongest over the MC and 850-hPa
1117 wind, with the reference vector (1 m s^{-1}) shown at the top right of each panel, and (m)–
1118 (o) 15°N–15°S averaged p-vertical velocity anomaly (Pa s^{-1} , shaded) and moist static
1119 energy anomaly (W m^{-2} , contour, interval 0.003); solid, dashed, and thick-black lines
1120 represent positive, negative, and zero values, respectively. The number of days used to
1121 generate the composite is shown at the bottom right corner of each panel. (a), (d), (g), (j),
1122 and (m) are from [observations; the ERA-Interim and NOAA post-processed data \(abbr. ERA-I/NOAA\)](#);
1123 (b), (e), (h), (k), and (n) are from the [control experiment](#) C–30NS; and
1124 (c), (f), (i), (l), and (o) are from the A–CTL.

1125
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1128 [coupled experiment](#) C–30NS, and (c) [the uncoupled experiment](#) A–CTL. The unit of the
1129 reference vector shown at the top right corner of each panel is m s^{-1} , and the number of
1130 days used for the composite is shown at the bottom right corner of each panel.

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1133 precipitation (mm day^{-1} , shaded) and SST anomaly (K, contour) averaged over 10°N–
1134 10°S from phase 1 to 8. Contour interval is 0.03; solid, dashed, and thick-black lines
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1136 Hovmöller diagrams of 20–100-day moisture divergence (shading, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and

1137 zonal wind (contoured, m s^{-1}) averaged over 10°N – 10°S , 120 – 150°E ; solid, dashed, and
 1138 thick-black curves are positive, negative, and zero values, respectively. (g)–(i) Variation
 1139 of 30–60-day filtered precipitation in the eastern IO and the WP in observation (color
 1140 shading), and the ratio between intraseasonal and total variance (contoured) and (j)–(l)
 1141 composites 20–100-day filtered SST (K, shaded) and 850-hPa winds (m s^{-1} , vector) at
 1142 phase 7 when deep convection was the strongest over the dateline. Reference vector
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 1144 [observation ERA-I/NOAA data](#); (b), (e), (h), and (k) are from the [control coupled](#)
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1147 **Figure 5.** (a)–(b) Same as in Fig. 2(a) but for the C–LR12m and C–LR34m. (c)–(d)
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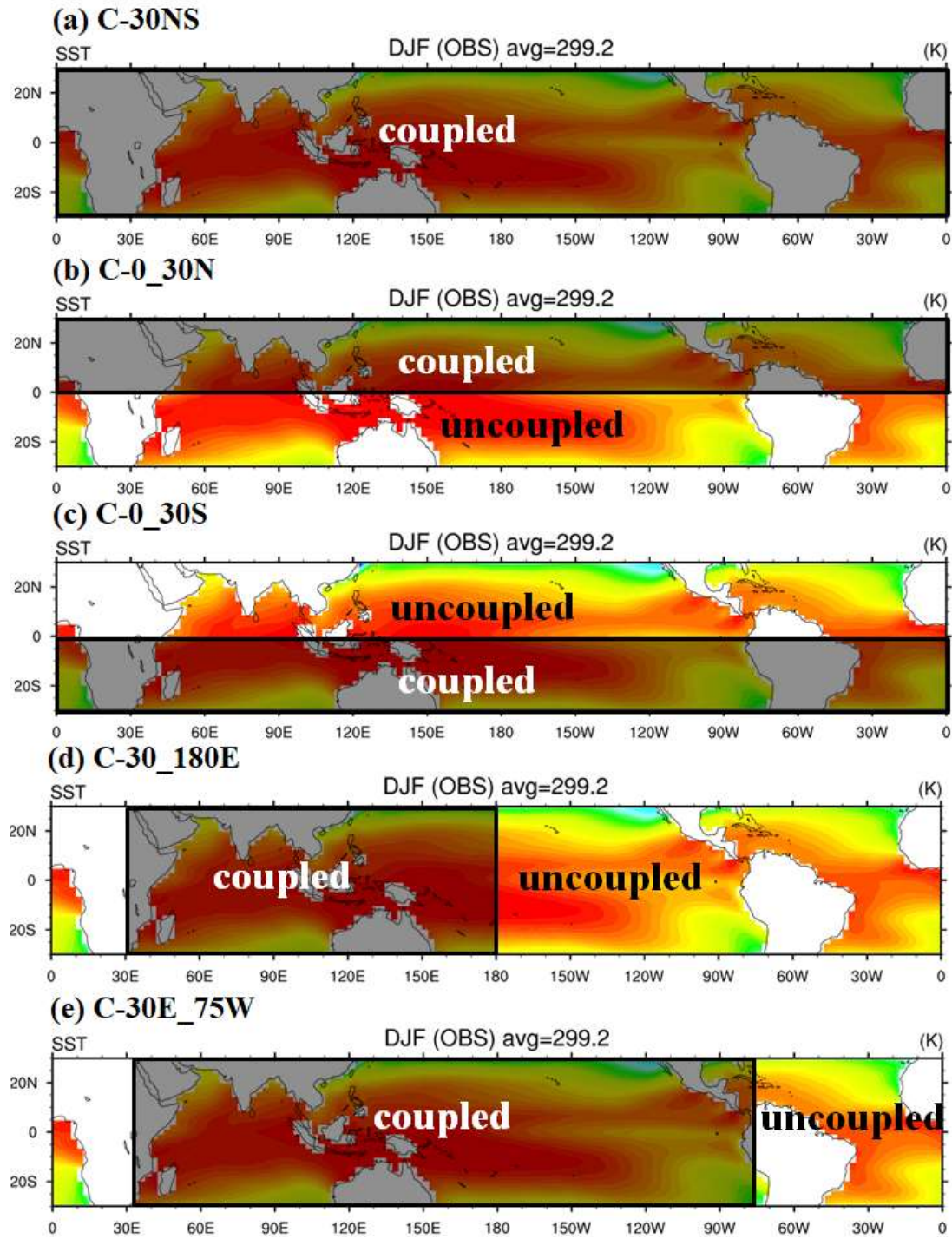
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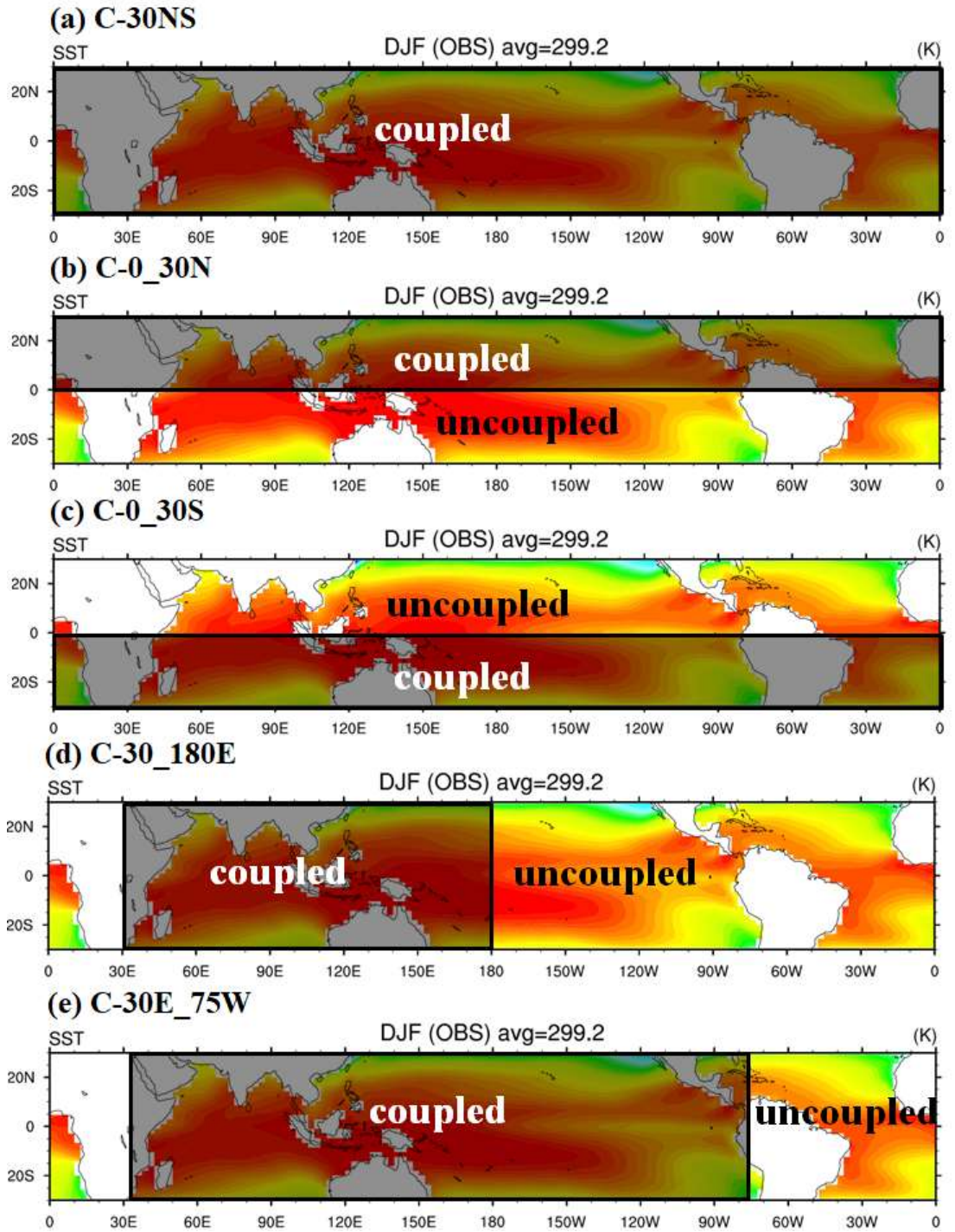
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1162 **Figure 10.** Scattered plots of various MJO indices in [the ERA-I/NOAA data](#)
 1163 and 12 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3
 1164 of 850-hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed
 1165 of U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2
 1166 variance and eastward propagation speed of the filtered precipitation anomaly derived
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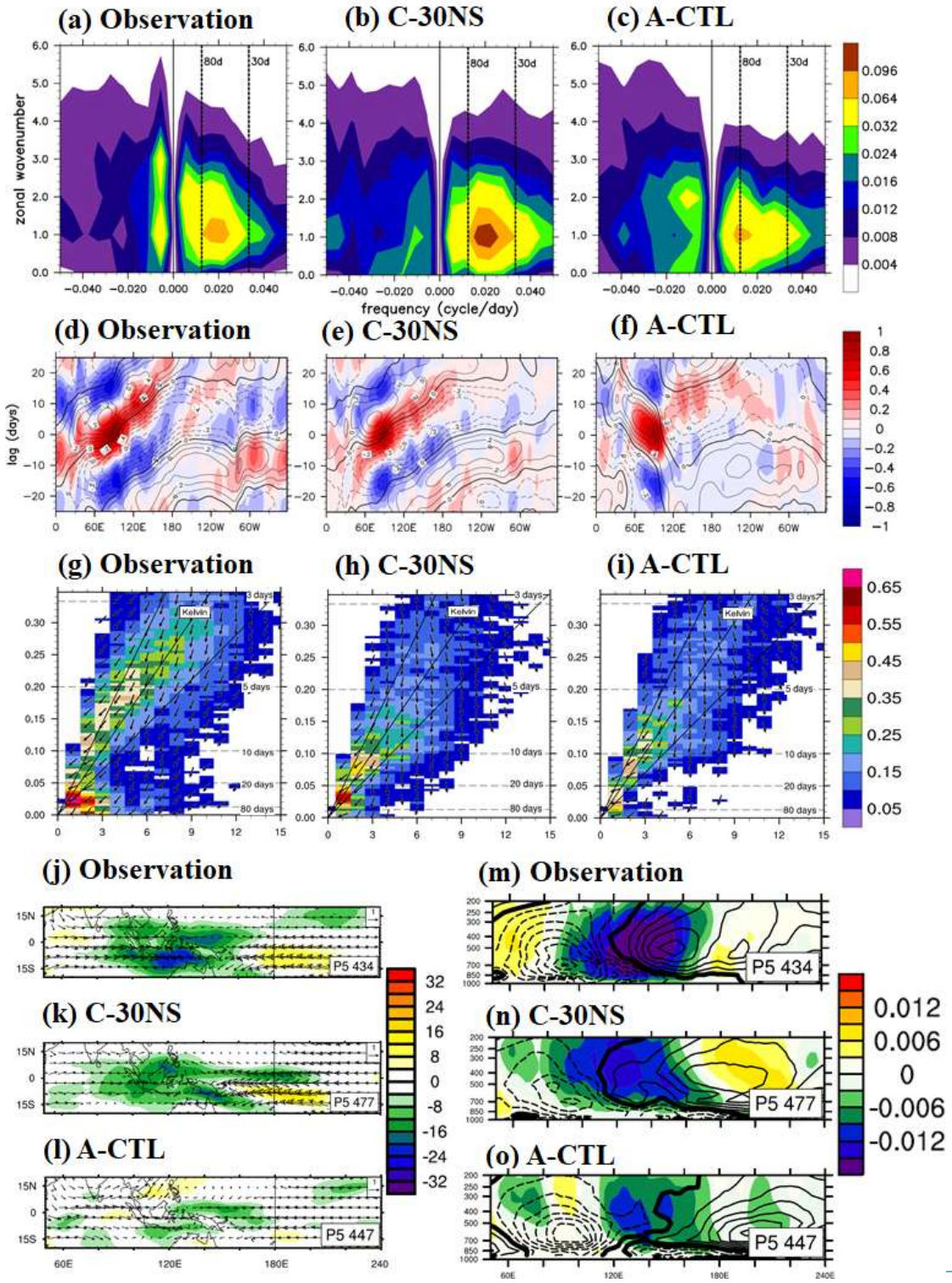
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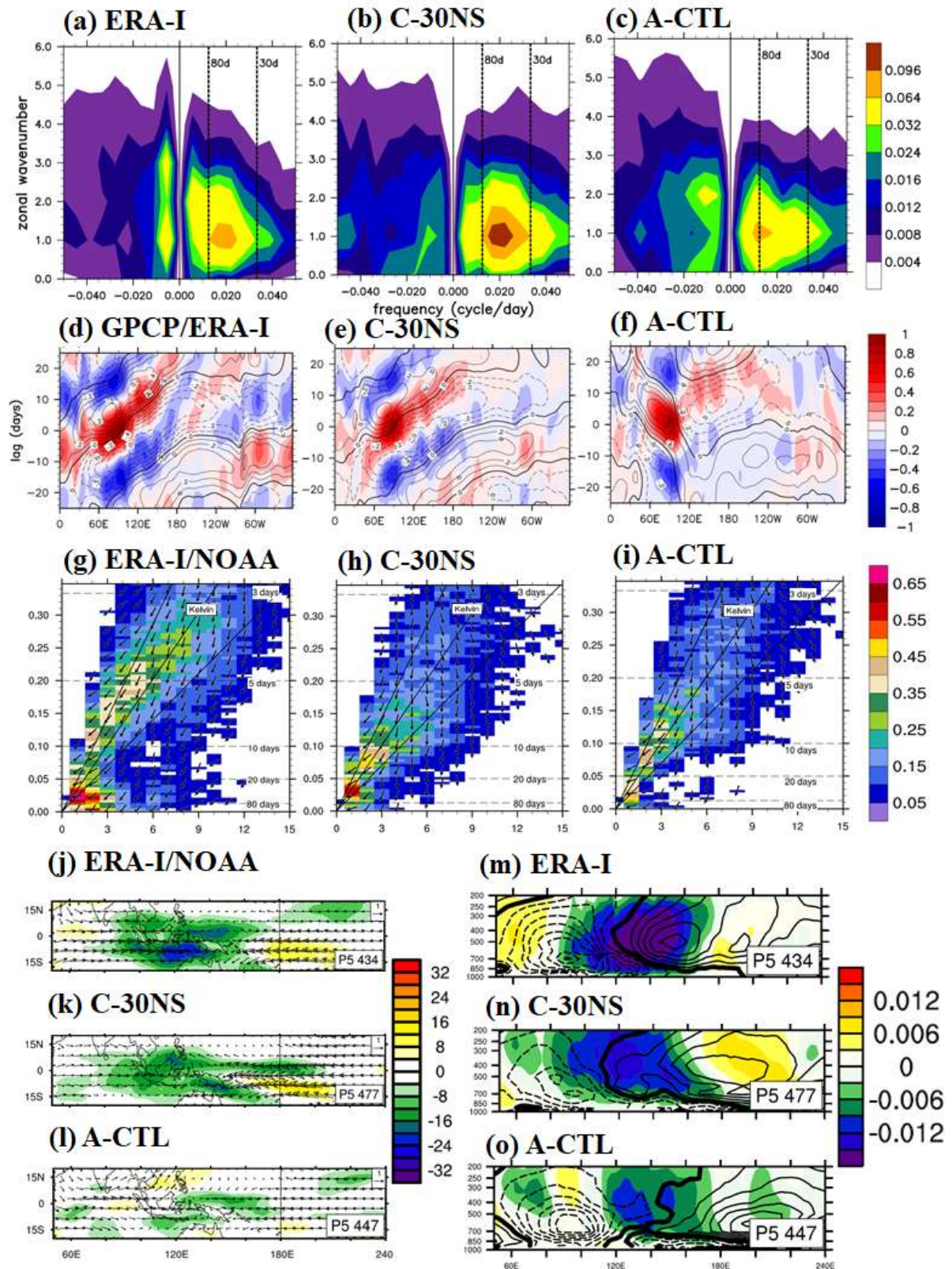
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 1173 30E_75W. The background is the climatological mean SST in December–February (DJF).



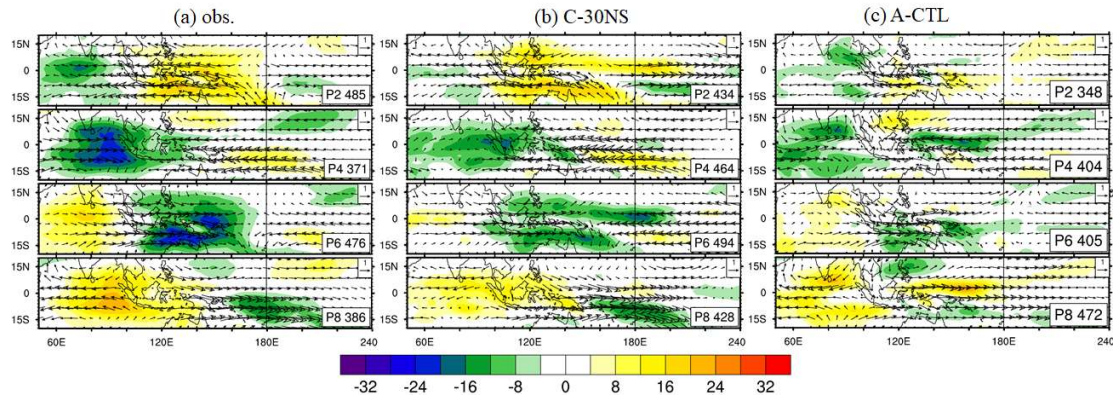
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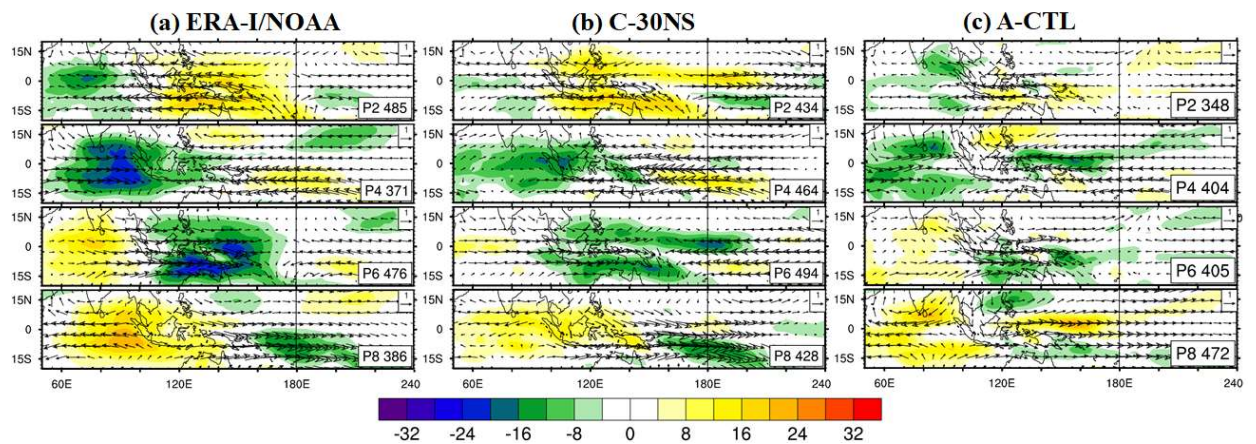
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1196 [ERA-I/NOAA\)](#); (b), (e), (h), (k), and (n) are from the [control experiment](#) C–30NS; and
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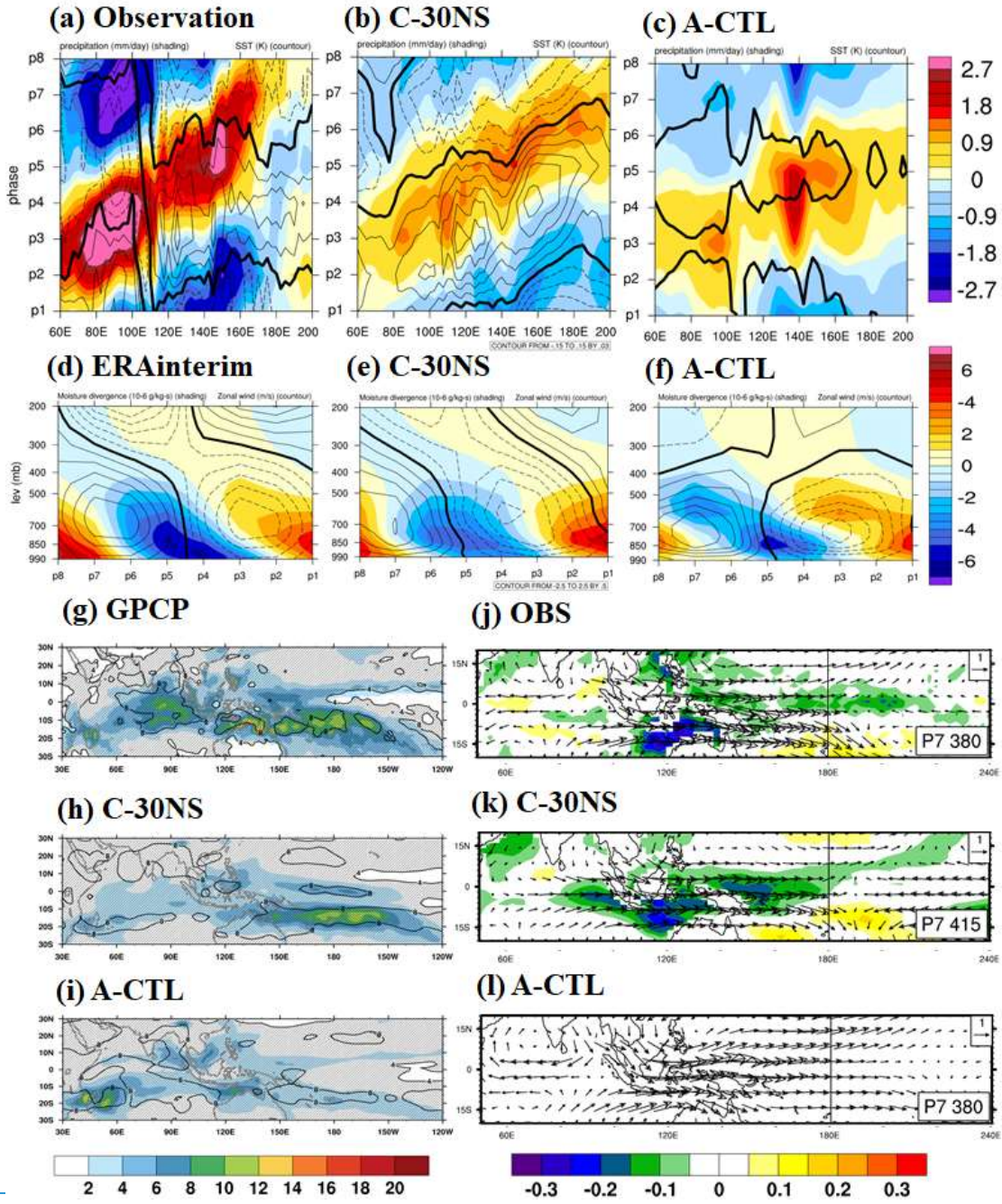
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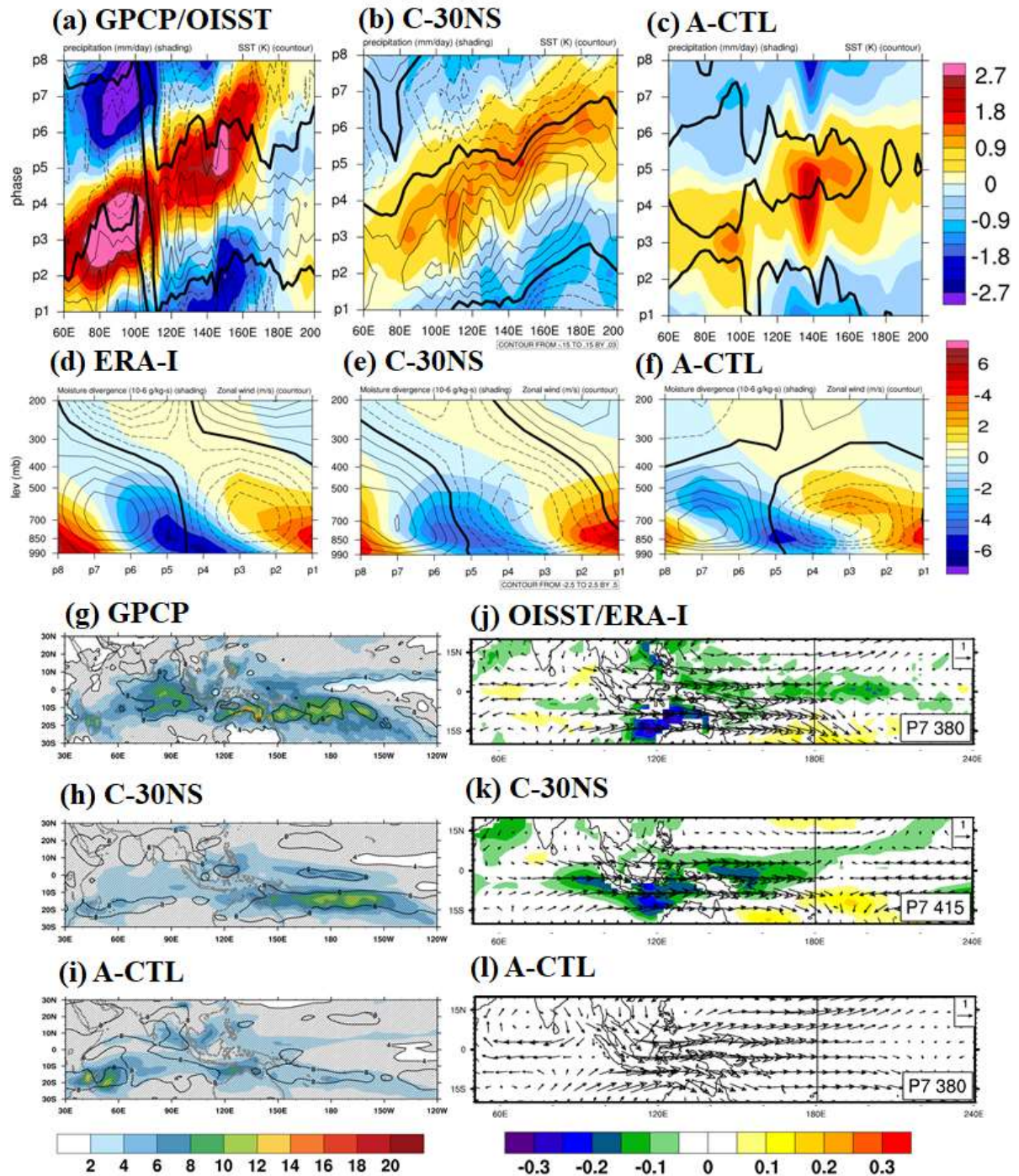
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 1204 reference vector shown at the top right corner of each panel is m s^{-1} , and the number of
 1205 days used for the composite is shown at the bottom right corner of each panel.
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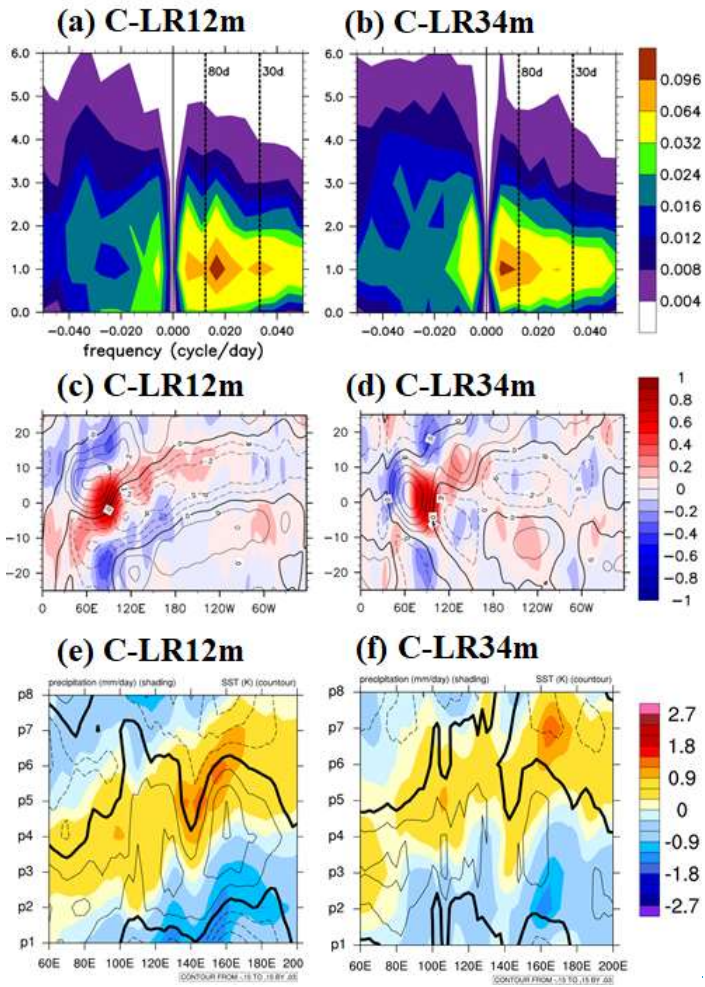
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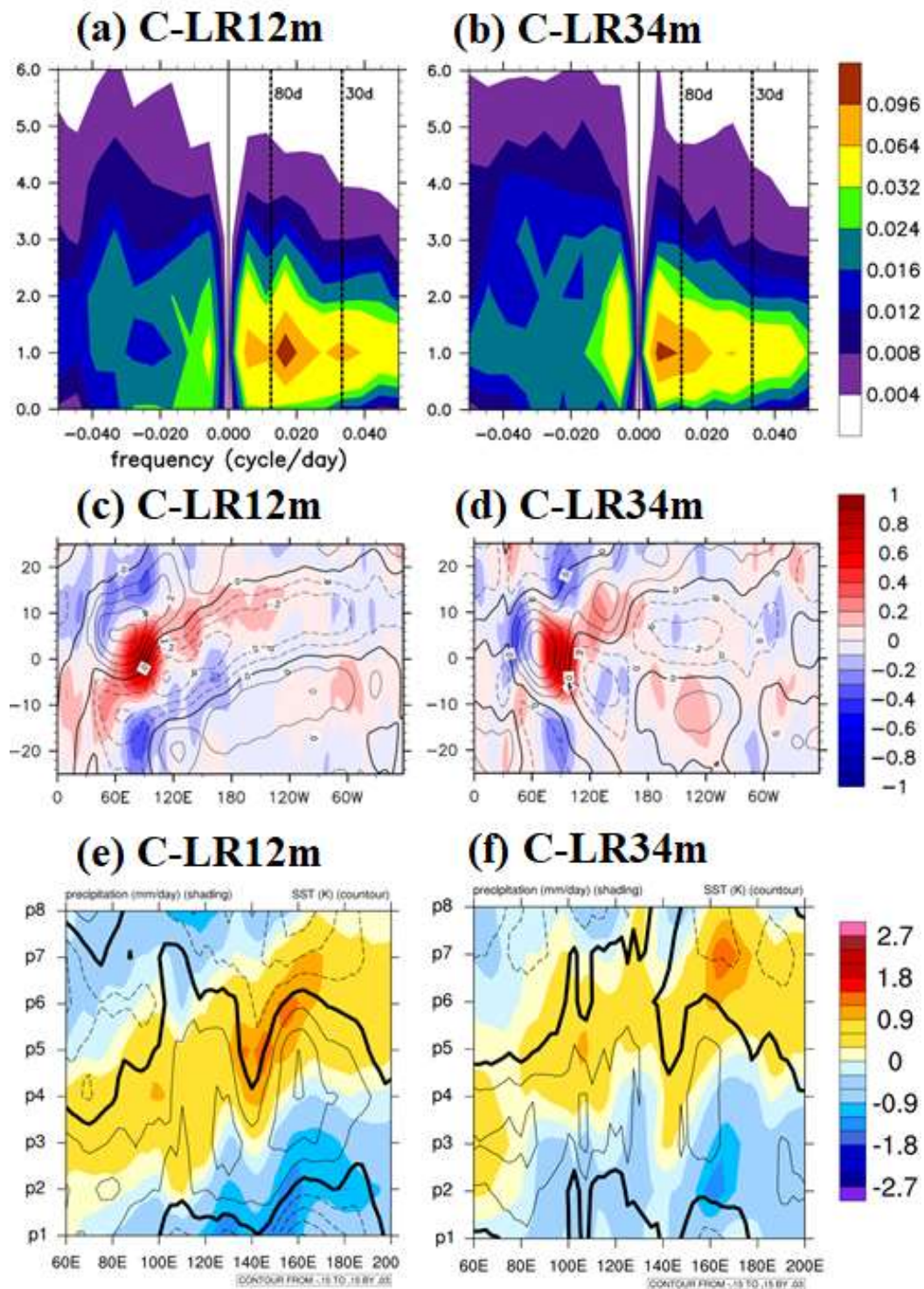
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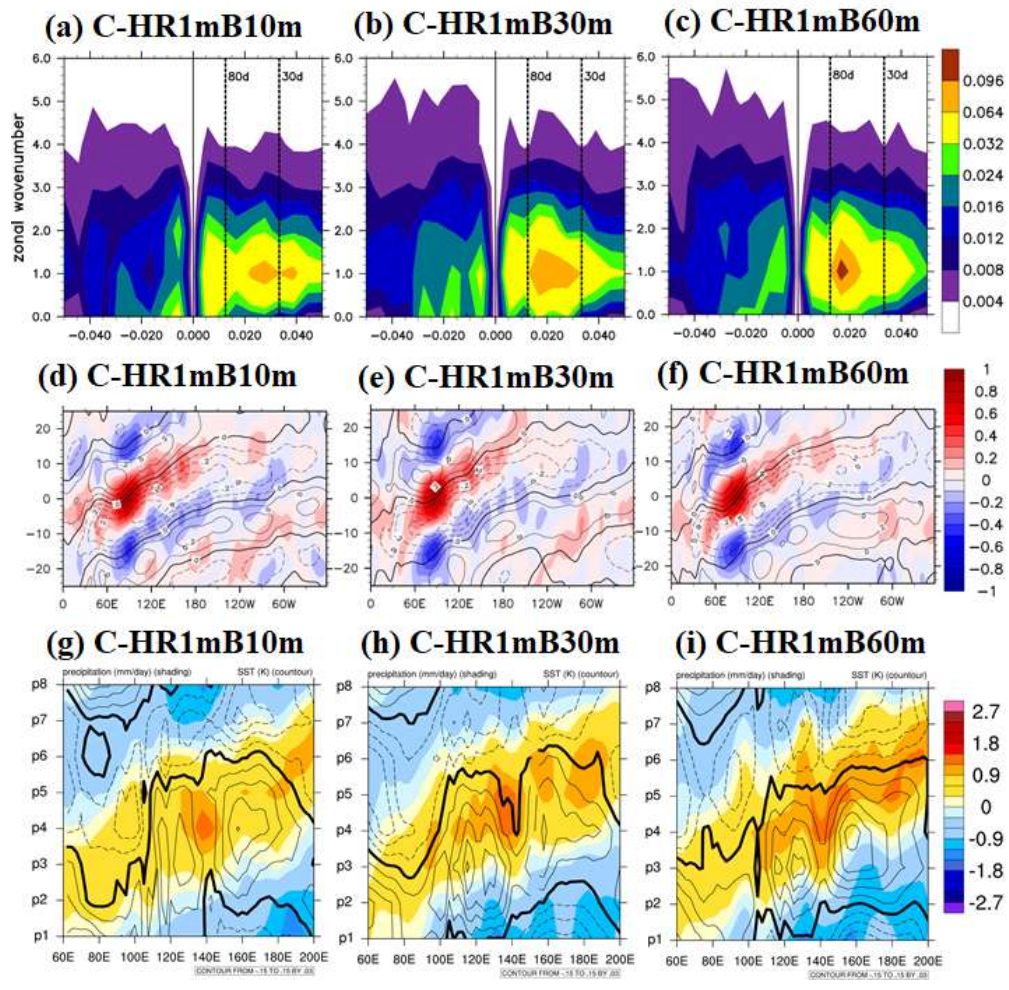
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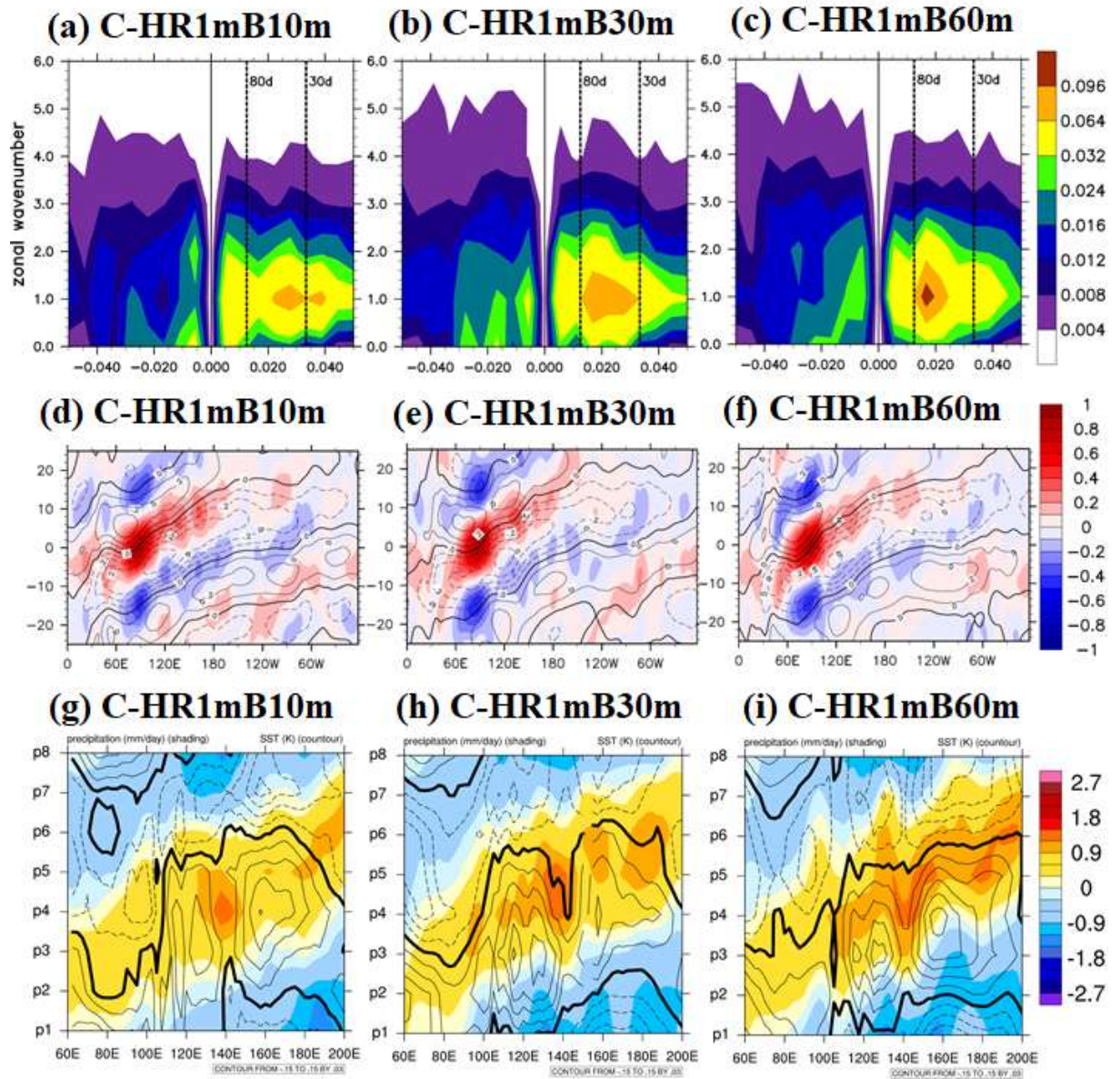
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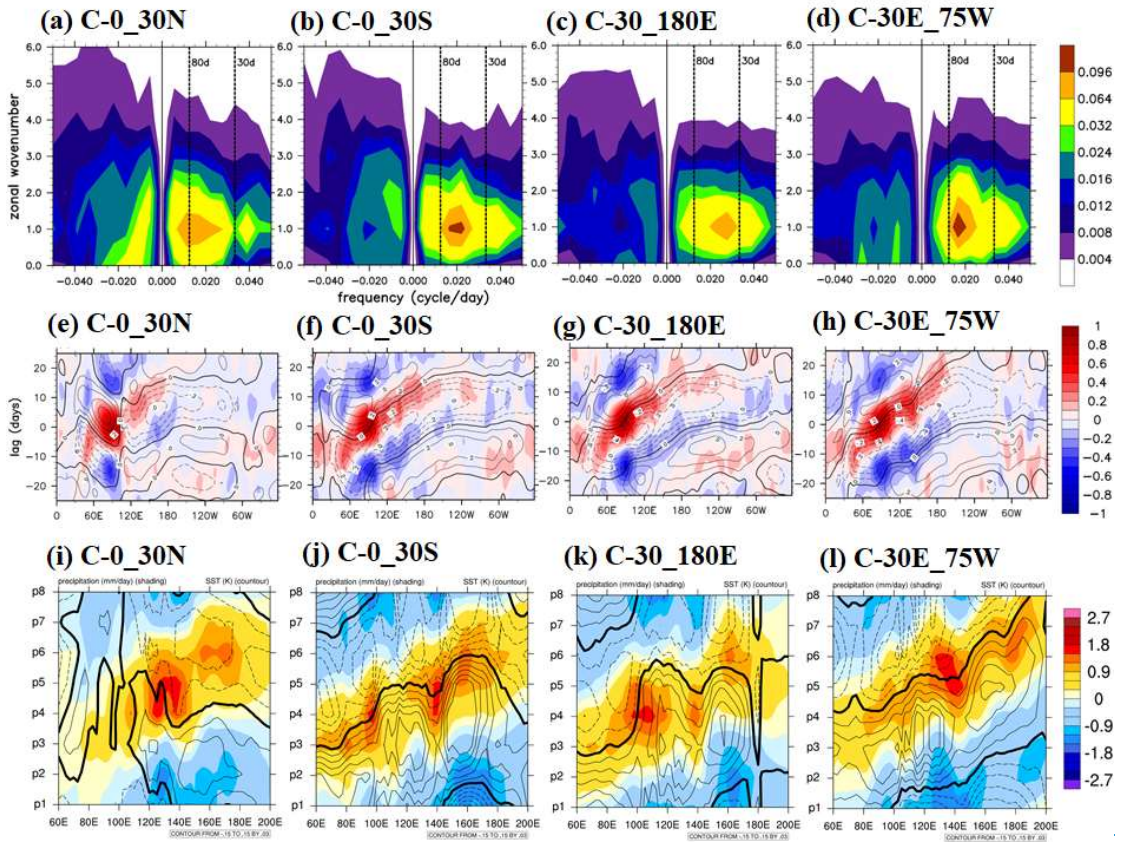
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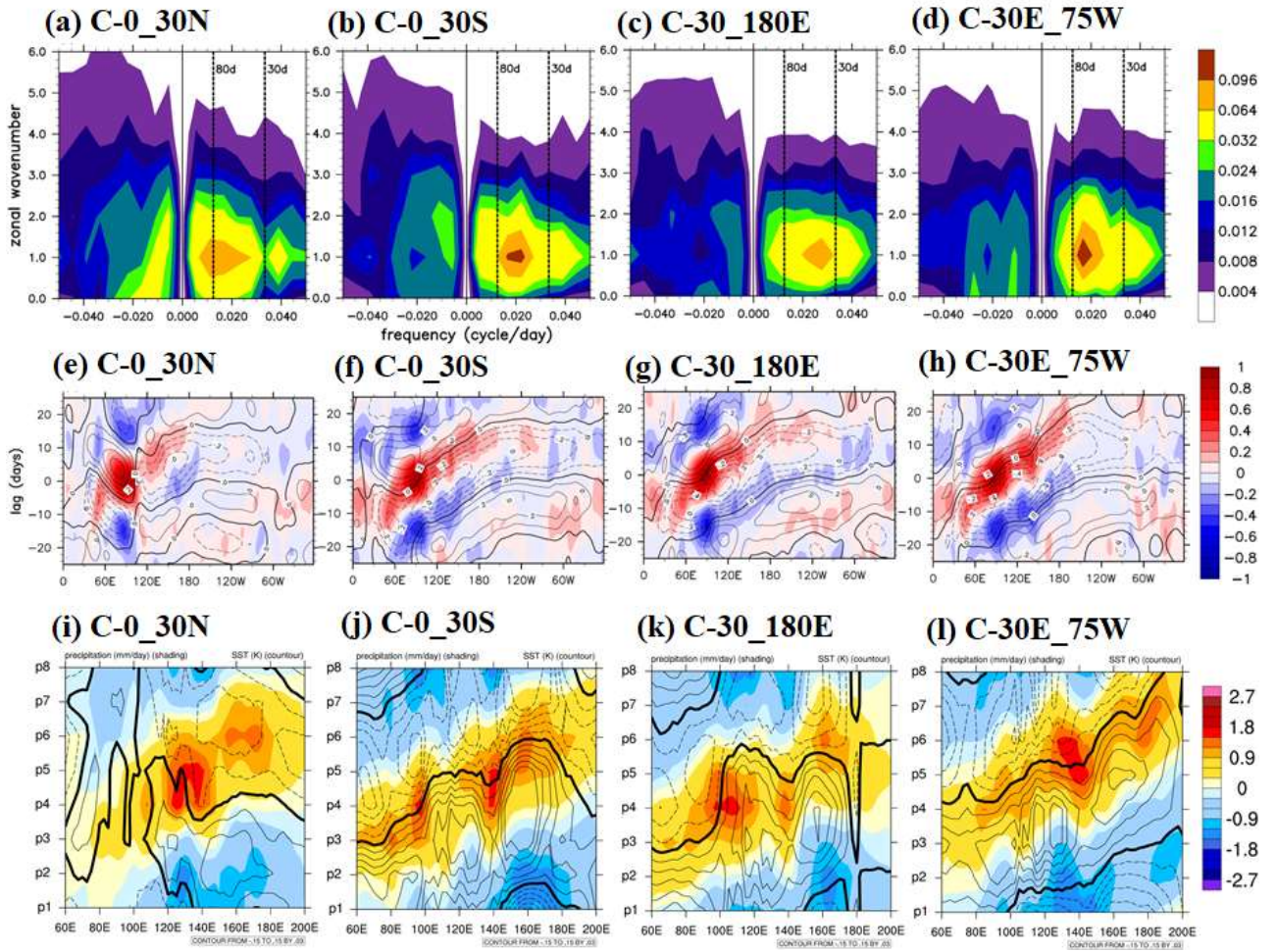
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Figure 6. Same as in Fig. 5 but for the C–HR1mB10m, C–HR1mB30m, and C–HR1mB60m.



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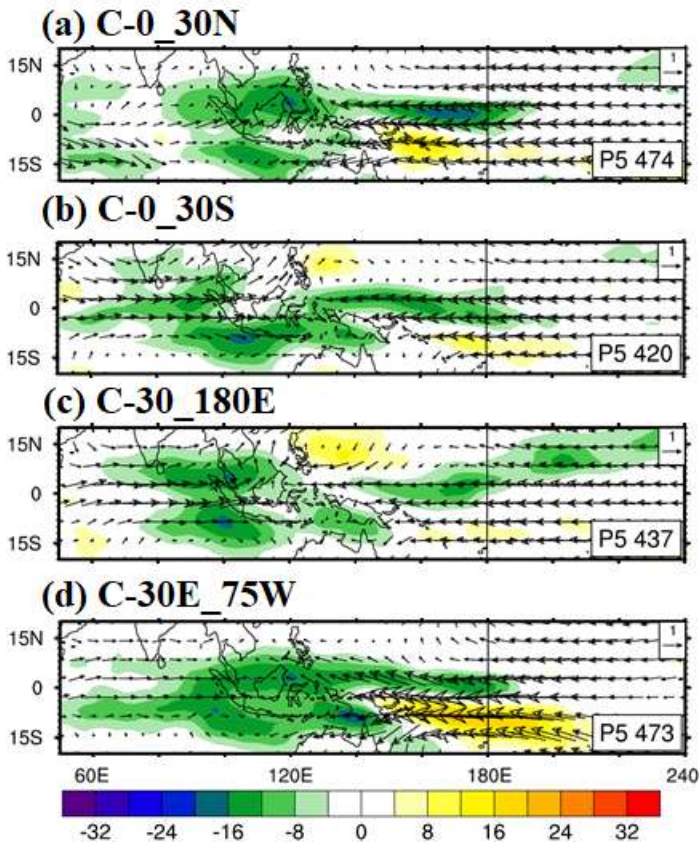
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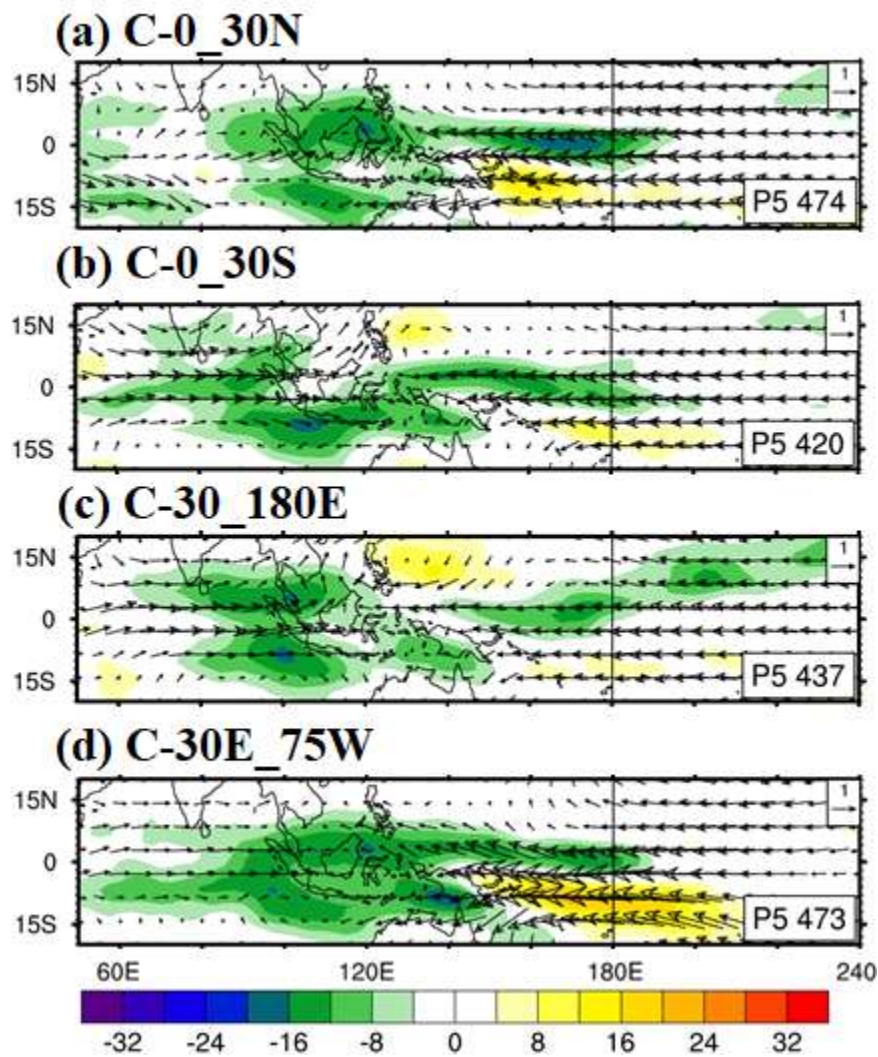
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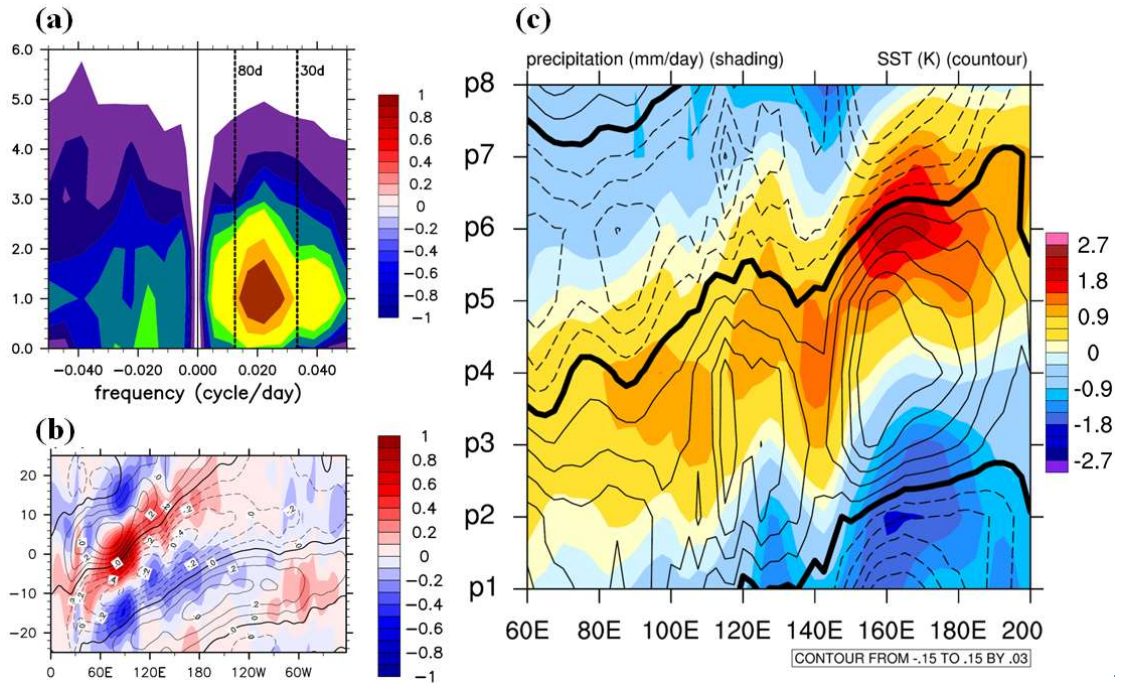


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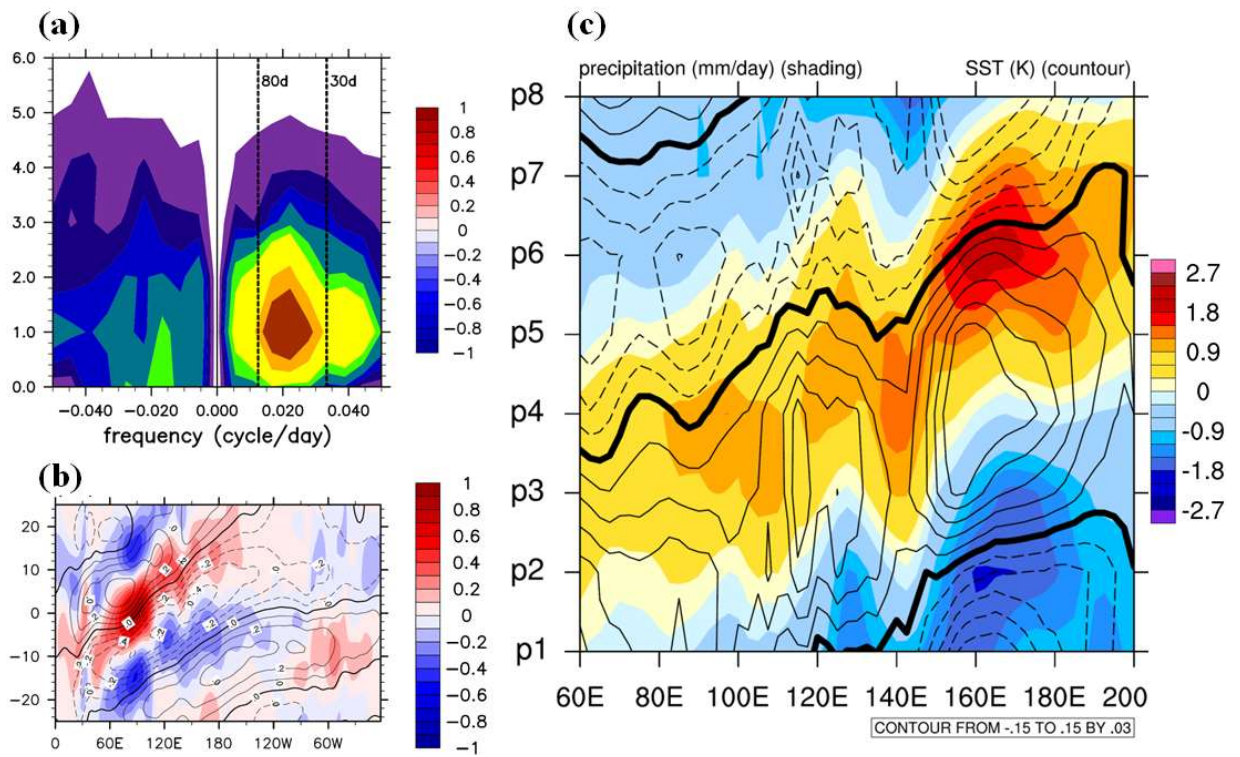
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1244 C-30E_75W.



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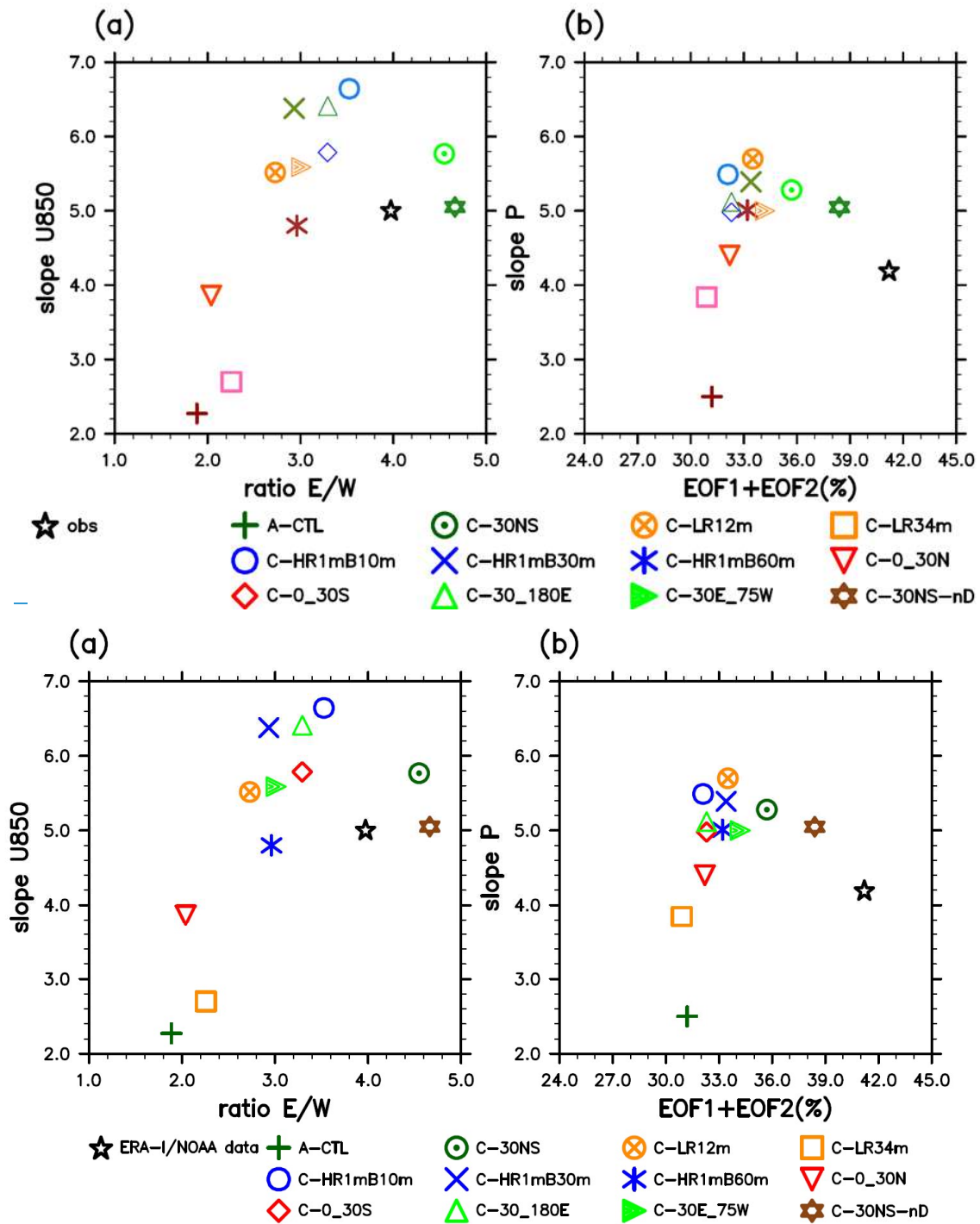


Figure 10. Scattered plots of various MJO indices in [observation](#) the ERA-I/NOAA data and 12 experiments: (a) power ratio of east/west propagating waves of wavenumber 1–3 of 850-hPa zonal winds (X-axis) with a 30–80-day period and eastward propagation speed of U850 anomaly (Y-axis) from the Hovmöller diagram and (b) RMM1 and RMM2 variance and eastward propagation speed of the filtered precipitation anomaly derived from the Hovmöller diagram.