1 # An automatic lake-model application using near real-time data

2 # forcing: Development of an operational forecast workflow

3 # (COASTLINES) for Lake Erie

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8 **Abstract.** For enhanced public safety and water resource management, a three-dimensional operational lake

9 hydrodynamic forecasting system COASTLINES (Canadian cOASTal and Lake forecastINg modEl System) was

10 developed. The modelling system is built upon the Aquatic Ecosystem Model (AEM3D) model, with predictive

11 simulation capabilities developed and tested for a large lake (i.e., Lake Erie). The open-access workflow derives

12 model forcing, code execution, post-processing, and web-based visualization of the model outputs, including water

13 level elevations and temperatures, in near real-time. COASTLINES also generates 240-h predictions using

14 atmospheric forcing from 15 km and 25 km horizontal-resolution operational meteorological products from the

15 Environment Canada Global Deterministic Forecast System (GDPS). Simulated water levels were validated against

16 observations from 6 gauge stations, with model error increasing with forecast horizon. Satellite images and lake

17 buoys were used to validate forecast lake surface temperature and the water column thermal stratification. The

18 forecast lake surface temperature is as accurate as hindcasts, with a root-mean-square-deviation <2℃.

19 COASTLINES predicted storm-surges and up-/down-welling events that are important for coastal flooding and

20 drinking water/fishery management, respectively. Model forecasts are available in real-time at

21 https://coastlines.engineering.queensu.ca/. This study provides an example of the successful development of an

22 operational forecasting workflow, entirely driven by open-access data, that may be easily adapted to simulate

23 aquatic systems or to drive other computational models, as required for management and public safety.

1   Introduction

25   Lakes hold a large proportion of the global surface freshwater, which supports biodiversity and supplies water

26   resources for drinking, transportation, and recreation. However, anthropogenic stressors are causing significant

27   changes in the properties of lakes, such as rapid warming of surface water (O'Reilly et al., 2015), large seasonal

28   water level fluctuations (Gronewold and Rood, 2019), increased frequency of extreme events (Saber et al., 2020)

29   and severe water quality issues such as oxygen depletion (Rowe et al., 2019; Scavia et al., 2014) harmful algal

30   blooms (Brookes and Carey, 2011; Watson et al., 2016). Effort has focussed on investigating the long-term

31   responses of physical processes in lakes to climate change (O'Reilly et al., 2015; Woolway and Merchant, 2019;

32   Jabbari et al., 2021), but improving lake monitoring and developing short-term forecast models, to predict the

33   occurrence of extreme events is also necessary (Woolway et al., 2020). The biogeochemical cycles in lakes are

34   complex and often regulated by physical forcing; therefore, the first step to model and forecast water quality issues,

35   such as harmful algal blooms (Paerl and Paul, 2012; O'Neil et al., 2012) and hypoxia (Rao et al., 2008; Rao et al.,

36   2014) is the development of accurate hydrodynamic models.

37   Over the past several decades, many computer models have been applied to hindcast (running models

38   forced with and validated against historically collected data) lake hydrodynamics to aid management. These range

39   from one-dimensional (1D) models such as DYRESM (Antenucci and Imerito, 2000), Simstrat (Gaudard et al.,

40   2017), and GLM (Hipsey et al., 2014), to three-dimensional (3D) models such as Delft3D (Lesser et al., 2004),

41   FVCOM (Chen et al., 2012; Rowe et al., 2019) ELCOM (Hodges et al., 2000). Several of these hydrodynamic

42   models may be coupled to biogeochemical models to allow for prediction of water quality. In the case of hindcast

43   applications, the complex and time-consuming setup and calibration procedure, of these models, can result in a

44   significant time lag (months to years) between when a project is initiated and when the model results are

45   communicated to stakeholders. This delay severely limits the utility of computational models for policy and

46   management decision making. For better application of these powerful computational tools, the ability for rapid

47   monitoring and simulation forecasts should be established.

48   In addition to the significant effort required to setup and calibrate models, other hurdles exist such as data-

49   sharing agreements between the agencies collecting forcing/validation data and those running the models. For

50   example, the US National Oceanic and Atmospheric Administration (NOAA) Great Lakes Coastal Forecasting

51   System (Chu et al., 2011; Anderson et al., 2018), is a comparatively large-budget multi-institutional (NOAA-

52   GLERL and U. Michigan-CIGLR) project that predicts water levels, temperature profiles, currents, and wave

53   heights over a 120-h timeframe in the five Laurentian Great Lakes and connecting channels, using FVCOM on a 3D

54   unstructured grid with 30-2000 m horizontal resolution. Similarly, meteolakes.ch (Baracchini et al., 2020b) applies

55   Delft3D for short-term 3D forecasts (4.5 days) of four Swiss lakes and simstrat.eawag.ch (Gaudard et al., 2019)

56   applies Simstrat for near-realtime 1D simulation of 54 Swisss lakes. These latter applications employ a data sharing

57   agreement between Swiss Federal Institute of Aquatic Science and Technology (EAWAG), École Polytechnique

58   Fédérale de Lausanne (EPFL) and MeteoSwiss.

59   Owing to the present online proliferation of near real-time lake observation data (e.g., National Data Buoy

60   Center (NDBC; https://www.ndbc.noaa.gov/); Great Lakes Observation System (GLOS; https://www.glos.us/)) and

61   high-resolution meteorological forecasts (e.g., Global Deterministic Prediction System, GDPS;

62   https://dd.weather.gc.ca/model_gem_global/; High Resolution Rapid Refresh, HRRR;

63   https://rapidrefresh.noaa.gov/hrrr/) the data-sharing agreements and managed data transfer protocols are no longer

64   required. When coupled with recent dramatic improvements in workflow efficiency (e.g., Gaudard et al. (2019);

65   Baracchini et al. (2020b)) near real-time inclusion of forcing from meteorological forecasts allow for the

66   development of specific simulations tailored to meet diverse lake-management needs (e.g., prediction of coastal

67   flooding, spill modelling, fish habitat, beach closures, and optimization of treatment or source water monitoring

68   strategies).

69   In the present study we developed and tested the COASTLINES (Canadian cOASTal and Lake forecastINg

70   modEl System; https://coastlines.engineering.queensu.ca/) lake-model application workflow, that rapidly accesses

71   near real-time online data (weather forecasts, water level and temperature observations) for automated model

72   forcing, execution and validation. Hydrodynamic forecasts are automatically post-processed and posted on a web

73   platform. We provide an overview of the COASTLINES system, including model implementation for Lake Erie

74   (Section 2 Data and methods) and the accuracy of COASTLINES in forecasting water levels and temperature fields

75   over timescales of 24-h and 240-h (Section 3 Results). In Section 4 Discussion, the predictive ability of

76   COASTLINES for decision making is showcased through prediction of hydrodynamic events associated with fish

77   kills, hypoxia near a drinking water intake and coastal flooding from a storm surge. We also discuss the relative

78   advantages of COASTLINES in comparison to other model products, including bias and uncertainty.

79   2   Data and methods

80   2.1   Study site

81   Lake Erie, the shallowest lake of the Great Lakes with a mean depth of 19 m. Lake-wide hydrodynamics

82   predominantly exhibits free surface and current oscillations from the 14-h barotropic seiche (Hamblin, 1987;

83   Boegman et al., 2001). The lake morphometry consists of distinct, yet interconnected western, central, and eastern

84   basins (Fig. 1), each with its own water quality concerns: The 11-m deep western basin is typically well mixed and

85   has frequent harmful algae blooms related to climate-driven meteorological forcing (Michalak et al., 2013). The

86   ephemeral stratification in late summer (Loewen et al., 2007) regulates vertical biogeochemical fluxes (Boegman et

87   al., 2008). The 20-m deep central basin is prone to large-scale hypolimnetic hypoxia (Scavia et al., 2014).

88   Hydrodynamics are governed by an internal Poincaré wave (Bouffard et al., 2012; Valipour et al., 2015) and a bowl-

89   shaped depression of the summer thermocline, which influence the oxygen budget (Beletsky et al., 2012; Bouffard et

90   al., 2014). The 65-m deep eastern basin has nearshore water quality concerns from *cladophora* (Higgins et al., 2006)

91   and ecosystem engineering by dreissenid mussels (Hecky et al., 2004). Hydrodynamics of this region are controlled

92   by the coastal internal Kelvin wave (Valipour et al., 2019).

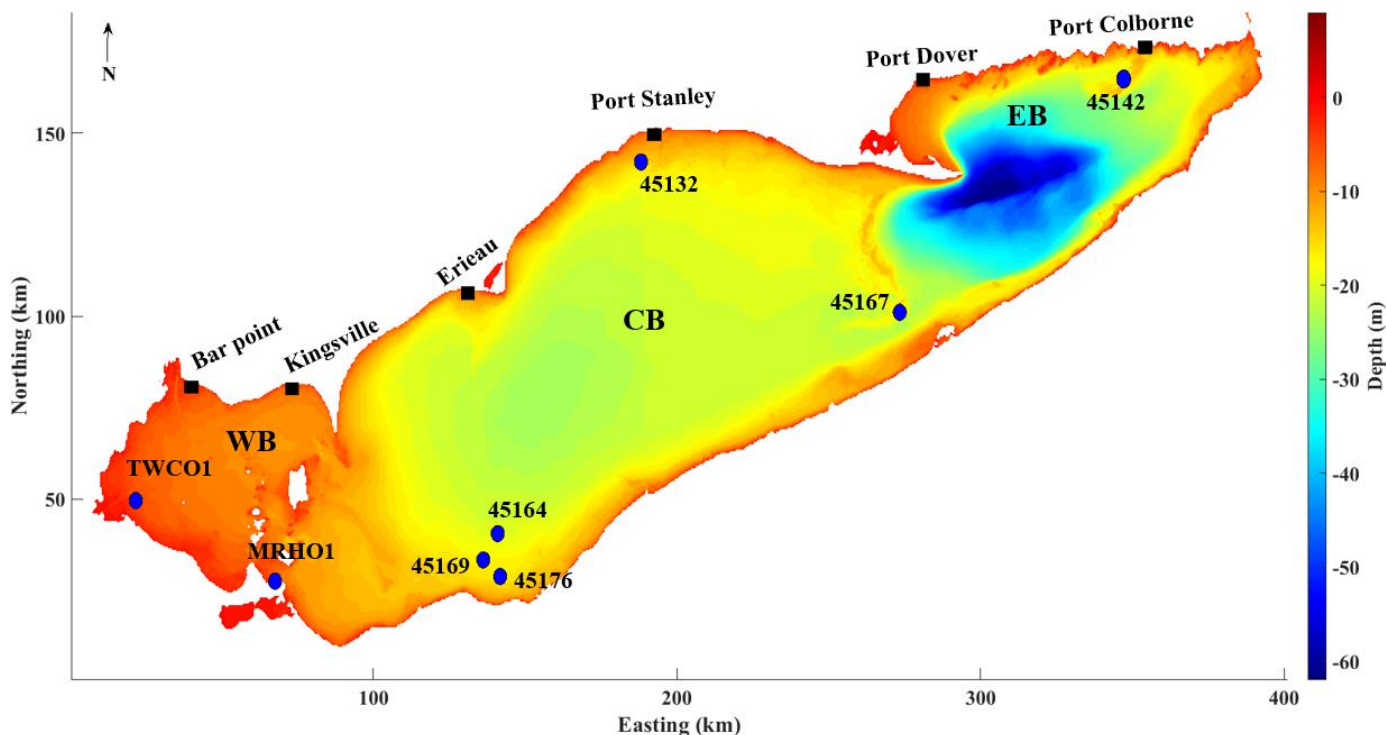



Fig.1 Map of Lake Erie showing the bathymetric depths and observation sites. The bathymetric map is at the resolution of the 500 m grid applied in the model. The western, central, and eastern basins are labeled as WB, CB, and EB, respectively. Blue circles indicate lake buoys and black squares indicate water level gauges.

2.2   Model description

COASTLINES applies the three-dimensional Aquatic Ecosystem Model (AEM3D, version 1.1.1, HydroNumerics Pty Ltd.). This model solves the unsteady 3D Reynolds-averaged Navier-Stokes equations for incompressible flow employing the Boussinesq and hydrostatic approximations. Momentum advection is based on the Euler-Lagrange method with a conjugate-gradient solution for the free-surface height (Casulli and Cheng, 1992), and a conservative ULTIMATE QUICKEST discretization scheme for advection of scalars (Leonard, 1991). AEM3D is a parallel version of the commonly applied Estuary and Lake Computer Model (ELCOM; (Hodges et al., 2000). ELCOM has been applied to Lake Erie to simulate currents and seasonal circulation (León et al., 2005), the internal Poincaré (Valipour et al., 2015) and Kelvin waves (Valipour et al., 2019), ice cover (Oveisy et al., 2012) and the response of the thermal structure, in Lake Erie, to air temperature and wind speed changes (Liu et al., 2014). ELCOM has been coupled with the biogeochemical CAEDYM model to simulate Lake Erie phytoplankton and nutrients (León et al., 2011), and the response of hypoxia (Bocaniov and Scavia, 2016) and algae blooms (Scavia et al., 2016) to nutrient load reductions. Recent applications of AEM3D include a study of the water level in Lake Arrowhead, California (Saber et al., 2020), ice cover in Lake Constance (Caramatti et al., 2019) and pollutant transport in Lake St. Clair (Madani et al., 2020).

2.3 Model setup and meteorological forcing variables

To adequately resolve the coastal boundary layer (~ 3 km width (Rao and Murthy, 2001)) and basin-scale internal waves (Poincaré (16.8 h) and Kelvin waves), the bathymetry of Lake Erie (https://www.ngdc.noaa.gov/mgg/greatlakes/erie.html) was discretized into a 500 m × 500 m horizontal grid, which is ~10 % of the internal Rossby radius (Schwab and Beletsky, 1998). The lake was discretized into 45 vertical layers, with fine resolution (0.5 m) through the surface layer, metalimnion and bottom of the central basin, and coarse layers (5 m) through the hypolimnion of the deeper eastern basin to the maximum depth of 64 m. The model was 'cold started' on April 8, 2020 (day of year (day) 99) with an initial temperature field spatially interpolated from observed water temperatures at stations 45142 and MHRO1; a time when spring turnover causes thermal stratification to be minimal. The model time step is dt = 300 s to satisfy the CFL = $\sqrt{2}$ (Courant-Friedrichs-Lewy) condition for internal waves (Hodges et al., 2000).

The model is forced by the surface meteorology (wind speed, wind direction, air temperature, shortwave solar radiation, relative humidity, air pressure, and net longwave radiation), with net longwave radiation being computed internally within AEM3D from cloud cover and the modelled surface temperature. In order to address the spatial variability of meteorological conditions across the lake, the computational domain was forced with meteorological data on horizontal grids at 15 km (https://dd.weather.gc.ca/model_gem_global/15km/) and 25 km (https://dd.weather.gc.ca/model_gem_global/25km/) resolution using meteorological forecasts from the Environment and Climate Change Canada Global Deterministic Forecast System (GDPS). This resulted in 31 and 23 meteorological sections for the 15 km and 25 km models, respectively. Wind speed, wind direction, air temperature, relative humidity, air pressure, dew point, and cloud cover are direct outputs from GDPS, with solar radiation calculated based on dew point and air pressure ((Meyers and Dale, 1983); Appendix C. in (Gaudard et al., 2019)). The meteorological forecast has an output timestep of 3-h and a forecast length of 240 h. The .GRIB2 meteorological data were retrieved with the 'urllib' library in Python and formatted into AEM3D input files using the nctoolbox in MATLAB.

In this pilot application, the Lake Erie inflows and outflows, which roughly balance, are neglected, however evaporation and precipitation are accounted for in the water balance. Over short timescales (<10 days), the contributions from evaporation and precipitation to water level change are minor, with water level oscillations resulting from storm surges and surface seiches (Trebitz, 2006).

2.4 Observations, implementation, and model validation

The water levels and temperatures simulated by COASTLINES were validated using both in situ and satellite observations. Near real-time water level data was used from six stations along the Canadian coastline, which reported hourly observations (Bar Point, Kingsville, Erieau, Port Stanley, Port Dover, and Port Colborne; Fig. 1; Table 1), retrieved from Fisheries and Oceans Canada (https://marees.gc.ca/eng/find/zone/44). The data are parsed using the 'BeautifulSoup' library in Python and saved as .csv files, to be read with MATLAB for model validation. The observations showed higher fluctuations in the western (Bar Point and Kingsville) and eastern (Port Dover and

147   Port Colborne) basins (Fig. 1). Thus, we quantify the water level forecast capability and uncertainty in terms of the

148   Root Mean Square Deviation (RMSD) and Relative Error (RE):

149    $RMSD = [\frac{1}{N}\sum_{i=1}^{N}(x_i - y_i)^2]^{1/2},$ (1)

150    $RE = 100\frac{RMSD}{log.\ mean(daily\ range)},$ (2)

151   where x_i and y_i ($i = 1, 2, 3, ... N$) are the model and observed water level timeseries and N is the number of samples.

152   RMSD is the absolute error of the model against the observation. The difference between the observed daily

153   minimum and maximum value was defined as the daily water level fluctuation range, where RE is the ratio between

154   the RMSD and lognormal mean of daily range over April to September 2020. Given that our study focusses on a

155   240-h forecast, RE can characterize the forecast bias, regardless of the instantaneous water level position. Here,

156   forecast uncertainty is in the evaluation statistic from combining forecast dates – not actual uncertainty in an

157   individual forecast.

158   Eight in situ lake buoys, distributed over the nearshore areas of the three basins (Fig. 1; Table 1), provided

159   near real-time model validation data through the Great Lakes Observing System (GLOS: https://www.glos.us/) and

160   National Data Buoy Center (NDBC: https://www.ndbc.noaa.gov/) portals. For each station, the text-based NDBC

161   observations are parsed using the 'BeautifulSoup' Python library, and the GLOS observations are retrieved using

162   'webdriver' from the 'selenium' Python library. All the lake buoy observations are saved as .csv files and read into

163   MATLAB for post-processing. Attempts to retrieve missing variables would result in run-time errors.

164   The lake buoys are deployed from April or May through mid-October, spanning the spring/fall turnover

165   and seasonal summer stratification periods. However, due to COVID-19 related delays in instrument deployments in

166   2020, only two buoys located offshore of Cleveland, near the water intake crib (station 45176 and station 45164),

167   were equipped with thermistor chains to monitor temperature profiles. The other six buoys provide air and lake

168   surface temperature as well as wind speed and direction observations for hydrodynamic and meteorological forecast

169   validation. Satellite-based observations of lake surface temperature were obtained from the Great Lakes Surface

170   Environmental Analysis (GLSEA2), which is derived from NOAA CoastWatch AVHRR (Advanced Very High-

171   Resolution Radiometer) imagery and updated on NOAA GLERL website

172   (https://coastwatch.glerl.noaa.gov/erddap/files/GLSEA_GCS/). GLSEA2 produced daily observations, with 2.6 km

173   resolution, from the cloud-free portions of the satellite images (Schwab et al., 1999). The netCDF data are retrieved

174   using the 'BeautifulSoup' library and 'webdriver' from 'selenium'.

175   We quantified the temperature forecast capability using the statistical measures of RMSD (eq. 1) and Mean

176   Bias Deviation (MBD):

177    $MBD = 100\frac{\frac{1}{N}\sum_{i=1}^{N}(x_i - y_i)}{\frac{1}{N}\sum_{i=1}^{N}y_i}$ (3)

178   For the spatial MBD and RMSD (s-MBD and s-RMSD), x_i and y_i are the model and observed temperature in each

179   grid, and N is the total number of grids. For timeseries MBD and RMSD (t-MBD and t-RMSD), x_i and y_i are the

180   model and observed temperature at each sample time, and N is the total number of samples.

181

182

Table 1
Details of field stations with water level gauges and lake buoys.

Station	Parameter	Sampling interval (min)	Depth of measurement (m)
Bar Point	Water level	60	Surface
Kingsville	Water level	60	Surface
Erieau	Water level	60	Surface
Port Stanley	Water level	60	Surface
Port Dover	Water level	60	Surface
Port Colborne	Water level	60	Surface
TWCO1	Temperature	10	Surface
45005	Temperature	10	Surface
45176	Temperature	10	1, 3, 4, 6, 7, 9, 10, 12, 14, 15
45169	Temperature	30	surface
45164	Temperature	60	1, 2, 4, 6, 8 10
45132	Temperature	60	Surface
45167	Temperature	10	Surface
45142	Temperature	60	Surface

2.5 System operation

The COASTLINES operational forecast system is run on a local server supported by Queen's University ITS (Kingston, Canada). The COASTLINES workflow is presented in Fig. 2. The system consists of input data acquisition and preparation, 24-h hydrodynamic simulations, 240-h hydrodynamic simulations, validation against in situ observations, and uploading the model forecasts and validation to the web platform. Given that the standard deviations of meteorological forecast variables increase with forecast lead time (Buehner et al., 2015), we performed separate 24-h and 240-h forecast simulations each day. The model advances every day according to the 24-h forecast simulation and terminates by generating 're-start' files. These files are then used to hot-start the 240-h forecast simulation and the 24-h simulations for the next day. The input files for the 240-h forecast simulations are iteratively replaced by the new 240-h meteorological forecast generated each day. The 24-h and 240-h forecast model outputs are compared against observations to evaluate the forecast performance against forecast lead time.

The long-term stability of employing daily 'hot' restarts can be seen in a comparison between simulated temperature profiles from a continuous run and that from stitching together the 24-h hot-start simulations (Appendix A; Fig. A1). At present, the initial water level cannot be modified using the AEM3D re-start files. Therefore, to account for long term drift in surface water level, we used real time gauge observations as the datum point for water level forecasts (automatically performed by MATLAB in post processing) and only consider errors resulting from simulation of storm surges and seiches, as opposed to those from seasonal changes in mean lake level. Automation of the processing tasks in the workflow is performed by Python scripts triggered by the Windows Task Scheduler every 24-h at midnight. The online meteorological forecast data are retrieved from GDPS once updated at 3 am EST. Forcing variables are then formatted in MATLAB, called by the Python scripts once the meteorological forecast data has been retrieved. The AEM3D pre-compiled executable is then run as a black-box code, triggered by Python. The 24-h and 240-h simulations take 0.5 h and 4 h to complete, respectively. The observed data, including water levels from gauge stations, water temperatures from lake buoys and satellite images are scraped with Python

208 at 8 am, followed by post-processing in MATLAB to validate model output, calculate statistical metrics (RMSD,

209 MBD) and generate figures. The results are exported to Google sheets and published to the COASTLINES website

210 (e.g., Appendix B). The authors (supervisors of COASTLINES) and Queen's ITS monitor forecast results and

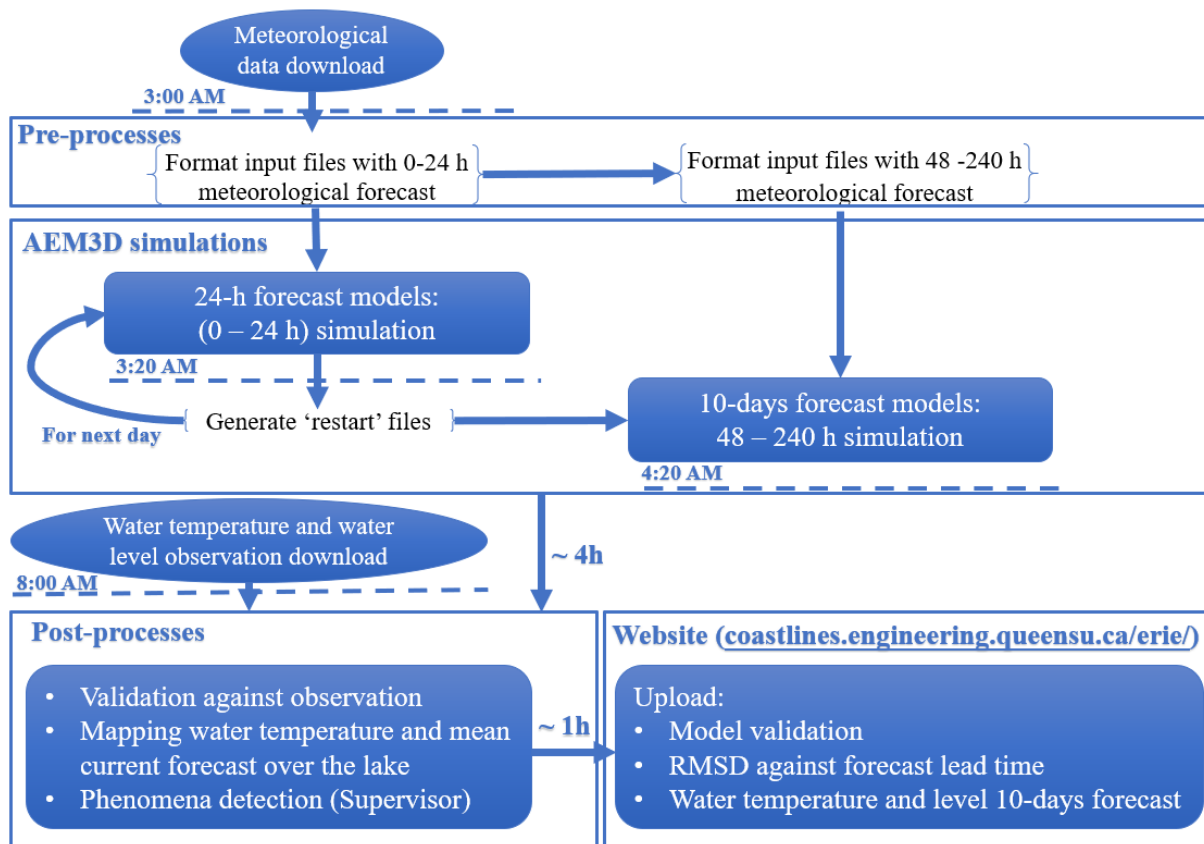
211 maintain system operation.

212   Global coverage of the GDPS forecasts enable this operational system to be readily implemented at other

213 sites where lake bathymetry, boundary flows and in-situ validation data are available. The workflow may be easily

214 modified to include additional meteorological forecasts or other black-box hydrodynamic drivers (e.g., HRRR and

215 Delft3D, respectively (Rey and Mulligan, 2021)). This would require simple modification of the COASTLINES

216 MATLAB-based write statements to meet the formatting requirements of a particular driver.

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218 **Fig. 2 Daily workflow and automated processes in the COASTLINES operational system as performed on the**
219 **local server.**

220 **3   Results**

221 The COASTLINES water level and temperature forecasts have been operational since April and July 2020,

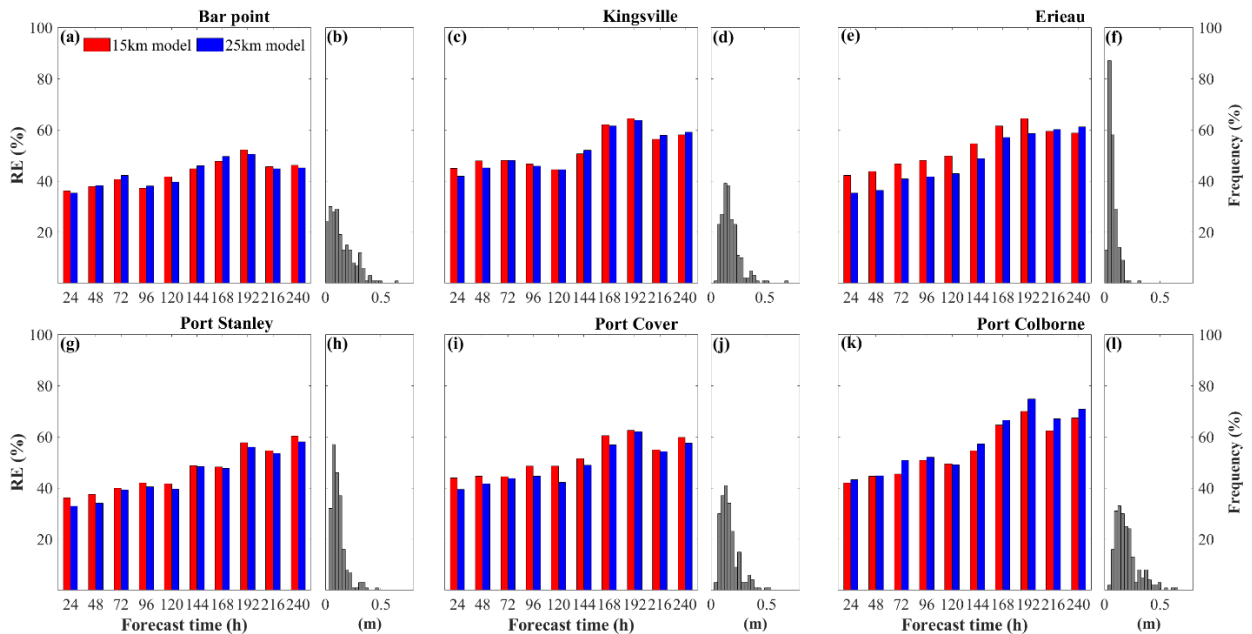
222 respectively. The 24-h and 240-h forecast water levels from the 15 km and 25 km resolution models were validated

223 against real-time gauge station observations. The water level statistical metrics (RMSD and RE) were averaged over

224 April to September 2020. The 24-h and the 240-h forecast lake surface temperature and temperature profiles, from

225 the models, were also validated against real-time lake buoy data and daily averaged satellite imagery. The timeseries
226 and spatial MBD and RMSD (t-RMSD, t-MBD and s-RMSD, s-MBD) were averaged over July to September 2020.

3.1 Water level

228 The Relative Error (RE) of the forecast water level increases with forecast time when averaged over April to
229 September 2020; the 24-h forecast error being ~ 40% at all six gauge stations (Fig. 3 a, c, e, g, i, k). Given the large
230 water level fluctuation at Port Colborne (Fig. 3 l), the 240-h forecast RE is highest at this station, exceeding 70%
231 (Fig. 3 k). Of the six gauge stations reported in this study, those at the western (Bar Point and Kingsville) and
232 eastern (Port Dover and Port Colborne) ends of Lake Erie longitudinal axis had the largest water level fluctuations,
233 resulting from the predominant south-westerly winds generating strong wind set-up and surface seiches (Fig. 3 b, d,
234 f, h, j, l). The lognormal means of the daily range in water level at the six gauge stations are 0.21 cm (Bar Point),
235 0.16 cm (Kingsville), 0.07 cm (Erieau), 0.10 cm (Port Stanley), 0.15 cm (Port Dover), 0.17 cm (Port Colborne).
236 The 24-h forecasts show qualitative agreement with observations in phase and magnitude (Fig. 4). The 24-h
237 forecasts reproduce the dramatic surface seiches induced by westerly winds > 15 m s^{-1} (Fig. C2) on day 251 (RMSD
238 < 0.1 cm), especially the obvious water level fluctuations at stations in the western and eastern basins (Fig. 4 a, b, e).
239 However, the prediction of water level at Bar Point showed large bias (Fig. 4 f), with the model overestimating the
240 water level fluctuation. The uncertainty in the model forecast, which increased with the range of the daily
241 fluctuation, was captured by the 24-h forecast RE over April to September (the shaded areas in Fig. 4). Overall, the
242 confidence interval of the 24-h forecast included most of the discrepancies between the observations and the model
243 results.

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245 **Fig. 3 Relative error (RE) in water level predictions against forecast time at six stations (a, c, e, g, i, k). Panels**
246 **(b, d, f, h, j, l) are the corresponding frequency distribution of lognormal means of the daily water level**
247 **fluctuation range (x-axes, unit in meter) at Bar Point, Kingsville, Erieau, Stanley, Port Dover, Port Colborne,**
248 **respectively.**

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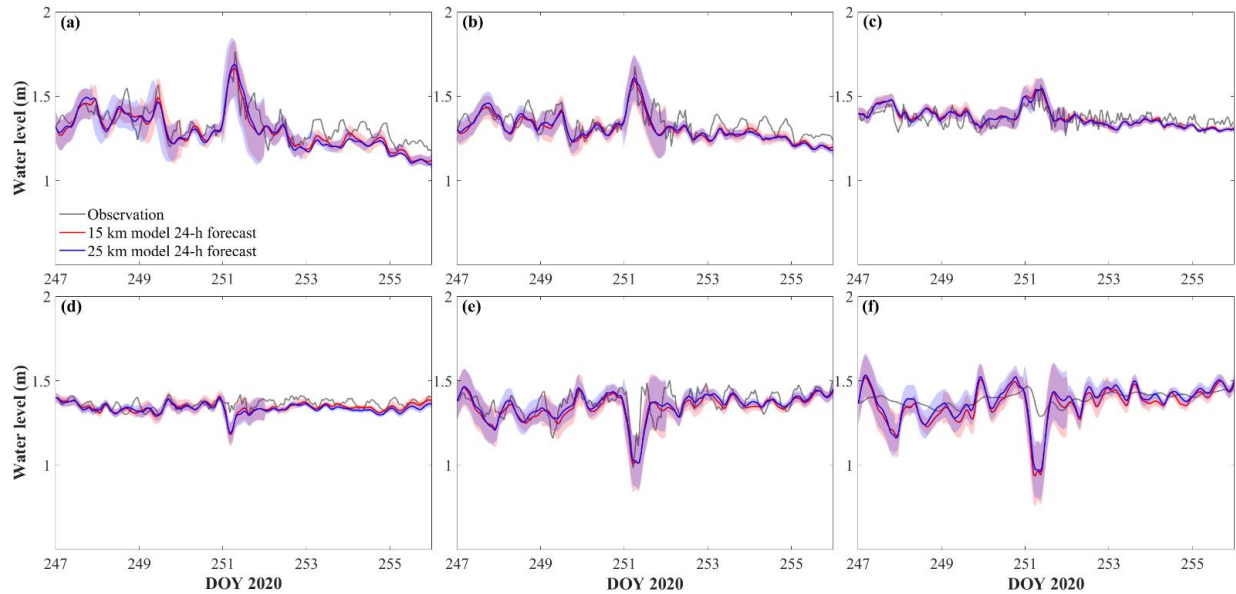
Fig. 4 Comparison between observed and stitched 24-h forecast modeled water level at (a) Port Colborne, (b) Port Dover, (c) Port Stanley, (d) Erieau, (e) Kingsville, and (f) Bar Point. The shaded areas show the confidence interval of the 15 km model (red shading) and the 25 km model (blue shading), as given by the 24-h RE in Fig. 3.

Timeseries validations for the 240-h model forecast (Fig. 5) include confidence intervals from the RE (Fig. 3). As shown, the forecast began 6 days in advance of the large surface seiche event on day 251 and predicted the seiche to crest at Port Colborne 1-2 h ahead of the observations, and to trough at Kingsville 1-2 h behind the observations (Fig. 5 a, c). Damping of the seiche oscillations (~144 hours in the future) was excessive, with the water levels being underestimated and the phase shifted by approximately 12 hours (Fig 5. a, b). Despite the wide confidence intervals, due to the increasing RE with forecast time, large bias existed after the seiche event (forecast time >168 hours). When the forecast was initiated close to the event (3 days before), the prediction of seiche phase was more accurate (Fig. 5 d, e, f); however, the seiche decay still had a 12-h phase shift. The discrepancies in seiche amplitude (< 0.1 m) were within the confidence intervals of the models.

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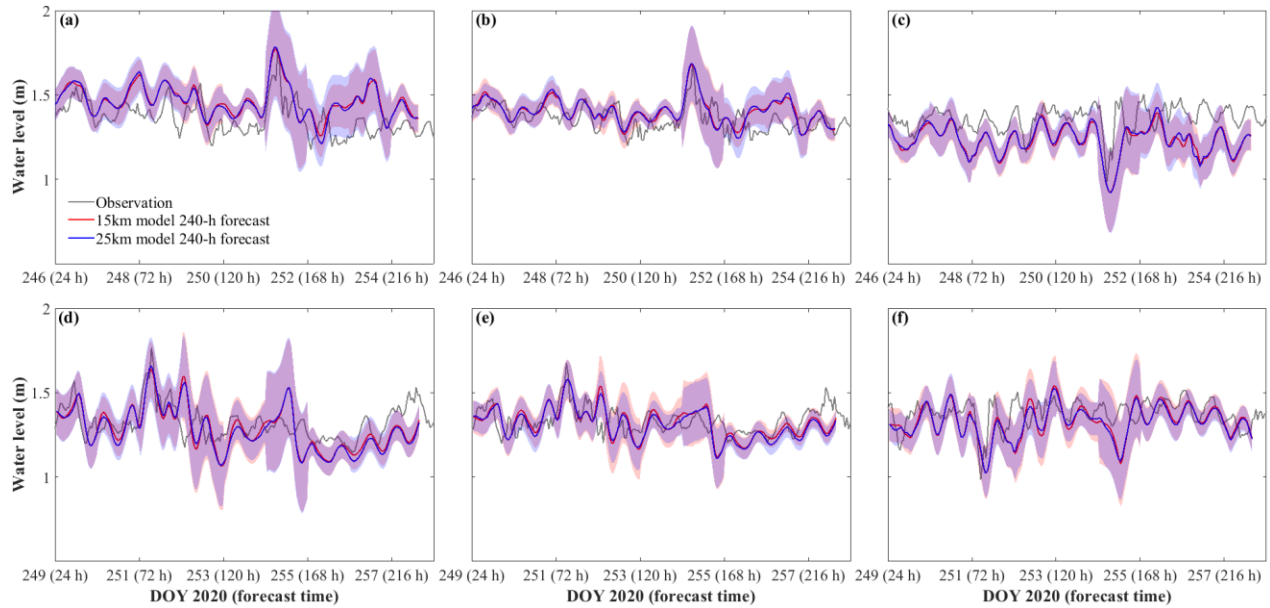
Fig. 5 Comparison between the observed water level and 240-h forecast hot-started on day 245 (a, b, c) and day 248 (d, e, f) at Port Colborne, Port Dover, and Kingsville, respectively. The shaded areas show the confidence interval of the 15 km model (red shading) and the 25 km model (blue shading), as given by the 240-h RE in Fig. 4.

3.2   Water temperature

3.2.1 Lake surface temperature

Using satellite-based and lake buoy-based observations, we evaluated the lake surface temperature forecast (Fig. 6). The 24-forecast captured the seasonal variation of lake surface temperature, particularly the rapid increase in temperature on days 180-190, and the gradual decrease in temperature after day 240; at all eight stations. However, the forecast overestimated the lake surface temperature in July by 3-5 °C (days 180-210), particularly at STN 45167 and 45142. Due to the 3-h output interval associated with the meteorological forecast data, the forecast model was insensitive to temperature fluctuations over shorter timescales, as recorded by the lake buoys, and it underestimated the sudden decrease in temperature near day 220 and 255 at STN 45005.

Overall, the t-MBD and t-RMSD, over these eight stations, were ~6% and 1.4 °C (15 km model) and ~5% 1.3 °C (25 km model) for the 24-h forecast, respectively (Table 2). The average s-MBD and s-RMSD over the 50 days from July-September were ~4% and 1.2 °C, respectively, for both 15 km and 25 km resolution models.

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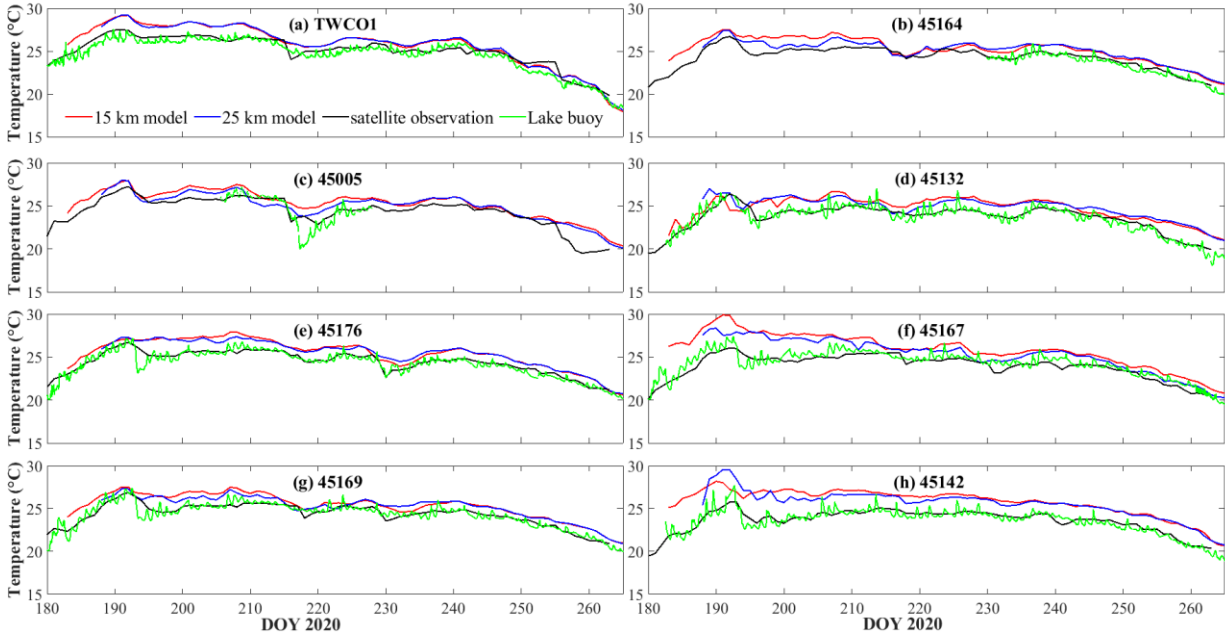
Fig. 6 Comparison between the stitched 24-h forecast and observed lake surface temperature at 8 stations (a) TWCO1, (b) 45164, (c) 45005, (d) 45132, (e) 45176, (f) 45167, (g) 45169, and (h) 45142. The green lines are timeseries observations from lake buoys, the black lines are daily observations derived from satellite imagery.

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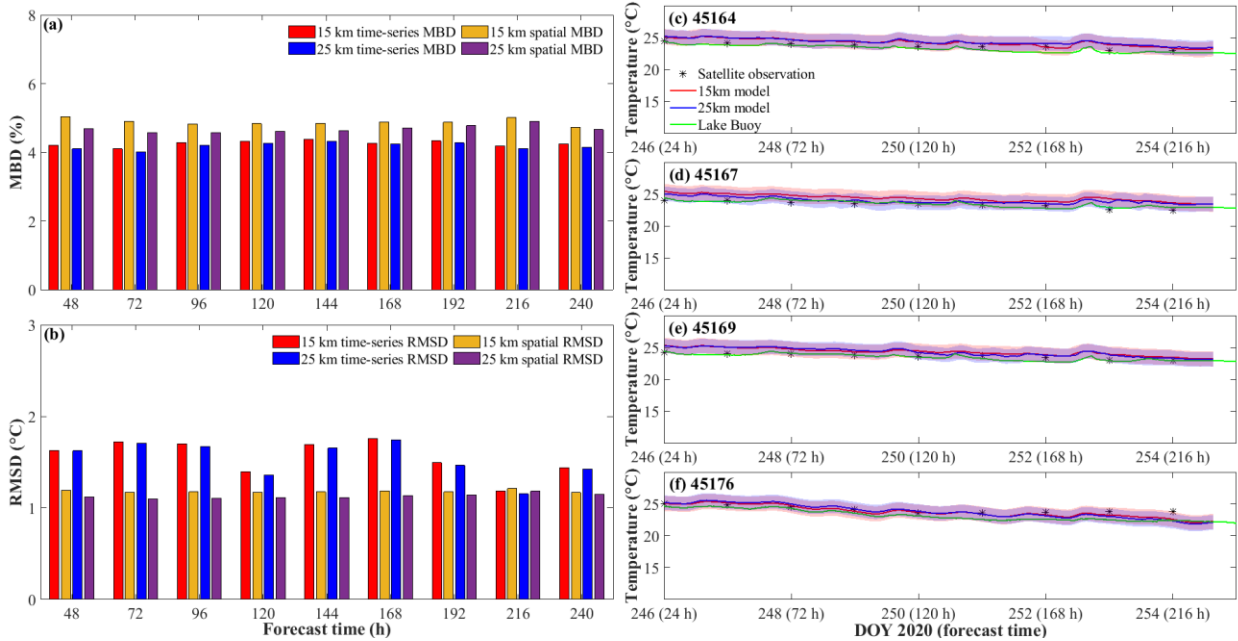


Fig. 7 (a) Mean-Bias Deviation (MBD) against forecast time; (b) Root-Mean-Square Deviation (RMSD) against forecast time. (c-f) Timeseries of 240-h forecast and observed lake surface temperature at stations 45164, 45167, 45169, 45176, respectively, and daily averaged satellite lake surface temperature (black asterisks). The confidence interval (shaded areas) in (c-f) represents the uncertainty of the 240-h forecast model through the timeseries RMSD with the forecast time (panel b).

290   The 240-h forecast MBD and RMSD, surprisingly, do not show an increase in error with forecast time (Fig. 7 a, b).

291   Both t-MBD and s-MBD, over the 240-h forecast, are ~4-5%, with s-MBD 0.5-1% higher than t-MBD. Although

292 both 240-h s- and t-RMSD are under 2 °C, the t-RMSD show the error with forecast time to be higher than s-RMSD.

293 Both timeseries observations from lake buoys and daily averaged observations from satellite imagery fall into the

294 forecast confidence interval based on the 240-h t-RMSD (Fig 7 c-f).

295 Spatial comparisons of satellite-based observations to the 24-h, 48-h, 120-h, 168-h surface temperature

296 forecasts illustrate that the forecast system (with 15 km meteorological data) predicted the cooler water mass along

297 the northwest shoreline of the central basin with a cold bias ~ 2 °C (Fig. 8); this may be up-welling hypolimnetic

298 water (see following Discussion 4.2). The model also predicted lower surface temperatures in coastal regions of the

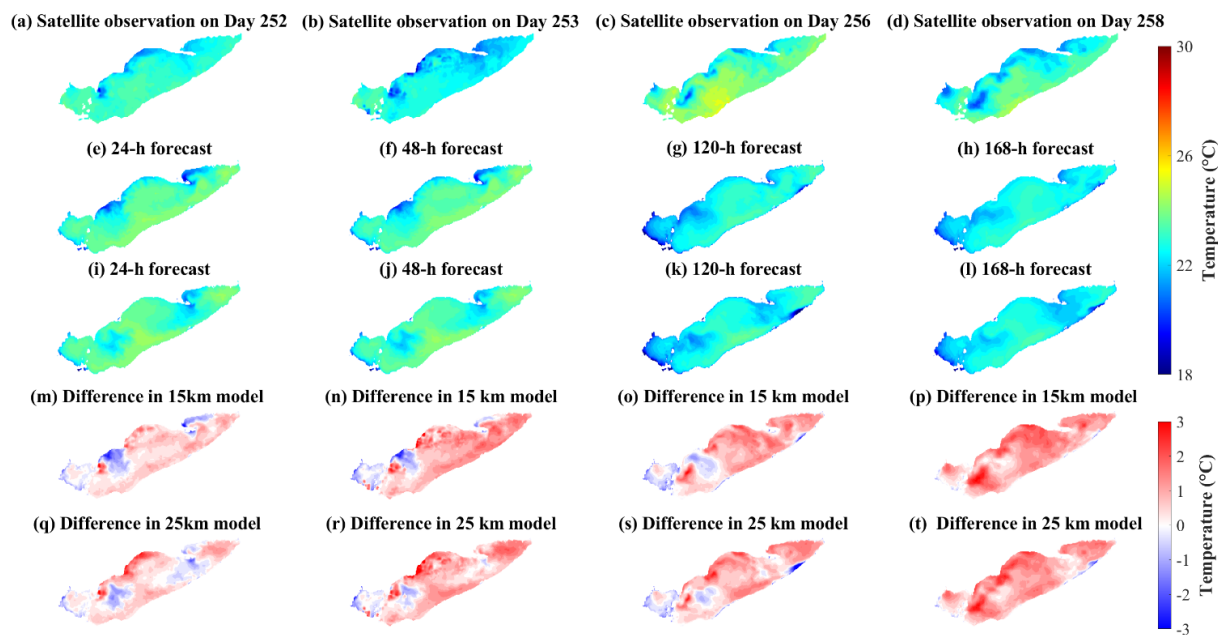
299 western basin with a cold bias ~2 °C (Fig. 8 m-t); the bias presumably was induced by neglecting riverine inflows

300 (e.g., Detroit River and Maumee River; see also Discussion 4.3), which are typically near the air temperature and

301 several degrees warmer than the lake surface (Wang and Boegman, 2021). Further comparisons between model

302 predictions and satellite-based observations of lake surface temperature can be found in the Supporting material

303 (Fig. D1-2).

304

305

306 **Fig. 8 Comparison of lake surface temperature from (a-d) satellite observations, (e-h) 15 km model forecast,**
307 **and (i-l) 25 km model forecast during late summer. The models were hot-started on day 251. The difference**
308 **between observations and models are shown in (m-t).**

309 **3.2.2   Thermal structure**

310 The 3D AEM3D model structure applied in COASTLINES enables the prediction of the thermal profiles in the lake.

311 On 15 Jun. 2020 (day 168), a rapid drop (~ 6°C) in surface temperature, was recorded by the thermistor at STN 45176,

312 and predicted by the stitched 24-h COASTLINES model (15 km meteorological input) (Fig. 9 a, b). The timing and

313 intensity of this up-welling event were accurately forecast, but before and after the upwelling event, the mixed layer

314 depth was modelled to be deeper than observed; perhaps a result of spurious numerical diffusion resulting from the

315 thermocline swashing along the stair-step z-level grid at the lake perimeter. The 240-h forecast model was not yet

316 operational at this time.

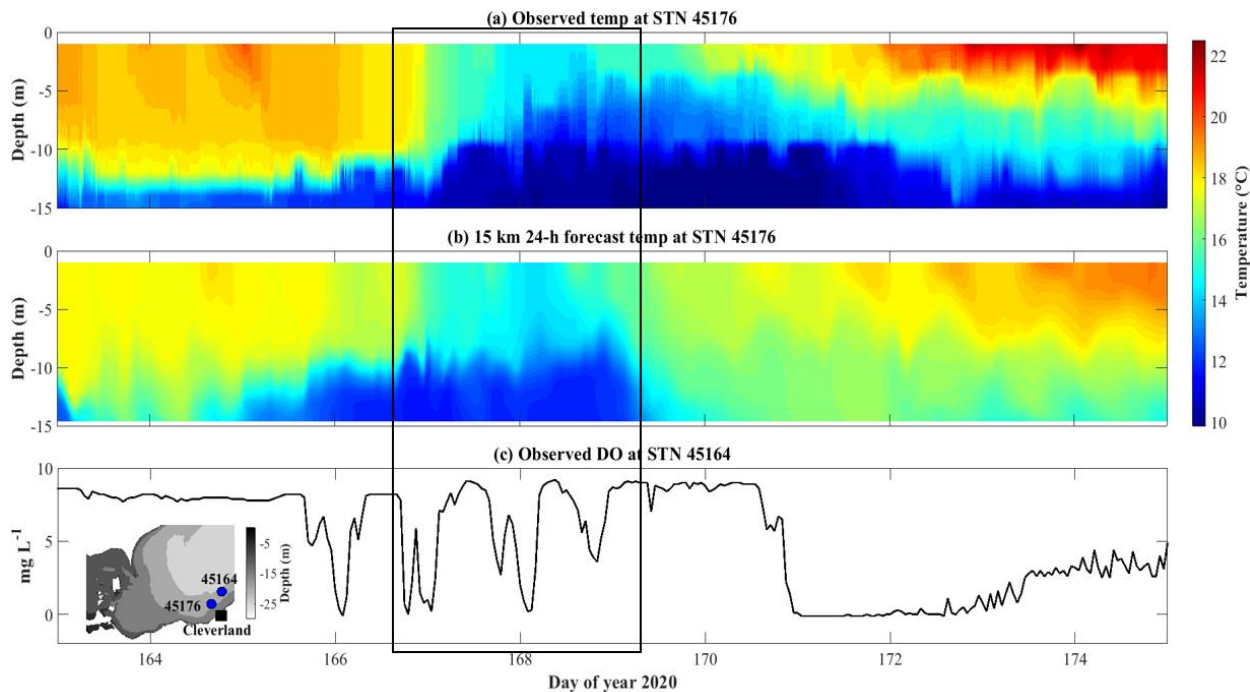
317 Both the 240-h 15 km and 25 km resolution forecasts predicted the down-welling event on 11 Jul. 2020 (day

318 193) at STN 45176 (Fig. 10). The forecasts were hot-started 7 days before the event (day 187), successfully predicting

319 when warm surface water down-welled toward the bed displacing the thermocline (Fig. 10 b, c), but the 15 km

320 resolution underestimated the intensity of down-welling, predicting thermocline recovery on day 193. The forecast

321 hot-started 5 days before the event (day 189) gave a more accurate prediction with the down-welling persisting over

322 2 days (Fig. 10 d, e) – as observed (Fig. 10 a).

323

324 **Fig. 9 Temperature profile comparisons between (a) observations and (b) stitched daily 24-h forecasts from the**
325 **15 km resolution model at station 45176. (c) Observed dissolved oxygen concentration at station 45164 from**
326 **lake buoy (https://www.glos.us/). The inset image shows the bathymetry and locations of lake buoys. The black**
327 **square indicates the timing of the up-welling event.**

328

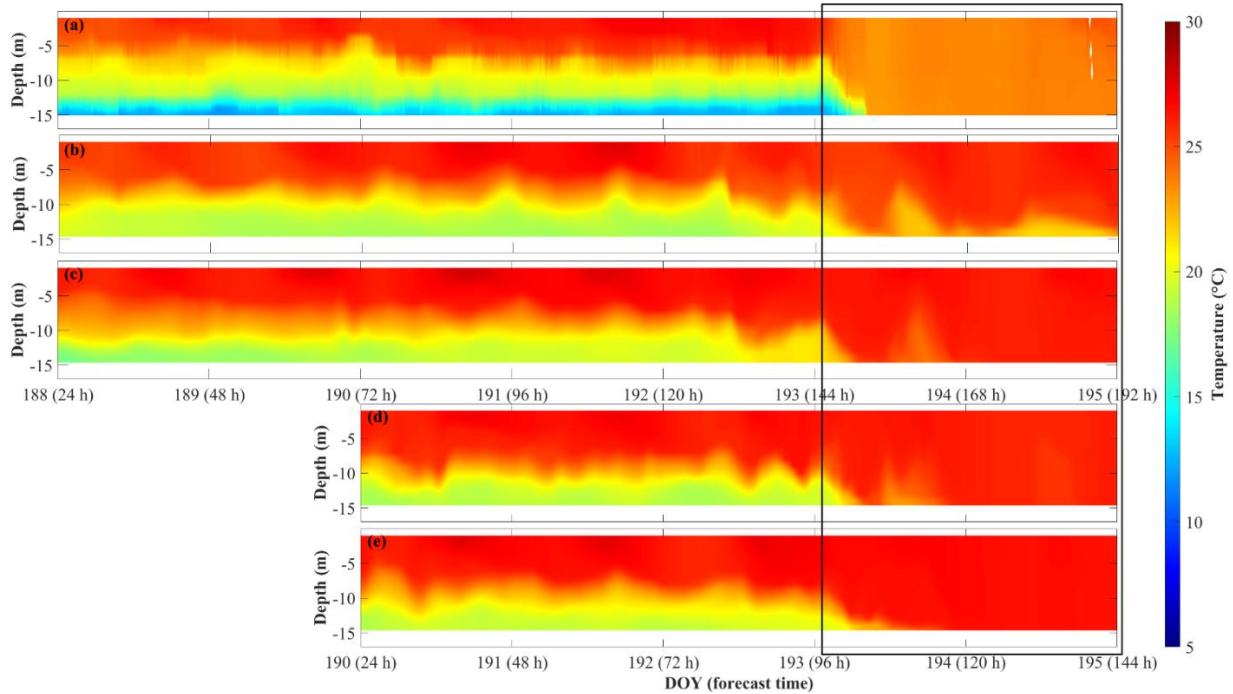



Fig. 10 Comparisons of (a) observed temperature profile, (b, d) 240-h 15 km resolution modeled, and (c, e) 240-h 25 km resolution modeled temperature profiles at STN 45176. The forecast models were hot-started on day 187 (b, c), and day 189 (d, e). The black square indicates the down-welling event.

Table 2

Statistical measures of t-MBD (Mean-Bias Deviation) and t-RMSD (Root-Mean-Square Deviation) between the 24-h forecast model and observations of water temperature.

Station	RMSD (°C)		MBD (%)	
	15 km model	25 km model	15 km model	25 km model
45176	2.6	2.6	6.8	6.8
45164	1.8	2.1	2.2	2.3
45132	1.5	1.5	5.5	5.7
45142	2.4	2.1	9.9	8.8
45167	1.2	1.1	4.6	4.0
45169	1.3	1.2	4.7	4.6
TWCO1	1.0	1.0	3	1.9
45005	1.2	1.1	8.2	7.9

4   Discussion

4.1   Prediction of coastal up-welling for fishery and drinking water management

The central basin of Lake Erie is vulnerable to hypoxia in summer from near-bed thermal stratification and the relatively large ratio of sediment area to hypolimnetic volume (Bouffard et al., 2013; Nakhaei et al., 2021). Associated fish kill events (10s of thousands) are regularly reported, including an event on north shore of the central basin in the late summer of 2012, which was presumably was caused by up-welling of cold anoxic water from the hypolimnion (MOE, 2012; Rao et al., 2014). Similarly, 1000s of freshwater drum were killed in a rapid warming event (~5 °C /week) in the western basin in 2020 (https://www.13abc.com/content/news/Hundreds-of-dead-fish-

343   wash-up-in-Sandusky-Bay-571025541.html). Similarly, shoreward advection of hypoxic water, from up-welling or

344   internal waves also adversely affects source water quality at drinking water intakes (https://epa.ohio.gov), whereby

345   high Fe and Mn or low pH, associated with hypoxic water requires adjustment of treatment processes. This is a

346   particular issue along the Ohio coast of the central basin (Ruberg et al., 2008; Rowe et al., 2019).

347   The ability to predict these movements of hypolimnion water would aid management of both Lake Erie

348   fisheries and drinking water treatment. Here, we test the ability of the model to predict up-welling of cold bottom

349   water in the region where the fish kill was observed in 2012. On days 249-253, 2020 (Fig. 8) strong southwesterly

350   winds (~ 12 m s^{-1}; Fig. C2) were modelled and observed to create up-welling along the north shore, as expected

351   from Ekman drift of the surface layer (Jabbari et al., 2019). The upwelled cold hypolimnetic water is shown near the

352   coast of Erieau in the satellite observations and the 15 km resolution model (Fig. 8 a, b, e, f). The depth-averaged

353   water temperature and current circulation in the forecast shows up-welling to persist for several days (Fig. 11), with

354   cold hypolimnetic water accumulating along north shore and strong eastward currents along the northern shoreline

355   of the east-central basin. The up-welling region matched that shown in a 2013 hindcast simulation (Valipour et al.,

356   2019), revealing the hotspots of vertical transport of nutrients and anoxic hypolimnetic water.

357   Another up-welling event occurred near the Cleveland drinking water intake crib on days 167-170 (Fig. 9).

358   This event was accompanied by simultaneous ~8 mg L^{-1} oscillations in the observed dissolved oxygen concentration

359   (Fig. 9 c) at STN 45164 (~20 km away from STN 45176), followed by the dissolved oxygen concentration

360   becoming locally hypoxic (< 2 mg/L) for ~2 days. The COASTLINES model predicted this event (section 3.2.2),

361   which would have provided sufficient notice for drinking water plane operators to implement the additional

362   treatment required for hypoxic water (Rowe et al., 2019). Future work, using the coupled iWaterQuality

363   biogeochemical module (formerly CAEDYM) could extend COASTLINES to forecast water quality in Lake Erie

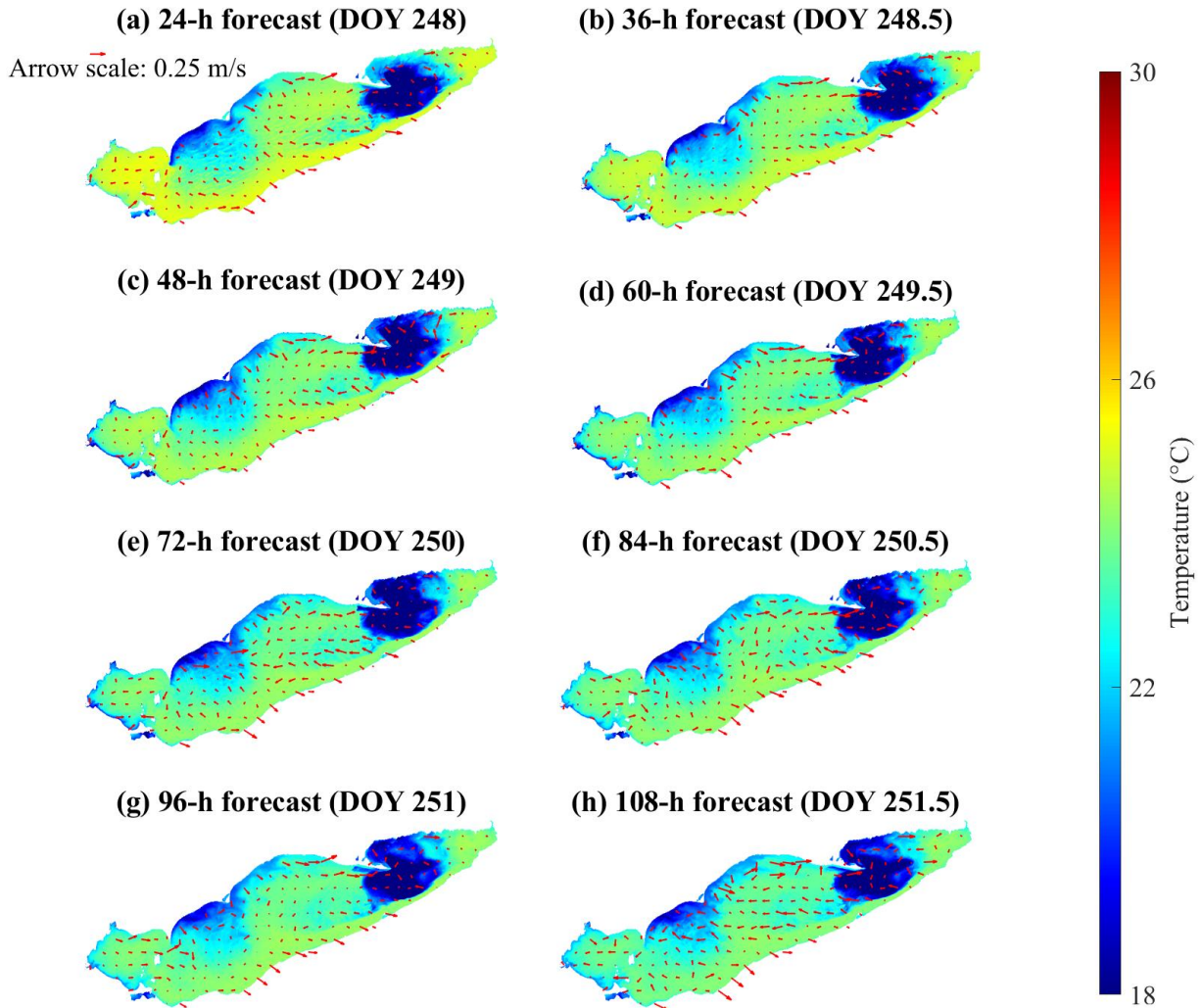
364   (León et al., 2011), including dissolved oxygen concentrations and formation of algae blooms (Bocaniov et al.,

365   2020).

(a) 24-h forecast (DOY 248) **(b) 36-h forecast (DOY 248.5)**

(c) 48-h forecast (DOY 249) **(d) 60-h forecast (DOY 249.5)**

(e) 72-h forecast (DOY 250) **(f) 84-h forecast (DOY 250.5)**

(g) 96-h forecast (DOY 251) **(h) 108-h forecast (DOY 251.5)**

366

367 **Fig. 11 Color maps showing the forecast depth-averaged temperature throughout the lake. The red arrows**
368 **represent forecast depth-averaged currents. The model results are from the 240-h forecast model hot-started**
369 **on day 247.**

370 **4.2   Prediction of storm surge events for public safety**

371 Due to its shallowness and long fetch aligned with the predominant southwest winds (Hamblin, 1979), Lake Erie has

372 the largest daily range of water level amongst the Great Lakes (Trebitz, 2006); these water level fluctuations are

373 mainly due to storm surges and surface seiches (Mortimer, 1987). In every month of 2020, Lake Erie set new mean

374 water level records (https://www.tides.gc.ca/C&A/bulletin-eng.html), causing the shoreline to be exposed to high

375 risk from erosion and flooding and making the shoreline communities susceptible to costly damage and economic

376 loss (e.g., https://www.lowerthames-conservation.on.ca/flood-forecasting/flood-notices/). Given the ability of

377 COASTLINES to predict water level fluctuations induced by storm surges and seiches (Fig. 3, 5), we tested the

378 ability of the model to act as a coastal flooding warning system. Due to the unpredictability and severity of water

379 level fluctuations in Lake Erie, there is currently a need to improve short-term water level forecasts and water level

380 warning systems (Gronewold and Stow, 2014). This would assist early decision making during natural hazards

381 (Gronewold and Rood, 2019).

382   We forecast the storm event on 15 Nov. 2020, which generated a wind-induced storm surge (~1-1.5 m) in

383 the eastern basin with associated strong surface currents (Fig. 12). The inset image, taken during the event, shows

384 the coastal flooding from this event. COASTLINES successfully predicted the high-water level phase at Port Dover

385 72 hours in advance, but underestimated the water level increase by 0.5 m. The hot-start forecast 24 hours in

386 advance was more accurate in predicting the water level prediction, with a difference <0.5 m from the observations

387 (Fig. 12 d). Note that both forecasts missed the small (~0.5 m) seiche before the significant increase at the end of

388 day 320, presumably due to the low temporal resolution of the meteorological forecast input or local topography

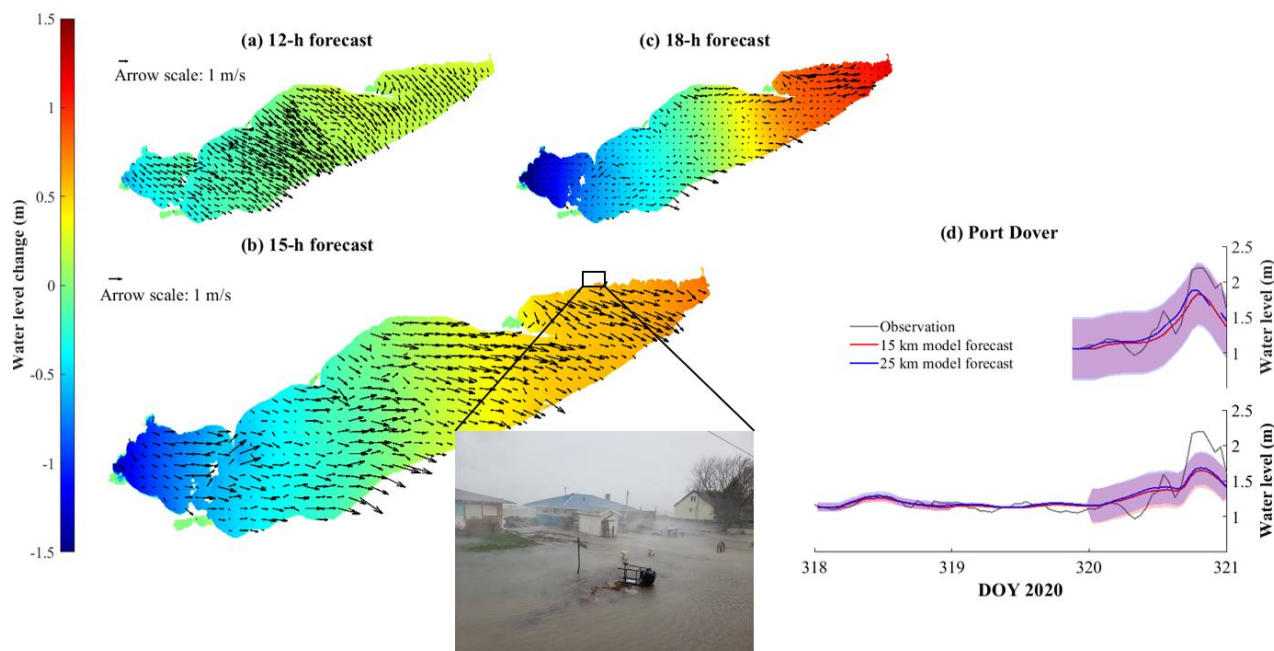
389 near the gauge. The overall wind-induced tilt of the free surface was less from the 72 hours hot-start, relative to the

390 24 hour hot start (Fig. E1), which predicted a larger local storm surge (Fig. 12d).

391   The impacts of coastal flooding could be improved by including simulation of wind-waves through

392 enabling the coupled surface wave model SWAN (Booij et al., 1999) in AEM3D. Similarly coupled Delft3D-SWAN

393 models have recently been applied in the development of a real-time predictive system for the coastal ocean and

394 large estuaries (Rey and Mulligan, 2021).

395

396 **Fig. 12 Color maps showing the water level change compared to Nov 15th 00h from (a) 12 h, (b) 15 h, and (c)**
397 **18 h forecasts from 15 km resolution model. The black arrows are depth-averaged mean current fields. Panel**
398 **(d) shows a comparison between forecast and observed water level at Port Dover. The upper panel shows the**
399 **forecast hot-started on 15 Nov. 2020 (day 320), and the lower panel shows the forecast hot-started on 12 Nov.**
400 **2020 (day 317). The shaded region indicates the confidence interval. The inset image (extracted from a footage**
401 **by J. Homewood from Lower Thames Valley Conservation Authority) shows the flooding induced by the**
402 **dramatic water level increase during this event. The two cottages shown in the images were destroyed later in**
403 **the afternoon.**

4.3 Bias and uncertainty

The AEM3D model (formerly ELCOM) employed in COASTLINES has shown skill in temperature hindcasts in the Great Lakes with RMSD ~ 0.9 – 3 ℃ in Lake Erie (Liu et al., 2014; Oveisy et al., 2012) and 1.5 – 1.9 ℃ in Lake Ontario (Paturi et al., 2012). Similarly, the 24-h COASTLINES forecast predicted water temperatures with an average s-RMSD and t-RMSD < 2 ℃ at the surface (Table 2). Therefore, the forecasts are within ~1 ℃ RMSD in comparison to hindcasts, showing sufficient model skill for predictive simulations to aid lake management (e.g., movements of hypoxic water, fish thermal habitat, etc.). The accuracy of the COASTLINES forecasts may result from the high spatial resolution and coverage of meteorological forecast compensating for the inherent inaccuracies in the weather forecast data. Errors in forcing data may be compensated for using data assimilation (Baracchini et al., 2020b). In the hindcast models, Liu et al. (2014) applied uniform Lake Erie meteorological forcing over 4 zones and Valipour et al. (2019) utilized 6 zones, each spanning ~100 km. These included land-based observations, when there was no available lake buoy data, which induces error, especially in large shallow lakes (Hamblin, 1987). The comparatively high-resolution GDPS meteorological forecast was four to five times higher in horizontal resolution than used in the hindcast simulations, improving the representation of regional meteorological and climatological conditions.

Compared to other operational lake forecast systems, the 240-h COASTLINES forecast is longer (e.g., GLCFS forecasts 120 hours and meteolakes.ch forecasts 108 hours) and is the only one forced with open-access meteorological data that has global coverage. The GLCFS provided 48-h water level forecasts with RMSD ~0.12 m at the Buffalo gauge and ~0.14 m at the Toledo gauge, corresponding to RE ~ 60% and 51%, respectively (O'connor et al., 1999; Trebitz, 2006); using the older 4 km grid Princeton Ocean Model implementation, as opposed to the newer unstructured grid FVCOM GLCFS. COASTLINES gives better 48-h water level forecast performance (RE ~ 40 %) at six gauge stations. For temperature, benefitting from a smaller domain, finer resolution meteorological input (~2.2 km) and data assimilation, the 4.5-day lake surface temperature predicted by meteolakes.ch has a RMSD = 0.8 ℃ (Baracchini et al., 2020b), whereas COASTLINES predicted the 120-h (5 d) lake surface temperature with RMSD ~ 1.7 ℃. COASTLINES also outperforms 1D climatological hindcasts (e.g., Freshwater Lake (FLake)), with 2– 4 ℃ RMSD over a 120-h lake surface temperature forecast (Lv et al., 2019; Gu et al., 2015) and has similar error to the 3D Princeton Ocean Model (Kelley et al., 1998), with 0.6-0.9 ℃ mean absolute error in the 36-h lake surface temperature forecast at station 45005.

The uncertainty and bias in the COASTLINES forecast results from error induced by the initial conditions at each hot-start, error in the meteorological forecasts and error in the numerical methods. These errors could be reduced by improving model calibration through data assimilation. For example, Baracchini et al. (2020b) reduced the RMSE temperature simulation of Lake Geneva from ~ 2 ℃ to ~ 1 ℃ by employing a sequential data assimilation routine; this would correspond to a <5% improvement in simulation of Lake Erie summer surface temperature. In AEM3D, sequential data assimilation could be implemented through modification of the restart files (aem3d_restart_v3_type.f90); however this is beyond the scope of the present study. Future work will focus on adding real time model calibration (e.g., Gaudard et al. (2019)), which is not presently included in the

440 COASTLINES forecast workflow. For example, Baracchini et al. (2020a) employed OpenDA as a black-box

441 wrapper to calibrate DELFT3D for Lake Geneva.

442   The errors induced by hot-starting were shown to be negligible (Fig. 4, 6, 7 (a, b), Fig. A1). However,

443 uncertainty from boundary conditions, especially the meteorological forcing, may introduce error. The 23 to 31

444 meteorological zones from the forecast wind field provides spatial variability required to simulate the mean surface

445 circulation (Laval et al., 2003), water level (Trebitz, 2006), and thermocline motions (Valipour et al., 2015; Valipour

446 et al., 2019). However, the 3-h time interval between GDPS forecast dataset updates is much less than the 10-min

447 interval associated with meteorological data observed at lake-buoys (typically one to six) used to drive hindcasts

448 (e.g., León et al. (2005)) and so the coarse temporal resolution in GDPS forecast may alias temporal events, such as

449 wind gusts (Fig. C1). This is of particular concern in large shallow lakes, such as Lake Erie, where winds play the

450 dominant role in driving hydrodynamics. The rapid response of the water level to windstorms (Hamblin, 1987)

451 could result in the effects of aliasing and forecast error being passed to the water level, leading to the growth of RE

452 against forecast time (Fig. 3).The meteorological forecast from the 15 km and 25 km GDPS models did not show

453 discrepancies (Fig. C2-5) and the evaluation metrics indicate that forecast results were largely insensitive to the

454 meteorological inputs in Lake Erie (Fig. 3, 7). However, the 15 km model better predicted the mesoscale upwelling

455 event (Fig. 8, 9, D2). The 24-h air temperature and wind speed forecasts had ~ 1.5 °C and ~ 2 m s^{-1} RMSD,

456 respectively. However, bias in the 240-h forecast increases with forecast time (Buehner et al., 2015). The 168-h

457 forecast meteorological data overestimated wind speeds by up to 10 m s^{-1} (Fig. C4), and bias in the air temperature

458 forecast (Fig. C5) may cause the consistent warm bias (up to 3°C) in forecast lake surface temperature (Fig. 8).

459 These errors may be corrected through real time calibration using data assimilation (Baracchini et al., 2020a, b). The

460 growing bias in air temperature, with forecast time, does not affect the lake surface temperature (Fig. 7), presumably

461 owing to the buffer effect of surface mixing layer (Schertzer et al., 1987).

462   Neglecting the inflows and outflows in the predictive simulation could induce bias in the forecast. The

463 overestimation of water level fluctuation range near Bar Point (Fig. 4f) may result from neglecting the large Detroit

464 River inflow, which regulates the seiche magnitude. The inflows also adjust more rapidly to air temperatures

465 compared to deep lake waters. Thus, the up to 2 °C cold bias in coastal regions of the western basin (Fig. 8 m-t, Fig.

466 D2) could be induced by neglecting the heated flux from two major inflows (i.e., Detroit River and Maumee River)

467 of Lake Erie.

468   In addition to inaccuracy in initial and boundary conditions, the discrepancies in simulating temperature

469 profiles forecast may result from numerical diffusion arising due to the discrete nature of the vertical and horizontal

470 grids. The simulated thermocline depth is overestimated (Fig. 9, 10), as occurred in applications of ELCOM with

471 both higher (Nakhaei et al., 2019) and lower resolution (Paturi et al., 2012). COASTLINES has the potential to

472 predictively simulate mesoscale physical processes, such as Kelvin waves (Bouffard and Lemmin, 2013) and

473 nearshore-offshore exchange (Valipour et al., 2019); however, model performance is poor in nearshore areas, where

474 topographic features remain poorly resolved.

5   Conclusions

We developed an operational forecast system COASTLINES, using the Windows Task Scheduler, Python-based data scrapping/formatting, and MATLAB data processing scripts, to automate application of a black-box hydrodynamic driver (AEM3D) to Lake Erie as an operational forecast tool. The resulting real-time and predictive lake modelling system used meteorological forecasts to generate 240-h forecasts of the lake surface level and 3D temperature and current fields on a 500 m × 500 m (horizontal) × ~ 1 m (vertical) grid, compares model output with near real time observations and publishes the model output on a web-based platform.

The favorable agreement between forecast model results and observed physical variables (e.g., water level RE ~ 40 % and temperature t-RMSD and s-RMSD < 2 °C) in Lake Erie demonstrates the ability of the forecast system to make predictions of hydrodynamic processes on time horizons up to 240-h that are as accurate as traditional hindcast simulations using directly observed meteorological forcing. This enables the near real-time updates to the web platform to be used as a communication tool that rapidly disseminate forecast results to managers and stakeholders. Examples include >24-h prediction of: (*i*) up- and down-welling events leading to fish kills; (*ii*) up-welling events transporting hypoxic water to a drinking water intake; and (*iii*) coastal flooding events from storm surges.

This operational system shows the feasibility of applying freely available meteorological forecasts (e.g., GDPS, HRRR), in situ buoy data and satellite images to drive and validate any computational lake model (e.g., AEM3D, DELFT3D, GLM), without modifying the source code. The global coverage of the weather model allows generalization of model application to and lake or coastal domain. To facilitate further development of open-access predictive modelling systems, agencies are encouraged to share model validation observations, in real-time, through organizations such as GLEON (www.gleon.org) and GLOS (www.glos.us). This will enable extension of COASTLINES to include prediction of the physical-biogeochemical variables that drive sediment transport, hypoxia, and harmful algal blooms.

Code and data availability.

The observed data and meteorological forcing used in this study is openly accessible online as cited in the text. The COASTLINES model output is archived on the server and can be obtained by contacting the corresponding author. The Python and MATLAB scripts as well as the timeline set in Windows Task Scheduler are archived in the Scholars Portal Dataverse (https://doi.org/10.5683/SP2/VTN7WC, Lin, 2021). The AEM3D executable was used as a black box hydrodynamic transport code. The executable used in COASTLINES is available for a nominal license fee from from Hydronumerics (https://www.hydronumerics.com.au/). The AEM3D source code was not modified in this application but is available with permission from Hydronumerics.

Author contributions.

The concept of the COASTLINES workflow was designed by LB, SL, SS, and RM, and SL carried them out. SL developed the model code and performed the simulations. All authors contributed to the validation of the model and interpretation of the results. SL wrote the manuscript with contributions from LB, SS, and RM.

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515   Thames Valley Conservation Authority (LTVCA) providing footages of the storm event on Nov. 15th, 2020.

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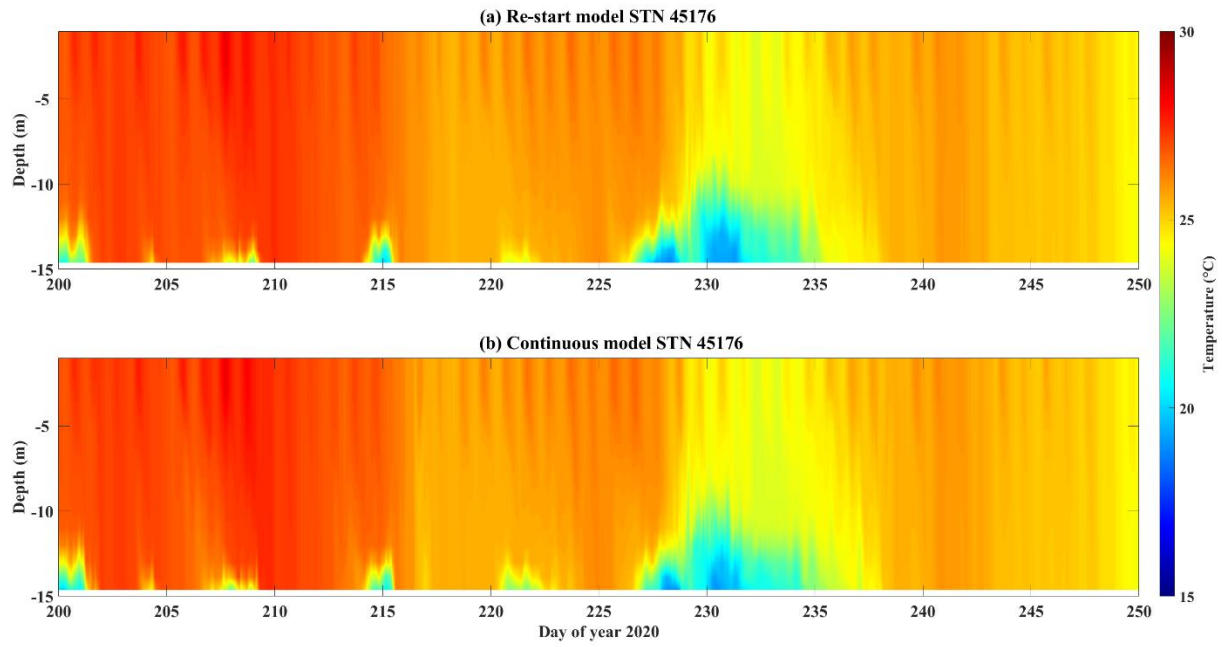
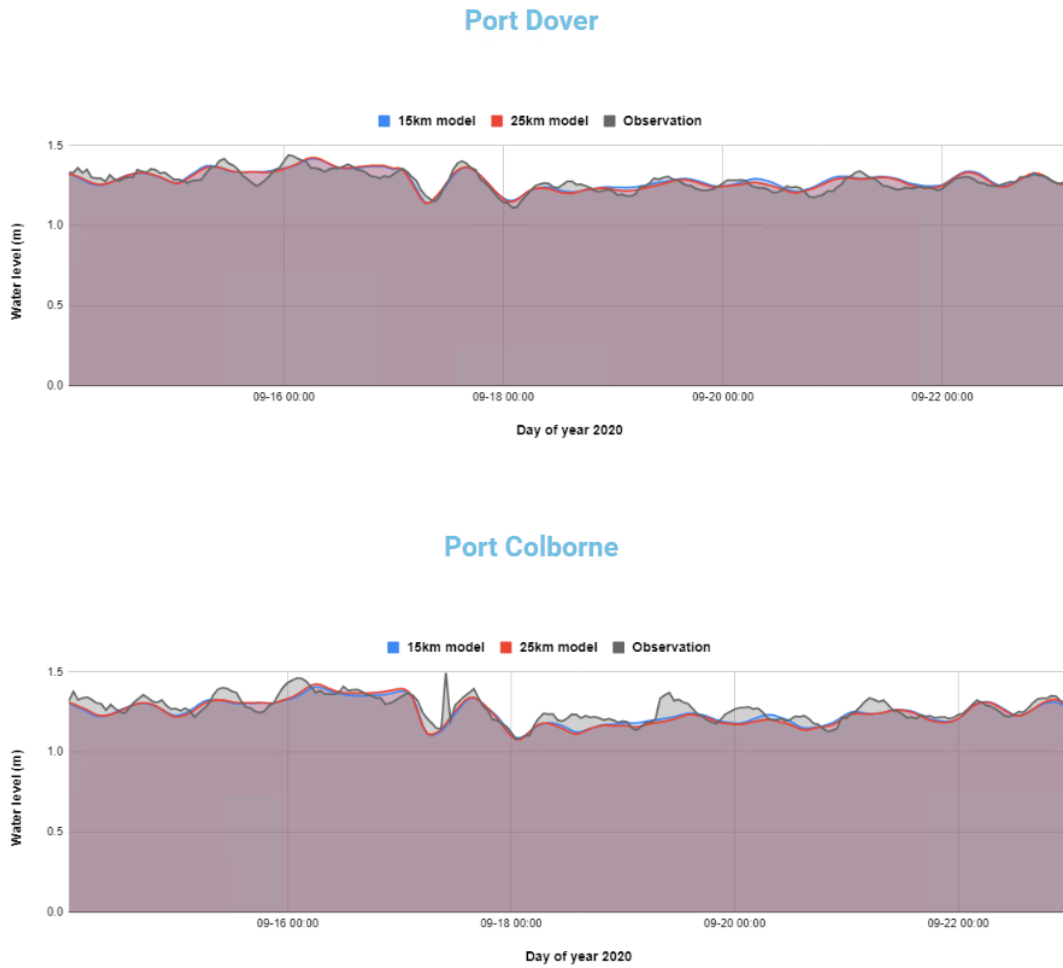
694

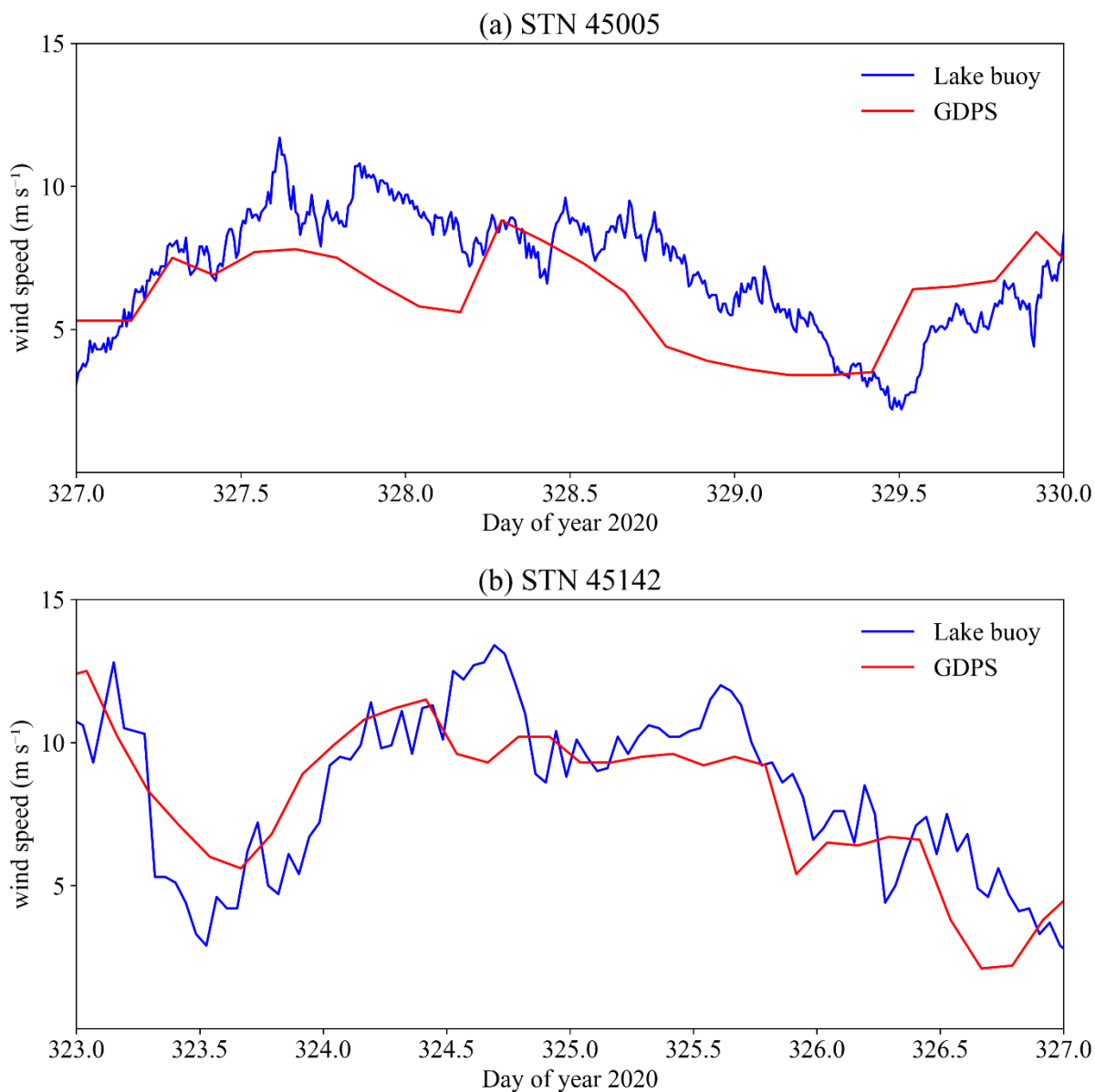
695   **Appendix A: Comparison of 24 h model run with re-start files and model run with continuous files.**

696
697   **Fig. A1 Temperature profile comparison between (a) stitched 24 h model run with re-start files, and (b) model**
698   **run with continuous input files.**

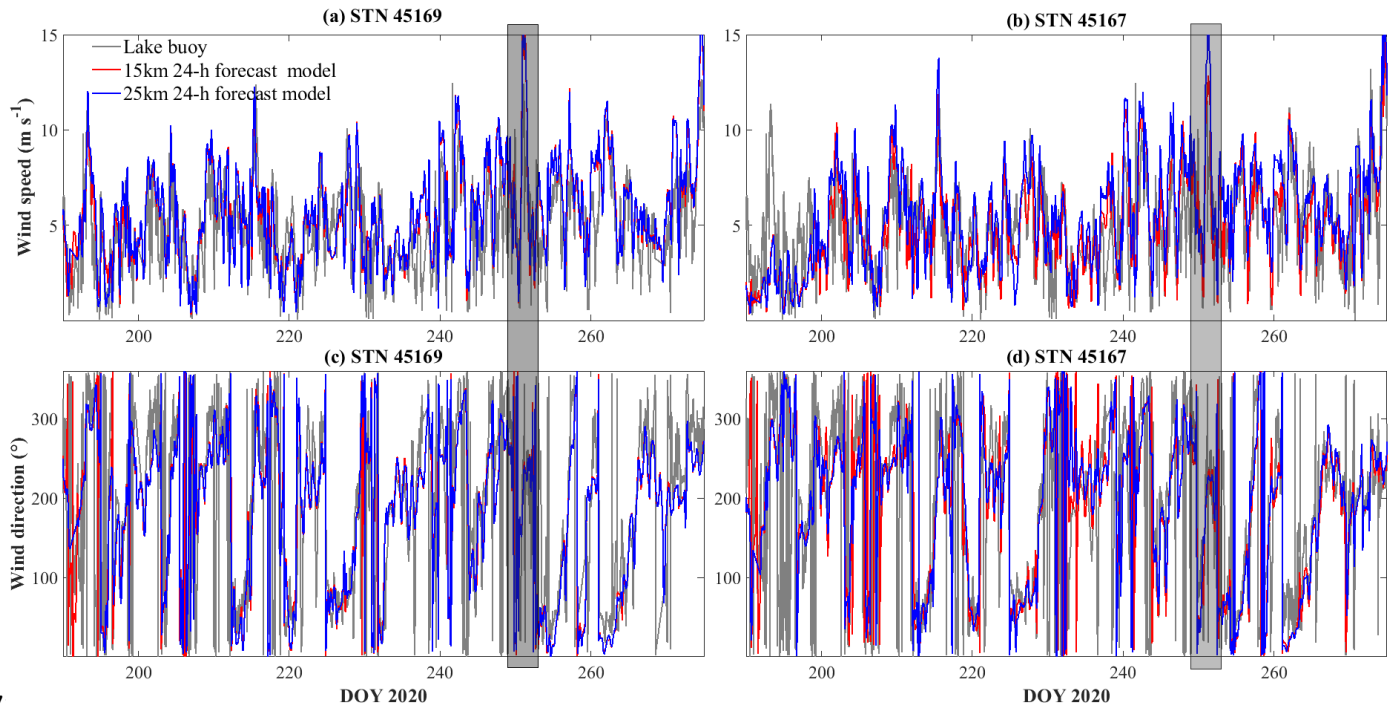
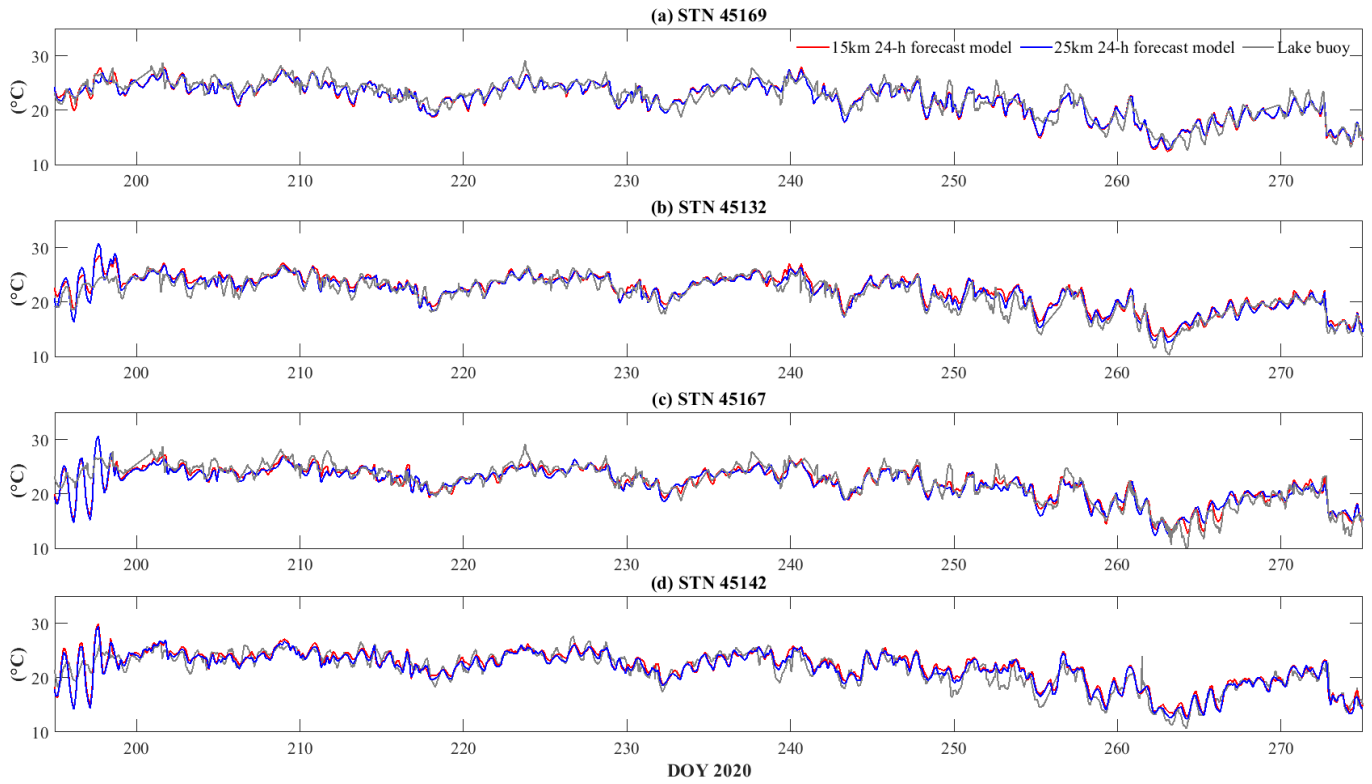
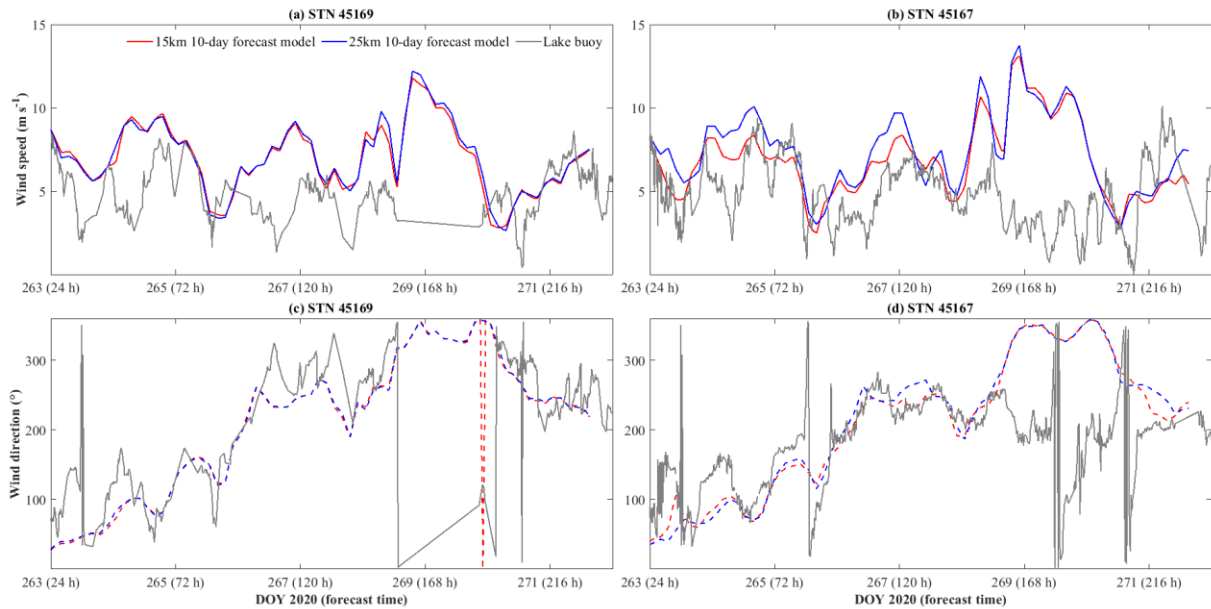
699

700 **Appendix B: COASTLINE website snapshot**

701

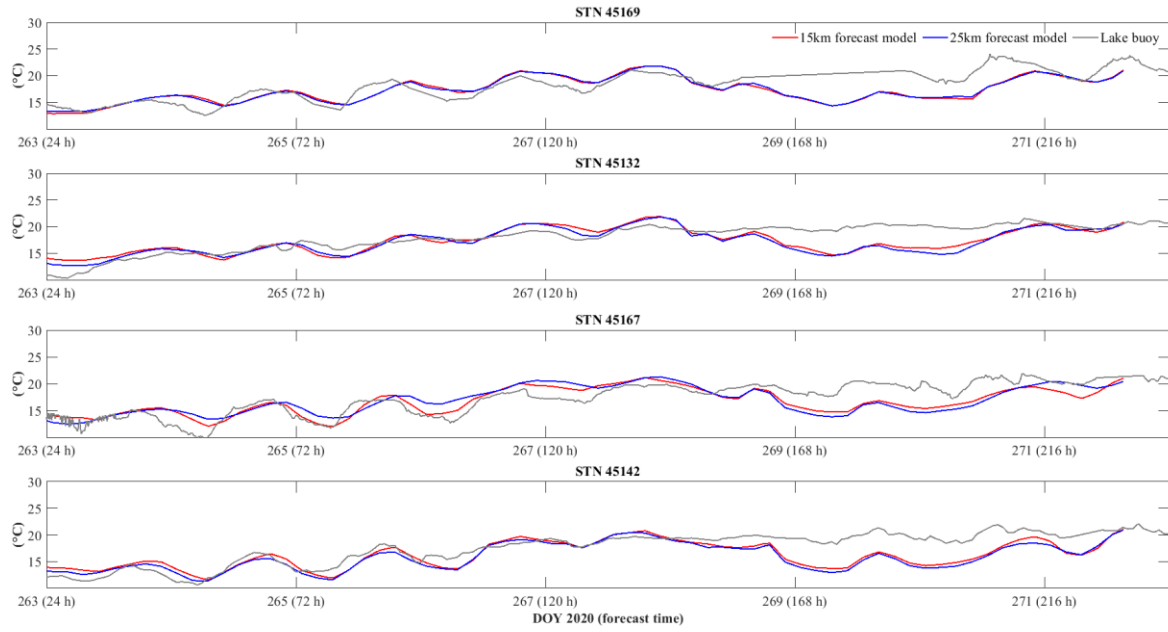
702 **Fig. B1 Snapshot of water level forecast validation web page displayed on COASTLINES online platform:**
703 **https://coastlines.engineering.queensu.ca/erie/water-level-forecast. Status on Sep 23rd, 2020.**

704

705 **Appendix C: Validation of meteorological input variables**

706

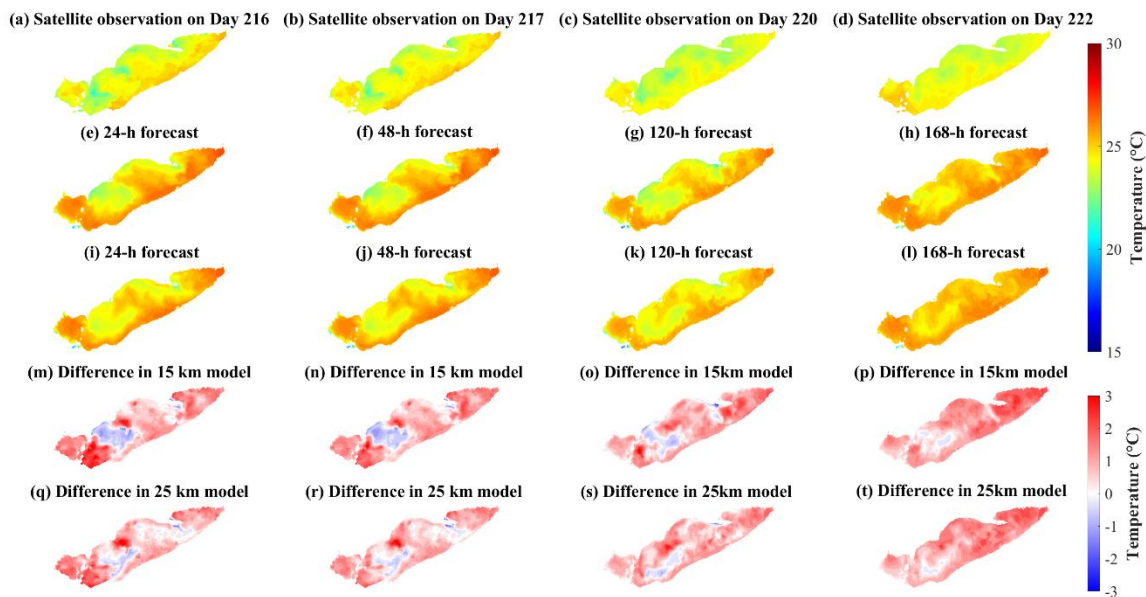
707 **Fig. C1 Comparisons of stitched GDPS wind forecast with 3 h delivery interval and lake buoy measured wind**
708 **speed at (a) station 45005 (10 min sampling interval), and (b) station 45142 (1 h sampling interval). The wind**
709 **gusts on day 327 at station 45005 and day 324 at station 45142 were missed by wind forecast.**

711   **Fig. C2 Comparisons of 24-h meteorological forecast and lake buoy observations of wind speed (a, b) and wind**
712   **direction (c, d). The gray rectangle indicates the storm that led to up-welling along northern shoreline on days**
713   **248-253.**

715   **Fig. C3 Comparisons of 24-h air temperature forecast and lake buoy observations of air temperature.**

716

717   **Fig. C4 Comparisons of 240-h meteorological forecast and lake buoy observations of wind speed (a, b) and wind**
718   **direction (c, d).**

719

720

721   **Fig. C5 Comparisons of 240-h air temperature forecast and lake buoy observations.**

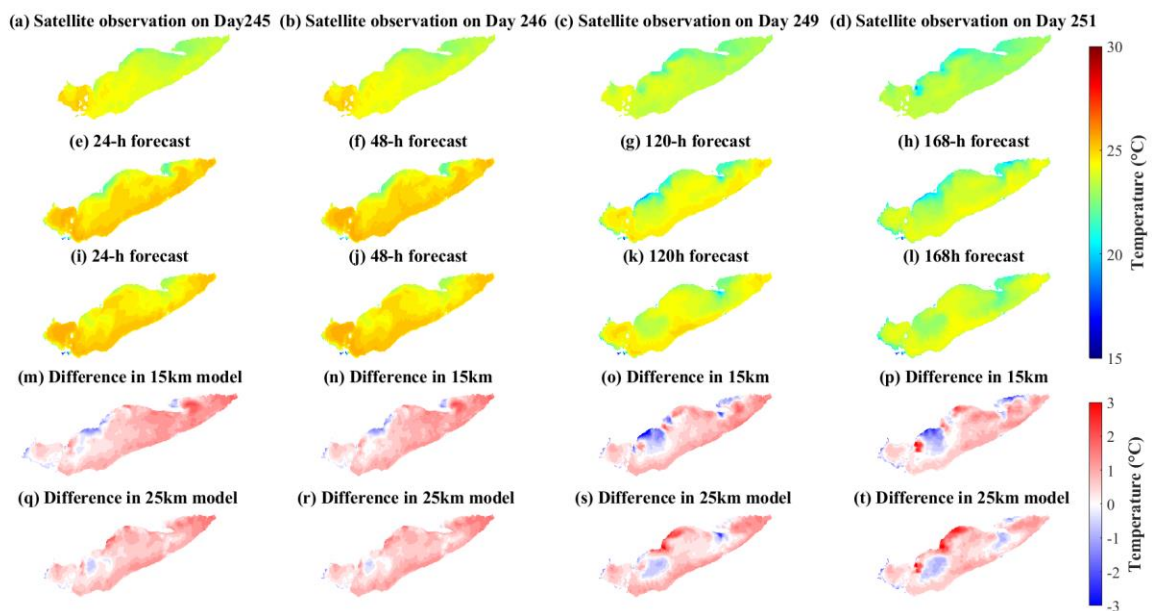
722   **Appendix D: Temperature validation against satellite observations**

723

724

725   **Fig. D1 comparisons of (a-d) satellite observations, (e-h) 15 km model 240-h forecast, and (i-l) 25 km model**

726   **240-h forecast during summer. The models were hot-started on Day 215. The difference between observations**

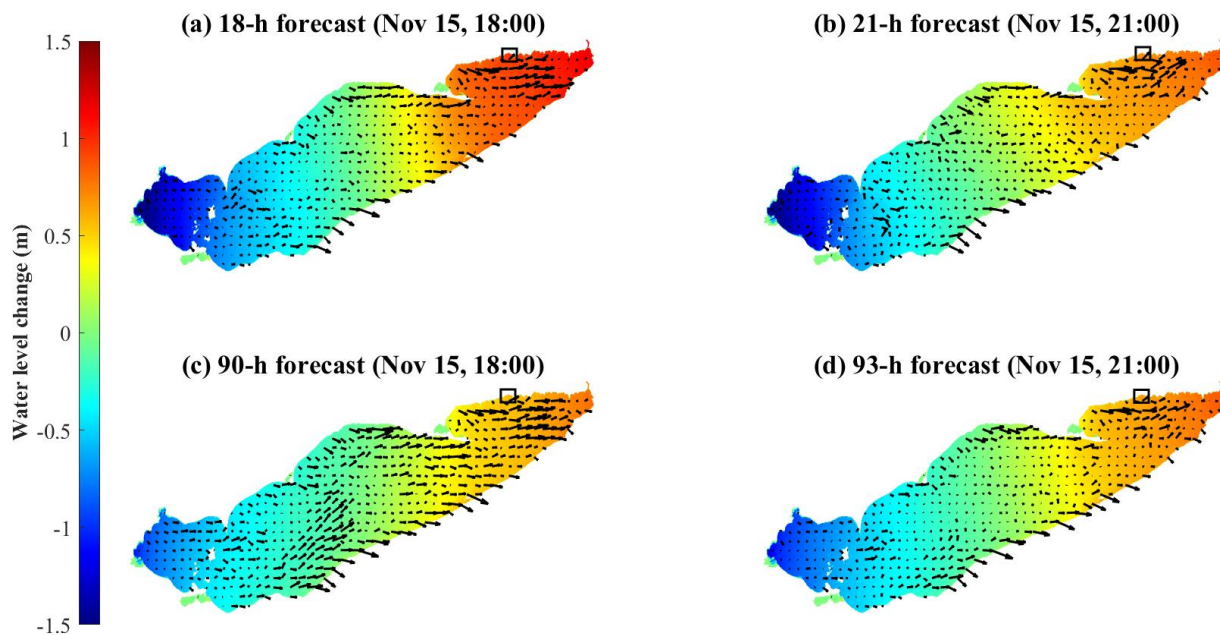
727   **and models are shown in (m-t).**

728

729

730   **Fig. D2 comparisons of (a-d) satellite observations, (e-h) 15 km model 240-h forecast, and (i-l) 25 km model**

731   **240-h forecast during late summer. The models were hot-started on Day 244. The difference between**

732   **observations and models are shown in (m-t).**

733   **Appendix E: Water level change during windstorm on Nov 15th, 2020**

734

735   **Fig. E1 Spatial distribution of water level change from forecasts hot-started on Nov 15th (a, b) and Nov 12th**

736   **(c, d). The water level at Nov 15th 00:00 is the reference level. The black arrows are depth-averaged mean**

737   **current fields. The black squares in the upper right corners of each map indicate the location of Port Dover**

738   **(Fig. 12d).**

739

740