

# Implementation of a new crop phenology and irrigation scheme in the ISBA land surface model using SURFEX\_v8.1

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**Abstract.** With an increase in the number of natural processes represented, global land surface models (LSMs) have become more and more accurate in representing natural terrestrial ecosystems. However, they are still limited with respect to the impact of agriculture on land surface variables. This is particularly true for agro-hydrological processes related to a strong human control on freshwater. While  
15 most LSMs consider natural processes only, the development of human-related processes, e.g. crop phenology and irrigation in LSMs, is key. In this study we present the implementation of a new crop phenology and irrigation scheme in the ISBA (Interaction between Soil, Biosphere, and Atmosphere) LSM. This highly flexible scheme is designed to account for various configurations and can be applied at different spatial scales. For each vegetation type within a model grid cell, three irrigation systems can  
20 be used at the same time. A limited number of parameters are used to control (1) the amount of water used for irrigation, (2) irrigation triggering (based on the soil moisture stress) and (3) crop seasonality (emergence, harvesting). A case study is presented over Nebraska (USA). This region is chosen for its high irrigation density and because independent observations of irrigation practices can be used to verify the simulated irrigation amounts. The ISBA simulations with and without the new crop  
25 phenology and irrigation scheme are compared to different satellite-based observations. The comparison shows that the irrigation scheme improves the simulated vegetation variables such as leaf area index, gross primary productivity, and land surface temperature. In addition to a better representation of land

surface processes, the results point to potential applications of this new version of the ISBA model for water resource monitoring and climate change impact studies.

## 30 1 Introduction

Amongst the global water withdrawal from rivers, reservoirs and groundwater, the share used for agriculture is estimated to reach 69 % on average, with some regional heterogeneity - over 90 % in some regions (Hoekstra and Mekonnen, 2012, FAO, 2014). This amount of water is likely to increase in the future in relation to climate warming and population growth (United Nations et al., 2019, Field et al., 2014). The historical evolution of irrigation also points to increasing water consumption: the area equipped for irrigation nearly doubled from 1900 to 1950, when it tripled from 1950 to 2005 (Siebert et al., 2015).

Irrigation is used to increase crop yields by mitigating the soil water stress (Fraiture et al., 2007). Several studies indicate that yields can be higher by a factor of two or more when the fields are irrigated (Bruinsma, 2009; Colaizzi et al., 2009; Siebert and Döll, 2010; FAO, 2014). However, freshwater is already a limited resource and the current evolution of irrigation has a substantial impact on: (1) river discharge, with a decrease in their lower reaches due to diversions and impoundments for irrigation (Tang et al., 2008; Piao et al., 2010; Grafton et al., 2018), (2) groundwater level, with critical low levels observed in case of intensive irrigation (Rodell et al., 2009; Döll et al., 2012; Pfeiffer and Lin, 2014), (3) the surface energy budget through an increase of evapotranspiration, which can lead to surface cooling (Kueppers et al., 2007; Lobell et al., 2008; Jiang et al., 2014; de Vrese et al., 2016). Water vapour originating from large scale irrigation water supply can be recycled to rainfall (Moore and Rojstaczer, 2002; DeAngelis et al., 2010; Carrillo-Guerrero et al., 2013; Harding et al., 2013). It can also affect the dynamics of the monsoon (Douglas et al., 2006; Saeed et al., 2009; Shukla et al., 2014) and influence climate at both regional and global scales (Sacks et al., 2009; Puma and Cook, 2010). These findings show a gradual and significant influence of changes in irrigated areas on the hydrological cycle (e.g. Adegoke et al., 2003; Haddeland et al., 2006; Rost et al., 2008; Döll et al., 2009; Hanasaki et al., 2010; Biemans et al., 2011). The ability of numerical models to reproduce these

different impacts and feedbacks is thus essential in order to understand the role of irrigation in the Earth  
55 climate system at different spatial scales (Zaitchik et al., 2005). Representing irrigation could  
potentially improve weather and climate forecast skill (Ozdogan et al., 2010). However, as presented  
below, irrigation is generally represented in models in a too simplistic way.

Land surface models (LSMs) provide lower boundary conditions to climate and weather forecast  
atmospheric models. The new generation of LSMs is able to represent land surface biophysical  
60 processes and variables, including soil moisture and vegetation biomass, in a way that is fully consistent  
with the representation of carbon, water and energy fluxes. LSMs differ from crop models in the sense  
that they do not explicitly represent all the agricultural practices, nor crop yields. While most crop  
models have implemented irrigation, irrigation is not represented by all LSMs.~~However, e~~Current  
~~LSMsmodels~~ have to improve the representation of anthropogenic factors and their interactions with  
65 natural processes (Verburg et al., 2016). In particular, LSMs need to represent the complexity of  
irrigation practices as much as possible, and their impact on the atmosphere and on the environment.  
For example, efforts are made to achieve this goal in the Community Land Model (CLM), in Noah-MP,  
in Accelerated Climate Modeling for Energy (ACME), and in ORganizing Carbon and Hydrology in  
Dynamic EcosystEms (ORCHIDEE) LSMs (Felfelani et al. 2020, Zhang et al. 2020, Leng et al. 2017,  
70 and Yin et al. 2020, respectively).~~However, a~~As highlighted by Chukalla et al. (2015), a number of  
large scale LSMs currently represent only one type of irrigated vegetation (mostly C4 crops, i.e. crops  
with a C4 photosynthesis carbon fixation type, such as corn, sorghum), with only one type of irrigation  
practice (e.g. sprinkling or flooding), one season per year and no inter-annual variability of vegetation  
density (Perry, 2007; Perry et al., 2009). Among others, this is the case in the current version of the  
75 ISBA (Interaction between Soil, Biosphere, and Atmosphere; Noilhan and Planton, 1989) LSM, with  
C4 crops irrigated with sprinkling (Voirin-Morel, 2003; Calvet et al., 2008). In reality, there are a lot of  
different vegetation types which can be irrigated, from orchards to pastures (FAO, 2014), and different  
irrigation techniques with different ways to apply water (above the vegetation or directly on the ground  
for sprinkling and flooding irrigation techniques, respectively). Different irrigation types vary in (1)  
80 irrigation efficiency (Evans and Sadler, 2008; Jägermeyr et al., 2015), (2) the amount of freshwater used

for irrigation per surface unit (FAO, 2014), and (3) impact on water resources (Khan and Abbas, 2007). Moreover, some specificities of irrigation such as the timing and frequency of water application can affect the ecosystem and atmospheric responses to irrigation (Sorooshian et al., 2012). Some models include a representation of irrigation without having an interactive vegetation scheme and using climatological values instead (such as with the LIS-Noah model, a NASA land information system and LSM combination, used in Lawston et al., 2015), thereby precluding inter-annual variability of vegetation density and the impact of irrigation on vegetation growth. Having a more complete irrigation description is needed to reproduce the irrigation seasonality, and to represent possible changes in crop phenology such as emergence and harvest dates. The impact of changing irrigation characteristics in a context of climate change could thereby be evaluated, such as increasing irrigation efficiency (currently around 56%; FAO, 2014) and freshwater saving potential (Perry et al., 2017; Koech and Langat, 2018).

The objective of this work is to develop and evaluate a more detailed representation of crop phenology and irrigation practices into the ISBA LSM within the SURFEX (SURFace EXternalisée) modelling platform (Masson et al., 2013). The new scheme is designed to work on a global scale. We focus on a densely irrigated area in Nebraska where validation data are available.

Section 2 presents a description of the ISBA LSM, the new crop phenology and irrigation scheme, the validation protocol, followed by a description of the observational datasets. Section 3 illustrates the impact of the new scheme when compared to simulations without crop phenology and without irrigation. An evaluation of the performance of the model is made over Nebraska. Section 4 discusses the added value and the limits of the newly implemented irrigation scheme. Finally, Section 5 presents the conclusions and future research directions.

## **2 Materials and Methods**

### **2.1 The ISBA land surface model**

The ISBA model (originally described in Noilhan and Planton, 1989) is a LSM developed by the research department of Météo-France (Centre National de Recherches Météorologiques, CNRM). It is embedded into the SURFEX modelling platform (Masson et al., 2013; Voldoire et al., 2017; Le Moigne

et al., 2018), and can provide initial land surface conditions to various atmospheric models (e.g. ALADIN in Fischer et al., 2005), or be driven by atmospheric conditions in offline (i.e. stand-alone) mode. SURFEX integrates different models describing ocean and terrestrial surfaces. Over land,  
110 specific models are used to represent water bodies, cities, and the soil-plant system. The latter is modelled by the ISBA LSM. The ISBA model can be coupled to the CTRIP model (Decharme et al., 2019, Munier and Decharme, 2021) which is specifically designed to represent water dynamics within rivers and aquifers. Only offline ISBA simulations are considered in this study.

## 2.2 Crop phenology and irrigation modelling concept

115 An old irrigation scheme working at a local scale (Calvet et al., 2008) is available in the ISBA LSM. Major limitations of the old scheme are the lack of (1) spatialization on a global scale, (2) representation of harvest, (3) diversity of irrigation types and irrigated vegetation types, (4) interoperability with the multi-layer soil hydrology scheme. Key processes implemented in this scheme are briefly described below. The irrigation can be activated for ISBA versions able to simulate  
120 photosynthesis, interactive vegetation biomass and LAI (ISBA-A-gs; Calvet et al., 1998; Gibelin et al., 2006). Sprinkler irrigation is represented by imposing an additional water flux forcing to the soil-plant system. Water is applied at a given time and over a certain period of time. A number of irrigation parameters need to be assigned such as the irrigation amount, the irrigation interval, the irrigation start and end times. A parsimonious approach is used in order to limit the number of parameters of the  
125 model. Table 1 lists the parameters and the values used by default in the model. Using these values allows the model to predict a realistic amount of irrigation water over irrigated corn in southern France (Bonnemort et al., 1996; Voirin-Morel, 2003; Calvet et al., 2008). Irrigation is triggered using thresholds of the simulated extractable soil moisture content, when vegetation growth is limited by a soil moisture deficit. The plant water stress level is evaluated using a unitless soil wetness index along  
130 the root profile ( $SWI_{root\_zone}$ ). A  $SWI_{root\_zone}$  value close to one corresponds to a well-watered soil, while a value close to zero indicates extreme stress. In order to trigger irrigation, the  $SWI_{root\_zone}$  value is compared to predefined SWI thresholds given as input parameters. These SWI thresholds are evolving during the irrigation season and default values are fixed to 0.7 for the first irrigation, 0.55 for the second

irrigation, 0.4 for the third irrigation, and 0.25 afterwards. The use of these values was validated by Bonnemort et al. (1996), Voirin-Morel (2003) and Calvet et al. (2008). The idea behind this approach is that irrigation does not completely refill the soil, especially at the end of the growing season. Mechanical harvest requires relatively dry conditions to avoid soil compaction. The crop is allowed to use rainwater together with the initial available water content of the soil. This irrigation strategy allows the optimization of water withdrawal according to plant water extracting abilities at different crop growing stages. When a SWI threshold is reached, irrigation is triggered with a predefined quantity of water of 30 mm (by default), following Calvet et al. (2008).

### 2.2.1 New crop phenology processes

In this study, ISBA-A-gs is used together with the multi-layer soil hydrology scheme described in Decharme et al. (2019). In ISBA-A-gs, phenology is entirely driven by photosynthesis and no growing degree-day model is used. The only phenology parameter is a minimum LAI value, of  $0.3 \text{ m}^2\text{m}^{-2}$  for low vegetation. In the new scheme, specific crop phenology parameters such as emergence and harvest dates are used for irrigated crops. In practice, two dates are prescribed: emergence and harvest. This is a simple way to represent specific crop phenology attributes of irrigated crops. Between these two dates, irrigation is possible. Before the emergence and after the harvest, LAI is fixed at the model's minimum value ( $\text{LAI} = 0.3 \text{ m}^2 \text{ m}^{-2}$ ). The new scheme provides the option to support up to three plant growth seasons per year. The crop phenology parameters are not applied to wooded vegetation (trees and shrubs), and can be applied without irrigation.

### 2.2.2 New irrigation processes

In the new scheme, three irrigation types are considered: sprinkler irrigation, flood irrigation and drip irrigation. The same irrigation types as in Lawston et al. (2015) are represented but a different modelling approach is used.

For sprinkler irrigation settings, the irrigation water flux is evenly distributed over a period of time of 8 hours (by default) and is applied on top of the vegetation canopy like precipitation. The

irrigation water can be intercepted by vegetation canopy. The new irrigation algorithm is based on  
160 several steps described below and in Fig. 1.

Firstly, the model determines whether fields within the grid cell can be irrigated, i.e. they are equipped for irrigation (e.g. water supply, valves, pipes...). This information is given by the irrigation map described in section 2.4.1.

Secondly, the model checks whether the vegetation growth stage is compatible with irrigation.  
165 For crops, irrigation can be triggered after the emergence and until a few days before the harvest (by default two weeks).

The availability of resources (equipment or local water distribution) is taken into account through a default minimum time gap between two successive irrigations (Zhang et al. 2019). This default irrigation interval parameter value is a constant (7 days by default) but maps of irrigation  
170 intervals could be used when available.

Since a multi-layer soil hydrology scheme is used in the new irrigation model, the root-zone SWI ( $SWI_{root\_zone}$ ) is a weighted average SWI value based on the soil volumetric water content profile ( $Wc_i$ ,  $m^3 m^{-3}$ ), the field capacity volumetric water content profile ( $Wfc_i$ ,  $m^3 m^{-3}$ ) and the wilting point profile ( $Wwilt_i$ , depending on clay and sand fraction,  $m^3 m^{-3}$ ), for each soil layer  $i$ . The root fraction  
175 inside each soil layer ( $f_{root_i}$ ) is used as a weighting factor:

$$SWI_{root\_zone} = \sum_{i=1}^{n_{soil}} f_{root_i} \times \frac{Wc_i - Wwilt_i}{Wfc_i - Wwilt_i} \quad (1)$$

where  $n_{soil}$  is the total number of soil layers in the root zone. This value depends on the considered vegetation type. For example,  $n_{soil} = 9$  for crops, with a rooting depth of 1.5 m.

In addition to sprinkler irrigation, the new model is able to represent drip or flood irrigation. In  
180 this case, the water flux is applied directly to the soil surface, without leaf interception. Considering the static equipment used for drip irrigation, there is no irrigation interval ( $\Delta t_{wn} = 0$  day). In this study, only sprinkling irrigation is considered as this is the dominant irrigation type in Nebraska. Drip and flood irrigation will be evaluated in future works. The activation of a given irrigation method is described in Supplement S5. Irrigation simulations are illustrated in Supplements S2 and S3 over southwestern  
185 France and over the Hampton irrigated area in Nebraska (Fig. 2e and Figs. ~~S24.2~~ and ~~S34.3~~),

respectively. Observed monthly precipitation in Nebraska is presented for contrasting years in Supplement S4.

All the values of the model parameters in Table 1 have been set within a default configuration. These values can be user-defined for each “~~nature~~land surface type” and for each grid cell, including, when possible, seasonal variations. See Supplement S5 for configuration details and possibilities.

### 2.2.3 New aggregation rules of irrigated and rainfed vegetation

The new crop phenology and irrigation scheme is operated using ECOCLIMAP-SG to prescribe land cover (Calvet and Champeaux, 2000 ; Supplement S1). The best achievable spatial resolution of ECOCLIMAP-SG is 300 m × 300 m. In contrast to previous versions of ISBA, there is no specific irrigated ~~nature~~land surface type in the new ECOCLIMAP-SG vegetation description. On the other hand, irrigation of all the twenty land surface ~~nature~~-types listed in Fig. S1.1 and Table S2.1.2 is possible. By default, six vegetation types are considered: (three crop and three woody vegetation types ~~as shown in~~ (Fig. S1.1). The new scheme is able to represent the sub-grid heterogeneity of the irrigation fractional coverage. ~~For example, This is illustrated over North America by Figs. S1.2 and S1.3, showing distinct maps of~~ the fraction of irrigated C4 and C3 crops can be produced over North America (Figs. S2 and S3, respectively). For each land surface ~~nature~~-type, an irrigated and a non-irrigated fraction are considered at the simulation resolution. In order to prevent an excessive increase in the number of simulated land surface ~~nature~~-types (potentially 20 non-irrigated and 20 irrigated times 3 irrigation types, i.e. a total of ~~12080~~ types), involving a large increase of complexity, memory and computing cost, some choices are made for the implementation:

1. Selection of a limited number of irrigated land surface ~~nature~~-types. The default implementation consists in six irrigated land surface ~~nature~~-types. Temperate deciduous and evergreen trees types (No 8 and 10 in Table S2.1.2, respectively) can be used to represent fruits trees or olive trees for example, respectively. Shrub type (No 15) can be used to represent, among others, vine plants, and types No 19, 20 and 21 may represent irrigated crops (e.g. wheat, soybean, and corn, respectively).



2. Selection of the main irrigation method used for each grid cell and land surface ~~nature~~-type, considering that in one grid cell there is only one dominant method for a given land surface ~~nature~~-type (e.g. flooded rice in China or sprinkled corn in France).

215 Finally, the system state variables (soil water content, surface and soil temperature, vegetation biomass, etc.) differ in irrigated and non-irrigated parts of the cell. This implies to (1) duplicate a land surface ~~nature~~-type if it is partially irrigated, (2) attribute for each grid cell the corresponding irrigated fraction, and (3) select the irrigation type for the irrigated fraction. Lastly, the two irrigated and non-irrigated land surface ~~nature~~-types are treated separately but the same rooting depth and secondary  
220 parameters (see Table S1.4) are used.

In order to limit the computing time, vegetation types can (optionally) be gathered. In this case vegetation “patches” are created (see Supplement S1 and Fig. S41.4). Firstly, irrigated land surface types are duplicated in order to ensure the distinction of irrigated and rainfed soil water budgets. Patch aggregation rules are then used to merge the land surface types. Finally, model parameter values are  
225 computed following the new patch fraction map.

## 2.3 Experimental design

The simulations and the evaluation of the new scheme are made over the state of Nebraska (United States of America, USA). This area presents a high density of irrigated fields (Fig. 2) and large freely available observational datasets for evaluation. In this area, most irrigated field consist of corn (Zhang  
230 et al., 2020). In particular, we focus on a region where the irrigation is prominent: the south of the state of Nebraska (100-97°W, 40.25-41.25°N, Fig. 2e). The objective of the model evaluation is to demonstrate that the model is able to reproduce irrigation activities and that the irrigation scheme improves vegetation modelling and the associated surface fluxes as compared to observations. It must be noticed that the new irrigation module represents water demand for irrigation, only, and irrigation is  
235 not explicitly limited by the lack of water resources. This has consequences on water conservation. Water used for irrigation is usually withdrawn from aquifers, rivers or reservoirs. These compartments are not represented in ISBA but a new module dedicated to dam/reservoirs is currently under development.

The SURFEX v8.1 version (Le Moigne et al., 2018) is used to do the simulations. Since this study focuses on irrigation, only the tile of natural and cultivated lands is simulated with ISBA, representing the evolution of soil (temperature and water profiles), vegetation (leaf-level and canopy-level photosynthesis, biomass, LAI and carbon fluxes), surface hydrology (runoff and drainage) and snow conditions. To represent the global-scale diversity of continental natural surfaces, twenty different land surface types (~~hereafter referred to as “nature types”~~) can be used in ECOCLIMAP-SG (see Fig. S1-1 and Table S21.2). The ISBA LSM simulations are made at a spatial resolution of  $0.25^\circ \times 0.25^\circ$ , over a 40-year period from 1979 to 2018. The initial values of the soil moisture and soil temperature profiles are derived from a 20-year spin-up simulation by repeating year 1979. The same initial conditions are used for all the simulations, with and without crop phenology and irrigation modelling. All land surface nature-types are grouped into 15 patches including three irrigated ones: shrubs (orchards), C3 crops (typically wheat and rice) and C4 crops (corn). This study focuses on the results of these last two land surface nature-types because there are hardly any irrigated orchards in Nebraska in the irrigation map described in Section 2.4.1. The dates of the irrigation season for corn are chosen in accordance with the literature (USDA and NASS, 2010) from May (emergence) to September (harvest), with a random picking of the day within those specific months. Three types of simulations are performed (Table 2): “ISBA\_ref” without irrigation nor crop phenology (the benchmark), “ISBA\_pheno” with only crop phenology attributes (emergence and harvest dates) and the complete “ISBA\_pheno\_irr” simulation with irrigation and crop phenology attributes. For the intercomparison of the simulations we select areas where the irrigation fractional coverage is larger than 50 % as determined from the irrigation map, in order to better assess the local effects of irrigation in offline simulations.

The reference ISBA\_ref LAI simulations are compared with those from ISBA\_pheno and ISBA\_pheno\_irr experiments, and with the  $0.01^\circ \times 0.01^\circ$  LAI satellite observations over areas in Nebraska where the vegetation is considered as C3 or C4 irrigated crops by ECOCLIMAP-SG. In addition to LAI, other variables are considered: gross primary production and land surface temperature. In order to compare the time series simulations with observations, the Pearson correlation coefficient ( $r$ )

and the root-mean-square difference (RMSD) scores are used. For water and carbon fluxes, they are calculated using daily values.

$$r = \frac{\sum_{i=1}^N (y_i - \bar{y})(x_i - \bar{x})}{\sqrt{\sum_{i=1}^N (y_i - \bar{y})^2 \sum_{i=1}^N (x_i - \bar{x})^2}} \quad (2)$$

where  $y$  and  $x$  stand for observations and model simulations, respectively, and

$$\bar{y} = \frac{1}{N} \sum_{i=1}^N y_i, \quad \bar{x} = \frac{1}{N} \sum_{i=1}^N x_i \quad (3)$$

are observation and model simulation means, respectively.

$$RMSD = \sqrt{\frac{1}{N} \sum_{i=1}^N (y_i - x_i)^2} \quad (4).$$

$N$  represents the number of observations interpolated or aggregated to the model grid.  $N$  is equal to the number of model grid-cells used in the calculation of the scores.

275 The significance of  $r$ ,  $r$  differences, and RMSD differences is tested using Fisher's test, Fisher's z test, and paired sample Student's test, respectively. Significance levels of 0.01, 0.05, and 0.05 are used to reject the null hypothesis of the tests, respectively.

## 2.4 Data

### 2.4.1 Irrigation map

280 One of the main challenges of this study is to obtain an upgraded map of irrigation at the global scale, to be consistent with the resolution ( $300 \text{ m} \times 300 \text{ m}$ ) of the European Space Agency - Climate Change Initiative (ESA-CCI) land cover map used in ECOCLIMAP-SG. The  $1 \text{ km} \times 1 \text{ km}$  resolution global irrigation map proposed by Meier et al. (2018), based on a statistical approach and satellite data, is used. A reason to choose this product is that its development process is based (amongst other) on the ESA-  
285 CCI land cover product (v1.6.1), the same as the one used to develop the ECOCLIMAP-SG vegetation map (Supplement S1).

In order to transfer the Meier irrigation map ( $1 \text{ km} \times 1 \text{ km}$ ) to ECOCLIMAP-SG ( $300 \text{ m} \times 300 \text{ m}$ ), a spatial resampling of the Meier map is performed (<https://doi.org/10.5281/zenodo.7221291>~~<https://doi.org/10.5281/zenodo.6011618>~~). A simple majority

rule is used by assigning to each  $300\text{ m} \times 300\text{ m}$  grid point of ECOCLIMAP-SG the irrigation status (irrigated or rainfed) of the main corresponding grid-cell of the Meier  $1\text{ km} \times 1\text{ km}$  map. An irrigation map at a spatial resolution of  $300\text{ m} \times 300\text{ m}$  is obtained, with a single vegetation type attributed to each grid cell together with the irrigation status. The main limitation of this map is that there is no information on the type of irrigation. In this study, we consider that all irrigation is of “sprinkler” type as this is the most common irrigation type in the USA and in Nebraska (AQUASTAT and FAO, 2019), where the testbed area of this study is located. This entails that irrigation water is added to the precipitation forcing over the irrigated agricultural parcels.

### 2.4.2 Atmospheric forcing

The simulations presented in this study are not coupled with the atmosphere. They are driven by a simulated atmospheric dataset of the European Centre for Medium-Range Weather Forecasts (ECMWF): the ERA-5 atmospheric reanalysis at  $0.25^\circ \times 0.25^\circ$  (Hersbach et al., 2020). This global dataset was successfully used to force the ISBA LSM in previous studies (e.g. Albergel et al., 2019, Bonan et al., 2020). Beck et al. (2019) show that the ERA-5 precipitation dataset is reasonably consistent with gauge-radar data over CONUS, except for mountainous areas. A subset of the ERA-5 forcing over Nebraska is used for the time period from 1979 to 2018. This period is chosen in order to encompass various validation datasets. The following atmospheric variables are used to force the ISBA LSM and are taken from ERA-5 at an hourly time step: air temperature, wind speed, air specific humidity, atmospheric pressure, shortwave and longwave downwelling radiation and precipitation (liquid and solid).

### 2.4.3 Validation datasets

Five observation datasets are used (Table 3) to evaluate the simulations over Nebraska: the water used for irrigation, satellite-derived Leaf Area Index (LAI), gross primary production (GPP), land surface temperature (LST), and precipitation.

Precipitation data from the Grand Island and Lincoln weather stations ( $40.96^\circ\text{N} - 98.31^\circ\text{W}$ ,  $40.83^\circ\text{N} - 96.76^\circ\text{W}$ , “Gi” dot in Fig. 2e and “Li” dot in Fig. 2b, respectively) are used to evaluate the

ERA5 precipitation forcing over Nebraska. The two weather stations are within 170 km of each other and correspond to contrasting environmental conditions. While the Grand Island station is located within a densely irrigated area, the Lincoln station is located at the Lincoln airport, which is surrounded by rainfed agricultural fields.

320 The water use records are provided by the US Geological Survey (USGS) through the National Water Information System (available at <https://waterdata.usgs.gov/ne/nwis/wu>, last access February 2022). Every 5 years from 1985 onward, the annual raw amount of water collected for irrigation is available by county together with conveyance loss and with the surface area of the irrigated vegetation. This allows us to compute the amount of water used for irrigation per unit surface area (in mm) over the  
325 specific studied zone in Nebraska (Fig. 2e). The USGS data we use cover the 1985-2019 time period. Because conveyance loss data are not available for 1995, this year is not taken into account. In order to assess the consistency of the simulated irrigation process with observations, the simulated irrigation water amount~~number of yearly irrigation events~~ on irrigated areas in Nebraska is compared with the USGS irrigation water amount estimates. Irrigation water amount is obtained from the simulated  
330 number of irrigation events using the model default irrigation water amount of 30 mm per irrigation event. Values of the mean and standard deviation of the yearly irrigation amount are compared. The comparison is made for the irrigated croplands (either C3 or C4 crops) as defined using the irrigation map (Section 2.4.1) within the studied irrigated area in Nebraska (Fig. 2e).

The simulated LAI is compared with a satellite-derived LAI product at  $0.01^\circ \times 0.01^\circ$  spatial  
335 resolution derived from SPOT-VGT and PROBA-V satellite data (up to May 2014, and after May 2014, respectively) by the European Copernicus Global Land Service (CGLS). This LAI product is described in Baret et al. (2013). We use Version 2 of this product (GEOV2). It is available every 10 days from 1999 onward. It does not cover the whole simulation time period (1979 to 2018).

The simulated GPP is compared to an upscaled estimate of GPP available at  $0.5^\circ$  from 1980 to  
340 2013, from the FLUXCOM project (Jung et al., 2017). Al-Yaari et al. (2021) show that the FLUXCOM daily evapotranspiration product can be used as a benchmark over irrigated areas. Since evapotranspiration and GPP fluxes are closely connected to each other, it can be assumed that the

FLUXCOM GPP product is also sensitive to irrigation. The FLUXCOM product is based on a global machine learning model that does not have to be locally trained. However, it seems that three flux stations in Nebraska are used in the training as their data are included in the La Thuile dataset used to build FLUXCOM (Tramontana et al. 2016). These stations are located at 45 km at the north-east of the Lincoln weather station (e.g. Suyker and Verma, 2009), in a region where irrigation is present but not dominant.

The simulated LST at 12h00 (local solar time) is compared to the LST derived from geostationary meteorological satellites by CGLS at 12h00 (local solar time). This product has a spatial resolution of  $0.05^{\circ} \times 0.05^{\circ}$  and is available from 2009 to 2018 (Freitas et al., 2013). It must be noticed that in the version of the model used in this study, a single composite soil-vegetation energy budget is used and the thermal effect of crop residues is not represented. This means that over croplands, the simulated LST can differ from the vegetation temperature as seen from space.

In addition to the validation datasets, corn LAI observations at the field scale for various agricultural management conditions are available in Boedhram et al. (2001).

### 3 Results

The results presented below are focused on the impacts of the crop phenology and irrigation implementation on the simulated land surface variables over Nebraska. In addition to these results, illustrations of the response to irrigation of simulated key land surface variables (SWI, LAI, GPP, evapotranspiration, LST) are shown over southwestern France and over the Hampton area in Nebraska in Supplements S2 and S3, respectively. In the case of Hampton, it can be noticed that the simulated irrigation mainly occurs in July and August (Fig. ~~S73-4~~).

#### 3.1 Irrigation: water use

In Fig. 3, the mean yearly irrigation amount for C3 and C4 crops for the ISBA\_pheno\_irr experiment is compared to the values derived from the observations from the USGS. The simulated irrigation amount presents a large interannual variability, with a minimum of 60 mm in 1993 and a maximum close to 390

mm in 2002. It must be noticed that 1993 is one of the wettest year recorded at the Lincoln weather station (<https://lincolnweather.unl.edu/records/annual.asp>, last access May 2022). The mean simulated value of the yearly irrigation water amount used for irrigation ( $271 \pm 75$  mm year<sup>-1</sup>) slightly overestimates the observed one ( $264 \pm 65$  mm year<sup>-1</sup>), with a difference of +2.7%. This difference could be explained by the availability of the water resource, not explicitly accounted for by the model yet. The large observed irrigation amounts in 2000 and 2005, larger than 300 mm year<sup>-1</sup>, are relatively well represented by the model. On the other hand, the observed small irrigation amount for the 2010 wet year, is overestimated by about 110 mm year<sup>-1</sup>. In situ precipitation observations over Grand Island indicate that year 2010 is wetter than 2005 and 2000 during the growing season: 575, 508 and 277 mm from May to September, respectively. ~~Years 2010, 2005, and 2000 are compared in Fig. S4.6.~~ In 2010, the ERA5 precipitation bias ~~in from July and August to September~~ triggers a cumulated precipitation gap of ~~150-103~~ mm (Fig. S18a). The model responds to this water deficit by triggering irrigation at the end of the growing season, especially in August (Fig. S18.6c). On the other hand, ERA5 is unbiased at the beginning of the growing period (May-June 2010).

### 3.2 Irrigation: plant growth

Figure 4 illustrates the mean seasonal and interannual variability of LAI of irrigated crops (either C3 or C4) in the most densely irrigated part of Nebraska for areas with a fraction of irrigated crops larger than 50 % in Fig. 2e, from 1999 to 2018. Table 4 presents the peak LAI characteristics. While the satellite LAI observations present a peak at the end of July, the modelled LAI is plateauing in August (Fig. 4). ~~The data from Figure 2 in~~ Boedhram et al. (2001) shows that the modelled LAI plateau in August at LAI values of about 3.7 m<sup>2</sup>m<sup>-2</sup> is realistic for irrigated corn. The satellite LAI observations are sensitive to both rainfed and irrigated vegetation. A comparison across all vegetation types is presented in Section 3.3. In all ISBA LAI simulations, the start of the growing season corresponds to a gradual increase in LAI from the initial value of LAI = 0.30 m<sup>2</sup> m<sup>-2</sup> imposed to the model in winter. The observed LAI presents a smaller minimum LAI value of 0.15 m<sup>2</sup> m<sup>-2</sup>, starts increasing in April and a value of 0.30 m<sup>2</sup> m<sup>-2</sup> is reached at the end of April. Then, plant growth continues at about the same low rate till the end of May. The LAI growth rate increases in June and LAI reaches a mean peak value of 4.9 (±0.8) m<sup>2</sup> m<sup>-2</sup> on

395 31 July (Table 4). The observed LAI then sharply decreases to reach its minimum value at about the end of September.

The ISBA\_ref LAI simulations do not mirror the observed late growing season and rapid senescence. The ISBA\_ref vs. observations comparison shows that without crop phenology and without irrigation the simulated LAI generally starts increasing in March. On average, a peak LAI value of 3.6  
400 ( $\pm 0.2$ )  $\text{m}^2 \text{m}^{-2}$  is simulated by ISBA\_ref on 2 July, before slowly decreasing until the end of December. The ISBA\_ref growing season is much longer than observed. It starts two months before the observations and stops three months after the observations. The simulated LAI peaks one month before the observations. The simulated yearly LAI amplitude is 28 % smaller than observed.

The ISBA\_pheno LAI simulation is much more consistent with the LAI observations. The  
405 growing season starts in mid-May and the senescence ends at the end of September. However, the simulated peak LAI is still 30 % smaller than observed ( $\text{LAI} = 3.5 (\pm 0.2) \text{m}^2 \text{m}^{-2}$ ). The peak LAI is reached on 26 August, much later than the ISBA\_ref peak LAI, and about one month after the observed peak. The sharp decrease of LAI in September results from harvests at random dates in September. Adding irrigation (ISBA\_pheno\_irr) does not change the general pattern of the LAI curve, but increases  
410 the LAI amplitude, with a mean peak LAI value of 3.7 ( $\pm 0.1$ )  $\text{m}^2 \text{m}^{-2}$  on 28 August, larger (+8%) than for ISBA\_pheno but still below the observation (-24%).

The interannual variability of simulated and observed LAI values is illustrated in Fig. 4b, from 2002 to 2008. The ISBA\_ref LAI presents a systematic drop in summer, which is not present in the observations nor simulated by the ISBA\_pheno and ISBA\_pheno\_irr experiments. Without the regular  
415 seasonality imposed by crop phenology parameters, the model may simulate a re-growth of vegetation in autumn (e.g. in 2003), that is not present in the observations. The ISBA\_pheno and ISBA\_pheno\_irr simulations are more consistent with the observed seasonality.

### 3.3 Impact of crop phenology and irrigation on LAI at a regional scale

This section is focused on the impact of irrigation practices for the south Nebraska zone (as defined in  
420 Fig. 2e), and all land surface nature-types are considered for the comparison with observations at a spatial resolution of  $0.25^\circ \times 0.25^\circ$ .



Figure 5a shows the seasonal mean LAI variations from 1999 to 2018. This Figure is similar to Fig. 4a but all ~~land surface nature~~-types are considered. Peak LAI characteristics are given in Table 4. They differ from the irrigated crop LAI peaks. While the observed LAI peaks at  $3.8 (\pm 1.5) \text{ m}^2 \text{ m}^{-2}$  on 31 July, LAI peaks at  $3.3 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$  on 1 July for ISBA\_ref,  $3.1 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$  on 16 July for ISBA\_pheno, and  $3.1 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$  on 16 July for ISBA\_pheno\_irr. Compared to irrigated crop simulations, the experiments with crop phenology (ISBA\_pheno and ISBA\_pheno\_irr) present earlier peak LAI dates, because they include rainfed crops and natural vegetation. Emergence dates are not imposed to rainfed crops and to natural vegetation. This allows earlier leaf onset~~rainfed-vegetation affects the phenology. However, t~~The irrigated crop signature is visible in the second peak of the annual LAI cycle simulated by ISBA\_pheno and ISBA\_pheno\_irr experiments at the end of August. More often than not (83 % and 88 % of the grid cells for  $r$  and RMSD, respectively) the LAI score differences between ISBA\_pheno\_irr and ISBA\_ref shown in Fig. 6 correspond to an improvement of the LAI simulation with the representation of irrigation. A month by month analysis of the scores (Fig. 7) shows a significant improvement of  $r$  values in June and September. The  $r$  value can be increased by 30%. Lower RMSD values are observed from April to November, more frequently in May and in October. In July, RMSD differences are not significant. In April, October and November, the main cause of the reduction in RMSD values is the imposed minimum value of  $0.3 \text{ m}^2 \text{ m}^{-2}$  before the emergence (in May) and the harvest (in September). The ISBA\_pheno correlation and RMSD differences with respect to ISBA\_ref are nearly identical to those showed for ISBA\_pheno\_irr in Figs. 6-7 (not shown).

### 3.4 Impact on the GPP flux

As the vegetation productivity is linked to LAI, the seasonality pattern of GPP (Fig. 5b) is comparable to the one of LAI (Fig. 5a) but the observed GPP peak ( $9.2 \pm 2.1 \text{ g[C].m}^{-2}.\text{day}^{-1}$ ) occurs on mid-July while the observed LAI peaks on 31 July. During the plant growth period, the smallest differences between all the simulations and the observations occur at about the same time as the observed GPP peak. For all simulations, a GPP plateau at a value of  $9.0 \pm 1.8 \text{ g[C] m}^{-2} \text{ day}^{-1}$  is reached at the beginning of July and lasts until mid-July. Finally, the simulated GPP decreases in September with a delay of about two weeks with respect to the observations.

Before July, the ISBA\_ref photosynthetic activity is well in advance as compared to the  
450 observations, of about 20 days in May. This is consistent with the very large LAI values simulated by  
ISBA\_ref in May: about  $2 \text{ m}^2 \text{ m}^{-2}$ , while the mean LAI observation hardly exceeds  $0.5 \text{ m}^2 \text{ m}^{-2}$ . The  
simulated GPP maximum ( $9.7 \pm 2.0 \text{ g[C] m}^{-2} \text{ day}^{-1}$ ) is reached before the end of June. After a sharp  
decrease at the end of June, the ISBA\_ref GPP decreases at a slower rate than the observations. From  
mid-September to the end of October, the simulated GPP is much larger than the observed GPP.

455 The ISBA\_ref flaws are much less pronounced in ISBA\_pheno and ISBA\_pheno\_irr  
experiments. In the latter simulations, the increase of the GPP occurs at about the same time as in the  
observations. The GPP values are systematically larger with irrigation in July and August than for other  
simulations. As for LAI, the GPP  $r$  and RMSD scores (Fig. 6c and 6d, respectively) are better for  
ISBA\_pheno\_irr than for ISBA\_ref, with an improvement on 87 % and 81 % over the domain,  
460 respectively.

### 3.5 Impact on LST

In order to evaluate the impact of irrigation on the land surface energy budget, Fig. 8 shows land surface  
temperature at 12h00 local time simulated by the three model configurations and derived from the  
CGLS product. Overall, ISBA tends to overestimate LST at noon, especially in April-May, up to  $7^\circ\text{C}$   
465 in Fig. 8a. The bias is reduced during the summer.

Due to the difficulty of observing the differences between the simulations, Fig. 8b presents  
differences of ISBA\_pheno and ISBA\_pheno\_irr versus ISBA\_ref. With crop phenology (with or  
without irrigation) the simulated LST is globally higher from April to June and from mid-September to  
November. The maximum difference with respect to ISBA\_ref is  $+0.7 \pm 0.3^\circ\text{C}$ . It is observed for  
470 ISBA\_pheno in September. During the summer (July and August) the new model versions tend to  
present lower LST values, with temperature differences close to  $-0.2 \pm 0.1^\circ\text{C}$  in ISBA\_pheno\_irr.  
Moreover, from May to mid-September the temperature in ISBA\_pheno\_irr is lower than in  
ISBA\_pheno, and this difference can reach locally  $-0.9^\circ\text{C}$  in summer.

## 4 Discussion and perspectives

475 The results presented in Section 3 show that the new version of ISBA is able to produce a realistic mean yearly irrigation water amount (Fig. 3). It also markedly improves the LAI and GPP simulations (Figs. 5-6 and Fig. 6, respectively). On the other hand, it has a limited impact on the LST simulations and is not able to significantly reduce the strong model biases that are observed for this variable before and after the irrigation time period (Fig. 8).

### 480 4.1 Could the new crop phenology and irrigation scheme be further improved?

The crop phenology model is very simple and adding more parameters related to phenology could be a way to further improve the model performance. Integrating satellite LAI observations in ISBA using sequential data assimilation is also an option (Mucia et al., 2020). The results of our numerical experiments over Nebraska show that considering crop phenology improves the consistency of the  
485 simulations with LAI and GPP observations. The corresponding correlation and RMSD scores are improved. The crop phenology parameters used to force emergence and harvest dates reduce the length of the growing season, delay spring growth and avoid a regrowth in the autumn. It seems that irrigation only plays an additive role in improving the vegetation seasonal cycle as compared to the role of including crop phenology (Section 3.3). Both crop phenology and irrigation models have shortcomings  
490 and their performance are limited by difficulties in simulating processes that are not directly related to irrigation.

Firstly, the same emergence and harvest dates are imposed for all years, while in reality crop phenology may present an inter-annual variability related to climate conditions. This is particularly the case for Nebraska because the start of the growing season depends on the snowmelt and soil thawing  
495 dates. These processes are represented in ISBA and crop phenology parameters could be related to snow melting and soil thawing, but this would require extensive developments to be implemented on a global scale. Moreover, the representation of the cold season processes is not perfect in ISBA (Decharme et al. 2019) and the model tends to underestimate snow depth and the length of the snow season. This could explain biases in soil temperature and LST simulations before and after the irrigation time period.

500 Figure 8 shows that LST values below the freezing level can be observed in April and that their model counterparts are about 7 °C warmer. The earlier thawing in model simulations is reflected in the much earlier leaf onset in LAI simulations. Figure 5 shows that while the observed LAI does not exceed 0.5 m<sup>2</sup> m<sup>-2</sup> at the end of April, the ISBA\_ref LAI reaches the same value about one month earlier. The unrealistically early leaf onset is consistent with the warm model bias at the end of the cold season. This  
505 shows that improving the representation of the cold season by assimilating satellite-derived or in situ snow cover fraction observations could improve the simulation of the crop growing period in this area. Also, emergence and harvest dates could be derived from the LAI observation in order to better represent the interannual variation. However, the currently used ~~empirical-random~~ approach to establish the crop season provides robust results over the irrigated grid cells (Fig. 4).

510 Secondly, the irrigation itself is based on fixed parameter values such as the minimum period between two consecutive irrigations (one week) and SWI levels triggering irrigation ~~turnsevents~~. The simulations over the Hampton grid cell show that the first irrigation can start at quite low levels of the SWI (Fig. ~~S73.1~~), even below the second irrigation threshold of 0.55 defined in Section 2.2. Suppressing the one week constraint of irrigation ~~turns-events~~ improves the simulation of the peak LAI,  
515 which otherwise is rather poorly simulated (Fig. 4). However, this change triggers unrealistic large irrigation water amounts (not shown). A lack of irrigation water amount cannot explain the excessive soil water deficit. A possible explanation could be that the initial soil water storage value between the end of the cold season and the first irrigation ~~turn-event~~ is withdrawn too quickly from the soil by the model. One could also challenge the quality of the ERA5 precipitation. ~~Figure S4.1 and Fig. S4.2 show~~  
520 ~~that~~ ERA5 precipitation compares rather well with in situ observations and that the seasonal and inter-annual variability is fairly represented (Figs. S13 and S14). The comparison with in situ precipitation observations at the Grand Island station ~~is Supplement S4~~ shows that ERA5 tends to markedly overestimate precipitation in April, by 0.57 mm d<sup>-1</sup>, i.e. 27 % on average from 1985 to 2018 (Fig. ~~S154.3~~). This is rather systematic; ~~(8 years out of 10~~ (see Fig. S164.4). In July, ERA5 precipitation can  
525 be much smaller than the observations, for example in 1991 and 2007 (Fig. S174.5).

A possible limitation of using a global low-resolution reanalysis such as ERA5 is that changes to the local climatic conditions caused by irrigation may not be represented. Over Nebraska, Szilagyi and Franz (2020) show that the decadal increase in irrigated land tends to trigger a reduction in precipitation over the most densely irrigated areas, of about -10 mm per decade. The largest precipitation suppression is observed at Spring, in March, before the corn growing season, in relation to larger soil water content values. In our simulations, ISBA\_pheno\_irr presents larger soil moisture values than ISBA\_ref in March (see Fig. ~~S73-1~~), but this is mainly due to crop phenology. The ERA5 screen-level 2 m air temperature and relative humidity are analyzed together with soil moisture by assimilating in situ observations from ground weather stations (Hersbach et al. 2020). In large irrigated areas where weather stations are present, the assimilation should be able to represent the soil moisture effect on these variables, even at coarse spatial resolution. A large-scale experiment involving ground and airborne measurements was recently performed in northeastern Spain to assess the impact of irrigation on atmospheric model simulations (Boone et al. 2021). In this context, high resolution atmospheric data from the Application of Research at the Operational Mesoscale (AROME) numerical weather forecast model are available to drive the ISBA model. AROME is run operationally at 1.3 km over western Europe. Future developments will focus on the inter-comparison of ISBA simulations at various spatial resolutions and under different conditions, as the choice of spatial resolution may affect the simulation of the smaller irrigation variabilities.

#### **4.2 Are evaporation components simulated well?**

All evaporation terms (plant transpiration, soil evaporation, interception) are simulated by ISBA. Under given environmental conditions, the simulated plant transpiration is not proportional to LAI. A simple canopy radiative transfer model is used to simulate the available photosynthetically active radiation (PAR) within the vegetation canopy. The response of GPP and transpiration to PAR and to LAI is controlled by this radiative transfer model. Photosynthesis and transpiration are calculated for three layers and summed to calculate canopy-level values. For large LAI values, the mean leaf-level GPP and transpiration simulations are reduced in relation to smaller vegetation transmittance to solar radiation. The impact of changes in LAI on mean leaf-level GPP and transpiration is large at

intermediate LAI values ranging from 1 to 3 m<sup>2</sup>m<sup>-2</sup>. It is much reduced for LAI values larger than 3 m<sup>2</sup>m<sup>-2</sup>. An improved version of this radiative transfer model able to represent ten canopy layers, with a more realistic response to solar zenith angle will be available in the next version of SURFEX (Delire et al. 2020). ~~The evaporation components are plotted in Fig. S3.3 and Fig. S3.4 for~~Over the Hampton irrigated area, ~~in 2018. Figure S3.3 shows that~~ total evapotranspiration of ISBA\_ref and ISBA\_pheno\_irr are quite similar (Fig. S9). On the other hand, soil evaporation and plant transpiration differ. In the ISBA\_pheno\_irr simulation, transpiration is reduced in spring by more than 30 %, in comparison with ISBA\_ref. The lower transpiration is offset by larger soil evaporation values (Fig. S9c). As a result, total evapotranspiration does not change much. Also, ~~Fig. S3.4 shows that~~the new crop phenology and irrigation module does not affect interception much (Fig. S10). The soil evaporation component could be overestimated because (1) the soil is too warm in relation to a poor representation of thawing or because (2) crop residues at the soil surface are not represented. Wortmann et al. (2012) show that in this area, not harvesting crop residues tends to reduce soil evaporation and increase crop yield, limit water runoff, soil erosion, and contributes to maintaining soil fertility. Suyker and Verma (2009) show that increasing surface mulch dry mass from 50 to 150 g m<sup>-2</sup> can decrease the non-growing season evapotranspiration by more than 20 %. The ISBA model includes a representation of litter in forests (Napoly et al. 2017) that will be generalized to low vegetation in the next version of SURFEX. Using this new capability could improve our simulations.

The use of independent evapotranspiration datasets is investigated in Supplement S3. In particular, in situ observations over an irrigated corn field (Suyker and Verma, 2009) are used. ~~Table S3.1 shows that d~~During the non-growing season, the ISBA\_pheno\_irr model overestimates evapotranspiration by 48 % (Table S4). ~~Table S3.2 and Fig. S3.5 show that t~~The ISBA\_pheno\_irr evapotranspiration peak (in June) tends to happen too early (Table S5, Fig. S11). This bias in spring could be caused by too large soil evaporation values. Mean values of near-surface wind speed are particularly large over Nebraska, especially at wintertime and springtime (Chen, 2020). This feature could exacerbate the impact of a misrepresentation of soil evaporation.

### 580 4.3 Is the irrigation scheme flexible enough?

In this study, sprinkling irrigation is considered. The model is able to represent other irrigation systems such as flooding irrigation but more developments are needed to limit the runoff to the irrigated plot and this options needs to be validated. The newly implemented irrigation processes, along with the new ECOCLIMAP-SG vegetation description let users choose which land surface nature-type should be  
585 irrigated. Irrigation can be represented at various spatial scales, ranging from the field scale for agricultural studies to the global scale for climate studies. Model parameters can be specified using new datasets or local characteristics. For example, in this article we use a unique date for starting and ending the crop growing season with a random variability, but more accurate dates can be prescribed (varying spatially and from one vegetation type to another, or using crop calendars). Moreover, the better spatial  
590 resolution of ECOCLIMAP-SG allows the use of high resolution atmospheric forcing. This provides new opportunities for assessing the impact of irrigation on local climate and water resource conditions.

This study is mainly focused on a zone in the south of Nebraska where the irrigation density is relatively high (Fig. 2), and results could differ in other regions. Except for the fixed emergence and harvesting dates corresponding to regional crop phenology (from USDA and NASS, 2010), default  
595 values are used for all the other parameters (Section 2.4). Tests performed in southwestern France (Supplement S2) allow ensuring that the model is able to work in contrasting climate conditions.

In this study, the ISBA simulations are not coupled to the atmosphere, nor to the CTRIP river routing system. Such coupled numerical experiments can be performed thanks to the SURFEX modelling platform. However, more developments are needed in order to ensure water conservation in  
600 the hydrological system. In particular, irrigation water amounts should be consistent with the available water resource in rivers, groundwater, and dams.

## 5 Conclusions

A new uncalibrated crop phenology and irrigation scheme able to work on a global scale is implemented within the ISBA land surface model in order to improve the representation of vegetation  
605 over agricultural areas. A case study over an irrigated area in the state of Nebraska (USA) is performed

to validate the new scheme. Simple crop phenology rules represent emergence and harvesting and improve the seasonality of plant growth, while the additional water supply from the irrigation mostly impacts the peak LAI value. The model is able to produce a realistic yearly irrigation water amount and markedly improves the LAI and GPP. It is shown that model performance can be limited by processes not directly related to irrigation, such as thawing or crop residues. The irrigation scheme has many possible configurations and the code is highly flexible. With this capability, ancillary data on farming practices such as emergence and harvest dates, or the amount of water per irrigation event, can be used. This flexible crop phenology and irrigation scheme can take the spatial heterogeneity of irrigation activities into account, and detect irrigation-induced impacts on Earth system simulations. Our results show that crop phenology parameters modify the seasonal pattern of the simulation of LAI, soil moisture, evapotranspiration and plant carbon uptake, and that irrigation affects their magnitude. This provides the basis for further development in offline and online applications of the ISBA model.

### Code availability

The ISBA land surface model is available as open source via the SURFEX modelling platform, available at <https://www.umn-cnm.fr/surfex/spip.php?article387>. It is under a CECILL-C License (French equivalent to the L-GPL licence). The version developed and used for the experiment in this study is available on: <https://doi.org/10.5281/zenodo.5718063>. It based on the SURFEX version 8.1 (ref f70f6457). For future use, it is strongly recommended to use the newest version of ISBA, ~~from within~~ the ~~SURFEX~~ version 9.0 (scheduled for release in 2022) ~~from in~~ which the irrigation ~~developed model~~ will be included by default. Initialization files are available on: <https://doi.org/10.5281/zenodo.7221291> ~~<https://doi.org/10.5281/zenodo.6011618>~~.

### Author contribution

Arsène Druel and Clément Albergel designed the experiments. Arsène Druel carried out the implementation of the irrigation scheme and performed the simulations. Arsène Druel wrote the



manuscript. All co-authors participated to the analysis of the results and to the revision of the manuscript.

### **Competing interests**

The authors declare that they have no conflicts of interest.

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**Table 1 – Irrigation parameters.**

Symbol	Definition	Range	Default value (this study)
$I_T$	Irrigation type	<u>Sprinkler, flood, and drip irrigation</u>	sprinkler
$I_{NT}$	Irrigated <del>nature</del> <u>land surface</u> type	<u>All 20 land surface types (Fig. S1)</u>	C3 crops, C4 crops, shrubs
$I_W$	Water amount per irrigation <del>water turn</del> <u>event</u>	<u>0 mm or more</u>	30 mm
$I_D$	Irrigation <del>water turn</del> <u>event</u> duration	<u>0.25 hour or more</u>	8 hours
$SWI_1$	<u>Soil wetness index</u> <del>SWI</del> threshold for triggering the first <u>irrigation event</u> <del>water turn</del>	<u>0 to 1</u>	0.70
$SWI_2$	<u>Soil wetness index</u> <del>SWI</del> threshold for triggering the second <u>irrigation event</u> <del>water turn</del>	<u>0 to 1</u>	0.55
$SWI_3$	<u>Soil wetness index</u> <del>SWI</del> threshold for triggering the third <u>irrigation event</u> <del>water turn</del>	<u>0 to 1</u>	0.40
$SWI_{4+i}$	<u>Soil wetness index</u> <del>SWI</del> threshold for triggering the following <u>irrigation events</u> <del>water turns</del> (i, integer > 0)	<u>0 to 1</u>	0.25
$\Delta t_{Wn}$	Minimum time <del>lapse</del> <u>interval</u> between two <u>irrigation events</u> <del>water turns</del> (irrigation interval)	<u>0 days (e.g. drip irrigation) or more</u>	7 days (0 days for drip irrigation)
$\Delta t_{WH}$	Minimum time <del>lapse</del> <u>interval</u> between the last <u>irrigation event</u> <del>water turn</del> and the harvest	<u>0 to 365 days</u>	15 days
$t_E$	Emergence date	<u>1 January to 31 December</u>	15 May ( $\pm 15$ days)
$t_H$	Harvest date	<u>1 January to 31 December</u> <u>After emergence date</u>	15 September ( $\pm 15$ days)

**Table 2 – Main set up of the three 40-year evaluation experiments driven by ERA-5 atmospheric variables over Nebraska. Crop phenology is defined by emergence and harvest dates, while irrigation corresponds to additional water supply.**

Experiment	Crop phenology	Irrigation	Forcing	Spinup time	Simulation time period
ISBA_ref	no	no	ERA-5 0.25° × 0.25°	20 years	1979-2018
ISBA_pheno	YES	no			
ISBA_pheno_irr	YES	YES			

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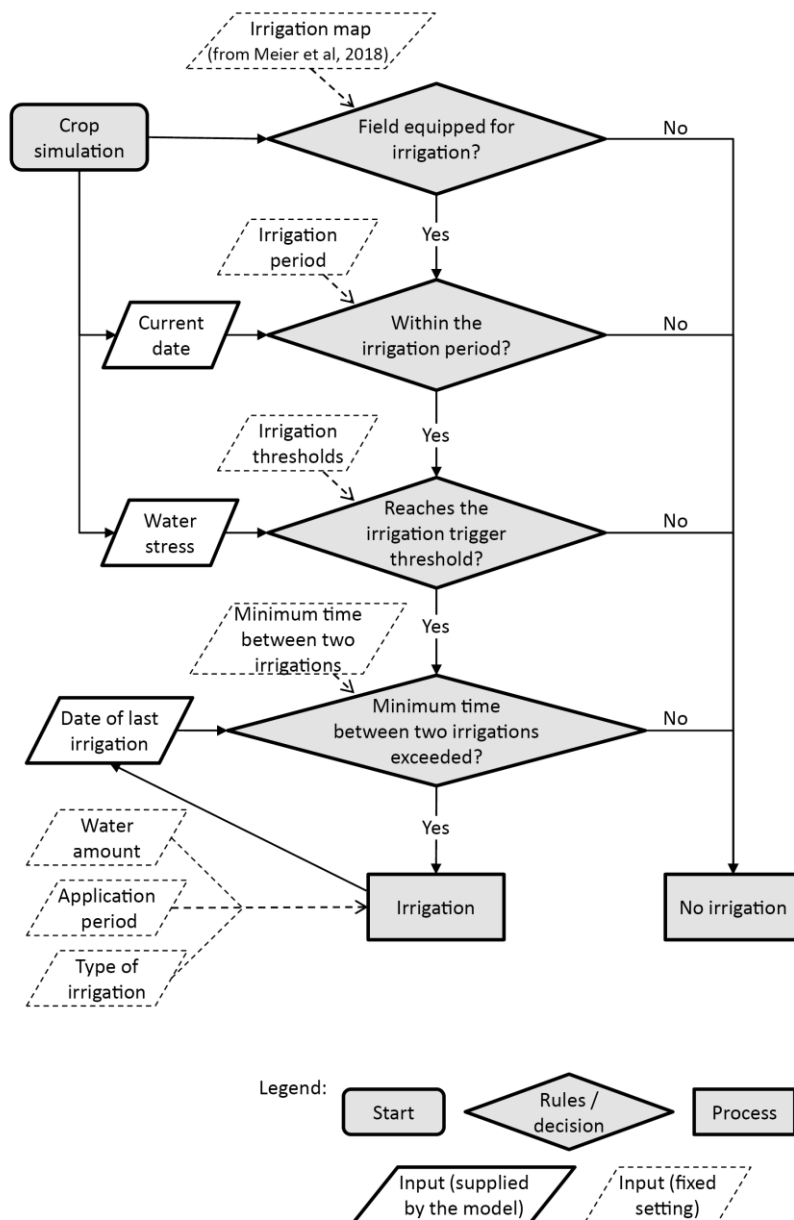
**935 Table 3 – Evaluation datasets.**

Observations	Source	Reference	Spatial resolution	Sampling time	Time period	Number over the time period
Water used for irrigation	USGS	<a href="https://waterdata.usgs.gov/ne/nwis/wu">https://waterdata.usgs.gov/ne/nwis/wu</a>	County	5 years	1985-2015	6
LAI	CGLS	Baret et al. (2013)	0.01°	10 days	1999-2018	720
GPP	FLUXCOM	Jung et al. (2017)	0.25°	1 month	1980-2013	408
Land surface temperature at 12h	CGLS	Freitas et al. (2013)	0.05°	1 day	2009-2018	439 or more (see Fig. <a href="#">S123-6</a> )
In situ precipitation	University of Nebraska-Lincoln	<a href="http://climod.unl.edu/">http://climod.unl.edu/</a>	local	1 month	1985-2018	408

**Table 4 – Observed and simulated mean LAI peak characteristics over Nebraska for the 1999-2018 time period for irrigated crops (see Fig. 4) and all vegetation-land surface types (see Fig. 5).**

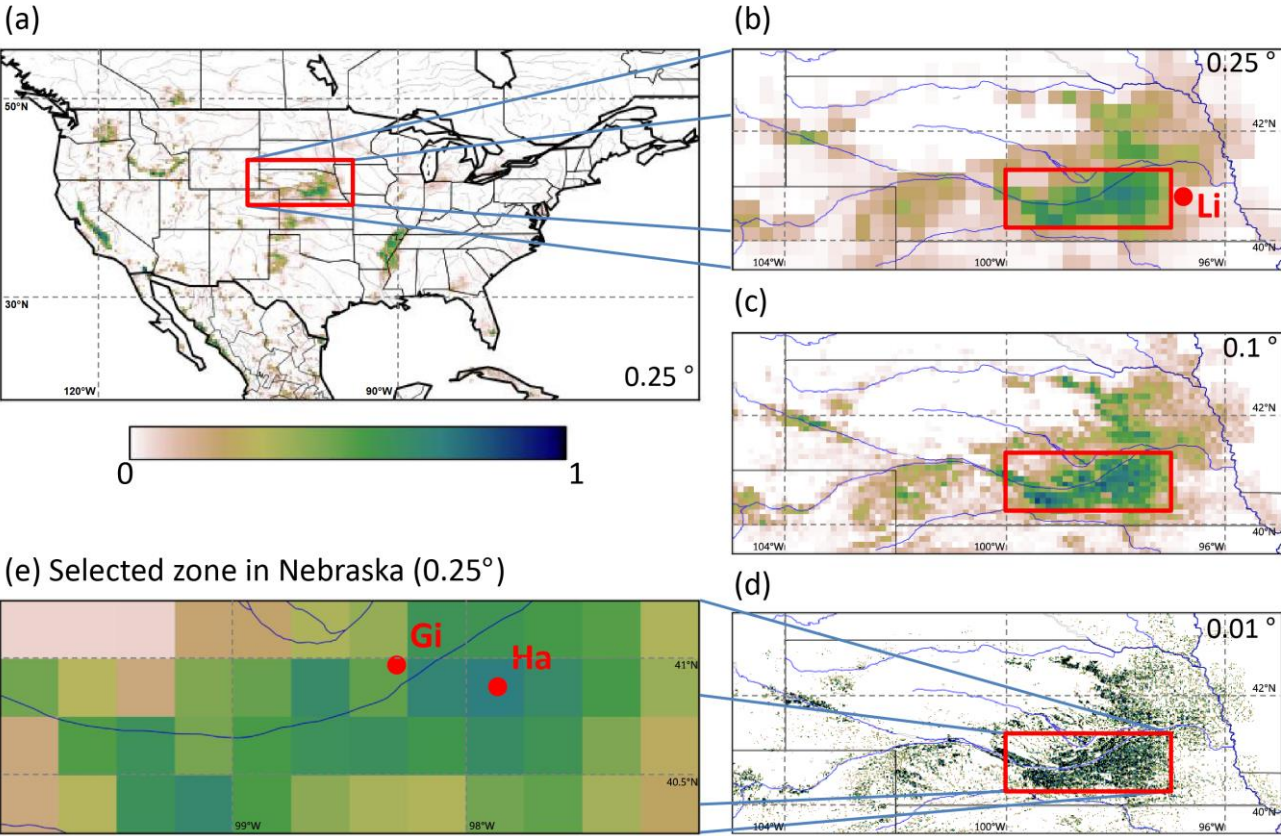
Vegetation types	LAI source	Peak LAI (m <sup>2</sup> m <sup>-2</sup> )	Peak LAI date
<u>Irrigated crops</u>	Satellite observations	4.9 (±0.8)	31 July
	Boedhram et al. 2001 (*)	3.6 to 4.0	12 July to 19 August 1994
	Boedhram et al. 2001 (*)	3.5	2 August to 23 August 1995
	ISBA_ref	3.6 (±0.2)	2 July
	ISBA_pheno	3.5 (±0.2)	26 August
	ISBA_pheno_irr	3.7 (±0.1)	28 August
<u>All land surface types</u>	Satellite observations	3.8 (±1.5)	31 July
	ISBA_ref	3.3 (±0.3)	1 July
	ISBA_pheno	3.1 (±0.3)	16 July
	ISBA_pheno_irr	3.1 (±0.3)	16 July

(\*) Boedhram et al. (2001) data are for fertilized irrigated corn in 1994 and 1995

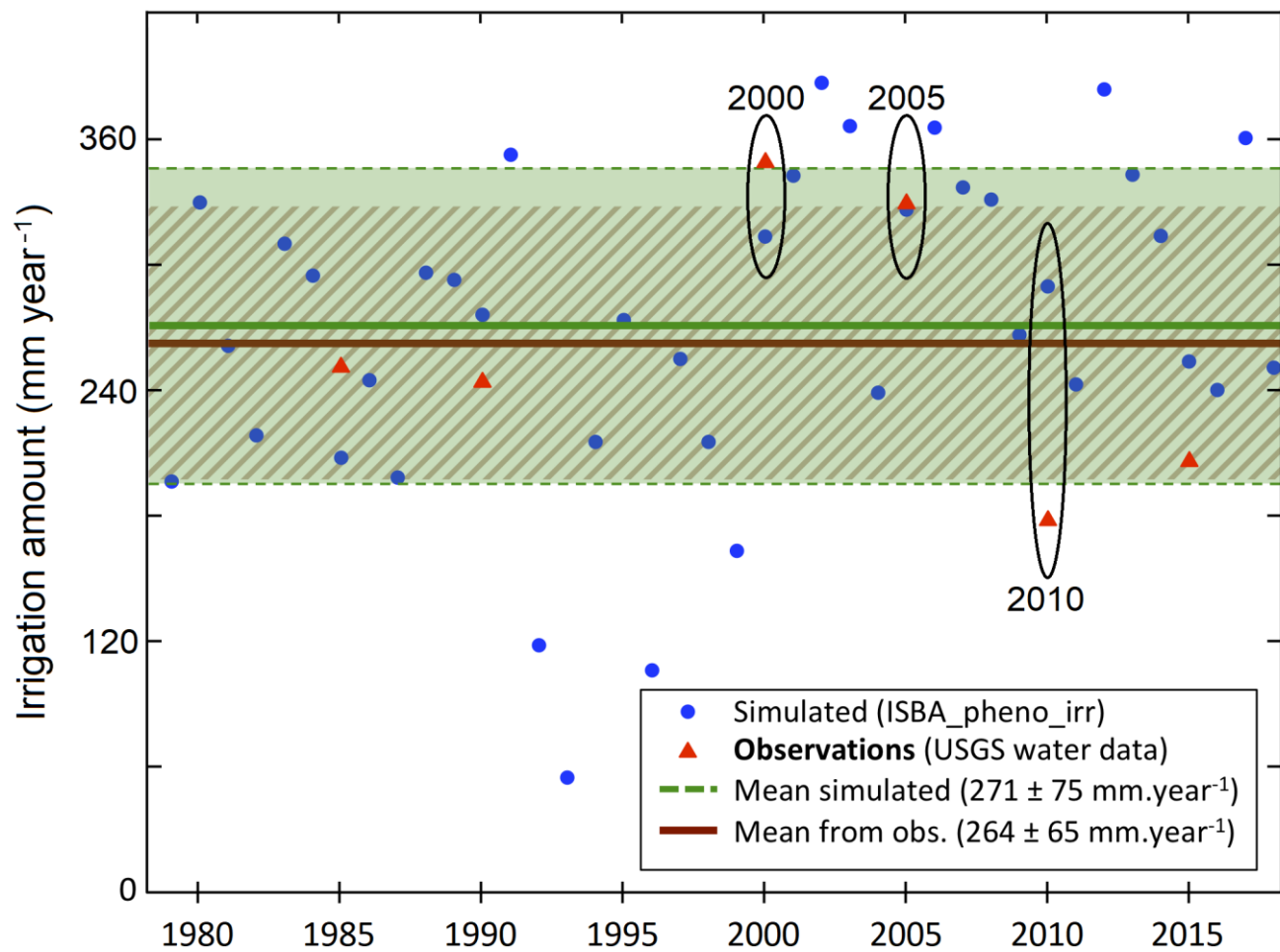


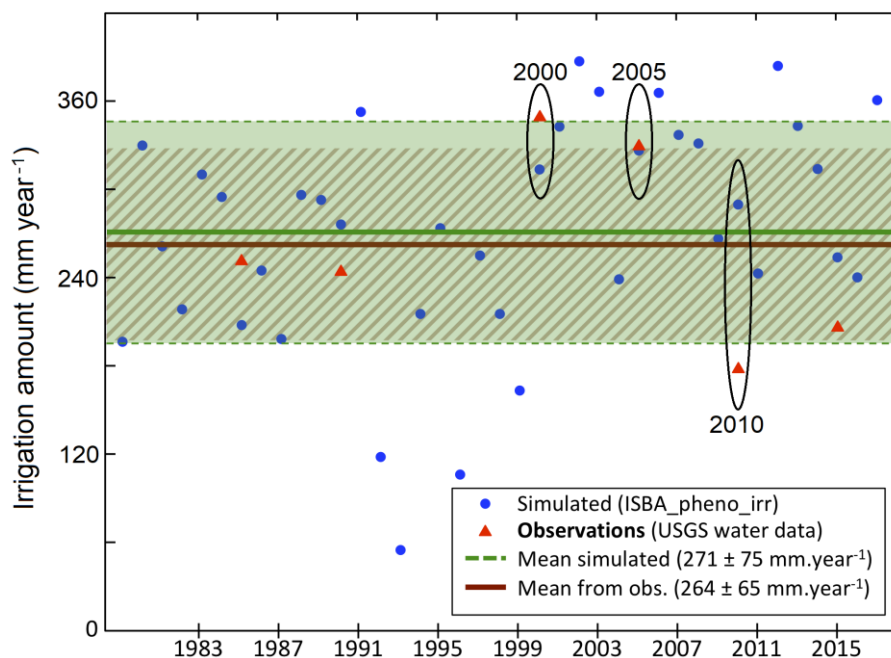
**Figure 1 – Irrigation decision tree model.**



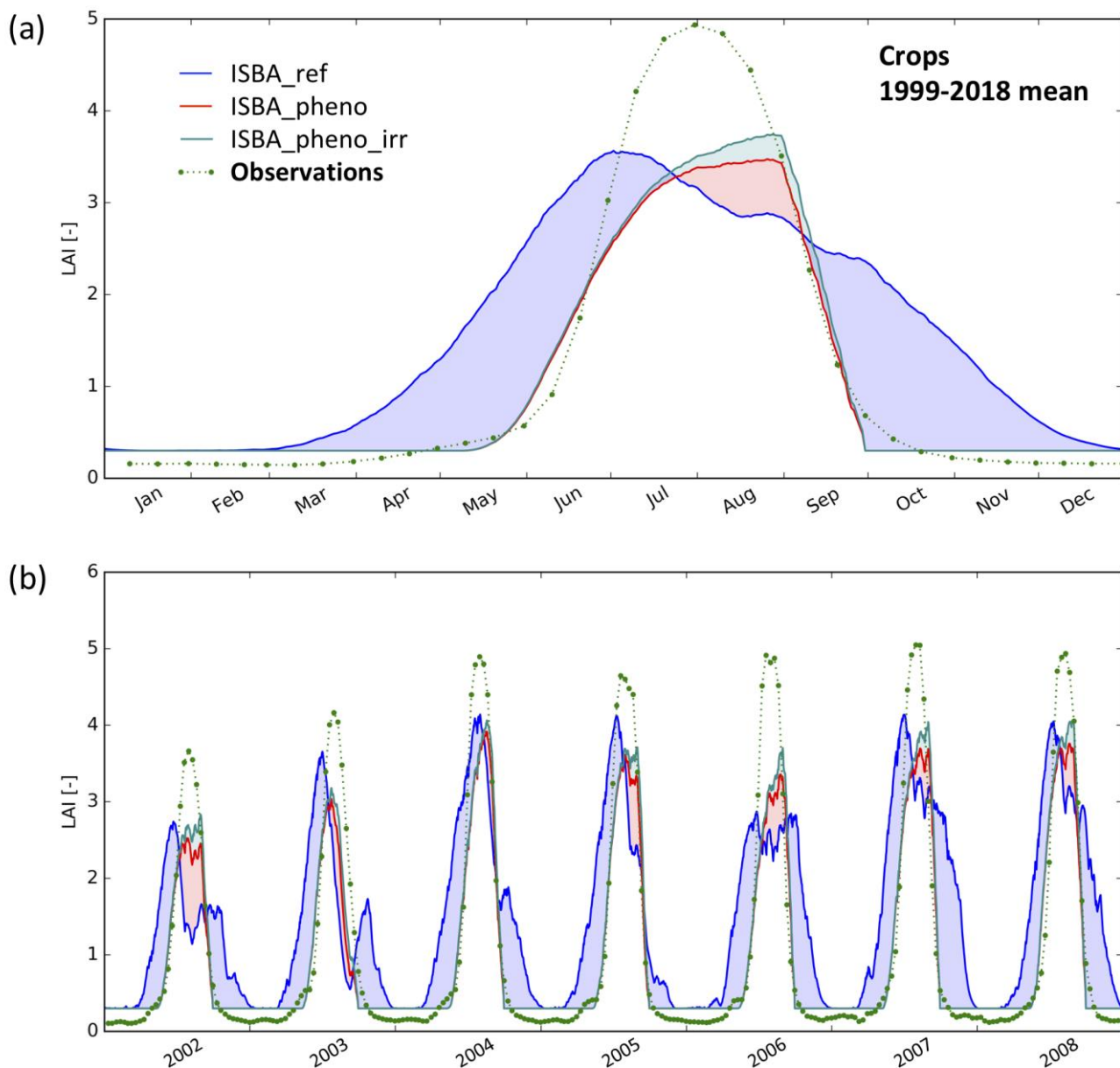


955 **Figure 2 – Irrigation fractional coverage derived from Meier et al. (2018) over (a) the Continental**  
**United State (CONUS), (b, c, d, e) Nebraska: at (b) 0.25°×0.25°, (c) 0.1°×0.1°, (d) 0.01°×0.01°**  
**spatial resolutions, and (e) over the selected zone in southern Nebraska considered in this study**  
**(100-97°W, 40.25-41.25°N). The red boxes show the location of the different zooms. The “Li”,**  
**“Gi” and “Ha” red dots correspond to the Lincoln weather station, Grand Island weather station,**  
960 **and Hampton irrigated area, respectively.**

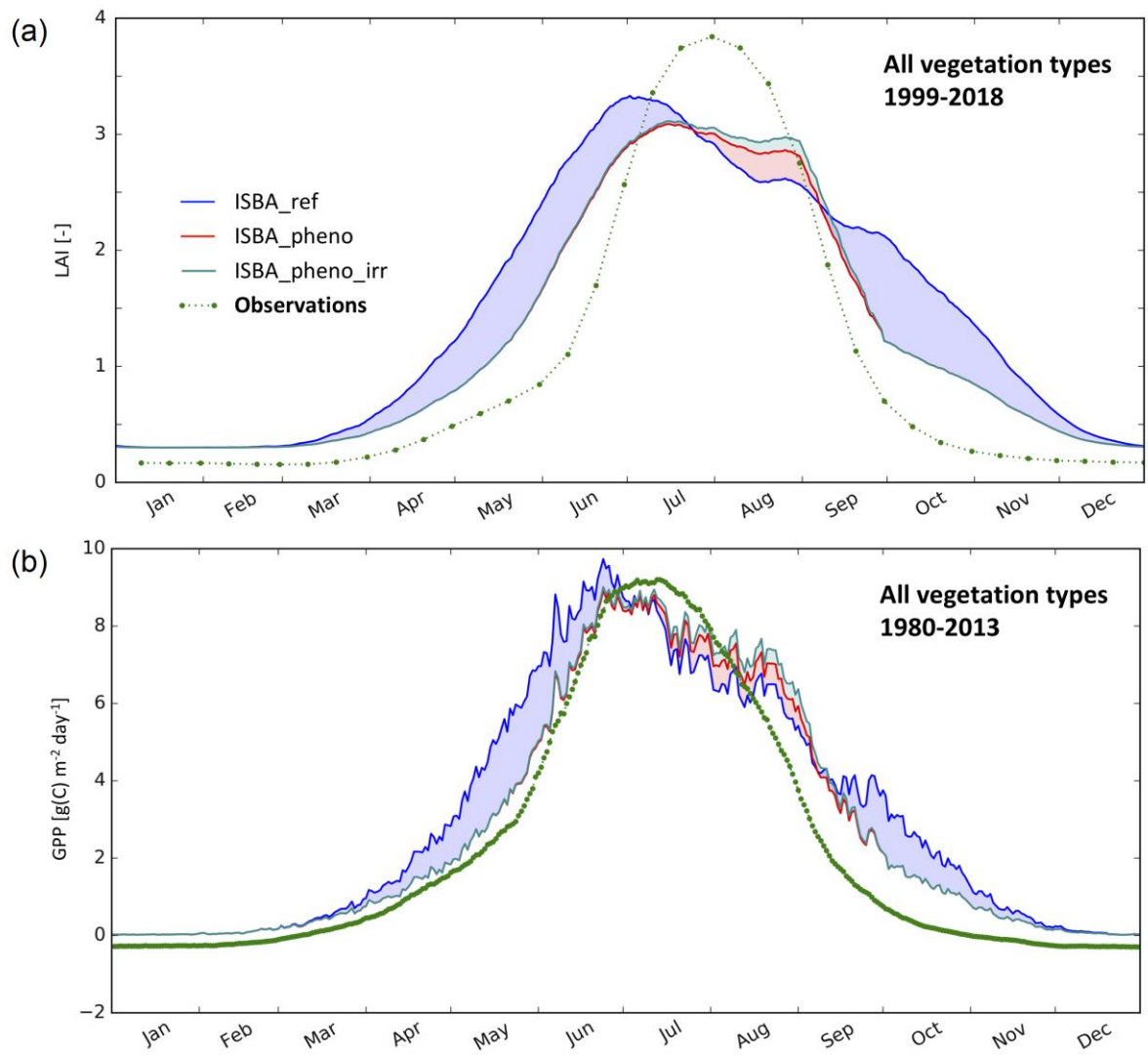




**Figure 3 – Yearly cumulated irrigation amounts simulated by the model for the studied area in Nebraska from 1979 to 2018 (blue dots). The six USGS observations from 1985 to 2015<sup>58</sup> are shown (red triangles). The mean and standard deviation of the yearly values are shown for the model (green solid and dashed lines, respectively), and for the USGS data (brown lines). The 2000 and 2005 dry years are indicated, together with the 2010 wet year.**



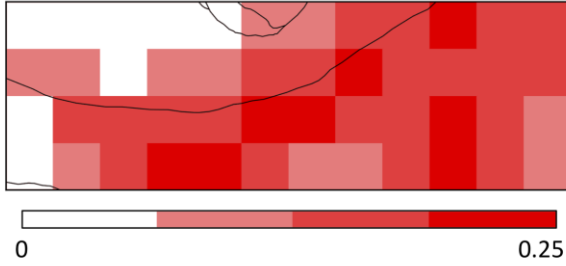
975 **Figure 4 – LAI ( $\text{m}^2 \text{m}^{-2}$ ) of irrigated crops (C3 or C4) in the most densely irrigated part of Nebraska (Fig. 2e): (a) seasonal variation for the time period from 1999 to 2018, (b) daily time series from 2002 to 2008. Simulated LAI is shown for the irrigated fraction, from the reference simulation (ISBA\_ref, blue line), and from the simulations with only agricultural practices and with agricultural practices and irrigation (ISBA\_pheno, red line, and ISBA\_pheno\_irr, cyan line, respectively). Satellite-derived LAI observations (green dots) are for areas where the fraction of C3 or C4 irrigated crops is larger than 50 %.**



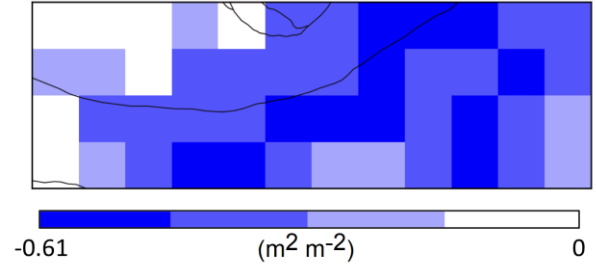
**Figure 5 – Simulated vs. observed LAI and GPP of all vegetation types, in the most densely irrigated part of Nebraska (Fig. 2e): (a) seasonal variation of mean LAI (m²m⁻²) from 1999 to 2018, (b) seasonal variation of mean GPP (gC m⁻² d⁻¹) 1980 to 2013. ISBA\_ref, ISBA\_pheno, ISBA\_pheno\_irr simulations are represented by blue, red, and cyan lines, and satellite-derived observations by green dots.**

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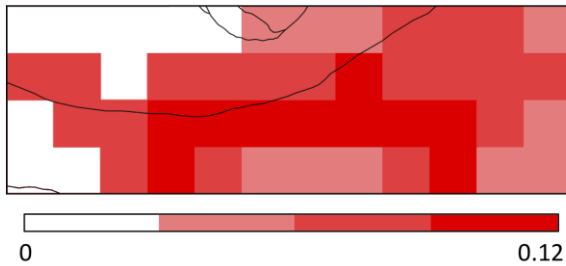
(a) LAI Correlation: ISBA\_pheno\_irr – ISBA\_ref



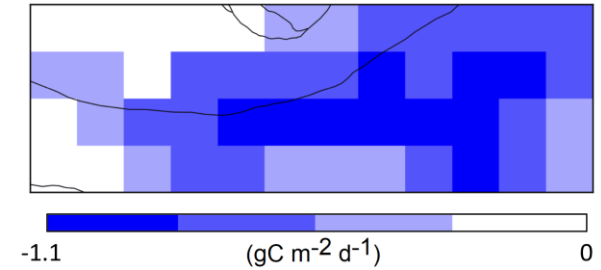
(b) LAI RMSD: ISBA\_pheno\_irr – ISBA\_ref



(c) GPP Correlation: ISBA\_pheno\_irr – ISBA\_ref

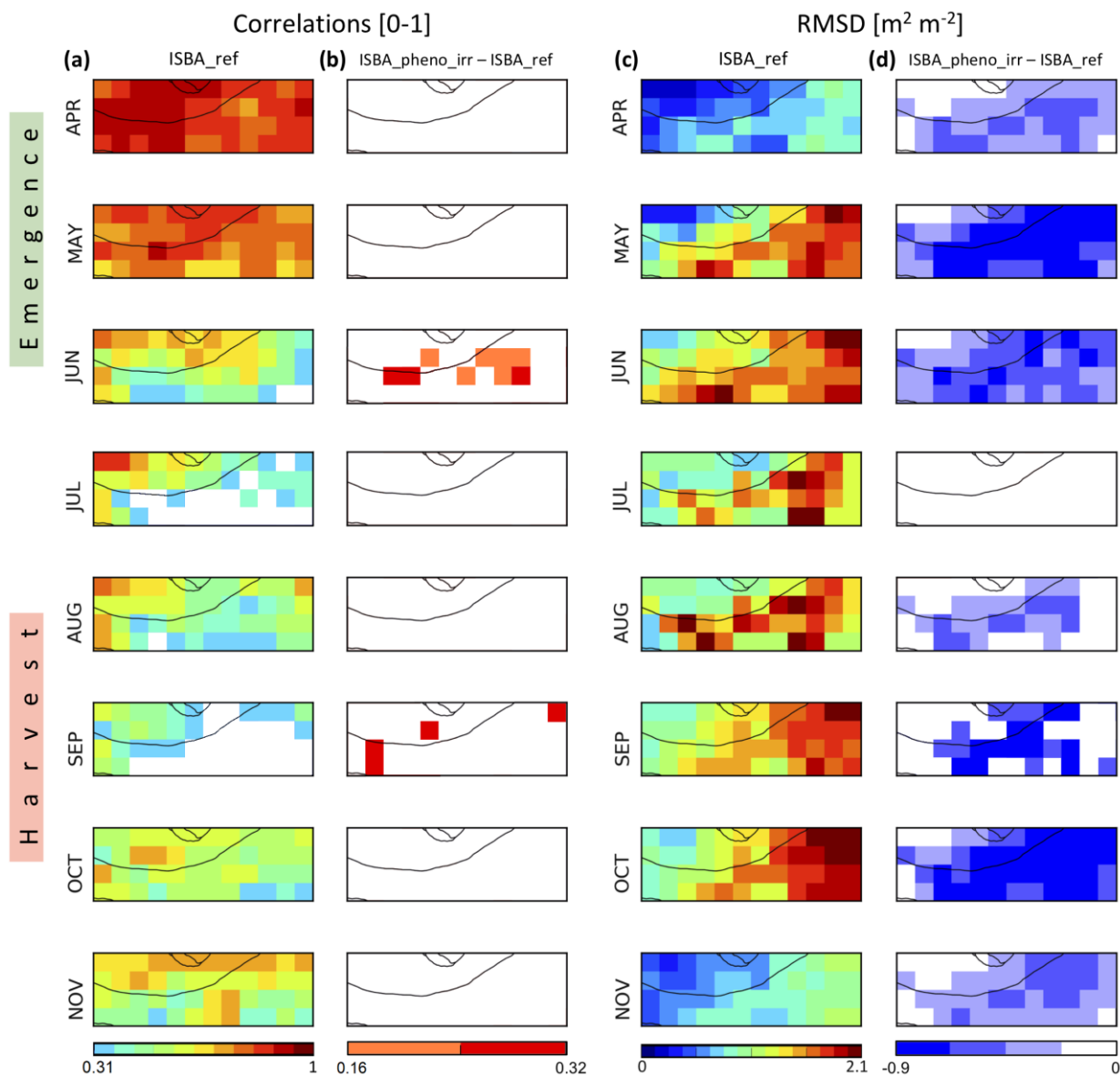


(d) GPP RMSD: ISBA\_pheno\_irr – ISBA\_ref



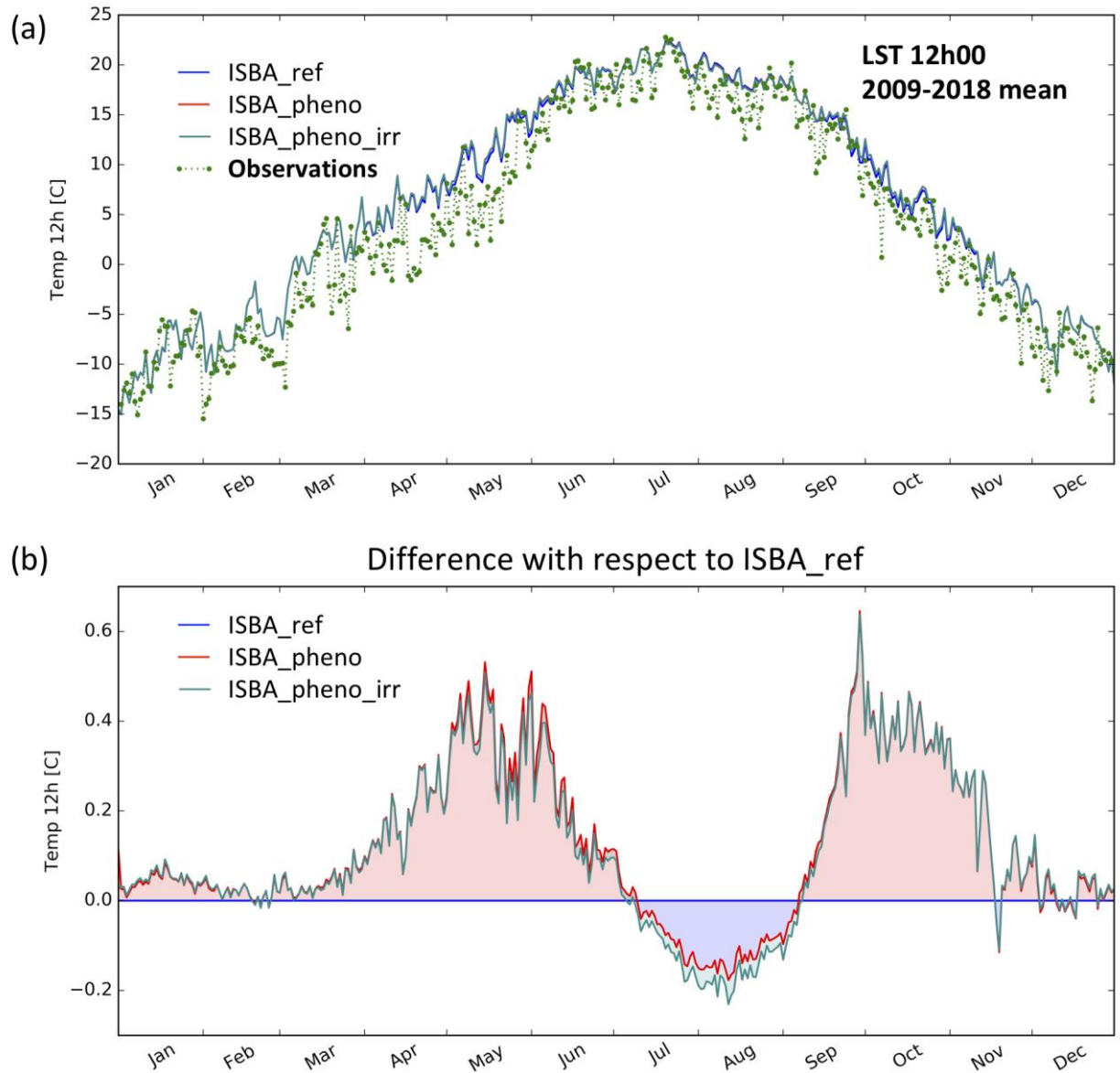
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**Figure 6 – Simulated vs. observed LAI and GPP of all vegetation types, in the most densely irrigated part of Nebraska (Fig. 2e): (a, c) temporal correlation and (b, d) RMSD score difference maps of (a, b) LAI, and (c, d) GPP. White is used to mask non-significant score difference values.**



**Figure 7 – Comparison of simulated LAI with CGLS LAI observations in the most densely irrigated part of Nebraska (Fig. 2e) from 1999 to 2018 during the vegetation growing and senescence time period from April to November. Monthly temporal correlation (a, b) and RMSD (c, d) maps are shown for the reference simulation without a representation of irrigation ISBA\_ref (a, c). The added value of the ISBA\_pheno\_irr simulation with respect to ISBA-ref is shown through score difference maps (b, d). White is used to mask non-significant correlation and score difference values.**





**Figure 8 – Seasonal variation of surface temperature daily values at 12:00 local time (degree C) in the most densely irrigated part of Nebraska (Fig. 2e) from 2009 to 2018 (a) as derived from the reference simulation ISBA\_ref (blue line), ISBA\_pheno (red line), ISBA\_pheno\_irr (cyan) and the CGLS product (green dotted line). The surface temperature differences at 12:00 local time (b) of ISBA\_pheno\_irr and ISBA\_pheno simulations with respect to the ISBA-ref simulations are shown.**