

Implementation ~~and validation~~ of a new crop and irrigation scheme in the ISBA land surface model using SURFEX_v8.1

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Abstract. With an increase in the number of natural processes represented, global land surface models (LSMs) have become more and more accurate in representing natural terrestrial ecosystems. However, they are still limited with respect to, ~~especially in the representation of~~ the impact of agriculture on land surface variables. This is particularly true for agro-hydrological processes related to a strong human control on freshwater. While most LSMs consider natural processes only, the development of human-related processes, e.g. crop phenology and irrigation in LSMs, is key. In this study we present the implementation of a new crop and irrigation scheme in the ISBA (Interaction between Soil, Biosphere, and Atmosphere) LSM. This highly flexible scheme is designed to account for various configurations and can be applied at different spatial scales. For each vegetation type within a model grid cell, three irrigation systems can be used at the same time. A limited number of parameters are used to control (1) the amount of water used for irrigation, (2) irrigation triggering (based on the soil moisture stress) and (3) crop seasonality (emergence, harvesting). ~~After a presentation of the simulations of the new scheme at a plot scale, a~~ A case study n-evaluation is proposed-presented over Nebraska (USA). This region is chosen for its high irrigation density and because independent observations of irrigation practices can be used to verify the simulated irrigation amounts. The ISBA simulations with and without the irrigation scheme are compared to different satellite-based observations. The comparison shows that the irrigation scheme improves the simulated vegetation variables such as leaf area index and gross primary productivity and other variables largely impacted by irrigation such as evapotranspiration and land

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surface temperature. In addition to a better representation of land surface processes, the results point to
30 potential applications of this new version of the ISBA model for water resource monitoring and climate
change impact studies.

1 Introduction

Amongst the global water withdrawal from rivers, reservoirs and groundwater, the share used for
agriculture is estimated to reach 69 % on average, with some regional heterogeneity - over 90 % in
35 some regions (Hoekstra and Mekonnen, 2012, FAO, 2014). This amount of water is likely to increase in
the future in relation to climate warming and population growth (United Nations et al., 2019, Field et
al., 2014). Future irrigation needs will likely be stronger in Africa. Now, only 5 % of cultivated land is
under irrigation in Africa, against 21 % at a global scale (FAO, 2014). The historical evolution of
irrigation also points to increasing water consumption: the area equipped for irrigation nearly doubled
40 from 1900 to 1950, when it tripled from 1950 to 2005 (Siebert et al., 2015).

Irrigation is used to increase crop yields by controlling the soil water stress (Fraiture et al.,
2007). Several studies indicate that yields can be higher by a factor of two or more when the fields are
irrigated (Bruinsma, 2009; Colaizzi et al., 2009; Siebert and Döll, 2010; FAO, 2014). However,
freshwater is already a limited resource and the current evolution of irrigation has a substantial impact
45 on: (1) river discharge, with a decrease in their lower reaches due to diversions and impoundments for
irrigation (Tang et al., 2008; Piao et al., 2010; Grafton et al., 2018), (2) groundwater level, with critical
low levels observed in case of intensive irrigation (Rodell et al., 2009; Döll et al., 2012; Pfeiffer and
Lin, 2014), (3) the surface energy budget through an increase of evapotranspiration, which can lead to
surface cooling (Kueppers et al., 2007; Lobell et al., 2008; Jiang et al., 2014). Water vapour originating
50 from large scale irrigation water supply can be recycled to rainfall and affect non-irrigated areas (Moore
and Rojstaczer, 2002; DeAngelis et al., 2010; Carrillo-Guerrero et al., 2013; Harding et al., 2013). It can
also affect the dynamics of the monsoon (Douglas et al., 2006; Saeed et al., 2009; Shukla et al., 2014)
and influence climate at both regional and global scales (Sacks et al., 2009; Puma and Cook, 2010).
These findings show a gradual and significant influence of changes in irrigated areas on the

55 hydrological cycle (e.g. Adegoke et al., 2003; Haddeland et al., 2006; Rost et al., 2008; Döll et al.,
2009; Hanasaki et al., 2010; Biemans et al., 2011). The ability of numerical models to reproduce these
different impacts and feedbacks is thus essential in order to understand the role of irrigation in the Earth
climate system at different spatial scales (Zaitchik et al., 2005). Representing irrigation could
potentially improve weather and climate forecast skill (Ozdogan et al., 2010). However, as presented
60 below, irrigation is generally represented in models in a too simplistic way.

Land surface models (LSMs) represent land surface biophysical processes and variables,
including soil moisture and vegetation biomass, in a way that is fully consistent with the representation
of carbon, water and energy fluxes. However, current models have to improve the representation of
anthropogenic factors and their interactions with natural processes (Verburg et al., 2016). In particular,
65 LSMs need to represent the complexity of irrigation practices as much as possible, and their impact on
the environment. Efforts were made to achieve this goal in the Community Land Model (CLM) and
Noah-MP LSMs (Felfelani et al. 2020, Zhang et al. 2020, respectively). However, as highlighted by
Chukalla et al. (2015), ~~most of the~~many large scale LSMs currently represent only one type of irrigated
vegetation (mostly C4 crops, i.e. crops with a C4 photosynthesis carbon fixation type, such as corn,
70 sorghum), with only one type of irrigation practice (e.g. sprinkling or flooding), one season per year and
no inter-annual variability of vegetation density. Among others, this is the case in the current version of
the ISBA (Interaction between Soil, Biosphere, and Atmosphere; Noilhan and Planton, 1989) LSM,
with C4 crops irrigated with sprinkling (Voirin-Morel, 2003; Calvet et al., 2008). In reality, there is a lot
of different vegetation types which can be irrigated, from orchards to pastures (FAO, 2014), and
75 different irrigation techniques with different ways to apply water (above the vegetation or directly on
the ground for sprinkling and flooding irrigation techniques, respectively). The type of irrigation is
recognised to change (1) the irrigation efficiency (Evans and Sadler, 2008), (2) the amount of
freshwater used for irrigation per surface unit (FAO, 2014), and (3) the impact of irrigation on water
resources (Khan and Abbas, 2007). Moreover, some specificities of irrigation such as the timing and
80 frequency of water application can affect the ecosystem and atmospheric responses to irrigation
(Sorooshian et al., 2012). Some models include a representation of irrigation without having an

interactive vegetation scheme and using climatological values instead (such as with the LSI-Noah model, a NASA land information system and LSM combination, used in Lawston et al., 2015), thereby precluding inter-annual variability of vegetation density and the impact of irrigation on vegetation growth. Having a more complete irrigation description is needed to follow the irrigation seasonality, and to represent possible changes in crop phenology such as emergence and harvest dates. The impact of changing irrigation characteristics in a context of climate change could thereby be evaluated, such as increasing irrigation efficiency (currently around 56%; FAO, 2014) and freshwater saving potential (Perry et al., 2017; Koech and Langat, 2018).

The objective of this work is to develop and evaluate a more detailed representation of irrigation practices into the ISBA LSM within the SURFEX (SURFace EXternalisée) modelling platform (Masson et al., 2013). SURFEX integrates different models describing ocean and terrestrial surfaces. Over land, specific models are used to represent water bodies, cities, and the soil-plant system. The latter is modelled by the ISBA LSM. In SURFEX, land cover is described by ECOCLIMAP-II (Faroux et al., 2013). This study takes advantage of the ECOCLIMAP-SG (Calvet and Champeaux, 2020; Supplement S1) major update of ECOCLIMAP-II. In the SURFEX platform, the ISBA model can be coupled to the CTRIP model (Decharme et al., 2019, Munier and Decharme, 2021) which is specifically designed to represent water dynamics within rivers and aquifers. The SURFEX framework allows the coupling of terrestrial processes with atmospheric models and hydrological models. For agricultural drought and water resource monitoring, SURFEX can also be operated offline, forced by a pre-existing dataset of atmospheric variables. Only offline ISBA simulations are considered in this study. The new irrigation module represents water demand for irrigation, only, and irrigation is not limited by the lack of water resources. This has consequences on water conservation. However, water used for irrigation is usually withdrawn from aquifers, rivers or reservoirs. These compartments are not represented in ISBA but a new module dedicated to dam/reservoirs is currently under development. ~~While the SURFEX framework allows the coupling of terrestrial processes with atmospheric and hydrological models, only offline ISBA simulations are considered in this study.~~

~~The evaluation of the new irrigation scheme is made over the state of Nebraska (United States of America, USA). This area presents a high density of irrigated fields (Fig. 1) and large freely available observational datasets for evaluation.~~

Section 2 presents the observational datasets, the current version of the ISBA LSM, the description of the new crop and irrigation scheme, followed by a description of the validation protocol. Section 3 illustrates the impact of the new ~~irrigation~~ scheme when compared to a model run without irrigation. An evaluation of the performance of the model is made over Nebraska. Section 4 discusses the added value and the limits of the newly implemented irrigation scheme. Finally, section 5 presents the conclusions and future research directions.

2 Materials and Methods

2.4.1 Model implementation and evaluation

~~The objective of the model evaluation is to demonstrate that the model is able to reproduce irrigation and that the irrigation scheme improves vegetation modelling and the associated surface fluxes as compared to observations. We chose to study an area where the use of irrigation is well documented: the Continental United States (CONUS defined here as 20-55°N, 130-60°W, Fig. 1). The implementation and the evaluation of the new irrigation scheme are made over the state of Nebraska (United States of America, USA). This area presents a high density of irrigated fields (Fig. 1) and large freely available observational datasets for evaluation. In this area, most irrigated field consist of corn (Zhang et al., 2020). In particular, we focused on a region where the irrigation is prominent: the south of the state of Nebraska (100-97°W, 40.25-41.25°N, Fig. 1e). The objective of the model evaluation is to demonstrate that the model is able to reproduce irrigation and that the irrigation scheme improves vegetation modelling and the associated surface fluxes as compared to observations.~~

~~The ISBA LSM simulations (non-coupled with the atmosphere) are forced by the ERA-5 reanalysis at a spatial resolution of $0.25^\circ \times 0.25^\circ$ (see Section 2.4.1.2) over a 40-year period from 1979 to 2018. The initial values of the soil moisture and soil temperature profiles are derived from a 20-year spin-up simulation by repeating year 1979. The same initial conditions are used for all the simulations.~~

with and without crop and irrigation modelling. To evaluate the impact of irrigation, these simulations are run using the ECOCLIMAP-SG land cover classification within SURFEX (see Supplement 1)ECOCLIMAP-SG. All nature types are grouped into 15 patches including three irrigated ones: shrubs (orchards), C3 (typically wheat and rice) and C4 crops (corn). This study focuses on the results of these last two nature types because there are hardly any irrigated orchards in Nebraska in the irrigation map described in Section 2.4.1. The dates of the irrigation season were chosen in accordance with the literature (USDA and NASS, 2010) from May (emergence) to September (harvest), with a random picking of the day within those specific months. Three types of simulations ~~were~~are performed (Table 3): “ISBA_ref” without ~~irrigation nor crop phenology~~any irrigation (the benchmark), “ISBA_pheno” with only crop phenology attributes (emergence and harvest dates) and the complete “ISBA_pheno_irr” simulation with irrigation and crop phenology attributes. For the comparison ~~(ISBA_ref vs. ISBA_pheno vs. ISBA_pheno_irr)~~of the simulations we selected areas where the irrigation fractional coverage is larger than 50 % as determined from the irrigation map.

~~In order to assess the consistency of the simulated irrigation process with observations, the simulated number of yearly irrigation events on irrigated areas in Nebraska was compared with the USGS irrigation water amount estimates (Table 1). Since the latter consisted of 5 yearly means, only values of the mean and standard deviation of the yearly irrigation number were compared. Irrigation water amount was converted to a number of irrigation events using the model default irrigation water amount of 30 mm per irrigation event. The comparison was made for the irrigated croplands (either C3 or C4 crops) as defined using the irrigation map (Section 2.1.1) within the studied irrigated area in Nebraska (Fig. 1e).~~

The reference ISBA_ref LAI simulations ~~were~~are compared with those from ISBA_pheno and ISBA_pheno_irr experiments, and with the $0.01^{\circ} \times 0.01^{\circ}$ LAI satellite observations over areas in Nebraska where the vegetation is considered as C3 or C4 irrigated crops by ECOCLIMAP-SG.

In order to compare the time series simulations with observations, the Pearson correlation coefficient (R) and the root-mean-square difference (RMSD) scores ~~were~~are used. For water and carbon fluxes, they ~~were~~are calculated using daily values.

2.1 Data

2.1.1 Irrigation map

One of the main challenges of this study was to obtain an upgraded map of irrigation at the global scale, to be consistent with the resolution ($300\text{ m} \times 300\text{ m}$) of the European Space Agency—Climate Change Initiative (ESA-CCI) land cover map used in ECOCLIMAP-SG. The $1\text{ km} \times 1\text{ km}$ resolution global irrigation map proposed by Meier et al. (2018), based on a statistical approach and satellite data, was used. A reason to choose this product was that its development process was based (amongst other) on the ESA-CCI land cover product (v1.6.1), the same as the one used to develop the ECOCLIMAP-SG vegetation map (Supplement S1).

In order to transfer the Meier irrigation map ($1\text{ km} \times 1\text{ km}$) to ECOCLIMAP-SG ($300\text{ m} \times 300\text{ m}$), a spatial rescaling of the Meier map was performed. A simple majority rule was used by assigning to each $300\text{ m} \times 300\text{ m}$ grid point of ECOCLIMAP-SG the irrigation status (irrigated or rainfed) of the main corresponding grid cell of the Meier $1\text{ km} \times 1\text{ km}$ map. An irrigation map at a spatial resolution of $300\text{ m} \times 300\text{ m}$ was obtained, with a single vegetation type attributed to each grid cell together with the irrigation status. The main limitation of this map is that there is no information on the type of irrigation. In this study, we considered that all irrigation is of “sprinkler” type as this is the most common irrigation type in the USA (AQUASTAT and FAO, 2019), where the testbed area of this study is located. This entails that irrigation water is added to the precipitation forcing over the irrigated agricultural parcels.

2.1.2 Atmospheric forcing

The simulations presented in this study were not coupled with the atmosphere. They were forced by a simulated atmospheric dataset of the European Centre for Medium Range Weather Forecasts (ECMWF): the ERA-5 atmospheric reanalysis at $0.25^\circ \times 0.25^\circ$ (Hersbach and Dee, 2016). This global dataset was successfully used to force the ISBA LSM in previous studies (e.g. Albergel et al., 2019; Bonan et al., 2020). Beck et al. (2019) showed that the ERA-5 precipitation dataset is reasonably consistent with gauge-radar data over CONUS, except for mountainous areas. A subset of the ERA-5

forcing over Nebraska was used for the time period from 1979 to 2018. The following atmospheric variables were used to force the ISBA LSM and were taken from ERA-5 at an hourly time step: air temperature, wind speed, air specific humidity, atmospheric pressure, shortwave and longwave downwelling radiation and precipitation (liquid and solid).

2.1.3 Validation datasets

A large variety of data was used to evaluate the simulations over Nebraska. Six observation datasets were used (Table 1): the water used for irrigation, satellite-derived Leaf Area Index (LAI), gross primary production (GPP), evapotranspiration, land surface temperature (LST), and precipitation.

Precipitation data from the Grand Island and Lincoln weather stations (40.93°N—98.76°W, 40.83°N, 96.76°W, “Gi” dot in Fig. 1e and “Li” dot in Fig. 1b, respectively) were used to evaluate the ERA5 precipitation forcing over Nebraska.

The water use records were provided by the US Geological Survey (USGS) through the National Water Information System (available at <https://waterdata.usgs.gov/ne/nwis/wu>, last access August 2021). Every 5 years from 1985 onward, the annual raw amount of water collected for irrigation was available by county, associated to the surface area of the irrigated vegetation. This allowed us to compute the amount of water used for irrigation per unit surface area (in mm) over the specific studied zone in Nebraska (Fig. 1e). The USGS data we used cover the 1985–2019 time period. Because of inconsistencies in the record for 1995, this year was not taken into account.

The simulated LAI was compared with a satellite-derived LAI product at $0.01^\circ \times 0.01^\circ$ spatial resolution derived from SPOT VGT and PROBA-V satellite data (up to May 2014, and after May 2014, respectively) by the European Copernicus Global Land Service (CGLS). This LAI product is described in Baret et al. (2013). We used Version 2 of this product (GEOV2). It is available every 10 days for all simulation years. The LAI time series is available from 1999 onward. It does not cover the whole simulation time period (1979 to 2018). The simulated GPP is compared to an upscaled estimate of GPP available at 0.25° from 1980 to 2013, from the FLUXCOM project (Jung et al., 2017). The simulated evapotranspiration is compared to the GLEAM satellite-driven model estimates of land evapotranspiration available from 2003 to 2018 (version v3.2b, Martens et al., 2017) at a spatial

215 ~~resolution of $0.25^\circ \times 0.25^\circ$. Finally, the simulated LST at 12h00 (local solar time) is compared to the LST derived from geostationary meteorological satellites by CGLS at 12h00 (local solar time). This product has a spatial resolution of $0.05^\circ \times 0.05^\circ$ and is available from 2009 to 2018 (Freitas et al., 2013).~~

2.2 The ISBA land surface model

220 The ISBA model (originally described in Noilhan and Planton, 1989) is the LSM developed by the research department of Météo-France (Centre National de Recherches Météorologiques, CNRM). It is embedded into the SURFEX modelling platform (Masson et al., 2013; Voldoire et al., 2017; Le Moigne et al., 2018), and can provide initial land surface conditions to various atmospheric models (e.g. ALADIN in Fischer et al., 2005), or be forced by atmospheric conditions in offline (i.e. stand-alone) mode. In SURFEX, the evolution of land surface states (surface temperature, albedo, roughness...) and
225 fluxes (evaporation, sensible heat flux, ground heat flux, net ecosystem exchange of CO_2 , ...) is simulated for four different tiles: natural and cultivated lands (e.g. deciduous and broadleaf forests, tropical, temperate and boreal grasslands, crops, deserts, ...), urban areas, oceans and inland waters (such as lakes). The ISBA LSM is used to simulate natural and cultivated lands.

In ISBA_ref simulation~~this study~~, the version of ISBA including photosynthesis and temporal
230 dynamical LAI evolution in response to environmental conditions ~~was~~is used (ISBA-A-gs; Calvet et al., 1998; Gibelin et al., 2006), together with the multi-layer soil hydrology scheme described in Decharme et al. (2019).~~Phenology is entirely driven by photosynthesis and no growing degree-day model is used. The only phenology parameter is a minimum LAI value, of $0.3 \text{ m}^2\text{m}^{-2}$ for low vegetation. In ISBA pheno and ISBA pheno irr experiments, two more parameters are used: emergence and~~
235 harvest dates (Table 2). After the harvest and before the emergence, the simulated LAI is maintained at the minimum LAI value of $0.3 \text{ m}^2\text{m}^{-2}$. Moreover, an updated land cover description was used: ECOCLIMAP-SG (see Supplement S1). The simulations ~~were~~are based on the SURFEX v8.1 version,
~~which is similar to the v8.0 version (Voldoire et al., 2017), but with new technical developments (Le Moigne et al., 2018).~~ Since this study focusses on irrigation, only the tile of natural and cultivated lands
240 ~~was~~is simulated with ISBA, representing the evolution of soil (temperature and water profiles),

vegetation (leaf-level and canopy-level photosynthesis, biomass, LAI and carbon fluxes), surface hydrology (runoff and drainage) and snow conditions. To represent the global-scale diversity of continental natural surfaces, twenty different surface types (hereafter referred to as “nature types”) can be used in ECOCLIMAP-SG (see Fig. S1.1 and Table S1.2).~~To represent the global-scale heterogeneity of continental natural surfaces, twenty different surface types (hereafter referred to as “nature types”) can be used in ECOCLIMAP-SG to represent the evolution of landscapes with low vegetation, with wooded vegetation, and without vegetation.~~

2.3 Irrigation modelling concept

In this study, a pre-existing simple irrigation scheme (Calvet et al., 2008) within the ISBA LSM ~~was~~is upgraded to build a new version able to work at a global scale and to represent several types of irrigation practices. The new crop and irrigation scheme is operated using ~~the~~ ECOCLIMAP-SG (see Supplement S1)~~land cover classification within SURFEX~~. The best achievable spatial resolution of ECOCLIMAP-SG is 300 m × 300 m. The irrigation can be activated for ISBA versions able to simulate interactive vegetation biomass and LAI.

2.3.1 Irrigation processes

Within ISBA, irrigation is represented by imposing an additional water flux forcing to the soil-plant system. Water is applied at a given time and over a certain period of time. A number of irrigation parameters need to be assigned such as the irrigation amount, the irrigation interval~~variables need to be simulated such as the irrigation amount, the irrigation rate~~, the irrigation start and end times. A parsimonious approach is used in order to limit the number of parameters of the model. Table 2 lists the parameters and the values used by default in this study. These values are based on results from previous studies (Voirin-Morel, 2003; Calvet et al., 2008). Irrigation is triggered using thresholds of the simulated extractable soil moisture content. Moreover, specific crop phenology parameters such as emergence and harvest dates are used for irrigated crops. In Lawston et al. (2015), three irrigation types are considered: sprinkler irrigation, flood irrigation and drip irrigation. In the new version of ISBA the same irrigation types are represented but a different modelling approach is used. In this study, the

sprinkler irrigation type is used and evaluated. Flood and drip irrigation will be considered in a future work. Three irrigation types are considered in Lawston et al. (2015): sprinkler irrigation, flood irrigation and drip irrigation. In the new version of ISBA the same irrigation types are represented but a different modelling approach is used. In this study, the sprinkler irrigation type is used. The new crop and irrigation algorithm is based on several steps described below and in Fig. 2.

First, it is determined whether fields within the grid cell can be irrigated, i.e. they are equipped for irrigation (e.g. water supply, valves, pipes...). This information is given by the irrigation map described in section 2.4.1.

Secondly, it is checked that the vegetation growth stage is compatible with irrigation. For crops, irrigation can be triggered after the emergence and until a few days before the harvest (by default two weeks). In practice, two dates are prescribed: emergence and harvest. This is a simple way to represent specific crop phenology attributes of irrigated crops. Between these two dates, irrigation is possible. Before the emergence and after the harvest, LAI is fixed at the model's minimum value ($LAI = 0.3 \text{ m}^2 \text{ m}^{-2}$). This new crop and irrigation scheme is able to support up to three plant growth seasons per year. The crop phenology parameters are not applied to wooded vegetation (trees and shrubs), and can be applied without irrigation. Irrigation can optionally be triggered without considering any specific crop phenology parameter.

The availability of resources (equipment or local water distribution) is taken into account through a default minimum time gap between two successive irrigations (Zhang et al. 2019). This default irrigation interval parameter value is a constant (7 days by default) but maps of irrigation intervals could be used when available. return time period between two irrigations. This default parameter value is a constant (7 days by default) but maps of this parameter could be used when available.

Irrigation can only be triggered when vegetation growths is limited by the extractable soil moisture availability. The plant water stress level is evaluated using a soil wetness index (SWI) along the root profile. The root-zone SWI (SWI_{root_zone}) is a unitless weighted average SWI value based on the soil volumetric water content profile ($Wc_i, \text{m}^3 \text{ m}^{-3}$), the field capacity volumetric water content profile

(Wfc_i , $\text{m}^3 \text{m}^{-3}$) and the wilting point profile ($Wwilt_i$, depending on clay and sand fraction, $\text{m}^3 \text{m}^{-3}$), for
295 each soil layer i . The root fraction inside each soil layer (f_{root_i}) is used as a weighting factor:

$$SWI_{root_zone} = \sum_{i=1}^{n_{soil}} f_{root_i} \times \frac{Wc_i - Wwilt_i}{Wfc_i - Wwilt_i} \quad (1)$$

where n_{soil} is the number of top soil layers containing roots. This value depends on the considered vegetation type. For example, $n_{soil} = 9$ for crops, with a rooting depth of 1.5 m.

A SWI_{root_zone} value close to one corresponds to a well-watered soil, while a value close to zero
300 indicates extreme stress. In order to trigger irrigation, the SWI_{root_zone} value is compared to predefined SWI thresholds given as input parameters. These SWI thresholds are evolving during the irrigation season and default values used in this study are fixed to 0.7 for the first irrigation, 0.55 for the second irrigation, 0.4 for the third irrigation, and 0.25 afterwards (following Voirin-Morel, 2003 and Calvet et al., 2008).

305 If all of these conditions are satisfied, irrigation is triggered with a predefined quantity of water of 30 mm (by default), following Calvet et al. (2008). The yearly sum of this irrigated water can be compared to the USGS data described in Section 2.4.3. The irrigation water flux is evenly distributed over a period of time of 8 hours (by default) and is applied on top of the vegetation canopy like precipitation for sprinkler irrigation. In this case, the irrigation water can be intercepted by vegetation
310 and a fraction evaporates. In the case of drip or flood irrigation, the water flux is applied directly to the soil surface, with no leaf interception. Considering the static equipment used for drip irrigation, there is no irrigation interval ($\Delta t_{wn} = 0$ day). In this study, only sprinkling irrigation is considered as this is the dominant irrigation type in Nebraska. Drip and flood irrigation will be evaluated in future works. The activation of a given irrigation method is described in Supplement S5. In this study, only sprinkler
315 irrigation is considered. Irrigation simulations are illustrated in Supplements S2 and S3 over southwestern France and over the Hampton irrigated area in Nebraska (Fig. 1e), respectively. Observed monthly precipitation in Nebraska is presented for contrasting years in Supplement S4.

All the values of the new parameters presented above have been set within a default configuration. These values can be user-defined for each nature type and for each grid cell, including,
320 when possible, seasonal variations. See Supplement S5 for configuration details and possibilities.

2.3.2 Aggregation of irrigated and rainfed vegetation

In contrast to previous versions of ISBA (~~Voirin Morel, 2003; Calvet et al., 2008~~), there is no specific irrigated nature type in the new ECOCLIMAP-SG vegetation description. On the other hand, irrigation of all the nature types listed in Table S1.2 is possible. By default, six vegetation types are considered
325 (three crop and three woody vegetation types as shown in Fig. S1.1). ~~On the other hand, irrigation of all nature types is possible.~~ The new crop and irrigation scheme is able to represent the sub-grid heterogeneity of the irrigation fractional coverage. For each nature type, an irrigated and a non-irrigated fraction are considered at the simulation resolution. In order to prevent an excessive increase in the number of simulated nature types (potentially 20 non-irrigated and 20 irrigated times 3 irrigation types,
330 i.e. a total of 120 types), involving a large increase of complexity, memory and computing cost, some choices were made for the implementation:

1. Selection of a limited number of irrigated nature types. The default implementation consists in six irrigated nature types. Temperate deciduous and evergreen trees types (No 8 and 10 in Table S1.2, respectively) can be used to represent fruits trees or olive trees for example,
335 respectively. Shrub type (No 15) can be used to represent, among others, vine plants, and types No 19, 20 and 21 may represent irrigated crops (e.g. wheat, soybean, and corn, respectively).
2. Selection of the main irrigation method used for each grid cell and nature type, considering that in one grid cell there is only one dominant method for a given nature type (e.g. flooded
340 rice in China or sprinkled corn in France).

Finally, the system state variables (soil water content, surface and soil temperature, vegetation biomass, etc.) differ in irrigated and non-irrigated parts of the cell. This implies to (1) duplicate a nature type if it is partially irrigated, (2) attribute for each grid cell the corresponding irrigated fraction, and (3) select the irrigation type for the irrigated fraction. Lastly, the two irrigated and non-irrigated nature
345 types are treated separately but the same rooting depth and secondary parameters (see Table S1.1) are used.

In order to limit the computing time, vegetation types can (optionally) be gathered. In this case vegetation “patches” are created. In ISBA, patch aggregation (Masson et al., 2013) is a method used to reduce the number of simulated nature types. It is based on the aggregation of the fractions of nature types, as shown in Fig. 23. The model primary parameters such as rooting depth, LAI and tree height are weighted using the fractional coverage of each nature type in the grid cell. The mean parameter values are calculated following different laws: dominant, arithmetic averaging, inverse averaging or inverse of square logarithm averaging, depending on the considered parameters, as described in Noilhan and Lacarrère (1995) and Noilhan et al. (1997). In practice, the nature types to be aggregated (see the list in Fig. S1.1) within a grid cell are first chosen. Then, during the simulation, the fractions of nature type composing each patch are added together (step 1 in Fig. 23) for each grid cell. The different primary parameters (trees height, LAI, ...) are weighted by patch following the respective vegetation fractions (step 2 in Fig. 23). For secondary parameters (e.g. photosynthesis parameters in this study) a minimum number of patches is needed in order to avoid combining incompatible vegetation types (e.g. C3 crops and C4 crops).

In a first step (step 0 in Fig. 23) the differentiation between irrigated and rainfed nature types is done by computing the irrigated (and rainfed) fraction for each nature type and for each grid cell. Arithmetic averaging is used to cross information from the nature type fractional coverage and from the global irrigation fraction map described in Section 2.41.1. The ECOCLIMAP-SG land cover classification uses this additional data layer to compute the fraction of irrigated vegetation at the spatial resolution of the model simulations. ~~Each-n~~Nature types considered as irrigated (by default 6) ~~is-are~~ duplicated (meaning that for each of them a new nature type is created with the same parameters). This ensures the distinction of irrigated and rainfed soil water budget types. Then, as before, the nature types are aggregated by patch and the primary parameter values are computed (step 1 and step 2 in Fig. 23, respectively).

This change of the code structure based on the aggregation tool is a way to (1) maintain the continuity with previous versions of the code, (2) ensure flexibility for the number of irrigated nature types to be considered and (3) simulate distinct irrigated and rainfed fractions of a nature type.

~~2.4 Model implementation and evaluation~~

~~The objective of the model evaluation is to demonstrate that the model is able to reproduce irrigation and that the irrigation scheme improves vegetation modelling and the associated surface fluxes as compared to observations. We chose to study an area where the use of irrigation is well documented: the Continental United States (CONUS defined here as 20–55°N, 130–60°W, Fig. 1). In particular, we focused on a region where the irrigation is prominent: the south of the state of Nebraska (100–97°W, 40.25–41.25°N, Fig. 1e).~~

~~The ISBA LSM simulations (non-coupled with the atmosphere) are forced by the ERA-5 reanalysis at a spatial resolution of $0.25^\circ \times 0.25^\circ$ (Section 2.1.2) over a 40-year period from 1979 to 2018. The initial values of the soil moisture and soil temperature profiles are derived from a 20-year spin-up simulation by repeating year 1979. To evaluate the impact of irrigation, these simulations are run using ECOCLIMAP-SG. All nature types are grouped into 15 patches including three irrigated ones: shrubs (orchards), C3 (typically wheat and rice) and C4 crops (corn). This study focuses on the results of these last two nature types because there are hardly any irrigated orchards in Nebraska in the irrigation map described in Section 2.1.1. The dates of the irrigation season were chosen in accordance with the literature (USDA and NASS, 2010) from May (emergence) to September (harvest), with a random picking of the day within those specific months. Three types of simulations were performed (Table 3): “ISBA_ref” without any irrigation (the benchmark), “ISBA_pheno” with only crop phenology attributes (emergence and harvest dates) and the complete “ISBA_pheno_irr” simulation with irrigation and crop phenology attributes. For the comparison (ISBA_ref vs. ISBA_pheno vs. ISBA_pheno_irr), we selected areas where the irrigation fractional coverage is larger than 50 % as determined from the irrigation map.~~

~~In order to assess the consistency of the simulated irrigation process with observations, the simulated number of yearly irrigation events on irrigated areas in Nebraska was compared with the USGS irrigation water amount estimates (Table 1). Since the latter consisted of 5-yearly means, only values of the mean and standard deviation of the yearly irrigation number were compared. Irrigation water amount was converted to a number of irrigation events using the model default irrigation water~~

amount of 30 mm per irrigation event. The comparison was made for the irrigated croplands (either C3 or C4 crops) as defined using the irrigation map (Section 2.1.1) within the studied irrigated area in Nebraska (Fig. 1e).

The reference ISBA_ref LAI simulations were compared with those from ISBA_pheno and ISBA_pheno_irr experiments, and with the $0.01^{\circ} \times 0.01^{\circ}$ LAI satellite observations over areas in Nebraska where the vegetation is considered as C3 or C4 irrigated crops by ECOCLIMAP-SG.

In order to compare the time series simulations with observations, the correlation coefficient (R) and the root mean square difference (RMSD) scores were used. For water and carbon fluxes, they were calculated using daily values.

2.4 Data

2.4.1 Irrigation map

One of the main challenges of this study is to obtain an upgraded map of irrigation at the global scale, to be consistent with the resolution ($300 \text{ m} \times 300 \text{ m}$) of the European Space Agency - Climate Change Initiative (ESA-CCI) land cover map used in ECOCLIMAP-SG. The $1 \text{ km} \times 1 \text{ km}$ resolution global irrigation map proposed by Meier et al. (2018), based on a statistical approach and satellite data, is used. A reason to choose this product is that its development process is based (amongst other) on the ESA-CCI land cover product (v1.6.1), the same as the one used to develop the ECOCLIMAP-SG vegetation map (Supplement S1).

In order to transfer the Meier irrigation map ($1 \text{ km} \times 1 \text{ km}$) to ECOCLIMAP-SG ($300 \text{ m} \times 300 \text{ m}$), a spatial **resampling** of the Meier map is performed (<https://doi.org/10.5281/zenodo.6011618>). A simple majority rule is used by assigning to each $300 \text{ m} \times 300 \text{ m}$ grid point of ECOCLIMAP-SG the irrigation status (irrigated or rainfed) of the main corresponding grid-cell of the Meier $1 \text{ km} \times 1 \text{ km}$ map. An irrigation map at a spatial resolution of $300 \text{ m} \times 300 \text{ m}$ is obtained, with a single vegetation type attributed to each grid cell together with the irrigation status. The main limitation of this map is that there is no information on the type of irrigation. In this study, we consider that all irrigation is of “sprinkler” type as this is the most common irrigation type in the USA and in Nebraska (AQUASTAT

and FAO, 2019), where the testbed area of this study is located. This entails that irrigation water is added to the precipitation forcing over the irrigated agricultural parcels.

2.4.2 Atmospheric forcing

The simulations presented in this study are not coupled with the atmosphere. They are forced by a simulated atmospheric dataset of the European Centre for Medium-Range Weather Forecasts (ECMWF): the ERA-5 atmospheric reanalysis at $0.25^\circ \times 0.25^\circ$ (Hersbach et al., 2020). This global dataset was successfully used to force the ISBA LSM in previous studies (e.g. Albergel et al., 2019, Bonan et al., 2020). Beck et al. (2019) showed that the ERA-5 precipitation dataset is reasonably consistent with gauge-radar data over CONUS, except for mountainous areas. A subset of the ERA-5 forcing over Nebraska is used for the time period from 1979 to 2018. This period is chosen in order to encompass various validation datasets. The following atmospheric variables are used to force the ISBA LSM and are taken from ERA-5 at an hourly time step: air temperature, wind speed, air specific humidity, atmospheric pressure, shortwave and longwave downwelling radiation and precipitation (liquid and solid).

2.4.3 Validation datasets

Six observation datasets are used (Table 1) to evaluate the simulations over Nebraska: the water used for irrigation, satellite-derived Leaf Area Index (LAI), gross primary production (GPP), evapotranspiration, land surface temperature (LST), and precipitation.

Precipitation data from the Grand Island and Lincoln weather stations ($40.93^\circ\text{N} - 98.76^\circ\text{W}$, $40.83^\circ\text{N} - 96.76^\circ\text{W}$, “Gi” dot in Fig. 1e and “Li” dot in Fig. 1b, respectively) are used to evaluate the ERA5 precipitation forcing over Nebraska. The two weather stations are within 170 km of each other. While the Grand Island station is located within a densely irrigated area, the Lincoln station is located at the Lincoln airport, which is surrounded by rainfed agricultural fields.

The water use records are provided by the US Geological Survey (USGS) through the National Water Information System (available at <https://waterdata.usgs.gov/ne/nwis/wu>, last access February 2022). Every 5 years from 1985 onward, the annual raw amount of water collected for irrigation is

available by county together with conveyance loss and with the surface area of the irrigated vegetation. This allows us to compute the amount of water used for irrigation per unit surface area (in mm) over the specific studied zone in Nebraska (Fig. 1e). The USGS data we use cover the 1985-2019 time period. Because conveyance loss data are not available for 1995, this year is not taken into account. In order to assess the consistency of the simulated irrigation process with observations, the simulated number of yearly irrigation events on irrigated areas in Nebraska is compared with the USGS irrigation water amount estimates. Irrigation water amount is converted to a number of irrigation events using the model default irrigation water amount of 30 mm per irrigation event. Only values of the mean and standard deviation of the yearly irrigation number are compared. The comparison is made for the irrigated croplands (either C3 or C4 crops) as defined using the irrigation map (Section 2.4.1) within the studied irrigated area in Nebraska (Fig. 1e).

The simulated LAI is compared with a satellite-derived LAI product at $0.01^\circ \times 0.01^\circ$ spatial resolution derived from SPOT-VGT and PROBA-V satellite data (up to May 2014, and after May 2014, respectively) by the European Copernicus Global Land Service (CGLS). This LAI product is described in Baret et al. (2013). We use Version 2 of this product (GEOV2). It is available every 10 days for all simulation years. The LAI time series is available from 1999 onward. It does not cover the whole simulation time period (1979 to 2018).

The simulated GPP is compared to an upscaled estimate of GPP available at 0.5° from 1980 to 2013, from the FLUXCOM project (Jung et al., 2017). For the comparison, the FLUXCOM GPP data are interpolated to the model grid. Al-Yaari et al. (2021) showed that the FLUXCOM daily evapotranspiration product can be used as a benchmark over irrigated areas. Since evapotranspiration and GPP fluxes are closely connected to each other, it can be assumed that the FLUXCOM GPP product is also sensitive to irrigation. The FLUXCOM product is based on a global machine learning model that does not have to be locally trained. However, it seems that three flux stations in Nebraska were used in the training as their data are included in the La Thuile dataset used to build FLUXCOM (Tramontana et al. 2016). These stations are located at 45 km at the north-east of the Lincoln weather station, in a region where irrigation is present but not dominant.

480 The simulated evapotranspiration is compared to the GLEAM satellite-driven model estimates
of land evapotranspiration available from 2003 to 2018 (version v3.2b, Martens et al., 2017). The
GLEAM data come at the same $0.25^\circ \times 0.25^\circ$ model's grid.

485 The simulated LST at 12h00 (local solar time) is compared to the LST derived from
geostationary meteorological satellites by CGLS at 12h00 (local solar time). This product has a spatial
resolution of $0.05^\circ \times 0.05^\circ$ and is available from 2009 to 2018 (Freitas et al., 2013). It must be noticed
that in the version of the model used in this study, a single composite soil-vegetation energy budget is
used and the thermal effect of crop residues is not represented. This means that over croplands, the
simulated LST can differ from the vegetation temperature as seen from space.

490 In addition to the validation datasets, corn LAI observations at the field scale for various
agricultural management conditions are available in Boedhram et al. (2001).

3 Results

495 The results presented below are focused on the impacts of the crop phenology and irrigation
implementation on the simulated land surface variables over Nebraska. ~~The comparison between the
model without irrigation (ISBA_ref experiment) before and after the changes in the code structure
(section 2.3) did not permit the detection of any impact on the model outputs (not shown). The results
presented below are thus focused on the impacts of the crop phenology and irrigation implementation
on the simulated land surface variables over Nebraska.~~ In addition to these results, illustrations of the
response to irrigation of simulated key land surface variables (SWI, LAI, GPP, evapotranspiration,
500 LST) are shown over southwestern France and over the Hampton area in Nebraska in Supplements S2
and S3, respectively. In the case of Hampton, it can be noticed that the simulated irrigation mainly
occurs in July and August (Fig. S3.1).

3.1 Irrigation: water use

In Fig. 34, the mean yearly number of irrigation events for C3 and C4 crops for the ISBA_pheno_irr experiment is compared to the values derived from the observations from the USGS. The simulated irrigation numbers present a large interannual variability, with a minimum of 2 in 1993 and a maximum close to 13 in 2002. It must be noticed that 1993 was one of the wettest year recorded at the Lincoln weather station (<https://lincolnweather.unl.edu/records/annual.asp>, last access ~~August 2021~~February 2022)~~-and that year 2012 was characterized by a prolonged drought~~. The mean simulated value of the yearly irrigation water amount used for irrigation (271 ± 75 mm year⁻¹) is almost identical to the observed one (264 ± 65 mm year⁻¹), with a difference of only +2.7%. This difference is small, although the model does not take into account the availability of the water resource yet.

3.2 Irrigation: plant growth

Figure 45 illustrates the mean seasonal and interannual variability of LAI in the most densely irrigated part of Nebraska for areas with a fraction of irrigated crops larger than 50 % in Fig. 1e, from 1999 to 2018. Table 4 presents the peak LAI characteristics. While the satellite LAI observations present a peak at the end of July, the modelled LAI is plateauing in August (Fig. 5). The data from Boedhram et al. (2001) show that the modelled LAI plateau in August at LAI values of about $3.5 \text{ m}^2 \text{ m}^{-2}$ is realistic. In all ISBA LAI simulations, the start of the growing season corresponds to a gradual increase in LAI from the initial value of $\text{LAI} = 0.30 \text{ m}^2 \text{ m}^{-2}$ imposed to the model in winter. The observed LAI presents a smaller minimum LAI value of $0.15 \text{ m}^2 \text{ m}^{-2}$, starts increasing in April and a value of $0.30 \text{ m}^2 \text{ m}^{-2}$ is reached at the end of April. Then, plant growth continues at about the same low rate till the end of May. The LAI growth rate increases in June and LAI reaches a mean peak value of $4.9 (\pm 0.8) \text{ m}^2 \text{ m}^{-2}$ is observed on 31 July (Table 4). The observed LAI then sharply decreases to reach its minimum value at about the end of September.

The ISBA_ref LAI simulations do not mirror the observed late growing season and rapid senescence. The ISBA_ref vs. observations comparison shows that without irrigation the simulated LAI generally starts increasing in March. On average, a peak LAI value of $3.6 (\pm 0.2) \text{ m}^2 \text{ m}^{-2}$ is simulated by

ISBA_ref on 2 July, before slowly decreasing until the end of December. The ISBA_ref growing season
530 is much longer than observed. It starts two months before the observations and stops three months after
the observations. The simulated LAI peaks one month before the observations. The simulated yearly
LAI amplitude is 28 % smaller than observed.

The ISBA_pheno LAI simulation is much more consistent with the LAI observations. The
growing season starts in mid-May and the senescence ends at the end of September. However, the
535 simulated peak LAI is still 30 % smaller than observed ($\text{LAI} = 3.5 (\pm 0.2) \text{ m}^2 \text{ m}^{-2}$). The peak LAI is
reached on 26 August, much later than the ISBA_ref peak LAI, and about one month after the observed
peak. The sharp decrease of LAI in September results from harvests at random dates in September.
Adding irrigation (ISBA_pheno_irr) does not change the general pattern of the LAI curve, but increases
the LAI amplitude, with a mean peak LAI value of $3.7 (\pm 0.1) \text{ m}^2 \text{ m}^{-2}$ on 28 August, larger (+8%) than
540 for ISBA_pheno but still below the observation (-24%).

The interannual variability of simulated and observed LAI values is illustrated in Fig. [4b5b](#),
from 2002 to 2008. The ISBA_ref LAI presents a systematic drop in summer, which is not present in
the observations nor simulated by the ISBA_pheno and ISBA_pheno_irr experiments. Without the
regular seasonality imposed by crop phenology parameters, the model may simulate a re-growth of
545 vegetation in autumn (e.g. in 2003), that is not present in the observations. The ISBA_pheno and
ISBA_pheno_irr simulations are more consistent with the observed seasonality.

3.3 Impact of crop phenology and irrigation on LAI at a regional scale

This section is focused on the impact of irrigation practices for the south Nebraska zone (as defined in
Fig. 1e), and all nature types are considered for the comparison with observations at a spatial resolution
550 of $0.25^\circ \times 0.25^\circ$.

Figure [5a-6a](#) shows the seasonal mean LAI variations from 1999 to 2018. This Figure is similar
to Fig. [4a-5a](#) but all nature types are considered. Peak LAI characteristics are given in Table 4. They
differ from the crop LAI peaks. While, the observed LAI peaks at $3.8 (\pm 1.5) \text{ m}^2 \text{ m}^{-2}$ on 31 July for
ISBA_ref, LAI peaks at $3.3 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$ on 1 July for ISBA_ref, $3.1 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$ on 16 July for
555 ISBA_pheno, and $3.1 (\pm 0.3) \text{ m}^2 \text{ m}^{-2}$ on 16 July for ISBA_pheno_irr. Compared to crop simulations, the

experiments with crop phenology (ISBA_pheno and ISBA_pheno_irr) present earlier peak LAI dates, due to the impact of rainfed vegetation. However, the irrigated crop signature is visible in the second peak of the annual LAI cycle simulated by ISBA_pheno and ISBA_pheno_irr experiments at the end of August. More often than not (83 % and 88 % of the grid cells for R and RMSD, respectively) the LAI score differences between ISBA_pheno_irr and ISBA_ref shown in Fig. 5–6 correspond to an improvement of the LAI simulation with the representation of irrigation. Positive value for correlation (Fig. 6b) means that the result of ISBA_pheno_irr is better, and for RMSD (Fig. 6c) negative value means that result of ISBA_pheno_irr is better. A month by month analysis of the scores (Fig. 67) shows a marked improvement of R values in June, July and September. The R value can frequently be increased by 30%. Lower RMSD values are observed from April to November, more frequently in May and in October. In April, October and November, the main cause of the reduction in RMSD values is the imposed minimum value of $0.3 \text{ m}^2 \text{ m}^{-2}$ before the emergence (in May) and the harvest (in September).

3.4 Impact on the GPP flux

As the vegetation productivity is linked to LAI, the seasonality pattern of GPP (Fig. 78) is comparable to the one of LAI (Fig. 56) but the observed GPP peak ($9.2 \pm 2.1 \text{ g[C].m}^{-2}.\text{day}^{-1}$) occurs on mid-July while the observed LAI peaks on 31 July. During the plant growth ~~thing~~ period, the smallest differences between all the simulations and the observations occur at about the same time as the observed GPP peak. For all simulations, a GPP plateau at a value of $9.0 \pm 1.8 \text{ g[C] m}^{-2} \text{ day}^{-1}$ is reached at the beginning of July and lasts until mid-July. Finally, the simulated GPP decreases in September with a delay of about two weeks with respect to the observations.

Before July, the ISBA_ref photosynthetic activity is well in advance as compared to the observations, of about 20 days in May. This is consistent with the very large LAI values simulated by ISBA_ref in May: about $2 \text{ m}^2 \text{ m}^{-2}$, while the mean LAI observation hardly exceeds $0.5 \text{ m}^2 \text{ m}^{-2}$. The simulated GPP maximum ($9.7 \pm 2.0 \text{ g[C] m}^{-2} \text{ day}^{-1}$) is reached before the end of June. After a sharp decrease at the end of June, the ISBA_ref GPP decreases at a slower rate than the observations. From mid-September to the end of October, the simulated GPP is much larger than the observed GPP.

The ISBA_ref flaws are much less pronounced in ISBA_pheno and ISBA_pheno_irr experiments. In the latter simulations, the increase of the GPP occurs at about the same time as in the observations. The GPP values are systematically larger with irrigation in July and August than for other simulations. As for LAI, the GPP *R* and RMSD scores (Fig. 7b-8b and 7e8c, respectively) are better for ISBA_pheno_irr than for ISBA_ref, with an improvement on 87 % and 81 % over the domain, respectively.

3.5 Impact on evapotranspiration

Investigating evapotranspiration is a way to assess the impact of irrigation on the hydrological system. Figure 8-9 shows evapotranspiration for the ISBA simulations and for GLEAM. This Figure is similar to Figs. 65 and 87 but a shorter time period is considered, from 2003 to 2018. Before the irrigation period, the observed evapotranspiration steadily increases from February to July. After the irrigation period, evapotranspiration decreases until November. It can be observed that the short term variability of the GLEAM evapotranspiration is represented well by the simulations. On the other hand, all ISBA simulations produce much larger evapotranspiration values than GLEAM during the growing period from April to June. For example, all ISBA simulations can reach 5 mm day⁻¹ while GLEAM does not exceed 3.5 mm day⁻¹. Over this time period, ISBA_ref overestimates evapotranspiration with respect to GLEAM by 0.98±0.42 mm day⁻¹ (38±16 %) on average.

On the contrary, from mid-July to mid-August, all ISBA simulations tend to underestimate evapotranspiration with respect to GLEAM, by up to 1.3 mm day⁻¹ for ISBA_ref. Accounting for crop phenology and irrigation into the model has a substantial impact on this variable and reduces the bias. Over the whole irrigation period, the mean bias goes from -0.4 ± 0.4 mm day⁻¹ (-13 ± 12 %) for ISBA_ref to -0.2 ± 0.3 mm day⁻¹ (-7 ± 11 %) and -0.1 ± 0.3 mm day⁻¹ (-2 ± 11 %) for ISBA_pheno and ISBA_pheno_irr, respectively. Evapotranspiration is overestimated again after the harvest, from mid-September to November by 0.38±0.18 mm day⁻¹ (42±20 % compared to the observations).

The newly implemented processes have a small but positive impact on the bias before and after the irrigation period. During the growing season, from April to June, the overestimation decreases from 38 % in ISBA_ref to 33 % and 34 % for ISBA_pheno and ISBA_pheno_irr, respectively. From mid-

610 September to November, the overestimation decreases from 42 % to 35 % and 36 %, respectively. The
| *R* and RMSD differences between ISBA_ref and ISBA_pheno_irr (Fig. 89) also show a global
improvement with 83 % and 79 % of the grid cells being improved. However, the effect on the *R* score
| is small (less than 0.1) and heterogeneous in time and space. Figure 9-10 shows that the *R* score is
mainly improved in August and in September, before the harvest. The improvement of RMSD is more
615 stable, and can be observed from May to October, the impact being more pronounced in July and
August.

3.6 Impact on LST

| In order to evaluate the impact of irrigation on the land surface energy budget, Figure 10-11 shows land
surface temperature at 12h00 local time simulated by the three model configurations and derived from
620 the CGLS product. Overall, ISBA tends to overestimate LST at noon, especially in April-May, up to 7
°C in Fig. 10-11a. The bias is reduced during the summer.

| Due to the difficulty of observing the differences between the simulations, Figure 10-11b
presents differences of ISBA_pheno and ISBA_pheno_irr versus ISBA_ref. With crop phenology (with
or without irrigation) the simulated LST is globally higher from April to June and from mid-September
625 to November. The maximum difference with respect to ISBA_ref is $+0.7 \pm 0.3$ °C. It is observed for
ISBA_pheno in September. During the summer (July and August) the new model versions tend to
present lower LST values, with temperature differences close to -0.2 ± 0.1 °C in ISBA_pheno_irr.
Moreover, from May to mid-September the temperature in ISBA_pheno_irr is lower than in
ISBA_pheno, and this difference can reach locally -0.9°C in summer. Figure 11-12 presents the monthly
630 *R* and RMSD scores of ISBA_ref with respect to CGLS LST observations and the ISBA_pheno_irr
score difference is shown. It shows that there is a seasonal dependence of these statistical values, with
slightly better *R* and RMSD scores observed for ISBA_pheno_irr in July and August during the
irrigation period. However, the representation of irrigation tends to degrade RMSD before (April, May)
and after (October, November) the irrigation period.

635 4 Discussion and perspectives

The results presented in Section 3 show that the new version of ISBA is able to produce a realistic yearly irrigation water amount (Fig. [34](#)). It also markedly improves the LAI and GPP simulations (Figs. [45-5-6](#) and Fig. [78](#), respectively). On the other hand, the new ISBA version developed in this study has a limited impact on the evapotranspiration and on the LST simulations and is not able to significantly
640 reduce the strong model biases that are observed for these variables before and after the irrigation time period (Figs. [89-1011](#)).

4.1 Could the new crop and irrigation scheme be further improved?

The results of our numerical experiments over Nebraska show that considering crop phenology and irrigation improves the consistency of the simulations with LAI and GPP observations. The
645 corresponding correlation and RMSD scores are improved. Two new developments can explain this behaviour: (1) the crop phenology parameters used to force emergence and harvest dates reduce the length of the growing season, delay spring growth and avoid a regrowth in the autumn, and (2) the irrigation limits the water stress and enhances plant growth at summertime. Nevertheless they both have shortcomings and their performance could be limited by difficulties in simulating processes that are not
650 directly related to irrigation.

Firstly, the same emergence and harvest dates are imposed for all years, while in reality crop phenology may present an inter-annual variability related to climate conditions. This is particularly the case for Nebraska because the start of the growing season depends on the snow melt and soil thawing dates. These processes are represented in ISBA and crop phenology parameters could be related to snow
655 melting and soil thawing, but this would require extensive developments to be implemented at a global scale. Moreover, the representation of the cold season processes is not perfect in ISBA (Decharme et al. 2019) and this could explain biases in soil temperature and LST simulations before and after the irrigation time period. Figure [10-11](#) shows that LST values below the freezing level can be observed in April and that their model counterparts are about 7 °C warmer. The earlier thawing in model
660 simulations is reflected in the much earlier leaf onset in LAI simulations. Figure [5-6](#) shows that while

the observed LAI does not exceed $0.5 \text{ m}^2 \text{ m}^{-2}$ at the end of April, the ISBA_ref LAI reaches the same value about one month earlier. The unrealistically early leaf onset is consistent with the warm model bias at the end of the cold season. This shows that improving the representation of the cold season by assimilating satellite-derived or in situ snow cover fraction observations could improve the simulation of the crop growing period in this area. However, the currently used empirical approach to establish the crop season provides robust results over the irrigated grid cells (Fig. 45).

Secondly, the irrigation itself is based on fixed parameter values such as the minimum period between two consecutive irrigations (one week) and SWI levels triggering irrigation turns. The simulations over the Hampton grid cell show that the first irrigation can start at quite low levels of the SWI (Fig. S3.1), even below the second irrigation threshold of 0.55 defined in Section 2.3.1. Suppressing the one week constraint of irrigation turns improves the simulation of the peak LAI, which otherwise is rather poorly simulated (Fig. 45). However, this change triggers unrealistic large irrigation water amounts (not shown). A lack of irrigation water amount cannot explain the excessive soil water deficit. One could also challenge the quality of the ERA5 precipitation. Figure S4.1 and Fig. S4.2 show that ERA5 precipitation compares well with in situ observations and that the seasonal and inter-annual variability is fairly represented. A more plausible explanation could be that the initial soil water storage value between the end of the cold season and the first irrigation turn is withdrawn too quickly from the soil by the model. This explanation would be consistent with the marked overestimation of evapotranspiration in spring, from April to June (Fig. 89), before the irrigation time period.

A possible limitation of using a global low-resolution reanalysis such as ERA5 is that changes to the local climatic conditions caused by irrigation may not be represented. The ERA5 screen-level 2 m air temperature and relative humidity are analyzed together with soil moisture by assimilating in situ observations from ground weather stations (Hersbach et al. 2020). In large irrigated areas where weather stations are present, the assimilation should be able to represent the irrigation effect on these variables, even at coarse spatial resolution. A large-scale experiment involving ground and airborne measurements was recently performed in northeastern Spain to assess the impact of irrigation on atmospheric model simulations (Boone et al. 2021).

In order to investigate the evapotranspiration bias in spring, the evaporation components were plotted in Fig. S3.3 and Fig. S3.4 for the Hampton irrigated area in 2018. Figure S3.3 shows that total evapotranspiration of ISBA_ref and ISBA_pheno_irr are quite similar. This is consistent with the small impact of crop phenology on total evapotranspiration showed in Fig. 89. On the other hand, soil evaporation and plant transpiration differ. In the ISBA_pheno_irr simulation, transpiration is reduced in spring by 30 % to more than 100 %, in comparison with ISBA_ref. The lower transpiration is ~~compensated_offset~~ by larger soil evaporation values. As a result, total evapotranspiration does not change much and the bias is not reduced in ISBA_pheno_irr. Also, Fig. S3.4 shows that the new crop and irrigation module does not affect interception much. Therefore, the ISBA_pheno_irr evapotranspiration bias in spring could be caused by the large soil evaporation. The evaporation component could be overestimated because (1) the soil is too warm in relation to a poor representation of thawing or because (2) crop residues at the soil surface are not represented. Wortmann et al. (2012) showed that in this area, not harvesting crop residues tends to reduce soil evaporation and increase crop yield, limit water runoff, soil erosion, and contributes to maintaining soil fertility. The ISBA model includes a representation of litter in forests (Napoly et al. 2017) that will be generalized to low vegetation in the next version of SURFEX. Using this new capability could improve our simulations.

4.2 Is the irrigation scheme flexible enough?

In this study, sprinkling irrigation ~~was~~is considered. The model is able to represent other irrigation systems such as flooding irrigation but more developments are needed to limit the runoff to the irrigated plot and this options needs to be validated. The newly implemented irrigation processes, along with the new ECOCLIMAP-SG vegetation description let users choose which nature type should be irrigated. Irrigation can be represented at various spatial scales, ranging from the field scale for agricultural studies to the global scale for climate studies. Model parameters can be specified using new datasets or local characteristics. For example, in this article we used a unique date for starting and ending the crop growing season with a random variability, but more accurate dates could be prescribed (varying spatially and from one vegetation type to another, or using crop calendars). Moreover, the better spatial

resolution of ECOCLIMAP-SG allows the use of high resolution atmospheric forcing. This provides
715 new opportunities for assessing the impact of irrigation on local climate and water resource conditions.

This study is mainly focused on a zone in the south of Nebraska where the irrigation density is relatively high (Fig 3), and results could differ in other regions. Except for the fixed emergence and harvesting dates corresponding to regional crop phenology (from USDA and NASS, 2010), default values are used for all the other parameters (Section 2.4). Tests performed in southwestern France
720 (Supplement S2) allowed ensuring that the model is able to work in contrasting climate conditions.

In this study, the ISBA simulations were not coupled to the atmosphere, nor to the CTRIP river routing system. Such coupled numerical experiments could be performed thanks to the SURFEX modelling platform. However, more developments are needed in order to ensure water conservation in the hydrological system. In particular, irrigation water amounts should be consistent with the available
725 water resource in rivers, groundwater, and dams.

5 Conclusions

A new uncalibrated irrigation scheme was implemented within the ISBA land surface model in order to improve the representation of vegetation over agricultural areas. A case study over an irrigated area in the state of Nebraska (USA) was performed to validate the new scheme. Simple crop phenology rules
730 represent emergence and harvesting and improve the seasonality of plant growth, while the additional water supply from the irrigation mostly impacts the peak LAI value. The model is able to produce a realistic yearly irrigation water amount and markedly improves the LAI and GPP. It is shown that model performance can be limited by processes not directly related to irrigation, such as thawing or crop residues. The irrigation scheme has many possible configurations and the code is highly flexible.
735 With this capability, ancillary data on farming practices such as emergence and harvest dates, or the amount of water per irrigation event, could be used.

Code availability

740 The ISBA land surface model is available as open source via the SURFEX modelling platform,
available at <https://www.umr-cnrm.fr/surfex/spip.php?article387>. It is under a CECILL-C License
(French equivalent to the L-GPL licence). The version developed and use for the experiment in this
study is available ~~in the git hub: https://github.com/ArseneD/OPEN_SURFEX_V81_IRR~~on:
<https://doi.org/10.5281/zenodo.5718063>. It based on the SURFEX version 8.1 (ref f70f6457). For future
use, it is strongly recommended to use the newest version of ISBA, from the version 9.0 (scheduled for
745 release in 2022~~0~~) from which the irrigation developed will be included by default. Initialization files are
available on: <https://doi.org/10.5281/zenodo.6011618>.

Author contribution

Arsène Druel and Clément Albergel designed the experiments. Arsène Druel carried out the
implementation of the irrigation scheme and performed the simulations. Arsène Druel wrote the
750 manuscript. All co-authors participated to the analysis of the results and to the revision of the
manuscript.

Competing interests

The authors declare that they have no conflicts of interest.

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Table 1 – Evaluation datasets.

Observations	Source	Reference	Spatial resolution	Time period	Sampling time
Water used for irrigation	USGS	https://waterdata.usgs.gov/ne/nwis/wu	County	1985-2015	5 years
LAI	CGLS	Baret et al., 2013	0.01°	1999-2018	10 days
GPP	FLUXCOM	Jung et al., 2017	0.25°	1980-2013	1 day
Evapotranspiration	GLEAM	Martens et al., 2017	0.25°	2003-2018	1 day
Land surface temperature at 12h	CGLS	Freitas et al., 2013	0.05°	2009-2018	1 day
In situ precipitation	University of Nebraska-Lincoln	http://climod.unl.edu/	local	2009-2012	Monthly

1025 **Table 2 – Irrigation parameters.**

Symbol	Definition	Default value (this study)
I_T	Irrigation type	sprinkler
I_{NT}	Irrigated nature type	C3 crops, C4 crops, shrubs
I_W	Water amount per irrigation water turn	30 mm
I_D	Irrigation water turn duration	8 hours
SWI_1	SWI threshold for triggering the first water turn	0.70
SWI_2	SWI threshold for triggering the second water turn	0.55
SWI_3	SWI threshold for triggering the third water turn	0.40
SWI_{4+i}	SWI threshold for triggering the following water turns (i, integer > 0)	0.25
Δt_{Wn}	Minimum time lapse between two water turns (<u>irrigation interval</u>)	7 days <u>(0 days for drip irrigation)</u>
Δt_{WH}	Minimum time lapse between the last water turn and the harvest	15 days
t_E	Emergence date	15 May (\pm 15 days)
t_H	Harvest date	15 September (\pm 15 days)

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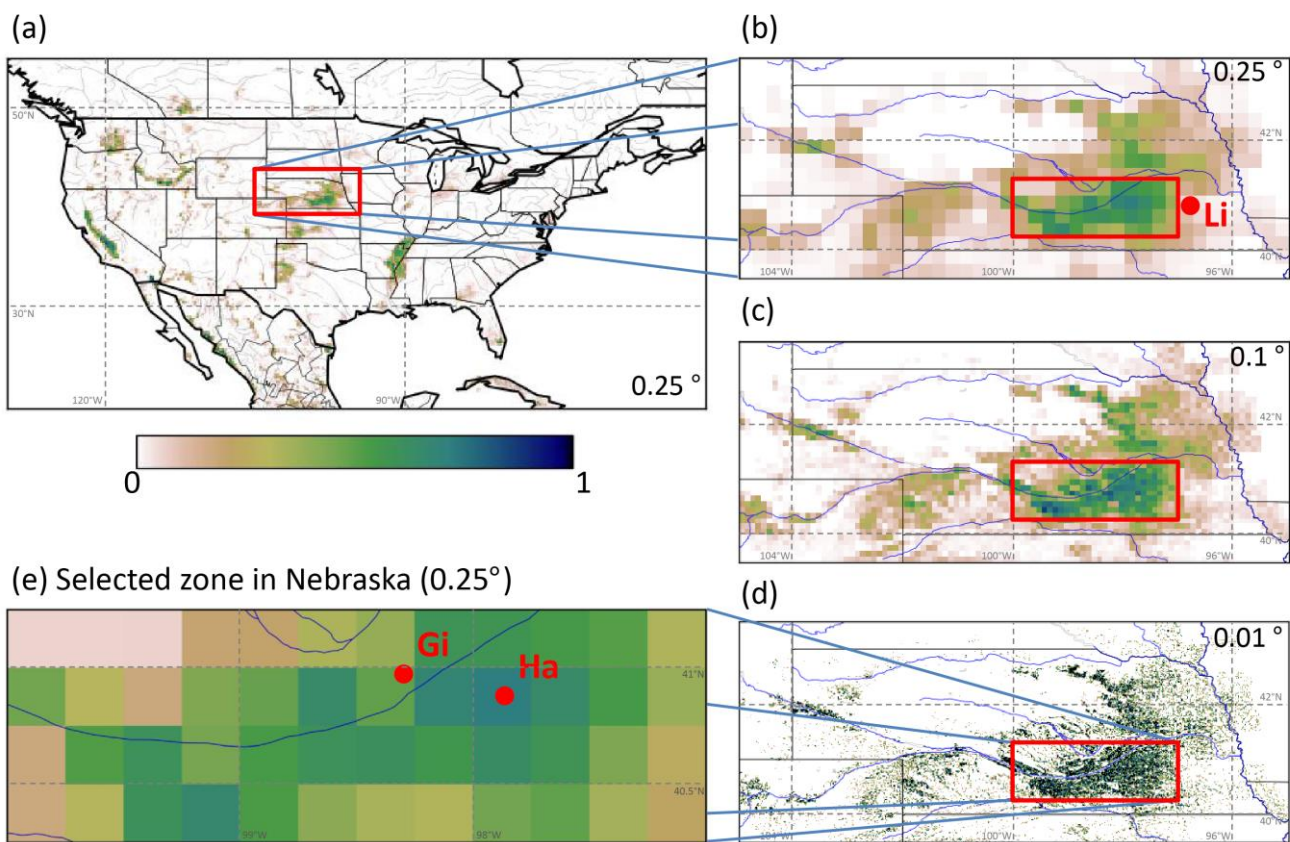
Table 3 – Main set up of the three 40-year evaluation experiments forced by ERA-5 atmospheric variables over Nebraska. Crop phenology is defined by emergence and harvest dates, while irrigation corresponds to additional water supply.

Experiment	Crop phenology	Irrigation	Forcing	Spinup time	Simulation time period
ISBA_ref	no	no	ERA-5 $0.25^{\circ} \times 0.25^{\circ}$	20 years	1979-2018
ISBA_pheno	YES	no			
ISBA_pheno_irr	YES	YES			

1035 **Table 4 – Observed and simulated mean LAI peak characteristics over Nebraska for the 1999-2018 time period for crops (see Fig. 45) and all vegetation types (see Fig. 56).**

Vegetation types	LAI source	Peak LAI (m ² m ⁻²)	Peak LAI date
Crops	Satellite observations	4.9 (±0.8)	31 July
	Boedhram et al. 2001 (*)	3.6 to 4.0	12 July to 19 August 1994
	Boedhram et al. 2001 (*)	3.5	2 August to 23 August 1995
	ISBA_ref	3.6 (±0.2)	2 July
	ISBA_pheno	3.5 (±0.2)	26 August
	ISBA_pheno_irr	3.7 (±0.1)	28 August
All	Satellite observations	3.8 (±1.5)	31 July
	ISBA_ref	3.3 (±0.3)	1 July
	ISBA_pheno	3.1 (±0.3)	16 July
	ISBA_pheno_irr	3.1 (±0.3)	16 July

[\(*\) Boedhram et al. \(2001\) data are for fertilized irrigated corn in 1994 and 1995](#)



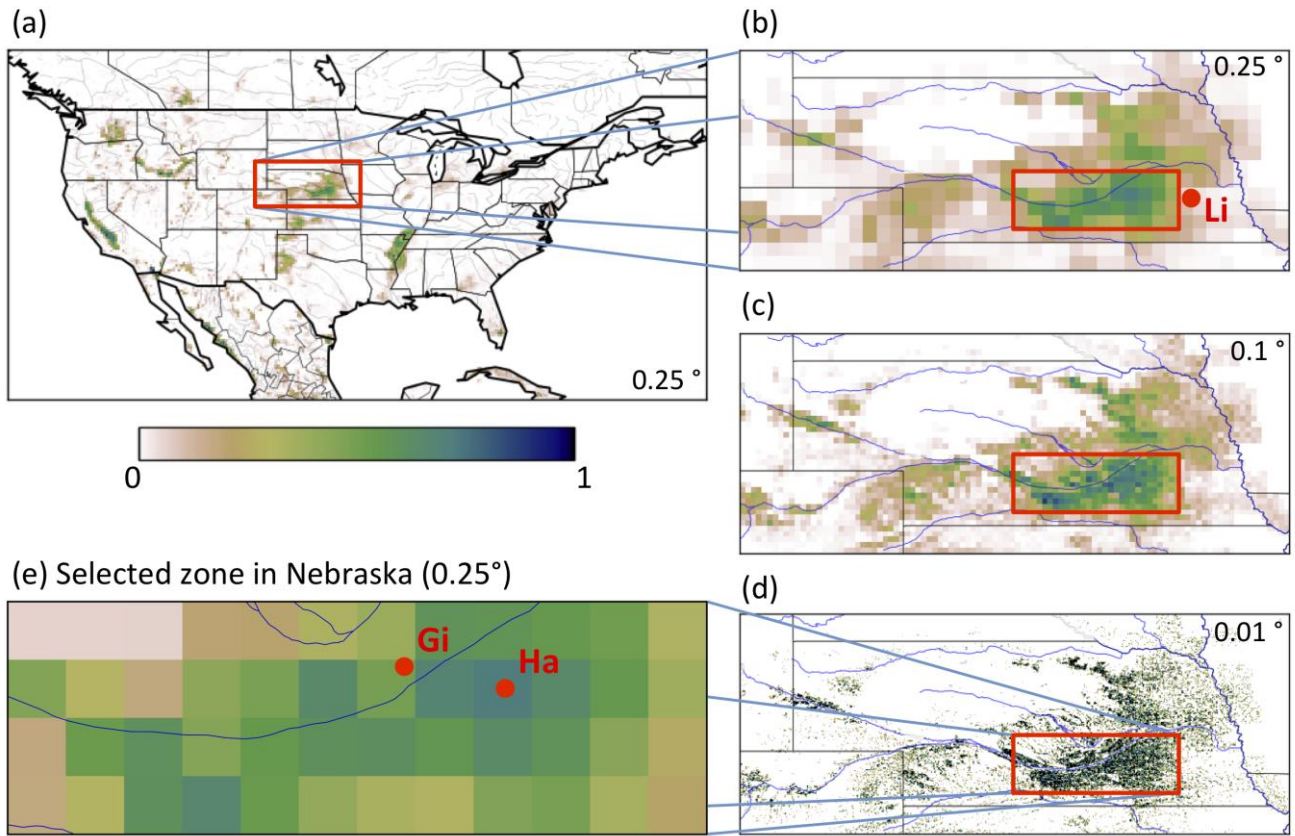
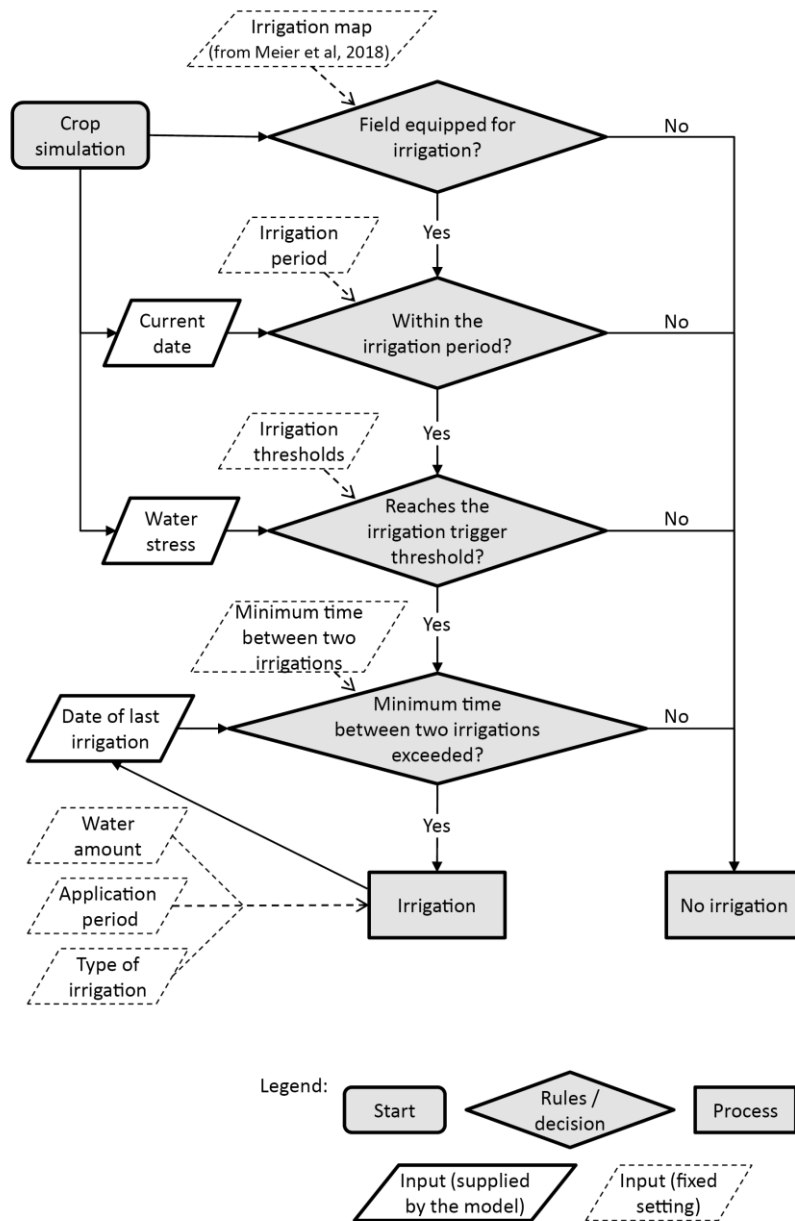
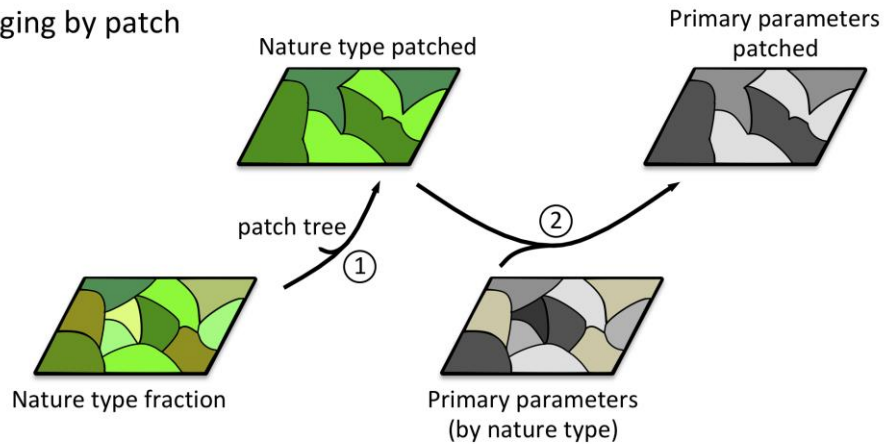


Figure 1 – Irrigation fractional coverage derived from Meier et al. (2018) over (a) the Continental United State (CONUS), (b, c, d, e) Nebraska: at (b) $0.25^{\circ} \times 0.25^{\circ}$, (c) $0.1^{\circ} \times 0.1^{\circ}$, (d) $0.01^{\circ} \times 0.01^{\circ}$ spatial resolutions, and (e) over the selected zone in southern Nebraska considered in this study. The red boxes show the location of the different zooms. The “Li”, “Gi” and “Ha” red dots correspond to the Lincoln weather station, Grand Island weather station, and Hampton irrigated area, respectively.



1055 **Figure 2 – Irrigation decision tree model.**

(a) Standard nature type merging by patch



(b) Nature type distributed with irrigation and merging by patch

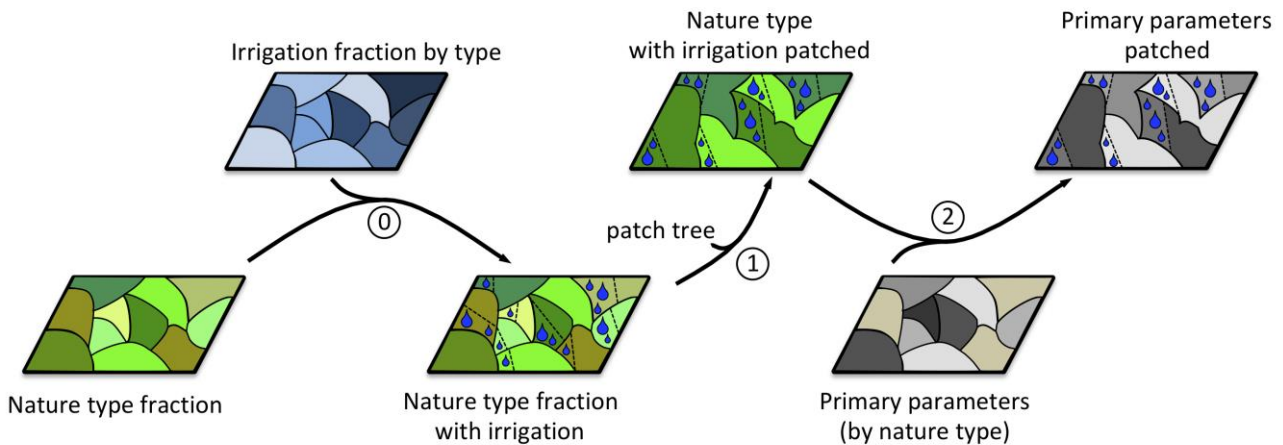
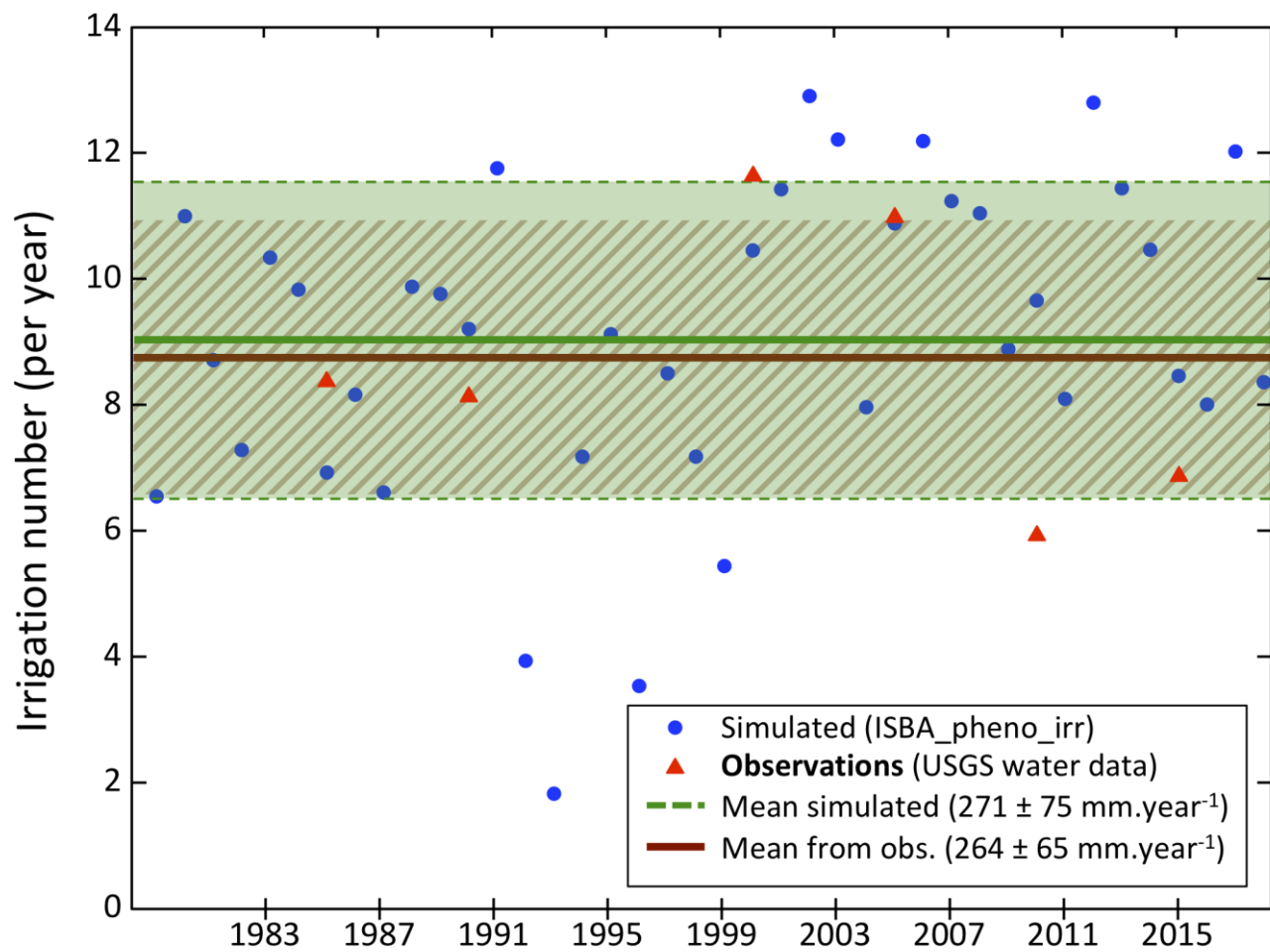


Figure 2-3– Diagram of the processing steps to obtain the ISBA model primary parameters from the nature types: (a) with the original method and (b) with the new method developed for irrigation. The different steps consist of: (0) cross-referencing the maps of vegetation cover (nature types) and irrigation fractional coverage (addition of irrigated nature type classes where irrigation is possible), (1) merging nature type classes following path aggregation rules (see Fig. S1.1), and (2) computing primary parameter values following the patch fraction map.



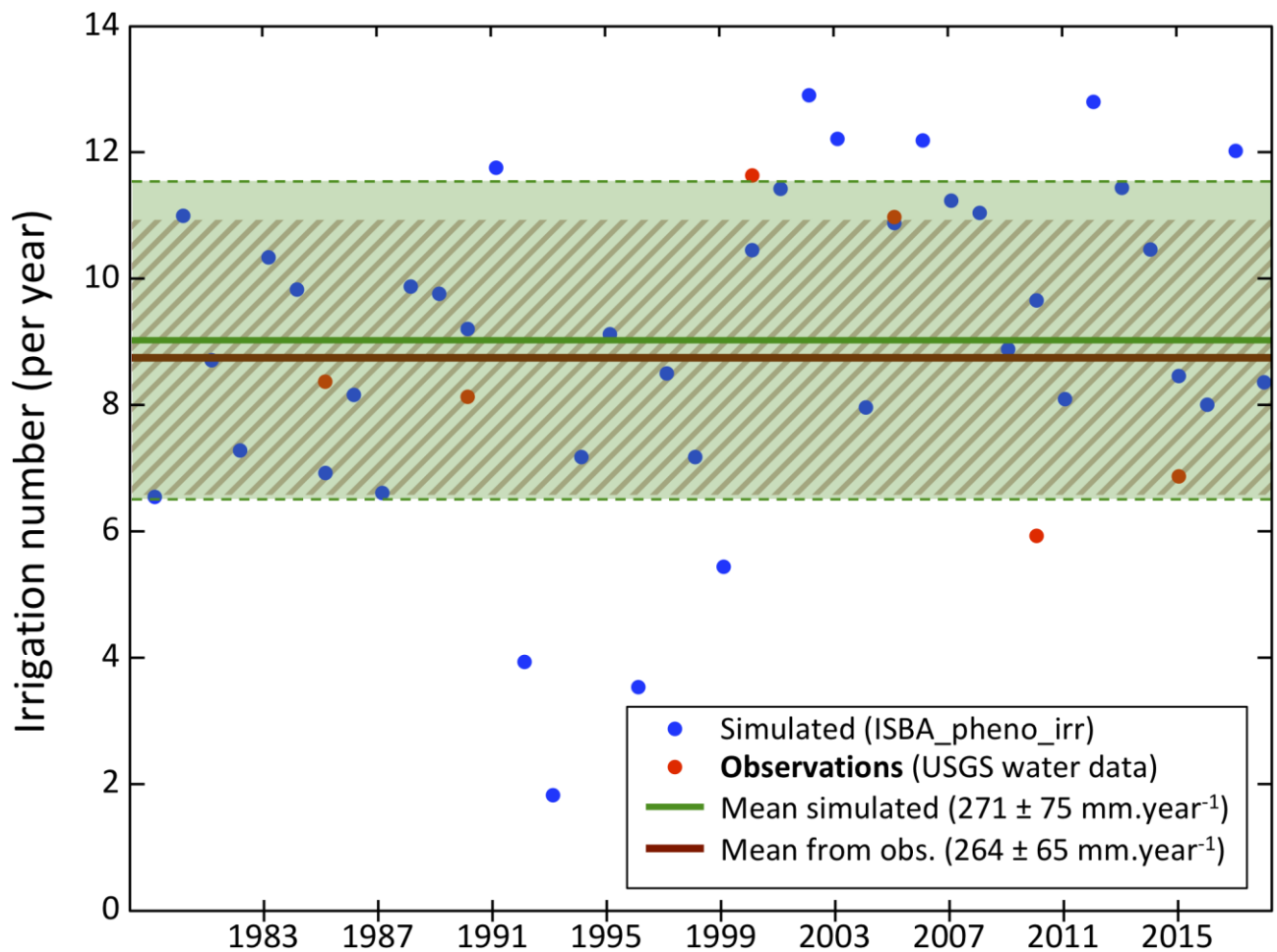


Figure 3-4– Yearly cumulated number of irrigation events simulated by the model for the studied area in Nebraska from 1979 to 2018 (blue line). The mean and standard deviation of the yearly values are shown for the model (green solid and dashed lines, respectively), and for the USGS water data from 1985 to 2019 (brown lines).

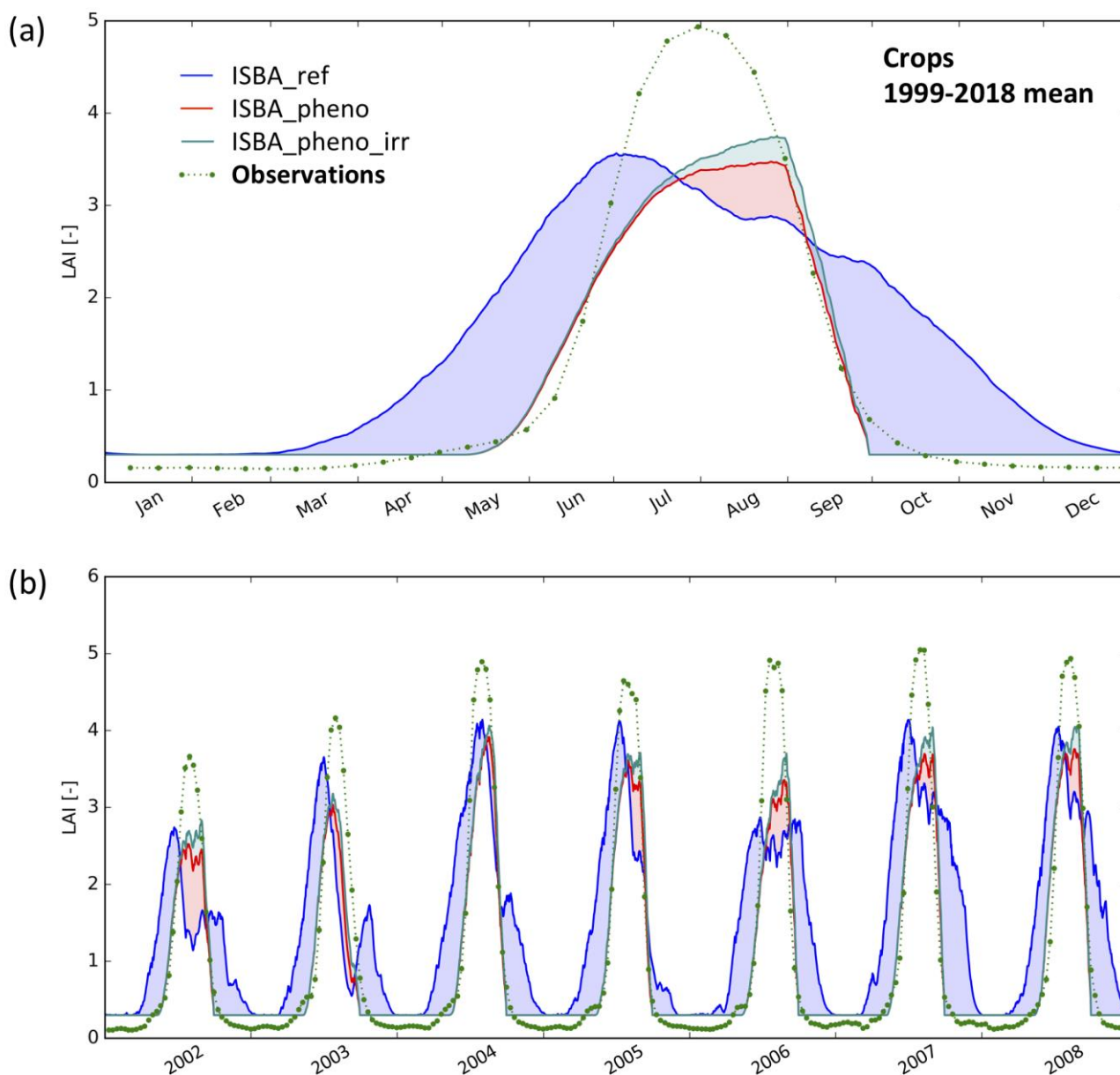


Figure 4-5 – LAI ($\text{m}^2 \text{m}^{-2}$) of irrigated crops (C3 or C4) in the most densely irrigated part of Nebraska (Fig. 1e): (a) seasonal variation for the time period from 1999 to 2018, (b) daily time series from 2002 to 2008. Simulated LAI is shown for the irrigated fraction, from the reference simulation (ISBA_ref, blue line), and from the simulations with only agricultural practices and with agricultural practices and irrigation (ISBA_pheno, red line, and ISBA_pheno_irr, cyan line, respectively). Satellite-derived LAI observations (green dots) are for areas where the fraction of C3 or C4 irrigated crops is larger than 50 %.

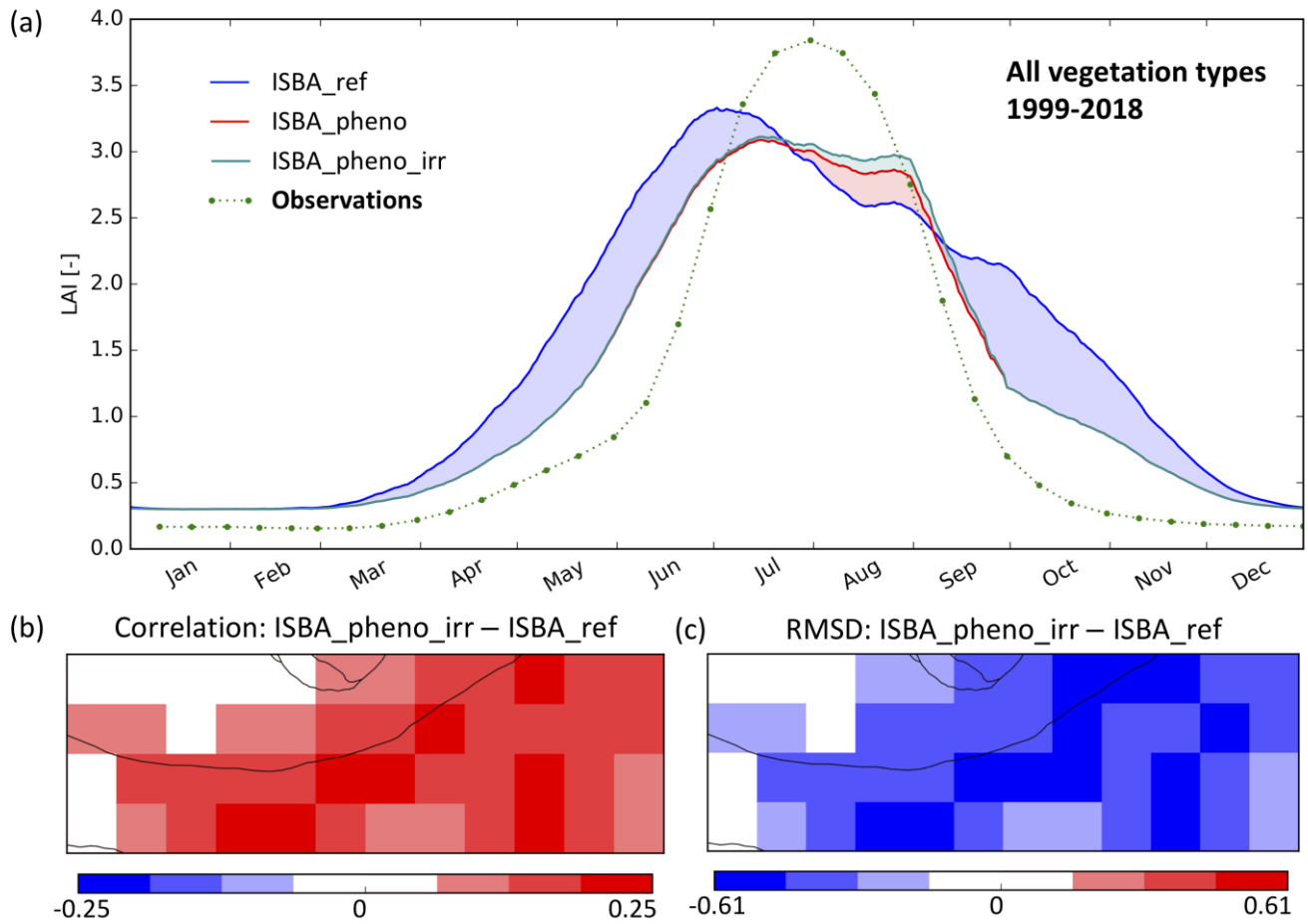


Figure 5-6 – Simulated vs. observed LAI (m^2m^{-2}) of all vegetation types, in the most densely irrigated part of Nebraska (Fig. 1e) from 1999 to 2018: (a) seasonal variation of mean LAI of ISBA_ref (blue line), ISBA_pheno (red line), ISBA_pheno_irr (cyan) simulations and of satellite-derived observations (green dots), (b) temporal correlation and (c) RMSD score difference maps showing the added value of the ISBA_pheno_irr with respect to ISBA-ref.

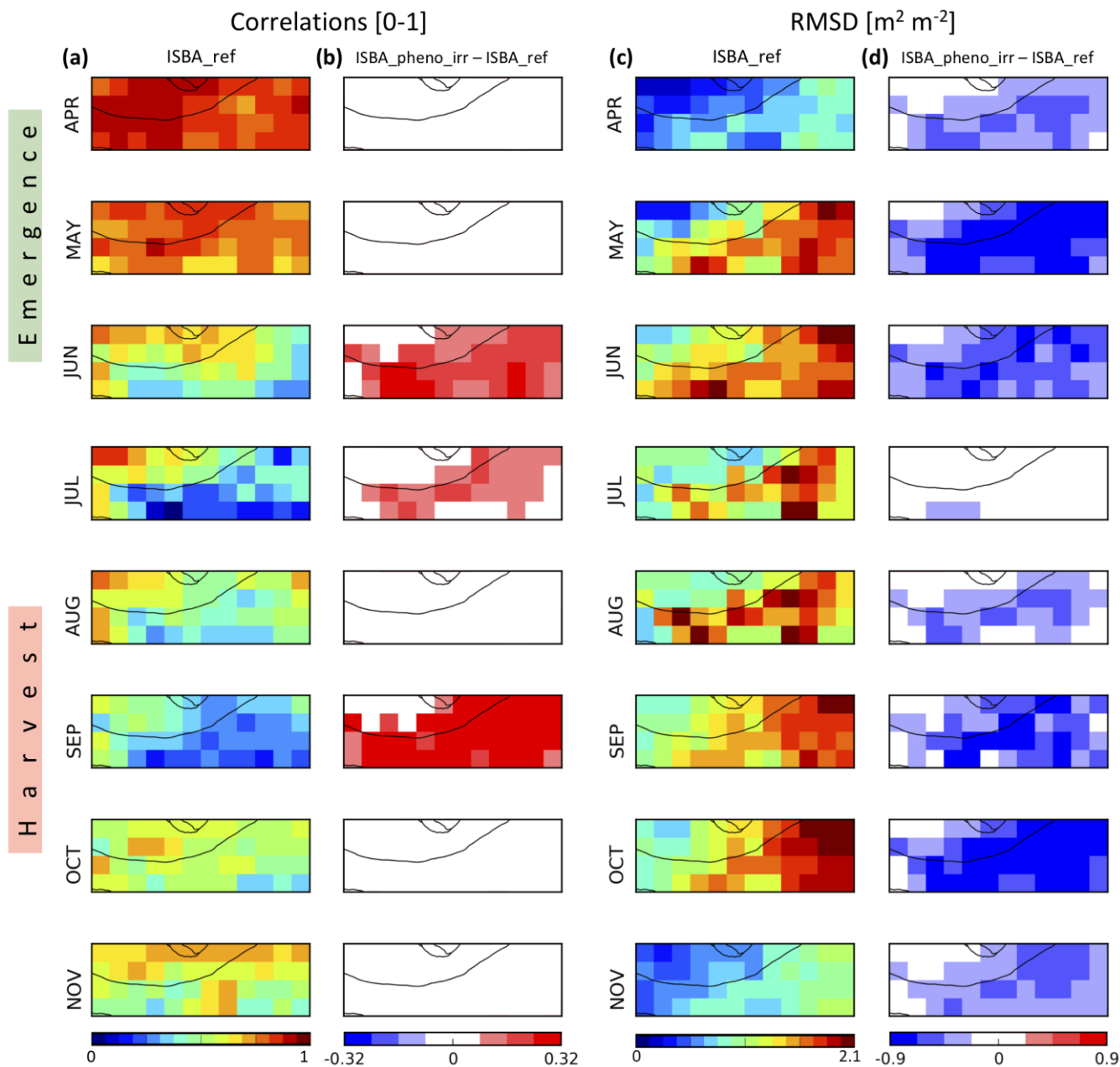


Figure 6-7 – Comparison of simulated LAI with CGLS LAI observations from 1999 to 2018 during the vegetation growing and senescence time period from April to November. Monthly temporal correlation (a, b) and RMSD (c, d) maps are shown for the reference simulation without a representation of irrigation ISBA_ref (a, c). The added value of the ISBA_pheno_irr simulation with respect to ISBA-ref is shown through score difference maps (b, d).

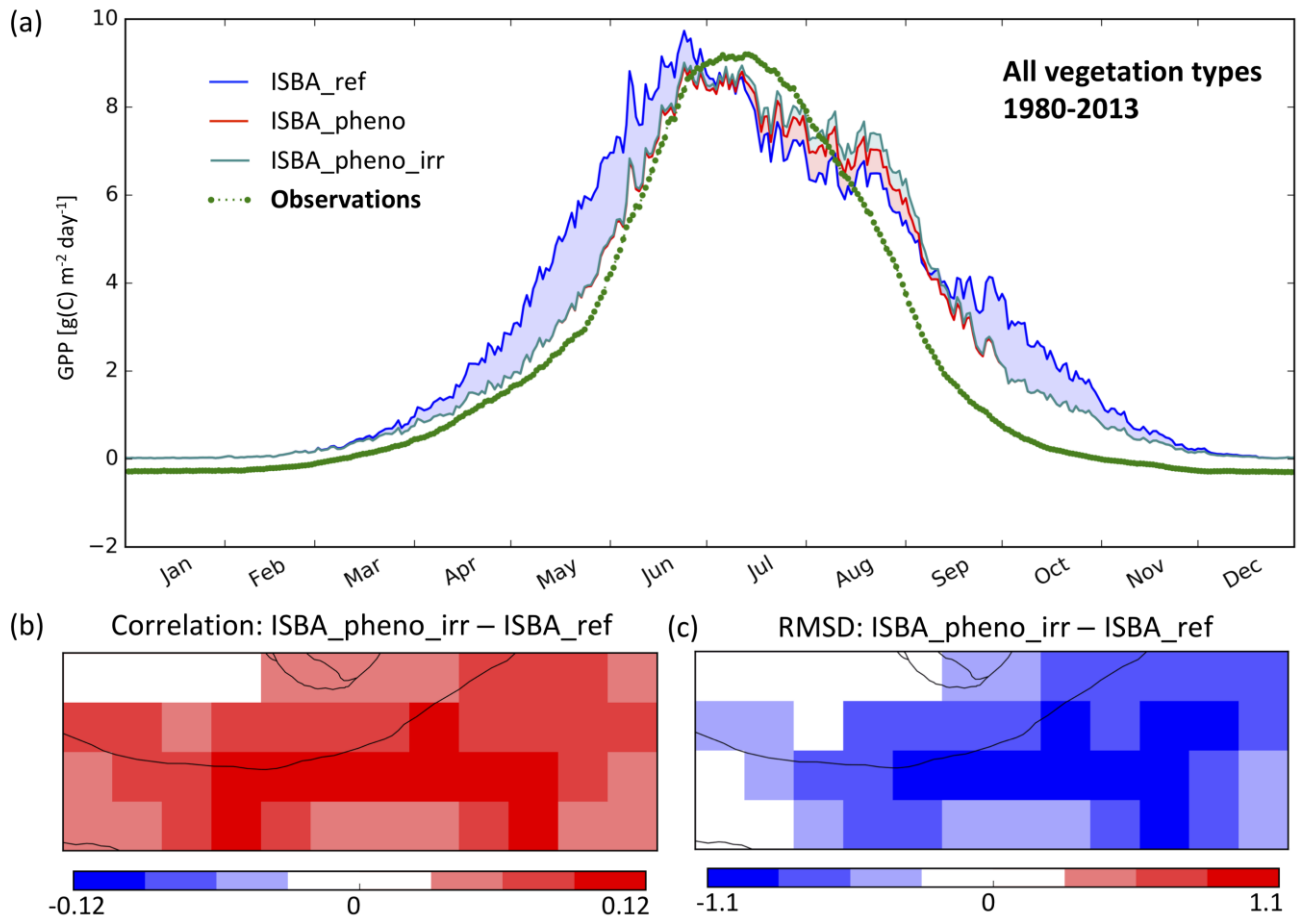


Figure 7-8– Seasonal variation of mean daily GPP values (gC m⁻² d⁻¹) from 1980 to 2013 (a) as derived from the reference simulation ISBA_ref (blue line), ISBA_pheno (red line), ISBA_pheno_irr (cyan) and observations (green dotted line). Temporal correlation (b) and RMSD (c) score difference maps show the added value of the ISBA_pheno_irr simulation with respect to ISBA-ref.

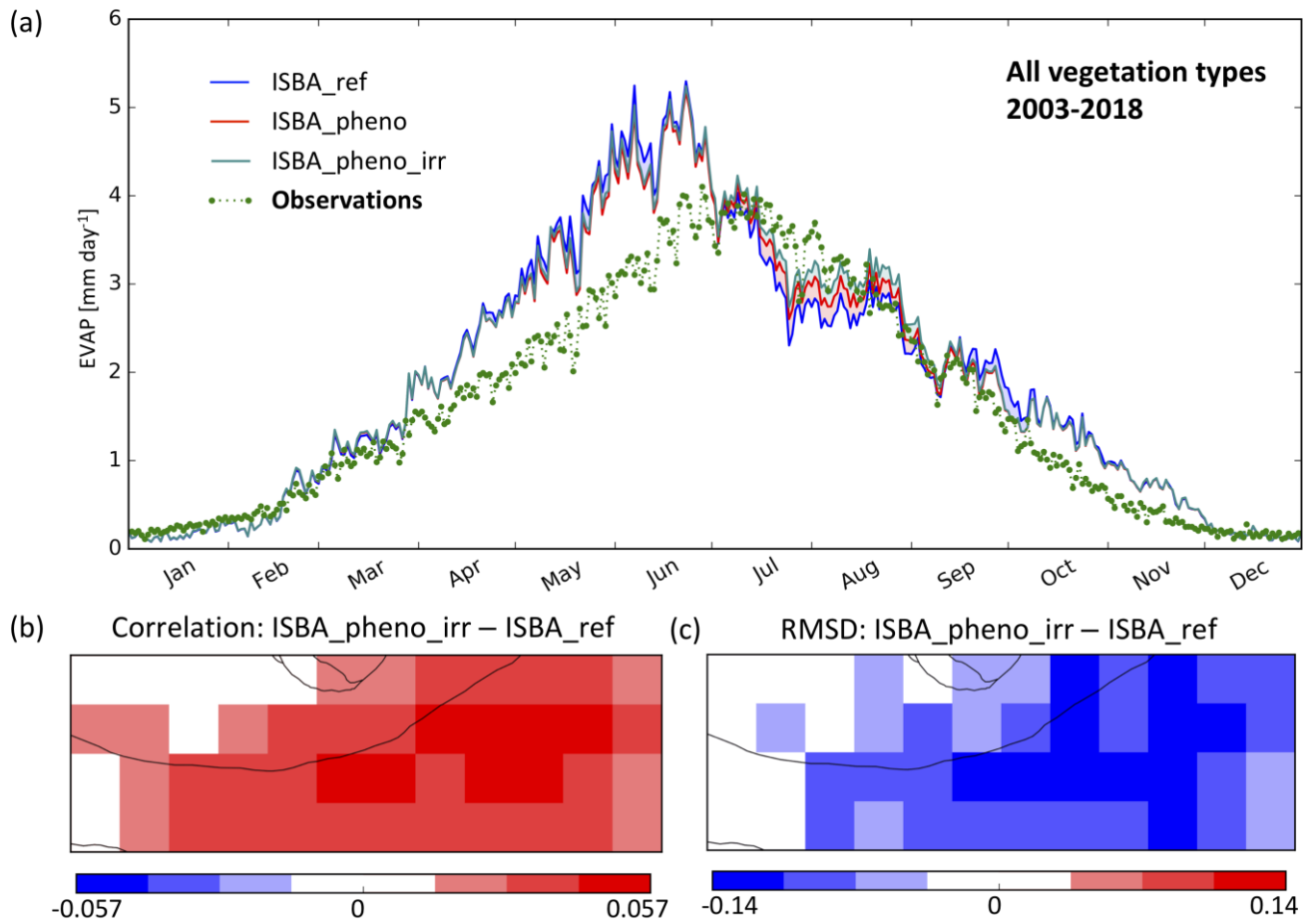


Figure 8-9 – Seasonal variation of mean daily evapotranspiration values ($\text{kg m}^{-2} \text{d}^{-1}$) from 2003 to 2018 (a) as derived from the reference simulation ISBA_ref (blue line), ISBA_pheno (red line), ISBA_pheno_irr (cyan) and GLEAM observations (green dotted line). Temporal correlation (b) and RMSD (c) score difference maps show the added value of the ISBA_pheno_irr simulation with respect to ISBA-ref.

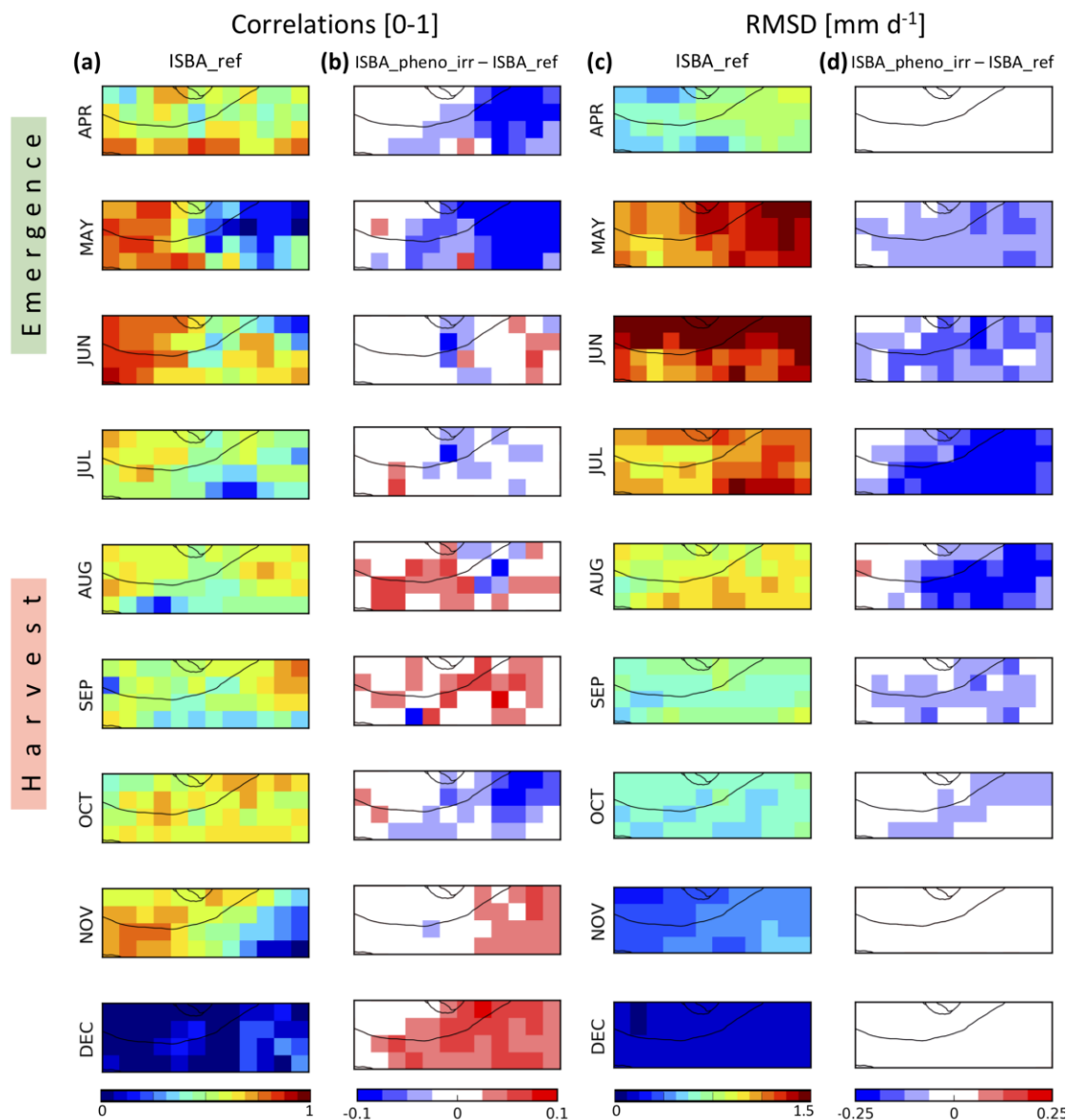


Figure 9-10 – Comparison of simulated evapotranspiration with GLEAM evapotranspiration observations from 2003 to 2018 during the vegetation growing and senescence time period from April to November. Monthly temporal correlation (a, b) and RMSD (c, d) maps are shown for the reference simulation without a representation of irrigation ISBA_ref (a, c). The added value of the ISBA_pheno_irr simulation with respect to ISBA-ref is shown through score difference maps (b, d).

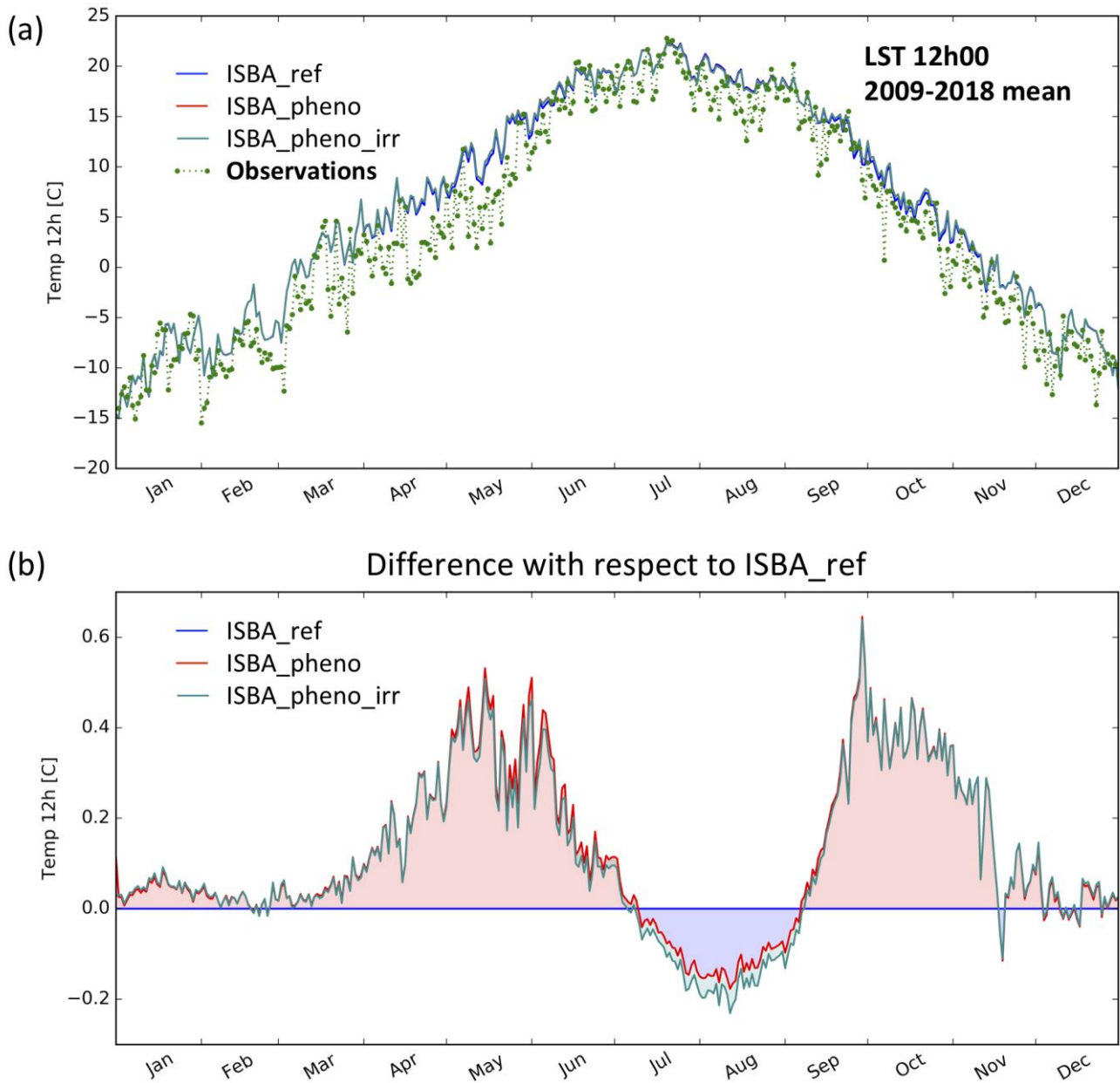


Figure 10-11 – Seasonal variation of surface temperature daily values at 12:00 local time (degree C) from 2009 to 2018 (a) as derived from the reference simulation ISBA_ref (blue line), ISBA_pheno (red line), ISBA_pheno_irr (cyan) and the CGLS product (green dotted line). The surface temperature differences at 12:00 local time (b) of ISBA_pheno_irr and ISBA_pheno simulations with respect to the ISBA-ref simulations are shown.

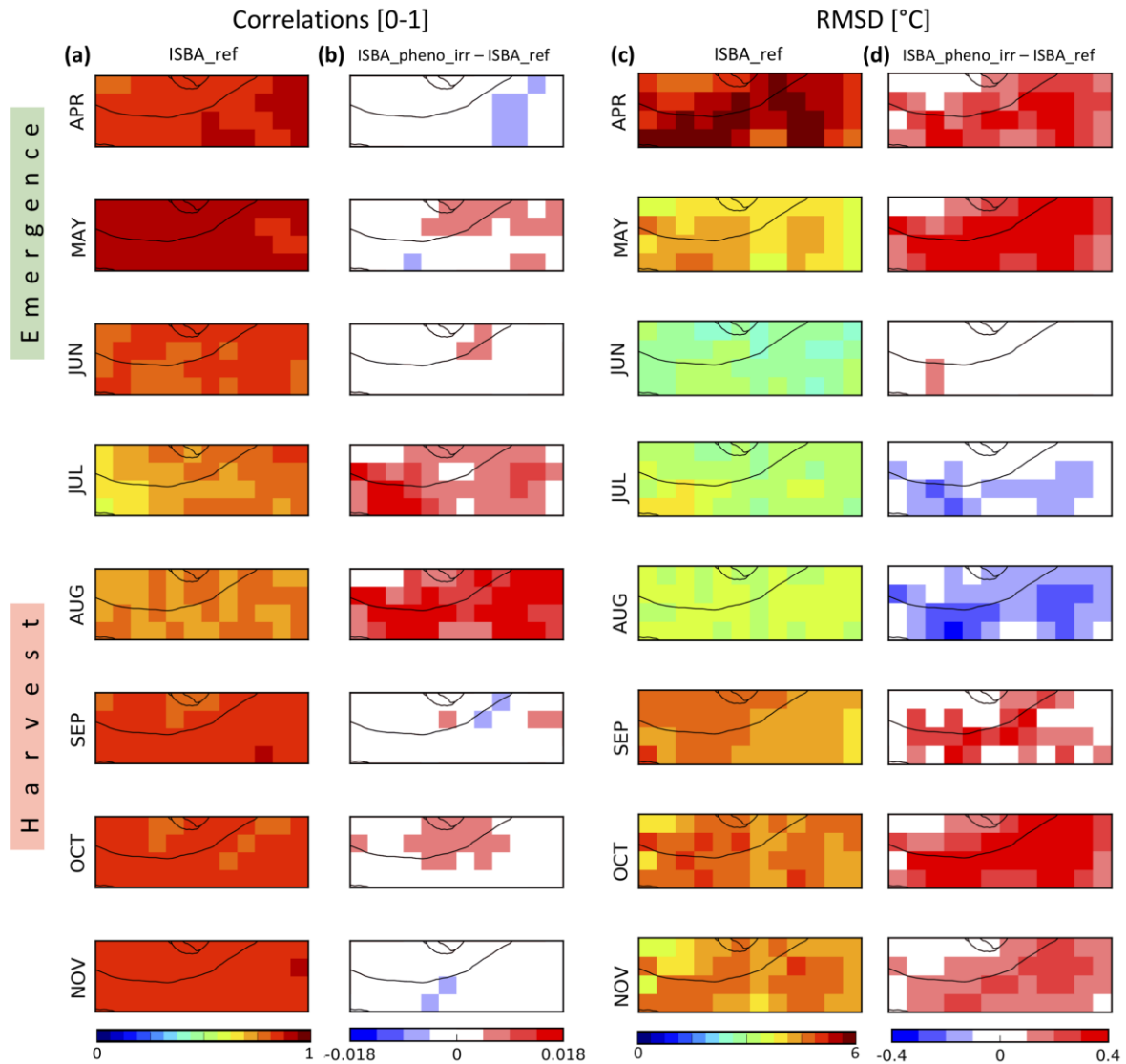


Figure 11-12 – Comparison of simulated surface temperature daily values at 12:00 local time with CGLS observations from 2009 to 2018 during the vegetation growing and senescence time period from April to November. Monthly temporal correlation (a, b) and RMSD (c, d) maps are shown for the reference simulation without a representation of irrigation ISBA_ref (a, c). The added value of the ISBA_pheno_irr simulation with respect to ISBA-ref is shown through score difference maps (b, d).