

# 1 **Towards an improved representation of carbonaceous aerosols over the** 2 **Indian monsoon region in a regional climate model RegCM**

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19 **Keywords:** RegCM4; emission inventory; carbonaceous aerosols; model customization;  
20 Indian monsoon region

21

22 **Abstract.** Mitigation of carbonaceous aerosol emissions is expected to provide climate and  
23 health co-benefits. The accurate representation of carbonaceous aerosols in climate models is  
24 critical for reducing uncertainties in their climate feedback. In this regard, emission fluxes and  
25 aerosol life-cycle processes are the two primary sources of uncertainties. Here we demonstrate  
26 that incorporating a dynamic ageing scheme and emission estimates that are updated for the  
27 local sources improve the representation of carbonaceous aerosols over the Indian monsoon  
28 region in a regional climate model, RegCM, compared to its default configuration. The mean  
29 BC and OC surface concentrations in 2010 are estimated to be 4.25 and 10.35  $\mu\text{g m}^{-3}$ ,  
30 respectively, over the Indo-Gangetic Plain (IGP), in the augmented model. The BC column  
31 burden over the polluted IGP is found to be 2.47  $\text{mg m}^{-2}$ , 69.95 % higher than in the default  
32 model configuration and much closer to available observations. The anthropogenic AOD  
33 increases by more than 19 % over the IGP due to the model enhancement, also leading to a

34 better agreement with observed AOD. The top-of-the-atmosphere, surface, and atmospheric  
35 anthropogenic aerosol shortwave radiative forcing are estimated at -0.3, -9.3, and 9.0 W m<sup>-2</sup>,  
36 respectively, over the IGP and -0.89, -5.33, and 4.44 W m<sup>-2</sup>, respectively, over Peninsular India  
37 (PI). Our results suggest that both accurate estimates of emission fluxes and a better  
38 representation of aerosol processes are required to improve the aerosol life cycle representation  
39 in the climate model.

40

## 41 **1. Introduction**

42 Carbonaceous aerosols (organic carbon, OC, and black carbon, BC) emitted from  
43 incomplete combustion constitute 20%-50% of the total global aerosol mass (Kanakidou et al.,  
44 2005; Putaud et al., 2010), causing substantial air quality degradation (Singh et al., 2021). Due  
45 to their ability to absorb solar radiation, carbonaceous aerosols also contribute to global  
46 warming (Ramanathan and Carmichael, 2008). Hence, they are considered to be key short-  
47 lived climate pollutants (SLCPs) (UNFCCC, 2015), and mitigating their emissions is expected  
48 to result in both climate and health co-benefits (Tibrewal and Venkataraman, 2021; Naik et al.,  
49 2021). Climate models are characterized by large discrepancies in simulating carbonaceous  
50 aerosol loadings, their optical properties, and radiative forcing (Ajay et al., 2019), primarily  
51 due to uncertainties in emission inventories and limitations in the treatment of aerosol processes  
52 in the models (Bond et al., 2013). Unless the representation of the life cycle of carbonaceous  
53 aerosols in climate models is improved, their role in climate impacts and air quality degradation  
54 cannot be assessed accurately (Riemer et al., 2019).

55 A multi-institutional network program - Carbonaceous aerosol emissions, source  
56 apportionment, and climate impacts (COALESCE) was launched by the Government of India  
57 to address some of these issues for the Indian monsoon region (Venkataraman et al., 2020).  
58 One of the scientific objectives of COALESCE is to understand and reduce uncertainties in  
59 representing carbonaceous aerosol life cycle in global and regional climate models, focusing  
60 on the Indian subcontinent. The regional climate model, RegCM4, developed at the  
61 International Centre for Theoretical Physics (ICTP), Italy (Giorgi et al., 2012), is one of the  
62 participating models in COALESCE. RegCM4 was extensively used to examine variability in  
63 the Indian summer monsoon (Dash et al., 2015; Rai et al., 2020), to project climate change over  
64 South Asia (Pattayak et al., 2018), and to elucidate the dynamical impacts of aerosols on the  
65 Indian summer monsoon in the present (Das et al., 2015, 2016) and future (Das et al., 2020)  
66 climate conditions.

67 The aerosol module in the RegCM4 (Solmon et al., 2006; Zakey et al., 2006) considers  
68 various aerosol life cycle processes, such as emission (source), advection, horizontal and  
69 vertical diffusion, transport, conversion of hydrophobic to hygroscopic species and wet and dry  
70 deposition (sink) (see Methods for more details). Previous studies (Das et al., 2016; Nair et al.,  
71 2012) have pointed out that the RegCM4 underestimates the anthropogenic aerosol loading  
72 over the Indian subcontinent, and therefore, the net aerosol impact over the region is dominated  
73 by natural aerosols (Das et al., 2020). We recently implemented a dynamic ageing scheme in  
74 the RegCM aerosol module (Ghosh et al., 2021), which converts carbonaceous aerosols from  
75 hydrophobic to hygroscopic states based on the aerosol number concentration. Compared to  
76 the constant conversion rate of 27.6 hours used in the default version of the model, the scheme  
77 allowed a faster conversion in the polluted regions than in the clean areas of the South Asia  
78 region. This, in turn, affected the aerosol forcing due to the changes in aerosol loadings induced  
79 by the new hydrophobic-to-hygroscopic conversion scheme. It was also found that  
80 implementing the dynamic ageing scheme alone is not sufficient to fully improve the model  
81 performance and hypothesized that much of the model uncertainty was due to the emission  
82 inventory.

83 In this work, we examined the changes in carbonaceous aerosol burden and their impact on  
84 the radiation budget of the South Asia region due to the combined impact of the improved  
85 dynamic ageing scheme and a regional emission inventory (Pandey and Venkataraman, 2014;  
86 Sadavarte and Venkataraman, 2014) replacing the global emission inventory used in the default  
87 model version (see Methods). We carried out four sets of simulations for the year 2010 - (1)  
88 control simulation with the default (fixed) ageing scheme and global inventory (hereafter  
89 Default\_Sc), (2) simulation with the dynamic ageing scheme and global inventory  
90 (Dyn\_global), (3) simulation with the default ageing scheme and regional inventory  
91 (Fix\_Regio) and (4) simulation with the dynamic ageing scheme and regional emission  
92 inventory (Dyn\_Regio). The changes due to ageing alone (i.e., Default\_Sc vs. Dyn\_global)  
93 have already been reported in Ghosh et al. (2021). Here we analyse and report the  
94 improvements in model performance due to the combined impact of incorporating a better  
95 emission inventory and a more realistic ageing scheme relative to the default model  
96 configuration (i.e., Default\_Sc vs. Dyn\_regio) and investigate these performance changes in  
97 terms of the aerosol processes considered in the model. However, the changes due to emission  
98 alone (i.e., Default\_Sc vs. Fix\_regio) will be considered as an intermediate step towards  
99 Dyn\_regio.

## 100 **2. Data and Methodology**

101 **2.1 Model configuration**

102 RegCM version 4 is a hydrostatic, compressible, primitive equation and sigma-p vertical  
103 coordinate model with a dynamical core from the NCAR Mesoscale Model Version 5 (MM5)  
104 (Grell et al., 1994). We have used the Community Climate Model Version 3 (CCM3) (Kiehl et  
105 al., 1996) radiative transfer scheme with the modifications described in the literature (Giorgi  
106 et al., 2012). The model is interactively coupled with both natural (dust and sea salt) (Zakey et  
107 al., 2006, 2008) and anthropogenic aerosols (Solmon et al., 2006), along with a gas-phase  
108 chemistry module (Shalaby et al., 2012), but for this study, we have only considered the  
109 anthropogenic module (Solmon et al., 2006). The choice of parameterisation schemes for our  
110 experiments has been provided in the following table:

111

Land surface processes	Biosphere-Atmosphere Transfer Scheme (BATS) (Dickinson et al., 1993)
Planetary boundary layer	University of Washington (UW) scheme (Grenier and Bretherton, 2001; Bretherton et al., 2004; O'Brien et al., 2012)
Cumulus convection scheme	Emanuel (Emanuel and Živković-Rothman, 1999) over land and Tiedtke (Tiedtke, 1993) over the ocean
Large-scale cloud and moisture process	SUBEX scheme (Pal et al., 2007, 2000)
Aerosol module	SUCA (Solmon et al., 2006)
Emission inventories	IIASA and IIT Bombay 2010

112

113 The anthropogenic aerosol module consists of sulphate, hydrophilic and hydrophobic BC,  
114 and hydrophilic and hydrophobic OC, along with a sulphate scheme (Qian et al., 2001). The  
115 mass concentrations of these species are tracked, assuming that they form an external mixture.  
116 The emitted carbonaceous aerosols are considered to be 80 % hydrophobic and 20 %  
117 hydrophilic for BC, while equal fractions of hydrophobic and hydrophilic OC are considered  
118 in the simulations. The rate of change of mass mixing ratios of hydrophobic and hydrophilic  
119 tracers, indicated by subscript 'hb' and 'hl,' is described by the chemical transport equation in  
120 Solmon et al. (2006).

121 The atmospheric lifetime of aerosols is governed by dry and wet deposition. The dry  
122 deposition velocity depends on the type of surface, while the dry deposition flux variation is

123 proportional to the tracer concentration in the lowest level of the model (around 30 m above  
124 the surface). Wet deposition in the RegCM4 has been split into “in-cloud” and “below-cloud”  
125 terms. The in-cloud removal process starts for large-scale clouds if the liquid water is higher  
126 than the threshold level ( $0.01 \text{ g m}^{-3}$ ) in the model layers where the cloud fraction is more than  
127 zero and is a function of the fractional removal rate of liquid water (fraction of precipitating  
128 rain over liquid water content of the atmospheric layer, the in-cloud removal rate for cumulus  
129 clouds is constant and fixed at  $0.001 \text{ s}^{-1}$ ) and the aerosol solubility. This solubility is different  
130 for different species, and thus hydrophilic and hydrophobic BC/OC have different in-cloud wet  
131 deposition rates. The below-cloud washing out of the aerosols is controlled by their effective  
132 diameters and densities. Collection efficiency for each aerosol species is computed from the  
133 aerosol effective diameter and density, which is different for different species. The changes in  
134 wet and dry deposition alter the ratio of hydrophobic to hydrophilic changes, which in turn  
135 alters the atmospheric lifetime of aerosols. A detailed explanation regarding these changes due  
136 to ageing alone can be referred to Ghosh et al. (2021). Seasonal variation in the lifetime of  
137 particles, at the surface and upper atmosphere, due to ageing alone has been already explained  
138 in Ghosh et al. (2021).

139 The model was simulated over the South-Asian CORDEX domain (Giorgi et al., 2009) [ $20^\circ$   
140 S -  $50^\circ$  N and  $10^\circ$ - $130^\circ$  E] for the year 2010 at  $0.25^\circ \times 0.25^\circ$  resolution, while the results are  
141 analysed over the Indian subcontinent [ $0^\circ$ - $45^\circ$  N and  $60^\circ$ - $105^\circ$  E] with special focus on the IGP  
142 [ $25^\circ$ - $30^\circ$  N and  $73^\circ$ - $89^\circ$  E] and PI [ $8^\circ$ - $20^\circ$  N and  $72^\circ$ - $85^\circ$  E]. The model consists of 18 vertical  
143 levels with 50hPa as the model top pressure. There are three levels (1000, 925, 850 hPa) within  
144 the boundary layer. ERA-Interim reanalysis dataset, at  $1.5^\circ$  resolution and 6-hourly temporal  
145 resolution, has been used to generate the initial and lateral meteorological boundary conditions  
146 for the study (Dee et al., 2011). The sea surface temperature was derived from the NOAA  
147 Optimum Interpolated weekly  $1^\circ \times 1^\circ$  gridded data and the chemical boundary conditions from  
148 MOZART 6-hourly data. Four sets of simulations have been performed for the year 2010 - (1)  
149 control simulation with the default (fixed) ageing scheme and global inventory (hereafter  
150 Default\_Sc), (2) simulation with the dynamic ageing scheme and global inventory  
151 (Dyn\_global), (3) simulation with the default ageing scheme and regional inventory  
152 (Fix\_Regio) and (4) simulation with the dynamic ageing scheme and regional emission  
153 inventory (Dyn\_Regio). In each of the experiments, the model was simulated from October 01,  
154 2009, to December 31, 2010. The first three months were considered spin-up and thus were not  
155 included in the analysis. The focus of this manuscript is the Indian landmass only. Changes in

156 aerosol properties over the oceans have not been discussed because the oceanic condition is  
157 mostly clean with low tracer concentration compared to that over the landmass. In the  
158 supplementary material Fig S1, there are hardly any emissions over the oceans. Additionally,  
159 in (Ghosh et al., 2021), it is evident that the ageing time of the carbonaceous aerosols over the  
160 oceans is larger than the default ageing timescale.

161

## 162 **2.2 Emission inventories**

163 In this study, we considered a global emission inventory  
164 [[https://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global\\_emissions.html](https://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global_emissions.html)]  
165 and a regional emission inventory (Pandey and Venkataraman, 2014; Sadavarte and  
166 Venkataraman, 2014; Venkataraman, 2018). Figure S2 represents the seasonal variation of the  
167 emissions estimated by the two inventories. The global emission inventory used in the  
168 experiments ‘Default\_Sc’ and ‘Dyn\_global’ was developed by the IIASA emission inventory  
169 at a resolution of  $0.5^\circ \times 0.5^\circ$   
170 [[https://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global\\_emissions.html](https://www.iiasa.ac.at/web/home/research/researchPrograms/air/Global_emissions.html)].

171 The key emission sectors considered in this inventory are energy, industry, solvent use,  
172 transport, domestic combustion, agriculture, open burning of agricultural waste, and waste  
173 treatment. The emission estimates were available only at an annual scale with no seasonal  
174 variation from 1990-2010.

175 The regional emission inventory used in experiments ‘Fix\_regio’ and ‘Dyn\_regio’ was  
176 developed by IIT Bombay (Pandey and Venkataraman, 2014; Sadavarte and Venkataraman,  
177 2014; Venkataraman et al., 2018) at a horizontal resolution of  $0.25^\circ \times 0.25^\circ$  and the estimates  
178 vary at a monthly scale. Thus, the regional emissions have a profound seasonal variability  
179 (Figure S1). The key sectors included in the regional inventory are energy (coal + oil + gas),  
180 heavy and light industry, brick production, residential cooking, solid biomass fuel, residential  
181 cooking (LPG and kerosene), residential lighting (kerosene lamp), residential water heating,  
182 residential space heating, informal industry, agricultural residue burning, on-road gasoline, on-  
183 road diesel, railway, agricultural diesel pump, agricultural tractors. Among these sectors,  
184 residential water heating, residential space heating, and agricultural residue burning sectors  
185 have seasonality in emissions.

186

## 187 **2.3 In-situ BC data**

188 In-situ BC data for the year 2010 has been procured from 24 sites to evaluate the model  
189 performance. These sites have been shown in the supplementary Fig S4. 21 of these sites are  
190 part of the Indian Space Research Organization's Aerosol Radiative Forcing over India  
191 Network, ARFINET (Babu et al., 2013; Gogoi et al., 2021). This network has been measuring  
192 columnar AOD and BC for many years. In addition to the ARFINET, BC concentrations are  
193 also measured independently at Kanpur (Tripathi et al., 2005) (entire 2010 except during the  
194 monsoon season), Gadanki (Gadhavi et al., 2015; Jain et al., 2018), and Delhi (October-  
195 December 2010) by individual institutions. In all the sites, BC was measured by an  
196 Aethalometer. An aethalometer measures the amount of attenuation of the light beam passing  
197 through the filter where particles get deposited. BC mass concentration is measured by the  
198 change in optical attenuation given by the rate of BC deposition on the filter tape (Hansen et  
199 al., 1984). Dataset from all the sites except Gadanki (monthly values) are available on a daily  
200 scale and have been averaged to get the annual concentrations.

201

#### 202 **2.4 MERRA-2 data**

203 Model simulated BC and OC columnar burdens have been evaluated against MERRA-2  
204 reanalysis data. MERRA-2 is an updated reanalysis of atmospheric data produced by the NASA  
205 Global Modeling and Assimilation Office (Buchard et al., 2017). MERRA-2 consists of  
206 parameters that are not available in its predecessor, MERRA. It includes updates of the  
207 Goddard Earth Observing System model and analysis scheme in order to give a more realistic  
208 view of the ongoing climate analysis beyond MERRA's jargon. This dataset addressed the  
209 limitations of MERRA. Various improvements in MERRA-2 include assimilation of aerosol  
210 observations and improved representation of stratosphere, including ozone and cryosphere.  
211 MERRA-2 data products are freely accessible through the NASA Goddard Earth Sciences Data  
212 Information Services Center. We note that MERRA-2 data are also not observations and direct  
213 validation of the MERRA-2 columnar BC and OC burden is not possible.

214

#### 215 **2.5 MISR aerosol data**

216 MISR on-board Terra satellite crosses the equator around 10:30 hrs local time. It has a high  
217 spatial resolution and a wide range of viewing angles. It views the Earth using four spectral  
218 bands in each of the nine cameras and has a weekly global coverage between  $\pm 82^\circ$ . A detailed  
219 description is provided in the literature (Diner et al., 1998). MISR-AOD has a correlation  
220 coefficient of  $\sim 0.9$  (for maritime sites) and  $\sim 0.7$  (for dusty sites) w.r.t AERONET (Kahn et al.,

221 2005). In the absence of any direct measurement of anthropogenic AOD, we use MISR fine  
222 AOD (AOD for particles smaller than  $0.35\ \mu\text{m}$ ) (Dey and Di Girolamo, 2010).

223

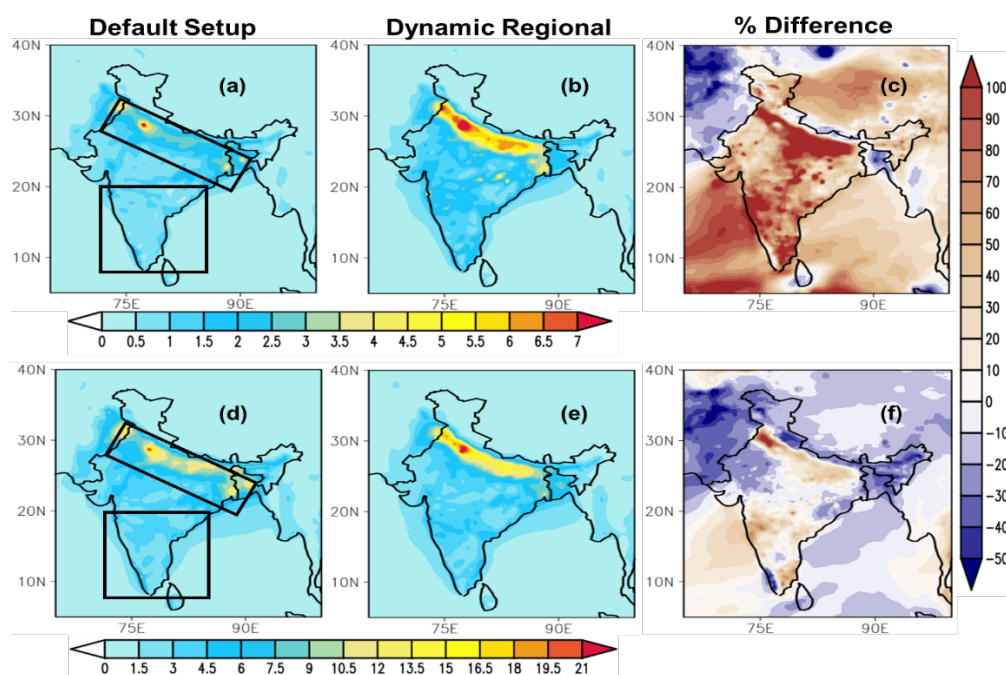
### 224 **3. Results**

225 In this section, we have discussed the three-dimensional annual distribution of  
226 carbonaceous aerosols (sections 3.1 and 3.2) for the default (Default\_Sc) and augmented  
227 (Dyn\_regio) model set-up. The seasonal distributions for all four experiments – Default\_Sc,  
228 Dyn\_global, Fix\_regio, and Dyn\_Regio, have been reported in the supplementary information  
229 (SI). In section 3.3, we have investigated the annual changes in aerosol optical properties due  
230 to the default (Default\_Sc) and augmented (Dyn\_regio) model set-up. In this case, also, the  
231 seasonal variability across the four experiments has been shown in the SI.

#### 232 **3.1 Spatial distribution of carbonaceous aerosols**

233 Figure 1 shows the spatial distributions of the annual surface concentration for BC and OC  
234 using the default and augmented model, along with their differences. Several key features are  
235 notable. First, the OC concentration is almost three times higher than the BC concentration in  
236 the augmented model, consistent with the literature (Priyadharshini et al., 2019). Secondly, the  
237 concentrations are 2-3 times higher over the polluted Indo-Gangetic Plain (IGP) compared to  
238 the rest of India in the augmented model. High aerosol loadings in the IGP are a result of the  
239 combined effects of greater source strength, low topography surrounded by highlands to the  
240 north and south, and unfavourable meteorology (Dey and Di Girolamo, 2010; Srivastava et al.,  
241 2012). Thirdly, the BC and OC concentrations increase by  $>100\%$  and  $>60\%$ , respectively,  
242 over the IGP and by smaller margins elsewhere in the augmented model relative to the default  
243 configuration. The increase in the annual tracer concentrations can be further explained by the  
244 seasonal distributions and the selected model configuration. To begin with, an increase in both  
245 BC and OC concentrations during the winter (JF), pre-monsoon (MAM), and post-monsoon  
246 (OND) seasons are clearly visible in [Fig S2](#) and [Fig S3](#) (see Supplementary Information SI).  
247 During the monsoon, precipitation removes large amounts of aerosols; as a result, the increase  
248 in BC concentration is almost negligible, and for OC, it is negative. The transition in  
249 concentration from Dyn\_global to Fix\_regio is most prominent than that from Default\_Sc to  
250 Dyn\_global or Fix\_regio to Dyn\_regio. This indicates the impact of the switch from the global  
251 to regional emission inventory ([Figure S1](#)) is greater than the impact of the implementation of  
252 the dynamic ageing scheme ([Figure S1](#)) on the increases in BC and OC mass concentrations in  
253 the augmented model.





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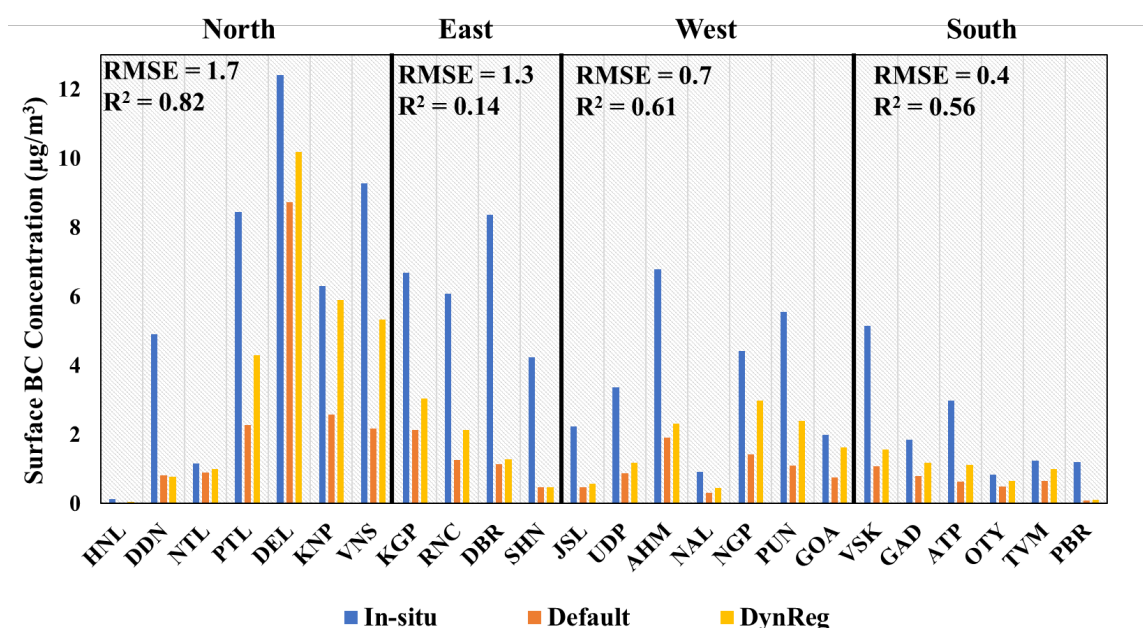
257 **Figure 1** Spatial distribution of surface mass concentration ( $\mu\text{g m}^{-3}$ ) of BC (a, b) and OC (d, e)  
 258 in 2010 over the Indian subcontinent using (left) the default and (middle) the augmented model  
 259 configurations. Figures 1c and 1f represent the corresponding percentage differences due to the  
 260 augmented model set-up (positive values imply an increase in mass concentration). The vertical  
 261 distributions (shown in Figure 3) are analysed for the IGP and PI sub-regions marked by boxes  
 262 in the panels of the left column.

263

264 We evaluate the performance of the customized model against BC surface concentrations  
 265 measured at 24 sites across India (Figure 2). We note that the in-situ concentrations are point  
 266 measurements, while the model grids containing these sites are representative of 25 km by 25  
 267 km areas. The default model severely underestimates the surface BC compared to the in-situ  
 268 observations (mean normalized bias, MNB = -69 %). Though the underestimation persists in  
 269 the augmented model (by varying proportions across the sites), the simulated concentration  
 270 magnitudes are closer to the observations (MNB = -51 %), particularly in the mega-cities of  
 271 the polluted IGP (e.g., Delhi, Kanpur, Varanasi, Kharagpur). The improvement is small in some  
 272 cities, particularly in the East India region (e.g., Dehradun, Dibrugarh, Ahmedabad), where the  
 273 differences in global and regional emission inventories are also small. This suggests that the

274 problem could be related to the emission fluxes. In several cities, especially in the North and  
 275 South Indian regions (e.g., Goa, Nainital, Ooty, Thiruvananthapuram), the simulated BC using  
 276 the augmented model is a very close match with the observations. Overall, the augmented  
 277 model ( $R^2 = 0.66$ ) performs better than the default model ( $R^2 = 0.6$ ) in simulating surface BC  
 278 concentrations, and the errors shown in Figure 2 could also be amplified by the fact that the  
 279 model data refers to a  $25 \text{ km} \times 25 \text{ km}$  area as a single grid.

280



281

282

283 **Figure 2** Comparison of simulated BC surface concentration ( $\mu\text{g m}^{-3}$ ) using the default and  
 284 augmented model with in-situ measurements from 24 cities across India. Locations of the cities  
 285 are shown in Figure S4. RMSE (in  $\mu\text{g m}^{-3}$ ) and  $R^2$  between the customized model simulations  
 286 and surface measurements are also provided.

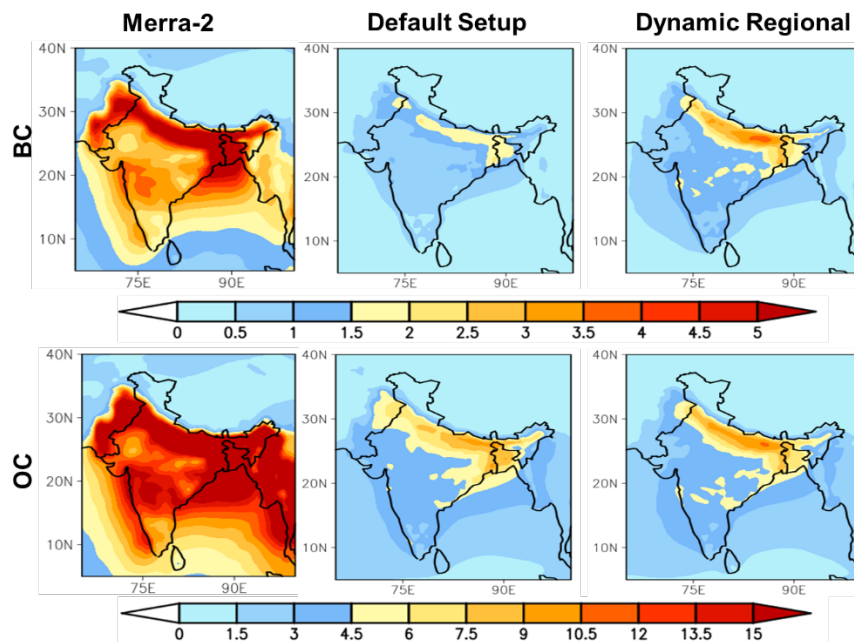
287

288 Since there are no in-situ measurements of columnar burden, we compare the simulated  
 289 columnar burden (Figure 3) with data from Modern-Era Retrospective Analysis for Research  
 290 and Applications, version 2 (MERRA-2) (Buchard et al., 2017). Similar to the surface mass  
 291 concentration, the BC burden shows a more pronounced change than the OC burden due to the  
 292 inclusion of the new model features. Here also, the introduction of the emissions alone played  
 293 a more prominent role than the dynamic ageing alone (see Figure S5 and Figure S6 in SI), but  
 294 the highest change can be observed in the presence of both. Though the simulated burden is

295 still underestimated relative to the MERRA-2 data, the values in the augmented model are  
296 much closer to the reanalysis data, and the sequence of changes (in both BC and OC) follow  
297 Dyn\_regio > Fix\_regio > Dyn\_global > Default\_sc. During the winter season (Jan-Feb), the  
298 percentage difference of model-simulated column burden (w.r.t. MERRA-2) decreases from  
299 >70 % to ~ 35 % for BC and from ~63 % to ~49 % for OC in the augmented model (Figure S5  
300 and S6). A similar improvement is found in the pre-monsoon season (Mar-May). The higher  
301 BC loading over the IGP results from higher magnitudes of regional emissions coupled with  
302 faster ageing and slower removal rate. The percentage difference increases for OC burden over  
303 northwest India decreases over the IGP and is negligible over the rest of the country. A probable  
304 explanation for such OC distribution relies on the emission inventories used since the OC  
305 emissions are slightly higher in the global inventory than those in the regional inventory over  
306 northwest India and lower in the IGP. Emissions over the PI are roughly similar in the two  
307 inventories (Figure S1). The role of emissions in both BC and OC simulated burden is further  
308 supported by the observed transition changes from Dyn\_global to Fix\_regio. We also note that  
309 anthropogenic aerosol emissions vary on an annual basis in MERRA-2 (Buchard et al., 2017);  
310 hence, there could be larger uncertainties at a seasonal scale.

311 During the monsoon season (Jun-Sep), the BC loading increases, and OC loading decreases  
312 in magnitude in the augmented model compared to the default set-up (Figure S5), mostly due  
313 to the implementation of the regional inventory. The magnitude of the simulated BC column  
314 burden is comparable between the Default\_Sc and Dyn\_sc experiments and that between the  
315 Fix\_Regio and Dyn\_Regio (Figure S5), with an opposite pattern found for the OC column  
316 burden (Figure S6). Two possible reasons can explain this result. First, the OC emissions in the  
317 global inventory are higher than in the regional ones (Figure S1). Second, the model assumes  
318 that OC is 50 % hydrophobic and 50 % hydrophilic at the time of emission (for BC, it is 80 %  
319 hydrophobic and 20 % hydrophilic), and therefore the faster conversion to hydrophilic OC due  
320 to the dynamic ageing can enhance the hydrophilic OC removal by rain. On analysing the wet  
321 removal (refer to Figure S7 and Figure S8 in SI), BC\_HL showed the expected highest removal  
322 during JJAS, but OC\_HL showed a lower magnitude of wet removal. Therefore, lower OC  
323 emissions in the regional inventory play a major role, during JJAS, in simulating OC burden in  
324 the augmented model. In the post-monsoon season (Oct-Dec), an overall increase in column  
325 burden in the augmented model is observed throughout India. Higher emissions (in the case of  
326 the regional inventory) result in higher concentrations of available condensing and coagulating  
327 particles, which in turn allows faster ageing of hydrophobic to hydrophilic BC leading to

328 accumulation of BC particles in the atmosphere before their removal by dry deposition. The  
329 changes in the OC loading are negligible in this season (refer to Figure S6 in SI).



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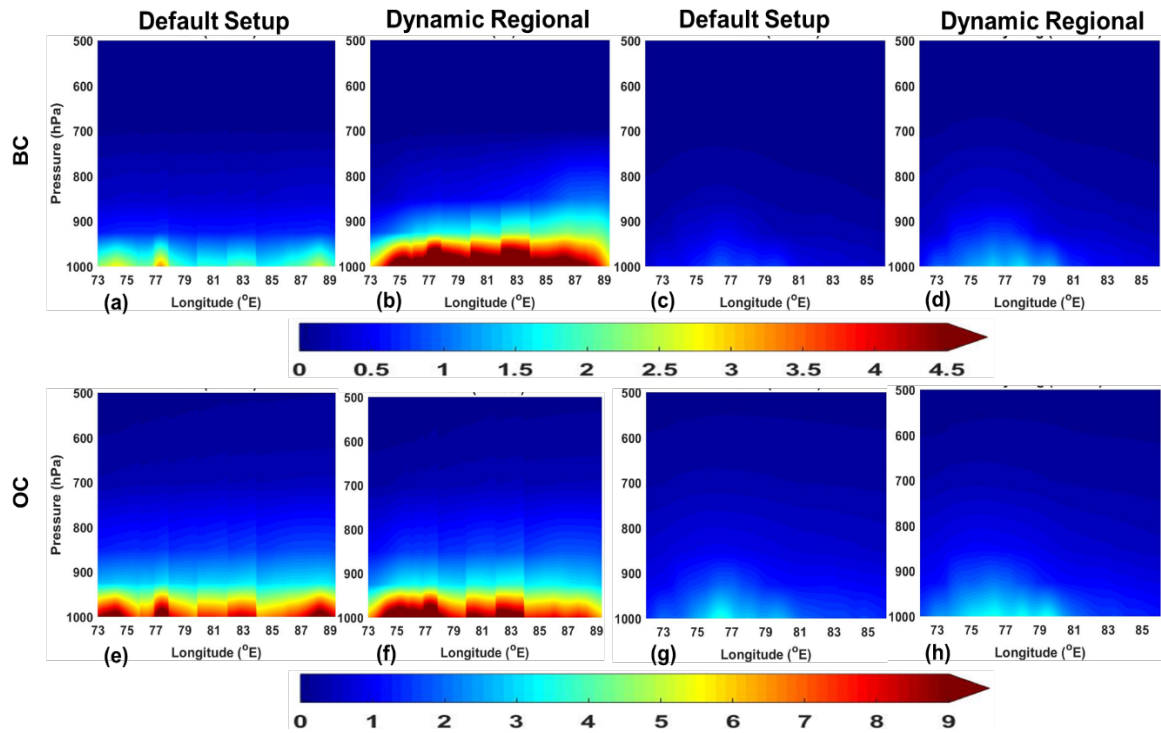
331 **Figure 3** Comparison of spatial patterns of annual (top panel) BC and (bottom panel) OC  
332 column burden ( $\text{mg m}^{-2}$ ).

333

### 334 3.2 Vertical distribution of carbonaceous aerosols

335 In this section, we analyse the effects of the model improvements on the vertical  
336 distribution of aerosols over the IGP and compare the results with the contrasting PI region,  
337 where the emissions are much lower. The two regions are indicated by the boxes in Figure 1.  
338 Figure 4 represents longitude-altitude cross-sections of annual BC and OC mass concentration  
339 ( $\mu\text{g m}^{-3}$ ) over the regions. The vertically distributed mass concentrations ( $\mu\text{g m}^{-3}$ ) of both BC  
340 and OC increase due to the model improvements up to 500 hPa. Similar to spatial distribution,  
341 here also seasonal variability will help to explain the annual vertical concentrations.  
342 Furthermore, the changes are more dramatic and prominent over IGP than that over PI.

343



344

345

346 **Figure 4** Longitude (in °E)-altitude (in hPa) cross-sections of (top panel) BC and (bottom  
 347 panel) OC mass concentration ( $\mu\text{g m}^{-3}$ ) over the IGP (a, b, e, f) and PI (c, d, g, h) for the default  
 348 and customized model.

349

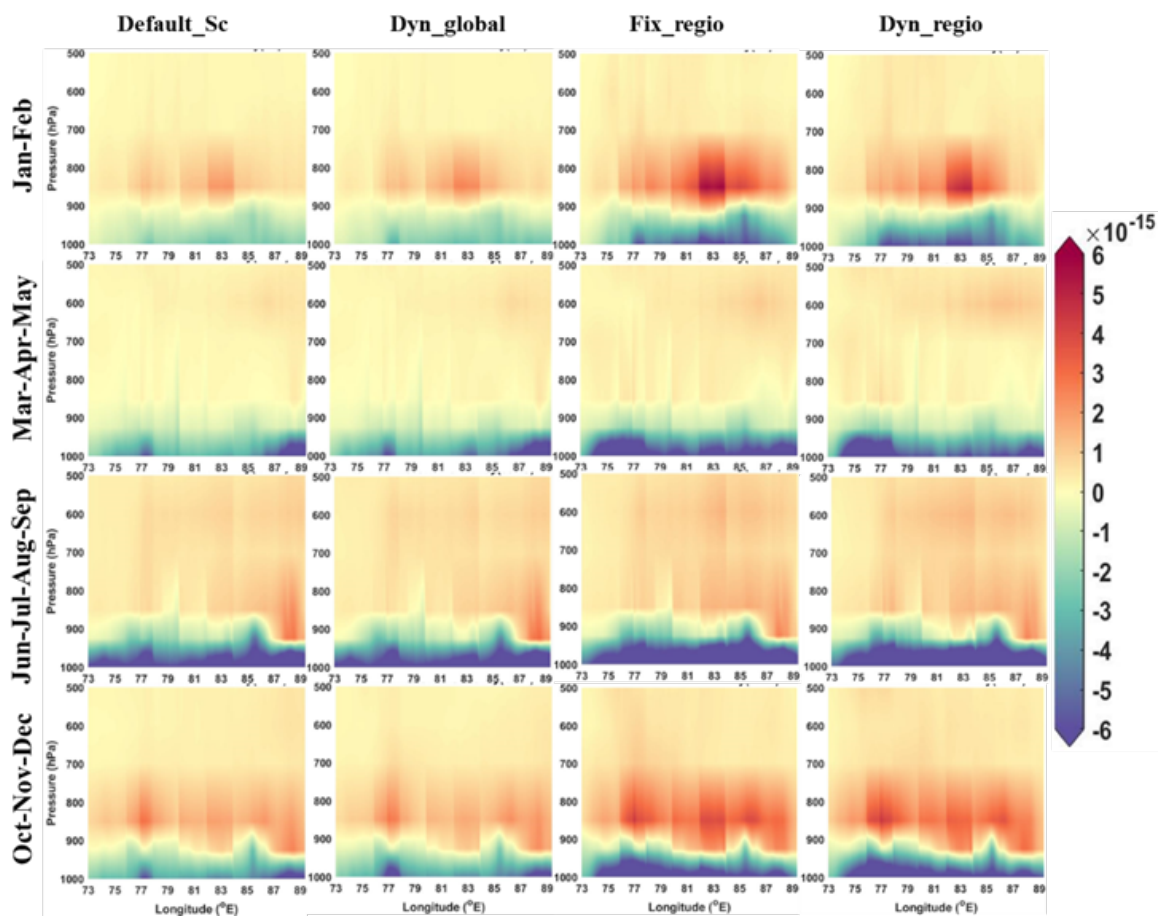
350 Over IGP, a larger increase is observed during the winter and post-monsoon seasons  
 351 (Figures S9 and S10). Both the BC and OC concentrations ( $\mu\text{g m}^{-3}$ ) are comparable in the  
 352 default and Dyn\_global configurations, but they increase in the Fix\_regio and Dyn\_regio set-  
 353 ups (Figures S9 and S10). In these two seasons, both BC and the OC are distributed up to the  
 354 mid-tropospheric levels but with differing magnitudes. This is indicative of higher  
 355 concentrations of OC vertical transport than that of BC. During the pre-monsoon season, the  
 356 vertical distributions of both BC and OC show responses similar to that of their spatial  
 357 distributions. In the monsoon season, the tracer concentration is mainly confined to the surface  
 358 levels, indicating a lower wet removal and slower ageing above 1000 hPa (Ghosh et al., 2021).

359

For further clarification of the seasonality of the vertical pumping effect, the convective  
 360 tendency (represents vertical transport) and lateral advection (represents horizontal transport)  
 361 have been investigated. The model simulated convective tendency and lateral advection  
 362 (responsible for long-range transport) are given below. More positive values indicate a strong  
 363 updraft above the surface due to convection. Convection tendency gradually increases from  
 364 left to right (Figure 5 for BC and Figure S11 in SI for OC). Particularly in the drier seasons

365 since more particles are available in the absence of washout. During winter, the augmented  
366 model (Dyn\_regio) shows a lesser pumping effect over IGP than that when only emissions  
367 have been changed (Fix\_regio). This can be due to the fact that in the presence of dynamic  
368 ageing a greater number of hydrophilic tracers are available for removal (evident from the  
369 removal plot of BC\_HL) even for a small amount of precipitation from western disturbances.  
370 However, during post-monsoon (OND), due to negligible precipitation over IGP, removal rates  
371 of hydrophilic tracers are comparable, and hence the pumping effect also follows the same  
372 trend. A similar trend in convective tendency is also shown by OC particles (Figure S11 in SI).  
373 The magnitude of OC convection tendency is stronger than that of BC particles, probably due  
374 to a higher concentration (Priyadarshini et al., 2019; Ram et al., 2010) of available particles.  
375 Besides, lateral advection is an indicator of horizontal long-range aerosol transport. More  
376 positive values indicate strong flow along the surface due to advection. Advection shows strong  
377 seasonality (from top to bottom – Figure 6 for BC and Figure S12 in SI for OC). In drier months  
378 (JF and OND), horizontal transport is comparatively less than in pre-monsoon (MAM) and  
379 monsoon (JJAS). Therefore, vertical convection is more prominent in dry seasons while  
380 horizontal advection is dominant for MAM and JJAS, irrespective of the choice of schemes.  
381 Consequently, the observed BC concentration is due to convection in JF and OND and due to  
382 advection in MAM and JJAS. Similar logic can be applied for OC concentration distribution  
383 due to lateral advection (Figure S12 in SI). However, the positive advection signal is stronger  
384 than that of BC particles. This can be again due to the higher concentration of available particles  
385 for transport to other regions.

386 In addition, the atmospheric profiles over the region have also been used to explain the tracer  
387 distribution. In terms of changes in temperature profile, higher temperatures over IGP during  
388 MAM and JJAS facilitated the strong vertical wind movement (negative values in Figure S13).  
389 But negative convective tendency (Figure 5 for BC and Figure S24 in SI for OC) and positive  
390 lateral advection of (Figure 6 for BC and Figure S23 in SI for OC) carbonaceous aerosols  
391 during these months lowered their concentrations. This is further supported by the high RH  
392 values particularly in JJAS (Figure S14) which resulted in higher removal. Exactly opposite is  
393 happening during the drier months (JF and OND). Comparatively low temperatures (Figure  
394 S15), facilitated more stable wind movement (positive values in Figure S13). However, in  
395 presence of high emissions, the aerosol pumping effect resulted in strong convective tendency  
396 (Figure 5) which further facilitated the higher concentrations during these months. The low RH  
397 values (Figure SX2) during these months are also conducive of higher aerosol atmospheric  
398 lifetime.

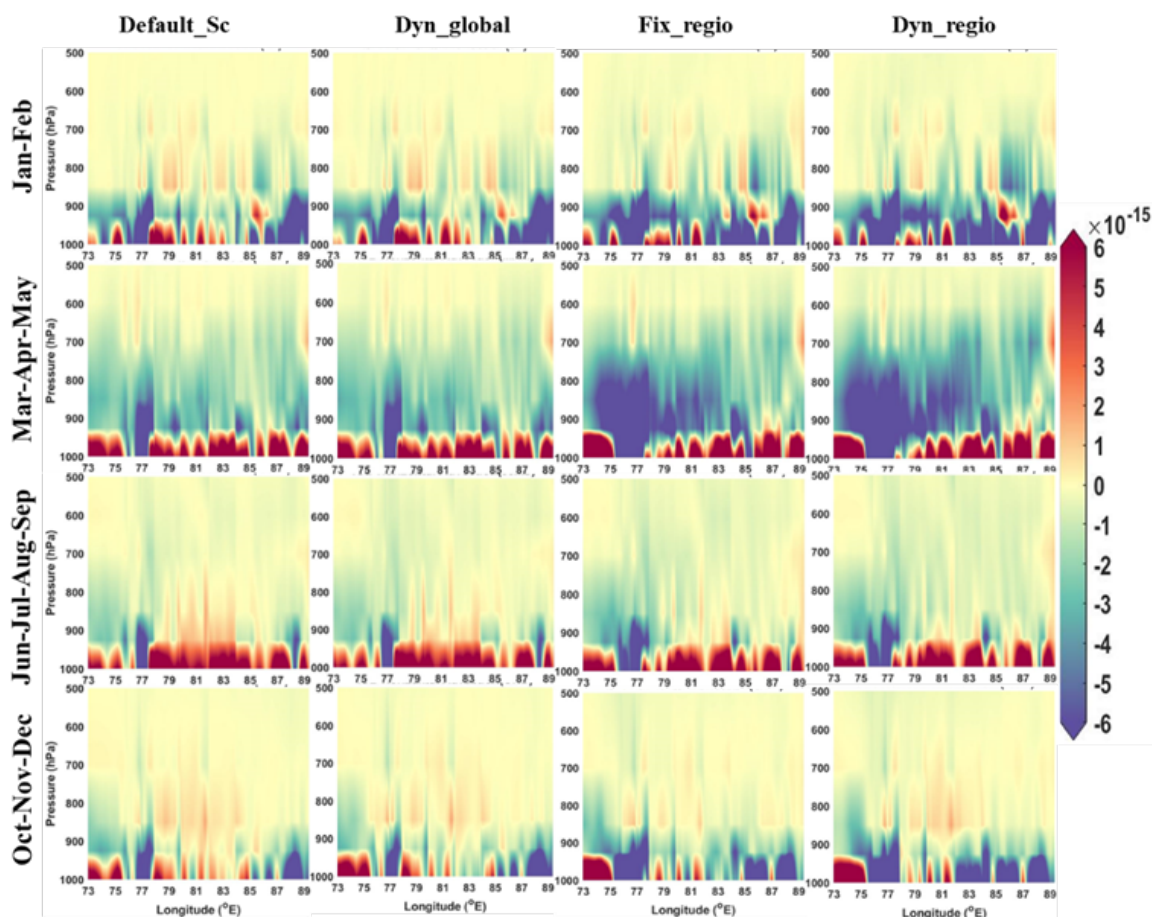


400

401

402 **Figure 5** Seasonal distribution of convective tendency ( $\text{kg kg}^{-1} \text{s}^{-1}$ ) of BC over IGP for four  
 403 distinct experiments.

404



405

406

407 **Figure 6** Seasonal distribution of lateral advection ( $\text{kg kg}^{-1} \text{s}^{-1}$ ) of BC over IGP for four distinct  
 408 experiments.

409

410 Over the PI, the annual concentrations of carbonaceous aerosols (Figure 4) are very low  
 411 than over the IGP, which limits the impact of dynamic ageing because of the lower availability  
 412 of condensing and coagulating particles (relative to the IGP). This results in a slower ageing  
 413 and lower accumulation of hydrophilic tracers in the troposphere. However, the vertical  
 414 pumping effect is quite prominent during the winter season in the augmented configuration  
 415 (Figures S16 and S17). During the pre-monsoon season, only the BC concentration shows an  
 416 increment in the lower troposphere, while the OC concentration remains more or less  
 417 unchanged. The PI receives rainfall during the southwest and northeast monsoon; hence the  
 418 tracer concentration is further lowered during the monsoon and post-monsoon seasons. This is  
 419 further supported by the high relative humidity values over PI during monsoon and post-  
 420 monsoon (Figure S18). The high humidity during JJAS can also influence a comparatively  
 421 high, near surface air temperature (Figure S19) by trapping the radiation. This in turn resulted



422 in a high vertical wind shear (Figure S20) over PI during this season. But the convective  
423 tendency is low for both BC and OC (Figure S21 and S24 respectively).

424 The lower concentration can be, therefore, primarily because of the lower emissions for both  
425 BC and OC (refer to Figure S1). This argument is further supported by lower washout than IGP  
426 (Figure S7 and S8) in spite of high RH values (Figure S24). Since, the convective tendency, as  
427 well as lateral advection for BC, is not playing any major role (as can be seen in Figure S21  
428 and Figure S22 in SI), therefore again concluding the role of lower emissions. In the case of  
429 OC, lateral advection (Figure S23 in SI) and comparatively lower emissions (Figure S1 in SI)  
430 than IGP can be the predominant factors for lower concentration over PI in the presence of  
431 negative convective tendency (Figure S24 in SI).

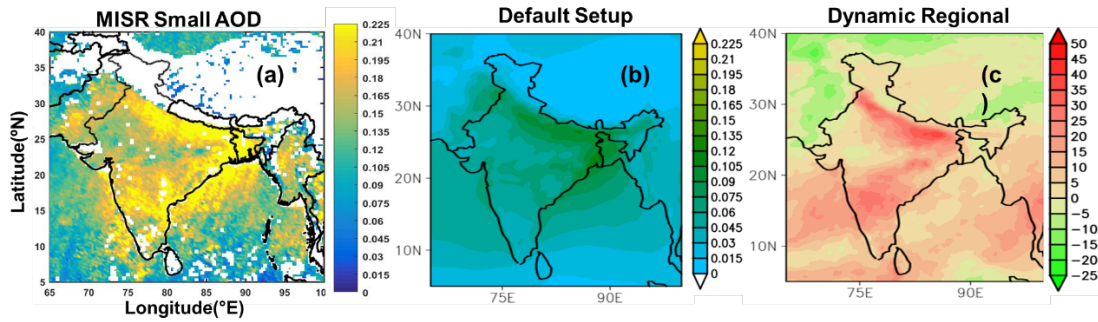
432

### 433 **3.3 Optical and radiative properties of anthropogenic aerosols**

434 We now examine the effects of the model improvements on the optical properties of  
435 anthropogenic aerosols. In this regard, we note that the changes due to the implementation of  
436 the dynamic ageing scheme can alter only BC and OC concentrations, while the changes related  
437 to the emission inventory impact the sulphate concentration as well. We consider the AOD due  
438 to small particles (radius < 0.35  $\mu\text{m}$ ) from the Multiangle Imaging Spectroradiometer, MISR  
439 (Kahn and Gaitley, 2015), as a proxy for anthropogenic AOD (hereafter AAOD) since direct  
440 measurement of AAOD are not available to evaluate our model performance (Figure 7).

441 The simulated annual AAOD is >50 % lower than the MISR small-AOD over the polluted  
442 IGP and 30-50 % lower over the PI in the default model. This is consistent with the previous  
443 studies (Nair et al., 2012). These model underestimations improve by 25-35 % over the IGP  
444 and parts of PI in the augmented model. The seasonal plots (Figure S8) clearly show an increase  
445 in AAOD in all seasons except during the monsoon. This increase in AAOD is due to both the  
446 implementation of region-specific emission fluxes (Nair et al., 2012) and the dynamic ageing  
447 scheme (Ghosh et al., 2021). The AAOD still remains underestimated in some regions, which  
448 can possibly be addressed by further improvements of the emission estimates, for example, the  
449 addition of missing sectors (e.g., crematorium, municipal solid waste burning, etc.), improving  
450 sectoral methodologies for informal activities and incorporation of regionally measured  
451 emission factors.

452



453

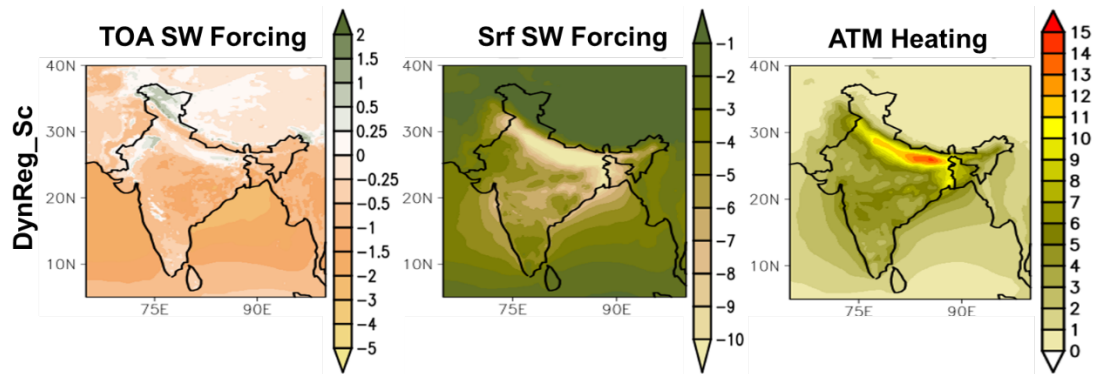
454 **Figure 7** Spatial distribution of (a) MISR small mode AOD (‘white’ color implies ‘no data’),  
 455 (b) AAOD simulated by default\_sc, and (c) percentage increase in AAOD simulated by the  
 456 augmented model w.r.t default\_sc for 2010.

457

458 Spatial patterns of the annual top-of-the-atmosphere (TOA), surface, and atmospheric  
 459 radiative forcing associated with the anthropogenic aerosols for the augmented model are  
 460 shown in Figure 8. Currently, the model does not assume aerosol interaction with clouds;  
 461 therefore, the radiative feedback is mainly governed by direct radiative forcing. Hence,  
 462 secondary effects due to aerosols cannot be considered for the observed values in Fig 8. The  
 463 TOA aerosol radiative forcing lies in the range of  $-0.5$  to  $-1.5 \text{ W m}^{-2}$  over most of the Indian  
 464 landmass, except the IGP, where it is positive ( $0.25$  to  $1 \text{ W m}^{-2}$ ) due to the higher concentration  
 465 of carbonaceous aerosols (Figure 1 and Figure 3), particularly BC. The TOA forcing is also  
 466 positive over the Indian desert and snow-covered regions even when the carbonaceous aerosol  
 467 concentrations are lower or comparable to the rest of India. The high surface albedo in these  
 468 regions allows for an enhanced interaction of the carbonaceous aerosols with solar radiation,  
 469 resulting in a warming effect (Satheesh, 2002). The surface radiative forcing is found to be  
 470 larger than  $-10 \text{ W m}^{-2}$  over the polluted IGP, which is consistent with published results  
 471 (Ramanathan and Carmichael, 2008). Over the rest of India, the surface forcing values lie  
 472 between  $-3$  to  $-8 \text{ W m}^{-2}$ . Due to the model improvements (forcing estimates with the default  
 473 model are shown in Figure 8), the TOA forcing changes by  $-72.75 \%$ , and the surface dimming  
 474 increases by  $39.73 \%$  over the IGP and by  $-23.94 \%$  and  $34.35 \%$ , respectively, over PI. As a  
 475 result, the atmospheric heating increases by  $\sim 9 \text{ W m}^{-2}$  over the IGP. The simulated surface  
 476 shortwave radiation shows a statistically significant ( $p < 0.05$ ) correlation with the observations  
 477 from CERES (Su et al., 2005) all-sky and clear-sky radiation throughout the year except in  
 478 MAM and JJAS clear-sky conditions (Figure S26 and S27). Here we didn’t separate clear and  
 479 cloudy days because the aerosol-cloud interactions are absent in the model. Therefore, the

480 reflection from clouds will also be lower. As a result, contribution to the observed AAOD (in  
481 supplementary figure S25) due to cloud reflections will also be lower. Therefore, AAOD  
482 distribution over IGB is primarily responsible for the surface dimming effect and the resulting  
483 atmospheric heating.

484



485

486 **Figure 8** Annual variation of SW radiative forcing ( $W m^{-2}$ ) at TOA (left column), at the surface  
487 (middle column), and the resultant atmospheric heating ( $W/m^2$ ) (right column) for the  
488 customized set-up.

489

#### 490 **4 Discussion and conclusions**

491 Accurate estimates of emission fluxes and a better representation of aerosol processes are  
492 required to improve the representation of aerosol life-cycle and radiative effects in climate  
493 models. Here we modified the regional climate model RegCM4 by implementing a dynamic  
494 ageing scheme and a regional emission inventory and examined the combined impact of these  
495 factors on the model performance over the Indian monsoon region. We note that though the  
496 aerosol simulations improve due to these model enhancements, some systematic biases persist  
497 (underestimation of carbonaceous aerosol concentrations) and need to be further addressed.  
498 For example, RegCM has a bulk scheme for anthropogenic aerosols, and thus the number  
499 concentration is calculated from the bulk mass concentration (Ghosh et al., 2021). The  
500 anthropogenic aerosol module can thus be improved by including a particle size-dependent  
501 representation. In addition, the present dynamic ageing timescale depends only on the  
502 anthropogenic aerosol number concentration, while it should, in fact, depend on the total  
503 (anthropogenic + natural) number concentrations. The simulations presented in this work did  
504 not include natural aerosols, which could have impacted the meteorology through dynamic  
505 feedback, possibly affecting the carbonaceous aerosol burden. This aspect will be examined in

506 future work. Thirdly, though the emission fluxes of BC, OC, and SO<sub>2</sub> are higher in the region  
507 than the global inventory, there may still be uncertainty related to missing sectoral sources.

508

509 Our work demonstrates that even the improvement of some aspects of the aerosol  
510 representation can lead to substantial enhancements in the model performance. We also find  
511 that over the South Asian monsoon region, particularly over highly polluted regions such as  
512 the IGP, the default model significantly underestimates the surface dimming and atmospheric  
513 heating, which can have implications for climate studies (Das et al., 2016, 2020) and this  
514 problem is substantially ameliorated with our model augmentations.

515 The key conclusions of our work can be summarized as follows.

- 516 1. The conclusion in the model RegCM4 of a dynamic ageing scheme and a regional  
517 emission inventory substantially improves the model performance over the Indian sub-  
518 continent.
- 519 2. The BC and OC surface concentration and column burden increase due to the model  
520 improvements, more so as a combined effect of the two factors than because of the  
521 individual ones.
- 522 3. The TOA, surface, and atmospheric radiative forcing are estimated to be -0.3, -5.3, and  
523 5.0 W m<sup>-2</sup>, respectively, over the polluted IGP using the augmented model, but they  
524 could still be underestimated.

525

526 *Data availability.* The model RegCM4 code is freely available online from  
527 (<https://gforge.ictp.it/gf/project/regcm/>). The anthropogenic aerosol emissions considered for  
528 the simulations are taken from the IIASA inventory. The data used can be easily accessed  
529 online at [http://clima-dods.ictp.it/Data/RegCM\\_Data/RCP\\_EMGLOB\\_PROCESSED/iiasa/](http://clima-dods.ictp.it/Data/RegCM_Data/RCP_EMGLOB_PROCESSED/iiasa/)  
530 website. Input files for the RegCM4 model are archived on [http://clima-  
531 dods.ictp.it/Data/RegCM\\_Data/](http://clima-dods.ictp.it/Data/RegCM_Data/) website. MISR data is available freely from [https://www-  
532 misr.jpl.nasa.gov/](https://www-misr.jpl.nasa.gov/) while MERRA-2 data is freely available from the NASA Giovanni site  
533 <https://giovanni.gsfc.nasa.gov/giovanni/>.

534

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552

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554

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