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2 Ocean biogeochemistry in the Canadian Earth System Model version 5.0.3: CanESM5 and
3 CanESM5-CanOE

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18

19 **Abstract.** The ocean biogeochemistry components of two new versions of the Canadian Earth
20 System Model are presented and compared to observations and other models. CanESM5
21 employs the same ocean biology model as CanESM2 whereas CanESM5-CanOE (“Canadian
22 Ocean Ecosystem model”) is a new, more complex model developed for CMIP6, with multiple
23 food chains, flexible phytoplankton elemental ratios, and a prognostic iron cycle. This new
24 model is described in detail and the outputs (distributions of major tracers such as oxygen,
25 dissolved inorganic carbon, and alkalinity, the iron and nitrogen cycles, plankton biomass, and
26 historical trends in CO₂ uptake and export production) compared to CanESM5 and CanESM2, as
27 well as to observations and other CMIP6 models. Both CanESM5 models show gains in skill
28 relative to CanESM2, which are attributed primarily to improvements in ocean circulation.
29 CanESM5-CanOE shows improved skill relative to CanESM5 for most major tracers at most
30 depths. CanESM5-CanOE includes a prognostic iron cycle, and maintains high nutrient / low
31 chlorophyll conditions in the expected regions (in CanESM2 and CanESM5, iron limitation is
32 specified as a temporally static ‘mask’). Surface nitrate concentrations are biased low in the
33 subarctic Pacific and equatorial Pacific, and high in the Southern Ocean, in both CanESM5 and
34 CanESM5-CanOE. Export production in CanESM5-CanOE is among the lowest for CMIP6
35 models; in CanESM5 it is among the highest, but shows the most rapid decline after about 1980.
36 CanESM5-CanOE shows some ability to simulate aspects of plankton community structure that a
37 single-species model can not (e.g., seasonal dominance of large cells), but is biased towards low
38 concentrations of zooplankton and detritus relative to phytoplankton. Cumulative ocean uptake
39 of anthropogenic carbon dioxide through 2014 is lower in both CanESM5-CanOE (122 PgC) and
40 CanESM5 (132 PgC) models than in observation-based estimates (145 PgC) or the model
41 ensemble mean (144 PgC), ~~and is lower in CanESM5-CanOE (122 PgC) than in CanESM5 (132~~

42 PgC).

43

44 1. Introduction

45

46 The Canadian Centre for Climate Modelling and Analysis has been developing coupled models
47 with an interactive carbon cycle for more than a decade (~~Arora et al., 2009; 2011;~~ Christian et al.,
48 2010; [Arora et al., 2011](#)). The Canadian Earth System Model version 5 (CanESM5, Swart et al.,
49 2019a) is an updated version of CanESM2 (Arora et al., 2011), with a new ocean model based on
50 the Nucleus for European Modelling of the Ocean (NEMO) system version 3.4. The ocean
51 biogeochemistry modules were developed in-house. CanESM5 uses the same ocean biology
52 model as CanESM1 (Christian et al., 2010) and CanESM2 (Arora et al., 2011), the Canadian
53 Model of Ocean Carbon (CMOC; Zahariev et al., 2008). An additional model was developed for
54 CMIP6, called the Canadian Ocean Ecosystem model (CanOE). The biological components of
55 CanOE are of substantially greater complexity than CMOC, including multiple food chains,
56 flexible phytoplankton elemental ratios, and a prognostic iron (Fe) cycle. The two coupled
57 models are known as CanESM5 and CanESM5-CanOE, respectively.

58

59 The reasons for developing both models are, firstly, to evaluate the effect of changes in ocean
60 circulation between CanESM2 and CanESM5 on ocean biogeochemistry by running the new
61 climate model with the same ocean biogeochemistry, and secondly because CanOE is
62 substantially more expensive computationally (~~as it has~~ 19 tracers vs 7, so the total computation
63 ~~cost-time to integrate the ocean model with biogeochemistry~~ is approximately double ~~2-3 times~~
64 greater). Most CMIP6 experiments were run with CanESM5 only, as ocean biogeochemistry is
65 not central to their purpose. Additional tracers requested by the Ocean Model Intercomparison
66 Project - Biogeochemistry (OMIP-BGC) including abiotic and natural dissolved inorganic

67 carbon (DIC), DI^{14}C , CFCs and SF_6 (see Orr et al., 2017) were run only in CanESM5. The
68 CMIP6 experiments published for CanESM5-CanOE are listed in Supplementary Table S1.
69
70 CMOC is a nutrient-phytoplankton-zooplankton-detritus (NPZD) model with highly
71 parameterized representations of phytoplankton Fe limitation, dinitrogen (N_2) fixation and
72 denitrification, and calcification and calcite dissolution (Zahariev et al., 2008; Supplementary
73 Figure S1). CanESM1 and CanESM2 did not include oxygen; CanESM5 includes oxygen as a
74 purely 'downstream' tracer that does not affect other biogeochemical processes. In CanESM5-
75 CanOE, denitrification is prognostic and dependent on the concentration of oxygen. Among the
76 less satisfactory aspects of CMOC biogeochemistry are, firstly, that Fe limitation is specified as a
77 static 'mask' that does not change with climate (it is calculated from the present-day
78 climatological distribution of nitrate, based on the assumption that regions without iron
79 limitation will have complete drawdown of surface nitrate at some point in the year), and
80 secondly, that denitrification is parameterized so that nitrogen (N) is conserved within each
81 vertical column, i.e., collocated with N_2 fixation in tropical and subtropical open-ocean regions
82 (Zahariev et al., 2008; Riche and Christian, 2018). This latter simplification produced excessive
83 accumulations of nitrate in Eastern Boundary Current (EBC) regions where most denitrification
84 occurs. CMOC also has a tendency to produce rather stark extremes of high and low primary and
85 export production (Zahariev et al., 2008), a well-known problem of NPZD models (Armstrong,
86 1994; Friedrichs et al., 2007). Our intent in developing CanOE was to alleviate, or at least
87 reduce, these biases, by including multiple food chains, a prognostic Fe cycle, and prognostic
88 denitrification. Dinitrogen fixation is still parameterized, but the CanOE parameterization
89 includes Fe (but not P) limitation, whereas in CMOC N_2 fixation tends to grow without bound in

90 a warming ocean as CMOC does not include ~~there is no~~-P or Fe limitation (Riche and Christian,
91 2018).

92

93 In this paper we present a detailed model description for CanOE and an evaluation of both
94 CanESM5 and CanESM5-CanOE relative to observational data products and other available
95 models. CMOC has been well described previously (Zahariev et al., 2008) and the details are not
96 reiterated here. In some cases, CanESM2 results are also shown to illustrate which differences in
97 the model solutions arise largely from the evolution of the physical climateocean model, and
98 which are specifically associated with different representations of biogeochemistry. An overall
99 evaluation of the CanESM5 climate including the physical ocean ~~model~~ is given in Swart et al.
100 (2019a). Here, we focus on biogeochemical variables, and have evaluated model performance in
101 three main areas: (1) the distribution of major tracers like oxygen, DIC and alkalinity, and the
102 resulting saturation state for CaCO₃ minerals, (2) the iron cycle and its interaction with the
103 nitrogen cycle, and (3) plankton community structure and the concentration and export of
104 particulates. We first address the major chemical species that are common to both models (and
105 almost all other Earth System Models) to determine whether a more complex biology model
106 measurably improves skill, and whether the updated circulation model improves skill relative to
107 CanESM2. Then we examine the areas where our two models differ: the presence of a prognostic
108 iron cycle and multiple food chains in CanOE. More specifically, does CanESM5-CanOE
109 reproduce the geographic distribution of High-Nutrient, Low-Chlorophyll (HNLC) regions?
110 Does the large phytoplankton / large zooplankton food chain become dominant under nutrient-
111 rich conditions, and how does having multiple detrital size classes affect particle flux and
112 remineralization length scale? Following this model evaluation, we present historical trends in

113 ocean anthropogenic CO₂ uptake, export production, and total volume of low-oxygen waters
114 over the historical (1850-2014) experiment. Possible future changes under Shared
115 Socioeconomic Pathway experiments will be addressed in subsequent publications.

116

117 **2. Model Description**

118

119 CanESM5 (Swart et al., 2019a) is an updated version of CanESM2 (Arora et al., 2011), with an
120 entirely new ocean. The atmosphere model has the same T63 horizontal resolution, and contains
121 some important improvements in atmospheric physics (Swart et al., 2019a). The land surface
122 (Canadian Land Surface Scheme) and terrestrial carbon cycle (Canadian Terrestrial Ecosystem
123 Model) models are substantially the same as in CanESM2 with minor modifications as described
124 by Arora et al. (2020). The CanESM5 ocean is based on the NEMO modelling system version
125 3.4, with a horizontal resolution of 1°, telescoping to 1/3° in the tropics, and 45 vertical levels
126 ranging in thickness from ~6 m near the surface to ~250 m in the deep ocean (Swart et al.,
127 2019a). All physical climate model components are the same in CanESM5 and CanESM5-
128 CanOE. There are no feedbacks between biology and the physical ocean model, so the physical
129 climate of CanESM5 and CanESM5-CanOE is identical in experiments with prescribed
130 atmospheric CO₂ concentration.

131

132 The NEMO system is a publicly available archive of codes based on the OPA (Océan
133 PARallelisé) ocean model (Madec and Imbard, 1996; Guilyardi and Madec, 1997) and the
134 Tracers in Ocean Paradigm (TOP) module for tracer advection and mixing. Our ocean

135 biogeochemistry modules are built within TOP, using NEMO v3.4.1, but have also been
136 implemented in NEMO 3.6 for regional downscaling applications (Holdsworth et al., 2021).
137
138 Carbon chemistry is based on the Best Practices Guide (Dickson et al., 2007) and the OMIP-
139 BGC data request (Orr et al., 2017) and are identical in CanESM5 and CanESM5-CanOE. All
140 calculations are done on the total scale and the recommended formulae for the equilibrium
141 constants are employed. The carbon chemistry solver was run for a fixed number of iterations
142 (ten in the surface layer, and five in the subsurface layers in CanESM5-CanOE). CanESM5 does
143 not solve the carbon chemistry equations in the subsurface layers. OMIP-BGC formulations for
144 CO₂ and O₂ solubility and gas exchange are employed. It is important to note here that the
145 carbon chemistry and gas exchange formulations used in CanESM2 (and other CMIP5 models)
146 are slightly different than those used in CMIP6. However, this difference is of little functional
147 significance, i.e., it will have a negligible impact on the distribution of [CO₃²⁻] compared to the
148 differences in DIC and alkalinity distribution. The initialization fields for nitrate, DIC and
149 alkalinity were also different in CanESM2. This will affect the total ocean inventory of DIC but
150 not the spatial distribution if the model is well equilibrated.

151

152 The CanOE biology model is ~~a substantially new model~~ based on the cellular regulation model
153 of Geider et al. (1998). There are two phytoplankton size classes, and each group has four state
154 variables: C, N, Fe and chlorophyll. Photosynthesis is decoupled from cell production and
155 photosynthetic rate is a function of the cell's internal N and Fe quotas. Each functional group has
156 a specified minimum and maximum N quota and Fe quota, and nutrient uptake ceases when the
157 maximal cell quota is reached. Chlorophyll synthesis is a function of N uptake and increases at

158 low irradiance. There are also two size classes each of zooplankton and detritus. Small
 159 zooplankton graze on small phytoplankton, while large zooplankton graze on both large
 160 phytoplankton and small zooplankton. Small detritus sinks at 2 m d⁻¹ and large detritus at 30
 161 m d⁻¹ (in CanESM5 there is a single detrital pool with a sinking rate of 8 m d⁻¹). Model
 162 parameters and their values are listed in Table 1. A schematic of the model is shown in Figure 1.

163

164 **2.1 Photosynthesis and Phytoplankton Growth**

165

166 For simplicity and clarity, the equations are shown here for a single phytoplankton species, and
 167 do not differ structurally for small and large phytoplankton. Some parameter values differ for the
 168 two phytoplankton groups; all parameter values are listed in Table 1.

169

170 Temperature dependence of photosynthetic activity is expressed by the Arrhenius equation

$$171 \quad T_f = \exp\left(-\frac{E_{ap}}{R} \left(\frac{1}{T} - \frac{1}{T_{ref}}\right)\right) \quad (1)$$

172 where E_{ap} is an enzyme activation energy that corresponds approximately to that of RuBisCo (cf.

173 Raven and Geider 1988), R is the gas constant (8.314 J mol⁻¹ K⁻¹), and temperature T and

174 reference temperature T_{ref} are in Kelvin. Maximal rates of nutrient (either N or Fe, but

175 generically referred to here with the superscript X) uptake are given by

$$176 \quad V_{max}^X = V_{ref}^X T_f \left(\frac{Q_{max}^X - Q^X}{Q_{max}^X - Q_{min}^X}\right)^{0.05} \quad (2)$$

177 where V_{max}^X is the maximal uptake rate in mg of nutrient X per mg of cell C, X can represent N

178 or Fe, Q is the nutrient cell quota and Q_{min} and Q_{max} its minimum and maximum values, and V_{ref}^X

179 is a (specified) basal rate at $T=T_{ref}$ and $Q=Q_{min}$. These maximum rates are then reduced according
 180 to the ambient nutrient concentration, i.e.

$$181 \quad V^N = V_{max}^N (L_{NH4} + (1 - L_{NH4})L_{NO3}) \quad (3a)$$

182 where $L_{NH4} = \frac{N_a}{K_{NaX} + N_a}$ and $L_{NO3} = \frac{N_i}{K_{NiX} + N_i}$, with N_i and N_a indicating nitrate and ammonium

183 respectively, and

$$184 \quad V^{Fe} = V_{max}^{Fe} \left(\frac{Fe}{K_{FeX} + Fe} \right) \quad (3b)$$

185 where X indicates large or small phytoplankton (Table 1). The maximal carbon-based growth
 186 rate is given by

$$187 \quad P_{max}^C = P_{ref}^C T_f \min \left\{ \frac{Q^N - Q_{min}^N}{Q_{max}^N - Q_{min}^N} \cdot \frac{Q^{Fe} - Q_{min}^{Fe}}{Q_{max}^{Fe} - Q_{min}^{Fe}} \right\} \quad (4)$$

188 where P_{ref}^C is the rate at the reference temperature T_{ref} under nutrient-replete conditions
 189 ($Q=Q_{max}$). The light-limited growth rate is then given by

$$190 \quad P_{phot}^C = P_{max}^C \left(1 - e^{-\alpha_{chl} E \theta_C / P_{max}^C} \right) \quad (5)$$

191 where E is irradiance and θ_C is the chlorophyll-to-carbon ratio. The rate of chlorophyll synthesis
 192 is

$$193 \quad \rho_{chl} = \theta_{max}^N \frac{P_{phot}^C}{E \alpha_{chl} \theta} \quad (6)$$

194 These rates are then used to define a set of state equations for phytoplankton carbon (C_p),
 195 nitrogen (N_p), iron (Fe_p), and chlorophyll (M).

$$196 \quad \frac{dC_p}{dt} = (P_{phot}^C - \zeta V_N) C_p - (G + C_{XS}) - m_1 C_p - m_2 C_p^2 - k_{XU} C_{INTR} \quad (7)$$

197 where ζ is the respiratory cost of biosynthesis, G is the grazing rate (equation 12), C_{XS} is the
 198 excess (above the ratio in grazer biomass) carbon in grazing losses (see below equation 16a), m_1

199 and m_2 are coefficients for linear and quadratic nonspecific mortality terms, C_{INTR} is the
 200 concentration of intracellular carbohydrate carbon in excess of biosynthetic requirements, and
 201 k_{XU} is a rate coefficient for its exudation to the environment. The nonspecific mortality terms are
 202 set to 0 below $0.01 \text{ mmol C m}^{-3}$, to prevent biomass from being driven to excessively low levels
 203 in the high latitudes in winter; linear mortality terms can result in biomass declining to levels
 204 from which recovery would take much longer than the brief Arctic summer (Hayashida, 2018).
 205 The full equation for phytoplankton N, Fe and chlorophyll are

$$206 \quad \frac{dN_p}{dt} = \frac{V^N}{Q_N} - (G + m_1 C_p + m_2 C_p^2) R_{NC} - N_{XS} \quad (8)$$

$$207 \quad \frac{dFe_p}{dt} = \frac{V^{Fe}}{Q_{Fe}} - (G + m_1 C_p + m_2 C_p^2) R_{FeC} - Fe_{XS} \quad (9)$$

$$208 \quad \frac{dM}{dt} = \frac{\rho_{chl} V^N}{\theta_C} M - (G + m_1 C_p + m_2 C_p^2) \theta_C - k_{dgr} M \quad (10)$$

209 where k_{dgr} is a rate coefficient for nonspecific losses of chlorophyll e.g., by photooxidation, in
 210 addition to losses to grazing and other processes that also affect C_p , N_p , and Fe_p . N_{XS} and Fe_{XS} are
 211 remineralization of "excess" (relative to grazer or detritus ratios) N or Fe and are defined below
 212 (equation 16).

213

214 **2.2 Grazing and Food Web Interactions**

215

216 Grazing rate depends on the phytoplankton carbon concentration, which most closely represents
 217 the food concentration available to the grazer (Elser and Urabe 1999; Loladze et al. 2000).

218 Zooplankton biomass is also in carbon units. State equations for small and large zooplankton are

$$219 \quad \frac{dZ_s}{dt} = \lambda G_s - (R + G_Z + m_{1s} Z_s + m_{2s} Z_s^2) \quad (11a)$$

220 $\frac{dZ_L}{dt} = \lambda G_L - (R + m_{1L}Z_L + m_{2L}Z_L^2)$ (11b)

221 where

222 $G_S = G_{S0}(1 - e^{-a_s C_{ps}})Z_S$ (12a)

223 $G_L = G_{L0}(1 - e^{-a_l(C_{pl}+Z_s)})Z_L$ (12b)

224 for small and large zooplankton respectively, G_Z is grazing of small zooplankton by large
 225 zooplankton, R is respiration, and m_1 and m_2 are nongrazing mortality rates. Large zooplankton
 226 grazing is divided into grazing on large phytoplankton and small zooplankton in proportion to
 227 the relative abundance of each

228 $G_P = G_L \frac{P_l}{P_l+Z_s}$ (13a)

229 $G_Z = G_L \frac{Z_s}{P_l+Z_s}$ (13b)

230 Zooplankton biomass loss to respiration is given by

231 $R = \max\{r_z T_f Z - C_{XS}, 0\}$ (14)

232 and uses the same activation energy as photosynthesis. Respiration (R) is assumed to consume
 233 only carbon and not result in catabolism of existing biomass when “excess” carbon is available
 234 in the prey. In addition, conservation of mass must be maintained by recycling to the dissolved
 235 pool grazer consumption of elements in excess of biosynthetic requirements when grazer and
 236 prey elemental ratios differ. In the case where the nutrient quota (relative to carbon) exceeds the
 237 grazer fixed ratio, the excess nutrient is remineralized to the dissolved inorganic pool. In the case
 238 where the nutrient quota is less than the grazer ratio, the grazer intake is reduced to what can be
 239 supported by the least abundant nutrient (relative to the grazer biomass ratio) and excess carbon
 240 is remineralized. For the case of two nutrients (in this case N and Fe) it is necessary to define

241 $G' = G \min \left\{ \frac{N_P}{C_P} R_{CN}, \frac{Fe_P}{C_P} R_{CFe}, 1 \right\}$ (15)

242 where G is equal to G_S (equation 12a) for small zooplankton and G_P (equation 13a) for large
 243 zooplankton, and R_{XY} indicates the fixed ratio of element X to element Y in grazer biomass. The
 244 'excess' carbon available for respiration is

245 $C_{XS} = G' \left\{ \frac{C_P}{N_P} R_{NC} - 1, \frac{C_P}{Fe_P} R_{FeC} - 1, 0 \right\}$ (16a)

246 and the excess nutrients remineralized to their inorganic pools are

247 $N_{XS} = G' \max \left\{ \frac{N_P}{C_P} - R_{NC}, 0 \right\} \varepsilon + G' \max \left\{ R_{NC} \left(\frac{N_P}{Fe_P} R_{FeN} - 1 \right), 0 \right\} (1 - \varepsilon)$ (16b)

248 $Fe_{XS} = G' \max \left\{ \frac{Fe_P}{C_P} - R_{FeC}, 0 \right\} \varepsilon + G' \max \left\{ R_{FeC} \left(\frac{Fe_P}{N_P} R_{NFe} - 1 \right), 0 \right\} (1 - \varepsilon)$ (16c)

249 where

250 $\varepsilon = \frac{\max\{C_{XS}, 0\}}{C_{XS} + \Delta}$

251 is a switch to prevent double-counting in cases where one of the terms is redundant (the excess
 252 relative to the least abundant element is included in the other term), but would otherwise be
 253 nonzero (Δ is a constant equal to 10^{-15} , to prevent divide-by-zero). For three elements, there are
 254 $3! = 6$ possible cases: for N greater or less than $C_P R_{NC}$, Fe may be either in excess relative to
 255 both C and N , deficient relative to both, or in excess relative to one but not the other (Table 2).

256

257 Table 2 - Cases where the 'excess' terms are nonzero. These terms are always greater than or
 258 equal to zero, and always zero when the phytoplankton elemental ratio is equal to the grazer bio-
 259 mass ratio. A plus (+) sign indicates that a specific term is positive. N_1 and N_2 , Fe_1 and Fe_2 indi-
 260 cate the first and second terms in equations 16b and 16c. R_{NC} is the grazer N/C (Redfield) ratio.

		Fe in excess relative to both C and N					Fe in excess relative to C or N but not both					Fe deficient relative to both C and N				
		C	N_1	N_2	Fe_1	Fe_2	C	N_1	N_2	Fe_1	Fe_2	C	N_1	N_2	Fe_1	Fe_2

N/C > R _{NC}		+		+			+		+		+		+		
N/C < R _{NC}	+				+	+				+	+		+		

261

262 2.3 Organic and Inorganic Pools

263

264 There are two pools of detritus with different sinking rates but the same fixed elemental ratios.

265 Detrital C/N/Fe ratios are the same as zooplankton, so zooplankton mortality or grazing of small

266 zooplankton by large zooplankton produce no 'excess'. Phytoplankton mortality, and defecation

267 by zooplankton grazing on phytoplankton, produces excess nutrient or excess C that needs to be

268 recycled into the inorganic pool in a similar fashion as outlined above for the assimilated fraction

269 of grazing on phytoplankton.

270 The conservation equations for detrital C are

$$271 \frac{dD_s}{dt} = m_1(C_{ps} + Z_s) + m_2(C_{ps}^2 + Z_s^2) - r_1 D_s T_g - w_s \frac{dD_s}{dz} \quad (17a)$$

$$272 \frac{dD_l}{dt} = m_1(C_{pl} + Z_l) + m_2(C_{pl}^2 + Z_l^2) - r_2 D_l T_g - w_l \frac{dD_l}{dz} \quad (17b)$$

273 where T_g is an Arrhenius function for temperature dependence of remineralization and w is the

274 sinking speed. The conservation equations for inorganic C, N, and Fe are

$$275 \frac{dC_i}{dt} = (\zeta V^N - P_{\text{phot}}^C) C_p + R + C_{XS} + (r_1 D_s + r_2 D_l) T_g \quad (18a)$$

$$276 \frac{dN_i}{dt} = -\frac{V^N}{Q^N} N_p \left(\frac{L_{NO_3}}{L_{NO_3} + L_{NH_4}} \right) + N_{ox} - N_{dentr} (1 - A_f) \quad (18b)$$

$$277 \frac{dN_a}{dt} = -\frac{V^N}{Q^N} N_p \left(\frac{L_{NH_4}}{L_{NO_3} + L_{NH_4}} \right) + \frac{R}{R_{CN}} + N_{XS} + (r_1 D_s + r_2 D_l) R_{NC} T_g - N_{ox} + N_{dnf} - N_{dentr} A_f \quad (18c)$$

$$278 \frac{dFe}{dt} = \frac{V^{Fe}}{Q^{Fe}} Fe_p + \frac{R}{R_{CFe}} + Fe_{XS} + (r_1 D_s + r_2 D_l) R_{FeC} T_g \quad (18d)$$

279 where N_{ox} is microbial oxidation of ammonium to nitrate (nitrification), N_{dnf} and N_{dentr} are

280 sources and sinks associated with dinitrogen fixation and denitrification, and A_f is the ammonium

281 fraction of denitrification losses, associated with anaerobic ammonium oxidation ("anammox").

282 The oxygen equation is essentially the inverse of equation 18a, with additional terms for

283 oxidation and reduction of N, i.e.,

$$284 \quad \frac{dO_2}{dt} = -\frac{dC_i}{dt} + 2\frac{V^N}{Q^N}N_p\left(\frac{L_{NO_3}}{L_{NO_3}+L_{NH_4}}\right) - 2N_{ox} \quad (19)$$

285 Nitrification is given by

$$286 \quad N_{ox} = k_{NH_4ox}N_a\frac{K_E}{K_E+E(z)} \quad (20)$$

287 where $E(z)$ is the layer mean irradiance at depth z . Dinitrogen fixation is parameterized as an

288 external input of ammonium dependent on light, temperature and Fe availability, and inhibited

289 by high ambient concentrations of inorganic N,

$$290 \quad N_{dnf} = k_{dnf}T_{dnf}(1 - e^{-aE})\left(\frac{Fe}{K_{Fe}+Fe}\right)\left(\frac{K_{NO_3}}{K_{NO_3}+N_i+N_a}\right) \quad (21)$$

291 where $T_{dnf} = \max(0, 1.962(T_f - 0.773))$, i.e., a linear multiple of equation (1) that is 0 at $T < 20^\circ\text{C}$

292 and unity at $T = 30^\circ\text{C}$. The temperature, iron and light limitation terms are based on PISCES

293 (Aumont et al., 2015); the N-inhibition term is from CMOC (Zahariev et al., 2008) (CMOC

294 implicitly combines nitrate and ammonium into a single inorganic N pool).

295

296 Denitrification is parameterized as a fraction of total remineralization that increases as a linear

297 function of oxygen concentration for concentrations less than a threshold concentration O_{mxd}

$$298 \quad N_{fixn} = 1 - \frac{\min(O_2, O_{mxd})}{O_{mxd}} \quad (22)$$

299 Remineralization is then divided among oxygen ($1 - N_{fixn}$), nitrate ($0.875N_{fixn}$), and ammonium

300 ($0.125N_{fixn}$) assuming an average anammox contribution of 25% (Babbin et al., 2014). We use

301 this average ratio of anammox to classical denitrification to partition fixed N losses between

302 NO_3^- and NH_4^+ ; the DIC sink and organic matter source associated with anammox are small and
303 are neglected here.

304

305 **2.4 Calcification, Calcite Dissolution, and Alkalinity**

306

307 In CanOE, calcification is represented by a prognostic detrital calcite pool with its own sinking
308 rate (distinct from that of organic detritus), and calcite burial or dissolution in the sediments
309 depends on the saturation state (100% burial when $\Omega_C \geq 1$, 100% dissolution when $\Omega_C < 1$).

310 Calcification is represented by a detrital calcium carbonate (CaCO_3) state variable, but no
311 explicit calcifier groups. Detrital CaCO_3 sinks in the same fashion as detrital particulate organic
312 carbon (POC), with a sinking rate independent of those for large and small organic detritus.

313 Calcite production is represented as a fixed fraction of detritus production from small
314 phytoplankton and small zooplankton mortality:

$$315 \frac{dCa}{dt} = m_1(C_{ps} + Z_s)P_{Ca} + m_2(C_{ps}^2 + Z_s^2)P_{Ca} - k_{Ca}Ca - w_{Ca} \frac{dCa}{dz} \quad (23)$$

316 Calcite dissolution occurs throughout the water column as a first order process (i.e., no
317 dependence on temperature or saturation state). Approximately 80% of calcite produced is
318 exported from the euphotic zone. [In CanESM5-CanOE](#), [B](#)burial in the sediments is represented
319 as a simple 'on/off' switch dependent on the calcite saturation state (zero when $\Omega_C < 1$ and 1 when
320 $\Omega_C \geq 1$). In CanESM5, calcification is parameterized by a temperature dependent “rain ratio”
321 (Zahariev et al., 2008) and 100% burial of calcite that reaches the seafloor is assumed. Calcite
322 burial in both models is balanced by an equivalent source of DIC and alkalinity at the ocean
323 surface (in the same vertical column) as a crude parameterization of fluvial sources.

324

325 For each mole of calcite production, two moles of alkalinity equivalent are lost from the
326 dissolved phase; the reverse occurs during calcite dissolution. There are additional sources and
327 sinks for alkalinity associated with phytoplankton nutrient (NH_4^+ , NO_3^-) uptake, organic matter
328 remineralization, nitrification, denitrification and dinitrogen fixation (Wolf-Gladrow et al., 2007,
329 see Supplementary Table S2). The anammox reaction does not in itself contribute to alkalinity
330 (Jetten et al., 2001), but there is a sink associated with ammonium oxidation to nitrite (the model
331 does not distinguish between nitrite and nitrate). Autotrophic production of organic matter by
332 anammox bacteria is a net source of alkalinity (Strous et al., 1998), but this source is extremely
333 small (~ 0.03 mol/molN) and is neglected here. Globally, the sources and sinks of alkalinity from
334 the N cycle offset each other such that there is no net gain or loss as long as the global fixed N
335 pool is conserved (see below Sect. 2.5). If dinitrogen fixation and denitrification are allowed to
336 vary freely, there will generally be a net gain or loss of fixed N and, therefore, of alkalinity.

337

338 **2.5 External Nutrient Sources and Sinks**

339

340 External sources and sinks consist of river inputs, aeolian deposition, biological N_2 fixation,
341 denitrification, mobilization of Fe from reducing sediments, loss of Fe to scavenging, and burial
342 of calcium carbonate in the sediments. There is no burial of organic matter; organic matter
343 reaching the seafloor is instantaneously remineralized. Aeolian deposition of Fe is calculated
344 from a climatology of mineral dust deposition generated from offline (atmosphere-only)
345 simulations with CanAM4 (von Salzen et al., 2013), with an Fe mass fraction of 5% and a
346 fractional solubility of 1.4% in the surface layer. Subsurface dissolution is parameterized based

347 on PISCESv2 (Aumont et al., 2015); the total dissolution is 6.35%, with 22% of soluble Fe input
 348 into the first vertical layer (see Supplementary material). Iron from reducing sediments is also
 349 based on PISCES, with a constant areal flux of 1000 nmol m⁻² d⁻¹ in the first model level,
 350 declining exponentially with increasing seafloor depth (i.e., assuming that shelf sediments are the
 351 strongest source and the sediments become progressively more oxygenated with increasing
 352 seafloor depth) with an e-folding length scale of about 200-600 m. Scavenging of dissolved iron
 353 is first-order with a high rate (2.5 d⁻¹) for concentrations in excess of 0.6 nM (Johnson et al.,
 354 1997). For concentrations below this threshold, the rate is much lower (0.001 d⁻¹) and is
 355 weighted by the concentration of organic detritus (Christian et al., 2002b), i.e.,

$$356 \frac{dFe}{dt} = -FeS_{Fe1} \min\{(D_S + D_L)P_{Fe}, 1\} \quad (24)$$

357 where Fe is the dissolved iron concentration, D_S and D_L are the small and large detritus
 358 concentrations, S_{Fe1} is the first-order scavenging rate in surface waters with abundant
 359 particulates, and P_{Fe} is an empirical parameter to determine the dependence on particle
 360 concentration (Table 1). The basis for this parameterization is that the rate of scavenging must
 361 depend not only on the concentration of iron but on the concentration of particles available for it
 362 to precipitate onto, and assumes that detrital POC is strongly positively correlated with total
 363 particulate matter. Scavenging is treated as irreversible, i.e., scavenged Fe is not tracked and
 364 does not reenter the dissolved phase.

365
 366 N₂ fixation and denitrification vary independently in CanOE, so the global total N pool can
 367 change. Conservation is imposed by adjusting the global total N pool according to the difference
 368 between the gain from N₂ fixation and the loss to denitrification. A slight adjustment is applied
 369 to the nitrate concentration at every grid point, while preserving the overall spatial structure of

370 the nitrate field. Adjustments are multiplicative rather than additive to avoid producing negative
371 concentrations. This adjustment does not maintain (to machine precision) a constant global N
372 inventory but is intended to minimize long term drift, keeping it much smaller than the free
373 surface error (see below). This adjustment is applied every 10 days and has a magnitude of
374 approximately 7×10^{-8} of the total N.

375
376 When the total fixed N adjustment is applied, one mole of alkalinity is added (~~removed~~) per mole
377 of N removed (~~added~~) ~~or removed~~, to account for the alkalinity sources associated with N₂
378 fixation (creation of new NH₄⁺) and denitrification (removal of NO₃⁻) (Wolf-Gladrow et al.,
379 2007, see Supplementary Table S2). As there is a 2 mol/molN sink associated with nitrification,
380 this formulation is globally conservative. As noted above, in CanOE CaCO₃ can dissolve or be
381 buried in the sediments depending on the calcite saturation state. DIC and alkalinity lost to burial
382 are reintroduced at the ocean surface, at the same grid point as burial occurs, providing a crude
383 parameterization of river inputs so that global conservation is maintained (fresh water runoff
384 contains no DIC or alkalinity). However, the OPA free surface formulation is inherently
385 imperfect with regard to tracer conservation. Drift in total ocean alkalinity and nitrogen over
386 time is on the order of 0.01% and 0.03% per thousand years, respectively (~~losses due to the free~~
387 ~~surface are generally larger for tracers with less homogeneous distributions).~~

388

389 **2.6 Ancillary data**

390

391 For first-order model validation we have relied largely on global gridded data products rather
392 than individual profile data. Global gridded data from World Ocean Atlas 2018 (WOA2018)

393 (Locarnini et al., 2018; Zweng et al., 2018; Garcia et al., 2018a; 2018b) were used for
394 temperature, salinity, and oxygen and nitrate concentration. DIC and alkalinity were taken from
395 the GLODAPv2.2016b gridded data product (Key et al., 2015; Lauvset et al., 2016). Offline
396 carbon chemistry calculations were done following the Best Practices Guide (Dickson et al.,
397 2007) and the OMIP-BGC protocols (Orr et al., 2017), and are identical to those used in the
398 models except that constant reference concentrations were used for phosphate (1 μM) and
399 silicate (10 μM).

400

401 There is no global gridded data product for Fe, but we have made use of the GEOTRACES
402 Intermediate Data Product 2017 (Schlitzer et al., 2018), and the data compilations from MBARI
403 (Johnson et al., 1997; 2003) and PICES Working Group 22 (Takeda et al., 2013). The latter two
404 are concentrated in the Pacific, while GEOTRACES is more global. The combined data sets
405 provide more than 10000 bottle samples from more than 1000 different locations (Supplementary
406 Figure [S9S10a](#)) (excluding some surface transect data that involve frequent sampling of closely
407 spaced locations along the ship track). More detail about model comparison to these data
408 compilations and the list of original references are given in the Supplementary information.

409

410 Satellite ocean colour estimates of surface chlorophyll were taken from the combined
411 SeaWiFS/MODIS climatology described by Tesdal et al. (2016). Climatological satellite POC
412 was downloaded from the NASA ocean colour web site and is based on the algorithm of
413 Stramski et al. (2008) using MODIS-Aqua data. This climatology differs slightly from the
414 chlorophyll one in terms of years included and sensors utilized, but as only climatological
415 concentrations are considered and each climatology covers ~ 15 years, these differences will have

416 negligible effect on the results presented. Satellite chlorophyll concentrations greater than 1 mg
417 m⁻³ were excluded as these are mostly associated with coastal regions not resolved by coarse-
418 resolution global ocean models.

419

420 CMIP6 model data were regridded by distance-weighted averaging using the Climate Data
421 Operators (<https://code.mpimet.mpg.de/projects/cdo/>) to a common grid (2x2°, 33 levels) to
422 facilitate ensemble averaging. The vertical levels used are those used in GLODAP and in earlier
423 (through 2009) versions of the World Ocean Atlas (e.g., Locarnini et al., 2010). For large scale
424 tracer distributions, using a 1° or 2° grid makes little difference (for example, the spatial pattern
425 correlation between CanESM5 and observed oxygen concentration at specific depths on a 1° or
426 2° grid differs by an average of 0.0011). The years 1986-2005 of the Historical experiment were
427 averaged into climatologies or annual means, for meaningful comparison with observation-based
428 data products. The CMIP6 Historical experiment runs from 1850-2014 with atmospheric CO₂
429 concentration (and other atmospheric forcings) based on historical observed values. A single
430 realization was used in each case (see Table S3); ~~as~~ 20 year averages are used to minimize the
431 effect of ~~internal variability is assumed to have little effect~~ (e.g., Arguez and Vose, 2011, see
432 Table S4). Where time series are shown, 5-year means are used.

433

434 Sampling among CMIP6 models was somewhat opportunistic, and the exact suite of models
435 varies among the analyses presented. When we conducted a search for a particular data field, we
436 included in the search parameters all models that published that field, and repeated the search at
437 least once for models that were unavailable the first time the search was executed. In some cases,
438 model ensemble means excluded all but one model from a particular ‘family’ (e.g., there are

439 three different MPI-ESM models for which ocean biogeochemistry fields were published), as the
440 solutions were found to be similar and would bias the ensemble mean towards their particular
441 climate. The models used are ACCESS-ESM1-5, CESM2, CESM2-WACCM, CNRM-ESM2-1,
442 GFDL-CM4, GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM-1-2-HAM, MPI-
443 ESM1-2-LR, MPI-ESM1-2-HR, MRI-ESM2-0, NorESM2-LM, NorESM2-MM, and UKESM1-
444 0-LL. Details of which variables and realizations are used for which models are given in
445 Supplementary Table S3.

446

447 **3. Results**

448

449 We first describe ~~here~~ the large-scale distribution of oxygen, DIC, alkalinity, and the saturation
450 state with respect to CaCO_3 that derives from these large-scale tracer distributions. Tracer
451 distributions result partly from ocean circulation and partly from biogeochemical processes. An
452 overall evaluation of the ocean circulation model is given in Swart et al. (2019a). Analyzing
453 CanESM5 and CanESM5-CanOE (with identical circulation) as well as CanESM2 where
454 possible (same biogeochemistry as CanESM5 but different circulation) allows us to separate the
455 effects of physical circulation and biogeochemistry on evolving model skill with respect to large-
456 scale tracer distributions. In subsequent sections we address the main areas where CanESM5 and
457 CanESM5-CanOE differ, such as the interaction of the iron and nitrogen cycles and plankton
458 community structure. Finally, we present some temporal trends over the course of the historical
459 experiment (1850-2014).

460

461 **3.1 Distribution of oxygen**

462

463 The spatial distribution of oxygen concentration ($[O_2]$) at selected intermediate depths (400, 900,
464 and 1400 m) is shown in Figure 2 for gridded data from WOA2018 and differences from that
465 observational data product ~~for~~ CanESM5, CanESM5-CanOE, a model ensemble mean (MEM)
466 of CMIP6 models (excluding CanESM5 and CanESM5-CanOE) ~~from the observational data~~
467 ~~product~~. The depths were chosen to span the depth range where low oxygen concentrations
468 exist; these low-oxygen environments are of substantial scientific and societal interest and are
469 sensitive to model formulation. The major features are consistent across the models. Both
470 CanESM models as well as the MEM show elevated oxygen concentrations relative to
471 observations, particularly in the North Pacific, the North Atlantic and the Southern Ocean. In the
472 Indian Ocean, both CanESM models show high oxygen concentrations in the Arabian Sea and
473 deeper layers of the Bay of Bengal relative to observations and the MEM; these biases are
474 somewhat smaller in CanESM5-CanOE than in CanESM5 (Figure 2).

475

476 The ocean's oxygen minimum zones (OMZs) are mostly located in the eastern Pacific Ocean, the
477 northern North Pacific, and the northern Indian Ocean; the spatial pattern changes with
478 increasing depth (Figure 2), but the OMZs are mostly located between 200 and 2000 m depth.
479 Biases in the EBC regions are depth and model specific. CanESM5 shows particularly strong
480 oxygen depletion at 1400 m in the eastern tropical Pacific. In the southeastern Atlantic, models
481 tend to be biased low at the shallower depths, and show somewhat more variation at greater
482 depths (Figure 2). Overall, $[O_2]$ biases tend to be positive over large areas of ocean with the
483 exception of some EBC regions, implying that models exaggerate the extent to which
484 remineralization is concentrated in these regions. An alternate version of Figure 2 that shows the

485 modelled concentrations is given in Supplementary Figure S2.

486

487 The zonal mean oxygen concentration, saturation concentration, and apparent oxygen utilization
488 (AOU) are shown in Figure 3 for the same four cases. Again, the models generally show a
489 positive bias in $[O_2]$, particularly in high-latitude deep waters. The major ocean circulation
490 features are reproduced fairly well in all cases (e.g., weaker ventilation of low-latitude
491 subsurface waters, greater vertical extent of well-ventilated surface waters in the subtropics). The
492 saturation concentration (a function of temperature and salinity) generally shows relatively little
493 bias, implying that the bias in $[O_2]$ arises mainly from remineralization and/or ventilation. AOU
494 is lower than observed over much of the subsurface ocean. ~~Regional biases are quite consistent~~
495 ~~across models, but are slightly greater in~~ CanESM5 ~~than in and~~ CanESM5-CanOE show a high
496 bias over much of the Northern Hemisphere that reflects the high concentrations in the North
497 Pacific and North Atlantic (Figure 2). The overall trend of bias with latitude in CanESM5 and
498 CanESM5-CanOE or the is generally similar to the MEM, but the biases are larger. The bias in
499 CanESM5 is generally slightly larger than in CanESM5-CanOE, except in the Arctic Ocean.
500 Again, Supplementary Figure S2 includes a version of this plot that shows the modelled
501 concentration fields.

502

503 The skill of each model with respect to the distribution of O_2 at different depths is represented by
504 Taylor diagrams (Taylor, 2001) in Figure 4. These diagrams allow us to assess how well the
505 model reproduces the spatial distribution at a range of depths, because different physical and
506 biogeochemical processes determine the distribution in different depth ranges. All of the CMIP6
507 models that were shown as an ensemble mean in Figures 2 and 3 are shown individually. The

508 large blue dots represent CanESM5, red CanESM5-CanOE, and grey the MEM; the smaller grey
509 dots represent the individual models. CanESM5-CanOE shows slightly higher pattern correlation
510 than CanESM5 at all depths. Both models compare favourably with the full suite of CMIP6
511 models, with $r > 0.85$ for CanESM5 and $r > 0.9$ for CanESM5-CanOE at all depths examined, and a
512 normalized standard deviation within $\pm 25\%$ of unity.

513

514 The total volume of ocean with $[O_2]$ less than 6 mmol m^{-3} (the threshold for denitrification
515 (Devol, 2008)) and 60 mmol m^{-3} (a commonly used index of hypoxia) is shown in Figure 5. The
516 total volume is highly variable among models (note, however, that there are several clusters of
517 related models with quite similar totals). CanESM5 and CanESM5-CanOE have among the
518 lowest total volumes (i.e., the interior ocean is relatively well ventilated) and are among the
519 nearest to the observed total. For $[O_2] < 60 \text{ mmol m}^{-3}$ the bias is, nonetheless, quite large (i.e., the
520 observed volume is underestimated by almost 50% in both models). The volume of water with
521 $[O_2]$ below the denitrification threshold is overestimated in both CanESM5 and CanESM5-
522 CanOE; CanESM5-CanOE has a much smaller total that is closer to the observed value. The bias
523 in the spatial pattern of hypoxia (not shown) is generally similar to the bias in dissolved oxygen
524 distribution (Figure 2). The low-oxygen regions are generally more concentrated in the eastern
525 tropical Pacific in the models than in observations, and the low-oxygen region in the northwest
526 Pacific is not well reproduced in CanESM models.

527

528 **3.2 Distribution of DIC, alkalinity, and $CaCO_3$ saturation**

529

530 The spatial distribution of aragonite saturation state (Ω_A) at selected depths is shown in Figure 6.

531 The first two depths are the same as in Figure 2, but a much greater depth is also included, as the
532 length scale for CaCO_3 dissolution is greater than for organic matter remineralization. In this
533 case the observations are a combination of GLODAPv2 (Key et al., 2015; Lauvset et al., 2016)
534 for DIC and alkalinity, and WOA2018 for temperature and salinity. CanESM5 and CanESM5-
535 CanOE show an overall high saturation bias at the shallower depths, particularly in the North
536 Atlantic, with a low bias found mainly in the eastern Pacific~~generally compare well with other~~
537 ~~models and observations~~. The low saturation bias in the eastern tropical Pacific is substantially
538 reduced in CanESM5-CanOE compared to CanESM5. On the other hand CanESM5 generally
539 does better than CanESM5-CanOE, or the MEM, at reproducing the low saturation states in the
540 northwestern Pacific and the Bering Sea. Both CanESM models show a high saturation state bias
541 in the North Atlantic and the well-ventilated regions of the north Pacific subtropical gyre; these
542 biases are slightly smaller in CanESM5-CanOE. Maps of the calcite and aragonite saturation
543 horizon ($\Omega=1$) depth are shown in Supplementary Figure S3; these generally confirm the same
544 biases noted in Figure 6.

545
546 Zonal mean distributions of aragonite saturation state (Ω_A), calcite saturation state (Ω_C), and
547 carbonate ion concentration ($[\text{CO}_3^{2-}]$) and the differences of the models from the observations are
548 shown in Figure 7 (Supplementary Figure S2 includes versions of Figures 6 and 7 that show the
549 modelled fields). The models generally compare well with the observations in the representation
550 of the latitude/depth distribution of high and low saturation waters. CanESM5 has a high
551 saturation bias in low-latitude surface waters that is somewhat reduced in CanESM5-CanOE.
552 Both CanESM5 models show a high saturation bias in Northern Hemisphere intermediate (e.g.,
553 200-1000 m) depth waters that is larger than in the MEM. This is primarily a result of low Ω in

554 the North Atlantic Ocean (Figure 6).

555

556 Taylor diagrams for a range of depths are shown for DIC in Figure 8 and for Ω_A in Figure 9 (for
557 alkalinity, see Supplementary Figure S4). As expected, the MEM generally compares favourably
558 with the individual models (e.g., Lambert and Boer, 2001). CanESM5 and CanESM5-CanOE
559 compare favourably with the full suite of CMIP6 models. CanESM5-CanOE shows a gain in
560 skill relative to CanESM5, and both show improvement relative to CanESM2. At 400 m,
561 CanESM2 stands out as having extremely high variance, which is mostly due to extremely high
562 DIC concentrations occurring over a limited area in the eastern equatorial Pacific (not shown).
563 This bias is present in CanESM5 and in CMIP6 models generally (Figure 6) but involves much
564 lower concentrations spread over a larger area.

565

566 **3.3 N and Fe cycles**

567

568 An important difference between CanESM5 and CanESM5-CanOE is the inclusion of a
569 prognostic Fe cycle. The CMOC iron mask (Zahariev et al., 2008) was a pragmatic solution in
570 the face of resource limitations but is inherently compromised as it can not evolve with a
571 changing climate. The first order test of a model with prognostic, interacting Fe and N cycles is
572 whether it can reproduce the distribution of HNLC regions and the approximate surface
573 macronutrient concentrations within these. CanESM5-CanOE succeeded by this standard,
574 although the surface nitrate concentrations are biased low in the subarctic Pacific and equatorial
575 Pacific and high in the Southern Ocean and in the global mean (Figure 10).

576

577 The seasonal cycle of the zonal mean surface nitrate concentration for a selection of CMIP6
578 models is shown in Figure 11. CanESM5, CanESM5-CanOE, and CNRM-ESM2-1 reproduce the
579 equatorial enrichment and the low concentrations in the tropical-subtropical latitudes fairly well.
580 Some models either have very weak equatorial enrichment (MPI-ESM1-2-LR) or too high a
581 concentration in the off-equatorial regions (UKESM1-0-LL, NorESM2-LM). UKESM1-0-LL
582 has very high concentrations throughout the low-latitude Pacific, which biases the ensemble
583 mean (Figure 11). Supplementary Figure S6 shows the same data as Figure 11 but for a more
584 limited latitude range to better illustrate model behaviour in the tropics. CanESM5, CanESM5-
585 CanOE, and CNRM-ESM2-1 reproduce the seasonal cycle of tropical upwelling (e.g., Philander
586 and Chao, 1991), with highest concentrations in summer.

587

588 The surface distribution of dissolved iron (dFe) in various CMIP6 models is shown in Figure 12.
589 For Fe there is no observation-based global climatology with which to compare the model
590 solutions (some comparisons to available profile data are shown in Supplementary Figures
591 [S9S10b-h](#)). CanESM5-CanOE shows a similar overall spatial pattern to other models, and
592 generally falls in the middle of the spread, particularly regarding concentrations in the Southern
593 Ocean. Several models show extremely high concentrations in the tropical-subtropical North
594 Atlantic (Sahara outflow region). CanESM5-CanOE, along with CNRM-ESM2-1 and CESM2,
595 has much less elevated concentrations in this region, due to lower deposition or greater
596 scavenging or both. CanESM5-CanOE has its lowest concentration in the eastern subtropical
597 South Pacific, which is common to many models (Figure 12). The area of strong surface
598 depletion is generally more spatially restricted in CanESM5-CanOE than in other models, and
599 surface dFe concentrations are greater over large areas of the Pacific. Both the north-south and

600 east-west asymmetry of distribution in the Pacific is greater in CanESM5-CanOE than in most
601 other models, some of which show the South Pacific minimum extending westward across the
602 entire basin, and others into the Northern Hemisphere. Only in CESM2 is this minimum
603 similarly limited to the southeast Pacific.

604

605 The mean depth profiles of dFe are shown in Figure 13. Some models show more of a “nutrient-
606 type” (increasing with depth due to strong near-surface biological uptake and subsequent
607 remineralization) profile, some a more “scavenged-type” (maximal at the surface, declining with
608 depth) profile (cf. Li, 1991; Nozaki, 2001), and others a hybrid profile (increasing downward but
609 with a surface enrichment). CanESM5-CanOE is at the “nutrient-type” end of spectrum with a
610 generally monotonic increase with depth to a near-constant deep-water concentration of 0.6 nM
611 and a very slight near-surface enrichment (see also Supplementary Figures [S9S10b,c](#)).

612

613 Mean surface nitrate and dFe concentrations for selected ocean regions are shown in Figure 14.
614 CanESM5-CanOE shows concentrations that are within the range of CMIP6 models, although in
615 some cases at the higher or lower end. Surface nitrate concentrations generally compare
616 favourably with the observation-based climatology, but are biased low in HNLC regions other
617 than the Southern Ocean. These biases are not necessarily a consequence of having too much or
618 too little iron. For example, in the Southern Ocean CanESM5-CanOE has among the highest
619 surface nitrate concentrations, but it also has some of the highest dFe concentrations, and the
620 high nitrate bias is present in CanESM5 as well. Comparisons with the limited GEOTRACES
621 data available suggest that near surface dFe concentrations in the Southern Ocean are biased high
622 rather than low in CanESM5-CanOE (not shown). One region where there does seem to be a

623 strong correlation between surface nitrate and dFe concentrations is the western subarctic
624 Pacific. All but two models (CNRM-ESM2-1, NorESM2-LM) fall along a spectrum from high
625 Fe / low nitrate to low Fe / high nitrate. CanESM5-CanOE falls near the high Fe / low nitrate end
626 of the range.

627

628 Surface nitrate concentrations along the Pacific equator during the upwelling season (June-
629 October) for CanESM5 and CanESM5-CanOE are shown in Figure 15. The range of other
630 CMIP6 models is not shown here because it is large and therefore adds little information (see
631 Figure 11 and Supplementary Figure S6). CanESM5-CanOE better represents the east-west
632 gradient, while CanESM5 has slightly higher concentrations in the core upwelling region. Both
633 models underestimate the highest concentrations around 100°W. Although some localized
634 maxima in this data product are due to undersampling, equatorial upwelling is strong at this
635 location (e.g., Lukas, 2001) and the spatial coherence of the data strongly suggests that this
636 maximum accurately reflects reality. It should be noted that CanESM5 iron limitation is
637 calculated from a version of the same data product; however, the Fe mask is based on the
638 minimum nitrate concentration over the annual cycle, whereas the data shown here are for the
639 upwelling season.

640

641 **3.4 Plankton biomass, detritus, and particle flux**

642

643 The relative abundance of the four **living**-plankton groups are shown in Figure 16 for a range of
644 ocean regions. Both CanESM models mostly compare favourably with observation-based
645 estimates of phytoplankton biomass, except in the tropics where CanESM5-CanOE has very high

646 biomass. Both CanESM models have low phytoplankton biomass in the North Atlantic. In the
647 North Pacific and the Southern Ocean, CanESM5-CanOE reproduces the observation-based
648 estimates well, and CanESM5 slightly less well. The general pattern is that large and small
649 phytoplankton have similar abundance, and are substantially more abundant than zooplankton.

650

651 Part of the rationale for multiple food chains is that they better represent the way that actual
652 plankton communities adapt to different physical ocean regimes and therefore are better able to
653 simulate distinct ocean regions with a single parameter set (e.g., Chisholm, 1992; Armstrong,
654 1994; Landry et al., 1997; Friedrichs et al., 2007). The expectation is that small phytoplankton
655 will be more temporally stable and large phytoplankton will fluctuate more strongly between
656 high and low abundances. The mean annual cycles of surface chlorophyll largely conform to this
657 pattern, e.g., in the North Atlantic and the western subarctic Pacific large phytoplankton are
658 dominant in summer and much more variable over the seasons (Figure 17). Compared to
659 observations, CanESM5 models underestimate the amplitude of the seasonal cycle in the North
660 Atlantic and overestimate it in the North Pacific. CanESM5 shows a stronger and earlier North
661 Atlantic spring bloom compared to CanESM5-CanOE; the observations are in between the two
662 in terms of timing, and both models underestimate the amplitude (Figure 17). In the tropics, the
663 seasonal cycle is weak. CanESM5-CanOE in the tropical Atlantic shows the expected seasonal
664 cycle but not the expected dominance of large phytoplankton in summer. CanESM5-CanOE
665 generally overestimates the total near surface chlorophyll in both the tropical Pacific and the
666 tropical Atlantic.

667

668 Zooplankton biomass (especially microzooplankton) is also somewhat difficult to test against

669 observations, but our model concentrations appear to be biased low. Stock et al. (2014) estimated
670 depth-integrated biomass of phytoplankton, mesozooplankton, and microzooplankton for a range
671 of oceanic locations in which intensive field campaigns have occurred (estimates of
672 microzooplankton biomass are relatively sparse). They found that in most locations
673 phytoplankton and (combined) zooplankton biomass are of comparable magnitude, whereas in
674 CanESM5-CanOE zooplankton biomass is consistently lower (Figure 16). The global integral
675 biomass of mesozooplankton is about an order of magnitude less than the 0.19 PgC estimated by
676 Moriarty and O'Brien (2013). The CanESM5 total of 0.14 Pg is relatively close to the Moriarty
677 estimate but implicitly includes microzooplankton.

678

679 Surface chlorophyll and POC for CanESM5-CanOE and for ocean colour observational data are
680 shown in Figure 18 (POC in the model is the sum of phytoplankton, microzooplankton, and
681 detrital carbon). The observations have a lower limit for POC that is not present in the model
682 ($\sim 17 \text{ mgC m}^{-3}$), which is unsurprising given the processes neglected in the model, i.e., in regions
683 of very low chlorophyll there is still substantial dissolved organic carbon, bacteria that consume
684 it, and microzooplankton that consume the bacteria and produce particulate detritus. The
685 observational data show a fairly linear relationship at low concentrations, but with a curvature
686 that implies a greater phytoplankton fraction in more eutrophic environments (cf. Chisholm,
687 1992). The model, by contrast, shows a fairly linear relationship over the whole range of
688 concentrations. In other words, the phytoplankton share of POC is higher and more constant in
689 the model than in the observations. The living biomass (phytoplankton + microzooplankton)
690 fraction of total POC in CanOE is generally in excess of 50% (not shown), which is implausible
691 for a real-world oceanic microbial community (e.g., Christian and Karl, 1994) but consistent

692 with the relatively low rates of export from the euphotic zone.

693

694 Export production for a range of CMIP6 models is shown in Figure 19a. CanESM5-CanOE is at
695 the low end of the range. Observations are not shown because the range of observational
696 estimates covers the entire range of model estimates (e.g., Siegel et al., 2016). Note also that
697 CanESM5 export is quite a bit lower than in CanESM2, which is relatively high for CMIP5
698 models (not shown). The difference between CanESM2 and CanESM5 is attributable primarily
699 to different circulation, although the different initialization fields for nitrate might also play a
700 small role. The lower rate in CanESM5-CanOE is consistent with the above results regarding
701 plankton community structure (e.g., the concentration of detritus is generally low compared to
702 living biomass), as well as the lower sinking rate for small detritus. The latitudinal distribution of
703 export is shown in Figure 19b. CanESM5 shows very high export in the mid-latitudes of the
704 Southern Ocean, similar to CanESM2 (not shown). Both CanESM5 and CanESM5-CanOE show
705 latitudinal patterns consistent with the range of other CMIP6 models. CanESM5 has slightly
706 greater export in the equatorial zone; in both CanESM5 and CanESM5-CanOE the equatorial
707 enrichment attenuates very rapidly with latitude and the rates are low in the subtropics.

708

709 **3.5 Historical trends**

710

711 Cumulative ocean uptake of CO₂ is shown in Figure 20 for the historical experiment (1850-
712 2014). CanESM models are biased low relative to observation based estimates (~145 PgC, see
713 Friedlingstein et al., 2020) and the MEM (144 PgC, Figure 20), but fall well within the spread of
714 CMIP6 models. Some of the difference may be attributable to differences in the way cumulative

715 uptake is calculated in models vs observations (Bronse laer et al., 2017), although this should
716 apply to other CMIP6 models as well. CanESM5-CanOE has lower cumulative uptake than
717 CanESM5 by ~10 PgC. As the models were not fully equilibrated when the historical run was
718 launched, this difference does not necessarily arise from the biogeochemical model structure;
719 part of the difference can be attributed to differences in the spinup ~~proteool~~ (cf. Séférian et al.,
720 2016). The drift in the piControl experiment over the 165 years from the branching off of the
721 historical experiment is -10.0 PgC in CanESM5-CanOE and -5.1 PgC in CanESM5 (see
722 Supplementary Table S6), so drift accounts for about half (48%) of the difference in net ocean
723 CO₂ uptake. The spatial distribution of anthropogenic DIC is very similar between CanESM5
724 and CanESM5-CanOE (Supplementary Figure S7). CanESM5 and CanESM5-CanOE show a
725 high bias in near surface DIC relative to alkalinity (a measure of the ocean's capacity to absorb
726 CO₂) in the mid-latitudes of both hemispheres (Supplementary Figure S8), which may in part
727 explain the weak uptake of CO₂.

728
729 The long-term trend in global total export production is shown in Figure 21. The model values
730 must be normalized in order to compare trends, since the differences among means are large
731 compared to the changes over the historical period (Figure 19). Such trends are difficult or
732 impossible to meaningfully constrain with observations, but the general expectation has been that
733 export will decline somewhat due to increasing stratification (e.g., Steinacher et al., 2010).
734 CanESM5 shows a greater decline than most other CMIP6 models, while CanESM5-CanOE is
735 more similar to non-CanESM models. The change in CanESM5 is geographically widespread
736 and not concentrated in a specific region or regions: export is maximal in the tropics and the
737 northern and southern mid-latitudes (Figure 19b) and declines over the historical period in all of

738 these regions (Supplementary Figure S98). In CanESM5-CanOE, export declines in the same
739 regions, but the magnitude of the change is smaller, and in the Southern Ocean increases and
740 decreases in different latitude bands largely offset each other.

741

742 The trend in the volume of ocean water with O_2 concentration less than 6 or 60 $mmol\ m^{-3}$ is
743 shown in Figure 22. Again, the totals are normalized to a value close to the preindustrial, as the
744 differences among models are large (Figure 5). For the volume with $<60\ mmol\ m^{-3}$, CanESM
745 models show relatively little change; in CanESM5 the volume actually declines slightly, while in
746 CanESM5-CanOE it increases, but the total change is $<1\%$ in each case. As with the baseline
747 volumes, the range among models is large, with one model showing an increase approaching
748 10% of the total volume estimated for WOA2018 (Figures 5b and 22b). For the volume with <6
749 $mmol\ m^{-3}$ (Figure 22a), CanESM models are among the most stable over time. In CanESM5, the
750 volume again declines, although this is within the range of internal variability. Again some
751 models show fairly large excursions, but in this case none shows a strong secular trend over the
752 last half-century.

753

754 **4. Discussion**

755

756 CanESM5 and CanESM5-CanOE are new coupled ocean-atmosphere climate models with
757 prognostic ocean biogeochemistry. The two have the same physical climate (in experiments with
758 specified atmospheric CO_2) and differ only in their ocean biogeochemistry components.
759 CanESM5-CanOE has a much more complex biogeochemistry model including a prognostic iron
760 cycle. We have presented results that assess how these two models simulate the overall

761 distribution of major tracers like DIC, alkalinity, nitrate and oxygen, as well as analyses of the
762 interaction of the iron and nitrogen cycles, plankton community structure, export of organic
763 matter from the euphotic zone, and historical trends over 1850-2014.

764

765 The overall distribution of major tracers indicates that both models do a reasonable job of
766 simulating both biogeochemical (e.g., export and remineralization of organic matter) and
767 physical (e.g., deep and intermediate ocean ventilation) processes. The volume of ocean with
768 oxygen concentration below 6 or 60 μM compares favourably with other CMIP6 models (Figure
769 5), and is among the most stable over historical time (Figure 22). CanESM5-CanOE has a
770 substantially lower volume of water with $[\text{O}_2] < 6 \mu\text{M}$ than CanESM5 and much closer to
771 observation-based estimates (Figure 22a). Both models are biased slightly low in terms of
772 historical uptake of anthropogenic CO_2 , which may indicate weak Southern Ocean upwelling or
773 too shallow remineralization of DIC or both (Figure 20). The spatial distribution of
774 anthropogenic DIC is very similar between the two models (Supplementary Figure S7), which is
775 expected as it is mainly a function of the physical ocean model circulation. However, CanESM5
776 has higher concentrations in the main areas of accumulation, particularly the North Atlantic and
777 the Southern Ocean. This probably indicates more efficient removal and export of 'natural' DIC
778 by the plankton, particularly in the Southern Ocean upwelling zone (Figure 19), and deeper
779 average remineralization, with the caveat that the preindustrial control simulations had different
780 degrees of equilibration when the historical experiment was launched (cf. Séférian et al., 2016,
781 Supplementary Table S6).

782

783 Analysis of phytoplankton and zooplankton biomass concentrations show that CanESM5 and
784 CanESM5-CanOE compare somewhat favourably with available observational data but do have
785 distinct biases. In particular, both zooplankton biomass and detrital organic matter concentration
786 tend to be very low in CanESM5-CanOE; the total biomass of the plankton community and the
787 standing crop of particulate organic matter are dominated by phytoplankton (e.g., Figure 17).
788 Regional biases differ between the two models, with CanESM5-CanOE showing excessively
789 large phytoplankton biomass in the tropics. We note, however, that the seasonal cycle of
790 equatorial upwelling and the formation of the equatorial Pacific HNLC are reproduced rather
791 well by our models (e.g., Figures 11, 15 and S6), and that CanESM5-CanOE is the first CanESM
792 model to have genuinely simulated this as an emergent property (see section 3.3). In CanESM5-
793 CanOE, decoupling of large and small phytoplankton populations associated with seasonal
794 upwelling or convection (see below) is observed in some regions but not others.

795
796 Global export production is biased low, particularly in CanESM5-CanOE. This is due in part to
797 the biogeochemical model and in part to ocean circulation. CanESM5 has the same ocean
798 biology as CanESM2 but a different physical ocean model, and global ocean export production is
799 substantially lower in CanESM5. It is lower still in CanESM5-CanOE (Figure 19). We note that
800 CanESM5 performs better than CanESM2 on most metrics of physical ocean model evaluation
801 (Swart et al., 2019a), and shows a more realistic distribution of major tracers like DIC (Figure 8).
802 While the range of observation-based estimates of global ocean export production is large, and
803 encompasses the full range of CMIP5 and CMIP6 models, the change between CanESM2 and
804 CanESM5 is large. Changes in the physical ocean are not entirely independent of the
805 biogeochemistry model even when the latter is ostensibly identical. In CanESM2 and CanESM5,

806 iron limitation is specified as a spatially static 'mask' based on the observed distribution of
807 surface nitrate, and it is possible that in these two models ocean upwelling occurs in different
808 places relative to the specified boundary of the region of Southern Ocean iron limitation (Figure 3
809 of Zahariev et al., 2008). It is also possible that the lower export production in CanESM5-CanOE
810 is due to low iron supply to the surface waters of the Southern Ocean, but comparison with
811 available observations do not suggest that this is the case. Several biases are common to
812 CanESM5 and CanESM5-CanOE that relate to Southern Ocean upwelling (high Southern Ocean
813 surface nitrate concentration, low export production, weak anthropogenic CO₂ uptake) and so are
814 probably more attributable to the physical ocean model than to the Fe submodel. The difference
815 between CanESM2 and CanESM5 bears this out.

816

817 The development of CanOE was undertaken in response to some of the most severe limitations
818 of CanESM2, ~~and in light of our collective experience.~~ Many of the additional features that
819 CanOE introduces were already in the models published by other centres even in CMIP5. In
820 addition to CMOC (Zahariev et al., 2008), previous models developed by members of our group
821 include Denman and Peña (1999; 2002), Christian et al. (2002a; 2002b), Christian (2005), and
822 Denman et al. (2006). Christian et al. (2002a) had a prognostic Fe cycle and multiple
823 phytoplankton and zooplankton species, but had fixed elemental ratios. Christian (2005)
824 incorporated a cellular-regulation model, but only for a single species and without Fe limitation.
825 Christian (2005) had prognostic chlorophyll whereas Denman and Peña (1999; 2002) and
826 Christian et al. (2002a) used an irradiance-dependent diagnostic formulation. Christian et al.
827 (2002a) used multiplicative (Franks et al., 1986) grazing, which creates stability in predator-prey

828 interactions but severely limits phytoplankton biomass accumulation under nutrient-replete
829 conditions.

830

831 One of the most important lessons from Christian et al. (2002a; 2002b) was that when a fixed
832 Fe/N ratio is employed, sensitivity to this parameter is extreme. Because Fe cell quotas are far
833 more variable than N, P, or Si quotas, treating this parameter as constant results in the specified
834 value influencing the overall solution far more than any other parameter. CanESM5-CanOE
835 largely succeeded in creating a prognostic Fe-N limitation model that produces HNLC conditions
836 in the expected regions (Figures 10, 11, 14, 15, S6), although surface nitrate concentration is low
837 relative to observation-based estimates in some cases. External Fe sources and scavenging
838 parameterizations will be revisited and refined in future versions. In CanESM5-CanOE the
839 scavenging model is very simple, with distinct regimes for concentrations greater or less than 0.6
840 nM; scavenging rates are very high above this threshold which causes deep-water concentrations
841 to converge on this value. The generally nutrient-like profile suggest that in CanOE the
842 scavenging rate is quite low for concentrations below 0.6 nM (Figure 13; see also Supplementary
843 Figure [S9S10h](#)). We note that the aeolian mineral dust deposition field employed here is derived
844 from the CanESM atmosphere model; these processes are not presently interactive but could be
845 made so in the future.

846

847 A particular issue with CanESM2 was that extremely high concentrations of nitrate occurred
848 under the EBC upwelling regions. This error resulted from spreading denitrification out over the
849 ocean basin so that introduction of new fixed N from N₂ fixation would balance denitrification
850 losses within each vertical column, whereas in the real world denitrification is highly localized in

851 the low oxygen environments under the EBCs. CanESM2 did not include oxygen, but CanESM5
852 incorporates oxygen as a ‘downstream’ tracer that does not feed back on other biogeochemical
853 processes. The incorporation of a more process-based denitrification parameterization in
854 CanESM5-CanOE is independent of the many other processes that are present in CanESM5-
855 CanOE but not in CanESM5: a CMOC-like model with prognostic denitrification is clearly an
856 option. We chose not to include explicit, oxygen-dependent denitrification in CanESM5 because
857 we wanted to maintain a CMOC-based model as close to the CanESM2 version as possible, and
858 because oxygen would not then be a downstream tracer that does not affect other processes.

859

860 Plankton community structure in CanESM5-CanOE is somewhat biased toward high
861 concentrations of phytoplankton, low concentrations of zooplankton and detritus, and low export
862 (Sect. 3.4). In the development phase, a fair number of experiments were conducted with various
863 values of the grazing rates and detritus sinking speeds. A wide range of values of these
864 parameters was tested, with no resulting improvement in the overall results. Possibly the detrital
865 remineralization rates are too high, although primary production is also on the low end of the
866 CMIP6 range (not shown), and would probably decline further if these rates were decreased. The
867 model was designed around the Armstrong (1994) hypothesis of ‘supplementation’ vs
868 ‘replacement’, i.e., small phytoplankton and their grazers do not become much more abundant in
869 more nutrient-rich environments but rather stay at about the same level and are joined by larger
870 species that are absent in more oligotrophic conditions (see also Chisholm, 1992; Landry et al.,
871 1997; Friedrichs et al., 2007). The results presented here suggest that this was partially achieved,
872 but further improvement is possible (Figure 17).

873

874 As to whether the gains in skill with CanESM5-CanOE justify the extra computational cost,
875 Taylor diagrams (Figures 4, 8, 9, and Supplementary Figure S4) show a modest but consistent
876 gain in skill at simulating the major biogeochemical species (O₂, DIC, alkalinity) across
877 variables and depths, especially for alkalinity at mid-depths (Supplementary Figure S4), for
878 which CanESM5 displays the least skill relative to other fields or depths. Other processes that are
879 highly parameterized in CanESM5, such as calcification and CaCO₃ dissolution, were not
880 addressed in detail in this paper, but are an important factor in determining the subsurface
881 distribution of alkalinity. Again, we emphasize that we are simulating as an emergent property of
882 a process-based model something that is parameterized in CanESM5 (as previously noted for
883 surface nitrate concentration in HNLC regions), and doing at least as well in terms of model
884 skill. As a general rule, the potential for improving skill and achieving better results in novel
885 environments (e.g., topographically complex regional domains like the Arctic Ocean and the
886 boreal marginal seas), is expected to be greater in less parameterized, more mechanistic models
887 (e.g., Friedrichs et al., 2007; Tesdal et al., 2016). Inclusion of a prognostic iron cycle and C/N/Fe
888 stoichiometry also open up additional applications and scientific investigations that are not
889 possible with CMOC.

890
891 An updated version of CanESM5 with prognostic denitrification is clearly possible. However, for
892 the reasons discussed above, a prognostic Fe cycle with a fixed phytoplankton Fe/N remains
893 problematic, and the model would still have a single detritus sinking speed and remineralization
894 length scale. We are also developing CanOE for regional downscaling applications (Hayashida,
895 2018; Holdsworth et al., 2021). The regional domains have , and it is likely that the
896 simplification of having a single particle sinking speed is not well suited to a domain with

897 complex topography and prominent continental shelf and slope, and the single remineralization
898 length scale in CMOC may not be well suited to such an environment. The number of tracers in
899 CanOE is not particularly large compared with other CMIP6 models. We expect to further refine
900 CanOE and its parameterizations, evaluate it against new and emerging ocean data sets (e.g.,
901 GEOTRACES, biogeochemical Argo), and incrementally improve CMOC (which we will
902 maintain for a wide suite of physical-climate experiments for which ocean biogeochemistry is
903 not central to the purpose). For CMIP6, we chose to keep CMOC as close to the CanESM2
904 version as possible. This strategy allows us to quantify how much of the improvement in model
905 skill is due to the physical circulation, as is illustrated by greater skill with respect to DIC (Figure
906 8) and alkalinity (Supplementary Figure S4), particularly at intermediate depths (400-900 m).
907 The CanESM terrestrial carbon model is also undergoing important new developments (e.g.,
908 Asaadi and Arora, 2021) and we expect CanESM to continue to offer a credible contribution to
909 global carbon cycle studies, as well as advancing regional downscaling and impacts science.

910

911 *Code availability.* The full CanESM5 source code is publicly available at
912 gitlab.com/ccma/canesm; within this tree the ocean biogeochemistry code can be found at
913 gitlab.com/ccma/cannemo/-/tree/v5.0.3/nemo/CONFIG/CCC_CANCP_LIM_CMOC
914 or [CCC_CANCP_LIM_CANO](https://gitlab.com/ccma/cannemo/-/tree/v5.0.3/nemo/CONFIG/CCC_CANCP_LIM_CANO)
915 (last access: 21 September 2021). The version of the
916 code which can be used to produce all the simulations submitted to CMIP6, and described in this
917 paper, is tagged as v5.0.3 and has the associated DOI: <https://doi.org/10.5281/zenodo.3251113>
(Swart et al., 2019b).

918

919 *Data availability.* All simulations conducted for CMIP6, including those described in this paper,
920 are publicly available via the Earth System Grid Federation (source_id = CanESM5 or
921 CanESM5-CanOE). All observational data and other CMIP6 model data used are publicly
922 available.

923

924 *Author contributions.* Formulation of the overall research goals and aims: JRC, KLD, NS, NCS;
925 Implementation and testing of the model code: JRC, HH, AMH, WGL, OGJR, AES, NCS;
926 Carrying out the experiments: JRC, WGL, OGJR, AES, NCS; Creation of the published work:
927 JRC, HH, AMH, AES, NS, NCS.

928

929 *Competing interests.* The authors declare that they have no conflict of interest.

930

931 *Disclaimer.* CanESM has been customized to run on the ECCC high-performance computer, and
932 a significant fraction of the software infrastructure used to run the model is specific to the
933 individual machines and architecture. While we publicly provide the code, we cannot provide
934 any support for migrating the model to different machines or architectures.

935

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Table 1 – Ecosystem model parameters.

Symbol	Description	Unit	
T_{ref}	Reference temperature	K	298.15
E_{ap}	Activation energy for photosynthesis	kJ mol^{-1}	37.4
$Q_{\text{mins}}^{\text{N}}$	Small phytoplankton minimum N quota	g N g C^{-1}	0.04
$Q_{\text{maxs}}^{\text{N}}$	Small phytoplankton maximum N quota	g N g C^{-1}	0.172
$Q_{\text{minl}}^{\text{N}}$	Large phytoplankton minimum N quota	g N g C^{-1}	0.04
$Q_{\text{maxl}}^{\text{N}}$	Large phytoplankton maximum N quota	g N g C^{-1}	0.172
$Q_{\text{mins}}^{\text{Fe}}$	Small phytoplankton minimum Fe quota	$\mu\text{g Fe g C}^{-1}$	4.65
$Q_{\text{maxs}}^{\text{Fe}}$	Small phytoplankton maximum Fe quota	$\mu\text{g Fe g C}^{-1}$	93.
$Q_{\text{minl}}^{\text{Fe}}$	Large phytoplankton minimum Fe quota	$\mu\text{g Fe g C}^{-1}$	6.5
$Q_{\text{maxl}}^{\text{Fe}}$	Large phytoplankton maximum Fe quota	$\mu\text{g Fe g C}^{-1}$	70.
$V_{\text{ref}}^{\text{N}}$	Reference rate of N uptake	$\text{g N g C}^{-1} \text{d}^{-1}$	0.6
$V_{\text{ref}}^{\text{Fe}}$	Reference rate of Fe uptake	$\mu\text{g Fe g C}^{-1} \text{d}^{-1}$	79.
$P_{\text{ref}}^{\text{C}}$	Reference rate of photosynthesis	$\text{g C g C}^{-1} \text{d}^{-1}$	3
k_{XU}	Rate coefficient for exudation	d^{-1}	1.7
k_{dgr}	Rate coefficient for chlorophyll degradation	d^{-1}	0.02
ζ	Respiratory cost of biosynthesis	g C g N^{-1}	2
α_{chl}	Initial slope of P-E curve	$\text{g C g CHL}^{-1} \text{h}^{-1} (\mu\text{mol m}^{-2} \text{s}^{-1})^{-1}$	1.08
$\Theta_{\text{max}}^{\text{N}}$	Maximum chlorophyll-nitrogen ratio	g g^{-1}	0.18
K_{NiS}	Half-saturation for small phytoplankton nitrate uptake	$\text{mmol}^{-1} \text{m}^3$	0.1
K_{NaS}	Half-saturation for small phytoplankton ammonium uptake	$\text{mmol}^{-1} \text{m}^3$	0.05
K_{FeS}	Half-saturation for small phytoplankton iron uptake	$\text{nmol}^{-1} \text{m}^3$	100
K_{NiL}	Half-saturation for large phytoplankton nitrate uptake	$\text{mmol}^{-1} \text{m}^3$	1.0
K_{NaL}	Half-saturation for large phytoplankton ammonium uptake	$\text{mmol}^{-1} \text{m}^3$	0.05
K_{FeL}	Half-saturation for large phytoplankton iron uptake	$\text{nmol}^{-1} \text{m}^3$	200
$m_{1\text{S}}$	Small phytoplankton/zooplankton mortality rate (linear)	d^{-1}	0.05
$m_{2\text{S}}$	Small phytoplankton/zooplankton mortality coefficient	$(\text{mmol C m}^{-3})^{-1} \text{d}^{-1}$	0.06
$m_{1\text{L}}$	Large phytoplankton/zooplankton mortality rate (linear)	d^{-1}	0.1

m_{2L}	Large phytoplankton/zooplankton mortality coefficient	$(\text{mmol C m}^{-3})^{-1} \text{ d}^{-1}$	0.06
X_{minp}	Minimum phytoplankton concentration for linear mortality	mmol C m^{-3}	0.01
a_L	Large zooplankton grazing parameter	$(\text{mmol C m}^{-3})^{-1}$	0.25
G_{L0}	Large zooplankton maximum grazing rate	d^{-1}	0.85
a_S	Small zooplankton grazing parameter	$(\text{mmol C m}^{-3})^{-1}$	0.25
G_{S0}	Small zooplankton maximum grazing rate	d^{-1}	1.7
λ	Assimilation efficiency	n.d.	0.8
r_{Zs}	Microzooplankton specific respiration rate at T_{ref}	d^{-1}	0.3
r_{Zl}	Mesozooplankton specific respiration rate at T_{ref}	d^{-1}	0.1
r_1	Small detritus remineralization rate at T_{ref}	d^{-1}	0.25
r_2	Large detritus remineralization rate at T_{ref}	d^{-1}	0.25
E_{ar}	Activation energy for detritus remineralization	kJ mol^{-1}	54.0
w_s	Small detritus sinking speed	m d^{-1}	2.
w_l	Large detritus sinking speed	m d^{-1}	30.
w_{Ca}	CaCO_3 sinking speed	m d^{-1}	20.
P_{Ca}	CaCO_3 production as fraction of mortality	$\text{mol CaCO}_3 \text{ molC}^{-1}$	0.05
k_{Ca}	CaCO_3 dissolution rate	d^{-1}	0.0074
$S_{\text{Fe}1}$	Dissolved iron scavenging loss rate ($\text{Fe} \leq L_{\text{Fe}}$)	d^{-1}	0.001
$S_{\text{Fe}2}$	Dissolved iron scavenging loss rate ($\text{Fe} > L_{\text{Fe}}$)	d^{-1}	2.5
L_{Fe}	Ligand concentration	nmol Fe m^{-3}	600.
P_{Fe}	POC-dependence parameter for Fe scavenging	$(\text{mmolC m}^{-3})^{-1}$	0.66
$k_{\text{NH}4\text{ox}}$	Nitrification rate constant	d^{-1}	0.05
K_E	Half-saturation for irradiance inhibition of nitrification	W m^{-2}	1.
k_{dnf}	Light and nutrient saturated rate of N_2 fixation at 30°C	$\text{mmol N m}^{-3} \text{ d}^{-1}$	0.0225
a	Initial slope for irradiance-dependence of N_2 fixation	$(\text{W m}^{-2})^{-1}$	0.02
K_{Fe}	Half-saturation for Fe dependence of N_2 fixation	nmol Fe m^{-3}	100.
$K_{\text{NO}3}$	Half-saturation for DIN inhibition of N_2 fixation	mmol m^{-3}	0.1
O_{mxd}	O_2 concentration threshold for denitrification	mmol m^{-3}	6.
A_f	Anammox fraction of N loss to denitrification	n.d.	0.25

Figure 1 - Schematic of the CanOE biology model. Model currencies including chlorophyll (Chl) are indicated by coloured boxes except oxygen (O_2) and carbonate ($CaCO_3$). Arrows indicate flows of carbon (C), nitrogen (N) and iron (Fe) between compartments containing small (S) and large (L) phytoplankton (P), zooplankton (Z), and detritus (D) components; counterflows of oxygen are not shown.

Figure 2 - Global distribution of oxygen (O_2) concentration in $mmol\ m^{-3}$ at 400, 900, and 1400 m (rows) for CanESM5-CanOE, CanESM5, the mean for other (non-CanESM) CMIP6 models, and World Ocean Atlas 2018 (WOA2018) observations (columns). Numbers at lower left are the mean model bias. Difference from the observation-based fields are shown in Supplementary Figure S3.

Figure 3 - Latitude-depth distribution (surface to 1750 m) of zonal mean oxygen concentration (O_2), oxygen concentration at saturation ($O_2(sat)$), and apparent oxygen utilization (AOU) in $mmol\ m^{-3}$ for CanESM5-CanOE, CanESM5, the mean for other CMIP6 models, and observations (WOA2018). Note different colour scales for different rows. Numbers at lower left are the mean model bias. Difference from the observation-based fields are shown in Supplementary Figure S3.

Figure 4 - Taylor diagrams (Taylor, 2001) comparing modelled and observed distributions of oxygen at specific depths from 100 to 3500 m. Angle from the vertical indicates spatial pattern correlation. Distance from the origin indicates ratio of standard deviation in modelled vs. observed (WOA2018) fields. Red dots represent CanESM5-CanOE, blue dots CanESM5, small grey dots other CMIP6 models, and large grey dots the model ensemble mean for all CMIP6 models except CanESM5 and CanESM5-CanOE.

Figure 5 - Total volume of ocean with oxygen (O_2) concentration less than (a) $6\ mmol\ m^{-3}$ (mean for last 30 years of the historical experiment) and (b) $60\ mmol\ m^{-3}$. Observations are from WOA2018.

Figure 6 - Global distribution of aragonite saturation (Ω_A) at 400, 900, and 3500 m for CanESM5-CanOE, CanESM5, the mean for other CMIP6 models, and observations (GLODAPv2 + WOA2018). Note different colour scales for different depths. Numbers at lower left are the mean model bias. Difference from the observation-based fields are shown in Supplementary Figure S3.

Figure 7 - Latitude-depth distribution of zonal mean (surface to 1150 m) aragonite saturation state (Ω_A), calcite saturation state (Ω_C), and carbonate ion concentration ($[CO_3^{2-}]$) in $mmol\ m^{-3}$ for CanESM5-CanOE, CanESM5, the mean for other CMIP6 models, and observations (GLODAPv2 + WOA2018). Numbers at lower left are the mean model bias. Difference from the observation-based fields are shown in Supplementary Figure S3.

Figure 8 - Taylor diagrams comparing modelled and observed distributions of DIC at specific depths from 100 to 3500 m. Observations are from GLODAPv2 (Lauvset et al., 2016). Red dots represent CanESM5-CanOE, blue dots CanESM5, magenta dots CanESM2, small grey dots other CMIP6 models, and large grey dots the model ensemble mean for all CMIP6 models except CanESM5 and CanESM5-CanOE.

Figure 9 - Taylor diagrams comparing modelled and observed (GLODAPv2 + WOA2018) distributions of Ω_A at specific depths from 100 to 3500 m. Symbol colours as in Figure 8.

Figure 10 - Climatological seasonal cycle of surface nitrate concentration averaged for selected ocean regions. Thick red line represents CanESM5-CanOE, thick blue line CanESM5, thick black line observations (WOA2018), thin grey lines individual CMIP6 models, and thick grey line the model ensemble mean (excluding CanESM5 and CanESM5-CanOE). Regional boundaries are given in Supplementary Table S5 and Supplementary Figure S5.

Figure 11 - Climatological seasonal cycle of zonal mean surface nitrate concentration for a selection of CMIP6 models, a model ensemble mean (MEM) excluding CanESM5 and CanESM5-CanOE, and an observation-based data product (WOA2018). An alternate version showing only latitudes $<20^\circ$ is given in Supplementary Figure S6.

Figure 12 - Global distribution of dissolved iron (dFe) concentration (\log_{10} of concentration in nmol m^{-3}) at the ocean surface for CanESM5-CanOE and other CMIP6 models that published this field. Concentrations exceeding 1000 nmol m^{-3} are masked white. CanESM5 is not included because it does not have prognostic iron.

Figure 13 - Global mean depth profiles of dissolved iron concentration for CanESM5-CanOE and other CMIP6 models that published this field. GFDL-CM4 is excluded because it has very high concentrations ($>2000 \text{ nmol m}^{-3}$) near the surface. Thick red line represents CanESM5-CanOE, thin grey lines individual CMIP6 models, and the thick grey line the model ensemble mean (excluding CanESM5-CanOE and GFDL-CM4).

Figure 14 - Mean surface nitrate (NO_3) vs. dissolved iron (dFe) concentrations in different oceans, including the major high nutrient / low chlorophyll (HNLC) regions. CanESM5-CanOE is shown as a red dot and other CMIP5-CMIP6 models as grey dots (CanESM5 is not included because it does not have iron). Observed NO_3 is shown as a vertical black line as there are no observational estimates of dFe concentration. For GFDL-CM4, nitrate is estimated as phosphate $\times 16$. Region definitions are given in Supplementary Table S5 and Supplementary Figure S5.

Figure 15 - Surface nitrate (NO_3) concentrations along the Pacific equator (mean from 2°S - 2°N) during the upwelling season (June-October) for CanESM5-CanOE (red), CanESM5 (blue), and WOA2018 observations (black).

Figure 16 - Annual mean surface ocean concentration of large and small phytoplankton and zooplankton in CanESM5-CanOE (red) and of phytoplankton and zooplankton in CanESM5 (blue) for the representative ocean regions shown in Figure 14. Observational estimates (black) are for phytoplankton biomass calculated from satellite ocean colour estimates of surface chlorophyll (SeaWiFS/MODIS; Tesdal et al. 2016), assuming a carbon-to-chlorophyll ratio of 50 g/g . Region definitions are given in Supplementary Table S5 and Supplementary Figure S5.

Figure 17 - Mean annual cycle of surface chlorophyll for the representative ocean regions shown in Figures 14 and 16. CanESM5-CanOE large and small phytoplankton concentrations are shown separately and combined (red) along with CanESM5 (blue) and observational estimates (black). Region definitions are shown in Supplementary Table S5 and Supplementary Figure S5.

Figure 18 - Climatological surface particulate organic carbon (POC) vs. chlorophyll for CanESM5-CanOE (red) and observations (black). Data are for all ocean grid points ($2 \times 2^\circ$ uniform global grid) for all months of the year where observational data are available. Model POC is offset 17 mg m^{-3} for illustrative purposes. Observed Chlorophyll concentrations $>1 \text{ mg m}^{-3}$ are excluded as they largely represent coastal areas poorly resolved by coarse resolution global ocean models.

Figure 19 - (a) Global total export production (epc100) in PgC y^{-1} (b) and zonal mean export production in $\text{molC m}^{-2} \text{ y}^{-1}$ according to selected CMIP6 models (mean for 1985-2014 of historical experiment). Thick red line represents CanESM5-CanOE, thick blue line CanESM5, thin grey lines individual CMIP6 models, and thick grey line the model ensemble mean (excluding CanESM5 and CanESM5-CanOE).

Figure 20 - Cumulative ocean uptake of carbon dioxide (CO_2) as anthropogenic dissolved inorganic carbon (AnthDIC) in PgC over the course of the historical experiment (1850-2014). Data are shown as successive five-year means. CMIP6 mean (thick grey line) indicates ensemble mean for CMIP6 models (thin grey lines) excluding CanESM5 (blue) and CanESM5-CanOE (red). An observation-based estimate of $145 \pm 20 \text{ PgC}$ (Friedlingstein et al., 2020) is shown for nominal year 2014 (black).

Figure 21 - Change in export production (epc100) over the course of the historical experiment (1850-2014), normalized to the 1850-1900 mean. Data are shown as successive five-year means. Thick red line represents CanESM5-CanOE, thick blue line CanESM5, thin grey lines other CMIP6 models, and thick grey line the ensemble mean of non-CanESM models.

Figure 22 - (a) Change in total ocean volume with oxygen (O_2) concentration less than (a) 6 mmol m^{-3} and (b) 60 mmol m^{-3} over the course of the historical experiment (1850-2014), normalized to the 1850-1870 mean. Data are shown as successive five-year means. Thick red line represents CanESM5-CanOE, thick blue line CanESM5, and thin grey lines other CMIP6 models..