

1 **The Effects of Ocean Surface Waves on Global**  
2 **Intraseasonal Prediction: Case Studies with a Coupled**  
3 **CFSv2.0-WW3 System**

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16 **Abstract.** This article describes the implementation of a coupling between a global forecast model  
17 (CFSv2.0) and a wave model (WW3), and investigates the effects of ocean surface waves on the air-sea  
18 interface in the new framework. Several major wave-related processes, including the Langmuir mixing,  
19 Stokes-Coriolis force with entrainment, air-sea fluxes modified by Stokes drift and momentum roughness  
20 length, are evaluated in two groups of 56-day experiments, one for boreal winter and the other for boreal  
21 summer. Comparisons are made against in-situ buoys, satellite measurements and reanalysis data, to  
22 evaluate the influence of waves on intraseasonal prediction of sea surface temperature (SST), 2-m air  
23 temperature (T02), mixed layer depth (MLD), 10-m wind speed (WSP10) and significant wave height  
24 (SWH). The wave-coupled experiments show that overestimated SSTs and T02s, as well as  
25 underestimated MLDs at mid-high latitudes in summer from original CFSv2.0 are significantly improved  
26 due to enhanced vertical mixing generated by Stokes drift. For WSP10s and SWHs, the wave-related  
27 processes generally reduce biases in regions where WSP10s and SWHs are overestimated. On one hand,  
28 the decreased SSTs stabilize the marine atmospheric boundary layer, weaken WSP10s and then SWHs.  
29 On the other hand, the increased roughness length due to waves reduces the originally overestimated  
30 WSP10s and SWHs. In addition, the effects of Stokes drift and current on air-sea fluxes also rectify  
31 WSP10s and SWHs. These cases are helpful for the future development of the two-way CFSv2.0-wave  
32 coupled system.

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## 34 **1 Introduction**

35 Ocean surface gravity waves play an important role in modifying physical processes at the atmosphere-  
36 ocean interface, which can influence momentum, heat and freshwater fluxes across the air-sea interface  
37 (Li and Garrett, 1997; Taylor and Yelland, 2001; Moon et al., 2004; Janssen 2004; Belcher et al., 2012;  
38 Moum and Smyth, 2019). For instance, ocean surface waves modify ocean surface roughness to influence  
39 the marine atmospheric boundary layer and thus change the momentum, latent heat, and sensible heat  
40 transfer (Janssen 1989, 1991; Taylor and Yelland, 2001; Moon et al., 2004; Drennan et al., 2003, 2005).  
41 The breaking waves inject turbulent kinetic energy in the upper ocean, which enhances the mixing  
42 process (Terray et al. 1996). Nonbreaking surface waves also affect mixing in the upper ocean by adding  
43 a wave-related Reynolds stress (Qiao et al., 2004; Ghantous and Babanin, 2014). The wave-related  
44 Stokes drift interacts with Coriolis force and produces the Coriolis-Stokes force (Hasselmann 1970). The  
45 shear of Stokes drift is critical for generation of Langmuir circulation, which significantly deepens the  
46 mixed layer by strong vertical mixing both at climate scales (Li and Garrett 1997; Belcher et al., 2012)  
47 and at weather scales (Kukulka et al., 2009).

48 Various wave-related parameterizations have been proposed and used in modelling. The wave-related  
49 Charnock parameter ( $C_{ch}$ ) defines sea surface roughness and affects wind stress estimates (Pineau-  
50 Guillou et al. 2018; Sauvage et al. 2020). There are primarily three methods for defining  $C_{ch}$ , assessed  
51 from the wave-induced kinematic stress (Janssen 1989, 1991), the wave age (Drennan et al., 2003, 2005;  
52 Moon et al., 2004), or the steepness (Taylor and Yelland, 2001). The former two are based on the wind-  
53 sea conditions, whereas the latter includes both swells and wind-sea waves. Modifications to these  
54 Charnock parameterizations were suggested in recent studies for the leveling off roughness under high  
55 winds (e.g., Fan et al., 2012; Bidlot et al., 2020; ECMWF, 2020; Li et al., 2021). In the oceanic boundary

56 layer, waves influence upper ocean mixing via wave dissipation and Stokes drift-induced processes. In  
57 Breivik et al. (2015), the wave dissipation-related turbulent kinetic energy flux is found to yield the  
58 largest sea surface temperature (SST) differences in the extratropics. The Stokes drift-induced Langmuir  
59 turbulence can improve temperature simulation over most of the world oceans, particularly in the  
60 Southern Ocean (Belcher et al., 2012; Li et al., 2016). Polonichko (1997), Van Roekel et al. (2012) and  
61 Li et al. (2017) indicated that the Langmuir cell intensity strongly depends on the alignment of winds  
62 and waves, reaching maximum when they are aligned. Li et al. (2016) found the effect of Langmuir cell  
63 can be further enhanced by entrainment. In Couvelard et al. (2020), the Stokes drift-related forces can  
64 also contribute modestly to the deepening of the mixed layer depth (MLD). In the First Institute of  
65 Oceanography Earth System Model, Bao et al. (2019) indicated that the non-breaking wave-induced  
66 mixing, Stokes drift-affected air-sea fluxes, as well as sea spray are all important for climate estimates.

67 The wave-related processes at the air-sea interface are complex and important in global coupled  
68 systems (e.g., Breivik et al. 2015; Law-Chune and Aouf, 2018; Bao et al. 2019; Couvelard et al. 2020).  
69 Most of the coupled models with a wave component at global scale were developed for climate research  
70 (e.g., Law-Chune and Aouf, 2018; Bao et al. 2019; Couvelard et al. 2020). Exceptionally, an Integrated  
71 Forecasting System (IFS) with fully coupled atmosphere, ocean and wave components, developed by  
72 European Centre for Medium-Range Weather Forecasts (ECMWF) (Janssen 2004; Bidlot et al. 2019,  
73 2020), has been released with great flexibility for global forecasts from medium-range weather scales to  
74 seasonal scales (Breivik et al. 2015).

75 The effects of wave-related processes are worth further evaluation in different global coupled  
76 modelling systems. Since it takes sufficient periods for the wave energy to develop (Janssen 2004), we  
77 investigate the impact of individual wave process at intraseasonal timescale in a new global atmosphere-

78 ocean-wave system. To achieve this, we coupled the WAVEWATCH III (WW3) to the Climate Forecast  
79 System model version 2.0 (CFSv2.0) and then conducted sensitivity experiments in boreal winter and  
80 summer for comparison. The effects of upper ocean mixing modified by Langmuir cell, Stokes-Coriolis  
81 force and entrainment, air-sea fluxes modified by surface current and Stokes drift, and momentum  
82 roughness length are evaluated. The CFSv2.0 is a coupled system with the main application for  
83 intraseasonal and seasonal prediction (e.g., Saha et al. 2014). The National Centers for Environmental  
84 Prediction (NCEP) is establishing its atmosphere-ocean-wave system, in which the Global Forecast  
85 System (GFS; the atmosphere module in CFSv2.0 system) is one-way coupled with WW3. Our work can  
86 provide insights for two-way wave coupling of CFSv2.0, and is helpful for the future development of the  
87 CFSv2.0-wave coupling system. Two groups of 56-day predictions were conducted for boreal winter and  
88 boreal summer, respectively. Then, the predictions were compared with observations and reanalysis data.  
89 For each group, sensitivity experiments with different wave parameterizations were carried out to  
90 evaluate the effects of individual wave-related process.

91 The rest of the paper is structured as follows: methods and numerical experiments with different  
92 parameterizations are described in Section 2; the observations and reanalysis data are introduced in  
93 Section 3, and the results of experiments are evaluated and compared in Section 4. Finally, a summary  
94 and discussion are given in Section 5.

## 95 **2 Methods and Experiments**

### 96 **2.1 Coupling WAVEWATCH III with CFSv2.0**

97 The version 5.16 of WW3 (WAVEWATCH III Development Group, 2016) developed by the National  
98 Oceanic and Atmospheric Administration (NOAA)/NCEP has been incorporated into the CFSv2.0 (Saha

99 et al., 2014) as a new model component. The latitude range of WW3 is 78°S-78°N with a spatial  
100 resolution of 1/3°; the frequency range is 0.04118-0.4056Hz and the total number of frequencies is 25;  
101 the number of wave directions is 24 with a resolution of 15°; the maximum global time step and the  
102 minimum source term time step are both 180 s.

103 The CFSv2.0 contains two components, the GFS (details are available at  
104 <http://www.emc.ncep.noaa.gov/GFS/doc.php>) as the atmosphere component and the Modular Ocean  
105 Model version 4 (MOM4; Griffies et al., 2004) as the ocean component. The MOM4 is integrated on a  
106 nominal 0.5° horizontal grid with the enhanced horizontal resolution to 0.25° in the tropics, and has 40  
107 vertical levels; the vertical spacing is 10 m in the upper 225 m, and then increases in unequal intervals to  
108 the bottom at 4478.5 m. A three-layer sea ice model is included in MOM4 (Wu et al. 2005). The GFS  
109 uses a spectral triangular truncation of 382 waves (T382) in the horizontal, which is equivalent to a grid  
110 resolution of nearly 35 km, and 64 sigma-pressure hybrid layers in the vertical. The time steps of both  
111 MOM4 and GFS are 180 s. The ocean and atmosphere components are then coupled at the same rate. In  
112 the original two-way coupled system, the GFS receives SST from MOM4 and sends fluxes of heat,  
113 momentum, freshwater to MOM4 (black arrows in Fig. 1).

114 The Chinese Community Coupler version 2.0 (C-Coupler2; Liu et al., 2018) is used to interpolate and  
115 pass variables between atmosphere and wave components as well as ocean and wave components. Each  
116 component receives inputs and supplies outputs on its own grids. The C-Coupler2 is a common, flexible  
117 and user-friendly coupler, which contains a dynamic 3-D coupling system and enables variables to remain  
118 conserved after interpolation.

119 A schematic diagram of the coupled atmosphere-ocean-wave system is shown in Fig. 1. As illustrated,  
120 WW3 is two-way coupled with MOM4 and GFS, through the C-Coupler2. WW3 is forced by 10-m wind

121 from GFS (green arrows) and surface current from MOM4 (blue arrows), and then generates and evolves  
122 the wave action density spectrum. Meanwhile, the surface Stokes drift velocity, the Stokes transport and  
123 the turbulent Langmuir number are passed to MOM4 (red arrows; see Section 2.3) from WW3, and the  
124 surface Stokes drift velocity and the Charnock parameter are passed to GFS (red arrows; see Section 2.4  
125 and 2.5). The high frequency tail assumption for Stokes drift in WW3 is used with a spectral level  
126 decaying as  $f^{-5}$  (frequency). Additionally, the regular ocean surface current velocities from MOM4 are  
127 also passed to GFS, to calculate the relative wind velocity for the turbulent fluxes together with surface  
128 Stokes drift (blue arrows; see Section 2.4).

129 Both the CFSv2.0 and WW3 use warm starts; the initial fields at 00:00 UTC of the first day in each  
130 experiment for CFSv2.0 were generated by the real time operational Climate Data Assimilation System  
131 (Kalnay et al., 1996), downloaded from the CFSv2.0 official website  
132 (<http://nomads.ncep.noaa.gov/pub/data/nccf/com/cfs/prod>). To get initial conditions for WW3, a stand-  
133 alone WW3 model is set up synchronously (see Section 2.2). Since the interactions between waves and  
134 sea ice are complicated and beyond the scope of the study, we turn off the coupling between WW3 and  
135 CFSv2.0 in areas with sea ice.

136 In addition, to properly select the coupling frequency between CFSv2.0 and WW3, the root mean  
137 square errors (RMSEs) of SST, significant wave height (SWH) and 10-m wind speed (WSP10) with  
138 different coupling steps for the fully coupled experiment (ALL; details in Section 2.6) are calculated and  
139 compared (Table S1 of the supplementary material). The three components are coupled every time step  
140 (180 s) in 1\_STEP\_ALL experiment, every 5 steps (900 s) in 5\_STEP\_ALL experiment and every 10  
141 steps (1800 s) in 10\_STEP\_ALL experiment. In 10\_STEP\_WW3, only the WW3 is coupled every 10  
142 time steps, whereas the GFS and the MOM4 remain the one time step (180 s) coupling frequency as the

143 original settings in CFSv2.0. From Table S1, the 10\_STEP\_WW3 experiment has a relatively short  
144 runtime and small RMSEs. Therefore, the time steps of the 10\_STEP\_WW3 are selected to compromise  
145 computing time consumption and model RMSEs.

## 146 **2.2 Initialization of WAVEWATCH III**

147 In WW3, input of momentum and energy by wind, and dissipation for wave-ocean interaction are two  
148 important terms (combined as input-dissipation source term) in the energy balance equation  
149 (WAVEWATCH III Development Group, 2016), which include the estimation of the Charnock  
150 parameter. Several different packages to calculate the input-dissipation source term (ST) are available in  
151 the WW3 version 5.16, including ST2 (Tolman and Chalikov, 1996), ST3 (Janssen, 2004; Bidlot, 2012),  
152 ST4 (Ardhuin et al., 2010), and ST6 (Zieger et al., 2015).

153 The initial wave fields were generated from 10-day simulation starting from rest in a stand-alone WW3  
154 model. To minimize the biases of initial wave fields, we tested simulations with ST2, ST3, ST4, and ST6  
155 schemes respectively, and compared the results with Janson-3 observations. Two 10-m wind datasets,  
156 the Cross-Calibrated Multi-Platform (CCMP; Atlas et al., 2011) data and the fifth generation European  
157 Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis (ERA5; Hersbach et al., 2020) data,  
158 were used to drive the wave model respectively. Comparing all results, the ST4 scheme with ERA5 wind  
159 forcing generates the minimum SWH bias (Table S2 in the supplementary material), consistent with  
160 findings in Stopa et al. (2016). Thus, the ST4 scheme was chosen to calculate the input and dissipation  
161 term, and generate initial wave fields with ERA5 wind forcing for experiments listed in Table 1. The  
162 parameters used for ST4 scheme followed TEST471f from WAVEWATCH III Development Group  
163 (2016), which is the CFSR (CFS Reanalysis) tuned setup and is commonly-used at global scale.

## 164 2.3 Parameterizations of Stokes Drift-Related Ocean Mixing

165 The full Stokes drift profile used in MOM4 is obtained by the method of Couvelard et al. (2020),  
166 which is based on the work of Breivik et al. (2014; 2016). Breivik et al. (2016) derived the full Stokes  
167 drift profile as

$$u_s B16(z) = u_s(0) [\exp(2k_p z) - \sqrt{-2\pi k_p z} \operatorname{erfc}(\sqrt{-2k_p z})], \quad (1)$$

168 where  $u_s(0)$  is the surface Stokes drift velocity,  $k_p = \frac{u_s(0)}{6V_s}$ ,  $V_s$  is the Stokes transport, and  $\operatorname{erfc}$  is  
169 the complementary error function. Eqn. 1 is depth-averaged within each vertical grid interval as

$$u_s(z) = \frac{u_s(0)}{(th)_k} [I(z_{k+1/2}, k_p) - I(z_{k-1/2}, k_p)], \quad (2)$$

$$I(z, k_p) = \frac{1}{6k_p} [e^{2k_p z} + 4k_p z \frac{u_s B16(z)}{u_s(0)}], \quad (3)$$

170 where  $th$  is the thickness of layer  $k$ , following Li et al., (2017), Wu et al., (2019) and Couvelard et al.,  
171 (2020).

### 172 2.3.1 Mixing of Langmuir Turbulence

173 McWilliams and Sullivan (2000) modified the turbulent velocity scale  $W$  in K-Profile Parameterization  
174 (KPP) for vertical mixing by introducing an enhancement factor  $\varepsilon$ , to account for both boundary layer  
175 depth changes and nonlocal mixing by Langmuir turbulence. Based on their work, Van Roekel et al.  
176 (2012) improved the enhancement factor corresponding to alignment and misalignment of winds and  
177 waves. Li et al. (2016) evaluated these parameterizations in a coupled global climate model, and found  
178 that the difference between parameterizations with alignment and with misalignment was not significant,  
179 owing to the relatively coarse resolution which cannot accurately represent the refraction by coasts and  
180 current features. We used the parameterization from Van Roekel et al. (2012) as well. Because the  
181 resolution in our model is relatively coarse too, and the angles between winds and waves are less than

182 30° in most areas (Fig. S1i&j in the supplementary material), we didn't consider misalignment in the  
 183 study.

184  $W$  ( $W=ku_*/\phi$ , where  $u_*$  is the surface friction velocity,  $\phi$  is the dimensionless flux profile, and  
 185  $k=0.4$  is the von Kármán constant) depends on the turbulent Langmuir number, that is,

$$W = \frac{ku_*}{\phi} \varepsilon, \quad (4)$$

$$\varepsilon = \sqrt{1 + (3.1La_t)^{-2} + (5.4La_t)^{-4}}, \quad (5)$$

186 where  $La_t$  is the turbulent Langmuir number, defined as

$$La_t = \sqrt{\frac{u_*}{|u_s(0)|}}, \quad (6)$$

187 with  $u_s(0)$  the surface Stokes drift velocity.

188 Furthermore, the enhanced  $W$  will influence the calculation of boundary layer depth. In KPP the  
 189 boundary layer depth is determined as the smallest depth at which the bulk Richardson number equals  
 190 the critical value  $Ri_{cr} = 0.3$ , that is,

$$Ri_b(h) = \frac{gh[\rho_r - \rho(h)]}{\rho_0[|u_r - u(h)|^2 + W^2]} = Ri_{cr}, \quad (7)$$

191 where  $g$  is acceleration of gravity,  $\rho$  is density,  $u$  is velocity,  $\rho_r$  is surface density,  $u_r$  is surface  
 192 velocity,  $\rho_0$  is the average value of the density and  $h$  is the boundary layer depth. Hence, when  $W$  is  
 193 enhanced, the boundary layer depth  $h$  is deepened accordingly.

### 194 2.3.2 Stokes-Coriolis Force and Associated Entrainment

195 Because the Stokes drift velocity is an increment superimposed on the original current velocity, the  
 196 Coriolis force and the Stoke drift together produce an additional so-called Stokes-Coriolis (SC) force  
 197 (Hasselmann 1970), that is,

$$SC\ Force = \overline{u_s(\vec{z})} \times f\vec{z}. \quad (8)$$

198 Here  $\overline{u_s(\vec{z})}$  is the Stokes drift velocity vector,  $f$  is the Coriolis frequency, and  $\vec{z}$  is the vertical unity  
 199 vector. For consistency, the Stokes drift velocity is also included in advection terms of tracers (e.g.,  
 200 temperature, salinity) and convergence terms (Law-Chune and Aouf, 2018; Couvelard et al., 2020). And  
 201 the free surface condition for barotropic mode is correspondingly modified to

$$\frac{\partial \eta}{\partial t} = -\nabla M_{curr} - \nabla M_{st}, \quad (9)$$

202 where  $\eta$  is surface elevation,  $M_{curr}$  and  $M_{st}$  are the total vertical integral of regular Eulerian current  
 203 and Stokes drift, respectively.

204 To depict the entrainment below the ocean surface boundary layer induced by Stokes drift, Li et al.  
 205 (2016) suggested adding the square of surface Stokes drift velocity ( $|u_s(0)|^2$ ) to the denominator of  
 206 Eqn. 7, that is,

$$Ri_b(h) = \frac{gh[\rho_r - \rho(h)]}{\rho_0[|u_r - u(h)|^2 + W^2 + |u_s(0)|^2]} = Ri_{cr}. \quad (10)$$

207 The boundary layer depth  $h$  in KPP from Eqn. 10 is then enhanced due to Stokes drift velocity.

## 208 2.4 Stokes Drift and Sea Surface Current on Air-Sea Fluxes

209 At the air-sea boundary layer, the momentum flux ( $\tau$ ), sensible heat flux (SH) and freshwater flux (E)  
 210 are calculated as

$$\tau = \rho_a C_d |\Delta \vec{V}| \Delta \vec{V}, \quad (11)$$

$$SH = \rho_a C_h |\Delta \vec{V}| \Delta \theta, \quad (12)$$

$$E = \rho_a C_e |\Delta \vec{V}| \Delta q, \quad (13)$$

211 where  $C_d$ ,  $C_h$ ,  $C_e$  are surface exchange coefficients for momentum, sensible heat and freshwater.  $\rho_a$

212 is air density.  $\Delta\theta, \Delta q$  are potential temperature and humidity differences between air and sea, and  $\Delta\vec{V}$   
 213 is velocity of air relative to water flow.

214 In CFSv2.0,  $\Delta\vec{V}$  is set to be wind speed ( $\overrightarrow{U_{wind}}$ ). However, the effect of ocean surface current should  
 215 not be ignored. Luo et al. (2005) first indicated that including ocean surface current ( $\overrightarrow{U_{surf}}$ ) improves  
 216 estimates of  $\tau$  and subsequent ocean response. Renault et al. (2016) further indicated that the  
 217 improvements of  $\tau$  by  $\overrightarrow{U_{surf}}$  also feed back into atmosphere. At present,  $\Delta\vec{V} = \overrightarrow{U_{wind}} - \overrightarrow{U_{surf}}$  is  
 218 widely used in coupled ocean-atmosphere models (e.g., Hersbach and Bidlot, 2008; Takatama et al.,  
 219 2017; Renault et al., 2021). Furthermore, Bao et al. (2019) indicated that as a part of the sea surface water  
 220 movement with speed magnitude comparable to surface current in mid-high latitudes, the surface Stokes  
 221 drift ( $\overrightarrow{u_s(0)}$ ) should also be included, that is,

$$\Delta\vec{V} = \overrightarrow{U_{wind}} - \overrightarrow{U_{surf}} - \overrightarrow{u_s(0)}. \quad (14)$$

222 To account for the effects of the surface currents and of the Stokes drift, Eqn. 14 was used in the coupled  
 223 experiments (Table 1). To complete the coupling, the corresponding modification of the tridiagonal  
 224 matrix (Lemarié 2015) has been implemented in CFSv2.0. Note that the direction of Stokes drift is  
 225 generally consistent with 10-m wind (Fig. S1i&j in supplementary material), but the directions of surface  
 226 current and 10-m wind are usually different due to Coriolis effect (Fig. S1g&h). Consequently, the effects  
 227 of  $\overrightarrow{U_{surf}}$  and  $\overrightarrow{u_s(0)}$  on  $\Delta\vec{V}$  depend on the angles between them and  $\overrightarrow{U_{wind}}$ .

## 228 2.5 Parameterizations of Momentum Roughness

229 In CFSv2.0, the fluxes of momentum, heat, and freshwater are passed from atmosphere to ocean, and  
 230 the estimates of them are critically important. The fluxes are in part determined by surface roughness  
 231 length, which can be converted to surface exchange coefficients based on the Monin-Obukhov similarity

232 theory (Monin and Obukhov, 1954).

### 233 **2.5.1 The Momentum Roughness Length in GFS**

234 In GFS, the momentum roughness length  $z_0$  has two terms. The first term  $z_{ch}$  is parameterized by  
235 the Charnock relationship (Charnock, 1955) representing wave-resulted sea surface roughness, and the  
236 second term  $z_{vis}$  is the viscous contribution (Beljaars, 1994) for low winds and smooth surface, that is,

$$z_0 = z_{ch} + z_{vis} = \frac{C_{ch}u_*^2}{g} + \frac{0.11\nu}{u_*}. \quad (15)$$

237 Here  $C_{ch} = 0.014$  is the constant Charnock parameter,  $\nu$  is the air kinematic viscosity. The relation of  
238  $z_0$  in GFS versus 10-m wind speed is shown in Fig.2 (black line).

### 239 **2.5.2 The Charnock Relationship Related to Wave State**

240 When ocean surface waves are explicitly considered, the Charnock parameter  $C_{ch}$  is not a constant  
241 (Janssen 1989, 1991; Taylor and Yelland, 2001; Moon et al., 2004; Drennan et al., 2003, 2005). In the  
242 study, we adopted a method developed by Moon et al. (2004), which considered the surface roughness  
243 leveling off under extremely high wind speed (Powell et al., 2003; Donelan et al., 2004). Based on  
244 observations, Moon et al. (2004) proposed Eqn. 16 to estimate the Charnock parameter by the wave age  
245  $\frac{c_{pi}}{u_*}$  ( $c_{pi}$  is the peak phase speed of the dominant wind-forced waves) with constant values of  $a$  and  $b$   
246 changing with 10-m wind speed every 5 m/s in the range of 10 m/s to 50 m/s.

$$C_{ch} = a\left(\frac{c_{pi}}{u_*}\right)^b, \quad (16)$$

247 To obtain continuous values of  $a$  and  $b$ , we derive a new relationship (Eqn. 17) to estimate  $a$  and  $b$  from  
248 10-m wind speed  $U_{10}$  by fitting the values in Table 1 of Moon et al. (2004),

$$a = \frac{1}{0.1477U_{10}^2 - 0.7395U_{10} - 10.9995}, \quad (17)$$

$$b = 1.5661E^{-5}U_{10}^3 - 0.002U_{10}^2 + 0.1017U_{10} - 1.6182.$$

249 Because the observations in Moon et al. (2004) were obtained under tropical cyclones, Eqn. 17 is used  
 250 for  $U_{10} > 15$  m/s, whereas the original Charnock relationship of WW3 ST4 scheme (Janssen 1989, 1991)  
 251 is used for  $U_{10} \leq 15$  m/s. The revised parameterization is called ST4-M04. Figure S2 in supplementary  
 252 material shows the  $C_{ch}$  distribution obtained by Eqn. 16-17. In general small wind direction variations  
 253 at low latitudes lead to large wave age and thus low  $C_{ch}$ . The situation is opposite at mid-high latitudes.

254 The relationships between  $z_0$  and  $U_{10}$  in GFS, WW3 ST4 scheme (Janssen 1989, 1991) and ST4-  
 255 M04 scheme were compared in Fig.2. The  $z_0$  in GFS increases relatively slowly with increasing wind  
 256 speed (black). The value of  $z_0$  from ST4 scheme (purple) increases rapidly with wind speed at high  
 257 winds. In comparison, in ST4-M04 scheme (blue) the rapid increase of  $z_0$  at high wind speed is  
 258 obviously restrained, although the mean  $z_0$  is slightly higher than that in GFS at wind speed  $> 10$  m/s  
 259 due to larger  $C_{ch}$  ( $> 0.014$  in Fig. S2). Furthermore, since the Charnock number is constant in GFS, the  
 260 standard deviation (STD) of  $z_0$  at a given wind speed is near zero. Since the  $z_0$  is determined only by  
 261 wind-sea conditions in ST4 and ST4-M04 scheme, the STD at a given wind speed is mainly owing to  
 262 variations in wind fetch and development stage of sea state. The reduced STDs in ST4-M04 scheme,  
 263 compared to ST4, imply less sensitivity of  $z_0$  to fetch and sea state. Note that the ST4-M04 is used in  
 264 GFS, while the  $z_0$  in WW3 is still calculated by the ST4 source term to avoid affecting the balance of  
 265 adjusted wind input and dissipation.

## 266 2.6 Set of Experiments

267 A series of numerical experiments was conducted to evaluate the effects of aforementioned wave-

268 related processes on ocean and atmosphere in two 56-day periods, from January 3 to February 28, 2017  
269 and from August 3 to September 28, 2018 for boreal winter and boreal summer, respectively.

270 The reference experiment (CTRL) is a one-way coupled experiment, in which CFSv2.0 provides 10-  
271 m wind and surface current to WW3, whereas no variable is transferred from WW3 to CFSv2.0. The  
272 results of CFSv2.0 in CTRL are consistent with the corresponding CFS Reanalysis data (Saha et al.,  
273 2010). For each period, four sensitivity experiments were carried out (Table 1). Based on CTRL, the first  
274 is the VR12-AL-SC-EN experiment, in which the Langmuir mixing parameterization is used with  
275 Stokes-Coriolis force and entrainment in MOM4. The second is the Z0-M04 experiment, in which the  
276 constant  $C_{ch}$  in GFS is replaced by  $C_{ch}$  from WW3 ST4-M04 scheme. The effect of fluxes in GFS  
277 generated by  $\Delta\vec{V}$  (Eqn. 14) is tested in the FLUX experiment. The last experiment is the ALL, which  
278 includes all three parameterizations.

### 279 **3 Data**

280 Due to the availability of in situ and reanalysis data in the simulation periods, only sea surface  
281 temperature (SST), ocean subsurface temperature and salinity (T/S), 2-m air temperature (T02), 10-m  
282 wind speed (WSP10), and significant wave height (SWH) were used to evaluate the simulation results.

283 The daily average satellite Optimum Interpolation SST (OISST) data were obtained from NOAA, with  
284  $0.25^\circ \times 0.25^\circ$  resolution (Reynolds et al., 2007; <https://www.ncdc.noaa.gov/oisst>). The global Argo  
285 observational profiles of T/S (Li et al., 2019) were from China Argo Real-time Data Center  
286 ([www.argo.org.cn](http://www.argo.org.cn)). The ERA5 datasets of T02, WSP10 and SWH with a spatial resolution of  $0.5^\circ$  were  
287 also used (Hersbach et al., 2020; [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels)  
288 [single-levels](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels)), which assimilated huge amounts of historical data and thus provided reliable hourly

289 estimates. Additionally, the WSP10 and SWH observations from the available National Data Buoy  
290 Center (NDBC) buoy data (<https://www.ndbc.noaa.gov>) were used for comparison.

## 291 **4 Experimental Results**

292 In this section, an evaluation of simulation results was presented. Comparisons were made between  
293 model results and observations/reanalysis data. The results in the first three days were excluded in the  
294 evaluation, since the wave influences were weak at the beginning. Compared with observations or ERA5,  
295 the general increase of the biases in all experiments is likely a drift from the initial conditions since no  
296 data are assimilated.

### 297 **4.1 Sea Surface Temperature (SST) and 2-m Air Temperature (T02)**

298 Figure 3a shows the spatial distribution of 53-day (day 4 to day 56) averaged SST biases in CTRL in  
299 boreal winter, defined as SST in CTRL minus OISST. The global mean SST bias is approximately  $0.32^{\circ}\text{C}$ ,  
300 and the average RMSE is about  $1.09^{\circ}\text{C}$  from day 4 to day 56 in CTRL (Fig. 3a). The simulated SSTs are  
301 generally overestimated, and the large biases ( $>1.0^{\circ}\text{C}$ ) are mainly distributed in the Southern Ocean. In  
302 Fig. 3b, the global-averaged RMSEs of CTRL (black) increase with time in the first month and then  
303 gradually level off. Compared with CTRL, the RMSEs are reduced continuously in VR12-AL-SC-EN  
304 and ALL (yellow and red), but not in Z0-M04 and FLUX (purple and blue).

305 To understand the critical process responsible for the bias reduction in ALL, the SST differences are  
306 compared across all four experiments (Fig.3c-f). Clearly, the difference in experiment VR12-AL-SC-EN  
307 is similar to that in ALL (Fig. 3c&3f). The spatial correlation coefficient between the SST differences  
308 with CTRL of the two experiments (Fig. 3c&3f) is 0.67, significant at 99% confidence level, and the

309 RMSEs of SST are not different significantly (red and yellow lines in Fig. 3b), indicating the Stokes  
310 drift-related parameterizations in VR12-AL-SC-EN mainly contribute to the SST positive bias reduction.  
311 This contrasts with Couvelard et al. (2020), where SST overestimations and MLD underestimations are  
312 reduced mainly due to the directly modified turbulence kinetic energy scheme. The global mean SST  
313 bias in ALL is  $0.02\text{ }^{\circ}\text{C}$  with RMSE of  $1.03\text{ }^{\circ}\text{C}$ , and in most areas the SST differences compared with  
314 CTRL are significant ( $P \leq 0.05$ ) (dotted areas in Fig. 3f). Large SST improvements mainly appear in the  
315 Southern Ocean, with a regional RMSE decrease from  $1.27$  to  $1.04\text{ }^{\circ}\text{C}$  south of  $45^{\circ}\text{S}$  (Fig. 3f and red  
316 line in Fig. 5a). The reduction of overestimated SSTs in CTRL (red in Fig 3a) is because the Stokes drift-  
317 related parameterizations in MOM4 inject turbulent kinetic energy into the ocean, which enhance vertical  
318 mixing, and subsequently cool the surface waters (Belcher et al., 2012; Li et al. 2016). The modified  
319 roughness and relative velocity in Z0-M04 and FLUX also influence upper ocean mixing (Fig. 3d&e)  
320 via changing momentum flux, and lead to generally warmer SSTs (purple and blue lines in Fig. 3b&5a).  
321 The effect from Stokes drift-related ocean mixing parameterizations dominates SST changes in ALL.

322 In boreal summer, the global mean SST bias in CTRL is overestimated approximately  $0.29\text{ }^{\circ}\text{C}$ , and the  
323 averaged RMSE from day 4 to day 56 is about  $1.19\text{ }^{\circ}\text{C}$ . The overestimated SSTs ( $>1.0\text{ }^{\circ}\text{C}$ ) mainly occur  
324 in the Northern Hemisphere (Fig. 4a). The global-averaged RMSEs are also generally lower in VR12-  
325 AL-SC-EN and ALL than in CTRL (Fig. 4b). The cooling effects in VR12-AL-SC-EN lead to a global  
326 mean bias of  $0.06\text{ }^{\circ}\text{C}$ , and the large SST improvements mainly occur north of  $50^{\circ}\text{N}$  (Fig. 4c and yellow  
327 line in Fig. 5b). The changes of SST in Z0-M04 and FLUX (Fig. 4d&e; purple and blue lines in Fig.  
328 4b&5b) are relatively small. The global mean bias in ALL is  $0.04\text{ }^{\circ}\text{C}$  with an RMSE of  $1.14\text{ }^{\circ}\text{C}$  (Fig. 4f).

329 As aforementioned, large improvements of overestimated SST mainly occur at mid-high latitudes in  
330 local summer. The time series of RMSEs and correlation coefficients of SST between model and

331 observation in the region (0-360°E, 45°-78°S in boreal winter and 0-360°E, 50°-78°N in boreal summer)  
332 are shown in Fig. 5c-f. The RMSEs in CTRL (blue in Fig. 5c&d) increase in the first few weeks and then  
333 gradually decrease afterward. Compared with CTRL, RMSEs in VR12-AL-SC-EN (yellow) and ALL  
334 (red) are significantly ( $P \leq 0.01$ ) reduced by about 0.3°C. The spatial correlation coefficients decrease  
335 with time but remain high ( $>0.90$ ) for all experiments (Fig. 5e&f) with higher values in experiment  
336 VR12-AL-SC-EN (yellow).

337 We also compared T02 from experiments with ERA5 (Fig. 6). Warm biases of T02 appear in both  
338 winter and summer in CTRL (Fig. 6a&b). The changes of T02 in sensitivity experiments (Fig. 6c-j) are  
339 generally consistent with the changes of SST in the same experiments (Fig.3&4). The correlation  
340 coefficients between the SST and the T02 changes for the ALL experiment in boreal winter and summer  
341 (Fig. 3f&6f and Fig. 4f&6j) are 0.61 and 0.53 respectively, significant at 99% confidence level. In boreal  
342 winter, all wave-coupled experiments except FLUX reduce the T02 mean bias (Fig.6c-f). VR12-AL-SC-  
343 EC has the largest T02 bias reduction compared with CTRL, from 0.55°C to 0.17°C (Fig.6c). In boreal  
344 summer, both VR12-AL-SC-EC and ALL have the largest T02 bias reduction, from 0.29°C to 0.08°C  
345 (Fig.6g&j). Noticeably, the improvements in RMSEs are not large for all experiments, because the  
346 improvements mainly occur in areas with overestimated temperature.

#### 347 **4.2 Mixed Layer Depth (MLD)**

348 To further evaluate the direct effect of the wave-related processes on the upper ocean, we compared  
349 the MLD of all experiments with that estimated from Argo profiles in summer. The simulated T/S were  
350 interpolated onto the positions of Argo profiles at the nearest time. The MLD was estimated as the depth  
351 where the change of potential density reaches the value corresponding to a 0.2°C decrease of potential

352 temperature with unchanged salinity from surface (de Boyer Montégut et al., 2004; Wang and Xu, 2018).

353 The time series of MLDs from numerical experiments and Argo south of 45°S in boreal winter (north  
354 of 45°N in boreal summer) are compared in Fig. 7a (7b). The simulated MLDs are generally within the  
355 STD of Argo MLDs (shading in Fig. 7). In CTRL, the mean bias (CTRL minus Argo) with STD is -  
356  $13.15 \pm 7.82$  m ( $-6.75 \pm 5.29$  m) in boreal winter (summer). The correlation coefficient of MLDs in CTRL  
357 with Argo MLDs is 0.55 (0.68) with  $P \leq 0.01$ , and the mean RMSE is 15.30 m (8.55 m) in boreal winter  
358 (summer). In ALL, the mean bias (ALL minus Argo) with STD is  $7.70 \pm 10.42$  m ( $3.30 \pm 7.78$  m) in boreal  
359 winter (summer), and the correlation coefficient of MLDs enhances to 0.63 (0.78). The RMSE south of  
360 45°S decreases from 15.30 m in CTRL to 12.96 m in ALL. The RMSE north of 45°N decreases from  
361 6.71 m in CTRL to 5.55 m in ALL in the first six weeks but the value increases in the last two weeks due  
362 to overestimation of MLDs. Compared with CTRL (orange in Fig. 7), VR12-AL-SC-EN (yellow) and  
363 ALL (dark blue) show significant improvements ( $P \leq 0.01$ ) on the underestimated MLDs time series,  
364 whereas the MLDs difference between CTRL and Z0-M04 (purple)/FLUX (blue) is non-significant.

### 365 **4.3 Wind Speed at 10 m (WSP10) and Significant Wave Height (SWH)**

366 Compared with ERA5, the WSP10s in CTRL are generally overestimated in both winter and summer  
367 (Fig.8a&9a). The global averaged RMSEs of WSP10s in CTRL are 4.25 m/s (4.26 m/s) in boreal winter  
368 (summer). The global averaged RMSEs of WSP10s in all experiments increase with time in the first two  
369 weeks and then gradually level off (Fig. 8b&9b). The differences of RMSEs between CTRL and other  
370 experiments are tiny in the first 10 days, and afterwards the RMSEs in Z0-M04 and ALL (purple and  
371 red) become clearly smaller than in CTRL over most of the time.

372 The comparisons of the simulated SWHs in CTRL with the ERA5 also show that the SWHs are

373 overestimated in both winter and summer (Fig. 10a&11a). In boreal winter, the global mean SWH bias  
374 in CTRL is approximately 0.20 m with overestimates ( $> 0.30$  m) in the Pacific, the North Atlantic and  
375 the Southern Ocean (Fig.10a), and the average RMSE is about 1.29 m. In boreal summer, the global  
376 mean bias in CTRL is approximately 0.17 m with 1.22 m RMSE (Fig. 11a). Similar to WSP10s, the  
377 RMSEs of SWHs also increase in the first two weeks and then gradually level off (Fig. 10b&11b). The  
378 RMSEs in Z0-M04 and ALL (purple and red) are smaller than in CTRL over most of the time, consistent  
379 with changes of WSP10s. The correlation coefficients between changes of WSP10s and changes of  
380 SWHs in ALL are 0.77 and 0.73 in boreal winter and summer respectively (Fig. 8f&10f and Fig. 9f&11f),  
381 significant at 99% confidence level, indicating that the SWHs changes are closely related to changes of  
382 wind speeds.

383 In VR12-AL-SC-EN, the reduction of SST warm biases affects air temperature and stabilizes the  
384 marine atmospheric boundary layer (Sweet et al. 1981; O'Neill et al. 2003), and subsequently reduces  
385 WSP10s and SWHs with decreased global bias in boreal winter (Fig.8c&10c). In Z0-M04, the  
386 overestimated WSP10s and SWHs are also reduced (Fig. 8d&10d) due to the larger  $z_0$  with the ST4-  
387 M04 scheme at wind speed  $> 10$  m/s (Fig. 2). The increase of  $z_0$  enhances wind stress and momentum  
388 transferred into the ocean, and therefore reduces surface winds (Pineau-Guillou et al. 2018; Sauvage et  
389 al. 2020) and consequently reduces SWHs. In FLUX (Fig. 8e&10e),  $\overrightarrow{U}_{\text{surf}}$  and  $\overrightarrow{u_s(0)}$  decrease wind  
390 stress and momentum transfer when their directions are consistent with wind directions, and vice versa  
391 (Hersbach and Bidlot, 2008; Renault et al., 2016). For instance, the angles between wind and current are  
392 relatively small ( $<90^\circ$ ) in the northeastern Pacific, reducing the wind stress and thus enhancing WSP10s  
393 (Fig. 8e). In contrast, the large angles ( $>90^\circ$ ) between the northwesterlies and the Kuroshio in the  
394 northwestern Pacific enhance wind stress, and decrease WSP10s (Fig. 8e). Consequently, improvements

395 occur in areas with misalignment of winds and currents. With all combined effects, the biases of WSP10s  
396 and SWHs in ALL in most regions are decreased (Fig. 8f&10f), with the reduced global RMSEs of 4.17  
397 m/s and 1.18 m respectively. In boreal summer, the improvements of WSP10s and SWHs are relatively  
398 small in terms of global averaged RMSEs, because of smaller positive biases in CTRL (Fig. 9a&11a). In  
399 ALL, the global averaged bias of WSP10s (SWHs) is -0.01 m/s (0.03 m). The largest reduction primarily  
400 appears in the Southern Ocean (Fig. 9f&11f) to improve the overestimated westerlies and SWHs in CTRL  
401 (Fig. 9a&11a).

402 Previous studies indicated that ocean surface winds in ERA5 are underestimated in some regions  
403 (Belmonte Rivas and Stoffelen 2019; Kalverla et al. 2020; Sharmar and Markina 2020). To better  
404 demonstrate the effects of waves on WSP10s and SWHs, comparisons of WSP10s and SWHs with the  
405 NDBC buoy data are made (Table 2 and Fig. 12). The differences between sensitivity experiments and  
406 CTRL are all statistically significant at 95% confidence level. Buoys are mainly located in the  
407 northeastern Pacific, the tropical Pacific and the northwestern Atlantic oceans (Fig. S3), and buoy  
408 identifiers with total numbers, longitudes and latitudes are listed in Table S3. The method from Hsu et al.  
409 (1994) was used to adjust wind speeds from buoy data to the reference height of 10 m.

410 Compared to the NDBC data, the WSP10s and the SWHs in CTRL are generally overestimated in both  
411 winter and summer with positive mean biases (Table 2 and Fig. 12). The reduction of mean biases appears  
412 in all experiments except FLUX in boreal winter. The wave-related processes are most effective in areas  
413 with positive biases, consistent with previous comparisons with ERA5. In boreal winter, the angles  
414 between winds and currents are small. The wind stresses are then reduced in FLUX, and the WSP10s are  
415 enhanced. So the positive bias is further enhanced. The improvements in ALL are generally the largest  
416 (Table 2), with the WSP10s RMSE of 1.04 m/s (1.15 m/s) and the SWHs RMSE of 0.36 m (0.24 m) in

417 boreal winter (summer). As shown in Fig. 12, with the increase of WSP10s and SWHs, the reduction of  
418 overestimation in ALL compared with CTRL is more prominent.

## 419 **5 Summary and Discussion**

420 To investigate the individual role played by wave-related processes on atmosphere and ocean interface  
421 in a coupled global atmosphere-ocean-wave modelling system on intraseasonal scale, we implemented  
422 the version 5.16 of WW3 into CFSv2.0 for global oceans from 78°S-78°N, using the C-Coupler2. In this  
423 coupled system, the WW3 was forced by 10-m wind and surface current generated in CFSv2.0. Stokes  
424 drift-related Langmuir mixing, Stokes-Coriolis force and entrainment in ocean, air-sea fluxes modified  
425 by surface current and Stokes drift, and momentum roughness length ( $z_0$ ) were considered separately,  
426 and the results of sensitivity experiments were compared against in-situ buoys, satellite measurements  
427 and ERA5 reanalysis. The effects of waves on intraseasonal prediction were examined in two 56-day  
428 cases, one for boreal winter and the other one for boreal summer.

429 The following key results were found:

- 430 1. Overestimated SST, T02 and underestimated MLD in the mid-high latitudes in CFSv2.0 are  
431 significantly improved, particularly in local summer. Because enhanced vertical mixing  
432 generated by Langmuir turbulence, Stokes-Coriolis force and entrainment in VR12-AL-SC-  
433 EN changes temperature structure in the upper ocean, and further affects air temperature. In  
434 boreal winter, the regional RMSE of SST (T02) in the Southern Ocean decreases from 1.27  
435 (1.93) in CTRL experiment to 1.04 (1.67) °C in ALL experiment. In boreal summer, the effect  
436 is weaker because of the smaller ocean areas in the mid-high latitudes of the Northern  
437 Hemisphere.

438 2. In general, all wave-related processes reduce biases for WSP10s and SWHs, particularly in  
439 regions where WSP10s and SWHs are overestimated. The decreased SSTs in VR12-AL-SC-  
440 EN stabilize the marine atmospheric boundary layer, and lead to weakened WSP10s and SWHs.  
441 The modified roughness in Z0-M04 generally enhances momentum transfer into the ocean, and  
442 so decreases WSP10s and SWHs. The relative wind-wave-current speed in FLUX also affects  
443 wind stress, and further influences WSP10s and SWHs. Compared with NDBC buoy  
444 observations and ERA5, the ALL experiment shows significant improvements.

445 In addition to the variables aforementioned, the changes of simulated enthalpy fluxes were also  
446 compared, which mainly depend on the WSP10s changes. However, the wave-related effects on enthalpy  
447 fluxes are non-significant for the 2-month simulation, so the results are not shown.

448 The wave-related parameterizations used in the study mainly improve model biases at mid-high  
449 latitudes, and SST biases in tropical oceans are only slightly improved (Fig. 3&4). Breivik et al. (2015)  
450 improved SST as well as subsurface temperature simulations in Nucleus for European Modelling of the  
451 Ocean (NEMO) with parametrizations including the wave-related Charnock parameter, modification of  
452 water-side stress with wind input and wave dissipation, wave dissipation-related turbulent kinetic energy  
453 flux and the Stokes-Coriolis force. Based on a global NEMO-WW3 coupled framework, Couvelard et al.  
454 (2020) modified the Charnock parameter, the Stokes drift-related forces and the Langmuir cell with  
455 misalignment of winds and waves, the oceanic surface momentum flux and the turbulence kinetic energy  
456 to reduce SST and MLD biases. In addition, sea sprays can enhance air-sea heat fluxes in the tropics  
457 (Andreas et al. 2008; Andreas et al. 2015). We will consider more processes in future studies.

458 Different parameterizations for the same wave-related process also deserve discussion. For ocean  
459 surface roughness, the most classic parametrizations are those developed by Janssen (1989, 1991), Taylor

460 and Yelland (2001) and Drennan et al. (2003). The method of Taylor and Yelland (2001) requires the  
461 peak wavelength for the total spectrum, whereas that of Drennan et al. (2003) only requires the peak of  
462 wind-sea waves. This difference leads to the fact that the former is more suitable for a mixed sea state,  
463 while the latter is more suitable for a young sea state (Drennan et al., 2005). And the effect of Janssen's  
464 parameterization (1989, 1991) is similar to that of Drennan et al. (2003), since it is also based on the  
465 wind-sea conditions (Shimura et al., 2017).

466 The case studies indicate that there remain significant biases in the coupled system, probably owing  
467 to inaccuracy of coarse resolution, absence of a coupled wave-ice modular, and deficiency of initial fields.  
468 In addition, to further improve the model and eliminate the biases, as Breivik et al. (2015) proposed,  
469 extra adjusting of the individual model components in the coupled systems is also necessary. All of these  
470 require further efforts to investigate efficient methods to improve fully coupled systems.

#### 471 **Code and data availability**

472 The code developed for the coupled system can be found under <https://doi.org/10.5281/zenodo.5811002>  
473 (Shi et al., 2021), including the coupling, preprocessing, run control and postprocessing scripts. The  
474 initial fields for CFSv2.0 are generated by the real time operational Climate Data Assimilation System,  
475 downloaded from the CFSv2.0 official website  
476 (<http://nomads.ncep.noaa.gov/pub/data/nccf/com/cfs/prod>). The daily average satellite Optimum  
477 Interpolation SST (OISST) data are obtained from NOAA (<https://www.ncdc.noaa.gov/oisst>), and the  
478 National Data Buoy Center (NDBC) buoy data are also obtained from NOAA  
479 (<https://www.ndbc.noaa.gov>). The Argo observational profiles of T/S are available at China Argo Real-  
480 time Data Center ([www.argo.org.cn](http://www.argo.org.cn)). The ERA5 reanalysis are available at the Copernicus Climate

481 Change Service (C3S) Climate Date Store

482 (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels>).

### 483 **Author contribution**

484 FX and RS designed the experiments and RS carried them out. RS developed the code of coupling  
485 parametrizations and produced the figures. ZF contributed to the installation and operation of CFSv2.0.  
486 LL and HY contributed to the application of C-Coupler2. XL and YZ provided the original code of  
487 CFSv2.0. RS prepared the manuscript with contributions from all co-authors. FX and HL contributed to  
488 review and editing.

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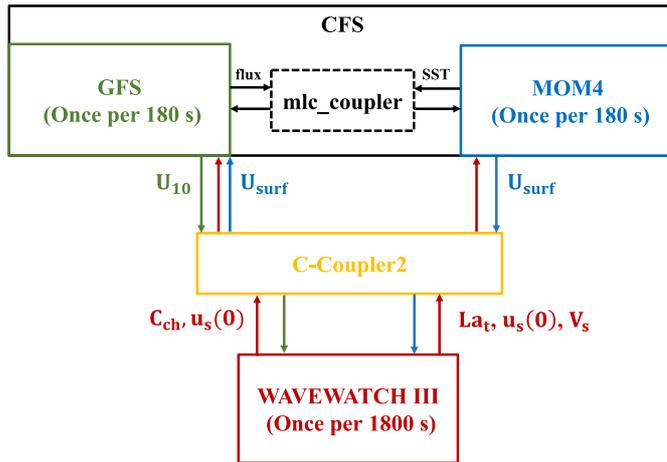
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**Table 1.** List of numerical experiments: setups different from CTRL are marked with bold

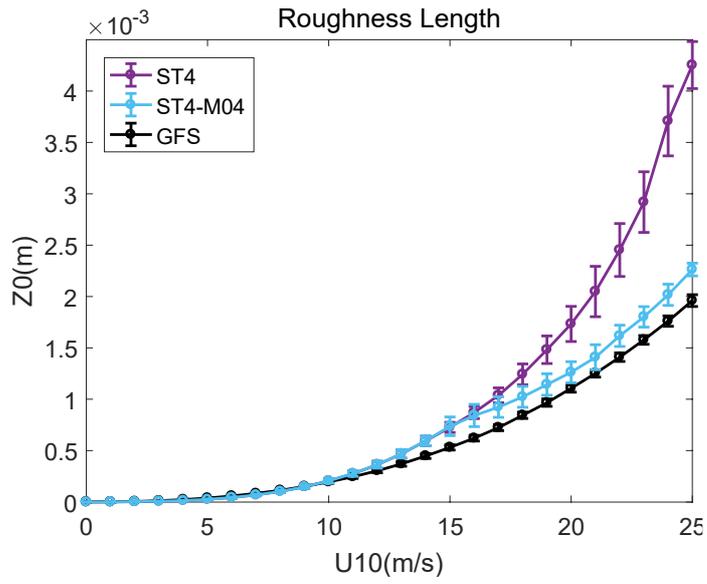
Experiments	Physical Process/Parameterization		
	Langmuir Cell with Stokes-Coriolis Force and Entrainment	Roughness (Charnock Parameter)	Relative Velocity in Flux
CTRL	Off	Off	Off
VR12-AL-SC-EN	<b>Eqn. 1-6, 8-10</b>	Off	Off
Z0-M04	Off	<b><math>C_{ch}</math> from Eqn. 16, 17</b>	Off
FLUX	Off	Off	<b><math>\Delta\vec{V}</math> from Eqn. 14</b>
ALL	<b>Eqn. 1-6, 8-10</b>	<b><math>C_{ch}</math> from Eqn. 16, 17</b>	<b><math>\Delta\vec{V}</math> from Eqn. 14</b>

**Table 2.** The 53-day mean bias with standard deviation (STD) and RMSE for WSP10 and SWH compared with NDBC buoy observation: the bias is calculated as simulation minus NDBC.

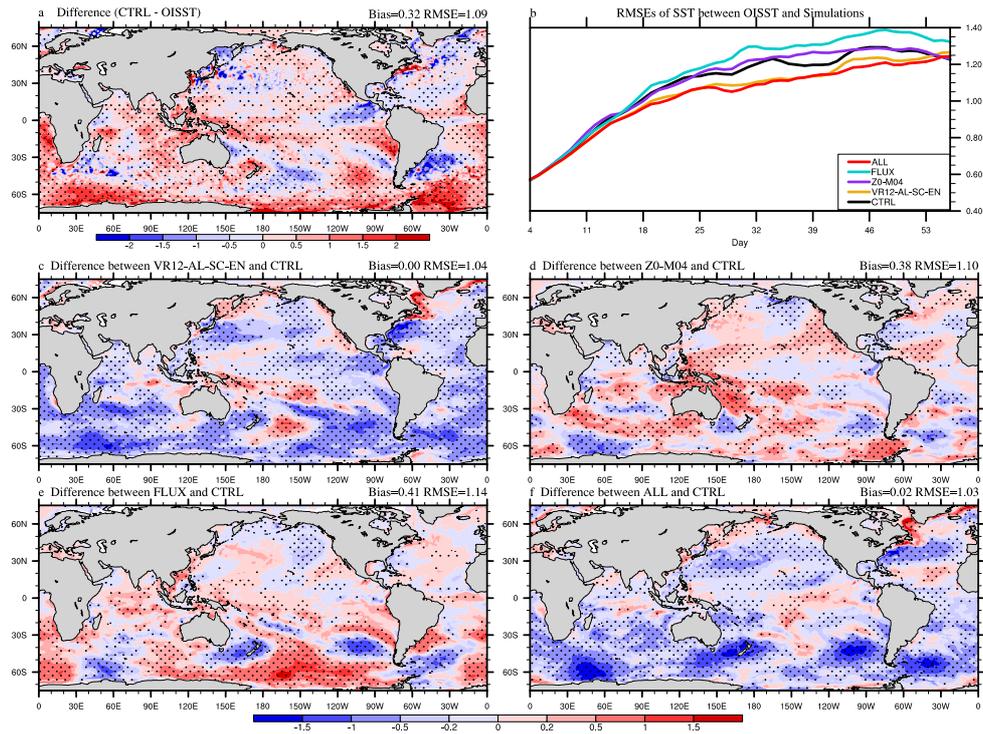
<b>Boreal Winter WSP10</b>	<b>Bias with STD</b>	<b>RMSE</b>
CTRL	0.16±1.23	1.24
VR12-AL-SC-EN	0.01±1.12	1.12
Z0-M04	-0.01±1.07	1.07
FLUX	0.39±1.20	1.26
ALL	0.07±1.04	1.04
<b>Boreal Winter SWH</b>	<b>Bias with STD</b>	<b>RMSE</b>
CTRL	0.21±0.38	0.44
VR12-AL-SC-EN	0.14±0.35	0.37
Z0-M04	0.10±0.30	0.32
FLUX	0.24±0.34	0.42
ALL	0.12±0.34	0.36
<b>Boreal Summer WSP10</b>	<b>Bias with STD</b>	<b>RMSE</b>
CTRL	0.15±1.23	1.24
VR12-AL-SC-EN	-0.03±1.22	1.22
Z0-M04	-0.04±1.21	1.21
FLUX	-0.22±1.18	1.20
ALL	-0.17±1.14	1.15
<b>Boreal Summer SWH</b>	<b>Bias with STD</b>	<b>RMSE</b>
CTRL	0.28±0.25	0.38
VR12-AL-SC-EN	0.19±0.24	0.30
Z0-M04	0.22±0.26	0.34
FLUX	0.14±0.25	0.29
ALL	0.12±0.21	0.24



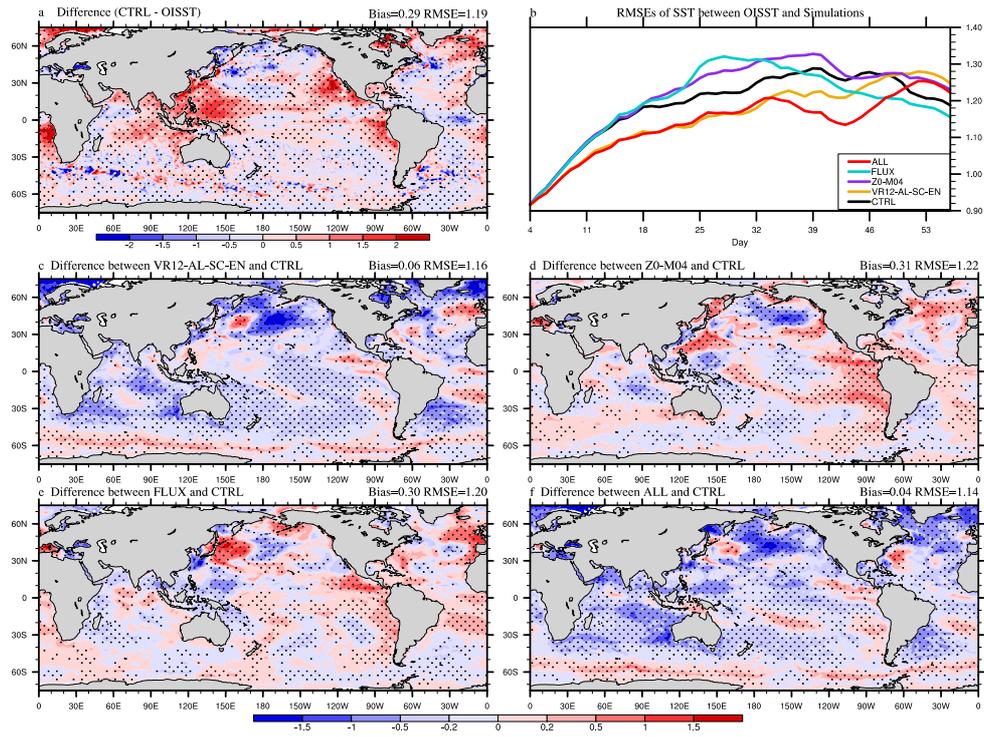
**Figure 1.** A schematic diagram of the atmosphere-ocean-wave coupled modeling system. The arrows indicate the coupled variables that are passed between the model components. In the diagram,  $C_{ch}$ ,  $La_t$ ,  $u_s(0)$ ,  $V_s$ ,  $U_{10}$ , and  $U_{surf}$  are Charnock parameter (red arrows), turbulent Langmuir number (red arrows), surface Stokes drift velocity (red arrows), Stokes transport (red arrows), 10-m wind (green arrows) and surface current (blue arrows), respectively.



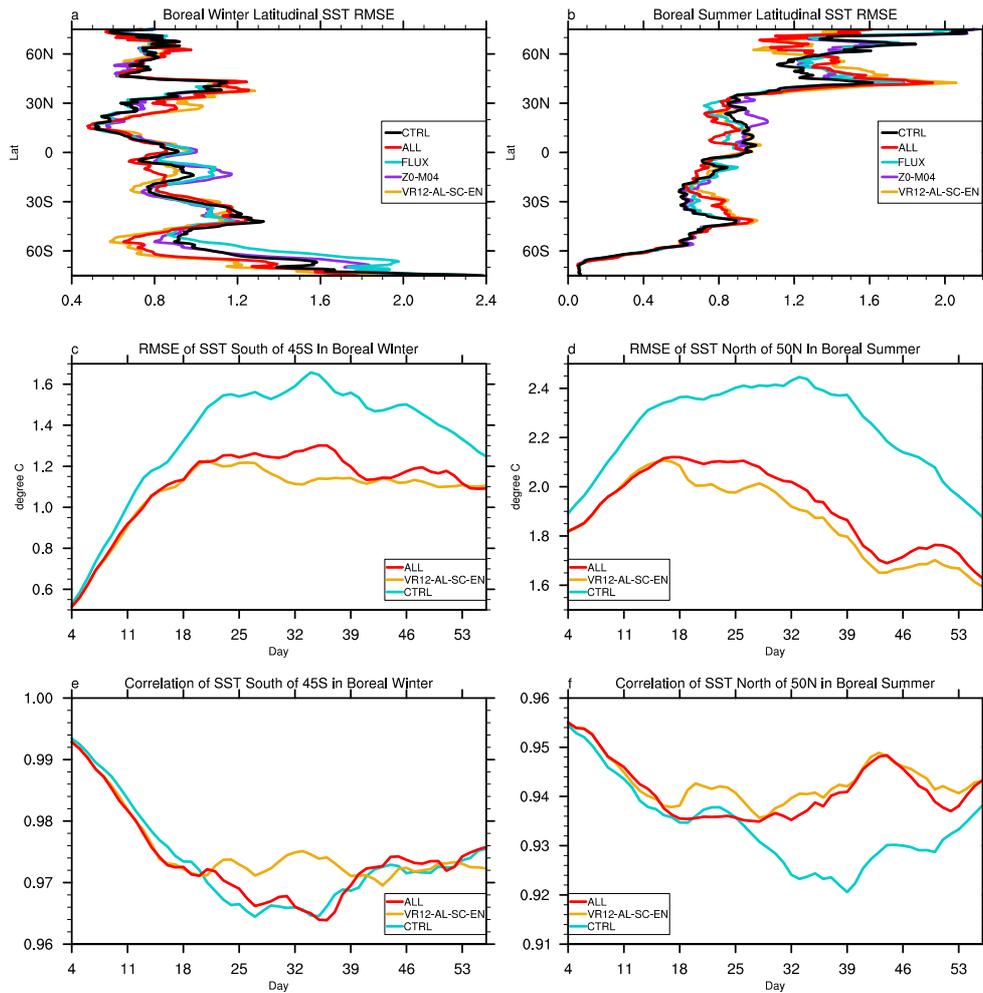
**Figure 2.** Relationships between momentum roughness length  $z_0$  (m) in the coupled system and 10-m wind speed (m/s); error bars indicate twice the standard deviations for each point.



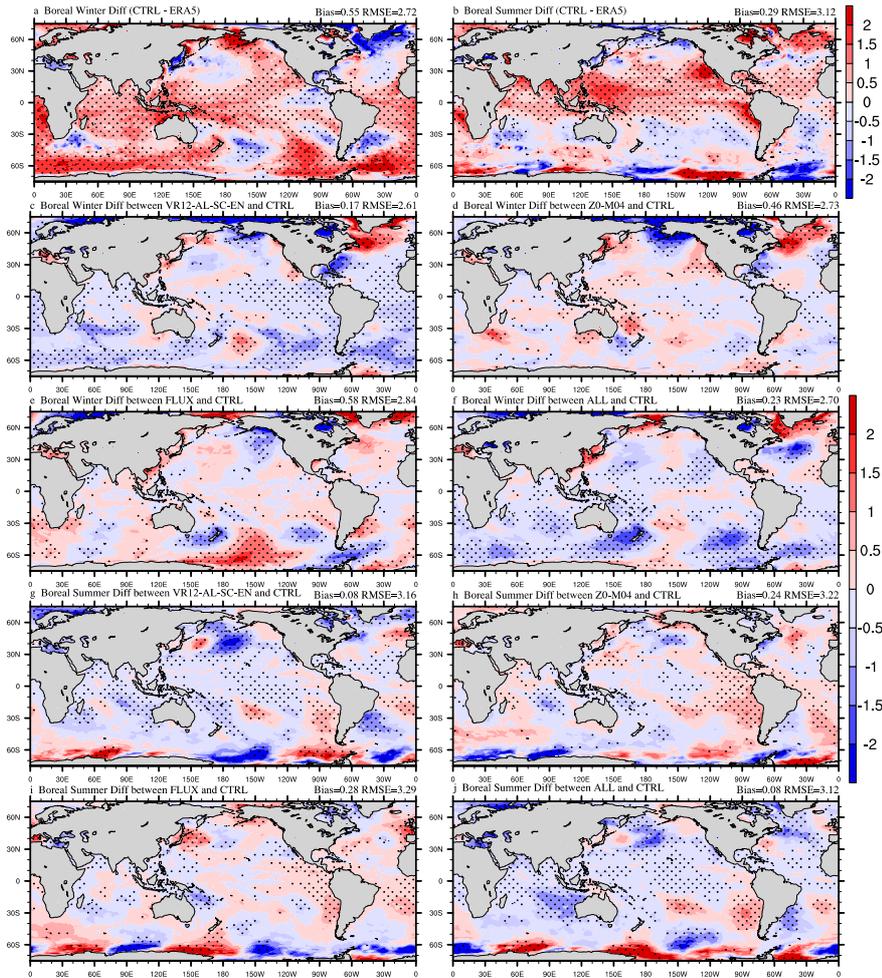
**Figure 3.** The 53-day average SST ( $^{\circ}\text{C}$ ) bias in CTRL (a; CTRL minus OISST), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.



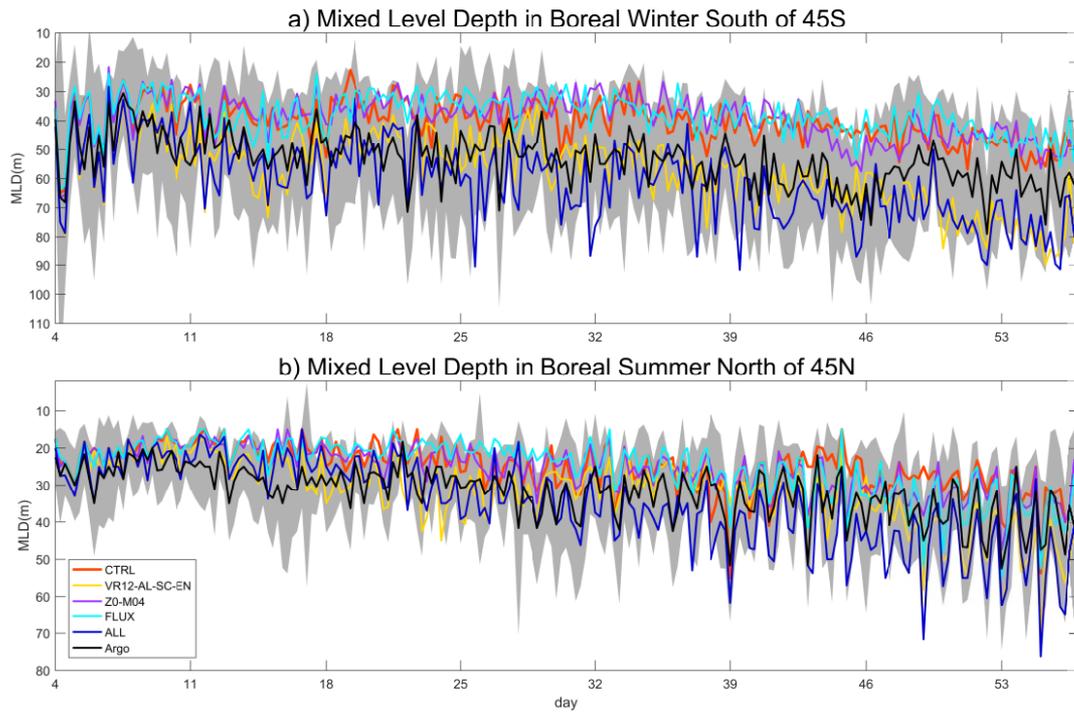
**Figure 4.** The same as Figure 3 but for Aug-Sep, 2018.



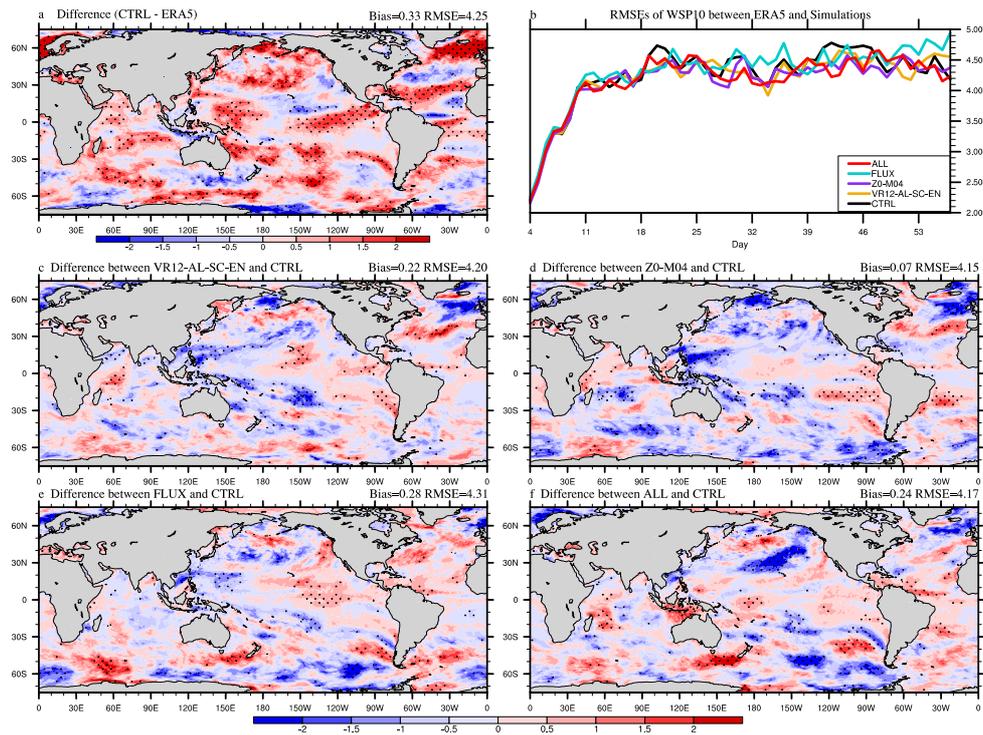
**Figure 5.** The 53-day averaged latitudinal distribution of SST root mean square errors (RMSE), time series of domain-averaged SST RMSE and correlation coefficient: **a/b** the latitudinal RMSE in boreal winter/summer compared with OISST, **c/d** the time series of domain-averaged (0-360°E, 45-78°S/50-78°N) SST RMSE in boreal winter/summer, **e/f** the time series of domain-averaged (0-360°E, 45-78°S/50-78°N) SST correlation coefficient in boreal winter/summer; differences of RMSE and correlation coefficient time series between VR12-AL-SC-EN/ALL and CTRL are statistically significant at 99% confidence level, except those in Fig. e.



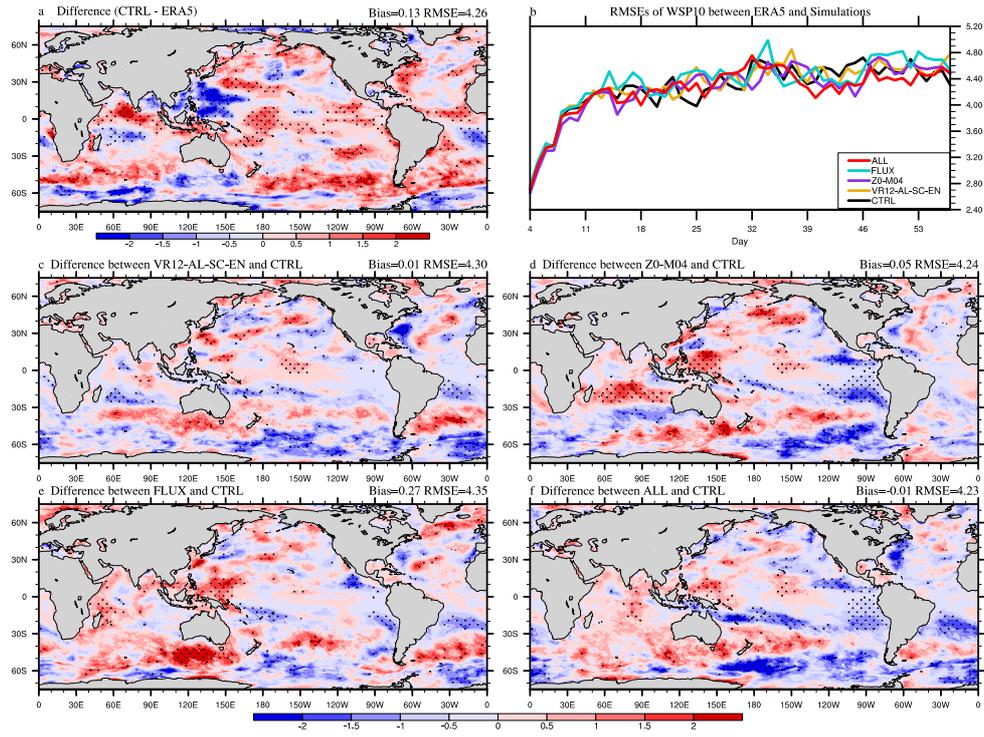
**Figure 6.** The 53-day average T02 (°C) bias in CTRL (a&b; CTRL minus ERA5), and the differences between VR12-AL-SC-EN (c&g)/Z0-M04 (d&h)/ FLUX (e&i)/ ALL (f&j) and CTRL (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level. a/c/d/e/f are for Jan-Feb, 2017, and b/g/h/i/j are for Aug-Sep, 2018.



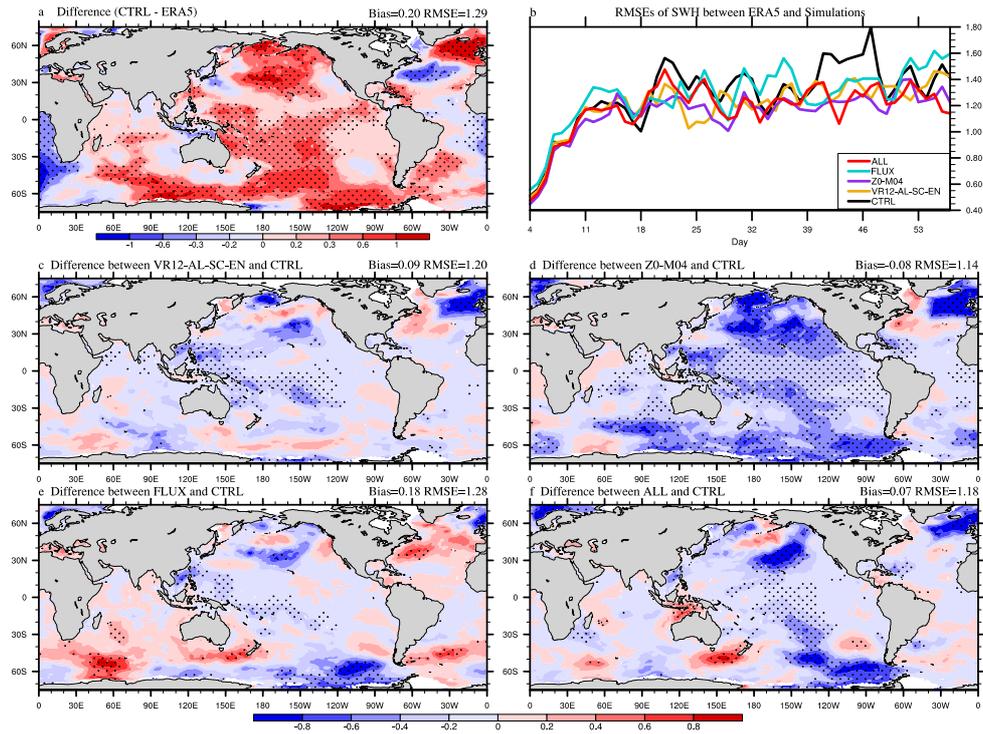
**Figure 7.** The 53-day time series of domain-averaged (0-360°E, 45-78°S/N) mixed layer depth (MLD; m) in boreal winter/summer: the difference between CTRL and VR12-AL-SC-EN/ALL passes the student's t-test at 99% confidence level; the time intervals are 6 hours; shaded areas indicate twice the standard deviations for Argo.



**Figure 8.** The 53-day average WSP10 (m/s) bias in CTRL (a; CTRL minus ERA5), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.



**Figure 9.** The same as Figure 8 but for Aug-Sep, 2018.



**Figure 10.** The 53-day average SWH (m) bias in CTRL (a; CTRL minus ERA5), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.

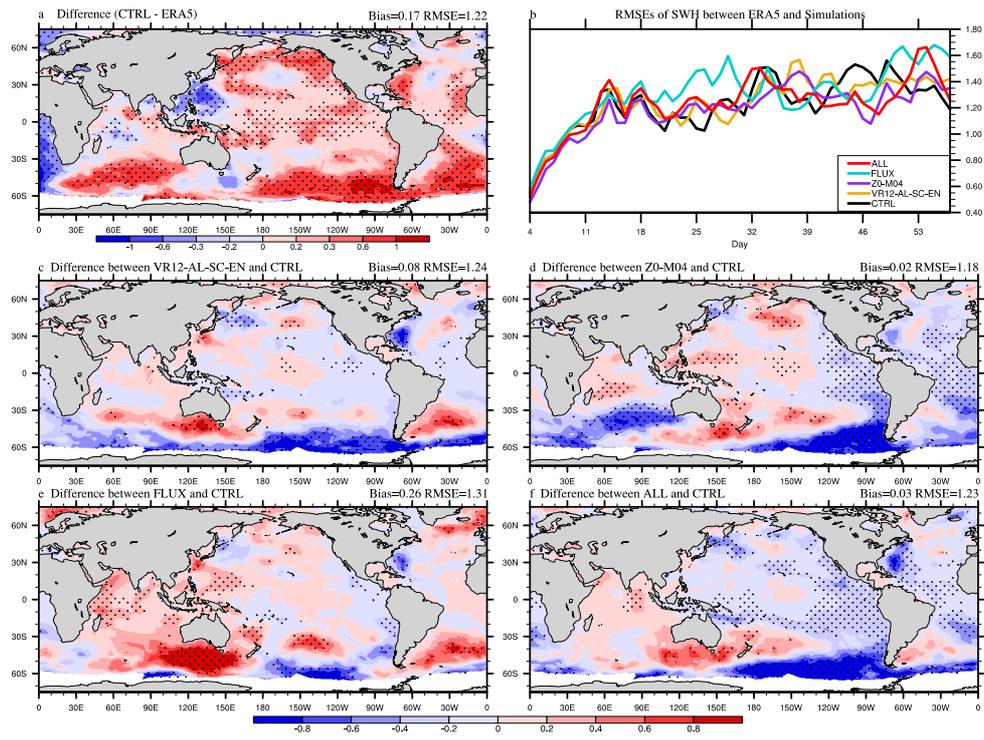
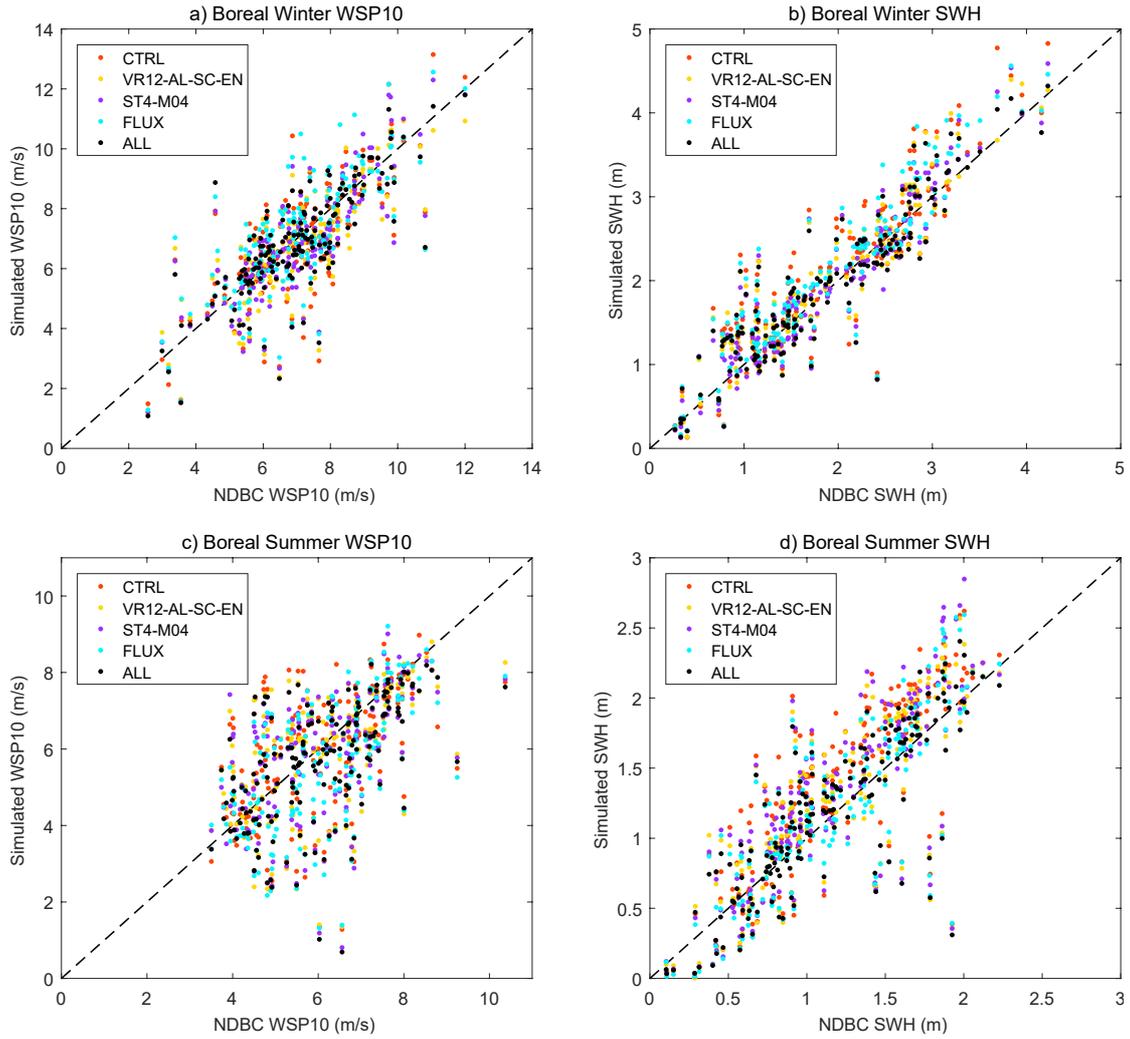


Figure 11. The same as Figure 10 but for Aug-Sep, 2018.



**Figure 12.** Scatter plots of simulated WSP10/SWH (y-axis) vs buoy WSP10/SWH (x-axis): (a) the WSP10 in Jan-Feb, 2017, (b) the SWH in Jan-Feb, 2017, (c) the WSP10 in Aug-Sep, 2018, and (d) the SWH in Aug-Sep, 2018. The dotted line is  $y=x$ . The corresponding mean biases with standard deviations and RMSEs for every experiment are shown in Table 2.