

1 **The Effects of Ocean Surface Waves on Global**
2 **Intraseasonal Prediction: Case Studies with a Coupled**
3 **CFSv2.0-WW3**

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16 **Abstract.** ~~This paper~~ article describes the implementation of evaluated Ocean surface gravity waves have
17 ~~enormous effects on physical processes at the atmosphere-ocean interface. The effects of wave-related~~
18 ~~processes on global intraseasonal prediction, by were evaluated after we incorporating the coupling~~
19 ~~between WAVEWATCH-III model, a global forecast climate model into the Climate Forecast System~~
20 ~~model version 2.0 (CFSv2.0) and a wave model (WW3), and investigates the effects of ocean surface~~
21 ~~waves on air-sea interface in the new framework, with the Chinese Community Coupler version 2.0.~~
22 Several major wave-related processes, including the Langmuir mixing, Stokes-Coriolis force with
23 entrainment, air-sea fluxes modified by Stokes drift and momentum roughness length, ~~were~~ are evaluated
24 in two groups of 56-day experiments, one for boreal winter and the other for boreal summer.
25 Comparisons ~~were~~ are ~~performed~~ made against in-situ buoys, satellite measurements and reanalysis data,
26 to evaluate the influence of waves on intraseasonal prediction of sea surface temperature (SST), 2-m air
27 temperature (T02), mixed layer depth (MLD), 10-m wind speed (WSP10) and significant wave height
28 (SWH) ~~in CFSv2.0. The wave-coupled experiments show that o~~ Overestimated SSTs and T02s, as well
29 as underestimated MLDs at mid and high mid-high latitudes in summer from original CFSv2.0 are
30 significantly ~~clearly~~ improved, mainly due to enhanced vertical mixing generated by Stokes drift. ~~The~~
31 ~~largest regional mean SST improvement reaches 35.89% in the Southern Ocean. of RMSE in austral~~
32 ~~summer~~ For WSP10s and SWHs, the wave-related processes generally lead to reduction of biases in
33 regions where WSP10s and SWHs are overestimated. On one hand, the decreased SSTs stabilizes marine
34 atmospheric boundary layer, weakens WSP10s wind speeds and then SWHs. On the other hand, the
35 increased roughness length due to waves leads to reduction in the originally overestimated WSP10s wind
36 speed and SWHs. In addition, the effects of Stokes drift and current on air-sea fluxes also rectify WSP10s
37 and SWHs. For WSP10 and SWH, the wave related processes generally lead to reduction of biases in

38 ~~regions where wind speed and SWH are overestimated. The decreased SST caused by Stokes drift related~~
39 ~~mixing stabilizes marine atmospheric boundary layer, weakens wind speed and then SWH. Compared~~
40 ~~with the NDBC buoy data, (1.24 m/s) and the RMSEs of SWH decrease from — (—) the overestimated~~
41 ~~WSP10 is improved by up to 13.52% in boreal summer. The increased roughness length due to waves~~
42 ~~leads to some reduction in the originally overestimated wind speed and SWH, with the largest SWH~~
43 ~~improvement of 11.93% and 20.05% in boreal winter and summer respectively. The effects of Stokes~~
44 ~~drift and current on air sea fluxes are investigated separately. Their overall effects on air sea fluxes~~
45 ~~reduce the overestimated WSP10 by up to 17.31% and 23.21% in boreal winter and summer respectively.~~
46 These cases are helpful for the future development of the two-way CFSv2.0-wave coupled system.

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49 **1 Introduction**

50 Ocean surface gravity waves play an important role in modifying physical processes at the
51 atmosphere–ocean interface, which can influence momentum, heat and freshwater fluxes across the air-
52 sea interface (Li and Garrett 1997; Taylor and Yelland, 2001; Moon et al., 2004; Janssen 2004; Belcher
53 et al., 2012; Moum and Smyth, 2019). For instance, ocean surface waves modify ocean surface roughness
54 to influence the marine atmospheric boundary layer and thus change the momentum, latent heat, and
55 sensible heat transfer (Janssen 1989, 1991; Taylor and Yelland, 2001; Moon et al., 2004; Drennan et al.,
56 2003, 2005). The breaking waves inject turbulent kinetic energy in the upper ocean, which enhances the
57 mixing process (Terray et al. 1996). Nonbreaking surface waves also affect mixing in the upper ocean
58 by adding a wave-related Reynolds stress (Qiao et al., 2004; Ghantous and Babanin, 2014). The wave-
59 related Stokes drift interacts with Coriolis force and produces the Coriolis-Stokes force (Hasselmann
60 1970). The shear of Stokes drift is critical for generation of Langmuir circulation, which significantly
61 deepens the mixed layer by strong vertical mixing both at climate scales (Li and Garrett 1997; Belcher
62 et al., 2012) and at weather scales (Kukulka et al., 2009).–

63 ~~—As Fox Kemper et al. (2019) indicated, the improvement to atmosphere–ocean coupling with a better~~
64 ~~representation of the effects of surface gravity waves, is one of the challenges and focuses in ocean~~
65 ~~modeling for the next decade. Regional coupled models, such as the well known Coupled Ocean–~~
66 ~~Atmosphere–Wave–Sediment–Transport–Modeling–System (COAWST; Warner et al., 2010), were~~
67 ~~developed to study tropical cyclones, storm surge and other coastal processes at small or meso scales~~
68 ~~(e.g., Prakash et al., 2018; Ricchi et al., 2017; Pianezze et al., 2018; Wu Sun et al., 2019). The Coupled~~

69 Ocean Atmosphere Wave Sediment Transport Modeling System (COAWST) developed by Warner et
70 al. (2010) is one of the well known fully coupled regional models, which has been applied in various
71 locations such as the South China Sea (Sun et al., 2019; Wu et al., 2019), the Bay of Bengal (Prakash et
72 al., 2018) and the Mediterranean Sea (Ricchi et al., 2017). On the other hand, most of the coupled models
73 with a wave component at global scale were developed for climate research (e.g., Qiao et al., 2010; Law-
74 Chune and Aouf, 2018; Fan et al., 2012; Fan and Griffies, 2014; Li et al. 2016, 2017). Exceptionally, an
75 Integrated Forecasting System (IFS) with fully coupled atmosphere, ocean and wave components,
76 developed by European Centre for Medium-Range Weather Forecasts (ECMWF) (Janssen 2004; Bidlot
77 et al. 2019, 2020), has been released with great flexibility for global forecasts from medium range
78 weather scales to seasonal scales (Breivik et al. 2015).

79 The overall effects of wave related processes have been shown to be important in global coupled
80 systems for both climate research (e.g., Law Chune and Aouf, 2018; Bao et al. 2019; Couvelard et al.
81 2020) and numerical prediction (Breivik et al. 2015; Bidlot et al. 2019, 2020)The overall effects of wave-
82 related processes on numerical prediction have been shown to be important in coupled systems (e.g.,
83 Law Chune and Aouf, 2018; Bao et al. 2019; Couvelard et al. 2020). For instance, das well as subsurface
84 temperature sNucleus for European Modelling of the Ocean (NEMO) —with wind input and wave
85 dissipationand the —with misalignment of winds and wavesoceanic surface momentum fluxreducedmixed
86 layer depth (MLD)biases in NEMO

87
88 Various The contribution of individual wwave-related process, howevparameterizations have been
89 proposed and applied in modelinger, is complex and studied severallyindividually (e.g. Janssen 2004;
90 Breivik et al. 2015; Janssen and Bidlot 2018; Pineau Guillou et al. 2018). As long been recognized,

91 ~~waves play an important role in directly interactions with winds (Janssen 2004; Janssen and Bidlot 2018).~~
92 ~~For instance, The wave-related Charnock parameter (C_{ch}) –changes defines sea surface roughness and~~
93 ~~affects to modify wind stress estimates, and then corrects simulated wind speed (Pineau-Guillou et al.~~
94 ~~2018; Sauvage et al. 2020). There are primarily three methods for C_{ch} , assessed from the wave-induced~~
95 ~~kinematic stress (Janssen 1989, 1991), the wave age (Drennan et al., 2003, 2005; Moon et al., 2004; Fan~~
96 ~~et al. 2012), or the steepness (Taylor and Yelland, 2001). The former two are based on the wind-sea~~
97 ~~conditions, whereas the latter includes both swells and wind-sea waves. To better fit the observed leveling~~
98 ~~off roughness length for high winds, several modifications were conducted, such as a simply limit for~~
99 ~~the maximum roughness (WAVEWATCH III Development Group, 2016) or Mmodifications to~~
100 ~~regular these –Charnock parameterizations were suggested in recent studies for the leveling off~~
101 ~~roughness under high winds (e.g. Fan et al., 2012; Bidlot et al., 2020; ECMWF, 2020; Li et al., 2021).~~
102 ~~In addition, In the oceanic boundary layer, waves also influence upper ocean mixing via wave dissipation~~
103 ~~and Stokes drift-induced Langmuir cells processes-. In Breivik et al. (2015), the wave dissipation-related~~
104 ~~turbulent kinetic energy flux is found to yield the largest sea surface temperature (SST) differences in~~
105 ~~the extratropics. The Stokes drift-induced Stokes drift related Langmuir cell turbulence was demonstrated~~
106 ~~to enable can improvements of simulated temperature structure simulation in the upper ocean over most~~
107 ~~of the world oceans, particularly in the Southern Ocean (Belcher et al., 2012; Li et al., 2016). Polonichko~~
108 ~~(1997), Van Roekel et al. (2012) and Li et al. (2017) –and Van Roekel et al. (2012) indicated that~~
109 ~~Because the Langmuir cell intensity strongly depends on the alignment of depends on the misalignment~~
110 ~~of –winds and waves (Polonichko, 1997; Van Roekel et al., 2012), – reaching maximum when they are~~
111 ~~aligned. Li et al. (2016) found the effect of Langmuir cell couldan be further enhanced due to by~~
112 ~~entrainment (Li et al., 2016). In Couvelard et al. (2020), –the Stokes drift-related forecast can also~~

113 contributes to ~~modest~~ deepening of the mixed layer depth (MLD) modestly (Couvelard et al., 2020). In the
114 ~~is found to yield the largest differences~~ in NEMO. Stokes... Besides, the Stokes drift play a role in the
115 ~~relative velocity determined turbulent fluxes~~ In the First Institute of Oceanography Earth System Model,
116 (Bao et al. (2019) indicated that the non-breaking wave-induced mixing, Stokes drifts affected air-sea
117 fluxes, as well as sea spray are all important for climate estimates. -

118 ~~=~~

119 The wave-related processes at the air-sea interface are complex and important in global coupled
120 systems (e.g., Breivik et al. 2015; Law-Chune and Aouf, 2018; Bao et al. 2019; Bidlot et al. 2019, 2020;
121 Couvelard et al. 2020). Most of the coupled models with a wave component at global scale were
122 developed for climate research (e.g., Qiao et al., 2010; Law-Chune and Aouf, 2018; Bao et al. 2019;
123 Couvelard et al. 2020; Fan et al., 2012; Fan and Griffies, 2014; Li et al. 2016, 2017). Exceptionally, an
124 Integrated Forecasting System (IFS) with fully coupled atmosphere, ocean and wave components,
125 developed by European Centre for Medium-Range Weather Forecasts (ECMWF) (Janssen 2004; Bidlot
126 et al. 2019, 2020), has been released with great flexibility for global forecasts from medium-range
127 weather scales to seasonal scales (Breivik et al. 2015).

128 The effects of wave-related processes are worth further evaluation in different global coupled
129 modelling systems. ~~The wave related processes are worth and worth further evaluation in different~~
130 ~~modelling systems.~~ Since it takes sufficient periods for the wave energy to develop (Janssen 2004), ~~it~~
131 ~~is of great interest to we~~ investigate the impact of individual wave ~~process effect~~ at intraseasonal
132 timescale in a new global atmosphere-ocean-wave system. ~~Several wave related processes are studied,~~
133 ~~including upper ocean mixing modified by Langmuir cell, Stokes Coriolis force and entrainment, air sea~~
134 ~~fluxes modified by surface current and Stokes drift, and momentum roughness length. All these processes~~

135 greatly affect momentum and enthalpy fluxes across the air-sea interface (e.g., Fan et al., 2012; Fan and
136 Griffies, 2014; Li et al. 2016, 2017; Renault et al. 2012; Varlas et al. 2020). To achieve this, we coupled
137 the WAVEWATCH III (WW3) to the Climate Forecast System model version 2.0 (CFSv2.0) and then
138 conducted sensitivity experiments in boreal winter and summer for comparison. The effects of upper
139 ocean mixing modified by Langmuir cell, Stokes-Coriolis force and entrainment, air-sea fluxes modified
140 by surface current and Stokes drift, and momentum roughness length are evaluated. The CFSv2.0 is a
141 coupled system with main application for intraseasonal and seasonal prediction (e.g. Saha et al. 2014).
142 The National Centers for Environmental Prediction (NCEP) is establishing its own atmosphere-ocean-
143 wave system, in which the Global Forecast System (GFS; the atmosphere module in CFSv2.0 system) is
144 one-way coupled with WW3. Our work can provide insights for two-way wave coupling of CFSv2.0,
145 and is helpful for the future development of the CFSv2.0-wave coupling system. _

146 ~~Several wave related processes are studied, including upper ocean mixing modified by Langmuir~~
147 ~~cell, Stokes-Coriolis force and entrainment, air-sea fluxes modified by surface current and Stokes drift,~~
148 ~~and momentum roughness length. All these processes greatly affect momentum and enthalpy fluxes~~
149 ~~across the air-sea interface (e.g., Fan et al., 2012; Fan and Griffies, 2014; Li et al. 2016, 2017; Renault~~
150 ~~et al. 2012; Varlas et al. 2020).~~ Two groups of 56-day predictions were conducted for boreal winter and
151 boreal summer, respectively. The predictions were then compared with observations and reanalysis data.
152 For each group, sensitivity experiments with different wave parameterizations were carried out to
153 evaluate the effects of individual wave-related process.

154 The rest of the paper is structured as follows: methods and numerical experiments with different
155 parameterizations are described in Section 2; the observations and reanalysis data are introduced in
156 Section 3, and the results of experiments are evaluated and compared in Section 4. Finally, a summary

157 and discussion are given in Section 5.

158 **2 Methods and Experiments**

159 **2.1 Coupling WAVEWATCH III with CFSv2.0**

160 The version 5.16 of WW3 (WAVEWATCH III Development Group, 2016) developed by the National
161 Oceanic and Atmospheric Administration (NOAA)/NCEP has been incorporated into the CFSv2.0 (Saha
162 et al., 2014) as a new model component. The latitude range of WW3 is 78°S–78°N with a spatial
163 resolution of 1/3°; the frequency range is 0.04118-0.4056Hz and the total number of frequencies is 25;
164 the number of wave directions is 24 with a resolution of 15°; the maximum global time step and the
165 minimum source term time step are both 180 s.

166 The CFSv2.0 contains two components, the GFS (details are available at
167 <http://www.emc.ncep.noaa.gov/GFS/doc.php>) as the atmosphere component and the Modular Ocean
168 Model version 4 (MOM4; Griffies et al., 2004) as the ocean component. The MOM4 is integrated on a
169 nominal 0.5° horizontal grid with enhanced horizontal resolution to 0.25° in the tropics, and has 40
170 vertical levels; the vertical spacing is 10 m in the upper 225 m, and then increases in unequal intervals to
171 the bottom at 4478.5 m. [A three-layer sea ice model is included in MOM4 \(Wu et al. 2005\).](#) The GFS
172 uses a spectral triangular truncation of 382 waves (T382) in the horizontal, which is equivalent to a grid
173 resolution of nearly 35 km, and 64 sigma-pressure hybrid layers in the vertical. ~~The CFSv2.0 uses a~~
174 ~~two layer Sea Ice Model (SIM) is applied in CFSv2.0 (Wu et al. 2005).~~ The time steps of both MOM4
175 and GFS are 180 s. The ocean and atmosphere components are then coupled at the same rate. In the
176 [original](#) two-way coupled system, the GFS receives SST from MOM4 and sends fluxes of heat,
177 momentum, freshwater to MOM4 [\(black arrows in Fig. 1\).](#) ~~The CFSv2.0 uses a two layer Sea Ice Model~~

178 ~~(Wu et al. 2005)~~

179 The Chinese Community Coupler version 2.0 (C-Coupler2; Liu et al., 2018) is applied to interpolate

180 and pass variables between atmosphere and wave components as well as ocean and wave components.

181 Each component receives inputs and supplies outputs on its own grids. The C-Coupler2 is a common,

182 flexible and user-friendly coupler, which contains a dynamic 3-D coupling system and enables variables

183 to remain conserved after interpolation. ~~From a series of tests of coupling experiments, the time step of~~

184 ~~wave coupling (1800 s) was selected to compromise time consumption and model bias (details in Table~~

185 ~~S1 of the supplementary). To quantify the sensitivity of coupling frequency, the root mean square errors~~

186 ~~(RMSEs) of SST, significant wave height (SWH) and 10-m wind speed (WSP10) with different coupling~~

187 ~~steps for the fully coupled experiment (ALL; details in Section 2.6) are shown in Table S1 of the~~

188 ~~supplementary. From Table S1, the 10-STEP_WW3 experiment has the closest RMSEs to the~~

189 ~~1-STEP_ALL for SST, SWH and WSP10, and has the relatively small runtime. Therefore, the time steps~~

190 ~~of 10-STEP_WW3 are selected to compromise computer time consumption and the model RMSE.~~

191 A schematic diagram of the coupled atmosphere-ocean-wave system is shown in Fig. 1. As illustrated,

192 WW3 is two-way coupled with MOM4 and GFS, through the C-Coupler2. WW3 is forced by 10-m wind

193 from GFS (green arrows) and surface current from MOM4 (blue arrows), and then generates and evolves

194 the wave action density spectrum. Meanwhile, the surface Stokes drift velocity, the Stokes transport with

195 and the turbulent Langmuir number ~~is are~~ passed to MOM4 (red arrows; see Section 2.3) from WW3,

196 and the surface Stokes drift velocity and the Charnock parameter are passed to GFS (red arrows; see

197 Section 2.4 and 2.5). The high frequency tail assumption for Stokes drift in WW3 is used with a spectral

198 level decaying as f^{-5} (frequency). Additionally, the regular ocean surface current velocities from MOM4

199 are also passed to GFS. to estimate calculate the relative wind velocity for the turbulent fluxes together

200 [with surface Stokes drift](#) ([blue arrows](#); see Section 2.4).

201 ~~In this study, b~~Both the CFSv2.0 and WW3 use warm starts; the ~~daily~~ initial fields at 00:00 UTC [of](#)
202 [the first day in each experiment](#) for CFSv2.0 were generated by the real time operational Climate Data
203 Assimilation System (Kalnay et al., 1996), downloaded from the CFSv2.0 official website
204 (<http://nomads.ncep.noaa.gov/pub/data/nccf/com/cfs/prod>). To get initial conditions for WW3, a stand-
205 alone WW3 model is set up synchronously (see Section 2.2). [Since the interactions between waves and](#)
206 [sea ice are too complicated and beyond, which is outside our the scope of the study, we do not include](#)
207 [an explicit coupling between waves and sea ice and turn off the coupling between from WW3 and to](#)
208 [CFSv2.0 in areas with sea ice. The ice blocking IC0 source term with the critical ice concentration of](#)
209 [50% is applied. to avoid any conflicts with sea ice when the critical sea ice concentration is reached.](#)

210 [In addition, to properly select the coupling frequency between CFSv2.0 and WW3, the root mean](#)
211 [square errors \(RMSEs\) of SST, significant wave height \(SWH\) and 10-m wind speed \(WSP10\) with](#)
212 [different coupling steps for the fully coupled experiment \(ALL; details in Section 2.6\) are calculated and](#)
213 [compared \(Table S1 of the supplementary\). From Table S1, the 10_STEP_WW3 experiment has a](#)
214 [relatively short runtime and small RMSEs. Therefore, the time steps of the 10_STEP_WW3 are selected](#)
215 [to compromise computing time consumption and the model RMSEs.](#)

216 **2.2 Initialization of WAVEWATCH III**

217 In WW3, input of momentum and energy by wind, and dissipation for wave-ocean interaction are two
218 important terms (combined as input-dissipation source term) in the energy balance equation
219 (WAVEWATCH III Development Group, 2016), which include the Charnock parameter related
220 estimation. Several different packages to calculate the input-dissipation source term (ST) are offered in

221 the WW3 version 5.16, including ST2 (Tolman and Chalikov, 1996), ST3 (Janssen, 2004; Bidlot, 2012),
222 ST4 (Ardhuin et al., 2010), and ST6 (Zieger et al., 2015).

223 The initial wave fields were generated from 10-day simulation starting from rest in a stand-alone WW3
224 model. To minimize the biases of initial wave fields, we tested simulations with ST2, ST3, ST4, and ST6
225 schemes respectively, and compared the results with Janson-3 observations. Two 10-m wind datasets,
226 the Cross-Calibrated Multi-Platform (CCMP; Atlas et al., 2011) data and the fifth generation European
227 Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis (ERA5; Hersbach et al., 2020) data,
228 were used to drive the wave model respectively. Compared all results, the ST4 scheme with ERA5 wind
229 forcing generates the minimum ~~significant wave height~~ (SWH) bias (Table S2 in the supplementary),
230 consistent with findings in Stopa et al. (2016). Thus, the ST4 scheme was chosen to calculate the input
231 and dissipation term, and generate initial wave fields with ERA5 wind forcing for experiments listed in
232 Table 1. The parameters used for ST4 scheme followed TEST471f from WAVEWATCH III
233 Development Group (2016), which is the CFSR (CFS Reanalysis) tuned setup and is commonly-used at
234 global scale.

235 2.3 Parameterizations of Stokes Drift-Related Ocean Mixing

236 The full Stokes drift profile used in MOM4 is obtained by the method of Couvelard et al. (2020),
237 which is based on the work of Breivik et al. (2014; 2016). Breivik et al. (2016) derived the full Stokes
238 drift profile as

$$u_s B16(z) = u_s(0) [\exp(2k_p z) - \sqrt{-2\pi k_p z} \operatorname{erfc}(\sqrt{-2k_p z})], \quad (1)$$

239 The $u_s(0)$ is the surface Stokes drift velocity, $k_p = \frac{u_s(0)}{6V_s}$, V_s is the Stokes transport, and erfc is the
240 complementary error function. ~~Considering the numerical models represent vertical and horizontal~~

241 ~~averages over each grid box (Li et al., 2017; Wu et al., 2019; Couvelard et al., 2020), Eqn. 1 is depth-~~

242 ~~averaged within each vertical grid interval as, a finite volume approach should be added as~~

$$u_s(z) = \frac{u_s(0)}{(th)_k} [I(z_{k+1/2}, k_p) - I(z_{k-1/2}, k_p)], \quad (2)$$

$$I(z, k_p) = \frac{1}{6k_p} [e^{2k_p z} + 4k_p z \frac{u_s B_{16}(z)}{u_s(0)}], \quad (3)$$

243 ~~where th is the thickness of layer k , following Li et al., (2017), Wu et al., (2019) and Couvelard et al.,~~

244 ~~(2020). Besides, the vertical component of Stokes drift is diagnosed from the continuity equation.~~

245 2.3.1 Mixing of Langmuir Turbulence

246 McWilliams and Sullivan (2000) modified the turbulent velocity scale W in ~~K-Profile~~

247 ~~Parameterization (KPP) for vertical mixing~~ by introducing an enhancement factor ε , to account for

248 both boundary layer depth changes and nonlocal mixing by Langmuir turbulence. Based on their work,

249 ~~Van Van Roekel~~ et al. (2012) improved the enhancement factor corresponding to alignment and

250 misalignment of winds and waves. Li et al. (2016) evaluated these parameterizations in a coupled global

251 climate model, ~~and found that the difference between parameterizations with alignment and with~~

252 ~~misalignment was not significant, owing to the relatively coarse resolution which cannot accurately~~

253 ~~represent the refraction by coasts and current features, and parameterizations from Van Van Roekel et al.~~

254 ~~(2012) showed best performance. However, the difference between parameterizations with alignment~~

255 ~~and misalignment was not significant, owing to the coarse resolution which cannot accurately represent~~

256 ~~the refraction by coasts and current features. Besides, the misalignment will certainly increase the~~

257 ~~runtime due to increased variables to be transferred from wave to ocean. Hence, Here we employed~~

258 ~~applied the parameterization corresponding to alignment of winds and waves from Van Van Roekel et al.~~

259 ~~(2012) as well. Because the mode-resolution in our model is relatively coarse too, and As shown in Fig.~~

260 ~~Sl&j in supplementary, the angles between winds and waves are less than 30° in most areas (Fig. Sl&j~~
261 ~~in the supplementary). In Li et al. (2016), the difference between parameterizations with alignment and~~
262 ~~misalignment was not significant, owing to the coarse resolution which cannot accurately represent the~~
263 ~~refraction by coasts and current features. Note Although the Langmuir cell intensity partly depends on the~~
264 ~~misalignment (Polonichko, 1997; Van Roekel et al., 2012), and assuming alignment of wind and waves~~
265 ~~leads to excessive mixing(, Since the misalignment between the Stokes drift and wind direction is~~
266 ~~important for the intensity of Langmuir cell (Polonichko, 1997; Van Roekel et al., 2012; Li et al., 2017),~~
267 ~~particularly in the Southern Ocean (Couvelard et al., 2020), the effects of the Stokes drift might be~~
268 ~~overestimated in this system. Without the misalignment, since the simulation still underestimated the~~
269 ~~mixing, we didn't consider misalignment in the study. Since the misalignment between the Stokes drift~~
270 ~~and wind direction is important for the intensity of Langmuir cell (Polonichko, 1997; Van Roekel et al.,~~
271 ~~2012; Li et al., 2017), particularly in the Southern Ocean (Couvelard et al., 2020), the effects of the~~
272 ~~Stokes drift might be overestimated in this system.~~

273 ~~In the study,~~ W ($W=ku_*/\phi$, where u_* is the surface friction velocity, ϕ is the dimensionless flux
274 profile, and $k=0.4$ is the von Kármán constant) varies in proportion to the turbulent Langmuir number,
275 that is,

$$W = \frac{ku_*}{\phi} \varepsilon, \quad (14)$$

$$\varepsilon = \sqrt{1 + (3.1La_t)^{-2} + (5.4La_t)^{-4}}, \quad (25)$$

276 where La_t is the turbulent Langmuir number, defined as

$$La_t = \sqrt{\frac{u_*}{|u_s(0)|}}, \quad (36)$$

277 with $u_s(0)$ is the surface Stokes drift velocity.

278 Furthermore, the enhanced W will influence the calculation of boundary layer depth. In KPP the
 279 boundary layer depth is determined as the smallest depth at which the bulk Richardson number equals
 280 the critical value $Ri_{cr} = 0.3$, that is,

$$Ri_b(h) = \frac{gh[\rho_r - \rho(h)]}{\rho_0[|u_r - u(h)|^2 + W^2]} = Ri_{cr}, \quad (47)$$

281 where g is acceleration of gravity, ρ is density, u is velocity, ρ_r is surface density, u_r is surface
 282 velocity, ρ_0 is an average value and h is the boundary layer depth. Hence, when W is enhanced, the
 283 boundary layer depth h is deepened accordingly.

284 2.3.2 Stokes–Coriolis Force and Associated Entrainment

285 Because the Stokes drift velocity is an increment superimposed on the original current velocity, the
 286 Coriolis force and the Stoke drift together produce an additional so-called Stokes–Coriolis (SC) force
 287 (Hasselmann 1970), that is,

$$SC \text{ Force} = \overline{u_s(z)} \times f \vec{z}. \quad (58)$$

288 Here $\overline{u_s(z)}$ is ~~surface the~~ Stokes drift velocity vector, f is the Coriolis frequency, and \vec{z} is the vertical
 289 unity vector. ~~For consistency geostrophic, the Stokes drift velocity vector is also considered included in~~
 290 ~~advection terms of tracers (e.g. temperature, salinity) and convergence terms (Law-Chune and Aouf,~~
 291 ~~2018; Couvelard et al., 2020 references??).~~ And the free surface condition for barotropic mode is
 292 ~~correspondingly modified to~~

$$\frac{\partial \eta}{\partial t} = -\nabla M_{curr} - \nabla M_{st} \quad (9)$$

293 ~~where η is surface elevation, M_{curr} and M_{st} are the total vertical integral of regular Eulerian current~~
 294 ~~and Stokes drift, respectively.~~

295 To depict the entrainment below the ocean surface boundary layer induced by Stokes drift, Li et al.

296 (2016) suggested to add the square of surface Stokes drift velocity ($|u_s(0)|^2$) to the denominator of Eqn.
 297 [47](#), that is,

$$Ri_b(h) = \frac{gh[\rho_r - \rho(h)]}{\rho_0[|u_r - u(h)|^2 + W^2 + |u_s(0)|^2]} = Ri_{cr}. \quad (610)$$

298 The boundary layer depth h in KPP from Eqn. [6-10](#) is then enhanced due to Stokes drift velocity.

299 2.4 Stokes Drift and Sea Surface Current on Air–Sea Fluxes

300 At air-sea boundary layer, the momentum flux (τ), sensible heat flux (SH) and freshwater flux (E) are
 301 calculated as

$$\tau = \rho_a C_d |\Delta \vec{V}| \Delta \vec{V}, \quad (711)$$

$$SH = \rho_a C_h |\Delta \vec{V}| \Delta \theta, \quad (812)$$

$$E = \rho_a C_e |\Delta \vec{V}| \Delta q, \quad (913)$$

302 where C_d , C_h , C_e are surface exchange coefficients for momentum, sensible heat and freshwater. ρ_a
 303 is air density. $\Delta \theta, \Delta q$ are potential temperature and humidity differences between air and sea, and $\Delta \vec{V}$
 304 is velocity of air relative to water flow.

305 In CFSv2.0, $\Delta \vec{V}$ is set to be wind speed ($\overrightarrow{U_{wind}}$). However, the effect of ocean surface current should
 306 not be ignored. Luo et al. (2005) first indicated that including ocean surface current ($\overrightarrow{U_{surf}}$) improves
 307 estimates of τ and subsequent ocean response. Renault et al. (2016) further indicated that the
 308 improvements of τ by $\overrightarrow{U_{surf}}$ also feed back into atmosphere. At present, $\Delta \vec{V} = \overrightarrow{U_{wind}} - \overrightarrow{U_{surf}}$ is
 309 widely used in coupled ocean-atmosphere models (e.g., Hersbach and Bidlot, 2008; Takatama et al.,
 310 2017; Renault et al., 2021). Furthermore, Bao et al. (2019) indicated that as a part of the sea surface water
 311 movement with speed magnitude comparable to surface current in mid-high latitudes, the surface Stokes
 312 drift ($\overrightarrow{u_s(0)}$) should also be included, that is,

$$\Delta\vec{V} = \overrightarrow{U_{wind}} - \overrightarrow{U_{surf}} - \overrightarrow{u_s(0)}. \quad (1014)$$

313 To account for the effects of Stokes drift velocity, the Eqn. 10-14 was applied in the coupled experiments
 314 (Table 1), and the difference compared with $\Delta\vec{V} = \overrightarrow{U_{wind}} - \overrightarrow{U_{surf}}$ was analyzed in Section 4.4. To
 315 complete the coupling, the corresponding modification of the tridiagonal matrix (Lemarié 2015) is also
 316 conducted in CFSv2.0. Note that the direction of Stokes drift is generally consistent with 10-m wind (Fig.
 317 S1a-b, e, f&j in supplementary), but the directions of surface current and 10-m wind are usually with
 318 an angle due to Coriolis effect (Fig. S1a-dg&h). Consequently, the effects of $\overrightarrow{U_{surf}}$ and $\overrightarrow{u_s(0)}$ on $\Delta\vec{V}$
 319 depend on the angles between them and $\overrightarrow{U_{wind}}$.

320 2.5 Parameterizations of Momentum Roughness

321 In CFSv2.0, the fluxes of momentum, heat, and freshwater are passed from atmosphere to ocean, and
 322 the estimates of them are critically important. The fluxes are in part determined by surface roughness
 323 length, which can be converted to surface exchange coefficients in Eqn. 11-137-9.

324 2.5.1 The Momentum Roughness Length in GFS

325 In GFS, the momentum roughness length z_0 has two terms. The first term z_{ch} is parameterized by
 326 the Charnock relationship (Charnock, 1955) representing wave-resulted sea surface roughness, and the
 327 second term z_{vis} is the viscous contribution (Beljaars, 1994) for low winds and smooth surface, that is,

$$z_0 = z_{ch} + z_{vis} = \frac{C_{ch}u_*^2}{g} + \frac{0.11\nu}{u_*}. \quad (1115)$$

328 Here $C_{ch} = 0.014$ is the constant Charnock parameter, ν is the air kinematic viscosity. The relation of
 329 z_0 in GFS versus 10-m wind speed is shown in Fig.2 (black line).

330 **2.5.2 The Charnock Relationship Related to Wave State**

331 When ocean surface waves are explicitly considered, the Charnock parameter C_{ch} is not a constant
 332 (Janssen 1989, 1991; Taylor and Yelland, 2001; Moon et al., 2004; Drennan et al., 2003, 2005). ~~There~~
 333 ~~are primarily three methods for C_{ch} , assessed from the wave induced kinematic stress (Janssen 1989,~~
 334 ~~1991), the wave age (Drennan et al., 2003, 2005; Moon et al., 2004; Fan et al., 2012), or the steepness~~
 335 ~~(Taylor and Yelland, 2001). The former two are based on the wind sea conditions, whereas the latter~~
 336 ~~includes both swells and wind sea waves.~~ In the study, we adopted a method developed by Moon et al.
 337 (2004) ~~Fan et al. (2012)~~, which considered the surface roughness leveling off under extremely high wind
 338 speed ~~based on the researches of (Powell et al., (2003); Donelan et al. (2004), and Moon et al. (2004).~~
 339 Based on observations, Moon et al. (2004) proposed Eqn. 16 to estimate the Charnock parameter by the
 340 wave age $\frac{c_{pi}}{u_*}$ (c_{pi} is the peak phase speed of the dominant wind-forced waves) with, and gave constant
 341 different values of a and b changing with 10-m wind speed every 5 m/s in the ranging of from 10 m/s
 342 to 50 m/s. In the parameterization, C_{ch} is calculated by the wave age $\frac{c_{pi}}{u_*}$ (c_{pi} is the peak phase speed
 343 of the dominant wind forced waves) as

$$C_{ch} = a \left(\frac{c_{pi}}{u_*} \right)^b, \quad (1216)$$

$$a = \frac{0.023}{1.0568 U_{10}^{0.23}}, b = 0.012 U_{10}, \quad (13)$$

344 where U_{10} is the 10-m wind speed. ~~To obtain continuous values of a and b , we derive a new~~
 345 ~~relationship (Eqn. 17) between to estimate a and b and from 10-m wind speed U_{10} by fitting the~~
 346 ~~values in Table 1 of Moon et al. (2004) for $U_{10} > 15$ m/s,~~

$$a = \frac{1}{0.1477 U_{10}^2 - 0.7395 U_{10} - 10.9995}, \quad (17)$$

$$b = 1.5661 E^{-5} U_{10}^3 - 0.002 U_{10}^2 + 0.1017 U_{10} - 1.6182.$$

347 Because the observations in Moon et al. (2004) were obtained under tropical cyclones, there was no
348 reliable data for wind ≤ 15 m/s. So, Eqn. 17 is used for $U_{10} > 15$ m/s, whereas the original Charnock
349 relationship of WW3 ST4 scheme (Janssen 1989, 1991) is used for $U_{10} \leq 15$ m/s. The revised
350 parameterization is called ST4-M04. Figure S2 in supplementary shows the C_{ch} distribution obtained by
351 Eqn. 12-13-16-17. In general sSmall wind direction variations at low latitudes lead to large wave age and
352 thus high-low C_{ch} , and vice versa. The situation is opposite at mid-high latitudes. At mid-high latitudes
353 C_{ch} is higher in summer winter than in winter summer. (references??):
354 —

355 The relationships between z_0 and U_{10} in GFS, WW3 ST4 scheme (Janssen 1989, 1991) and ST4-FAN
356 M04 scheme (Fan et al., 2012) were compared in Fig.2. The z_0 in GFS increases relatively slowly with
357 increasing wind speed (black). The value of z_0 from ST4 scheme (purple) increases rapidly with wind
358 speed at high winds. In comparison, in ST4-FAN-M04 scheme (dark redblue) the rapid increase of z_0
359 at high wind speed is obviously restrained, although the mean z_0 is slightly higher than that in GFS
360 especially at wind speed > 10 m/s due to larger C_{ch} (> 0.014 in Fig. S2). Furthermore, since the Charnock
361 number is constant in GFS, the standard deviation (STD) of z_0 at a given wind speed is near zero. Since
362 the z_0 is determined only by wind-sea conditions in ST4 and ST4-FAN-M04 scheme, the STD at a given
363 wind speed is mainly owing to variations in wind fetch and development stage of sea state(Shimura et
364 al., 2017). The reduced STDs in ST4-FAN-M04 scheme, compared to ST4, imply less sensitivity of
365 z_0 to fetch and sea state. Note that the ST4-M04 is used in GFS, while the z_0 in WW3 is still calculated
366 by the ST4 source term to avoid affecting the balance between of adjusted wind input and dissipation.

367 2.6 Set of Experiments

368 A series of numerical experiments was conducted to evaluate the effects of aforementioned wave-
369 related processes on ocean and atmosphere in two 56-day periods, from January 3 to February 28, 2017
370 and from August 3 to September 28, 2018 for boreal winter and boreal summer, respectively.

371 The reference experiment (CTRL) is a one-way coupled experiment, in which [CFSv2.0](#) provides 10-
372 m wind [and surface current](#) to WW3, whereas no variable is transferred from WW3 to [CFSv2.0](#). The
373 results of [CFSv2.0](#) in CTRL are consistent with the corresponding CFS Reanalysis data (Saha et al.,
374 2010). For each period, four sensitivity experiments were carried out (Table 1). Based on CTRL, the first
375 is VR12-AL-SC-EN experiment, in which the Langmuir mixing parameterization is applied with Stokes-
376 Coriolis force and entrainment in MOM4. The second is [Z0-FANZ0-M04](#) experiment, in which the
377 constant C_{ch} in GFS is replaced by C_{ch} from WW3 [ST4-FANST4-M04](#) scheme. The effect of fluxes
378 in GFS generated by $\Delta \bar{V}$ (Eqn. [1014](#)) is tested in the FLUX experiment. The last experiment is the ALL,
379 which includes all three parameterizations.

380 3 Data

381 Due to the availability of in situ and reanalysis data in the simulation periods, only sea surface
382 temperature (SST), ocean subsurface temperature and salinity (T/S), 2-m air temperature (T02), 10-m
383 wind speed (WSP10), and significant wave height (SWH) were used to evaluate the simulation results.

384 The daily average satellite Optimum Interpolation SST (OISST) data were obtained from NOAA, with
385 $0.25^\circ \times 0.25^\circ$ resolution (Reynolds et al., 2007; <https://www.ncdc.noaa.gov/oisst>). The global Argo
386 observational profiles of T/S (Li et al., 2019) were from China Argo Real-time Data Center
387 (www.argo.org.cn). The ERA5 datasets of T02, WSP10 and SWH with a spatial resolution of 0.5° were

388 also used (Hersbach et al., 2020; [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels)
 389 [single-levels](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels)), which assimilated huge amounts of historical data and thus provided reliable hourly
 390 estimates. Additionally, the WSP10 and SWH observations from the available National Data Buoy
 391 Center (NDBC) buoy data (<https://www.ndbc.noaa.gov>) were applied for comparison.

392 4 Experimental Results

393 In this section, an evaluation of simulation results was presented. Comparisons were made between
 394 model results and observations/reanalysis data. The results in the first three days were excluded in the
 395 evaluation, since the initial wave influences were too weak.

396 4.1 Sea Surface Temperature (SST) and 2-m Air Temperature (T02)

397 Figure 3a and Figure 4a shows the spatial distribution of 53-day (day 4 to day 56) averaged SST biases
 398 in CTRL in boreal winter and summer, respectively. Here the bias in Fig.3a&4a is defined as SST in
 399 CTRL minus OISST. To highlight the differences of the other four experiments (Table 1) versus the
 400 CTRL, a percentage relative difference (PRD) of the bias is computed shown as $PRD =$
 401 $\frac{|\hat{y}_s - y| - |\hat{y}_e - y|}{|y|} \times 100\%$, where y is OISST, \hat{y}_e is simulated SST in CTRL and \hat{y}_s is simulated SST in
 402 other experiments in (Fig. 3c-f and Fig. 4c-f (results of other four experiments minus CTRL)). The
 403 correlations between the absolute CTRL biases and the differences of absolute biases between ALL and
 404 CTRL ($|\hat{y}_a - y| - |\hat{y}_e - y|$, where y is OISST or ERA5, \hat{y}_e (\hat{y}_a) is simulated result in CTRL (ALL)) are
 405 shown in Fig.3b&4b, statistically significant at 95% confidence level.

406 A negative value of PRD indicates that the bias is smaller compared to CTRL, and vice versa.

407 ~~In boreal winter, t~~The global mean SST bias is approximately 0.3032°C , and the average ~~root mean~~
 408 ~~square error (RMSE)~~ is about $0.901.09^{\circ}\text{C}$ from day 4 to day 56 in CTRL (Fig. 3a). The simulated SSTs
 409 ~~are~~s generally overestimated, and the large biases ($>1.0^{\circ}\text{C}$) are mainly distributed in the Southern Ocean.
 410 In Fig. 3b, tThe global-averaged regression coefficients of absolute SST biases in CTRL (absolute value
 411 of biases in Fig. 3a) with time RMSEs of CTRL (black) increase with time in the first month are almost
 412 positive everywhere (Fig. S3a in the supplementary), indicating the simulations are drifting from the
 413 initial state due to no data assimilation, and and then gradually level off. Compared with CTRL, the
 414 RMSEs are reduced continuously in VR12-AL-SC-EN and ALL (yellow and red), but not in Z0-M04
 415 and FLUX (purple and blue), indicating that the model biases increase with time.
 416 Figure 3b shows the correlation coefficients between the absolute CTRL biases and the differences of
 417 absolute biases between ALL and CTRL, defined as $|\hat{y}_a - y| - |\hat{y}_e - y|$ where y is OISST or ERA5
 418 data, \hat{y}_e (\hat{y}_a) is simulated result in CTRL (ALL).
 419 Figure 3b shows the distribution of correlation coefficients between the absolute biases with positive
 420 regression coefficients in CTRL (absolute value of biases in Fig. 3a) and PRDs in ALL (Fig. 3f) from
 421 day 4 to day 56. Only coefficients with P value less than 0.05 are shown. The negative (positive) values
 422 indicate that the SST bias in CTRL decreases (increases) with time in the fully coupled ALL experiment.
 423 Noticeably, the bias reduction mainly occurs in regions where SST is largely overestimated ($>1.0^{\circ}\text{C}$),
 424 particularly in the Southern Ocean.

425 To understand the key process responsible for the bias reduction in ALL, the global distribution of
 426 SST averaged PRD differences was are compared across all four experiments (Fig.3c-f). Clearly, the
 427 difference distribution of PRD in experiment VR12-AL-SC-EN is similar to that in ALL (Fig. 3c&3f).
 428 The spatial correlation coefficient between the SST differences with OISST average PRD distributions

429 of the two experiments (Fig. 3c&3f) is 0.9967, significant at 99% confidence level, and the RMSEs of
 430 SST are not different significantly (red and yellow lines in Fig. 3b&5a), indicating the Stokes drift-related
 431 parameterizations in VR12-AL-SC-EN, mainly contribute to the SST positive bias reduction. This is
 432 different with Couvelard et al. (2020), where -SST overestimations and MLD biases underestimations
 433 are reduced mainly due to the directly modified turbulence kinetic energy TKE scheme. The global mean
 434 SST PRD-bias in ALL is -0.02 °C with RMSE of 1.03 °C -7.74±2.21%, and in most areas the PRD
 435 SST differences compared with CTRL are significant ($P \leq 0.05$) (dotted areas in Fig. 3f). Large SST
 436 improvements mainly appear in the Southern Ocean, with a regional mean PRD-RMSE decreases from
 437 1.27 to 1.04 °C reaching -35.89±9.98% south of 45°S (Fig. 3f and red line in Fig. 5a). The reduction
 438 of overestimated SSTs in CTRL (red in Fig 3a) is because the Langmuir turbulence Stokes drift-related
 439 parameterizations with Stokes-Coriolis force and entrainment in MOM4 injects turbulent kinetic energy
 440 into the ocean, which enhances vertical mixing, and subsequently cools the surface waters (Belcher et
 441 al., 2012; Li et al. 2016). The modified roughness and relative velocity in Z0-FANZO-M04 and FLUX
 442 also influence upper ocean mixing (Fig. 3d&e) via changing momentum flux, but and lead to generally
 443 warmer SSTs, the total SST changes are not significant (purple and blue lines in Fig. 3b&5a). The effect
 444 from Stokes drift-related ocean mixing parameterizations dominates SST changes in ALL.

445 In boreal summer, the global mean SST bias in CTRL is overestimated approximately 0.29°C, and the
 446 averaged RMSE from day 4 to day 56 is about 0.871.19°C. The overestimated SSTs (>1.0°C) mainly
 447 occur in the Northern Hemisphere (Fig. 4a). The global-averaged RMSEs are also generally timeseries
 448 show continuous lower values in VR12-AL-SC-EN and ALL than incompared with CTRL, except the
 449 last week (Fig. 4b). The cooling effects in VR12-AL-SC-EN lead to a global mean PRD-bias of -3.85±
 450 1.32%0.06°C, smaller than that in boreal winter, and the large SST improvements mainly occur north of

451 50°N (Fig. 4c and yellow line in Fig. 5b). The changes of SST in ~~Z0-FANZ0-M04~~ and FLUX (Fig. 4d,
452 ~~&c~~; purple and blue lines in Fig. ~~4b&5b~~) are ~~again~~ relatively small. The global mean ~~PRD-bias~~ in ALL
453 is ~~$-3.26 \pm 1.13\%0.04^\circ\text{C}$~~ with RMSE of 1.14°C (Fig. 4f). ~~The correlation coefficients between absolute~~
454 ~~bias in CTRL (Fig. 4a) and PRD in ALL (Fig. 4f) show significant negative values mainly in the Northern~~
455 ~~Hemisphere (Fig. 4b), indicating improvements of overestimated SST in ALL. While both positive and~~
456 ~~negative PRDs of SST appear in the Southern Ocean, probably owing to the insufficient model resolution~~
457 ~~which could not resolve mesoscale activities.~~

458 As aforementioned, large improvements of overestimated SST mainly occur ~~at~~ mid-~~and~~-high
459 latitudes in local summer. The time series of RMSEs and correlation coefficients of SST between model
460 and observation in the region (0-360°E, 45°-78°S in boreal winter and 0-360°E, 50°-78°N in boreal
461 summer) are shown in Fig. 5c-f. The RMSEs in CTRL (blue in Fig. 5c&d) increases in the first few
462 weeks and then gradually decreases afterward. Compared with CTRL, RMSEs in VR12-AL-SC-EN
463 (yellow) and ALL (red) are significantly ($P \leq 0.01$) reduced by about 0.3°C . The spatial correlation
464 coefficients decrease with time but remain high (>0.90) for all experiments (Fig. 5e&f) ~~with higher values~~
465 ~~in. The experiments ALL and VR12-AL-SC-EN (red and yellow), show higher correlation coefficients~~
466 ~~than CTRL (blue) in boreal summer (Fig. 5f), although the changes are weak in boreal winter (Fig. 5e),~~
467 ~~indicating that the Stokes drift related ocean mixing plays a dominant role in SST warm bias reduction~~
468 ~~in high latitudes in summer.~~

469 We also compared T02 from experiments with ERA5 (Fig. 6). Warm biases of T02 appear in both
470 winter and summer in CTRL (Fig. 6a&b). The ~~changes of~~ ~~distribution of PRD of T02~~ ~~difference in~~
471 ~~sensitivity experiments (Fig. 6c-j)~~ ~~is~~ are generally consistent with ~~the changes that~~ of SST ~~in the same~~
472 ~~experiments (Fig.3&4). The correlation coefficients between the SST and the T02 changes for the ALL~~

473 experiment in boreal winter and summer (Fig. 3f&6f and Fig. 4f&6j) are 0.61 and 0.53 respectively,
474 significant at 99% confidence level, because indicating the surface air temperature is mainly regulated
475 by SST. In boreal winter, all wave-coupled experiments except FLUX reduce the T02 mean bias in
476 general (Fig.6c-f). VR12-AL-SC-EC has the largest T02 bias reduction compared with CTRL. The
477 global averaged PRD of bias which decreases from 0.55°C to 0.081717°C is $7.51 \pm 0.11\%$, and the
478 RMSE decreases from 2.72°C to 2.61°C with reduction exceeding 20% in the Southern Ocean (Fig.6cge).
479 The regional T02 RMSE decreases from 1.93 to 1.67 °C south of 45°S in ALL. In boreal summer, both
480 VR12-AL-SC-EC and bias RMSE of T02 is ALL have the largest T02 bias reduction, from 0.29°C to
481 0.08 °C (Fig.6g&j). Noticeably, the improvements in RMSEs —are not large for all experiments,
482 because slightly increased in the Southern Ocean in all experiments except ALL (Fig.6g-j), although the
483 positive bias is reduced, mainly consistent with the slight SST bias increase in the Southern Ocean (Fig.
484 4e-f) due to the decrease of T02 overestimation in CTRL the improvements mainly occurs in areas with
485 overestimated temperature.

486 **4.2 Mixed Layer Depth (MLD)**

487 To further evaluate the direct effect of the wave-related processes on upper ocean, we compared the
488 MLD of all experiments with that estimated from Argo profiles in summer. The simulated T/S were
489 interpolated onto the positions of Argo profiles at the nearest time. The MLD was estimated as the depth
490 where the change of potential density reaches the value corresponding to a 0.2°C decrease of potential
491 temperature with unchanged salinity from surface (de Boyer Montégut et al., 2004; Wang and Xu, 2018).

492 The time series of MLDs from numerical experiments and Argo south of 45°S in boreal winter (north
493 of 45°N in boreal summer) are compared in Fig. 7a (7b). The simulated MLDs are generally within the

494 STD of Argo MLDs (shading in Fig. 7). In CTRL, the mean bias (CTRL minus Argo) with STD is -
 495 13.15 ± 7.82 m (-6.75 ± 5.29 m) in boreal winter (summer). The correlation coefficients of MLDs in CTRL
 496 with Argo MLDs is 0.55 (0.68) with $P \leq 0.01$, and the mean RMSEs is 15.30 m (8.55 m) in boreal winter
 497 (summer). In ALL, the mean bias (ALL minus Argo) with STD is 9.61 ± 7.70 (-8.78 ± 10.42) m
 498 (5.23 ± 7.22) (3.30 ± 7.78) m in boreal winter (summer), and the correlation coefficient of MLDs south of
 499 45°S (~~north of 45°N~~) enhances to 0.69 (0.63) (~~0.79 (0.78)~~) in boreal winter (summer). The RMSE south of
 500 45°S decreases from 15.30 m in CTRL to 13.02 (12.96) m in ALL. The RMSE north of 45°N decreases
 501 from 6.71 m in CTRL to 4.93 (5.55) m in ALL in the first six weeks but the value increases in the last
 502 two weeks due to overestimation of MLDs. Compared with CTRL (orange in Fig. 7), VR12-AL-SC-
 503 EN (yellow) and ALL (dark blue) show significantly improvements ($P \leq 0.01$) on the underestimated
 504 MLDs time series, whereas the MLDs difference between CTRL and Z0-FANZ0-M04 (purple)/FLUX
 505 (blue) is non-significant. Furthermore, there is no significant difference between VR12-AL-SC-EN and
 506 ALL, indicating the Stokes drift related ocean mixing dominates the total wave effects on MLD in ALL.
 507

508 4.3 Wind Speed at 10 m (WSP10) and Significant Wave Height (SWH)

509 Compared with ERA5—In general, the WSP10s in CTRL are generally overestimated in both winter
 510 and summer compared with ERA5 (Fig. 8a and Fig. 9a). The global averaged RMSEs of WSP10s in
 511 CTRL are 3.51 (4.25) m/s (3.53 (4.26) m/s) in boreal winter (summer). The global averaged WSP10 RMSE
 512 timeseries The correlation coefficients between absolute bias in CTRL and PRD in ALL are calculated
 513 shown where regression coefficients of absolute bias with time are positive in CTRL in (Fig. S3e8b&9b.
 514 The global averaged RMSEs of WSP10s in CTRL (black) all experiments increase with time in the first

515 ~~two weeks and then gradually level off with oscillations/perturbations (Fig. 8b and Fig. 9b)d). Only~~
516 ~~values, significant at 95% confidence level, are shown. The widely distributed negative values indicate~~
517 ~~decreasing trends of bias in these areas (Fig. 8b&9b). The differences of RMSEs timeseries between~~
518 ~~CTRL and other experiments are relatively modest/tiny in the first 10 days, and afterwards/although the~~
519 ~~RMSEs in those of -Z0-M04 and ALL (purple and red) are become clear/generally lower smaller than in~~
520 ~~CTRL over most of the time. In addition, the comparisons of WSP10 from numerical experiments with~~
521 ~~ERA5 (Fig. 8a&9a) indicate that the overestimated (underestimated) SWH (Fig. 10a&11a)WSP10 are~~
522 ~~directly related/corresponds to to the overestimated (underestimated) WSP10, with the correlation~~
523 ~~coefficients of 0.59 and 0.55 (Fig. 8a&10a and Fig. 9a&11a) in boreal winter and summer, significant at~~
524 ~~99% confidence level/SWH (Fig. 10a&11a).~~

525 The comparisons of the simulated SWHs in CTRL with the ERA5 ~~data over 53 days for boreal winter~~
526 ~~and boreal summer are shown in Figure 10 and 11, respectively also show that. In boreal winter, the~~
527 ~~SWHs are overestimated in both winter and summer (Fig. 10a and Fig. 11a). In boreal winter, t~~the
528 global mean SWH bias in CTRL is approximately 0.20 m, ~~mainly due to the~~ with overestimates (> 0.30
529 m) in the Pacific, the North Atlantic and the Southern Ocean (Fig.10a), and the average RMSE is about
530 ~~1.04-29~~ m. In boreal summer, the global mean bias in CTRL is approximately 0.17 m with ~~0.96~~ 1.22 m
531 RMSE (Fig. 11a). ~~Similar to Fig. 8b&9b WSP10s, in Fig. 10b&11b the SWH RMSEs of SWHs in CTRL~~
532 ~~(black) also increase in the first two weeks and then gradually level off with oscillations/perturbations~~
533 ~~(Fig. 10b and Fig. 11b)The significant negative correlation (Fig. 10b&11b) between absolute bias in~~
534 ~~CTRL and PRD in ALL appears in most overestimated regions. And t~~The SWH RMSEs in Z0-M04 and
535 ~~ALL (purple and red) are reduced/smaller than compared with in CTRL for over most of the time,~~
536 ~~consistent with changes of WSP10s, especially in boreal winter (Fig. 10b), indicating the improvement~~

537 of overestimated SWH in the fully coupled ALL experiment. The correlation coefficients between
538 changes of WSP10s difference and changes of SWHs difference in ALL are 0.77 and 0.73 in boreal
539 winter and summer respectively (Fig. 8f&10f and Fig. 9f&11f), significant at 99% confidence level,
540 indicating that the SWHs changes are closely related to is originated from the changes of wind speeds
541 change.

542 WSP10 and SWH differences between sensitivity experiments and CTRL (sensitivity experiments
543 minus CTRL) are shown (Figs. 8-11 e-f). In VR12-AL-SC-EN, the reduction of SST
544 warm biases affects air temperature and stabilizes marine atmospheric boundary layer (Sweet et al. 1981;
545 O'Neill et al. 2003), and subsequently reduces WSP10s and SWHs with decreased global averaged bias
546 reduction of 0.31 ± 0.14 m/s and 0.19 ± 0.09 m (Fig. 8c&10c), respectively in boreal winter (Fig. 8c&10c).
547 In Z0-FANZ0-M04 experiment, the overestimated WSP10s and SWHs are also reduced (Fig. 8d&10d)
548 with bias of 0.07 m/s and 0.08 m, and the global averaged bias RMSE reduction is 0.30 ± 0.14 is reduced
549 to 4.15 m/s and 1.14 0.23 ± 0.12 m (Fig. 8d&10d). The changes in WSP10 and SWH stem from due to the
550 large updated z_0 generated by WW3 with the ST4-FANST4-M04 scheme, which is larger than the
551 original z_0 in GFS at wind speed > 10 m/s (Fig. 2). The increase of z_0 enhances wind stress and
552 momentum transferred into the ocean, and therefore reduces surface winds (Pineau-Guillou et al. 2018;
553 Sauvage et al. 2020). Whereas the reduced surface winds decrease z_0 (Fig. 2), therefore in the end the
554 wind stresses are weaker in most areas in Z0-FAN than in CTRL. Since the 10 m wind in GFS is
555 diagnosed from the friction velocity by the well-known logarithmic profile (Charnock, 1955), its change
556 is consistent with wind stress, and consequently reduces SWHs. In FLUX (Fig. 8e&10e), the change of
557 WSP10 and SWH is marginal in terms of global average (Fig. 8e&10e). $\overrightarrow{U}_{\text{surf}}$ and $\overrightarrow{u_s(0)}$ in FLUX
558 decrease wind stress and momentum transfer when their directions are consistent with that of wind
559 directions, and vice versa (Hersbach and Bidlot, 2008; Renault et al., 2016). For instance, the angles
560 between wind and current are relatively small ($< 90^\circ$) in the northeastern Pacific, reducing the wind
561 stress and thus enhancing WSP10s (Fig. 8e). In contrast, for these areas the large angles ($> 90^\circ$) between
562 the northwesterlies and the Kuroshio in the northwestern Pacific enhance wind stress, and decrease
563 WSP10s (Fig. 8e). Consequently, the improvements occur in areas with misalignment of winds and
564 currents. Although the decreased momentum flux into water could increase surface wind (Renault et al.,
565 2016), the effect is rather small in the coupled system with a relative coarse resolution. The effects of
566 $\overrightarrow{U}_{\text{surf}}$ and $\overrightarrow{u_s(0)}$ are discussed separately in Section 4.4. With all combined effects, the biases of

567 ~~WSP10s and SWHs in ALL in most regions tend to be~~ decreased (Fig. 8f&10f), ~~compared with CTRL~~
568 ~~(Fig. 8a&10a), especially for overestimated WSP10 and SWH, with the reduced global RMSEs of 4.17~~
569 ~~m/s and 1.18 m respectively.~~

570 In boreal summer, the ~~changes/improvements~~ of WSP10_s and SWH_s are relatively small in terms of
571 global ~~averaged average~~RMSEs, because of smaller positive biases in CTRL (Fig. 9a&11a). In ALL, the
572 global averaged bias ~~reduction~~ of WSP10_s (SWH_s) is ~~reduced to~~ -0.14 ± 0.13 0.01 m/s (-0.16 ± 0.07 0.03
573 m). The largest reduction primarily appears in the Southern Ocean (Fig. 9f&11f) to improve the
574 overestimated westerlies and SWH_s in CTRL (Fig. 9a&11a). ~~Notably, the WSP10 and the SWH~~
575 ~~significantly increase in the south of Australia and the northwestern Pacific in FLUX (Fig. 9c&11c),~~
576 ~~where they are underestimated in CTRL. The WSP10 and the SWH are enhanced by the modified~~
577 ~~momentum transfer caused by the Stokes drift and ocean surface current (Fig. S1d&f). Even though, the~~
578 ~~increases partly vanish in ALL (Fig. 9f&11f) owing to stabilization of atmosphere caused by Stokes drift-~~
579 ~~related ocean mixing (Fig. 9c&11c) and enhanced roughness (Fig. 9d&11d).~~

580 Previous studies indicated that ocean surface winds in ERA5 are underestimated in some regions
581 (Belmonte Rivas and Stoffelen 2019; Kalverla et al. 2020; Sharmar and Markina 2020). To better
582 demonstrate the effects of waves on WSP10_s and SWH_s, ~~we also compared the simulation results with~~
583 ~~NDBC buoy data (locations shown in Fig. 12, numbers of available buoys marked in Table 2 and buoy~~
584 ~~identifiers listed in Table S4). ~~the~~ comparisons of WSP10s and SWHs with the NDBC buoy ~~datas~~ are~~
585 ~~made (Table 2 and Fig. 12). ~~shown~~ The differences between sensitivity experiments and CTRL are all~~
586 ~~statistically significant at 95% confidence level. Buoys in Fig. 12 (locations are mainly located in the~~
587 ~~northeastern Pacific, the tropical Pacific and the northwestern Atlantic oceans shown in (Fig. S3), and~~
588 ~~buoy identifiers with total numbers, longitudes and latitudes are listed in Table S3). The method from~~
589 ~~Hsu et al. (1994) was used to adjust wind speeds from buoy data to the reference height of 10 m. ~~The x-~~~~

590 ~~axis is WSP10/SWH of buoys, and the y axis is the simulated WSP10/SWH in Jan Feb, 2017 (Fig.~~
591 ~~12a&b) and Aug Sep, 2018 (Fig. 12c&d) for all experiments. The bias is reflected by the distance to the~~
592 ~~y=x line (dotted). The mean biases with standard deviations and RMSEs for every experiment are shown~~
593 ~~in Table 2. The differences between 4 sensitivity experiments and CTRL are all statistically significant~~
594 ~~at 95% confidence level.~~

595 ~~In CTRL, compared with the NDBC data, the WSP10s and the SWHs in CTRL are generally~~
596 ~~overestimated in both winter and summer with positive mean biases (Table 2 and Fig. 12). The reduction~~
597 ~~of mean biases appears in Except the FLUX experiment in Jan-Feb, 2017, all other experiments except~~
598 ~~FLUX in boreal winter lead to a decrease of mean bias. Therefore, the wave-related processes are most~~
599 ~~effective in areas with positive biases (above y=x line), consistent with previous comparisons with~~
600 ~~ERA5. The contrast In boreal winter, the angles between winds and currents are small. The wind~~
601 ~~stresses are then reduced in FLUX, and the WSP10s are enhanced. So the positive bias is further~~
602 ~~enhanced. for winter (enhanced WSP10s in Fig. 12a) and summer (reduced WSP10s in Fig. 12c) is~~
603 ~~consistent with the regional angle changes between wind and current analyzed above by generally~~
604 ~~reducing WSP10 and SWH, which can also be observed in Fig. 12. In ALL experiment, The~~
605 ~~improvements in ALL are generally the largest (Table 2), with the WSP10s RMSEs of WSP10 of 1.04~~
606 ~~m/s (1.15 m/s) and the SWHs RMSEs of SWH 0.36 m (0.24 m) in boreal winter (summer). the RMSEs~~
607 ~~of WSP10 (SWH) decrease from both 1.24 m/s to 1.04 m/s and 1.15 m/s (0.44 m and 0.38 m to 0.36 m~~
608 ~~and 0.24 m) in Jan Feb, 2017 and Aug Sep, 2018. As shown in Fig. 12, with the increase of WSP10s and~~
609 ~~SWHs, the reduction of overestimation in ALL compared with CTRL the overestimation is more~~
610 ~~prominent heavier in CTRL, and the reduction of overestimation is more obvious. Compared with Jan-~~
611 ~~Feb, 2017 (Fig. 12a), in Aug Sep, 2018 the improvement of WSP10 simulation is smaller (Fig. 12a&c;~~

612 ~~RMSEs in Table 2), because of weaker winds in summer than winter. According to the CTRL bias~~
613 ~~distribution, the biases (CTRL minus NDBC) were sorted into three categories (Table 2), that is, the~~
614 ~~upper quartile (UQ; the buoys with the highest 25% bias; yellow marks in Fig. 12), the lower quartile~~
615 ~~(LQ; the buoys with the lowest 25% bias; light blue marks) and the median (MD; the rest 50%; black~~
616 ~~marks). And the corresponding mean biases in CTRL were given in brackets of Table 2. In general, the~~
617 ~~UQ/LQ buoys (yellow/light blue in Fig. 12) collocate with positive/negative bias between CTRL and~~
618 ~~ERA5 (shading in Fig. 12), and the MD buoys collocate with mild bias. From Table 2, the biases of both~~
619 ~~WSP10 and SWH between CTRL and buoy data are positive in both seasons, except for LQ buoys.~~

620 The mean biases with standard deviations and RMSEs for every experiment are shown in Table 2. The
621 differences between 4 sensitivity experiments and CTRL are all statistically significant at 95%
622 confidence level. The 53-day mean absolute percentage errors (MAPE = $(100\%/n) \sum_{i=1}^n \left| \frac{\hat{y}_i - y_i}{y_i} \right|$, where \hat{y}_i
623 is simulated value, y_i is NDBC buoy observation, $i=1, 53$) were calculated. The method from Hsu et al.
624 (1994) was used to adjust wind speeds from buoy data to the reference height of 10 m. The corresponding
625 MAPE differences compared with CTRL for the other four simulations are shown in Table 2, where a
626 negative (positive) value means that the bias is reduced (enhanced) versus CTRL.

627 In boreal winter, all four experiments lead to significant improvements on WSP10 and SWH for UQ
628 at 95% confidence level. The maximum MAPE difference for WSP10 is 17.31% in FLUX, and 11.93%
629 for SWH in Z0 FAN. While the MAPE differences for LQ are not significant, and the differences for
630 MD are small too. On average, the FLUX experiment shows the best improvement on both WSP10 and
631 SWH, which is 4.97% and 4.43% respectively.

632 In boreal summer, the MAPE improvements are larger than in boreal winter, and the differences are
633 lower than 10% for WSP10 for UQ in all experiments. This is consistent with the distribution of bias

634 improvement compared with ERA5 (Figs. 8-11). The largest MAPE difference is 23.21% for WSP10
635 in FLUX, and 20.05% for SWH in Z0-FAN. Again, the MAPE differences for LQ and MD are relatively
636 small. On average, the largest improvements are shown in FLUX and Z0-FAN, with the MAPE
637 difference of 7.51% and 6.99% for WSP10 and SWH, respectively. Therefore, the wave-related
638 processes are most effective in areas with large positive biases. The most effective process varies,
639 depending on seasons and locations.

640 4.4 Enthalpy Fluxes and Effects of Stokes Drift on Air-Sea Fluxes

641 The enthalpy fluxes in CTRL are shown in Fig. S4 of supplementary, which are positive upwards. The
642 latent heat flux differences between sensitivity experiments (Table 1) and CTRL are shown in Fig. 13.
643 Note that the distribution of the differences is consistent with those of WSP10 (Fig. 8&9) in general,
644 because the increase of $\Delta\bar{V}$ leads to enhanced upward latent heat flux, and vice versa. Meanwhile, the
645 differences in sensible heat flux between sensitivity experiments and CTRL have similar distribution
646 patterns but weaker magnitude (Fig. S5 of supplementary).

647 To better understand the effects of current and Stokes drift on air-sea fluxes in CFSv2, two extra
648 experiments with only surface current (FLUX_CURR) or Stokes drift (FLUX_ST) considered in Eqn.
649 10 were carried out. We compared the difference of momentum flux, latent heat flux and sensible heat
650 flux between FLUX_CURR and CTRL (Fig. S6 in supplementary), as well as FLUX_ST and CTRL (Fig.
651 S7 in supplementary), respectively. Since the effects of $\overrightarrow{U_{surf}}$ and $\overrightarrow{u_s(0)}$ on $\Delta\bar{V}$ depend on their
652 directions, enhanced (reduced) momentum flux and WSP10 occur in areas with relatively large (small)
653 angles with wind (Fig. S1g-j). The angles between Stokes drift and wind are much smaller than those
654 between current and wind, and the large angles in mid-high latitudes and coastal areas are resulted from

655 ~~the dominance of swells. For instance, the northeasterlies over Kuroshio in boreal summer (Fig. S1b) is~~
656 ~~in alignment with the Stokes drift (Fig. S1f), reducing the wind stress and the WSP10 (Fig. S7b, d). In~~
657 ~~contrast, the Kuroshio is northeastward (Fig. S1d), enhancing wind stress and WSP10 (Fig. S6b, d).~~
658 ~~Compared with the NDBC data (Fig. 12), the combined effects of the current and the Stokes drift lead~~
659 ~~to larger MAPE improvements in FLUX than those in both FLUX_CURR and FLUX_ST for WSP10~~
660 ~~(Table S3). Note that these effects are stronger in mid and high latitudes in winter due to large winds and~~
661 ~~large waves. In addition, the latent heat flux as well as the sensible heat flux change consistently with~~
662 ~~momentum flux (Fig. S6e-h&S7e-h).~~

663 **5 Summary and Discussion**

664 To investigate the individual role played by wave-related processes on atmosphere and ocean interface
665 in a coupled global atmosphere-ocean-wave modeling system on intraseasonal scale, we implemented
666 the version 5.16 of WW3 into CFSv2.0 for global oceans from 78°S-78°N, using the C-Coupler2. In this
667 coupled system, the WW3 was forced by 10-m wind [and surface current](#) generated in [CGFSv2.0](#). Stokes
668 drift-related Langmuir mixing, Stokes-Coriolis force and entrainment in ocean, air-sea fluxes modified
669 by surface current and Stokes drift, and momentum roughness length (z_0) were considered separately,
670 and the results of sensitivity experiments were compared against in-situ buoys, satellite measurements
671 and ERA5 reanalysis. The effects of waves on intraseasonal prediction were examined in two 56-day
672 cases, one for boreal winter and the other one for boreal summer.

673 The following key results were found:

- 674 1. Overestimated SST, T02 and underestimated MLD in [mid-and-high](#) latitudes in
675 CFSv2.0 are significantly improved, particularly in local summer. Because enhanced vertical

676 mixing generated by Langmuir turbulence, Stokes-Coriolis force and entrainment in VR12-
 677 AL-SC-EN changes temperature structure in the upper ocean, and further affects air
 678 temperature. In boreal winter, ~~for the Southern Ocean, the global averaged SST (T02) is~~
 679 ~~improved by $6.90 \pm 2.26\%$ ($7.51 \pm 0.11\%$).~~ Especially for the Southern Ocean, ~~the~~ the regional
 680 ~~mean improvement of SST reaches up to regional RMSE of SST (T02) in the Southern Ocean~~
 681 ~~RMSE decreases from 1.27 (1.93) in CTRL experiment to 1.04 (1.67) °C in ALL~~
 682 ~~experiment~~ $35.89 \pm 9.98\%$. In boreal summer, the effect is weaker because of the smaller ocean
 683 areas in ~~mid and high~~ mid-high latitudes of the Northern Hemisphere, ~~with a $3.85 \pm 1.32\%$ global~~
 684 ~~mean improvement in SST.~~

685 2. In general, all wave-related processes lead to reduction of biases for WSP10s and SWHs,
 686 particularly in regions where WSP10s and SWHs are overestimated. The decreased SSTs in
 687 VR12-AL-SC-EN stabilize marine atmospheric boundary layer, and lead to weakened
 688 WSP10s and SWHs. The modified roughness in Z0-FAN/Z0-M04 generally reduces
 689 momentum transfer into the ocean, and so decreases WSP10s and SWHs. The relative wind-
 690 wave-current speed in FLUX also affects wind stress, and further influences WSP10s and
 691 SWHs. Compared with NDBC buoy observations and ERA5, the ALL experiment shows
 692 ~~significant improvements in ALL experiment, the RMSEs of WSP10 decrease from 1.24 m/s~~
 693 ~~(1.24 m/s) to 1.04 m/s (1.15 m/s) and the RMSEs of SWH decrease from 0.44 m (0.38 m) to~~
 694 ~~0.36 m (0.24 m) in boreal winter (summer) or buoys with overestimations in CTRL, in boreal~~
 695 ~~winter the maximum improvement for WSP10 is 17.31% in FLUX, and 11.93% for SWH in~~
 696 ~~Z0-FAN. In boreal summer, the largest improvement is 23.21% for WSP10 in FLUX, and~~
 697 ~~20.05% for SWH in Z0-FAN.~~

698 In addition to the variables aforementioned, the changes of simulated enthalpy fluxes were also
699 compared, which changes generally mainly depend on the WSP10s changes. However, the wave-related
700 effects on enthalpy fluxes are non-significant for the 2-month simulation, so the results are not shown.

701 The wave-related parameterizations used in the study mainly improve model biases at mid-high
702 latitudes, and As shown in Fig. 3 & 4, SST biases also appear in tropical oceans are only slightly improved
703 (Fig. 3 & Fig. 4). ,so more wave related processes might need to be considered in the future Breivik et al.
704 (2015) improved SST as well as subsurface temperature simulations in Nucleus for European Modelling
705 of the Ocean (NEMO) with parametrizations including the wave-related Charnock parameter,
706 modification of water-side stress with wind input and wave dissipation, wave dissipation-related
707 turbulent kinetic energy flux and the Stokes-Coriolis force. Based on a global NEMO-WW3 coupled
708 framework, Couvelard et al. (2020) modified the Charnock parameter, the Stokes drift-related forces and
709 the Langmuir cell with misalignment of winds and waves, the oceanic surface momentum flux and the
710 turbulence kinetic energy to reduce SST and mixed layer depth (MLD) biases. In addition, sea sprays
711 can enhance air-sea heat fluxes in the tropics (Andreas et al. 2008; Andreas et al. 2015??). We will
712 consider more processes in the future study, modification of ocean side stress with wind input and wave
713 dissipation, wave dissipation related turbulent kinetic energy flux, and nonbreaking wave related mixing,
714 (Breivik et al., 2015; Law Chune and Aouf, 2018; Bao et al. 2019; Couvelard et al. 2020). In Breivik et
715 al. (2015), considered the surface waves effects including wave related Charnock parameter,
716 modification of ocean side stress, wave dissipation related turbulent kinetic energy flux, Stokes-Coriolis
717 force and Langmuir mixing, the bias of SST simulation in the tropics is reduced In the work of Breivik et
718 al. (2015), considered the surface waves, the bias of SST simulation in the tropics is reduced mainly due
719 to drag from swells. The similar effect was also shown in the one way coupled Nucleus for European

720 ~~Modelling of the Ocean (NEMO) model with the Météo France wave model (MFWAM), where the~~
721 ~~momentum as well as the energy flux across the air-sea interface are refined (Law-Chune and Aouf,~~
722 ~~2018). Besides, more wave-related processes should be considered, such as sea spray, wave breaking and~~
723 ~~non-breaking wave effects (Bao et al. 2019; Couvelard et al. 2020). All these processes are worth future~~
724 ~~evaluation. In Couvelard et al. (2020) based on the coupling of NEMO and WW3, in addition to~~
725 ~~Couvelard et al. (2020) the modification of Charnock parameter, the Stokes drift related forces and the~~
726 ~~Langmuir cell, they furtherly considered the wave-supported stress and the modified turbulence kinetic~~
727 ~~energy. Besides, the misalignment of wind and waves for Langmuir cells was considered, which leads to~~
728 ~~weaker mixing than assuming alignment especially in the Southern Ocean. These also generated~~
729 ~~reasonable improvements of SST and MLD simulation, although the SSTs of control run are generally~~
730 ~~underestimated but not overestimated in Couvelard et al. (2020). In our system, even the application of~~
731 ~~VR12-AL (assuming alignment of wind and waves) cannot produce strong enough mixing in the~~
732 ~~Southern Ocean, and subsequently cannot significantly reduce the warm bias of SST and the shallow~~
733 ~~bias of MLD. Therefore, we further include the effects of Stokes-Coriolis force and entrainment (VR12-~~
734 ~~AL-SC-EN) to enhance mixing. While these processes are worth future evaluation.~~

735 Different parameterizations for the same wave-related process also deserve discussion. For ocean
736 surface roughness, the most classic parametrizations are those developed by Janssen (1989, 1991), Taylor
737 and Yelland (2001) and Drennan et al. (2003). The method of Taylor and Yelland (2001) requires the
738 peak wavelength for the total spectrum, whereas that of Drennan et al. (2003) only requires the peak of
739 wind-sea waves. This difference leads to the fact that the former is more suitable for a mixed sea state,
740 while the latter is more suitable for a young sea state (Drennan et al., 2005). And the effect of Janssen's
741 parameterization (1989, 1991) is similar to that of Drennan et al. (2003), since it is also based on the

742 wind-sea conditions (Shimura et al., 2017). ~~In addition, Janssen's formulation can be modified to account~~
743 ~~for the decreased drag coefficient for strong winds (Bidlot et al. 2020; ECMWF 2020), and the~~
744 ~~parameterization can improve simulation of tropical cyclone (Li et al. 2021).~~

745 The case studies indicate that there remain significant biases in the coupled system, probably owing
746 to inaccuracy of coarse resolution, absence of a coupled wave-ice modular, and deficiency of initial fields.
747 In addition, to further improve the model and eliminate the biases, as Breivik et al. (2015) proposed,
748 extra adjusting of the individual model components in the coupled systems is also necessary. All of these
749 require further efforts to investigate efficient methods to improve fully coupled systems.

750 **Code and data availability**

751 The code developed for the coupled system can be found under
752 <https://doi.org/10.5281/zenodo.5811002>~~10.5281/zenodo.5109521~~ (Shi et al., ~~2020~~2021), including the
753 coupling, preprocessing, run control and postprocessing scripts. The initial fields for CFSv2.0 are
754 generated by the real time operational Climate Data Assimilation System, downloaded from the CFSv2.0
755 official website (<http://nomads.ncep.noaa.gov/pub/data/nccf/com/cfs/prod>). The daily average satellite
756 Optimum Interpolation SST (OISST) data are obtained from NOAA (<https://www.ncdc.noaa.gov/oisst>),
757 and the National Data Buoy Center (NDBC) buoy data are also obtained from NOAA
758 (<https://www.ndbc.noaa.gov>). The Argo observational profiles of T/S are available at China Argo Real-
759 time Data Center (www.argo.org.cn). The ERA5 reanalysis are available at the Copernicus Climate
760 Change Service (C3S) Climate Data Store
761 (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels>).

762 **Author contribution**

763 FX and RS designed the experiments and RS carried them out. RS developed the code of coupling
764 parametrizations and produced the figures. ZF contributed to the installation and operation of CFSv2.0.
765 LL and HY contributed to the application of C-Coupler2. XL and YZ provided the original code of
766 CFSv2.0. RS prepared the manuscript with contributions from all co-authors. FX and HL contributed to
767 review and editing.

768 **Acknowledgments**

769 The authors would like to extend thanks to all developers of the CFSv2.0 model
770 (<https://cfs.ncep.noaa.gov/cfsv2/downloads.html>). The work was supported by National Key Research
771 and Development Program of China (no. 2016YFC1401408), and Tsinghua University Initiative
772 Scientific Research Program (2019Z07L01001). [We also thank two anonymous reviewers for their](#)
773 [constructive comments.](#)

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Table 1. List of numerical experiments: setups different from CTRL are marked with bold

Experiments	Physical Process/Parameterization		
	Langmuir Cell with Stokes-Coriolis Force and Entrainment	Roughness (Charnock Parameter)	Relative Velocity in Flux
CTRL	Off	Off	Off
VR12-AL-SC-EN	Eqn. 1-6, 8-10	Off	Off
Z0-M04	Off	C_{ch} from Eqn. 16, 17	Off
FLUX	Off	Off	$\Delta\vec{V}$ from Eqn. 14
ALL	Eqn. 1-6, 8-10	C_{ch} from Eqn. 16, 17	$\Delta\vec{V}$ from Eqn. 14

Table 2. The 53-day mean bias with standard deviation (STD) and RMSE for WSP10 and SWH compared with NDBC buoy observation: the bias is calculated as simulation minus NDBC.

Boreal Winter WSP10	Bias with STD	RMSE
CTRL	0.16±1.23	1.24
VR12-AL-SC-EN	0.01±1.12	1.12
Z0-M04	-0.01±1.07	1.07
FLUX	0.39±1.20	1.26
ALL	0.07±1.04	1.04
Boreal Winter SWH	Bias with STD	RMSE
CTRL	0.21±0.38	0.44
VR12-AL-SC-EN	0.14±0.35	0.37
Z0-M04	0.10±0.30	0.32
FLUX	0.24±0.34	0.42
ALL	0.12±0.34	0.36
Boreal Summer WSP10	Bias with STD	RMSE
CTRL	0.15±1.23	1.24
VR12-AL-SC-EN	-0.03±1.22	1.22
Z0-M04	-0.04±1.21	1.21
FLUX	-0.22±1.18	1.20
ALL	-0.17±1.14	1.15
Boreal Summer SWH	Bias with STD	RMSE
CTRL	0.28±0.25	0.38
VR12-AL-SC-EN	0.19±0.24	0.30
Z0-M04	0.22±0.26	0.34
FLUX	0.14±0.25	0.29
ALL	0.12±0.21	0.24

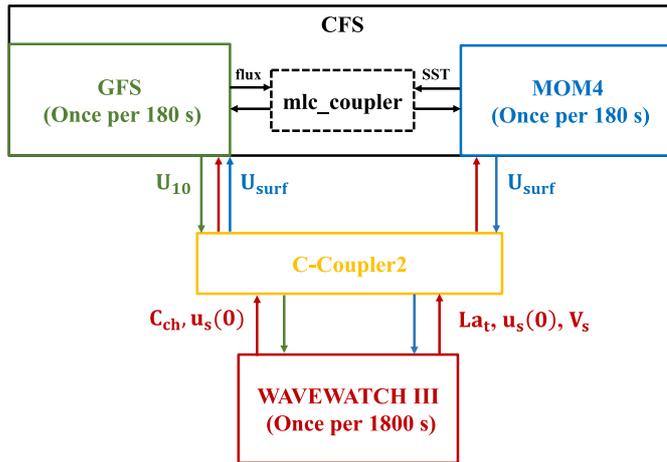


Figure 1. A schematic diagram of the atmosphere-ocean-wave coupled modeling system. The arrows indicate the coupled variables that are passed between the model components. In the diagram, C_{ch} , La_t , $u_s(0)$, V_s , U_{10} , and U_{surf} are Charnock parameter (red arrows), turbulent Langmuir number (red arrows), surface Stokes drift velocity (red arrows), Stokes transport (red arrows), 10-m wind (green arrows) and surface current (blue arrows), respectively.

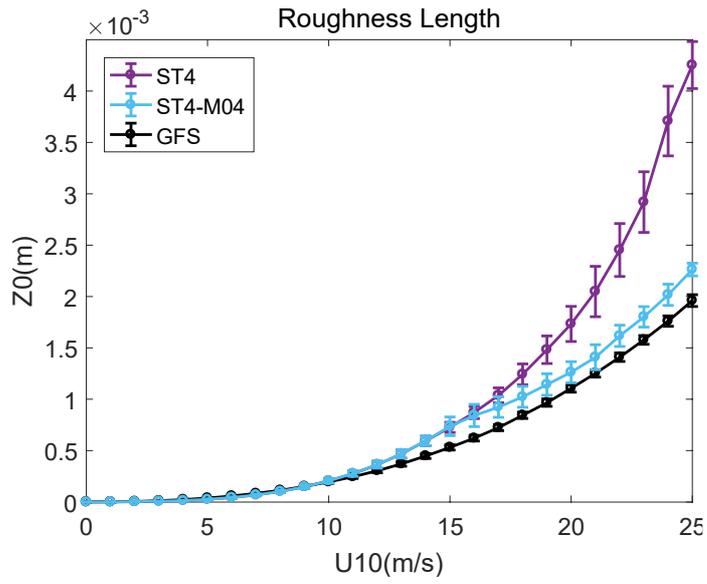


Figure 2. Relationships between momentum roughness length z_0 (m) in the coupled system and 10-m wind speed (m/s); error bars indicate twice the standard deviations for each point.

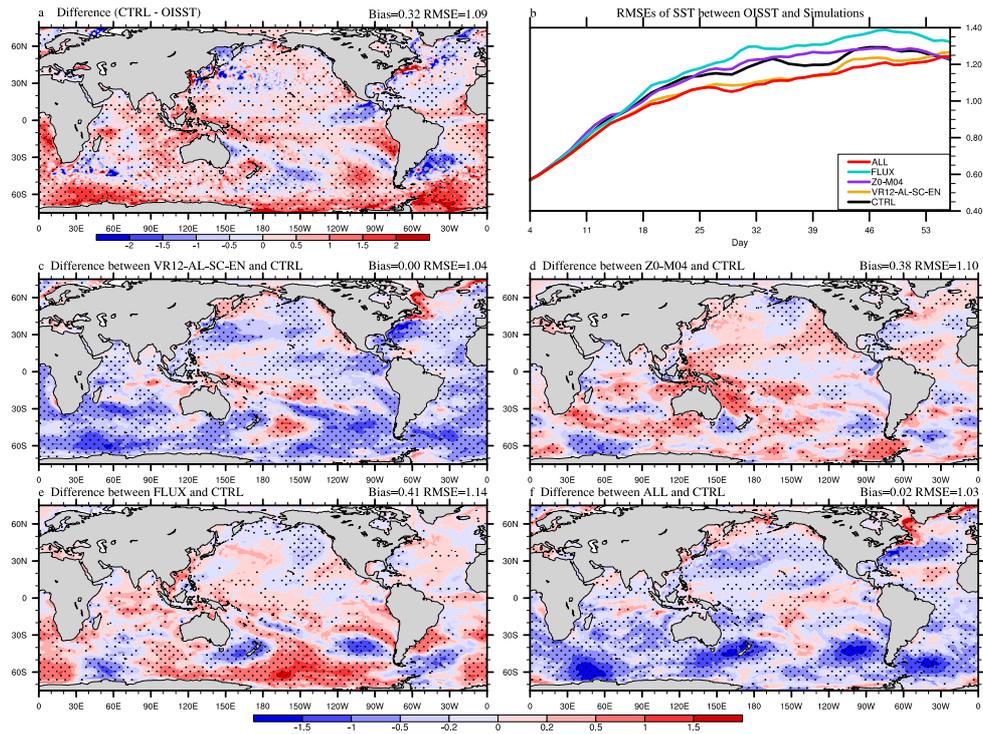


Figure 3. The 53-day average SST ($^{\circ}\text{C}$) bias in CTRL (a; CTRL minus OISST), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.

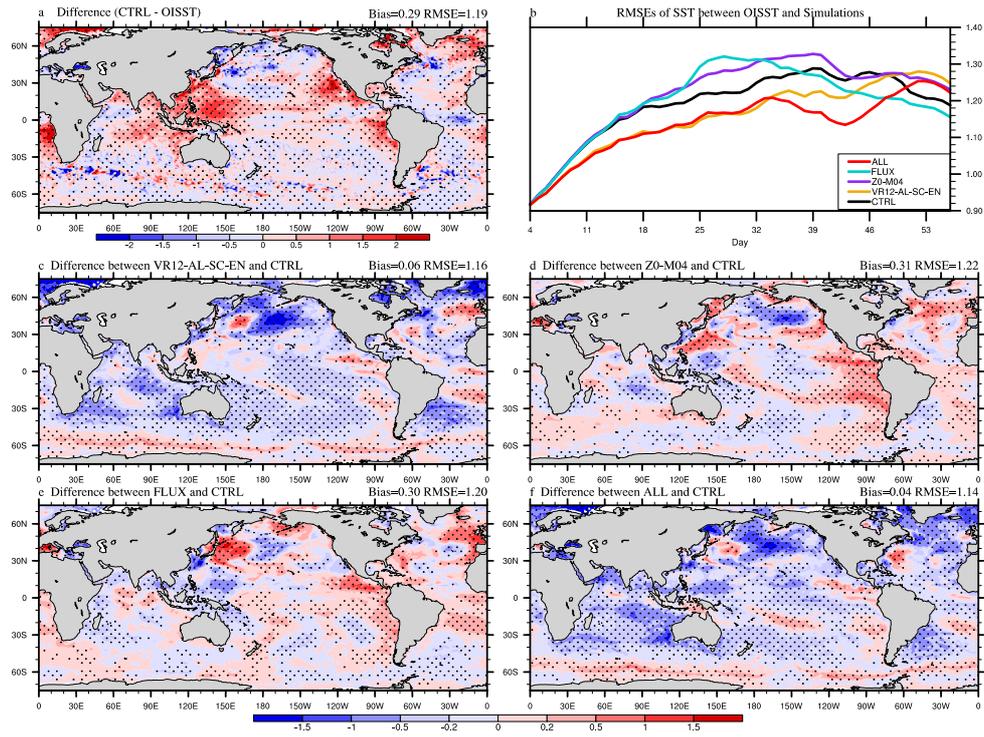


Figure 4. The same as Figure 3 but for Aug-Sep, 2018.

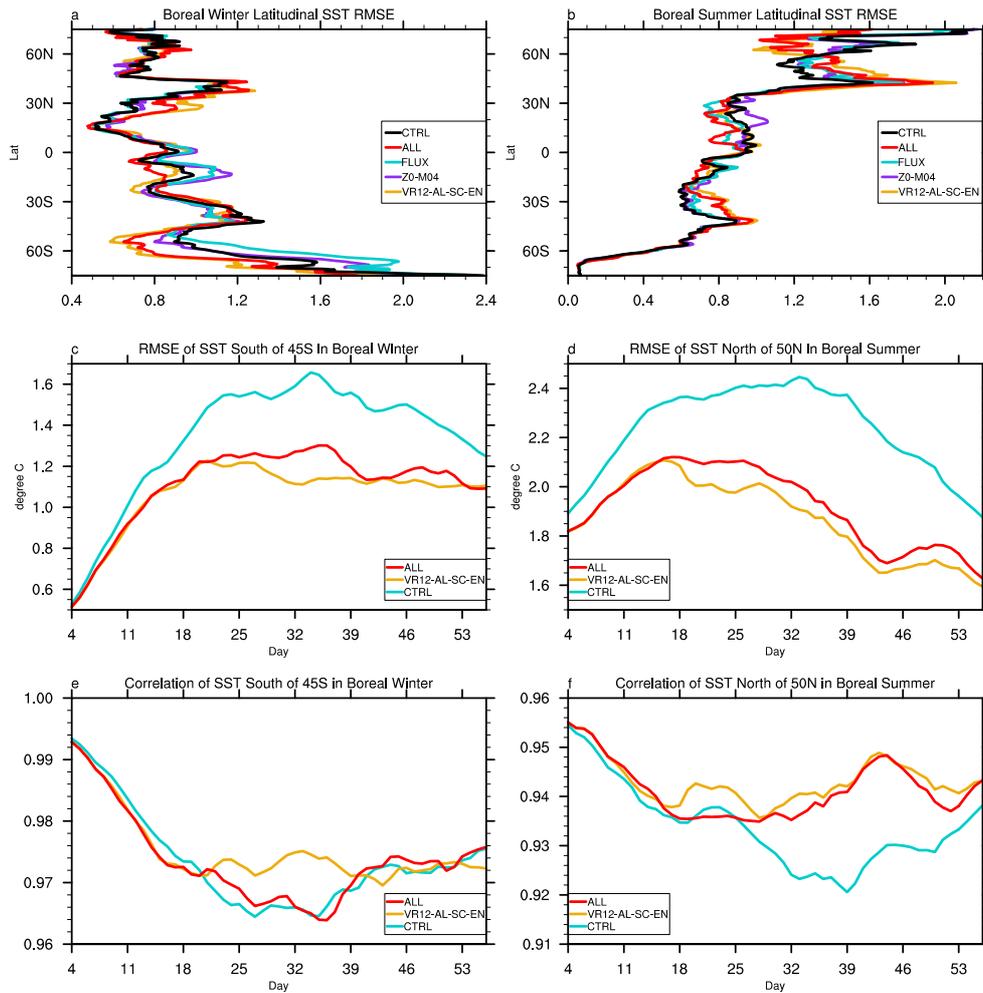


Figure 5. The 53-day averaged latitudinal distribution of SST root mean square errors (RMSE), time series of domain-averaged SST RMSE and correlation coefficient: **a/b** the latitudinal RMSE in boreal winter/summer compared with OISST, **c/d** the time series of domain-averaged (0-360°E, 45-78°S/50-78°N) SST RMSE in boreal winter/summer, **e/f** the time series of domain-averaged (0-360°E, 45-78°S/50-78°N) SST correlation coefficient in boreal winter/summer; differences of RMSE and correlation coefficient time series between VR12-AL-SC-EN/ALL and CTRL are statistically significant at 99% confidence level, except those in Fig. e.

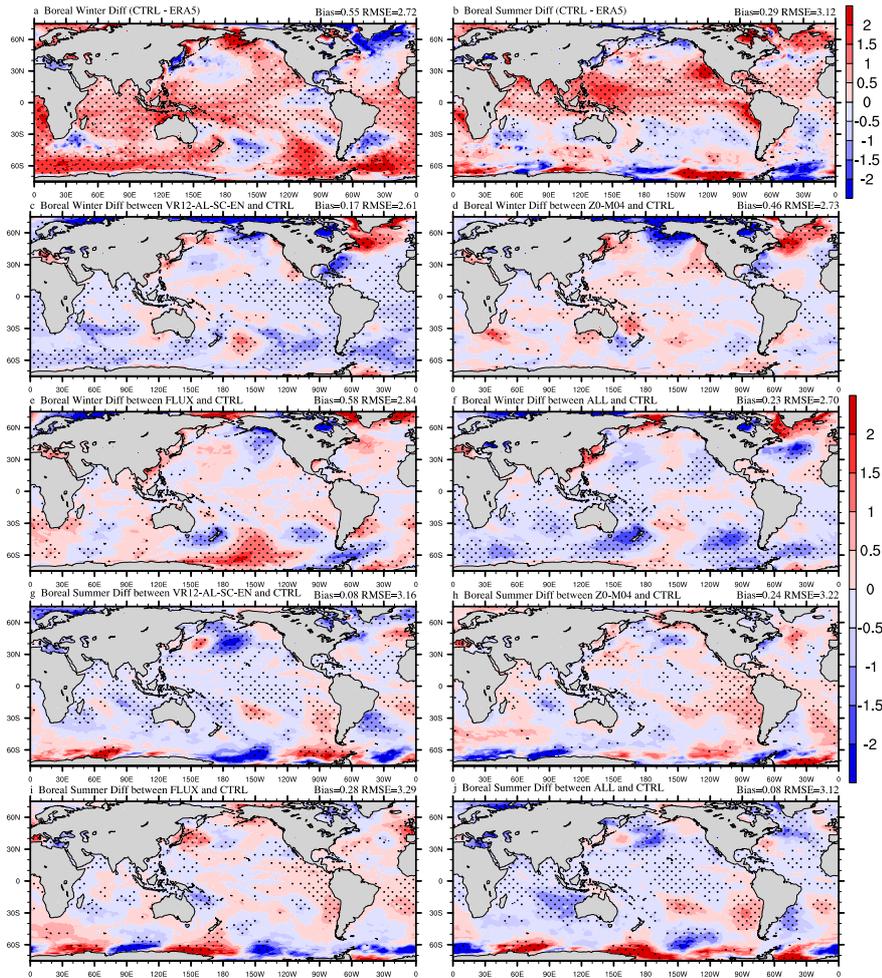


Figure 6. The 53-day average T02 (°C) bias in CTRL (a&b; CTRL minus ERA5), and the differences between VR12-AL-SC-EN (c&g)/Z0-M04 (d&h)/ FLUX (e&i)/ ALL (f&j) and CTRL (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level. a/c/d/e/f are for Jan-Feb, 2017, and b/g/h/i/j are for Aug-Sep, 2018.

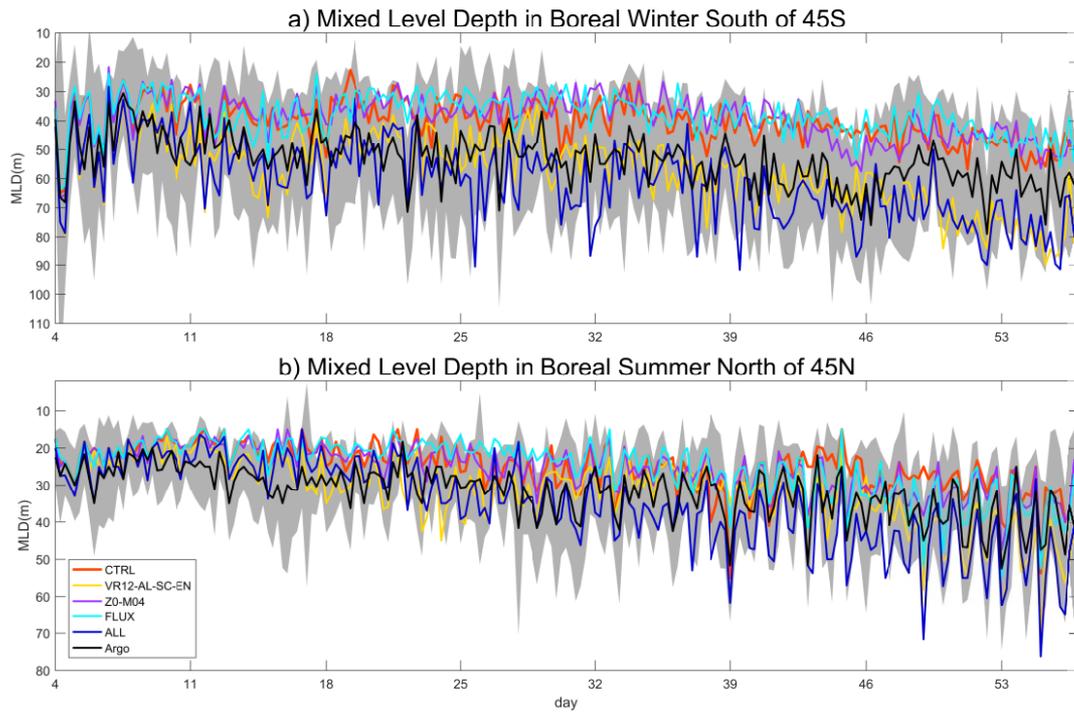


Figure 7. The 53-day time series of domain-averaged (0-360°E, 45-78°S/N) mixed layer depth (MLD; m) in boreal winter/summer: the difference between CTRL and VR12-AL-SC-EN/ALL passes the student's t-test at 99% confidence level; the time intervals are 6 hours; shaded areas indicate twice the standard deviations for Argo.

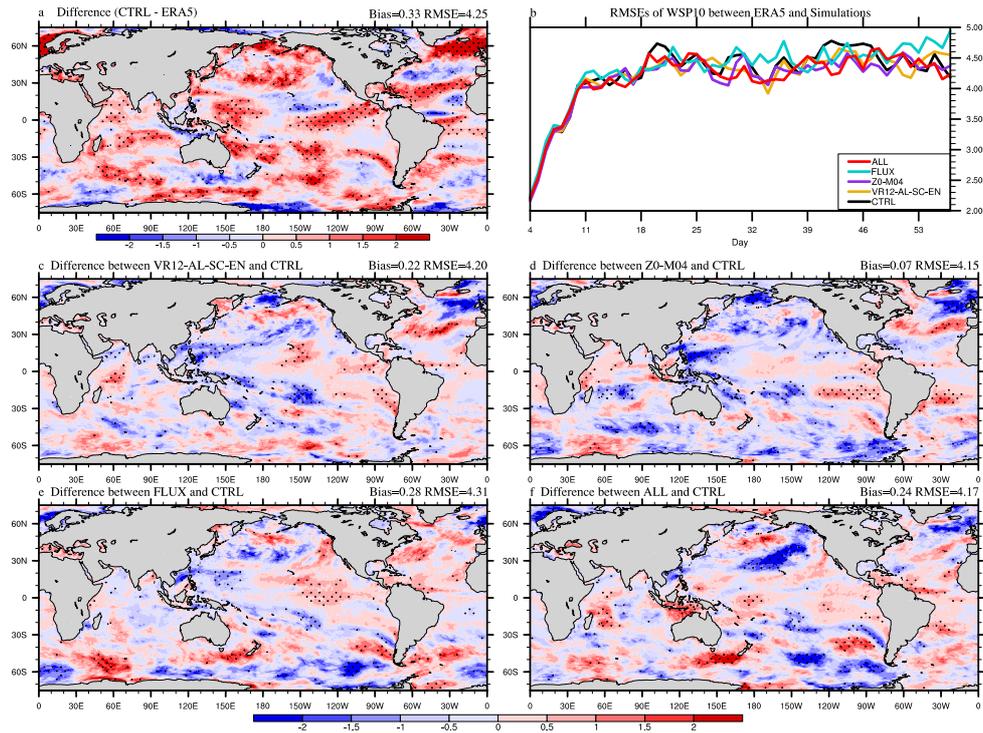


Figure 8. The 53-day average WSP10 (m/s) bias in CTRL (a; CTRL minus ERA5), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.

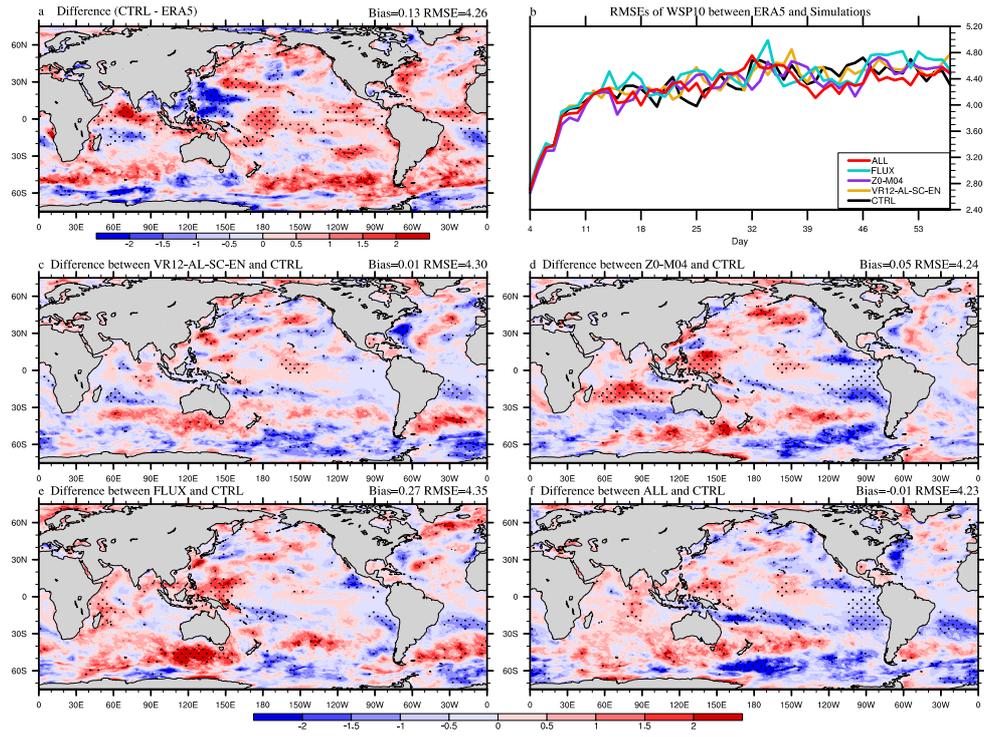


Figure 9. The same as Figure 8 but for Aug-Sep, 2018.

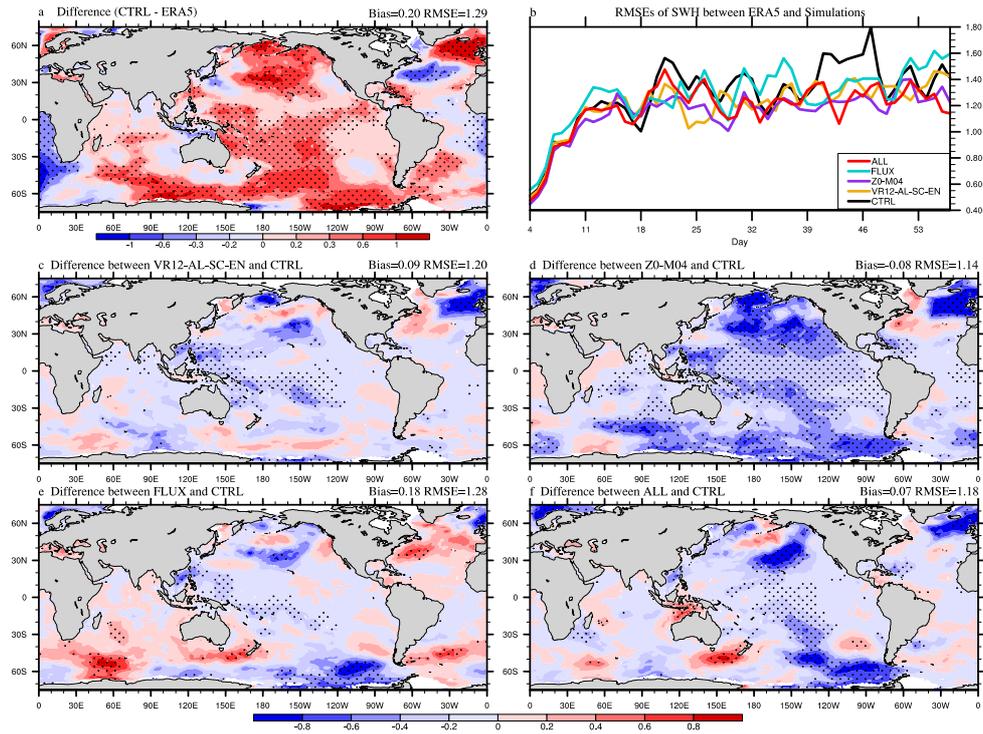


Figure 10. The 53-day average SWH (m) bias in CTRL (a; CTRL minus ERA5), the time series of global-averaged RMSE (b), and the differences between VR12-AL-SC-EN (c)/Z0-M04 (d)/ FLUX (e)/ ALL (f) and CTRL in Jan-Feb, 2017 (VR12-AL-SC-EN/Z0-M04/FLUX/ALL minus CTRL). The first 3-day simulation is discarded. The dotted areas are statistically significant at 95% confidence level.

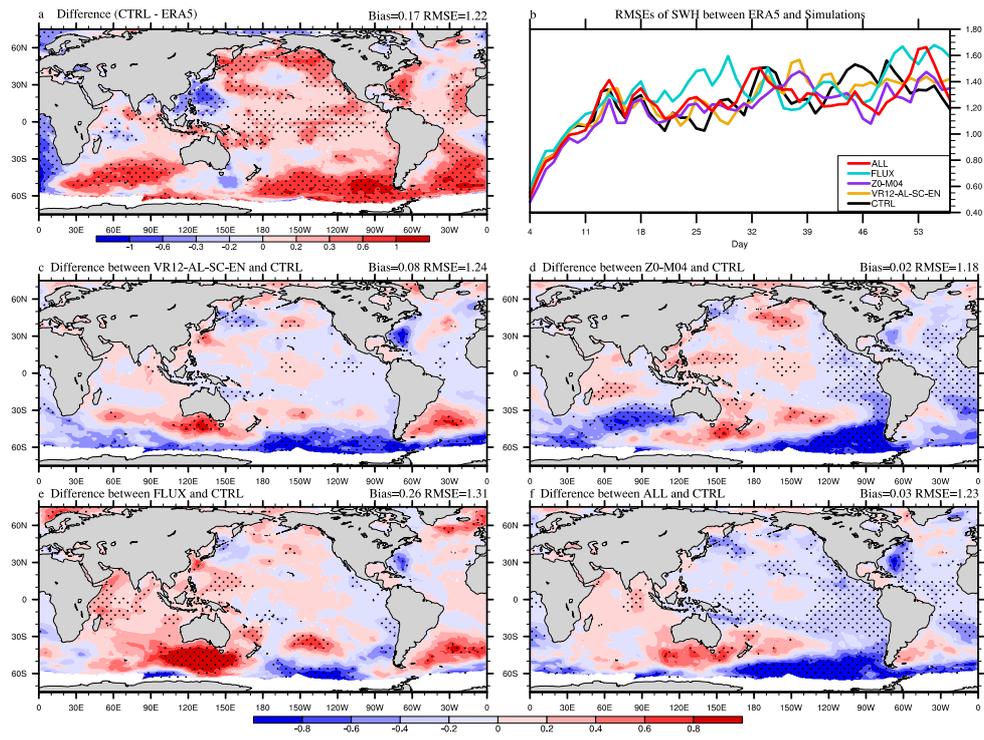


Figure 11. The same as Figure 10 but for Aug-Sep, 2018.

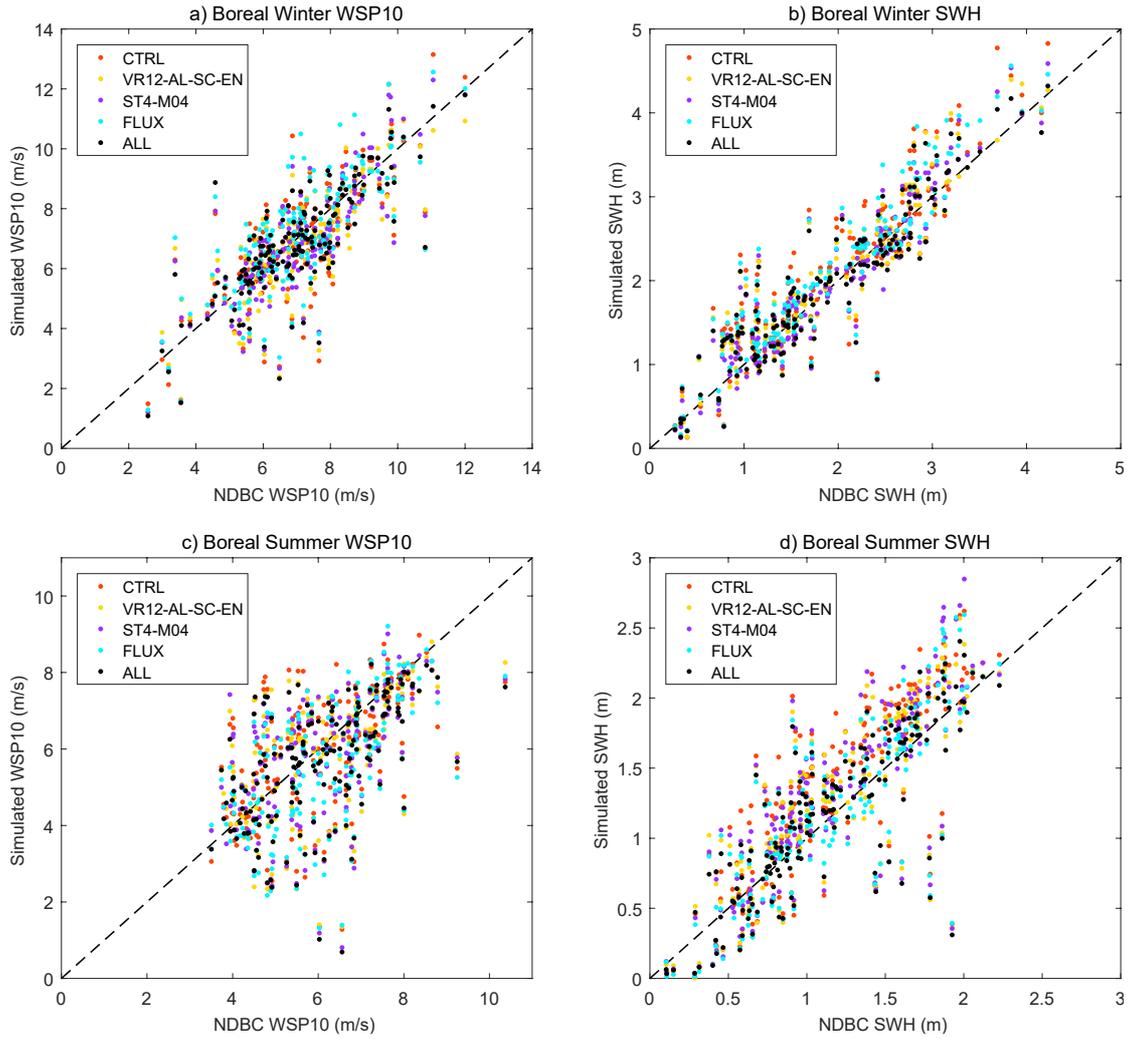


Figure 12. Scatter plots of simulated WSP10/SWH (y-axis) vs buoy WSP10/SWH (x-axis): (a) the WSP10 in Jan-Feb, 2017, (b) the SWH in Jan-Feb, 2017, (c) the WSP10 in Aug-Sep, 2018, and (d) the SWH in Aug-Sep, 2018. The dotted line is $y=x$. The corresponding mean biases with standard deviations and RMSEs for every experiment are shown in Table 2.