

# Impacts of a Revised Surface Roughness Parameterization in the Community Land Model 5.1

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**Abstract.** The roughness of the land surface ( $z_0$ ) is a key property ~~for, exerting significant influence on~~ the amount of ~~turbulent activity above the land surface and through that for~~ near-surface turbulent activity and consequently the turbulent exchange of energy, water, momentum, and chemical species between the land and the atmosphere. Variations in  $z_0$  are substantial across different types of land cover, ranging from typically less than 1 mm over fresh snow or sand deserts up to more than 1 m over urban areas or forests. In this study, we revise the parameterizations and parameter choices related to  $z_0$  in the Community Land Model 5.1 (CLM), the land component of the Community Earth System Model ~~2.1.2~~ (CESM). We propose a number modifications for  $z_0$  in CLM, ~~which are~~ guided by observational data. Most importantly, we ~~increase the find that the observations support an increase in~~  $z_0$  for all types of forests, ~~while we decrease and a decrease in~~ the momentum  $z_0$  for bare soil, snow, glaciers, and crops. We then assess the effect of those modifications in land-only ~~(CLM)~~ and land-atmosphere coupled ~~(CESM) simulations. Diurnal simulations. With the revised parameterizations, diurnal~~ variations of the land surface temperature (LST) are dampened in ~~regions with forests, while they forested regions and~~ are amplified over warm deserts. These changes mitigate model biases compared to MODIS remote sensing observations, ~~which have been identified in several earlier studies. The alterations. The changes~~ in LST are ~~mostly generally~~ stronger during the day than at night. For example, the LST increases by 5.1 K at 13:30 ~~increases by more than 4.80 but only by 0.6 K at 01:30~~ during boreal summer across the entire Sahara. The induced changes in the diurnal variability of ~~air temperatures at the bottom of the atmosphere near-surface~~ air temperatures are generally of opposite sign and of smaller magnitude. ~~Further, winds close to the land surface. Near-surface winds~~ accelerate in areas where the momentum  $z_0$  was lowered, such as the Sahara desert, the Middle East, ~~or the and~~ Antarctica, and decelerate in regions with forests. Overall, this study highlights finds that the current representation of  $z_0$  in CLM is not in agreement with observational constraints for several types of land cover. The ~~resultant proposed~~ model modifications are shown to considerably alter the simulated climate in terms of temperatures and wind speed at the land surface.

## 1 Introduction

The land surface interacts in numerous ways with the atmosphere. Among the most relevant interactions is the turbulent exchange of sensible heat, water vapour, momentum, and chemical species at the land–atmosphere interface, ~~which is generally several orders of magnitude more efficient than molecular diffusion~~. Turbulence above the land surface occurs due to the retardation of moving air by friction and due to the buoyancy created by surface heating from solar irradiance (Bonan, 2019). The intensity of the turbulence generated by friction is determined by the amount and shape of obstacles on land ~~alongside in concert with~~ atmospheric conditions. In land ~~surface~~-models, the turbulent exchange with the atmosphere is commonly represented through the Monin–Obukhov similarity theory (MOST). A key parameter in MOST is the aerodynamic or momentum surface roughness,  $z_{0m}$ . A rough surface, such as an urban environment or a forest, ~~exhibits-is characterized by~~ a higher  $z_{0m}$  and therefore induces more turbulence ~~at for~~ a given wind speed than a smooth surface, such as a snow field ~~or a lake~~. Similar surface roughness parameters exist for the exchange of scalars (e.g., temperature and water vapour). Observed values of  $z_{0m}$  over land span more than four orders of magnitude with values ~~of ranging from~~ a few tenths of a millimeter over fresh snow (Brock et al., 2006) or bare soil (Prigent et al., 2005) to several meters over forests (Hu et al., 2020) or urban areas (Kanda et al., 2013).

The momentum ( ~~$z_{0m}$~~ ), sensible heat ( ~~$z_{0h}$~~ ), and latent heat ( ~~$z_{0q}$~~ ) ~~surface roughness lengths are~~ surface roughness is defined as the heights height above the displacement height ~~at which ( $d$ ) where~~ the average wind speed ~~, air temperature, and specific humidity reach their respective value at the surface extrapolates to zero~~ under neutral conditions. ~~Following the no-slip boundary condition,  $z_{0m}$  is the height above the displacement height at which mean wind speed extrapolates to zero.~~ The displacement height ~~,  $d$ ,~~ accounts for the fact that large roughness elements, such as trees or buildings, may shift the logarithmic wind speed profile (which occurs under neutral conditions) upwards, such that mean wind speed extrapolates to zero at the height  $z_{0m} + d$  rather than  $z_{0m}$ . Similarly, the sensible heat ( $z_{0h}$ ) and the latent heat ( $z_{0q}$ ) roughness lengths are defined as the heights above  $d$  where the air temperature and the specific humidity reach their respective surface value under neutral conditions. In the surface sublayer, a thin layer of air directly adjacent the surface of typically  $10^{-3}$  to  $10^{-1}$  m thickness, water vapour and heat are transported solely through molecular diffusion, while momentum exchange is also facilitated by pressure fluctuations that are induced by the presence of roughness elements (Zeng and Dickinson, 1998). As a result, the turbulent exchange of sensible and latent heat between the land and the atmosphere is generally less efficient than the exchange of momentum. Accordingly,  $z_{0h}$  and  $z_{0q}$  are often much smaller than  $z_{0m}$  (Yang et al., 2002, 2008; Hu et al., 2020).

In the field,  $z_0$  is commonly estimated through four main methods. The first approach is to measure the vertical wind speed profile and link these measurements to  $z_{0m}$  using the equation below (e.g., Greeley et al., 1997; Brock et al., 2006; Marticorena et al., 2006; Nakai et al., 2008; Hugenholtz et al., 2013; Kanda et al., 2013; Nield et al., 2013; Fitzpatrick et al., 2019). The wind speed profile is logarithmic under neutral conditions over a plain surface:

$$u(z) = \frac{u_*}{\kappa} \ln\left(\frac{z-d}{z_{0m}}\right), \quad (1)$$

where  $u(z)$  is the mean wind speed profile,  $z$  is the height above the surface,  $u_*$  is the friction velocity, and is  $\kappa$  the von Karman constant ( $= 0.4$ ). This approach can also be used to estimate  $z_{0h}$  and  $z_{0q}$  through measurements of the temperature and

specific humidity ~~profile. Secondly, profiles. A second method is to use~~ eddy co-variance measurements of the momentum, the sensible heat, and latent heat fluxes ~~can~~, which can then be used to deduce the  $z_{0m}$ ,  $z_{0h}$ , and  $z_{0q}$  that conform best with the measured fluxes according to MOST (e.g., Maurer et al., 2013; Li et al., 2015; Hu et al., 2020). ~~Third, A third method involves using~~ measurements of the micro-topography ~~can be used~~ to link  $z_{0m}$  to small-scale variations of the height of the surface (e.g., Brock et al., 2006; Weligepolage et al., 2012; Hugenholtz et al., 2013; Fitzpatrick et al., 2019; van Tiggelen et al., 2021). ~~Finally, The final approach uses~~ remote sensing observations of either backscattering at the land surface or the surface reflectance ~~can to~~ serve as a proxy for micro-topography ~~and may therefore be used~~ to estimate  $z_{0m}$  (e.g., Greeley et al., 1997; Marticorena et al., 2004; Prigent et al., 2005, 2012; Stilla et al., 2020). This latter approach requires ~~a few some~~ in situ measurements of  $z_{0m}$  to establish a relationship between the remotely-sensed proxy and  $z_{0m}$ . ~~Such observational data can be used to constrain or directly prescribe  $z_0$  in climate models.~~

The surface roughness plays a central role for atmospheric dynamics (Sud et al., 1988; Vautard et al., 2010; Wever, 2012), energy fluxes at the land surface, and thereby temperatures at the land surface (Zeng and Dickinson, 1998; Zeng and Wang, 2007). Several studies have linked deficiencies of various models to a misrepresentation of ~~surface roughness~~ ~~(Chen et al., 2010; Subin et al., 2012; Zeng et al., 2012; Trigo et al., 2015; Xu et al., 2016; Wang et al., 2019)~~ (Chen et al., 2010; Subin et al., 2012; Zeng et al., 2012; Wang et al., 2014; Trigo et al., 2015; Xu et al., 2016; Wang et al., 2019).

The aerodynamic ~~surface roughness- $z_0$~~  also affects the simulated mineral dust emissions (Menut et al., 2013), which absorb and reflect solar radiation and ~~cool thereby alter~~ temperatures at the land surface ~~(Miller and Tegen, 1998; Klose et al., 2021)~~ (Claquin et al., 1998; Miller and Tegen, 1998; Klose et al., 2021). Further, alterations in ~~surface roughness due- $z_0$  due to~~ de-, re-, and afforestation represent an important contribution to the ~~overall~~ biogeophysical effect of such land cover changes ~~in particular locally~~ (Davin and de Noblet-Ducoudré, 2010; Lee et al., 2011; Burakowski et al., 2018; Belušić et al., 2019; Laguë et al., 2019; Winckler et al., 2019). Adequate parameterizations of ~~surface roughness- $z_0$~~  are therefore not only crucial to realistically simulate climate and weather, but also to understand the biogeophysical effects of land cover changes.

In this study, we revise the representation of ~~surface roughness- $z_0$~~  in the Community Land Model version 5.1 (CLM; Lawrence et al., 2019), which is the land surface model of the Community Earth System Model (CESM; Danabasoglu et al., 2020). Our endeavours are motivated by an underestimation of diurnal variations in land surface temperature over arid and semi-arid regions in CLM ~~(Zeng et al., 2012; Meier et al., 2019)~~ /CESM (Zeng et al., 2012; Wang et al., 2014; Meier et al., 2019) as well as a seasonal cycle of ~~the surface roughness- $z_0$~~  for broadleaf deciduous forests that ~~opposes~~ appears to be in opposition to observational data, as will be shown in the next section. In Section 2, we compare the representation of ~~surface roughness- $z_0$~~  for each land cover type in CLM to observational data and parameterizations that ~~were~~ have been proposed in the literature. Based on this comparison we introduce five modifications to CLM: (1) A new parameterization of the vegetation ~~surface roughness~~  $z_0$  based on Raupach (1992) with optimized parameters to match the data collected in Hu et al. (2020) for different types of vegetation; (2) new globally constant  $z_{0m}$  values for bare soil, snow, and glaciers based on field measurements ~~collected in the literature~~; (3) inclusion of the parameterization of Yang et al. (2008) for  $z_{0h}$  and  $z_{0q}$  over bare soil, snow, and glaciers; (4) use of a spatially explicit  $z_{0m}$  input field for bare soil based on the data of Prigent et al. (2005); and (5) the inclusion of the Brock et al. (2006) parameterization of  $z_{0m}$  for snow that is based on accumulated snow melt ~~as proposed in Brock et al. (2006)~~.

90 The latter two modifications replace the ~~respective globally constant  $z_{0m}$~~  globally constant  $z_{0m}$  values for bare soil and snow and may therefore be activated individually ~~through switches that were added to the model~~. In Section 5, we then by the model user. To assess the impact of ~~those modificationson temperatures at the land surface and wind speed in both these modifications,~~ we then conduct land-only and land-atmosphere coupled simulations, as described in ~~Sections~~ Section 3 and 4. ~~Furthermore, we,~~ and evaluate them as described in Section 4. Section 5 describes how our modifications of  $z_0$  affect temperatures at the  
 95 land surface and wind speed. We also confront the default and modified model configuration with MODIS remote sensing observations of diurnal variations in the land surface temperature (LST) and the sensitivity of LST to a conversion of vegetation to bare land, based on the approach of Duveiller et al. (2018).

## 2 Revisions of surface roughness in CLM 5.1

### 2.1 General description of CESM and CLM

100 The Community Earth System Model is a state-of-the-art earth system model, which is widely applied in the field of climate science and has contributed to multiple multi-model intercomparison projects. ~~A major update to version~~ Version 2 was released in June 2018 (Danabasoglu et al., 2020), followed by several incremental releases to version 2.1.2, which is used in this study. The development of CESM is coordinated and led by the National Center for Atmospheric Research (NCAR). However, a number of additional universities and research institutes contribute to ~~CESM, as indicate by the word "Community" in~~  
 105 ~~its name. To facilitate this community effort, CESM~~ the development of CESM. It is publicly available and ~~well-documented~~ well-documented to facilitate this community effort (<https://www.cesm.ucar.edu/models/cesm2/>). CESM comprises prognostic components for the atmosphere, ocean, land, sea-ice, land-ice, ~~river~~ rivers, and waves. Besides these prognostic components ~~a climatological,~~ an observed data version exists for most components. In these versions, the coupling fields of the respective components are prescribed from recent observational data instead of running this component prognostically. CESM therefore  
 110 allows to flexibly disable or enable coupling of model components depending on the application.

The Community Land Model is the land component of CESM. It comprehensively represents the surface energy fluxes, the surface hydrology, and optionally the biogeochemical ~~cycle~~ cycles for carbon and nitrogen ~~at the land surface~~ (Lawrence et al., 2018, 2019). ~~In each grid cell, up~~ Up to five different landunits may exist in each grid cell: (Naturally) vegetated, lakes, urban, glaciers, and crops. ~~Because those landunits can behave fundamentally differently, each of them is represented by its own~~  
 115 ~~module.~~ A landunit tile can be further divided into different columns (e.g., rainfed and irrigated for crops) and patches (e.g., different types of natural vegetation). Bare soil, which can be found frequently in arid regions, is treated as a patch of natural vegetation. These patches of natural vegetation are called plant functional types (PFTs) ~~in CLM~~. Vegetation is simulated by a big-leaf approach (Sellers et al., 1986), distinguishing between sun-lit and shaded leaves. The vegetation phenology can either be prescribed from remote sensing based data (satellite phenology), which is used in this study, or computed prognostically  
 120 from the vegetation carbon pools, if the biogeochemical cycle is activated.

CLM5 distinguishes between vegetation, bare soil, snow, glacier ice, lakes, and urban areas in its parameterization of  $z_0$  (Lawrence et al., 2018). ~~Snow is not treated as its own land unit, because it can appear seasonally. Rather it may cover the~~



other types of land cover and replace the properties of this land cover (partly) with its own. In the following sections, we describe the current representation of  $z_0$  in CLM, summarize our findings from the literature, and, if necessary from the and our proposed modifications, which we justify by comparison to the literature, our modifications of the  $z_0$  representation in CLM for each of those land cover classes. Subsequently, As mentioned,  $z_{0m}$ ,  $z_{0h}$ , and  $z_{0q}$  correspond to the surface roughness for momentum, sensible heat, and latent heat, respectively. The land cover type is specified after a comma using  $v$ ,  $b$ ,  $s$ ,  $i$ ,  $g$  for vegetated, bare soil, snow, ice (glaciers), and any type of ground (bare soil, snow, or ice), respectively (e.g.,  $z_{0h,b}$  would be is the sensible heat surface roughness of bare soil). Note that  $z_{0,v}$  in CLM represents the aerodynamic  $z_0$  roughness length for the turbulent exchange between the canopy air space and the free atmosphere. The additional surface resistance for the sensible and latent heat flux does therefore not exist. Accordingly, there is There is thus no distinction between  $z_{0m,v}$ ,  $z_{0h,v}$ , and  $z_{0q,v}$  as this exchange occurs above the surface sublayer. However, there are additional resistances between the leaves/ground and the canopy air space to account for the surface resistance of the sensible and latent flux heat fluxes. A list of the symbols and abbreviations used in this study is provided in Table A1.

## 2.2 Vegetation

The current representation of  $z_{0,v}$  and  $d$  was developed by Zeng and Wang (2007) and links these properties to the vegetation height ( $h_{top}$ ), the exposed leaf area index ( $LAI$ ; i.e., the one-sided leaf area above the snow, if there is any), and the exposed stem area index ( $SAI$ ; i.e., the one-sided stem and dead leaf area above the snow) as follows (Eqs. 2.5.125-127 in Lawrence et al., 2018):

$$z_{0,v} = \exp[V \ln(h_{top} R_{z0m}) + (1 - V) \ln(z_{0m,g})], \quad (2)$$

$$d = h_{top} R_d V, \quad (3)$$

$$V = \frac{1 - \exp(-\beta \min(VAI, VAI_{cr}))}{1 - \exp(-\beta VAI_{cr})}, \quad (4)$$

where  $R_{z0m}$  and  $R_d$  are the ratios of the momentum roughness length and displacement height to the canopy height, respectively,  $VAI$  is the vegetation area index defined as the sum of  $LAI$  and  $SAI$ ,  $R_{z0m}$  and  $R_d$  are the ratios of  $z_{0,v}$  and  $d$  to the canopy height for values of  $VAI$  exceeding the critical value  $VAI_{cr} = 2 \text{ m}^2 \text{ m}^{-2}$ ,  $z_{0m,g}$  is the momentum surface roughness of the ground (see Sections 2.3-2.5),  $V$  is a fractional weight, and  $\beta = 1$ , and  $VAI_{cr} = 2$  is a critical value of the  $VAI$  at which  $d$  and  $z_{0,v}$  reach their maxima.  $R_{z0m}$  is set to 0.075 for broadleaf evergreen trees, to 0.055 for other trees, and to 0.12 for grass, crops, and shrubs, while  $R_d$  is 0.67 for all trees and 0.68 for grass, crops, and shrubs. With this implementation,  $z_{0,v}$  is tightly linked to  $h_{top}$  increases almost linearly with  $VAI$ . Noteworthy,  $z_{0,v}$  approaches  $z_{0m,g}$  as  $VAI$  goes towards zero, for example during the dormant phase of vegetation (right before plateauing at the constant value  $R_{z0m}$  beyond  $VAI_{cr}$  (red curves in left column of Fig.-1).

Observations find a first-order linear relation between  $h_{top}$  and  $z_{0,v}$  as well as  $d$  (Tanner and Pelton, 1960). It is therefore  
 155 common practice to normalize  $z_{0,v}$  by  $h_{top}$ , when ~~looking for determining whether~~ other vegetation properties ~~that~~ influence  
 $z_{0,v}$  (Shaw and Pereira, 1982; Yang and Friedl, 2003; Zhou et al., 2006; Nakai et al., 2008; Maurer et al., 2015). Proposed  
 parameterizations hence frequently link  $z_{0,v}/h_{top}$  and  $d/h_{top}$  to other structural properties of the vegetation such as  $LAI$ ,  
 stand density, and/or crown width (Choudhury and Monteith, 1988; Raupach, 1992, 1994; Yang and Friedl, 2003; Nakai  
 et al., 2008; Bingöl, 2019). For ~~grasses and~~ crops,  $z_{0,v}$  exhibits a distinct seasonal cycle in the extra-tropics, with low val-  
 160 ues during winter, when ~~vegetation is absent for these vegetation types (Fig. 1; Hu et al., 2020). Hence, it appears reasonable~~  
~~that  $z_{0,v}$  of grasses and crops approaches  $z_{0m,g}$  for low values of  $VAI$  in the current parameterization in CLM. crops are~~  
~~absent (blue and turquoise lines in Fig. 1 m; Hu et al., 2020; Young et al., 2021).~~ On the other hand,  $z_{0,v}$  remains relatively  
 high ~~for trees even~~ during the dormant phase ~~(Hu et al., 2020)~~ if parts of the vegetation such as the stem and branches of trees  
persist throughout the year (Fig. 1 b, d and f; Dolman, 1986; Hu et al., 2020). In the case of broadleaf deciduous forests, there  
 165 are even several studies that find ~~an increase a decrease~~ in  $z_{0,v}$  for ~~lower higher~~ values of  $LAI$ , probably because ~~dense~~  
~~canopies may the dense canopies during the growing season~~ shelter the branches and trunks of trees from the atmospheric  
 flow ~~(Nakai et al., 2008; Maurer et al., 2013). CLM on the other hand produces low values of  $z_{0,v}$  in the absence of leaves,~~  
~~producing a seasonal cycle of  $z_{0,v}$  that opposes these observations (Fig. 1 f) (Nakai et al., 2008; Maurer et al., 2013; Young et al., 2021).~~

170 Hu et al. (2020) provide  $z_{0,v}$  estimates for an extensive collection of FLUXNET sites, which offers an unprecedented  
 opportunity to reconcile  $z_{0,v}$  values observed in the field and the  $z_{0,v}$  ~~parameterization~~ parameterizations in models. Here, we  
~~optimize the  $z_{0,v}$  parameterization of Raupach (1992) for use~~ an updated version of ~~the data collection of Hu et al. (2020) that~~  
~~this data set for comparison to  $z_{0,v}$  in CLM, which is subsequently referred to as Hu20. This updated version~~ includes more  
 FLUXNET sites than the ~~publication and is subsequently called Hu20~~ original publication. Hu20 ~~estimated estimates~~ daily  $z_{0,v}$   
 175 values at a total of 113 FLUXNET sites by minimizing the following cost function J:

$$J = \sum (u_{*,obs} - u_{*,est})^2, \quad (5)$$

where  $u_{*,obs}$  is the measured friction velocity in the field and  $u_{*,est}$  the estimated friction velocity according to MOST:

$$u_{*,est} = \kappa u \left[ \ln \left( \frac{z_m - d}{z_{0,v}} \right) - \Psi_m \left( \frac{z_m - d}{L} \right) + \Psi_m \left( \frac{z_{0,v}}{L} \right) \right]^{-1}, \quad (6)$$

where  $u$  is the wind speed measured at the instrument height,  $z_m$ ,  $d$  is the displacement height estimated ~~by as~~ 2/3 of  $h_{top}$ ,  
 180  $\Psi_m$  is the stability correction function for momentum transfer, and  $L$  is the Obukhov length scale. We ~~allocate~~ divide the  
 sites in Hu20 ~~to the following into the following six~~ vegetation types: Needleleaf forest, evergreen broadleaf forest, deciduous  
 broadleaf forest, shrubland, grassland, and cropland. ~~Before using data from a site for our optimization, we~~ We make a number  
 of additional suitability checks of the already quality checked data, before using the data of Hu20 for comparison to CLM:  
 (1) We exclude  $z_{0,v}$  values that deviate by more than two standard deviations from the mean  $z_{0,v}$  at the respective site; (2)  
 185 we exclude  $z_{0,v}$  values when  $h_{top} = 0$ , because we scale  $z_{0,v}$  by  $h_{top}$  in the next step; (3) we exclude sites that are not  
 representative for the respective vegetation type according to a visual inspection on Google Maps<sup>®</sup> (e.g., a sparse plantation);

and (4) we remove sites with thin forest by excluding forest sites with a  $h_{top}$  below 5 m and/or a maximum fractional vegetation cover below 0.8. Finally, we assign the forest sites designated as mixed forest to the most abundant type of forest according to the species composition as described in the respective publication. In addition to the mean annual cycle of  $z_{0,v}$ , we also consider the relationship between the  $VAI$  and  $z_{0,v}/h_{top}$  in Hu20 provides the to evaluate and revise the current parameterization in CLM. Hu20 provides  $LAI$  information but not  $a-SAI$ . Therefore, we extract the monthly  $SAI$  in from our CESM control simulation (Section 3) the monthly simulated  $SAI$  for the respective PFT and location, multiply them by the mean  $LAI$  at the site, and divide it by the mean  $LAI$  in CLM-CESM to estimate the  $SAI$ . Then, we collect all the  $z_{0,v}/h_{top}$  estimates for the mentioned vegetation types, bin them into  $VAI$  bins of  $0.2 \text{ m}^2 \text{ m}^{-2}$ , and compute the median  $z_{0,v}/h_{top}$  in each bin (black points in Fig. 1). This data is then used to optimize the parameterization of Raupach (1992, subsequently called Ra92) for each vegetation type. Bins Finally, bins with fewer than 20 data samples are removed before optimization prior to the optimization process.

Compared to this data set, the current version of CLM overestimates the  $z_{0,v}$  of crops and underestimates the  $z_{0,v}$  for all other types of vegetation, in particular for forests (compare red and turquoise lines in Fig.1). Further, CLM produces low values of  $z_{0,v}$  in the absence of leaves for broadleaf deciduous forests, resulting in an annual cycle of  $z_{0,v}$  that is in contradiction to Hu20 (Fig. 1 f) and other observational studies (Nakai et al., 2008; Maurer et al., 2013; Young et al., 2021). Hu20 exhibits a peak in  $z_{0,v}/h_{top}$  for intermediate values of  $VAI$  for most types of vegetation (left column of Fig. 1). The current parameterization for  $z_{0,v}/h_{top}$  in CLM does not capture such behaviour, with  $z_{0,v}/h_{top}$  increasing monotonically with  $VAI$  before plateauing at a constant value (red lines in Fig. 1).

We therefore optimize the  $z_{0,v}$  parameterization of Raupach (1992) and Raupach (1994), which is subsequently called Ra92, for the binned  $z_{0,v}/h_{top}$  in Hu20. Ra92 was chosen over other proposed parameterizations for  $z_{0,v}$ , because it (1) is appropriate for a broad range of vegetation densities (Raupach, 1992, 1994), (2) exhibits a similar shape for the relation between  $z_{0,v}$  and the  $LAI$  as found by machine learning algorithms in Hu20, and (3) requires only  $h_{top}$  and the single sided area of all canopy elements as inputs describing the vegetation structure, which are both already present in CLM. Ra92 parameterizes the ratio of  $z_{0,v}$  and  $h_{top}$  as follows:

$$\frac{z_{0,v}}{h_{top}} = \frac{h_{top} - d}{h_{top}} \exp(\Psi_h - \kappa U_h / u_*) \quad (7)$$

Here,  $\Psi_h$  is the roughness sublayer influence function, which is computed in Raupach (1994) as:

$$\Psi_h = \ln(c_w) - 1 + c_w^{-1} \quad (8)$$

where  $c_w$  is a constant larger than 1 (Raupach, 1992). The ratio of the wind speed at canopy height,  $U_h$ , and  $u_*$  is derived from an implicit function of the roughness density,  $\lambda$ :

$$U_h / u_* = (C_S + \lambda C_R)^{-0.5} \exp\left(\frac{\min(\lambda, \lambda_{max}) c U_h / u_*}{2}\right) \quad (9)$$

Here,  $C_S$  represents the drag coefficient of the ground in the absence of vegetation,  $C_R$  is the drag coefficient of an isolated roughness element (plant),  $c$  is an empirical constant, and  $\lambda_{max}$  is the maximum  $\lambda$ , above which  $U_h / u_*$  becomes constant. The

$\lambda_{max}$  is set to the value of  $\lambda$  ~~where for which~~ Eq. 9 in the absence of  $\lambda_{max}$  would have its minimum. Eq. 9 can be written as:

$$220 \quad X e^{-X} = (C_S + \lambda C_R)^{-0.5} c \lambda / 2, \text{ where } X = \frac{c \lambda U_h / u_*}{2} \quad (10)$$

$X$  and thereby  $U_h / u_*$  can be found iteratively:

$$X_0 = (C_S + \lambda C_R)^{-0.5} c \lambda / 2 \text{ and } X_{i+1} = (C_S + \lambda C_R)^{-0.5} c \lambda / 2 \exp(X_i) \quad (11)$$

We update  $X$  until it changes by less than ~~1e-4~~  $1.0 \times 10^{-4}$  from one iteration to the next during the optimization of Ra92 and in the implementation in CLM. As proposed in Raupach (1994),  $\lambda$  is set to half the total single-sided area of all canopy elements,   
 225 here  $VAI$ . ~~However, we introduce an offset to this vegetation surface area,  $VAI_{off}$ , so that the parameterization of Ra92 can be shifted to the right (Fig.~~ For numerical stability,  $VAI$  cannot be lower than  $1.0 \times 10^{-5}$  ~~);  $m^2/m^2$  when computing  $\lambda$ :~~

$$\lambda = \frac{\max(1e-5, VAI - VAI_{off})}{2} \frac{\max(1 \times 10^{-5}, VAI)}{2} \quad (12)$$

For  $d$ , we use the parameterization proposed in Raupach (1994), which replaces Eq. 3:

$$\frac{d}{h_{top}} = 1 - \frac{1 - \exp(-\sqrt{c_{d1} 2\lambda})}{\sqrt{c_{d1} 2\lambda}}, \quad (13)$$

230 where  $c_{d1} = 7.5$ . We then optimize the values of the parameters  $c_w$ ,  $C_S$ ,  $C_R$ , and  $c$  ~~and  $VAI_{off}$~~  so that they minimize the root-mean-square deviation (RMSD) in comparison to the median  $z_{0,v}/h_{top}$  values in the different bins of  $VAI$  for each vegetation type. When computing the RMSD, we weight by the number of sites that contribute to the respective bins. We do not optimize  $c_{d1}$  because CLM exhibits little sensitivity to  $d$  and the effect of  $c_{d1}$  on  $z_{0,v}$  is similar to ~~ones that~~ of  $C_r$  and  $c_w$ . The optimization is ~~done in~~ completed via a brute-force approach ~~by simply testing any possible combination of those parameters and identifying the combination with the lowest RMSD. For  $c_w$  and  $VAI_{off}$  we use a precision of 0.1, for  $C_R$  and  $c$  0.01, and for  $C_S$  0.001 by testing 40 different values of the four fitted parameters over their realistic ranges and with the precision as shown on the bottom of Table 1. To assure numerical stability, we only test parameter combinations for which  $C_S \leq 10 C_R$  holds. Thus, we test a total of  $1.312 \times 10^6$  ( $= 40^3 \cdot \frac{41}{2}$ ) parameter combinations for each type of vegetation.~~ The resultant fits of  $z_{0,v}/h_{top}$  are depicted as orange lines in the left column of Fig. 1 and the parameter values in Table 1. ~~Overall, the~~

240 The optimized Ra92 parameterizations improve the mean ~~seasonal annual~~ cycle of  $z_{0,v}$  for all vegetation types (~~right column compare orange to red lines in right column of Fig. 1 in reference to turquoise lines~~). Notably, the  $z_{0,v}$  of forests and shrubland, which was underestimated by the default  $z_{0,v}$  parameterization, increases considerably. Further, the  $z_{0,v}$  of crops is decreased by roughly a factor ~~two~~ 2. The  $z_{0,v}$  of deciduous broadleaf forests decreases with a higher  $VAI$  values in the data of Hu20, ~~as found in previous studies~~. This relation is captured with the updated  $z_{0,v}$  parameterization, resulting in a seasonal minimum of   
 245  $z_{0,v}$  during summer as observed in the field.

Given these clear improvements, the new parameterization of  $z_{0,v}$  is added to the model code following Eqs. 7 to 13. The ~~five four~~ parameters that were optimized for the different vegetation types are added to the parameter file of CLM/CESM and read in by the model at the start of a simulation. Besides these ~~five four~~ parameters,  $\lambda_{max}$  is also treated as a ~~PFT-specific~~ PFT-specific parameter in the revised model version. This is done to avoid requiring that the model ~~has to~~ compute  $U_h / u_*$  for   
 250 the full range of possible  $VAI$  values to find the minimum of  $U_h / u_*$  every time  $z_{0,v}$  is updated.

**Table 1. Fitted parameter values for Ra92.** From left to right, vegetation type, number of sites from Hu20 assigned to this vegetation type, total number of daily  $z_{0,v}$  estimates used to fit Ra92, and optimized values for  $C_S$ ,  $C_R$ ,  $c$ ,  $c_w$ ,  $VAI_{off}$ , and the maximum  $VAI$ . Below, tested range and precision used when fitting parameters of Ra92.

Vegetation type	<u>N sites</u>	<u>N observed</u>	$C_S$	$C_R$	$c$	$c_w$	$VAI_{off}$ $VAI_{max}$
Needleleaf <del>trees</del> forest	<del>0.016</del> 13	<del>0.182</del> 7480	<del>0.130</del> .003	<del>1.90</del> .05	<del>0.80</del> .09	<del>5.69</del> .9	<del>4.55</del>
Broadleaf evergreen <del>trees</del> forest	<del>0.016</del> 7	<del>0.338</del> 080	0.01	<del>0.70</del> .14	<del>1.90</del> .01	<del>5.97</del> .3	<del>7.87</del>
Broadleaf deciduous <del>trees</del> forest	<del>0.019</del> 8	<del>0.121</del> 6465	<del>0.050</del> .013	<del>10.13</del>	<del>00.06</del>	<del>1</del>	8.88
<del>Shrubs</del> Shrubland	<del>0.011</del> 4	<del>1.775</del> 349	<del>0.320</del> .001	<del>10.06</del>	<del>0.70</del> .12	<del>4.8</del> .20	<del>3.07</del>
<del>Grasses</del> Grassland	<del>0.007</del> 22	<del>0.092</del> 8086	<del>0.150</del> .001	<del>10.30</del> .04	<del>10.08</del>	<del>2.94</del> .19	<del>4.61</del>
<del>Crops</del> Cropland	<del>0.005</del> 15	<del>0.091</del> 9799	<del>0.001</del>	<del>0.05</del>	<del>0.04</del>	<del>3.5</del>	<del>5.3</del>
<u>Minimum</u>			<u>0.001</u>	0.01	<u>0.01</u>	1	
<u>Maximum</u>			<u>0.04</u>	0.4	<del>4.90</del> .04	<u>20.5</u>	
<u>Precision</u>			<u>0.001</u>	<u>0.01</u>	<u>0.01</u>	<u>0.5</u>	

**Figure 1.** (Next page: ~~Left column, median  $z_{0,v}/h_{top}$  of Hu20 in  $VAI$  bins as black dots, red line the default  $z_{0,v}$  parameterization of CLM, and orange line the optimized Ra92 parameterization. Height of grey bars show the sample size in the respective bin and numbers at the bottom of the bars the number of sites that contributed to the respective bin. The darkness of the bars increases with an increasing fraction of total sites, which that are present in respective bin. Right column, monthly mean  $z_{0,v}$  in Hu20 (turquoise), with default parameterization of CLM (red) and with optimized Ra92 parameterization (orange). Grey shading mean in Hu20  $\pm$  one standard deviation and blue dotted line mean seasonal cycle of  $VAI$ . Note that the data of sites south of  $30^\circ$  S were shifted by 6 months. Panels (a)–(b) needleleaf forests, (c)–(d) evergreen broadleaf forests, (e)–(f) deciduous broadleaf forests, (g)–(h) shrubland, (j)–(k) grassland, and (l)–(m) cropland.~~)





### 2.3 Bare soil

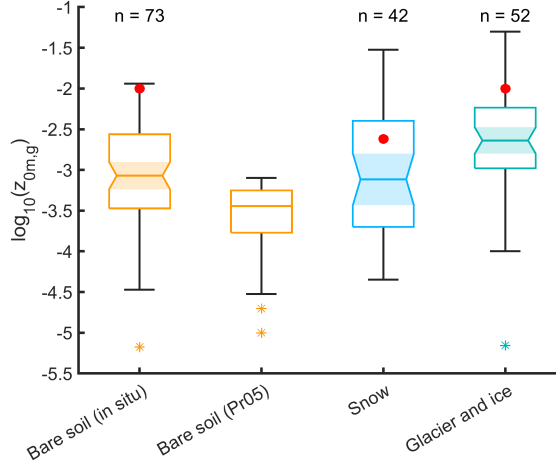
CLM5 currently prescribes a  $z_{0m,b}$  of 0.01 m (Lawrence et al., 2018). As mentioned above,  $z_{0h,b}$  and  $z_{0q,b}$  differ from  $z_{0m,b}$  because scalar fluxes are not affected by the pressure fluctuations that are induced by the presence of the roughness elements. In CLM5,  ~~$z_{0h,b}$  and  $z_{0q,b}$  are computed~~ this is accounted for after Zeng and Dickinson (1998):

$$z_{0h,b} = z_{0q,b} = z_{0m,b} e^{-a(u_* z_{0m} / \nu)^{0.45}}, \quad (14)$$

where  $a = 0.13$  and  $\nu$  is the kinematic viscosity of air ( $= 1.5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ). Note that this equation is also used to compute  $z_{0h}$  and  $z_{0q}$  over snow and ice.

Observed  $z_{0m}$  values over bare soil exhibit a wide range from  ~~$1 \times 10^{-5}$  m to  $1 \times 10^{-2}$  m~~, but are frequently around 0.001 m (Greeley et al., 1997; Callot et al., 2000; Marticorena et al., 2004, 2006; Hugenholtz et al., 2013; Nield et al., 2013).   
 Even though the The default value of 0.01 m is ~~in the range of observed values, it is clearly in the upper range of~~ within the observed  ~~$z_{0m}$ . Given the overestimated range but lies at the upper end of it. To better determine the distribution of observed values, we synthesize~~  $z_{0m,b}$  ~~values in the default version of CLM5, we collect  $z_{0m,b}$  observations from the literature, which are shown in observed values reported in the literature~~ (Fig. 2, ~~and replace the current value with the median value among the observations. We~~). Specifically, we use the data compiled in Table 1 of Prigent et al. (2005), sites S8 and S9 in Table 6 as well as the data compiled in Table 7 of Marticorena et al. (2006), and the reported values in Hugenholtz et al. (2013) and Nield et al. (2013), making sure that no value is counted twice ~~for the studies that compile observations from other studies~~. When a range is reported, we compute the average of this range (e.g., 0.001-0.005 m would be included as 0.003 m). ~~The resultant median  $z_{0m,b}$  is~~ We confirm that the 0.01 m value used in CLM is near the maximum among the observations from the literature (Fig. 2). Therefore, we replace the current value with the median value from our literature synthesis ( $8.5 \times 10^{-4}$  m).   
~~There exist several~~ Several remote sensing based data sets exist for  $z_{0m,b}$  ~~with varying spatial coverage, which could potentially be used as an alternative to using one globally-constant  $z_{0m,b}$  value~~ (e.g.; Marticorena et al., 2004; Prigent et al., 2005, 2012; Stilla et al., 2020). We therefore additionally implement the input of a spatially-explicit  $z_{0m,b}$  based on the data of Prigent et al. (2005), which ~~also cover~~ we subsequently refer to as Pr05. Unlike other data sets, Pr05 also covers warm deserts other than the Sahara ~~and which is subsequently called Pr05. This data set was for example successfully used in the chemical transport model GEOS-Chem (Tian et al., 2021).~~ Pr05 ~~employed~~ employs observations of the backscattering coefficient from the ERS scatterometer, calibrated on quality in situ and geomorphological  ~~$z_{0m}$~~   $z_{0m,b}$  estimates, to derive monthly mean  $z_{0m,b}$  in arid and semi-arid regions for an equal-area grid of  $0.25^\circ$  resolution at the equator. To derive a spatially continuous input field for CLM, we collect the monthly data from all grid cells in Pr05 that fall within a focal grid cell in our simulations. We use the 25<sup>th</sup> percentile of the corresponding monthly data that fall within the focal grid cell as a temporally constant input for our simulations assuming that the temporal evolution in Pr05 results purely from the seasonality of vegetation (which is represented by the vegetation patches described in the previous section). The 25<sup>th</sup> percentile is chosen because vegetation normally exhibits a higher  $z_{0m}$  than the ground. For grid cells without observations in Pr05 we use the area-weighted global mean of all the grid cells that contain data ( $4.1 \times 10^{-4}$  m). ~~For numerical stability, we~~ We replace values of  $z_{0m,b}$  that fall below

285  $1e-41 \times 10^{-4}$  m with this value. The usage of this spatially explicit for numerical stability. The  $z_{0m,b}$  may be enabled through a toggle in CLM. The  $z_{0m,b}$  values in Pr05 are at the lower side of in situ observations with values as low as  $1e-51 \times 10^{-5}$  m. This might originate from the fact that Pr05 focuses on desert regions by excluding  $z_{0m,b}$  values above  $8e-48 \times 10^{-4}$  m, while some in situ sites might exhibit a locally higher  $z_{0m,b}$  due to the presence of rocks or sparse vegetation elements.



**Figure 2.** Boxplot of the decimal logarithm in in situ observations of  $z_{0m,b}$  (left),  $z_{0m,s}$  (second from right), and  $z_{0m,i}$  (right). The value of n corresponds to the number of sites. Second from left, boxplot of  $z_{0m,b}$  in remote sensing-based data of Prigent et al. (2005). Stars correspond to outliers, which are more than 1.5 times the interquartile range away from the box. Red dots show the current value in CLM5.

Next, we focus on the formulation of  $z_{0h,b}$  and  $z_{0q,b}$ . Yang et al. (2008) assessed the performance of seven different parameterizations for the ratio of  $z_{0h,b}/z_{0m,b}$ , including Eq. 14, at several bare soil sites. Among the tested parameterizations, the formulations of Owen and Thomson (1963) and a revised version of Yang et al. (2002) performed best among the tested parameterizations. Further,  $z_{0h,b}/z_{0m,b}$  exhibits distinct diurnal variations, which is reproduced best by the latter parameterization. The parameterization of Zeng and Dickinson (1998), on the other hand, overestimates  $z_{0h,b}/z_{0m,b}$  strongly in particular, particularly during the day. Similarly, Chen et al. (2010) implemented and tested several parameterizations of  $z_{0h,b}/z_{0m,b}$  in the Noah LSM, confirming the good performance of the formulation proposed in Yang et al. (2008), which is subsequently called Ya08. In particular, the Ya08 parameterization reduced the underestimation of daytime LSTs of Noah in arid regions (Chen et al., 2011). Similar biases as for Noah exist in CLM3.5, which could be improved by decreasing  $z_{0h,b}/z_{0m,b}$  (Zeng et al., 2012).

Overall, there is therefore clear evidence that the parameterization of  $z_{0h,b}$  and most likely also  $z_{0q,b}$  applied currently in CLM5 is not ideal.

300 For in disagreement with observations. We therefore employ Ya08 for the parameterization of  $z_{0h,b}$  and  $z_{0q,b}$  we therefore employ Ya08:

$$z_{0h,b} = z_{0q,b} = (70\nu/u_*) \times \exp(-\beta u_*^{0.5} |T_*|^{0.25}) \quad (15)$$

Here,  $\beta = 7.2$  and  $T_*$  is the frictional temperature defined as  $-SH/(\rho c_p u_*)$ , where  $SH$  is the sensible heat flux,  $\rho$  is the air density, and  $c_p$  is the specific heat of air at constant pressure. We have also tested the formulation of  $z_{0h,b}/z_{0m,b}$  after Owen and Thomson (1963) in CLM and found no major difference to the model version using Ya08. Ya08 is also used in the revised version of CLM to compute the  $z_{0h}$  and  $z_{0q}$  of snow and ice, which will be described in more detail in the next two sections.

## 2.4 Snow

The current  $z_0$  representation for snow is similar to the one of bare soil. ~~However, except that~~ a globally constant  $z_{0m,s}$  value of 0.0024 m is used instead of 0.01 m. We here focus on  $z_{0m,s}$ , as the modifications of  $z_{0h,s}$  and  $z_{0q,s}$  were already described in the previous section. For a comparison of  $z_{0m,s}$ , we collect the data compiled and measured with the wind profile method for snow in Brock et al. (2006) as well as the measured values in Fitzpatrick et al. (2019) and van Tiggelen et al. (2021), applying the same procedure for reported ranges as for bare soil. Again, the default value of 0.0024 m lies in the higher range of observed values, although less drastically than for bare soil (Fig. 2). Therefore, we replace the globally constant value for  $z_{0m,s}$  with the median of  $7.75 \times 10^{-4}$  m among the data value from the literature synthesis ( $7.75 \times 10^{-4}$  m).

Observations in the field show that  $z_{0m,s}$  increases as snow melting proceeds due to the formation of melting ponds (Brock et al., 2006; Fitzpatrick et al., 2019). Brock et al. (2006) propose the following parameterization of  $z_{0m,s}$  as a function of accumulated snow melt to account for this relation-relationship (solid line in Fig. 3):

$$\ln(z_{0m,s}) = b_1 \{ \text{atan}([\log_{10}(M_a) + 0.23] / 0.08) \} + b_4, \quad (16)$$

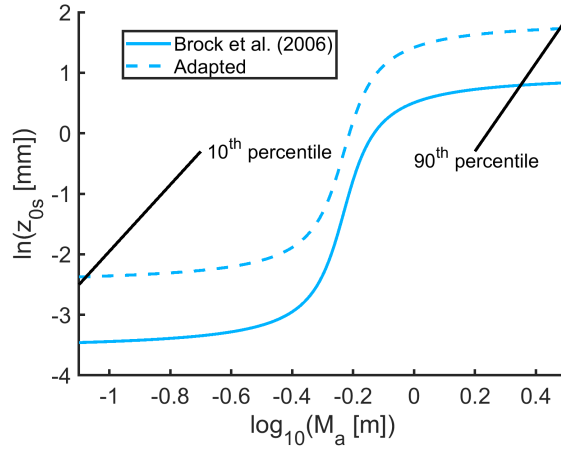
where  $\ln(z_{0m,s})$  is the natural logarithm of  $z_{0m,s}$  in millimeters,  $b_1$  and  $b_2$  are empirical constants, and  $M_a$  is the accumulated snow melt in meters water equivalent. For application in CLM, we compute the constants  $b_1$  and  $b_2$  such that the parameterization will pass through the 10<sup>th</sup> percentile of the data displayed in Fig. 2 as  $M_a = 0$  m and approaches the 90<sup>th</sup> percentile as  $M_a$  goes towards infinity, arriving at  $b_1 = 1.4$  and  $b_4 = -0.31$  (dashed line in Fig. 3). ~~Additionally,  $M_a$  needs to decrease again~~ ~~should decrease~~ when fresh snow falls on a snow column snow that was previously melting for application in a climate model. Therefore, we update  $M_a$  in CLM for snow columns for snow that already existed at the previous time step as follows:

$$M_a^t = M_a^{t-1} - Q_{snowfall}^t + Q_{snowmelt}^t, \quad (17)$$

where  $M_a^t$  and  $M_a^{t-1}$  are the accumulated snow melt at the current time step and previous time step, respectively,  $Q_{snowfall}^t$  is the freshly fallen snow, and  $Q_{snowmelt}^t$  is the melted snow, all in meters water equivalent. ~~Again, this parameterization of  $z_{0m,s}$  may be activated by a separate toggle, to replace to globally constant value.~~

## 2.5 Glaciers

The surface roughness of ice sheets and glaciers is currently the same as for bare soil. ~~It needs to in CLM. It should~~ be noted that the surface properties of land ice play a somewhat subordinate role in CLM, since they are mostly ice is generally covered by snow. As for with snow, we employ the  $z_{0m,i}$  observations of Brock et al. (2006), Fitzpatrick et al. (2019), and van Tiggelen et al. (2021) as a reference for a comparison to CLM (Fig. 2). The  $z_{0m}$  of land ice tends to be higher than the



**Figure 3.** Parameterization of  $z_{0m,s}$  as a function of accumulated snow melt since snow fall of Brock et al. (2006) (solid line) and parameterization with adapted constants, such that it passes through the 10<sup>th</sup> and 90<sup>th</sup> of data displayed in Fig. 2 (dashed line).

~~one of bare soils for bare soil~~ or snow. Still, the current value of 0.01 m in CLM is on the upper end of the synthesized field  
 335 observations. Accordingly, we ~~update decrease~~ this globally constant value to  $2.3\text{e-}3 \times 10^{-3}$  m, the median among the collected field observations.

## 2.6 Lakes

The current lake model in CLM, the Lake, Ice, Snow, and Sediment Simulator (LISSS), was developed by Subin et al. (2012). The  $z_0$  parameterization for frozen (potentially snow-covered) lakes is ~~consistent with the same as for~~ ice and snow on land,  
 340 ~~as described in the previous section~~. However, the  ~~$z_{0m}$  of ice  $z_{0m,i}$~~  was decreased in the lake model to 0.001 m, ~~supporting the introduction of a lower value over land, described before~~. For unfrozen lakes,  $z_{0m}$ ,  $z_{0h}$ , and  $z_{0q}$  is parameterized as follows:

$$z_{0m} = \max\left(\frac{\alpha\nu}{u_*}, \frac{cu_*^2}{g}\right), \quad (18)$$

$$z_{0h} = z_{0m} \exp\left(-\frac{\kappa}{P_r} \left(4\sqrt{R_0} - 3.2\right)\right), \quad (19)$$

345

$$\text{and } z_{0q} = z_{0m} \exp\left(-\frac{\kappa}{S_c} \left(4\sqrt{R_0} - 4.2\right)\right), \quad (20)$$

where  $\alpha = 0.1$ ,  $c$  is the effective Charnock coefficient (for details check Lawrence et al., 2018),  $g$  is the acceleration of gravity,  $P_r = 0.71$  is the molecular Prandtl number for air,  $R_0$  is the near surface atmospheric roughness Reynolds number, and  $S_c = 0.66$  is the molecular Schmidt number for water in air. The resultant  $z_{0m}$  values over open water lie typically in the range of  
 350 ~~1e-4 to 5e-4~~  $1 \times 10^{-4}$  to  $5 \times 10^{-4}$  m.

Subin et al. (2012) demonstrated the added value of the  $z_0$  formulations described above compared to prescribing a constant value in LISSS. The WRF lake model also profited from an introduction of this parameterization (Xu et al., 2016; Wang et al., 2019). Li et al. (2015) find the dependence of  $z_{0m}$ ,  $z_{0h}$ , and  $z_{0q}$  on wind speed in LISSS is not ideal for a lake over the Tibetan Plateau. ~~Still~~However, the simulated values are generally of reasonable magnitude compared to the observed values. ~~Further, LISSS simulated the turbulent heat fluxes at this lake still well, due to compensation of errors. Given the decent performance of LISSS also at this lake and given the fact that this study is based on measurements over one lake only, we~~ We conclude that there is no clear evidence for a need to revise the  $z_0$  parameterization of LISSS. ~~We therefore~~ Therefore, we retain the current formulations for  $z_0$  over lakes. We ~~do~~however, however, adopt the revisions for the  $z_0$  of frozen lakes, consistent with the modifications for snow and ice on land described in Sections 2.4 and 2.5.

## 360 2.7 Urban areas

In the urban module of CLM,  $z_0$  and  $d$  are ~~parameterized~~ parameterized after Macdonald et al. (1998) as a function of the canyon height,  $H$ , the plan area index,  $\lambda_p$ , and the frontal area index  $\lambda_f$  (for more details see Oleson et al., 2008, 2010):

$$d = H \left( 1 + \alpha^{-\lambda_p} (\lambda_p - 1) \right), \quad (21)$$

$$365 \text{ and } z_0 = H \left( 1 - \frac{d}{H} \right) \exp \left( - \left[ 0.5B \frac{C_D}{k^2} \left( 1 - \frac{d}{H} \right) \lambda_f \right]^{-0.5} \right), \quad (22)$$

where  $\alpha = 4.43$  is an empirical coefficient and  $C_D$  is the depth-integrated mean drag coefficient for surface-mounted cubes in a shear flow. As for vegetation, this  $z_0$  corresponds to the aerodynamic  $z_0$  for the exchange between the urban canopy and the atmosphere. Again, there are additional resistance for the exchange of water vapour and energy between the surface of the different elements in the urban environment and the urban canopy air.

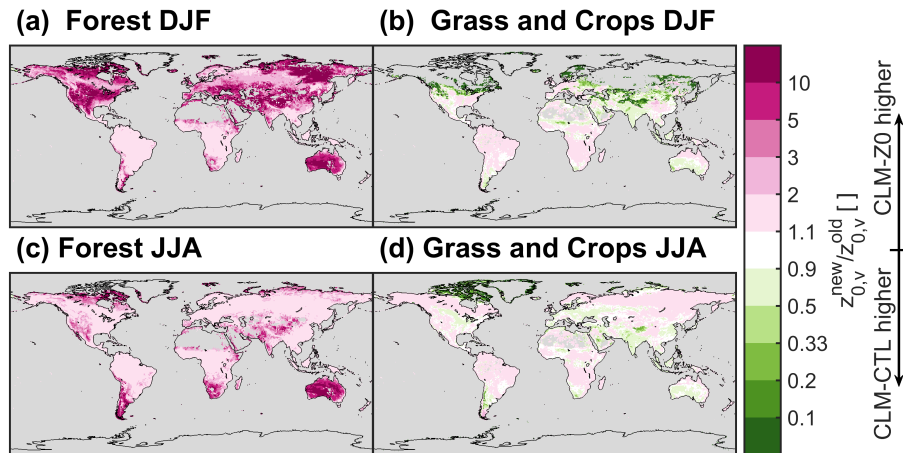
370 Variations of  $z_0/H$  among urban environments are considerable (e.g., Kanda et al., 2013). The parameterization of Macdonald et al. (1998) generally lies ~~solidly~~ comfortably within the spread of  $z_0/H$  estimates (Grimmond and Oke, 1999; Nakayama et al., 2011; Kanda et al., 2013). We therefore conclude that there is ~~currently no need~~ no justification to revise the representation of  $z_0$  and  $d$  in urban module of CLM.

## 2.8 Resulting changes in surface roughness

375 Here we present the ~~alterations in impact on~~  $z_0$  following the mentioned model modifications in the CLM offline due to the proposed set of CLM modifications in land-only simulations, which will be described in ~~more detail in~~ the next section. ~~These modifications are: (1) the Ra92 parameterization with optimized parameters based on the data of Hu20; (2) the spatially explicit input of  $z_{0m,b}$  based on the data of Prigent et al. (2005); (3) the parameterization of  $z_{0m,s}$  as a function of accumulated snow melt based on the parameterization of Broek et al. (2006); (4) an updated globally constant  $z_{0m,i}$ ; and (5) the Ya08~~  
 380 ~~parameterization for  $z_{0h,g}$  and  $z_{0q,g}$ .~~

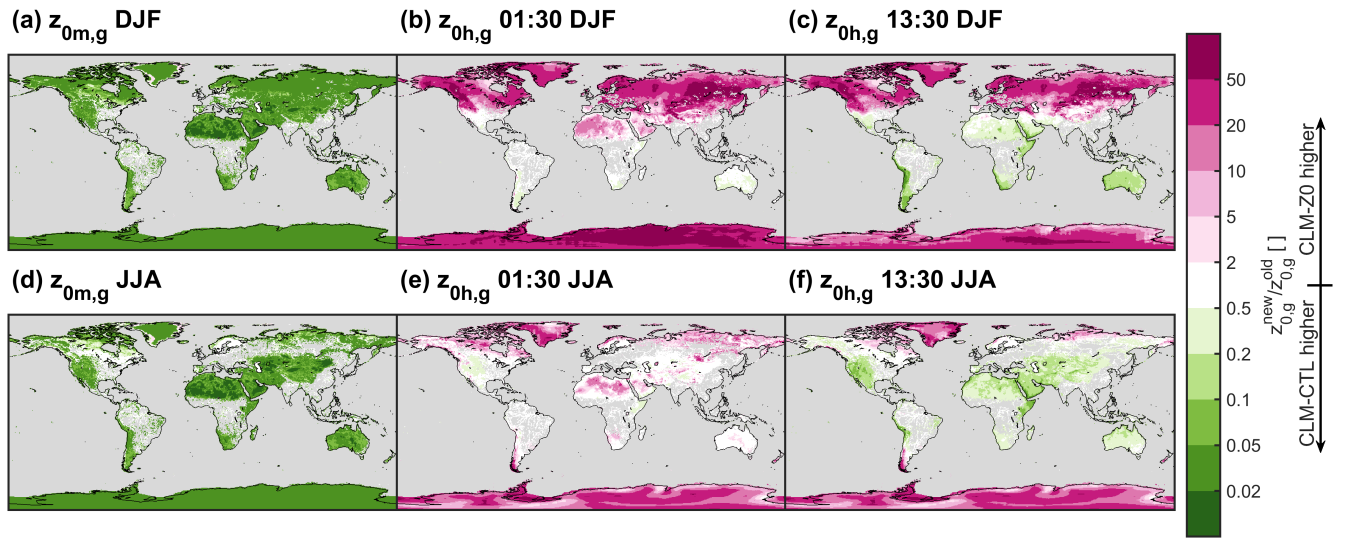
The introduction of Ra92 leads to an increase in  $z_{0,v}$  for the forest PFTs (Fig. 4 a and b). In particular, the  $z_{0,v}$  of forests ~~can~~ increase by more than an order of magnitude during winter ~~in some locations~~ because the  $z_{0,v}$  of deciduous trees ~~does not~~ ~~more approach  $z_{0m,g}$  as they shed their leaves.~~ Alterations of  $z_{0,v}$  is raised considerably for low values of  $VAI$  (Fig. 1 e). Changes in  $z_{0,v}$  for ~~grass and crops PFTs generally exhibits~~ ~~grassland and cropland PFTs generally exhibit~~ no clear pattern, with the exception of a pronounced reduction in  $z_{0,v}$  in the northern high-latitudes during winter (Fig. 4 e-b and d).

The  ~~$z_{0m,g}$   $z_{0m,g}$~~  decreases by more than an order of magnitude in most ~~eases due to our revisions of regions due to the~~ ~~changes in  $z_{0m,b}$ ,  $z_{0m,s}$ , and  $z_{0m,i}$~~  (Fig. 5 a and d). ~~Only in In~~ some coastal areas of Greenland,  $z_{0m,g}$  increases slightly, as enough snow melt accumulates to reach the higher end of the Brock et al. (2006) parameterization for  $z_{0m,s}$ . The  ~~$z_0$  for sealars~~ ~~( $z_{0h,g}$  and  $z_{0q,g}$ )~~ now exhibit a distinct diurnal cycle following the introduction of Ya08. ~~It increases~~ ~~They decrease~~ at daytime in low-latitudes and during summer in the mid-latitudes, ~~but decreases while they increase~~ under stable conditions ~~that are~~ often present in high-latitudes and at night. ~~In fact, the concurrent decrease of  $z_{0m,g}$  and increases of  $z_{0h,g}$  frequently result in a distinctly larger  $z_{0h,g}$  than  $z_{0m,g}$  (not shown). This is in contradiction to field observations, which normally find higher values of  $z_{0m,g}$  than for  $z_{0h,g}$  (Yang et al., 2002, 2008).~~



**Figure 4.** Ratio of new vegetation surface roughness ( $z_{0,v}$ ; in CLM-Z0) divided by old  $z_{0,v}$  (in CLM-CTL). Panels (a), (c) ratio of average  $z_{0,v}$  across forest plant functional types and (b), (d), across ~~grass~~ ~~grassland~~ and ~~crop~~ ~~cropland~~ plant functional types. Upper row boreal winter (DJF) and lower row boreal summer (JJA). ~~Data are masked if vegetation is buried completely by snow.~~





**Figure 5.** Ratio of new ground surface roughness ( $z_{0,g}$ ) divided by old  $z_{0,g}$ . Panels (a), (d) momentum surface roughness, (b), (e), surface roughness of scalars at 01:30 local solar time, and (c), (f), surface roughness of scalars at 13:30 local solar time. Upper row boreal winter (DJF) and lower row boreal summer (JJA).

### 3 Experiment design

395 ~~In this study, we~~ We present results from two sets of simulations: (1) Land-only (~~offline~~) simulations using CLM version 5.1 forced by the GSWP3 reanalysis data (Dirmeyer et al., 2006; Kim, 2014) and (2) land-atmosphere (~~coupled~~) simulations with CESM version 2.1.2. For each simulation, we conduct a 50-year spinup followed by a 15-year analysis period using a near present-day climatological configuration. The vegetation phenology is prescribed from satellite observations in all simulations (~~Sp-mode~~ SP-mode in CLM). The different patches of vegetation are placed on separated soil columns to suppress lateral  
400 exchange of energy and water among them (Schultz et al., 2017; Meier et al., 2018) and biomass heat storage was activated to ~~remove~~ enable removal of the stability cap of the Monin-Obukhov stability parameter (Swenson et al., 2019; Meier et al., 2019). ~~Besides, we~~ We additionally implement a new history file averaging flag ~~, which interpolates linearly in time to retrieve that enables retrieval of~~ model output at ~~the a~~ a specified local solar time. This allows us to determine the model state ~~for example always at 01:30 without outputting the variables of interest at all model time steps, avoiding both excessive use of~~  
405 ~~storage space and a cumbersome post-processing of the data. The model output at a specific local solar times allows to examine diurnal variations of various variables and is further used for comparison to the~~ at a specific time, for example at the time of MODIS LST observations ~~, which are made at approximately at 01:30 and 13:30 local solar time, without archiving data at all model time steps.~~ For each set-up configuration we conduct one control simulation with the current existing representation of  $z_0$  in CLM and a simulation in which we apply the updates for  $z_0$  ~~as described in the previous section were activated previously~~  
410 described.

For the CLM simulations, we use the component configuration set "I2000Clim51Sp". These simulations are run at  $0.5^\circ$  resolution. For the atmospheric forcing we cycle through the GSWP3 data of 1998–2012. The resulting simulations are called CLM-CTL and CLM-Z0 subsequently. In addition, a series of CLM ~~experiments is~~ land-only experiments are presented in Appendix A1 to assess the effect of the individual modifications. Table A1 provides an overview of all CLM simulations.

415 The CESM simulations are run in the configuration "F2000climo" at  $0.9^\circ \times 1.25^\circ$  resolution. This configuration couples CLM version 5.0 with the atmospheric model CAM version 6.0. The ocean is prescribed in F2000climo from HadISST v1.1 (i.e., it is run in data mode; Hurrell et al., 2008). For the prescribed sea surface temperature forcing, we cycle through the data of 1998–2012 instead of using the data from 2000 only, as normally the case in F2000climo. This is done to introduce more interannual variability. We ~~call~~ refer to the CESM simulations as CESM-CTL and CESM-Z0 ~~subsequently~~.

## 420 4 Model analysis and evaluation

### 4.1 Reference data sets

We consult two observation-based data sets to assess the impact of the imposed modifications in CLM-Z0 and CESM-Z0 on model performance in terms of ~~the land surface temperature (LST)~~ LST. First, we use observations of the MODerate resolution Imaging Spectroradiometer (MODIS) system, which is installed on the low-earth orbit satellites Terra and Aqua, to evaluate  
425 diurnal variations of the LST at grid cell level. These instruments provide LST estimates at a resolution of 1 km at approximately

01:30 and 13:30 local solar time at the equator, based on the longwave radiation emitted by the land surface. We employ data from 2003–2012 of the product MYD11C3 version 6 (Wan et al., 2015), which has a native resolution of 0.05° degree. From this data we compute a multi-year monthly climatology as described in Meier et al. (2019) at 0.5° resolution. For comparison to the CESM simulations, we regrid this climatology to 0.9°x1.25° with first-order conservative remapping of the Climate Data Operators library (CDO). We output the LST in the model simulations at 01:30/13:30 and use only model output for from 2003–2012 for a consistent comparison with MODIS. Further, we apply a cloud masking to the model output as described below.

In addition to comparing LST directly at grid cell level, we also evaluate the local LST difference between bare soil and vegetation. To extract such information from the MODIS LST observations, we repeat the space-for-time substitution approach as in Duveiller et al. (2018) for the conversion of all types of vegetation to bare soil. We conduct a multiple linear regression between MODIS LST observations and grid-level land cover fractions within a moving window of 5 by 5 pixels for each month in 2008–2012 to relate the LST to the land cover type. For the LST, we again employ monthly MYD11C3 data both at daytime (13:30 local solar time at the Equator) and nighttime (01:30 local solar time at the Equator). The land cover fractions are based on the ESA Climate Change Initiative Land Cover project (ESA, 2017). To estimate the potential change in LST for a conversion between vegetation and bare land, we aggregate all land cover types that involve vegetation to one form the vegetated land cover class and focus on the slope of, while we use the bare land class as bare soil. Then, we conduct a multiple linear regression between the MODIS LST observations and the land cover fractions within moving windows of 5 by 5 pixels for each month in 2008–2012 (see Duveiller et al. (2018) and Duveiller et al. (2021) for details). The slope of this multiple linear regression between the resultant vegetated land cover class and bare land forms the estimated LST sensitivity for a conversion between these two land cover types. With this procedure we retrieve a monthly observation-based estimate of the LST sensitivity to a conversion of vegetation to bare land soil at 0.25° resolution, along with an estimation of the retrieval estimate of the uncertainty associated with the regression (see Duveiller et al. (2018) and Duveiller et al. (2021) for details). For comparison to the CLM simulations, we compute the multi-year monthly average at 0.5° resolution, weighing weighting all grid cells that fall into the focal location-month combination by area and by 1 over the uncertainty estimate of the respective value. The resultant reference data set is called Du18. In CLM, we compute the sub-grid difference in the variable of interest of the bare soil patch minus all vegetation patches (including crops) within a grid cell as described in more detail in Meier et al. (2018). Again we We only use cloud-masked LST data for 2008–2012 LST, which was output at 01:30 and 13:30 local solar time.

## 4.2 Cloud Computation of LST and cloud masking

The LST in CLM is computed based on the leaf temperature ( $T_{leaf}$ ) and the temperature of the ground ( $T_{grnd}$ ):

$$LST = e_v T_{leaf} + (1 - e_v) T_{grnd} \quad (23)$$

where the vegetation emissivity,  $e_v$ , is a function of the VAI:

$$e_v = 1 - e^{-VAI/\bar{\rho}} \quad (24)$$

Here,  $\bar{\mu}$  corresponds to the average inverse optical depth for longwave radiation, which is set to 1 in CLM Eq. 4.20 in (Lawrence et al., 2018).  $T_{grnd}$  is a function of the snow temperature ( $T_{snow}$ ), the temperature of the top soil layer ( $T_{soil}$ ), the temperature of the surface water ( $T_{H_2O}$ ), the fraction of the ground covered by snow ( $f_{snow}$ ), and the fraction of the ground covered by surface water ( $f_{H_2O}$ ):

$$T_{grnd} = [f_{snow}(T_{snow})^4 + f_{H_2O}(T_{H_2O})^4 + (1 - f_{snow} - f_{H_2O})(T_{soil})^4]^{1/4} \quad (25)$$

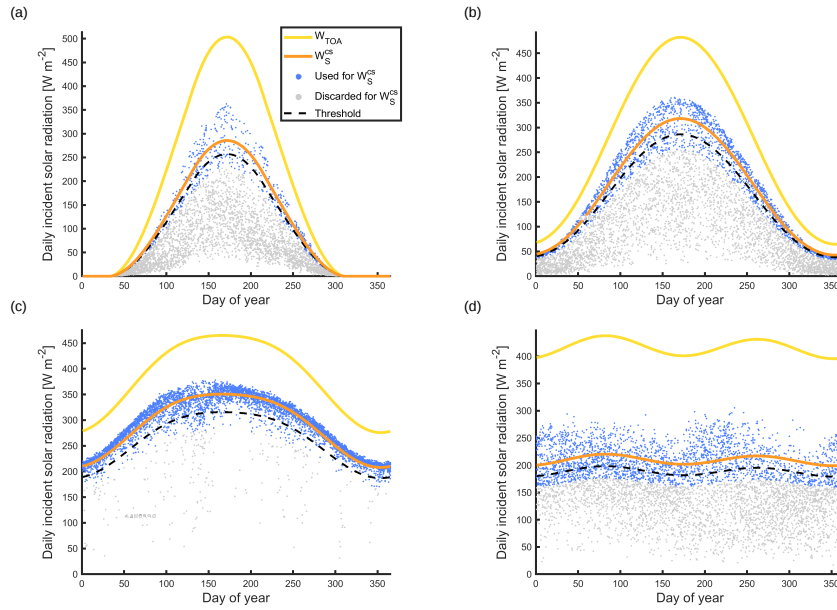
MODIS can observe the LST only under clear-sky conditions (Wan et al., 2015). We therefore remove cloudy conditions in our model output when confronting it with MODIS. For the CESM simulations, we can filter for clear-sky conditions directly from the total cloud cover model output. To do so, we output the total cloud coverage and the variables of interest at daily temporal resolution. In the post-processing we then remove days with an average total cloud coverage above 50 %. It is more complex to exclude cloudy days in the ~~offline-land-only~~ CLM simulations, since the GSWP3 forcing does not include information on cloud coverage (Kim, 2014). We therefore mask for cloudy days based on the incoming shortwave radiation. This is done through a comparison to the theoretical daily incoming solar radiation at the top of the atmosphere according to Berger (1978),  $W_{TOA}$ , which is a function of latitude and the day of the year. However, solar radiation passing through the atmosphere can be altered even under clear-sky conditions for example because of aerosols (IPCC, 2013). Therefore, we derive a climatology of the incoming solar radiation at the surface under clear-sky conditions,  $W_S^{cs}$ , based on  $W_{TOA}$  in an iterative procedure:

1. A multiplicative factor,  $C$ , is optimized, such that it minimizes the sum-squared deviation to the daily incoming solar radiation forcing of GSWP3 at a given location:

$$W_S^{cs} = C \cdot W_{TOA} \quad (26)$$

2. Incoming solar radiation values below 80 % of  $W_S^{cs}$  are removed for the next iteration, unless the current fit is based on less than 200 values (the iteration starts with  $15 \cdot 365 = 5475$  values).
3. This iteration is stopped if the sum-squared deviation of  $W_S^{cs}$  to the remaining daily incoming solar radiation forcing of GSWP3 improves by less than  $10 \text{ W}^2\text{m}^{-4}$ .

With this procedure we estimate  $W_S^{cs}$  for each land point. We then remove days where the daily incoming solar radiation lies below  $20 \text{ Wm}^{-2}$  or below 90 % of  $W_S^{cs}$  in the post-processing of the model output of the CLM simulations. Fig. 6 illustrates this clear-sky masking for four grid cells. Note that this ~~cloud-masking~~ cloud masking procedure is not perfect because it effectively ignores clouds at night and does not distinguish between cloud types, which affect the incoming shortwave radiation at the surface differently (L'Ecuyer et al., 2019). Also, it results in data gaps ~~in the masked data~~ during the polar night, because no incoming shortwave radiation is available for the cloud masking procedure.



**Figure 6.** Examples of cloud masking based in incoming shortwave radiation at (a) 73.25° N/11.75° E, (b) 53.25° N/11.75° E, (c) 23.25° N/11.75° E, and (d) 3.25° N/11.75° E. Yellow line daily incoming solar radiation at the top of atmosphere according to Berger (1978), orange line fitted incoming shortwave radiation at surface under clear-sky conditions, blue dots daily incoming solar radiation values in GSWP3 included to make this fit, grey points daily incoming solar radiation values in GSWP3 removed because they are below 80 % of the last fit of  $W_S^{cs}$ , and dashed black line threshold of 90 % of  $W_S^{cs}$  above which days are considered clear-sky.

### 4.3 Significance testing

The CESM simulations exhibit a considerable degree of interannual variability. Therefore, we conduct a statistical test to assess whether the identified seasonal differences between CESM-Z0 and CESM-CTL are significant. For First, we conduct a one-sample student's t-test at 5 % confidence level for the sample of 14 seasonal mean differences between CESM-Z0 and CESM-CTL for each grid cell and season we make a one-sample student's t-test at 5 % confidence level. This test in isolation is inappropriate when applied to a spatially auto-correlated field, as clustered areas can appear erroneously significant (Wilks, 2016). Thus, we control the false discovery rate as proposed in Wilks (2016) using a confidence level of 10 % ( $= 2 \cdot 5 \%$ ), which is appropriate for data with a moderate to strong spatial auto-correlation. In addition, we include the last 30 years of the spinup period for some variables to corroborate the presented results.

## 5 Results

We first focus on the LST response at 01:30/13:30 local solar time in the land-only CLM simulations in Section 5.1. In this section, we also evaluate We also compare the simulated diurnal variations in LST compared to MODIS and the LST sensitivity

500 ~~to a conversion of vegetation to bare land compared to~~ to MODIS observation as well as the sub-grid LST difference between  
~~bare soil and vegetation to~~ Du18. In Section 5.2 we ~~assess the response to the imposed  $z_0$  modifications in the focus on the~~  
~~results from the~~ CESM land-atmosphere simulations. Initially, the focus is again on the LST (Section 5.2.1) and additionally the  
air temperature at the bottom of the atmosphere (Section 5.2.2). Afterwards, we present alterations in wind speed. Note that we  
present a number of sensitivity experiments in Appendix A1, where we assess the influence of the different  $z_0$  modifications  
505 individually. Further, we conduct an energy balance decomposition after Luyssaert et al. (2014) in Appendix A2 to link the  
changes in LST described in this section to individual energy fluxes.

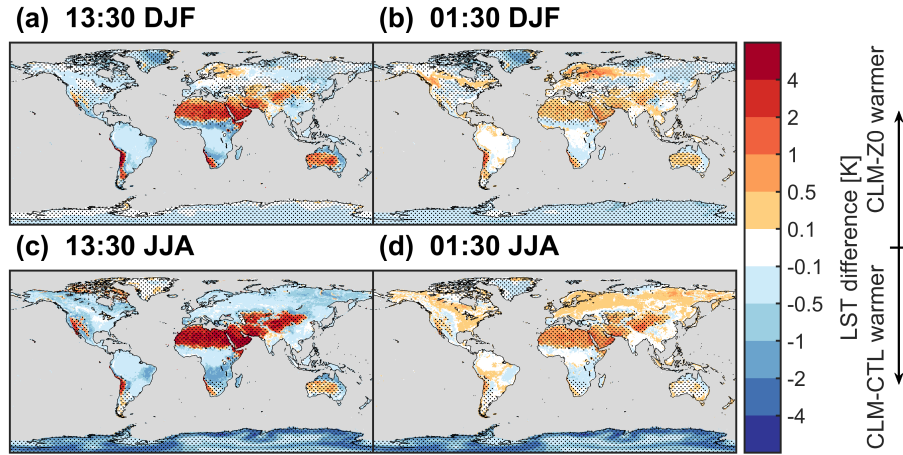
## 5.1 LST response in land-only simulations

At 13:30 the LST increases substantially in warm desert regions (Fig. 7 a and c). This warming originates mainly from the  
reduction in  $z_{0m,g}$ , while the introduction of the Ya08 formulation for  $z_{0h,g}$  and  $z_{0q,g}$  produces only a small impact (Ap-  
510 pendix A1). The reduced  $z_{0m,g}$  inhibits the exchange of sensible heat with the atmosphere (Fig. A2). The solar radiation  
absorbed by the land surface in desert regions is therefore transferred less efficiently to the atmosphere in CLM-Z0~~than in~~  
~~CLM-CTL~~. Consequently, the land surface warms and maintains its energy balance through emission of more longwave ra-  
diation and a higher ground heat flux (Fig. A2). Accordingly, the induced warming is higher during the summer season, when  
the solar irradiance is highest. On the other hand, the reduction in  $z_{0m,g}$  decreases the LST in the cold deserts, in particular  
515 during the winter season. This is again the result of a reduced sensible heat flux, which is however generally directed from the  
warmer atmosphere to the land surface in those regions. In vegetated areas, the increased  $z_{0,v}$  of forests enhances the turbulent  
transport of energy away from the land surface (Fig. A2), producing a cooling of the daytime LST.

The LST response at 01:30 is generally considerably weaker than the daytime effect (Fig. 7 b and d). Conditions in the  
surface layer are more commonly stable at night~~than at day, which inhibits~~, ~~inhibiting~~ the turbulent energy exchange between  
520 the land and the atmosphere. Also, there is no strong energy input to the land surface in the form shortwave radiation at night.  
Therefore, our modifications of  $z_0$  produce a weaker effect. Interestingly, the pronounced daytime warming effect in the warm  
deserts translates into the night through the energy stored in the soils (Fig. A3). In contrast, the increase in  $z_{0,v}$  of forests  
warms the land surface at night in particular during summer by increasing the sensible heat flux towards the land. Thus, the  
LST response at 01:30 over vegetation opposes the daytime response in sign, unlike in desert regions. This is likely the case,  
525 because the LST in CLM is linked tightly to the ~~vegetation temperature (Meier et al., 2019)~~ leaf temperature, which exhibits  
a smaller thermal inertia than the ground ~~-(Eq. 23)~~. Consequently, the alterations in LST change sign diurnally in regions  
dominated by vegetation, while the sign remains the same over regions dominated by bare ~~soils~~ soil.

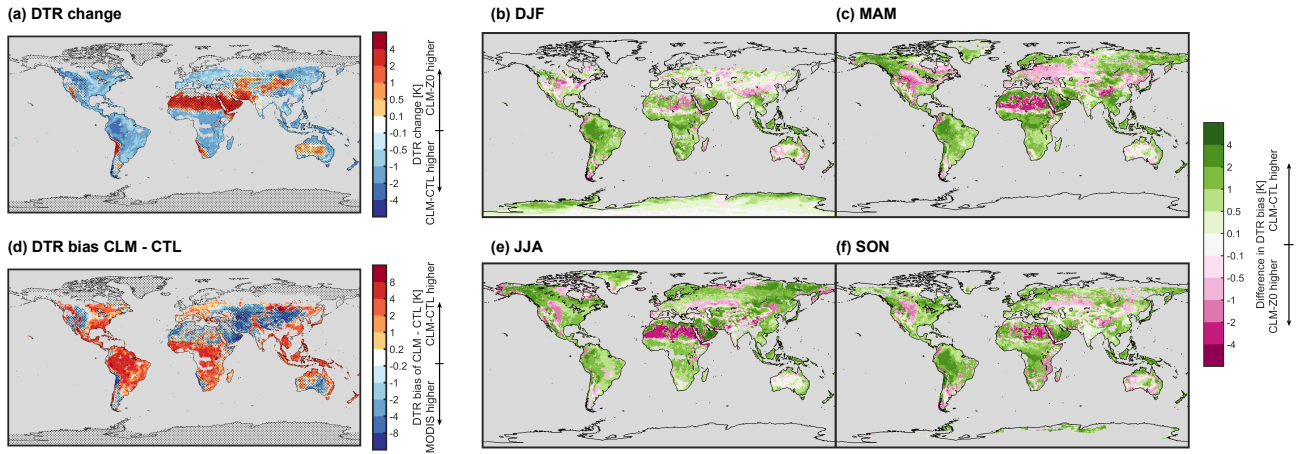
Overall, the modified  $z_0$  ~~amplify~~ amplifies the diurnal temperature range (DTR; here defined as the LST difference between  
13:30 and 01:30 local solar time) in desert regions and ~~dampen~~ dampens the DTR in regions with forests (Fig. 8 a). This links  
530 back to previous studies that found an overestimation of the DTR in desert regions and an underestimation over forests in  
CLM compared to remote sensing observations (Zeng et al., 2012; Meier et al., 2019). This tendency prevails in the current  
version 5.1 of CLM (Fig. 8 d). The modifications of  $z_0$  in CLM-Z0 alleviate ~~the mentioned these~~ biases in most regions with





**Figure 7. LST difference between CLM-Z0 and CLM-CTL.** Left column LST difference at 13:30 local solar time and right column difference at 01:30 local solar time. Upper row boreal winter (DJF) and lower row boreal summer (JJA). The stippling shows areas dominated by bare soil with a seasonal average  $VAI$  below  $0.5 \text{ m}^2 \text{ m}^{-2}$ . Note the non-linear colour scale.

the notable exception of the southern half of the Sahara, where the reduced  $z_{0m,g}$  in CLM-Z0 frequently overcompensates an only slight underestimation of the LST DTR in CLM-CTL (Fig. 8 b, c, e, and f).

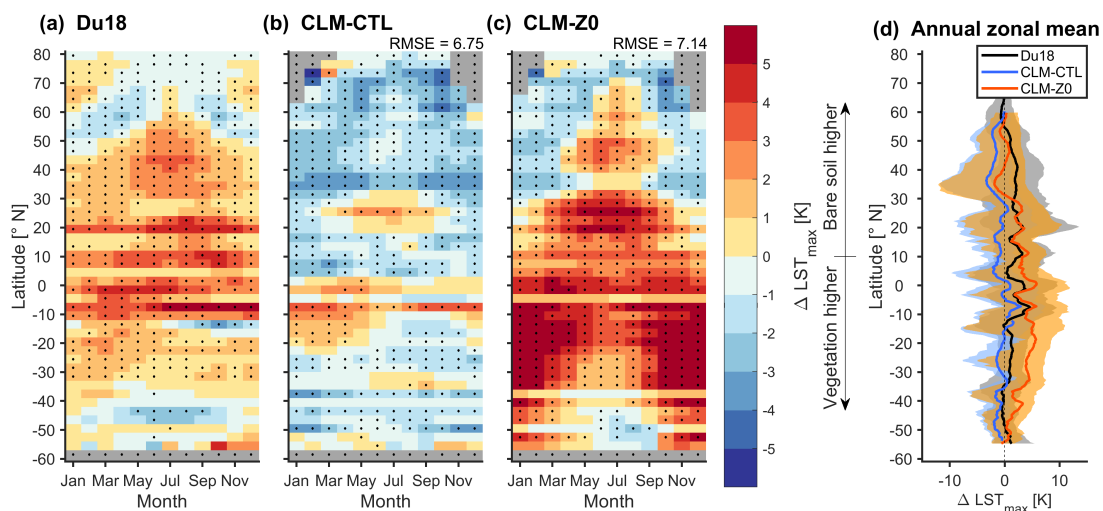


**Figure 8.** Panel (a), difference in LST diurnal temperature range (DTR) of CLM-Z0 minus CLM-CTL and panel (d) bias in LST DTR of CLM-CTL compared to MODIS remote sensing observations. The stippling in those panels shows areas with an average  $VAI$  below  $0.5 \text{ m}^2 \text{ m}^{-2}$ . To the right, change in the LST DTR bias between CLM-Z0 and CLM-CTL in boreal winter (b), spring (c), summer (e), and autumn (d). CLM data are cloud-masked based on the incoming shortwave radiation. Note the non-linear colour scale.

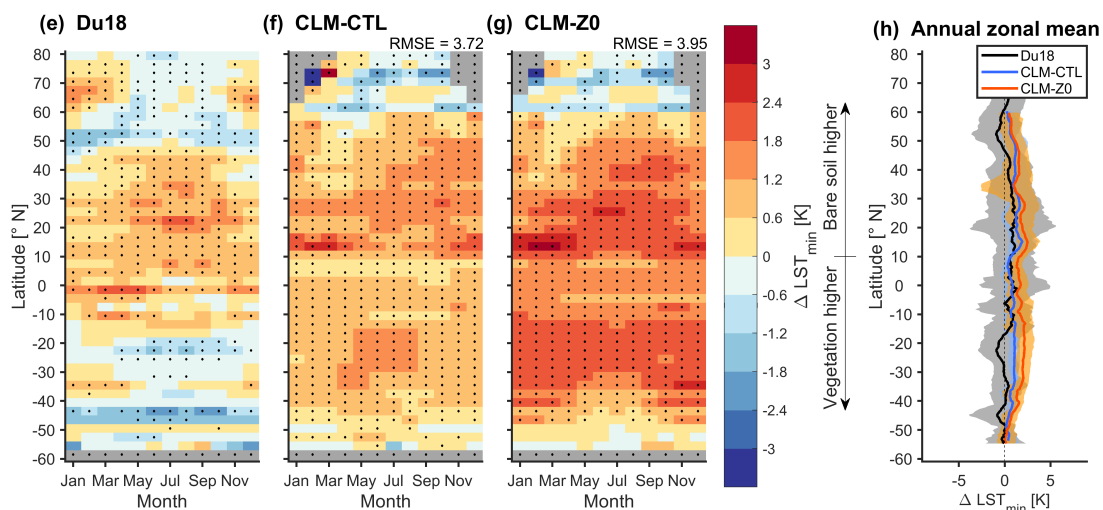
535 The modifications in CLM-Z0 also affect the sensitivity of the LST to land cover. Here we compare the LST ~~sensitivity~~  
~~for converting response to a conversion of~~ vegetated land to bare soil, as estimated in Du18, to the subgrid LST difference  
between the ~~bare soil tile and the vegetated~~ vegetated tiles and the bare soil tiles in CLM. This land cover transition ~~could be is~~  
likely relevant for the biogeophysical response to desertification, which has become more common over the last decades (IPCC  
2019). Overall, Du18 observes an increase in LST at 13:30 over bare soils compared to vegetation with the exception of latitudes  
540 exceeding 40° N/S during the colder months (Fig. 9 a). CLM-CTL ~~on the other hand~~ exhibits a lower daytime LST over the  
bare soil tiles than over ~~the~~ vegetated tiles in most ~~eases regions~~ (Fig. 9 b). CLM-Z0 captures the LST increase ~~for a conversion~~  
~~of vegetation to bare soil~~ at 13:30 in most cases (Fig. 9 c). However, the signal ~~in the latter simulation~~ is considerably stronger  
than in Du18, resulting in a higher RMSE for this simulation than in CLM-CTL. At night, the modifications in CLM-Z0  
further amplify a positive bias in the LST difference between bare ~~land minus vegetation of soil and vegetation in~~ CLM-CTL  
545 in comparison to Du18 (Fig. 9 e–h). For the DTR, Du18 finds an amplification over bare land compared to vegetation for most  
latitude–month combinations, with the exception of the high–latitudes during winter (Fig. 9 j). CLM-CTL on the other hand  
mostly exhibits a lower DTR over bare ~~soils soil~~ than over vegetation (Fig. 9 k). This bias is mitigated to some extent in CLM-  
Z0 even though a dampening of the DTR often persists in the northern mid–latitudes (Fig. 9 l). Overall, the imposed alterations  
in  $z_0$  do not result in a clear ~~and consistent~~ improvement of the LST ~~sensitivity response~~ to a conversion between vegetation  
550 and bare soil ~~in CLM~~, but clearly ~~do~~ alter this sensitivity. ~~Note that some~~ ~~Some~~ discrepancies between Du18 and the CLM  
simulations might also arise from the ~~neglect absence~~ of atmospheric feedbacks ~~due to in~~ the sub–grid approach, ~~which is used~~  
~~to diagnose the land cover sensitivity~~ in CLM (note that the subgrid approach would still neglected atmospheric feedbacks in the  
CESM simulations; for more information see Chen and Dirmeyer, 2020). In addition, the ~~cloud masking cloud masking~~ based  
on the incoming solar radiation could potentially introduce ~~errors in CLM mismatches between CLM and Du18~~, in particular  
555 ~~for the nighttime signal at night~~. Further, preferential occurrence of clouds over vegetation or bare soil ~~might could~~ introduce  
biases in Du18. In fact, a recent study observed increased low level cloud cover over forests compared to short vegetation,  
using a similar methodology as in Du18 (Duveiller et al., 2021).

**Figure 9.** (~~Previous Next~~ page) **LST sensitivity in Du18 and CLM to conversion of vegetation to bare land.** Panels (a)–(d), LST difference  
between bare soil minus vegetated land at 13:30 local solar time ( $\Delta LST_{max}$ ). Seasonal and latitudinal variations of ( $\Delta LST_{max}$ ) in (a) the  
observation–based estimate of Du18, (b) CLM-CTL, and (c) CLM-Z0. Points with a mean which is significantly different from 0 in a  
two–sided t–test at 95% confidence level are marked with a black dot. All data from the 2008–2012 analysis period corresponding to a  
given latitude and a given month are pooled to derive the sample set for the test. The numbers next to the titles are the area–weighted  
spatiotemporal root–mean–squared deviation of the respective simulation against Du18. Panel (d) shows the zonal annual mean of Du18  
(black, range between the 10<sup>th</sup> and 90<sup>th</sup> percentiles in gray), CLM-CTL (blue, range between the 10<sup>th</sup> and 90<sup>th</sup> percentiles in blue), and  
CLM-Z0 (red, range between the 10<sup>th</sup> and 90<sup>th</sup> percentiles in orange). Note that on this subfigure results have been smoothed latitudinally  
with a simple moving average over 4°. CLM data are cloud–masked based on the incoming shortwave radiation. Panels (e)–(h) the same for  
the LST difference at 01:30 local solar time and panels (j)–(m) for the diurnal temperature range.

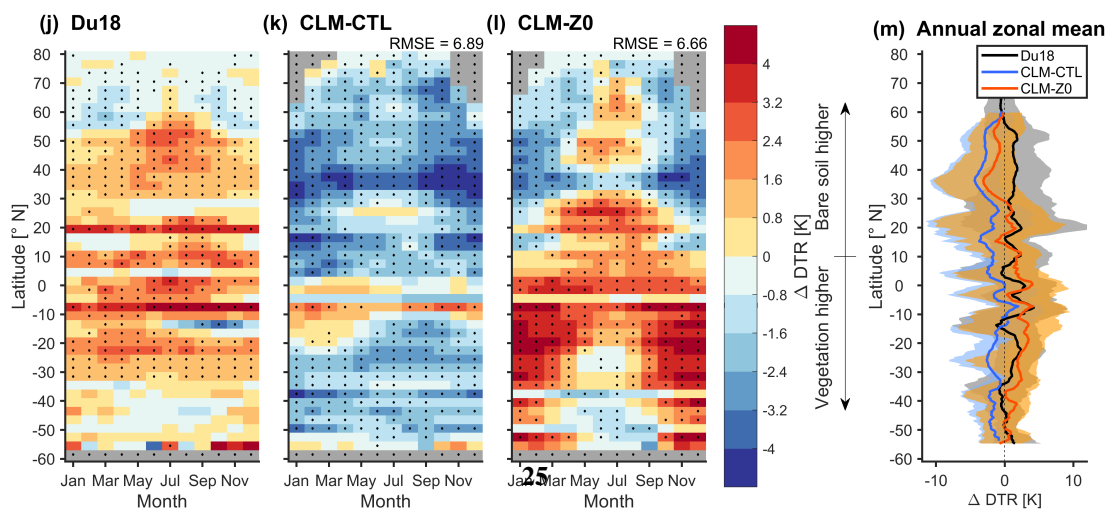
13:30



01:30



DTR



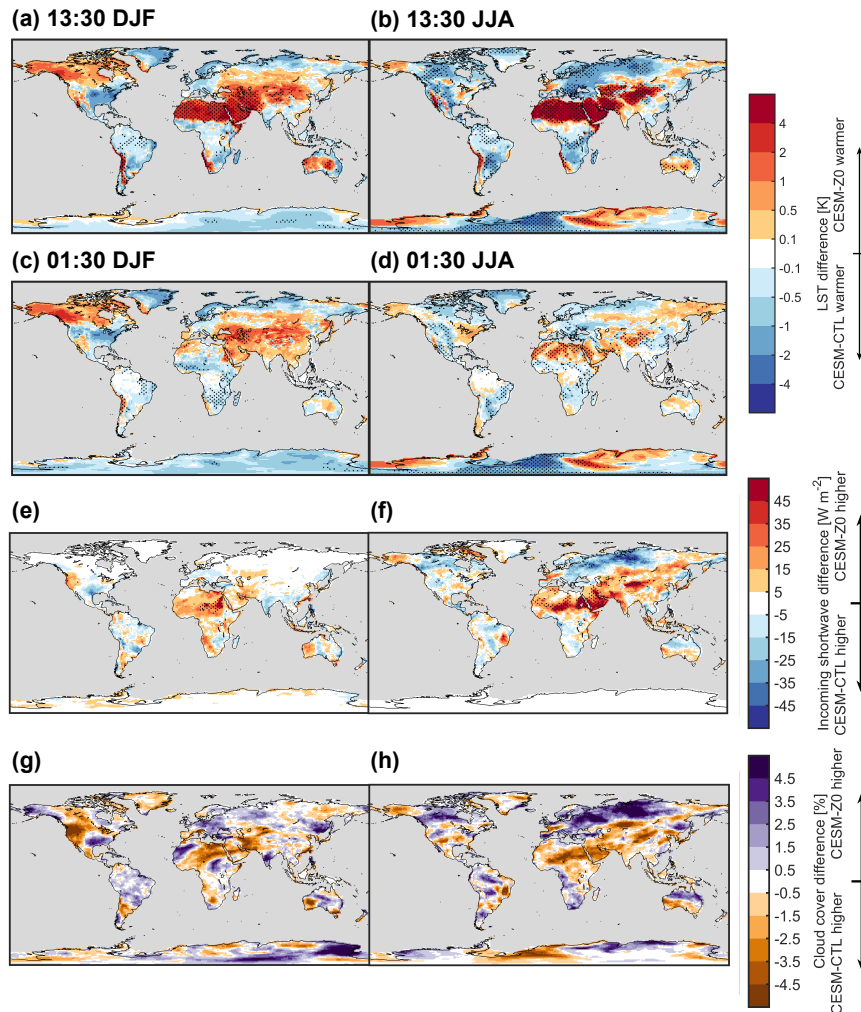
## 5.2 Effect in land–atmosphere coupled simulations

So far, we have assessed the effect of the alterations in  $z_0$  in CLM simulations forced by the GSWP3 reanalysis data land-only simulations. However, the resultant alterations of the turbulent fluxes at the land surface changes in land turbulent fluxes may also affect the atmosphere, which is neglected in land-only simulations. Therefore, we present the effect of the imposed  $z_0$  modifications in land–atmosphere coupled simulations using CESM in this section simulations.

### 5.2.1 LST response

At low latitudes, the LST at 13:30 in CESM–Z0 increases over the deserts and decreases in most regions with dense vegetation, similar to the offline land-only simulations (Fig. 10 a and b). However, the daytime warming in deserts is stronger in CESM than in CLM the coupled simulations (Fig. 7). It therefore appears that atmospheric feedbacks trigger an additional warming of the land surface in these regions. Indeed, we find an increase in incoming shortwave radiation accompanied by a reduction in cloud cover, which is most notable over the Sahara and the Middle East (Fig. 10 e–h and Figs. A4 and A1). An increase in cloud coverage as a consequence of Previous studies have found that an increase in the sensible heat flux was found in previous studies favours cloud coverage (Khanna et al., 2017; Bosman et al., 2019). It is therefore possible that the reduction in cloud coverage over desert regions in CESM–Z0 is a by-product of the lower sensible heat flux in this simulation. Over the northern mid- and high-latitudes, a reduction an increase in cloud cover during summer coincides in turn with with a reduction in daytime LSTs in CESM–Z0 due to less incoming shortwave radiation (Figs. A4). The LST response at night is often weaker but of the same sign as the daytime signal in CESM, similar to the offline land-only simulations (Fig. 10 c and d). However, no distinct nighttime warming emerges over mid-latitude forests during the summer season at night in CESM, which was the case in CLM the coupled simulations (compare Figs. 7 d and 10 d). In the mid- and high-latitudes, changes in LST often exhibit a similar spatial pattern to surface air temperature changes, which are discussed in more detail in the next section (compare Fig. 10 and Fig. A6). In particular, the warming of the LST during winter in CESM–Z0 over Alaska and Western Canada appears to be related to more incoming longwave radiation at the land surface (Fig. A1), which could be the result of warmer atmospheric temperatures in this region (Fig. A6 a).

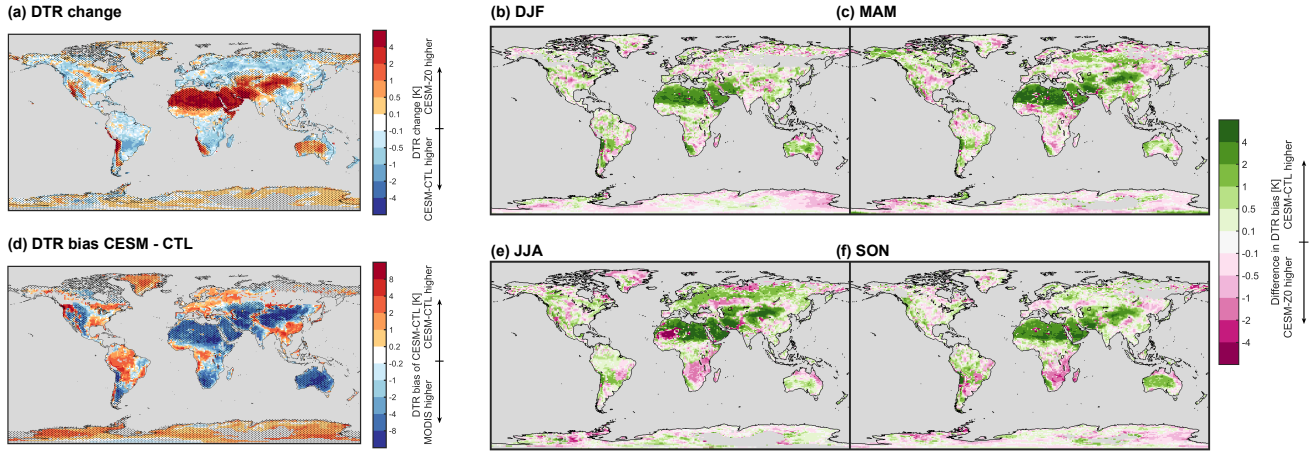
Compared to the MODIS observations, CESM–CTL underestimates the DTR in LST in most areas with the notable exceptions of the polar regions and parts of the Amazon over arid and semi-arid areas even more than CLM–CTL (Fig. 11 b). As the case in the offline simulations, this underestimation is most distinct in the warm deserts d). On the other hand the DTR is overestimated over most regions with dense vegetation and regions with permanent ice sheets. Again, the reduced  $z_{0m,g}$  amplifies the DTR in those warm desert regions producing an improved agreement with the remote sensing observations (Fig. 11). Apart from these regions, the results are more mixed. Still, there is a clear improvement over the northern mid-latitudes during boreal summer. Yet, the alterations of  $z_0$  in CESM–Z0 alone do not alleviate the widespread underestimation do not entirely alleviate the existing biases in the LST DTR of CESM entirely (Fig. A7). The remaining biases may not only originate from deficien-



**Figure 10.** LST difference between CESM-Z0 and CESM-CTL at (a), (b) 13:30 local solar time and (c), (d) 01:30 local solar time. Panels (e) and (f), difference in incoming shortwave radiation at 13:30 local solar time between CESM-Z0 and CESM-CTL and bottom row difference in daily average total cloud cover. The stippling shows areas with a difference that is statistically significant different from zero in a two-sided t-test at 95% confidence level with a controlled false discovery rate. Left column boreal winter (DJF) and right column boreal summer (JJA). Note the non-linear colour scale for panels (a)–(d).

590 cies ~~at the land surface in the land model~~ itself but could also be related to atmospheric ~~components such as the radiation~~  
~~scheme~~ processes such as radiative transfer in the air column.





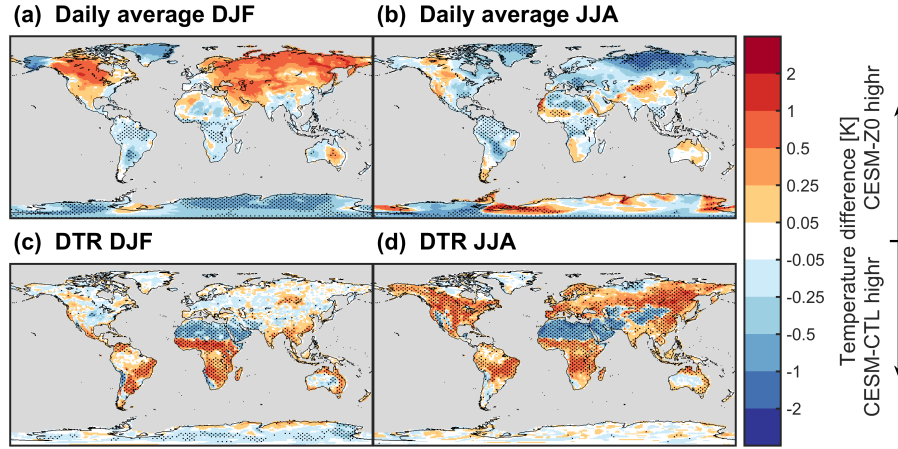
**Figure 11.** As Fig. 8 but for land–atmosphere coupled simulations CESM–Z0 and CESM–CTL. CESM data are cloud–masked.

### 5.2.2 Response in surface air temperature and comparison to LST

The altered surface energy fluxes ~~thus~~ also affect air temperatures at the bottom of the atmospheric column (TBOT). The difference in daily average TBOT between CESM–Z0 and CESM–CTL exhibits considerable interannual variability. Therefore, we included the last 30 years of the spinup period to corroborate the results shown in Fig. 12 (a) and (b). Fig. A6 depicts the average TBOT response for the analysis period and the last thirty years of the spinup period separately. Even when including these additional years some pronounced features, such as the wintertime warming of average TBOT over North Asia, are still not statistically significant. Nevertheless, the wintertime average TBOT increases considerably in many regions in the northern hemisphere, showing a similar spatial pattern as the LST response (Fig. 12 a). This is linked to more incoming longwave radiation (Fig. A1). On the other hand, the increase in  $z_{0,v}$  decreases the summertime TBOT in those regions (Fig. 12 b). This can be explained by lower incoming shortwave radiation in CESM–Z0 compared to CESM–CTL (Fig. A4) as a result of higher total cloud coverage (Fig. 10 e). Consequently, less energy is available close to the land surface in CESM–Z0, cooling both the LST and TBOT. At low–latitudes, TBOT decreases mostly over the rain forests. Interestingly, CESM–Z0 also often exhibits a lower average TBOT over the Sahara in particular during boreal winter, thus opposing the LST response in sign. Further, there is a distinct band where TBOT warms in JJA over the Sahel region, while it cools both just north and south of this region ~~which emerges both during the analysis period and during the last 30 years of the spinup~~ (Fig. A6 12 b).

The effect on the DTR of TBOT in CESM–Z0 opposes the effect on the LST DTR in sign, which is ~~best-most~~ visible in Africa (compare Fig. 12 c and d to Fig. 11 a). ~~In case of a decrease in~~ Where  $z_0$  is decreased, less energy is transferred from the land ~~surface~~ into the atmosphere under unstable surface layer conditions (which are frequently present during day) and from the atmosphere to the land surface under stable conditions (frequently present at night). Consequently, the DTR at the land surface (LST) is amplified, while the DTR is dampened in the atmosphere above. This dipole between the DTR response of





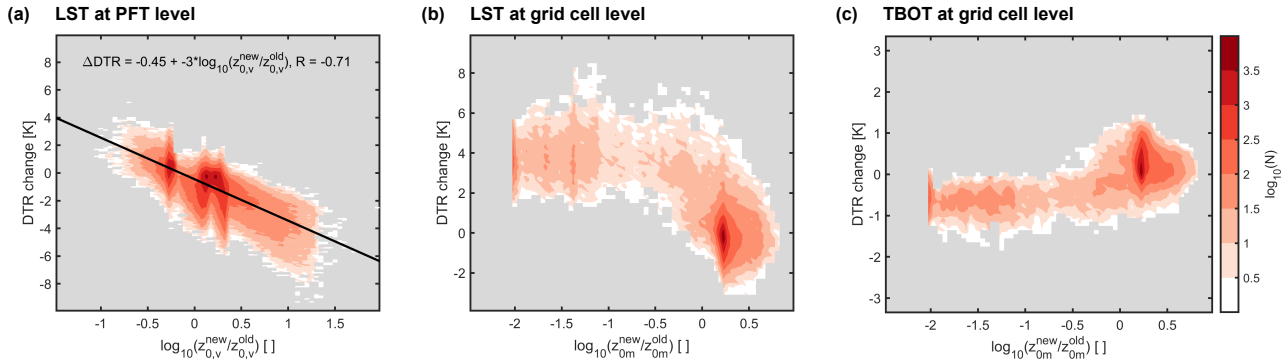
**Figure 12.** Panels (a) and (b), seasonal average difference in air temperature at the bottom of the atmospheric column (TBOT) between CESM-Z0 and CESM-CTL using data from the last 30 years of the spinup period and data from the analysis period (15 years). Below difference in TBOT diurnal temperature range (DTR). The stippling shows areas with a difference that is statistically significant different from zero in a two-sided t-test at 95% confidence level with a controlled false discovery rate. Left column boreal winter (DJF) and right column boreal summer (JJA). Note the non-linear colour scale.

LST and TBOT to alterations in  $z_0$  was previously found also in the context of deforestation in CESM (Chen and Dirmeyer, 2019) and in a number of regional climate models (Breil et al., 2020).

Fig. 13, displays how the response of the DTR in LST and TBOT scale with the change in  $z_{0m}$  for latitudes between  $30^\circ$  N/S. The DTR in LST for the individual vegetation patches (PFTs) decreases linearly with the logarithm of the ratio between the  $z_{0,v}$  in CESM-Z0 and the  $z_{0,v}$  in CESM-CTL ( $\log_{10}(z_{0,v}^{new}/z_{0,v}^{old})$ ), with a slope of  $-3.1 \pm 3$  K (when using the decimal logarithm; Fig. 13 a). In other words, a tenfold increase in  $z_{0,v}$  dampens the DTR by  $3.1 \pm 3$  K. At grid cell level, the LST DTR reacts comparably strong to the relatively small changes exhibits a similar dependence on the change in  $z_{0m}$  by between CESM-Z0 and CESM-CTL, if  $z_{0m}$  changes by no more than a factor of 3 or less, as visible by values between -0.5 to 0.5 on the x-axis in Fig. 13 b. For stronger reductions in  $z_{0m}$  over desert regions the amplification of the LST DTR saturates at approximately 4 K. This scale dependence likely originates (values below -0.5 on the x-axis). It therefore appears that the distinct linear relation of the LST DTR to  $\log_{10}(z_{0,v}^{new}/z_{0,v}^{old})$  at PFT-level does not hold at grid cell level for strong reductions in  $z_{0m}$ . This effect might originate from several factors. First, smaller changes in  $z_{0m}$  in-between CESM-Z0 compared to and CESM-CTL occur over vegetation, while the strong reductions occur over bare soil (compare Fig. 4 to Fig. 5 a and d). It might therefore be that the LST reacts stronger more strongly to alterations of  $z_{0,v}$  than to alterations of  $z_{0m,g}$  due to the smaller thermal inertia of vegetation compared to soils. Second, different types of land cover with varying changes in  $z_{0m}$  are mixed at the grid cell level. For some PFT patches,  $z_{0,v}$  increases by more than an order of magnitude (i.e.,  $\log_{10}(z_{0,v}^{new}/z_{0,v}^{old}) > 1$ ), which is never the case for entire grid cells. We therefore cannot establish from our model experiments how the DTR would react to increases in  $z_{0m}$  by an order of magnitude at grid cell level. Third, our sensitivity experiments in Appendix A2 show

630 that the ~~concurrent reduction-reductions~~ of  $z_{0m,g}$  in combination with the alterations  $z_{0,v}$  amplify the response of the LST DTR over vegetation, compared to a simulation where only  $z_{0,v}$  changed. And ~~forth~~fourth, the sensitivity experiments indicate that the introduction of Ya08 for  $z_{0h,g}$  and  $z_{0q,g}$  moderates the ~~LST DTR response to the decrease in effect of the decreased~~  $z_{0m,g}$  over the Sahara ~~on the LST DTR~~.

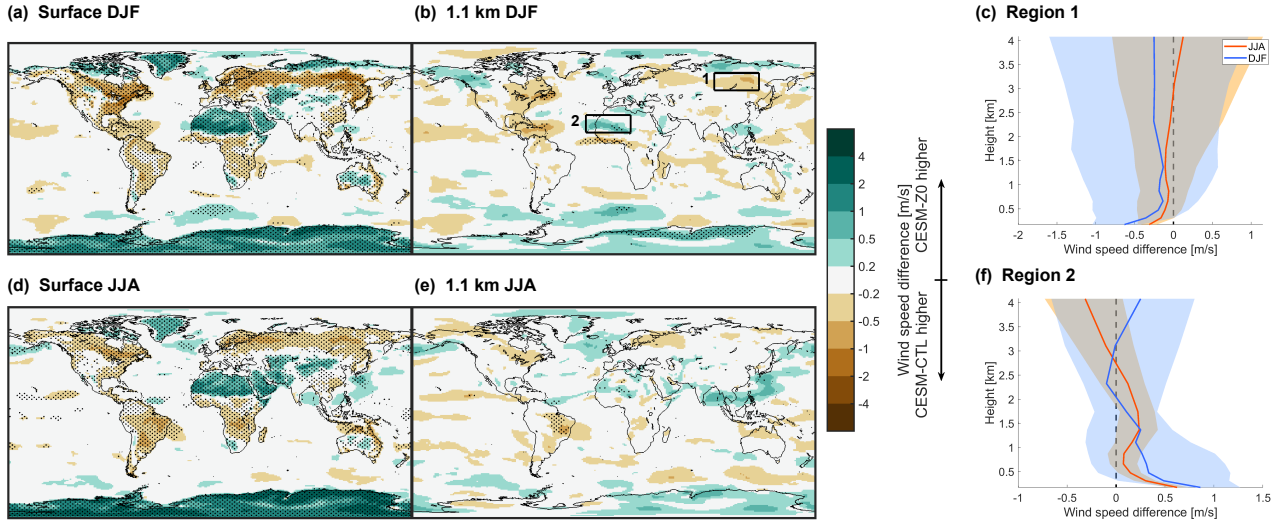
Again, the dipole between the LST DTR response and the TBOT DTR response can be observed when comparing panels (b) and (c) in Fig. 13. The two variables are clearly mirrored in sign. However, the response in TBOT DTR is considerably weaker than the one of LST. This is likely owed to the differing nature of these two variables. The LST is computed from ~~longwave radiation emitted by the land surface and the temperatures of the leaves and the ground and~~ is therefore tightly ~~coupled-linked~~ to the energy redistribution at the land surface. ~~TBOT is in contrast~~ In contrast, TBOT is affected not only by the energy redistribution at the land surface, but also by lateral and vertical mixing of air masses. This mixing may explain why the TBOT  
640 DTR response is generally weaker than the LST DTR response.



**Figure 13.** Panel (a), density plot of change in multi-year monthly mean LST DTR at PFT-level of CESM-Z0 minus CESM-CTL versus the decimal logarithm of the ratio of  $z_{0,v}$  in CESM-Z0 divided by  $z_{0,v}$  in CESM-CTL. ~~Bin size~~ Bin size on x-axis is 0.05 and on y-axis 0.1 K. Colour scale on the very right shows the decimal logarithm of the number of tiles that fall within the respective bin. Multi-year monthly mean data of all PFTs excluding bare soil between 30° N/S was used to generate this figure. Panels (b) and (c), the same for the LST DTR (b) and TBOT DTR (c) at grid cell-level and the maximum of  $z_{0m,g}$  and  $z_{0,v}$ . Bin size on y-axis in panel (c) is 0.05 K. Black line in panel (a) shows linear fit with its formula and the Pearson correlation coefficient (R) above. Note the differing ranges of the y-axis for the different panels.

### 5.2.3 Response ~~in~~of surface wind speed

So far, our analysis was focused on temperatures at and above the land surface. The identified temperature changes in CLM-Z0 and CESM-Z0 are closely linked to alterations of the surface energy redistribution, even though some contributions from atmospheric feedbacks emerged in the coupled simulations. However, the modifications in The changes in  $z_{0m}$  also affect the  
645 drag exerted by the land ~~surface and thereby most likely wind speeds, and thus the wind speed~~, at least close to the ~~surface~~.  
Indeed the land surface. The wind speed at the lowest atmospheric level increases notably in ~~CLM-Z0~~ CESM-Z0 over desert regions, where  $z_{0m}$  was lowered, such as the Sahara, Greenland, or the Antarctica (Fig. 14 a and ~~ed~~). The remaining land mass is dominated by reductions in surface wind speed, consistent with the ~~increase~~ increases in  $z_{0,v}$  that were introduced for most vegetation types in ~~CLM-Z0~~ CESM-Z0. These alterations of surface wind speed decay relatively ~~fast~~ abruptly with  
650 height and are only rarely significant at a height of 1.1 km (Fig. 14 b and ~~fe~~). Even over the Sahara, where wind speeds close to the surface increase considerably, this signal disappears about 2.5 km above the surface (Fig. 14 ~~d~~). ~~There are also few regions over the oceans where CLM-Z0 exhibits significant changes in surface wind speed. Unlike wind speed changes over land, these features are present even stronger at higher altitudes (Fig. 14 g and h). This makes sense as the  $z_{0m}$  over oceans was not modified in CESM-Z0. Therefore, surface wind speed alterations over oceans are driven by wind speed changes higher up~~  
655 ~~rather than alterations of the surface (momentum) fluxes. f).~~



**Figure 14.** Seasonal mean wind speed difference of CESM–Z0 minus CESM–CTL at lowest atmospheric level (a, [ed](#)) and approximately 1.1 km above sea-level (b, [fe](#)). Top row, boreal winter (DJF) and bottom row boreal summer (JJA). The stippling shows areas with a difference that is statistically significant different from [zero-0](#) in a two-sided t-test at 95% confidence level with a controlled false discovery rate. Note the non-linear colour scale. Panels (c) [, \(d\), \(g\), and \(h\)](#), profile of area-weighted mean wind speed difference in DJF (blue) and JJA (red) in regions 1 (c) [, and 2 \(df\), 3 \(g\), and 4 \(h\)](#), which are marked in panel (b). Line depicts median wind speed difference across all seasonal means and shading range between 10<sup>th</sup> and 90<sup>th</sup> percentile. Height is calculated assuming a surface pressure of 1013.2 hPa, a surface air [temeprature-temperature](#) of 288.15 K, and a constant lapse rate of 6.5 K km<sup>-1</sup>. Data from the last 30 years of the spinup period and data from the analysis period (15 years) were used for this figure.

## 6 Conclusions

In this study, we have compared the representation of  $z_0$  in CLM to observations and parameterizations that exist in the literature, conducted revisions of CLM when clearly supported by this comparison, and assessed the impact of these revisions on simulated temperatures [at the land surface](#) and wind speed. Specifically, we introduced the parameterization proposed by [Raupach \(1992\)](#) for the  [\$z\_0\$  of vegetation  \$z\_{0,v}\$](#) , where parameter choices were optimized [such that the parameterization conforms with the to match the](#) observational data of [Hu et al. \(2020\)](#). The  $z_0$  of forests is increased considerably with this new parameterization, while [the one of  \$z\_0\$  for](#) crops is decreased. Further, the [revised  \$z\_0\$  of broadleaf deciduous forests](#) exhibits [now](#) a minimum during the growing [phase season](#) as observed in [several studies](#). [The globally constant value for  \$z\_{0m}\$  over bare soil, snow, and glaciers of the default version of CLM is clearly overestimated in comparison the observations collected from the](#) literature. [Therefore,  \$z\_{0m}\$  is decreased from  \$1e-2\$  the field. Based on our literature synthesis, the globally constant values for  \$z\_{0m,b}\$ ,  \$z\_{0m,s}\$ , and  \$z\_{0m,i}\$  are reduced from  \$1.0 \times 10^{-2}\$  to  \$8.4e-4 \times 10^{-4}\$  m, from  \$2.4e-3 \times 10^{-3}\$  to  \$7.8e-4 \times 10^{-4}\$  m, and from  \$1e-2\$   \$1.0 \times 10^{-2}\$  to  \$2.3e-3 \times 10^{-3}\$  m for bare soil, snow, and glaciers, respectively. Alternatively, the spatially explicit  \$z\_{0m,b}\$](#)

input field from Prigent et al. (2005) ~~may-can~~ be activated in the revised model version. Similarly, the user may activate the parameterization of Brock et al. (2006) for  $z_{0m,s}$  as a function of accumulated snow melt. Finally, we ~~replaced-replace~~ the parameterization of Zeng and Dickinson (1998) for  $z_{0h,g}$  and  $z_{0q,g}$  with the parameterization of Yang et al. (2008). Overall, our proposed modifications increase  $z_{0m}$  in most areas dominated by vegetation, while  $z_{0m}$  is decreased considerably in desert regions.

~~We then assess the effect of these modifications in CLM offline and CESM land-atmosphere coupled simulations.~~ The decrease of  $z_{0m,g}$  ~~warms-is found to warm~~ the land surface in warm deserts ~~considerably-during during the~~ day and, to a lesser extent, ~~during night. On the other hand, the also at night. The~~ LST decreases over the cold deserts in particular during the winter season. The impact of the raised  $z_{0,v}$  varies diurnally, with a cooling effect during day and a warming effect at night. In ~~CESM land-atmosphere coupled simulations,~~ the daytime warming of LST over warm deserts is amplified compared to ~~CLM,~~ ~~associated-with land-only due to~~ a decrease in cloud cover ~~and-the resultant leading to an~~ increase in incoming solar radiation. Overall, the ~~imposed-proposed~~ model modifications reduce biases in the LST DTR compared to MODIS both over warm deserts, where the DTR is underestimated, and in regions dominated by forests, where the DTR tends to be overestimated. Also, the revisions of  $z_0$  alter the local LST response to a conversion of vegetation to bare land ~~considerably,~~ which could be relevant for the simulated biogeophysical effect of desertification. The sensitivity of the LST at 13:30 and the DTR improves in CLM-Z0, while the nighttime sensitivity deteriorates compared to ~~observational data~~ [Du18](#). The response in the TBOT DTR ~~in CESM~~ opposes the sign of the LST DTR response, with an amplification in forested regions and a dampening over warm deserts ~~in CESM. Further, surface-~~ ~~Surface~~ wind speeds increase over desert areas, while they decrease in regions with forests. These alterations in surface wind speed typically disappear beyond approximately 1 km above the land surface.

~~While our~~ Overall, our results highlight the importance of  $z_0$  for the exchange of energy, water, and momentum between the land surface and the atmosphere and through that for surface temperatures and wind speed. Beyond these, there are several potential impacts we did not explore in this study. For example, we did not evaluate how these changes might affect the exchange of greenhouse gases between the land and the atmosphere, be it directly through alterations of the turbulent exchange of such gases or indirectly through biogeophysical effects that affect biogeochemical processes such as photosynthesis or respiration. Further, the resultant increase in surface wind speed in arid and semi-arid regions are likely to affect mineral dust emissions and might thereby alter dust aerosol loading in the atmosphere (Csavina et al., 2014; Wu et al., 2019).

~~Even though our~~ revisions of  $z_0$  oftentimes improve the simulated LST DTR compared to MODIS, some considerable biases persist, ~~in particular in the case of CESM.~~ Such biases are at least partly related to inadequate properties of the land surface other than  $z_0$ . For example, the surface emissivity varies considerably across different types of land cover (Jin and Liang, 2006). Values as low as 0.9 are observed over the Sahara desert, ~~differing strongly from the which is much lower than the prescribed~~ value of 0.96 for soils ~~in CLM. Jin and Liang (2006) demonstrate that such a change in the emissivity can alter the simulated temperature and surface energy fluxes relevantly. Additionally, several steps are already underway used in CLM~~ and might therefore affect simulated LSTs compared to MODIS (Jin and Liang, 2006). Next,  $z_{0h,g}$  and  $z_{0q,g}$  frequently exceed  $z_{0m,g}$  in the revised model, since the formulation of Yang et al. (2008) often increases  $z_{0h,g}$  and  $z_{0q,g}$  while  $z_{0m,g}$  is decreased. Field studies on the other hand only rarely observe lower values for  $z_{0m,g}$  than  $z_{0h,g}$  and  $z_{0q,g}$ . This behaviour highlights a

potential drawback of the formulation of Yang et al. (2008), which decouples  $z_{0h,g}$  and  $z_{0q,g}$  to some extent from  $z_{0m,g}$ . This feature is unique compared to most other formulations in the literature that link  $z_{0h,g}$  and  $z_{0q,g}$  directly to  $z_{0m,g}$ . Nevertheless, the two modifications in combination improved the diurnal LST variability compared to MODIS over most desert areas. For vegetation, several development activities are underway within CLM to improve the diurnal variability of temperatures and surface fluxes ~~over vegetation in CLM~~. Bonan et al. (2018) replace the big-leaf approach in CLM with a multi-layer canopy and introduce a roughness sublayer parameterization for tall canopies. The latter modification could ultimately replace  $z_{0,v}$  entirely. Further, the recent addition of biomass heat storage to CLM improved the realism of simulated energy fluxes and LSTs over forests (Swenson et al., 2019; Meier et al., 2019). ~~Some~~ Finally, some discrepancies between our simulations and MODIS could also be related to the ~~coupling atmospheric forcing~~ fields that CLM receives, be it from the GSWP3 reanalysis data in the case of the ~~CLM-land-only~~ simulations or from the atmospheric component of CESM for the coupled simulations.

~~We would like to emphasize the value of  $z_0$  observations for this work, but also for other efforts of model and parameterization development. Several decades of endeavours to observe  $z_0$  allow to better constrain it in models and understand its relation to conditions at the land surface. Yet, knowledge gaps remain in particular for ice sheets. In situ observations indicate that  $z_{0m,t}$  varies substantially, likely related to variations in the structure of the ice (Broek et al., 2006; Fitzpatrick et al., 2019). However, the surface structure of the ice is not explicitly simulated in earth system models. Therefore, remote sensing-based data of  $z_{0m,t}$  over the ice sheets might be a good solution to capture such spatial variations in  $z_{0m,t}$ , similar to what already exists for  $z_{0m,b}$ . In urban environments,  $z_0$  is not only closely linked to mean building height and the density of buildings, but also to the variability of the building height (Nakayama et al., 2011; Kanda et al., 2013). If a global data set of variability of building heights in urban environments becomes available, it could therefore be considered as an additional input variable to compute  $z_0$  in the urban module of CLM.~~

While observations of  $z_0$  provide valuable information for model development, the assumptions within the model world can differ from the assumptions made to estimate  $z_0$  in the field. For example, the formulations for the stability correction functions in Hu20 differ from the ones ~~used~~ in CLM. Consequently, CLM would produce slightly different turbulent fluxes than measured ~~and used to derive  $z_0$~~  in the field, even if conditions are exactly the same. We would like to highlight that the current approach in CLM of dividing grid cells into tiles of differing land covers does not ~~further~~ specify how the different land covers are situated within this cell. For example, CLM treats a savanna covered by sparse trees and grasses the same as one large forest next to a grassland landscape (given that the two types of vegetation and the area fraction covered by each vegetation type are roughly the same). But in terms of  $z_0$  and other surface properties these two landscapes differ. It might therefore be ~~a consideration necessary~~ to further refine the tile approach in CLM, such that these two landscapes may be distinguished. In CLM, the ecosystem demography model FATES resolves this issue to some extent (Fisher et al., 2015). However, our updates of  $z_{0,v}$  after Ra92 are not yet implemented in this version of the model.

~~Overall, our results highlight the importance of  $z_0$  for the exchange of energy, water, and momentum between the land surface and the atmosphere and through that for temperatures. We would like to emphasize the value of  $z_0$  observations for this work, but also for other efforts of model and parameterization development. Several decades of observations of  $z_0$  allow for better constraint of models and a better understanding of how  $z_0$  is influenced by conditions at the land surface as~~

well-surface wind speed. Beyond these, there are several avenues of impacts we did not explore in this study. For example, we disabled the carbon cycle in our simulations. Thus, we ignore potential consequences. Yet, knowledge gaps remain, for example for ice sheets. In situ observations indicate that  $z_{0m,i}$  varies substantially, likely related to variations in the structure of the ice (Brock et al., 2006; Fitzpatrick et al., 2019). However, the surface structure of the ice is not explicitly simulated in earth system models. Remote sensing-based data of  $z_{0m,i}$  over the ice sheets might be a good solution to capture such spatial variations in  $z_{0m,i}$ , similar to what already exists for  $z_{0m,b}$  (e.g.; Prigent et al., 2005). In urban environments,  $z_0$  is not only closely linked to mean building height and the density of buildings, but also to the variability of the building height (Nakayama et al., 2011; Kanda et al., 2013). If a global data set of variability of building heights becomes available, it could therefore be considered as an additional input variable to compute  $z_0$  in the urban module of CLM. For vegetation, we focused on the  $z_0$  for the exchange of greenhouse gases between the land and the atmosphere, be it directly through alterations of the turbulent exchange of such gases or indirectly through biogeophysical effects that affect biogeochemical processes such as photosynthesis or respiration. Further, the resultant increase in surface wind speed in arid and semi-arid regions are likely to affect mineral dust emissions (Csavina et al., 2014) and might thereby affect existing model biases in CESM (Wu et al., 2019). between the canopy air space and the free atmosphere, but did not consider the conductances for sensible and latent heat between the leaves/ground and the canopy air space. Hu et al. (2020) and the recent study of Young et al. (2021) focus both on  $z_{0h,v}$  alongside  $z_{0m,v}$ . Future studies could therefore develop a framework to confront the leaf surface conductance for sensible heat in CLM with such observational constraints of  $z_{0h,v}$ .

*Code and data availability.* The CLM code, the CESM code, Du18, and the estimated climatology of the incoming shortwave radiation at the land surface in GSWP3 under clear-sky conditions are available at <https://doi.org/10.3929/ethz-b-000503165>. MYD11C3 can be downloaded from <https://lpdaac.usgs.gov/products/myd11c3v006/> and Land Cover CCI from <http://maps.elie.ucl.ac.be/CCI/viewer/download.php>. For the data from Hu et al. (2020) contact Xiaolong Hu and for the data from Prigent et al. (2005) Catherine Prigent. Any model output is available upon request from Ronny Meier.

## Appendix A: Appendix

### A1 Sensitivity tests to isolate contributions from individual modifications

Besides CLM-CTL and CLM-Z0, we run a number of additional ~~simulations~~ 15-year simulations, which are summarized in Table A1, to better understand the importance of the individual modifications introduced in CLM-Z0, ~~which are summarized in Table A1~~. First of all, we run a simulation, CLM-Z0C, that follows the same protocol as CLM-Z0, but with the median values for  $z_{0m,b}$  and  $z_{0m,s}$  depicted in Fig. 2 instead of using the spatially explicit data of Prigent et al. (2005) and the parameterization of Brock et al. (2006), respectively. This simulation uses the initial conditions from the spinup of CLM-Z0. Additionally, we start three ~~15-year~~ simulations starting from the initial conditions of CLM-CTL that only utilize a subset of the modifications described in the Section 2. CLM-VEG uses only the parameterization of Raupach (1992) for  $z_{0,v}$  but preserves the default

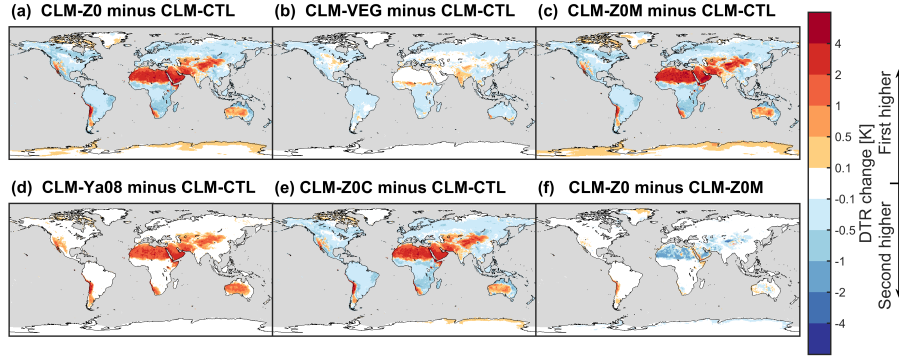


for  $z_0$  otherwise. In CLM–Z0M, we introduce all the modifications related to  $z_{0m}$  but retain the formulation of Zeng and Dickinson (1998) for  $z_{0h,g}$  and  $z_{0q,g}$ . CLM–Ya08 on the other hand applies the formulation of Yang et al. (2008) for  $z_{0h,g}$  and  $z_{0q,g}$  and uses the default representation of  $z_{0m}$ . For ~~the latter three simulations~~ this analysis we use the years 1998–2002 as an additional spinup period and only analyze 2003–2012.

**Table A1. Overview of CLM simulations.** From left to right, name of simulation, parameterization for  $z_{0,v}$ ,  $z_{0m,b}$ ,  $z_{0m,s}$ , choice of  $z_{0m,i}$ , parameterization for  $z_{0h,g}$  and  $z_{0q,g}$ , and initial conditions used. Parameterizations and data sets that are marked with an asterisk were modified before including them in CLM.

Simulation	$z_{0,v}$	$z_{0m,b}$	$z_{0m,s}$	$z_{0m,i}$	$z_{0h,g}$ , $z_{0q,g}$	Initial cond.
CLM–CTL	Zeng and Wang (2007)	0.01 m	0.0024 m	0.01 m	Zeng and Dickinson (1998)	50–year spinup
CLM–Z0	Raupach (1992)*	Prigent et al. (2005)*	Brock et al. (2006)*	0.0023 m	Yang et al. (2008)	50–year spinup
CLM–Z0C	Raupach (1992)*	0.00085 m	0.00078 m	0.0023 m	Yang et al. (2008)	CLM–Z0
CLM–VEG	Raupach (1992)*	0.01 m	0.0024 m	0.01 m	Zeng and Dickinson (1998)	CLM–CTL
CLM–Z0M	Raupach (1992)*	Prigent et al. (2005)*	Brock et al. (2006)*	0.0023 m	Zeng and Dickinson (1998)	CLM–CTL
CLM–Ya08	Zeng and Wang (2007)	0.01 m	0.0024 m	0.01 m	Yang et al. (2008)	CLM–CTL

Here, we compare the effect on the annual mean LST DTR of the different sensitivity experiments in comparison to CLM–CTL. The alterations in  $z_{0,v}$  alone introduced in CLM–VEG decrease the DTR in regions dominated by forests (where the  $z_{0,v}$  is increased) and increase it in regions with a considerable amount of crops (for which  $z_{0,v}$  is decreased) ~~compared to CLM–CTL~~ (Fig. A1 b). Interestingly, the response in forested regions is often weaker in CLM–VEG than in CLM–Z0 or even reversed in sign in ~~the Sahel region~~ central North America (Fig. A1 a). The full signal strength in regions dominated by vegetation only emerges, when the alterations of  $z_{0m,g}$  are introduced in CLM–Z0M (Fig. A1 c). It ~~thus~~ appears that a decrease in  $z_{0m,g}$  under a closed canopy dampens the LST DTR more than an increase in  $z_{0,v}$  in isolation. The opposite is the case over warm desert areas. Somewhat unexpected, the amplifications of diurnal variations in LST over arid and semi–arid regions is moderated when Ya08 is introduced in CLM–Z0 compared CLM–Z0M over most of the Sahara, the Middle East, and the Himalaya (Fig. A1 f). On the other hand, the introduction of the Ya08 parameterization for  $z_{0h,g}$  and  $z_{0q,g}$  with the default  $z_{0m,g}$  in CLM–Ya08 enhances the LST DTR (Fig. A1 d). Ya08 therefore amplifies the diurnal LST variability for relatively large values of  $z_{0m,g}$  (which are used in CLM–Ya08 and CLM–CTL), while it dampens this variability for small  $z_{0m,g}$  values (which are used in CLM–Z0M and CLM–Z0) compared to the parameterization of Zeng and Dickinson (1998). The globally constant  $z_{0m,b}$  in CLM–Z0C is larger than the spatially explicit data in Pr05 (Fig. 2). Also,  $z_{0m,s}$  is higher in CLM–Z0C over most regions than in CLM–Z0, with the notable exception of some areas of Greenland (not shown). Thus,  $z_{0m,g}$  is generally decreased less in CLM–Z0C than in CLM–Z0 in comparison to CLM–CTL. Accordingly, the response in the LST DTR tends to be slightly smaller in magnitude in CLM–Z0C than in CLM–Z0 (Fig. A1 a and e). Overall, there is however no major difference between CLM–Z0C and CLM–Z0.



**Figure A1.** As Fig. 8 Difference in LST diurnal temperature range ( $\Delta DTR$ ) but over 2003–2012 for (a) CLM–Z0 minus CLM–CTL, (b) CLM–VEG minus CLM–CTL, (c) CLM–Z0M minus CLM–CTL, (d) CLM–Ya08 minus CLM–CTL, (e) CLM–Z0C minus CLM–CTL, and (f) CLM–Z0 minus CLM–Z0M.

## A2 Energy balance decomposition

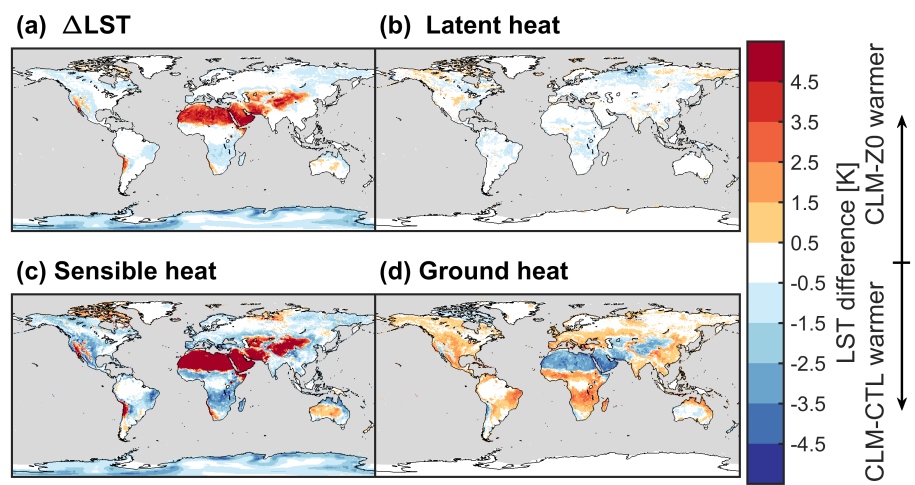
In this section we present an energy balance decomposition after Luyssaert et al. (2014) to better understand the contribution of changes in individual energy fluxes to the overall change in LST between CLM/CESM–CTL and CLM/CESM–Z0. Assuming the emissivity of the land surface is equal to  $\text{one}$ , the change in LST ( $\Delta LST$ ) is expressed as follows:

$$\Delta LST = \frac{1}{4\sigma LST^3} (-SW_{in}\Delta\alpha + (1-\alpha)\Delta SW_{in} + \Delta LW_{in} - \Delta LH - \Delta SH - \Delta G - \Delta I), \quad (A1)$$

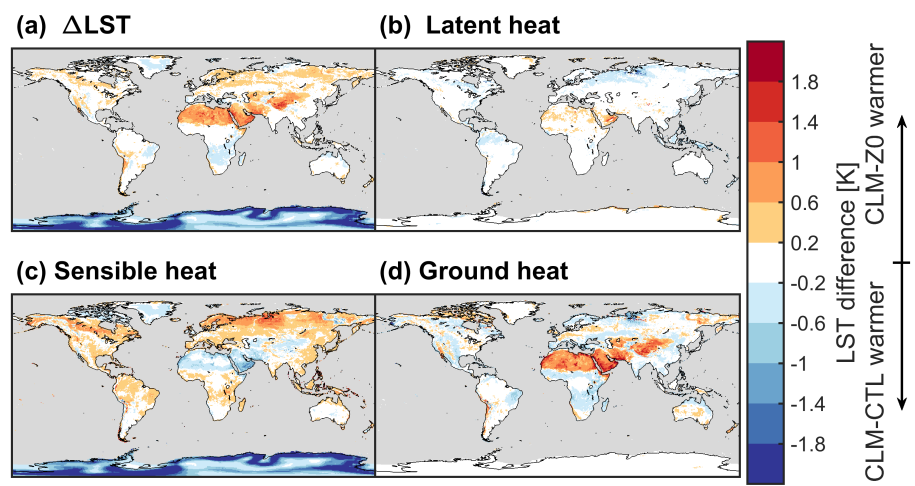
where  $\sigma$  is the Stefan–Boltzmann constant,  $SW_{in}$  is the incoming shortwave radiation,  $\alpha$  is the albedo,  $LW_{in}$  is the incoming longwave radiation,  $LH$  is the latent heat flux,  $SH$  is the sensible heat flux,  $G$  is the ground heat flux, and  $I$  is the energy imbalance.  $\Delta X$  corresponds to the difference in variable  $X$  between CLM/CESM–Z0 and CLM/CESM–CTL. We take the average of CLM/CESM–Z0 and CLM/CESM–CTL for the variables for which no difference is taken between these two simulations (e.g.,  $SW_{in}$  for the first term in the brackets). The terms on the right hand side of Eq. A1 correspond to the change in LST due to the change in albedo, incoming shortwave radiation, incoming longwave radiation, latent heat, sensible heat, ground heat, and the energy imbalance from left to right.

Fig. A2 shows the most important terms of the energy balance decomposition at 13:30 during boreal summer in the offline simulations. Changes in LST during the day between CLM–CTL and CLM–Z0 are mostly the result of alterations in  $SH$ . The contribution from  $SH$  is most of the time compensated partly by  $G$ . For example, if most of the time. If, for example, the LST increases due to a reduction in  $SH$  part of this energy surplus is compensated by the energy stored in the ground (leading to a warming of the soils below the land surface). The other terms provide only little to the overall change in LST. At 01:30,  $\Delta LST$  is again driven by changes in  $SH$  in the high-latitudes (Fig. A2). At lower latitudes, in particular in the warm deserts, the strong LST response during the day frequently translates into the night through the energy stored in the ground.

810 Over the Sahara, for example, the ground absorbs more energy during the day because  $SH$  is reduced, resulting in warmer ground-surface temperatures a warmer LST at night.



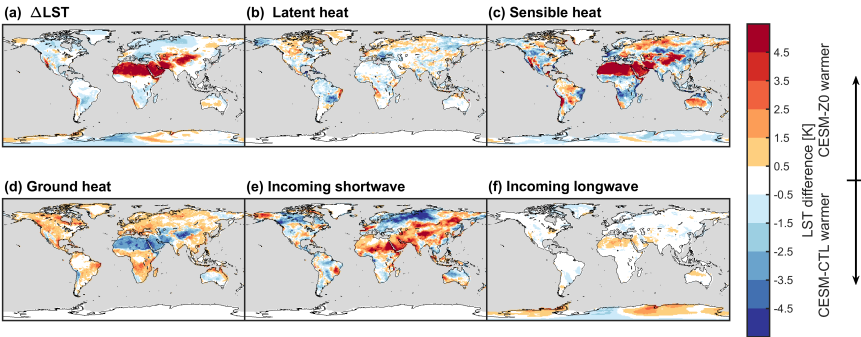
**Figure A2.** Energy balance decomposition for change in LST at 13:30 local solar time in boreal summer of CLM-Z0 minus CLM-CTL. Panel (a) change in LST, (b) contribution from change in latent heat, (c) contribution from change in sensible heat, and (d) contribution from change in ground heat flux. Note that some terms are not shown because they are zero in offline simulations (incoming radiation terms) or because they are small (albedo, and imbalance term).



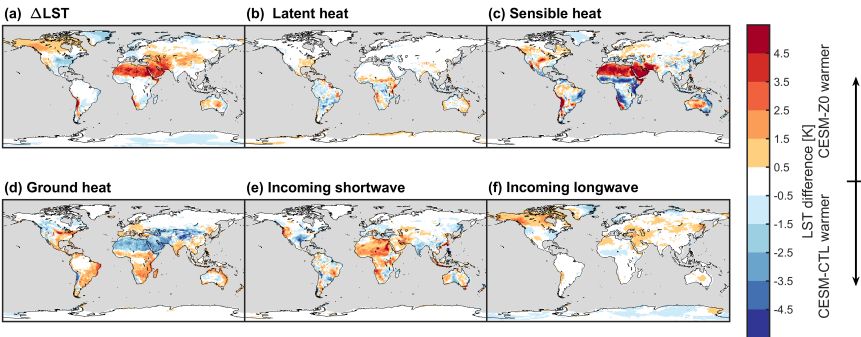
**Figure A3.** As Fig. A2 but at 01:30 local solar time.

For the land-atmosphere coupled simulations, the incoming shortwave and longwave radiation terms become relevant due to atmospheric feedbacks. During boreal summer, increased incoming solar radiation over the Sahara, the Middle East and

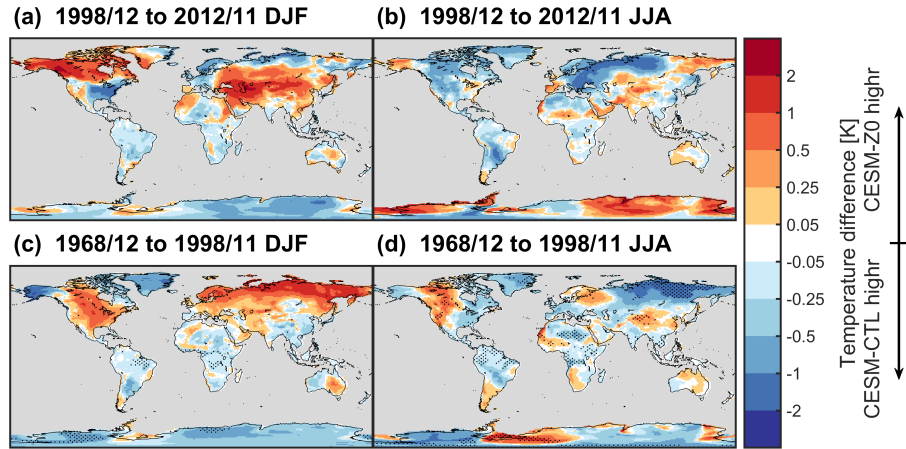
815 Himalaya amplify the warming from the reduced  $SH$  (Fig. A4). The reduction in LST over the northern mid- and high-latitudes mostly coincides with less incoming solar radiation. In contrast, the signal in winter is determined by the longwave radiation in those regions (Fig. A1). ~~A warming of atmospheric temperatures over most of the Asian continent and~~ A5). In particular the wintertime warming of the LST in the northern part of North America in CESM-Z0 occurs due to more incoming longwave radiation in concert with warmer atmospheric temperatures (Fig. A6 a) ~~causes in increase in the incoming longwave radiation, which induces a warming of the LST in those regions.~~



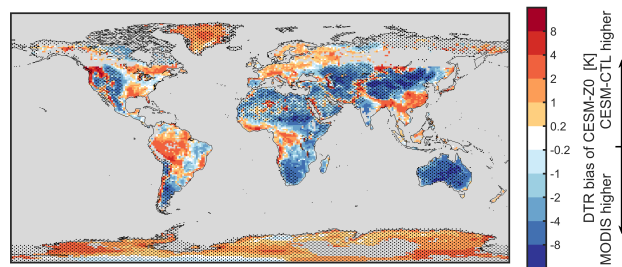
**Figure A4. Energy balance decomposition for change in LST at 13:30 local solar time in boreal summer of CESM-Z0 minus CESM-CTL.** Panel (a) change in LST, (b) contribution from change in latent heat, (c) contribution from change in sensible heat, (d) contribution from change in ground heat flux, (e) contribution from change in incoming shortwave radiation, and (f) contribution from change in incoming longwave radiation. Note that the albedo and the imbalance term are not shown because they are small.



**Figure A5.** As Fig. A4 but for boreal winter.



**Figure A6.** As Fig. 12 (a) and (b) but using data of the analysis period only (top row, December 1998 to November 2012) and using data from the last 30 years of the spinup period (bottom row, December 1968 to November 1998).




**Figure A7.** As Fig. 11 (d) but for CESM-Z0.

**Table A1.** List of abbreviations and symbols used in this study. Symbols that only appear in one equation are not listed.

Abbreviation	Long name/description
$c$	Empirical constant in Ra92 [ ]
$c_{d1}$	Constant in Ra92 (= 7.5) [ ]
CESM	Community Earth System Model (version 2.1.2)
CLM	Community Land Model (version 5.1)
$C_R$	Drag coefficient of an isolated roughness element [ ]
$C_S$	Drag coefficient of the ground in the absence of vegetation [ ]
$c_w$	<a href="#">Empirical constant in Ra92 (&gt; 1)</a> [ ]
$d$	Displacement height [m]
DTR	Diurnal temperature range
Du18	Potential change in LST for a conversion of vegetation to bare land after Duveiller et al. (2018) [K]
$G$	Ground heat flux [ $\text{W m}^{-2}$ ]
GSWP3	Global Soil Wetness Project reanalysis product version 3
$h_{top}$	Canopy height [m]
Hu20	$z_{0,v}$ observations of Hu et al. (2020)
$LAI$	Exposed leaf area index [ $\text{m}^2 \text{m}^{-2}$ ]
LISSS	Lake, Ice, Snow, and Sediment Simulator (Lake model in CLM)
LST	Land surface temperature [K]
$M_a$	Accumulated snow melt [m w.eq.]
MODIS	Moderate resolution imaging spectroradiometer
MYD11C3	Monthly MODIS LST product (version 6)
PFT	Plant functional type
Pr05	$z_{0m,b}$ data of Prigent et al. (2005) [m]
$SAI$	Exposed stem and dry leaf area index [ $\text{m}^2 \text{m}^{-2}$ ]
$SH$	Sensible heat flux [ $\text{W m}^{-2}$ ]
TBOT	Temperature at the bottom of the atmospheric column [K]
$u_*$	Friction velocity [ $\text{m s}^{-1}$ ]
$V$	Fractional weight for $z_{0,v}$ between vegetation and $z_{0m,g}$ [ ]
$VAI$	Vegetation area index = $LAI + SAI$ [ $\text{m}^2 \text{m}^{-2}$ ]
$VAI_{off}$	Offset of $VAI$ [ $\text{m}^2 \text{m}^{-2}$ ]
$W_S^{cs}$	Climatology of the incoming solar radiation at the surface [ $\text{W m}^{-2}$ ]
$W_{TOA}$	Theoretical daily incoming solar radiation at the top of the atmosphere according to Berger (1978) [ $\text{W m}^{-2}$ ]
Ra92	$z_{0,v}$ parameterization after Raupach (1992) and Raupach (1994)
Ya08	Parameterization of $z_{0h,g}$ and $z_{0q,g}$ after Yang et al. (2008)
$z_0$	Surface roughness [m]
$z_{0h}$	Surface roughness for sensible heat [m]
$z_{0m}$	Momentum (aerodynamic) surface roughness [m]
$z_{0q}$	Surface roughness for latent heat [m]
$z_{0,b}$	Surface roughness of bare soil (with additional subscripts h, m, or q) [m]
$z_{0,g}$	Surface roughness of the ground (with additional subscripts h, m, or q) [m]
$z_{0,i}$	Surface roughness of ice and glaciers (with additional subscripts h, m, or q) [m]
$z_{0,v}$	Aerodynamic surface roughness for exchange between canopy air space and atmosphere [m]
$z_{0,s}$	Surface roughness of snow (with additional subscripts h, m, or q) [m]
$\lambda$	Roughness density of vegetation [ ]
$\kappa$	von Karman constant (= 0.4) [ ]
$\nu$	Kinematic viscosity of air (= <del>1.5e-5</del> <a href="#">1.5e-5</a> $\times 10^{-5} \text{m}^2 \text{s}^{-1}$ )

820 *Author contributions.* RM and ELD conceptualized the study with help from GBB, DL, and SIS. RM implemented the modifications in the model, with the help of ELD, DL, and GBB. XH provided the vegetation surface roughness observations and helped with their analysis. GD conducted the analysis for the sensitivity of land surface temperatures to desertification. CP provided the data from Prigent et al. (2005). RM conducted the analysis and drafted the manuscript with help from all co-authors. All authors contributed to the interpretation of the results and preparation of the manuscript.

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