1 Global Evaluation of the Ecosystem Demography Model (ED

2 **v3.0**)

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16 Abstract.

17 Terrestrial ecosystems play a critical role in the global carbon cycle but have highly uncertain future dynamics.

18 Ecosystem modelling that includes the scaling-up of underlying mechanistic ecological processes has the potential

19 to improve the accuracy of future projections, while retaining key process-level detail. Over the past two decades,

20 multiple modelling advances have been made to meet this challenge, including the Ecosystem Demography (ED)

21 model and its derivatives including ED2 and FATES. Here, we present the global evaluation of the Ecosystem

22 Demography model (ED v3.0), which likes its predecessors features the formal scaling of physiological processes of

23 individual-based vegetation dynamics to ecosystem scales, together with integrated submodules of soil

24 biogeochemistry and soil hydrology, while retaining explicit tracking of vegetation 3-D structure. This new model

25 version builds on previous versions and provides the first global calibration and evaluation, global tracking of the

26 effects of climate and land-use change on vegetation 3-D structure, new-spin-up process and input datasets, as well

27 as numerous other advances. Model evaluation was performed with respect to a set of important benchmarking

28 datasets, and model estimates were within observational constraints for multiple key variables including: (i) global

29 patterns of dominant plant functional types (broadleaf vs evergreen); (ii) spatial distribution, seasonal cycle, and

30 interannual trends of global Gross Primary Production (GPP); (iii) global interannual variability of Net Biome

31 Production (NBP); and (iv) global patterns of vertical structure including leaf area and canopy height. With this

32 global model version, it is now possible to simulate vegetation dynamics from local to global scales and from

- 33 seconds to centuries, with a consistent mechanistic modelling framework amendable to data from multiple
- 34 traditional and new remote sensing sources, including lidar.

35 1 Introduction

36 Terrestrial ecosystems and the associated carbon cycle are of critical importance in providing ecosystem services 37 and regulating global climate. Plants store approximately 450-650 Pg C as biomass globally. They remove 38 approximately 120 Pg C from the atmosphere each year through photosynthesis, and release a similar magnitude of 39 carbon to the atmosphere through respiration (Beer et al., 2010; Ciais et al., 2014a). Human activities over past 40 centuries have significantly impacted terrestrial ecosystems through biophysical and biogeochemical mechanisms 41 (Cramer et al., 2001; Walther et al., 2002; Brovkin et al., 2004; Pielke Sr. et al., 2011). Quantification, attribution 42 and future projections of the terrestrial carbon sink requires in-depth understanding of underlying ecological 43 processes and their sophisticated responses and feedbacks to climate change, elevated CO₂, and land use and land 44 cover change (LULCC) across multiple biomes and spatial and temporal scales (Canadell et al., 2007; Erb et al., 45 2013; Keenan and Williams, 2018). This demand for information has driven the emergence and development of 46 dynamic global ecosystem models (DGVMs), which simplify the structure and functioning of global vegetation into 47 several plant functional types and simulate vegetation distribution and associated biogeochemical and hydrological 48 cycles with ecophysiological principles (Prentice et al., 2007; Prentice and Cowling, 2013). The first generation of 49 DGVMs have been used successfully to address a variety of carbon cycle related questions and integrated into Earth 50 System Models (ESMs) (Cramer et al., 2001; Sitch et al., 2008). Subsequent developments have improved the 51 representation of vegetation demographic processes within ESMs, including the Ecosystem Demography model 52 (ED) (Hurtt et al., 1998; Moorcroft et al., 2001), ED2 (Medvigy 2006; Medvigy et al., 2009; Longo et al., 2019a), 53 CLM(ED) (Fisher et al., 2015; Lawrence et al., 2019; Massoud et al., 2019), SEIB-DFVM-DGVM (Spatially-54 Explicit Individual-based Dynamic Global Vegetation Model) (Sato et al., 2007), LPJ-GUESS (Lund-Postdam-Jena 55 General Ecosystem Simulator) (Smith et al., 2001, 2014), and GFDL-LM3-PPA (Geophysical Fluid Dynamics 56 Laboratory Land Model 3 with the Perfect Plasticity Approximation) (Weng et al., 2015), as summarized in Fisher 57 et al., 2018).

58

59 In addition to model development, model evaluation is an important process to for assessing model uncertainties and 60 also-identifying processes that need particular improvements (Anav et al., 2013; Luo et al., 2012; Eyring et al.,

61 2019). Considerable effort has been spent on standardizing evaluation practices and developing a comprehensive

62 benchmarking system (Abramowitz et al., 2012; Collier et al., 2018; Eyring et al., 2016; Kelly et al., 2013;

63 Randerson et al., 2009). For example, a benchmarking system from the International Land Model Benchmarking

64 (ILAMB) project has been increasingly used to evaluate ecosystem and climate models (Collier et al., 2018;

65 Ghimire et al., 2016; Luo et al., 2012). In parallel, new observations are providing new opportunities to initialize and

66 test models. Of particular relevance for ecosystem models is the advent of spaceborne lidar missions (i.e., GEDI and

67 ICESat-2) (Dubayah et al., 2020a; Markus et al., 2017), which provide unprecedented global observations of forest

- structure, including vertical distribution of leaf foliage. Building on this past work, and utilizing new observations,
 an updated and systematic evaluation of model performance on-across multiple variables is now possible.
- 70
- 71 Here, we present the global evaluation of Ecosystem Demography v3.0. The ED model was developed two decades
- ago using a formal scaling approach (Size- and Age-Structured approximation, SAS) to efficiently approximate the
- 73 expected dynamics of individual based forest dynamics (Hurtt et al., 1998; Moorcroft et al., 2001). Since its
- regence, the ED model has been continuously developed and applied at various regions and spatial scales, with
- 75 land-use changes, and lidar observations (Hurtt et al., 2002, 2004). In the original paper, the model was implemented
- 76 at the site scale and primarily evaluated for aboveground biomass accumulation during succession using
- chronosequence field data, and at the regional scale using 1-degree resolution data on potential biomass, soil carbon
- and net primary productivity (NPP) (Moorcroft et al., 2001). Most recently, ED was implemented at high spatial
- resolution (90 m) over a regional domain of the Northeastern United States and evaluated for aboveground biomass
- 80 using wall-to-wall lidar-based estimates of contemporary biomass at that spatial resolution (Hurtt et al., 2019a; Ma
- 81 et al., 2021). The evaluation included >30 million grid cell pairs, and >10³ forest inventory <u>field</u> plots. This
- 82 progression<u>of development spans-includes</u> a range of model capabilities, spatial resolutions, and evaluation data,
- 83 ranging spanning from coarse resolution potential vegetation, to high spatial resolution contemporary conditions at
- 84 regional scales. However, development and evaluation of ED at the global scale for contemporary conditions has not
- 85 yet been accomplished. In this study, ED v3.0 is evaluated at global scales for the first time. Multiple key variables
- 86 are considered in the evaluation, including benchmark datasets on vegetation distribution, vegetation structure, and
- 87 carbon and water fluxes.

88 2 Methods

- 89 ED v3.0 is built upon a series of previous model developments (Moorcroft et al., 2001; Hurtt et al., 2002; Albani et
- 90 al., 2006; Fisk, 2015; Flanagan et al., 2019). To extend ED's capabilities globally, several additional modifications
- 91 were introduced to capture global vegetation distribution across biomes and related carbon stocks and fluxes. Below,
- 92 a summary of the ED approach and recent modifications is provided. The full descriptions of each submodule can be
- 93 found in the Supplement along with tables of parameter values. To conduct the model evaluation, a model
- 94 experimental protocol including equilibrium and transient simulations was developed and relevant forcing data were
- 95 identified from global existing datasets. Model simulations were then compared to benchmarking datasets.

96 2.1 Model

- 97 The ED model is an individual based prognostic ecosystem model (Moorcroft et al., 2001). By integrating
- 98 submodules of growth, mortality, hydrology, carbon cycle, and soil biogeochemistry, ED can track plant dynamics
- 99 including growth, mortality, and reproduction. Along with plant dynamics, ED can track the carbon cycle, including
- 100 carbon uptake by leaf photosynthesis, carbon allocation to biomass growth in leaves, roots and stems, carbon
- 101 redistribution from plants to soil based on plant tissue turnover from dead plants due to mortality and disturbance,

- 102 carbon decomposition in various pools (metabolic litter pool, structural litter pool, soil slow pool, soil passive pool,
- 103 wood product pool, harvested crop pool, etc) as well as carbon combustion from fire (Fig. 1 and Fig. 2). Over the
- 104 last two decades, ED has been continuously developed and combined with lidar and land-use change data to predict
- 105 ecosystem dynamics and associated water and carbon fluxes across spatial scales (e.g., site to regional and
- 106 continental) and temporal scales (e.g., short-term seasonal to long-term decadal and century) (Hurtt et al., 2002,
- 107 2004, 2010, 2016, Fisk et al., 2013, Flanagan et al., 2019). ED distinguishes itself from most other ecosystem
- 108 models by explicitly tracking vegetation structure and scaling fine-scale physiological processes to large scale
- 109 ecosystem dynamics (Hurtt et al., 1998, Moorcroft et al., 2001, Fisher et al., 2018). In ED, vegetation structure (e.g.,
- 110 height and diameter at breast height), and physiological processes (e.g., leaf photosynthesis and phenology) are
- modelled at the individual scale, where individual plants compete mechanically for light, water, and nutrients.
- 112 During implementation, this horizontal heterogeneity is tracked through cohort and patch demography. Explicitly
- 113 modelling vegetation height facilitates a potential connection to lidar data. The most advanced version of ED was
- 114 used in this study and it has been recently calibrated and evaluated globally by various benchmarking datasets such
- as gross primary productivity (GPP), leaf area index (LAI), aboveground biomass (AGB), and net biome
- 116 productivity (NBP) (Ma et al., 2021).

117 2.1.1 Additional modifications

- 118 Major modifications in ED v3.0 focus on four areas: plant functional type representation, leaf level physiology,
- hydrology, and wood products. These areas have been found to behave been identified as particularly important to
- 120 <u>for improvinge model performance globally.</u>
- 121
- 122 Plant functional types describe the characteristics of vegetation in different representative groups for modelling. In 123 previous ED versions, various PFT combinations were implemented to represent vegetation in the respective regions 124 where the model was implemented. In the original implementation of ED for Central and South America, four PFTs 125 were represented (i.e., Early-successional broadleaf, Middle-successional broadleaf, Late-successional broadleaf and 126 C4 grasses (Moorcroft et a., 2001). In a subsequent implementation over North America, two additional PFTs (i.e., 127 Northern pines and Southern pines) were proposed in Albani et al., 2006. Here, these PFTs are included and further 128 refined as seven major PFTs:, namely early-successional broadleaf trees (EaSBT), middle-successional broadleaf 129 trees (MiSBT), late-successional broadleaf trees (LaSBT), northern and southern pines (NSP), late-successional 130 conifers (LaSC), C3 shrubs and grasses (C3ShG), and C4 shrubs and grasses (C4ShG) (Supplement S1). The 131 broadleaf PFTs (i.e., EaSBT, MiSBT, and LaSBT) are distinguished between tropical and non-tropical subtypes. 132 These PFTs primarily differ in their phenology, leaf physiological traits, allometry, mortality rate, and dispersal 133 distance. As in previous versions of ED, the spatial distribution of PFTs is mechanistically determined by individual 134 competition for light, water and nutrients. No quasi-equilibrium climate-vegetation relationships, or other 135 assumptions or observations, are used to constrain the presence or absence of PFTs.
- 136

- 137 Leaf physiology determines short-term (i.e., < hourly) leaf-level carbon and water exchanges in response to
- environmental conditions (air temperature, shortwave radiation, air humidity, wind speed, and CO₂ level). The
- representation of leaf level physiology in previous versions of ED (Moorcroft et a., 2001) was taken from IBIS
- 140 (Foley et al., 1998), which in turn was based on prior work from Farquhar, Collatz, Ball and Berry and others
- 141 (Farquhar and Sharkey 1982; Ball et al., 1987; Collatz et al., 1991, 1992). Here, ED's representation of leaf level
- 142 physiology is reformulated for C3 and C4 pathways (Farquhar et al., 1980; Von Caemmerer and Furbank, 1999)
- 143 with added boundary layer conductance for diffusing water vapor and CO₂ between ambient air and leaf surface, and
- parameterized with temperature dependence functions from other studies (Bernacchi et al., 2001; von Caemmerer et
- al., 2009; Kattge and Knorr, 2007; Massad et al., 2007; Von Caemmerer, 2000, Supplement S3).
- 146
- 147 Hydrology controls the water available for vegetation. The hydrology submodule in ED tracks soil moisture
- 148 dynamics between incoming water flow from precipitation and outgoing flow through percolation, runoff, and
- 149 transpiration. Previous ED versions did not include evaporation from soil and canopy and also did not account for
- 150 snow dynamics. Here, evaporation from soil and canopy is estimated based on the Penman-Monteith (P-M) equation
- 151 (Monteith, 1965; Mu et al., 2011). In addition, a simple snow dynamics process is introduced to decrease water
- 152 availability for plants when air temperature drops below the freezing point and increase it when air temperature rises
- above freezing point at a rate depending on air temperature. More details can be found in Supplement S9.
- 154

Land use activities (e.g., deforestation and wood harvesting) remove vegetation carbon from ecosystems for various purposes. This carbon is traditionally tracked in wood product pools, with different lifetimes and temporal emissions

- 157 to the atmosphere. The previous land use submodule in ED only tracked changes in vegetation and soil carbon
- during various land use activities but did not track subsequent decay process of product pools (Hurtt et al., 2002). In
- 159 ED v3.0, three wood product pools are added to track the life cycles of harvested wood and associated decay
- 160 processes (Supplement S11). Wood product pools gain carbon from land use activities such as wood harvesting or
- 161 deforestation, and lose carbon through decay and emissions to the atmosphere. The loading of these product pools,
- 162 and their decay rates, are based on a prior study (Hansis et al., 2015).

163 2.2 Model initialization and overview of experiments

- 164 Global spin-up of ED initialized ecosystems to contemporary conditions by taking into account climate change,
- rising CO₂, and land use change. The global spin-up was comprised of two separate runs at 0.5° spatial resolution.
- 166 The first run, called the "equilibrium simulation", ran ED from initial conditions to equilibrium. This run was
- 167 performed for 1000 years by which time PFT composition and carbon pools of vegetation and soil reached a
- 168 dynamic equilibrium. The second run, called "transient simulation", restarted from the end of the equilibrium
- simulation and simulated for 1166 years, corresponding to the period A.D. 851 A.D. 2016, with varying CO₂
- 170 levels, land-use change, and climate variability. Both runs were driven with meteorological forcing from NASA
- 171 Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2) (Gelaro et al., 2017) and
- 172 surface CO₂ concentration from NOAA CarbonTracker Database, version 2016 (NOAA CT2016) (Peters et al.,

173 2007, with updates documented at http://carbontracker.noaa.gov). Additionally, the transient simulation run utilized

- prescribed burned area from the Global Fire Emissions Database, version 4 (GFED4) (Randerson et al., 2015) and
- 175 forced land-use change from Land Use Harmonization, version 2 (LUH2) (Hurtt et al., 2019b, 2020). Details of
- 176 these simulations are provided below.
- 177
- 178 The equilibrium simulation was started from bare ground where the soil and vegetation carbon pools were set at
- 179 zero, and all PFTs were initialized with equal seedling density for all patches and all grid cells over the globe. This
- 180 run was driven for 1000 years with MERRA2 climatology of 1981-1990 and NOAA CT2016 average surface CO₂
- between 2001-2014 (with spatial variation and global average rescaled to 280 ppm). No climatic envelope or
- 182 potential biome maps were used to constrain PFT spatial distribution; competition determined final PFT
- 183 distributions, vegetation structure, and carbon stocks. The land-use change module was disabled in this run of
- 184 simulation.
- 185

186 The transient simulation was restarted from equilibrium conditions. The land-use change submodule was activated,

187 and all land-use transition types from LUH2 were incorporated into the simulation at annual time steps. These

188 transitions included changes in agriculture and forest extent, shifting cultivation, and wood harvesting, among

189 others. MERRA2, NOAA CT2016 and GFED were used throughout the simulation with varying temporal settings

depending on data availability. Specifically, for MERRA2, a climatology between 1981-1990 was used until 1981,

and annual meteorology was used subsequently. For NOAA CT2016, an average surface CO₂ concentration between

192 2001-2014, which varies spatially and grows over time, was used until 2000, while annual NOAA CT2016 surface

193 CO₂ concentrations were used subsequently. For GFED4 burned area, an average between 1996-2016 was used until

194 1996, after which annual burned area was used.

195 2.3 Forcing data

- 196 Meteorological variables utilized from MERRA2 include surface air temperature (TLML), surface specific humidity
- 197 (QLML), precipitation (PRECTOTCORR), incident shortwave radiation (SWGDN), surface wind speed (SPEED),
- 198 and multi-layer soil temperature (TSOIL1-TSOIL3). Original estimates of surface air temperature, surface specific
- 199 humidity, incident shortwave radiation, and surface wind speed were averaged from daily hourly to monthly hourly
- 200 for each year between 1981 to 2016. The resulting annual monthly average of diurnal meteorological variables were
- 201 used to drive the leaf physiology submodule in ED. Hourly surface air temperature, precipitation, and soil
- temperature were also aggregated to monthly averages for each year between 1981 to 2016, and then used to drive
- 203 the soil hydrology, phenology, evapotranspiration, and biogeochemical modules in ED.
- 204
- 205 Surface CO₂ concentration was extracted from the lowest vertical level of NOAA CT2016 CO₂ mole fraction which
- is temporally and spatially varying. The original datasets were first linearly interpolated from 3°x2° (longitude x
- 207 latitude) to 0.5°x0.5° and from 3-hour to hourly, and then averaged to monthly hourly estimates for each grid cell
- and each year between 2001 and 2014, resulting in surface CO₂ concentration maps with 4032 timesteps (14 years,

209 24 hours, 12 months) for each 0.5°x0.5° grid. The surface CO₂ concentration maps were used to drive the transient

- 210 simulation from 850 to 2000, retaining average spatial variation between 2001 and 2014 and applying a scaling
- 211 factor to force the global annual average CO₂ concentration to remain at 280 ppm before 1850, then grow linearly to
- 212 310 ppm in 1950 and to 375 ppm in 2000. This increasing trend in global average matches observed CO₂ growth
- rates from Keeling (2008).
- 214
- LUC forcing was derived from the LUH2 (version v2h) for years 850-2015 (Hurtt et al., 2019b, 2020). The original land use state and land use transitions were aggregated from a spatial resolution of 0.25°x0.25° to 0.5°x0.5° for each year between 850 and 2015. Subtypes of land use states and associated transitions were grouped into the major land use types of the model's predecessor version (LUH1). Specifically, sub crop types of C3 annual crops (c3ann), C3 perennial crops (c3per), C4 annual crops (c4ann), C4 perennial crops (c4per) and C3 nitrogen-fixing crops (c3nfx) were all merged as cropland. Forested primary land (primf) and non-forested primary land (primn) were merged as primary land; forested secondary land (secdf) and non-forested secondary land were merged as secondary land; and
- managed pasture (pastr) and rangeland were merged as pasture. Note that all types of land use transitions and gross
- transition rate were used in ED's land use module.
- 224

225 Soil properties, including depth, hydraulic conductivity, and residual and saturated volumetric water content are

- important for determining plant water availability. These soil properties were taken from Montzka et al. 2017.
- Additional details can be found in the supplement (S9, hydrology submodule).

228 **2.4 Model evaluation**

A benchmarking package of data (Table 1) was collected to evaluate ED performance. Eight critical variables.

- proven to be important for terrestrial biogeochemical cycles (Spafford and MacDougall 2021), -were assessed in
- four categories including: PFT distribution, carbon stocks in vegetation and soil, carbon and water fluxes, and
- 232 vegetation structures in terms of canopy height and vertical LAI. Evaluation was carried out at different spatial (grid,
- 233 latitudinal, and biome) and temporal scales (climatological, seasonal, and interannual). For each variable, a widely
- used dataset was used for reference, and in some cases, these span different years. An important feature of our
- 235 method was to adjust the simulation years from ED to match each benchmarking dataset.

236 2.4.1 Vegetation distribution

- 237 The satellite-based land cover product, ESA CCI, was used to examine the distribution of three modelled PFTs,
- grass, broadleaf trees, and needleleaf trees (ESA 2017). Many satellite-based land cover datasets differ largely from
- ED in PFT definition. For example, no successional PFTs exist in ESA CCI land cover types. Thus, the native PFTs
- in ED and ESA CCI both have to be aggregated to broader categories such as broadleaf PFTs, needleleaf PFTs, and
- 241 grass PFTs. To do this, the 22 native land cover classes of ESA CCI were first reclassified to 'broadleaf evergreen
- tree', 'broadleaf deciduous tree', 'needleleaf evergreen tree', 'needleleaf deciduous tree', 'natural grass' and
- ²⁴³ 'manned grass' using a cross-walk table (Poulter et al., 2015). They were then further merged by phenology type

- 244 and aggregated to 0.5°, resulting in PFT fraction maps of broadleaf PFTs, needleleaf PFTs, and grass and shrub
- 245 PFTs. ED PFTs of EaSBT, MiSBT and LaSBT were merged as broadleaf PFTs, NSP and LaSC were merged as
- 246 needleleaf PFTs, and C3ShG and C4ShG were merged as grass and shrub PFTs.

247 2.4.2 Carbon fluxes

248 Evaluation of carbon fluxes focused on Gross Primary P-Production (GPP) and Net Biome PProduction (NBP). 249 Modelled GPP was evaluated with respect to spatial pattern, seasonality, and interannual variability using two 250 satellite data-driven GPP datasets, FLUXCOM (Jung et al., 2020) and FluxSat (Joiner et al., 2018), and the satellite-251 retrieved sun-induced chlorophyll fluorescence (CSIF) dataset (Zhang et al., 2018). The FLUXCOM and FluxSat 252 datasets are derived from a data-driven approach that combines carbon fluxes measurements from FLUXNET and 253 satellite observations from MODIS. Major differences between FLUXCOM and FluxSat include the use of 254 meteorological forcing and the specific approach used. FLUXCOM used meteorological forcing and a machine 255 learning approach, while FluxSat used a simplified light-use efficiency model that does not rely upon meteorological 256 forcing. FluxSat also used satellite-based sun-induced chlorophyll fluorescence (SIF) to delineate highly productive 257 regions. Satellite measurements of SIF have recently been suggested as a promising proxy of terrestrial GPP, 258 exhibiting high sensitivity to plant photosynthetic activities (Lee et al., 2013; Guanter et al., 2014; Yang et al., 259 2015). In this study, we chose the CSIF dataset for its improved spatiotemporal continuity. CSIF is generated by

- 260 fusing Orbiting Carbon Observatory-2 (OCO-2)-retrieved SIF and MODIS reflectance data using a machine learning
- approach. FLUXCOM, FluxSat, and CSIF were all resampled to monthly estimates at 0.5x0.5 spatial resolution 261 262 before the evaluation.

263 264 Modelled net biome productivity (NBP) was compared against multiple sources including estimates from process-

- 265 based models, atmospheric inversions, and the 2020 global carbon budget (GCB2020) (Friedlingstein et al., 2020).
- 266 For process-based models, 17 DGVMs reported in the GCB2020 were used to calculate the respective net land sink
- 267 by differencing land uptake and land use emissions estimates (i.e., SLAND - ELUC). For atmospheric inversions, three
- 268 systems are used, namely CarbonTracker Europe (CTE) (van der Laan-Luijkx et al., 2017), Jena CarboScope
- 269 (version s81oc) (Rödenbeck et al., 2008) and the Copernicus Atmosphere Monitoring Service (CAMS) (Chevallier
- 270 et al., 2005). The three inversions all derive surface carbon fluxes using atmospheric CO₂ measurements, prior
- 271 constraints on fluxes, and an uncertainty and atmospheric transport model, but vary with respect to the specific data,
- 272 prior constraints, and transport models used (Peylin et al., 2013). In the GCB2020, the residual terrestrial sink was
- 273 used, which was calculated as total emissions from fossil fuel and land use change minus the atmospheric CO_2
- 274 growth rate and ocean sink (i.e., $E_{FF} + E_{LUC} - G_{ATM} - S_{OCEAN}$).

275 2.4.3 Carbon stocks

276 Modelled carbon pools were evaluated with regards to vegetation aboveground biomass (AGB) and soil carbon. The

- 277 reference AGB data included estimates from Santoro et al. (2018) and Spawn et al. (2020). These two AGB datasets
- 278 provide high spatial resolution (e.g., 100 m to 1000 m) wall-to-wall global estimates of the year 2010, but differ in

- their methodologies. Specifically, AGB from Santoro et al. (2018) was produced by combining spaceborne synthetic
- aperture radar (SAR) (ALOS PLASAR, Envisat ASAR), Landsat-7, and Lidar observations from Ice, Cloud, and
- 281 land Elevation Satellite (ICESat). AGB from Spawn et al. (2020) includes biomass of forests and also other woody
- 282 non-forest plants. Reference soil carbon was from the Harmonized World Soil Database (HWSD) (Wieder et al.,
- 283 2014), including soil carbon for topsoil (0 to 30 cm) and subsoil (30 to 100 cm).

284 <u>2.4.4 Water fluxes</u>

- 285 Modeled ET was evaluated against the FLUXCOM dataset (Jung et al., 2019) which used meteorological forcing,
- 286 remote sensing data, and a machine learning approach to scale up the measurements from FLUXNET eddy
- 287 <u>covariance towers to the global scale. This dataset provides gridded estimates at resolution of 0.0833° for the period</u>
- 288 of 1981-2014. The FLUXCOM dataset was resampled to monthly estimates at 0.5x0.5 spatial resolution before
 289 evaluation.

290 2.4.4-5 Vegetation structure

- 291 Evaluation of modelled forest structure focused on total and vertical distribution of leaf area index (LAI) and tree
- 292 canopy height. Two reference LAI products, namely MODIS MCD15A3H (Myneni et al., 2015) and GEOV2 LAI
- (Verger et al., 2014), are-were used for evaluating total LAI in terms of spatial distribution, seasonality, and
- interannual variability. The MODIS and GEOV2 LAI datasets were both derived from passive optical observations
- with empirical-based inversion methods which relate leaf area with optical canopy reflectance or vegetation indices;
- 296 however, these two products vary with source of optical observations and choices for inversion methods. Reference
- 297 vertical LAI was from the Global Ecosystem Dynamics Investigation (GEDI) L2B products, which retrieves leaf
- vertical distribution from lidar waveform return (Dubayah et al., 2020b). Reference canopy height data were based
- on direct forest structure observations from GEDI L2A (Dubayah et al., 2020c) and the ICESat-2 ATL08 products
- 300 (Neuenschwander et al., 2020). Mean canopy height was generated at 0.5° spatial resolution from the relative height
- 301 98th percentile (RH98) of all GEDI L2A footprints and canopy top height (h_canopy) of and all ICESat-2 ATL08
- 302 segments of good quality.

303 3 Results

- 304 ED results were evaluated across four primary categories: PFT distribution, vegetation and soil carbon pools, carbon
- 305 and water fluxes, and vegetation structure. Evaluation included comparing modelled global quantities, and their
- 306 associated spatial and temporal patterns, to the benchmarking datasets.

307 3.1 Evaluation of PFT distribution

- 308 Global total area of broadleaf PFTs, needleleaf PFTs and grass and shrub PFTs were estimated by ED to be 24.30,
- 309 8.93 and 24.63 million km², respectively. These results compare to ESA CCI data which estimate the same
- respective global PFT areas at 20.13, 10.65 and 41.49 million km². The global spatial distribution and corresponding

- 311 zonal distribution of broadleaf PFTs, needleleaf PFTs and grass and shrub PFTs are shown in Fig. 3. In this
- 312 comparison, the major patterns of ED estimated PFT distribution were similar to the observed distribution of PFTs.
- 313 ED estimated needleleaf PFTs were dominate at high latitudes, broadleaf PFTs dominated in the tropics, and grass
- 314 and shrub PFTs were widespread globally. ED also predicted the observed coexistence of broadleaf and needleleaf
- 315 PFTs in southern China and eastern US. However, beyond these major patterns, ED estimates differed in some
- 316 specific regions. For example, ED predicted the existence of needleleaf PFTs along the Andes Mountains in South
- 317 America and in southern Australia. While this pattern was not evident in the ESA CCI data, there are other studies
- based on ground observations that support it (Farjon and Filer, 2013). ED also estimated relatively more broadleaf
- B19 PFTs in eastern Europe and southern China, less fewer broadleaf PFTs in Africa savanna, less needleleaf PFTs in
- east Siberia, and less grass and shrub PFTs both in Africa savanna and northern China. Analogous results can also
- be seen zonally, where major patterns of PFTs are broadly similar to observed but with some specific differences. In
- terms of zonal distribution per PFT, the smallest discrepancies between ED and ESA CCI appear in broadleaf PFTs,
- followed by needleleaf PFTs, and grass and shrub PFTs. <u>Spatial distribution maps for each of seven PFTs from ED</u>
- 324 <u>can be found in Fig. S1.</u>

325 3.2 Evaluation of AGB and soil carbon

- 326 ED estimates of AGB were compared to corresponding benchmark data. ED estimated global total aboveground 327 vegetation carbon (including forest and non-forest) at 298 Pg C in 2010. This compares to 283 Pg C and 297 Pg C 328 estimated by Spawn et al. (2020) and Santoro et al. (2018). ED's estimate of the spatial pattern of AGB was also 329 comparable to that of both-two benchmark datasets, with the highest biomass densities found across the tropics (i.e., 330 the Amazon rainforest, the Congo river basin, and southeast Asia) with declining biomass densities northward 331 towards the temperate and boreal regions. For example, similar to observations, average estimated AGB density was 332 \sim 15 kg C/m² in the tropics and less than 2.5 kg C/m² across temperate and boreal regions (Fig. 4d). In addition, the 333 AGB transition along the African forests-savanna zone was represented by ED, albeit with lower values in the 334 savanna. Major discrepancies between ED and benchmarking data appear in southern China, southeast Asia and 335 southeast Brazil.
- 336
- 337 ED estimates of soil carbon were compared to benchmark data on soil carbon. ED estimated total global soil carbon
- at 671 Pg C in 2000, which was within the range of CMIP5 ESMs (510 3040 Pg C) (Todd-Brown et al., 2013), but
- 339 lower than the HWSD estimate of 1201 Pg C. Comparing total stocks at the biome level (Fig. 5d) showed that ED
- 340 generally reproduced soil carbon variation across biomes, but notably underestimated carbon in boreal forest/taiga,
- 341 deserts and xeric shrublands, tropical and subtropical grasslands, savannas and shrubland. The soil carbon map from
- 342 ED revealed different spatial patterns compared to HWSD, with relatively less spatial heterogeneity and fewer
- 343 regions with densities above 30 kg C/m^2 .

344 **3.3 Evaluation of GPP, NBP and ET**

345 Globally, the ED estimate of average annual GPP was 134 Pg C yr⁻¹ between 2001-2016, which compares to 120 Pg

- 346 C yr⁻¹ from FLUXCOM and 136 Pg C yr⁻¹ from FluxSat over the same period. The spatial pattern of GPP from ED
- 347 was also compared to benchmark values at the grid and latitudinal scales (Fig. 6). Similar to observations, areas of
- black highest productivity occur in the tropics, followed by the temperate and boreal regions. For the tropics, ED was ~0.5
- $kg C/m^2/yr$ higher than FLUXCOME, and ~0.2 kg/C/m² higher than FluxSat, but lower than both over the Africa
- 350 Savanna. Additionally, ED was relatively higher in southern China and Brazil than either benchmark dataset. A
- notably increasing annual trend in total global GPP can be seen in both ED and FluxSat estimates between 2001-
- 352 2016 as well as from globally averaged CSIF (Fig. 7). ED also reproduced GPP interannual variability from FluxSat,
- FLUXCOM and CSIF, dipping in the years 2005, 2012 and 2015, and peaking in 2006, 2011 and 2014. Regarding
- latitudinal seasonality at the biome scale (Fig. 8), ED captured GPP timing for most latitudinal zones, including 60°
- 90°N, 45° 60°N, 15° 30°N and 60° 30°S. Major differences appear in 30° 45°N, where ED shows <u>a</u> decreases
- from July-September, and in 15°S 0°, where ED shows delayed monthly timing of lowest annual GPP values.
- 357

358 Globally, the ED estimate of average annual NBP between 1981 and 2016 was 1.99 Pg C/yr, which can be

359 compared to 1.21-1.80 Pg C/yr from atmospheric inversions, 1.11 Pg C/yr from DGVMs, and 1.31 Pg C/yr from the

- 360 GCB2020 residual terrestrial sink. ED estimates were also compared to benchmark datasets on global changes over
- 361 time (Fig. 9). Similar to the references, ED estimated an increasing trend with substantial interannual variation
- during the 1981-2015 period. This variation included reductions in El Niño years (such as 1983, 1998 and 2015) and
- 363 increases in La Niña years (such as 1989, 2001-2002 and 2011). An exception is 1991-1992, where ED and DGVMs
- B64 were both lower than atmospheric inversions. This period includes the Mt. Pinatubo eruption, the effect of which is
- not included in the shortwave radiation forcing of GCB2020 DGVMs or ED (Mercado et al., 2009; Friedlingstein et
- al., 2020). During the period 2007-2016, ED produced a continued increasing trend over the 2007-2016 period as
- reflected in the mean of atmospheric inversions, but not the mean of DGVMs. Specifically, ED estimated NBP
- 368 averaged 2.34 Pg C/yr from 2007-2016, which as within the range of the atmospheric inversions estimates (1.77 -
- 369 2.64 Pg C/yr) and DGVMs estimates (0.58 2.82 Pg C/yr), but higher than either the mean of DGVMs (1.40 Pg
- 370 C/yr) or the GCB2020 residual terrestrial sink (1.81 Pg C/yr). Despite the similarities in global trends, the latitudinal
- 371 comparison between ED and atmospheric inversions indicated contrasting attribution of the global sink (Fig. 10). In
- 372 comparison to the atmospheric inversions, ED predicted a stronger sink in tropics and relatively weaker sink in the
- 373 Northern Hemisphere. Such a pattern was highlighted in the global carbon budget (Friedlingstein et al., 2020),
- 374 where process-based models and the atmospheric inversions generally show less agreement on the spatial pattern of
- 375 the carbon sink in these two regions. There is recognized uncertainty about the underlying actual pattern due in part
- to the in-situ network, which is spatially biased towards the mid-latitudes (i.e., more observational sites) relative to
- 377 the tropics (i.e., fewer observational sites) (Ciais et al., 2014b).
- 378
- 379 Globally, the ED estimate of global mean annual ET between 1981 and 2014 was 393.46 mm/yr, which can be 380 compared to 582.10 mm/yr from FLUXCOM. ED estimates of ET were also compared to gridded FLUXCOM data

- 381 and by latitude (Fig. 11). Similar to the reference dataset, ED estimated the highest rates across the tropics with
- 382 decreases towards high latitudes. This pattern generally followed the spatial distribution of precipitation. ED estimates
- 383 were close to FLUXCOM over the tropics (i.e., 1500 mm/yr) as well as latitudes above 60°N and below 35°S (i.e.,
- 384 below 500 mm/yr), but notably underestimated average annual ET in other latitudes. ED estimates were generally
- smaller than FLUXCOME in dry regions such as southern Africa and interior Australia.

386 **3.4 Evaluation of canopy height and LAI vertical profile**

- 387 Evaluation of vegetation structure estimates focused on leaf area and canopy height. Fig. 12 presents the spatial
- 388 distribution of growing season LAI from ED, GEOV2, and MODIS. Growing season LAI is chosen for comparison
- 389 because winter snow in the northern region (e.g., boreal forests) might affect LAI retrieval and cause uncertainties in
- 390 remote sensing estimates (Murray-Tortarolo et al., 2013). There was good agreement in spatial pattern between ED
- 391 and reference LAIs (Fig. 12d), showing peaks in the tropics and boreal region (near 50°N), and relatively low
- 392 estimates across temperate regions. In the tropics, ED estimated an average LAI of 6.0 m²/m², which was similar to
- 393 GEOV2 but higher than MODIS. However, ED produced higher LAI in temperate and boreal regions than both
- 394 reference datasets, specifically in southern China and Brazil. Despite these differences there was a general
- agreement in the greening trend between 1999 and 2016 (as shown in Fig. 13). The linear fitted LAI trend was 0.058
- 396 m²/m² per decade for ED, 0.090 m²/m² for GEOV2, and 0.046 m²/m² for MODIS. LAI seasonality was also
- 397 compared across latitudinal bands in Fig. 14. Similar to references, ED captured peak season in latitudinal bands 60°
- $398 90^{\circ}$ N, $45^{\circ} 60^{\circ}$ N, and $60^{\circ} 30^{\circ}$ S, but shows less agreement with the references in the tropics ($0^{\circ} 15^{\circ}$ N and $15S^{\circ}$)
- $399 0^{\circ}$). In addition, ED LAI in winter is larger than either reference LAI; at latitudes above 45°N, and between 30°N
- 400 and 45°N, ED LAI is higher for all seasons. Similarly, higher LAI also appears in 60°S 30°S, across southern
- 401 China and Brazil.
- 402
- The estimated vertical profile of LAI from ED was compared to GEDI both spatially and by latitude
- 404 <u>bandlatitudinally</u>. Spatially, ED and GEDI L2B had a similar spatial pattern with most vegetated regions having
- 405 concentrated LAI under 10m, and only tropical forests, part of southern China and the US having substantial LAI
- 406 above 30m (Fig. 15). Comparisons of LAI profiles by latitude band indicate close agreement in each zone, and with
- 407 all regions having the highest values of LAI closest to the ground (0-5 m and 5-10 m) and decreasing with canopy
- 408 height (Fig. 16). Discrepancies can be seen at the 0--5 m and 10 15m LAI interval along at most latitudinal bands
- 409 of 30° 15°S and 45° 30°S, where ED tends to be higher 0.5m, and lower in the 10-15m bin.
- 410
- 411 Tree canopy height estimates from ED were compared with satellite lidar observations from GEDI and ICESat-2
- 412 (Fig. 17). Like the reference datasets, ED produced a spatial pattern with taller trees in tropical rainforests, southern
- 413 China and the eastern US. The canopy height gradient from forests to savannas in South America (northwest to
- 414 southeast) and in Africa (central to north and south) were also generally captured by ED. Latitudinal comparison
- 415 shows ED estimated average height is above 30 m in tropics and is ~10m in temperate regions. The general

- 416 differences between ED and reference datasets are less than 10 m across all latitudes. However, ED tree height in
- 417 southern China and Brazil was higher than the references, and lower than references across African savanna.

418 4 Discussion and Conclusions

- 419 Previous studies have developed benchmarking packages and designed model intercomparison activities to evaluate
- 420 model performance (Abramowitz et al., 2012; Collier et al., 2018; Eyring et al., 2016; Ghimire et al., 2016; Kelly et
- 421 <u>al., 2013; Luo et al., 2012; Randerson et al., 2009; Sitch et al., 2008). Like those studies, we evaluated ED model</u>
- 422 results using many key datasets and variables. The work here has utilized a particularly wide range of variables,
- 423 <u>utilized</u>including the latest versions of key forcing data on climate and land-use, and added a new focus on
- 424 <u>vegetation structure.</u>
- 425
- 426 In this study, we developed a new global version of the Ecosystem Demography model and evaluated it against
- 427 benchmark datasets for a wide range of important variables spanning carbon stocks, carbon and water fluxes,
- 428 vegetation distribution, and vegetation structure. Historically, different models have been developed separately in
- 429 areas of biogeochemistry, biogeography, and biophysics, and in some cases important patterns have been set through
- 430 observations or other prior constraints (Bonan, 1994; Dickinson, 1993; Haxeltine and Prentice, 1996; Hurtt et al.,
- 431 1998, Lieth, 1975; Neilson, 1995; Parton, 1996; Potter et al., 1993; Prentice et al., 1992; Raich et al., 1991; Sellers et
- 432 al., 1986). The ability of this model to reliably simulate such a wide range of phenomena globally in a single
- 433 mechanistic and consistent framework represents an important interdisciplinary synthesis, a functional modelling
- 434 advance, and to our knowledge is unprecedented.
- 435 ED v3.0 includes modifications in four major areas (i.e., PFT representation, leaf level physiology, hydrology, and
- 436 <u>wood products</u>) to improve model performance at the global scale. These modifications have several qualitative
- 437 <u>benefits. The refinement of PFTs provides a more complete representation of global vegetation functional types</u>
- 438 <u>spanning from deciduous to evergreen, from broadleaf to needleleaf, from C3 to C4, from softwood to hardwood.</u>
- 439 <u>Updated temperature dependence functions in the leaf physiology submodule provides improved calibration and</u>
- 440 <u>validation with independent field studies. The hydrology submodule now includes characterization of evaporation</u>
- 441 and snow which were missing in previous regional versions. The land-use submodule now includes a wood product
- 442 pool which facilitates tracking of the magnitude and timing of vegetation carbon loss and emissions due to
- 443 <u>deforestation and wood harvesting</u>. These modifications also led to improved quantitative performance against a
- 444 <u>range of important benchmarks.</u>
- 445
- 446 ED estimation of carbon stocks and fluxes compared favourably to benchmarking datasets across a range of spatial
- and temporal scales, from grid cell to global, and from seasonal to decadal. Similar to benchmarking datasets, ED
- 448 reproduced latitudinal gradients of GPP and AGB, a positive trend in global total GPP, global total AGB and GPP
- 449 within reference ranges, and interannual variation of NBP in response to El Niño and La Niña events. Producing
- 450 such patterns of both global carbon fluxes and stocks is challenging, as it requires models to have the ability to
- 451 mechanistically scal<u>eing</u> up physiological processes from the leaf to ecosystem scales. It also requires models to

- 452 accurately characterize responses of ecosystem demographic processes to climate change, soil conditions, and land
- use activities. As a part of a new generation of DGVMs attempting to meet these challenges, ED leverages advances
- 454 in detailed advances in understanding of ecosystem-physiology (e.g., Ball–Berry stomatal conductance model and
- 455 Farquhar photosynthesis model) (Ball et al., 1987; Farquhar 1980), soil biogeochemistry (e.g., CENTURY soil
- 456 model) (Parton 1996), and processes of disturbance and recovery (e.g., LUH1/LUH2 modelling of land-use
- 457 transition through time) (Hurtt et al., 2011, 2020). This study is the first, to our knowledge, to combine ecosystem
- 458 demography and land use history to simulate global carbon dynamics and compare to a wide range of benchmarks.
- 459

In addition to carbon stocks and fluxes, ED simultaneously estimated the spatial distribution of the seven major

- 461 PFTs globally. ED reproduced dominance of broadleaf PFT in tropics and needleleaf PFT in high latitudes, which is
- similar to benchmarking data. The ability to estimate these patterns mechanistically required the ability to
- 463 characterize functional plant traits and trade-offs of vegetation as well as the processes and timescales of
- 464 competition for light, water, and other resources. Numerous studies have made previous advances which contributed
- to the progress in this study. For example, plant traits have been observed and compiled erossing across a wide range
- of species and geographical domains (Reich 1997 et al., 1997; Kattge et a., 2011, 2020). Individual based/gap
- models have been developed to track the life cycle of each individual tree and competition between individuals on-at
- the plot and site levels (Botkin et al., 1972; Shugart and West 1977; Shugart et al., 2018; Pacala et al., 1996).
- Meanwhile, the SAS scaling approach was previously developed to efficiently scale up the individual scale to
- 470 ecosystem dynamics at regional and continental scales (Hurtt et al., 1998; Moorcroft et al., 2001).
- 471

472 ED estimation of vegetation structure was also evaluated against benchmark data, in this case, novel observations 473 using from lidar remote sensing data. Impressively, ED mechanistically and independently produced latitudinal 474 mean height and LAI profiles similar to benchmarking datasets on vegetation structure. This progress is perhaps the 475 most novel achievement because progress on this topic was previously limited due to lack of global observations of 476 vegetation structure. Importantly, the ED model is natively height-structured, in that all trees have explicit height. 477 Originally, this feature was included to enable simulation of individual-based competition for light. This feature 478 however also offers the potential for direct connection to lidar observations on vegetation structure for the purpose 479 of model validation and/or initialization. Numerous studies have been completed at local and regional scales by 480 initializing the ED model with airborne lidar data, demonstrating the power of lidar technique in improving 481 characterization of contemporary ecosystems conditions (Hurtt et al., 2004, 2010, 2016, 2019a and Ma et al., 2021). 482 The advent of GEDI (Dubayah et al., 2020a) and ICESat-2 (Markus et al., 2017) has now expanded the potential for 483 model evaluation and initialization to global scales.

- 484
- 485 Despite all of these advances, no model is perfect. There there are several important examples of differences
- 486 between ED estimates and reference values that present important challenges for the future. Two Four examples are
- 487 important to consider further. First, ED estimates of AGB/GPP exceeded reference values in some regions, most
- 488 notably southern China, southeast Asia and southeast Brazil. Correspondingly, ED also tended to overestimate tree

489 height in these same regions. The discrepancies share a similar spatial pattern and are likely interrelated. One

- 490 hypothesis is that this overestimation may result at least in part from the land-use forcing. The LUH2 has been
- 491 shown to underestimate harvesting area on primary forest in southern China, and Southeast Asia for the period after
- 492 1950, and also underestimates total cropland area in Brazil (Chini et al., 2021). LUH2 is being continuously updated
- and improved through the its contribution to the Global Carbon Budget project (Chini et al., 2021). Second, while
 relative patterns for soil carbon showed close agreement at the biome level for the majority of biomes, the absolute
- 495 magnitude of soil carbon was much lower than reference for several biomes and thus globally. Before over-
- 496 interpreting these differences, it should be noted that there are substantial uncertainties with current empirical soil
- 497 carbon maps in terms of both global totals and spatial distribution (Todd-Brown et al., 2013). Model errors in soil
- 498 carbon may arise from poor representations of biophysical conditions, inaccurate parameterization, or lack of other
- 499 important drivers. Soil carbon representation in ED, like that of many other DGVMs/ESMs, is highly simplified and
- 500 the relatively low soil carbon is consistent with a relatively short residence time of soil carbon (about 11.4 years),
- 501 which was close to the lower bound of other CMIP6 ESMs (Ito et al., 2020). Third, ED estimates of ET were lower
- 502 than reference across all latitudes. One reason for this difference could be the parameterization of Penman-Monteith
- 503 equations in the Hydrology submodule, as the value of aerodynamic resistance used in this study was higher than
- 504 reported in Mu et al 2011. A second potential cause could be the scaling of evapotranspiration (Bonan et al 2021),
- 505 which combines cohort scale transpiration with patch scale evaporation and currently omits vertical variation of
- 506 evaporation. Finally, the seasonality of GPP and LAI in tropics differed from reference datasets. The pattern and
- 507 <u>timing of seasonality in the tropics is scientifically challenging to understand and has been the subject of several</u>
- 508 recent studies (Morton et al., 2014; Saleska et al., 2016; Tang et al., 2017). In ED, similar to other DGVMs/ESMs,
- 509 soil water availability is assumed as the primary driver of tropical phenology. Such mechanisms lead to reduced LAI
- 510 and GPP over dry seasons, which contrast to observations (Restrepo-Coupe et al., 2016). and strongly driven by
- 511 NPP and soil temperature, but these two drivers have been shown to only explain a small amount of spatial variation
- 512 in the HWSD map (Todd-Brown et al., 2013). The underestimation in the boreal forest/Taiga biome in particular is
- 513 likely due to a combination of these factors. Ongoing research through the NASA Arctic Boreal Vulnerability
- 514 Experiment (ABoVE) program and the Next Generation Ecosystem Experiments (NGEE Arctic) program will likely
- 515 improve both the data and model parameterization in this critical region.
- 516

517 In this study, we developed a new global version of the Ecosystem Demography model and evaluated it against

- 518 <u>benchmark datasets for a wide range of important variables spanning carbon stocks, carbon and water fluxes,</u>
- 519 <u>vegetation distribution, and vegetation structure.</u> Historically, different models have been developed separately in
- 520 areas of biogeochemistry, biogeography, and biophysics, and in some cases important patterns have been
- 521 <u>established</u>set through observations or other prior constraints (Bonan, 1994; Dickinson, 1993; Haxeltine and
- 522 Prentice, 1996; Hurtt et al., 1998, Lieth, 1975; Neilson, 1995; Parton, 1996; Potter et al., 1993; Prentice et al., 1992;
- 523 Raich et al., 1991; Sellers et al., 1986). The ability of this model to reliably simulate such a wide range of
- 524 phenomena globally in a single mechanistic and consistent framework represents an important interdisciplinary
- 525 <u>synthesis, a functional modelling advance, and to our knowledge is unprecedented.</u>

526 Previous studies have developed benchmarking packages and designed model intercomparison activities to evaluate 527 model performance (Abramowitz et al., 2012; Collier et al., 2018; Eyring et al., 2016; Ghimire et al., 2016; Kelly et 528 al., 2013; Luo et al., 2012; Randerson et al., 2009; Sitch et al., 2008). Like those studies, we evaluated ED-model 529 results using many key datasets and variables. The work here has utilized a particularly wide range of variables. 530 utilized the latest versions of key foreing data on elimate and land-use, and added a new focus on vegetation 531 structure. 532 533 Future work will focus on addressing the limitations discussed above and making direct connections with lidar forest 534 structure observations from GEDI and ICESat-2 to improve demographic processes, and the quantification and 535 attribution of the terrestrial carbon cycle. Meanwhile, the global development and evaluation of ED demonstrates the 536 model's ability to characterize essential aspects of terrestrial vegetation dynamics and the carbon cycle for a range of 537 important applications. This model has recently been integrated with NASA's Goddard Earth Observing System, 538 Version 5 (GEOS-5) to forecast seasonal biosphere-atmosphere CO₂ fluxes in 2015-16 El Niño (Ott et al., 2018), 539 and-used in NASA's Carbon Monitoring System as the tool for high spatial resolution (e.g., 90 m) regional forest 540 carbon modelling and monitoring (Hurtt et al., 2019a; Ma et al., 2021), and leveraged, and also by NASA's Global 541 Ecosystem Dynamics Investigation mission for quantification of land carbon sequestration potential (Dubayah et al., 542 2020a; Ma et al., 2020). Results from these studies will likely be of importance to for a range of science 543 applications, and also be-used to inform and prioritize future model advances. Meanwhile, the increasing number of 544 remote sensing missions and related data sets, advances in computation, and growing stakeholder interests in carbon 545 and climate, as evidenced by the UN Paris Climate Agreement, bode well for future advances. 546 547 Code and data availability. All model simulation and source script can be found in 548 https://doi.org/10.5281/zenodo.5236771. All benchmarking datasets are cited and publicly available. 549 550 Author contributions. LM, GH, JF, SF and RS developed model code. LM, GH and LO designed this study. LM 551 conducted model simulation and evaluation. LM, GH and RL wrote main body of the manuscript. All authors 552 contributed to analysis and manuscript preparation. 553 554 Competing interests. The authors declare that they have no conflict of interest. 555 556 Acknowledgements. This work was funded by NASA-CMS (grant no. 80NSSC17K0710, 80NSSC20K0006, and 557 80NSSC21K1059), and NASA-IDS (grant no. 80NSSC17K0348).

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Figures and Tables

Table 1. Summary of benchmarking datasets used for evaluation of ED model.

Variable	Source	Description	Reference
Vegetation distribution			
PFT	ESA CCI	Global gridded, 300-m, 2015	ESA (2017)
Carbon stocks			
AGB	Santoro et al. (2018)	Global gridded, 100-m, 2010	Santoro et al. (2018)
	Spawn et al. (2020)	Global gridded, 300-m, 2010	Spawn et al. (2020)
Soil carbon	HWSD	Gridded, 0.05 degree, 2000	Wieder et al. (2014)
Carbon and water fluxes			
GPP	FLUXCOM (RS+METEO, CRUJIA and ERA5)	Global gridded, 0.0833-degree, 1979-2017 monthly	Jung et al. (2020)
	FluxSat	Global gridded, 0.05-degree, 2001-2018 monthly	Joiner et al. (2018)
NBP	CAMS (v17r1)	Global gridded, 1.875x3.75-degree, 1979- 2017 monthly	Chevallier et al. (2005)
	Jena CarbonScope (s81oc_v2020)	Global gridded, 2.5x2.0 degree, 1981-2016 daily	Rödenbeck et al. (2008)
	CarbonTracker Europe (CTE)	Global gridded, 1x1 degree, 2000-2016 monthly	van der Laan-Luijkx et al. (2017)
	GCB2020 DGVMs	Global total, 1959-2019 yearly	Friedlingstein et al. (2020)
	GCB2020 Residual sink	Global total, 1959-2019 yearly	Friedlingstein et al. (2020)
ET	FLUXCOM (RS+METEO, CRUNCEP and GSWP3)	Global gridded, 0.0833-degree, 1981-2014 monthly	Jung et al. (2020)
Vegetation structure			
Tree height	GEDI L2A (v001<u>v002</u>)	51°N ~ 51°S, 20-m footprint, 2019-2020	Dubayah et al. (2020c)
	ICESat-2 ATL08 (v003 v005)	51°N ~ 51°S, 100-m footprint, 2018-2020	Neuenschwander et al. (2020)
LAI	MODIS MCD15A3H (v006)	Global gridded, 500-m, 2003-2016 4-day	Myneni et al. (2015)
	GEOV2	Global gridded, 1/3-km, 1999-2016 10-day	Verger et al. (2014)
Vertical LAI	GEDI L2B (v001<u>v002</u>)	51°N ~ 51°S, 20-m footprint, 2019-2020	Dubayah et al. (2020b)

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Figure 1. Diagram of vegetation representation scheme in ED model. Globe consists of land grids with fixed spatial resolution. A grid consists of patches with different ages from last disturbance and land use types, and patch areas dynamically change over time as a result of disturbance and land use changes. A patch consists of consists with different plant functional types and sizes. Plants in a cohort are depicted by properties including individual density, canopy height, diameter at breast (DBH), and biomass in leaf, sapwood, structural tissue and fine roots, and all these properties are simulated as a result of interaction with environment and other cohorts. Note that not all properties are shown here.



Figure 2. Schematic diagram of processes represented in ED model. Dynamics at cohort level consists of carbonrelated flow (green arrow), water-related flow (blue arrow) and nitrogen-related (orange arrow). Carbon dynamics include carbon assimilation by photosynthesis, carbon allocation for plant growth in height/DBH, reproduction and respiration, carbon translocation between plants and soil through tissue turnover as litterfall and dead plants due to mortality, and carbon decomposition and respiration in soil carbon pools. Water dynamics include water inputs from precipitation and infiltration into soil, uptake by vegetation and evaporation and transpiration of soil and canopy. Nitrogen dynamics includes nitrogen uptake from soil pools, translocation from vegetation to soil through litterfall and dead plants, and mineralization and immobilization in soil. Note that not all processes that ED characterize are depicted here. Dynamics at patch level consist of consequences from a variety of disturbance events both natural and anthropogenic. Patch dynamics include disturbance-driven patch heterogenization in age and areas, forest succession, wood harvesting, deforestation for cropland and pasture expansion, and forest recovery and reforestation from abandoned cropland, harvested forest and pasture.



Figure 3. Spatial distribution of broadleaf PFTs, needleleaf and PFTs and grass and shrub PFTs in 2015 from ED (a), (c) and (e), and from ESA CCI (b), (d) and (f). Corresponding latitudinal total area is compared in (g) and (h).



Figure 4. AGB in 2010 from ED (a), Spawn et al., (2020) (b), and Santoro et al., (2018) (c), with latitudinal average AGB compared in (d).



Figure 5. Soil carbon density in 2000 from ED (a) and HWSD (b). Latitudinal average density and total stocks per biome are compared in (c) and (d), respectively. In the legend of (d), BF is Boreal Forests/Taiga, DXS is Deserts and Xeric Shrublands, FGS is Flooded Grasslands and Savannas, MFWS is Mediterranean Forests, Woodlands, and Scrub, MGS is Montane Grasslands and Shrublands, TBMF is Temperate Broadleaf and Mixed Forests, TCF is Temperate Coniferous Forests, TGSS is Temperate Grasslands, Savannas, and Shrublands, TSCF is Tropical and Subtropical Coniferous Forests, TSDBF is Tropical and Subtropical Dry Broadleaf Forests, TSMBF is Tropical and Subtropical Moist Broadleaf Forests, TSGSS is Tropical and subtropical grasslands, savannas, and shrublands, and T is Tundra.



Figure 6. Average annual GPP between 2001 and 2016 from ED (a), FLUXCOM (b), FluxSat (c) and CSIF (d). Comparison of latitudinal average GPP is shown in (e).



Figure 7. Time-series of global annual total GPP from ED, FLUXCOM, and FluxSat, and global annual average CSIF. Their interannual anomaly is shown in the inset.



Figure 8. Average seasonal cycle (2001-2016) of GPP from ED, FLUXCOM, FluxSat, and CSIF by latitudinal band.



Figure 9. Global annual NBP between 1981 and 2016 from ED (black line), DGVMs from the GCB2020 (ensemble average shown in blue line with $\pm 1\sigma$ spread shown in blue shading), the ensemble of atmospheric inversions (ensemble average shown in pink line with $\pm 1\sigma$ spread shown in pink shading), and the terrestrial residual sink of the GCB2020 (green line). Positive values indicate net carbon uptake from land. Background shading represents the bi-monthly Multivariate El Niño/Southern Oscillation (ENSO) index, where red indicates El Niño and blue indicates La Niña.



Figure 10. Annual NBP between 1981 and 2016 from ED and ensemble of atmospheric inversions for the Northern Hemisphere (>30°N) (a), tropics (30°N - 30°S) (b) and the Southern Hemisphere (<30°S) (c). Black line is ED, and the pink line and pink shading are the inversion ensemble average and $\pm 1\sigma$ spread of atmospheric inversions, respectively.



Figure 11. Average annual ET between 1981 and 2016 from ED (a) and FLUXCOM (b) with corresponding latitudinal average comparison (c).



Figure 12. Average LAI during the growing season between 2003 and 2016 from ED (a), GEOV2 (b), and MODIS (c). Corresponding latitudinal averages are compared in (e). Growing season is defined as the months during which the average air temperature of MERRA2 is above 0°C.



Figure 13. Interannual global average growing season LAI from ED, MODIS and GEOV2. The anomaly is calculated by subtracting annual LAI by multi-year average.



Figure 14. Seasonal LAI by latitudinal band from ED, MODIS and GEOV2.



Figure 15. Vertical LAI from ED (left column) and GEDI L2B (right column) at height (0-10m) in (a) and (b), 10-20m in (c) and (d), 20-30m in (e) and (f), and above 30m in (g) and (h), respectively.





Figure 16. Relative fraction of vertical LAI by latitudinal band between ED and GEDI L2B.



Figure 17. Canopy height from ED (a), GEDI L2A (b), and ICESat-2 ATL08 (c). Latitudinal averages are compared in (d). ESA CCI data grids with tree fractions below 5% are masked.