

Integration-based Extraction and Visualization of Jet Stream Cores

Final Response

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Geoscientific Model Development

Dear Reviewers,

We would like to thank you for your constructive and helpful comments. This document presents our response to the questions, and details how we address comments and suggestions in a revised manuscript. In this document, referee questions are written in black, while author replies are written in blue. Throughout this document, several images show a preview of the revised manuscript to illustrate the changes. Revised text passages are highlighted in red.

Sincerely,
The authors.

1 Review 1

2 *Anonymous Review*

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4 Summary:

5
6 This paper proposes a new method to extract jet-stream core lines by using a predictor-
7 corrector approach. Instead of defining the feature as a local extremum point at each grid point,
8 they use an integration-based approach where from precomputed seed point of maximum wind
9 speed the line is traced along the local wind flow and corrected towards the ridge lines to obtain
10 the final core line features.

11
12 Their work is based on the local jet core extraction method by Kern et al., but in contrast to
13 Kern's method, their approach does not suffer from cluttered, disconnected features. Instead,
14 they demonstrate that their features remain connected over regions of high wind speed, and
15 align with ridge lines. They are further able to identify merge and split events of the core line
16 features that occur at certain time steps.

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18 Contributions:

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- 21 • Novel automated method to compute core lines using multiple time steps and a
 - 22 predictor-corrector approach, serves as an extension of Kern et al.'s method.
 - 23 • Automated identification of split and merge events
 - 24 • Interactive visualization of these features, along with associated atmospheric processes
- 25

26 In my opinion, this paper shows a scientific contribution to the community, its writing style is
27 good and easy to understand, and it clearly demonstrates the benefit of the proposed method
28 by means of real-case applications. In particular, the authors show, similar to Kern's work, that
29 their approach helps meteorologists to better understand the intercorrelation between jet stream
30 core lines and surrounding / associated atmospheric features. I also want to highlight the short
31 but good explanation of potential vorticity, warm-conveyor belts, tropopause, and the core line
32 feature itself. There are only minor suggestions or questions from my side, but I can recommend
33 accepting this paper with some minor corrections.

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35 Critics:

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37 - Figure 5 and Figure 6 should also contain the color tables, or it should be explained what the
38 color means. The general captions of the figures are good, but some of the color tables are hard
39 to read (Figure 8). I would recommend using larger text fonts or annotate the tables with latex.
40 **We added the annotations for Figures 5 and 6. Throughout the document, all color maps**
41 **and their annotations are now placed with LaTeX to keep the font sizes consistent with**
42 **the text. In the following, the placement of color maps is shown for Figures 5, 6 and 8.**

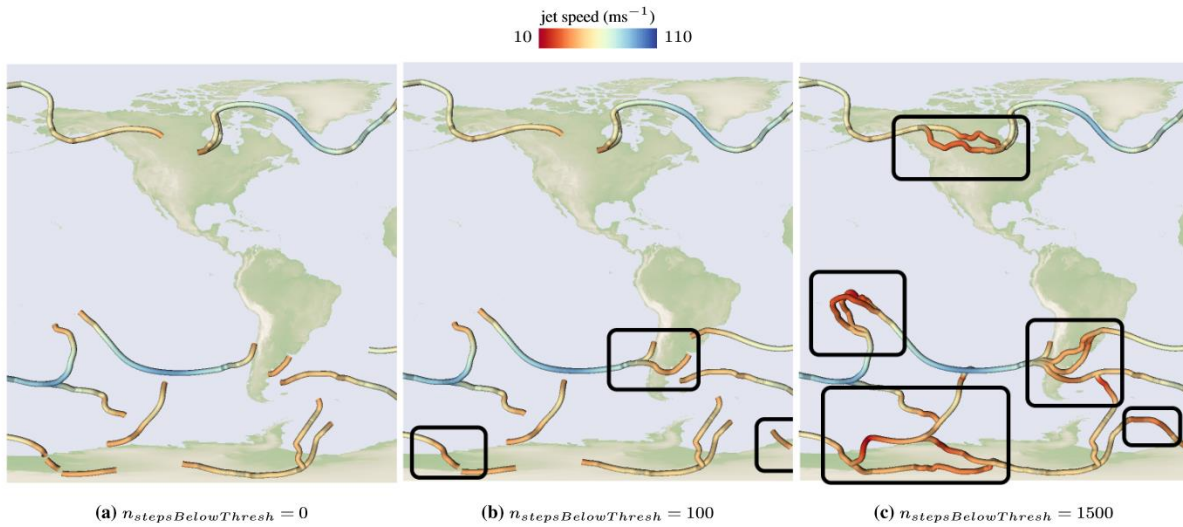


Figure 5. Increasing the number of prediction steps a jet core might remain below the wind magnitude threshold, results in longer connected jet corelines. Here, for 11.09.2016 15:00.

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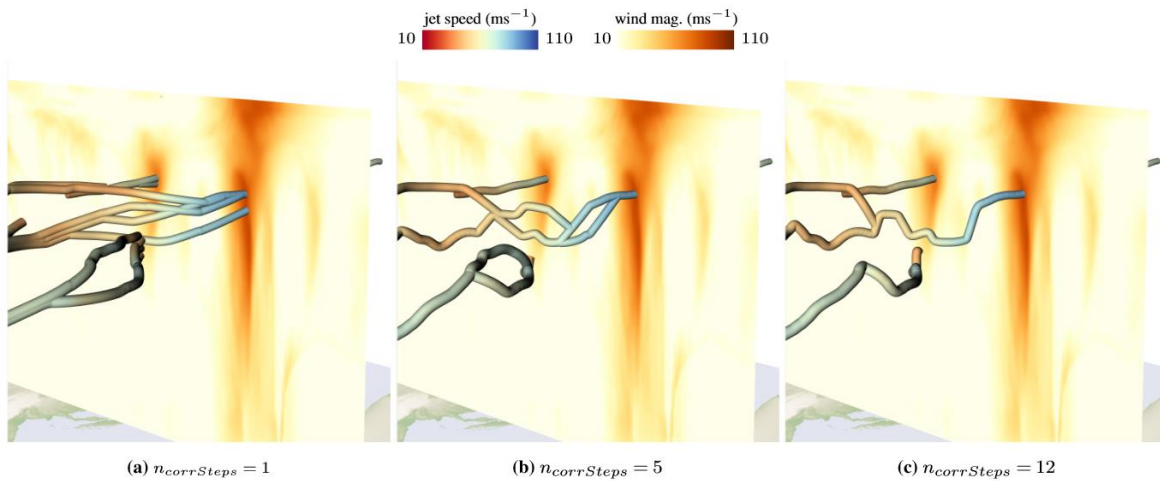


Figure 6. Comparison of jet corelines for varying number of correction iterations. The higher the number, the closer the line follows a ridge line as proposed by Kern et al. (2017), which might exhibit higher curvature. Lowering the number of corrector iterations smooths the line. A cross section of the wind magnitude field shows how well the extracted corelines pass through sectional extrema. Here, for 11.09.2016 15:00.

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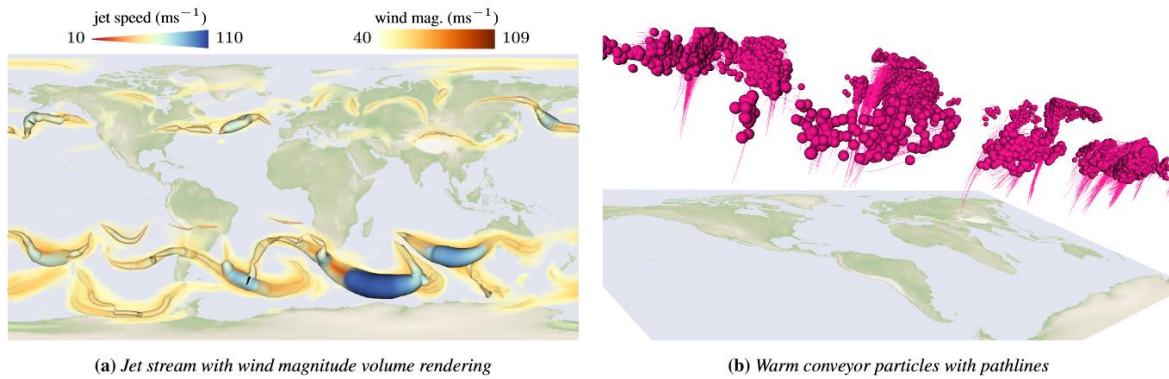


Figure 8. Jet stream corelines are rendered as tubes, with jet speed being mapped to color and radius. Left: with volume rendering of wind speed. Right: warm conveyor belt particles are shown as particles with pathlines attached to convey motion.

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46 - Typo in line 350: "jhe" --> "the"
47 **Fixed.**

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49 - Table 1: What do Var1 and Var2 mean?

50 **We listed extraction timings for the default parameters and two alternative parameter**
51 **settings. We now rephrased “var” to “variation” and explain the meaning in the caption**
52 **of Table 1: “[...], here listed for the default parameters and two variations from the default**
53 **parameters.”**

54
55 - In Figure 4, the authors compare the parallel vectors approach with their proposed method,
56 however, earlier in the text, they emphasize that their work is based on the method from Kern et
57 al. Are the results similar to the parallel vectors approach? Or can it be re-formularized using the
58 parallel vectors operator? Maybe the authors could also show the effect of smoothing and how
59 much the features actually diverge from the target result.

60 **Regarding the parallel vectors reformulation of Kern:**

61 **Eq. (3) is an equivalent reformulation of the Kern feature definition from Eq. (2) into the**
62 **parallel vectors notation. Two vectors are parallel, when their cross product produces**
63 **the zero vector. Expanding the cross-product yields the two equations from Eq. (2) and**
64 **the third condition $0=0$, which is always fulfilled.**

$$\begin{pmatrix} \partial s / \partial \mathbf{n} \\ \partial s / \partial \mathbf{z} \\ 0 \end{pmatrix} \parallel \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \Leftrightarrow \begin{pmatrix} \partial s / \partial \mathbf{n} \\ \partial s / \partial \mathbf{z} \\ 0 \end{pmatrix} \times \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \Leftrightarrow \begin{pmatrix} \partial s / \partial \mathbf{z} \\ \partial s / \partial \mathbf{n} \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad (3)$$

65
66 **We expanded the equation and now explain this after Eq. (3): “The symbol \parallel denotes**
67 **the parallel vectors operator (Peikert and Roth, 1999), which receives two vector fields as**
68 **input and produces the set of points at which the two given vector fields are parallel. The**
69 **two vectors are parallel if their cross product vanishes to zero. Applying the cross**
70 **product results in three equations: the two equations from Eq. (2) and $0=0$.”**

71
72 **Regarding the differences between Kern and the predictor-corrector approach:**
73 **For a high number of corrector steps, our approach converges to the ridge line of Kern et**
74 **al. (2017), as both methods aim for the same feature definition (wind magnitude extrema).**
75 **By controlling the number of correction steps of the parallel vectors extractor, the lines**
76 **can be regularized to follow the prediction direction, which results in smoother lines.**

77
78 **Regarding the effect of smoothing:**
79 **In our work, the amount of smoothing is controlled by the number of corrector steps. The**
80 **more corrector steps are applied, the more the jet is aligned with the ridge line in the**
81 **wind magnitude field, which is the feature that Kern et al. extracted. Figure 6**
82 **demonstrates the effect of varying the number of corrector steps. We added more**
83 **explanations to the caption to make clear that this parameter controls the smoothness:**
84 **“The higher the number (of correction iterations), the closer the line follows a ridge line**
85 **as proposed by Kern et al. (2017), which might exhibit higher curvature. Lowering the**
86 **number of corrector iterations smoothes the line.”**

87
88 - Extremum lines in general do not have to be aligned with the flow. However, the authors
89 actually want the features to follow the local streamlines if I understood it correctly. What is the
90 intention here? Is it due to numerical instability and grid resolution that integrating the lines
91 along the flow leads to more accurate results?

92 Yes, the low vertical grid resolution leads to unnatural bending of the ridge lines in the
93 vertical direction, see Fig. 6c. The alignment with the wind direction serves as a
94 regularization. We now explain the reasoning in the introduction section to better
95 motivate the approach: *“The latter (flow alignment) serves as regularization to prevent*
96 *unnaturally bent ridge lines caused by a low vertical resolution.”*
97

98 - Why did the authors choose to perform a regridding of the hybrid model level data? One could
99 also extract the feature directly from model levels, however, gradients and interpolation must be
100 done differently. Is it just because of simplicity or due to the focus on the tropopause and the
101 upper pressure levels? For feature extraction near the surface, model levels might be more
102 suitable than interpolated pressure levels.

103 **The regridding was done for computational convenience. The regridding led to 10x more**
104 **grid points, i.e., for every hybrid model level, we placed 10 regular grid points in the**
105 **vertical direction. During development, we went up to 30 regular grid points per hybrid**
106 **model level to be sure that no differences occur when increasing the grid resolution**
107 **further. Regridding consumes additional memory, which can be avoided by working**
108 **directly on the hybrid model level data. As mentioned by the reviewer, this requires**
109 **adjustments in the calculation of partial derivatives and interpolation. We added this**
110 **discussion to the data section.**

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112 - Figure 11: The core lines and the surface can hardly be seen. Would it be possible to use a
113 more detailed view and a different viewing angle? Especially the top image of 11.a) does not
114 clearly depict the features.

115 **In addition to the top view, we now also provide a side view for Fig 11a, as shown below.**
116 **Further, we added zoom-ins that display jets in the southern hemisphere. Additional**
117 **camera angles for 11b can be seen in the accompanying video. An additional three-**
118 **dimensional view in the equirectangular projection follows later in Figure 13, where the**
119 **corelines and their relative positioning to the tropopause can be seen better.**

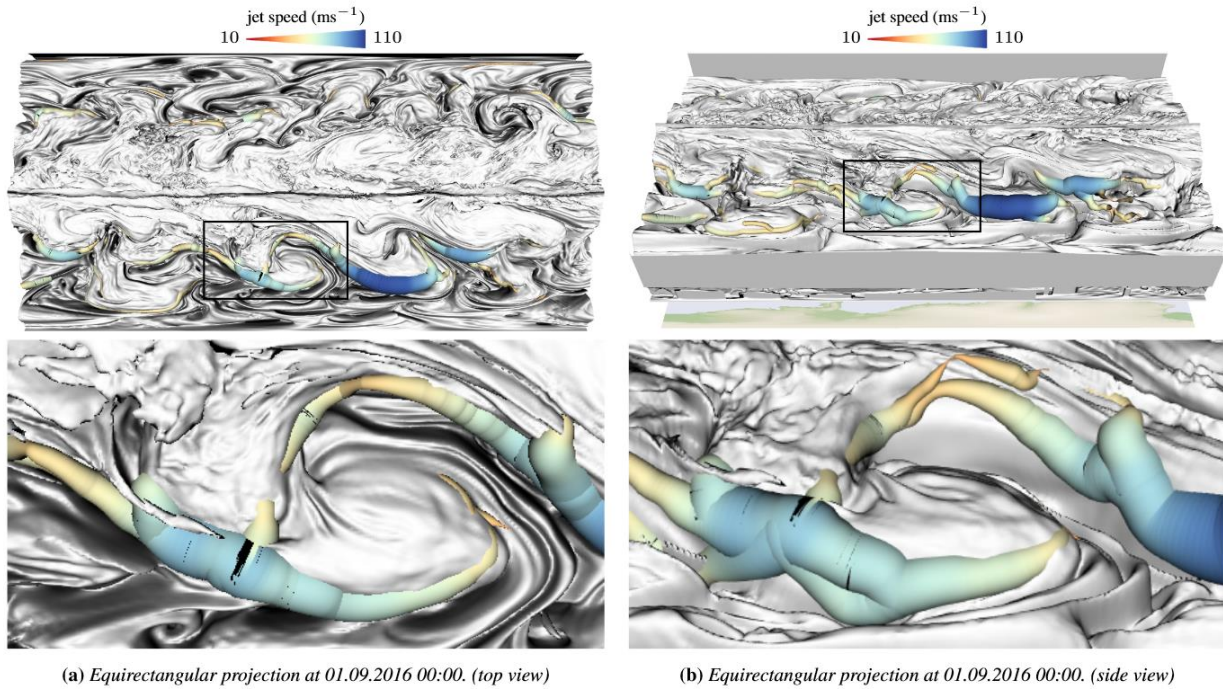


Figure 11. Interaction of Jet stream and tropopause. The jet stream is located where the tropopause is steep. Jet speed is mapped to tube color and tube radius.

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General questions:

- Is the predictor-corrector approach more stable for coarser grids than the other local methods? And what about more fine-scale grids?

The predictor-corrector approach allows the ridge lines to be regularized. When the ridge line exhibits high vertical curvature, then regularizing with a smooth vector field helps to produce smoother lines. The high vertical curvature of the ridge line is a product of the low vertical resolution of the hybrid model levels. With an increased model resolution, such regularization will hopefully not be necessary anymore in the future. Apart from this, local feature extractors such as parallel vectors often experience fragmentation independent of the discretization of the domain, resulting in spurious lines that have to

133 **be reconnected in a post-process. Predictor-corrector approaches are in the class of**
134 **integration-based methods, which generally avoid this numerical issue. We added this**
135 **discussion to the conclusion.**

136
137 - I would also suggest improving the conclusion and clearly demonstrate the benefit of the
138 proposed method. What is the improvement over existing methods? Kern et al also
139 demonstrated its benefit for operational forecasting. Is your approach and visualization tool able
140 to help forecasters in operational service?

141 **The benefits of the predictor-corrector approach are the ability to regularize the line**
142 **geometry and the inherent long connectivity of the extracted feature lines. With our**
143 **previous answer, these benefits are now stated more clearly in the conclusions. While**
144 **the extraction algorithm could be applied to data arising in an operational context, more**
145 **work is necessary for a successful integration in operational routines, including an**
146 **increased temporal stability, heuristics for automatic parameter selection, and a**
147 **requirement analysis with operational forecasters to integrate potential additional**
148 **constraints into the feature definition and extraction. We appended this interesting**
149 **avenue for future work in the conclusions.**

150 **Review 2**

151 *Gloria Manney*

152

153 **General Comments:**

154 This paper presents a new method and software for tracking and visualizing jet stream cores.
155 This is a potentially very useful new method with some important advantages and should be a
156 valuable addition to existing tools for jet characterization and analysis. As such, it should be
157 appropriate for publication in GMD and I would expect there to be much interest in it among
158 atmospheric scientists who focus on studies of the jet stream (including myself!). However, I feel
159 there are some important changes to the presentation needed to (1) better reflect previous work
160 on jet stream characterization and the phenomena (WCBs, tropopause structure) the jet
161 streams are related to here, and (2) to make the paper more accessible to an audience of
162 atmospheric scientists for whom this method / software may be very useful but who may not in
163 general be computer scientists or mathematicians. (I believe I'm a reasonable example of this
164 class of atmospheric scientist, so that if I don't understand some things it is not unlikely that
165 many other interested readers will be in the same position.) These changes are summarized
166 here (with some further specific examples given in the "specific/minor comments" below):

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169 • (1) A few terms and some notation are used throughout this paper that are not (clearly)
170 defined or are things many in your audience may not be familiar with, and should be
171 defined and/or expressed in plainer language:

172 ○ (a) Voxel -- should just be defined the first time it is used as it will be unfamiliar to
173 many readers (as I understand it from looking up the definition, it is nothing more
174 than the 3-D analog of a pixel).

175 **In Section 3.3, we now first introduce the terminology (the domain is**
176 **discretized onto a grid composed of cells). We now avoid the use of the**
177 **word voxel.**

178 ○ (b) Heuristic (heuristics, heuristically) -- in general (and in many fields) this term
179 is often (perhaps over) used and frequently not clearly defined (thus sometimes
180 mis-used). Indeed, dictionary definitions are many and varied. My impression is
181 that the way you use it here is something akin to "pertaining to a trial-and-error
182 method of problem solving used when an algorithmic approach is impractical", or
183 to simply say that the process in question requires human intervention (e.g., the
184 necessity to make choices based on things the human eye does very well but we
185 tend to have trouble telling computers how to do). A more specific statement (and
186 perhaps examples from some of the previous studies you cite) of what you mean
187 by "heuristic" would be very helpful in motivating the development and
188 advantages of your method.

189 **We avoided the word heuristics and now explained the local method in**
190 **more detail to point out the disadvantages that the proposed approach**
191 **avoids. We now explain that local line extraction methods solve for lines in**
192 **three steps. First, intersection points with the cell boundaries are**
193 **computed numerically per cell. Second, the intersection points are**
194 **connected to form line segments within the cells, which may fail if**
195 **intersection points were missed or are duplicated due to numerical**
196 **reasons. Third, the line segments are connected to continuous lines when**
197 **the end points of two segments are close enough to each other (within a**
198 **threshold) and when the tangent directions at the end points align (up to a**
199 **certain threshold). The result of this last operation is order-dependent,**

- 200 depends on the numerical accuracy of the first step, and is dependent on
201 thresholds.
- 202 ○ (c) Manifolds -- I question the need to use this term (which readers unschooled in
203 topology may not recognize or may immediately assume is expressing some
204 complicated concept) when the fundamental information conveyed in this context
205 by “instantaneous 1-manifolds” is that it is a line/curve (1-dimensional) at a
206 particular time, and by “time-dependent 2-manifold” that it is a time-varying
207 surface (2-dimensional).
- 208 **We agree, the usage of the topological terms is not necessary, since the**
209 **number of independent variables can be inferred from the terms “curve”**
210 **and “surface”. We rephrased “1-manifold” to “curve” and “2-manifold” to**
211 **“surface”.**
- 212 ○ (d) Several definitions (tropopause, WCBs, and “filtered” WCBs) used are
213 expressed in set-builder notation (which many readers may not be familiar with);
214 in general, you explain these (though not always completely) in words
215 beforehand, but it isn’t always obvious that that is what you are doing. I’d suggest
216 that you make this relationship explicit, by saying something like (e.g., for the
217 tropopause case): “...largest connected surface of 2 PVU (-2 PVU) in the
218 northern hemisphere (southern hemisphere) at pressures below 740 hPa, that is:
219 <insert equation 6>” (in fact, since this is a very common way to define the
220 tropopause, any atmospheric scientist interested in your work will immediately
221 understand this without the equation, so it is not obvious that you need the
222 equation at all -- however, I have no problem with including it as long as it is also
223 explained in plain words so that the reader will understand regardless of whether
224 they are familiar with the notation.
- 225 **We rephrased the paragraph of the tropopause definition, such that we first**
226 **explain the definition by words, and then the formal definition is given,**
227 **stating that this is the same definition but in formal language. The**
228 **definitions of WCB trajectories and WCB-tropopause intersections are**
229 **written in the same way.**
- 230 • (2) The citation of previous literature is lacking, especially with regard to upper
231 tropospheric jet streams and characterisation thereof. While I understand that the focus
232 here is on the software tools developed, these are being presented specifically in
233 relation to jets in the Earth’s atmosphere and thus the primary audience is atmospheric
234 scientists -- hence it is important to accurately relate this work to previous work in the
235 field and to the reasons why the method may facilitate future work. In particular:
- 236 ○ (a) Section 2.2: There are much better references than Dameris (2015) for what
237 the tropopause is and why it is important; in addition, Dameris (2015) is not
238 readily publicly available. I would start with the reviews by Holton et al (1995, Rev
239 Geophys) and Stohl et al (2003, JGR). In addition to Skerlak et al (2015), I would
240 add a couple of classic papers for tropopause structure such as (cited by Skerlak
241 et al) Danielsen (1968) and Shapiro (1980) -- or at least add “and references
242 therein”. Highwood et al (2000, QJRMS), Schoeberl (2004, JGR), and Kunz et al
243 (2011, JGR) are good references for the range of PV values that have been used
244 for dynamical tropopause identification and for what regions / purposes different
245 values are appropriate.
- 246 **We included the suggested references in Section 2.2 to guide the reader for**
247 **more details to the related work.**
- 248 ○ (b) Section 2.3: This is a very incomplete and biased discussion of upper
249 tropospheric jets and previous work characterizing them.

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- (i) Ahrens and Henson (2018) is not readily publicly available, and there are numerous choices for classic work describing the jet streams and their importance to the atmospheric circulation. Koch et al (2006) and Schiemann et al (2009) (already cited in this preprint) both give concise historical introductions. Harnik et al. (2016) provide a nice brief review in relation to jet regimes and extreme weather events.. Manney et al (2014, J Clim) and Manney and Hegglin (2018, J Clim) have in their introductions comprehensive discussions of the literature in the context of the importance of and variations in jet streams, which provides many of those classic references.
We included the suggested references in Section 2.3 to motivate the analysis of jet streams.
- (ii) Several methods for identifying and characterizing jet streams that seem very relevant to this work are not mentioned, including the method introduced by Manney et al (2011, ACP) and used in Manney et al (2014, 2021, J Clim) and Manney and Hegglin (2018) (with more physically-based distinctions of the subtropical and polar jets in the latter two of those papers); the method used by Winters et al (2020, MWR, and several references therein); and that of Maher et al (2020, Clim Dyn). Should also cite Spensberger & Spengler (2020, J Clim) in addition to Spensberger et al (2017) and note that their method does the characterization on the dynamical tropopause.
We included the suggested references in Section 2.3 when introducing jet extraction methods.
- (iii) There are many jet characterization methods / studies in which the “assumption that the flow is oriented eastwards” is not made (and others where it is only used after the fact), including Manney et al (2011 ACP,) (method also used by Manney et al (2014) and refined for Manney and Hegglin (2018) and Manney et al (2021).) In addition several methods (including that of Manney et al, above references) do characterize the jet position/extent in the vertical as opposed at a level or in a layer.
We added the references and briefly summarized the methods, giving credit to the vertical consideration.
- (iv) The statement that the jets “can be further classified into different types based on their location” is vastly oversimplified, and, given the usage of these terms later in the paper, the physical distinction between subtropical and polar jets should be discussed accurately here, as well as the fact that there is indeed a spectrum of jets that may have characteristics that are a hybrid between the two (see Lee & Kim, 2003, JAS; Manney et al, 2014, 2021; Winters et al, 2020; and references therein). Peña-Ortiz et al (2013), in fact, noted that attempting to distinguish polar and subtropical jets by latitude was commonly unsuccessful; Manney et al (2011, 2014) noted that using a simple latitude criterion was only useful for very broad climatological studies, and Manney and Hegglin (2018) introduced a more physically-based method of distinguishing subtropical and polar jets based on tropopause height changes across the jet region. Winters et al (2020, and references therein) distinguish subtropical and polar jets by identifying them in different isentropic layers, and show clear instances of them merging to form a jet with hybrid characteristics.

300 We included the summary of classification methods as suggested.
301 In the remainder of the manuscript we no longer distinguish jet
302 types and concentrate on the extraction of their coreline geometry
303 instead.

- 304 ○ (c) I am not as familiar with the literature on WCBs, but the discussion strikes me
305 as often making general statements without giving citations (some instances
306 noted below in the specific comments).

307 We added references to support the statements. The individual instances
308 are described further below in the specific comments.

- 309 • (3) General Questions (those with ** at the beginning are more further information /
310 general interest questions, rather than necessary changes to this manuscript):
 - 311 ○ (a) Rationale for choices of thresholds/definitions, and discussion of sensitivity to
312 those thresholds/definitions (there is a statement on line 279 that “thresholds
313 used in the definitions have been chosen based on common practices in
314 atmospheric science”, but you need to give references and briefly note the
315 physical basis for that “common practice”, including:
316 **Instead of “common practice”, we now write that the parameters are
317 chosen empirically. We mention other choices as suggested below.**
 - 318 ▪ (i) 40 m/s for the minimum windspeed for the seed points. (Some
319 information on the sensitivity of the performance of the software to this is
320 given, but no rationale is given for the default choice, nor is the sensitivity
321 of the physical results to this discussed.)
322 **For the proposed jet coreline extraction method, this threshold is an
323 algorithmic choice, and results for different options have been
324 shown. A discussion of the physical consequence of different
325 threshold choices goes beyond the scope of the paper, as this
326 cannot be done without an analysis of the dynamic processes. We
327 added to the manuscript that 40m/s was chosen empirically.**
 - 328 ▪ (ii) 190 to 350 hPa for the domain for jet extraction. 190 hPa is not low
329 enough to exclude the stratospheric “subvortex” jet, which commonly
330 extends down to between 150 and 250 hPa (eg, 340K), especially in the
331 SH late winter and spring (e.g., Manney et al., 2014). Manney et al (2014,
332 their Fig. 6) fairly commonly identified jet cores of over 40m/s as low as
333 about 5 km, near or at their high pressure search boundary of 400 hPa,
334 as well as jet cores (distinct from the stratospheric subvortex jet, which
335 they characterized separately) near 13--14 km (typically for subtropical
336 jets at latitudes equatorward of about 30 degrees), very near or at their
337 low pressure search boundary of 100hPa; as they noted (and identified),
338 the stratospheric subvortex jet often overlaps considerably in altitude with
339 the upper tropospheric jets.
340 **Section 3.1 reports the data and the search bounds (190 to 350 hPa)
341 that we used when developing the algorithms on our two month time
342 window. We now note that the search space needs to be increased,
343 depending on the analysis task and the considered spatial and
344 temporal domain, referring to the work of Manney et al. (2014) for jet
345 extractions below and above our considered pressure range.**
 - 346 ▪ (iii) 2 PVU for the dynamical tropopause (many other values are used,
347 and higher values of 3 to 5 PVU have often been recommended for mid to
348 high latitude features, eg, Highwood et al, 2000, QJRMS; Schoeberl,
349 2004, JGR; Kunz et al, 2011, JGR), and 740 hPa for the maximum
350 pressure (e.g., intense sub-synoptic scale events can be associated with

351 the tropopause dropping well above this pressure, eg, Lillo et al, 2021,
352 JAS, and references therein). Also, if the domain studied extends into the
353 tropics, how is the tropopause computed there since PV goes to zero (the
354 most common procedure is to use an isentropic surface, commonly 380K,
355 wherever the magnitude of the PV is less than the threshold above this
356 isentropic level, eg, Schoeberl, 2004; Manney et al, 2011; and references
357 therein)?

We now mention the different choices for the PV isosurfaces and the pressure threshold, when introducing the formal definition for the tropopause. We extract isosurfaces for +2 and -2 pvu separately and display both surfaces together. Along the equator, we did not handle the sign flip, since we did not concentrate on tropical regions. We now refer to the work of Schoeberl and Manney in this context.

- (iv) Thresholds for proximity of WCBs to jet coreline.

We now mention that those thresholds are chosen empirically.

Those parameters are not part of the jet coreline computation, but are used to define spatial proximity of WCBs and jet corelines.

- (b) I find the discussion overall somewhat unclear in the usage of “steps” -- there are spatial steps (eg, the grid spacing used for the prediction step), time steps, and procedural steps (eg, prediction and correction steps) and it is not always clear from the context which is being discussed.

We carefully checked the manuscript for all occurrences of “step” and clarified whether these are “time steps”, “integration steps”, “correction steps” or “prediction steps”.

- (c) The representation of the corelines (which, as I understand it, are simply that, that is lines approximately connecting the core locations) as tubes, with wider tubes for higher windspeeds has the potential to confuse the reader into believing they show the jet region (analogous to the “regions” discussed in Koch et al, 2006 and Manney et al, 2011). While there will be some information since regions with higher windspeeds will have windspeeds above the threshold(s) over a larger area, there is by no means a direct correspondence since the wind gradients are not uniform or symmetrical around the core. The text needs to be very clear about this point, so as to not mislead the reader into thinking they are seeing the physical region where a jet is defined.

For all figures in which the velocity magnitude was mapped to the tube radius, we now explain this encoding in the caption.

- (d) While the terms subtropical and polar jets are tossed around in the paper there is apparently no attempt to distinguish these in a physically meaningful way. Thus statements suggesting that a jet coreline represents a subtropical or polar jet should not be made. **Also, it would be interesting to know if there are plans to add such a distinction to the method.

Now we only mention in Section 2.3 (Jet Streams) that different jet stream types exist. In the remainder of the paper, we no longer distinguish their types. Identifying the type of a jet given the jet geometry would certainly be interesting.

- (e) Use of pressure rather than potential temperature for the vertical coordinate: Why was the pressure coordinate chosen for the jet extraction? Given that an isentropic coordinate would be more “flow-following” on short (days to a week or two) timescales, would one expect substantial differences if the procedure were

401 implemented in an isentropic coordinate? **Would it be feasible to implement it in
402 isentropic coordinates?

403 **PV can be defined in both coordinate systems and subcommunities have**
404 **different preferences. Conceptually, the predictor-corrector based**
405 **extraction is possible in both coordinate systems, since both the prediction**
406 **and the correction follow ODEs that can be equivalently expressed in**
407 **different coordinates. We mention this now in Section 3.1.**

408 ○ (f) **I would be interested in some more discussion (perhaps largely in an
409 appendix or in the supplemental material) on the performance. The description
410 given is all per time step (and it is not entirely clear what the time step being
411 referred to is). Your study period is two months. What is the total time to process
412 that period? The description of the procedure sounds storage-intensive -- what is
413 the total storage needed for output for your study period? What do the
414 performance results imply about the feasibility of using this procedure for
415 climatological studies? From all of this, can you say something about the system
416 requirements (CPUs/speed, memory, cache, storage) for running this
417 effectively?

418 **We now clarify in Section 3.4 that we worked with hourly simulation data.**
419 **The computation time is listed per simulation time step and in total for the**
420 **whole two months of simulation data. The used processor is listed, as well.**
421 **The code uses basic OpenMP parallelization, but is not optimized for cache**
422 **efficiency, memory usage and low storage requirements.**

423 ○ (g) **I would also be interested (again, in an appendix or supplementary material)
424 in more specifics about the algorithms used for various steps. I think such
425 information could be very helpful to the reader who might want to implement
426 something similar to parts of this but is not conversant with C++.

427 **The main ingredients for a reimplementaion of the predictor-corrector**
428 **approach are:**

- 429 1. **Interpolation of variables from a discrete grid (we used trilinear**
430 **interpolation).**
- 431 2. **identification of extremal points for seeding (find grid points around**
432 **which all adjacent grid points have a lower wind speed)**
- 433 3. **Numerical integration of an ODE (we used a fourth-order Runge-**
434 **Kutta integration)**

435 **These details are now described in Section 3.2.**

436

437 **Specific / Minor Comments (in order of appearance):**

438 Line 22, please provide (a) more accessible and foundational reference(s) per general comment
439 (2).

440 **We added previously suggested references that introduce jets and emphasize their**
441 **importance.**

442

443 Line 28--29, why is the tropopause expected to show highly 3-d structures around split and
444 merge events? Please give references for this.

445 **We rephrased this to make clear that this is not necessarily expected, but instead a**
446 **hypothesis that we want to investigate by extracting and visualizing the jets and the**
447 **tropopause in 3D. This sentence serves as motivation to look at these structures in 3D.**

448

449 Line 76--80, would be good to note somewhere in here that the tropopause altitude is generally
450 highest in the tropics, lowest near the poles, and drops sharply across the subtropical jet. It not

451 uncommonly extends below 6km in folds or other tropopause depressions (see general
452 comment (2a)).

453 **We added this general remark as suggested and included the reference to Lillo et al.**

454

455 Line 105, this is presumably a right-handed coordinate system, and v is defined as positive if
456 Northward?

457 **Indeed, we added that u is oriented eastward and v is northward. Left- or right-**
458 **handedness depends on the direction of the vertical axis $k = (0,0,1)$. Both orientations are**
459 **possible, since the parallel vectors condition in Eq. (3) results in root-finding problems,**
460 **which have the same solution regardless of whether the axis is multiplied by -1.**

461

462 Lines 108--112 (through eq. 3), this sentence / equation aren't very clear. I'm guessing that the
463 text is supposed to be a description of the following equation, but I don't know what the || means
464 in this context (where it looks like an operator or something stating a relationship) nor whether
465 the equation is supposed to represent the rephrasing of the problem or something related to the
466 solver. Please re-word.

467 **We added more detail to explain how Eq. (3) is a reformulation of Eq. (2). The parallel**
468 **vectors operator “||” is indeed an operator that receives two vector fields as input and**
469 **produces the set of all points at which the two given vector fields are parallel. Two**
470 **vectors are parallel if the cross-product is zero. The cross product has three vector**
471 **components. The first two components are the expressions of Eq. (2) and the third**
472 **component gives 0. There are a number of standard algorithms to find the roots of those**
473 **cross-product components.**

474

475 Figure 1 caption, please clarify that the date/time at the end of the caption is that shown in the
476 Figure.

477 **Yes, the time in the caption is correct. By closer inspection we noticed that the image**
478 **was vertically flipped, which is now corrected. In this image, weak jets over Asia are**
479 **shown.**

480

481 Lines 121-122, some general reference(s) should be given for cyclones.

482 **We included the following references on cyclones to refer the reader to a more elaborate**
483 **introduction:**

484 **(1) Wernli, H. and Schwerz, C.: Surface Cyclones in the ERA-40 Dataset (1958–2001). Part I:**
485 **Novel Identification Method and Global Climatology, Journal of the Atmospheric Sciences, 63,**
486 **2486 – 2507, <https://doi.org/10.1175/JAS3766.1>, 2006.**

487 **(2) Schultz, D. M., Bosart, L. F., Colle, B. A., Davies, H. C., Dearden, C., Keyser, D.,**
488 **Martius, O., Roebber, P. J., Steenburgh, W. J., Volkert, H., and Winters, A. C.: Extratropical**
489 **Cyclones: A Century of Research on Meteorology's Centerpiece, Meteorological**
490 **Monographs, 59, 16.1 –16.56, <https://doi.org/10.1175/AMSMONOGRAPHS-D-18-0015.1>,**
491 **2019.**

492

493 Line 192, please explain what is meant by easing “the balancing between prediction and
494 corrections steps” and why normalization accomplishes this.

495 **The rate of how fast a numerical integration proceeds through space depends on the**
496 **magnitude of the velocity and the chosen integration step size (which is constant). By**
497 **normalizing the velocity vector, the amount of spatial movement only depends on the**
498 **number of prediction steps n_{pred} and the number of correction steps**
499 **n_{corr} . That is, it no longer depends on the wind speed. We added more**
500 **explanation for this after Eq. (5).**

501 Line 214, and Figure 2 caption. If the weak endings are removed, why are there still green
502 segments in Fig. 2(d)?

503 **The green segments in Fig 2d are regions on the jet at which the wind velocity magnitude**
504 **threshold is temporarily not reached. When tracing a jet, we terminate the jet only when**
505 **this happens for more than \$n_stepsBelowThresh\$ subsequent integration steps. With**
506 **this, the jets are allowed to temporarily fall below the threshold and remain connected for**
507 **a longer time. We added an explanation to the figure caption.**

508

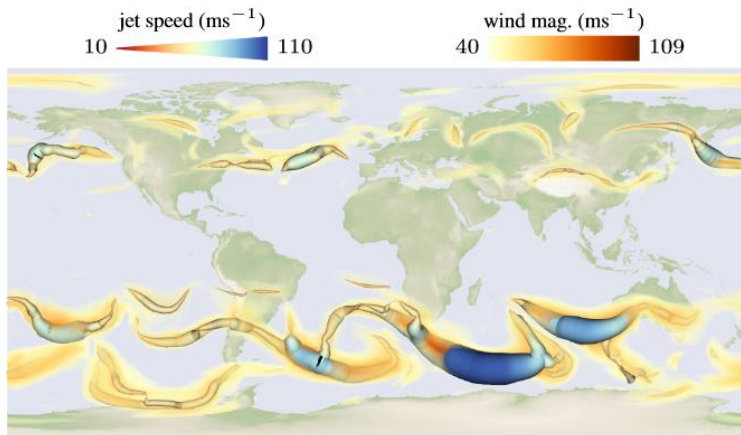
509 Line 292, what is the method for integrating $dx(t)/dt$ for the trajectories?

510 **We use a fourth-order Runge-Kutta integrator. We added this to Eq. (7).**

511

512 Lines 316-317 & 331, I don't know what you mean by "transfer functions", please explain or
513 reword (since it sounds like you are just saying both the radius and color are dependent on
514 magnitude, you could simply say that). It would be helpful to have some sort of a key for the
515 radius on the plots; if the radius relationship is also linear (as the color one appears to be) you
516 might make the color bar a wedge rather than a rectangle. If the radius change is not linear with
517 windspeed, you need to say that. Related to this, and Figs. 10, 11, and 12, it needs to be
518 explicitly stated that the radius does not show the region wherein a jet is defined, per general
519 comment (3c).

520 **We clarified in Section 4.3 (Visual Mapping) that color and tube radius are dependent on**
521 **the velocity magnitude. The term "transfer function" is standard terminology in scientific**
522 **visualization and refers to the mapping of a quantitative attribute to a visual channel, for**
523 **example a color, a transparency or a radius. As suggested, we made the color bar to a**
524 **wedge rather than a rectangle in all figures, in which the magnitude was mapped to the**
525 **tube radius. In addition, we explicitly mention in the respective captions that the radius is**
526 **determined by the magnitude. Here is an example from Figure 8(a):**



527

528

529 Line 318, how did you determine that it was a stratospheric jet?

530 **The jet was positioned above the tropopause. As mentioned earlier, we have now**
531 **removed all classifications of jets, as this is a separate topic.**

532

533 Line 326, why not use a north polar orthographic or a north polar Lambert equal area
534 projection? These emphasize the mid to high latitude regions more than the stereographic.

535 **We now mentioned in Section 4.3 (Viewing Projections) that other projections are**
536 **imaginable as well.**

537 Figures 2, 8, 9 10, and 12, the color bars are too small. Also, the choice of a diverging color
538 palette for the windspeed in these and other figures seems a poor one, since it is a positive
539 definite quantity for which it does not appear that there is a reason to emphasize a transition at
540 one particular value -- a perceptually uniform sequential palette would be preferable.

541 **We unified the size and placement of all color bars throughout the paper. We now use a**
542 **sequential color map for the wind speed whenever we show vertical slices. We would**
543 **prefer to keep using a diverging color map for the coloring of the jet tubes, since we want**
544 **to set the reader's attention to the weak jet parts as well, since those are the structures**
545 **that are affected by temporal incoherence the most. For the purpose of demonstrating**
546 **strengths and weaknesses of the algorithm, we think that the weak jets structures should**
547 **not be hidden, and would therefore prefer to keep the diverging color map for jets.**

548
549 Lines 340-343, per general comment (3d), you have not done anything to identify polar vs
550 subtropical jets, which have different primary driving mechanisms and thus different
551 characteristics (e.g., Lee & Kim, 2003; Manney et al., 2014; Winters & Martin, 2020; and
552 references therein). There are not "generally" two jets, in fact the patterns of jets and how many
553 there are (with one to three being most common, but more possible at a given time/longitude)
554 vary strongly with region and season (e.g., Manney et al., 2014, 2021, especially see Fig. 1 in
555 the latter). If you are going to use the terms, you need to provide some justification for referring
556 to a particular jet as polar or subtropical since there are important physical distinctions between
557 the two (and of course, some jets may have hybrid characteristics between the two).

558 **Agreed, we removed the statements in lines 340-343. We no longer distinguish between**
559 **jet types, as this is not the focus of our work.**

560
561 Line 355--363, it would also be good to cite Winters & Martin (2020) and Maher et al (2020)
562 (and references therein) here, since the methods they use (unlike Koch's) rely on those strong
563 PV gradients. There is a large body of work (much of it cited in these recent papers; also see
564 Manney et al, 2014, and references therein) showing that extratropical westerly jet cores lie
565 near/at the dynamical tropopause in the region where there are rapid altitude decreases in the
566 tropopause altitude with increasing latitude, thus the "expectation" of it lying on the flanks of
567 valleys in the tropopause, and of tropopause folds "wrapping" along the flank of a jet are
568 well-known results, as is the complex 3D structure of the tropopause. Per general comment
569 (2b), there are many papers (including those cited previously in this review) that characterize
570 the jet structure in both the horizontal and vertical, so the largely 2D views described in lines
571 362--363 are by no means "typical" and have not been for on the order of the last decade.

572 **We added the suggested references to give credit to the observed link between 3D folds**
573 **and jet stream paths. Further, we removed the 2D statement from lines 362-363.**

574
575 Lines 366--367, can you say anything about how the visualization (which, though informative
576 and interesting, is qualitative) will help shed light on mechanisms.

577 **Visualizations are meant to convey visual impressions of data, enabling researchers to**
578 **phrase further hypotheses and research questions. Those questions would then be**
579 **investigated by means of a dynamical analysis of the physical processes. The results of**
580 **that could then be visualized again to communicate the findings. In other words,**
581 **visualization is not meant to replace a dynamical analysis, but is a tool aiding in the**
582 **process. We rephrased the corresponding paragraph accordingly.**

583
584 Line 371, why 270 hPa? Also, why on an isobaric rather than an isentropic surface?

585 We extracted the jet corelines in isobaric coordinates and hence it was straight-forward
586 to compare the geometry with a horizontal isobaric slice. Conceptually, it is possible to
587 switch to an isentropic coordinate system and show the isentropic surface instead.

588

589 Lines 372 & 378, is this really entirely an effect of the WCB on the jet? That is, is there no effect
590 of changes in the jet on the WCB? How do you know which is causing which to change?

591 We rephrased the sentence to state that the displacement of the jet occurs in the
592 presence of the WCB. A causal connection is not implied, as this would require further
593 investigation of the atmospheric dynamics.

594

595 Lines 373--375, some references for these effects are needed.

596 We included the following references to support the discussion on the relationship
597 between WCBs and jets.

598 (1) Oertel, A., Boettcher, M., Joos, H., Sprenger, M., and Wernli, H.: Potential vorticity
599 structure of embedded convection in a warm conveyor belt and its relevance for large-
600 scale dynamics, *Weather and Climate Dynamics*, 1, 127–153, <https://doi.org/10.5194/wcd-1-127-2020>, 2020.

602 (2) Joos, H. and Forbes, R. M.: Impact of different IFS microphysics on a warm conveyor
603 belt and the downstream flow evolution, *Quarterly Journal of the Royal Meteorological
604 Society*, 142, 2727–2739, <https://doi.org/https://doi.org/10.1002/qj.2863>, 2016.

605 (3) Blanchard, N., Pantillon, F., Chaboureau, J.-P., and Delanoë, J.: Mid-level convection
606 in a warm conveyor belt accelerates the jet stream, *Weather and Climate Dynamics*, 2, 37–
607 53, <https://doi.org/10.5194/wcd-2-37-2021>, 2021.

608

609 Lines 385--386, it would be appropriate to cite Manney et al (2014, 2021), Homeyer & Bowman
610 (2013, JAS), Winters & Martin (2020), Spensberger & Spengler (2020), and references therein
611 here, per general comment (2b).

612 We included the suggested references.

613

614 Lines 404--407, please provide some references for these statements.

615 In the conclusions, we add the following references that discuss the WCB outflows:

616 (1) Grams, C. M., Magnusson, L., and Madonna, E.: An atmospheric dynamics
617 perspective on the amplification and propagation of forecast error in numerical weather
618 prediction models: A case study, *Quarterly Journal of the Royal Meteorological Society*,
619 144, 2577–2591, <https://doi.org/https://doi.org/10.1002/qj.3353>, 2018.

620 (2) Spreitzer, E. J.: Diabatic processes in mid-latitude weather systems - a study with the
621 ECMWF model, Ph.D. thesis, ETH Zurich, Zurich, <https://doi.org/10.3929/ethz-b-000438728>,
622 2020.

623 (3) Saffin, L., Methven, J., Bland, J., Harvey, B., and Sanchez, C.: Circulation conservation
624 in the outflow of warm conveyor belts and consequences for Rossby wave evolution,
625 *Quarterly Journal of the Royal Meteorological Society*, p. in print,
626 <https://doi.org/https://doi.org/10.1002/qj.4143>, 2021.

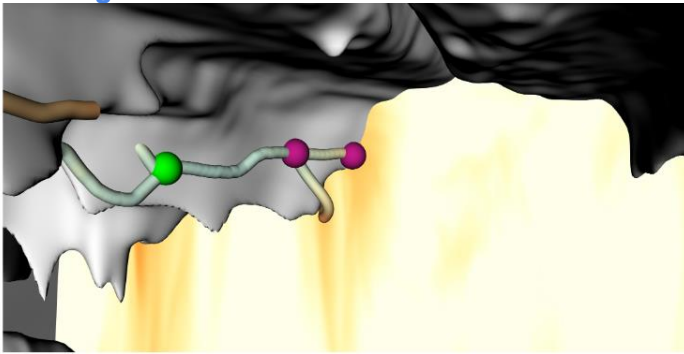
627 (4) Grams, C. M., Wernli, H., Böttcher, M., Campa, J., Corsmeier, U., Jones, S. C., Keller, J.
628 H., Lenz, C.-J., and Wiegand, L.: The key role of diabatic processes in modifying the
629 upper-tropospheric wave guide: a North Atlantic case-study, *Quarterly Journal of the
630 Royal Meteorological Society*, 137, 2174–2193,
631 <https://doi.org/https://doi.org/10.1002/qj.891>, 2011.

632 Line 408, “directly linked to the jet coreline”, “WCB outflows influence the jet corelines” -- it isn't
633 obvious to me how these methods may accomplish this, unless combined with some dynamical
634 analysis that suggests the causality.

635 **We rephrased this paragraph, indicating that the tool allows one to visually inspect co-**
636 **occurrences of WCB outflows and jet corelines. The visualization cannot replace the**
637 **dynamical analysis, as mentioned above.**

638
639 Figure 13, I think the lower panel of this figure would be seriously compromised if viewed in grey
640 scale (you would not be able to distinguish the “coolwarm” type color palette from the grey scale
641 tropopause surface. You might thus want to think about changes to the presentation here.

642 **We changed the color map of the vertical slice to a sequential color map. In case of**
643 **conversion to grayscale, the shading of the tropopause can be distinguished from the**
644 **coloring of the vertical slice better than before.**



645
646

647 Lines 414--415, Per general comment (2b), there is already a vast body of research on these
648 topics, including the few papers I've mentioned here along with many others, covering topics
649 such as relationships of jets and tropopauses to storm tracks, extreme weather events, etc. Jet
650 regimes of various sorts have been defined based on characteristics of the jet stream, see
651 general point (2b). While I think the methods in this paper can be a very valuable addition to the
652 existing tools and literature aimed at more fully characterising the jets as dominant features
653 influencing the tropospheric circulation, it is disingenuous to state these solely as future aims.

654 **We removed all pointers to future work that are not directly related to the improvement of**
655 **our approach. That is, we now only point towards the application on longer time series,**
656 **improving temporal stability, and achieving order-independence (see next comment). In**
657 **response to Reviewer 1, we also added a brief discussion on steps towards usage in**
658 **operational settings.**

659
660 Lines 419--420, I'm not sure what you mean by a “non-incremental” search, perhaps you can
661 explain this briefly.

662 **The current algorithm is incremental, in the sense that it extracts one jet after the other.**
663 **The final result thereby becomes dependent on the order in which the jets have been**
664 **extracted. It would be interesting to investigate how the jet extraction could be made**
665 **order-independent. We rephrased this accordingly in the future work section, removing**
666 **the term “non-incremental”.**

667
668 **Typos / Grammar / Minor Wording / Etc:**

669 Line 16, “is” should be “are” (“data” is plural).

670 **Corrected.**

671 Line 17, “time-dependent” should be followed by a comma.

672 **Corrected.**

673

674 Line 17, “This data is” -> “These data are”.

675 **Corrected.**

676

677 Line 66, I don’t think “package” is the best word here; I would just say something like “rotation of
678 the air enclosed between two...” (in fact if there are diabatic motions, it is not “trapped” in any
679 sense).

680 **Rephrased as suggested.**

681

682 Line 84, I would hardly call a paper published in 2001 “recent”.

683 **We removed the word “recent”.**

684

685 Lines 260 and 262, “is” should be “are”.

686 **Corrected.**

687

688 Line 350, “he jet streaks” should be “the jet streaks”.

689 **Corrected.**

690

691 Line 379, by “attained considerable focus” do you mean it is a topic currently under
692 investigation? If so, just say that.

693 **We rephrased this to “is of interest to”.**

694

695 Line 421, “Dur” should be “During”

696 **Corrected.**

697

698 **References not already cited:**

699 (Apologies for non-uniform format, these are pasted from most convenient sources.)

- 700
- 701 • Danielsen, E. F. (1968), Stratospheric-tropospheric exchange based on radioactivity,
702 ozone and potential vorticity, *J. Atmos. Sci.*, 25, 502–518, doi:10.1175/1520-
703 0469(1968)025 <0502:STEBOR> 2.0.CO;2
 - 704 • Harnik, N., C. I. Garfinkel, and O. Lachmy, 2016: The influence of jet stream regime on
705 extreme weather events. *Dynamics and Predictability of Large-Scale, High-Impact*
706 *Weather and Climate Events*, J. Li, Ed., Cambridge University Press, 79–94,
707 <https://doi.org/10.1017/CBO9781107775541.007>.
 - 708 • Highwood, E. J., B. J. Hoskins, and P. Berrisford, 2000: Properties of the Arctic
709 tropopause. *Quart. J. Roy. Meteor. Soc.*, 126, 1515–1532, doi:10.1002/qj.49712656515.
 - 710 • Holton, J. R., P. H. Haynes, M. E. McIntyre, A. R. Douglass, R. B. Rood, and L. Pfister
711 (1995), Stratosphere-troposphere exchange, *Rev. Geophys.*, 33(4), 403–440,
712 doi:10.1029/95RG02097.
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714 isentropic potential vorticity gradients, *J. Geophys. Res.*, 116, D01110,
715 doi:10.1029/2010JD014343.
 - 716 • Lee, S., and H.-K. Kim, 2003: The dynamical relationship between subtropical and eddy-
717 driven jets. *J. Atmos. Sci.*, 60, 1490–1503, doi:10.1175/1520-
718 0469(2003)060,1490:TDRBSA.2.0.CO;2.
 - 719 • Lillo, S. P., Cavallo, S. M., Parsons, D. B., & Riedel, C. (2021). The Role of a
Tropopause Polar Vortex in the Generation of the January 2019 Extreme Arctic

- 720 Outbreak, *Journal of the Atmospheric Sciences*, 78(9), 2801-2821.
721 <https://journals.ametsoc.org/view/journals/atasc/78/9/JAS-D-20-0285.1.xml>
- 722 • Lucas, C., B. Timbal, and H. Nguyen, 2014: The expanding tropics: A critical
723 assessment of the observational and modeling studies. *Wiley Interdiscip. Rev.: Climate*
724 *Change*, 5, 89–112, <https://doi.org/10.1002/wcc.251>.
 - 725 • Maher, P., M. E. Kelleher, P. G. Sansom, and J. Methven, 2020: Is the subtropical jet
726 shifting poleward? *Climate Dyn.*, 54, 1741–1759, [https://doi.org/10.1007/s00382-019-](https://doi.org/10.1007/s00382-019-05084-6)
727 [05084-6](https://doi.org/10.1007/s00382-019-05084-6).
 - 728 • Manney, G.L., et al., Jet characterization in the upper troposphere/lower stratosphere
729 (UTLS): Applications to climatology and transport studies, *Atmos. Chem. Phys.*, 11,
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 - 731 • Manney, G.L., M.I. Hegglin, W.H. Daffer, M.J. Schwartz, M.L. Santee, and S. Pawson,
732 *Climatology of Upper Tropospheric/Lower Stratospheric (UTLS) Jets and Tropopauses*
733 *in MERRA*, *J. Clim.*, 27, 3248–3271, 2014.
 - 734 • Manney, G.L., and M.I. Hegglin, Seasonal and Regional Variations of Long-Term
735 Changes in Upper Tropospheric Jets from Reanalyses, *J. Clim.*, 31, 423–448, 2018.
 - 736 • Manney, G.L., Z.D. Lawrence, and M.I. Hegglin, Relationships of interannual variability
737 in upper tropospheric jets to ENSO in reanalyses, *J. Clim.*, [https://doi.org/10.1175/JCLI-](https://doi.org/10.1175/JCLI-D-20-0947.1)
738 [D-20-0947.1](https://doi.org/10.1175/JCLI-D-20-0947.1), 2021.
 - 739 • Schoeberl, M. R. (2004), Extratropical stratosphere-troposphere mass exchange, *J.*
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 - 741 • Shapiro, M. A. (1980), Turbulent mixing within tropopause folds as a mechanism for the
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745 troposphere. *J. Climate*, 33, 6849–6871, <https://doi.org/10.1175/JCLI-D-19-0715.1>.
 - 746 • Stohl, A., et al. (2003), Stratosphere-troposphere exchange: A review, and what we have
747 learned from STACCATO, *J. Geophys. Res.*, 108(D12), 8516,
748 doi:10.1029/2002JD002490.
 - 749 • Winters, A. C., Keyser, D., Bosart, L. F., & Martin, J. E. (2020). Composite Synoptic-
750 Scale Environments Conducive to North American Polar–Subtropical Jet Superposition
751 Events, *Monthly Weather Review*, 148(5), 1987-2008.
752 <https://journals.ametsoc.org/view/journals/mwre/148/5/mwr-d-19-0353.1.xml>

753 **We added the suggested references.**