
1 **CAPS v1.0: An improved regional coupled modeling system for Arctic sea ice ~~and climate~~**
2 **simulation and prediction: a case study for 2018**

3
4 **Chao-Yuan Yang¹, Jiping Liu², Dake Chen¹**

5
6 ¹School of Atmospheric Sciences, Sun Yat-sen University, and Southern Marine Science and
7 Engineering Guangdong Laboratory (Zhuhai), Zhuhai, Guangdong, China

8 ²Department of Atmospheric and Environmental Sciences, University at Albany, State
9 University of New York, Albany, NY, USA

10
11 Corresponding author:

12 Chao-Yuan Yang (yangchy36@mail.sysu.edu.cn) and Jiping Liu (jliu26@albany.edu)

15 **Abstract**

16 The improved/updated Coupled Arctic Prediction System (CAPS) is evaluated by a set of
17 Pan-Arctic prediction experiments for the year 2018, which is built on ~~new versions of~~ Weather
18 Research and Forecasting model (WRF), the Regional Ocean Modeling System (ROMS), the
19 Community Ice Code (CICE), and a data assimilation based on the Local Error Subspace
20 Transform Kalman Filter. ~~A set of Pan-Arctic prediction experiments with~~ We analyze physical
21 process linking improved/changed physical parameterizations in WRF, ROMS₂ and CICE ~~as~~
22 ~~well as different configurations are performed for~~ to changes in the year 2018 ~~to assess their~~
23 ~~impacts on the predictive skill of simulated~~ Arctic sea ice ~~at seasonal timescale. The key~~
24 ~~improvements of WRF, including cumulus, state. Our results show that the improved~~
25 convection and boundary layer, ~~and land surface~~ schemes, in WRF result in improved
26 simulation in downward radiative fluxes and near surface air temperature ~~and downward~~
27 ~~radiation. The major changes of ROMS, including, which influences the predicted ice thickness.~~
28 The changed tracer advection and vertical mixing schemes, ~~lead in ROMS reduces the bias in~~
29 sea surface temperature and changes ocean temperature and salinity structure in the surface
30 layer, leading to improved evolution of the predicted ~~total~~ ice extent (particularly correcting
31 the late ice recovery issue in the previous CAPS), ~~and reduced biases in sea surface~~
32 ~~temperature. The changes of CICE, that include improved sea ice thermodynamics and~~
33 ~~assimilation of new sea ice thickness product, in CICE~~ have noticeable influences on the
34 predicted ice thickness ~~and the timing of ice recovery. Results from the prediction experiments~~
35 ~~suggest that the updated. The updated~~ CAPS can better predict the evolution of ~~total ice~~

36 ~~extent~~Arctic sea ice during the melting season compared with its predecessor, though the
37 ~~predictions~~prediction still have ~~certainsome~~ biases at the regional scale. We further show that
38 the updated CAPS can remain skillful beyond the melting season, which may have potential
39 values for stakeholders making decisions for socioeconomical activities in the Arctic.

40

41

42 1. Introduction

43 Over the past few decades, the extent of Arctic sea ice has decreased rapidly and entered
44 a thinner/younger regime associated with global climate change (e.g., Kwok, 2018; Serreze
45 and Meier, 2019). The ~~drastiedramatic~~ changes in the properties of Arctic sea ice have
46 ~~captured~~gained increasing attentions ~~of~~by a wide range of stakeholders, such as trans-Arctic
47 shipping, natural resource exploration, and activities of coastal communities relying on sea ice
48 (e.g., Newton et al., 2016). This leads to increasing demands on skillful Arctic sea ice prediction,
49 particularly at seasonal timescale (e.g., Jung et al., 2016; Liu et al., 2019; Stroeve et al., 2014).
50 However, Arctic sea ice prediction based on different approaches (e.g., statistical method and
51 dynamical model) submitted to the Sea Ice Outlook, a community effort managed by the Sea
52 Ice Prediction Network (SPIN, <https://www.arcus.org/sipn>), shows substantial biases in the
53 predicted seasonal minimum of Arctic sea ice extent compared to the observations for most
54 years since 2008 (Liu et al., 2019; Stroeve et al., 2014).

55 Recently, we have developed an atmosphere-ocean-sea ice regional coupled modeling
56 system, for seasonal Arctic sea ice and climate prediction (Yang et al., 2020, hereafter Y20), in
57 which the Los Alamos Sea Ice Model (CICE) is coupled with the Weather Research and
58 Forecasting Model (WRF) and the Regional Ocean Modeling System (ROMS), hereafter called
59 Coupled Arctic Prediction System (CAPS). To improve the accuracy of initial sea ice
60 conditions, CAPS employs an ensemble-based data assimilation system to assimilate satellite-
61 based sea ice observations. Seasonal Pan-Arctic sea ice predictions with improved initial sea
62 ice conditions conducted in Y20 have shown that CAPS has the potential to provide skillful

63 Arctic sea ice prediction at seasonal timescale.

64 We know that the changes of sea ice variables (e.g., ice extent, ice concentration, ice
65 thickness, ice drift) are mainly driven by forcings from the atmosphere and the ocean.
66 Atmospheric cloudiness and related radiation influence surface ice melting (Huang et al., 2019;
67 Kapsch et al., 2016; Kay et al., 2008) and the energy stored in the surface mixed layer that
68 determines the seasonal ice melt and growth (e.g., Perovich et al., 2011, 2014). Atmospheric
69 circulation is the primary driver for the transportation of sea ice and partly responsible for the
70 variability of Arctic sea ice (e.g., Mallett et al., 2021; Ogi et al., 2010; Zhang et al., 2008).
71 Olonscheck et al. (2019) suggested that atmospheric temperature fluctuations explain a
72 majority of Arctic sea ice variability while other drivers (e.g., surface winds, and poleward heat
73 transport) account for about 25% of Arctic sea ice variability. The oceanic heat inputs (as well
74 as salt inputs) into the Arctic Ocean include the Atlantic Water (AW; Aagaard, 1989;
75 McLaughlin et al., 2009) through the Barents Sea, and the Pacific Water (PW; Itoh et al., 2013;
76 Woodgate et al., 2005) from the Bering Strait. The oceanic heat inputs from AW and PW are
77 not directly available for sea ice since they are separated from a cold and fresh layer underlying
78 sea ice (e.g., Carmack et al., 2015, Fig. 2). Vertical mixing by the internal wave (e.g., Fer, 2014)
79 and double diffusion (e.g., Padman and Dillon, 1987; Turner, 1973) are the principal processes
80 for upward heat transport from the subsurface layer (i.e., AW and PW) to the surface mixed
81 layer in the Arctic Ocean. Sea ice thermodynamics determines how thermal properties of sea
82 ice (e.g., temperature, salinity) change. These changes then influence the thermal structure of
83 underlying ocean through interfacial fluxes (i.e., heat, salt and freshwater fluxes; DuVivier et

84 al., 2021; Kirkman IV and Bitz, 2011) and ice thickness (e.g., Bailey et al., The skills of coupled
85 climate models (GCMs) in predicting Pan-Arctic sea ice extent have been assessed with suites
86 of hindcasts, and these studies suggested that GCMs have skill in predicting ice extent2020).

87 The CAPS is configured for the Arctic with sufficient flexibility. That means each model
88 component of CAPS (WRF, ROMS, and CICE) has different physics options for us to choose
89 and capability to integrate ongoing improvements in physical parameterizations. Recently, the
90 WRF model has adapted improved convection and boundary layer schemes in the Rapid
91 Refresh (RAP) model operational at the National Centers for Environmental Prediction (NCEP,
92 Benjamin et al., 2016). The first question we want to answer in this paper is to what extent
93 these modifications can improve atmospheric simulations in the Arctic (i.e., radiation,
94 temperature, humidity, and wind), and then benefit seasonal Arctic sea ice simulation and
95 prediction. The ROMS model provides several options for tracer advection schemes. These
96 advection schemes can have different degrees of oscillatory behavior (e.g., Shchepetkin and
97 McWilliams, 1998). The oscillatory behavior can have impacts on sea ice simulation through
98 ice-ocean interactions (e.g., Naughten et al., 2017). The second question we want to answer in
99 this paper is to what extent different advection schemes can change the simulation of upper
100 ocean thermal structure and then Arctic sea ice prediction. Several recent efforts have
101 incorporated prognostic salinity into sea ice models. The CICE model has a new mushy-layer
102 thermodynamics parameterization that includes prognostic salinity and treats sea ice as a two-
103 phase mushy layer (Turner et al., 2013). Bailey et al. (2020) showed that the mushy-layer
104 physics has noticeable impacts on Arctic sea ice simulation within the Community Earth

105 System Model version 2. The third question we want to answer in this paper is whether the
106 mushy-layer scheme can produce noticeable influence on seasonal Arctic sea ice prediction.
107 Currently, SIPN focuses on Arctic sea ice predictions during the melting season, particularly
108 seasonal minimum. It is not clear that how predictive skills of dynamical models participating
109 in SIPN may change for longer period, i.e., extending into the freezing up period, which also
110 have significance on socioeconomic aspects. The assessment of the skills of global climate
111 models (GCMs) in predicting Pan-Arctic sea ice extent with suites of hindcasts suggested that
112 GCMs may have skill at lead times of 1-6 months (e.g., Blanchard-Wrigglesworth et al., 2015;
113 Chevallier et al., 2013; Guemas et al., 2016; Merryfield et al., 2013; Msadek et al., 2014;
114 Peterson et al., 2015; Sigmond et al., 2013; Wang et al., 2013; Zampieri et al., 2018). Moreover,
115 some studies using a “perfect model” approach, which examines treats one member of an
116 ensemble as the truth (i.e., assuming the model is perfect without bias) and analyzes the skill
117 of a model other members in predicting itself, the response of the “truth” member (e.g., Meehl
118 et al., 2007). suggested that Arctic sea ice cover can be potentially predictable up to two years
119 in advance (e.g., Blanchard-Wrigglesworth et al., 2011; Blanchard-Wrigglesworth and Bushuk,
120 2018; Day et al., 2016; Germe et al., 2014; Tietsche et al., 2014). ~~The gap between actual~~
121 ~~predictive skill with dynamical models and theoretical predictability suggested by “perfect~~
122 ~~model” studies may be related to inaccurate initial conditions and/or inadequate physical~~
123 ~~parameterizations in dynamical models (Stroeve et al., 20152014).~~ The last question we want
124 to answer in this paper is whether CAPS has predictive skill for longer periods (up to 7 months).

125 ~~Recently, we have developed an atmosphere-ocean-sea ice regional coupled modeling~~

126 system, hereafter called Coupled Arctic Prediction System (CAPS), for seasonal Arctic sea ice
127 and climate prediction (Yang et al., 2020, hereafter Y20). ~~To improve the accuracy of initial~~
128 ~~sea ice conditions, CAPS employs an ensemble based data assimilation system to assimilate~~
129 ~~satellite based sea ice observations. Seasonal Pan Arctic sea ice predictions with improved~~
130 ~~initial sea ice conditions conducted in Y20 have shown that CAPS has~~ potential to provide
131 skillful Arctic sea ice predictions at seasonal timescale.

132 With recent improvements in the model components of CAPS, this paper gives a
133 description of the updated CAPS, and presents the assessment of seasonal Arctic sea ice
134 predictions associated with improved/changed physical parameterizations. This paper is
135 structured as follows. Section 2 provides ~~ana~~ brief overview of ~~the~~ CAPS, including ~~major~~
136 ~~changes/improvements in the model components compared to its predecessor described in Y20,~~
137 ~~as well as the configurations and~~ data assimilation ~~system and the assimilation~~ procedures.
138 Section 3 describes the designs of the prediction experiments, ~~and~~ for the year of 2018 based
139 on major improvements/ changes in the model components compared to its predecessor
140 described in Y20. examines the performance of the updated CAPS ~~associated with major, and~~
141 offers physical links between Arctic sea ice ~~changes/improvements in the model components.~~
142 ~~Some discussions~~ and improved/changed physical parameterizations. Section 4 discusses the
143 predictive skill of CAPS at longer timescale. Discussions and concluding remarks ~~and~~ are given
144 in section ~~4 and~~ 5.

145 2. Coupled Arctic Prediction System (CAPS)

146 As described in Y20, ~~to enhance our ability to predict seasonal Arctic sea ice as well as~~

147 ~~climate, we have developed CAPS~~the CAPS has been developed by coupling the Community
148 Ice Code (CICE) with the Weather Research and Forecasting Model (WRF) and the Regional
149 Ocean Modeling System (ROMS) based on the framework of the Coupled Ocean-Atmosphere-
150 Wave-Sediment Transport (~~COAWST) modeling framework~~ (Warner et al., 2010). The general
151 description of each model component in CAPS is referred to Y20. The advantage of CAPS is
152 its model components have different a variety of physics ~~options~~ for us to choose, and capability
153 to integrate follow-up improvements of physical parameterizations. With recent achievements
154 of community efforts ~~on improving the WRF, ROMS, and CICE models, in this study,~~ we
155 update CAPS based on newly-released WRF, ROMS, and CICE models ~~for further~~
156 development of our Arctic sea ice. During this update, we focus on the Rapid Refresh (RAP)
157 physics in the WRF model, the oceanic tracer advection scheme in the ROMS model, sea ice
158 thermodynamics in the CICE model (see details in section 3), and investigate physical process
159 linking them to Arctic sea ice simulation and prediction system. Table 1 provides the versions
160 ~~for these model components between this paper and Y20.~~ The same physical parameterizations
161 described in Y20 are used here for the control simulation, ~~but some of them are improved as~~
162 ~~the WRF, ROMS, and CICE models released their new versions (see Table 2).~~ (see Table 1).
163 Major changes in ~~physics parameterization and physical parameterizations as well as~~ the model
164 infrastructure in the WRF, ROMS, and CICE models are described ~~below.~~

165 **2.1. Model components and updates**

166 ~~WRF: The WRF model (Skamarock et al., 2005) is a non-hydrostatic and quasi-~~
167 ~~compressible model, which uses hybrid vertical coordinate with the top of the model at 50 mb~~

168 and the Arakawa-C grid in horizontal. The Rapid Refresh (RAP) system, a high-frequency,
169 continental-scale weather prediction/assimilation modeling system operational at the National
170 Centers for Environmental Prediction (NCEP), has made some improvements in the WRF
171 model physics (Benjamin et al., 2016). The official release of WRF model since version 3.9
172 has adapted these modified physics parameterizations in the RAP system, including the Grell-
173 Freitas convection scheme (GF) and the Mellor-Yamada-Nakanishi-Niino planetary boundary
174 layer (PBL) scheme (MYNN) as the replacement of original schemes in the WRF model. For
175 the GF scheme, the major improvements compared to the original scheme (Grell and Freitas,
176 2014) include: 1) a beta probability density function used as the normalized mass flux profile
177 for representing height-dependent entrainment/detrainment rates within statistical-averaged
178 deep convective plumes, and 2) the European Centre for Medium-Range Weather Forecasts
179 (ECMWF) approach used for momentum transport due to convection (Biswas et al. in
180 [section 2020; Freitas et al. 2018](#)). For the MYNN scheme, compared to the original scheme
181 (Nakanishi and Niino, 2009), the RAP system improved the mixing-length formulation and
182 removed numerical deficiencies to better represent subgrid-scale cloudiness (Benjamin et al.
183 2016, see Append. B).

184 For the Noah land surface model (Chen and Dudhia, 2001), some issues including
185 discontinuous behavior for soil ice melting and negative moisture fluxes over glacial and sea
186 ice, as well as minor issues associated with snow melting have been fixed since the release of
187 WRF version 3.9.

188 ROMS: The ROMS model is a terrain-following and free-surface model, which solves

189 three-dimensional Reynolds-averaged Navier-Stokes equations with the hydrostatic and
190 Boussinesq approximation (Sheepetkin and McWilliams, 2005; Haidvogel et al., 2008). In the
191 vertical, the equations are discretized over bottom topography with stretching terrain-following
192 coordinates (Song and Haidvogel, 1994). In the horizontal, the ROMS model uses boundary-
193 fitted, orthogonal curvilinear coordinates on a staggered Arakawa-C grid. In the updated CAPS,
194 the major change in the latest ROMS model is associated with surface heat/freshwater fluxes
195 and their coupling to other model components. This change prevents the potentially erroneous
196 results when the ROMS timestep is smaller than the coupling frequency with other model
197 components. Other changes in the ROMS model of the updated CAPS can be found in the
198 ROMS distribution website (<https://www.myroms.org/projects/src/report/4-Ticket-#654-to-#824>).

200 CICE: The CICE model is designed to be a sea ice model component for global coupled
201 climate models. Its dynamic core simulates the movement of sea ice based on forces from the
202 atmosphere, the ocean, and Earth's rotation and the material strength of the ice. The new feature
203 of CICE version 6.0.0 contains an independent software package, Icepack, to provide the
204 column physics code for all thermodynamic parameterizations in a single grid cell. These
205 parameterizations include the MUSHY layer ice thermodynamics (Turner et al., 2013) that
206 resolves prognostic vertical temperature and salinity profiles. The new version of CICE also
207 includes improvements in sea ice rheology and a new landfast ice parameterization (Lemieux
208 et al., 2016). More details can be found in the CICE Consortium GitHub page
209 (<https://github.com/CICE-Consortium>).

210 ~~2.2. Data Assimilation and evaluation data~~

211 As described in Y20, the Parallel Data Assimilation Framework (PDAF, Nerger and Hiller,
212 2013) was implemented in CAPS ~~for assimilating sea ice observations~~, which provides a
213 variety of optimized ensemble-based Kalman filters ~~including the Local Ensemble Transform~~
214 ~~Kalman Filter (LETKF; Hunt et al., 2007), the Localized Singular Evolutive Interpolated~~
215 ~~Kalman (LSEIK; Nerger et al., 2006), and the~~ The Local Error Subspace Transform Kalman
216 Filter (LESTKF; Nerger et al., 2012). ~~Following Y20, the LETKF)~~ is used to assimilate
217 satellite-observed sea ice parameters. The LESTKF projects the ensemble onto the error
218 subspace and then directly computes the ensemble transformation in the error subspace. This
219 results in better assimilation performance ~~compared to the LSEIK filter~~ and higher
220 computational efficiency compared to the ~~LETKF~~ other filters as discussed in Nerger et al.
221 (2012).

222 The initial ensembles are generated by applying the second-order exact sampling (Pham,
223 2001) to simulated sea ice state vectors (ice concentration and thickness) from an one-month
224 free run, and then assimilating sea ice observations ~~that include, including~~: 1) the near real-
225 time daily Arctic sea ice concentration processed by the National Aeronautics and Space
226 Administration (NASA) Team algorithm (Maslanik and Stroeve, 1999) obtained from the
227 ~~National Snow and Ice Data Center (NSIDC; _~~ (<https://nsidc.org/data/NSIDC-0081/>), and 2) a
228 combined monthly sea ice thickness derived from the CryoSat-2 (Laxon et al., 2013; obtained
229 from <http://data.seaiceportal.de>), and daily sea ice thickness derived from the Soil Moisture
230 and Ocean Salinity (SMOS; Kaleschke et al., 2012; Tian-Kunze et al., 2014; obtained from

231 <https://icdc.cen.uni-hamburg.de/en/l3c-smos-sit.html>). To address the issue that sea ice
232 thickness derived from CyroSat-2 and SMOS are unavailable during the melting season, the
233 melting season ice thickness is estimated based on the seasonal cycle of the Pan-Arctic Ice
234 Ocean Modeling and Assimilation System (PIOMAS) daily sea ice thickness (Zhang and
235 Rothrock, 2003) ~~as described in Y20.~~

236 In Different from Y20, in this study, ~~compared with Y20,~~ we change the localization radius
237 from 2 to 6 grids during the assimilation procedures. ~~The sea ice component in the updated~~
238 ~~CAPS experienced to reduce~~ some instability ~~at during~~ initial Arctic sea ice simulations
239 associated with 2 localization radii. As shown in Supplementary Figure S1, the ice thickness
240 with 2 localization radii ~~but not with 6 localization radii. Figure 1 shows that initial sea ice~~
241 ~~thickness after the data assimilation with (a) 2 localization radii and 1.5 m uncertainty for~~
242 ~~assimilating ice thickness and (b) 6 localization radii and 0.75 m uncertainty. The initial ice~~
243 ~~thickness for both configurations has similar spatial distribution. However, the ice thickness~~
244 ~~with 2 localization radii and 1.5 m uncertainty shows (used in Y20) shows some~~ discontinuous
245 features (Fig. ~~4a~~S1a), which ~~result~~tends to result in numerical instability during the initial
246 ~~model~~ integration. Such discontinuous ~~feature is~~features are obviously corrected with 6
247 localization radii and 0.75 m uncertainty (Fig. ~~4b~~S1b). Following Y20, here we test the 2018
248 prediction experiment with ~~2 and 6~~ localization radii ~~but the same uncertainty for ice thickness~~
249 ~~(0.75m)~~ for the data assimilation ~~(Y20 and Y20_MOD, see Table 3). The Y20 and Y20_MOD~~
250 ~~experiments show, which shows~~ very similar temporal ~~evolution~~evolution of the total Arctic
251 sea ice extent for the July experiment relative to that of Y20, although ~~Y20_MOD~~it (red solid

252 line) predicts slightly less ice extent than that of Y20 (blue line) ~~for the July experiment~~
253 ~~((Supplementary Figure 2S2)).~~ In this study, ~~the~~this configuration ~~of Y20_MOD~~ is designated
254 as the reference for the following assessment of the updated CAPS- (hereafter Y20_MOD).

255 For the evaluation of Arctic sea ice prediction, Sea Ice Index (Fetterer et al., 2017;
256 obtained from <https://nsidc.org/data/G02135>) is used as the observed total sea ice extent, and
257 the NSIDC sea ice concentrations (SIC) derived from Special Sensor Microwave
258 Imager/Sounder (SSMIS) with the NASA Team algorithm (Cavalieri et al., 1996; obtained from
259 <https://nsidc.org/data/nsidc-0051>) is ~~employed~~also used. For the assessment of the simulated
260 atmospheric and oceanic variables, the ECMWF reanalysis version 5 (ERA5; Hersbach et al.,
261 2020; obtained from <https://cds.climate.copernicus.eu>) and National Oceanic and Atmospheric
262 Administration (NOAA) Optimum Interpolation (OI) Sea Surface Temperature (SST)
263 (Reynolds et al., 2007; obtained from
264 <https://psl.noaa.gov/data/gridded/data.noaa.oisst.v2.highres.html>) are utilized. For the
265 comparison of spatial distribution, SIC, ERA5, and OISST are interpolated to the model grid.

266 **3. ~~Model~~ Evaluation of updated CAPS**

267 **3.1. Experiment designs and methodology**

268 ~~Following Y20, the~~The model domain includes 319 (449) x- (y-) grid points with a ~24
269 km grid spacing for all model components (see Figure 2 in Y20). The WRF model uses 50
270 vertical levels, the ROMS model uses 40 vertical levels, and the CICE model uses 7 ice layers,
271 1 snow layer, and 5 categories of sea ice thickness. The coupling frequency across all model
272 components is 30 minutes. Initial and boundary conditions for the WRF and ROMS models are

273 generated from the Climate Forecast System version 2 (CFSv2, Saha et al., 2014) operational
274 forecast archived at NCEP (<http://nomads.ncep.noaa.gov/pub/data/nccf/com/cfs/prod/>). Sea ice
275 initial conditions are generated from the data assimilation described in section 2.2. Ensemble
276 predictions with 8 members are conducted. A set of numerical experiments for the Pan-Arctic
277 seasonal sea ice prediction with different ~~configurations~~physics, starting from July 1st to
278 October 1st for the year of 2018, has been conducted. Table 32 provides the details of these
279 experiments that allow us to examine ~~impacts of physical process linking~~ improved/changed
280 physical parameterizations in the updated CAPS ~~onto Arctic~~ sea ice simulation and prediction
281 at seasonal timescale.

282 ~~3.2.3.1. In this study, sea ice extent~~ Impacts of the RAP physics in the WRF model

283 ~~To examine the performance the updated CAPS compared to its predecessor in Y20, the~~
284 ~~Y21_CTRL experiment uses some updated physics configurations in the WRF model as listed~~
285 ~~in Table 2. The temporal evolution of the ensemble mean of the predicted Arctic sea ice extent~~
286 ~~for the Y21_CTRL and Y20_MOD experiments along with the NSIDC observations are shown~~
287 ~~in Figure 3. The ice extent~~ is calculated as the sum of area of all grid cells with ice concentration
288 greater than 15%. Besides the total Arctic sea ice extent, we also calculate the ice extent for the
289 following subregions: 1) Beaufort and Chukchi Seas (~~120W-120W~~-180, ~~60N-80N~~60°N-80°N),
290 2) East Siberian and Laptev Seas (~~90E-90E~~-180, ~~60N-80N~~60°N-80°N), and 3) Barents, Kara,
291 and Greenland Seas (~~30W-90E~~, ~~60N-80N~~), 4) ~~Canadian Archipelago and Baffin Bay~~ (~~30W-~~
292 ~~120W~~, ~~60N-80N~~30°W-90°E, 60°N-80°N). To further assess the predictive skill of ~~our Arctic~~
293 sea ice predictions, ~~here we also~~ show the climatology prediction (CLIM, the period of 1998-

294 2017) and the damped anomaly persistence prediction (DAMP). Following Van den Dool
295 (2006), the DAMP is generated from the initial sea ice extent anomaly (relative to the 1998-
296 2017 climatology) scaled by the autocorrelation and the ratio of standard deviation between
297 different lead times and initial times (see the DAMP equation in Y20).

298 In order to understand physical contributors that drive the evolving Arctic sea ice state,
299 the mass budget of Arctic sea ice for all experiments is analyzed in this study as defined in
300 Notz et al. (2016, Append. E), including 1) sea ice growth in supercooled open water (frazil ice
301 formation), 2) basal growth, 3) snow-to-ice conversion, 4) top melt, 5) basal melt, 6) lateral
302 melt, and 7) dynamics process.

303 **3.2. Impacts of the RAP physics in the WRF model**

304 To examine the performance of the upgrades of physical parameterization in component
305 models in CAPS one step at a time compared to its predecessor in Y20, we define the
306 Y21_CTRL experiment that uses the RAP physics in the WRF model (see Table 2 for
307 differences between Y21_CTRL and Y20_MOD). Recently, the Rapid Refresh (RAP) model,
308 a high-frequency weather prediction/assimilation modeling system operational at the National
309 Centers for Environmental Prediction (NCEP), has made some improvements in the WRF
310 model physics (Benjamin et al., 2016), including improved Grell-Freitas convection scheme
311 (GF) and Mellor-Yamada-Nakanishi-Niino planetary boundary layer scheme (MYNN). For the
312 GF scheme, the major improvements relative to the original scheme (Grell and Freitas, 2014)
313 include: 1) a beta probability density function used as the normalized mass flux profile for
314 representing height-dependent entrainment/detrainment rates within statistical-averaged deep

315 convective plumes, which is given as:

$$329 \quad Z_{u,d}(r_k) = cr_k^\alpha - (1 - r_k)^\beta - 1$$

316 where $Z_{u,d}$ is the mass flux profiles for updrafts and downdrafts, c is a normalization constant,
317 r_k is the location of the mass flux maximum, α and β determine the skewness of the beta
318 probability density function, and 2) the ECMWF approach used for momentum transport due
319 to convection (Biswas et al. 2020; Freitas et al. 2018; 2021). For the MYNN scheme, the RAP
320 model improves the mixing-length formulation, which is designed as:

$$330 \quad \frac{1}{l_m} = \frac{1}{l_s} + \frac{1}{l_t} + \frac{1}{l_b}$$

321 where l_m is the mixing length, l_s is the surface length, l_t is the turbulent length, and l_b is
322 the buoyancy length. Compared to the original scheme, the RAP model changed coefficients
323 in the formulation of l_s , l_t , and l_b for reducing the near-surface turbulent mixing, and the
324 diffusivity of the scheme. The RAP model also removes numerical deficiencies to better
325 represent subgrid-scale cloudiness (Benjamin et al. 2016, see Append. B) compared to the
326 original scheme (Nakanishi and Nino, 2009). In addition, some minor issues in the Noah land
327 surface model (Chen and Dudhia, 2001) have been fixed, including discontinuous behavior for
328 soil ice melting, negative moisture fluxes over glacial, and associated with snow melting.

331 Apparently, the above RAP physics can have influence on the behavior of simulated
332 atmospheric thermodynamics (i.e., radiation, temperature). Figure 1 and 2 show the spatial
333 distribution of the ERA5 surface downward solar and thermal radiation (SWDN and LWDN),
334 the prediction errors (ensemble mean minuses ERA5) of Y20_MOD, and the difference
335 between Y21_CTRL and Y20_MOD. For July, Y20_MOD (Fig. 1d) results in less SWDN over

336 most of ocean basins as well as Alaska and northeast US, western Siberia, and eastern Europe,
337 but more SWDN over southern and eastern Siberia compared with ERA5. For August and
338 September (Fig. 1e-f), the spatial distribution is generally similar to that of July, except that
339 eastern Siberia (less SWDN) and northern Canada (more SWDN) in August. It appears that the
340 magnitude of the prediction errors tends to decrease over the areas with large prediction errors
341 as the prediction time increases (i.e., July vs. September). Compared with Y20_MOD, the RAP
342 physics in Y21_CTRL result in large areas with smaller prediction errors in July (e.g., the
343 positive difference between Y21_CTRL and Y20_MOD reduces the negative prediction errors
344 in Y20_MOD), except the north Pacific (especially the Sea of Okhotsk) and north Canada (Fig.
345 1g). For August and September (Fig. 1h, i), encouragingly, there are more areas with smaller
346 prediction errors.

347 In contrast to SWDN, the prediction errors of LWDN in Y20_MOD has much smaller
348 magnitude (up to 100 W/m² in SWDN vs. 50 W/m² in LWDN) for the entire prediction period
349 (Fig. 2d-f). For July, Y20_MOD (Fig. 2d) simulates less LDWN over most of the model domain
350 compared with ERA5, except the Atlantic sector and north Greenland. For August, the areas
351 with negative prediction errors expand and the magnitude of prediction errors increases
352 (particularly in southeastern Siberia and northeast US) compared to that of July (Fig. 2e). For
353 September (Fig. 2f), the spatial distribution of LWDN is mostly similar to that of July, except
354 that north Canada and Canadian Archipelago show positive prediction errors. The Y21_CTRL
355 experiment with the RAP physics tends to reduce the prediction errors in Y20_MOD, especially
356 over eastern Siberia and the Atlantic sector in July to September (Fig. 2g-i).

357 Figure 3 shows the spatial distribution of the ERA5 2m air temperature, the prediction
358 errors of Y20_MOD, and the difference between Y21_CTRL and Y20_MOD. For Y20_MOD,
359 the predicted air temperature in July has small cold prediction errors over all ocean basins,
360 small-to-moderate cold prediction errors (~3-5 degrees) over Canada and Siberia, and
361 moderate-to-large cold prediction errors (~6-9 degrees) over eastern Europe (Fig. 3d).
362 Compared to the Y20_MOD experiment, the Y21_CTRL experiment has ~0.5 million km²
363 more ice extent at the initial, but the ice in Y21_CTRL melts. In August (Fig. 3e), the cold
364 prediction errors over most of the model domain are increased, in particular, very large cold
365 prediction error (over 10 degrees) is located over east Siberia. In September, these cold
366 prediction errors are decreased relatively, and some warm prediction errors are found in north
367 of Greenland (Fig. 3f). With the adaptation of the RAP physics in the WRF model, Y21_CTRL,
368 in general, produces a warmer state in most of the model domain compared to that of
369 Y20_MOD during the entire prediction period. For July (Fig. 3g), the predicted air temperature
370 is slightly warmer over the Arctic Ocean, the Pacific, and Atlantic sectors, moderately warmer
371 (~1-2 degrees) over central and eastern Siberia and Canadian Archipelago, but the slightly
372 colder over northern Canada than that of Y20_MOD. For August and September (Fig. 3h), most
373 of the model domain is warmer in Y21_CTRL than that of Y20_MOD, in particular excessive
374 cold prediction errors shown in Y20_MOD over Siberia are reduced notably (~2.5-4 degrees).
375 We notice that the RAP physics does not have significant impacts on atmospheric circulation,
376 given that Y21_CTRL and Y20_MOD have very similar wind pattern (not shown).

377 Figure 4 shows the temporal evolution of the ensemble mean of the predicted Arctic sea

378 ice extent along with the NSIDC observations. In terms of the total ice extent, compared to the
379 Y20_MOD experiment (blue line), the Y21_CTRL experiment (yellow line) produces ~0.5
380 million km² more ice extent at the initial. Note that the difference in the initial ice extent is
381 related to that sea ice fields in Y20_MOD and Y21_CTRL (as well as other experiments listed
382 in Table 2) are initialized based on one-month free runs (section 2), which use different physical
383 configurations listed in Table 2. These one-month free runs do not have the same evolution in
384 sea ice fields and result in different initial ice fields after data assimilation. The ice extent in
385 Y21_CTRL decreases faster than Y20_MOD during the first 2-week integration. After that,
386 they track each other closely, and predict nearly the same minimum ice extent (~4.3 million
387 km²). Like Y20_MOD, Y21_CTRL still has a delayed ice recovery in late September compared
388 to the observation. Compared with the CLIM/DAMP predictions (black dashed and dotted
389 lines), both Y20_MOD and Y21_CTRL have smaller ~~biases~~ prediction errors in August, but
390 comparable prediction errors after early ~~August. At the~~ September.

391 The difference in sea ice extent becomes larger at regional ~~scale,~~ scales, in the East
392 Siberian-Laptev Seas, Y20_CTRL shows faster ice decline after mid-July than that of
393 Y21_MOD, whereas in the Beaufort-Chukchi Seas, Y21_CTRL predicts slower ice retreat after
394 late July than that of Y20_MOD, ~~whereas~~ (Fig. 4a, 4b). They are consistent with that
395 Y21_CTRL predicts warmer (relatively colder) temperature than that of Y20_MOD in the East
396 Siberian-Laptev (Beaufort-Chukchi) Seas, ~~Y20_MOD shows slower ice decline after mid-July~~
397 ~~than that of Y21_CTRL (Fig. 3a, 3b).~~ Both Y20_MOD and Y21_CTRL agree well with the
398 observations in the Barents-Kara-Greenland Seas (Fig. 4c). Compared with the observations,

399 Y20_MOD performs relatively better in regional ice extents than that of Y21_CTRL. Figure 5
400 shows the spatial distribution of the NSIDC sea ice concentration and the difference between
401 the predicted ice concentration and the observations for all grid cells that the predictions and
402 the observations both have at least 15% ice concentration. ~~The vertical and horizontal lining~~
403 areas represent difference of the ice edge location. 3e). In the Baffin Bay Canadian Archipelago,
404 both Y20_MOD and Y21_CTRL have similar temporal evolution but show systematic
405 underestimation of the observed areal extent (~0.3 million km², Fig. Like the regional ice extent
406 shown in Figure 4, Y21_CTRL predicts lower (higher) ice concentration along the East
407 Siberian-Laptev (Beaufort-Chukchi) Seas (Fig. 5e₁-e₃). Y21_CTRL also predicts less ice in the
408 central Arctic Ocean in August and September, which is consistent with warmer temperature
409 in Y21_CTRL relative to Y20_MOD. 3d). This underestimation is partly due to the difference
410 in land/sea mask (particularly in the Canadian Archipelago) between our model grid and the
411 NSIDC grid (not shown).

412 Figure 46 shows the spatial distribution evolution of the NSIDC sea ice concentration and
413 the difference between the predicted sea ice concentration and the observations for all grid cells
414 that the predictions and the observations both have at least 15% ice concentration for the mass
415 budget terms of Y20_MOD and Y21_CTRL experiments, averaged with cell-area weighting
416 over the entire model domain. During the entire prediction period, most of the ice loss. ~~The~~
417 vertical and horizontal lining areas represent difference of the ice edge location. ~~The~~
418 distribution of the predicted ice concentration anomalies resembles in both Y20_MOD and
419 Y21_CTRL experiments, except are caused by basal melting. The surface melting has relatively

420 small contribution in the total ice loss and mainly occurs in July. However, compared with
421 Y20_MOD (Fig. 6a), Y21_CTRL predicts relatively higher ice concentration in (Fig. 6b) shows
422 much of the Beaufort, Chukchi, and East Siberian Seas for the entire period (Fig. 4d-i).

423 larger magnitude for basal and surface melt. In a fully coupled predictive model, the
424 changes of sea ice isare determined by the fluxes from the atmosphere above and the ocean
425 below. ~~The major difference between Y20_MOD and Y21_CTRL is the RAP physics~~
426 ~~improvements in the WRF model. The RAP physics improvements can have profound~~
427 ~~influence on the behavior of simulated atmospheric variables (i.e., radiation, temperature,~~
428 ~~humidity, precipitation,~~ Associated with the increased downward radiation of the above RAP
429 physics, Y21_CTRL absorbs more shortwave radiation (SWABS, Fig. 7a) and allows more
430 penetrating solar radiation into the upper ocean below sea ice (SWTHRU, Fig. 7b) than that of
431 Y20_MOD, especially in July. This explains why Y21_CTRL has larger magnitude of surface
432 and basal melting terms. Although Y21_CTRL show larger magnitude in surface and basal
433 melting than that of Y20_MOD, the ice extent in Y21_CTRL and Y20_MOD shown in Figure
434 4 show similar evolution. The effect of larger surface and wind). Figure 5 shows the spatial
435 distribution of the ERA5 2m air temperature (T2), the predicted anomalies (ensemble mean
436 minuses ERA5) of Y20_MOD, and the difference between Y21_CTRL and Y20_MOD. For
437 Y20_MOD, the predicted air temperature in July has small cold (warm) biases over all ocean
438 basins (northern Greenland and eastern coastal Siberia), small to moderate cold biases (~3-5
439 degrees) over Canada and Siberia, and moderate to large cold biases (~6-9 degrees) over
440 eastern Europe (Fig. 5d). In August (Fig. 5e), the cold biases over most of the model domain

441 are increased. In particular, very large cold bias (over 10 degrees) are located over east Siberia.
442 In September, these cold biases are decreased, and warm biases are found in the north of
443 Greenland and Canada (Fig. 5f). With the adaptation of the RAP physics in the updated WRF
444 model, Y21_CTRL, in general, produces a warmer state basal melting in most of the model
445 domain compared to that of Y20_MOD during the entire prediction period. For July (Fig. 5g),
446 the predicted air temperature is slightly warmer (<1 degrees) over the Arctic Ocean, the Pacific,
447 and Atlantic sectors, moderately warmer (~1-2 degrees) over the Siberia coast and Canadian
448 Archipelago, but the slightly colder (<1 degrees) over northeastern Europe and northern
449 Canada than that of Y20_MOD. For August (Fig. 5h), the Arctic Ocean and Atlantic sector (the
450 Pacific sector and northern Canada) are relatively warmer (colder) than that of Y20_MOD.
451 Excessive cold biases shown in Y20_MOD over Siberia are reduced notably (~2.5-4 degrees)
452 in Y21_CTRL. Y21_CTRL is largely reflected in the ice thickness change. As discussed
453 aboveshown in Figure S3, Y21_CTRL has fasterthinner ice meltingthickness than that of
454 Y20_MOD, in the East Siberian-Laptev Seas, which can be partly attributed to the changes in
455 the predicted air temperature. in July and in the much of central Arctic Ocean in August and
456 September.

457 Figure 6 and Figure 7 shows the spatial distribution of the ERA5 downward solar and
458 thermal radiation at the surface (SWDN and LWDN), the predicted anomalies (ensemble mean
459 minus ERA5) of Y20_MOD, and the difference between Y20_MOD and Y21_CTRL.

460 3.3. Impacts of the tracer advection in ROMS model

461 Currently For July, Y20_MOD (Fig. 6d) results in less SWDN over most of ocean basins,

462 southern Canada, western Siberia, and eastern Europe while more SWDN over southern and
463 eastern Siberia, Canadian Archipelago, and northern Canada compared with ERA5. For August
464 and September (Fig. 6e-f), the spatial distribution, in general, is similar to that of July, except
465 that eastern Siberia, Canadian Archipelago and northern Canada have opposite sign. It also
466 shows that the magnitude of biases decreases as the lead time decreases. With the RAP physics
467 in the Y21_CTRL experiment, large areas have smaller biases compared with Y20_MOD in
468 July (i.e., the positive difference between Y21_CTRL and Y20_MOD corresponds to the
469 negative biases in Y20_MOD), except the north Pacific (especially the Sea of Okhotsk),
470 southern Canada, and the central coastal Siberia (Fig. 6g). For August (Fig. 6h), there are more
471 areas with smaller biases, but the north Pacific and southern Canada still have larger biases. In
472 contrast to SWDN, the biases of LWDN shown in Y20_MOD has smaller magnitude (up to
473 100 W/m^2 in SWDN vs. 50 W/m^2 in LWDN) for the entire prediction period (Fig. 7d-f). For
474 July, Y20_MOD (Fig. 7d) shows less LDWN over most of the model domain compared with
475 ERA5, except the Atlantic sector and north of Greenland. For August, areas with negative
476 biases expand and the magnitude of biases increases (particularly in eastern and southern
477 Siberia) compared with that of July (Fig. 7e). For September (Fig. 7f), the spatial distribution
478 of LWDN is mostly similar to that of July, except that northern Canada and Canadian
479 Archipelago show positive biases. The Y21_CTRL experiment with the RAP physics tends to
480 reduce the negative biases shown in Y20_MOD, especially the negative biases over Siberia in
481 August and September (Fig. 7g-i).

482 Associated with the change in surface fluxes, compared to Y20_MOD, Y21_CTRL shows

483 warmer SST along the ice edge in July, and the warm difference along the ice edge becomes
484 larger (particularly near the east Siberian coast) in August and September. The other areas in
485 Y21_CTRL are mostly with less than 0.2 degrees difference relative to Y20_MOD (Fig. 10g-
486 i).

487 3.3. ROMS configuration

488 As described in section 2, the ROMS model that uses a generalized topography-following
489 coordinate, ~~but currently~~ has two vertical coordinate ~~transformation~~ transformation options:

$$501 \quad z(x, y, \sigma, t) = S(x, y, \sigma) + \zeta(x, y, t) \left[1 + \frac{S(x, y, \sigma)}{h(x, y)} \right] \quad (1)$$
$$S(x, y, \sigma) = h_c \sigma + [h(x, y) - h_c] C(\sigma)$$

490 or

$$502 \quad z(x, y, \sigma, t) = \zeta(x, y, t) + [\zeta(x, y, t) + h(x, y)] S(x, y, \sigma)$$
$$S(x, y, \sigma) = \frac{h_c \sigma + h(x, y) C(\sigma)}{h_c + h(x, y)} \quad (2)$$

491 where $S(x, y, \sigma)$ is a nonlinear vertical transformation function, $\zeta(x, y, t)$ is the free-surface,
492 $h(x, y)$ is the unperturbed water column thickness, $C(\sigma)$ is the non-dimensional, monotonic,
493 vertical stretching function, and h_c controls the behavior of the vertical stretching. In Y20, we
494 used the transformation (1) and the vertical stretching function introduced by Song and
495 Haidvogel (1994) ~~as the setup for seasonal Arctic sea ice prediction.~~ However, the vertical
496 transformation (1) has an inherent limitation for the value of h_c (expected to be the
497 thermocline depth), which must be less than or equal to the minimum value in $h(x, y)$. As ~~thea~~
498 result, h_c was chosen as 10 meters due to the limitation of the minimum value in $h(x, y)$ in
499 Y20. This limitation is removed with the vertical transformation (2) ~~and h_c can be any~~
500 ~~positive value.~~ ~~Currently, the vertical transformation (2)~~ and the vertical stretching function

503 introduced by Shchepetkin (2010), and h_c can be any positive value., ~~the function in a~~
504 ~~research version of ROMS developed at University of California, Los Angeles,~~
505 ~~https://www.myroms.org/wiki/Vertical_S_coordinate) are employed. The Here the Y21_VT~~
506 experiment is ~~designed~~conducted to examine the ~~impacts~~impact of the vertical transformation
507 in the ROMS model on seasonal Arctic sea ice simulation and prediction~~by using, which uses~~
508 the vertical transformation ~~(2)~~₂, the Shchepetkin stretching function, and 300 meters for h_c . As
509 shown in Supplementary Figure S4-S5, compared to Y21_CTRL, Y21_VT is less sensitive to
510 the bathymetry and its layers are more evenly-distributed in the upper 300 meters. With the
511 changes of vertical layers of the upper ocean, the Y21_VT experiment has minor SST changes
512 relative to Y21_CTRL. The simulated temporal evolution of total ice extent of Y21_VT (Fig.
513 4, red line) resembles to that of Y21_CTRL (Fig. 4, yellow line), although some differences
514 are seen at the regional scale in the areas with shallow water (e.g., East Siberian, Laptev,
515 Barents, and Kara Seas). The configuration of Y21_VT is used in the following experiments.

516 ~~In pervious sensitivity experiments to determine the choice of ROMS physical~~
517 ~~parametrizations listed in Table 2, we noticed~~It has been recognized that the tracer advection
518 and the vertical mixing schemes have important effects on ocean and sea ice simulation. ~~Thus~~
519 ~~here~~ (e.g., Liang and Losch, 2018; Naughten et al., 2017). Here the Y21_RP experiment is
520 designated to ~~further~~ explore the influence of ~~these different advection~~ schemes in the ~~updated~~
521 ~~CAPS, in which~~ ROMS model. Specifically, the tracer advection scheme is changed from the
522 Multidimensional positive definite advection transport algorithm (MPDATA; Smolarkiewicz,
523 2006) to the third-order upwind horizontal advection (U3H; Rasch, 1994; Shchepetkin, and

524 McWilliams, 2005) and the fourth-order centered vertical advection schemes (C4V;
525 Shchepetkin, and McWilliams, 1998; 2005). The MPDATA scheme applied in Y20_MOD,
526 Y21_CTRL, and Y21_VT is a non-oscillatory scheme but a sign preserving scheme
527 (Smolarkiewicz, 2006) that means MPDATA is not suitable for tracer fields having both
528 positive and negative values (i.e., temperature with degree Celsius in the ROMS model). The
529 upwind third-order (U3H) scheme used in Y21_RP is an oscillatory scheme but it significantly
530 reduces oscillations compared to other centered schemes (e.g., Hecht et al., 2000; Naughten et
531 al., 2017) available in the ROMS model.

532 ~~The temporal evolutions of the ensemble mean of the predicted Arctic total sea ice extent~~
533 ~~(as well as regional ice extent) for Y21_CTRL, Y21_VT, and Y21_RP are shown in Figure 8.~~
534 ~~Y21_VT (green line) simulates slightly less areal extent (<0.1 million km²) compared to that~~
535 ~~of Y21_CTRL throughout the prediction period. The Y21_RP shows highly similar temporal~~
536 ~~evolution of areal extent as Y21_CTRL until near the end of August. After that, the ice melting~~
537 ~~slows down and ice extent begins to recover earlier in Y21_RP (red line) compared to both~~
538 ~~Y21_CTRL and Y21_VT, which leads to much smaller biases in seasonal minimum ice extent~~
539 ~~relative to the observation. This result suggests the delayed ice recovery in late September~~
540 ~~shown in Y20, Y20_MOD and Y21_CTRL is partly due to the choice of ocean advection and~~
541 ~~vertical mixing schemes that change the behavior of oceanic state. Y21_RP also shows much~~
542 ~~better predictive skill after late August compared with the CLIM/DAMP predictions (black~~
543 ~~dashed and dotted lines). At the regional scale, changes in both the ocean vertical coordinate~~
544 ~~(Y21_VT) and the advection and vertical mixing scheme (Y21_RP) do not significantly affect~~

545 the evolution of areal extent in the Barents-Kara-Greenland Seas and the Baffin Bay-Canadian
546 Archipelago compared to that of Y21_CTRL (Fig. 8c, d). However, Y21_VT agrees better with
547 the observations in the Beaufort-Chukchi Seas and the East Siberian-Laptev Seas compared to
548 that of Y21_CTRL and the ice extent of Y21_RP stops retreating after mid-September in the
549 Beaufort-Chukchi Seas relative to that of Y21_CTRL (Fig. 8a, b).

550 Spatially, the choice of vertical transformation in Y21_VT does not significantly change
551 the distribution of sea ice biases in Y21_CTRL (i.e., higher ice concentration in the Pacific
552 sector, and lower ice concentration in the Atlantic sector, (Fig. 9a-c, Fig. 4g-i). The Y21_VT
553 experiment has slightly lower ice concentration compared with that of Y21_CTRL, which
554 corresponds to less areal extent of Y21_VT shown in Figure 8. By using U3H/C4V advection
555 scheme, the Y21_RP experiment has positive anomalies for most ice-covered areas (Fig. 9d-f).
556 For September, the Y21_RP experiment better predicts the ice edge location in the Atlantic
557 sector of the Arctic Ocean (i.e., smaller areas with horizontal/vertical lining) compared to the
558 experiments described above (Fig. 9f).

559 ~~Figure 10 shows that~~the spatial distribution of the SST changes of Y21_VT and Y21_RP
560 relative to Y21_CTRL (as well as ~~predicted anomalies of Y20_MOD~~the OI SST and the
561 difference between Y21_CTRL and ~~Y21_MOD~~). ~~By using different vertical transformation in~~
562 ~~the ROMS model, the Y21_VT experiment simulates slightly warmer SST in the north Pacific~~
563 ~~and Atlantic (~0.5 degree), and colder SST in the Bering Sea, Sea of Okhotsk, Barents-Kara,~~
564 ~~and Greenland Seas (~0.5-1.0 degree). We also note that SST under sea ice cover is warmer~~
565 ~~than that of Y21_CTRL, especially in the Beaufort-Chukchi Seas, which results in larger~~

566 ~~temperature difference and thus heat fluxes at the ice-ocean interface, and then contributes to~~
567 ~~faster ice retreating in the Beaufort-Chukchi Seas (Fig. 10j-l, Fig. 8a). With OISST). In general,~~
568 ~~Y21_CTRL shows cold prediction errors in the North Pacific (~2 degrees) and the Atlantic (~3~~
569 ~~degrees) compared to that of OISST in July, and these cold prediction errors are enhanced as~~
570 ~~the prediction time increases (to 3-5 degrees, Fig. 8d-f). With the U3H/C4V tracer advection~~
571 ~~scheme in Y21_RP, cold biases/prediction errors shown in Y21_CTRL (Fig. 10d-i) are reduced~~
572 ~~significantly in the north Pacific and Atlantic, but SST under sea ice cover in much of the Arctic~~
573 ~~Ocean is slightly colder than that of Y21_CTRL (Fig. 10m-o, 8j-l).~~

574 ~~3.4. CICE configuration and ice thickness assimilation~~

575 ~~Y21_RP (Fig. 4, green line) shows comparable temporal evolution of the ice extent as~~
576 ~~Y21_CTRL (as well as Y21_VT) until near the end of July. After that, the ice melting slows~~
577 ~~down (closer to the observation) and the ice extent begins to recover earlier (after the first week~~
578 ~~of September) in Y21_RP compared to Y21_CTRL. This leads to much smaller prediction error~~
579 ~~in seasonal minimum ice extent relative to the observation. Y21_RP also shows better~~
580 ~~predictive skill after late August compared with the CLIM/DAMP predictions (black dashed~~
581 ~~and dotted lines). This suggests the delayed ice recovery in late September shown in Y20_MOD,~~
582 ~~Y21_CTRL and Y21_VT is in part due to the choice of ocean advection and vertical mixing~~
583 ~~schemes, which change the behavior of ocean state. At the regional scale, the slower ice decline~~
584 ~~after July and earlier recovery of the ice extent in September mainly occur in the Beaufort-~~
585 ~~Chukchi and Barents-Kara-Greenland Seas compared to that of Y21_CTRL (Fig. 4a, c). By~~
586 ~~using U3H/C4V scheme, the Y21_RP experiment simulates higher sea ice concentration than~~

587 that of Y21_VT (Fig. 5f₁-f₃). For September, the Y21_RP experiment better predicts the ice
588 edge location in the Atlantic sector of the Arctic (i.e., smaller areas with horizontal/vertical
589 lining) compared to the experiments described above (not shown).

590 Figure 9 shows the evolution of sea ice mass budget terms of Y21_VT and Y21_RP.
591 Relative to Y21_VT, Y21_RP (with U3H/C4V scheme) results in increased frazil ice formation
592 in July, which is partly compensated by increased surface melting. Y21_RP also leads to
593 increased basal growth in mid- and late September (Fig. 9a, b).

594 Figure 10 shows the difference in the vertical profile of ocean temperature and salinity in
595 the upper 150 m averaged for the central Arctic Ocean between Y21_RP and Y21_VT. The
596 ocean temperature in the surface layer of Y21_RP is slightly colder during the prediction period
597 compared to that of Y21_VT (Fig. 10a), especially in August and September. Moreover, the
598 water in the surface layer (0-20 m) of Y21_RP is fresher than that of Y21_VT (Fig. 10b). They
599 reduce the freezing temperature and favor frazil ice formation. In the CAPS, the frazil ice
600 formation is determined by the freezing potential, which is the vertical integral of the difference
601 between temperature in upper ocean layer and the freezing temperature in the upper 5 m-layer.
602 The supercooled water is adjusted based on the freezing potential to form new ice and rejects
603 brine into the ocean that leads to saltier water between 20-50 m in Figure 10. It should be noted
604 that the increased frazil ice formation in July in Y21_RP might be also partly due to the
605 oscillatory behavior of U3H scheme, which makes the temperature fall below the freezing point
606 and then instantaneously forms new ice (as well as temperature/salinity adjustments).

607

3.4. Impacts of sea ice thermodynamics in the CICE model

In Y20, we used ~~the sea~~ ice thermodynamics introduced by Bitz and Lipscomb (1999; hereafter BL99), ~~as the setup of CAPS,~~ which assumes a fixed vertical salinity profile based on observations, ~~as the setup for seasonal Arctic sea ice prediction. Since the release of, The~~ ~~new~~ CICE ~~version 5, it model~~ includes ~~the~~ MUSHY-layer ice thermodynamics introduced by Turner et al. (2013), which simulates vertically ~~resolved~~ and time-varying prognostic salinity and ~~its~~ associated ~~impac~~ ~~effects~~ on ~~other thermodynamic~~ ~~thermodynamic~~ properties of sea ice. In the Y21_MUSHY experiment, we change ~~the~~ ice thermodynamics from BL99 to MUSHY (Table 32) to examine whether improved ice thermodynamics has noticeable influence on ~~Arctic~~ sea ice ~~simulation and~~ prediction at seasonal timescale. ~~Additionally, in Y20~~ ~~and~~ ~~Compared to Y21_RP, Y21_MUSHY (Fig. 4, pink line) produces very similar evolution of~~ ~~the total ice extent. However, it simulates relatively larger ice extent near the end of September,~~ ~~which is also reflected by the basin-wide increased ice cover shown in Figure 5h₃. At the~~ ~~regional scale, compared to Y21_RP, Y21_MUSHY predicts less ice in August in the Beaufort-Chukchi. The opposite is the case for the East Siberian-Laptev Seas (Fig. 4a, b).~~

~~Figure 11 shows the difference of the ensemble mean of the predicted ice thickness between Y21_MUSHY and Y21_RP. Compared with Y21_RP, Y21_MUSHY simulates thicker ice (from ~0.2m in July to over 0.4m in September) extending from the Canadian Arctic, through the central Arctic Ocean, to the Laptev Sea (Fig. 11a-c). This seems to be consistent with previous studies, which show that the Mushy-layer thermodynamics simulates thicker ice than BL99 thermodynamics in both standalone CICE (Turner and Hunke, 2015) and the fully-~~

629 coupled (Bailey et al., 2020), but Y21_MUSHY shows thinner ice (~0.2m) in an arc extending
630 from north of Alaska to north of eastern Siberia compared to Bailey et al. (2020). Note that
631 Y21_MUSHY focuses the effects of Mushy-thermodynamics on seasonal timescale while the
632 results in Bailey et al. (2020) are based on 50-year simulations.

633 Compared to Y21_RP, the mass budget of Y21_MUSHY (Fig. S6) shows that both surface
634 melting and frazil ice formation terms are increased. This compensation between surface
635 melting and frazil ice formation from the Mushy-layer thermodynamics in the CAPS leads to
636 relatively unchanged total ice extent between Y21_MUSHY and Y21_RP (Fig. 4 green and
637 pink lines).

638

639 **4. Prediction skill of CAPS at longer timescale**

640 The design of Arctic sea ice prediction experiments described above follow the protocol
641 of the Sea Ice Prediction Network (SPIN), in which the outlook start from June 1st, July 1st, and
642 August 1st to predict seasonal minimum of the ice extent in September. It is not clear that how
643 predictive skills of dynamical models participating in SIPN may change for longer period. Here
644 we conduct two more experiments to investigate the predictive capability of CAPS beyond the
645 SPIN prediction period. For the prediction experiments discussed above, we use a simple
646 approach to merge CryoSat-2 and SMOS ice thickness by replacing ice thickness less than 1m
647 in CryoSat-2 data with SMOS data for ice thickness assimilation. Ricker et al. (2017) presented
648 a new ice thickness product (CS2SMOS) based on the optimal interpolation to statistically
649 merge CryoSat-2 and SMOS data. ~~The Y21_SIT experiment (Table 3) is designed to~~

650 investigate Here we utilize the impacts configuration of assimilating ~~different approaches to~~
651 ~~merge CyroSat-2 and SMOS data on sea ice prediction.~~

652 Figure 11 shows the temporal evolutions of the ensemble mean of the predicted Arctic
653 total sea ice extent (as well as regional ice extent) for the ~~Y21_RP, Y21_MUSHY, and~~ but
654 use CS2SMOS SIT for the assimilation (Y21_SIT experiments. All three experiments predict;
655 Table 2). The predicted total ice extent is almost identical ~~total ice extents during the first 2-~~
656 ~~week integration. After that, Y21_MUSHY (red solid line) produces a slightly more ice extent~~
657 ~~(~ 0.2 million km^2) than that of Y21_RP (blue solid line) for the rest of integration, which~~
658 ~~mainly due to an increase of sea ice in the East Siberian-Laptev Seas (Fig. 11b). The timing of~~
659 ~~minimum ice extent occurs early in Y21_MUSHY relative to Y21_RP, resulting in early~~
660 ~~recovery. In contrast to Y21_RP, Y21_SIT (green solid line) simulates to Y21_RP in July but~~
661 slightly larger ice total extent after ~~the first week of August. At the regional scale, compared~~
662 ~~with Y21_RP, Y21_SIT predicts more ice before the mid-August and less ice after that in the~~
663 ~~Beaufort-Chukchi Seas (Fig. 11a) and larger ice extent throughout the entire prediction period~~
664 ~~in the Barents-Kara-Greenland Seas (Fig. 11e). For the spatial distribution of ice concentration~~
665 ~~anomalies, Y21_MUSHY and Y21_SIT show similar distribution as Y21_RP with slightly~~
666 ~~higher ice concentration at gridpoint scale (July than that of Y21_RP (not shown)).~~

667 Figure 12 show the ensemble mean of predicted sea ice thickness of the ~~Y21_RP,~~
668 ~~Y21_MUSHY, and Y21_SIT experiments and the ice thickness changes of Y21_MUSHY and~~
669 ~~Y21_SIT relative to Y21_RP. All three experiments produce similar ice thickness distribution,~~
670 ~~that~~ The configuration of Y21_SIT is the thickest ice locates near the Canadian Archipelago

671 and the Lincoln Sea, as well as the thickness gradient directs toward the Siberia coast (Fig.
672 12a-f). Compared with Y21_RP, Y21_MUSHY simulates thicker ice (from ~0.14m in July to
673 over 0.2m in September) in the Canadian Arctic and the central Arctic Ocean, thinner ice (over
674 0.2m) in the Kara Sea in September, and negligible thickness difference in other areas (Fig.
675 12g₁-i₁). This is consistent with previous studies showing that the Mushy layer
676 thermodynamics simulates thicker ice than BL99 thermodynamics in both standalone CICE
677 (Turner and Hunke, 2015) and the fully coupled context (used in the following experiments.
678 Taking advantage of the Bailey et al., 2020). Compared with Y21_RP, Y21_SIT predicts thicker
679 ice most of the ice edge zone and thinner ice in the central Arctic Ocean in July and August. In
680 September, Y21_SIT simulates much thinner ice (over 0.2m) in the Beaufort, Chukchi, East
681 Siberian Seas, and the central Arctic Ocean along with thicker ice in the Barents, Kara, and
682 Laptev Seas (Fig. 12g₂-i₂). The evolution of predicted ice thickness in Y21_SIT corresponds to
683 that of regional ice extent shown in Figure 11. This result suggests that assimilating the new
684 ice thickness product (CS2SMOS) have significant influences on the predicted ice thickness at
685 the regional scale.

686 **4. Discussions**

687 Arctic sea ice prediction experiments conducted in this study follow the protocol of Sea
688 Ice Prediction Network (SPIN), in which the outlook start from June 1st, July 1st, and August
689 1st to predict seasonal minimum of ice extent in September. Due to the socioeconomic impacts
690 of sea ice recovery during the freeze-up period (e.g., trans-Arctic shipping, coastal activities),
691 it is also essential to investigate the predictive capability of CAPS beyond the SPIN prediction

692 ~~period. Combining the~~ entire prediction period provided by CFS forecasts ~~and the Y21_SIT~~
693 ~~experiment,(7 months),~~ the Y21_EXT-7 experiment is designed to extend the prediction period
694 to the end of January next year (Table 32). Figure 1312 shows the temporal ~~evolution~~evolution
695 of the ensemble mean of the predicted total Arctic ~~total~~-sea ice extent (as well as regional ice
696 extent) for ~~the~~-Y21_EXT-7-experiment. ~~As shown in Figure 13,~~ the predicted. The total ice
697 extent exhibits reasonable evolution in terms of seasonal minimum and timing of recovery
698 compared with the observations until late November. Y21_EXT-7 also performs better than
699 that of the CLIM/DAMP predictions (black dashed and dotted lines) until mid-to-late
700 November. After that, Y21_EXT-7 overestimates the total ice extent ~~compared with~~relative to
701 the observations, and ~~this~~such overestimation is largely contributed by more extensive sea ice
702 in the Barents-Kara-Greenland Seas (Fig. 13c). ~~The overestimated ice cover in the Barents-~~
703 ~~Kara-Greenland Seas may be the results of biases from the CFS data propagated into the model~~
704 ~~domain through lateral boundary conditions and accumulated effects of biases in model~~
705 ~~components.~~12c), which is a result of a sharp increase in the basal growth term after mid-to-
706 late November (not shown).

707 A growing number of studies have shown evidences of Arctic sea ice spring predictability
708 barrier, ~~which is defined as a springtime date.~~ It means that predictions initialized prior to ~~this~~
709 ~~date~~spring (before May) have much lower predictive skill than predictions initialized after/on
710 that date (e.g., Bonan et al., 2019; Bushuk et al., 2017; 2018; Day et al., 2014). To investigate
711 the predictive capability of CAPS initialized prior to the summer melting season, the
712 Y21_MAR-7 experiment is initialized on March 1st, 2018 and predicts sea ice evolution until

713 the end of September (Table 32). Figure 1413 shows the temporal ~~evolution~~evolution of the
714 ensemble mean of the predicted total Arctic-~~total~~ sea ice extent (as well as regional ice extent)
715 for the Y21_MAR-7 experiment. The evolution of predicted total sea ice extent shows faster
716 ice melting rate than the observations after mid-May, but slower ice retreating after mid-July,
717 and. As a result, the predicted minimum of ice extent has an overestimation (~1.2 million km²)
718 compared to the observed minimum. In contrast to Y21_MAR-7, the DAMP prediction (black
719 dotted line) agrees better with the observations throughout the 7-month prediction period. At
720 the regional scale, Y21_MAR-7 shows abrupt ice decline after May in the Beaufort-Chukchi
721 Seas (Fig. 14a13a), and this decline is mainly contributed by ice ~~melting~~retreating along the
722 Alaskan coast (not shown). Sea ice in the East Siberian-Laptev Seas exhibits slow melting after
723 July (Fig. 14b13b), and ice cover-~~areas~~ still connect to the Siberian coast, which is different
724 from the observations (not shown). For the Barents-Kara-Greenland Seas (Baffin Bay-
725 Canadian Archipelago), there are systematic overestimations (underestimations) throughout
726 the entire prediction period (Fig. 14e13c-d). Bushuk et al. (2020) suggested that Arctic sea ice
727 predictability prior to the barrier date is mainly limited by synoptic events, which are only
728 predictable for few weeks, whereas the predictability after the barrier date is enhanced by ice-
729 albedo feedback with the onset of ice melting.

730

731 5. Conclusions and Discussions

732 This paper presents and evaluates the updated Coupled Arctic Prediction System (CAPS)
733 designated for Arctic sea ice ~~and climate prediction. The CAPS consists of the WRF, ROMS,~~

734 ~~and CICE models under the framework of the COAWST system, as well as data assimilation~~
735 ~~system based on the localized error subspace transform ensemble Kalman filter to assimilate~~
736 ~~satellite-observed sea ice observations~~prediction through a case study for the year of 2018. A
737 set of Pan-Arctic prediction experiments with improved/changed physical parameterizations as
738 well as different configurations starting from July 1st to the end of September are performed
739 for ~~the year of~~2018 to assess their impacts of the updated CAPS on the predictive skill of
740 Arctic sea ice at seasonal timescale. Specifically, we focus on the Rapid Refresh (RAP) physics
741 in the WRF model, the oceanic tracer advection scheme in the ROMS model, sea ice
742 thermodynamics in the CICE model, and investigate physical process linking them to Arctic
743 sea ice simulation and prediction.

744 The results ~~of prediction experiments~~ show that the updated CAPS with improved
745 physical parameterizations can better predict the evolution of the total ice extent compared with
746 its predecessor described in Yang et al. (2020), ~~though the predictions exhibit biases in regional~~
747 ~~ice extent. We demonstrate that the~~(2020), ~~though the predictions exhibit some prediction~~
748 ~~errors in regional ice extent. The key improvements of WRF, including cumulus, boundary~~
749 ~~layer, and land surface schemes, result in improved simulations in downward radiative fluxes~~
750 ~~and near surface air temperature. These improvements mainly influence the predicted ice~~
751 ~~thickness instead of total ice extent. The difference in the predicted ice thickness can have~~
752 ~~potential impacts on the icebreakers planning their routes across the ice-covered regions. The~~
753 ~~major changes of ROMS, including tracer advection and vertical mixing schemes, reduces the~~
754 ~~prediction error in sea surface temperature and changes ocean temperature and salinity~~

755 structure in the surface layer, leading to improved evolution of the predicted total ice extent
756 (particularly correcting the late ice recovery issue in the previous CAPS). The change of CICE,
757 including improved ice thermodynamics, have noticeable influences on the predicted ice
758 thickness.

759 We demonstrate that CAPS can remain skillful beyond the designated period of Sea Ice
760 Prediction Network (SIPN), which has potential values for stakeholders making decisions
761 regarding the socioeconomical activities. ~~Along with the improved~~ Although CAPS shows
762 extended predictive skill to the freeze-up period, the prediction produces extensive ice through
763 the basal growth near the end of total prediction. The excessive basal growth may be partly due
764 to that the bias of the CFS data propagates into the model domain through lateral boundary
765 conditions and its accumulated effect influences Arctic sea ice extent, the simulation during the
766 freeze-up period.

767 Keen et al. (2021) analyzed the Arctic mass budget of 15 models participated in the
768 Coupled Model Intercomparison Project Phase 6 (CMIP6). We notice that, first, the top melting
769 and the basal melting terms in CMIP6 models have comparable contributions in July while the
770 top melting term only has ~50% contribution relative to the basal melting term in the CAPS.
771 The updated CAPS also has reduced biases in with the predicted near RAP physics improves the
772 performance of shortwave/longwave radiation at the surface air temperature, downward
773 radiations (Fig. 1 and Fig. 2). The net flux at the surface, and sea surface however, may still be
774 underestimated in the CAPS. Besides, the surface property of sea ice (i.e., the amount of melt
775 ponds, bare ice, and snow) is a factor that influences surface albedo and thus the absorbed

776 shortwave radiation (e.g., Nicolaus et al., 2012; Nicolaus and Katlein, 2013). The prediction
777 experiments starting at July 1st in this study do not consider the initialization of melt ponds (i.e.,
778 zero melt pond coverage at the initial). However, melt ponds start to develop in early May
779 based on the satellite observations (e.g., Liu et al., 2015, Fig. 1). The initialization of melt pond
780 based on the observations (e.g., Ding et al., 2020) in the CAPS is a direction to improve the
781 representation of the ice surface properties. Second, the mass budget analysis by both Keen et
782 al. (2021) and this study show that the contribution of lateral melting term is relatively small,
783 which might be due to that CMIP6 models and the CAPS assume constant floe-size (i.e., 300
784 meters in CICE), which is a critical value to determine the strength of lateral melting (e.g.,
785 Horvat et al., 2016; Steele, 1992). Recently, several studies have proposed floe size distribution
786 models (e.g., Bateson et al., 2020; Bennetts et al., 2017; Boutin et al., 2020; Horvat and
787 Tziperman, 2015; Roach et al., 2018, 2019; Zhang et al., 2015, 2016). Incorporating floe size
788 distribution model in the CAPS and understanding its impacts on seasonal Arctic sea ice
789 prediction will be a future direction of developing CAPS. Lastly, the prediction experiments
790 with the upwind advection scheme (i.e., Y21_RP, Y21_EXT-7) shows spurious large frazil ice
791 formation, particularly in July, which is different from the analysis shown in Keen et al. (2021).
792 An approach for reducing spurious frazil ice formation is proposed by Naughten et al. (2017)
793 that they implemented upwind limiter (Leonard and Mokhtari, 1990) to the U3H scheme to
794 further reduce the oscillations. Naughten et al. (2018) also suggested that the oscillatory
795 behaviors can be smoothed out by applying the Akima fourth-order tracer advection scheme
796 combined with Laplacian horizontal diffusion at a level strong enough. Beside of the oscillatory

797 behaviors of advection scheme, the ice-ocean heat flux can also play a role in the spurious frazil
798 ice formation. As discussed in section 3.3, the freezing/melting potential not only determines
799 the amount of newly-formed ice, but also limits the amount of energy that can be extracted
800 from the ocean surface layer to melt sea ice. This implies that the ocean surface layer will be
801 close to the freezing temperature if the ice-ocean heat fluxes reach the limit imposed by the
802 melting potential. Shi et al. (2021) discussed the impacts of different ice-ocean heat flux
803 parametrizations on sea ice simulations. Their results suggest that Arctic sea ice will be thicker
804 and ocean temperature in Arctic domain compared to its predecessor. will warmer beneath
805 high-concentration ice with a complex approach proposed by Schmidt et al. (2004) that limits
806 melt rates (heat fluxes) of sea ice through considering a fresh water layer underlying sea ice.
807 The warmer ocean temperature under sea ice with a more complex approach in ice-ocean heat
808 flux may be the solution to reduce the occurrence of local temperature falling below freezing
809 temperature with oscillatory advection schemes.

810 Based on the prediction experiments discussed in ~~the~~this paper, the configuration ~~of the~~
811 with the RAP physics, the U3H/C4V ocean advection, BL99 ice thermodynamics, and
812 CS2SMOS ice thickness assimilation (Table 2, Y21_SIT-experiment) is assigned as the
813 finalized CAPS version 1.0. Improving the representation of physical processes in the CAPS
814 version 1.0 for further reducing the model bias will remain the main focus for the development
815 of CAPS ~~version 1.0.~~

816 Since the CAPS ~~version 1.0~~ is a regional modeling system, it relies on ~~GCM~~the forecasts
817 form global climate models as initial and lateral boundary conditions. That is, biases existed in

818 GCM simulations (here the CFS forecast) can be propagated into and affect the entire area-
819 limited domain (e.g., Bruyère et al., 2014; Rocheta et al., 2020; Wu et al., 2005). This issue can
820 be a potential source that influences the predictive capability of CAPS ~~version 1.0~~ for longer
821 timescales. Studies have applied bias correction techniques with different complexities for
822 improving the performance of regional modeling system (e.g., Bruyère et al., 2014; Colette et
823 al., 2012; Rocheta et al., 2017, 2020). Further investigation is needed to address biases inherited
824 from GCM predictions through lateral boundaries for improving the predictive capability of
825 CAPS ~~version 1.0~~.

826

827

828 Code and data availability: The COAWST and CICE models are open source and can be
829 downloaded from their developers at <https://github.com/jcwarner-usgs/COAWST> and
830 <https://github.com/CICE-Consortium/CICE>, respectively. PDAF can be obtained from
831 <https://pdaf.awi.de/trac/wiki>. CAPS v1.0 described in this paper is permanently archived at
832 <https://doi.org/10.5281/zenodo.5034971>. The prediction data analyzed in this paper can be
833 accessed from <https://doi.org/10.5281/zenodo.4911415>.

834

835 Author contributions: CYY and JL designed the model experiments, developed the
836 updated CAPS model, and wrote the manuscript, CYY conducted the prediction experiments
837 and analyzed the results. DC provided constructive feedback on the manuscript.

838

839 Competing interests: The authors declare that they have no conflict of interest.

840

841 Acknowledgements: This research is supported by the National Natural Science
842 Foundation of China (42006188), the National Key R&D Program of China
843 (2018YFA0605901), and the Innovation Group Project of Southern Marine Science and
844 Engineering Guangdong Laboratory (Zhuhai) (311021008). The authors also acknowledge the
845 National Centers for Environmental Prediction for providing CFS seasonal forecasts, the
846 University of Hamburg for distributing the SMOS sea ice thickness data, the Alfred-Wegener-
847 Institut, Helmholtz Zentrum für Polar- und Meeresforschung for providing the CryoSat-2 sea
848 ice thickness data and CS2SMOS data, the Polar Science Center for distributing the PIOMAS
849 ice thickness data, the National Snow and Ice Data Center for providing the SSMIS sea ice
850 concentration data, the European Centre for Medium-Range Weather Forecasts for distributing
851 the ERA5 reanalysis, and the National Oceanic and Atmospheric Administration for providing
852 the OI sea surface temperature.

853 **6. References**

854 [Aagaard, K.: A synthesis of the Arctic Ocean circulation. Rapp. P.-V. Reun.- Cons. Int. Explor.](#)
855 [Mer, 188, 11–22, 1989.](#)

856 Bailey, D. A., Holland, M. M., DuVivier, A. K., Hunke, E. C., and Turner, A. K.: Impact of a
857 new sea ice thermodynamic formulation in the CESM2 sea ice component. Journal of
858 Advances in Modeling Earth Systems, 12, e2020MS002154.
859 <https://doi.org/10.1029/2020MS002154>, 2020.

860 [Bateson, A. W., Feltham, D. L., Schröder, D., Hosekova, L., Ridley, J. K., and Aksenov, Y.:](#)
861 [Impact of sea ice floe size distribution on seasonal fragmentation and melt of Arctic sea](#)
862 [ice, The Cryosphere, 14, 403–428, https://doi.org/10.5194/tc-14-403-2020, 2020.](#)

863 Bitz, C. M. and Lipscomb, W. H.: An energy-conserving thermodynamic sea ice model for
864 climate study. J. Geophys. Res.-Oceans, 104, 15669–15677, 1999.

865 Benjamin, S. G., Weygandt, S. S., Brown, J. M., Hu, M., Alexander, C. R., Smirnova, T. G.
866 and Manikin, G. S.: A North American hourly assimilation and model forecast cycle: the
867 Rapid Refresh. Monthly Weather Review, 144, 1669–1694.
868 <https://doi.org/10.1175/MWR-D-15-0242.1>, 2016.

869 [Bennetts, L. G., O'Farrell, S., and Uotila, P.: Brief communication: Impacts of ocean-wave-](#)
870 [induced breakup of Antarctic sea ice via thermodynamics in a stand-alone version of the](#)
871 [CICE sea-ice model, The Cryosphere, 11, 1035–1040, https://doi.org/10.5194/tc-11-](#)
872 [1035-2017, 2017.](#)

873 Biswas, M. K., Zhang, J. A., Grell, E., Kalina, E., Newman, K., Bernardet, L., Carson, L.,
874 Frimel, J., and Grell, G.: Evaluation of the Grell–Freitas Convective Scheme in the
875 Hurricane Weather Research and Forecasting (HWRF) Model, Weather and Forecasting,
876 35(3), 1017-1033, 2020.

877 Blanchard-Wrigglesworth, E., Bitz, C., and Holland, M.: Influence of initial conditions and
878 climate forcing on predicting Arctic sea ice. Geophysical Research Letters, 38, L18503.
879 <https://doi.org/10.1029/2011GL048807>, 2011.

880 Blanchard-Wrigglesworth, E., and Bushuk, M.: Robustness of Arctic sea-ice predictability in
881 GCMs. *Climate Dynamics*, 52, 5555–5566, 2018.

882 Blanchard-Wrigglesworth, E., Cullather, R., Wang, W., Zhang, J., and Bitz, C. M.: Model
883 forecast skill and sensitivity to initial conditions in the seasonal sea ice outlook.
884 *Geophysical Research Letters*, 42, 8042–8048. <https://doi.org/10.1002/2015GL065860>,
885 2015.

886 Bonan, D., Bushuk, M., and Winton, M.: A spring barrier for regional predictions of summer
887 Arctic sea ice. *Geophysical Research Letter*, 46, 5937–5947.
888 <https://doi.org/10.1029/2019GL082947>, 2019.

889 [Boutin, G., Lique, C., Ardhuin, F., Rousset, C., Talandier, C., Accensi, M., and Girard-Ardhuin,](#)
890 [F.: Towards a coupled model to investigate wave–sea ice interactions in the Arctic](#)
891 [marginal ice zone. *The Cryosphere*, 14, 709–735, \[https://doi.org/10.5194/tc-14-709-\]\(https://doi.org/10.5194/tc-14-709-2020\)](#)
892 [2020, 2020.](#)

893 Briegleb, B. P. and Light, B.: A Delta-Eddington multiple scattering parameterization for solar
894 radiation in the sea ice component of the Community Climate System Model. NCAR
895 Tech. Note NCAR/TN-472+STR, National Center for Atmospheric Research, 2007.

896 Bruyère, C. L., Done, J. M., Holland, G. J., and Fredrick, S.: Bias corrections of global models
897 for regional climate simulations of high-impact weather. *Clim Dyn* 43, 1847–1856
898 (2014). <https://doi.org/10.1007/s00382-013-2011-6>, 2014.

899 Bushuk, M., Msadek, R., Winton, M., Vecchi, G., Gudgel, R., Rosati, A., and Yang, X.: Skillful
900 regional prediction of Arctic sea ice on seasonal timescales. *Geophysical Research Letter*,
901 44, 4953–4964. <https://doi.org/10.1002/2017GL073155>, 2017.

902 Bushuk, M., Msadek, R., Winton, M., Vecchi, G., Yang, X., Rosati, A., and Gudgel, R.:
903 Regional Arctic sea-ice prediction: Potential versus operational seasonal forecast skill.
904 *Climate Dynamics*, 52, 2721–2743, 2018.

905 Bushuk, M., Winton, M., Bonan, D. B., Blanchard-Wrigglesworth, E., and Delworth, T. L.: A
906 mechanism for the Arctic sea ice spring predictability barrier. *Geophysical Research*
907 *Letters*, 47, e2020GL088335. <https://doi.org/10.1029/2020GL088335>, 2020.

908 [Carmack, E., Polyakov, I., Padman, L., Fer, I., Hunke, E., Hutchings, J., Jackson, J., Kelley,](#)
909 [D., Kwok, R., Layton, C., Melling, H., Perovich, D., Persson, O., Ruddick, B.,](#)
910 [Timmermans, M.-L., Toole, J., Ross, T., Vavrus, S., and Winsor, P.: Toward Quantifying](#)
911 [the Increasing Role of Oceanic Heat in Sea Ice Loss in the New Arctic. *Bulletin of the*](#)
912 [American Meteorological Society](#) 96, 12, 2079-2105, [13-00177.1](https://doi.org/10.1175/BAMS-D-</u>
913 <u><a href=), 2015.

914 Cavalieri, D. J., Parkinson, C. L., Gloersen, P., and Zwally, H. J.: updated yearly. Sea Ice
915 Concentrations from Nimbus-7 SMMR and DMSP SSM/I-SSMIS Passive Microwave
916 Data, Version 1. Boulder, Colorado USA. NASA National Snow and Ice Data Center
917 Distributed Active Archive Center. <https://doi.org/10.5067/8GQ8LZQVLOVL>, 1996.

918 Chen, F. and Dudhia, J.: Coupling an advanced land surface–hydrology model with the Penn
919 State–NCAR MM5 modeling system. Part I: Model implementation and sensitivity. *Mon.*
920 *Wea. Rev.*, 129, 569–585, 2001.

921 Chevallier, M., Salas y Mélia, D., Voldoire, A., Déqué, M., and Garric, G.: Seasonal forecasts
922 of the pan-Arctic sea ice extent using a GCM-based seasonal prediction system. *Journal*
923 *of Climate*, 26(16), 6092–6104, 2013.

924 Colette, A., Vautard, R., and Vrac, M.: Regional climate downscaling with prior statistical
925 correction of the global climate forcing, *Geophys. Res. Lett.*, 39, L13707,
926 <https://doi.org/10.1029/2012GL052258>, 2012.

927 Day, J. J., Tietsche, S., Collins, M., Goessling, H. F., Guemas, V., Guillory, A., et al.: The
928 Arctic predictability and prediction on seasonal-to-interannual timescales (apposite) data
929 set version 1. *Geoscientific Model Development*, 9, 2255–2270, 2016.

930 Day, J., Tietsche, S., and Hawkins, E.: Pan-Arctic and regional sea ice predictability:
931 Initialization month dependence. *Journal of Climate*, 27(12), 4371–4390, 2014.

932 [Ding, Y., Cheng, X., Liu, J., Hui, F., Wang, Z., and Chen, S.: Retrieval of Melt Pond Fraction](#)
933 [over Arctic Sea Ice during 2000–2019 Using an Ensemble-Based Deep Neural Network.](#)
934 [Remote Sensing, 12\(17\):2746. <https://doi.org/10.3390/rs12172746>, 2020](#)

935 [DuVivier, A. K., Holland, M. M., Landrum, L., Singh, H. A., Bailey, D. A., and Maroon, E.](#)
936 [A.: Impacts of sea ice mushy thermodynamics in the Antarctic on the coupled Earth](#)
937 [system. Geophysical Research Letters, 48, e2021GL094287.](#)
938 <https://doi.org/10.1029/2021GL094287>, 2021.

939 [Fer, I.: Near-inertial mixing in the central Arctic Ocean. J. Phys. Oceanogr., 44, 2031–2049,](#)
940 <https://doi.org/10.1175/JPO-D-13-0133.1>, 2014.

941 Fetterer, F., Knowles, K., Meier, W. N., Savoie, M., and Windnagel, A. K.: updated daily. Sea
942 Ice Index, Version 3. Boulder, Colorado USA. NSIDC: National Snow and Ice Data
943 Center. <https://doi.org/10.7265/N5K072F8>, 2017.

944 Freitas, S. R., Grell, G. A., Molod, A., Thompson, M. A., Putman, W. M., Santos e Silva, C.
945 M. and Souza, E. P.: Assessing the Grell–Freitas convection parameterization in the
946 NASA GEOS modeling system. J. Adv. Model. ~~Earth Syst~~[Earth Syst.](#), 10, 1266–
947 1289, <https://doi.org/10.1029/2017MS001251>, 2018.

948 [Freitas, S. R., Grell, G. A., and Li, H.: The Grell–Freitas \(GF\) convection parameterization:](#)
949 [recent developments, extensions, and applications, Geosci. Model Dev., 14, 5393–5411,](#)
950 <https://doi.org/10.5194/gmd-14-5393-2021>, 2021.

951 Germe, A., Chevallier, M., y Mélia, D. S., Sanchez-Gomez, E., and Cassou, C.: Interannual
952 predictability of Arctic sea ice in a global climate model: Regional contrasts and
953 temporal evolution. *Climate Dynamics*, 43(9-10), 2519–2538, 2014.

954 Grell, G. A., and Freitas, S.: A scale and aerosol aware stochastic convective parameterization
955 for weather and air quality modeling. *Atmos. Chem. Phys.*, 14, 5233–5250,
956 <https://doi.org/10.5194/acp-14-5233-2014>, 2014.

957 Guemas, V., Blanchard-Wrigglesworth, E., Chevallier, M., Day, J. J., Déqué, M., Doblas-
958 Reyes, F. J., et al.: A review on Arctic sea-ice predictability and prediction on seasonal

959 to decadal time-scales. Quarterly Journal of the Royal Meteorological Society, 142(695),
960 546–561, 2016.

961 Haidvogel, D. B., Arango, H., Budgell, W. P., Cornuelle, B. D., Curchitser, E., Di Lorenzo, E.,
962 et al.: Ocean forecasting in terrain-following coordinates: Formulation and skill
963 assessment of the Regional Ocean Modeling System, Journal of Computational Physics,
964 227, 3595–3624, 2008.

965 [Hecht, M. W., Wingate, B. A., and Kassis, P.: A better, more discriminating test problem for](#)
966 [ocean tracer transport. Ocean Modell. 2 \(1–2\), 1–15. <https://doi.org/10.1016/S1463-50>](#)
967 [03\(00\)00004-4, 2000.](#)

968 Hersbach, H., Bell, B., Berrisford, P., et al.: The ERA5 global reanalysis. Quarterly Journal of
969 the Royal Meteorological Society, 146, 1999–2049. <https://doi.org/10.1002/qj.3803>,
970 2020.

971 ~~[Hunt, B. R., Kostelich, E. J., Szunyogh, I.: Efficient data assimilation for spatiotemporal chaos:](#)~~
972 ~~[A local ensemble transform Kalman filter. Physica D 230: 112–126, 2007.](#)~~

973 [Horvat, C., and Tziperman, E.: A prognostic model of the sea-ice floe size and thickness](#)
974 [distribution. Cryosphere 9, 2119–2134, 2015.](#)

975 [Horvat, C., Tziperman, E., and Campin, J.-M.: Interaction of sea ice floe size, ocean eddies,](#)
976 [and sea ice melting. Geophysical Research Letters, 43, 8083–8090.](#)
977 <https://doi.org/10.1002/2016GL069742>, 2016.

978 [Huang, Y., Chou, G., Xie, Y., & Soulard, N.: Radiative control of the interannual variability](#)
979 [of Arctic sea ice. Geophysical Research Letters, 46, 9899–9908.](#)
980 <https://doi.org/10.1029/2019GL084204>, 2019.

981 [Itoh, M., Nishino, S., Kawaguchi, Y., and Kikuchi, T.: Barrow Canyon volume, heat, and](#)
982 [freshwater fluxes revealed by long-term mooring observations between 2000 and 2008.](#)
983 [J. Geophys. Res. Oceans, 118, 4363–4379, <https://doi.org/10.1002/jgrc.20290>, 2013.](#)

984 Jung, T., Gordon, N.D., Bauer, P., Bromwich, D.H., Chevallier, M., Day, J.J., Dawson, J.,
985 Doblas-Reyes, F., Fairall, C., Goessling, H.F., Holland, M., Inoue, J., Iversen, T., Klebe,

986 S., Lemke, P., Losch, M., Makshtas, A., Mills, B., Nurmi, P., Perovich, D., Reid, P.,
987 Renfrew, I.A., Smith, G., Svensson, G., Tolstykh, M., and Yang, Q.: Advancing Polar
988 Prediction Capabilities on Daily to Seasonal Time Scales. *Bulletin of the American*
989 *Meteorological Society*. <https://doi.org/10.1175/BAMS-D-14-00246.1>, 2016.

990 Kaleschke, L., Tian-Kunze, X., Maaß, N., Mäkynen, M., and Drusch, M.: Sea ice thickness
991 retrieval from SMOS brightness temperatures during the Arctic freeze-up period.
992 *Geophys. Res. Lett.*, L05501, <https://doi.org/10.1029/2012GL050916>, 2012.

993 [Kapsch, M., Graversen, R. G., Tjernström, M., and Bintanja, R.: The Effect of Downwelling](#)
994 [Longwave and Shortwave Radiation on Arctic Summer Sea Ice. *Journal of Climate* 29,](#)
995 [3, 1143-1159, <https://doi.org/10.1175/JCLI-D-15-0238.1>, 2016.](#)

996 [Kay, J. E., L'Ecuyer, T., Gettelman, A., Stephens, G., and O'Dell, C.: The contribution of cloud](#)
997 [and radiation anomalies to the 2007 Arctic sea ice extent minimum, *Geophys. Res. Lett.*,](#)
998 [35, L08503, doi:10.1029/2008GL033451, 2008.](#)

999 [Keen, A., Blockley, E., Bailey, D. A., Boldingh Debernard, J., Bushuk, M., Delhaye, S.,](#)
1000 [Docquier, D., Feltham, D., Massonnet, F., O'Farrell, S., Ponsoni, L., Rodriguez, J. M.,](#)
1001 [Schroeder, D., Swart, N., Toyoda, T., Tsujino, H., Vancoppenolle, M., and Wyser, K.:](#)
1002 [An inter-comparison of the mass budget of the Arctic sea ice in CMIP6 models, *The*](#)
1003 [Cryosphere](#), 15, 951–982, <https://doi.org/10.5194/tc-15-951-2021>, 2021.

1004 [Kirkman, C. H., IV, and Bitz, C. M.: The Effect of the Sea Ice Freshwater Flux on Southern](#)
1005 [Ocean Temperatures in CCSM3: Deep-Ocean Warming and Delayed Surface Warming.](#)
1006 [*Journal of Climate* 24, 9, 2224-2237, <https://doi.org/10.1175/2010JCLI3625.1>, 2011.](#)

1007 Kwok, R.: Arctic sea ice thickness, volume, and multiyear ice coverage: Losses and coupled
1008 variability (1958–2018). *Environmental Research Letters*, 13(10), 105005, 2018

1009 Laxon, S., Giles, K. A., Ridout, A. L., Wingham, D. J., Willatt, R., Cullen, R., Kwok, R.,
1010 Schweiger, A., Zhang, J., Haas, C., Hendricks, S., Krishfield, R., Kurtz, N., Farrell, S.,
1011 and Davidson, M.: CryoSat-2 estimates of Arctic sea ice thickness and volume, *Geophys.*
1012 *Res. Lett.*, 40, <https://doi.org/10.1002/grl.50193>, 2013.

1013 Lemieux, J. F., Dupont, F., Blain, P., Roy, F., Smith, G. C., and Flato, G. M.: Improving the
1014 simulation of landfast ice by combining tensile strength and a parameterization for
1015 grounded ridges. *J. Geophys. Res. Oceans*, 121:7354–7368,
1016 <http://dx.doi.org/10.1002/2016JC012006>, 2016.

1017 Leonard, B., Mokhtari, S.: ULTRA-SHARP Non oscillatory Convection Schemes for High-
1018 Speed Steady Multidimensional Flow. Technical Report. NASA, 1990.

1019 Liang, X., and Losch, M.: On the effects of increased vertical mixing on the Arctic Ocean and
1020 sea ice. *Journal of Geophysical Research: Oceans*, 123, 9266– 9282.
1021 <https://doi.org/10.1029/2018JC014303>, 2018.

1022 Liu, J., Song, M., Horton, R., and Hu, Y.: Revisiting the potential of melt pond fraction as a
1023 predictor for the seasonal Arctic sea ice minimum. *Environmental Research Letters*, 10,
1024 054017. <https://doi.org/10.1088/1748-9326/10/5/054017>, 2015.

1025 Liu, J., Chen, Z., Hu, Y., Zhang, Y., Ding, Y., Cheng, X., et al.: Towards reliable arctic sea ice
1026 prediction using multivariate data assimilation. *Science Bulletin*, 64(1), 63–72, 2019.

1027 Merryfield, W., Lee, W.-S., Wang, W., Chen, M., and Kumar, A.: Multi-system seasonal
1028 predictions of Arctic sea ice. *Geophysical Research Letters*, 40, 1551–1556.
1029 <https://doi.org/10.1002/grl.50317>, 2013.

1030 Mallett, R. D. C., Stroeve, J. C., Cornish, S. B. Crawford, A. D., Lukovich, J. V., Serreze, M.
1031 C., Barrett, A. P., Meier, W. N., Heorton, H. D. B. S., and Tsamados, M.: Record winter
1032 winds in 2020/21 drove exceptional Arctic sea ice transport. *Commun Earth Environ* 2,
1033 149, <https://doi.org/10.1038/s43247-021-00221-8>, 2021.

1034 Maslanik, J. and Stroeve, J.: Near-Real-Time DMSP SSMIS Daily Polar Gridded Sea Ice
1035 Concentrations, Version 1. Boulder, Colorado USA. NASA National Snow and Ice Data
1036 Center Distributed Active Archive Center. <https://doi.org/10.5067/U8C09DWVX9LM>,
1037 1999.

1038 McLaughlin, F. A., Carmack, E. C., Williams, W. J., Zimmerman, S., Shimada, K., and Itoh,
1039 M.: Joint effects of boundary currents and thermohaline intrusions on the warming of

1040 [Atlantic water in the Canada Basin, 1993–2007. J. Geophys. Res., 114, C00A12,](#)
1041 <https://doi.org/10.1029/2008JC005001>, 2009.

1042 [Meehl, G.A., Stocker, T. F., Collins, W. D., et al.: Global Climate Projections. In: Climate](#)
1043 [Change 2007: The Physical Science Basis. Contribution of Working Group I to the](#)
1044 [Fourth Assessment Report of the Intergovernmental Panel on Climate Change \[Solomon,](#)
1045 [S., D. Qin, M. Manning, Z. Chen, M. Marquis, K.B. Averyt, M. Tignor and H.L. Miller](#)
1046 [\(eds.\)\]. Cambridge University Press, Cambridge, United Kingdom and New York, NY,](#)
1047 [USA, 2007.](#)

1048 Msadek, R., Vecchi, G., Winton, M., and Gudgel, R.: Importance of initial conditions in
1049 seasonal predictions of Arctic sea ice extent. Geophysical Research Letters, 41, 5208–
1050 5215. <https://doi.org/10.1002/2014GL060799>, 2014.

1051 Nakanishi, M., and Niino., H.: Development of an improved turbulence closure model for the
1052 atmospheric boundary layer. J. Meteor. Soc. Japan, 87, 895–912,
1053 <https://doi.org/10.2151/jmsj.87.895>, 2009.

1054 ~~Nerger, Naughten, K. A., Galton-Fenzi, B. K., Meissner, K. J., England, M. H., Brassington, G.~~
1055 ~~B., Colberg, F., Hattermann, T., and Debernard, J. B.: Spurious sea ice formation caused~~
1056 ~~by oscillatory ocean tracer advection schemes. Ocean Model., 116, 108–117, 2017.~~

1057 ~~Naughten, K. A., Meissner, K. J., Galton-Fenzi, B. K., England, M. H., Timmermann, R.,~~
1058 ~~Hellmer, H. H., Hattermann, T., and Debernard, J. B.: Intercomparison of Antarctic ice-~~
1059 ~~shelf, ocean, and sea-ice interactions simulated by MetROMS-iceshelf and FESOM 1.4.~~
1060 ~~Geosci. Model. Dev., 11, 1257–1292, 2018~~

1061 ~~L., Danilov, S., Hiller, W., Schröter, J.: Using sea level data to constrain a finite element~~
1062 ~~primitive equation ocean model with a local SEIK filter, Ocean Dynamics, 56(5/6), 634–~~
1063 ~~649., https://doi.org/10.1007/s10236-006-0083-0, 2006.~~

1064 Nerger, L., and Hiller, W.: Software for Ensemble-based Data Assimilation Systems -
1065 Implementation Strategies and Scalability. Computers and Geosciences, 55, 110-118.
1066 <https://doi.org/10.1016/j.cageo.2012.03.026>, 2013.

1067 Nerger, L., Janjić, T., Schröter, J. and Hiller, W.: A unification of ensemble square root Kalman
1068 filters. *Monthly Weather Review*, 140, 2335-2345. <https://doi.org/10.1175/MWR-D-11->
1069 00102.1, 2012.

1070 Newton, R., Pfirman, S., Schlosser, P., Tremblay, B., Murray, M. and Pomerance, R.: White
1071 Arctic vs. Blue Arctic: A case study of diverging stakeholder responses to environmental
1072 change. *Earth's Future*, 4: 396-405. <https://doi.org/10.1002/2016EF000356>, 2016.

1073 [Nicolaus M., Katlein, C., Maslanik, J., and Hendricks, S.: Changes in Arctic sea ice result in](#)
1074 [increasing light transmittance and absorption. *Geophysical Research Letters*, 39, L24501.](#)
1075 [<https://doi.org/10.1029/2012GL053738>, 2012](#)

1076 [Nicolaus M., and Katlein, C.: Mapping radiation transfer through sea ice using a remotely](#)
1077 [operated vehicle \(ROV\). *The Cryosphere*, 7, 763-77. <https://doi.org/10.5194/tc-7-763->](#)
1078 [2013, 2013.](#)

1079 [Notz, D., Jahn, A., Holland, M., Hunke, E., Massonnet, F., Stroeve, J., Tremblay, B., and](#)
1080 [Vancoppenolle, M.: The CMIP6 Sea-Ice Model Intercomparison Project \(SIMIP\):](#)
1081 [understanding sea ice through climate-model simulations, *Geosci. Model Dev.*, 9, 3427–](#)
1082 [3446, <https://doi.org/10.5194/gmd-9-3427-2016>, 2016.](#)

1083 [Ogi, M., Yamazaki, K., and Wallace, J. M.: Influence of winter and summer surface wind](#)
1084 [anomalies on summer Arctic sea ice extent, *Geophys. Res. Lett.*, 37, L07701,](#)
1085 [\[doi:10.1029/2009GL042356\]\(https://doi.org/10.1029/2009GL042356\), 2010.](#)

1086 [Olonscheck, D., Mauritsen, T. and Notz, D.: Arctic sea-ice variability is primarily driven by](#)
1087 [atmospheric temperature fluctuations. *Nat. Geosci.* 12, 430–434,](#)
1088 [<https://doi.org/10.1038/s41561-019-0363-1>, 2019.](#)

1089 [Padman, L., and Dillon, T. M.: Vertical heat fluxes through the Beaufort Sea thermohaline](#)
1090 [staircase. *J. Geophys. Res.*, 92, 10 799–10 806,](#)
1091 [<https://doi.org/10.1029/JC092iC10p10799>, 1987.](#)

1092 Perovich, D., Richter-Menge, J., Jones, K., Light, B., Elder, B., Polashenski, C., Laroche, D.,
1093 Markus, T., and Lindsay, R.: Arctic sea-ice melt in 2008 and the role of solar heating.
1094 Annals of Glaciology, 52(57), 355-359. doi:10.3189/172756411795931714, 2011.

1095 Perovich, D., Richter-Menge, J., Polashenski, C., Elder, B., Arbetter, T., and Brennick, O.: Sea
1096 ice mass balance observations from the North Pole Environmental Observatory,
1097 Geophys. Res. Lett., 41, 2019– 2025, doi:10.1002/2014GL059356, 2014.

1098 Peterson, K., Arribas, A., Hewitt, H., Keen, A., Lea, D., and McLaren, A.: Assessing the
1099 forecast skill of Arctic sea ice extent in the GloSea4 seasonal prediction system. Climate
1100 Dynamics, 44(1-2), 147–162, 2015.

1101 Pham, D. T.: Stochastic methods for sequential data assimilation in strongly nonlinear systems.
1102 Mon. Wea. Rev., 129, 1194–1207, 2001.

1103 Rasch, P. J.: Conservative shape-preserving two-dimensional transport on a spherical reduced
1104 grid, Mon. Wea. Rev, 122, 1337-1350, 1994.

1105 Reynolds, R. W., Smith, T. M., Liu, C., Chelton, D. B., Casey, K. S., and Schlax, M. G.: Daily
1106 High-Resolution-Blended Analyses for Sea Surface Temperature, Journal of Climate,
1107 20(22), 5473-5496, 2007.

1108 Ricker, R., Hendricks, S., Kaleschke, L., Tian-Kunze, X., King, J., and Haas, C.: A weekly
1109 Arctic sea-ice thickness data record from merged CryoSat-2 and SMOS satellite data,
1110 The Cryosphere, 11, 1607–1623, <https://doi.org/10.5194/tc-11-1607-2017>, 2017.

1111 Roach, L. A., Bitz, C. M., Horvat, C., and Dean, S. M.: Advances in modeling interactions
1112 between sea ice and ocean surface waves. Journal of Advances in Modeling Earth
1113 Systems, 11. <https://doi.org/10.1029/2019MS001836>, 2019.

1114 Roach, L. A., Horvat, C., Dean, S. M., and Bitz, C. M.: An emergent sea ice floe size
1115 distribution in a global coupled ocean–sea ice model. Journal of Geophysical Research:
1116 Oceans, 123(6), 4322–4337. <https://doi.org/10.1029/2017JC013692>, 2018.

1117 Rocheta, E., Evans, J. P., and Sharma, A.: Can Bias Correction of Regional Climate Model
1118 Lateral Boundary Conditions Improve Low-Frequency Rainfall Variability?, *Journal of*
1119 *Climate*, 30(24), 9785-9806, 2017.

1120 Rocheta, E., Evans, J. P. and Sharma, A.: Correcting lateral boundary biases in regional climate
1121 modelling: the effect of the relaxation zone. *Clim. Dyn.*, 55, 2511–2521.
1122 <https://doi.org/10.1007/s00382-020-05393-1>, 2020.

1123 Serreze, M. C. and Meier, W. N.: The Arctic's sea ice cover: trends, variability, predictability,
1124 and comparisons to the Antarctic. *Ann. N.Y. Acad. Sci.*, 1436: 36-53.
1125 <https://doi.org/10.1111/nyas.13856>, 2019.

1126 Saha, S., Moorthi, S., Wu, X., et al.: The NCEP climate forecast system version 2. *J. Clim.*
1127 27:2185–2208, 2014.

1128 [Schmidt, G. A., Bitz, C. M., Mikolajewicz, U., and Tremblay, L.-B.: Ice–ocean boundary](#)
1129 [conditions for coupled models, *Ocean Model.*, 7, 59–74, 2004.](#)

1130 Shchepetkin, A.F., McWilliams, J. C.: Quasi-monotone advection schemes based on explicit
1131 locally adaptive dissipation. *Mon. Weather Rev.* 126 (6), 1541–1580, 1998.

1132 Shchepetkin, A. F., and McWilliams, J. C.: The Regional Ocean Modeling System: A split-
1133 explicit, free-surface, topography following coordinates ocean model, *Ocean Modelling*,
1134 9, 347-404, 2005.

1135 [Shi, X., Notz, D., Liu, J., Yang, H., and Lohmann, G.: Sensitivity of Northern Hemisphere](#)
1136 [climate to ice–ocean interface heat flux parameterizations, *Geosci. Model Dev.*, 14,](#)
1137 [4891–4908, <https://doi.org/10.5194/gmd-14-4891-2021>, 2021.](#)

1138 Sigmond, M., Fyfe, J., Flato, G., Kharin, V., and Merryfield, W.: Seasonal forecast skill of
1139 Arctic sea ice area in a dynamical forecast system. *Geophysical Research Letters*, 40,
1140 529–534. <https://doi.org/10.1002/grl.50129>, 2013.

1141 Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Barker, D. M., Wang, W. and Powers,
1142 J. G.: A Description of the Advanced Research WRF Version 2. NCAR Technical Note,
1143 NCAR/TN-468+STR, 2005.

1144 Smolarkiewicz, P. K.: Multidimensional positive definite advection transport algorithm: An
1145 overview. *Int. J. Numer. Methods Fluids*, 50, 1123–1144, 2006.

1146 Song, Y. and Haidvogel, D. B.: A semi-implicit ocean circulation model using a generalized
1147 topography-following coordinate system. *J. Comp. Phys.*, 115(1), 228-244, 1994.

1148 Steele, M.: Sea ice melting and floe geometry in a simple ice-ocean model. *Journal of*
1149 *Geophysical Research: Oceans*, 97(C11), 17,729–17,738.
1150 <https://doi.org/10.1029/92JC01755>, 1992.

1151 Stroeve, J., Blanchard-Wrigglesworth, E., Guemas, V., Howell, S., Massonnet, F., and Tietsche,
1152 S.: Improving predictions of Arctic sea ice extent, *Eos*, 96,
1153 <https://doi.org/10.1029/2015EO031431>, 2015.

1154 Stroeve, J., Hamilton, L. C., Bitz, C. M., and Blanchard-Wrigglesworth, E.: Predicting
1155 September sea ice: Ensemble skill of the SEARCH Sea Ice Outlook 2008 – 2013,
1156 *Geophys. Res. Lett.*, 41, 2411-2418, <https://doi.org/10.1002/2014GL059388>, 2014.

1157 Tian-Kunze, X., Kaleschke, L., Maaß, N., Mäkynen, M., Serra, N., Drusch, M., and Krumpen,
1158 T.: SMOS-derived thin sea ice thickness: Algorithm baseline, product specifications and
1159 initial verification. *Cryosphere*, 8, 997-1018, <https://doi.org/10.5194/tc-8-997-2014>,
1160 2014.

1161 Tietsche, S., Day, J., Guemas, V., Hurlin, W., Keeley, S., Matei, D., et al.: Seasonal to
1162 interannual Arctic sea ice predictability in current global climate models. *Geophysical*
1163 *Research Letters*, 41, 1035–1043. <https://doi.org/10.1002/2013GL058755>, 2014.

1164 Tsamados, M., Feltham, D. L., and Wilchinsky, A. V.: Impact of a new anisotropic rheology
1165 on simulations of Arctic sea ice, *J. Geophys. Res. Oceans*, 118, 91–107,
1166 [doi:10.1029/2012JC007990](https://doi.org/10.1029/2012JC007990), 2013.

1167 Turner, A. K., and Hunke, E. C.: Impacts of a mushy-layer thermodynamic approach in global
1168 sea-ice simulations using the CICE sea-ice model, *J. Geophys. Res. Oceans*, 120, 1253-
1169 1275, [doi:10.1002/2014JC010358](https://doi.org/10.1002/2014JC010358), 2015.

-
- 1170 Turner, A. K., Hunke, E. C., and Bitz, C. M.: Two modes of sea-ice gravity drainage: A
1171 parameterization for large-scale modeling, *J. Geophys. Res.*, 118, 2279–2294,
1172 doi:10.1002/jgrc.20171, 2013.
- 1173 [Turner, J. S.: Buoyancy Effects in Fluids. Cambridge University Press, 368 pp. 1973.](#)
- 1174 Van den Dool, H.: *Empirical Methods in Short-Term Climate Prediction*, Oxford Univ. Press,
1175 Oxford, U. K., 2006.
- 1176 Wang, W., Chen, M., and Kumar, A.: Seasonal prediction of Arctic sea ice extent from a
1177 coupled dynamical forecast system. *Monthly Weather Review*, 141(4), 1375–1394, 2013.
- 1178 Warner, J. C., Armstrong, B., He, R., and Zambon, J.: Development of a coupled ocean–
1179 atmosphere–wave–sediment transport (COAWST) modeling system. *Ocean Modell.* 35,
1180 230–244, 2010.
- 1181 [Woodgate, R. A., Aagaard, K., and Weingartner, T. J.: A year in the physical oceanography of](#)
1182 [the Chukchi Sea: Moored measurements from autumn 1990–1991. *Deep-Sea Res. II*, 52,](#)
1183 [3116–3149, <https://doi.org/10.1016/j.dsr2.2005.10.016>, 2005.](#)
- 1184 Wu, W., Lynch, A. H., and Rivers, A.: Estimating the Uncertainty in a Regional Climate Model
1185 Related to Initial and Lateral Boundary Conditions, *Journal of Climate*, 18(7), 917–933,
1186 2005.
- 1187 Yang, C.-Y., Liu, J., and Xu, S.: Seasonal Arctic sea ice prediction using a newly developed
1188 fully coupled regional model with the assimilation of satellite sea ice observations.
1189 *Journal of Advances in Modeling Earth Systems*, 12, e2019MS001938.
1190 <https://doi.org/10.1029/2019MS001938>, 2020.
- 1191 Zampieri, L., Goessling, H. F., and Jung, T.: Bright prospects for Arctic sea ice prediction on
1192 subseasonal time scales. *Geophysical Research Letters*, 45, 9731– 9738.
1193 <https://doi.org/10.1029/2018GL079394>, 2018.
- 1194 Zhang, J. and Rothrock, D.: Modeling global sea ice with a thickness and enthalpy distribution
1195 model in generalized curvilinear coordinates. *Mon. Wea. Rev.*, 131, 845–861, 2003.

1196 [Zhang, J., Lindsay, R., Steele, M., and Schweiger, A.: What drove the dramatic retreat of arctic](#)
1197 [sea ice during summer 2007?, Geophys. Res. Lett., 35, L11505,](#)
1198 [doi:10.1029/2008GL034005, 2008.](#)

1199 [Zhang, J., Schweiger, A., Steele, M., and Stern, H.: Sea ice floe size distribution in the marginal](#)
1200 [ice zone: Theory and numerical experiments. Journal of Geophysical Research: Oceans,](#)
1201 [120, 3484–3498. <https://doi.org/10.1002/2015JC010770>, 2015.](#)

1202 [Zhang, J., Stern, H., Hwang, B., Schweiger, A., Steele, M., Stark, M., and Graber, H. C.:](#)
1203 [Modeling the seasonal evolution of the Arctic sea ice floe size distribution. Elementa:](#)
1204 [Science of the Anthropocene, 4\(1\), 126.](#)
1205 [<https://doi.org/10.12952/journal.elementa.000126>, 2016.](#)

1206

1207 **7. Tables**

1208 ~~Table 1 Difference in versions for the model components between the original and updated~~
1209 ~~CAPS~~

	Yang et al. (2020)	This paper
COAWST	3.1	3.5
WRF	3.6.1	4.1.2
ROMS	3.7 revision 748	3.8 revision 981
CICE	5.1.2	6.0.0

1210

1211

Table 2 **Table 1** The summary of physic parameterizations used in the Y21_CRTL experiment

WRF physics	
Cumulus parameterization	Grell-Freitas (Freitas et al. 2018; improved from Y20)
Microphysics parameterization	Morrison 2-moment (Morrison et al. 2009; same as Y20)
Longwave radiation parameterization	CAM spectral band scheme (Collins et al. 2004; same as Y20)
Shortwave radiation parameterization	CAM spectral band scheme (Collins et al. 2004; same as Y20)
Boundary layer physics	MYNN2 (Nakanishi and Niino, 2006; improved from Y20)
Land surface physics	Unified Noah LSM (Chen and Dudhia, 2001; improved from Y20)
ROMS physics	
Tracer advection scheme	MPDATA (Smolarkiewicz, 2006; same as Y20)
Tracer vertical mixing scheme	GLS (Umlauf and Burchard, 2003; same as Y20)
Bottom drag scheme	Quadratic bottom friction (QDRAG;

	(same as Y20)
CICE physics	
Ice dynamics	EVP (Hunke and Dukowicz, 1997; improved from Y20)
Ice thermodynamics	Bitz and Lipscomb (1999; same as Y20)
Shortwave albedo	Delta-Eddington (Briegleb and Light, 2007; same as Y20)

1213

1214

1215 Table [32](#) The summary of the prediction experiments and details of experiment designs.

1216 Note: All experiments use the CFS operational forecasts as initial and boundary conditions; VT:

1217 vertical transformation function; VS: vertical stretching function; SH94: stretching function of

1218 Song and Haidvogel (1994); S10: stretching function of Shchepetkin (2010).

Experiment	Physics	Assimilation	ROMS vertical coordinate	Simulation period
Y20	Physics (old version) listed in Table 2	2 localization radii SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VT 1 VS SH94 h_c 10m	2018.07.01- 2018.10.01
Y20_MOD	Physics (old version) listed in Table 21	6 localization radii SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VT 1 VS SH94 h_c 10m	2018.07.01- 2018.10.01
Y21_CTRL	Physics (new version) listed in Table 21	6 localization radii SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VT 1 VS SH94 h_c 10m	2018.07.01- 2018.10.01
Y21_VT	Physics (new version)	6 localization radii	VT 2	2018.07.01-

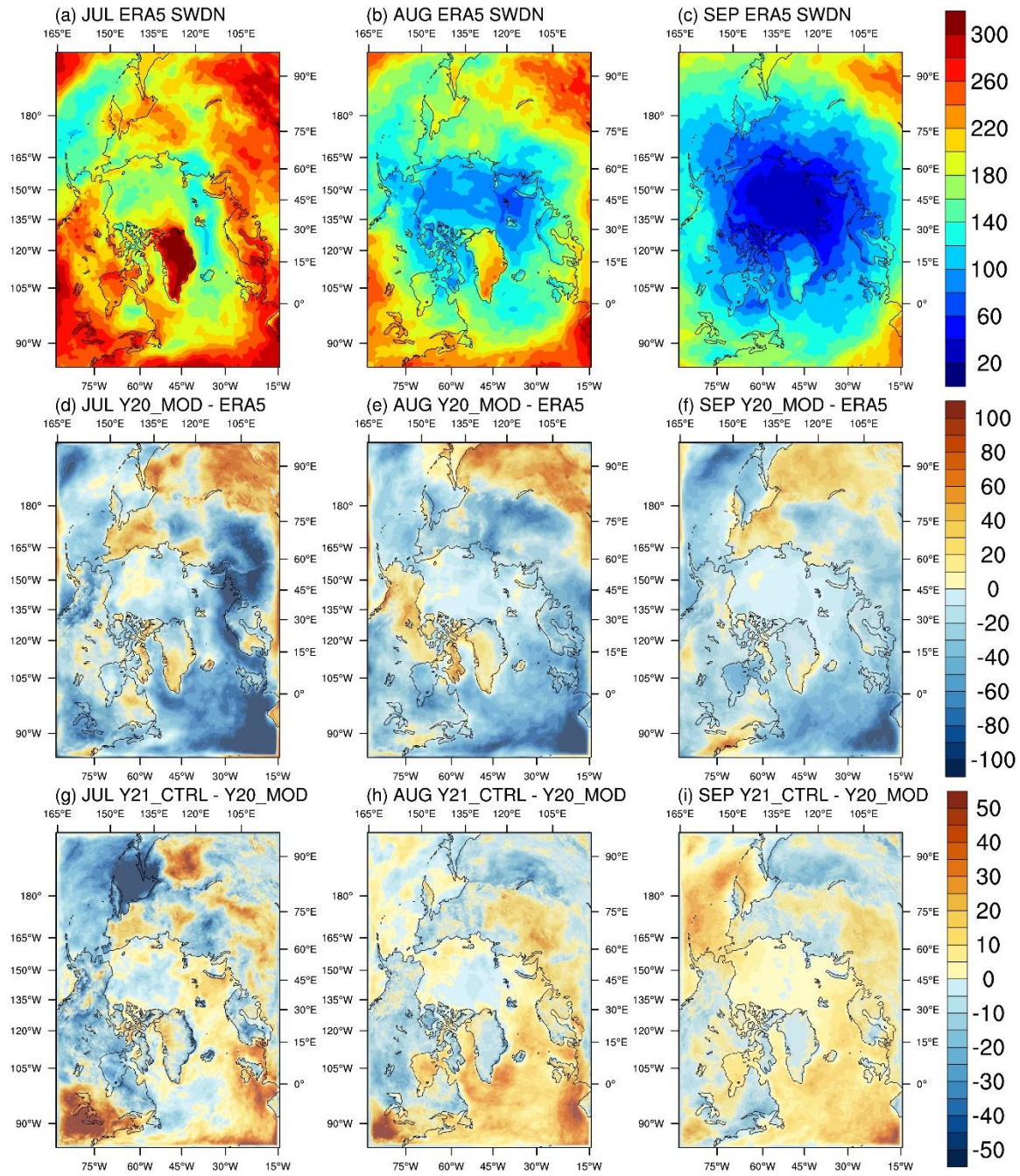
	listed in Table <u>21</u>	SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VS S10 h_c 300m	2018.10.01
Y21_RP	Advection: U3H/C4V Bottom drag: LOGDRAG	6 localization radii SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VT 2 VS S10 h_c 300m	2018.07.01- 2018.10.01
Y21_MUSHY	Same physics as Y21_RP CICE: Mushy layer thermodynamics	6 localization radii SSMIS SIC Simply-merged CryoSat- 2/SMOS SIT	VT 2 VS S10 h_c 300m	2018.07.01- 2018.10.01
Y21_SIT	Same physics as Y21_RP	6 localization radii SSMIS SIC OI-merged CryoSat- 2/SMOS SIT	VT 2 VS S10 h_c 300m	2018.07.01- 2018.10.01
Y21_EXT-7	Same physics as Y21_RP	6 localization radii SSMIS SIC OI-merged CryoSat- 2/SMOS SIT	VT 2 VS S10 h_c 300m	2018.07.01- 2019.01.31
Y21_MAR-7	Same physics as	6 localization radii	VT 2	2018.03.01-

	Y21_RP	SSMIS SIC	VS S10	2018.09.30
		OI-merged	CryoSat- h_c 300m	
		2/SMOS SIT		

1219

1220

8. Figures



1222

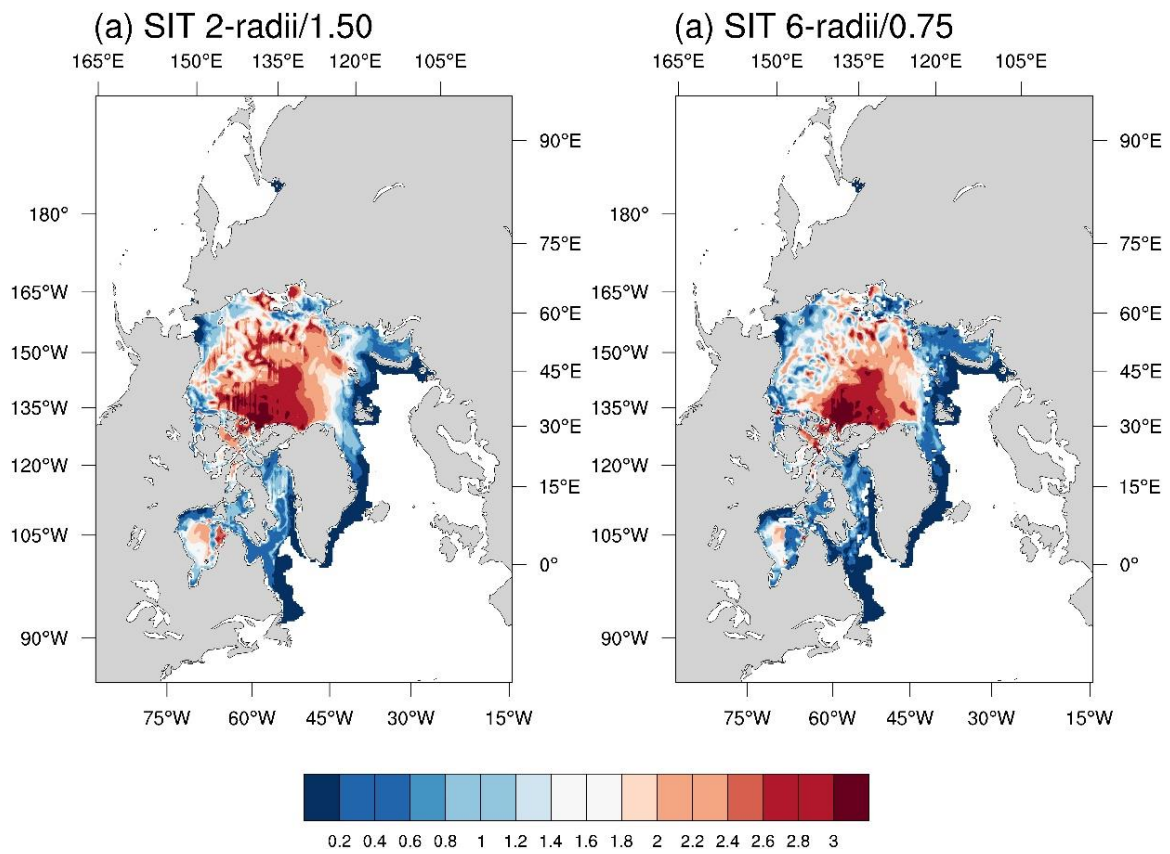
1223 Figure 1 ERA5 monthly mean of downward shortwave radiation at the surface for (a) July, (b)

1224 August, and (c) September, the difference between Y20_MOD and ERA5 for (d) July, (e)

1225 August, (f) September, and the difference between Y21_CTRL and Y20_MOD for (g) July, (h)

1226 August, and (i) September.

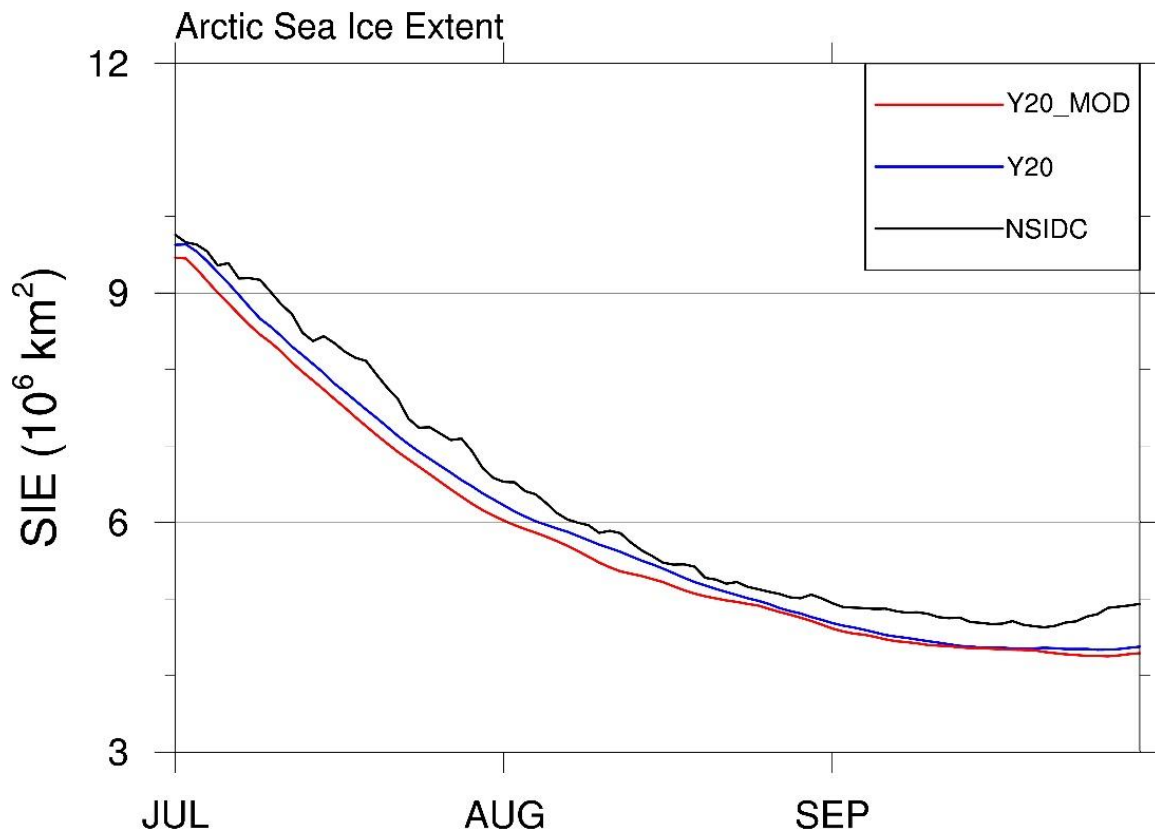
1227



1228

1229 **Figure 1** The initial sea ice thickness after data assimilation with (a) 2 localization radii/1.5m
 1230 ice thickness uncertainty, and (b) 6 localization radii/0.75m ice thickness uncertainty.

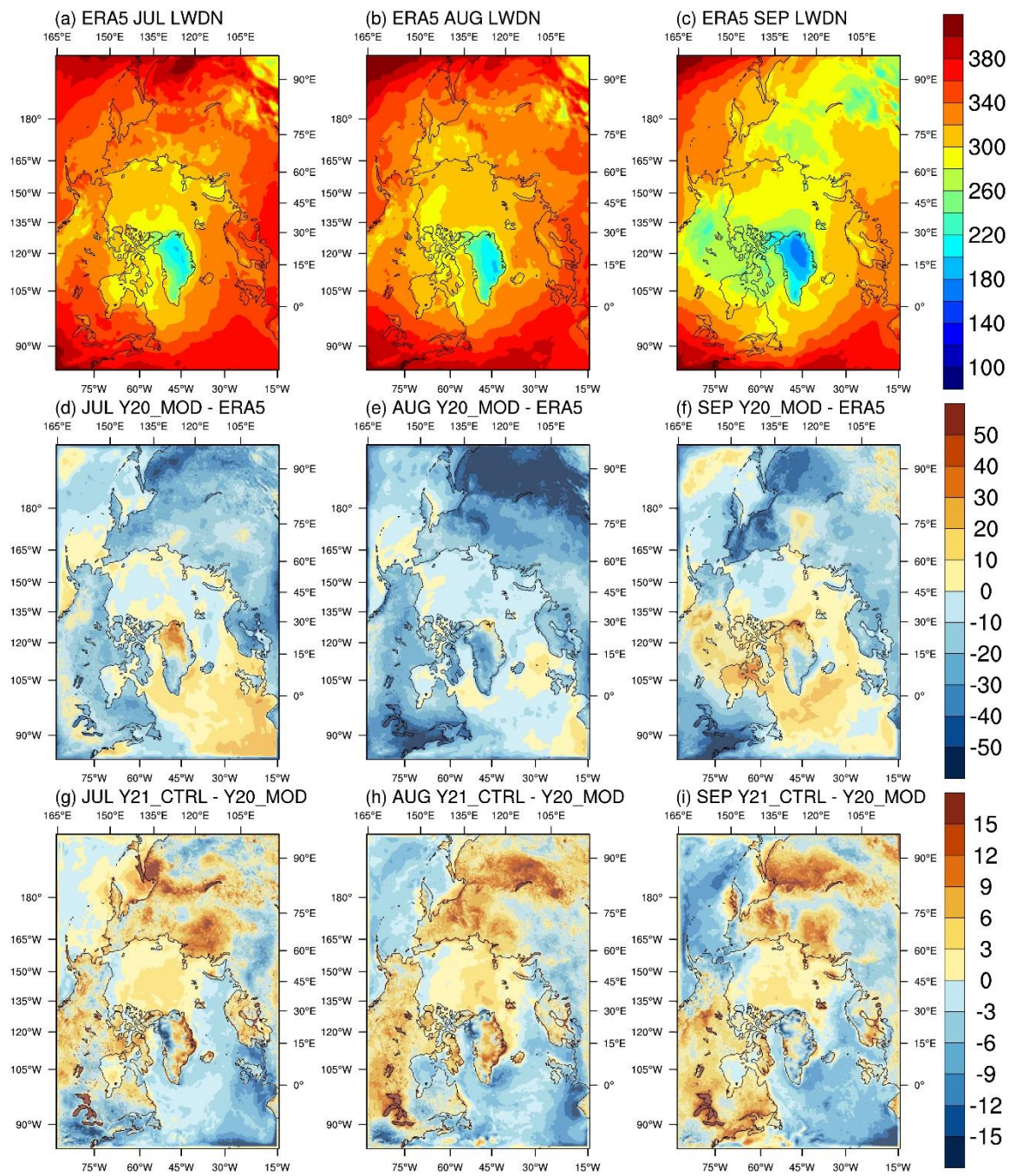
1231



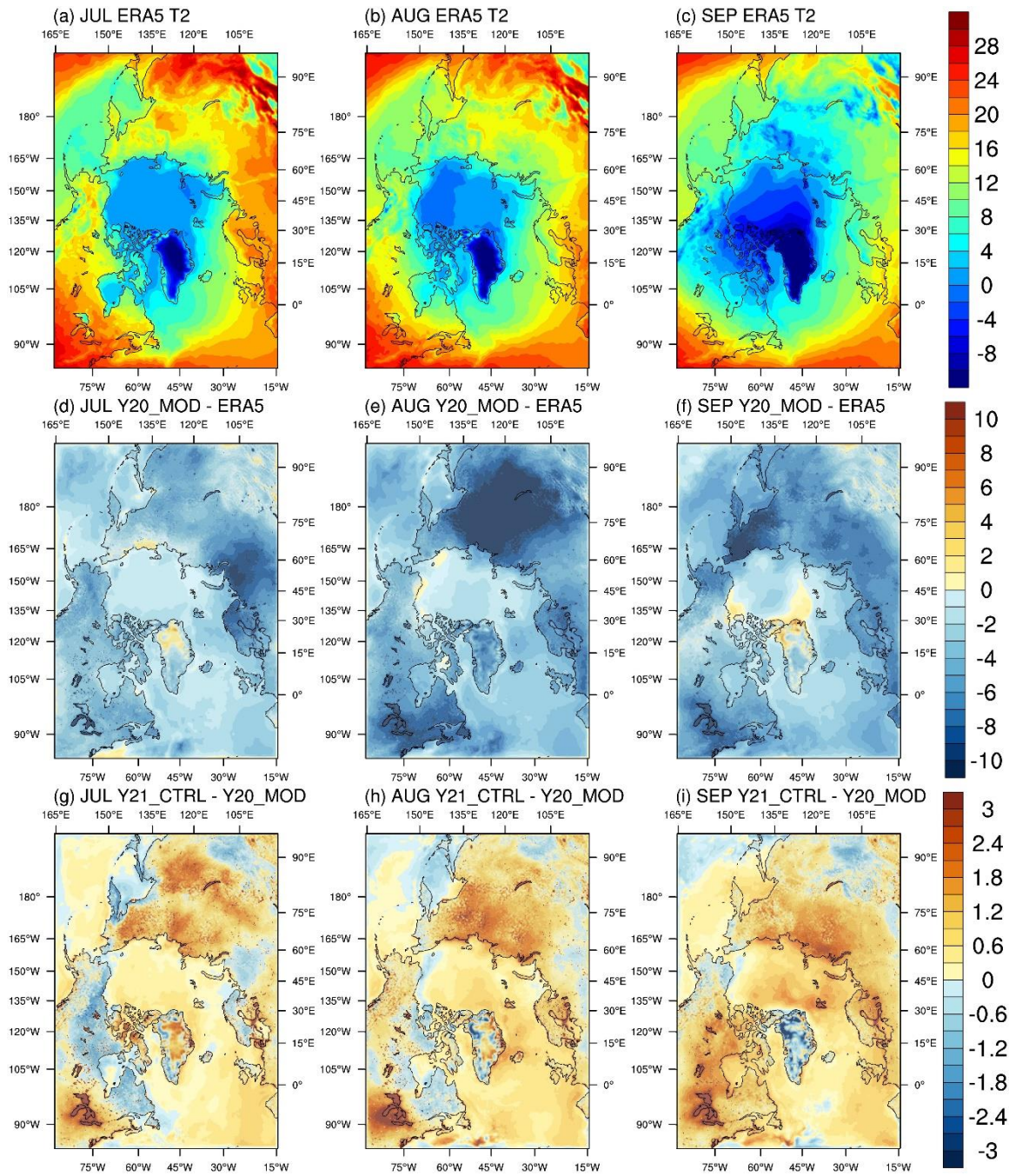
1232

1233 Figure 2 Time-series of Arctic sea ice extent for the observations (black line) and the ensemble-
1234 mean of Y20 (blue line) and Y20_MOD (red line).

1235



1239 Figure 2 Same as Figure 1, but for downward thermal radiation at the surface.

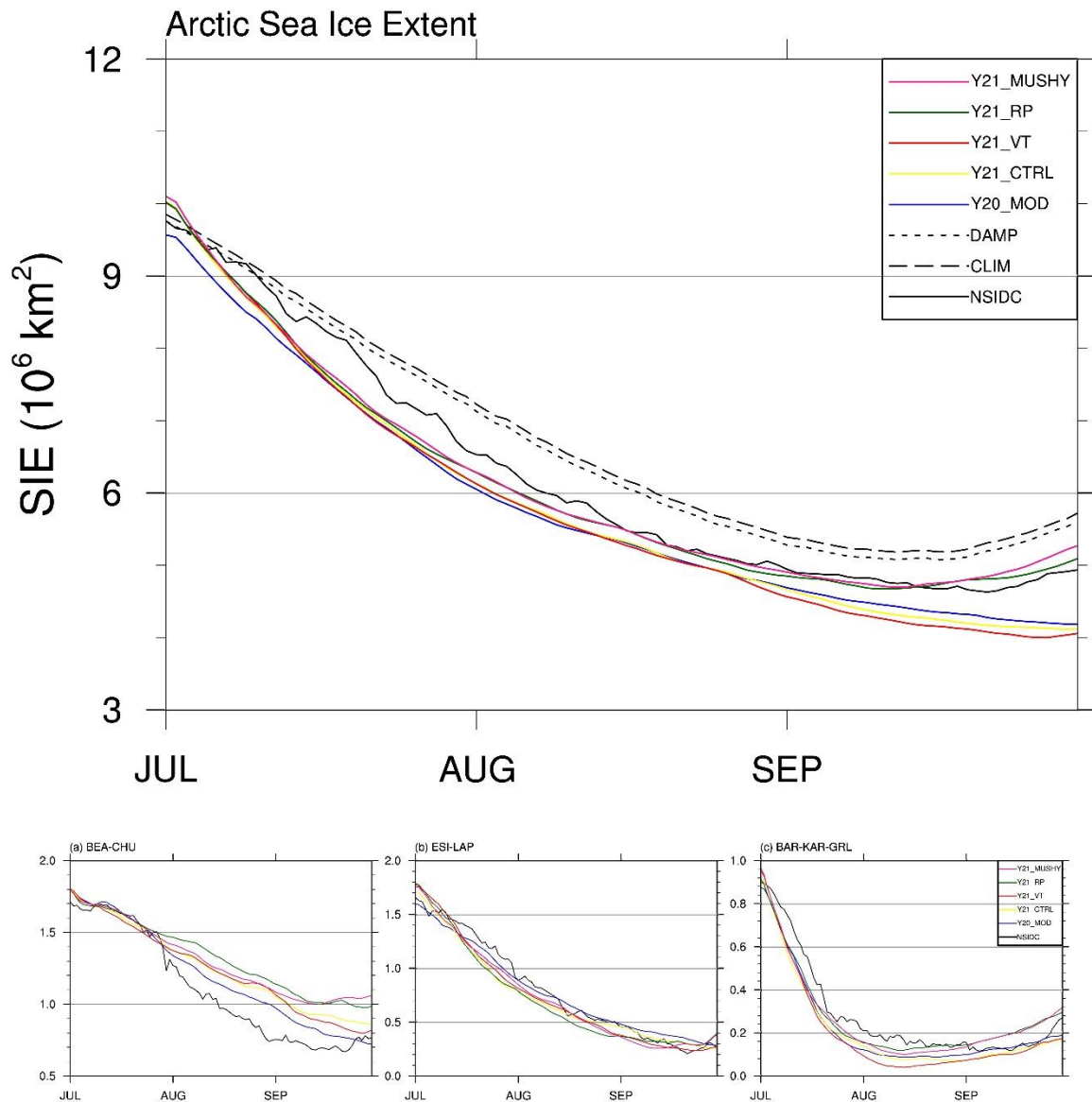


1241

1242 Figure 3 Same as Figure 1, but for near-surface air temperature.

1243

1244



1245

1246

1247

1248

1249

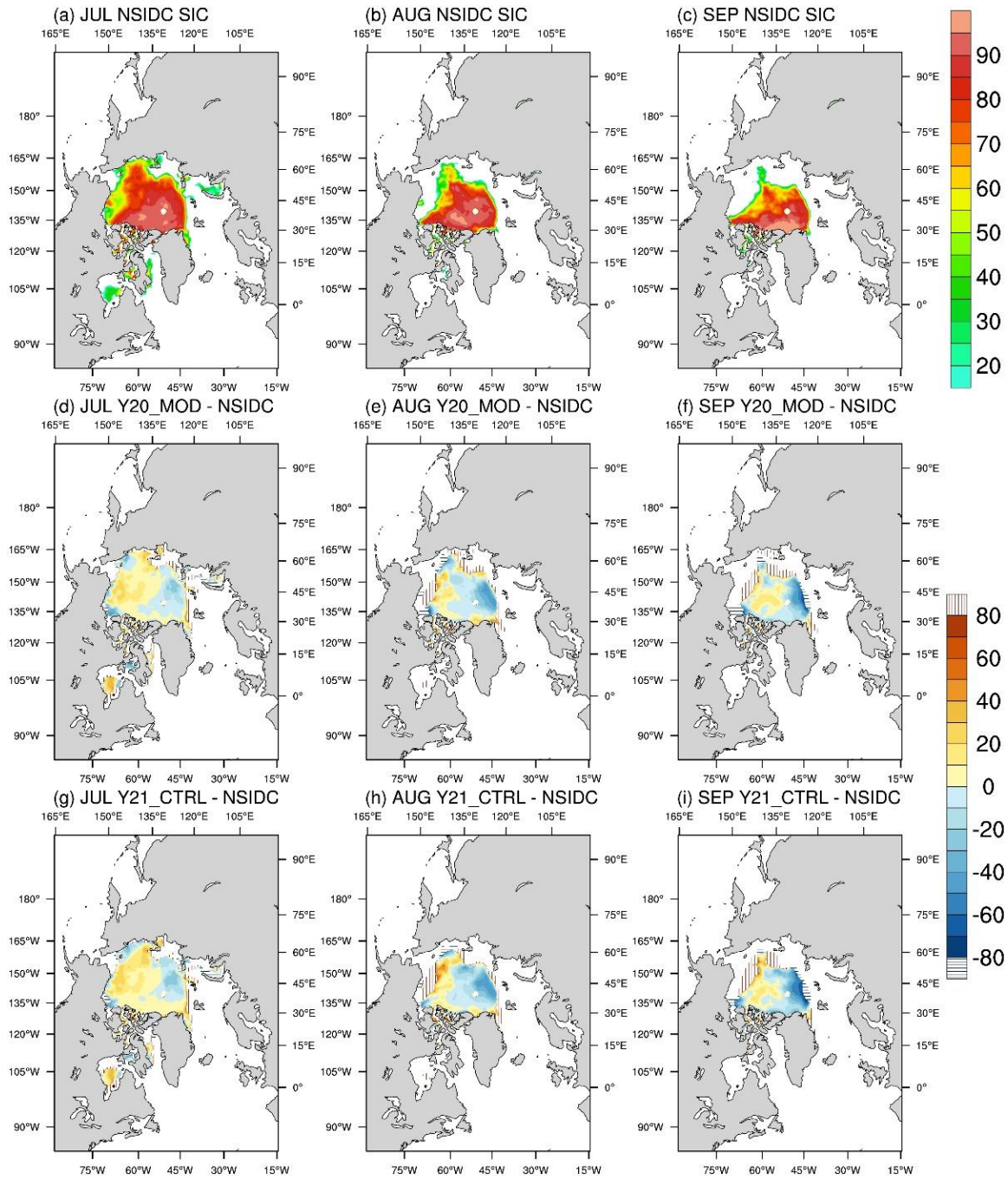
1250

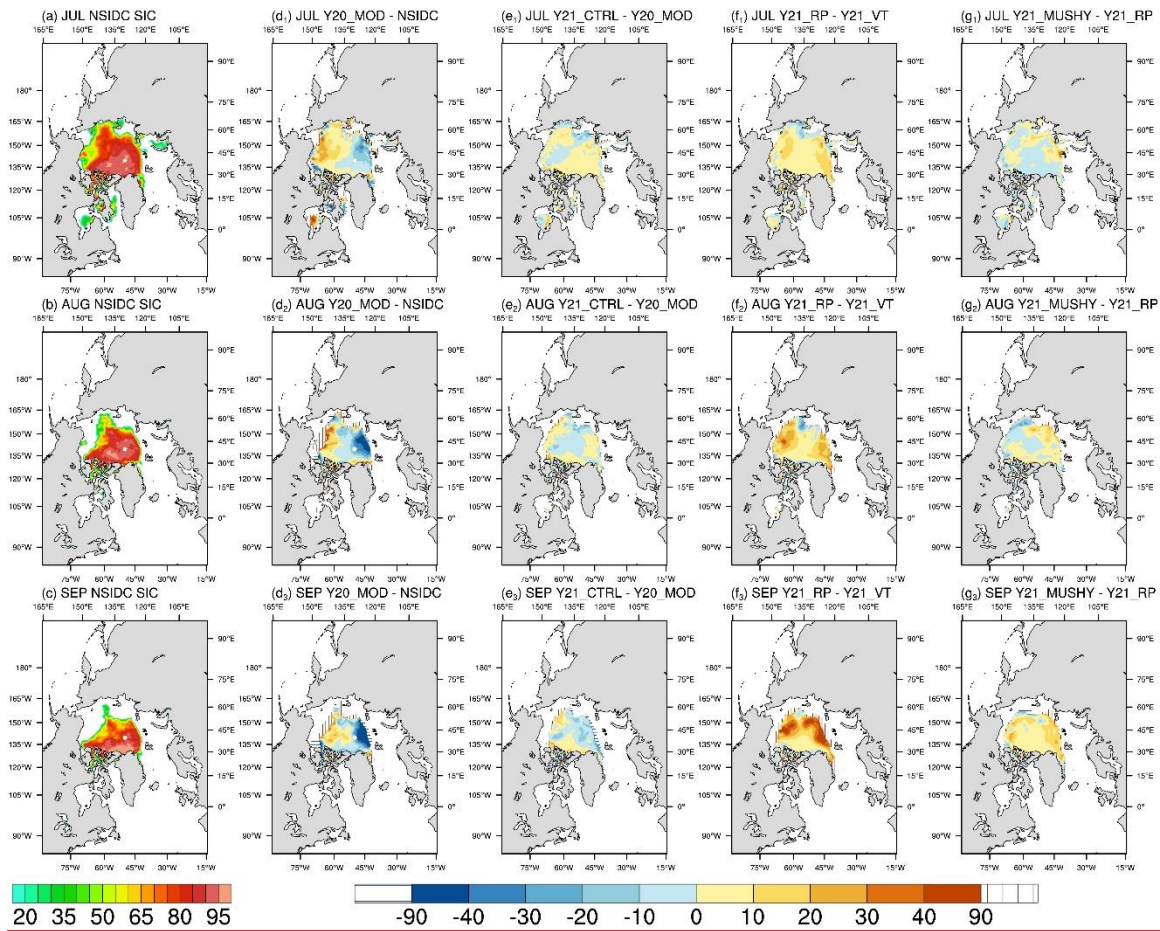
1251

1252

1253

Figure 4 Top panel: Time-series of Arctic sea ice extent for the observations (black line) and the ensemble-mean of Y20_MOD (blue line) and Y21_CTRL (yellow line), Y21_VT (red line), Y21_RP (green line), and Y21_MUSHY (pink line). Dashed and dotted lines are the climatology and the damped anomaly persistence predictions. Bottom panel: Time-series of the observed (black line) and the ensemble-mean of regional sea ice extents for Y20_MOD (blue line) and Y21_CTRL (yellow line), Y21_VT (red line), Y21_RP (green line), and Y21_MUSHY (pink line) for (a) Beaufort-Chukchi Seas, (b) East Siberian-Laptev Seas, and (c) Barents-Kara-Greenland Seas, and (d) Baffin Bay-Canadian Archipelago.





1255

1256

1257

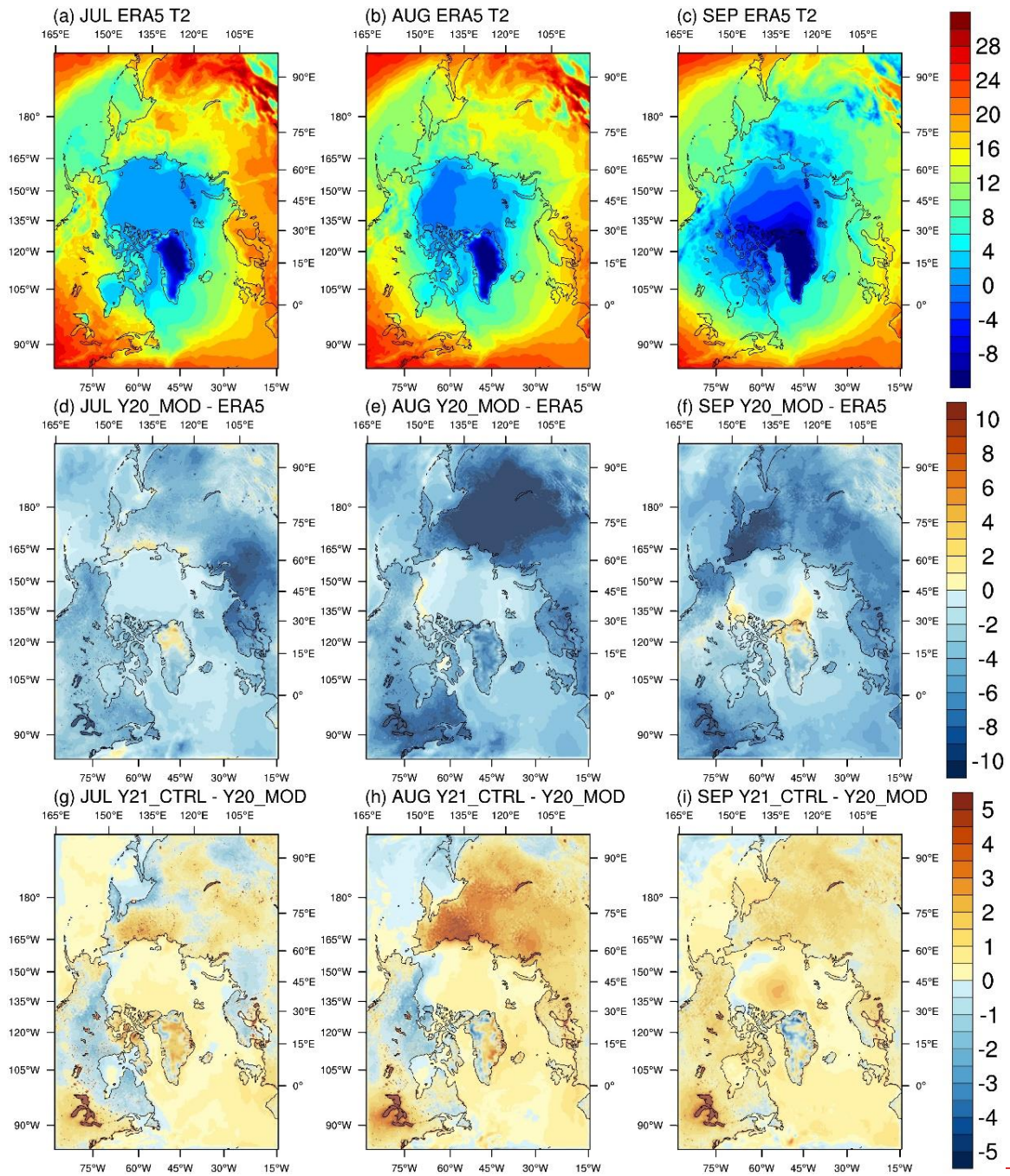
1258

1259

1260

1261

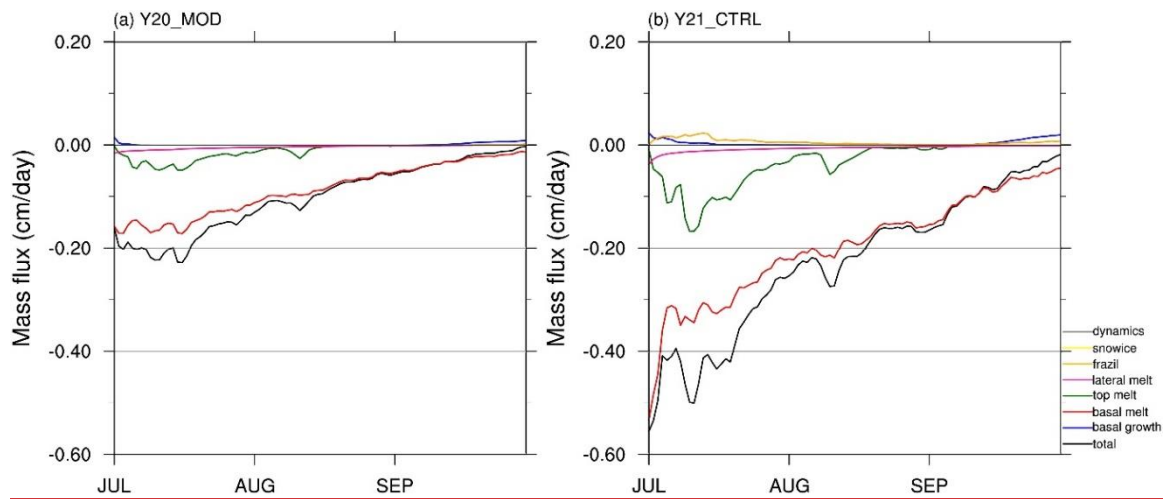
Figure 45 Monthly mean of sea ice concentration for (a) July, (b) August, (c) September of the NSIDC observations, and the difference between the predictionsall prediction experiments and the SEP observations for (ed₁-g₁) July, (ed₂-g₂) August, (fd₃-g₃) September of Y20_MOD, (g) July, (h) August, and (i) September of Y21_CTRL. Vertical/horizontal-line areas represent the difference of ice edge location (15% concentration).



1262

1263

Figure 5-ERA5 monthly mean of near surface air temperature



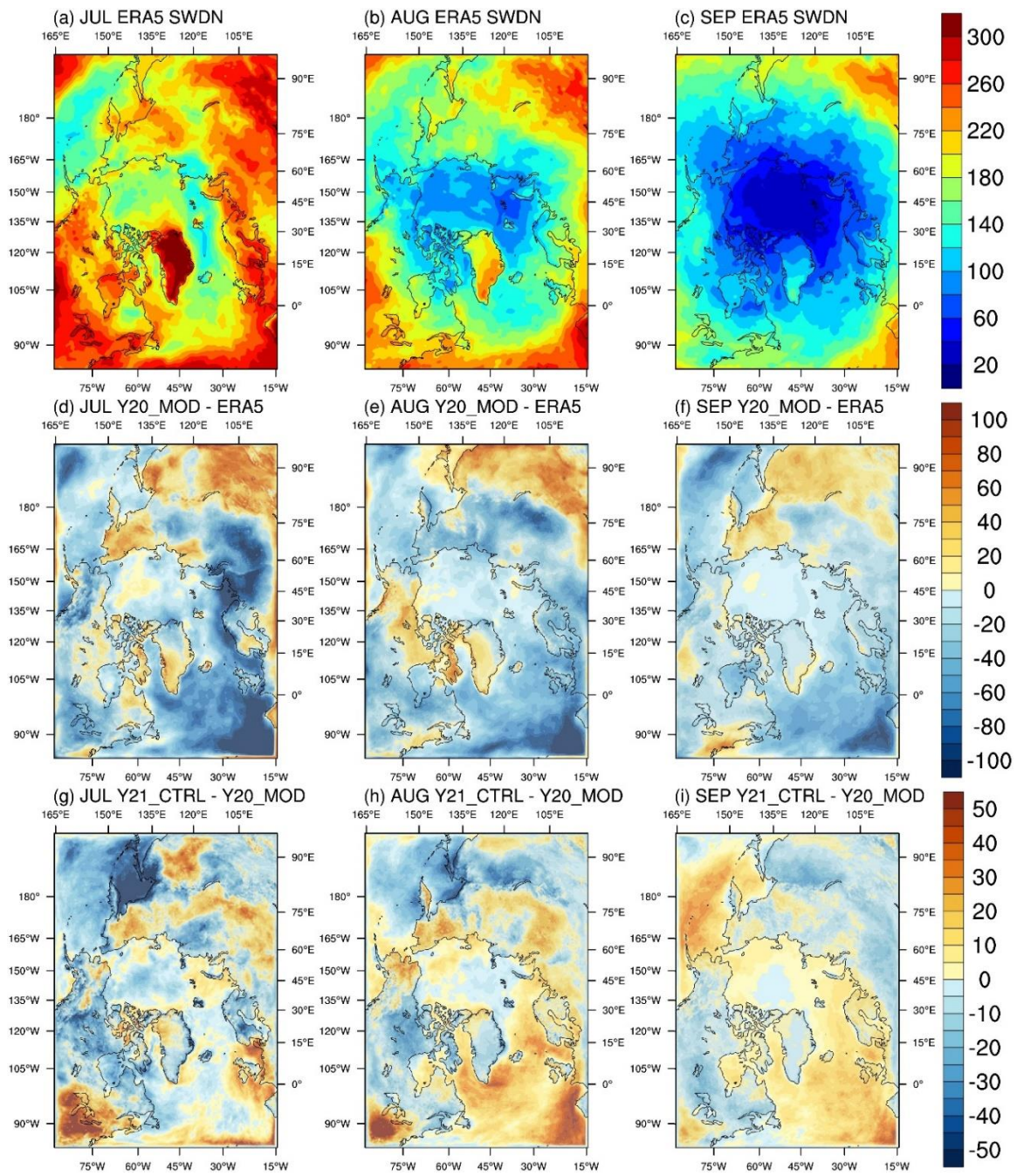
1264

1265 ~~for (a) July, (b) August, and (c) September, the difference between Y20_MOD and ERA5 for~~

1266 ~~(d) July, (e) August, (f) September, and the difference between Y21_CTRL and Y20_MOD for~~

1267 ~~(g) July, (h) August, and (i) September.~~

1268



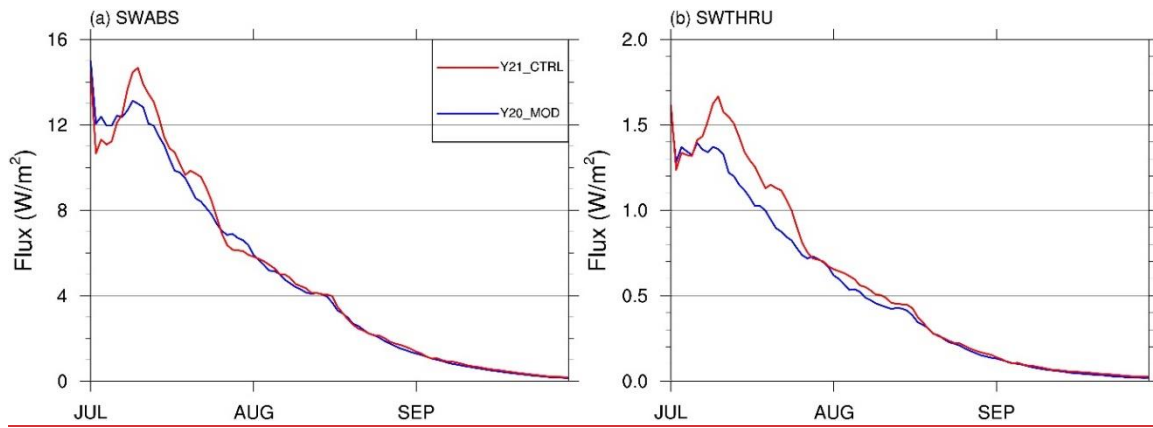
1269

1270 Figure 6 ~~Same as~~ Time-series of sea ice mass budget terms for (a) Y20 MOD and (b)

1271 Y21 CTRL.

1272

1273



1274

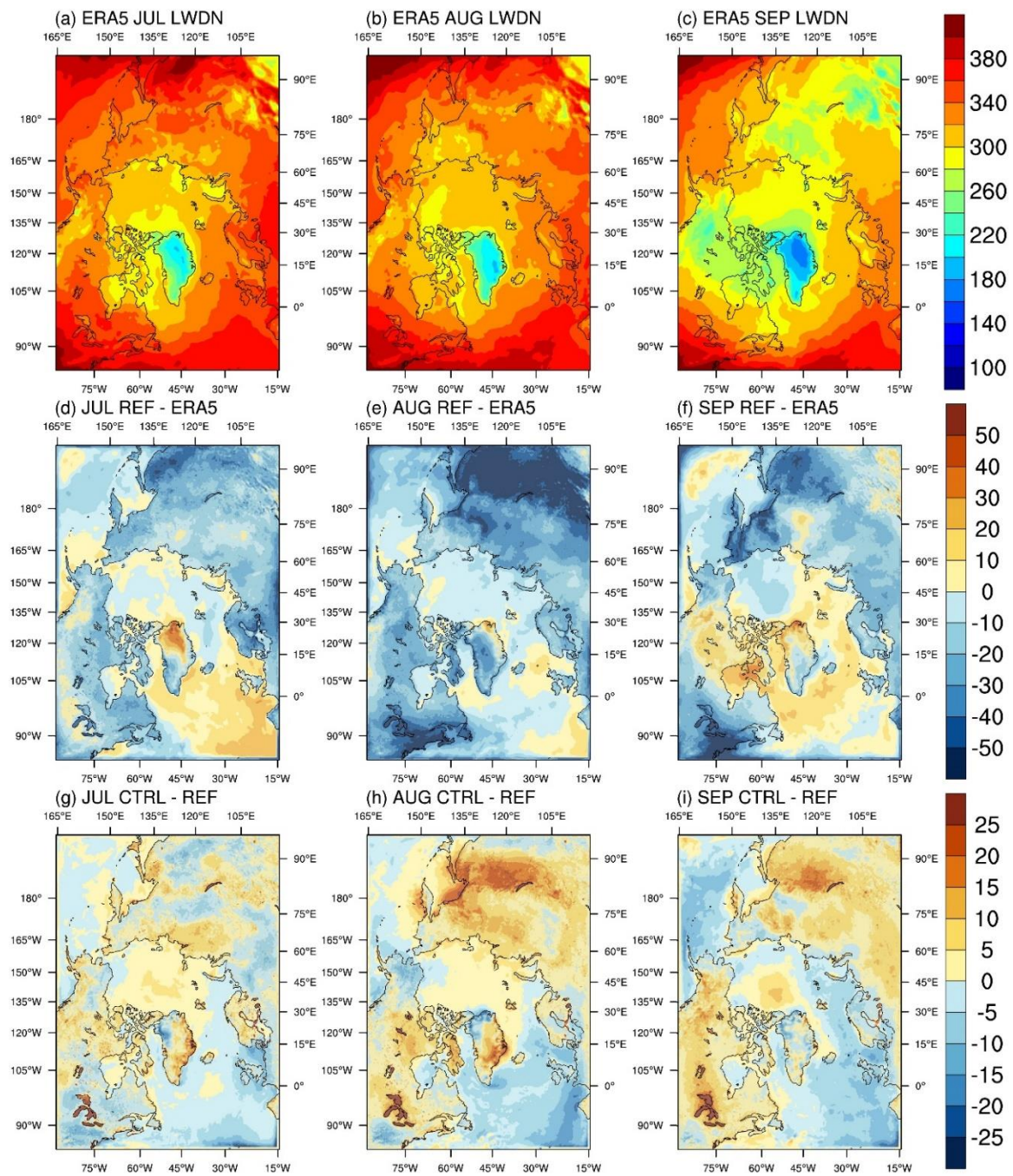
1275

1276

1277

1278

Figure 5, but for downward Time-series of (a) shortwave radiation at the surface, absorbed by ice surface, and (b) penetrating shortwave radiation to the upper ocean averaged over ice-covered grid cells for Y20 MOD (blue line) and Y21 CTRL (red line).

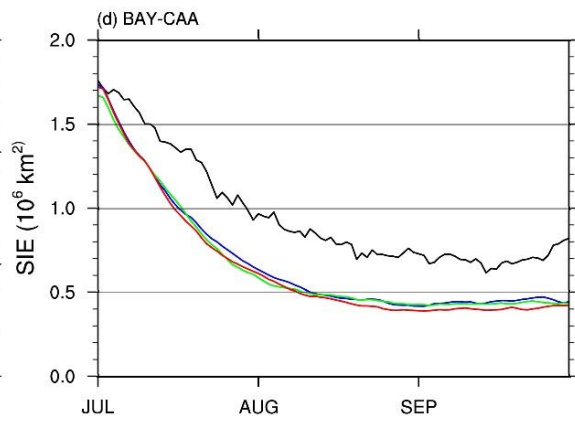
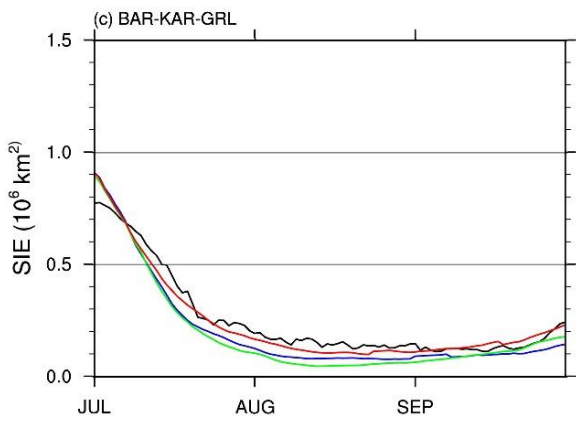
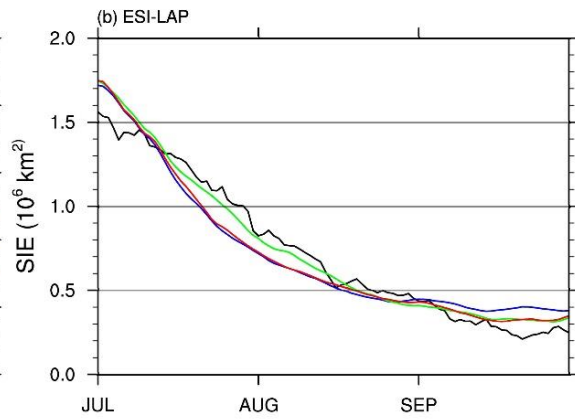
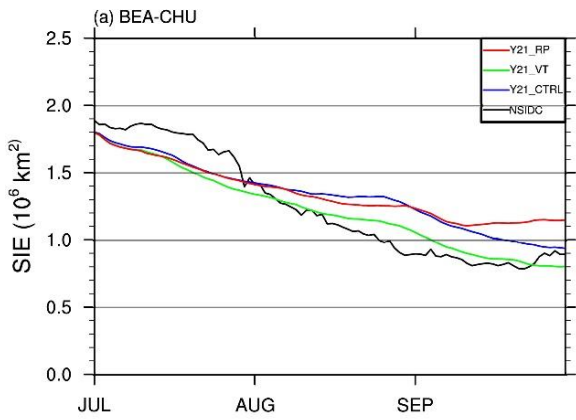
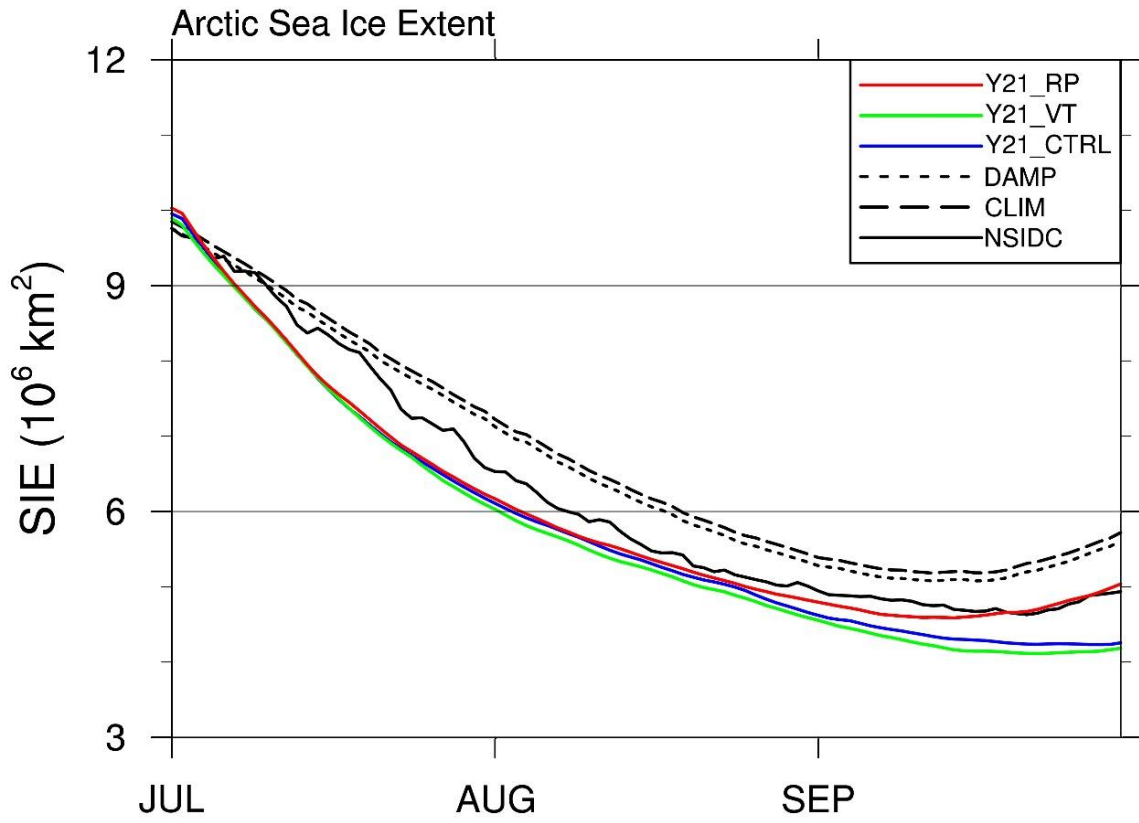


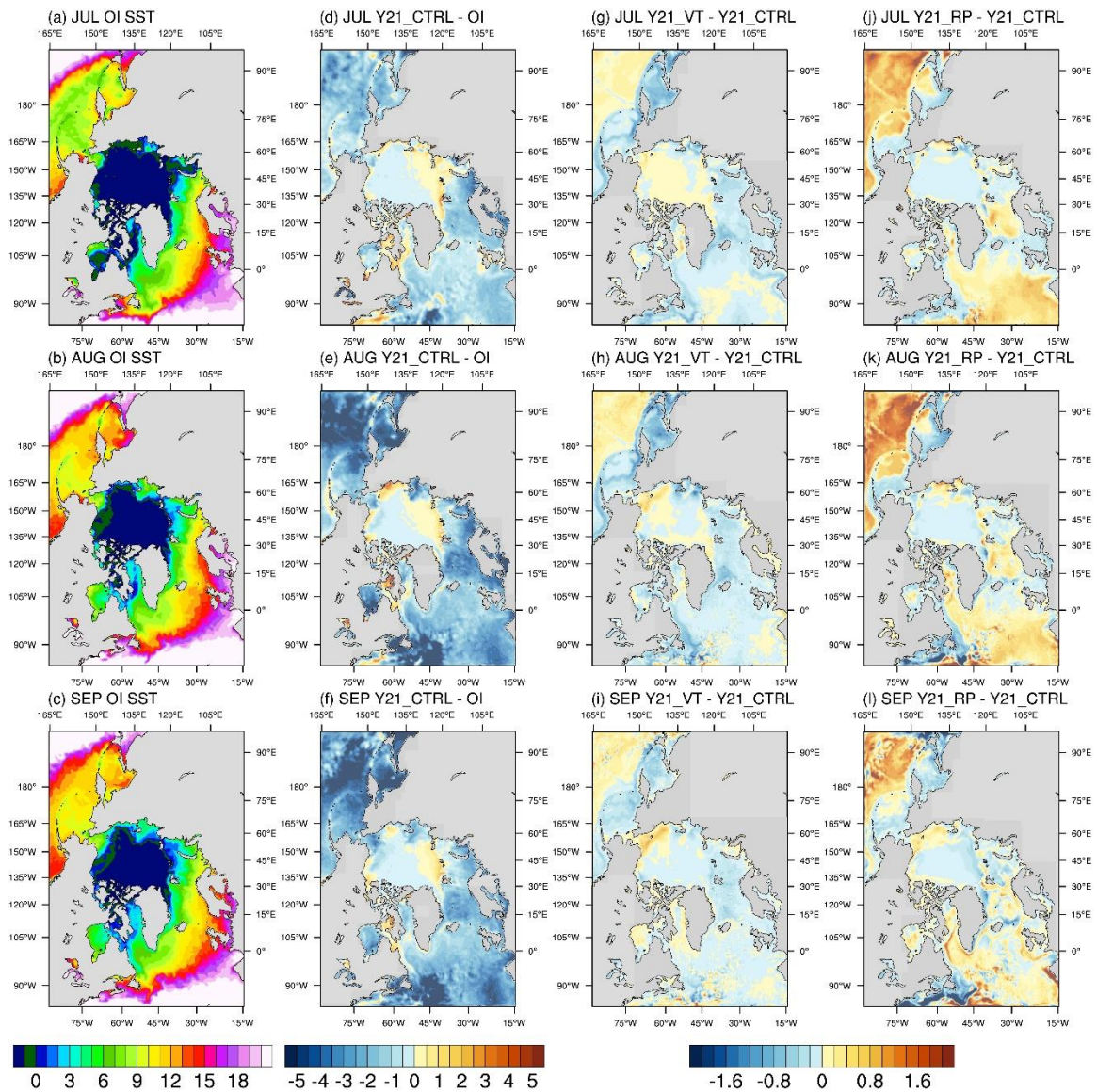
1279

1280

Figure 7 Same as Figure 6, but for downward thermal radiation at the surface.

1281



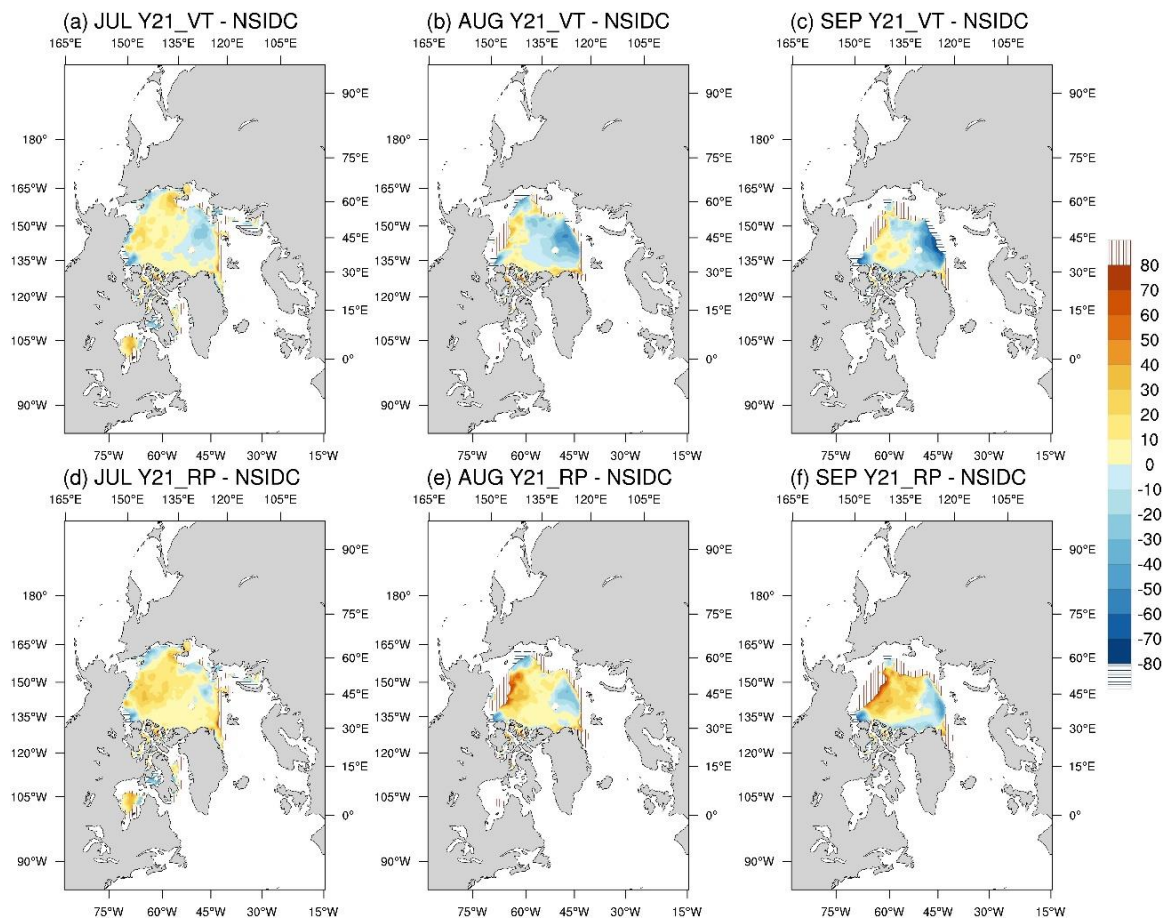


1283

1284

1285

Figure 8 Same as Figure 3, but for Y21_CTRL (blue line), Y21_VT (green line), and Y21_RP (red line).



1286

1287

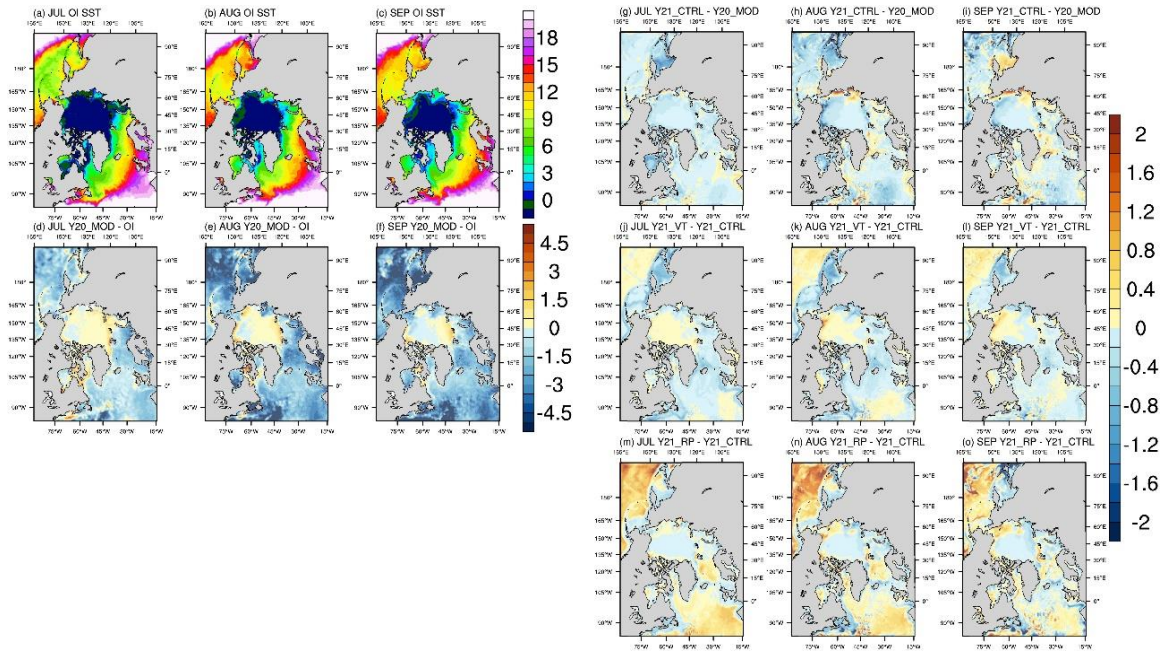
1288

1289

1290

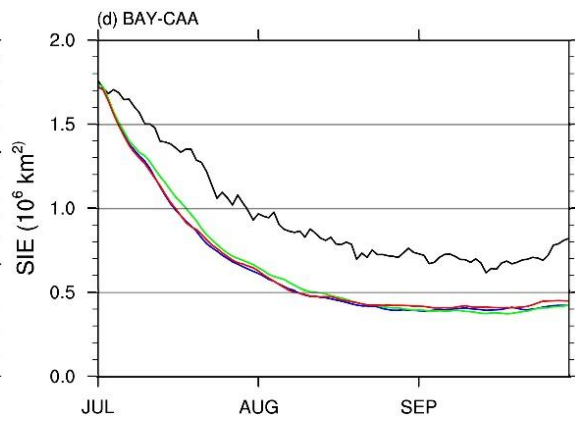
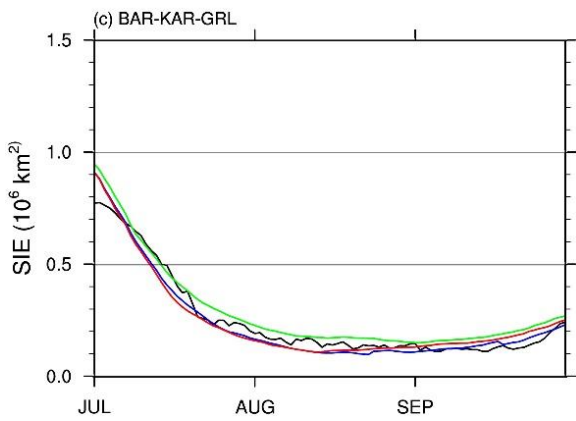
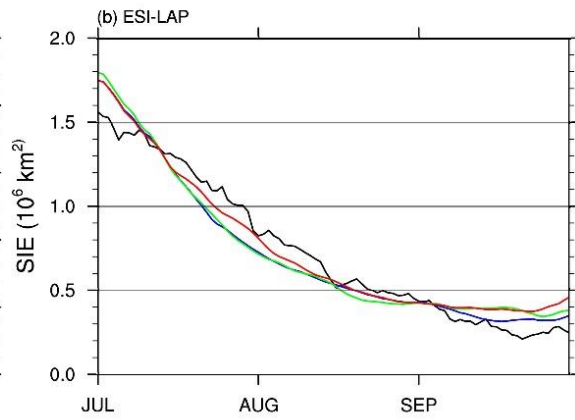
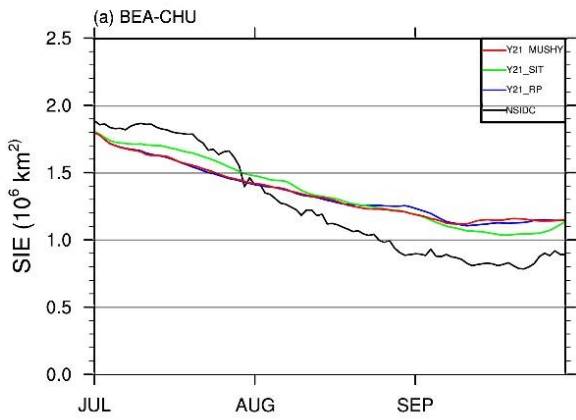
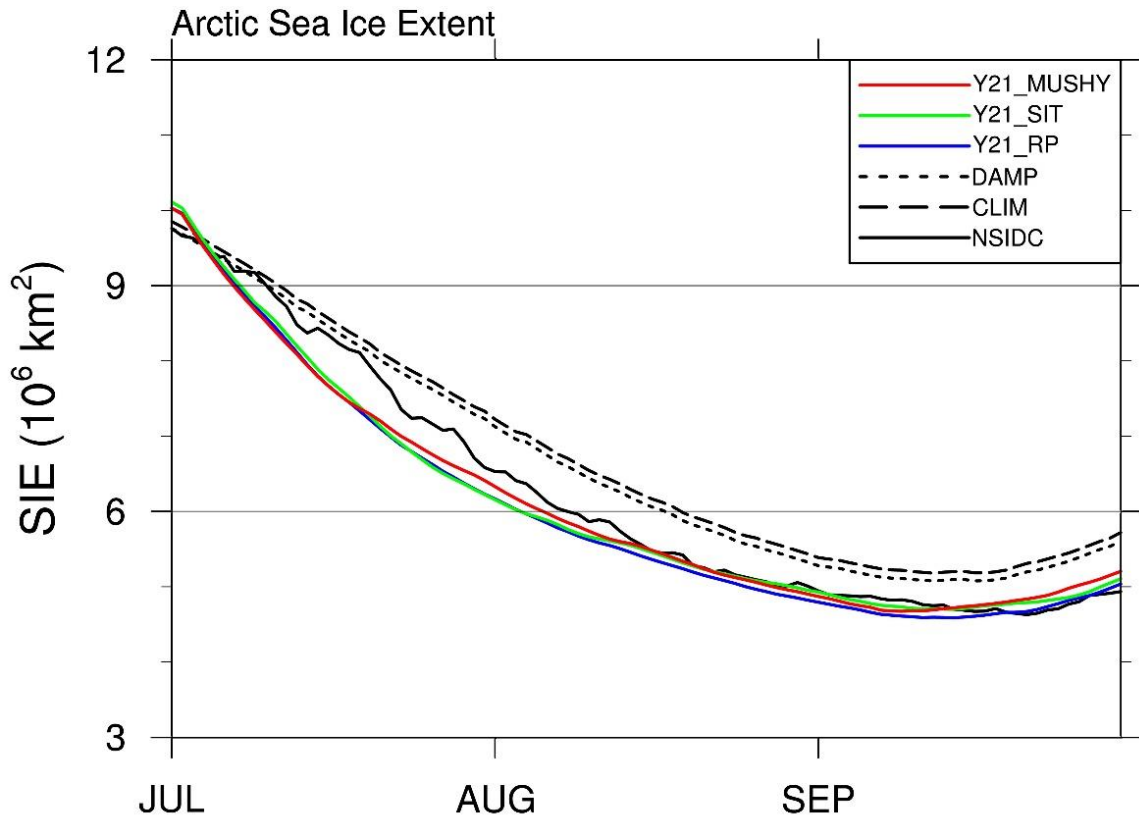
1291

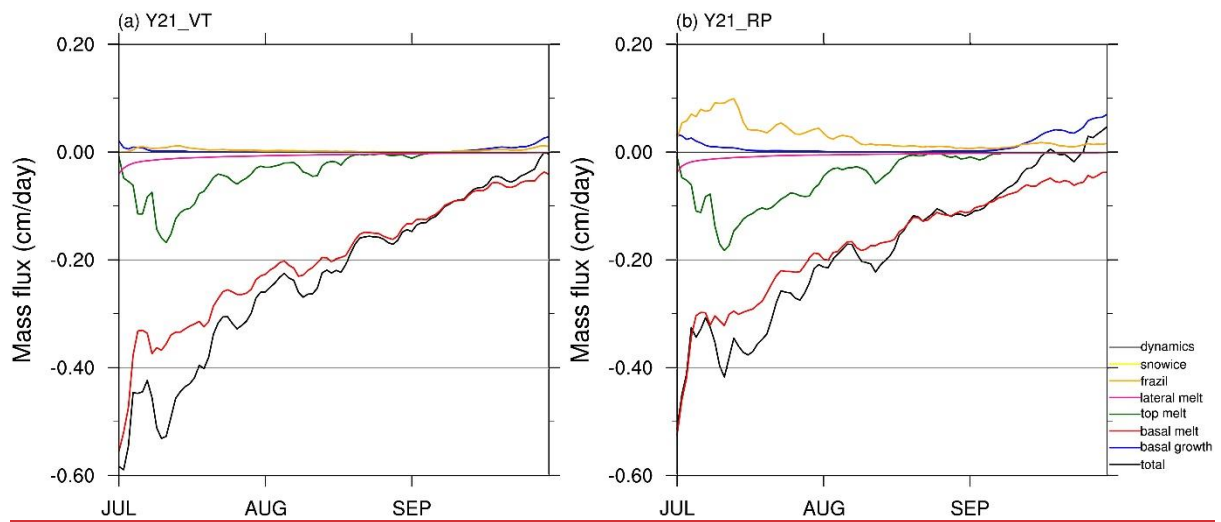
~~Figure 9 Monthly mean of sea ice concentration difference between the predictions and the observations for (a) July, (b) August, (c) September of Y21_VT, (d) July, (e) August, and (f) September of Y21_RP. Vertical/horizontal line areas represent the difference of ice edge location (15% concentration).~~



1292
 1293
 1294
 1295
 1296
 1297
 1298
 1299
 1300
 1301

Figure 10 Left panel: Monthly First column: monthly mean of sea surface temperature for (a) July, (b) August, (c) September of the OI SST, and. Second column: the difference between the predictions Y21_CTRL and the observations OI SST for (d) July, (e) August, (f) September of Y20_MOD. Right panel: Monthly mean of sea surface temperature difference between Y21_CTRL and Y20_MOD for (g) July, (h) August, (i) September, and the difference between Y21_VT/Y21_RP and Y21_CTRL for (g) July, (h) August, (i) September of Y21_VT, (j) July, (k) August, and (l) September of Y21_VT, (m) July, (n) August, and (o) September of Y21_RP.

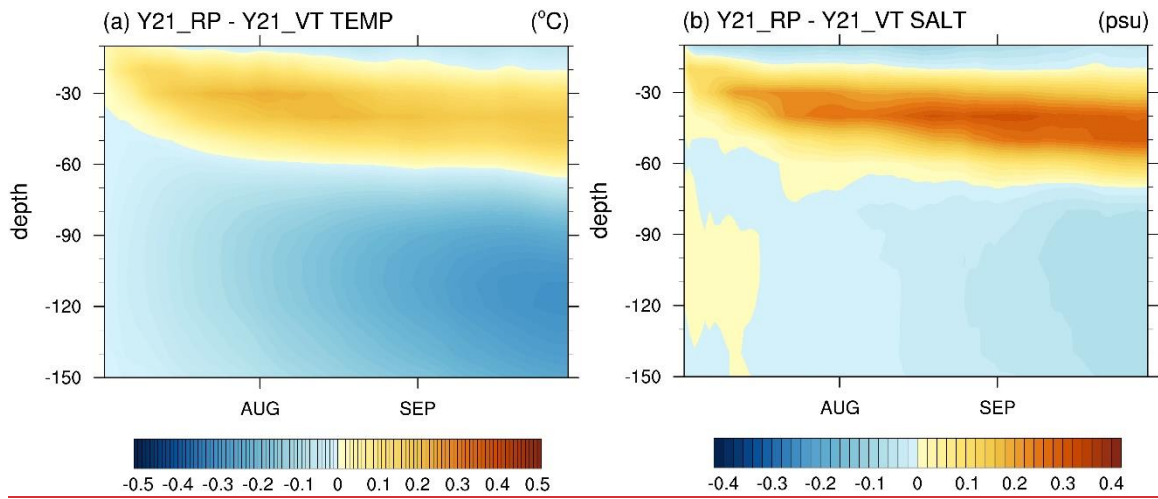




1303

1304 Figure 9 Same as Figure 6, but for (a) Y21_VT, and (b) Y21_RP.

1305



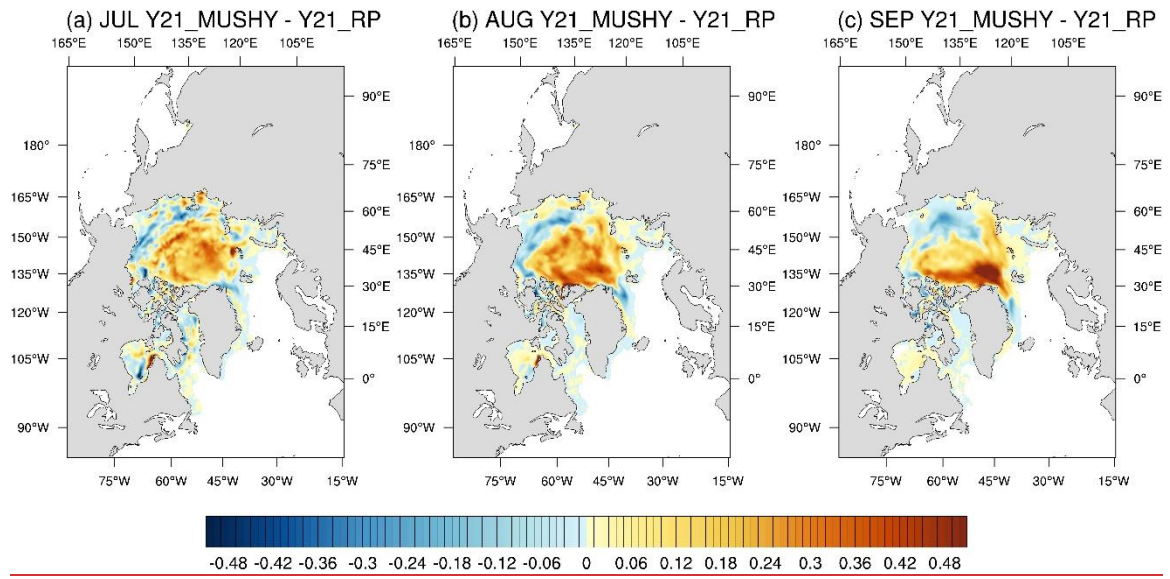
1306

1307

1308

1309

Figure 10 (a) the average temperature profile of upper 150 m under ice-covered areas for the difference between Y21_RP and Y21_VT. (b) same as (a), but for the salinity profile.

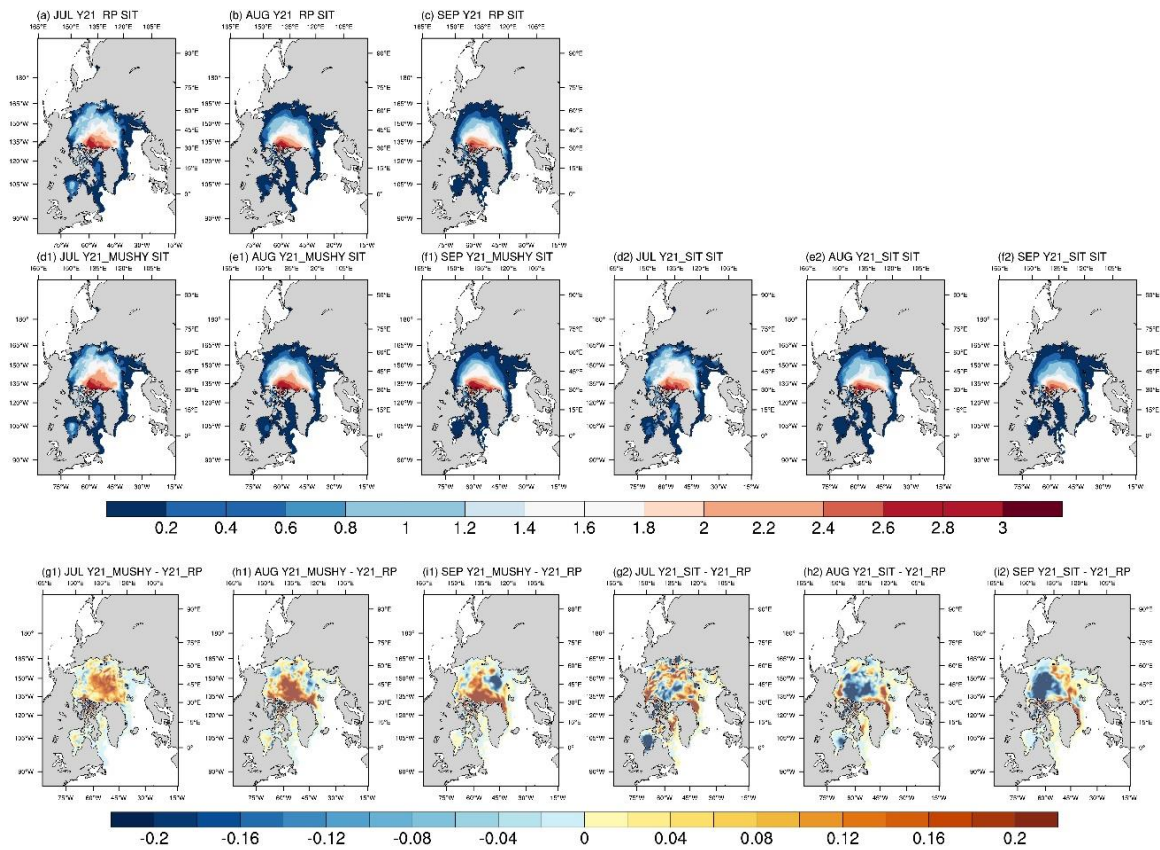


1310

1311

Figure 11 Same as Figure 3, but for Y21_RP, Y21_MUSHY, and Y21_SIT.

1312



1313

1314

Figure 12 Monthly mean of sea ice thickness for (a) July, (b) August, and (c) September of

1315

Y21_RP, (d₁) July, (e₁) August, (f₁) September of Y21_MUSHY, (d₂) July, (e₂) August, (f₂)

1316

September of Y21_SIT, the difference between Y21_MUSHY and Y21_RP for (g₁) July, (h₁)

1317

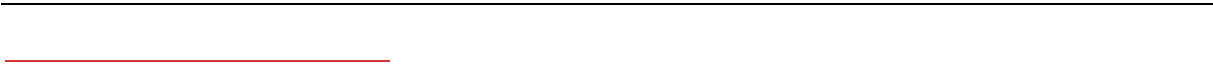
August, and (i₁) September, and the difference between Y21_SIT and Y21_RP for (g₂) July,

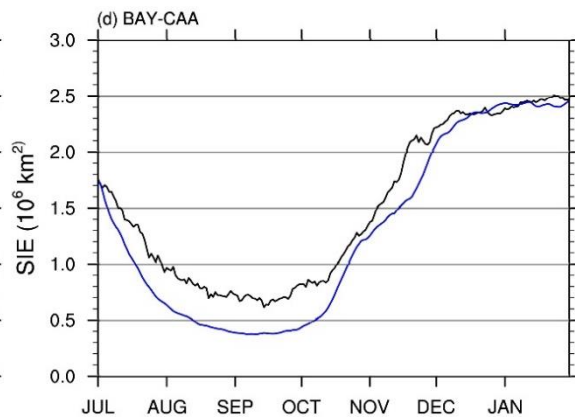
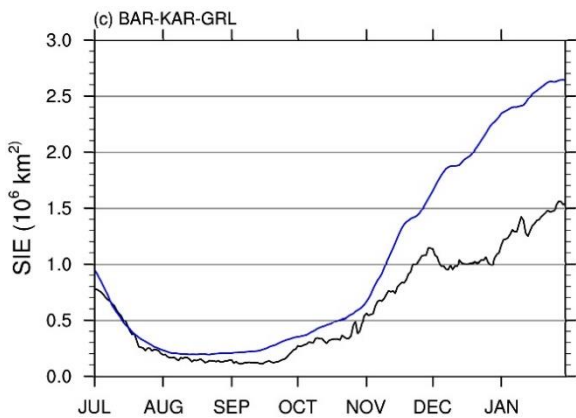
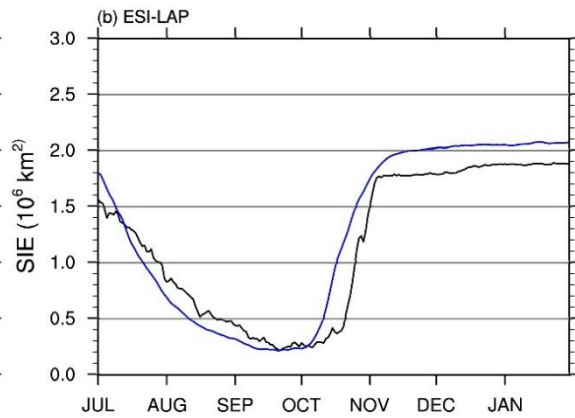
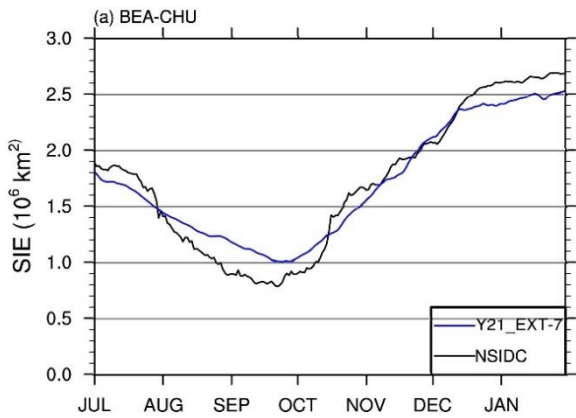
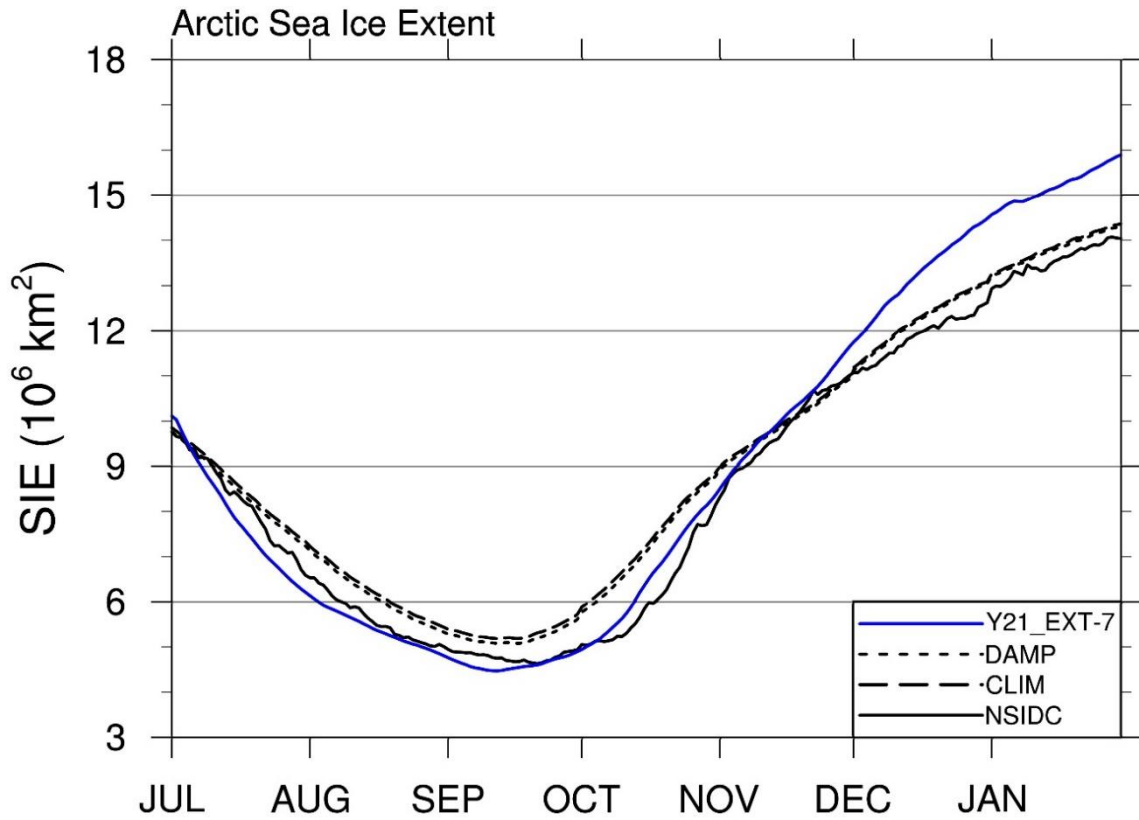
1318

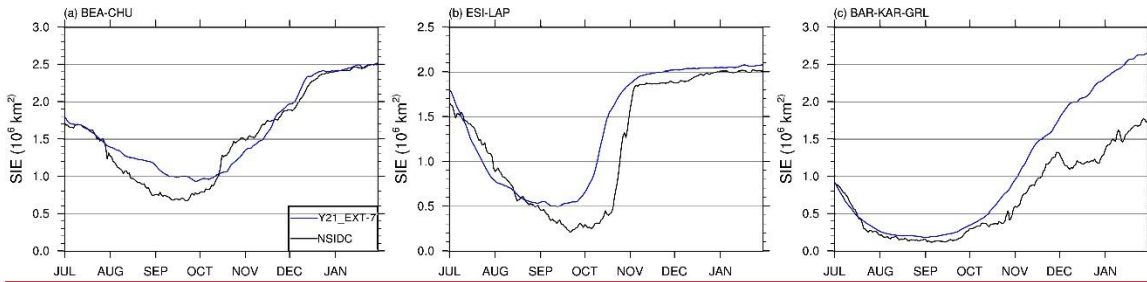
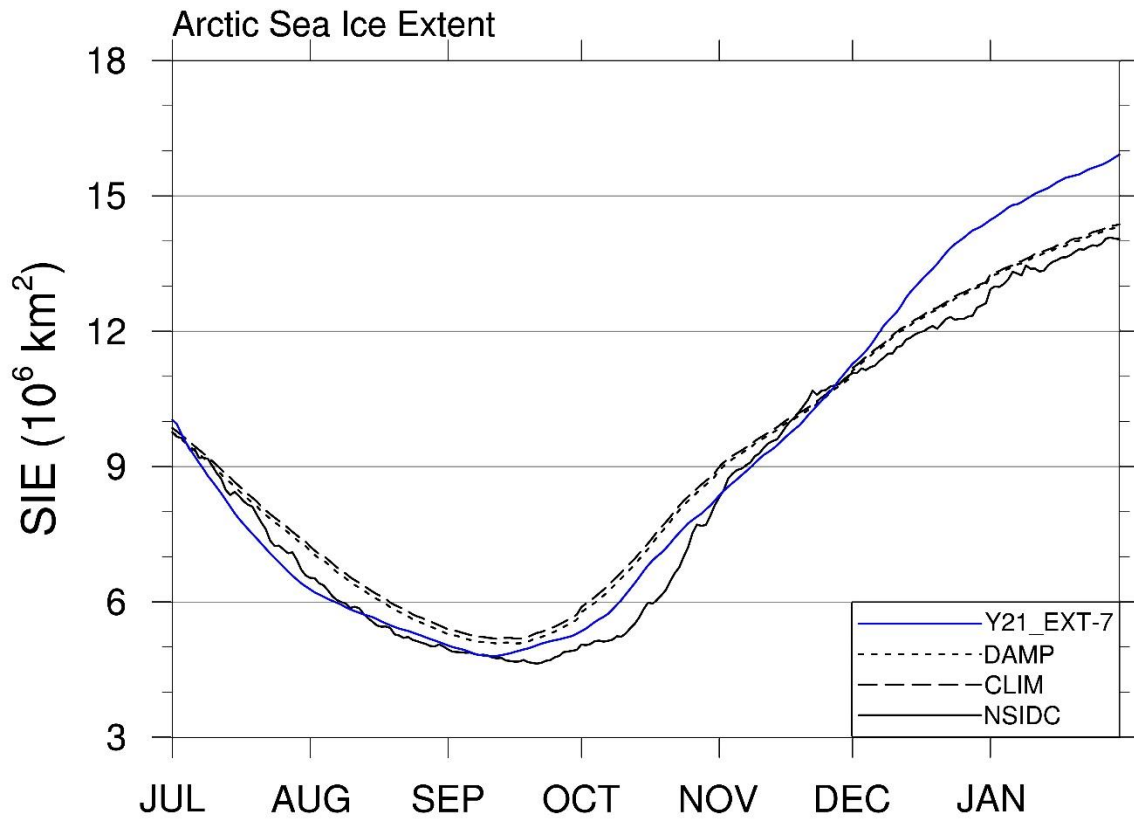
(h₂) August, and (i₂) September. difference between Y21 MUSHY and Y21 RP for (a) July,

1319

(b) August, and (c) September.



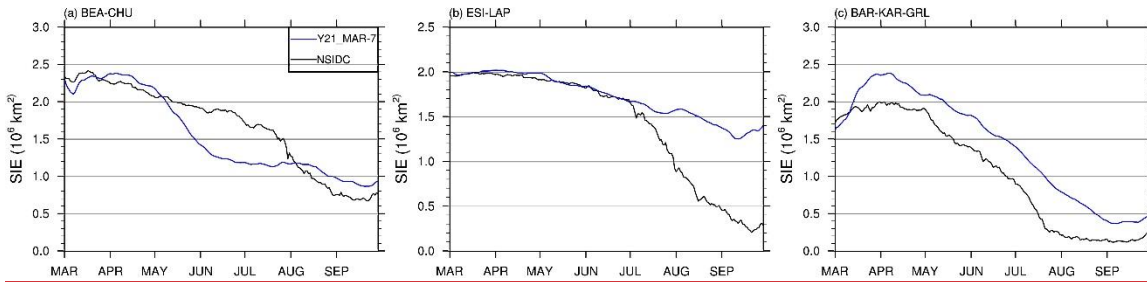
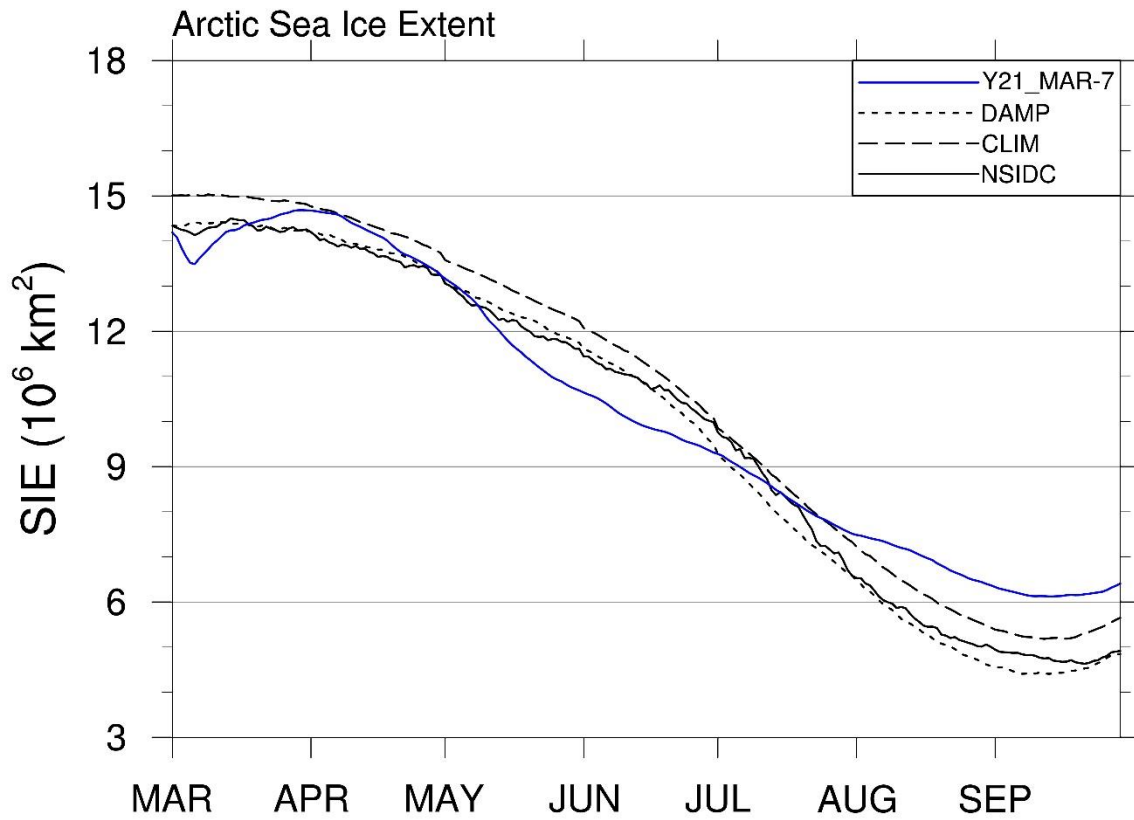




1322

1323 Figure 12 Same as Figure 4, but for Y21_EXT-7.

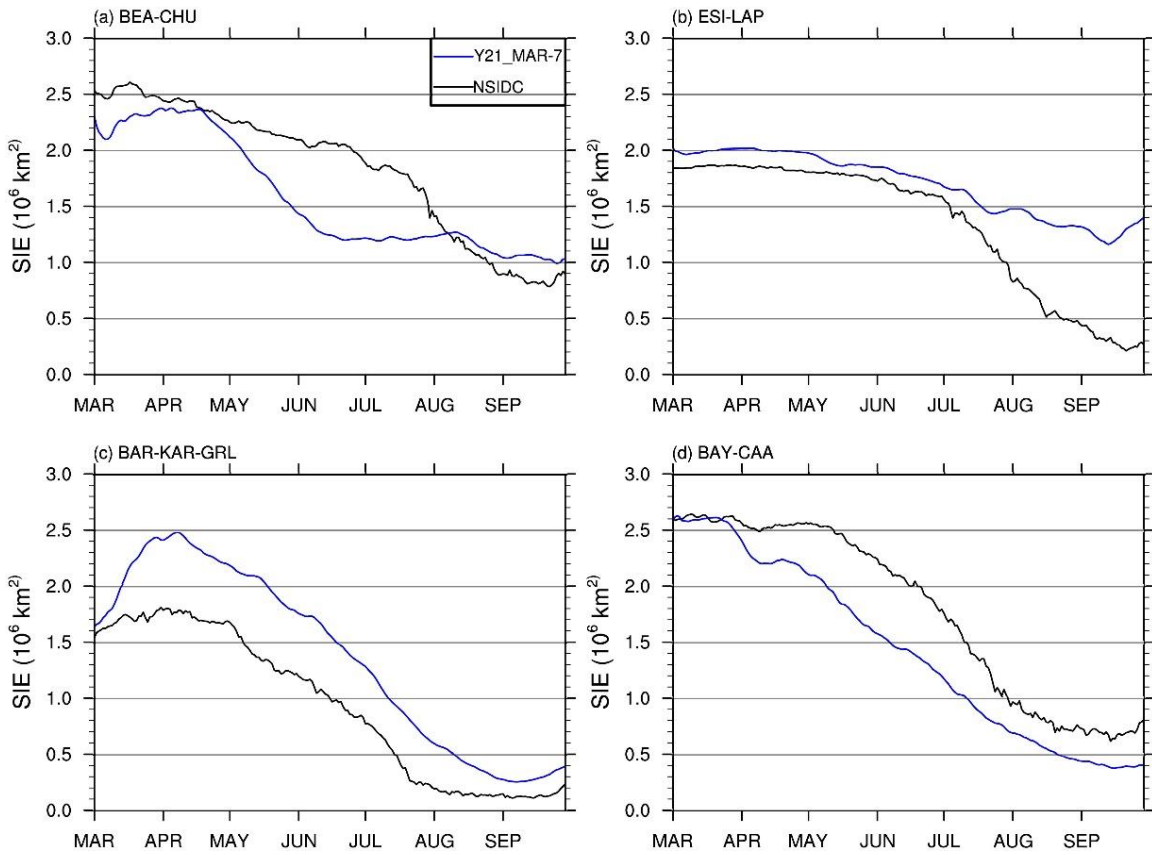
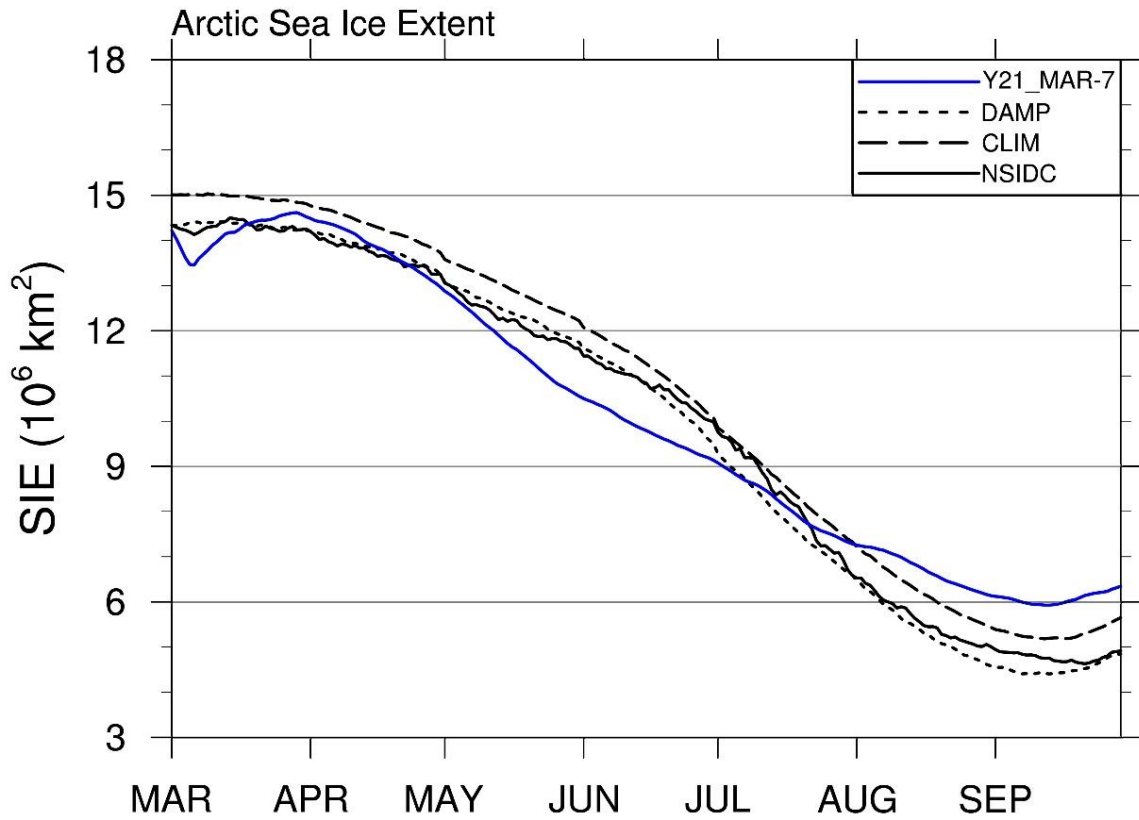
1324



1325

1326 Figure 13 Same as Figure 3, but for Y21_EXT-7.

1327



1328

1329

Figure 14 Same as Figure 34, bur for Y21_MAR-7.

