

# Development of Aerosol Optical Properties for Improving the MESSy Photolysis Module in the GEM-MACH v2.4 Air Quality Model and Application for Calculating Photolysis Rates in a Biomass Burning Plume

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**Abstract.** The photolysis module in Environment and Climate Change Canada's on-line chemical transport model GEM-MACH (GEM: Global Environmental Multi-scale – MACH: Modelling Air quality and Chemistry) was improved, to make use of the on-line size and composition-resolved representation of atmospheric aerosols and relative humidity in GEM-MACH, to account for aerosol attenuation of radiation in the photolysis calculation. We coupled both the GEM-MACH aerosol module and the MESSy-JVAL (Modular Earth Sub-Model System) photolysis module, through the use of the on-line aerosol modelled data and a new Mie lookup table for the model-generated extinction efficiency, absorption and scattering cross sections of each aerosol type. The new algorithm applies a lensing correction factor to the black carbon absorption efficiency (core-shell parameterization) and calculates the scattering and absorption optical depth and asymmetry factor of black carbon, sea-salt, dust, and other internally mixed components.

We carried out a series of simulations with the improved version of MESSy-JVAL and wildfire emission inputs from the Canadian Forest Fire Emissions Prediction System (CFFEPS) for two months, compared the model aerosol optical depth (AOD) output to the previous version of MESSy-JVAL, satellite data, ground-based measurements and re-analysis products, and evaluated the effects of AOD calculations and the interactive aerosol feedback on the performance of the GEM-MACH model. The comparison of the improved version of MESSy-JVAL with the previous version showed significant improvements in the model performance with the implementation of the new photolysis module, and with adopting the online interactive aerosol concentrations in GEM-MACH. Incorporating these changes to the model resulted in an increase in the correlation coefficient from 0.17 to 0.37 between the GEM-MACH model AOD one-month hourly output and AERONET (Aerosol Robotic Network) measurements across all the North American sites. Comparisons of the updated model AOD with AERONET measurements for selected Canadian urban and industrial sites specifically, showed better correlation coefficients for urban AERONET sites, and for stations located further south in the domain for both simulation periods (June and January 2018). The predicted monthly averaged AOD using the improved photolysis module followed the spatial patterns of MERRA-2 re-analysis (Modern-Era Retrospective analysis for Research and Applications - Version 2), with an overall under-prediction

of AOD over the common domain for both seasons. Our study also suggests that the domain-wide impact of direct and indirect effect aerosol feedbacks on the photolysis rates from meteorological changes, are considerably greater (3 to 4 times) than the direct aerosol optical effect on the photolysis rate calculations.

## 1 Introduction

35 Atmospheric aerosols are very small solid, aqueous or mixed-state particles suspended in the atmosphere, typically ranging from  $10^{-3}$   $\mu\text{m}$  to  $10$   $\mu\text{m}$  in size, which affect Earth's radiative balance (Bond and Bergstrom, 2006). Photolysis or photo-dissociation reactions are those for which molecules break down by absorbing sunlight. Aerosol particles scatter and absorb solar radiation, and can thus change the actinic fluxes, or the flux of spherically integrated spectral radiance of the sun, and hence the rate coefficients of photolysis reactions, also known as J-values (Jacobson, 2005). The latter are the rates of the  
40 molecular dissociation of the gaseous species by sunlight and depend on the attenuation of the radiation stream as a function of the particle size, composition and morphology (arrangement of the components within the particle), on gases' effective size (molecular cross-section), and on the fraction of molecules broken apart per incoming photon (quantum yield). One way of quantifying the effects of aerosols on radiative transfer processes, including photolysis, is through the use of the aerosol optical depth (hereafter AOD). AOD is a dimensionless quantity, a measure of the extinction of the solar light by aerosols in the  
45 atmosphere and provides a measure of the amount of aerosols in a vertical column from the surface to the top of the atmosphere. Aerosol mixing state within a population of aerosol particles is defined as the distribution of chemical species in each aerosol particle (Riemer et al., 2019), and can also be referred to as the particle morphology in the aerosol population (Stevens and Dastoor, 2019). When all the species are homogeneously mixed within an aerosol particle, the population is known to be "internally mixed", whereas an "externally mixed" population of particles consists of each particle being of only one chemical  
50 species. Internal mixtures can also be described as homogeneous populations of homogeneous particles, and external mixtures as heterogeneous populations of homogeneous particles (Bond and Bergstrom, 2006). In reality, completely internally and externally mixed aerosol populations are rare in the atmosphere, and aerosol particles are complex mixtures of different chemical species (Bond et al., 2006; Riemer et al., 2019; Healy et al., 2014).  
Black Carbon (a widely used term for light-absorbing carbon) from fossil fuel and biomass burning is known to have a large  
55 positive radiative forcing in the atmosphere due to its highly absorptive properties and strong extinction (Jacobson, 2000; Bond and Bergstrom, 2006). Black carbon is generally modelled using different assumptions on its mixing state: externally mixed, where black carbon and other aerosols are in separate particles, volume-averaged internally mixed, where each particle contains a mixture of black carbon and other aerosols, and a core-shell structure, in which each particle consists of a black carbon core surrounded by a mixture of other aerosols through condensation and coagulation or aging processes (Jacobson, 2001). When  
60 black carbon is coated with other aerosols, the light absorbing properties of black carbon may be enhanced (absorption enhancement) by 50 to 60% through a lensing effect (Liu et al., 2017). Since absorption, and therefore the radiative forcing in the atmosphere, is influenced by different assumptions of the mixing state of particles, the representation of aerosol size and

the mixing state of black carbon is essential to have a realistic estimation of radiative forcing in atmospheric models (Bond et al., 2006). Bond et al. (2006), calculated the absorption amplification (ratio of absorption by core-shell black carbon to pure black carbon with the same carbon mass) for a wide range of core-shell thickness, using an implementation of the Bohren and Huffman (1983) Mie scattering algorithm in MatLab (Mätzler, 2002) at 550 nm. They identified five distinct geometric regimes for different black carbon (core) and shell sizes, and calculated the best fit for the absorption amplification for each individual regime (Bond et al. (2006), Table 2).

To date, the estimates of AOD in atmospheric models have been based on one or a combination of different mixing states of aerosols. The variation in the resulting aerosol optical properties from the atmospheric models is associated with the assumptions regarding the methods used in AOD calculations, aerosol mixing states, density, refractive index and hygroscopic growth, with the most important factor being the choice of the mixing states of aerosols (Curci et al., 2015). The latter accounts for 30 to 35% of the uncertainty in estimation of AOD and single scattering albedo (Curci et al., 2015). Other studies, e.g., Barnaba et al. (2010), found different spatial patterns in AOD versus surface particulate matter, highlighting the sensitivity of calculated AOD to aerosol vertical profile rather than the aerosol surface concentrations.

The radiative transfer module in chemical transport models contains parameterizations for extinction efficiency (the sum of scattering and absorption efficiencies), single scattering albedo (the ratio of scattering efficiency to total extinction efficiency) and asymmetry factor (the angular direction of the scattered radiation by particles or gases) for each particle type, and calculates scattering and absorption coefficients (a measure of photon scattering and absorption by particles) to predict the radiative state of the atmosphere. AOD is calculated by integrating the extinction of the solar beam due to aerosols over the vertical column. These optical effects of aerosols may also influence the shorter wavelengths associated with atmospheric gas photolysis, influencing atmospheric reactivity. These processes may be harmonized in an on-line chemical transport model, such as Environment and Climate Change Canada's (ECCC) online-coupled meteorology and chemical transport model, GEM-MACH (GEM: Global Environmental Multi-scale – MACH: Modelling Air quality and Chemistry). Chemical transport models such as GEM-MACH have been used in past works, investigating AOD and evaluating different aerosol light attenuation methods and mixing states of black carbon.

Gan et al. (2015) performed a long-term simulation of aerosol concentration and optical depth for 16 years (1995 to 2010), using the coupled Weather Research and Forecasting–Community Multi-scale Air Quality (WRFv3.4 and CMAQv5.0.2) over the continental US (CONUS). For model evaluation, they used 16 years (1995 to 2010) of observation data, and 14 years of AOD data (1997 to 2010) from several measurement networks including SURFRAD (Surface Radiation Budget Network), ARM (Atmospheric Radiation Measurement), CASTNET (Clean Air Status and Trend Network), and IMPROVE (Interagency Monitoring of Protection Visual Environments) observations (Gan et al., 2014a; Gan et al., 2015). The aerosol light attenuation method in their model was based on Mie and core-shell scattering (Gan et al., 2014b), and the model used online aerosol feedback on radiation and photolysis (sulfate, nitrate, ammonium, dust and organic aerosols) (Gan et al., 2015). Although their simulations showed the overall observed trends of AOD from SURFRAD, the magnitude of simulated AOD was underestimated compared to the observations. For instance, the 1997-2010 annual mean AOD time series over eastern US

showed the model AOD values ranging from a minimum of  $\sim 0.06$  to a maximum of  $\sim 0.09$ , while SURFRAD observations had a minimum of  $\sim 0.125$  and maximum of  $\sim 0.175$ .

100 Latimar et al. (2019) performed a 10-year simulation of aerosol mass scattering efficiency and AOD using the GEOS-Chem (Goddard Earth Observing System of the NASA Global Modeling and Assimilation Office, version 11-02, <http://geos-chem.org>) chemical transport model. Their simulations of aerosol mass scattering efficiency, from an aerosol speciation including sulfate, ammonium, nitrate, primary and secondary organic carbon, mineral dust and sea-salt, were evaluated using data from the North American IMPROVE (Interagency Monitoring of Protected Visual Environments) monitoring network between 2000 to 2010; the global AERONET network data was used for AOD evaluation. Aerosol optical properties were  
105 generated using a GEOS-Chem default optical lookup table. They examined the impact of secondary inorganic aerosol and organic aerosol size and hygroscopicity, excluding sites dominated by dust, and those with a high degree of internal sub-grid scale elevation variability, in order to focus on the composition/size issues. The revised hygroscopicity assumptions resulted in an increase of 19% in global mean simulated AOD with the revised aerosol optical properties. Despite improvements to simulated AOD on a global scale, those values were still underestimated at most North American sites (Latimar et al., 2019),  
110 with a correlation relation (R) of 0.78 and root mean square error (RMSE) of 0.1 for measured versus the revised simulated AOD at all AERONET sites in 2006.

Alvarado et al. (2016) used measurements of aerosol scattering and absorption gathered during the 2008 Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaign to evaluate the aerosol optical properties from global numerical models. The NASA ARCTAS campaign (Jacob et al., 2010) was conducted over Alaska in April 2008 (ARCTAS-A) and over western Canada during June–July 2008 (ARCTAS-B). ARCTAS-CARB (“sponsored by the California  
115 Air Resources Board (CARB)”), was conducted over California, one week prior to ARCTAS-B. These evaluations examined the effects of different mixing states of black carbon (volume-averaged, core-shell, and externally mixed, as well as the Maxwell-Garnet mixing rule, in which black carbon is assumed to be present in randomly distributed inclusions) on aerosol scattering and absorption properties, for wavelengths between 250 and 700 nm, using an off-line approach of the Aerosol Simulation Program (ASP v2.1, Alvarado et al., 2015). ASP is a single-box aerosol model, with modules to calculate aerosol  
120 thermodynamics, gas-to-aerosol mass transfer (condensation/evaporation), coagulation of aerosols, and aerosol optical properties (Alvarado et al., 2016). Using the instruments of the NASA Langley Aerosol Research Group (LARGE; Anderson et al., 1998), they showed that the use of a core-shell mixing state for black carbon, especially for fresh biomass burning episodes, led to the overestimation of aerosol absorption by 29% to 35%, with insignificant dependence on the wavelength,  
125 while an external mixture assumption led to the underestimation of aerosol absorption, with a strong dependence on wavelength. Their collected observations suggested using an externally mixed black carbon for the fresh smoke observations, and an internally mixed core-shell approach for the aged Arctic haze and the anthropogenic pollution. Their implementation of a variable mixing state resulted in an average overestimation of aerosol absorption of 10% at 470 nm, 17% at 532 nm and 23% at 660 nm.



130 The mixing state of aerosols has a key impact on radiative transfer, with black carbon's ability to absorb significant amounts  
of incoming short wavelength light and re-emit this energy as longer wavelengths, resulting in its identification as a short-term  
climate forcer (IPCC, 2018). However, the mixing state of black carbon, and the impact of that mixing state on the radiative  
properties of atmospheric aerosols, varies in the literature. Tombette et al. (2008) suggested that the mixing state of black  
carbon presents an insignificant effect on aerosol optical thickness (AOT) calculations (RMSE difference  $< 10^{-4}$ ). Liu et al.  
135 (2017) recommended using an absorption enhancement in order to account for optical lensing associated with biomass-burning  
emissions, and no-absorption enhancement for fresh traffic sources. In another study, over 10 European AERONET sites, Péré  
et al. (2009) found the mean modelled core-shell single scattering albedo (SSA) provided the closest match to the  
corresponding measurements, with the spatio-temporal correlation coefficient of 0.51 (compared to 0.04 and 0.35 for the  
internally homogeneous and externally mixed particles respectively), and therefore is the best representation for simulating  
140 anthropogenic and/or biomass burning emissions.

In the work which follows, we make use of the Global Environmental Multiscale – Modelling Air-quality and Chemistry  
(GEM-MACH) model. The atmospheric chemistry module in GEM-MACH has been included as an on-line component of  
the core weather-forecast model (GEM) (Côté et al., 1998a, b; Girard et al., 2014; Charron et al., 2012), and consists of air-  
quality processes, including computationally-efficient ADOM-II (Acid Deposition and Oxidant Model, version 2) gas-phase  
145 chemistry mechanism with 47 species (Lurmann et al., 1986; Fung et al., 1991), aqueous phase and heterogeneous chemistry,  
wet and dry deposition, aerosol-cloud processes, and aerosol microphysics (Gong et al., 2003a, b; Moran et al., 2010; Makar  
et al., 2015a, b). The model's aerosol distribution is based on 12 particle size bins. The aerosol species in GEM-MACH consist  
of eight components within each size bin: ammonium, sulfate, nitrate, sea salt, crustal material, black carbon, primary organic  
aerosol (POA) and secondary organic aerosol (SOA). The aerosol and microphysical parameterizations include particle  
150 nucleation, condensation and coagulation (Gong et al., 2003a, b), gas and particle dry deposition (Zhang et al., 2001; Makar  
et al., 2018), cloud processing and in-cloud aqueous-phase chemistry (Gong et al., 2006), direct and indirect feedback options  
(Makar et al., 2015a, b; Gong et al., 2015) and equilibrium inorganic gas-aerosol partitioning (Makar et al., 2003). The model  
can be used with either one-way coupling (meteorology drives the chemistry) or with two-way coupling (which enables the  
model-generated aerosols' impact on radiative transfer – aerosol direct effect, and on radiative transfer via cloud formation –  
155 aerosol indirect effect; Makar et al., 2015 a,b). However, the default configuration of GEM-MACH's photolysis calculations  
makes use of an a priori lookup table as a function of solar zenith angle and height. Here, we update this module, examine the  
effects of photolysis on the aerosol feedbacks, and show their relative importance to model performance.

Table 1 represents the different options for aerosol optical calculations in the current version of GEM-MACH. The original,  
pre-calculated, clear-sky J-value lookup table in GEM-MACH is a function of solar zenith angle and height. The photolysis  
160 rates are calculated using the input data of Peterson (1976) and the radiative transfer model of Dave (1972), with cross-sections  
and quantum yields taken from DeMore et al. (1988) (Kelly et al., 2012). The model uses the online cloud fraction and liquid  
water content from the GEM model to scale the pre-calculated clear-sky J-values, based on an algorithm by Chang et al. (1987).  
The size distribution and number density profile of aerosols used in the lookup table generation were based on Braslau and

Dave (1973), and the refractive index of the aerosols were assumed to be independent of height and wavelength, with a single uniform value of 1.5-0.01i (Yamamoto and Tanaka, 1972). The resultant optical depth at 500 nm wavelength is 0.25.

|  | <b>Aerosol Size</b>              | <b>Aerosol Chemistry</b>         | <b>Ozone Column</b>                    | <b>Aerosol Mixing State</b>  | <b>Lensing Effect</b> | <b>Surface Albedo</b>   |
|--|----------------------------------|----------------------------------|--|--|-----------------------|---|
| <b>Base J-value lookup table in MACH</b> | Climatology                      | Climatology                      | Constant Climatology (Peterson (1976)) | Homogeneous Mixture for Aerosols   | No                    | 290-400 nm (0.05)<br>400-450 nm (0.06)<br>450-500 nm (0.08)<br>500-550 nm (0.1)<br>550-600 nm (0.11)<br>600-640 nm (0.12)<br>640-660 nm (0.135)<br>660-700 nm (0.15)<br>(Peterson (1976)) |
| <b>Base MESSy-JVAL in MACH</b>           | Climatology (Chen et al., 2020)  | Climatology(Chen et al., 2020)   | Online                                 | Homogeneous Mixture for Aerosols   | No                    | Online  |
| <b>Revised MESSy-JVAL in MACH</b>        | Online (This Work)               | Online (This Work)               | Online                                 | Externally Mixed + Internally Mixed Aerosols + Core-Shell Black Carbon (This Work) | Yes (This Work)       | Online  |
| <b>Base radiative transfer in GEM</b>    | Climatology                      | Climatology                      | Online                                 | Homogeneous Mixture for Aerosols   | No                    | Online  |
| <b>Revised radiative transfer in GEM</b> | Online (Makar et al., 2015 a, b) | Online (Makar et al., 2015 a, b) | Online                                 | Homogeneous Mixture for Aerosols   | No                    | Online  |

**Table 1: Aerosol optical calculations in GEM and MACH.**

The calculations of aerosol optical depth, single-scattering albedo, and asymmetry factor used by the GEM weather forecast model, also used in the offline version of the radiative transfer module in GEM-MACH (Li and Barker, 2005) with no-feedback configuration, are based on a climatology produced by Toon and Pollack (1976), with specified aerosol loading for continents and oceans, a maximum value at the Equator and a decrease towards the poles. The solar absorption properties are only assumed to be affected by aerosols in the clear-sky atmosphere (Markovic et al., 2008). In contrast, the on-line version of the radiative transfer module in the feedback-enabled version of GEM-MACH makes use of a homogeneous aerosol mixture Mie

scattering (Bohren and Huffman, 1983) code for meteorological radiative transfer calculations, and the model-generated aerosols in the feedback mode (Makar et al., 2015 a, b) are used for radiative transfer calculations.

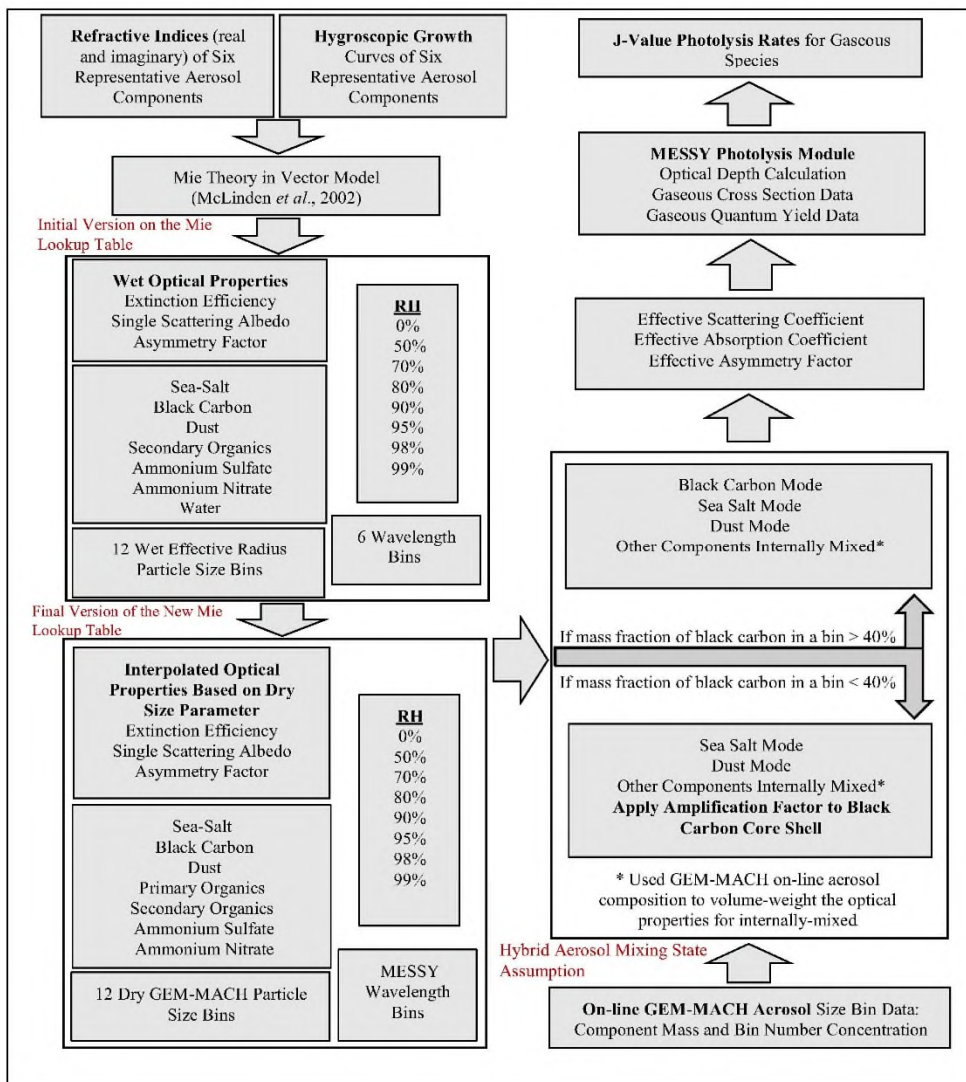
The new photolysis module in GEM-MACH (MESSy-JVAL) is based on JVAL14-MESSy of Sander et al. (2014). The photolysis module JVAL was adapted by Sander et al. as a module inside the Modular Earth Sub-model System (MESSy) interface of Jöckel et al. (2005). The original formulation of photolysis rates calculations was developed by Landgraf and Crutzen (1998) and has been since adopted in several atmospheric models (Sander et al., 2014). The actinic flux calculations include the effects of aerosols and clouds on photolysis rates. The optical data for cloud scattering and absorption are adopted from Slingo (1989) (Sander et al., 2011a). The previous off-line application of MESSy-JVAL in GEM-MACH (Chen et al., 2020), made use of a climatology of aerosol concentration (a constant aerosol vertical profile above land and a different vertical profile over water). Cloud radiative properties and cloud fraction were calculated online at runtime (Chen et al., 2020). The focus of this study is to improve the representation of aerosol optical properties in MESSy-JVAL, and to determine their impact on model performance.

In the following sections, we describe the methods we used to improve the representation of aerosol optical properties in MESSy-JVAL, followed by the evaluations of the improved photolysis module and the limitations of the model in photolysis rate calculations. Section 2 provides a description of the model configuration, simulation setup and the observations used to evaluate the model output, calculations of the new lookup table for aerosol optical properties, and a description of the revised photolysis rate calculations in GEM-MACH. The comparison of the updated MESSy-JVAL with the base off-line version is presented in section 3, followed by the results of different evaluations of the improved photolysis module: comparisons with observations, evaluations of the impacts of AOD calculations and model-generated aerosols on the photolysis rates calculations, and a case study of photolysis calculations under high pollutant flux emissions conditions. Summary and conclusions of this study are presented in Section 4.

## 2 Methods

### 2.1 Overview

In order to improve the photolysis module and calculate aerosol feedbacks more accurately, we developed a new Mie lookup table for aerosol optical properties which was accessed within the improved version of MESSy-JVAL. An initial lookup table was calculated using the refractive indices of seven representative aerosol components and the Mie scattering code within the VECTOR model (McLinden et al., 2002). Using the hygroscopic growth factor of each aerosol type, we calculated the dry size parameter (Section 2.2, equation 1) of the initial lookup table, which was then interpolated into GEM-MACH dry size parameter. The final lookup table which was implemented into GEM-MACH is a function of GEM-MACH dry size parameters and wavelengths. The calculated aerosol composition and size in GEM-MACH was used as input for photolysis rate calculations, and a hybrid aerosol mixing state was assumed for size bins containing different concentrations of black carbon to calculate the optical properties of four aerosol categories: black carbon, sea-salt, dust and other internally mixed components.



**Figure 1: Steps and methods in calculating the new Mie lookup table for photolysis rates in GEM-MACH. RH represents the relative humidity and  $\lambda$  is the wavelength.**

Currently, there is a size-resolved (sectional) representation of atmospheric aerosol particles in GEM-MACH, which may be used for determining the impact of aerosol feedbacks on radiation and photolysis. When GEM-MACH's aerosol feedbacks are enabled, the aerosol direct effect makes use of a Mie scattering approach and the assumption of a binary mixture between dry aerosols and water for the complex refractive index (Makar *et al.*, 2015a). For photolysis calculations as carried out here, we made use of GEM-MACH's calculated aerosol composition and size as input for photolysis rate calculations. The new algorithm uses the eight dry chemical components of GEM-MACH aerosol (sulfate, nitrate, ammonium, primary organic matter, secondary organic matter, black carbon, dust and sea-salt) and reads in the data from the updated lookup table (see sections 2.2, 2.3, following) to calculate the scattering and absorption optical depth and asymmetry factor of black carbon, sea-

salt, dust and other internally mixed components. We used the black carbon particle size in GEM-MACH as an indicator of the mixing state of the internally mixed particles. For each GEM-MACH size bin, the mass fraction of black carbon to the total mass of all the other particles in that bin was calculated. Since the externally-mixed black carbon particles are most common near combustion sources, if a GEM-MACH particle size bin contains a black carbon mass fraction that is near or larger than that of a typical combustion emission particle (“the black carbon mass fraction of more than 40% (Stevens and Dastoor, 2019)”), the particle bin was considered to be mostly black carbon, no absorption amplification factor was applied to black carbon photolysis rate calculations, and an external particle mixing state for that size bin was assumed (i.e., black carbon as a separate particle, and a volume-averaged homogeneously mixture of ammonium sulfate, ammonium nitrate, primary and secondary organics). If the black carbon mass fraction for a particle size bin was less than 40%, we assumed that black carbon is coated with other internal particle components, and a core-shell configuration was used for that size bin; the black carbon forms a core and other internal particle components (primary and secondary organic matter, ammonium sulfate, and ammonium nitrate), form a shell. In this case, the black carbon is mixed with other condensed or coagulated components, the bin is more aged, and we apply a lensing correction factor to the black carbon absorption efficiency recommended by Bond et al. (2006). It should be noted that sea salt and dust were not included in the assumptions of the internally mixed particles, and in both cases mentioned above, those aerosols were considered as separate particles. These underlying assumptions were used for the calculations of the aerosol optical properties for four independent aerosol groups: sea-salt, dust, black carbon and the internally mixed particles (See Fig. 1). We describe our methodology in more detail in the following sections.

## 2.2 Developing a new aerosol optical properties look-up table for MESSy

In order to update the aerosol effects in the MESSy photolysis module in GEM-MACH, we calculated a new lookup table using the Mie scattering code within the VECTOR model (McLinden et al., 2002) for extinction efficiency, single scatter albedo and asymmetry factor for seven aerosol types, which within the lookup table are treated as pure-composition particles of sea-salt, black carbon, dust, ammonium sulfate, ammonium nitrate, organic carbon, and pure water. The initial version of the new lookup table was calculated for single components, each with its own water uptake properties derived from the literature. One dimension of the table is the wet particle radius range for a logarithmically expanding set of cut-sizes of the aerosol distribution of GEM-MACH (0-10, 10-20, 20-40, 40-80, 80-160, 160-320, 320-640, 640-1280, 1280-2560, 2560-5120, 5120-10240, and 10240-20480 nm), five wavelengths (200, 300, 400, 600, 1000 nm) and seven different relative humidity levels (0%, 50%, 70%, 80%, 90%, 95%, 98%, 99%) for all aerosols except dust, which was assumed to have no water uptake. The effective wet particle radii were calculated based on a power-law distribution (Hansen and Travis, 1974) of each particle range. The relative humidity determines the water fraction for all other aerosol types. The selection of wider spacing at lower relative humidity and longer wavelength was due to the growing dependency of optical properties to increasing relative humidity and decreasing wavelengths. We assumed a flat distribution of aerosol radii within each bin size. The complex refractive indices of the water-soluble inorganic aerosols, namely ammonium sulfate, ammonium nitrate and sea salt were calculated using the FORTRAN software developed by Andrew Lacis

250 (https://www.giss.nasa.gov/staff/mmishchenko/ftpcode/lacis/lacis\_refrac.rhwmri.f) which has been used in many recent studies (Jeong, 2020; Bozzo et al., 2020; Escribano et al., 2014; Schuster et al., 2009). In this model, all aerosols are treated as homogeneous mixtures. Parametric formulas are derived for the changes in the real part of the refractive index, specific density, size, and water activity as functions of mass fraction. These formulas are used to interpolate spectrally between the refractive indices of dry ammonium sulfate, sea salt, and ammonium nitrate and those of water. For ammonium sulfate, the complex refractive index is from Toon et al. (1976). The water activity for this aerosol type is based on Tang and Munkelwitz (1994). For ammonium nitrate, the real and imaginary parts of the refractive index are from Tang et al. (1981) and Gosse et al. (1997), and the water uptake is from Tang (1996). The complex refractive index of sea salt is based on Shettle and Fenn (1979), which relies on Dorsey (1940).

| Aerosol          | Density (kg m <sup>-3</sup> ) | Real part of the refractive index at 550 nm (at RH= 0%) | Imaginary part of the refractive index at 550 nm (at RH= 0%) |
|------------------|-------------------------------|---|--|
| Ammonium sulfate | $1.77 \times 10^{+3}$         | 1.53  | $10^{-7}$  |
| Ammonium nitrate | $1.7 \times 10^{+3}$          | 1.56  | $10^{-9}$  |
| Dust             | $2.56 \times 10^{+3}$         | 1.56  | $6 \times 10^{-3}$   |
| Black carbon     | $1.8 \times 10^{+3}$          | 1.75  | 0.44   |
| Sea salt         | $2.17 \times 10^{+3}$         | 1.49  | 0.006  |
| Water            | $1.0 \times 10^{+3}$          | 1.33  | $1.00023 \times 10^{-8}$                                     |
| Organics         | $1.4 \times 10^{+3}$          | 1.53  | $1.96 \times 10^{-9}$  |

**Table2: Density and the refractive index of each aerosol type.**

260 For dust, no water uptake has been assumed, and thus no dependency on the relative humidity. The complex refractive index of dust is assumed to be independent of particle size and is obtained from the VECTOR model's library of refractive indices with the real part of the dust refractive index varying between 1.55 and 1.57 and the imaginary component increasing monotonically from 0.004i at 1000 nm to 0.025i at 200 nm. For organic carbon, the complex refractive index is wavelength-dependent for all relative humidities and was extracted from Fast-JX, the photolysis mechanism used in GEOS-CHEM (Goddard Earth Observing System (GEOS) of the NASA Global Modeling and Assimilation Office). Fast-JX v7.0 calculates aerosol extinction efficiencies at five wavelengths, 200, 300, 400, 600 and 999nm. The file jv\_spec\_mie.dat (http://rain.ucis.dal.ca/ctm/CHEM\_INPUTS/FAST\_JX/v2020-02/jv\_spec\_mie.dat) in Fast-JX v7.0 contains the quantum yields and aerosol cross sections for photolysis. Real and imaginary parts of the refractive index of organic carbon at 98% were obtained by linear interpolation between the indices at RHs of 95 and 99%. For black carbon, the dependence on relative humidity was based on hygroscopic growth factors (HGF; the ratio of the wet particle radius to the dry particle radius) obtained from Lei et al. (2014) (Table 3). The RH-dependent refractive index ( $n_{RH}$ ) is a HGF<sup>3</sup>-weighted mean of refractive indices of pure black carbon ( $n_{BC}$ ) and pure water ( $n_{water}$ ):

$$n_{RH} = [(HGF_{RH}^3 - 1)n_{water} + n_{BC}]/HGF_{RH}^3 \quad (1)$$

270 with water having a spectrally constant refractive index of 1.333 (with no imaginary component over the relevant wavelength range) and pure black carbon having a spectrally constant refractive index of 1.75 - 0.44i (Kou, 1996). The optical properties

of the primary organics were calculated using the optical properties of secondary organics and pure water droplets and the hygroscopic growth factor of primary organics. The refractive index of each aerosol type can be found in Table 2. In order to employ the new lookup table in GEM-MACH, we developed a stand-alone FORTRAN code to interpolate the optical properties of aerosols into GEM-MACH wavelengths and size bins. The output of this off-line program was used as the new lookup table for the improved version of the photolysis code discussed in this study. Here we provide a brief description of the methods we used in our calculations.

Since the initial version of the new lookup table was calculated based on wet particle sizes, we used the hygroscopic growth factor of each aerosol type (Laskina et al., 2015, Ting et al., 2017, Zamora et al., 2013, and SOA is based on GEOS-Chem optical lookup table, `ju_spec_mie.dat`) at each relative humidity and the wet radius of each particle type, to calculate the dry aerosol radius size.

| RH (%) | HGF   |
|--------|-------|
| 99     | 1.066 |
| 98     | 1.062 |
| 95     | 1.05  |
| 90     | 1.03  |
| 80     | 1.02  |
| 70     | 1.01  |
| 50     | 1     |
| 0      | 1     |

**Table 3: Hygroscopic growth factors (HGF) for black carbon (based on Lei et al., 2014).**

Using the dry radius size and the wavelengths in the initial lookup table (200, 300, 400, 600, 1000 nm), the initial size parameter was calculated using:

$$\alpha_i = \frac{2\pi r_i}{\lambda_i} \quad (2)$$

where  $\alpha_i$  is the initial size parameter,  $r_i$  is the dry radius of the particle, and  $\lambda_i$  is the wavelength in the initial lookup table. Using the same formula, the size parameter for the final lookup table was calculated based on GEM-MACH average dry particle size bins (7.5 nm, 15. nm, 30. nm, 60. nm, 120. nm, 240. nm, 480. nm, 960. nm, 1920. nm, 3840. nm, 7680. nm and 15360. nm) and wavelengths (205 nm, 287 nm, 302 nm, 309 nm, 320 nm, 370 nm and 580 nm). The final lookup table components as a function of particle size, were calculated by linear interpolation of GEM-MACH size parameter from the size parameter values in the initial version of the new lookup table (see Fig. 1). The initial and interpolated extinction efficiency of ammonium sulfate versus dry aerosol size parameter is illustrated in Fig. 2. The final interpolated lookup table of extinction efficiency, single scatter albedo and asymmetry factor was used to calculate the absorption and scattering cross section and

300 asymmetry factor of seven pure aerosol types (sea-salt, black carbon, dust, ammonium sulfate, ammonium nitrate, primary organic carbon and secondary organic carbon) in GEM-MACH. The data in the lookup table is sorted by increasing size parameter values (based on the dry aerosol sizes) for each aerosol type and relative humidity, i.e., the optical properties in the lookup table depend on the water uptake for the given relative humidity.

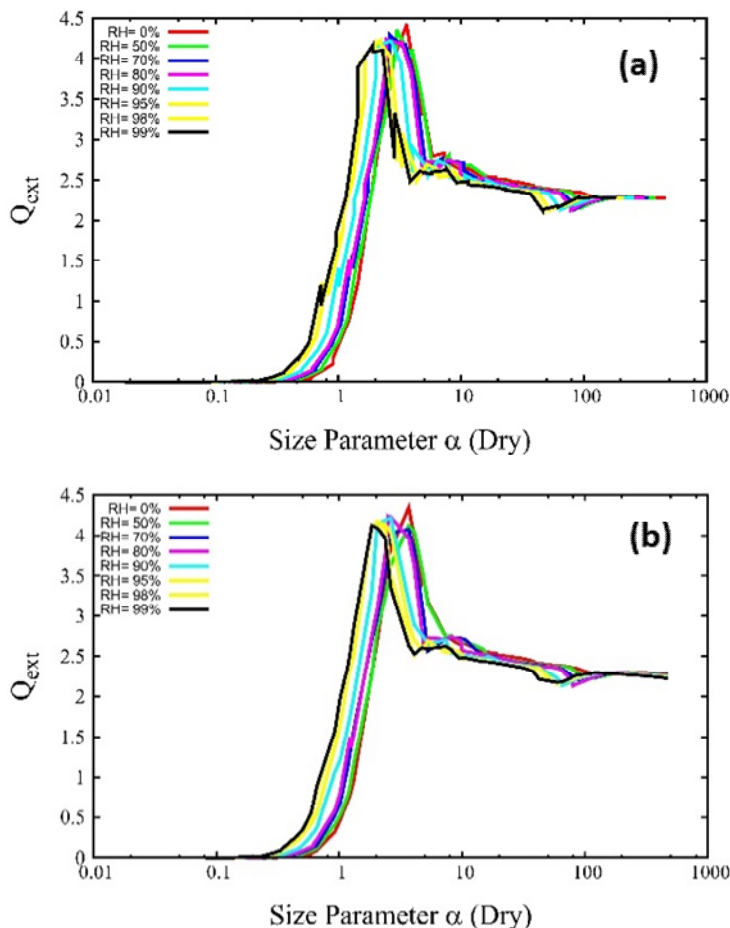


Figure 2: : (a) Initial and (b) interpolated  $Q_{ext}$  (Extinction efficiency) vs dry  $\alpha$  (Size Parameter) for Ammonium Sulfate

305 **2.3 Updating the photolysis module in the GEM-MACH in-line chemical transport model:**

After calculating the new lookup table, we modified the MESSy-JVAL code to use the new lookup table, along with the calculated aerosol composition and size by GEM-MACH, as input for photolysis rate calculations. The new updated code uses the eight dry chemical components of GEM-MACH aerosol feedback (sulfate, nitrate, ammonium, black carbon, primary organic matter, secondary organic matter, dust and sea-salt) and the data in the updated lookup table to calculate scattering and



310 absorption optical depth and asymmetry factor of black carbon, sea-salt, dust and other internally mixed components. The  
 volume concentration of each aerosol type ( $\text{m}^3$  of each aerosol per  $\text{m}^3$  of air) was calculated using the GEM-MACH predicted  
 mass concentration ( $\mu\text{g kg}^{-1}$ ), and the density ( $\text{kg m}^{-3}$ ) of each aerosol type (see Table 2). The number concentration of each  
 aerosol (number of aerosols per  $1 \text{ m}^3$  of air) was calculated by dividing the volume concentration of the aerosol by the volume  
 315 of each size bin. We used the predicted mass concentration of nitrate in GEM-MACH, and the molecular weight of ammonium  
 nitrate to calculate the mass concentration of ammonium nitrate. Finally, to conserve the mass of ammonium, and since  
 ammonium sulfate, ammonium bisulfate and letovicite have very similar refraction indices, the remaining mass of ammonium  
 and the mass concentration of sulfate were used to calculate the mass concentration of ammonium sulfate.

In order to implement the core-shell parameterization where the mass fraction of black carbon is less than 40% in a particle  
 bin in GEM-MACH, we calculated the number of particles with a black carbon core ( $N_{\text{BC}}$ ), and the mass concentration of  
 320 black carbon ( $M_{\text{BC}}$ ) for those specific size bins. Using the two values, the dry black carbon core size was calculated as follows:

$$r_{\text{BC}} = \sqrt[3]{\frac{M_{\text{BC}}}{N_{\text{BC}} \times \rho_{\text{BC}} \times \frac{4}{3} \times \pi}} \quad (3)$$

where  $r_{\text{BC}}$  is the dry radius of the black carbon core of a particle and  $\rho_{\text{BC}}$  is the density of black carbon (“void-free black carbon  
 core density of  $1.8 \text{ g cm}^{-3}$  (McMeeking et al., 2010)”). The total wet radius of a core-shell particle was calculated using the  
 volume-weighted hygroscopic growth factor of the components in the core-shell particle and the total wet radius of the core  
 325 (black carbon) and shell (secondary organics, ammonium sulfate and ammonium nitrate) in that particle. This information was  
 used to calculate the size parameter of the black carbon core ( $\alpha_{\text{bc}}$ ) and the total particle size parameter ( $\alpha_{\text{total}}$ ). The absorption  
 amplification factor for the case of black carbon core-shell, was calculated using the core-shell parameterization by Bond et  
 al. (2006). with the observationally-constrained maximum threshold of 1.93 (Bond et al., 2006). As described below, these  
 parameters are used to provide an amplification factor based on previous core-shell Mie scattering calculations carried out by  
 330 Bond et al., (2006).

Sea-salt, dust and black carbon (when its mass fraction was  $> 40\%$ ) aerosols were assumed to be externally mixed at all times  
 - their effective scattering coefficient( $\text{sca}_c$ ), absorption coefficient( $\text{abs}_c$ ) and asymmetry factors ( $\text{asym}_c$ ) of each of these  
 aerosols were calculated using the elements of the lookup table and GEM-MACH’s predicted concentrations for these aerosol  
 species:

$$335 \quad \text{sca}_c = \sum_{i=1}^{12} Q_{\text{ext}_i} \times \text{ssa}_i \times N_i \times \pi \times r_i^2 \quad (4)$$

$$\text{abs}_c = \sum_{i=1}^{12} Q_{\text{ext}_i} \times (1 - \text{ssa}_i) \times N_i \times \pi \times r_i^2 \quad (5)$$

$$\text{asym}_c = \sum_{i=1}^{12} \text{ag}_i \times \text{mf}_i \quad (6)$$

where subscript c denotes each aerosol category, for each size bin (i) in GEM-MACH ( $i = 1$  to 12),  $Q_{\text{ext}}$  is the extinction  
 efficiency of each aerosol type,  $N_i$  is the number concentration of each aerosol type ( $\text{cm}^{-3}$ ),  $r_i$  is the radius of bin i (cm),  $\text{ssa}_i$   
 340 is single scatter albedo of each aerosol type,  $\text{ag}$  is the asymmetry factor, and  $\text{mf}_i$  is the fraction of the mass concentration of

each aerosol type to the total mass concentration of all particles (the asymmetry factor was weighted by mass fraction for pure particles). In the case where the mass fraction of black carbon for a particle size bin was less than 40%, the effective scattering and absorption coefficient of black carbon was calculated using:

$$sca_{bc} = \sum_{i=1}^{12} Q_{ext_i} \times ssa_i \times N_i \times \pi \times r_{bc}^2 \quad (7)$$

$$345 \quad abs_{bc} = \sum_{i=1}^{12} Q_{ext_i} \times (1 - ssa_i) \times N_i \times \pi \times r_{bc}^2 \times amp \quad (8)$$

where  $r_{BC}$  is the radius of black carbon core particle and  $amp$  is the absorption amplification factor by Bond et al. (2006), based on the black carbon core and shell size parameters. For the fourth aerosol category in each GEM-MACH size bin (volume-averaged internally mixed particles), we calculated the volume fraction(vf) of each component (ammonium sulfate, ammonium nitrate, primary and secondary organics) to the total volume of the internally mixed particles:

$$350 \quad vi_{(IM)} = vi_{(AS)} + vi_{(AN)} + vi_{(OC)} + vi_{(PC)} \quad (9)$$

$$vf_{AS} = \frac{vi_{(AS)}}{vi_{(IM)}}, \quad vf_{AN} = \frac{vi_{(AN)}}{vi_{(IM)}}, \quad vf_{OC} = \frac{vi_{(OC)}}{vi_{(IM)}}, \quad vf_{PC} = \frac{vi_{(PC)}}{vi_{(IM)}} \quad (10)$$

where  $vi$  is the volume concentration of each aerosol in the internally mixed particles, IM stands for the internally mixed particles, AS is ammonium sulfate, AN is ammonium nitrate, OC is secondary organic matter, and PC is primary organic matter. Equations 11 to 13 were used to calculate the effective scattering and absorption coefficients and asymmetry factor of internally mixed particles:

355

$$sca_c = \sum_{i=1}^{12} \sum_{j=1}^4 Q_{ext_{i,j}} \times ssa_{i,j} \times vf_{i,j} \times N_i \times \pi \times r_i^2 \quad (11)$$

$$abs_c = \sum_{i=1}^{12} \sum_{j=1}^4 Q_{ext_{i,j}} \times (1 - ssa_{i,j}) \times vf_{i,j} \times N_i \times \pi \times r_i^2 \quad (12)$$

$$asym_c = \sum_{i=1}^{12} \sum_{j=1}^4 ag_{i,j} \times vf_{i,j} \times mf_i \quad (13)$$

where the indices ( $j = 1,2,3,4$ ) correspond to each aerosol type inside the internally mixed particles (ammonium sulfate, ammonium nitrate, secondary organic carbon, primary organic carbon). Note that the calculations using equations 4 to 8 and 11 to 13 were done for each horizontal grid-point, vertical level, wavelength and relative humidity.

360

We performed a linear interpolation of the relative humidity in the lookup table for all the aerosol types, with the exception of dust aerosol (which had no water uptake), to calculate the scattering and absorption coefficients at each given relative humidity in GEM-MACH. Scattering and absorption optical depth for each model layer and aerosol category were calculated by the following formula:

365

$$\tau_{sca} = sca_c \times dz \quad (14)$$

$$\tau_{abs} = abs_c \times dz \quad (15)$$

where  $\tau_{sca}$  and  $\tau_{abs}$  are the scattering and absorption optical depth of each aerosol category and  $dz$  is the vertical level thickness. The total scattering and absorption optical depth at each vertical level for each aerosol category (black carbon, sea-salt, dust and internally mixed particles) was calculated using:

$$\tau_{abs} = abs_c \times dz \quad (16)$$

$$\text{Total } (\tau_{abs}) = \sum_{c=1}^4 \tau_{abs_c} \quad (17)$$

$$\text{Total } (asym) = \sum_{c=1}^4 \frac{\tau_{sca_c}}{\text{Total } (\tau_{sca})} asym_c \quad (18)$$

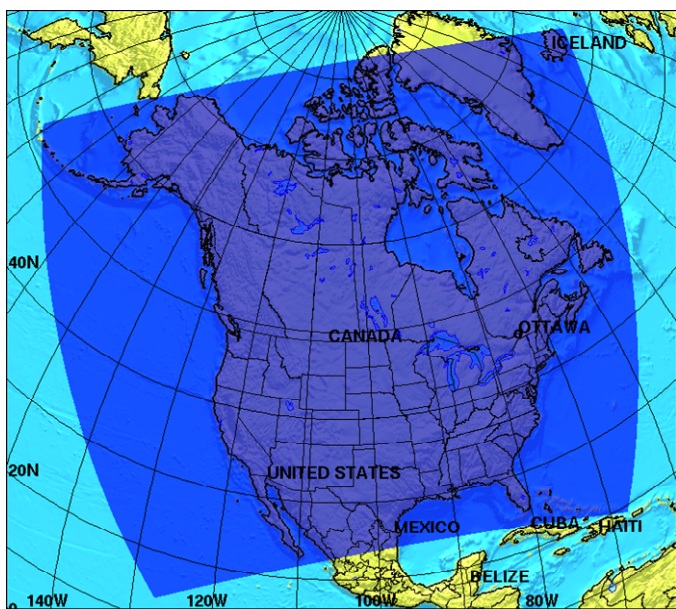
In the above summations,  $c$  represents each aerosol category. Integrating the total scattering and absorption optical depth through the entire column at each grid-point and for each wavelength ( $\lambda$ ), gives the total modelled AOD at that wavelength. This information was used to calculate J-values for photolysis reactions depending on the attenuation of the radiation stream by particles.

## 2.4 Simulation Setup and Emissions

For this study, we used GEM-MACH v2.4, with 12-bin average size distribution of particles, and online aerosol feedbacks between weather and air-quality (Makar et al., 2015a, b). The model domain covers most of continental Canada and United States with a horizontal grid-spacing of 10 km, 80 hybrid vertical levels with a model top at 0.1 hPa, 15-minute operator splitting time step and a 1-hour output time step (Fig. 3). The meteorological initial and boundary conditions for our 10 km horizontal resolution simulations were from the operational Regional Deterministic Prediction System, ECCO's operational numerical weather predictions system (RDPS, Caron and Anselmo, 2014). The chemical boundary conditions are 3-month mean climatology from the global chemical transport model MOZART-4 ("The Model for Ozone and Related chemical Tracers, version 4 (Emmons et al., 2010)").

The Canadian anthropogenic emissions used in our simulations were obtained from the Canadian Air Pollutant Emissions Inventory (APEI, 2021), including the 2015 Canadian area and point source emissions and 2013 Canadian transportation (on-road and off-road) emissions. A projected 2017 US anthropogenic emissions inventory was obtained from the U.S. Environmental Protection Agency (EPA) 2011 Air Emissions Modelling Version 6.3 Platform (<https://www.epa.gov/air-emissions-modeling/2011-version-63-platform>; Eyth et al., 2013) and the 2008 Mexican inventory was obtained from the EPA's 2011 Air Emission Modelling Version 6.2 Platform (<https://www.epa.gov/air-emissions-modeling/2011-version-62-platform>). The SMOKE emissions processing system (<https://cmascenter.org/smoke/>) was used to prepare hourly gridded emissions files for GEM-MACH from these three national annual inventories. The North American wildfire emissions for the 2018 fire season were produced by the Canadian Forest Fire Emissions Prediction System, (CFFEPS), developed by the Canadian Forest Service (CFS) of Natural Resources Canada (Anderson et al., 2019). The CFFEPS model consists of a fire-

growth model, a fire emissions model and a thermodynamic model to calculate fuel consumption, fire energy, the height of a smoke plume and emissions (Anderson et al., 2011; Chen et al., 2019). CFFEPS uses the Canadian Forest Fire Danger Rating System (CFFDRS), including the Canadian Fire Weather Index (FWI) System (Van Wagner, 1987) to provide input data on fuel moisture, and the Canadian Forest Fire Behaviour Prediction (FBP) System (Forestry Canada Fire Danger Group, 1992) for predictions of surface, crown and total fuel consumption. Smoke emissions input data for CFFEPS are collected by the Canadian Wildland Fire Information System (CWFIS) (Lee et al., 2002). The model has been integrated into the ECCC FireWork air quality forecast system (Pavlovic et al., 2016) and has been operational since May 2019. The CFFEPS v2.03 code is available from: <https://doi.org/10.5281/zenodo.2579383> (Anderson et al., 2019). The version of CFFEPS used in this work is described elsewhere (Makar et al., 2021).



**Figure 3: GEM-MACH model North American domain, with 10 km × 10 km horizontal grid resolution.**

## 410 2.5 Observations

Measurement data from MAIAC (“Multi-Angle Implementation of Atmospheric Correction (Lyapustin et al., 2018)”), VIIRS (“Visible Infrared Imaging Radiometer Suite (Hillger et al., 2013)”), the MERRA-2 re-analysis (Modern-Era Retrospective analysis for Research and Applications - Version 2, Global Modeling and Assimilation Office, 2015) and AERONET (Aerosol Robotic Network) ground-based measurements, were used in this study to to evaluate the modifications in the photolysis module in GEM-MACH.

MAIAC is an advanced algorithm which produces global AOD from MODIS (Moderate Resolution Imaging Spectroradiometer) Terra and Aqua satellite data. NASA's Terra and Aqua satellite are in polar orbits. The MODIS instruments have a global coverage of one to two days in 36 spectral bands between 0.405 to 14.385  $\mu\text{m}$ . For the purpose of this study, we used MAIAC gridded daily average AOD data at 550 nm, with 1 km spatial resolution (obtained from: <https://e4ftl01.cr.usgs.gov/MOTA/MCD19A2.006/>). VIIRS is one of the Earth observing instruments aboard the Suomi National Polar-Orbiting Partnership (Suomi NPP) satellite. Level 2 VIIRS AOD data at 550 nm with a spatial resolution of 6 km at nadir were used in this study (obtained from <https://www.avl.class.noaa.gov/saa/products/welcome>). The MERRA-2 atmospheric reanalysis assimilation system produced by NASA's Global Modeling and Assimilation Office (GMAO) is the second and updated version of the original MERRA atmospheric reanalysis (Rienecker et al., 2011, Gelaro et al., 2017). MERRA-2 includes aerosol reanalysis using the Goddard Earth Observing System version 5 (GEOS-5) coupled with the Goddard Chemistry, Aerosol, Radiation, and Transport model (GOCART; Chin et al., 2002; Colarco et al., 2010). It uses the Goddard Aerosol Assimilation System (GAAS) along with standard meteorological parameters (Buchard et al., 2015, 2016, 2017). Quality-controlled AOD at 550 nm is assimilated every 3 hours by MERRA-2 into GEOS-5/GOCART modeling system (Randles, et al., 2017). The MERRA-2 re-analysis products use several satellite and ground-based measurement data, including MODIS Aqua and Terra, MISR and AERONET measurements. The monthly MERRA-2 Aerosol Optical Depth Analysis V5.12.4 data in this study (DOI: 10.5067/XOGBNQEPLUC5) was obtained from the Goddard Earth Sciences Data and Information Services Centre (GIOVANNI: <https://giovanni.gsfc.nasa.gov/giovanni/>) platform, with a spatial resolution of  $0.625^\circ \times 0.5^\circ$  longitude-by-latitude.

For ground-based measurements, we used quality assured AERONET Sun photometer measurements of AOD at 500 nm for North American sites (Fig. 4). The Sun triplet measurements are performed every 15 minutes for older model 4 instruments and every 3 minutes for newer model 5 and CE318-T instruments (Giles et al., 2019). The AERONET data used in this study is cloud screened according to the AERONET V3 algorithm described in Giles et al. (2019). We used the Angstrom Exponents provided by the sun photometer within AERONET data to evaluate the model AOD at 580 nm. The Angstrom exponent (AE) represents the wavelength ( $\lambda$ ) dependency of AOD, provides basic information about the size distribution of aerosols and is calculated by the following formula:

$$AE = - \frac{d \ln(AOD)}{d \ln(\lambda)} \quad (19)$$

To calculate AOD at 580 nm, we used a variation of the above formula:

$$\frac{AOD_1}{AOD_2} = \left( \frac{\lambda_1}{\lambda_2} \right)^{-AE} \quad (20)$$

where  $AOD_1$  and  $AOD_2$  are the aerosol optical depth at 500 and 580 nm, and  $\lambda_1$  and  $\lambda_2$  are 500 nm and 580 nm wavelengths respectively. We used (440 nm - 675 nm) Angstrom Exponent to obtain the observed AOD at 580 nm, which was used to compare with GEM-MACH output AOD calculated as described in section 2.3.

| <b>Simulation Name</b> | <b>Date</b>  | <b>Improved MESSy-JVAL</b> | <b>Aerosol Feedback</b> | <b>AOD Calculations</b> |
|------------------------|--------------|----------------------------|-------------------------|-------------------------|
| <b>Sb</b>              | June 2018    | No                         | Yes                     | No                      |
| <b>S1</b>              | June 2018    | Yes                        | Yes                     | Yes                     |
| <b>S2</b>              | June 2018    | Yes                        | Yes                     | No                      |
| <b>S3</b>              | June 2018    | Yes                        | No                      | Yes                     |
| <b>S4</b>              | June 2018    | Yes                        | No                      | No                      |
| <b>W1</b>              | January 2018 | Yes                        | Yes                     | Yes                     |
| <b>W2</b>              | January 2018 | Yes                        | Yes                     | No                      |

**Table 4: List of simulations performed in this study.**

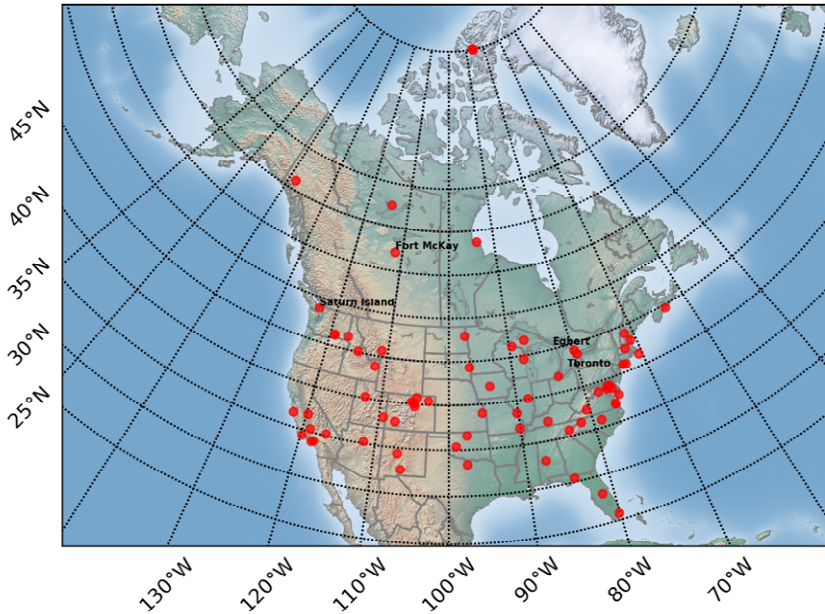
## 2.6 Simulation Plan

450 We performed two simulations for June 2018 with the previous and improved versions of MESSy-JVAL and compared the results with AERONET measurements, to investigate the effects of the modifications of the photolysis module. In addition, we carried out an additional set of six simulations with the improved version of MESSy-JVAL for the months January 2018 and June 2018. These simulations included different configurations for AOD calculations and interactive weather feedback in the GEM-MACH model, and were used to evaluate the effects of AOD calculations and the online aerosol feedback on the photolysis rates over the entire North American domain and over specific industrial and urban areas. A list of all the simulations is summarized in Table 4. The spatial and temporal resolutions are the same (as described in section 2.4) for all the simulations. Note that in the “no-feedback” simulations, aerosol optical (and cloud condensation nucleation) properties come from default climatological properties used in the GEM weather forecast model (Makar et al, 2015a, b). That is, our “no-feedback” simulation is not a “no aerosol” simulation – rather, the “no-feedback” simulation makes use of spatially invariant, “typical” optical properties of our weather forecast model. Having “no AOD” in this case means that model aerosol AOD had not been calculated since it is not used in the feedback code. Further, the aerosol feedback on meteorology code developed in a previous study for the GEM radiative transfer scheme (Makar et al, 2015a, b). In the feedback mode, GEM-MACH uses a separate Mie calculation generating a lookup-table online, using the particle mass size distribution predicted internally by MACH at each time step, but with a single typical complex refractive index. In contrast, our photolysis rate calculations and the AODs we calculate in the current work are decoupled from this feedback portion of the model. Our next step in this work is to further modify the GEM radiation code to include the particle chemical composition on-line, and our hybrid particle mixing state assumptions, as an alternative to the existing AOD calculation within the feedback portion of the code.

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470 **Figure 4: North American AERONET Sites. AOD data from these stations are used in this study.**

### 3 Results and Discussion

#### 3.1 Comparison of base and improved MESSy-JVAL

In this section, the results of two simulations with the GEM-MACH model for the month of June, 2018 is compared to AERONET sun photometer measurements of AOD at 500 nm at four North American sites (shown in Fig. 4): simulation Sb  
 475 with the previous version on MESSy-JVAL (base) and simulation S1 with the improved photolysis module (see Table 4). The simulated GEM-MACH AOD output was compared with AERONET sun photometer measurements of AOD at 580 nm for all North American sites in Fig. 4. As mentioned in section 2.5, we used (440 nm - 675 nm) Angstrom Exponent to obtain the AERONET AOD at 580 nm. The statistical analysis of the output from the two GEM-MACH simulations (base and improved) and AERONET AOD at 580 nm for June 2018 at four Canadian sites, Egbert, Fort McKay, Saturna Island and Toronto, are  
 480 shown in Fig. 5. As shown in these plots, the normalized mean bias ( $NMB = \frac{\sum_1^n (M-O)}{\sum_1^n (O)} \times 100$ ), which represents the mean paired differences between the model and measurements normalized by the mean measurements, is ranging within  $\pm 13\%$  for the improved version and 0%-150% for the base version. The NMB calculations from the improved version show an over-prediction of AOD in Saturna Island and an under-prediction of AOD in Toronto, Fort McKay and Egbert, whereas the base version shows a significant over-prediction of AOD for all four sites. The root mean square error (RMSE) is significantly  
 485 smaller in the improved version, with less variability around the mean as shown in the standard deviation ( $\sigma$ ) plots. The

correlation coefficient plots show better results with the improved version of MESSy-JVAL for all four sites. We calculated a correlation relation of  $R=0.17$  for the base run and  $R=0.37$  for the improved version for all North American AERONET sites. The base MESSy module uses a climatology for aerosol number density with one fixed vertical profile for grid cells over-land and another fixed vertical profile over water (see Table 1). The uniformity of the fixed profiles does not account for the real atmospheric variability resulting in the larger differences between model and observed aerosol optical depths for the base MESSy version.

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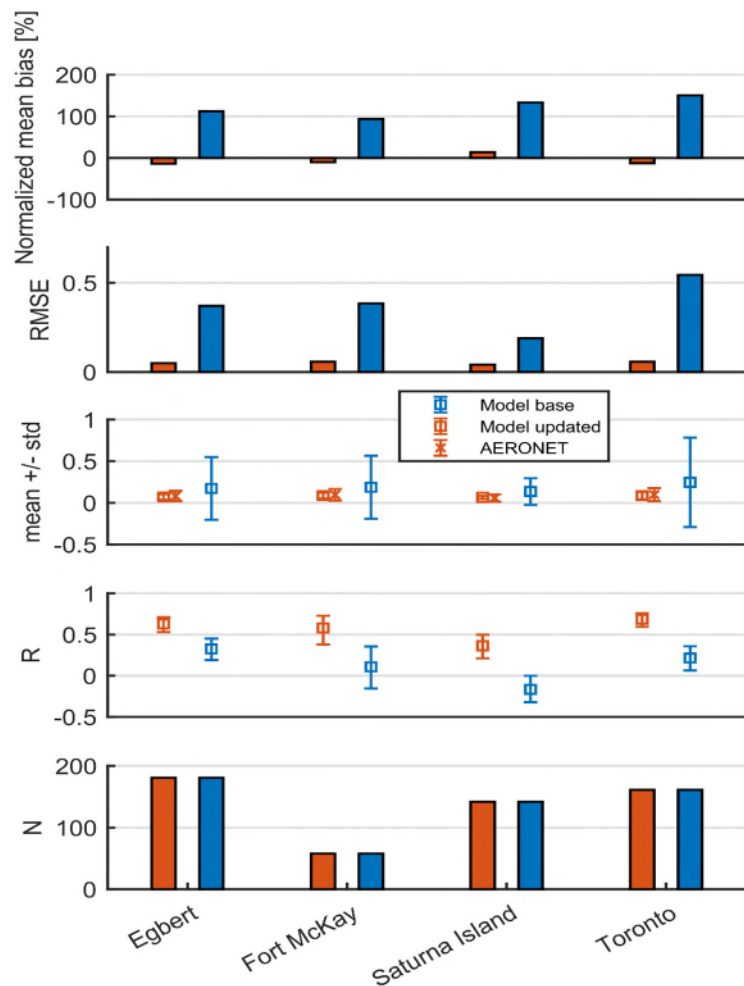


Figure 5: Statistical scores of GEM-MACH AOD with the previous (base) and the improved version of MESSy-JVAL versus AERONET AOD at 580 nm, on June 2018 at four Canadian AERONET sites, Egbert (44.23° N, -79.78° W), Fort McKay (57.18° N, -111.64° W), Saturna Island (48.78° N, -123.13° W) and Toronto (43.79° N, -79.47° W).

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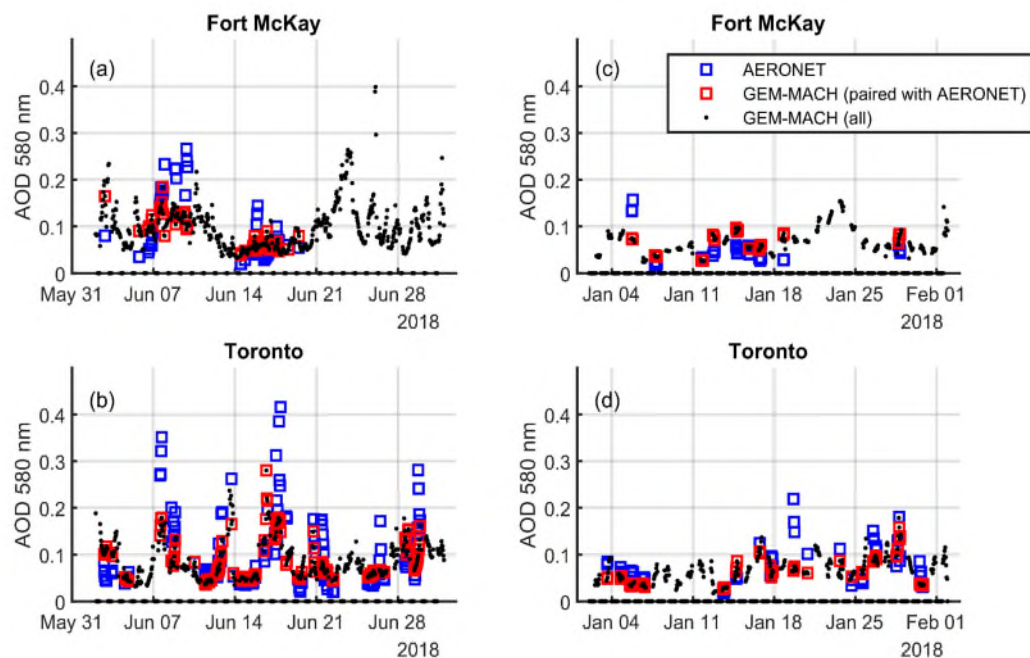
### 3.2 Evaluation of the improved MESSY-JVAL

In this section we evaluate the GEM-MACH output with the improved photolysis module against the observations and assess the effects of (1) AOD calculations (versus an assumed aerosol optical depth of zero) and (2) interactive aerosol feedback with the GEM model, on the resulting calculated photolysis rates (see Table 4).

#### 500 3.2.1 Comparison with observations

As described in section 2.6, we performed six simulations for the months of January and June 2018, using GEM-MACH model with the updated lookup table and photolysis module. We compared the simulated GEM-MACH AOD with AERONET sun photometer measurements of AOD at 580 nm (converted from AOD at 500 nm using (440 nm - 675 nm) Angstrom Exponent) for the entire simulation period (January and June 2018) and for all North American sites in Fig. 4.

505 Figure 6 illustrates the time series of AERONET and GEM-MACH hourly AOD output at 580 nm for Fort McKay and Toronto AERONET sites. The output from simulations S1 (with AOD calculations and online aerosol feedbacks, June 2018) and W1 with AOD calculations and online aerosol feedbacks, January 2018) were used to plot these time series.



510 **Figure 6: Time series of hourly AERONET and GEM-MACH AOD at 580 nm at Fort McKay (57.18° N, -111.64° W) and Toronto (43.79° N, -79.47° W) AERONET stations. Left panel: June 2018, right panel: January 2018. The zero simulated values of AOD (black dots on the x-axis) represent no calculation of molecular dissociation by sunlight in the model during nighttime hours.**

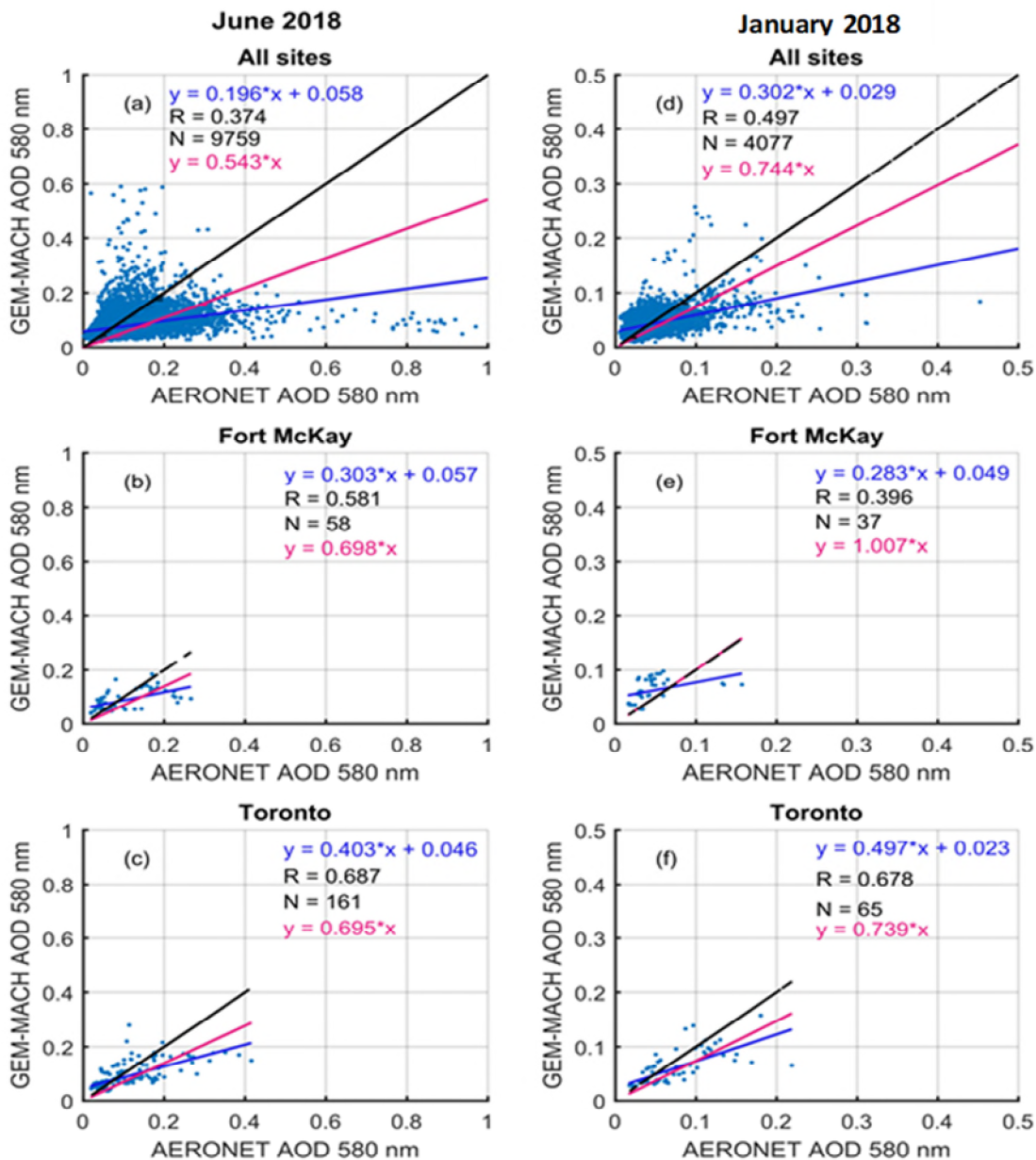
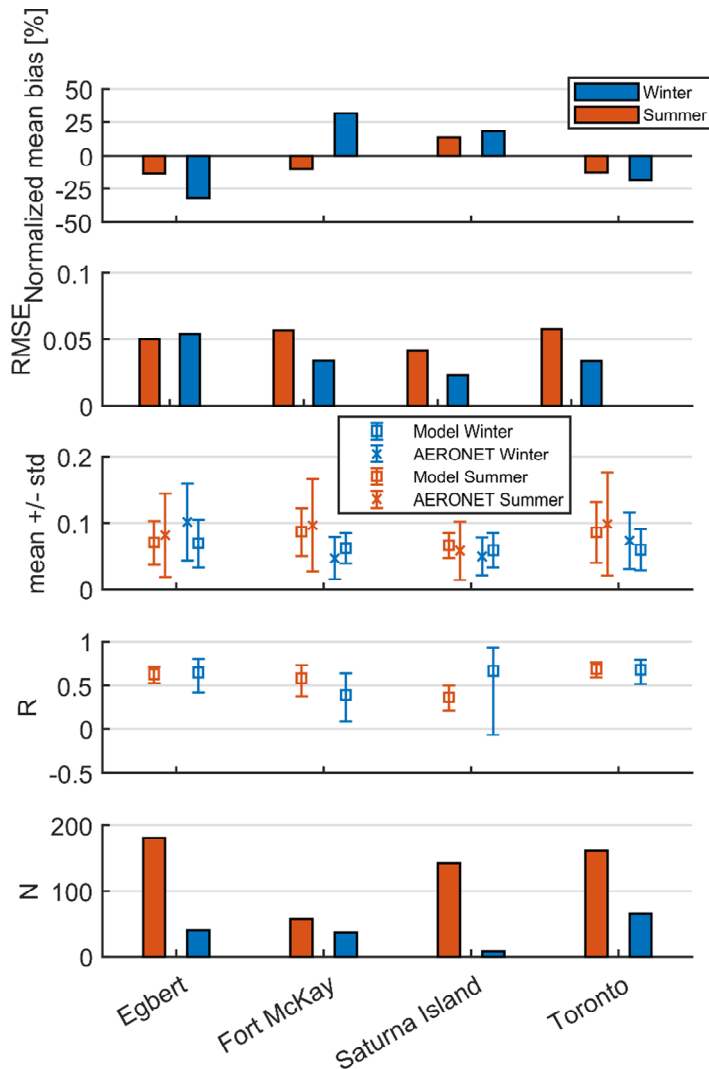


Figure 7: Scatter plots of AERONET AOD vs. GEM-MACH AOD at 580 nm for all North American sites, Fort McKay (57.18° N, -111.64° W) and Toronto (43.79° N, -79.47° W) AERONET stations. Correlation coefficient (R) is calculated for the line with dynamic intercept (blue line). Left panel: June 2018, right panel: January 2018. The blue line represents the linear fit and the red line is the zero-intercept linear fit. The 1:1 line is shown in black.

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As shown in this figure, the sample size for Toronto is larger than Fort McKay for both seasons. During the sampling period in summer of 2018, there was an instrument malfunction at Fort McKay from June 19 to August 20, which led to having a smaller number of data points at this site compared to Toronto. As shown in these time series, the maximum value of the

520 modelled AOD was underestimated compared to AERONET data for both sites and both simulation periods. For example, the maximum AERONET AOD for the month of June in Fort McKay and Toronto were measured  $\sim 0.27$  and  $\sim 0.42$  respectively, whereas the GEM-MACH AOD (simulations S1 and W1) were  $\sim 0.19$  and  $\sim 0.29$  for those sites.

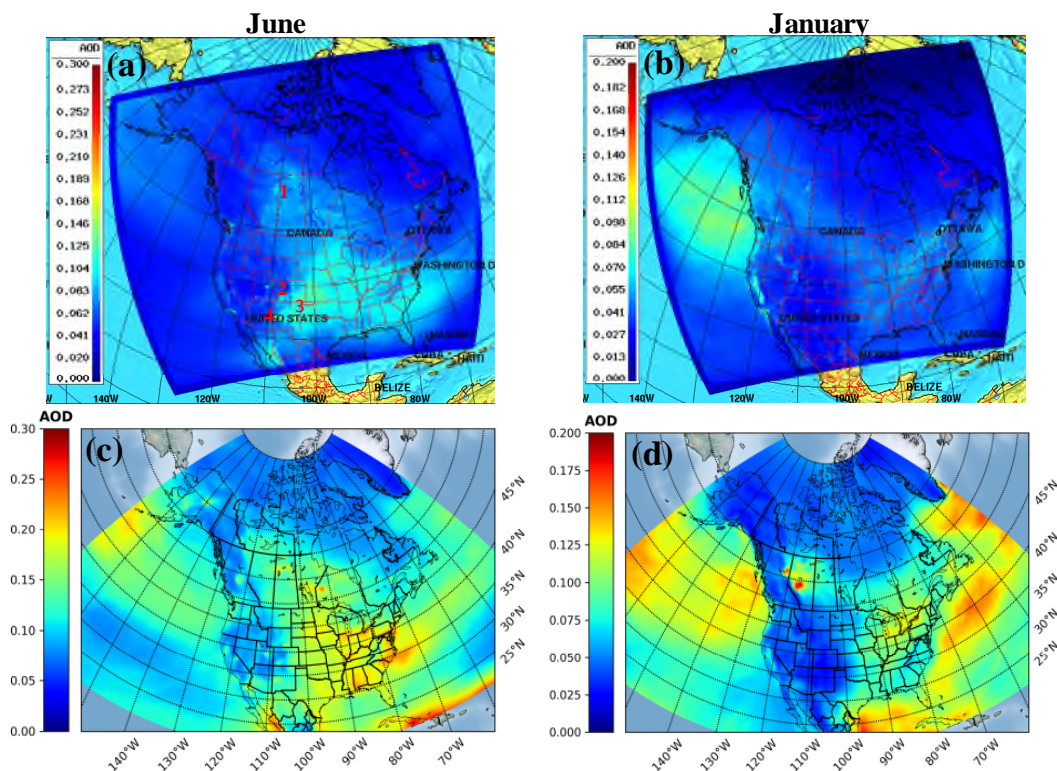


525 **Figure 8: Statistical analysis of GEM-MACH AOD versus AERONET AOD at 580 nm, on January and June 2018 at four Canadian AERONET sites, Egbert (44.23° N, -79.78° W), Fort McKay (57.18° N, -111.64° W), Saturna Island (48.78° N, -123.13° W) and Toronto (43.79° N, -79.47° W).**

530 Scatter plots of AERONET AOD vs. GEM-MACH AOD (from simulations S1 and W1) at 580 nm for all North American sites, Fort McKay and Toronto AERONET stations are shown in Fig. 7. The total number of measurements is  $N = 9759$  for summer and  $N = 4077$  for winter for all AERONET sites. As shown in the top row of Fig. 7, the correlation coefficient ( $R$ ) between the model and measurements is 0.37 for summer and 0.49 for winter. There is a higher correlation for Toronto compared to Fort McKay for both seasons.

The statistical scores of GEM-MACH vs. AERONET AOD at 580 nm for January and June 2018 at four Canadian AERONET sites, Egbert, Fort McKay, Saturna Island and Toronto are shown in Fig. 8. It should be noted that the number of coincident data points is considerably smaller in winter. As shown in these plots, the normalized mean bias (NMB) is ranging within  $\pm 13\%$  in summer and  $\pm 32\%$  in winter. The NMB calculations show an over-prediction of AOD in Saturna Island for both seasons and Fort McKay in winter, whereas there is an under-prediction of AOD in Toronto and Egbert for both seasons. The root mean square error (RMSE) plots show a better fit of the model AOD to measurements in winter season. The standard deviation ( $\sigma$ ) plots show more variability around the mean in AERONET measurements for both seasons compared to model values.

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**Figure 9: Monthly average daytime AOD at 580 nm from GEM-MACH and MERRA-2 model. Left column, June 2018 a) GEM-MACH AOD c) MERRA-2 AOD. Right column, January 2018 b) GEM-MACH AOD d) MERRA-2 AOD. Four major forest fire events in June 2018 shown in GEM-MACH output (a): (1) Lac La Loche forest fire, (2) 416 fire, (3) Ute Park fire (4) Gila National Forest fire.**

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Figure 9 shows the monthly averaged daytime AOD at 580 nm from GEM-MACH simulations and MERRA-2 re-analysis. The total monthly averaged aerosol Angstrom parameter (470 – 870 nm) and equation 20 were used to calculate MERRA-2 AOD at 580 nm (with different scales in summer and winter plots). As shown in the summer plots, GEM-MACH captured four major forest fire events during the month of June 2018: Lac La Loche forest fire in Saskatchewan (June 25, 2018), La Plata County's 416 fire in Colorado (June 1, 2018), Ute Park fire in New Mexico (June 1, 2018) and Gila National Forest fire

550 in New Mexico (May-July, 2018). Higher values of AOD over the Pacific Ocean over the month of January 2018 can be seen on both GEM-MACH and MERRA-2 plots. As shown in Fig. 9(d), there is an AOD hotspot over the central Alberta in January, which was not captured by GEM-MACH (Fig. 9(b)). The location of the hotspot in central Alberta in winter season in the MERRA-2 product is coincident with the location of coal-fired power plants and oil refinery emissions of SO<sub>2</sub>. This could be the result of different SO<sub>2</sub> emissions used in MERRA-2 and GEM-MACH models or an over-prediction error when sulfate  
555 aerosol is retrieved over a snow covered surface. Over the common domain, there is an underestimation of monthly average AOD in the GEM-MACH model compared to MERRA-2 for both seasons.

### 3.2.2 Sensitivity test to AOD calculations and aerosol feedback

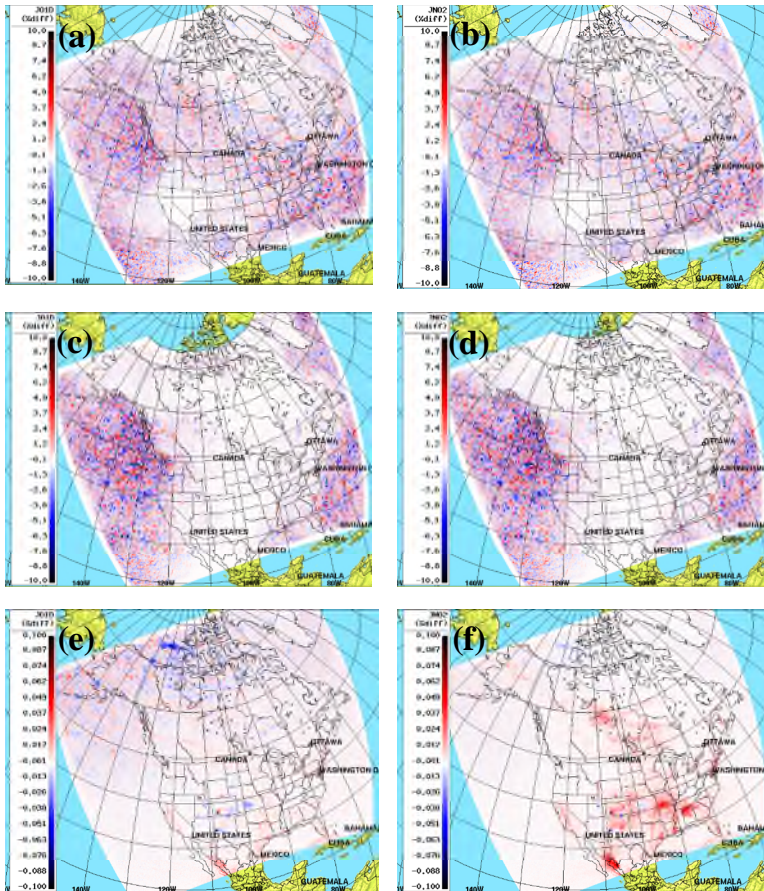
In this section we evaluate the impacts of AOD calculations on photolysis rates calculations with the improved photolysis module in the GEM-MACH model. We also assess the effects of the model-generated aerosols on the radiative transfer and  
560 cloud formation processes in GEM-MACH, through the comparisons of the optical properties of aerosols in the ‘feedback’ mode (Makar et al., 2015a, b) vs ‘no-feedback’ mode, in which the feedback mechanisms (interactions between meteorology and chemistry) have been disabled and the model uses climatological parameterizations for the aerosol direct and indirect effects.

Figure 10 shows the monthly average percentage difference in photolysis rate coefficients ( $J(O^1D)$  and  $J(NO_2)$ ) with and  
565 without AOD calculations in the photolysis module in GEM-MACH model. The top two panels are the simulation outputs with online aerosol feedback between weather and air-quality in the model. We used the output from simulations S1 and S2 to calculate the percentage difference in photolysis rates in Fig. 10(a) and (b), and the output from simulations W1 and W2 in Fig. 10(c) and (d). The percentage difference in summer (top row) and winter (second row) ranges from -10% to 10% and the spatial distribution of the changes does not vary significantly between  $J(O^1D)$  and  $J(NO_2)$ . The bottom row of Fig. 10 is the  
570 monthly average percentage difference in  $J(O^1D)$  and  $J(NO_2)$  at the lowest model level (June 2018) with and without AOD calculations. The output from simulations S3 and S4 were used in Fig. 10(e) and (f), meaning there is no online aerosol feedback in these simulations. As shown in Fig. 10(e) and (f), there is not a significant change in monthly-averaged photolysis rates (-0.1% to 0.1%) with no online aerosol feedback on weather in the model (Fig. 10 (e) and (f)). The increase in the photolysis rates differences, and the less organized structure of these changes over the domain in simulations with the online  
575 aerosol feedbacks, is due to the presence of the direct and indirect effects from meteorological changes such as changes in cloud patterns, and amplified chemistry perturbations due to weather feedback. The hotspots of greatest difference in Fig. 10 (f) reflect the direct effects on aerosol with no weather feedback in these simulations.

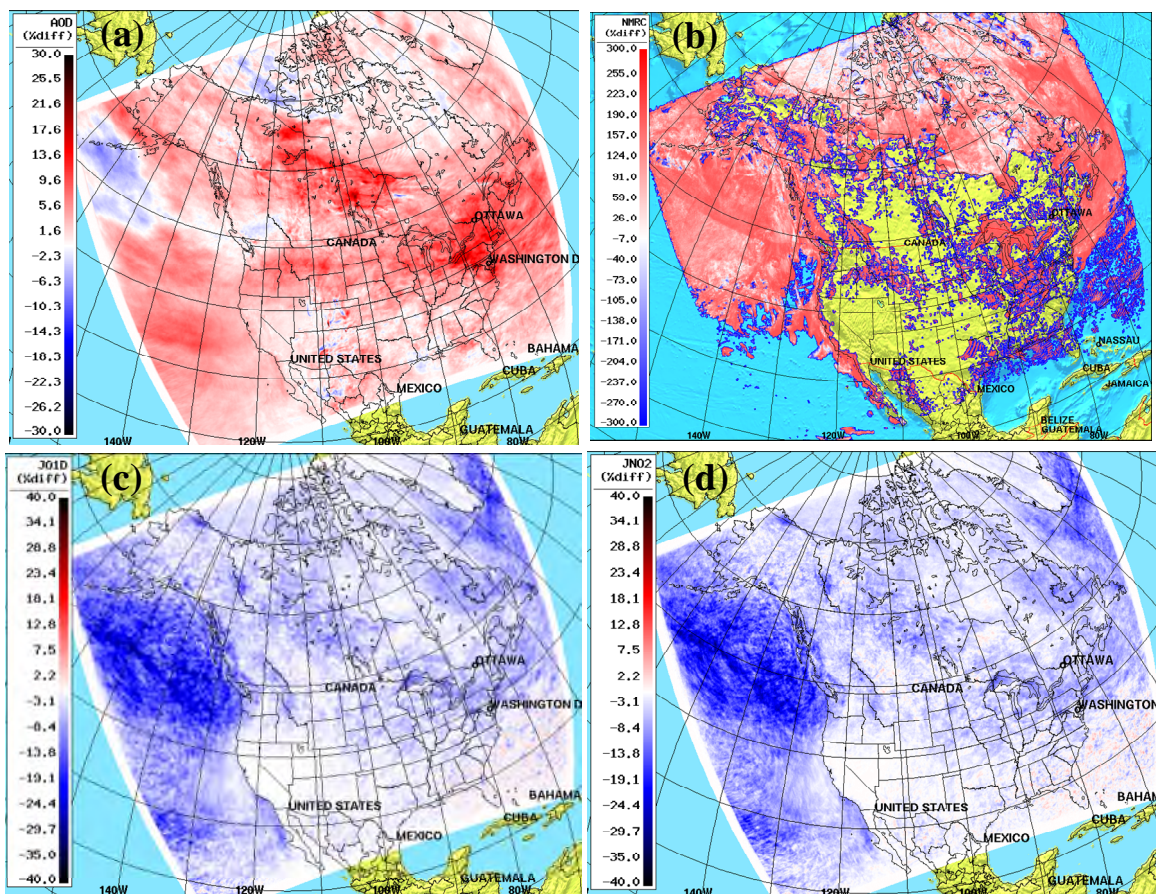
Figure 11 is the monthly average percentage difference in AOD, number mixing ratio of clouds at 820 hPa,  $J(O^1D)$  at the lowest model level, and  $J(NO_2)$  at the lowest model level for June 2018 with and without interactive aerosol feedback on  
580 weather in the model (simulations S1 and S3). This figure shows the effects of the interactive online aerosol feedback on the output of the photolysis module. Note that in both simulations we used AOD calculations in the photolysis module. As shown in this figure, AOD changes from -30% to 30% and J-values from -40% to 40% with and without the GEM-MACH predicted



aerosol concentrations in optical properties and photolysis rates calculations. The decrease of J-values over the continent, might be due to the increase in the cloud droplet density, and/or in-cloud formation of aerosols in the simulations with interactive aerosol feedback in the model. As we can see in Fig. 11, the moist marine air masses have the greatest impact on J-values change likely due to changes in low clouds. The areas impacted are mostly over the ocean or along ocean boundaries (northeast US/Canada, Northwest Territories, north Pacific). Over land, in convective air masses, there is less impact on J-values averaged over a region. These changes in humidity impact low clouds which, in turn, impact the radiative transfer and photolysis rates. The results from Fig. 10 and Fig. 11 suggest that the impacts of aerosol feedbacks as parameterized in the model are considerably greater than the impacts of the AOD calculations in the model.



**Figure 10:** Percentage difference  $\left( \frac{J_{aod} - J_{no-aod}}{(J_{aod} + J_{no-aod})/2} \times 100 \right)$  in daytime monthly-averaged photolysis rates ( $J(O^1D)$  and  $J(NO_2)$ ) at the lowest model level, with and without AOD calculations. a, b) June 2018 with online interactive aerosol feedback. c, d) January 2018 with online interactive aerosol feedback. e, f) June 2018 without online interactive aerosol feedback.



**Figure 11: Percentage difference in daytime monthly average (June 2018) in (a) AOD (b) number mixing ratio of clouds at 820 hPa (~1.75 km above sea level), (c) JO<sup>1</sup>D at the lowest model level, and (d) JNO<sub>2</sub> at the lowest model level, with and without online aerosol feedbacks.**

600 Histograms and the statistical scores of hourly percentage difference in J(O<sup>1</sup>D) at Fort McKay and Toronto AERONET stations at the lowest model level, with and without AOD calculations in June 2018 are shown in Fig. 12 and Table 5. With the interactive aerosol feedbacks in the model (simulations S1 and S2), the standard deviation for Fort McKay and Toronto stations are 29.3 and 28.7 respectively, showing the average of approximately 29% change in J(O<sup>1</sup>D) around the mean, with more frequent changes within  $\pm 10\%$  for both stations. The 95<sup>th</sup> percentile shows that 95% of the data points (percentage difference in J(O<sup>1</sup>D) values) are below ~36.2% for Fort McKay and ~42% for Toronto (Fig. 12(a), (b)). In the cases without the online aerosol feedback in the model (simulations S3 and S4), the standard deviation for both stations is considerably smaller (0.55 for Toronto and 0.21 for Fort McKay) and the values of percentage difference in J(O<sup>1</sup>D) are more clustered around the mean (Fig. 12(c), (d)). The more-organized changes in photolysis rates in the no-feedback case, is due to including only the direct effect of photolysis on aerosols, whereas, the effect of clouds and in-cloud formation of aerosols in the feedback case leads to more variability in the photolysis rate changes between simulations S1 and S2.

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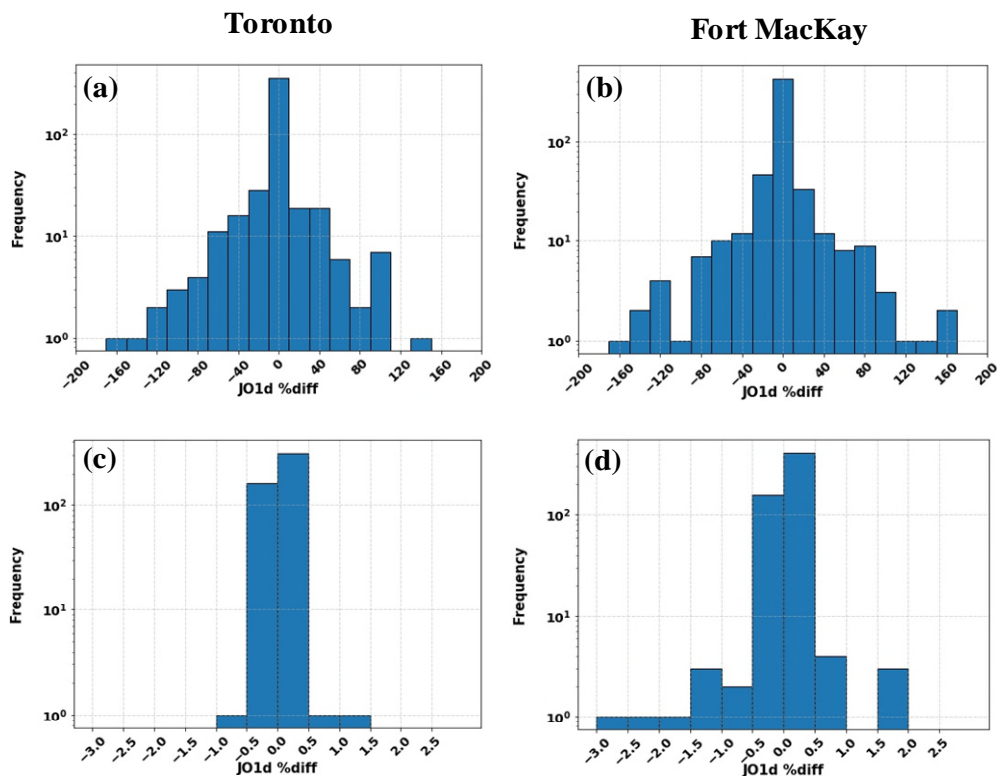


Figure 12: : Histograms of percentage difference of hourly JO<sup>1</sup>D output for June 2018 at Toronto and Fort McKay AERONET stations at the lowest model level, with and without AOD calculations. (a), (b) are with aerosol feedbacks and (c), (d) are without aerosol feedbacks. Nighttime data (JO<sup>1</sup>D=0) was omitted from the analysis.

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| Percentage Difference in J(O <sup>1</sup> D) |                        |         |                           |         |
|--|------------------------|---------|---------------------------|---------|
|  | With Aerosol Feedbacks |         | Without Aerosol Feedbacks |         |
|  | Fort McKay             | Toronto | Fort McKay                | Toronto |
| Standard Deviation                           | 29.25                  | 28.7    | 0.213                     | 0.547   |
| Mean   | -0.96                  | -0.65   | -0.006                    | 0.035   |
| Median                                       | 0.0                    | 0.0     | 0.0                       | 0.0     |
| 5 Percentile                                 | -38.87                 | -44.63  | -0.103                    | -0.062  |
| 25 Percentile                                | -1.45                  | -0.31   | -0.003                    | -0.012  |
| 75 Percentile                                | 0.60                   | 0.09    | 0.007                     | 0.016   |
| 95 Percentile                                | 36.17                  | 41.94   | 0.214                     | 0.134   |

Table 5: Statistical scores of percentage difference of J(O<sup>1</sup>D), with and without AOD calculations  $\left(\frac{J_{aod}-J_{no-aod}}{(J_{aod}+J_{no-aod})/2} \times 100\right)$  at Fort McKay and Toronto AERONET stations. From one-month GEM-MACH J(O<sup>1</sup>D) output at the lowest model level on June 2018.



### 3.2.3 Case study: Lac La Loche forest fire

In order to evaluate the impacts of the modifications of the photolysis calculations on air quality under high pollutant flux emissions conditions, we study a forest fire case in the Lac La Loche area in the northwestern corner of the province of Saskatchewan of Canada on June 25, 2018 (Fig. 13).

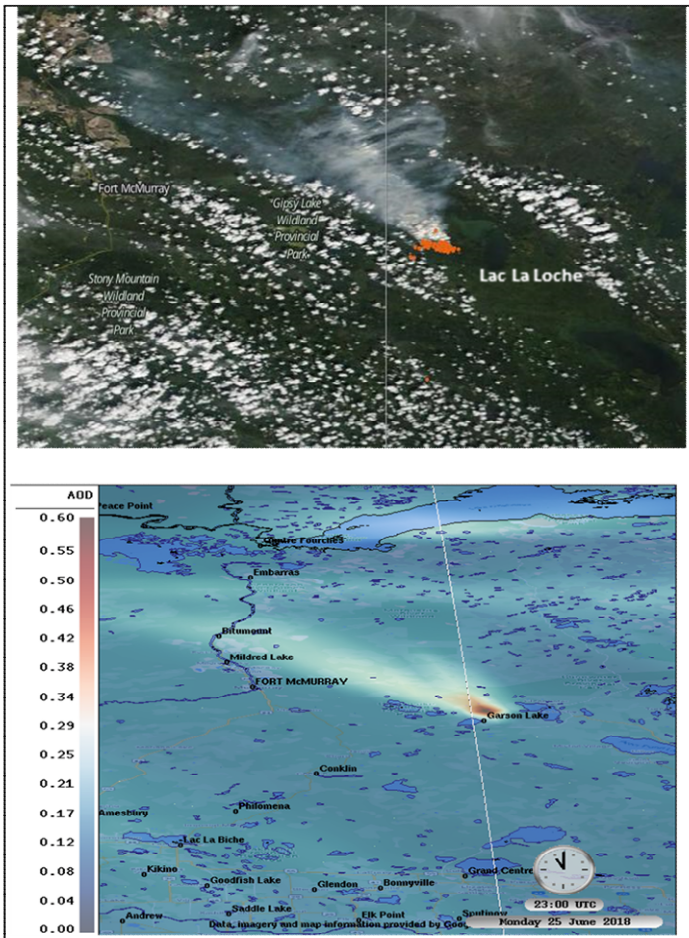


Figure 13: : Lac La Loche forest fire on June 25, 2018. Top : Overlay layer: MODIS-Terra thermal anomalies (day and night), over MODIS Terra true color corrected reflectance (Image from: <https://worldview.earthdata.nasa.gov>). Bottom: GEM-MACH AOD at 580 nm at 23:00 UTC.

Figure 14(a) shows the daily AOD at 550 nm from the MAIAC, Fig. 14(b) is the daily AOD at 550 nm from the VIIRS, and Fig. 14(c) is GEM-MACH AOD at 580 nm over La Loche area at 23:00 UTC on June 25, 2018. Both MAIAC and VIIRS plots (Fig. 14(b) and 14(c)) show two areas of maxima; one is directly over the forest fire plume, similar to the hotspot on GEM-MACH plot (Fig. 14(c)), and a weaker hotspot over the north-east of the major forest fire plume, which is more intensified compared to the GEM-MACH secondary hotspot. The aging of the major fire plume downwind is evident in all

three plots. The maximum GEM-MACH AOD (0.625) is underestimated compared to the MAIAC (maximum of 3) and VIIRS (maximum of 1.7). One possible explanation for this underestimation could be the potential deficiencies in Oil Sands emissions or aerosol processes in this simulation. Curci et al (2015) suggest that AOD underpredictions may be a common problem for current air-quality models.

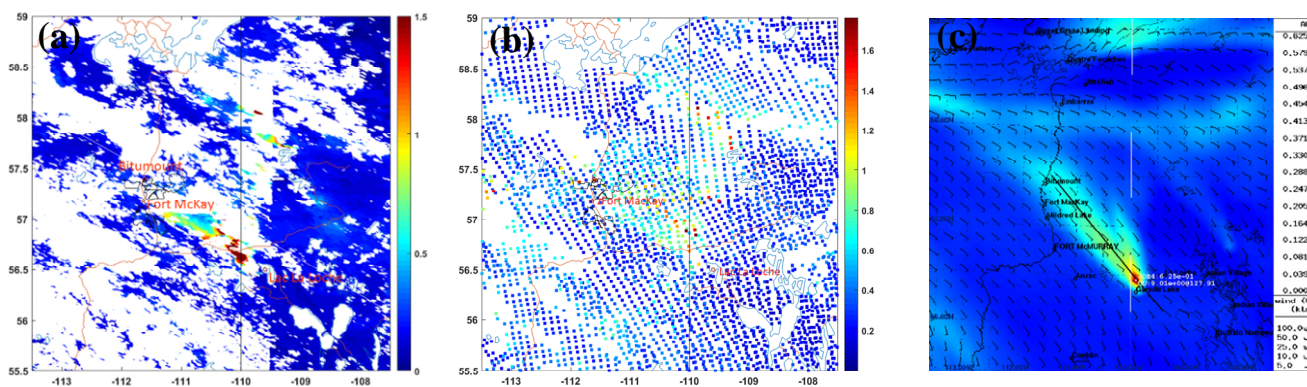


Figure 14: Lac La Loche forest fire, June 25, 2018 (a): MAIAC daily AOD at 550 nm, (b): VIIRS daily AOD at 550 nm and (c): GEM-MACH AOD at 580 nm and horizontal wind bars at 23:00 UTC. The color bar scale on VIIRS and GEM-MACH plots (b and c) show the true maximum values. The maximum AOD value in the MAIAC plot (maximum of 3) was scaled down to illustrate the fire plume. The cross sections in Fig. 15, Fig. 16 and Fig. 17 are plotted along the black line in (c).

Figure 15 shows the aerosol concentrations and photolysis rates cross sections over the black solid line in Fig. 15(c). There are multiple injected fire plumes and they move off in different directions as a result of different plumes reaching different heights in the atmosphere as well as variation over time. The model captures the branch moving toward Fort MacKay but not the branches that move farther north. Only the branch traveling to Fort MacKay is shown in the vertical cross section. While this aged plume still has character originating from the forest fire, it does not originate from all the fire emissions near Lac La Loche. As shown in Fig. 15(a),  $O_3$  is impacted by titration below the PBL (Planetary Boundary Layer), and there is a low concentration of ozone right above the fire plume. Higher concentrations of  $O_3$  can be seen downwind below the PBL. As the fire plume continues to dilute with distance downwind, the  $NO_x$  concentration in the boundary layer (not shown) decreases and eventually reaches an optimal concentration range for efficient ozone production. This is illustrated in Fig. 15(a) as ozone increases to near 80 ppbv about 170 km downwind from the fire. Figure 15(b) shows the depletion of hydroxyl radical (OH) in the fire plume below the PBL and a maxima above the boundary layer, where there is a high concentration of  $O_3$  and low concentration of VOC (Volatile Organic Compound) to deplete OH. The increase in OH downwind from the fire plume is in response to the  $O_3$  increase, reaching a maximum value of  $\sim 2.3 \times 10^{-4} \mu\text{g kg}^{-1}$  ( $\sim 7.5 \times 10^6 \text{ molecules cm}^{-3}$ ) in the upper boundary layer  $\sim 700\text{-}800 \text{ hPa}$ . The increase in OH concentration results in delayed production of secondary aerosol components. The  $PM_{2.5}$  predicted in the forest fire plume (Fig. 15(c)) reached a maximum value of  $65 \mu\text{g m}^{-3}$  near the surface and remained above  $10 \mu\text{g m}^{-3}$  up to 800 hPa. As shown in Fig. 15(d), the fire plume was predicted to penetrate above the boundary layer height due to the black carbon plume mixing up to 600 hPa. The black carbon concentration decay with distance illustrates the extent of dilution of directly emitted  $PM_{2.5}$  components as it mixes horizontally. As shown in Fig. 15(e), the concentration of

SOA increases downwind from the fire plume. Similarly, the concentration of nitrate (Fig. 15(f)) increases downwind between 900 hPa and the boundary layer due to secondary production and the long-lived nature of nitrate. The attenuation of radiation by the fresh fire plume is illustrated by the decrease in  $J(\text{NO}_2)$  below the PBL from  $1.26 \times 10^{-2} \text{ s}^{-1}$  at  $\sim 700 \text{ hPa}$  to  $4.52 \times 10^{-3} \text{ s}^{-1}$  at the surface and  $J(\text{O}^1\text{D})$  from  $1.83 \times 10^{-5} \text{ s}^{-1}$  at  $\sim 700 \text{ hPa}$  to  $5.48 \times 10^{-6} \text{ s}^{-1}$  at the surface (Fig. 15(g) and (h)). The photolysis rates decrease with distance is due to the attenuation of radiation by the directly emitted fire  $\text{PM}_{2.5}$  components.

In order to evaluate the effects of aerosol optical properties in aerosol concentrations and photolysis rates in Lac La Loche fire event, we calculated the percentage difference in aerosol concentrations and photolysis rates with and without AOD calculations (simulations S1 and S2). Figure 16 shows the percentage difference of aerosol concentrations and photolysis rates cross sections with and without AOD calculations over the Lac La Loche forest fire event on June 25, 2018 (black solid line in Fig. 15(c)). Figure 16(a) shows the reduction of  $\text{O}_3$  in the fresh fire plume below the PBL due to the decrease in  $J(\text{O}^1\text{D})$ , reaching a difference close to 1% to 6% from the surface up to the mid-boundary layer. The OH radical concentration difference (Fig. 16(b)) responds to the  $\text{O}_3$  change and decreases by 10% to 20% from the surface up to the boundary level, due to the AOD feedback on the photolysis rates. This affects the rate of production of secondary aerosol components such as nitrate (Fig. 16(f)) and secondary organic material (Fig. 16(e)), although there is a small decrease of up to 7% in nitrate concentration in the fresh plume. There is a reduction in  $J(\text{NO}_2)$  and  $J(\text{O}^1\text{D})$  (Fig. 16(g) and (h)) in the fire plume below the boundary layer. As shown in Fig. 16(c) and (d), after including AOD calculations in the model, the concentrations of  $\text{PM}_{2.5}$  and black carbon decrease by 5% in the fire plume from the surface to 900 hPa and increase by 5% above 900 hPa and below the boundary layer. The changes in black carbon concentration ( $\pm 5\%$ ) in Fig. 16(d) at the surface up to the mid-boundary layer are mostly due to aerosol feedbacks on meteorology.

Figure 17 is the percentage difference in aerosol concentrations and photolysis rates, with and without AOD calculations and no aerosol feedbacks in the model (simulations S3 and S4). As shown in Fig. 17(c), (d) and (e), without the interactive aerosol feedbacks in the model, there is an insignificant difference ( $-0.2\%$  to  $0.2\%$ ) in  $\text{PM}_{2.5}$ , black carbon and SOA concentrations compared to the difference in concentrations from simulations S1 and S2 ( $-50\%$  to  $50\%$  with aerosol feedbacks). Similarly, there is  $-1\%$  to  $1\%$  difference in  $\text{O}_3$  concentrations (Fig. 17(a)) from simulations S3 and S4. The variability in the production of OH (Fig. 17(b)) by the photolysis of  $\text{O}_3$  is more considerable ( $-5\%$  to  $5\%$ ). The decrease in the OH concentration with primary-aerosol-component photolysis attenuation results in lower secondary aerosol production downwind, which in turn, slowly counters the primary aerosol attenuation and increases the photolysis rates (maximum of  $< 1\%$ ). The increased photolysis difference results in a positive difference between the ozone and OH concentrations. The predicted OH increases downwind reaching a maximum of  $1\%$ .

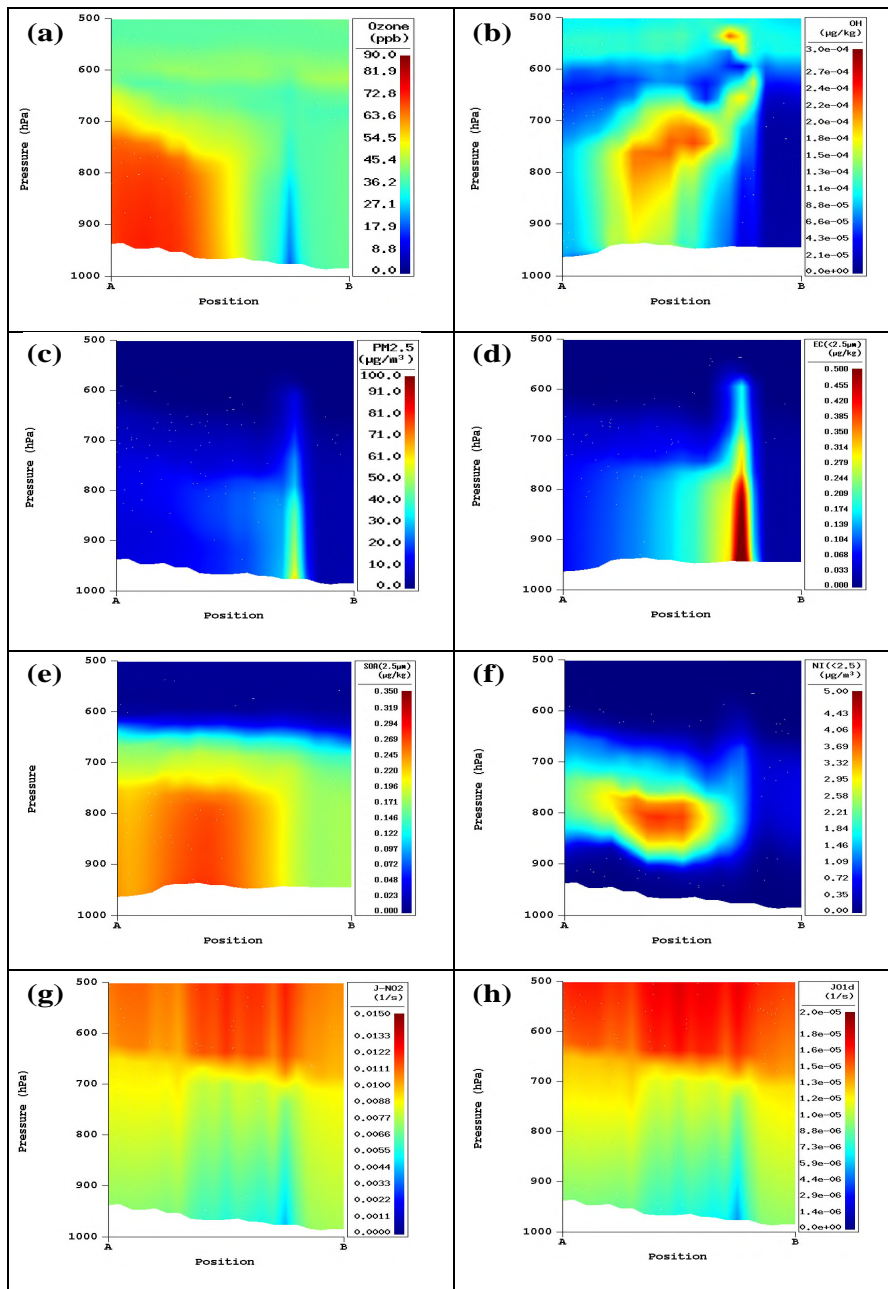


Figure 15: Cross sections of gas and aerosol concentrations and photolysis rates with aerosol feedbacks over the line shown in Fig. 14(c), Lac La Loche forest fire. June 25, 2018 at 23:00 UTC. a: ozone (ppb), b: hydroxyl radical ( $\mu\text{g kg}^{-1}$ ), c: PM<sub>2.5</sub> ( $\mu\text{g m}^{-3}$ ), d: EC (<math><2.5\mu\text{m}</math>,  $\mu\text{g kg}^{-1}$ ), e: nitrate (<math><2.5\mu\text{m}</math>,  $\mu\text{g m}^{-3}$ ), f: SOA (<math><2.5\mu\text{m}</math>,  $\mu\text{g kg}^{-1}$ ), g: J(NO<sub>2</sub>) ( $\text{s}^{-1}$ ), h: J(O<sup>1</sup>D) ( $\text{s}^{-1}$ ).

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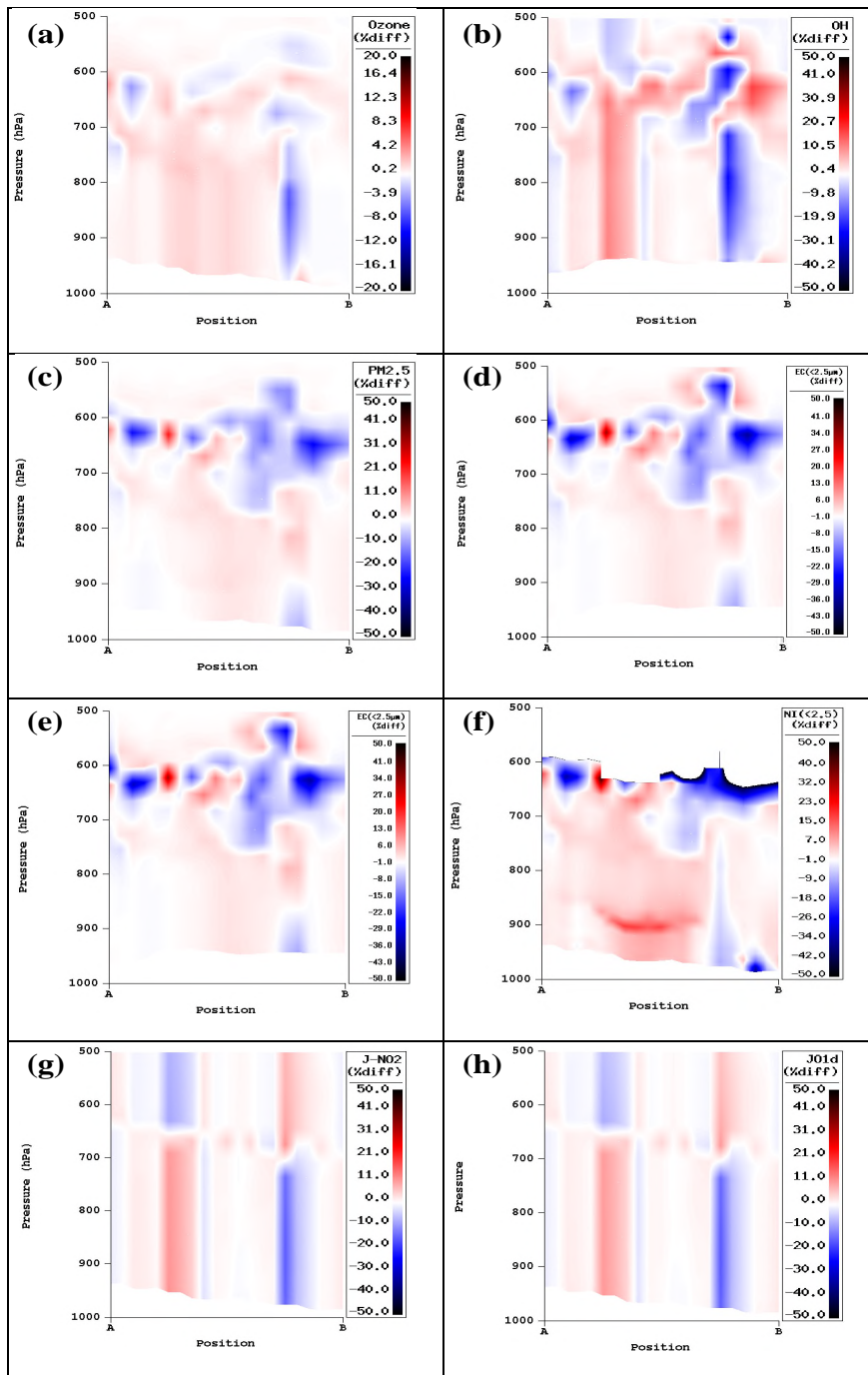


Figure 16: Cross sections of percentage difference in gas and aerosol concentrations and photolysis rates with and without AOD calculations (with aerosol feedbacks) over the line shown in Fig. 14(c). Lac La Loche forest fire. June 25, 2018 at 23:00 UTC. a: ozone (ppb), b: hydroxyl radical ( $\mu\text{g kg}^{-1}$ ), c:  $\text{PM}_{2.5}$  ( $\mu\text{g m}^{-3}$ ), d: EC (<2.5  $\mu\text{m}$ ,  $\mu\text{g kg}^{-1}$ ), e: nitrate (<2.5  $\mu\text{m}$ ,  $\mu\text{g m}^{-3}$ ), f: SOA (<2.5  $\mu\text{m}$ ,  $\mu\text{g kg}^{-1}$ ), g:  $J(\text{NO}_2)$  ( $\text{s}^{-1}$ ), h:  $J(\text{O}^1\text{D})$  ( $\text{s}^{-1}$ ).

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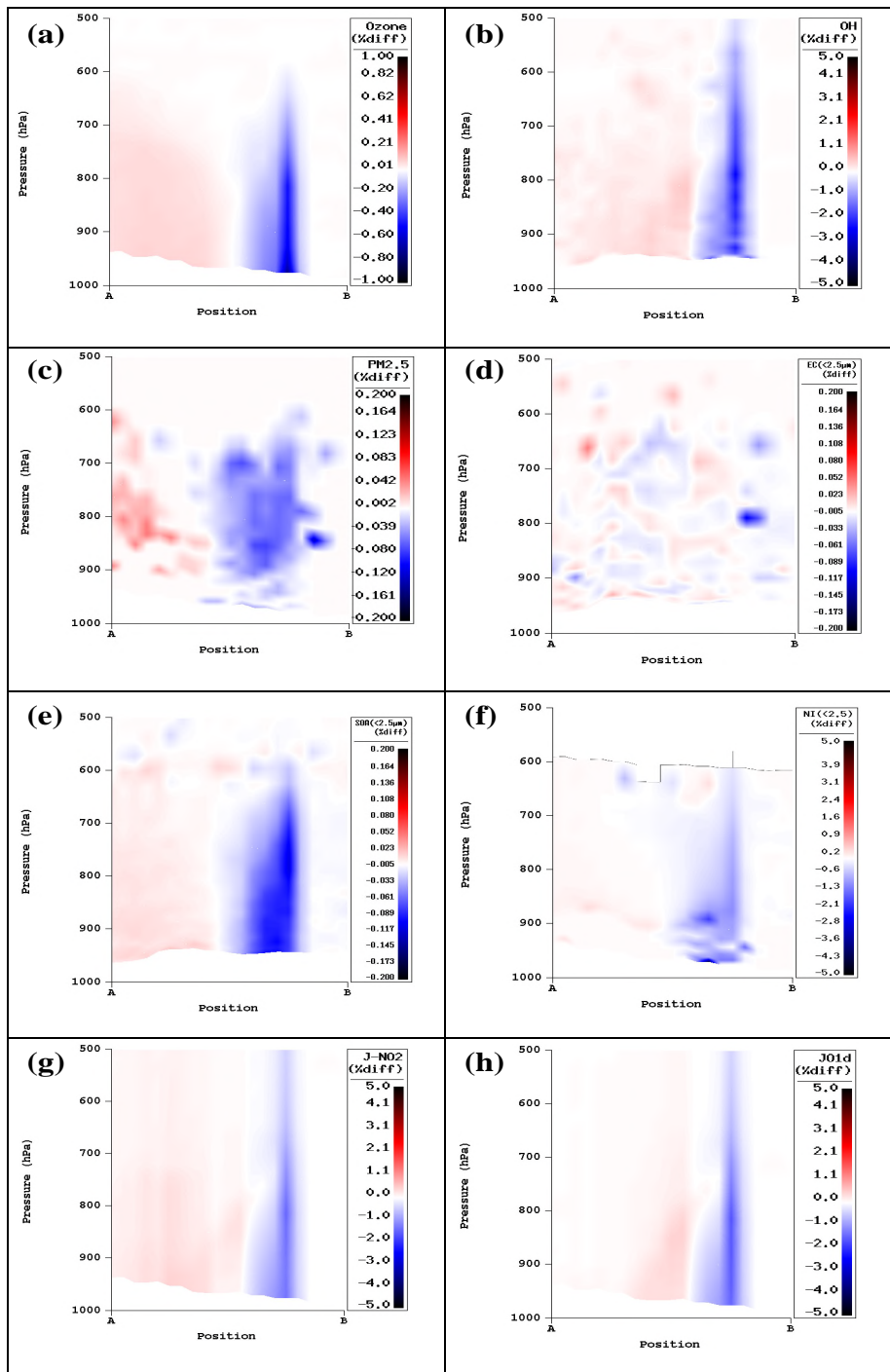


Figure 17: Cross sections of percentage difference in aerosol concentrations and photolysis rates with and without AOD calculations (no aerosol feedbacks) over the line shown in Fig. 14(c). Lac La Loche forest fire. June 25, 2018 at 23:00 UTC. a: ozone (ppb), b: hydroxyl radical ( $\mu\text{g kg}^{-1}$ ), c: PM<sub>2.5</sub> ( $\mu\text{g m}^{-3}$ ), d: EC (<2.5  $\mu\text{m}$ ,  $\mu\text{g kg}^{-1}$ ), e: nitrate (<2.5  $\mu\text{m}$ ,  $\mu\text{g m}^{-3}$ ), f: SOA(<2.5  $\mu\text{m}$ ,  $\mu\text{g kg}^{-1}$ ), g: J(NO<sub>2</sub>) (s<sup>-1</sup>), h: J(O<sup>1</sup>D) (s<sup>-1</sup>).

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#### 4 Summary and Conclusions

A new lookup table for aerosol optical properties based on a Mie scattering code was calculated and adopted within an improved version of the photolysis module in the GEM-MACH in-line chemical transport model, by interpolating the optical properties of aerosols into GEM-MACH wavelengths and size bins. The modified version of the photolysis module makes use of online interactive aerosol feedback for radiative transfer calculations. Additionally, for particle size bins with black carbon mass fraction of less than 40%, a lensing correction factor to the black carbon absorption efficiency based on Bond et al. (2006) core-shell parameterizations was applied. The comparison of the improved version of MESSy-JVAL with the previous version showed significant improvements in the model performance with the implementation of the new photolysis module and adopting the online interactive aerosol concentrations in GEM-MACH. The calculated correlation coefficient,  $R$ , between the one-month hourly (June 2018) model and AERONET measurements for all North American sites was 0.17 with the previous offline version of MESSy-JVAL and 0.37 with the improved version of the photolysis module.

A series of simulations using the improved photolysis module were performed for two months, January 2018 and June 2018, and the results were compared with MAIAC gridded daily average AOD data, level 2 VIIRS AOD data, re-analysis products (MERRA-2) and ground-based measurements (AERONET). The monthly averaged AOD from the GEM-MACH output with the improved version of MESSy\_JVAL showed an under-prediction of AOD over the common domain for both seasons. Detailed evaluations of AOD calculations over all North American AERONET sites for two months were performed and the model output was compared with observed AOD at each individual site. Model comparisons with observations at four Canadian AERONET sites showed good correlation with observations for both seasons. However, the correlation coefficient,  $R$ , shows better results for AERONET stations located further south in the domain with similar number of paired data points, e.g., Toronto (43.79° N, -79.47° W) and Egbert (44.23° N, -79.78° W) vs. Saturna Island (48.78° N, -123.13° W) in summer and Fort McKay (57.18° N, -111.64° W) in winter. Similarly, the NMB calculations show an over-prediction of AOD in Saturna Island for both seasons and Fort McKay in winter, whereas there is an under-prediction of AOD in Toronto and Egbert for both seasons. In addition, we calculated a higher correlation coefficient between the model and measurements for urban AERONET sites for both simulation periods (e.g., Toronto vs. Fort McKay). Further investigations on the aerosol processes and emissions at these sites is needed to assess the effects of different climatological and meteorological conditions on photolysis rate calculations.

The sensitivity test to aerosol feedback demonstrates the effects of the model predicted aerosol distribution in the modified photolysis rate calculations. As shown in this study, there was up to  $\pm 40\%$  change in monthly averaged photolysis rate calculations with and without online aerosol feedback in the model, whereas with no aerosol feedback in the model this change is very small ( $-0.1\%$  to  $0.1\%$ ) between the runs with and without AOD calculations. The sensitivity tests to AOD calculations show a monthly average change of  $\pm 10\%$  in photolysis rate coefficients over the North American domain, while as shown in the forest fire case study this number can be as high as  $\pm 50\%$  in the fire plume. This study also showed the impact of aerosol feedbacks as parameterized in the model to be considerably greater than the effects of the AOD calculations (by a factor of 3

to 4) on the photolysis rates over the entire domain. Furthermore, this study highlights the importance of model simulations of AOD where satellite observations are obscured by cloud cover.

Further investigation of the effects of the improved photolysis module with a nested configuration of GEM-MACH 10-km domain to a 2.5 km Athabasca Oil Sands domain is needed for more detailed comparisons of model output with observations under the Oil Sands Monitoring Program, 2018 aircraft campaign. This new model capability will also enable us to use model predicted AOD on regional scales over the boreal forest to assess future improvements in biogenic VOC emissions and SOA processes in GEM-MACH, by comparing with clear-sky satellite-derived AOD.

**Data availability:** GEM-MACH, the atmospheric chemistry library for the GEM numerical atmospheric model (©2007–2013, Air Quality Research Division and National Prediction Operations Division, Environment and Climate Change Canada), is a free software which can be redistributed and/or modified under the terms of the GNU Lesser General Public License as published by the Free Software Foundation – either version 2.1 of the license or any later version. The modified MACH (chemistry) code with the improved photolysis module can be downloaded from the Zenodo website: <https://zenodo.org/record/5042514#.YNtY8hGSmPo>. The GEM (meteorology) code (Canadian Meteorological Centre, 2018) is available to download from the website: <https://github.com/mfvalin?tab=repositories> (last access: 25 June 2021). The executable for GEM-MACH is obtained by providing the chemistry library to GEM when generating its executable. Many of the emissions data used in our model are available online at ECCC web page at <http://donnees.ec.gc.ca/data/air/monitor/source-emissions-monitoring-oil-sands-region/source-emissions-oil-sands-region/?lang=en> (ECCC, 2018) and more recent updates may be obtained by contacting Junhua Zhang or Mike Moran ([junhua.zhang@ec.gc.ca](mailto:junhua.zhang@ec.gc.ca); [mike.moran@ec.gc.ca](mailto:mike.moran@ec.gc.ca)). The model output is available upon request to Craig Stroud ([craig.stroud@ec.gc.ca](mailto:craig.stroud@ec.gc.ca)). The AERONET version 3 AOD datasets are publicly available from the AERONET website (<https://aeronet.gsfc.nasa.gov/>, last access: 5 February 2020). MERRA-2 Aerosol Optical Depth Analysis V5.12.4 was obtained from the publicly accessible Goddard Earth Sciences Data and Information Services Centre platform (GIOVANNI: <https://giovanni.gsfc.nasa.gov/giovanni/>, last access: 1 October 2020). The MAIAC data were obtained from NASA's Land Processes Distributed Active Archive Center (LP DAAC) located at the USGS Earth Resources Observation and Science (EROS) Center (<https://e4ftl01.cr.usgs.gov/MOTA/MCD19A2.006/>, last access: 30 August 2021). The VIIRS data were obtained from NOAA's (National Oceanic and Atmospheric Administration) Comprehensive Large Array-Data Stewardship System (CLASS; <https://www.avl.class.noaa.gov/saa/products/welcome>, last access: 31 August 2021).

**Author contributions:** CAS and MM were responsible for the design, calculations and methodology of this study. MM developed and implemented the improved code into the photolysis module in GEM-MACH, performed the analysis of the model output and wrote the manuscript under the supervision of CAS, with assistance from PAM. CS was responsible for the satellite (MAIAC and VIIRS) data retrieval and plotting, and the calculation of the initial version of the updated lookup table for aerosol optical properties based on the model developed by CM. AA provided support with GEM-MACH model and



CFFEPS emissions. MDM contributed emissions data used in the modelling. IA and XZ provided and performed data analysis with AERONET measurements. JC developed the previous off-line version of the photolysis module in GEM-MACH and is the lead developer of the ECCC FireWork System.

**Competing interests:** The authors declare that they have no conflict of interest.

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775 Archive Center (LP DAAC) located at the USGS Earth Resources Observation and Science (EROS) Center, and the VIIRS  
data were obtained from NOAA's (National Oceanic and Atmospheric Administration) Comprehensive Large Array-Data  
Stewardship System (CLASS). We acknowledge the mission scientists and Principal Investigators for the satellite and re-  
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independent of any position of the OSM Program.

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