



1 Improvements to the representation of BVOC chemistry-climate

2 interactions in UKCA (vn11.5) with the CRI-Strat 2 mechanism:

3 Incorporation and Evaluation

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- Abstract We present the first incorporation of the Common Representative Intermediates version 2.2 tropospheric chemistry mechanism, CRI v2.2, combined with stratospheric chemistry, into the global chemistry-climate United Kingdom Chemistry and Aerosols (UKCA) model to give the CRI-Strat 2 mechanism. A rigorous comparison of CRI-
- 27 Strat 2 with the earlier version, CRI-Strat, is performed in UKCA in addition to an evaluation of three mechanisms,
- 28 CRI-Strat 2, CRI-Strat and the standard UKCA chemical mechanism, StratTrop vn1.0, against a wide array of surface
- and airborne chemical data.
- 30
- 31 CRI-Strat 2 comprises a state-of-the-art isoprene scheme, optimised against the MCM v3.3.1, which includes isoprene
- 32 peroxy radical isomerisation, HOx-recycling through the addition of photolabile hydroperoxy aldehydes (HPALDs)
- 33 and IEPOX formation. CRI-Strat 2 also features updates to several rate constants for the inorganic chemistry including
- 34 the reactions of inorganic nitrogen and O(¹D).





- 36 The update to the isoprene chemistry in CRI-Strat 2 increases OH over the lowest 500m in tropical forested regions 37 by 30-50%, relative to CRI-Strat, leading to an improvement in model-observation comparisons for surface OH and 38 isoprene relative to CRI-Strat and StratTrop. Enhanced oxidants also cause a 25% reduction in isoprene burden and 39 an increase in oxidation fluxes of isoprene and other biogenic volatile organic compounds (BVOCs) at low altitudes 40 with likely impacts on subsequent atmospheric lifetime, aerosol formation and climate.
- 41

42 By contrast, updates to the rate constants of O(1D) with its main reactants relative to CRI-Strat reduces OH in much

43 of the free troposphere, producing a 2% increase in the methane lifetime, and increases the tropospheric ozone burden

44 by 8%, primarily from reduced loss via $O(^{1}D) + H_{2}O$. The changes to inorganic nitrogen reaction rate constants

- 45 increase the NOx burden by 4% and shift the distribution of nitrated species closer to that simulated by StratTrop.
- 46

47 CRI-Strat 2 is suitable for multi-decadal model integrations and the improved representation of isoprene chemistry 48 provides an opportunity to explore the consequences of HOx-recycling in the United Kingdom Earth System Model 49 (UKESM1). This new mechanism will enable a re-evaluation of the impact of BVOCs on the chemical composition

- 50 of the atmosphere and probe further the feedback between the biosphere and the climate.
- 51

52 1. Introduction

53 Isoprene (2-methyl-1,3-butadiene) makes up 70 % of all non-methane BVOC emissions with annual average 54 emissions of 594 ± 34 Tg/year over the period 1980-2010 (Sindelarova et al., 2014). Isoprene's rapid chemical 55 oxidation in the atmosphere by OH, O₃ and NO₃ directly affects the tropospheric oxidising capacity, ozone burden 56 and the processing of other trace gases like methane (e.g. Archibald et al, 2011) while also serving as an important 57 source of secondary organic aerosol (SOA) (e.g., Kelly et al., 2018). Thus, isoprene has substantial effects on the 58 radiative balance of the atmosphere, both directly via production of SOA and ozone, and indirectly via its changes to 59 the oxidising capacity of the atmosphere influencing methane lifetime and production of other aerosol species such as 60 from oxidation of monoterpenes and SO2. An accurate representation of isoprene's chemical behaviour in climate 61 models is essential to understanding the feedbacks between the biosphere and the rest of the Earth system and thus 62 capturing isoprene's climatic impact.

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64 However, the treatment of isoprene in the chemistry schemes of many climate models is outdated or oversimplified 65 (e.g. Squire et al., 2015). The last decade has seen significant advances in our understanding of the isoprene oxidation pathway, principally the concept of rapid, intramolecular hydrogen shifts (H-shifts), also termed isomerisation 66 67 reactions, in the isoprene hydroxy peroxy radicals (frequently termed ISOPOO). Predictions from theoretical work 68 (Peeters et al., 2009, Peeters et al., 2014) and observations (Crounse et al., 2011; Teng et al., 2017; Wennberg et al., 69 2018) have established this pathway to be competitive with the traditional bimolecular reactions of the peroxy radical 70 with NO, NO₃, HO₂ and RO₂ in certain conditions such as low NO_x(=NO + NO₂) environments. These H-shifts 71 reactions lead to the production of HO_x(=OH + HO₂) either directly or indirectly following the degradation of the 72 isomerisation products (e.g., Archibald et al., 2010, Jenkin et al., 2015, Wennberg et al., 2018).





73 This process, termed HO_x-recycling, has been shown to be important for low-NO_x, high-isoprene regions of the 74 atmosphere (Butler et al., 2008, Lelieveld et al., 2008). By adding a simple, fixed yield OH production pathway from 75 ISOPOO to represent OH production from hydroperoxy aldehydes (HPALDs), Archibald et al (2011) simulated an 8-76 18% increase in tropospheric O₃ burden while the tropospheric OH burden increased by 17% in the present day (PD) 77 and by 50% in a pre-industrial (PI) atmosphere featuring 1860 emissions of key chemical species such as NO_x, CO 78 and isoprene. Consequently, the lifetime of methane was predicted to decrease between 11% (in a future climate 79 scenario) and 35% (in the PI). This illustrated the significant impact that such a process could have on our 80 understanding of the PI atmosphere (and the radiatively active components therein), and thus the PD-PI change and 81 climate sensitivity. While the greatest change to the chemistry was simulated in the boundary layer (BL), convection 82 of isoprene and its oxidation products into the free troposphere resulted in this added chemistry having global impacts. 83 The effect on oxidants from HOx-recycling influences the lifetimes of isoprene and other BVOCs such as 84 monoterpenes and thus the extent of their dispersion and the location of the subsequent SOA formation. Karset et al. 85 (2018) found that when lower oxidant fields were applied to the PI atmosphere isoprene, monoterpenes, SO₂ and other 86 key aerosol precursors were more dispersed from their sources, reaching higher altitudes and enhancing particle 87 number concentration in the remote free troposphere. The radiative impact of the resulting aerosols was greater due 88 to their enhanced lifetime (from slower deposition) and the highly non-linear relationship between aerosol number 89 and cloud forcing where the addition of a given concentration of aerosol has a much greater impact in remote regions where the background concentration of aerosol is smaller (Chen et al., 2016). The importance of oxidants to BVOCs 90 91 and aerosol was also shown in Sporre et al (2020) where models with an interactive oxidant scheme simulated a 92 BVOC-driven depletion of oxidants and attendant greater dispersion of BVOCs and their oxidation products 93 (including SOA precursors). In contrast, a prescribed oxidant approach saw BVOC oxidation confined far more to 94 source regions, reducing dispersion.

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96 Change to oxidant fields also perturb the oxidation pathways of SO2. In the United Kingdom Chemistry and Aerosols 97 (UKCA) model, SO₂ can be oxidised in the gas phase by OH to yield H_2SO_4 or in the aqueous phase by O₃ or H_2O_2 98 (Mulcahy et al., 2020). This has consequences for the aerosol mass and number distributions because only H₂SO₄ can 99 nucleate new particles in UKCA, therefore amplifying the gas phase pathway over the aqueous pathways leads to a 100 greater number of smaller aerosols. Thus, uneven changes to these pathways can alter the size and number distribution 101 of the aerosol population, affecting the radiative properties of aerosols and clouds. Decreases in OH in other UKCA 102 studies (Weber et al., 2020a, O'Connor et al., 2020) have resulted in simulated reductions in particle number 103 concentration and cloud droplet number concentration. The resulting negative cloud radiative forcing is smaller in 104 magnitude as the lower cloud droplet number concentration (CDNC) makes the clouds less "bright" (Twomey et al., 105 1974). The impact of different oxidant schemes on the burden and lifetime of DMS, an important SO₂ precursor, and 106 the impact to sulphate aerosol transport is highlighted by Mulcahy et al. (2020).

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108 While Archibald et al (2011) used a relatively simple approach to simulate HO_x -recycling, further advances in the 109 chemical understanding have led to a near explicit representation of HO_x -recycling being incorporated into 110 comprehensive mechanisms including the Master Chemical Mechanism (MCM v3.3.1) (Jenkin et al., 2015) and the





CalTech isoprene scheme (Wennberg et al., 2018). However, such mechanisms are far too large for use in globalchemistry-climate models.

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114 There exist a few reduced mechanisms featuring this state-of-the-art isoprene chemistry suitable for use in chemistry-115 climate models including the CalTech reduced isoprene scheme (Bates et al., 2019) and the Common Representative 116 Intermediates mechanism v2.2 (CRI v2.2) (Jenkin et al., 2019), the focus of this work. The CRI v2.2 is an update to 117 the Common Representative Intermediate v2.1 mechanism (Jenkin et al., 2008, Utembe et al., 2009, Watson et al., 118 2008) and was developed from the fully explicit Master Chemical Mechanism (MCM) version 3.3.1 (Jenkin et al., 119 2015) which describes the degradation of organic compounds in the troposphere. In the CRI framework, species are 120 lumped together into surrogate molecules whose behaviour is optimised against the fully explicit MCM. A description 121 of CRI v2.2 is given in Jenkin et al. (2019). The CRI v2.1, along with the corresponding stratospheric chemistry, has 122 already been incorporated into UKCA as CRI-Start (CS) (Archer-Nicholls et al., 2020) as an alternative to the simpler 123 but more widely used STRAT-TROP (ST) chemistry scheme (Archibald et al., 2020a), the scheme used for UKESM's 124 contributions to CMIP6 (e.g. Sellar et al., 2020, Thornhill et al., 2020). 125

126 Using the reduced Caltech Isoprene Mechanism, which includes H-shifts of ISOPOO in GEOS-CHEM, Bates et al (2019) simulated significant increases in OH (>100%) and HO2 (up to 50%) over the Amazon and other forested 127 128 tropical regions as a result of the HOx-recycling. After implementing updated rate constants for isoprene H-shifts in 129 GEOS-CHEM Møller et al. (2019) also found that globally around 30% of all isoprene peroxy radicals undergo at 130 least one H-shift reaction resulting in an OH yield of 47% per isoprene molecule and that adding all isoprene H-shift 131 reactions increased boundary layer OH by up to a factor of three in the Amazon. Using CESM/CAM-CHEM and the 132 MOZART-TS2 mechanism, Schwantes et al (2020) showed reasonable agreement for some isoprene oxidation 133 products over the Southeast USA.

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135Jenkin et al. (2019), using CRI v2.2 in the STOCHEM Lagrangian chemistry-transport model, showed the significant136influence of HOx recycling in CRI v2.2 simulating a 6.4% increase in the tropospheric OH burden relative to the CRI137v2.1 and increases of surface OH of 20-50% over much of the forested tropical regions. Khan et al. (2021), using the138same setup, also simulated enhanced surface OH and attendant decreases in methane lifetime (0.5 years) and isoprene139burden (17%).

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141 However, while the reduced mechanisms featuring HOx-recycling chemistry have been tested in chemistry-climate

- 142 models, less work has been done in terms of multi-species comparison to observations and detailed analysis of the
- 143 effect to global atmospheric composition. This study introduces the CS2, based on CRIv2.2 and expanded with
- 144 stratospheric chemistry, as a mechanism in UKCA, evaluates its performance against observational data, and
- 145 compares its output and key processes to the related CS mechanism and well-established ST mechanism. By
- 146 providing a wide-ranging comparison to observations and a detailed description of the changes CS2 causes to global
- 147 and regional atmospheric chemistry, this current work builds on the existing literature to develop further our
- 148 understanding of the consequences of HO_x-recycling.



Geoscientific Model Development

149 2. Development of CS2 - incorporation of CRI v2.2 into UKCA 150 151 It is important to note that the CRI v2.2 mechanism, like the CRI v2.1 mechanism, is strictly a tropospheric chemistry 152 scheme. In developing the whole atmosphere mechanism CS, Archer-Nicholls et al (2020) merged the CRI v2.1 153 mechanism with the Stratospheric chemistry scheme (Morgenstern et al., 2009) in UKCA (Table 1) to allow this 154 scheme to be used within UKESM1 (Sellar et al., 2019). The same approach was taken in this work with the 155 Stratospheric scheme unchanged and tropospheric scheme switched from CRI v2.1 to CRI v2.2. Therefore, to 156 differentiate the "CRI v2.2" mechanism used in UKCA in this work from the solely tropospheric CRI v2.2 mechanism 157 described on the CRI v2.2 website (http://cri.york.ac.uk/), the UKCA mechanism will henceforth be referred to as 158 CRI-Strat 2 (CS2) (Table 1). A full description of the changes made to CS to update it to CS2 is given in the supplement 159 Section 1.1 while a summary of the changes is now discussed. 160 161 CS2 features a significant update to isoprene oxidation chemistry relative to CS with the incorporation of 1,6 and 1,4 162 H-shift reactions of isoprene peroxy radicals as well as an update to the organonitrate scheme (as detailed in Jenkin et 163 al., 2019). CS2 also features updates to multiple reaction rate constants (which were out of date in CS (Archer-Nicholls 164 et al., 2020)) to the best of our understanding as documented in the IUPAC Task Group on Atmospheric Chemical 165 Kinetic Data Evaluation (http://iupac.pole-ether.fr/). Changes to the rate constants of the reactions of O(¹D) with H₂O, 166 O2 and N2; rate constants of multiple inorganic nitrogen reactions such as those forming PAN-type species, HONO2 167 and the HO2+NO reaction and the rate constants of organic peroxy radicals (RO2) with NO and NO3. These updates 168 ensure consistency between the CS2 mechanism incorporated in UKCA and that described on the CRI v2.2 website 169 (http://cri.york.ac.uk/). The photolysis of glyoxal, formaldehyde and propionaldehyde was also updated (see SI 170 Section S6). 171 172 CS2 has 9 more species than CS (Tables 1, 2) as well as 46 additional bimolecular reactions, 12 additional photolysis 173 reactions and 8 additional uni/termolecular reactions (Table 1). This leads to a modest increase in runtime (6%) 174 compared with CS whose runtime was already ~75% greater than ST. Incorporation of CS2 into UKCA involved 175 extensive use of the UM-UKCA virtual machine environment (Abraham et al., 2018). 176 177 The main update to the isoprene chemistry is the inclusion of 1,6 and 1,4 H-shift reactions of the isoprene peroxy 178 radical (termed RU14O2 in CRI nomenclature). The 1,6 H-shift process is well studied (Peeters et al., 2009, Crounse 179 et al., 2011, Teng et al., 2017, Wennberg et al., 2018) and follows the kbulkl,6H rate coefficient described in Jenkin et 180 al. (2019), capturing the dependence of isomerisation on both temperature and the rates of reaction of RU14O2 with 181 the standard bimolecular partners (NO, NO₃, HO₂ and RO₂). This pathway yields hydroperoxy aldehydes (HPALDs, 182 termed HPUCARB12 in CS2) and dihydroperoxy carbonyls peroxy radicals (DHPR12O2). The photolysis of the 183 highly photolabile HPALD (HPUCARB12), and its product HUCARB9 (unsaturated hydroxy carbonyl), are key

184 routes for HO_x regeneration.





186 The production of the isoprene epoxy diol (IEPOX) from the isoprene hydroperoxide (RU14OOH) and the 187 hydroxymethyl-methyl-a-lactone (HMML) also represent important updates (Jenkin et al., 2019). IEPOX and HMML 188 are known SOA precursors (Nguyen et al., 2014; Nguyen et al 2015; Allan et al., 2014) and so their addition may 189 enable a more explicit representation of SOA formation within the CRI framework, as opposed to the current 190 framework whereby SOA formation is represented by the condensation on existing aerosol of a single inert tracer, 191 Sec Org, which is made from monoterpene oxidation (Mann et al., 2010; Mulcahy et al., 2020). This is beyond the 192 scope of this paper but will be a focus of future work. 193 194 The introduction of HPUCARB12 and HUCARB9 necessitates a careful update to the FASTJX photolysis scheme 195 used by UKCA (Telford et al., 2013). The cross-sectional dependence of wavelength for HPALDs is assumed to be 196 the same as methacrolein (Peeters et al., 2009, Wennberg et al., 2018, Schwantes et al., 2020) but with a significantly 197 larger quantum yield (QY). Prather et al (2013) recommends a QY of 0.003 for methacrolein and Liu et al (2017) a 198 QY of 0.55 for HPALDs (both used by Wennberg et al., 2018). To implement the photolysis of these new species, the 199 photolysis frequencies of HPUCARB12 was taken to be the photolysis frequency for methacrolein scaled by the ratio 200 of the QY of HPALDs to the QY of methacrolein, the same approach used by Schwantes et al (2020) for δ-HPALDs. 201 A scaling of 0.5 was applied to the photolysis frequency of HUCARB9 in agreement with the MCM v3.3.1. 202 203 In addition to the updates to isoprene chemistry, CRIv2.2 has had the rate coefficients for many organic and inorganic 204 reactions updated to bring the mechanism into agreement with the MCM v3.3.1 and IUPAC. These affect the overall 205 chemistry in three major ways. The first involves the major reactions of the excited oxygen radical, O(¹D). The rate 206 constants of O(¹D) with H₂O, O₂ and N₂ changed by -3%, -1% and +20% respectively to bring them into agreement 207

208 much closer (within $\pm 1.5\%$) to that used in ST (Archibald et al., 2020a) and rate constants for the reactions with O₂ 209 and H₂O also move closer to those used by ST. The result of this is a reduction in the fraction of O(¹D) reacting with

with the current IUPAC values (http://iupac.pole-ether.fr). This also means the rate constant of $O(^{1}D)$ with N₂ became

210 H₂O by 10-15%, thus lowering OH production while also reducing O_x loss via this pathway.

211

212 The second involves multiple inorganic reactions of nitrated species. The formation rate constants for PAN-type 213 species (species with peroxyacyl nitrate functionality), HONO₂, HO₂NO₂ and N₂O₅ changed by around -45%, -15%, 214 -45% and +50-75% in the troposphere respectively. The change for PAN brought its formation rate constant much 215 closer to that used in ST (within $\pm 7\%$) and this was also the case for HONO₂ and HO₂NO₂ formation. The rate constant 216 of $HO_2 + NO$, the single biggest production source of O_x , decreased by 4%.

217

218 Finally, the rate constants for most $RO_2 + NO$ and $RO_2 + NO_3$ reactions have been changed by +12.5% and -8%, 219 respectively while maintaining the same temperature dependence. This is likely to have a smaller impact that the other 220 chemistry changes but, at the margins, will make reactions with NO more competitive with the isomerisation reactions 221 of the ISOPOO.





223 The implementation of CRI v2.2 by Khan et al (2021) in STOCHEM model, while including the updates to isoprene 224 chemistry and the RO₂ + NO and RO₂ + NO₃ reactions, did not feature updates to the rate constants for $O(^{1}D)$ with 225 H2O, O2 and N2 or the inorganic nitrogen reactions. Therefore, even in low altitude terrestrial conditions where 226 isoprene HOx-recycling tends to dominate the change in OH, comparison between Khan et al (2021) and the results 227 of this work must be caveated with the changes to the inorganic chemistry.

228

229 In addition to the chemistry changes, updates are made to the photolysis of several species. Two additional photolysis 230 reactions of glyoxal (CARB3 in the CRI mechanisms) were added as well as updates to the photolysis parameters for 231 HCHO and EtCHO (propionaldehyde). The wavelength bins of the product of cross-section and quantum yield used 232 by FAST-JX (Telford et al., 2013) used were updated to the v7.3 values from Prather et al (2015) for HCHO and 233 C₂H₅CHO. The photolysis of CARB3, which had previously been estimated in CS by a scaling of HCHO photolysis 234 (Archer-Nicholls et al., 2020), is replaced with the glyoxal photolysis for 999 hPa from v7.3 of Prather et al (2015). 235 This reaction does exhibit a modest pressure dependence but one which has not been incorporated into FAST-JX at 236 the current time.

237

238 In addition to the changes to the chemistry and photolysis, updates to the wet deposition scheme were implemented 239 to both CS and CS2 schemes. The previous approach of applying parameters for standard surrogate for other species 240 with the same functional groups (e.g. EtOOH was used for most hydroperoxides), as described in Archer-Nicholls 241 et al (2020), was updated to use either data for the precise species (taken from Schwantes et al., 2020) or a more

242 closely related-surrogate. The changes to the wet deposition parameters are detailed in Table S1 of the supplement

243 and, as they were applied to both CS and CS2 mechanisms, they are unlikely to have a significant influence on the

244 inter-mechanism difference. No changes were made to the dry deposition scheme in this work.

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246

247 3. Model Runs

248 All model runs were performed using the United Kingdom Chemistry and Aerosols Model (UKCA) run at a horizontal 249 resolution of 1.25° × 1.875° with 85 vertical levels up to 85 km (Walters et al., 2019) and the GLOMAP-mode aerosol 250 scheme which simulates sulfate, sea salt, BC, organic matter, and dust but not currently nitrate aerosol (Mulcahy et 251 al., 2020). In this setup, the inert chemical tracer Sec Org, which condenses irreversibly onto existing aerosol, was 252 produced at a 26% yield solely from reactions of α-pinene and β-pinene with O₃, OH and NO₃ with the enhanced yield 253 applied to account for a lack of SOA formation from isoprene or anthropogenic species (Mulcahy et al., 2020).

254

255 The runs in this work fell into two distinct categories. Firstly, short runs (generally 1-2 months, Table 3) with higher 256 frequency (hourly) output using the ST, CS and CS2 chemical mechanisms were performed to evaluate each 257 mechanism's performance against the observational data. Secondly, longer runs (2-5 years, Table 4) with monthly 258 output using the CS and CS2 chemical mechanisms (or variants of CS2 for sensitivity tests) were conducted to 259 facilitate a rigorous comparison of the global chemical composition (Table 4).





261	Temperature and horizontal wind fields were nudged (Telford et al., 2008) in all model runs to atmospheric reanalyses
262	from ECMWF (Dee et al., 2011) to constrain the simulations to consistent meteorology, thus preventing diverging
263	meteorology adding to the differences resulting from the chemical mechanisms and replicating as closely as possible
264	the atmospheric conditions experienced when the observations were recorded. Nudging only occurred above ~1200
265	m in altitude and thus the majority of the planetary boundary layer was not nudged. The model runs were atmosphere-
266	only with prescribed sea surface temperatures (SSTs). CO2 is not emitted but set to a constant field while methane,
267	CFCs and N ₂ O are prescribed with constant lower boundary conditions, all at 2014 levels (Archibald et al., 2020a).
268	
269	The emissions used in this study the same as those from Archer-Nicholls et al (2020) and are those developed for the
270	Coupled-Model Intercomparison Project 6 (CMIP6) (Collins et al., 2017). Anthropogenic and biomass burning
271	emissions data for CMIP6 are from the Community Emissions Data System (CEDS), as described by Hoesly et al.
272	(2018). For the short runs, timeseries anthropogenic and biomass burning emissions were used for all ST runs and all
273	CRI runs up to 2015. For the runs done for comparison to observational date recorded at the Z2F site new Manaus in
274	2016 (see Tables 3, 5), timeslice 2014 emissions were used due to a lack of post-2015 CRI emissions although the
275	impact of the difference is expected to be minimal.
276	
277	All longer runs used time slice 2014 emissions for anthropogenic and biomass burning emissions. Oceanic emissions
278	were from the POET 1990 dataset (Olivier et al., 2003) and all biogenic emissions except isoprene and monoterpenes
279	(see Section 3.3) were based on 2001-2010 climatologies from Model of Emissions of Gases and Aerosols from Nature
280	under the Monitoring Atmospheric Composition and Climate project (MEGAN-MACC) (MEGAN) version 2.1
281	(Guenther et al., 2012) and are discussed further in Section 3.3. A full description of the emission sources for each
282	emitted species is given in Table S2.
283	
284	All mechanisms used the same raw emissions data. However, the additional emitted species required by CS and CS2
285	means the total mass of emitted organic compounds is greater in CS and CS2 and the lumping of species for emissions
286	is also different. The approach and consequences are discussed in Archer-Nicholls et al (2020).
287	
288	3.1 Short runs for model-observation comparisons
289	
290	The runs performed for comparison to observations are detailed in Table 3 and correspond to an observational dataset
291	described in Section 4 and Table 5. All runs were spun-up for a minimum of three months. For most of the runs, hourly
292	model output was used so as to allow for detailed comparison with observations. The only exception were the runs
293	performed for the comparison to the Isoprene Column data ("Isoprene Column" Table 3) where monthly means were
294	used.
295	
296	3.2 Longer runs for mechanistic intercomparison
297	The longer runs (Table 4) were designed with the primary aim of examining the consequences of the mechanism
298	changes between CS and CS2 and followed an approach similar to that used by Archer-Nicholls et al (2020). These





 ran two 5 years nudged runs (1 year spin up, 4 years analysis) with the CS and CS2 mechanisms. In addition, five 2- year sensitivity runs (1 year spin up, 1 year analysis) were performed to analyse the impact of the individual changes to the isoprene scheme, the O(¹D) reactions, inorganic nitrogen reactions, the RO+NO/NO reactions and the photolysis reactions as discussed in Section 2. These sensitivity tests featured mechanisms based on the CS2 mechanism but each had a different feature which was reverted to that found in CS. CS2_O1D used the old rate constants from CS for the reaction of O(¹D) with N₂, O₂ and H₂O. CS2_inorgN used the rate constants from CS for the formation of HONO₂, HO₂NO₂, PANs, HONO and N₂O₃ as well as for the reactions of HO₂ + NO, OH+MeONO₂, OH+ PAN and OH+MPAN. CS2_isoprene followed as closely as possible the isoprene reactions from CS with the major change being the omission of the isomerisation reactions of RU14O2 and subsequent production of HPALDs and other species which are key for HO recycling. In CS2_RO2_N, the rate constants for the RO₂ + NO and RO₂ + NO₃ reactions were reverted to those used in CS which led to a 12.5% decrease and 8% increase, respectively for the vast majority of these reactions. Where branching ratios changed between CS and CS2, the CS2 branching ratios were maintained and the rate constants scaled accordingly. Finally, CS2_photo used the parameters and reactions from CS for the photolysis of CARB3 (glyoxal), HCHO and EtCHO and was performed to evaluate the impact of update to photolysis (see SI Section S6). Each sensitivity test, when compared to the CS2 run, provides information as to the impact of the change of the respective section of the changes to reactions and rate constants for each sensitivity test is given in the supplement Section S2. The changes to the photolysis were found to have a minimal effect on atmospheric composition compared with the other sensitivity tes		
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337	iBVOC has the advantage of also simulating a diel cycle of emissions for monoterpenes, leading to improved model
338	performance relative to observation (see Section 4). The dependence on temperature and PAR means that emissions
339	of BVOCs differ slightly between runs and thus between mechanisms. However, nudging inhibits considerably
340	divergence of surface temperature between comparative runs and so the differences between emissions were <5% and
341	typically 1-2%, significantly smaller than the differences caused by the mechanisms.
342	Monoterpenes emissions were speciated in a 2:1 α -pinene : β -pinene ratio as used in Archer-Nicholls et al (2020).
343	
344	There are temporal and spatial disparities between using iBVOC emissions and offline emissions, such as the
345	MEGAN-MACC dataset ((Sindelarova et al., (2014), as used by Archer-Nicholls et al., (2020)), which could affect
346	conclusions about mechanism-observational biases. These differences are discussed in more detail in SI Section S. In
347	short, for the ZF2 Brazil, ATTO and Borneo sites for the periods considered, the isoprene and MT emissions were
348	higher when using the iBVOC approach than for MEGAN-MACC (Figs. S1, S2).
349	
350	4. Comparison with Observations
351	The shorter UKCA models runs listed in Table 3 were used to evaluate mechanism performance against 6 high
352	frequency observational datasets (3 surface/near-surface and 3 aircraft campaigns) from the Amazon, Borneo and the
353	South East USA, all important regions for BVOC production. In addition, satellite-derived isoprene columns (Wells
354	et al., 2020) were compared to model output (Isoprene Column, Table 3). Monthly mean data from the longer CS and
355	CS2 runs (Table 4) for O ₃ , CO and HONO ₂ were also compared to a range of observational data. A summary of the
356	observation datasets is given in Table 5 and locations of the surface and airborne campaigns shown in Fig S3.
357	
358	Diel profiles for multiple species were calculated from the three surface/near-surface sites and the vertical profiles
359	were calculated from the ATTO site.
360	
361	The three flight campaigns considered were the October 2005 Amazon GABRIEL campaign (Butler et al., 2008), the
362	July 2008 Borneo Facility for Airborne Atmospheric Measurements (FAAM) (Hewitt et al., 2010) and the Studies of
363	Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC4RS) flight
364	campaign over the South East USA in August - September 2013 (Toon et al., 2016). Hourly model output
365	corresponding to the days and times of the flights was used for the mechanism-observation comparison for each
366	campaign. Model and observational data were binned into 250m/500m altitude bins and median values for the
367	variables of interest across the whole region for a given altitude bin were considered. For the SEAC4RS comparison,
368	observational data were also filtered to exclude urban plumes (NO2>4 ppb), fire plumes (acetonitrile>0.2 ppb) and
369	stratospheric air (O ₃ /CO > 1.25) while missing data or data flagged as exceeding the limit of detection were not used
370	and data flagged as a lower limit of detection were set to zero as done in Schwantes et al (2020). Estimated limits of
371	detection are shown for relevant species for the GABRIEL and FAAM campaigns.
372	



373



374 oxidation products and monoterpenes. A brief commentary about other species including HONO₂, CO, PAN, HCHO, 375 MeCHO, EtCHO and acetone is given in the supplement. 376 377 4.1 Ozone 378 CS2 exhibits a modest increase in O₃ (~1-2 ppb) over CS at all surface sites (Fig. 1), exacerbating the existing high 379 surface bias of CS, whose drivers were discussed in Archer-Nicholls et al (2020), and the smaller high bias of ST. On 380 a diel basis, the mechanisms are able to replicate the shape of the diel cycle at the ZF2 site (with similar diel profiles 381 at the ATTO site) but perform less well in Borneo, simulating pronounced diel cycles with a high bias compared to 382 much more muted cycles from observation. 383 384 An increase of \sim 1-4 ppb relative to CS is also exhibited by CS2 for monthly mean O₃ when both mechanisms are 385 compared to observational data at 10 locations from pole to pole at 4 pressure levels (250, 500, 750 and 900 hPa) (Fig. 386 S4). CS2 reduces the low bias in polar regions but increases CS's high bias in the tropics and Eastern US. 387 388 Model high biases are also observed from flight data comparisons (Figs. 2(b,f), S6(a)). In the Amazon, where the 389 observed and modelled NO vertical profiles agree well (Fig. S6(e)), there is little difference between the three 390 mechanisms. Each exhibits the greatest high bias at low and a smaller high bias in the free troposphere. CS2 exhibits 391 a high bias of 15-20 ppb for the SEAC⁴RS campaign (Fig. S6(d))), with perhaps some influence from the low altitude 392 NO₂ model high bias. In Borneo, all mechanisms exhibit a roughly consistent high bias of ~20 ppb for ST increasing 393 to 30 ppb for CS2. Interestingly, all the mechanisms simulate a significant low bias for NO₂ (Fig. S6(f)) which may 394 indicate biomass burning events which are not simulated, something which might be expected to promote higher ozone 395 concentrations. 396 397 4.2 HO_x 398 Modelled surface OH increases in all locations from ST through CS to CS2 with a significant increase in midday OH 399 from CS to CS2 (Fig. 1). In Borneo, OH is consistently low biased in the three mechanisms but the best comparison 400 is exhibited by CS2 where the mean diel bias compared to ST and CS decreases by 43-50% and 24-40%, respectively 401 over the period considered. The drivers of the HO_x change are explored further in Section 5. 402 403 Surface HO₂ was also simulated to increase in all locations from ST to CS to CS2. Significant high bias was simulated 404 in Borneo (the only observational dataset) (Fig. S7) for the CRI mechanisms, including at night. The simulated ratio 405 of HO2 to OH is highly biased in all mechanisms. However, it is best simulated in CS2, indicating that the increase in

OH is much larger than that for HO2. It should be noted that none of the mechanisms at present include the

The performance of each mechanism is now described for the key species e.g. O₃, HO_x, isoprene, certain isoprene

- 407 heterogenous reactions of HO₂ and their inclusion, which will be addressed in future work, should reduce the HO₂408 high bias.
- 409





410	The comparison of modelled HO_x to observation is complicated by large discrepancies in key reaction partners.
411	Furthermore, relative to observed values of 100-130 ppb, CO in ST in Borneo is highly biased by 13 ppb and 27 ppb
412	while CO in the CRI mechanisms exhibits larger biases of ~35-50 ppb and ~50-60 ppb during April-May and June-
413	July, respectively (Fig. S7). These high biases would enhance modelled HO2 at the expense of OH, potentially
414	explaining the modelled low biases in OH. Indeed, the OH model low bias is greater in the June-July period. This
415	highlights the complexity of model-observation comparisons: the CRI mechanisms may well simulate secondary CO
416	production from isoprene more accurately than ST but other model biases, for example in emissions of CO, NO and
417	isoprene, can lead to the CRI mechanisms appearing worse. Nevertheless, if the CO high bias is reduced in future, we
418	might reasonably assume the modelled OH will improve still further.
419	
420	4.3 Isoprene
421	Modelled isoprene from all three mechanisms was compared to surface observations, flight campaign data and
422	isoprene columns measured by satellite.
423	
424	4.3.1 Isoprene Surface Measurements
425	CS2 yields the best model-observation comparison for surface isoprene on a daily basis in all locations (Fig. 1 (k-o)).
426	CS2 reduces the high bias in the diel profiles by 50-60% relative to ST and 20-40% to CS at the Z2F, ATTO and
427	Borneo sites, driven by the elevated OH concentrations
428	
429	In most locations the model simulates, to a greater or less extent, a "twin peak" isoprene profile with a sharp rise
430	around 7:00 LT and a second, smaller peak at 19:00 LT. This was most pronounced in the Amazon dry season (ATTO
431	Sept 2013). The morning peak is likely to be due to a combination of the sharp rise in simulated isoprene emissions
432	which starts at 6:00-7:00 am LT, outweighing the concurrent rise in OH, and an underestimation in the model of the
433	rate of BL height growth which can trap isoprene close to the surface, causing a buildup. By contrast, observed
434	isoprene concentrations exhibit a much slower morning growth reaching a peak in early afternoon. While this "out-
435	of-phase" behaviour is unlikely to be the sole driver of model-observation difference, it will play a role since isoprene
436	chemistry occurs on the time scale of \sim 1-2 hours and atmospheric oxidising capacity varies throughout the day.
437	
438	Over the lowest 80 m at the ATTO site, all mechanisms are high biased in the daytime (9:00-15:00) and nighttime
439	(21:00-3:00) (Fig. S8 (a-d)) with CS2 exhibiting the smallest bias but produce similar isoprene vertical gradients to
440	observations. The effect of boundary layer height was further considered by looking separately at the periods 6:00-
441	8:00 LT and 17:00-19:00 LT (Fig. S8 (e-h)). In contrast to the daytime and nighttime periods, during the 6:00-8:00
442	period the simulated isoprene gradient is significantly more negative than the observation, indicating less vertical
443	mixing and similar results are seen with the MT profile (Fig. S8 (m-p)). This is most noticeable in September where
444	the largest morning peak is seen in the diel profile for both species and lends support to the theory that the simulated
445	BL height is not increasing as quickly as in reality, leading to more isoprene and MT being trapped at low altitude.
446	Smaller differences between observed and simulated isoprene and MT vertical gradients are seen during 17:00-19:00





LT, coinciding with smaller evening peaks in the diel profiles. This suggests the reduction in BL height is moreaccurately simulated than the morning increase.

449

450 The major drivers of the remaining model-observation difference are likely to be the concentrations of oxidants 451 (despite the increases seen in CS2, OH remains low biased in Borneo) and the emissions of isoprene (including the 452 modelled vs. actual diel cycle). The concentrations of isoprene and other species also vary significantly through and 453 above the tree canopy, as shown by the ATTO measurements (Fig. S8), and the global model resolution is not high 454 enough to resolve the vertical gradient of species in the canopy. When testing the CRI v2.2 in STOCHEM-CRI with 455 isoprene emissions from the MEGAN-MACC inventory, Khan et al (2021) noted that halving the isoprene emissions 456 reduced the model-observation disagreement significantly and attributed the model high bias in their work to high 457 biases in the emissions of isoprene.

458

459 **4.3.2 Isoprene Flight Measurements**

460 Model-observation comparisons of isoprene vertical-profiles extending into the boundary layer and into the free 461 troposphere reveal quite a different story from the surface analysis (Fig 2 (a, e, h)).

462

463 Despite being high biased at the surface and at low altitude, simulated isoprene vertical profiles over the Amazon and 464 Borneo rapidly show a low bias as latitude increases. There are likely two reasons for this. The first is the vertical 465 mixing, already discussed in relation to the isoprene and MT surface diel cycles. Secondly, for the Amazon and Borneo 466 campaigns only estimated detection limits (0.1 ppb in both cases) could be used. This has the effect of biasing the 467 median of the observational data to higher values as very low values are ignored. In the SEAC4RS campaign, all data 468 points flagged as below the detection limit were set to zero, mitigating this issue. The enhanced oxidative capacity of 469 CS2 at low altitude results in the lowest simulated vertical concentrations among the three mechanisms but the general 470 low bias above the surface is an issue faced by all mechanisms, suggesting it is not just down to modelling of the 471 chemistry.

472

473 4.3.3 Isoprene Columns

To consider isoprene on a global scale, monthly modelled isoprene columns for all mechanisms are compared to satellite observations from January, April, July and October 2013 (Wells et al., 2020) (Fig. 3).

476

477 Significant variation in model bias is exhibited between the mechanisms with ST exhibiting the highest isoprene 478 columns and CS2 the lowest. In South America CS2 exhibits the smallest bias while the ST columns are over double 479 the observed values for April and July. CS and CS2 exhibit the smallest biases in Africa and Southeast Asia 480 respectively. The low biases in North America (~ 0.7-1.5×10¹⁵ molecules cm⁻²), Europe (~ 0.5-2.7 ×10¹⁵ molecules 481 cm⁻²) and Central Asia (~ 0.1-1.1 ×10¹⁵ molecules cm⁻²) are quite consistent across the mechanisms and, in some cases 482 almost equal in magnitude to the observed columns, which suggests the bias is driven more by insufficient emissions

- 483 rather than the chemistry scheme in these locations.
- 484



485

486



the significant influence of the different chemistry schemes on the simulated isoprene column and thus the 487 considerable challenges of determining isoprene emissions via top-down approaches using back-calculation from 488 observed concentrations or column values: different chemistry schemes will lead to different emission estimates. 489 490 491 **4.4 Isoprene Oxidation Products** 492 During the GABRIEL flight campaign, the well-known isoprene oxidation products MACR and MVK were measured 493 via PTRMS and combined as isoprene oxidation products. These species, along with the ISOPOOH, were also 494 measured at the ATTO tower via PTRMS and are compared with model data. 495 496 At the ATTO site, all mechanisms are largely high biased but CS2 produces the best comparison to observations for 497 both diel and vertical profiles (Figs. 1, S9, 11). CS2 also yields the smallest high bias for the ratio of isoprene oxidation 498 products (isop ox) to isoprene (a metric less sensitive to discrepancies between actual and modelled isoprene 499 emissions) in the Amazon (Figs. 1, S9, 11). Despite the greater oxidising capacity of the PBL in the CS2 simulations, 500 the isop ox concentrations are lower. This is attributed to the fact that in the relatively low NO_x environment around 501 the ATTO tower, the isomerisation reactions of the isoprene peroxy radical are particularly important and favour the 502 production of HPALDs and other species over MACR, MVK and ISOPOOH. 503 504 Relative to the GABRIEL flight data (Fig. 2(d)), the ratio of isop ox to isoprene is high biased in all mechanisms 505 albeit with the CRI mechanisms exhibiting a smaller bias than ST. 506 507 508 4.5 Isoprene Nitrate, IEPOX and HPALDs 509 The isoprene oxidation products HPALDs and IEPOX, unique to the CS2 mechanism in this study, are compared, along with isoprene, ISOPOOH and the isoprene nitrate (Fig. S6), to observational data from the SEAC4RS campaign 510 511 over the Southeast USA. Modelled isoprene (Fig 2(h)) exhibits a significant low bias, in line with the isoprene column 512 analysis (Fig. 3) and is attributed to insufficient emissions. Unsurprisingly, ISOPOOH (Fig 2(i)), the isoprene nitrate 513 (Fig. S4(c))) and HPALDs (Fig 2(j)) are also low biased. However, IEPOX (Fig 2(j)) compares favorably to 514 observation. 515 516 The apparent good performance of IEPOX, despite the significant low biases of isoprene and its direct precursor 517 ISOPOOH, is likely to be due to a missing sink to the aerosol phase. IEPOX is readily lost to aerosol by reactive 518 uptake (Nguyen et al., 2014, Nguyen et al., 2015, Allan et al., 2014); a process featured in Schwantes et al (2020) 519 (who simulated lower IEPOX concentrations) but not in UKCA. The rate constant for IEPOX's production from 520 ISOPOOH is ~30% lower than that used by a mechanism of similar complexity, MOZART TS2 (Schwantes et al.,

CS and CS2 yield lower isoprene columns and generally smaller model biases than ST. This comparison highlights

521 2020) while IEPOX's loss via OH has a similar rate constant to MOZART TS2. Including reactive uptake of IEPOX





- 522 in future updates may reduce this high bias. The processing of IEPOX is unlikely to affect HOx-recycling as much as 523 HPALDs, however its importance to SOA formation means it will be a focus of future work. 524 525 The low bias of HPALDs, also simulated to a lesser extent in Schwantes et al (2020) who used isoprene emissions 526 from the MEGAN v2.1, is important given its role in HOx-recycling via photolysis. There remains uncertainty in 527 HPALD photolysis frequencies. In this work simulated HPALD destruction is dominated by reaction with OH and 528 photolysis which are roughly equal ascending to 2.5 km whereupon OH's importance grows rapidly at the expense of 529 photolysis. To test the impact of photolysis uncertainty on the bias, two further runs were performed with the 530 photolysis frequency of HPALDs scaled by 0.5 and 3, respectively. These tests change HPALD concentrations in the 531 lowest 2 km by +30% and -50% (Fig. 2(k), respectively, suggesting concentration of HPALDs is dependent on the 532 photolysis frequency of HPALDs, which is not currently well constrained. 533 534 Interestingly, these scaling tests only change low altitude OH by ~2-3% in the south east USA, suggesting the 535 uncertainty in HPALD photolysis from the current approach may not have a huge impact on oxidants in this region 536 although this may in part be due to the modelled isoprene and HPALD low biases (Fig. 2(h,k)). Furthermore, the fact 537 that the modelled photolysis frequency of methacrolein here is low biased by a factor of 2.5-3 (not shown) suggests 538 that, if further changes to the HPALD frequency are made in future, any potential reductions in methacrolein frequency 539 should be scrutinised carefully. Nevertheless, constraining HPALD photolysis further will be a key focus of future
- 540 work. A lack of OH measurements prevents attempts to constrain the OH loss pathway.
- 541

542 Evaluating HPALD production is also challenging since observations of ISOPO2 were not measured. Over the 543 relevant temperatures, the rate constant for HPALD production in CS2 is 6-14 time greater than the equivalent used 544 by Schwantes et al (2020) which would, if anything, make a low bias less likely. The sensitivity of HPALD production 545 to the concentrations of the bimolecular reaction partners of ISOPO2 (e.g. NO) can also lead to resolution issues with 546 the model: regions with high and low NO concentration treated as a single region within the model (model grids can 547 be up to ~125 km wide at the equator) with moderate [NO], suppressing HPALD formation (see Schwantes et al., 548 2020). A commentary on the global distribution of HPALDs and IEPOX is given in Section 5.

549

550 4.6 Monoterpenes (MT)

551

552 Simulated surface diel monoterpene profiles (Fig. 1) are characterised by early morning and evening peaks which are 553 not present in observations. As discussed in relation to the isoprene diel cycle, the morning peak is probably caused 554 by a combination of the simulated emissions increasing too early and a delayed evolution of the simulated BL height, 555 trapping large quantities of monoterpenes close to the surface (Fig. S8). The evening peak coincides with a reduction 556 of simulated OH to near zero and therefore is probably driven by oxidant reduction as well as a reduction in the BL 557 height. Around midday the mechanisms do a better job in most locations with the lower values in the CRI mechanisms 558 driven by the greater oxidant concentrations. In 4 of the 5 locations, CS2 yields the smallest model bias although is it 559 acknowledged that other issues, such as the BL dynamics, need attention.





560	
561	
562	5. Comparison to CRI-STRAT
563	The performance of the CS mechanism to the simpler ST mechanism was discussed in detail in Archer-Nicholls et al
564	(2020). Here we describe chemical composition of the atmosphere simulated by CS2 relative to that from CS using
565	the longer model runs summarised in Table 2. Particular attention is paid to O3 and its production and loss fluxes,
566	HOx, isoprene and monoterpenes, the isoprene oxidation productions IEPOX and HPALDs, nitrated species (NOy)
567	and the potential impacts to aerosols. Changes to CO and HCHO are discussed in the SI Section S5.
568	
569	5.1 O _x
570	As in Archer-Nicholls et al (2020), the change to O3 was analysed by considering the sum of odd oxygen, NO2 and its
571	reservoir species, termed O _x , and defined in Eq. (1).
572	
573	
574	$O_x = O + O_3 + NO_2 + 2N_2O_5 + 3NO_3 + HONO_2 + HO_2NO_2 + PANs$ (1)
575	
576	Tropospheric O3 burden increases by 8% from 328 Tg in CS to 354 Tg in CS2. Much of the free troposphere exhibits
577	increases of 2-6 ppb (~6-14%) in O ₃ with large parts of the tropical troposphere increasing by more than 4 ppb (Fig.
578	1). This increase is driven chiefly by a 1.3% decrease in O_x chemical destruction, resulting in an 12% increase in net
579	chemical O_x production. The sensitivity tests (Table S3) reveal the update to the isoprene mechanism only has a minor
580	effect on O ₃ burden (~2 Tg decrease) while the changes to O(¹ D) and inorganic nitrogen reactions each yield increases
581	of 17 Tg (when considered in isolation) with greater impacts in the lower and upper troposphere, respectively (Fig.
582	S16). The changes to the O ₃ burden in the sensitivity tests do not sum to the total 26 Tg increase from CS to CS2
583	which indicates a degree of interplay between the different updates, an unsurprising result given ozone's central role
584	in tropospheric chemistry.
585	
586	O_x lifetime, defined as the ratio of O_x burden (B_{Ox}) to the sum of chemical (L_{Ox}) and physical (D_{Ox}) O_x loss fluxes (Eq.
587	2) (Young et al., 2018, Archibald et al., 2020b), increase by 8% equivalent to 18.8 days in CS2, while ozone production
588	efficiency (OPE), defined as moles of Ox produced (Pox) per mole of NOx emitted (ENO) (Eq.3) (Archer-Nicholls et
589	al., 2020) increases negligibly from 33.74 to 33.78.
590	
591	$\tau_{O_X} = \frac{B_{O_X}}{L_{O_X} + D_{O_X}} \tag{2}$
592	
593	$OPE = \frac{P_{O_X}}{E_{NO}} $ (3)
594	
595	O ₃ below 500 m increases across almost the entire globe with increases of 2-4 ppb (~5-7.5%) over much of Europe,
596	Africa and the Americas and 4-5 ppb over India and China (Fig. 4), exacerbating the existing high bias in CS (Archer-





597	Nicholls et al., 2020). The sensitivity tests allow this change to be partially decomposed into the different drivers (Fig.
598	S13). The update to isoprene chemistry produces localised increases in O3 over the tropical forested regions of South
599	America, Africa and East Asia of 2-4 ppb: the increase in O_x production via $HO_2 + NO$ and $MeO_2 + NO$ outweigh the
600	reduction in the non-methyl peroxy radicals $(RO_2 + NO)$ pathway (discussed later). While comparison to Khan et al
601	(2021) is difficult given the multiple mechanistic differences, O_x production from $RO_2 + NO$ also decreased in their
602	study. The changes to $O(^1D)$ also yield an increase in >1 ppb across the entire globe (due to reduced O_x loss via $O(^1D)$
603	+ H_2O) with a larger increase (2-3 ppb) encompassing ~20S-40N. The change to inorganic nitrogen also leads to
604	terrestrial increases of 2-4 ppb from increased O_x production via $HO_2 + NO$ and $RO_2 + NO$.
605	
606	
607	5.1.1. Ox Budget

608 O_x production and loss fluxes for CS and CS2 are given in Table 6 and the breakdown for the sensitivity tests is given 609 in Table S3. O_x production decreases in CS2 in much of the tropical and SH BL and lower free troposphere but 610 increases in the NH midlatitude BL and tropical high troposphere while O_x loss decreases strongly in the tropical BL 611 and lower free troposphere (Fig. 5). Despite the modest changes to total O_x production and loss fluxes, the story is 612 more complicated than it first appears due to offsetting changes to the key chemical production and loss fluxes.

613

614

615 **5.1.2** O_x production

The HO₂ + NO pathway represents the largest absolute increase of O_x production (3.2%, Table 6) with particular increases in the NH tropics and mid latitude boundary layer and tropical upper troposphere (Fig. S12). The drivers of this change are complex: the low altitude increases are driven by the significant increases in HO₂ (Fig. 6), which exceed 5% in places, while at higher altitude the increase is attributed to a localised 15-20% rise in NO. The sensitivity tests suggest the change to the isoprene scheme (CS2_isoprene) is a key driver in the rise of low altitude HO₂ (and thus the flux) while the change to the inorganic nitrogen reactions (CS2_inorgN) also contribute to the increased flux at low altitudes and are chiefly responsible for the increase at higher altitudes.

623

624 However, the increase in HO₂ + NO is offset by a decrease in the NO + RO₂ flux (15.4%, Table 6) where RO₂ 625 comprises all peroxy radicals except the methyl peroxy radical, MeO₂. This reduction is strongest in the tropical BL 626 and low free troposphere and driven by a significant decrease in the RO2 burden (32%). This burden reduction arises 627 from the isomerisation pathways which inhibit the conversion of the isoprene-derived peroxy radical, RU14O2, to the 628 other peroxy radicals RU12O2 and RU10O2 (via reactions with standard partners such as NO and NO₃) by providing 629 competing routes which yield other species whose degradation pathways do not produce further RO2 (Khan et al., 630 2021). For example, the HPALDs produced are photolysed to hydroxy acetone and unsaturated hydroxy carbonyls 631 which further degrade producing mostly closed-shell products and HO2. This rapid reaction pathway for RU14O2 sees 632 its burden decrease by 35% in CS2 compared to CS and tropical low altitude mixing ratios decline by over 30%. 633 Similar declines in the $RO_2 + NO$ flux (15%) and RO_2 burden (33%) are seen for CS2 relative to the CS2 isoprene 634 sensitivity test, providing strong evidence that the change to isoprene is driving the change in RO₂. Khan et al (2021)





635	also simulated a reduction in RO_2 burden (and a corresponding drop in O_3 production via this pathway) although their
636	decrease of 6.5% is less than half the equivalent value (including MeO ₂) of 15% in this work, likely due to the other
637	differences between the mechanisms used in their work and this study (see Section 1).
638	
639	The fluxes of NO with HO ₂ , MeO ₂ and RO ₂ account for over 99.5% of total O _x production in both mechanisms and
640	the changes in other pathways are an order of magnitude smaller in absolute terms. The reduction in the rate constant
641	$for OH + MeONO_2 (Section 4.1) reduces O_x production from organic nitrate oxidation significantly while also driving$
642	the increase in Ox production from organic nitrate photolysis. The addition of the photolysis of isoprene hydroxy
643	nitrate and the other nitrates RU12NO3 and RU10NO3 make smaller contributions.
644	
645	5.1.3 O _x loss
646	The change in O_x chemical destruction is dominated by the reduction in $O(^1D) + H_2O$ reaction (7.2%) which accounts
647	for 54% of O_x loss in CS but only 49% in CS2. In the sensitivity run CS2_O1D, which uses the same O(¹ D) rate
648	constants as CS, the $O(^1D)$ +H ₂ O flux accounts for 54% of O_x chemical loss. As this reaction involves water, the change
649	is strongest in the tropical BL and low free troposphere (Fig. S14).
650	
651	The increase in O_x loss via $HO_2 + O_3$ (9.1%, Table 6) is driven predominantly by changes to the inorganic nitrogen
652	and $O(^{1}D)$ reactions while the isoprene scheme is simulated to have little impact. O_{x} loss via $OH + O_{3}$ also increases
653	(7.6%) despite the decrease in free troposphere HO _x with the new isoprene chemistry and revised inorganic nitrogen
654	reactions simulated to play important roles. Ox destruction from O3 + alkene reactions decline significantly (39%) yet
655	increase at very low altitudes (<500 m) before decreasing at higher altitudes. This altitude dependence may arise from
656	the enhanced O ₃ low altitude driving a greater O ₃ + alkene flux but, at higher altitudes, the depletion of the VOCs by
657	O ₃ and the elevated OH, means O ₃ destruction is lower.
658	
659	5.2 HO _x
660	The change to OH shows significant spatial and altitudinal variation, increasing at low altitude over land but
661	decreasing over the oceans and in much of the free troposphere. This stems from the different drivers of OH
662	concentrations and their relative importance in different regions.
663	
664	At low altitude, the terrestrial increases in OH (Fig. 6(c,d)) are revealed by the sensitivity tests to be driven
665	predominantly by the isoprene scheme: a clear illustration of impact of the HO _x -recycling chemistry (Fig. S15). The
666	inorganic nitrogen changes make a smaller contribution to the low latitude OH increase while the O(¹ D) changes
667	reduce low altitude OH but this effect is only noticeable over the oceans.
668	
669	This significant increase in low terrestrial altitude OH is of particular interest in the context of BVOCs and their impact
670	on the chemical composition of the atmosphere. Concentrations in the lowest 500 m increase by $2-3 \times 10^5$ cm ⁻³ (30-
671	50%) in much of the Amazon with similar changes seen in other tropical regions and the Southeast USA; regions with

672 the greatest emissions of isoprene and BVOCs. The boreal forest regions in North America and Eurasia exhibit modest





673 increases of up to 10% in places since isoprene emissions are lower (Fig. S15). The influence of the updated isoprene 674 chemistry is further apparent when the HO_x production flux from two of the key new HO_x-recycling pathways -675 photolysis of the HPALD and hydroxy unsaturated carbonyl (HUCARB9) species - is compared to that from $O(^1D)$ + 676 H₂O (Fig. 6f). Over the Amazon and other tropical regions, HO_x flux from this pathway amounts to 20-40% of that 677 from $O(^1D)$ + H₂O. The difference in BVOC-driven depletion of oxidant concentrations at low altitudes will be even 678 more pronounced when CS2 is compared with ST which exhibited even lower tropical low altitude HO_x (e.g. Fig. 9, 679 Archer-Nicholls et al., 2020).

681

682 However, in much of the free troposphere, OH decreases by 2-10% relative to CS due to the changes made to the 683 $O(^{1}D)$ rate constants (Fig. S20) which reduces the fraction of $O(^{1}D)$ reacting with H₂O (Fig. 6(a)). This general decline 684 is reversed in the upper tropical troposphere (10-15 km) where OH increases by up to 15%, driven by an increase in 685 NO stemming from the update to inorganic nitrogen reactions and a smaller contribution from the updated isoprene 686 chemistry (Fig. S16). These free troposphere changes partially reverse the changes simulated between CS and ST (Fig. 687 6, Archer-Nicholls et al., 2020). In that comparison, tropical free troposphere OH (~2-6 km) increased in CS relative 688 to ST by $0.5-2 \times 10^5$ cm⁻³ while here CS2 yields a decrease in the same location of $0.25-1 \times 10^5$ cm⁻³ compared to CS. 689 In the upper tropical troposphere, CS decreased OH by $1-4 \times 10^5$ cm⁻³ relative to ST while CS2 exhibits an increase of 690 $0.25 \cdot 1.5 \times 10^5$ cm⁻³ in the same region compared to CS. Thus, the distribution of free troposphere OH in CS2 is more 691 similar to that in ST than the CS distribution is.

692

693 Overall, the reduction in the free troposphere OH outweighs the increases elsewhere with the tropospheric air mass-694 weighted concentration and burden of OH decreasing in CS2 by 1.5% and 0.49% respectively. This is in sharp contrast 695 to the 6.4% increase in burden simulated by Khan et al (2021). However, in the CS2 O1D sensitivity test the OH 696 burden increases by 6.6 % relative to CS allowing us to be confident that this discrepancy between Khan et al (2021) 697 and this work is down predominantly to the differing O(1D) rate constants. Despite the increase in surface OH, the net 698 reduction in tropospheric OH yields a 2.3% increase in methane lifetime from 7.43 to 7.60 years (Table 7), also in 699 contrast to the 0.5 years decrease in methane lifetime simulated by Khan et al (2021). However, the isolated change 700 to isoprene chemistry, given by the comparison of CS2 and CS2 isoprene, causes a methane lifetime decreases of 701 2.2% due to the enhanced low altitude OH.

702

HO₂ also increases at low altitude (up to 6-8% at the surface, Fig. 6(b)), driven primarily by the new isoprene chemistry, yet this increase extends much further into the free troposphere than OH, reaching nearly 5 km above the equator. HO₂ decreases in the rest of the free troposphere, partially from O(¹D) changes, and does not exhibit the high increase shown by OH, rather declining by 6-8% in the tropical high troposphere resulting in a burden decrease of 0.7%. The greater increase in low altitude HO₂ (than for OH) is likely to be due in part to co-located increases in CO of 3-6 ppb (see SI and Fig. S21(a)).

- 709
- 710 5.3 BVOCs





The interactive nature of iBVOC emissions led to average isoprene emissions being 0.36 Tg yr⁻¹ (0.06%) lower in CS2 while monoterpene emissions were 0.05 Tg yr⁻¹ (0.05%) lower. However, these differences are dwarfed by the reductions in the burdens of isoprene, α -pinene and β -pinene of 26%, 18% and 15%, respectively.

715Isoprene mixing ratios averaged over the lowest ~ 100m decrease by 1-3 ppb (~10-30%) in large parts of South716America, Africa and South East Asia (Fig. 7). The greater terrestrial low altitude HOx increases the OH-initiated717oxidative flux of isoprene by 3.5 %, attributable almost entirely to the updated isoprene scheme. However, this is718actually outweighed by a 23% decrease in isoprene destruction by O3 while oxidation via NO3 increased by 3.7%.719Despite the modest global increase, isoprene oxidation is confined even more to low altitude regions (Fig. 7), a feature720also simulated by Karset et al (2018) (Fig. 8). This also results in lower mixing ratios throughout the whole troposphere721(Fig. 7).

722

723 α -pinene's chemical destruction by OH, O₃ and NO₃ changed by 7.5%, -6.3% and -0.8% respectively leading to a 724 total flux increase of 0.05 Tg yr⁻¹ (+0.05%). The corresponding changes for β -pinene with OH, O₃ and NO₃ were 725 7.6%, 5.8% and 12.9% with a total increase of 3.59 Tg yr⁻¹ (+7.9%).

726

The reductions to these BVOC burdens are greater than those simulated by Khan et al (2021) of 17%, 4% and 9% for isoprene, α -pinene's and β -pinene respectively. However, Khan et al (2021) simulated a reduction in O₃ over tropical regions and a much smaller increase in NO₃ burden (1%) which would have resulted in significantly lower BVOC destruction fluxes, particularly for the monoterpenes. As discussed in Section 4, CS2 simulates a reduction in the model high bias of surface isoprene and, to a lesser extent, monoterpenes, compared to CS and ST.

732

733 5.4 HPALDs and IEPOX

734 While a comparison cannot be made between CS and CS2 for HPALDs and IEPOX, their importance for HOx-735 recycling and SOA formation respectively means examining their global distribution is still useful. Both species follow 736 the surface distribution of isoprene closely (Fig. 8) with IEPOX concentrations typically an order of magnitude greater 737 than HPALDs, something also reflected in their burdens (0.39 Tg and 0.02 Tg, respectively). As discussed in Section 738 4, loss of IEPOX to aerosol via reactive uptake is not currently modelled and simulated concentrations will decrease 739 once this process is included. Indeed, accurate modelling of IEPOX and its contribution to SOA has been suggested 740 to be important in future climate scenarios (Jo et al., 2021) which highlights the benefits of including IEPOX in CS2 741 but also the need for careful consideration of how aerosol uptake is modelled. Simulated advection up to the upper 742 tropical troposphere is clearly seen in the DJF zonal means with potentially important consequences for IEPOX-743 derived SOA which has been observed in the lower troposphere in flight campaigns (e.g. Allan et al., 2014). 744 745

746 **5.5 NO**_y





748	The distribution of nitrated products (NO _y) between reactive (NO _x) and reservoir species (NO _z) changes between CS
749	and CS2 and is detailed in Table 8. Here we use the standard definitions of NOx, NOz and NOy (Archer-Nicholls et
750	al., 2020) (Eq. 4,5,6):
751	
752	$NO_x = NO + NO_2$ (4)
753	
754	$NO_{z} = NO_{3} + 2N_{2}O_{5} + HONO_{2} + HO_{2}NO_{2} + CIONO_{2} + BrONO_{2} + PANs + RONO_{2} + CH_{3}O_{2}NO_{2} + Nitrophenols (5)$
755	
756	$NO_y = NO_x + NO_z$ (6)
757	
758	(RONO ₂ comprises alkyl nitrates, hydroxy nitrates and hydroperoxy nitrates while PANs comprises all species with
759	the peroxy acetyl nitrate functionality).
760	
761	The NO_y burden decreases by 4.8% (in terms of mass of N), driven primarily by a 20% decline in PANs. However,
762	the NO_x burden increases by 4% with the widespread increase in the tropical high troposphere of 10-20 ppt (up to
763	25%) outweighing the reduction in the NH midlatitude PBL (10-50 ppt, 1-2.5%) (Fig. 9(a)). The increase in NO_x and
764	the reduction in NO_{y} leads to the fraction of NO_{y} as reactive Nitrogen increasing by 9% and the associated increases
765	to the O_3 production, particularly in the free troposphere, are identified in Section 5.1. The sensitivity tests revealed
766	the high-altitude NO_x rise to be driven predominantly by the change to the inorganic nitrogen with a smaller
767	contribution from the updated isoprene scheme (Fig. S17).
768	
769	The 6% reduction in NO _z burden is dominated by the decrease in PANs which exceeds 40 ppt in most of the 40N-40S
770	troposphere (Fig. $10(e)$). The decrease in the PANs formation rate constant discussed in Section 2 is not the principal
771	driver of this reduction despite reducing by 40% in much of the troposphere. For the single year used for the sensitivity
772	tests, the PANs burden in CS2_inorgN (featuring the larger formation rate constant) (0.292 TgN) is much closer to
773	that in CS2 (0.290 TgN) than in CS (0.364 TgN). A more important factor is the reduction in the PAN-precursor acyl
774	peroxy radical (MeCO ₃), driven by the updated isoprene chemistry, whose burden decreases by over 20% in both CS2
775	and the sensitivity test CS2_inorgN. This dependency is clearly illustrated by the fact that the isolated change to the
776	inorganic nitrogen reactions (CS2_inorgN) only produces a small decrease to low altitude PANs while the change to
777	isoprene scheme (CS2_isoprene) yields a much larger decrease in PANs in spatial agreement with the CS2-CS
778	difference (Fig. S18). The PANs burden of 0.317 TgN in the CS2_isoprene test is also closer to that in CS. However,
779	the change in PANs between CS2 and CS is still larger than that simulated from the isolated isoprene chemistry change
780	alone which suggests there are some synergistic effects occurring.
781	
782	The 0.4% increase in HONO2, including increases of up to 30 ppt in the tropical mid troposphere (Fig. 9(d)), is driven
783	more by the update to the isoprene scheme than the change to inorganic nitrogen reactions (Fig. S19).





785	The 59% increase in RONO ₂ burden in CS2 is predominantly due to the significant reduction in the rate constant for
786	the OH-initiated destruction of MeONO2, the principal organonitrate, which brings CS2 into agreement with STRAT-
787	TROP and the most recent IUPAC value. At 290 K, the rate constant is 18 times lower in CS2 and at 250 K 50 times
788	lower, yielding a 3-fold MeONO2 burden increase. The update to the isoprene scheme, when isolated, actually reduces
789	RONO2, despite the introduction of the two new organic nitrates (RU12NO3 and RU10NO3). As discussed in the
790	context of the RO2 burden, this is driven by the added competition from the RU14O2 isomerisation reactions: the flux
791	of the RU14O2 + NO reaction is 15% lower in CS2 than CS. The increase in RONO2 is simulated to be 10-20 ppt in
792	the tropical lower altitude and 2-10 ppt for the rest of the troposphere (Fig. 9(f), S20).
793	
794	With the significant drop in PANs as a fraction of NO _y (34% to 28%) and the increase in HONO ₂ and NO _x , the
795	breakdown of NOy in CS2 is closer to that in ST (Archer-Nicholls et al., 2020). The increase in RONO2 is the only
796	major exception to this since ST, which only has two organonitrate species (isoprene nitrate and MeONO ₂), has a
797	lower RONO ₂ burden than CS.
798	
799	
800	5.6 Impacts on Aerosols
801	A key area of future research with the CRI mechanisms will be on their influence on aerosols. The spatial changes to
802	oxidants are also likely to influence secondary organic aerosol (SOA) formation, as discussed in Section 1. In UKCA,
803	SOA is produced from the tracer Sec_Org, a surrogate for the oxidised products of α -pinene and β -pinene which adds
804	to existing organic aerosol with an optional boundary layer nucleation scheme involving Sec_Org and $\mathrm{H}_2\mathrm{SO}_4$ based
805	on Metzger et al (2010) also available. The Sec_Org burden decreases by 7% in CS2 with noticeable annual variation
806	(DJF -10%, JJA -4%). Despite the burden decrease, within the lowest 500m in the tropics Sec_Org mass concentration
807	increases by 2-10%, driven by an increase its production from α -pinene and β -pinene (Fig. 10(a,b)). Above this region,
808	Sec_Org production and mass concentration decrease and so it appears the greater low altitude oxidative capacity in
809	CS2 leads to greater production of Sec_Org within the boundary layer but lower concentrations above it. This is likely
810	to have an impact on SOA distribution (and lifetime) since deposition and loss to the aerosol phase is greater in the
811	boundary layer due to the steep decline in aerosol surface aerosol density with altitude. Further detailed analysis
812	involving the fluxes of Sec_Org to aerosol and the resulting changes to size and number distributions are beyond the
813	scope of this work but examining wider consequences for SOA, in the context of the BVOC-mediated feedback
814	between the biosphere and climate, will form a key area of future research. It is also worth noting an even more
815	pronounced perturbation to SOA may be seen if isoprene is allowed to produce Sec_Org which is a more realistic
816	approach to simulating SOA (e.g. Scott et al., 2015) and will be explored in future work.
817	

The global perturbation to the oxidation pathways of SO₂, another important aerosol precursor, are more modest. From CS to CS2, the oxidative fluxes of SO₂ with OH, H_2O_2 and O₃ change by +0.9%, +0.02% and 1.7%, respectively while the tropospheric sulphate aerosol burden decreases by just 2.3%. However, as with isoprene oxidation and Sec_Org production, the burden change belies the more complex perturbations occurring. The increased oxidants at lower altitude and reduction at greater altitudes result in gas phase SO₂ oxidation increasing by 2.5-10% in the tropical and





823	midlatitude PBL yet decreasing at higher altitudes (Fig. 10(c,d)). This effect is expected to be even more pronounced
824	when CS2 is compared to ST which simulates even lower low altitude OH than CS (Archer-Nicholls et al., 2020) and
825	has been the standard mechanism for investigations into aerosol-oxidant coupling in UKCA (Thornhill et al., 2020,
826	Weber et al., 2020, O'Connor et al., 2020). Therefore, the mechanism-driven changes to oxidants are likely to have
827	consequences for both SOA and sulphate aerosol. While a full investigation into oxidant aerosol coupling is beyond
828	the scope of this paper, it will form a central part of future work with the CRI mechanisms.
829	
830	5.7 Summary and synthesis
831	The key changes between CS and CS2, driven by the multiple chemistry changes, can be summarised as follows:
832	1. Ox production increases marginally in CS2 but a larger decrease in Ox destruction, driven by a significant
833	reduction in the $O(^{1}D) + H_{2}O$ flux, leads to a greater O_{3} tropospheric burden and mixing ratios.
834	2. The update to the isoprene chemistry increases low altitude tropical HO_x but the reduction in OH production
835	from $O(^1D) + H_2O$ results in lower HO_x concentrations in much of the free troposphere, increasing methane
836	lifetime.
837	3. The update to the inorganic nitrogen reactions increases NO_x as a fraction of NO_y with a significant increase
838	in the upper tropical free troposphere and a co-located increase in OH. The PAN burden decreases by 20%.
839	4. The increase in boundary layer oxidative capacity reduces the burden of BVOCs and confines their oxidation
840	even more to low altitude with likely consequences for aerosol production and lifetime.
841	
842	6. Conclusion
843	
844	The radiative impact of isoprene, via its influence on atmospheric chemical composition and organic aerosol, means
845	an accurate description of its chemical behaviour is crucial for advancing our understanding of pre-industrial, present
846	day and future atmospheres. In this study we describe the incorporation of the Common Representative Intermediates
847	chemistry scheme version 2.2 (CRI v2.2), along with accompanying stratospheric chemistry, into the global chemistry-
848	climate model UKCA to create the mechanism CRI-Strat 2 (CS2). The introduction of CS2 into UKCA facilitates a
849	semi-explicit description of HOx-recycling chemistry during isoprene oxidation via the isomerisation of isoprene
850	peroxy radicals to produce HPALDs which yield HOx upon photolysis. This is a key process for reconciling the model
851	low bias of HOx in low NOx, BVOC-rich regions. In addition, CS2 also features updates to the rate constants of the
852	reactions of O(1D), inorganic nitrogen and organic peroxy radicals with NO and NO3, bringing the mechanism into
853	agreement with the most recent IUPAC values. CS2 is one of the first mechanisms with this functionality suitable for
854	long term climate integrations.
855	
856	A rigorous comparison using UKCA with CS2 and two other chemical mechanisms, STRAT-TROP (ST) (the standard
857	chemistry mechanism used in UKESM1's contributions to CMIP6 experiments) and CRI-STRAT (CS) (which has
858	tropospheric chemistry from an earlier version of the CRI, CRI v2.1), is performed against high frequency surface and

- airborne observational data from BVOC-rich regions for multiple chemical species including O₃, OH, HO₂, isoprene
- 860 and monoterpenes and isoprene oxidation production. The HOx-recycling in CS2 results in significantly enhanced





861 surface diel OH (up to 50% higher than CS at midday) in the Amazon and Borneo (improving model low bias), leading 862 to improved modelling of diel and vertical isoprene profiles and reducing the mean 24-hour bias by 50-60% and 20-863 40% relative to ST and CS, respectively across the locations considered. However, CRI-Strat 2 exacerbates the existing 864 isoprene model low bias away from the surface, suggesting potential issues with model vertical convection. CS and 865 CS2 yield smaller isoprene column biases compared to observations than ST, in line with the surface and free 866 troposphere observational comparisons, while also illustrating the significant influence the chemical mechanism has 867 on modelled column. This comparison also highlights the significant influence the different chemistry schemes have 868 on the simulated isoprene column and thus the considerable challenges of determining isoprene emissions via back-869 calculation.

870

The low altitude high biases for O₃ in CS increase modestly (1-2 ppb) in CS2. Simulated monoterpene concentrations are high biased at the surface at most of the locations considered with CS2 returning the smallest bias. As with isoprene, simulated monoterpenes display sharp morning and evening peaks which are believed to be due to boundary layer height issues. Model high bias of IEPOX and the low bias of HPALDS suggests further investigation of the key processes of loss to aerosol for IEPOX and HPALD photolysis frequency are needed.

876

877 In addition to observational comparisons, a detailed comparison of UKCA model output using CS2 is performed, 878 complementing the earlier comparison of ST and CS (Archer-Nicholls et al., 2020). Sensitivity tests are also performed 879 to help isolate the drivers of the differences between CS and CS2. CS2 simulates an 8% increase in tropospheric O₃ 880 burden driven primarily by reduced O_x loss as the changes to rate constants of $O(^1D)$ with H₂O, O₂ and N₂ mean that 881 a smaller fraction of $O(^1D)$ reacts with H₂O to produce OH. Low altitude O₃ increased by 2-4 ppb over much of the 882 globe, driven predominantly by changes to the O(1D) and inorganic nitrogen reactions. More broadly, the widespread 883 influence of the changes the rate constants of O(¹D) and multiple inorganic nitrogen species highlights the importance 884 of having accurate information for these parameters.

885

Relative to CS, low altitude OH increased over terrestrial regions, exceeding 50% in some tropical forested regions, primarily due to the influence of HO_x-recycling from isoprene. However, OH decreased over the oceans and in much of the free troposphere driven by updates to the rate constants of $O(^1D)$'s reactions with H₂O, O₂ and N₂. As a result, methane lifetime increased by 1.9%, in stark contrast to previous studies using CRI v2.2 in the STOCHEM model which did not make changes to $O(^1D)$ and inorganic nitrogen reactions. When the changes to isoprene chemistry were isolated, methane lifetime decreased by 2.2%, qualitatively in line with previous studies. The addition of isomerisation pathways in the updated isoprene scheme reduced the methyl (7%) and non-methyl peroxy (36%) radical burdens.

The distribution of nitrated species (NO_y) in CS2 was closer to that simulated in ST than CS with a significant reduction (20%) in the burden of PANs which was driven by a reduction in the precursor RO₂. The NO_x burden increased by 4%.





The increase in low altitude OH reduced the burdens of isoprene (25%) and monoterpenes (11-18%) and the extent of their dispersion: more oxidation took place in the boundary layer where loss of oxidation products such as the lumped SOA precursor Sec_Org to existing aerosol is likely to be greater. Enhanced SO₂ oxidation in the boundary layer was also simulated. These changes are likely to have implications for SOA and sulphate aerosol, particularly as CS has already been shown to have a more highly oxidising boundary layer than ST. Therefore, the difference between CS2 and ST (the mechanism used to explore chemical-aerosol coupling in UKESM1 in CMIP6 experiments), is likely to be significant and will be the subject of future work.

905

906 The addition of CS2 also lays the groundwork for the incorporation of a novel chemistry scheme which describes the 907 formation of the highly oxidised organic molecules (HOMs) derived from biogenic species such as α-pinene (e.g. 908 CRI-HOM, Weber et al., 2020b). HOMs are crucial for new particle formation without sulphuric acid (Kirkby et al., 909 2016, Simon et al., 2020), a process which is an important source of new particles in the Amazonian free troposphere 910 (Zhao et al., 2020) and has been simulated to have consequences for our understanding of pre-industrial aerosol burden 911 (Gordon et al., 2016). The influence of isoprene in HOM production (Kiendler-Schaar et al., 2009, McFiggans et al., 912 2019, Heinritzi et al., 2020) can also be captured by addition of CRI-HOM making UKCA one of the very first global 913 chemistry-climate models to feature a semi-explicit representation of HOMs and enabling further investigation into 914 the climatic impact of the interaction between BVOCS. Long chain terpenes addition to CS2 are also planned including 915 sesquiterpenes, which may reduce the surface ozone high bias and form HOMs, and improvements to the uptake of 916 oxidised species to plant surfaces. 917

While certain elements of the CRI-STRAT 2 mechanism in UKCA such as the ozone high bias remain problematic, its incorporation represents a major step forward in our ability to simulate isoprene chemistry in low NOx environments. The simulated changes to oxidants in CRI-Strat 2 will affect the atmosphere's radiative balance by perturbing certain greenhouse gases and aerosols and investigating the impact will be a major topic of future work. In particular, the feedback between the biosphere and climate, mediated by BVOCS, will be evaluated using multiple mechanisms to assess their influence. CRI-Strat 2 can be taken up for use, alongside other mechanisms, to further our understanding of the wide-ranging impact BVOCs have on climate.

- 925
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927 Data Availability:

928

929 The description of the Z2F field campaign is given SI Section S4 and the observational data is available at 930 https://doi.org/10.17863/CAM.65133

931

932 The observational data from the SEAC4RS flight campaign is available at <u>https://www-air.larc.nasa.gov/cgi-</u> 933 bin/ArcView/seac4rs?MERGE=1#60 SECOND.DC8 MRG/.





935	The observational data from the ATTO tower is available to download at https://www.attodata.org. Specific datasets
936	used were https://www.attodata.org/ddm/data/Showdata/72, https://www.attodata.org/ddm/data/Showdata/73,
937	https://www.attodata.org/ddm/data/Showdata/74 and https://www.attodata.org/ddm/data/Showdata/77.
938	
939	The observational data from the FAAM aircraft is available at http://data.ceda.ac.uk/badc/op3/data/op3-aircraft and
940	Borneo data can be found at http://data.ceda.ac.uk/badc/op3/data.
941	
942	Data tables of the full CRI-Strat 2 mechanism and the mechanisms used in the sensitivity test described in this paper
943	are included in the supplement. The CRI v2.2 mechanism can be viewed and downloaded from http://cri.york.ac.uk.
944	
945	Model data and analysis code is available from JW on request.
946	
947	
948	Code Availability
949	Due to intellectual property right restrictions, we cannot provide either the source code or documentation papers for
950	the UM. The Met Office United Model is available for use under licence. A number of research organisations and
951	national meteorological services use the UM in collaboration with the UK Met Office to undertake basic
952	atmospheric process research, produce forecasts, develop the UM code and build and evaluate Earth system models.
953	For further information on how to apply for a licence; see
954	https://www.metoffice.gov.uk/research/approach/modelling-systems/unified-model (last access: 24 November
955	2020).
956	
957	Author Contributions
958	Mechanism incorporation was carried out by JW, SAN and NLA with advice from ATA, YMS, MJ, MAHK and DES.
959	Observational comparison experiments were designed by JW, SAN, NLA and ATA and executed by JW, mechanism-
960	mechanism comparison experiments were designed by JW, ATA, NLA and SAN and executed by JW. TJB, CJP, AB
961	and PA compiled and supplied the Z2F Brazil observational data and TJB wrote the field campaign description in the
962	SI, RS advised on the SEAC ⁴ RS data and analysis, JW, SAN, ATA, JW* interpreted the Z2F Brazil, Borneo, ATTO,
963	FAAM, GABRIEL and SEAC ⁴ RS observational data. JW wrote the paper. All co-authors discussed the results and
964	commented on the paper.
965	(JW = James Weber, JW* = Jonathan Williams)
966	
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968	The authors declare that they have no conflict of interest.
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Figure 1. Mean diel cycles of observed and modelled OH (top row), O₃ (2nd row), Isoprene (3rd row) and MT (MT=α-pinene + β-pinene for the CRI mechanisms) (4th row) at the three surface/near surface sites considered.
The bottom row shows the vertical profile of the ratio of isoprene oxidation products to isoprene for daytime (0900-1500 LT) and nighttime (2100-0300 LT) periods and the diel profile of the ratio at 53 m (all from ATTO tower). Shading indicates ±1 standard deviation from the mean and the numbers in bold show the mean diel model bias (model - observations) for species/locations where observations were recorded.






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1305 Figure 2. Median observed and model concentrations for the GABRIEL campaign in the Amazon for (a) 1306 Isoprene, (b) O₃, (c) CO and (d) the ratio of isoprene oxidation products to isoprene. Median observed and 1307 model concentrations for the FAAM campaign over Borneo for (e) isoprene, (f) O₃ and (g) CO. Median 1308 observed and model concentrations for the SEAC⁴RS campaign over the South East USA for (h) isoprene, (i) 1309 isoprene hydroperoxide (ISOPOOH), (j) the isoprene epoxy diol (IEPOX) and (k) hydroperoxy aldehydes 1310 (HPALDs). SEAC⁴RS observational data is also filtered to exclude urban plumes (NO₂>4 ppb), fire plumes 1311 (acetonitrile>0.2 ppb) and stratospheric air $(O_3/CO > 1.25)$ as done in Schwantes et al (2020). Shading shows 1312 IQR, black dotted lines (a, e) show estimated limits of detection for isoprene and JHPALDX3 and JHPALDX0.5 lines 1313 in (k) show results of the scaling the HPALD photolysis frequency by 3 and 0.5, respectively. Note the 1314 logarithmic horizontal scale for (d).

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Figure 3. Monthly mean isoprene column values from the Global Isoprene Column observational dataset (Wells
et al., 2020) for (a) January, (b) April, (c) July and (d) October 2013. Model bias (model-observation) using (dh) ST (i-l) CS, and (m-p) CS2. Numbers in (a-d) show area-weighted mean model column values and in (e-p)
model bias for individual terrestrial regions (number in North Atlantic refers to Europe and South Atlantic to
Africa).

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1329 Figure 4. Annual mean tropospheric zonal (a, b) and lowest 500 m (c, d) change in O₃ mixing ratio (CS2 - CS).

1330 Purple line in zonal mean shows average height of tropopause.

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1333 Figure 5. Annual zonal mean change in (a) total O_x production flux, (b) total O_x chemical loss flux and (c) net

- 1334 O_x chemical production flux. Purple line indicates mean tropopause height.
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1337Figure 6. Annual zonal mean changes in (a) OH and (b) HO2 between CS2 and CS, (c) absolute and (d)1338percentage in change in OH in lowest ~500 m of atmosphere, (e) the change in OH in lowest 500 m between the1339CS2 and CS2_isoprene sensitivity test and (f) HOx production flux from HPUCARB12 and HUCARB91340photolysis as a percentage of HOx from $O(^1D) + H_2O$ (right, bottom). Purple lines indicate average height of1341tropopause.

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Figure 7. Annual mixing ratio of isoprene averaged over the lowest ~ 100 m (a) in CS and (b) the difference
between CS2 and CS. Annual zonal mean mixing ratios in (c) CS and (d) difference between CS2 and CS (note
the log scales). Annual average total oxidation flux of isoprene (e) in CS and (f) the difference between CS2 and
CS.

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1356Figure 8. Annual mean mixing ratios for (a) HPALDs and (b) IEPOX (upper panels) over lowest ~100 m. DJF1357and JJA zonal mean mixing ratios for HPALDs (c, d) and IEPOX (e,f), note differing scales for HPALD and

1358 IEPOX plots and log scales for (c-f).







1361 Figure 9. Tropospheric annual zonal mean change in (a) NO_x, (b) NO_z, (c) NO_y, (d) HONO₂, (e) PANs and (d)

- 1362 RONO₂ between CS2 and CS. Purple line shows average tropopause height.
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Figure 10. Tropospheric annual zonal mean production flux of Sec_Org in (a) CS and (b) difference
between CS2 and CS. Annual zonal mean flux of SO₂ + OH in (c) CS and (d) difference between CS2
and CS.

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Table 1. Comparison of the CRI-STRAT and CRI-STRAT 2 chemical mechanisms

		CRI-STRAT (CS)	CRI-STRAT 2 (CS2)	
Tropospheric Scheme	Chemistry	CRI v2.1 (Jenkin et al., 2008, Watson et al., 2008, Utembe et al., 2010)	CRI v2.2 (Jenkin et al., 2019)	
Stratospheric Scheme	Chemistry	Stratospheric chemistry (Morgenstern et al., 2009; Archibald et al., 2020)	Stratospheric chemistry (Morgenstern et al., 2009 Archibald et al., 2020)	
No. of Species		219	228	





No. of Bimolecular	536	582
Reactions		
No. of Termolecular Reactions	36	44
No. of Photolysis Reactions	128	140

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1373 Table 2. Species added and removed from the CS mechanism in the development of the CRI-Strat 2 1374

Added Species	Species Functionality	MCM v3.3.1 equivalent
HPUCARB12	Hydroperoxy aldehyde (HPALD)	C5HPALD1, C5HPALD2
HUCARB9	Unsaturated hydroxy carbonyl	HMVK, HMAC
IEPOX	Isoprene epoxy diol	IEPOXA, IEPOXB, IEPOXC
HMML	Hydroxymethyl-methyl-a- lactone	HMML
DHPCARB9	Dihydroperoxy carbonyl	DHPMEK, DHPMPAL
DHPR1200H	Trihydroperoxy carbonyl	С536ООН
DHCARB9	Dihydroxy carbonyl	HO12CO3C4
RU12NO3	Hydroxy carbonyl nitrate	C57NO3, C58NO3, C58ANO3
RU10NO3	Hydroxy carbonyl nitrate	MVKNO3, MACRNO3
DHPR12O2	Dihydroperoxy carbonyl peroxy radical	C536O2, C537O2
MACO3	Unsaturated acyl peroxy radical	MACO3
RU10AO2	Hydroxy carbonyl peroxy radical	MACRO2

mechanism.





Removed Species		MCM v3.2 equivalent
RU12PAN	PAN-type species with at least one hydroxy group	C5PAN19
TNCARB11	Alkyl carbonyl	N/A
TNCARB12	Alkyl carbonyl	N/A

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1378 Table 3 - Shorter runs performed for mechanism-observation comparisons. Identical biogenic

1379 (2001-2010 MEGAN-MACC climatology, iBVOC for isoprene and MT) and ocean (1990 timeslice)
 1380 for each run unless otherwise stated.

Run Name	Mechanisms Tested	Period(s)	Observational Reference
ΑΤΤΟ	ST, CS, CS2	Feb 2013, Sept 2013, Feb 2014	Yanez-Serrano et al (2015)
ZF2 Brazil	ST, CS, CS2	June 2016	See SI Section S4
Borneo	ST, CS, CS2	April-May, June-July 2008	Hewitt et al (2010), Whalley et al (2011), Edwards et al (2013)
GABRIEL	ST, CS, CS2	October 2005	Butler et al (2008)
FAAM	ST, CS, CS2	July 2008	Hewitt et al (2010)
Isoprene Column	ST, CS, CS2	Jan, April, Jul & Oct 2013	Wells et al (2020)
SEAC ⁴ RS	CS2	August-September 2013	Toon et al (2016)

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1383 Table 4 - Longer runs performed for CRI mechanism comparison. Identical emissions for each run

1384 (anthropogenic and biomass timeslice 2014, biogenic 2001-2010 MEGAN-MACC climatology,





Name	Base Mechanism	Total Length and Period	Alterations from base mechanism
CS	CRI-STRAT	5 years (1 year spin up)	None
CS2	CRI-STRAT 2	5 years (1 year spin up)	None
CS2_OID	CS2	2 years (1 year spin up)	Rate constants for $O(^1D)$ with H_2O , O_2 and N_2 set to values in CS
CS2_inorgN	CS2	2 years (1 year spin up)	Rate constants for HONO ₂ , HO ₂ NO ₂ , N ₂ O ₅ , PAN formation, HO ₂ + NO and MeONO ₂ + OH set to values in CS
CS2_isoprene	CS2	2 years (1 year spin up)	Isoprene chemistry set to that in CS
CS2_RO2_N	CS2	2 years (1 year spin up)	Rate constants for RO ₂ + NO and RO ₂ + NO ₃ reactions reverted to CS values
CS2_photo (see SI Section 6)	CS2	2 years (1 year spin up)	Photolysis of CARB3, HCHO and EtCHO reverted to that from CS

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1388Table 5. Location, reference, time period and species measured in observational data sets and

1389 corresponding modelling approach. For the Z2F Brazil, ATTO, Borneo, GABRIEL, FAAM and

1390 SE4C⁴RS datasets, model data was filtered to select only the same days as observational data.

Dataset Reference	Dates of	Measurement	Species	Corresponding
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(Location / Coordinates)		Measurement	Details	Considered	model run (Table 3 unless stated)
ZF2 Brazil Field Campaign, Amazon (-2.60°, -60.21°, 60 km NNW of Manaus)	See SI Section S4	22 June 2016 - 5 July 2016	1-minute interval measurements 30 m above ground (above tree canopy)	O ₃ , CO, SO ₂ , NO ₂ , isoprene, monoterpenes, benzene	ZF2 Brazil
Instant ATTO Tower, Amazon (-2.14°, -59.00°, 150 km NE of Manaus)	Yannez- Serrano et al (2015)	February 2013, September 2013 and February 2014	16-minute interval measurements at multiple heights above ground (0.05 m, 0.5 m, 4 m, 12 m, 25 m, 38m, 53m and 79 m)	Isoprene, monoterpenes, methyl vinyl ketone (MVK), methacrolein (MACR), isoprene hydroperoxide (ISOPOOH), acetone (All PTRMS)	ATTO
GAW Station, Borneo (5.0°, 117.5°)	Hewitt et al (2010), Whalley et al (2011), Edwards et al (2013)	April-July 2008	10-minute intervals	OH, HO ₂ (both FAGE), O ₃ (Thermo Electron Instrument) isoprene, monoterpene (both PTRMS), HCHO (aerolaser Hantzsch), CO (Aerolaser AL5002), MeCHO, acetone MACR,	Borneo





				MVK (both GC- FID), PAN (GC- MS), NO ₂ (Thermo Environmental Instruments 42C)	
GABRIEL Aircraft Campaign (Suriname, Guyana and French Guiana)	Butler et al (2008)	October 2005	Daytime aircraft measurements sampling ~0.3-8 km at 30 second intervals	O ₃ , NO (both ECOEX), HCHO, CO (both MPIC TRISTAR), acetone, isoprene, MACR, MVK (all PTRMS)	GABRIEL
FAAM Aircraft Campaign, Borneo	Hewitt et al (2010)	July 2008	Daytime aircraft measurements sampling ~0.3-7 km at 5 min intervals	O ₃ (TECO 49), isoprene (PTRMS), CO (AERO AL5002)	FAAM
SE4C ⁴ RS Flight Campaign (Southeast United States)	Toon et al (2016)	August - September 2013	Daytime aircraft measurements sampling up to 12 km at 1 min intervals	O ₃ (ERSL), CO (DACOM), Isoprene (WAS), ISOPOOH, HPALDs, IEPOX, isoprene nitrate (all CIT)	SEAC ⁴ RS
Global Isoprene Columns	Wells et al (2020)	Jan, April, Jul & Oct 2013	Global monthly mean isoprene column values	Isoprene	Isoprene Column

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- 1394 Table 6 Annual mean O_x diagnostics for CRI-STRAT, CRI-STRAT 2 and difference between
- 1395 mechanisms (percentage changes in parentheses). UKESM1 CMIP6 1995-2004 using ST: chemical
- 1396 production = 5315 Tg year⁻¹, chemical loss = 4476 Tg year⁻¹, dry deposition = 867 Tg year⁻¹
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(Griffiths et al., 2021)					
	CS	CS2	CS2 - CS		
O ₃ Burden (Tg)	328	354	26 (7.9%)		
O _x Lifetime (days)	17.4	18.8	1.4 (8.0%)		
OPE	33.74	33.78	0.05 (0.1%)		
Chemical Production (Tg year ⁻¹)	6572	6582	10 (0.1%)		
$HO_2 + NO$	4099	4322	132 (3.2%)		
MeOO + NO	1573	1583	10 (0.6%)		
$NO + RO_2$	849	717	-131 (-15.4%)		
Other	51	49	-1 (-2.8%)		
Chemical Loss (Tg year-1)	5834	5757	-77 (1.3%)		
$O(^{1}D) + H_{2}O$	3157	2928	-229 (-7.2%)		
$HO_2 + O_3$	1666	1819	152 (9.1%)		
OH + O ₃	740	796	57 (7.6%)		
O ₃ + Alkene	166	101	-65 (-39.2%)		
Other	105	113	8 (10.1%)		
Deposition (Tg year ⁻¹)	1133	1207	76 (6.5%)		
O ₃ Dry Dep	942	1018	77 (8.0%)		
NO _y dep	191	189	-3 (1.3%)		
Inferred STT (Tg year ⁻¹)	395	384	-13 (-3.3%)		





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Table 7 – Tropospheric average HO_x parameters for CS and CS2.

	CS	CS2	CS2 – CS
[OH] / 10 ⁶ cm ⁻³	1.355	1.334	-0.021 (1.5%)
$[HO_2] / 10^8 \text{ cm}^{-3}$	0.990	0.988	-0.002 (0.2%)
[OH] / [HO ₂] (%)	1.369	1.349	-0.02 (1.5%)
CH4 lifetime w.r.t. OH / years	7.43	7.60	-0.17 (2.3%)

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1406 Table 8 - Burdens of NO_y and its constituent species, NO_x emissions, NO_y deposition and inferred

1407 Stratosphere-to-Troposphere (STT) transport of NO_y. Values in parentheses for burdens show the fraction of

total NO_y burden represented by each constituent and, for deposition diagnostics, the fraction of total NO_y
 deposition represented by each pathway.

	CS	CS2	CS2 – CS
NO _y burden / TgN	1.088	1.036	-0.052
NO _x burden / TgN	0.118 (10.9%)	0.123 (11.9%)	0.005
NO _z burden / TgN	0.972 (89.2%)	0.914 (88.1%)	-0.058
HONO ₂ burden / TgN	0.523 (48.0%)	0.521 (50.3%)	-0.002
Other inorganic NO _z burden / TgN	0.020 (1.8%)	0.014 (1.4%)	-0.006
PANs burden / TgN	0.367 (33.7%)	0.292 (28.2%)	-0.075
RONO ₂ burden / TgN	0.044 (4.0%)	0.070 (6.7%)	0.026
MeO ₂ NO ₂ burden / TgN	0.008 (0.8%)	0.008 (0.7%)	-0.0007





Nitrophenols burden / TgN	0.009 (0.9%)	0.009 (0.9%)	-0.0005
NOx Emissions / TgN year ⁻¹	55.65	55.65	0
Total NOy Deposition / TgN year ⁻¹	62.12	62.35	0.23
Inferred STT / TgN year ⁻¹	6.47	6.70	0.23
NO _x Deposition / TgN year ⁻¹	6.32 (10.2 %)	6.30 (10.1 %)	-0.02
HONO ₂ Wet Deposition / TgN year ⁻¹	29.01 (46.6%)	29.26 (46.8 %)	0.25
HONO ₂ Dry Deposition / TgN year ⁻¹	21.66 (34.9 %)	21.79 (35.0 %)	0.13
Other Inorganic NOy Deposition / TgN year ⁻¹	1.21 (2.0 %)	0.96 (1.5 %)	-0.25
PANs / TgN year ⁻¹	2.45 (3.9%)	1.93 (3.1 %)	-0.52
RONO ₂ Deposition / TgN year ⁻¹	1.41 (2.3 %)	2.03 (3.2 %)	0.62
Nitrophenols Deposition / TgN year ⁻¹	0.08 (0.1 %)	0.07 (0.1 %)	-0.01